

Asymptotic Safety  
within  
on-shell perturbation theory

**Renata Ferrero**

based on work in collaboration with Kevin Falls  
*2411.00938 [hep-th]*

December 17th, 2024

Asymptotic Safety meets Particle Physics & Friends

DESY, Hamburg



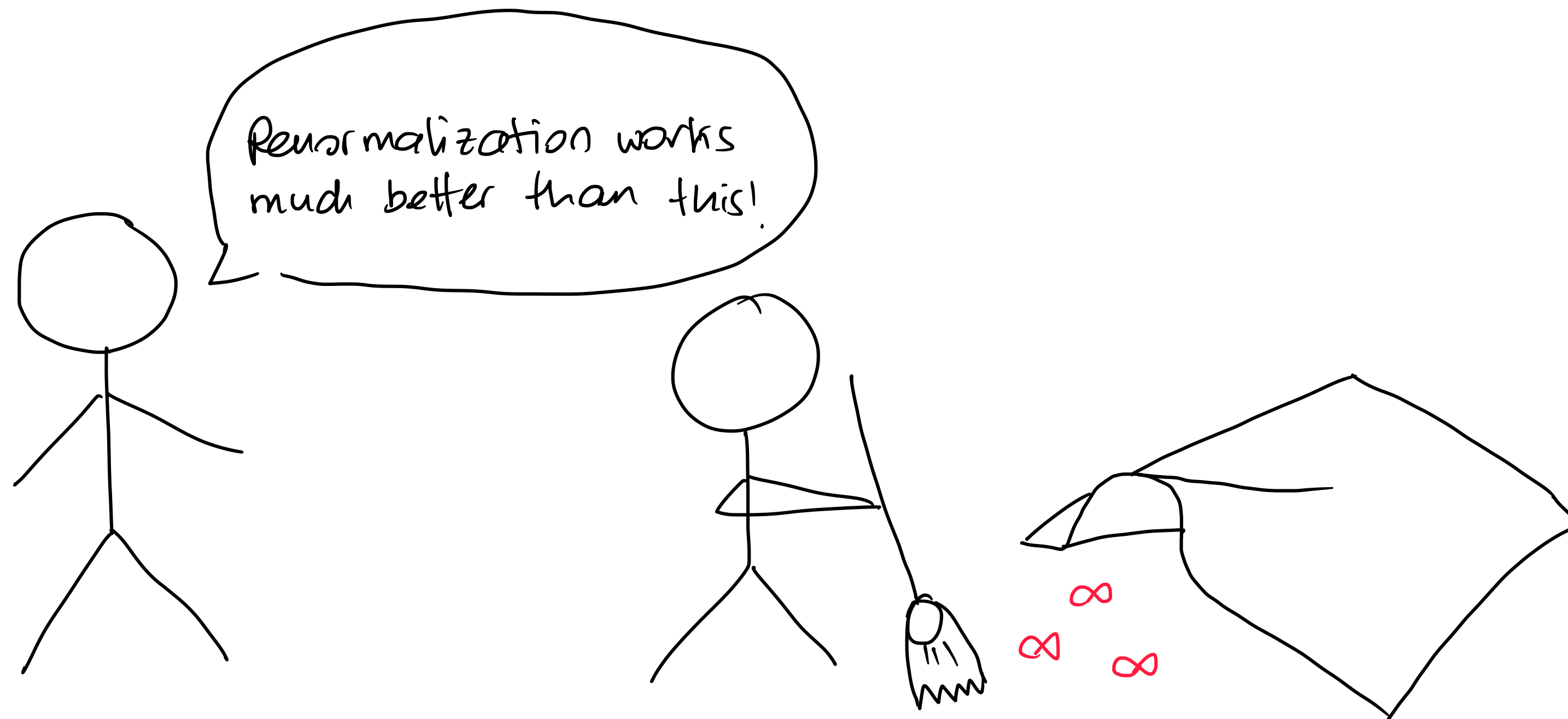
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## **Disclaimer**

I will talk about Einstein gravity.  
However, this approach can also be applied to different theories.

Gravity is perturbatively non-renormalizable at two-loops.

# Asymptotic Safety



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**Weinberg's conjecture:** There exists a nonperturbative dynamical mechanism which renders physical scattering amplitudes finite and computable at energy scales exceeding the Planck scale: a nontrivial UV fixed point.



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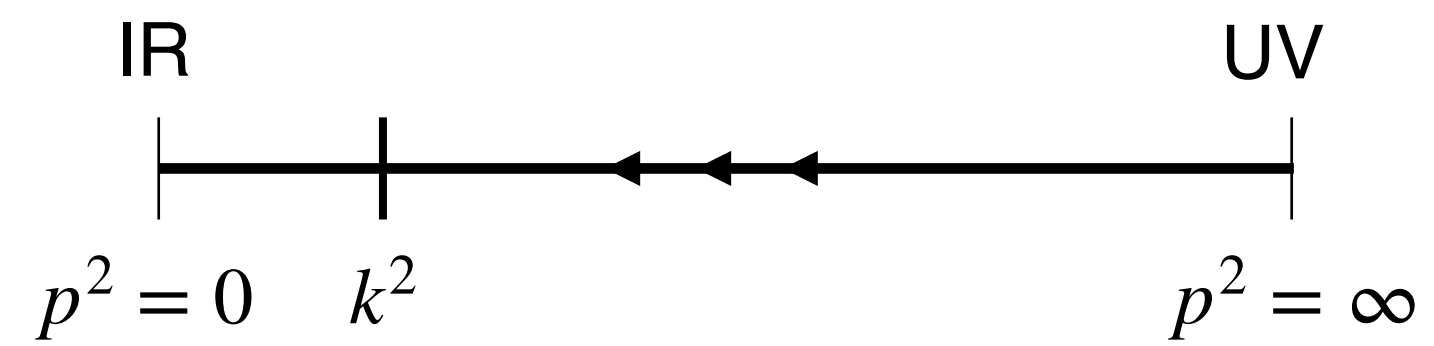
Usually technically investigated via the **Functional Renormalization Group**  
**Dynamical Triangulations**



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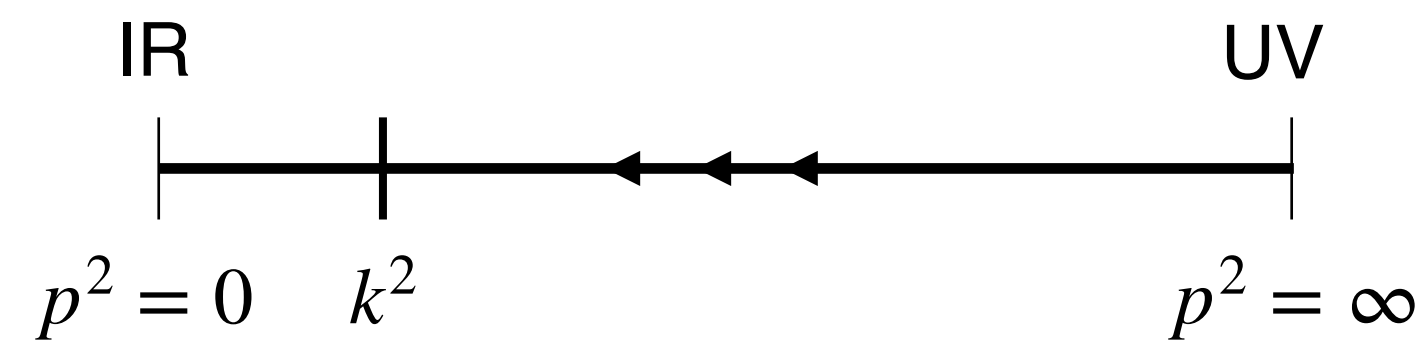
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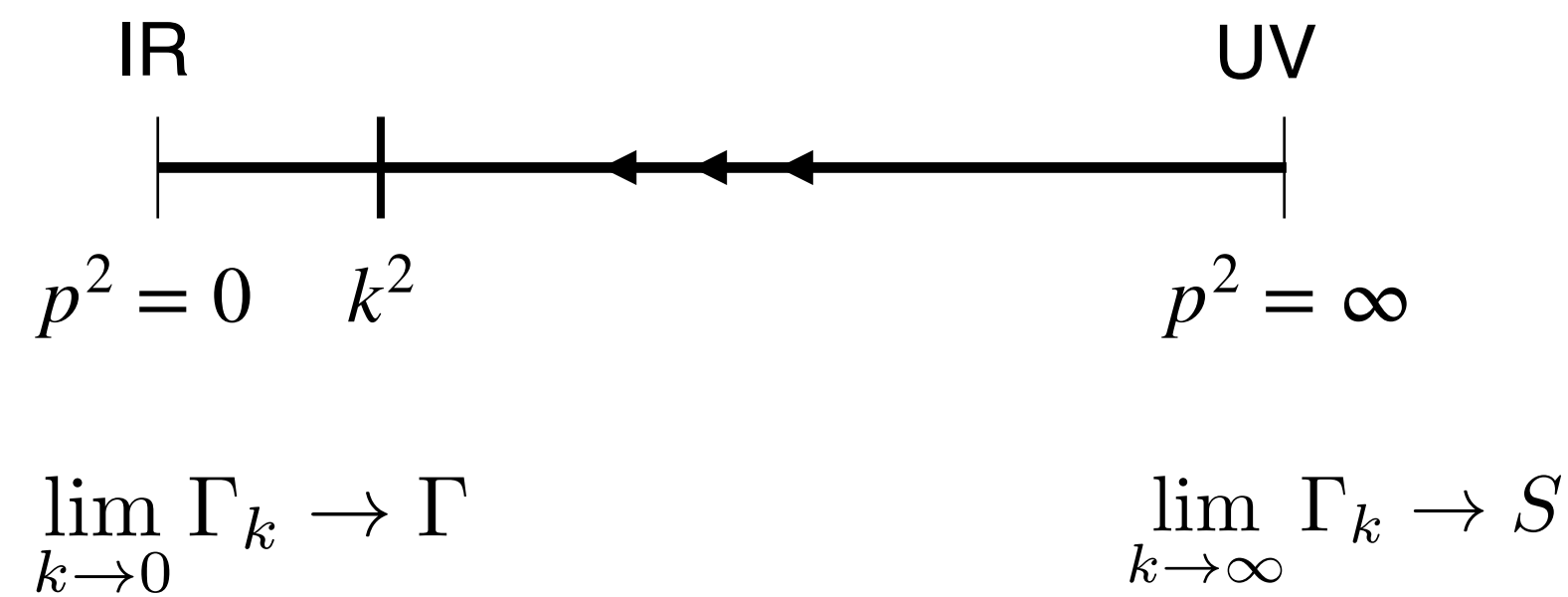
It satisfies the Functional Renormalization Group Equation:

$$k \partial_k \Gamma_k[\phi] = \frac{1}{2} \text{Tr} \left[ (\Gamma_k^{(2)} + \mathcal{R}_k)^{-1} k \partial_k \mathcal{R}_k \right]$$

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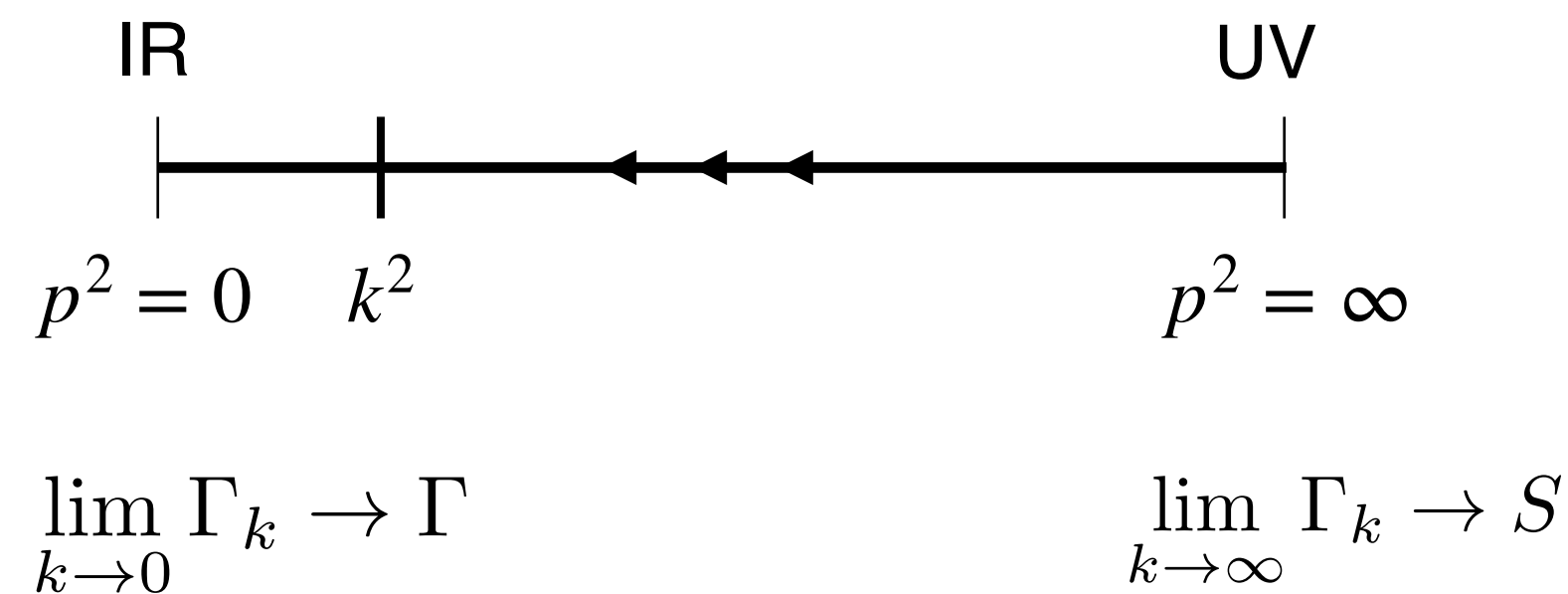
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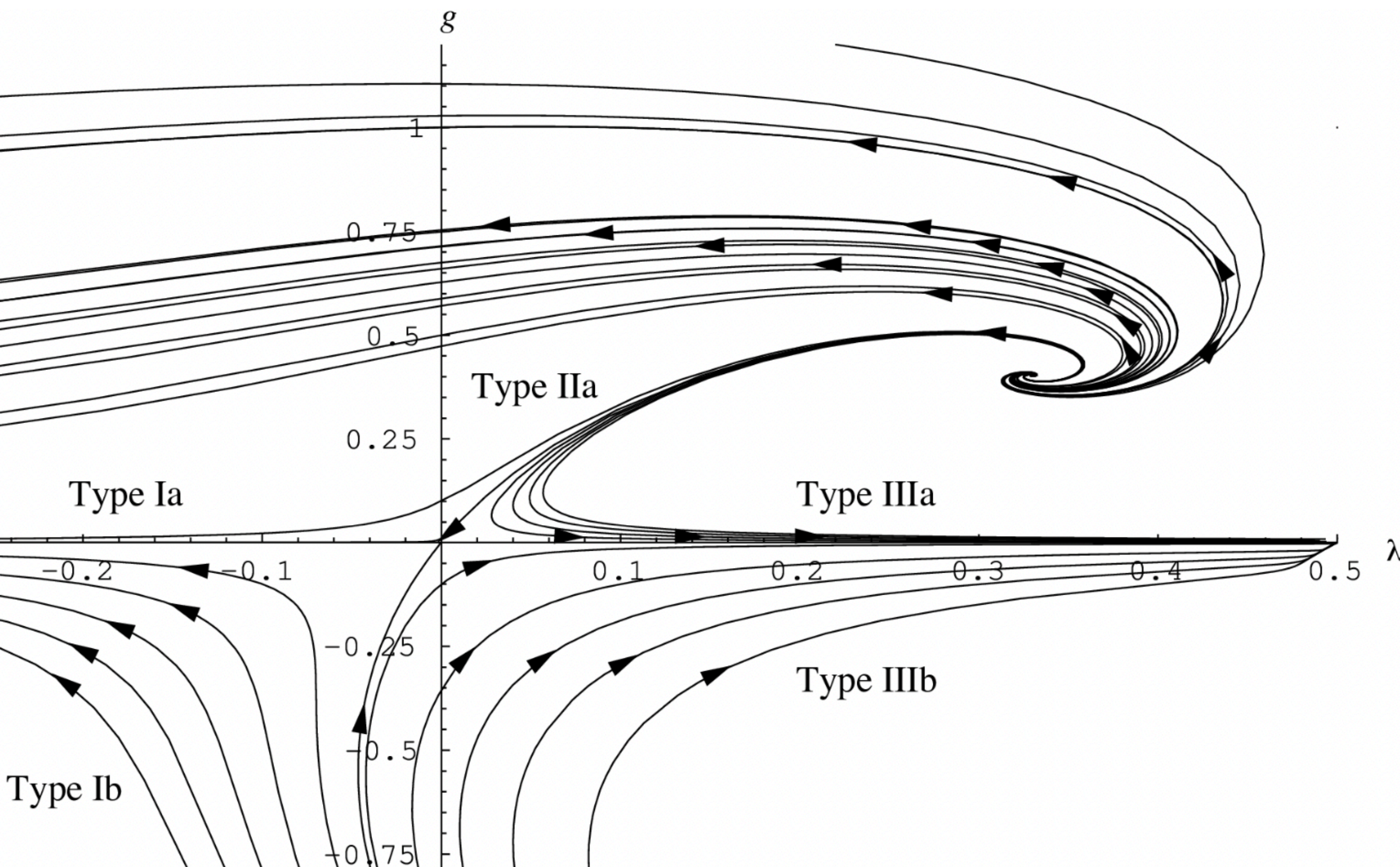
Predictive solutions do exist in theories that are otherwise perturbatively non-renormalizable.

**Asymptotic Safety via FRG:** A given trajectory has an acceptable UV limit, if and only if its endpoint in the UV is given by the nontrivial fixed point of the RG flow.

# Einstein-Hilbert

[Reuter 1996, Reuter-Saueressig and Percacci's book]

$$\Gamma_k[h; \bar{g}] = \frac{1}{16\pi G(k)} \int d^4x \sqrt{-g} \left( R(g) - 2\Lambda(k) \right) \Big|_{g=\bar{g}+h} + \text{gauge fixing} + \text{ghosts}$$



$$g(k) = G(k)k^2 \quad \left\{ \begin{array}{l} k\partial_k g_k = \beta_g(g, \lambda) \\ \lambda(k) = \frac{\Lambda(k)}{k^2} \quad \left\{ \begin{array}{l} k\partial_k \lambda_k = \beta_\lambda(g, \lambda) \end{array} \right. \end{array} \right.$$

$$\left\{ \begin{array}{l} \beta_g(g_*, \lambda_*) = 0 \\ \beta_\lambda(g_*, \lambda_*) = 0 \end{array} \right.$$

$g_* = \lambda_* = 0$   
Gaussian fixed point

$g_* > 0, \lambda_* > 0$   
Non-Gaussian fixed point

**ASYMPTOTIC SAFETY**



# Motivation

Perturbative renormalization

Dimensional regularization, proper time, ...

Non-perturbative renormalization

Asymptotic Safety - Functional Renormalization Group

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The fixed point of order lies beyond  
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On-shell effective action results tested in  
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## UV-completion via an interacting fixed point

Effective action contains  
**unphysical information:**  
Field parametrization - gauge dependence

1. Effective action is **off-shell**
2. Regulator **breaks symmetry**  
(diffeomorphism invariance)

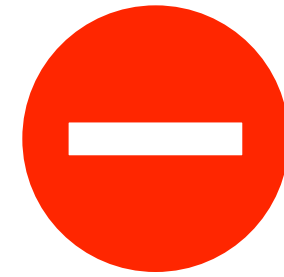
Extract physical information from the flow of  
the effective action is a arduous task.

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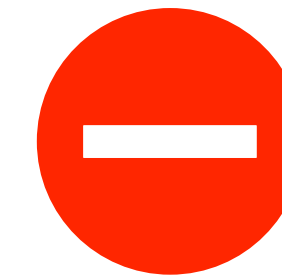


Non-perturbative renormalizable

Contains only physical information



Affected by non-physical information

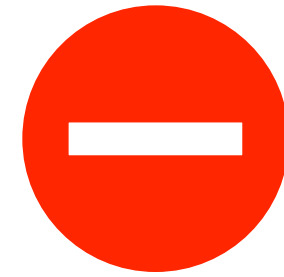


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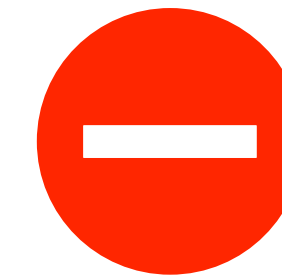
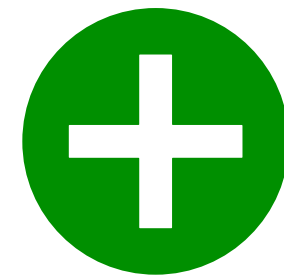
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Affected by non-physical information

New subtraction scheme + essential renormalization group

# Idea

Use perturbative methods to investigate asymptotic safety

E.g. dimensional regularization with non-minimal subtraction scheme

E.g. proper time regularization

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Do a fully functional approximation to keep invariants to all orders:

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RG improvement of one-loop effective action “looks like” non-perturbative RG

**Essential RG:** RG scheme to keep unphysical dependencies under control

# Table of contents

1. One-loop effective action
2. Dimensional regularization with non-minimal scheme  
Essential renormalization group scheme
3. Proper time regularization  
Generalized proper time flow  
The minimal essential scheme to order curvature squared
4. All curvature orders on a maximally symmetric background
5. Critical exponent: comparison with the lattice

# 1. One-loop effective action

For **Einstein gravity**:

$$\Gamma = S + \frac{1}{2} \text{Tr} \log \left[ K^{-1} (S^{(2)} + S_{\text{gf}}^{(2)}) \right] - \text{Tr} [Q_{\text{FP}}]$$

DeWitt metric      Hessian      Faddeev-Popov ghost's term  
gauge fixing: e.g. background covariant harmonic gauge

$$S = \int d^d x \sqrt{g} \left( \frac{\rho}{8\pi} - \frac{R}{16\pi G} + \vartheta \mathfrak{E}(g) \right)$$

Vacuum energy      Euler topological term in d = 4



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In this first analysis: investigation of the **parametrization dependence** [Gies, Knorr, Lippoldt 1507.08859]

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu} + \frac{1}{2} \left( \tau_1 h_{\mu\rho} h_{\nu}^{\rho} + \tau_2 h h_{\mu\nu} + \tau_3 \bar{g}_{\mu\nu} h_{\rho\sigma} h^{\rho\sigma} + \tau_4 \bar{g}_{\mu\nu} h^2 \right) + O(h^2)$$

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**Background field method:**

$$S^{(2)\mu\nu,\rho\lambda}(x, y) = \frac{\delta S}{\delta h_{\mu\nu}(x) \delta h_{\rho\lambda}(y)}$$

Use the EoM for  $S$ : dependence on the parametrization should disappear.

## 2. Dimensional regularization

Revisit Weinberg's original conjecture of Asymptotic safety: gravity has a fixed point in  $d = 2 + \epsilon$

[Weinberg, Niedermaier, Benedetti, Falls, Jack & Jones, Christensen & Duff, Kawai, Kluth 2409.09252, ...]

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New subtraction scheme: keep (power law) divergences that appear also in  $d_c = 0, 2, 4$  preserves gauge symmetry

Idea: in gravity we should keep track of **two dimensionalities**.  
One gets regularized, one is dynamical  $d = g_{\mu}^{\mu}$  (components of the field)

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$$\Gamma = \int d^d x \sqrt{g} \left( \frac{a_0(d)}{d} \mu^d + \frac{a_2(d)}{d-2} \mu^{d-2} R + \frac{a_4(d)}{d-4} \mu^{d-4} G_{\rho} R + \frac{a'_4(d)}{d-4} \mu^{d-4} \mathfrak{E} \right) + \text{finite terms}$$

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1. We do not need to introduce counter-terms outside the Einstein-Hilbert action.
2. The vacuum energy is only renormalised due to the singular term in  $d = 0$  dimensions.

## 2. Dimensional regularization

### Non-minimal subtraction scheme

Compute traces using proper time techniques and evaluate UV singular part  $\int_0^\infty ds s^{\frac{d_c-d}{2}-1} \sim -2 \frac{\mu^{d-d_c}}{d-d_c}$

Keep  $d = g_\mu^\mu$  distinct from  $d_c$ , in order not to identify the components of the metric with the regularization parameter.

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The HK coefficients then become

$$\bar{a}_0(d) = \frac{1}{2}(d-3)d$$
$$\bar{a}_2(d) = \frac{1}{(4\pi)} \frac{1}{12} (d^2 - 3d - 36)$$
$$\bar{a}_4(d) = \frac{1}{(4\pi)^2} \frac{d^3 + 19d^2 - 566d + 1200}{120(d-2)}$$
$$\bar{a}'_4(d) = \frac{1}{(4\pi)^2} \frac{1}{360} (d^2 - 33d + 540) ,$$



## 2. Dimensional regularization

### Essential renormalization group

[Baldazzi, Falls, Zinati  
2105.11482, 2107.00671]

Renormalization scheme which restricts the analysis to the running of the **essential couplings**

Couplings which contribute to the **scaling of physical observables** such as scattering cross sections (scaling exponents)

Inessential couplings associated with redundant operators

→ **fixed by renormalization conditions** achieved by a field reparameterisation along the RG flow.

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For the free theory  
(flat space, GFP)

$$S = \int d^d x \sqrt{g} \left( \frac{\rho_k}{8\pi} - \frac{R}{16\pi G_k} + \vartheta \mathfrak{E}(g) \right)$$

$$S_{\text{ct}} = - \int d^d x \sqrt{g} \bar{a}_0(d) \frac{\mu^d}{d}$$

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$$\beta_{\tilde{\rho}} = -d\tilde{\rho} + 8\pi\bar{a}_0 \rightarrow \frac{\tilde{\rho}}{8\pi} = \frac{\bar{a}_0}{d} = \frac{1}{2}(d-3)$$

Renormalization  
condition

## 2. Dimensional regularization

### Essential renormalization group

**Essential coupling** (dimensionless - invariant under rescaling of metric)

$$\eta \equiv G \left( \frac{\rho}{4\pi(d-3)} \right)^{\frac{d-2}{d}} \rightarrow g$$

using ren. condition

$$\beta_\eta = (d-2)\eta + \frac{1}{3}((d-3)d - 36)\eta^2 + \frac{(d-3)(d(d+19) - 566) + 1200)\eta^3}{30(d-2)}$$

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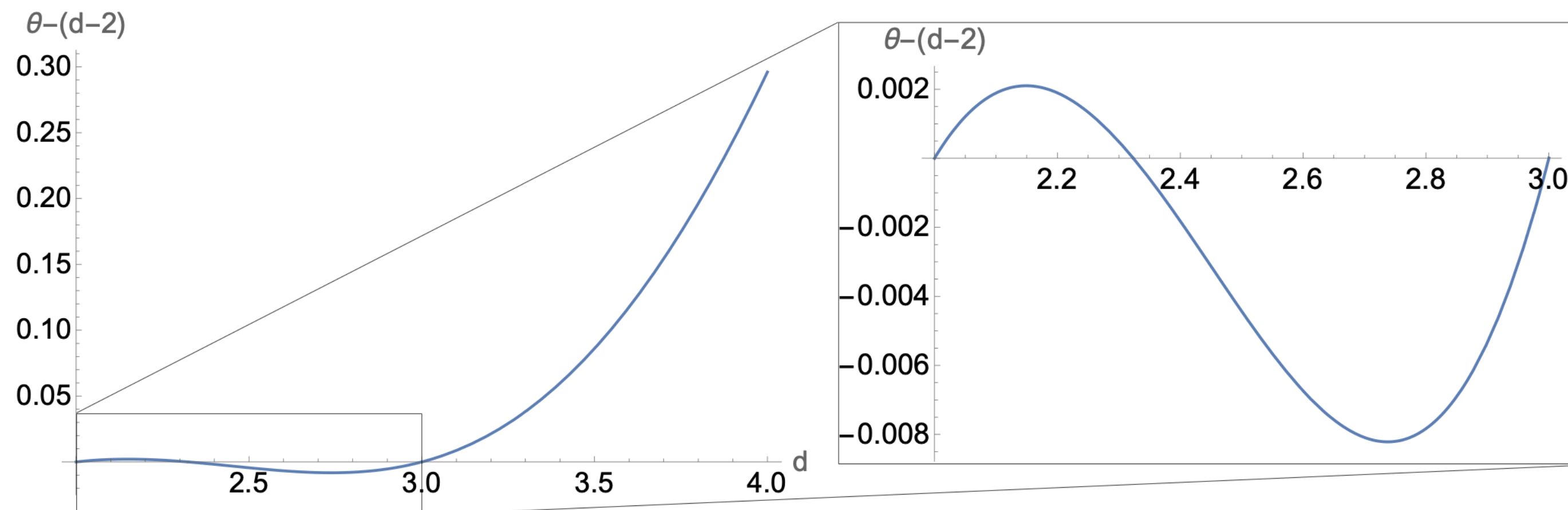
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Fixed point and critical exponent ( $d = 4$ ):  $\eta_* = 0.16$ ,  $\theta = -\left. \frac{\partial \beta_\eta}{\partial \eta} \right|_{\eta=\eta_*} = 2.296$



**This was dim. reg.**

Can we implement this into a flow equation?

### 3. Proper time regularization

## Generalized proper time flow

One-loop effective action

$$\Gamma = S + \frac{\hbar}{2} \text{Tr} \log K^{-1} S^{(2)} / M^2 + O(\hbar^2) .$$

arbitrary scale,  
take  $M \rightarrow \infty$



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evaluate the trace via proper-time parametrization

IR and UV regulated effective action

$$\Gamma_{k,M} = S - \frac{\hbar}{2} \int_{M^{-2}}^{k^{-2}} ds \frac{1}{s} \text{Tr} \left( \exp(-sK^{-1}S^{(2)}) - \exp(-sM^2) \right) + O(\hbar^2) .$$

IR-cutoff

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IR-cutoff

take k-derivative

Flow equation

$$k \partial_k \Gamma_k = \hbar \text{Tr} \exp(-K^{-1} S_k^{(2)} k^{-2}) + O(\hbar^2)$$

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↓ replace classical action and the metric by the effective action and an RG-improved DeWitt metric

One-loop RG-improvement:

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One-loop RG-improvement:

$$k \partial_k \Gamma_k = \text{Tr} \exp(-K_k^{-1} \Gamma_k^{(2)} [\phi] k^{-2})$$

**It suffers from unphysical dependencies, it is off-shell**

# 3. Proper time regularization

## Essential renormalization group

 **Add an extra term:** allow the field variable coupling to the source to flow with  $k$

When deriving the effective action, do the Legendre transform:

$$e^{-\Gamma_k[\phi]} = \int d\hat{\chi} e^{-S[\hat{\chi}] + (\hat{\phi}_k[\hat{\chi}] - \phi) \cdot \frac{\delta\Gamma[\phi]}{\delta\phi}}$$

Introduce the RG kernel:

$$\Psi_k[\phi] := \langle k \partial_k \hat{\phi}_k[\hat{\chi}] \rangle$$

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$$\Psi_k[\phi] := \langle k\partial_k \hat{\phi}_k[\hat{\chi}] \rangle$$

### Generalized perturbative essential flow equation

$$k\partial_k \Gamma_k[\phi] = -\Psi_k[\phi] \cdot \frac{\delta}{\delta\phi} \Gamma_k[\phi] + \text{Tr} \exp(-K_k^{-1} \Gamma_k^{(2)}[\phi] k^{-2})$$

absorb off-shell term  
into the kernel

proportional to the EoM

**Only the essential couplings run.**

### 3. Proper time regularization

## MES @ order curvature squared

Consider again Einstein-Hilbert truncation  $\rightarrow$  remove curvature squared terms by field redefinitions

$$\left( k\partial_k + \int d^d x \sqrt{g} \Psi_{\mu\nu} \frac{\delta}{\delta g_{\mu\nu}} \right) \Gamma = \text{Tr} e^{-K^{-1}(\Gamma^{(2)} + S_{\text{gf}}^{(2)})k^{-2}} - 2\text{Tr} e^{-\mathcal{Q}_{\text{FP}}[\phi]k^{-2}}$$

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EOM

$$\frac{\delta\Gamma}{\delta g_{\mu\nu}} = \frac{\sqrt{g}}{2} \frac{\rho}{8\pi} g^{\mu\nu} + \frac{\sqrt{g}}{16\pi G} \left( R^{\mu\nu} - \frac{1}{2} R g^{\mu\nu} \right)$$



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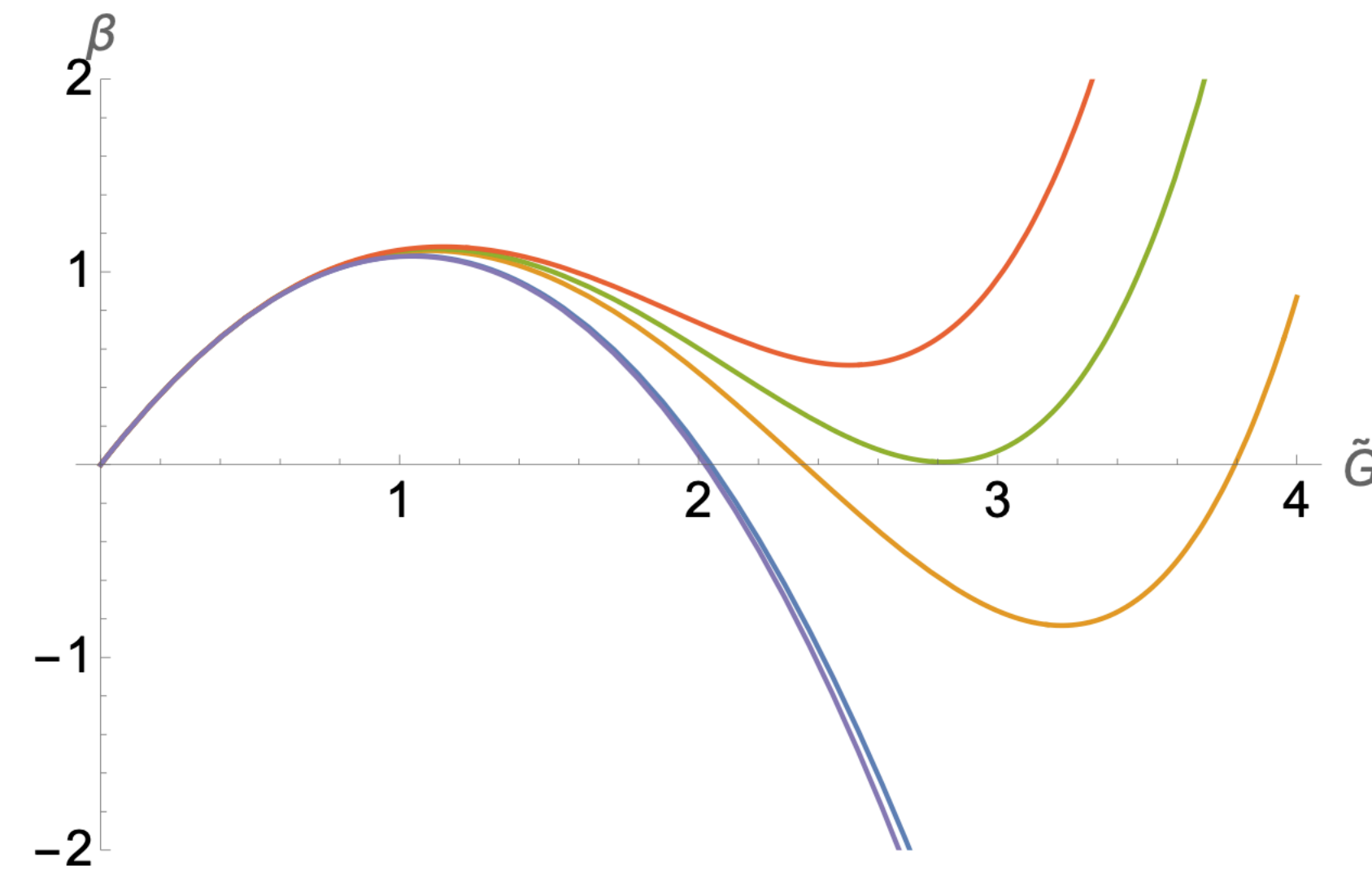
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Our non-minimal variant of dimensional regularization coincides with proper time regularization, at least within the early time heat kernel expansion.

### 3. Proper time regularization MES @ order curvature squared

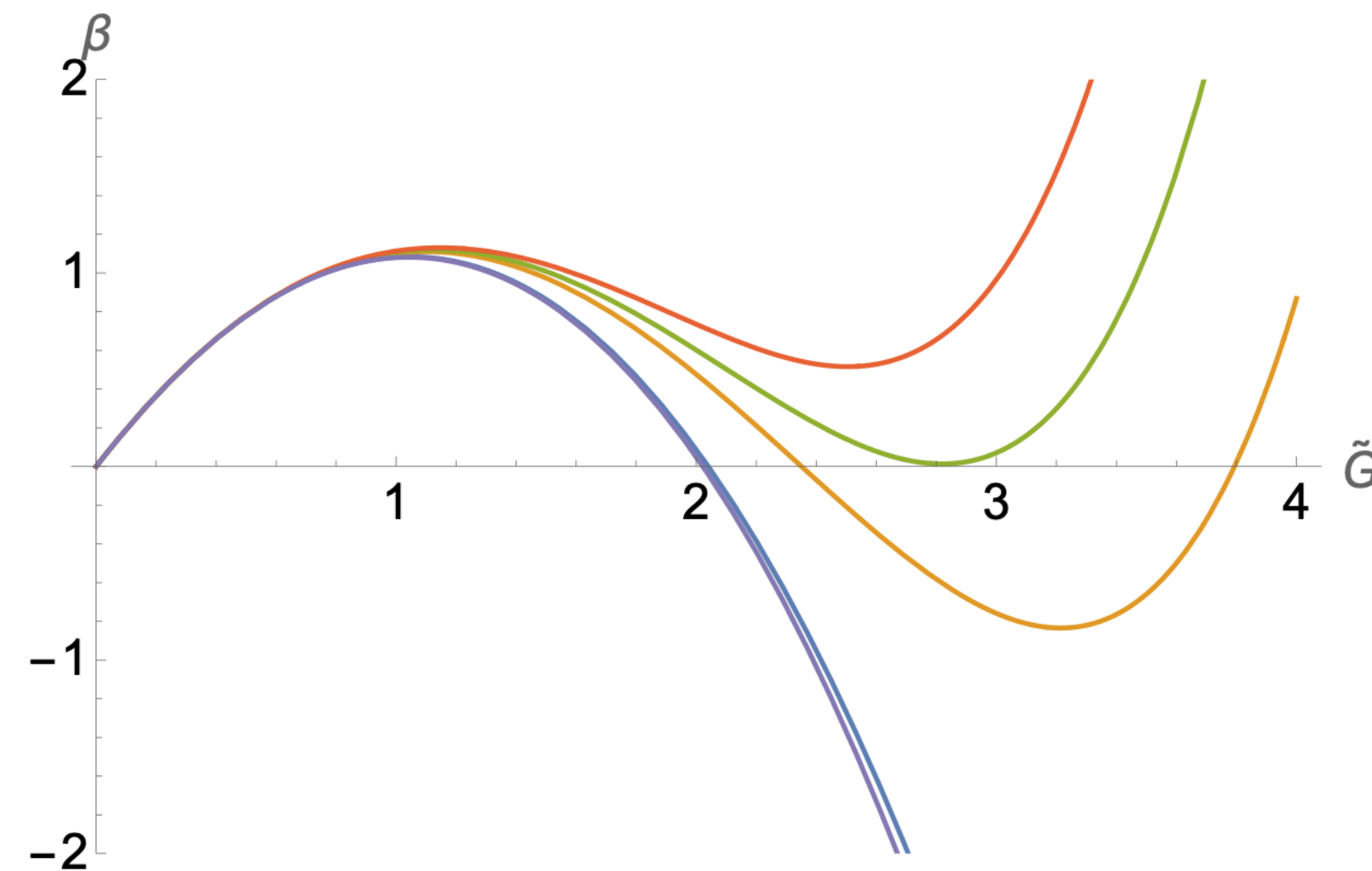
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Expand the essential beta function:

$$\beta_{\tilde{G}} = (d - 2)\tilde{G} + \frac{1}{3}(-36 + (d - 3)d)(4\pi)^{1-d/2}\tilde{G}^2 + \frac{(d - 3)(1200 + d(-566 + d(d + 19)))(4\pi)^{2-d}}{30(d - 2)}\tilde{G}^3 + O(\tilde{G}^4)$$

**No dependence on the  $\tau$ 's up to this order.**



## 4. All curvature order on a max. symmetric background

Going to higher orders in curvature, one can lift the dependence on the parameterisation at higher order in  $\tilde{G}$ .

Expansion up to $O(R^2)$	→	No dependence on the parametrization up to $O(\tilde{G}^3)$
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In EOM terms linear in  $R$  and  $G_k \rho_k$  on RHS

Resolving terms  $\int d^d x \sqrt{g} R G_k^{N-1}$  requires going  $R^N$

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Technical details:

- **Heat kernel expansion** on a  $d$ -sphere [Kluth, Litim 1910.00543]
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Fixed point and the critical exponent converges rapidly

$O(R^N)$	$\theta$
$R$	2
$R^2$	2.296
$R^3$	2.312
$R^4$	2.312
$R^5$	2.311
$R^\infty$	2.311

# 5. Critical exponent



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As we send  $\tilde{G}(\Lambda) \rightarrow \tilde{G}_*$ , we define the exponent  $A$   $\lim_{\tilde{G}(\Lambda) \rightarrow \tilde{G}_*} G \propto \frac{\Lambda^{2-d}}{|\tilde{G}_* - \tilde{G}(\Lambda)|^A}$

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The correlation length in units of the cutoff  $\xi = \Lambda \ell_P \rightarrow \lim_{\tilde{G}(\Lambda) \rightarrow \tilde{G}_*} \xi \propto \frac{1}{|\tilde{G}_* - \tilde{G}(\Lambda)|^{\frac{A}{d-2}}}$

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$$\Lambda/k \propto \left( \tilde{G}(\Lambda)/\tilde{G}(k) \right)^{1/(d-2)} \left( \frac{\tilde{G}(k) - \tilde{G}_*}{\tilde{G}(\Lambda) - \tilde{G}_*} \right)^{1/\theta}$$



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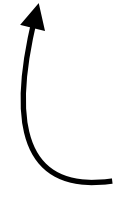
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They work in Lorentzian signature:  $a$  and  $a_t$  distinguished but related

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$$\theta_{\text{CDT}} = 4 \pm 1$$

[Ambjørn, Gizbert-Studnicki, Görlich, Németh 2408.07808, 2411.02330]

Reasons for such an incompatibility?

# Conclusions & Outlook

Use perturbation theory to extract physical information (on-shell).

New subtraction scheme + essential renormalization group

- 1 Parametrization dependence disappears order by order in perturbation theory
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**ToDo** Analyze also gauge-dependence  
Test scheme in other theories - add matter  
Better understanding of comparison with lattice  
Go two loop in proper time?