

# Asymptotic Safety (the Exact Renormalization Group approach)

Windows on Quantum Gravity, 29/10/15

Tim Morris,

Physics & Astronomy,

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# GR:

- $g_{\mu\nu}$  is a field

# QM:

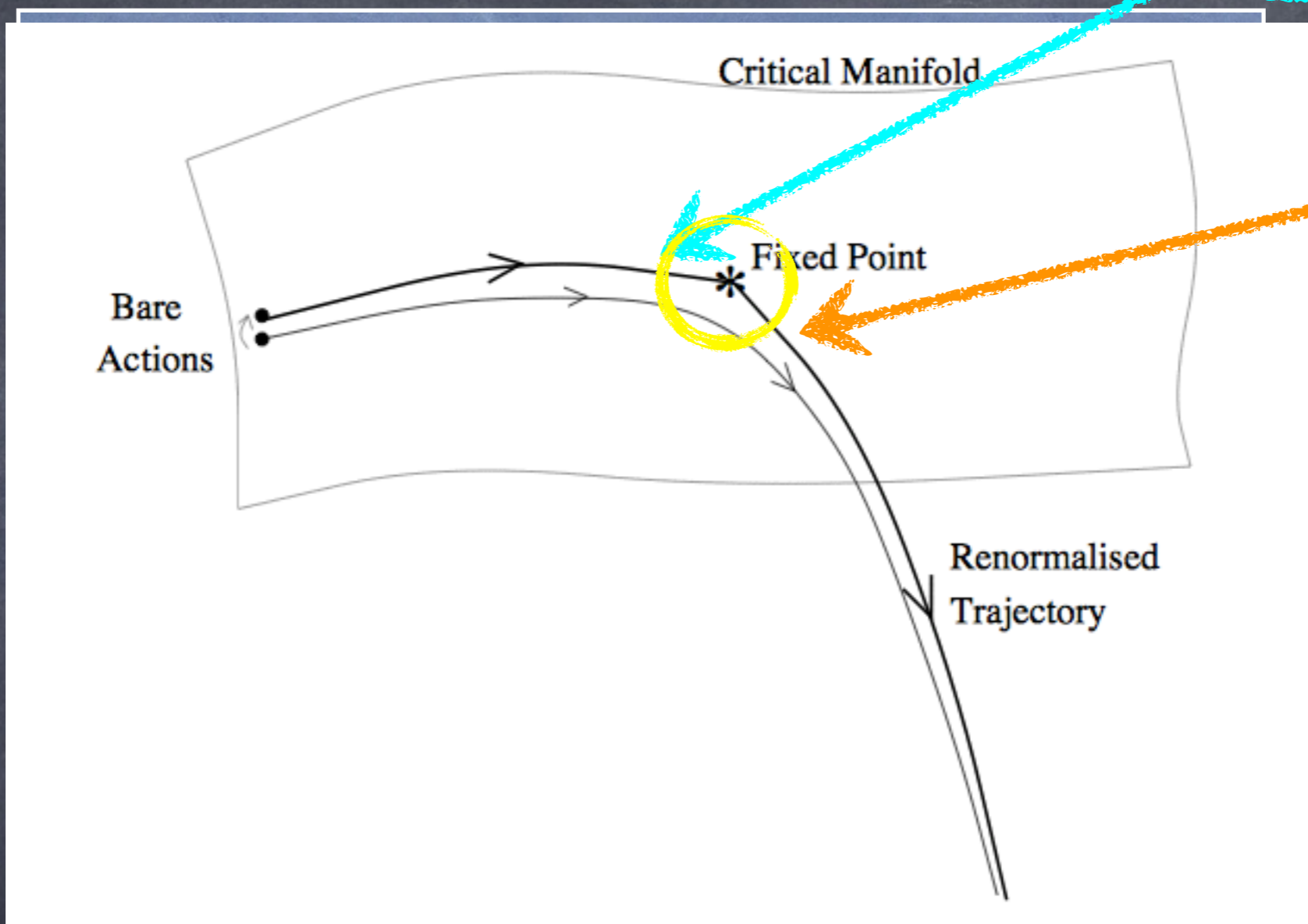
- which must be consistent with QM



- Effective quantum field theory

# Wilsonian RG

Irrelevant



Relevant

TRM, Prog. Theor. Phys. Suppl. 131 (1998) 395.

G is irrelevant about Gaussian FP.

RG step makes no difference  $\Rightarrow$  scale invariant  
So what is the UV completion?  
 $\Rightarrow$  massless continuum limit!

# Non-perturbative UV FP

- **Higgs?** K.G. Wilson & J. Kogut, Phys. Rep. **12C** (1974) 75
- **Large N Gross-Neveu model (four-fermi) in <4 dimensions** K.G. Wilson, Phys. Rev. **D10** (1973) 2911

## Gravity: asymptotic Safety

- **Gravity  $D=2+\epsilon$**  S. Weinberg, in \*Hawking, S.W., Israel, W.: General Relativity\* (1979) 790-831; Proc. Int. School of Subnuclear physics, Erice (1976).
- **Large N** L. Smolin, Nucl. Phys. **B208** (1982) 439; R. Percacci, Phys. Rev. **D73** (2006) 041501(R)

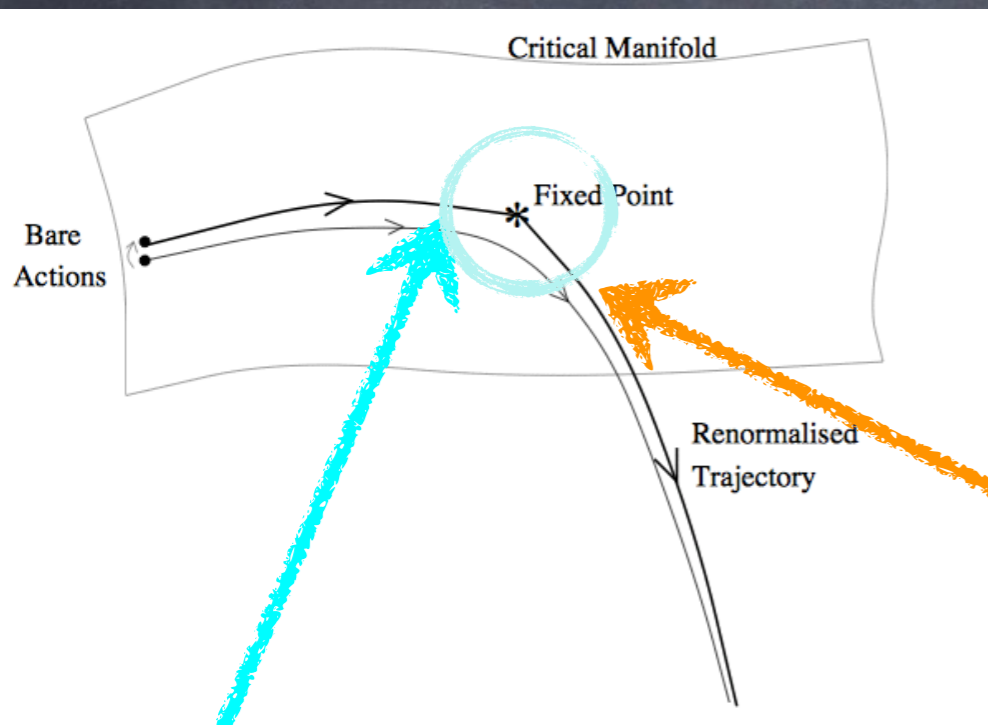
# Theory space...

$$\Gamma_k \sim \int d^4x \sqrt{g} \{ g_k^0 + g_k^1 R + g_k^{2a} R^2 + g_k^{2b} R^{\mu\nu} R_{\mu\nu} + g_k^{3a} R^3 + g_k^{3b} R R^{\mu\nu} R_{\mu\nu} + \dots \}$$

$$\frac{\Lambda_k}{8\pi G_k}$$

$$-\frac{1}{16\pi G_k}$$

$$g_k^i = k^{4-2i} g_{\text{phys}}^i(k)$$



$$k \frac{d}{dk} g_k^i = \beta^i(g_k) = B^i_j (g_k^j - g_*^j) + \dots$$

$$g_k^i = g_*^i + \sum_n \alpha_n v_n^i \left( \frac{k}{\mu} \right)^{\vartheta_n} + \dots$$

$\alpha_n \ll 1$  means  $\sum_i v_n^i R^i$  is a **relevant operator**

$\vartheta_n > 0$  means  $\sim \int d^4x \sqrt{g} \sum_i v_n^i R^i$  is an **irrelevant operator**

# Non-trivial questions for asymptotic safety

- Does the UV FP exist?
- Does it have a finite number of (3?) relevant directions (i.e. couplings)?
- Is it unitary?
- What are the phenomenological consequences?

• Is it unitary?

$$\Gamma_k \sim \int d^4x \sqrt{g} \left\{ g_k^0 + g_k^1 R + g_k^{2a} R^2 + g_k^{2b} R^{\mu\nu} R_{\mu\nu} \right\}$$

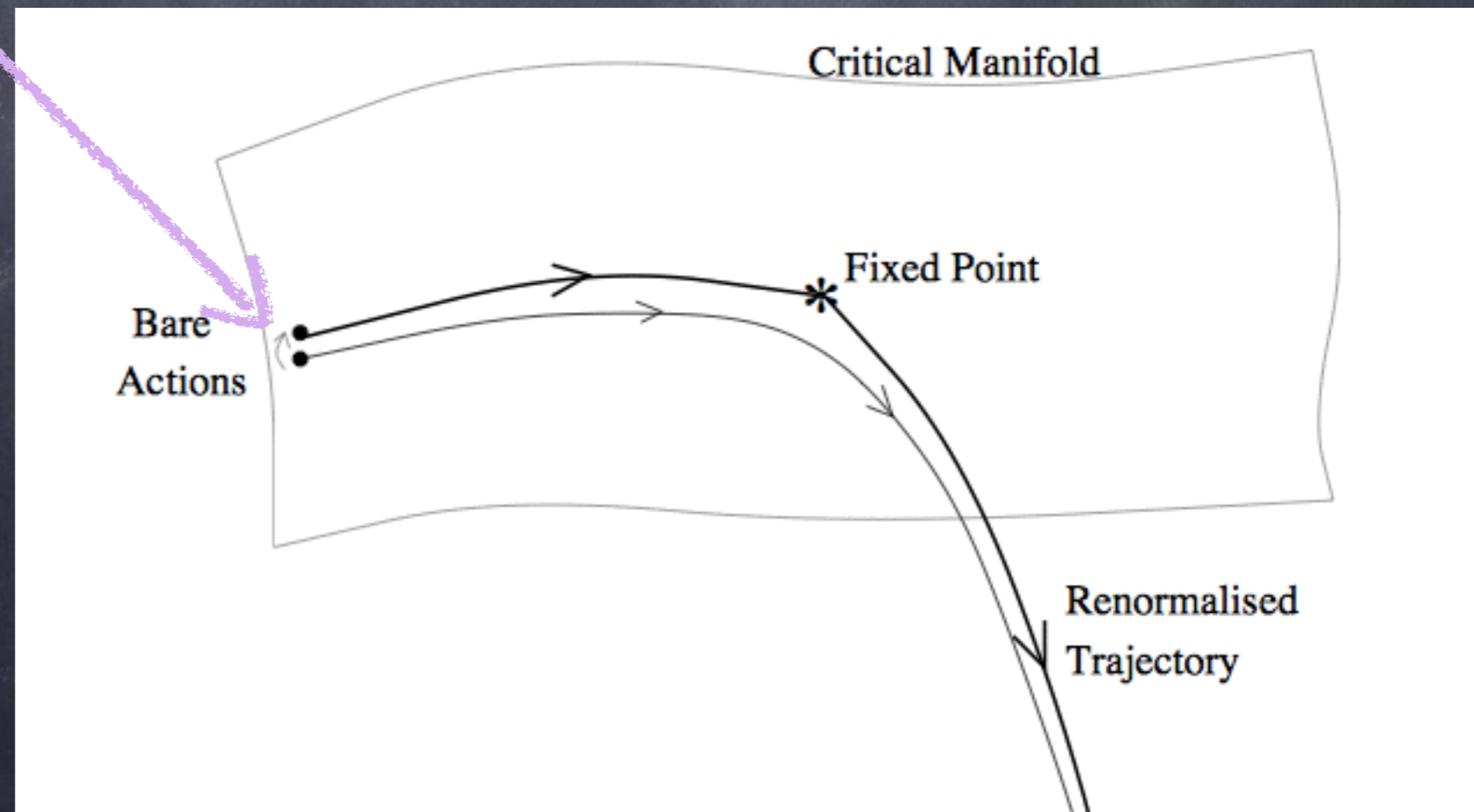
K. S. Stelle, Phys. Rev. D16 (1977) 953

• How do we tell?

Need bare Hamiltonian

Compute  $\langle h_{\mu\nu} h_{\alpha\beta} \rangle(p)$ ?

T. R. Morris & Z. Slade,  
"Solving the  
reconstruction  
problem ..."  
arXiv:1507.08657





# Exact RG...

K.G. Wilson & J. Kogut, Phys. Rep. **12C** (1974) 75; F.J.

Wegner & A. Houghton, Phys. Rev. **A8** (1973) 401

$$\frac{\partial}{\partial k} \Gamma[\varphi] = \frac{1}{2} \text{tr} \left[ \mathcal{R} + \frac{\delta^2 \Gamma}{\delta \varphi \delta \varphi} \right]^{-1} \frac{\partial}{\partial k} \mathcal{R}.$$

C. Wetterich, Phys. Lett. **B301** (1993) 90;

T.R. Morris, Int. J. Mod. Phys. **A9** (1994) 2411.

Momentum dependent mass term (IR cutoff):

$$\frac{1}{2} \varphi \cdot \mathcal{R} \cdot \varphi$$

suppresses momenta  $p < k$

- $k \rightarrow 0$  gives full Legendre effective action.
- If  $k \rightarrow \infty$  exists then continuum limit constructed

1) A proper fixed functional for four-dimensional Quantum Einstein Gravity  
By Maximilian Demmel, Frank Saueressig, Omar Zanusso.  
arXiv:1504.07656 [hep-th].

2) Cosmic fluctuations from quantum effective action  
By C. Wetterich.  
arXiv:1503.07860 [gr-qc].

3) Black holes in Asymptotically Safe Gravity  
By Frank Saueressig, Paola Arias, Giulio D'Olorio, Francesca Vidotto.  
arXiv:1503.06472 [hep-th].

4) Critical scaling in quantum gravity from the renormalisation group  
By Kevin Falls.  
arXiv:1503.06233 [hep-th].

5) Foliation of bundle and quantum gravity of Einstein gravity  
By I.Y. Park.  
arXiv:1503.02015 [hep-th].

6) Universally Finite Gravitational & Gauge Theories  
By Leonardo Modesto, Leslaw Rachwal.  
arXiv:1503.00261 [hep-th].

7) Global solutions of functional flow equations via pseudo-spectral methods  
By Julia Borchardt, Benjamin Knorr.  
arXiv:1502.07511 [hep-th].

M. Niedermaier & M. Reuter, Living Rev. Rel. 9 (2006) 5;

8) Background independent exact renormalization group for conformally reduced gravity  
By Juergen A. Dietz, Tim R. Morris.  
arXiv:1502.07399 [hep-th].

R. Percacci, in \*Ortiz, D (ed.)\* arXiv:0709.3851;  
10.1007/JHEP04(2015)118

D.F. Litim, arXiv:0810.3675;  
JHEP04(2015)118

9) Spin-base invariance of Fermions in arbitrary dimensions  
By Stefan Lippoldt.  
arXiv:1502.05607 [hep-th].

M. Reuter & F. Saueressig, New J. Phys. 14 (2012) 055022

10) Is there a  $\zeta$ -function in 4D Quantum Einstein Gravity?  
By Daniel Becker, Martin Reuter.  
arXiv:1502.03292 [hep-th].

11) Global surpluses of spin-base invariant fermions  
By Holger Gies, Stefan Lippoldt.  
arXiv:1502.00918 [hep-th].  
10.1016/j.physletb.2015.03.014.

Phys.Lett. B743 (2015) 415-419.

12) The Renormalization Group flow of unimodular  $f(R)$  gravity  
By Astrid Eichhorn.  
arXiv:1501.05848 [gr-qc].  
10.1007/JHEP04(2015)096.

JHEP 1504 (2015) 096.

13) On the renormalisation of Newton's constant  
By Kevin Falls.  
arXiv:1501.05331 [hep-th].

14) Search of scaling solutions in scalar-tensor gravity  
By Roberto Percacci, Gian Paolo Vacca.  
arXiv:1501.00888 [hep-th].  
10.1140/epjc/s10052-015-3410-0.

Eur.Phys.J. C75 (2015) 5, 188.

15) Black Hole Solutions for Scale Dependent Couplings: The de Sitter and the Reissner-Nordstr"om Case  
By Benjamin Koch, Paola Rioseco.  
arXiv:1501.00904 [gr-qc].

16) Black Hole Remnants and the Information Loss Paradox  
By Pisin Chen, Yen-Chin Ong, Dong-han Yeom

# Einstein-Hilbert truncation

$$\Gamma \sim \frac{1}{16\pi G} \int d^4x \sqrt{g} \{-R + 2\Lambda\}$$

$$G := k^2 G_{phys}(k), \quad \Lambda := \Lambda_{phys}/k^2, \quad t := \ln(k/\mu)$$

E.g. using sharp cutoff:

$$\partial_t \Lambda = -(2 - \eta)\Lambda - \frac{G}{\pi} \left[ 5 \ln(1 - 2\Lambda) - 2\zeta(3) + \frac{5}{2}\eta \right],$$

$$\partial_t G = (2 + \eta)G,$$

$$\eta = -\frac{2G}{6\pi + 5G} \left[ \frac{18}{1 - 2\Lambda} + 5 \ln(1 - 2\Lambda) - \zeta(2) + 6 \right].$$

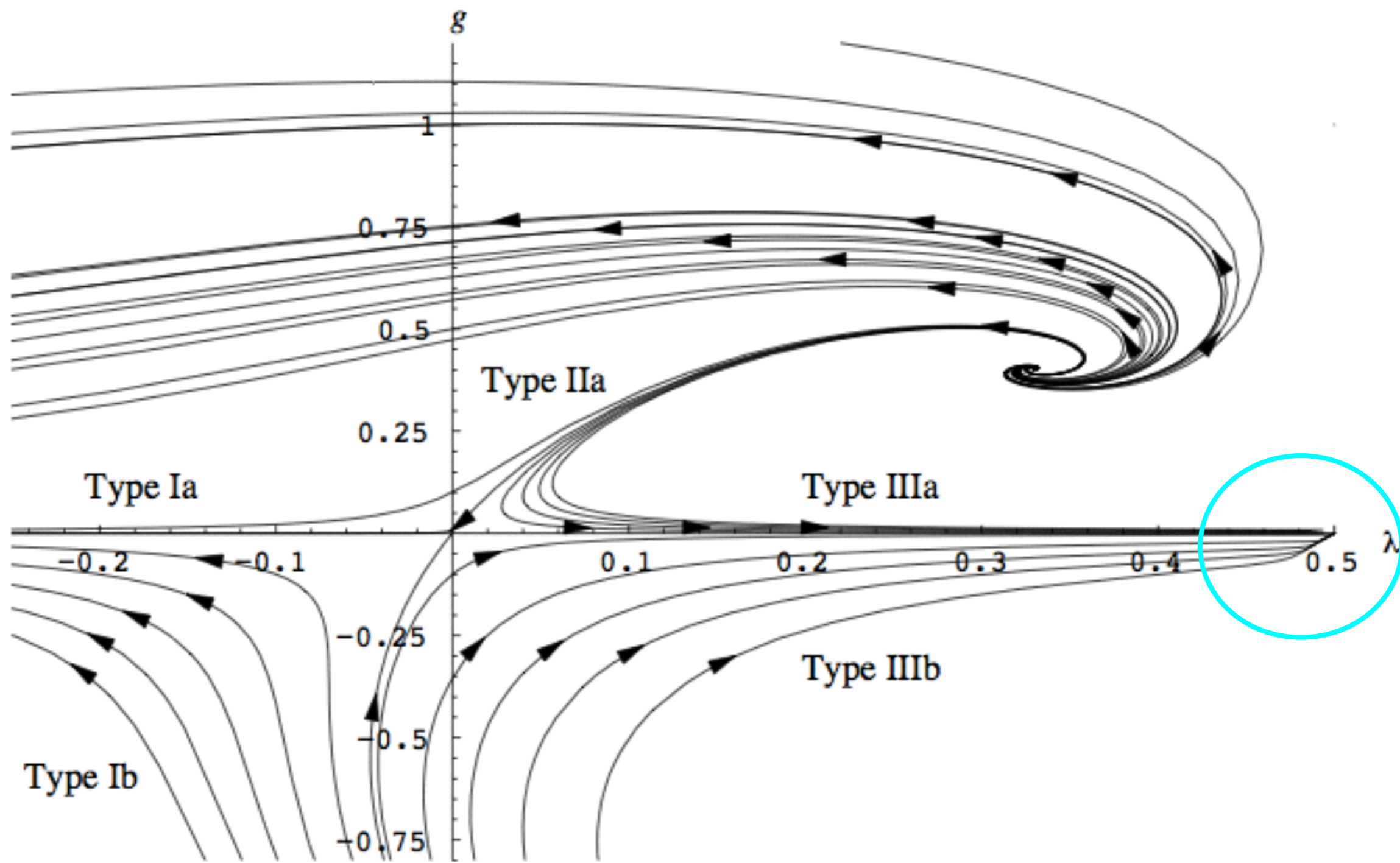


Figure 4: RG flow in the  $g$ - $\lambda$ -plane. The arrows point in the direction of increasing coarse graining, i.e., of decreasing  $k$ . (From [14].)

# Can Gibbs measures be caused by poor approximation...

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PHYSICAL REVIEW LETTERS

24 JUNE 1991

## Renormalization Transformations in the Vicinity of First-Order Phase Transitions: What Can and Cannot Go Wrong

Aernout C. D. van Enter<sup>(a)</sup>

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(Received 7 December 1990)

We reconsider the conceptual foundations of the renormalization-group (RG) formalism. We show that the RG map, defined on a suitable space of interactions, is always single valued and Lipschitz continuous on its domain of definition. This rules out a recently proposed scenario for the RG description of first-order phase transitions. On the other hand, we prove in several cases that near a first-order phase transition the renormalized measure is not a Gibbs measure for any reasonable interaction. It follows that the conventional RG description of first-order transitions is not universally valid.

## Reparametrise or change cutoff...

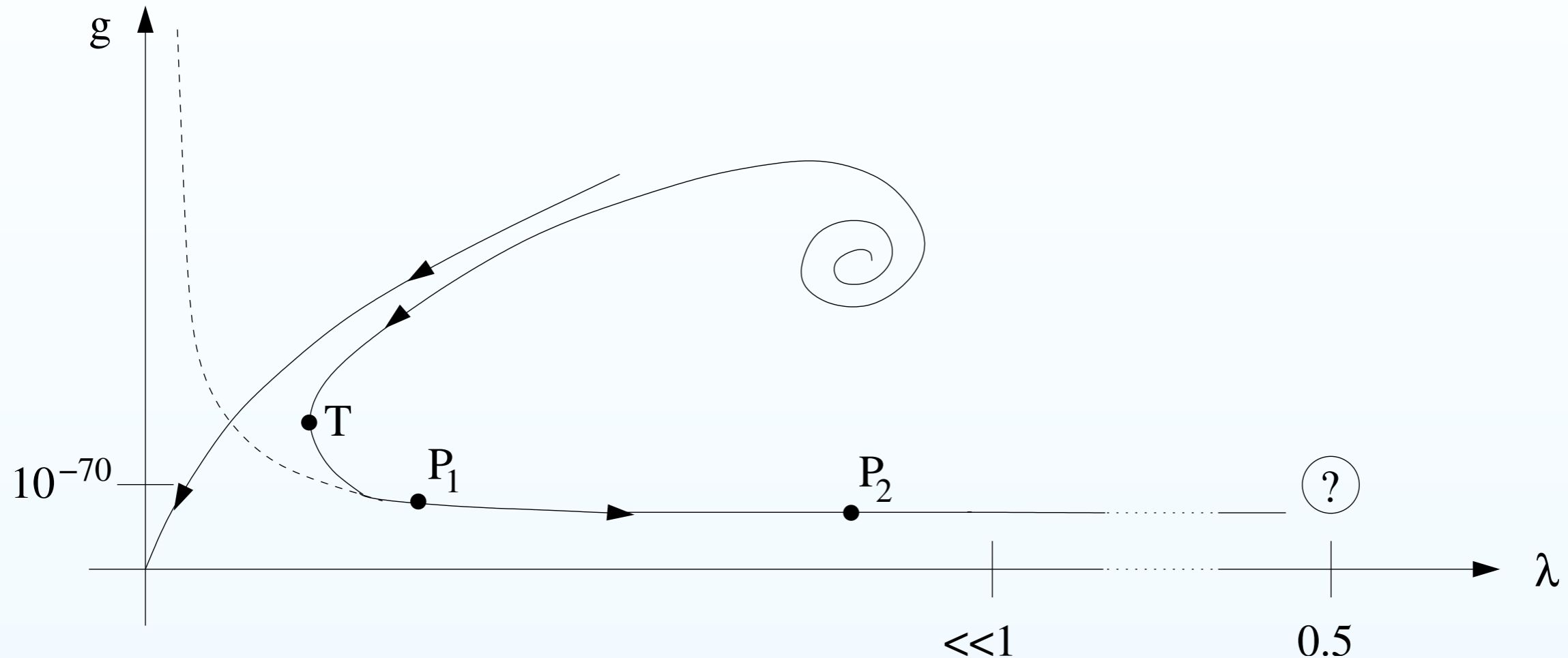
Global Flows in QG, M. Christiansen, B. Knorr, J.M. Pawłowski & A. Rodigast, arXiv:1403.1232;

R. Percacci and G. P. Vacca, Eur. Phys. J. C75 (2015) 5, 188

# The RG trajectory realized in Nature

M. Reuter, H. Weyer, JCAP 0412 (2004) 001, hep-th/0410119

measurement of  $G_N, \Lambda$  in classical regime:



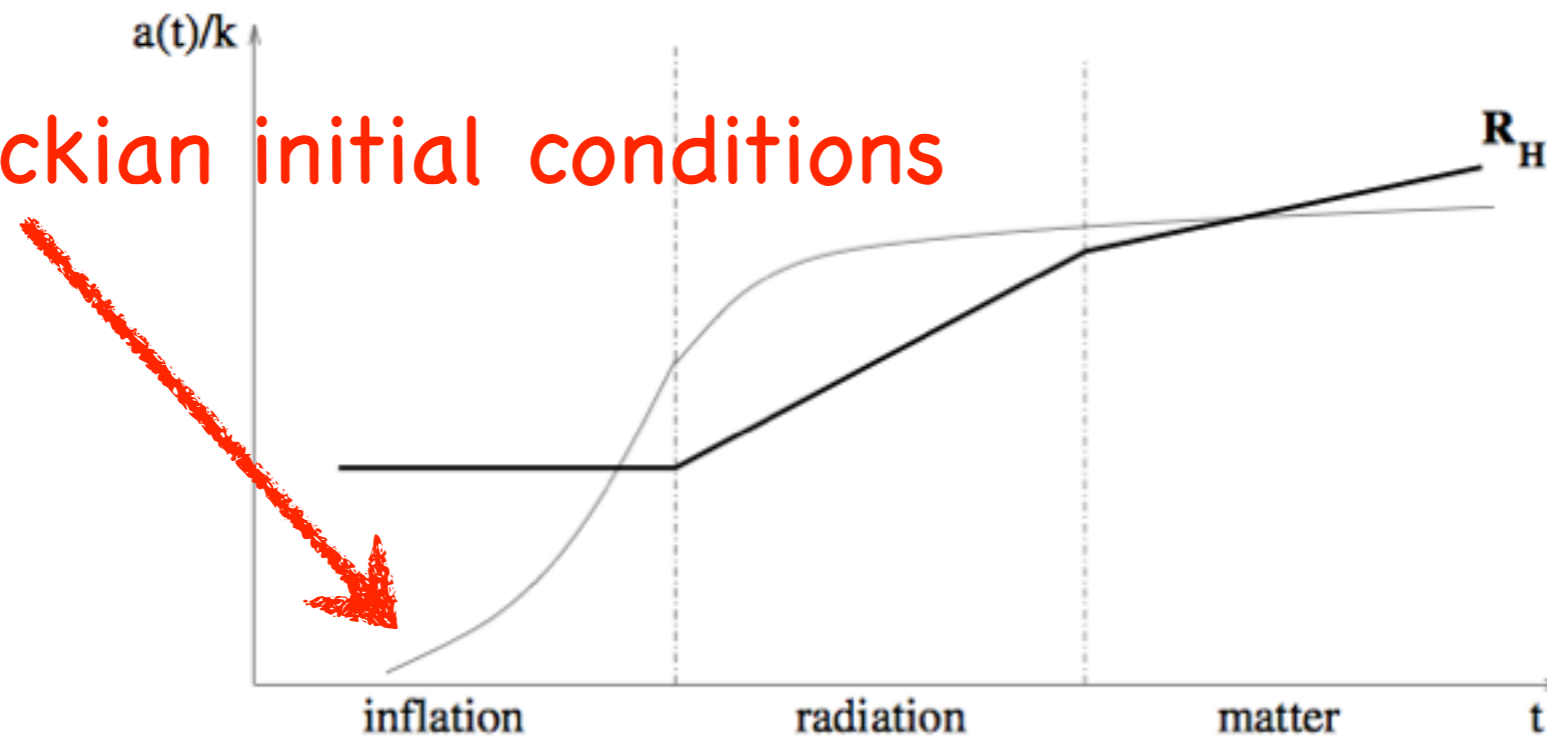
- originates at NGFP (quantum regime:  $G(k) = k^{2-d}g_*$ ,  $\Lambda(k) = k^2\lambda_*$ )
- passing *extremely* close to the GFP
- long classical GR regime (classical regime:  $G(k) = \text{const}$ ,  $\Lambda(k) = \text{const}$ )

# Cosmological consequences...

*P. Binétruy*

$$a(t)/k \text{ and } t \lesssim M_{\text{Planck}} \sim 10^{19} \text{ GeV}$$

Super-Planckian initial conditions

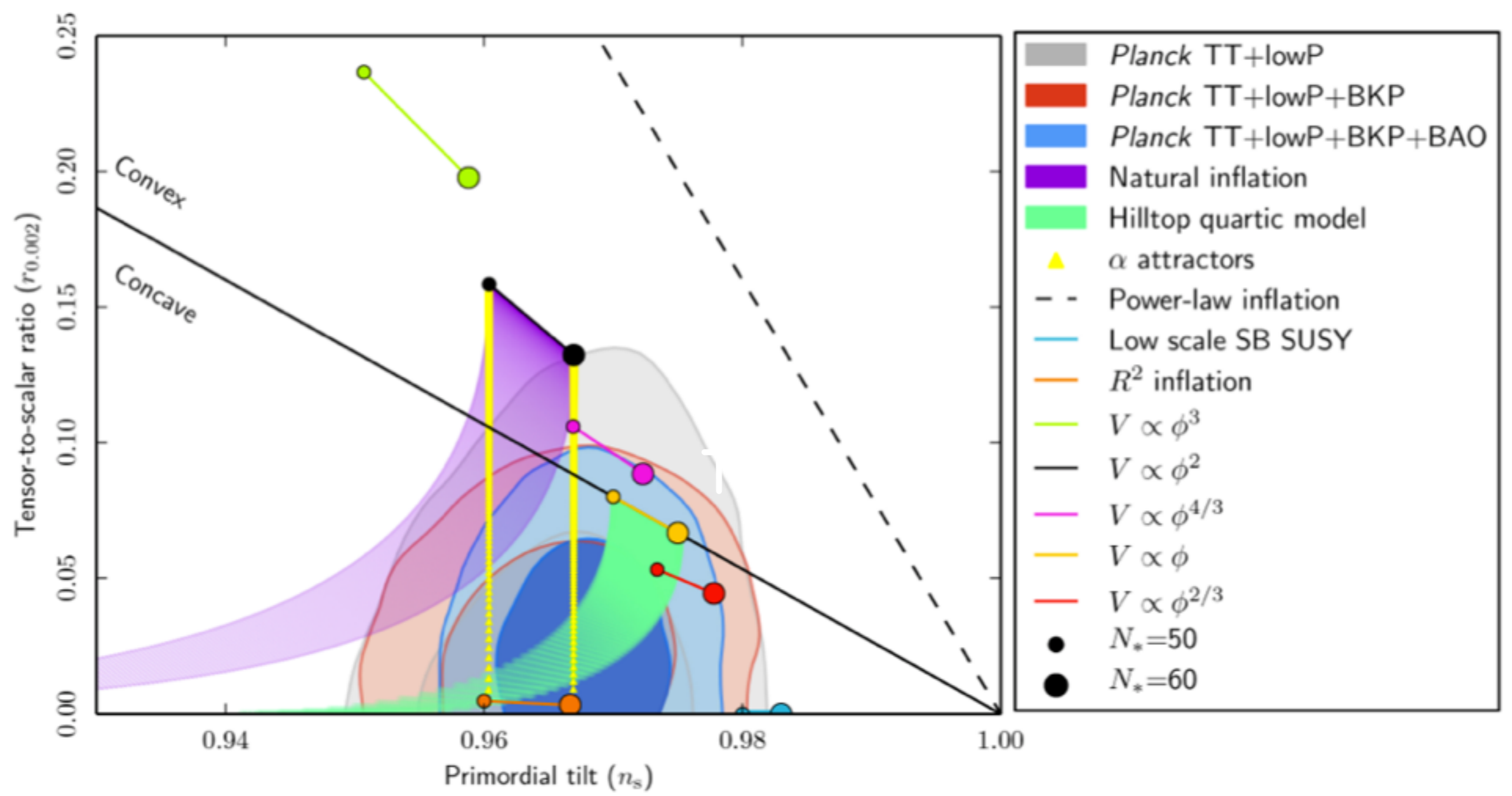


**Fig. 9:** Evolution of a physical comoving fluctuation scale with respect to the Hubble radius during the inflation phase ( $R_H(t) = H_{\text{vac}}^{-1}$ ), the radiation dominated phase ( $R_H(t) = 2t$ ) and matter dominated phases ( $R_H(t) = 3t/2$ ).

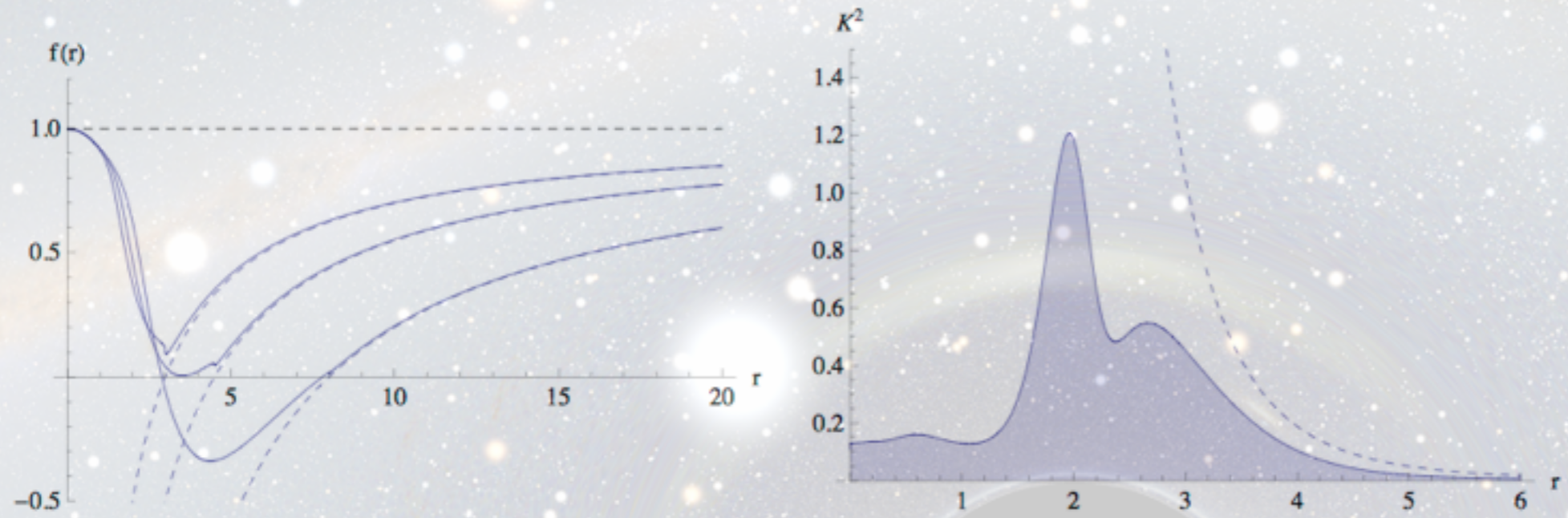
...quantum gravity fueled inflation

A. Bonnano & M. Reuter, 2003, 2007, 2008; Bonanno, Espisota & Rubano, 2003, 2004, 2005; Bonanno, Contillo, Percacci, 2010; Weinberg, 2010; Tye & Xu, 2010; A. Bonanno, 2012.

# Precision cosmology...





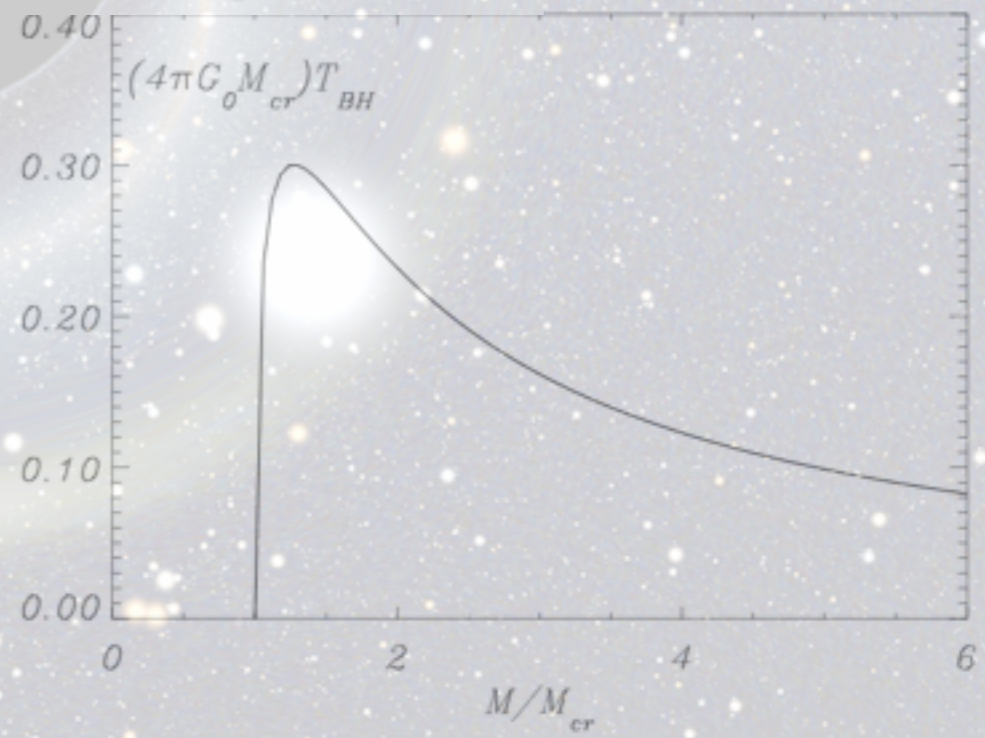


k is  
momentum  
cutoff,  
not a scale

**Figure 2:** The left diagram illustrates the horizon structure for the RG improved Schwarzschild black holes with  $m = 1.5$  (top curve),  $m = m_{\text{crit}} \approx 2.25$  (middle curve) and  $m = 4$  (bottom curve), while the Kretschmann scalar curvature  $K^2 = R_{\mu\nu\rho\sigma}R^{\mu\nu\rho\sigma}$  for the case  $m = 4 > m_{\text{crit}}$  is shown in the right diagram. All quantities are measured in Planck units. The classical result is visualized by the dashed curves for comparison.

Bonanno and Reuter,  
"RG improved black hole space-times"  
Phys. Rev. D62 (2000) 043008

$$G(k) \mapsto G(1/r)$$



**FIG. 5.** The Hawking temperature of the quantum black hole (multiplied by  $4\pi G_0 M_{\text{cr}}$ ) as a function of  $M/M_{\text{cr}}$ . The maximum temperature is reached for  $\tilde{M}_{\text{cr}} \approx 1.27 M_{\text{cr}}$ .

# Less severe truncations

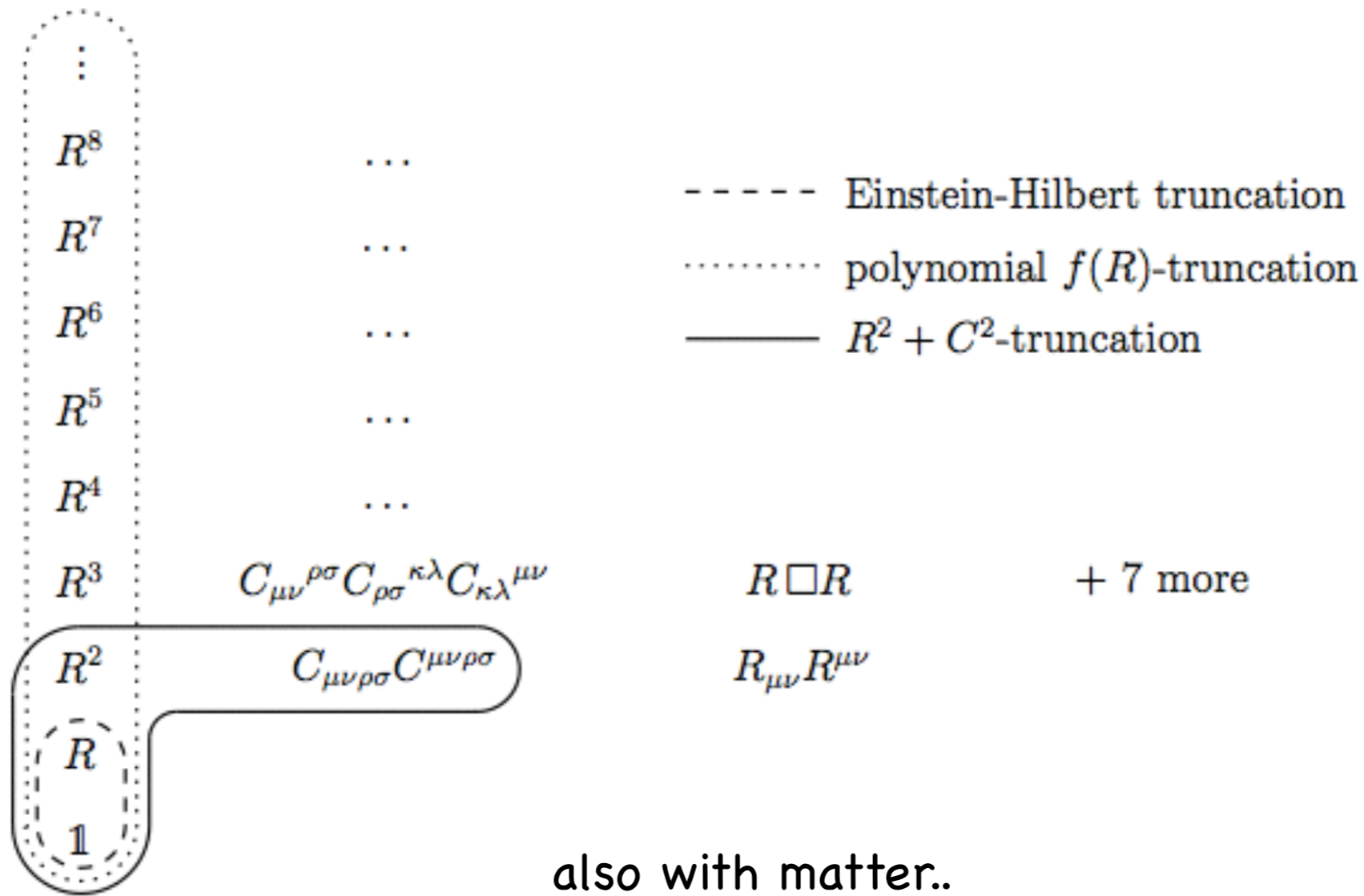


Figure 3: Overview of the various truncations employed in the systematic exploration of the theory space of QEG. The lines indicate the interaction monomials contained in the various truncation ansätze for  $\bar{\Gamma}_k[g]$ , eq. (4.4). All truncations have confirmed the existence of a non-trivial UV fixed point of the gravitational RG flow.

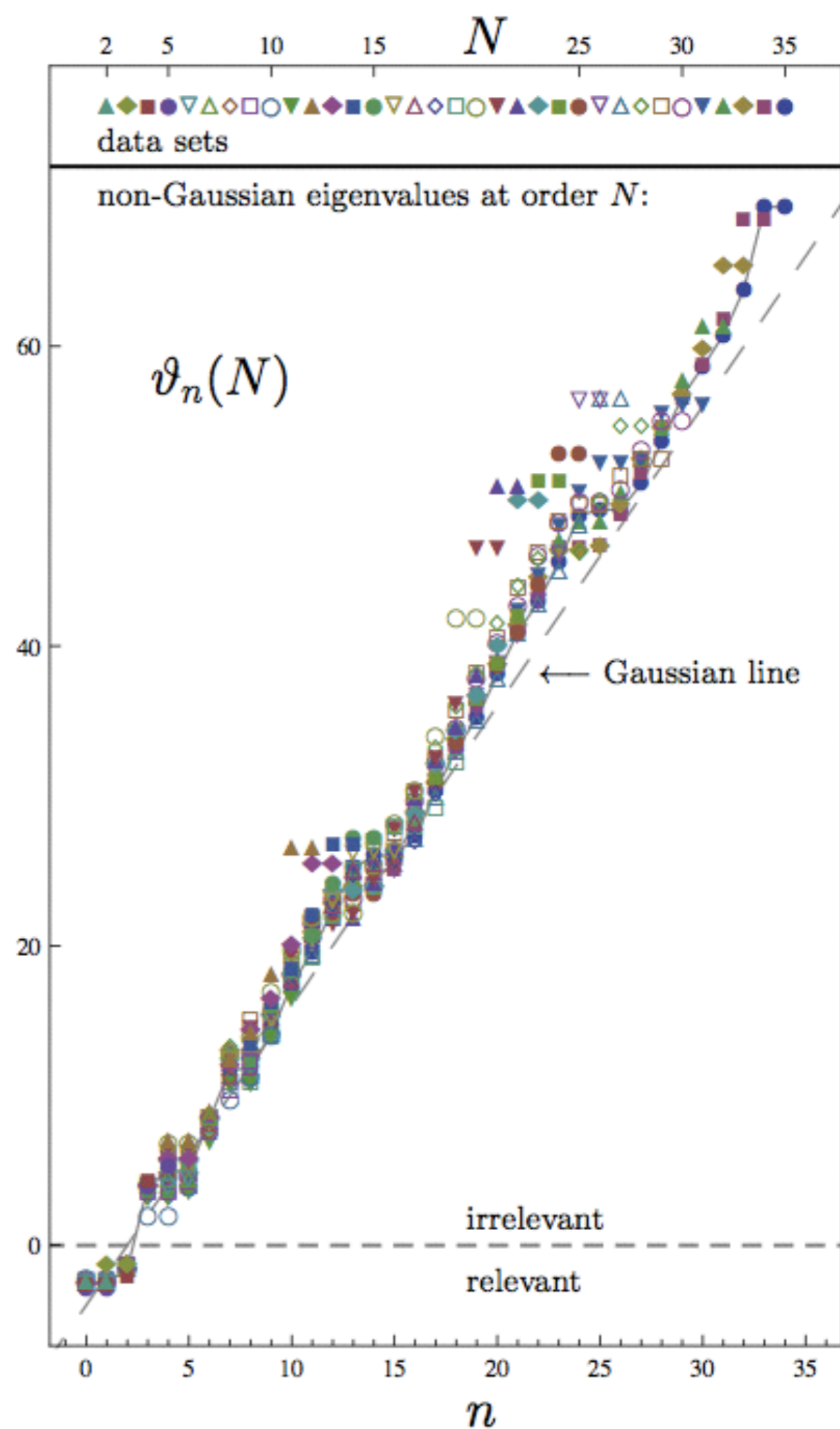
M. Reuter & F. Saueressig, *New J. Phys.* **14** (2012) 055022; M. Reuter, F. Saueressig, O. Lauscher, D. Benedetti, P. F. Machado, A. Codello, R. Percacci, C. Rahmede, M. Nierdermaier, K. Groh, S. Rechenberger, O. Zanusso ...

Figure 1: The complete sets of eigenvalues at the ultraviolet fixed point (8) for all  $N$ , sorted by magnitude. The results at the highest order ( $N = 35$ ) are linked by a line to guide the eye. The long dashed line indicates Gaussian scaling. The inset (upper panel) relates the data sets at approximation order  $N$  with the symbols used in the lower panel.

K. Falls, D.F. Litim, K. Nikolakopoulos &  
C. Rahmede, arXiv:1301.4191

Go beyond polynomial  
truncations to explore

$$\bar{R} \sim O(1)$$



Split into background + fluctuation:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

$$Z \sim \int \mathcal{D}h e^{-S[\bar{g}+h]}$$

Intuitively results should be background independent

Split into background + fluctuation:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

IR cutoff:  $\mathcal{R} \sim \mathcal{R}(-\bar{\nabla}^2/k^2)$

Impose Landau gauge: D. Litim & J. Pawłowski, Phys. Lett. B435 (1998) 181

$$\bar{\nabla}^\mu h_{\mu\nu} = \frac{1}{4} \bar{\nabla}_\nu h$$

Ghosts get IR cutoff too, so BRS invariance recovered only in the limit  $k \rightarrow 0$  (if we're careful).

TT decomposition (ghosts similarly):

$$h_{\mu\nu} = h_{\mu\nu}^T + \bar{\nabla}_\mu \xi_\nu + \bar{\nabla}_\nu \xi_\mu + \bar{\nabla}_\mu \bar{\nabla}_\nu \sigma + \frac{1}{4} \bar{g}_{\mu\nu} \bar{h}$$

Jacobian  $\Rightarrow$  auxiliary fields & they get IR cutoff too.

# Conformal factor problem?

$$h_{\mu\nu} = h_{\mu\nu}^T + \bar{\nabla}_\mu \xi_\nu + \bar{\nabla}_\nu \xi_\mu + \bar{\nabla}_\mu \bar{\nabla}_\nu \sigma + \frac{1}{4} \bar{g}_{\mu\nu} \bar{h}$$

G. W. Gibbons, S. W. Hawking and M. J. Perry,

"Path Integrals and the Indefiniteness of the Gravitational Action,"

Nucl. Phys. B **138** (1978) 141

$$\frac{\partial}{\partial k} \Gamma[\varphi] = \frac{1}{2} \frac{1}{2} \text{tr} \text{tr} \left[ \mathcal{R} \mathcal{R} + \frac{\delta^2 \Gamma}{\delta \varphi \delta \varphi} \right] \frac{\partial}{\partial k} \mathcal{R} \mathcal{R}.$$

M. Reuter, Phys. Rev. **D57** (1998) 971

## IR cutoffs (basic idea):

$$\frac{\partial}{\partial k} \Gamma[\varphi] = \frac{1}{2} \text{tr} \left[ \mathcal{R} + \frac{\delta^2 \Gamma}{\delta \varphi \delta \varphi} \right]^{-1} \frac{\partial}{\partial k} \mathcal{R}.$$

$$\mathcal{R} \sim \mathcal{R}_L = k^2 r(-\bar{\nabla}^2 / k^2) = (k^2 + \bar{\nabla}^2) \theta(k^2 + \bar{\nabla}^2)$$

D.F. Litim, Phys. Rev. D64 (2001) 105007

Effectively in inverse 2-pt:  $-\bar{\nabla}^2 + \mathcal{R}_L \equiv k^2$

# Adaptive IR cutoffs:

On constant scalar curvature  $\bar{R}$  background...

$$\frac{\delta^2 \Gamma}{\delta \sigma \delta \sigma} \sim \left( -\bar{\nabla}^2 - \frac{\bar{R}}{3} \right)^2 (-\bar{\nabla}^2)$$

$$\mathcal{R} \sim \left( -\bar{\nabla}^2 + \mathcal{R}_L - \frac{\bar{R}}{3} \right)^2 (-\bar{\nabla}^2 + \mathcal{R}_L) - \frac{\delta^2 \Gamma}{\delta \sigma \delta \sigma}$$

so effectively:

$$\frac{\delta^2 \Gamma}{\delta \sigma \delta \sigma} + \mathcal{R} \equiv \left( k^2 - \frac{\bar{R}}{3} \right)^2 k^2$$

$$h_{\mu\nu} = h_{\mu\nu}^T + \bar{\nabla}_\mu \xi_\nu + \bar{\nabla}_\nu \xi_\mu + \bar{\nabla}_\mu \bar{\nabla}_\nu \sigma + \frac{1}{4} \bar{g}_{\mu\nu} \bar{h}$$



# Performing the space-time trace

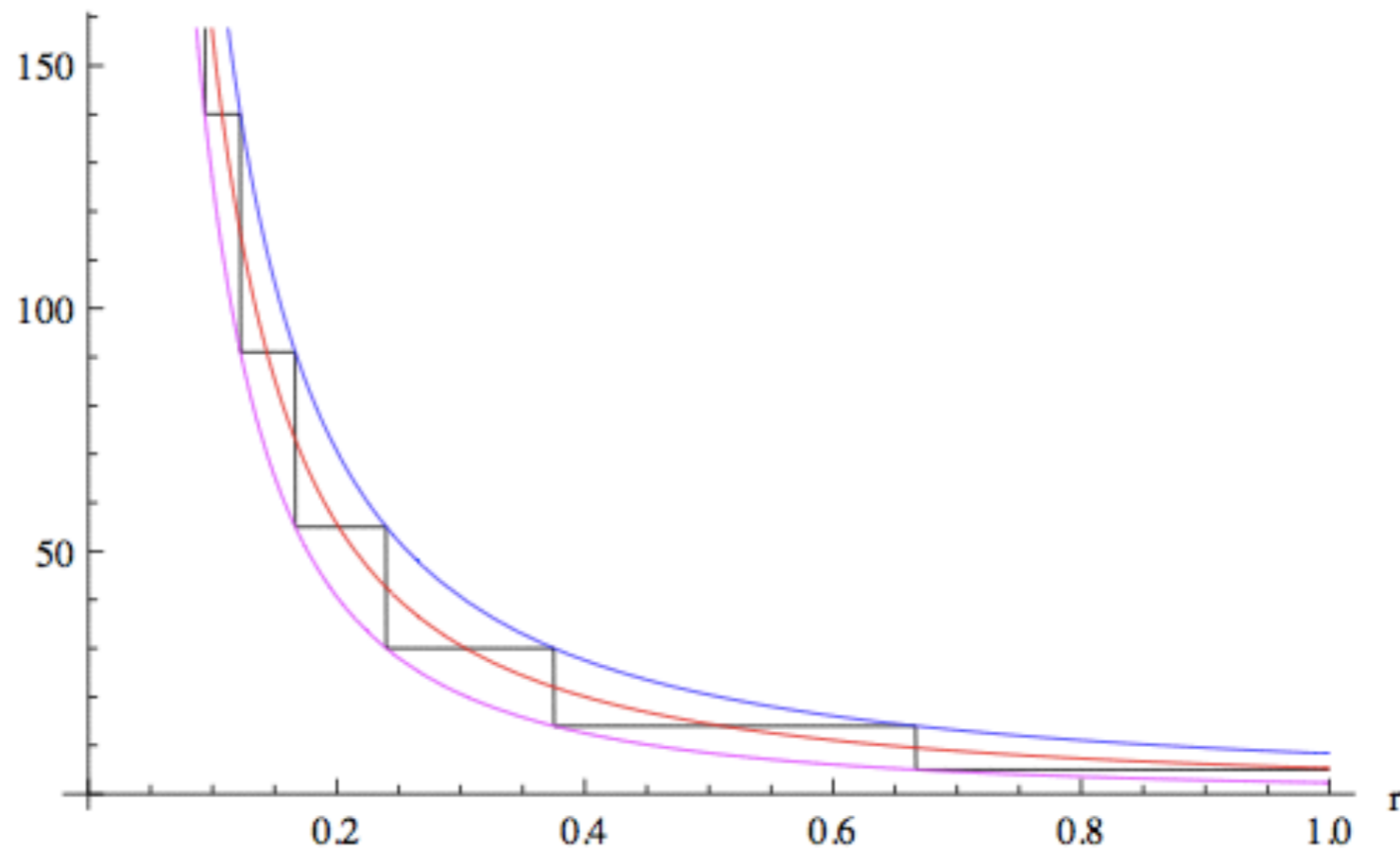
$$\frac{\partial}{\partial k} \Pi[\varphi] = \frac{\partial}{\partial k} \left( \frac{1}{2} \sum_{\lambda_i < k} \left\langle \text{tr} \left[ \mathcal{R} \left[ \frac{\delta^2 \Gamma}{\delta \varphi \delta \varphi} \right]^{-1} \frac{\partial}{\partial k} \right] \mathcal{R}(x, x) \right\rangle \right).$$

- heat kernel expansion
- short distance (small  $\bar{R}$ ) expansion
- background independence
- But asymptotic so terms are neglected
- E.g. on 4-sphere really "staircase"

$$-\bar{\nabla}^2 |i\rangle = \lambda_i^2 |i\rangle$$

D. Benedetti and F. Caravelli, JHEP 1206 (2012) 017.

J. A. Dietz & TRM, JHEP 1301 (2013) 108.



**Figure 2.** Smooth approximations of the staircase function  $\sum_n \theta(1 - \frac{r}{6}n^2) n^4$  (black line). The curves obtained from the replacement (5.11), truncating the sum at  $N_r$  and  $N_r - 1$  are given by the blue (top) and magenta (bottom) curve, respectively. The average of the two approximations resulting from eq. (5.12) gives rise to the red (middle) line.

M. Demmel, F. Saueressig & O. Zanusso, arXiv:1401.5459

## Other typical approximations:

- $k$  dependence of ghosts & auxiliaries neglected
- Mixed  $h_{\alpha\beta}$  and  $g_{\mu\nu}$  terms neglected (single field approximation)

## Exceptions:

- A. Eichhorn, H. Gies & M.M. Schere, Phys. Rev. **D80** (2009) 104003; K. Groh & F. Saueressig, J. Phys. **A43** (2010) 365403; A. Eichhorn & H. Gies, Phys. Rev. **D81** (2010) 104010;  
E. Manrique, M. Reuter, Ann. Phys. 325 (2010) 785;  
E. Manrique, M. Reuter & F. Saueressig, Ann. Phys. 326 (2011) 440 & 463;  
A. Codello, G. D'Odorico & C. Pagani, Phys. Rev. **D89** (2014) 8, 081701  
P. Dona, A. Eichhorn & R. Percacci, Phys. Rev. **D89** (2014) 8, 084035 arXiv:1410.4411  
D. Becker & M. Reuter, Ann. Phys. **350** (2014) 225  
J.A. Dietz & TRM, JHEP **1504** (2015) 118

# Beyond polynomial truncations

E.g.

- Project on four-sphere background ( $R \geq 0$ )
- Effective action:  $\Gamma = \int d^4x \sqrt{g} f(R, t)$
- Get non-linear PDE flow equation for  $f(R, t)$

Fixed points:  $f(R, t) \mapsto f(R)$

$$\begin{aligned}
 & 768\pi^2 (2f - Rf') = \\
 & \left[ 5R^2\theta \left(1 - \frac{R}{3}\right) - \left(12 + 4R - \frac{61}{90}R^2\right) \right] \left[1 - \frac{R}{3}\right]^{-1} + \Sigma \\
 & + \left[ 10R^2\theta \left(1 - \frac{R}{4}\right) - R^2\theta \left(1 + \frac{R}{4}\right) - \left(36 + 6R - \frac{67}{60}R^2\right) \right] \left[1 - \frac{R}{4}\right]^{-1} \\
 & + \left[ (2f' - 2Rf'') \left(10 - 5R - \frac{271}{36}R^2 + \frac{7249}{4536}R^3\right) + f' \left(60 - 20R - \frac{271}{18}R^2\right) \right] \left[f + f' \left(1 - \frac{R}{3}\right)\right]^{-1} \\
 & + \frac{5R^2}{2} \left[ (2f' - 2Rf'') \left\{ r\left(-\frac{R}{3}\right) + 2r\left(-\frac{R}{6}\right) \right\} + 2f'\theta \left(1 + \frac{R}{3}\right) + 4f'\theta \left(1 + \frac{R}{6}\right) \right] \left[f + f' \left(1 - \frac{R}{3}\right)\right]^{-1} \\
 & + \left[ (2f' - 2Rf'')f' \left(6 + 3R + \frac{29}{60}R^2 + \frac{37}{1512}R^3\right) \right. \\
 & \quad \left. - 2Rf''' \left(27 - \frac{91}{20}R^2 - \frac{29}{30}R^3 - \frac{181}{3360}R^4\right) \right] \\
 & + f'' \left(216 - \frac{91}{5}R^2 - \frac{29}{15}R^3\right) + f' \left(36 + 12R + \frac{29}{30}R^2\right) \left[ 2f + 3f' \left(1 - \frac{2}{3}R\right) + 9f'' \left(1 - \frac{R}{3}\right)^2 \right]^{-1},
 \end{aligned}$$

$$r(z) = (1 - z)\theta(1 - z) \quad \Sigma = 10R^2\theta(1 - R/3)$$

P. F. Machado & F. Saueressig, Phys. Rev. D 77 (2008) 124045

A. Codello, R. Percacci & C. Rahmede, Annals Phys. 324 (2009) 414

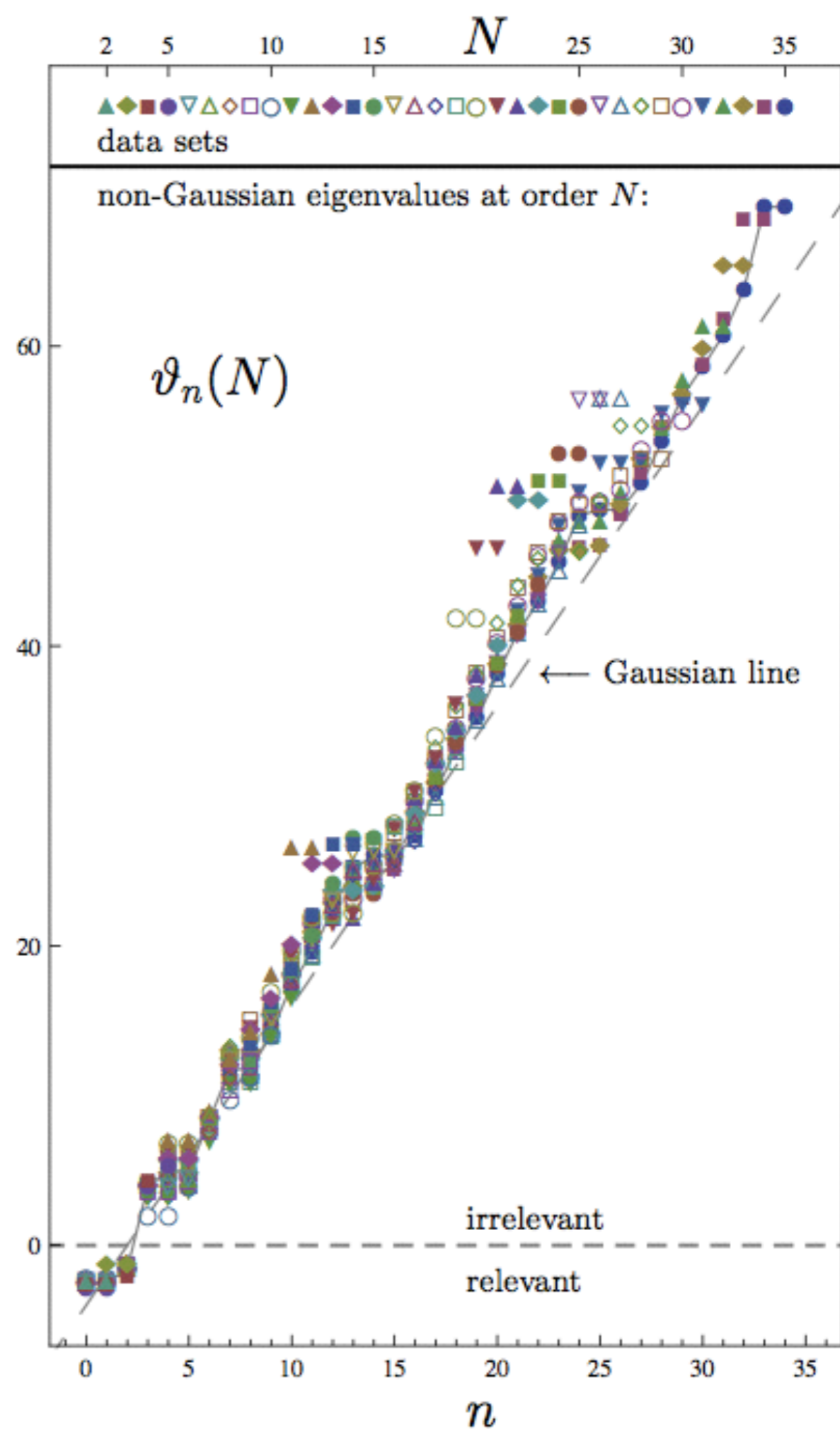
Figure 1: The complete sets of eigenvalues at the ultraviolet fixed point (8) for all  $N$ , sorted by magnitude. The results at the highest order ( $N = 35$ ) are linked by a line to guide the eye. The long dashed line indicates Gaussian scaling. The inset (upper panel) relates the data sets at approximation order  $N$  with the symbols used in the lower panel.

K. Falls, D.F. Litim, K. Nikolakopoulos &  
C. Rahmede, arXiv:1301.4191

Polynomial truncations of these  
equations don't see

$$\bar{R} \sim O(1)$$

effects



# Fixed singularities and parameter space

Suppose we have normal form:  $f'''(R) = \frac{F(f, f', f'', R)}{R}$

with fixed singularity at  $R=0$ .

Substitute:  $f(R) = a_0 + a_1 R + \frac{1}{2} a_2 R^2 + \dots$

$\Rightarrow$  regular in  $R = \frac{u(a_0, a_1, a_2)}{R} + \text{regular in } R$

$u(a_0, a_1, a_2)$  is non-trivial constraint on parameters  $a_0, a_1, a_2$

Fixed points:  $f(R, t) \mapsto f(R)$

$$\begin{aligned}
 & 768\pi^2 (2f - Rf') = \\
 & \left[ 5R^2\theta \left(1 - \frac{R}{3}\right) - \left(12 + 4R - \frac{61}{90}R^2\right) \right] \left[1 - \frac{R}{3}\right]^{-1} + \Sigma \\
 & + \left[ 10R^2\theta \left(1 - \frac{R}{4}\right) - R^2\theta \left(1 + \frac{R}{4}\right) - \left(36 + 6R - \frac{67}{60}R^2\right) \right] \left[1 - \frac{R}{4}\right]^{-1} \\
 & + \left[ (2f' - 2Rf'') \left(10 - 5R - \frac{271}{36}R^2 + \frac{7249}{4536}R^3\right) + f' \left(60 - 20R - \frac{271}{18}R^2\right) \right] \left[f + f' \left(1 - \frac{R}{3}\right)\right]^{-1} \\
 & + \frac{5R^2}{2} \left[ (2f' - 2Rf'') \left\{ r\left(-\frac{R}{3}\right) + 2r\left(-\frac{R}{6}\right) \right\} + 2f'\theta \left(1 + \frac{R}{3}\right) + 4f'\theta \left(1 + \frac{R}{6}\right) \right] \left[f + f' \left(1 - \frac{R}{3}\right)\right]^{-1} \\
 & + \left[ (2f' - 2Rf'')f' \left(6 + 3R + \frac{29}{60}R^2 + \frac{37}{1512}R^3\right) \right. \\
 & \quad \left. - 2Rf''' \left(27 - \frac{91}{20}R^2 - \frac{29}{30}R^3 - \frac{181}{3360}R^4\right) \right] \\
 & + f'' \left(216 - \frac{91}{5}R^2 - \frac{29}{15}R^3\right) + f' \left(36 + 12R + \frac{29}{30}R^2\right) \left[ 2f + 3f' \left(1 - \frac{2}{3}R\right) + 9f'' \left(1 - \frac{R}{3}\right)^2 \right]^{-1},
 \end{aligned}$$

$R = 0, 2.0065$

$r(z) = (1 - z)\theta(1 - z) \quad \Sigma = 10R^2\theta(1 - R/3)$

Parameter counting => no global solutions



D. Benedetti and F. Caravelli, JHEP 1206 (2012) 017; D. Benedetti, New J. Phys. 14 (2012) 015005

$$768\pi^2 (2f - Rf') = \frac{40(Rf'' - 4f')}{(R-2)f' - 2f} - 48 - 5R^2$$

$$+ \frac{R(R^4 - 54R^2 - 54)f''' - (R^3 + 18R^2 + 12)(Rf'' - f') + 18(R^2 + 2)(f' + 6f'')}{9f'' - (R-3)f' + 2f}$$

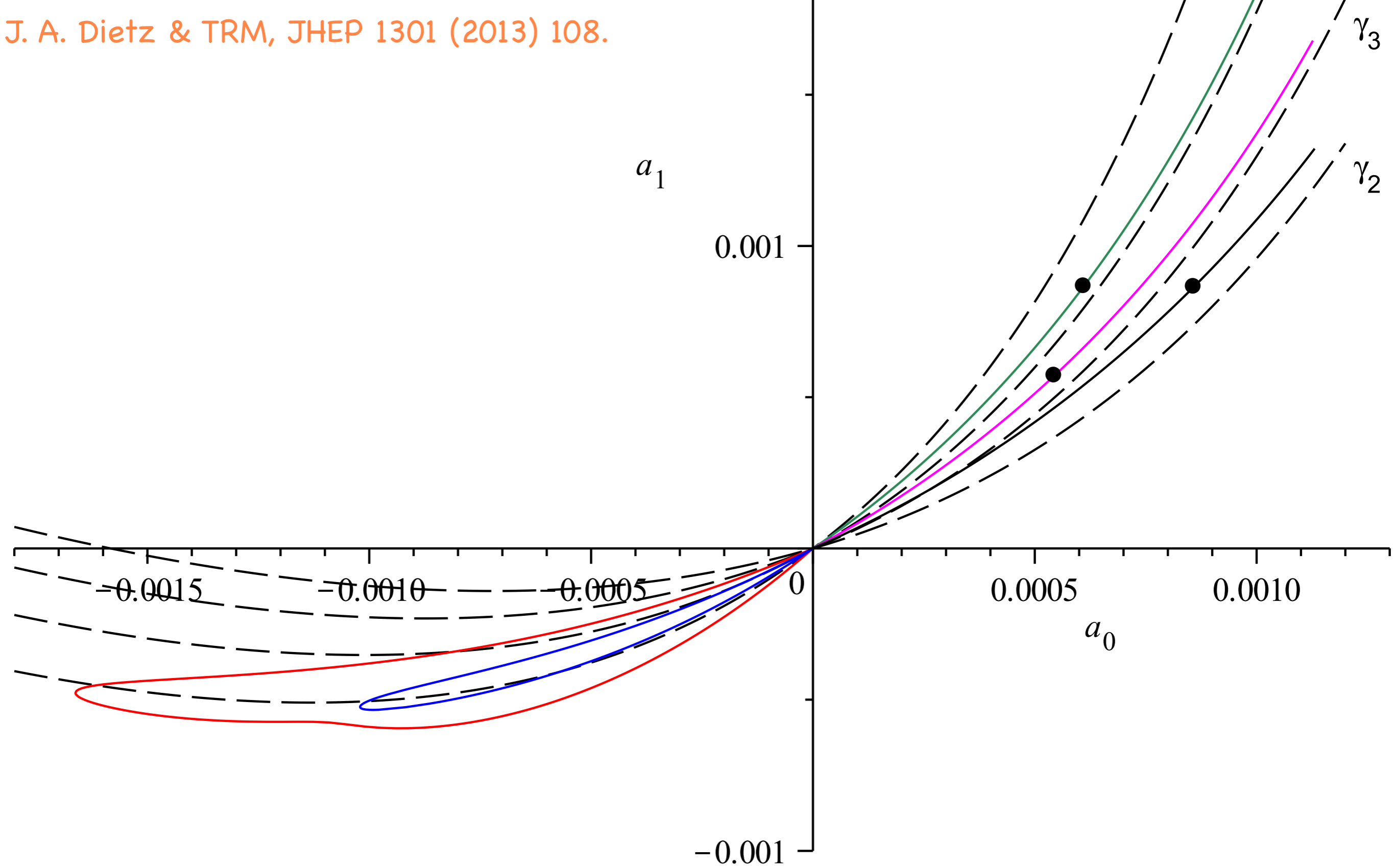
$R = 0, 7.4150$

$$f(R) = AR^2 + R \left\{ \frac{3}{2}A + B \cos \ln R^2 + C \sin \ln R^2 \right\} + O(1)$$

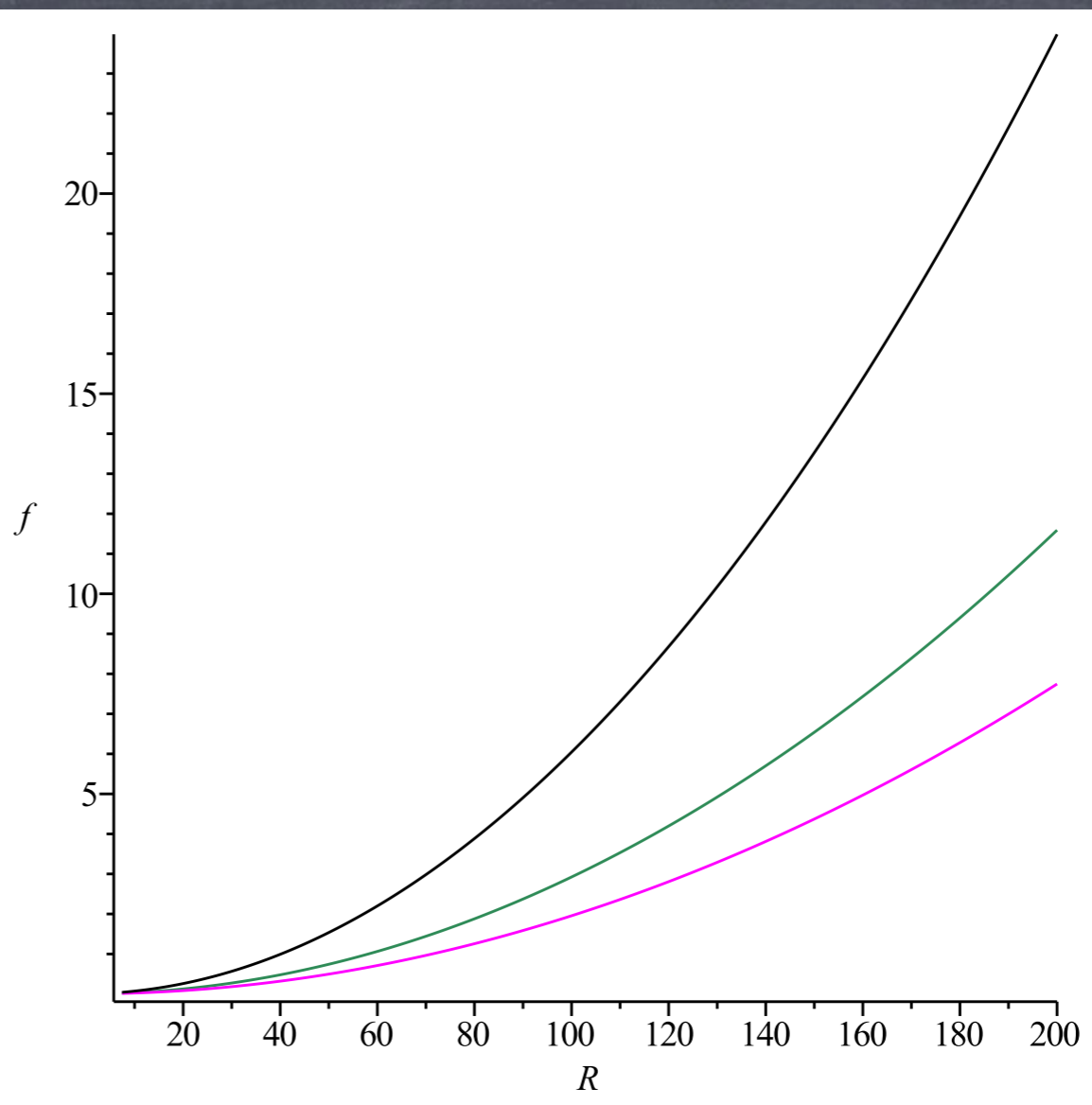
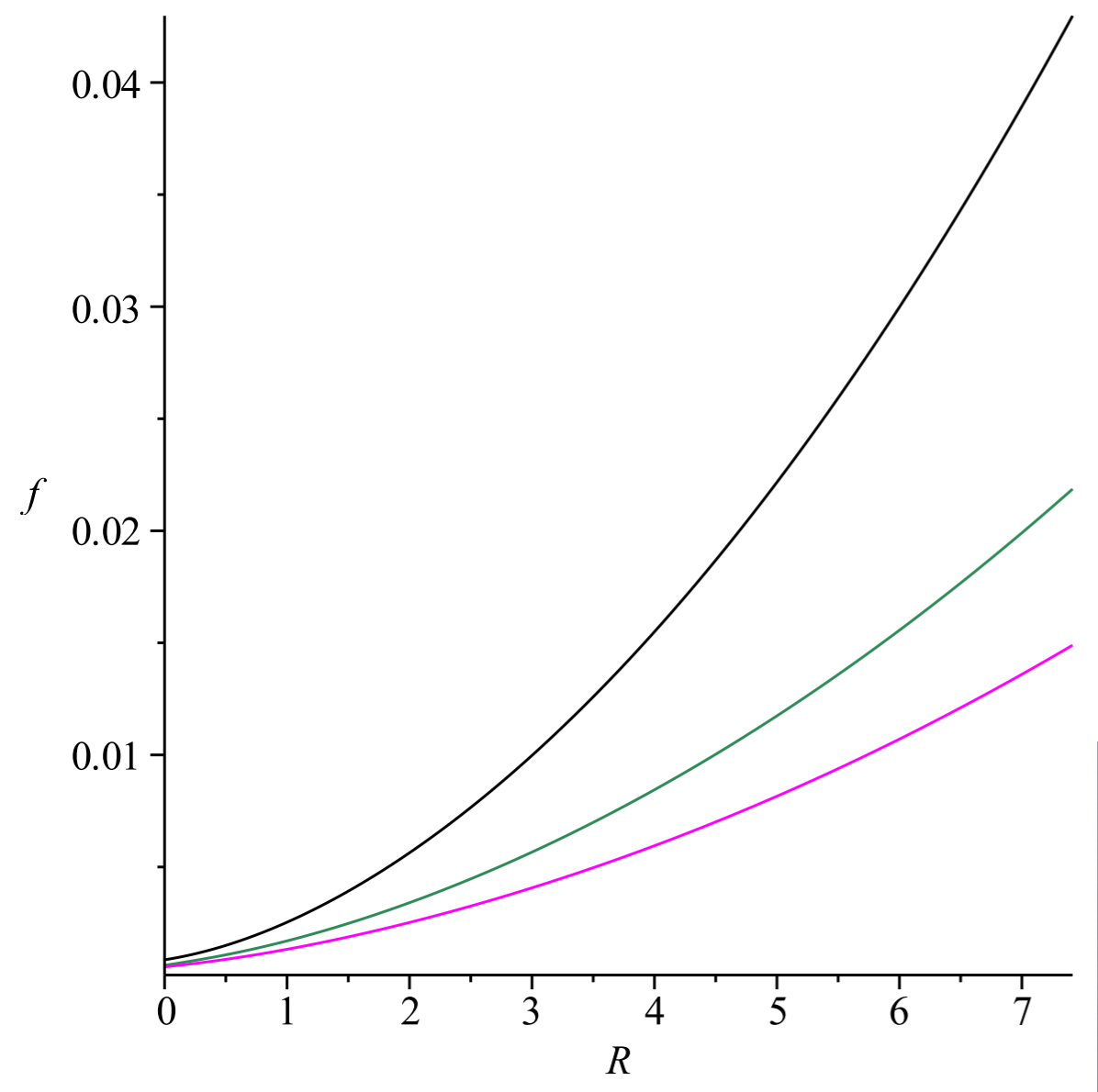
$$\frac{121}{20}A^2 > B^2 + C^2$$

Parameter counting => lines of fixed points

J. A. Dietz & TRM, JHEP 1301 (2013) 108.



$$f(R) = a_0 + a_1 R + \frac{1}{2} a_2(a_0, a_1) R^2 + \dots$$



Continuous eigenspectrum!

J. A. Dietz & T.R. Morris, JHEP 1301 (2013) 108.

M. Demmel, F. Saueressig & O. Zanusso, arXiv:1401.5459

## Extend to $-\infty < R < \infty$

D. Benedetti, Europhys. Lett. 102 (2013) 20007;

T.R. Morris, B495 (1997) 477.

$$768\pi^2 (2f - Rf') = \frac{40(Rf'' - 4f')}{(R-2)f' - 2f} - 48 - 5R^2$$

$$+ \frac{R(R^4 - 54R^2 - 54)f''' - (R^3 + 18R^2 + 12)(Rf'' - f') + 18(R^2 + 2)(f' + 6f'')}{9f'' - (R-3)f' + 2f}.$$

$R = 0, \pm 7.4150$

$$f(R) = AR^2 + R \left\{ \frac{3}{2}A + B \cos \ln R^2 + C \sin \ln R^2 \right\} + O(1)$$

**No global solutions!**

$$\frac{121}{20}A^2 > B^2 + C^2$$

Parameter counting  $\Rightarrow$  discrete set of fixed points

Quantised Eigenoperator spectrum

# Break-down of $f(R)$ approximation

FP action  $\Gamma = \int d^4x \sqrt{g} f(R)$

J.A. Dietz & T.R. Morris, JHEP 07 (2013) 064

Eigenoperator  $\int d^4x \sqrt{g} v(R)$

Wegner, J. Phys. C7 (1974) 2098.

$$g_{\mu\nu}(x) \mapsto g_{\mu\nu}(x) + \varepsilon F_{\mu\nu}[g](x)$$

Eigenoperator redundant if of the form:

$$\int d^d x \sqrt{g} F_{\mu\nu} \left\{ \frac{1}{2} g^{\mu\nu} f - R^{\mu\nu} f' + \cancel{\nabla^\mu \nabla^\nu f'} - \cancel{g^{\mu\nu} \square f'} \right\}.$$

$$F_{\mu\nu} = \zeta(R) g_{\mu\nu} \implies v(R) = \zeta(R) \{2f(R) - Rf'(R)\}.$$

Does not vanish for any  $R \geq 0 \implies$  entire space is redundant!

Split into background + fluctuation:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

$$Z \sim \int \mathcal{D}h e^{-S[\bar{g}+h]}$$

Intuitively results should be background independent

# Scalar field theory @ LPA

I.H. Bridle, J. Dietz & TRM, JHEP 03 (2014) 093

$$\Gamma[\phi] = \int d^d x \left\{ \frac{1}{2} (\partial_\mu \phi)^2 + V(\phi) \right\}$$

$$\phi = \bar{\varphi} + \varphi$$

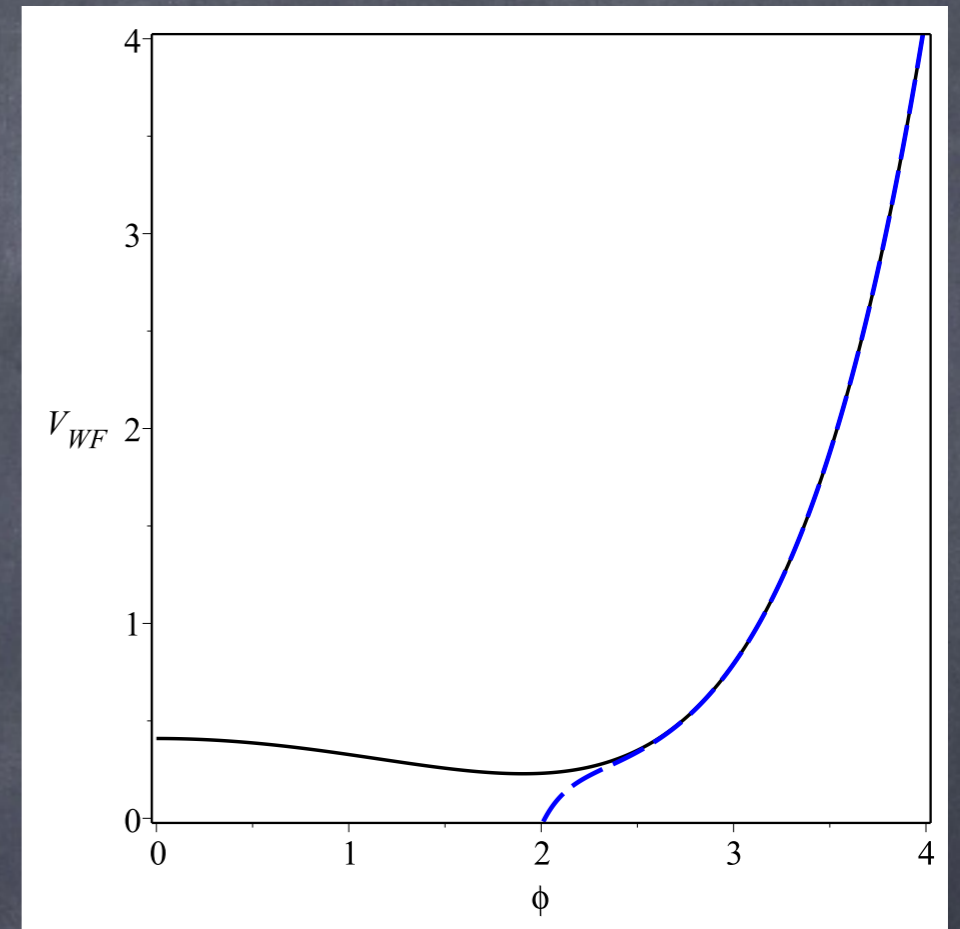
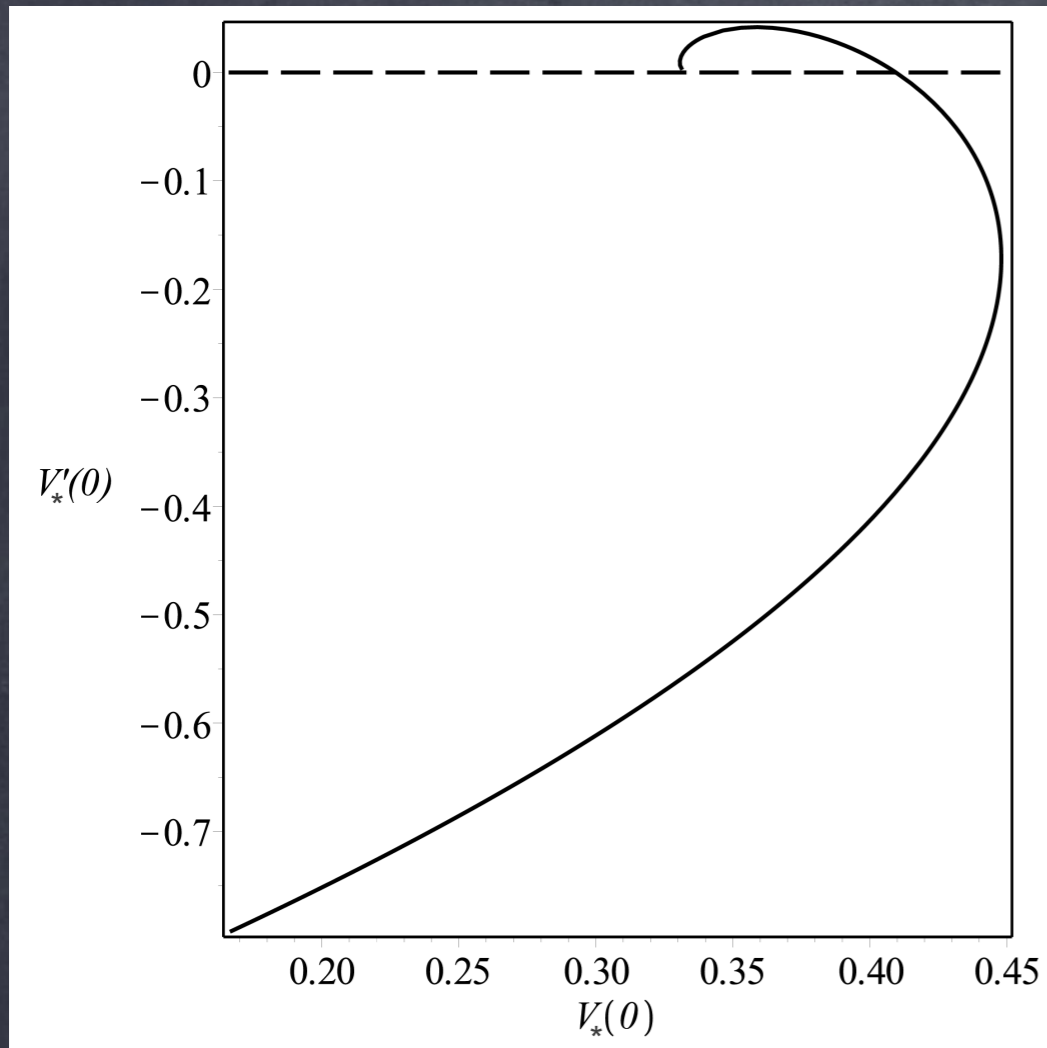
$$\mathcal{R}(-\partial^2, \bar{\varphi}(x)) = (k^2 + \partial^2 - h(\bar{\varphi})) \theta(k^2 + \partial^2 - h(\bar{\varphi}))$$

**Single field approximation:**

$$\partial_t V - \frac{1}{2}(d-2)\phi V' + dV = \frac{(1-h)^{d/2}}{1-h+V''} \left( 1-h - \frac{1}{2}\partial_t h + \frac{1}{4}(d-2)\phi h' \right) \theta(1-h)$$

**$\Rightarrow$  pathologies**

$h=0$  ( $d=3$ ):

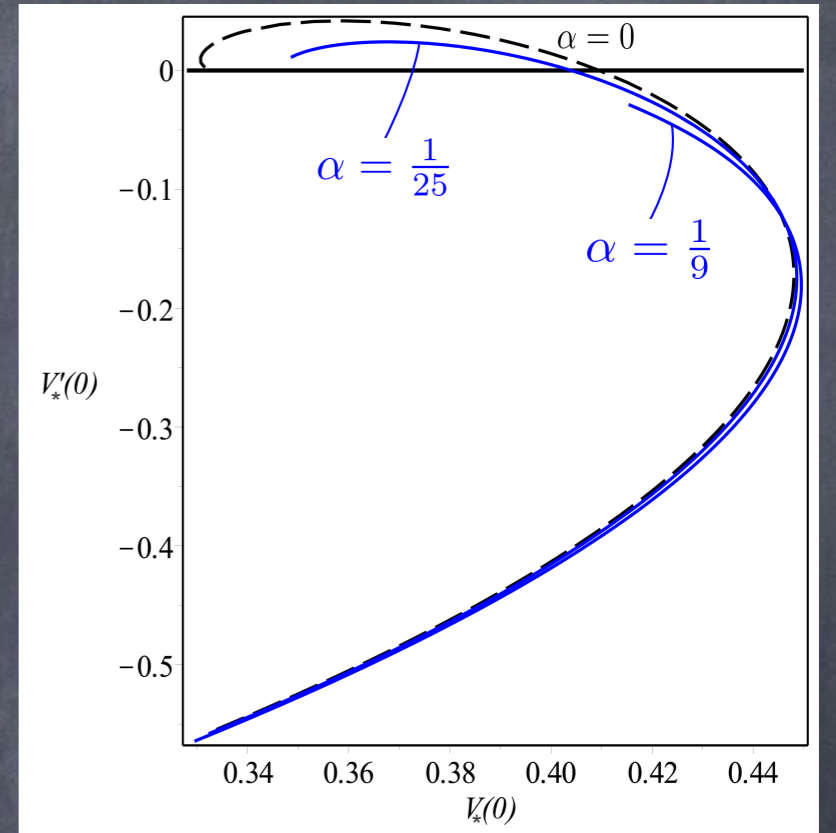
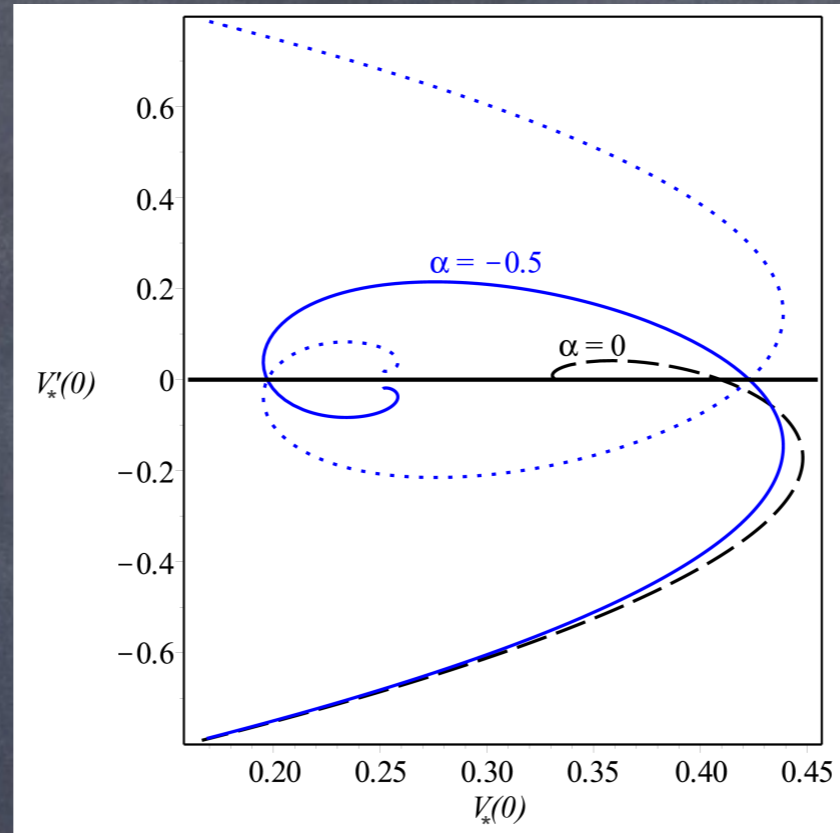
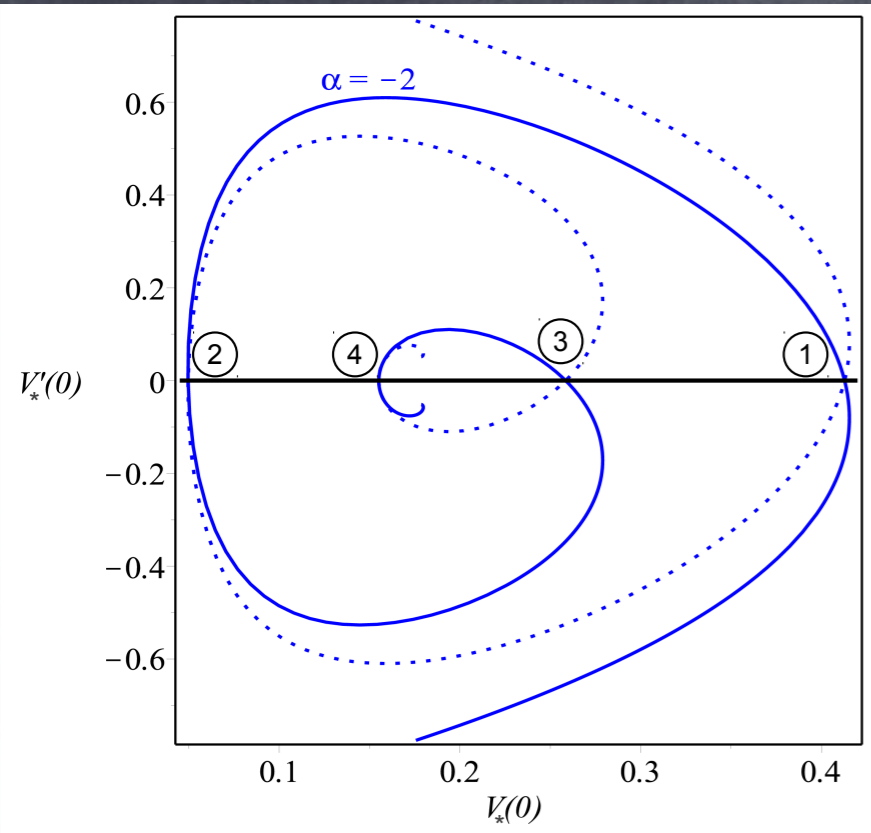


$$\partial_t V + dV - \frac{1}{2}(d-2)\varphi\partial_\varphi V = \frac{1}{1 + \partial_\varphi^2 V}$$

Fixed points:  $\{V_*(0), \pm V'_*(0)\}$



$$h = \alpha \bar{\phi}^2 \quad (d=3):$$



Fixed points:  $\{V_*(0), \pm V'_*(0)\}$

Keep both fields & impose Ward Identity:

$$\phi = \varphi + \bar{\varphi} \quad \bar{\varphi} \mapsto \bar{\varphi} + \varepsilon(x) \quad \text{and} \quad \varphi \mapsto \varphi - \varepsilon(x)$$

$$\frac{\delta\Gamma}{\delta\bar{\varphi}_a} - \frac{\delta\Gamma}{\delta\varphi_a} = \frac{1}{2} \text{Tr} \left[ \left( \mathcal{R} + \frac{\delta^2\Gamma}{\delta\varphi\delta\varphi} \right)^{-1} \frac{\delta\mathcal{R}}{\delta\bar{\varphi}_a} \right].$$

Reuter, Wetterich, Litim, Pawłowski, E. Manrique, M. Reuter, Ann. Phys. 325 (2010) 785; E. Manrique, M. Reuter, F. Saueressig, Ann. Phys. 326 (2011) 440 & 463; D. Becker & M. Reuter, arXiv:1404.4367

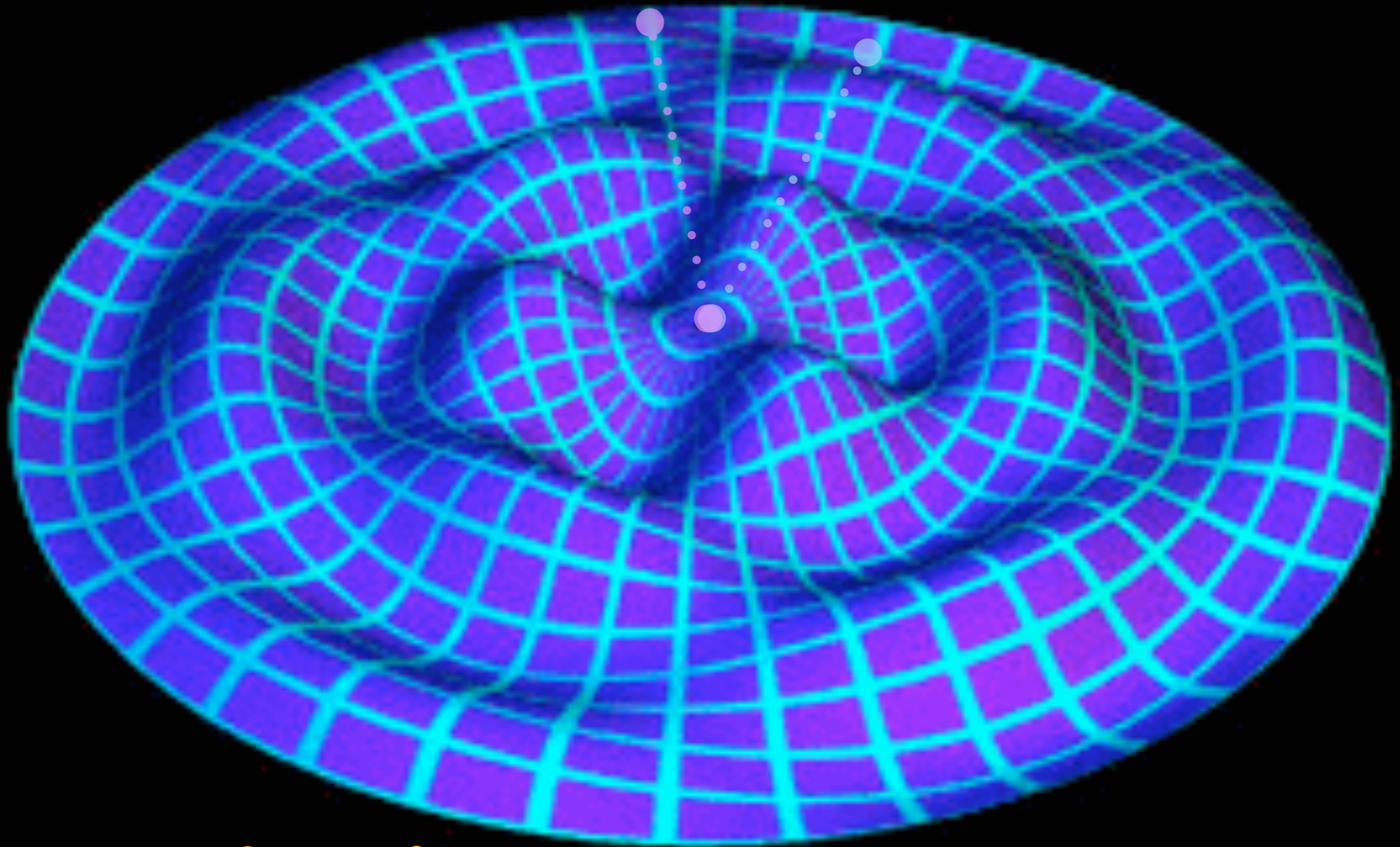
I.H. Bridle, J. Dietz & TRM, JHEP 03 (2014) 093

$$V = (1 - h)^{d/2} \hat{V}, \quad \varphi = (1 - h)^{\frac{d-2}{4}} \hat{\varphi} - \bar{\varphi}, \quad t = \hat{t} - \ln \sqrt{1 - h}$$

$$\partial_{\hat{t}} \hat{V} + d \hat{V} - \frac{1}{2} (d - 2) \hat{\varphi} \partial_{\hat{\varphi}} \hat{V} = \frac{1}{1 + \partial_{\hat{\varphi}}^2 \hat{V}}$$

**⇒ implements background independence!**

What does scale  $1/k$  mean?



Conformal field theory? How to separate scales?  
What does scale  $1/k$  mean? What is long wavelength?  
How to form a continuum limit?

Use background field method:

$$\tilde{g}_{\mu\nu} = \bar{g}_{\mu\nu} + \tilde{h}_{\mu\nu}$$

cutoff:

$$R_k \sim r \left( -\frac{\bar{\nabla}^2}{k^2} \right)$$

- Now the scale  $k$  is defined through  $\bar{g}_{\mu\nu}$  so the notion depends on the choice of background
- The RG itself depends on both  $g_{\mu\nu}$  and  $\bar{g}_{\mu\nu}$  so also is inherently background dependent, and necessarily bi-metric
- Is there a background independent notion of scale  $k$ ?

# Conformally reduced gravity

$$g_{\mu\nu} = f(\phi) \delta_{\mu\nu} \quad \text{and} \quad \bar{g}_{\mu\nu} = f(\chi) \delta_{\mu\nu}$$

- Remnant diffeomorphism invariance ...

$$x^\mu \mapsto x^\mu / \lambda, \quad f(\chi) \mapsto \lambda^2 f(\chi)$$

$$\Gamma_k[\varphi, \chi] = \int d^d x \sqrt{\bar{g}} \left( -\frac{1}{2} K(\varphi, \chi) \bar{g}^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi + V(\varphi, \chi) \right)$$

J.A. Dietz & TRM, JHEP 1504 (2015) 118



# Background Independent flow equations

$$\partial_{\hat{t}} \hat{V} + d\hat{V} - \frac{\eta}{2} \hat{\phi} \hat{V}' = - (d - \eta + 2n) \int_0^\infty d\hat{p} \hat{p}^{d-1} \hat{Q}_0 r(\hat{p}^2)$$

• No  $\chi$ :  $\hat{V} \equiv \hat{V}_{\hat{k}}(\hat{\phi})$ ,  $\hat{K} \equiv \hat{K}_{\hat{k}}(\hat{\phi})$ !

$$\partial_{\hat{t}} \hat{K} + (d - 2 - \eta) \hat{K} - \frac{\eta}{2} \hat{\phi} \hat{K}' = -2(d - \eta + 2n) \int_0^\infty d\hat{p} \hat{p}^{d-1} \hat{P} r(\hat{p}^2)$$

• No  $f$  !  $\hat{t} = \ln(\hat{k}/\mu)$

$$\begin{aligned} \hat{P} = & -\frac{1}{2} \hat{K}''' \hat{Q}_0^2 + \hat{K}' \left( 2\hat{V}'''' - \frac{2d+1}{d} \hat{K}' \hat{p}^2 \right) \hat{Q}_0^3 \\ & + \left[ \left\{ \frac{4+d}{d} \hat{K}' \hat{p}^2 - \hat{V}'''' \right\} \left( r'(\hat{p}^2) + \hat{K} \right) + \frac{2}{d} \hat{p}^2 r''(\hat{p}^2) \left( \hat{K}' \hat{p}^2 - \hat{V}'''' \right) \right] \left( \hat{V}'''' - \hat{K}' \hat{p}^2 \right) \hat{Q}_0^4 \\ & - \frac{4}{d} \hat{p}^2 \left( r'(\hat{p}^2) + \hat{K} \right)^2 \left( \hat{V}'''' - \hat{K}' \hat{p}^2 \right)^2 \hat{Q}_0^5 \end{aligned} \quad \hat{Q}_0 = \frac{1}{\hat{V}'' - \hat{K} \hat{p}^2 - r(\hat{p}^2)}$$

# Bimetric truncations:

$$g_{\mu\nu} = \bar{g}_{\mu\nu} + h_{\mu\nu}$$

**Matter matters:** keeping separately the wavefn renormalisation of  $h_{\mu\nu}$  and all other fields in an EH truncation

P. Dona, A. Eichhorn & R. Percacci, Phys. Rev. D **89** (2014) 8, 084035 arXiv:1410.4411

TABLE IV: Fixed-point values, critical exponents and anomalous graviton dimension for specific matter content.

model	$N_S$	$N_D$	$N_V$	$\tilde{G}_*$	$\tilde{\Lambda}_*$	$\theta_1$	$\theta_2$	$\eta_h$
no matter	0	0	0	0.77	0.01	3.30	1.95	0.27
SM	4	45/2	12	1.76	-2.40	3.96	1.64	2.98
SM +dm scalar	5	45/2	12	1.87	-2.50	3.96	1.63	3.15
SM+ 3 $\nu$ 's	4	24	12	2.15	-3.20	3.97	1.65	3.71
SM+3 $\nu$ 's + axion+dm	6	24	12	2.50	-3.62	3.96	1.63	4.28
MSSM	49	61/2	12	-	-	-	-	-
SU(5) GUT	124	24	24	-	-	-	-	-
SO(10) GUT	97	24	45	-	-	-	-	-



# Conclusions

- Significant for black holes, cosmology & BSM
- Increasing sophistication... general importance for QG
- Going beyond polynomial truncations to explore  $\bar{R} \sim O(1)$
- Incorporating background independence
- New effects become visible in this regime & much more sensitive to issues with approximations.
- Unitarity? conformal factor problem? Background independence? break-down of Wilsonian RG...?
- Huge body of supporting evidence for asymptotic safety in various truncations/approximations, but there are still many dangers...!