

# Scattering phase shifts and mixing angles for an arbitrary number of coupled channels on the lattice

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# Outline

- 1 Introduction
- 2 Benchmark system
- 3 Lattice method
- 4 Computational results
- 5 Summary

# Introduction

- Chiral lattice EFT (as described by Dean Lee) allows for simulations of light and medium-mass nuclei, e.g.  $^3\text{H}$ ,  $^4\text{He}$ ,  $^{12}\text{C}$ ,  $^{16}\text{O}$ ,  $^{28}\text{Si}$ .

[Eur. Phys. J. A 41, 125 \(2009\)](#); [Phys. Rev. Lett. 106, 192501 \(2011\)](#);

[Phys. Rev. Lett. 109, 252501 \(2012\)](#); [Phys. Rev. Lett. 112, no. 10, 102501 \(2014\)](#);

[Phys. Lett. B 732, 110 \(2014\)](#)

- It requires a method to extract the infinite-volume scattering amplitude from the lattice data.
- In lattice QCD (as described by Constantia Alexandrou), Lüscher's method can be used to relate the ( $n$ -channel) S-matrix to the finite-volume energy spectrum.

[Phys. Rev. D 89, no. 7, 074507 \(2014\)](#); [JHEP 1610, 011 \(2016\)](#); [Phys. Rev. D 97, no. 5, 054513 \(2018\)](#);  
[JHEP 1807, 043 \(2018\)](#); arXiv:1904.04136

# Introduction

- For heavier nuclei in lattice EFT, the finite-volume scattering energies ( $\sim 100$  keV) are very small compared to the binding energies ( $\sim 100$  MeV).
- Therefore, the error of the Monte-Carlo energy levels is larger than the separation between these levels.  
⇒ Lüscher's method is not accurate enough for calculations of nucleus-nucleus scattering phase shifts.

[Phys. Lett. B 760, 309 \(2016\)](#)

- Instead, one can use the adiabatic projection method to compute an effective nucleus-nucleus Hamiltonian.

[Phys. Rev. C 92, no. 5, 054612 \(2015\); Nature 528, 111 \(2015\); Eur. Phys. J. A 52, no. 6, 174 \(2016\)](#)

# Introduction

- Once this so-called adiabatic Hamiltonian is calculated, one can extract phase shifts using spherical wall boundary conditions together with projection onto partial waves.

Nucl. Phys. A 424, 47 (1984); Eur. Phys. J. A 34, 185 (2007); Phys. Lett. B 760, 309 (2016);  
Eur. Phys. J. A 53, no. 5, 83 (2017); Phys. Rev. C 98, no. 4, 044002 (2018)

- However, this technique has so far only been applied to uncoupled channels or two coupled channels.
- goal: generalization to three or more coupled partial waves (non-trivial)

# Benchmark system

scattering of two spin-1 bosons with approximate deuteron mass  $m_1 = m_2 = 2m_N$ ,  $m_N = 938.92$  MeV:

$$H = \frac{p_1^2}{2m_1} + \frac{p_2^2}{2m_2} + V(\vec{r}_1 - \vec{r}_2)$$

test potential for spin-1/2 fermions (“one-pion exchange”):

$$V(\vec{r}) = C \left( 1 + \frac{3(\vec{r} \cdot \vec{\sigma}_1)(\vec{r} \cdot \vec{\sigma}_2) - (\vec{\sigma}_1 \cdot \vec{\sigma}_2)r^2}{r_0^2} \right) e^{-r^2/(2r_0^2)}$$

$$C = -2 \text{ MeV}, \quad r_0 = 3.95 \text{ fm}$$

$\vec{\sigma}_i$  : Pauli matrices for particle  $i = 1, 2$

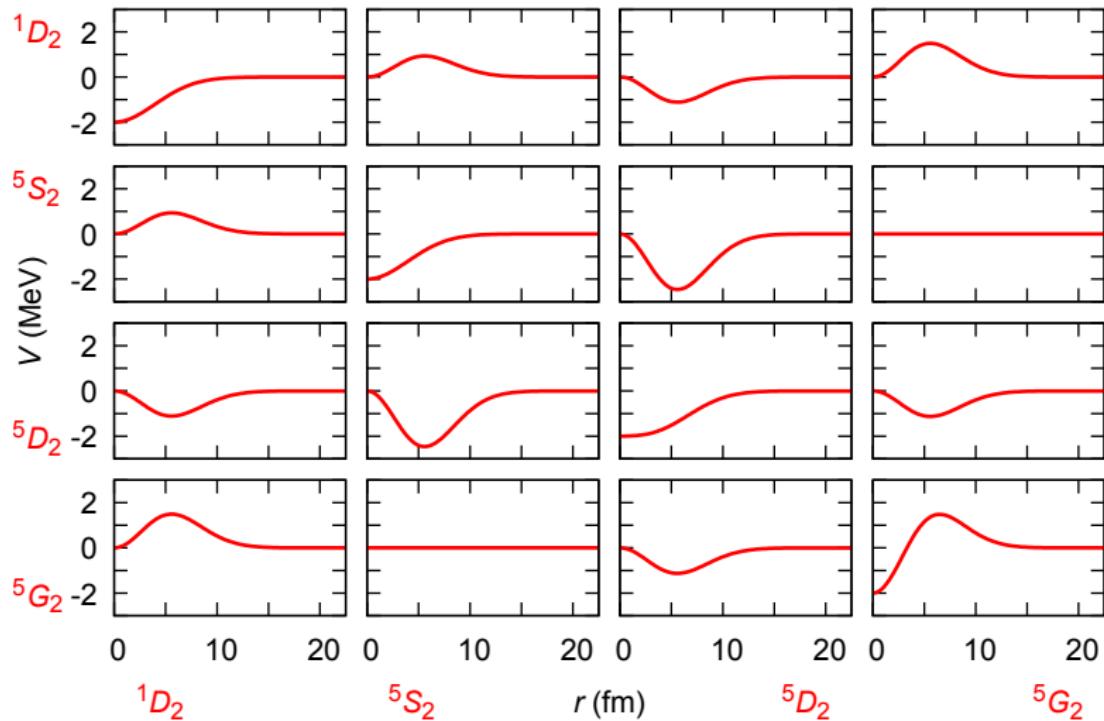
[Eur. Phys. J. A 34, 185 \(2007\); Phys. Lett. B 760, 309 \(2016\)](#)

replace Pauli matrices  $\vec{\sigma}_i$  by spin-1 matrices  $\vec{s}_i$ :

$$V(\vec{r}) = C \left( 1 + \frac{3(\vec{r} \cdot \vec{s}_1)(\vec{r} \cdot \vec{s}_2) - (\vec{s}_1 \cdot \vec{s}_2)r^2}{r_0^2} \right) e^{-r^2/(2r_0^2)}$$

# Benchmark system

projecting  $V(\vec{r})$  onto partial waves yields up to four coupled scattering channels:



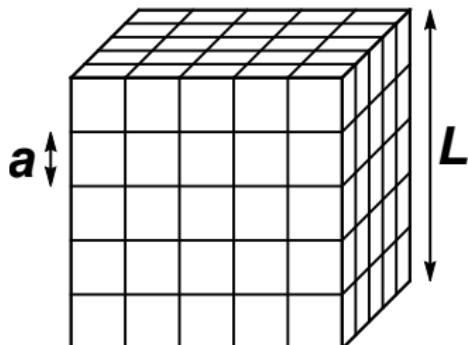
# Lattice method: projection onto partial waves

define cubic lattice with spacing  
 $a = 1.97 \text{ fm}$  and length  $L = 35a$ :

$$\vec{r} = (r_1, r_2, r_3);$$

$$r_i = 0, \dots, (L - 1)a \\ = 0, \dots, (L - 1) \text{ l.u.}$$

(use dimensionless lattice units)



introduce periodic boundary conditions:

$$|\vec{r}\rangle = |\vec{r} + L\hat{\mathbf{e}}_1\rangle = |\vec{r} + L\hat{\mathbf{e}}_2\rangle = |\vec{r} + L\hat{\mathbf{e}}_3\rangle$$

consider Hamiltonian in center-of-mass system (CMS):

$$H = \frac{\Delta}{2\mu} + V(\vec{r}) \quad \text{with} \quad \mu = \frac{m_1 m_2}{m_1 + m_2}$$

# Lattice method: projection onto partial waves

discretize free term using  $O(a^4)$ -improved lattice dispersion relation: [Eur. Phys. J. A 34, 185 \(2007\)](#)

$$\begin{aligned} H |\vec{r}\rangle &= \frac{49}{12\mu} |\vec{r}\rangle - \frac{3}{4\mu} \sum_{i=1}^3 (|\vec{r} + \hat{\mathbf{e}}_i\rangle + |\vec{r} - \hat{\mathbf{e}}_i\rangle) \\ &\quad + \frac{3}{40\mu} \sum_{i=1}^3 (|\vec{r} + 2\hat{\mathbf{e}}_i\rangle + |\vec{r} - 2\hat{\mathbf{e}}_i\rangle) \\ &\quad - \frac{1}{180\mu} \sum_{i=1}^3 (|\vec{r} + 3\hat{\mathbf{e}}_i\rangle + |\vec{r} - 3\hat{\mathbf{e}}_i\rangle) + V(\vec{r}) |\vec{r}\rangle \end{aligned}$$

define radial states for partial wave  $^{2s+1}l_j$ : [Phys. Lett. B 760, 309 \(2016\)](#)

$$|R\rangle_{s,l,j} = \sum_{\vec{r}} \sum_{l_z, s_z} \sum_{s_{1,z}} \sum_{s_{2,z}} C_{0, l_z, s_z}^{j, l, s} C_{s_z, s_{1,z}, s_{2,z}}^{s, 1, 1} Y_{l, l_z}(\hat{r}) \delta_{r, R} |\vec{r}\rangle \otimes |s_{1,z}, s_{2,z}\rangle$$

# Lattice method: projection onto partial waves

consider  $n$  coupled channels:

$$|R\rangle_\alpha := |R\rangle_{s_\alpha, l_\alpha, j_\alpha} \text{ for } \alpha = 1, \dots, n$$

compute norm matrix of radial states:

$$[N(R)]_{\alpha\alpha'} = {}_{\alpha}\langle R|R\rangle_{\alpha'}$$

project Hamiltonian onto normalized radial states:

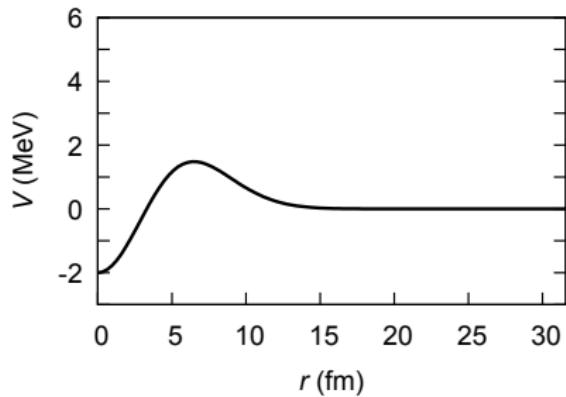
$$[H_R(R_1, R_2)]_{\alpha\beta} = \sum_{\alpha', \beta'=1}^n [N^{-1/2}(R_1)]_{\alpha\alpha'} {}_{\alpha'}\langle R_1 | H | R_2 \rangle_{\beta'} [N^{-1/2}(R_2)]_{\beta'\beta}$$

obtain wave functions from eigenvectors  $|\psi\rangle$  of  $H_R$ :

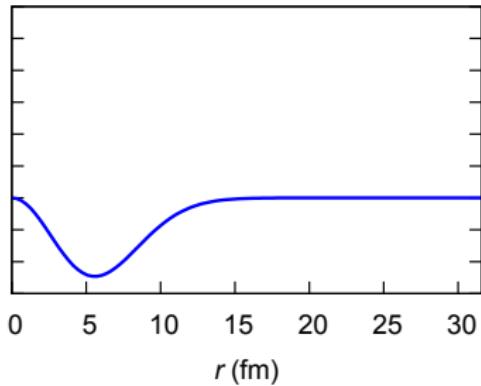
$$\psi_\alpha(R) = \sum_{\alpha'=1}^n [N^{-1/2}(R)]_{\alpha\alpha'} {}_{\alpha'}\langle R | \psi \rangle$$

# Lattice method: auxiliary potentials

auxiliary potentials on the lattice:



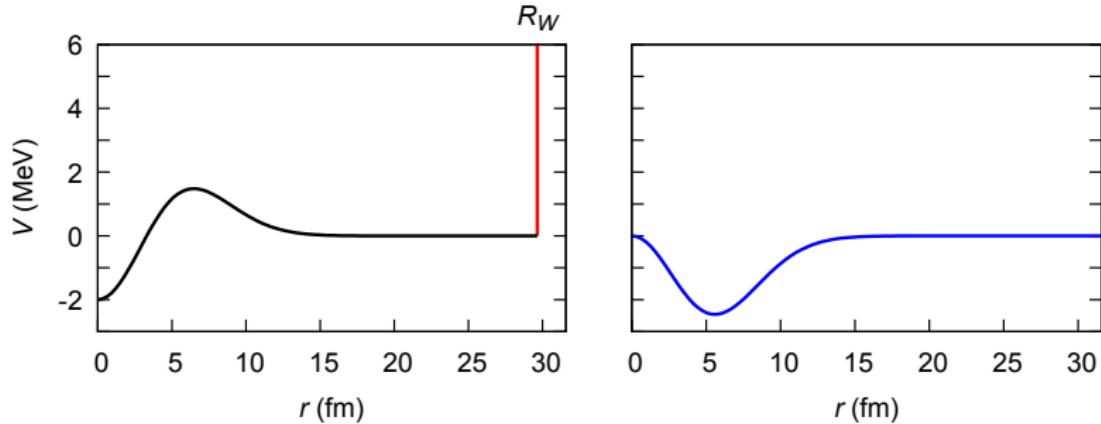
$^5G_2$ -wave potential (diagonal)



$^5SD_2$ -wave potential (off-diag.)

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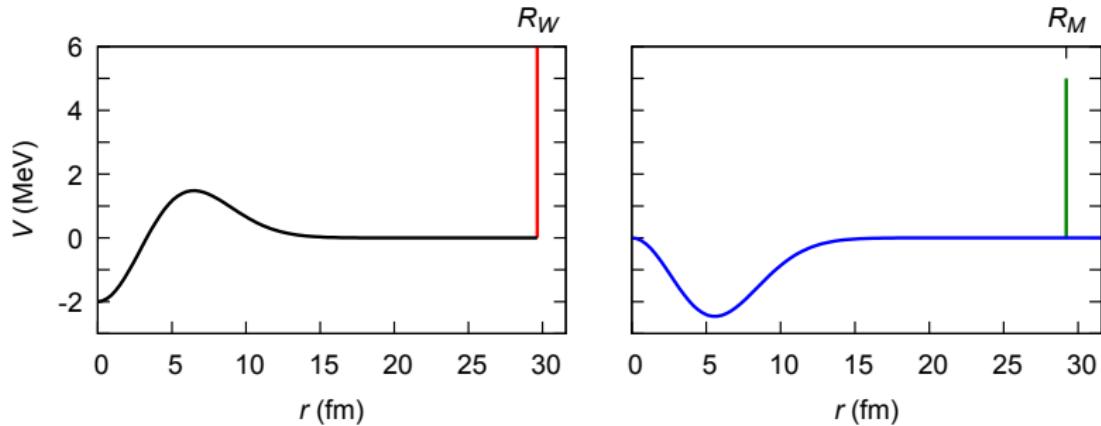
$^5G_2$ -wave potential (diagonal)  
spherical wall       $^5SD_2$ -wave potential (off-diag.)

$$V(\vec{r}) \rightarrow V(\vec{r}) + \Lambda \theta(r - R_W), \quad R_W = 15.02a, \quad \Lambda = 10^8 \text{ MeV}$$

(used to avoid artifacts caused by periodic boundary condition)

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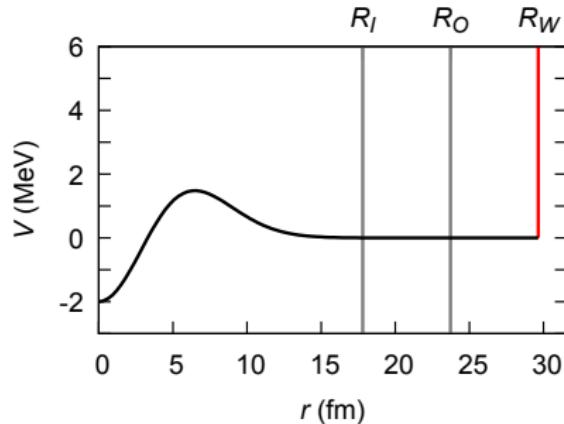
$^5SD_2$ -wave potential (off-diag.)  
mixing potential

$$H_R \rightarrow H_R + U_0 \delta_{r,R_M} \left( \cdots \right), \quad R_M \lesssim R_W, \quad U_0 = 5 \text{ MeV}$$

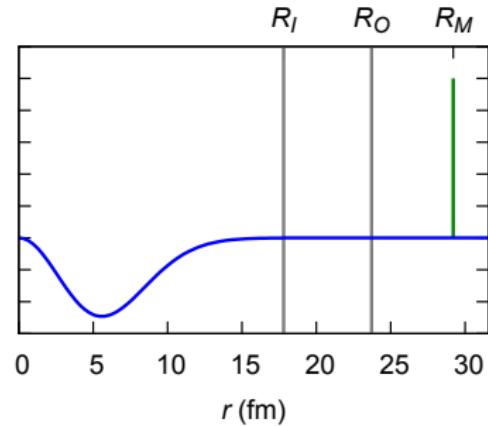
(used to construct full-rank S-matrix)

# Lattice method: auxiliary potentials

auxiliary potentials on the lattice:



$^5G_2$ -wave potential (diagonal)  
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$^5SD_2$ -wave potential (off-diag.)  
mixing potential

interval for fitting wave functions:  $R_I = 9.02a$ ,  $R_O = 12.02a$

# Lattice method: determination of S-matrix

extract S-matrix from wave function fit: [Phys. Lett. B 760, 309 \(2016\)](#)

- for one channel:  $\psi(r) = Ah_l^-(pr) + Bh_l^+(pr) \Rightarrow S = B/A$

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- for two channels:  $\psi(r) = \begin{pmatrix} A_1 h_{l_1}^-(pr) + B_1 h_{l_1}^+(pr) \\ A_2 h_{l_2}^-(pr) + B_2 h_{l_2}^+(pr) \end{pmatrix},$

$$\psi^*(r) = \begin{pmatrix} A_1^* h_{l_1}^+(pr) + B_1^* h_{l_1}^-(pr) \\ A_2^* h_{l_2}^+(pr) + B_2^* h_{l_2}^-(pr) \end{pmatrix} \Rightarrow S = (\vec{B} \vec{A}^*)(\vec{A} \vec{B}^*)^{-1}$$

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problem:  $[H, T] = 0 \quad \Rightarrow \quad \psi^* = T\psi = \psi \quad \Rightarrow \quad \vec{A} = \vec{B}^*$

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→ time reversal symmetry must be broken to obtain two linearly independent solutions:

$$(H_R + U)\psi(r) = E\psi(r) \quad \text{with} \quad U(r) = U_0 \delta_{r,R_M} \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$$

## Lattice method: determination of S-matrix

new: generalization to  $n > 2$  coupled channels

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- rewrite two-channel wave function:

$$\psi(r) = \begin{pmatrix} \psi_1(r) \\ \psi_2(r) \end{pmatrix} \rightarrow \psi'(r) = \begin{pmatrix} \operatorname{Re} \psi_1(r) \\ \operatorname{Im} \psi_1(r) \\ \operatorname{Re} \psi_2(r) \\ \operatorname{Im} \psi_2(r) \end{pmatrix}$$

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$$\Rightarrow H'_R = \left( \begin{array}{cc|cc} [H_R]_{11} & 0 & [H_R]_{12} & 0 \\ 0 & [H_R]_{11} & 0 & [H_R]_{12} \\ \hline [H_R]_{21} & 0 & [H_R]_{22} & 0 \\ 0 & [H_R]_{21} & 0 & [H_R]_{22} \end{array} \right),$$

$$U' = U_0 \delta_{r,R_M} \left( \begin{array}{cc|cc} 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \\ \hline 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{array} \right), \quad (H'_R + U')\psi'(r) = E\psi'(r)$$

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$$\Rightarrow H'_R = \left( \begin{array}{cc|cc} [H_R]_{11} & 0 & [H_R]_{12} & 0 \\ 0 & [H_R]_{11} & 0 & [H_R]_{12} \\ \hline [H_R]_{21} & 0 & [H_R]_{22} & 0 \\ 0 & [H_R]_{21} & 0 & [H_R]_{22} \end{array} \right),$$

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# Lattice method: determination of S-matrix

possible generalization to  $n = 3$  coupled channels:

$$\psi'(r) = \left( \underbrace{\psi'_1(r), \psi'_2(r), \psi'_3(r)}_{\text{channel 1}}, \underbrace{\psi'_4(r), \psi'_5(r), \psi'_6(r)}_{\text{channel 2}}, \underbrace{\psi'_7(r), \psi'_8(r), \psi'_9(r)}_{\text{channel 3}} \right)^T$$

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$$H'_R = \begin{pmatrix} [H_R]_{11} & 0 & 0 & | & [H_R]_{13} & 0 & 0 \\ 0 & [H_R]_{11} & 0 & | & 0 & [H_R]_{13} & 0 \\ 0 & 0 & [H_R]_{11} & | & 0 & 0 & [H_R]_{13} \\ \hline [H_R]_{21} & 0 & 0 & | & [H_R]_{23} & 0 & 0 \\ 0 & [H_R]_{21} & 0 & | & 0 & [H_R]_{23} & 0 \\ 0 & 0 & [H_R]_{21} & | & 0 & 0 & [H_R]_{23} \\ \hline [H_R]_{31} & 0 & 0 & | & [H_R]_{33} & 0 & 0 \\ 0 & [H_R]_{31} & 0 & | & 0 & [H_R]_{33} & 0 \\ 0 & 0 & [H_R]_{31} & | & 0 & 0 & [H_R]_{33} \end{pmatrix}$$

# Lattice method: determination of S-matrix

possible generalization to  $n = 3$  coupled channels:

$$U' = U_0 \delta_{r,R_M} \left( \begin{array}{ccc|ccc|ccc} 0 & 0 & 0 & 0 & -1 & 1 & 0 & 1 & -1 \\ 0 & 0 & 0 & 1 & 0 & 1 & 1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 1 & 0 \\ \hline 0 & 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 \\ -1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & -1 \\ 1 & 1 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ \hline 0 & 1 & 1 & 0 & 1 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ -1 & 1 & 0 & 1 & -1 & 0 & 0 & 0 & 0 \end{array} \right)$$

# Lattice method: determination of S-matrix

construction of S-matrix:

$$\psi'_{\beta+(\alpha-1)n}(r) = A_{\alpha\beta} h_{l_\alpha}^-(pr) + B_{\alpha\beta} h_{l_\alpha}^+(pr)$$
$$\Rightarrow S = \begin{pmatrix} B_{11} & \cdots & B_{1n} \\ \vdots & \ddots & \vdots \\ B_{n1} & \cdots & B_{nn} \end{pmatrix} \begin{pmatrix} A_{11} & \cdots & A_{1n} \\ \vdots & \ddots & \vdots \\ A_{n1} & \cdots & A_{nn} \end{pmatrix}^{-1}$$

Blatt-Biedenharn parametrization: [Phys. Rev. 86, 399 \(1952\)](#)

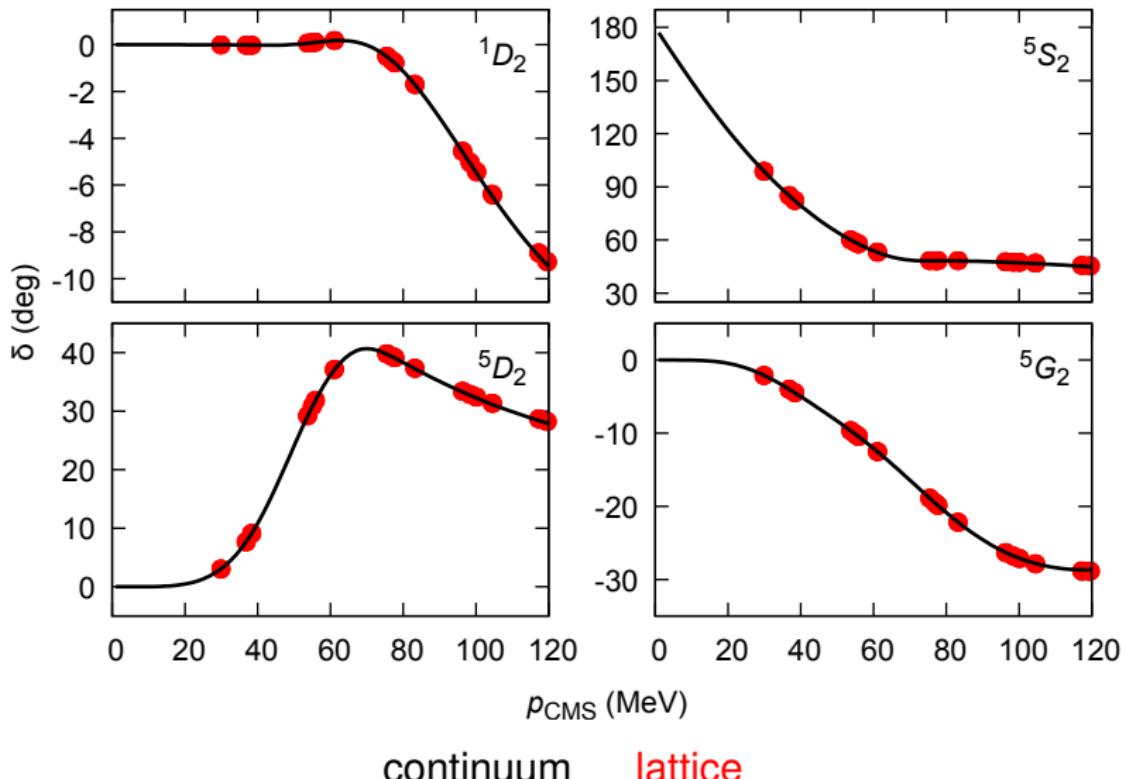
$$S = O^{-1} \operatorname{diag}(e^{2i\delta_1}, \dots, e^{2i\delta_n}) O$$

with  $n$  phase shifts  $\delta_1, \dots, \delta_n$  and  $n(n - 1)/2$  mixing angles

$$\epsilon_{\alpha\beta} = \tan^{-1} O_{\alpha\beta} \text{ for } \alpha, \beta = 1, \dots, n \text{ and } \beta > \alpha$$

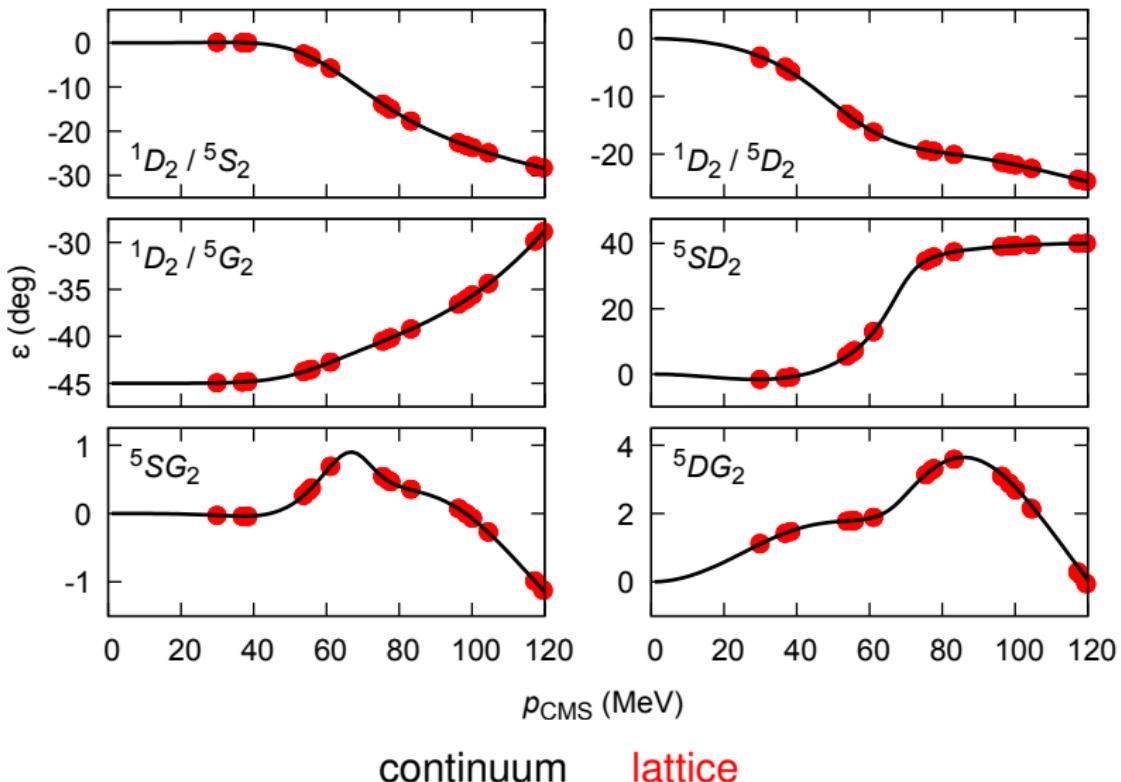
# Computational results

phase shifts for  $^1D_2/{}^5SDG_2$ -wave:



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mixing angles for  $^1D_2 / ^5SDG_2$ -wave:



## Summary

- The spherical wall method has been generalized for particles with any spin and an arbitrary number of coupled scattering channels.
- For the benchmark system of two spin-1 bosons, the lattice and continuum results agree for CMS momenta well below the lattice cutoff  $\Lambda_{\text{latt}} \sim \pi/a \simeq 314 \text{ MeV}$ .
- The presented technique can be combined with the adiabatic projection method, which allows one to consider scattering of particle clusters.
- By using chiral EFT interactions, one can apply the adiabatic projection method to nuclear reactions (e.g.  $dd$  scattering), where  $n > 2$  coupled channels often appear.

Thank you for your attention!