



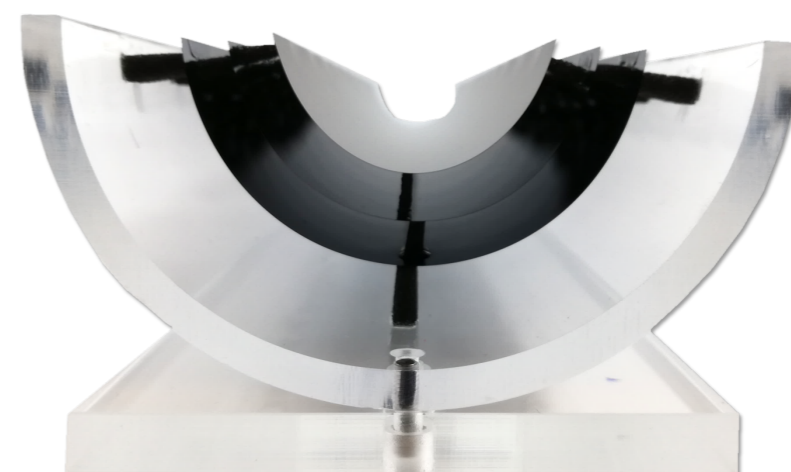
ALICE



© Klaus Barth

ALICE ITS3

the first truly cylindrical inner tracker



Domenico Colella

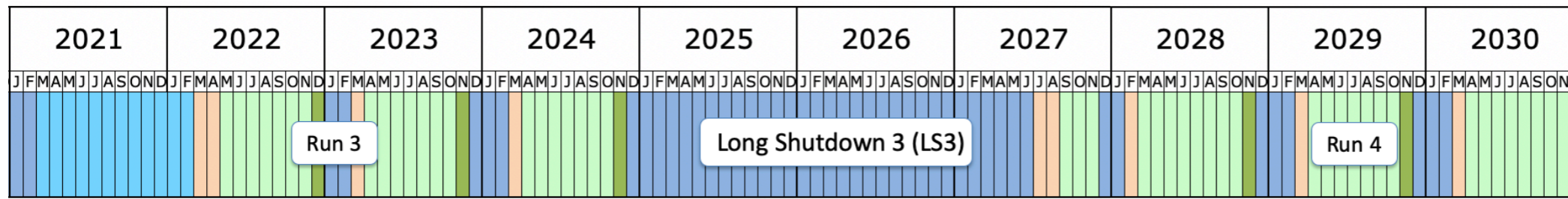
Politecnico and INFN Bari

on behalf of the ALICE Collaboration



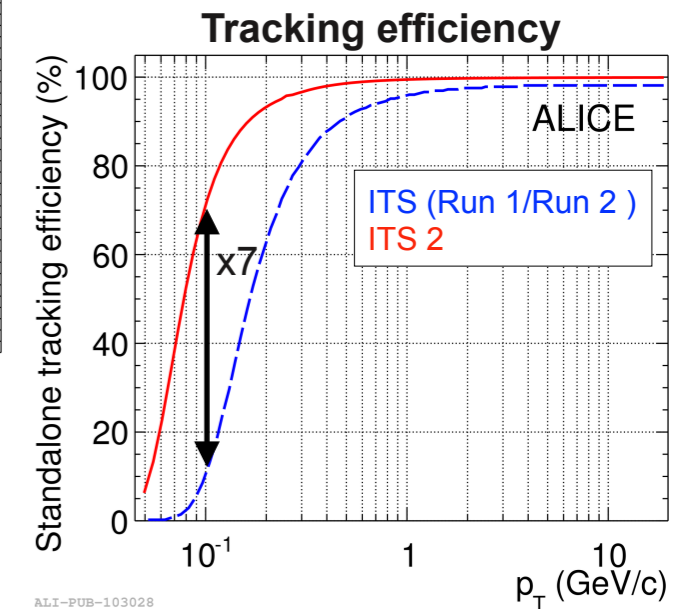
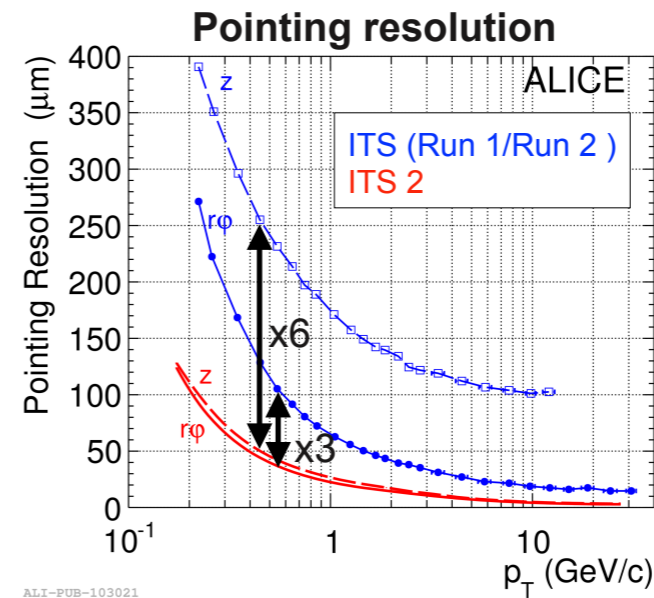
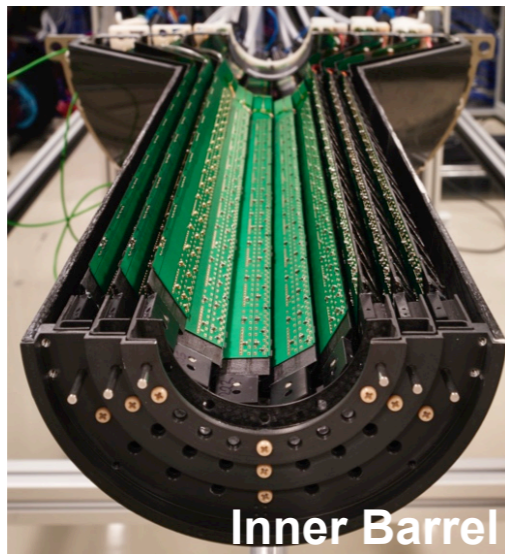


ALICE Inner Tracking upgrade roadmap



■ Shutdown/Technical stop
■ Protons physics
■ Ions
■ Commissioning with beam
■ Hardware commissioning/magnet training

ALICE 2 ITS2 for LHC Run 3



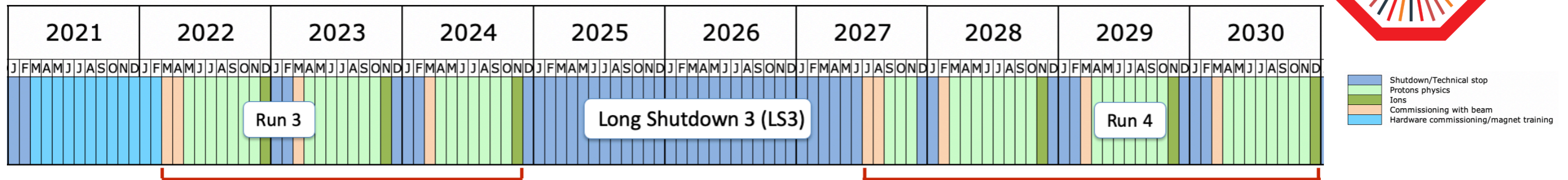
ITS2 will provide unprecedented performances

- pointing resolution: 15 μm at p_T of 1 GeV/c
- tracking efficiency: above 90% for $p_T > 200$ MeV/c

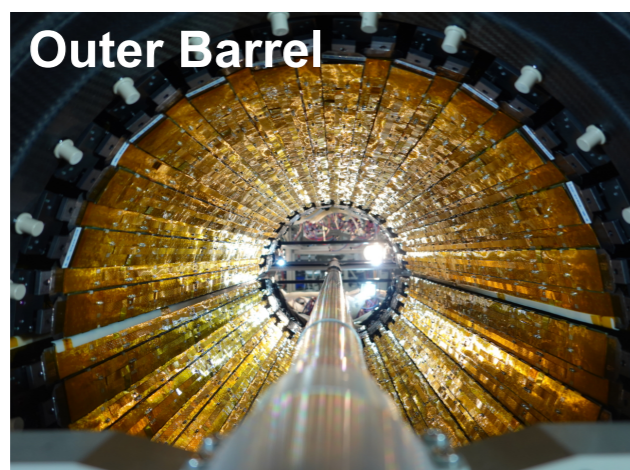
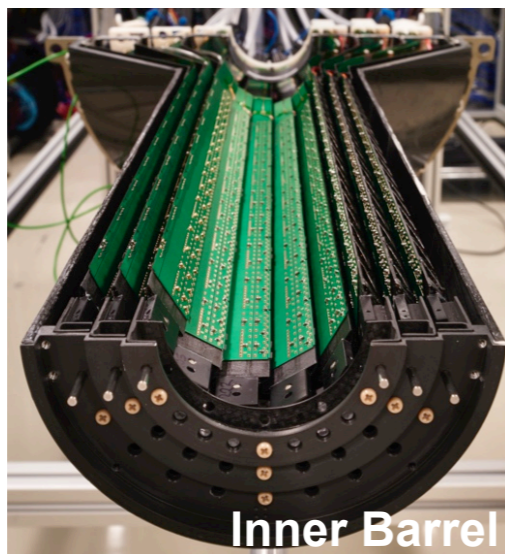
ITS2 installed and under commissioning



ALICE Inner Tracking upgrade roadmap



ALICE 2
ITS2 for LHC Run 3

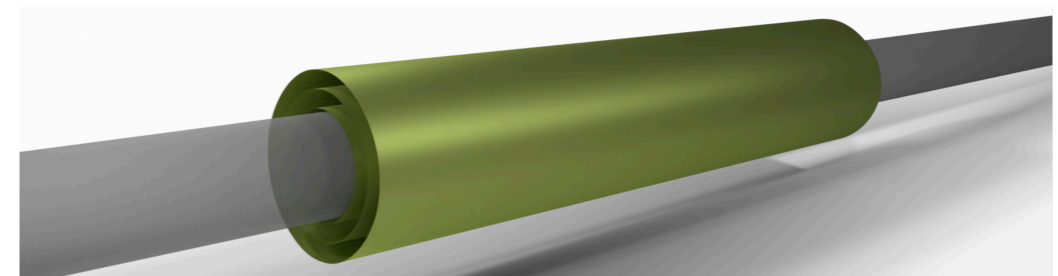


ITS2 installed and under commissioning

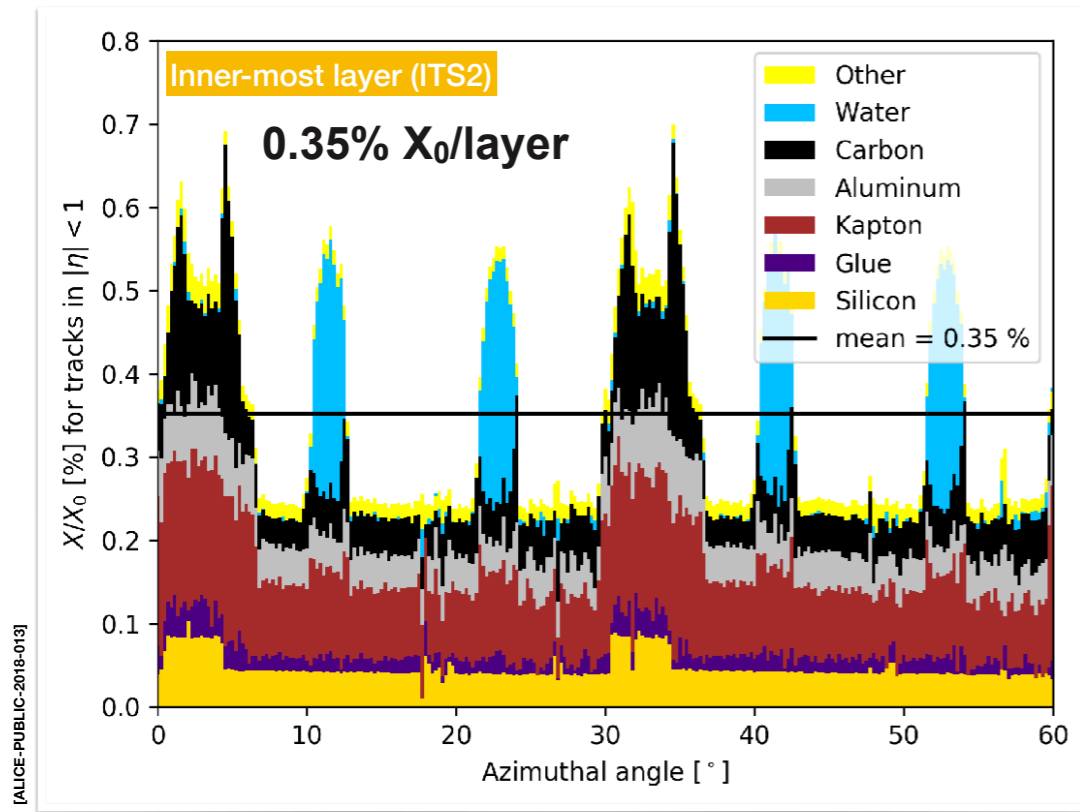
ALICE 2.1
ITS3 for LHC Run 4

Can we get closer to the IP?
Can we reduce the material budget?

The way: replace detector staves (3 innermost layers) by wafer-scale sensors bent around the beam pipe

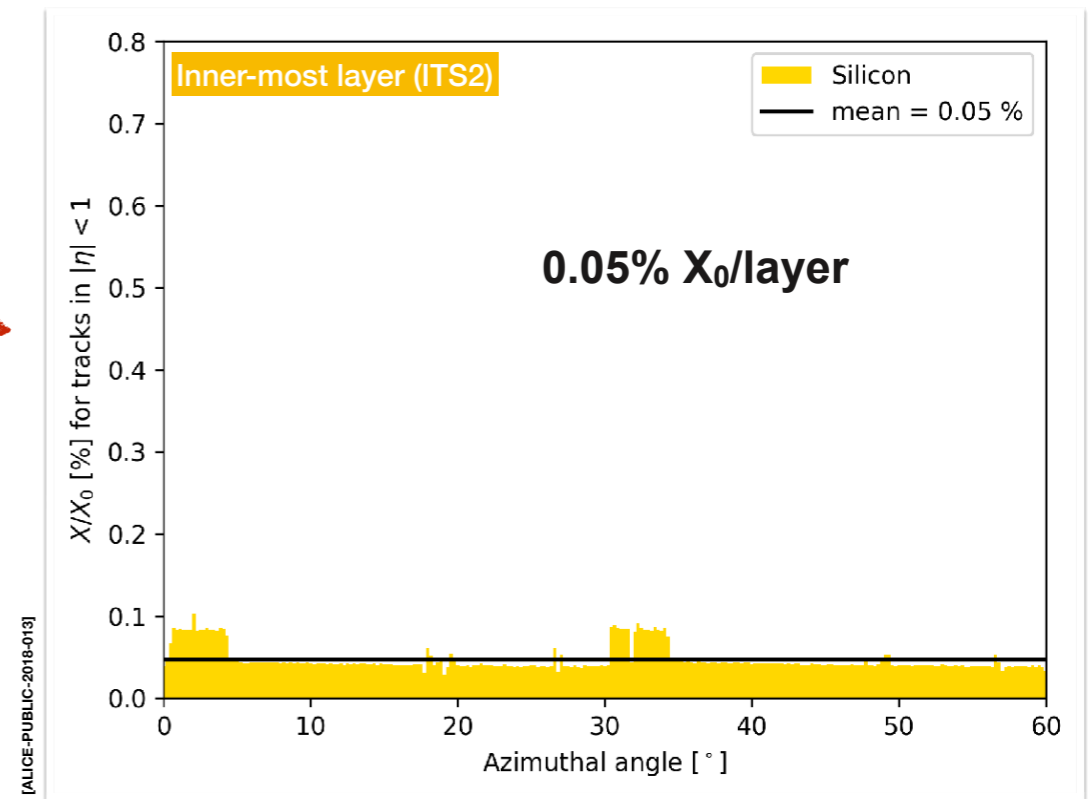


Motivation for ITS3



Observations

- » Silicon makes only about 15% of total material
- » Irregularities due to support/cooling and overlap



Improvements

» Removal of water cooling

- **possible** if power consumption stays below 20 mW/cm²
- move to (low flow) air cooling system

» Removal circuit board (power+data)

- **possible** if integrated on chip

» Removal of mechanical support

- **benefit** from increased stiffness by rolling Si wafers

ITS3 detector concept



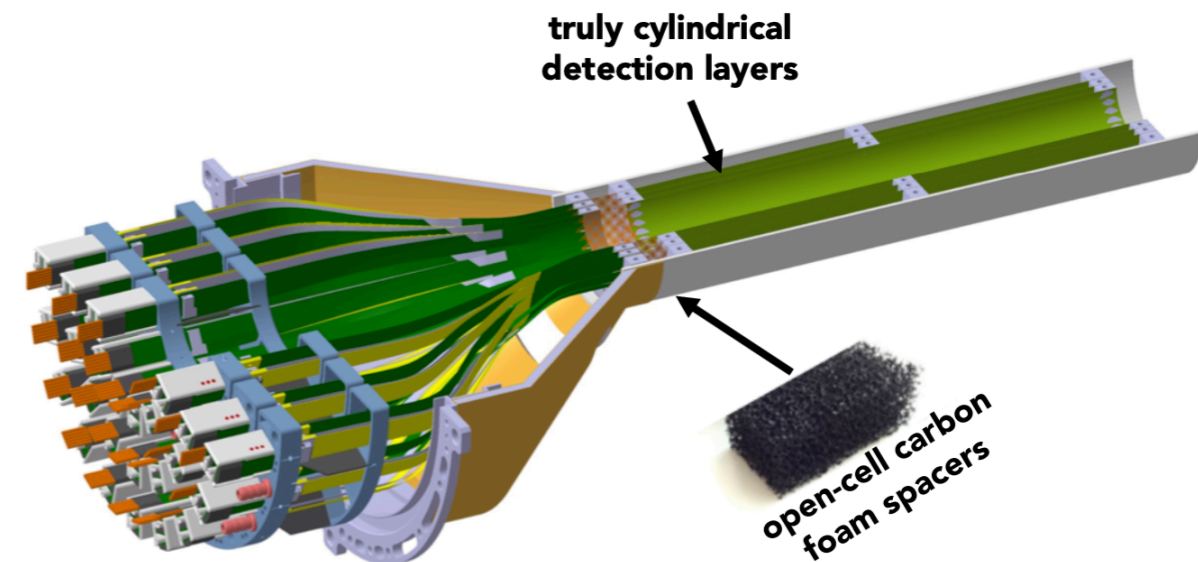
Key ingredients

- » Wafer-scale chips (up to $\sim 28 \times 10$ cm), fabricated using stitching
- » Sensor thickness 20-40 μm
- » Chips bent in cylindrical shape at target radii
- » Si MAPS sensor based on 65 nm technology
- » Carbon foam structures
- » Smaller beam pipe diameter and wall thickness (0.14% X_0)

The whole detector will comprise six chips (current ITS IB: 432) and barely anything else!

Key benefits

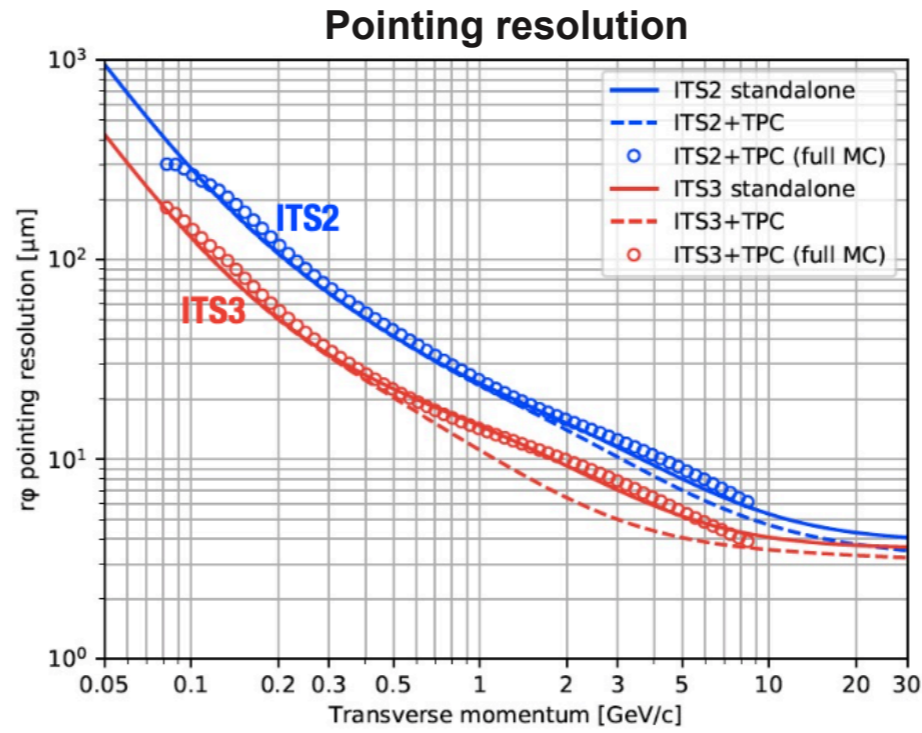
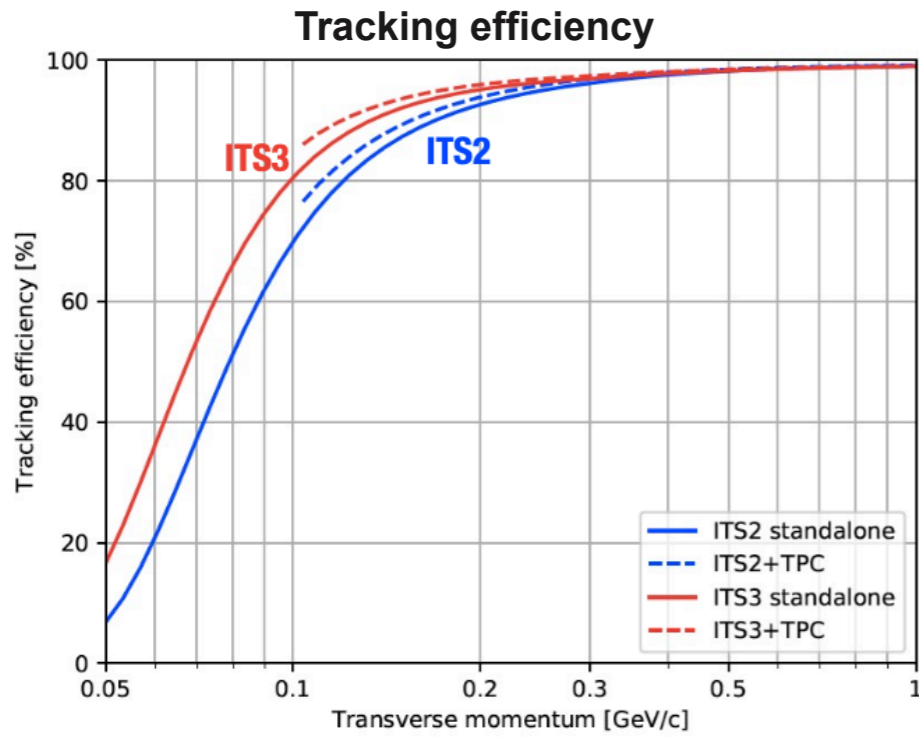
- » Extremely low material budget: 0.02-0.04% X_0
- » Homogeneous material distribution: negligible systematic error from material distribution



Beam pipe inner/outer radius (mm)	16.0/16.5		
IB Layer Parameters	Layer 0	Layer 1	Layer 2
Radial position (mm)	18.0	24.0	30.0
Length of sensitive area (mm)	300.0		
Pseudo-rapidity coverage	± 2.5	± 2.3	± 2.0
Active-area (cm²)	610	816	1016
Pixel sensor dimension (mm²)	280 \times 56.5	280 \times 75.5	280 \times 94
Number of sensors per layer	2		
Pixel size (μm^2)	O (10 \times 10)		



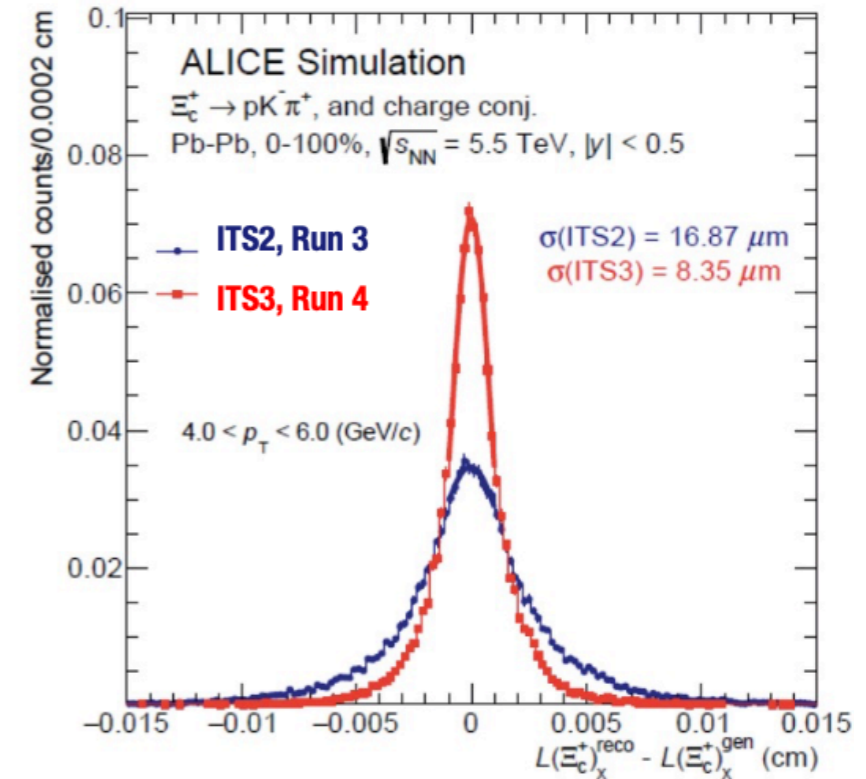
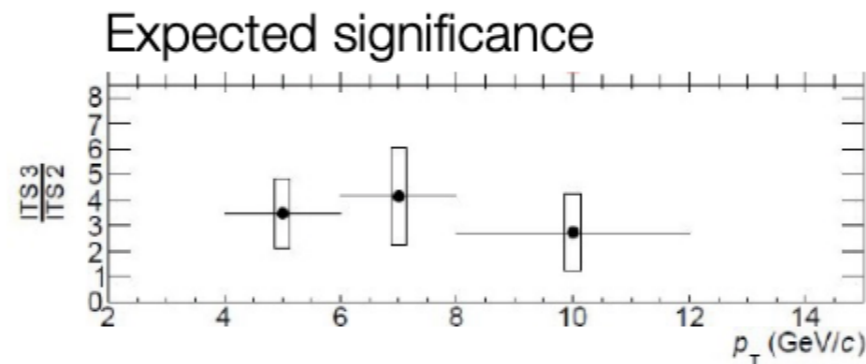
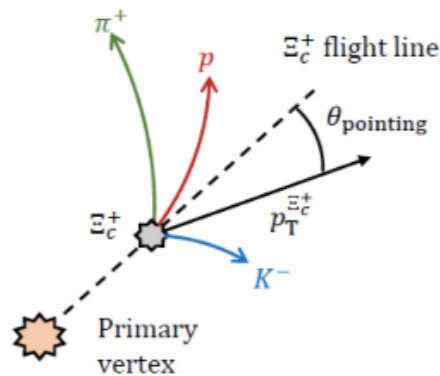
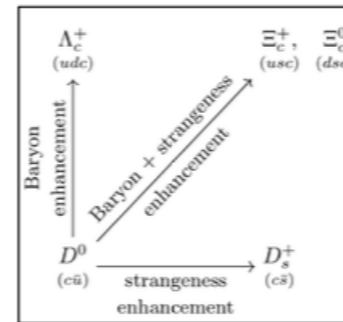
ITS3 performance



Improved pointing resolution and tracking efficiency for low momenta ($\times 2$ at all p_T)

Study of the enhancement of charm quarks in heavy-ion collisions

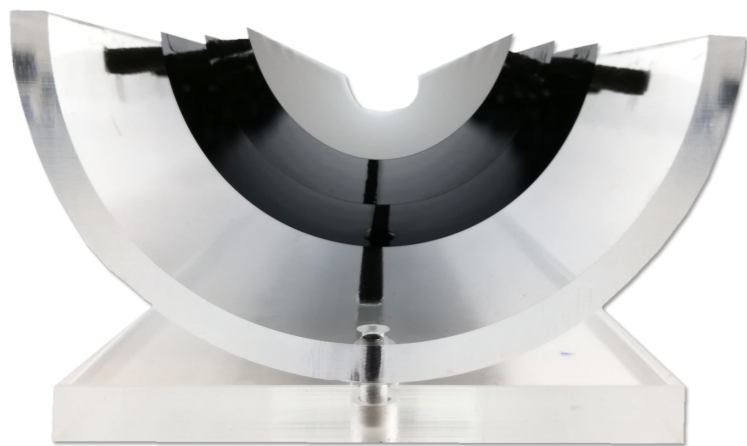
- » Λ_c^+ and D_s^+ used to study baryon and strangeness enhancement (compared to D^0)
- » Ξ_c might set very powerful constraints to test HF coalescence models





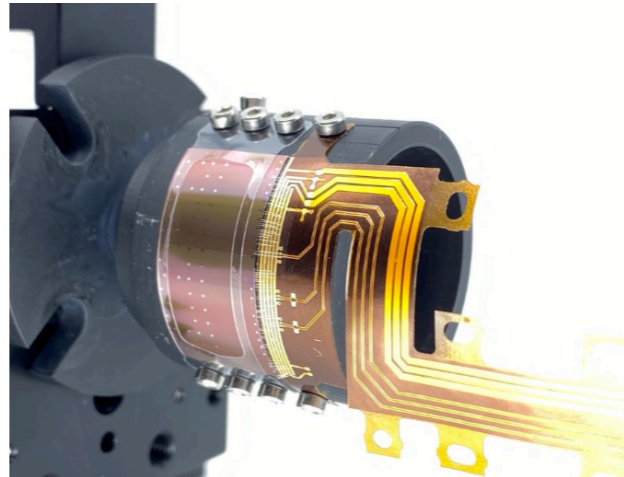
Detector Integration

Tests with wafer-scale dummy chips for mechanical integration



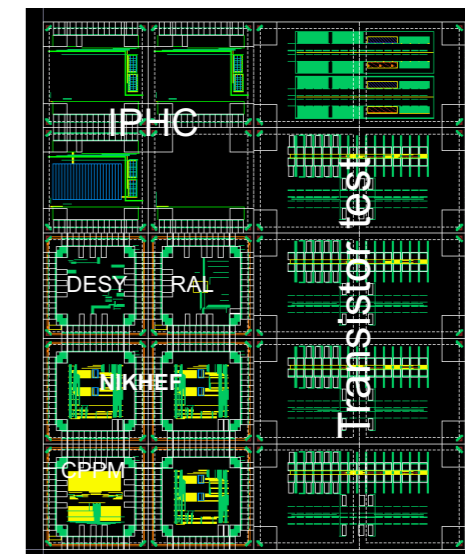
Sensor performance

Tests with existing bent ALPIDE chips (ITS2) for (in-beam) performance assessment



Chip design

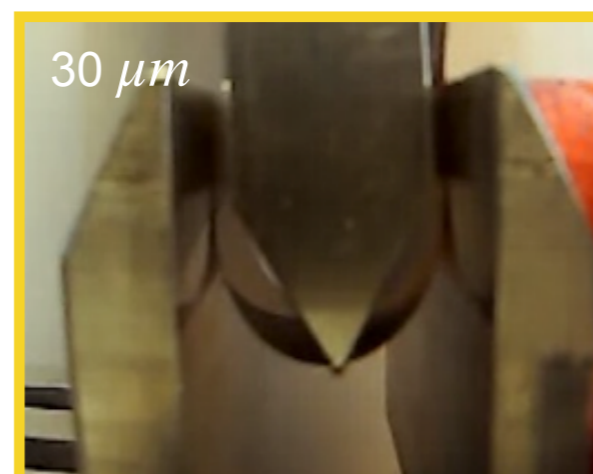
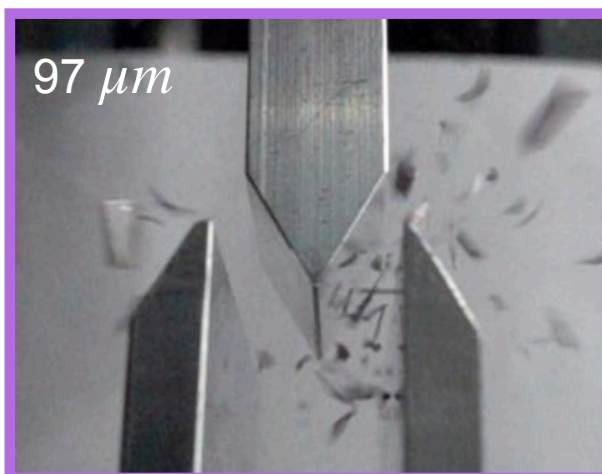
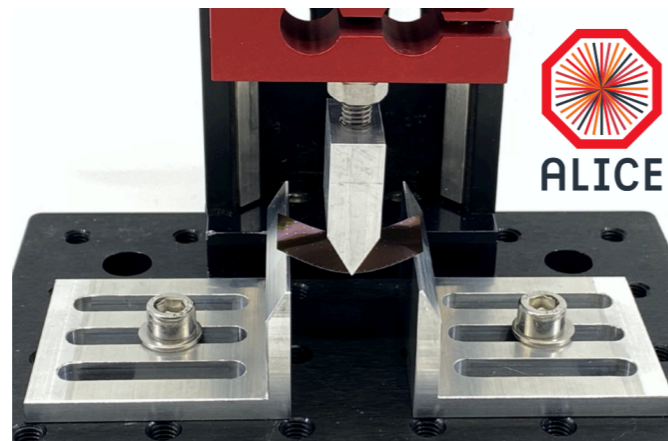
New, stitched sensor in 65 nm technology on 300 mm wafers



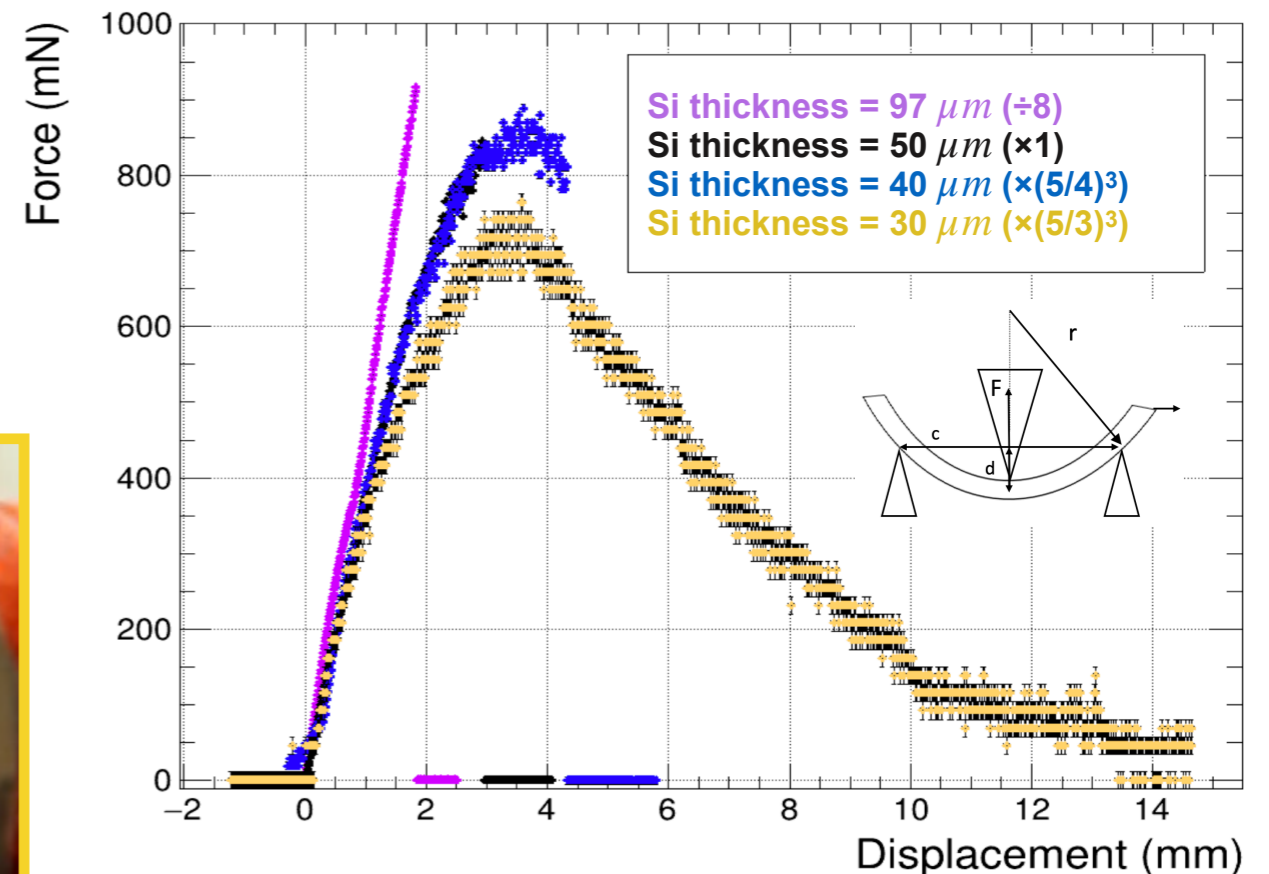


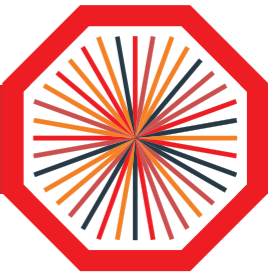
ALPIDE CHIP BENDING

- » MAPS at thickness used in current detectors ($\sim 50 \mu\text{m}$) are quite flexible
- » Large benefit from going even a bit thinner: the bending force scales with thickness to the third power
- » The breaking point moves to smaller bending radii when going thinner
- » Project goal thicknesses and desired bending radii are in a “not breaking” regime



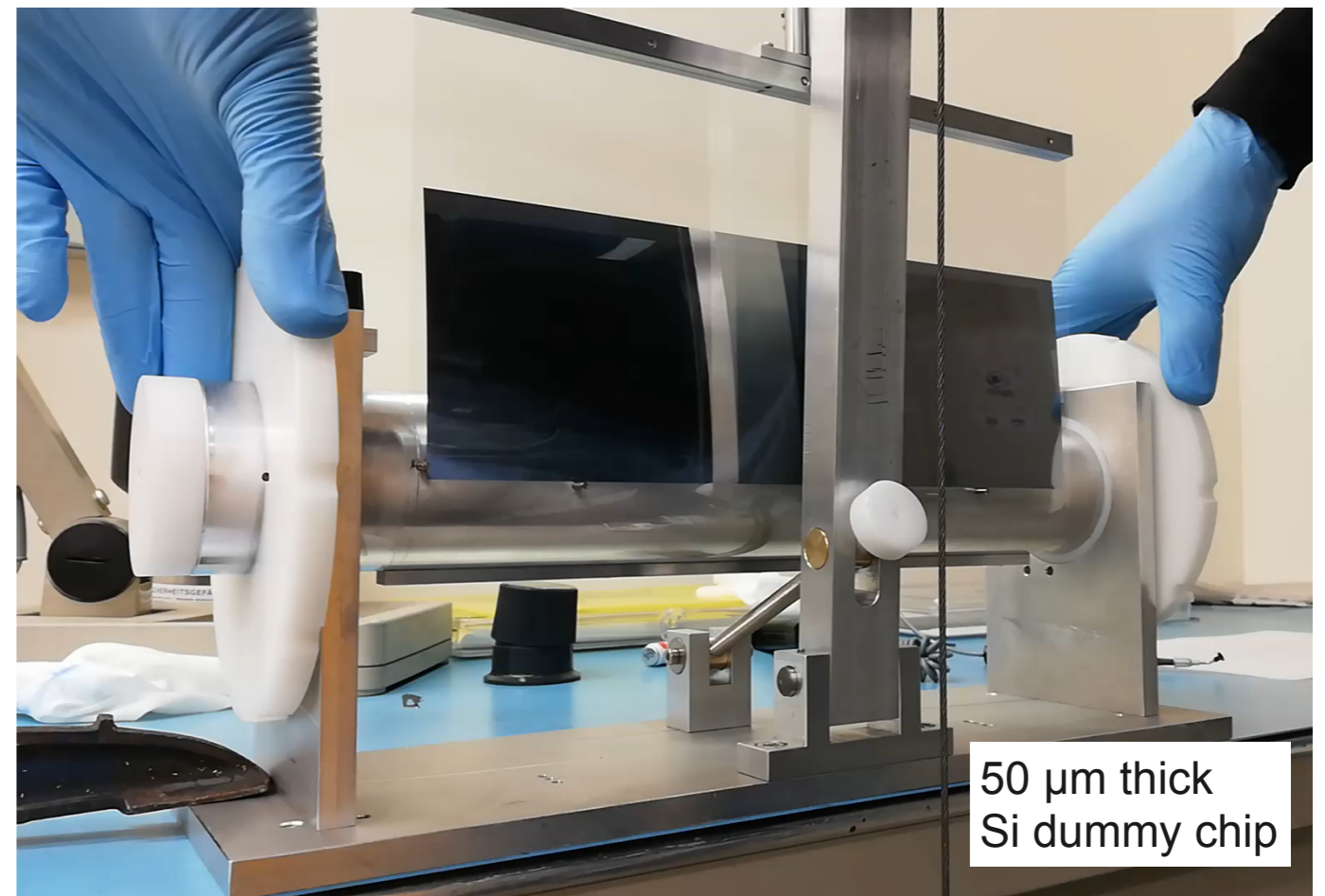
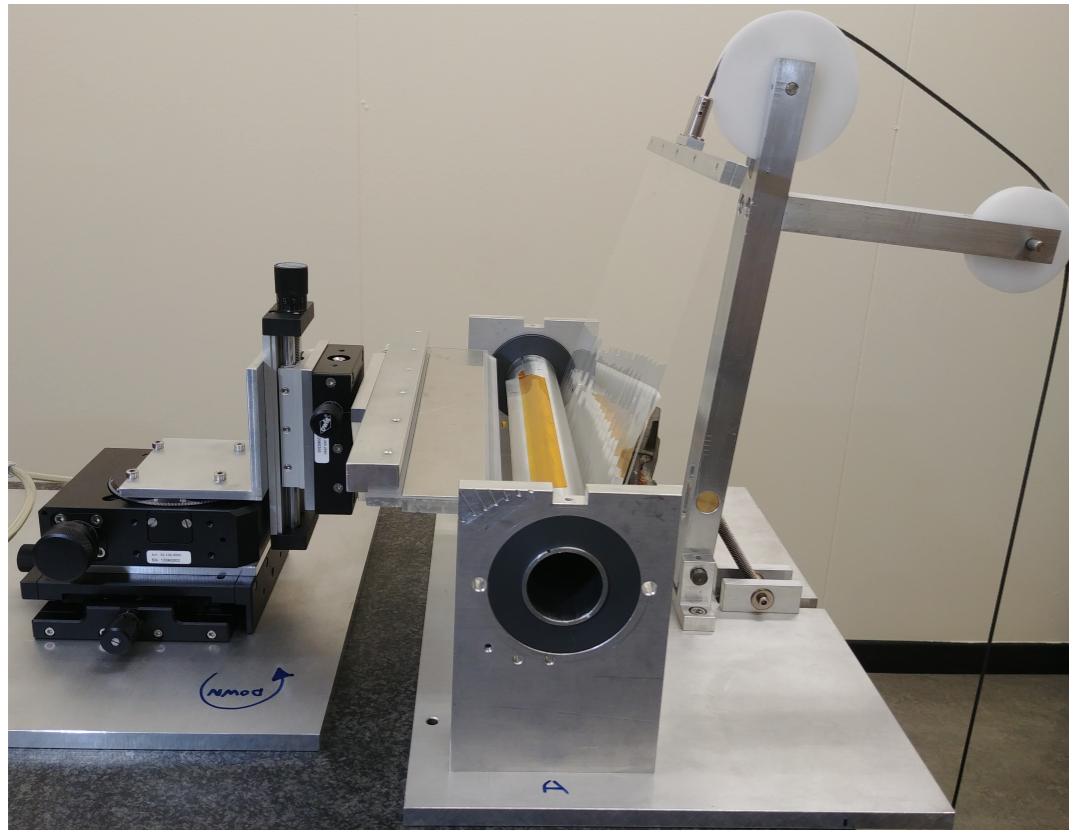
ALICE ITS3 Bending test





WAFER-SCALE CHIPS BENDING

- » Developed procedure allows silicon bending in a repeatable reliable way
- » Bending tool: tensioned mylar foil wrapping around a cylindrical mandrel

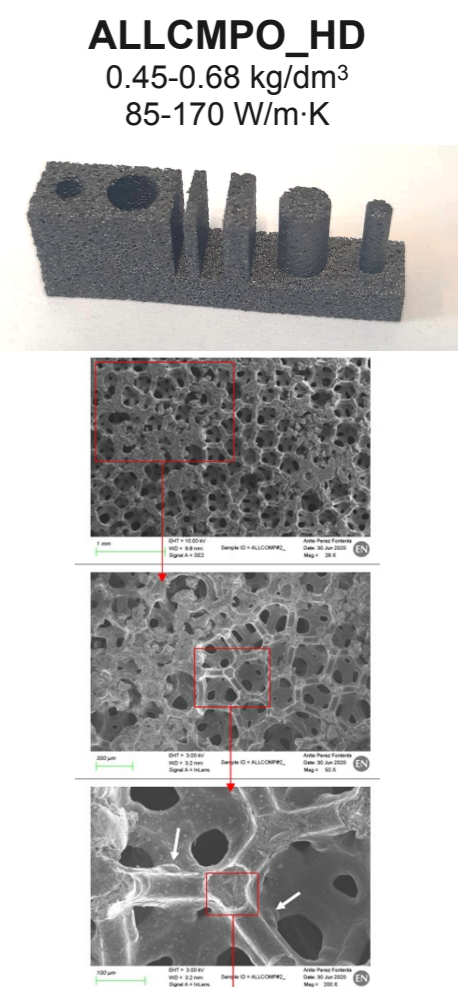
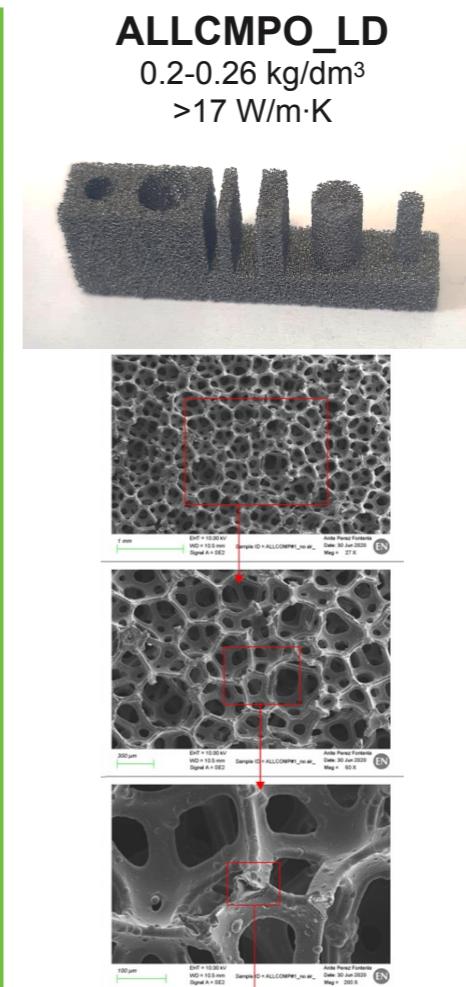
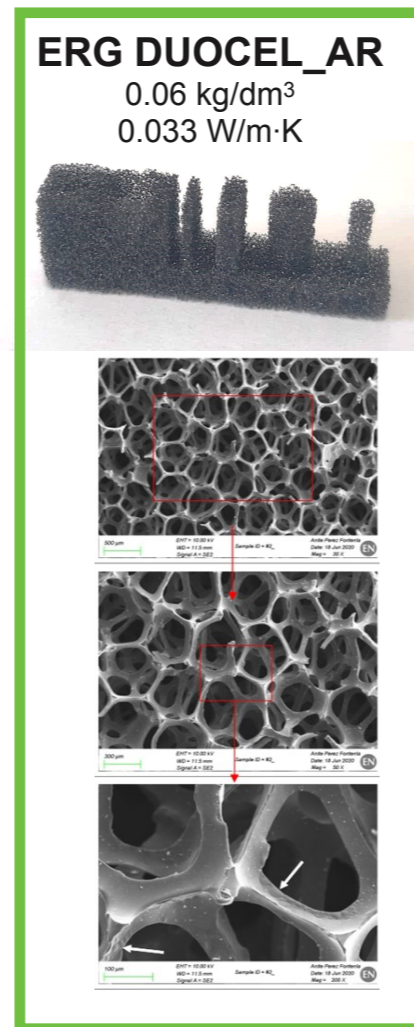
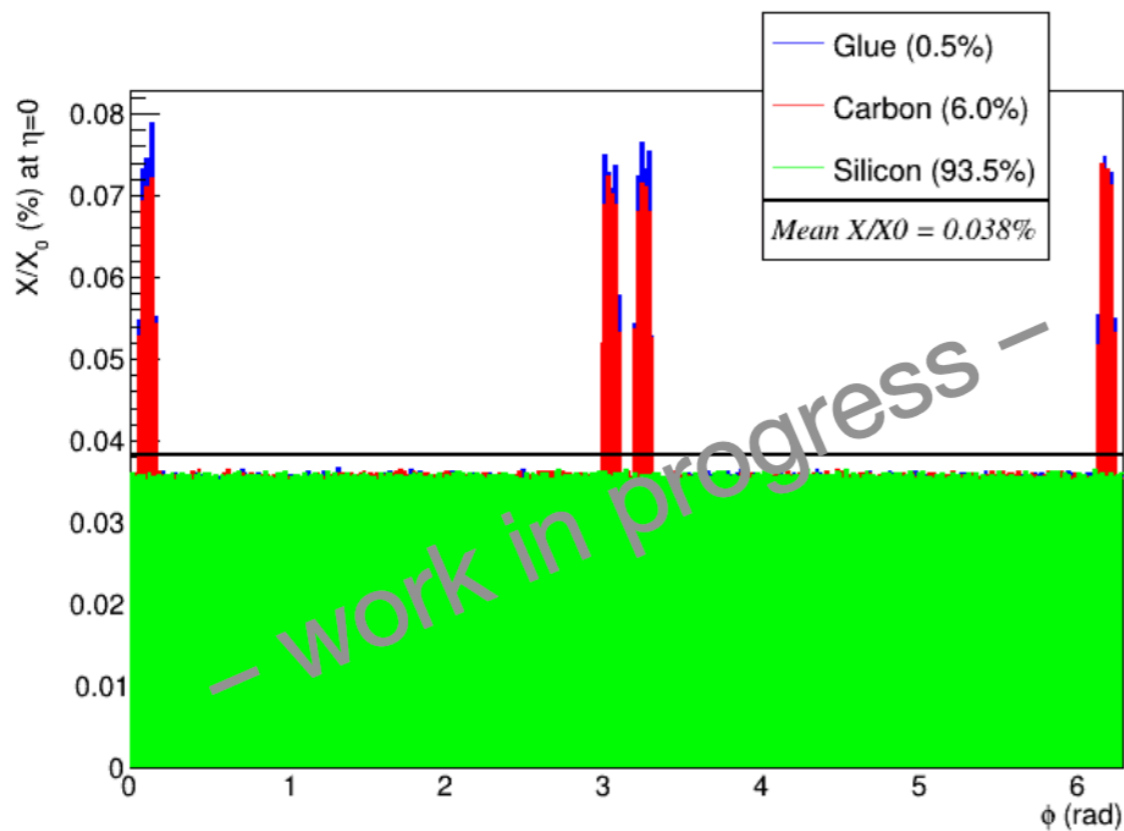


ITS3 R&D lines - Detector Integration

CARBON FOAM SUPPORT STRUCTURE



- » Different foams characterised for machinability and thermal properties
- » Baseline is ERG DUOCEL_AR, which also features the largest radiation length

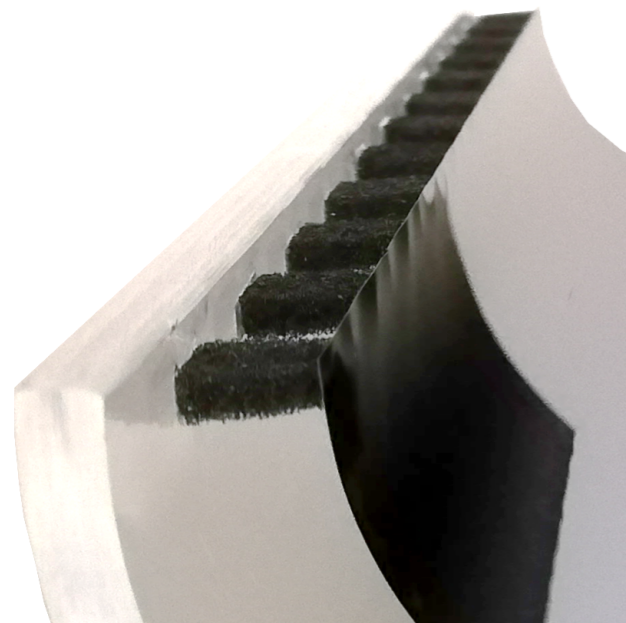
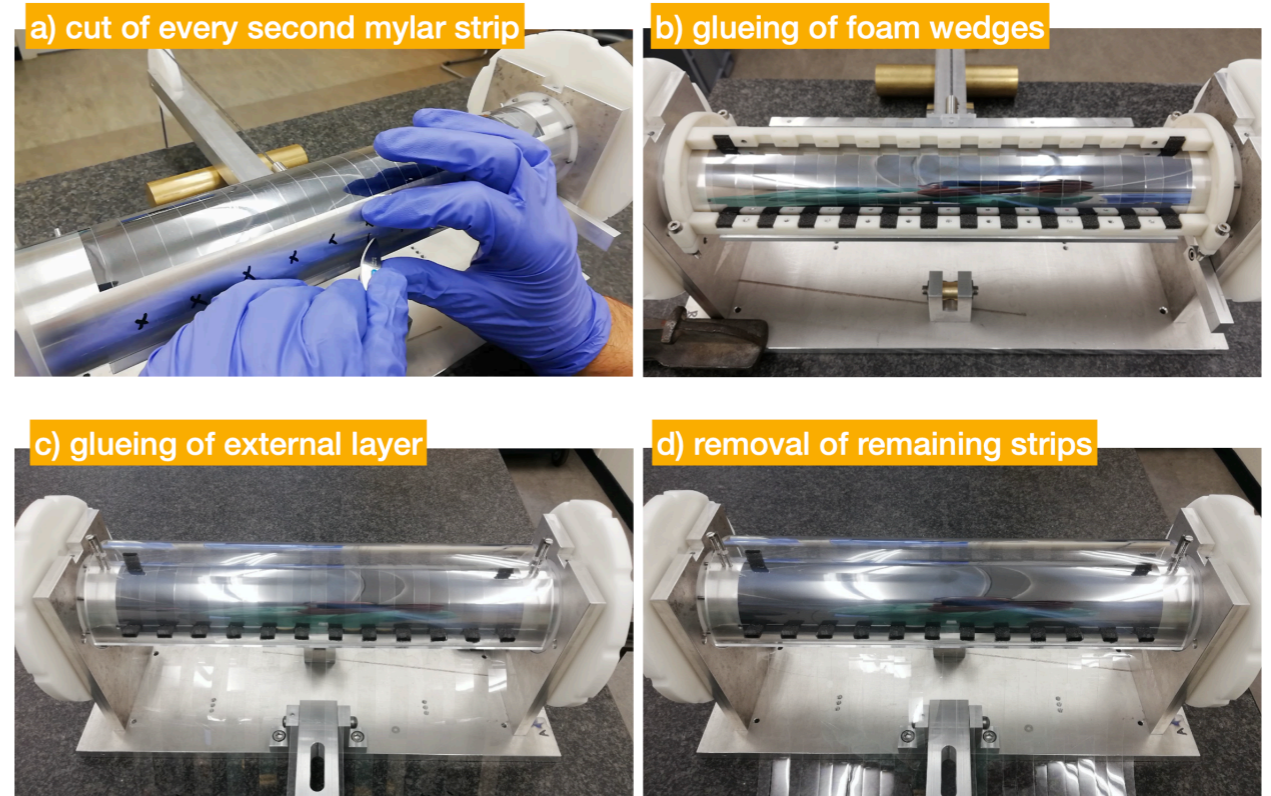


ITS3 R&D lines - Detector Integration



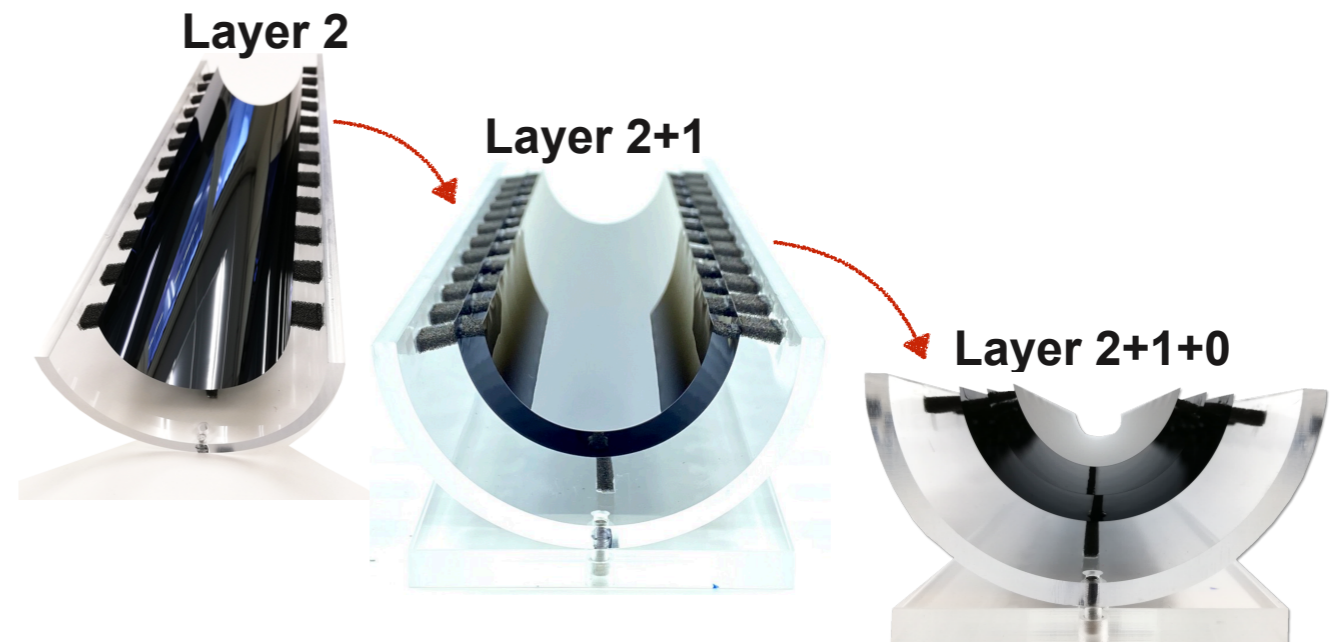
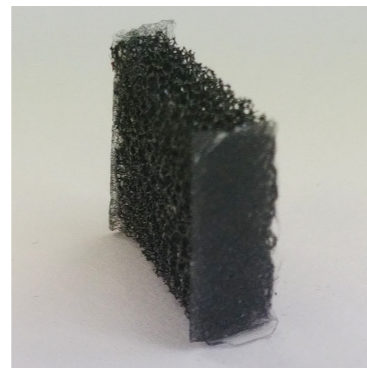
LAYER ASSEMBLY PROCEDURE

- » Different options under study (including vacuum clamping)
- » Currently working solution based on segmented mylar foil

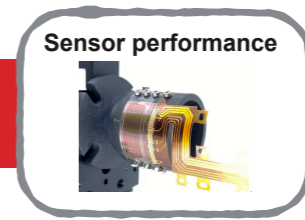


footprint effect
+ bending between wedges

Carbon foam wedges
+ fleece (to reduce glue)

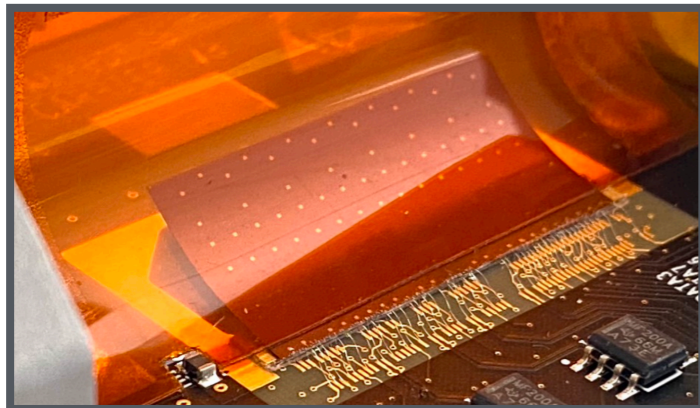


ITS3 R&D lines - Sensor performance

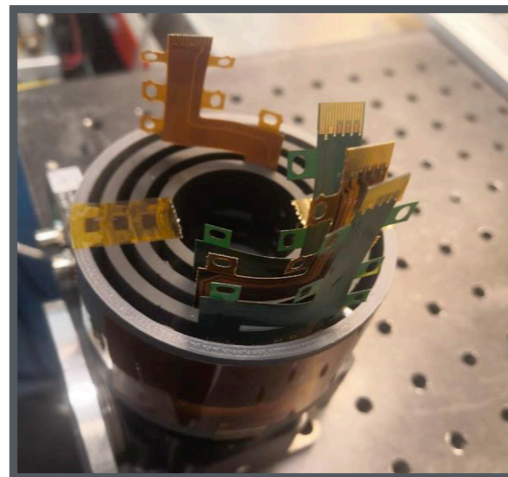


TEST BEAMS

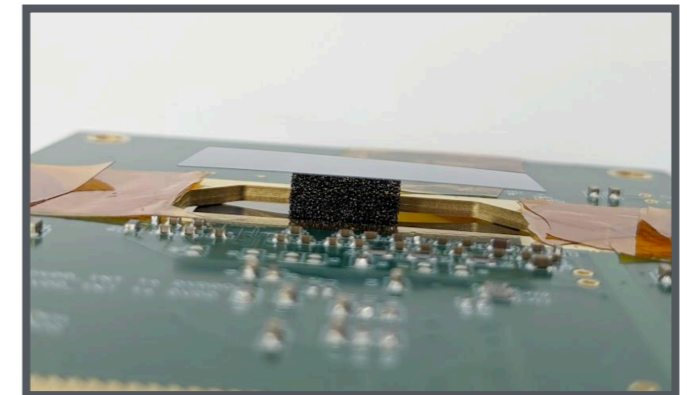
» Rich test beam campaign with different DUTs, in different configurations and carbon foam effect study



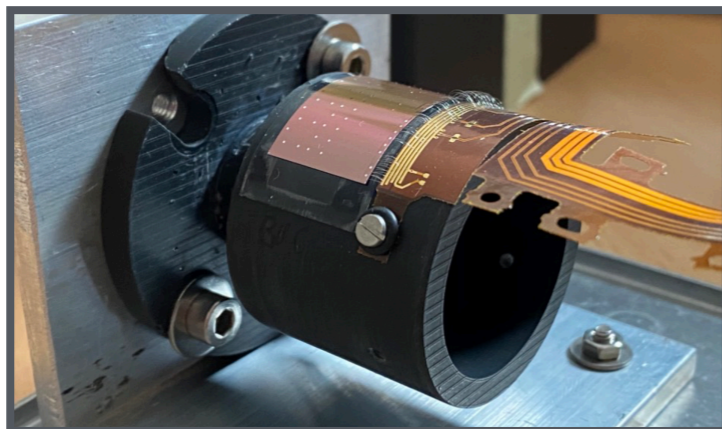
first bent ALPIDE on "standard carrier"



μ ITS3 with 4 ALPIDE + carbon foam



carbon foam



bent ALPIDE on cylindrical mount



μ ITS3 with 6 ALPIDE + target

Jun 2020
@DESY

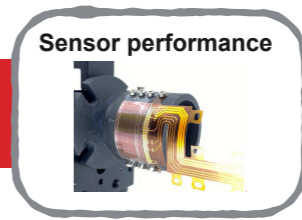
Aug/Dec 2020
@DESY

Jul 2021
@SPS

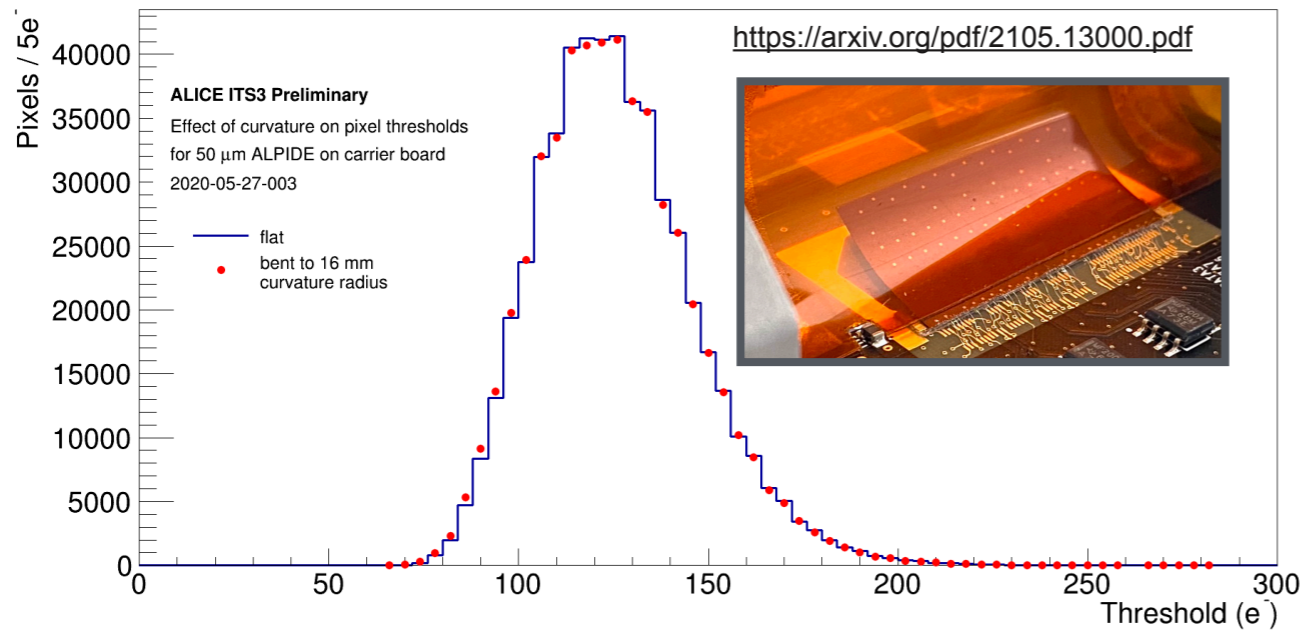
Apr 2021
@DESY

Sep 2021
@DESY

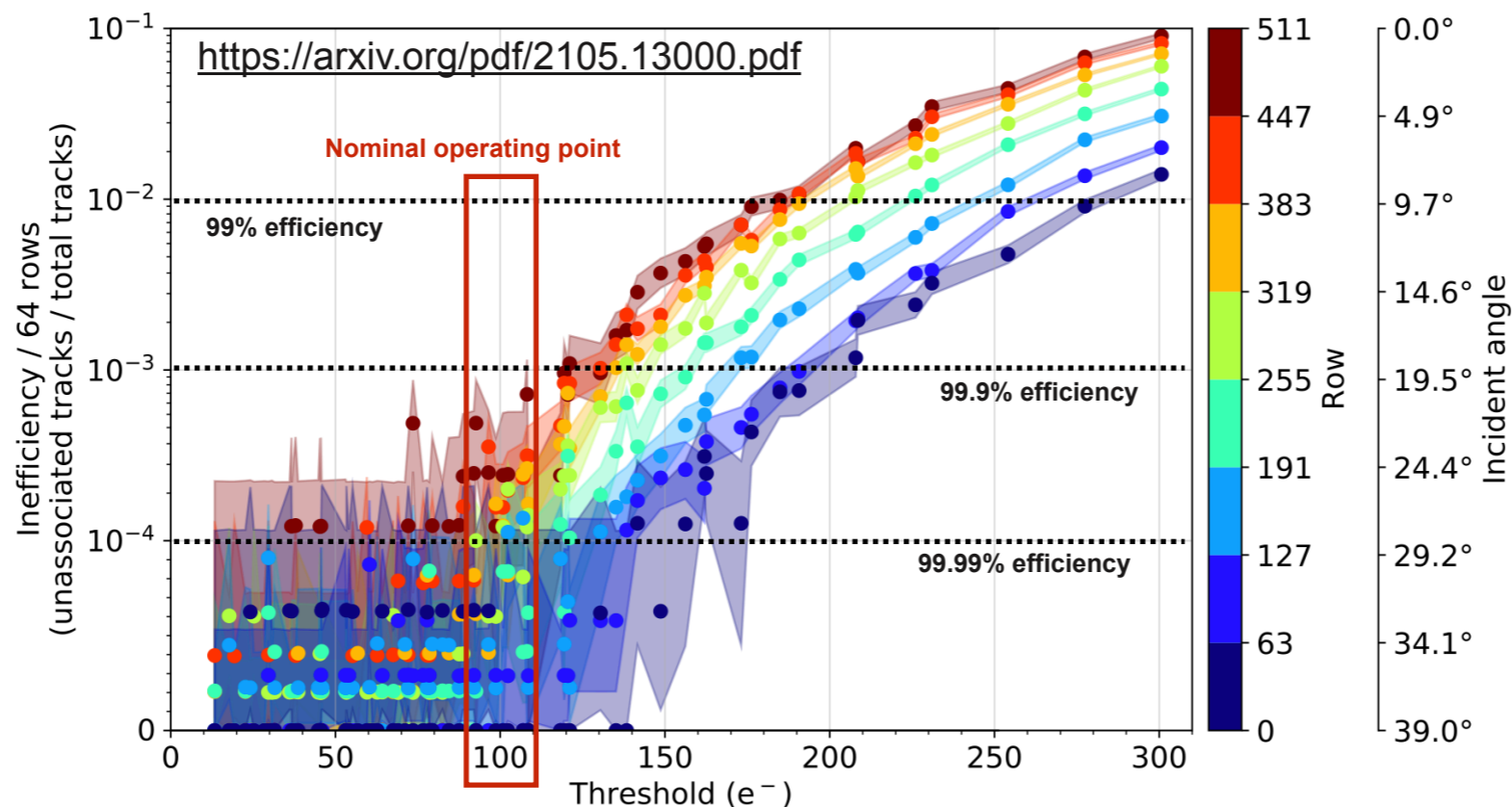
ITS3 R&D lines - Sensor performance



TEST BEAMS

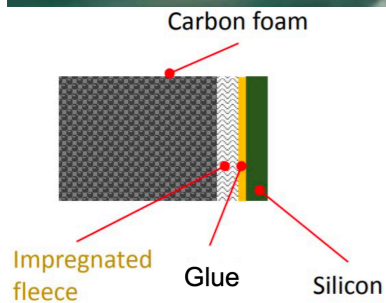
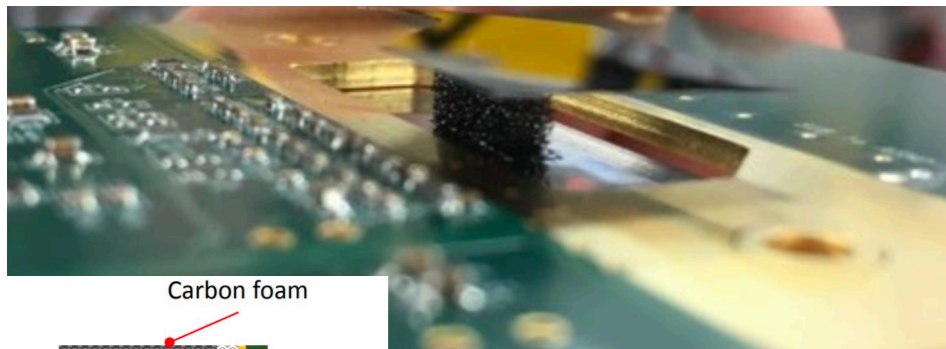
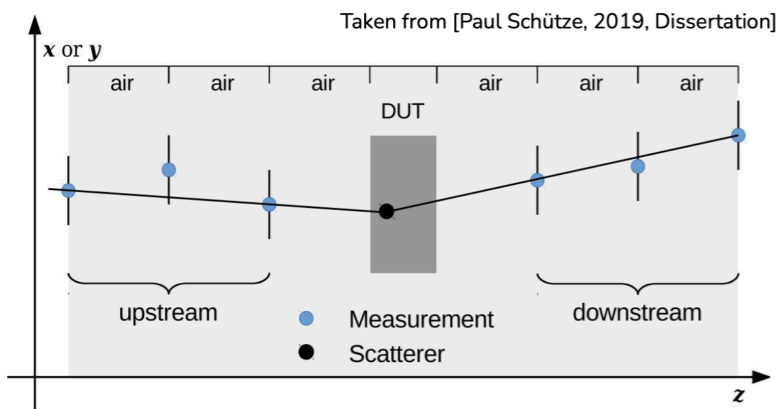
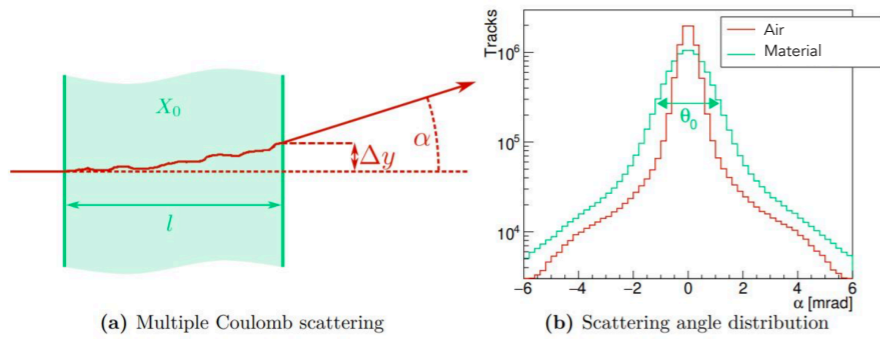
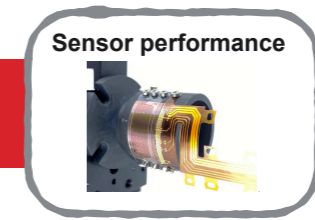


- » Laboratory and test beam measurements (Jun 2020) allow to conclude that **chip performance doesn't change after bending**
- Pixel matrix threshold distribution does not change when sensor is bent
 - Efficiency above 99.9% at a threshold of 100 e^- (normal operating point)

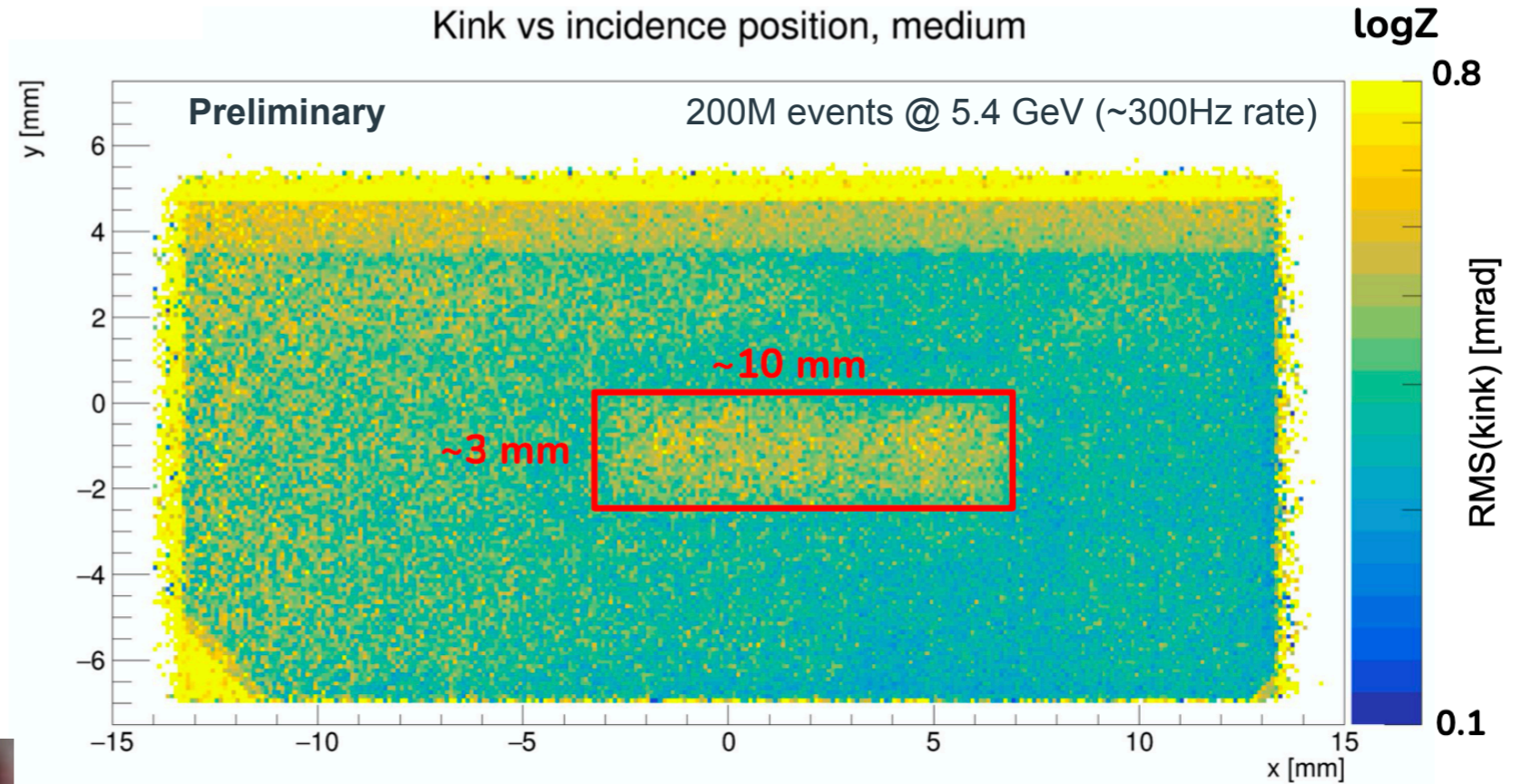


ITS3 R&D lines - Sensor performance

TEST BEAMS



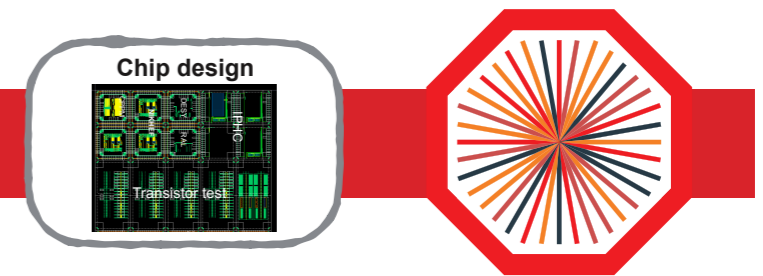
Kink vs incidence position, medium



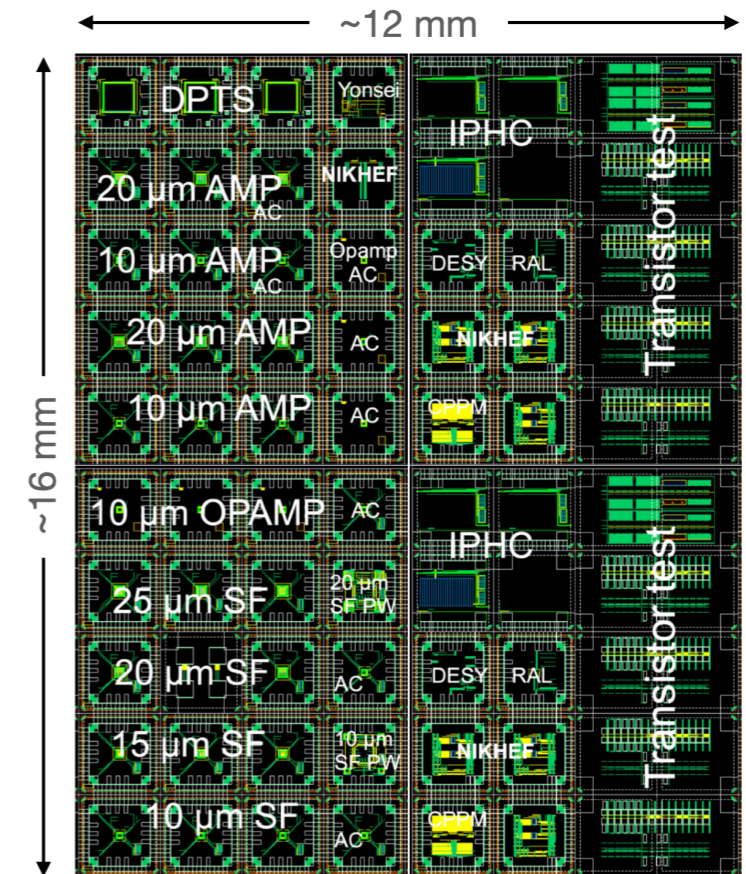
- » Analysis of the kink angle distributions at the position of a scatterer
- » Material budget image: represents the widths of the scattering angle distribution of all particles traversing a given bin

ITS3 R&D lines - Chip design

MLR1 SUBMISSION AND TEST + ER1

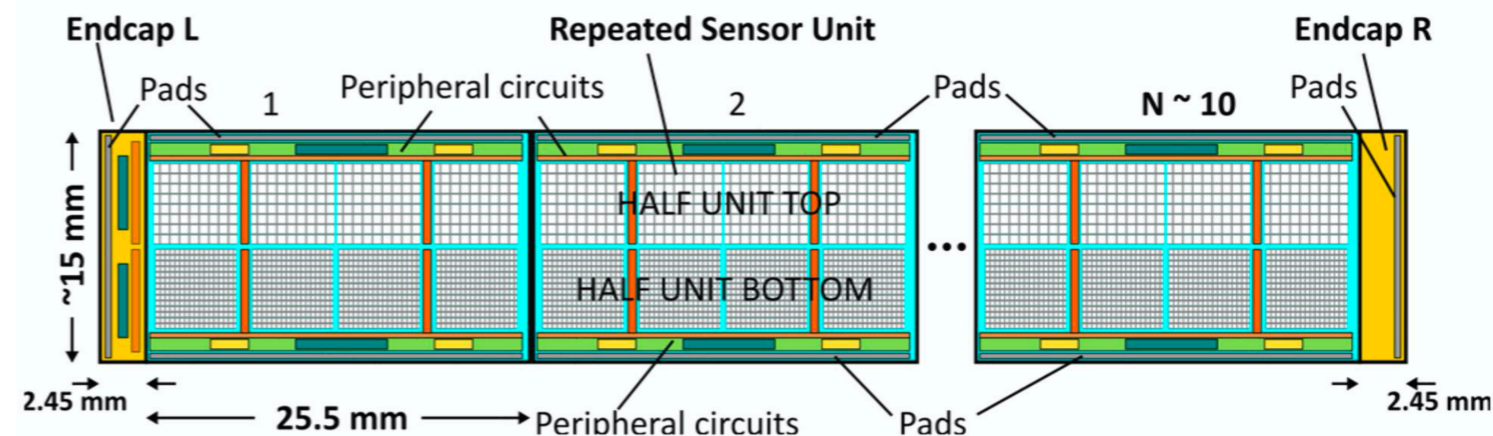


- » MLR1 is the first submission in the TowerJazz 65 nm technology
 - scoped within CERN EP R&D WP1.2, but significant drive from ITS3
 - this technology will allow to build larger sensors (300 mm wafers)
- » More than just “first test structures”
 - transistor test structures
 - analog building blocks (band gaps, LVDS drivers, etc.)
 - various diode matrices (small and large)
 - digital test matrices
 - ➔ Essentially covers the initial goals of MPW1 and MPW2
- » First wafers received
 - laboratory characterisation ongoing
 - test beam campaign (PS, SPS and DESY) in Oct-Dec 2021
 - characterisation of bent test structure



expect O(300) dies per wafer

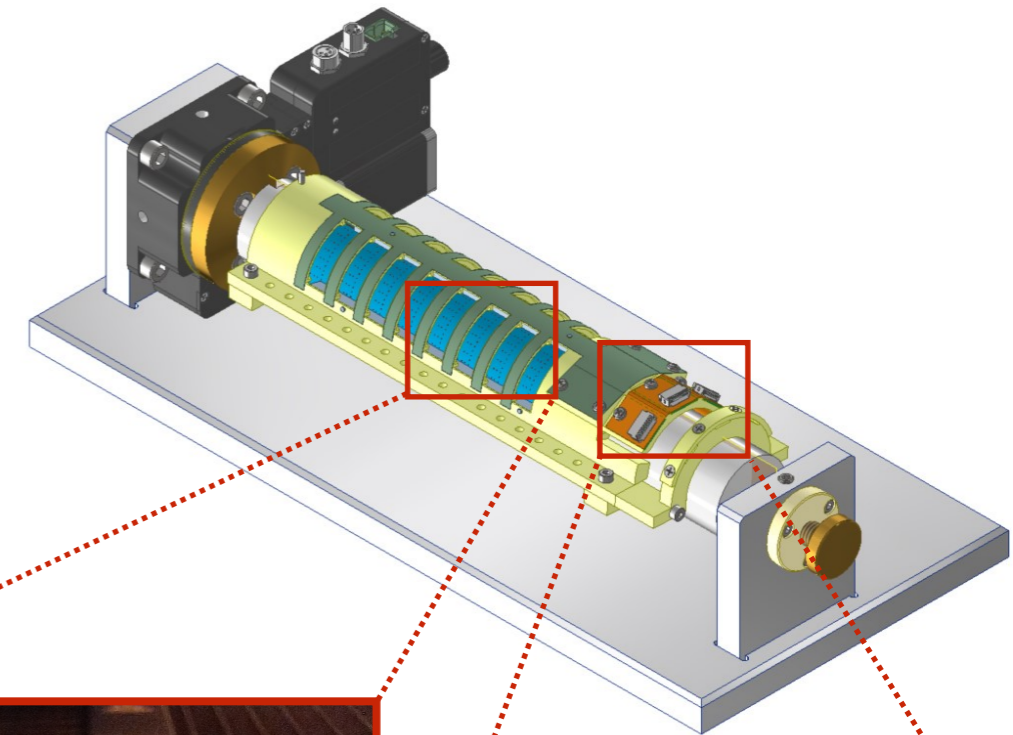
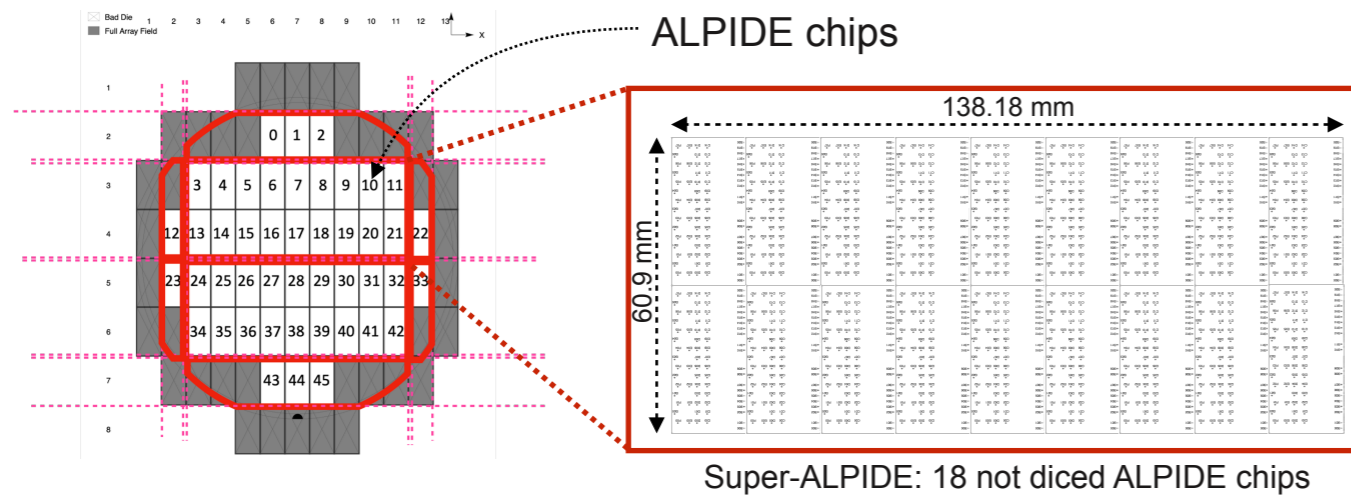
- » ER1 Stitched Sensor prototype
 - Key requirements and architectures defined (sensor, primary features, dimensions and floorpan, powering scheme, I/Os and global busses)
 - Mock submission by end of November





ITS3 R&D lines - Super ALPIDE

TOWARD FIRST WORKING LARGE DIMENSION SENSOR

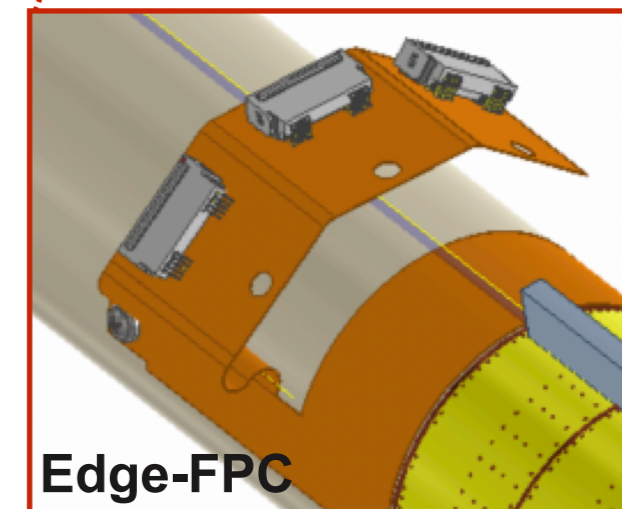
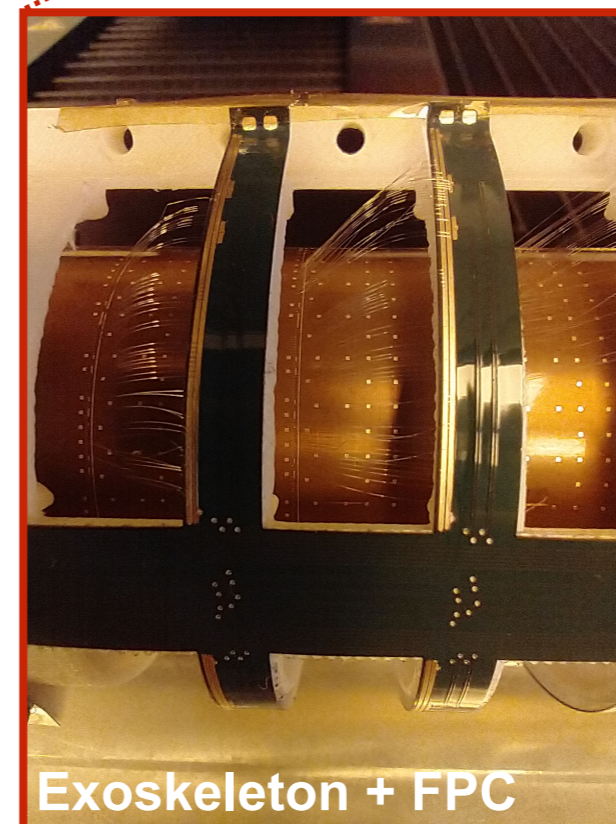


» Super-ALPIDE

- 18 not diced ALPIDE chips
- dimensions close to the ones for L0 sensor

» Goals

- verify bending tools for large-size working chips
- verify mechanical support alignment tools
- develop wire-bonding over bent surface tools
- develop first bent flex prototype (for powering and data streaming)
- assemble first working large dimension bent sensor





- » ALICE proposes to build the next-generation inner tracking detector, based on **300 mm wafer-scale, 20-40 μm thin, bent MAPS**
- » **R&D** is making rapid progress on all fronts
 - successful **in-beam verification of bent MAPS**
 - **full-size mechanical mockups**
- » **First prototype chips** fabricated in **65 nm** are available for testing
 - significant drive from the ITS3 community to push this technology

See also (at this conference):

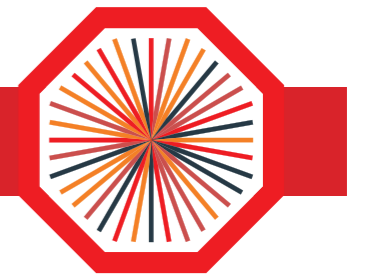
“Testbeam performance results of bent ALPIDE MAPS in view of the ALICE Inner Tracking System 3”
Mihail Bogdan Blidaru
Poster

ITS3

“Efficiency measurements of the Outer Barrel of the ALICE Inner Tracking System using cosmic muons”
James Philip Iddon
Poster

ITS2

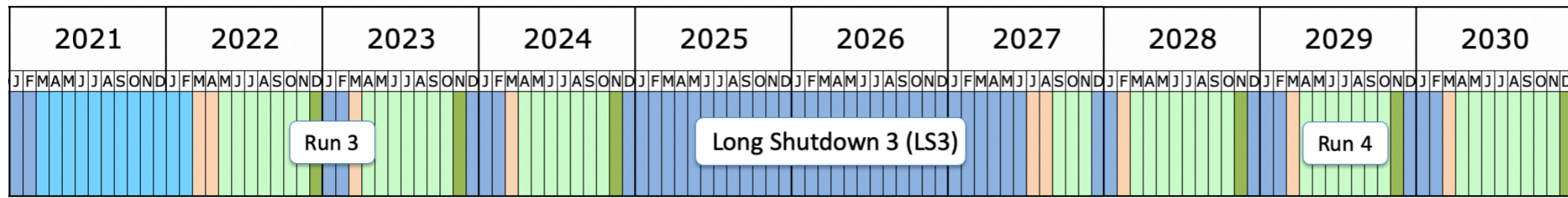
Backup



ALICE

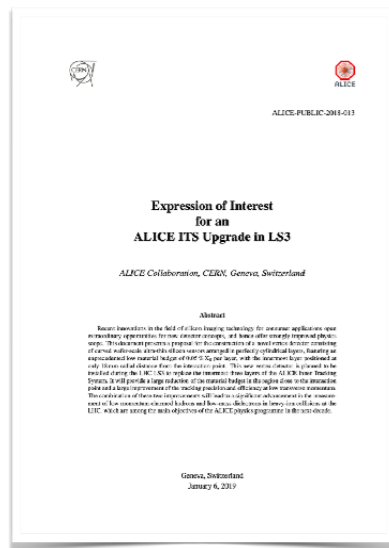


ALICE Inner Tracking upgrade roadmap



ALICE 2.1

Expression of Interest



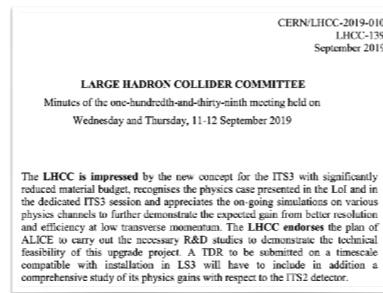
[ALICE-PUBLIC-2018-013]
<https://cds.cern.ch/record/2644611>

Letter of Intent



[CERN-LHCC-2019-018 ; LHCC-I-034]
<https://cds.cern.ch/record/2703140/>

LHCC 139 (Sep 2019)



“The LHCC is impressed by the new concept for the ITS3...”

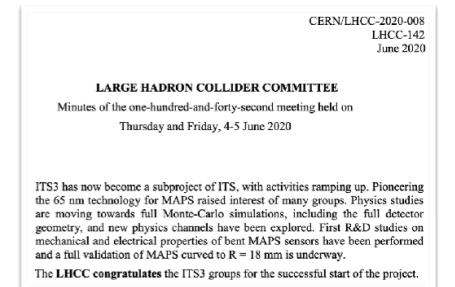
Project setup (spring 2020)

- Project leaders
- Work packages conveners
- Institutes joining



R&D kick-off (Dec 2019)

LHCC 142 (Jun 2020)



“The LHCC congratulates the ITS3 groups for the successful start of the project.”

Oct 2018

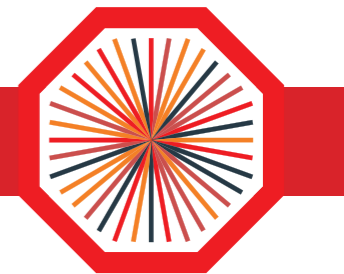
Sep 2019

Sep 2019

Dec 2019

Spring 2020

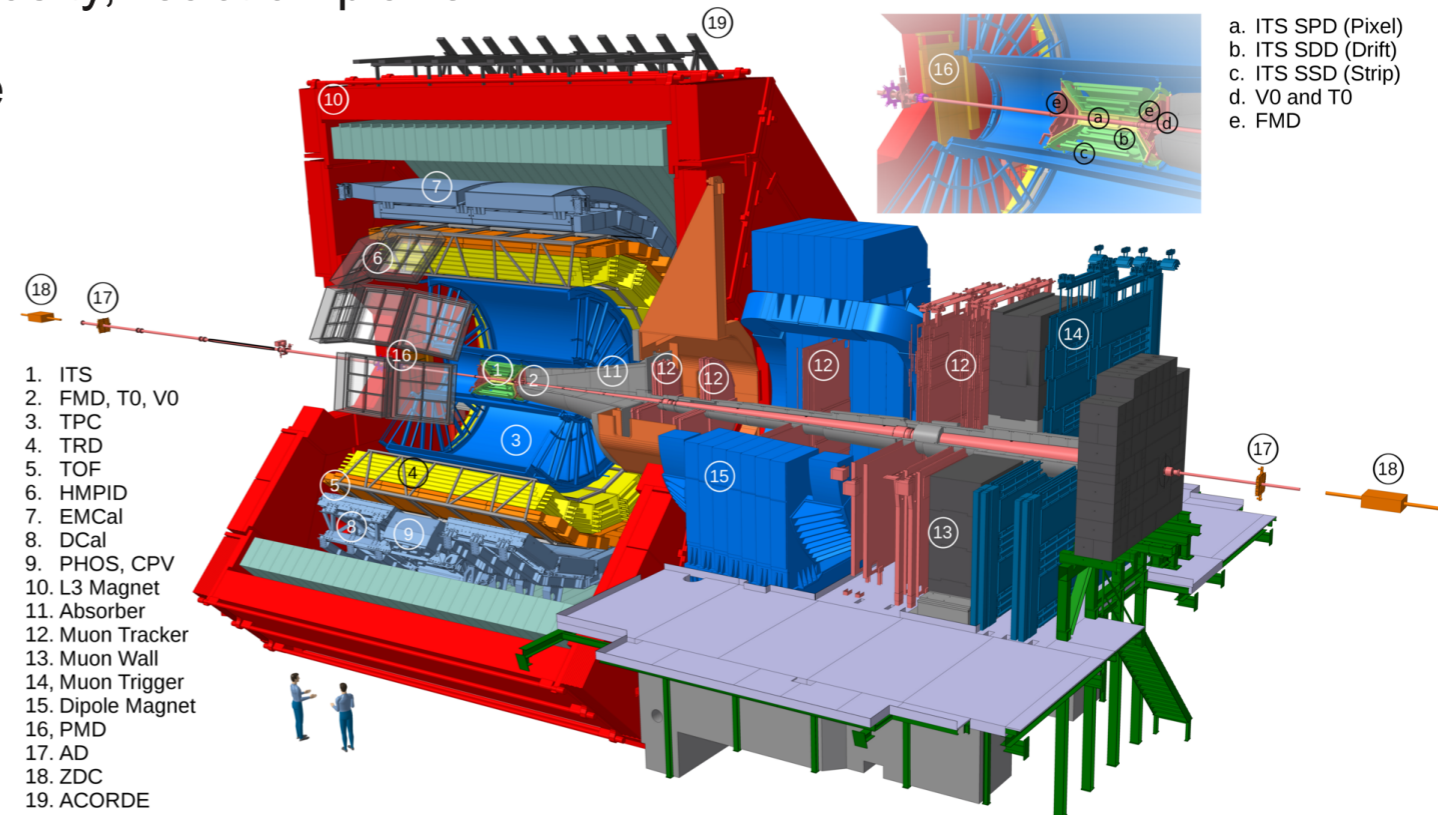
Jun 2020



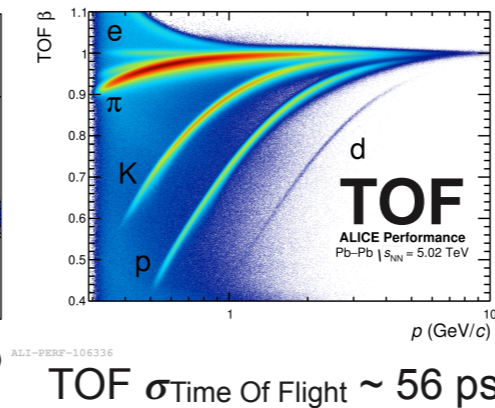
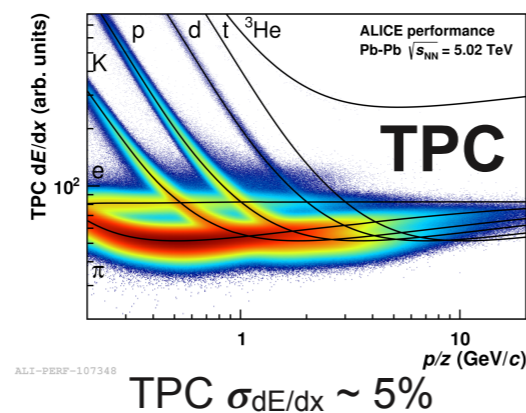
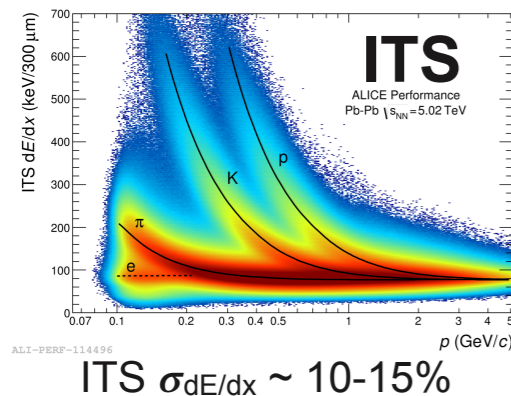
Backup

- » Central barrel ($-0.9 < \eta < 0.9$)
- » Muon spectrometer ($-4.0 < \eta < -2.5$)
- » Forward detectors: trigger, centrality, luminosity, reaction plane
- » Tracking and PID per large kinematic range
- » High resolution vertex reconstruction

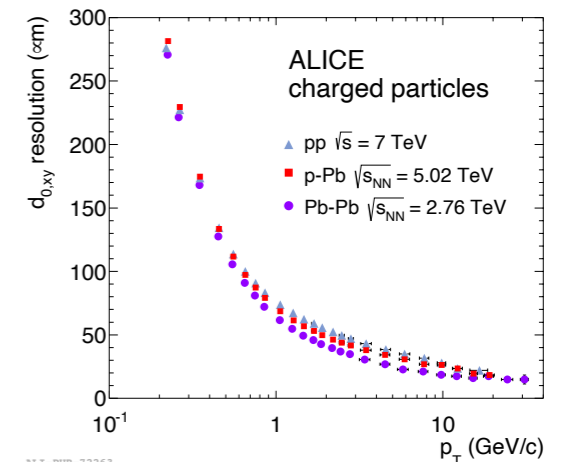
LHC Run 1 and Run 2 data taking		
Colliding System	Year(s)	$\sqrt{s_{NN}}$ (TeV)
Pb-Pb	2010-2011	2.76
	2015-2018	5.02
Xe-Xe	2017	5.44
p-Pb	2013	5.02
	2016	5.02, 8.16
pp	2009-2013	0.9, 2.76, 7, 8
	2015, 2017	5.02
	2015-2018	13



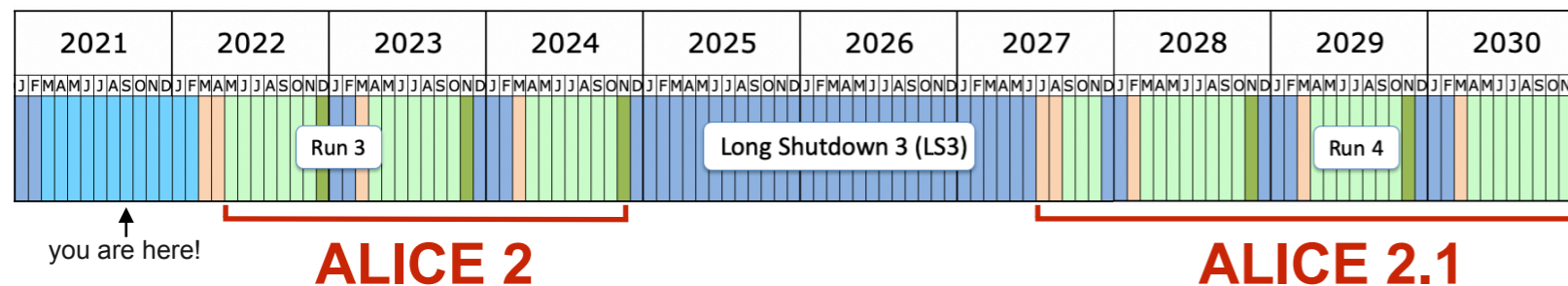
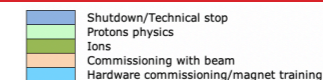
Central barrel PID performance



ITS impact parameter performance



Backup



Data taking strategy

» Record large minimum-bias data sample

- read out all Pb-Pb interactions up to maximum LHC collision rate of 50 kHz (was ~1 kHz in the central barrel)
- increase Pb-Pb Run 2 minimum-bias sample by factor 50-100

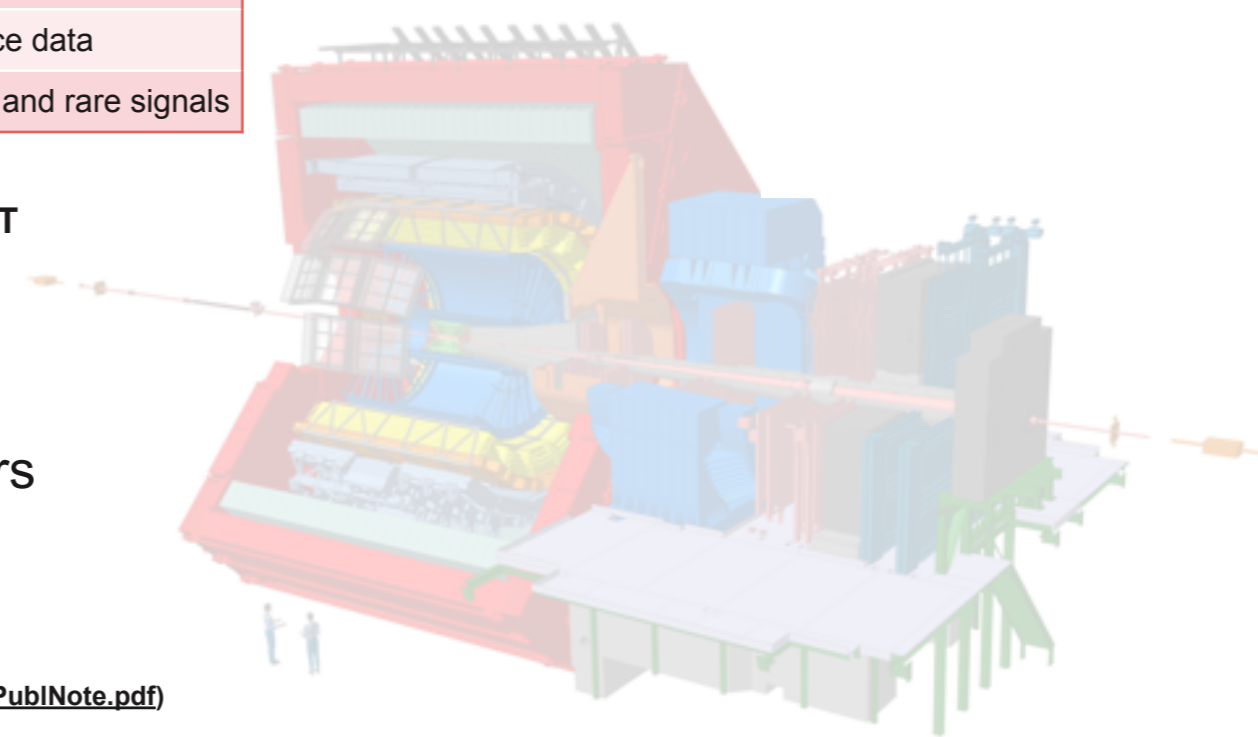
Colliding System	Integrated luminosity	Comment
Pb-Pb @ $\sqrt{s_{NN}} = 5 - 5.5$ TeV	13 nb ⁻¹	Plus pp reference data
p-Pb @ $\sqrt{s_{NN}} = 8 - 8.8$ TeV	0.6 pb ⁻¹	Plus pp reference data
pp @ $\sqrt{s} = 14$ TeV	200 pb ⁻¹	Focus on high multiplicity and rare signals

» Improve tracking efficiency and resolution at low- p_T

- increase tracking granularity
- reduce material thickness

» Preserve Particle IDentification (PID)

- consolidate and speed-up main ALICE PID detectors

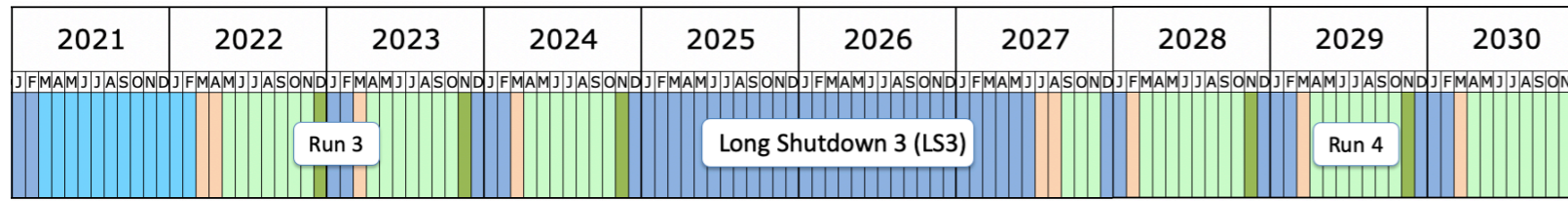


Programme is presented in CERN Yellow Report (<https://arxiv.org/abs/1812.06772>)
 Future high-energy pp programme with ALICE (https://cds.cern.ch/record/2724925/files/ALICE_HEpp_PubINote.pdf)
[LHC schedule](#)



Backup

Shutdown/Technical stop
 Protons physics
 Ions
 Commissioning with beam
 Hardware commissioning/magnet training



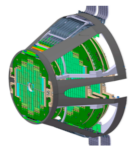
ALICE 2

ALICE 2.1

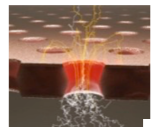
LS 2 upgrades for Run 3



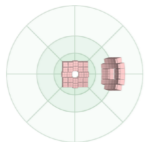
New Inner Tracking System (ITS 2)



New Muon Forward Tracker (MFT)



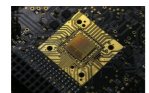
New TPC Readout Chambers (ROCs)



New Fast Interaction Trigger (FIT) detector



Integrated Online-Offline system (O²)



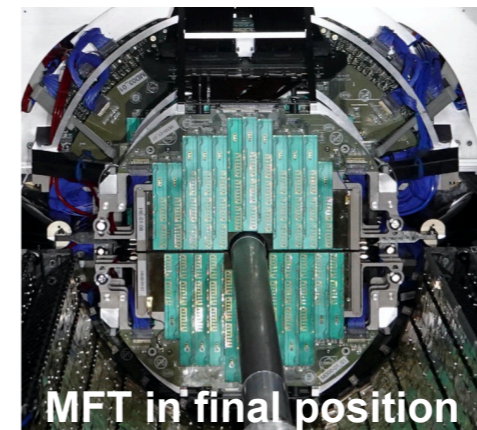
Readout upgrade for other detectors



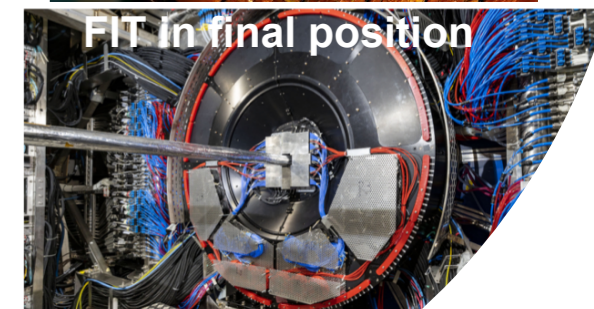
TPC installation



PIXEL PERFECT



MFT in final position



FIT in final position

**Installation completed
Commissioning ongoing**

Backup



ALICE

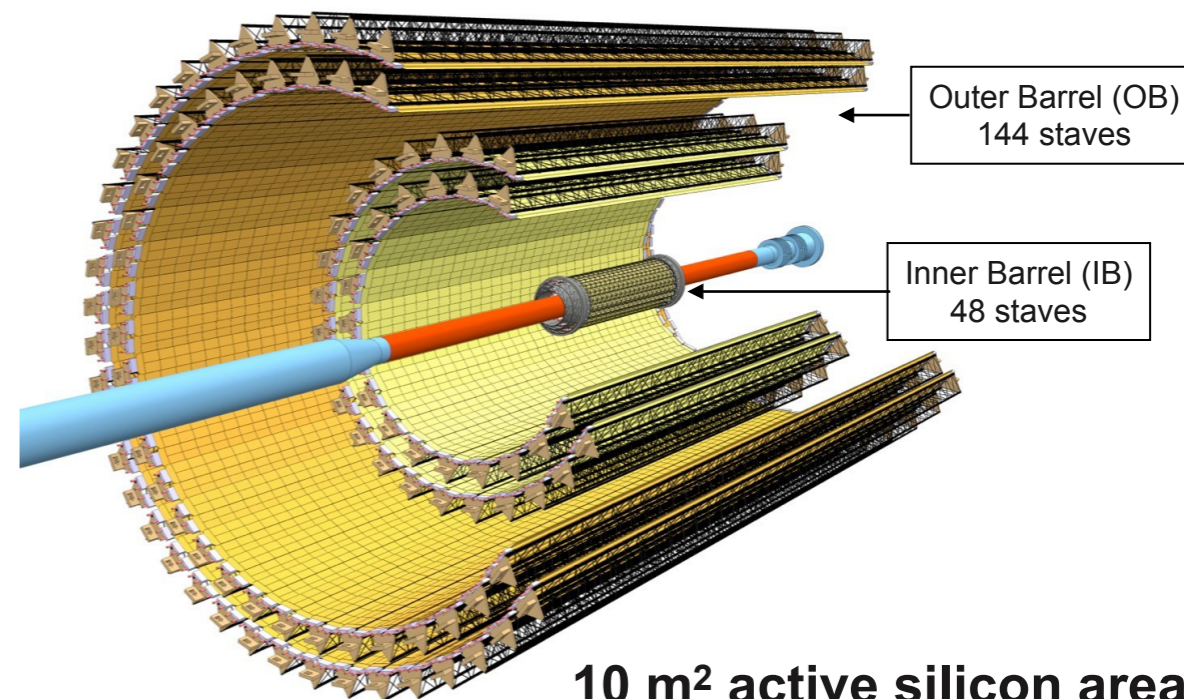
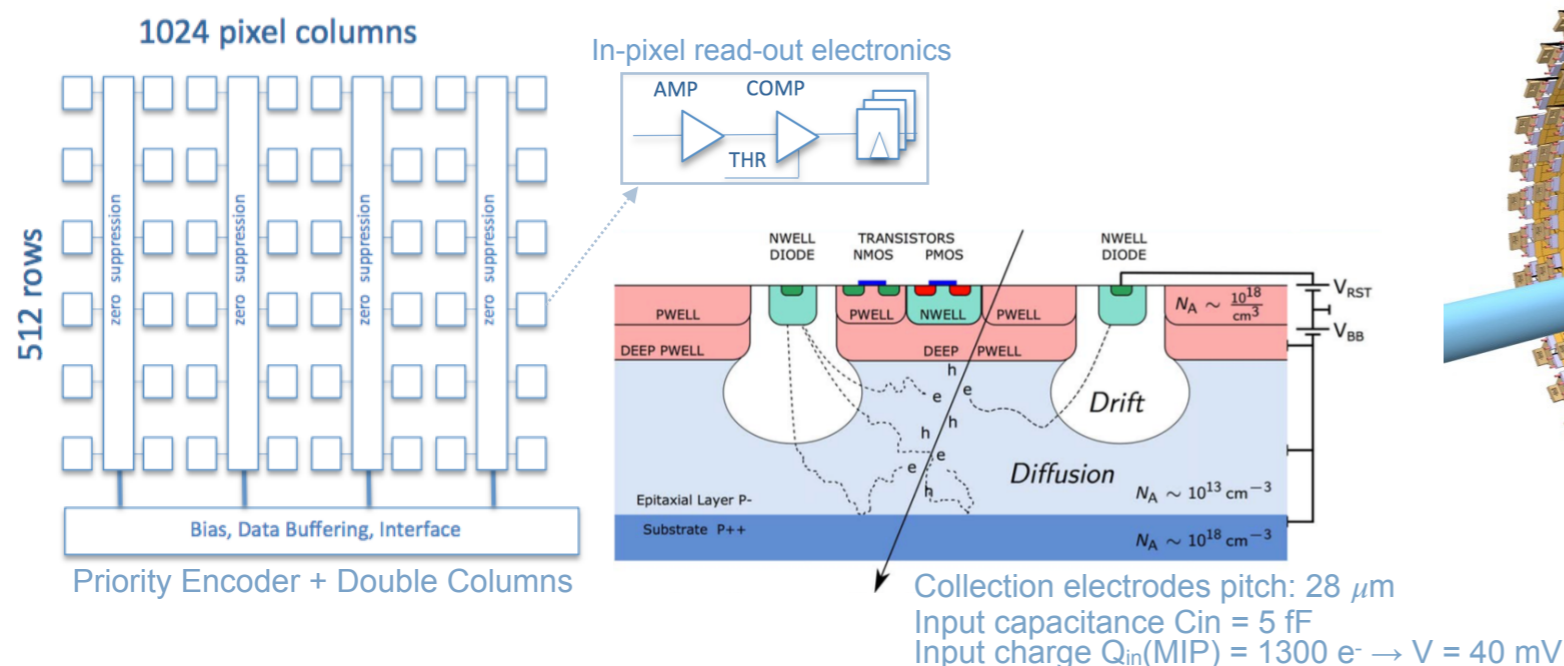


New Inner Tracking System (ITS 2)

Based on the ALPIDE Monolithic Active Pixel Sensor

- » In-pixel amplification, shaping discrimination and Multiple-Event Buffers (MEB)
- » In-matrix data sparsification
- » High detection efficiency (>99%) and low fake-hit rate ($\ll 10^{-6}$ /pixel/event)
- » Radiation tolerant:
 - > 270 krad TID
 - > 1.7×10^{12} 1 MeV/n_{eq} NIEL
- » Low power consumption ~ 40 mW/cm²

	ITS (Run 1/Run 2)	ITS 2
Number of layers	6 (pixel, drift, μ strip)	7 (MAPS)
Rapidity range	$ \eta < 0.9$	$ \eta < 1.3$
Material budget per layer	1.14% (SPD)	0.35% (IB)
Distance to interaction point	39 mm	22 mm
Pixel size	$50 \times 425 \mu\text{m}^2$	$29 \times 27 \mu\text{m}^2$
Spatial resolution	$12 \mu\text{m} \times 100 \mu\text{m}$	$5 \mu\text{m} \times 5 \mu\text{m}$
Max. readout speed Pb-Pb	1 kHz	100 kHz



10 m² active silicon area
12.5 × 10⁹ pixels



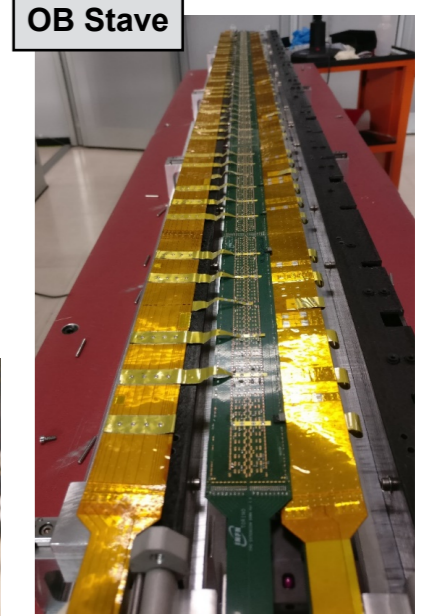
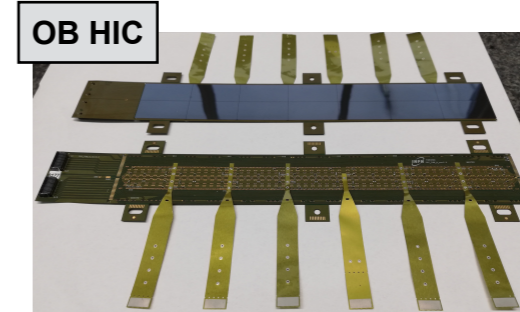
Backup



New Inner Tracking System (ITS 2)

Detector Construction and Assembly

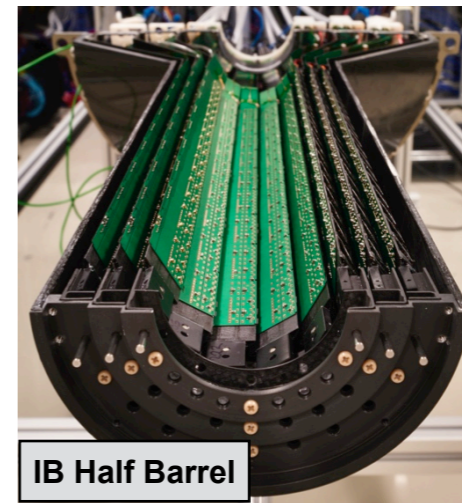
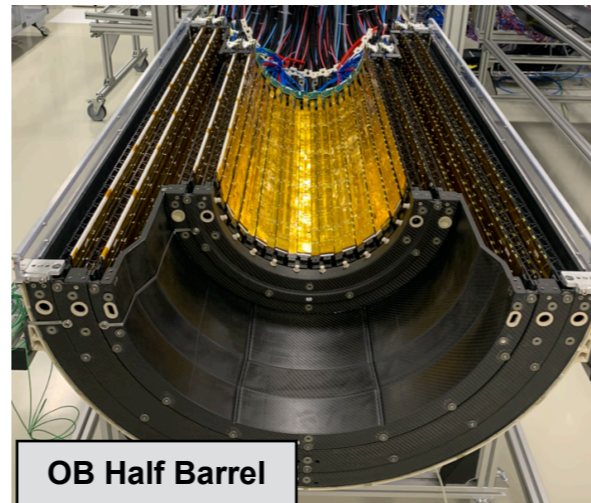
- » ~72000 chips → ~2600 Hybrid Integrated Circuits (HIC) → ~280 Staves (chip yield ~ 65%, HIC yield ~ 85%, Stave yield ~ 95%)
- » >10 production sites in Asia, Europe and Unites States of America
- » Stave integration completed in January 2020



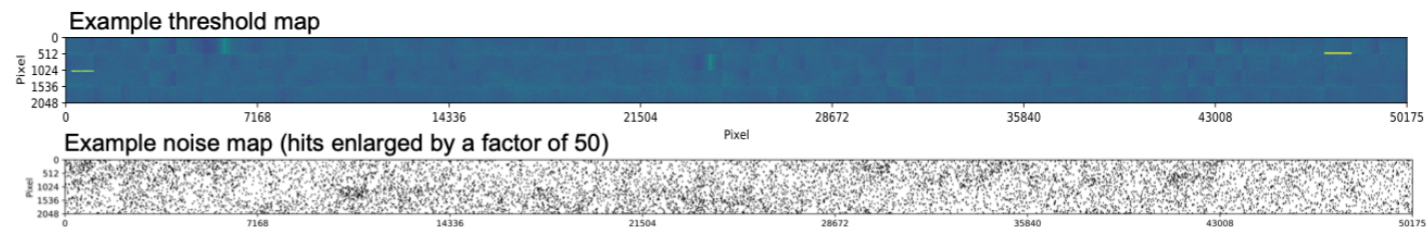
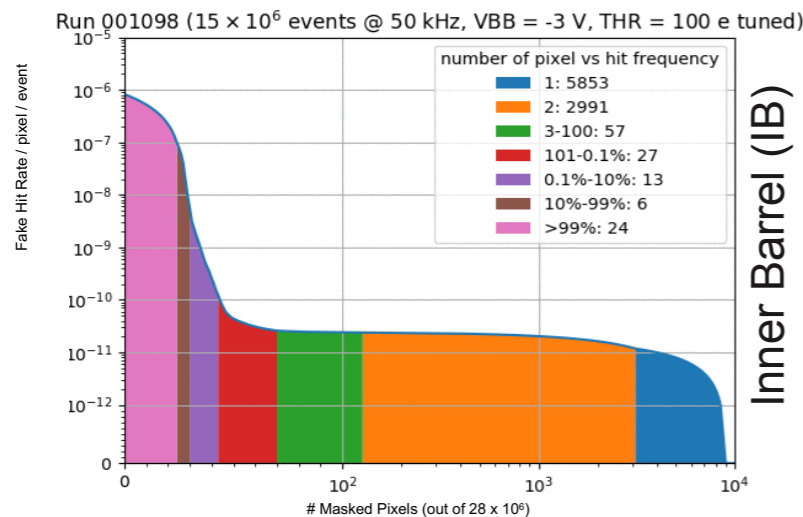
On-surface commissioning with final services ongoing until December 2020

Installation in ALICE cavern

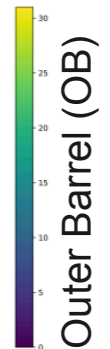
Global commissioning in ALICE



Performance



Fake-hit rate < 10⁻⁹ /pixel/event masking less than 200 pixel/chip (average ~50 pixel/chip)
 Threshold tuning to 100 e⁻ working to 2 e⁻ precision (on-chip spread: 20 e⁻)



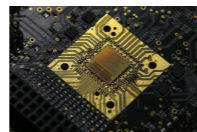
Backup



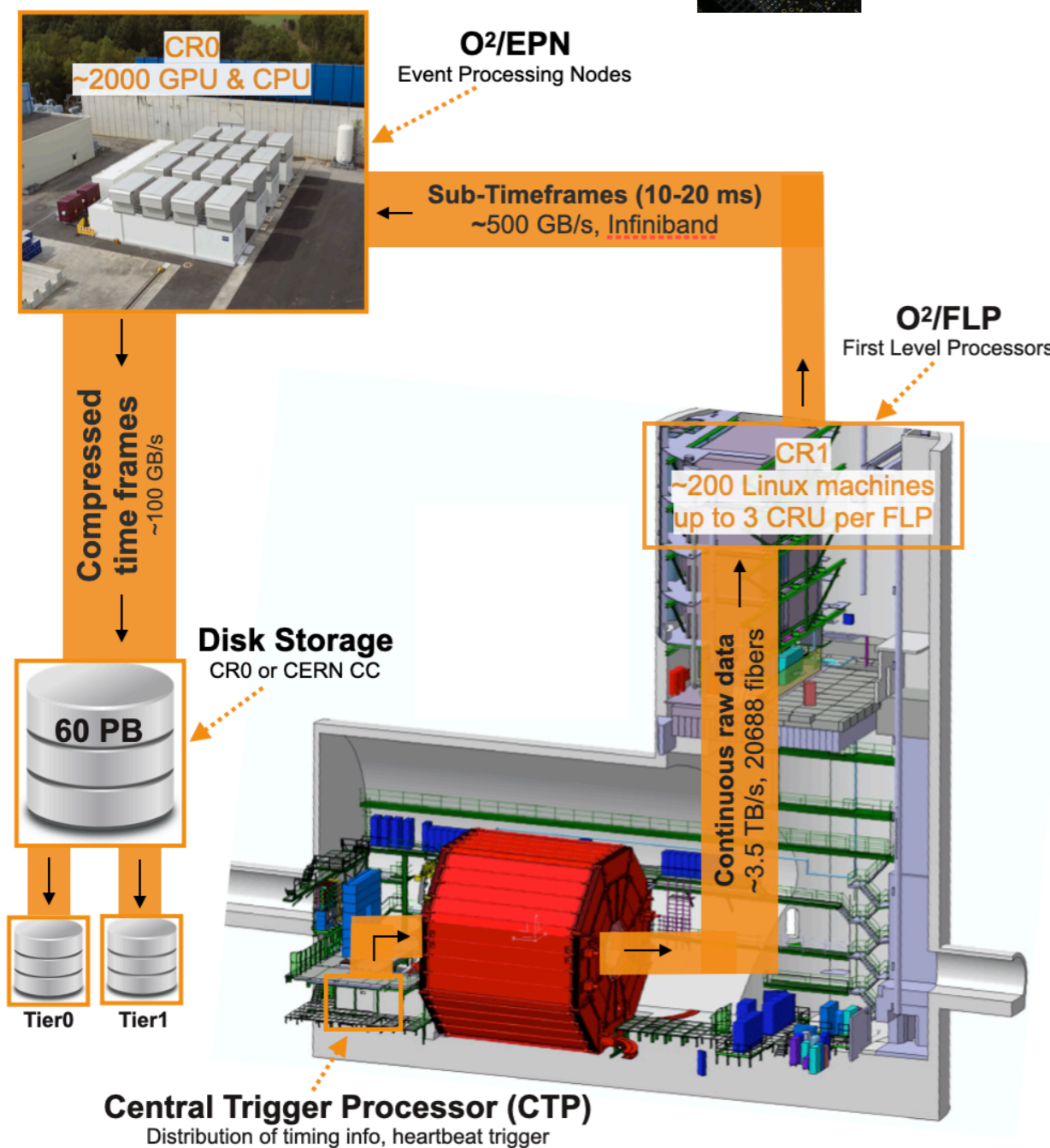
ALICE



Integrated Online-Offline system (O²)



Readout upgrade for other detectors



» Continuous readout

- Upgrade of all detector readout boards
- Heartbeat from CTP
- Timeframe (instead of events)

» Multi-step reconstruction chain

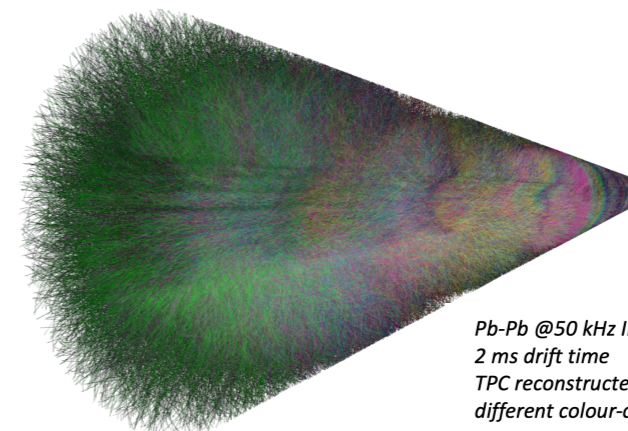
- Detector → FLP → EPN → Storage

» Synchronous processing (EPN farm)

- Data volume reduction (factor 35)
- Online calibration
- Clusterization and tracking (using GPUs) → Compressed Time Frames (CTF)

» Asynchronous processing (EPN farm/T0/T1)

- Final refined reconstruction → Analysis Object Data (AOD)



Pb-Pb @50 kHz IR
2 ms drift time
TPC reconstructed tracks from different colour-coded events

