

# CONFORMAL FRAMES IN GRAVITY

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based on

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# Chap I

## Frame-Independence of Observables

# 1. Introduction

In cosmology, we encounter various frames of the metric which are **conformally equivalent**.

Einstein frame, Jordan frame, string frame, ...

They are **mathematically equivalent**, so one can work in any frame as long as mathematical manipulations are concerned.

But it is often said that there exists a unique **physical frame** on which we should consider actual 'physics.'

Is it really so?

# e.g. Dimensional reduction

D-dimensions  $\rightarrow$  4-dimensions

( D-tensor  $\rightarrow$  4-tensor + 4-vector + **4-scalar** )

$$\begin{pmatrix} g_{\mu\nu}^{(D)}(x, y) & g_{\mu B}^{(D)}(x, y) \\ g_{A\nu}^{(D)}(x, y) & g_{AB}^{(D)}(x, y) \end{pmatrix} \rightarrow g_{\mu\nu}(x) = \begin{cases} \langle g_{\mu\nu}^{(D)} \rangle_{D-4} ? \\ f(x) \langle g_{\mu\nu}^{(D)} \rangle_{D-4} ? \\ g_{\mu\nu}^{(D)}(x, 0) ? \end{cases}$$

$x : 4 - \text{dim}$   
 $y : (D - 4) - \text{dim}$

or else?

**Dilatonic scalars** will almost always appear.

**No natural** conformal frame, *a priori*

no **unique** physical frame!

# Two typical frames in scalar-tensor theory

$$(\phi + g)$$

- Jordan(-Brans-Dicke) frame

“gravitational” part :  $F(\phi)R + L(\phi)$

matter part:  $L(\psi, A, \dots)$   $\sim$  minimal coupling with  $g$

( matter assumed to be **universally coupled** with  $g$   
... for baryons, experimentally consistent )

- Einstein frame

“gravitational” part :  $R + L(\phi)$   $\sim$  minimal coupling  
between  $g$  and  $\phi$

matter part:  $G(\phi)L(\psi, A, \dots)$   $\psi$  : fermion,  $A$  : vector, ...

( if **non-universal coupling**:  
 $\Rightarrow \sum_A G_A(\phi)L_A(Q_A); Q_A = \psi, A, \dots$  )

# 2. Conformal transformations

metric and scalar curvature

$$g_{\mu\nu} \rightarrow \tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$$

$$R \rightarrow \tilde{R} = \Omega^{-2} \left[ R - (D-1) \left( 2 \frac{\square \Omega}{\Omega} - (D-4) g^{\mu\nu} \frac{\partial_\mu \Omega \partial_\nu \Omega}{\Omega^2} \right) \right]$$

matter fields

$$\phi \rightarrow \tilde{\phi} = \Omega^{-(D-2)/2} \phi \quad \text{scalar}$$

$$A_\mu \rightarrow \tilde{A}_\mu = \Omega^{-(D-4)/2} A_\mu \quad \text{vector}$$

$$\psi \rightarrow \tilde{\psi} = \Omega^{-(D-1)/2} \psi \quad \text{fermion}$$

# Standard (baryonic) matter action in 4 dims

'Jordan' frame (= matter minimally coupled to gravity)

$$S = \int d^4x \sqrt{-g} \left[ -i \bar{\psi} \gamma^\mu (\vec{D}_\mu - ieA_\mu) \psi - m \bar{\psi} \psi - \frac{1}{4} g^{\mu\alpha} g^{\nu\beta} F_{\mu\nu} F_{\alpha\beta} + \dots \right]$$

$$\bar{\psi} \gamma^\mu \overset{\leftrightarrow}{D}_\mu \psi = \frac{1}{2} [\bar{\psi} \gamma^\mu D_\mu \psi - (D_\mu \bar{\psi}) \gamma^\mu \psi] ,$$

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu , \quad D_\mu = \partial_\mu - \frac{1}{4} \omega_{ab\mu} \Sigma^{ab} ,$$

$$\Sigma^{ab} = \frac{1}{2} [\gamma^a, \gamma^b] , \quad \omega_{ab\mu} = e_{a\nu} \nabla_\mu e_b^\nu .$$

$\psi$  : electron

$A$  : electromagnetic 4-potential

For the moment, ignore/freeze dilatonic degrees of freedom.

# Effect of conformal transformation

For  $\tilde{g}_{\mu\nu} = \Omega^2 g_{\mu\nu}$

$$S = \int d^4x \sqrt{-\tilde{g}} \left[ i \tilde{\psi} \tilde{\gamma}^\mu \left( \overleftrightarrow{D}_\mu - ieA_\mu \right) \tilde{\psi} - \tilde{m} \tilde{\psi} \tilde{\psi} - \frac{1}{4} \tilde{g}^{\mu\alpha} \tilde{g}^{\nu\beta} F_{\mu\nu} F_{\alpha\beta} + \dots \right]$$

where  $\tilde{\gamma}^\mu = \Omega^{-1} \gamma^\mu$ ,  $\tilde{\psi} = \Omega^{-3/2} \psi$ ,  $\tilde{m} = \Omega^{-1} m$  .  
( $A_\mu$  is invariant in 4 dim)

Conformal transformation from 'Jordan frame' to any other frame results in **spacetime-dependent mass**.

And this is the only effect, provided dynamics of dilatons (at short distances) can be neglected.

(dilatons may be dynamical on cosmological scales)



# 3. Standard Cosmology

## Conventional wisdom

$$ds^2 = -dt^2 + a^2(t)d\sigma_{(K)}^2 ;$$

$d\sigma_{(K)}^2$  : homogeneous and isotropic 3-space ( $K = \pm 1, 0$ )

$$\left(\frac{\dot{a}}{a}\right)^2 \equiv H^2 = \frac{8\pi G}{3}\rho - \frac{K}{a^2} \quad \dots \text{expanding universe}$$

$\Rightarrow$  cosmological redshift  $E_{\text{obs}} = \frac{E_{\text{emit}}}{1+z}$

This is how we interpret observational data.

This is regarded as a 'proof' of cosmic expansion.

But ....

# Conformal transformation:

$$ds^2 \rightarrow d\tilde{s}^2 = \Omega^2 ds^2; \quad \Omega = \frac{1}{a}$$

$$\Rightarrow d\tilde{s}^2 = -d\eta^2 + d\sigma_{(3)}^2; \quad d\eta = \frac{dt}{a(t)}$$

In this conformal frame, the universe is **static**.

no Hubble flow.

photons **do not redshift**...

Is this frame unphysical?

# Ex 1: Cosmological Redshift

In this static frame,

- electron mass varies in time:  $\tilde{m}(\eta) = m \Omega^{-1} = \frac{m}{1+z}$   
where “z” is **defined** by

$$1+z \equiv \Omega = \frac{1}{a(\eta)} \quad (a_0 = a(\eta_0) = 1)$$

- Bohr radius  $\propto m^{-1} \Leftrightarrow$  atomic energy levels  $\propto m$  :

energy level in  
'static' frame

$$\tilde{E}_n = \frac{E_n}{1+z}$$

energy level in  
'Jordan' frame

Thus frequency of photons emitted at time  $z = z(\eta)$  from a level transition  $n \rightarrow n'$  is

$$\tilde{E}_{nn'} = \frac{E_{nn'}}{1+z}$$

this is exactly what we observe as Hubble's law!

## Ex 2: CMB

- CMB photons have **never redshifted**.
- The universe was in **thermal equilibrium** when the electron mass was small by a factor  $>10^3$ , ie, at time  $z > 10^3$ , **at fixed temperature  $T=2.725\text{K}$** .

Just to check physics...

- Thomson cross section:  $\tilde{\sigma}_T \propto \tilde{m}^{-2} \rightarrow \tilde{\sigma}_T = \sigma_T (1+z)^2$   
electron density:  $\tilde{n}_e = \text{const.} = n_e (1+z)^{-3}$

⇒ rate of scattering/interaction per unit proper time:

$$\tilde{n}_e \tilde{\sigma}_T d\eta = \frac{n_e \sigma_T}{1+z} d\eta = n_e \sigma_T dt$$

observational results are indistinguishable

## Chap II

# FRAME-DEPENDENCE OF INFLATION

(made by Guillem Domenech: modified by MS)

# Scalar-Tensor Theory

What is the Scalar-tensor theory of gravity? It considers a scalar field non-minimally coupled to gravity:

$$S \sim \int d^4x \sqrt{-\tilde{g}} \left\{ F(\phi) \tilde{R} + \tilde{L}(\phi) \right\}$$

This form of the action is called [Jordan frame](#).

By means of a conformal transformation, i.e.

$$\tilde{g}_{\mu\nu} = F^{-1} g_{\mu\nu} \dots$$

# Frame independence

...one can bring the Jordan frame into the Einstein-Hilbert action, that is

$$S \sim \int d^4x \sqrt{-g} \{R + L(\phi)\} ,$$

the so-called **Einstein frame**.

What is the advantage of such a transformation?

- Very well know how to deal with EH action (and much easier!).
- **Physical observables** are in fact **frame independent**.

# Frame independence

However, what about the **matter sector**?

- **Matter minimally couples to  $\tilde{g}$ .**
- As long as we have **successful inflation in the Einstein frame** we can choose the matter metric  $\tilde{g}$  by a conformal transformation.
- How different can  $\tilde{g}$  and  $g$  be?
- Can this matter point of view leave observational imprints?



## Inflation: definition

How do we **define "Inflation"** in a frame-independent way?

- Expansion law depends on the choice of conformal frame:

$$ds^2 = a^2(\eta)d\tilde{s}^2, \quad d\tilde{s}^2 = -d\eta^2 + d\vec{x}^2$$

$\Rightarrow$  no expansion in the tilde frame

- Here we assume **the existence of the Einstein frame**, or at least a frame in which the linear perturbation behaves like that in the Einstein frame.
- This means an almost **scale-invariant tensor spectrum**.
- This definition fits all inflation models proposed so far.

## Review: PL Inflation

We consider power-law inflation to illustrate these points. The Inflaton  $\phi$  with potential  $V(\phi) = V_0 e^{-\lambda\phi}$  gives rise to ( $p = 2/\lambda^2$ ): (Lucchin and Matarrese, 1985)

$$a = a_0 (t/t_0)^p \quad \phi = \frac{2}{\lambda} \ln(t/t_0) \quad H = p/t \quad \epsilon = 1/p.$$

The curvature and tensor power spectrum under the slow-roll approximation are given by

$$\mathcal{P}_{\mathcal{R}_c}(k) = \left( \frac{H^2}{2\pi\dot{\phi}} \right)^2 = \frac{p}{8\pi^2} \frac{H_0^2}{M_{pl}^2} \left( \frac{k}{k_0} \right)^{\frac{-2}{p-1}},$$
$$\mathcal{P}_{\mathcal{T}}(k) = \frac{2}{\pi^2} \frac{H^2}{M_{pl}^2} = \frac{16}{p} \mathcal{P}_{\mathcal{R}_c}(k).$$

We need  $p \gg 1$  for a successful inflation. ( $r = 16/p$ )

# Curvaton model

For simplicity, let us take a curvaton as a representative of matter. The curvaton is a scalar field  $\chi$  that:

- Initially is subdominant
- Has a non-vanishing initial energy density
- Dominates after inflaton decays
- and contributes to the scalar power spectrum

## Curvaton model

Our curvaton is a matter field and therefore lives in the Jordan frame, i.e.

$$S_m \sim \int d^4x \sqrt{-\tilde{g}} \left( -\tilde{g}^{\mu\nu} \partial_\mu \chi \partial_\nu \chi - \tilde{m}^2 \chi^2 \right) .$$

The power-spectrum for the curvaton under the sudden decay approximation is given by (Lyth and Wands, 2002)

$$\mathcal{P}_\chi(k) = r_\star \frac{\delta\chi^2}{\chi_\star^2} = r_\star \frac{\tilde{H}^2}{(2\pi M_{pl}\chi_\star)^2} ,$$

where  $r_\star$  is the energy density fraction of the curvaton at decay.

## Matter point of view

Matter is coupled to the Jordan  $\tilde{g}$  so our conformal transformation yields

$$\tilde{a} = F^{-1/2} a \quad \text{and} \quad d\tilde{t} = F^{-1/2} dt .$$

Let us take a concrete example inspired in a dilatonic coupling, that is

$$F(\phi) = e^{\gamma\lambda\phi/M_{pl}} = (t/t_0)^{2\gamma} .$$

## Matter point of view

After integrating time, the Jordan scale factor is given by another power-law

$$\tilde{a} = \tilde{a}_0 (\tilde{t}/\tilde{t}_0)^{\tilde{p}} \quad \tilde{H} = \tilde{p}/\tilde{t},$$

where  $\tilde{p} - 1 = \frac{p-1}{1-\gamma}$ . ( $\tilde{p}$  can be negative!)

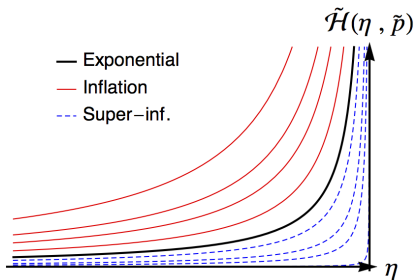


Figure: Jordan conformal hubble parameter  $\tilde{H}$  as a function of the conformal time  $\eta$  and  $\tilde{p}$ . For  $\tilde{p} < 0$  we have super-inflation.

## Jordan Power Law

The curvaton follows the Jordan power law. This time the power spectrum takes the same form but with  $\tilde{\rho}$  instead of  $\rho$ . For  $\tilde{\rho} < 0$  the spectrum is blue!

$$\tilde{n}_\chi - 1 = \frac{-2}{\tilde{\rho} - 1}.$$

Such a blue tilt might induce **primordial black hole formation**.

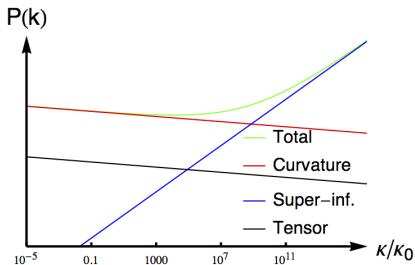


Figure: Power-spectrum for the Jordan power-law case.

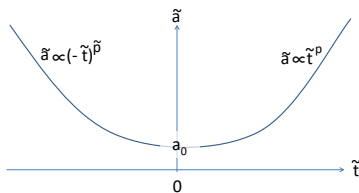
## Jordan Bounce

We consider a slightly more complicated transformation, e.g.

$$F(\phi) = \left(1 + e^{\frac{-\gamma\lambda}{2M_{pl}}\phi}\right)^{-2} = \left(1 + (t/t_0)^{-\gamma}\right)^{-2}.$$

It gives a **bouncing universe** in the Jordan frame!

$$\tilde{a} \approx \begin{cases} a_0(-\tilde{t}/\tilde{t}_0)^{\tilde{p}} & |\tilde{t}| \gg \tilde{t}_0 \quad (\tilde{t} < 0) \\ a_0(\tilde{t}/\tilde{t}_0)^p & \tilde{t} \gg \tilde{t}_0 \end{cases}.$$



The singularity has been sent to  $\tilde{t} \rightarrow -\infty$ .



# Jordan Bounce

We find a **blue tilt** at short scales that gives an **apparent suppression**.

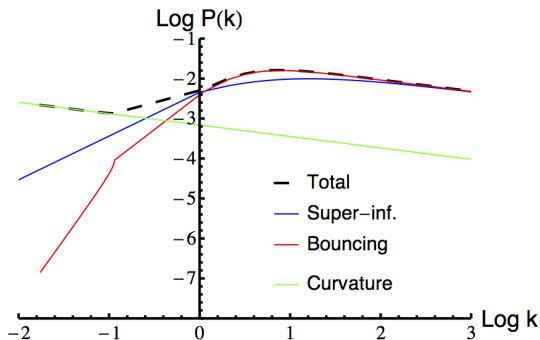


Figure: Power-spectrum for the Jordan bouncing frame.

# Summary

With a simple analytic model we have shown that:

- In the scalar-tensor theory the matter point of view can be very different although we have **inflation in the Einstein frame!**
- Depending on **which frame matter is minimally coupled**, it can leave important features, e.g. to the power spectrum.
- We easily obtain a blue tilt on small scales (for the super-inf. case) and a blue tilt on large scales (for the bouncing case).