

PSD12:
Applications in Astronomy,
Planetary and Space Science

Andrew Holland

Talk Outline



1. Requirements for space imaging
2. Space Radiation Damage
3. CCDs vs CMOS
4. Optical Imaging
 1. CCD arrays – Euclid & Gaia
 2. EM CCDs – WFIRST/Roman
5. X-ray Photon Imaging with Spectroscopy
 1. CCD arrays – XMM & SMILE
 2. CMOS arrays - Theseus

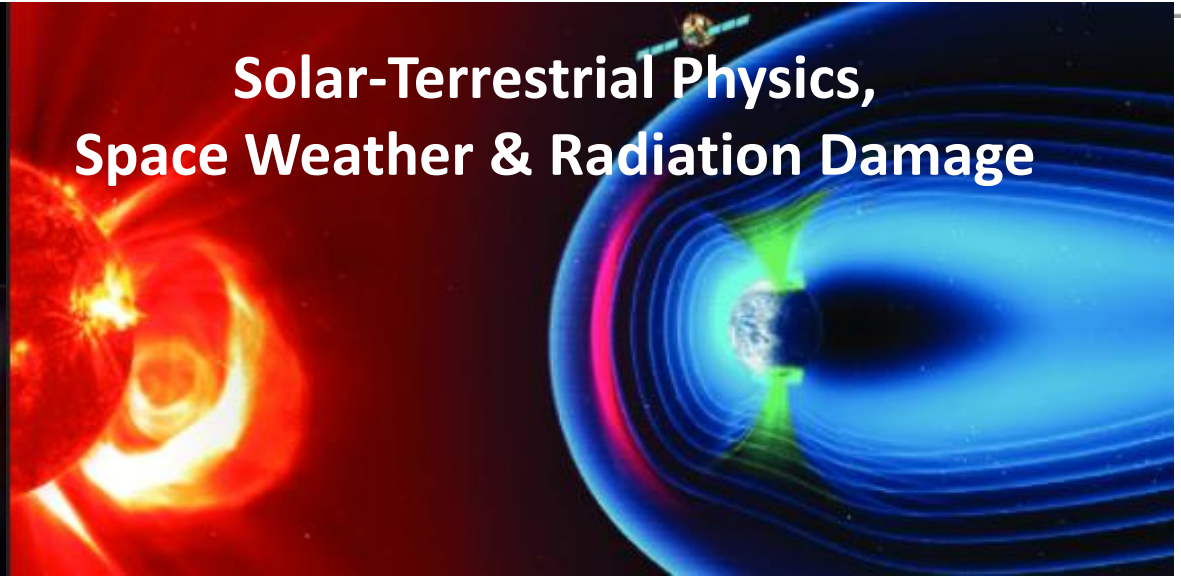
Space Science Themes



Visible, X-ray & UV Astronomy



**Solar-Terrestrial Physics,
Space Weather & Radiation Damage**



Earth Observation



Planetary Science & ExoPlanets

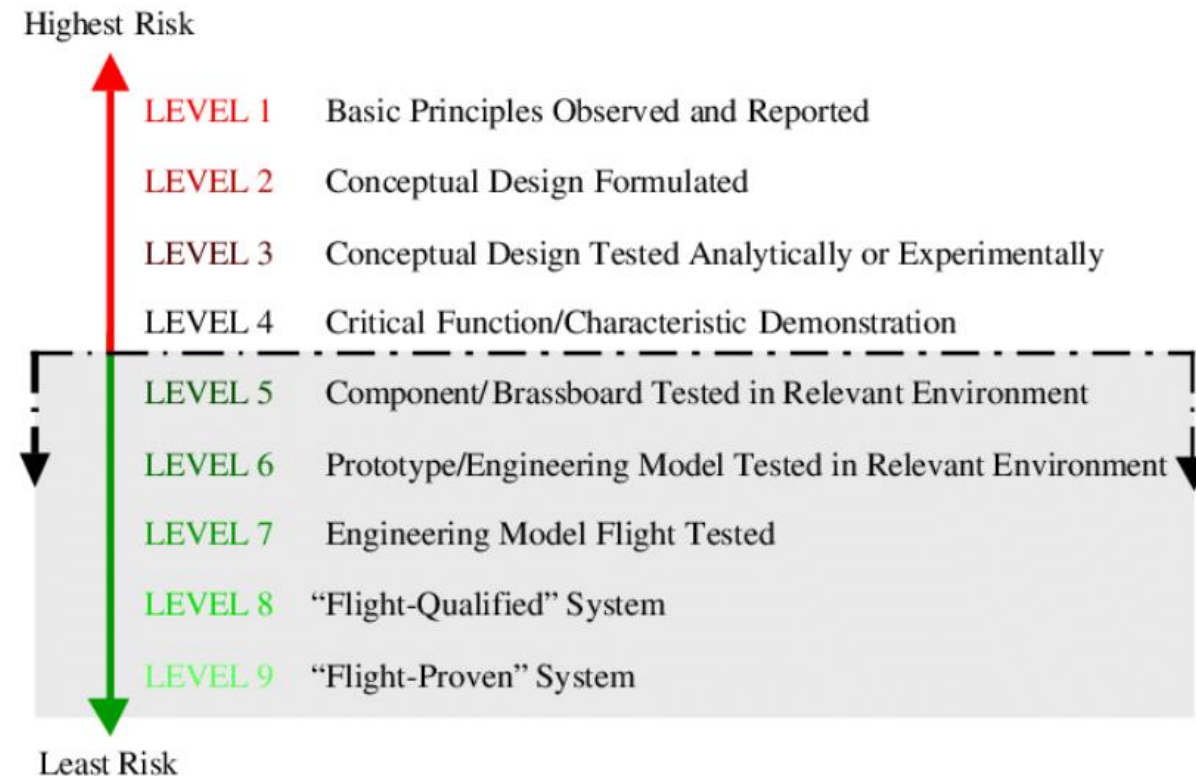


Detector Requirements for Space



1. Mission concepts often proposed with technology in the range TRL3-4
2. Should then get to TRL 5 or higher before mission adoption
3. Should withstand space environment
 1. Radiation impact on science performance
 2. Should withstand SEE
 3. (some applications – micrometeoroids)

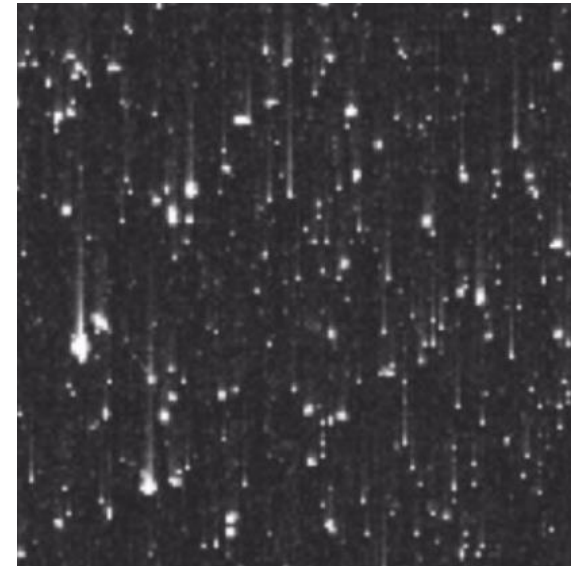
NASA Technology Readiness Levels (TRLs)



Timeline for XMM EPIC Developments



- 1987-1992 ESA funded various developments toward XMM,
- significant performance enhancement
- 1989 ESA adopts XMM as a “Cornerstone” Mission for launch in 10 years
- **Radiation damage becomes “re-discovered”**
- 1990 EPIC consortium created – Lead from Milan
- 1994 EOBB demonstrates the key performance characteristics of EPIC at Panter
- 1996 AH leaves the project..... (after essentially 10 years ..)
- 1999 Launch of XMM in December
- 2021 21 years of operation with resources for 20 more!



Quick Rush to the Birmingham Cyclotron



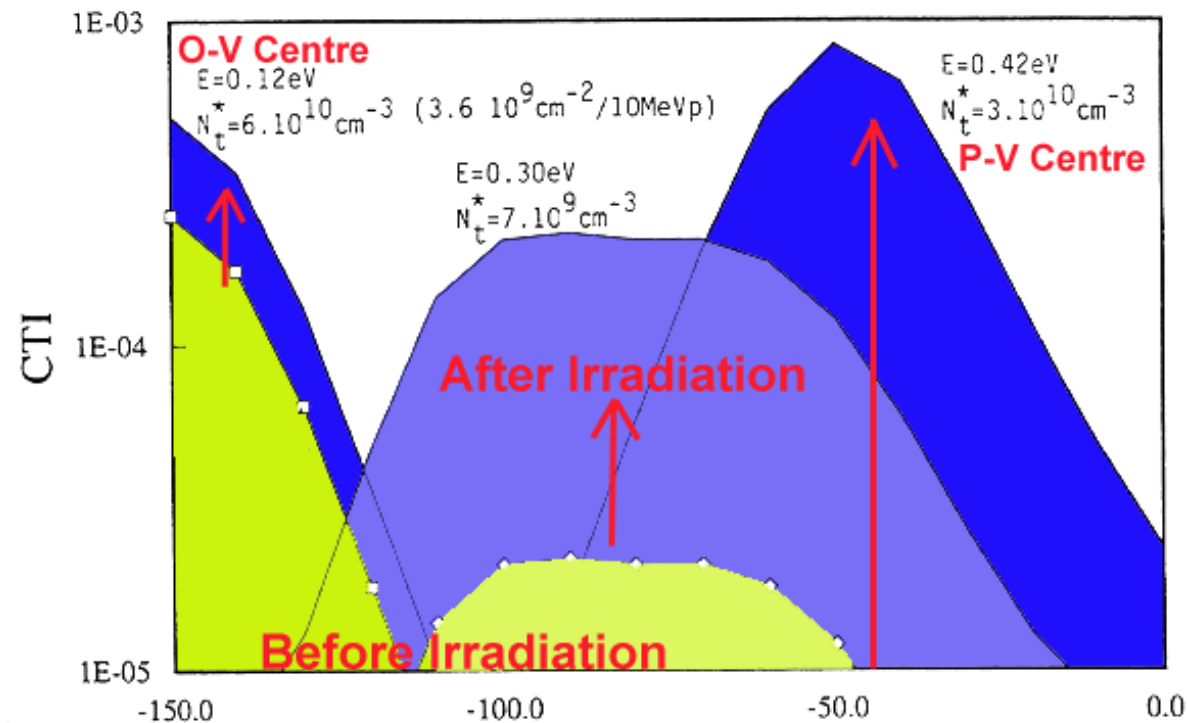
- Control room straight from Quatermass ...



The work lead to models of trap species in the CCD



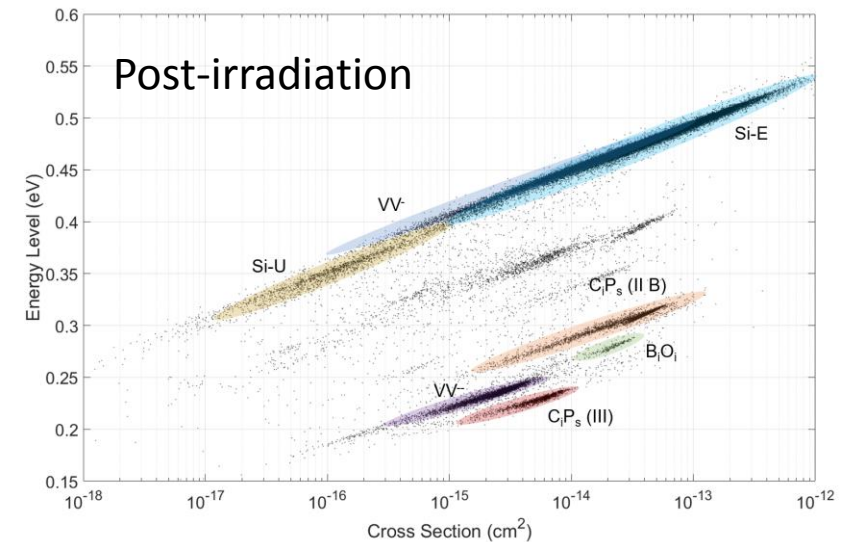
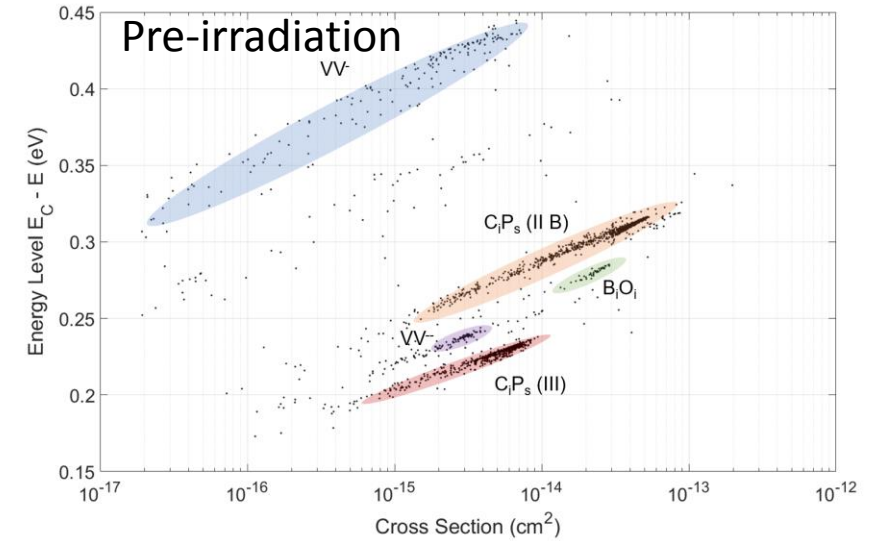
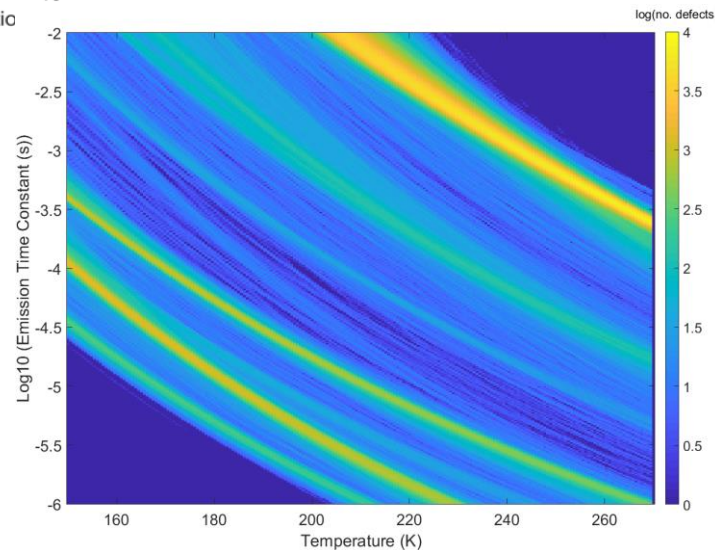
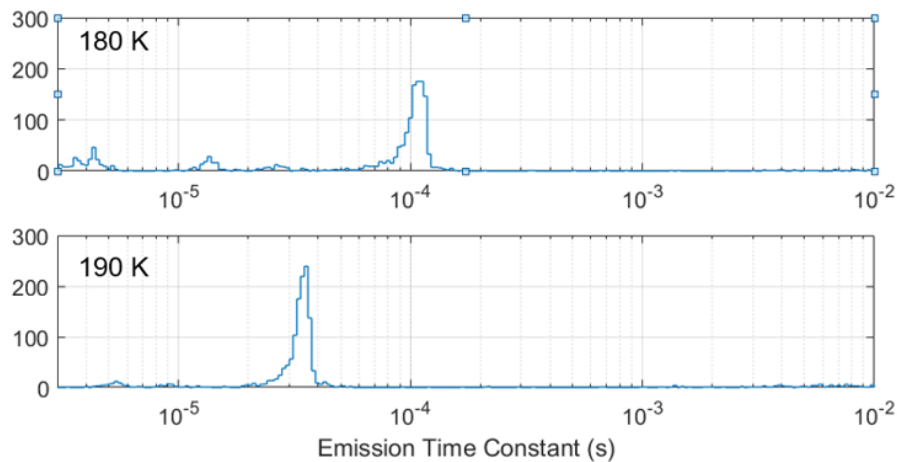
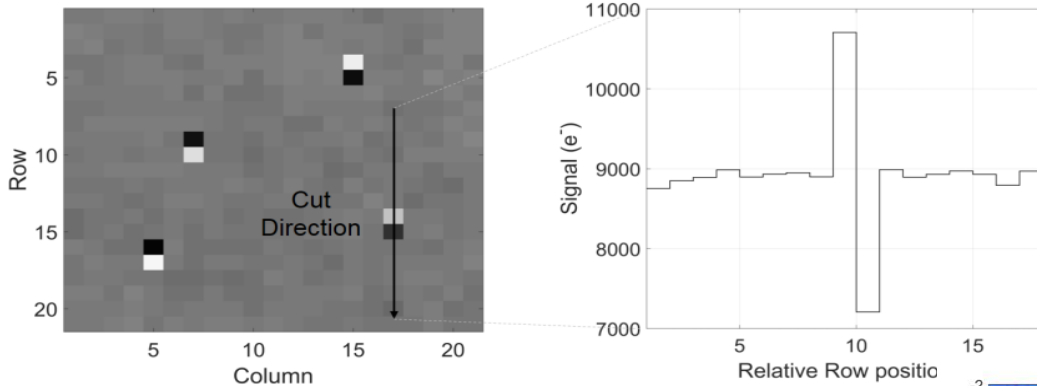
- Led to the identification of several key trap species
 - Deep/slow 0.42 eV P-V (V-V) complexes Parallel around -80°C
 - Mid-band 0.3 eV “Unknown defect” Parallel around -120°C
 - Shallow/Fast 0.12eV O-V complex Serial



Proton-induced Traps using Pocket Pumping



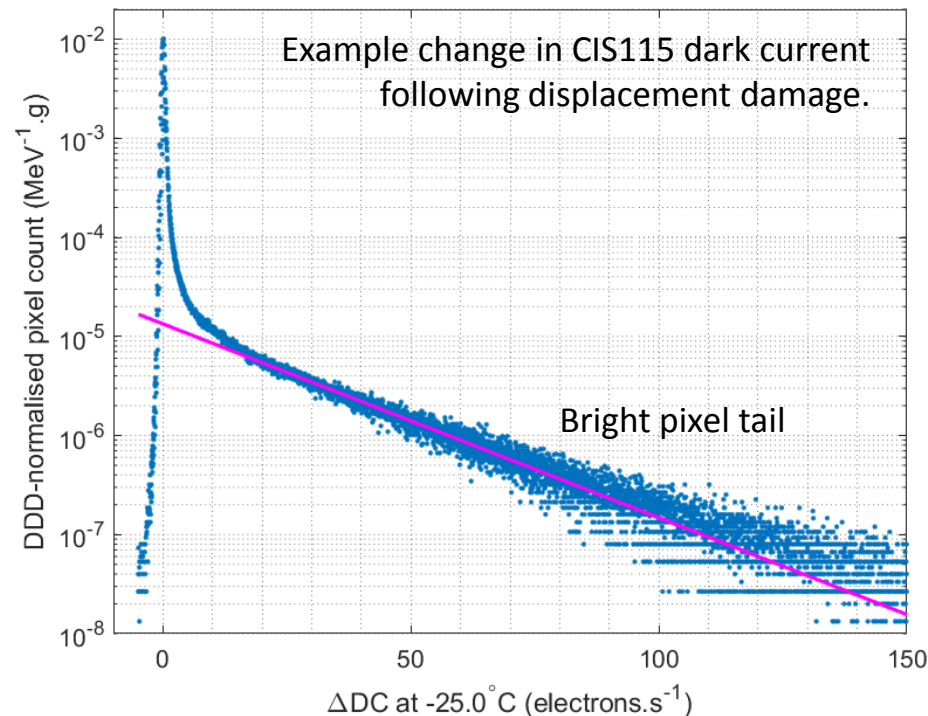
1. Using “pocket-pumping” to identify traps in pixels (developed for WFIRST)
2. Sweeping vs. clocking time and temperature
3. Can identify trap species in exquisite detail and precision
4. Technique is being used in next-generation missions for CTI correction



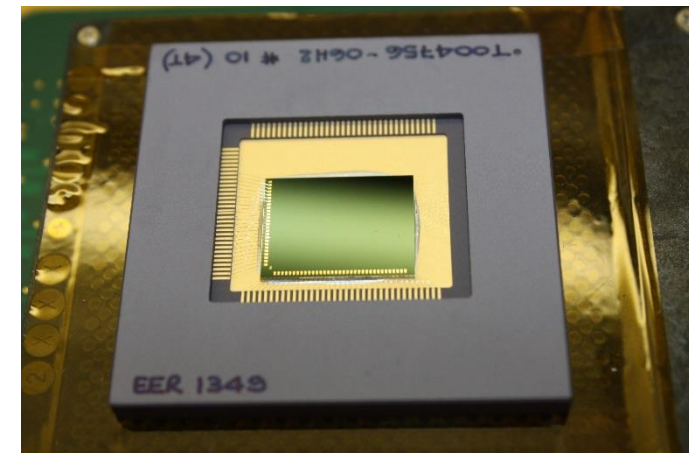
Proton-induced dark current behaviour



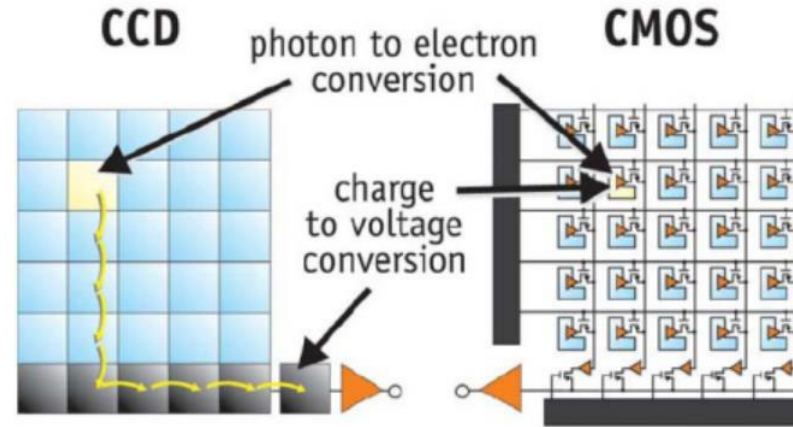
- CEI funded the development of the CIS115 for the JANUS camera on ESA's JUICE mission
- Driver for operational temperature is from the bright pixel defects produced by Displacement Damage Dose (DDD)



CIS115:
7 μm pixel
5 e rms. readout noise
4T pixel with pinned photodiode
10 \pm 1 μm thickness, \sim 4 μm depletion
Higher resistivity silicon
Tower-Jazz manufacture, Teledyne-e2v back thinned



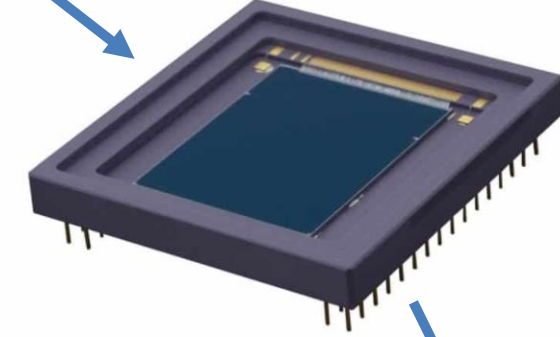
CCDs and CMOS Imagers



CCD42



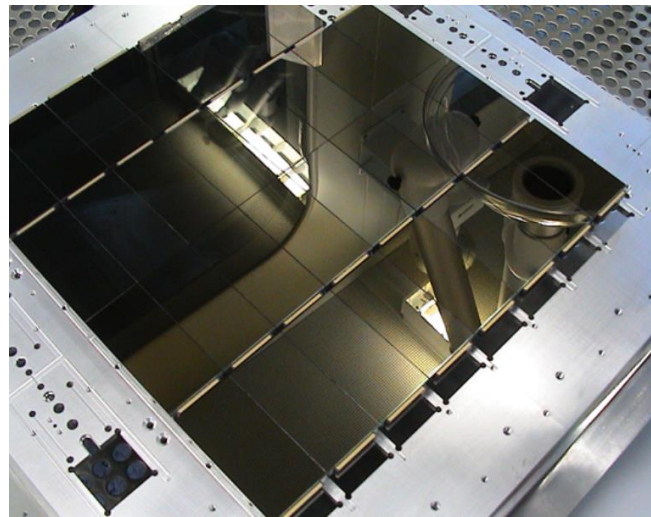
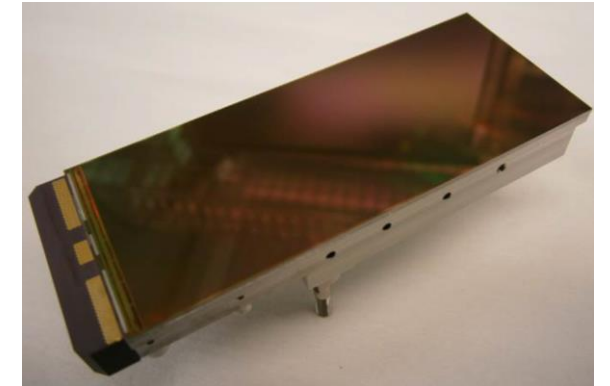
CIS120



CCD44-82



CIS113

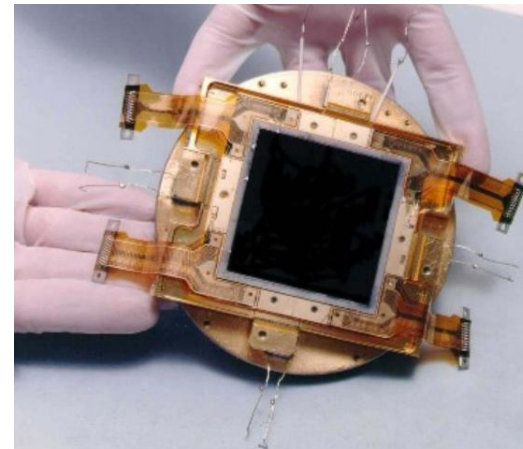
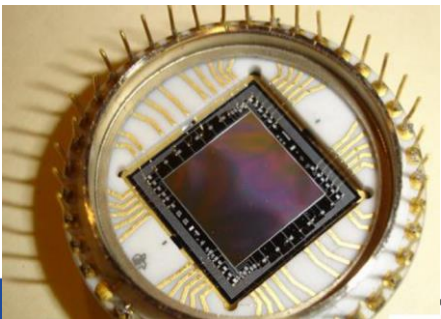


E e2V
Everywhereyoulook™

Hubble



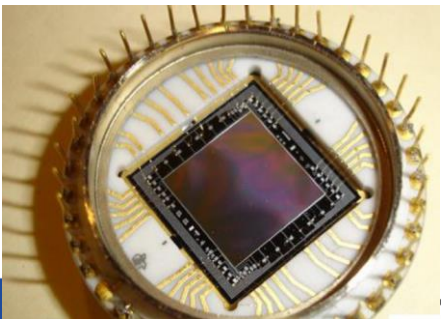
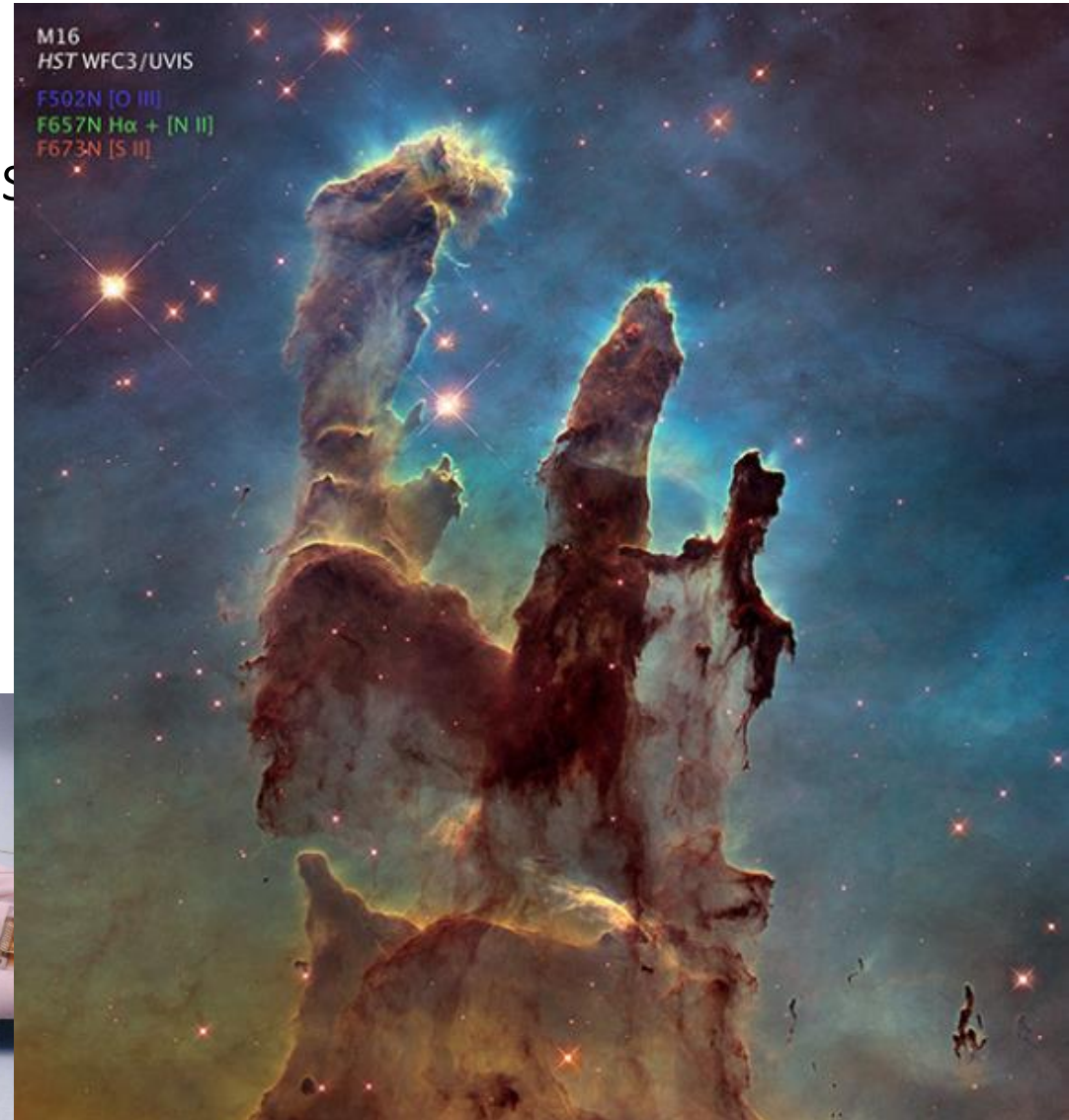
- Launched December 1990
- Original WFPC imager only 800x800 pixels
- Upgrade to WFPC3 in 2009 using e2v CCD43 with 2051 x 4096



Hubble



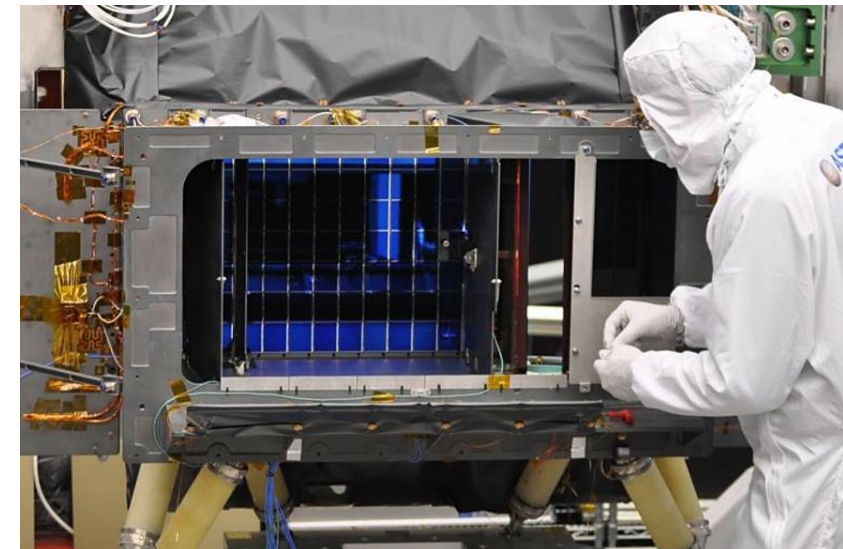
- Launched December 1990
- Original WFPC imager only 800x800 pixels
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Gaia



1. Launched December 2013 to L2
2. To measure galactic shear due to gravitational microlensing
3. Custom 2k x 4.5k CCD sensors
4. $10 \times 30 \mu\text{m}^2$ pixels

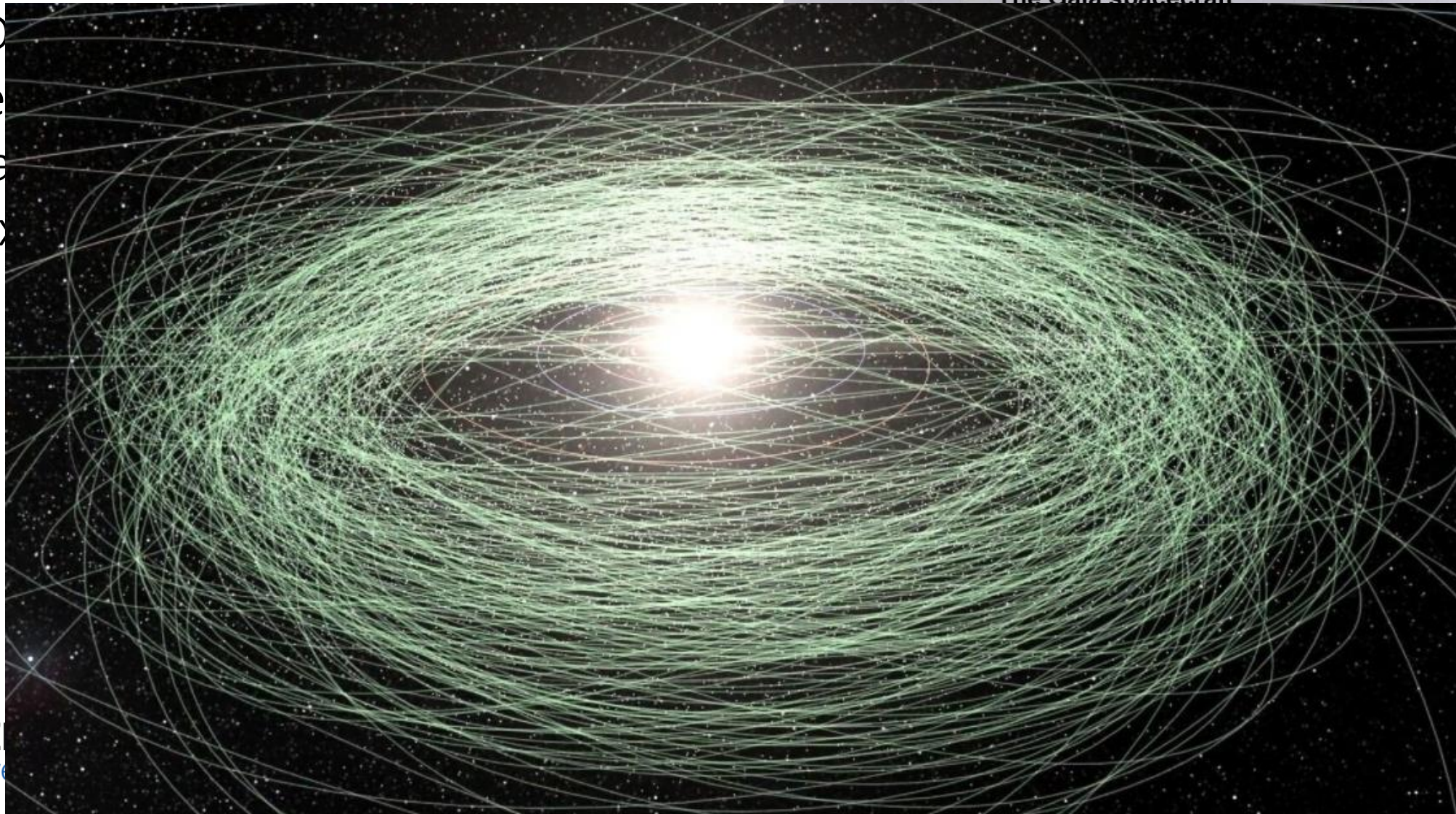


Gaia



- Launched Dec 2013
- To measure positions of 1 billion stars
- Custom 2k x 1k CCD
- 10x30 μm^2

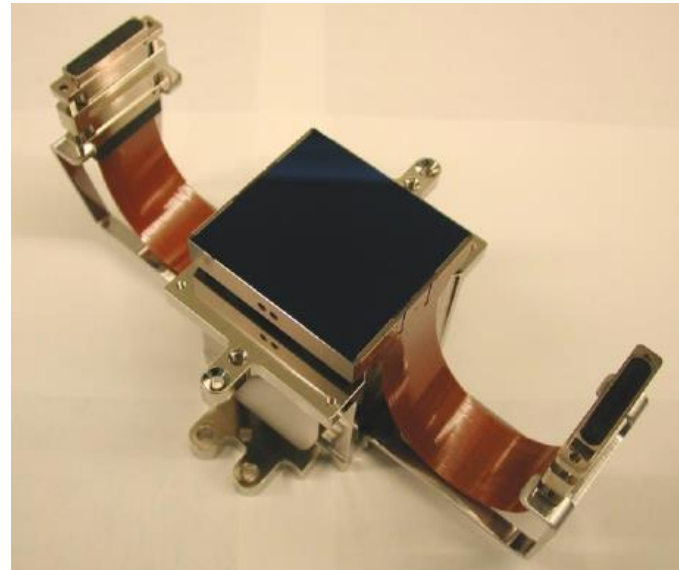
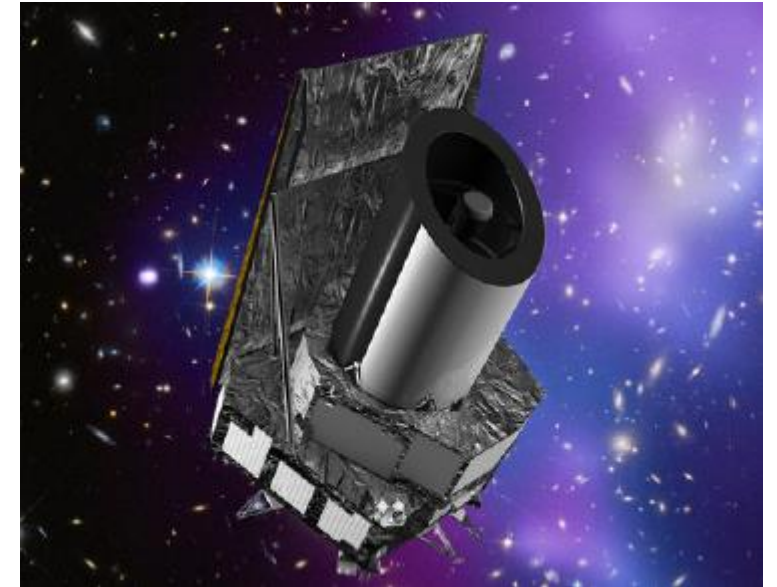
The Gaia spacecraft



Euclid



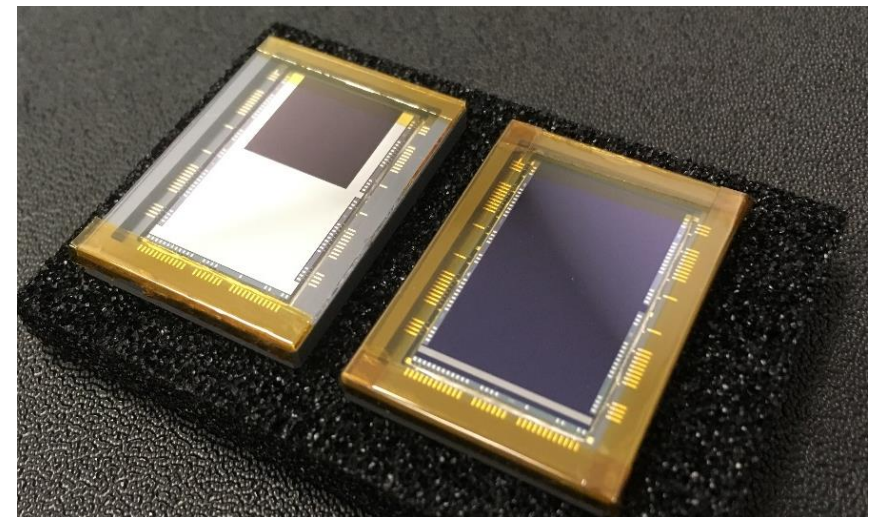
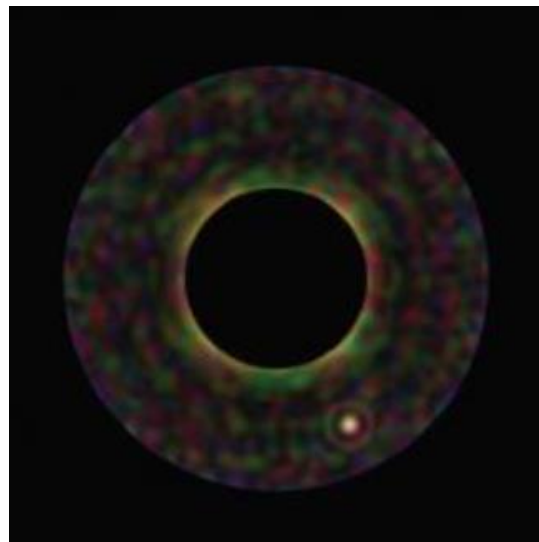
- Launch data Dec. 2022
- To measure galactic shear due to gravitational microlensing
- Custom 4k x 4k CCD sensors
- Radiation damage is still a concern



EM CCDs for the Roman (WFIRST) CGI



- Still many applications for single sensors
- EM CCDs being used for the coronagraph instrument on Roman
- Goal is to suppress light from the star and to directly image exoplanets

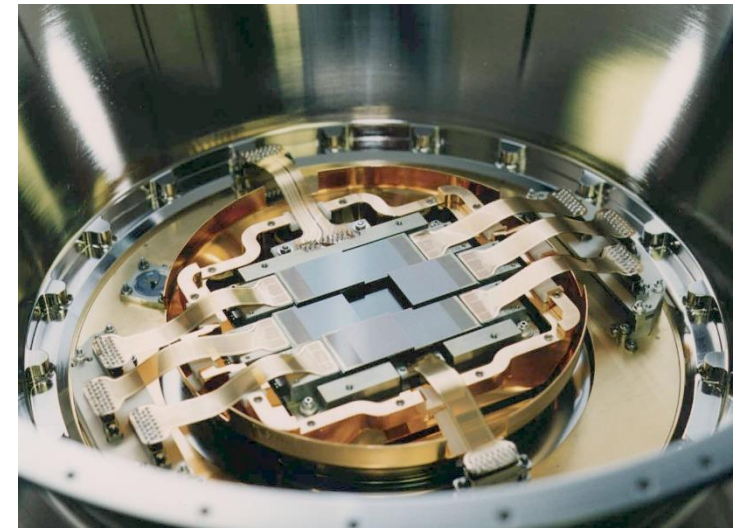
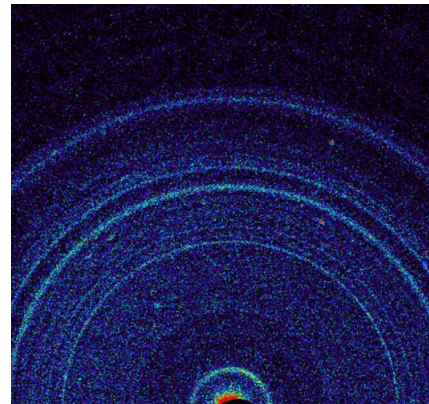
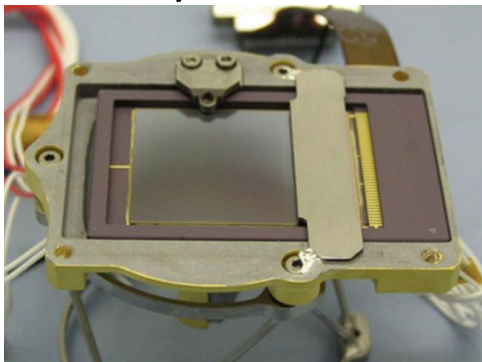
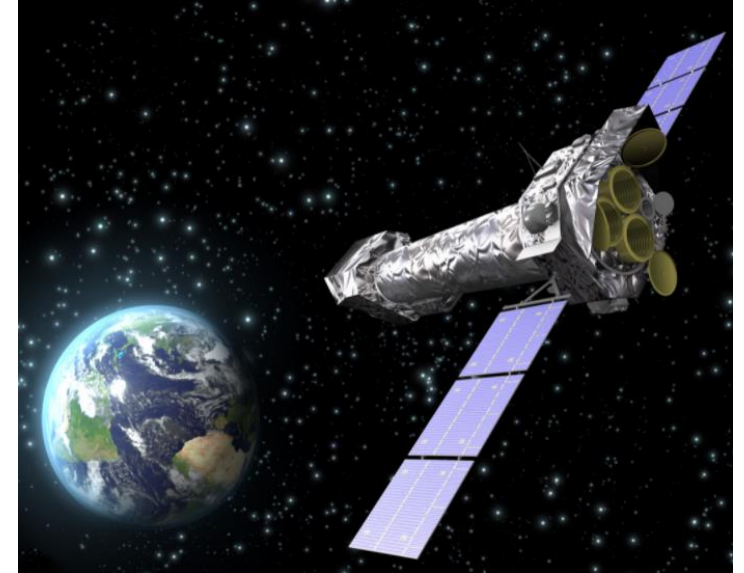


XMM Newton



- Launched December 1999
- 2 EPIC-MOS cameras
- Each FPA having 7 CCD22s

- The CCD22 later re-used for Chemin on the Curiosity Rover



ESA CCD Developments for XMM



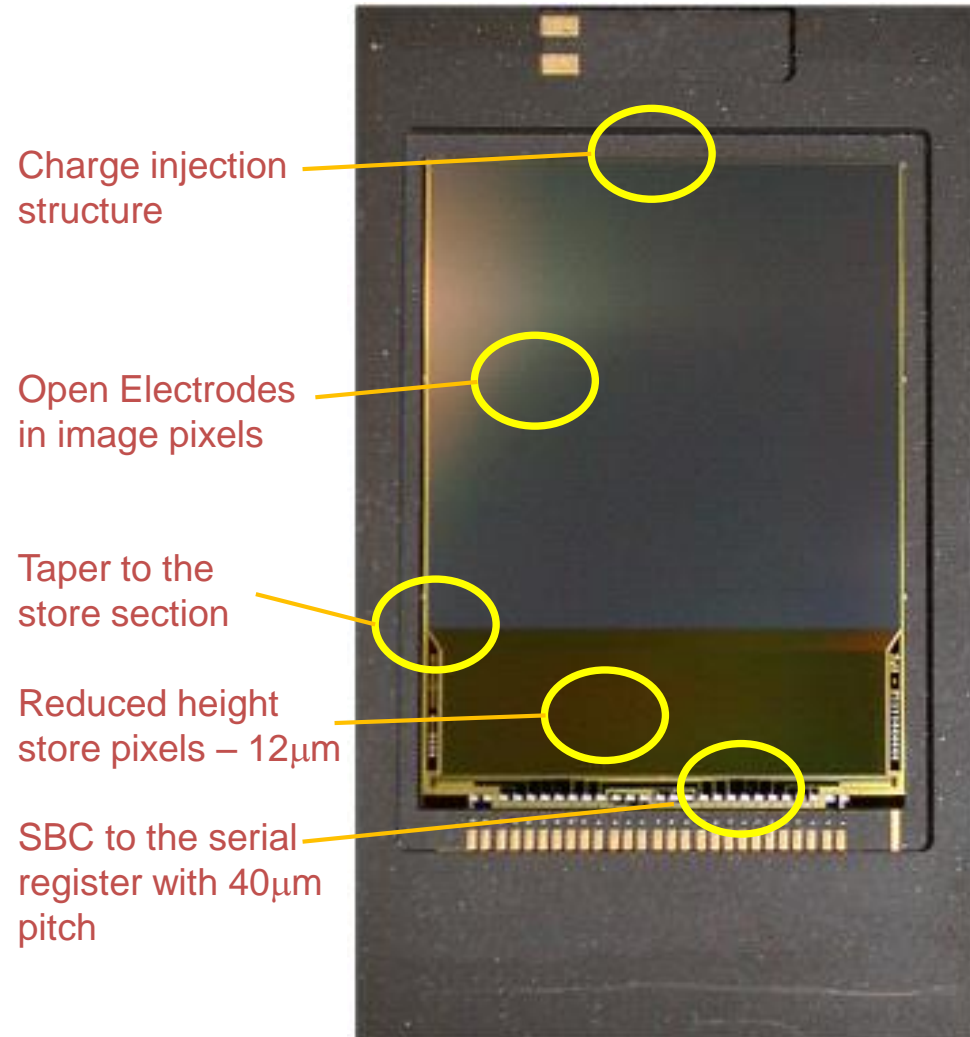
- ESA funded a series of activities under its TRP programme to support the two X-ray instruments
 - EPIC The imaging camera 0.3-12 keV, R~30, Imaging
 - RGS Dispersed X-ray grating 0.3-2keV, R~300, Non-Imaging
- Technical Development Activities

Parameter	State of Art	Target	Technology
Noise	~10e- rms.	<5 e- rms.	Lower node capacitance
Area	~1x1 cm ²	2x3 cm ²	Developing “stitching”
Depletion (QE)	5 μm	>30μm	High resistivity epi
Dead Layer	~1 mm	50 nm	Back-illumination
Serial CTE	0.99998	>0.99999	SBC (also helps with radiation)

New Design Features in the CCD22 – TRL??



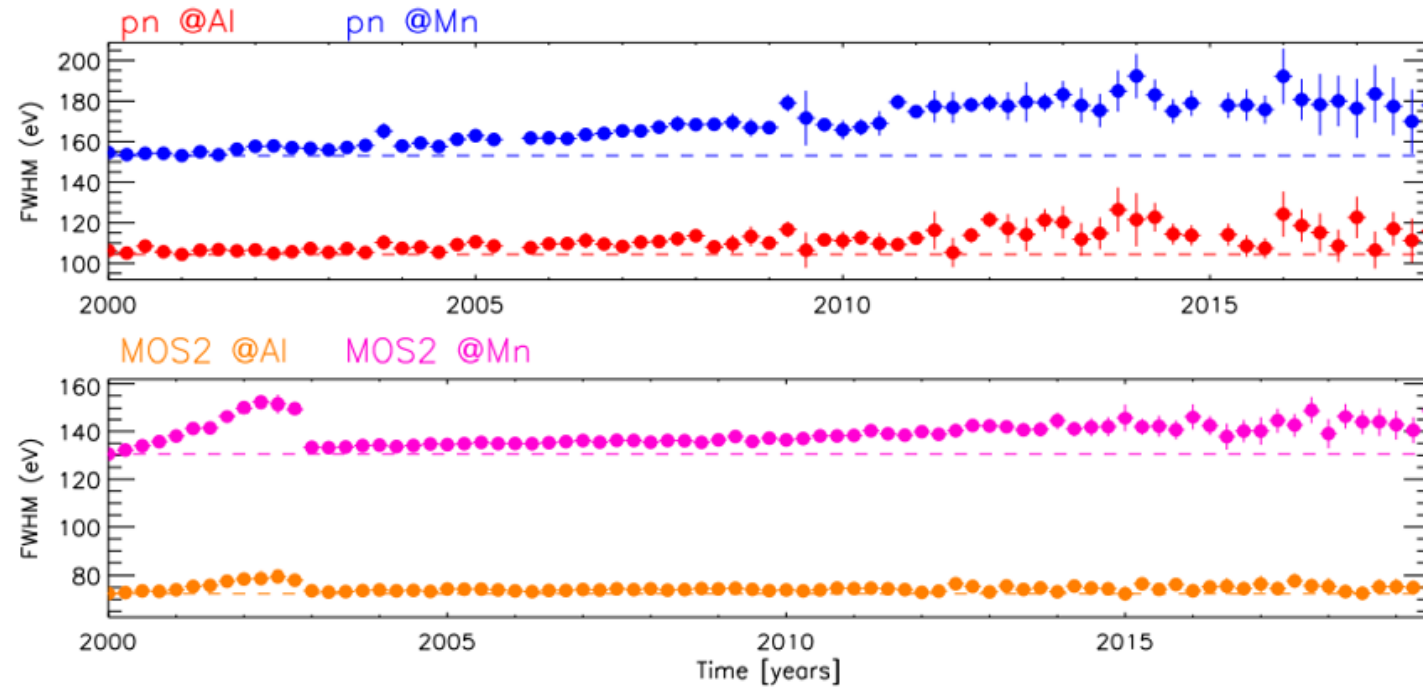
- Several new design features were brought together into the single design
- This approach would now be deemed highly risky under the TRL approach
- “let someone who knows what they are doing get on with it”?



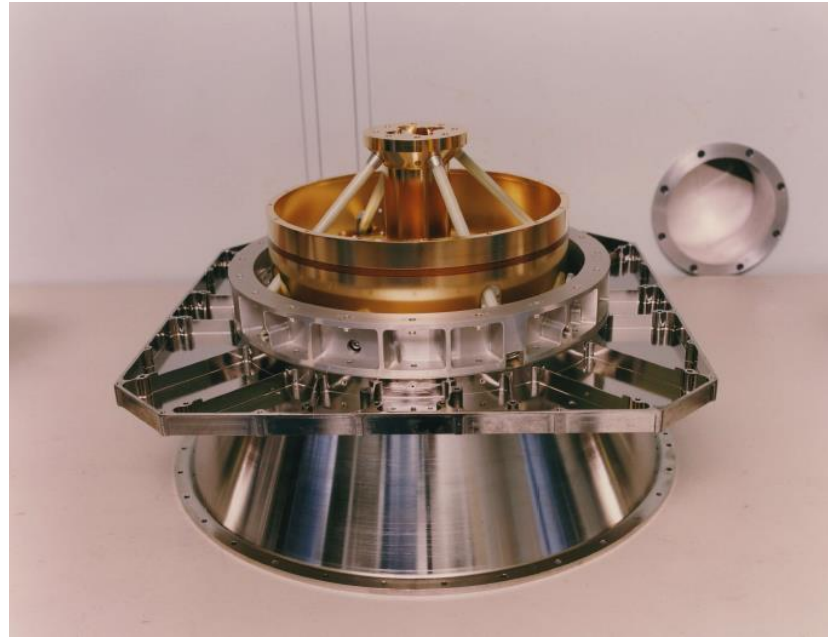
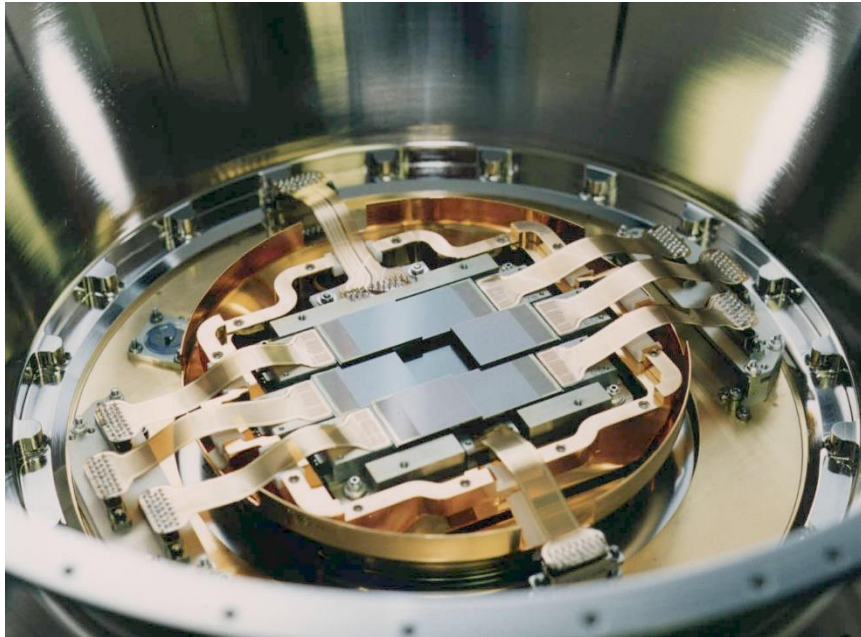
EPIC MOS Camera Radiation Mitigation Measures



- >3cm Al **shielding** over 4π using forward baffle approach
- Filter wheel with **thick “blocking” filter** *Became important for soft protons*
- Ability to **cool** the FPA down to operating temp of -130°C
- **Supplementary buried channels**
- First use of **Charge Injection** structure *Never used in practice*
- Ability to **anneal** P-V centre at $+130^{\circ}\text{C}$ *Never used in practice*



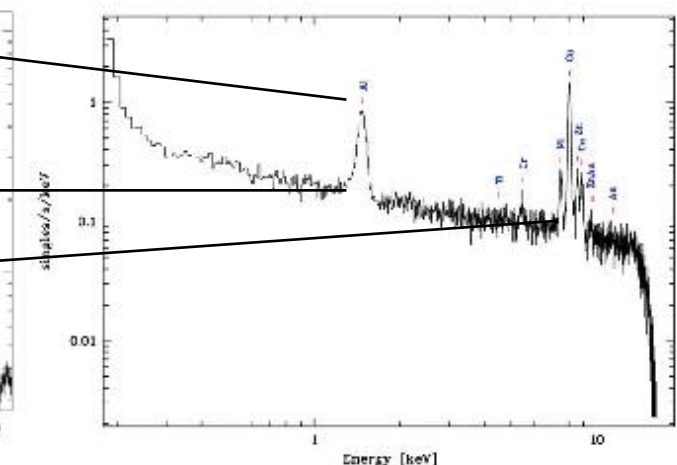
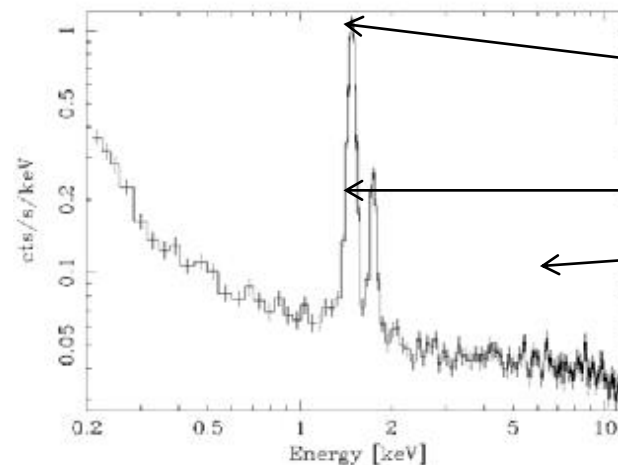
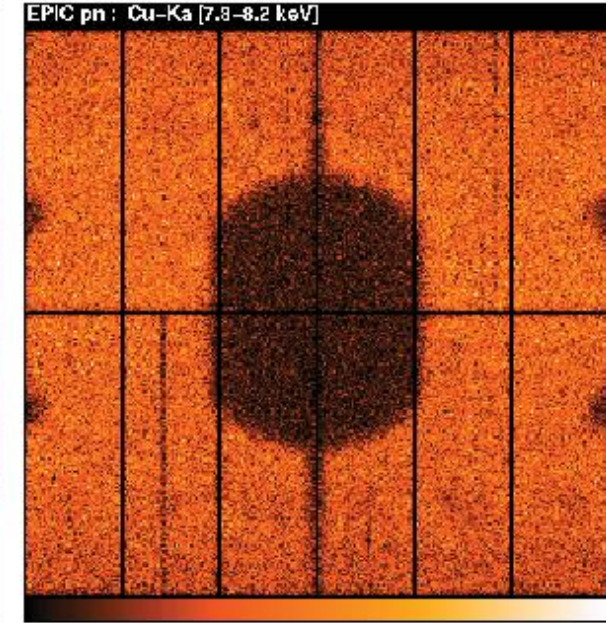
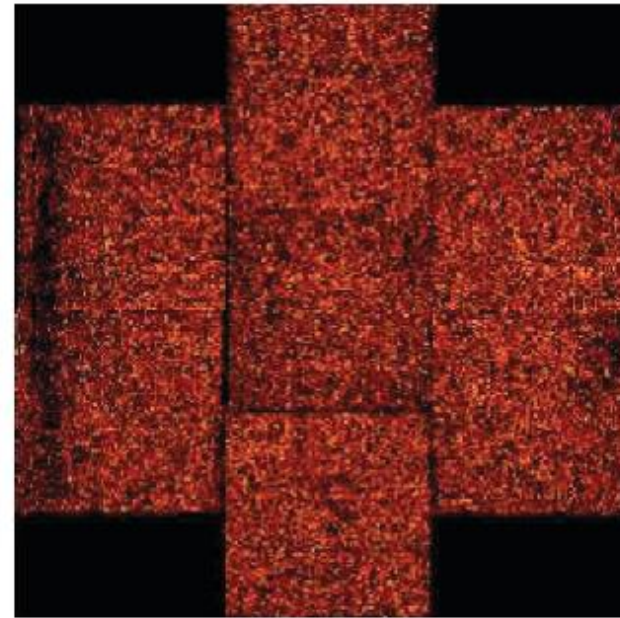
EPIC CCD Packaging and Focal Plane Array



Instrumental Background in the EPIC Cameras



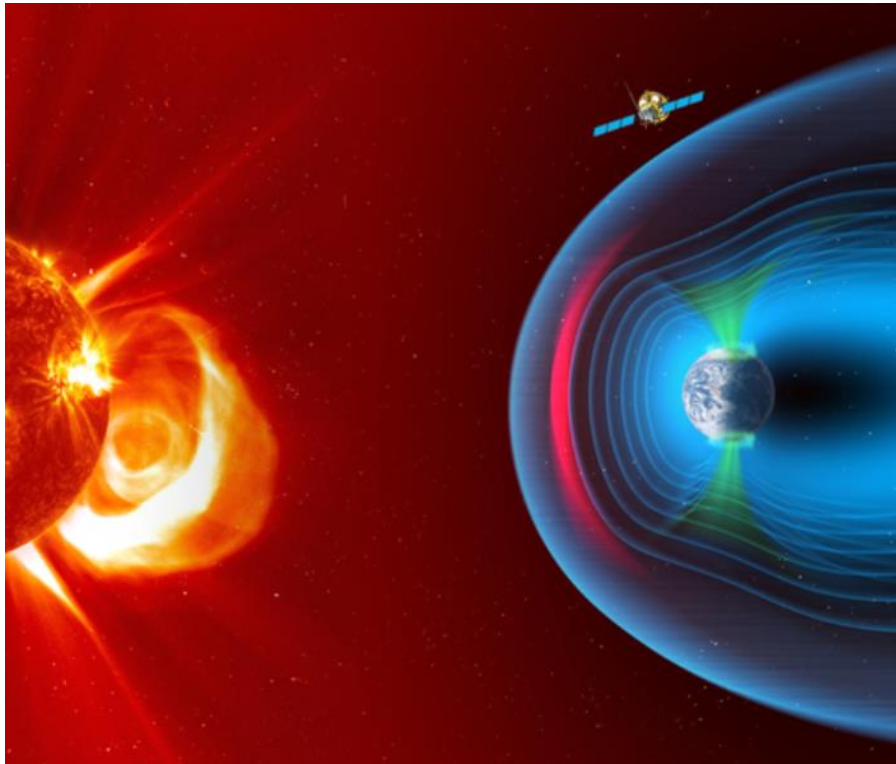
- X-ray background (protons)
- Fluorescence from the cryostat
- Now using significant development on low-background systems using GEANT-4



SMILE-SXI

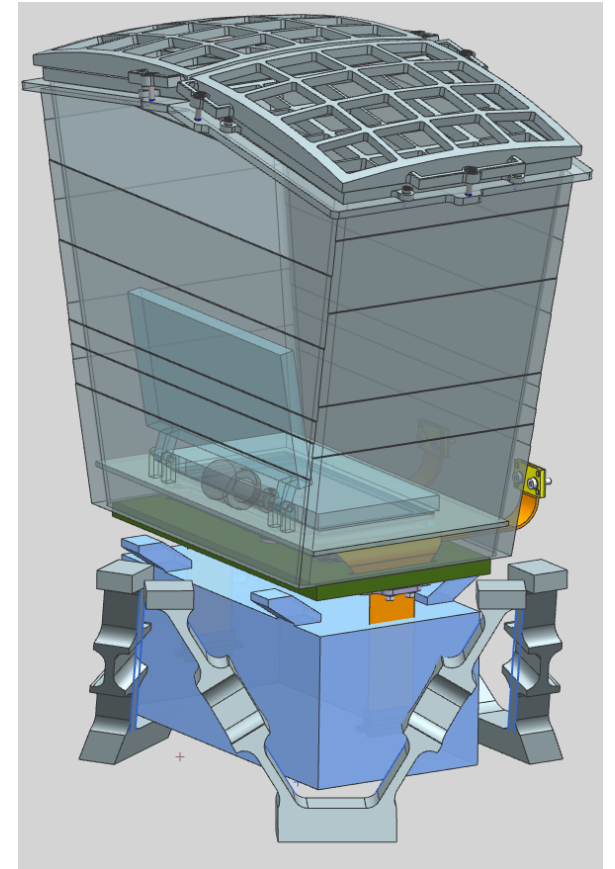


- Launch date ~2024
- Wide-angle observation ($27^\circ \times 16^\circ$)
- Primary science is between 200 eV to 2000 eV
- UK-led consortium, with contributions from other ESA states
- Focal plane of 2 CCDs, being procured by ESA from Teledyne-e2v



Lobster-eye
optics modules
(U. of Leicester)

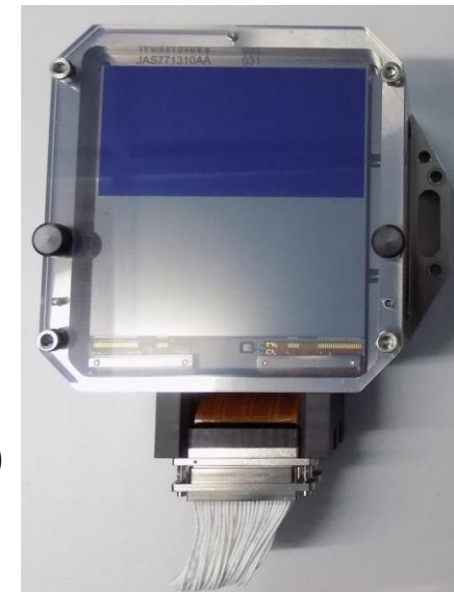
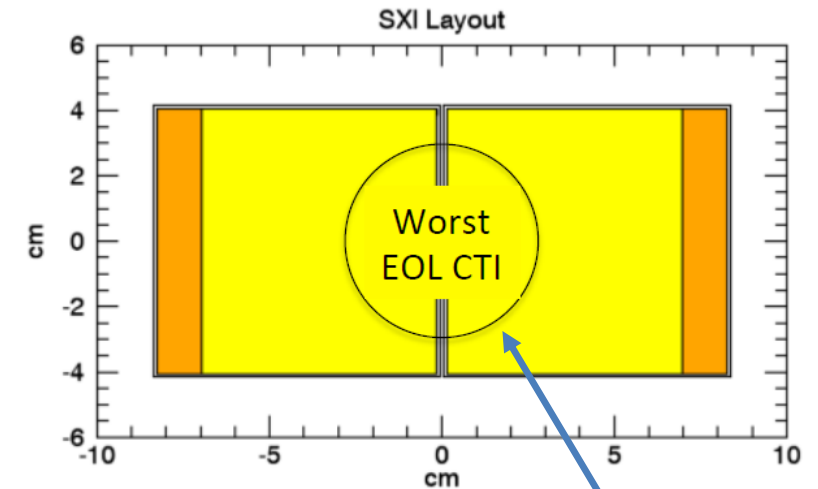
2x CCDs (OU),
Front End Electronics (MSSL),
Shielding door



SMILE-SXI CCDs



- ESA procurement of CCDs from Teledyne-e2v optimising:
 - Soft X-ray performance
 - Schedule + cost
- CCD design is a modified PLATO detector (CCD270):
 - For TRL reasons
 - Utilising package qualification of PLATO
 - 4510 x 4510 native 18 μm square pixels
 - > 8.1 cm x 8.1 cm sensitive area
 - 16 μm thick silicon
 - Back-illuminated



PLATO CCD270

Detector thickness modelling for low background



Approximate modelling of detector thickness with GCR protons (L2 spectrum)

- Geometry is a 4 cm Al shield.
- Detector is a single slab of Silicon (no support wafer / optical filter)
- Pixels are 8 μm , to allow re-binning in the future.

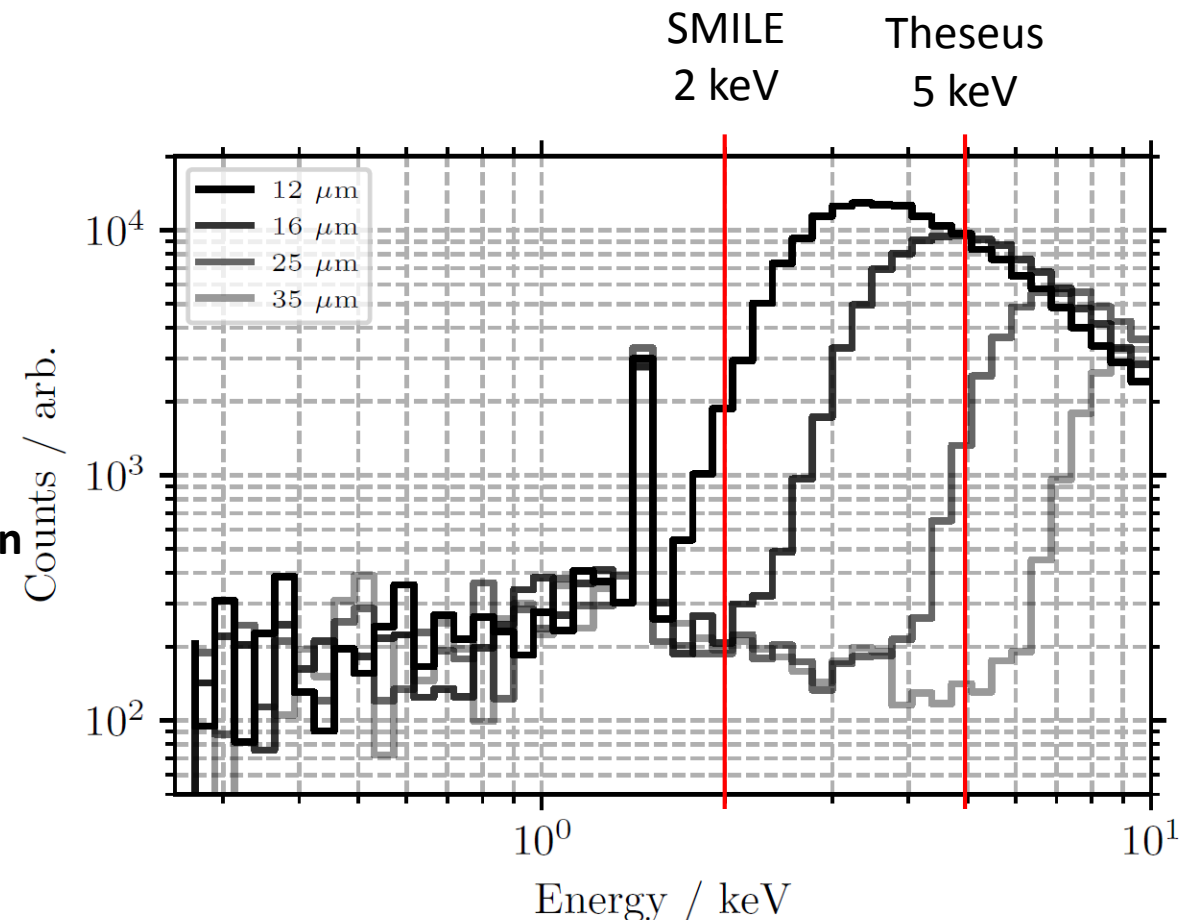
For SMILE:

Increased active thickness from 12 to 16 μm

For Theseus:

**Demonstrates off the shelf CIS120
will not have sensitivity $>\sim 1.5$ keV**

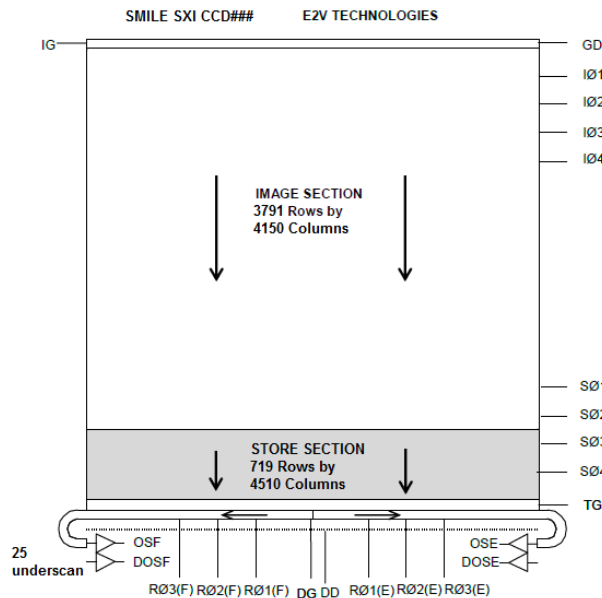
Requires thick devices of ~ 35 μm , BI, with full depletion



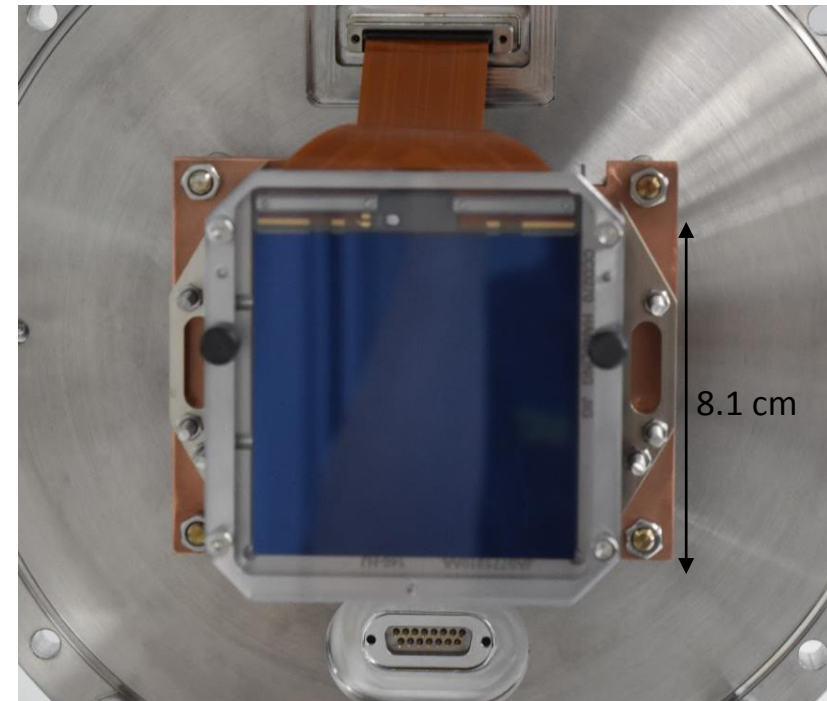
SMILE-SXI CCD modifications – CCD270 to CCD370



- For TRL reasons have modified the PLATO CCD270 design to become the SMILE CCD370
- Higher gain output amplifier to improve readout noise $\sim 2 \mu\text{V}/\text{e}$ (PLATO-like) to $\sim 7 \mu\text{V}/\text{e}$ (Euclid heritage)
- Supplementary buried channel in parallel image area (Gaia heritage)
- Narrow channel in serial register
- No anti-reflective coating, with e2v's enhanced BI passivation process



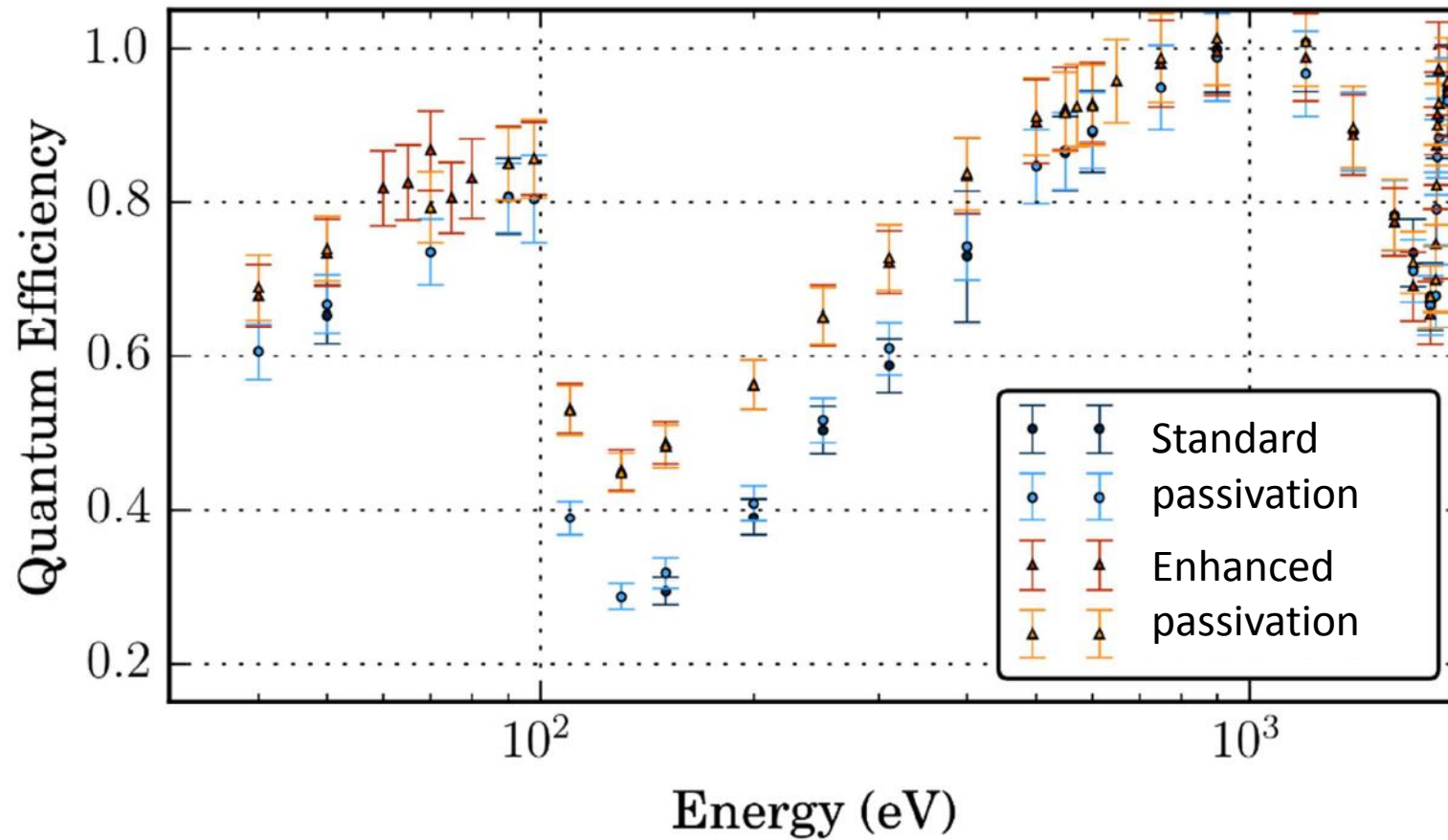
6:1 frame transfer ratio



Back-illuminated CCDs



Open University QE measurements at PTB beamline (BESSY II) in 2016:

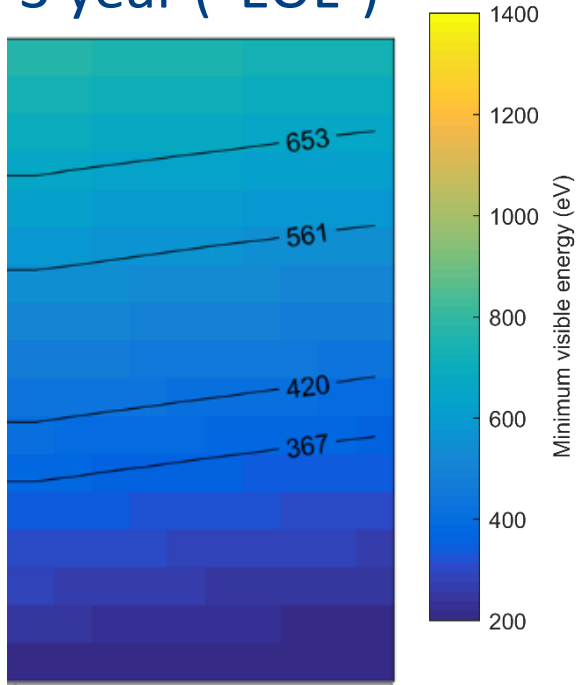


Modelling the SMILE spectrum



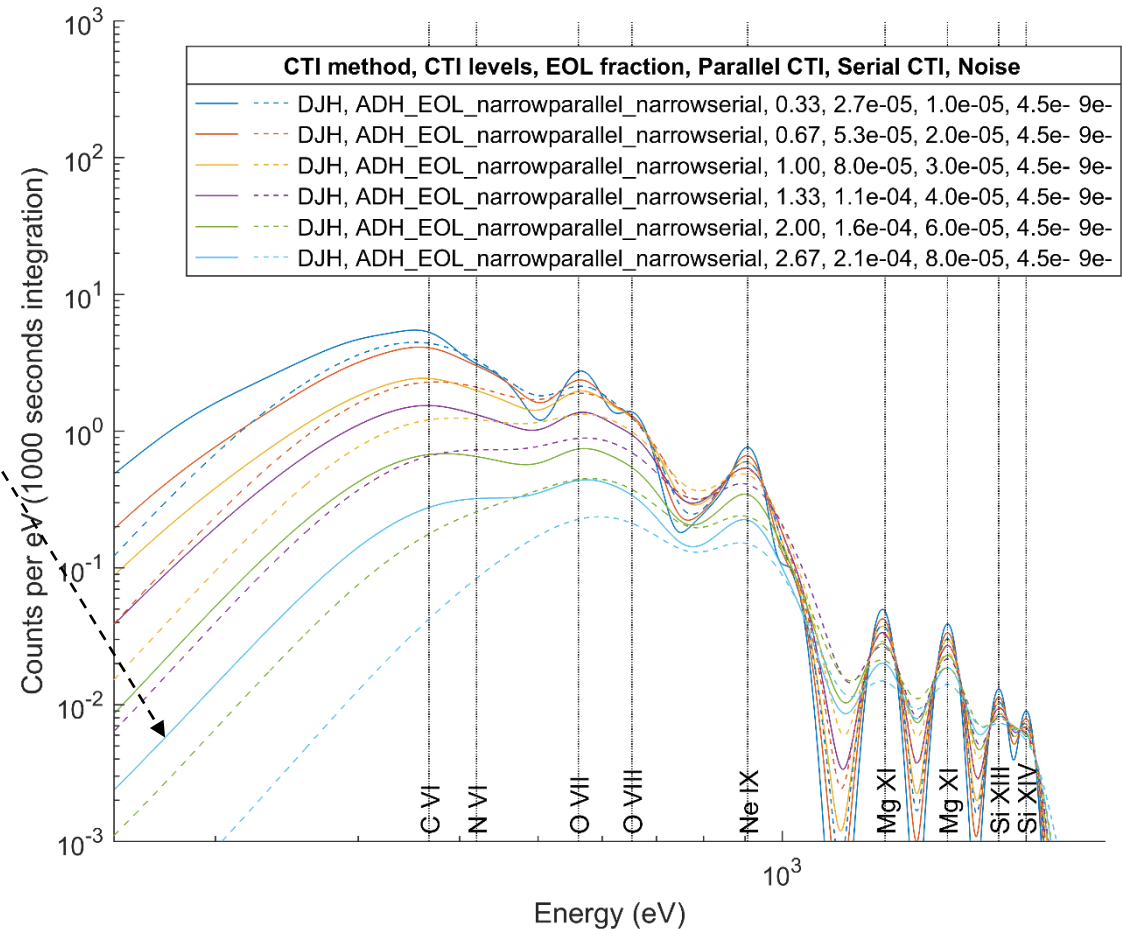
X-ray CTI simulation to understand instrument science output.

3 year ("EOL")



Resolution and detection efficiency will degrade during mission lifetime

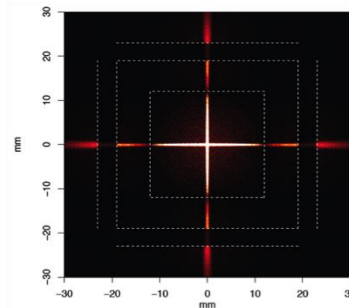
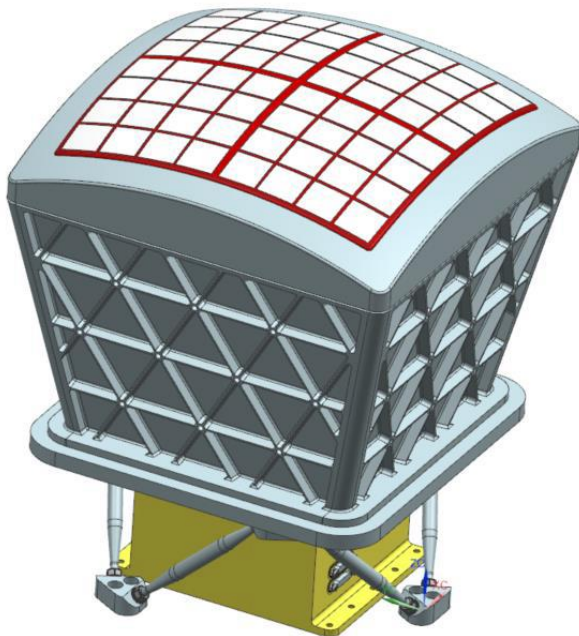
Ability to detect soft photons will be lost from the "top" of the array



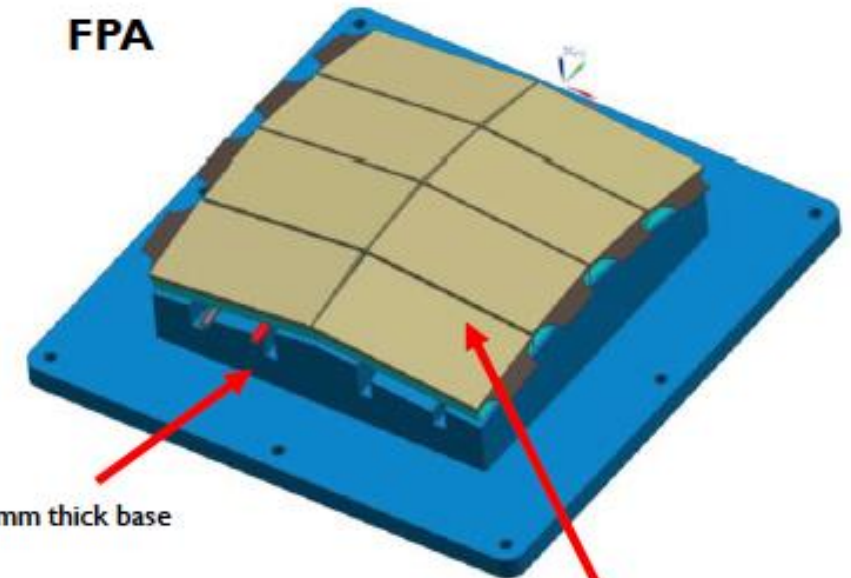
THESEUS Soft X-ray Imager (SXI)



- ESA M5 mission candidate for 2031 (unfortunately not selected for the M5 slot)
- 2 identical telescope modules observing in 0.3-5 keV band
- 8x8 array of MPOs provide FoV $\sim 31 \times 31$ degree
- 2 modules FoV ~ 0.5 sr, with < 2 arcmin locations
- Each module uses 8 large-format CMOS detectors



FPA



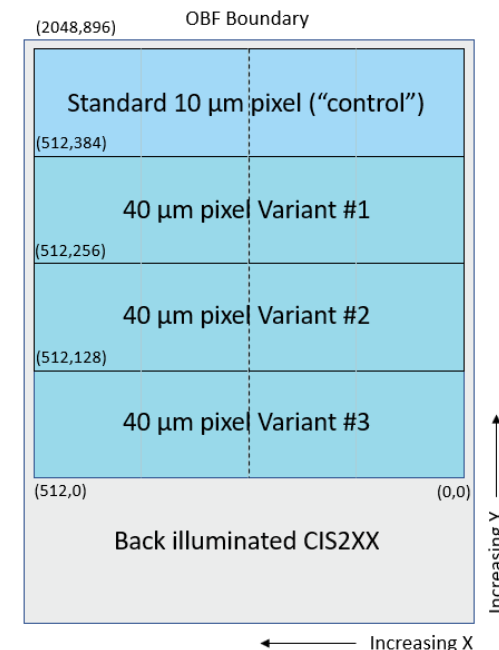
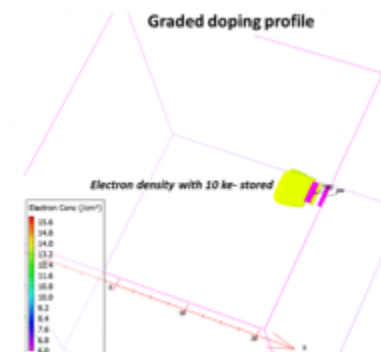
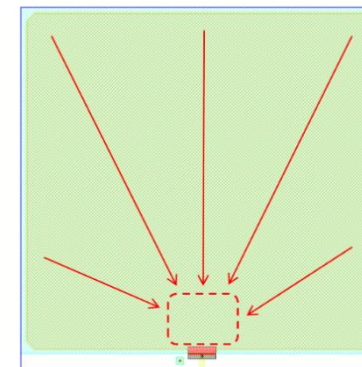
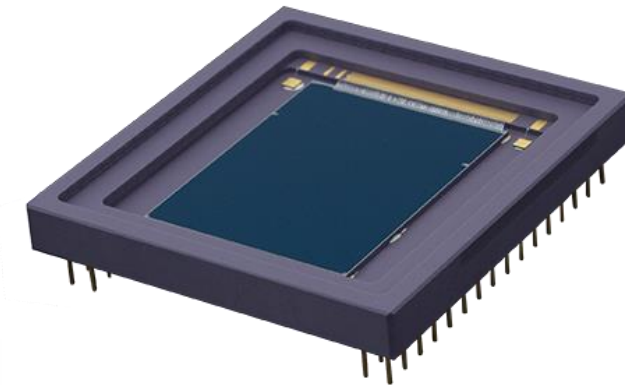
25mm thick base

8 CMOS devices 40*80mm

CIS221-X being developed under ESA TDE



- Device is based on Te2v's CMOS "digital platform"
- 2x2 cm² prototype detector
- Retains ¼ using the CIS120 10 μm pixels as controls
- Has 3 variants attempting to optimise the performance of the 40 μm pixel for Theseus
- With exception of the back-bias, all pins have identical function to the CIS120 package
- Integrated optical blocking filter (to be over the whole image area on half of the delivered devices)
- Key challenge is to design a pixel structure with efficient charge collection and transfer from the large CMOS pixel

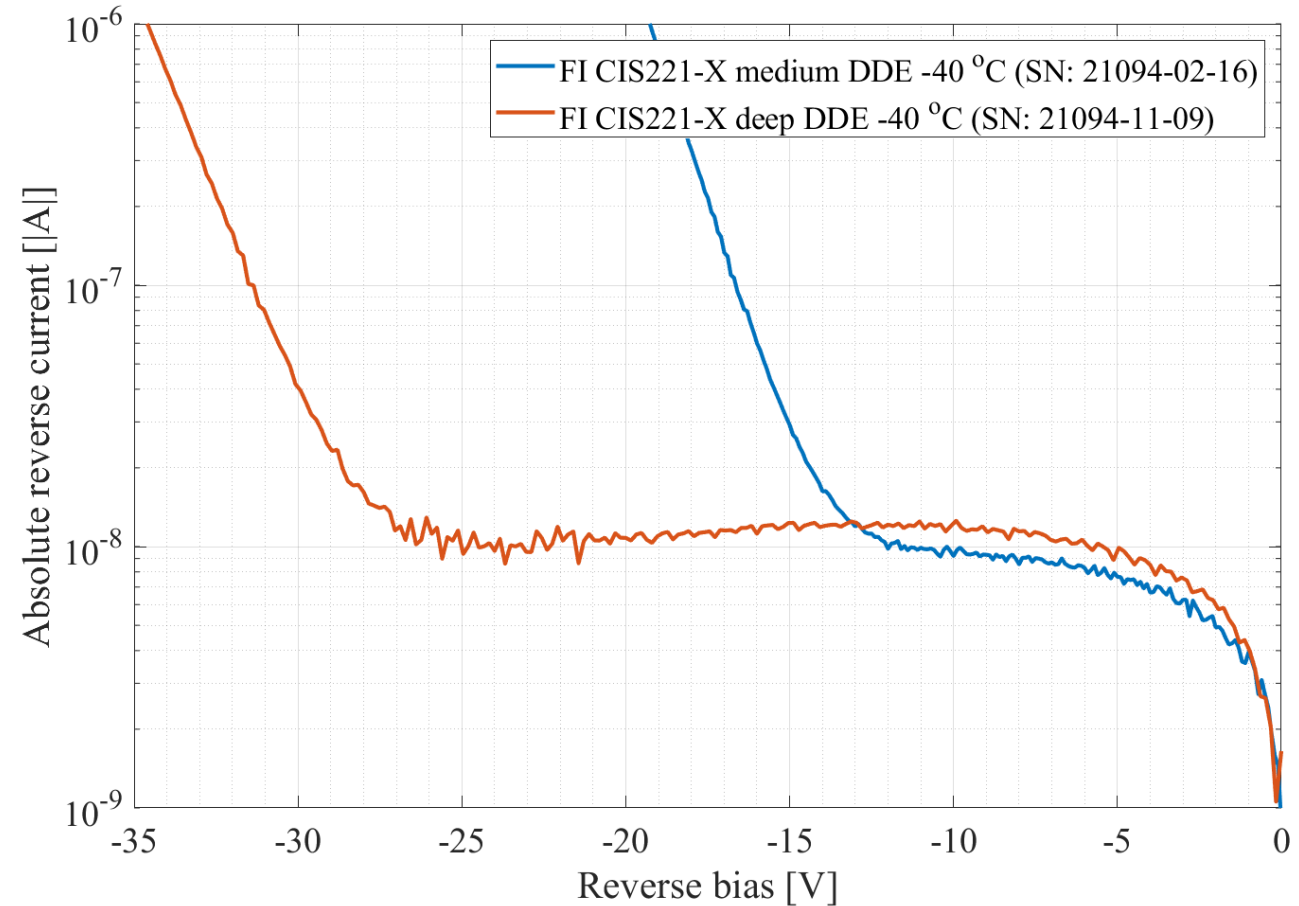


Initial Devices - Reverse current measurements



- **Manufactured on 50 μm -thick epitaxial silicon**
- **Current results on FI devices only**
- **BI devices anticipated toward end 2021**

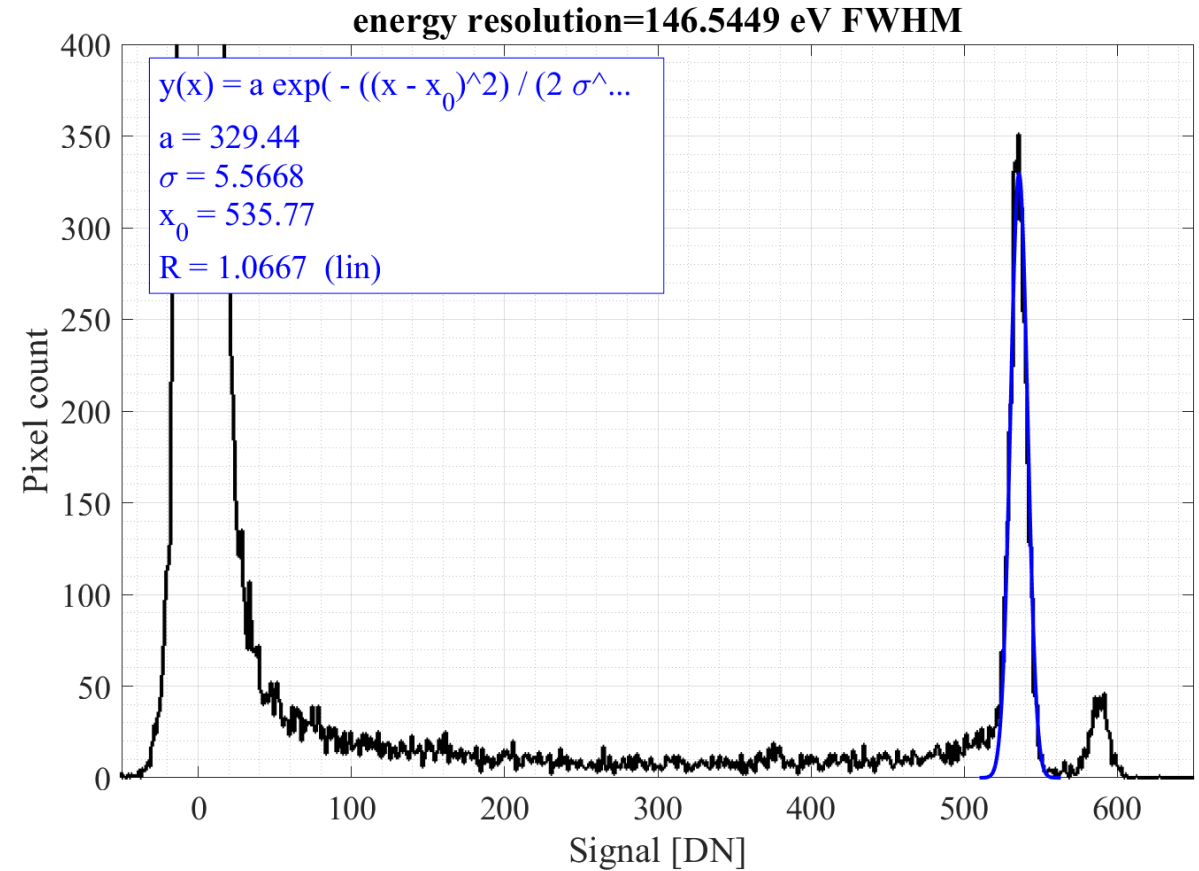
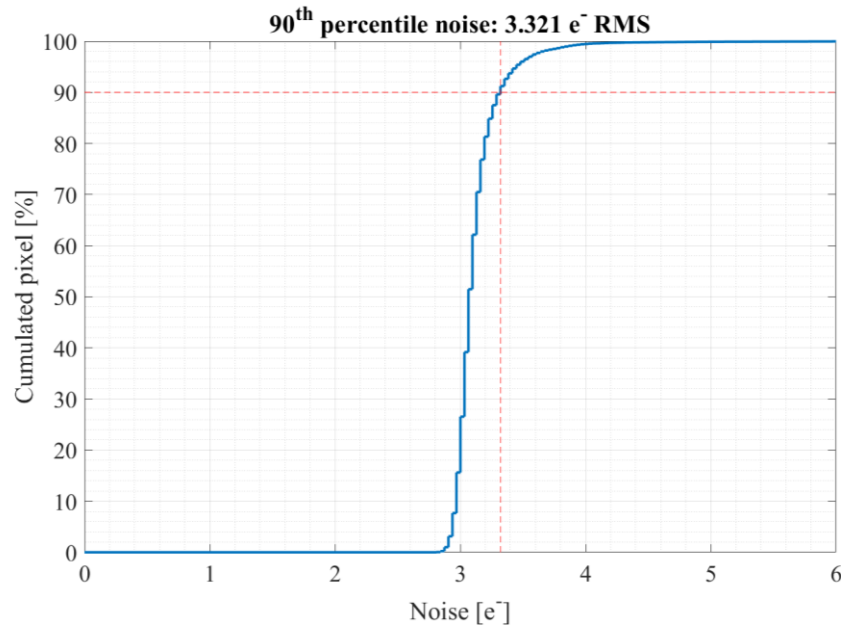
- **Measurements at $-40\text{ }^\circ\text{C}$**
- **Sensors biased**
- **Expected behaviours**
- **Based on only one device for each variant. Device to device variation to be expected.**



Initial X-ray performance



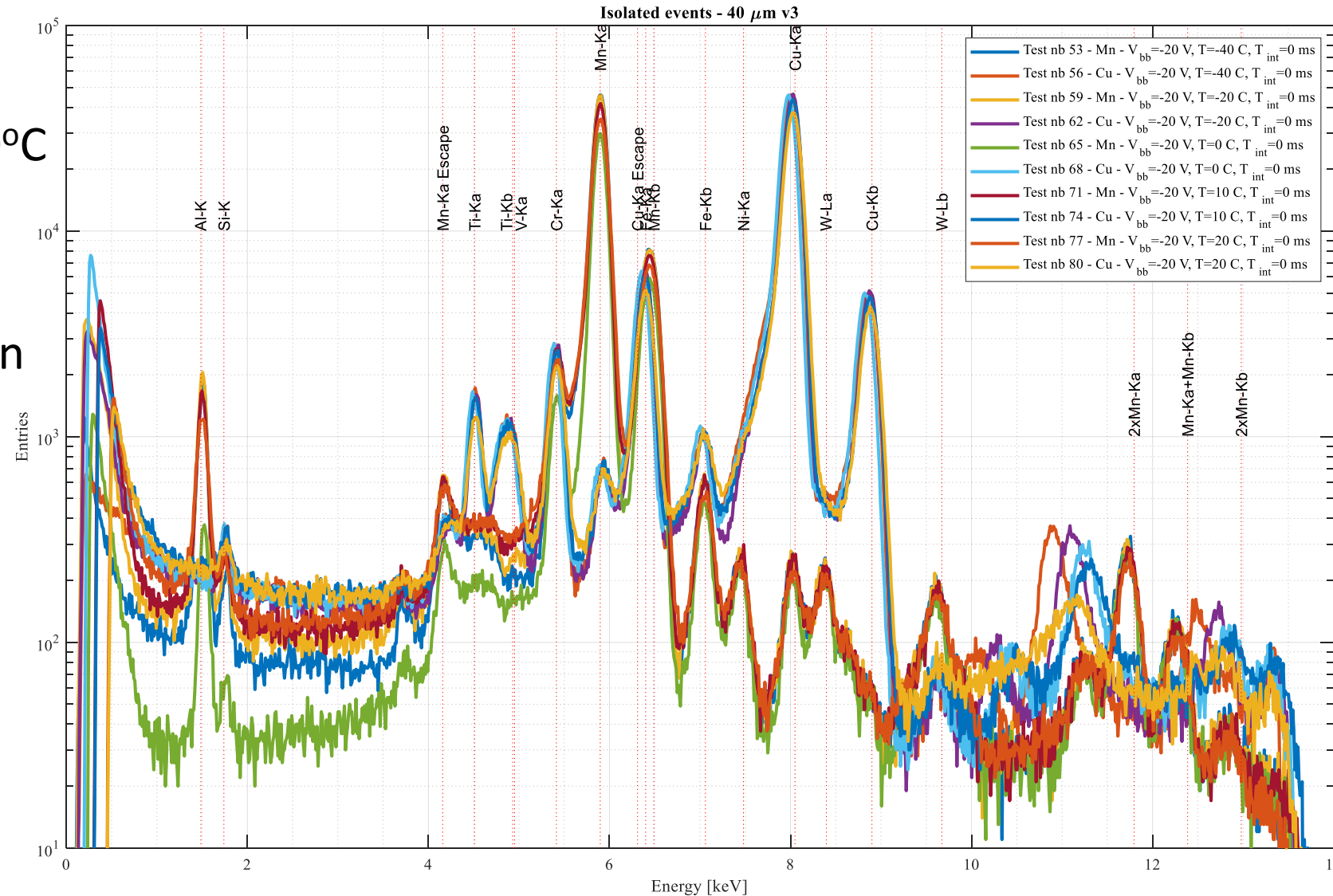
- Noise ~3e- rms.
- Deep DDE variant
- Vbb=-20 V
- Cold (-40 C)
- All pixels plotted (no event detection)



CIS221-X Isolated Event Spectra



- Operating temperatures over the range -40°C to $+20^{\circ}\text{C}$
- Mn-K FWHM $\sim 155\text{eV}$ without pixel gain correction
- With each variant covering $\sim 1\text{cm}^2$ represents possibly the best XRF spectra measured?



Summary



- CCDs have been the workhorse detector for many visible and X-ray missions
 - However, radiation damage to CTI is becoming a limiting factor for some applications
- High performance, “scientific” CMOS image sensors are now growing in use
- Customised X-ray optimised CMOS sensors developed for Theseus are showing promise but with lower TRL
 - Fully digital devices, 3-sides buttable, large area, BI, etc.
 - Noise ~ 1.6 e- rms.
 - Full depletion up to $35 \mu\text{m}$
- Development cost of custom CMOS image sensors is higher than CCDs & development times longer