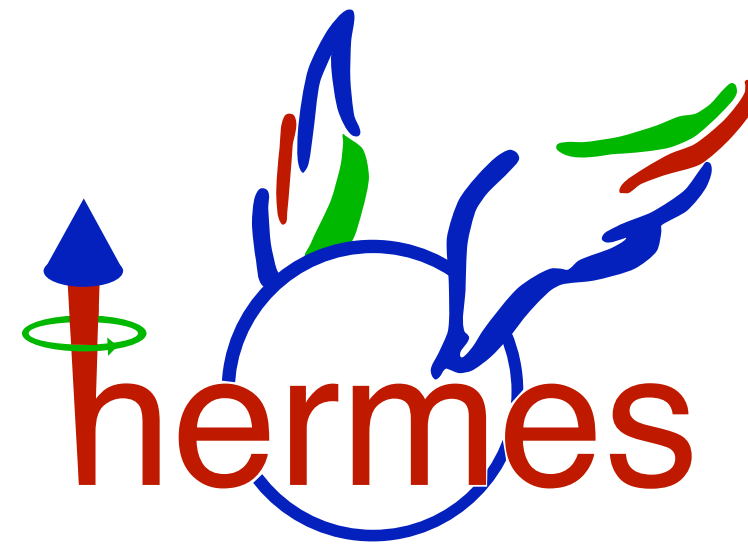


QCD on and off the lattice

[aka Schäfer Fest]

Sep 18 – 20, 2023
Universität Regensburg

the physics of



a selective review

Gunar.Schnell @ desy.de

G.S. acknowledges support by the EU via STRONG-2020 (grant No. 824093) and the QuantERA project T-NiSQ (grant PCI2022-132984), as well as the Spanish Ministry of Science and Innovation (grant PID2022-136510NB-C33).

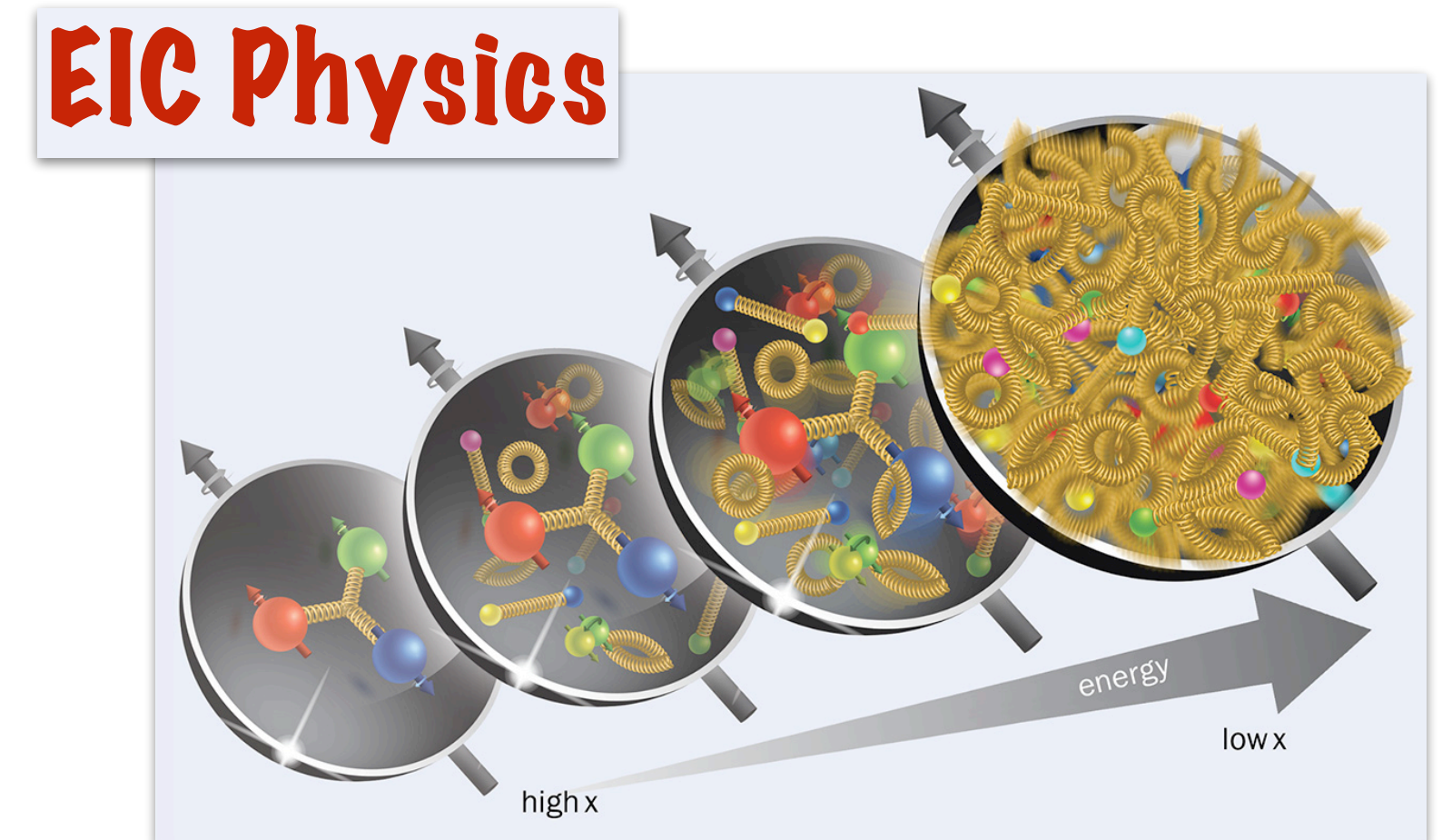
Connecting hadron/nuclear community with Lattice QCD

“Lattice Forum” (LatFor) Initiative (2001–2006)

- Procure significant computing resources for hadron and particle physics in Germany
- Hardware platforms under discussion: QCDOC, apeNEXT, PC clusters
- Several meetings involving the lattice, hadron and nuclear communities
- Strong link to FAIR: PANDA, CBM

Nucleon Structure in Lattice QCD (1997 — ...)

- Structure functions
- Nucleon spin
- Strangeness in the nucleon
- Form factors, charges
- Generalised Parton Distributions
- Large-momentum Effective Theory — LaMET



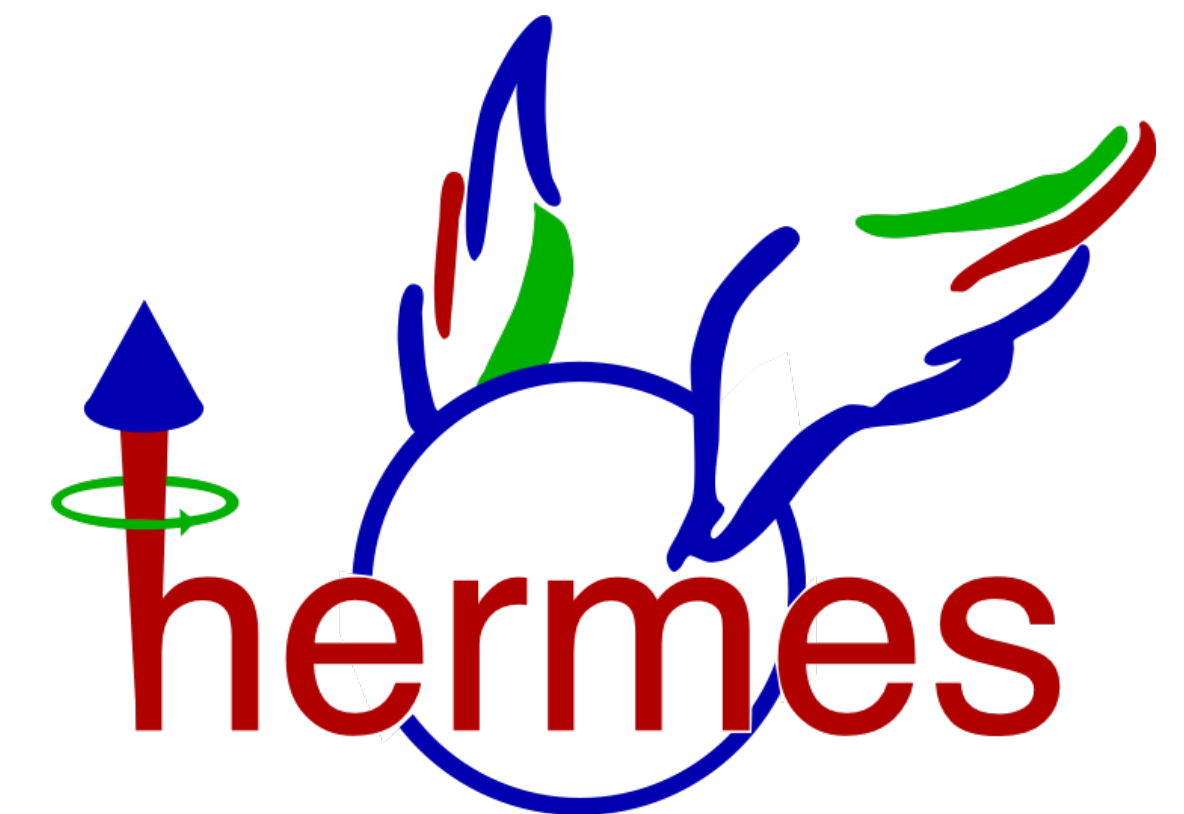
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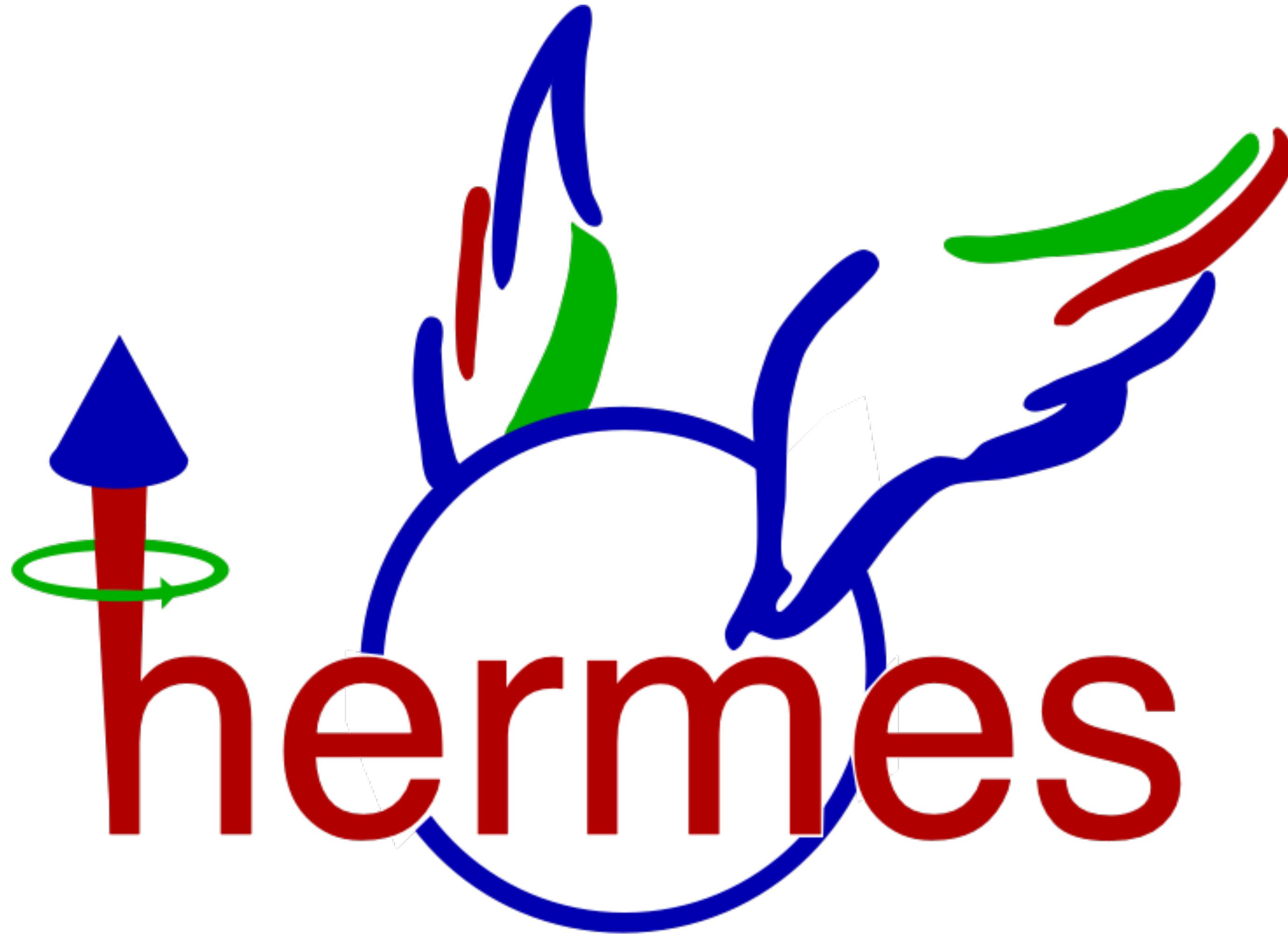
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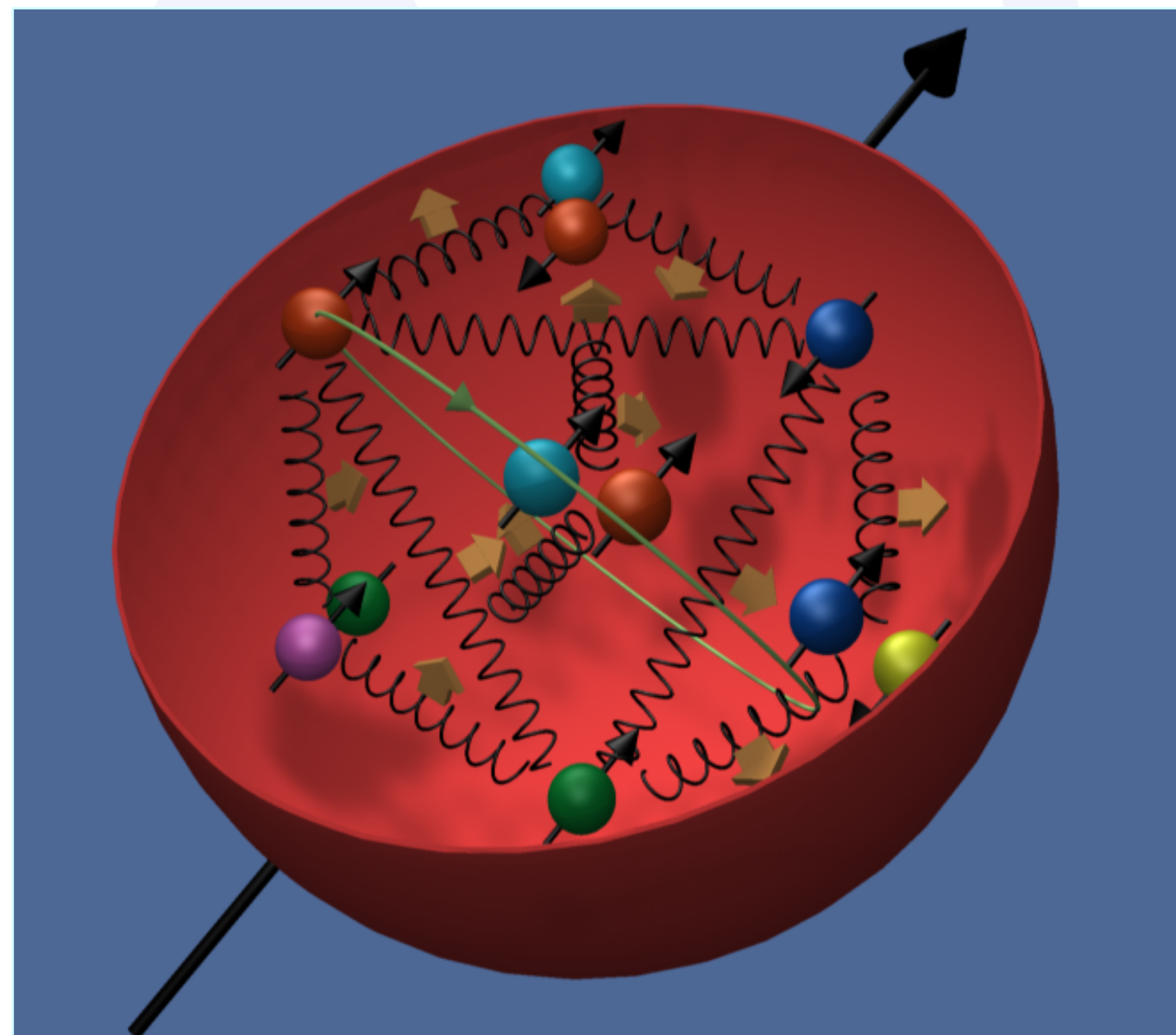
HERA measurement of spin

[C. Papanicolas (1989)]

the (original) quest: proton spin

"You think you understand something? Now add spin ..." [Jaffe]

The understanding of the proton changed dramatically with the finding of EMC that the proton spin hardly comes from spin of quarks.



$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L_q + L_g$$

← quark spin

← gluon spin

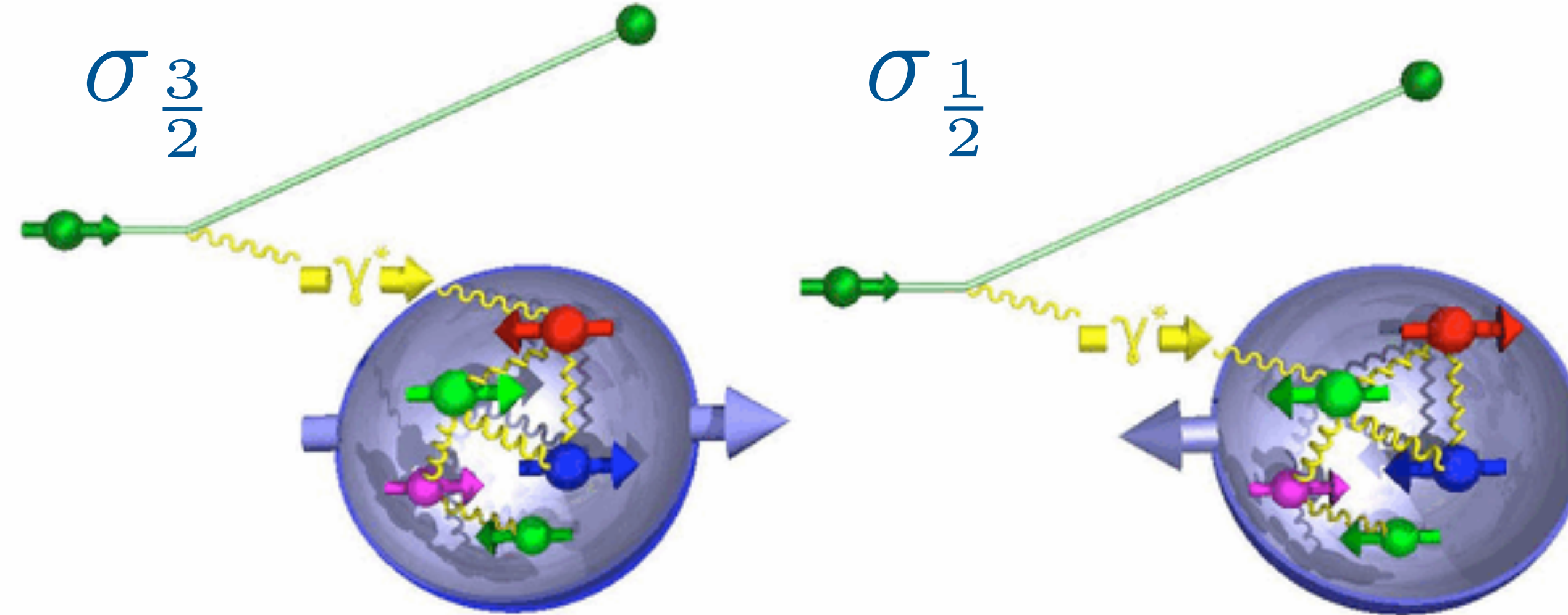
← orbital angular momentum

[Jaffe & Manohar (1990)]

Deep-Inelastic Scattering

probing the structure of the nucleon

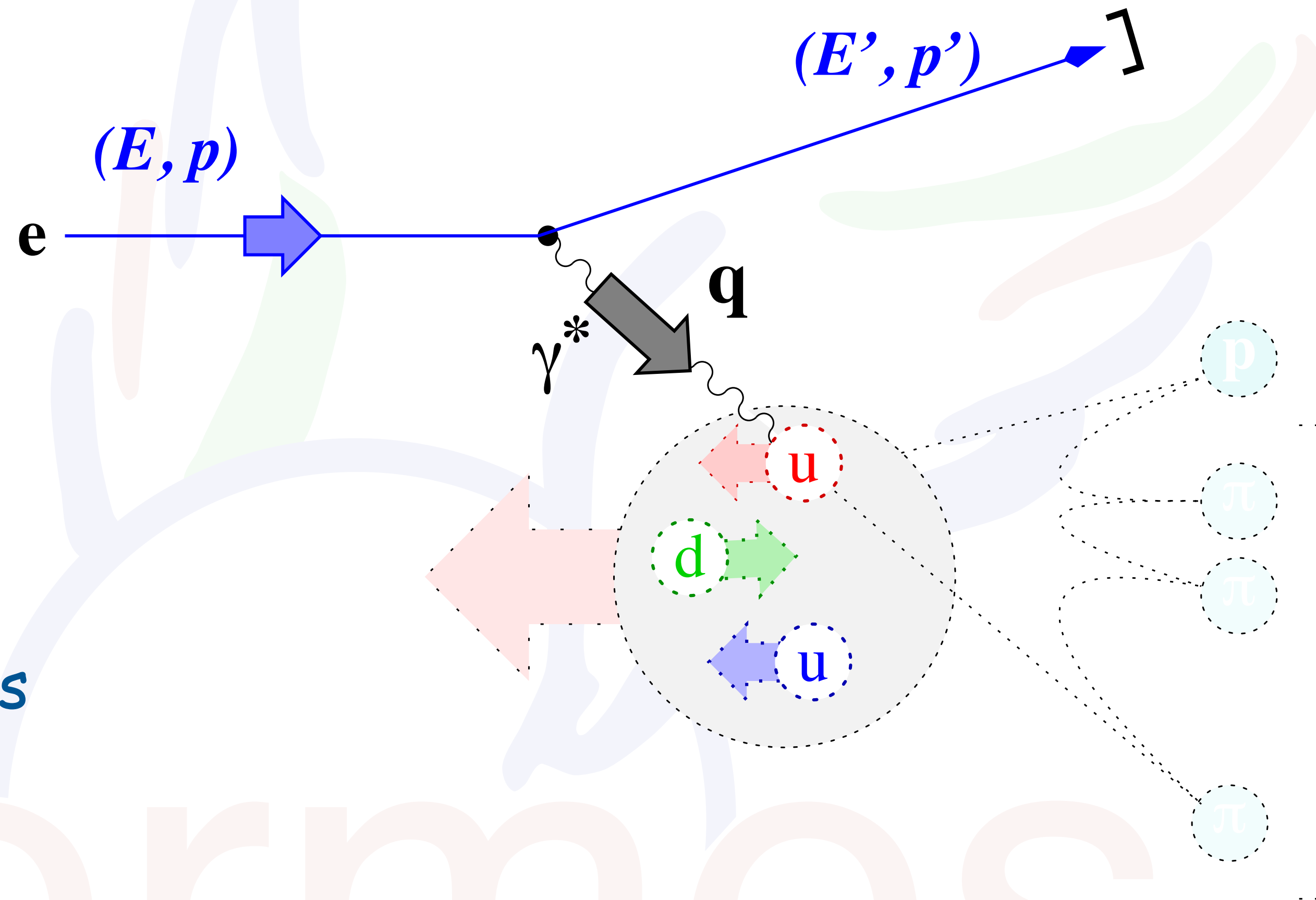
spin asymmetries



- exploit **spin correlations** (e.g., virtual photon couples only to spin-1/2 quarks with opposite spin)
- cross-section difference provides access to quark polarization
- in praxis form asymmetries to cancel systematics:

$$\frac{\sigma_{\frac{3}{2}} - \sigma_{\frac{1}{2}}}{\sigma_{\frac{3}{2}} + \sigma_{\frac{1}{2}}}$$

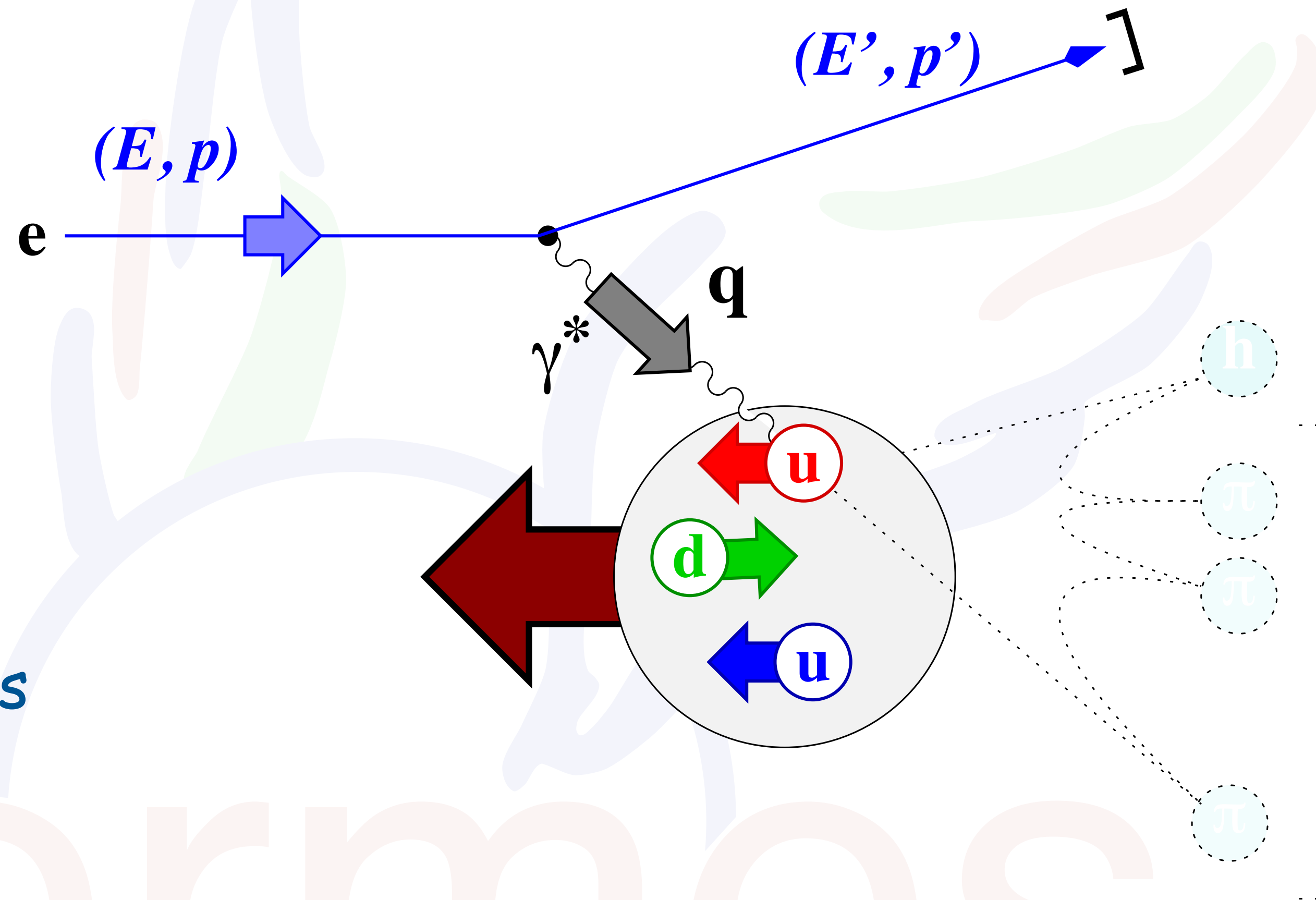
experimental prerequisites



- polarized lepton beams

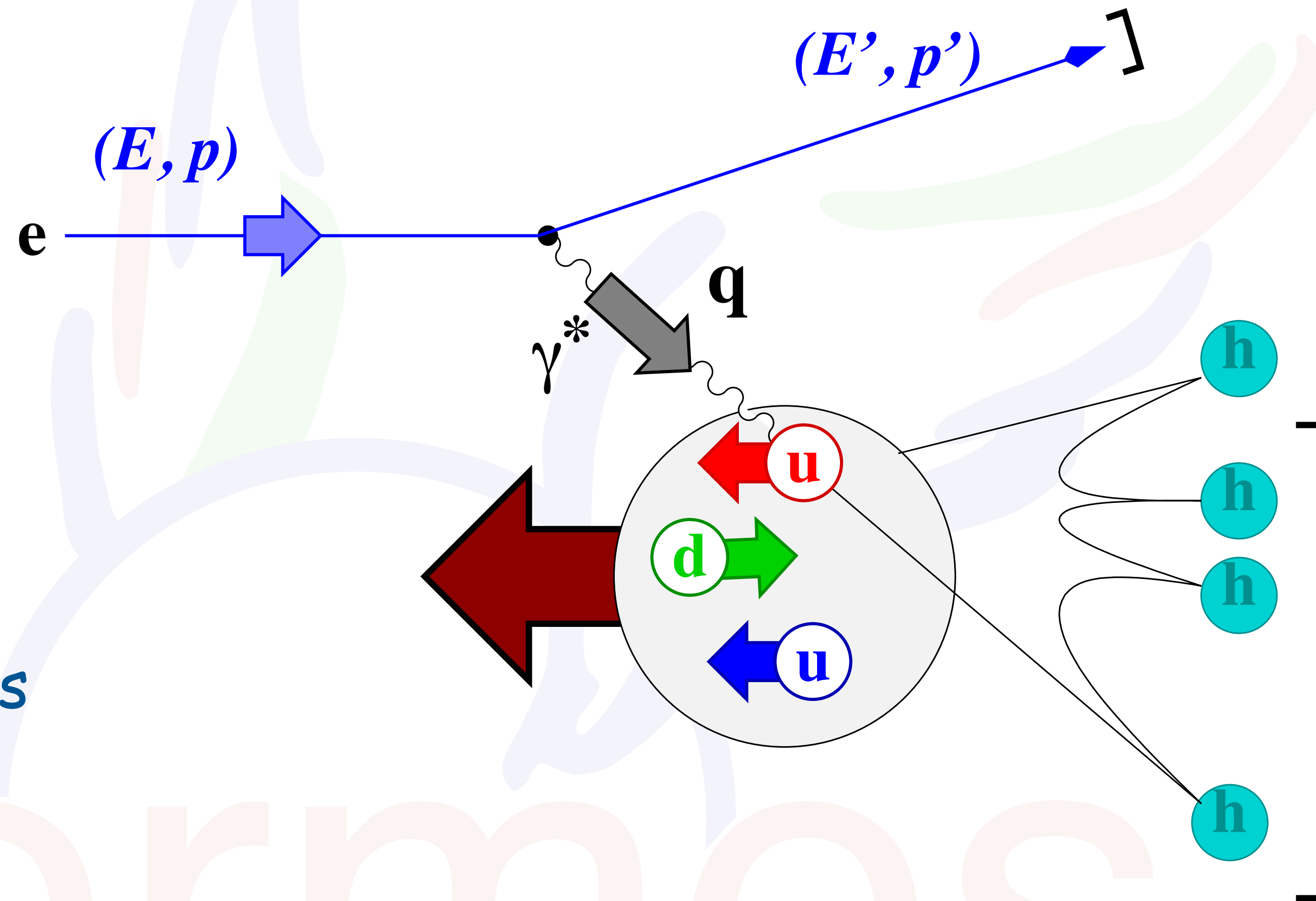
hermes

experimental prerequisites



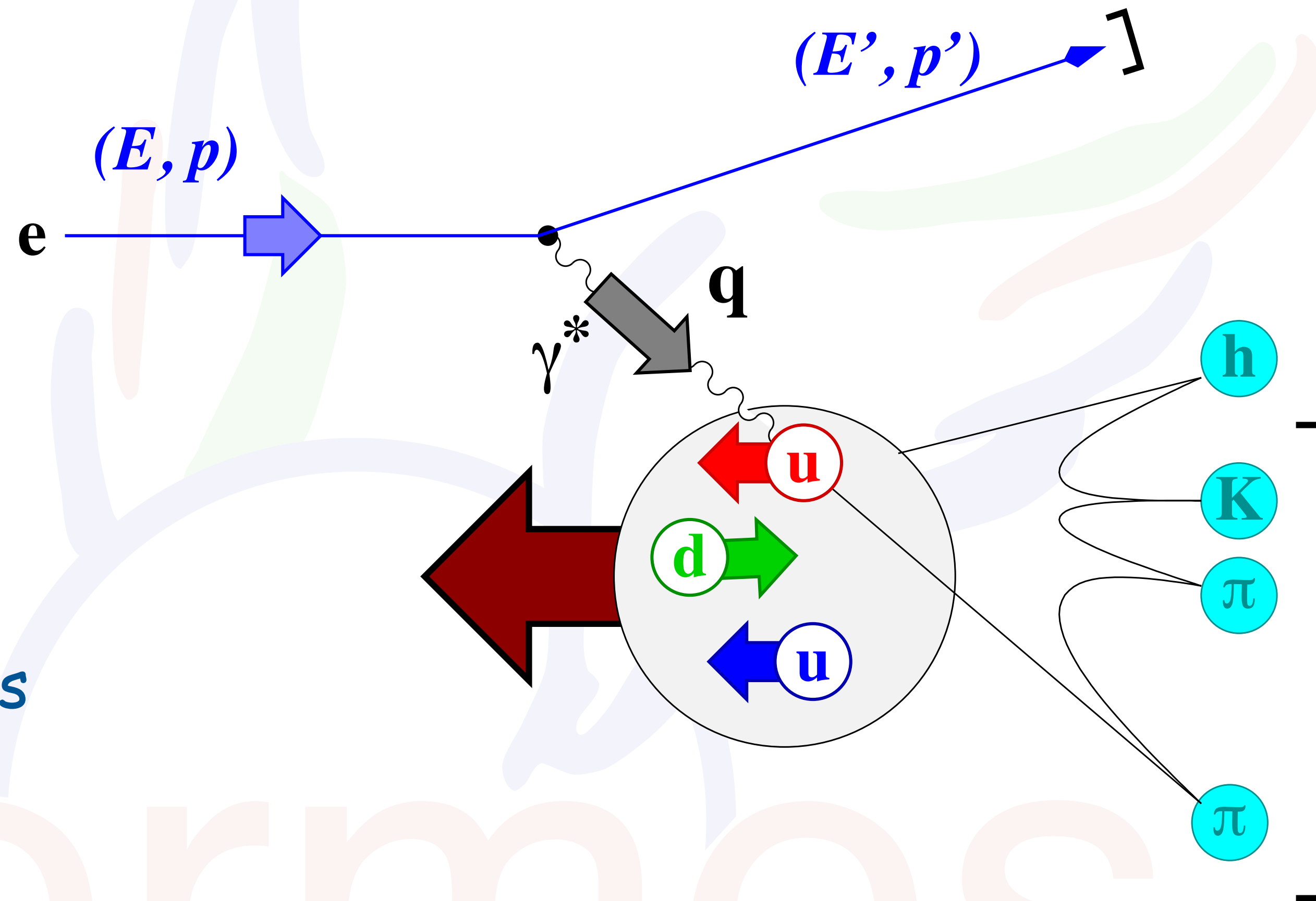
- polarized lepton beams
- polarized targets

experimental prerequisites



- polarized lepton beams
- polarized targets
- large-acceptance spectrometer

experimental prerequisites



- polarized lepton beams
- polarized targets
- large-acceptance spectrometer
- good particle identification (PID)

experimental situation in the 1980s

- polarized beams
 - polarized electron beam at SLAC
 - polarized at source; high intensity
 - tertiary polarized muon beam at NA of SPS at CERN
 - highly polarized (weak meson decays); low intensity



hermes

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hermes

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 - polarized at source; high intensity
 - tertiary polarized muon beam at NA of SPS at CERN
 - highly polarized (weak meson decays); low intensity
- polarized targets
 - solid (e.g. NH_3) targets -> high density, but large dilution
- statistical precision: $\sim \frac{1}{f P_B P_T} \frac{1}{\sqrt{N}}$ (f... dilution factor)
 - solid targets $f \approx 0.2$ -> directly scales uncertainties (as do P_B & P_T)

new developments

self-polarized leptons in
storage rings -> HERA

highly polarized
gas targets

- why not combine for double-polarization experiment with excellent figure of merit?



new developments

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gas targets

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- 1987: two groups with similar ideas
(North America ... R. Milner & Europe ... K. Rith)
- head to DESY to measure spin asymmetries at HERA
- two separate LOIs beginning of 1988

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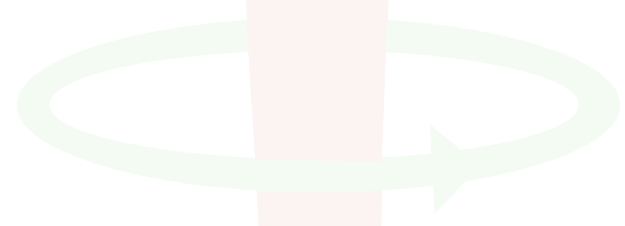
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 - head to DESY to measure spin asymmetries at HERA
 - two separate LOIs beginning of 1988
- DESY management sympathetic, but ...
 - common effort -> 12/1988 common collaboration 1990 proposal) and ...

... conditions for approval

- demonstration of high longitudinal electron beam polarization
- demonstration of transverse self-polarization of HERA e^\pm
- successful spin rotation to obtain longitudinal polarization
- demonstration of high flux with high polarization from polarized sources ...
- ... and demonstration of storage-cell technique
- no compromises for HERA flagship colliders H1 and Zeus

beam polarization

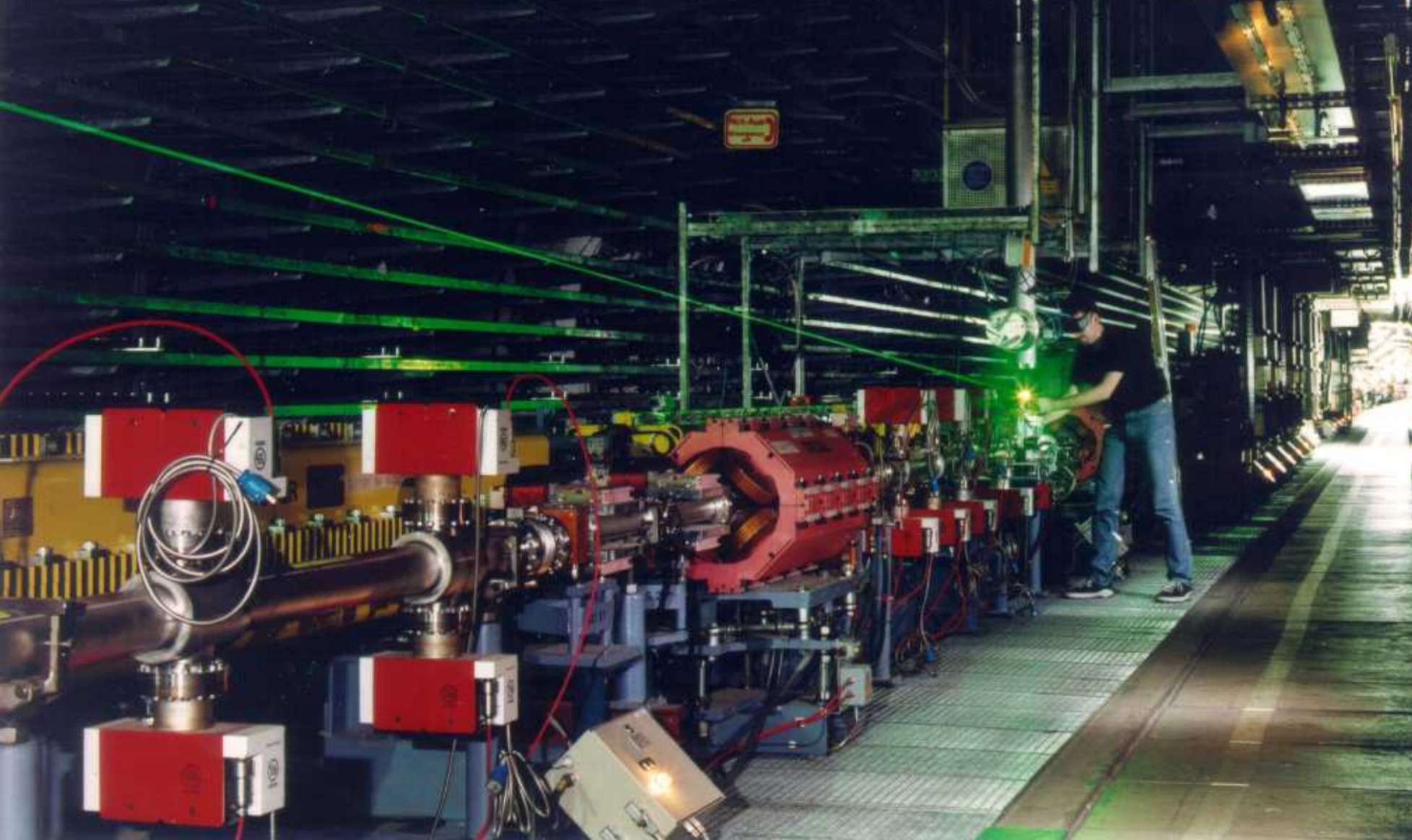
- tiny asymmetry in spin-flip amplitude by emission of synchrotron radiation
-> build-up of self polarization
- degree of transverse polarization depends critically on machine energy and magnet alignment
- longitudinal polarization through (movable) spin rotators in front / behind experiment
(installed winter 1993/94) -> both helicities



hermes

beam polarization

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(installed winter 1993/94) -> both helicities
- HERA polarization
 - 11/1991: 8% ... first demonstration of self-polarization at HERA
 - 9/1992: 60% ... polarization sufficient for HERMES
 - 5/1994: 60% longitudinal polarization
- two independent Compton polarimeters at East and West Hall



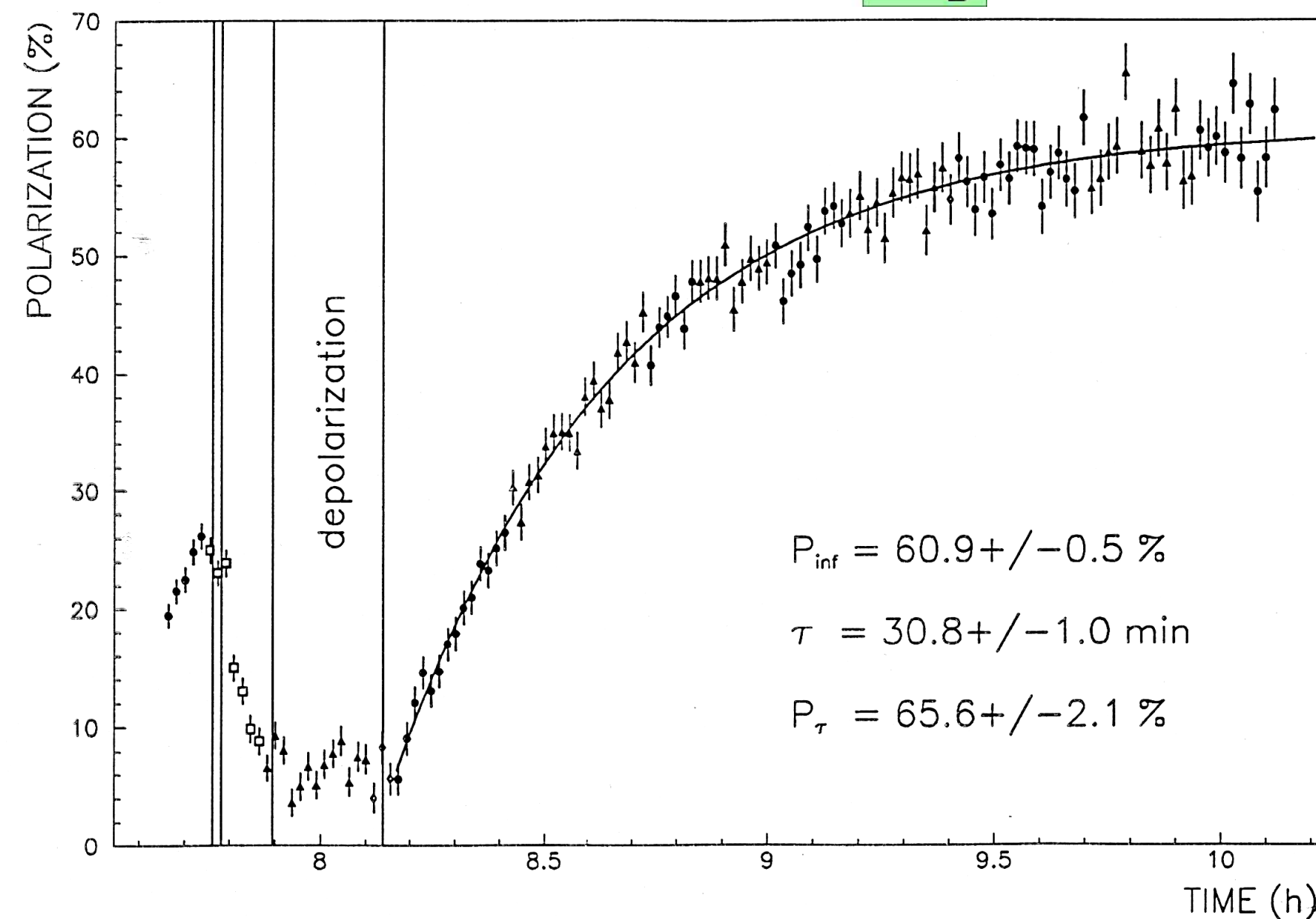
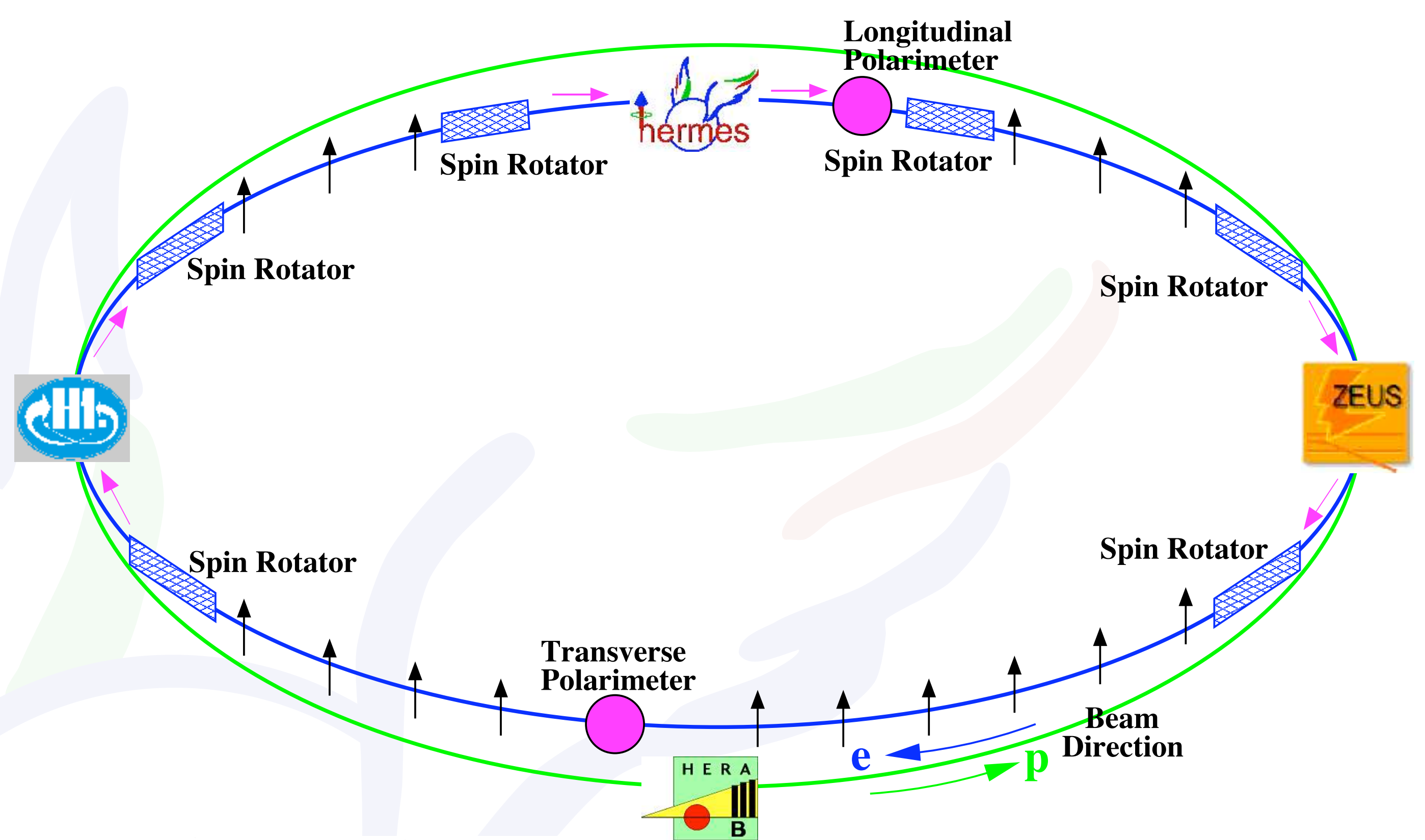
DESY TELEGRAMM

vom 24. November 1991

Erste Messung von Polarisation der Elektronen in HERA

Letzte Woche wurde in HERA zum ersten Mal die Polarisation von Elektronen, die Ausrichtung ihrer "Spins", beobachtet. Im Bereich des geraden Abschnitts HERA-West wurde dazu ein Laserstrahl auf die umlaufenden Elektronen gerichtet, und es wurden die an den Elektronen zurückgestreuten Photonen nachgewiesen. Der Laserstrahl war im Wechsel (90mal in der Sekunde) links und rechts polarisiert. Bei einer Strahlenergie von 26,67 GeV wurde auf diese Weise ein Polarisationsgrad der Elektronen von etwa 8% gemessen. Durch die Veränderung der Beschleunigungsspannung in HERA konnte ihre Polarisation gezielt und reproduzierbar variiert werden. Eine in 10MeV-Energieschritten durchgeführte Messung zeigt Strukturen, die von Depolarisationsresonanzen herrühren.

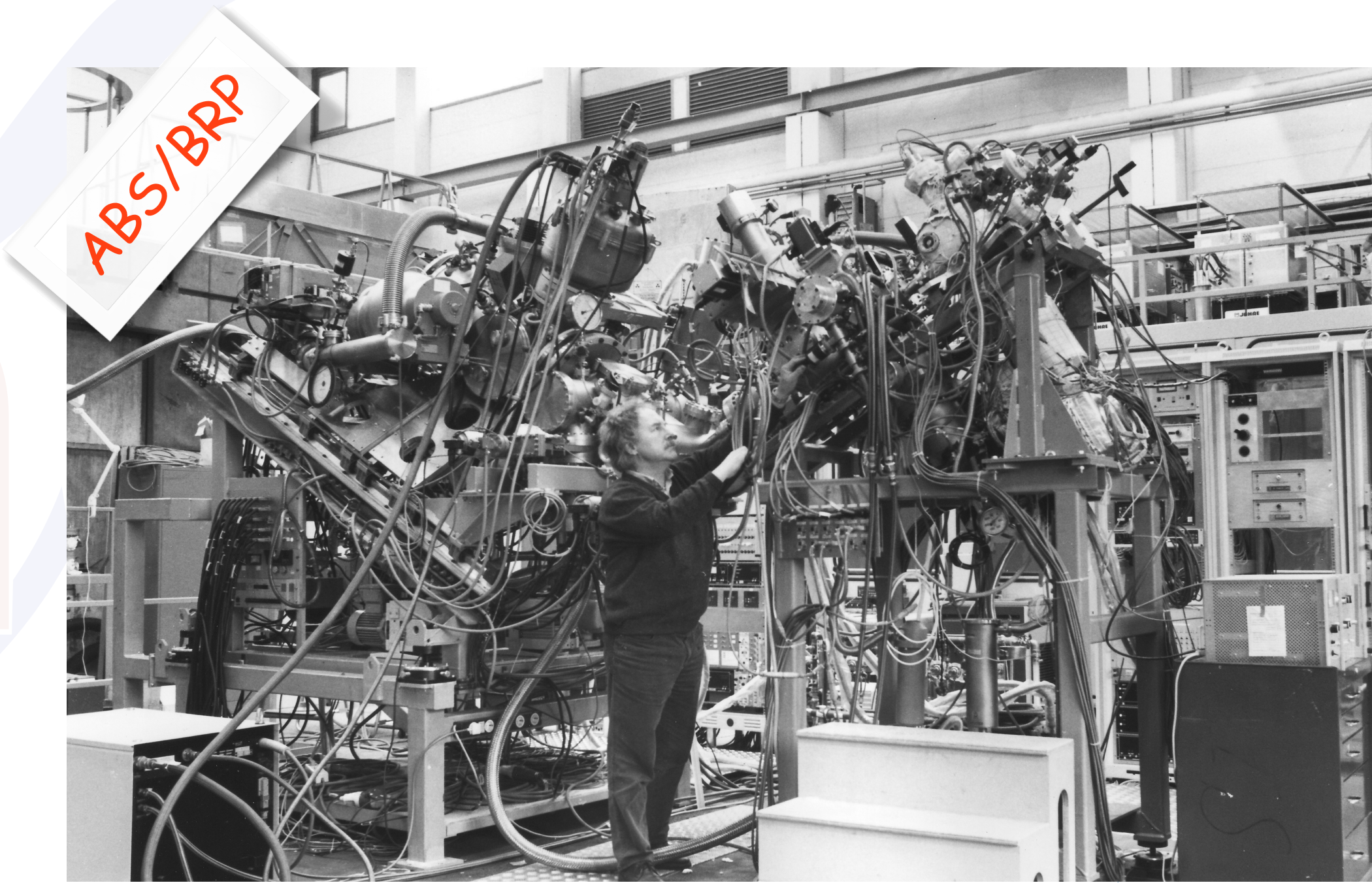
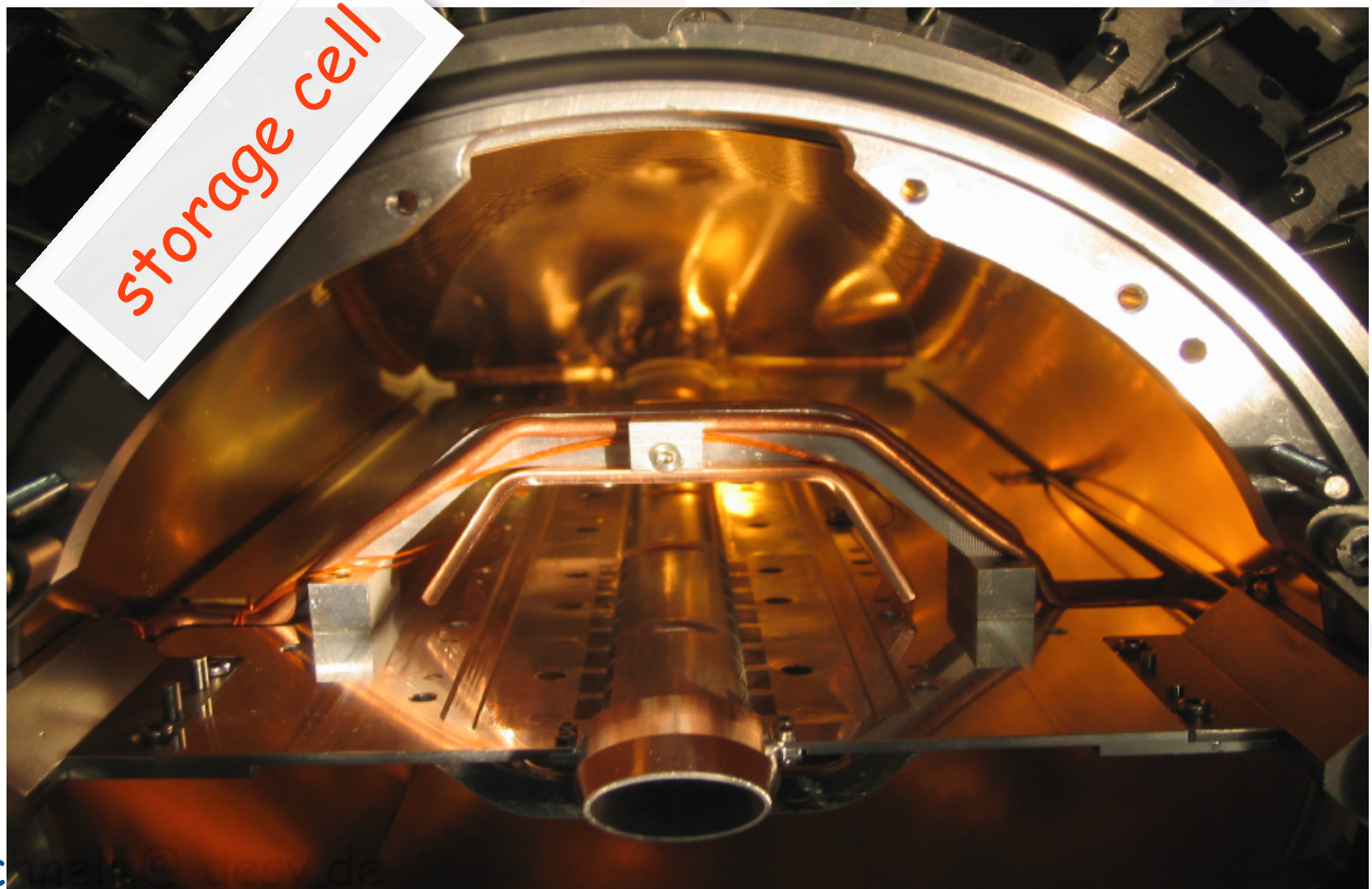
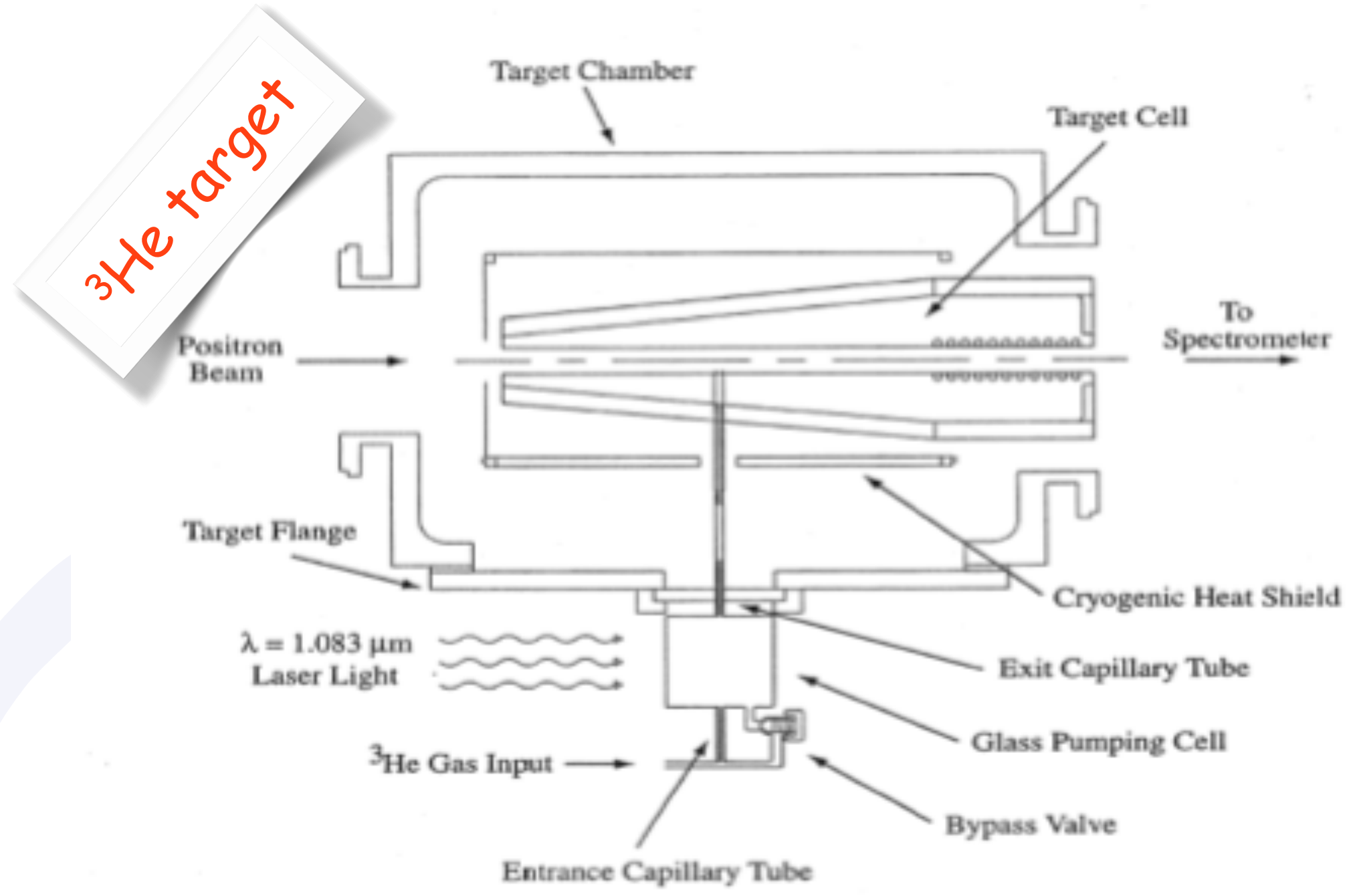
Elektronen besitzen die Eigenschaft kleiner Kreisel, sie haben einen "Eigendrehimpuls" oder "Spin". In der Teilchenphysik gibt es einige Fragestellungen, die nur mit solchen "polarisierten" Elektronen untersucht werden können.



HERMES gas targets

novel pure gas target:

- internal to HERA lepton ring
- longitudinally polarized: ^1H , ^2H , ^3He
- transversely polarized: ^1H
- rapid spin reversal every 60...180s
- unpolarized (^1H ... Xe)



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- ✓
- demonstration of high flux with high polarization from polarized sources ...
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- ... and demonstration of storage-cell technique
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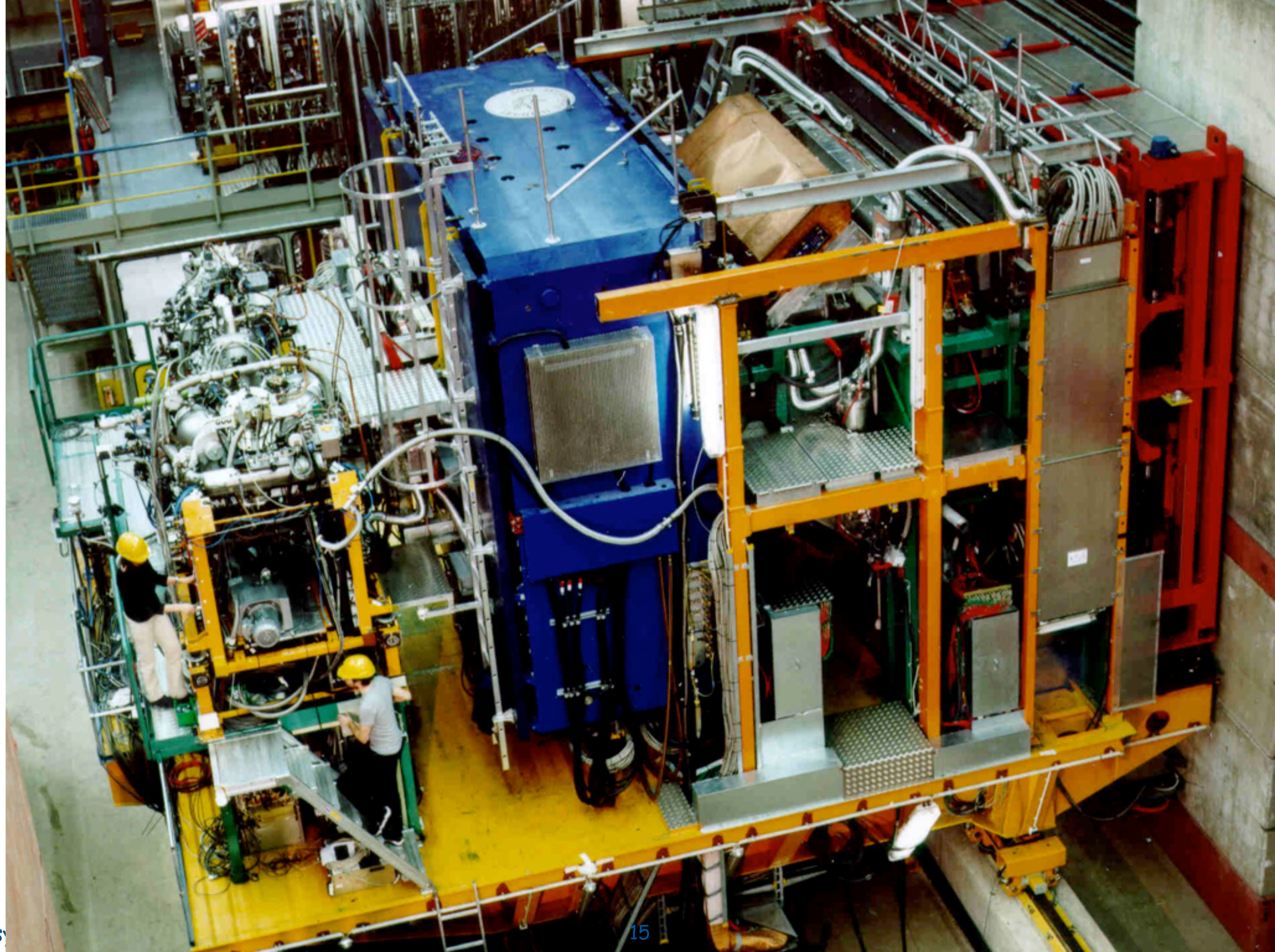
1993 final approval & TDR

... conditions for approval

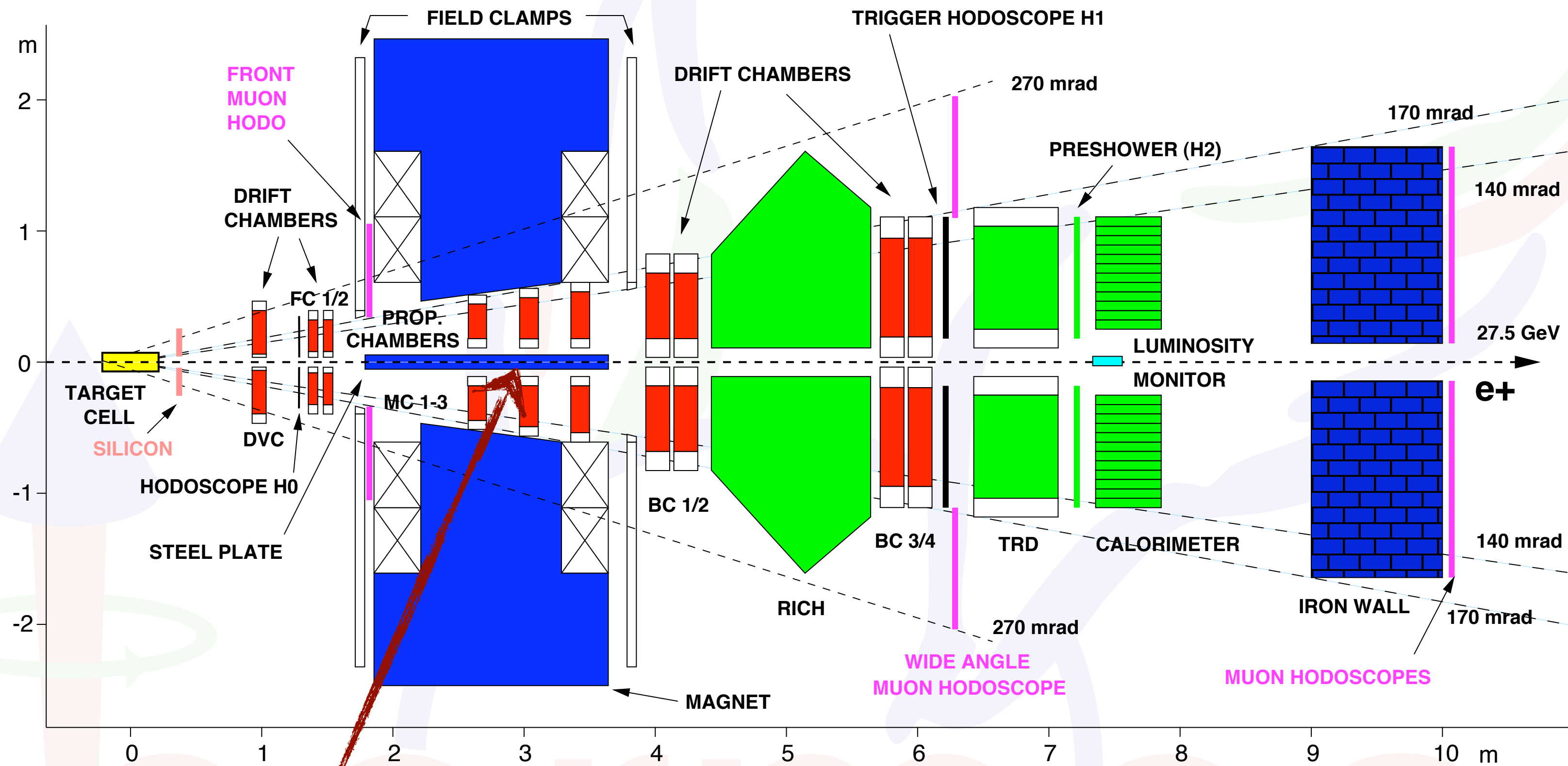
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1995-2007 data taking

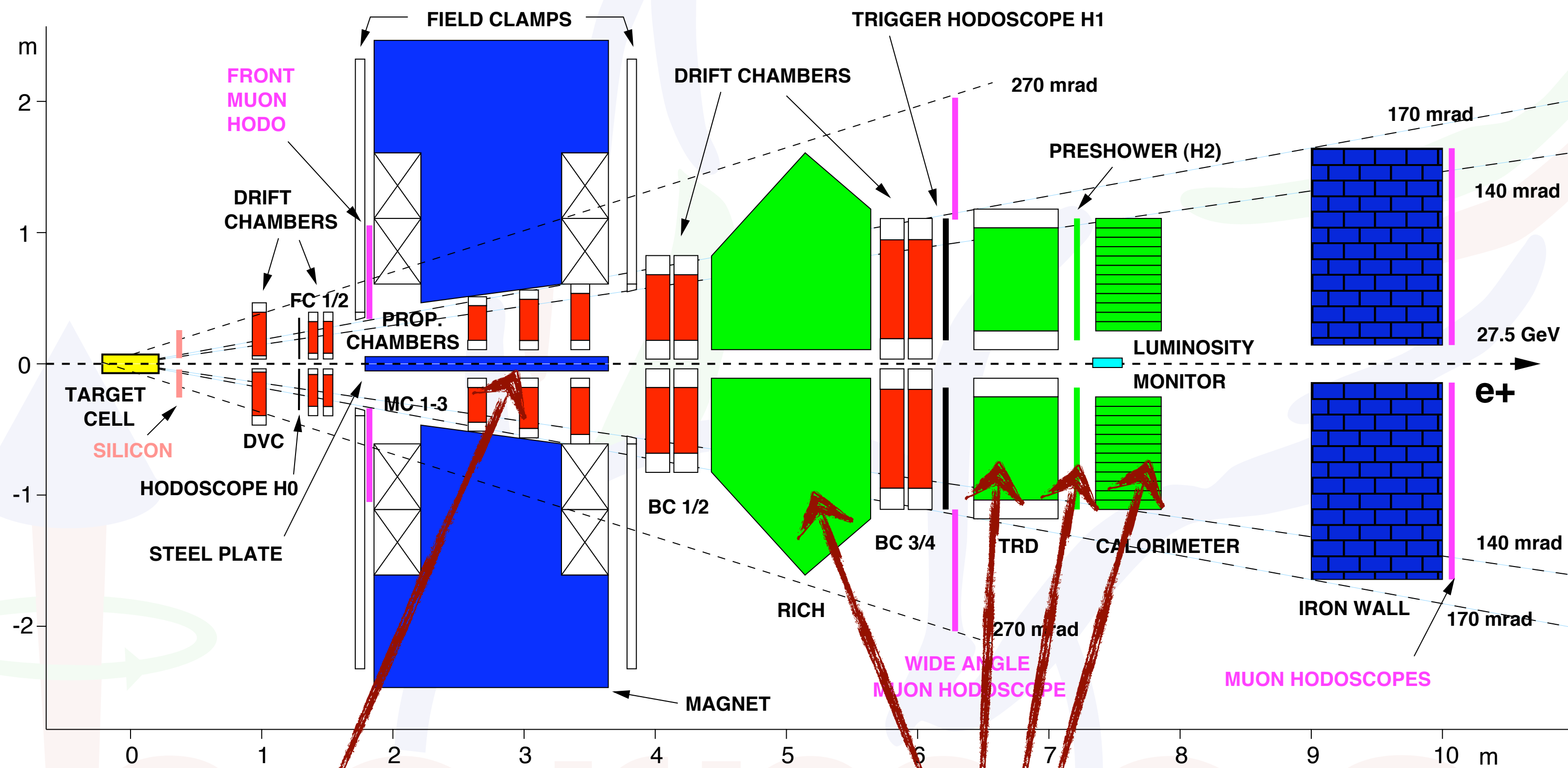


HERMES (1998-2005) schematically



two (mirror-symmetric) halves

HERMES (1998-2005) schematically



two (mirror-symmetric) halves

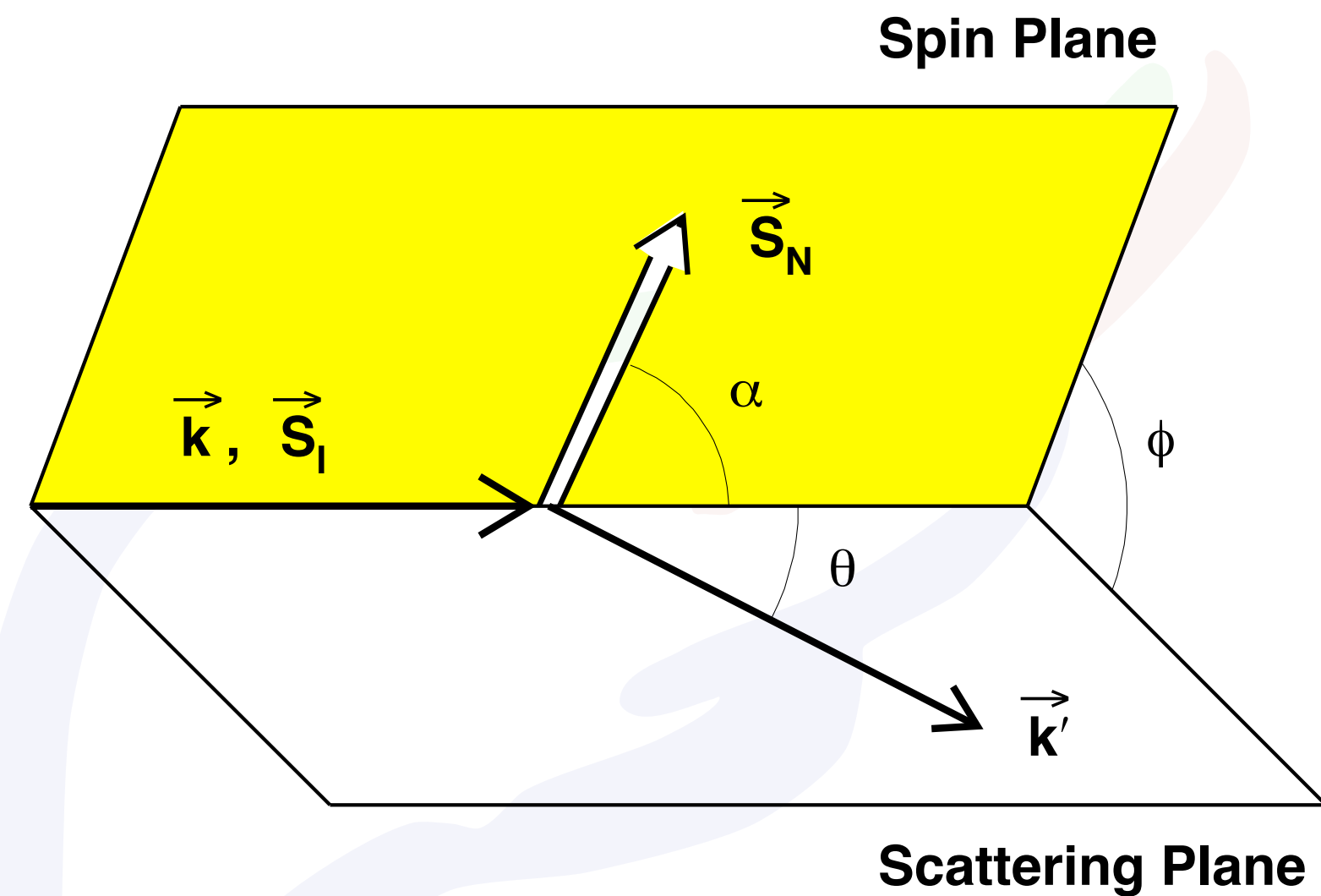
Particle ID detectors allow for

- lepton/hadron separation
- RICH: pion/kaon/proton discrimination in momentum range of $2 \text{ GeV} < p < 15 \text{ GeV}$

bread & butter physics

inclusive DIS (one-photon exchange)

$$\frac{d^2\sigma(s, S)}{dx dQ^2} = \frac{2\pi\alpha^2 y^2}{Q^6} \mathbf{L}_{\mu\nu}(s) \mathbf{W}^{\mu\nu}(S)$$



hermes

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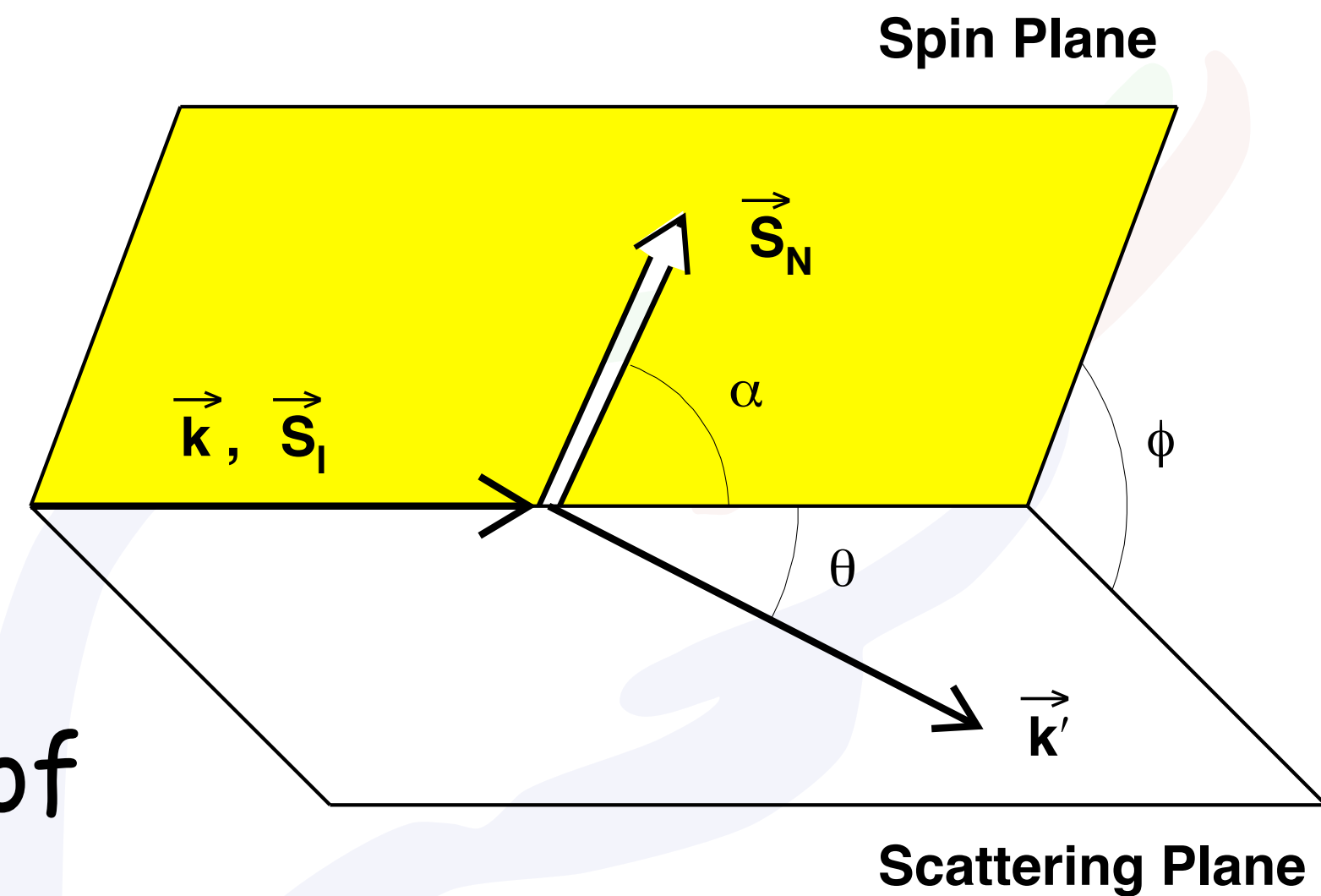
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Lepton Tensor

Hadron Tensor

parametrized in terms of

Structure Functions



$$\frac{d^3\sigma}{dxdy d\phi} \propto \frac{y}{2} F_1(x, Q^2) + \frac{1 - y - \gamma^2 y^2 / 4}{2xy} F_2(x, Q^2) - S_l S_N \cos \alpha \left[\left(1 - \frac{y}{2} - \frac{\gamma^2 y^2}{4} \right) g_1(x, Q^2) - \frac{\gamma^2 y}{2} g_2(x, Q^2) \right] + S_l S_N \sin \alpha \cos \phi \gamma \sqrt{1 - y - \frac{\gamma^2 y^2}{4}} \left(\frac{y}{2} g_1(x, Q^2) + g_2(x, Q^2) \right)$$

polarized structure function $g_1(x)$



ELSEVIER

10 July 1997

Physics Letters B 404 (1997) 383–389

PHYSICS LETTERS B

Measurement of the neutron spin structure function g_1^n with a polarized ^3He internal target

HERMES Collaboration

, Y. Sakemi^{ab}, I. Savin^g, K.P. Schüller^e,

polarized structure function $g_1(x)$

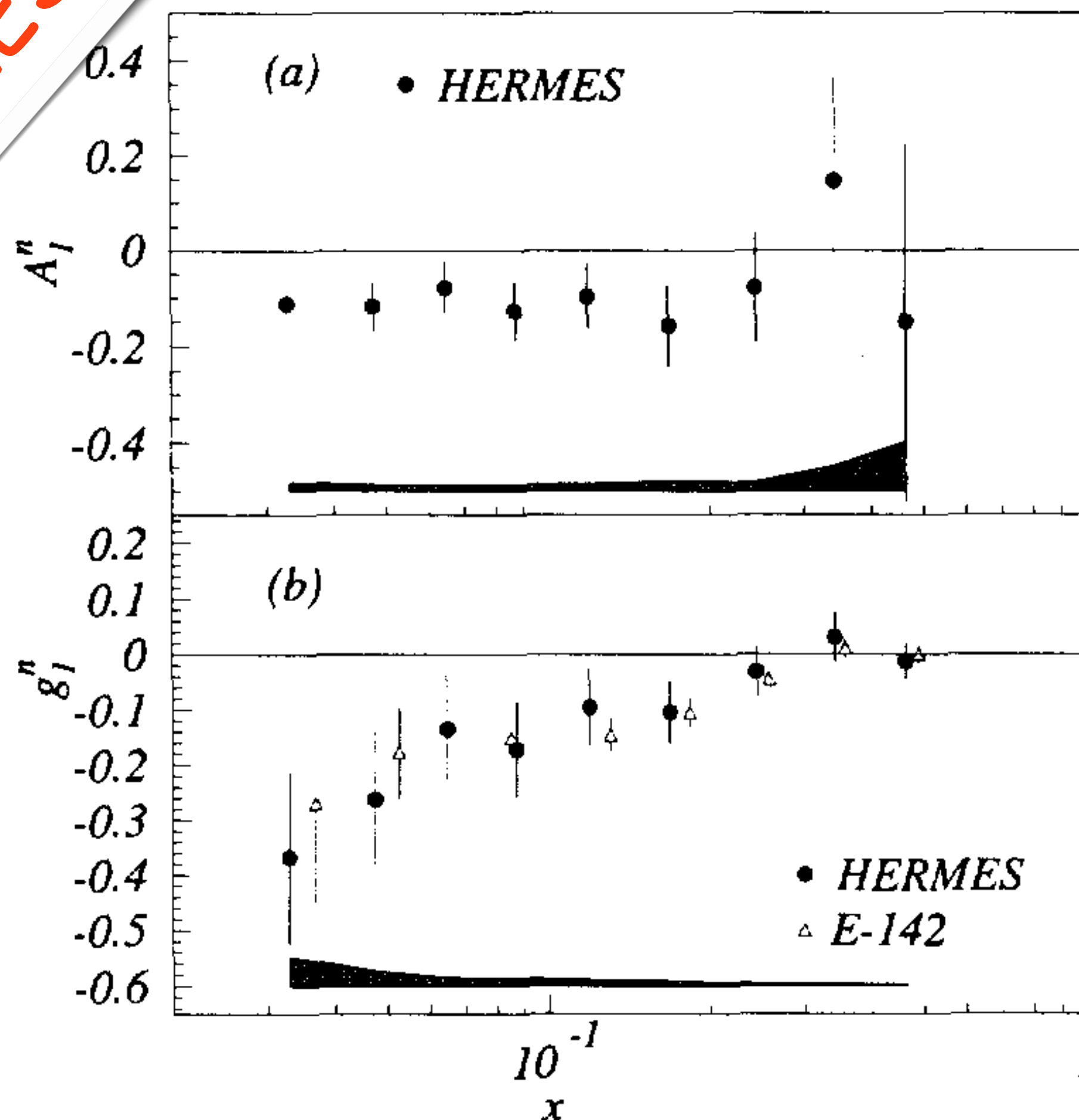
10 July 1997



PHYSICS LETTERS B

Physics Letters B 404 (1997) 383–389

first HERMES result



unction g_1^n with a



The  Soccer Team

Top row: Bruce Bray, Kalen Martens, Richard Milner, Marc Beckmann, Mike Vetterli, Wolfgang Lorenzon, Eric Belz
Bottom row: Ralf Kaiser, Johan Blouw, Greg Rakness, Michael Spengos, Armand Simon, Gunnar Schnell, Erhard Steffens

HERMES vs. SLAC E154: 3 - 2

(Caltech, May 1996)

Andreas & HERMES

- by late 90's, Andreas had long left the US West Coast and finally settled in Regensburg (after a pit stop in Heidelberg)

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 - already before his Regensburg time, had given important physics input
 - contributed to useful tools, like PEPSI

Computer Physics Communications 71 (1992) 305–318
North-Holland

Computer Physics
Communications

PEPSI – a Monte Carlo generator for polarized leptonproduction

L. Mankiewicz ¹

Institut für Theoretische Physik der Universität Heidelberg, Philosophenweg 16, W-6900 Heidelberg, Germany

A. Schäfer

Institut für Theoretische Physik, Universität Frankfurt, Postfach 11 19 32, W-6000 Frankfurt, Germany

and

M. Veltri

Max-Planck Institut für Kernphysik, Postfach 10 39 80, W-6900 Heidelberg, Germany

Received 20 December 1991; in revised form 18 February 1992

We describe PEPSI (Polarized Electron Proton Scattering Interactions) a Monte Carlo program for polarized deep inelastic leptonproduction mediated by electromagnetic interaction, and explain how to use it. The code is a modification of the LEPTO 4.3 Lund Monte Carlo for unpolarized scattering. The hard virtual gamma-parton scattering is generated according to the polarization-dependent QCD cross-section of the first order in α_s . PEPSI requires the standard polarization-independent JETSET routines to simulate the fragmentation into final hadrons.

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schaefer  regensburg [join Jan 99] [dq] [hipt] [azim] [f2] [gdhr]
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- co-authored 67 HERMES papers

Andreas & HERMES

Andreas Schäfer (Regensburg U.) 

hep-th nucl-th

Author Identifier: [A.Schafer.1](#)

Advisor: [Berndt Muller](#)

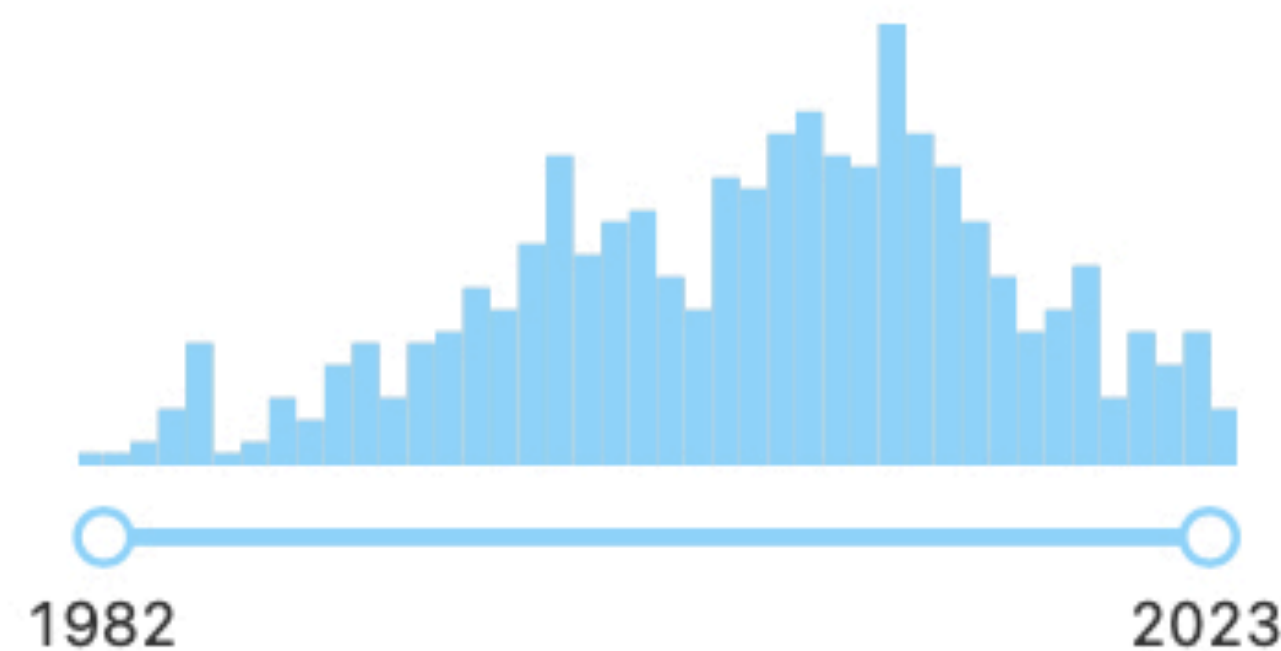
  edit



Updated on Sep 14, 2023

Research works (647)

Cited By

Date of paper




647 results |  cite all  claim

Citation Summary  Most Cited 

Single-spin asymmetries in semi-inclusive deep-inelastic scattering on a transversely polarized hydrogen target #1

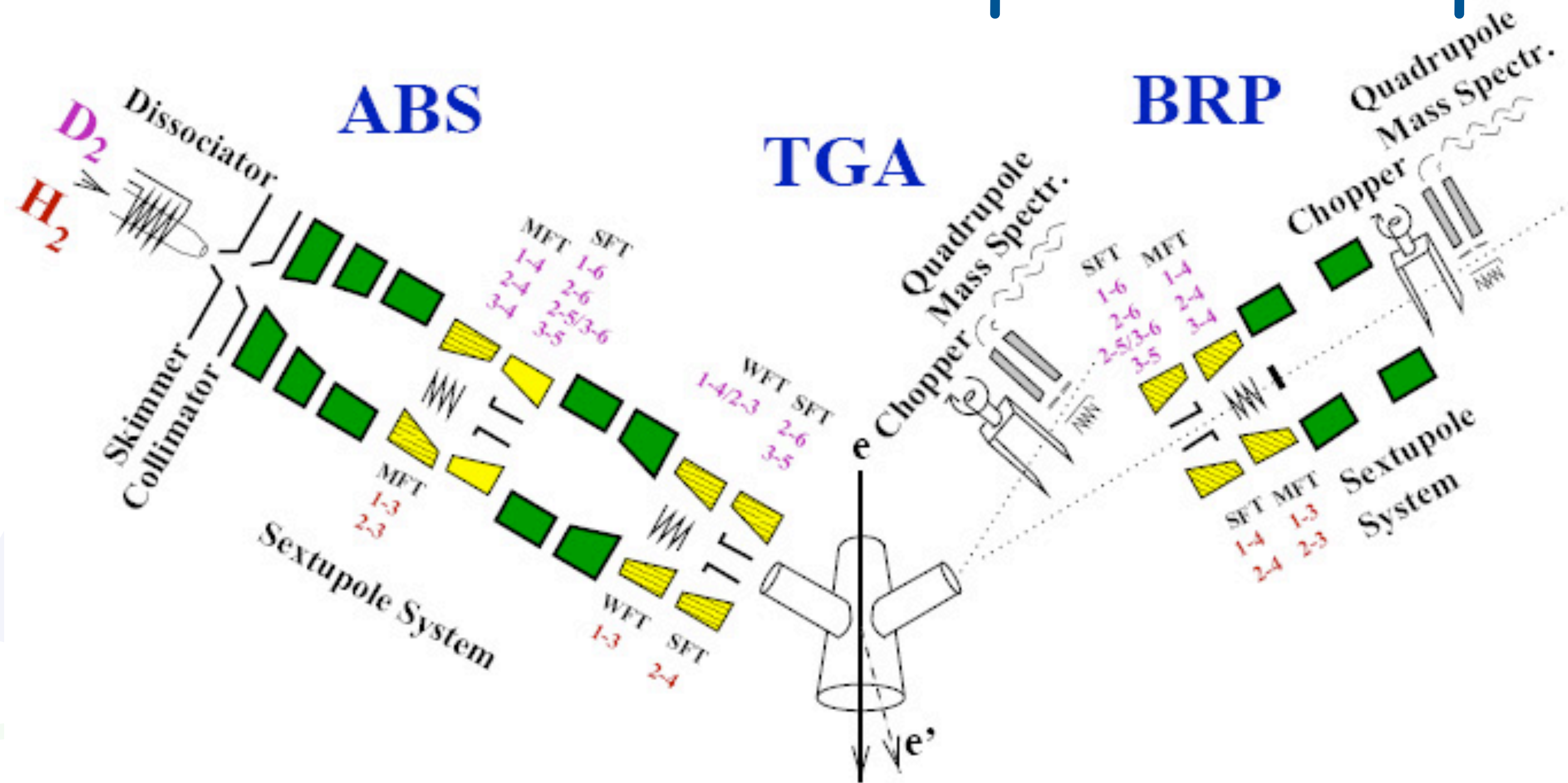
HERMES Collaboration • [A. Airapetian \(Michigan U.\)](#) et al. (Aug, 2004)

Published in: *Phys.Rev.Lett.* 94 (2005) 012002 • e-Print: [hep-ex/0408013](#) [hep-ex]

 pdf  DOI  cite  claim

 reference search  774 citations

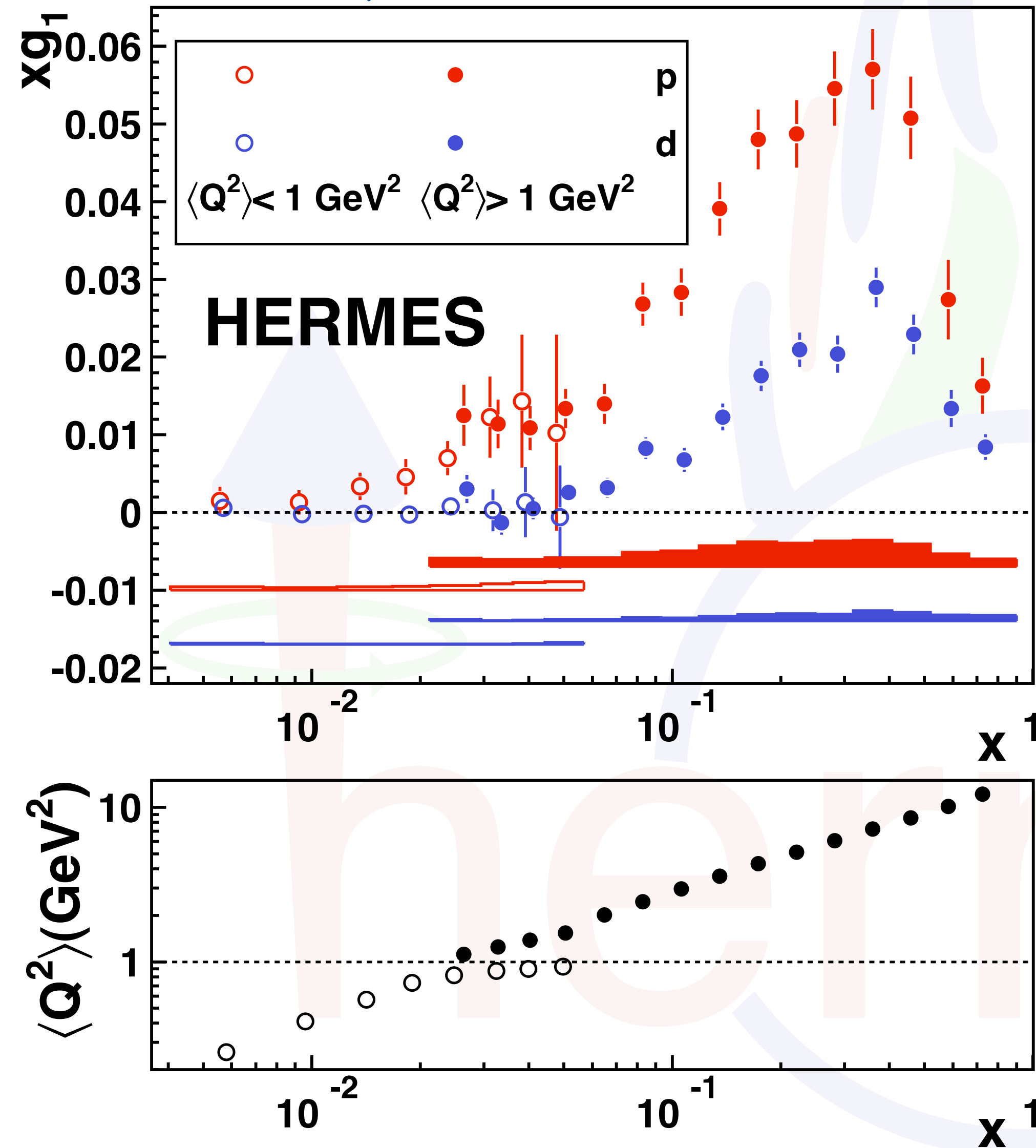
atomic-beam source: polarized p & d



Years	Target	DIS (Milion)	Polarization
1996-1997	$H_{ }$	3.5	0.851 ± 0.033
1998-2000	$D_{ }$	10.2	0.845 ± 0.028
2001-2005	H_{\perp}	~6	0.74 ± 0.06

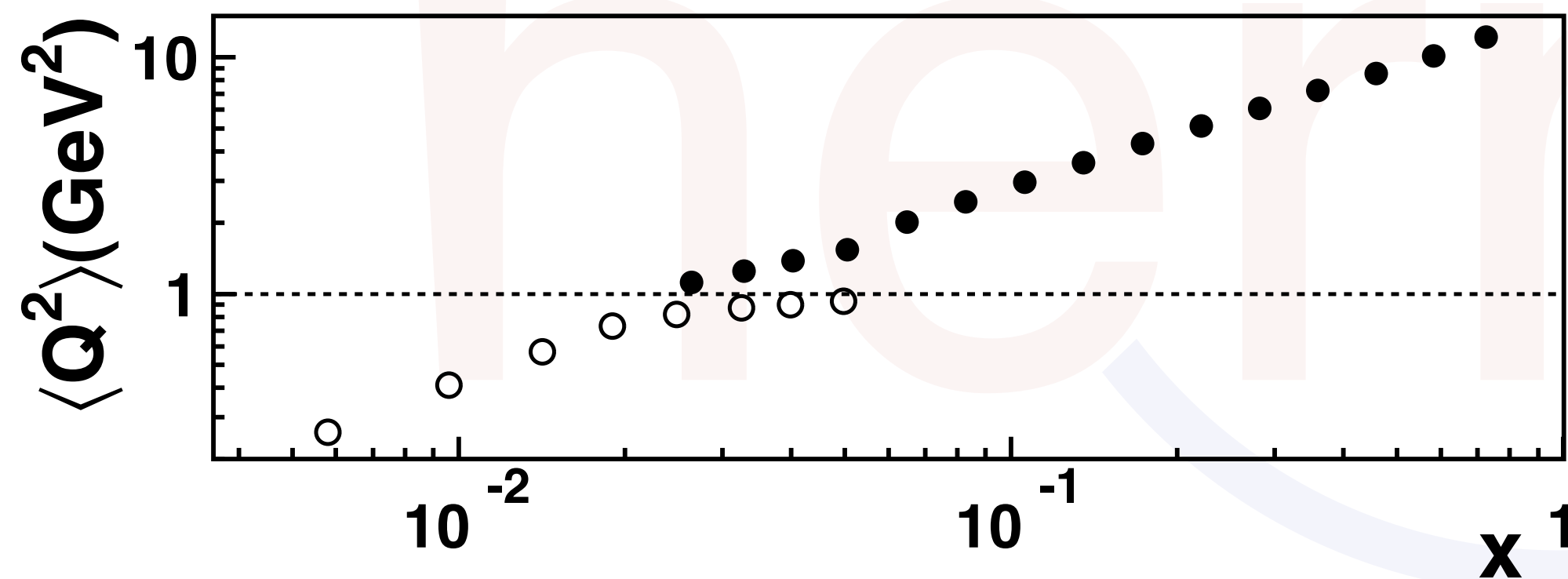
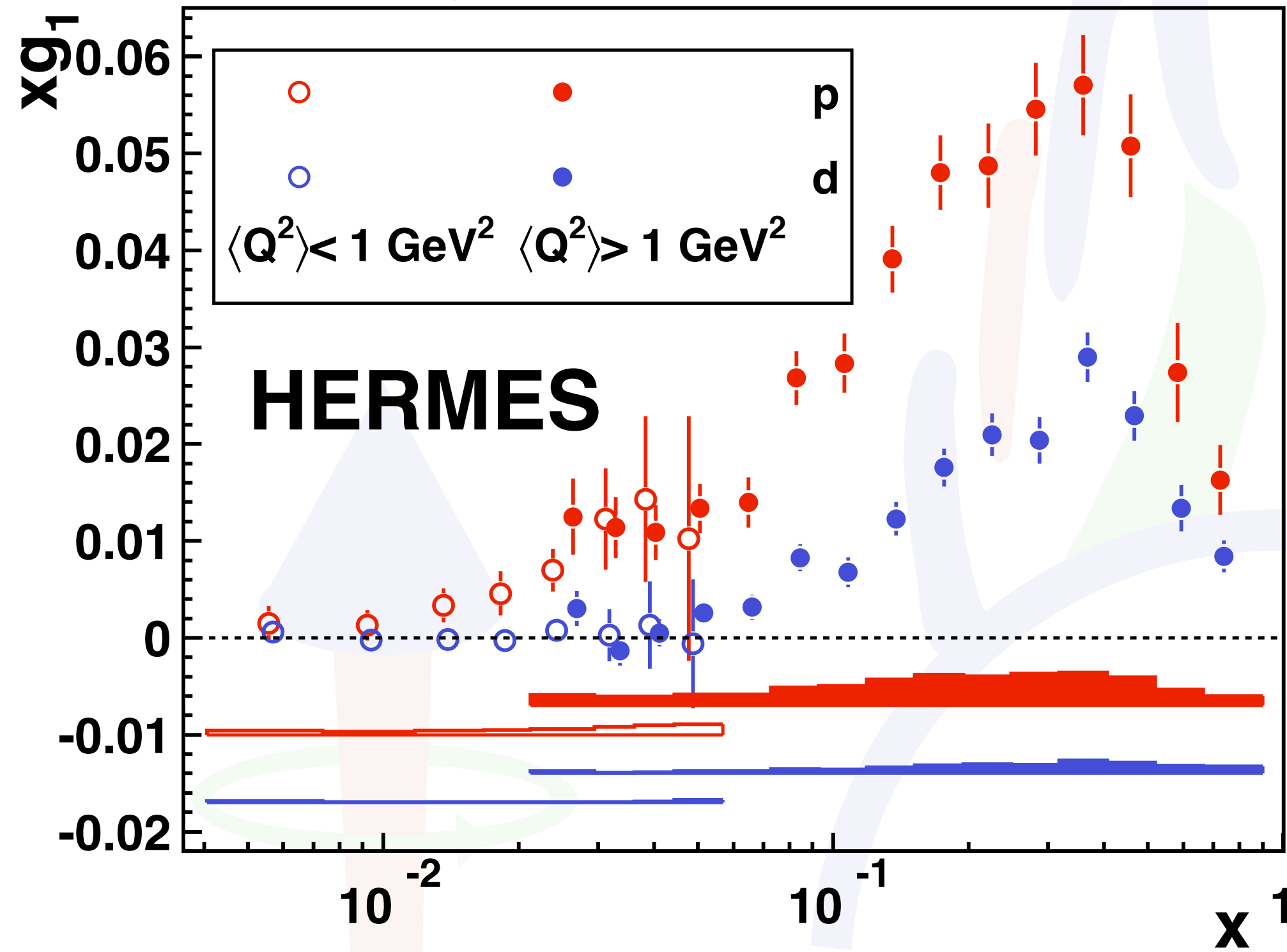
polarized structure function $g_1(x)$

[A. Airapetian et al., PRD 75 (2007) 012007]

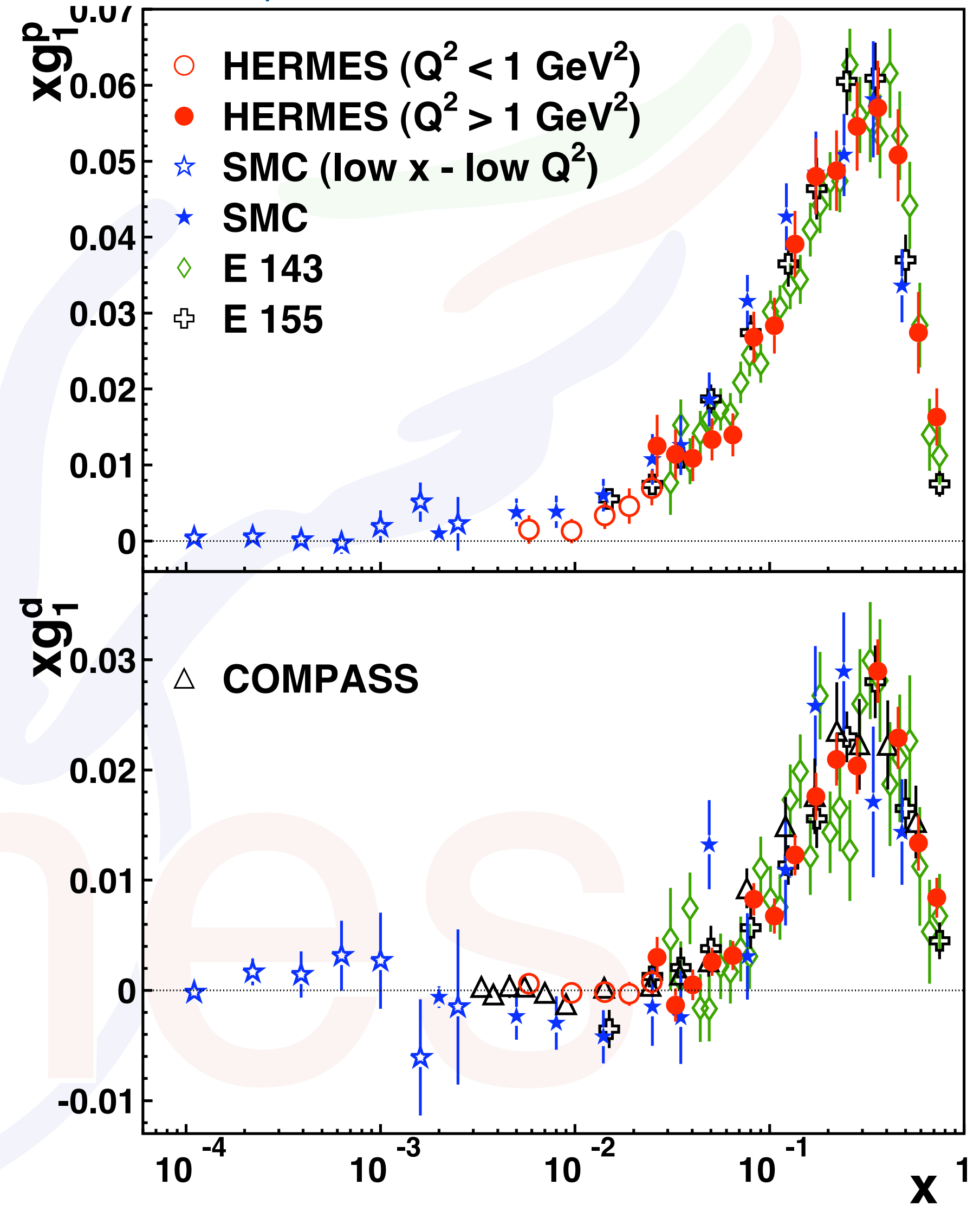


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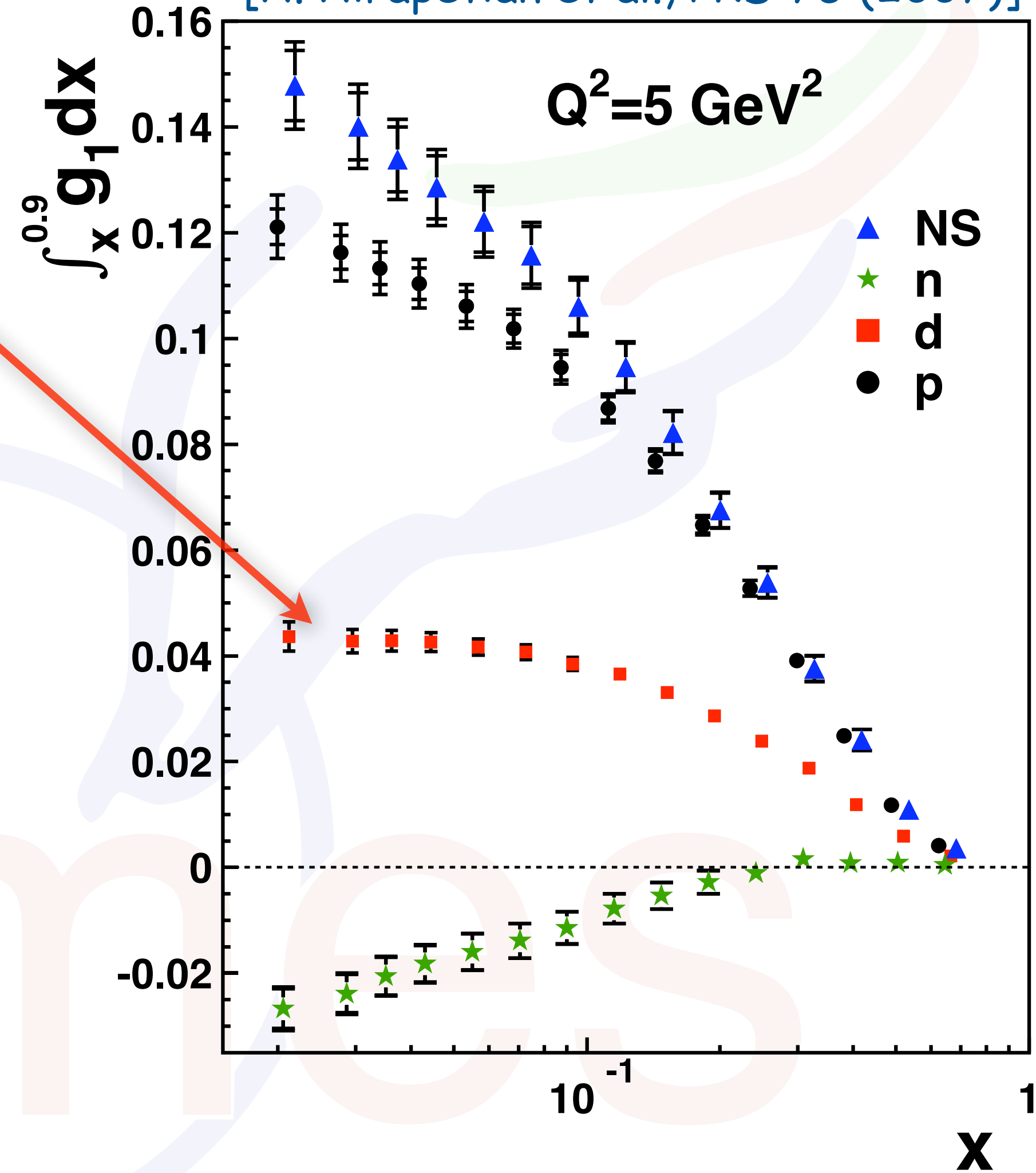
Γ_1 ... integral of $g_1(x)$

Saturation
 → close to full integral?

$$\Delta\Sigma \stackrel{\overline{\text{MS}}}{=} \frac{1}{\Delta C_S} \left[\frac{9\Gamma_1^d}{1 - \frac{3}{2}\omega_D} - \frac{1}{4} a_8 \Delta C_{NS} \right]$$

\uparrow theory \uparrow 0.05±0.05 \uparrow theory
 hyperon-decay data

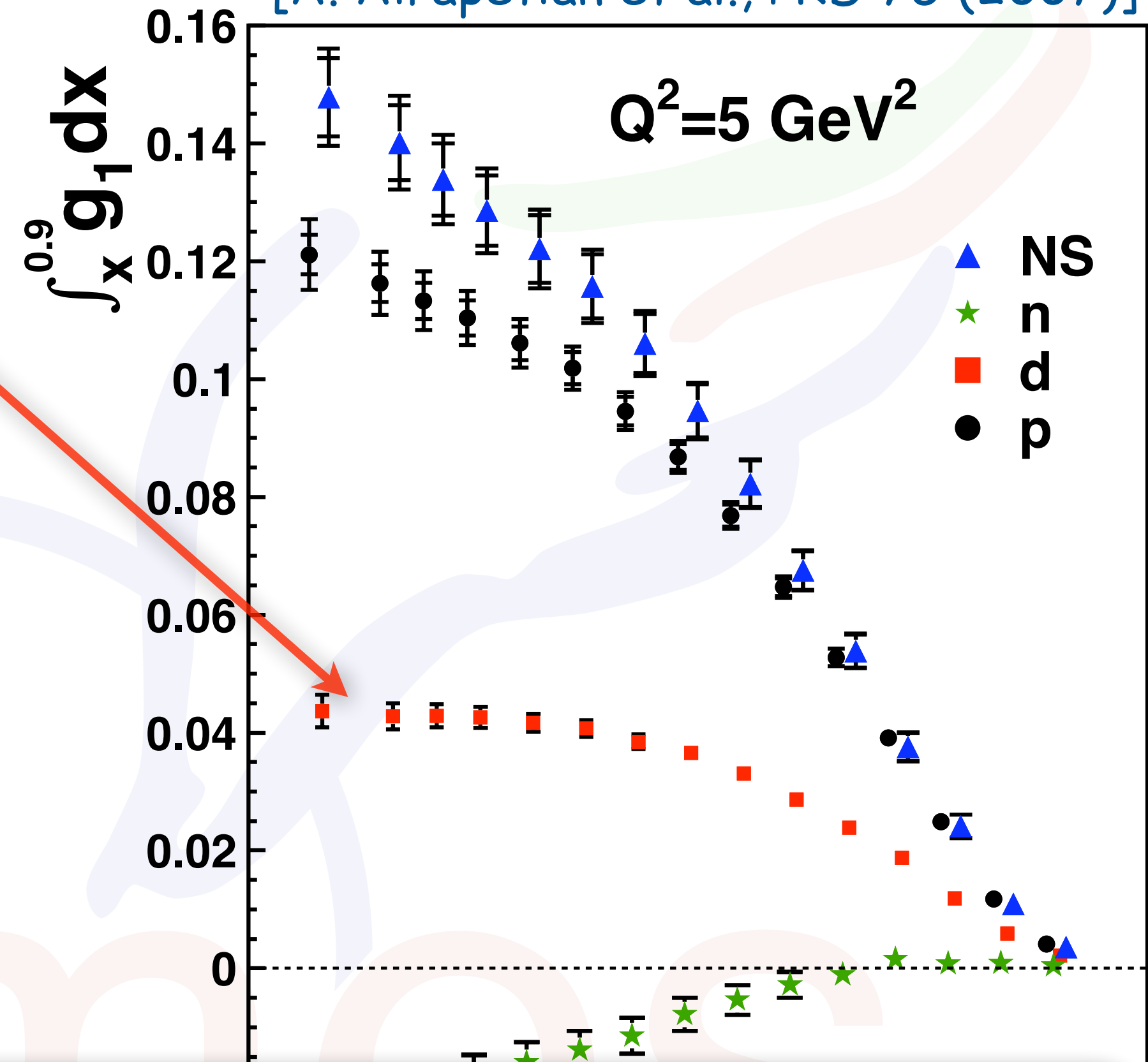
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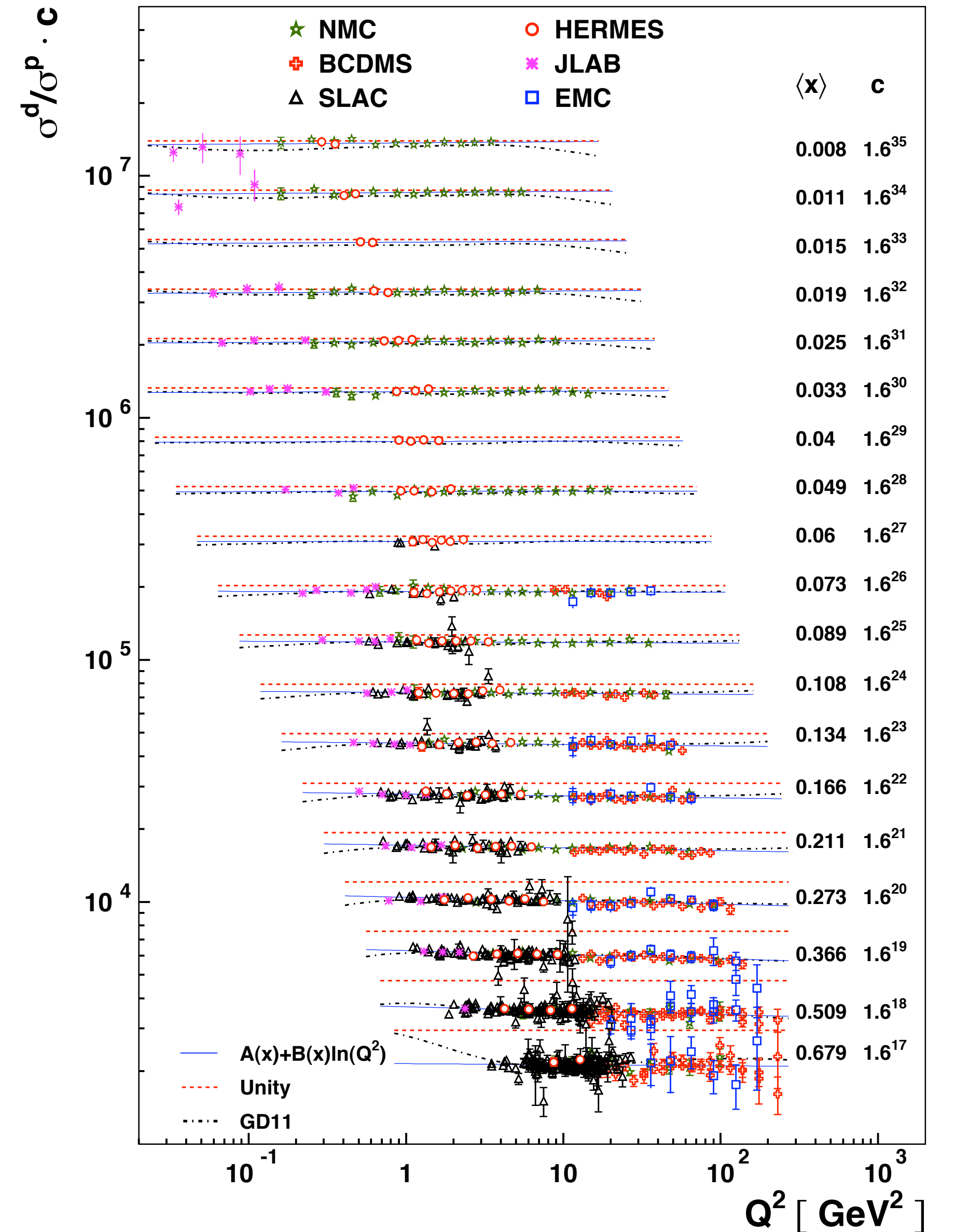
$$\Delta\Sigma \stackrel{\overline{\text{MS}}}{=} 0.330 \pm 0.011_{\text{theory}} \pm 0.025_{\text{exp}} \pm 0.028_{\text{evol}}$$

most precise single-experiment result: **only 1/3** of nucleon spin from quarks

Can HERMES do more than "just" inclusive g_1 ?

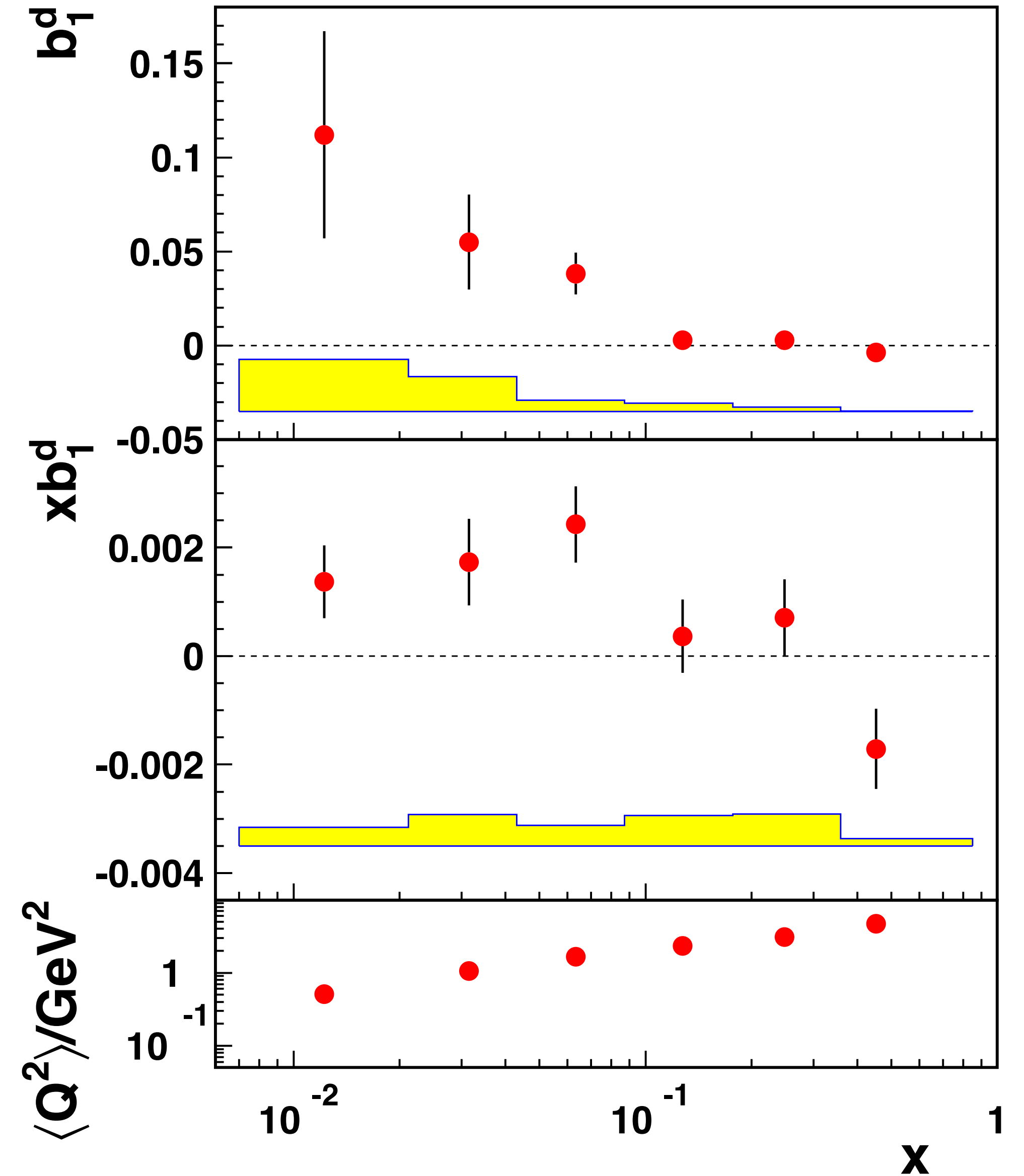
Can HERMES do more than "just" inclusive g_1 ?

unpolarized DIS: F_2 & σ^d/σ^p



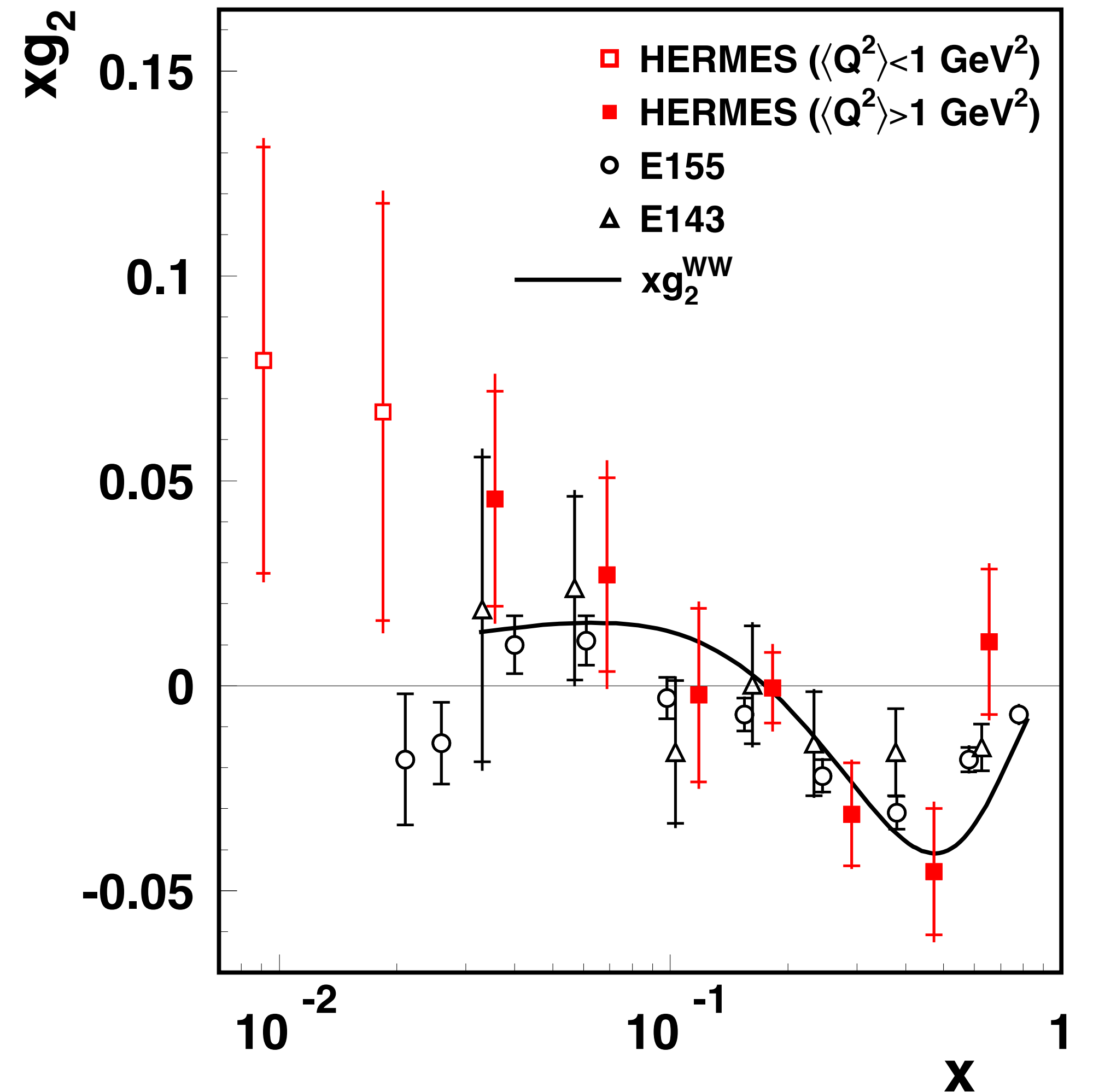
Can HERMES do more than "just" inclusive g_1 ?

- unpolarized DIS: F_2 & σ^d/σ^p
- tensor structure function b_1



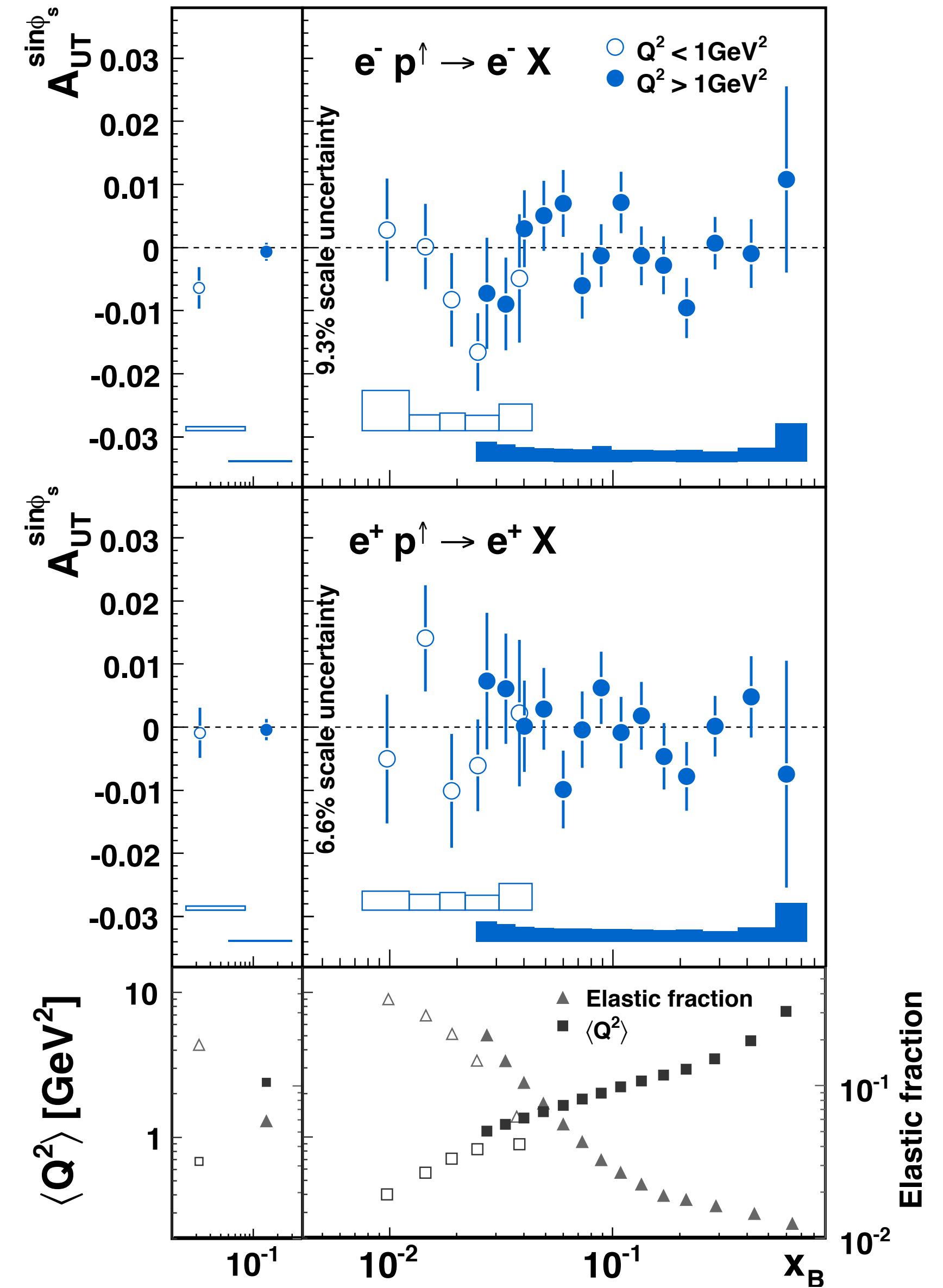
Can HERMES do more than "just" inclusive g_1 ?

- ✓ unpolarized DIS: F_2 & σ^d/σ^p
- ✓ tensor structure function b_1
- ✓ transverse: g_2



Can HERMES do more than "just" inclusive g_1 ?

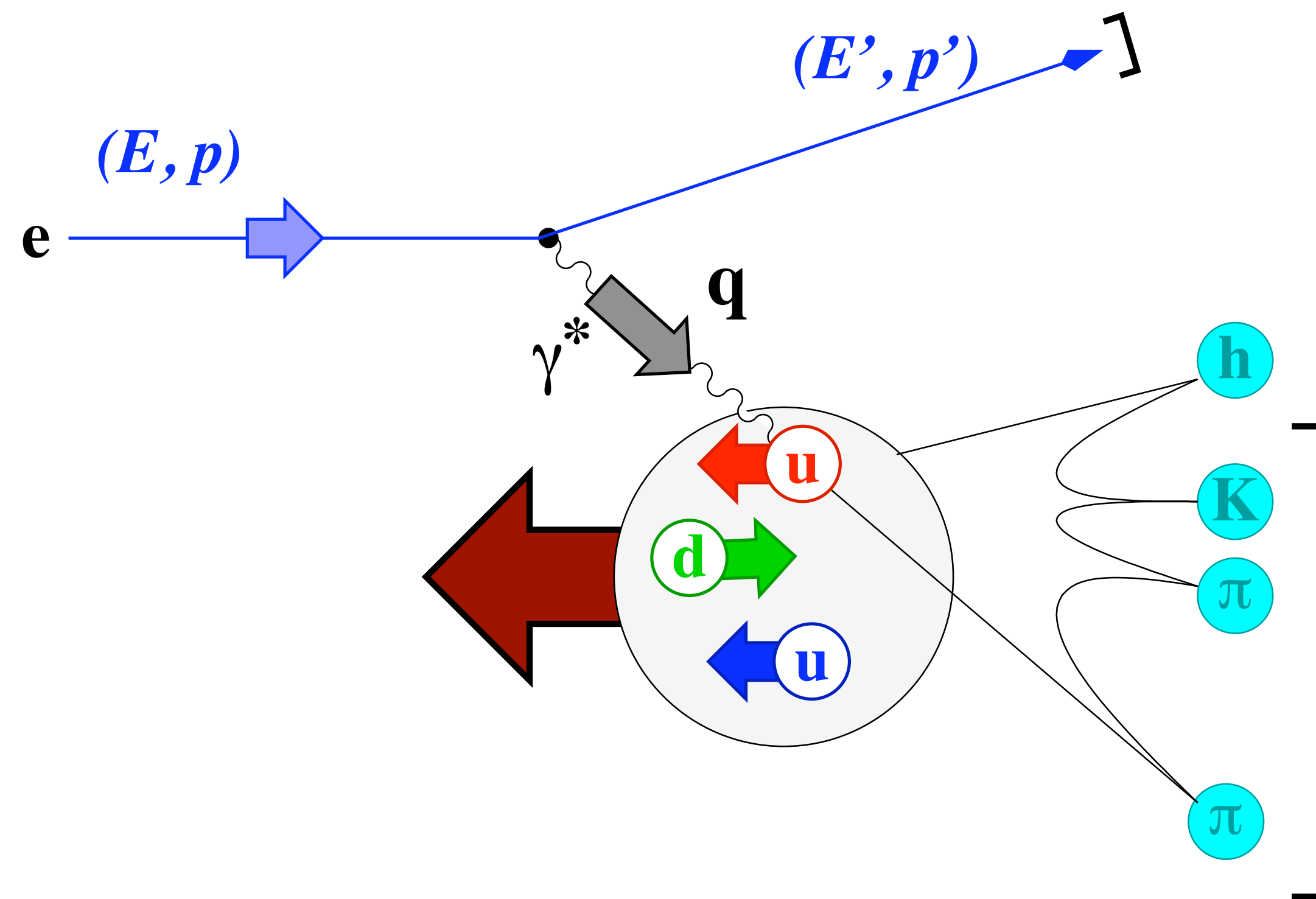
- ☑ unpolarized DIS: F_2 & σ^d/σ^p
- ☑ tensor structure function b_1
- ☑ transverse: g_2
- ☑ 2-photon exchange in incl. DIS

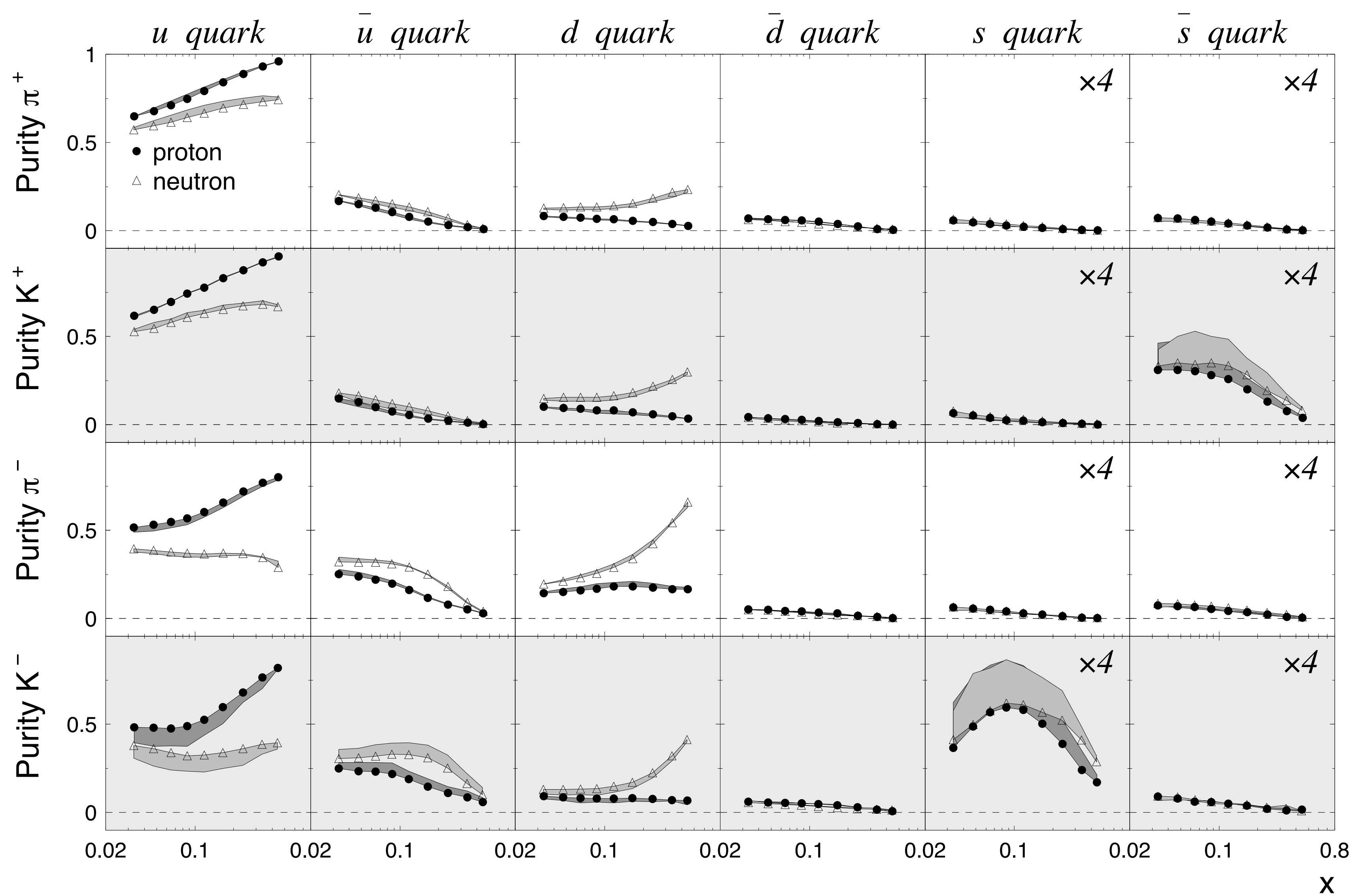
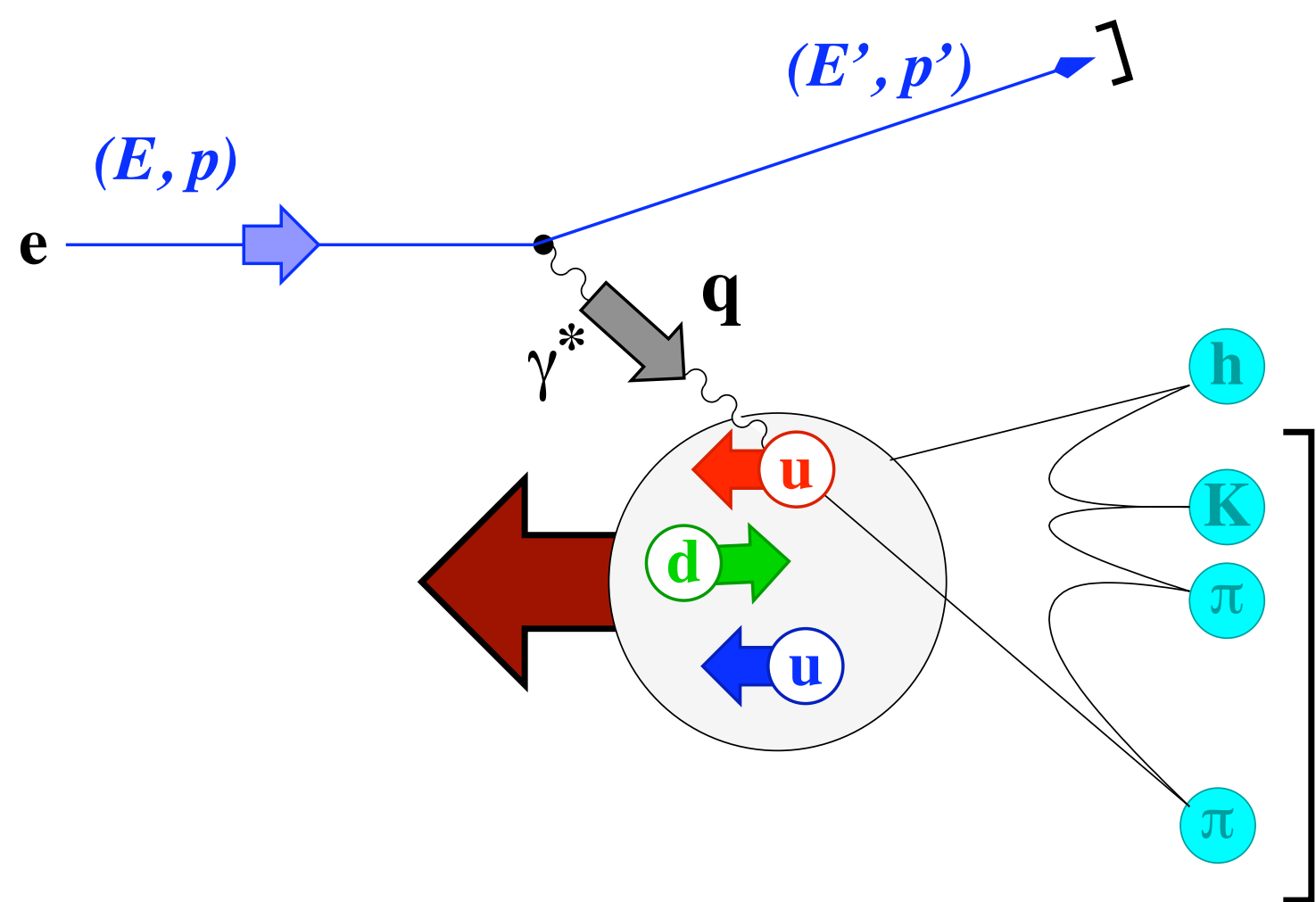


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- ☑ ...

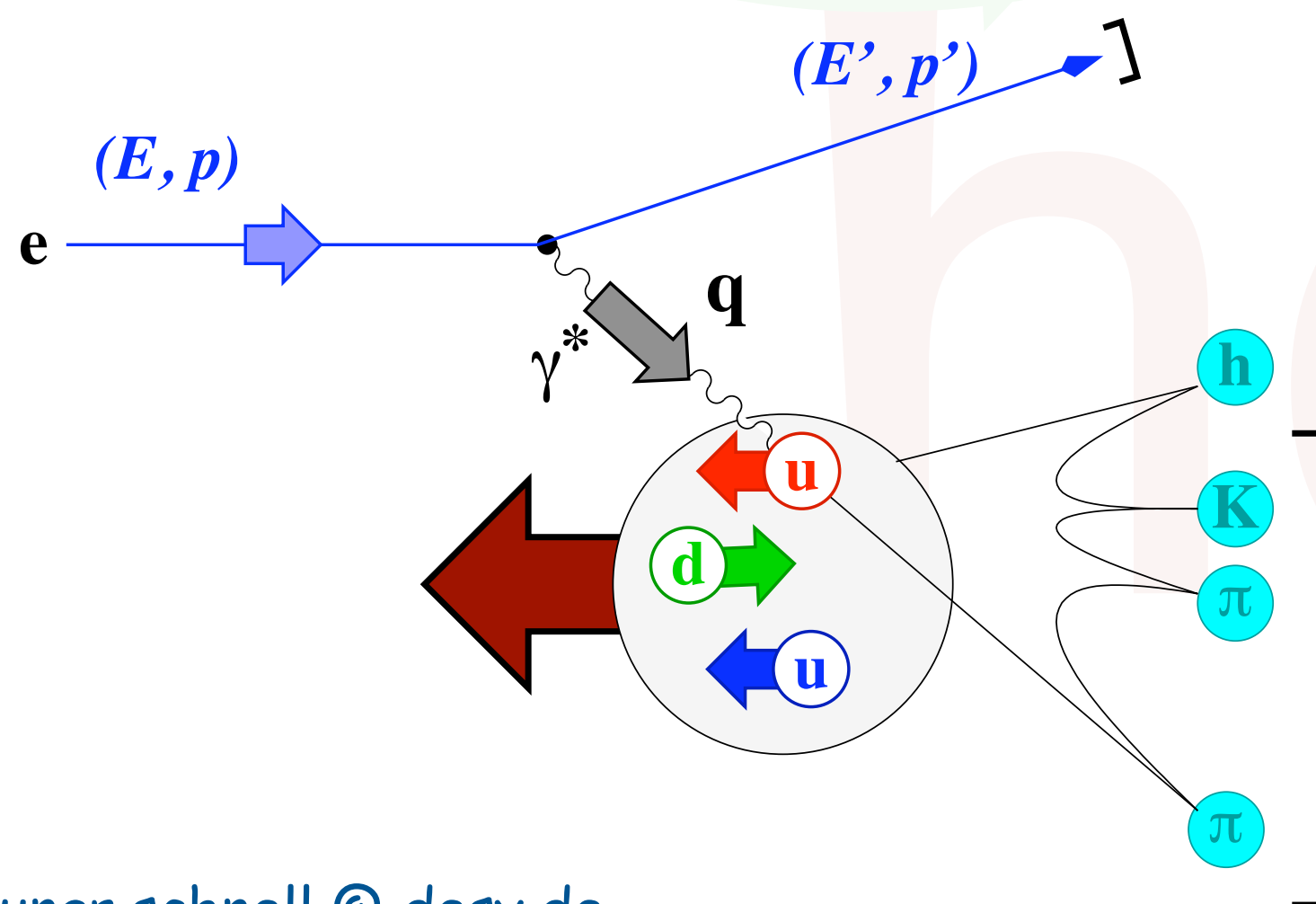
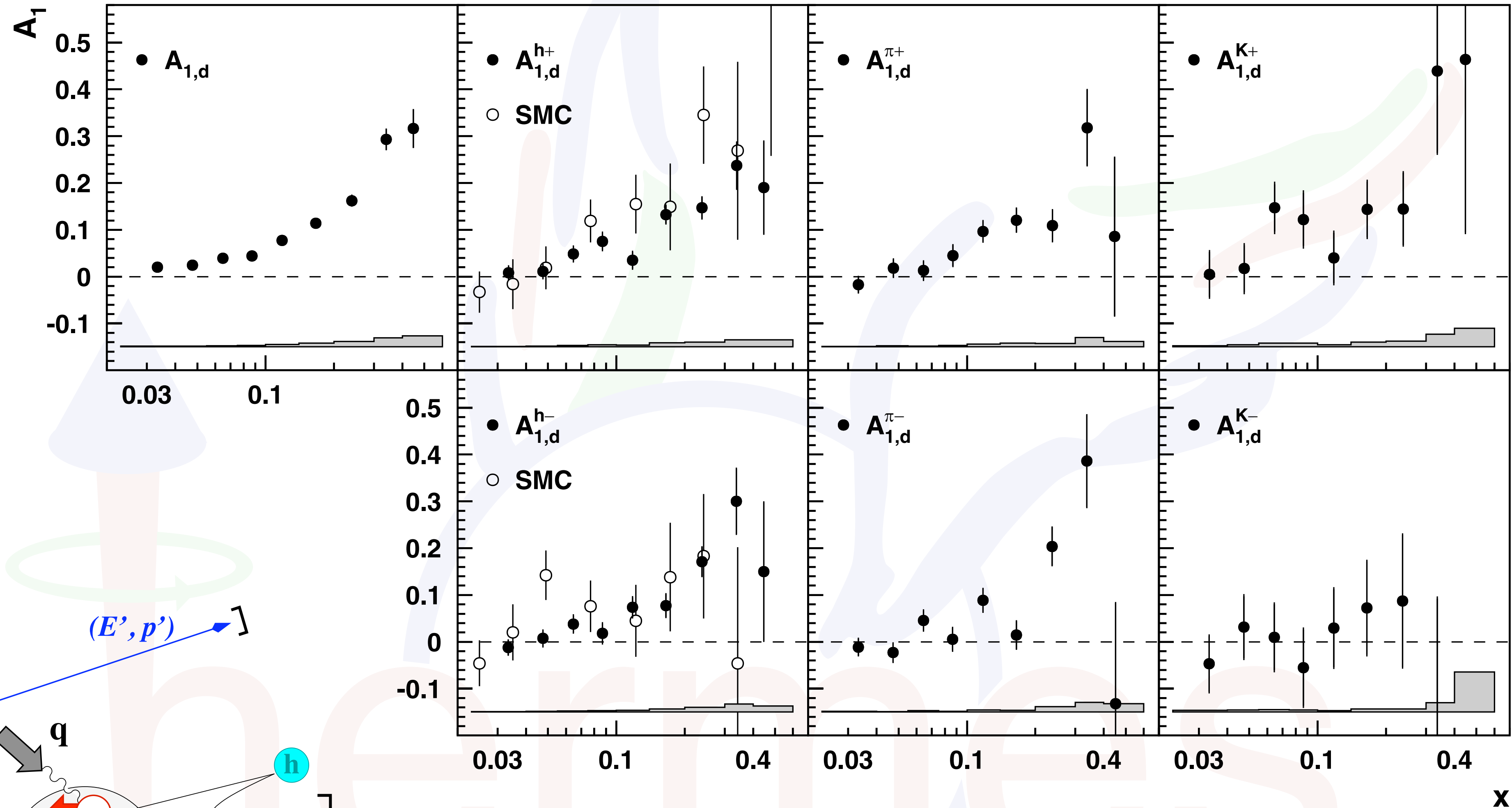
semi-inclusive DIS





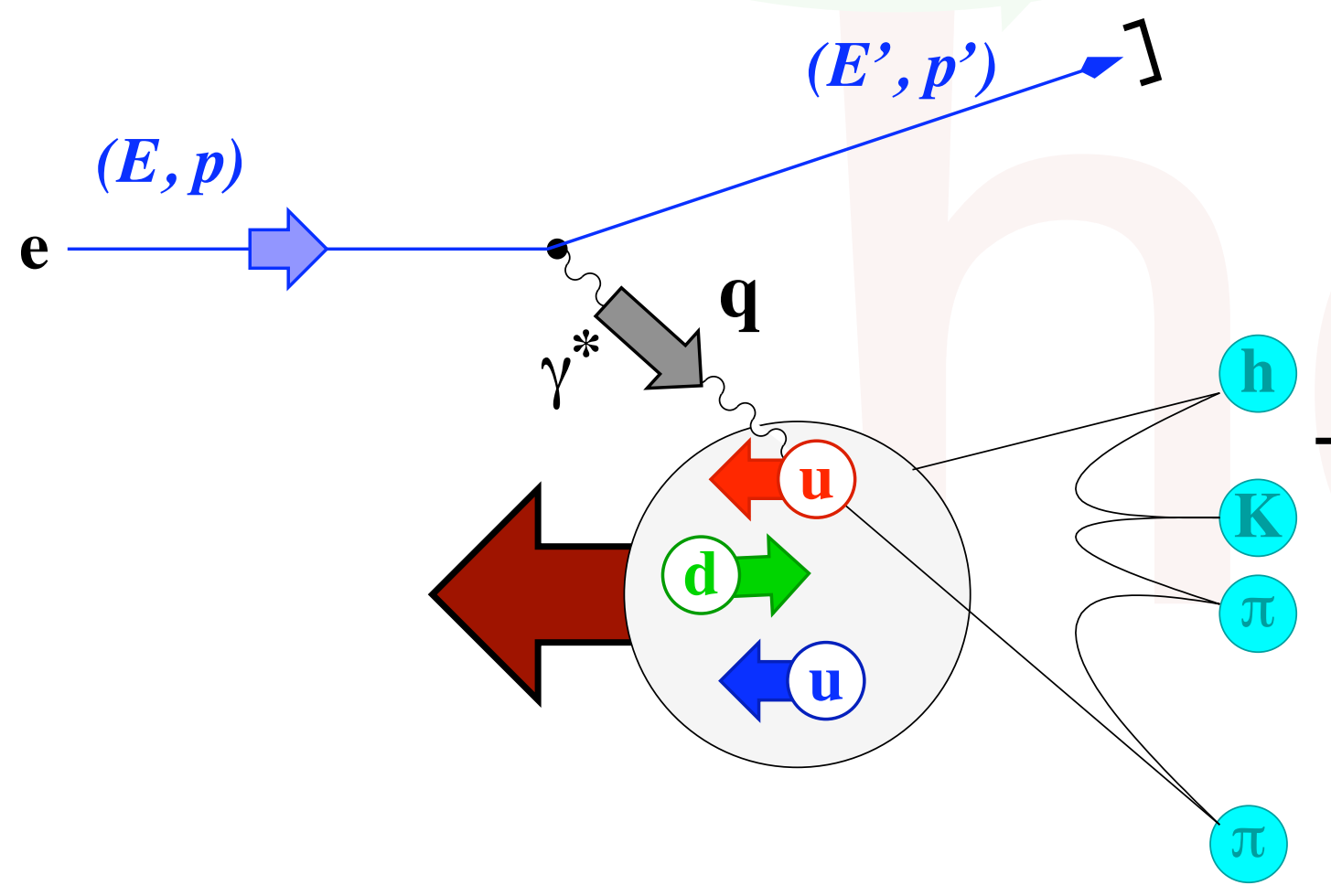
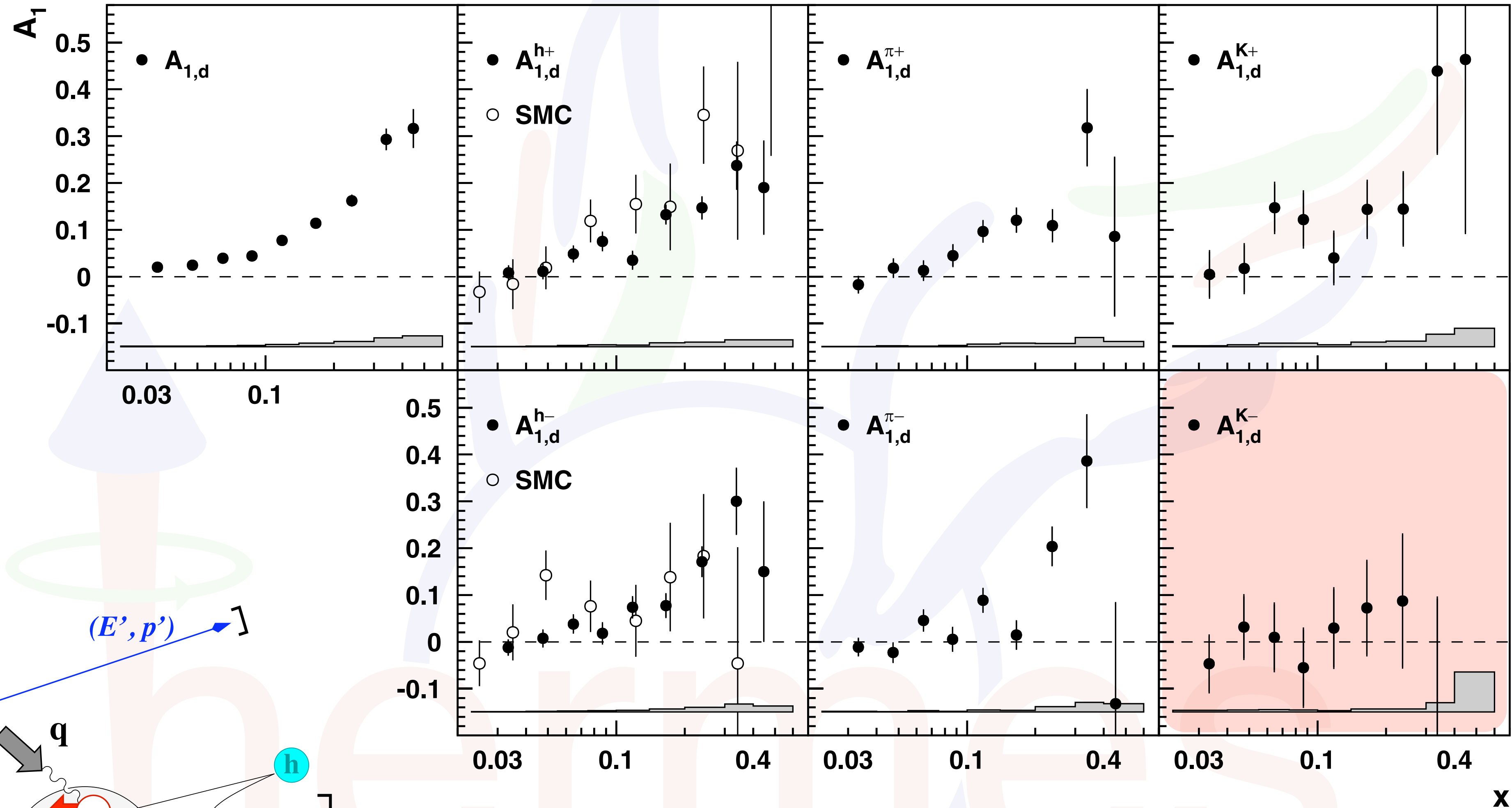
purity:
$$P_q^h = \frac{e_q f_1^q D_1^{q \rightarrow h}}{\sum_i e_i f_1^i D_1^{i \rightarrow h}}$$

semi-inclusive DIS asymmetries



$$A_1^h = \sum_i P_i^h \frac{g_1^i}{f_1^i}$$

semi-inclusive DIS asymmetries



$$A_1^h = \sum_i P_i^h \frac{g_1^i}{f_1^i}$$

helicity density - flavor separation

- first 5-flavor extraction of Δq



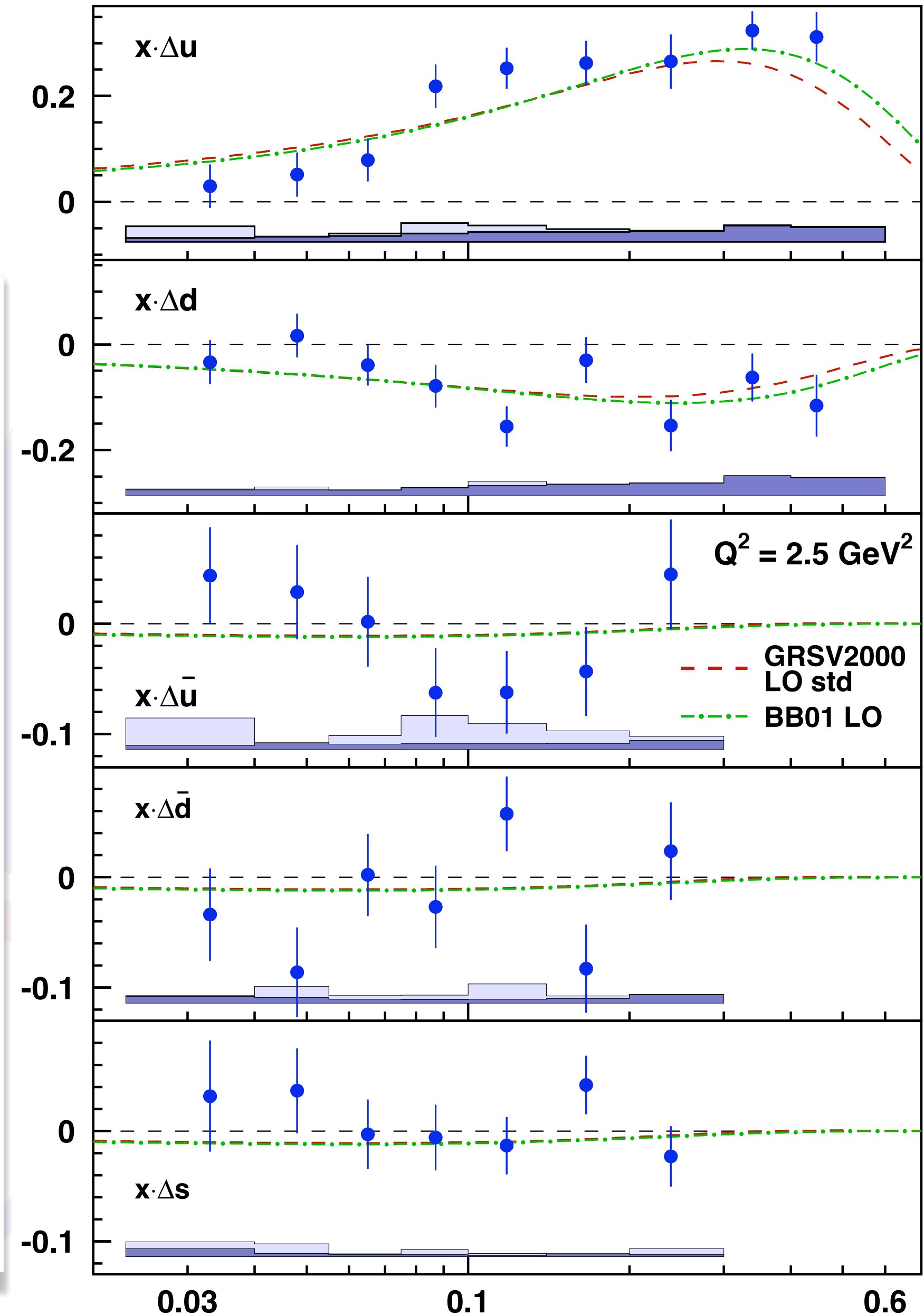
Physics Letters B

Volume 230, Issues 1–2, 26 October 1989, Pages 141-148



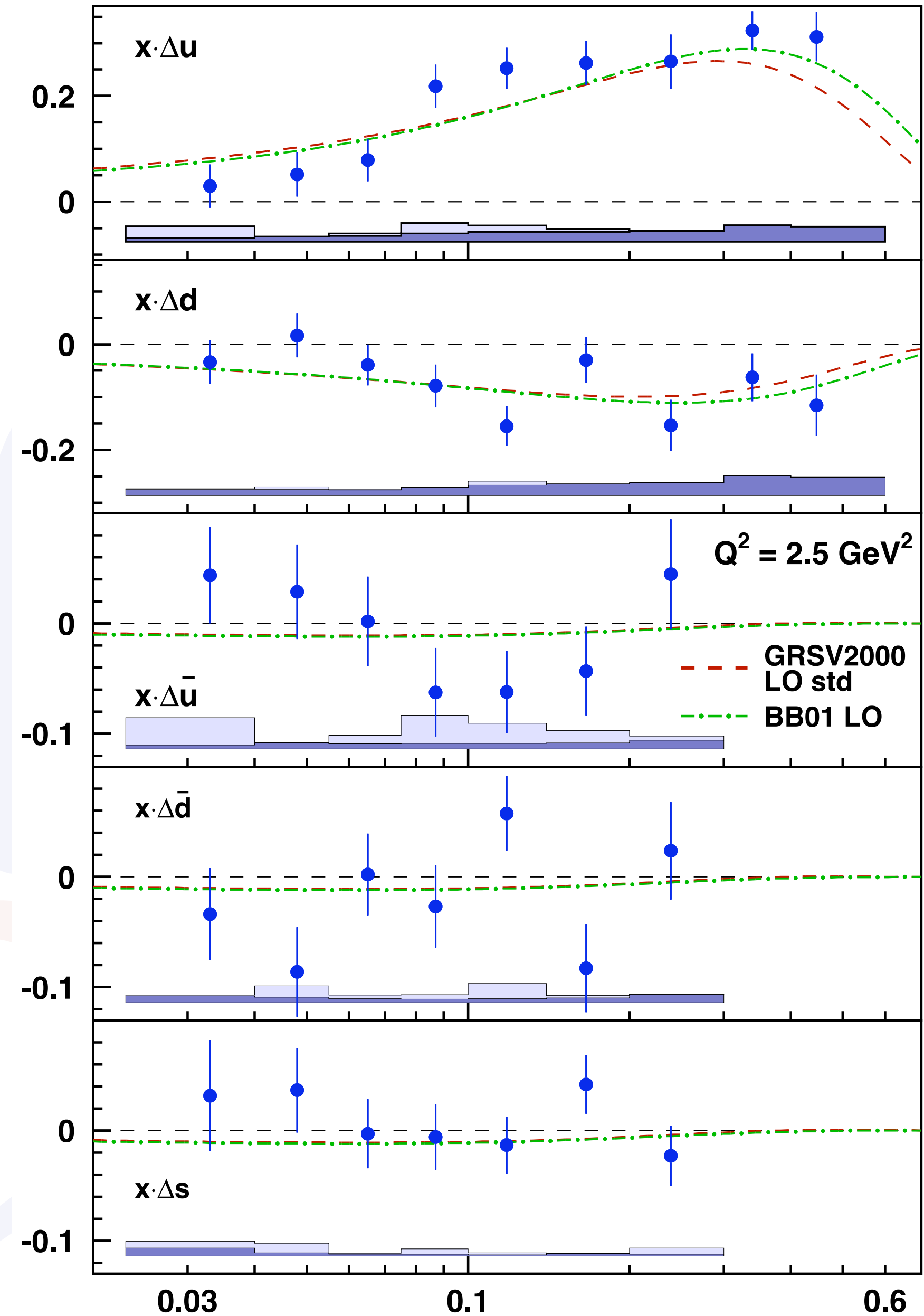
The valence and strange-sea quark spin distributions in the nucleon from semi-inclusive deep inelastic lepton scattering

Leonid L. Frankfurt, Mark I. Strikman, Lech Mankiewicz¹, Andreas Schäfer, Ewa Rondio, Andrzej Sandacz, Vassilios Papavassiliou



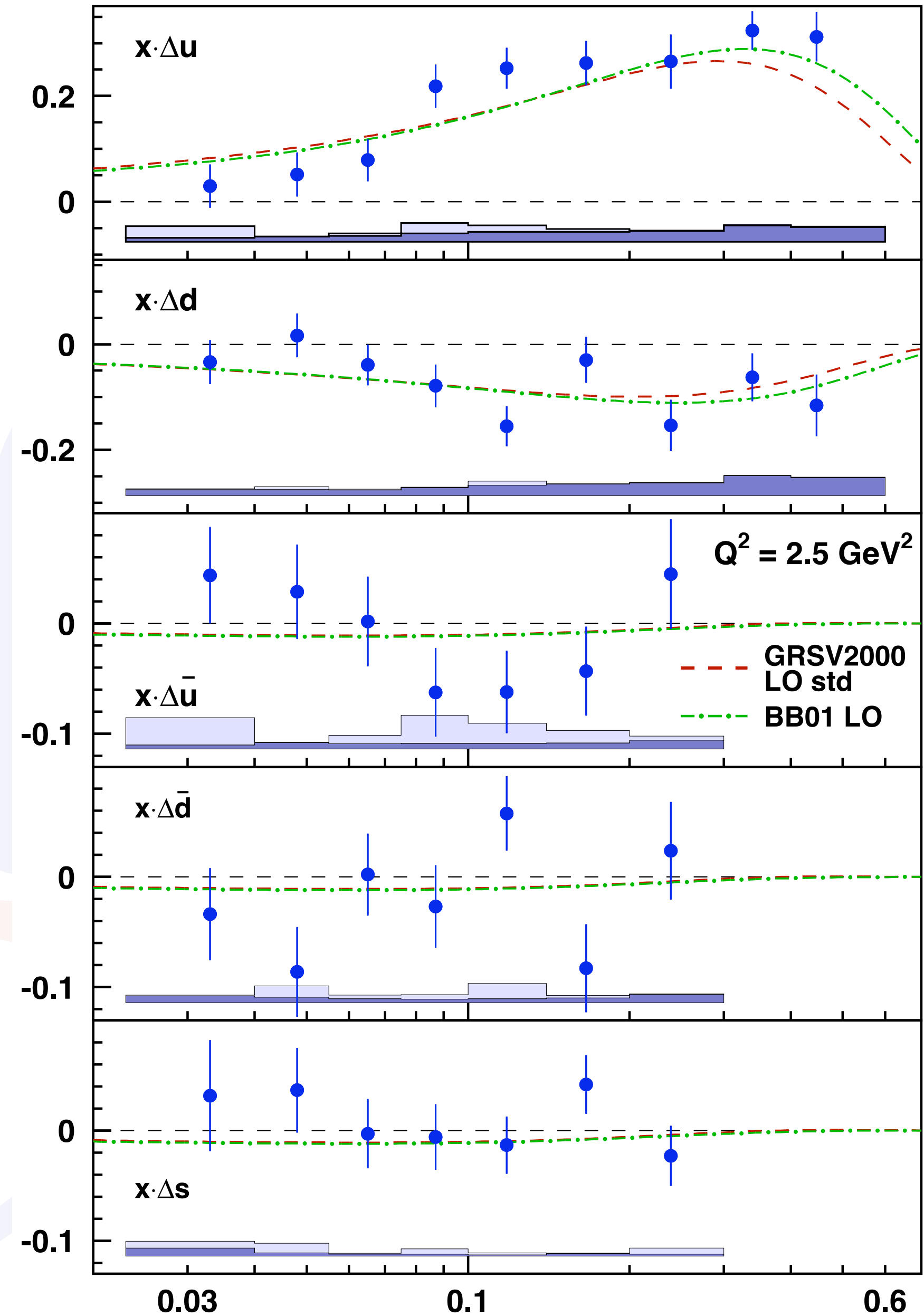
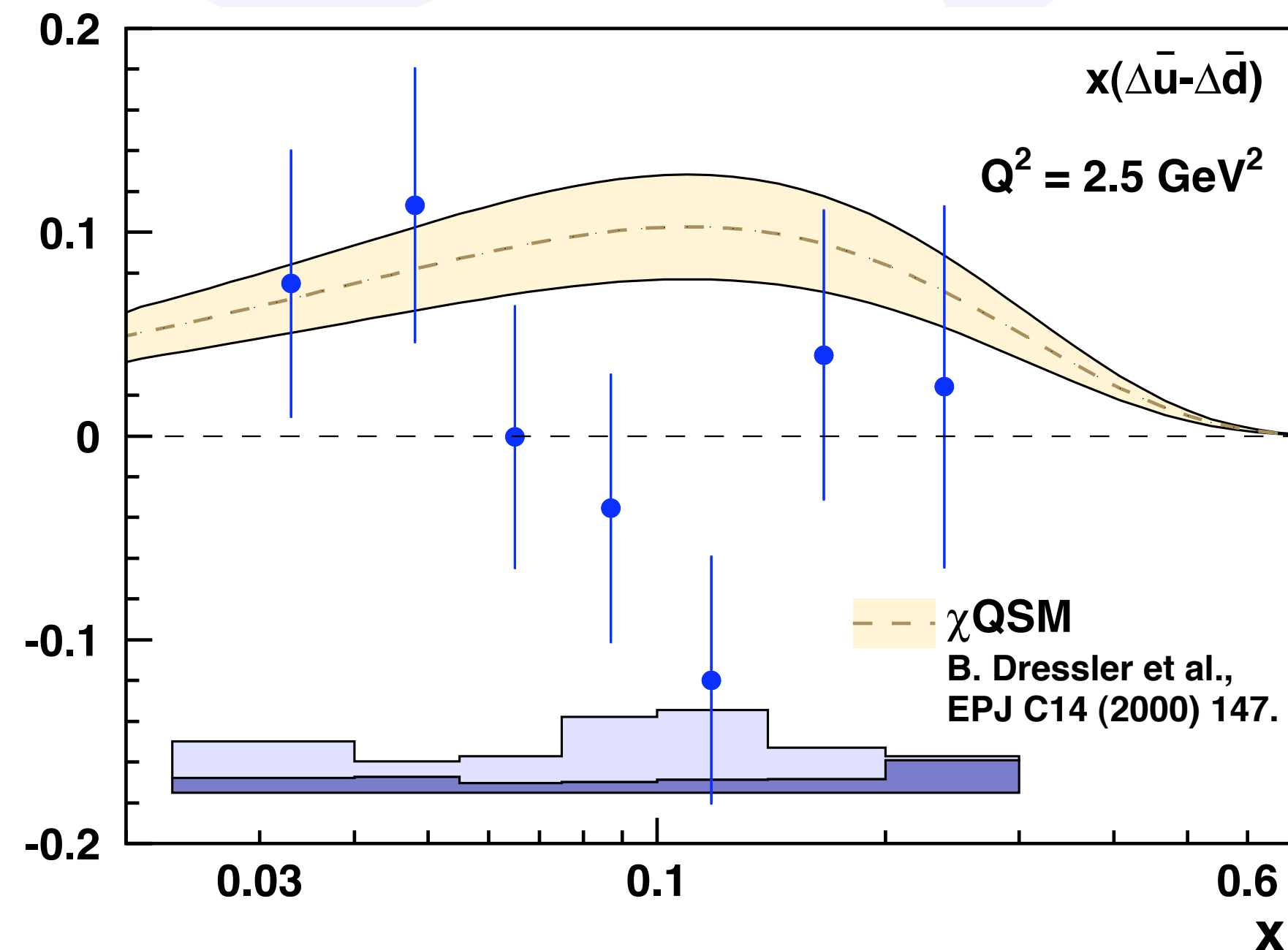
helicity density - flavor separation

- first 5-flavor extraction of Δq
- no hint for sea quark pol's
-> in contrast to incl. DIS



helicity density - flavor separation

- first 5-flavor extraction of Δq
- no hint for sea quark pol's
-> in contrast to incl. DIS
- no significant flavor asymmetry of sea

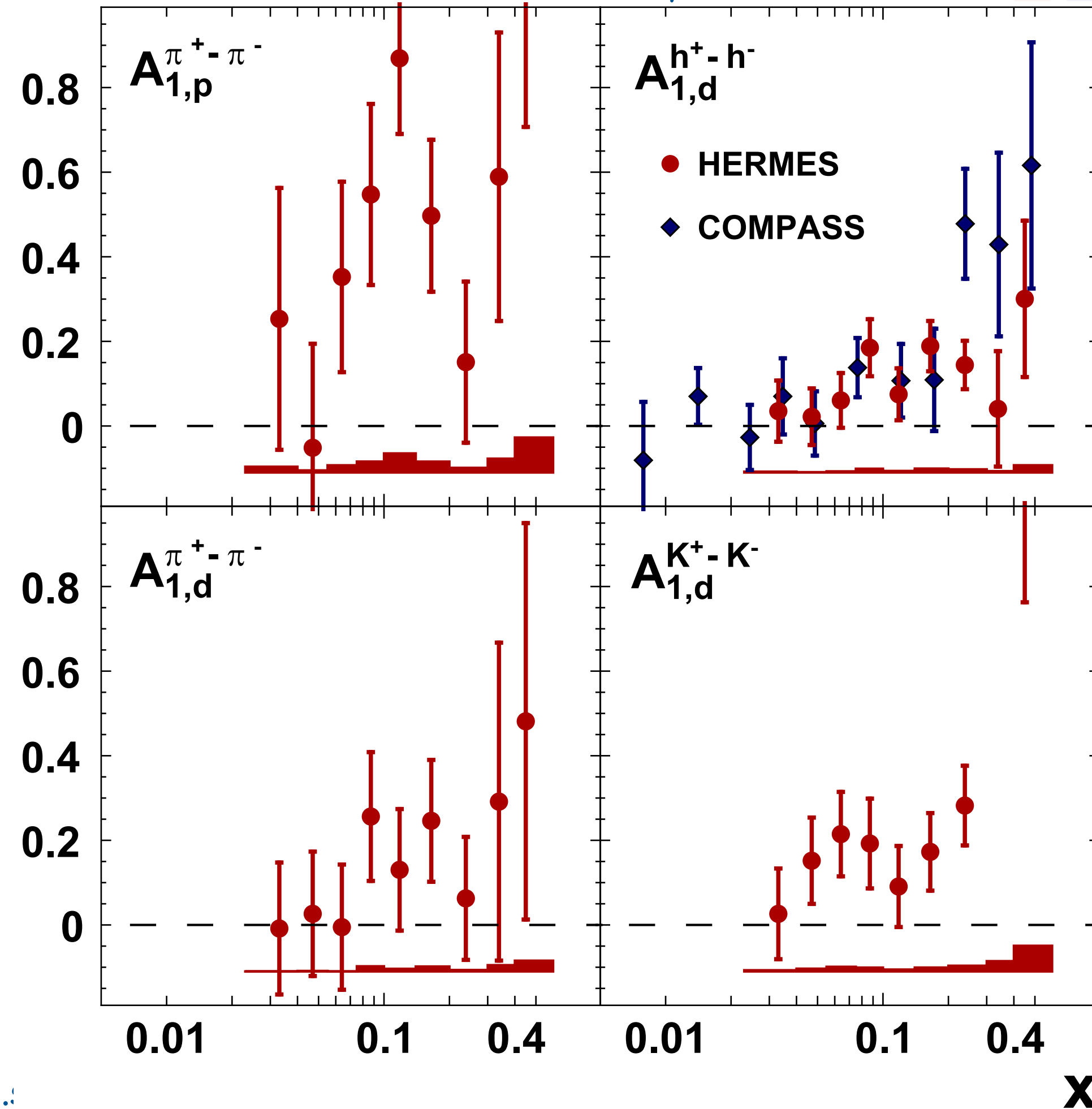


helicity density - valence quarks

$$A_1^{h^+ - h^-}(x) \equiv \frac{\left(\sigma_{1/2}^{h^+} - \sigma_{1/2}^{h^-}\right) - \left(\sigma_{3/2}^{h^+} - \sigma_{3/2}^{h^-}\right)}{\left(\sigma_{1/2}^{h^+} - \sigma_{1/2}^{h^-}\right) + \left(\sigma_{3/2}^{h^+} - \sigma_{3/2}^{h^-}\right)}$$

- charge-difference double-spin asymmetries
- use charge-conjugation symmetry to extract, at LO(!), valence distributions

[HERMES, Phys. Rev. D99 (2019) 112001]



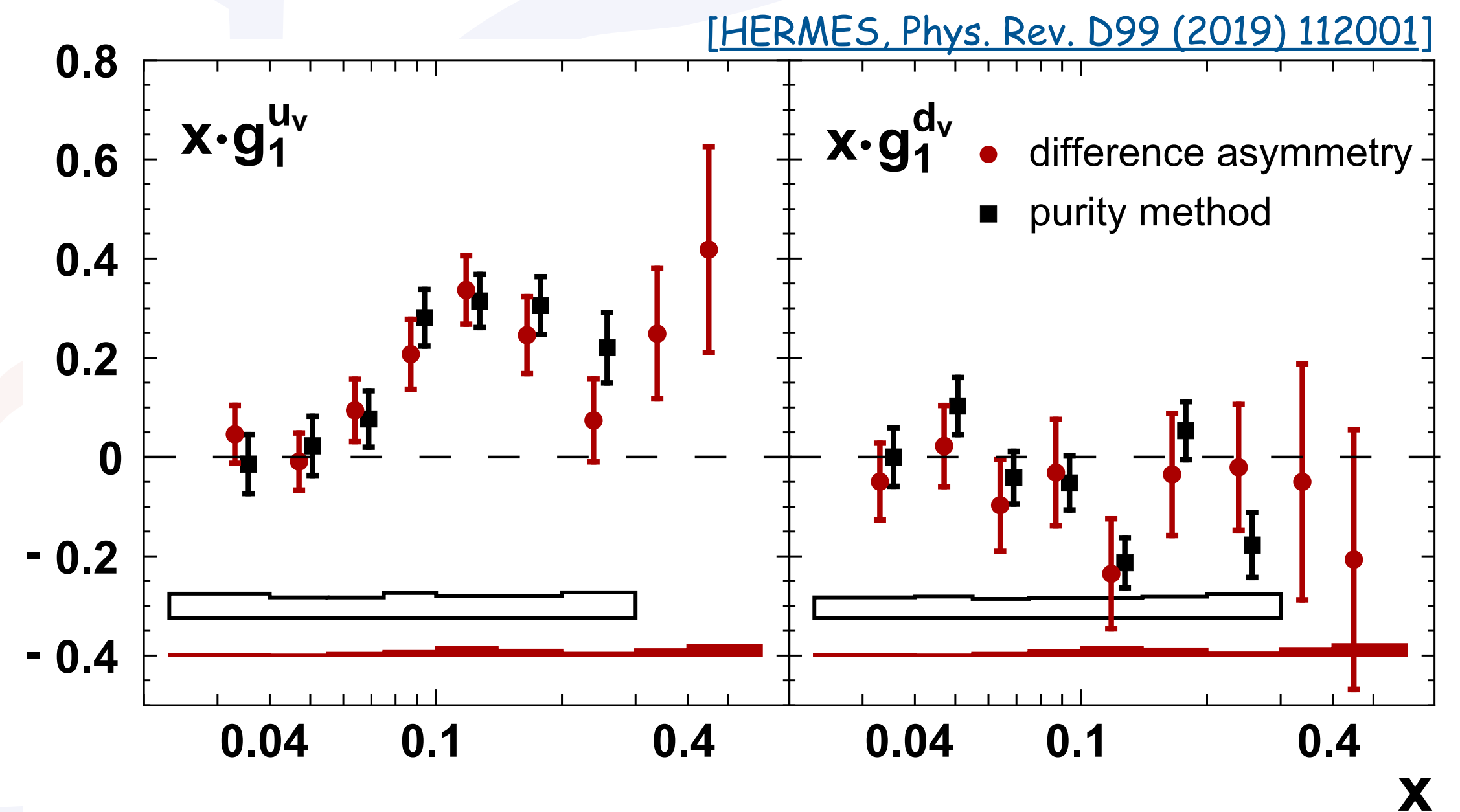
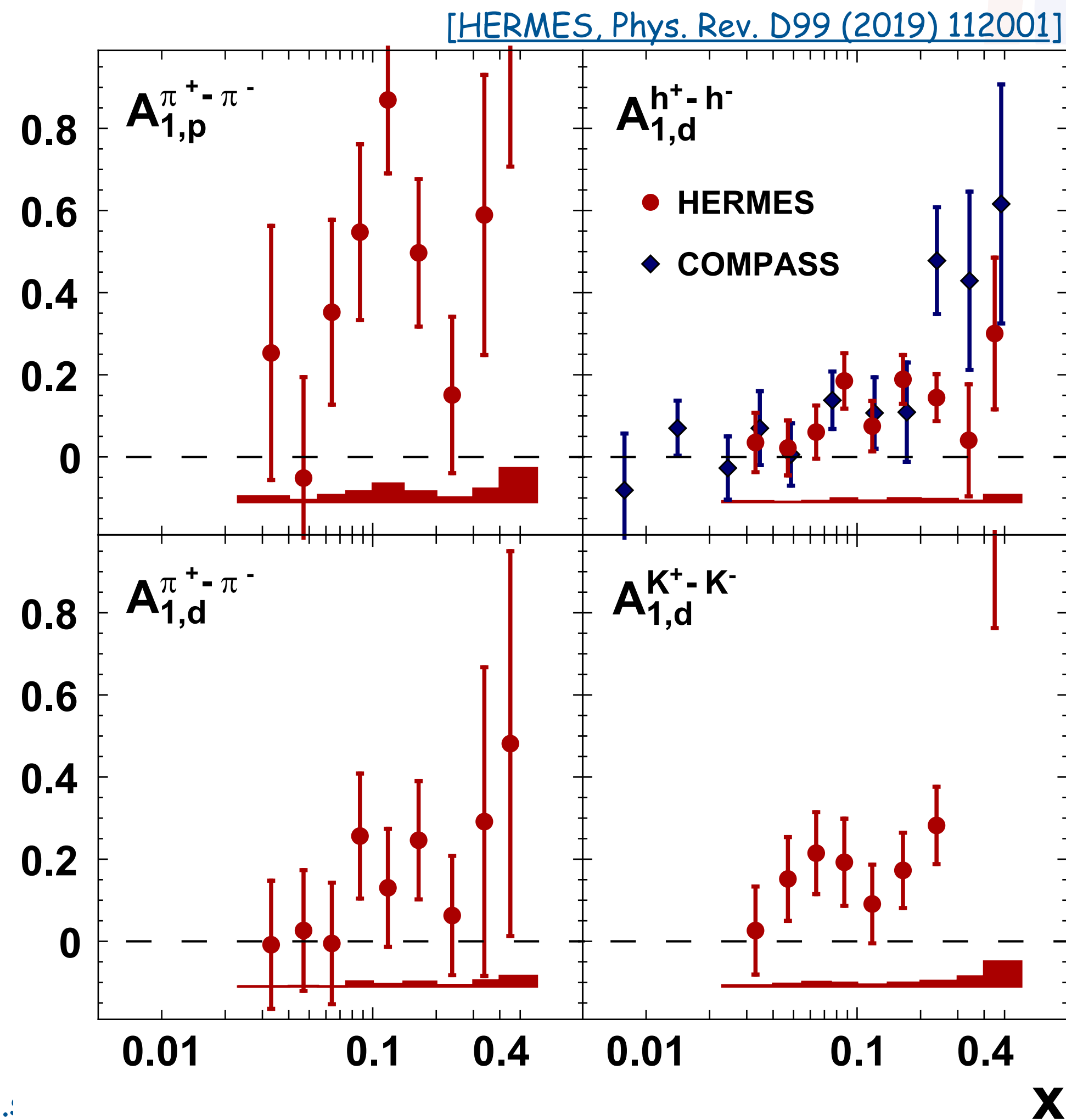
$$A_{1,d}^{h^+ - h^-} \stackrel{\text{LO}}{=} \stackrel{\text{LT}}{=} \frac{g_1^{u_v} + g_1^{d_v}}{f_1^{u_v} + f_1^{d_v}}$$

$$A_{1,p}^{h^+ - h^-} \stackrel{\text{LO}}{=} \stackrel{\text{LT}}{=} \frac{4g_1^{u_v} - g_1^{d_v}}{4f_1^{u_v} - f_1^{d_v}}$$

helicity density - valence quarks

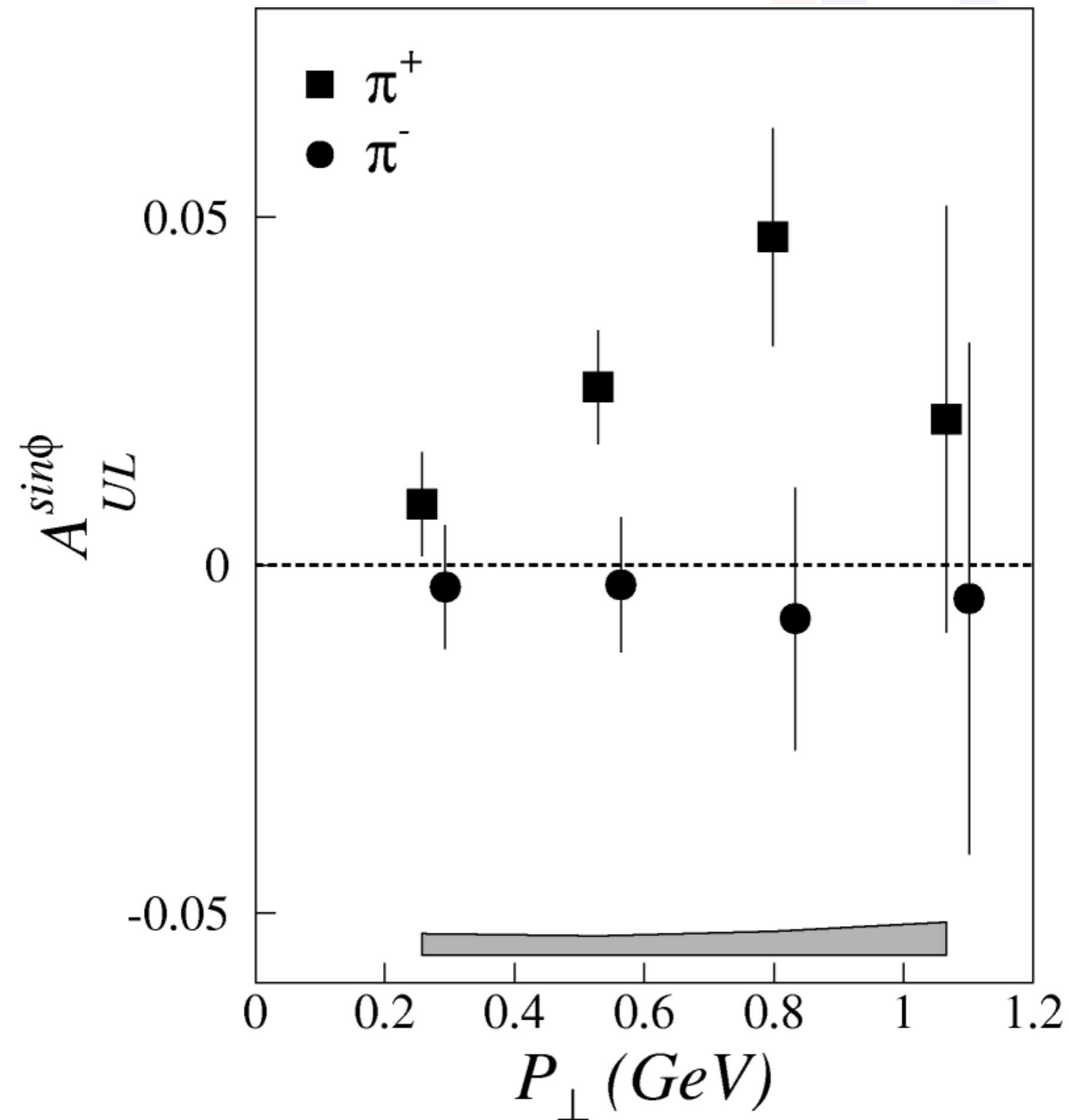
$$A_1^{h^+ - h^-}(x) \equiv \frac{\left(\sigma_{1/2}^{h^+} - \sigma_{1/2}^{h^-}\right) - \left(\sigma_{3/2}^{h^+} - \sigma_{3/2}^{h^-}\right)}{\left(\sigma_{1/2}^{h^+} - \sigma_{1/2}^{h^-}\right) + \left(\sigma_{3/2}^{h^+} - \sigma_{3/2}^{h^-}\right)}$$

- charge-difference double-spin asymmetries
- use charge-conjugation symmetry to extract, at LO(!), valence distributions
- valence distributions consistent with JETSET-based extraction:

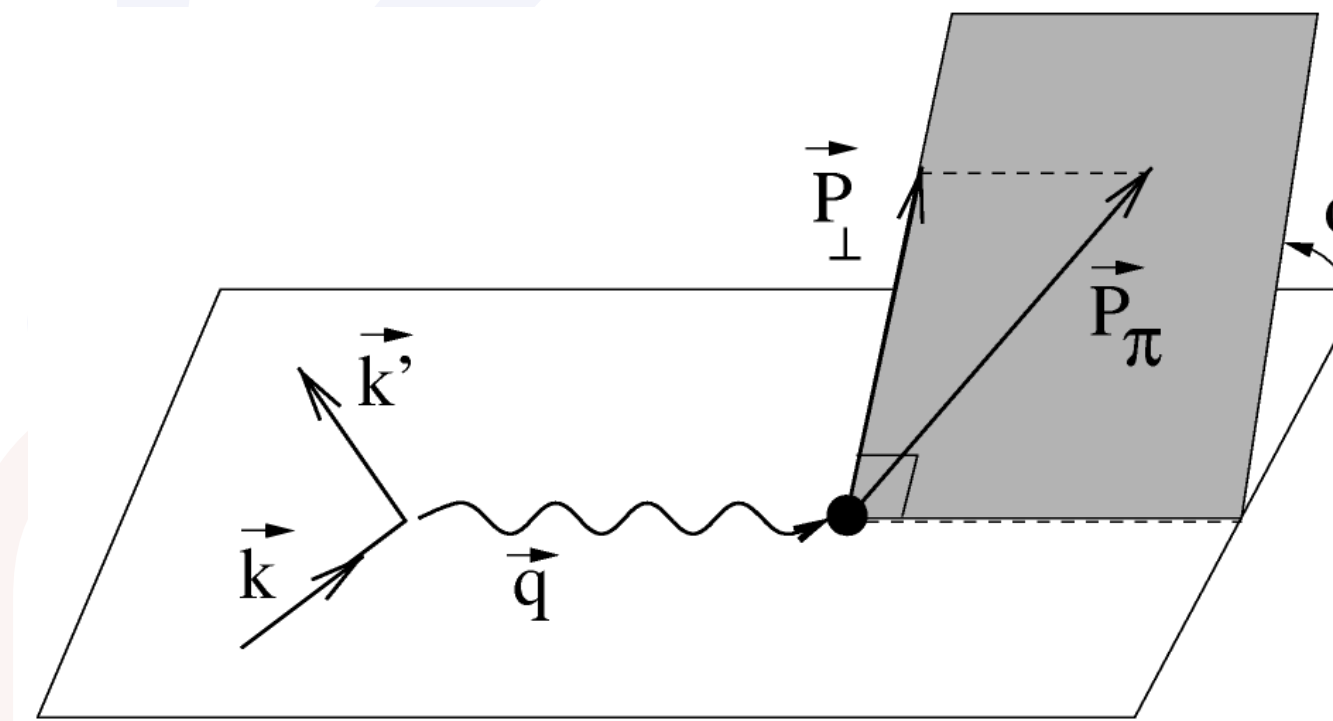


... going 3D

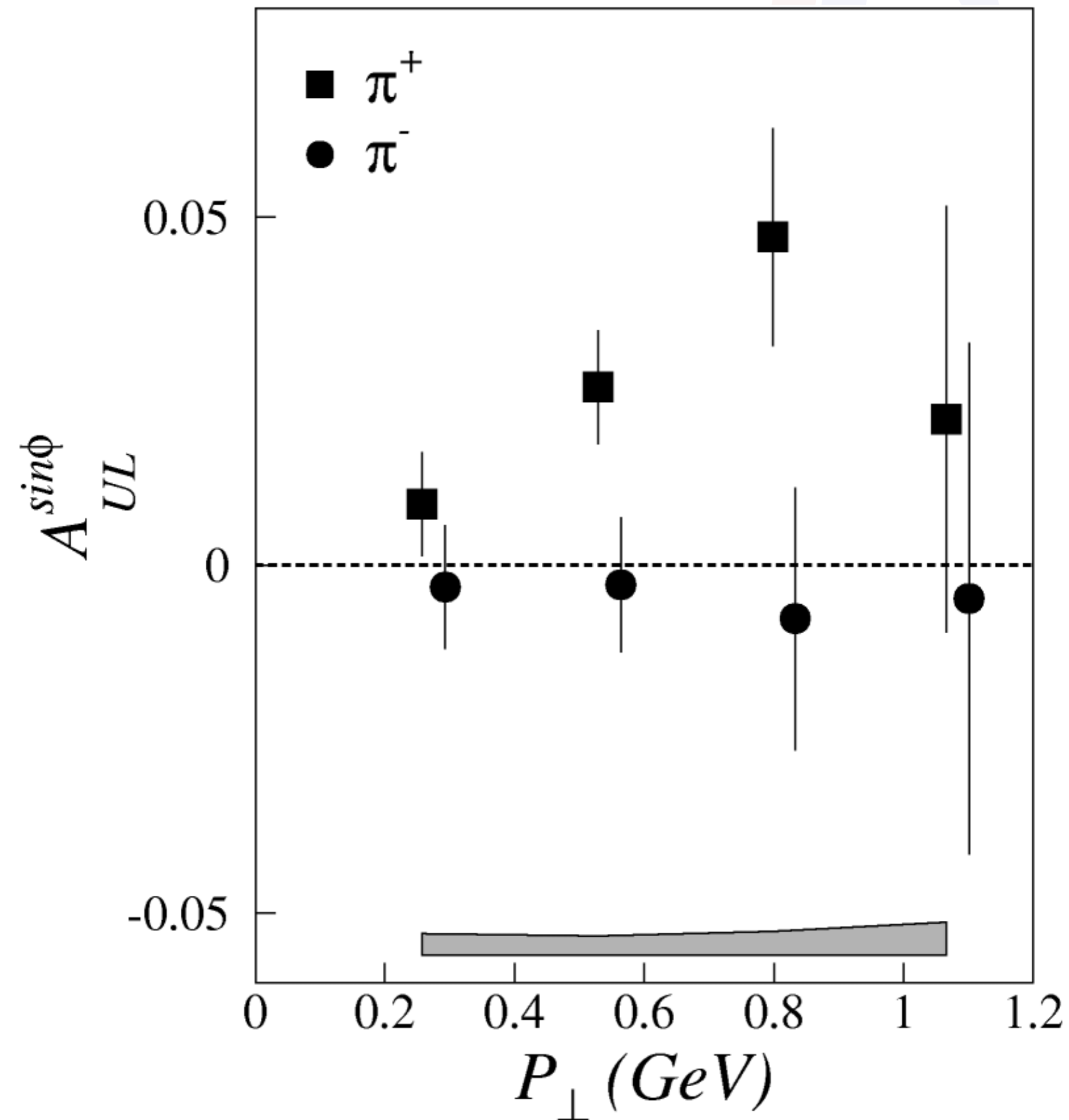
Evidence for a Single-Spin Azimuthal Asymmetry in Semi-inclusive Pion Electroproduction



$$A_{UL} = \frac{1}{|P_B|} \frac{N^{\rightarrow}(\phi) - N^{\leftarrow}(\phi)}{N^{\rightarrow}(\phi) + N^{\leftarrow}(\phi)}$$



Evidence for a Single-Spin Azimuthal Asymmetry in Semi-inclusive Pion Electroproduction



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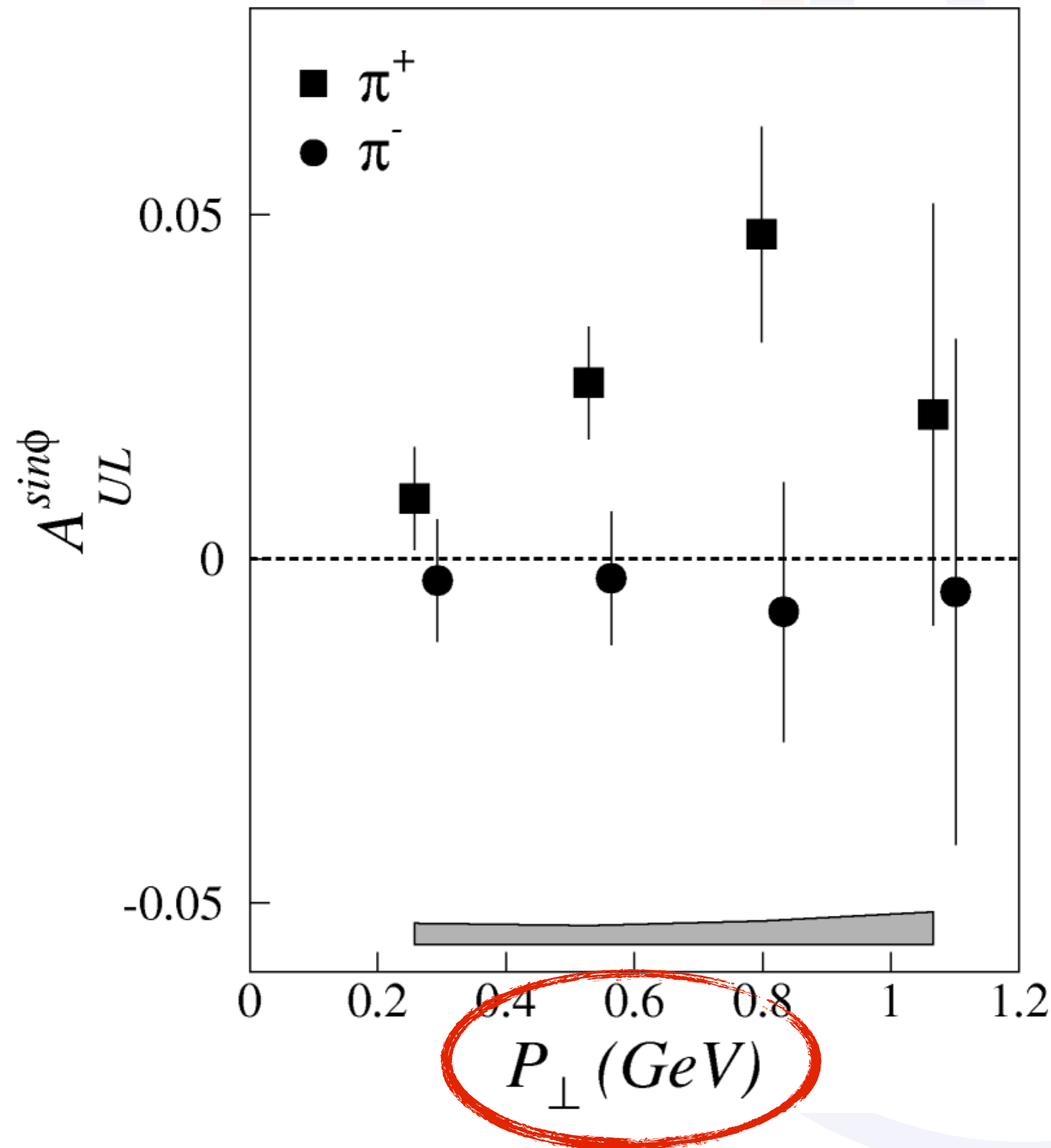
$\sim \sin \phi$?

Whaaaaa?



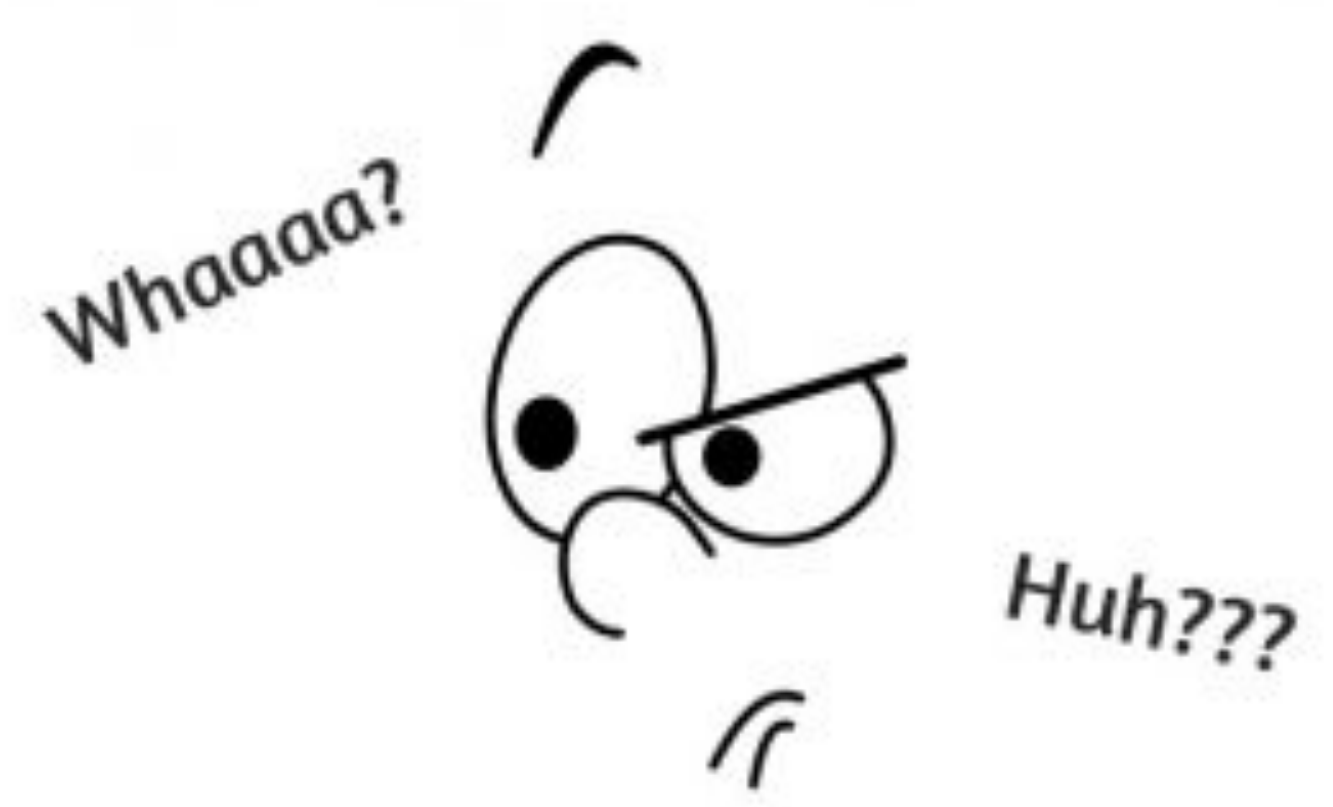
Huh???

Evidence for a Single-Spin Azimuthal Asymmetry in Semi-inclusive Pion Electroproduction

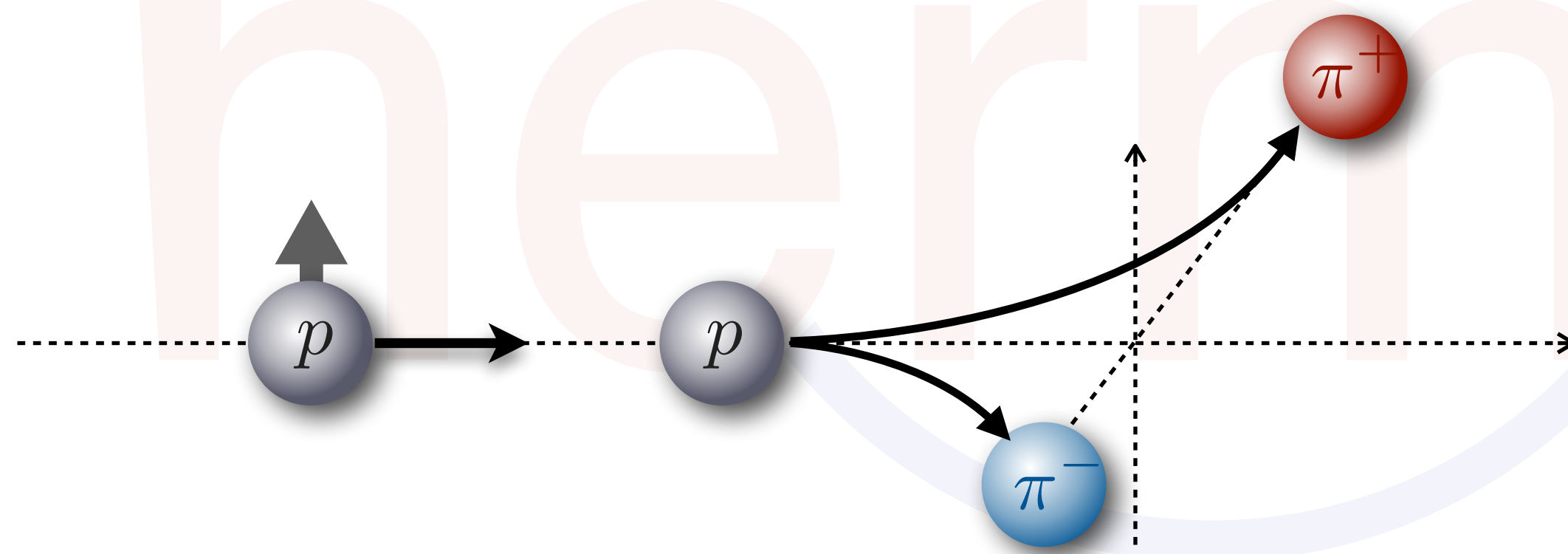
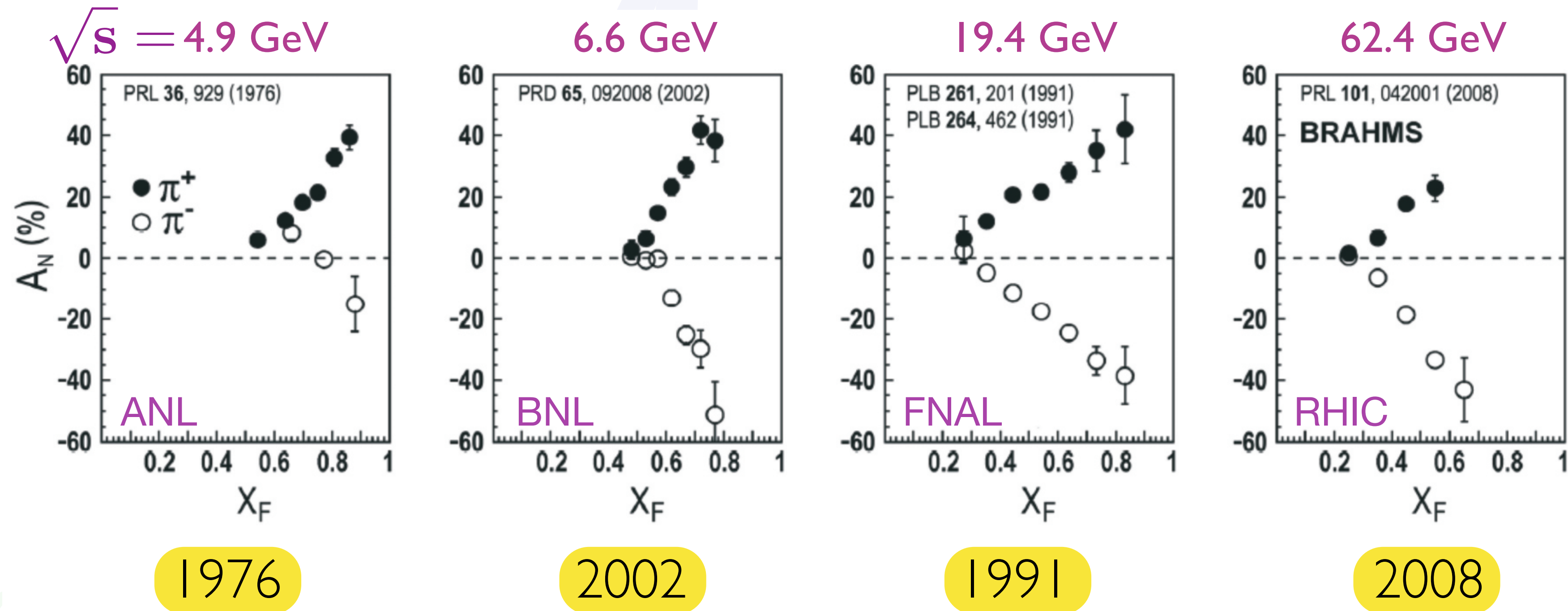


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$\sim \sin \phi ?$

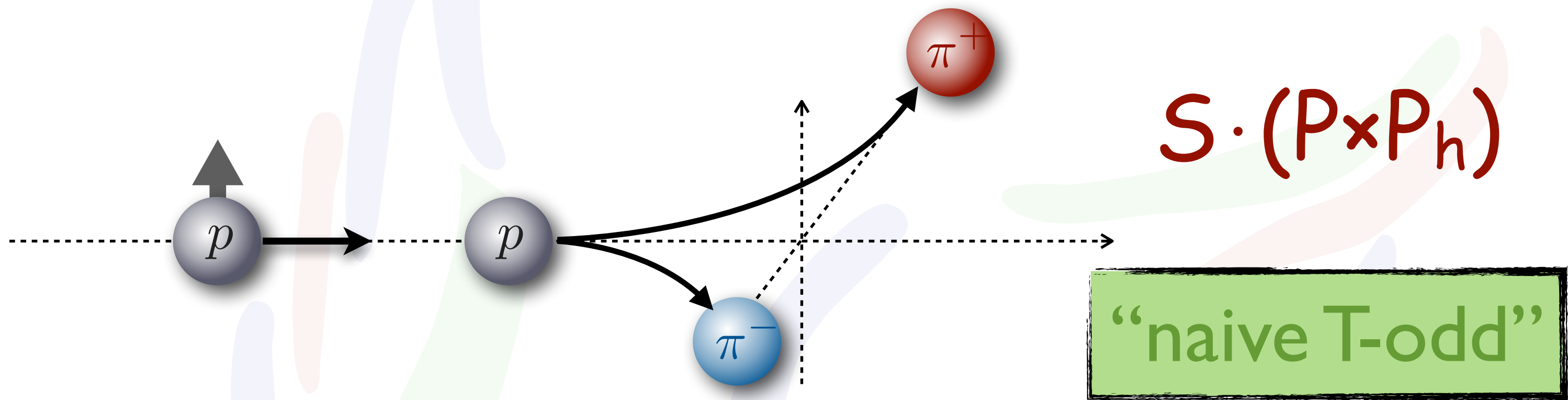


... remembering puzzling asymmetries

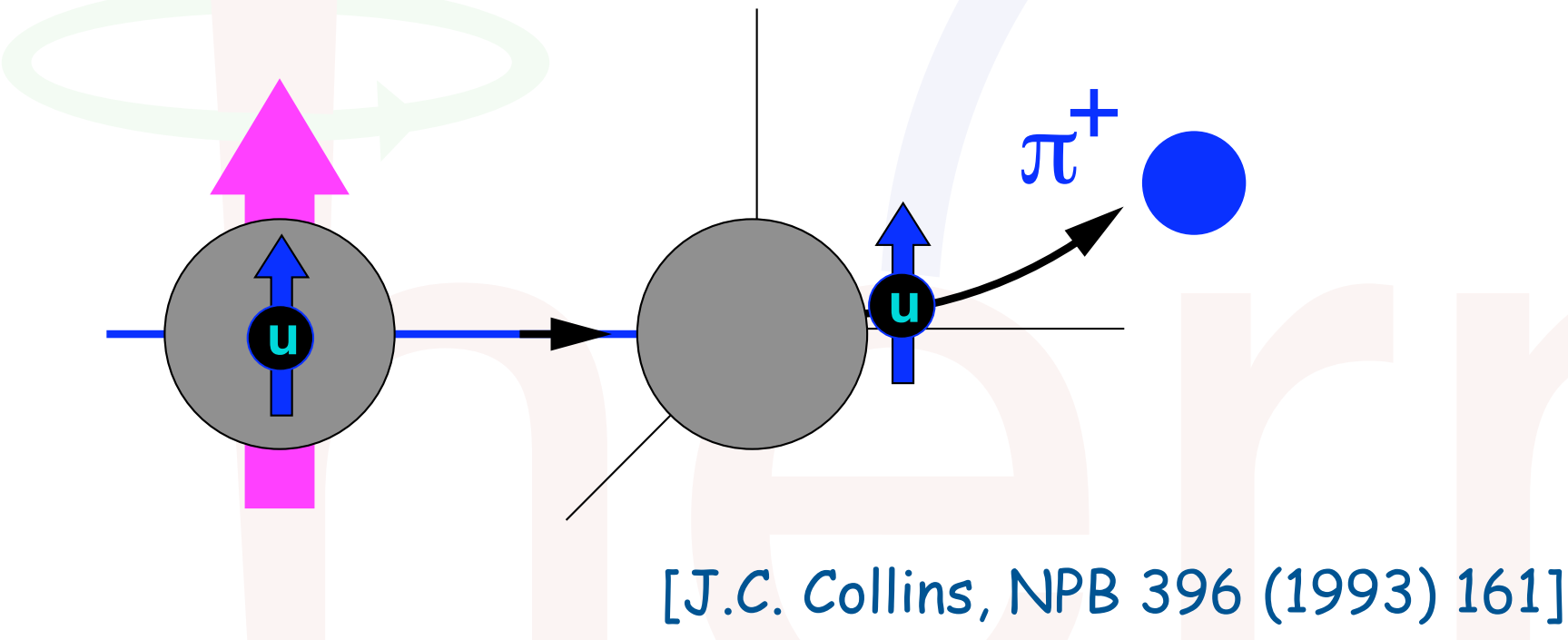


large left-right asymmetries that persist even to RHIC energies

what's the origin of these SSA?

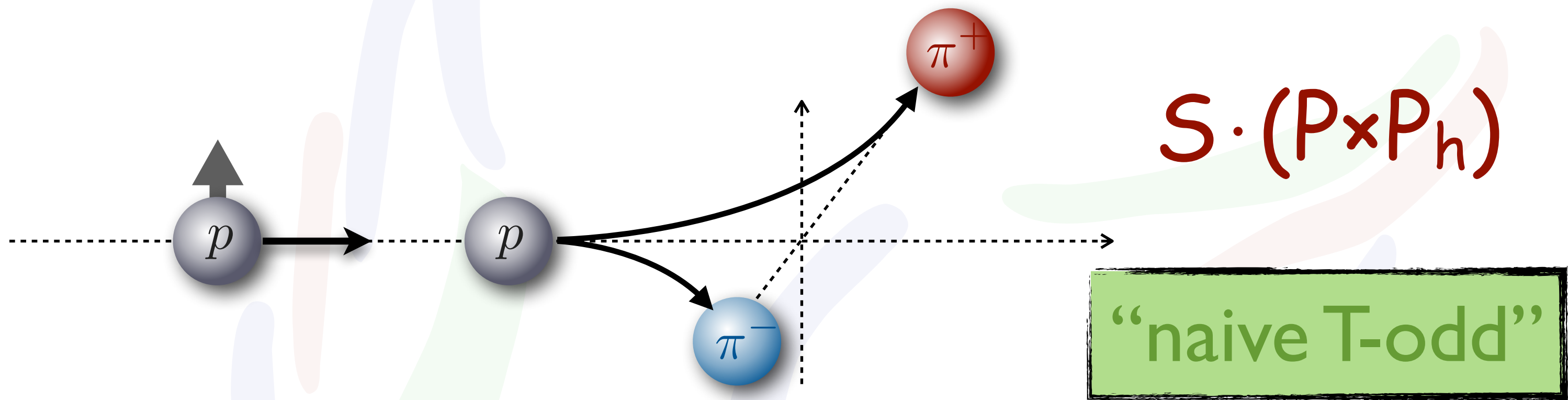


- fragmentation effect?

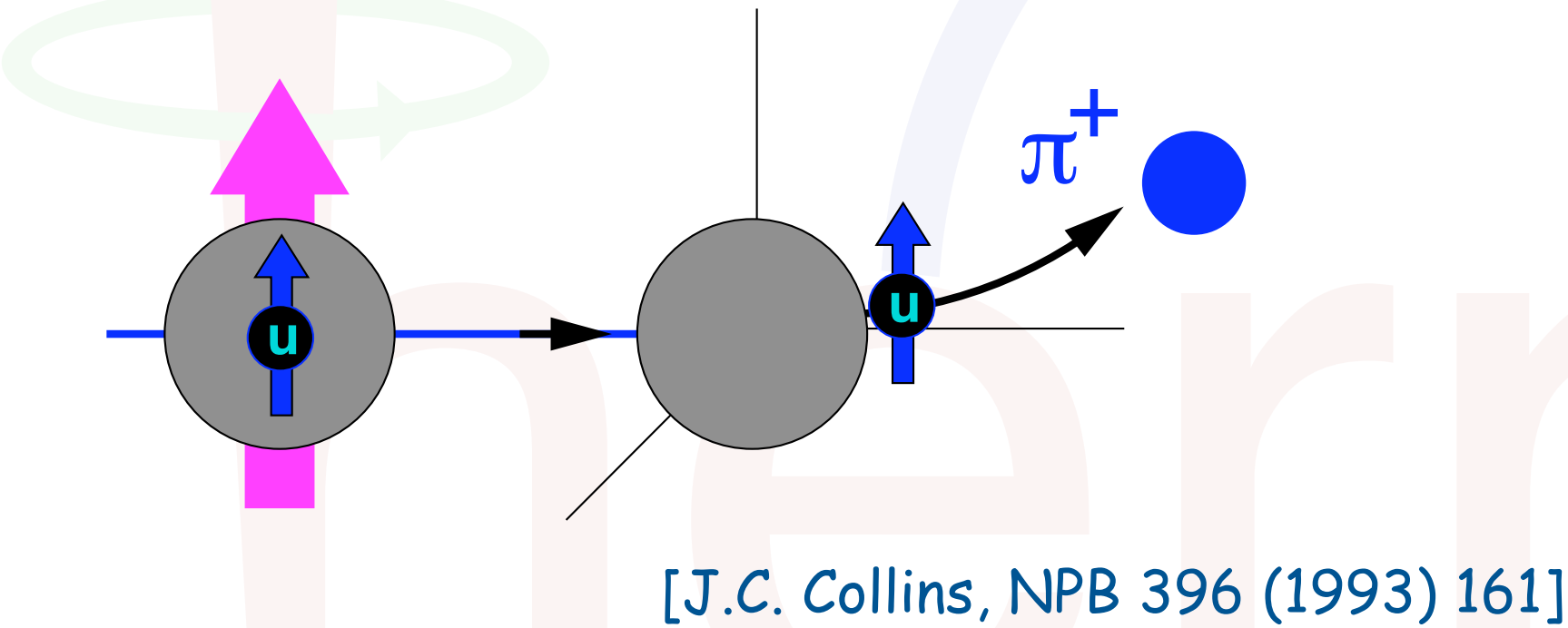


- correlating transverse quark spin with transverse momentum

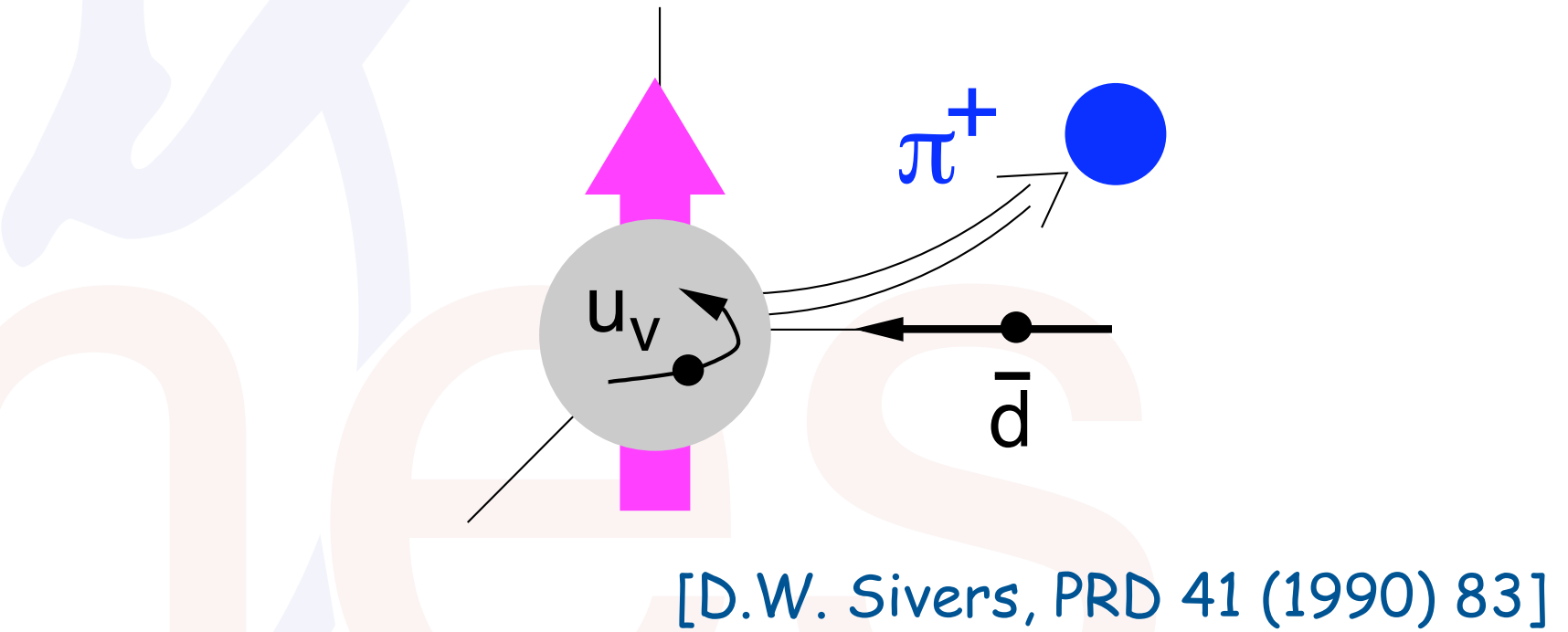
what's the origin of these SSA?



- fragmentation effect?



- quark-distribution effect?



- correlating transverse quark spin with transverse momentum

- correlating transverse quark momentum with transverse spin of nucleon

a short history of naive time reversal

- 1978: Kane, Pumplin & Repko: transverse-spin asymmetries suppressed in pQCD
- 1990: Sivers proposes transverse spin-momentum correlation for quark distributions
- 1993: Collins dislikes (& disproves) idea, introduces similar correlation in fragmentation
- 1996: Mulders&Tangerman: compendium of azimuthal asymmetries
- 1998: Boer&Mulders: naive T-odd observables -> Boer-Mulders distribution
- 2002: Brodsky, Hwang & Schmidt: resurrection of Sivers idea

a short history of naive time reversal

- 1978: Kane, Pumplin
- 1990: Sivers proposed distributions
- 1993: Collins disliked fragmentation
- 1996: Mulders & Tarron
- 1998: Boer & Mulder
- 2002: Brodsky, Hwang

PHYSICAL REVIEW D
covering particles, fields, gravitation, and cosmology

Rapid Communication

Quantitative estimate for single transverse spin asymmetry

A. Schäfer, L. Mankiewicz, P. Gornicki, and S. Güllenstern
Phys. Rev. D **47**, R1(R) – Published 1 January 1993

Article PDF Export Citation

ABSTRACT

We discuss the probable size of a single-spin asymmetry in high-energy proton-proton collisions recently proposed by Qiu and Sterman. We derive an upper bound from estimating the contribution of the postulated coherent gluon field to the total energy carried by gluons. We conclude that the observable asymmetry should not exceed a few percent.

Received 9 November 1992

DOI: <https://doi.org/10.1103/PhysRevD.47.R1>

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ies suppressed in pQCD

relation for quark

ilar correlation in

symmetries

Mulders distribution

rs idea

Spin-momentum structure of the nucleon

$$\frac{1}{2} \text{Tr} \left[(\gamma^+ + \lambda \gamma^+ \gamma_5) \Phi \right] = \frac{1}{2} \left[f_1 + S^i \epsilon^{ij} k^j \frac{1}{m} f_{1T}^\perp + \lambda \Lambda g_1 + \lambda S^i k^i \frac{1}{m} g_{1T} \right]$$

$$\frac{1}{2} \text{Tr} \left[(\gamma^+ - s^j i \sigma^{+j} \gamma_5) \Phi \right] = \frac{1}{2} \left[f_1 + S^i \epsilon^{ij} k^j \frac{1}{m} f_{1T}^\perp + s^i \epsilon^{ij} k^j \frac{1}{m} h_1^\perp + s^i S^i h_1 \right. \\ \left. + s^i (2k^i k^j - \mathbf{k}^2 \delta^{ij}) S^j \frac{1}{2m^2} h_{1T}^\perp + \Lambda s^i k^i \frac{1}{m} h_{1L}^\perp \right],$$

quark pol.

nucleon pol.

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

- each TMD describes a particular spin-momentum correlation
- functions in black survive integration over transverse momentum
- functions in green box are chiral-odd
- functions in red are naive T-odd

Spin-momentum structure of the nucleon

$$\frac{1}{2} \text{Tr} \left[(\gamma^+ + \lambda \gamma^+ \gamma_5) \Phi \right] = \frac{1}{2} \left[f_1 + S^i \epsilon^{ij} k^j \frac{1}{m} f_{1T}^\perp + \lambda \Lambda g_1 + \lambda S^i k^i \frac{1}{m} g_{1T} \right]$$

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quark pol. **helicity**

nucleon pol.

	U	L	T
U	f_1		h_1^\perp
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• each TMD describes a particular spin-momentum

Boer-Mulders function

• functions in black survive integration over transverse momentum

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Sivers

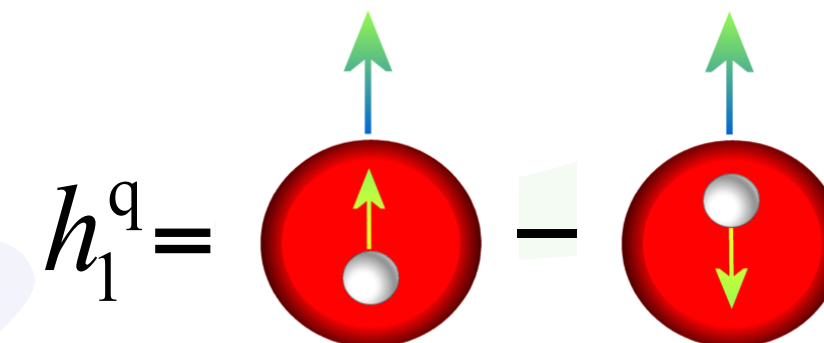
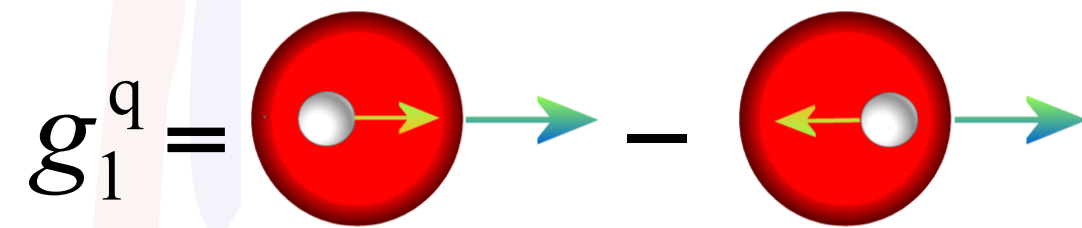
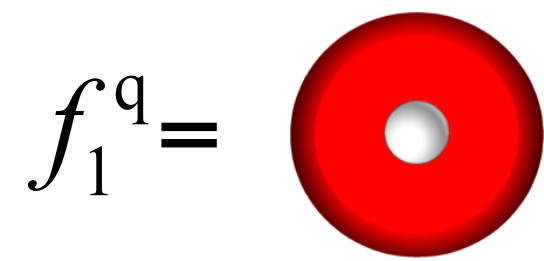
pretzelosity

worm-gear

transversity

the "trouble" with transversity

chiral-odd transversity involves quark helicity flip



hermes

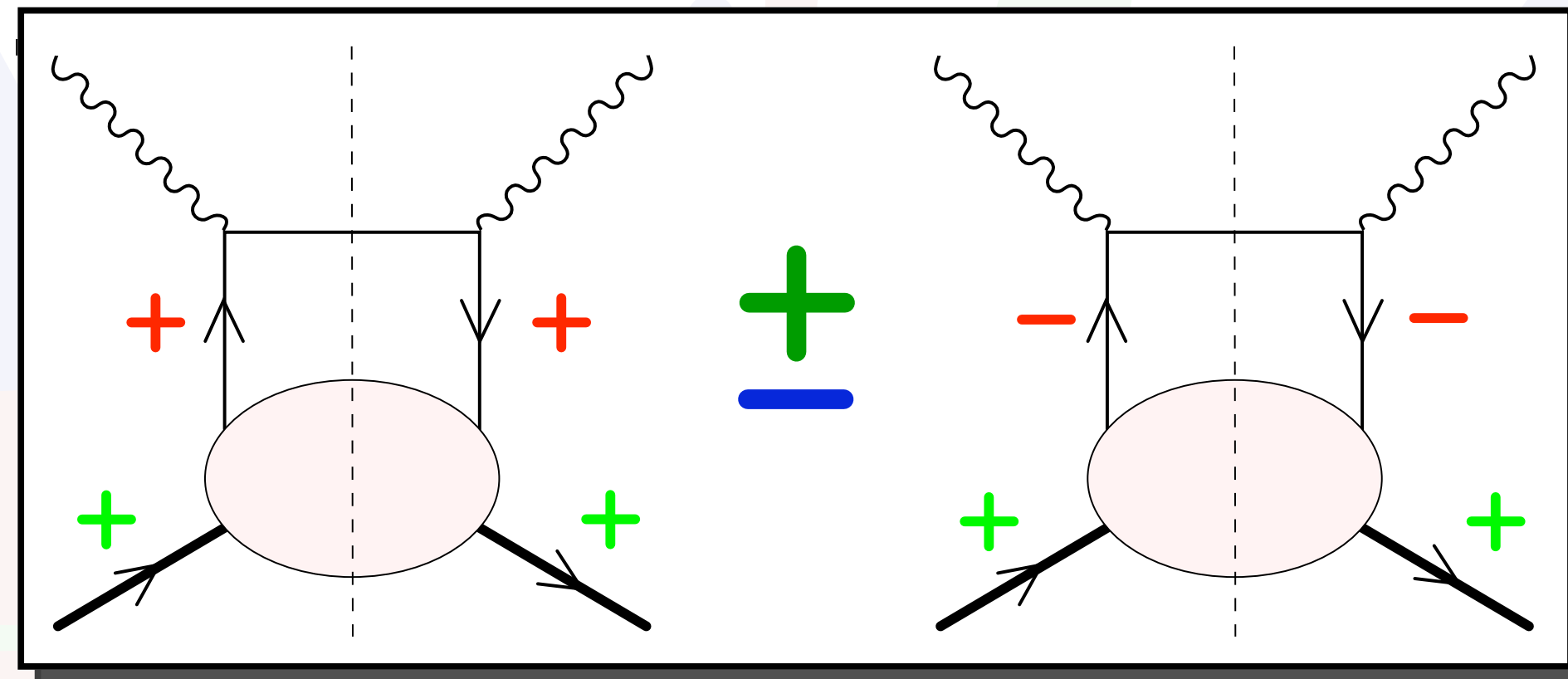
the "trouble" with transversity

chiral-odd transversity involves quark helicity flip

$$f_1^q = \text{red circle with white dot}$$

$$g_1^q = \text{red circle with white dot and right arrow} - \text{red circle with white dot and left arrow}$$

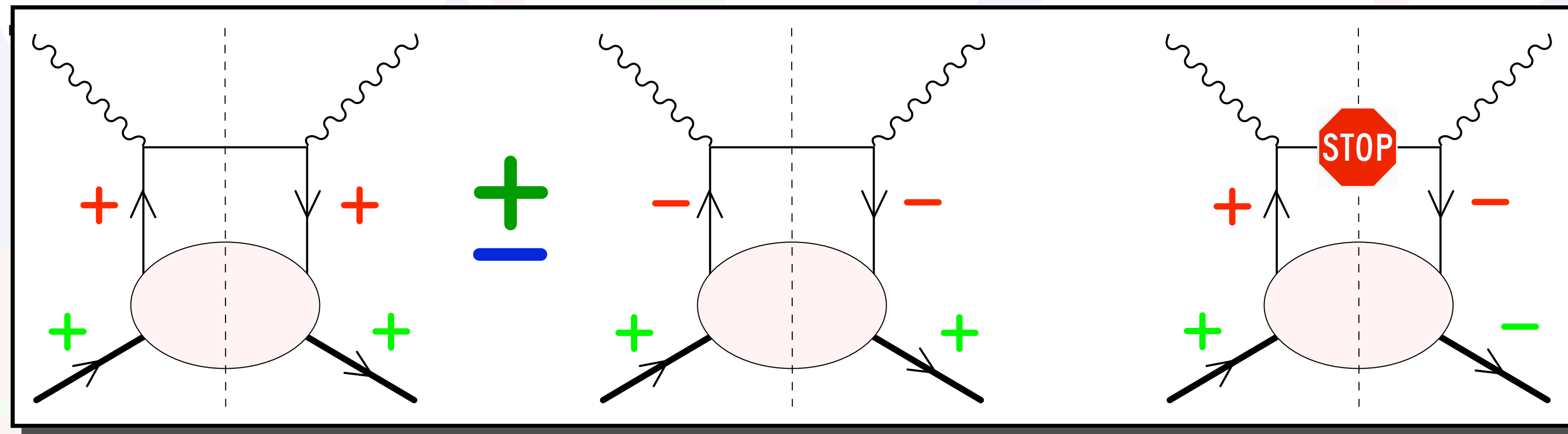
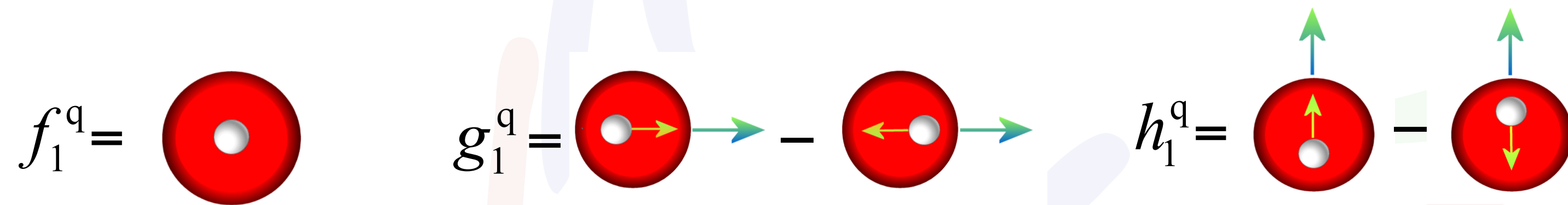
$$h_1^q = \text{red circle with white dot and up arrow} - \text{red circle with white dot and down arrow}$$



hermes

the "trouble" with transversity

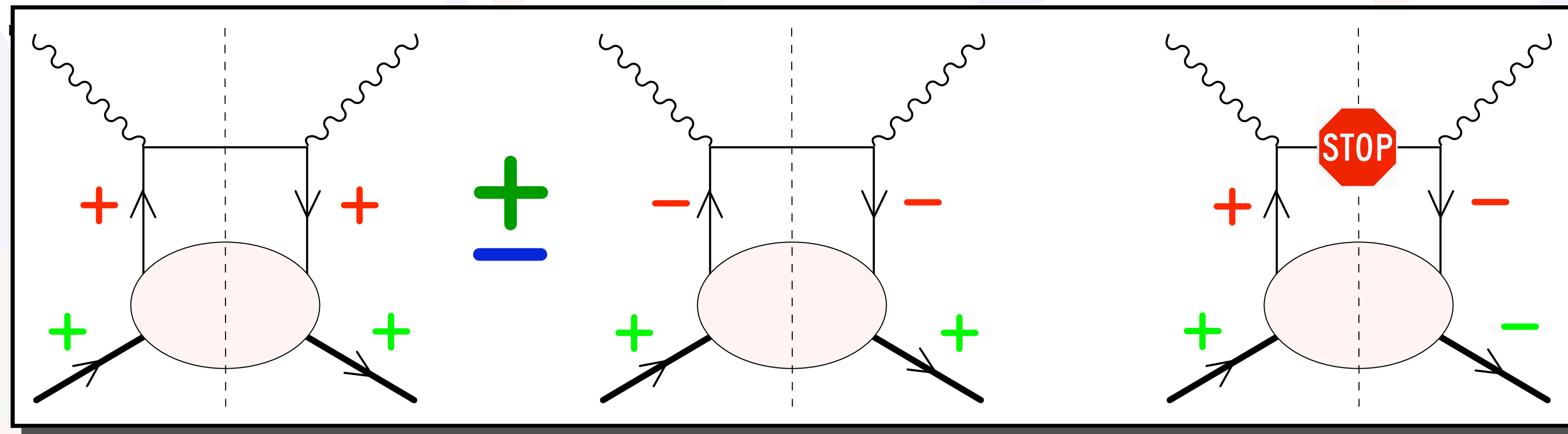
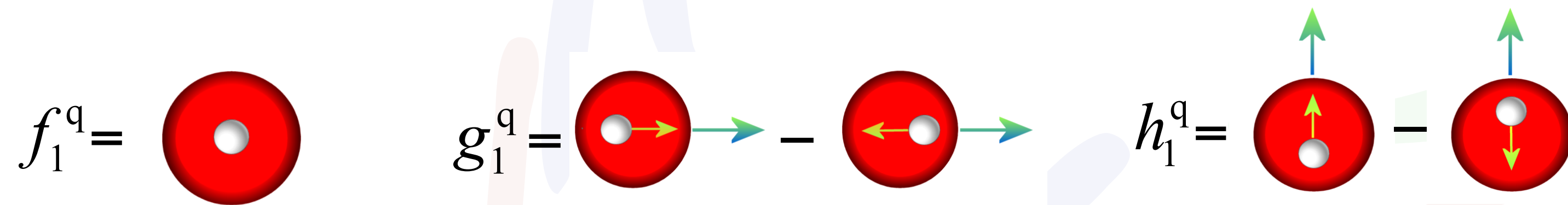
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hermes

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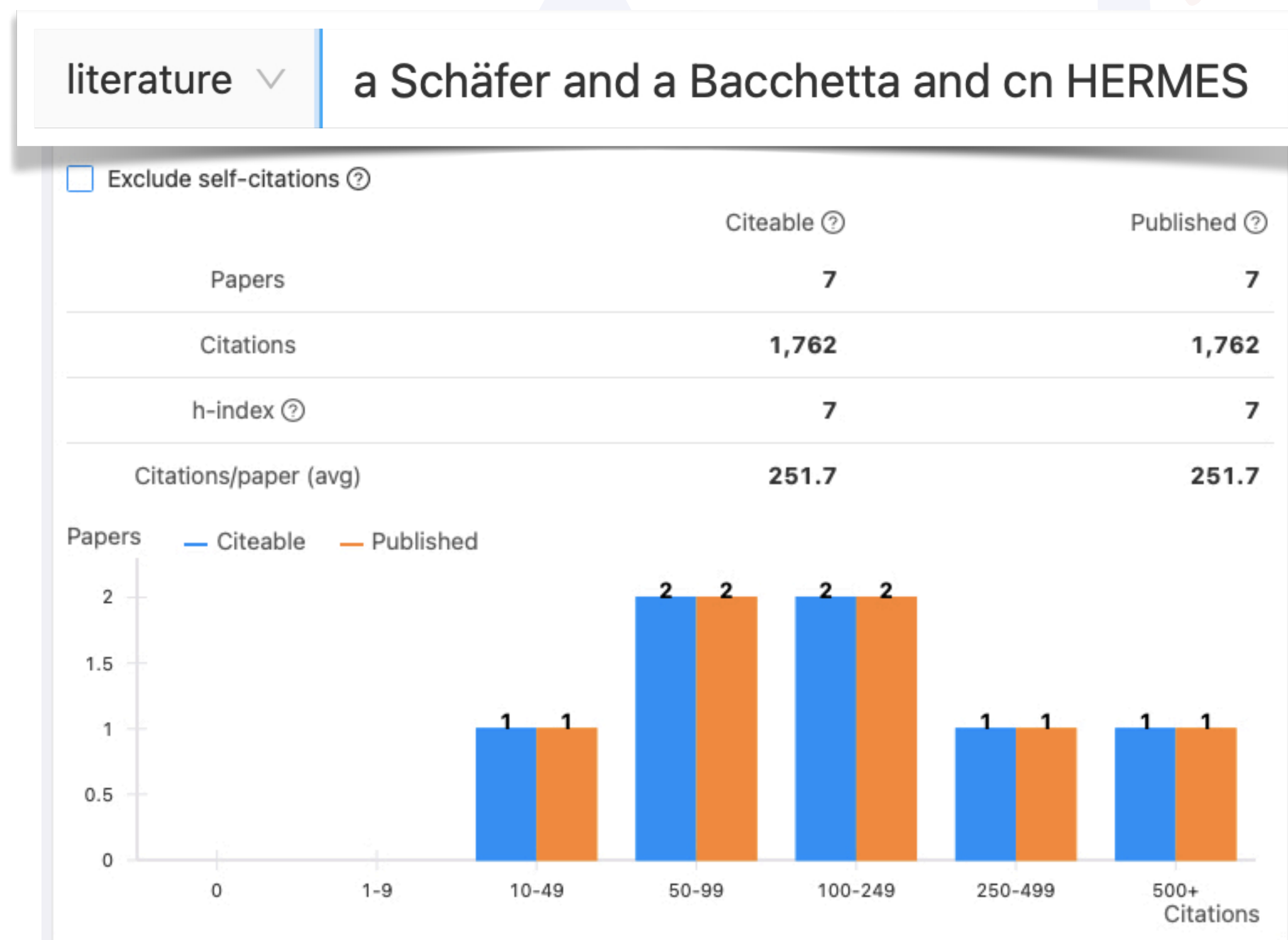


need to couple to chiral-odd fragmentation function:

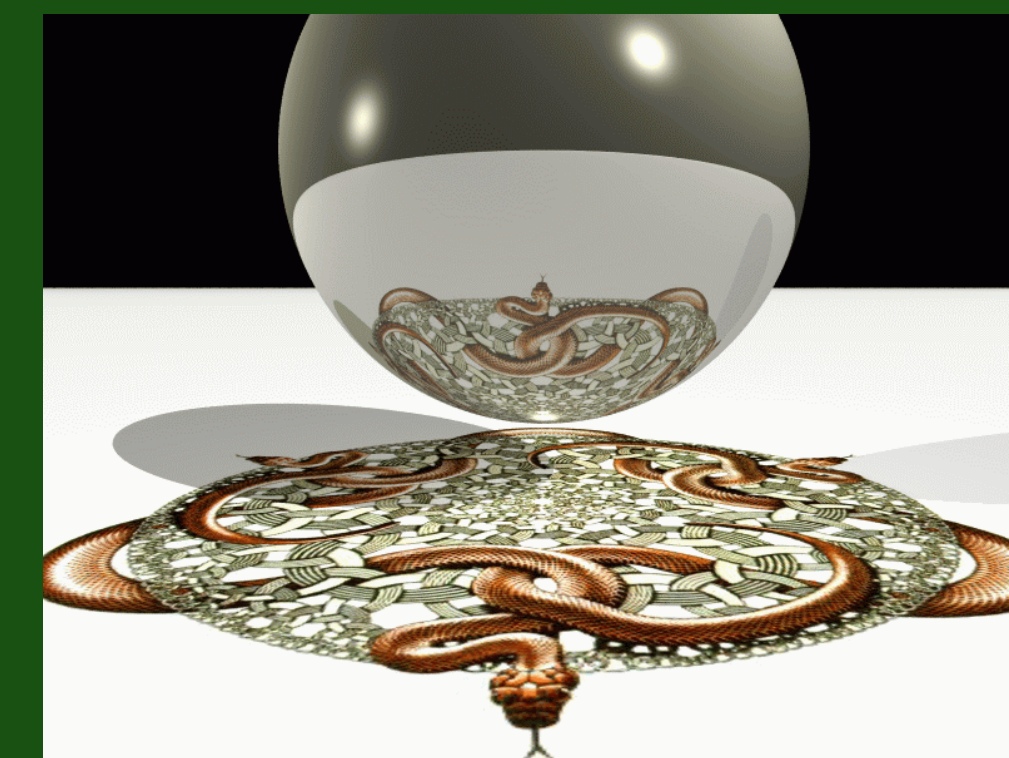
- transverse spin transfer (polarized final-state hadron)
- 2-hadron fragmentation
- Collins fragmentation

A. & A.

- in 2002, Alessandro Bacchetta joins Andreas' group as a young researcher and later AvH Fellow
- beginning of a remarkably successful program on transverse-spin physics at HERMES



Probing the transverse spin of quarks
in deep inelastic scattering



Alessandro Bacchetta

probing TMDs in semi-inclusive DIS

quark pol.

nucleon pol.

	U	L	T
U	f_1		h_1^\perp
L		g_{1L}	h_{1L}^\perp
T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

in SIDIS*) couple PDFs to:

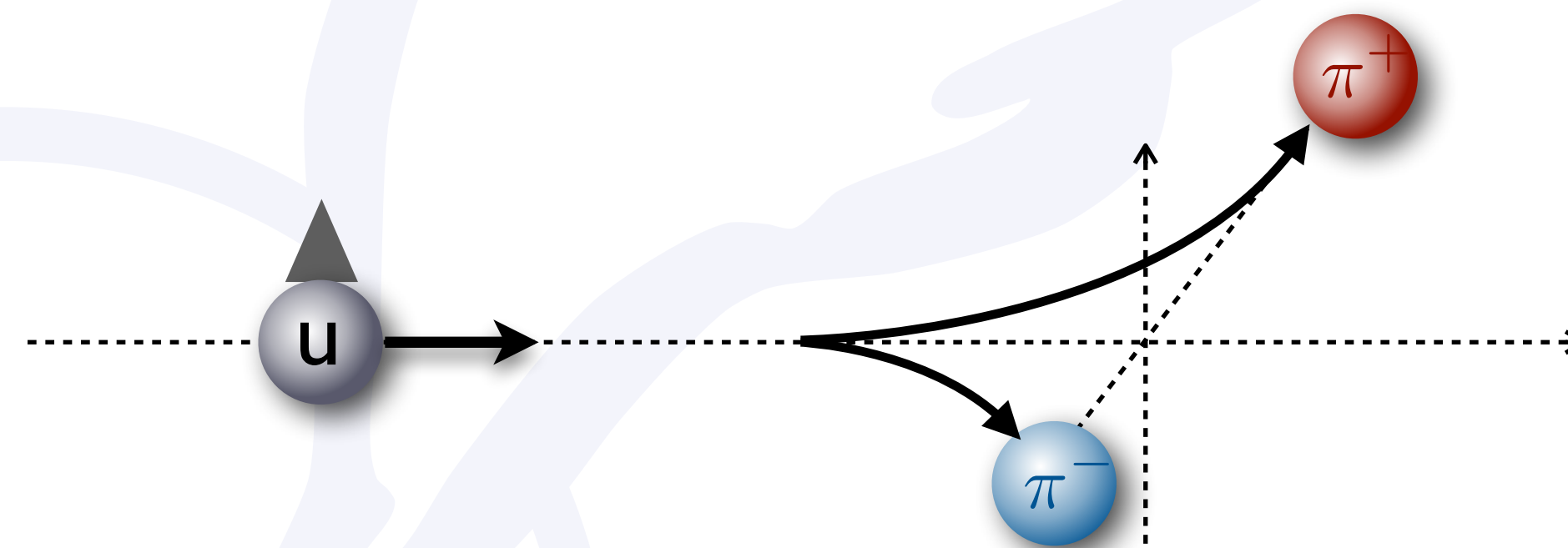
*) semi-inclusive DIS with unpolarized final state

probing TMDs in semi-inclusive DIS

		quark pol.		
		U	L	T
nucleon pol.	U	f_1		h_1^\perp
	L		g_{1L}	h_{1L}^\perp
	T	f_{1T}^\perp	g_{1T}	h_1, h_{1T}^\perp

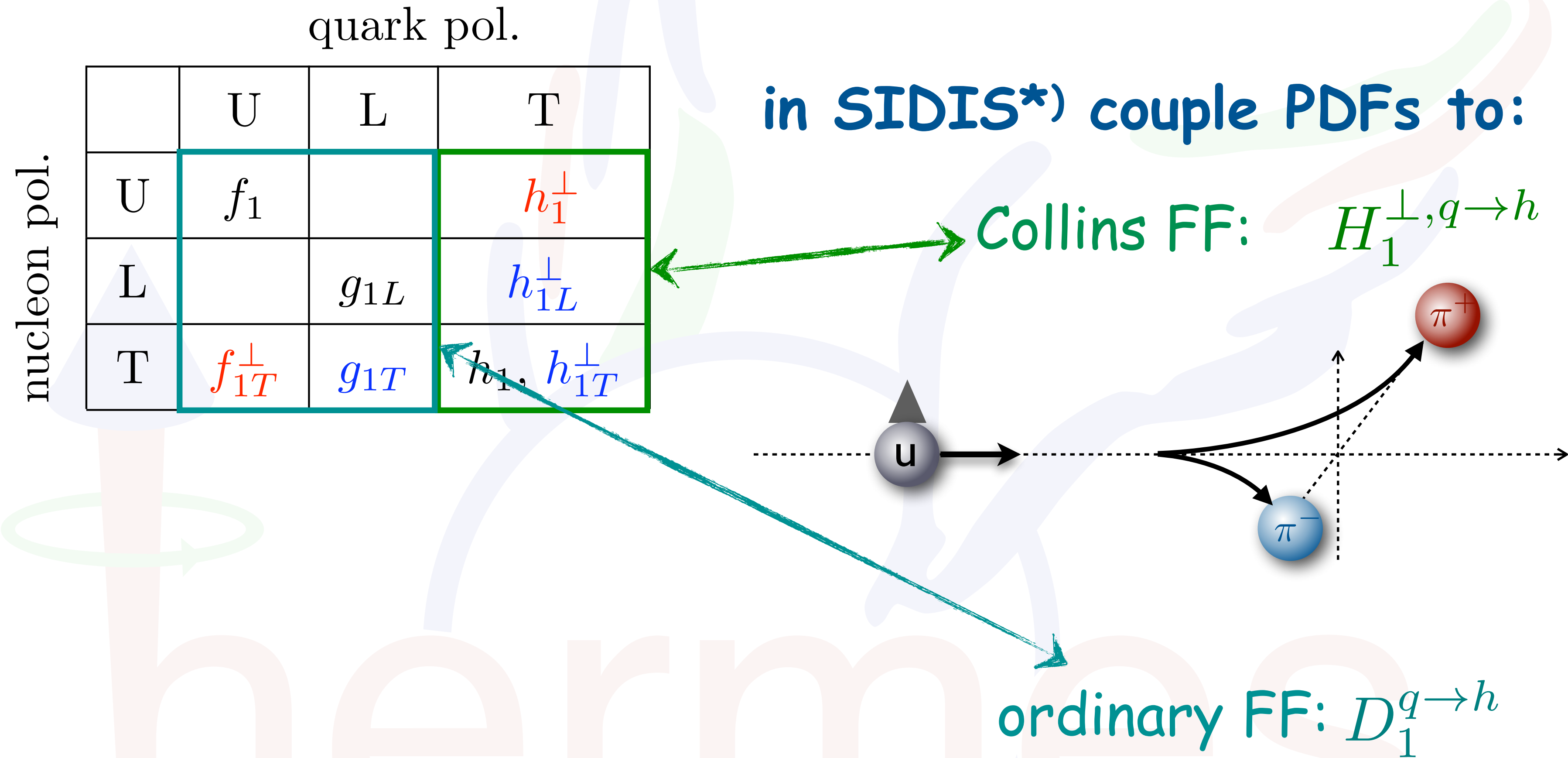
in SIDIS*) couple PDFs to:

Collins FF: $H_1^{\perp, q \rightarrow h}$



*) semi-inclusive DIS with unpolarized final state

probing TMDs in semi-inclusive DIS



gives rise to characteristic azimuthal dependences

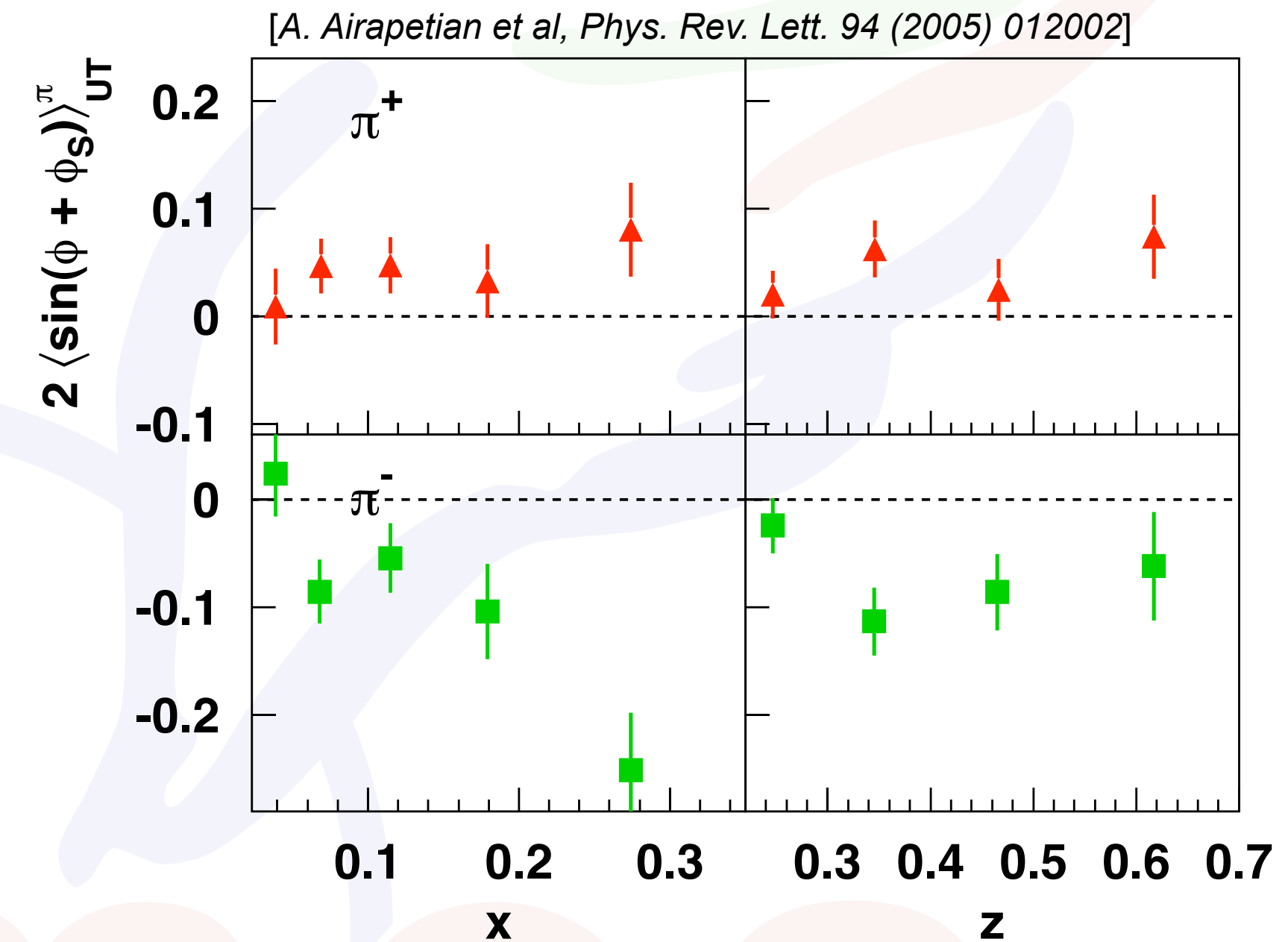
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transversely polarized quarks?



transversely polarized quarks?

- transverse polarization of quarks leads to large effects!

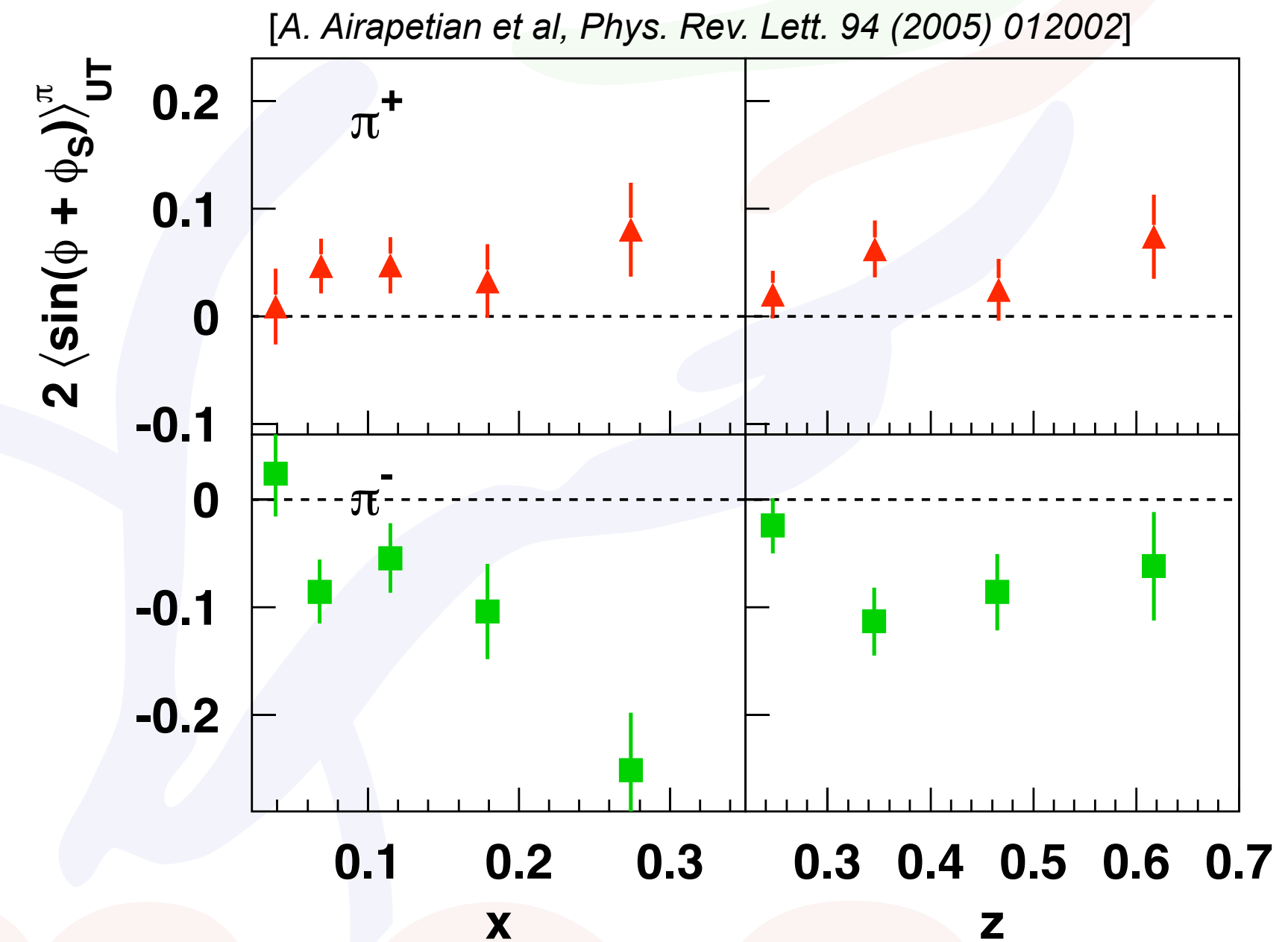


2005: First evidence from HERMES
SIDIS on proton

Non-zero transversity
Non-zero Collins function

transversely polarized quarks?

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- opposite in sign for charged pions

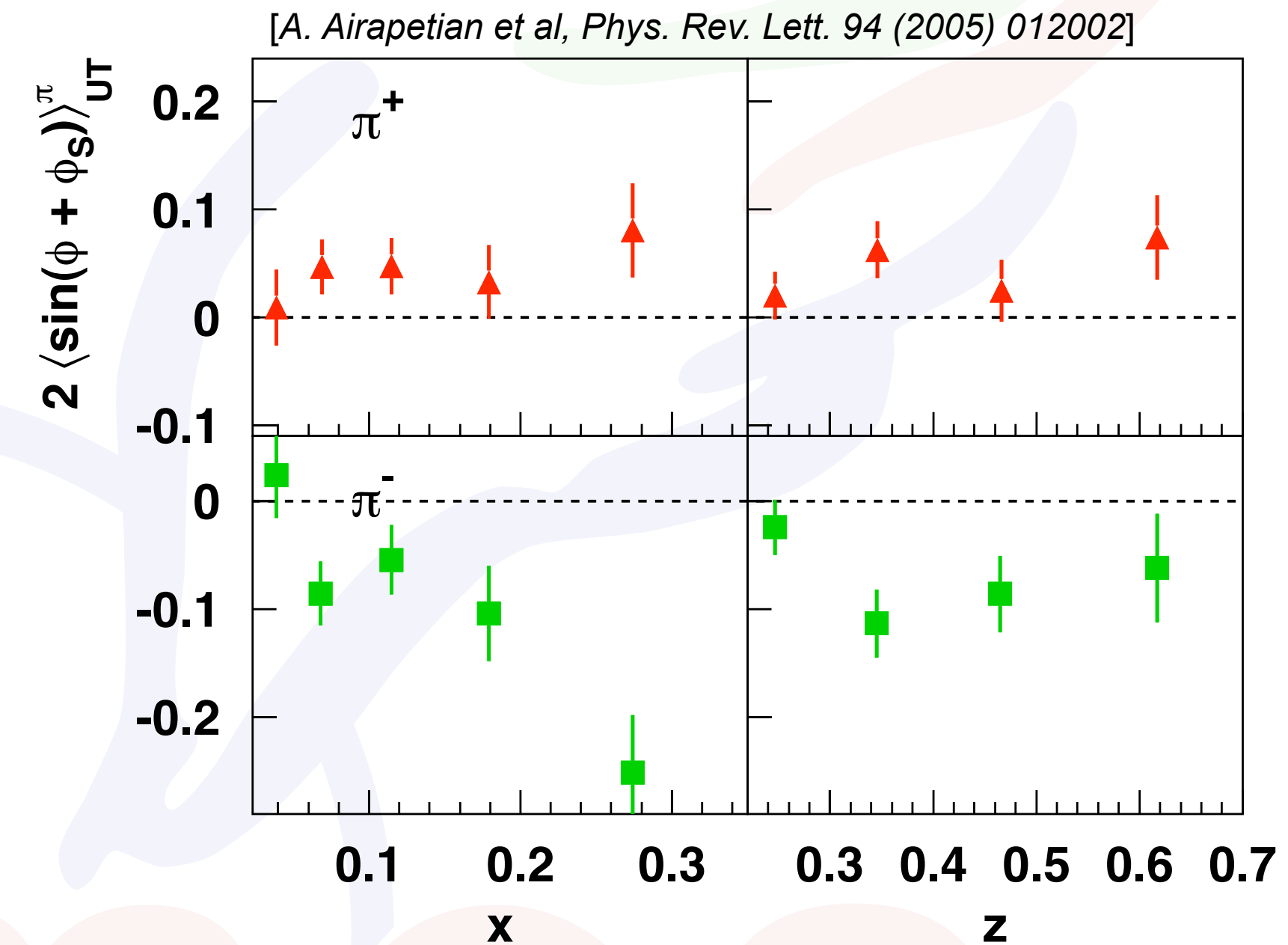
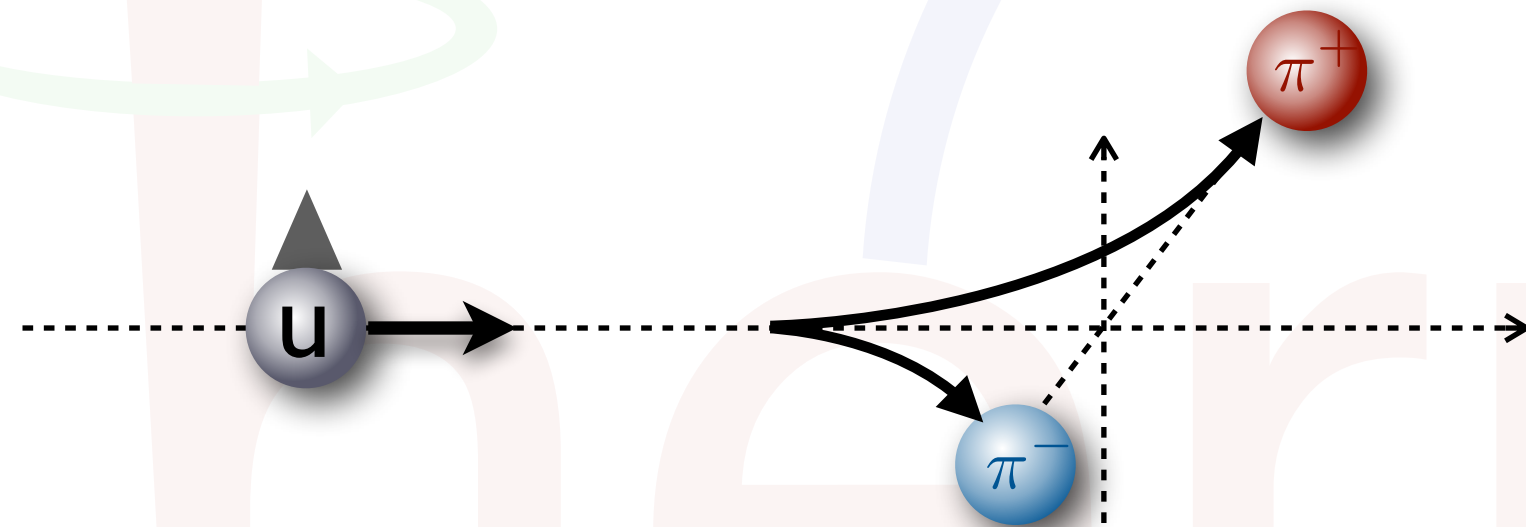


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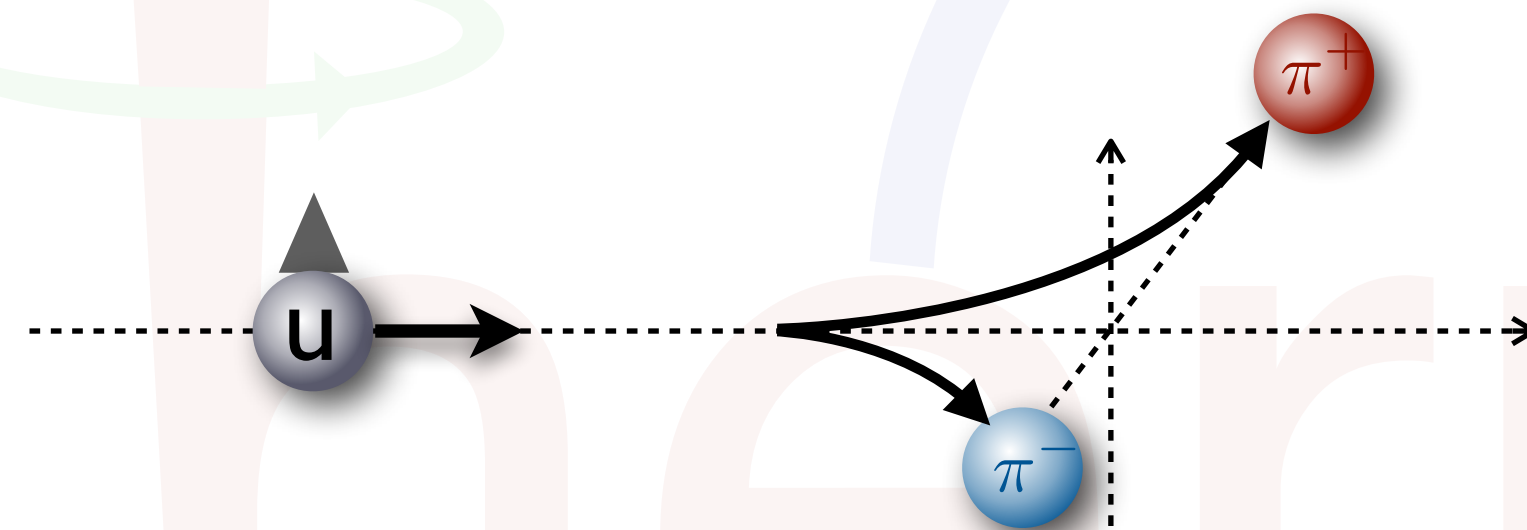


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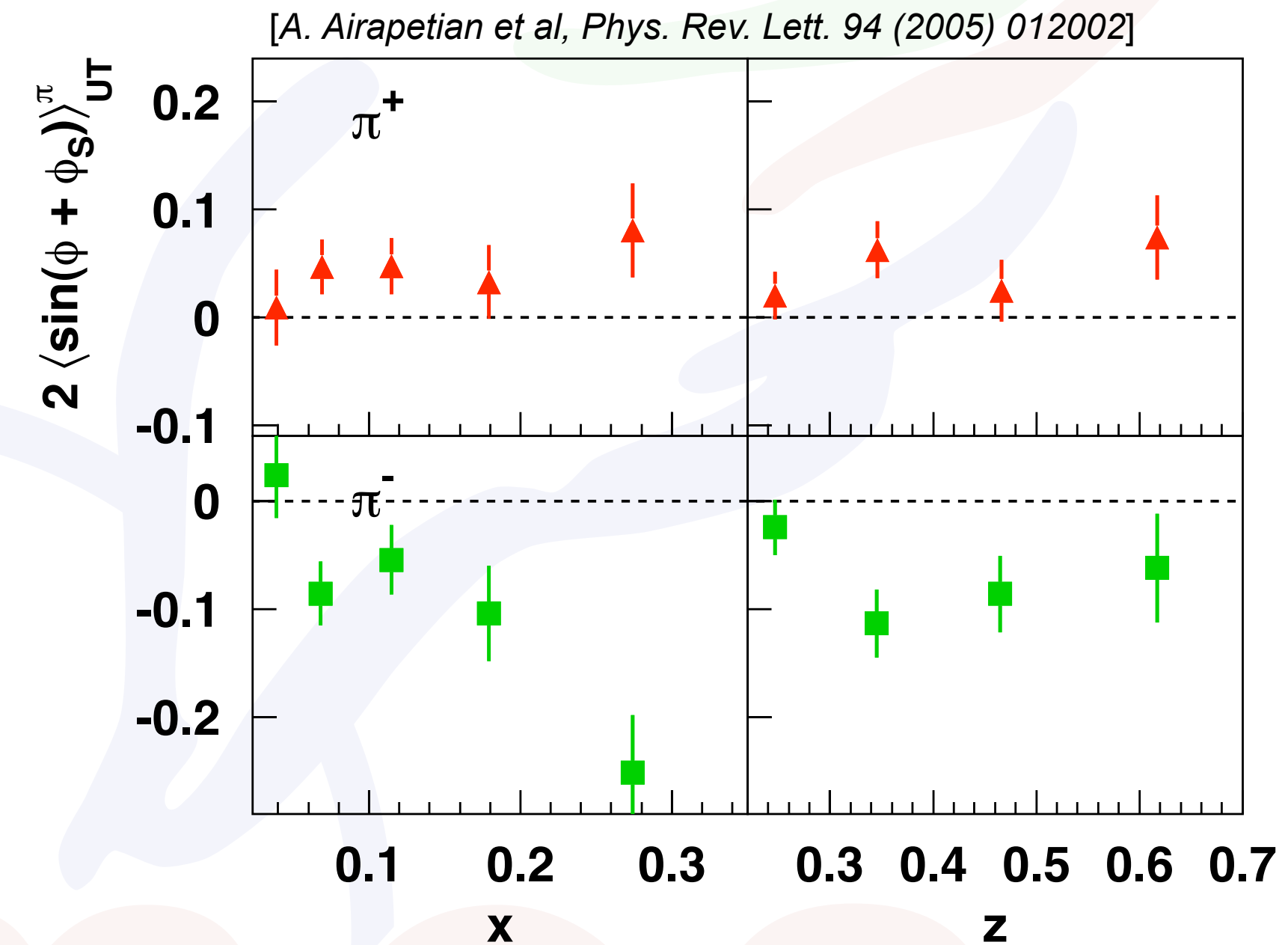
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- leads to various cancellations in SSA observables

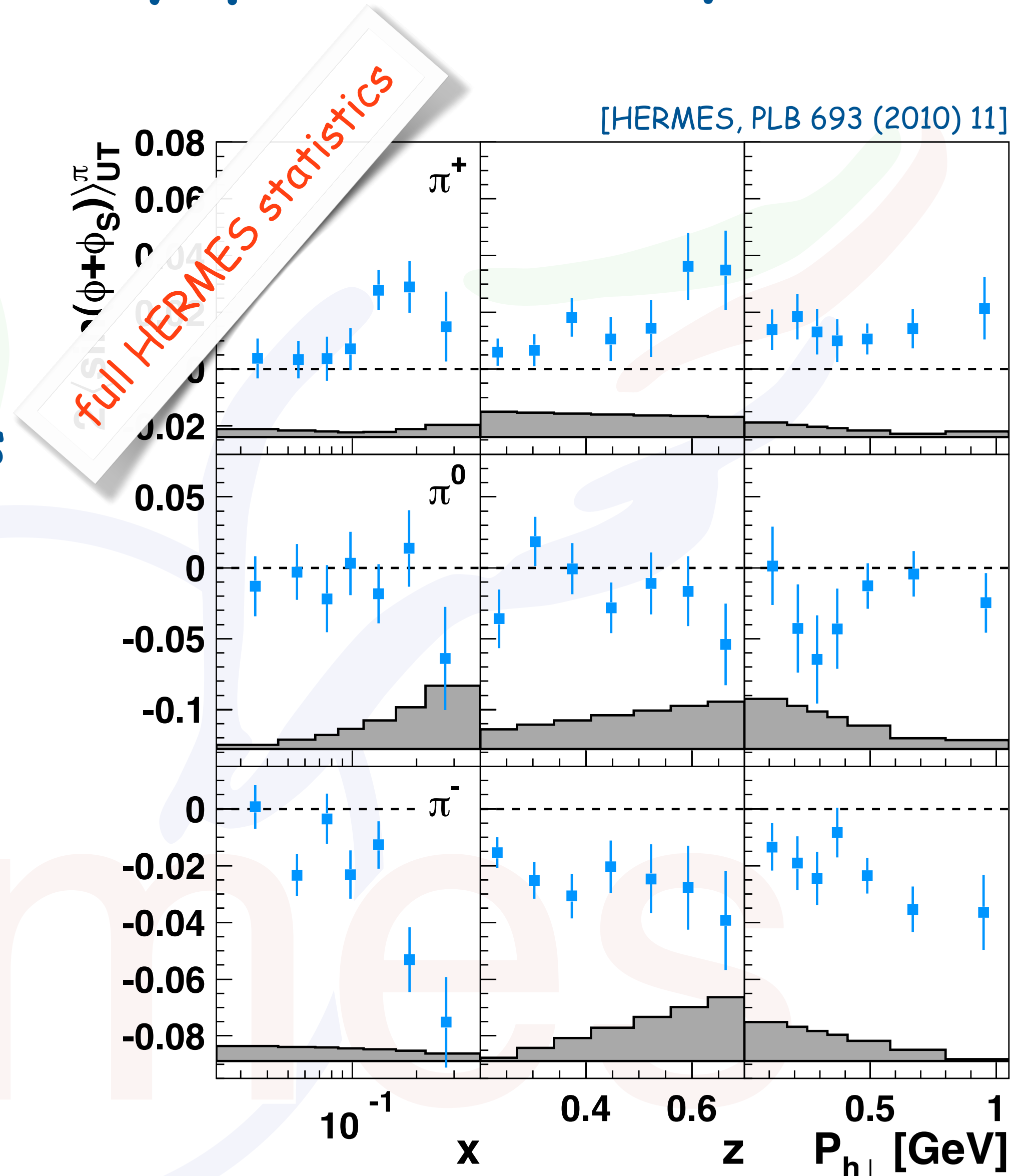
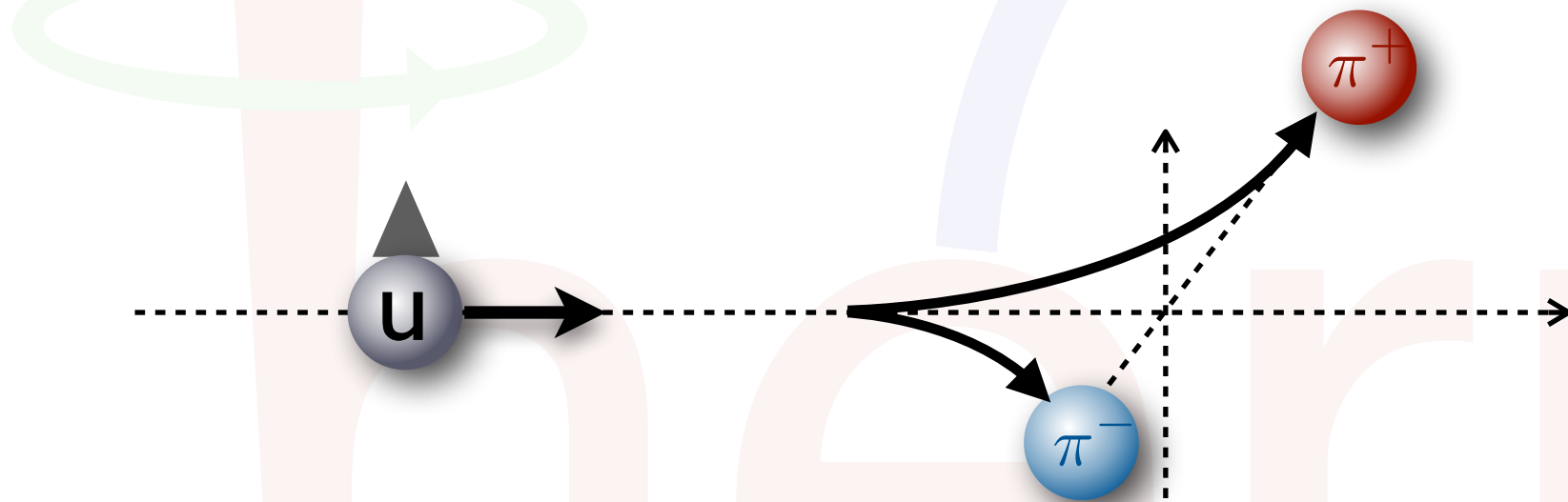


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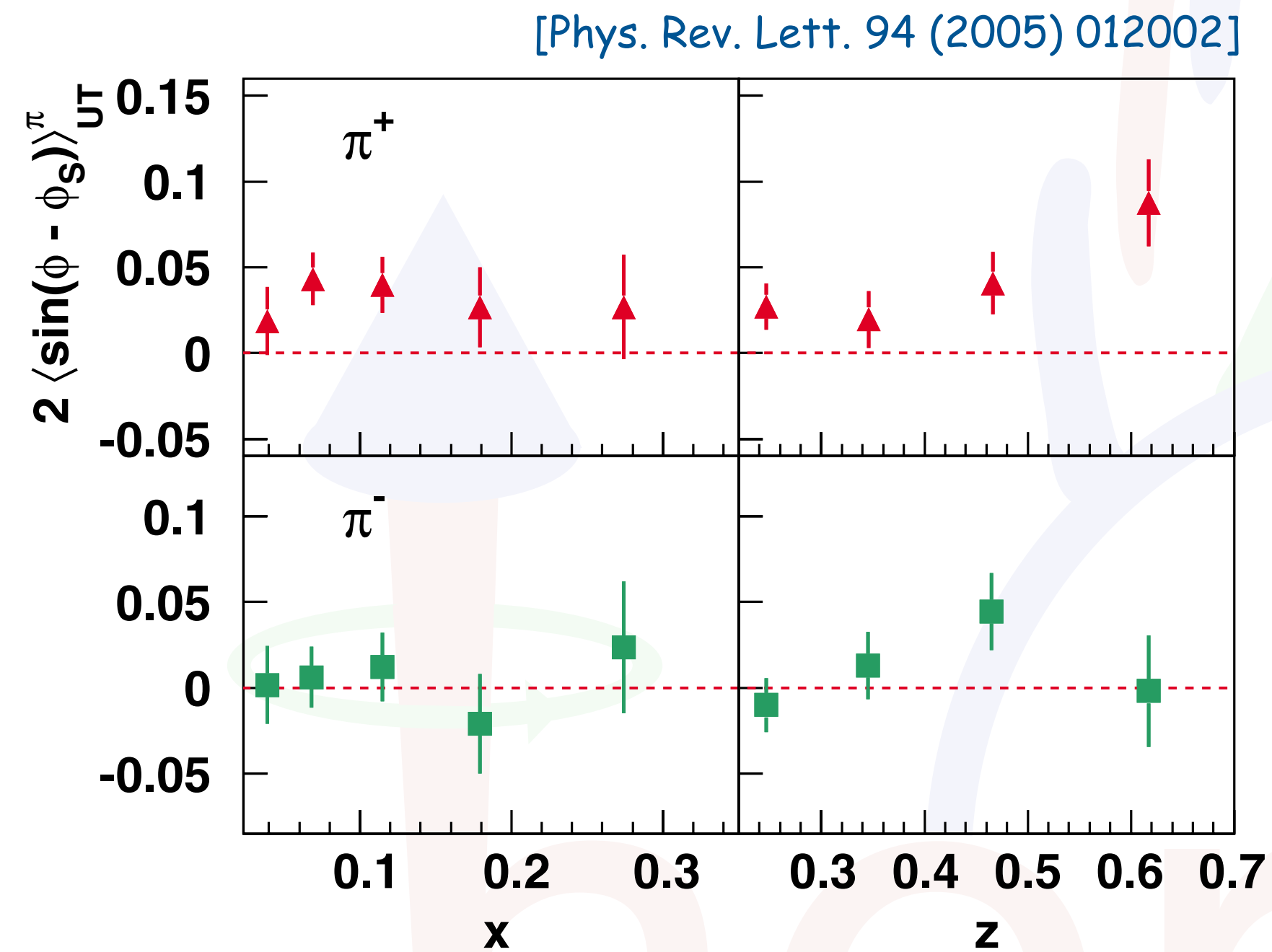


Was Collins then right about Sivers?



Was Collins then right about Sivers?

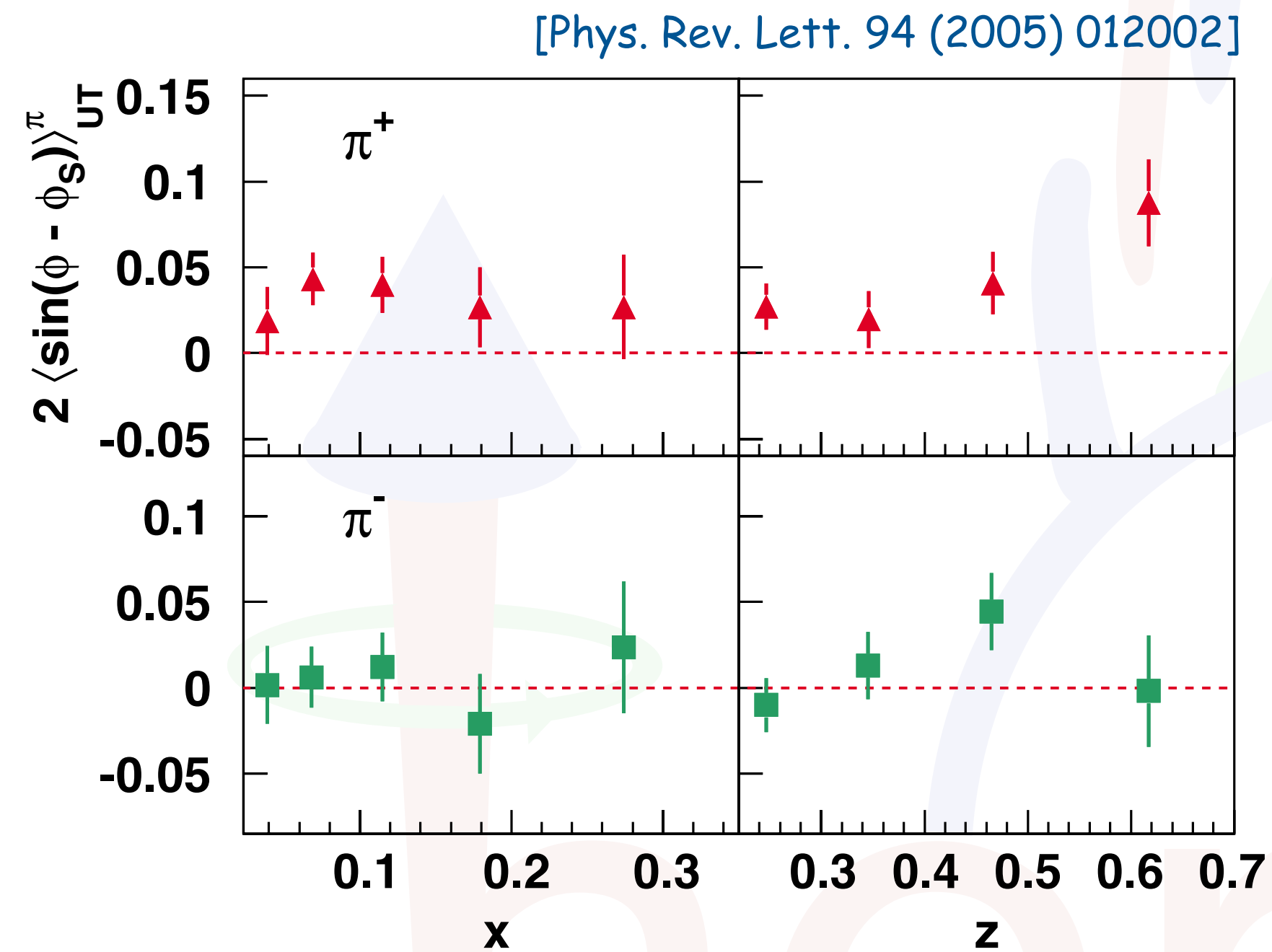
- no! -> first evidence of naive-T-odd Sivers function



only 2002 data!

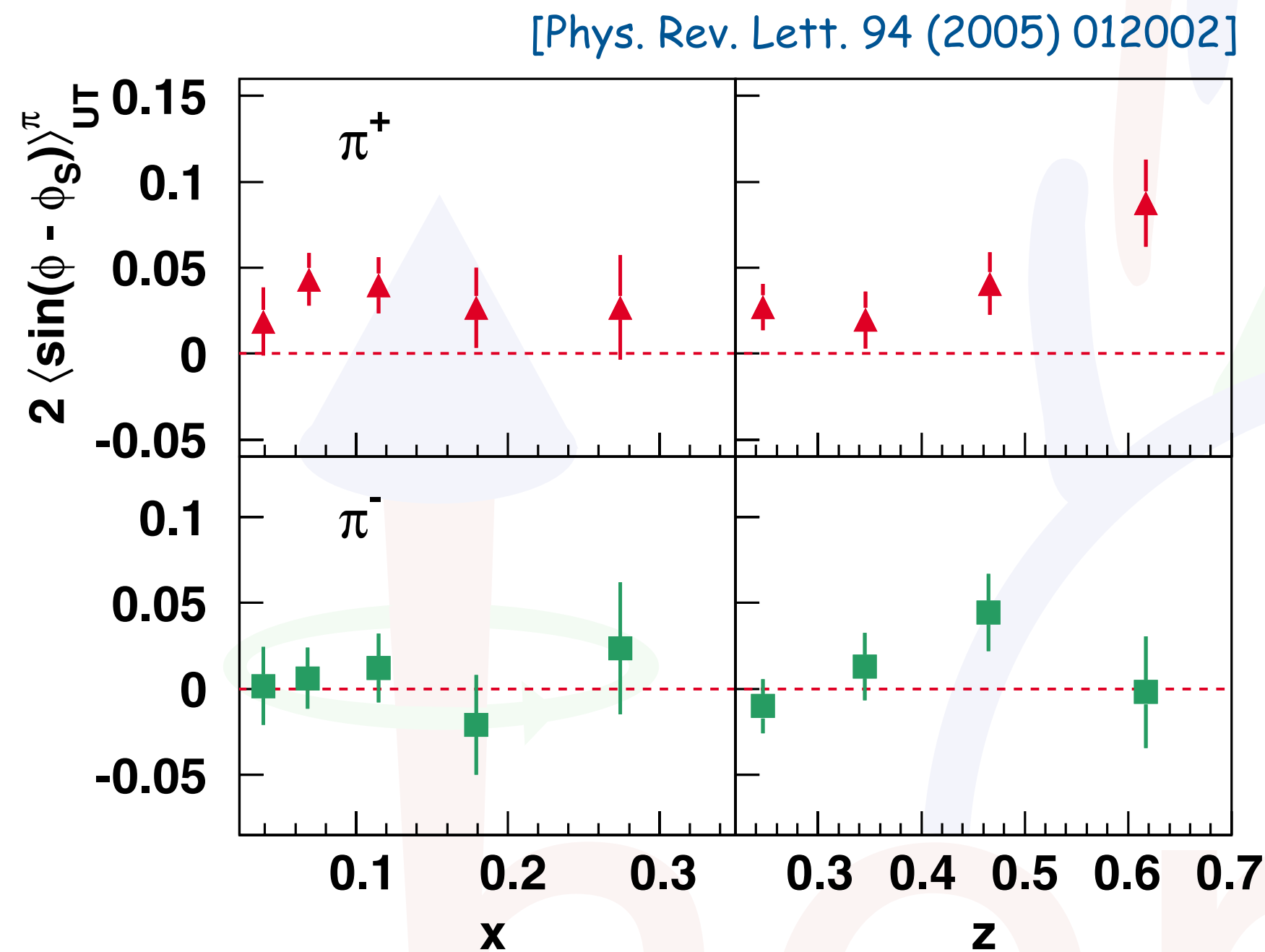
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- however, Sivers predicted wrong sign



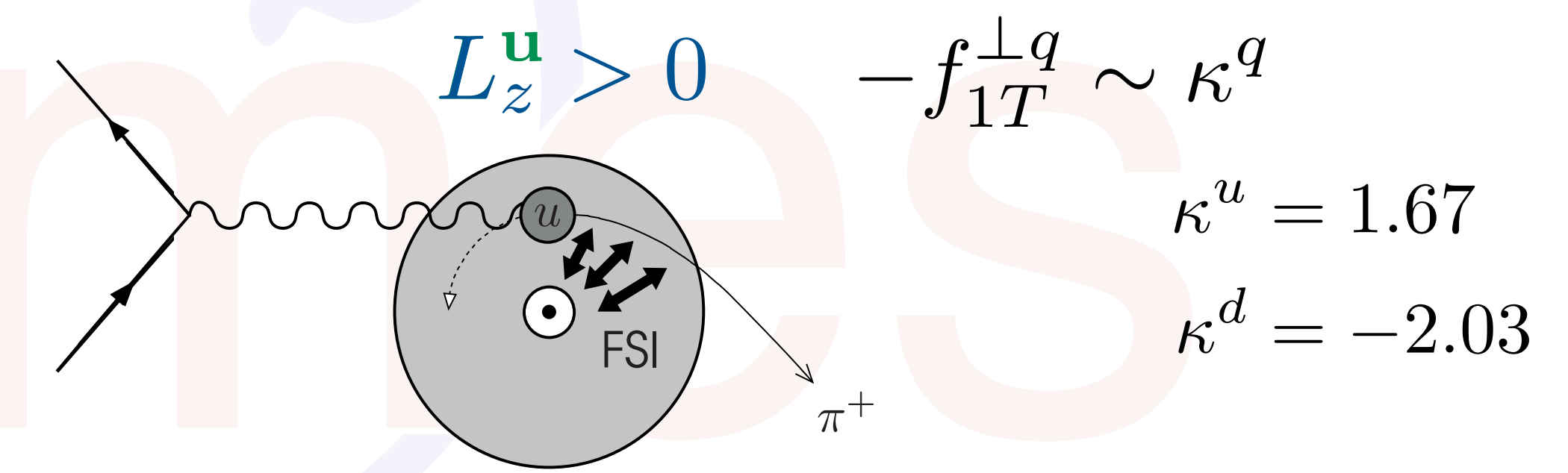
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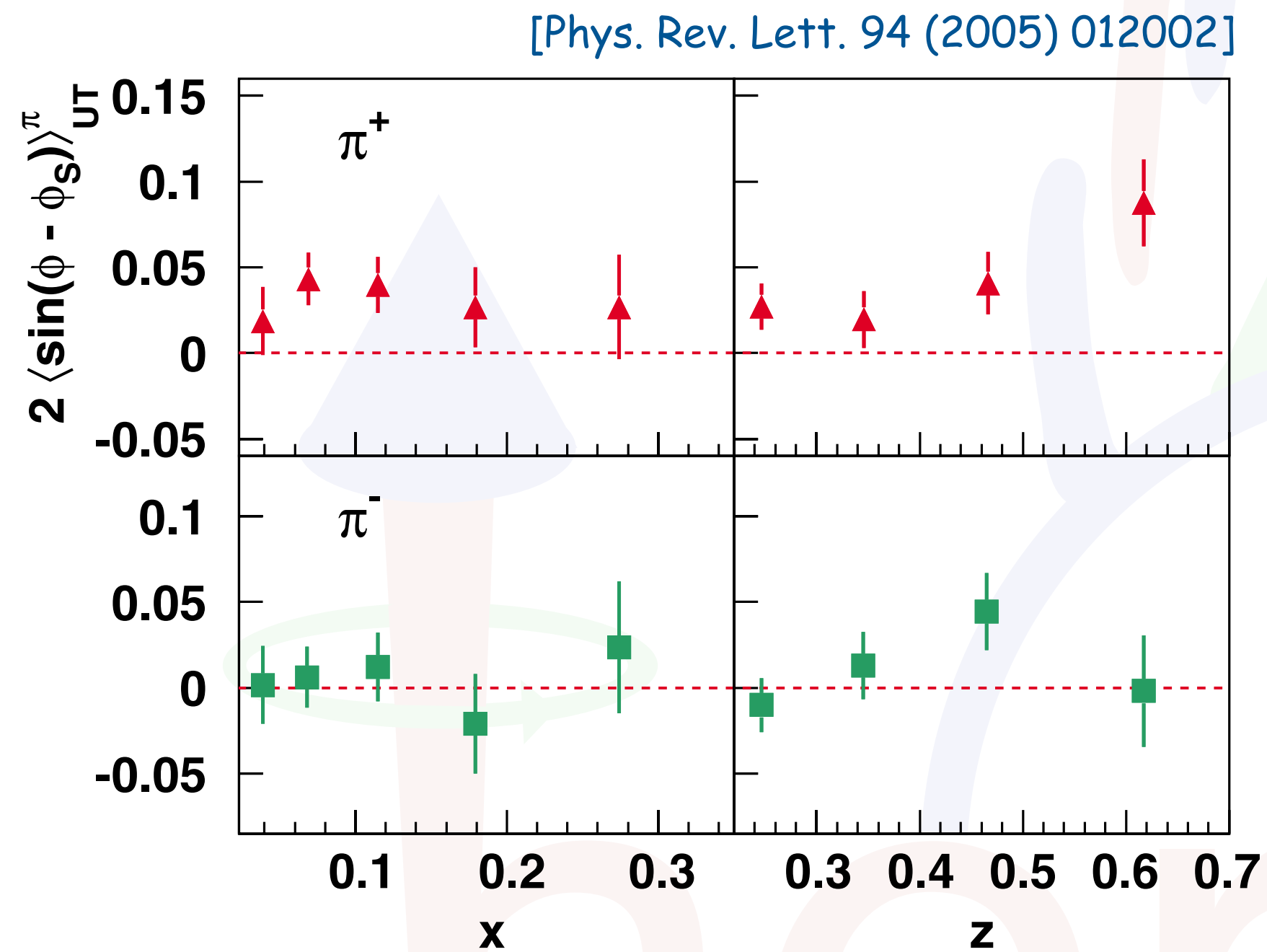
only 2002 data!

- no! -> first evidence of naive-T-odd Sivers function
- however, Sivers predicted wrong sign
- better: chromodynamic-lensing picture [M. Burkardt]

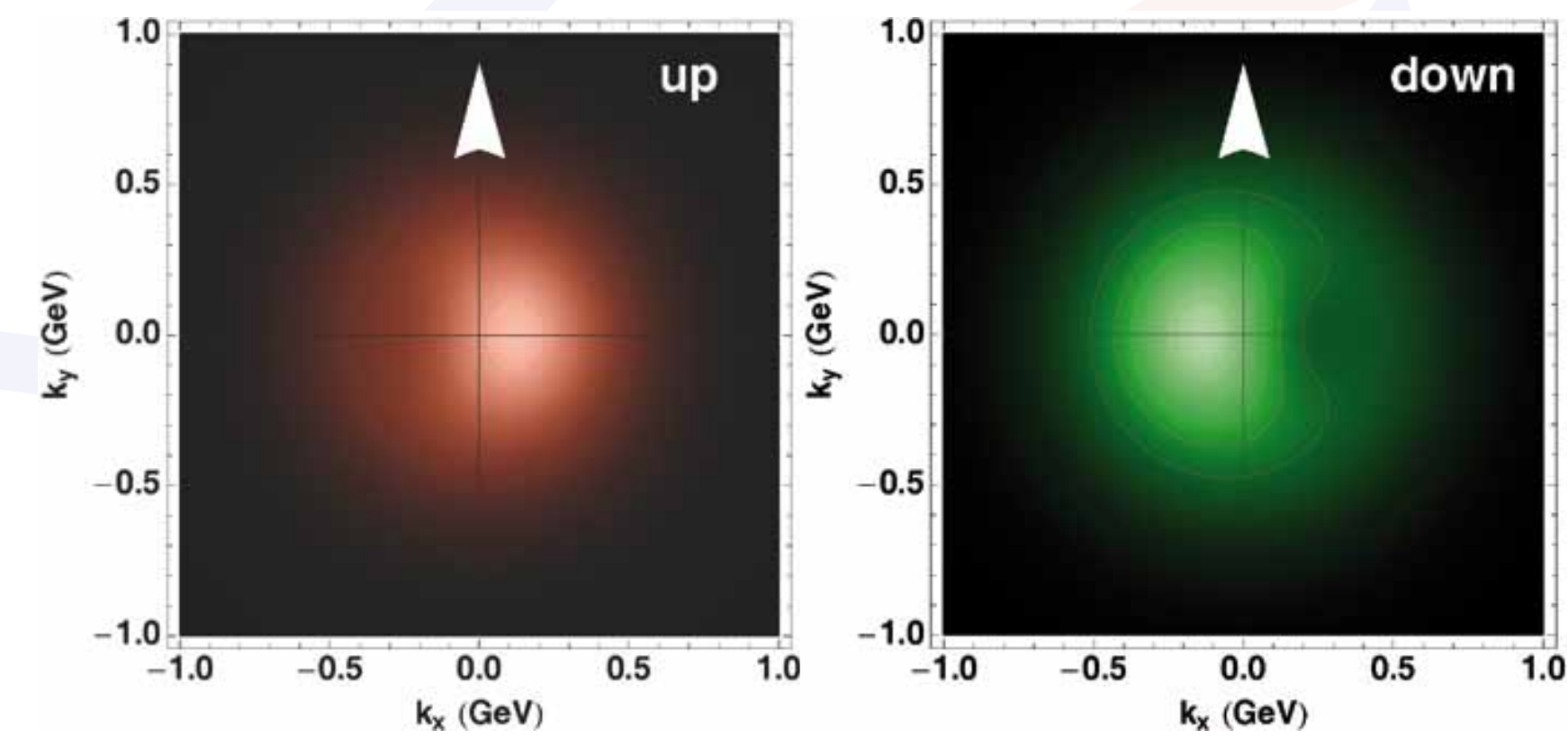


[M. Burkardt, PRD66 (2002) 014005]

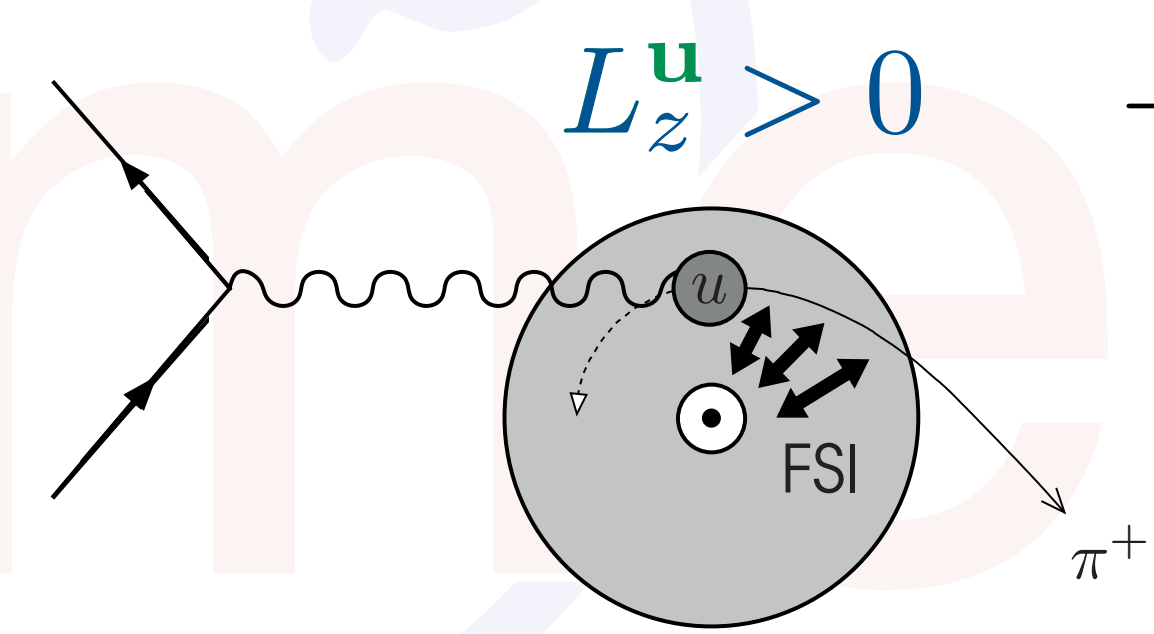
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[A. Bacchetta et al.]



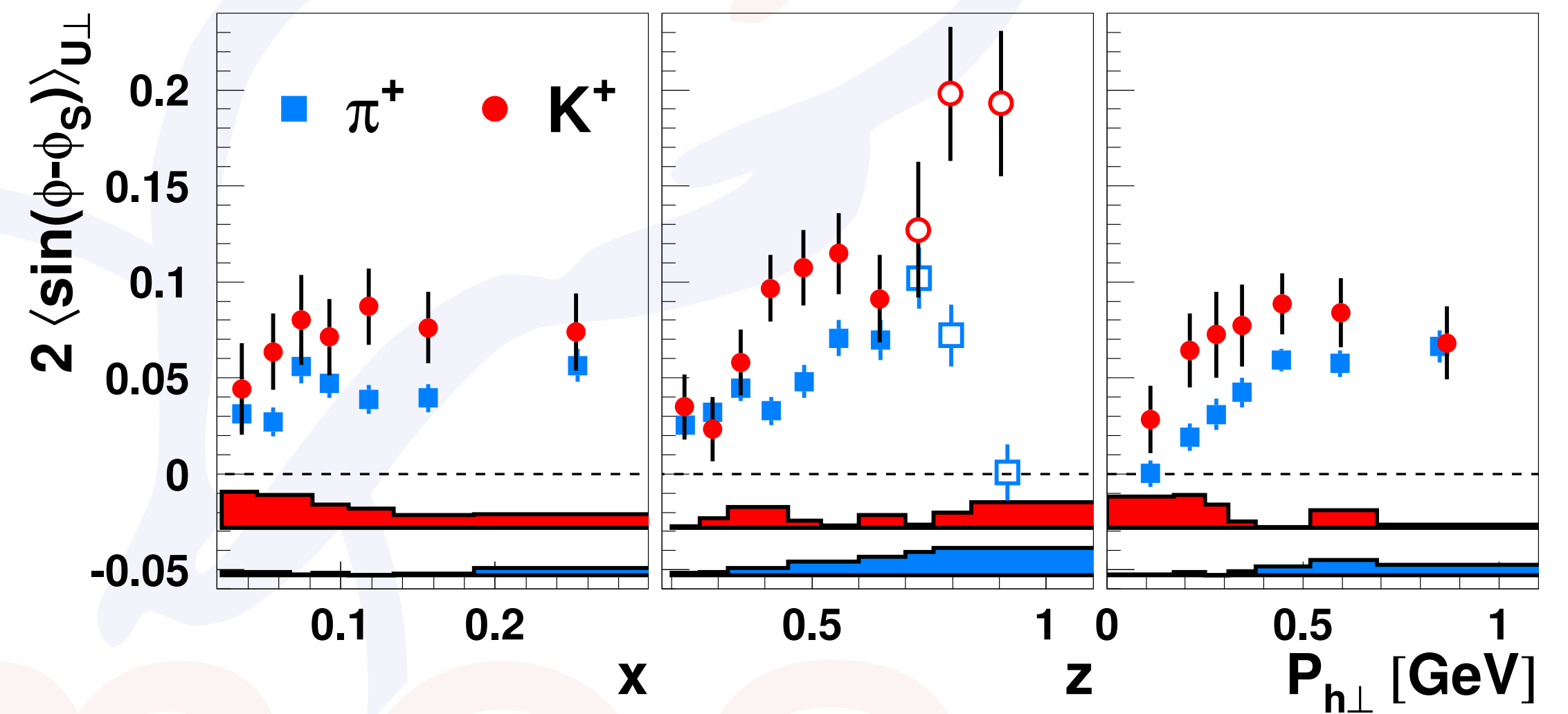
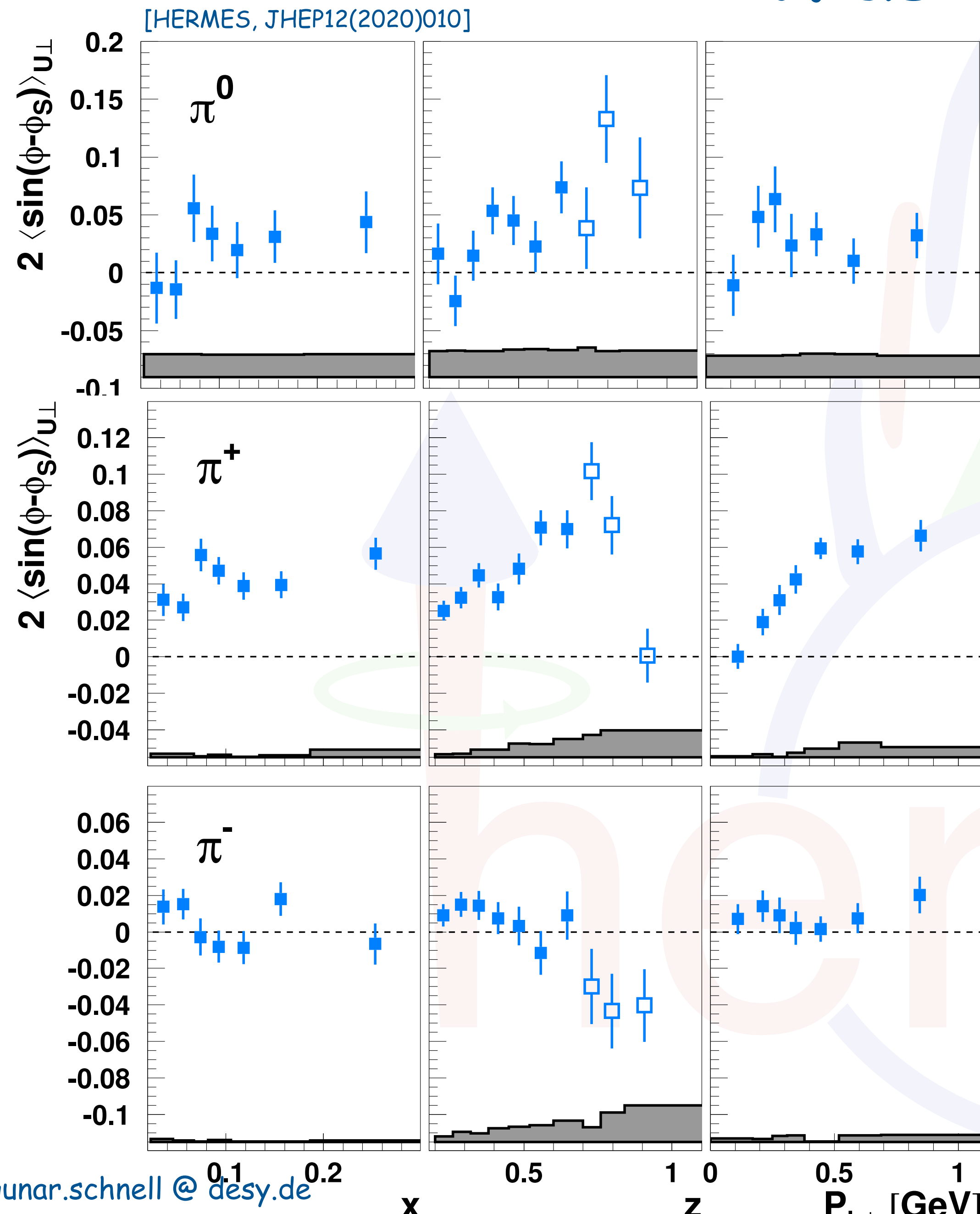
$$-f_{1T}^{\perp q} \sim \kappa^q$$

$$\kappa^u = 1.67$$

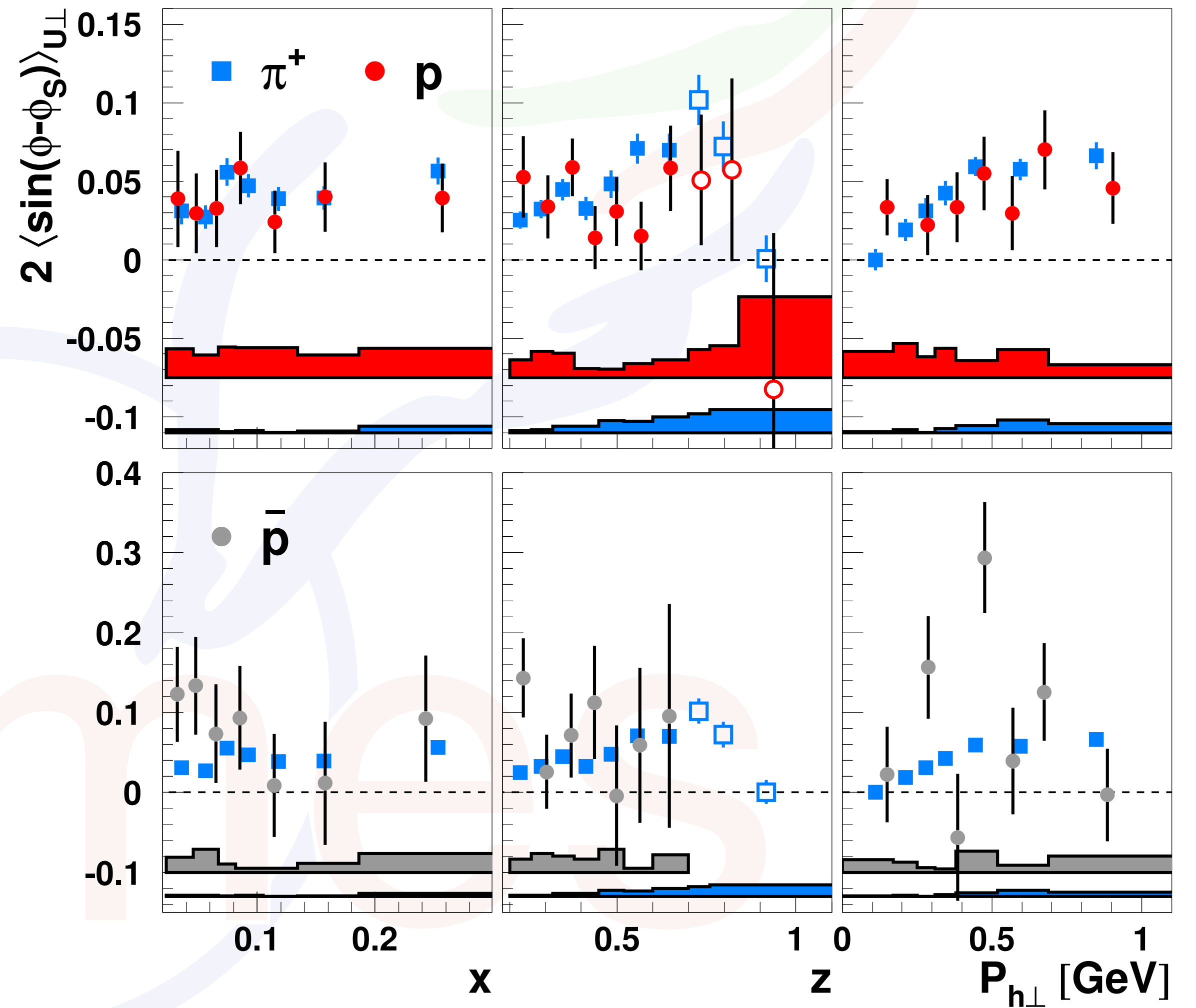
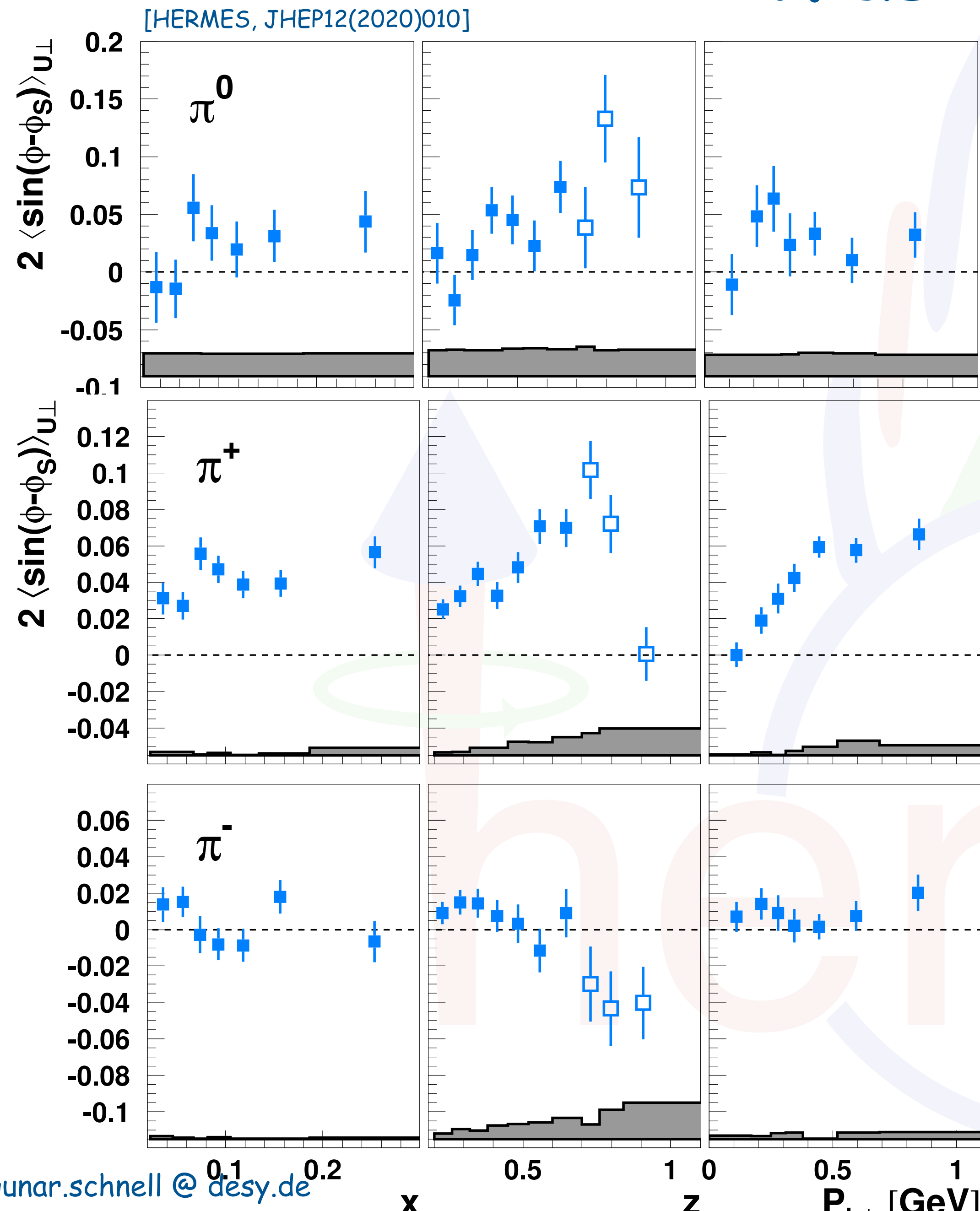
$$\kappa^d = -2.03$$

[M. Burkardt, PRD66 (2002) 014005]

Was Collins then right about Sivers?



Was Collins then right about Sivers?



Azimuthal single- and double-spin asymmetries in semi-inclusive deep-inelastic lepton scattering by transversely polarized protons



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¹Physics Division, Argonne National Laboratory, Argonne, Illinois 60439-4843, U.S.A.

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⁸Joint Institute for Nuclear Research, 141980 Dubna, Russia

^aDeceased.

Azimuthal modulation	Significant non-vanishing Fourier amplitude						
	π^+	π^-	K^+	K^-	p	π^0	\bar{p}
$\sin(\phi + \phi_S)$ [Collins]	✓	✓	✓		✓		
$\sin(\phi - \phi_S)$ [Sivers]	✓		✓	✓	✓	(✓)	✓
$\sin(3\phi - \phi_S)$ [Pretzelosity]							
$\sin(\phi_S)$	(✓)	✓		✓			
$\sin(2\phi - \phi_S)$							(✓)
$\sin(2\phi + \phi_S)$			✓				
$\cos(\phi - \phi_S)$ [Worm-gear]	✓	(✓)	(✓)				
$\cos(\phi + \phi_S)$							
$\cos(\phi_S)$			✓				
$\cos(2\phi - \phi_S)$							

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JHEP12(2020)010

Azimuthal modulation		Significant non-vanishing Fourier amplitude						
		π^+	π^-	K^+	K^-	p	π^0	\bar{p}
$\sin(\phi + \phi_S)$	[Collins]	✓	✓	✓		✓		
$\sin(\phi - \phi_S)$	[Sivers]	✓		✓	✓	✓	(✓)	✓
$\sin(3\phi - \phi_S)$	[Pretzelosity]							
$\sin(\phi_S)$		(✓)	✓			✓		
$\sin(2\phi - \phi_S)$								(✓)
$\sin(2\phi + \phi_S)$				✓				
$\cos(\phi - \phi_S)$	[Worm-gear]	✓	(✓)	(✓)				
$\cos(\phi + \phi_S)$								
$\cos(\phi_S)$				✓				
$\cos(2\phi - \phi_S)$								

90%

95%

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JHEP12(2020)010

3d

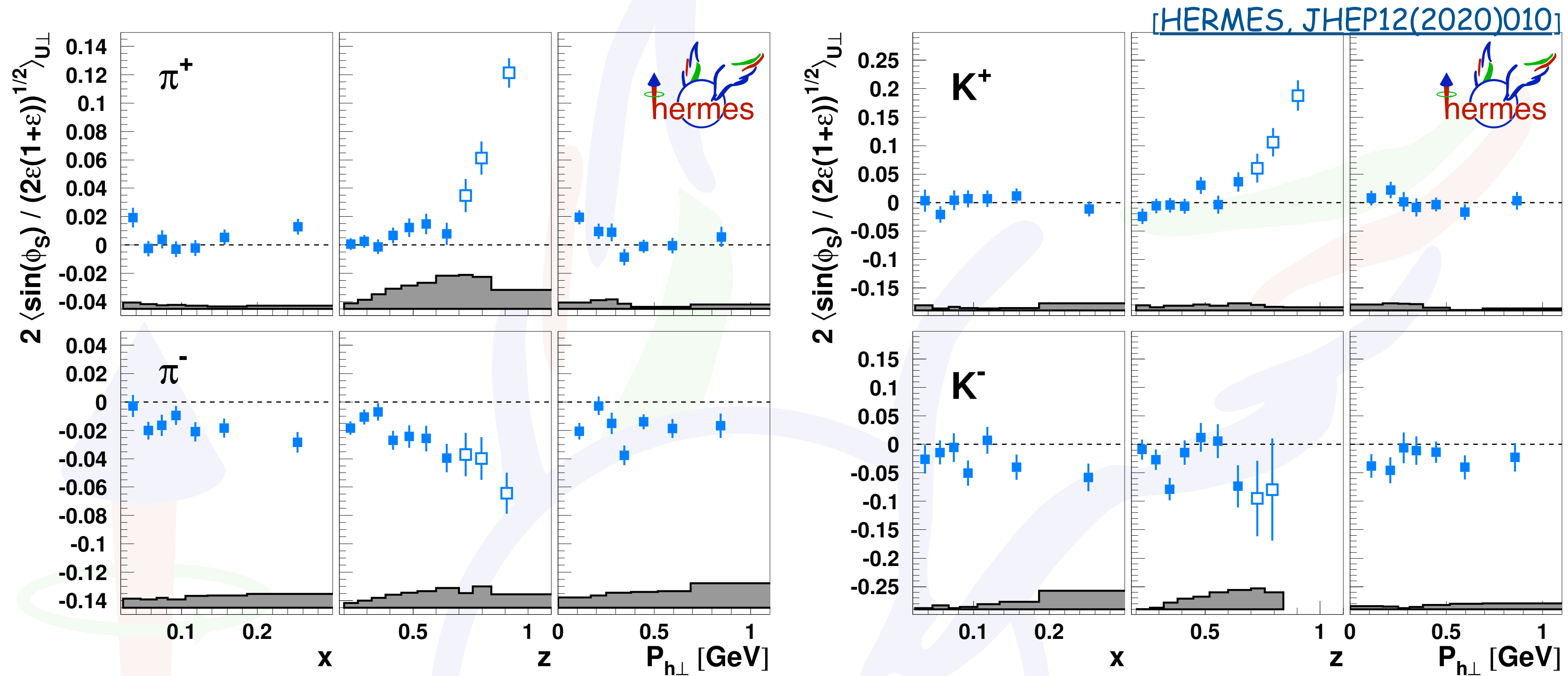
1d

Azimuthal modulation	Significant non-vanishing Fourier amplitude						
	π^+	π^-	K^+	K^-	p	π^0	\bar{p}
$\sin(\phi + \phi_S)$ [Collins]	✓	✓	✓		✓		
$\sin(\phi - \phi_S)$ [Sivers]	✓		✓	✓	✓	(✓)	✓
$\sin(3\phi - \phi_S)$ [Pretzelosity]							
$\sin(\phi_S)$	(✓)	✓					
$\sin(2\phi - \phi_S)$							(✓)
$\sin(2\phi + \phi_S)$			✓				
$\cos(\phi - \phi_S)$ [Worm-gear]	✓	(✓)	(✓)				
$\cos(\phi + \phi_S)$							
$\cos(\phi_S)$			✓				
$\cos(2\phi - \phi_S)$							

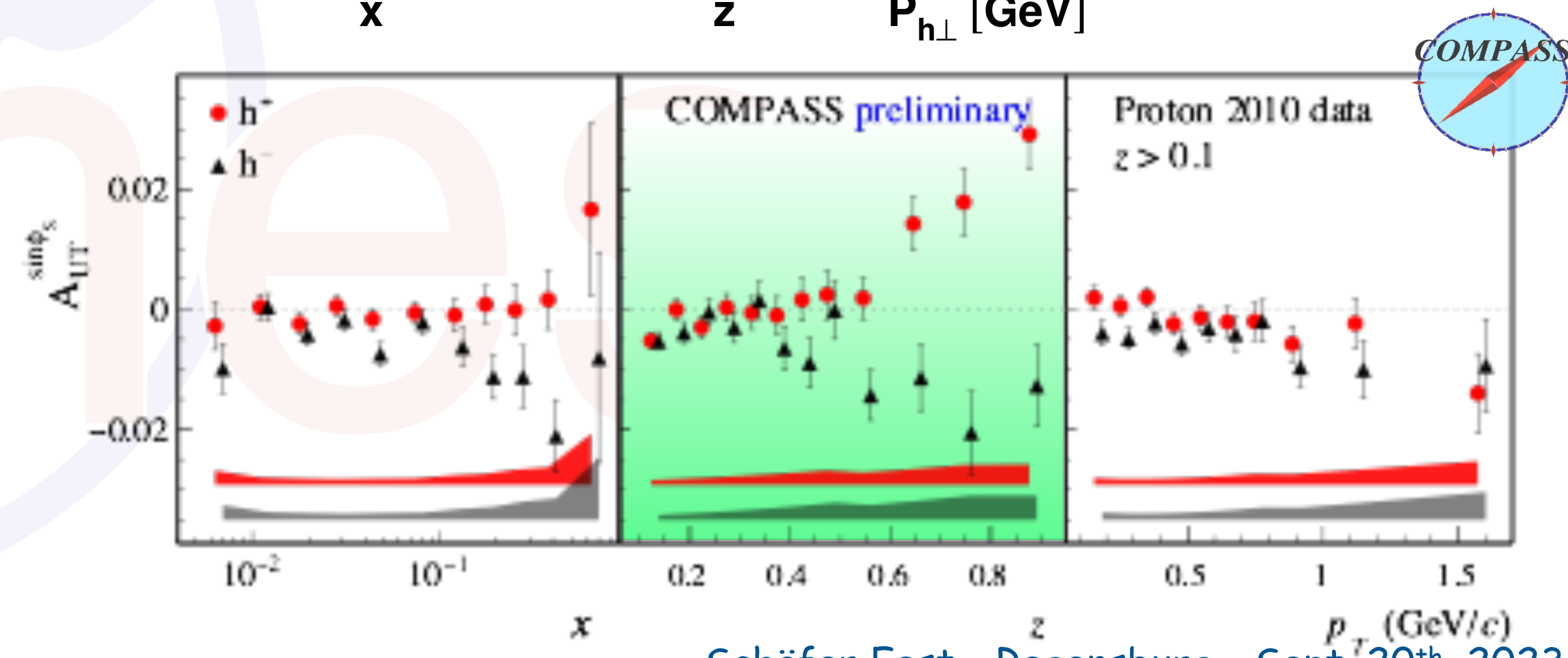
90%

95%

sub-leading twist!

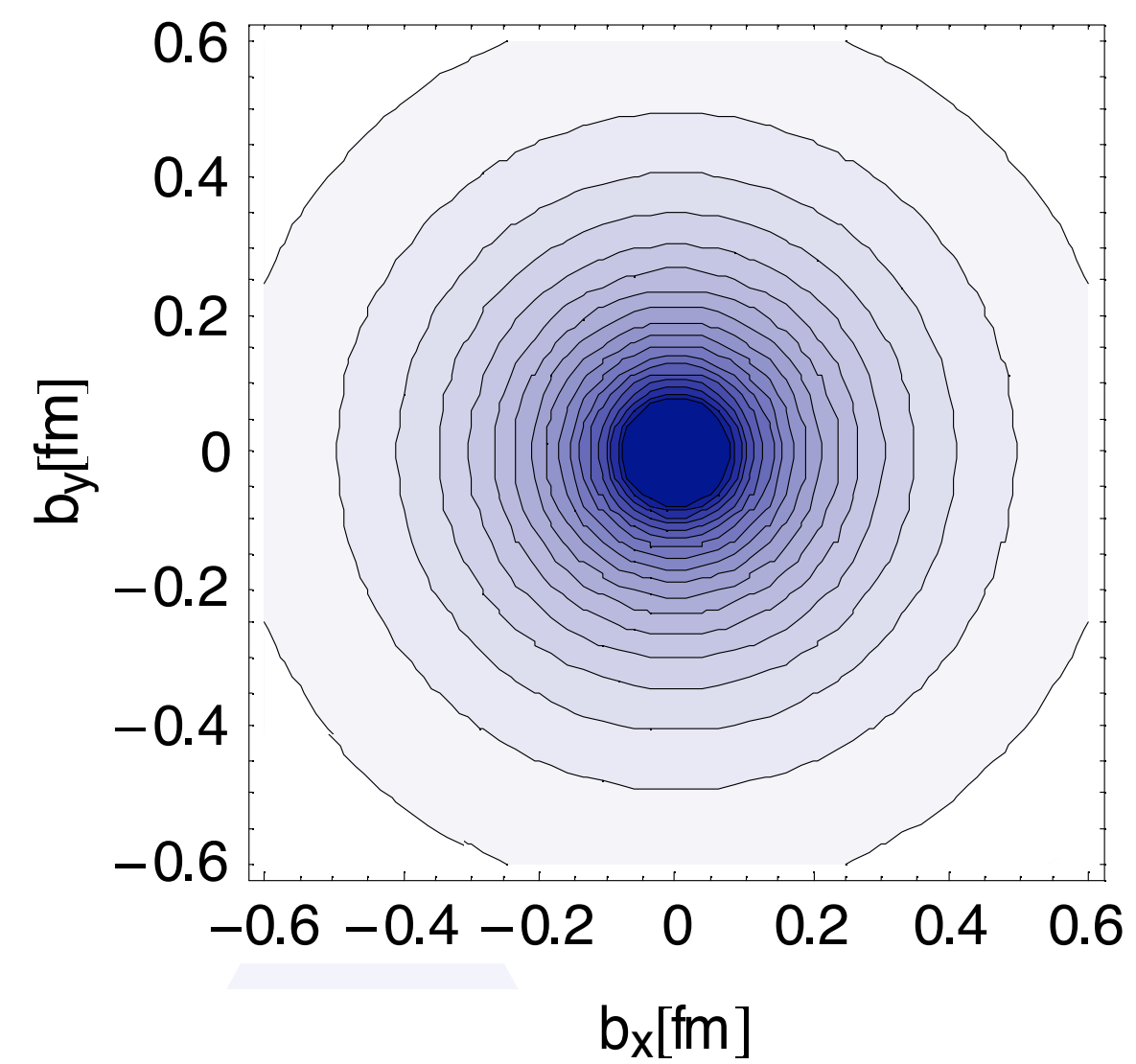


- clearly non-zero asymmetries
- opposite sign for pions (Collins-like behavior)
- striking z dependence and in particular magnitude
- similar observation at COMPASS



exclusive reactions

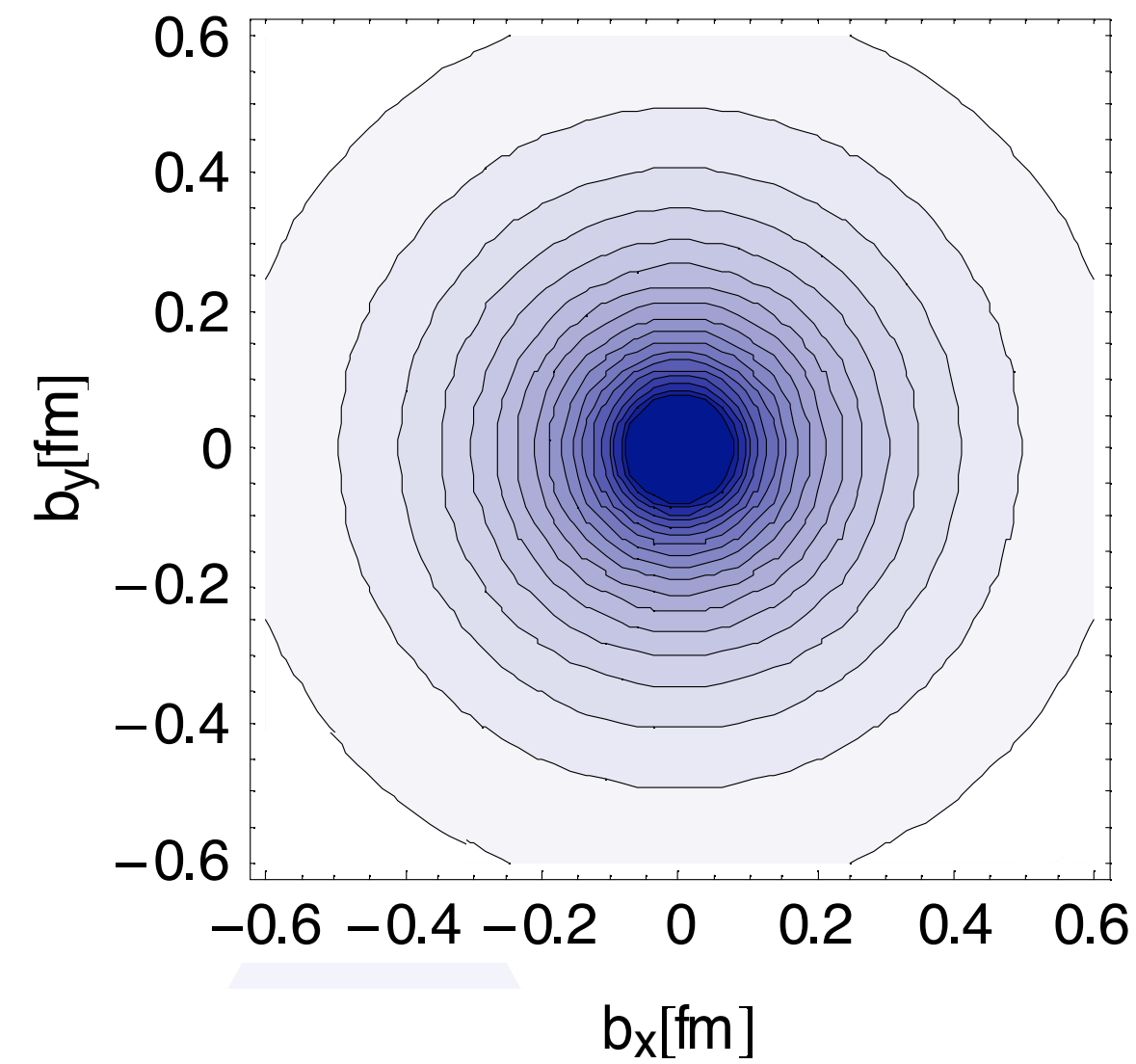
a complementary 3D picture of the nucleon



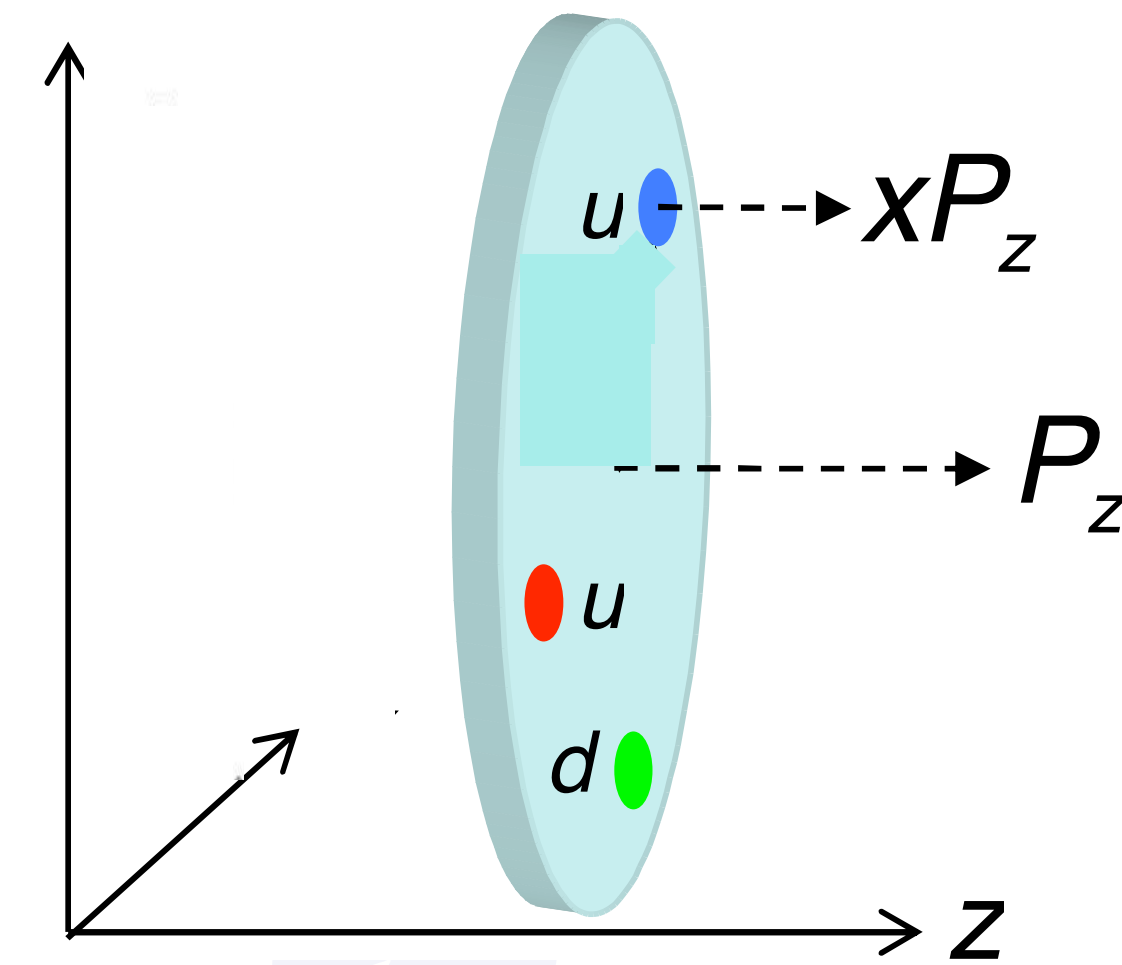
form factors: transverse
distribution
of partons

hermes

a complementary 3D picture of the nucleon



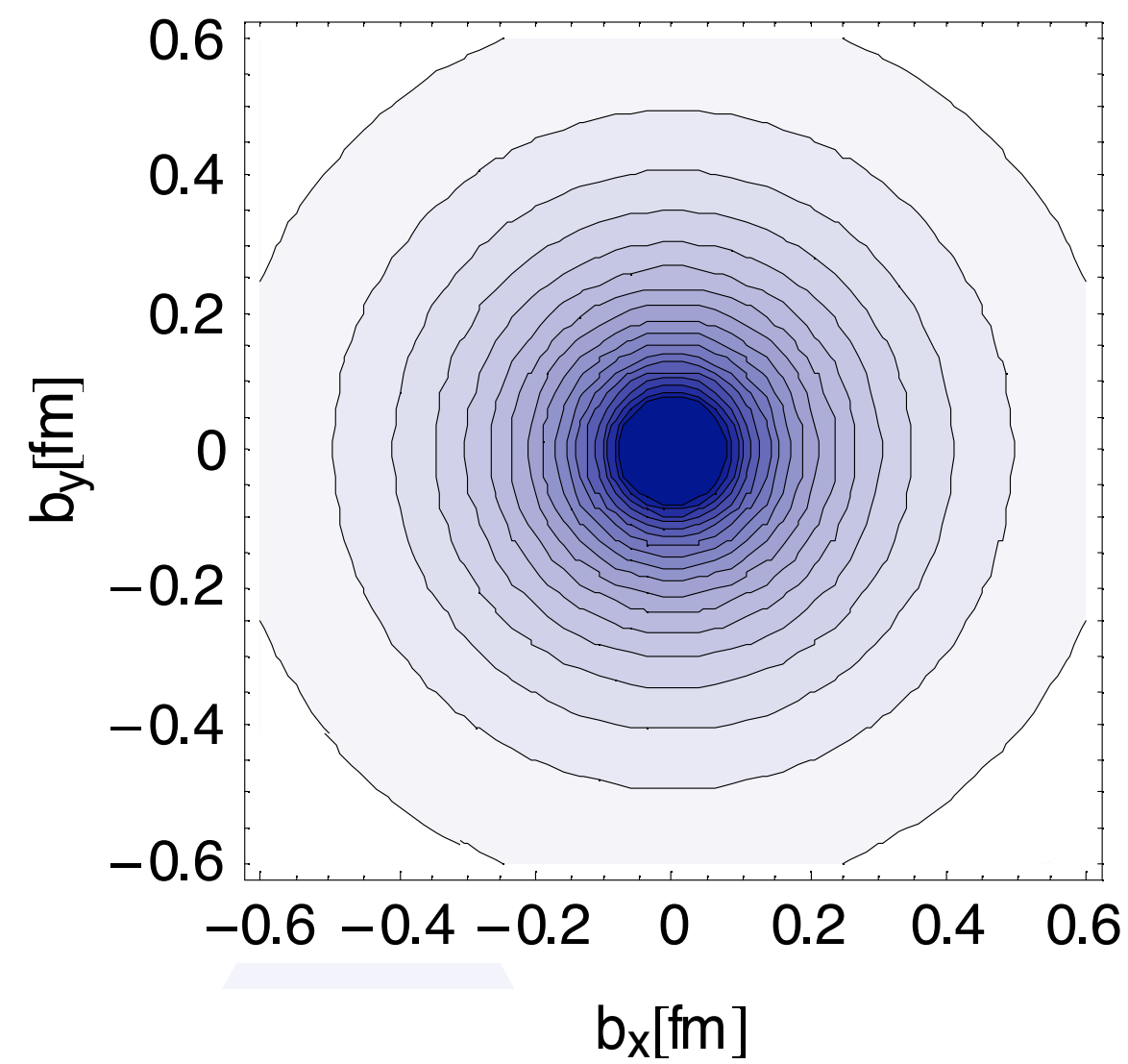
**form factors: transverse
distribution
of partons**



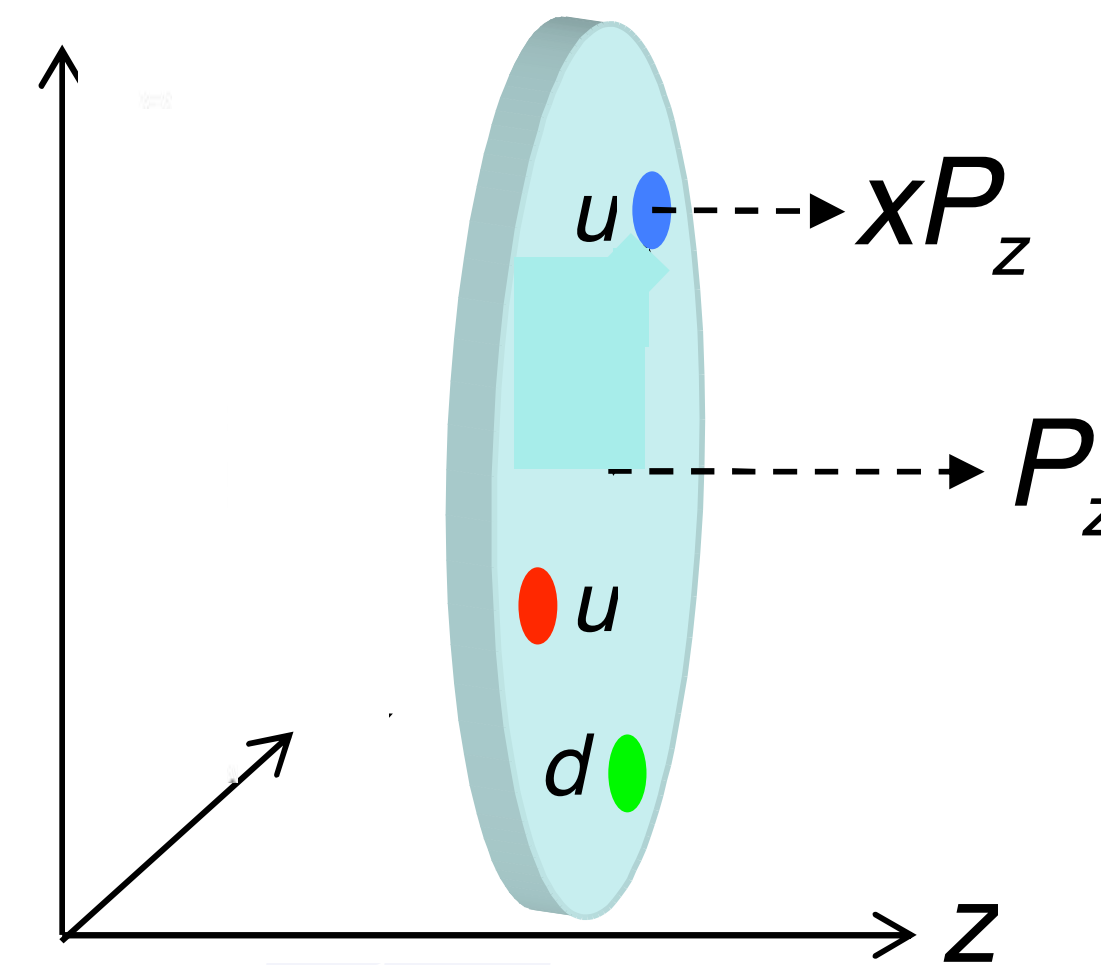
**parton distributions:
longitudinal momentum
of partons**

hermes

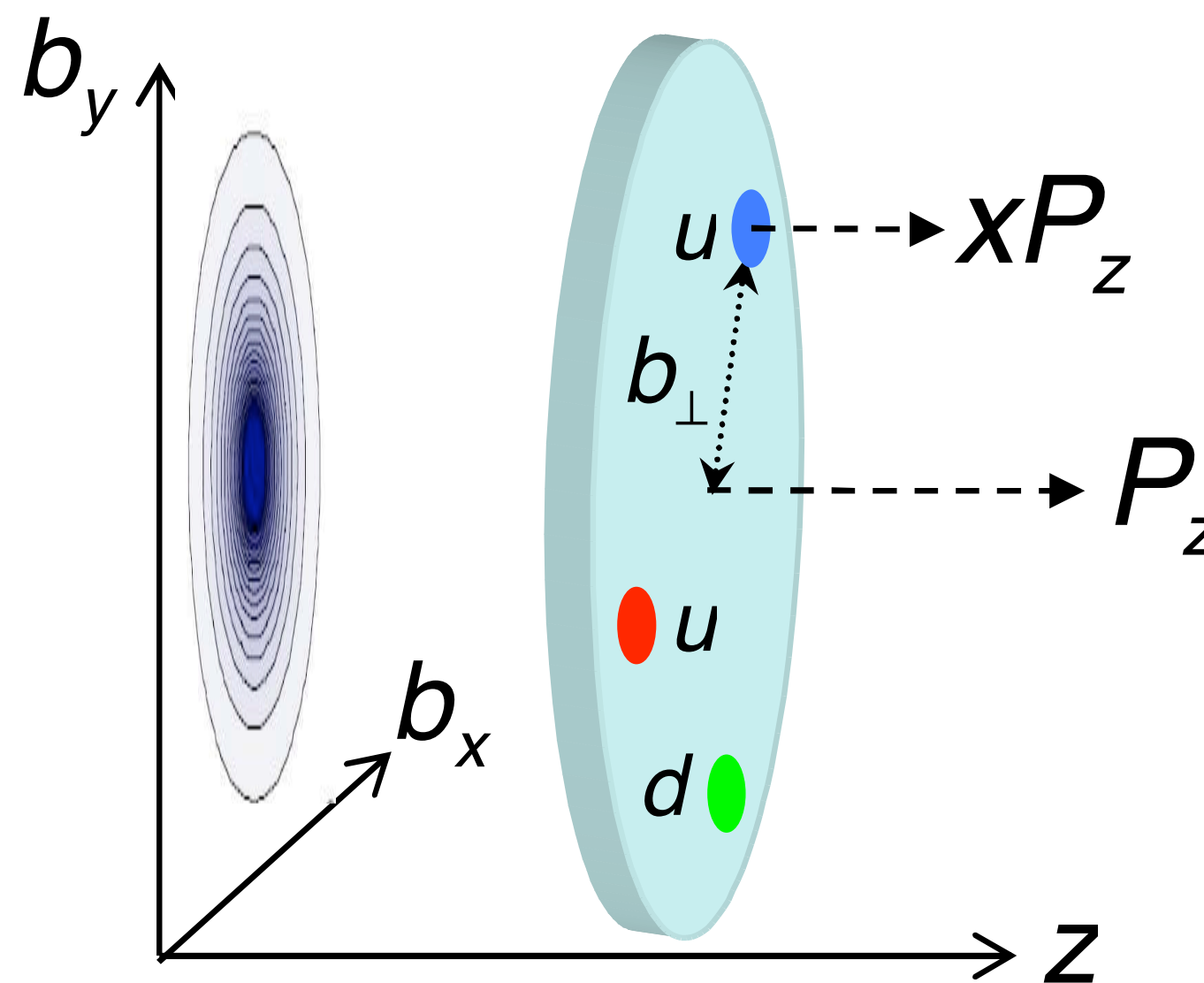
a complementary 3D picture of the nucleon



form factors: transverse distribution of partons



parton distributions: longitudinal momentum of partons

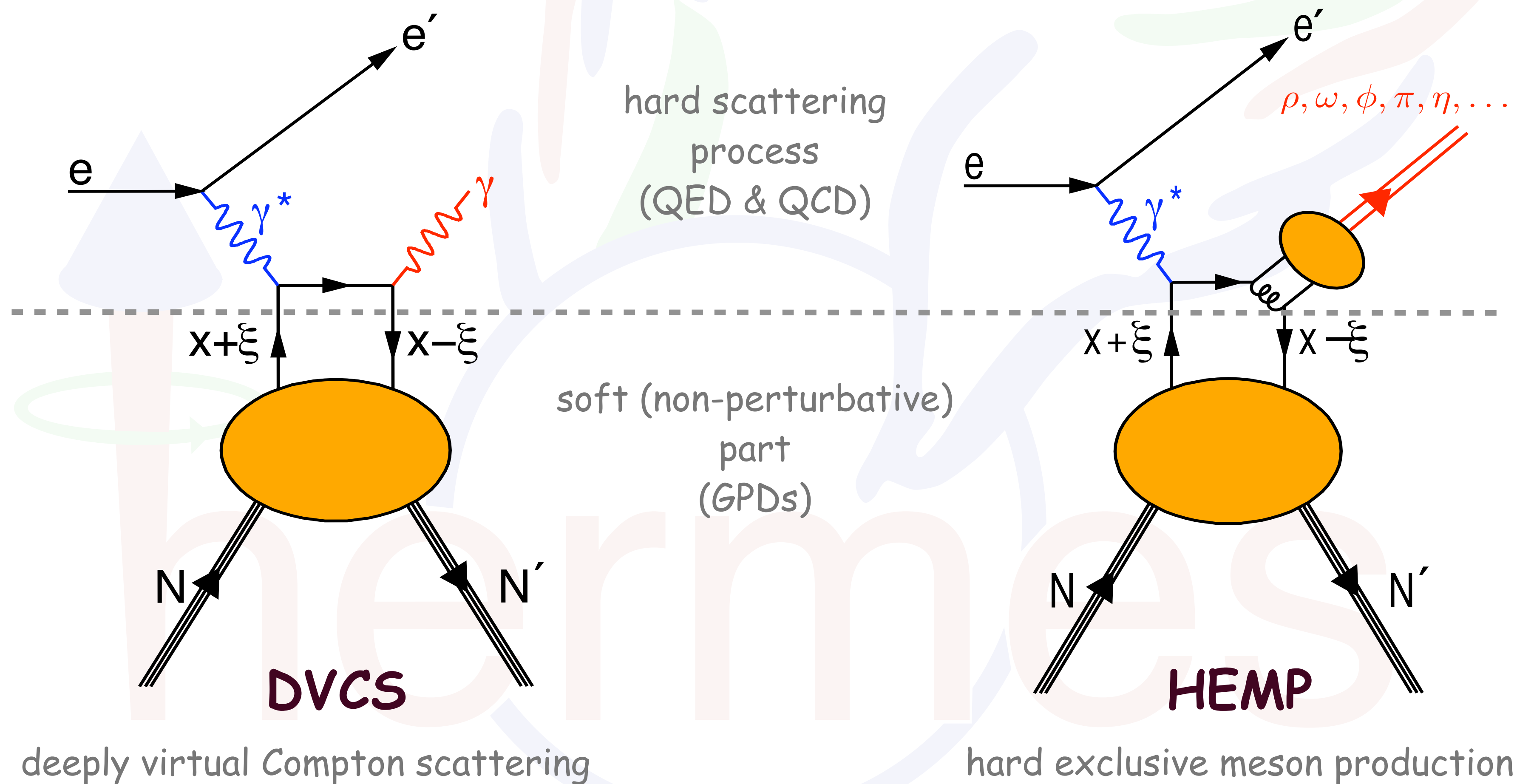


nucleon tomography

correlated info on transverse position and longitudinal momentum

GPDs in exclusive reactions

GPDs can be accessed through measurements of hard exclusive lepton-nucleon scattering processes.



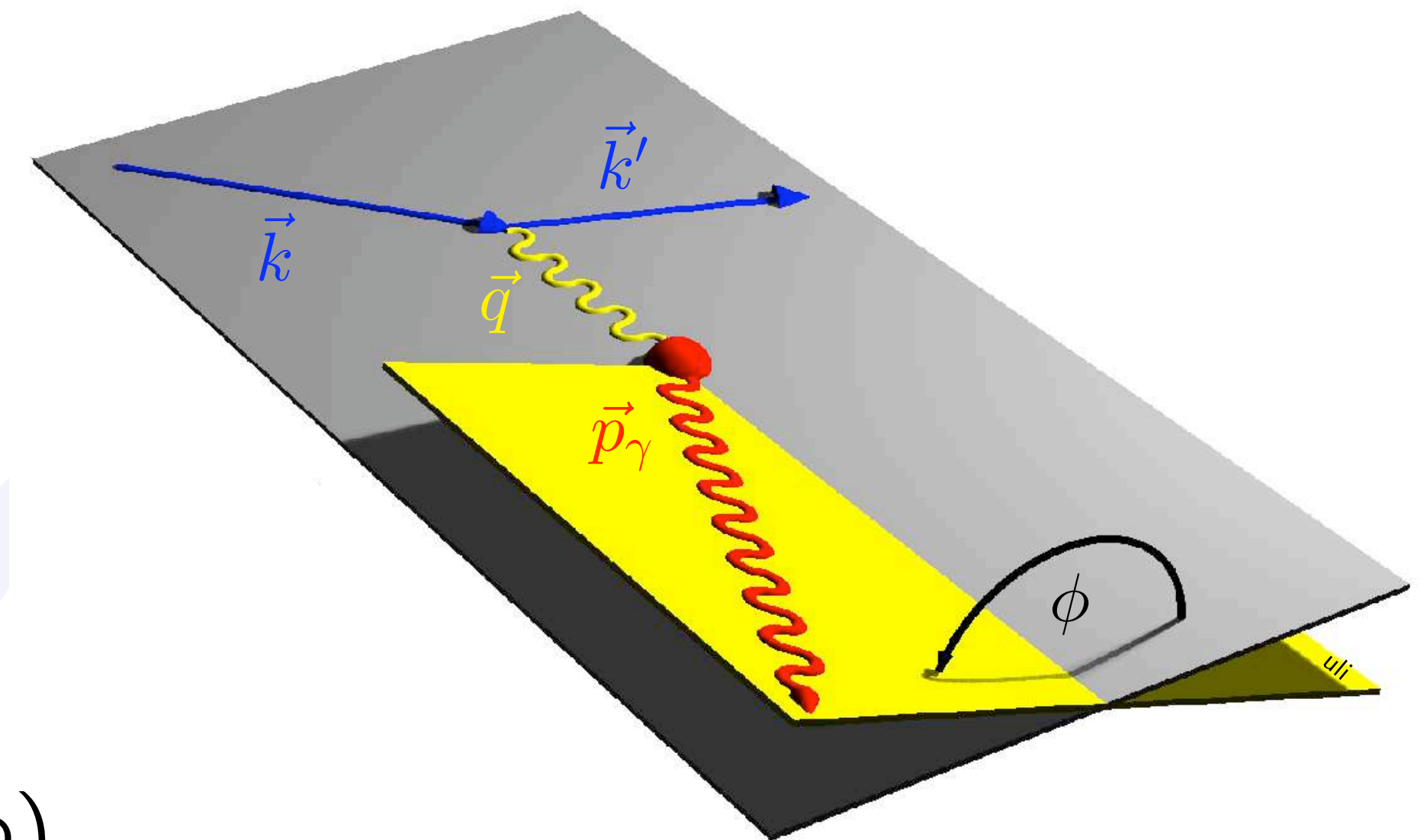
azimuthal dependences in DVCS/BH

- beam polarization P_B
- beam charge C_B
- here: unpolarized target

Fourier expansion for ϕ :

$$|\mathcal{T}_{\text{BH}}|^2 = \frac{K_{\text{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\text{BH}} \cos(n\phi)$$

calculable in QED
(using FF measurements)



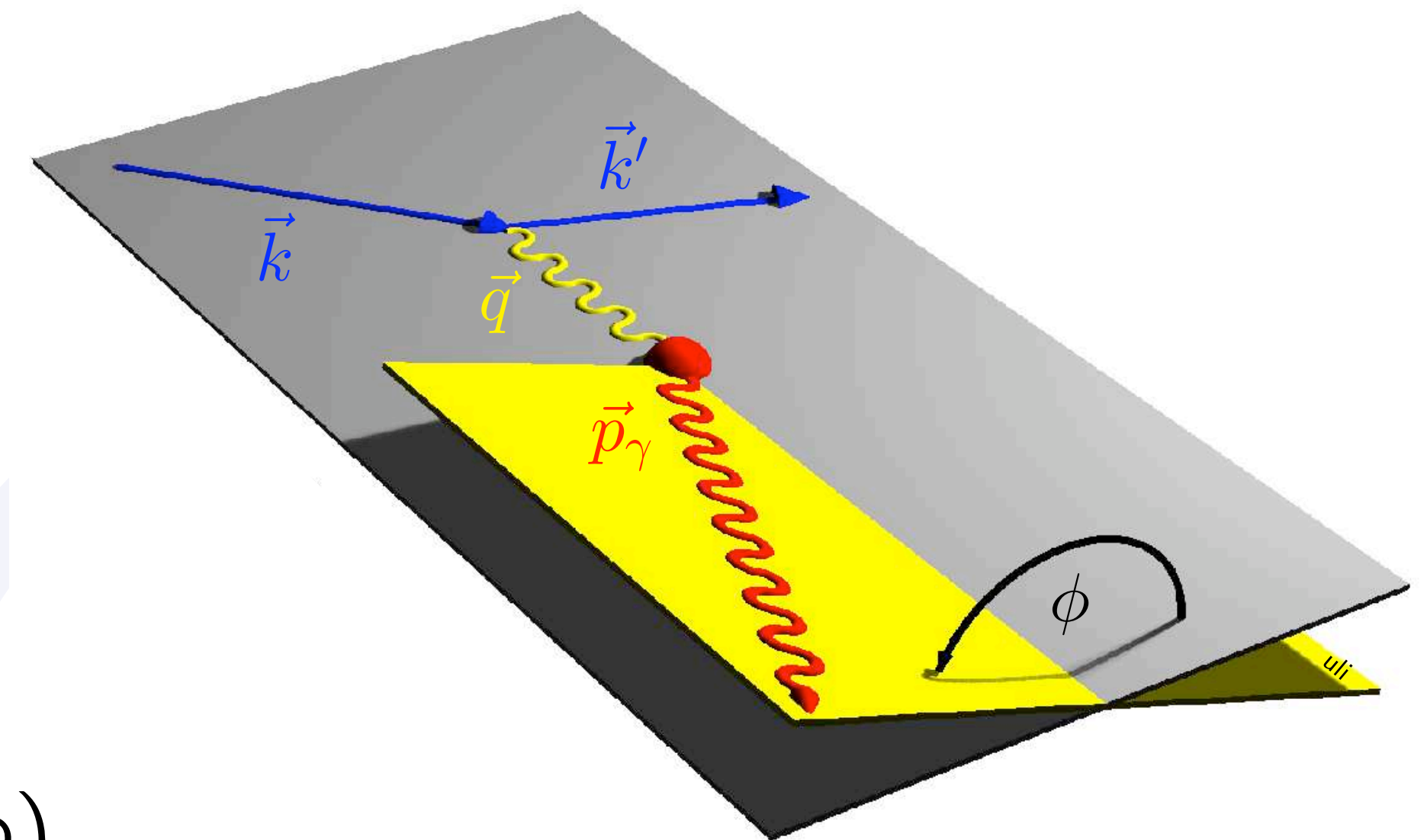
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$$|\mathcal{T}_{\text{DVCS}}|^2 = K_{\text{DVCS}} \left[\sum_{n=0}^2 c_n^{\text{DVCS}} \cos(n\phi) + P_B \sum_{n=1}^1 s_n^{\text{DVCS}} \sin(n\phi) \right]$$



azimuthal dependences in DVCS/BH

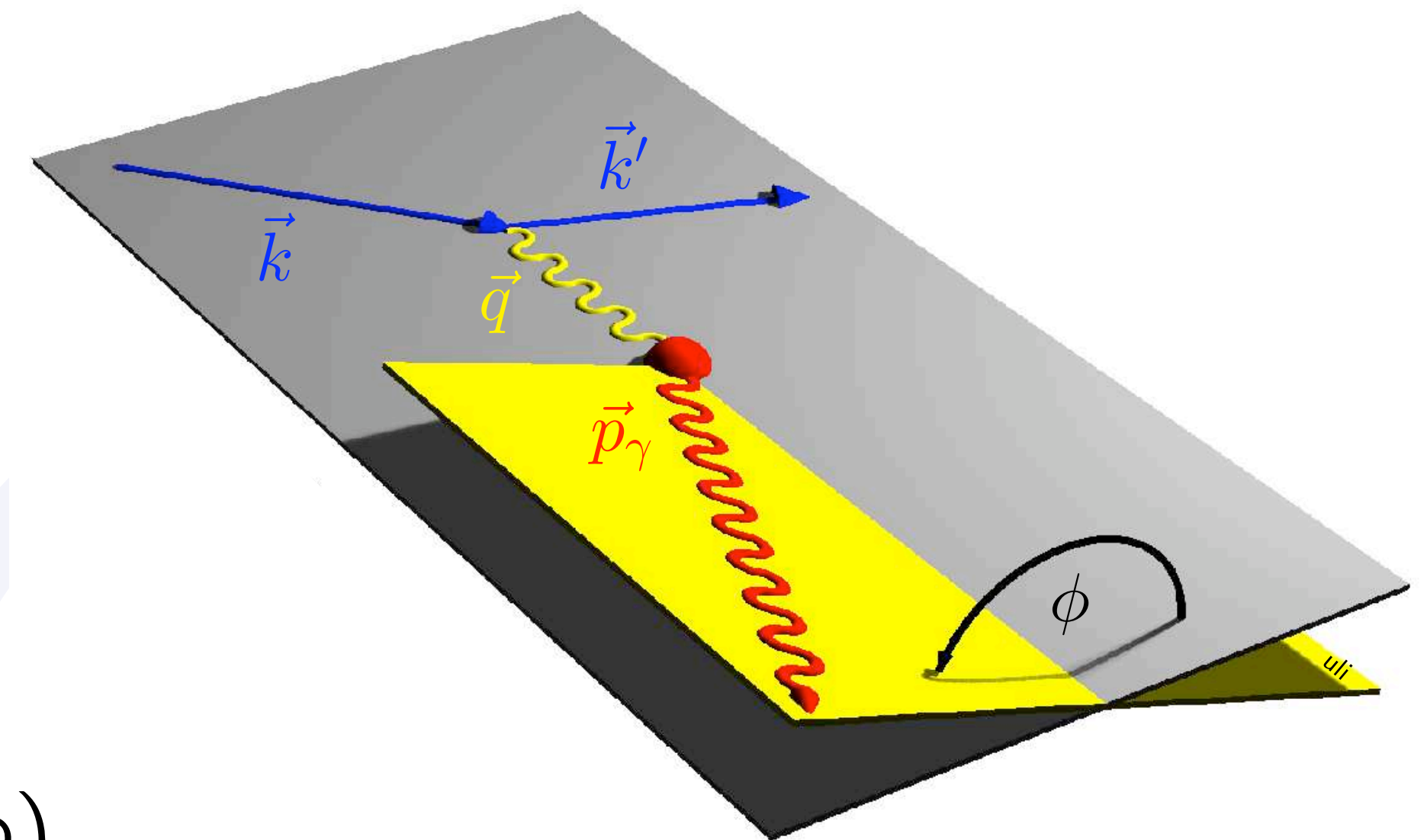
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$$\mathcal{I} = \frac{C_B K_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[\sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + P_B \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right]$$



azimuthal dependences in DVCS/BH

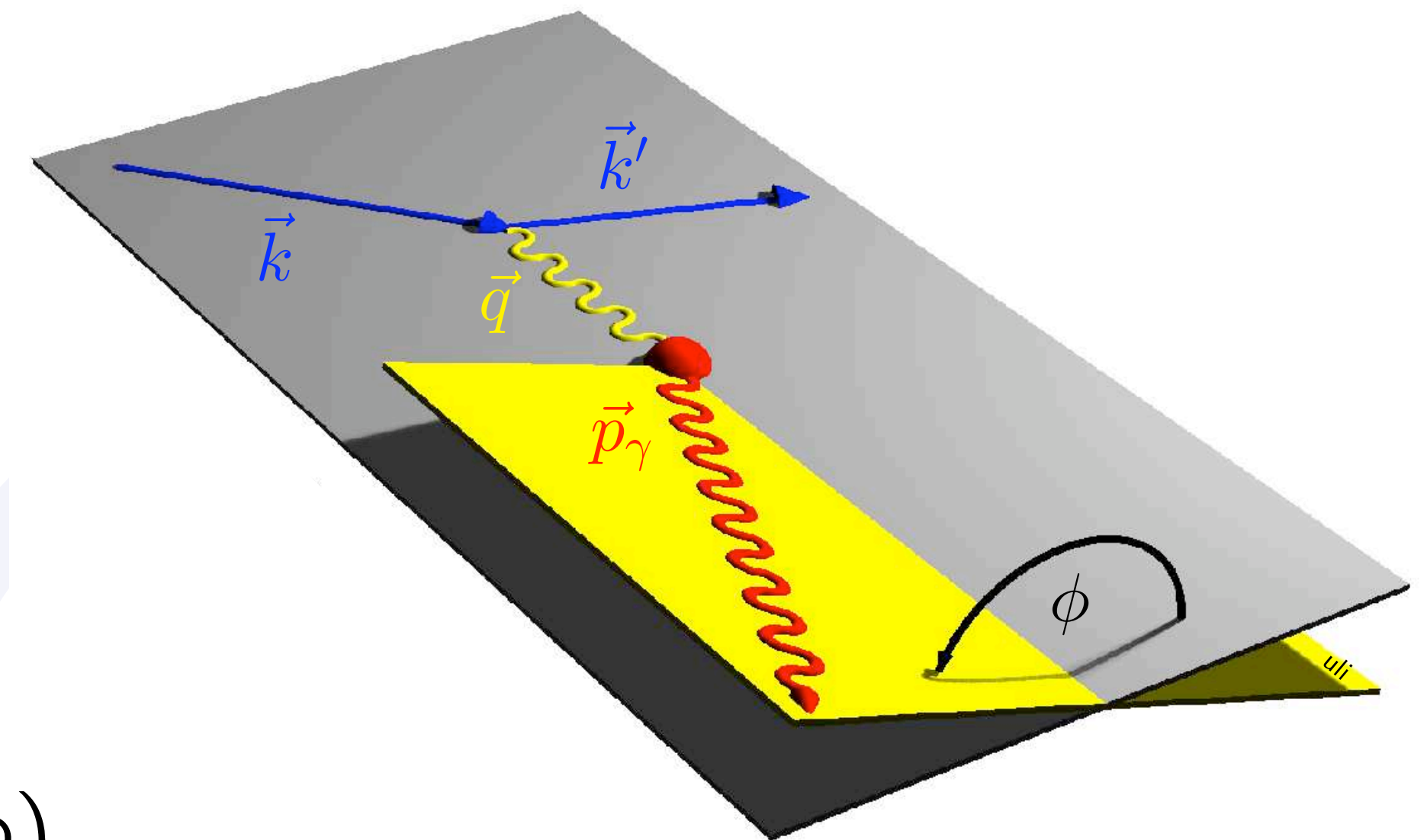
- beam polarization P_B
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Fourier expansion for ϕ :

$$|\mathcal{T}_{\text{BH}}|^2 = \frac{K_{\text{BH}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \sum_{n=0}^2 c_n^{\text{BH}} \cos(n\phi)$$

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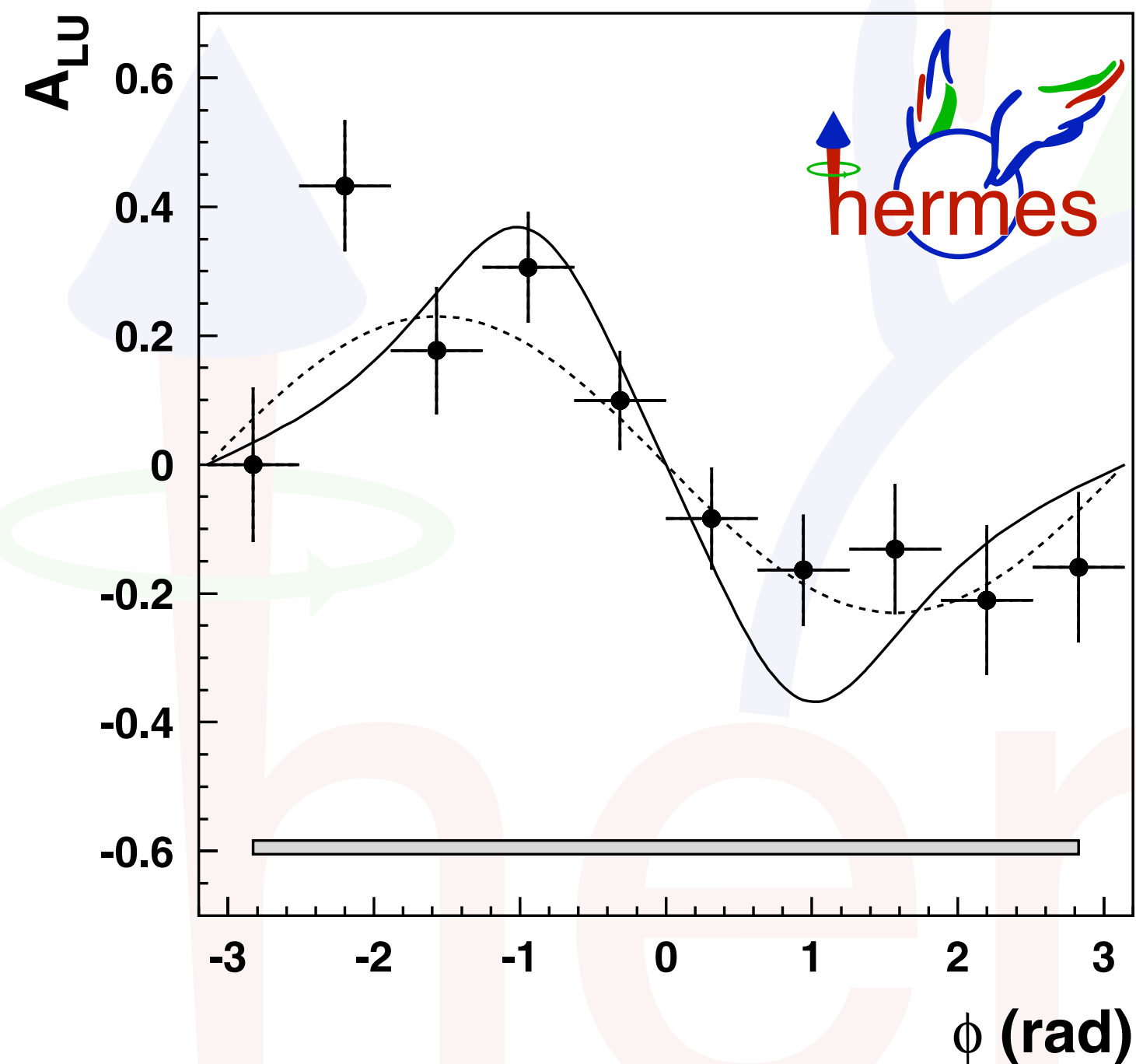
$$\mathcal{I} = \frac{C_B K_{\mathcal{I}}}{\mathcal{P}_1(\phi)\mathcal{P}_2(\phi)} \left[\sum_{n=0}^3 c_n^{\mathcal{I}} \cos(n\phi) + P_B \sum_{n=1}^2 s_n^{\mathcal{I}} \sin(n\phi) \right]$$



bilinear ("DVCS") or linear in GPDs

again a sine modulation ...

- exploit HERA beam-helicity reversal for beam-spin asymmetry
- Bethe Heitler has no beam-spin asymmetry -> DVCS!!!

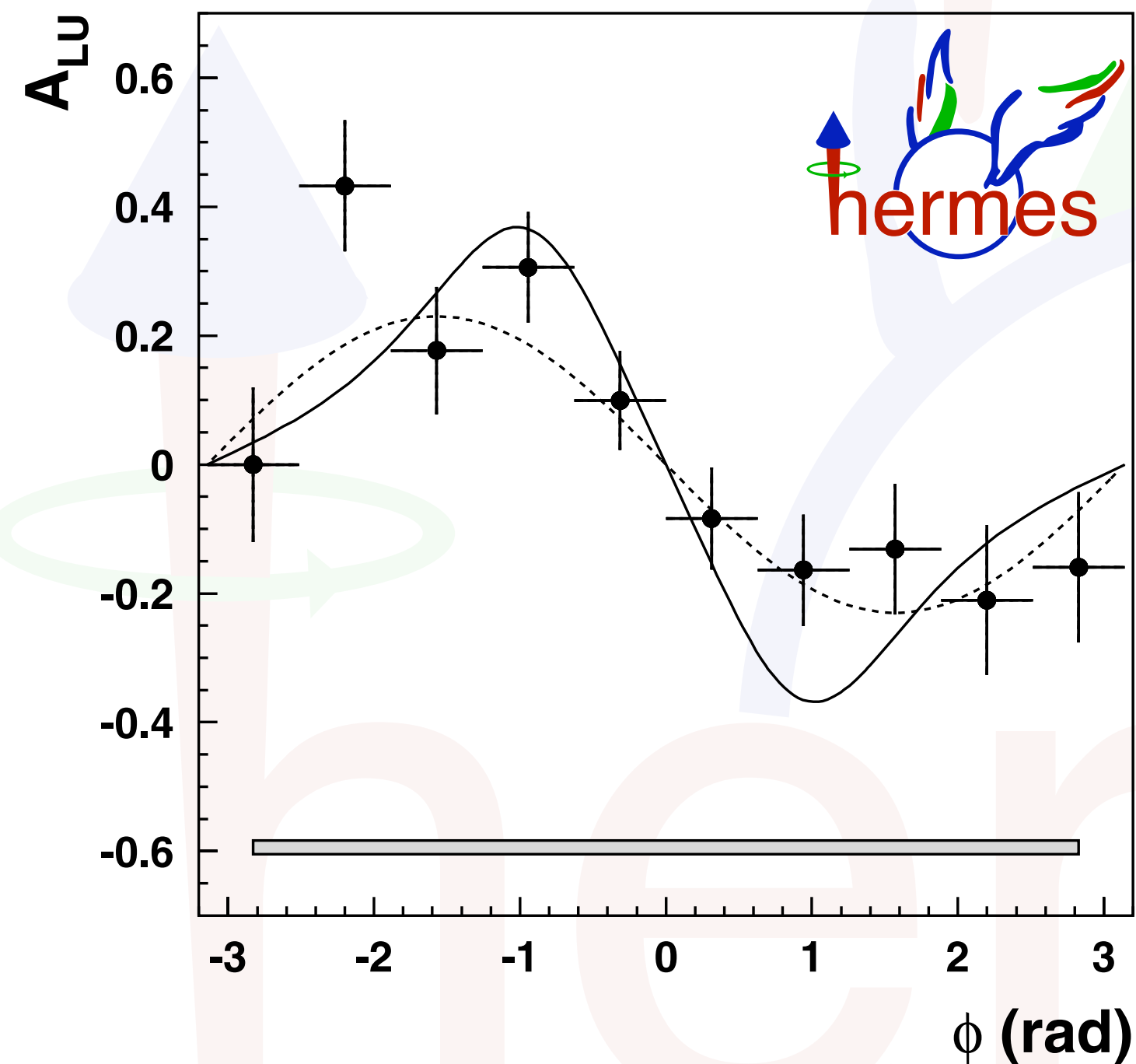


$$A_{LU}(\phi) = \frac{1}{\langle |P_l| \rangle} \frac{N^+(\phi) - N^-(\phi)}{N^+(\phi) + N^-(\phi)}$$

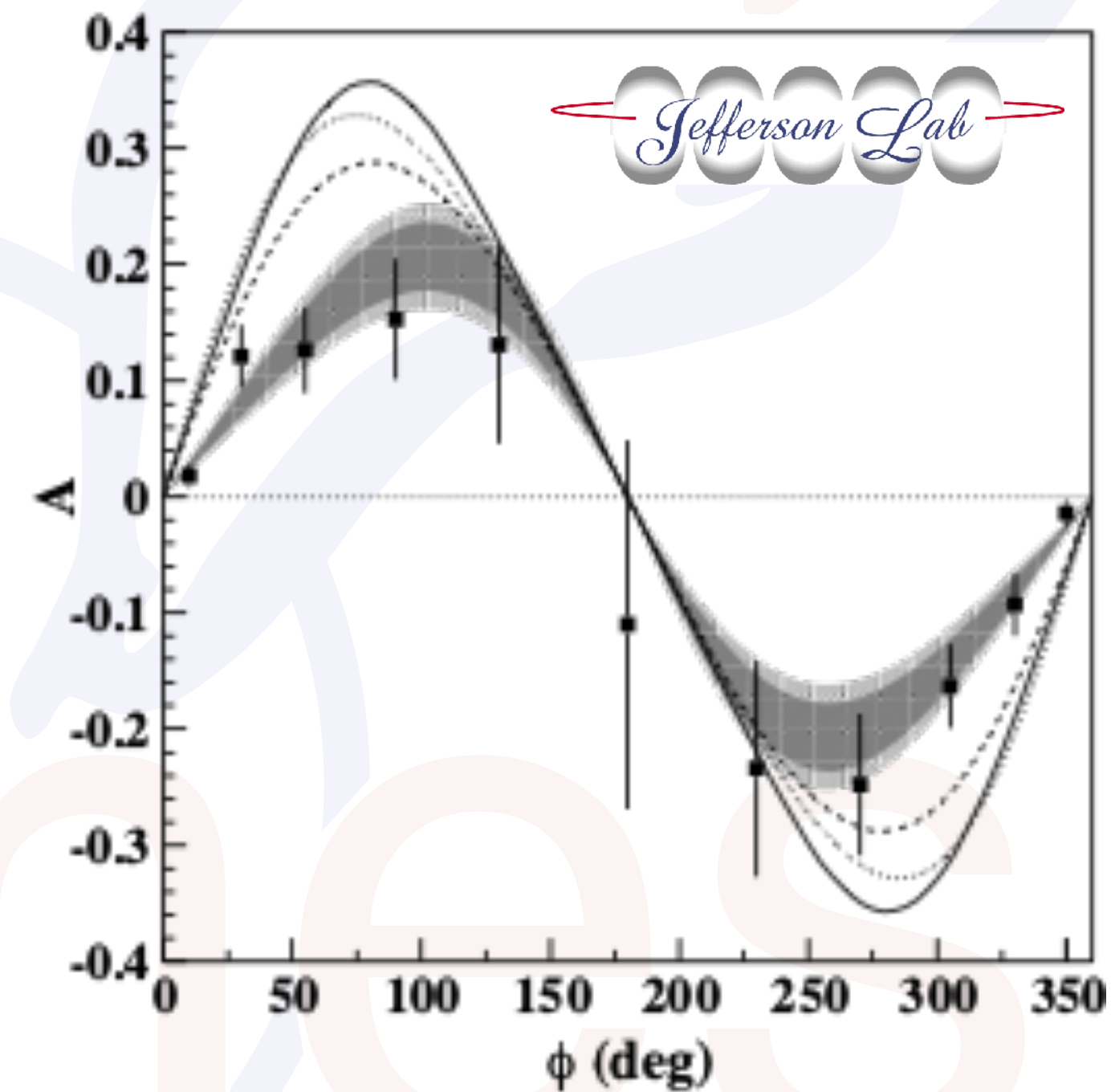
HERMES, PRL 87 (2001) 182001

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HERMES, PRL 87 (2001) 182001

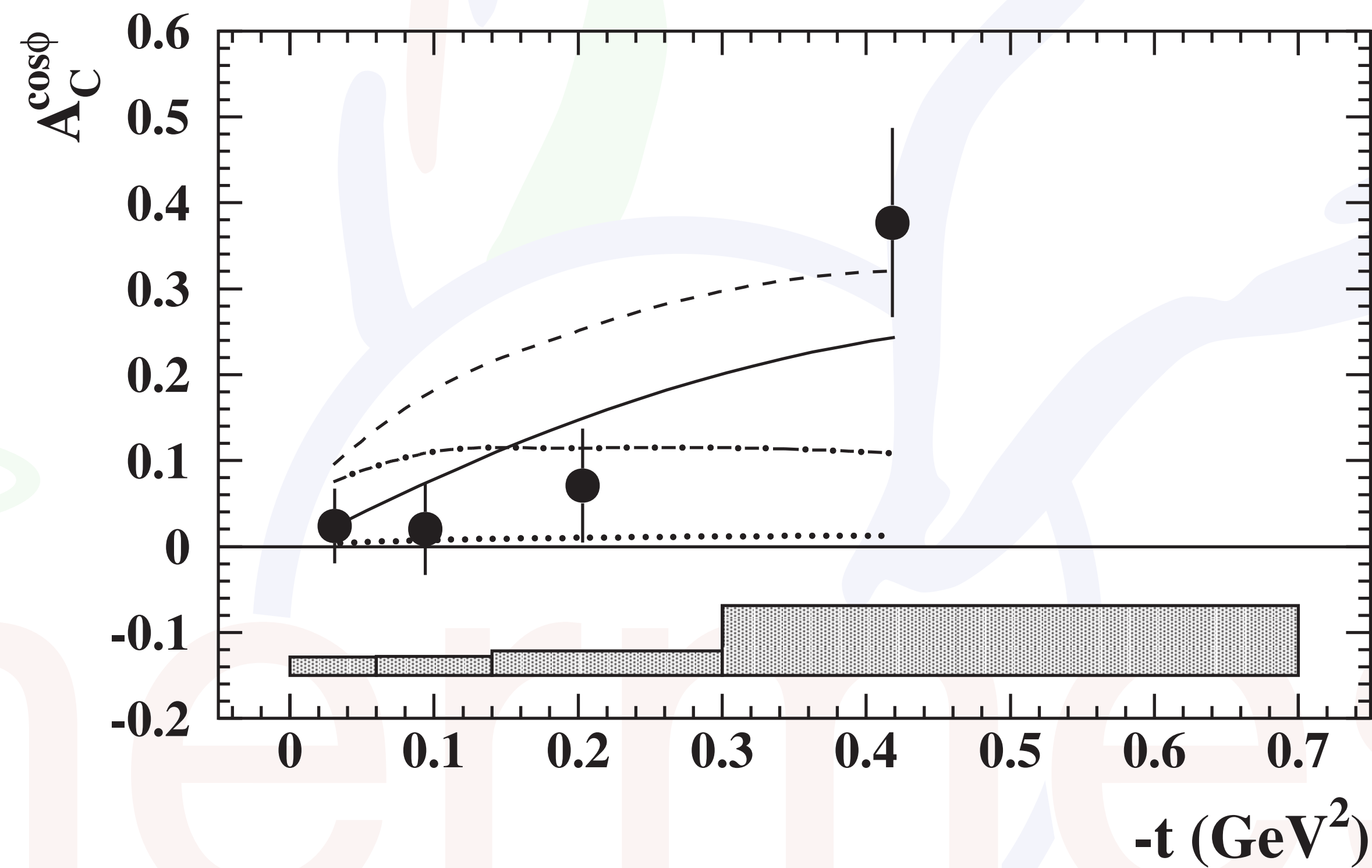


CLAS, PRL 87 (2001) 182002

still keeping "first" in the title on arXiv :-)

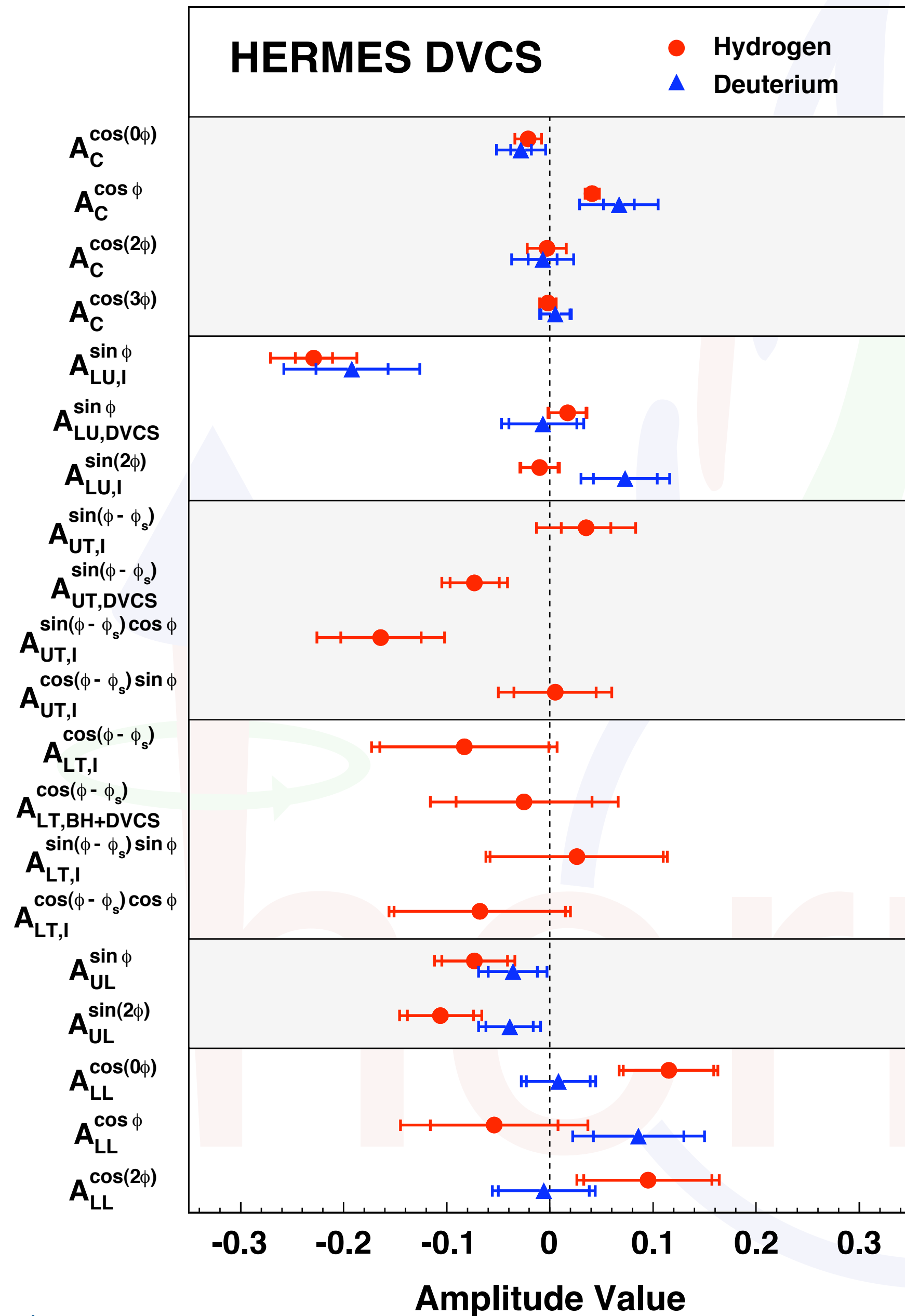
... beam-charge asymmetry ...

- unique to HERA:
$$\frac{d\sigma(e^+) - d\sigma(e^-)}{d\sigma(e^+) + d\sigma(e^-)}$$



- sensitive to the real part of the Compton form factor \mathcal{H}

... a wealth of azimuthal amplitudes



Beam-charge asymmetry:

GPD H

PRD 75 (2007) 011103

NPB 829 (2010) 1

JHEP 11 (2009) 083

PRC 81 (2010) 035202

Beam-helicity asymmetry:

GPD H

PRL 87 (2001) 182001

JHEP 07 (2012) 032

Transverse target spin asymmetries:

GPD E from proton target

JHEP 06 (2008) 066

PLB 704 (2011) 15

Longitudinal target spin asymmetry:

GPD \tilde{H}

JHEP 06 (2010) 019

Double-spin asymmetry:

GPD \tilde{H}

NPB 842 (2011) 265

June 30th, 2007 (around midnight)



June 30th, 2007 (around midnight)



... this was not the end

- data taking finished in 2007, but work continued ...



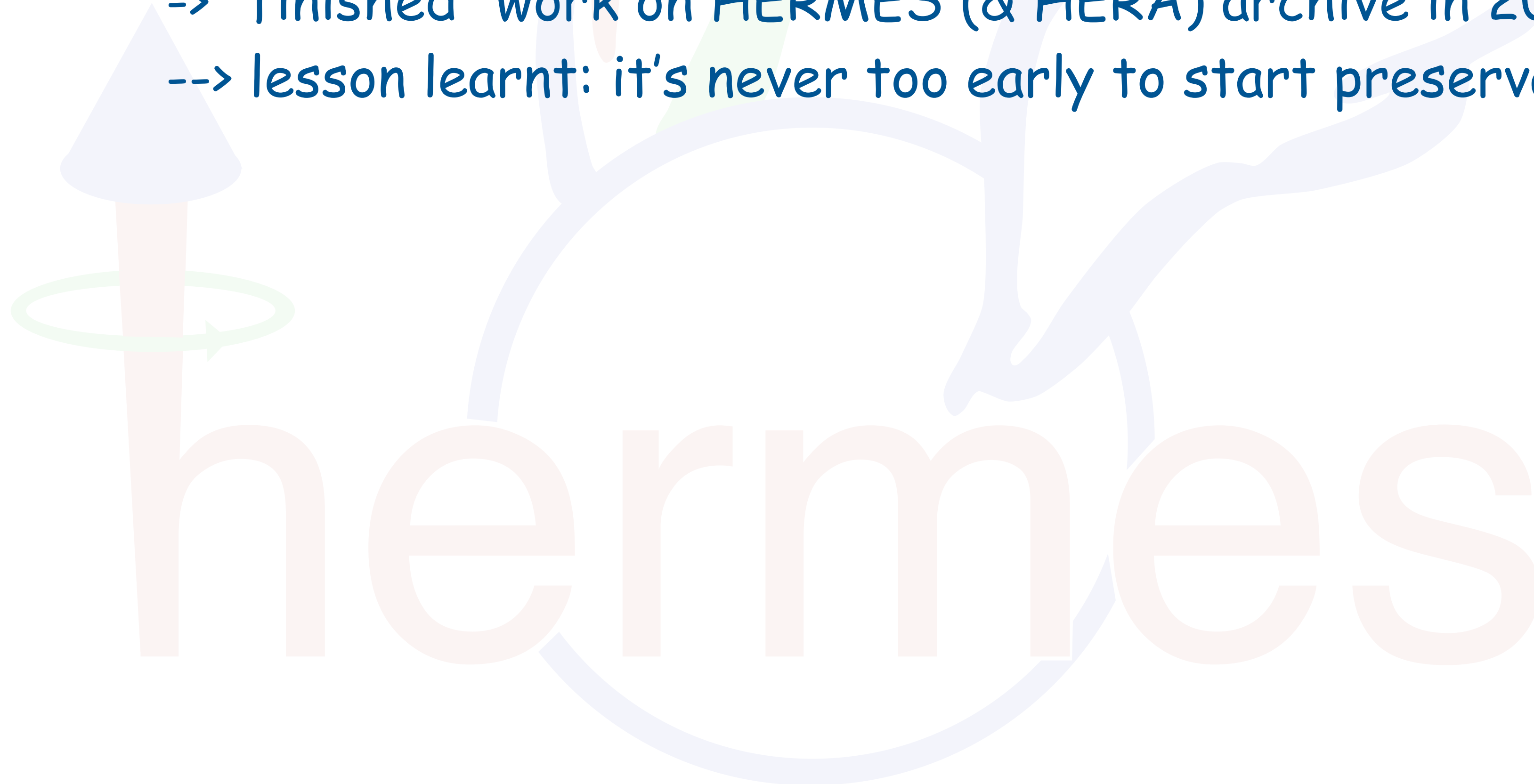
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- final surveys, calibrations, data production



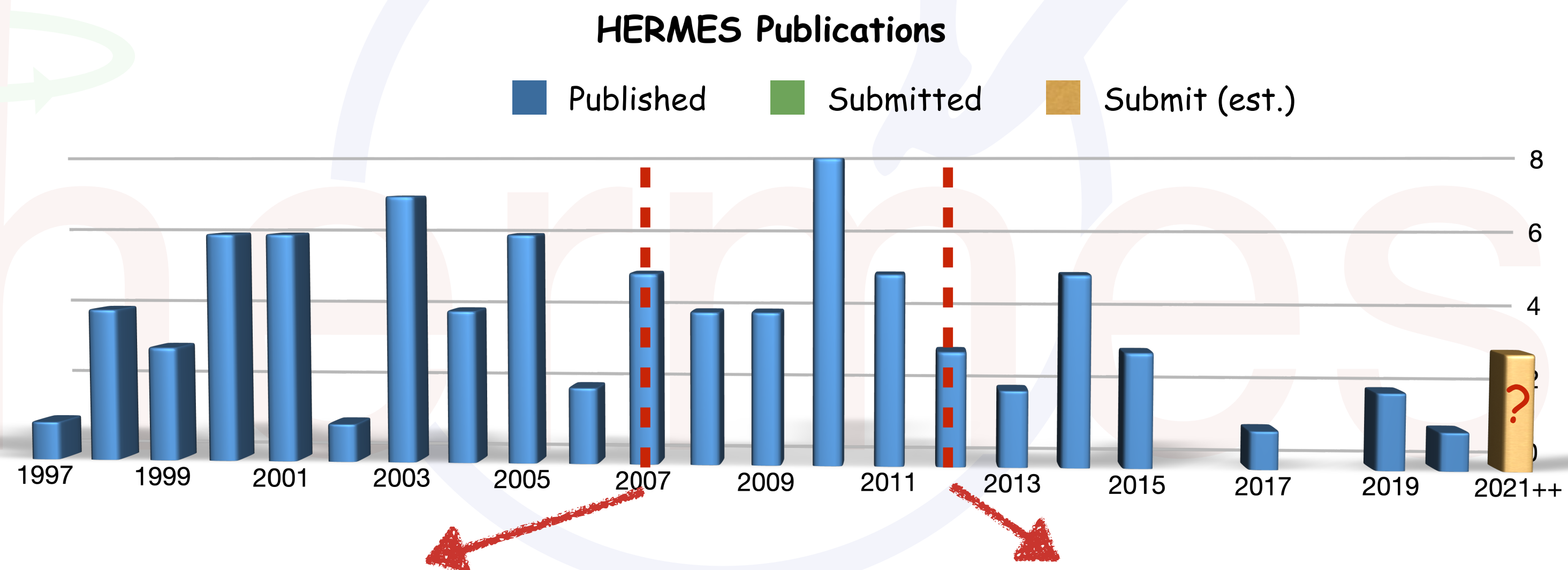
... this was not the end

- data taking finished in 2007, but work continued ...
 - final surveys, calibrations, data production
 - joined "Data Preservation in HEP" (DPHEP) initiative in 2009
 - > "finished" work on HERMES (& HERA) archive in 2016
 - > lesson learnt: it's never too early to start preservation!!!



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- joined "Data Preservation in HEP" (DPHEP) initiative in 2009
-> "finished" work on HERMES (& HERA) archive in 2016
--> lesson learnt: it's never too early to start preservation!!!
- still many analysis and publications:



end of data taking

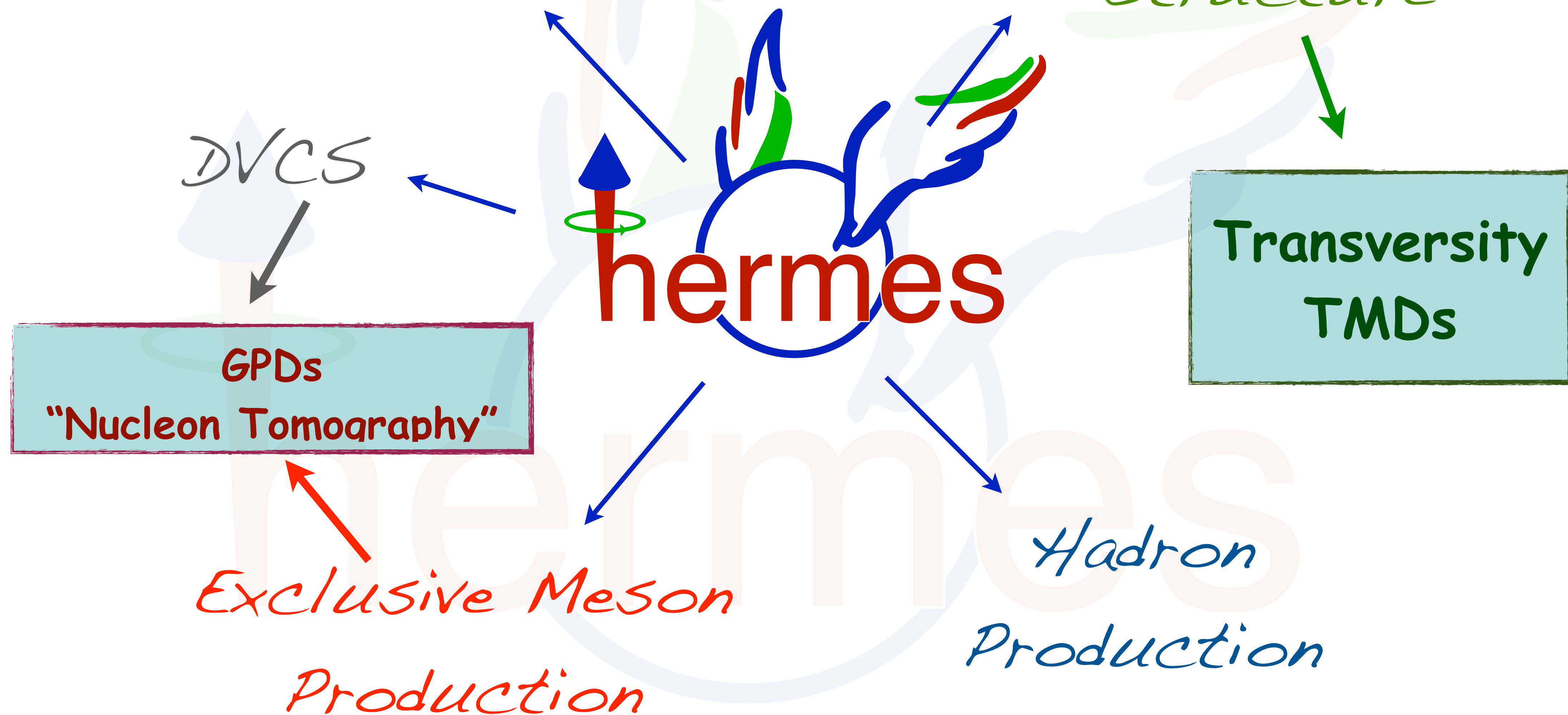
52

official end of funding

Schäfer Fest - Regensburg - Sept. 20th, 2023

Longitudinal Spin/
Momentum Structure,
Hadronization

Transverse Spin/
Momentum
Structure



Longitudinal Spin/
Momentum Structure,
Hadronization

Transverse Spin/
Momentum
Structure

DVCS

GPDs
"Nucleon Tomography"



Transversity
TMDs

Exclusive Meson
Production

Hadron
Production