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EXPLORE 2023

Atmospheric neutrino oscillations

- historical **context**
- modeling** atmospheric neutrinos
- detection** technology
- motivation & recent **results**
- future** experiments

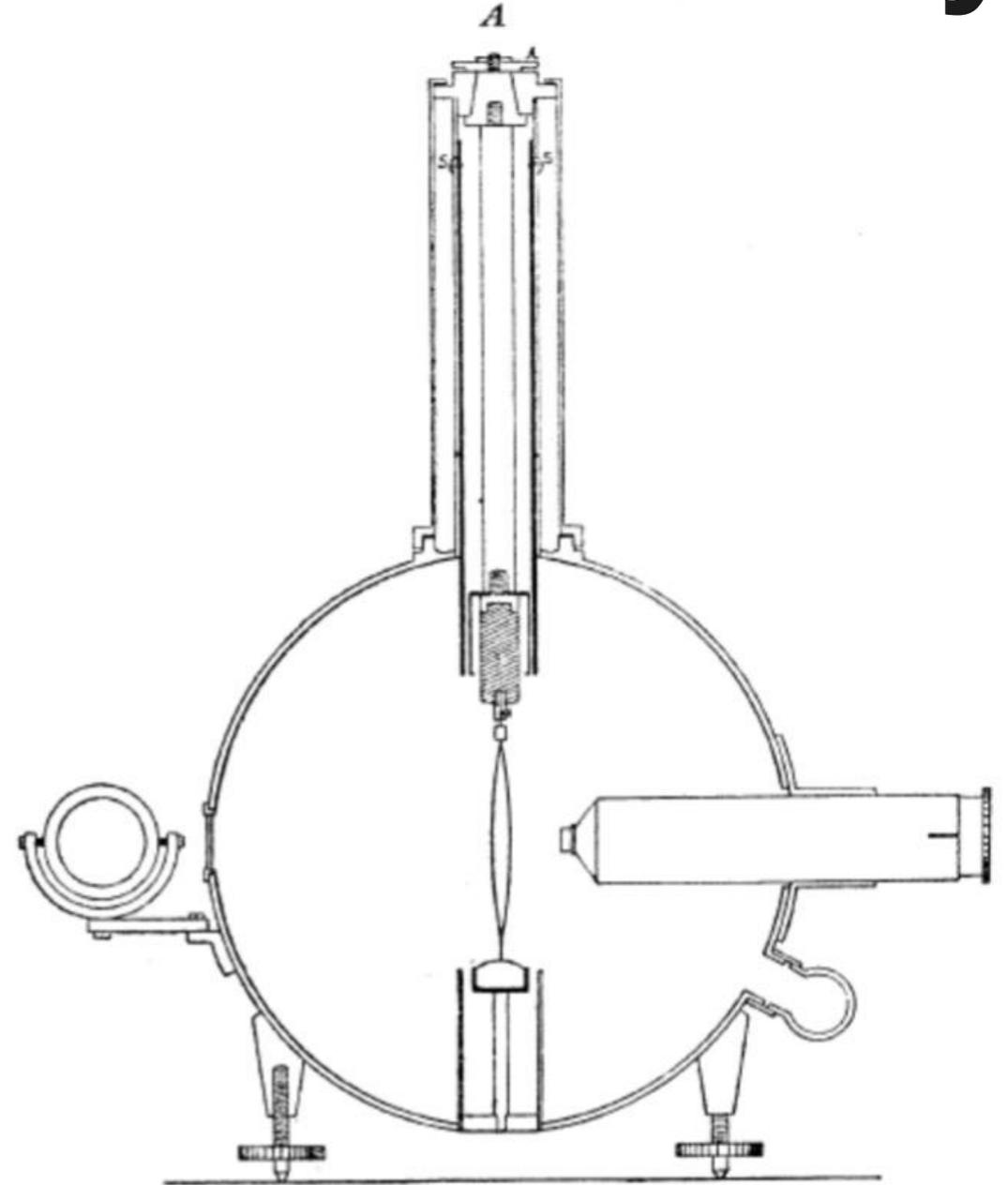
atmospheric neutrino origins

A lot of the material borrowed from

- [P. Lipari's talk at neutrino history conference](#)
- [Horeandel, Early cosmic-ray work published in German](#)
- [Bertolotti, Celestial Messengers](#)

it starts with radioactivity

- phenomenon of **radioactivity** discovered in late 1800's
- electroscopes** were used to study levels of radioactivity
- they would **spontaneously** discharge, why?



a source outside Earth?



- could radioactivity have **non-terrestrial** origin?
- in 1910 Theodor Wulf went up the Eiffel Tower (300m) and measured less radiation than on the ground, but **more than expected**

adventurous experiments

-Viktor Hess
made multiple
balloon flights
in 1912

-Going up to
5km elevation



adventurous experiments

Physik. Zeitschr. XIII, 1912. Hess, Durchdringende Strahlung bei sieben Freiballonfahrten. 1089

Tabelle der Mittelwerte.

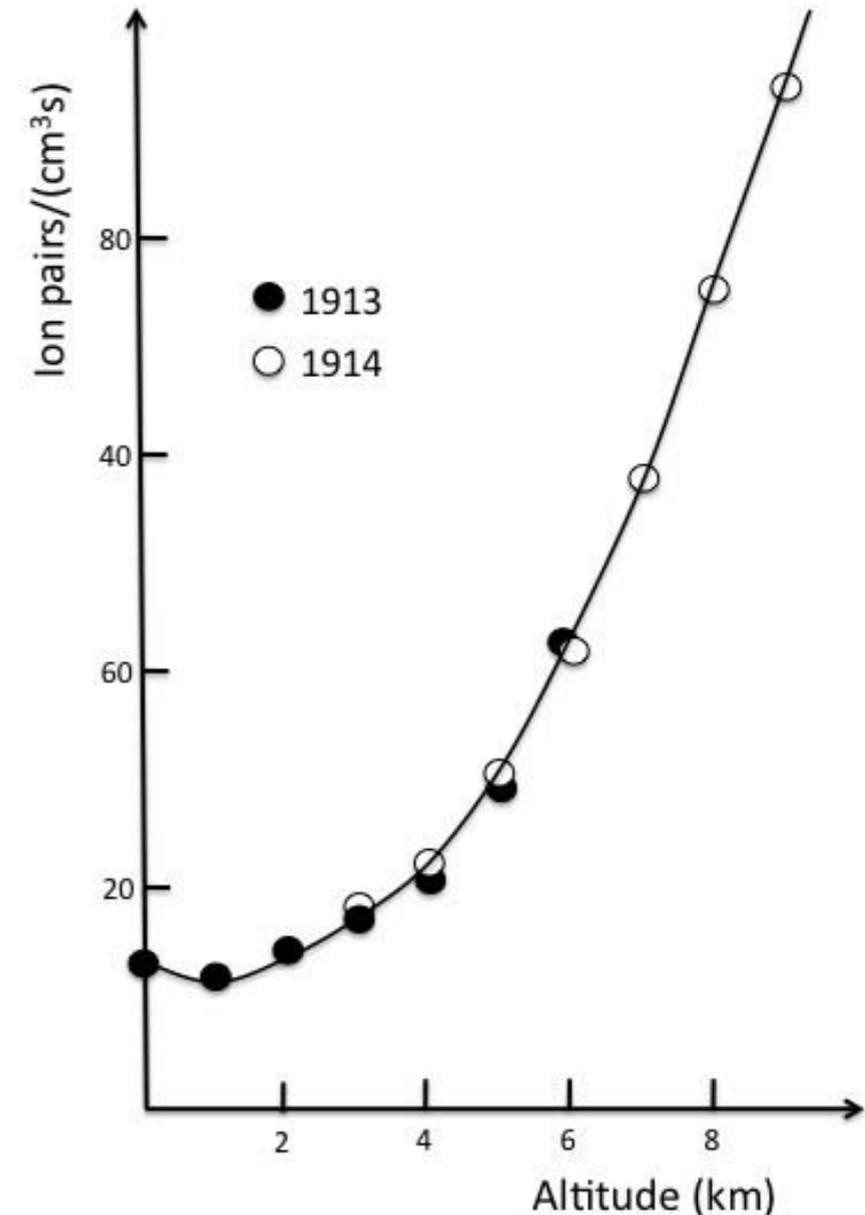
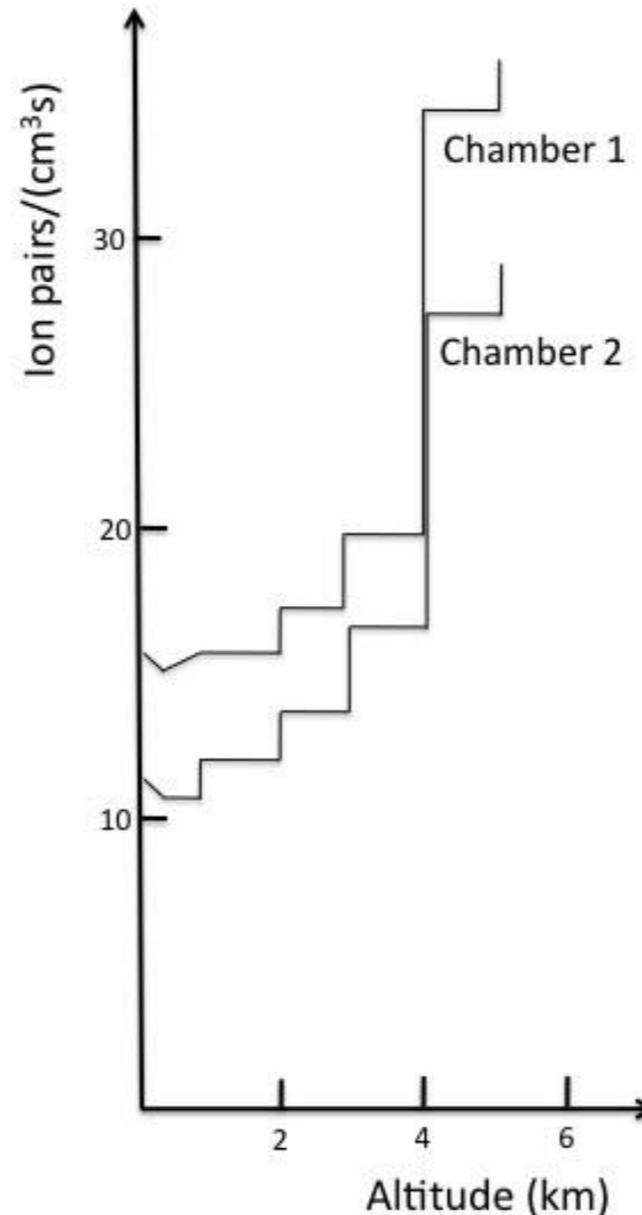
| Mittlere Höhe über dem Erdboden m | Beobachtete Strahlung in Ionen pro ccm und sec. | | | |
|---|---|-----------|----------------------|----------------------------|
| | Apparat 1 | Apparat 2 | Apparat 3 | |
| | Q_1 | Q_2 | Q_3 (reduziert) | Q_3 (nicht reduziert) |
| 0 | 16,3 (18) | 11,8 (20) | 19,6 (9) | 19,7 (9) |
| bis 200 | 15,4 (13) | 11,1 (12) | 19,1 (8) | 18,5 (8) |
| 200—500 | 15,5 (6) | 10,4 (6) | 18,8 (5) | 17,7 (5) |
| 500—1000 | 15,6 (3) | 10,3 (4) | 20,8 (2) | 18,5 (2) |
| 1000—2000 | 15,9 (7) | 12,1 (8) | 22,2 (4) | 18,7 (4) |
| 2000—3000 | 17,3 (1) | 13,3 (1) | 31,2 (1) | 22,5 (1) |
| 3000—4000 | 19,8 (1) | 16,5 (1) | 35,2 (1) | 21,8 (1) |
| 4000—5200 | 34,4 (2) | 27,2 (2) | — | — |

coming from the cosmos

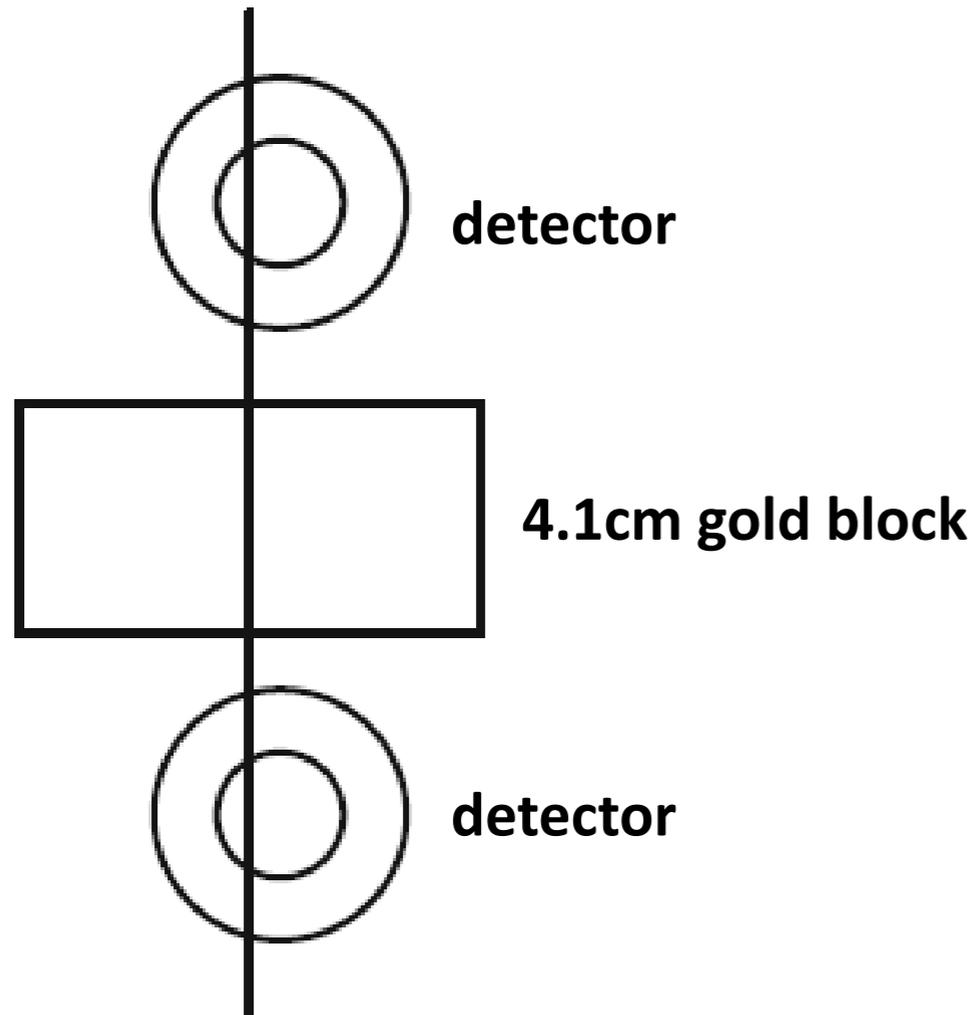
-there's a **dip**, then a sharp **rise** in radiation levels

-Kolhörster **confirmed** the measurements shortly afterwards

-non-terrestrial radiation exists:
cosmic rays



identifying the radiation



76% of particles passing through

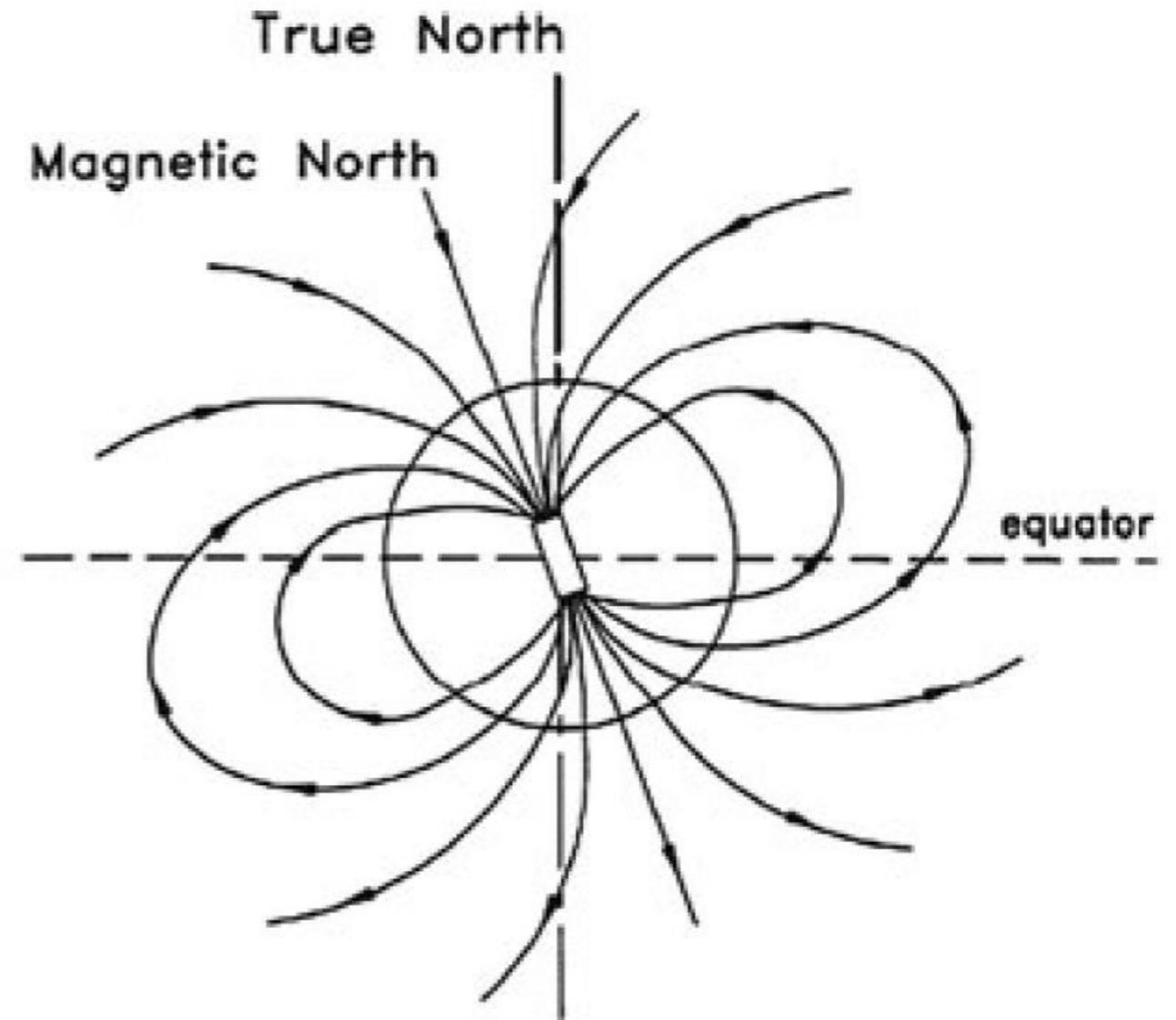
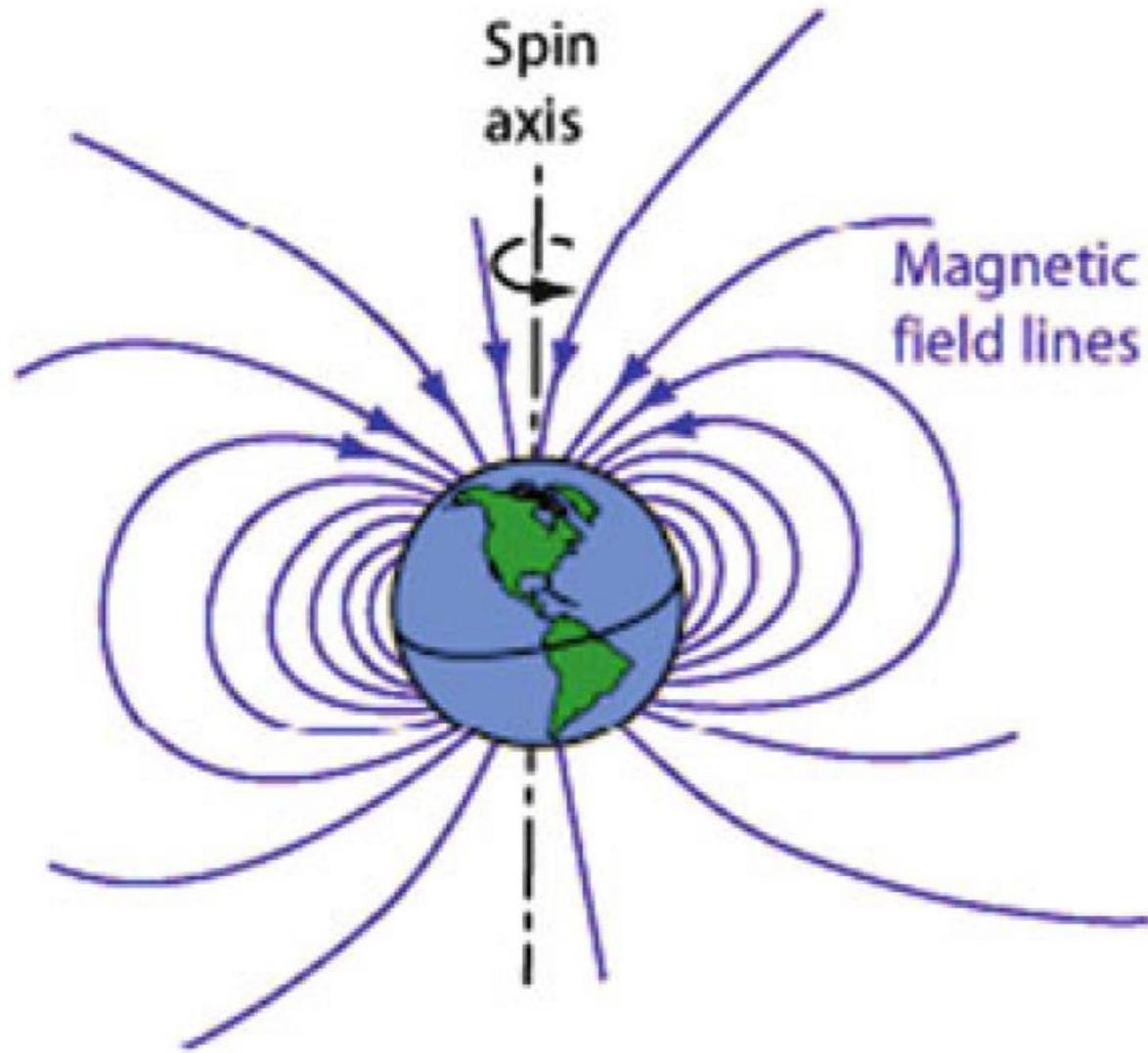
-but what is it? first believed to be **gamma rays**

-but in 1928-1929 Bothe & Kolhoerster showed the radiation to be **very penetrating**

-first peek at **muons** (at that time not known)

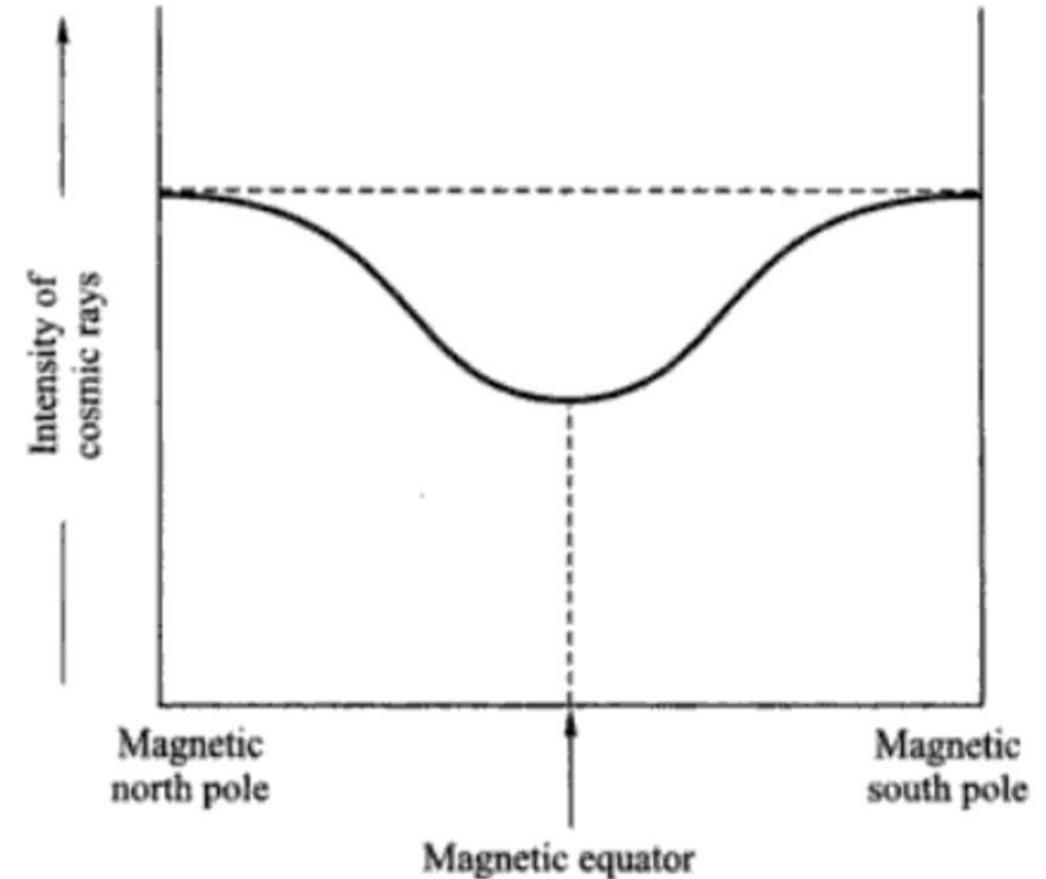
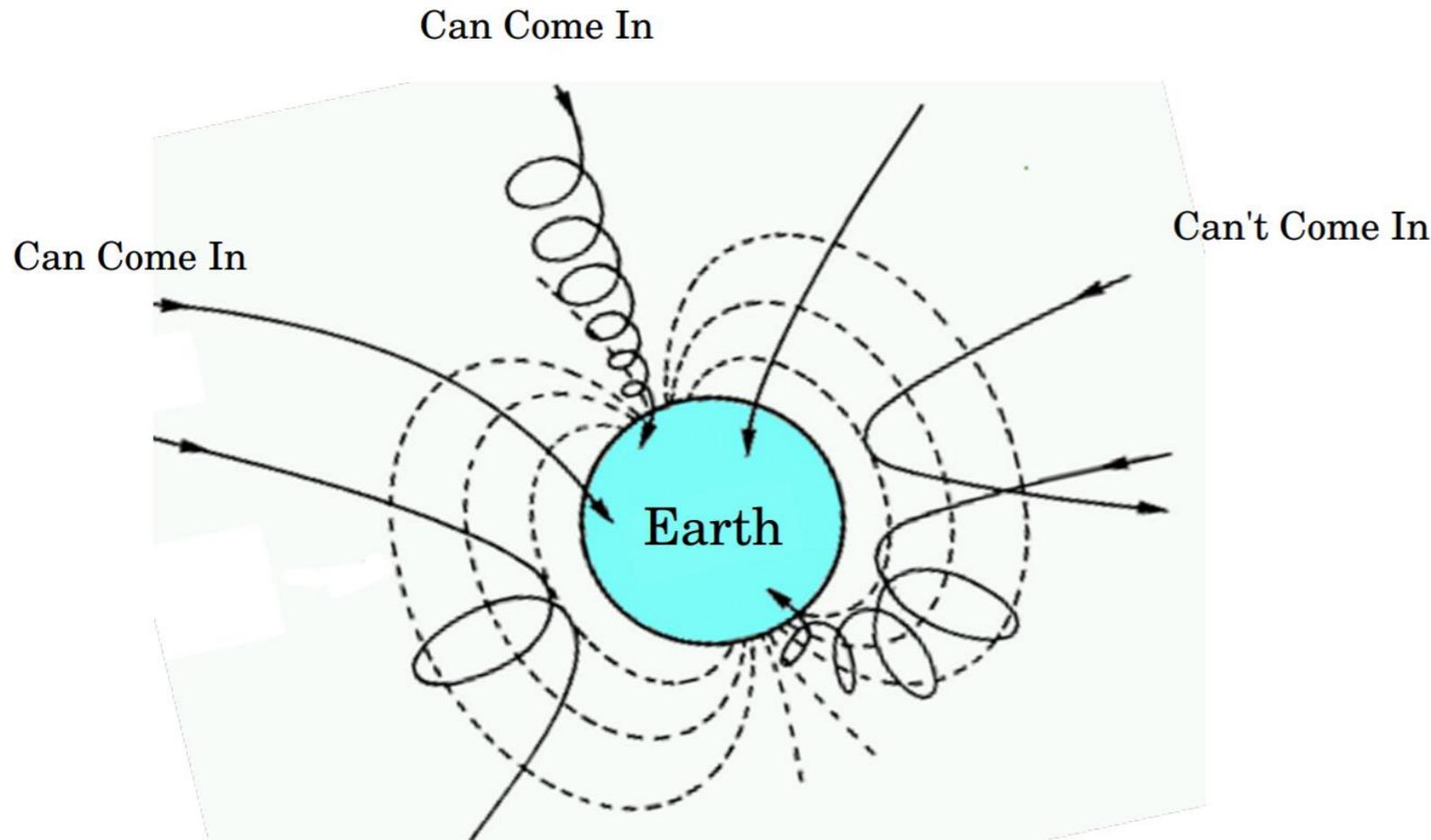
-what about the **primary radiation?**

Earth has a magnetic field



identifying primary CRs

- intensity of cosmic rays is **smaller** at the equator
- B-field deflecting them → they are **charged!**

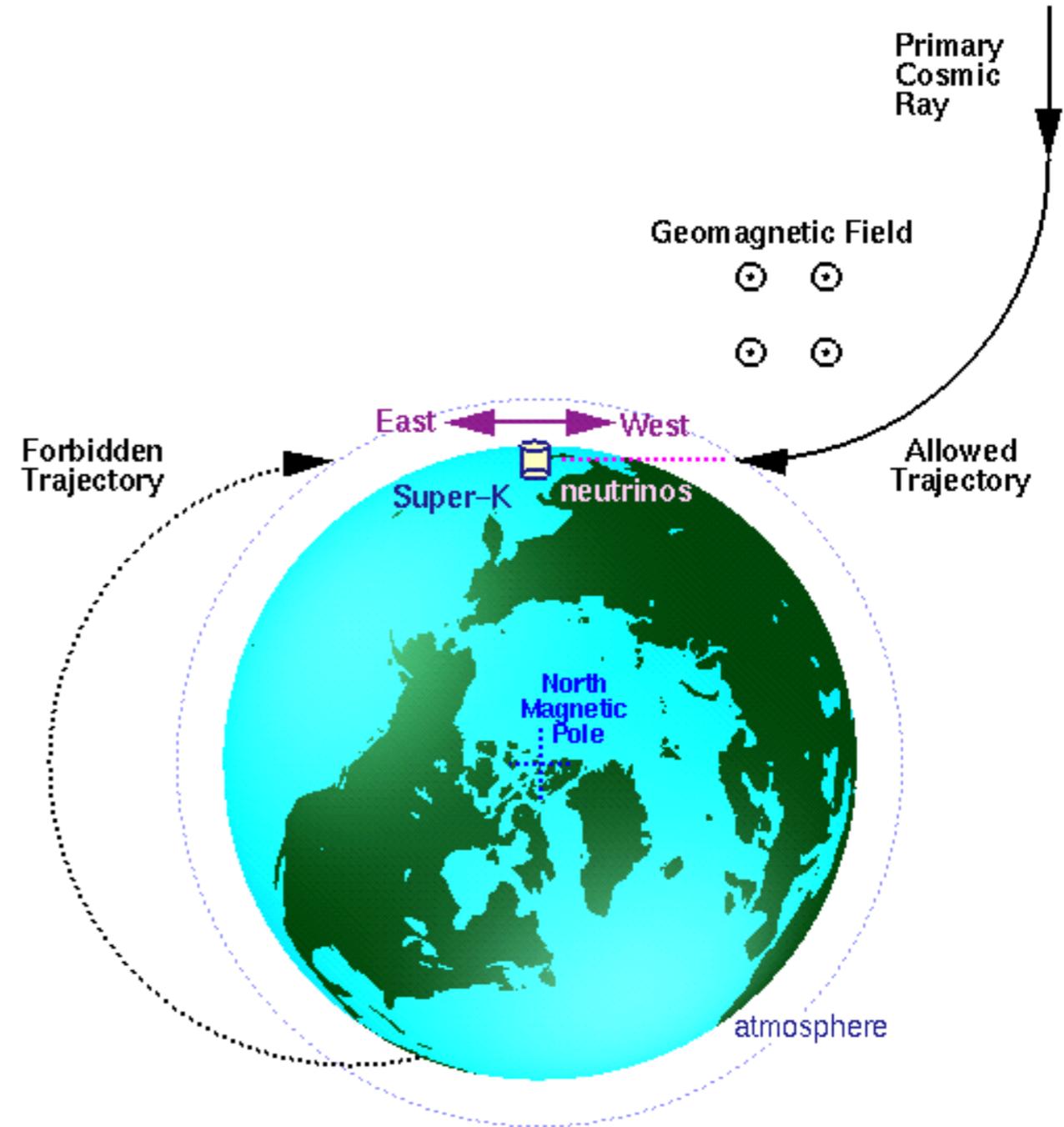


positively charged CRs

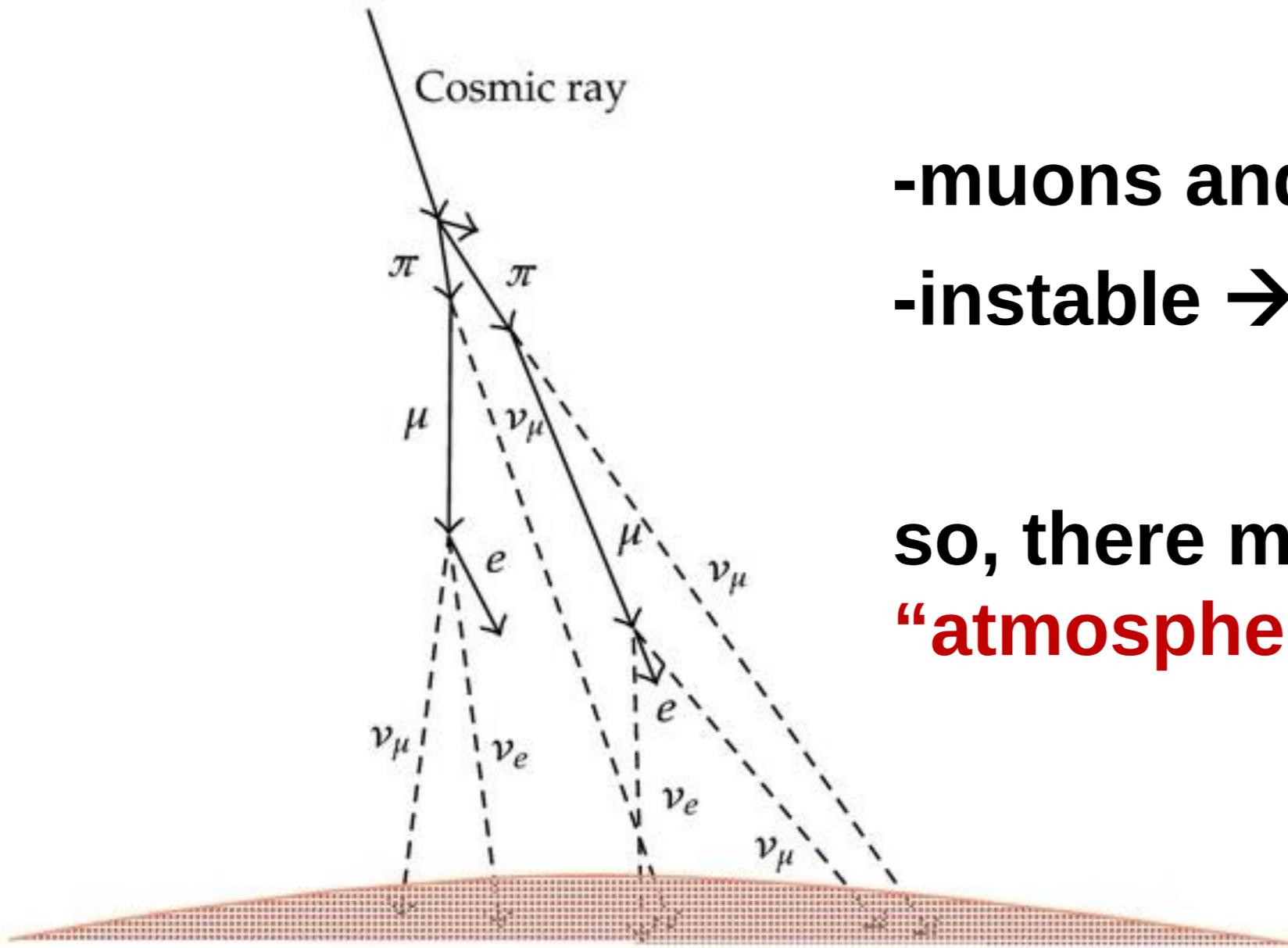
-in 1930 Rossi proposed a charge-induced **asymmetry** in arrival directions

-Earth **shadows** trajectories → more particles from west compared to east

-most CRs are **positively charged** → protons & nuclei



consequences of CR interactions



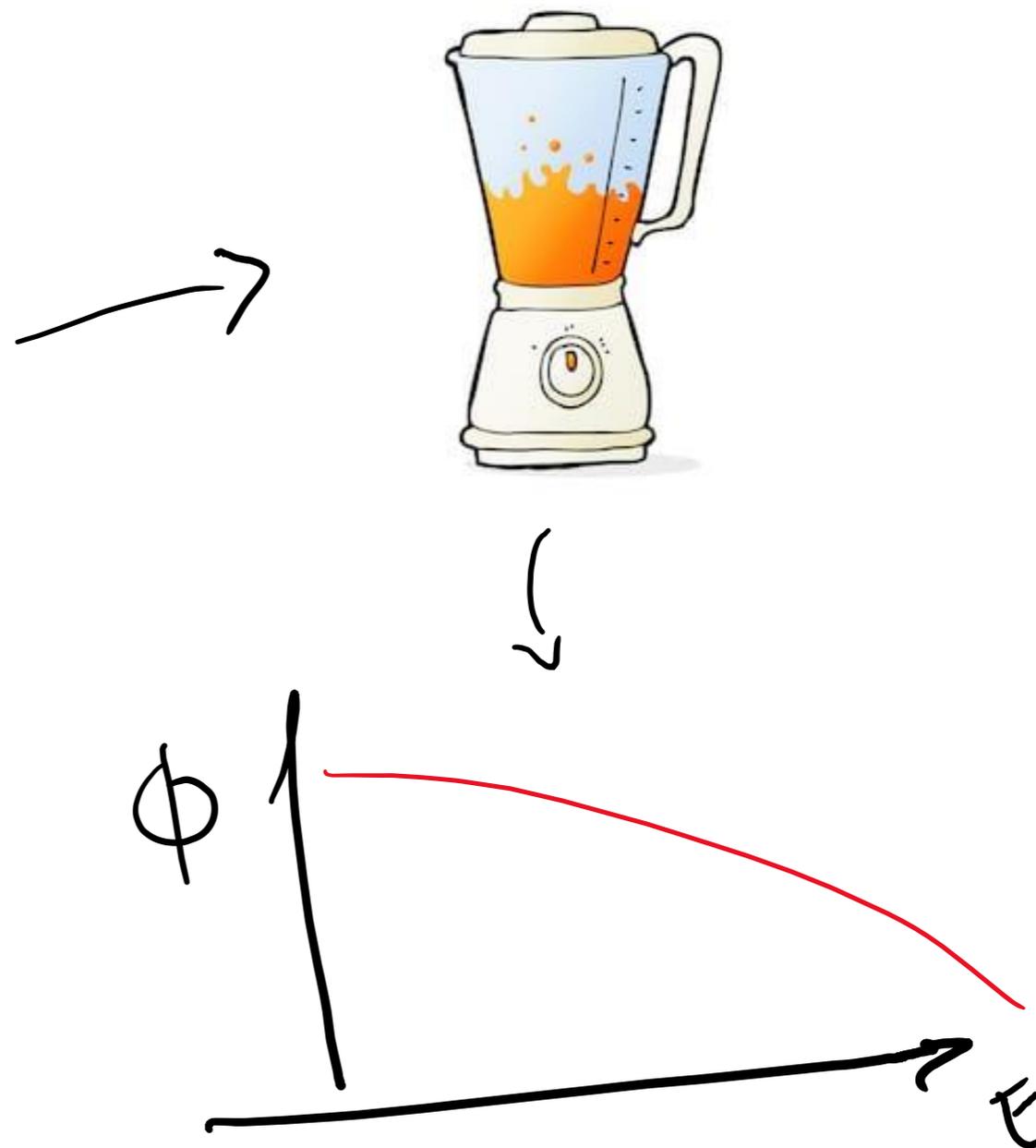
-muons and pions are produced
-instable → decay → neutrinos

so, there must be an
“atmospheric neutrino” flux

modeling the atmospheric neutrino flux

calculation needs

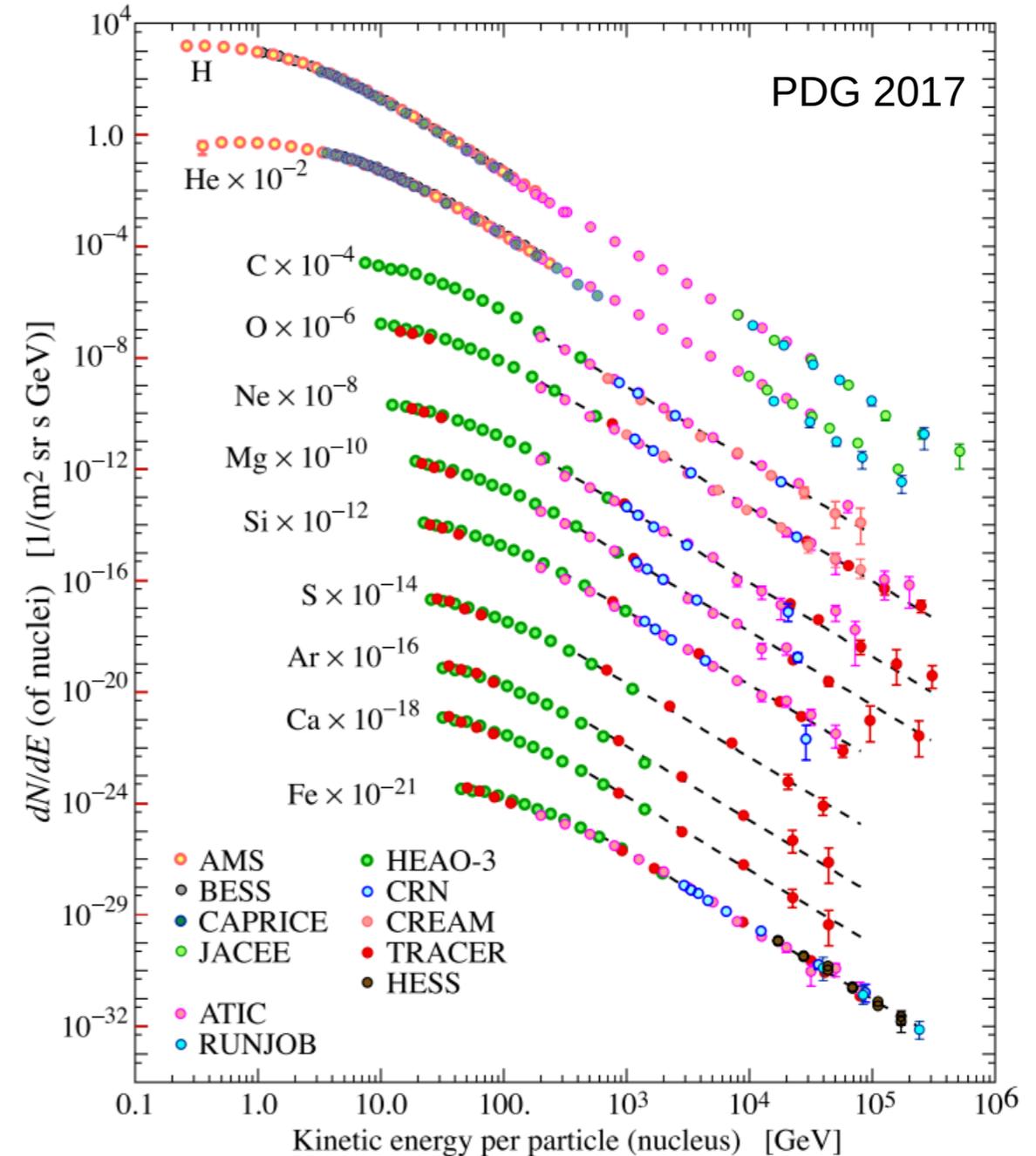
- **cosmic ray flux**
- atmospheric **density**
- hadronic **interactions**
- model of weak **decays**



cosmic ray flux

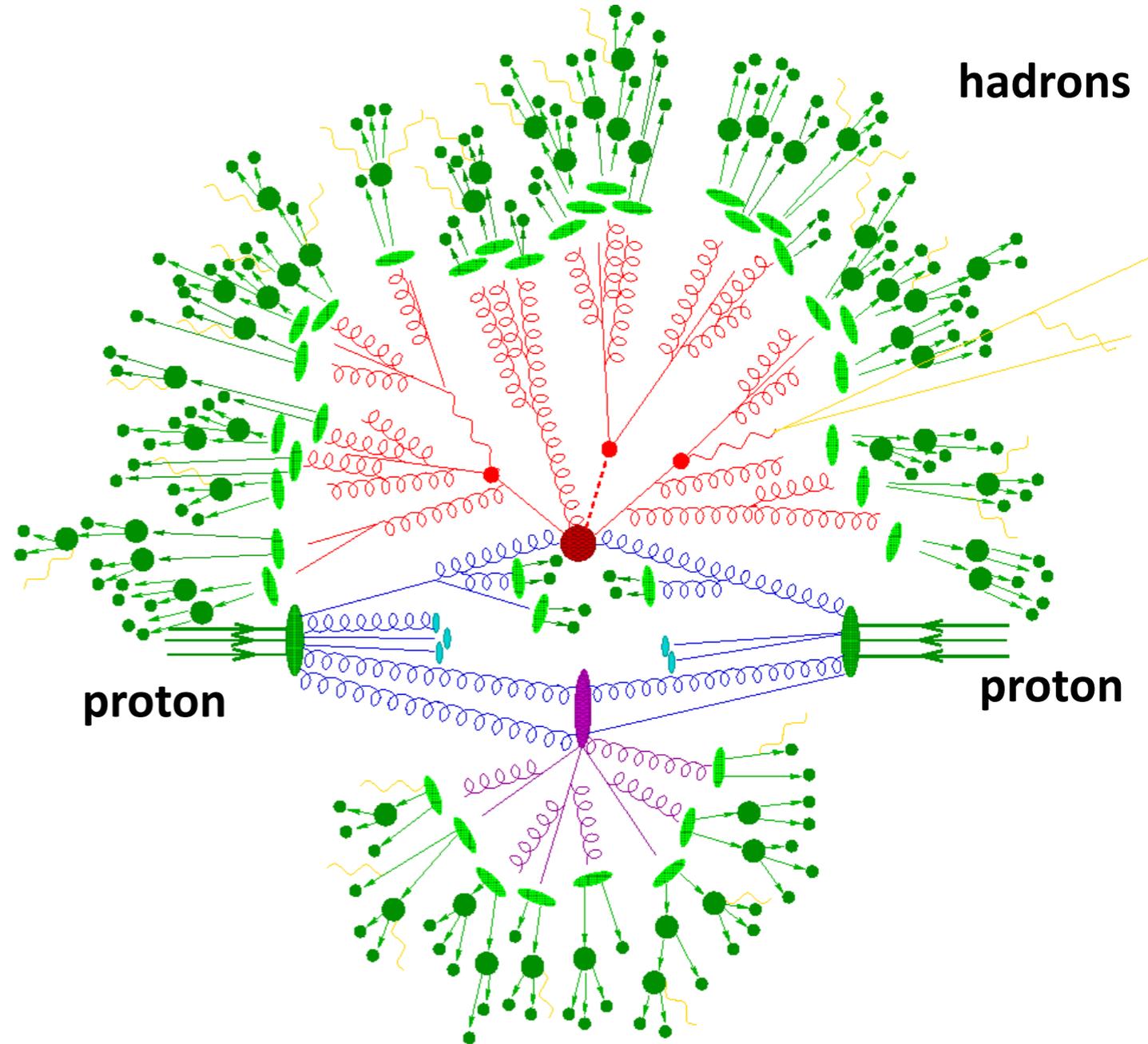
-many **new**
measurements in last
years

-extreme precision from
AMS-II, CALET and
DAMPE



hadronic interactions

- messy** interactions
- no full first-principle calculations
- use MC generators that **mix** phenomenology and calculations



atmospheric density

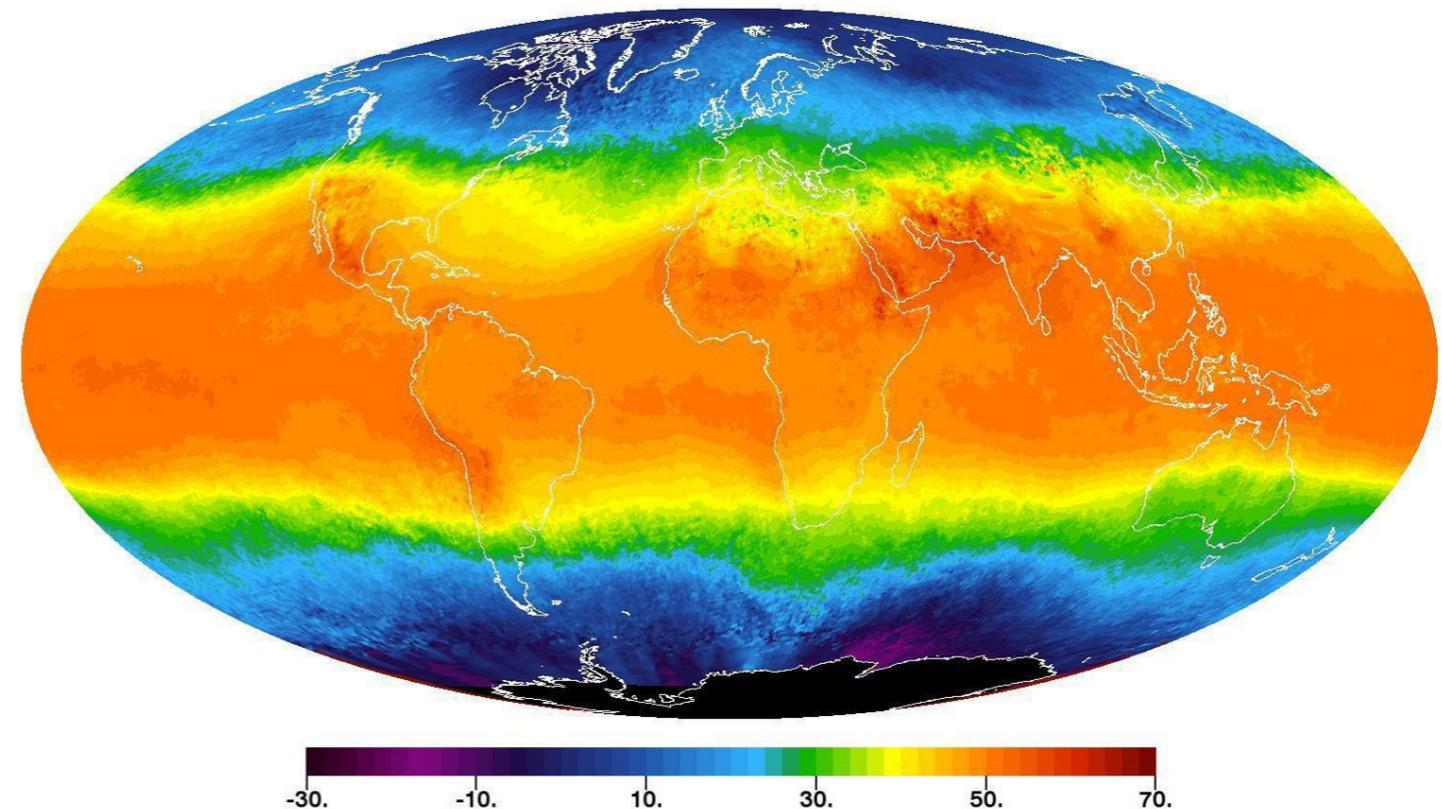
-model or direct measurement

-using satellite data

AIRS

NRLMSIS-E-00

AIRS DAYTIME AIR TEMPERATURE AT 700mb (F), May 2009



computation scheme options

a) analytically approx. cascade equations

b) numerically solving the equations

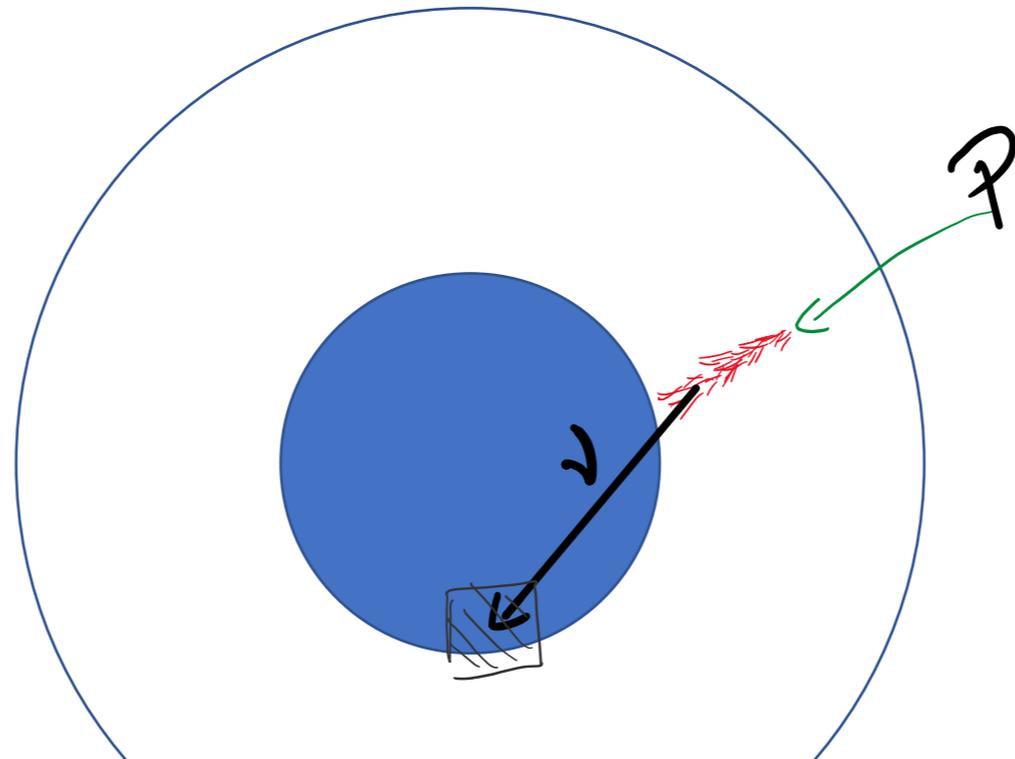
$$\begin{aligned} \frac{d\Phi_h(E, X)}{dX} = & - \frac{\Phi_h(E, X)}{\lambda_{\text{int},h}(E)} && \text{Interactions with air} \\ & - \frac{\Phi_h(E, X)}{\lambda_{\text{dec},h}(E, X)} && \text{Decays} \\ & - \frac{\partial}{\partial E} (\mu(E)\Phi_h(E, X)) && \text{Continuous losses} \\ & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{int},k}(E_k)} && \text{Re-injection from interactions} \\ & + \sum_k \int_E^\infty dE_k \frac{dN_{k(E_k) \rightarrow h(E)}^{\text{dec}}}{dE} \frac{\Phi_k(E_k, X)}{\lambda_{\text{dec},k}(E_k, X)} && \text{Re-injection from decays} \end{aligned}$$

[See A. Fedynitch's talk at ISAPP 2018](#)
for a more complete discussion

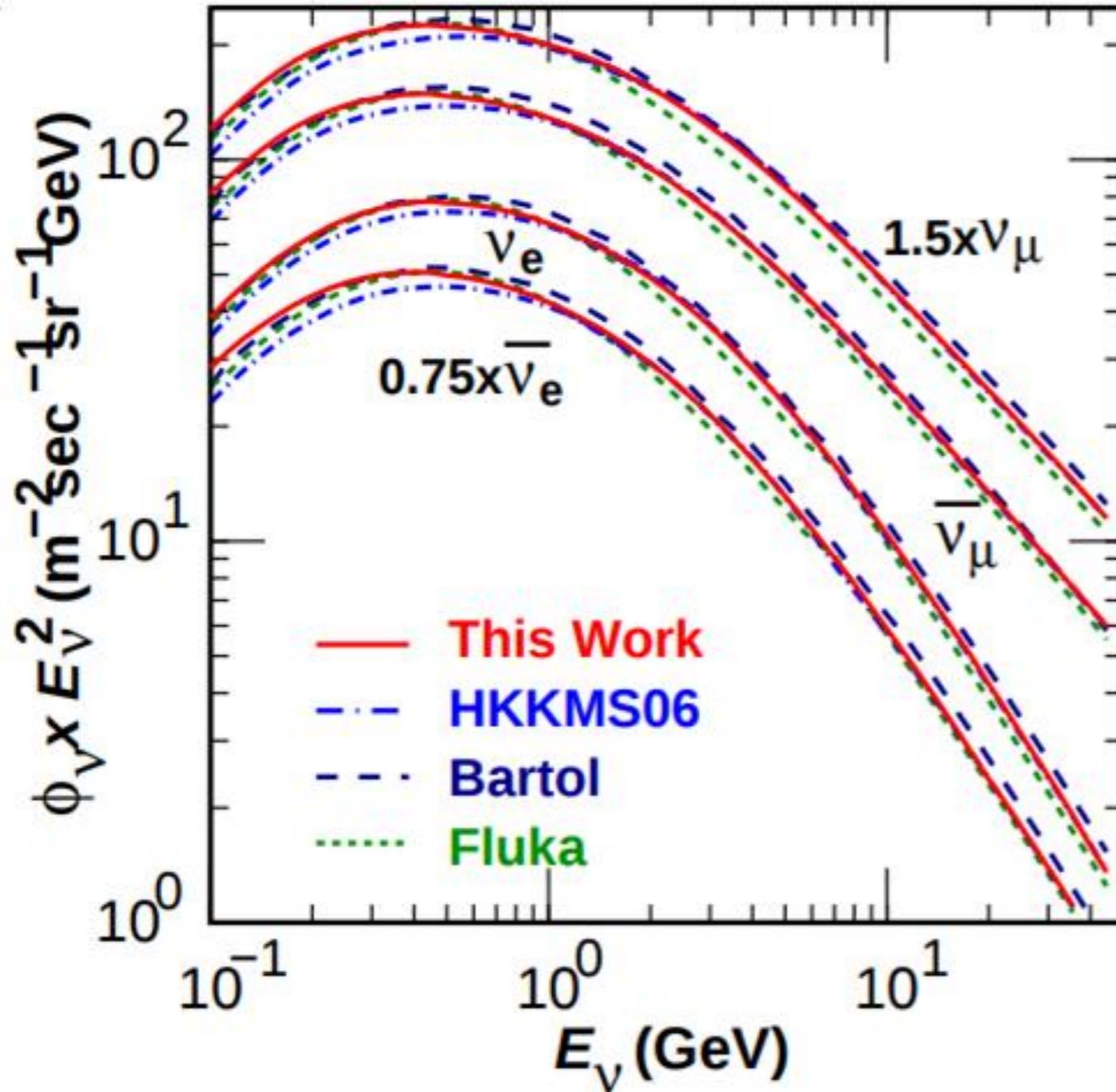
$$X(h_0) = \int_0^{h_0} d\ell \rho_{\text{air}}(\ell)$$

computation scheme options

- a) analytically approx. cascade equations
- b) numerically solving the equations
- c) MC of CR injected far from Earth

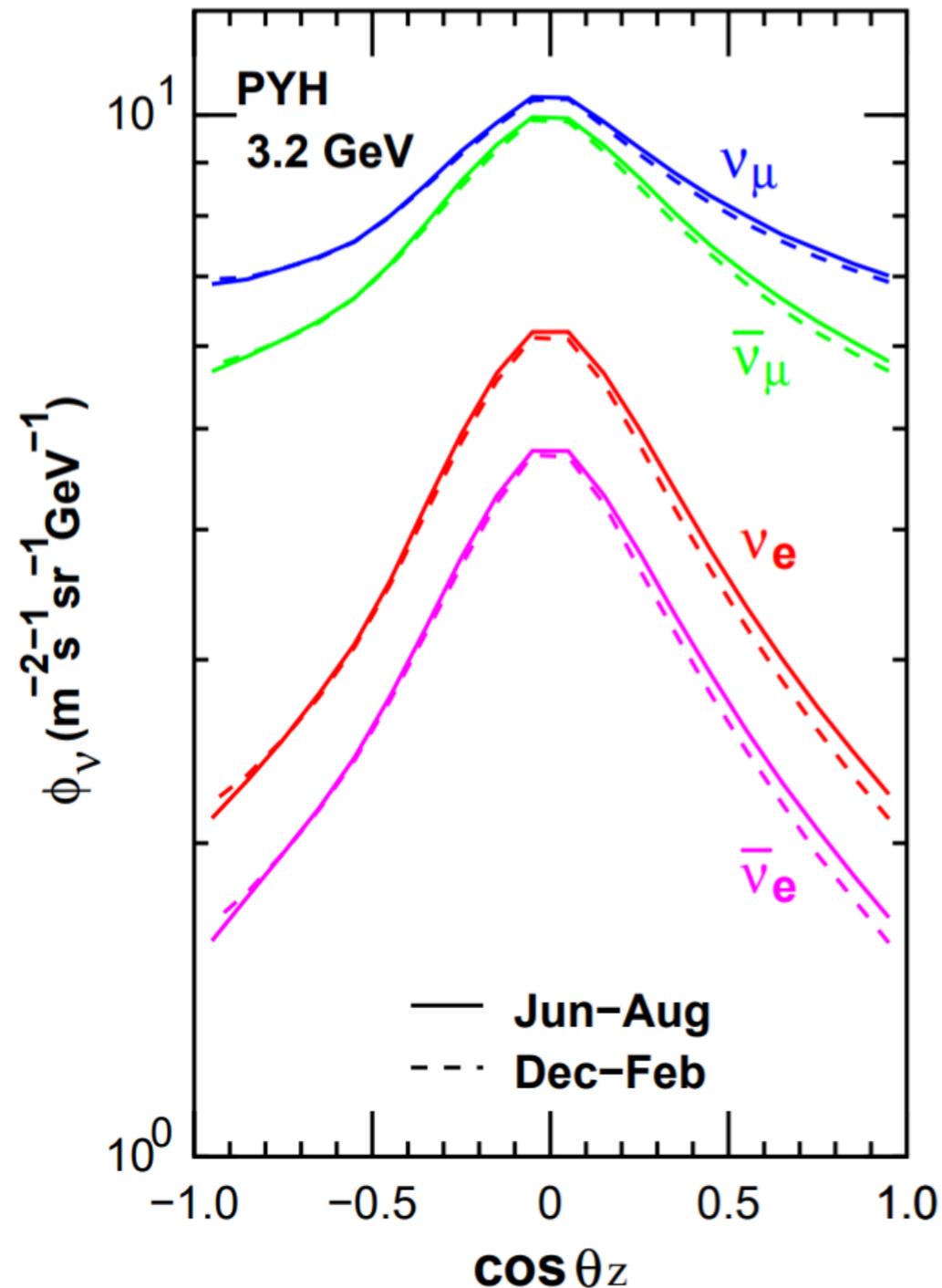


predicted flux



- covers a **wide energy** range
- contains **four** different particles
- dominated by **muon** neutrinos
- approximately top/down **symmetric**

predicted flux



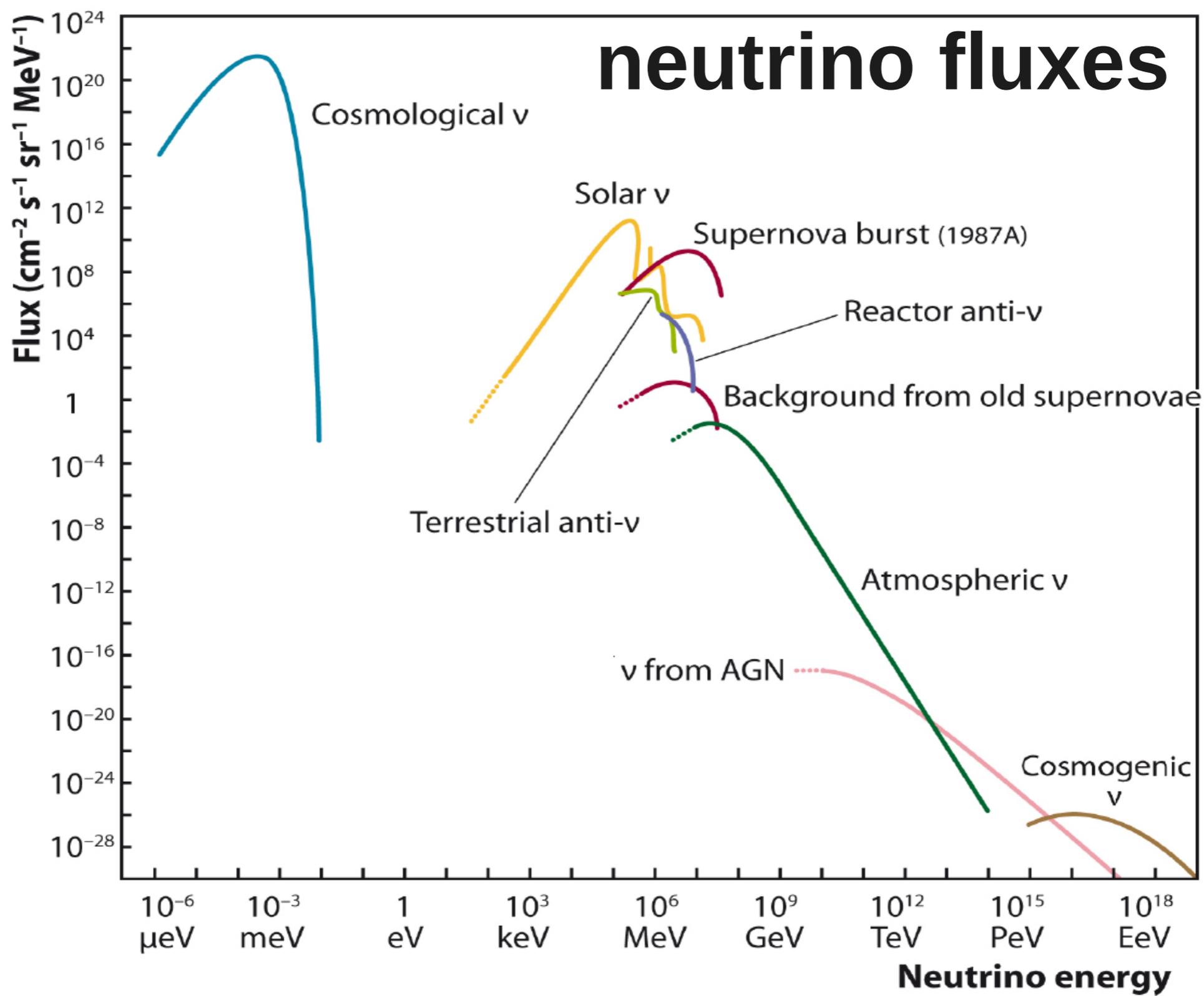
-covers a **wide energy** range

-contains **four** different particles

-dominated by **muon** neutrinos

-approximately top/down **symmetric**

neutrino fluxes

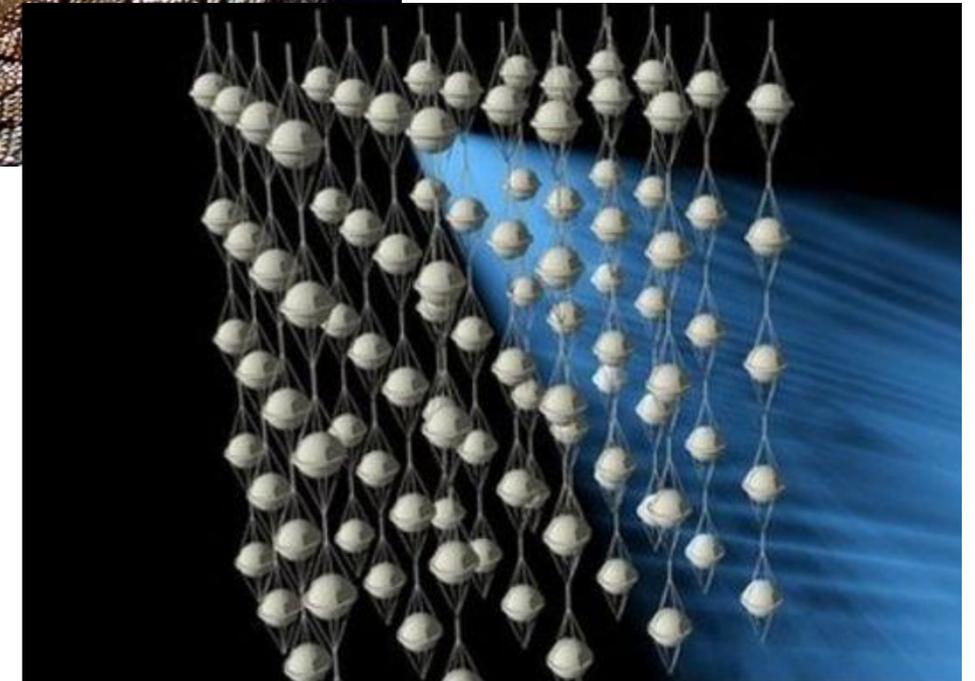
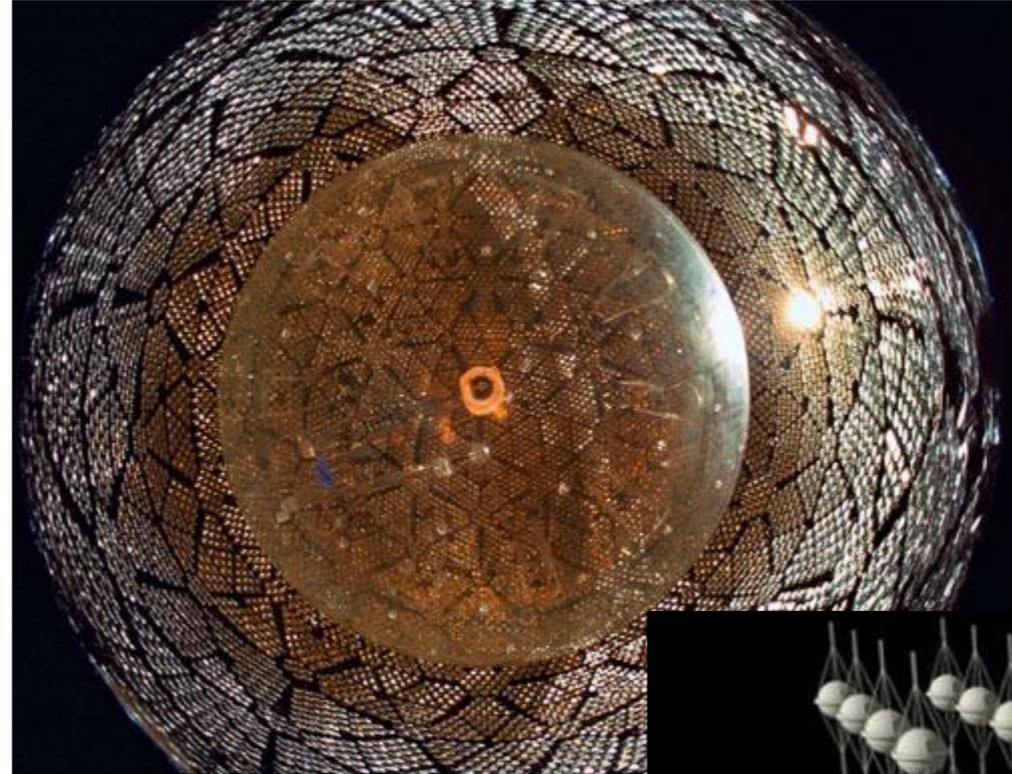


atmospheric neutrino detection

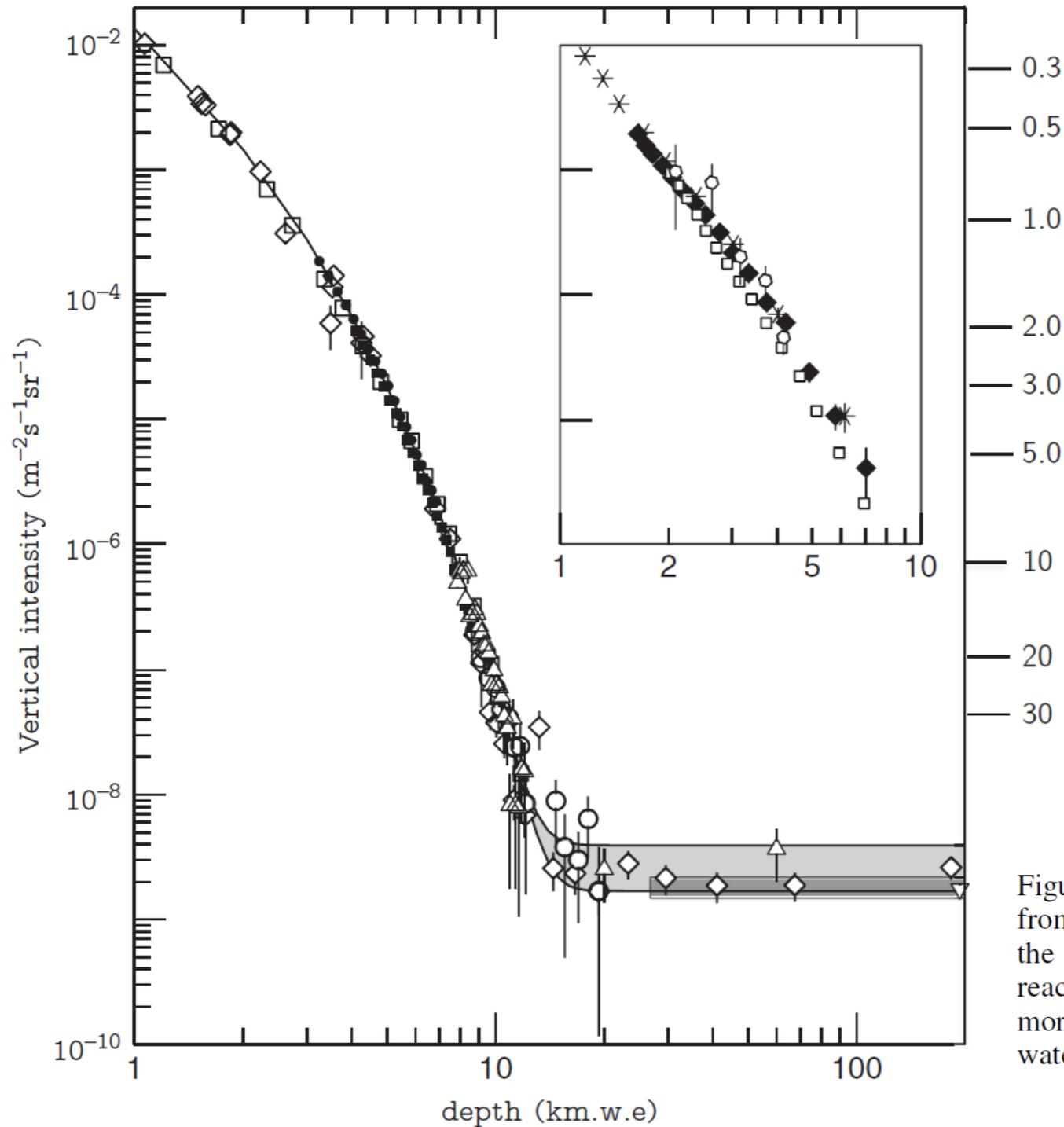
first ideas

-Greisen (1960)
proposed a volume of
water **surrounded by**
Cherenkov counters

-Markov (1960)
proposed installing
detectors deep in a
lake or the sea



first ideas



key point:
deep
underground
to avoid muon
background

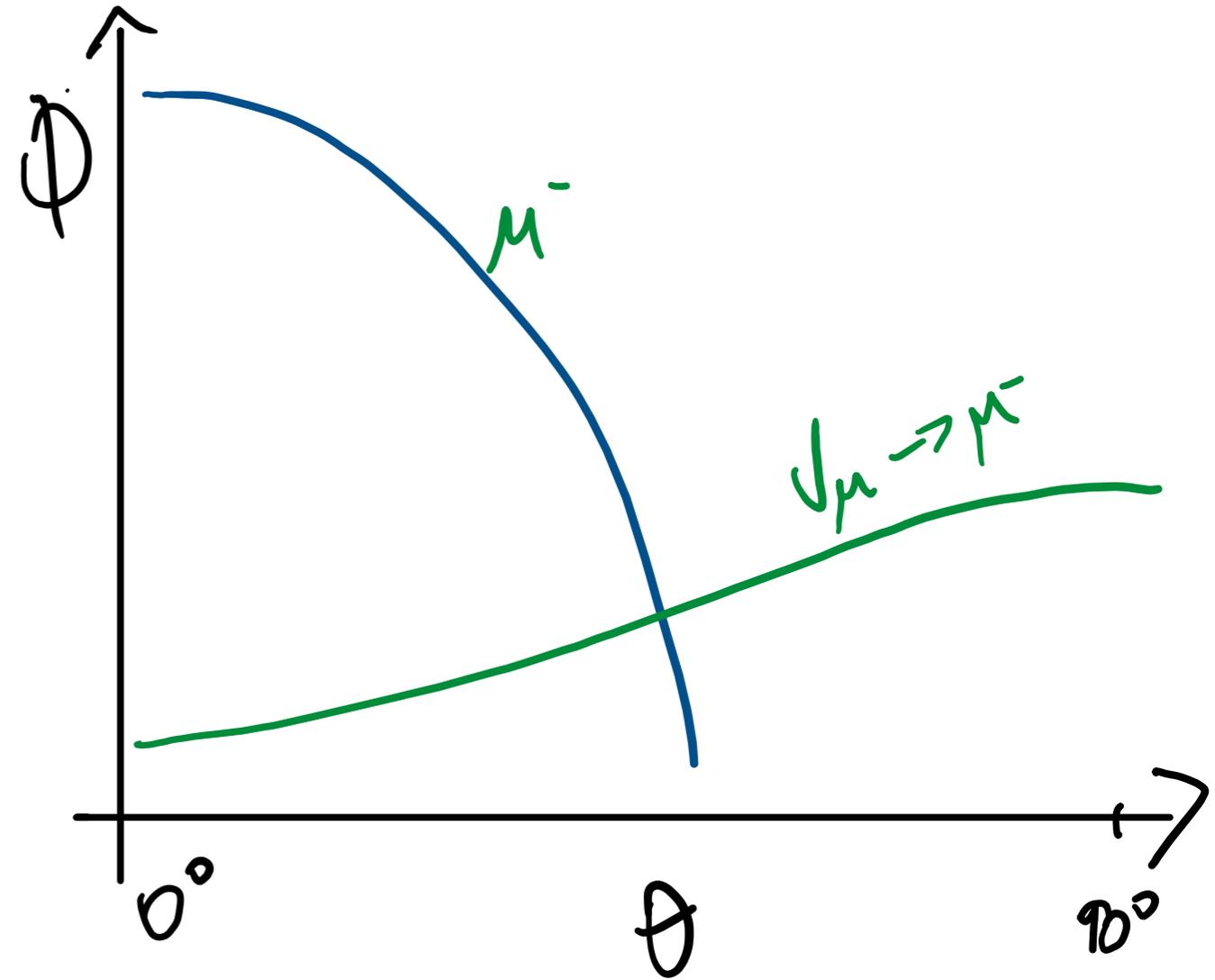
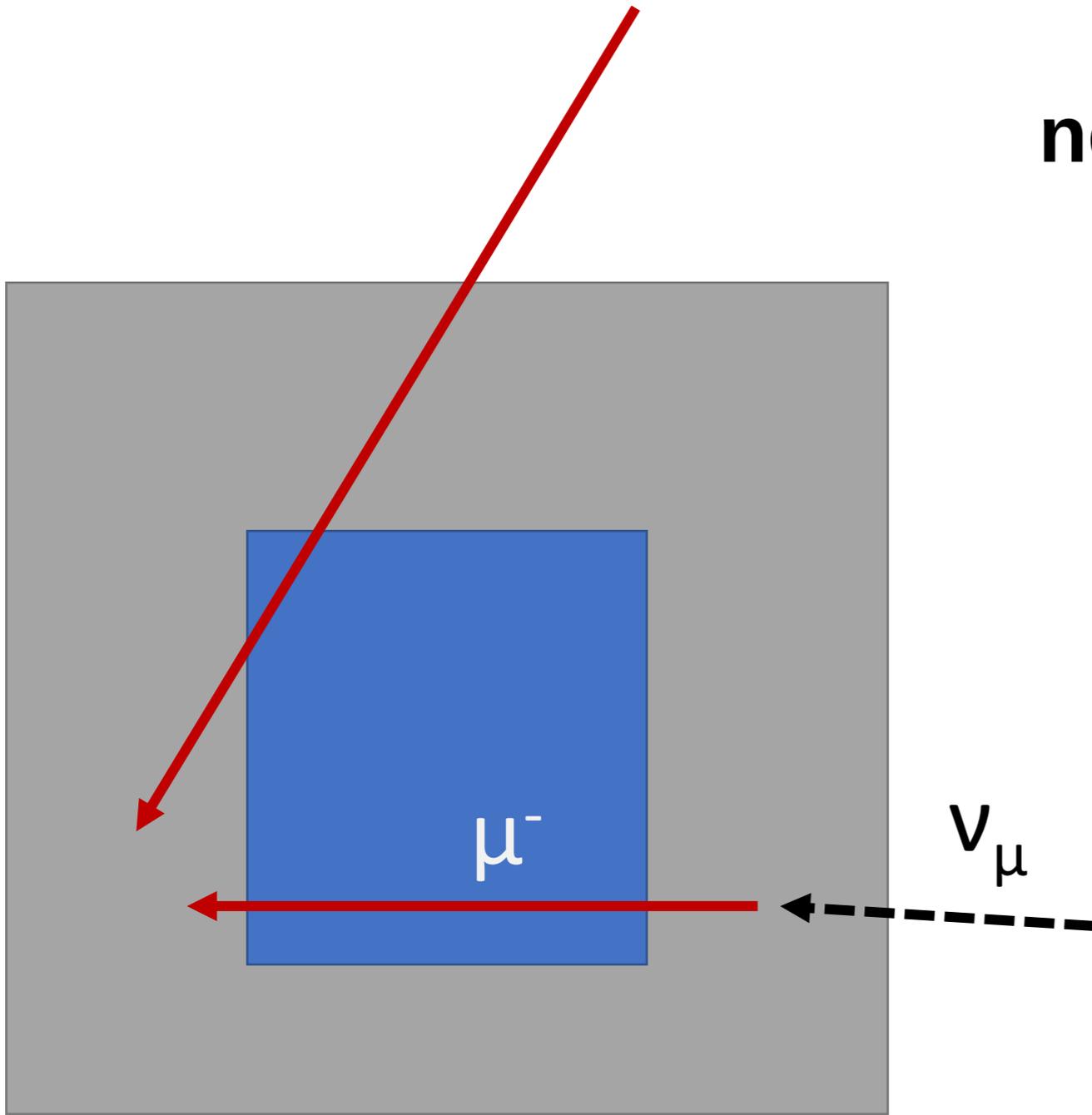
Figure 8.2 Relation between muon intensity and depth underground, adapted from Review of Particle Physics [10]. The left axis is the vertical intensity, while the right axis shows the minimum muon energy (TeV) at production needed to reach the depth corresponding to a given intensity. At depths of 10 km.w.e. and more neutrino-induced muons dominate. The inset shows measurements made in water or ice.

discovery of **atmospheric neutrinos** (1965-68)

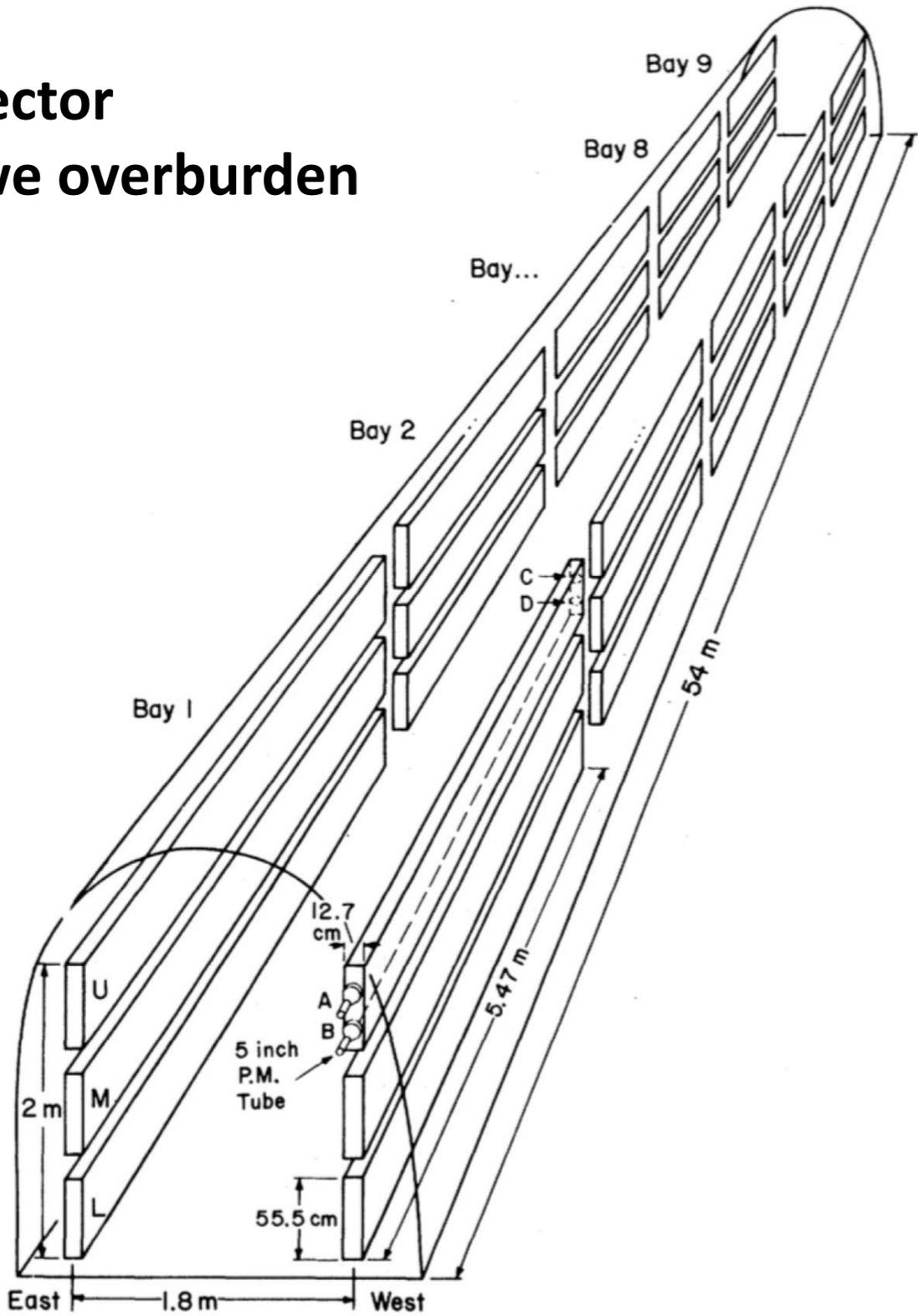


Kolar Gold Fields detector
Case Western Irvine/South Africa Neutrino Detector

neutrino-induced muons

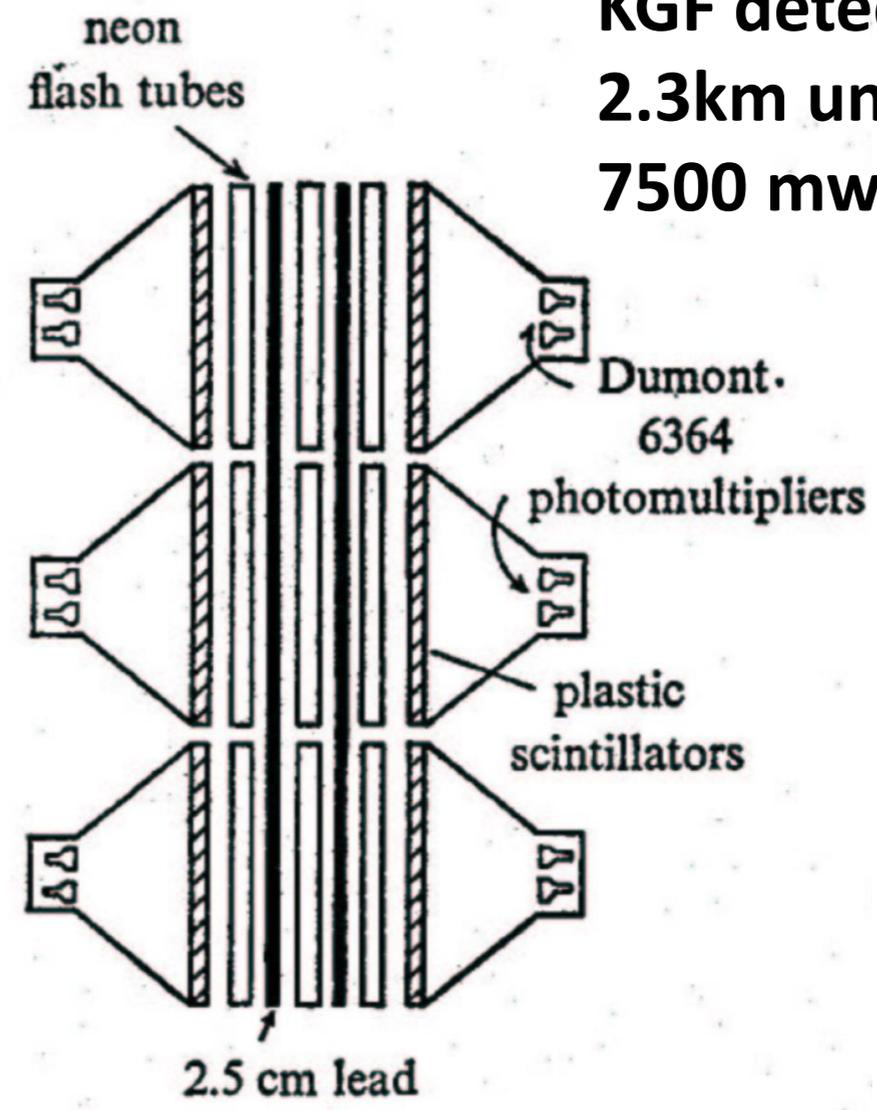


CWI detector 8800 mwe overburden

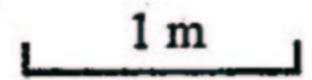


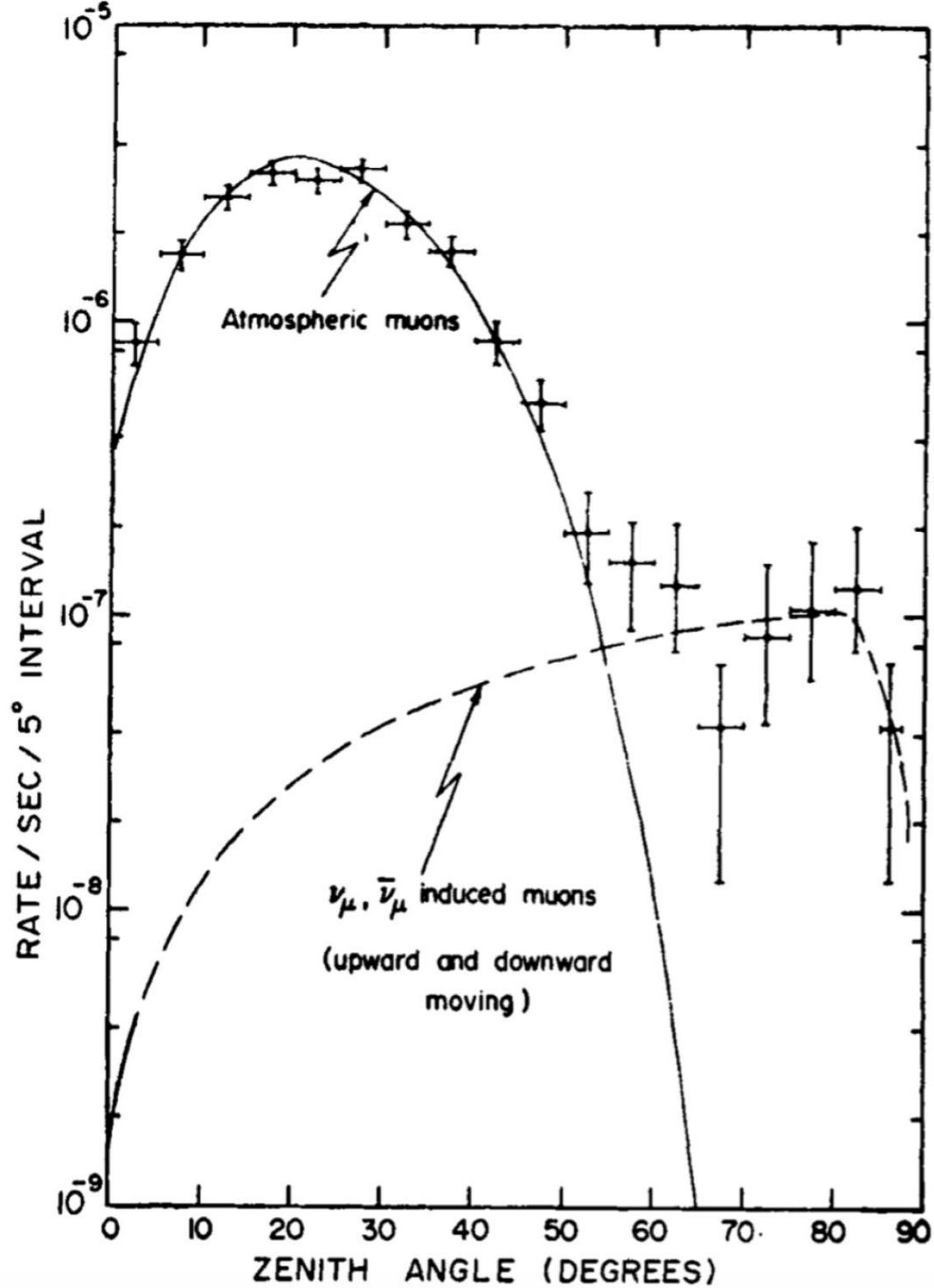


KGF detector
2.3km under rock
7500 mwe

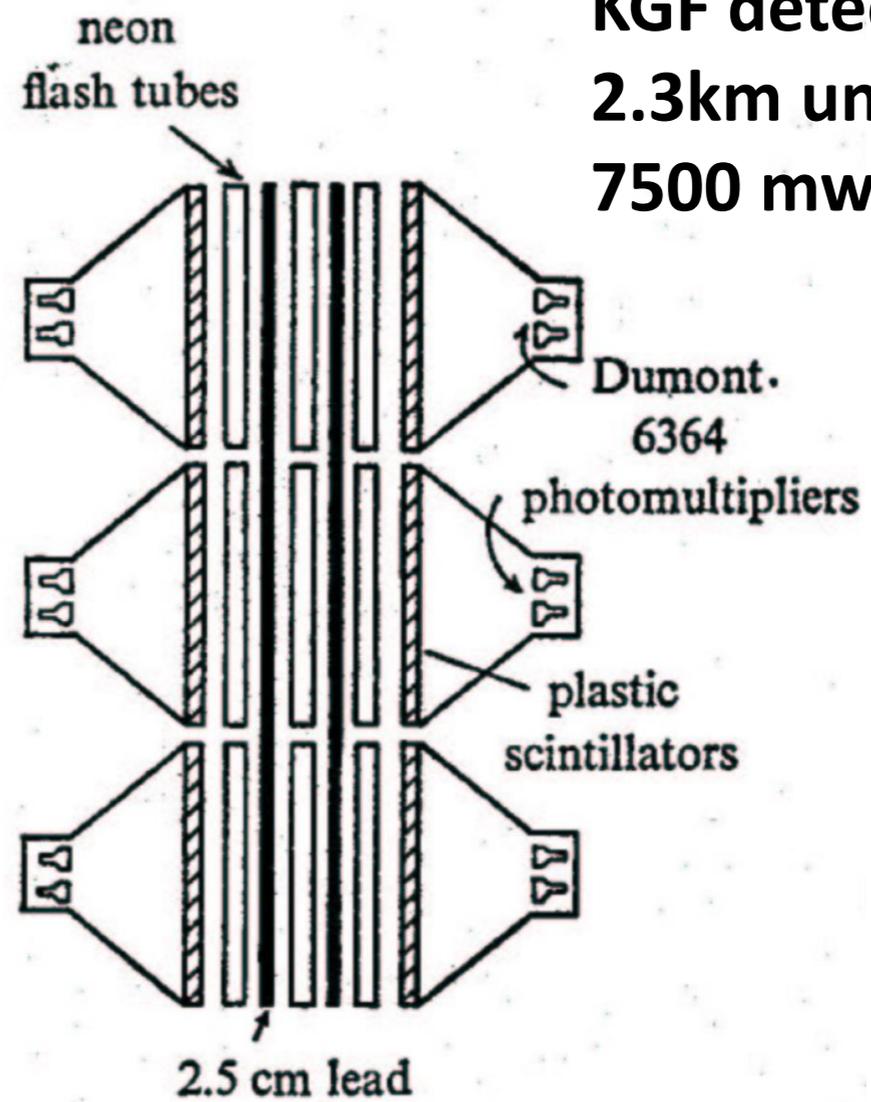


telescopes 1 and 2
(2 m in line of sight)





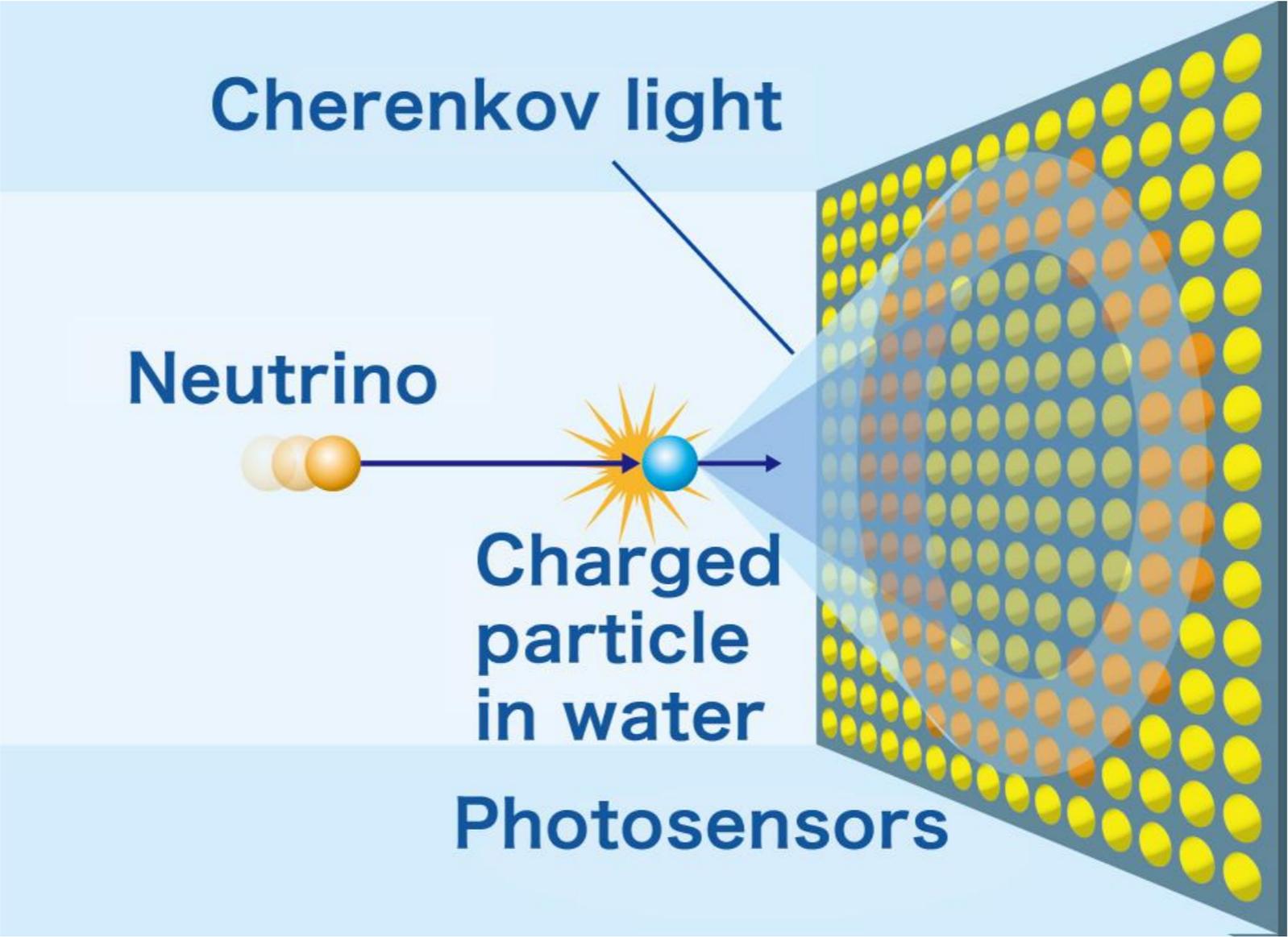
KGF detector
2.3km under rock
7500 mwe



telescopes 1 and 2
 (2 m in line of sight)

1 m

Cherenkov detectors



tracking calorimeters

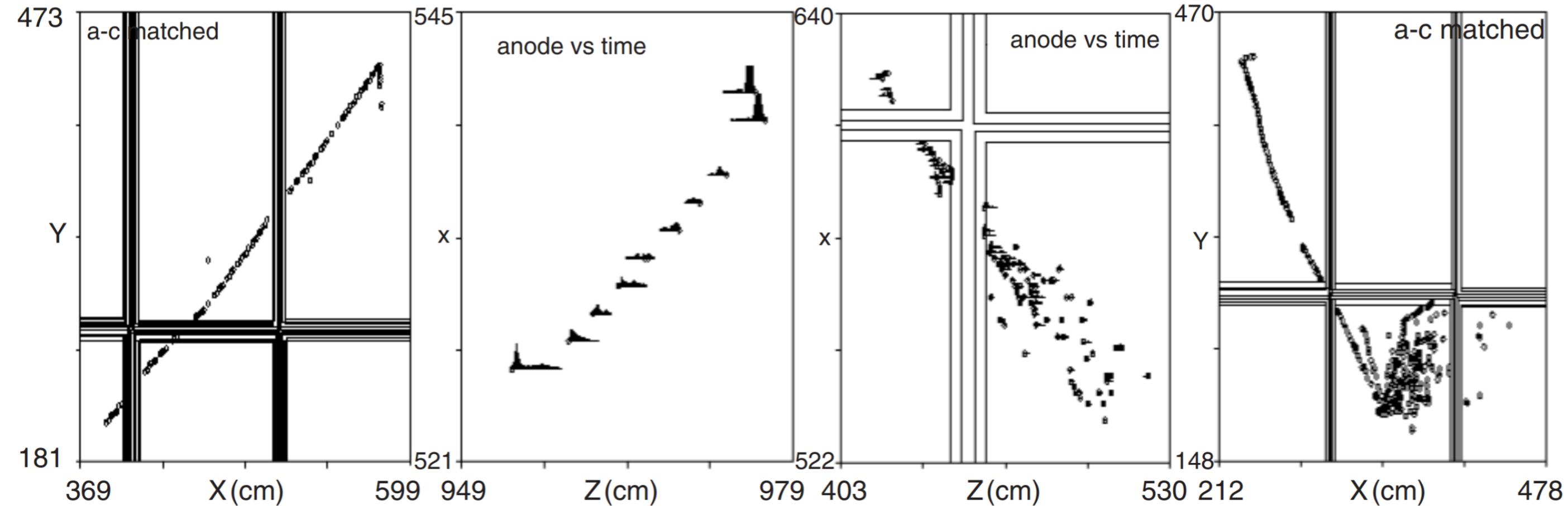
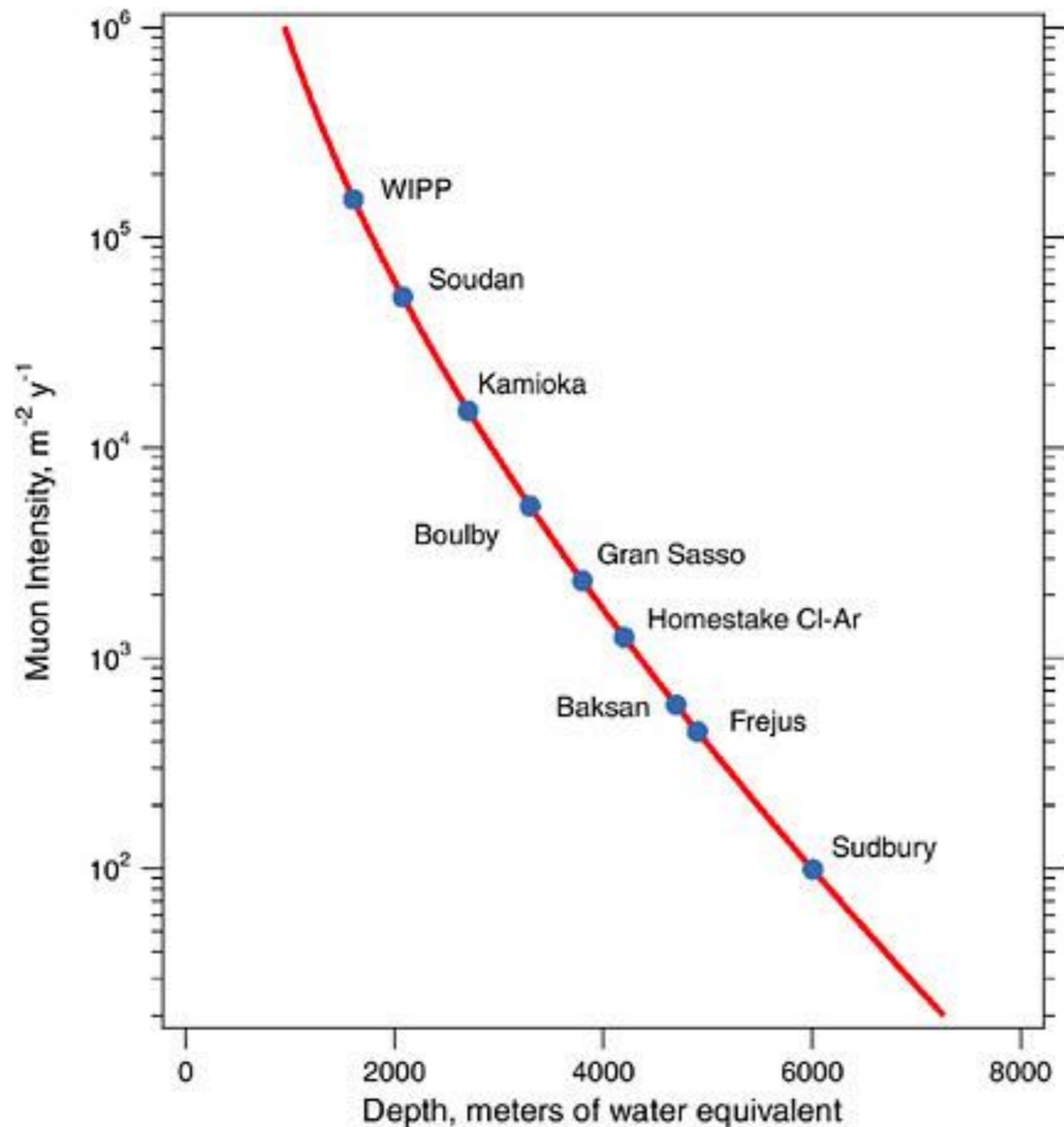


Figure 9. Example event displays from the Soudan-2 detector, showing the long track from a muon and a shorter, more heavily ionizing track from a recoil proton.



again:
go **deep underground**

on the early experiments

- motivated by the search for **proton decay**
- atmospheric neutrinos were **not the goal**
- but now we know a **little more**

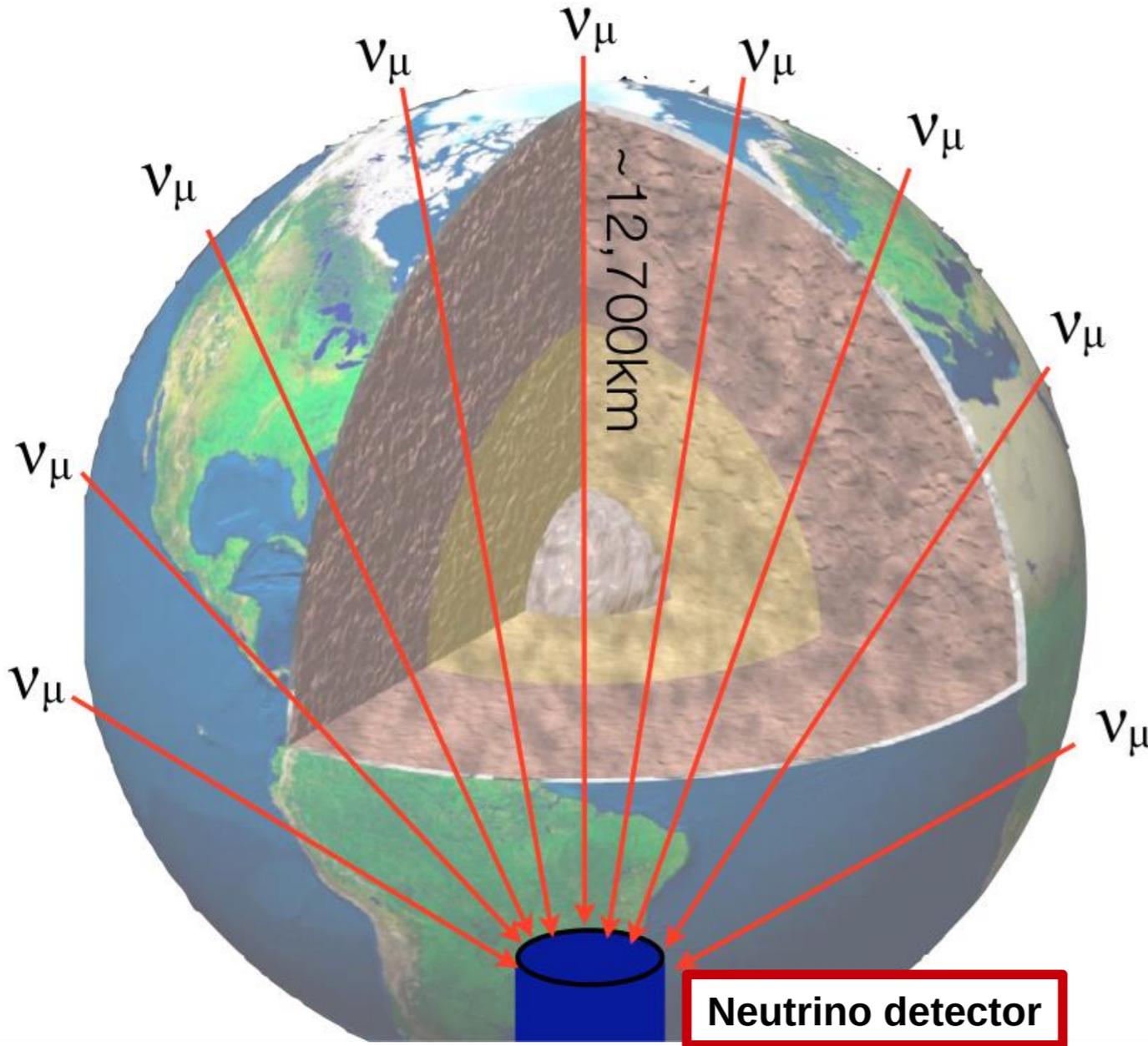
physics motivation

why atmospherics?

direction → **baseline**

~10km - ~12,700km

different **e^- density**
along paths

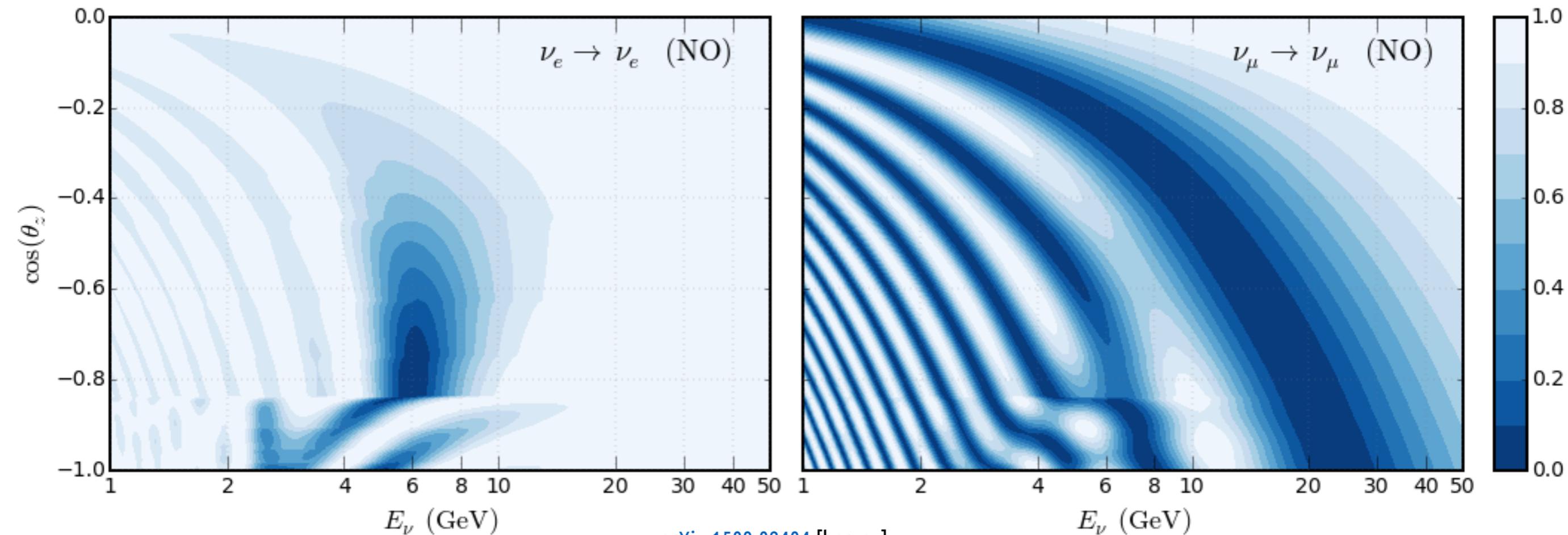


Borrowed from T. DeYoung

$$P_{\nu_\alpha \rightarrow \nu_\beta}^{2\nu}(L, E) = \sin^2(2\theta) \sin^2\left(\frac{\Delta m^2}{4E} L\right)$$

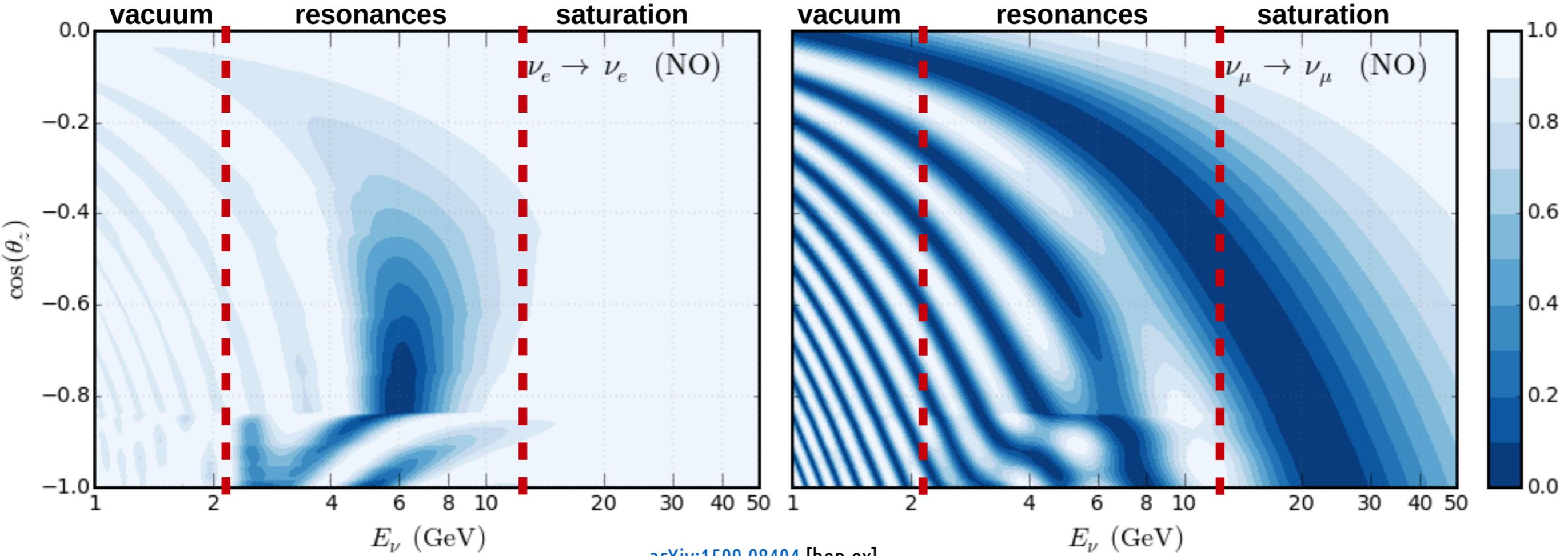
Annotations:
- $|\Delta m_{\text{large}}^2| \gg |\Delta m_{\text{small}}^2|$ (relevant mass-splitting)
- θ (effective mixing angle)

survival probabilities



[arXiv:1509.08404](https://arxiv.org/abs/1509.08404) [hep-ex]

survival probabilities



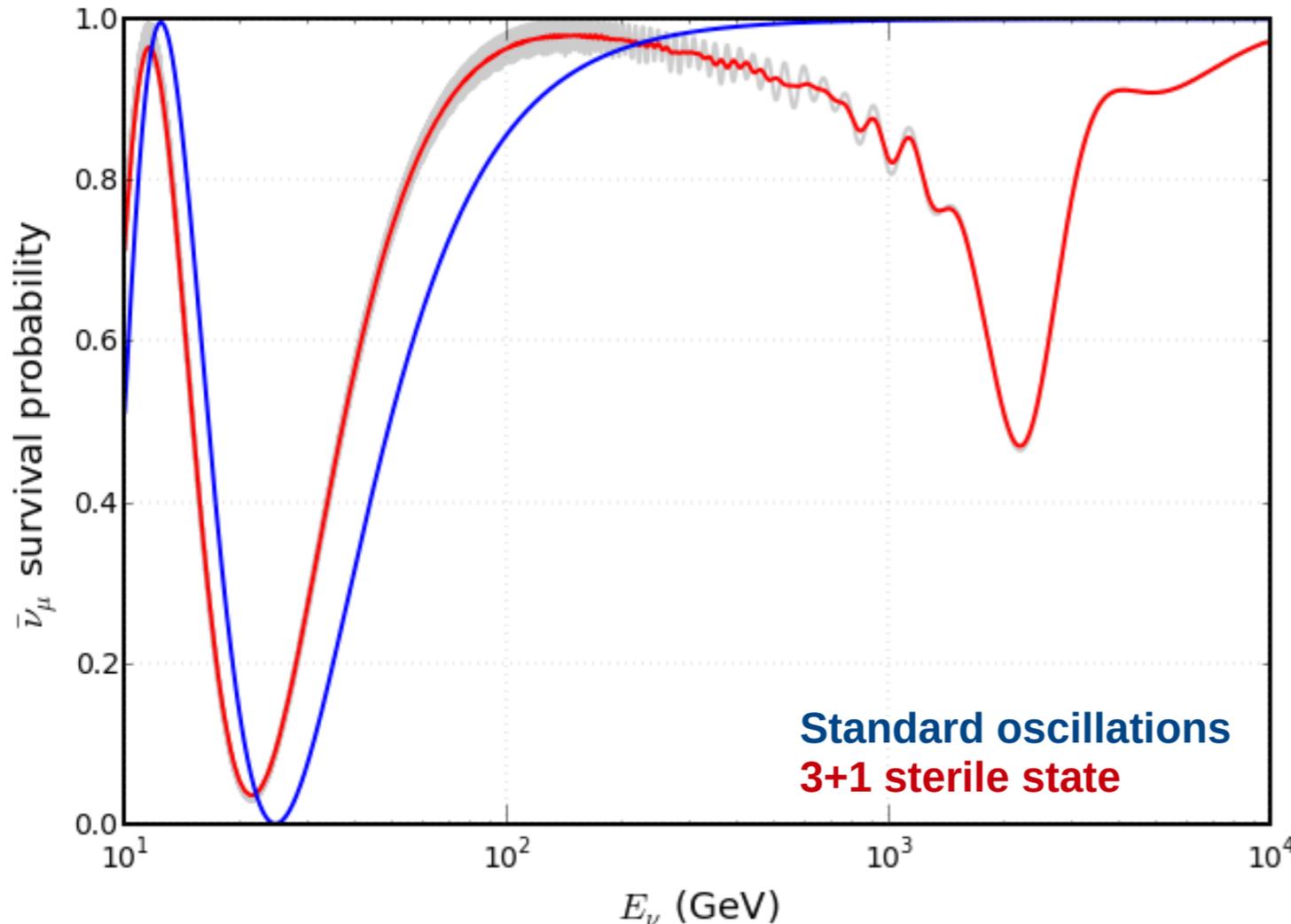
[arXiv:1509.08404](https://arxiv.org/abs/1509.08404) [hep-ex]

vacuum: $|\Delta m_{32}^2|$ θ_{23} θ_{13}

resonance: Δm_{32}^2

saturation: $|\Delta m_{32}^2|$ θ_{23}
 ν_τ appearance

exotic possibilities



for $\cos\theta = -1$ (crossing all of the Earth)

sterile neutrinos

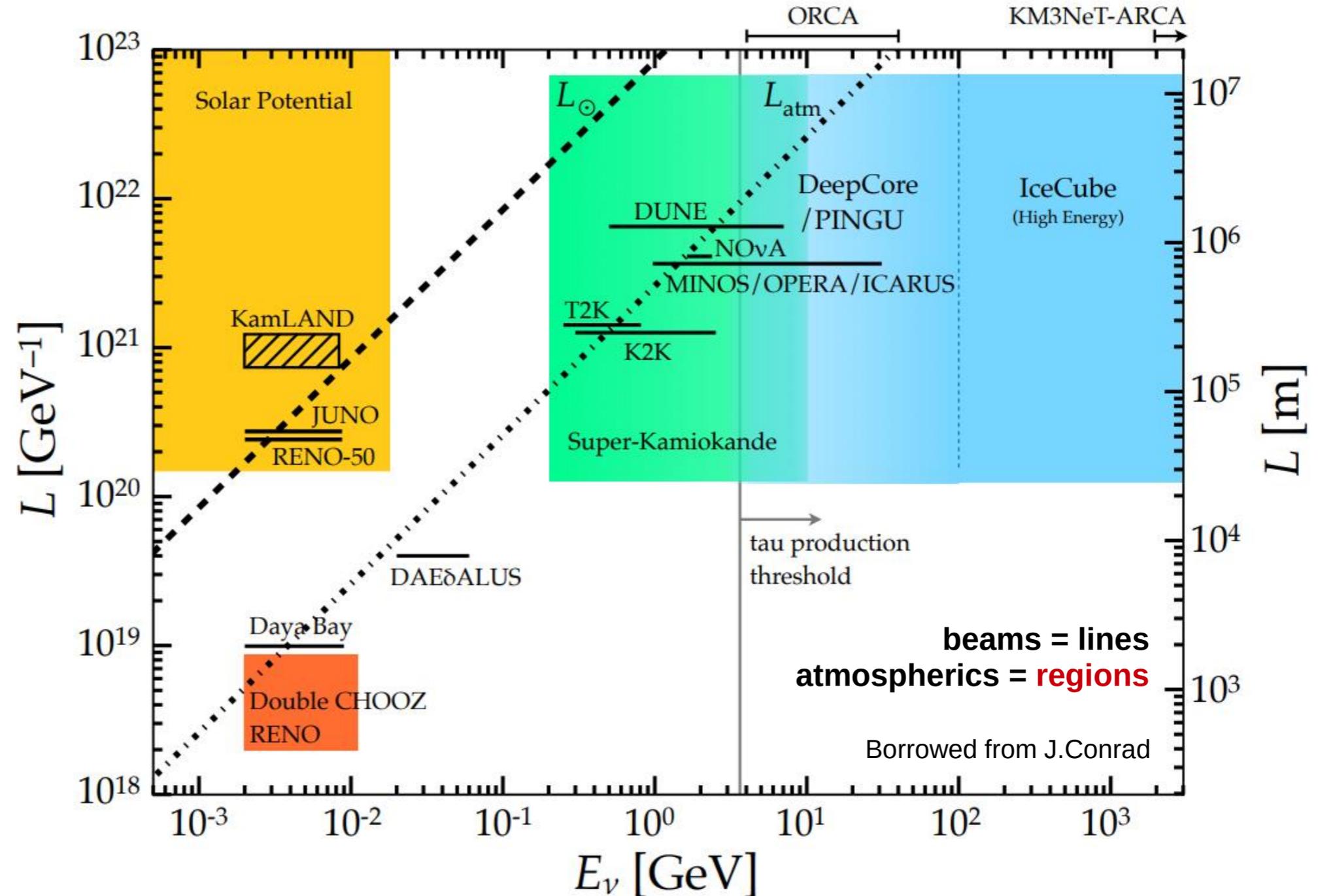
- **modify** std. osc. effect

- **add** osc. at $E \sim \text{TeV}$

$$\sin^2 \left(\frac{\Delta m^2}{4E} L \right)$$

- **modify** $P(\nu_\mu \rightarrow \nu_\mu)$

wide baseline, energy range



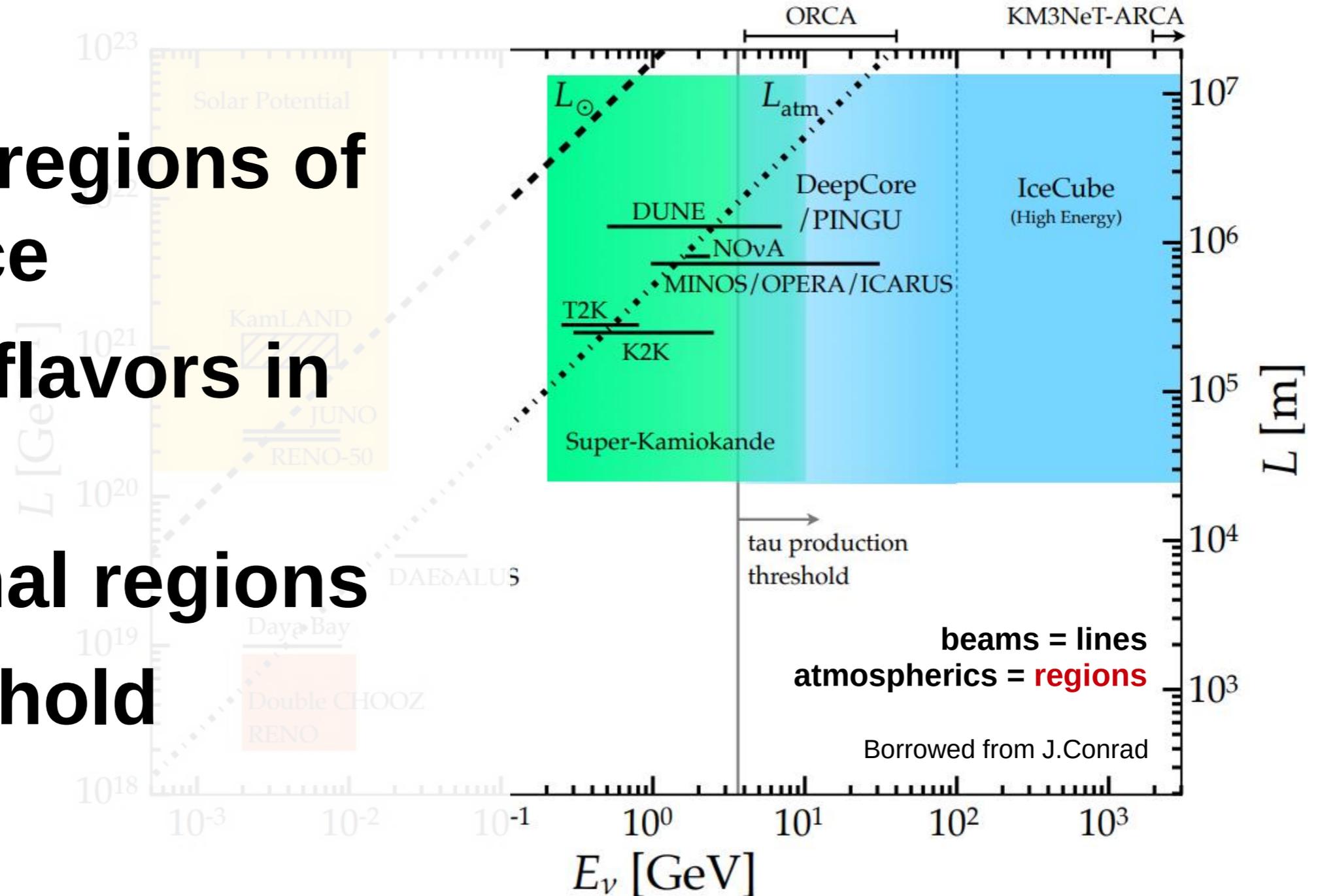
wide baseline, energy range

-large **L&E** regions of phase space

-2 ν , anti- ν flavors in “beam”

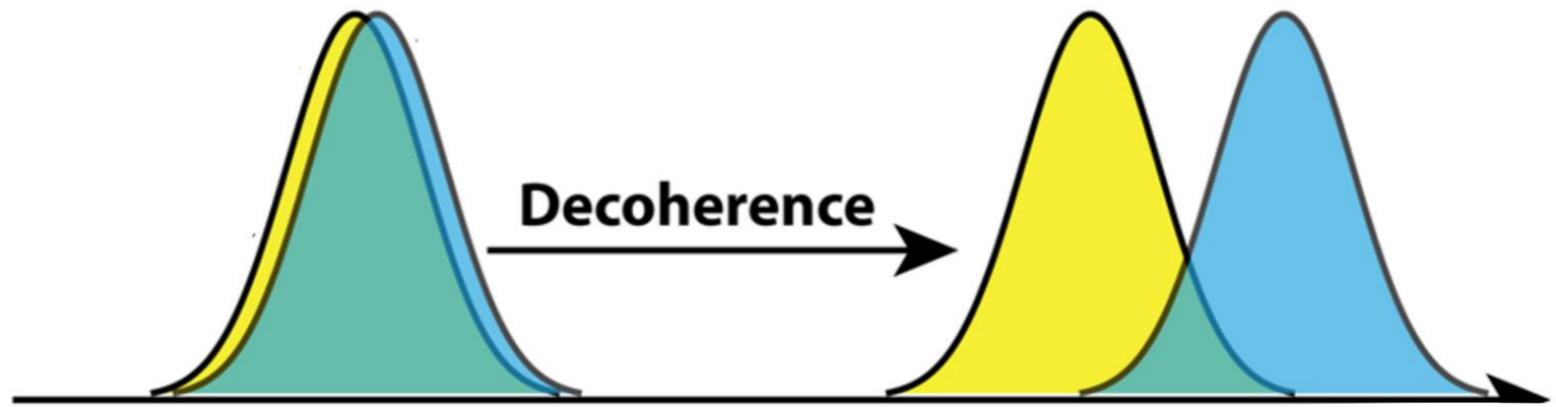
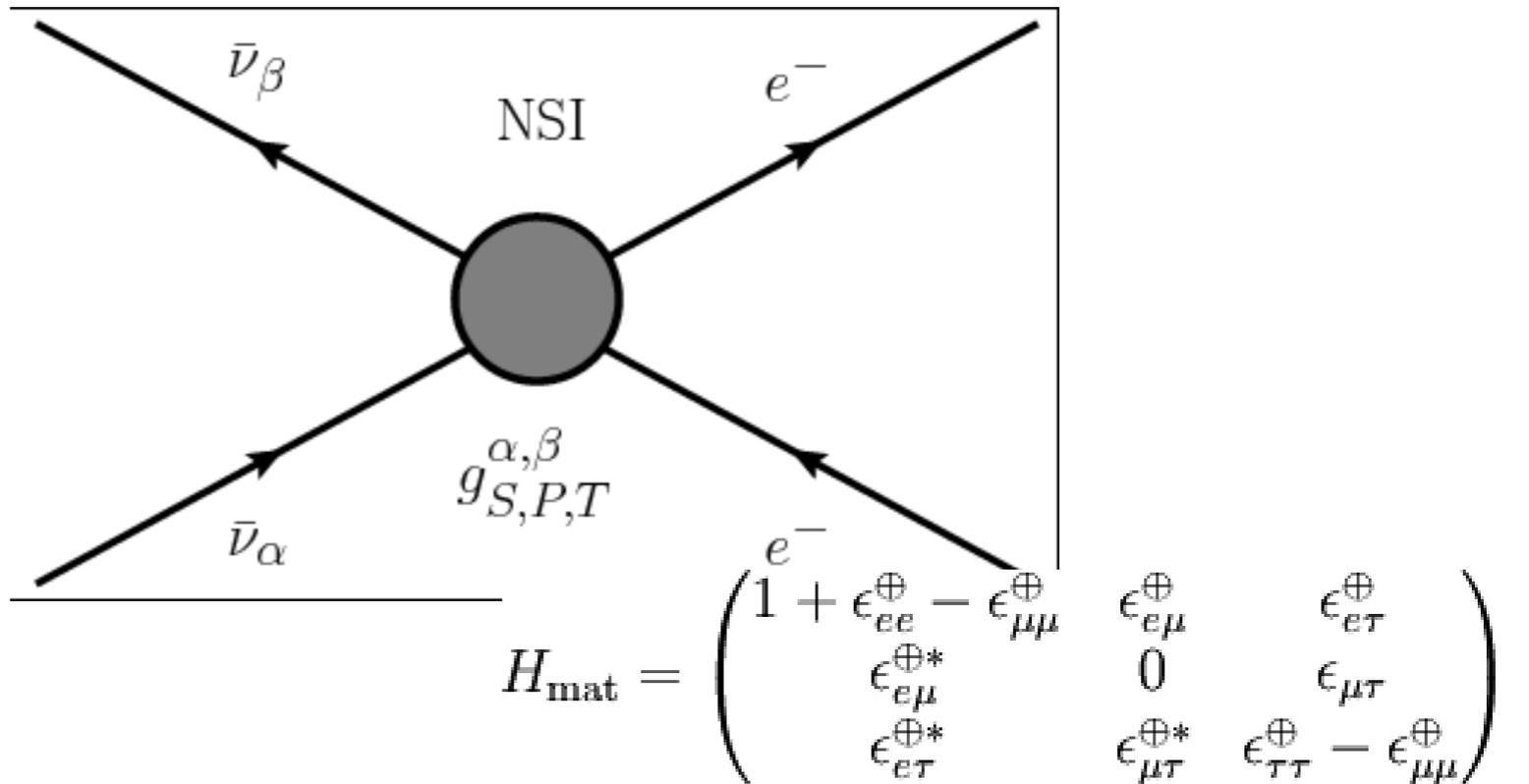
-on/off signal regions

-**E** > τ threshold



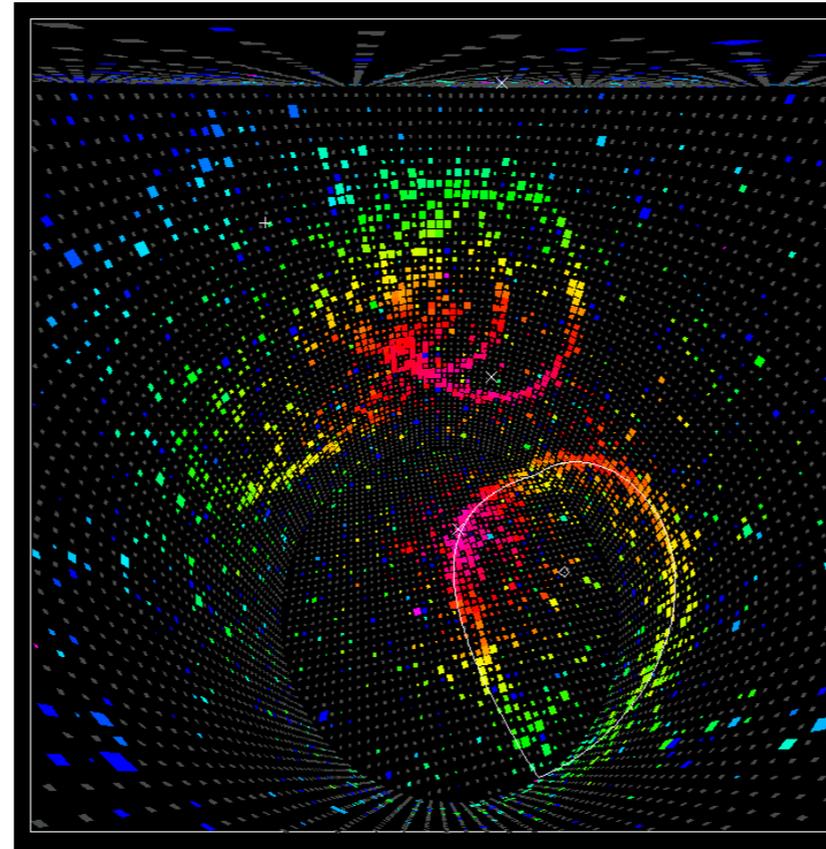
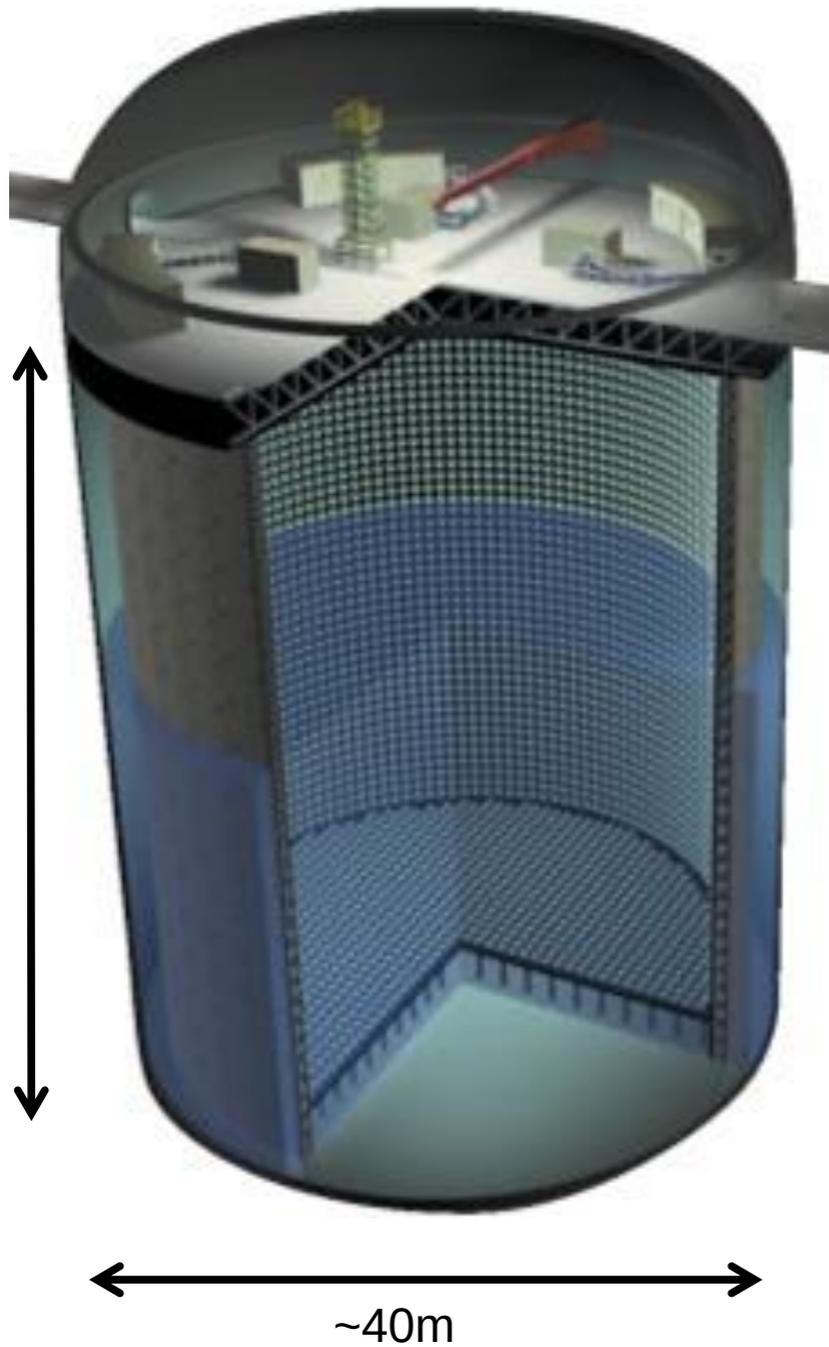
and the off-signal regions?

- used to probe **exotic** possibilities
- all show as **distortions** in the spectrum

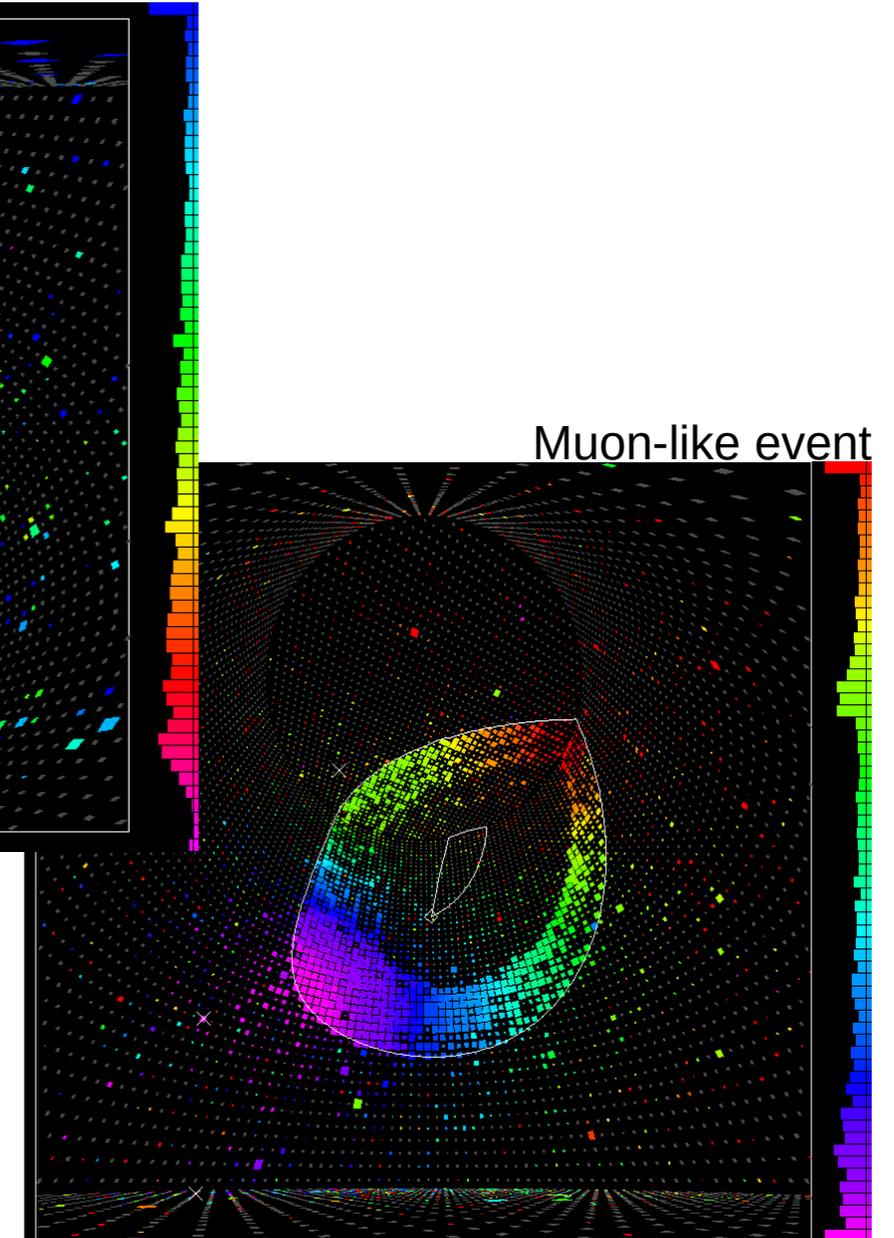


recent atmospheric neutrino measurements

Super-Kamiokande

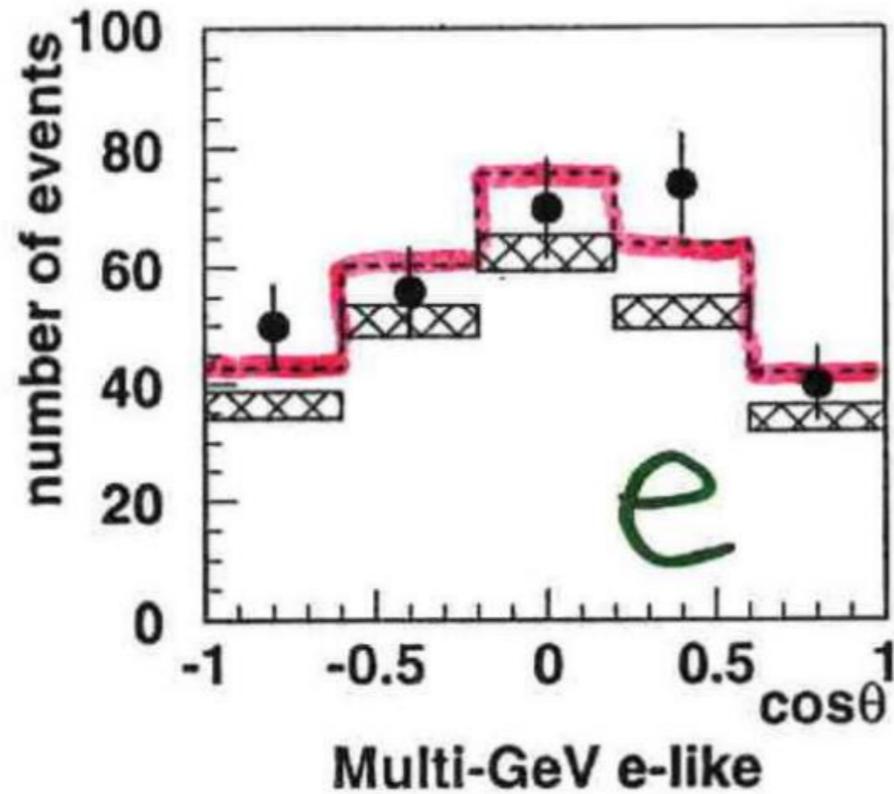


Two-gamma-like event

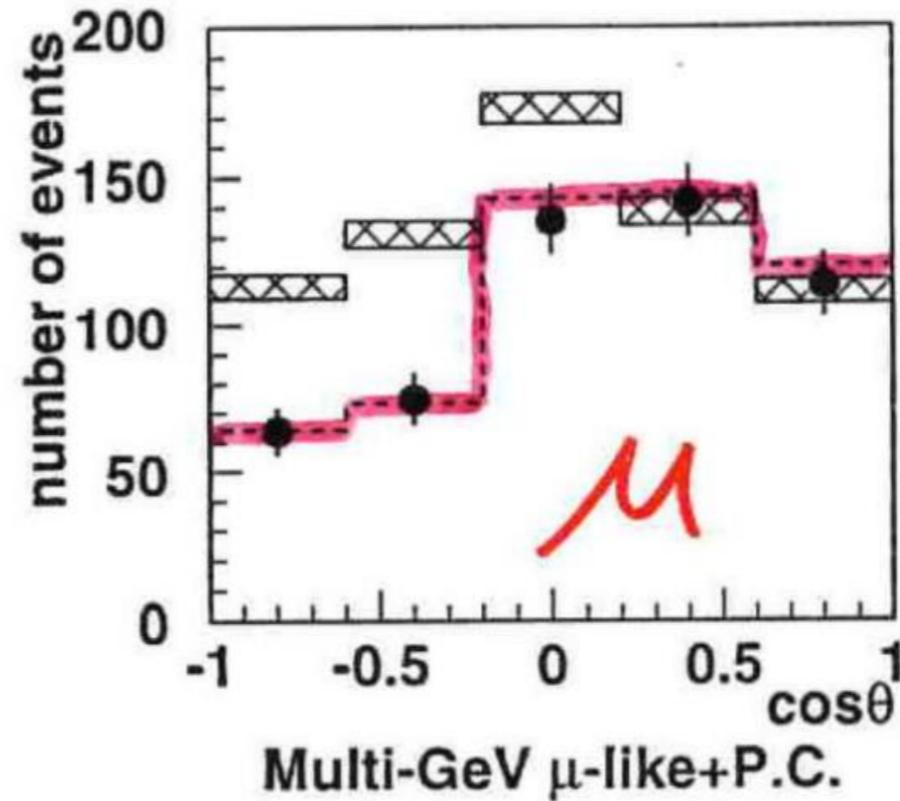


Muon-like event

Super-Kamiokande



from Neutrino'98 presentation

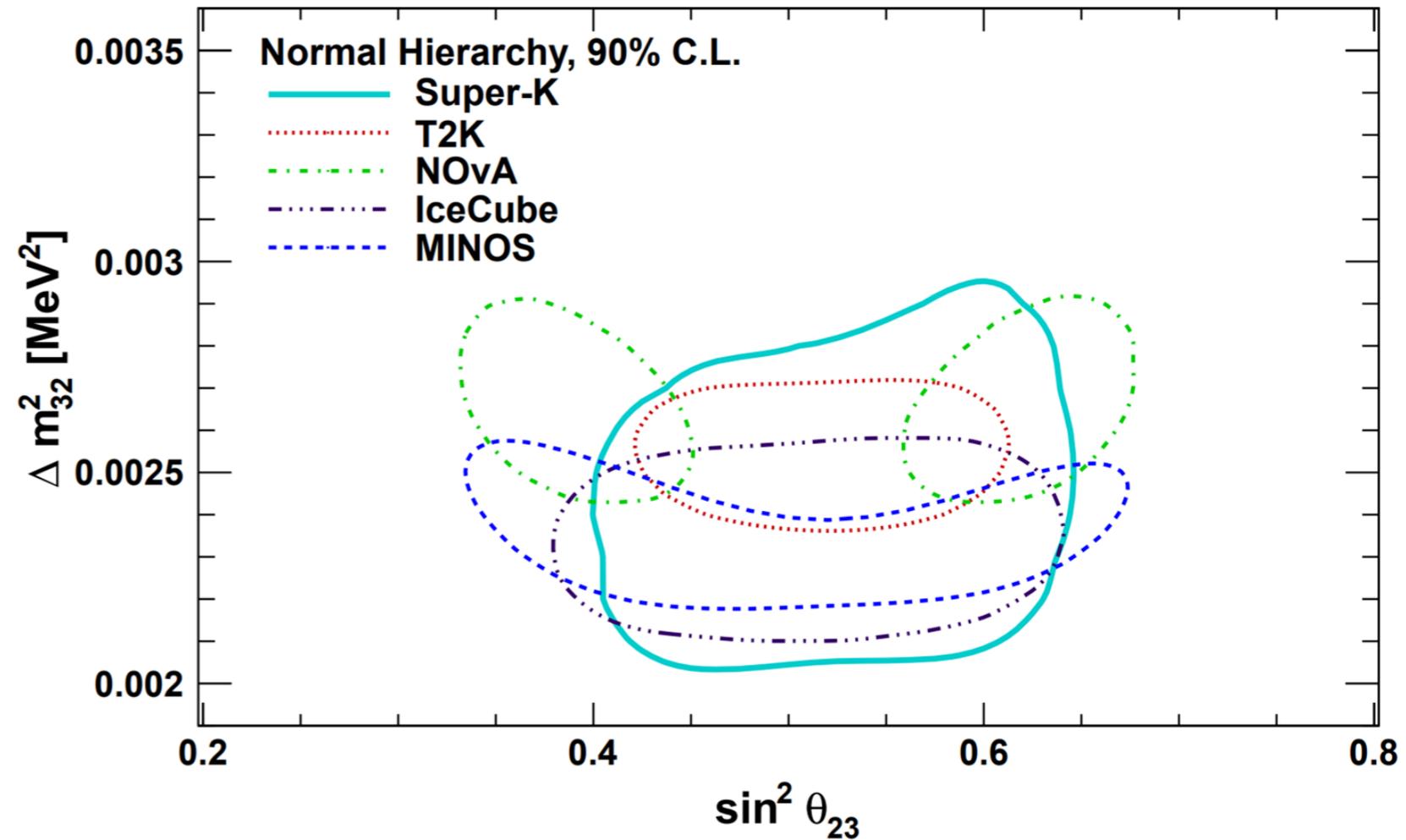
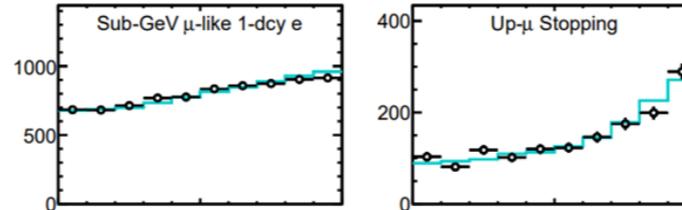
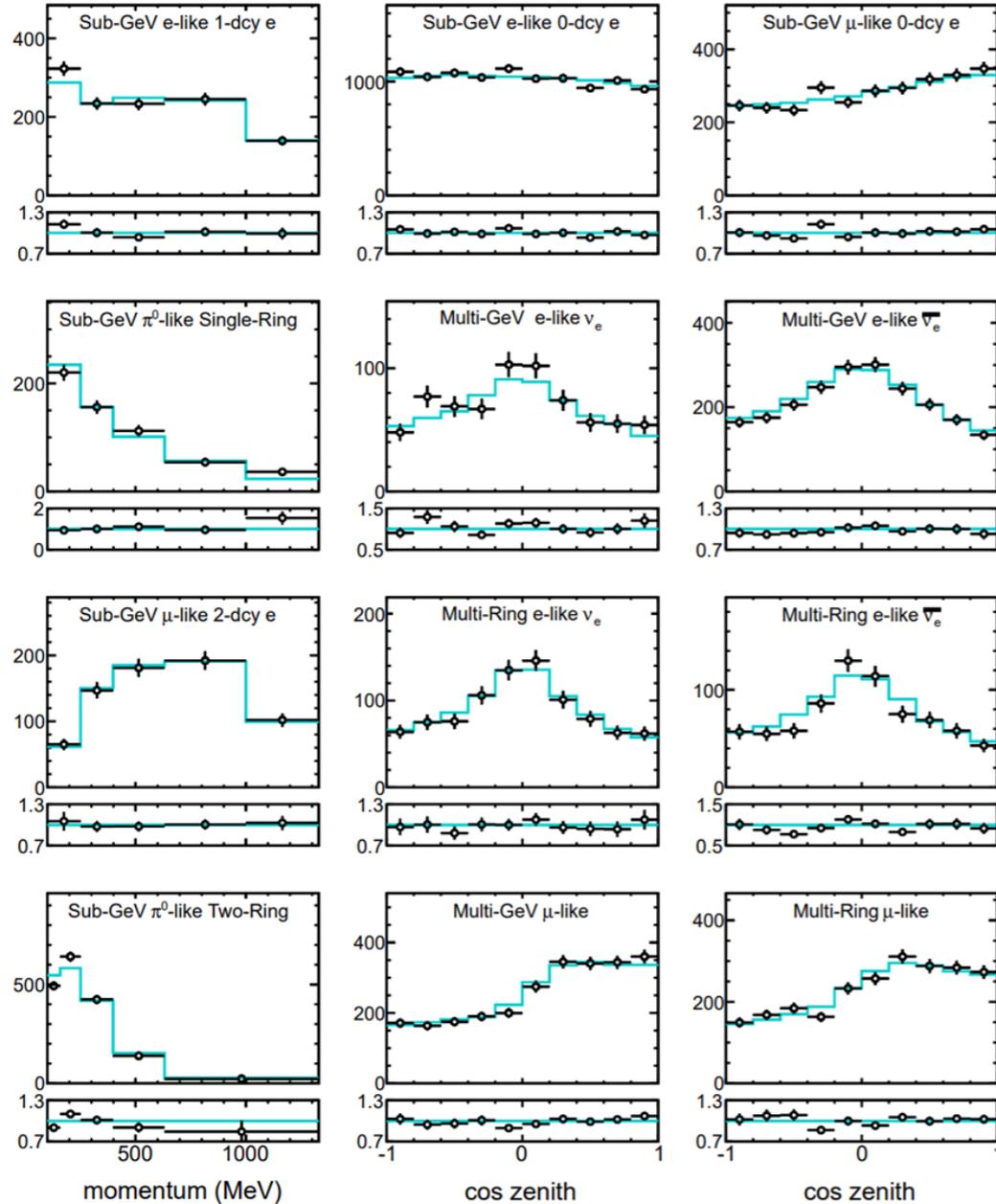


Multi-GeV

Super-Kamiokande

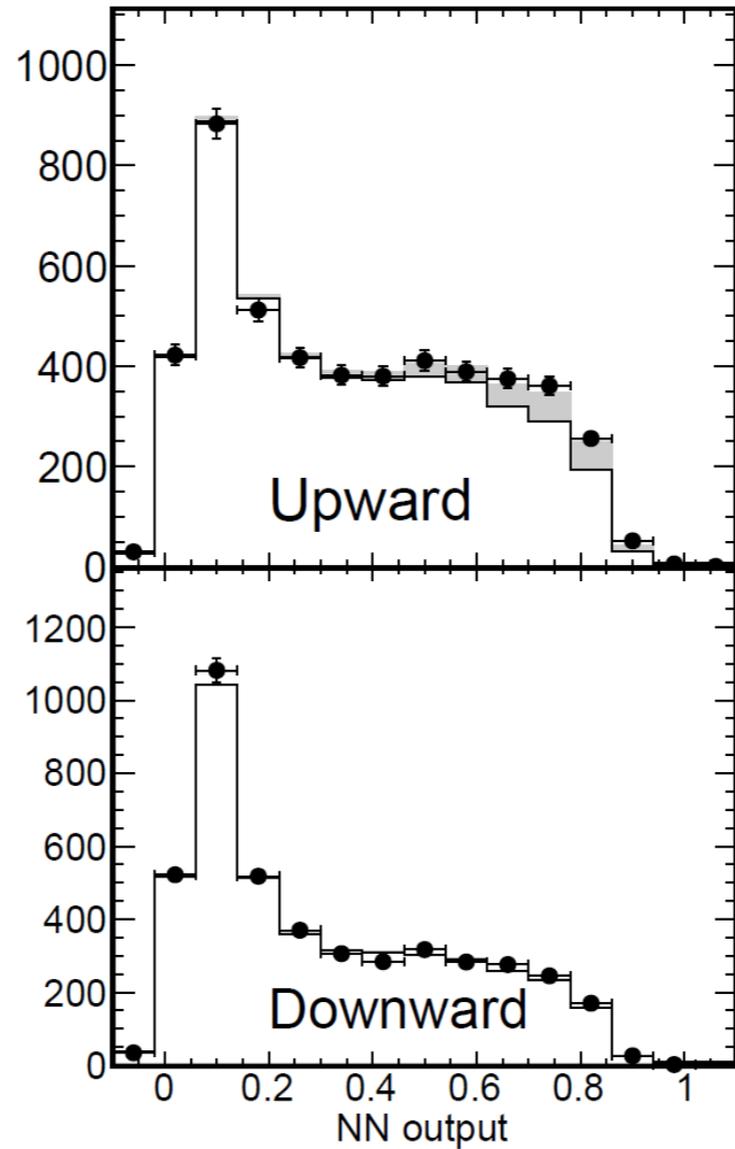
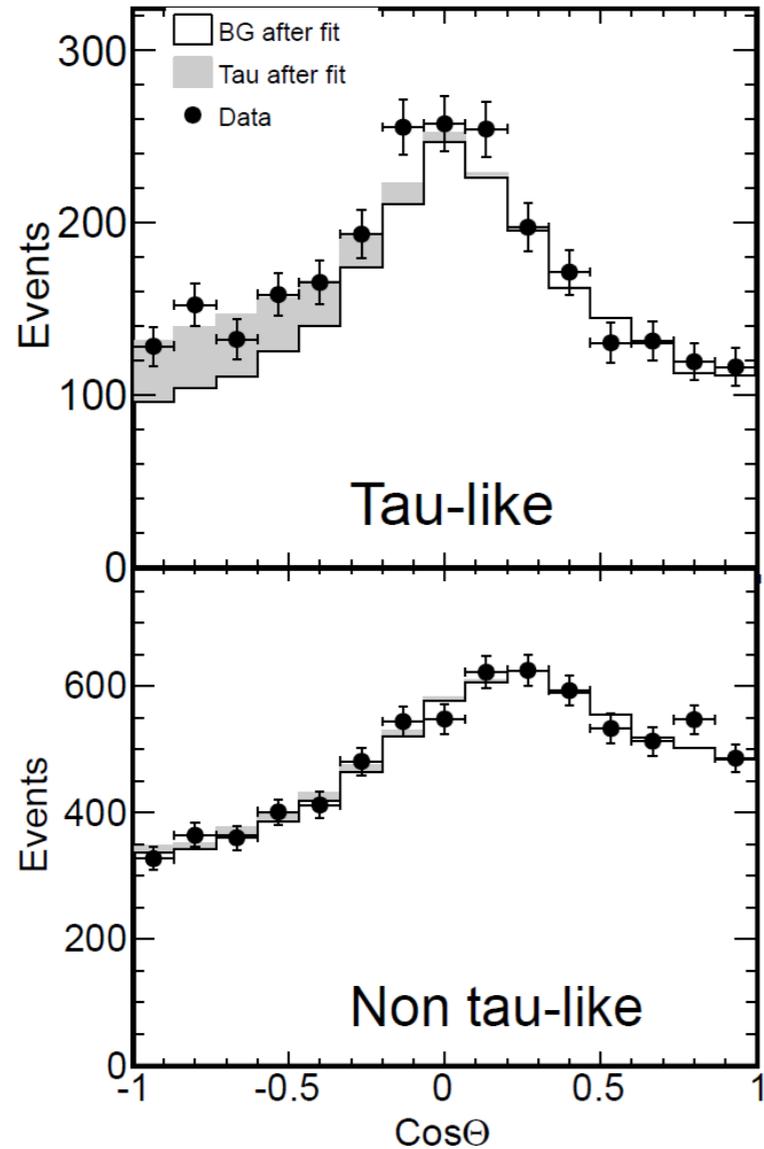
Standard oscillations

Phys. Rev. D 97, 072001 (2018)



Super-Kamiokande

NuTau appearance
Phys. Rev. D 98, 052006 (2018)



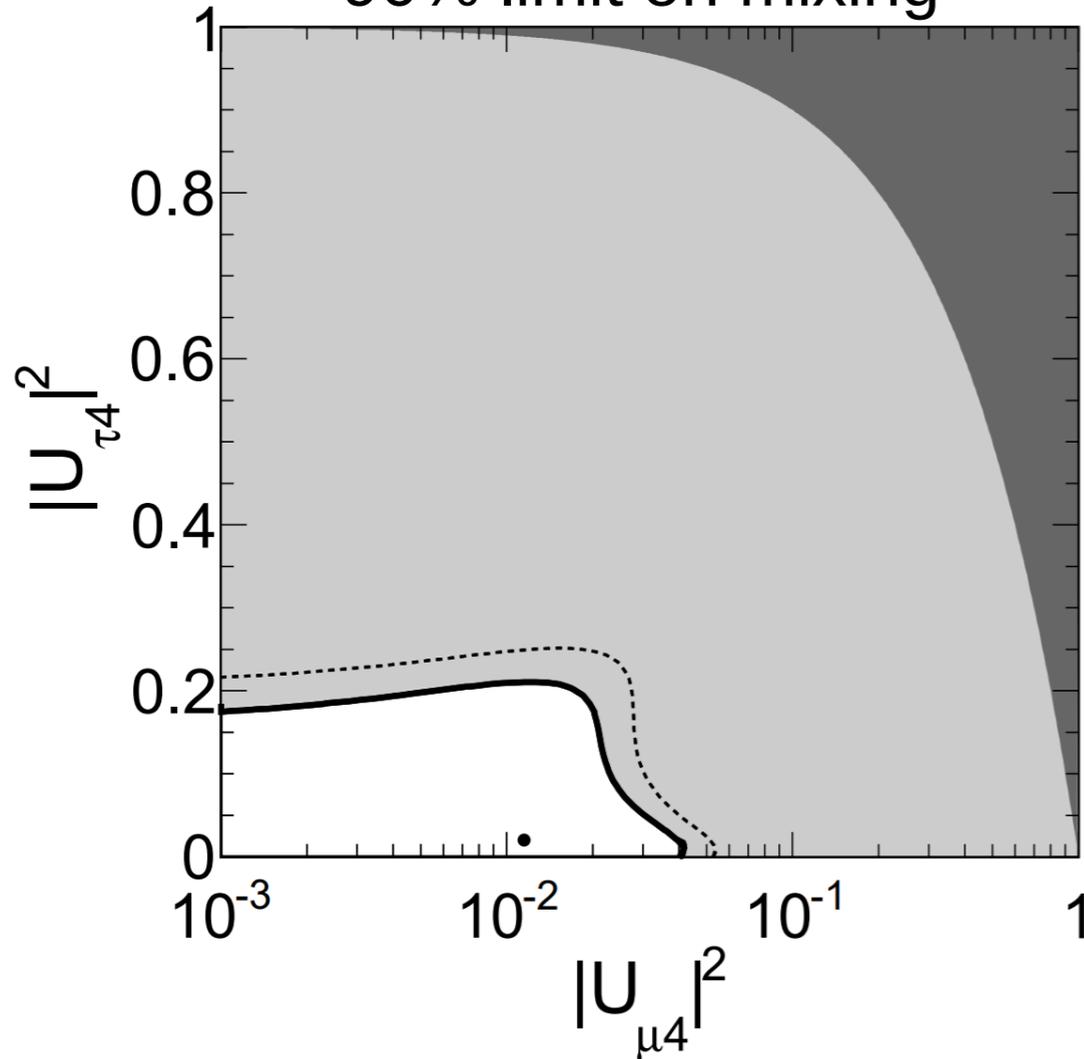
**4.6 σ evidence
for NuTau
appearance**

Super-Kamiokande

Sterile neutrinos

Phys. Rev. D 91, 052019 (2015)

90% limit on mixing

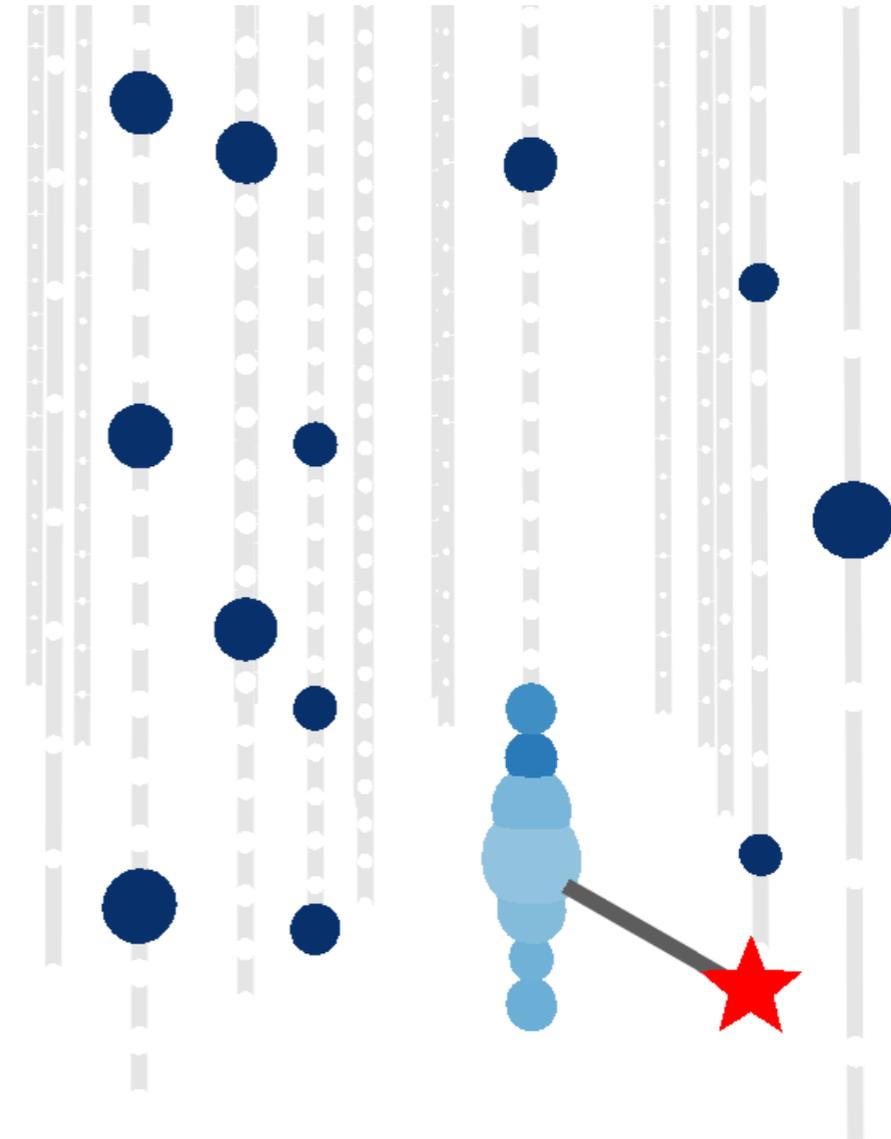
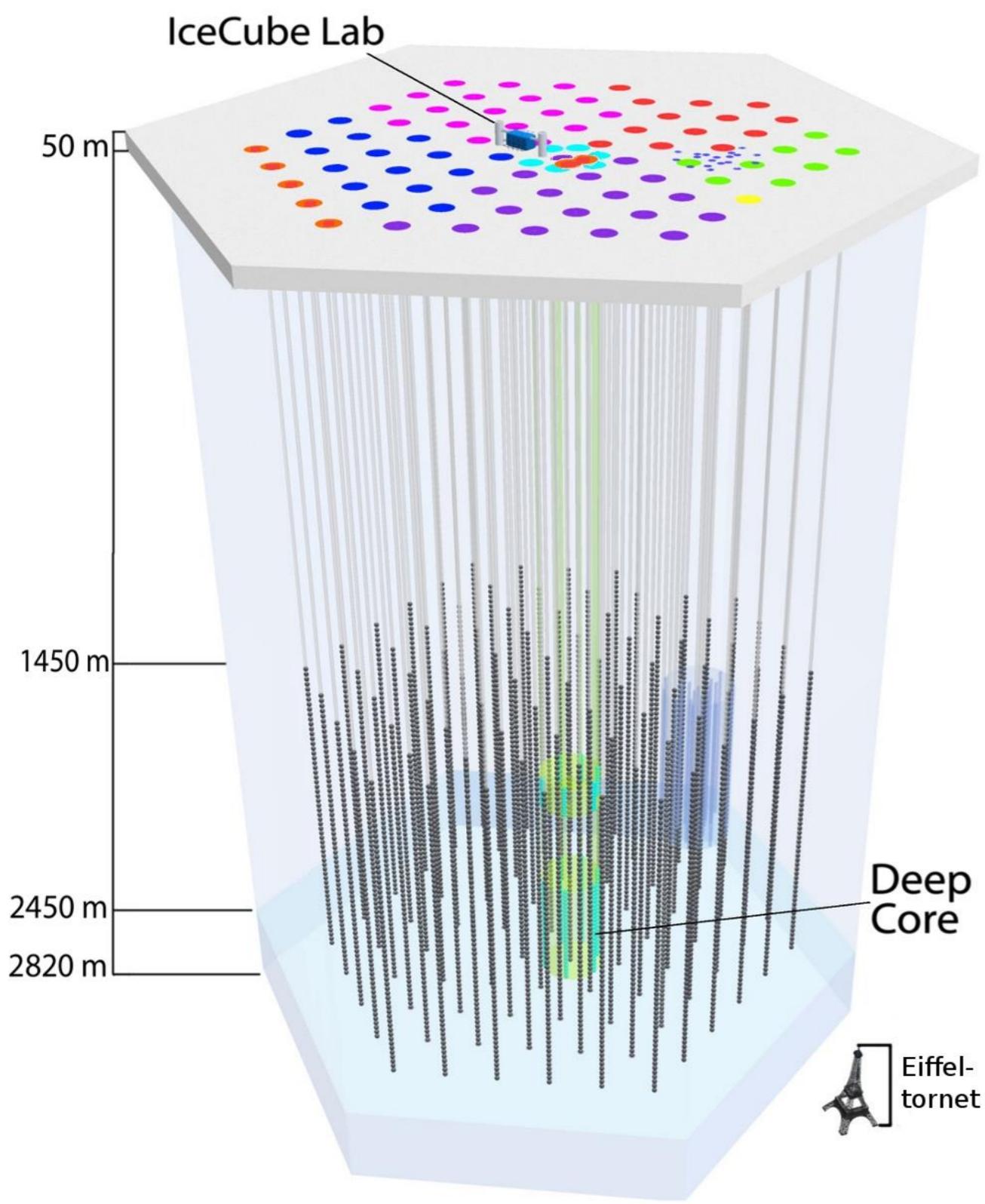


$$\mathbf{U} \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

- search for spectral **distortions** due to steriles

- sensitive to $\nu_{\mu} \leftrightarrow \nu_{\tau}$ mix

IceCube DeepCore

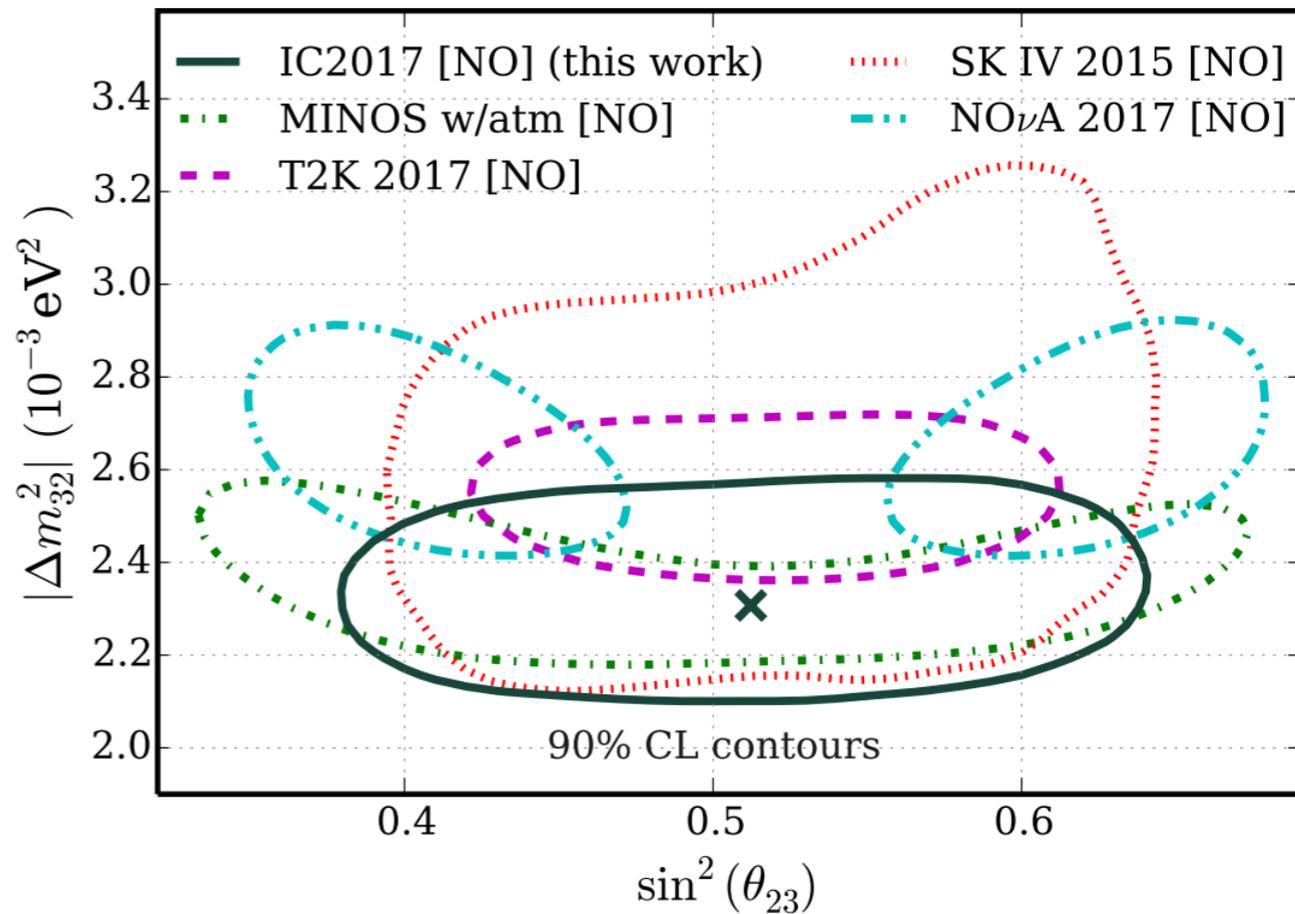
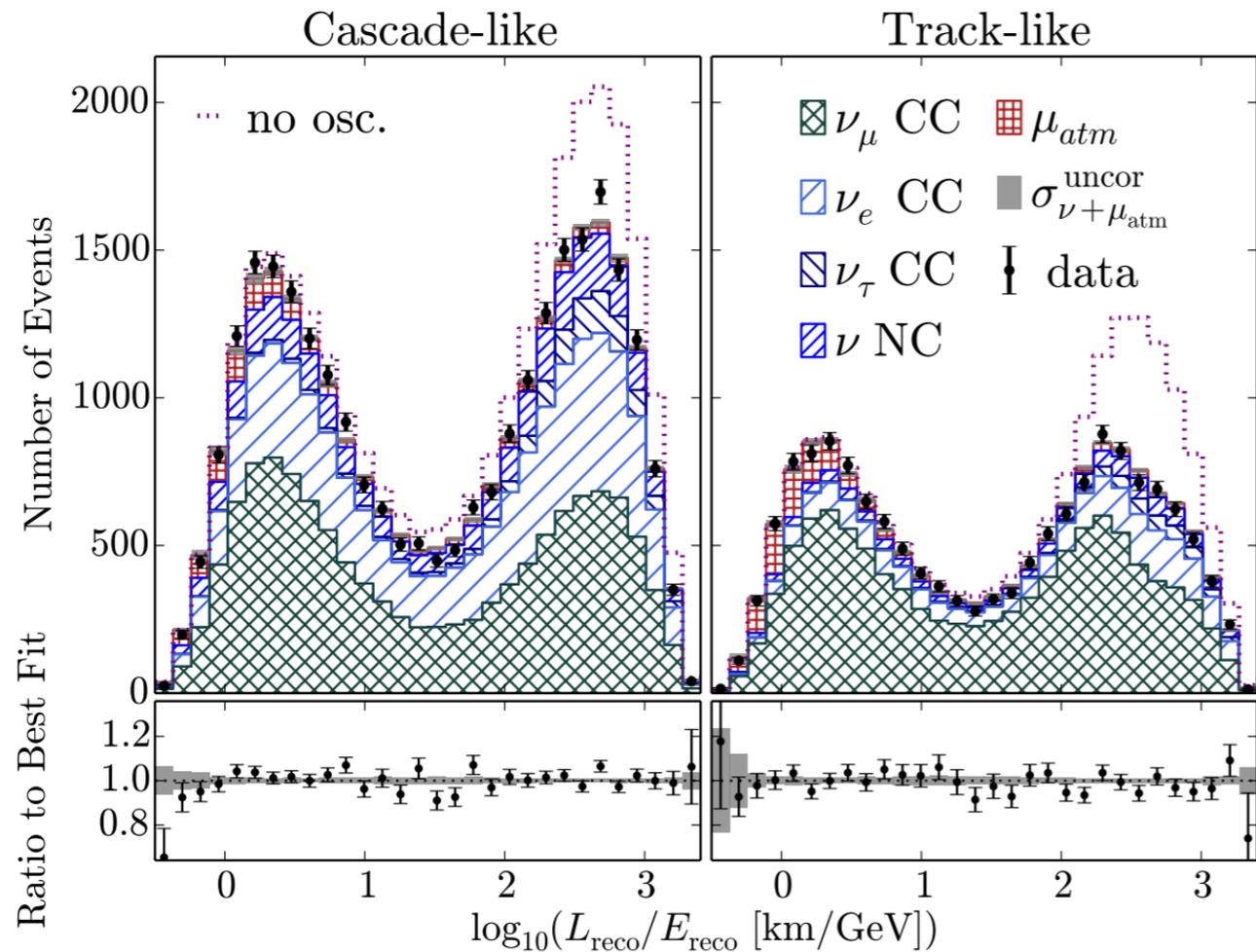


12 GeV ν_μ interaction
8 GeV track ($R \sim 40\text{m}$) + 4 GeV cascade

IceCube DeepCore

Standard oscillations

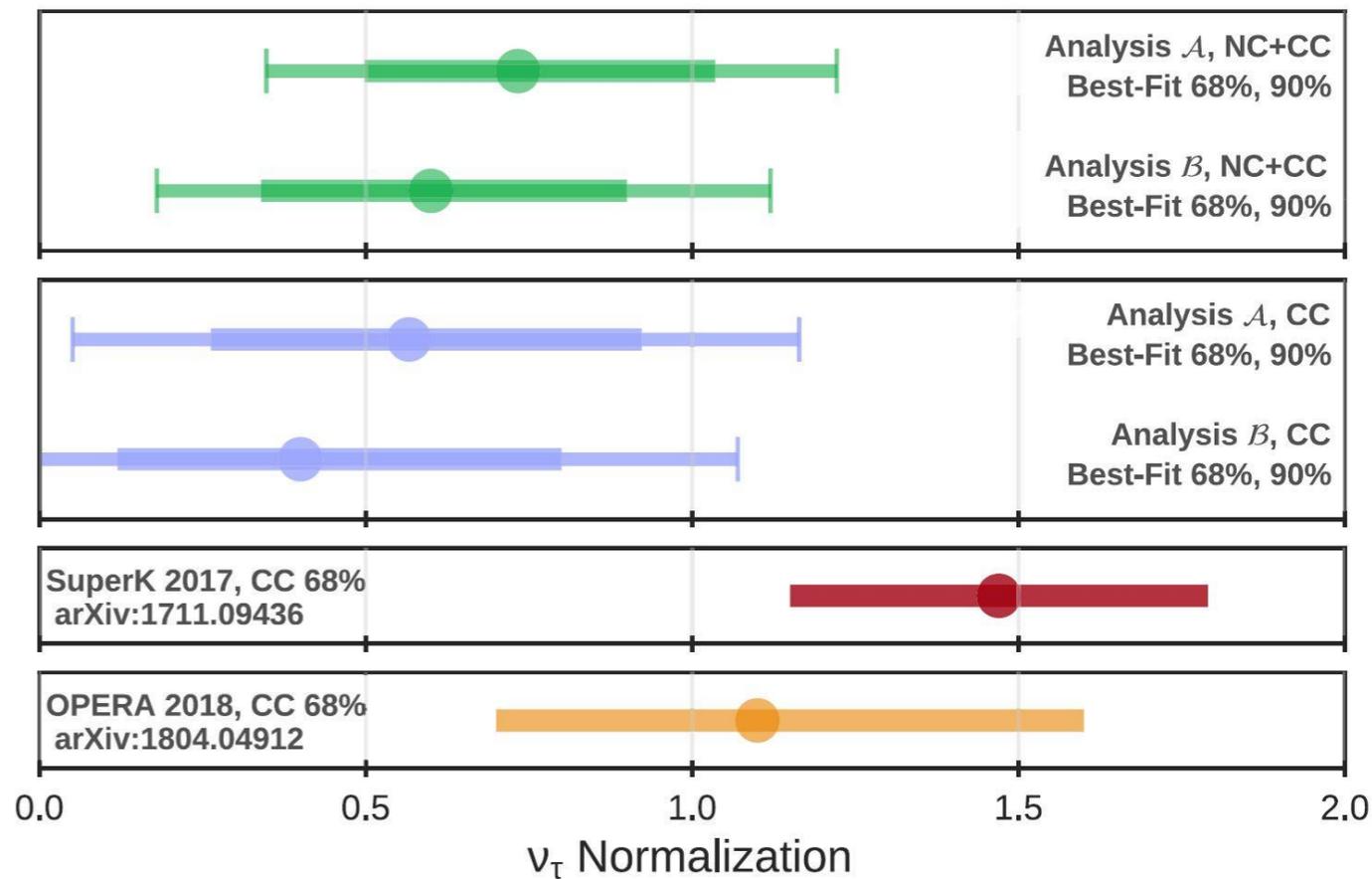
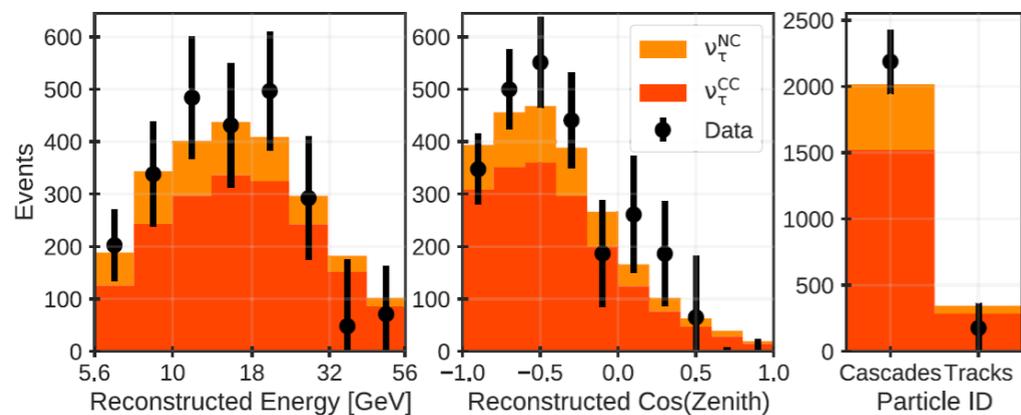
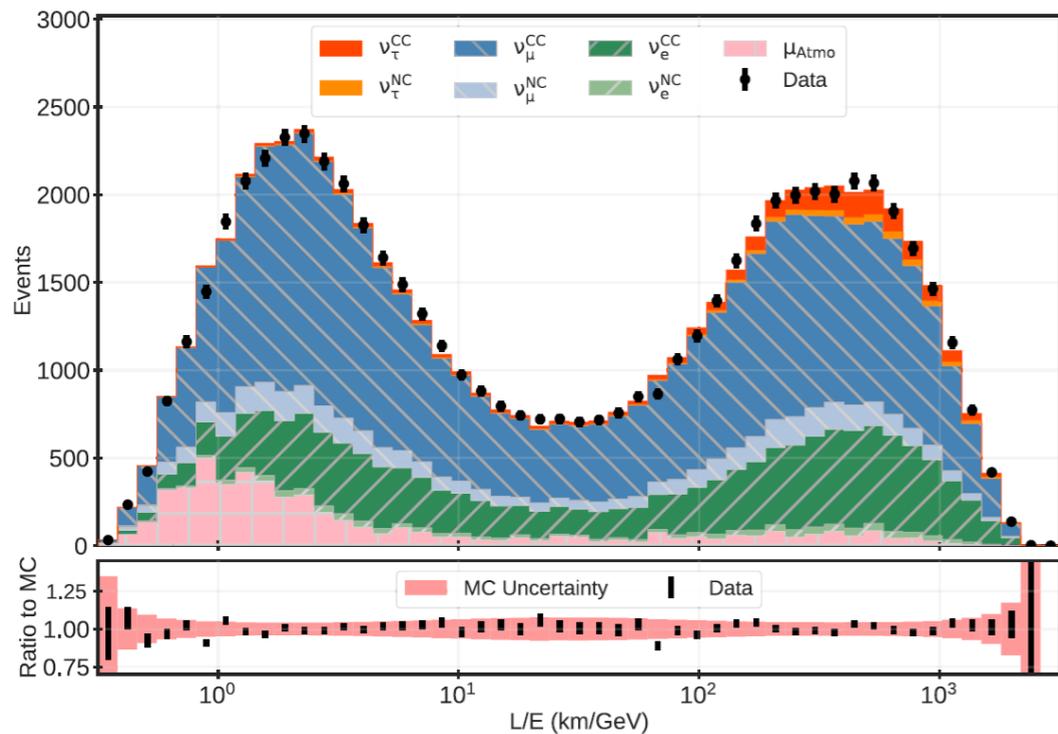
Phys. Rev. Lett. 120, 071801 (2018)



IceCube DeepCore

NuTau appearance

Phys. Rev. D 99, 032007 (2019)



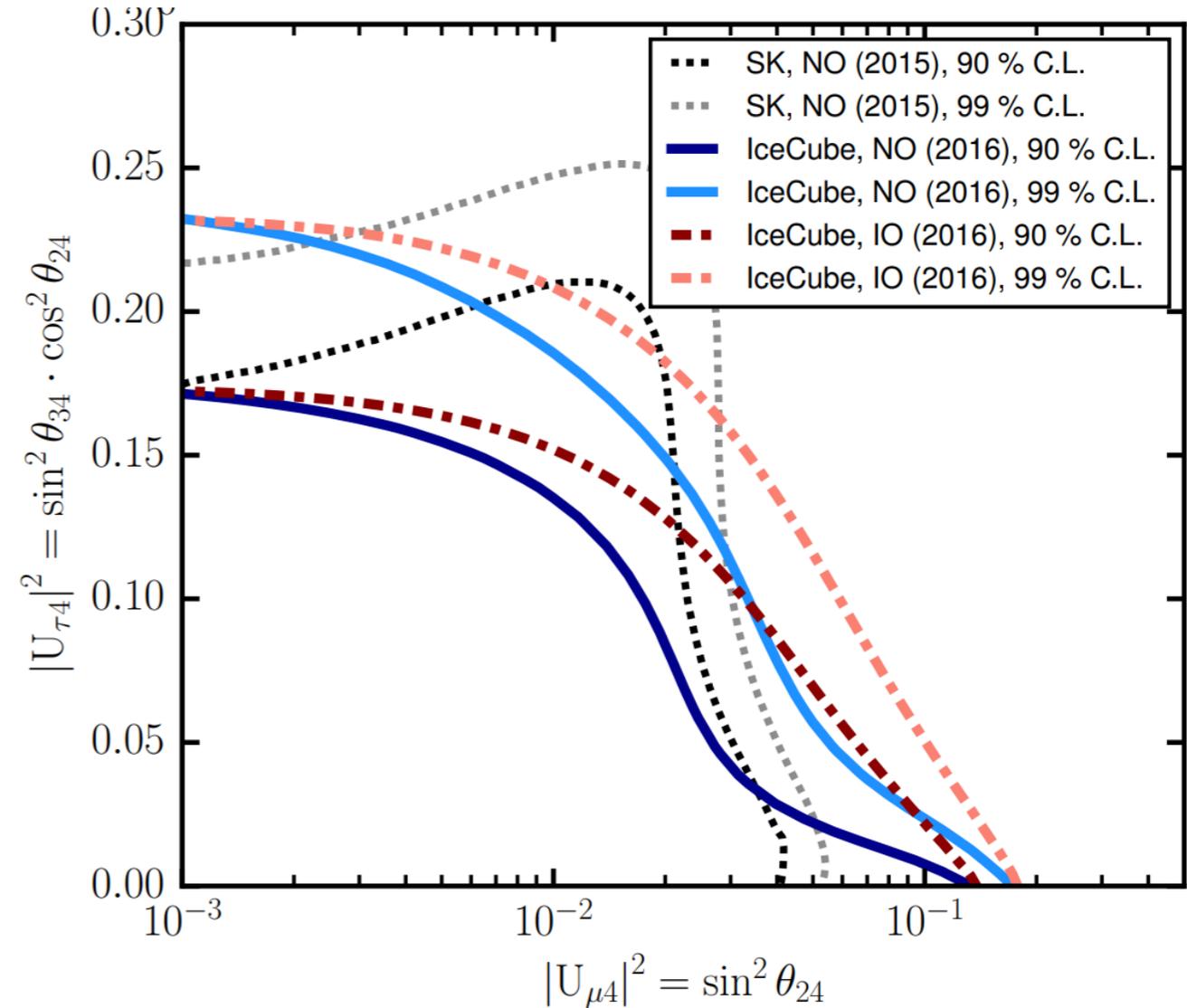
IceCube DeepCore

Sterile neutrinos

Phys. Rev. D 95, 112002 (2017)

$$\mathbf{U} \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} & U_{\mu 4} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} & U_{\tau 4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

-there is **no preference**
for a sterile neutrino
state mixing at “low” E



IceCube DeepCore

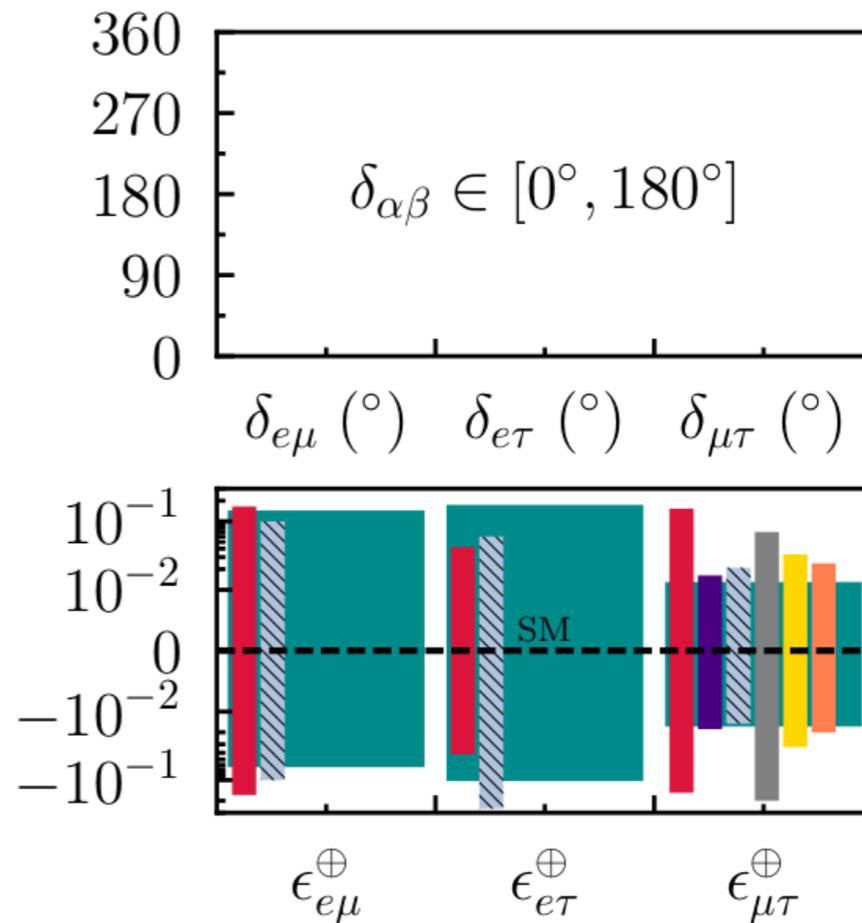
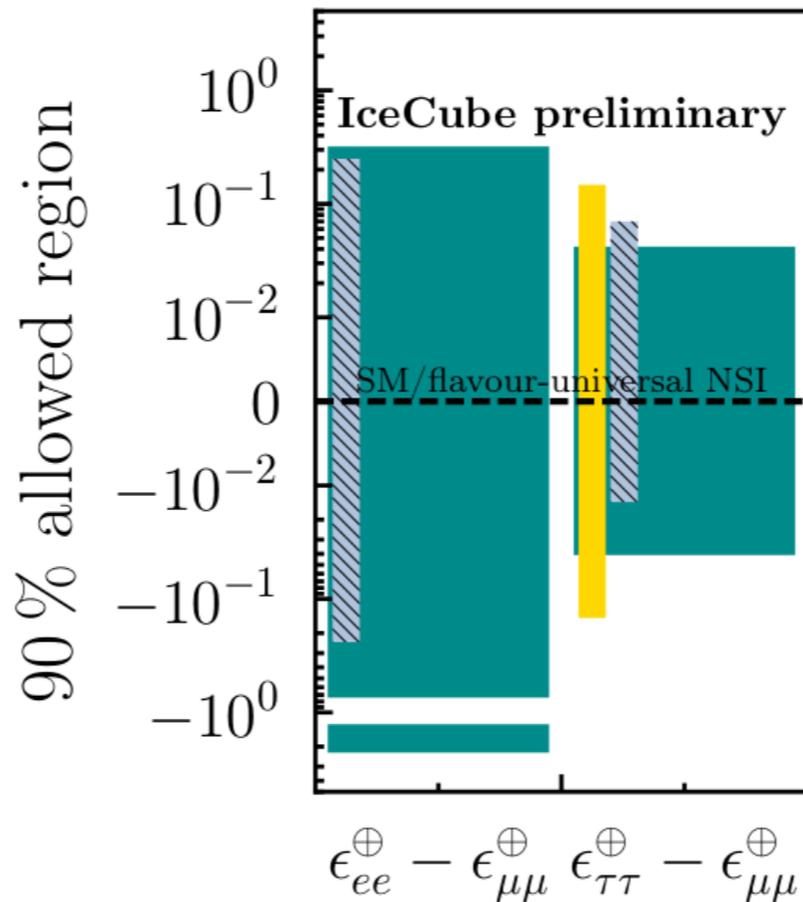
Non-standard interactions

In preparation

$$H_{\text{mat}} = \begin{pmatrix} 1 + \epsilon_{ee}^{\oplus} - \epsilon_{\mu\mu}^{\oplus} & \epsilon_{e\mu}^{\oplus} & \epsilon_{e\tau}^{\oplus} \\ \epsilon_{e\mu}^{\oplus*} & 0 & \epsilon_{\mu\tau}^{\oplus} \\ \epsilon_{e\tau}^{\oplus*} & \epsilon_{\mu\tau}^{\oplus*} & \epsilon_{\tau\tau}^{\oplus} - \epsilon_{\mu\mu}^{\oplus} \end{pmatrix}.$$

- Super-K 2011 (2d)
- MINOS 2013
- IC high-E 2017
- COHERENT 2018 ($\epsilon_u = \epsilon_d$)
- global 2018 (w/ correl.)
- IC 2018
- IC 2019

-there is no preference for additional NSIs



IceCube (high energy)

Sterile neutrinos

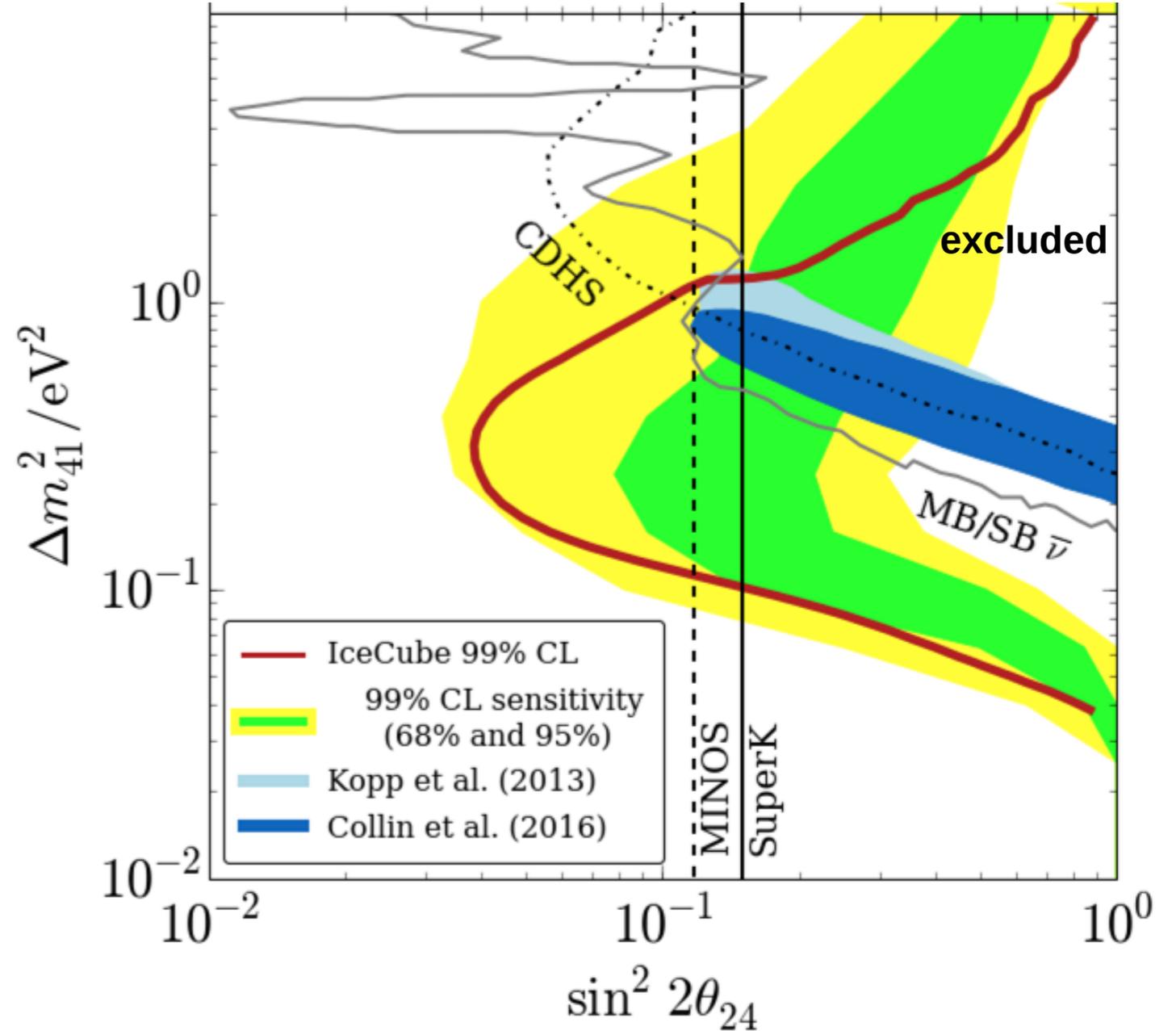
Phys. Rev. Lett. 117, 071801 (2016)

$E_{\nu} \sim \text{TeV}$

$$U \equiv \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} & U_{e4} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} & U_{\mu4} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} & U_{\tau4} \\ U_{s1} & U_{s2} & U_{s3} & U_{s4} \end{pmatrix}$$

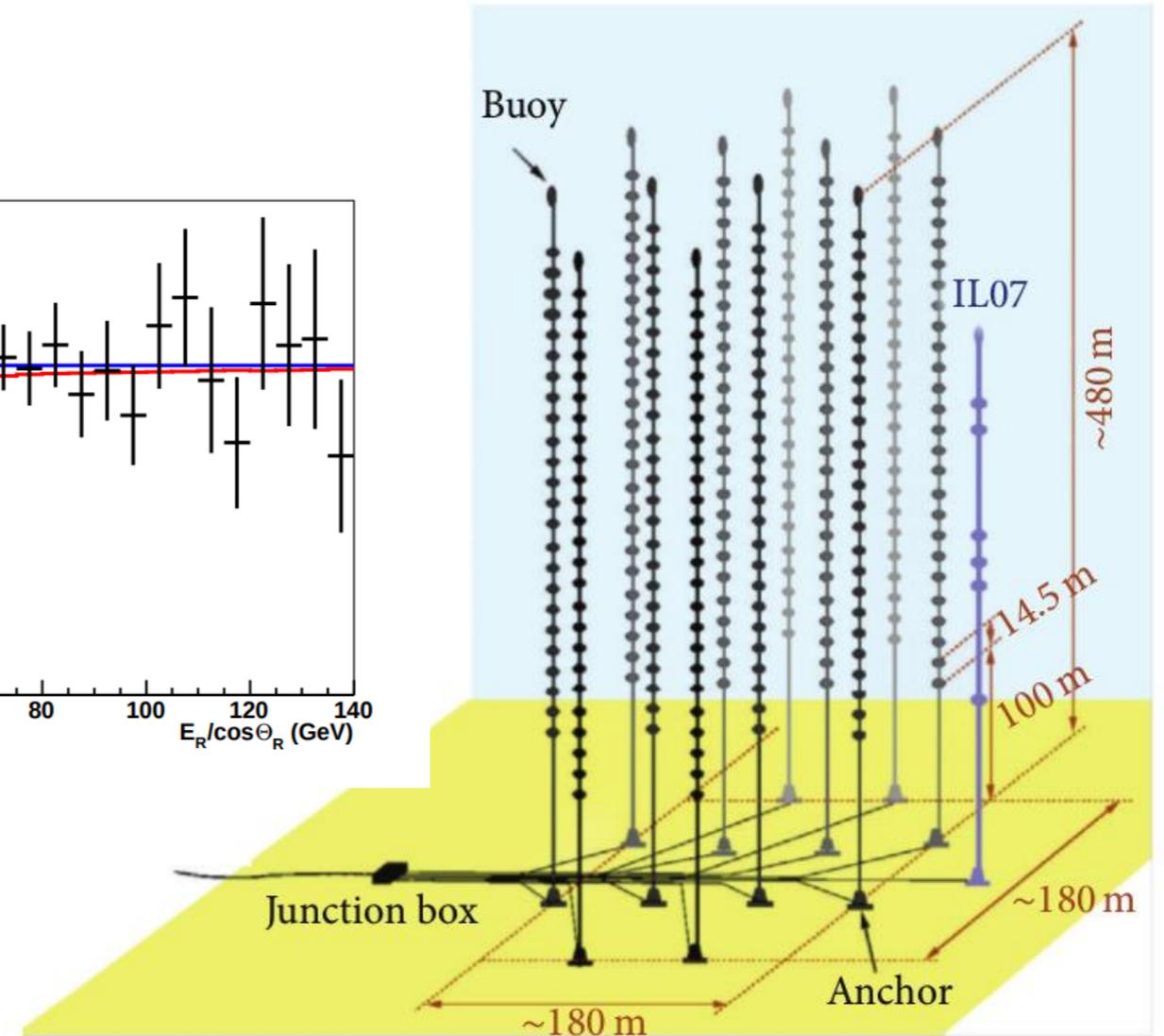
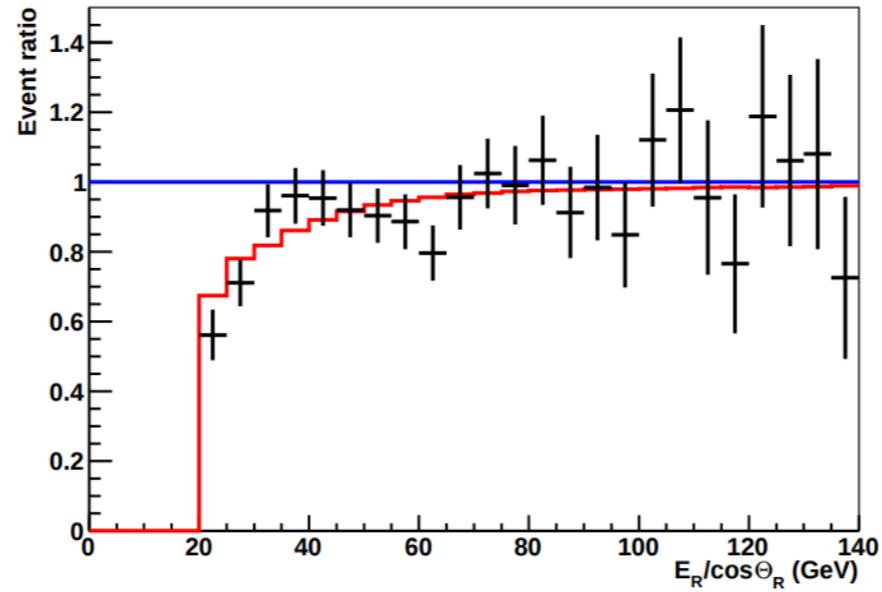
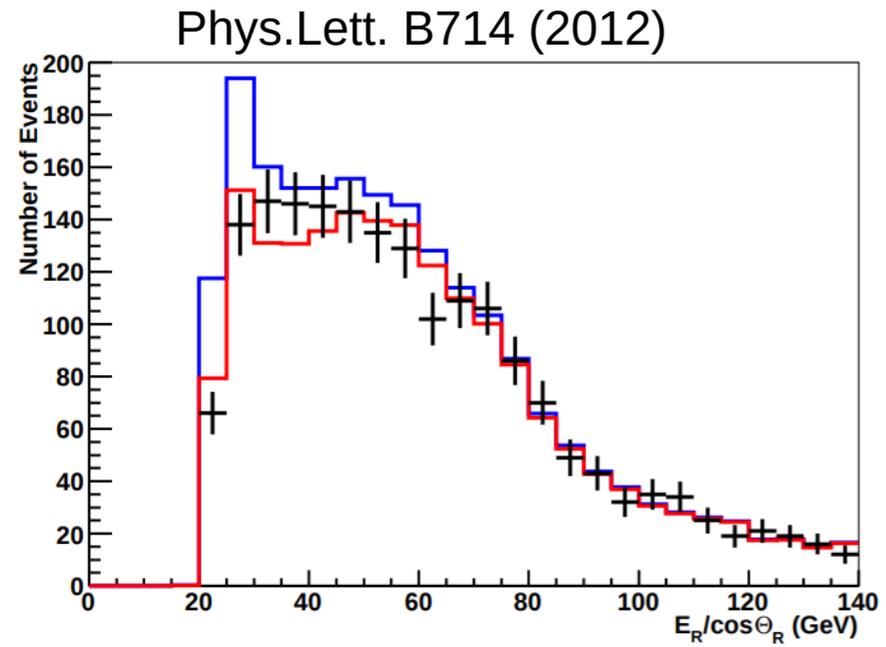
$$|U_{\mu4}|^2 = \sin^2 \theta_{24},$$

$$|U_{\tau4}|^2 = \cos^2 \theta_{24} \cdot \sin^2 \theta_{34}.$$



ANTARES

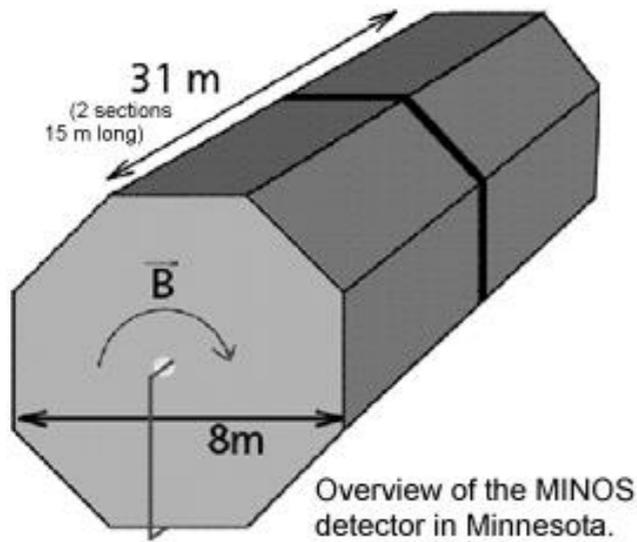
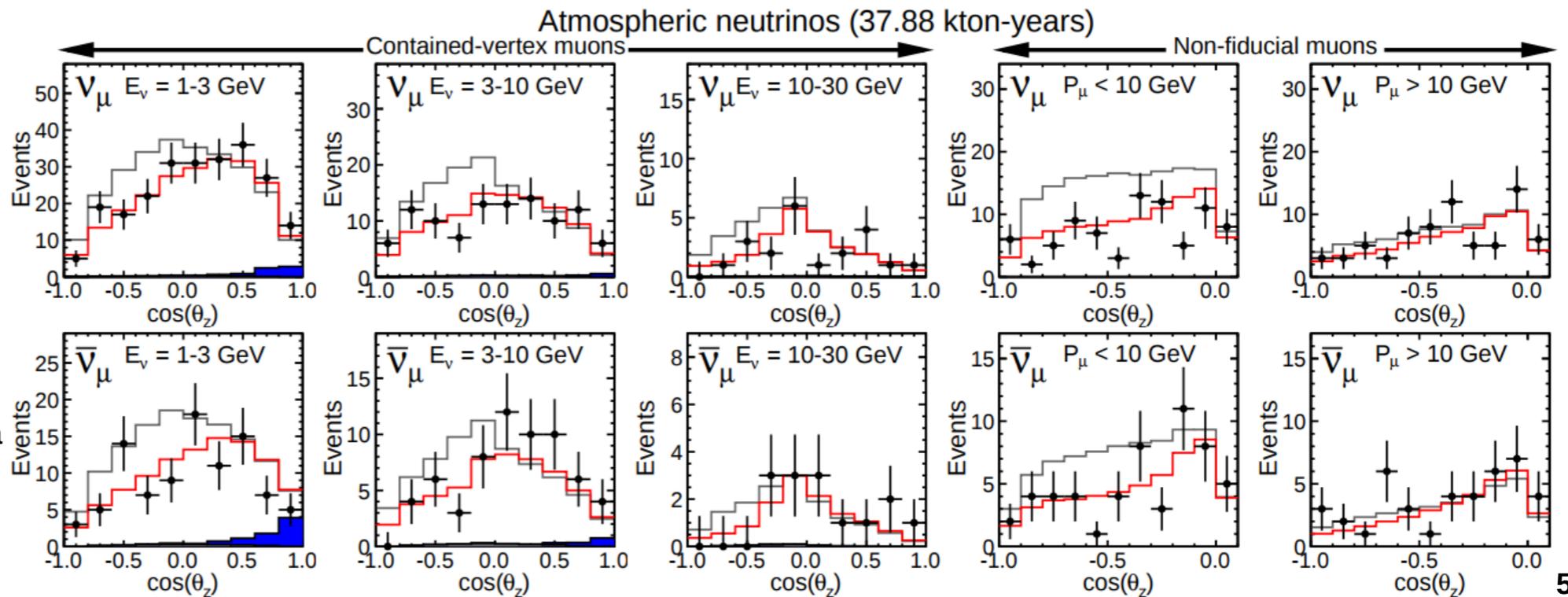
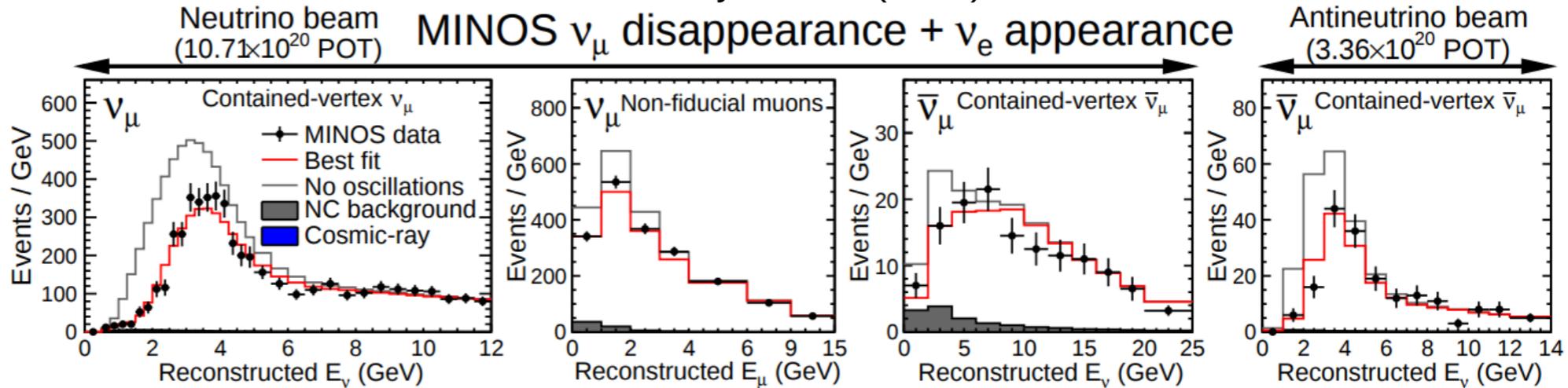
water Cherenkov



MINOS

magnetized steel & scintillator calorimeter

Nucl.Phys. B908 (2016) 130-150



*measurement is dominated by beam data

towards the future

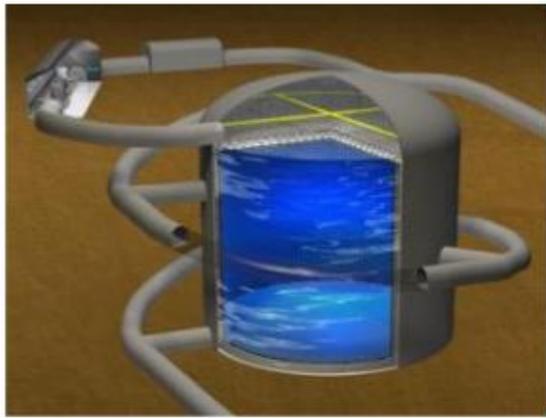
main interests

- **precision** measurements
 - neutrino mass **ordering**
 - Earth **tomography**
 - **CP-violation** in leptons*
- ... bigger, better, denser experiments

Hyper-Kamiokande



- **8x** Super-Kamiokande's FV / tank
- **260kt** mass / tank
- **atmospheric+beam nus**

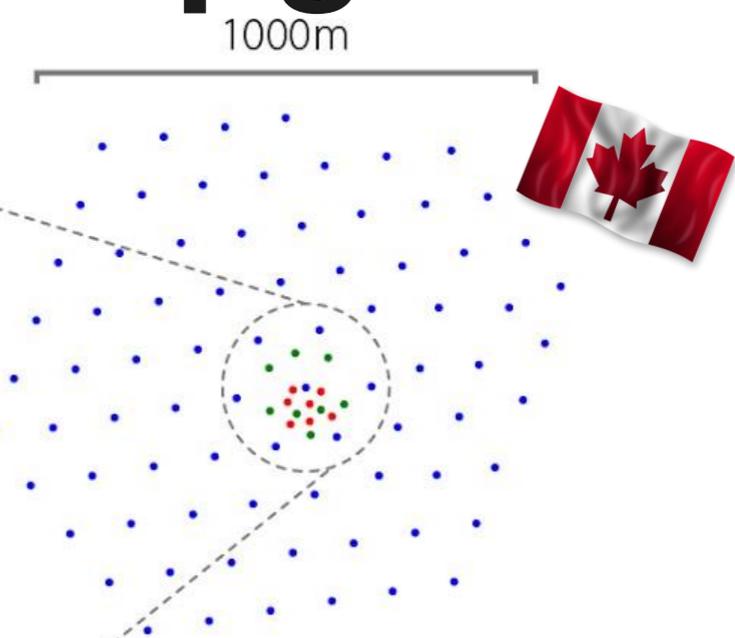
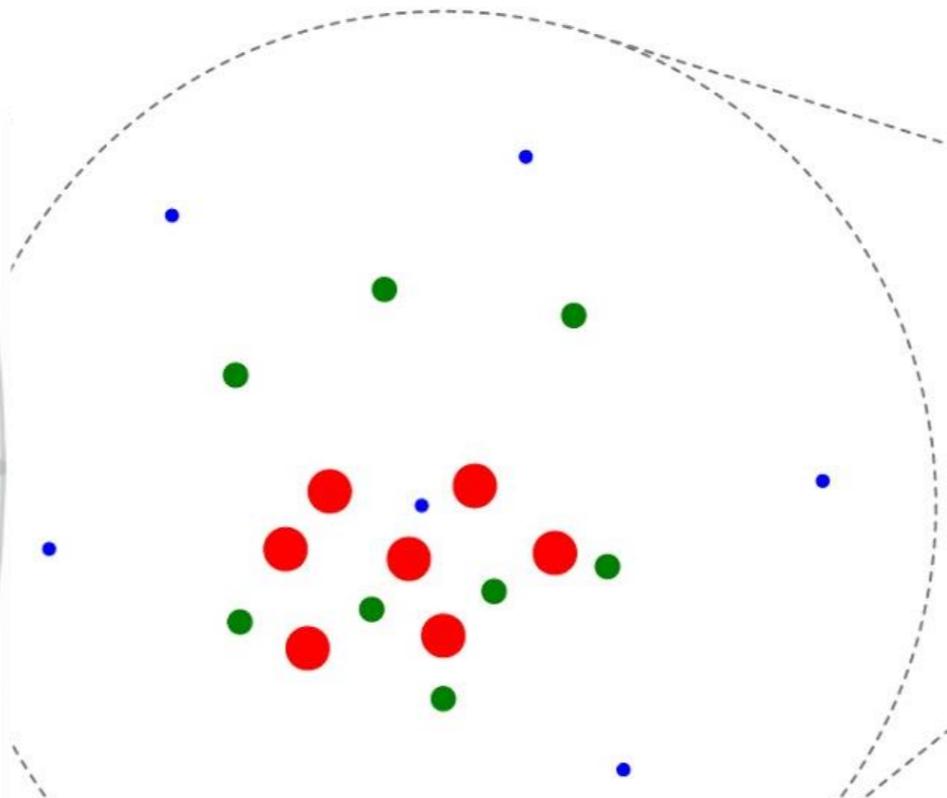


Hyper-K

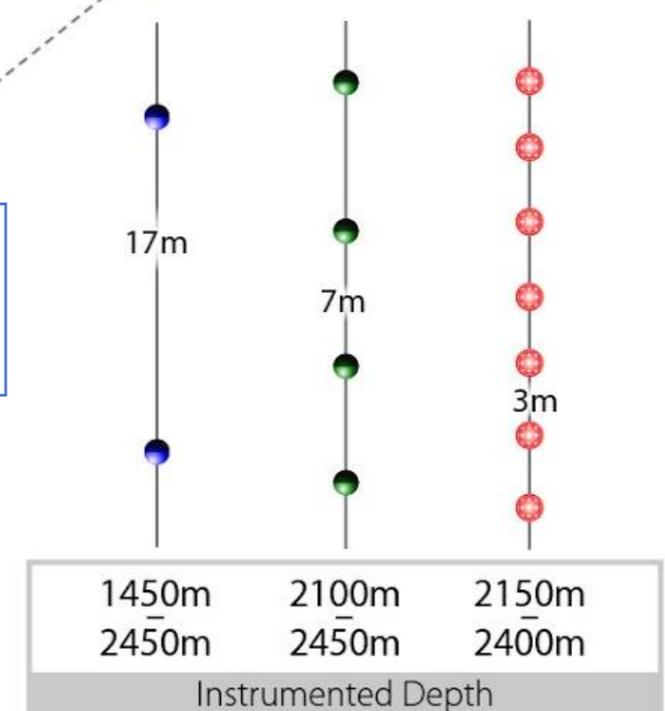


J-PARC

the IceCube upgrade



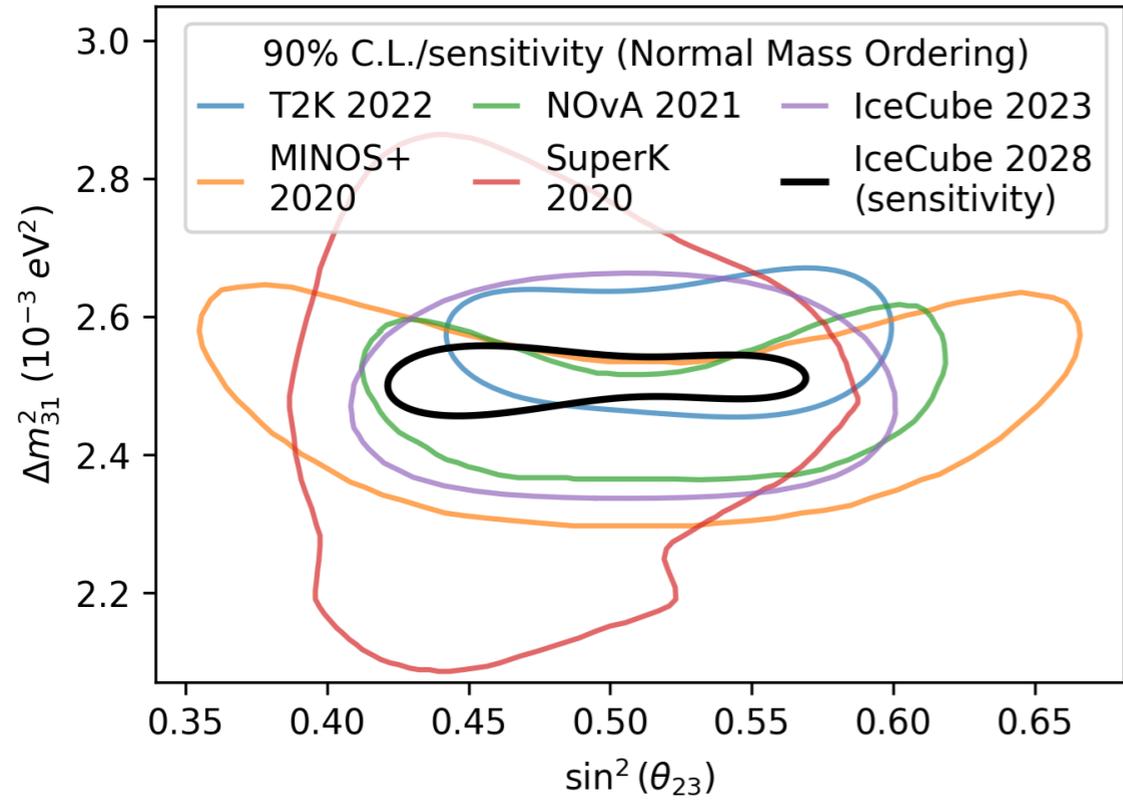
**Fully funded (NSF+partners)
Deployment to occur 2025-2026**



 IceCube  DeepCore  Upgrade

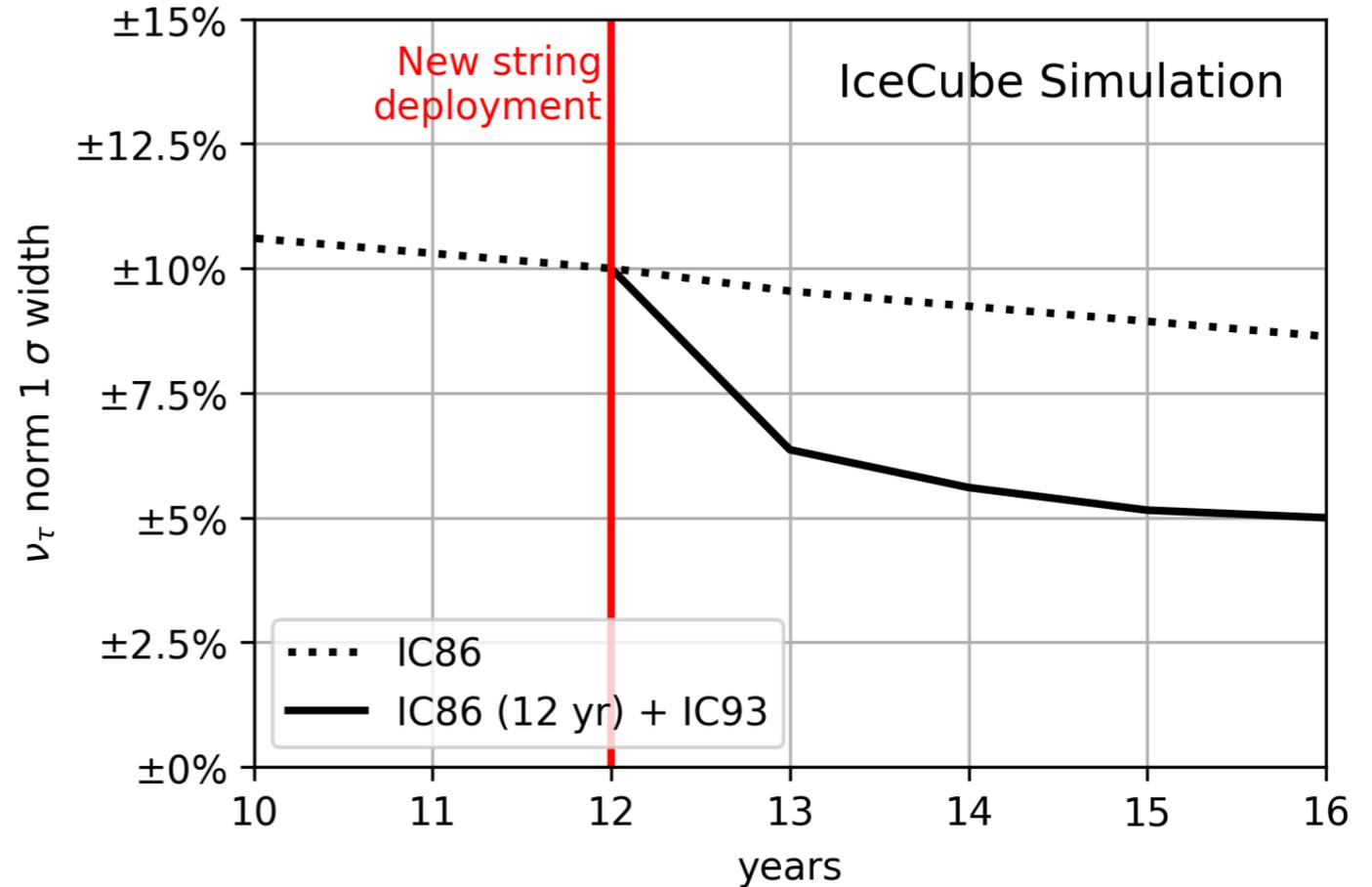
| | | |
|--------------------|----------------|----------------|
| 1450m 2450m | 2100m 2450m | 2150m 2400m |
| Instrumented Depth | | |

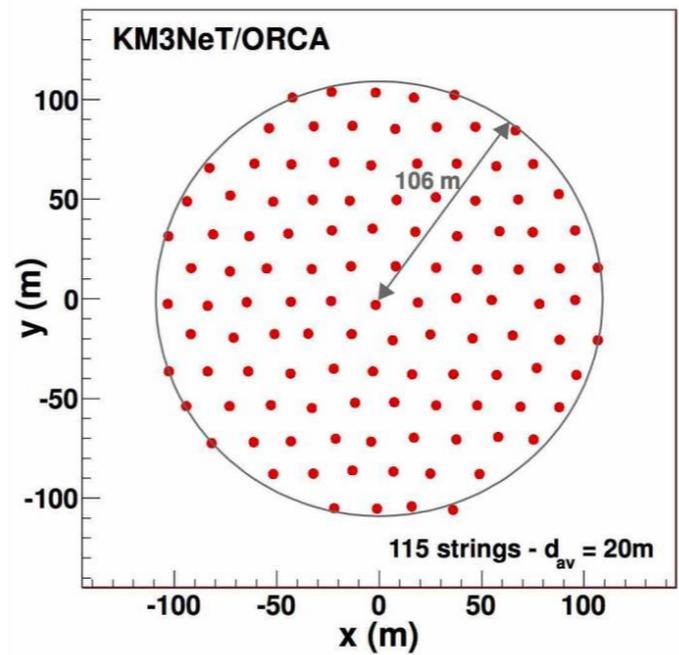
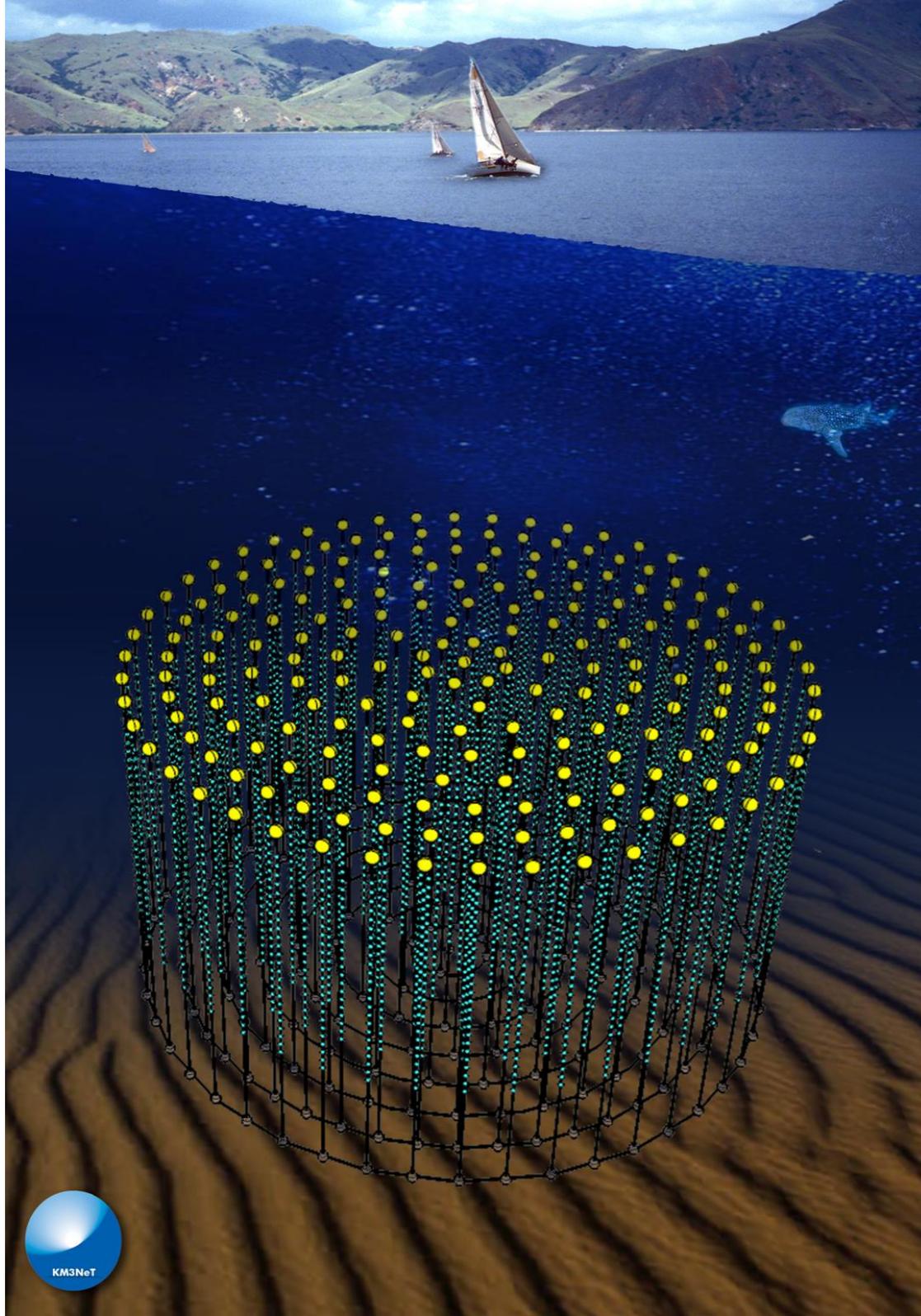
the IceCube upgrade



osc. parameters

nutau appearance



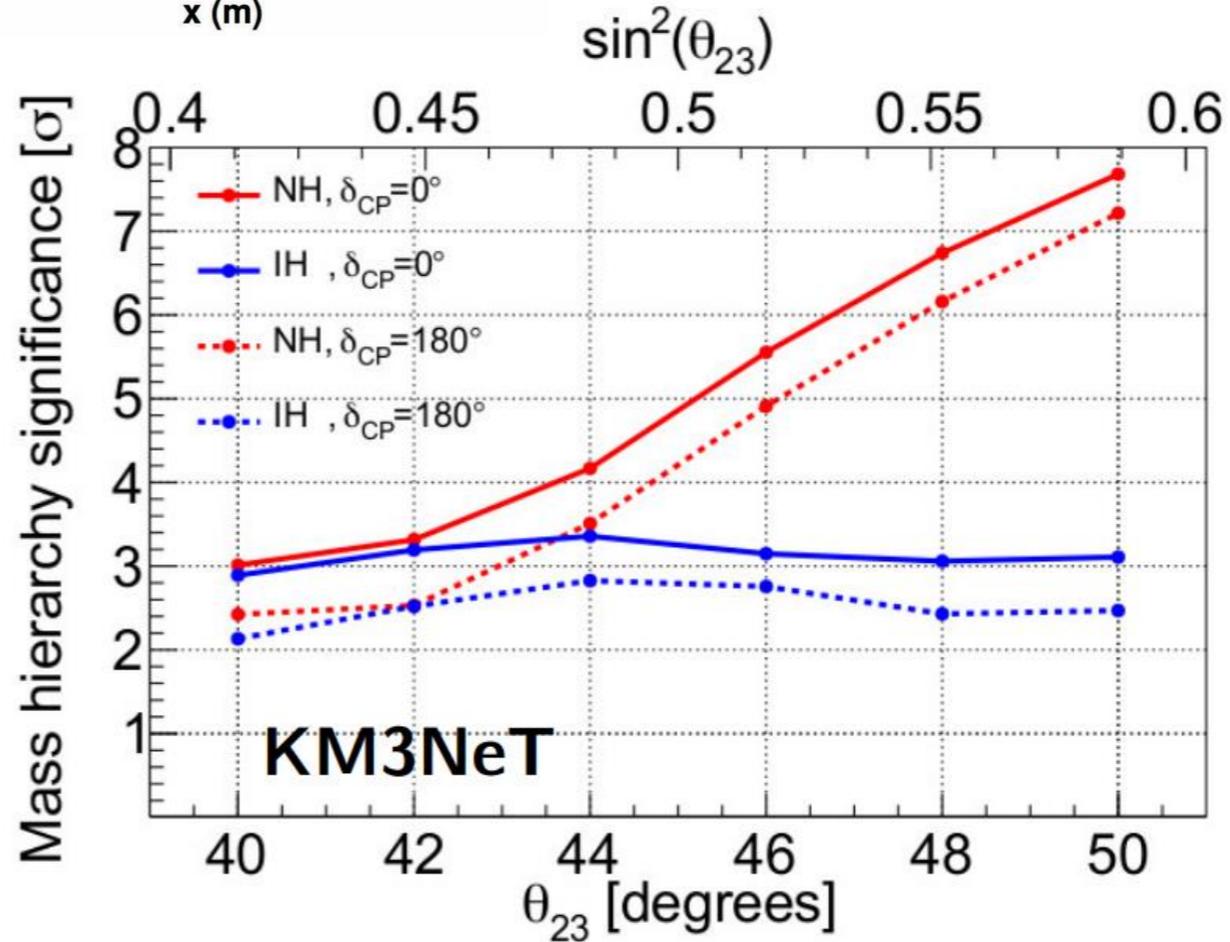


ORCA

mass ordering

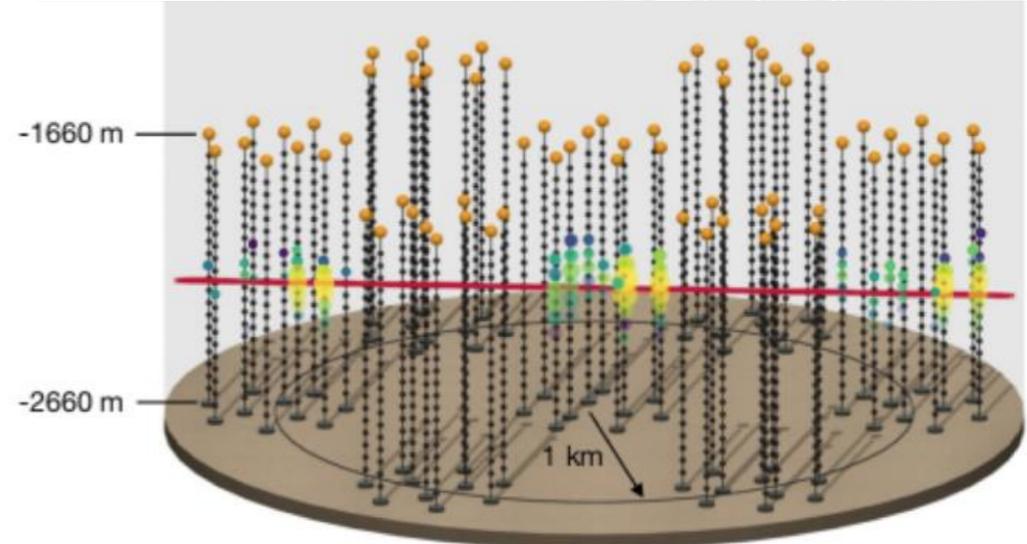
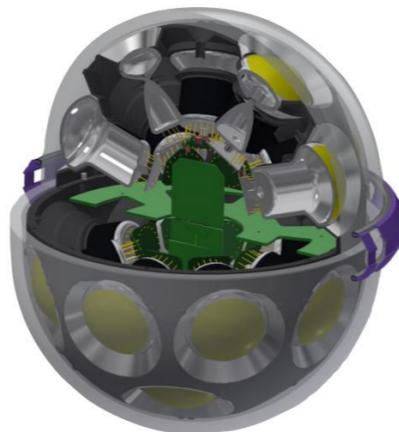
(3y)

J.Phys. G43 (2016) no.8, 084001



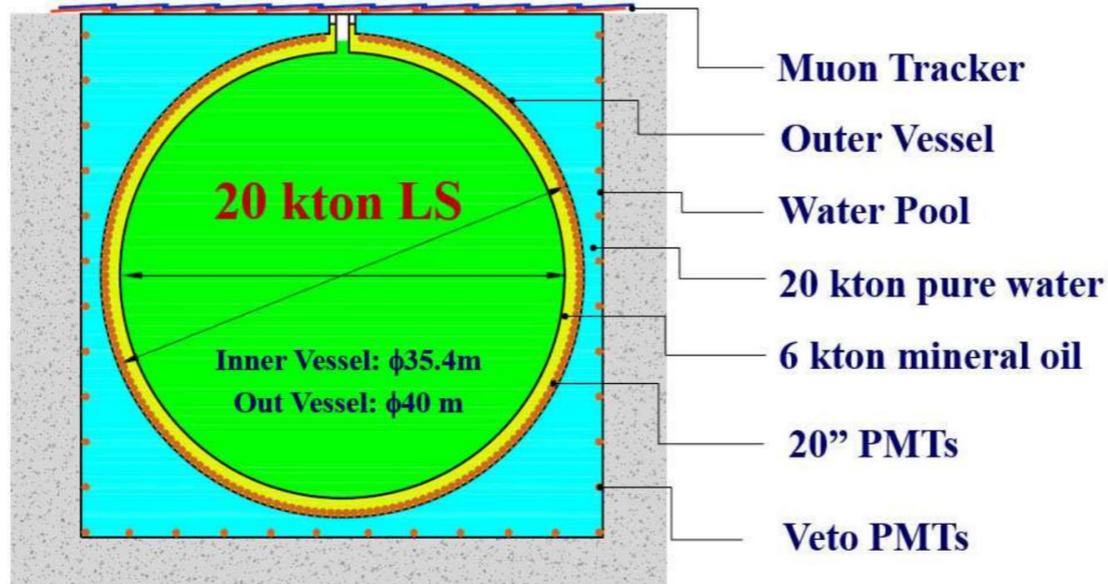
P-ONE: the Pacific Ocean Neutrino Explorer

- IceCube-like array
- Off the coast of **Vancouver** island
- Funding for first *demonstrator* secured



other experiments

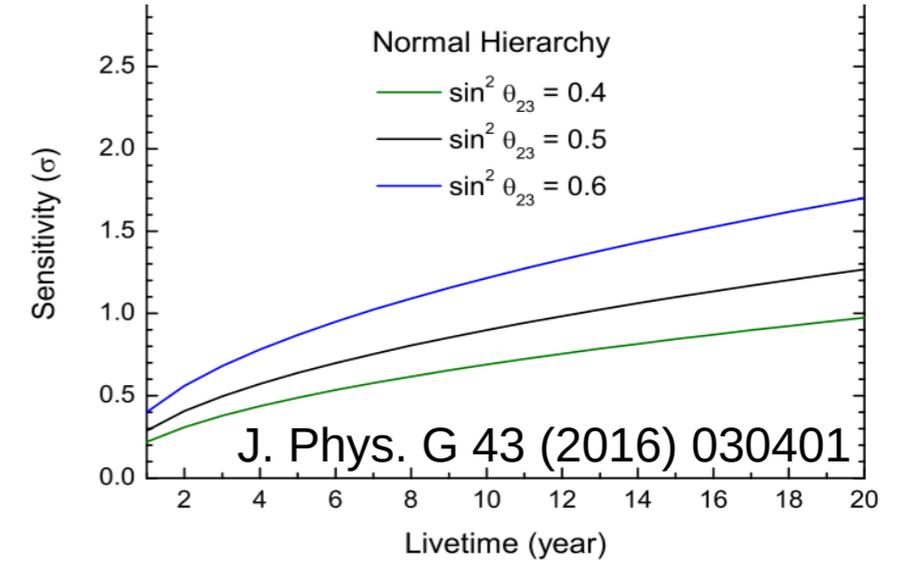
atmospheric ν are a secondary measurement



JUNO

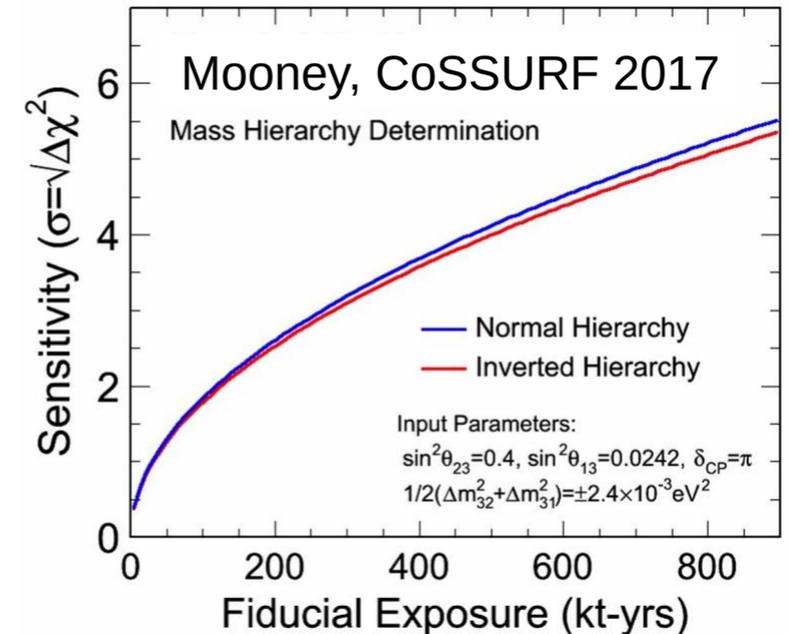
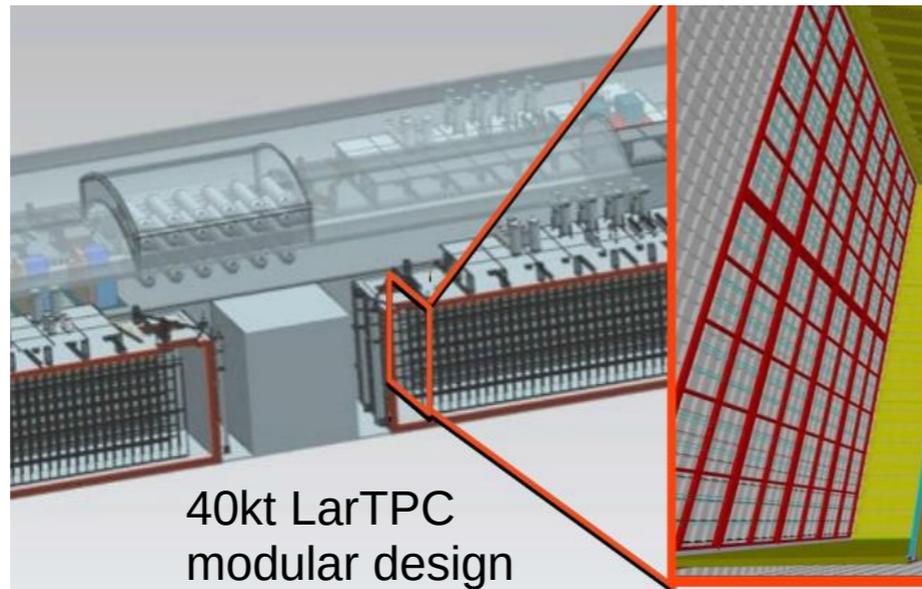
mass ordering from reactor neutrinos

mass ordering sensitivity from atm. ν only



DUNE

CP violation from beam neutrinos



final words

summary & outlook



- atm. nus are an **invaluable tool** for neutrino physics
- very large & unique phase space in **L/E, flavor**
- experiments producing **well understood, reliable** results
- next generation measurements tough, but possible
- renewed efforts to **model & understand** atm nus ongoing
- more data, new software, workshops in last years