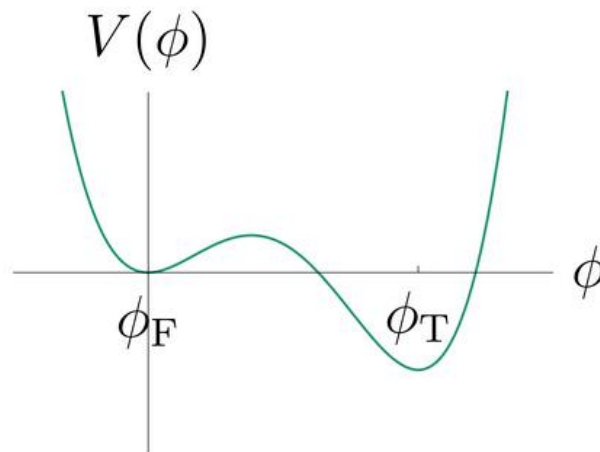


Self-consistent Bounce in False Vacuum Decay

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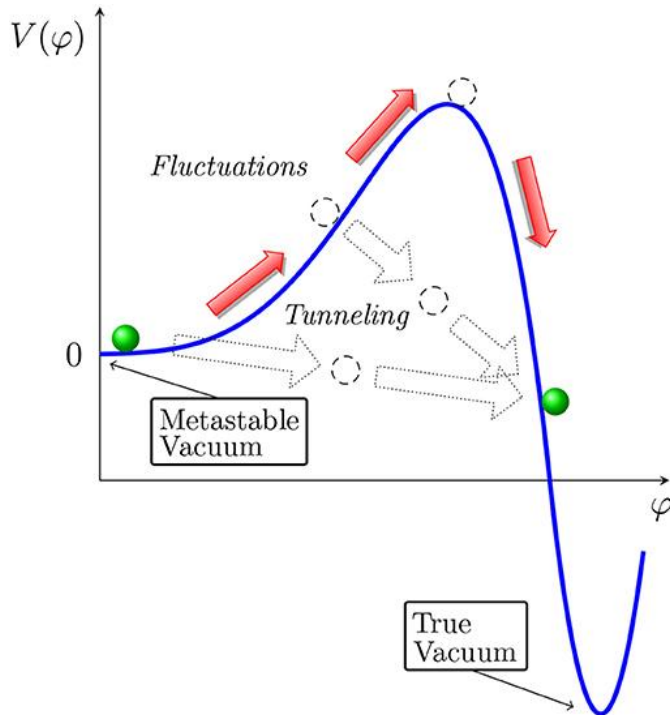
Outline

- A brief introduction to FOPT
- Bounce formalism on FVD
- 1PI effective action and quantum-corrected bounce
(main part of the talk)
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FOPTs

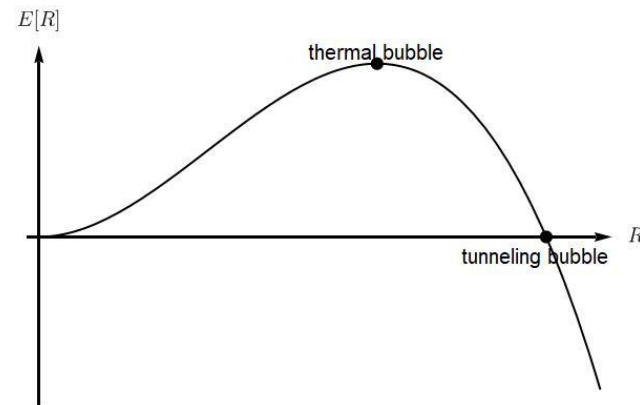


[Markkanen, Rajantie and Stoprya 2018]

Bubble nucleation caused by

1. **Quantum fluctuations**: energy is conserved in the scalar field sector, O(4) bounce
2. **Thermal fluctuations**: energy not conserved, O(3) bounce, backreactions to the plasma is supposed to exist

Thin-wall picture:



Why interesting?

Theory

- ▣ Real-time picture (Langevin vs Picard-Lefschetz)
- ▣ Precision calculation of bubble nucleation
- ▣ BH-seeded bubble nucleation (Hartle-Hawking vs Unruh)
- ▣ Perturbative vs non-perturbative (Resurgence)
- ▣ Bubble wall dynamics (at finite T)
- ▣ Higgs instability
- ▣ ...

Phenomenology

- ▣ Gravitational waves production
- ▣ Baryogenesis/Leptogenesis
- ▣ Dark Matter production
- ▣ Magnetic field production
- ▣ PBH formation
- ▣ ...

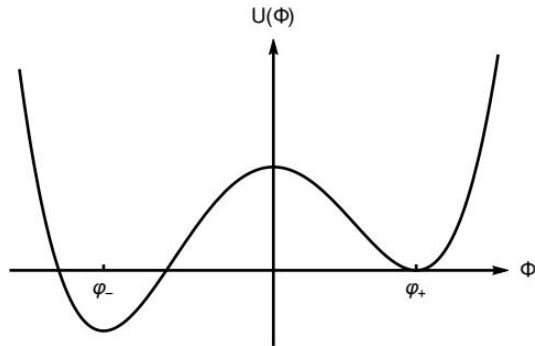
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Bounce formalism

Central idea: [Callan & Coleman, 1977]

False Vacuum $\longrightarrow$ Unstable $\longrightarrow$ Complex Energy



$$E_0 = \text{Re}E_0 + i\text{Im}E_0$$

Decay rate: $\gamma = -2\text{Im}E_0$

Consider the Euclidean transition amplitude $\langle \varphi_+ | e^{-H\mathcal{T}} | \varphi_+ \rangle$. Insert a complete basis of energy eigenstates

$$\langle \varphi_+ | e^{-H\mathcal{T}} | \varphi_+ \rangle = \sum_n e^{-E_n \mathcal{T}} |\langle \varphi_+ | n \rangle|^2$$

Taking $\mathcal{T} \rightarrow +\infty$,

$$\left. \begin{aligned} &\langle \varphi_+ | e^{-H\mathcal{T}} | \varphi_+ \rangle \xrightarrow{\mathcal{T} \rightarrow +\infty} e^{-E_0 \mathcal{T}} |\langle \varphi_+ | 0 \rangle|^2 \\ &\langle \varphi_+ | e^{-H\mathcal{T}} | \varphi_+ \rangle = \int \mathcal{D}\Phi e^{-S_E[\Phi]} \equiv Z^E \end{aligned} \right\} \Rightarrow \gamma = -2\text{Im}E_0 = \lim_{\mathcal{T} \rightarrow +\infty} \frac{2}{\mathcal{T}} \text{Im}(\ln Z^E)$$

Calculating the partition function

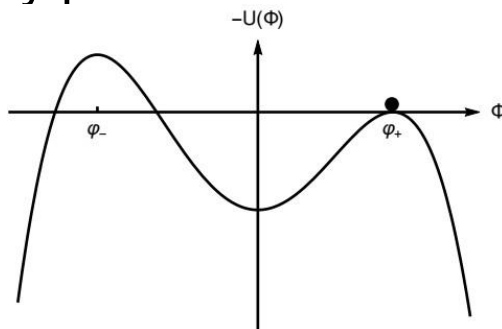
Method of steepest descent:

1. Find stationary points: $\delta S_E|_{\varphi_a} = 0$

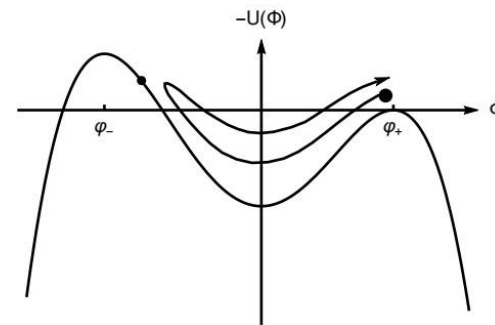
2. Expand about the stationary points: $\Phi = \varphi_a + \Delta\Phi_a$

$$\int \mathcal{D}\Phi e^{-S_E[\Phi]} = \sum_a e^{-S_E[\varphi_a]} \int \mathcal{D}\Delta\Phi_a e^{-\frac{1}{2}\Delta\Phi_a \left(\frac{\delta^2 S_E[\Phi]}{\delta\Phi^2} \right)_{\varphi_a} \Delta\Phi_a + \dots}$$

In Euclidean space, the potential is upside-down. There are two types of stationary points.



Trivial false vacuum



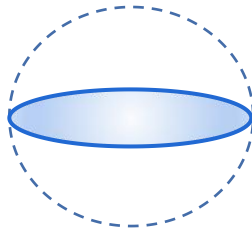
Bounce $\varphi_b^{(0)}$

Callan-Coleman:

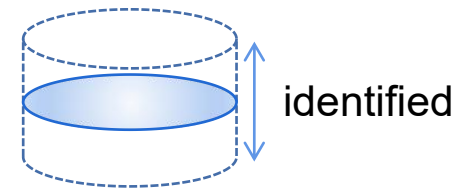
$$\frac{\gamma}{V} = \left(\frac{B^{(0)}}{2\pi} \right)^2 \left| \frac{\det'[-\partial^2 + U''(\varphi_b^{(0)})]}{\det[-\partial^2 + U''(\varphi_+)]} \right|^{-1/2} e^{-B^{(0)}}$$

The critical bubble from the bounce

$O(4)$ bounce gives critical bubble at $\tau = 0$



$O(3)$ bounce is the critical bubble



How to compute the quantum-corrected bounce/critical bubble? Or even further, what if we do not have a bounce solution at the classical level? (e.g., like the standard model!)

Method 1: classical potential to effective potential in the Callan-Coleman formula $\longrightarrow$ double counting $\longrightarrow$ separating the scales $\longrightarrow$ nucleation EFT (more useful for finite-T PTs) [Gould & Hirvonen, 2021]

Method 2: nPI effective action formalism $\longrightarrow$ self-consistent bounce over all scales

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Introduce **an effective** action that gives:
(1) self-consistent bounce EoM;
(2) generalized decay rate formula



Taylor expand the one-loop effective action about the classical bounce → **functional derivative of functional determinants**



Regularisation of one-loop effective action
→ known expressions



Take functional derivative

1PI effective action

With a quantum field theory, we start with the generating functional

$$Z[J] = \int \mathcal{D}\Phi e^{-S[\Phi] + \int d^d x J(x)\Phi(x)}$$

Then, a macroscopic configuration is described by the one-point function

$$\varphi_J(x) = \langle 0 | \Phi(x) | 0 \rangle_J = \frac{\delta \ln Z[J]}{\delta J(x)}$$

Legendre transform: $\Gamma[\varphi_J] = -\ln Z[J] + \int d^d x J(x)\varphi_J(x)$

$$\Rightarrow \frac{\delta \Gamma[\varphi_J]}{\delta \varphi_J(x)} = J(x) \quad \xrightarrow{\text{in the absence of external source}} \quad \frac{\delta \Gamma[\varphi]}{\delta \varphi(x)} = 0$$

quantum bounce EoM, when applied in
real time, quantum bubble wall EoM

With the 1PI effective action, the decay rate can be formulated as

$$\frac{\gamma}{V} = \frac{2 |\operatorname{Im} e^{-(\Gamma[\varphi_b] - \Gamma[\varphi_{\text{FV}}])}|}{V\mathcal{T}}$$

[Garbrecht & Millington, 2015;
Plascencia & Tamarit, 2015]

Quantum corrections to the classical bounce

Truncate at the one-loop, the effective action reads

$$\Gamma^{(1)}[\varphi] = S[\varphi] + \frac{1}{2} \ln \frac{\det \mathcal{M}[\varphi]}{\det \widehat{\mathcal{M}}} \equiv S[\varphi] + \frac{1}{2} D[\varphi]$$

where $\mathcal{M}[\varphi] = -\partial^2 + U''(\varphi)$ and $\widehat{\mathcal{M}} \equiv \mathcal{M}[\varphi_{\text{FV}}]$.

$$\text{EoM: } \left. \frac{\delta S[\varphi]}{\delta \varphi(x)} \right|_{\varphi_b^{(1)}} + \frac{1}{2} \left. \frac{\delta D[\varphi]}{\delta \varphi(x)} \right|_{\varphi_b^{(1)}} = 0$$

Expanding this equation about $\varphi_b^{(0)} \equiv \varphi_b^{(1)} - \Delta\varphi_b$ gives

$$[-\partial^2 + U''(\varphi_b^{(0)})] \Delta\varphi_b + \frac{1}{2} \left. \frac{\delta D[\varphi]}{\delta \varphi(x)} \right|_{\varphi_b^{(0)}} = 0$$

Substituting the same expansion into the effective action, one obtains

$$\Gamma^{(1)}[\varphi_b^{(1)}] = S[\varphi_b^{(0)}] + \frac{1}{2} D[\varphi_b^{(0)}] + \frac{1}{4} \int d^d x \left. \frac{\delta D[\varphi]}{\delta \varphi(x)} \right|_{\varphi_b^{(0)}} \Delta\varphi_b(x)$$

new quantity to compute: functional derivative
of the functional determinant

Making use of O(d) symmetry

With O(d) symmetry, we only need to consider $D[\varphi]$ for O(d)-symmetric configurations.

$$\text{EoM: } \frac{2\pi^{d/2}r^{d-1}}{\Gamma(d/2)} \left[-\frac{d^2}{dr^2} - \frac{d-1}{r} \frac{d}{dr} + U''(\varphi_b^{(0)}) \right] \Delta\varphi_b(r) + \frac{1}{2} \frac{\delta D[\varphi]}{\delta\varphi(r)} \Big|_{\varphi_b^{(0)}} = 0$$

Effective action:

$$\Gamma^{(1)}[\varphi_b^{(1)}] = S[\varphi_b^{(0)}] + \frac{1}{2} D[\varphi_b^{(0)}] + \frac{1}{4} \int dr \frac{\delta D[\varphi]}{\delta\varphi(r)} \Big|_{\varphi_b^{(0)}} \Delta\varphi_b(r)$$

Now, we just need to compute $D[\varphi(r)]$ and its functional derivative, both evaluated at the classical bounce!

divergent, needs renormalisation

- ❑ Method 1: Dunne-Min [Dunne & Min, 2005; Dunne & Kirsten, 2006]
- ❑ Method 2: Baacke-Lavrelashvili [Baacke & Kiselev, 1993; Baacke & Lavrelashvili, 2004]

Gel'fand-Yaglom theorem

For $O(d)$ configurations, (hyper)spherical harmonic decomposition gives

$$D[\varphi] = \sum_{l=0}^{\infty} \deg(l; d) \ln \left(\frac{\det \mathcal{M}_l[\varphi]}{\det \widehat{\mathcal{M}}_l} \right)$$

where

$$\mathcal{M}_l[\varphi] = -\frac{d^2}{dr^2} - \frac{d-1}{r} \frac{d}{dr} + \frac{l(l+d-2)}{r^2} + U''(\varphi(r))$$
$$\widehat{\mathcal{M}}_l = -\frac{d^2}{dr^2} - \frac{d-1}{r} \frac{d}{dr} + \frac{l(l+d-2)}{r^2} + m^2$$

Then (Gel'fand-Yaglom theorem)

$$\frac{\det \mathcal{M}_l[\varphi]}{\det \widehat{\mathcal{M}}_l} = \frac{\psi_l(\infty; \varphi)}{\hat{\psi}_l(\infty)} \equiv T_l(\infty; \varphi)$$

where $\mathcal{M}_l[\varphi]\psi_l(r; \varphi) = 0$, $\widehat{\mathcal{M}}_l\hat{\psi}_l(r) = 0$ (the solutions are required to have the same regular behavior at $r = 0$), equivalently

$$\left[-\frac{d^2}{dr^2} - \left(2m \frac{I_{l+d/2-2}(mr)}{I_{l+d/2-1}(mr)} - \frac{2l+d-3}{r} \right) \frac{d}{dr} + W(\varphi(r)) \right] T_l(r; \varphi) = 0$$

Comparing DM and BL

Formally, we have

Two regularisation approaches

Large- l and WKB: [Ekstedt, Gould & Hirvonen, 2023]

$$\frac{1}{2} \sum_{l=2}^{l_{\max}} \underbrace{\deg(d; l) \left(\log T_l - \log T_l^{(\text{WKB})} \right)}_{\text{finite and converges faster}} + \underbrace{\frac{1}{2} \sum_{l=2}^{\infty} \deg(d; l) \log T_l^{(\text{WKB})} + S_{\text{ct}}}_{\text{finite and known}} + \underbrace{\frac{1}{2} \sum_{l=l_{\max}+1}^{\infty} \deg(d; l) \left(\log T_l - \log T_l^{(\text{WKB})} \right)}_{\approx 0}.$$

Interaction picture: [WA, Alexandre, & Sarkar, 2023]

$$\left(\frac{1}{2} D[\varphi] \right)^{(2)} = \frac{1}{2} \left[\ln \det(-\partial^2 + m^2 + W(\varphi)) - \ln \det(-\partial^2 + m^2) \right]_{\mathcal{O}(W^2)}$$

$$\left(\frac{1}{2} D[\varphi] \right)^{\text{reg}} = \underbrace{\left[\left(\frac{1}{2} D[\varphi] \right) - \left(\frac{1}{2} D[\varphi] \right)^{(2)} \right]}_{\text{numerical part}} + \underbrace{\left[\left(\frac{1}{2} D[\varphi] \right)^{(2)} - \left(\frac{1}{2} D[\varphi] \right)_{\text{pole}} \right]}_{\text{analytical part}}$$

Taking functional derivative of T_l

Start with

$$\mathcal{H}_l[\varphi]T_l(r; \varphi) = 0 \quad (1)$$

Taking functional derivative of this equation gives

$$\mathcal{H}_l[r'; \varphi] \frac{\delta T_l(r'; \varphi)}{\delta \varphi(r)} = - \frac{\delta \mathcal{H}_l[r'; \varphi]}{\delta \varphi(r)} T_l(r'; \varphi) = \delta(r' - r) U'''(\varphi(r')) T_l(r'; \varphi)$$

Then we get

$$\frac{\delta T_l(r'; \varphi)}{\delta \varphi(r)} = \int dr'' G_l(r', r''; \varphi) \delta(r'' - r) U'''(\varphi(r'')) T_l(r''; \varphi) = G_l(r', r; \varphi) U'''(\varphi(r)) T_l(r; \varphi)$$

(B.c.s for the Greens function depends on Eq. (1) above)

$$\Rightarrow \boxed{\frac{\delta T_l(\infty; \varphi)}{\delta \varphi(r)} = G_l(\infty, r; \varphi) U'''(\varphi(r)) T_l(r; \varphi)} \quad [\text{WA, Alexandre, \& Sarkar, 2023}]$$

The same procedure applies for calculating the function derivative of

$$h_l^{(1)} \quad \text{and} \quad h_l^{(2)}$$

in the Baacke-Lavrelashvili formula

Back to the Dunne-Min formula

$$\begin{aligned} \frac{1}{2}(D[\varphi])_{\text{DM}}^{\text{reg}} = & \frac{1}{2} \sum_{l=0}^{\infty} (l+1)^2 \left\{ \ln T_l(\infty; \varphi) - \frac{\int_0^{\infty} dr r W(\varphi)}{2(l+1)} + \frac{\int_0^{\infty} dr r^3 W(\varphi)(W(\varphi) + 2m^2)}{8(l+1)^3} \right\} \\ & - \frac{1}{16} \int_0^{\infty} dr r^3 W(\varphi) (W(\varphi) + 2m^2) \left[\log\left(\frac{\mu r}{2}\right) + \gamma_E + 1 \right] \end{aligned}$$

We can show that

$$\left. \frac{\delta T_l(\infty; \varphi)}{\delta \varphi(r)} \right|_{r=\infty} = G_l(\infty, \infty; \varphi) U'''(\varphi(r)) T_l(r; \varphi) = 0$$

↑

$$G(r, r') = \theta(r - r') \left[-\frac{f_1(r) f_2(r')}{\mathcal{W}[f_1(r'), f_2(r')]} + \frac{f_1(r') f_2(r)}{\mathcal{W}[f_1(r'), f_2(r')]} \right]$$

But taking the functional derivative of other terms inside the sum does not give a vanishing result (recall $W(\varphi) = U''(\varphi) - m^2$) !

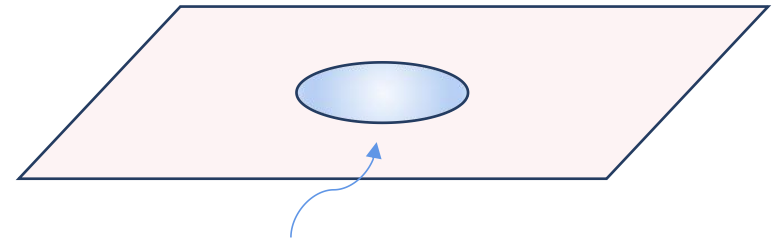
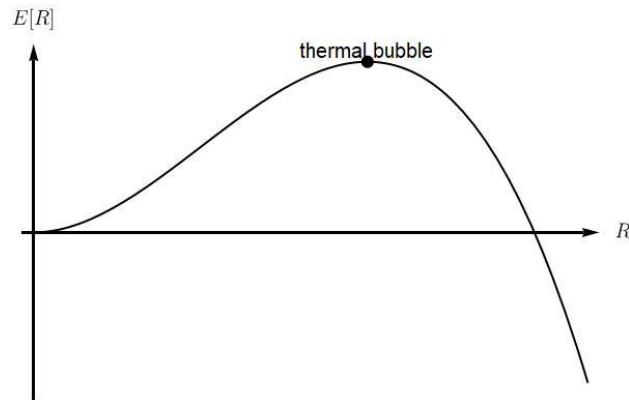
A summary of this “section”

- We show how to compute the functional derivative of a functional determinant efficiently, using either the Dunne-Min and Baacke-Lavrelashvili formulae
- Need to compute several Green's functions for each l
- The functional derivative of the Dunne-Min formula is divergent and cutoff-dependent, meaning that this formula is valid only “on-shell”
- The Baacke-Lavrelashvili formula is FINE

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Back-reaction to the plasma



The total energy of the bubble configuration is not zero

- ❑ Energy is not conserved in canonical ensemble!
- ❑ But still, is any way to study back-reactions from the bubble to the plasma?

Bubble: one-point function

Plasma/particles: two-point functions



Need to construct coupled EoMs between one and two-point functions

2PI effective action formalism

Perturbation calculations of bubble nucleation rates suffers from many uncertainties! [Croon, Gould, Schicho, Tenkanen & White, 2020]

Introduce local and non-local sources

$$Z_{\text{eff}}[J, K] = \int \mathcal{D}\Phi \exp \left\{ -S_{\text{eff}}[\Phi] + \int d^3x J(x)\Phi(x) + \frac{1}{2} \int d^3x_1 d^3x_2 K(x_1, x_2)\Phi(x_1)\Phi(x_2) \right\}$$

From it, one has

$$\varphi(x) \equiv \langle \Phi(x) \rangle = \frac{\delta \ln Z_{\text{eff}}[J, K]}{\delta J(x)}$$

$$\Delta_\phi(x_1, x_2) \equiv \langle \Phi(x_1)\Phi(x_2) \rangle_c = 2 \frac{\delta \ln Z_{\text{eff}}[J, K]}{\delta K(x_1, x_2)} - \varphi(x_1)\varphi(x_2)$$

Legendre transform:

$$\Gamma_{2\text{PI}}[\varphi, \Delta_\phi] = -\ln Z_{\text{eff}}[J, K] + \int d^3x J(x)\varphi(x) + \frac{1}{2} \iint d^3x_1 d^3x_2 K(x_1, x_2) [\Delta_\phi(x_1, x_2) + \varphi(x_1)\varphi(x_2)]$$

$$\Rightarrow \begin{cases} \frac{\delta \Gamma_{2\text{PI}}[\varphi, \Delta_\phi]}{\delta \varphi(x)} = J(x) \\ \frac{\delta \Gamma_{2\text{PI}}[\varphi, \Delta_\phi]}{\delta \Delta_\phi(x_1, x_2)} = \frac{1}{2} K(x_1, x_2) \end{cases} \quad \Rightarrow \quad \begin{cases} \frac{\delta \Gamma_{2\text{PI}}[\varphi, \Delta_\phi]}{\delta \varphi(x)} = 0 \\ \frac{\delta \Gamma_{2\text{PI}}[\varphi, \Delta_\phi]}{\delta \Delta_\phi(x_1, x_2)} = 0 \end{cases}$$

In the absence of
external sources

2PI effective action formalism

The 2PI effective action reads

$$\Gamma_{2\text{PI}}[\varphi, \Delta_\phi] = S_{\text{eff}}[\varphi] + \frac{1}{2} \text{Tr} \ln \Delta_\phi^{-1} + \frac{1}{2} \text{Tr} (\mathcal{M}[\varphi] \Delta_\phi) + \underbrace{\Gamma_2[\varphi, \Delta_\phi]}_{\text{represented by 2PI diagrams}}$$

Together with a localization procedure, one might arrive at coupled scalar EoM and Boltzmann equations [WA, Beniwal, Maggi & Marsh, 2023]

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Summary

- False vacuum decay can be formulated in terms of the 1PI effective action
- We show how efficiently compute self-consistent bounce, and related quantum corrections to the decay rate using existing formulae
- The Dunne-Min formula may be valid only “on-shell”; when taking functional derivatives, it gives a divergent, cutoff-dependent result
- 2PI effective action may be used for studying backreaction effects in bubble nucleation

Thank you!

Two regularisation approaches

Large- l and WKB: [Ekstedt, Gould & Hirvonen, 2023]

$$\begin{aligned}
 & \frac{1}{2} \sum_{l=2}^{l_{\max}} \underbrace{\deg(d; l) \left(\log T_l - \log T_l^{(\text{WKB})} \right)}_{\text{finite and converges faster}} + \underbrace{\frac{1}{2} \sum_{l=2}^{\infty} \deg(d; l) \log T_l^{(\text{WKB})}}_{\text{finite and known}} + S_{\text{ct}} \\
 & + \underbrace{\frac{1}{2} \sum_{l=l_{\max}+1}^{\infty} \deg(d; l) \left(\log T_l - \log T_l^{(\text{WKB})} \right)}_{\approx 0}.
 \end{aligned}$$

Interaction picture: [WA, Alexandre, & Sarkar, 2023]

$$(\text{divergent part}) \left(\frac{1}{2} D[\varphi] \right)^{(2)} = \frac{1}{2} \left[\ln \det(-\partial^2 + m^2 + W(\varphi)) - \ln \det(-\partial^2 + m^2) \right]_{\mathcal{O}(W^2)}$$

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Diagrams computed at the one-loop effective action

