

Five amuse-bouches

To celebrate QCD's 50th birthday

a·muse-bouche:
/əˌmoʊzˈboʊʃ/

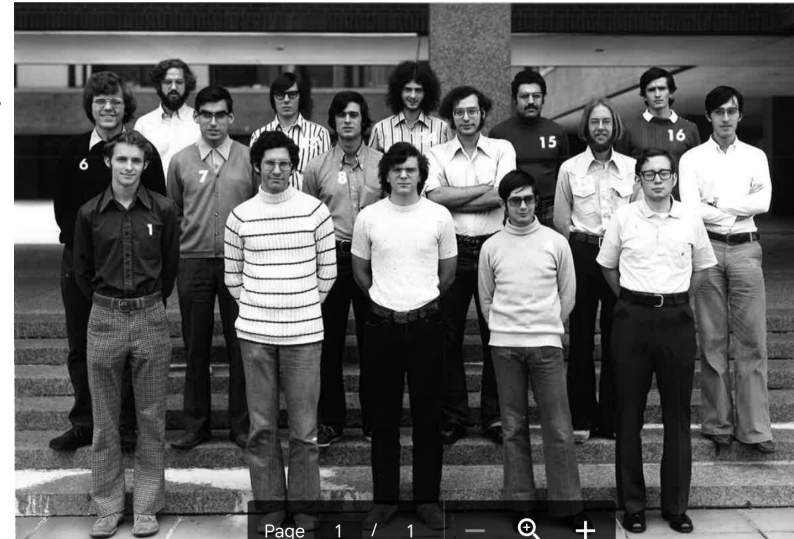
a small savory item of food served as an appetizer before a meal.

First amuse-bouche: instantons at $T \neq 0$

Princeton, class of '75: me, D. Stein, D. Haldane...

Under David, my thesis followed
Zamolodchikov & Zamolodchikov,
exact S-matrix, 2-index $O(N)$ tensor '78. 28 cit's

Then: instantons @ 1-loop order. $T=0$: 't Hooft '76



Gross, RDP, Yaffe '81: $T \neq 0$: constant fields $A_0 \neq 0$ (\rightarrow holonomous HTL's)

instanton @ 1-loop: fund. & adj. rep's. Result for *all* instanton scale size, ρ

Carvalho '81: $\mu \neq 0$, $T = 0$: *only* for large ρ .

Nogradi, Papavassiliou, RDP: 2310.?: all ρ .

At large ρ , color E field in instantons Debye screened (J. Collins, unpub'd):

$$\mathcal{W}_{\text{instanton}} = \int d^4x \int \frac{d\rho}{\rho^5} \exp \left(- \frac{8\pi^2}{g^2(\rho T)} + \# g^2 T^2 \rho^2 \right) \dots$$

When does it work?

So what? At $T \neq 0$, perturbation theory fails at $T \sim 100 \text{ GeV}$.

Static sector = QCD₃ → pert thy an expansion not in g^2 , but in $\sqrt{g^2}$ (Linde'79)

Using resummed Hard Thermal Loop perturbation theory @ NNLO (!)

Haque & Strickland, 2011.06938: N²L0 HTL valid down to $\sim 300 \text{ MeV}$

From β -function at 1-loop, $g^2 \sim \#/\log(\rho T)$, so topological susceptibility

$$\chi(T) = \frac{\partial^2}{\partial \theta^2} p(\theta, T) \sim \exp\left(\frac{-8\pi^2}{g^2(T)}\right) \sim \exp\left(\frac{-8\pi^2}{\#/\log(T)}\right) \sim \frac{\kappa}{T^\lambda} + \dots$$

λ : just from classical action & 1-loop β -fnc! κ : from fluc's at 1-loop order

So what? *Surely* fails at $T \sim 100 \text{ GeV}$ unless one resums with NNLO HTL!

Lattice!

For a dilute gas of instantons (DGI), $\chi(T) \sim \frac{\kappa}{T^\lambda} + \dots$

Difficult computations from lattice: $\lambda \sim$ DGI down to 300 MeV!

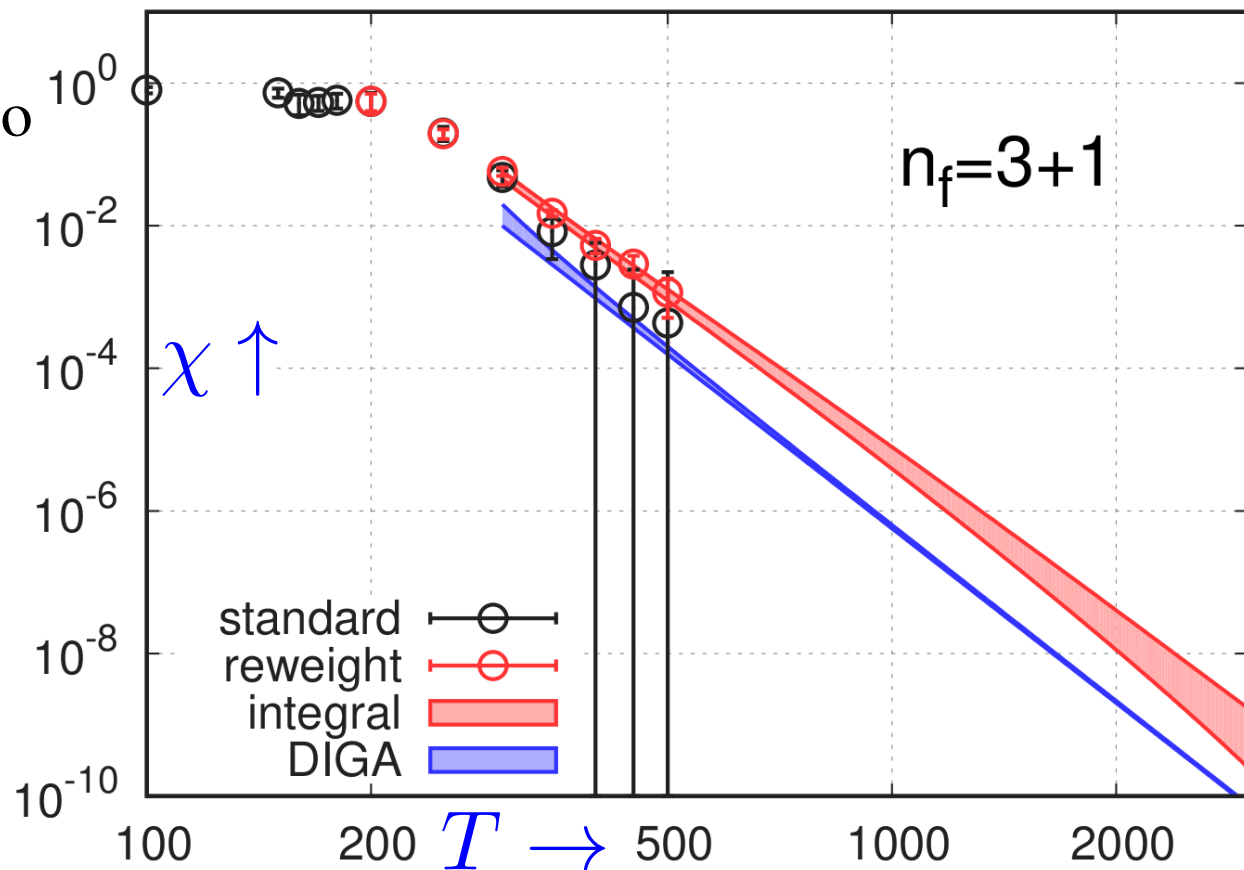
κ : lattice $\sim 10 \times$ 1-loop. Need the full 2-loop result to compare! (meh...)

Moral: sometimes “useless”
computations need not remain so

Right: Borsanyi+...1606.07494

Petreczky+...1606.03145

...Athenodorou+...2208.08921



Second amuse-bouche: at $T = 0$, it *ain't* instantons

Veneziano & Witten '78: topological effects persist as $N \rightarrow \infty$.

But $g^2 N$ held fixed \rightarrow instantons *vanish* as $\exp(-\# N) = \exp(-8 \pi^2 / (g^2 N) N)$

What if there are objects with fractional topological charge $1/N$?

Old story: 't Hooft '81, van Baal...Gonzalez-Arroyo...Unsal, Poppitz...

Bonanno, Bonati, d'Elia 2012.14000: *pure* $SU(N)$, *no* quarks, $N = 3, 4, 6$

Compute energy at nonzero θ :

$$E(\theta) - E(0) = \theta^2 / 2 (1 + b_2 \theta^2 + \dots)$$

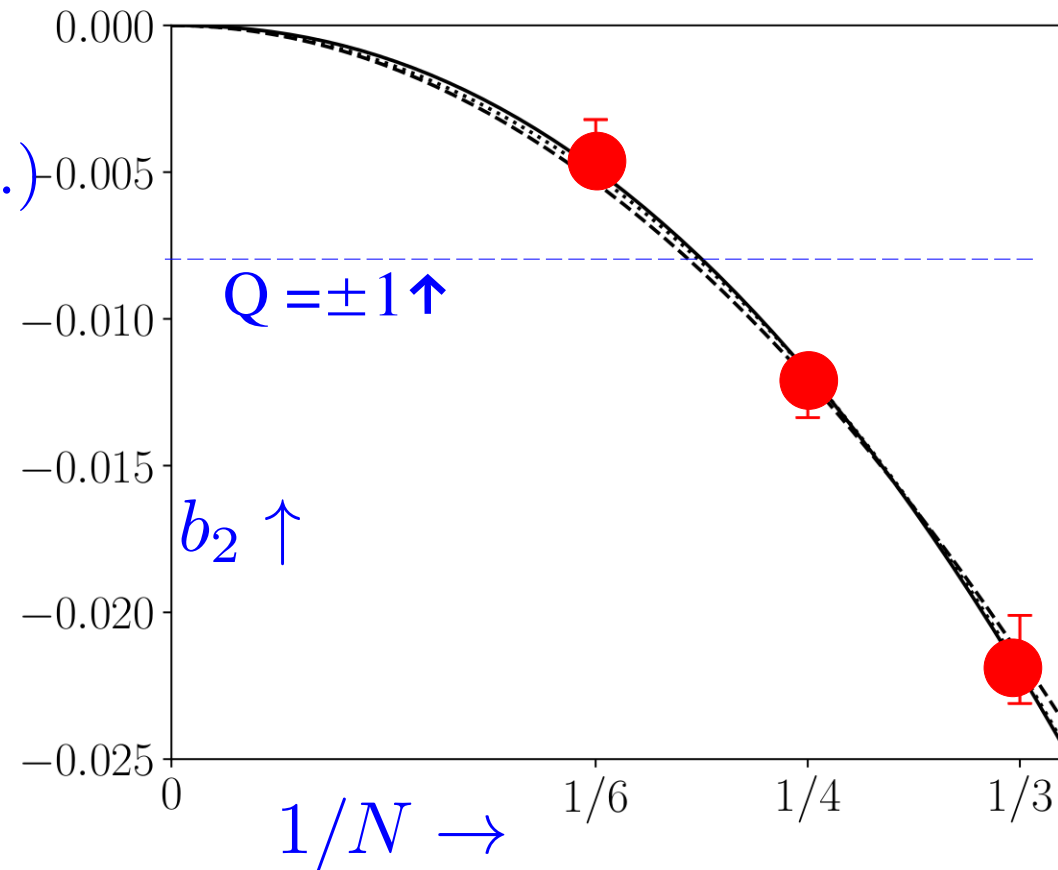
Dilute gas, $Q = \pm 1$: $b_2 = -1/12 \sim -.08$

Dilute gas, $Q = \pm 1/N$: $b_2 = -.08 / N^2$

Lattice: $b_2 \sim -.19/N^2 \rightarrow$

dense gas of frac'y chgd objects!

Nair & RDP 2206.11284: $Z(N)$ dyons?



Third amuse-bouche: the axial anomaly at nonzero spin

An *old* story: the η' , with spin zero, is heavy because of the axial anomaly.

How does the axial anomaly affect mesons with *higher* spin?

For “heterochiral” mesons with nonzero spin, *many* anomalous couplings:

F. Giacosa, A. Koenigstein, & RDP, 1709.07454

Only classified the possible couplings: how *big* are these couplings?

Values for anomalous couplings in a dilute gas of instantons (DGI):

F. Giacosa, Shahriyar Jafarzade, & RDP, 2309.00086

Couplings in DGI are *small*, & decrease as J increases.

In *vacuum*, $T=0$: yes, instantons don't work, but we can compute; *start* with DGI

Chiral symmetry

Quarks in QCD:

$$\bar{q}(\not{D} + m)q = \bar{q}_L \not{D}q_L + \bar{q}_R \not{D}q_R + m_{qk}(\bar{q}_L q_R + \bar{q}_R q_L)$$

When $m_{qk} = 0$, classically a global symmetry of $SU(3)_L \times SU(3)_R \times U(1)_A$.

$$q_{L,R} \longrightarrow e^{\mp i\alpha_A/2} U_{L,R} q_{L,R}$$

Because of the axial anomaly,

$$\partial^\mu \bar{q}^a \gamma_\mu \gamma_5 q^a = 3g^2 \text{tr} G_{\mu\nu} \tilde{G}_{\mu\nu} / (16\pi^2)$$

Quantum mechanically the symmetry reduces to $SU(3)_L \times SU(3)_R \times Z(3)_A$.

$Z(3)_A$ because of the zero modes for each flavor.

Construct effective Lagrangians:

All terms invariant under $SU(3)_L \times SU(3)_R$ (+ soft breaking from $m_{qk} \neq 0$)

Most terms are invariant under $U(1)_A$.

Anomalous terms violate $U(1)_A$, and are invariant *only* under $Z(3)_A$.

Scalars, usual linear sigma model

For spin zero, form mesons in the usual way

$$\Phi^{ij} = \bar{q}_L^j q_R^i, \quad \Phi \rightarrow e^{i\alpha_A} U_L^\dagger \Phi U_R$$

$J^P = 0^-$: π , K , η , η' , obviously.

Less certainty about $J^P = 0^+$:

$\sigma(600)$, $a_0(980) + \dots$; *or* $a_0(1450)$ $f_0(1370)$, $f_0(1710)$?

Doesn't really matter for us.

Potential terms invariant under $SU(3)_L \times SU(3)_R \times U(1)_A$:

$$\mathcal{V}^{U(1)} = m^2 \text{tr} \Phi^\dagger \Phi + \lambda_1 (\text{tr} \Phi^\dagger \Phi)^2 + \lambda_2 \text{tr} (\Phi^\dagger \Phi)^2 + \dots$$

Anomalous couplings

Anomalous terms only invariant under $SU(3)_L \times SU(3)_R \times Z(3)_A$

The anomalous term of lowest order is ('t Hooft '76 +...)

$$\mathcal{V}^{Z(3)} = \kappa_0 \det(\Phi) + \text{c.c.} \sim \Phi^3 \quad \Phi \rightarrow e^{2\pi i/3} \Phi$$

$Z(3)_A$ invariant terms of higher order include

$$\kappa'_0 \text{tr}(\Phi^\dagger \Phi) \det(\Phi) + \kappa''_0 (\det \Phi)^2 + \text{c.c.}$$

Zero modes of a single instanton, $Q_{\text{topological}} = \pm 1$, generate κ_0 & κ'_0 , $Z(3)_A$ inv.

The term $\sim \kappa''_0$ is $Z(6)_A$ invariant, generated by $Q_{\text{topological}} = \pm 2$

RDP & F. Rennecke, 1910.14052; F. Rennecke, 2003.13876

For now just the anomalous terms of lowest mass dimension, $\sim \kappa_0$.

Soft breaking of chiral symmetry

Add

$$\mathcal{L}_{mass} = \text{tr} H (\Phi + \Phi^\dagger) \quad H = \# \begin{pmatrix} m_{up} & 0 & 0 \\ 0 & m_{down} & 0 \\ 0 & 0 & m_{strange} \end{pmatrix}$$

When $\langle \Phi \rangle = \phi_0 \neq 0$,

$$m_\pi^2 \sim m_u + m_d, \quad m_K^2 \sim m_{u,d} + m_s$$

With the anomaly, π , K , & η light; η' heavy, GB's eigenstates of $SU(3)_V$
 η mainly octet, η' mainly singlet

N.B.: without the anomaly, GB's eigenstates of flavor, *not* $SU(3)_V$:

Gross, Wilczek & Treiman '78; RDP & Wilczek, '82

$$\pi^0 \sim \bar{u}u, \quad \eta \sim \bar{d}d, \quad \eta' \sim \bar{s}s$$

The anomaly makes the η' heavy, *and* prevents massive isospin violation

Vectors, $J^{PC} = 1^{-+}$.

As γ_μ flips chirality, can only pair LL and RR, *neutral* under $U(1)_A$:

$$L_\mu^{ij} = \bar{q}_L^j \gamma_\mu q_L^i, \quad R_\mu^{ij} = \bar{q}_R^j \gamma_\mu q_R^i; \quad L_\mu \longrightarrow U_L^\dagger L_\mu U_L, \quad R_\mu \longrightarrow U_R^\dagger R_\mu U_R$$

Obvious mixing and mass terms, invariant under $U(1)_A$:

$$\beta \operatorname{tr}(L_\mu \Phi^\dagger \partial_\mu \Phi + R_\mu \Phi \partial_\mu \Phi^\dagger) + m_V^2 \operatorname{tr}(L_\mu^2 + R_\mu^2) + \kappa \operatorname{tr} H (L_\mu^2 + R_\mu^2)$$

Anomalous terms start with 3rd order in ∂ 's, Wess-Zumino-Novikov-Witten term

$$J^P = 1^-: V_\mu = L_\mu + R_\mu, \quad \rho(770), \quad \omega(782), \quad K^*(892) \quad \& \quad \phi(1020)$$

Anomaly does *not* contribute to mass terms, so ρ , ω , & ϕ are *flavor* eigenstates:

$$\rho_\mu, \omega_\mu \sim \bar{l} \gamma_\mu l, \quad l = u, d; \quad \phi_\mu \sim \bar{s} \gamma_\mu s$$

Higher spin

Classify multiplets according to the *unbroken* $SU(3)_L \times SU(3)_R \times Z(3)_A$.

As usual, form mesons by inserting some Γ , $\sim \gamma$'s and D 's, between q and q -bar.

Heterochiral:

$$\Phi_{\mu\nu\dots} = \bar{q}_L \overleftrightarrow{D}_\mu \overleftrightarrow{D}_\nu \dots q_R, \quad \Phi_{\mu\nu\dots} \rightarrow e^{i\alpha_A} U_L^\dagger \Phi_{\mu\nu\dots} U_R$$

$Z(3)_A$ inv. \rightarrow anomalous terms: affect mixing of higher spin analogies of η & η' .
And many new terms, new decays...

Homochiral:

$$L_{\mu\nu\lambda\dots} = \bar{q}_L \gamma_\mu \overleftrightarrow{D}_\nu \overleftrightarrow{D}_\lambda \dots q_L; \quad R_{\mu\nu\lambda\dots} = \bar{q}_R \gamma_\mu \overleftrightarrow{D}_\nu \overleftrightarrow{D}_\lambda \dots q_R$$

$$L_{\mu\nu\lambda\dots} \rightarrow U_L^\dagger L_{\mu\nu\lambda\dots} U_L; \quad R_{\mu\nu\lambda\dots} = U_R^\dagger R_{\mu\nu\lambda\dots} U_R$$

Invariant under $U(1)_A$, so no anomalous mass terms.

Masses close to eigenstates of flavor, as in the usual quark model.

Anomalous terms \sim Wess-Zumino-Novikov-Witten, ignore.

Generalized anomalous interactions

For a single matrix, the determinant is (i,j,k = SU(3)_L ; i' j'k' = SU(3)_R indices)

$$\det \Phi = \epsilon^{ijk} \epsilon^{i'j'k'} \Phi^{ii'} \Phi^{jj'} \Phi^{kk'} / 6!$$

As all indices are summed over, invariant under SU(3)_L x SU(3)_R,

With three Φ 's, invariant under Z(3)_A , and not U(1)_A.

Since *all* $\Phi_{\mu\nu\dots}$ transform the same,

$$\Phi_{\mu\nu\dots} \rightarrow e^{i\alpha_A} U_L^\dagger \Phi_{\mu\nu\dots} U_R$$

Consider:

$$\epsilon[\Phi_1 \Phi_2 \Phi_3] = \epsilon^{ijk} \epsilon^{i'j'k'} \Phi_1^{ii'} \Phi_2^{jj'} \Phi_3^{kk'} / 6! ; \epsilon[\Phi^3] = \det \Phi$$

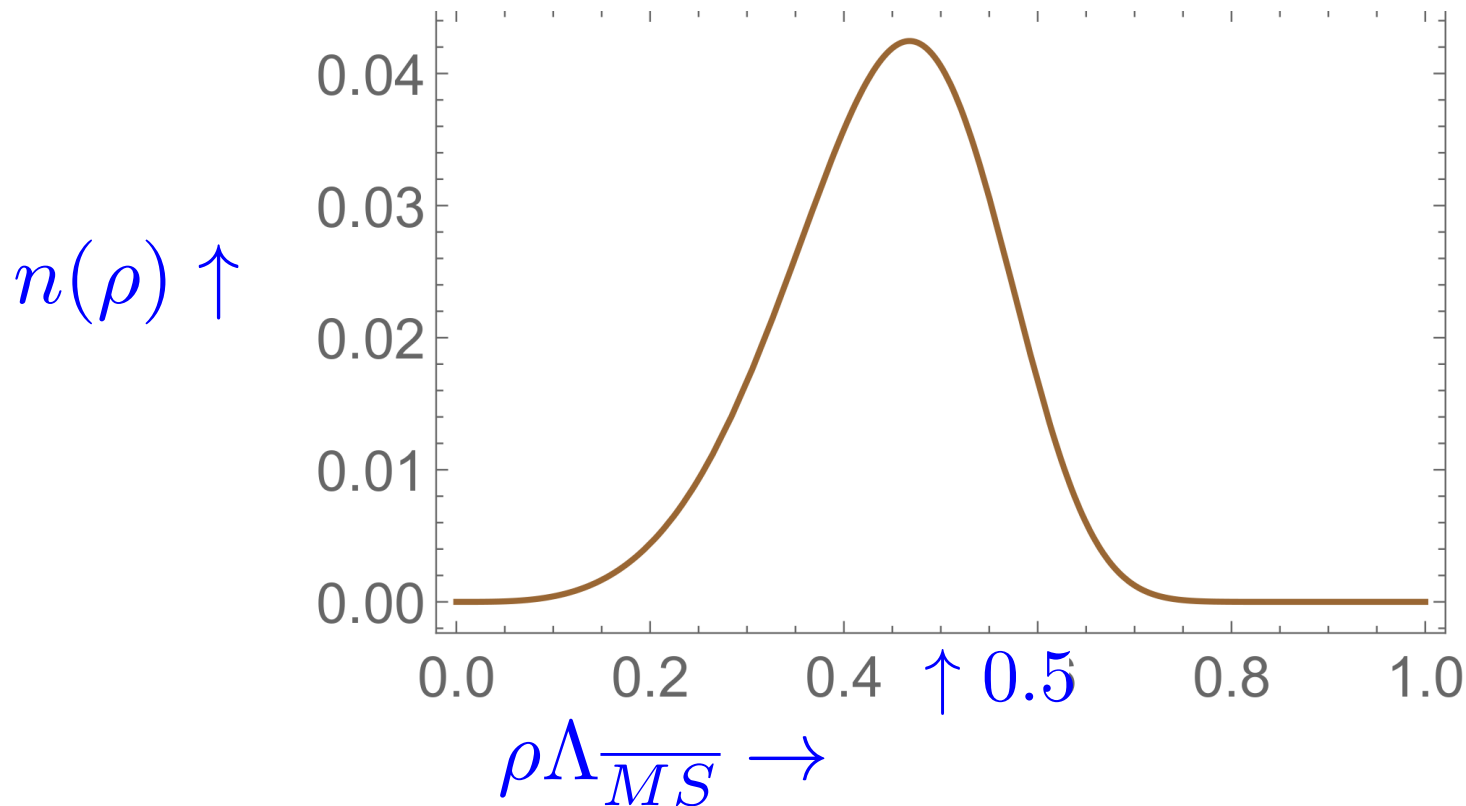
Type of generalized determinant, obviously SU(3)_L x SU(3)_R x Z(3)_A invariant

Instantons

Instanton density as function of scale size ρ with $\Lambda_{\overline{MS}}$ regularization:
Boccaletti & D. Negradi, 2001.03383

$$n(\rho) = \exp\left(-\frac{8\pi^2}{g^2(\rho\Lambda_{\overline{MS}})} - 7.07534\right) \frac{1}{\pi^2 \rho^5} \left(\frac{16\pi^2}{g^2(\rho\Lambda_{\overline{MS}})}\right)^6$$

Instanton density is peaked at relatively *small* size: Schaefer & Shuryak, 9610451



Of *course* the density blows up at larger ρ .

Vacuum is *not* a Dilute Gas of Instantons!

But we can compute...

Anomalous interactions

Compute in chiral limit, so *all* instanton zero modes enter, *not* suppressed

't Hooft '76, Grossman '77, Jackiw & Rebbi '77, Atiyah, Hitchin, Singer '77

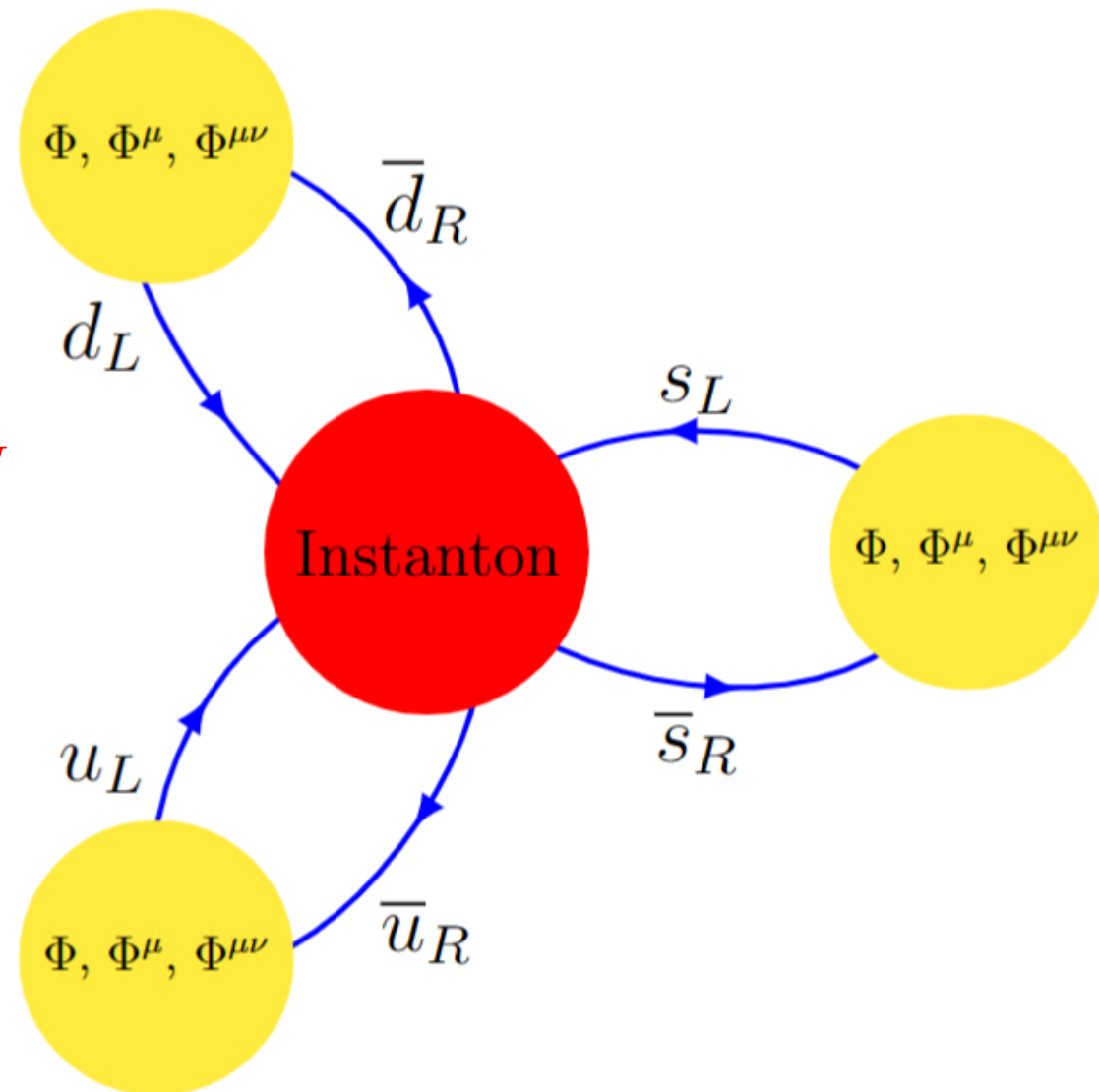
At large distances, match mesonic to free quark operators.

Assuming all mesonic operators have mass dimension = 1, need *phenomenological* constants M_J :

$$\Phi_{\mu\nu\dots} = \bar{q}_L D_\mu D_\nu \dots q_R / M_J^{2+J}$$

We assume $M_0 \sim M_1 \sim M_2$.

Need not be true, testable.



Anomalous interactions: spin zero

In terms of quarks, zero modes generate the anomalous interaction ('t Hooft '76)

$$-\left(\det(\bar{q}_L q_R) + \det(\bar{q}_R q_L)\right)/3!$$

In a Dilute Gas of Instantons (DGI), with $\Lambda_{\overline{MS}} = 300 \text{ MeV}$

$$k_0 = (8\pi^2)^3 \int_0^{\Lambda_{\overline{MS}}^{-1}} d\rho n(\rho) \rho^9 = 2.6 \cdot 10^6 / \text{GeV}^5$$

In terms of mesons,

$$-\kappa_0 \left(\det \Phi + \det \Phi^\dagger\right); \quad \kappa_0 = k_0 M_0^6 / 48$$

Fitting to a linear sigma model & the η - η' mixing angle, $\theta_{PV} = -43.4^\circ$ gives

$$\kappa_0 = 1.3 \text{ GeV}; \quad M_0 = 170 \text{ MeV}$$

Which is reasonable.

Details of η - η' mixing

Start with $SU(3)_V$ flavor basis:

$$\phi_N = (\bar{u}u + \bar{d}d)/\sqrt{2} ; \phi_s = \bar{s}s$$

Rotation to $SU(3)_V$ basis

$$\begin{pmatrix} \eta(547) \\ \eta'(958) \end{pmatrix} = \begin{pmatrix} \cos \beta_0 & \sin \beta_0 \\ -\sin \beta_0 & \cos \beta_0 \end{pmatrix} \begin{pmatrix} \eta_N \\ \eta_S \end{pmatrix}$$

In terms of the model parameters,

$$\beta_0 = \frac{1}{2} \tan^{-1} \left(\frac{2.67\sqrt{2}(-\kappa_0)\phi_N}{m_{\eta_N}^2 - m_{\eta_S}^2} \right) < 0$$

$$\phi_N = \langle \eta_N \rangle / \sqrt{2} = 113 \text{ GeV} ; \phi_s = \langle \eta_s \rangle = 130 \text{ GeV}$$

Smaller κ_0 gives smaller β_0 .

Spin one heterochiral, $h_1(1170)$ & $h_1(1415)$

$$\Phi_{\mu}^{ij} = \bar{q}_L^i \overleftrightarrow{D}_{\mu} q_R^j = \Phi_{\mu} / M_1^3$$

$$\Phi_{\mu} = S_{\mu} + i P_{\mu}:$$

$$P_{\mu} : J^{PC} = 1^{+} : b_1(1235), K_{1,B}, h_1(1170), h_1(1415) \quad {}^{2S+1}L_J = {}^1S_1 \quad \textit{h}_1\text{'s like } \eta \text{ \& } \eta'$$

$$S_{\mu} : J^{PC} = 1^{-}, \rho(1700), K^*(1680), \omega(1650), \phi(?) \quad {}^{2S+1}L_J = {}^1P_1.$$

Anomalous term:

$$-k_1 (\epsilon [(\bar{q}_L q_R) (\bar{q}_L D_{\mu} q_R)^2] + R \leftrightarrow L) / 6$$

$$k_1 = (8\pi^2)^3 \int_0^{\Lambda_{\overline{MS}}^{-1}} d\rho n(\rho) \rho^{9+2} = 9.9 \cdot 10^6 \text{ GeV}^{-7}$$

Versus k_0 , extra factor of ρ^2 in k_1 because of the D_{μ} in Φ_{μ} .

Spin one heterochiral: mixing angle

In terms of mesonic fields:

$$\kappa_1 (\epsilon [\Phi \Phi_\mu \Phi_\mu] + \text{c.c.}) ; a_1 = -k_1 M_1^6 M_0^2 / 48 = -0.14 \text{ GeV} < 0$$

Calculate mixing angle between $h_1(1170)$ & $h_1(1415)$, like between η & η'

$$\beta_1 \simeq \frac{1}{2} \tan^{-1} \left(\frac{\sqrt{2} (-\kappa_1) \phi_N / 3}{2(m_{K_{1B}}^2 - m_{b_1}^2) - \sqrt{2} a_1 \phi_S / 6} \right) = + 0.75^\circ > 0$$

Assuming $M_1 = M_0$, β_1 is small and positive.

Spin one mixing angle vs experiment

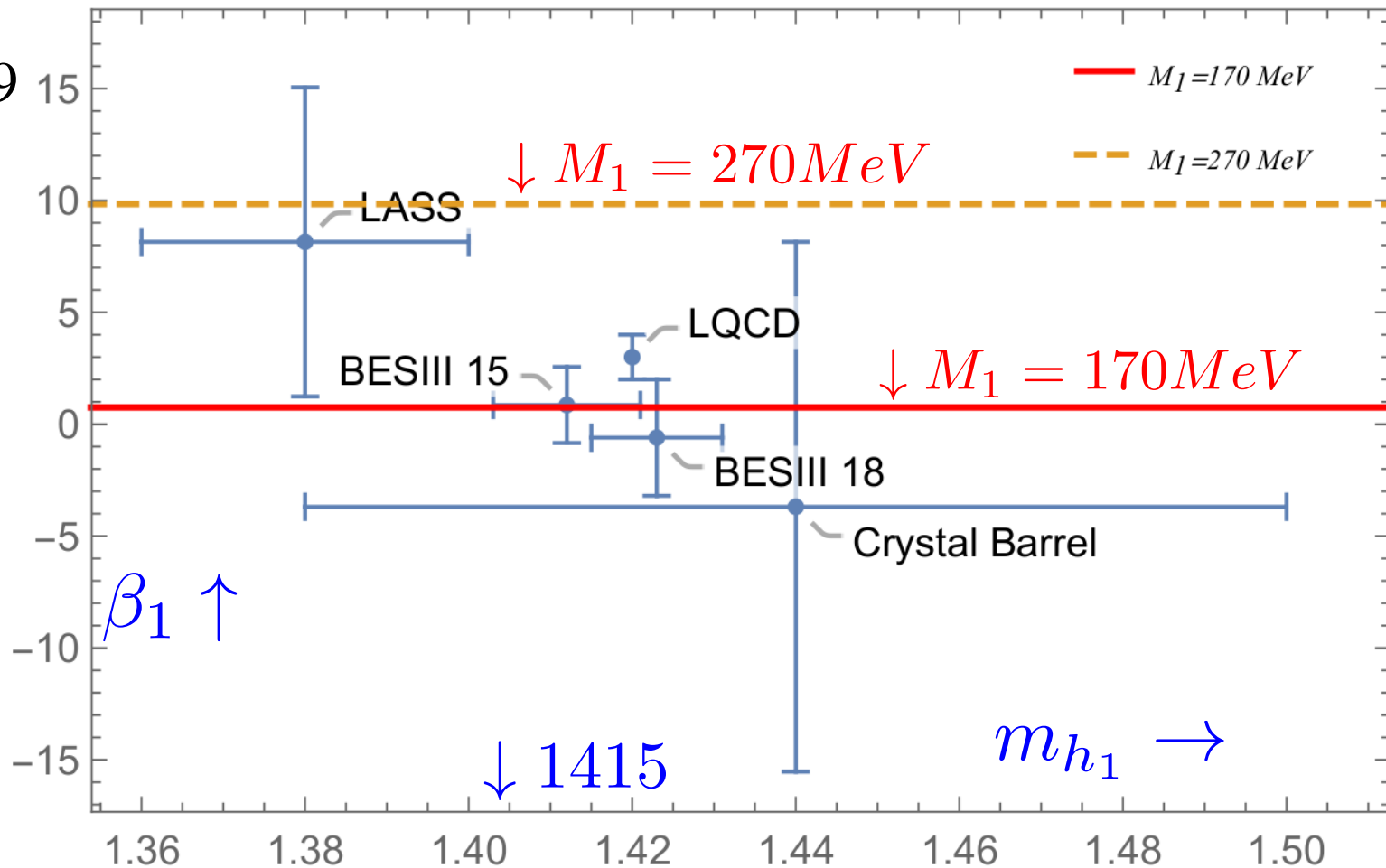
Mixing angle $\beta_1 = 0.75^\circ$ is small *if* $M_1 = M_0$.

But the anomalous coupling $a_1 \sim M_1^6$, and so *very* sensitive to M_1 vs M_0 .:
For $M_1 = 270$ MeV, $\beta_1 = 10^\circ$

Experimentally, mass of $h_1(1415)$ uncertain; width ~ 90 MeV

Also lattice, LQCD
Dudek+... 1102.4299

Experiment
& LQCD
can measure M_1 .



Spin two heterochiral: $\eta(1645)$ & $\eta(1870)$

$$\Phi_{\mu\nu}^{ij} = \bar{q}_L^i (\overleftrightarrow{D}_\mu \overleftrightarrow{D}_\nu + \overleftrightarrow{D}_\nu \overleftrightarrow{D}_\mu) q_R^j - (g^{\mu\nu}/4) \bar{q}_L^i (\overleftrightarrow{D}^2) q_R^j$$

$$\Phi_{\mu\nu} = S_{\mu\nu} + iP_{\mu\nu} :$$

$$P_{\mu\nu} : J^{PC} = 2^{-+} : \pi_2(1670), K_2(1670), \eta_2(1645), \eta_2(1870); \eta_2 \text{ 's like } \eta \text{ \& } \eta'$$

$$S_{\mu\nu} : J^{PC} = 2^{++}, a_2, K_2^*, f_2, f_2' ; {}^{2S+1}L_J = {}^1P_1. \quad \text{Not clear exp.'y}$$

Anomalous term:

$$-k_2 (\epsilon [(\bar{q}_L q_R) (\bar{q}_L (D_\mu D_\nu - g_{\mu\nu} D^2) q_R)]^2 + R \leftrightarrow L) / 6$$

$$k_2 = (8\pi^2)^3 \int_0^{\Lambda_{\overline{\text{MS}}}^{-1}} d\rho n(\rho) \rho^{9+4} = 4.05 \cdot 10^7 \text{ GeV}^{-9}$$

Spin two heterochiral: mixing

In terms of mesonic fields:

$$\kappa_2(\epsilon[\Phi\Phi_{\mu\nu}\Phi_{\mu\nu}] + \text{c.c.}) ; \kappa_2 = -k_2 M_2^8 M_0^2 / 48 = +0.017 \text{ GeV} > 0$$

The mixing angle between the $\eta_2(1645)$ & $\eta_2(1870)$:

$$\beta_2 \simeq \tan^{-1} \left(\frac{\sqrt{2} (-\kappa_2) \phi_N / 3}{2(m_{K_{2P}}^2 - m_{\pi_2}^2) - \sqrt{2} a_2 \phi_S / 6} \right) / 2 \sim -0.05^\circ < 0$$

Why do the mixing angles, β_J , decrease with J?

Two reasons: the quark anomalous coupling is $\sim \rho^{2J}$ in k_J 's, from D_μ 's in $\Phi_{\mu\nu\dots}$.

$$k_J = (8\pi^2)^3 \int_0^{\Lambda_{\overline{\text{MS}}}^{-1}} d\rho n(\rho) \rho^{9+2J}$$

The instanton density peaks at *small* $\rho\Lambda_{\overline{\text{MS}}} \sim 0.5$. Thus the a_J 's decrease with J:

$$\kappa_0 = 1.3 \text{ GeV} ; \kappa_1 = -0.14 \text{ GeV} ; \kappa_2 = 0.017 \text{ GeV}$$

This *may* be an artifact from assuming a DGI's, *and/or* assuming $M_2 = M_1 = M_0$.

Second, $\tan(\beta_J) \sim 1/(\text{difference meson mass}(J))^2$

For J=0, pseudo GB's are *much* lighter than "ordinary" mesons, with J=1 & 2,
So $|\beta_0| \gg |\beta_1| \gg |\beta_2|$:

$$\beta_0 = -43.6^\circ ; \beta_1 = +0.75^\circ ; \beta_2 = -0.05^\circ$$

New anomalous decays

Fun with effective Lagrangians! Couple spin zero, one, & two, all heterochiral:

$$-b_2 \left(\epsilon \left[(\partial_\mu \Phi) \Phi_\nu \Phi^{\mu\nu} \right] + \text{c.c.} \right) ; b_2 = k_2 M_0^2 M_1^3 M_2^4 / 48 \approx 0.099$$

Coupling two spin zero particles to one spin two, all heterochiral:

$$-c_2 \left(\epsilon \left[(\partial_\mu \Phi) (\partial_\nu \Phi) \Phi^{\mu\nu} \right] + \text{c.c.} \right) ; |c_2| = k_2 M_0^2 M_2^4 / 48 = 0.474 \text{ GeV}^{-1}$$

Generate rare decays: from first term,

$$\phi(2170) \rightarrow b_1(1235)\pi ; \Gamma = 0.071 \text{ MeV}$$

Generate rare decays: from second term,

$$f_2(2300) \rightarrow \pi\pi ; \Gamma = 0.05 \text{ MeV}$$

Width $\phi(2170) \sim 83 \text{ MeV}$; width $f_2 \sim 149 \text{ MeV}$. Useful (but hard) to measure exp.y!

Fourth amuse-bouche:

WHAT the **** is going on with
the chiral phase transition?

Or: how, sometimes, being “wrong” can be right...

The anomaly for two flavors

The spin zero fields are $\Phi = \sigma + i\eta + (\vec{a}_0 + i\vec{\pi}) \cdot \vec{\sigma}$

The $U(1)_A$ invariant mass term is

$$\text{tr } \Phi^\dagger \Phi = \sigma^2 + \eta^2 + \vec{a}_0^2 + \vec{\pi}^2$$

For two flavors, the determinant is also a mass term,

$$-(\det \Phi + \text{c.c.}) = -\sigma^2 + \eta^2 - \vec{\pi}^2 + \vec{a}_0^2$$

Without the anomaly, symmetry = $SU(2)_L \times SU(2)_R \times U(1)_A = O(4) \times O(2)$

(The $U(1)_A$ invariant mass is invariant under $O(8)$,
but $U(1)_A$ invariant quartic terms reduce this to $O(4) \times O(2)$)

With the anomaly, $SU(2)_L \times SU(2)_R \times Z(2)_A = O(4) \times O(2) \sim O(4)$

The anomaly makes the η meson heavy & helps the σ to condense

For three flavors, the anomaly only contributes to the η' mass when $\langle \sigma \rangle \neq 0$.

Chiral phase transition: two flavors

RDP & Wilczek '84: consider the chiral phase transition at a temperature T_χ .

$$\mathcal{V} = m^2 \text{tr} \Phi^\dagger \Phi + \kappa_0 (\det \Phi + \text{c.c.}) + \lambda_1 (\text{tr} \Phi^\dagger \Phi)^2 + \lambda_2 \text{tr} (\Phi^\dagger \Phi)^2 + \dots$$

Two flavors: the determinant from the anomaly is a mass term.

If $m^2(T_\chi) = 0$ & $\kappa_0(T_\chi) \neq 0$, (χ trans. = 2nd order) universality class = O(4).

If $m^2(T_\chi) = 0$ and $\kappa_0(T_\chi) = 0$ (!), universality class = O(4) x O(2).

RDP & D. Stein '81: transition at $T \neq 0$ ~ theory in 3 dimensions.

To *leading* order in ε about 4 - ε dimensions, (RDP & D. Stein, PRB '81),

there is *no* infrared stable fixed point \rightarrow *first* order transition, when $N_f > \sqrt{2}$

fluctuation induced first order (= Coleman-Weinberg). But $\varepsilon = 1!$

Expect $\kappa_0(T_\chi) \neq 0$, so if 2nd order, the universality class is that of O(4)

Chiral phase transition: three flavors

For three flavors, consider just the potential as a function of σ :

$$\mathcal{V}(\sigma) = m^2 \sigma^2 - \kappa_0 \sigma^3 + \lambda \sigma^4 + \dots$$

If $\kappa_0(T_\chi) \neq 0$, then the potential has a cubic term, and cannot be “flat”

→ for massless quarks, the chiral transition *must* be first order.

If $\kappa_0(T_\chi) = 0$ (!), the universality class is $SU(3) \times SU(3) \times U(1)$

To leading order in ε in $4 - \varepsilon$ dimensions, fluctuation ind'd 1st order

For four flavors, to leading order in ε , fluc ind'd 1st order (neglecting κ_0).

For $>$ four flavors, the det term irrelevant; $\sim O(\varepsilon)$, fluctuation induced 1st order

A little history

‘80’s: expected a first order deconfining transition dominates quarks,
chiral transition *completely* irrelevant.

‘90’s: Lattice QCD with dynamical quarks: the deconfining transition weakened,
chiral symmetry matters more.

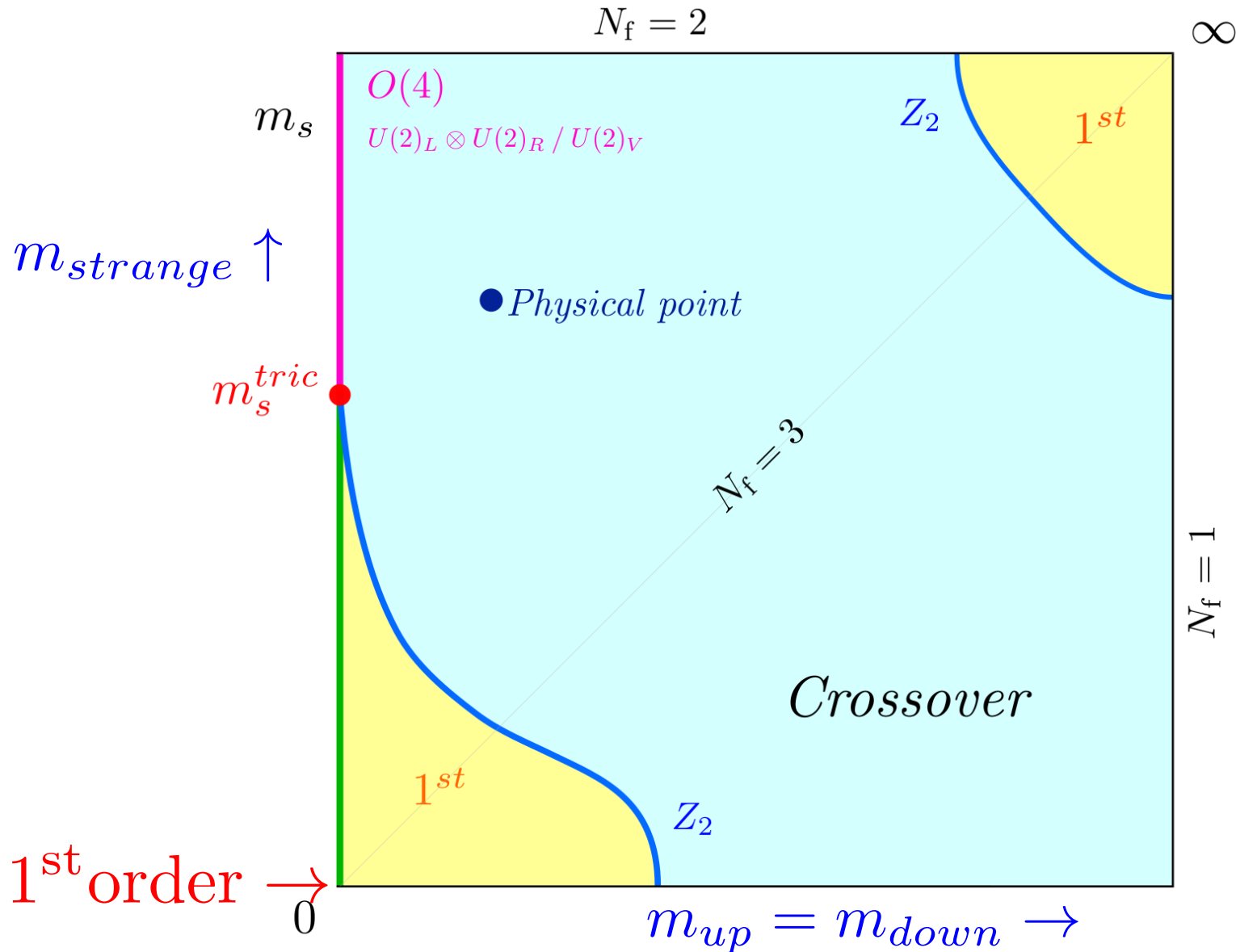
”Columbia” phase diagram, as a function of $m_u = m_d$ and m_s .

‘23: Lattice: consensus, QCD is crossover, $T_\chi = 156 \pm 2$ MeV

What is the order of the chiral transition for *massless* quarks?

Lattice: “Columbia” phase diagram for 3 flavors

Surely $\kappa_0(T_\chi) \neq 0$, chiral transition *1st* order in the chiral limit
Lattice QCD: Columbia group, Brown + ... PRL 65, 2491 (1990)



Lattice: “Frankfurt” phase diagram for 3 flavors

Cuteri, Philipsen, Sciarra: 2107.12739: chiral transition *2nd* order in χ limit!

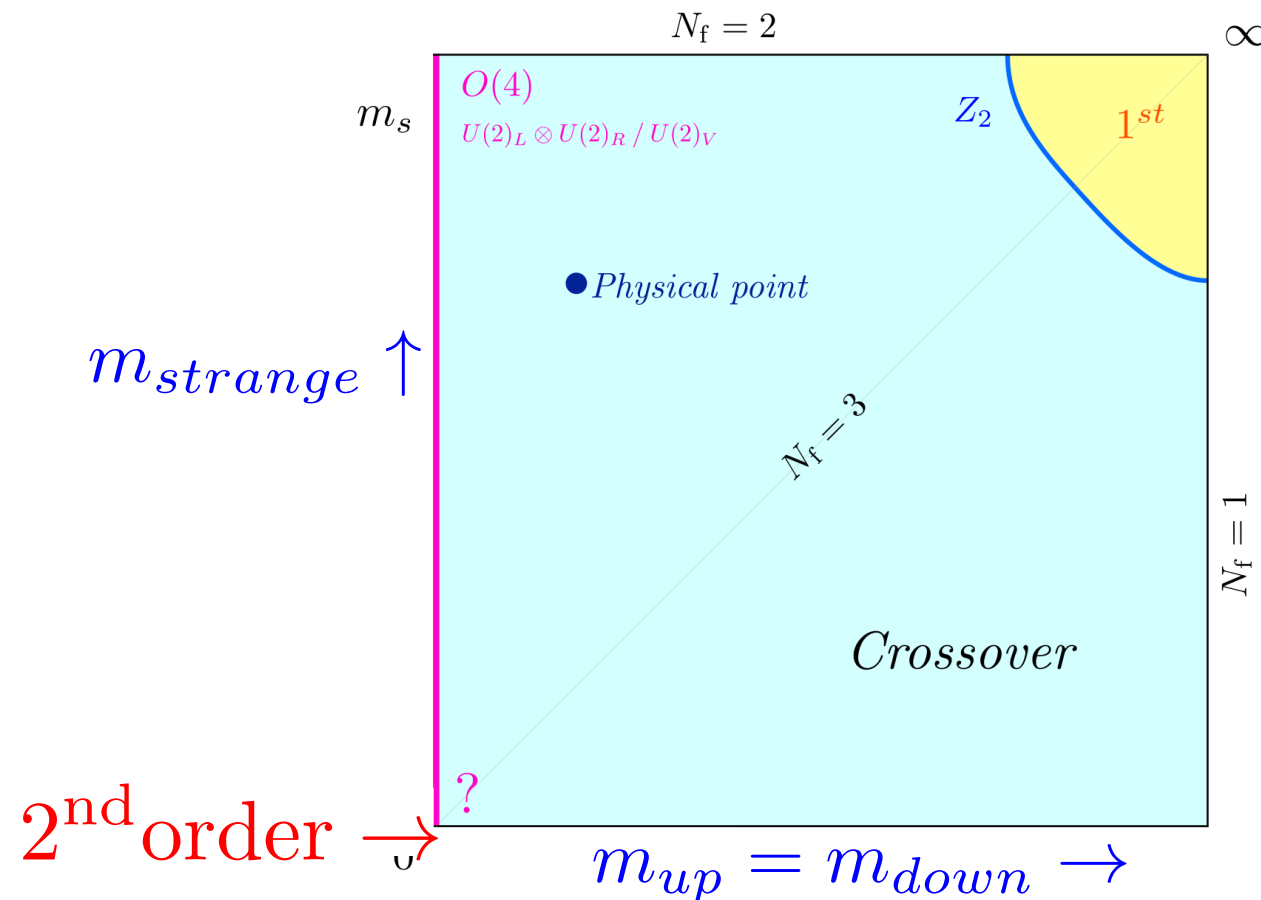
$$\kappa_0(T_\chi) = 0$$

If true, absolutely astonishing.

I assume instead that

$$\kappa_0(T_\chi) \ll \kappa_0(0)$$

So the 1st order region is *much* smaller than expected.



Bielefeld: 2111.12599: *no* sign of a 1st order transition for $m_\pi > 80$ MeV.

JLQCD: Aoki+... 2103.05954; 2212.10021; Lattice ‘23: Pasztor, Fukaya

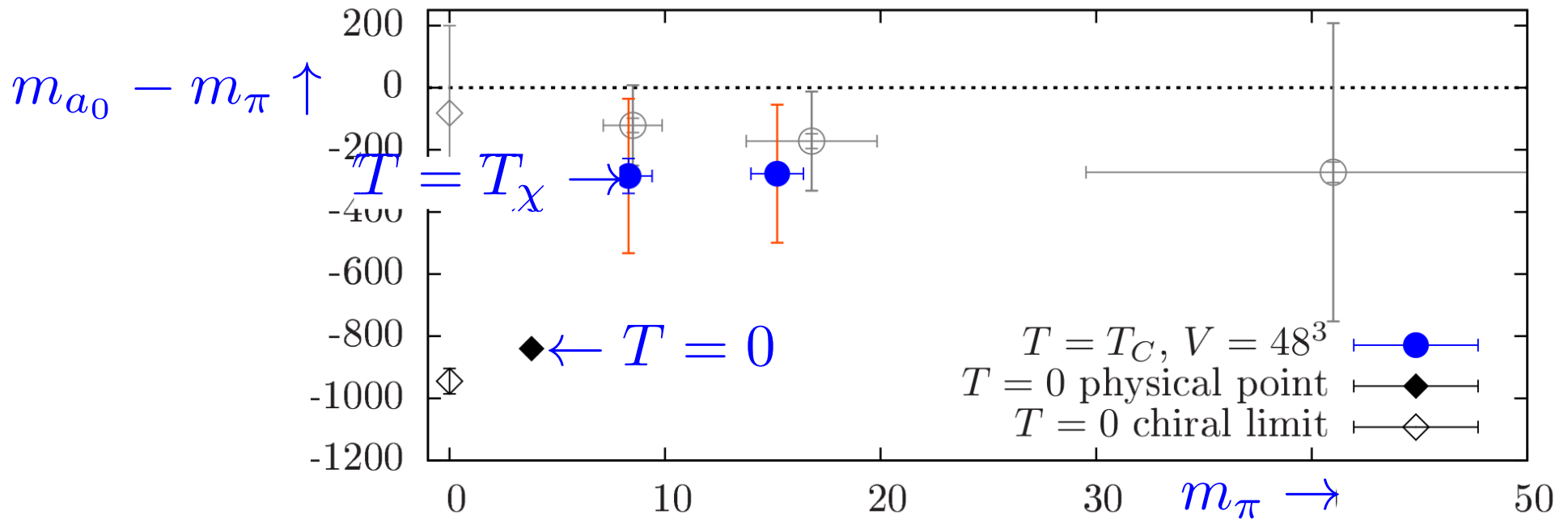
Lattice: anomaly and two flavors

Lattice: chiral transition for two flavors is 2nd order in the chiral limit, O(4)

Mass splitting between the σ and the η directly measure κ_0 ; easier to measure $a_0 - \pi$ splitting, $\Delta m = m_{a_0} - m_\pi$.

Brandt+...1904.02384: $\Delta m(T_\chi) - \Delta m(0) = 500$ MeV. *Strongly suggests*

$$\kappa_0(T_\chi) \ll \kappa_0(0)$$



Also JLQCD: Aoki+... 2011.01499; 2103.05954

What is the lattice telling us?

The general effective Lagrangian is a sum of $U(1)_A$ invariant terms

$$\mathcal{V}^{U(1)} = m^2 \text{tr} \Phi^\dagger \Phi + \lambda_1 (\text{tr} \Phi^\dagger \Phi)^2 + \lambda_2 \text{tr} (\Phi^\dagger \Phi)^2 + \dots$$

Include all anomalous terms to dimension four for two flavors, and six to three flavors:

$$\mathcal{V}^{Z(3)} = \kappa_0 \det(\Phi) + \kappa'_0 \text{tr}(\Phi^\dagger \Phi) \det(\Phi) + \kappa''_0 (\det \Phi)^2 + \text{c.c.}$$

Mean field theory: if only temperature is varied, one only tunes one parameter in the effective Lagrangian, usually the mass:

$$m^2(T) = m^2(0) + \# T^2$$

Tuning $m^2(T_\chi)=0$ and $\kappa_0(T_\chi)=0$ is unnatural.

A possible solution

RDP, Rennecke, Skokov, 2309.?: *in mean field theory, 1st order for $m_\pi < 150 \text{ MeV}$*

Contradicts lattice. So let $\sigma_0(T) = \langle \sigma \rangle(T)$. The *effective* coupling for $\det \Phi$ is

$$\kappa_0^{\text{eff}}(T) = \kappa_0 + \kappa'_0 \sigma_0(T)^2 + \kappa''_0 \sigma_0(T)^3$$

Work in mean field theory, all κ_0 , κ'_0 , κ''_0 *independent* of T, and *assume*

$$\kappa_0 \ll \kappa'_0 \sigma_0(0)^2, \quad \kappa''_0 \sigma_0(0)^3$$

At T=0, the effective coupling κ_0^{eff} is *large*, because κ'_0 & κ''_0 are large

But at T_χ , κ_0^{eff} is *small* because then only the *small* κ_0 enters.

Clearly unnatural: 1st order transition eventually arises for *some* $m_\pi < 80 \text{ MeV}$

Testable on the lattice with effort (3-point function)

If so, the restoration of χ symmetry drives the *approximate* restoration of $U(1)_A$ in the entire plane of T and μ , including T=0 for cold, dense quarks!

What if $U(1)_A$ is exactly restored at T_χ ?

If so, a profound and *very* interesting miracle.

For $SU(N_f) \times SU(N_f) \times U(1)$, in $4-\varepsilon$ dim's to $\sim O(\varepsilon)$, fluct ind'd *1st* order for $N_f > \sqrt{2}$.

Recently, evidence for a *new* fixed point at $\varepsilon=1$!

$N_f = 2$, $O(4) \times O(2)$: 1st order: Sorokin, 2105.00072; 2205.07199. Monte Carlo

2nd order: Calabrese, Parruccini, 0403140; pert thy in 3 dim's

2nd order: conformal bootstrap, Nakayama & Ohtsuki, 1407.6195;
Henriksson, Kousvos, Stergiou, 2004.14388

$N_f = 3$, $SU(3) \times SU(3) \times U(1)$:

2nd order: Kousvos, Stergiou, 2209.02837: conformal bootstrap

Adzhemyan + ..., 2104.12195: ε -expansion to *six* loop order!

Possibly new fixed points for $SU(N_f) \times SU(N_f) \times U(1)$;

Or: “pseudocritical” = *weakly* 1st order, Gorbenko+...1807.11512

The future of QCD

Today, five amuse-bouche

At $T \neq 0$, $\mu \leq T$, numerical simulations of lattice QCD are the *bedrock* for our understanding of heavy ion collisions at very high energy.

At T and $\mu \neq 0$, *especially* $T = 0$, “all” we need to do is solve the sign problem.

Surely requires quantum computers. Can start today with toy models

e.g., “QZD”, $Z(3)$ in 1+1 dim.’s with 3 massive flavors, RDP 2101.05813 +...

A. Florio, RDP, S. Valgushev, A. Weichselbaum, 2310.? + S. Economou+....

Solving the sign problem, including in QCD, is one of *the* major problems for theoretical physics in the 21 st century.

Happy 50th birthday to QCD!

QCD will *undoubtedly* remain cutting edge for its 75th *and* 100th birthdays!

Last amuse-bouche:

A confession...