

# Searches for Leptoquarks with the ATLAS detector

Tatjana Lenz (University of Bonn)  
on behalf of ATLAS Collaboration

23. February 2023

Lake Louise Winter Institute 2023



# Anomalies - Hints for New Physics?

- Search for violation of symmetries  
⇒ **New Physics (NP)**
- **Lepton Flavour Universality (LFU)**
  - Anomalies on charged and neutral current processes in B-physics
- **Anomalous magnetic dipole moment**
  - Could be connected to LFU
- **Leptoquarks (LQ)** - a good candidate to explain such anomalies
  - mediator of flavour-changing-neutral-currents
  - violation of LFU

$$R(D^{(*)}) = \frac{B \rightarrow D^{(*)}\tau\nu}{B \rightarrow D^{(*)}\ell\nu}, \quad \ell = e, \mu$$

3.1 $\sigma$  excess in  $R(D)$  and  $R(D^*)$  combination

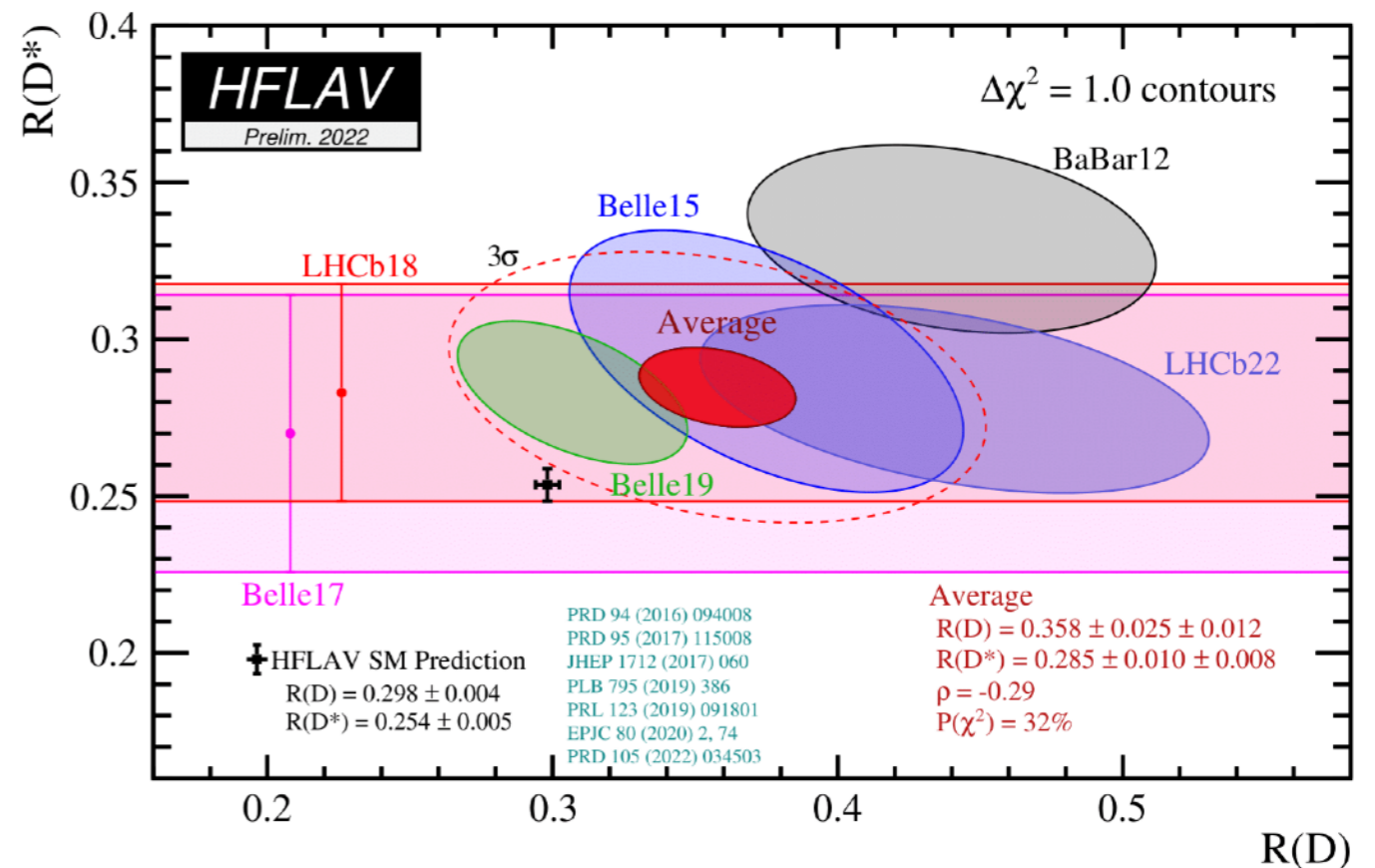
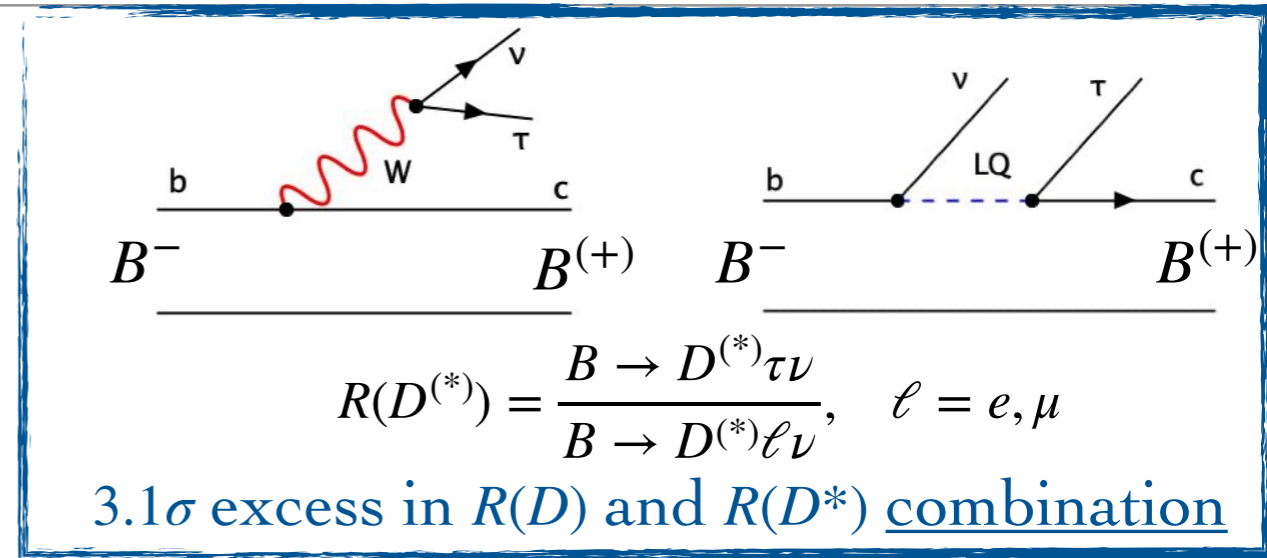
$$R(K^{(*)}) = \frac{B \rightarrow K^{(*)}\mu^+\mu^-}{B \rightarrow K^{(*)}e^+e^-}$$

New LHCb result compatible with SM at 0.2 $\sigma$

4.2 $\sigma$  deviation from SM  
new lattice QCD calculations: 1.5 $\sigma$

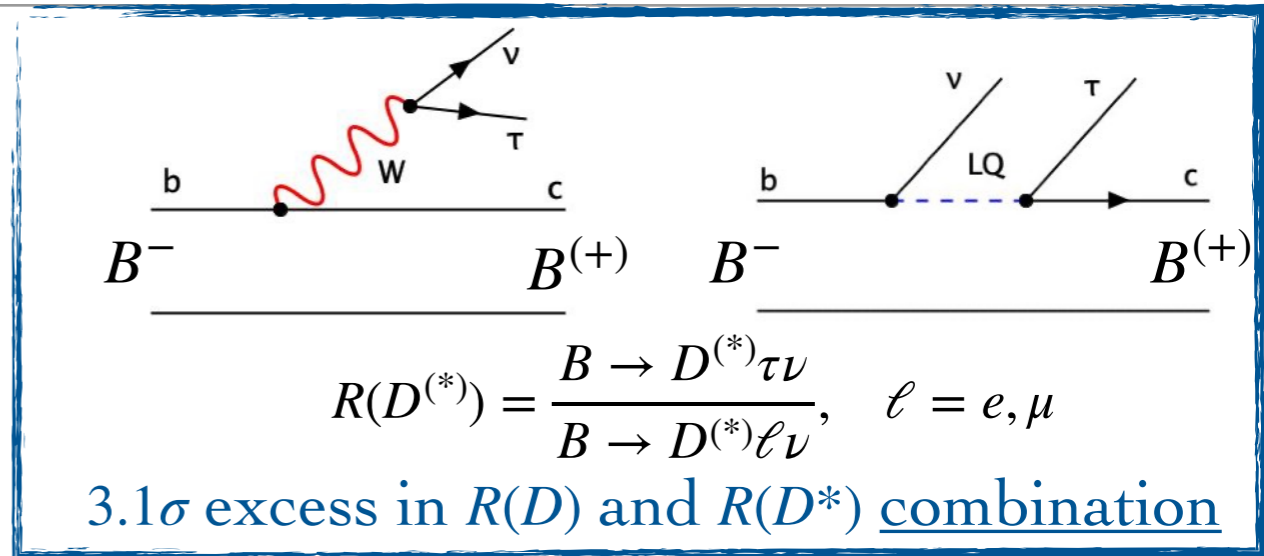
# Anomalies - Hints for New Physics?

- Search for violation of symmetries  
⇒ **New Physics (NP)**
- **Lepton Flavour Universality (LFU)**
  - Anomalies on charged and neutral current processes in B-physics
- **Anomalous magnetic dipole moment**
  - Could be connected to LFU
- **Leptoquarks (LQ)** - a good candidate to explain such anomalies
  - mediator of flavour-changing-neutral-currents
  - violation of LFU



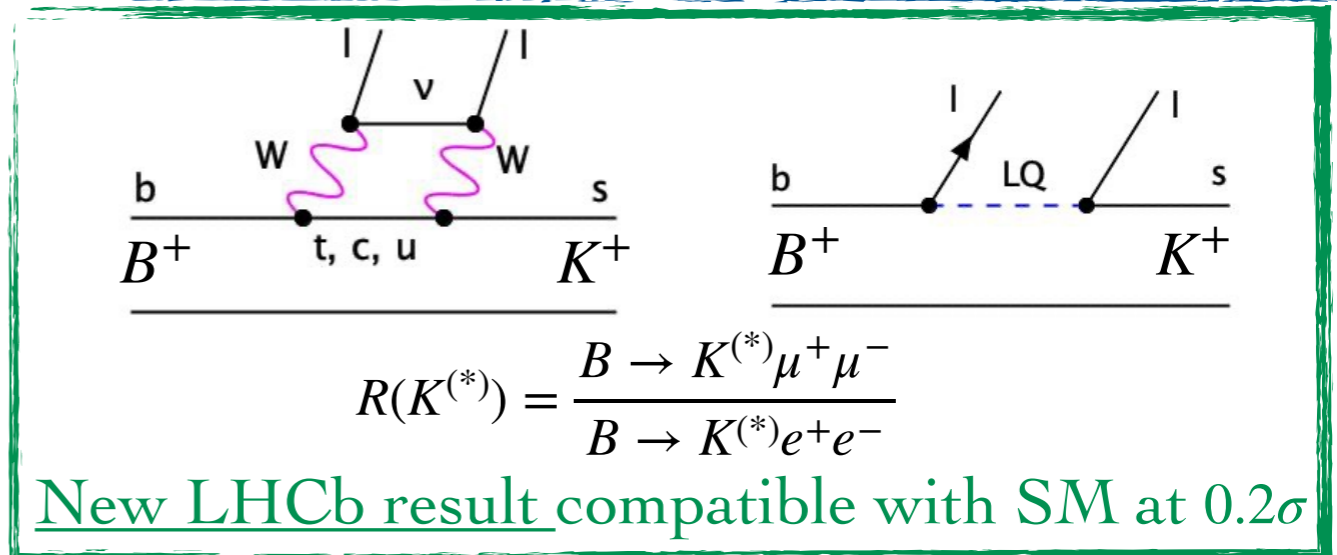
# Anomalies - Hints for New Physics?

- Search for violation of symmetries  
⇒ **New Physics (NP)**
- **Lepton Flavour Universality (LFU)**
  - Anomalies on charged and neutral current processes in B-physics



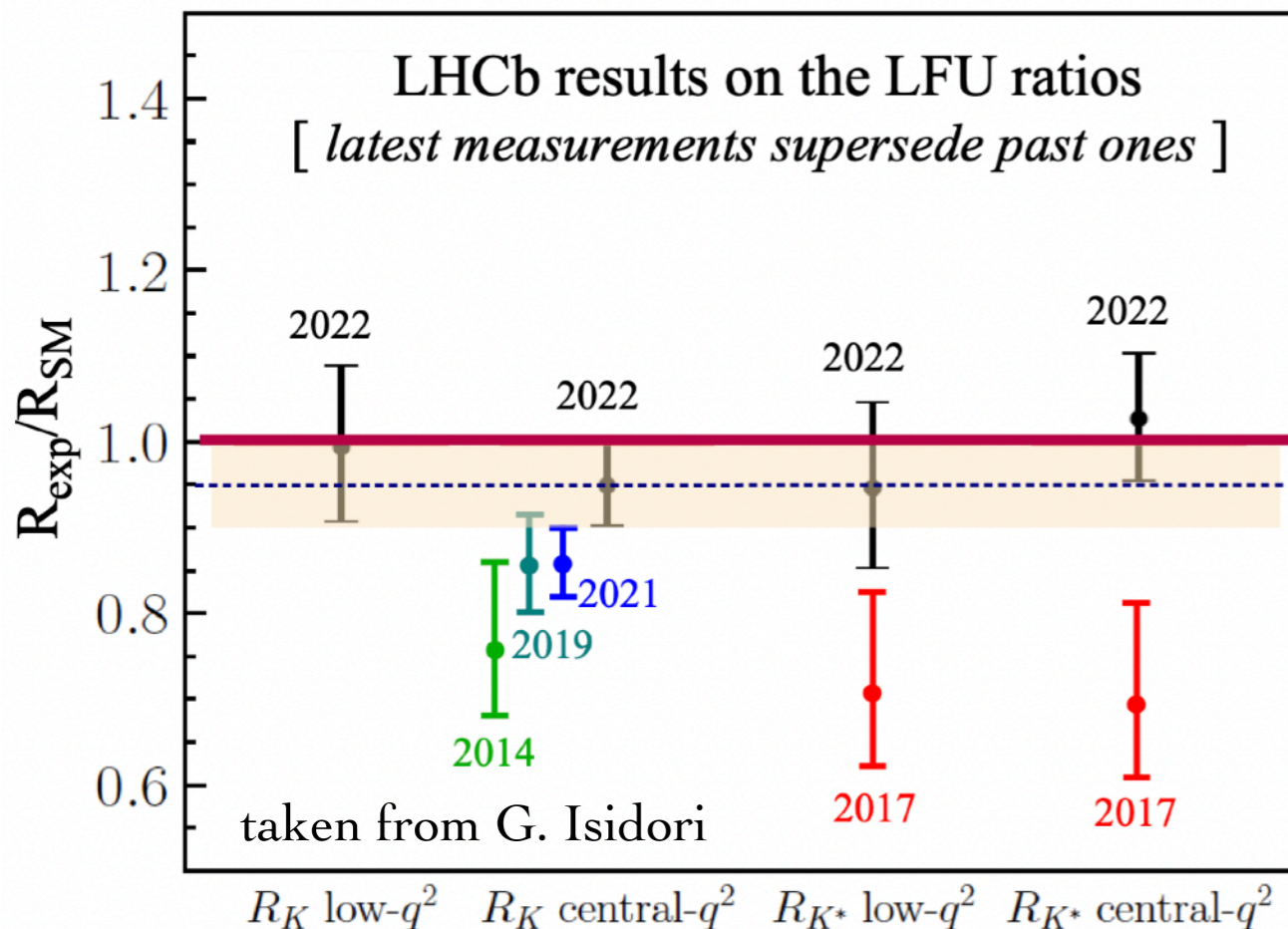
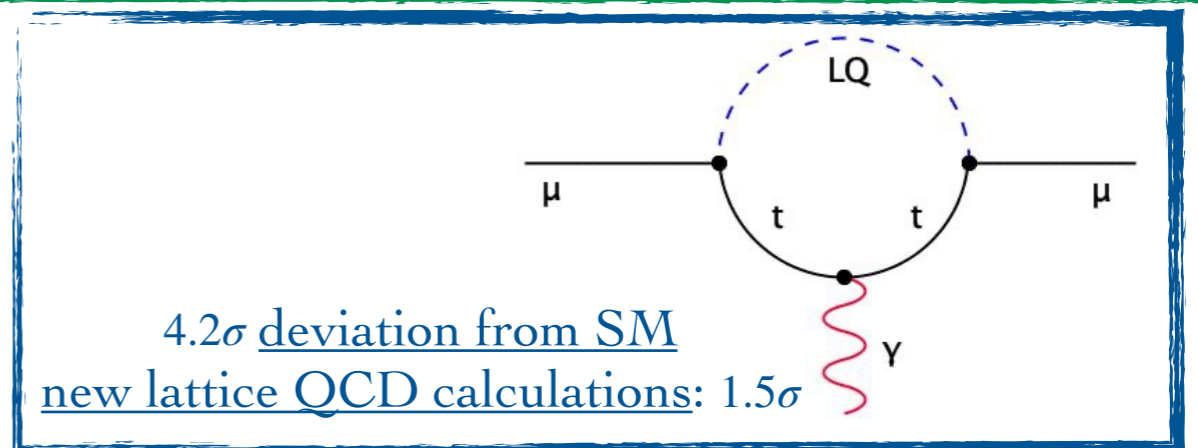
$$R(D^{(*)}) = \frac{B \rightarrow D^{(*)} \tau \nu}{B \rightarrow D^{(*)} \ell \nu}, \quad \ell = e, \mu$$

3.1 $\sigma$  excess in  $R(D)$  and  $R(D^*)$  combination



$$R(K^{(*)}) = \frac{B \rightarrow K^{(*)} \mu^+ \mu^-}{B \rightarrow K^{(*)} e^+ e^-}$$

New LHCb result compatible with SM at 0.2 $\sigma$

4.2 $\sigma$  deviation from SM  
new lattice QCD calculations: 1.5 $\sigma$

# Anomalies - Hints for New Physics?

- Search for violation of symmetries  
⇒ **New Physics (NP)**
- **Lepton Flavour Universality (LFU)**
  - Anomalies on charged and neutral current processes in B-physics
- **Anomalous magnetic dipole moment**
  - Could be connected to LFU
- **Leptoquarks (LQ)** - a good candidate to explain such anomalies
  - mediator of flavour-changing-neutral-currents
  - violation of LFU

$$R(D^{(*)}) = \frac{B \rightarrow D^{(*)}\tau\nu}{B \rightarrow D^{(*)}\ell\nu}, \quad \ell = e, \mu$$

3.1 $\sigma$  excess in  $R(D)$  and  $R(D^*)$  combination

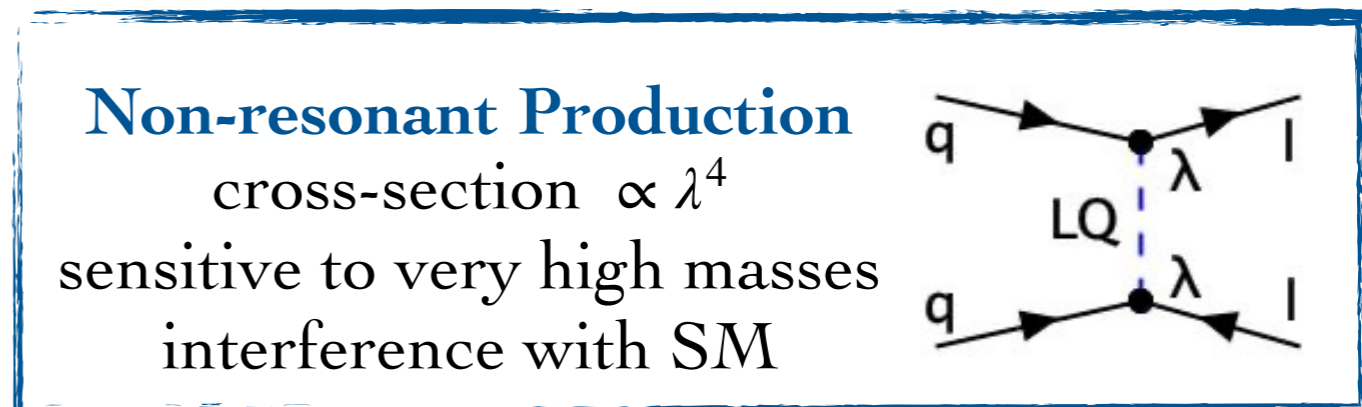
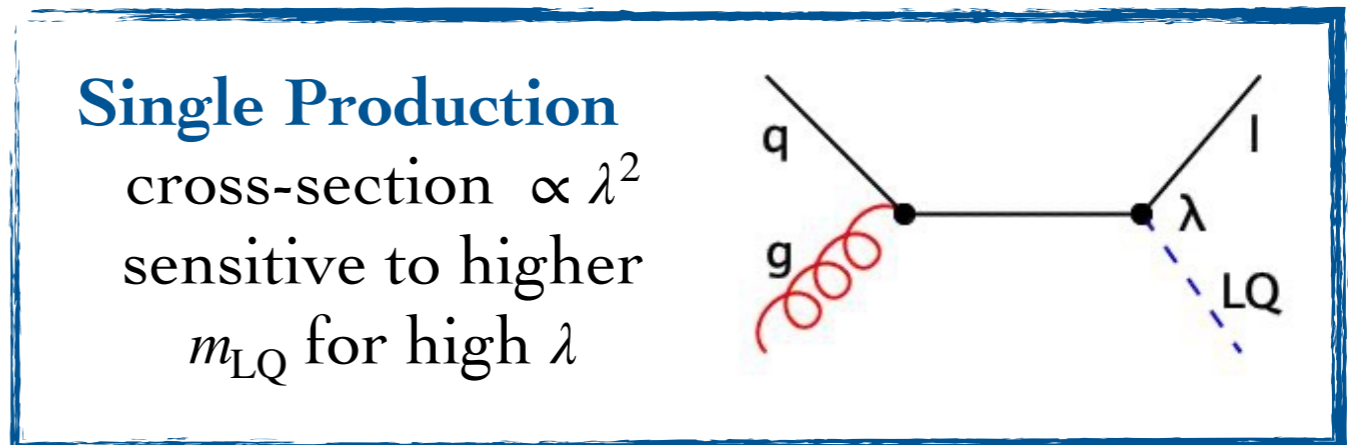
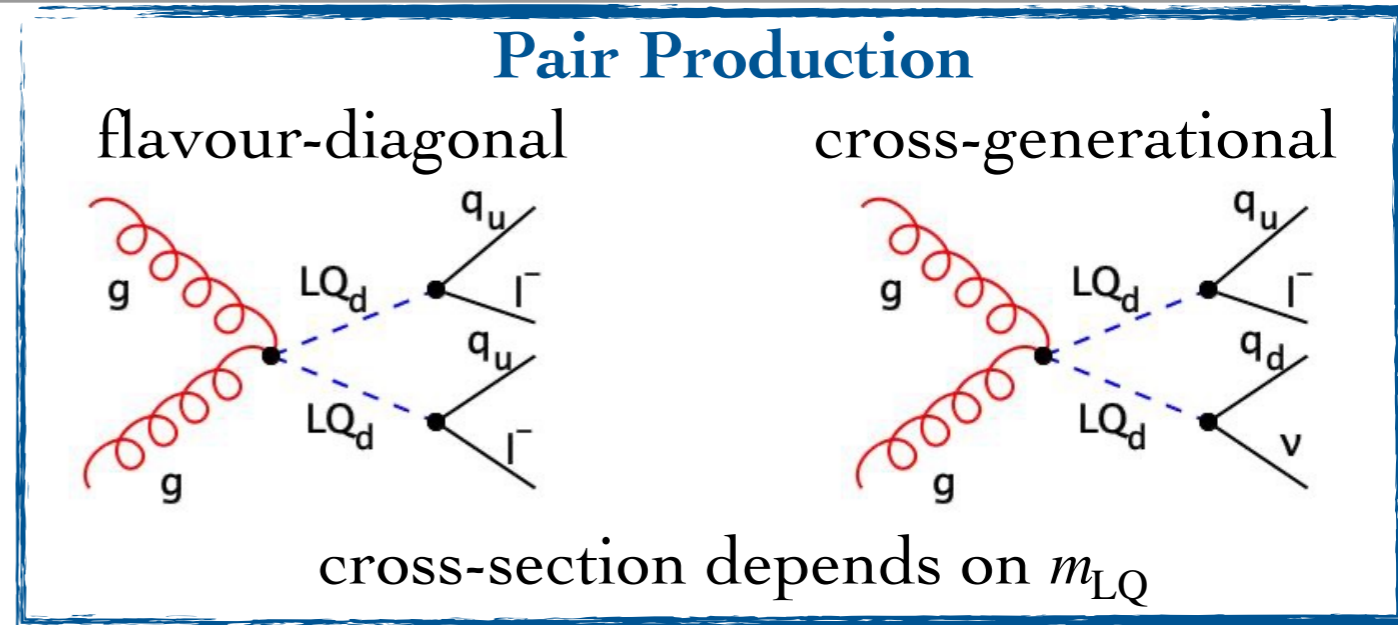
$$R(K^{(*)}) = \frac{B \rightarrow K^{(*)}\mu^+\mu^-}{B \rightarrow K^{(*)}e^+e^-}$$

New LHCb result compatible with SM at 0.2 $\sigma$

4.2 $\sigma$  deviation from SM  
new lattice QCD calculations: 1.5 $\sigma$

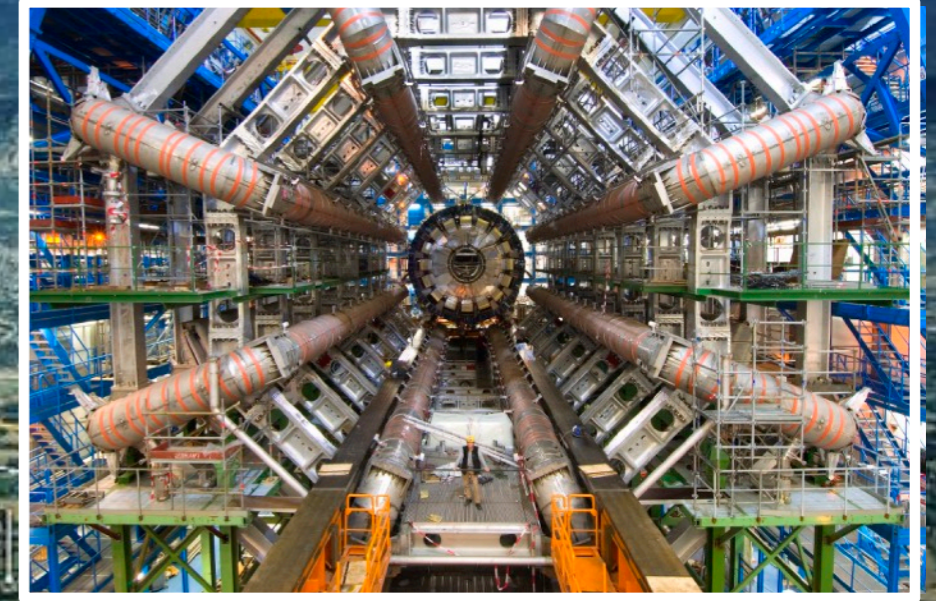
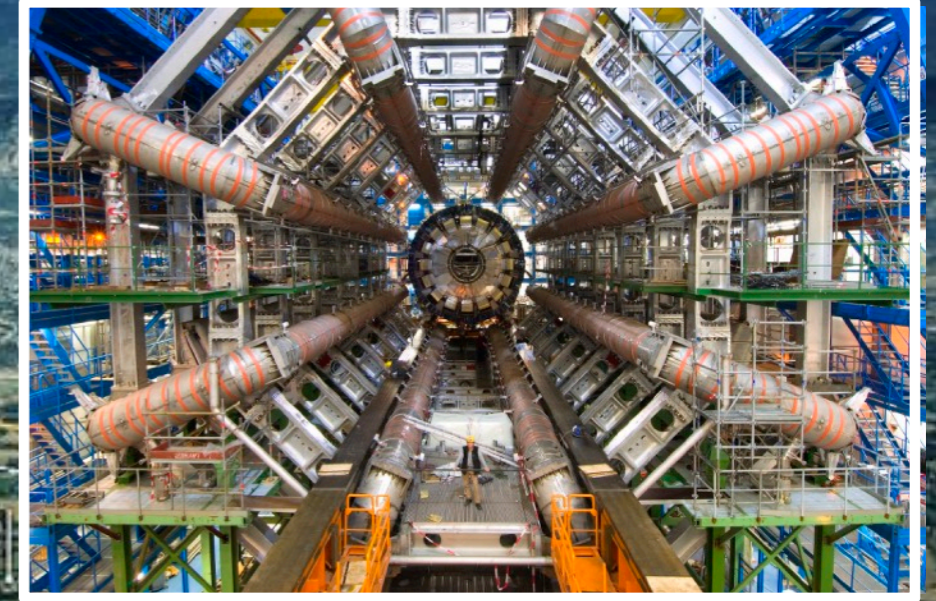
# Leptoquarks

- Predicted by many grand unified theories: GUT SU(5), Pati-Salam SU(4), R-parity violating SUSY
- Connect the quark and lepton sectors
- **Degrees of freedom:**
  - mass, electrical charge, scalar/vector, Yukawa couplings ( $\lambda$ )
  - Branching fraction into charged lepton ( $\beta = 1$ ) or neutrino ( $\beta = 0$ )
  - Production in pairs, singly, off-shell, s/t-channel



# Large Hadron Collider

ATLAS

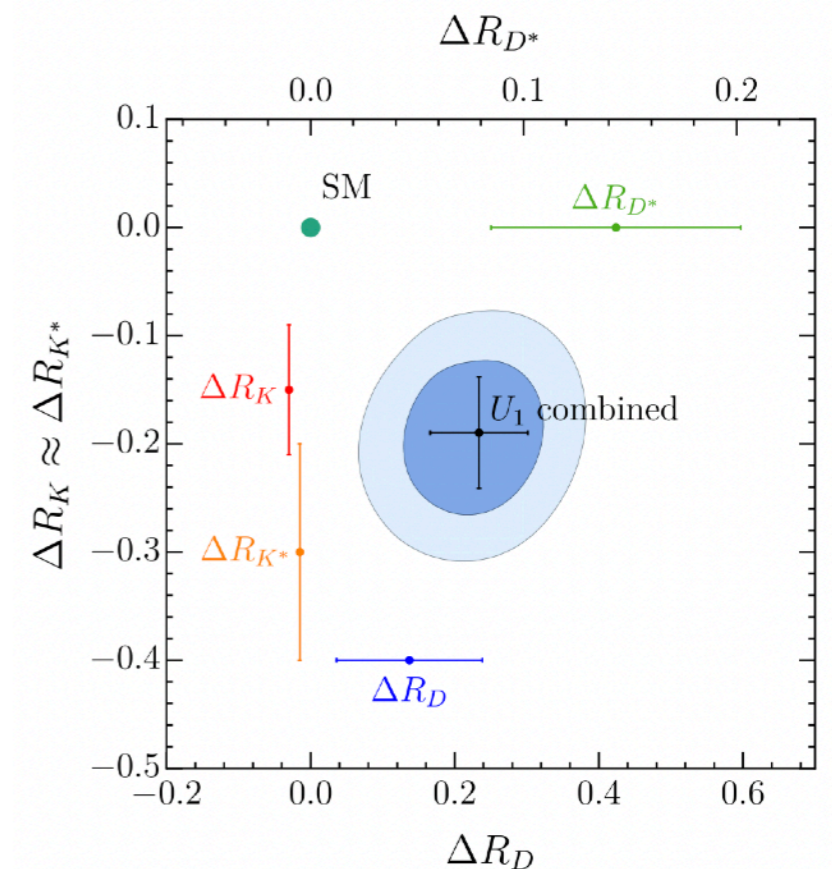


New energy frontier: 7 - 13.6 TeV  
Run-2: 13 TeV pp collisions, 139 fb<sup>-1</sup>

# ATLAS Search Program

- Focus on pair production, first results on single LQ
- **Grid:** LQ mass vs  $\beta$  or  $\lambda$
- **Scalar LQ** ( $\tilde{S}_1$ ): up-type ( $\frac{2}{3}e$ ) and down-type ( $-\frac{1}{3}e$ ) charge; decays flavour-diagonal or cross-generational (“mix”)
- **Vector LQ:** stronger model dependence (UV completion)
  - $U_1$  LQ is a favoured explanation for  $R(D^{(*)})$  and  $R(K^{(*)})$  anomalies
  - larger cross-section compared to scalar LQs
  - coupling to color can be suppressed
    - $\tilde{U}_1^{\text{YM}}$ , Yang Mills: nominal coupling to color ( $\kappa = 0$ )
    - $\tilde{U}_1^{\text{min}}$ , minimal coupling ( $\kappa = 1$ ) to color: coupling to gluon only via covariant derivative

JHEP07 (2019) 168

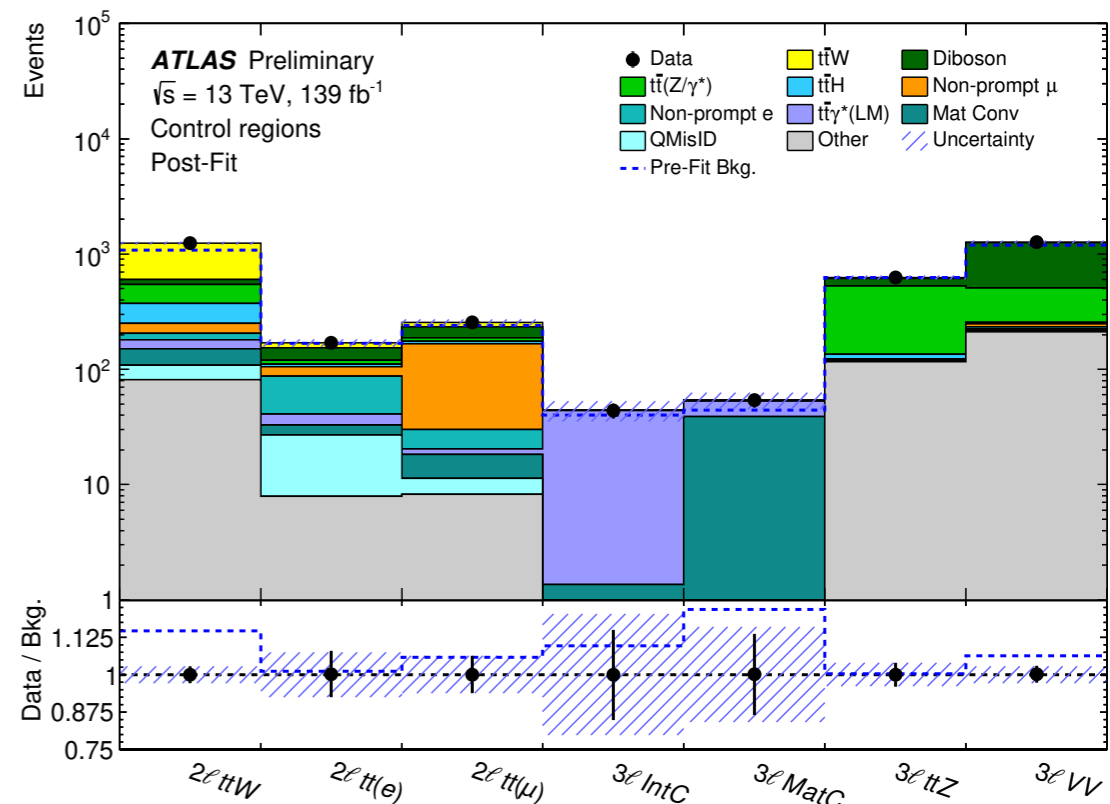
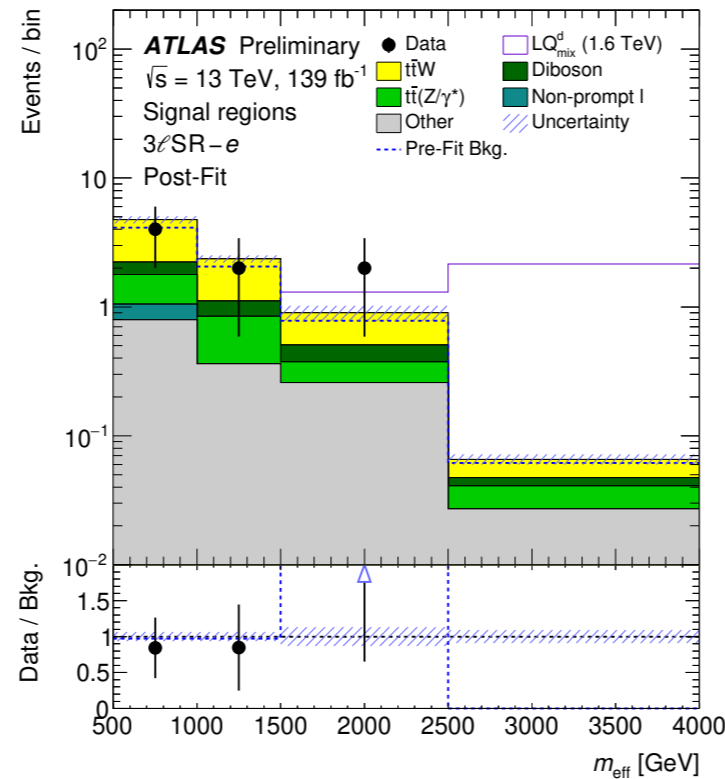
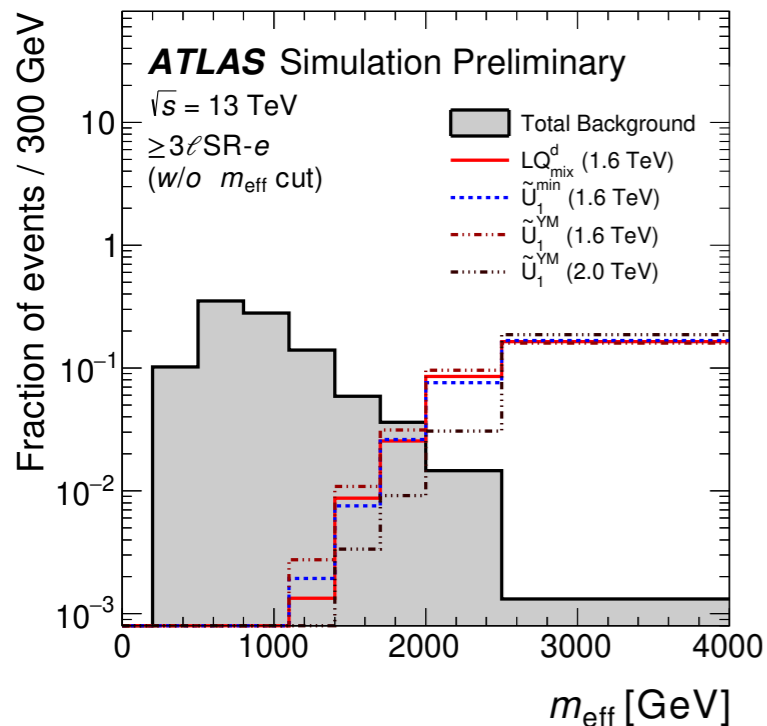
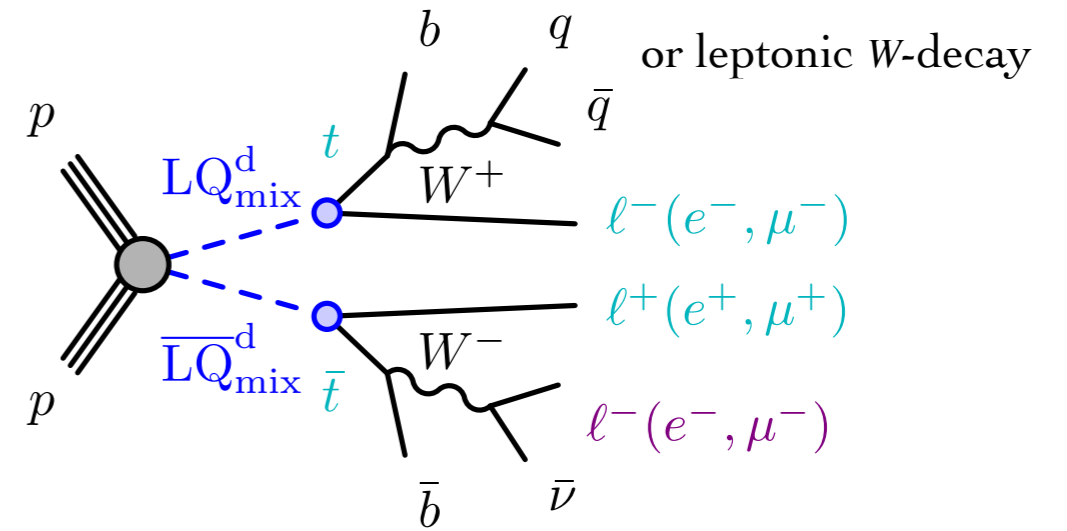


$R(K^{(*)})$  results outdated  
but new LHCb results  
can be explained as well  
(smaller couplings to  
2nd generation)



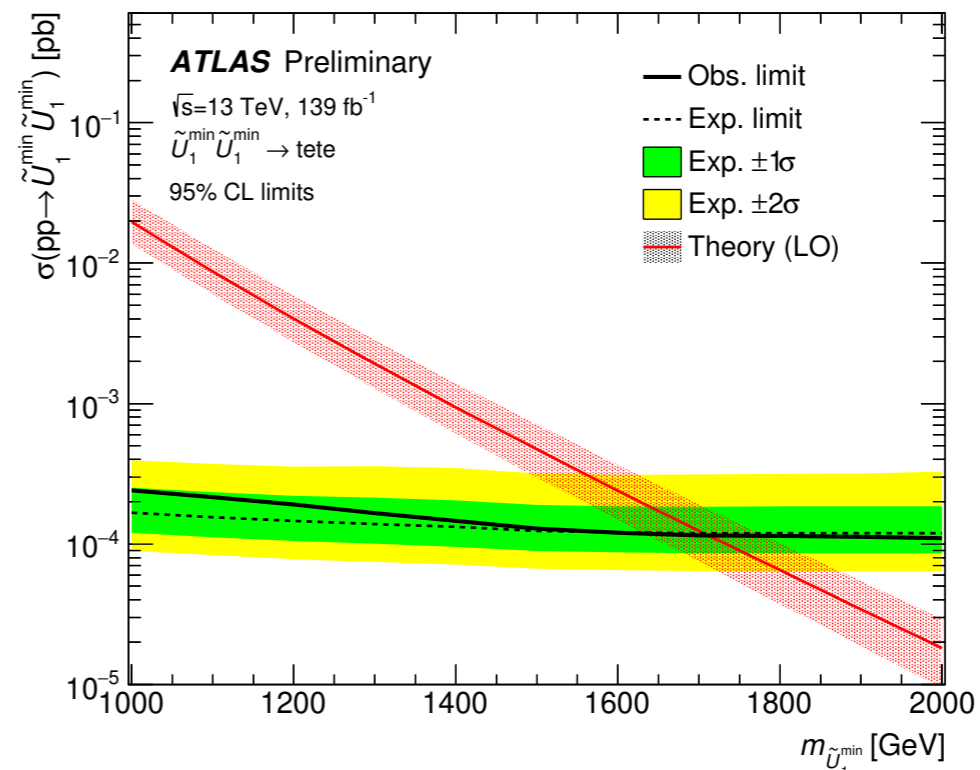
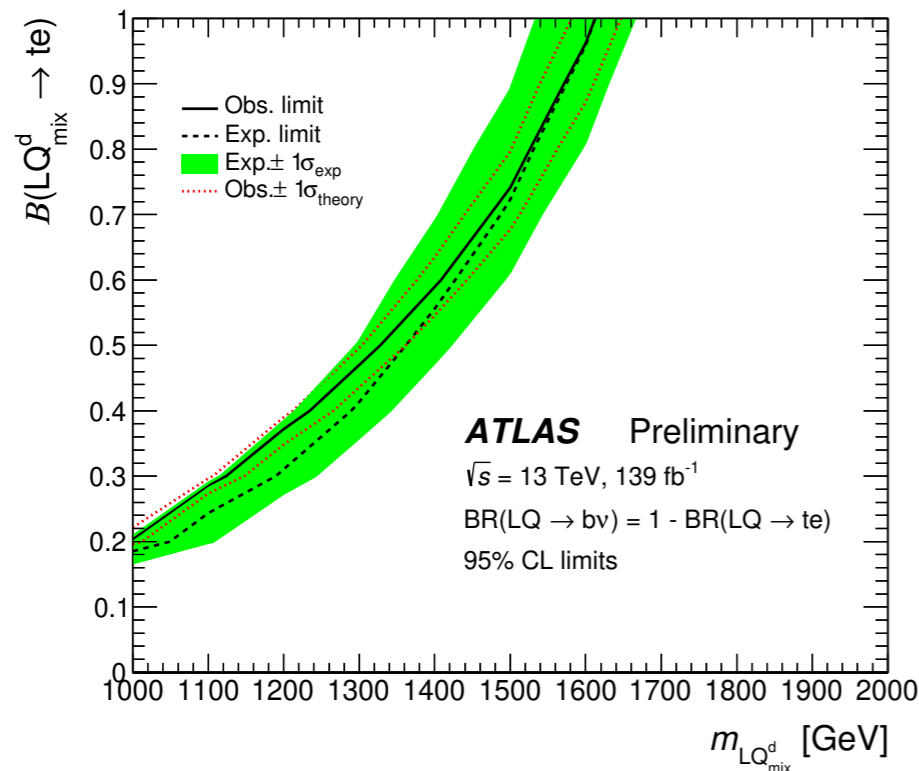
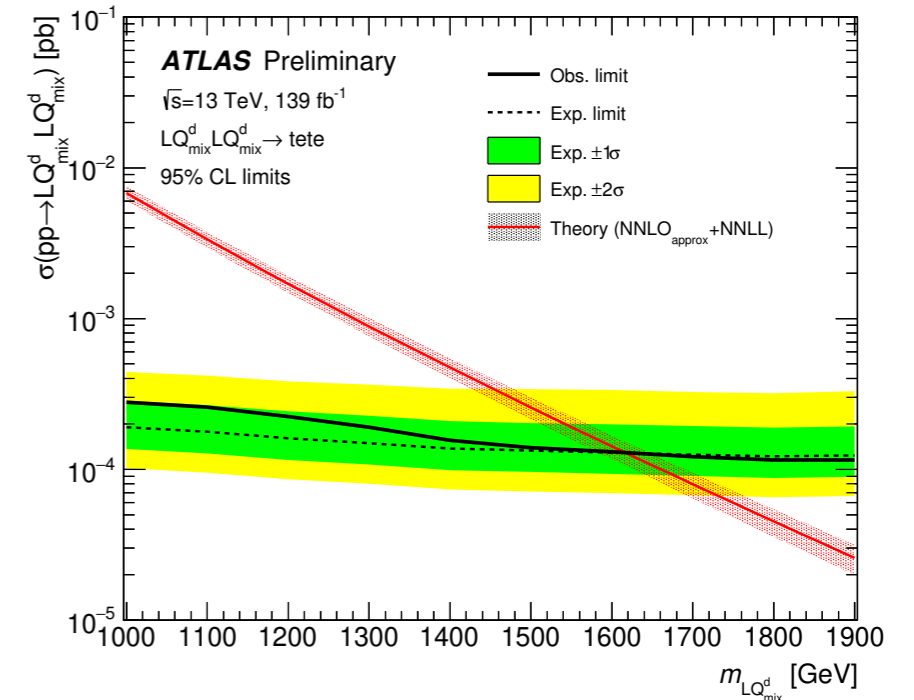
# $LQ_{\text{mix}}^d LQ_{\text{mix}}^d \rightarrow t\bar{t} \ell\ell$ Multilepton

- Pair-produced scalar ( $\beta = 1$ ) and vector ( $\tilde{U}_1$ ) LQs decaying to top quark and multi-leptons ( $2\ell\text{SS}, 3\ell, 4\ell$ )
- At least 2 jets, one b-tagged jet
- Main backgrounds:  $t\bar{t}W, t\bar{t}Z, VV$ , non-prompt  $\ell$ 
  - normalisation derived in data, modelling verified in validation regions
- Discriminant:  $m_{\text{eff}} = \sum_{\text{jets}, e, \mu} p_T + E_T^{\text{miss}}$
- Signal regions:  $m_{\text{eff}} > 500 \text{ GeV}$  and  $m_{\ell\ell}^{\text{min}} > 200 (100) \text{ GeV}$  for  $3\ell(4\ell)$



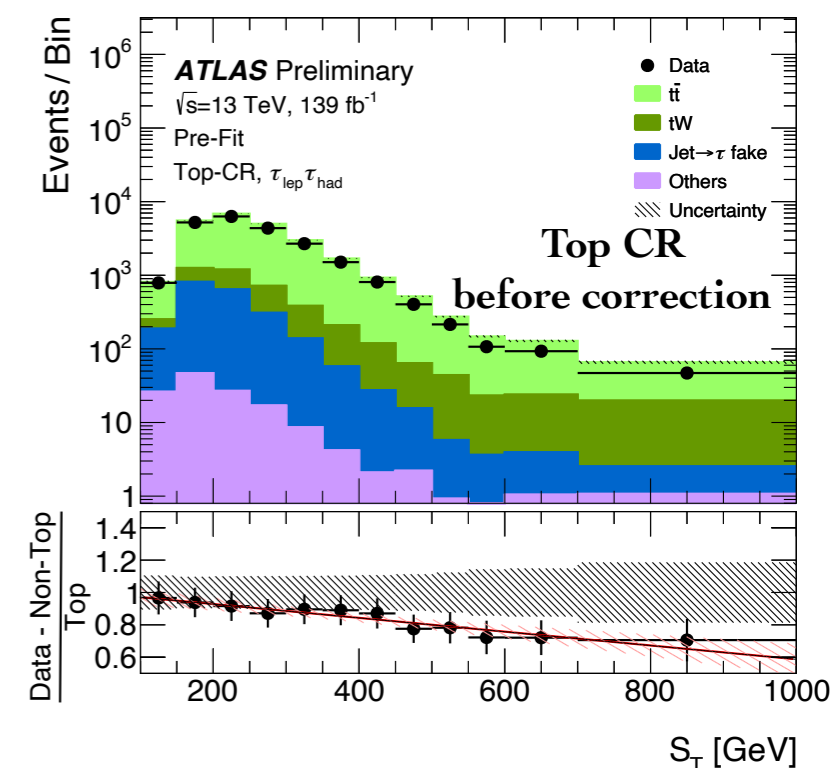
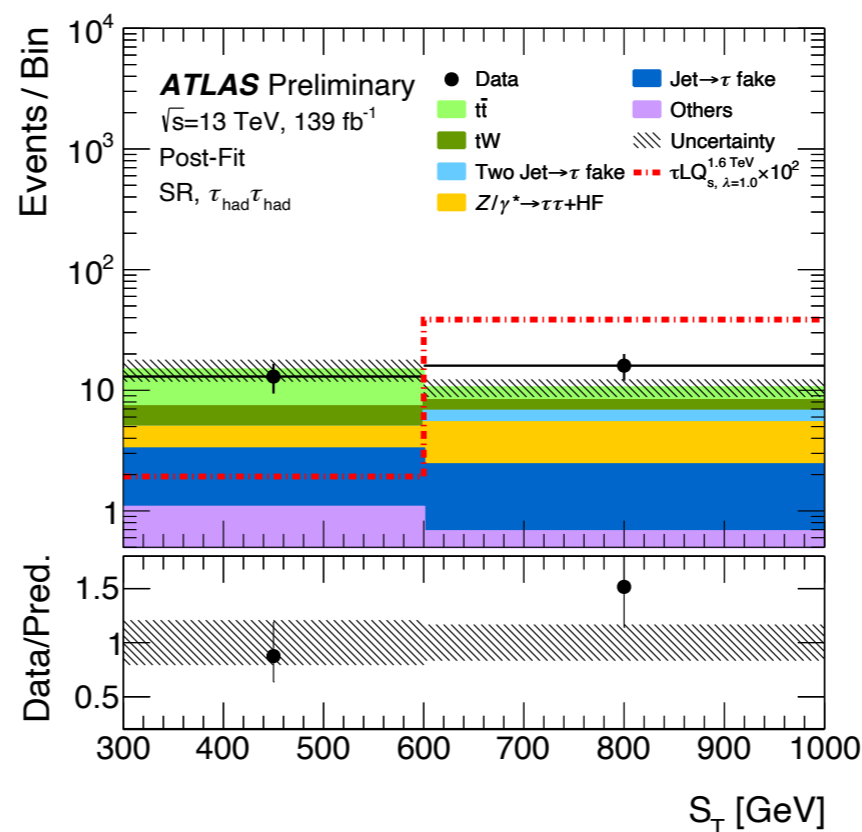
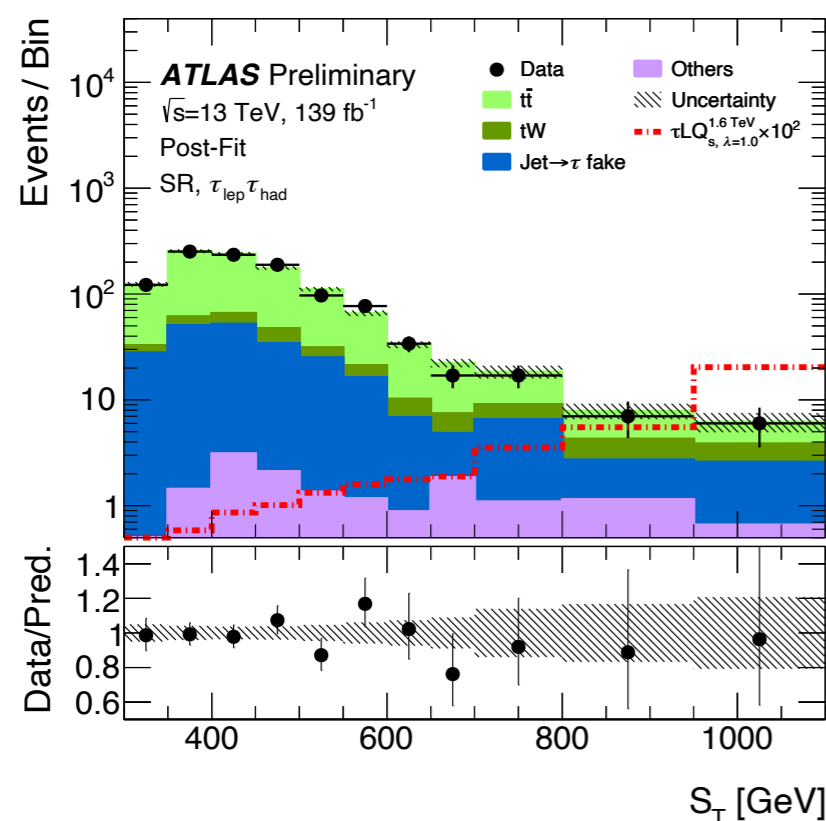
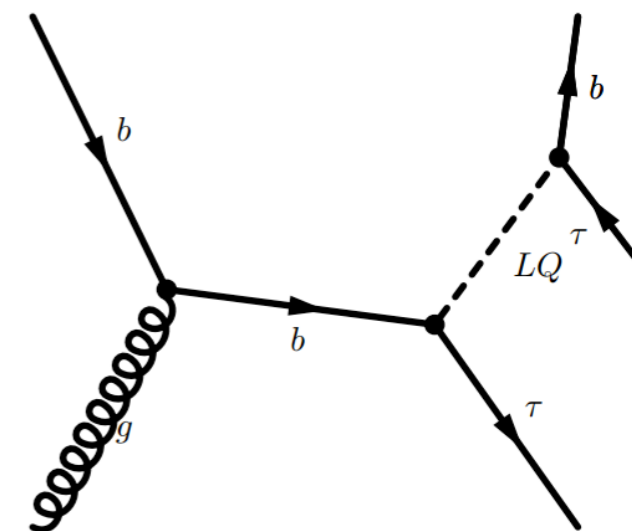
# $LQ_d^{\text{mix}} LQ_d^{\text{mix}} \rightarrow t\ell t\ell$ Multilepton Results

- Statistically limited, largest systematic uncertainty - lepton identification
- Observed exclusions  $LQ \rightarrow t\ell$  ( $t\mu$ )
  - Scalar LQ:  $m_{LQ_d^{\text{mix}}} < 1.64$  (1.61) TeV
  - Yang-Mills vector LQ:  $m_{LQ_{\tilde{U}_1^{\text{YM}}}} < 1.71$  (1.73) TeV
  - Minimal coupling vector LQ:  $m_{LQ_{\tilde{U}_1^{\text{min}}}} < 2.0$  (2.0) TeV
- Most stringent limits



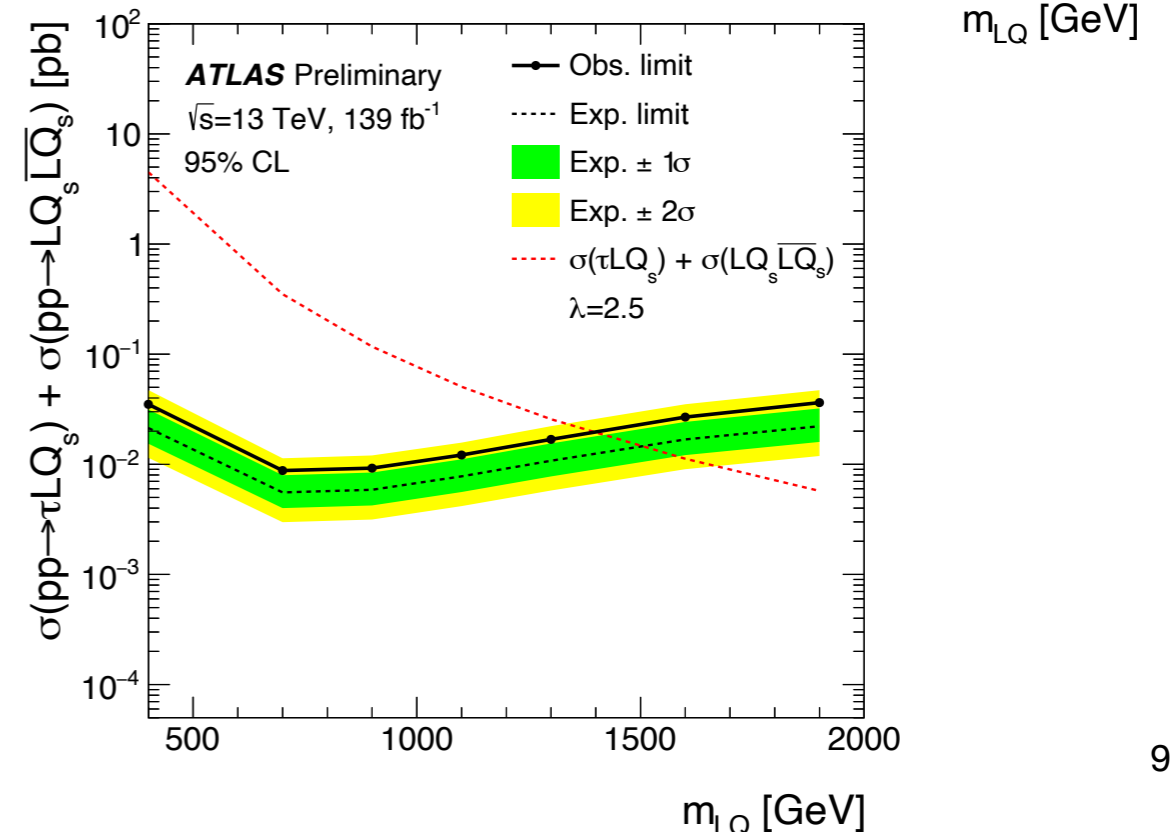
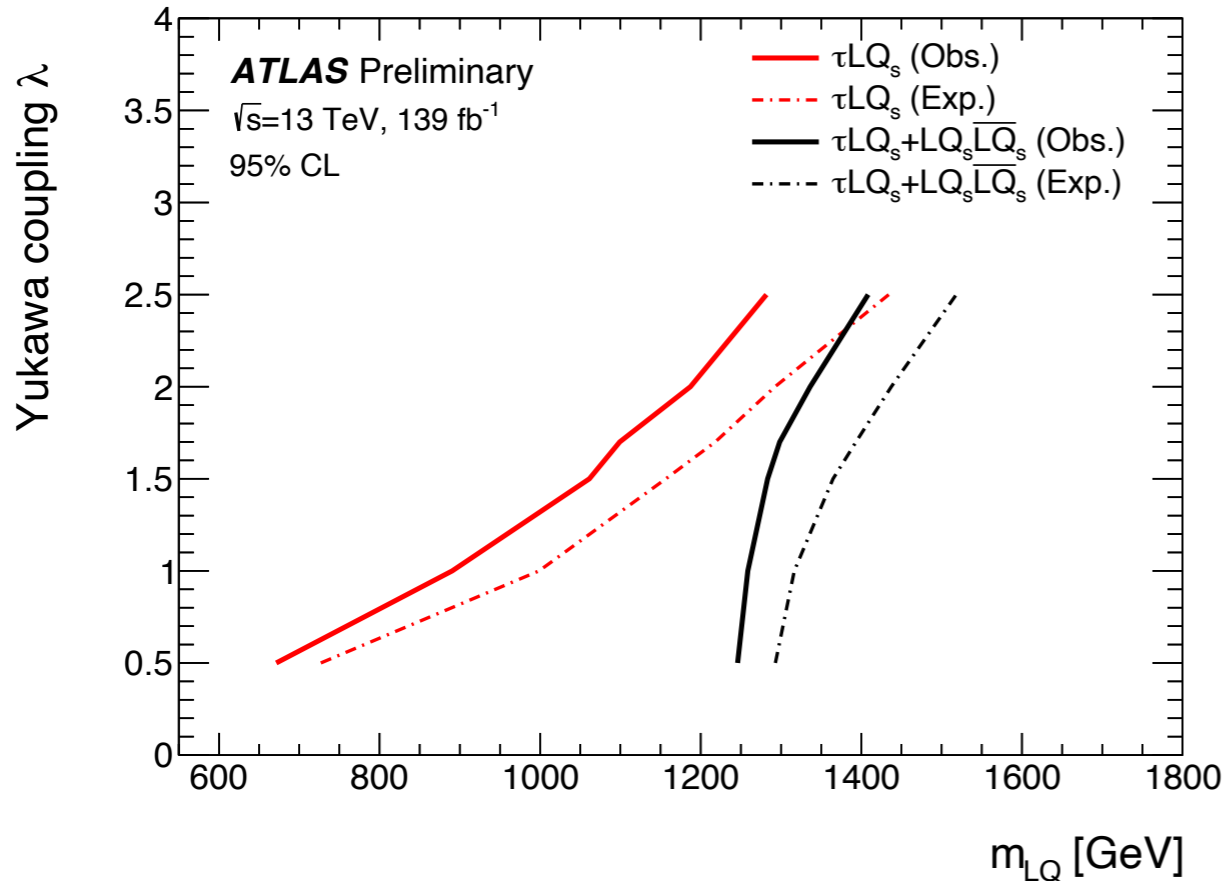
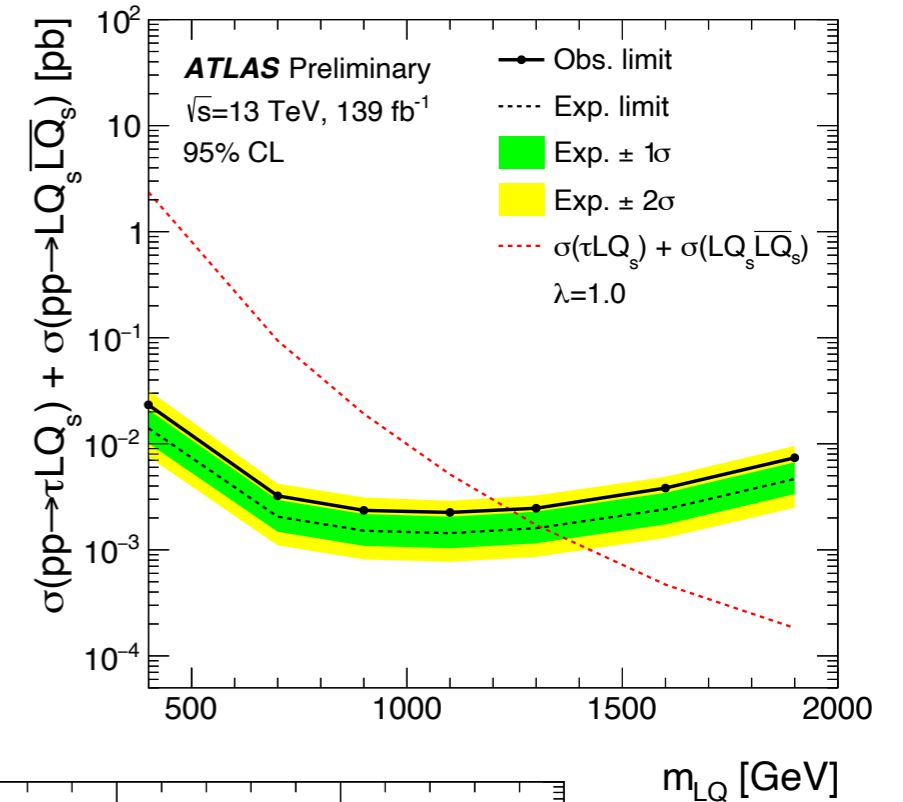
$$\tau\text{LQ}_{\tilde{S}_1} \rightarrow b\tau_{\text{had}}\tau_{\text{had}}/\tau_{\text{lep}}\tau_{\text{had}}$$

- Singly (and pair) produced LQs decaying into a  $\tau$ -lepton and a b-hadron
  - $\lambda = 0.5 - 2.5, \beta = 1, \tilde{S}_1$  scalar LQ
- $\geq 2$  jets,  $\geq 1$  b-jet,  $\Delta\phi(\ell, E_T^{\text{miss}}) < 1.5, m_{\text{vis}}^{\tau\tau} > 100$  GeV
- $p_{T, \text{lead. } b\text{-jet}} > 200$  GeV to reduce SM interference with non-resonant production
- Discriminant:  $S_T = \sum_{b\text{-jet}, \ell, \tau} p_T$  &  $S_T > 300$  GeV in the signal regions
- Main backgrounds:
  - $t\bar{t}$ , single-top: data-driven correction as a function of  $S_T$
  - data-driven fake  $\tau$ -lepton estimate



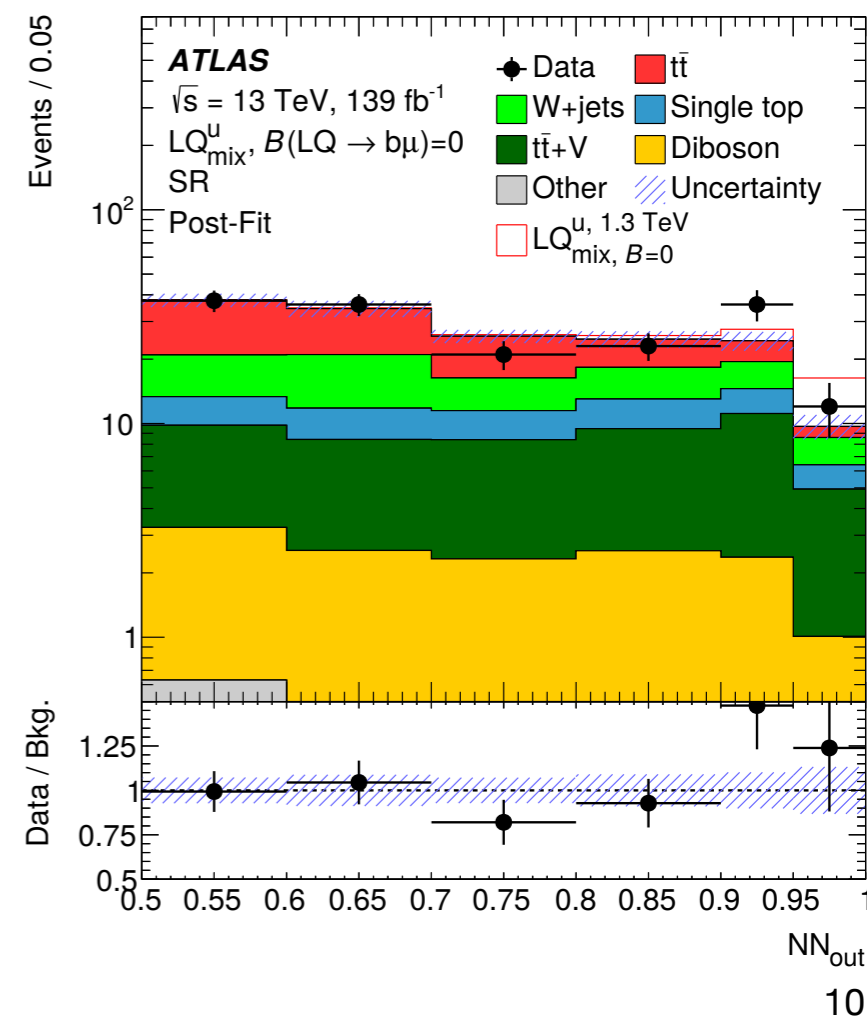
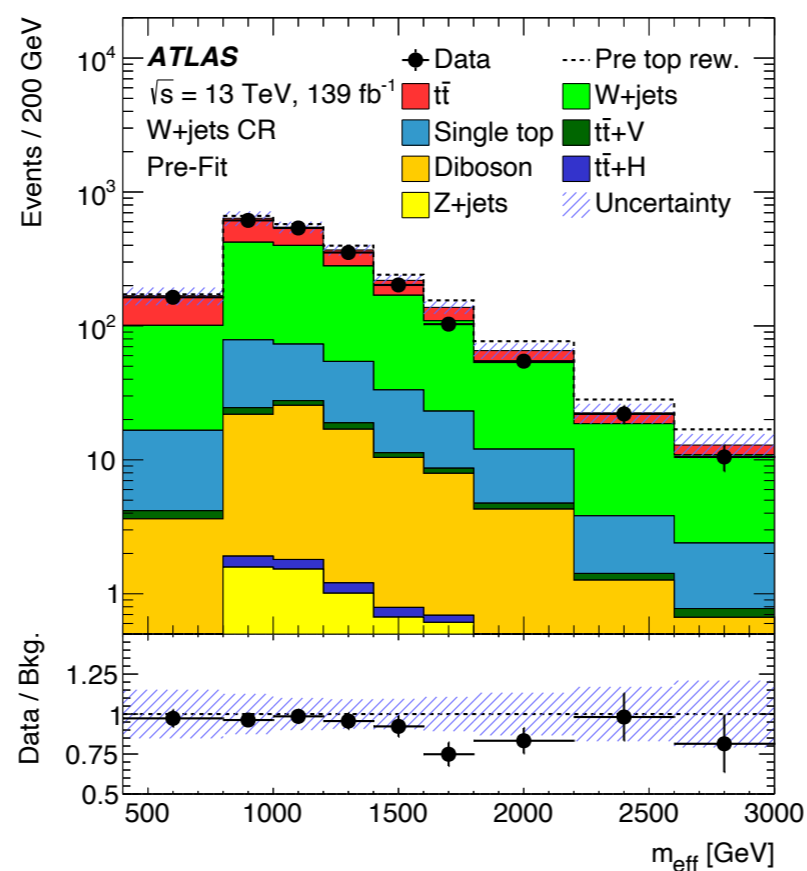
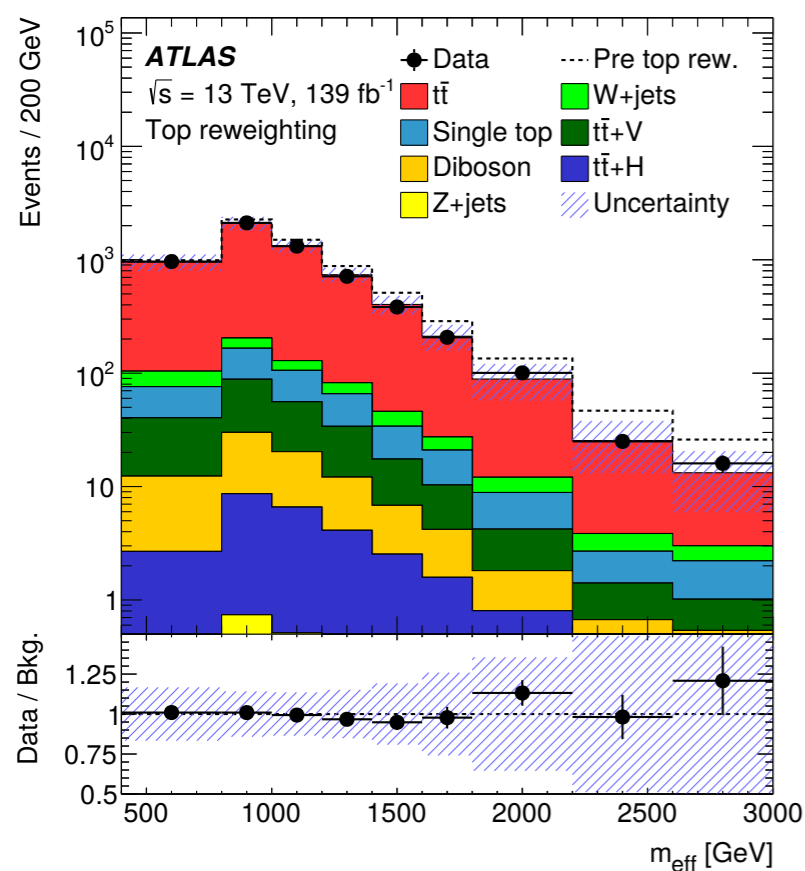
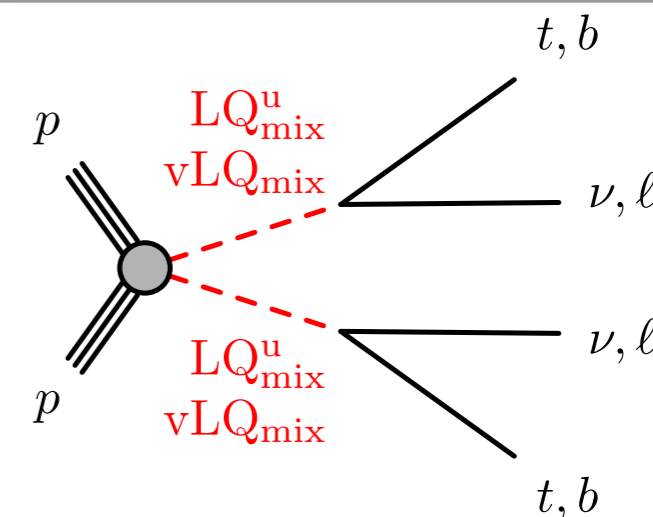
# $\tau LQ^{\tilde{S}_1} \rightarrow b\tau_{\text{had}}\tau_{\text{had}}/\tau_{\text{lep}}\tau_{\text{had}}$ Results

- First ATLAS result for LQ in the  $b\tau\tau$  final state
- statistically limited, top quark background modelling is largest systematic uncertainty
- Observed exclusions for LQ + LQLQ (single LQ) production
  - $m_{LQ} < 1.25$  (0.89) TeV for  $\lambda = 1$
  - $m_{LQ} < 1.41$  (1.28) TeV for  $\lambda = 2.5$



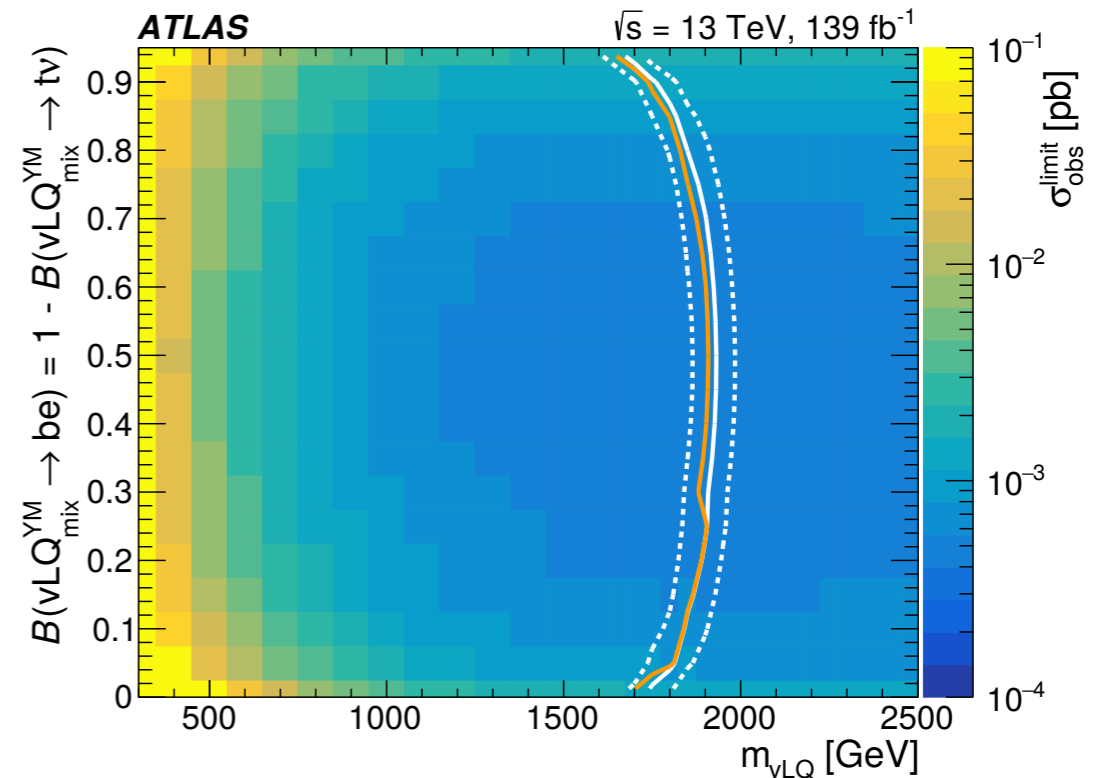
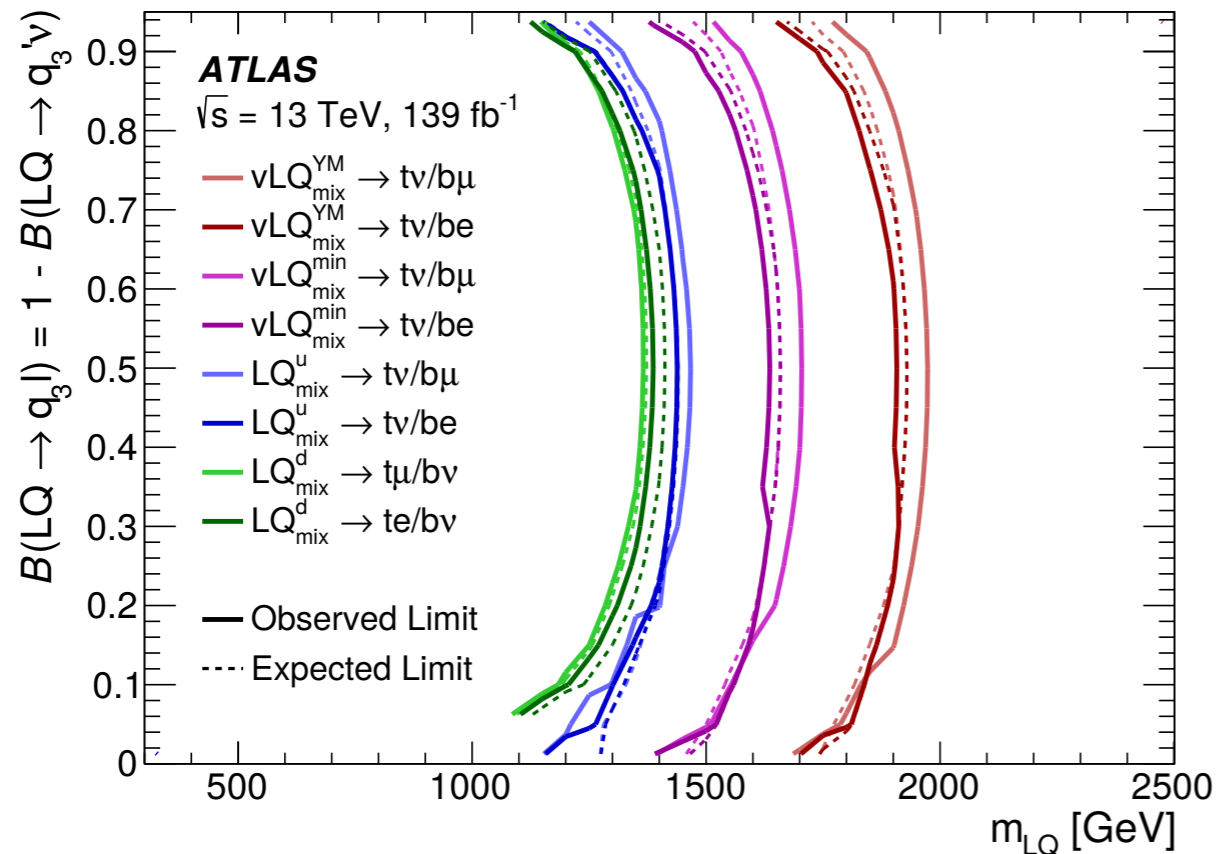
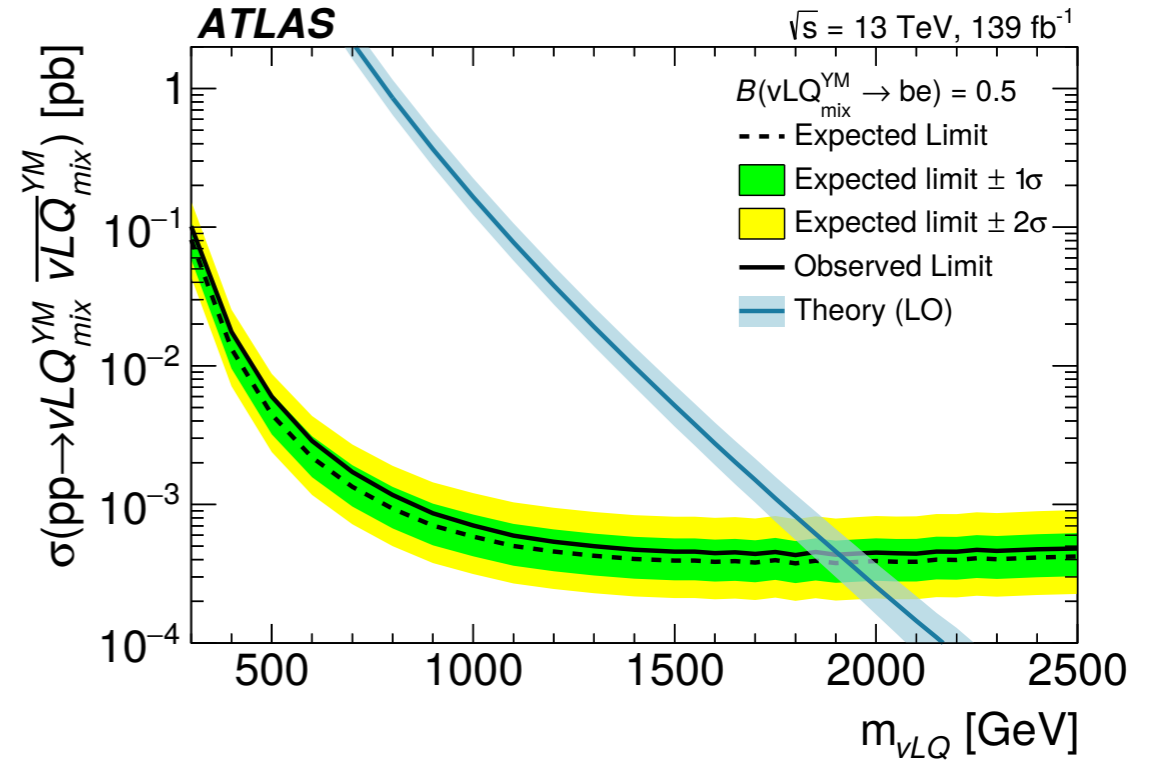
$$LQ_{\text{mix}}^{d(u)} LQ_{\text{mix}}^{d(u)} \rightarrow t\nu b\ell / t\ell b\nu$$

- Pair produced scalar and vector LQs decaying to  $t$ - or  $b$ -quarks and 1st or 2nd generation leptons in single lepton final states
- $E_T^{\text{miss}}$ -trigger,  $\geq 4$  jets,  $\geq 1$   $b$ -jet
- Main backgrounds:  $W$ +jets, single top (norm. to data),  $t\bar{t}$  (data-driven correction as a function of  $m_{\text{eff}}$ )
- Neural network trained for each signal hypothesis
- Discriminant: NN output used to define signal and control regions  $\Rightarrow$  simultaneous fit of all regions



# $LQ_{\text{mix}}^{d(u)} LQ_{\text{mix}}^{d(u)} \rightarrow tv\ell / t\ell b\nu$ Results

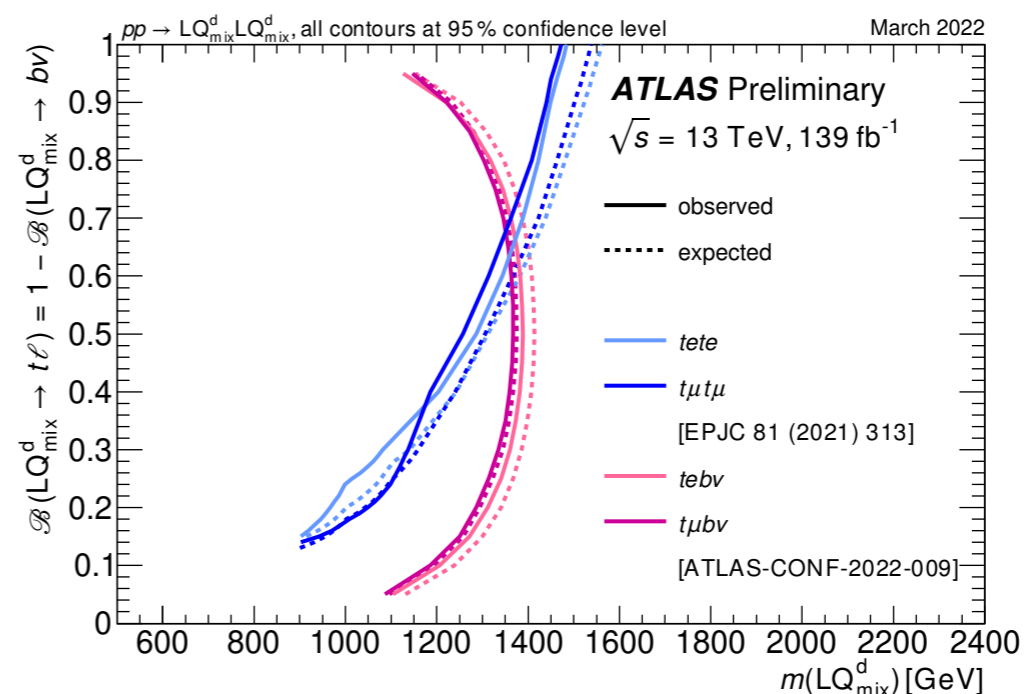
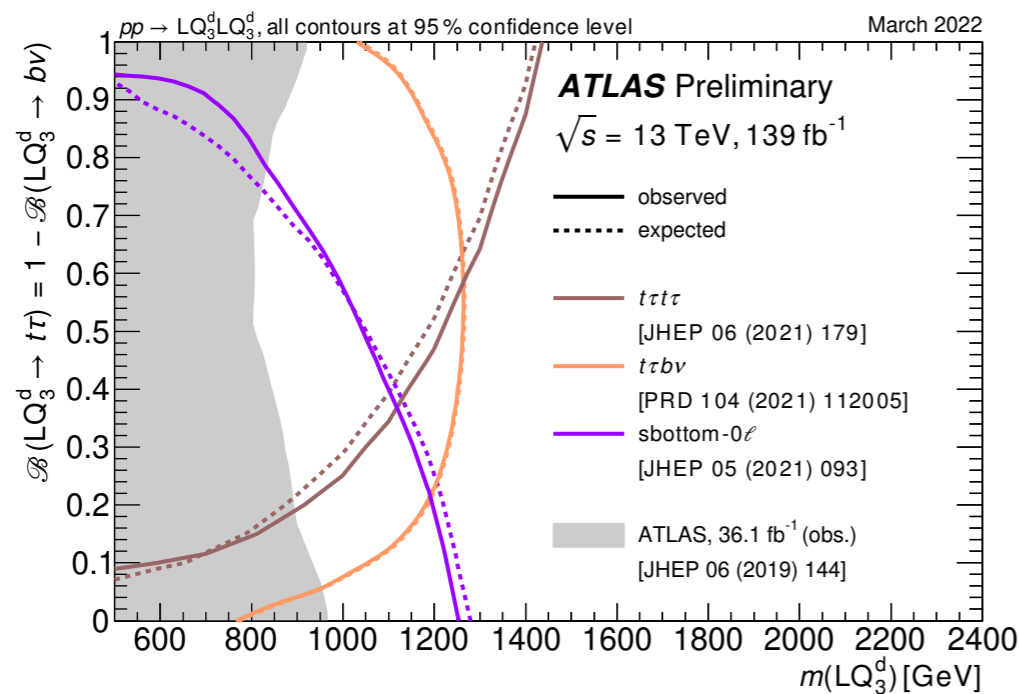
- statistically limited at high masses, largest systematic uncertainties: top quark modelling and jet energy scale uncertainties
- Observed exclusions:
  - $m_{LQ_{\text{mix}}^d \rightarrow e(\mu)} < 1.39$  (1.37) TeV for  $\beta = 0.5$
  - $m_{LQ_{\text{mix}}^u \rightarrow e(\mu)} < 1.44$  (1.46) TeV for  $\beta = 0.5$
  - $m_{LQ_{\text{mix}}^{\text{YM}} \rightarrow e(\mu)} < 1.90$  (1.98) TeV for  $\beta = 0.5$



# Conclusions

- Wide range of searches for LQs at ATLAS with  $140 \text{ fb}^{-1}$  pp collision data
  - benefit from improvements in flavour tagging and  $\tau$  identification in Run-2
- Stringent limits set on scalar LQs with flavour-diagonal and cross-generational couplings

ATL-PHYS-PUB-2022-012



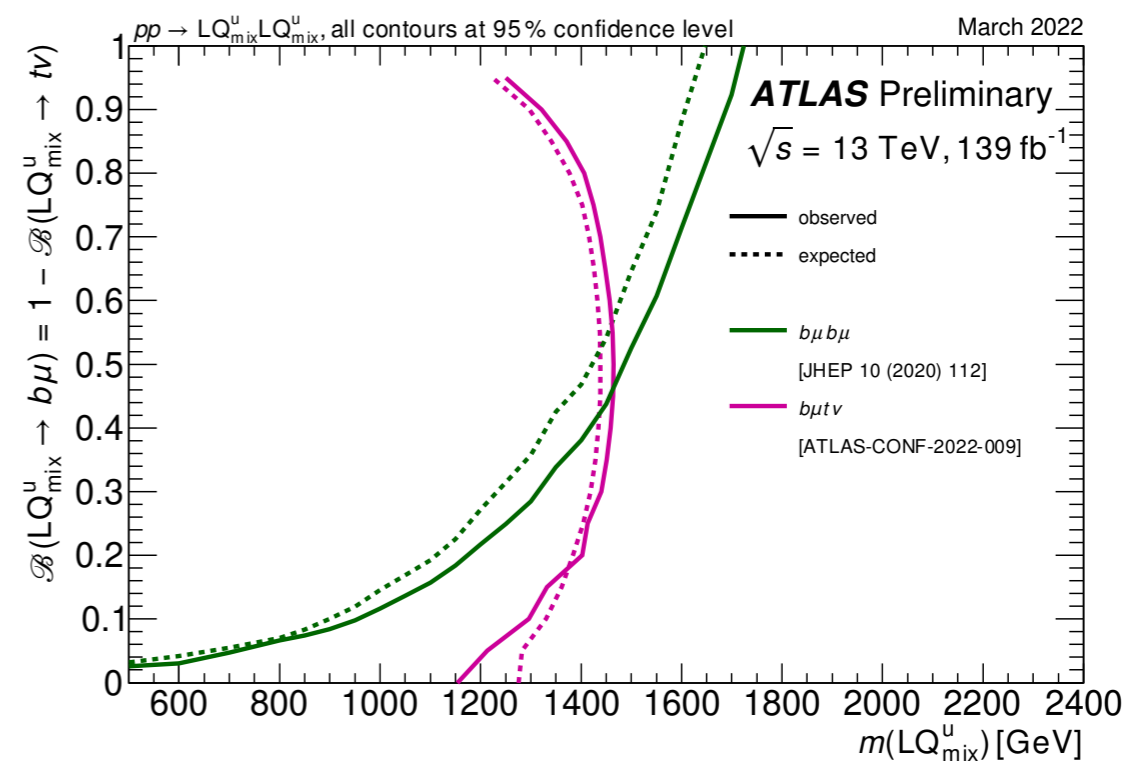
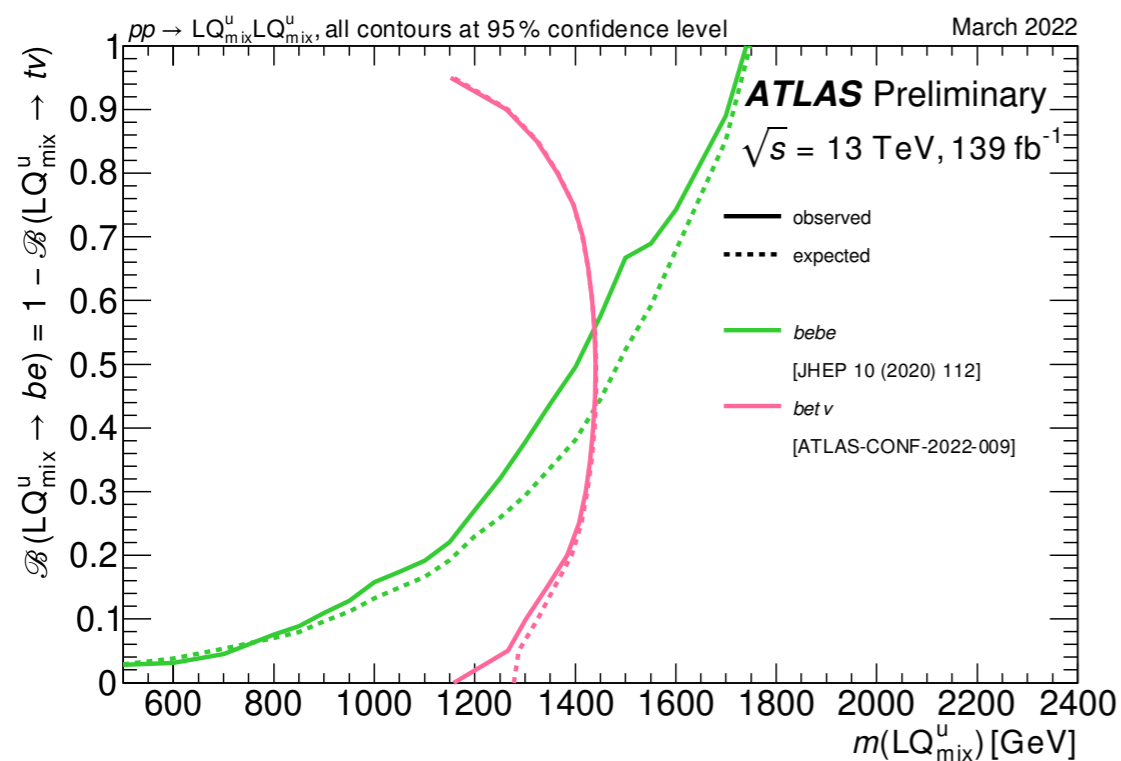
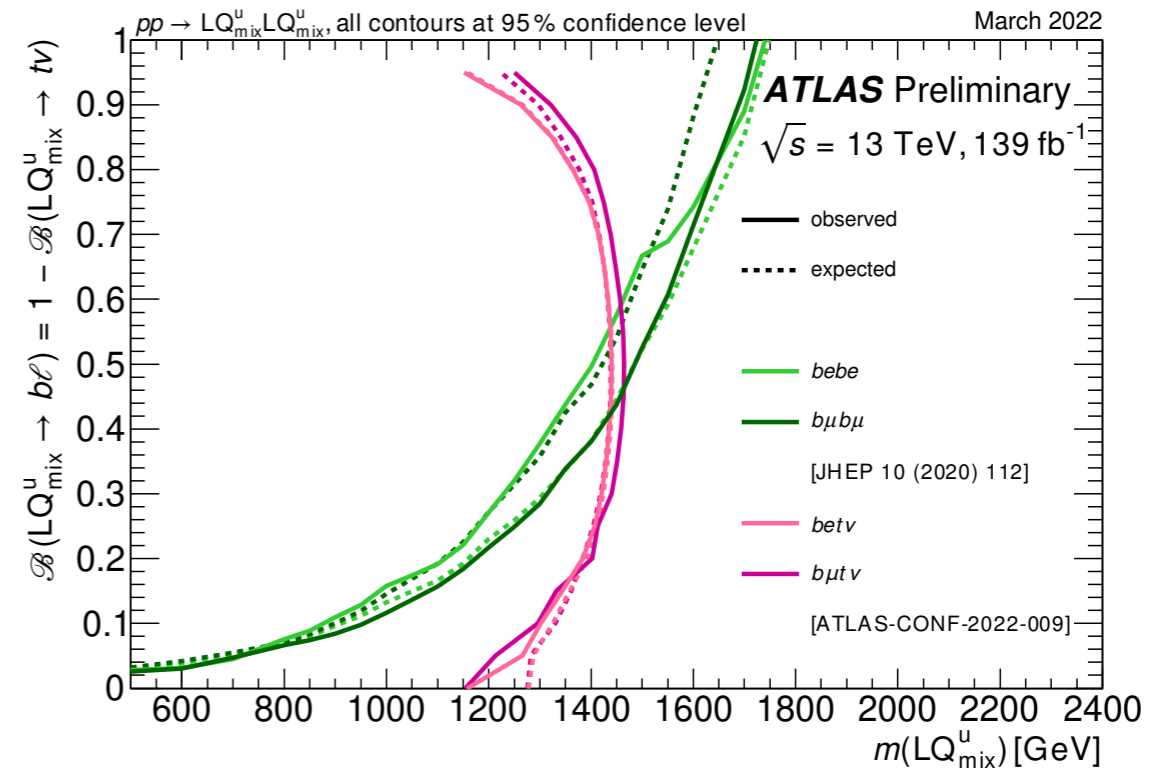
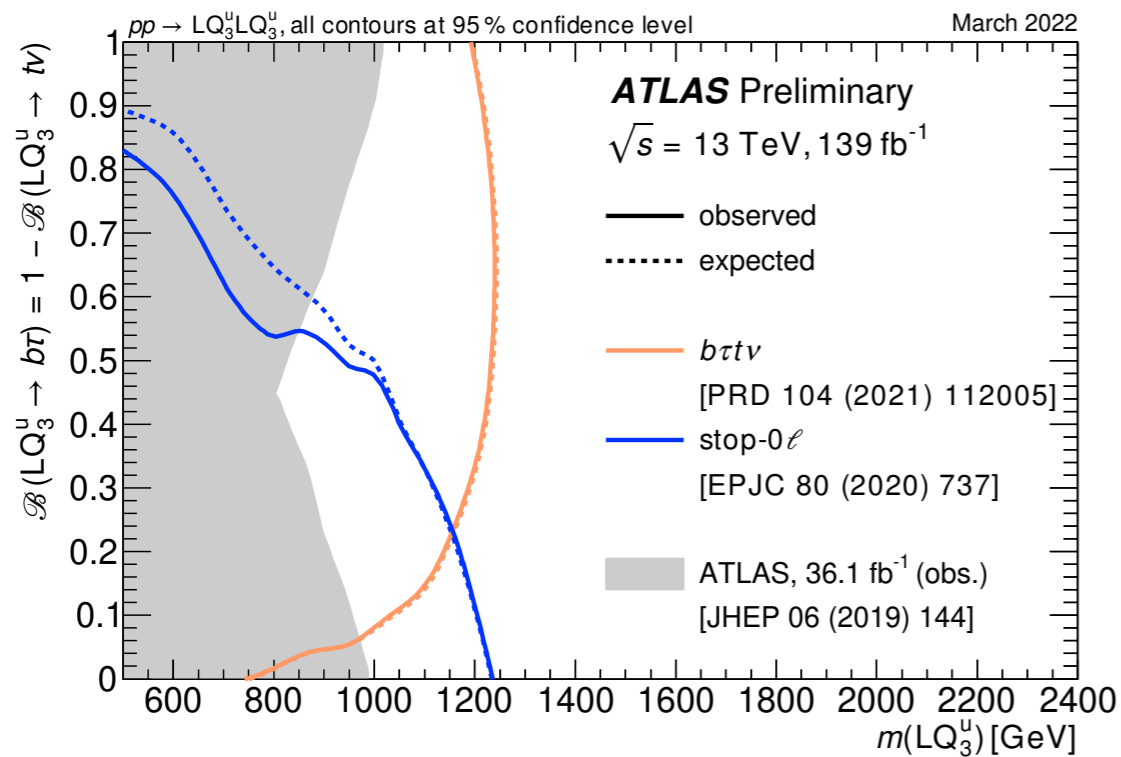
more summary plots in backup

- More scenarios to cover: single LQs, non-resonant production, s-channel
- LQ analyses often statistically limited - will profit from Run-3 data set (or High-Luminosity LHC)

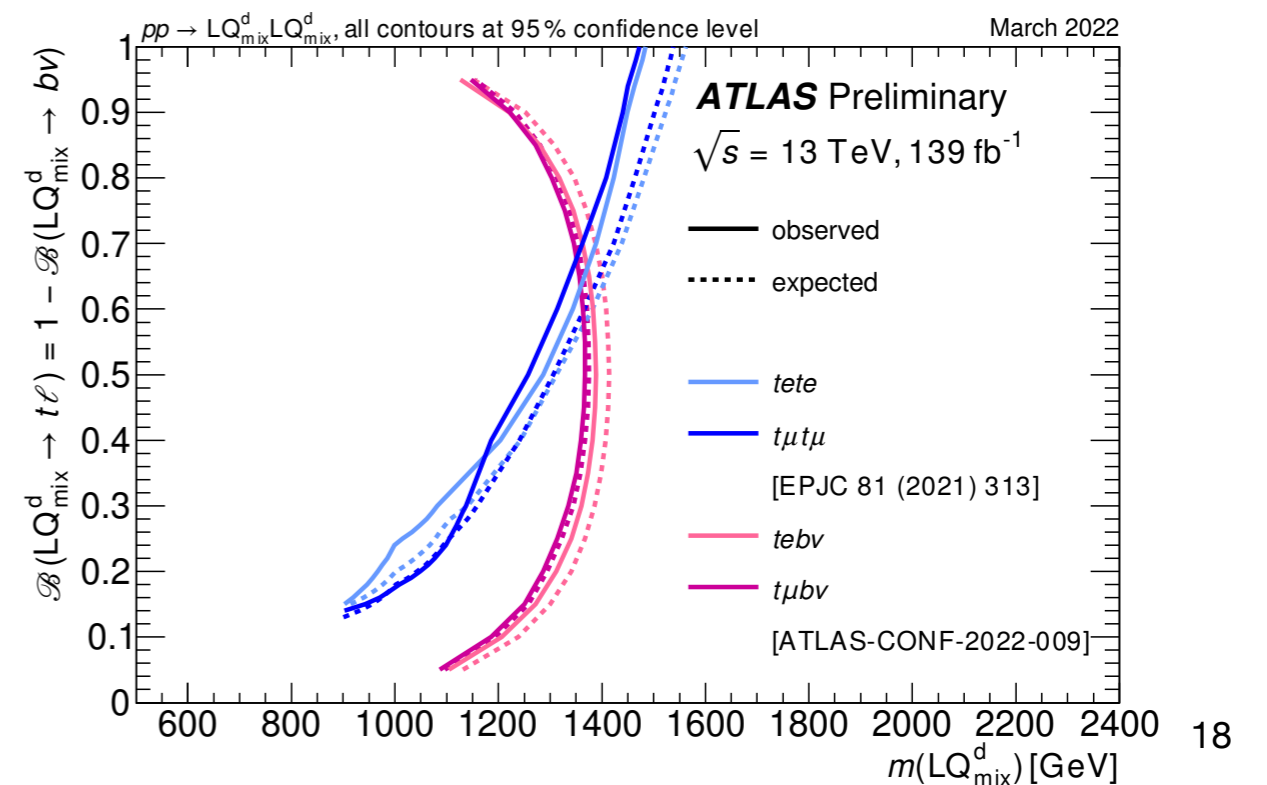
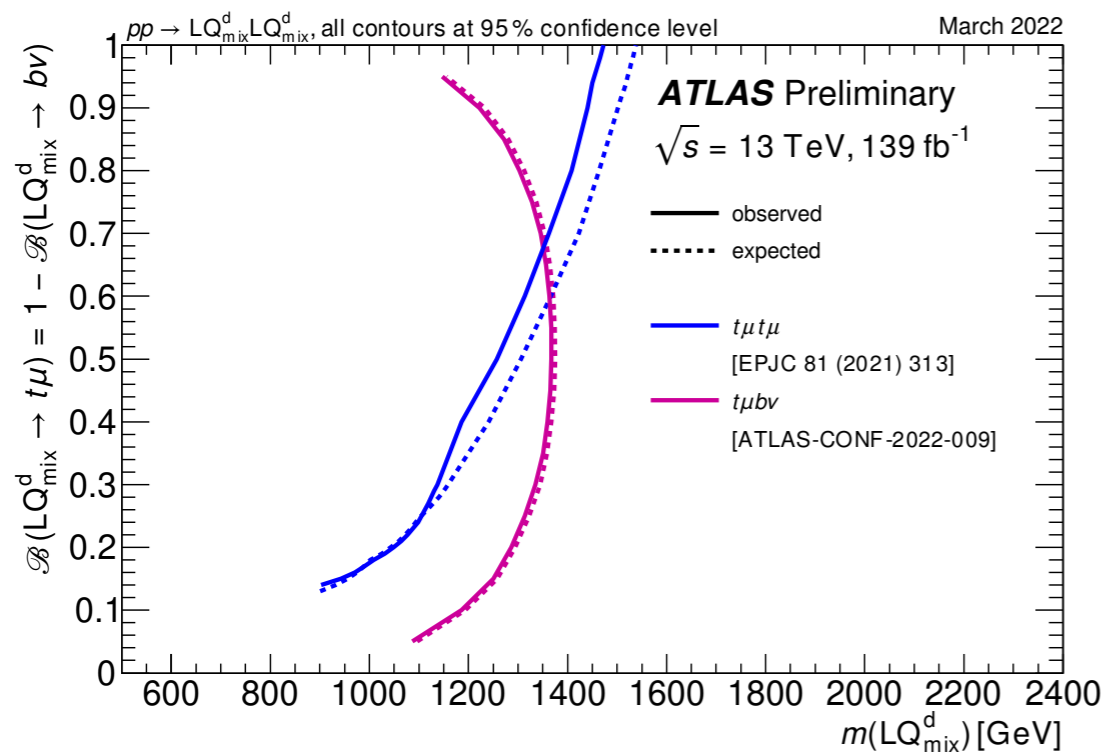
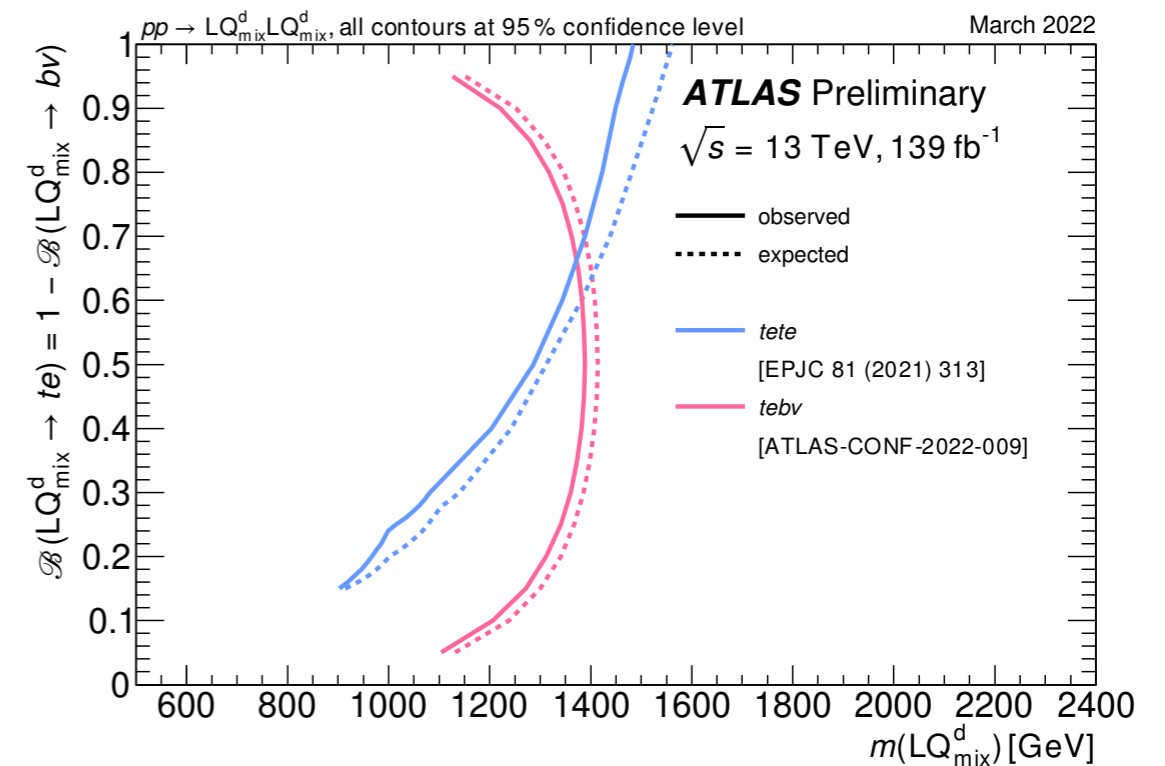
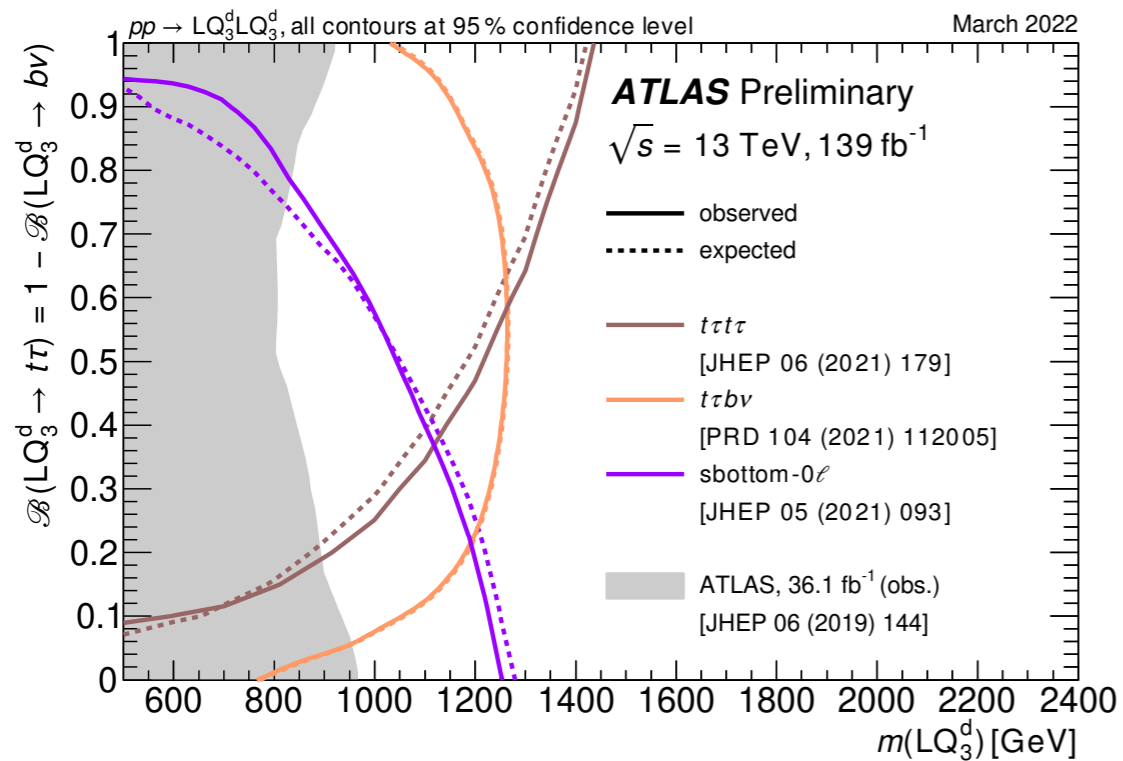




# Summary Plots: Scalar $LQ^u$

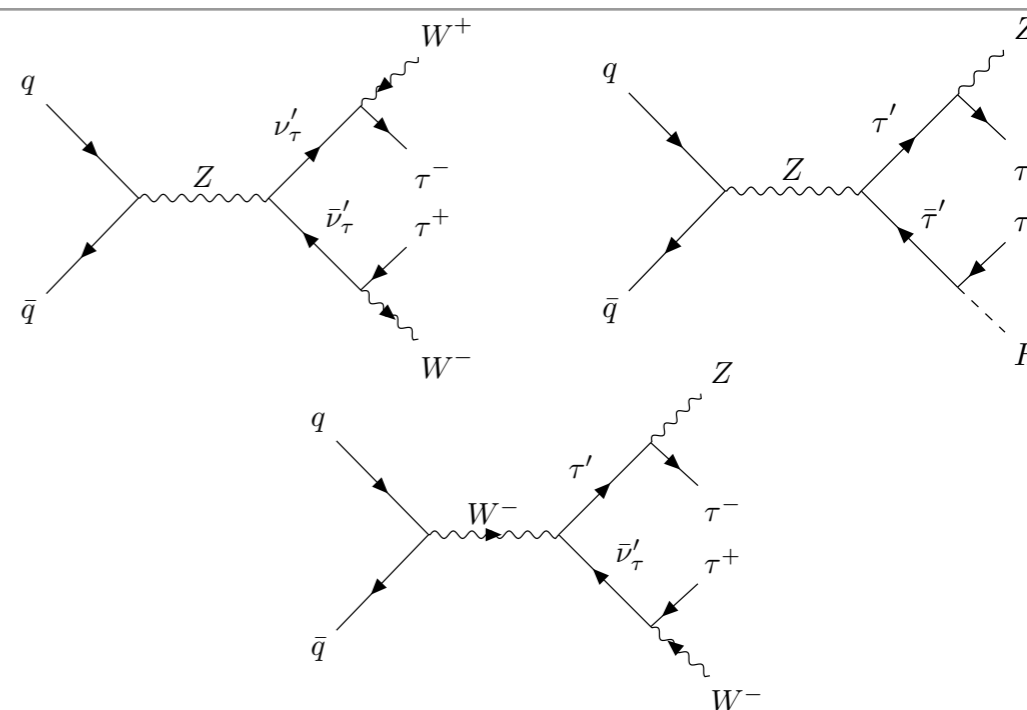


# Summary Plots: Scalar $LQ^d$

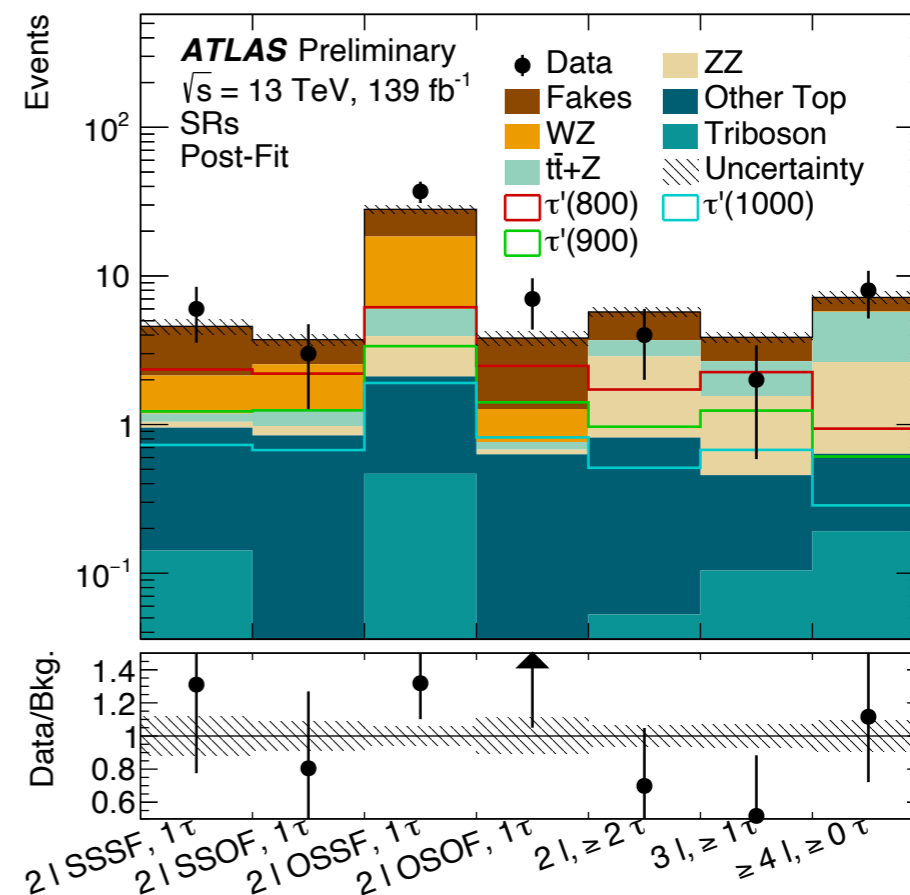
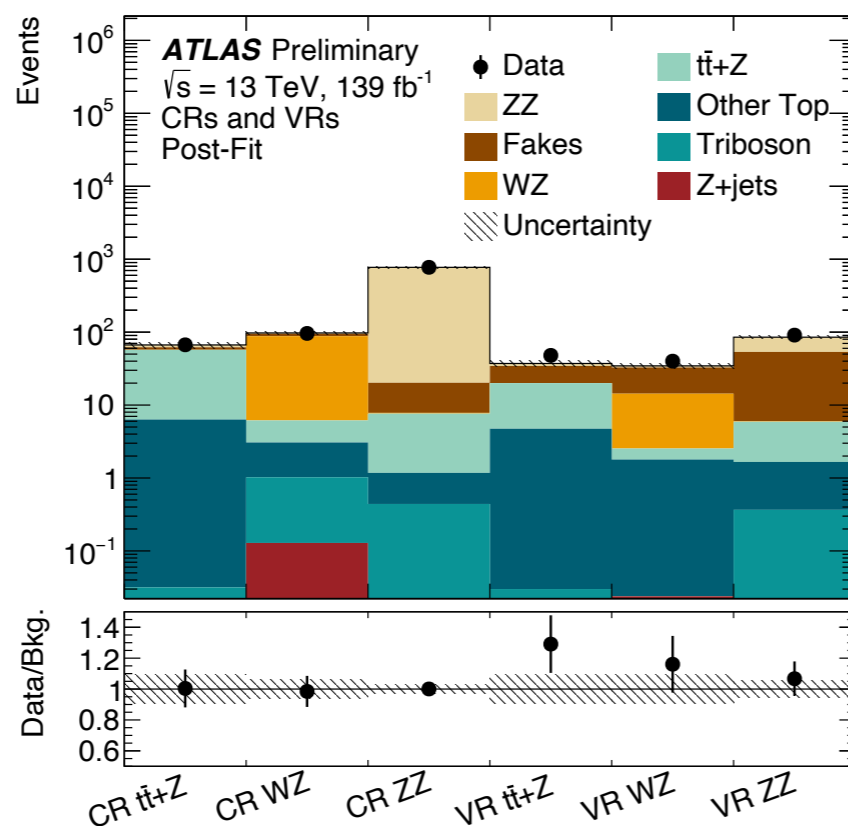


# 3rd Generation Vector-like Leptons

- Higgs branching ratios consistent with SM - little room for new particles with large Yukawa masses
- Non-chiral fermions with Dirac mass present in BSM (SUSY, string theories, extra dimensions)
- Case of a SM  $\tau$ -coupling SU(2) double Vector-Like Leptons (VLL) ( $\tau', \nu'_\tau$ )
- Focus on multilepton final states
- Main backgrounds: data-driven fake lepton,  $WZ$ ,  $ZZ$ ,  $t\bar{t}Z$
- 7 BDTs trained for various states of lepton multiplicity

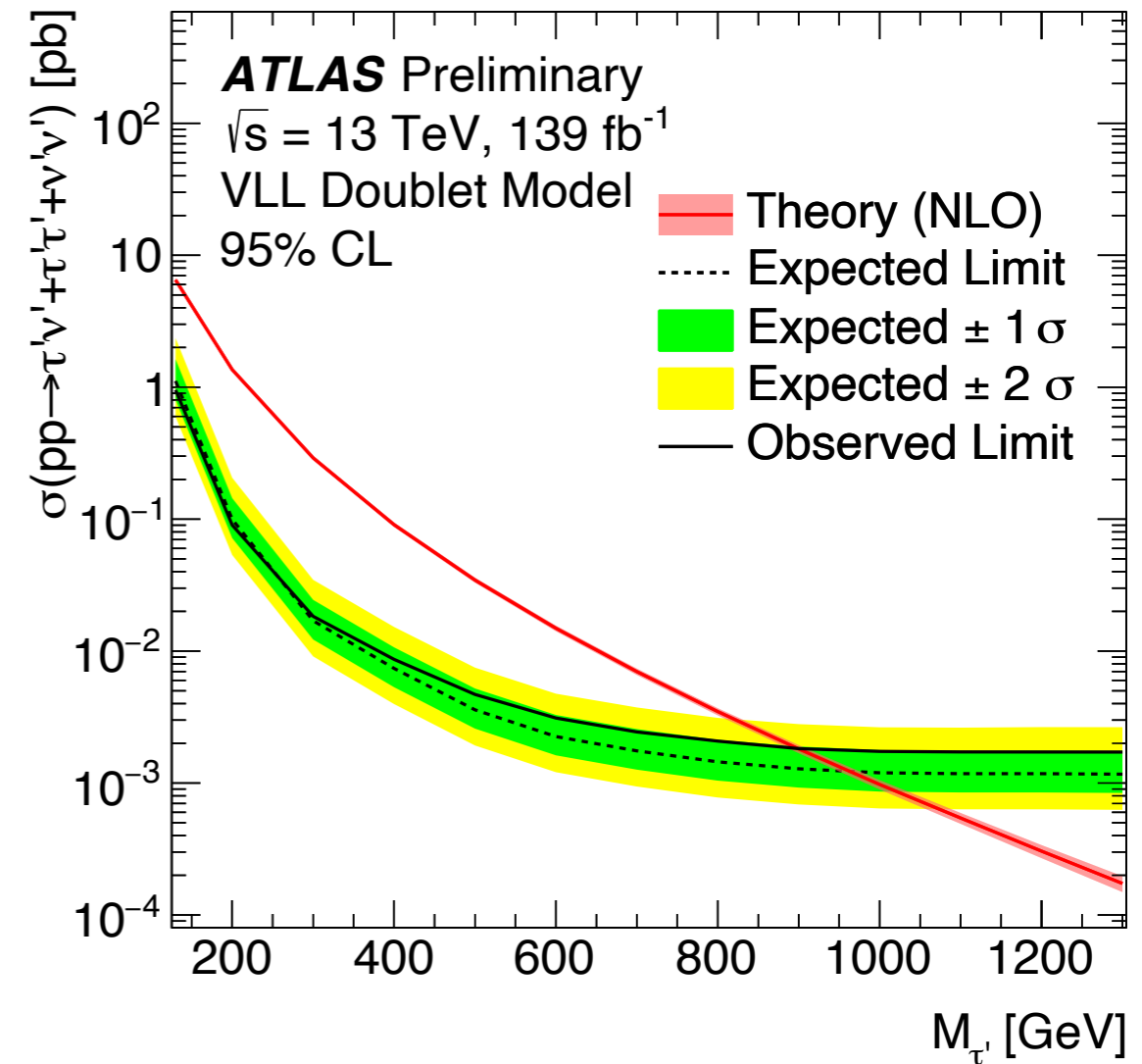


Normalisation of dominant bkg derived in control regions  
 bkg modelling validated in data



# 3rd Generation Vector-like Leptons

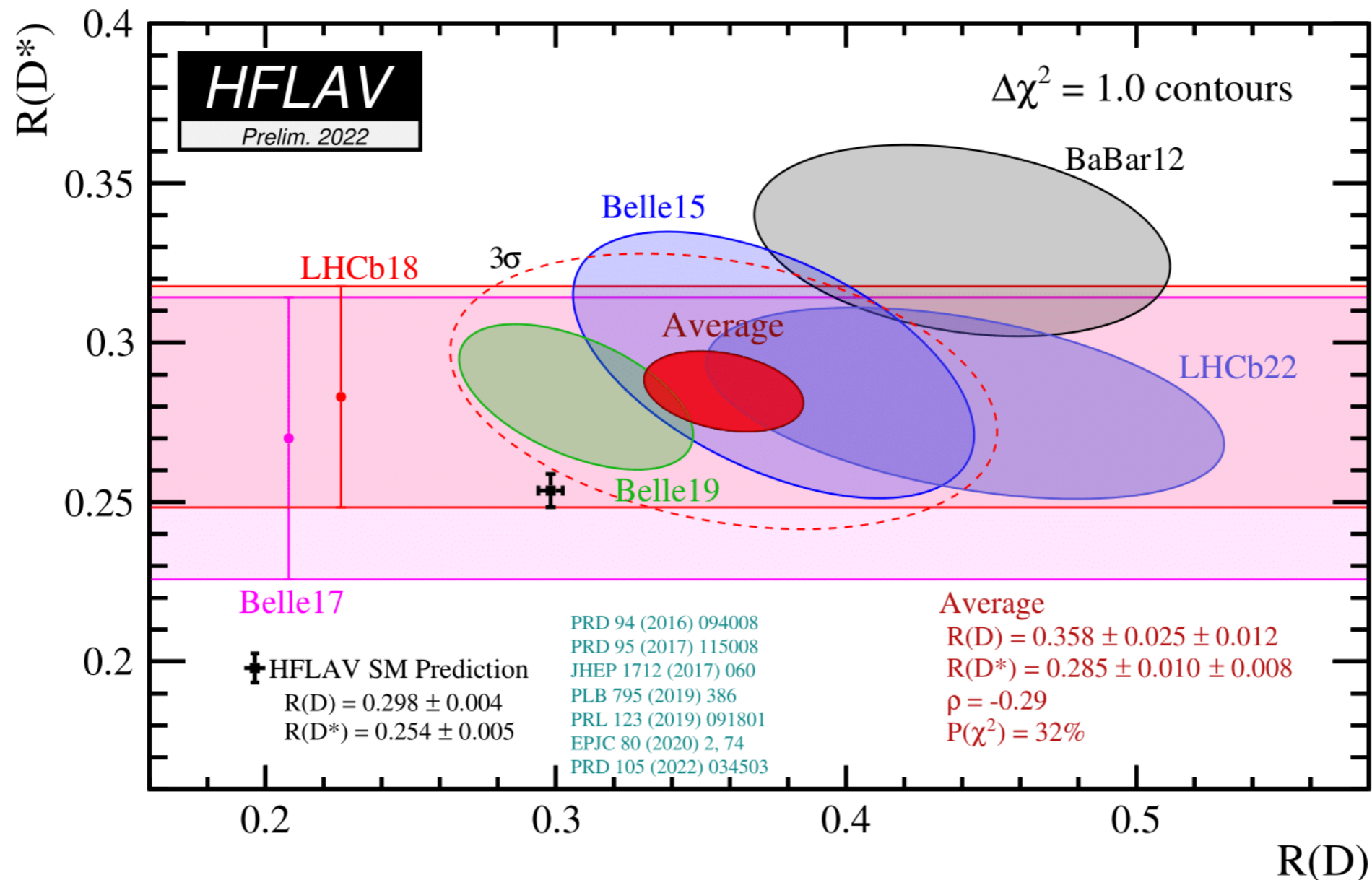
- statistically limited, largest systematic uncertainties: bkg. normalisation, fake lepton estimate



$\tau'$ Mass [GeV]	Significance		Exclusion Limit [nb]	
	Expected	Observed	Expected	Observed
130	5.9	-0.4	$1110^{+520}_{-310}$	953
200	12	-0.4	$100^{+44}_{-28}$	90
300	15	0.1	$17.0^{+7.5}_{-4.8}$	18
400	12	0.3	$7.4^{+3.3}_{-2.1}$	8.7
500	10	0.7	$3.6^{+1.6}_{-1.0}$	4.7
600	7.6	0.9	$2.3^{+1.0}_{-0.6}$	3.1
700	5.1	1.0	$1.8^{+0.8}_{-0.5}$	2.4
800	3.4	1.1	$1.5^{+0.7}_{-0.4}$	2.1
900	2.1	1.1	$1.3^{+0.6}_{-0.4}$	1.8
1000	1.3	1.2	$1.2^{+0.6}_{-0.3}$	1.7
1100	0.8	1.1	$1.2^{+0.6}_{-0.3}$	1.7
1200	0.5	1.1	$1.2^{+0.6}_{-0.3}$	1.7
1300	0.3	1.1	$1.2^{+0.6}_{-0.3}$	1.7

# B-Physics Anomaly $R(D^{(*)})$

- LFU anomaly in charged current  $\tau$  vs.  $e/\mu$ :  $R(D^{(*)}) = \frac{B \rightarrow D^{(*)}\tau\nu}{B \rightarrow D^{(*)}\ell\nu}$
- $3.1\sigma$  excess over Standard Model



# B-Physics Anomaly $R(K^{(*)})$

- LFU anomaly in neutral current  $\mu$  vs.  $e$ :  $R(K^{(*)}) = \frac{B \rightarrow K^{(*)} \mu^+ \mu^-}{B \rightarrow K^{(*)} e^+ e^-}$
- New LHCb result compatible with SM

