



Background Determination for the LUX-ZEPLIN Experiment



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UCLA Dark Matter 2023

LZ Collaboration



<https://lz.lbl.gov/>

[@lzdarkmatter](#)

36 institutions: ~250 scientists, engineers, and technical staff



Other LZ talks @ UCLA: 1) [WIMP results](#); 2) [Ultra heavy DM](#); 3) [MIGDAL](#)

The Backgrounds Problem

WIMP sensitivities of current experiments

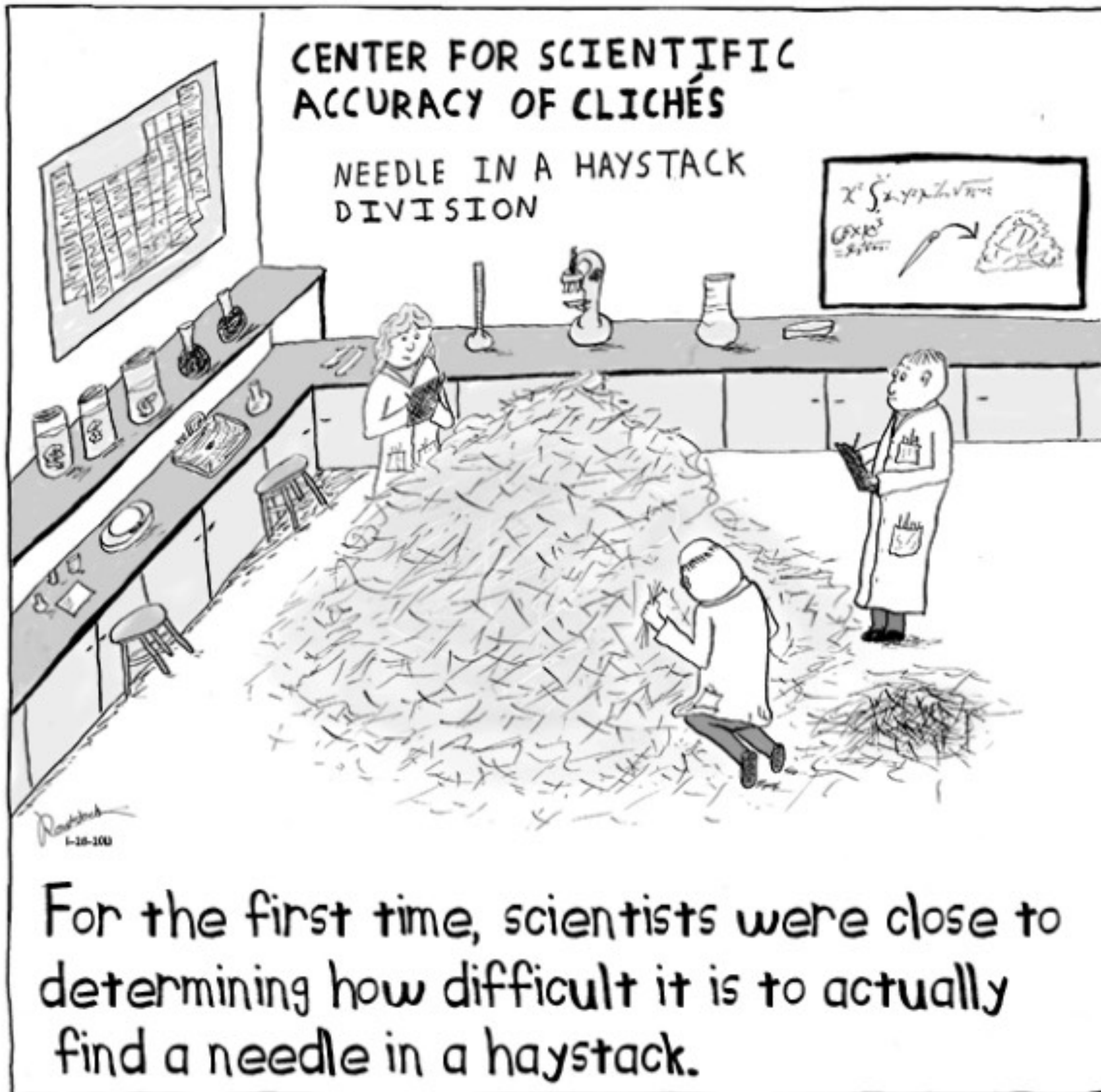
$O(1)$ events/tonne/year

Typical particle detector on the surface

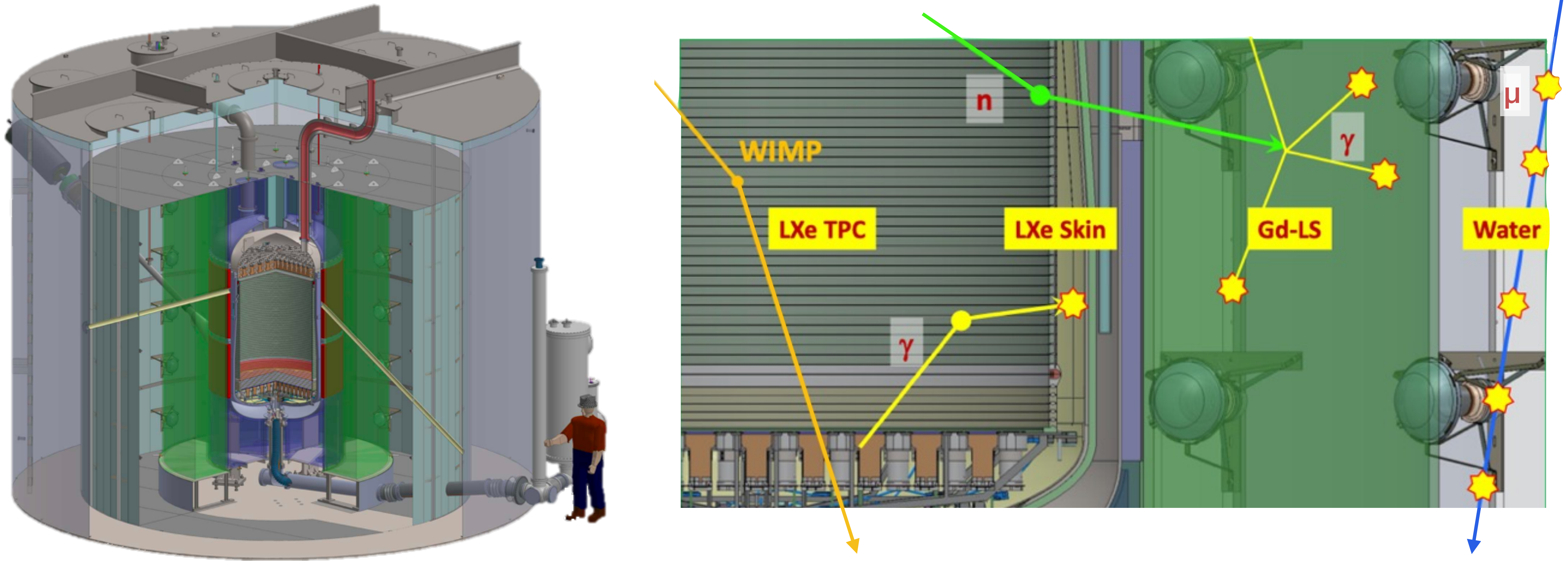
$O(10^{12})$ events/tonne/year

How can we mitigate backgrounds?

- Discrimination built into design
- Control & cleanliness in construction
- Assessment & reduction in analysis

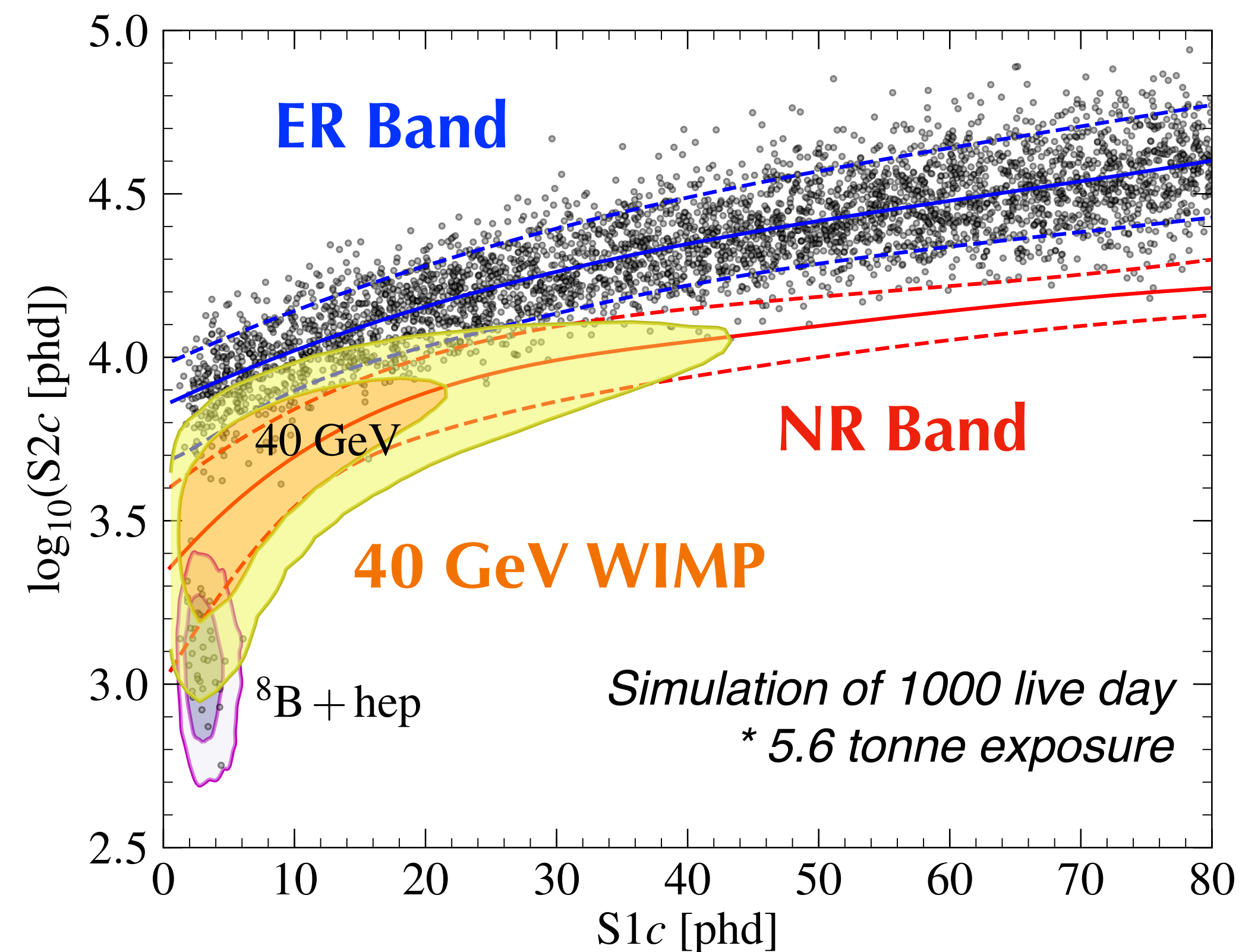
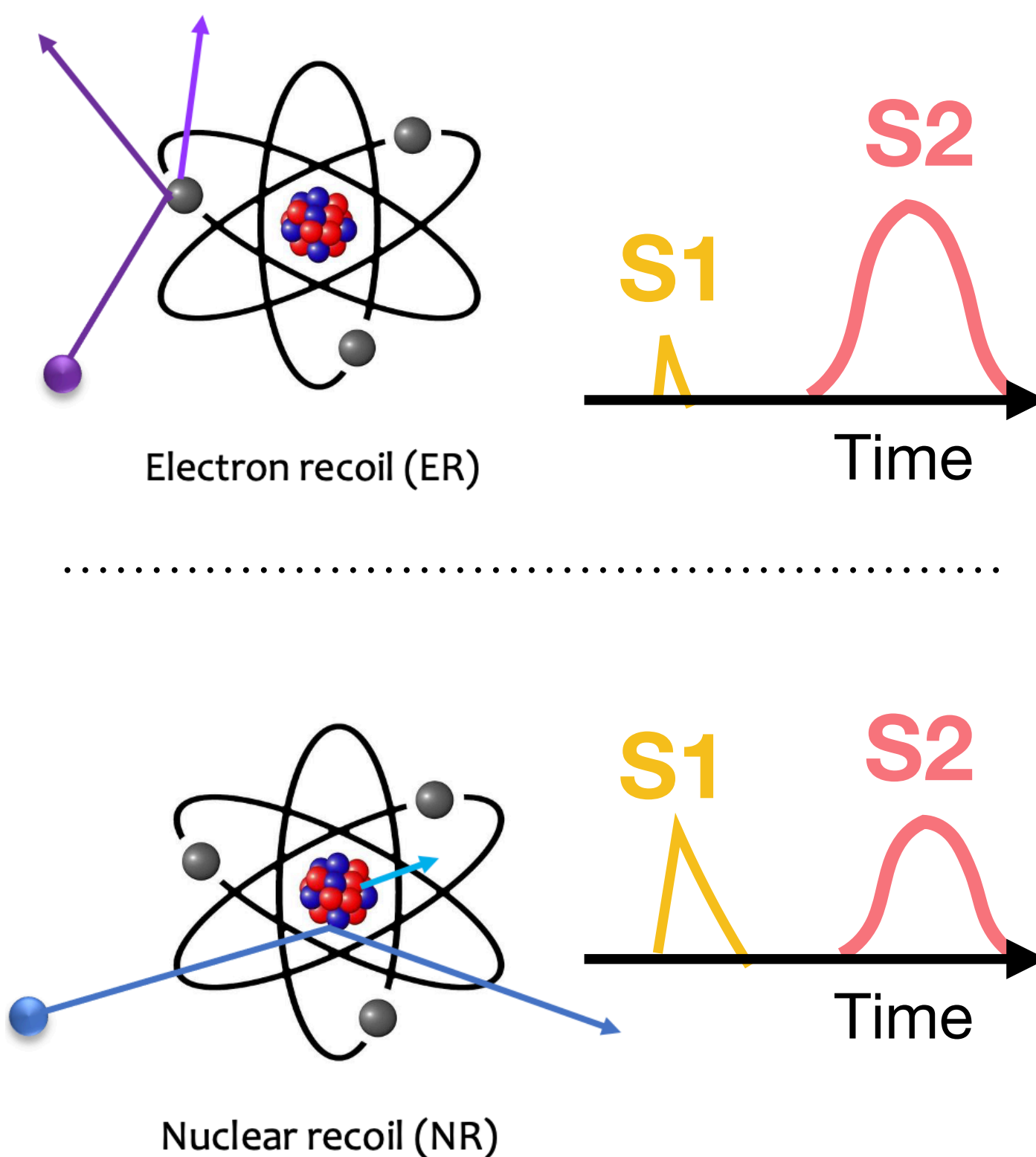
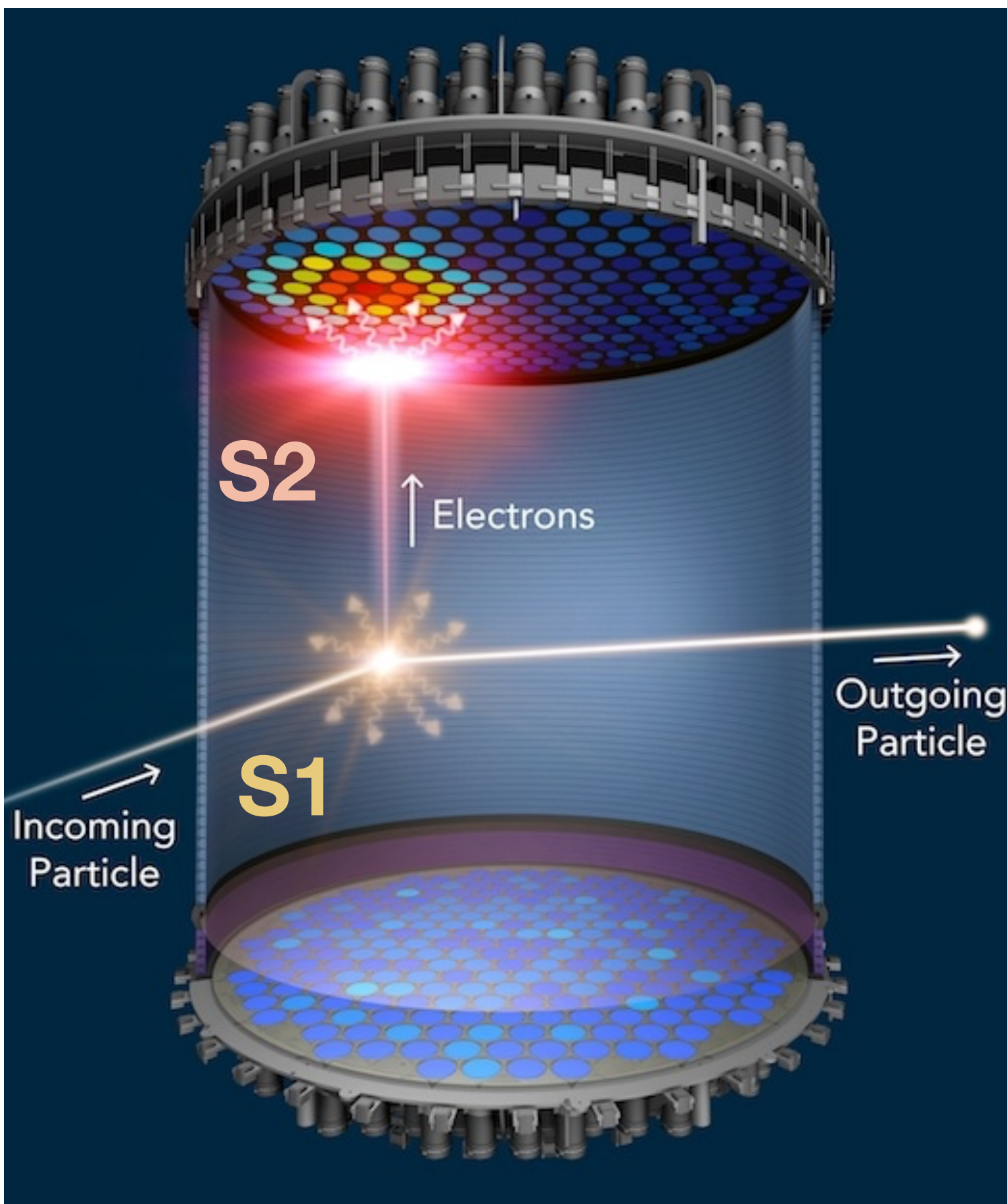


Designing a Low Background Experiment



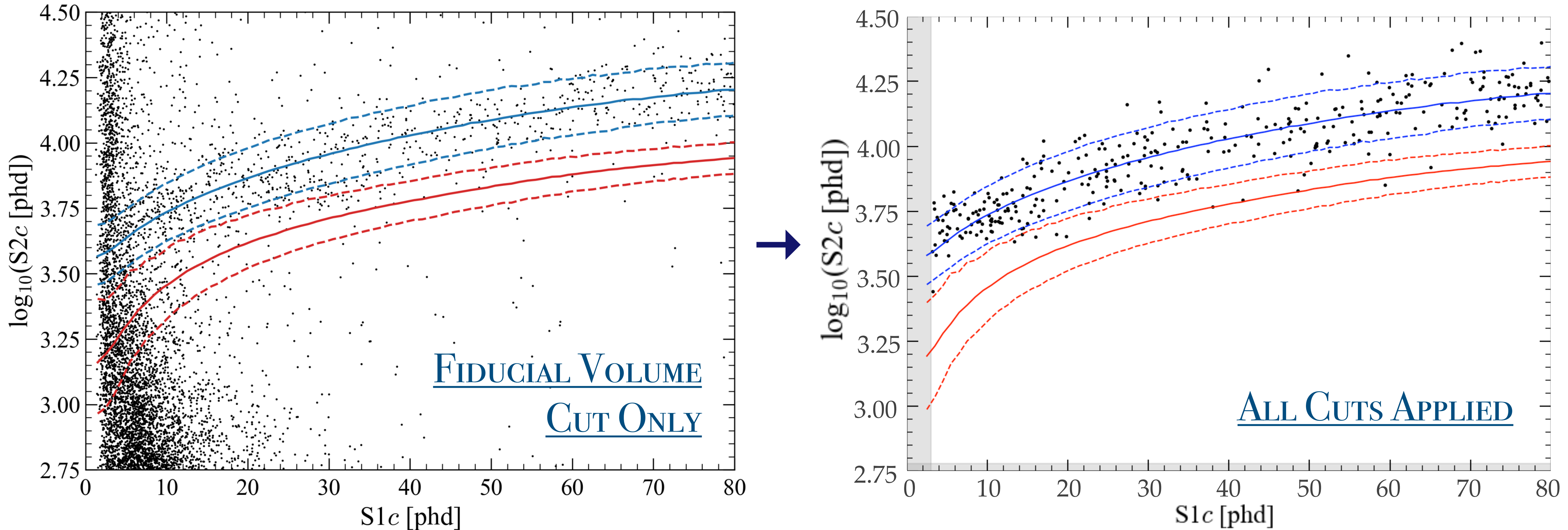
- LZ hosted underground in Davis Cavern @ SURF → $\sim 10^6$ muon flux reduction
- Built with radiopure materials, selected based on ~ 2000 radioassays [[EPJC, Vol 80: 1044 \(2020\)](#)]
- Tri-detector system: Skin & Outer Detector around TPC to tag external γ -rays and neutrons

Designing a Low Background Experiment



- $S2/S1$ ratio \rightarrow discrimination between WIMP (NR) & β -particle or γ -ray (ER) events
- Self-shielding xenon + 3D position reconstruction \rightarrow background-light fiducial volume

First Science Run (SR1)

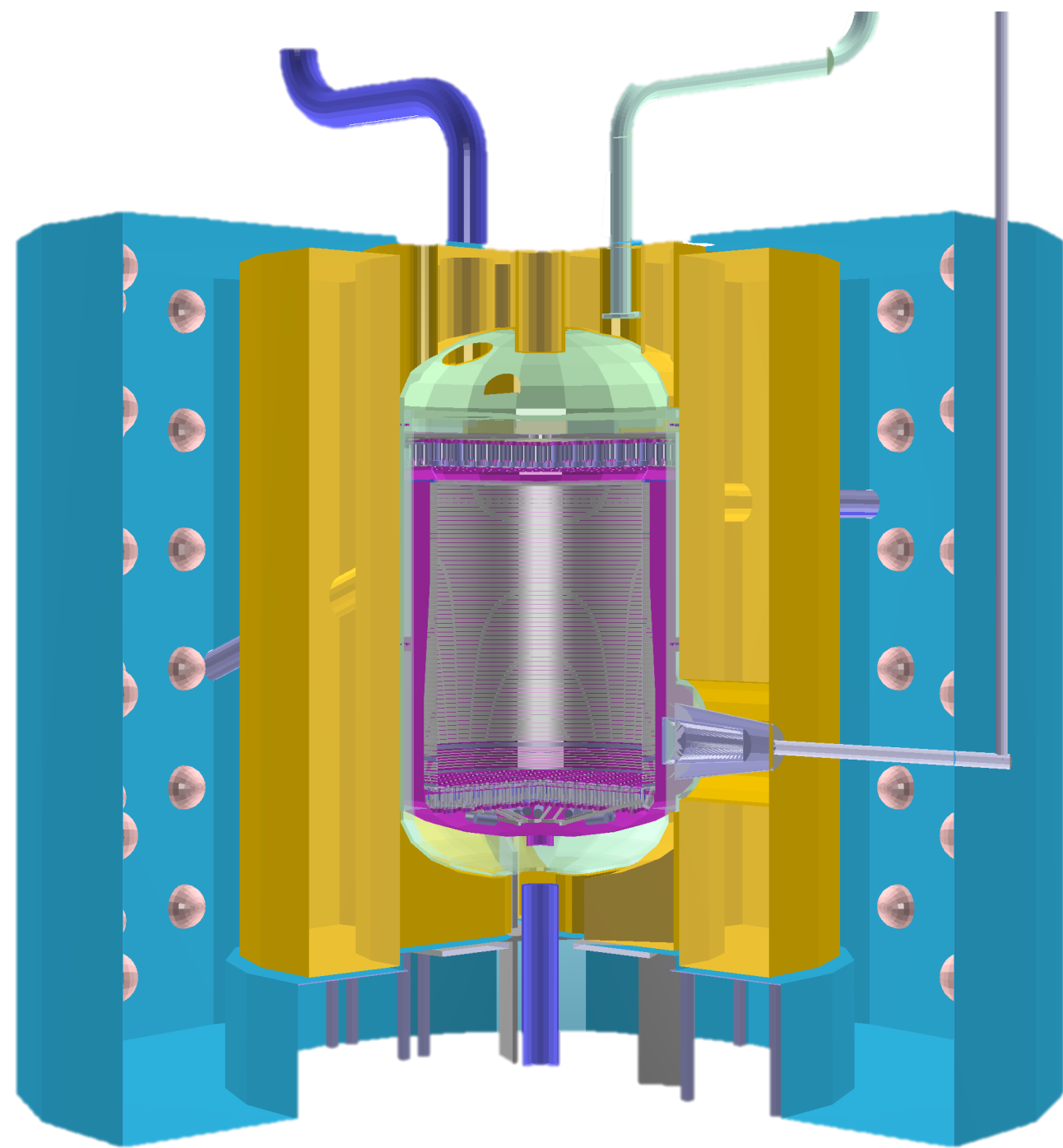


- First, world-leading WIMP results achieved with just 60 live days [[arXiv:2207.03764](https://arxiv.org/abs/2207.03764)]
- Developed cuts with high background rejection, maintaining high signal acceptance
 - 335 events remaining → what are these events?

Building a Background Model

1) Particle & Detector Modelling

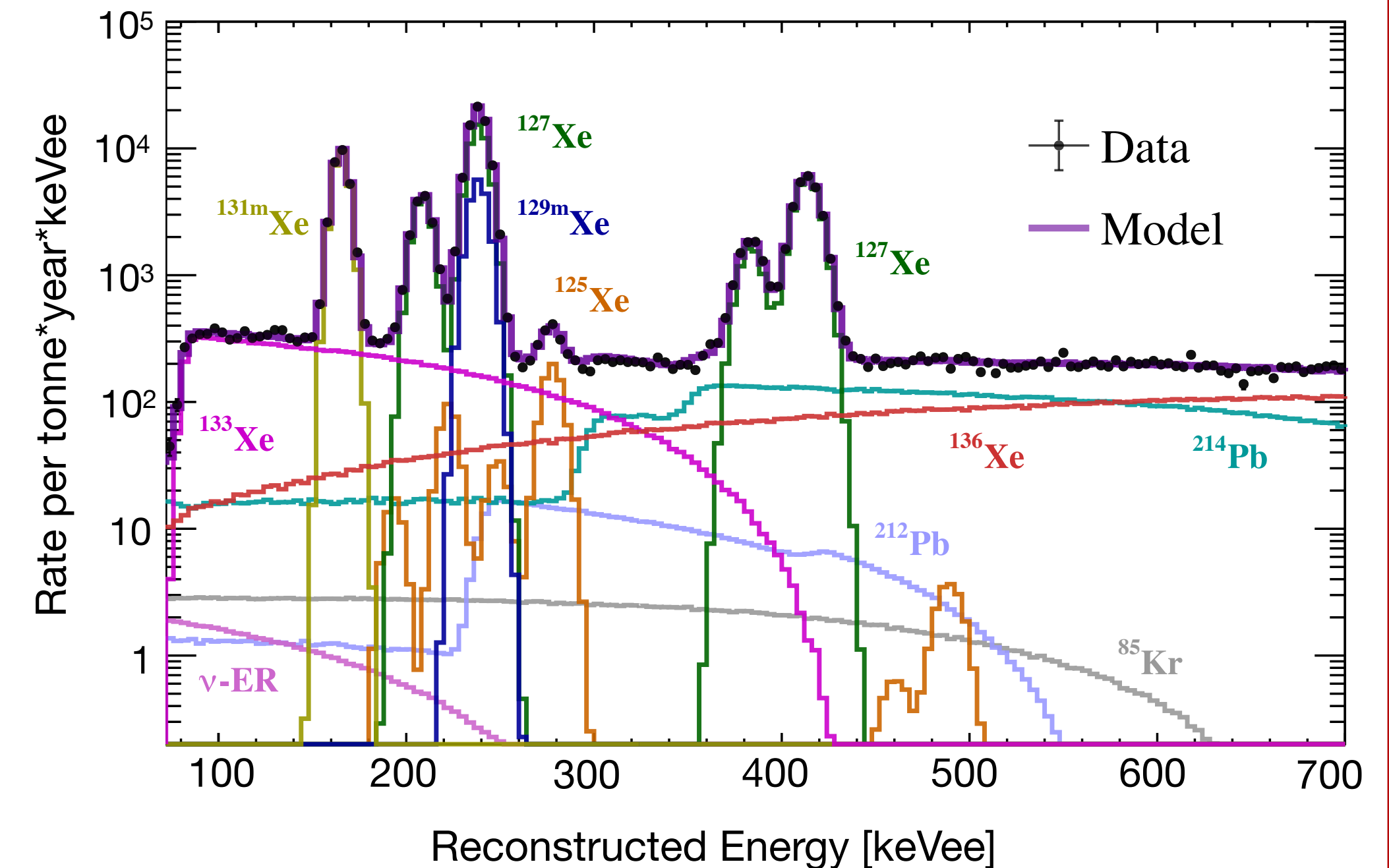
- GEANT4-based simulation framework with bespoke features
- Used in sensitivity studies



[Astropart. Phys. 2020.102480](https://arxiv.org/abs/2010.10248)

2) In-Situ Background Measurements

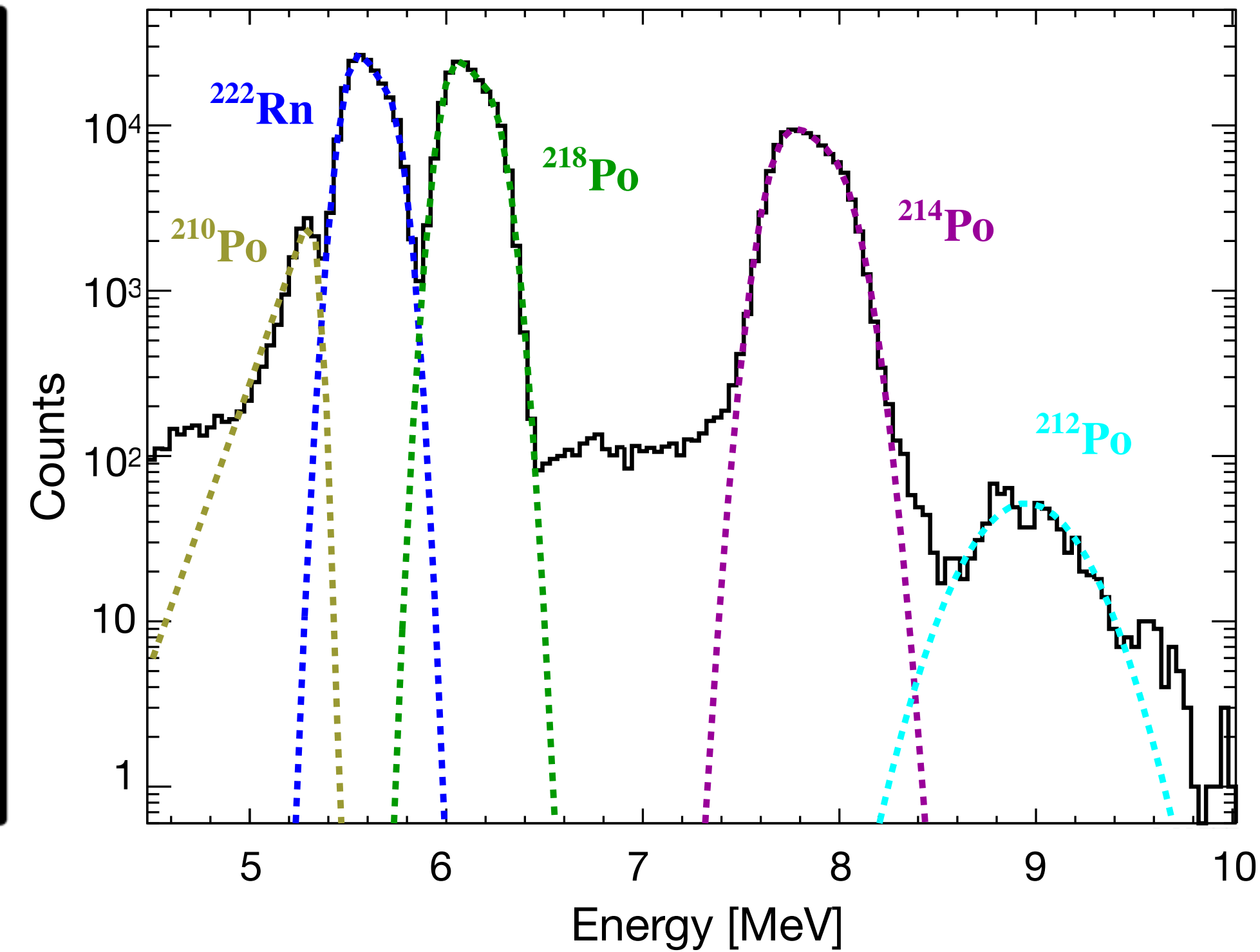
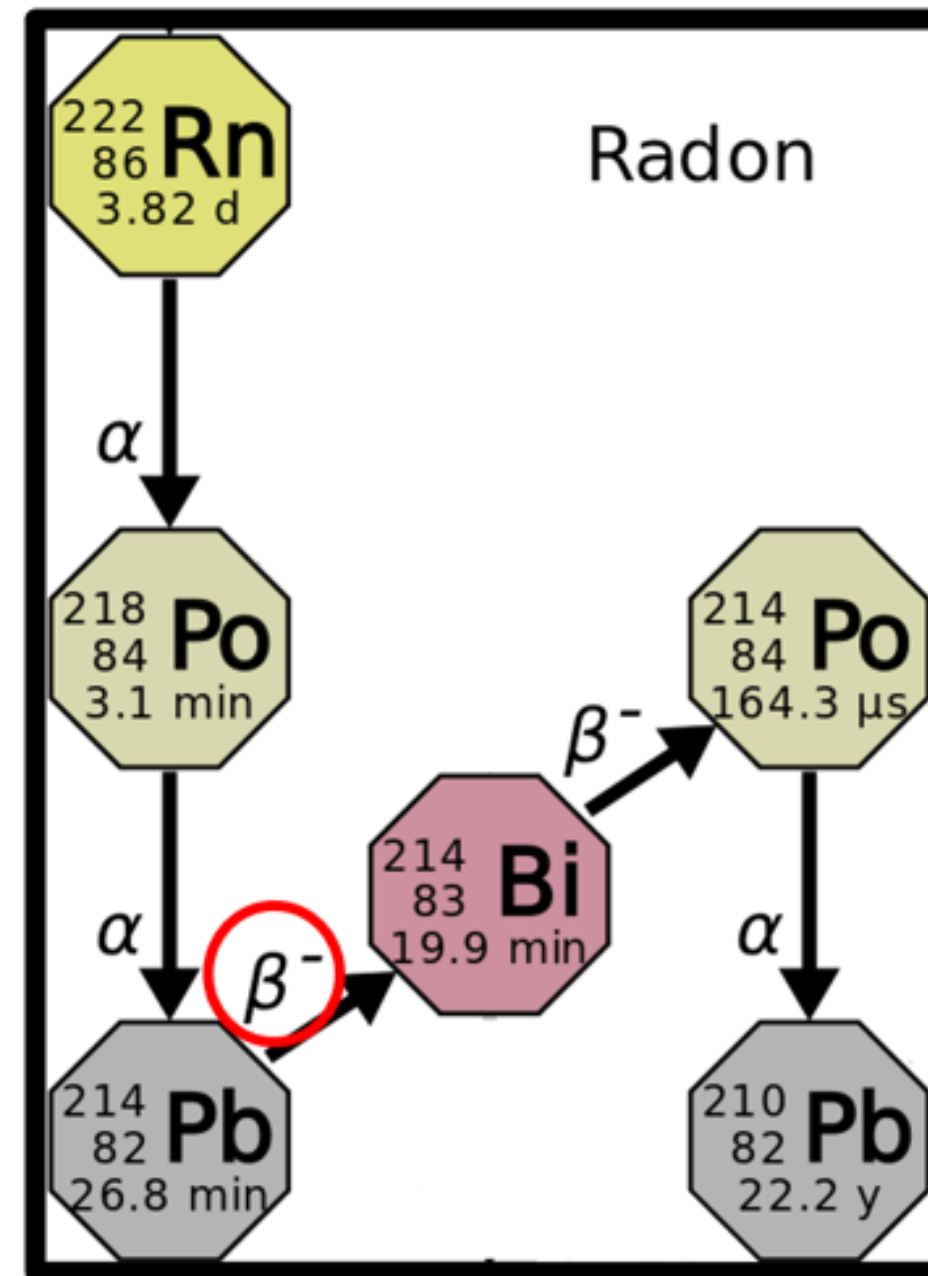
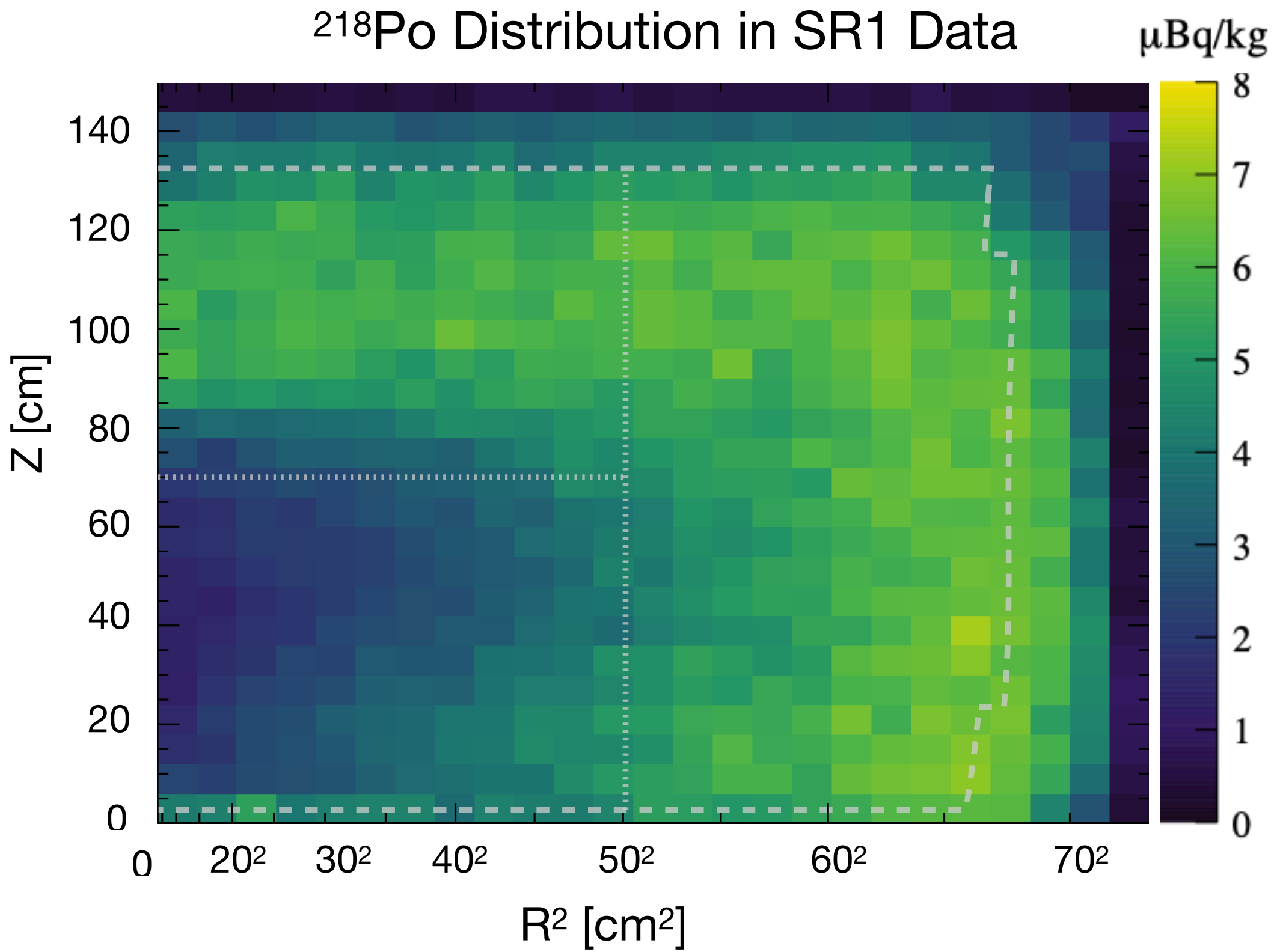
- WIMP sideband analyses
- Energy spectrum fitting at higher energies



3) Cut Development & Acceptance Assessment

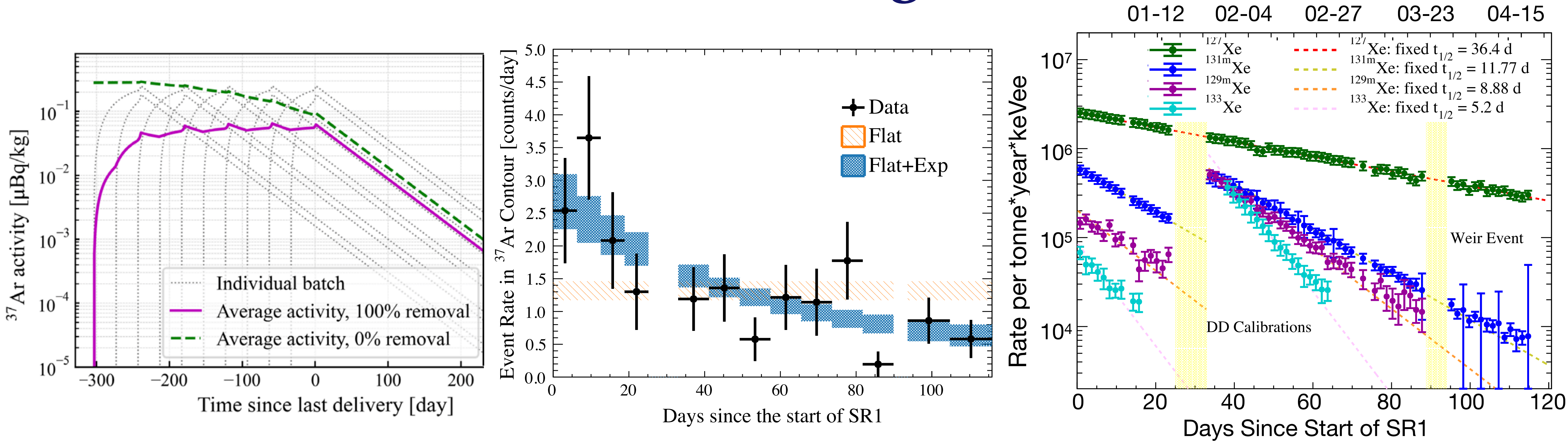
- Fiducial volume, S1 & S2 pulse shape cuts etc.

Radon



- Radon emanates from detector materials into the xenon
- Non-uniform position distribution due to xenon flow and charged ion movement
- “Naked” ^{214}Pb β decays are the main WIMP background \rightarrow cannot be directly tagged
 - $\mathcal{O}(\text{MeV})$ ^{218}Po & ^{214}Po α signals used to bound ^{214}Pb rate & infer its position distribution

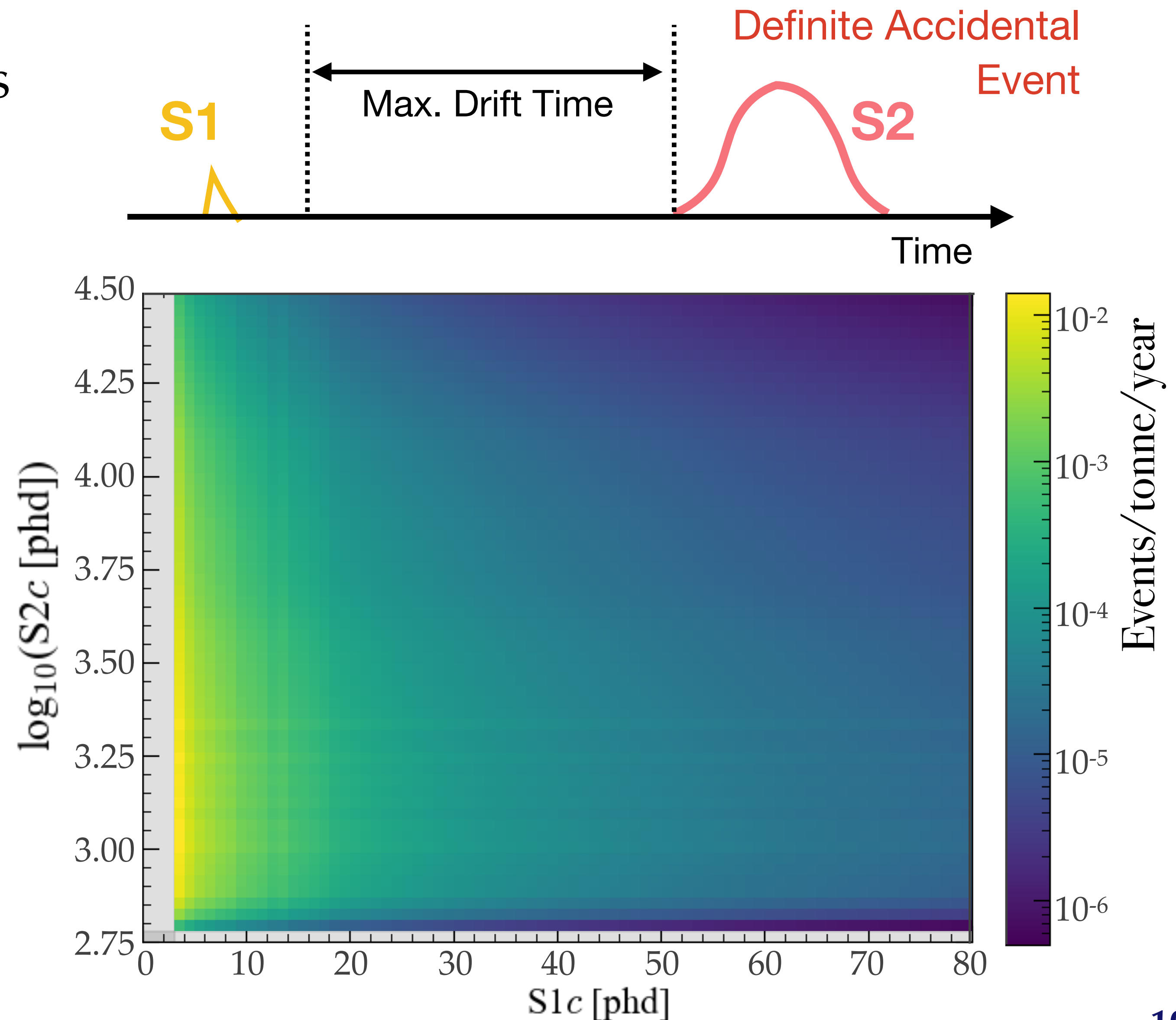
Activation Backgrounds



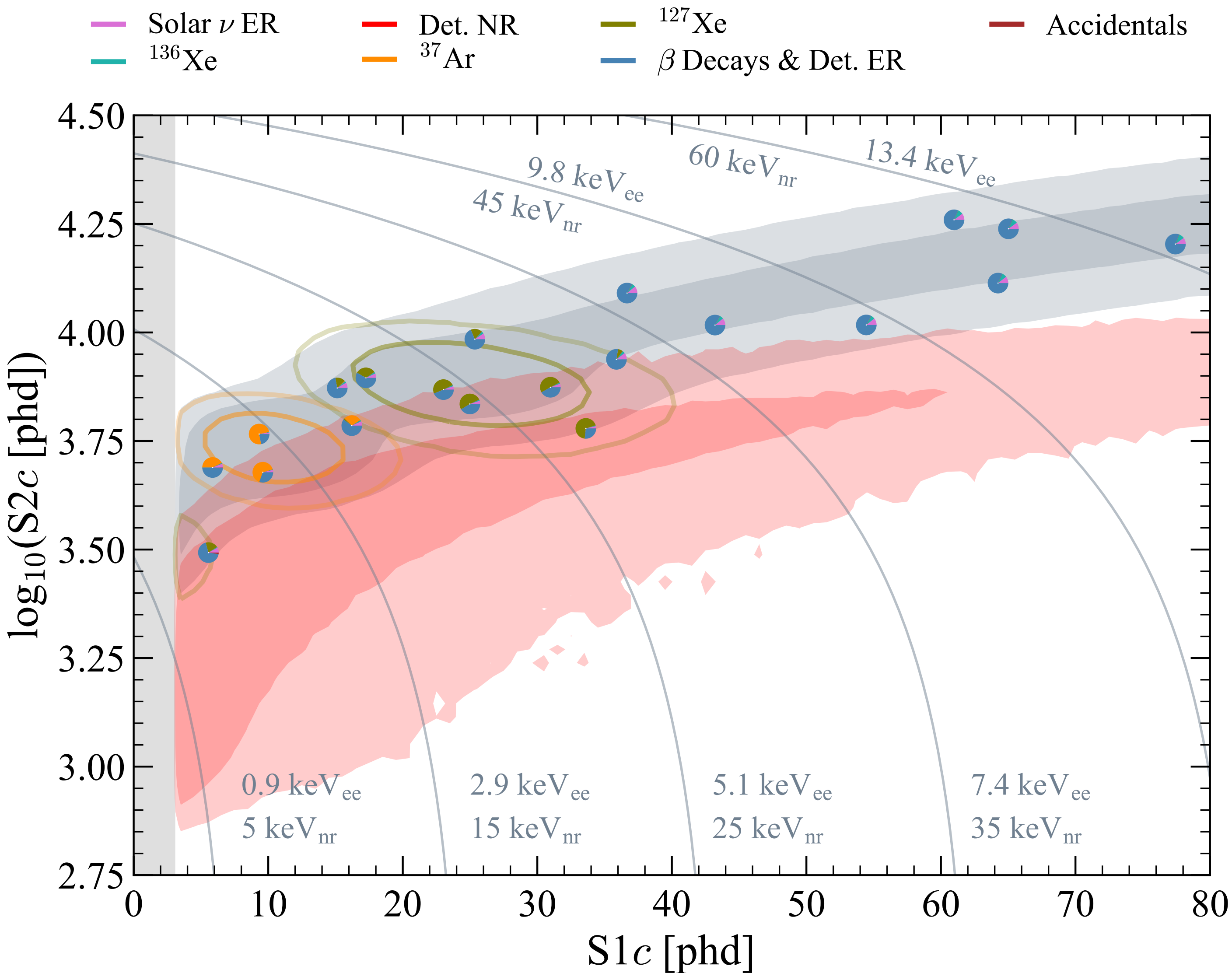
- Cosmogenic activation \rightarrow decaying xenon isotope & ^{37}Ar contributions during SR1
- ^{37}Ar a significant WIMP search background ($\tau_{1/2} = 35$ days; monoenergetic 2.8 keV ER)
 - Estimated using ACTIVIA & exposure of the xenon during transport [[PRD 105, 082004 \(2022\)](#)]
 - Fit in data - decaying + flat background consistent with data (p-value = 0.43)
- Xenon isotope rates enhanced by neutron activation; measured via energy spectrum fits

Accidental Coincidences

- Unrelated S1s & S2s can accidentally combine to produce single scatter events
- Rate: population of definite accidental events with drift time >1 ms
- Distribution: fake events constructed from lone S1 & S2 pulse waveforms
- Analysis cuts developed to combat observed pulse/event pathologies
 - $>99.5\%$ efficiency in removing accidentals
 - SR1 WIMP search counts: 1.2 ± 0.3

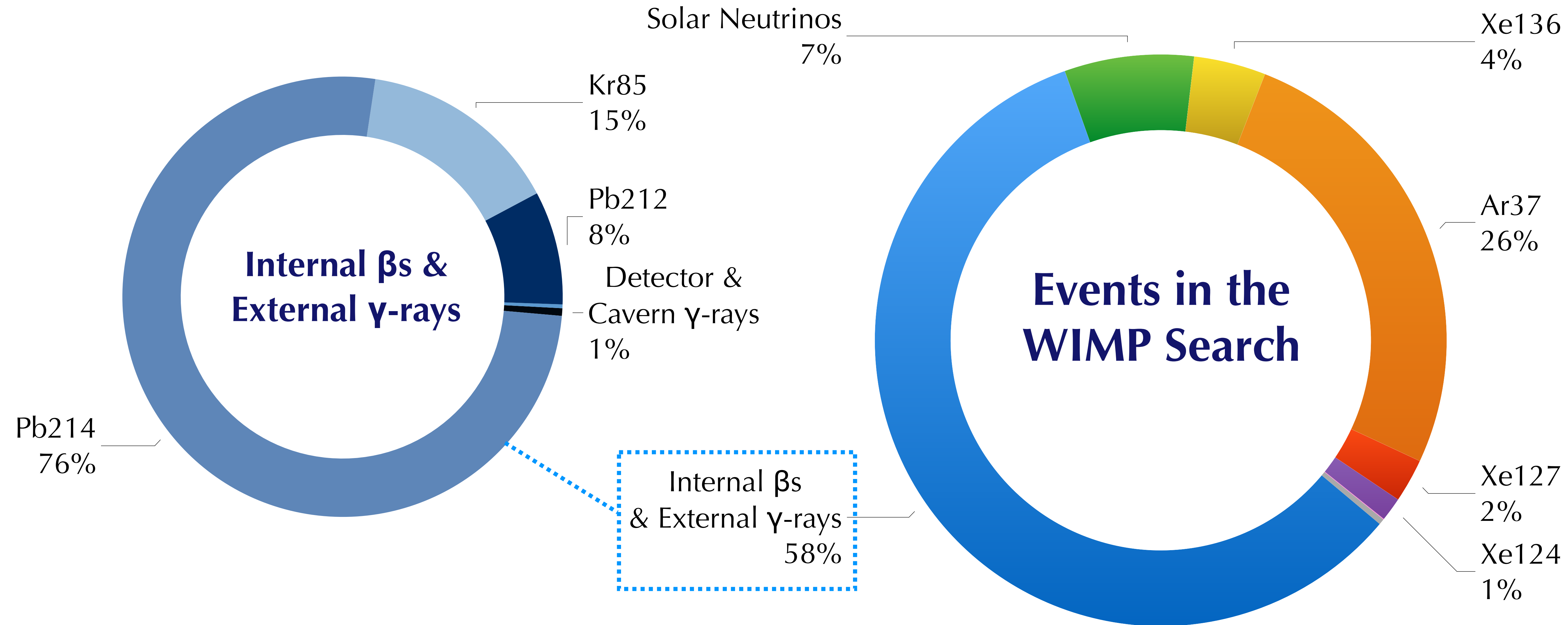


Neutrons



- OD Gd-loaded scintillator - high thermal neutron capture cross-section
 - Measured OD neutron tagging efficiency of $88.5 \pm 0.7\%$
- Likelihood analysis of sideband of events passing all WIMP search cuts except OD anti-coincidence
 - Constraint in sideband of $0^{+0.8}$ events
 - Constraint on SR1 WIMP search neutron background of $0^{+0.2}$ events

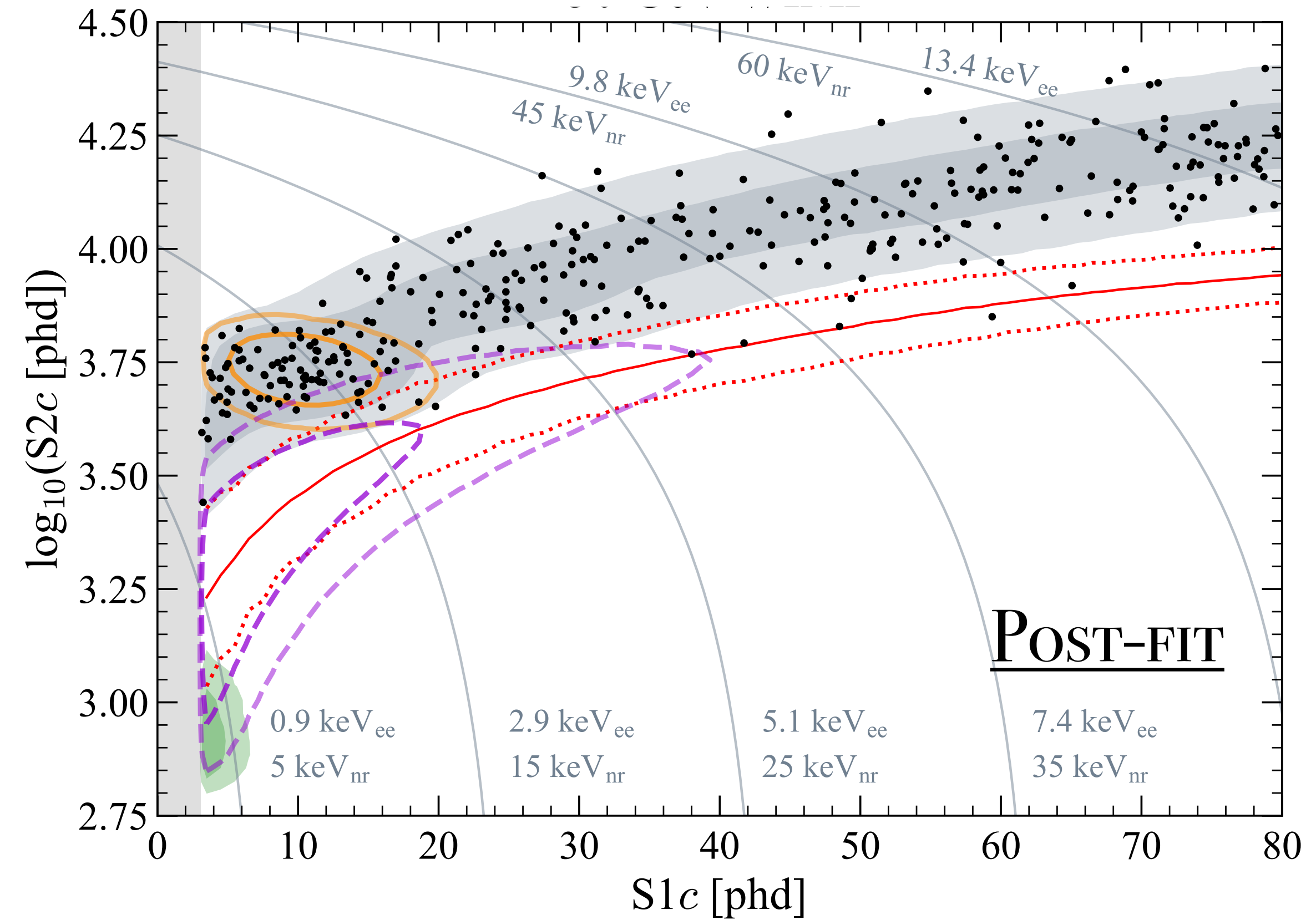
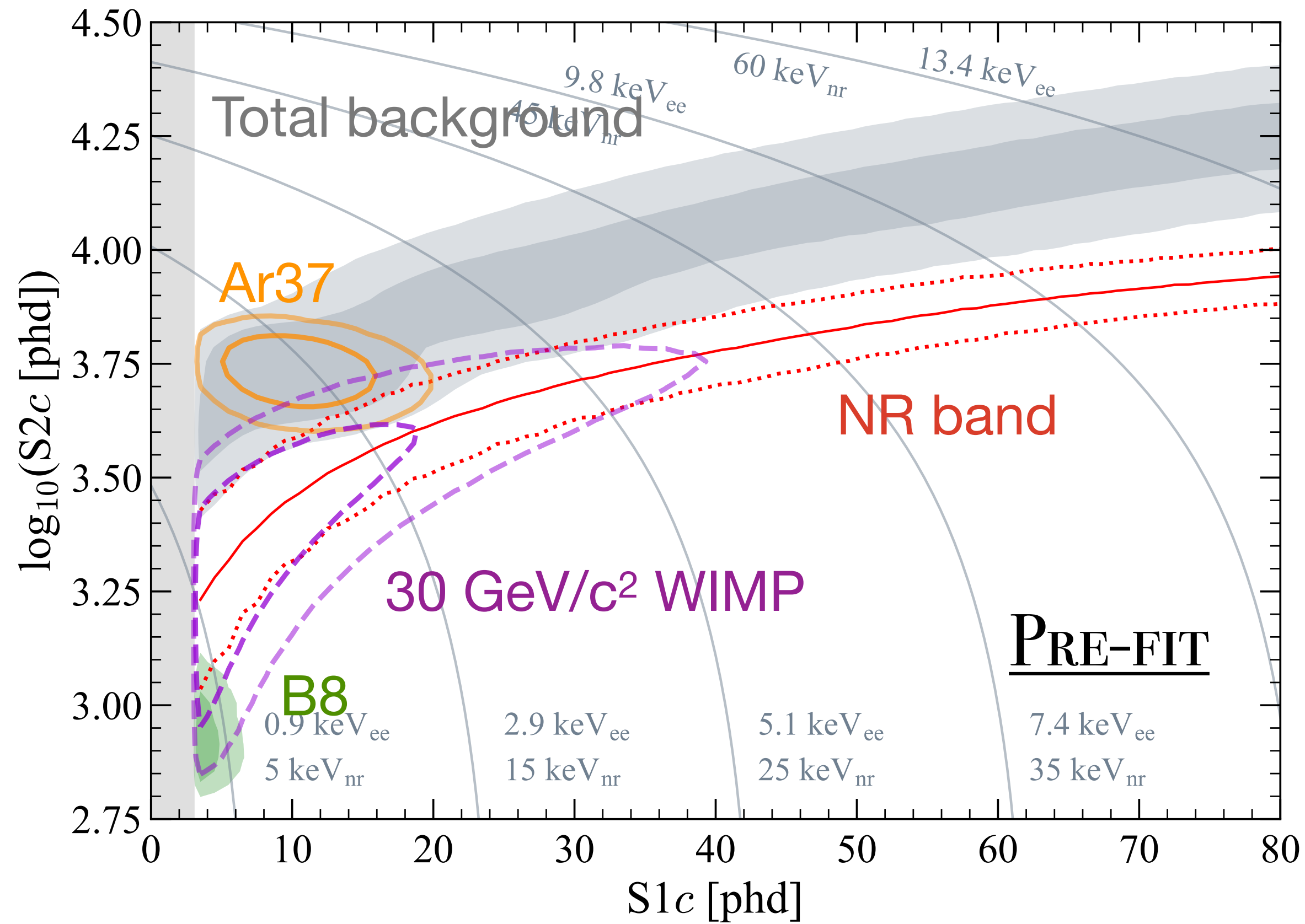
Background Model Expectations



Total expected **NR** counts in SR1 WIMP search: **0.14**

Total expected **ER** counts for SR1 WIMP search: **273** + [0, 288] from ³⁷Ar

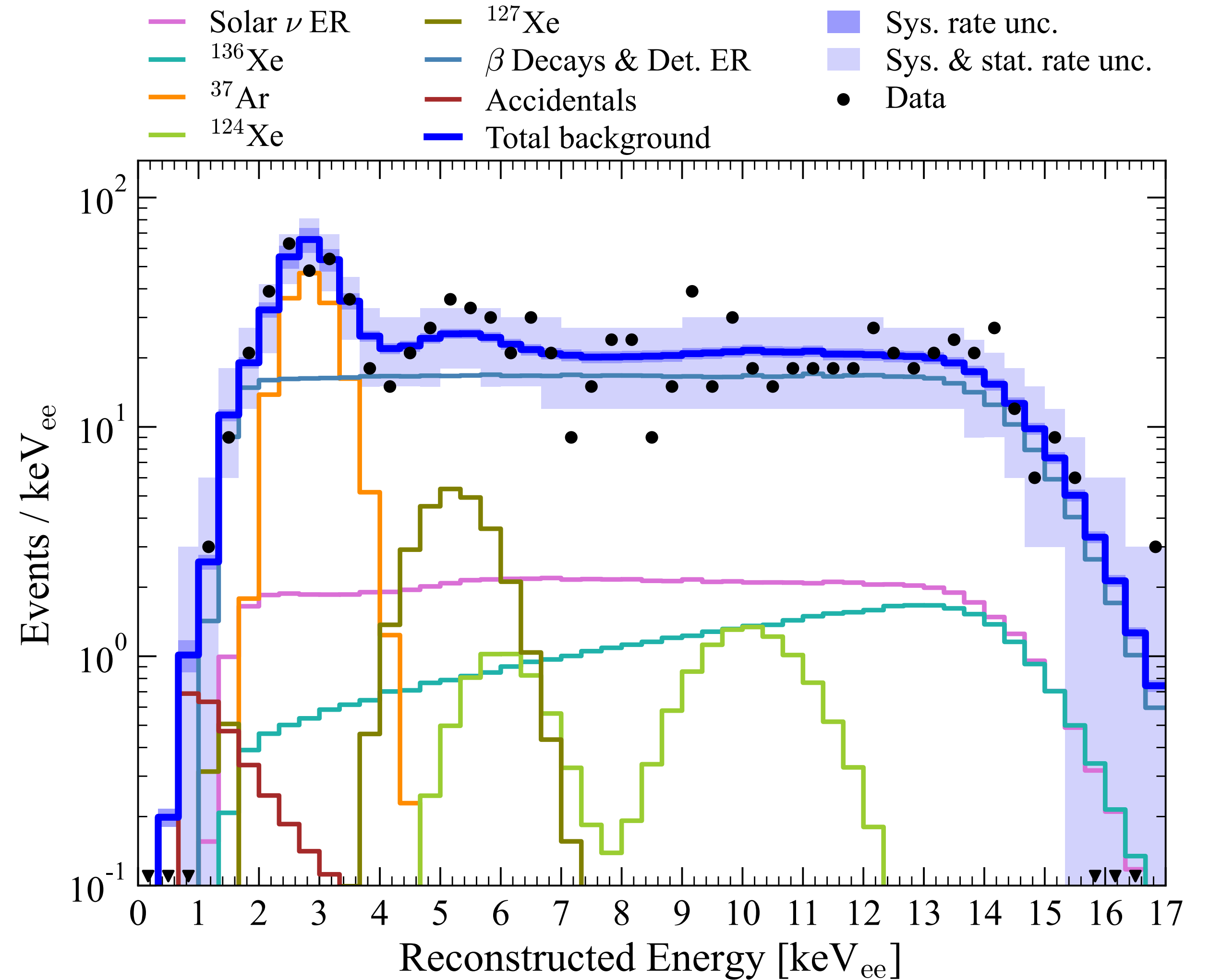
Background Model Fit



- Background PDFs for fit created with energy deposit + detector response simulations
- No visible change in pre- & post-fit total BG contours
→ we predicted our backgrounds well!

Background Model Fit

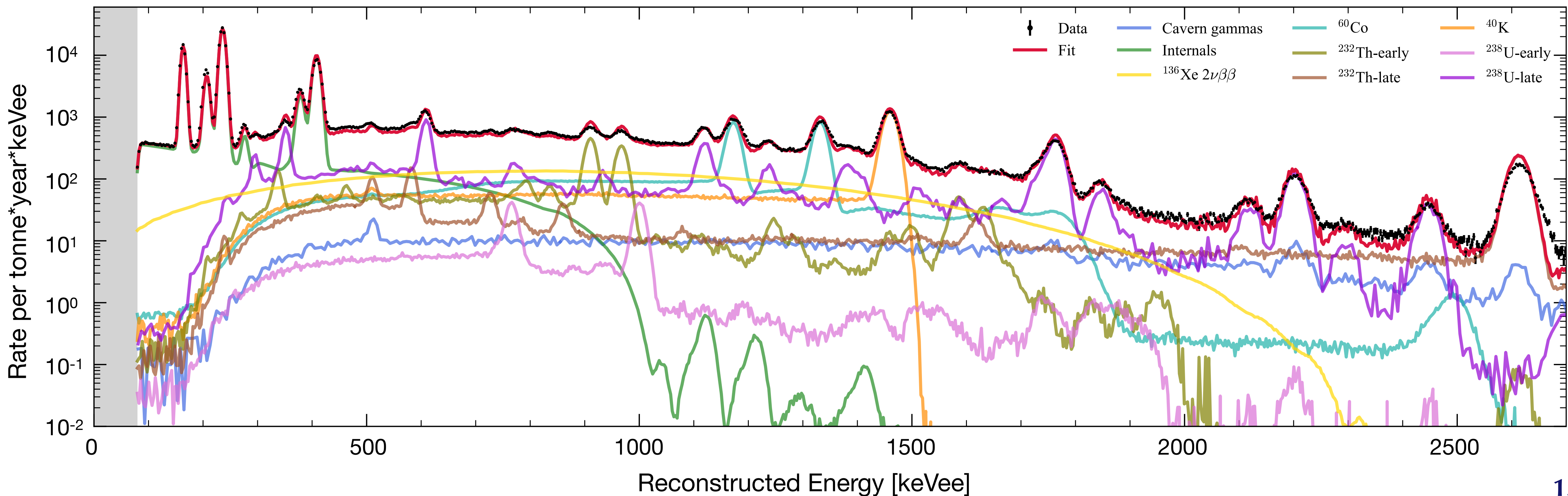
Source	Expected Events	Fit Result
^{214}Pb	164 ± 35	-
^{212}Pb	18 ± 5	-
^{85}Kr	32 ± 5	-
Det. ER	1.4 ± 0.4	-
β decays + Det. ER	215 ± 36	222 ± 16
ν ER	27.1 ± 1.6	27.2 ± 1.6
^{127}Xe	9.2 ± 0.8	9.3 ± 0.8
^{124}Xe	5.0 ± 1.4	5.2 ± 1.4
^{136}Xe	15.1 ± 2.4	15.2 ± 2.4
^8B CE ν NS	0.14 ± 0.01	0.15 ± 0.01
Accidentals	1.2 ± 0.3	1.2 ± 0.3
Subtotal	273 ± 36	280 ± 16
^{37}Ar	[0, 288]	$52.5^{+9.6}_{-8.9}$
Detector neutrons	$0.0^{+0.2}$	$0.0^{+0.2}$
30 GeV/c ² WIMP	-	$0.0^{+0.6}$
Total	-	333 ± 17



Best-fit number of zero WIMP events for all masses examined

Conclusions

- Successful background model built for SR1 underpinning WIMP search result
- Model extends beyond the WIMP search region of interest to other energy ranges
- Results seen today, and more, can be found in new dedicated paper: [arXiv:2211.17120](https://arxiv.org/abs/2211.17120)



A photograph showing two individuals in white protective suits and hoods, likely in a laboratory or cleanroom setting. They are positioned on either side of a large, flat surface covered with a white material, possibly a sheet or a piece of equipment. The scene is dimly lit with a strong blue light source, creating a high-contrast, futuristic atmosphere. The individuals appear to be focused on their task, with their hands near the surface. The overall composition is symmetrical, emphasizing the central area of activity.

Back Up

Constructing a Low Background Experiment

1. Underground Location

- LZ hosted in Davis Cavern @ SURF
→ $\sim 10^6$ muon flux reduction

2. Radiopure Material Selection

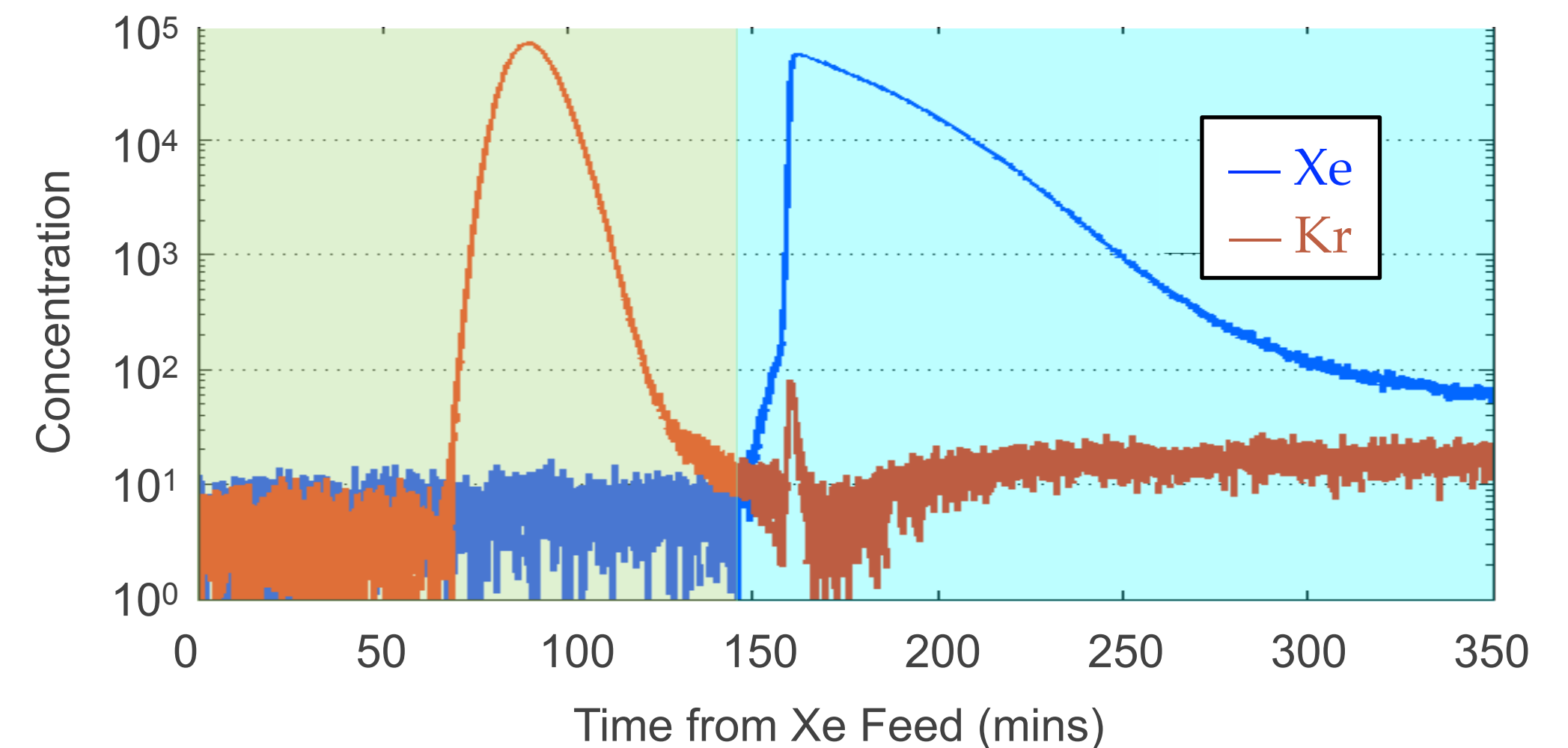
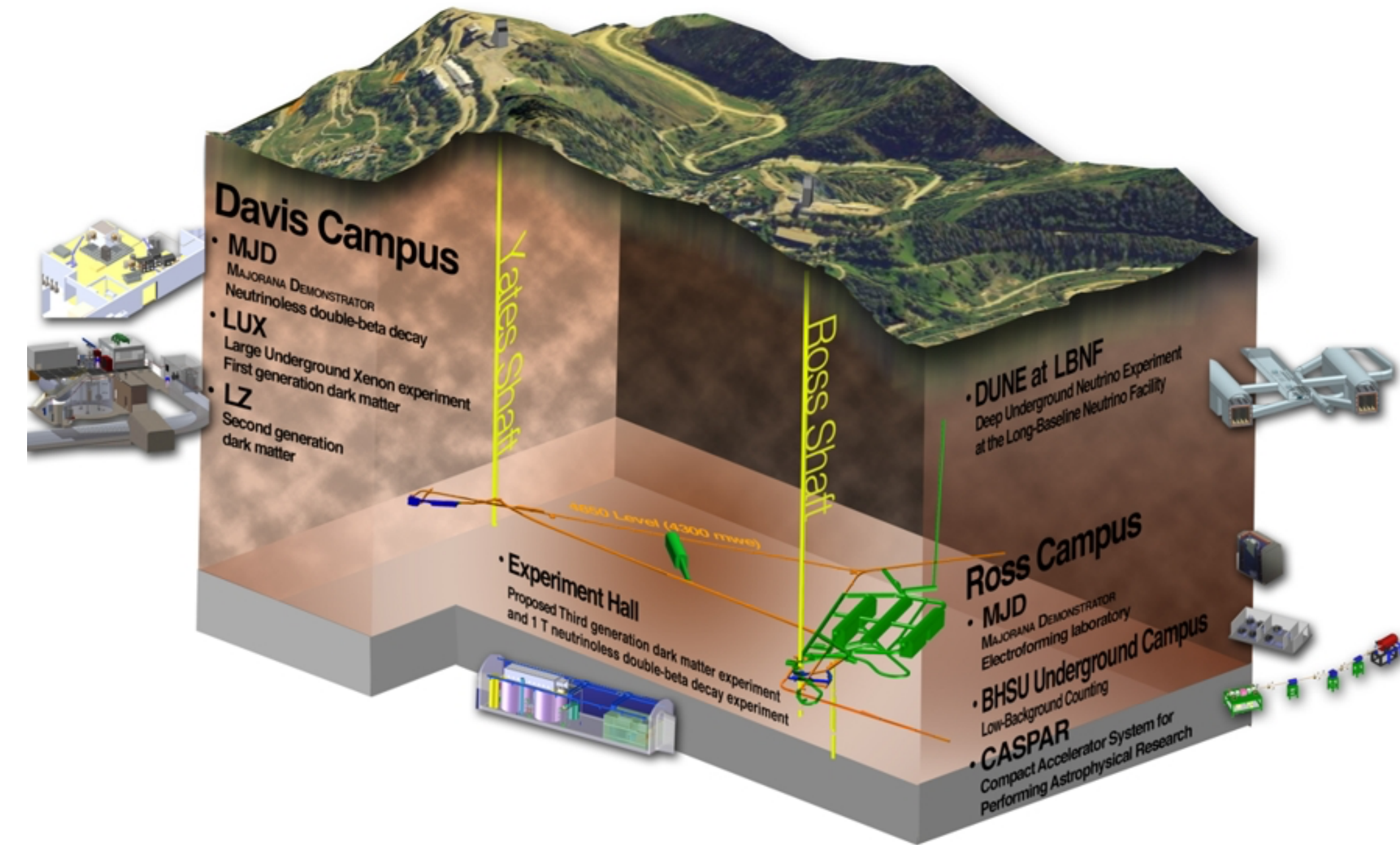
- Based on ~ 2000 assays with 13 HPGe detectors, ICP-MS, neutron activation analysis

3. Cleanliness Control

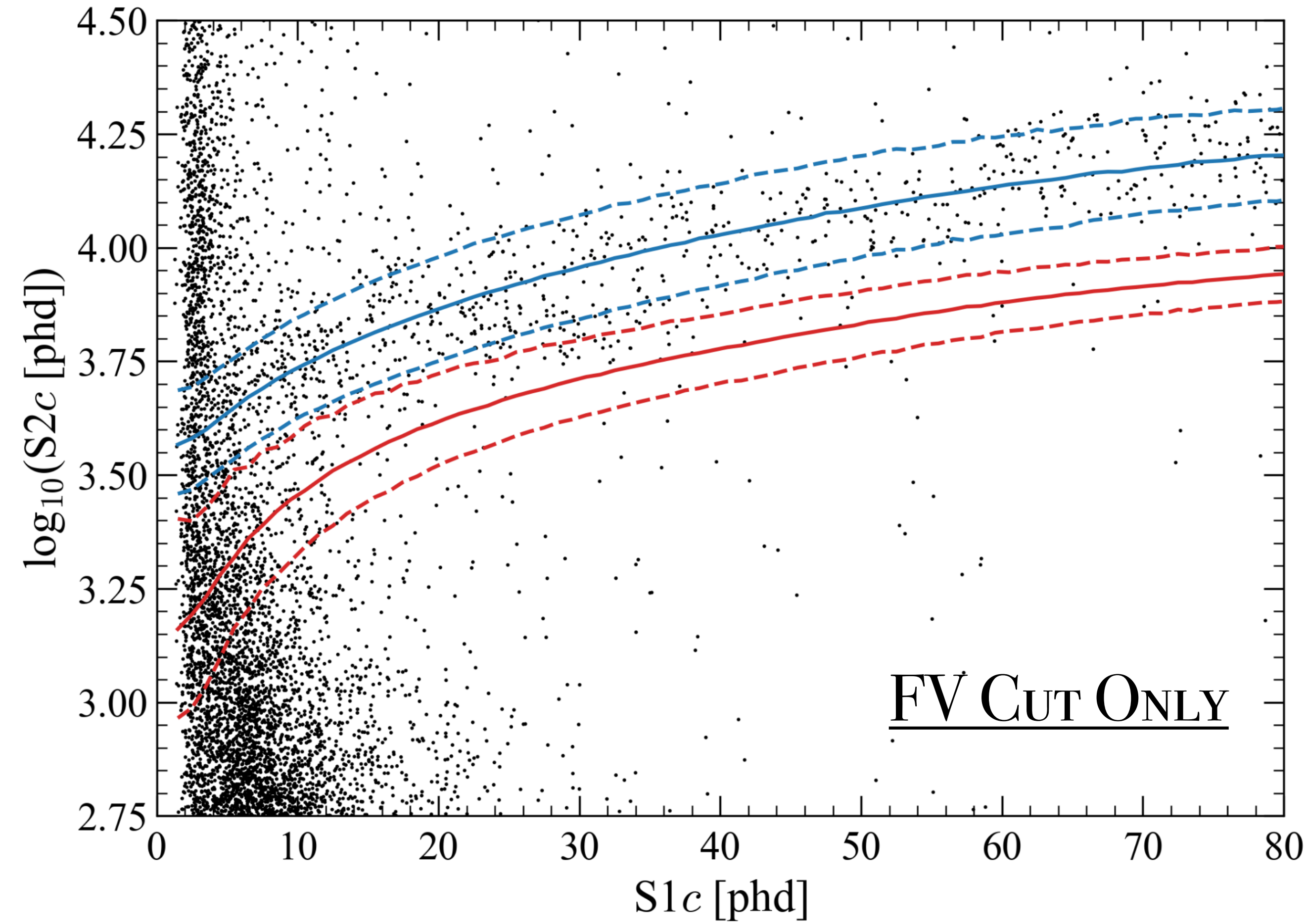
- TPC assembled in Rn-reduced cleanroom
- Dust (< 500 ng/cm³); plate-out (< 0.5 mBq/m²)

4. Xenon Purification

- Chromatography @ SLAC to remove ⁸⁵Kr, ³⁹Ar
- Online radon reduction system & flow via getter



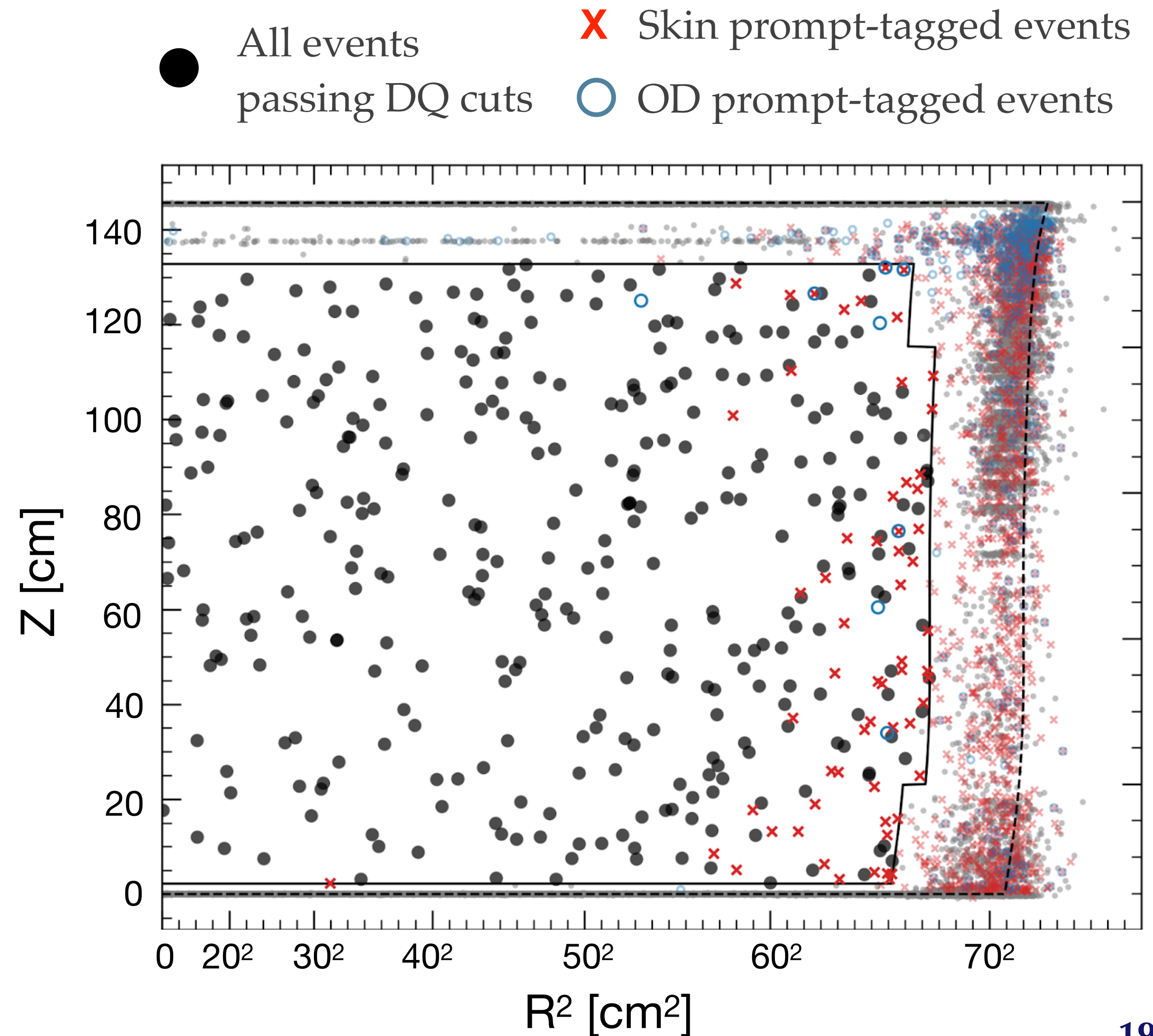
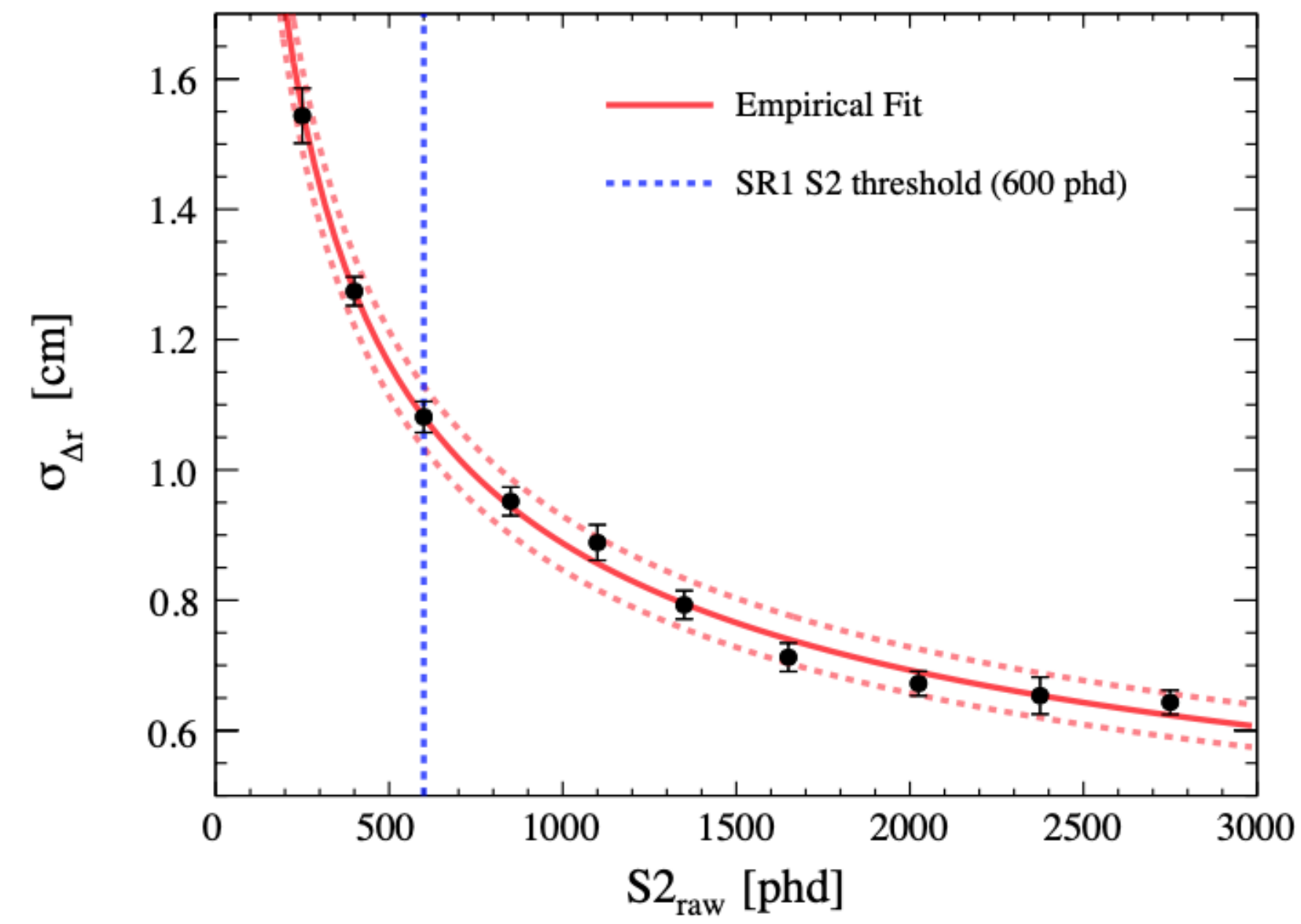
Events After Cuts



Selection description	Events after selection
All triggers	1.1×10^8
Analysis time hold-offs	6.0×10^7
Single scatter	1.0×10^7
Region-of-interest	1.8×10^5
Analysis cuts for accidentals	3.1×10^4
Fiducial volume	416
OD and Skin vetoes	335

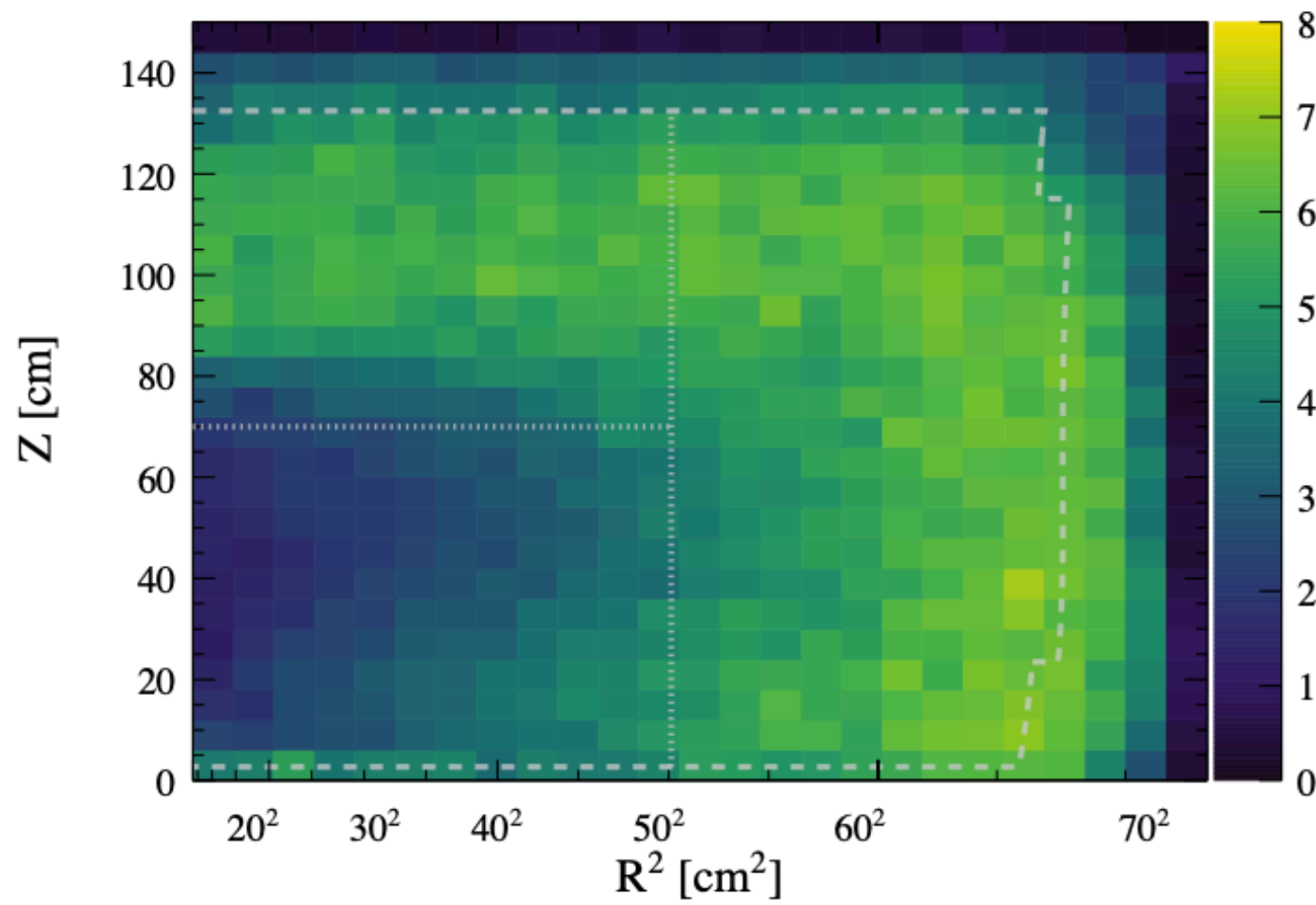
Fiducial Volume Cut

- Vertical cut: $2.2 < Z < 132.8$ cm to avoid electrode & surface backgrounds
- Radial cut: $R < 68.8$ cm
 - Partial charge extraction at wall
 - Small S2s \rightarrow worse XY reconstruction \rightarrow possible leakage radially inwards

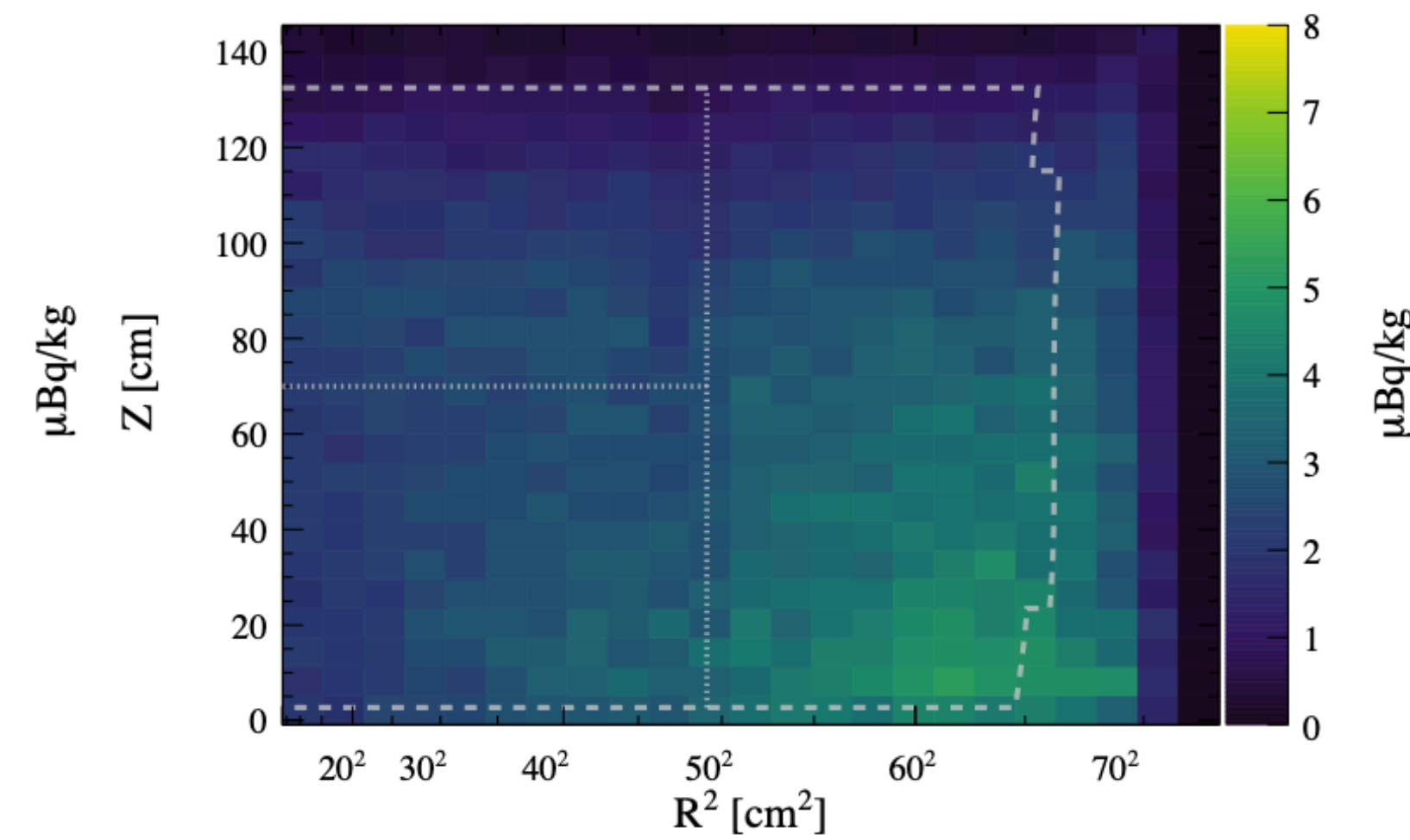


Radon Position Distribution

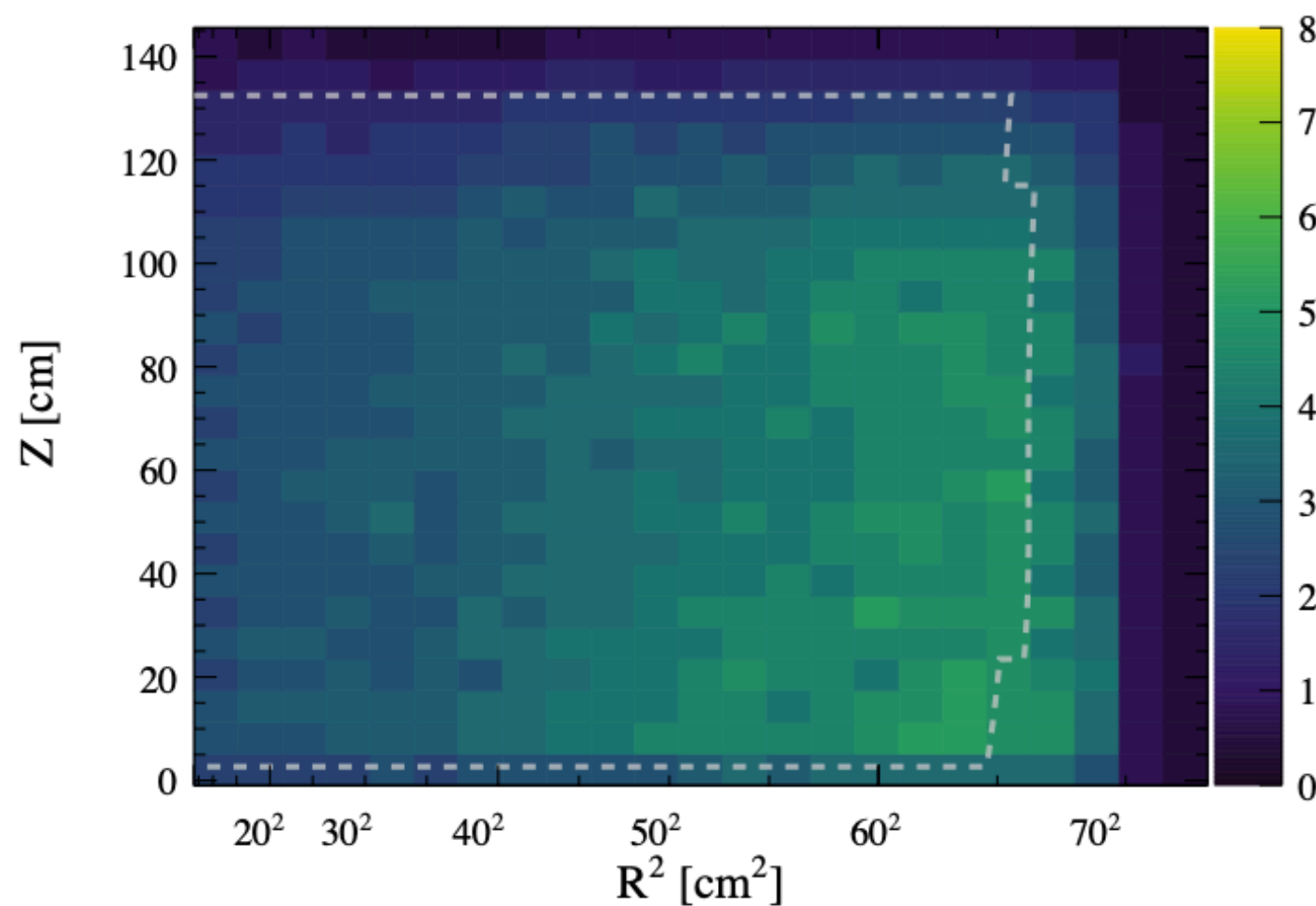
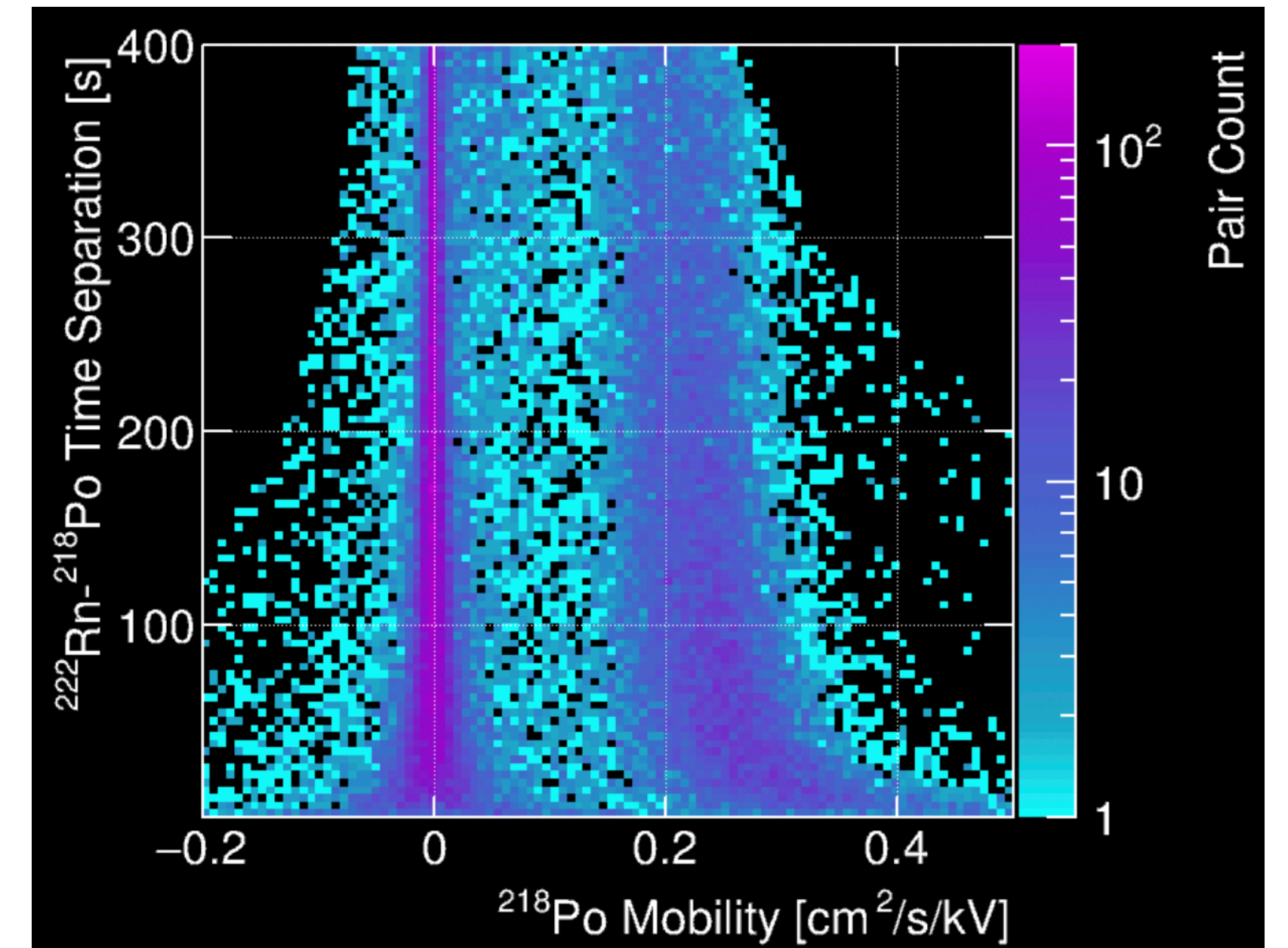
- Radon non-uniform due to slow mixing & thermodynamics



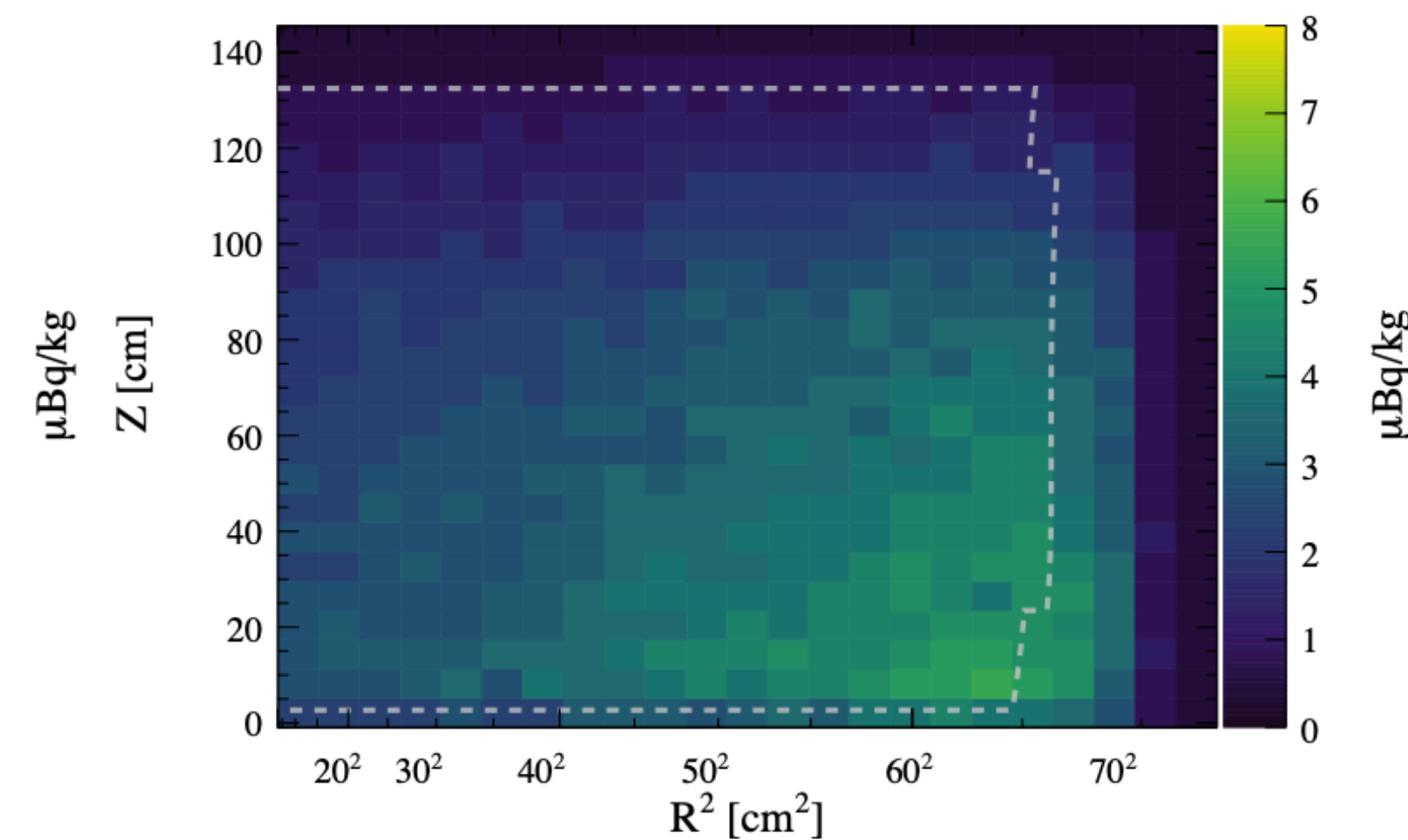
(a) Observed ^{218}Po Distribution



(b) Observed ^{214}Po Distribution



(c) Simulated ^{214}Pb Distribution

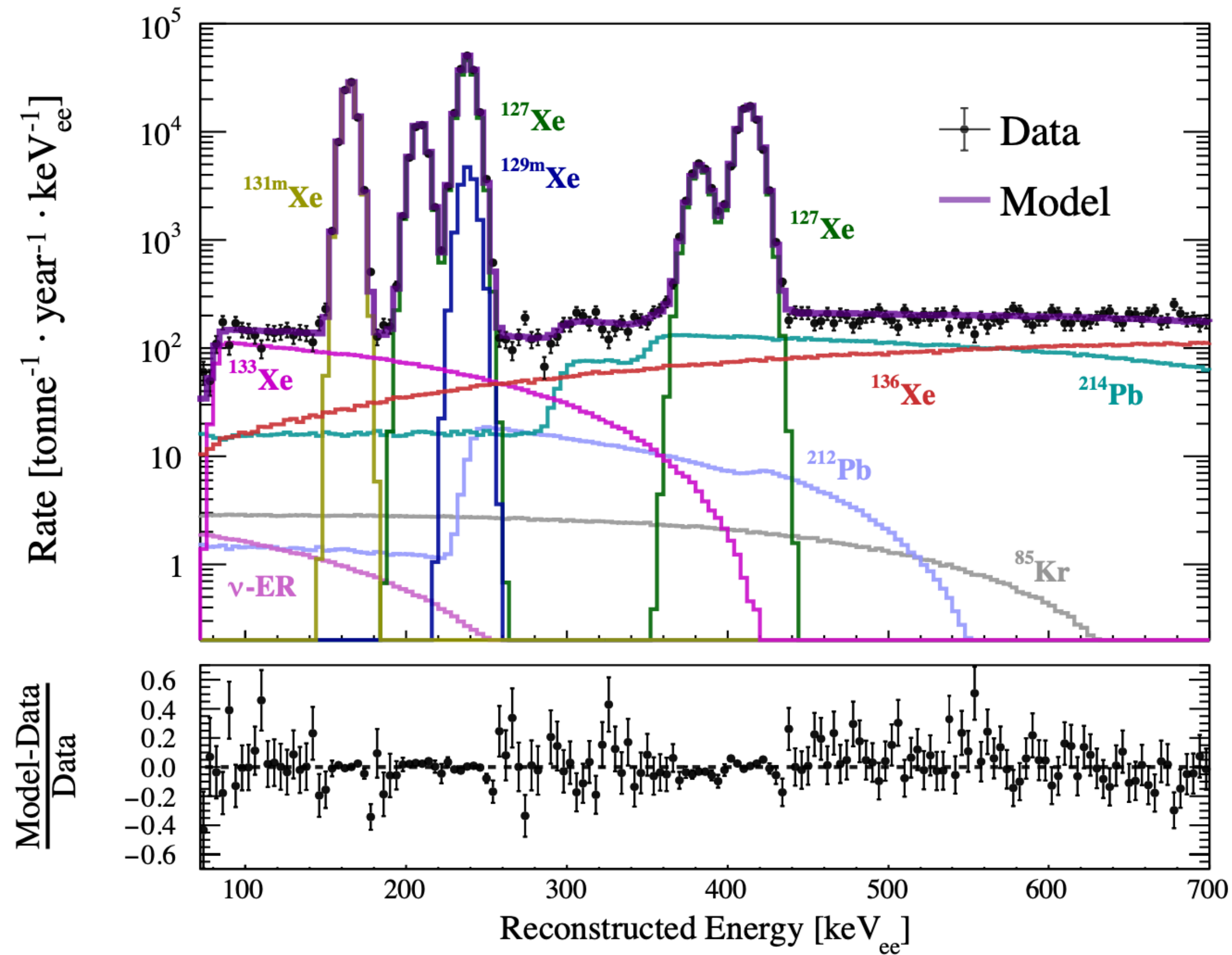


(d) Simulated ^{214}Po Distribution

- Positively charged progeny drift towards the cathode
 - Simulations model this for ^{214}Pb to obtain its distribution

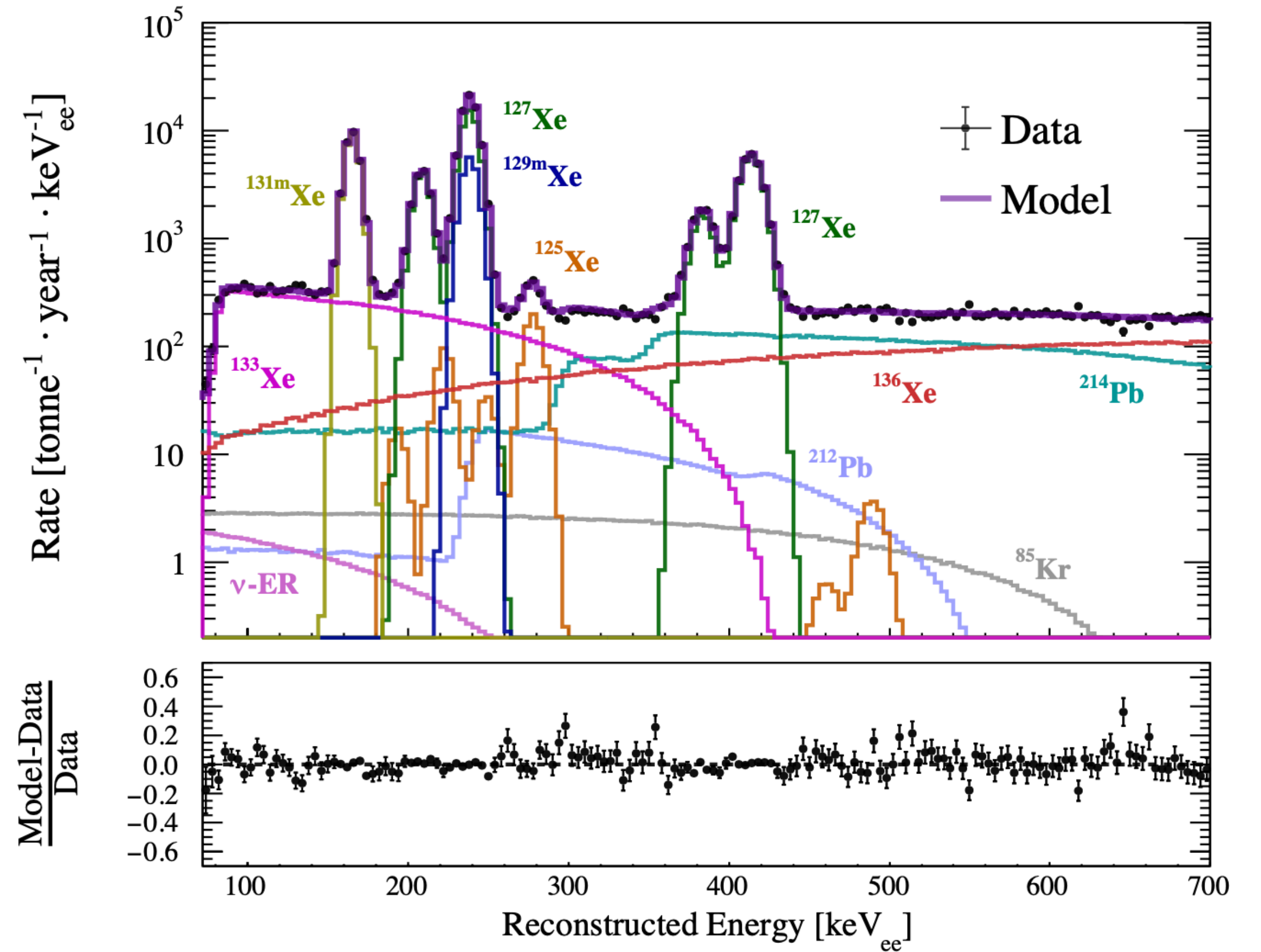
ER Background Fitting

Pre-DD Calibrations



²¹⁴Pb Rate: $3.05 \pm 0.12 \mu\text{Bq/kg}$

Post-DD Calibrations



²¹⁴Pb Rate: $3.10 \pm 0.10 \mu\text{Bq/kg}$

Sources of Isolated Pulses

Isolated S1s

PMT dark count pile-up

Events in gas phase

Cherenkov light in PMTs or PTFE

Fluorescence of PTFE

Light leaks from outside TPC

Charge-insensitive regions near walls

Charge-insensitive regions below cathode

Isolated S2s

Events in gas phase

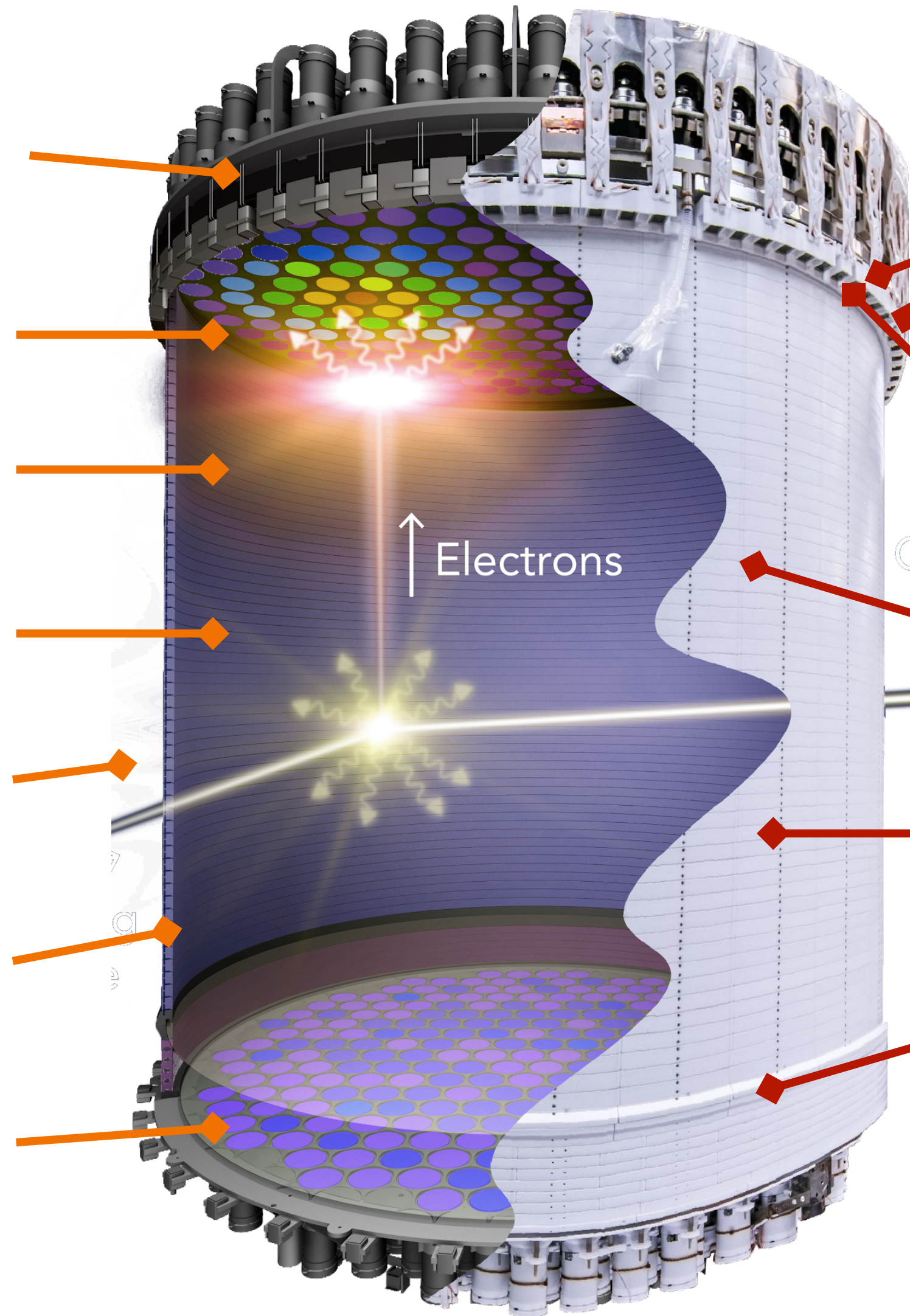
Events in liquid above gate grid

Electron emission from grids

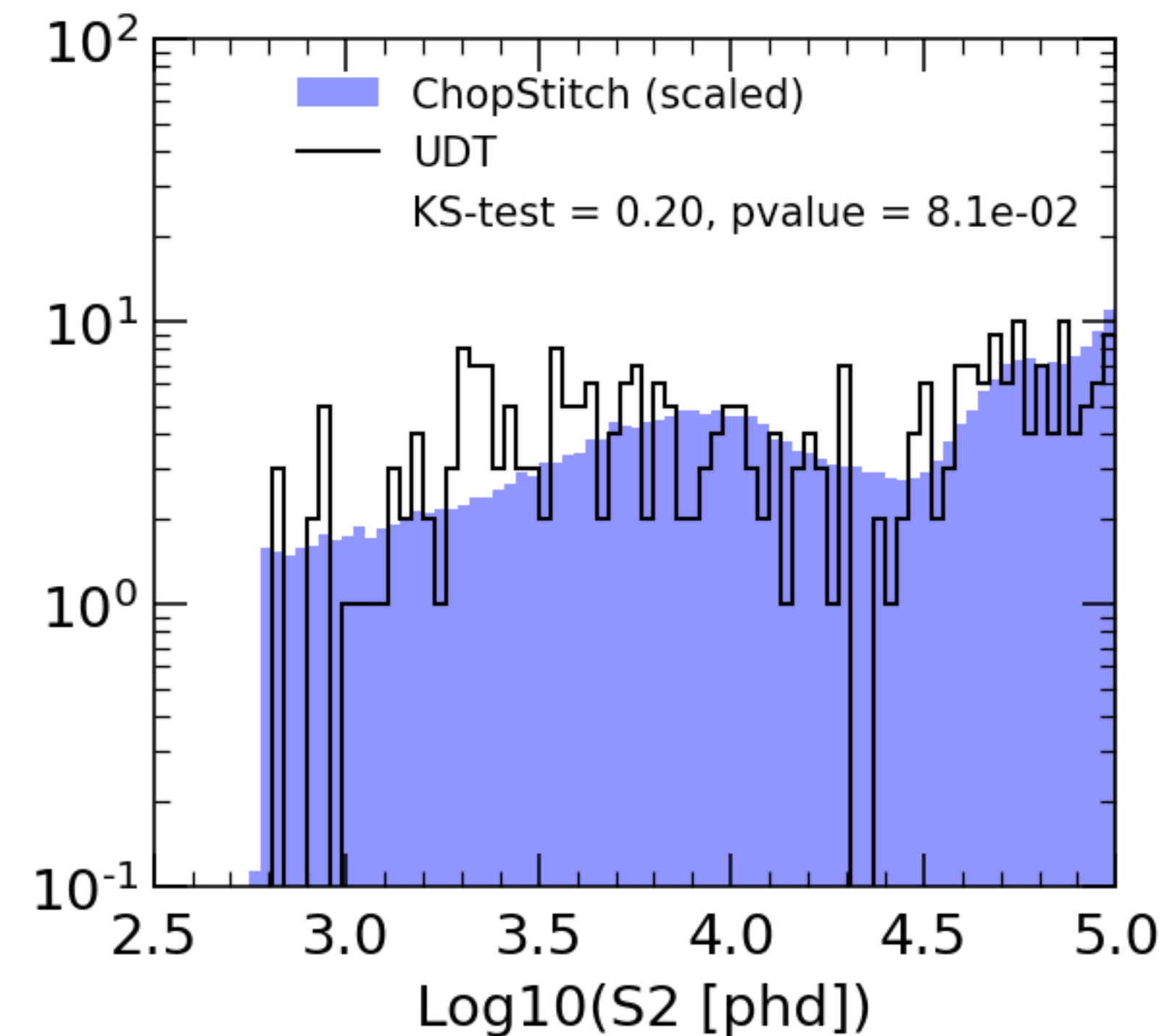
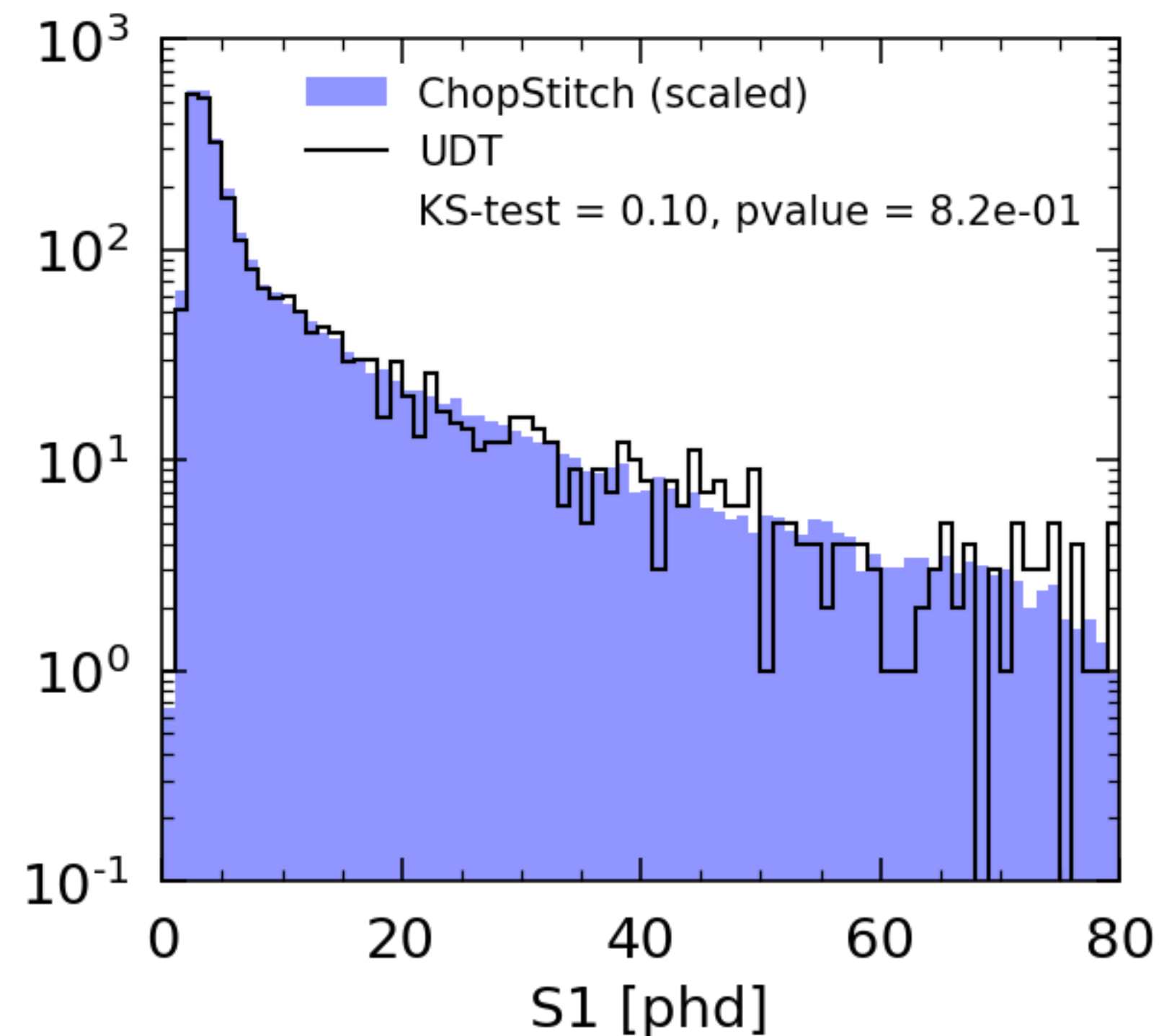
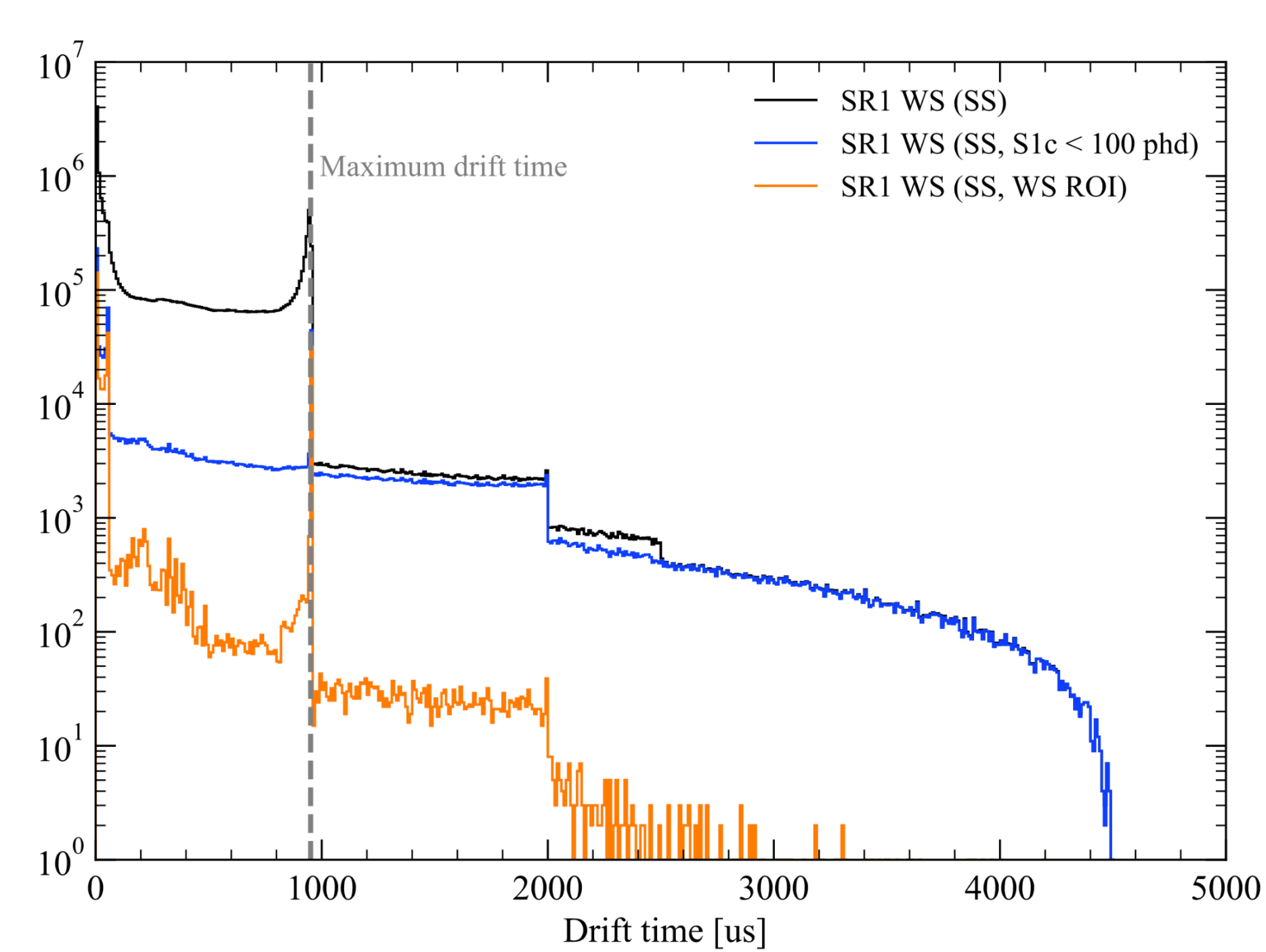
Sub-S1-threshold ER events

Delayed electrons after S2s

Radioactivity from gate and cathode grids



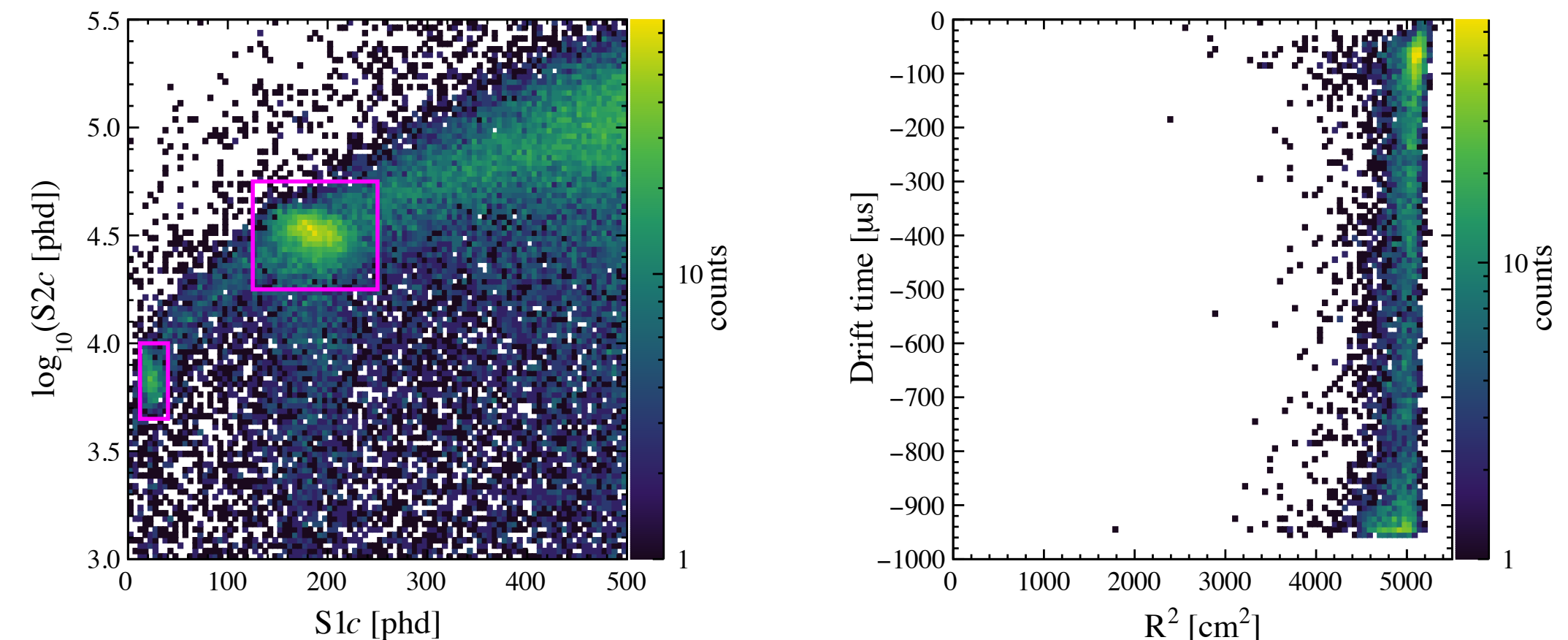
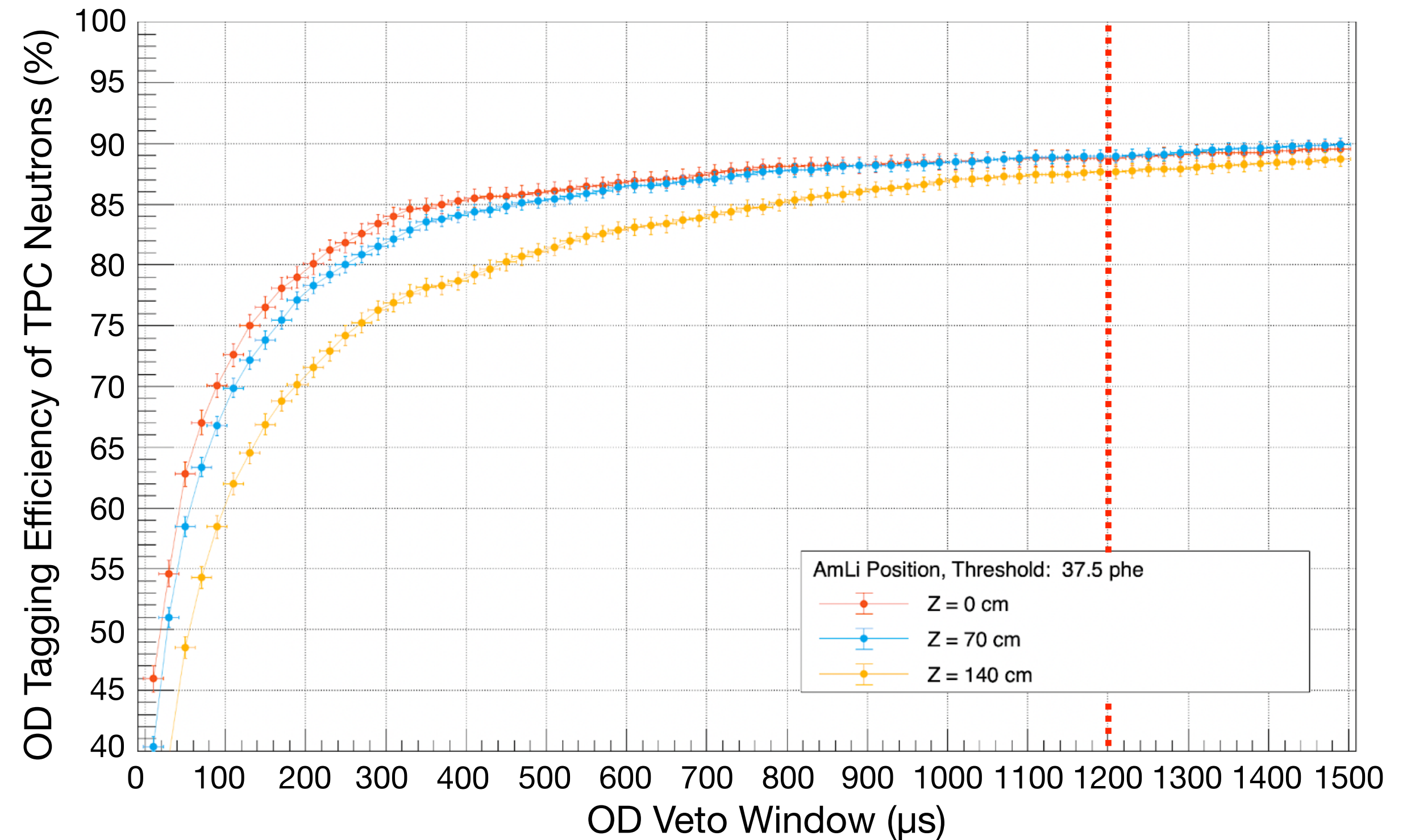
Accidentals Verification



- Unphysical Drift Time (UDT) events: $S1$ & $S2 > \text{one max. drift time apart}$ must be uncorrelated
 - Several checks confirmed the independence of the $S1$ & $S2$ variables in UDT data
- Isolated $S1$ and $S2$ were combined at the waveform level to form events to probe cut acceptances
 - A good agreement with UDT data was found in several dimensions (pulse size, drift time, etc.)

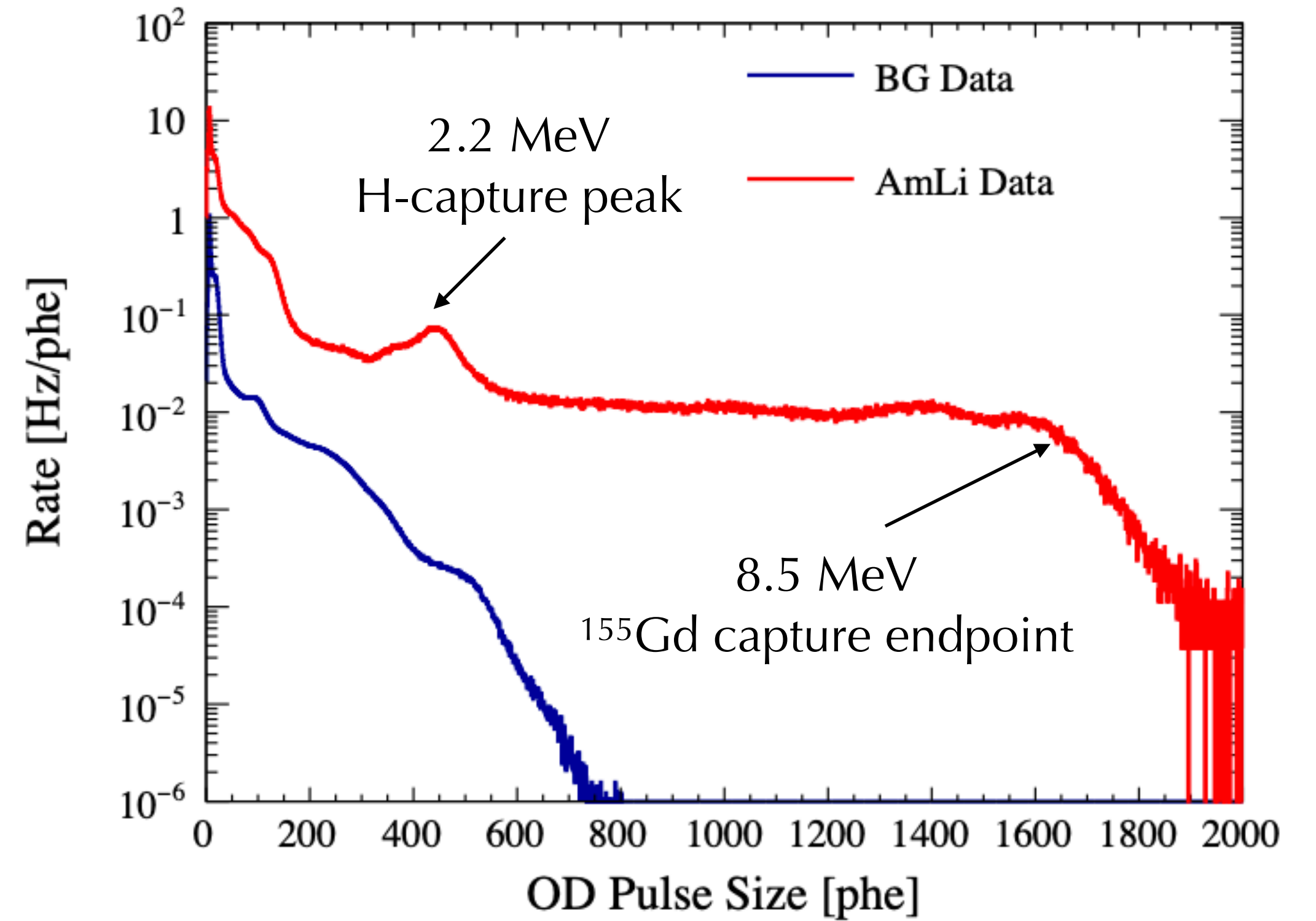
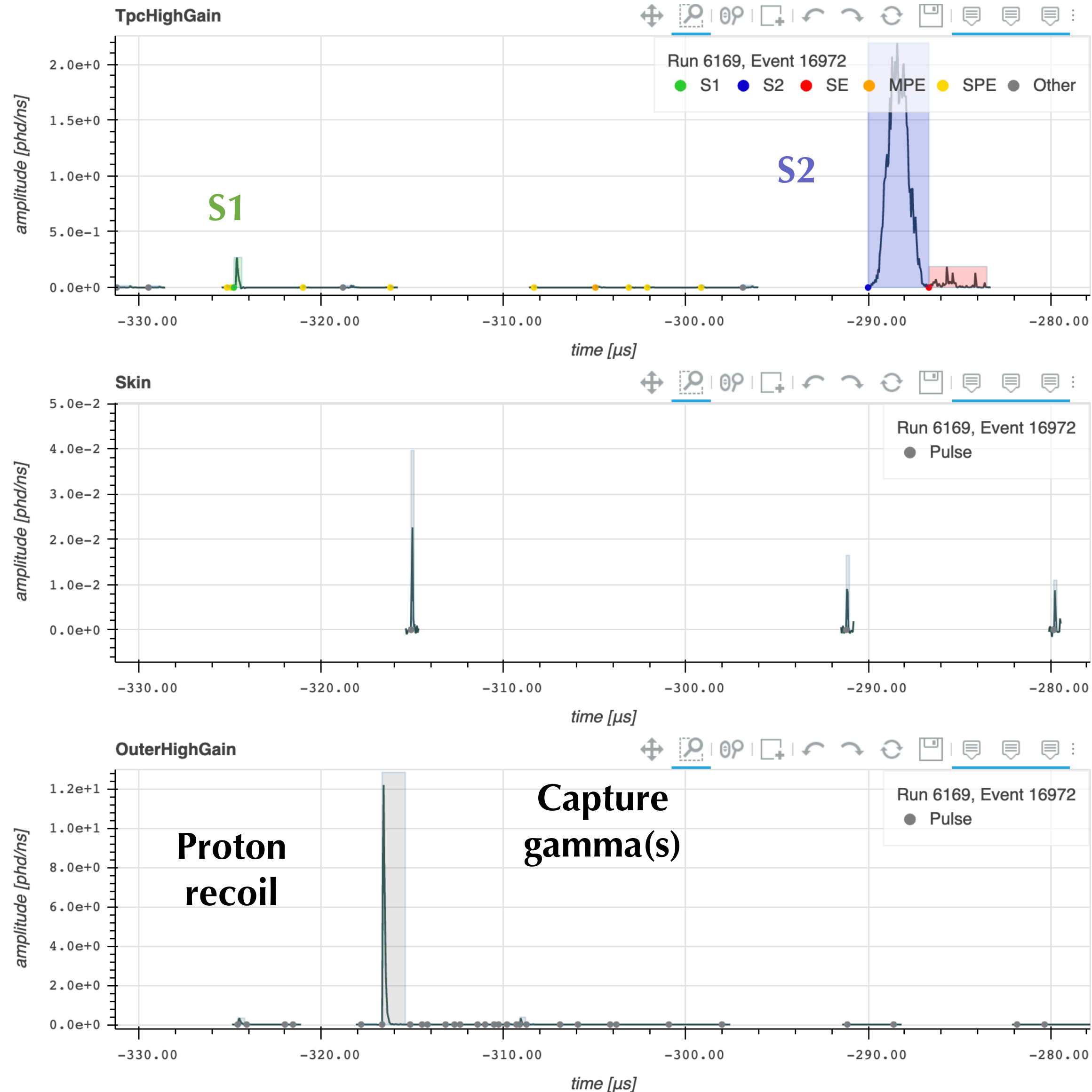
Veto Detector Responses

- Skin & OD response and inter-detector timings calibrated
 - OD optical calibration system
 - External γ -ray & neutron sources (e.g. ^{22}Na ; DD, AmLi, ^{252}Cf)
- ^{127}Xe Skin tagging efficiency of $78 \pm 5\%$ based on K-shell analysis
- OD tagging efficiency of TPC-interacting neutrons of $88.5 \pm 0.7\%$ (AmLi calibrations)
 - TPC-OD coincidence window: $1200 \mu\text{s}$; threshold equivalent to $\sim 200 \text{ keV}$



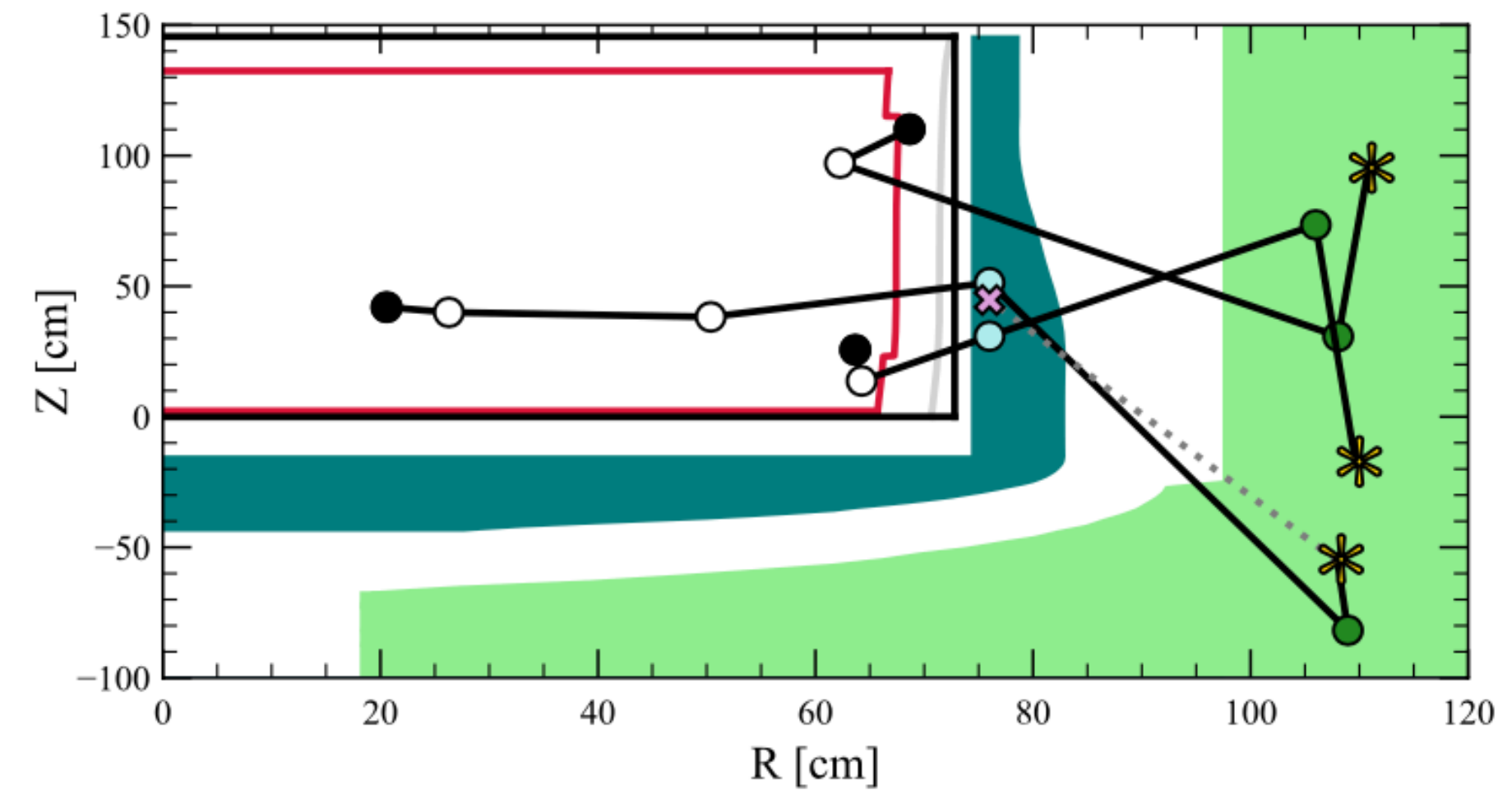
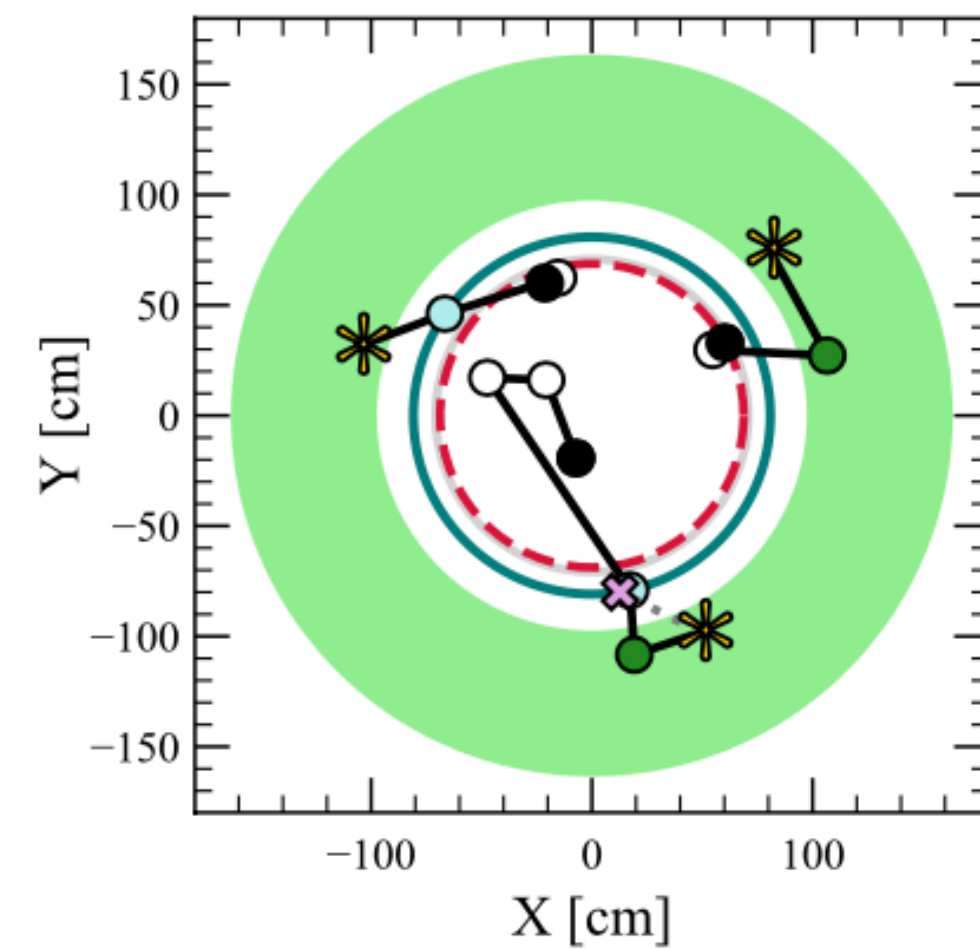
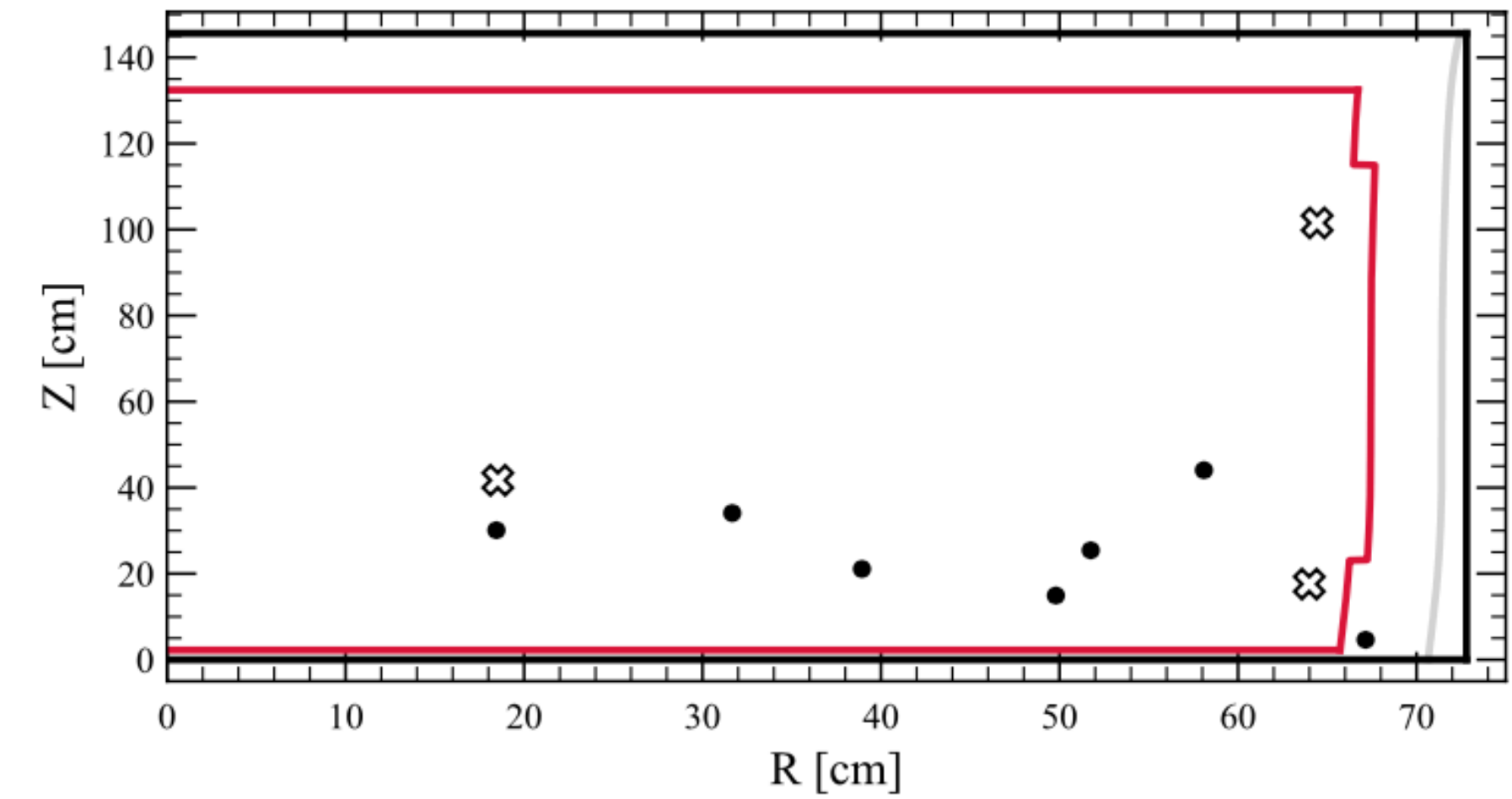
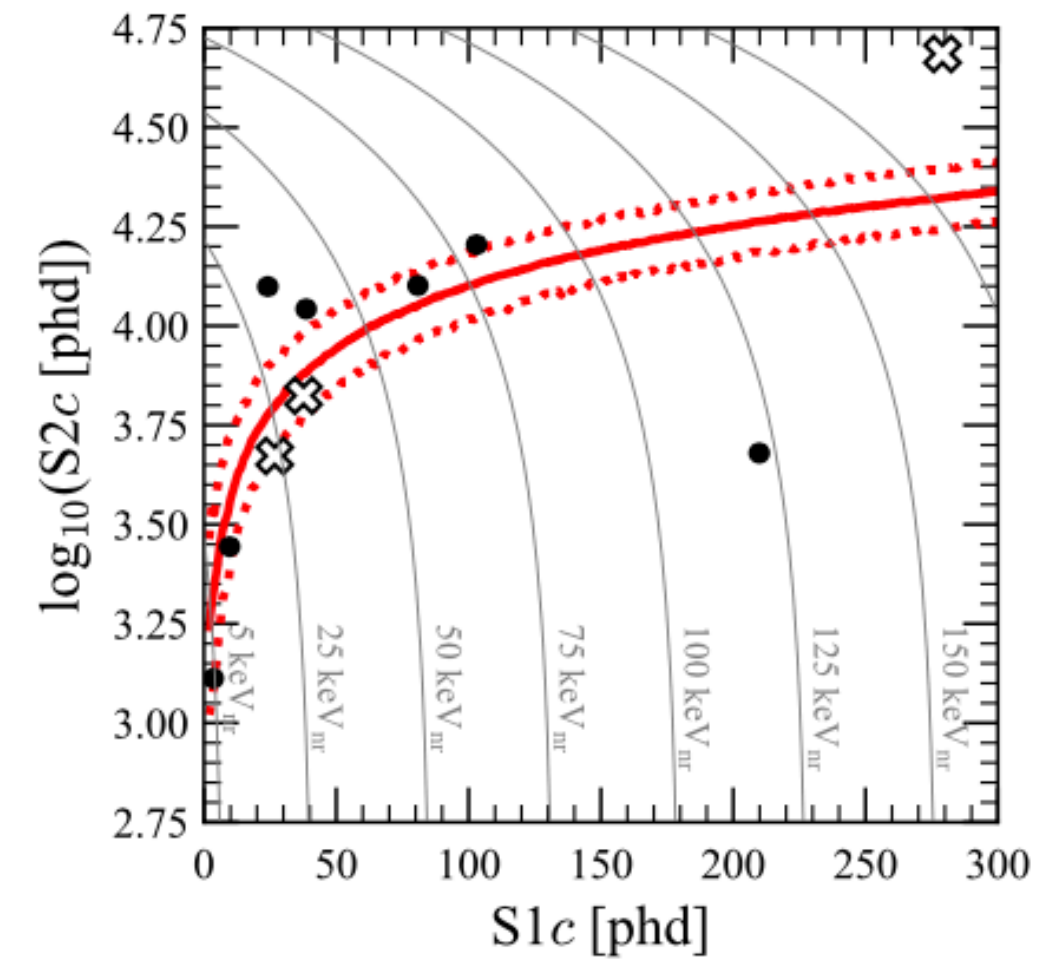
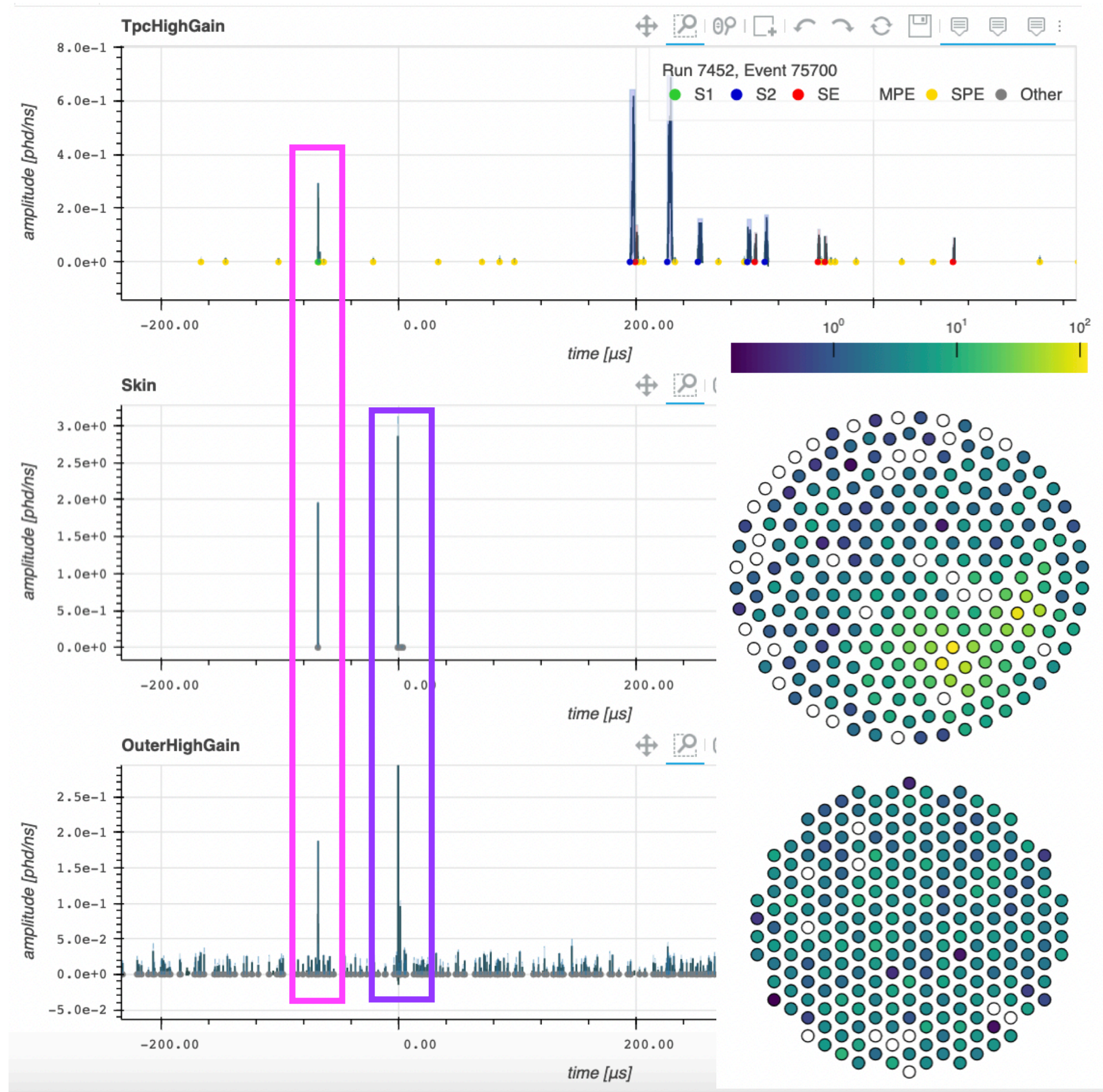
Neutrons in the OD

Real LZ AmLi calibration neutron event



- Neutron capture time on Gd: $30 \mu\text{s}$
- Neutron can capture on Gd or H
 - Gd produces 4-5 γ -rays totaling $\sim 8 \text{ MeV}$
 - H produces a 2.2 MeV γ -ray

Neutron Multiple Scatter Events



- Look for multiple scatter events with neutron captures in the OD (>400 phd)
- 10 neutrons found \rightarrow suggests none present in the WIMP search data

Neutrino Backgrounds (and Signal)

- Solar neutrinos can produce both ERs from ν -e scatters and NRs from coherent ν -nucleus scatters (CEvNS)
- Rates are predicted from external experimental and theoretical work
- ν -e scatters produce a flat spectrum
- The coherent scattering of solar neutrinos with Xe nuclei (CEvNS) is an irreducible background
 - In SR1, B8 CEvNS gave 0.15 events
 - Mostly excluded via S2 threshold
 - LZ will measure this signal

