

# Real-Time Nucleation and Off-Equilibrium Effects in High-Temperature Quantum Field Theories

arXiv:2403.07987

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Fig: arXiv:1906.00480

# Overview of the talk

- Introduction
- High-temperature QFTs
  - ▶ Particles
  - ▶ Classical fields
- Classical nucleation theory
- Rate for high-temperature QFTs

Fig: arXiv:1906.00480

# Introduction

- Cosmological first-order phase transitions
- Nucleation rates and gravitational-wave signals
- Overview of aims
- General physics picture

Fig: arXiv:1906.00480

# Cosmological first-order phase transitions

- Bubbles nucleating in primordial plasma
- None in Standard Model
- Mechanism for matter production
  - ▶ Electroweak baryogenesis
- Gravitational-wave signal
  - ▶ Release of energy
  - ▶ Observable in the future?

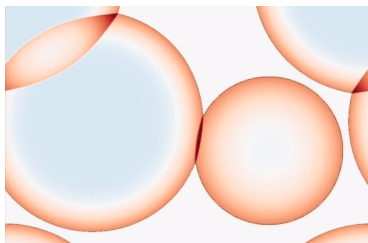


Figure: Growing bubbles ([arXiv:1906.00480](https://arxiv.org/abs/1906.00480))

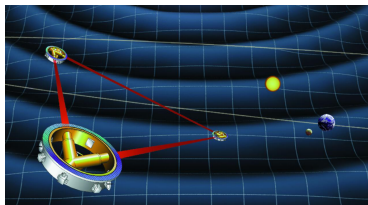


Figure: Gravitational waves and LISA (ESA)

$$\Delta t = \left( \frac{d}{dt} \ln \Gamma \right)^{-1}$$

$$8\pi v_w^3 \Delta t^4 \Gamma \approx 1 \Rightarrow T_n$$

- Setting of a transition
  - ▶ Duration of a transition,  $\Delta t$
  - ▶ Transition temperature,  $T_n$ 
    - ★ Affected also by bubble expansion velocity,  $v_w$
- Large uncertainties from nucleation rate

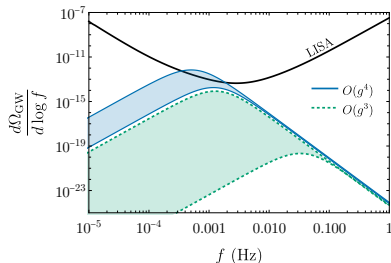


Figure: GW uncertainties from nucleation rates [Gould, Tenkanen '21]

# Towards precision nucleation

- First analytical study of off-equilibrium plasma directly affecting bubbles
  - ▶ Corroborates equilibrium methods up to a certain validity
  - ▶ Future studies with SM extensions
  - ▶ Tools for assessing if current nucleation theory is applicable

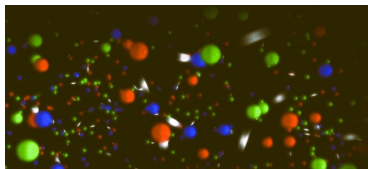


Figure: Plasma particles (Dr Rene Bellwied)

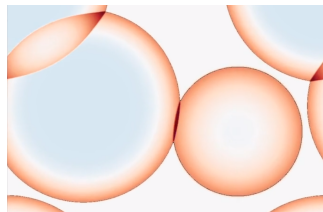


Figure: Growing bubbles (arXiv:1906.00480)

# General physics picture

1. Bubble nucleating
2. Thermal-particle shower
3. Bubble growth pushes them off equilibrium
4. Off-equilibrium particles slow the growth, and nucleation rate

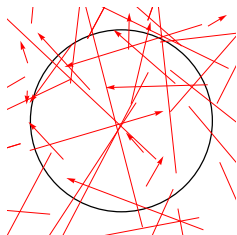


Figure: Bubble in a particle shower

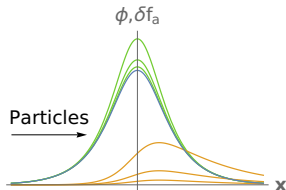


Figure: A growing bubble and off-equilibrium particles

# Weakly coupled QFTs at high temperatures

- Example QFT model
- Thermal system
  - ▶ Classical fields
  - ▶ Particles
- Equations of motion

Fig: arXiv:1906.00480



# Example QFT model

- Real scalar,  $\phi$ 
  - ▶ Undergoes a phase transition
- A Dirac fermion,  $\psi$ 
  - ▶ Particles interact with the bubbles
  - ▶ Mass changes due to the background

$$\mathcal{L} = \mathcal{L}_\phi + \mathcal{L}_\psi - y\phi\bar{\psi}\psi,$$

$$\mathcal{L}_\phi = -\frac{1}{2}\phi\Box\phi - \frac{m_b^2}{2}\phi^2 - \frac{g}{3!}\phi^3 - \frac{\lambda}{4!}\phi^4,$$

$$\mathcal{L}_\psi = \bar{\psi}(i\cancel{\partial} - m_f)\psi$$

$$m_\phi^2 = V''(\phi),$$

$$m_\psi = m_f + y\phi$$

# Power counting

- Perturbative couplings
- No mass or coupling hierarchies in vacuum
- Leads to a high-temperature hierarchy at the phase transition

[Gould & Hirvonen '21, Hirvonen '22]

- ▶ Perturbative thermal corrections initiate the transition

$$\lambda \sim g^2/m_\phi^2 \sim y^2 \ll 1,$$

$$m_\phi^2 \sim m_\psi^2$$

$$m_\phi^2 \sim m_\psi^2 \sim \lambda T^2 \ll T^2$$

# Thermal plasma in high-T QFTs

- Thermal energy and excitations: plasma system
- Two pieces
  - ▶ Thermal particles
  - ▶ Long-range, classical bosonic fields

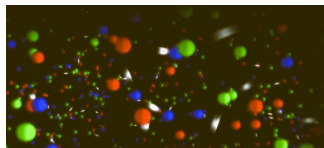


Figure: Plasma particles

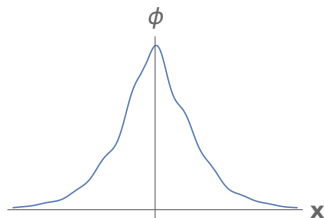


Figure: A bubble in a long-range scalar field

# Bosonic classical fields

- Long wavelength,  $\gg T^{-1}$ ,  
low energy,  $\ll T$ 
  - ▶ Classicalization due to large occupation numbers
- One corresponding to  $\phi$
- Thermal-equilibrium potential,  $V_T$
- Off-equilibrium term
  - ▶ Off-equilibrium particle distribution functions,  $\delta f_a$
  - ▶ Change in masses

$$f_{\text{eq,bos}} = \frac{1}{e^{\beta E} - 1} \sim \frac{T}{m_{\text{bos}}} \gg 1$$

$$\square\phi + V'_T(\phi) = - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E_a} \delta f_a$$

$$a \in \{\text{real scalar, Dirac fermion}\}$$

# Effective potential for nucleation [Gould & Hirvonen '21]

- Coarse grain leaving the bubble scale

$$L_{\text{bubble}} \sim m_{\phi}^{-1} \sim (yT)^{-1}$$

- Thermal dependency in  $V_T$ 
  - ▶ Initiates the transition
- For the example
  - ▶  $E \sim T$  integrated out
  - ▶ *High-temperature dimensional reduction*

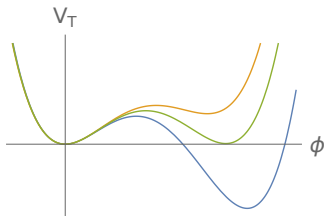


Figure: Thermal dependence and a phase transition in a potential

$$V_T = s_T \phi + \frac{1}{2} m_T^2 \phi^2 + \frac{g}{3!} \phi^3 + \frac{\lambda}{4!} \phi^4,$$

$$s_T = (g + 4ym_f) T^2 / 24,$$

$$m_T^2 = m_b^2 + (\lambda^2 + 4y^2) T^2 / 24.$$

# Thermal particles

- Regime  $E \sim T$
- Described by particle distribution functions,  $f_a(t, \mathbf{x}, \mathbf{p})$
- Boltzmann equation
  - ▶ LHS: collisionless evolution
  - ▶ RHS: collision term,  $C$
  - ▶ Force,  $\mathbf{F}_a$ : scalar-field dependent mass

$$(\partial_t + \mathbf{v} \cdot \nabla + \mathbf{F}_a \cdot \partial_{\mathbf{p}}) f_a = C[f],$$

$$\mathbf{F}_a = -\nabla E_a = -\frac{\nabla m_a^2(\phi)}{2E_a}$$

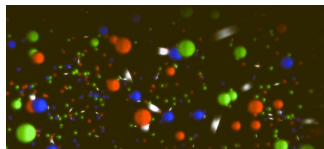


Figure: Plasma particles

# Vlasov equation instead of Boltzmann

- $C[f]$ : Dissipation without noise
  - ▶ No fluctuations, no nucleation
- In our example  $C[f] \approx 0$ 
  - ▶ Collisions important:  
 $L_{\text{coll}} \sim (y^4 \log y^{-1} T)^{-1}$
  - ▶ Bubble size:  
 $L_{\text{CB}} \sim m_\phi^{-1} \sim (yT)^{-1}$
  - ▶ Hierarchy of couplings (e.g. QCD coupling)
- Probably not a limitation of our results

$$(\partial_t + \mathbf{v} \cdot \nabla + \mathbf{F}_a \cdot \partial_{\mathbf{p}}) f_a = C[f]$$



$$(\partial_t + \mathbf{v} \cdot \nabla + \mathbf{F}_a \cdot \partial_{\mathbf{p}}) f_a = 0$$

# Equation for off-equilibrium particles, $\delta f_a$

- Subtracting off equilibrium distribution,  $f_{\text{eq},a}$
- $f_{\text{eq},a}$  time-dependent
  - ▶ Due to field-dependent masses,  $m_a^2(\phi)$
  - ▶ Sources  $\delta f_a$
- Force-term negligible for light particles,  $m_a^2 \ll T^2$

$$\delta f_a = f_a - \overbrace{\frac{1}{e^{\beta E_a} \mp_a 1}}^{\equiv f_{\text{eq},a}}$$

$$E_a = \sqrt{\mathbf{p}^2 + m_a^2(\phi)}$$

$$(\partial_t + \mathbf{v} \cdot \nabla) \delta f_a = -\frac{f'_{\text{eq},a}(E)}{2E} \frac{dm_a^2}{d\phi} \partial_t \phi$$



# Summary

- System splits into fields and particles
- Off-equilibrium particles and fields coupled
  - ▶ System conservative
  - ▶ Still large, collective field changes *effectively* dissipated into off-equilibrium particles

$$\square\phi + V_T'(\phi) = -\sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E} \delta f_a$$

$$(\partial_t + \mathbf{v} \cdot \nabla) \delta f_a = -\frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \partial_t \phi$$

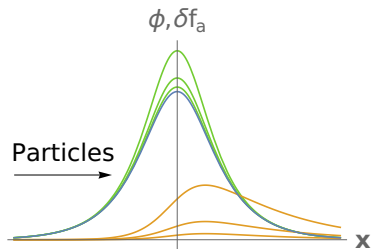


Figure: Large field changes dissipated into off-equilibrium particles

# Classical nucleation theory

- Setup and assumptions
- Field-theoretic structures
- Langer's rate

Fig: arXiv:1906.00480

# Setup for classical nucleation theory [Kramers '40]

- Two phases
  - ▶ Metastable: thermal population
  - ▶ Stable: empty
- Nucleation rate
  - ▶ Leak from meta to stable

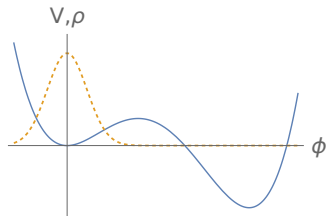


Figure: Schematic figure of an equilibrium distribution in the metastable state

# Assumptions of classical nucleation theory [Kramers '40]

- Full system not analytically treatable
- Zooming onto the barrier
  - ▶ System linear on the barrier
- Steady state
  - ▶ Rate sourced by equilibrium
$$\rho_{\text{eq}} \propto e^{-\beta H}$$
  - ▶ Static distribution

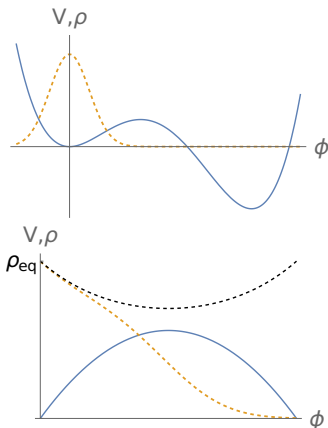


Figure: Zooming onto the barrier

# Langer's theory focus [Langer '67, '69, '74]

- Local in space and time
- Explicit
  - ▶ Dissipation,  $\eta$
  - ▶ Noise,  $\xi$
- Second-order equations of motion

$$\square\phi + V_T'(\phi) = -\eta\partial_t\phi + \xi$$

$$\square\phi + V_T'(\phi) = -\sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E} \delta f_a$$

$$(\partial_t + \mathbf{v} \cdot \nabla)\delta f_a = -\frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \partial_t\phi$$

# Field-theoretic structures [Langer '67, '69, '74]

- Configuration space
- Transition surface
  - ▶ Separates the phases
  - ▶ Rate: flow over the surface
- Important configurations
  - ▶ Critical bubble,  $\phi_{CB}$
  - ▶ Exponential growth,  $\overline{\delta\phi}$

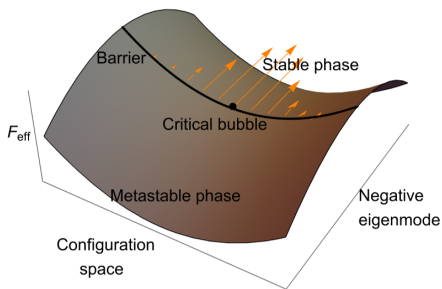


Figure: Transition surface

$$\nabla^2 \phi_{CB} = V'_T(\phi_{CB})$$

$$\phi = \phi_{CB} + \overline{\delta\phi} e^{\kappa t}$$

# Langer's rate, $\Gamma$ [Langer '67, '69, '74]

- Rate: Total over-barrier flow
- Statistical part [Gould & Hirvonen '21]
  - ▶ Exponential suppression from critical bubble
  - ▶ Fluctuations around critical bubble
- Dynamical part,  $\kappa$ 
  - ▶ Exponential growth rate

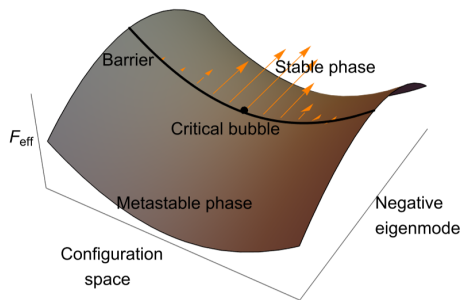


Figure: Over-barrier flow

$$\frac{\Gamma}{V} = \underbrace{\frac{\kappa}{2\pi} \left( \frac{H_{CB}}{2\pi T} \right)^{\frac{3}{2}} \left| \frac{\det(-\nabla^2 + V''_{meta})}{\det'(-\nabla^2 + V''_{CB})} \right|^{\frac{1}{2}}}_{\text{Statistical part}} e^{-\beta H_{CB}}$$

# Comparison to vacuum decay

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left( \frac{H_{CB}}{2\pi T} \right)^{3/2} \left| \frac{\det(-\nabla^2 + V''_{meta})}{\det'(-\nabla^2 + V''_{CB})} \right|^{1/2} e^{-\beta H_{CB}}$$

- Formula similar, physics different
- No analytic continuation over the instability
- All configurations physical
- Time-evolution affects rate,  $\kappa$

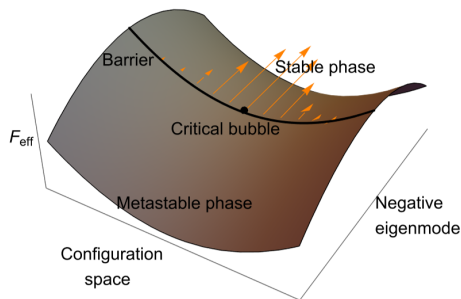


Figure: Over-barrier flow



# Rate in high-temperature QFTs

- Hamiltonian for equilibrium distribution
- Nucleating distribution and probability flow
- Nucleation rate results

Fig: arXiv:1906.00480

# Towards the Hamiltonian

- Introducing the conjugate momentum field,

$$\pi \equiv \partial_t \phi$$

- ▶ Sources off-equilibrium particles

$$\dot{\phi} = \pi$$

$$\dot{\pi} = \nabla^2 \phi - V_T'(\phi) - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3 \mathbf{p}}{(2\pi)^3 2E} \delta f_a$$

$$\delta \dot{f}_a = -\mathbf{v} \cdot \nabla \delta f_a - \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \pi$$

# Effective Hamiltonian, $H_{\text{eff}}$

- Structure
  - ▶ Basic field terms
  - ▶ Particle-term related to statistics
- Conserved under time evolution

$$H_{\text{eff}} = \int d^3\mathbf{x} \left( \frac{1}{2}\pi^2 + \frac{1}{2}(\nabla\phi)^2 + V_T(\phi) \right) + \sum_a \frac{1}{2} \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{\delta f_a^2}{-f'_{\text{eq},a}}$$

- 
- Time evolution from Poisson brackets
    - ▶ Not relevant for our analysis

$$\{\phi(\mathbf{x}), \pi(\mathbf{y})\} = \delta(\mathbf{x} - \mathbf{y}),$$

$$\{\delta f_a(\mathbf{x}, \mathbf{p}), \delta f_a(\mathbf{y}, \mathbf{q})\} = (2\pi)^3 f'_{\text{eq},a} \delta(\mathbf{p} - \mathbf{q}) \times \mathbf{v} \cdot \nabla \delta(\mathbf{x} - \mathbf{y}),$$

$$\{\pi(\mathbf{x}), \delta f_a(\mathbf{y}, \mathbf{q})\} = \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \delta(\mathbf{x} - \mathbf{y})$$

# Equilibrium distribution function

- Given by the Hamiltonian
- Factorization allows for sanity checks:

- Equilibrium distribution for  $\phi$

- ▶ *High-temperature dimensional reduction*
- ▶ Connection to equilibrium methods

[Gould & Hirvonen '21]

- Bose-Einstein and Fermi-Dirac statistic

$$\begin{aligned}\rho_{\text{eq}} &\propto e^{-\beta H_{\text{eff}}} \\ &= \exp\left(-\beta \int d^3\mathbf{x} \frac{1}{2} \pi^2\right) \\ &\times \exp\left(-\beta \int d^3\mathbf{x} \left(\frac{1}{2} (\nabla\phi)^2 + V_T(\phi)\right)\right) \\ &\times \exp\left(-\beta \int d^3\mathbf{x} \sum_a \frac{1}{2} \int \frac{d^3\mathbf{p}}{(2\pi)^3} \frac{\delta f_a^2}{-f'_{\text{eq},a}}\right)\end{aligned}$$

# Zooming onto nucleation barrier

- Linearizing around the critical bubble,  $\phi_{CB}$ :

$$\nabla^2 \phi_{CB} = V'_T(\phi_{CB}),$$

$$\phi = \phi_{CB} + \delta\phi$$

- Analytically solvable!

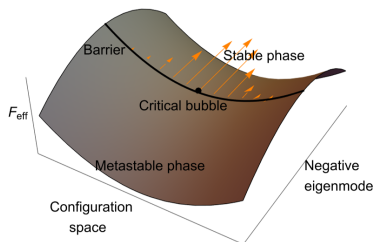


Figure: Barrier between the phases

$$\dot{\pi} = (\nabla^2 - \underbrace{V''_{CB}}_{\equiv V''_T(\phi_{CB})})\delta\phi - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E} \delta f_a$$

$$\begin{aligned} & \frac{1}{2}(\nabla\phi)^2 + V_T(\phi) \\ &= \underbrace{\frac{1}{2}(\nabla\phi_{CB})^2 + V_T(\phi_{CB})}_{\text{Constant term}} + \underbrace{\frac{1}{2}(\nabla\delta\phi)^2 + V''_{CB}\delta\phi^2}_{\text{Quadratic in fluctuations}} \end{aligned}$$

# Obtaining the nucleating distribution

- Solves the Liouville equation
- Time independent
- Equilibrium in metastable phase
  - ▶ Sources nucleation

$$\int d^3\mathbf{x} \left( \dot{\delta\phi} \frac{\delta}{\delta\delta\phi} + \dot{\pi} \frac{\delta}{\delta\pi} + \sum_a \int d^3\mathbf{p} \dot{\delta f}_a \frac{\delta}{\delta\delta f_a} \right) \rho_{\text{nucl}} = 0$$

$$\rho_{\text{nucl}}[\phi_{\text{meta}}] = \frac{1}{Z_{\text{meta}}} e^{-\beta H_{\text{eff}}},$$

$$\rho_{\text{nucl}}[\phi_{\text{stable}}] = 0$$

# Nucleating distribution

- Thermal flow from metastable phase

$$\rho_{\text{nucl}} = \underbrace{\theta(u)}_{\text{flow from metastable phase}} \underbrace{Z_{\text{meta}}^{-1} e^{-\beta H_{\text{eff}}^{\text{lin}}}}_{\text{in thermal equilibrium}}$$

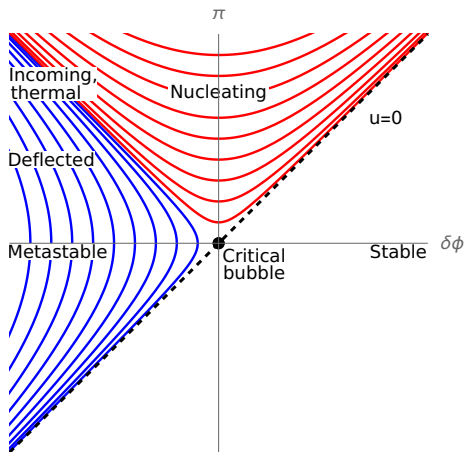


Figure: 2D slice of the phase space

# Nucleation rate from the distribution

- Rate: nucleating probability flux
- Probability current:

$$J = (\delta\dot{\phi} \quad \dot{\pi} \quad \delta\dot{f}_a)^T \rho_{\text{nucl}}$$

- Integrate over the surface

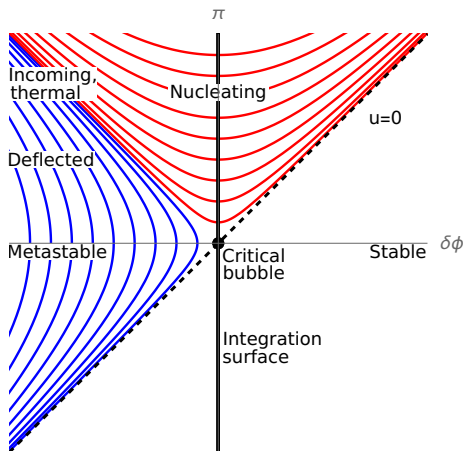


Figure: Integration surface



# Form of the results

- Matches Langer
  - ▶ Suppression form  $\phi_{CB}$
  - ▶ Fluctuations around  $\phi_{CB}$
  - ▶ Exponential growth rate,  $\kappa$
- Rate formula seems universal
- Equilibrium part unchanged!  
[Gould & Hirvonen '21]
- Particles affect exponential growth
  - ▶ QFT effects in  $\kappa$

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left( \frac{H_{CB}}{2\pi T} \right)^{\text{dim}} \left| \frac{\det(-\nabla^2 + V''_{\text{meta}})}{\det'(-\nabla^2 + V''_{CB})} \right|^{\frac{1}{2}} e^{-\beta H_{CB}},$$

$$H_{CB} = H_{\text{eff}}[\phi_{CB}] - H_{\text{eff}}[\phi_{\text{meta}}]$$

# Exponential growth

- Time derivatives yield the growth rate,  $\kappa$ :

$$\partial_t(\overline{\delta\phi} e^{\kappa t}) = \kappa(\overline{\delta\phi} e^{\kappa t})$$

- Incoming particles

- ▶ Initially equilibrium
- ▶ Bubble growth  
→ off equilibrium
- ▶ Inhibit the growth

- Not exponential suppression

- ▶ Leading equilibrium  $\log \Gamma$  correct

$$\begin{aligned}\kappa^2 \overline{\delta\phi} &= (\nabla^2 - V''_{\text{CB}}) \overline{\delta\phi} \\ &\quad - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E} \overline{\delta f_a}\end{aligned}$$

$$\kappa \overline{\delta f_a} = -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta\phi}$$

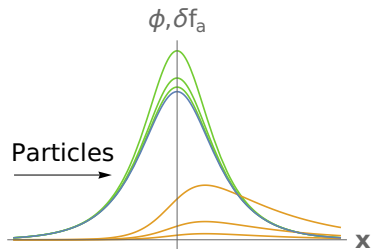


Figure: Exponentially growing configuration,  $\delta f_a$  comes from the left

# Bosonic IR divergence

- Source of off equilibrium has  $f'_{\text{eq},a}$ 
  - ▶ Dominant fermionic:  $E \sim T$
  - ▶ Dominant bosonic:  $E \ll T$
- Bosonic fields damp more than the particles
- Separation of bosonic  $E \sim T$  easy in dim reg

$$\kappa \overline{\delta f_a} = -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta \phi}$$
$$\Rightarrow \overline{\delta f_a} \propto \frac{f'_{\text{eq},a}}{E}$$

$$\int_{\Lambda_{\text{IR}}}^{\infty} dE f'_{\text{eq,ferm}} = -\frac{1}{2} + \mathcal{O}\left(\frac{\Lambda_{\text{IR}}}{T}\right)$$

$$\int_{\Lambda_{\text{IR}}}^{\infty} dE f'_{\text{eq,bos}} = -\frac{T}{\Lambda_{\text{IR}}} + \frac{1}{2} + \mathcal{O}\left(\frac{\Lambda_{\text{IR}}}{T}\right)$$

# Boltzmann equations are OK!

- Previous problem:
  - ▶ No fluctuations
  - ▶ No nucleation
- The exponential configuration:
  - ▶ Already nucleated
  - ▶ No (need for) fluctuations
- Langer's universality
- Fluctuation-dissipation relation

$$\kappa^2 \overline{\delta\phi} = (\nabla^2 - V''_{CB}) \overline{\delta\phi}$$

$$- \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^3\mathbf{p}}{(2\pi)^3 2E} \overline{\delta f_a}$$

$$\kappa \overline{\delta f_a} = -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{eq,a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta\phi} + C_{lin}[\overline{\delta f_a}]$$

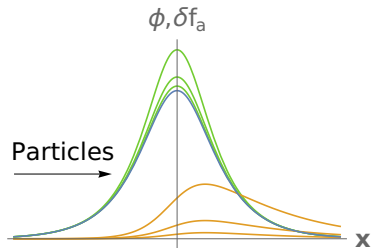


Figure: Exponentially growing configuration,  $\delta f_a$  comes from the left

# Summary

- High-temperature nucleation with off-equilibrium-particle effects
- Found a Langerian nucleation rate
  - ▶ Particles affect the exponential growth rate,  $\kappa$
  - ▶ Corroborates equilibrium computations
- Bosonic fields more important than the particles

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left( \frac{H_{CB}}{2\pi T} \right)^{\frac{3}{2}} \left| \frac{\det(-\nabla^2 + V''_{\text{meta}})}{\det'(-\nabla^2 + V''_{CB})} \right|^{\frac{1}{2}} e^{-\beta H_{CB}}$$

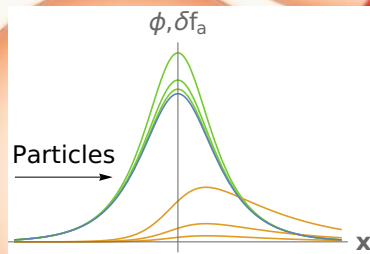


Fig: arXiv:1906.00480

# Outlook

- Realistic models, and effects on
  - ▶ Duration of the transition
  - ▶ Nucleation temperature
- Langer's rate applicable at all? [\[Pirvu, Shkerin, Sibiryakov '24\]](#)
- Finding bosonic-field damping [\[Ekstedt '22\]](#)

$$\Delta t = \left( \frac{d}{dt} \ln \Gamma \right)^{-1}$$

$$8\pi v_w^3 \Delta t^4 \Gamma \approx 1 \Rightarrow T_n$$

$$t_{\text{thermalization}} \lesssim \frac{H_{\text{CB}}}{\kappa T}$$

Fig: arXiv:1906.00480

## $u = 0$ surface

- Not originating from either of the phases
- E.g. exponential growth:

$$\delta\phi = \overline{\delta\phi} e^{\kappa t}, \quad \delta f_a = \overline{\delta f_a} e^{\kappa t}$$

- ▶ Asymptotically from the critical bubble,  $t \rightarrow -\infty$

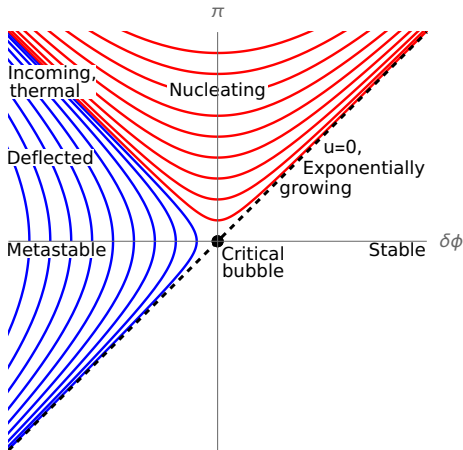


Figure: 2D slice of the phase space