#### Flavor Physics Overview

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# 🖉 UC SANTA CRUZ

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$$\mathcal{L}_{SM} \sim \Lambda^4 + \Lambda^2 H^2 + \lambda H^4$$
$$+ \bar{\Psi} \mathcal{D} \Psi + (\mathcal{D}_{\mu} H)^2 + (\mathcal{F}_{\mu\nu})^2 + \mathcal{F}_{\mu\nu} \tilde{\mathcal{F}}^{\mu\nu}$$
$$+ Y H \bar{\Psi} \Psi$$









Q1: What is the origin of the hierarchies in the SM sources of flavor violation? Q2: Are there other sources of flavor violation beyond the SM?

#### Quark and Lepton Masses



#### Quark and Lepton Masses



#### Flavor Mixing in the Quark Sector



#### Flavor Mixing in the Lepton Sector





#### The Standard Model Flavor Puzzle

Why are there three flavors of quarks and leptons?



What is the origin of the hierarchies in the fermion spectrum?

What is the origin of the hierarchies in the quark mixing?

#### Is lepton mixing anarchic?

#### Addressing the SM Flavor Puzzle



#### Flavor Changing Processes in the SM

In the Standard Model, flavor changing charged currents arise at the tree level; rates are suppressed by small CKM elements









#### Flavor Changing Processes in the SM

In the Standard Model, flavor changing charged currents arise at the tree level; rates are suppressed by small CKM elements

Flavor changing neutral currents can arise at the loop level; they are suppressed by loop factors and small CKM elements





#### New Physics in Flavor Changing Processes



#### New Physics in Flavor Changing Processes



#### "Anomalies" in flavor observables could establish a new scale in particle physics

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Flavor Physics Overview

### Strong Constraints on Flavorful New Physics

Measurements of meson mixing show good agreement with SM predictions



(Marcella Bona @ ICHEP 2020)

$$\mathcal{H}_{ ext{eff}} = \sum_{i} rac{\mathcal{C}_{i}}{\Lambda^{2}} \mathcal{Q}_{i} + \sum_{i} rac{ ilde{\mathcal{C}}_{i}}{\Lambda^{2}} ilde{\mathcal{Q}}_{i}$$

 $Q_1 = (\bar{q}\gamma_\mu P_L q')(\bar{q}\gamma^\mu P_L q')$ 

$$Q_2 = (\bar{q}P_Lq')(\bar{q}P_Lq')$$

$$Q_3 = (\bar{q}_{\alpha} P_L q'_{\beta})(\bar{q}_{\beta} P_L q'_{\alpha})$$

$$Q_4 = (\bar{q}P_Lq')(\bar{q}P_Rq')$$

$$Q_5 = (ar{q}_lpha P_L q_eta') (ar{q}_eta P_R q_lpha')$$

 CP violation in Kaon mixing probes new physics scales as high as 10<sup>5</sup> TeV

• Most cases are limited by the theory uncertainties Notable exception: CP violation in  $D^0 - \overline{D}^0$  mixing

#### Hints for Flavorful New Physics



#### Hints for Flavorful New Physics





#### Bottom-Up Approach to the Flavor Anomalies



(inspired by Marco Nardecchia)

# Neutral Current B Decay Anomalies

# The $B_{s} ightarrow \mu^{+} \mu^{-}$ Decay



# The $B_s \rightarrow \mu^+ \mu^-$ Branching Ratio

WA, Stangl 2103.13370; combination of LHCb 2108.09284, CMS 1910.12127, ATLAS 1812.03017



 $\sim 2\sigma$  tension between SM and experiment

(Hadronic physics is under good control. Largest uncertainty is from CKM input.)

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#### Semileptonic Decays $b \rightarrow s \mu \mu$



## Semileptonic Branching Ratios



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### The Role of V<sub>cb</sub>



WA, Lewis 2112.03437

- Predictions for  $b \rightarrow s\mu\mu$ rates depend sensitively on  $|V_{cb}|$ .
- For many years there are tensions between inclusive and exclusive determinations of V<sub>cb</sub>.
- The anomalies in the rare *B* decay rates could be partially explained by a (very) low |*V*<sub>cb</sub>|.

## The $B ightarrow K^* ( ightarrow K\pi) \mu^+ \mu^-$ Decay





 $P_5' \sim$  a moment of the  $B \rightarrow K^* \mu^+ \mu^-$  angular distribution



~  $2\sigma - 3\sigma$  anomaly persists in the latest update of  $B^0 \to K^{*0}\mu^+\mu^-$ . (Anomaly also seen in  $B^{\pm} \to K^{*\pm}\mu^+\mu^-$  LHCb 2012.13241)

#### New Physics or Hadronic Effects?





# Lepton flavor universal new physics could be mimicked by unexpectedly large hadronic effects.

#### Evidence for Lepton Flavor Universality Violation



$$R_{K^{(*)}} = rac{BR(B o K^{(*)} \mu \mu)}{BR(B o K^{(*)} ee)} \stackrel{ ext{SM}}{\simeq} 1$$

$${\sf R}^{[1,6]}_{{\cal K}^+}=0.846^{+0.042\,+0.013}_{-0.039\,-0.012}\;(3.1\sigma)$$

$$\begin{split} R^{[0.045,1.1]}_{K^{*0}} &= 0.66^{+0.11}_{-0.07} \pm 0.03 \; (\sim 2.5\sigma) \\ R^{[1.1,6]}_{K^{*0}} &= 0.69^{+0.11}_{-0.07} \pm 0.05 \; (\sim 2.5\sigma) \\ R^{[1.1,6]}_{K_S} &= 0.66^{+0.20}_{-0.14-0.04} \; (\sim 1.5\sigma) \\ R^{[0.045,6]}_{K^{*+}} &= 0.70^{+0.18}_{-0.13-0.04} \; (\sim 1.5\sigma) \\ R^{[0.1,6]}_{\rho K} &= 0.86^{+0.14}_{-0.11} \pm 0.05 \; (\sim 1\sigma) \end{split}$$

LHCb 2103.11769, LHCb 1705.05802, 1912.08139, 2110.09501; also Belle 1904.02440, 1908.01848

#### How Robust Are the SM Predictions for $R_{\kappa}$ and $R_{\kappa^*}$ ?

 $R_{K^{(*)}} = 1$ 

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$$R_{K^{(*)}} = 1 + \mathcal{O}\left(\frac{m_{\mu}^2}{q^2}\right)$$

phase space (tiny effect)

#### How Robust Are the SM Predictions for $R_{\kappa}$ and $R_{\kappa^*}$ ?

$$R_{K^{(*)}} = 1 + \mathcal{O}\left(\frac{m_{\mu}^{2}}{q^{2}}\right) \times \left(1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_{b}}\right) + \mathcal{O}\left(\alpha_{s}\right)\right)$$

phase space (tiny effect) hadronic corrections (tiny effect)

#### How Robust Are the SM Predictions for $R_K$ and $R_{K^*}$ ?

$$R_{K^{(*)}} = 1 + \mathcal{O}\left(\frac{m_{\mu}^{2}}{q^{2}}\right) \times \left(1 + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{m_{b}}\right) + \mathcal{O}\left(\alpha_{s}\right)\right) + \mathcal{O}\left(\frac{\alpha_{\text{em}}}{\pi}\log^{2}\left(\frac{m_{e}^{2}}{m_{\mu}^{2}}\right)\right)$$

phase space (tiny effect) hadronic corrections (tiny effect) QED corrections (soft and collinear photon emission)

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phase space (tiny effect) hadronic corrections (tiny effect) QED corrections (soft and collinear photon emission)

QED corrections seem to be under control at the level of the total rate, given the experimental cuts on e.g. the reconstructed *B* meson mass Bordone, Isidori, Pattori 1605.07633, Isidori, Nabeebaccus, Zwicky 2009.00929

$${\it R}_{\it K}^{[1,6]}=1.00\pm0.01$$
 ,  ${\it R}_{\it K^*}^{[1.1,6]}=1.00\pm0.01$  ,  ${\it R}_{\it K^*}^{[0.045,1.1]}=0.91\pm0.03$ 

potentially larger QED effects at the differential level

${\cal B}_{s}  o \mu \mu$ rate	semileptonic rates	angular observables	LFU ratios

	$B_s  ightarrow \mu \mu$ semileptonic rate rates		angular observables	LFU ratios	
experimental issues?	?	?	?	?	

	$egin{array}{c} {\cal B}_{m s}  o \mu \mu \  m rate \end{array}$	$B_s  ightarrow \mu \mu$ semileptonic rate rates		LFU ratios
experimental issues?	?	?	?	?
statistical fluctuations?	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$

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underestimated hadronic effects?	×	$\checkmark$	$\checkmark$	×

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parametric uncertainties?	$\checkmark$	$\checkmark$	×	×
underestimated hadronic effects?	×	$\checkmark$	$\checkmark$	×
New Physics?	$\checkmark$	$\checkmark$	$\checkmark$	$\checkmark$

#### Model Independent New Physics Analysis

$$\mathcal{H}_{\text{eff}}^{b \to s} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i \left( C_i \mathcal{O}_i + C_i' \mathcal{O}_i' \right)$$



neglecting tensor operators and additional scalar operators (they are dimension 8 in SMEFT: Alonso, Grinstein, Martin Camalich 1407.7044)

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#### Complementary Sensitivity

	$C_7, C_7'$	$C_9, C_9'$	$C_{10},  C_{10}'$	$C_S,  C_S'$
$B  ightarrow (X_{s}, K^{*}) \gamma$	*			
$B_s \to \phi \gamma$	*			
$B  ightarrow$ (X <sub>s</sub> , K, K*) $\mu^+\mu^-$	*	*	*	*
$B_s \rightarrow \phi \; \mu^+ \mu^-$	*	*	*	*
$\Lambda_b  ightarrow \Lambda \ \mu^+ \mu^-$	*	*	*	*
$B_{s}  ightarrow \mu^{+} \mu^{-}$			*	*

# many processes and many observables are modified simultaneously ⇒ global fits are required

recent papers:

Geng, Grinstein, Jäger, Li, Martin Camalich, Shi 2103.12738 WA, Stangl 2103.13370 Cornella, Faroughy, Fuentes-Martin, Isidori, Neubert 2103.16558 Alguero, Capdevila, Descotes-Genon, Matias, Novoa-Brunet 2104.08921 Hurth, Mahmoudi, Martinez Santos, Neshatpour 2104.10058 Ciuchini, Fedele, Franco, Paul, Silvestrini 2110.10126



 $C_9^{bs\mu\mu}(\bar{s}\gamma_{\alpha}P_Lb)(\bar{\mu}\gamma^{\alpha}\mu)$ 

$$C^{bs\mu\mu}_{10}(ar{s}\gamma_lpha P_L b)(ar{\mu}\gamma^lpha\gamma_5\mu)$$

• LFU ratios prefer non-standard *C*<sub>10</sub>, but large degeneracy

WA, Stangl 2103.13370



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 $C_9^{bs\mu\mu}(\bar{s}\gamma_{lpha}P_Lb)(\bar{\mu}\gamma^{lpha}\mu)$ 

 $C_{10}^{bs\mu\mu}(\bar{s}\gamma_{\alpha}P_{L}b)(\bar{\mu}\gamma^{\alpha}\gamma_{5}\mu)$ 

- LFU ratios prefer non-standard *C*<sub>10</sub>, but large degeneracy
- B<sub>s</sub> → μ<sup>+</sup>μ<sup>−</sup> branching ratio shows slight preference for non-standard C<sub>10</sub>
- $b \rightarrow s\mu\mu$  observables prefer non-standard  $C_9$
- best fit point

 $C_9^{bs\mu\mu}\simeq -0.63\,,\ C_{10}^{bs\mu\mu}\simeq +0.25$ 



WA, Stangl 2103.13370

 $C_9^{bs\mu\mu}(\bar{s}\gamma_{lpha}P_Lb)(\bar{\mu}\gamma^{lpha}\mu)$ 

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 Note: LFU ratios could also be explained by new physics in electrons

#### Comparison of Global Fits

(B. Capdevilla, M. Fedele, S. Neshatpour, P. Stangl @ Flavour Anomaly Workshop, CERN, Oct. 20 2021)

	ACDMN (M. Algueró, B. Capdevila, S. Descotes-Genon, J. Matias, M. Novoa-Brunet) Statistical framework: $\chi^2$ -fit, based on private code	arXiv:2104.08921
•	AS (W. Altmannshofer, P. Stangl) Statistical framework: $\overline{\chi^2}$ -fit, based on public code flavio	arXiv:2103.13370
•	CFFPSV (M. Ciuchini, <u>M. Fedele</u> , E. Franco, A. Paul, L. Silvestrini, M. Valli) Statistical framework: Bayesian MCMC fit, based on public code HEPfit	arXiv:2011.01212
•	HMMN (T. Hurth, F. Mahmoudi, D. Martínez-Santos, <u>S. Neshatpour</u> ) Statistical framework: $\chi^2$ -fit, based on public code <code>SuperIso</code>	arXiv:2104.10058
see :	also similar fits by other groups:	

Geng et al., arXiv:2103.12738, Alok et al., arXiv:1903.09617, Datta et al., arXiv:1903.10086, Kowalska et al., arXiv:1903.10932, D'Amico et al., arXiv:1704.05438, Hiller et al., arXiv:1704.05444, ...

 Global fits have reached a high level of sophistication. Are done by many groups with different statistical approaches, different treatment of theory uncertainties, different selection of observables, ...

### Fits of One Single Wilson Coefficient

(B. Capdevilla, M. Fedele, S. Neshatpour, P. Stangl @ Flavour Anomaly Workshop, CERN, Oct. 20 2021)



- small differences among the groups due to different approaches, but overall remarkable agreement
- NP scenarios are preferred over SM with pulls  $> 5\sigma$
- Warning: pull ≠ global significance.
- Global significance  $\simeq 4.3\sigma$  determined in Isidori et al. arXiv:2104.05631

(B. Capdevilla, M. Fedele, S. Neshatpour, P. Stangl @ Flavour Anomaly Workshop, CERN, Oct. 20 2021)



Perfect agreement if only theoretically clean observables are used.

### Predictions for Other LFU Ratios



WA, Stangl 2103.13370

# LFU ratios of branching fractions are all predicted to show a similar devitation from the SM

 $R_K \simeq R_{K^*} \simeq R_\phi \simeq 0.8$ 

#### Implications for the New Physics Scale

unitarity bound
$$\frac{4\pi}{\Lambda_{NP}^2}(\bar{s}\gamma_{\nu}P_Lb)(\bar{\mu}\gamma^{\nu}\mu)$$
 $\Lambda_{NP} \simeq 120 \text{ TeV} \times (C_9^{NP})^{-1/2}$ generic tree $\frac{1}{\Lambda_{NP}^2}(\bar{s}\gamma_{\nu}P_Lb)(\bar{\mu}\gamma^{\nu}\mu)$  $\Lambda_{NP} \simeq 35 \text{ TeV} \times (C_9^{NP})^{-1/2}$ MFV tree $\frac{1}{\Lambda_{NP}^2} V_{tb}V_{ts}^*(\bar{s}\gamma_{\nu}P_Lb)(\bar{\mu}\gamma^{\nu}\mu)$  $\Lambda_{NP} \simeq 7 \text{ TeV} \times (C_9^{NP})^{-1/2}$ generic loop $\frac{1}{\Lambda_{NP}^2} \frac{1}{16\pi^2}(\bar{s}\gamma_{\nu}P_Lb)(\bar{\mu}\gamma^{\nu}\mu)$  $\Lambda_{NP} \simeq 3 \text{ TeV} \times (C_9^{NP})^{-1/2}$ MFV loop $\frac{1}{\Lambda_{NP}^2} \frac{1}{16\pi^2} V_{tb}V_{ts}^*(\bar{s}\gamma_{\nu}P_Lb)(\bar{\mu}\gamma^{\nu}\mu)$  $\Lambda_{NP} \simeq 0.6 \text{ TeV} \times (C_9^{NP})^{-1/2}$ 

(MFV = Minimal Flavor Violation)

#### My Favorite Simplified Model

# Z' based on gauging $L_{\mu}-L_{\tau}$ (He, Joshi, Lew, Volkas PRD 43, 22-24) with effective flavor violating couplings to quarks

WA, Gori, Pospelov, Yavin 1403.1269; WA, Yavin 1508.07009; WA, Davighi, Nardecchia 1909.02021



Q: heavy vectorlike fermions with mass  $\sim 1 - 10$  TeV  $\phi$ : scalar that breaks  $L_{\mu} - L_{\tau}$ 

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predicted Lepton Universality Violation!

Q: heavy vectorlike fermions with mass  $\sim 1 - 10$  TeV  $\phi$ : scalar that breaks  $L_{\mu} - L_{\tau}$ 

### Probing the Z' Parameter Space

WA, Gori, Martin-Albo, Sousa, Wallbank 1902.06765



## Future Prospects for $R_{\mathcal{K}}$ and $R_{\mathcal{K}^*}$

- LHCb and Belle II can push uncertainties down to few percent
- (can ATLAS and CMS say something?)
- with sufficient statistics, LFU of angular distributions can be tested



► LHCb can cross check in other modes:  $R_{\phi}$ ,  $R_{pK}$ , ...

# Charged Current B Decay Anomalies

## Lepton Universality in Charged Current B Decays

Bernlochner, Franco Sevilla, Robinson, 2101.08326



 $egin{aligned} R_D &= rac{BR(B o D au
u)}{BR(B o D\ell
u)} \ R_{D^*} &= rac{BR(B o D^* au
u)}{BR(B o D^*\ell
u)} \end{aligned}$ 

 $\ell = \mu, e$  (BaBar/Belle)  $\ell = \mu$  (LHCb)

 $\textit{R}_{\textit{D}}^{\textit{exp}}/\textit{R}_{\textit{D}}^{\textit{SM}} = 1.13 \pm 0.10 \;, \quad \textit{R}_{\textit{D}^{*}}^{\textit{exp}}/\textit{R}_{\textit{D}^{*}}^{\textit{SM}} = 1.15 \pm 0.06$ 

#### combined discrepancy with the SM: 3.6 $\sigma$

(the heavy flavor averaging group quotes  $3.1\sigma$ )

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Flavor Physics Overview

#### Model Independent New Physics Analysis

$$\mathcal{H}_{ ext{eff}} = rac{4G_F}{\sqrt{2}} V_{cb} \mathcal{O}_{V_L} + rac{1}{\Lambda^2} \sum_i C_i \mathcal{O}_i$$



 $O_i = \text{contact interactions}$ with vector, scalar or tensor currents

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 $O_i = \text{contact interactions}$ with vector, scalar or tensor currents

rescaling of the SM vector operator fits the data best

combinations of operators are also possible



(also Murgui et al. 1904.09311, Asadi, Shih 1905.03311,

Cheung et al. 2002.07272, ... )

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**Flavor Physics Overview** 

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unitarity bound
$$\frac{4\pi}{\Lambda_{NP}^2} (\bar{c}\gamma_{\nu} P_L b)(\bar{\tau}\gamma^{\nu} P_L \nu)$$
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(MFV = Minimal Flavor Violation)

rather low scale  $\rightarrow$  model building is non-trivial

#### Combined Explanations of All B Anomalies



model independent EFT approach:

two new physics parameters describe consistently a dozen  $(2-3)\sigma$  discrepancies

remarkable!

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 operators point to leptoquarks with masses of few TeV

Leptoquark could be the remnant of an extended gauge group

Di Luzio et al. 1708.08450; Bordone et al. 1712.01368, ...

#### also attempts with RPV SUSY

Deshpande, He, 1608.04817; WA, Dev, Soni 1704.06659; Earl, Gregoire 1806.01343;

Trifinopoulos 1807.01638; WA, Dev, Soni, Sui 2002.12910; Dev, Soni, Xu 2106.15647; ...

#### Speculations on UV Completions

Flavor anomalies from the  $U_1$  leptoquark of (Pati-Salam)<sup>3</sup>



Flavor ↔ special position (topological defect) in an extra (compact) space-like dimension

Higgs and SU(4)-breaking fields with oppositely-peaked profiles, leading to the desired flavor pattern for masses & anomalies

Bordone, Cornella, Fuentes-Martin, GI '17 Fuentes-Martin, GI, Pages, Stefanek '20

Possible to implement anarchic neutrino masses via an inverse see-saw mechanism

(Gino Isidori @ Beyond the Anomalies workshop, Durham 2021)

- B decay data continues to show intriguing discrepancies with SM predictions.
- If significance of LFU violation continues to grow with more statistics ⇒ clear indication of new physics. (Recent R<sub>K</sub> update be LHCb is reassuring!)
- ► Maybe gauged L<sub>µ</sub> L<sub>τ</sub>? RPV SUSY? Leptoquarks? (Pati-Salam)<sup>3</sup>?
- Looking forward to the next round of experimental updates!