

# PROTON STRUCTURE IN THE LHC ERA: TESTING THE STANDARD MODEL AND SEARCHING FOR NEW PHYSICS

Katerina Lipka

**HELMHOLTZ**  
SPITZENFORSCHUNG FÜR  
GROSSE HERAUSFORDERUNGEN

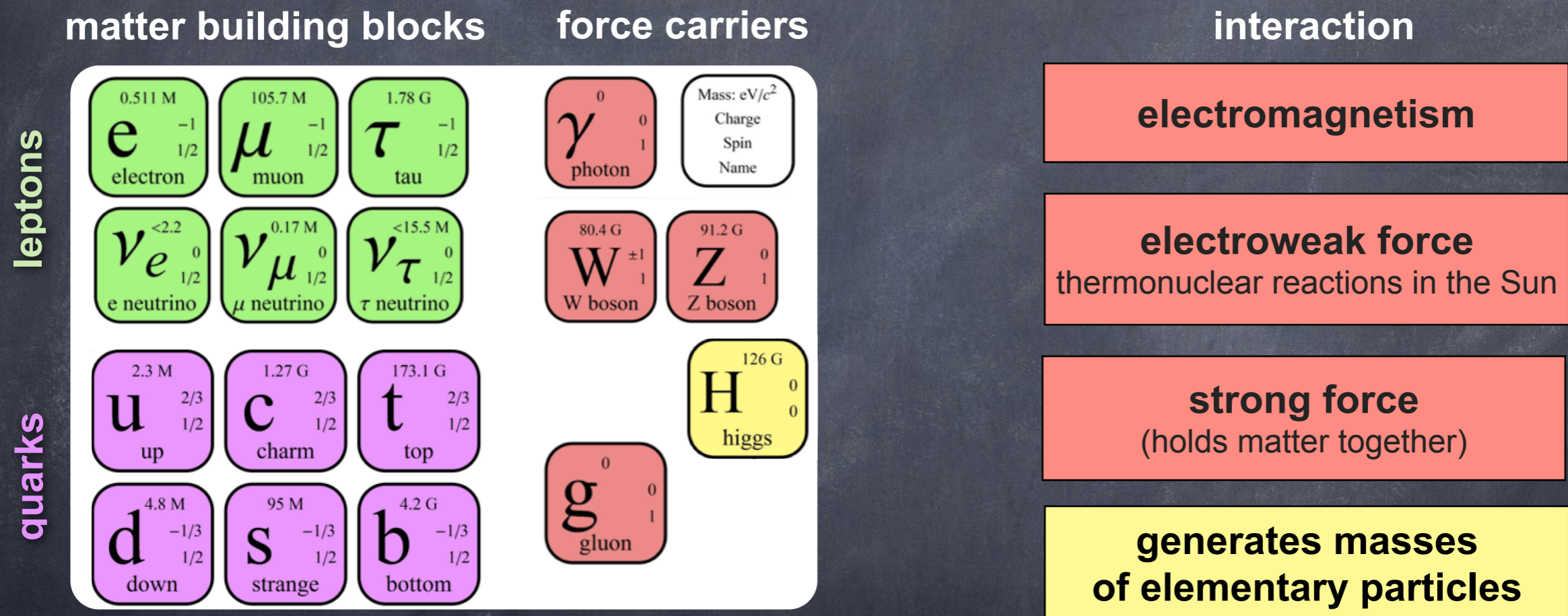


BERGISCHE  
UNIVERSITÄT  
WUPPERTAL

# MODERN PICTURE OF VISIBLE MATTER

## Standard Model of Particle Physics

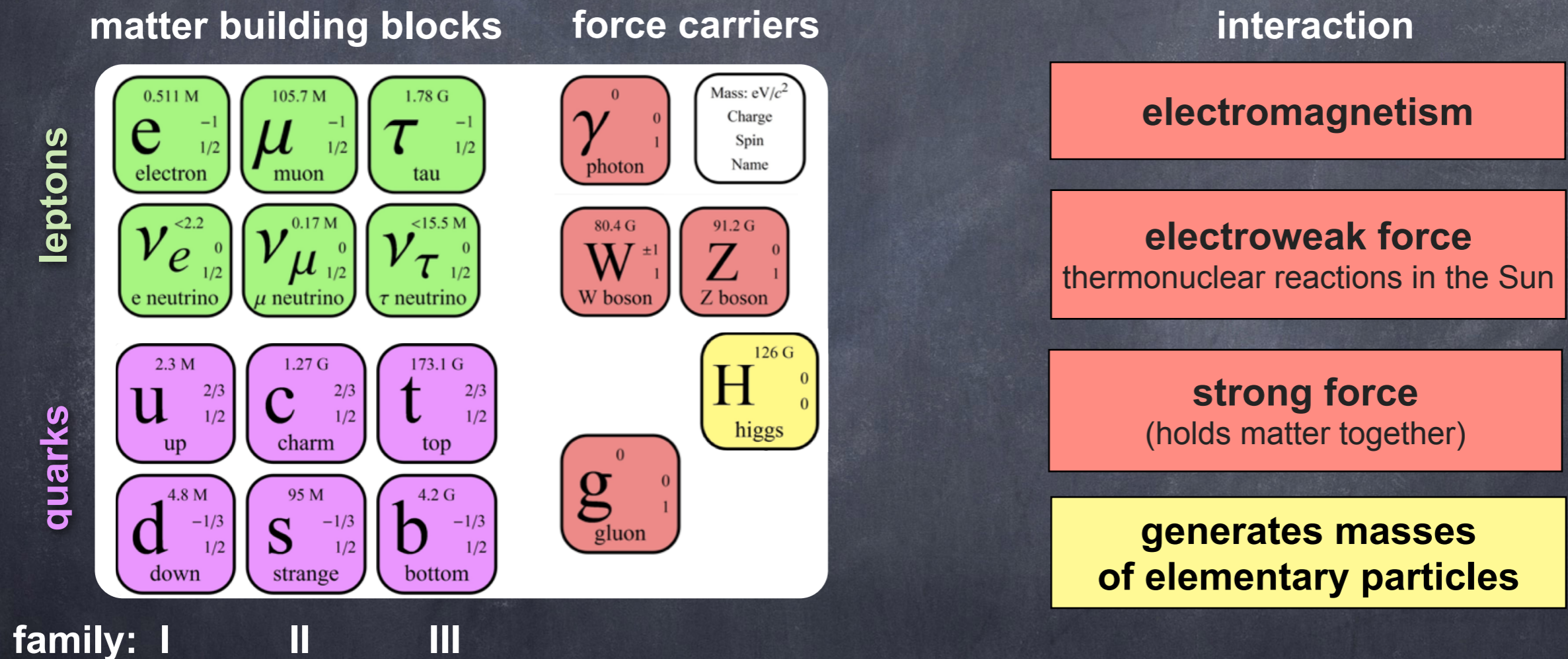
- classifies fundamental particles and forces (*similar to Periodic Table in Chemistry*)



# MODERN PICTURE OF VISIBLE MATTER

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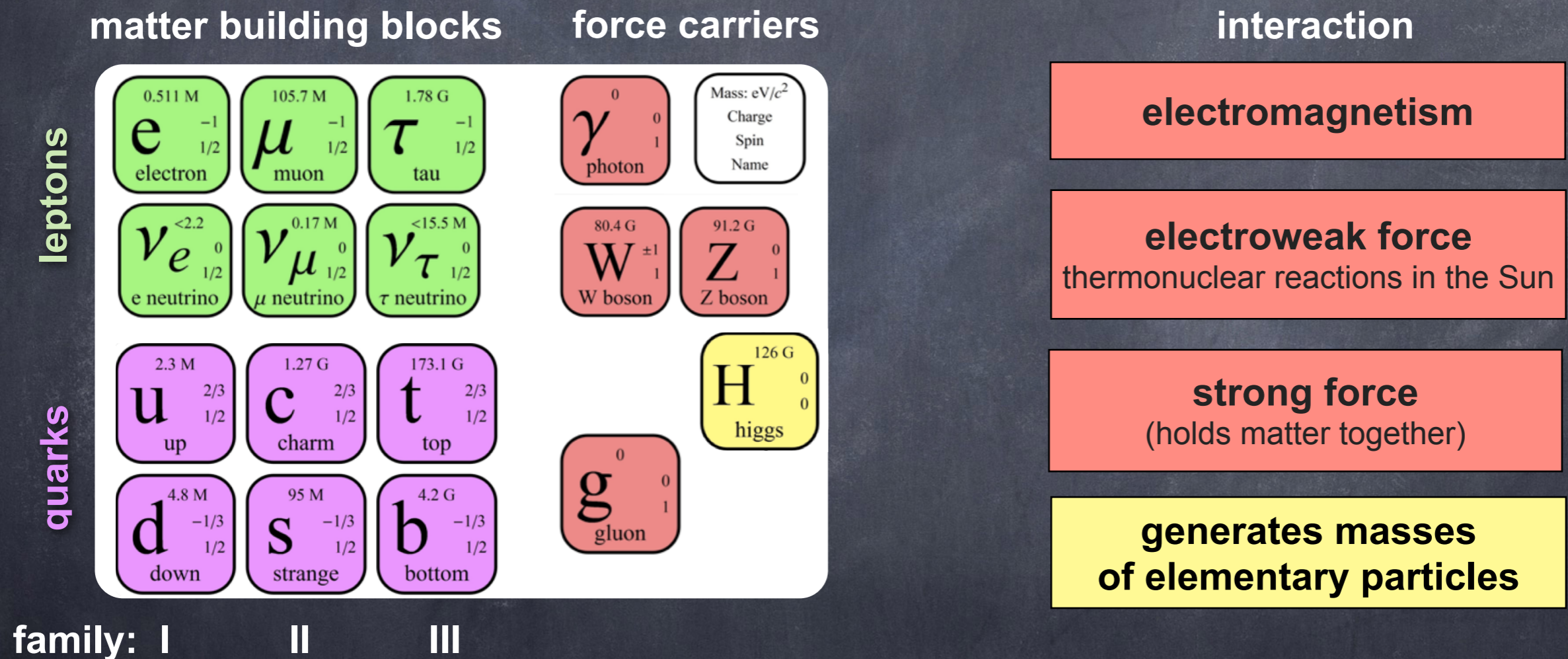
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## Standard Model of Particle Physics

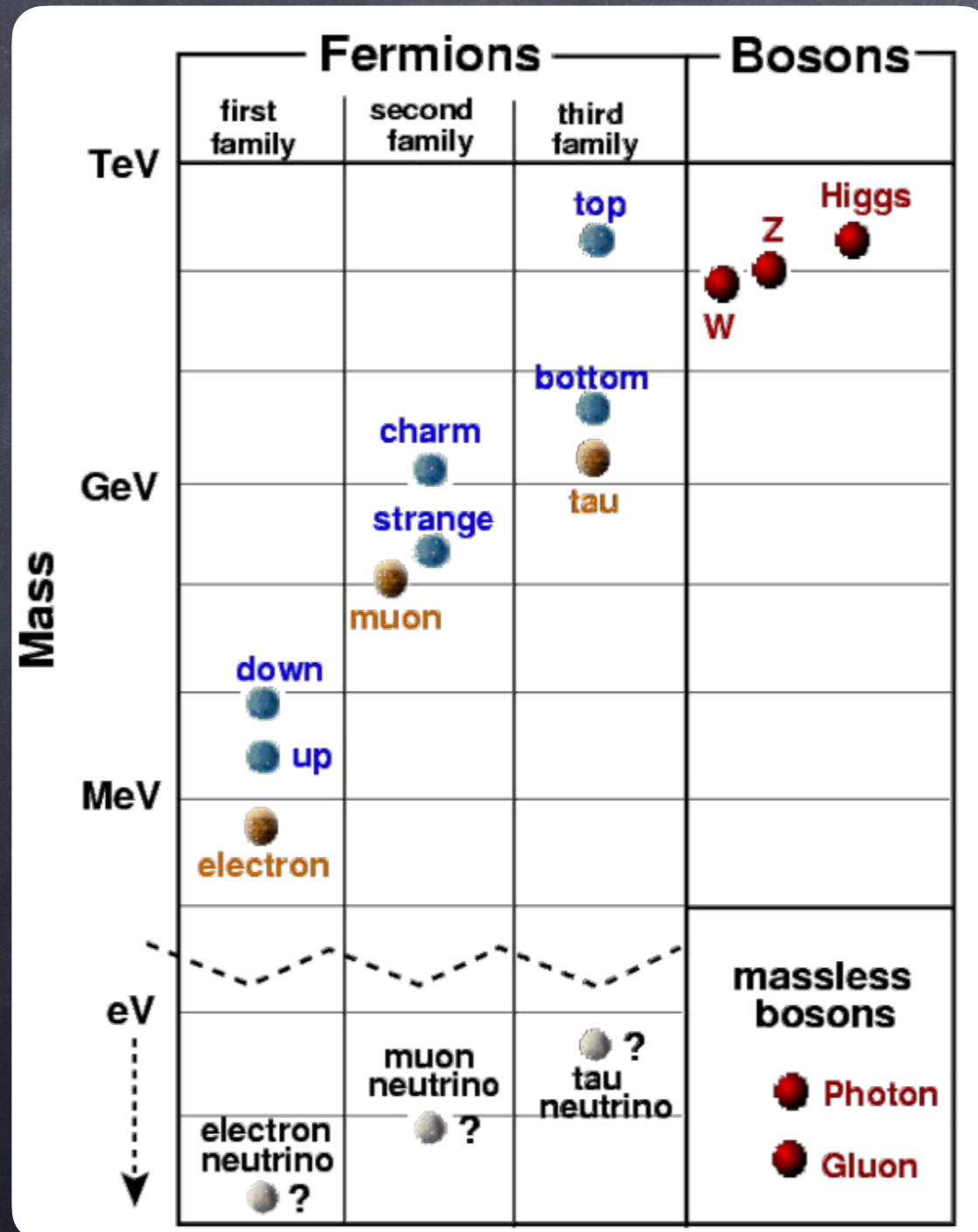
- classifies fundamental particles and forces (*similar to Periodic Table in Chemistry*)



- parameters: masses of the elementary particles and couplings of interactions

# IS THIS PICTURE COMPREHENSIVE ?

- the values of masses and couplings need to be found experimentally
- mass difference is a puzzle itself



Masses span ~12 orders of magnitude (and these are elementary!)

are they indeed elementary?

are there further interactions ?

window for New Physics!

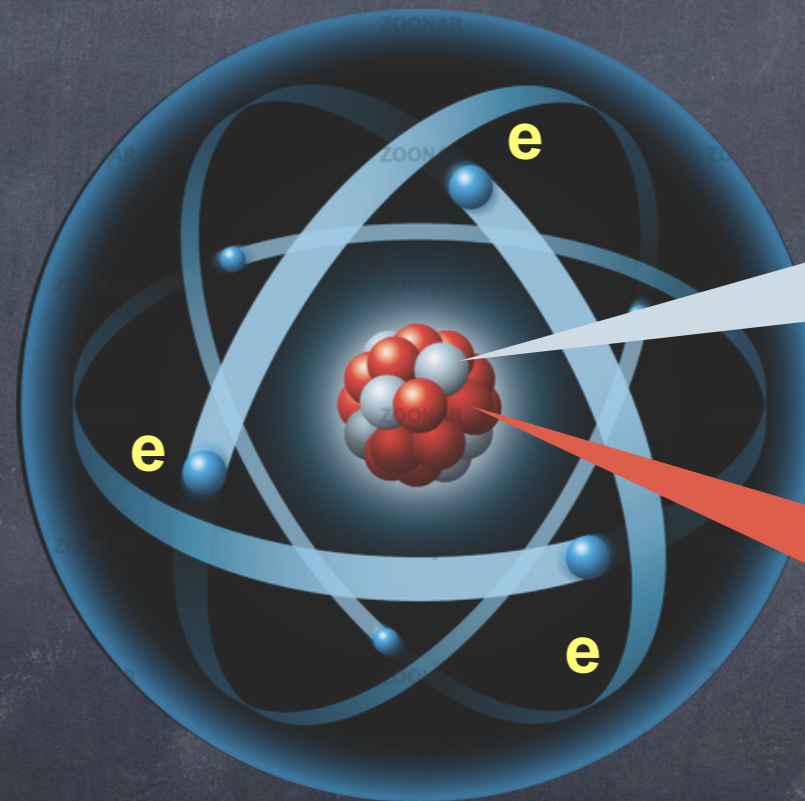
# IS THIS PICTURE COMPREHENSIVE ?

- the values of masses and couplings need to be found experimentally
- origin of the structure : why 3 generations?

stable nuclear matter

matter building blocks

leptons	0.511 M <b>e</b> <sup>-1</sup> / <sub>2</sub> electron	105.7 M <b>μ</b> <sup>-1</sup> / <sub>2</sub> muon	1.78 G <b>τ</b> <sup>-1</sup> / <sub>2</sub> tau
	<2.2 <b>ν<sub>e</sub></b> <sup>0</sup> / <sub>2</sub> e neutrino	0.17 M <b>ν<sub>μ</sub></b> <sup>0</sup> / <sub>2</sub> μ neutrino	<15.5 M <b>ν<sub>τ</sub></b> <sup>0</sup> / <sub>2</sub> τ neutrino
	2.3 M <b>u</b> <sup>2/3</sup> / <sub>2</sub> up	1.27 G <b>c</b> <sup>2/3</sup> / <sub>2</sub> charm	173.1 G <b>t</b> <sup>2/3</sup> / <sub>2</sub> top
quarks	4.8 M <b>d</b> <sup>-1/3</sup> / <sub>2</sub> down	95 M <b>s</b> <sup>-1/3</sup> / <sub>2</sub> strange	4.2 G <b>b</b> <sup>-1/3</sup> / <sub>2</sub> bottom
family:	I	II	III



neutron

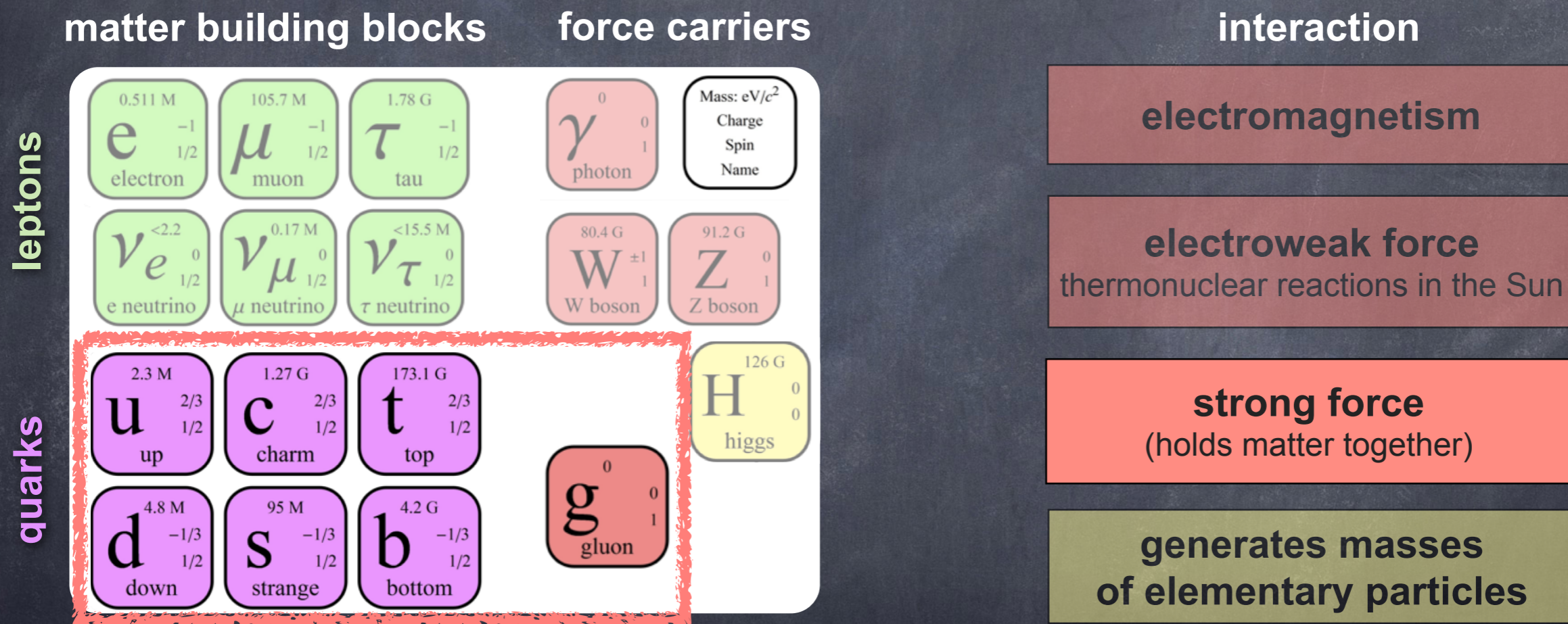


proton

what is the reason ? window for New Physics!

# THIS TALK: STRONG INTERACTION

- holds together nuclear matter and is responsible for its mass
- reach ~ nucleon size (1 fm)
- described by the Quantum Chromodynamics (QCD)



## Properties (result of the underlying symmetry)

- **confinement:** quarks not observable as free particles
- **asymptotic freedom:** energy dependence of couplings and quark masses

# THIS TALK: QCD AT HIGHEST ENERGIES

microscopes of particle physics: high-energy accelerators

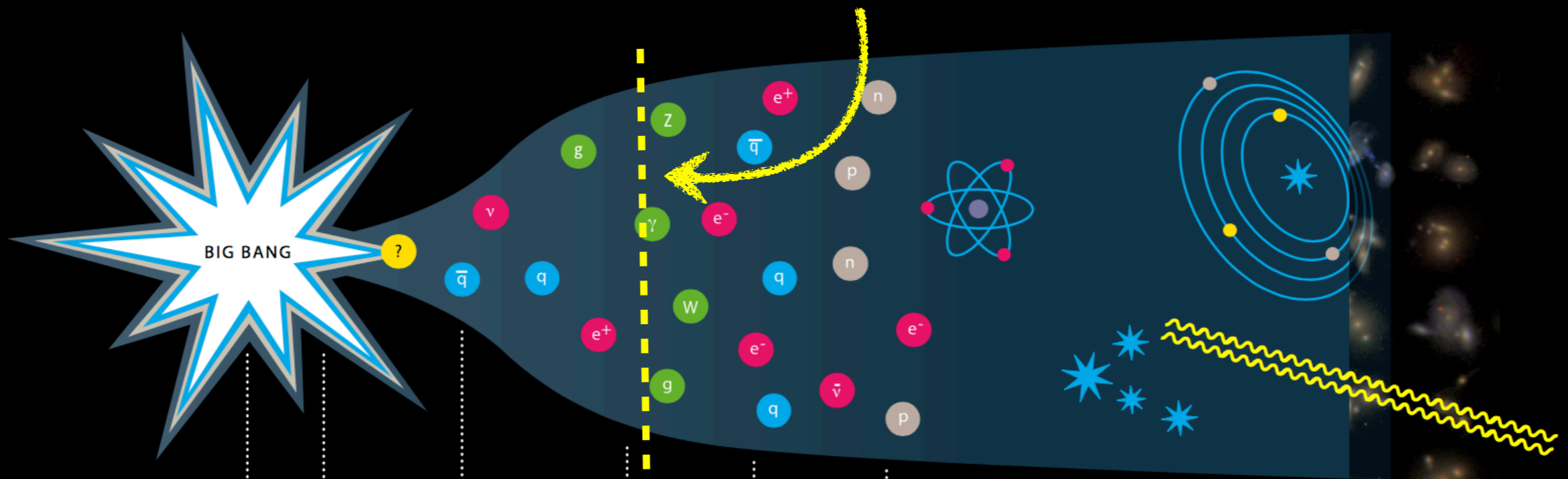
CMS Experiment at the LHC, CERN  
Data recorded: 2012-May-27 23:35:47.271030 GMT  
Run/Event: 195099 / 137440354

Large Hadron Collider @ CERN

the energy frontier

$$\sqrt{s} \sim 2 - 14 \text{ TeV}$$

probe  $\sim 10^{-12}$  second after the Big Bang

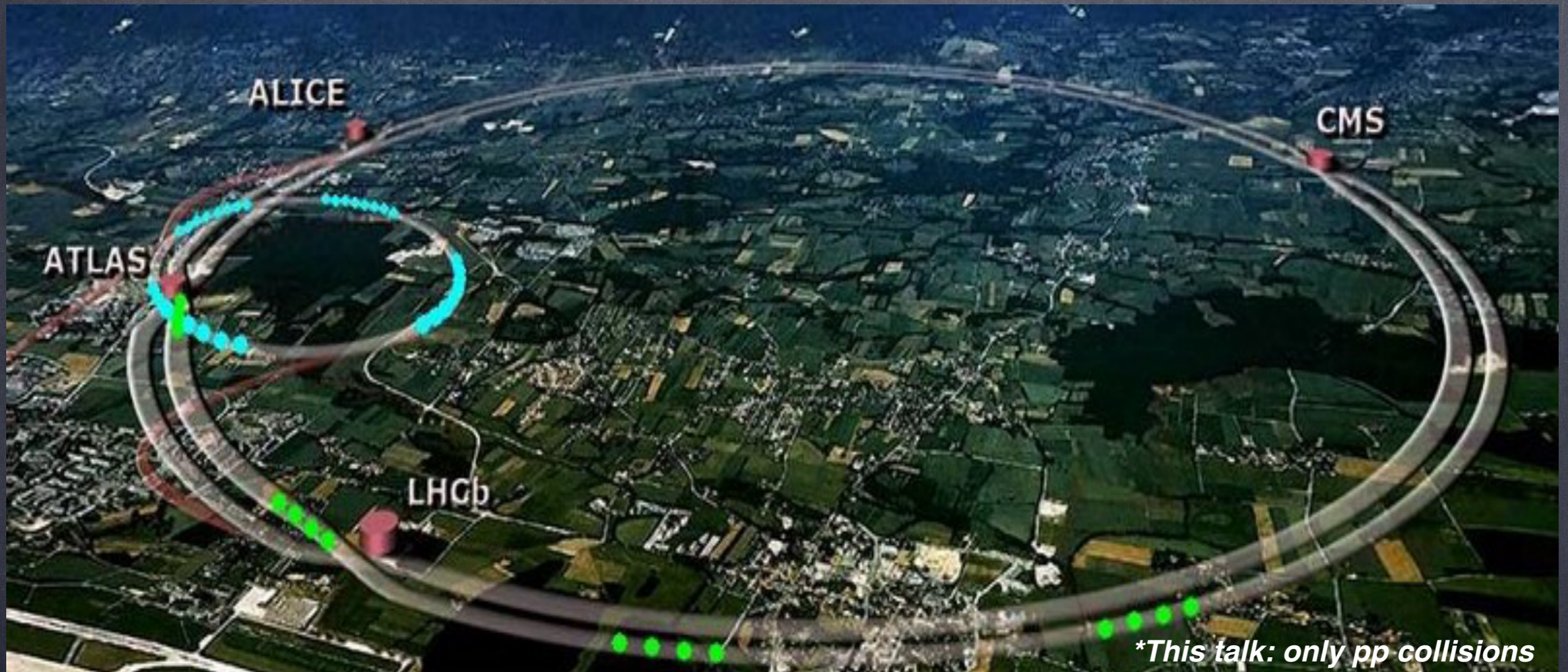


	Big Bang	Unified Forces	Inflationary Expansion (?)	Forces separate	Nucleons form	Atoms form	Stars form	Today
Time		$10^{-43} \text{ s}$	$10^{-35} \text{ s}$	$10^{-10} \text{ s}$	$10^{-5} \text{ s}$	400 000 years	$10^9 \text{ years}$	$14 \times 10^9 \text{ years}$
Energy		$10^{16} \text{ TeV}$	$10^{13} \text{ TeV}$	1 TeV	150 MeV	1 eV	1 meV	0.25 meV



# LARGE HADRON COLLIDER @ CERN

Circular accelerator (synchrotron) underground (100 m) tunnel 27 km long



*\*This talk: only pp collisions*

Proton\* beams bent by superconducting magnets of 8 T

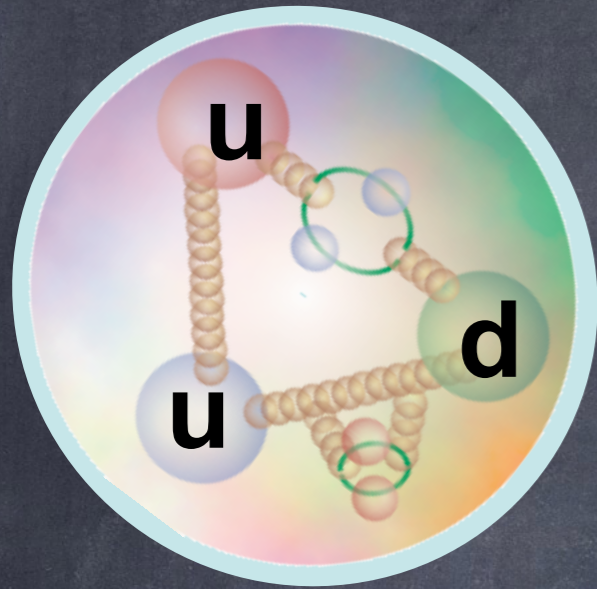
Experiments ATLAS, CMS, ALICE, LHCb

Proton collision centre-of-mass energies: 2.76, 5.02, 7, 8, 13, to start 13.6 TeV

# THE STRUCTURE OF THE NUCLEON\*

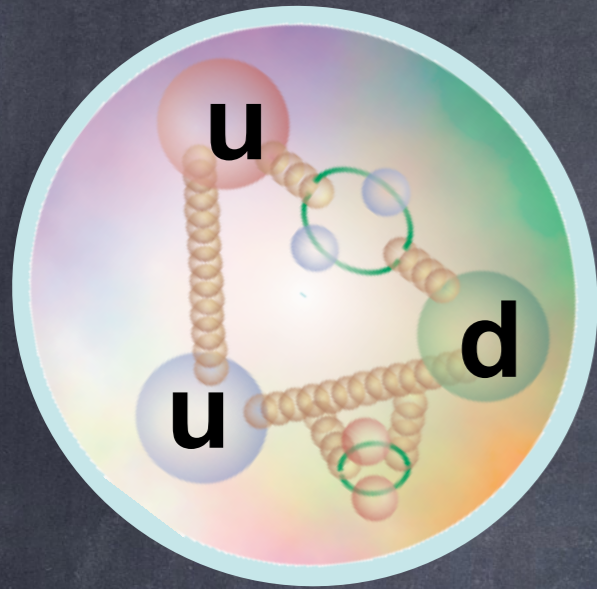
*\*In the following I talk about the proton*

# THE STRUCTURE OF THE PROTON



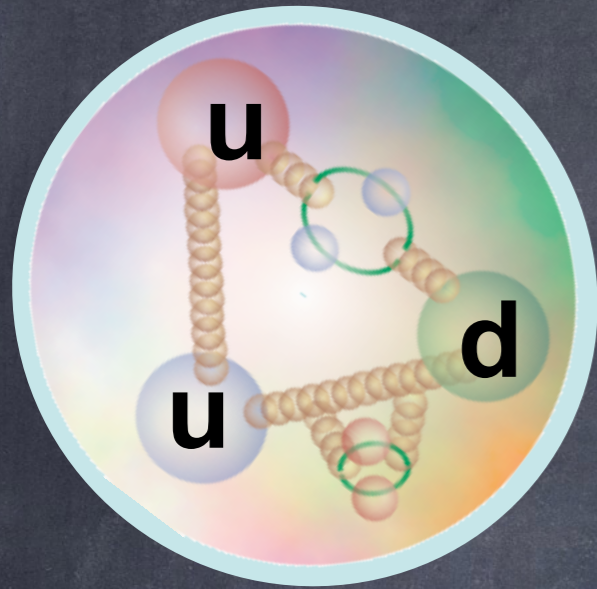
- made of point-like constituents *partons* (quarks & gluons)  
*name by R. Feynman in 1969 for any constituent of a hadron*
- *valence quarks* determine the quantum numbers
- quarks exchange *gluons*, gluons split into *sea quark pairs*
- **nucleon mass: energy of gluons and sea-quarks**

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- Parton Distribution Functions: ***probability density  $f_i(x, Q^2)$  finding a parton  $i$  in a proton carrying its momentum fraction  $x$ , as resolved at scale  $Q^2$***

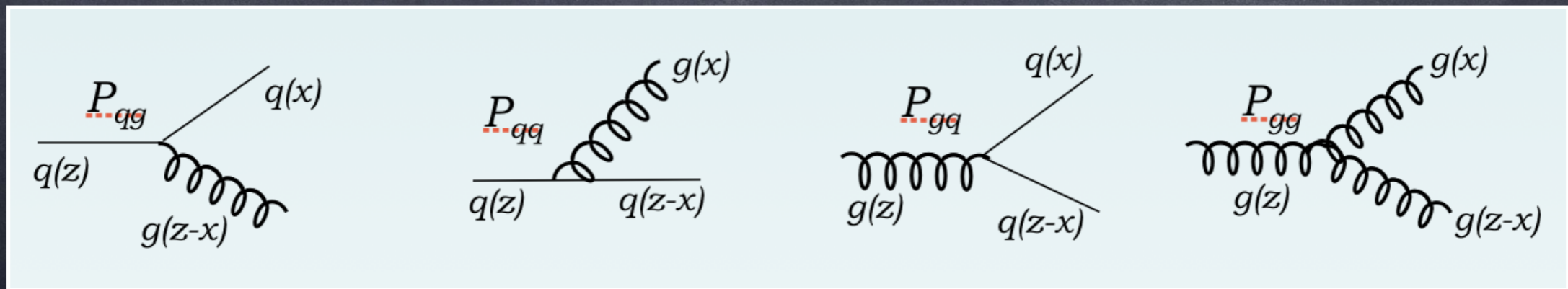
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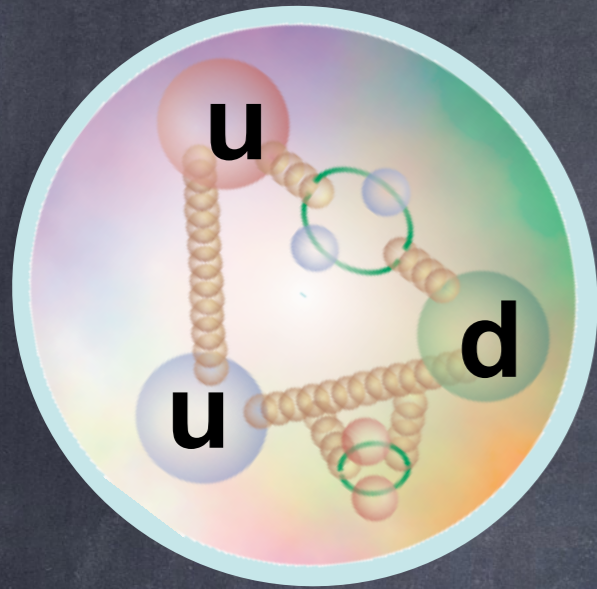
- Parton Distribution Functions: **probability density  $f_i(x, Q^2)$  finding a parton  $i$  in a proton carrying its momentum fraction  $x$ , as resolved at scale  $Q^2$**

*pQCD: quark-gluon exchange: PDF ~ probability to find a parton inside a parton represented by the universal splitting functions:*



*calculable via Dokshitzer-Gribov-Lipatov-Altarelli-Parisi equations*

# THE STRUCTURE OF THE PROTON



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- Parton Distribution Functions: ***probability density  $f_i(x, Q^2)$  finding a parton  $i$  in a proton carrying its momentum fraction  $x$ , as resolved at scale  $Q^2$***

*NB: PDFs are defined at a certain order of pQCD.*

*At higher orders, PDF defines indeed probability to find a parton inside a parton.*

- Dependence on  $Q^2$  calculable in perturbative QCD via DGLAP evolution equations
- Dependence on  $x$  is determined experimentally
- Parton Distribution Functions considered universal:

# PROTON STRUCTURE PHENOMENOLOGY

## Parton Distribution Functions

$$f_i(Q^2, x)$$

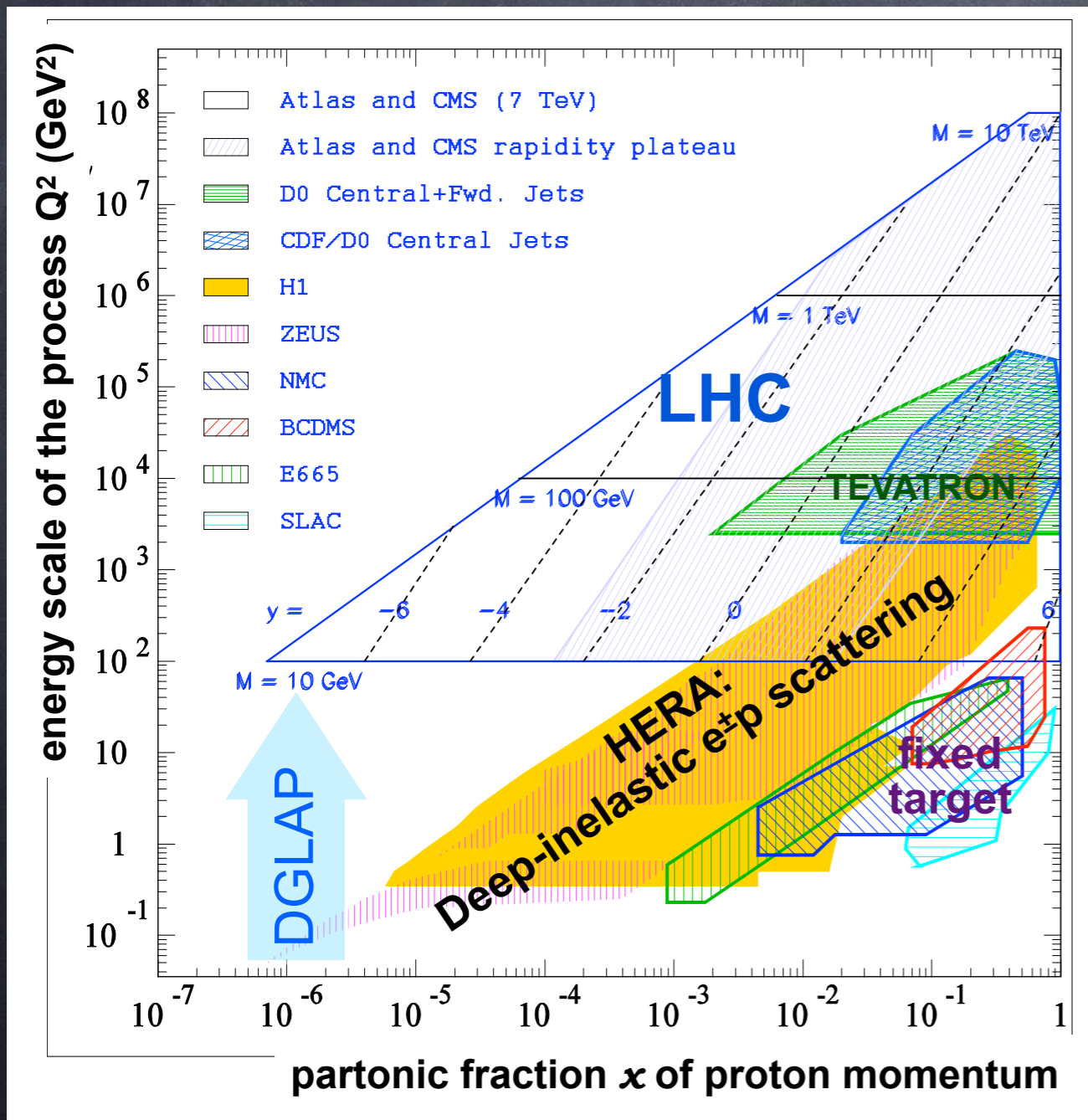
provided  
by theory

determined  
experimentally

$$\sigma_{ab \rightarrow X} = \sum_{ij} f_i \times f_j \otimes \hat{\sigma}_{ij \rightarrow X}$$

↑  
measured

↑  
calculated  
in pQCD



- parameterised at an evolution scale  $\mu^2_0$   
e.g.  $f(x) = Ax^B(1-x)^C(1+Dx+Ex^2)$
- evolved (DGLAP) to  $\mu^2 > \mu^2_0$
- construct cross section predictions
- fit to the experimental data

PDFs are universal:

use different processes ( $ab = lp, p\bar{p}, pp$ )

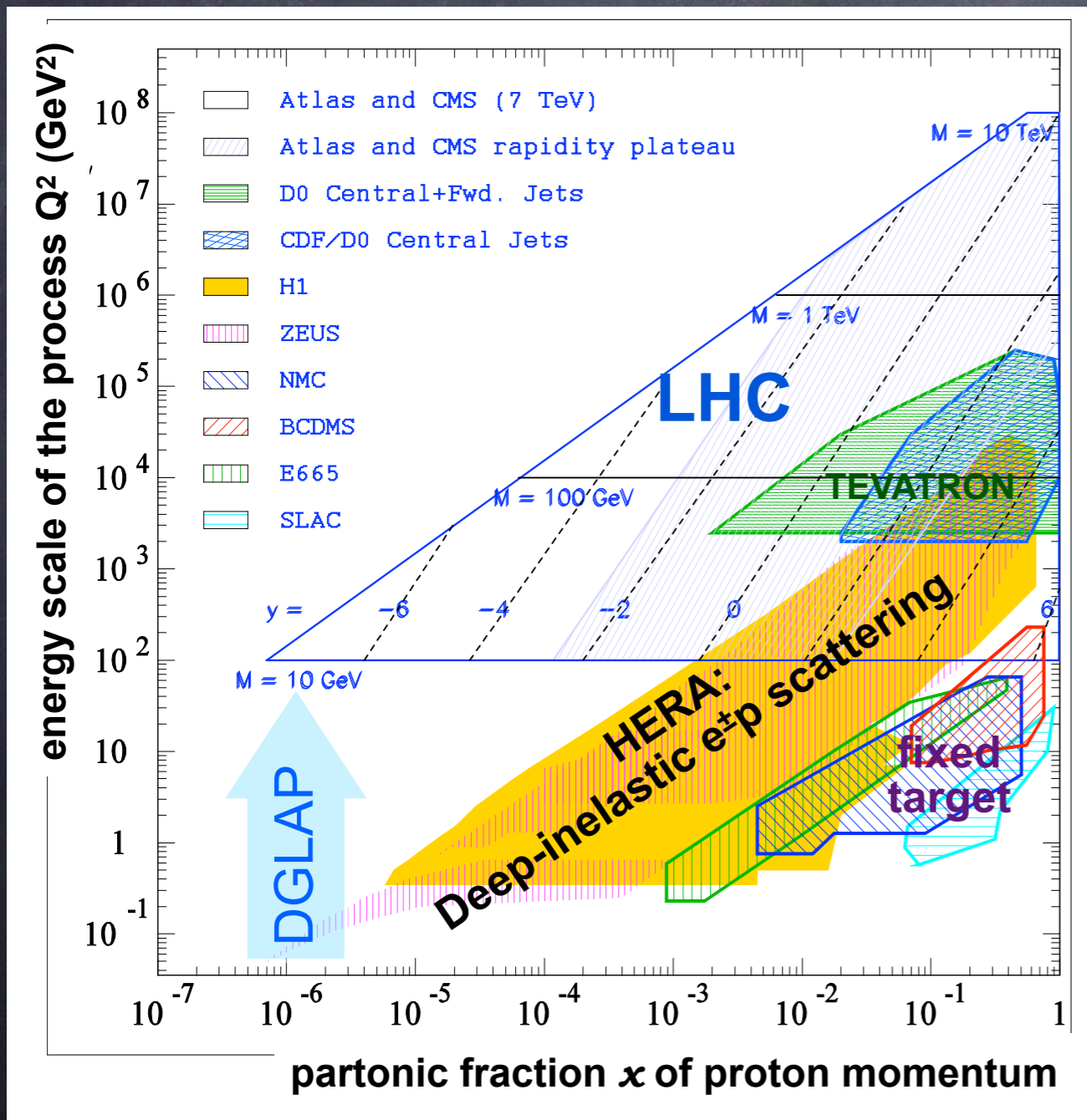
# PROTON STRUCTURE PHENOMENOLOGY

## Parton Distribution Functions

$$f_i(Q^2, x)$$

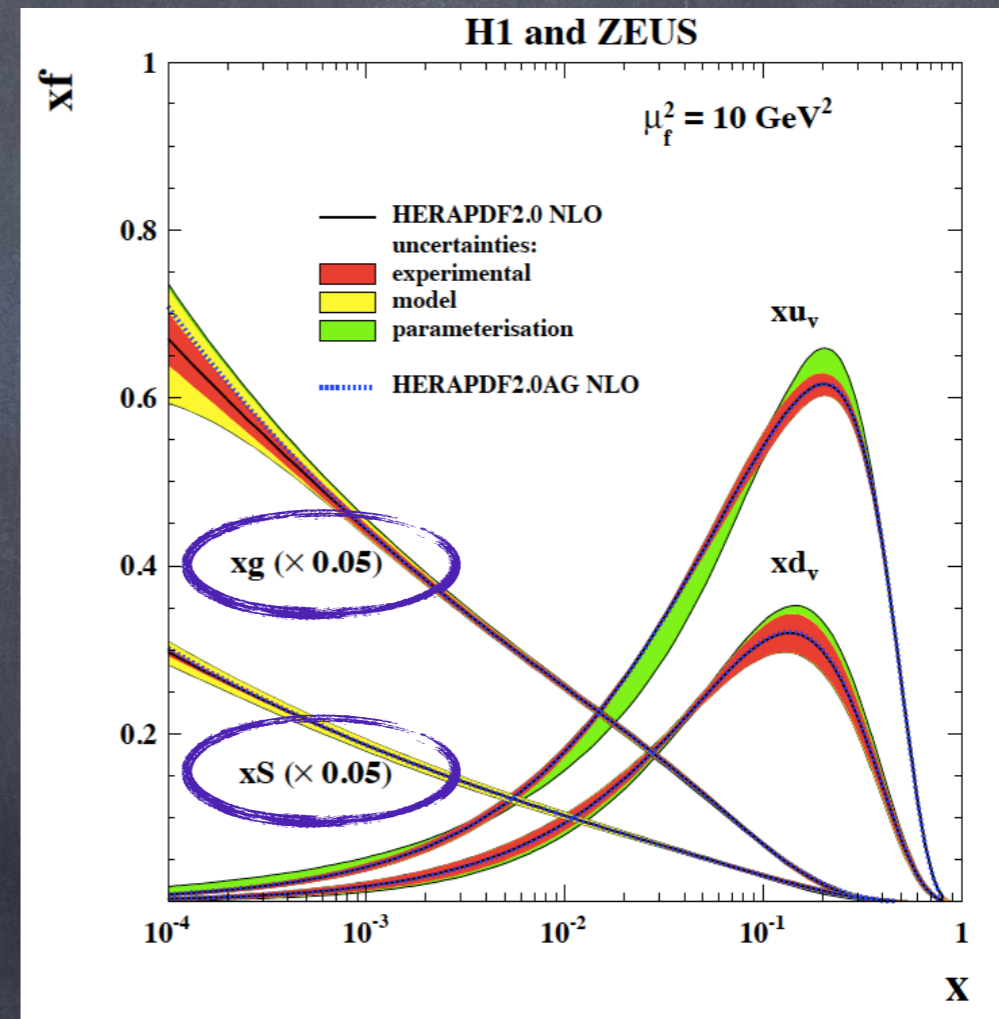
provided  
by theory

determined  
experimentally



## Important for resulting PDFs:

- assumptions on masses of heavy quarks
- value of  $\alpha_s$  ( $M_Z$ )
- coverage of  $x$  by the experimental data
- uncertainties in data used



**Basic data set: DIS at HERA at medium  $x$**   
**Impact of the LHC: low and high  $x$**



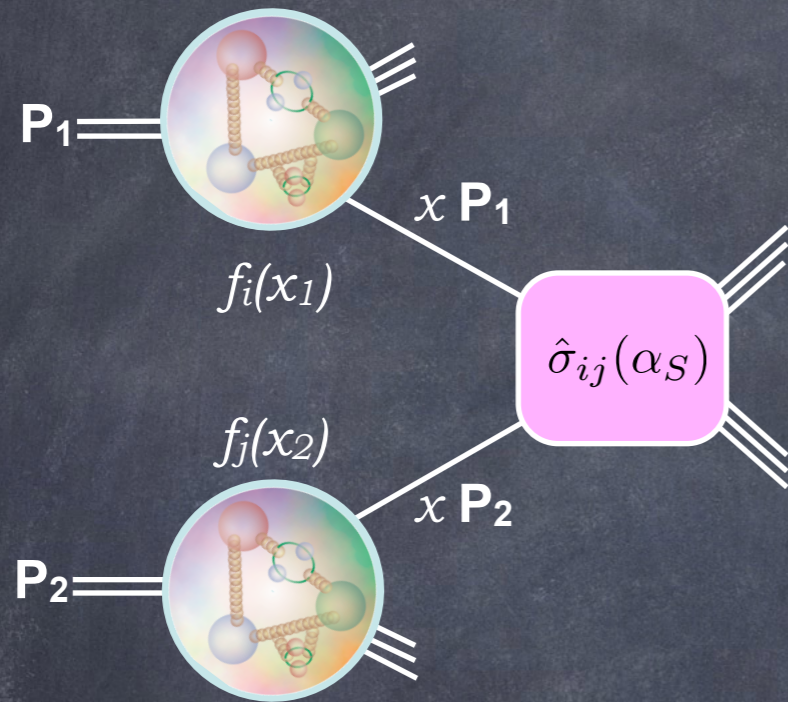
**PROTON STRUCTURE @ LHC**  
**precision QCD via**  
**jets and top quark production**

# PARTICLE PRODUCTION @ LHC

proton structure

hard interaction

Factorisation: proton luminosity  $\otimes$  sub-process ME



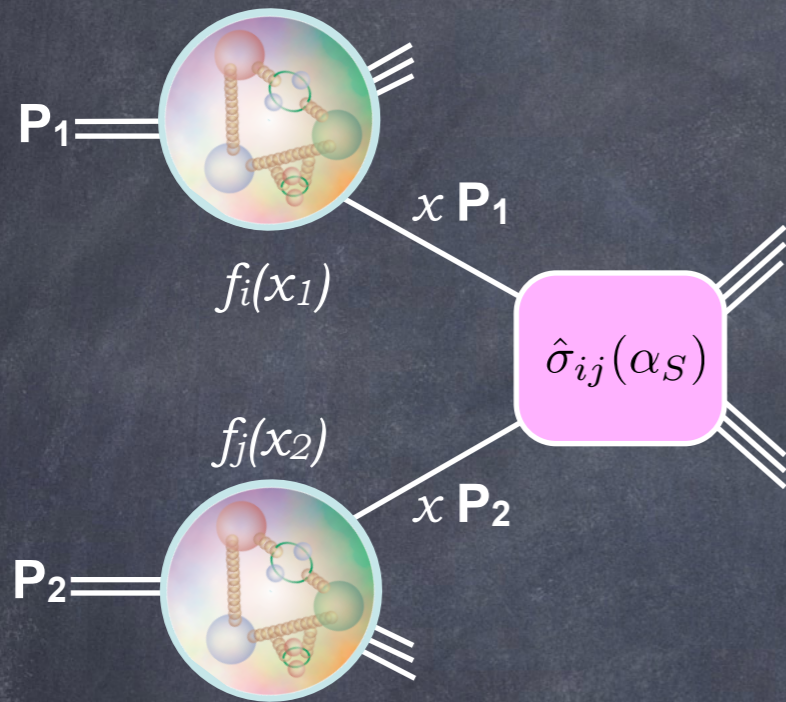
$$\sigma(s) = \sum_{i,j} \int_{\tau_0}^1 \frac{d\tau}{\tau} \cdot \frac{dL_{ij}(\mu_F^2)}{d\tau} \cdot \hat{\sigma}_{ij}(\alpha_S, m_q, \mu_F, \mu_R)$$

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functions of QCD scales  $\mu$ ,  
strong coupling constant  $\alpha_S(\mu)$ , quark mass  $m_q$

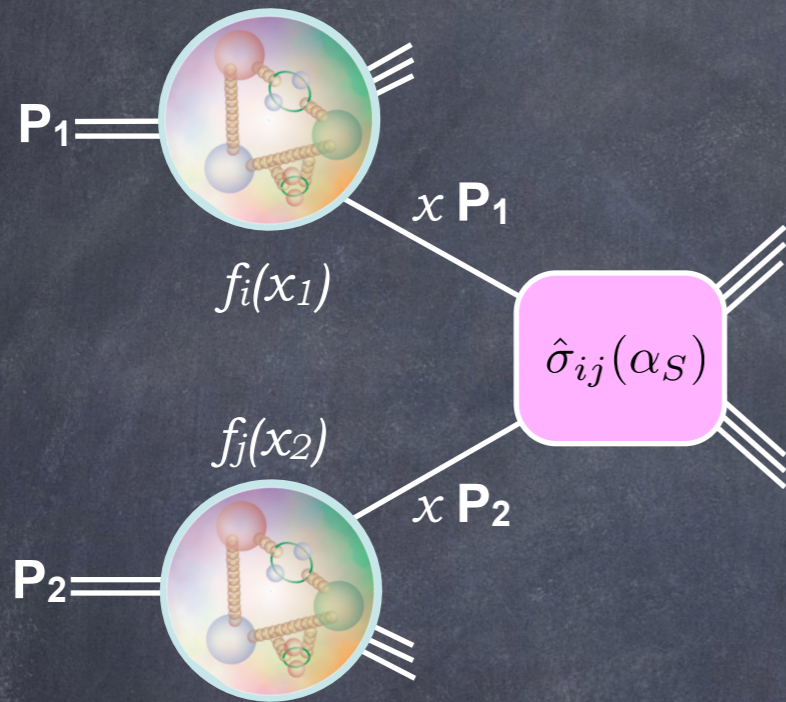
fundamental QCD parameters,  
but have to be extracted from  
experimental measurements

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parton luminosity  $\propto f_i(\mu, x_1) \cdot f_j(\mu, x_2)$

$f_i(\mu, x)$  - PDFs of each proton

calculated in pQCD (DLAP)

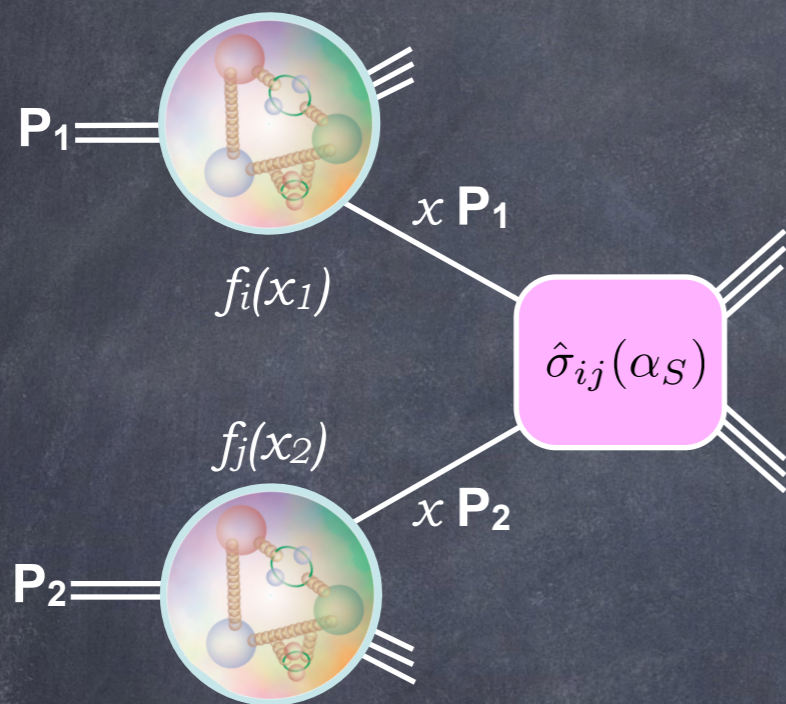
to be extracted experimentally

# PARTICLE PRODUCTION @ LHC

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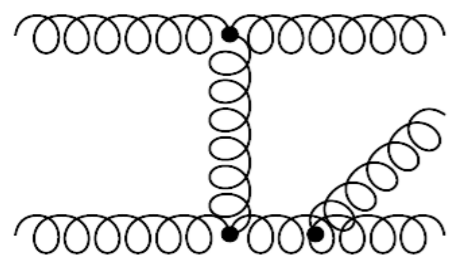


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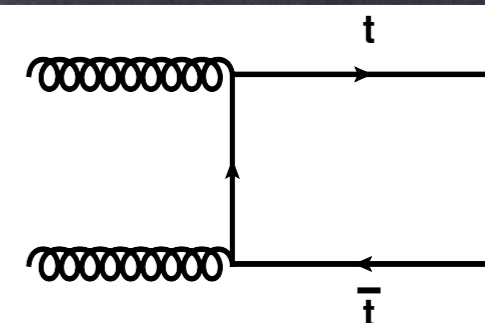
PDFs mostly determined from Deep Inelastic  $e^\pm p$  Scattering data (HERA)

Many LHC processes provide additional information, most prominent:



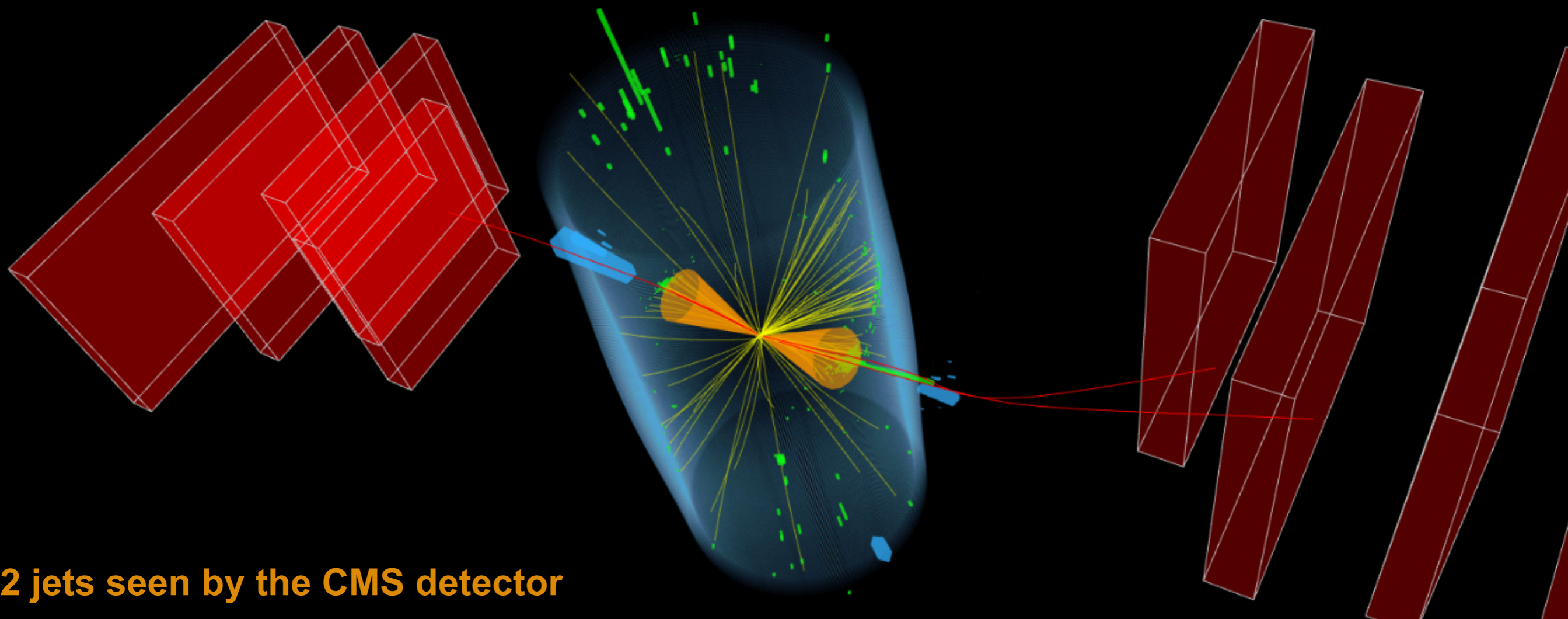
jet production:  
 $\alpha_S(\mu)$ ,  
 gluon at medium-high  $x$

$t\bar{t}$  production:  
 $\alpha_S(\mu)$ ,  $m_t$ ,  
 gluon at high  $x$



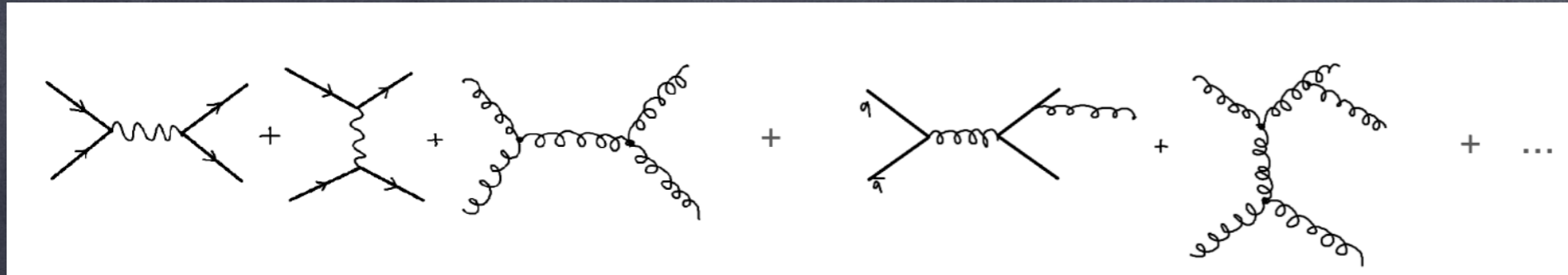
# PROTON STRUCTURE AND QCD @ LHC VIA JET PRODUCTION

QCD confinement: quarks form collimated sprays of hadrons - jets



2 jets seen by the CMS detector

# JET PRODUCTION @ LHC: STRESS TEST FOR QCD

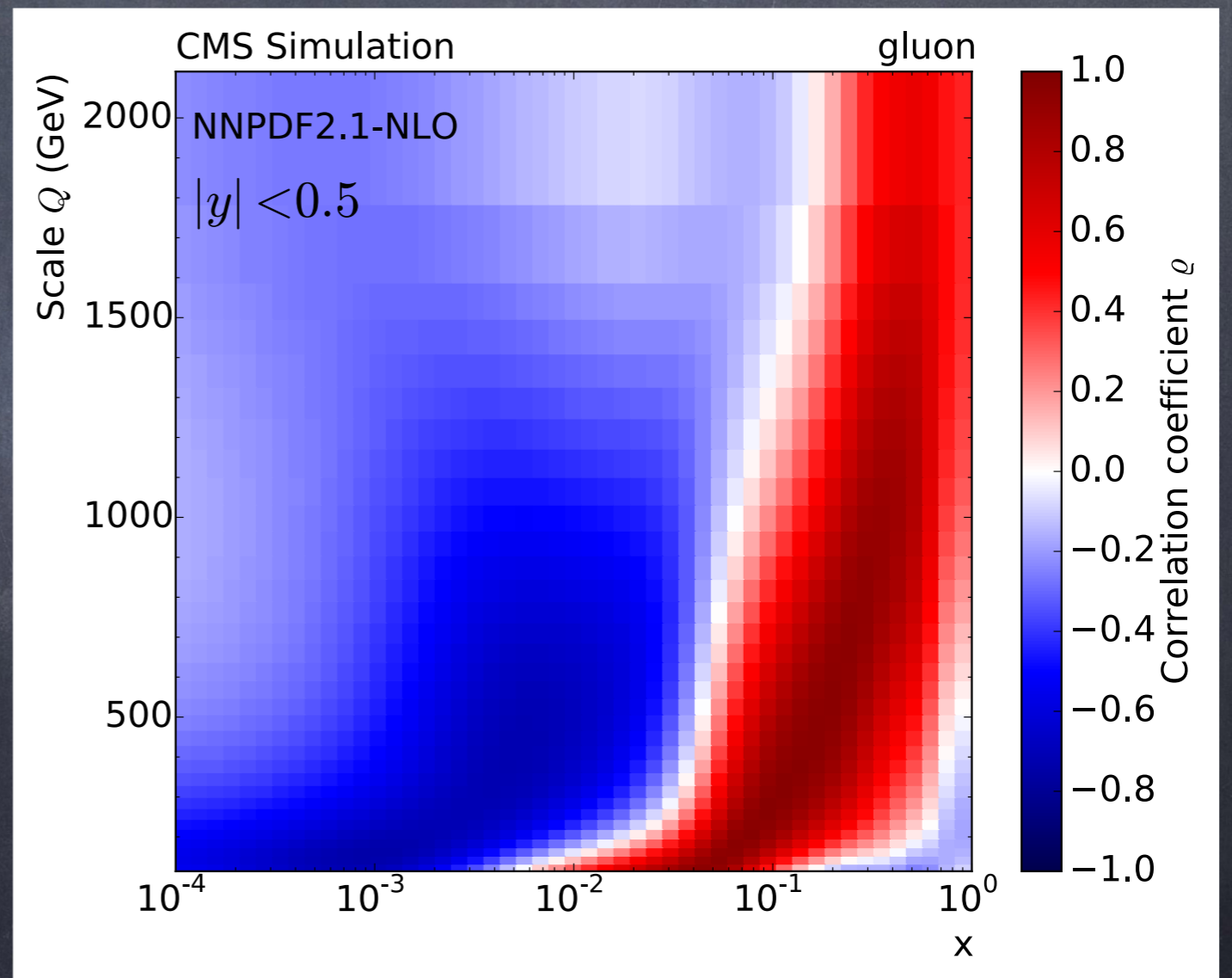


PDFs and  $\alpha_S(m_Z)$

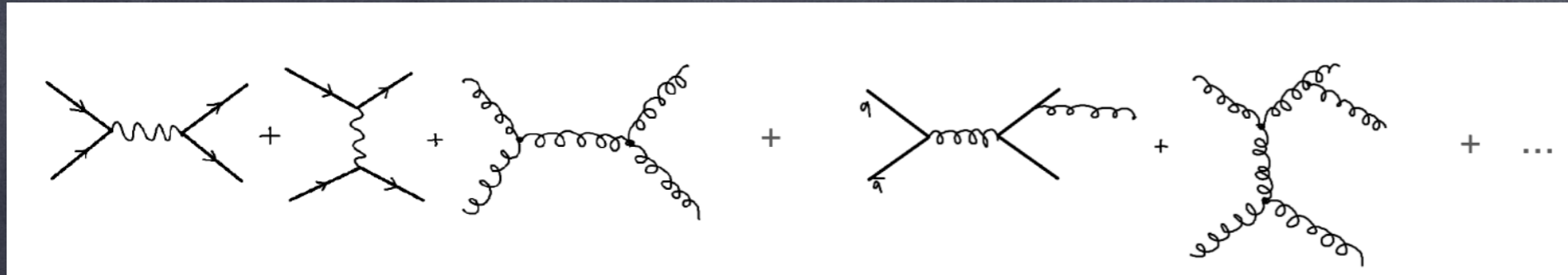
sensitivity to PDFs is quantified by correlation coefficients

(red: high sensitivity)

particular sensitive to the gluon at high  $x$

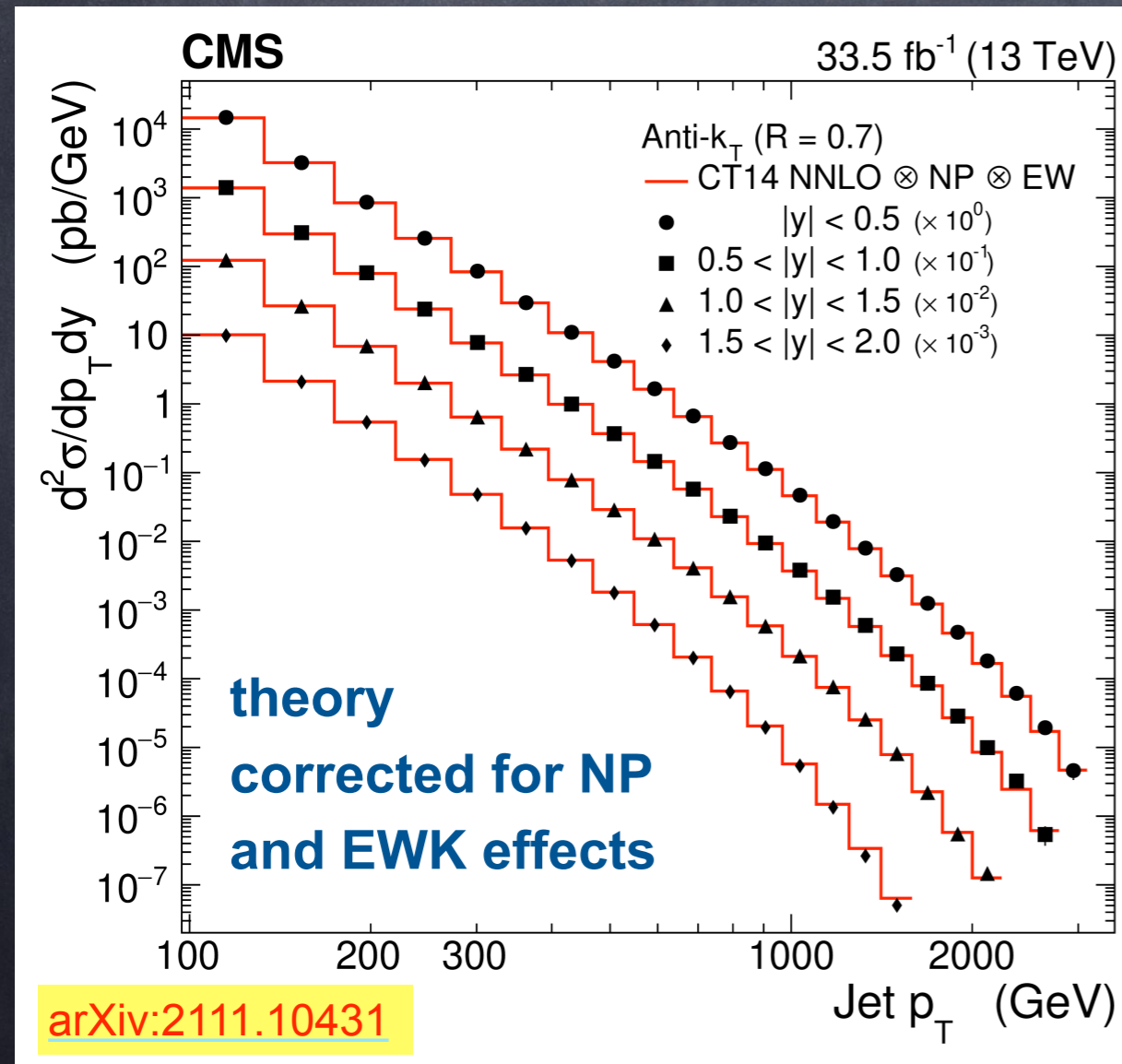


# JET PRODUCTION @ LHC: STRESS TEST FOR QCD



PDFs and  $\alpha_S(m_Z)$

Most recent CMS measurement: inclusive jets at 13 TeV: [arXiv:2111.10431](https://arxiv.org/abs/2111.10431)



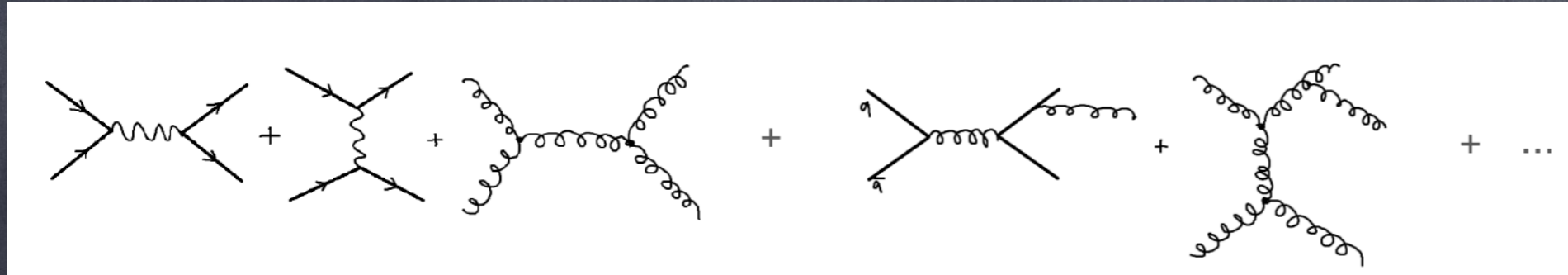
2-differential cross sections  
as a function of  
transverse momentum  $p_T$  of individual jet  
and rapidity  $y$

Compared to QCD prediction at NNLO

NB systematic uncertainty not shown!

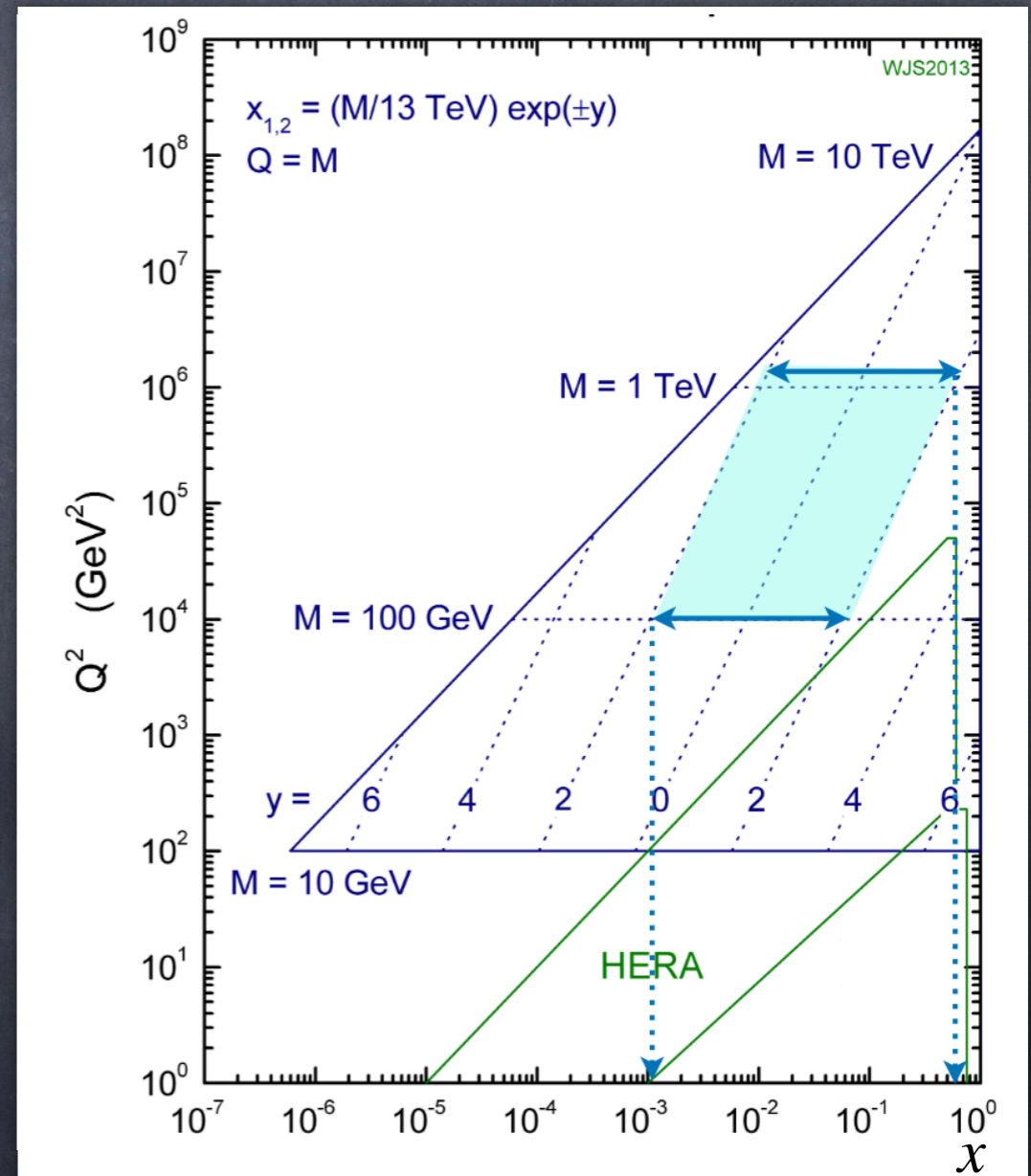
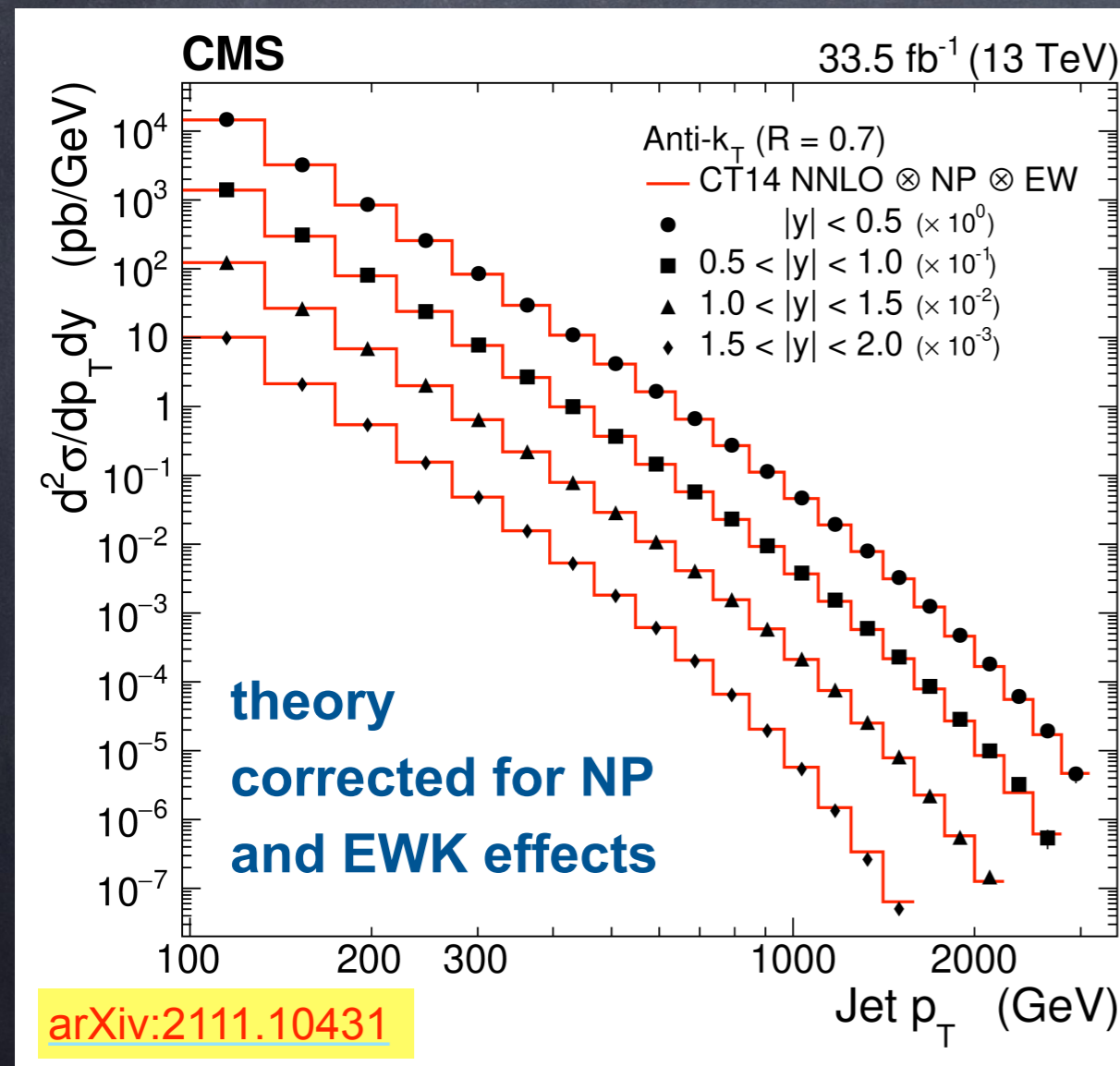


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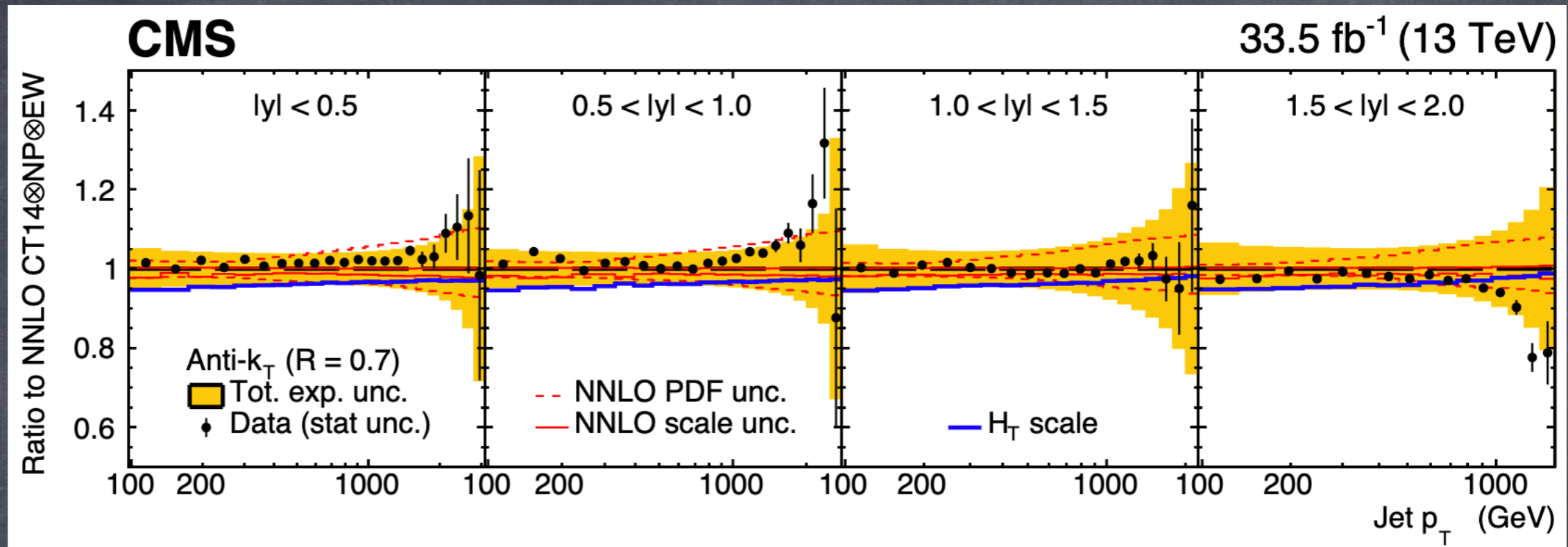
PDFs and  $\alpha_s(m_Z)$

Most recent CMS measurement: probes the gluon distribution at high  $x$



# JET PRODUCTION @ LHC: STRESS TEST FOR QCD

data vs  
NNLO



**Appreciate tremendous effort and great success  
of the theory / tool development !**

NNLO: [Currie, Glover, Pires, PRL118 (2017) 072002]

[Currie et al. , JHEP 10 (2018) 155]

[T. Gehrmann et al., PoS RADCOR2017 (2018) 074]

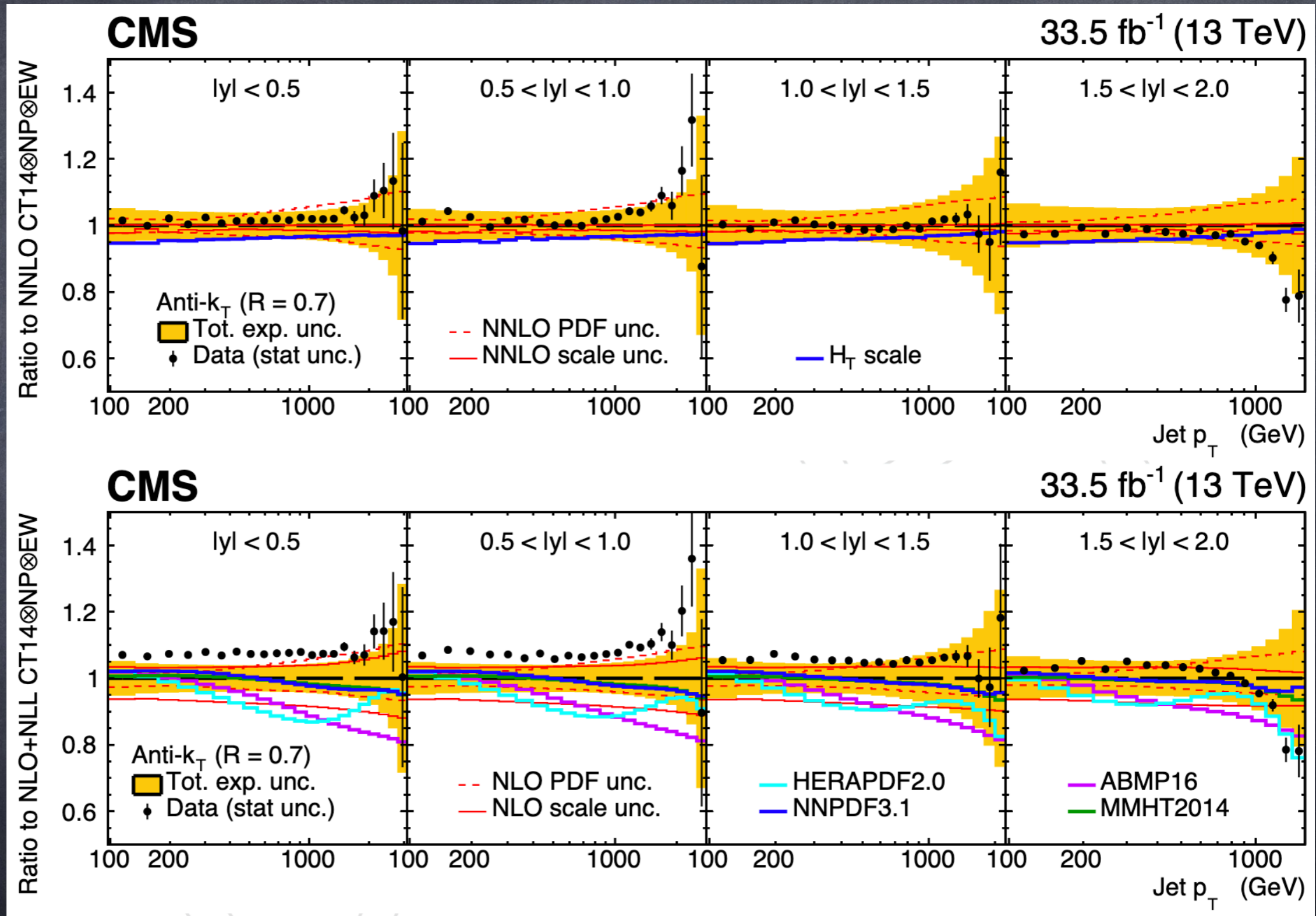
NLOJet++ [Z. Nagy PRL 88 (2002) 122003, PRD 68 (2003) 094002]

fastNLO [D. Britzger, K. Rabbertz, F. Stober, M. Wobisch, arXiv:1208.3641]

... and many more

# JET PRODUCTION @ LHC: STRESS TEST FOR PDFs

data vs NNLO



data vs NLO+NLL

NLL resummation [Liu, Moch, Ringer, arXiv:1801.07284]  
 [J. Gao et al., arXiv:1207.0513]

**dominant uncertainty: PDF**

# GETTING PDFs FROM JET DATA

- **QCD fit at NNLO:** basis data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]  
+ CMS inclusive jets at 13 TeV [arXiv:2111.10431]

- **PDF + uncertainties from:**

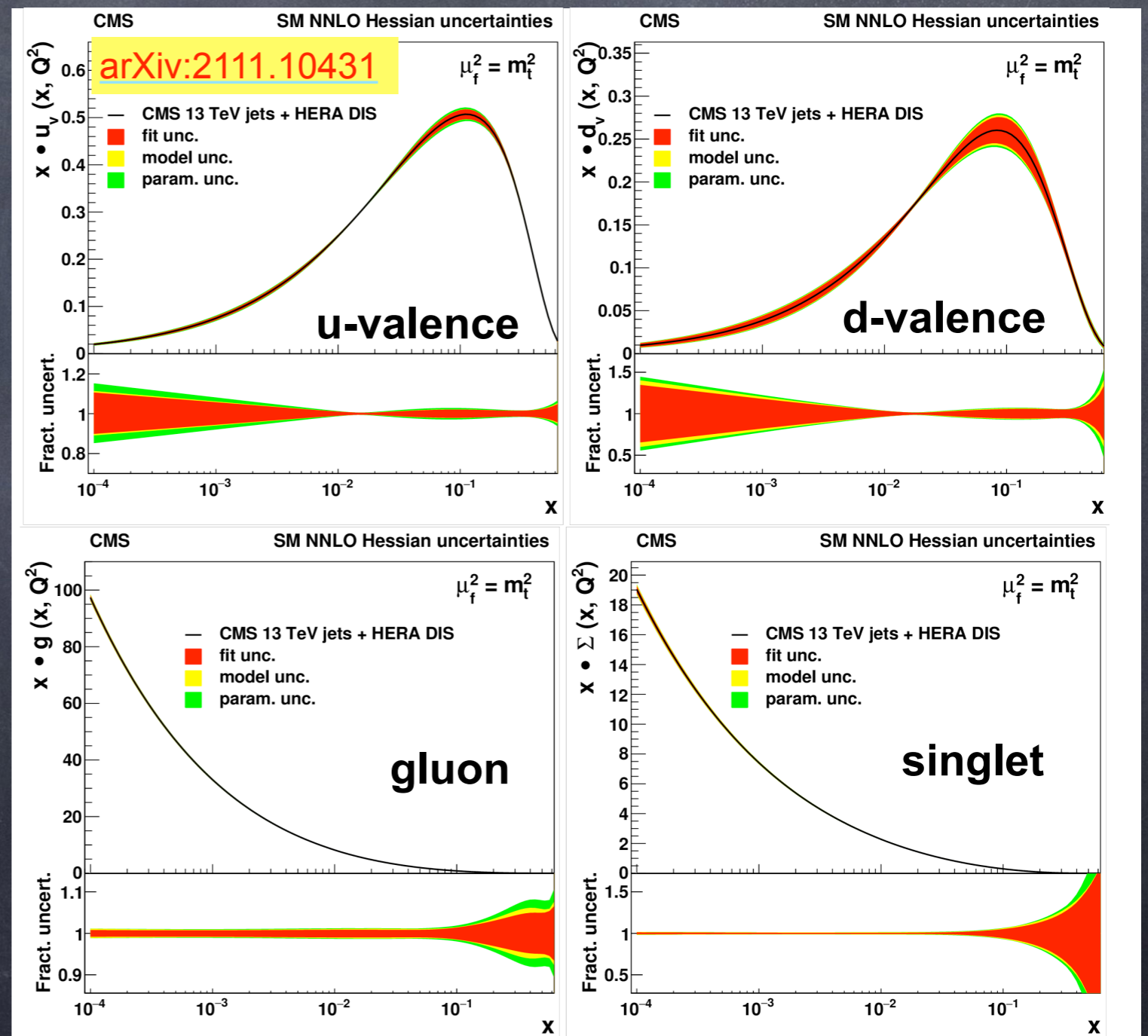
uncertainties in exp. data

assumed values of quark masses

choice of factorisation/renormalisation

scales in the theory prediction

uncertainties in parametrisation



# GETTING PDFs + $\alpha_S(m_Z)$ FROM JET DATA

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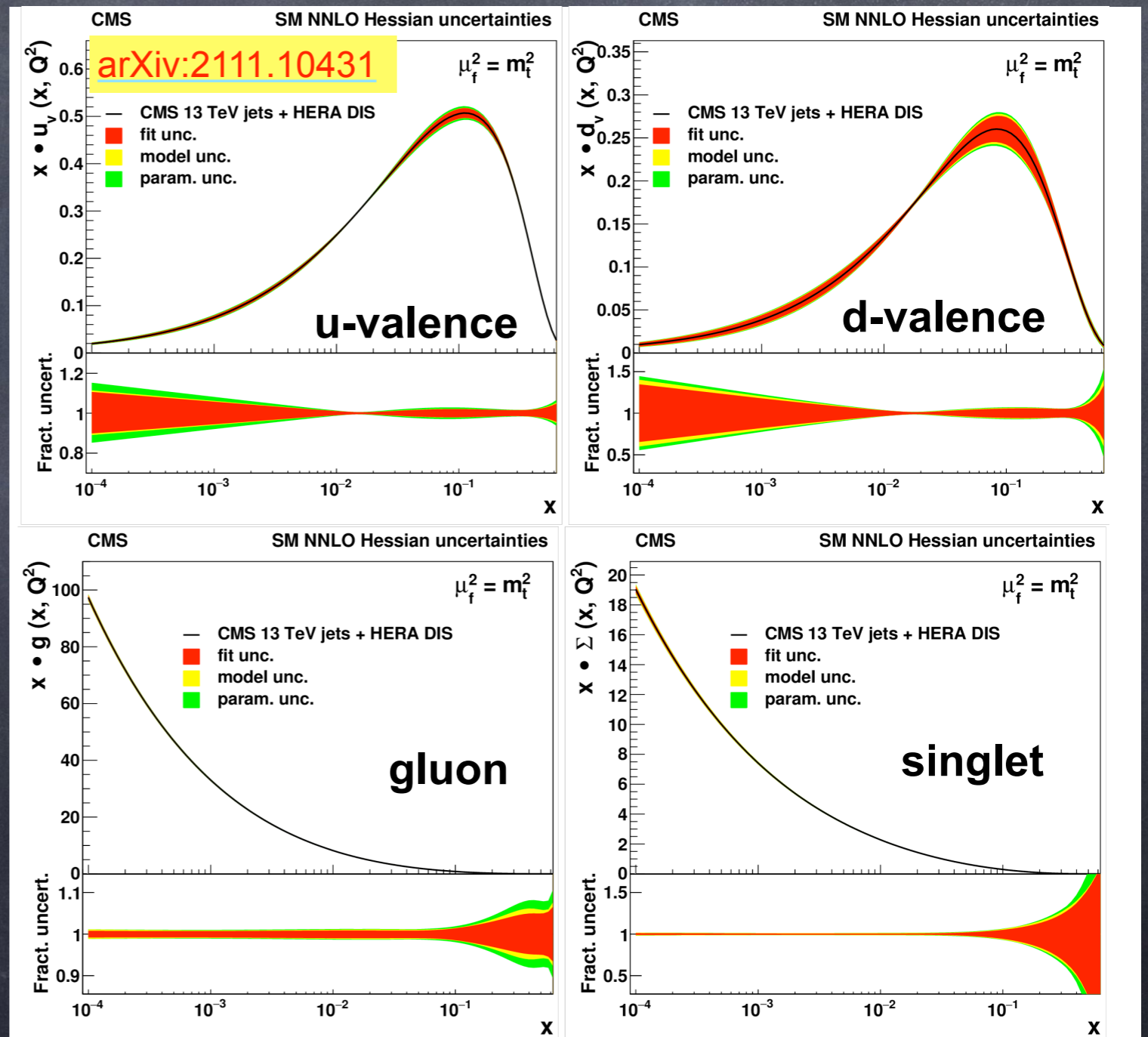
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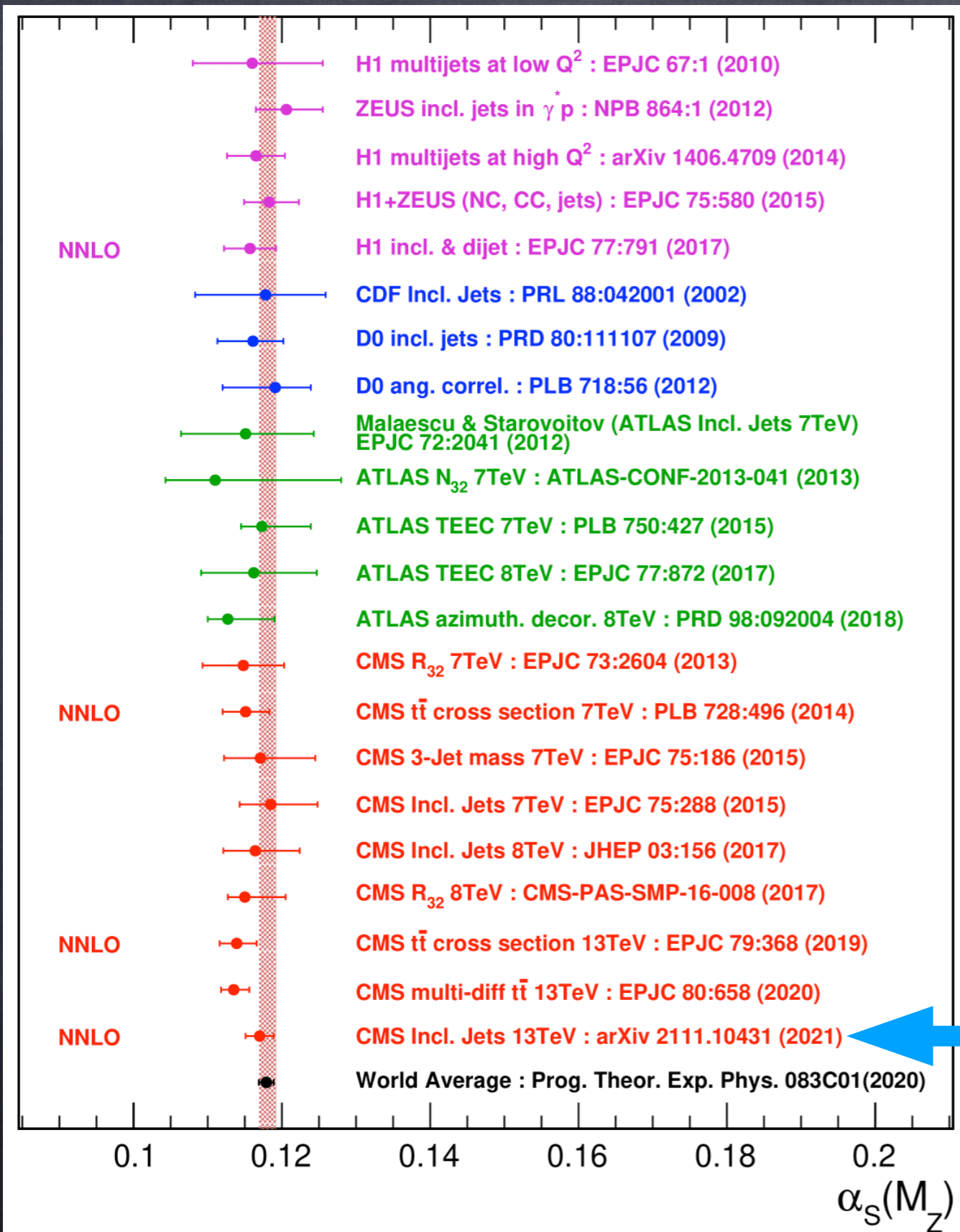
- Strong coupling constant

$$\alpha_S(m_Z) = 0.1170 \pm 0.0019$$

$$0.0014_{fit} \pm 0.0007_{model} \pm 0.0008_{scale} \pm 0.0001_{param}$$



# NEW $\alpha_s(m_Z)$ VS EARLIER RESULTS



most precise single measurement  
(error 1.6%)  
mitigated dependence on PDFs

# ... AND GLUON GETS MORE PRECISE

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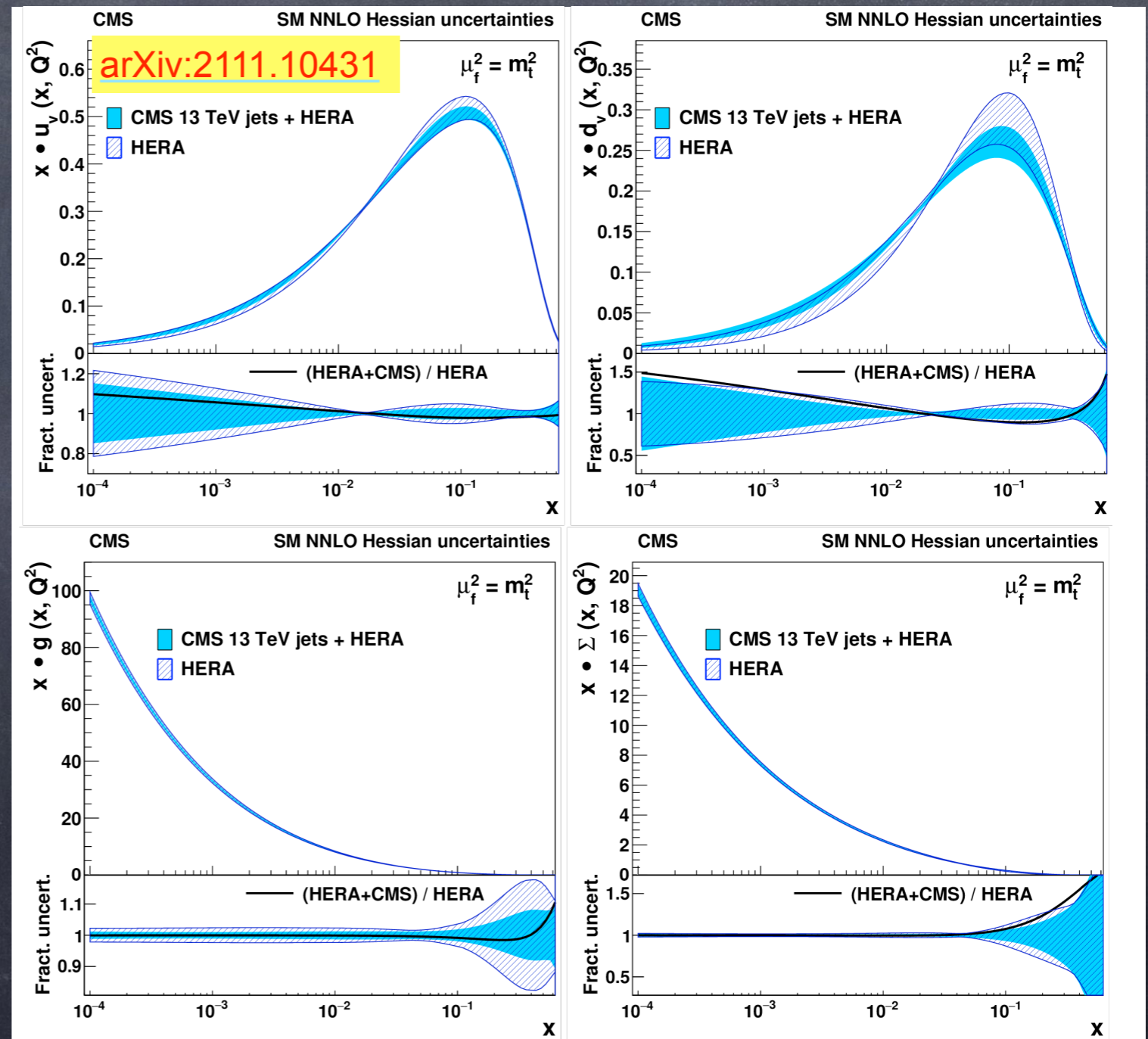
- PDF + total uncertainties

- Strong coupling constant

$$\alpha_s(m_Z) = 0.1170 \pm 0.0019$$

- compared to HERA-only fit

{  $\alpha_s(m_Z)$  fixed }



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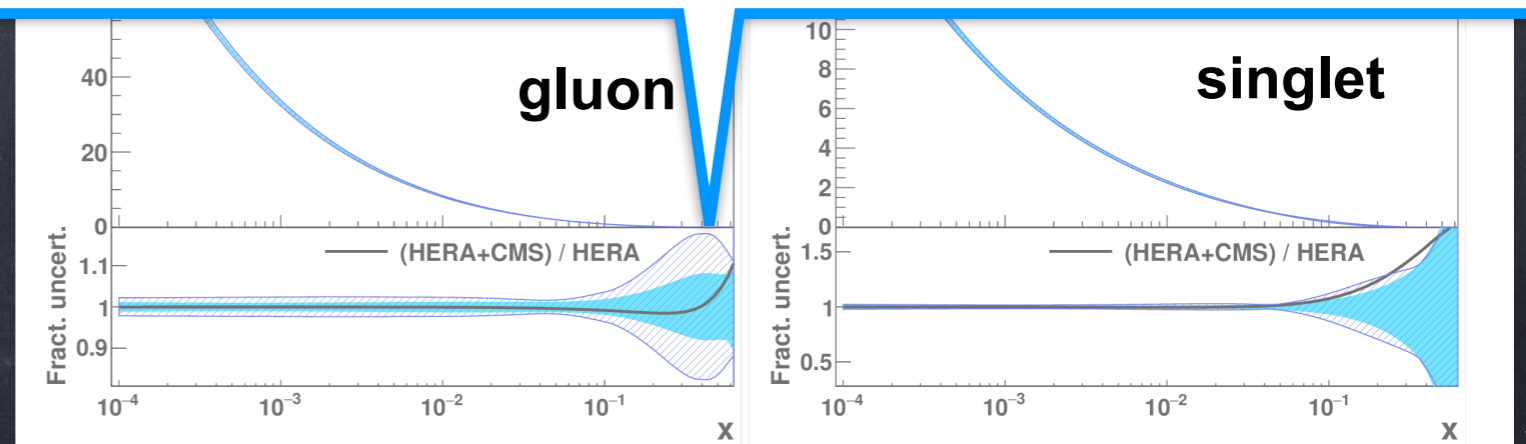
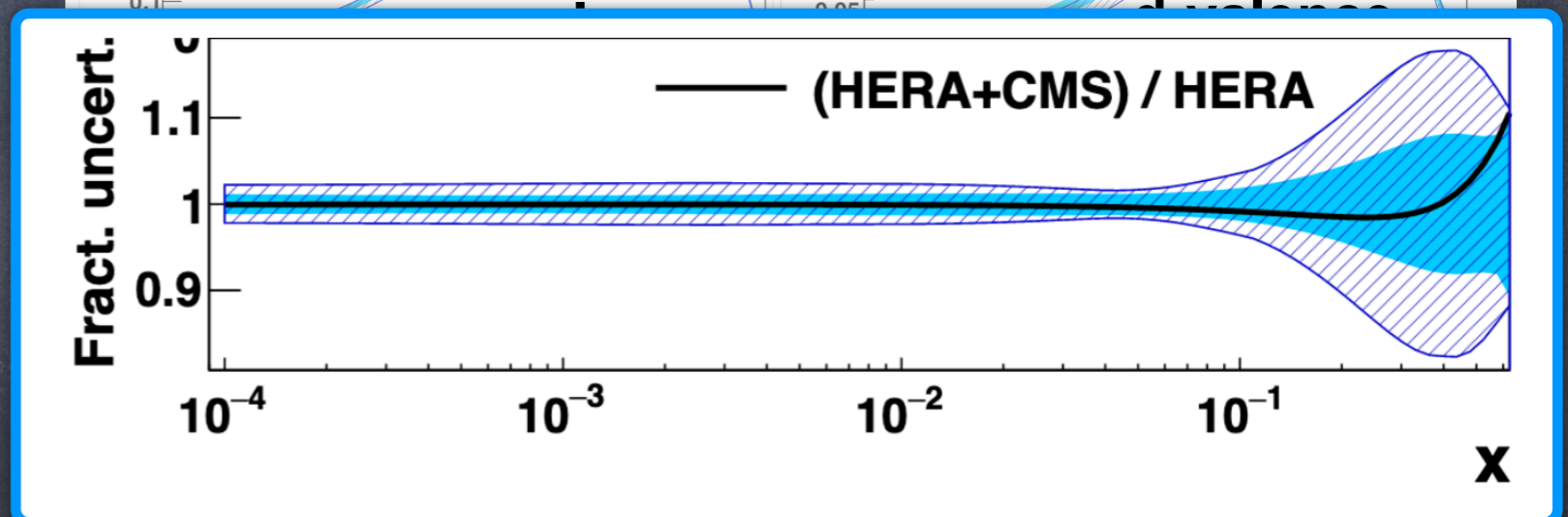
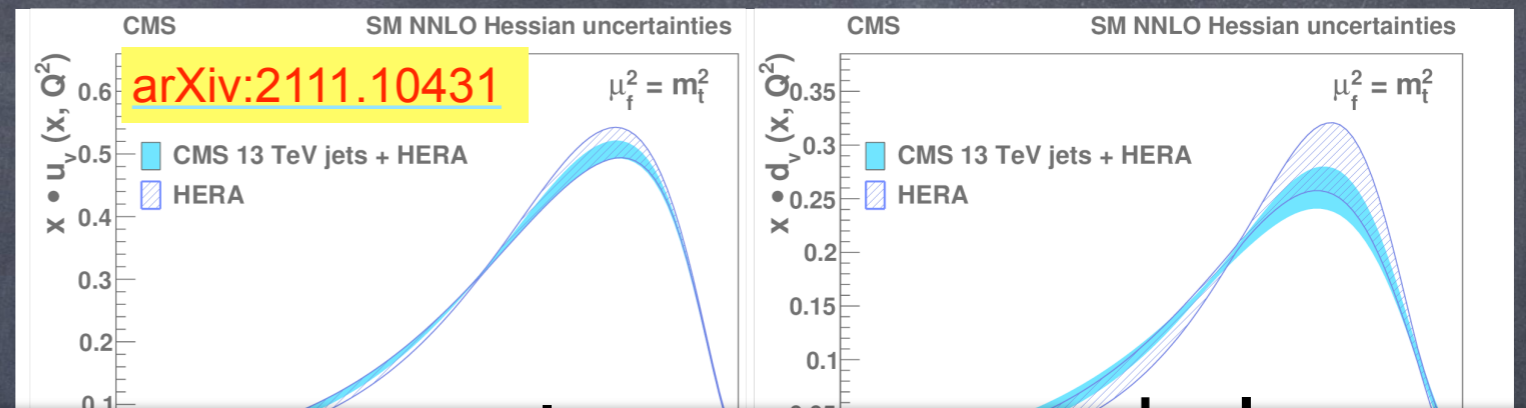
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{  $\alpha_S(m_Z)$  fixed }



**Improved precision of the gluon at high x !**

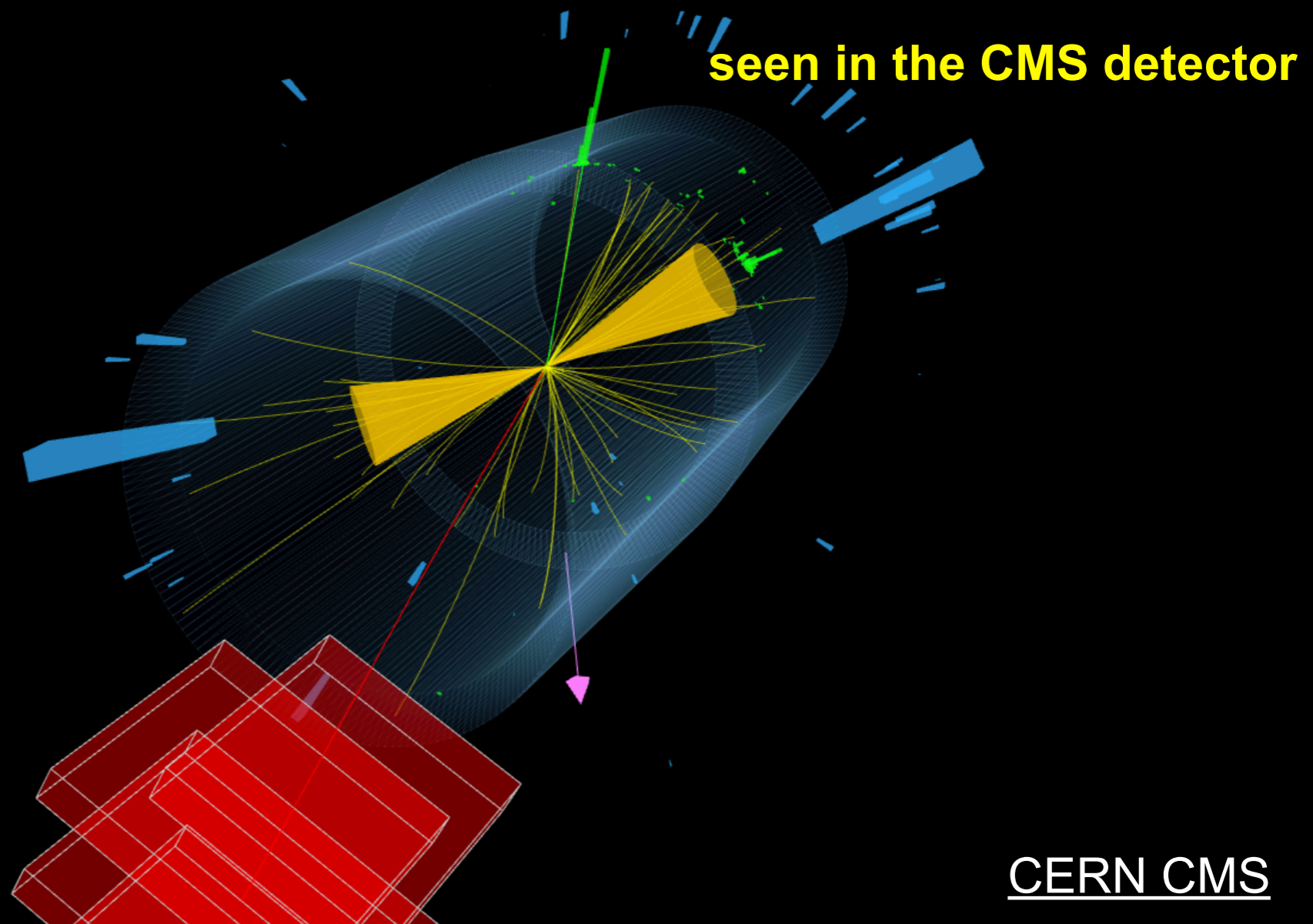
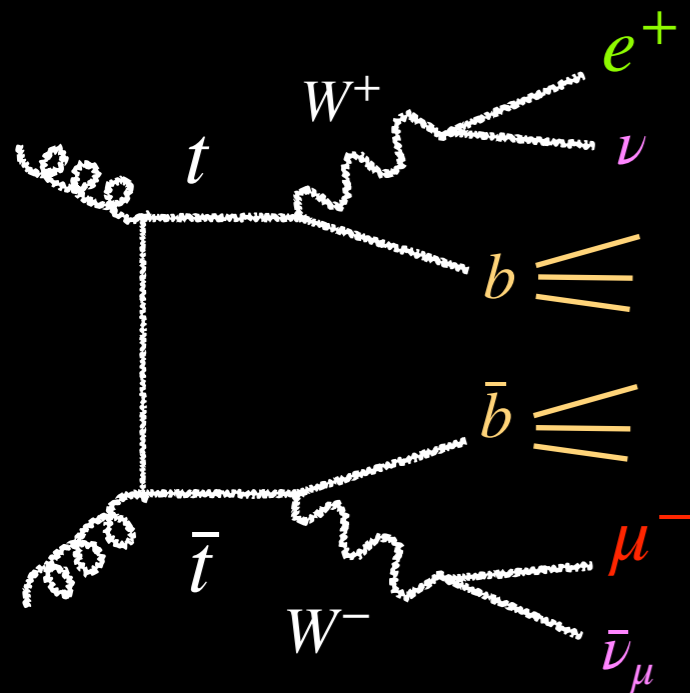


# PROTON STRUCTURE AND QCD @ LHC

## VIA TOP QUARK PAIR PRODUCTION

Too massive to form hadrons: top quark decays into W boson and b quark

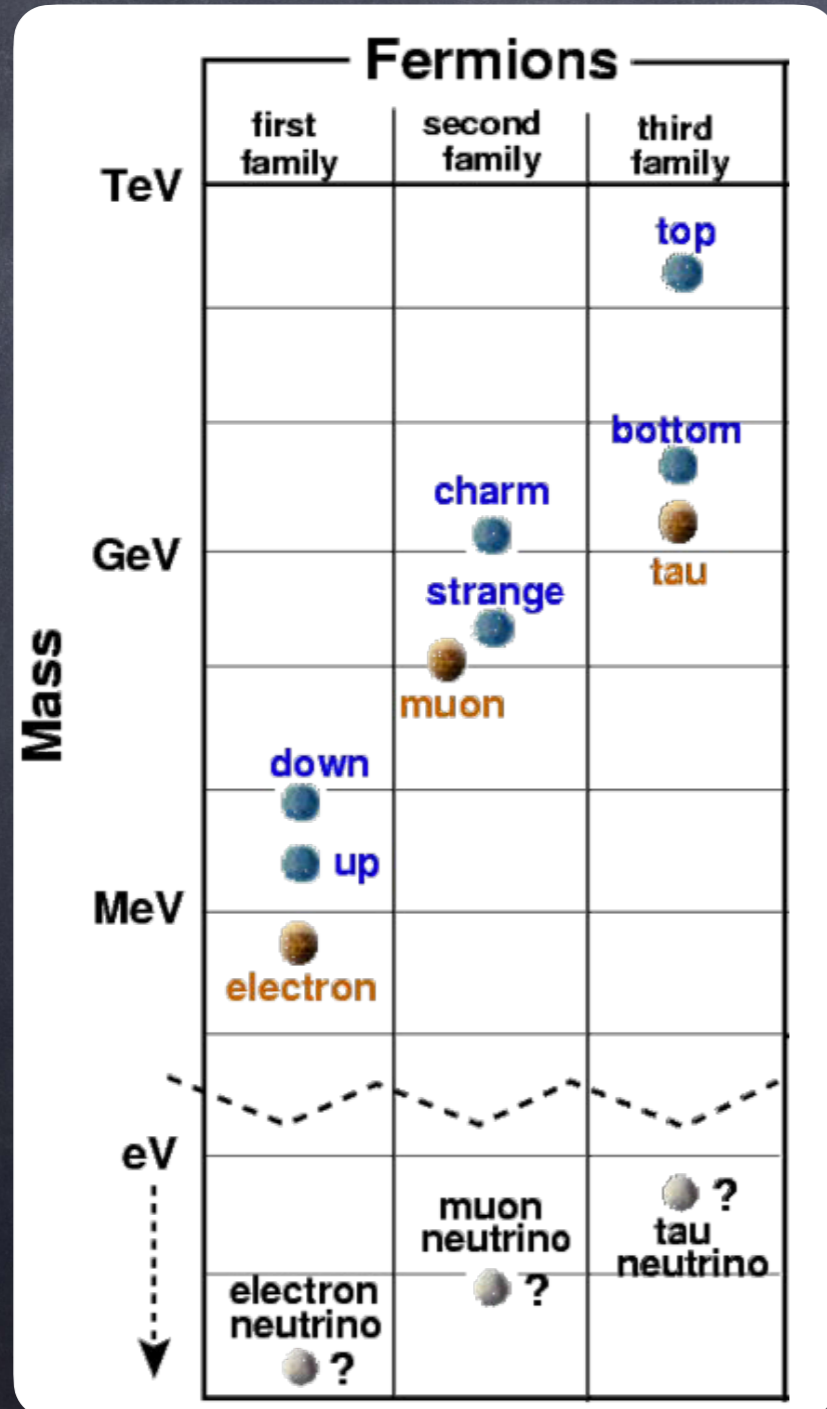
top quark-antiquark production



# TOP QUARK IN THE STANDARD MODEL

Most massive elementary particle known to date

Does not form hadrons: the only “bare” quark



*relation to  $M_H$ ,  $M_W$ ,  
Higgs Yukawa coupling  
strong coupling*

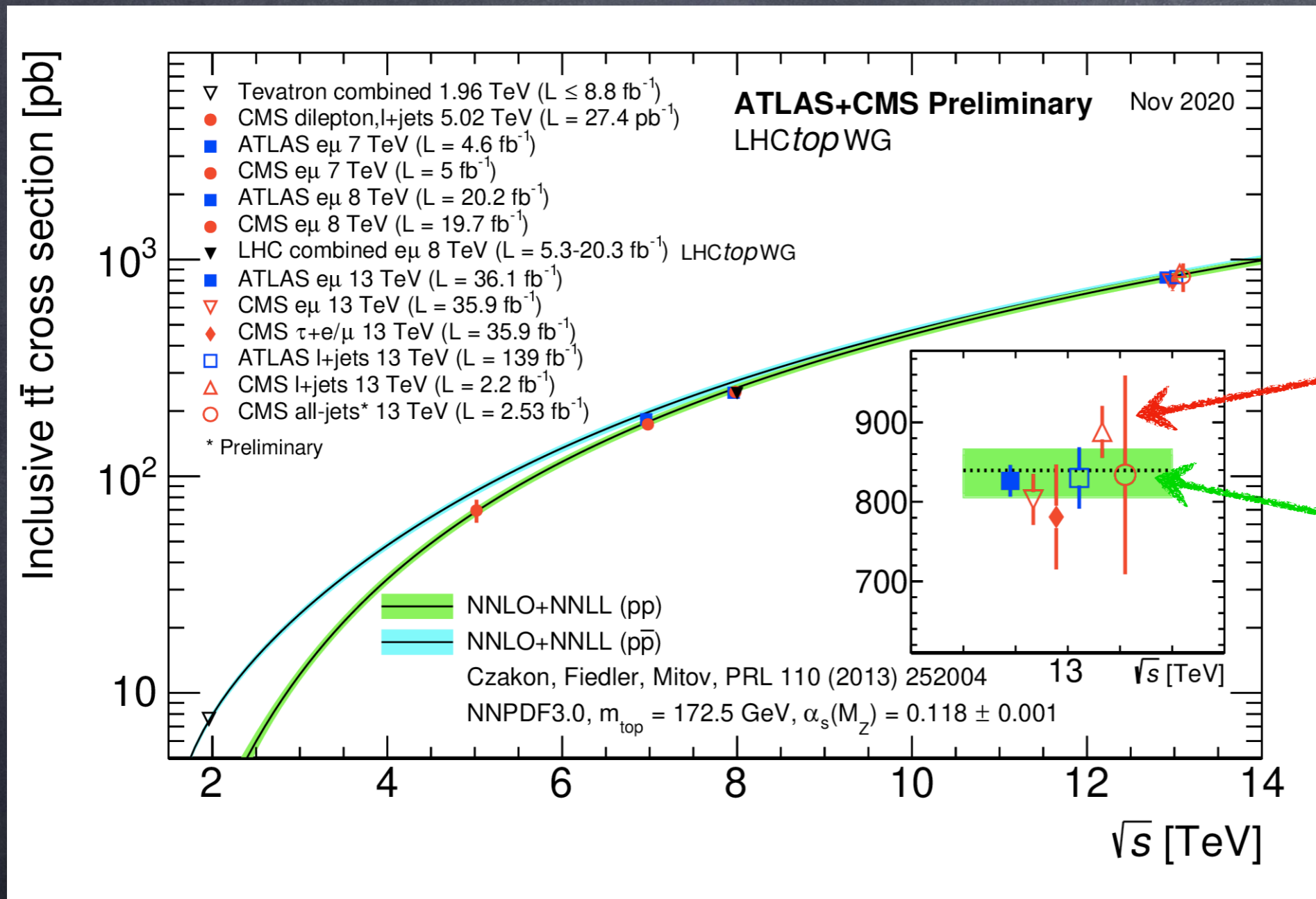


the “elephant in the room”

for tests of QCD  
and the Standard Model

# NB: MEASUREMENTS OF QUARK MASSES

Experimental observable: cross section (inclusive or as a function of kinematics)



experimental data

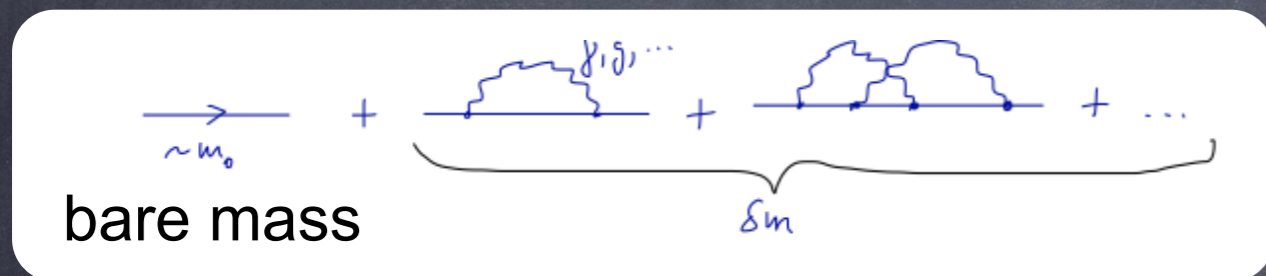
theory prediction

The measurement is compared to the theory prediction,  
fundamental parameters of the theory are derived from this comparison

# TOP QUARK MASS IN QCD

Beyond LO:

bare mass term in Lagrange density receives self-energy corrections  $\delta m$



Renormalised mass  $m_R = m_0 + \delta m$

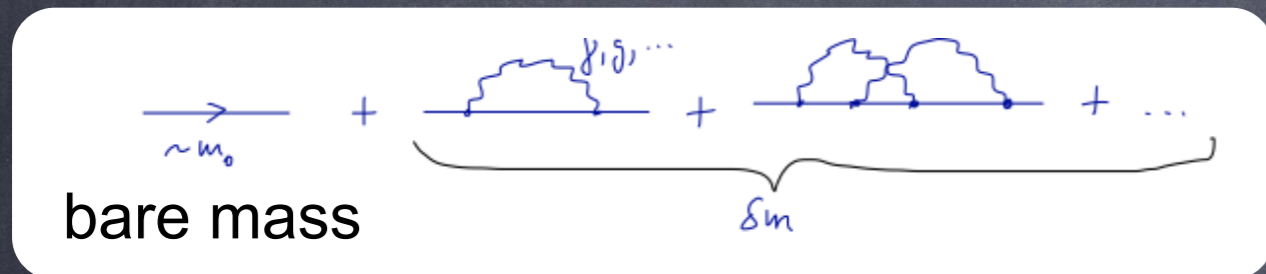
↑  
determined at scale  $\mu \rightarrow$  finite

Lagrange density with renormalised mass  $m_R$  is scale-dependent

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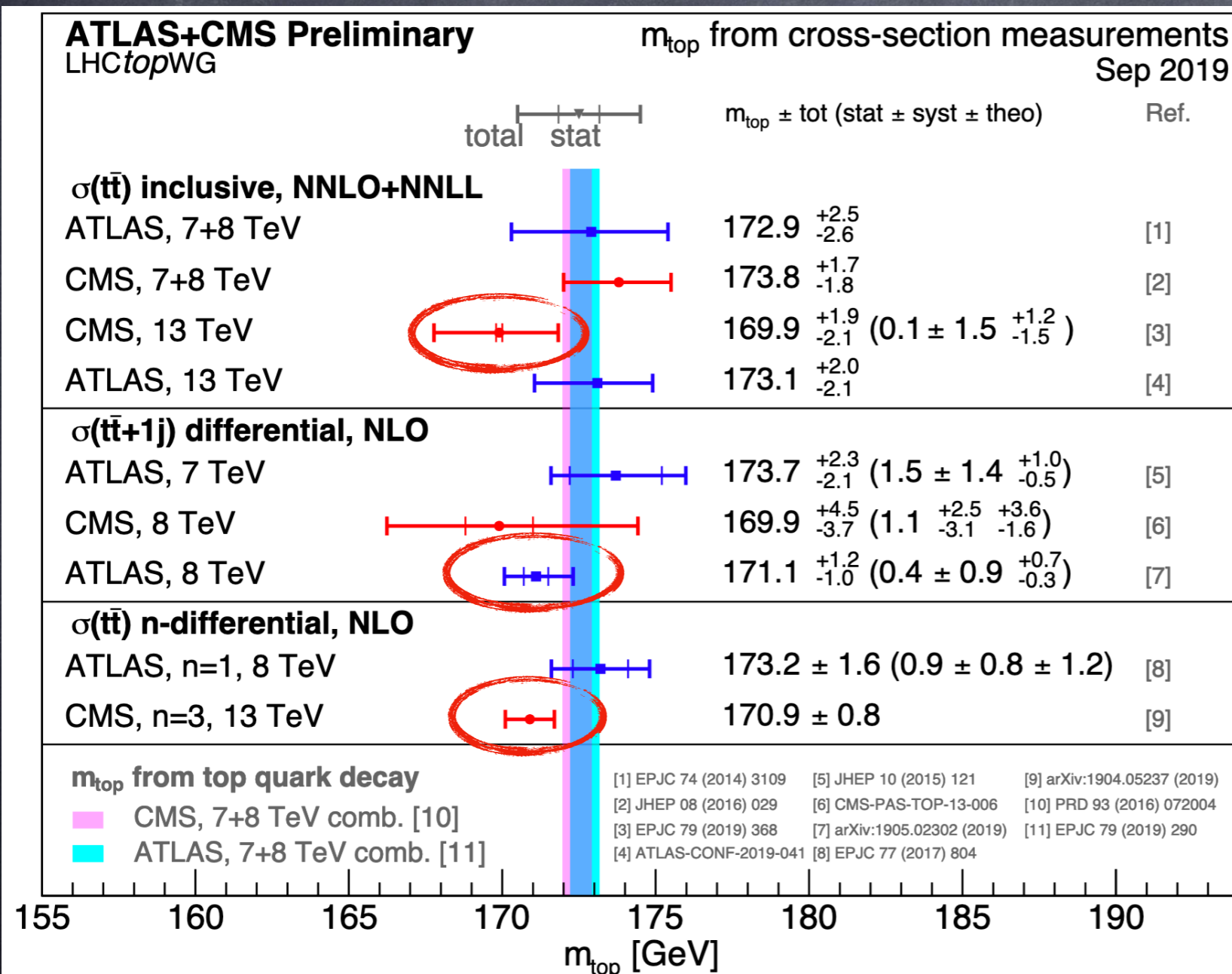
**$m_t$  becomes renormalisation-scheme dependent:**

- pole mass:  $m_t^{pole}$  the pole of the quark propagator (concept of free parton)  
**Good description of physics near  $t\bar{t}$  production threshold**
- running mass  $m_t(\mu)$  valid above top-quark production threshold  
e.g.  $\overline{\text{MS}}$  mass  
**running follows Renormalisation Group Equations**  
**value at a fixed scale  $m_t(\mu)$  to be extracted from the data**

# TOP QUARK MASS MEASUREMENTS

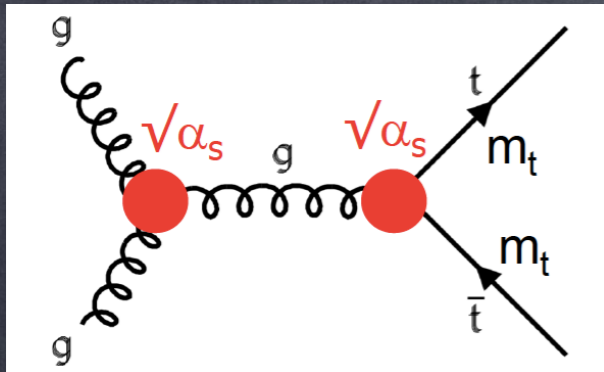
Different methods of measurement - very different precision

Theoretical interpretation of the extracted mass not always straightforward



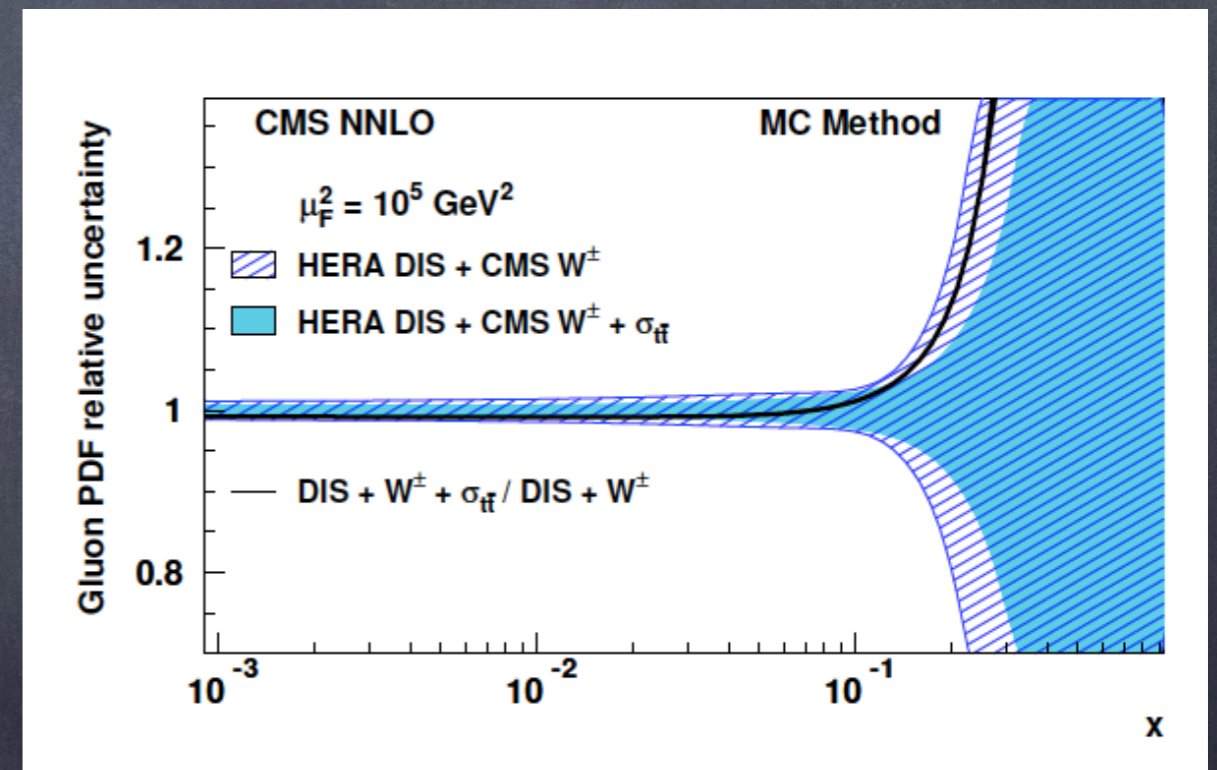
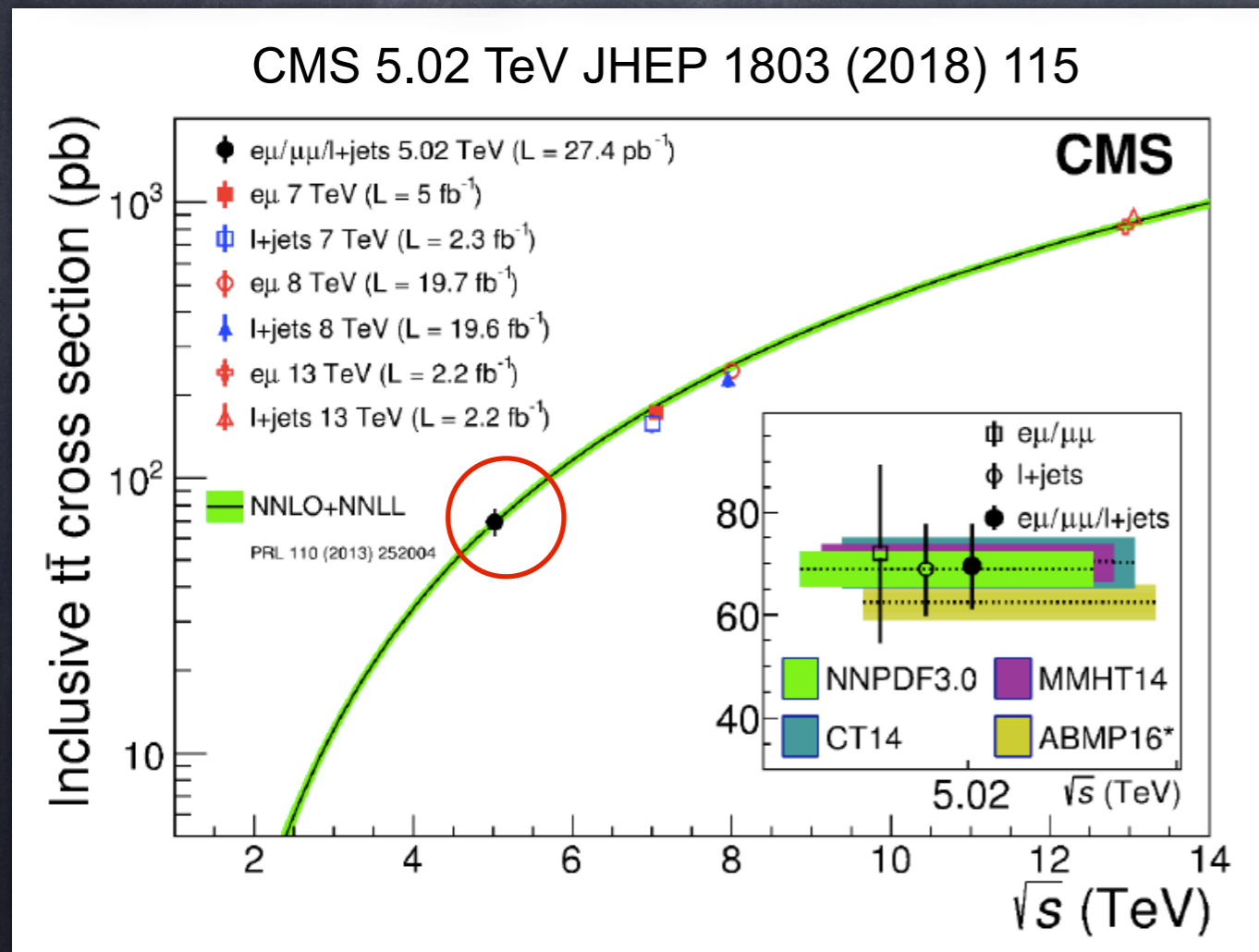
only the results  
on the pole mass are shown

# TOTAL $t\bar{t}$ CROSS SECTION: GLUON AT HIGH $x$



sensitive to the gluon PDF at high  $x$ ,  $\alpha_S$  and  $m_t$   
 total  $t\bar{t}$  cross section: PDF,  $\alpha_S$  and  $m_t$  are correlated:  
 only one of the 3 parameters can be extracted

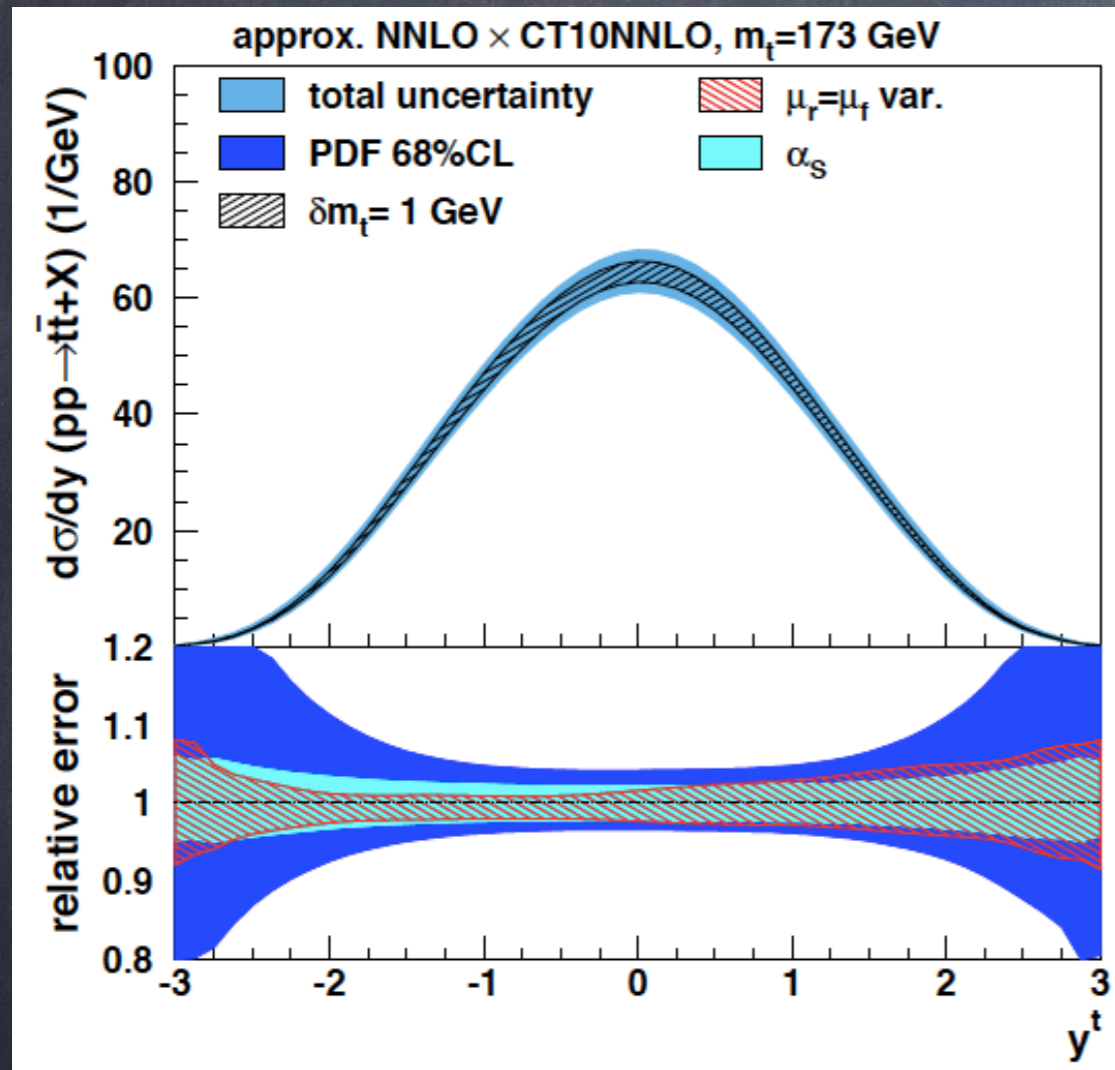
## Impact of a single measurement in a PDF fit ( $\alpha_S(m_Z)$ and $m_t$ fixed)



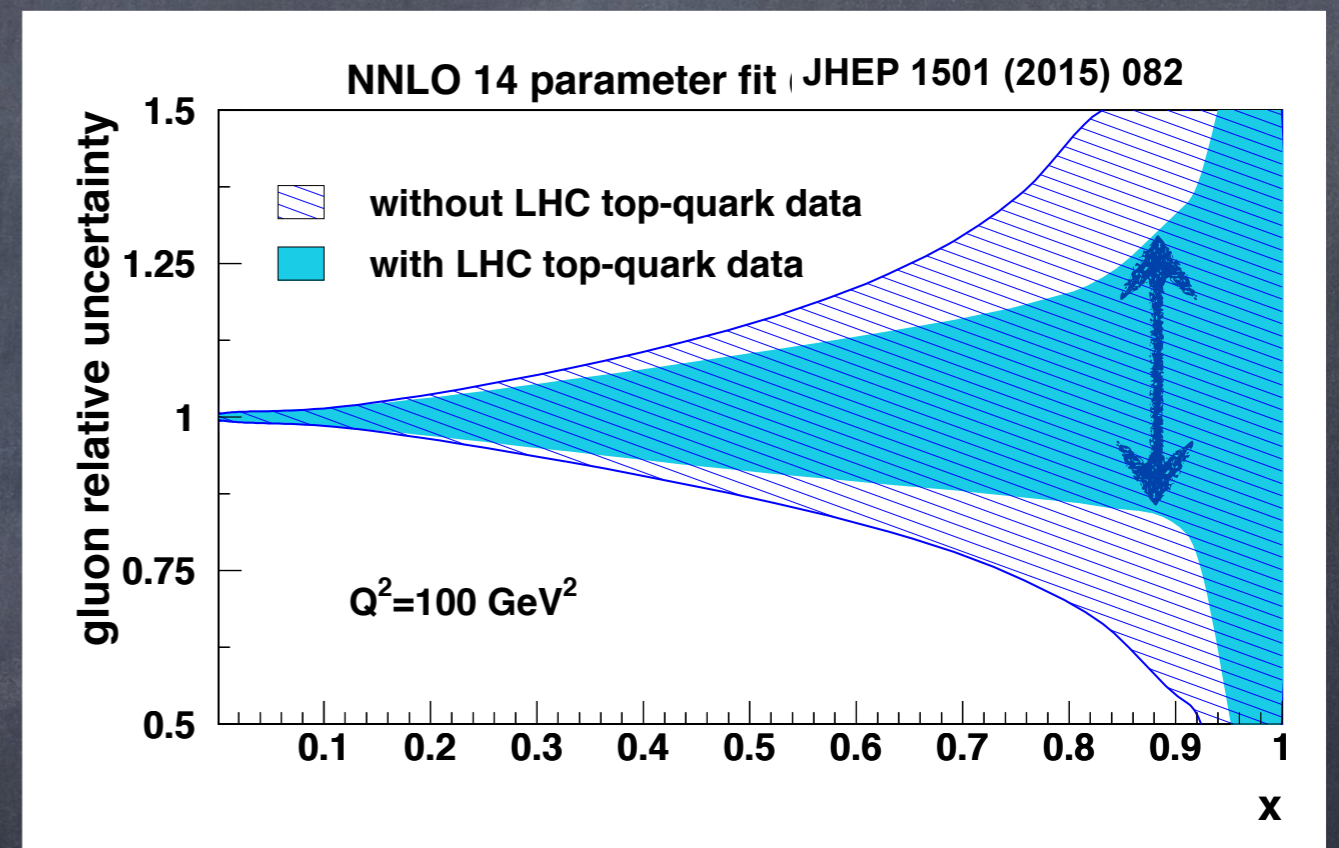
reduction of the uncertainty in the  
 gluon distribution

# SINGLE-DIFFERENTIAL $t\bar{t}$ : GLUON AT HIGH $x$

More impact on PDF,  $\alpha_S$  and  $m_t$  expected by using top quark kinematics



## First QCD analysis of $t\bar{t}$ kinematics



### Gluon PDF

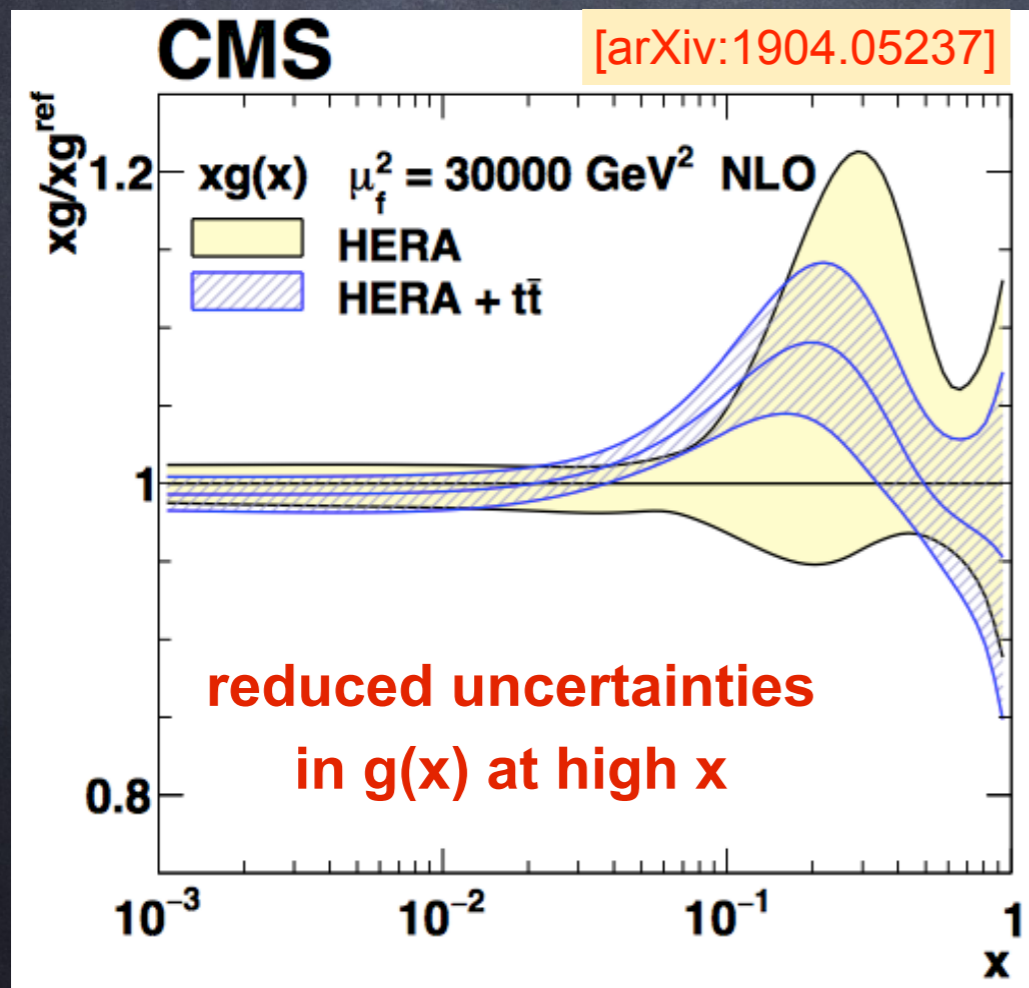
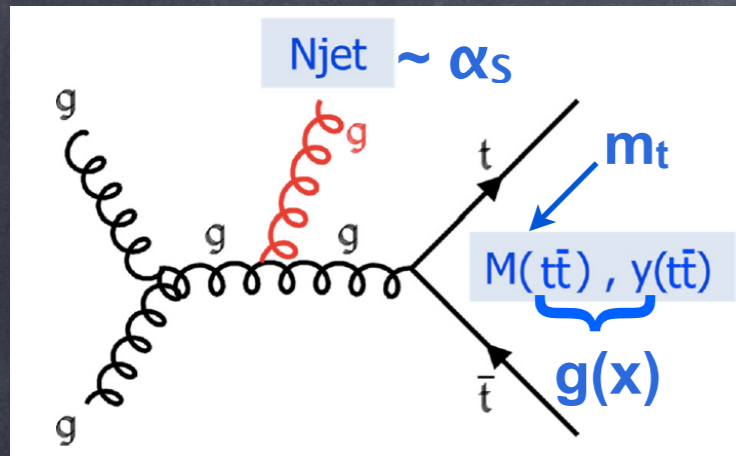
- alters the normalisation and shape
- dominant theory uncertainty

Smaller uncertainty in  $g(x)$  for  $x > 0.1$   
Significant change in shape of the gluon



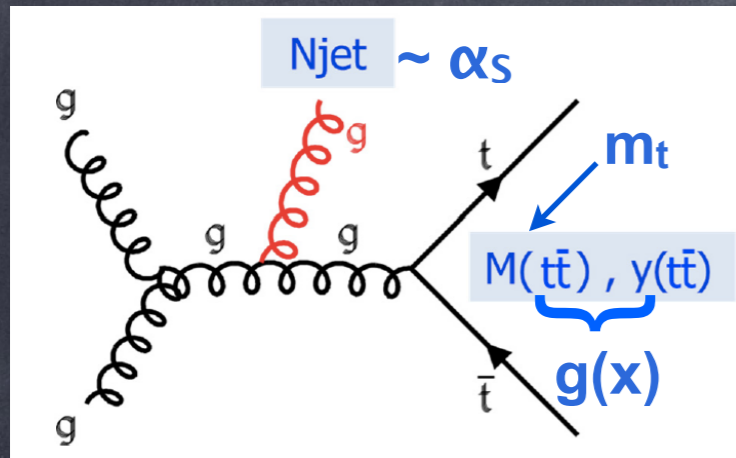
# 3-DIFFERENTIAL $t\bar{t}$ : GLUON, $\alpha_S$ , $m_t$ AT ONCE!

$t\bar{t}$  + 1 jet: enhance sensitivity to  $g(x)$ ,  $\alpha_S(m_Z)$ ,  $m_t$  and their correlations

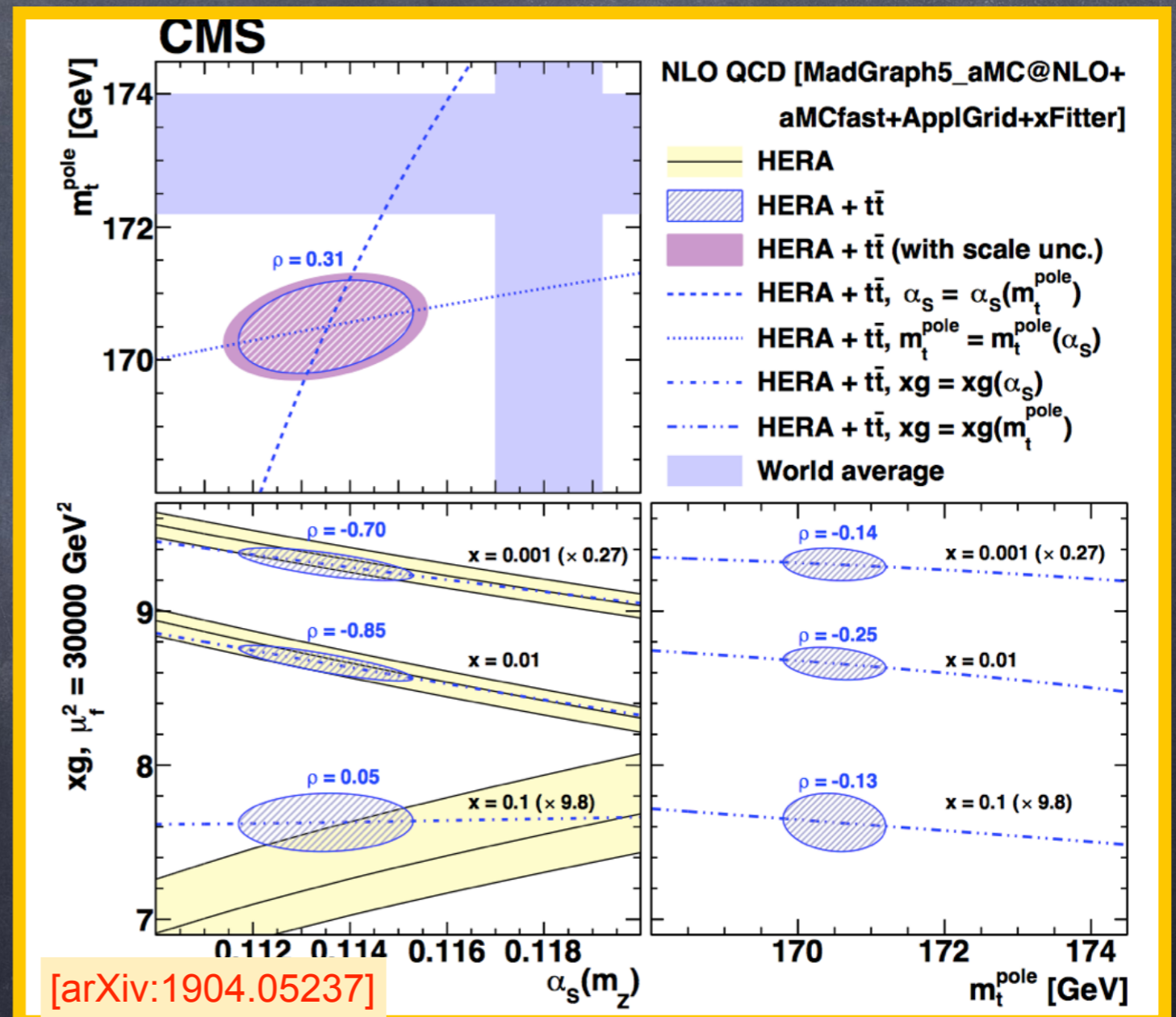
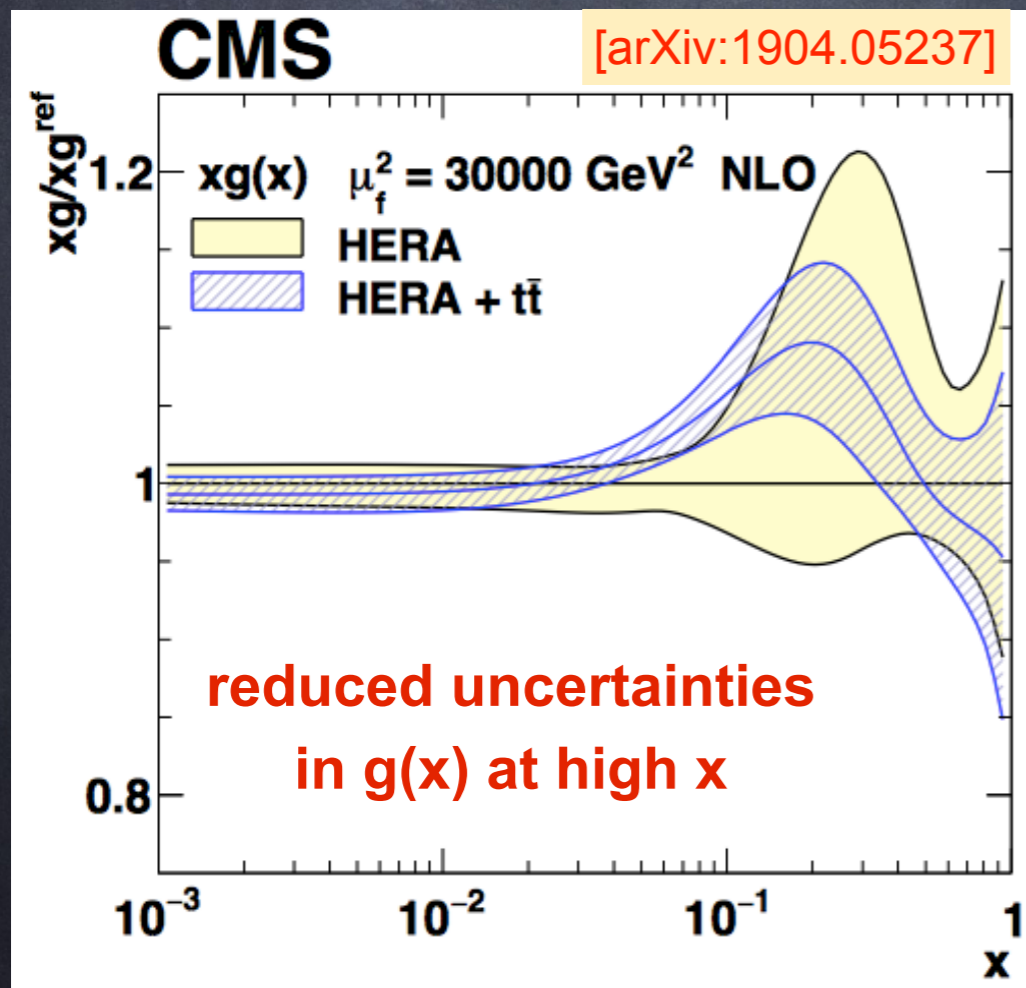


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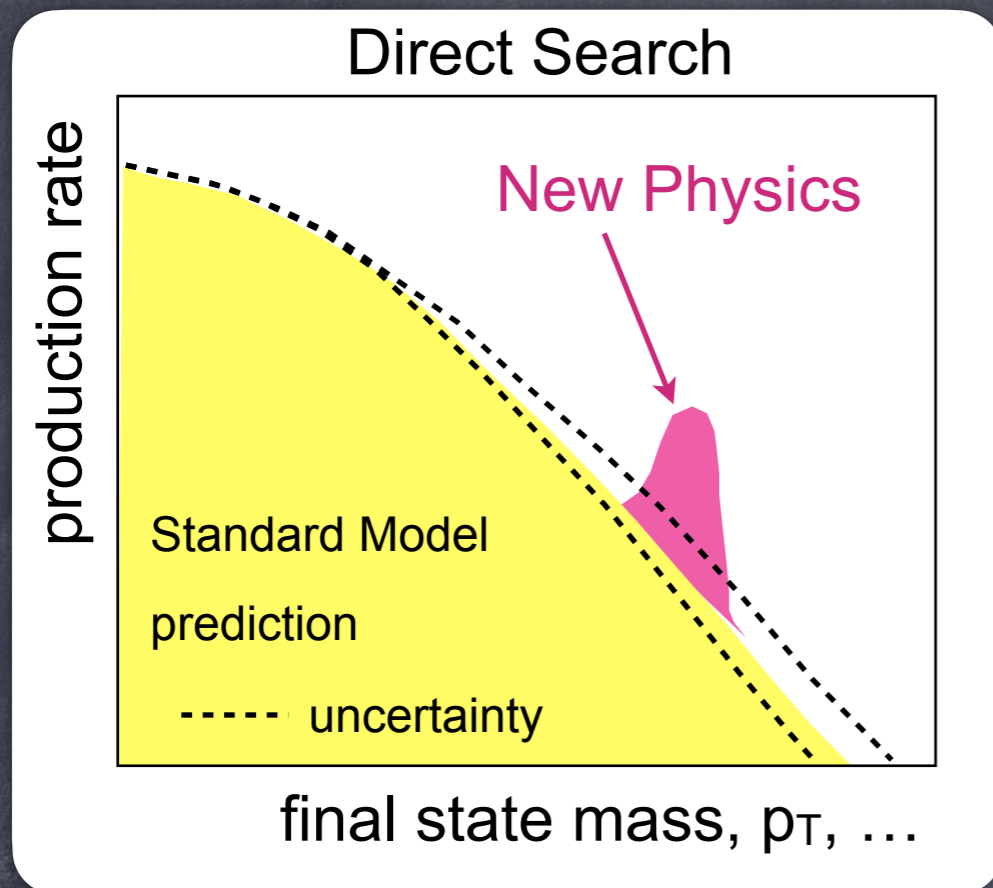


first simultaneous extraction of QCD parameters with  $t\bar{t}$  kinematics: correlations strongly reduced!

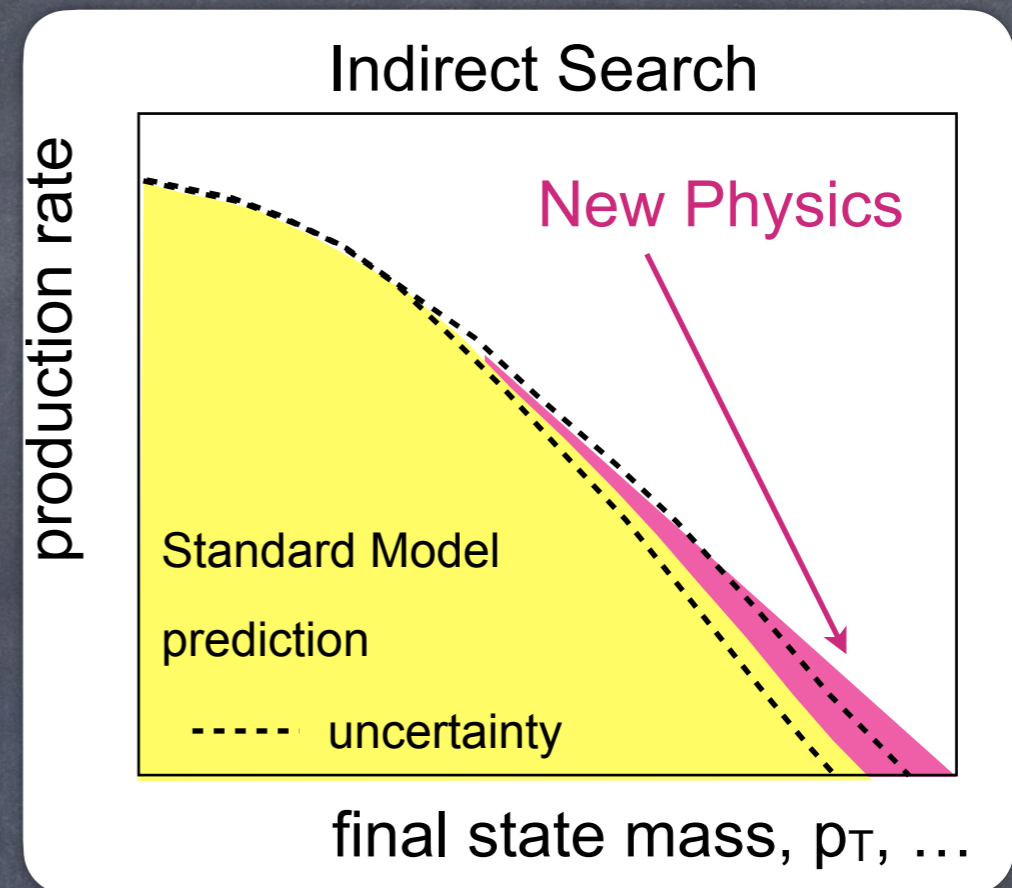


**WHAT ABOUT NEW PHYSICS ?**  
**INDIRECT SEARCHES WITH JETS AND  $t\bar{t}$**

# ROLE OF QCD IN SEARCHES @ LHC



➔ look for non-SM 'bumps'  
no signal observed, so far...



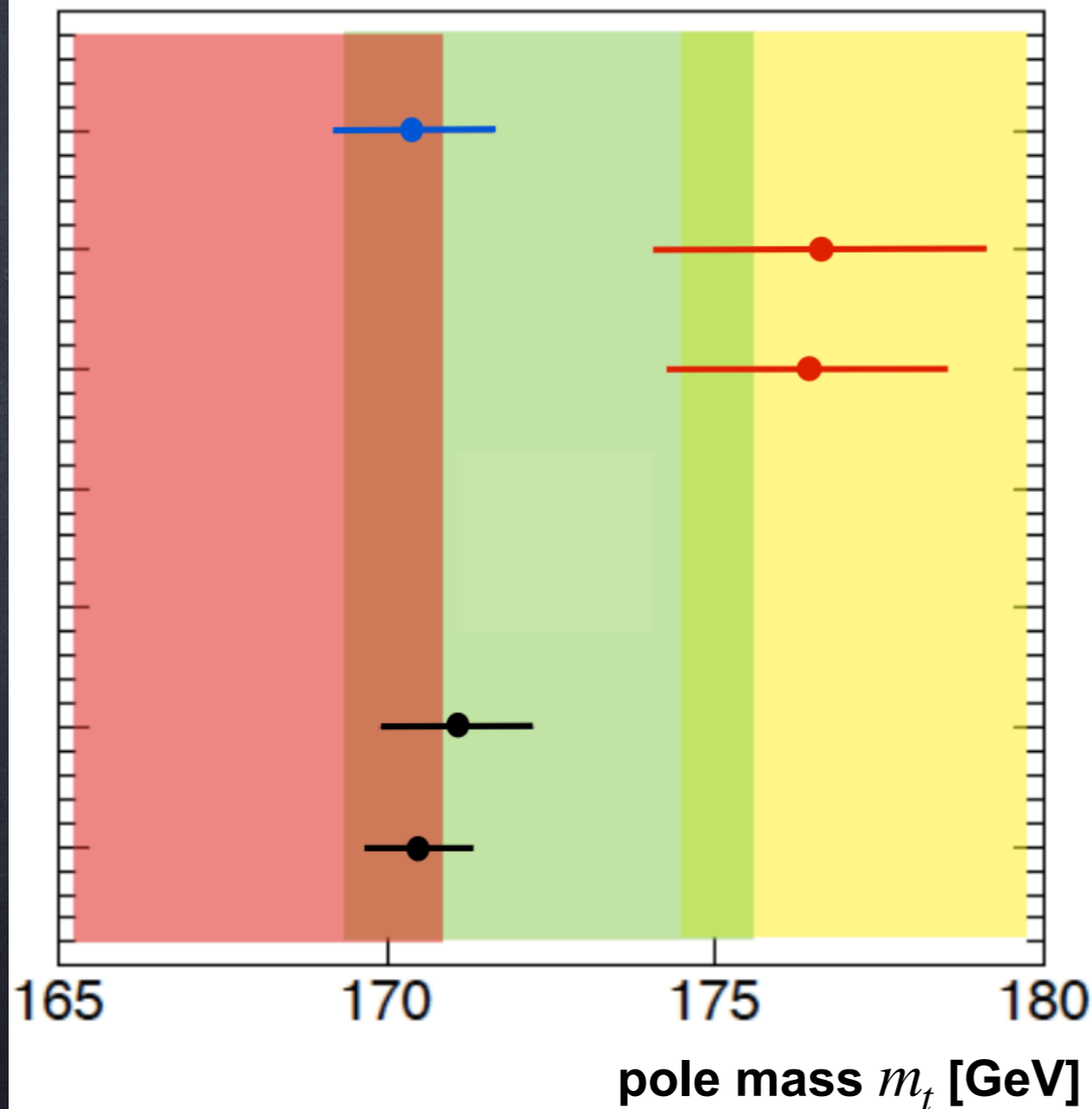
➔ look for excess over SM prediction  
requires high precision !

“SM” means mostly QCD: uncertainties driven by PDFs,  $\alpha_S$  and quark masses

# TOP QUARK MASS AND NEW PHYSICS

In Standard Model, the relation of masses  $m_t$ ,  $m_W$ , and  $m_H$  is strictly defined

use current values and precision of  $m_H$  and  $m_W$



Need for New Physics at low energy scales  
[S. Heinemeyer et al]

Need for New Physics at high energy scales  
Universe Stability: meta-stable

Need for New Physics at high energy scales  
Universe Stability: unstable

**QCD Global Fit**

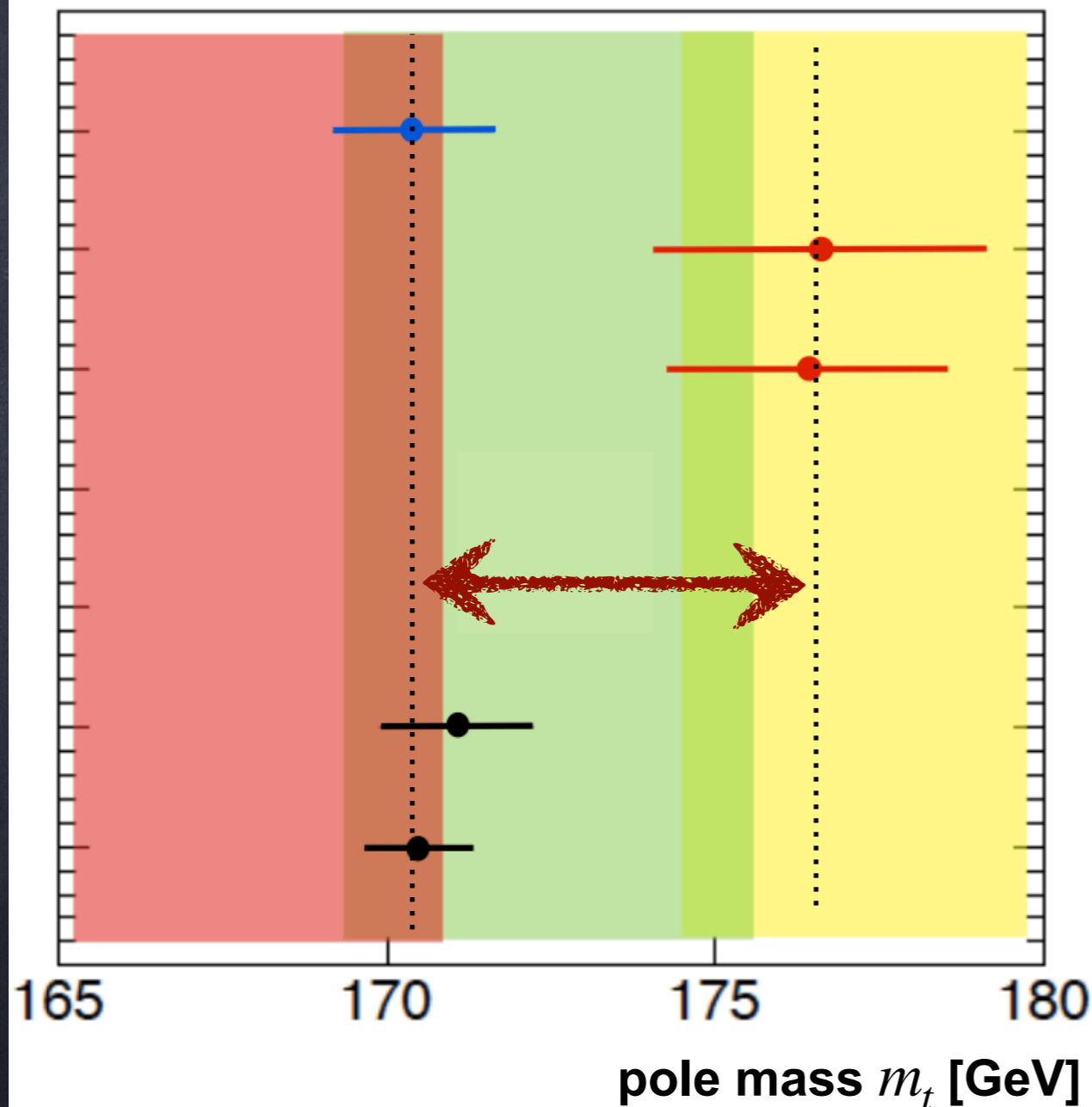
**Electroweak Fit: [HEPfit, GFitter]**

**ATLAS & CMS measurements**

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**QCD Global Fit**

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**ATLAS & CMS measurements**

**inconsistency (New Physics) found ?**

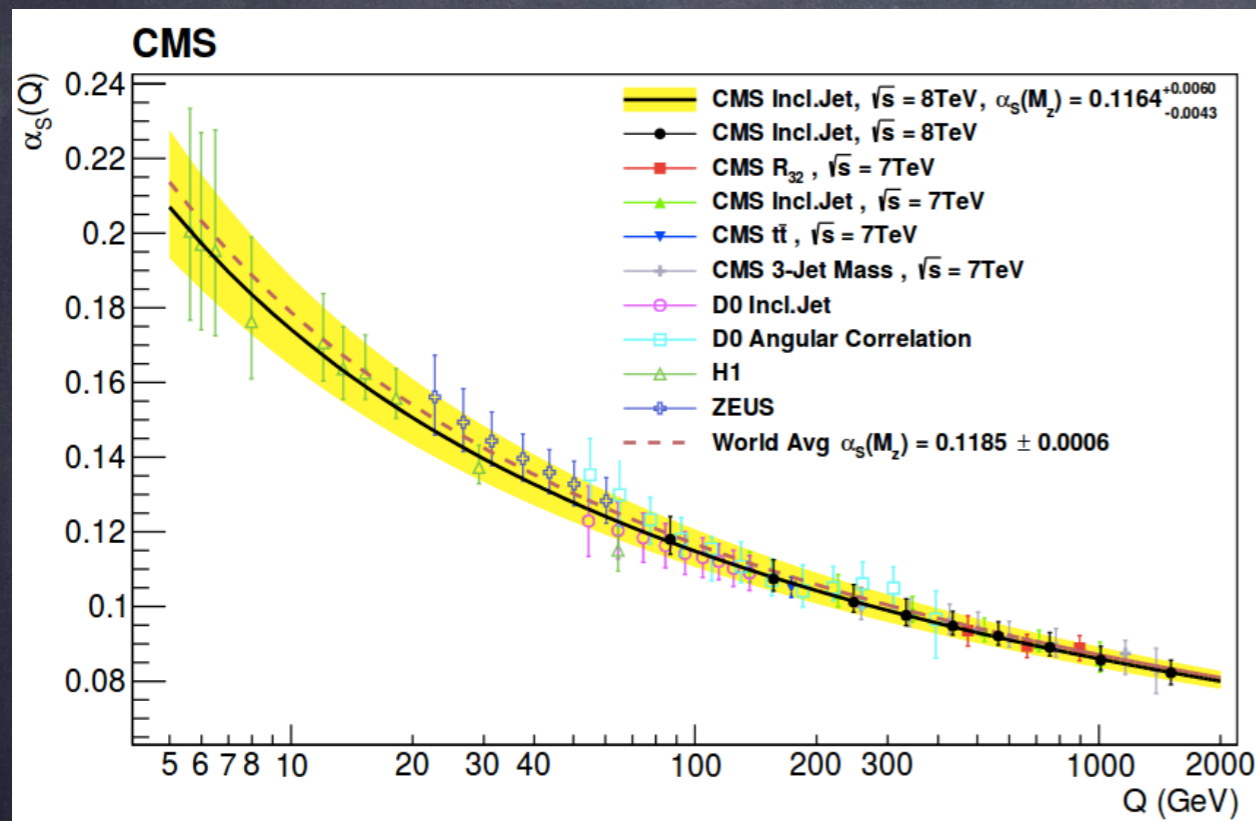
**Question of precision!**

# TOP QUARK MASS AND NEW PHYSICS

**QCD asymptotic freedom: energy dependence (“running”) of  $\alpha_S$  and  $m_q$**

Running follows the QCD Renormalisation Group Equation

Strong coupling constant

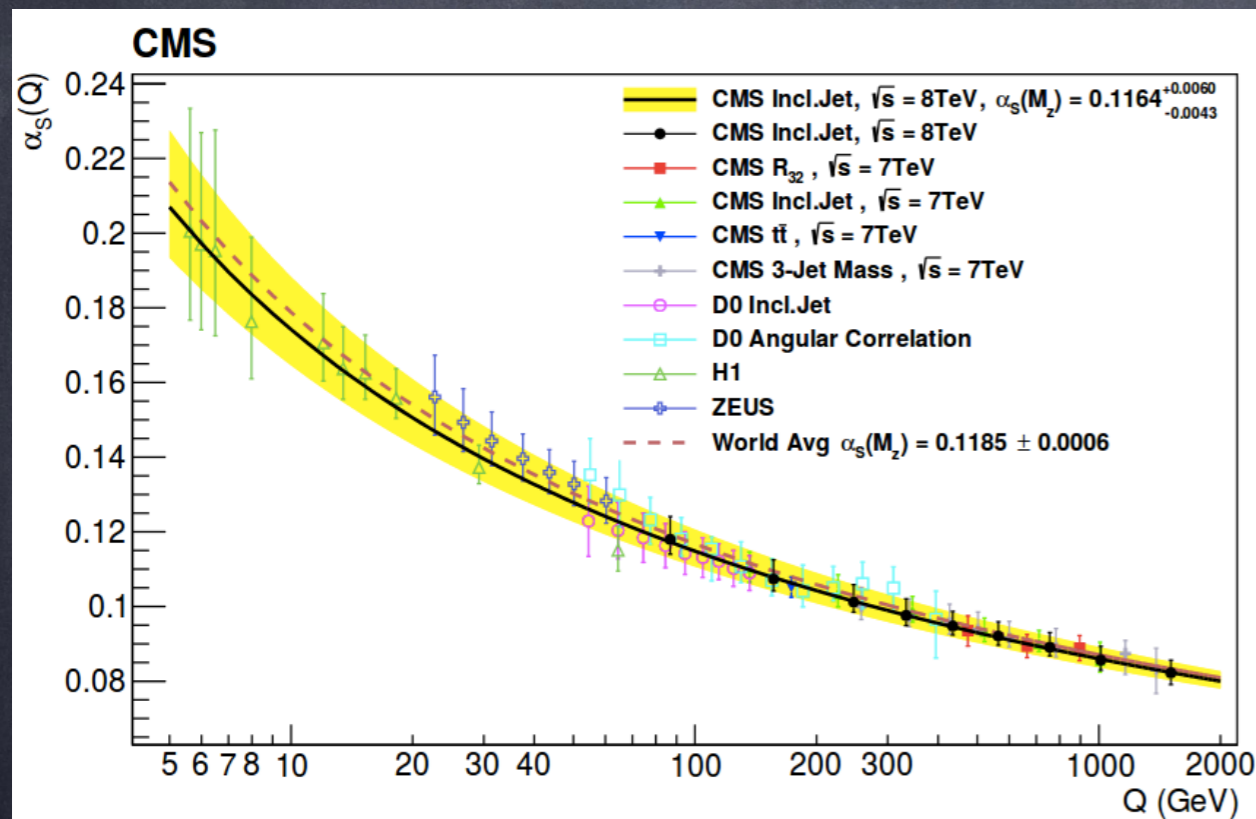


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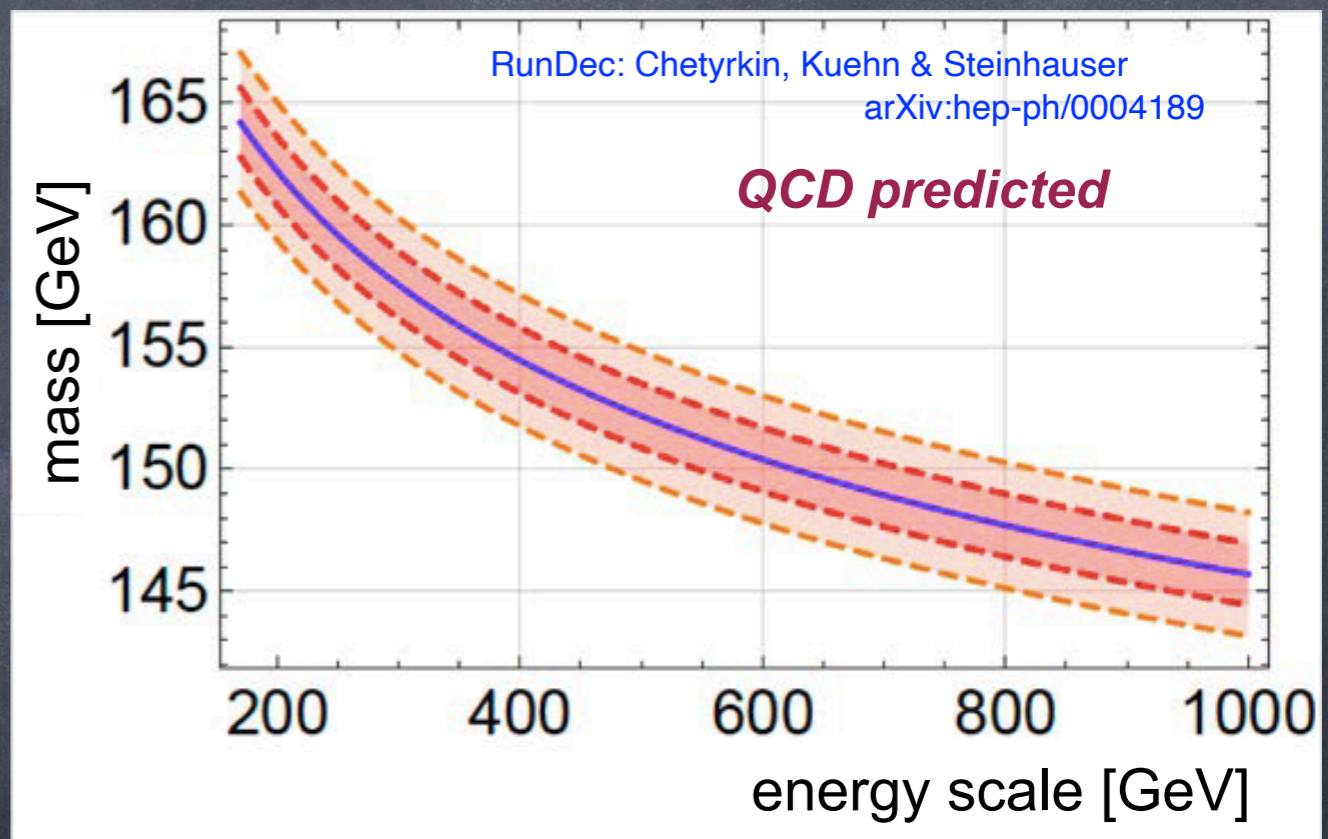
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Top quark mass



Fundamental test of QCD

Probe of Physics beyond the Standard Model

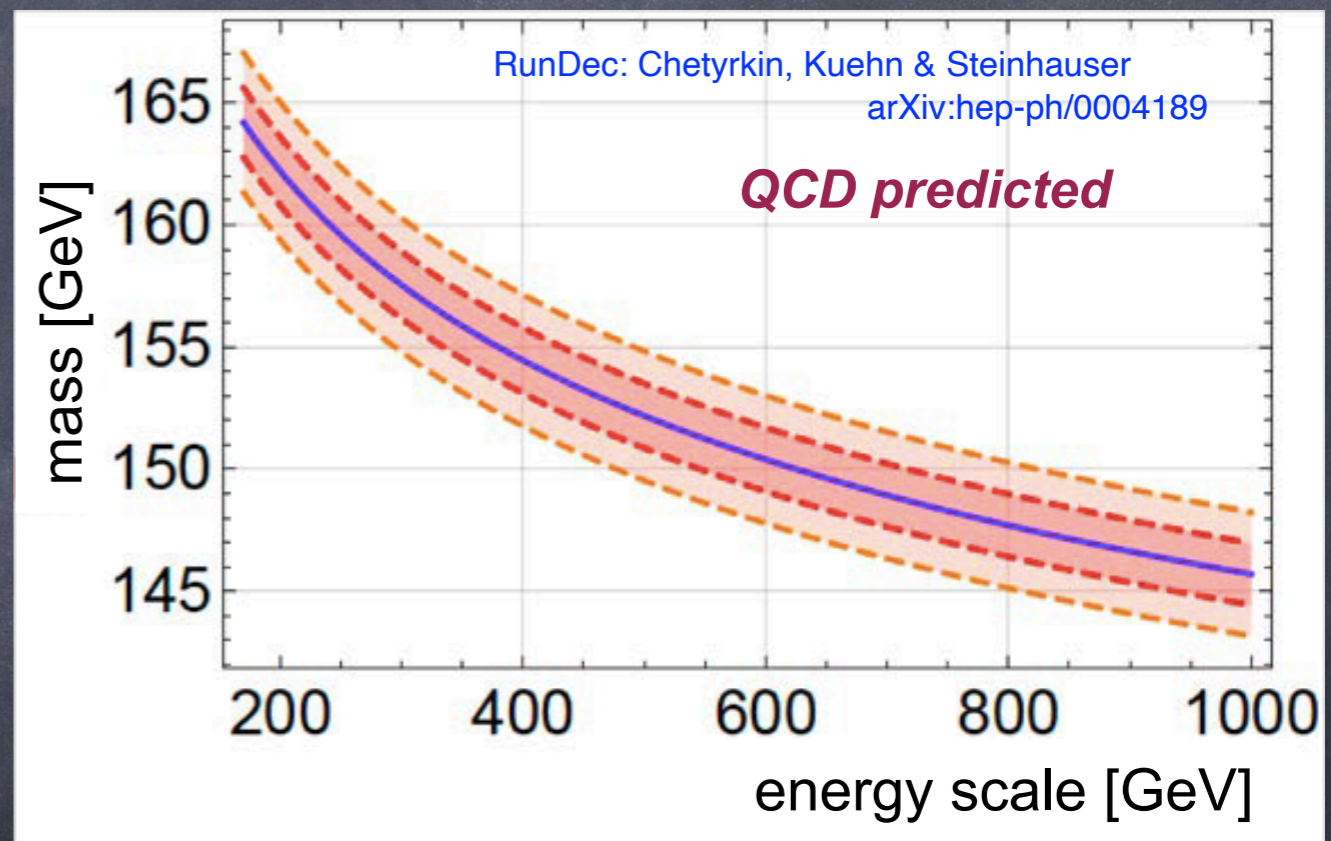
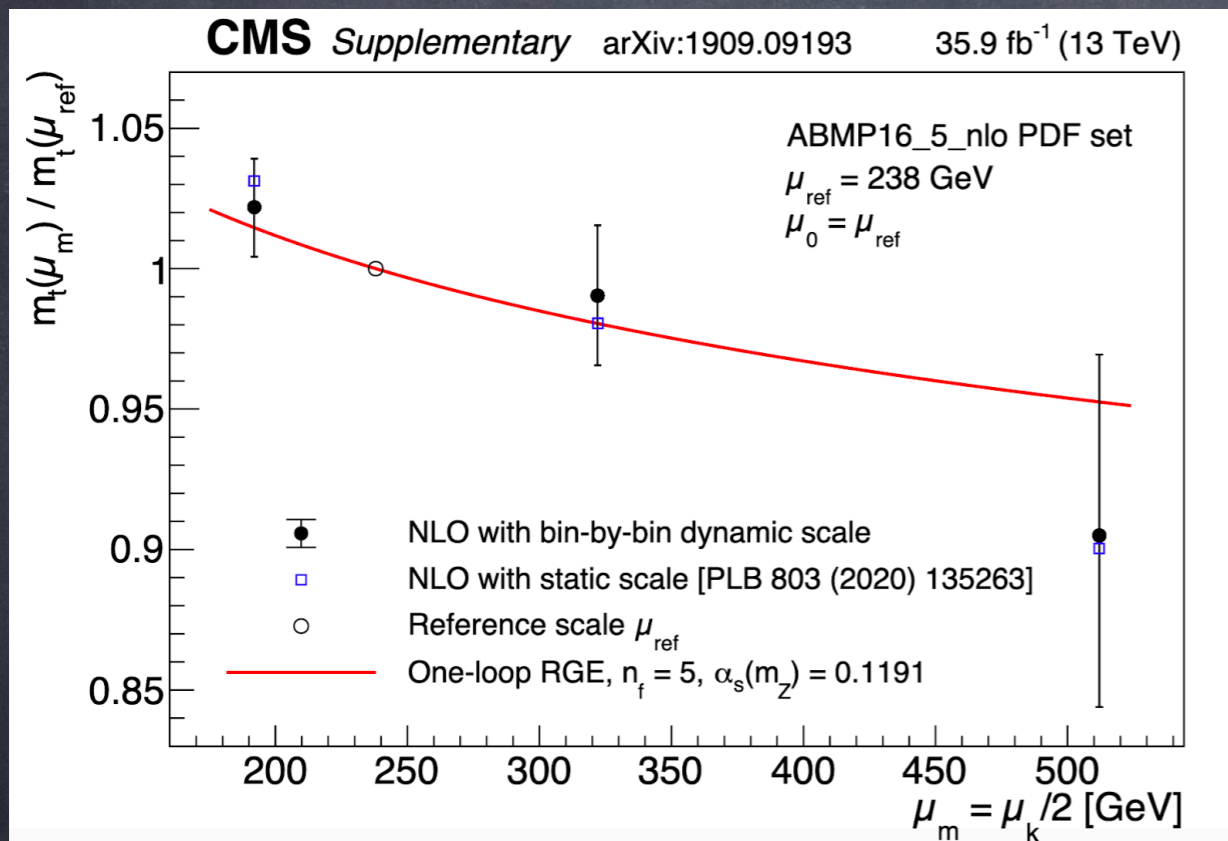


# TOP QUARK MASS AND NEW PHYSICS

Running of quark masses demonstrated for charm and beauty quarks

First measurement of the top quark mass running:

Top quark mass



New Physics would modify the running of the top quark mass

# TOP QUARK MASS AND NEW PHYSICS

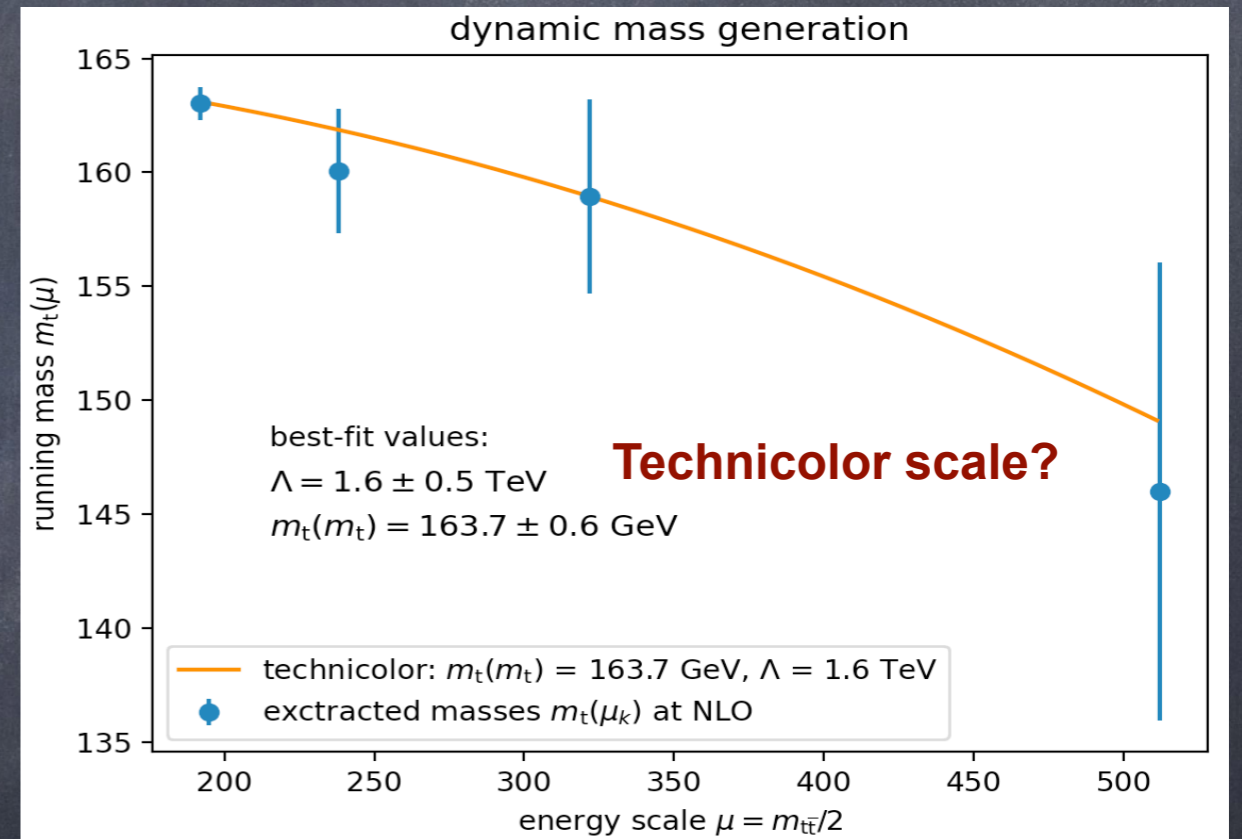
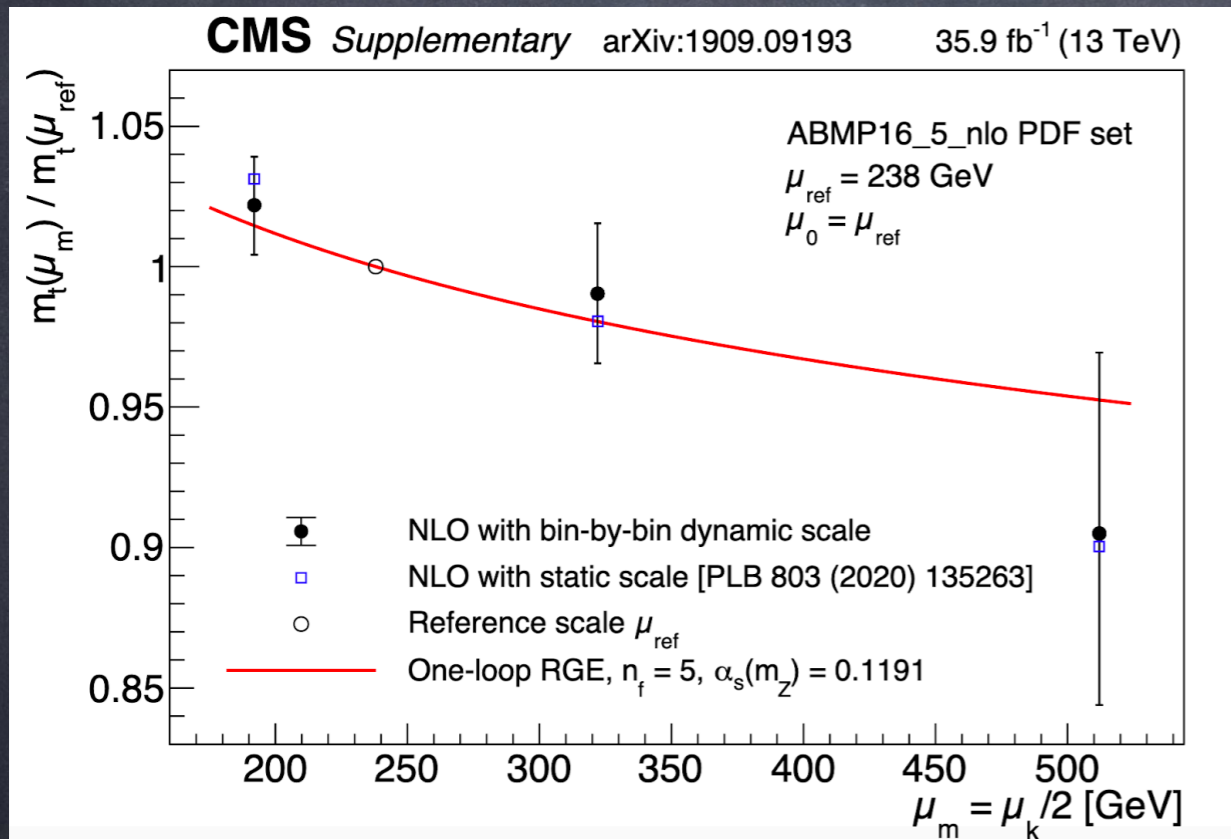
“Toy example” of possible New Physics interpretations:

Investigate scenarios where fermion mass is generated dynamically

e.g. [PRL 94 (2005) 241801]

Top quark mass

$$\text{for } \mu \ll \Lambda \quad m_t(\mu) = m_t(\mu) \frac{1 - (m_t/\Lambda)^2}{1 - (\mu/\Lambda)^2}$$



please don't interpret this as New Physics

Good agreement with QCD?

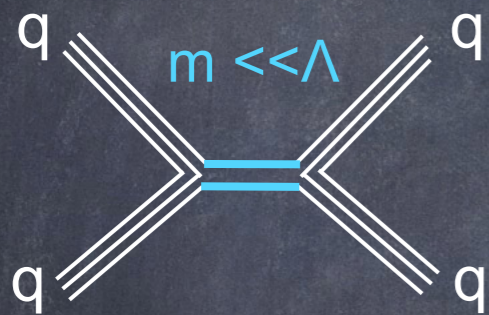
Question of precision in measurement and theory

# JETS PROBING NEW PHYSICS

## Examples of new phenomena appearing in jet final states:

- Quark compositeness, excited quarks: new interaction at a scale  $\Lambda$
- New interactions from massive particles exchange

compositeness



new interactions

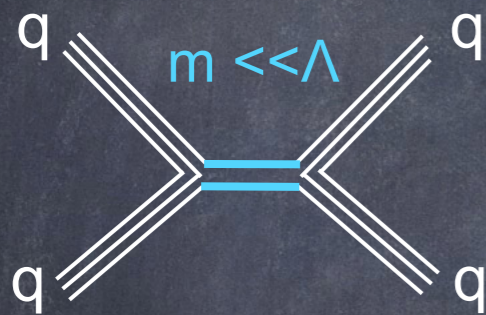


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**New Physics effectively modelled as Contact Interaction (CI):**

**SM+EFT  
Lagrangian**

$$L_{eff} = L_{SM} + \frac{2\pi \cdot c_i}{\Lambda^2} \mathcal{O}_i^{d=6}$$

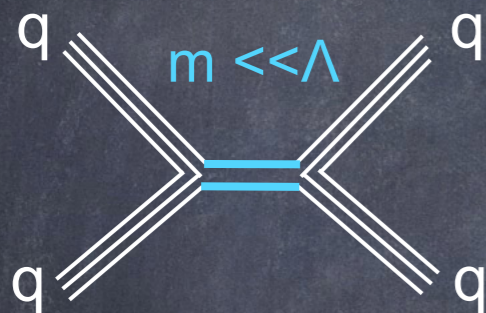
Wilson coefficients

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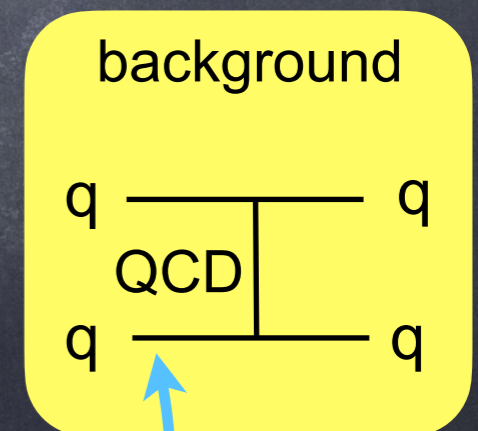
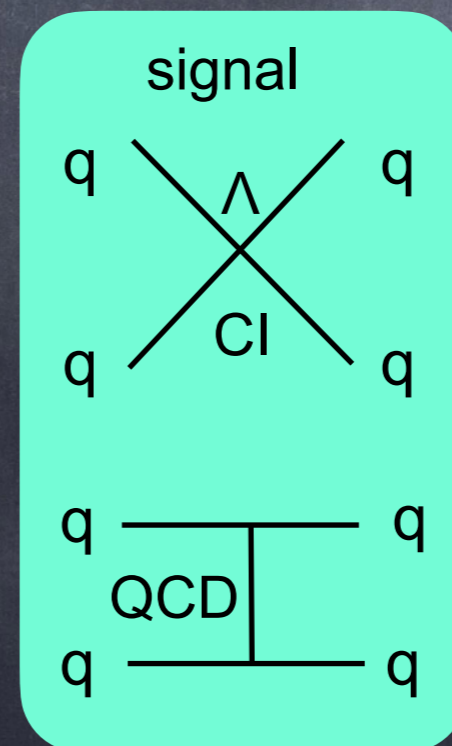
SM+EFT  
Lagrangian

$$L_{eff} = L_{SM} + \frac{2\pi \cdot c_i}{\Lambda^2} \mathcal{O}_i^{d=6}$$

Wilson coefficients

expect new phenomena to appear:  
deviations of jet kinematics spectra  
from the SM expectation

challenge: separate signal/background  
disadvantage: rely on QCD precision

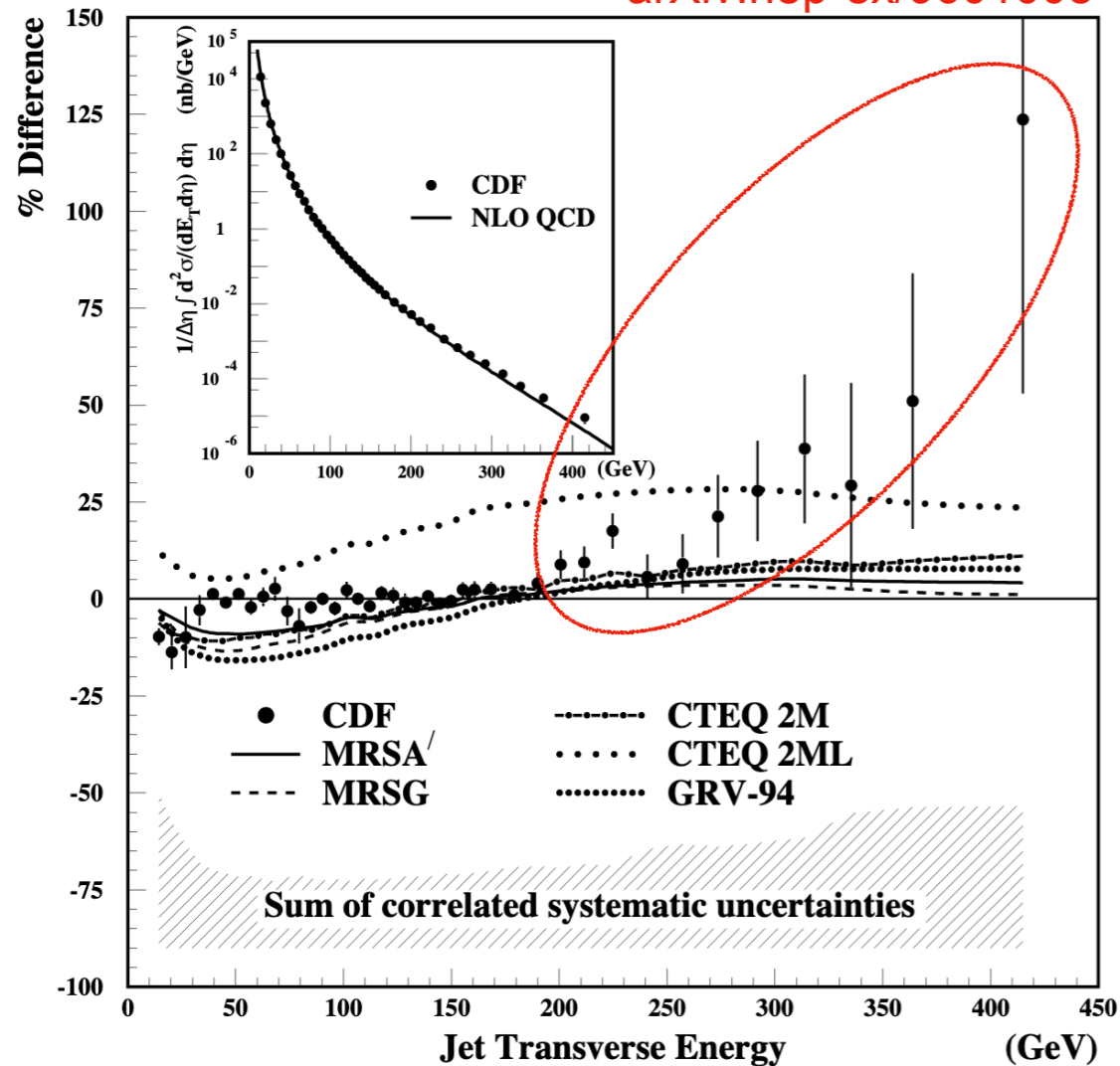


Proton PDFs !

# MIND THE BIAS DUE TO PDFs!

CDF Run I ( $\sqrt{s} = 1.8$  TeV)

arXiv:hep-ex/9601008



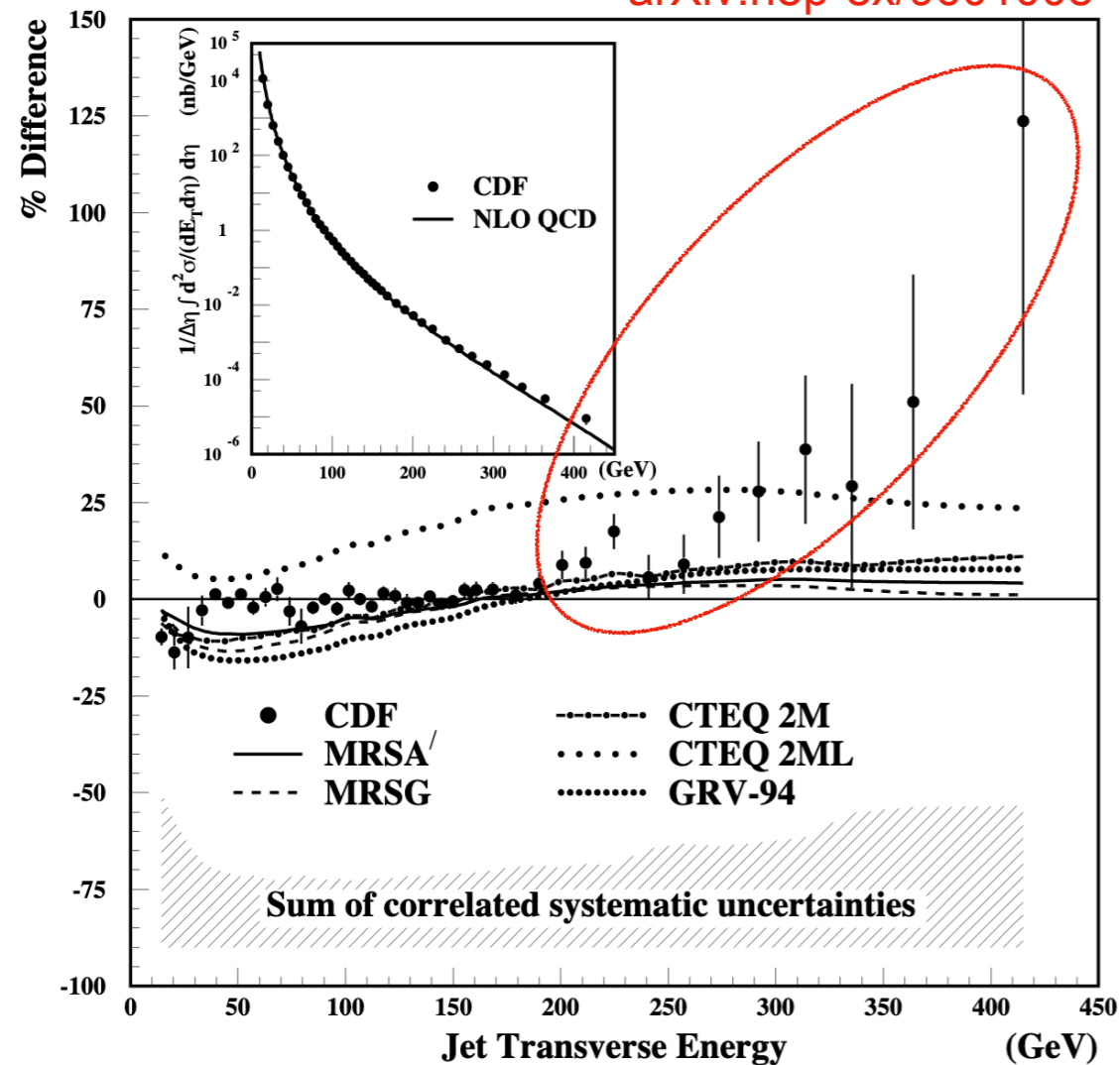
data  $\gg$  theory at high scale

new physics found ?

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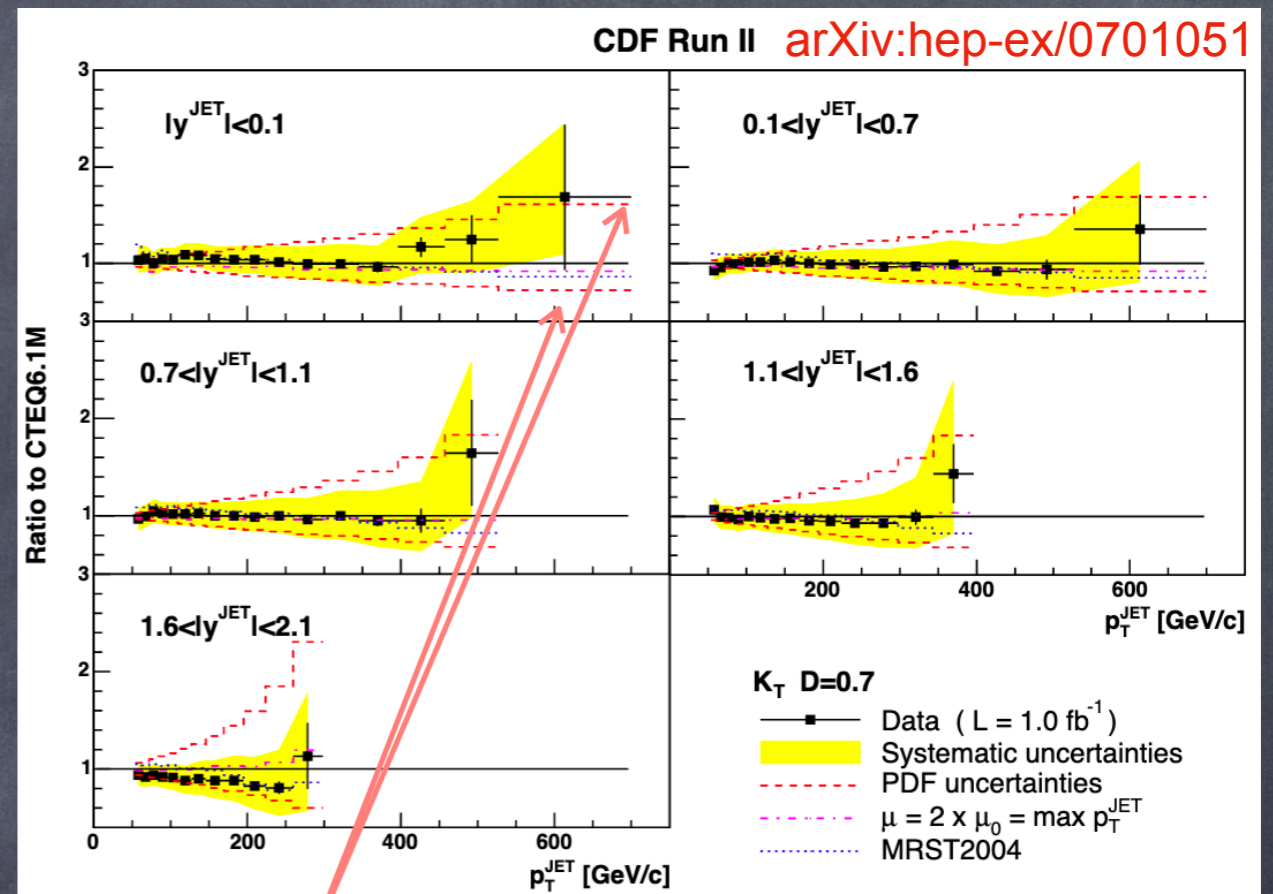
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CDF Run II ( $\sqrt{s} = 1.96$  TeV):

...now considering PDF uncertainties

CDF Run II arXiv:hep-ex/0701051



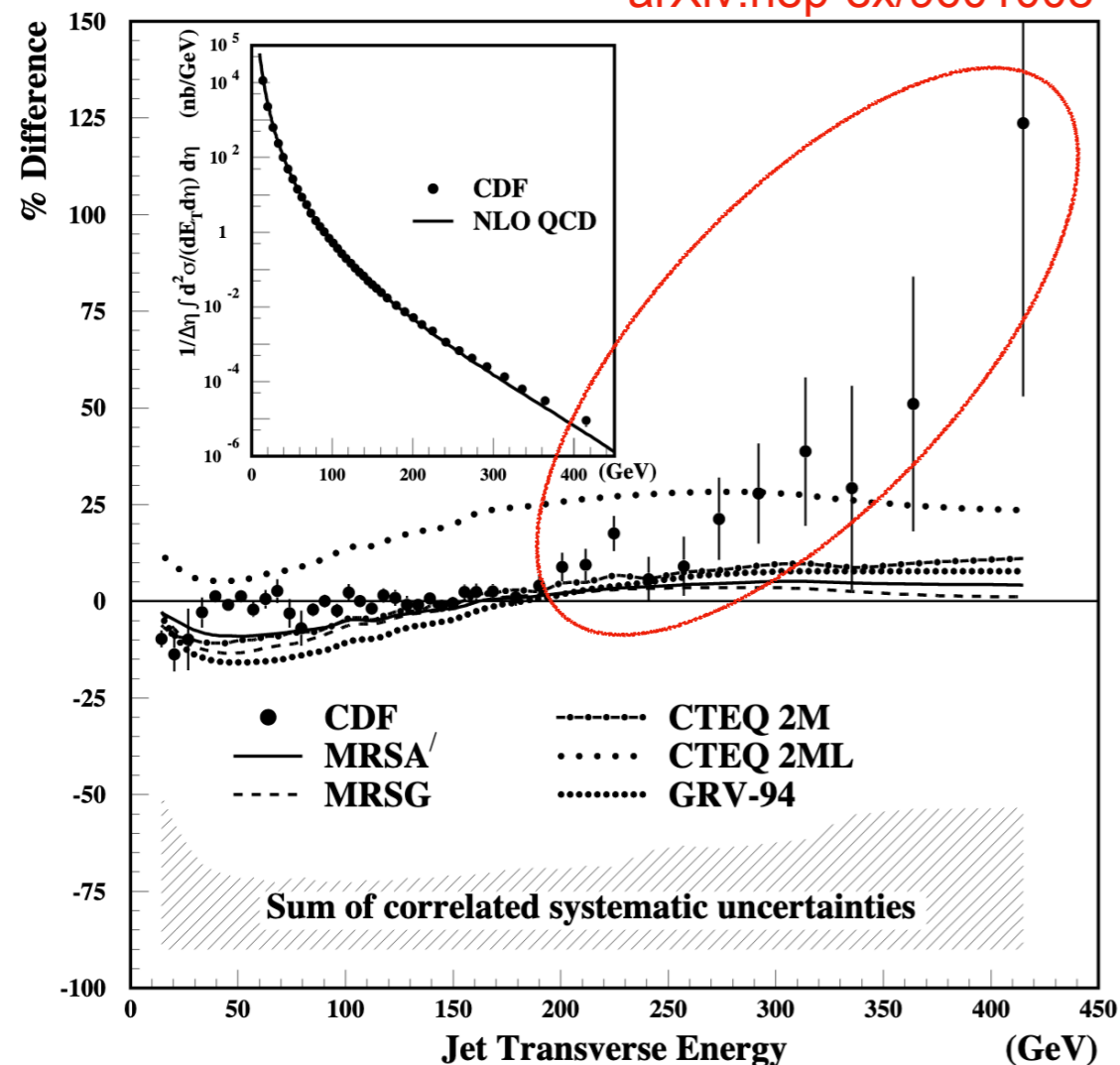
large uncertainty in the theory prediction  
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→ no new physics, just accuracy of QCD

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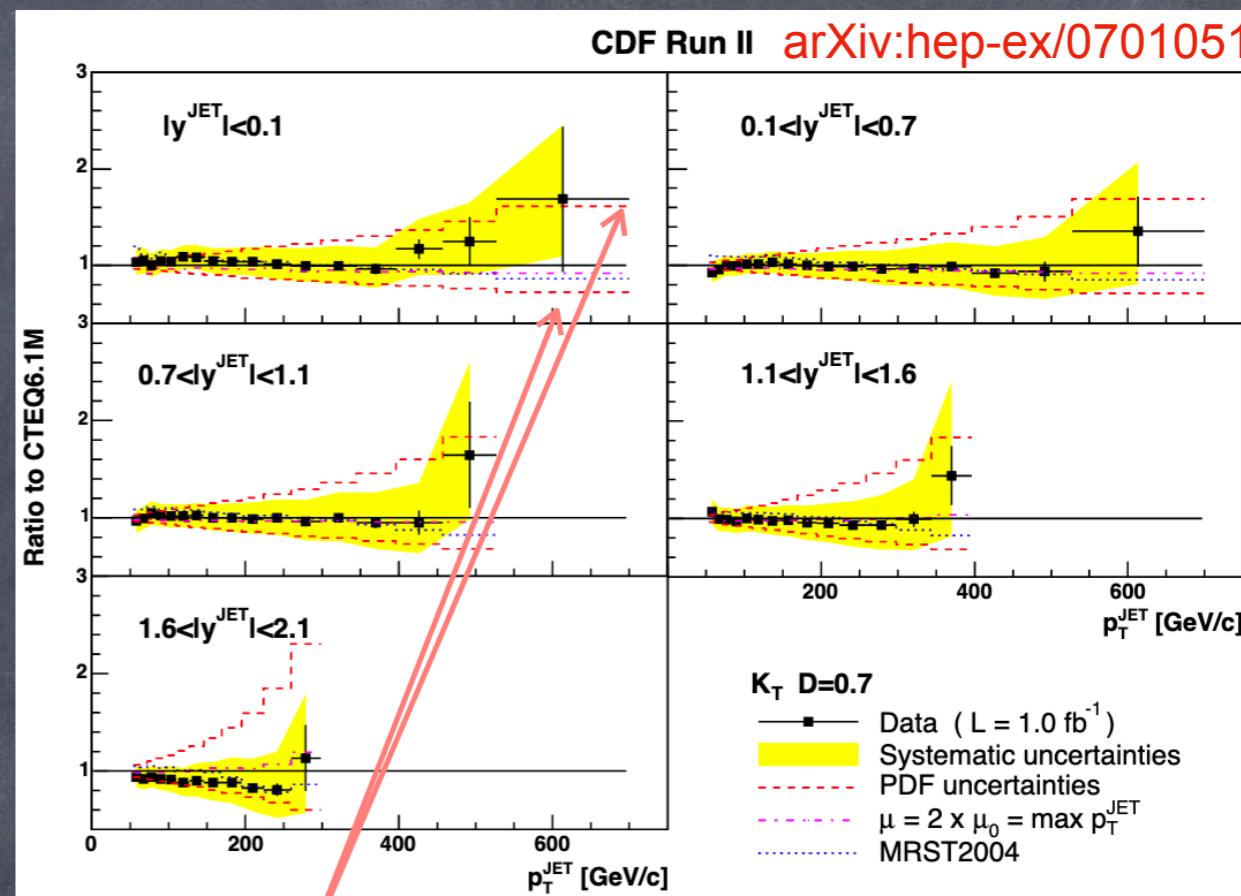
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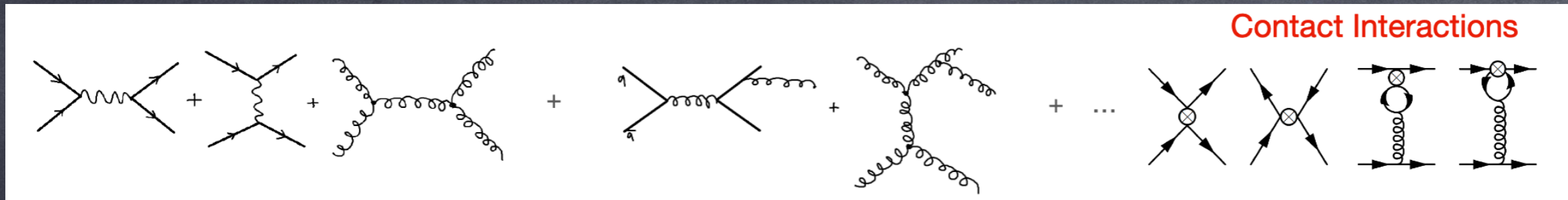
→ no new physics, just accuracy of QCD

Conceptual problem - bias due to using the same data for PDF extraction :

high- $p_T$  jet production constraints best the gluon distribution at high  $x$

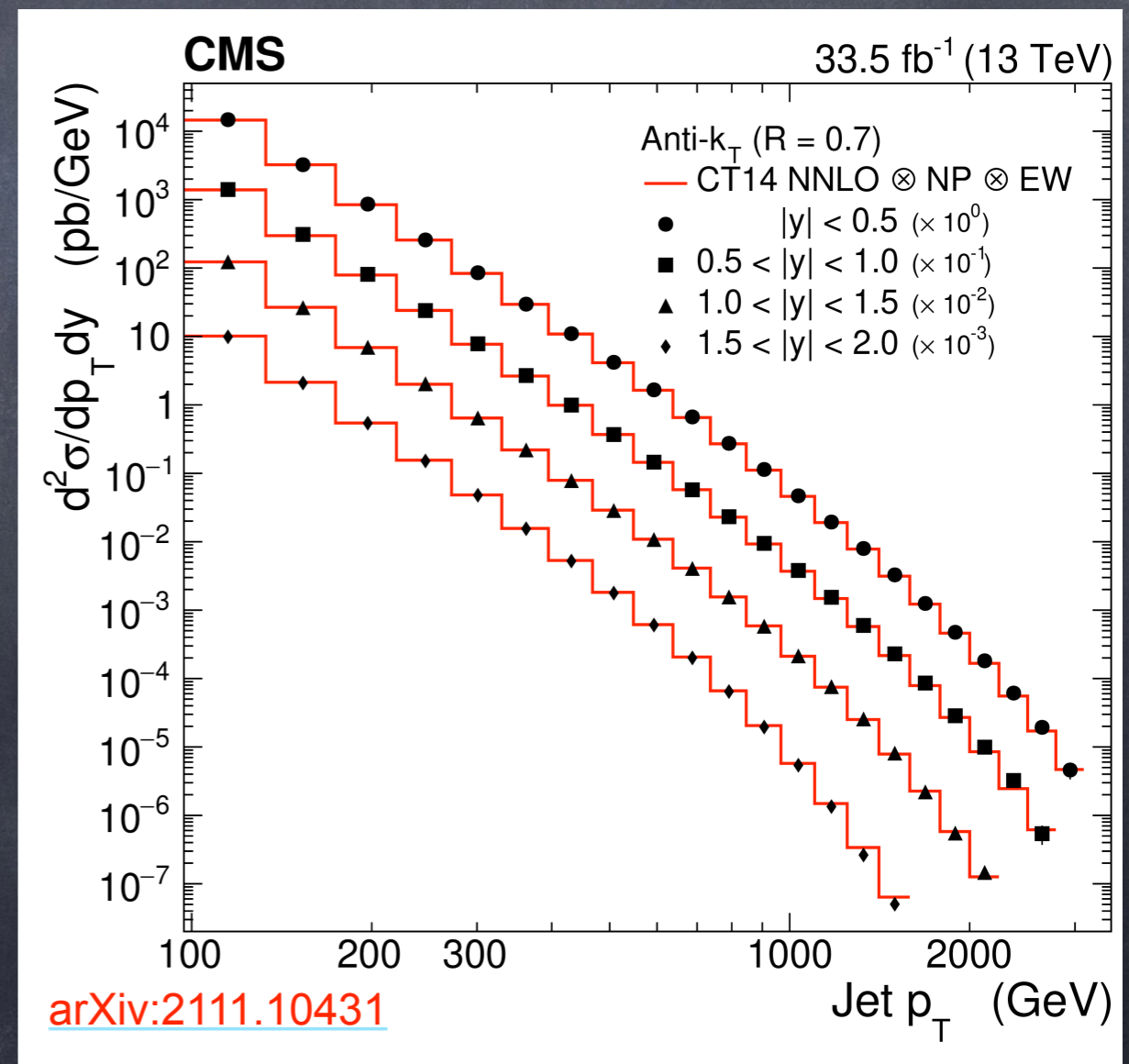
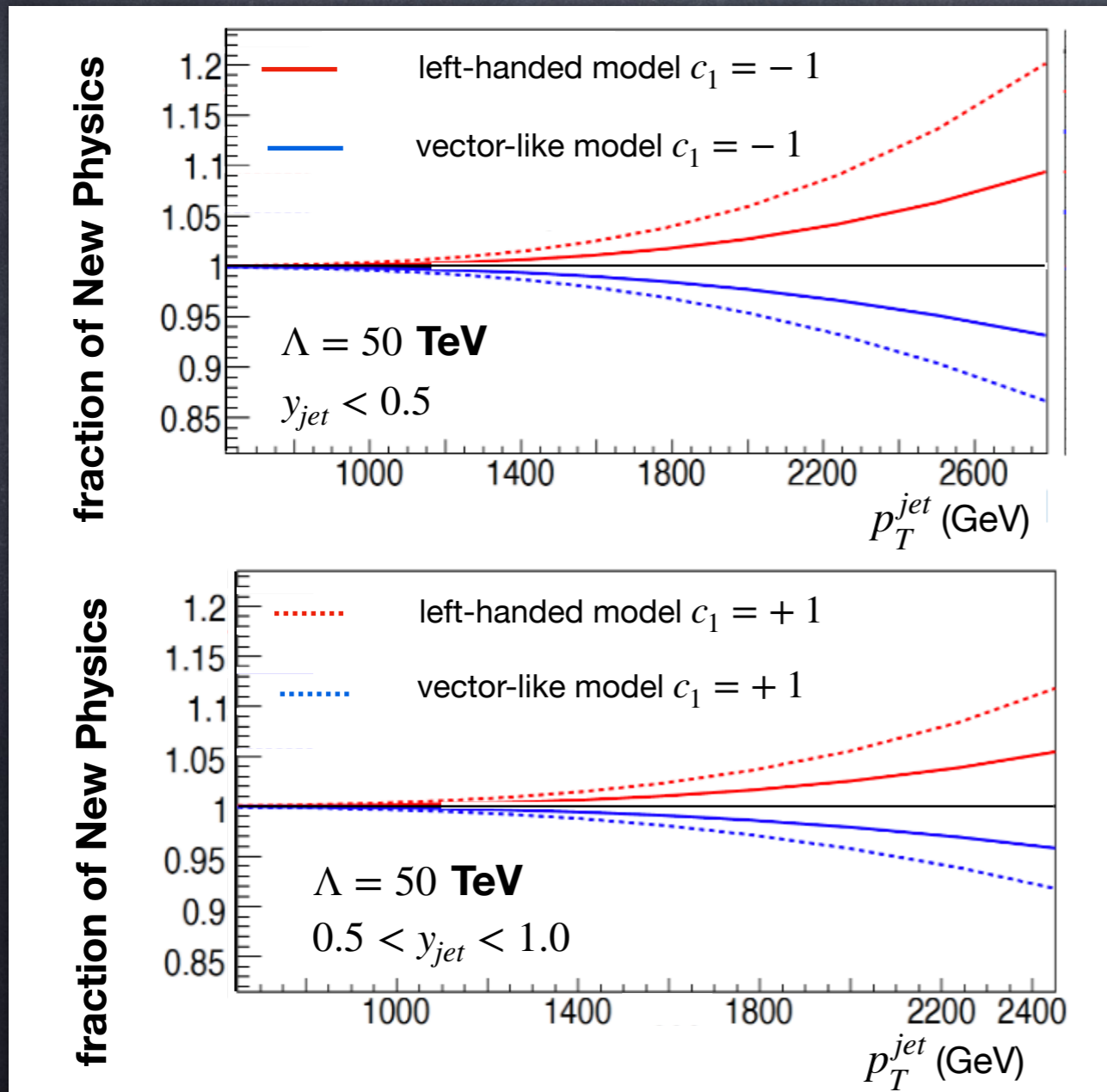


# JET PRODUCTION AT LHC: PROBE OF SM + CI



CI expected to show up at high  $p_T$  and central  $y$ :

Use inclusive jet production at 13 TeV to constrain SM + EFT



# SMEFT - ALL AT ONCE: PDF + $\alpha_s$ + $m_t$ + CI

- **SMEFT fit @ NLO:** basic data -  $ep$  inclusive DIS cross sections (HERA) [arXiv:1506.06042]
  - + CMS inclusive jets at 13 TeV [arXiv:2111.10431]
  - + CMS 3-D  $t\bar{t}$  cross sections [arXiv:1904.05237]
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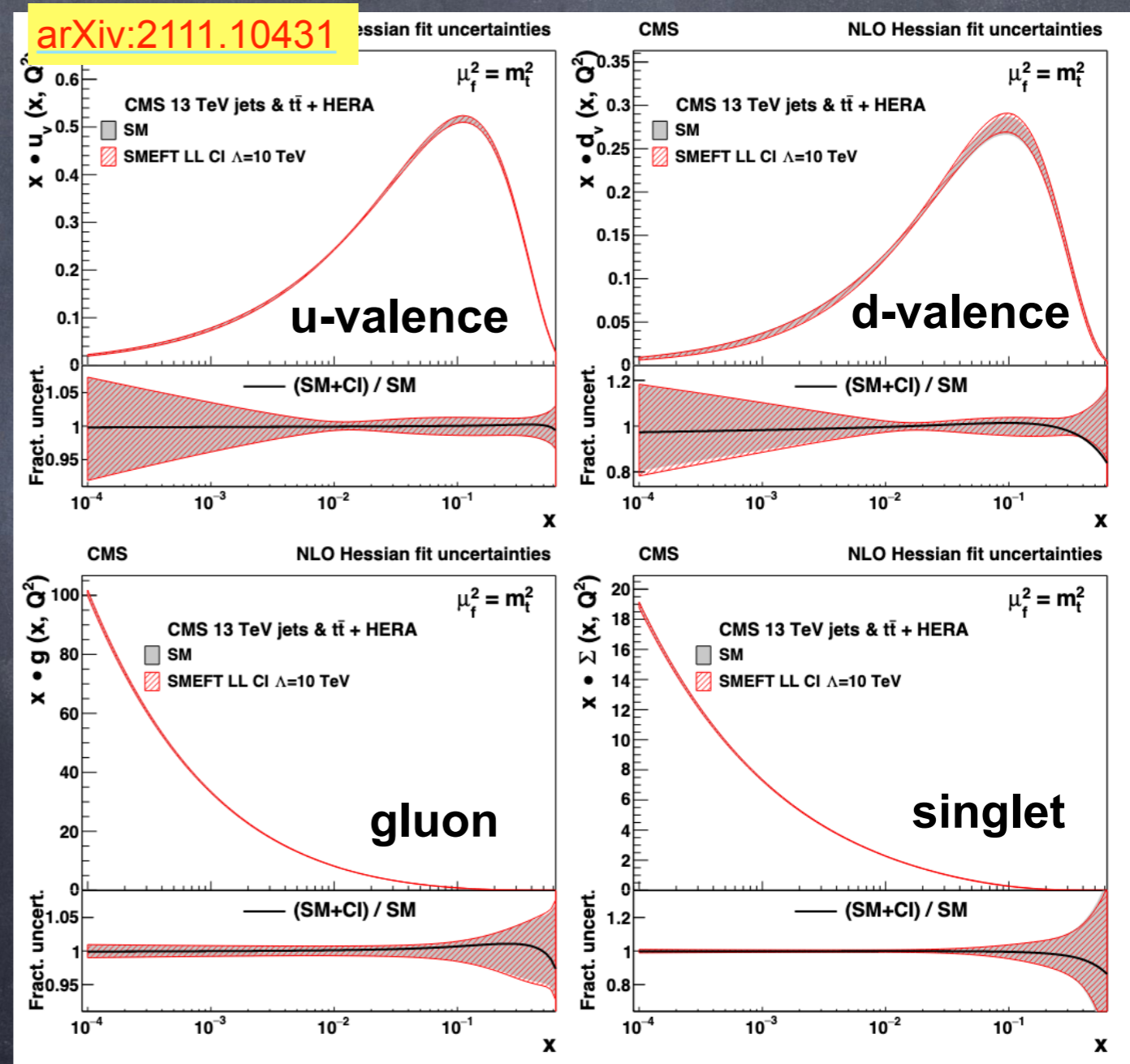
- **QCD parameters (NLO):**

$$\alpha_s(m_Z) = 0.1187 \pm 0.0033$$

NB: in agreement with WA

$$m_t^{pole} = 170.4 \pm 0.7 \text{ GeV}$$

NB: the most precise!



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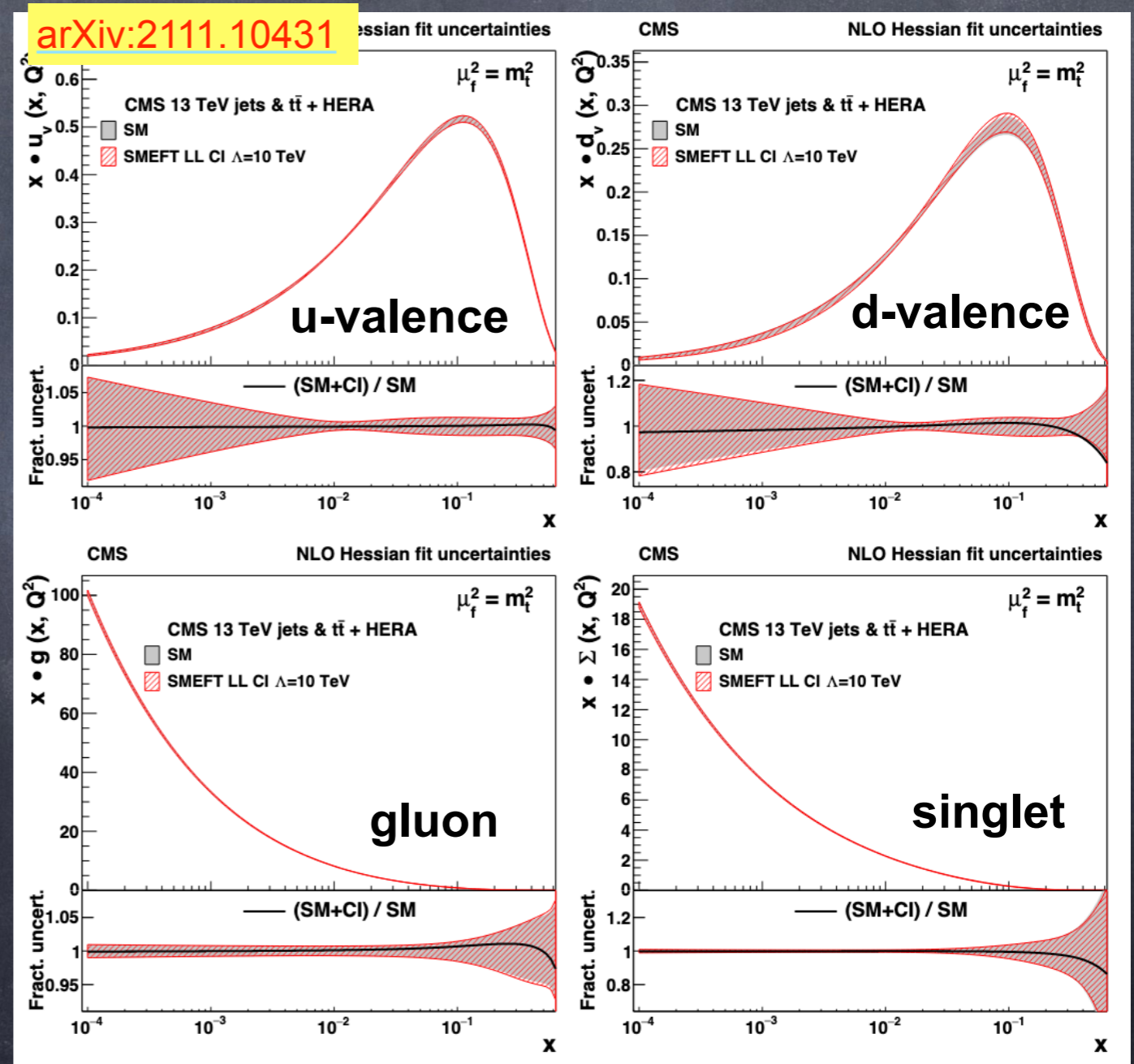
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- **CI parameters ( $\Lambda = 10 \text{ TeV}$ ):**

$$c_1^L = -0.07 \pm 0.02_{exp} \pm 0.01_{mod+par}$$

**SM +BSM**

**obtained simultaneously !**



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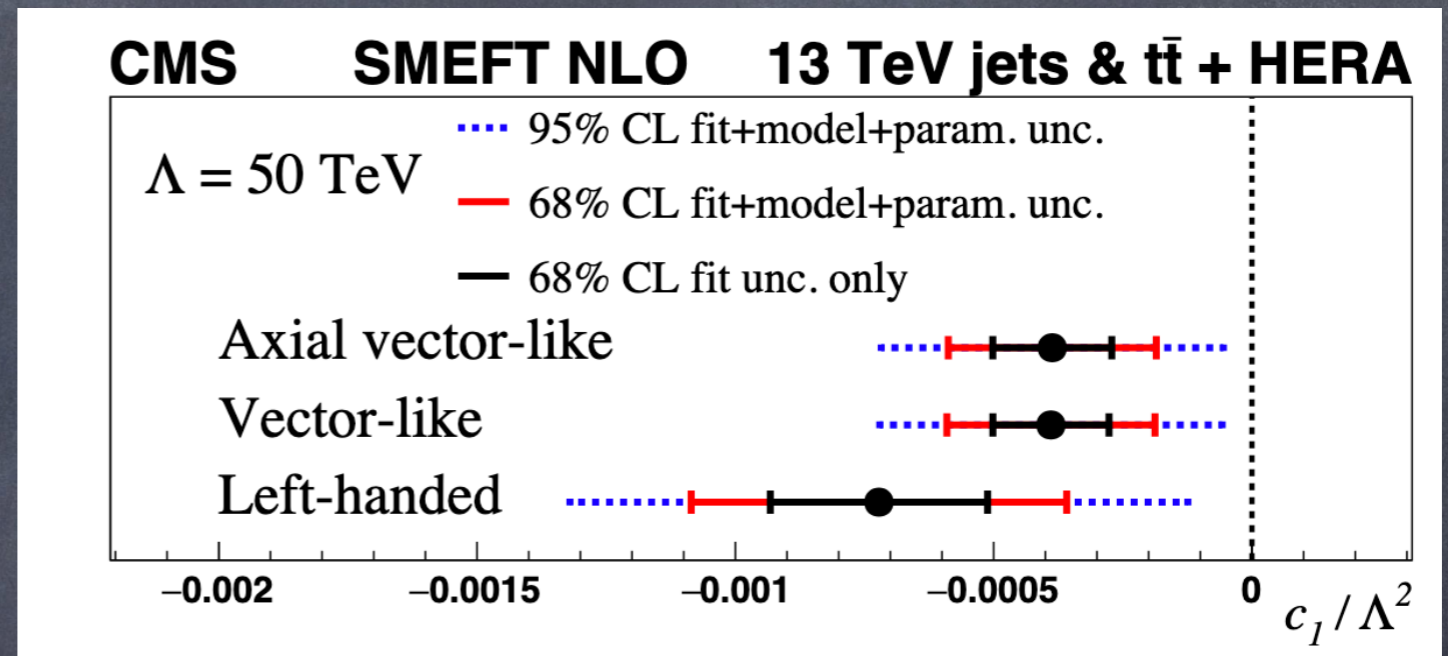
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**correspond to 95% exclusion limits for  $\Lambda$  ( $c_1 = -1$ ):**

**LL :**  $\Lambda > 24 \text{ TeV}$  ATLAS [arXiv:1703.09127]:  $> 22 \text{ TeV}$

**V:**  $\Lambda > 32 \text{ TeV}$

**AV:**  $\Lambda > 31 \text{ TeV}$

# SUMMARY

- LHC remains a QCD machine : proton structure does matter
- Precision of the QCD parameters and PDFs defines LHC discovery potential
- Top quark and Jet production best instruments to test Standard Model
- ... and pave the way towards global interpretation in terms of New Physics

**THANKS FOR LISTENING !**