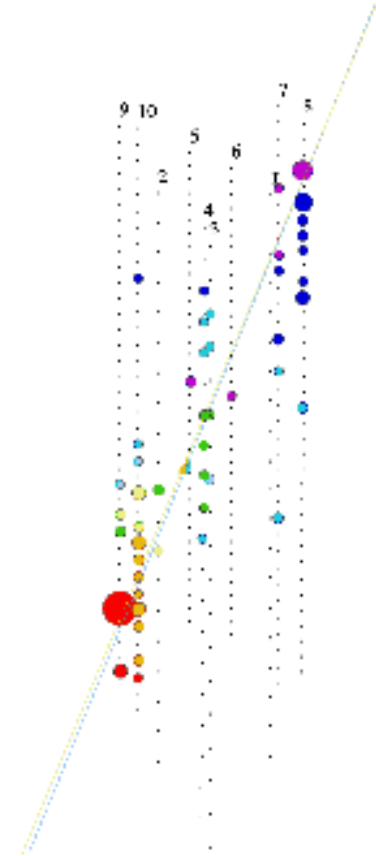




The  
University  
Of  
Sheffield.



1

# ASTROPARTICLE PHYSICS LECTURE 3

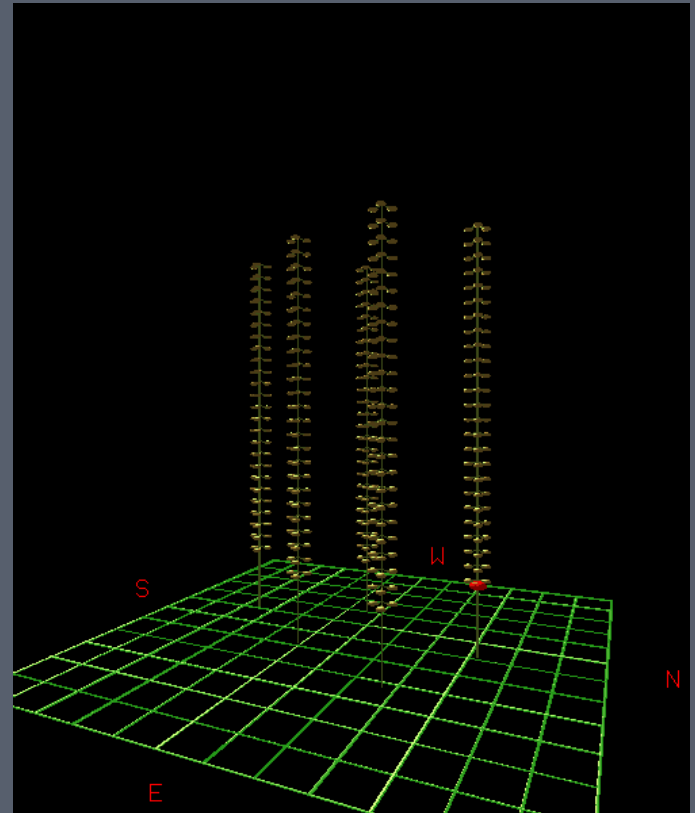
**Matthew Malek**  
University of Sheffield



2

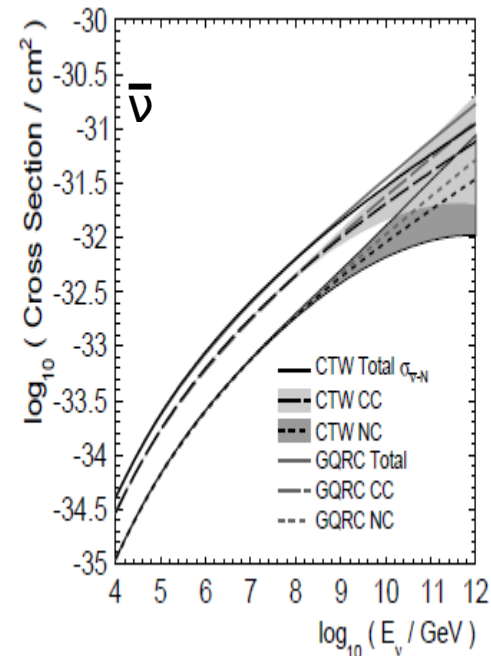
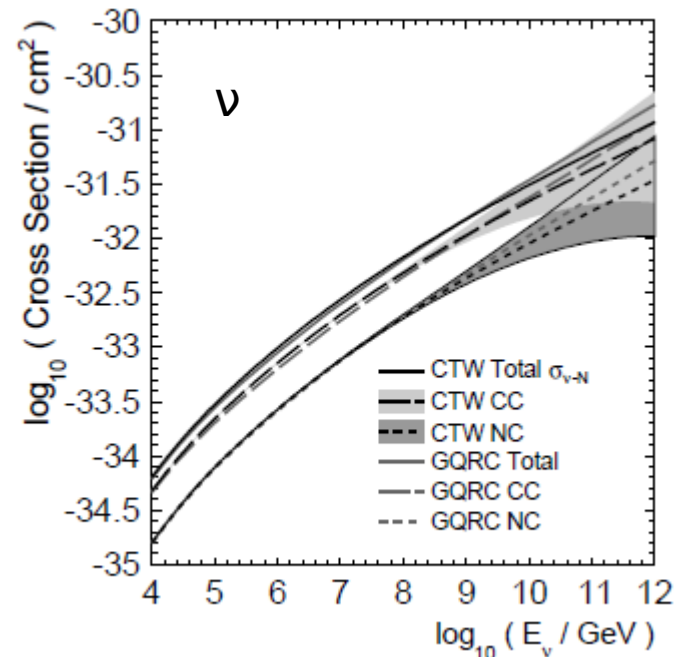
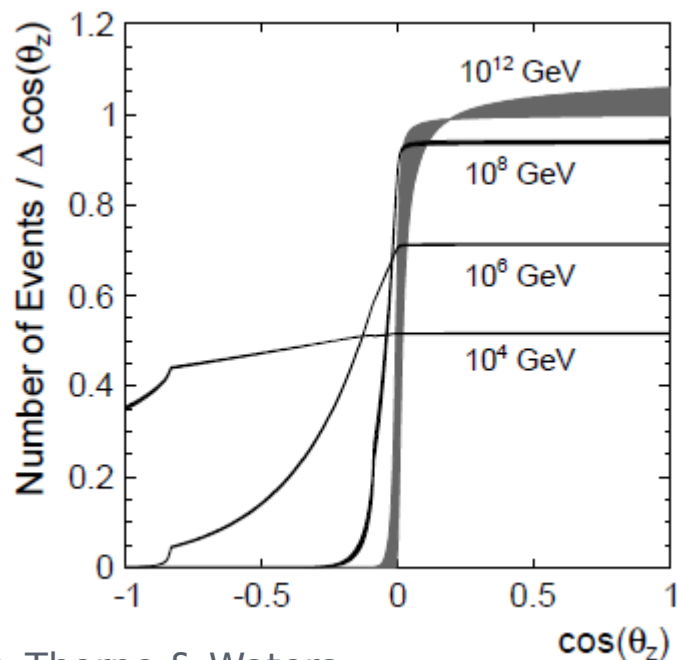
# High Energy Astroparticle Physics

Acceleration Mechanisms  
Sources  
Detection



# Neutrino Detection

- Neutrino cross-section rises with energy
- Only UHE neutrinos ( $>10^{15}$  eV) interact with reasonably high probability (such that Earth is opaque to them)

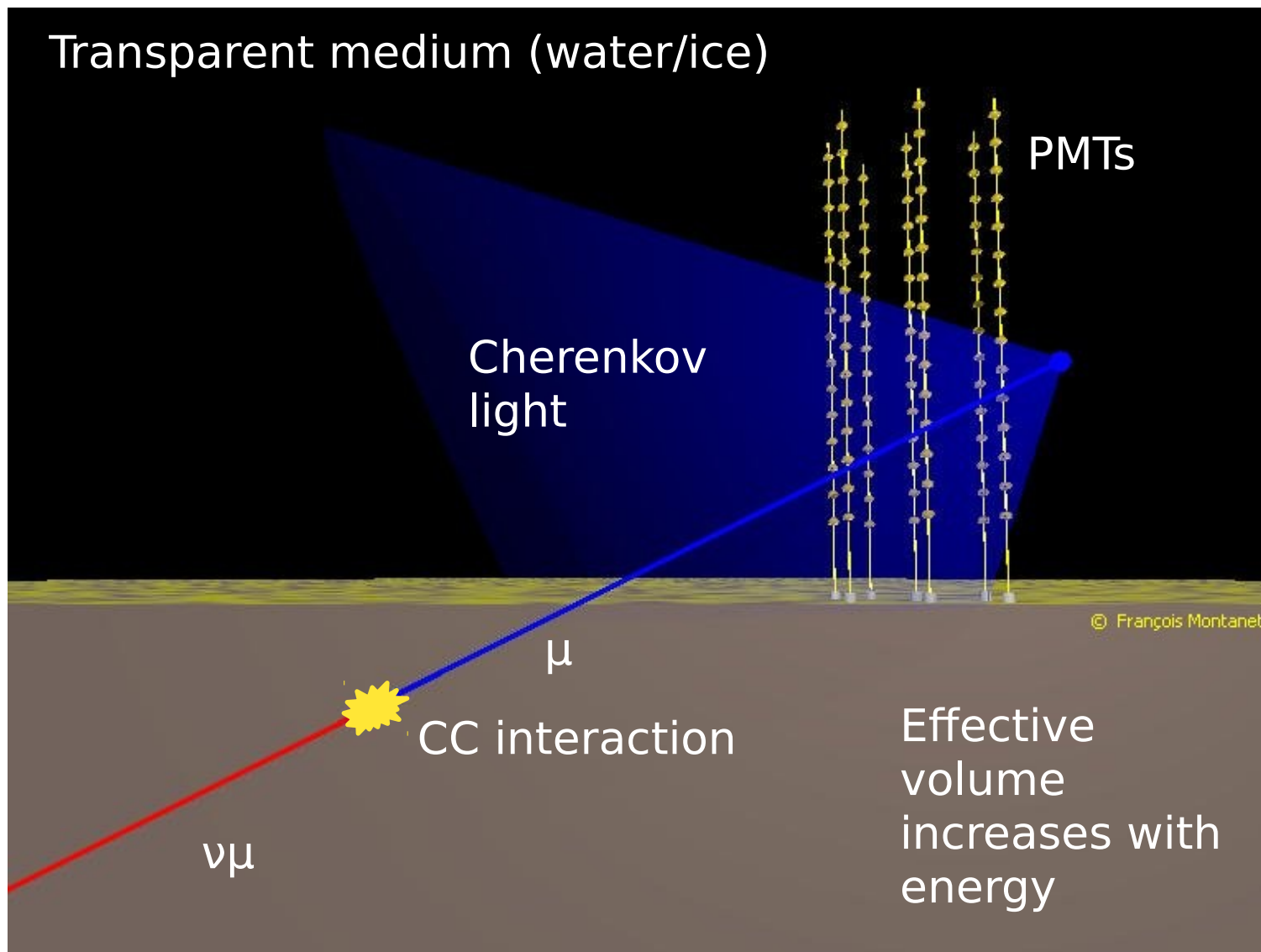


# Neutrino Detection (Penetrating Neutrinos)

- Mostly rely on detecting the charged lepton produced in CC interactions
- at lowest energies (solar neutrinos), also elastic scattering
  - $(\nu + e \rightarrow \nu + e)$  & NC on deuterium  $(\nu + d \rightarrow \nu + p + n)$
  - note that at solar neutrino energies  $\mu$  and  $\tau$  cannot be produced by CC, so  $\nu_\mu, \nu_\tau$  only seen in NC (e.g., SNO)
- Some early experiments using tracking calorimeters, but water Cherenkovs are now standard practice
  - can obtain large effective volumes by instrumenting *natural* bodies of water/ice
  - particle identification by ring morphology at low energies, shower shape at high energies

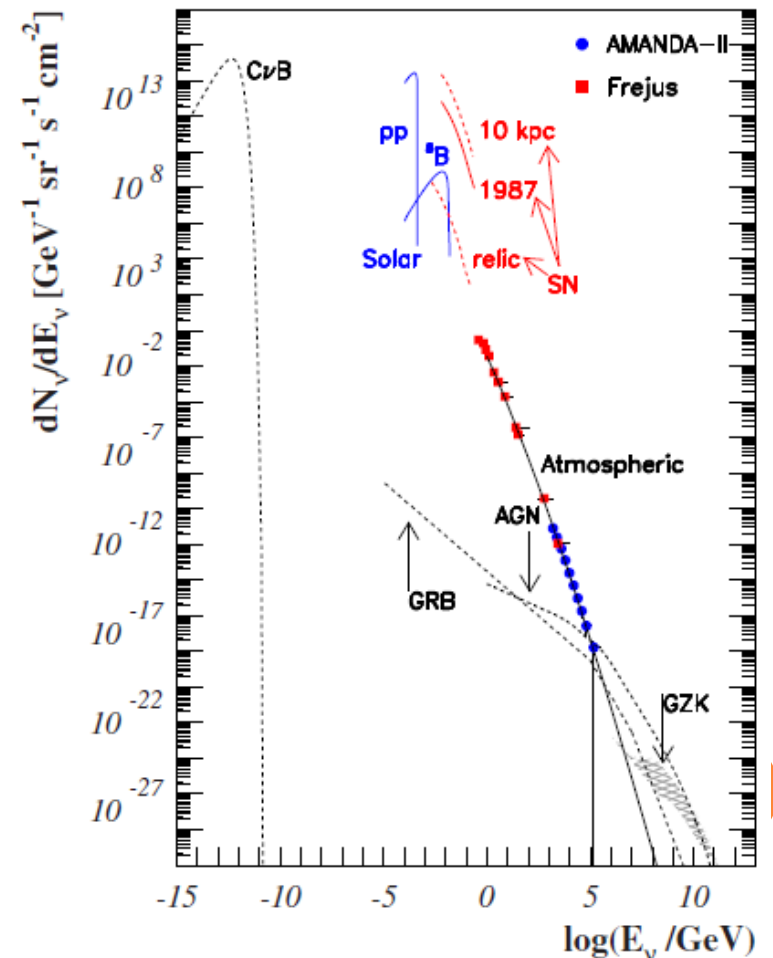
# Neutrino Detection by Water Cherenkov

Transparent medium (water/ice)

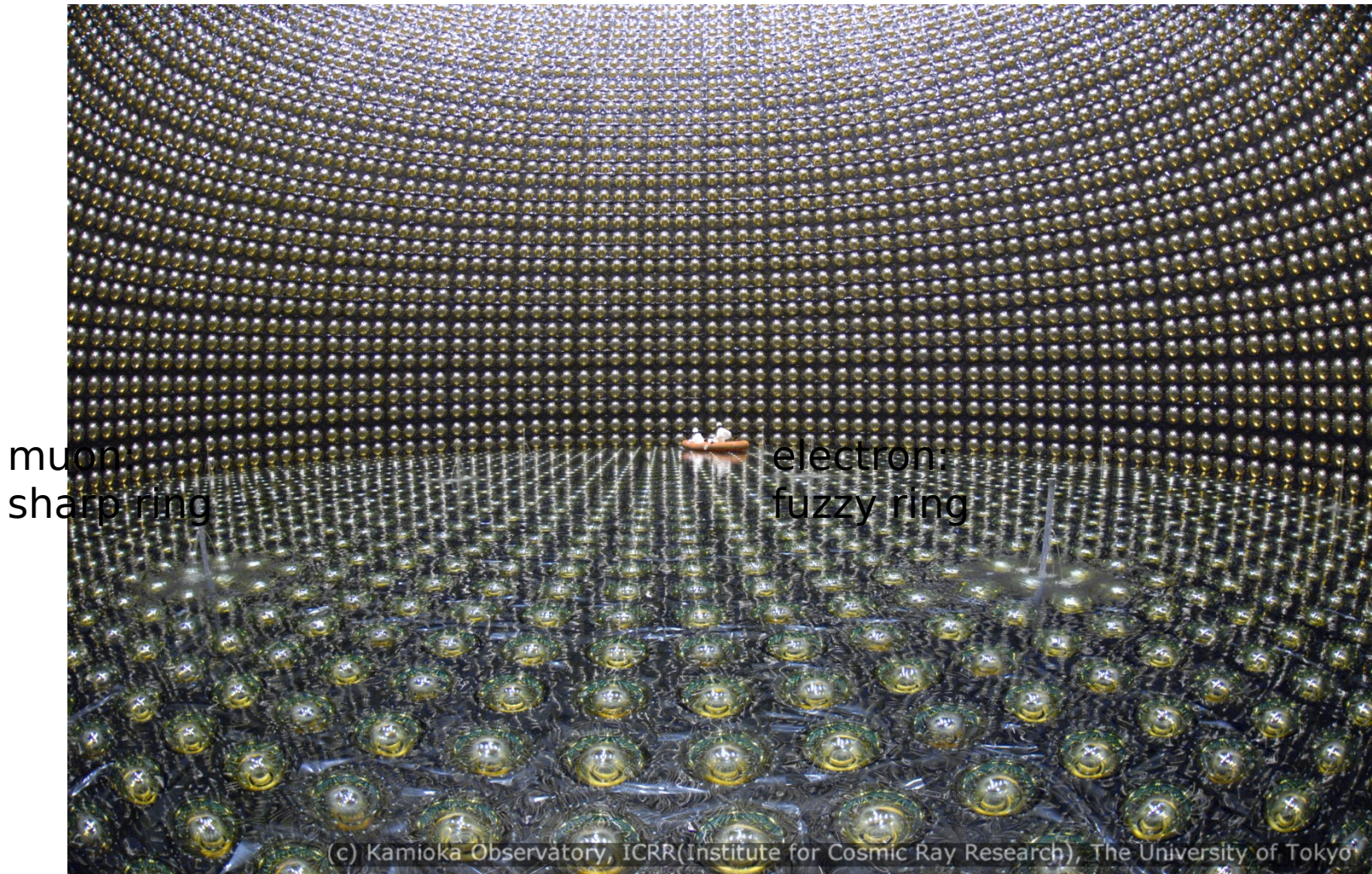


# Backgrounds

- Cosmic ray muons
  - Go deep
  - Look down
    - therefore, **northern** hemisphere telescope sees **southern** sky, and vice versa
- Atmospheric neutrinos
  - one person's signal is another's background!
  - irreducible, but steeper spectrum than high-energy astrophysical neutrinos



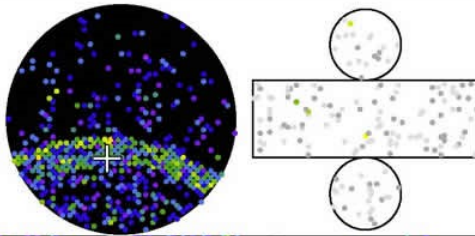
# Particle ID: Super-Kamiokande



# Particle ID: Super-Kamiokande

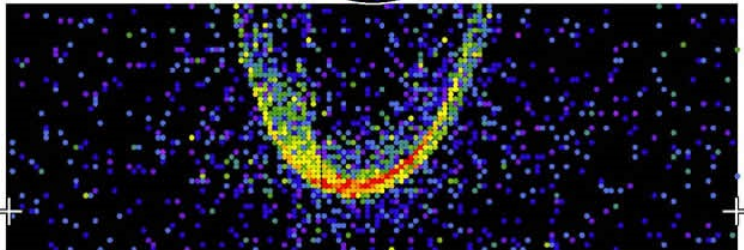
## Super-Kamiokande I

Run 1728 Sub 4 Ev 25171  
 96-05-29:09:01:53  
 Inner: 2294 hits, 7095 pE  
 Outer: 4 hits, 32 pE (in-time)  
 Trigger ID: 0x03  
 D wall: 592.9 cm  
 FC mu-like,  $p = 1012.9$  MeV/c

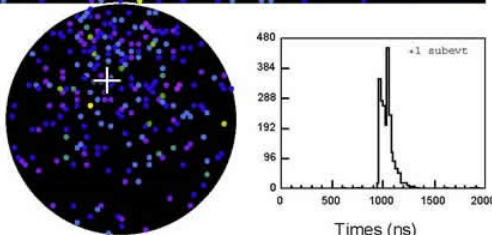


### Charge (pe)

- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.5-17.3
- 11.5-14.5
- 8.9-11.5
- 6.2-8.9
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2

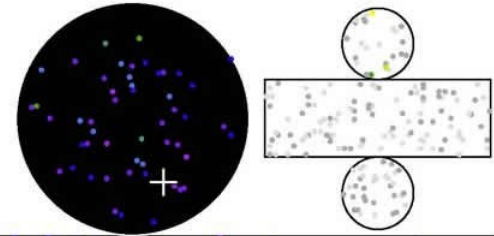


muon:  
sharp ring



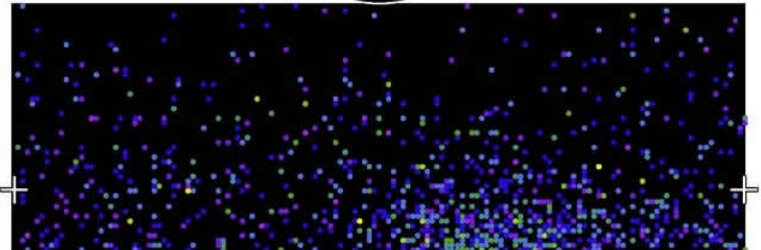
## Super-Kamiokande I

Run 1757 Sub 4 Ev 25716  
 96-06-03:07:51:37  
 Inner: 1948 hits, 5243 pE  
 Outer: 4 hits, 30 pE (in-time)  
 Trigger ID: 0x03  
 D wall: 671.6 cm  
 FC e-like,  $p = 618.1$  MeV/c

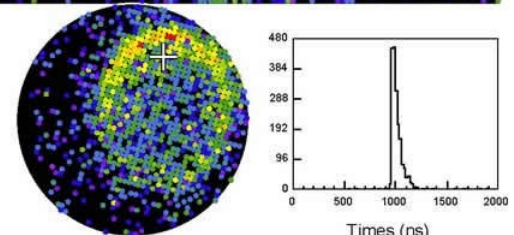


### Charge (pe)

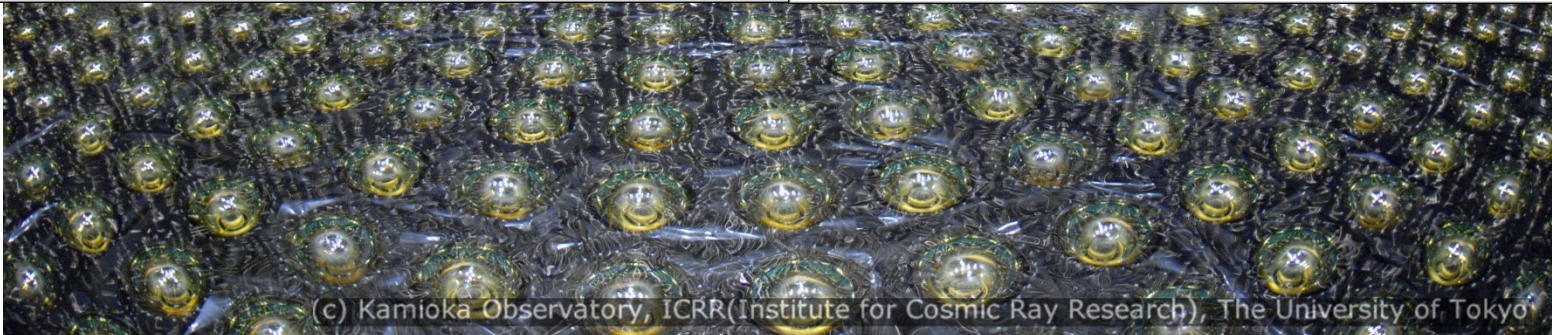
- >26.7
- 23.3-26.7
- 20.2-23.3
- 17.3-20.2
- 14.5-17.3
- 11.5-14.5
- 8.9-11.5
- 6.2-8.9
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



electron:  
fuzzy ring



(c) Super-Kamiokande Collaboration



(c) Kamioka Observatory, ICRR(Institute for Cosmic Ray Research), The University of Tokyo



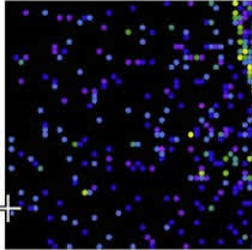
# Particle

## Super-Kamiokande I

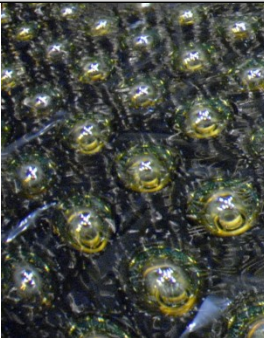
Run 1728 Sub 4 Ev 25171  
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Trigger ID: 0x03  
D wall: 592.9 cm  
FC mu-like,  $p = 1012.9 \text{ MeV}/c$

### Charge (pe)

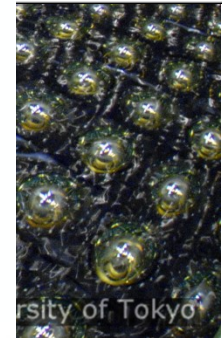
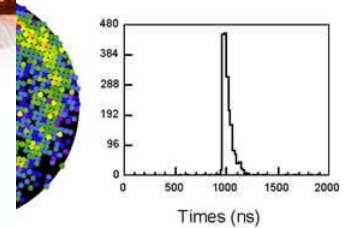
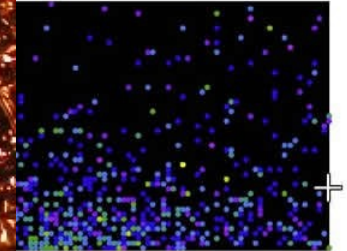
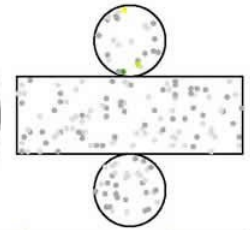
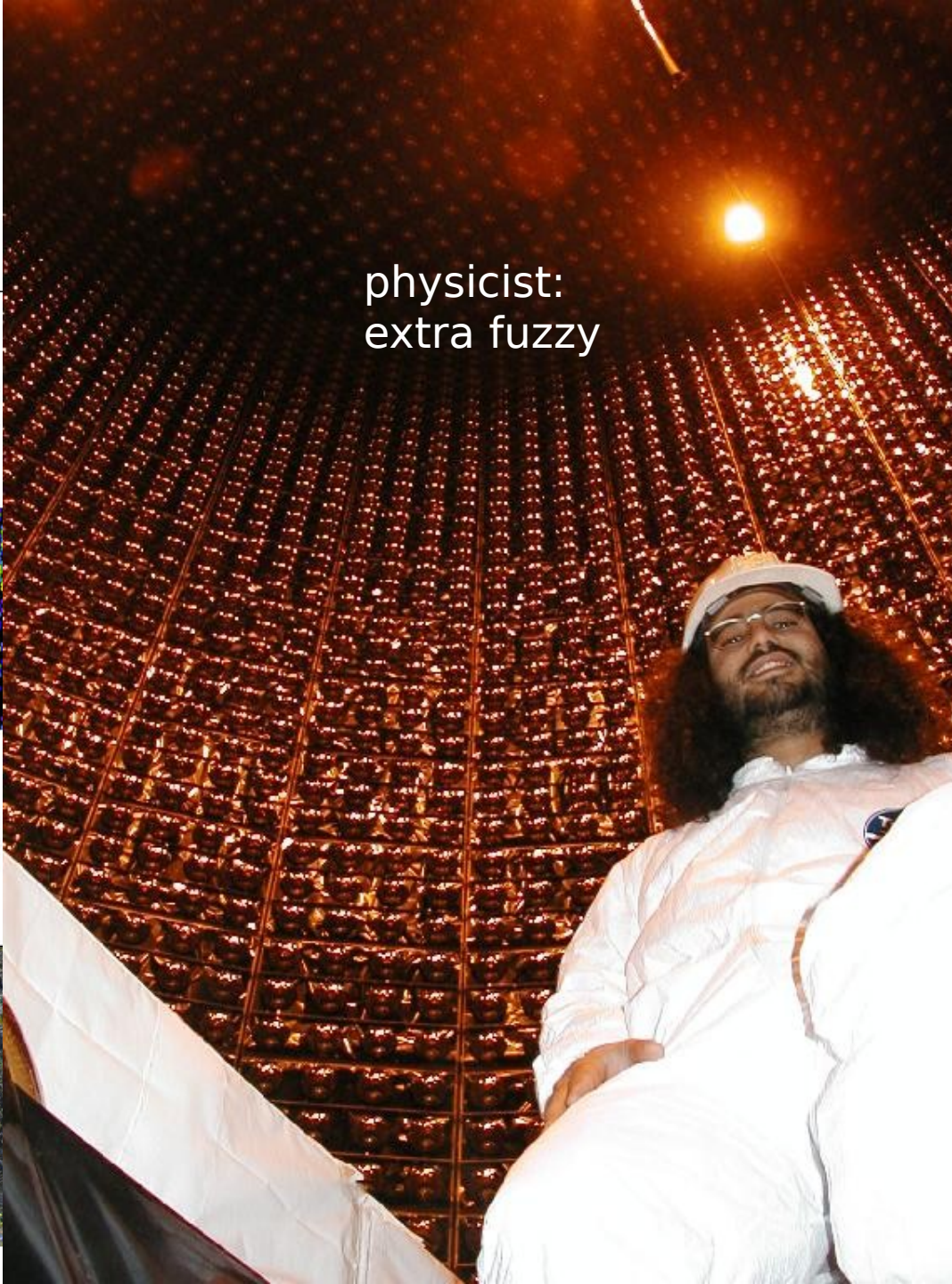
- >26.7
- 23.3-26.7
- 20.0-23.3
- 17.3-20.0
- 14.7-17.3
- 12.0-14.7
- 10.0-12.0
- 8.0-10.0
- 6.2-8.0
- 4.7-6.2
- 3.3-4.7
- 2.2-3.3
- 1.3-2.2
- 0.7-1.3
- 0.2-0.7
- < 0.2



muon:  
sharp ring

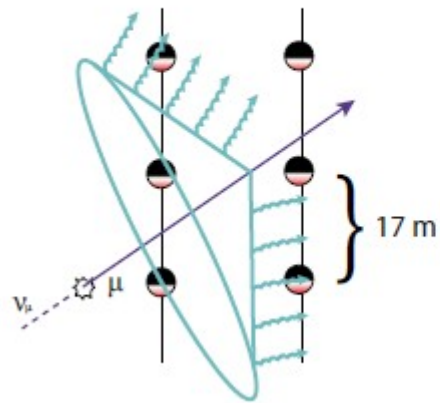


physicist:  
extra fuzzy

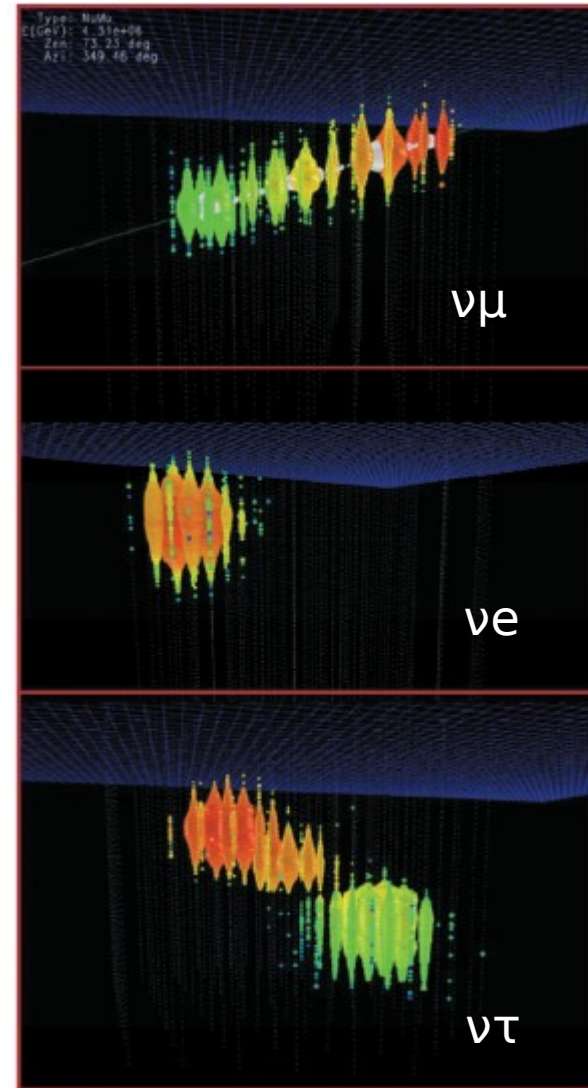
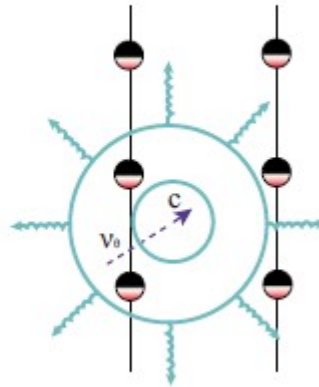


# Particle ID: IceCube

~ km-long muon tracks from  $\nu_\mu$

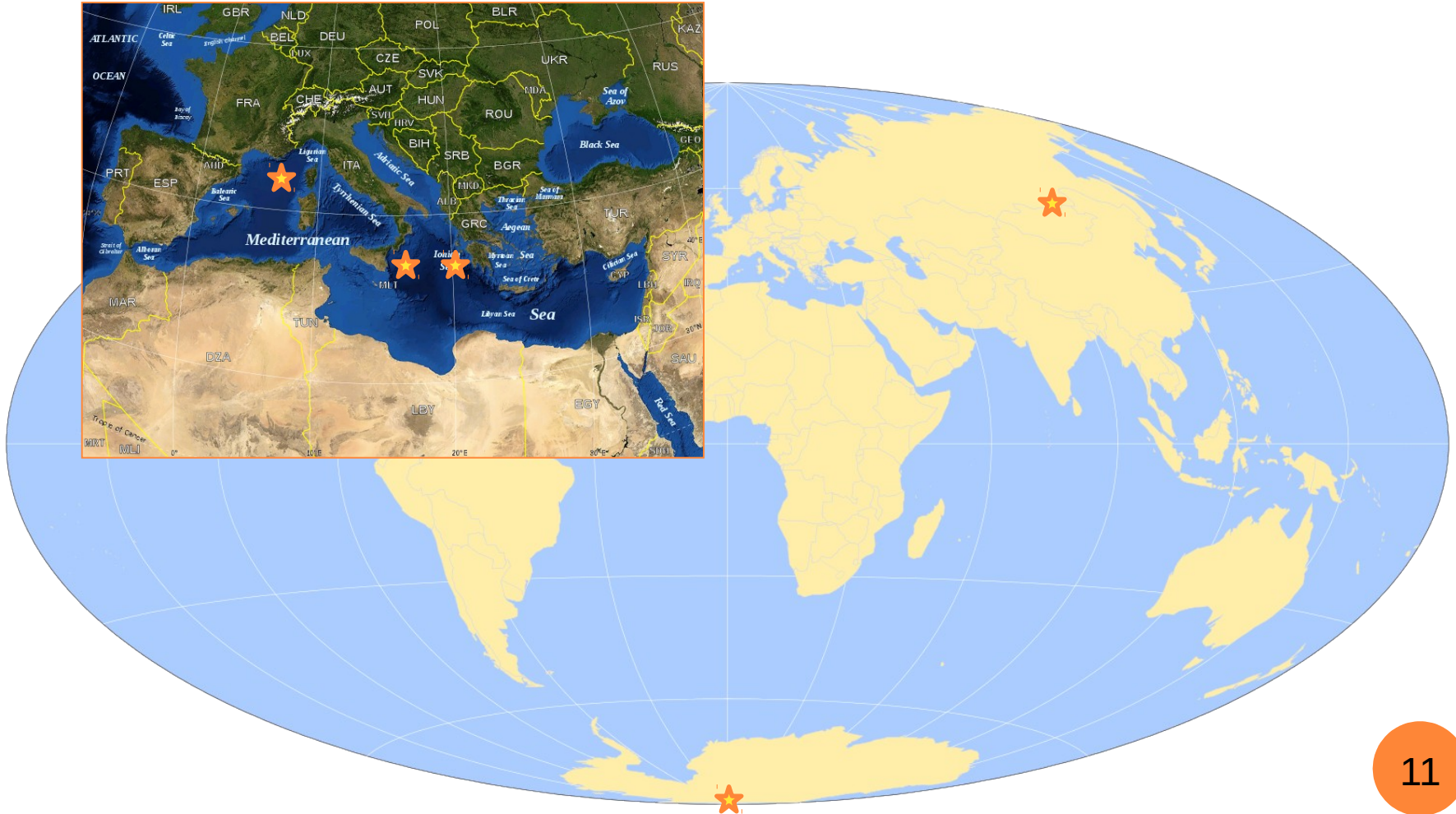


~ 10m-long cascades from  $\nu_\mu, \nu_\tau$



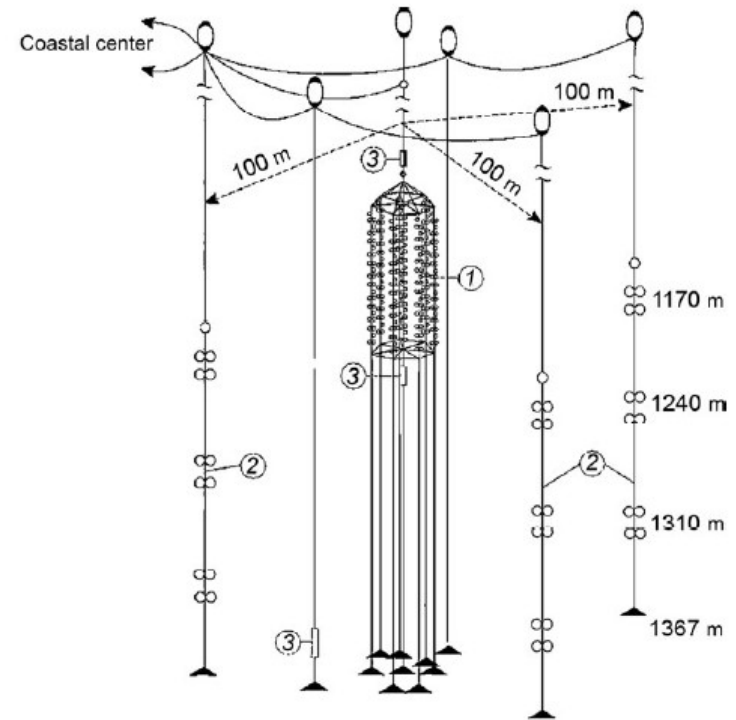
“double-bang”  $\nu_\tau$  event:  
initial signal from CC interaction,  
later one from  $\tau$  decay

# High-Energy Neutrino Telescopes



# Lake Baikal

1. Central core (NT200) with 96 pairs of OMs on 8 strings
2. Outer ring with 3 additional strings each equipped with 6 OM pairs
3. Lasers for calibration



Deployment of the Neutrino Telescope with an electric winch (April, 2004)

Each OM  
equipped  
with 37-cm  
PMT

# Lake Baikal



## *Hunting Ghost Particles Beneath the World's Deepest Lake*

A neutrino-spotting telescope beneath the frozen Lake Baikal in Russia is close to delivering scientific results after four decades of setbacks.

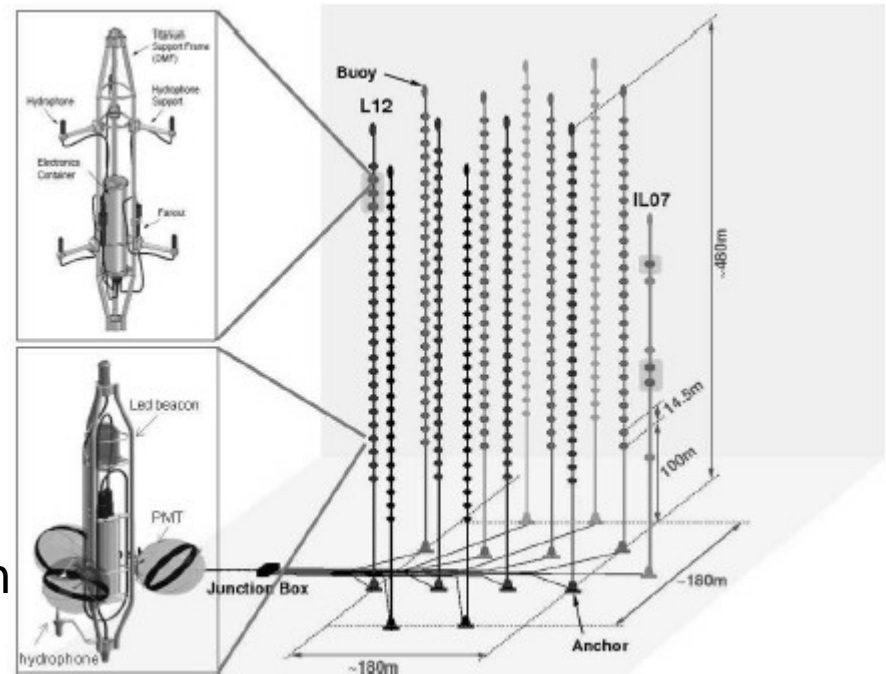
**From the New York Times (30<sup>th</sup> March 2021):**  
Upgraded Baikal (called “Baikal-GVD”) now operational.  
Volume comparable to IceCube.

# ANTARES

2475 m deep, 42 km off Toulon  
885 OMs arranged in triplets on  
12 lines; each OM equipped with  
10" PMT

Acoustic transponders for position  
monitoring

LED and laser optical beacons for  
calibration

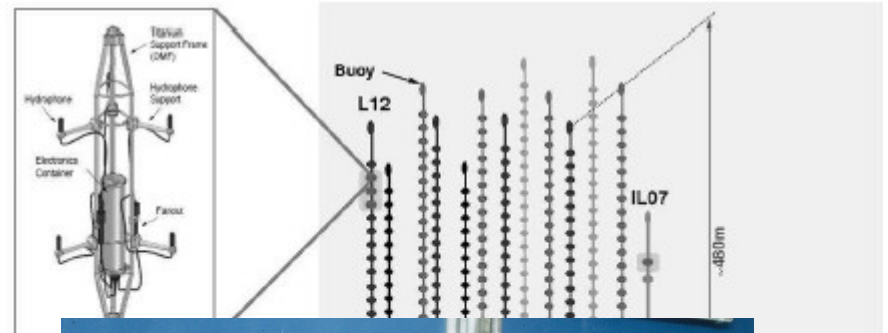


# ANTARES

2475 m deep, 42 km off Toulon  
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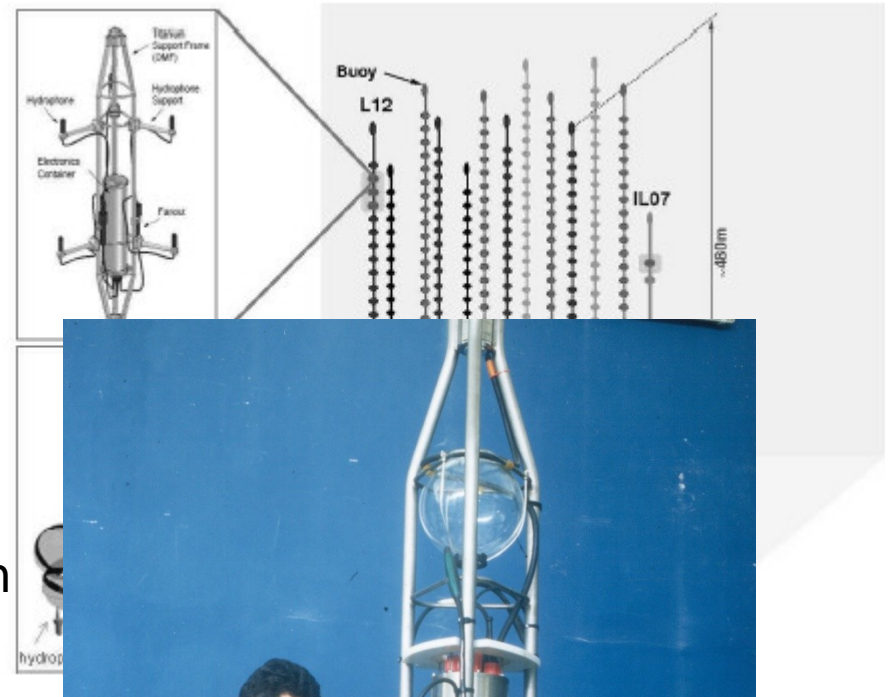
LED and laser optical beacons for  
calibration



# ANTARES

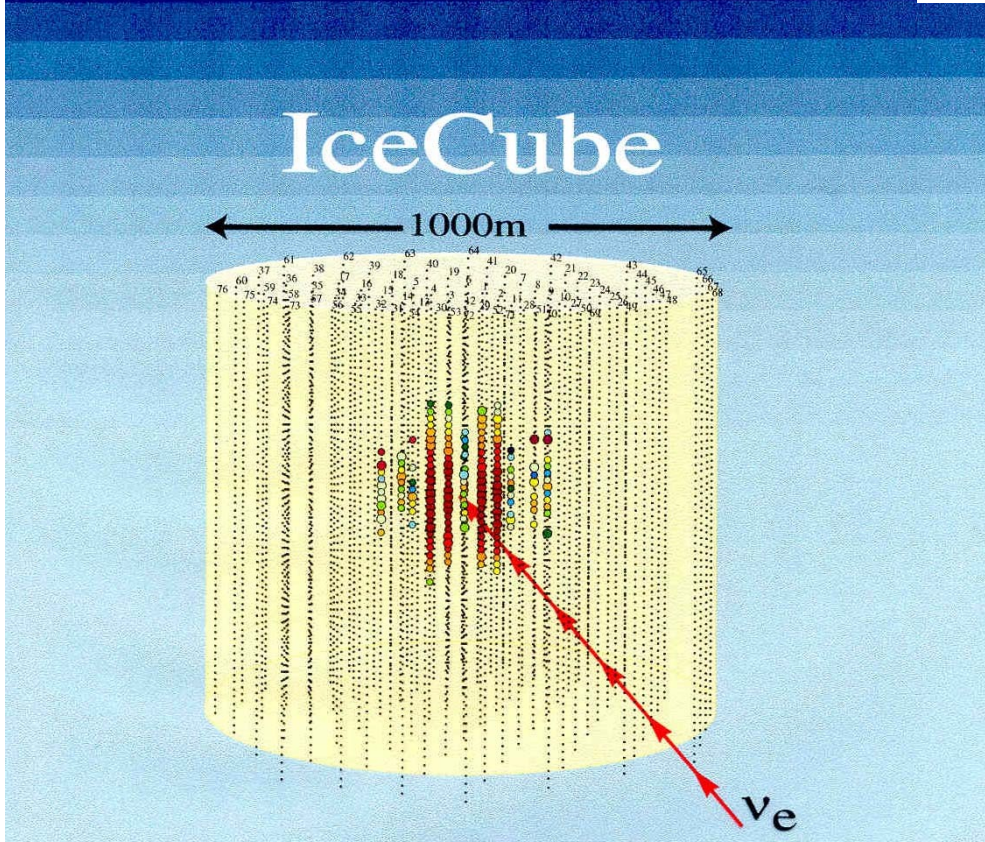
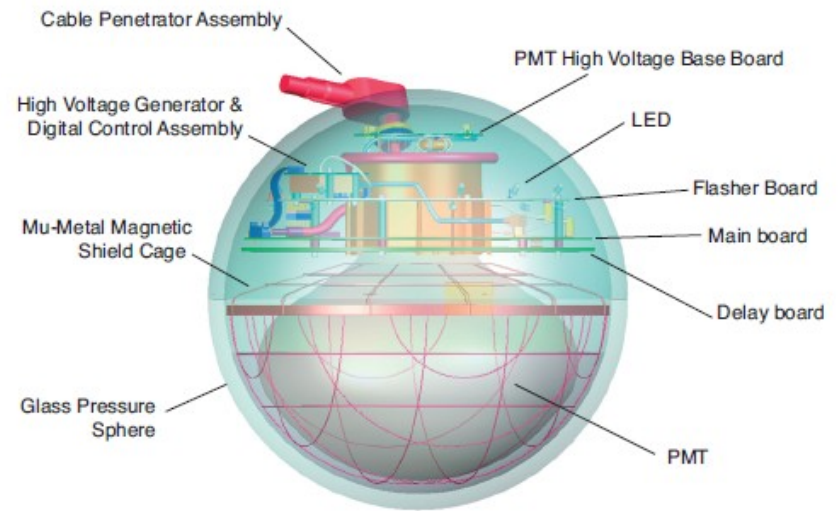
2475 m deep, 42 km off Toulon  
885 OMs arranged in triplets on  
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10" PMT

Acoustic transponders for position  
monitoring  
LED and laser optical beacons for  
calibration





# IceCube



The largest existing detector, instrumenting 1 km<sup>3</sup> of Antarctic ice.

Precursor, AMANDA II, very similar to ANTARES in size and sensitivity.

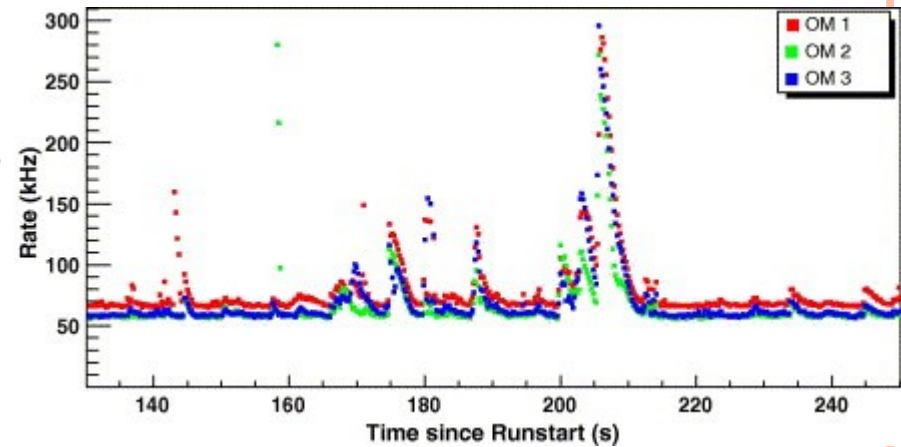
# Medium Properties

Property	Lake Baikal	Mediterranean (ANTARES)	Antarctic ice
Absorption length (m)	20–24	50–70 (blue)	~100
Scattering length (m)	30–70	230–300 (blue)	~20
Depth	1370	2475	2450
Noise	Quiet	40K, bioluminescence	Quiet
Retrieve/ redeploy	Yes	Yes	No

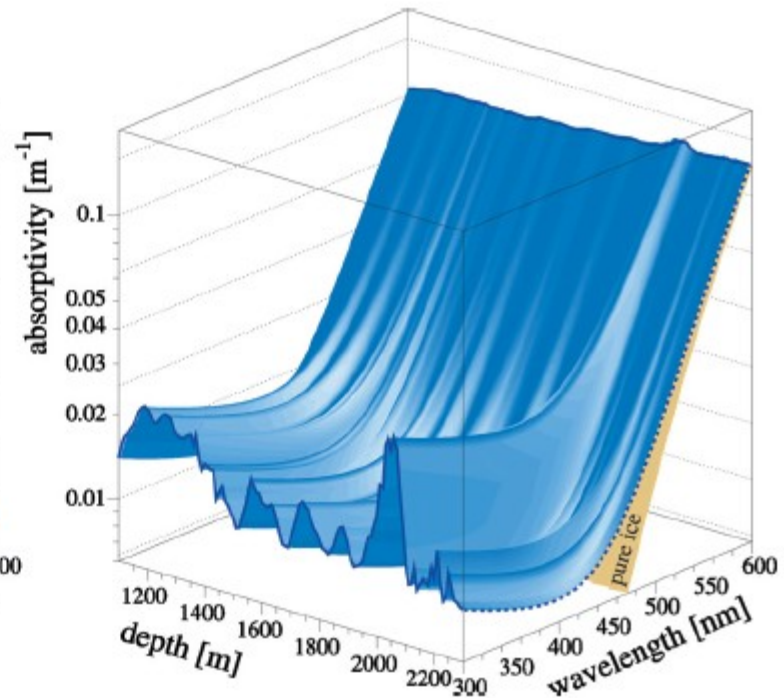
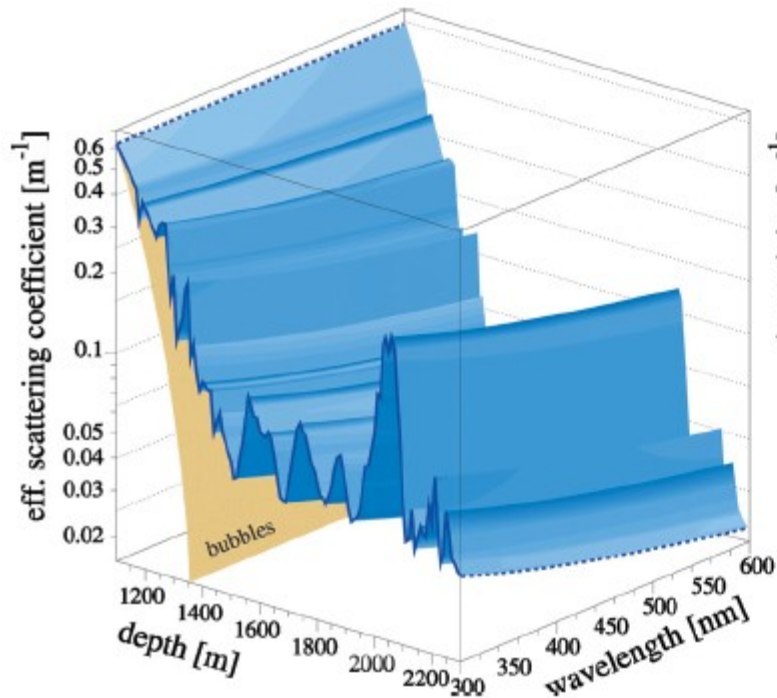
Long scattering length for ANTARES implies better angular resolution; long absorption length for IceCube implies sparser instrumentation. Quiet environments imply potentially useful data from singles rates.

# Background in Antares

- Three components
  - steady background of
    - ~60 kHz
    - slowly varying contribution from bioluminescence, probably bacterial
    - short bursts of strong bioluminescence, probably from larger organisms
- Correlated within a single storey, but not over long distances
  - minimal influence on tracking efficiency
  - does probably preclude use of singles rate, e.g. for detection of low energy neutrinos from supernova



# Light Transmission in IceCube

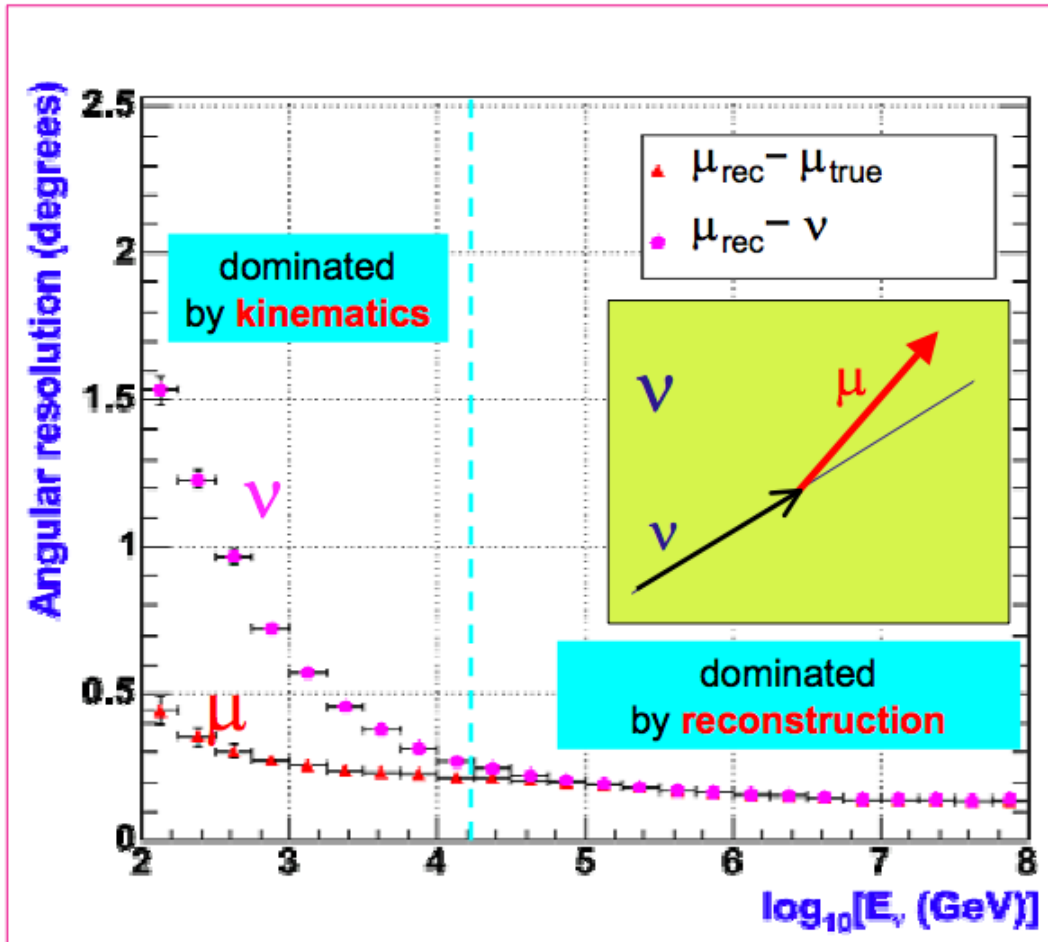


Scattering is a consequence of dust layers in the ice—function of global climate, level of volcanic activity, etc.

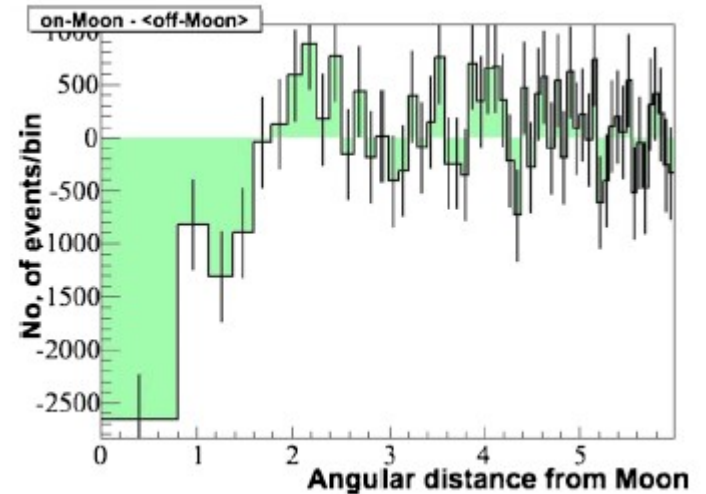
“Dust logger” measures reflected light from artificial light source just after drilling: measure scattering with few mm vertical resolution.

Note additional contribution from bubbles at shallow depths (<1400 m); IceCube deployed below this level.

# Angular Resolution



At 100 TeV: Amanda  $\sim 2^\circ$   
Antares  $\sim 0.2^\circ$



Moon's shadow in CR muons, measured by IceCube  
Expected IceCube angular resolution  $\sim 0.5^\circ$

# Expected Fluxes

Expect high-energy astrophysical neutrinos to be produced in proton interaction cascades

- therefore, observed CR flux implies upper bound on neutrino flux (**Waxman-**

- **Bahcall bound:**

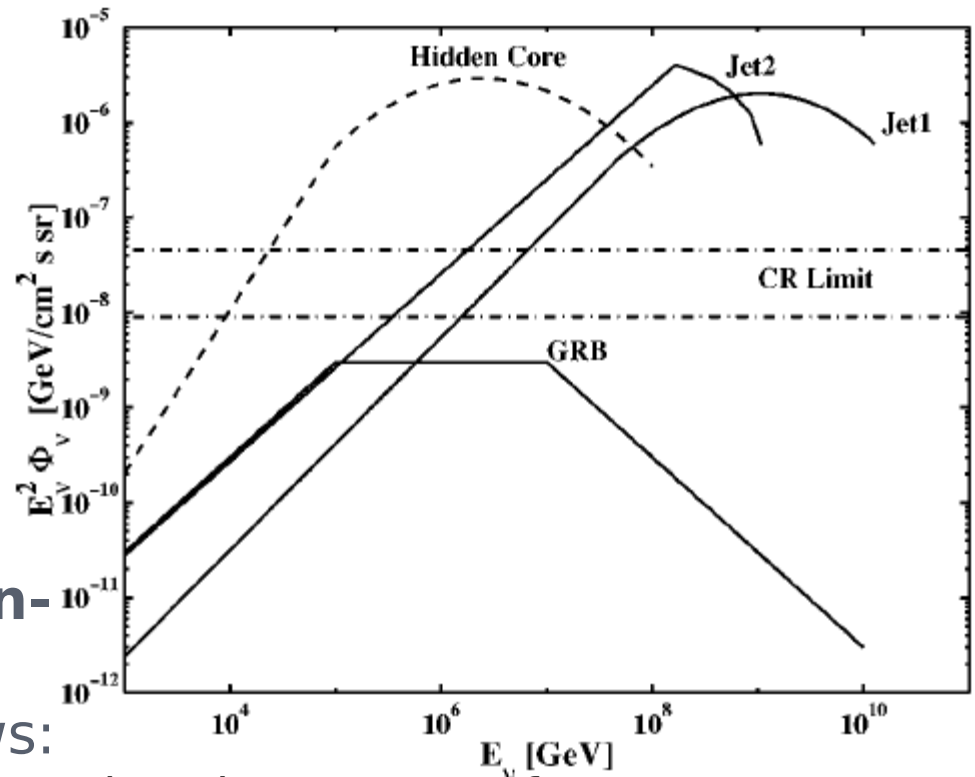
- argument goes as follows:

- from observed CR rate, deduce that the amount of energy emitted by astrophysical sources in the form of UHE CRs ( $10^{19} - 10^{21}$  eV) is of order  $10^{37}$  J Mpc $^{-3}$  yr $^{-1}$ .

- assume that CRs lose some fraction  $\varepsilon$  of their energy through pion photoproduction before escaping the source

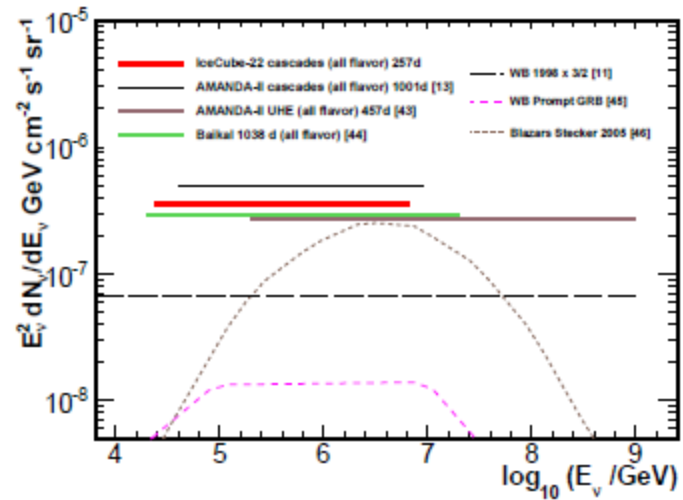
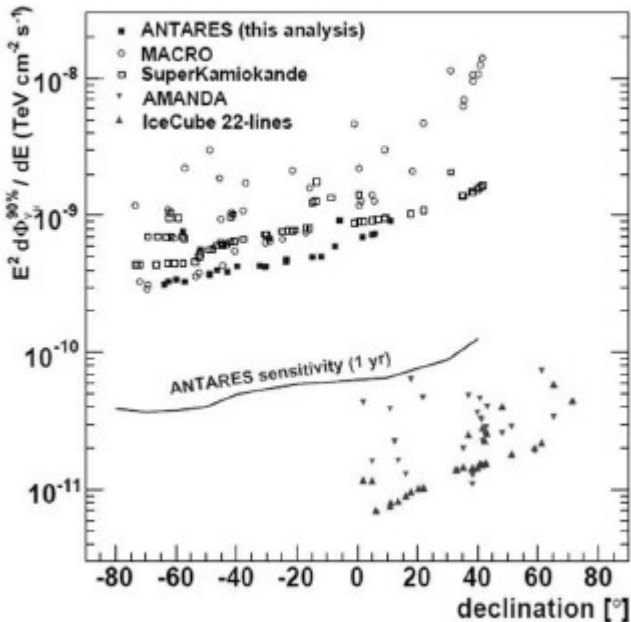
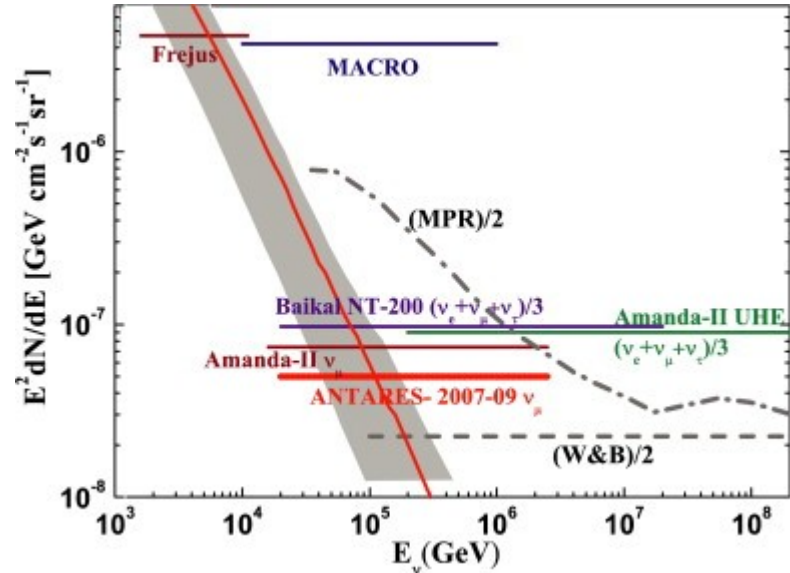
- fraction of proton energy carried by neutrino produced in this way is about 5% independent of proton energy, so neutrino energy spectrum follows scaled-down version of proton spectrum

- resulting bound:  $E\nu^2\phi_\nu < 2 \times 10^{-8}$  GeV cm $^{-2}$  s $^{-1}$  sr $^{-1}$  for  $10^{14} - 10^{16}$  eV  $\nu$



# Results

Still very statistics-limited.  
IceCube should be able to reach Waxman-Bahcall bound.



Point source search  
ANTARES astro-ph/1002.0701

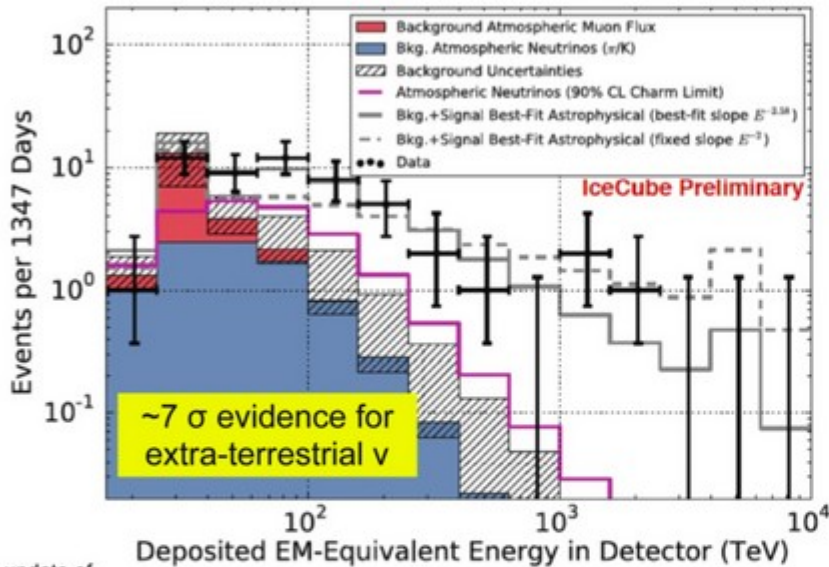
Limits on diffuse fluxes  
ANTARES, *Phys. Lett.* **B696**  
(2011) 16  
IceCube, astro-ph/1101.1692

# More Results

Statistical evidence for HE astrophysical neutrinos found in IceCube

## Energy Spectrum

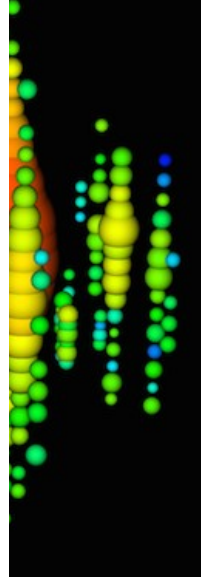
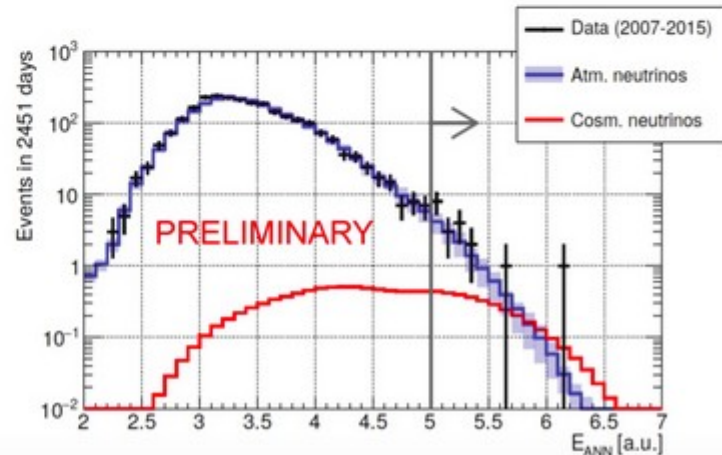
54 events observed with  $20 \pm 6$  expected from atmosphere



4 yr update of  
PRL2014, Science 2013



**Antares:**  
Observed 19  
Expected 13.5  $\pm$  2,  $\sim$  3 IC



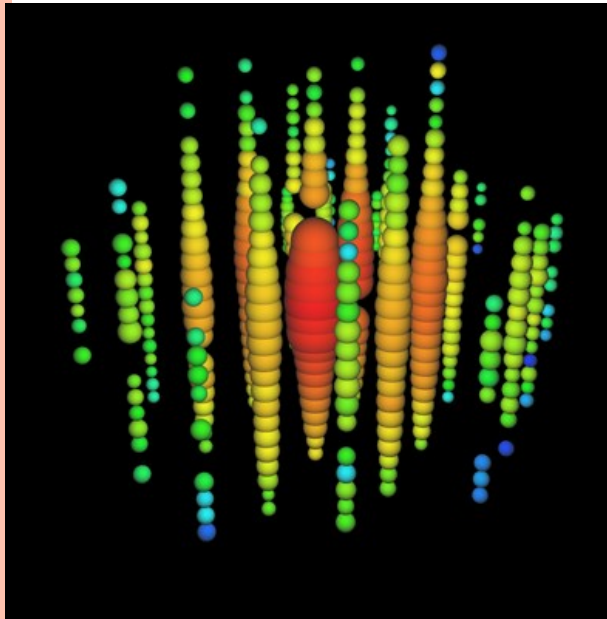
3rd  
L4)

From Neutrino 2016

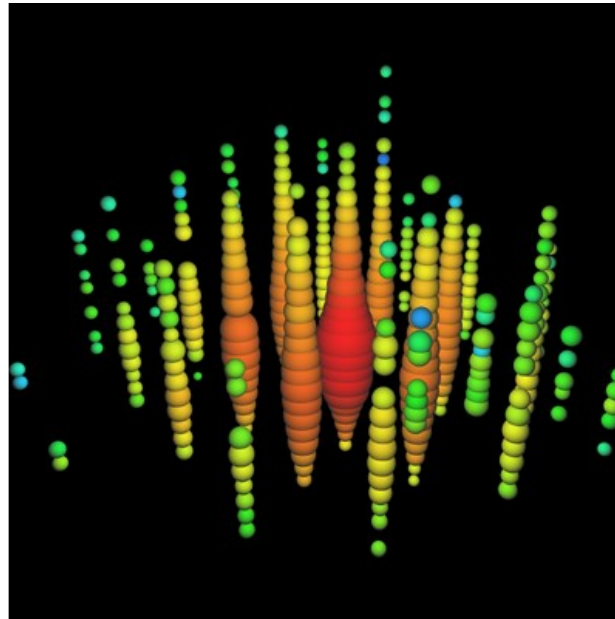


# More Results

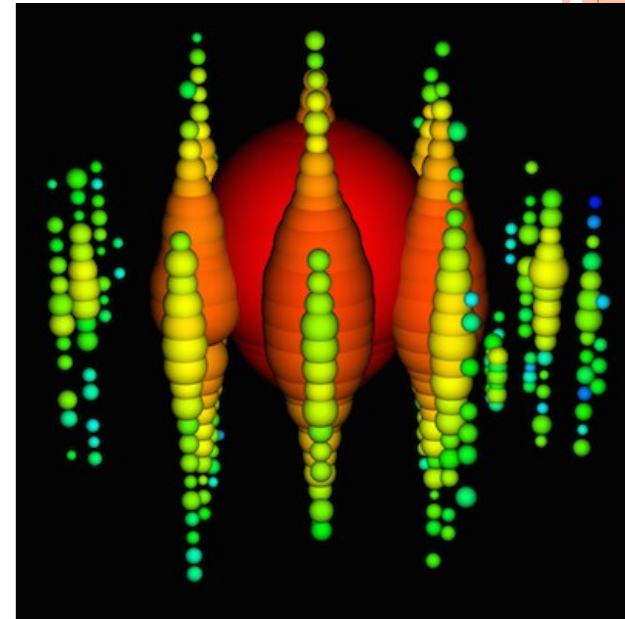
Statistical evidence for HE astrophysical neutrinos found in IceCube



• **Ernie (2012)**



• **Bert (2012)**

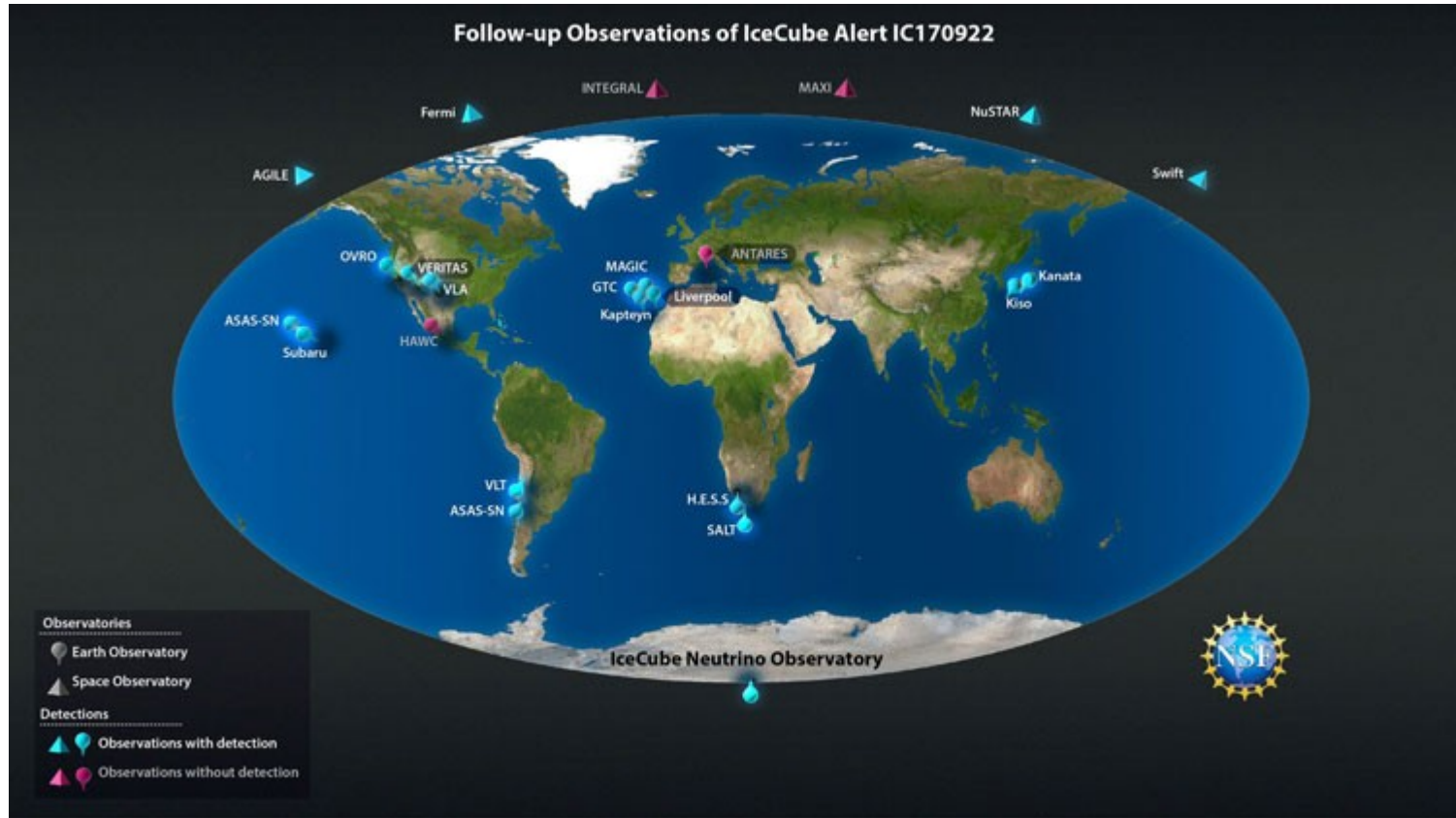


• **Big Bird (2014)**

From Neutrino 2016

# 2018 Breakthrough: First Source!

Statistical evidence for HE astrophysical neutrinos found in IceCube



Chasing the ammonia  
economy p. 120

Time invested matters for mice,  
rats, and humans pp. 124 & 178

Two spindles are better  
than one pp. 128 & 189

# Science

\$15  
13 JULY 2018  
sciencemag.org

AAAS

## NEUTRINOS FROM A BLAZAR

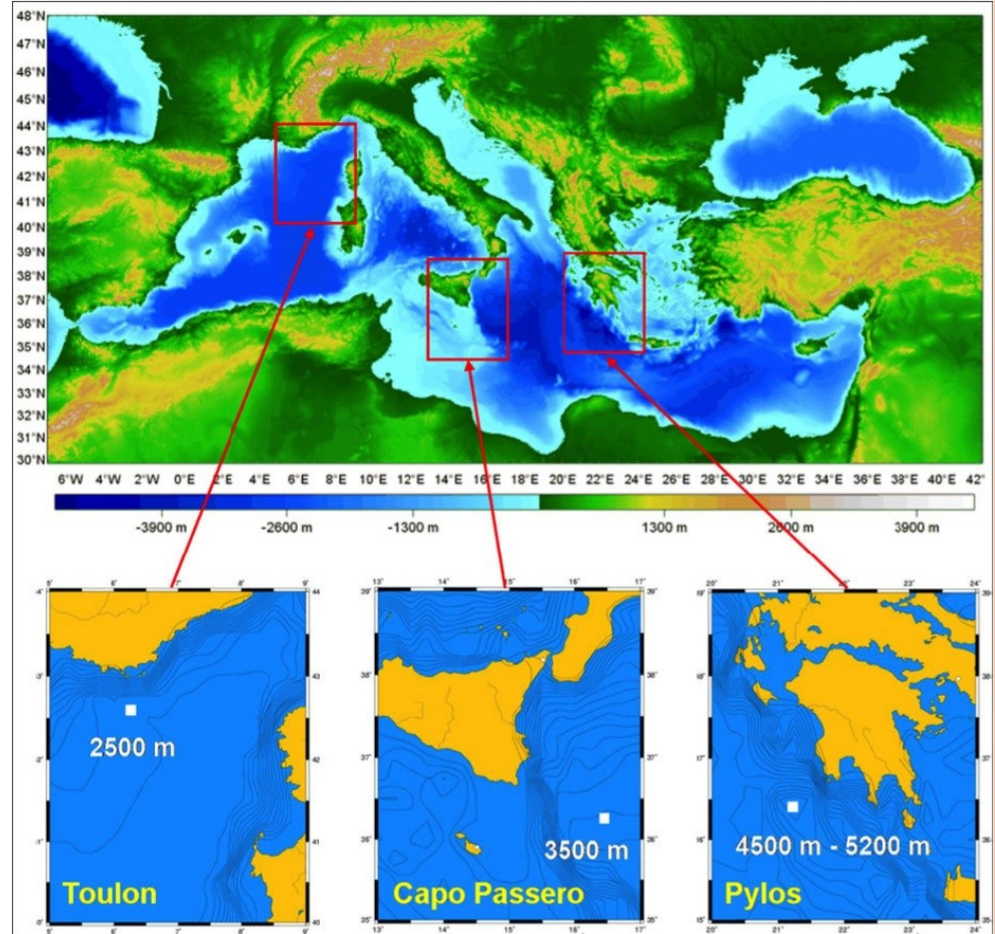
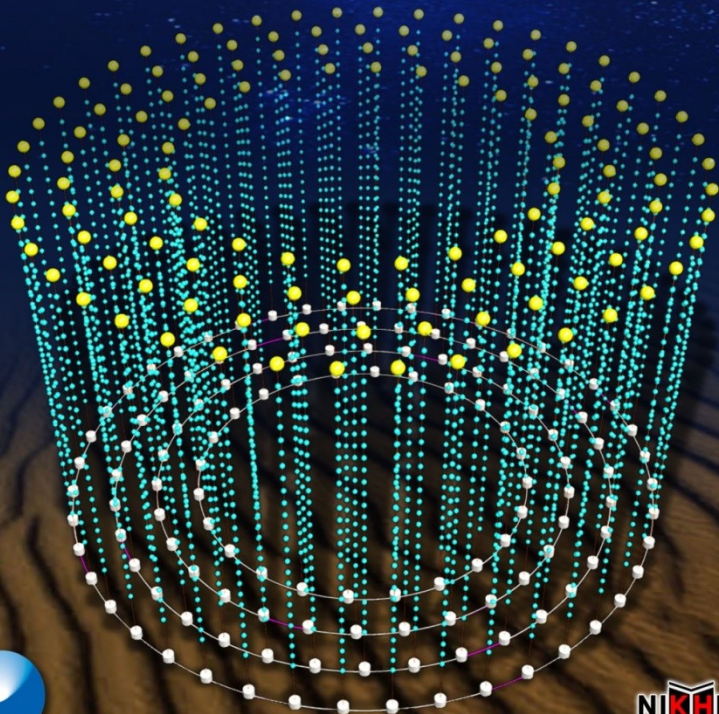
Multimessenger observations  
of an astrophysical neutrino  
source pp. 115, 146, & 147



# Next Generation Water Cherenkovs

## KM3NeT Design Study

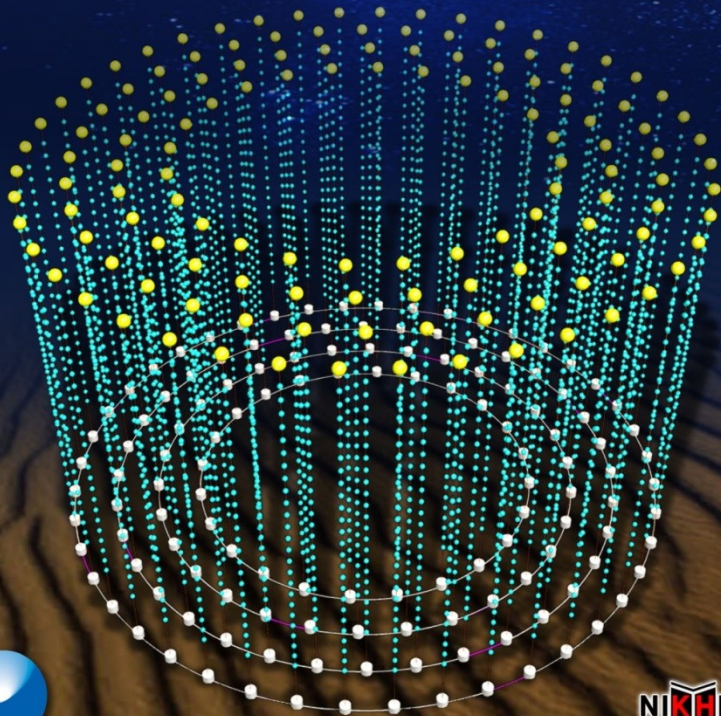
IceCube-sized detector in Mediterranean, with much better angular resolution ( $0.07^\circ$  @ 100 TeV)



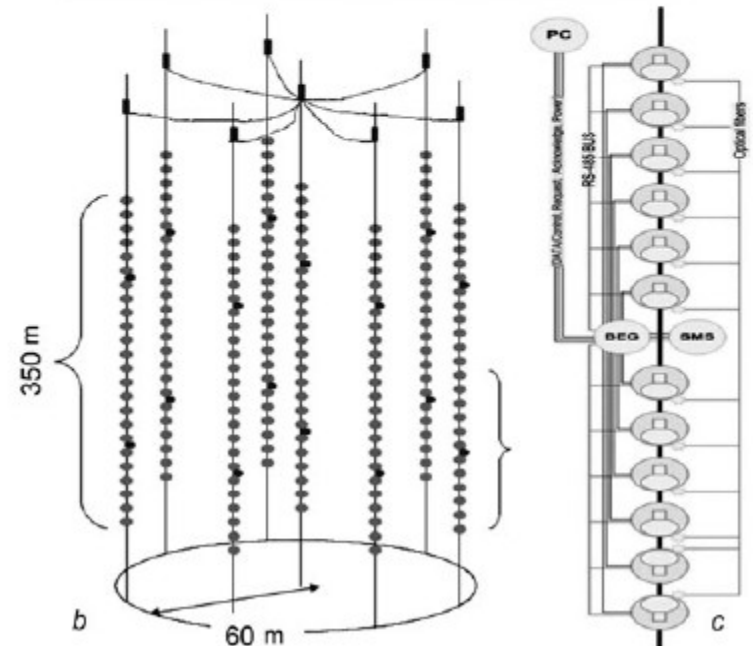
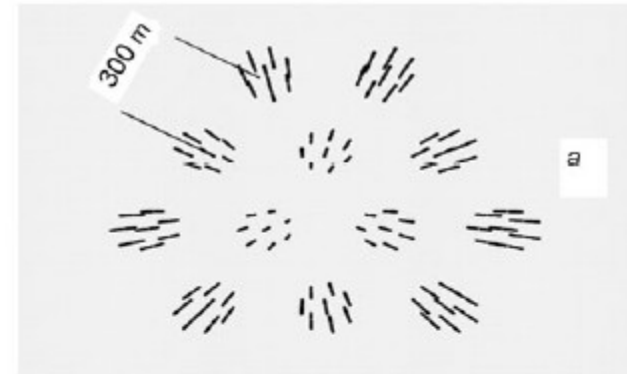
# Next Generation Water Cherenkovs

## KM3NeT Design Study

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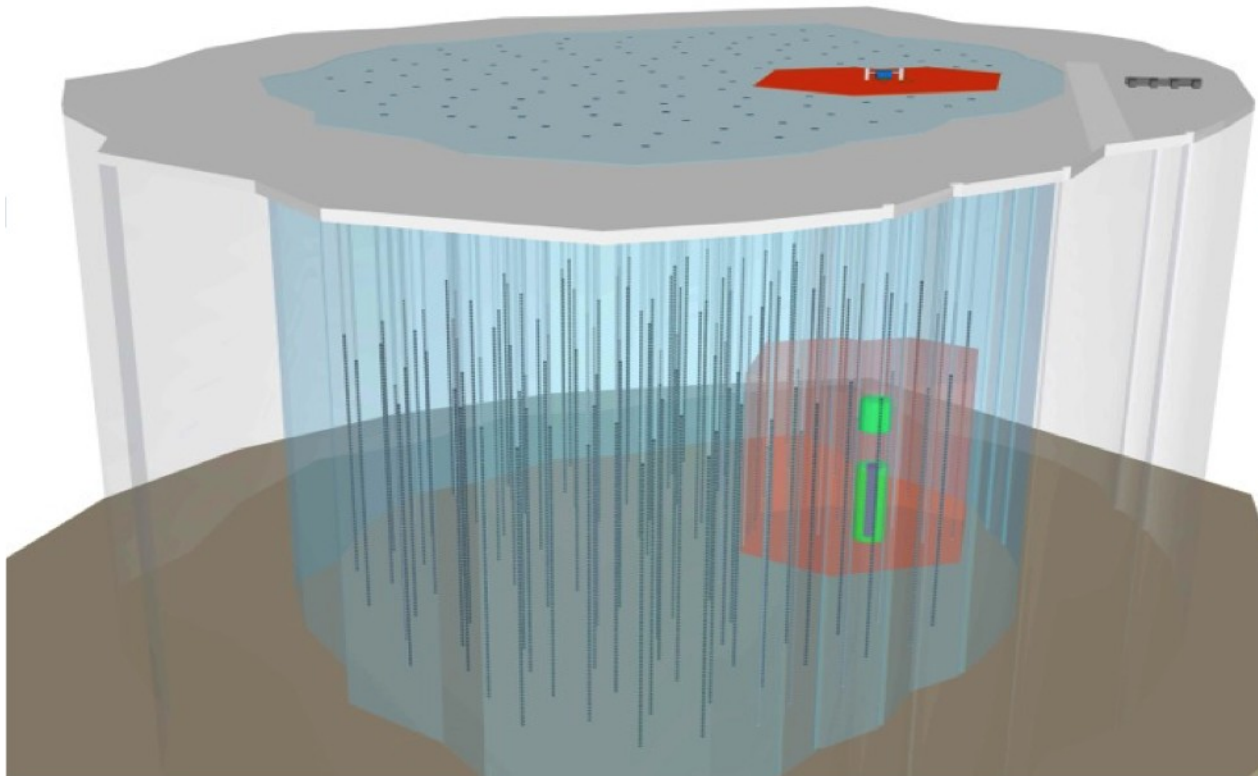


## Baikal-1000



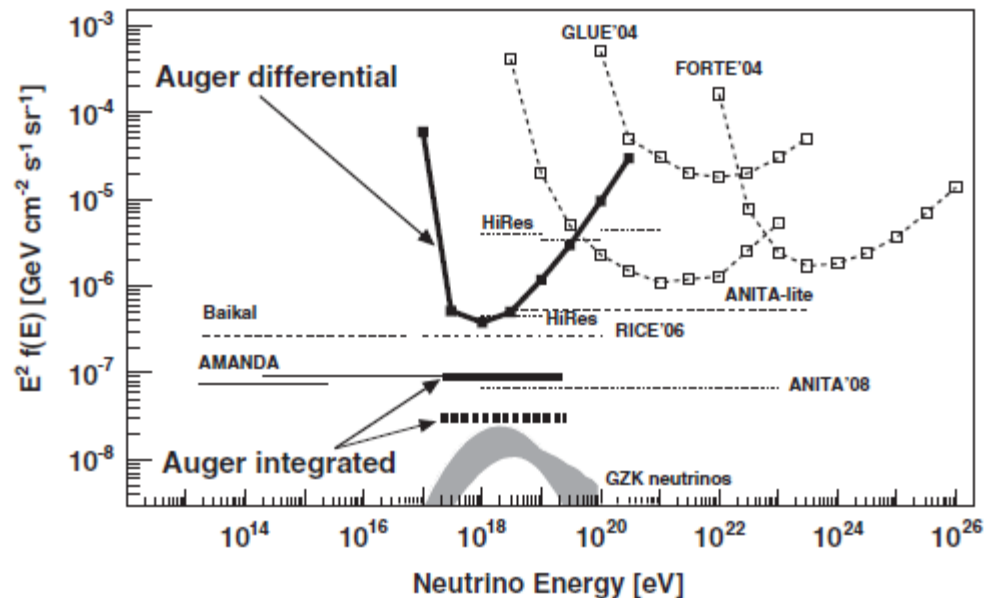
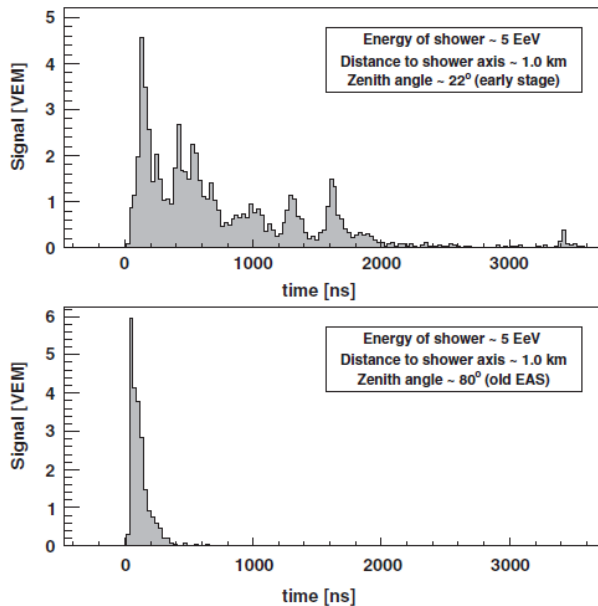
# Next Generation Water Cherenkovs

IceCube Gen2:



# Tau-Neutrino Detection By Air Showers

- Earth-skimming  $\nu_\tau$  interacts in Earth's crust to produce  $\tau$
- $\tau$  decay in atmosphere initiates characteristic air shower
- shower appears to be in early stage of development—typical horizontal shower is “old”
- searched for by Auger—no signal (*PRD* **79** (2009) 102001)





# High Energy Astroparticle Physics

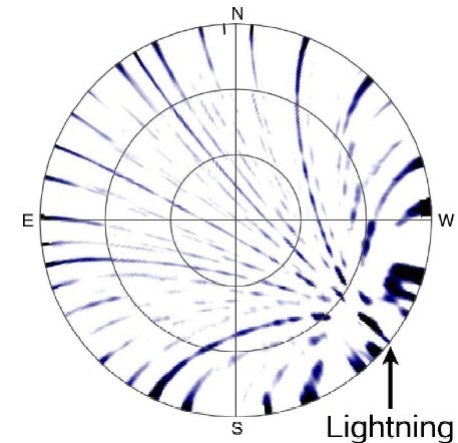
New Detection Techniques

32



# Radio-Frequency Detection of Air Showers and Neutrinos

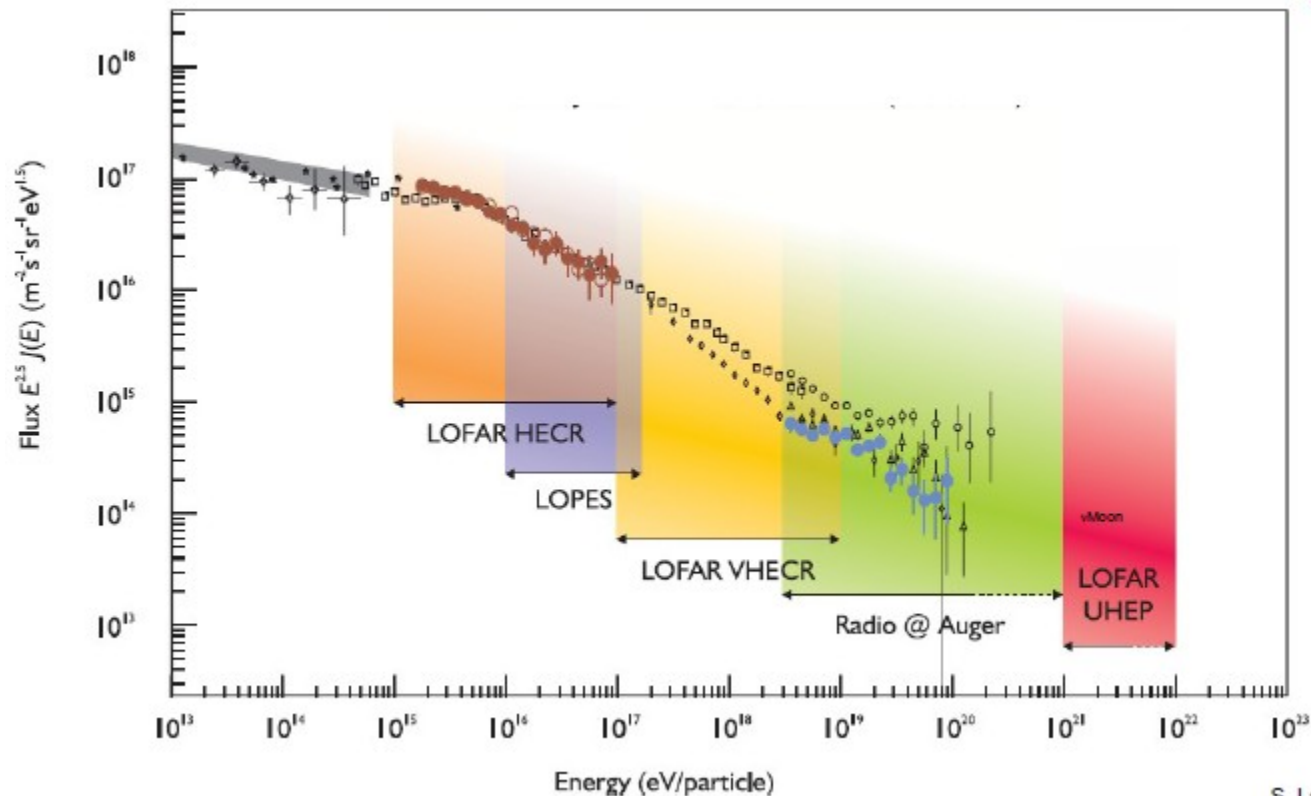
- Geosynchrotron emission (10–100 MHz)
  - synchrotron radiation from air-shower particles gyrating in Earth's magnetic field
  - advantages over fluorescence:
    - very high duty cycle (only wiped out by thunderstorms)
    - low attenuation (so, large effective area)
  - disadvantages:
    - interference (need radio-quiet sites)
    - high threshold ( $10^{17}$  eV)



- Radio Cherenkov (Askaryan effect) (0.1–2 GHz)
  - Cherenkov emission from neutrino-induced showers because of net negative charge
    - initially neutral shower develops ~20% negative bias because of annihilation of  $e^+$  and additional  $e^-$  from Compton scattering etc.
    - requires dense, radio-transparent medium
      - not air, not water

# Geosynchrotron Emission

- Studies run in association with Auger and KASCADE CR ground arrays
- A declared key science goal of LOFAR Collaboration



# LOFAR

LOW Frequency Array Radio  
(based in the Netherlands)

Mostly a radio astronomy facility, but good prospects for radio detection of UHECRs (see LOPES/KASCADE).

Also good for gravitational wave follow-up (excellent wide-field coverage)

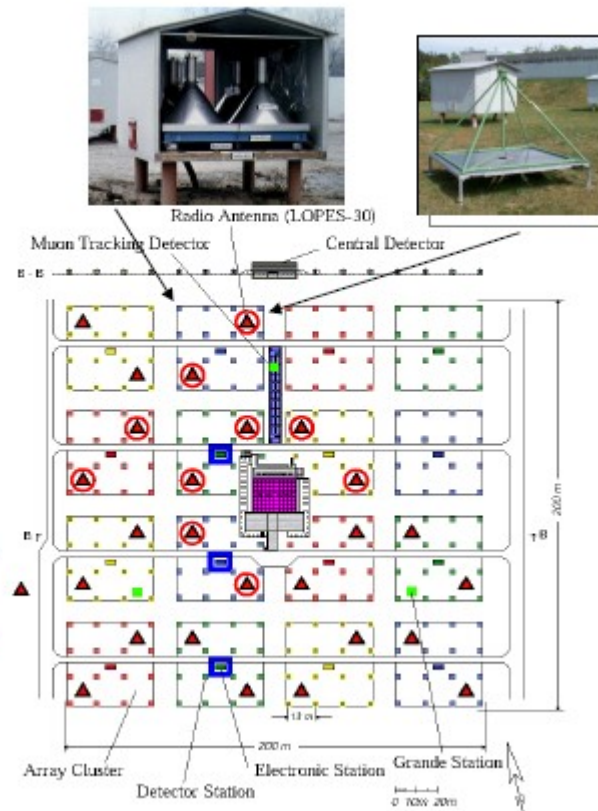


# LOPES/KASCADE

## KASCADE:

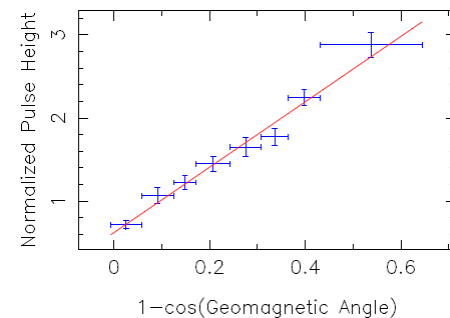
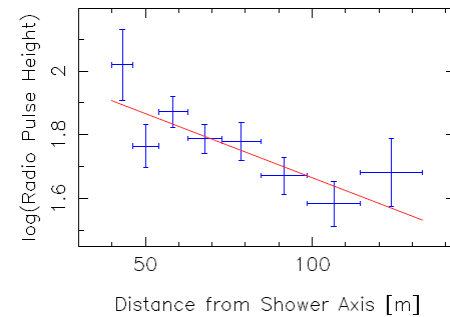
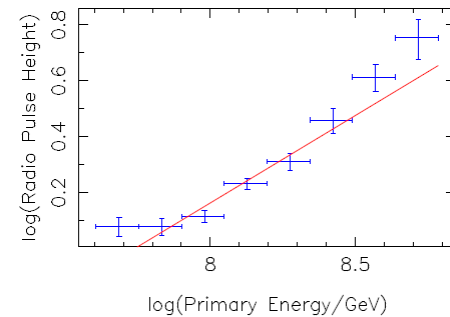
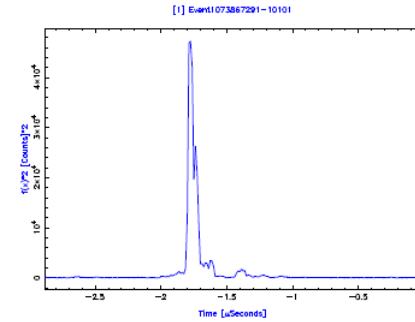
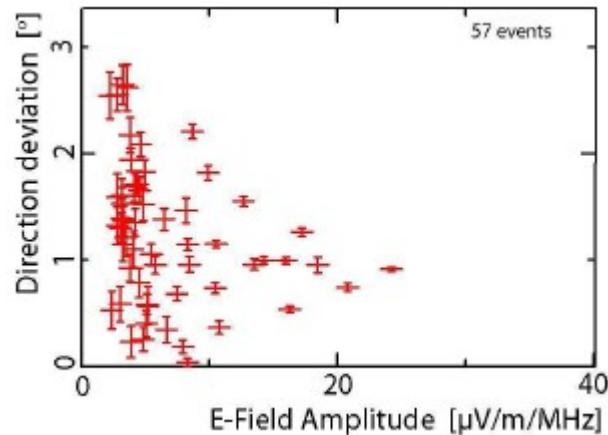
scintillator-based

- ground array
- LOPES (LOFAR Prototype Station)
- initially 10, now 30, low-frequency RF antennas triggered by KASCADE “large event” trigger
- KASCADE reconstruction
  - core position of air shower
  - its direction
  - its size



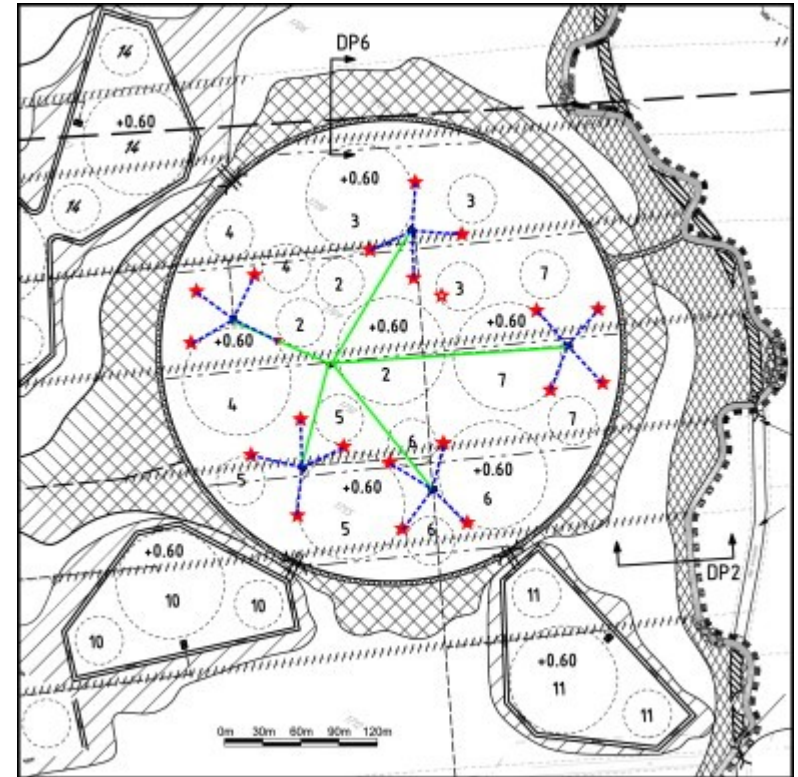
# LOPES/KASCADE

- First detection: January 2004
- strong coherent radio signal
  - coincident with KASCADE shower
  - reconstruction location agreed with KASCADE to  $0.5^\circ$
- Extensive data sample now accrued
- technique works well and suggested full LOFAR array
- (completed 2012) should be excellent
  - CR detector



# LOFAR as a cosmic ray detector

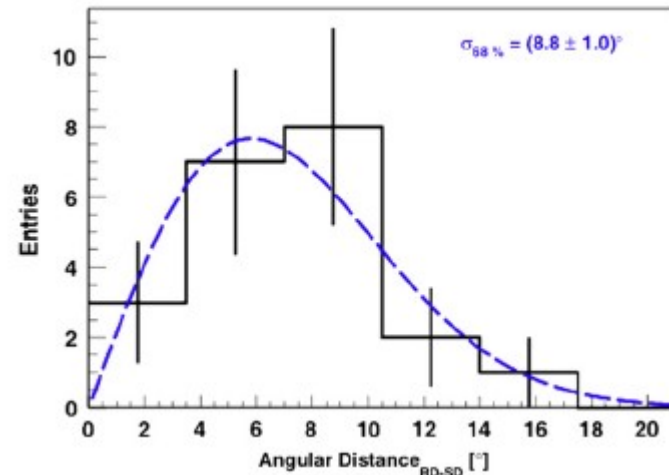
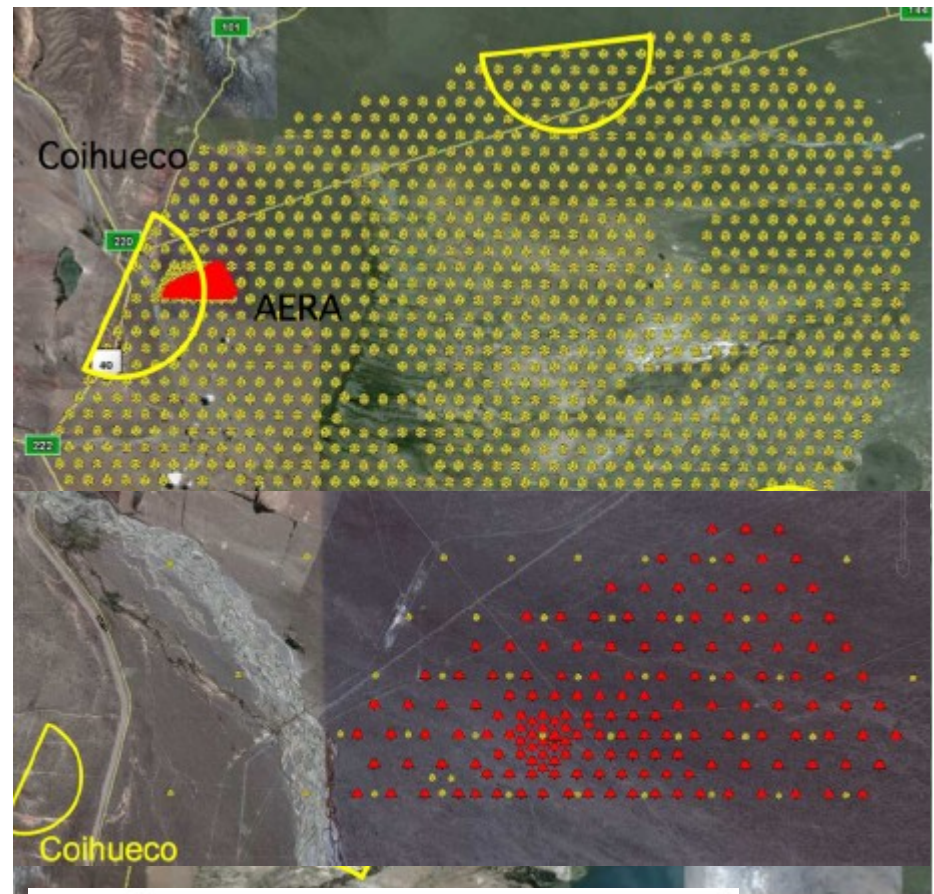
- Small scintillator-based air-shower array (LORA) set up in LOFAR core
  - plastic scintillator detectors from KASCADE, set up in 5 sets of 4
  - estimated energy resolution ~30%, angular resolution ~1%
  - combined running with LOFAR radio signals



Thoudam et al.,  
astro-ph/1102.0946v1

# Auger/AERA

- Preliminary studies using a few radio antennas at the Auger site gave promising results
- Plan to instrument 20 km<sup>2</sup> near Coihueco fluorescence telescope with 150 autonomous self-triggering radio antennas
  - 5000 events/year expected, 1000 above 10<sup>18</sup> eV
- Currently 124 radio stations covering 6 km<sup>2</sup> aperture



# Askaryan Effect

Effect demonstrated in sand(2000),

- rock salt (2004) and ice (2006)

- all done in laboratory at SLAC

- Applications to neutrino detection

- using the Moon as target

- GLUE (detectors are Goldstone RTs)

- NuMoon (Westerbork array; LOFAR)

- RESUN (EVLA)

- using ice as target

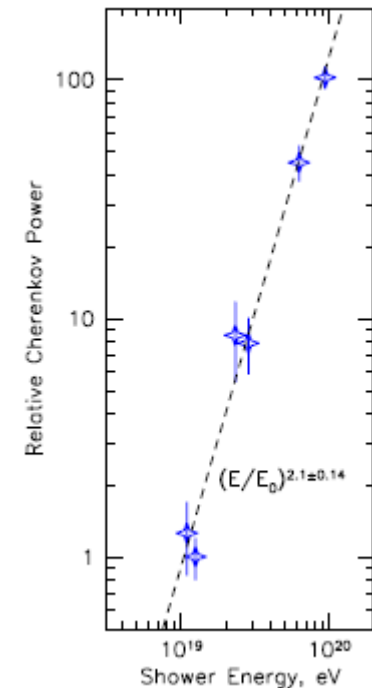
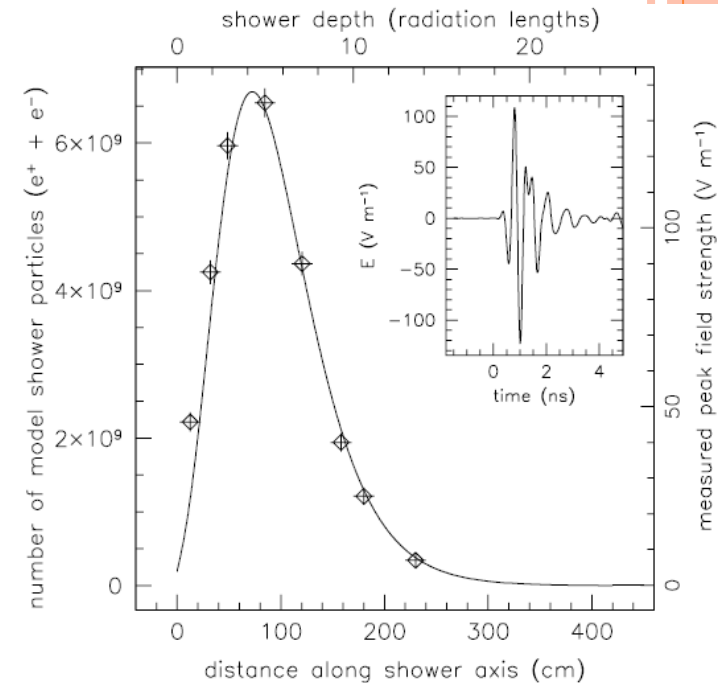
- FORTE (satellite observing Greenland ice sheet)

- RICE (co-deployed on AMANDA strings, viewing

- Antarctic ice)

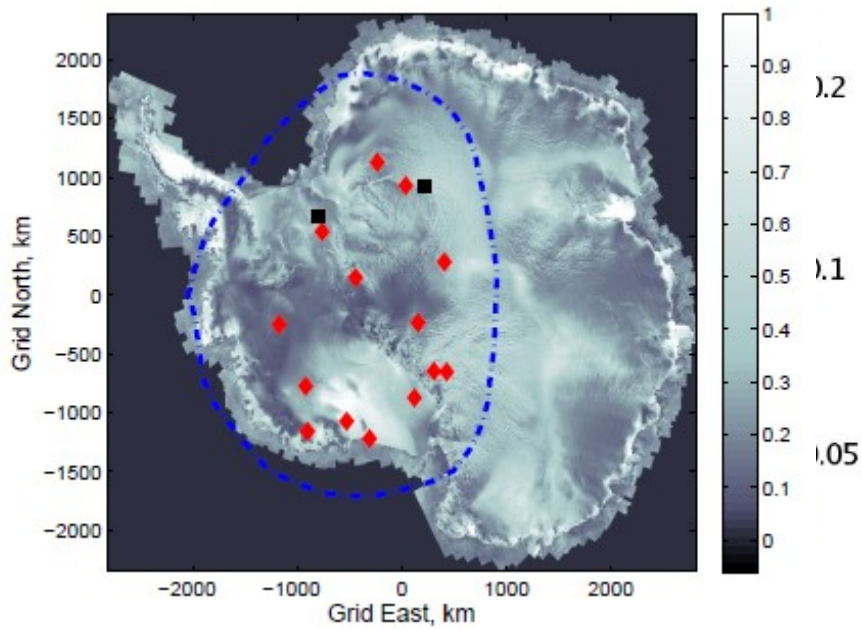
- ANITA (balloon-borne over Antarctica, viewing

- Antarctic ice)





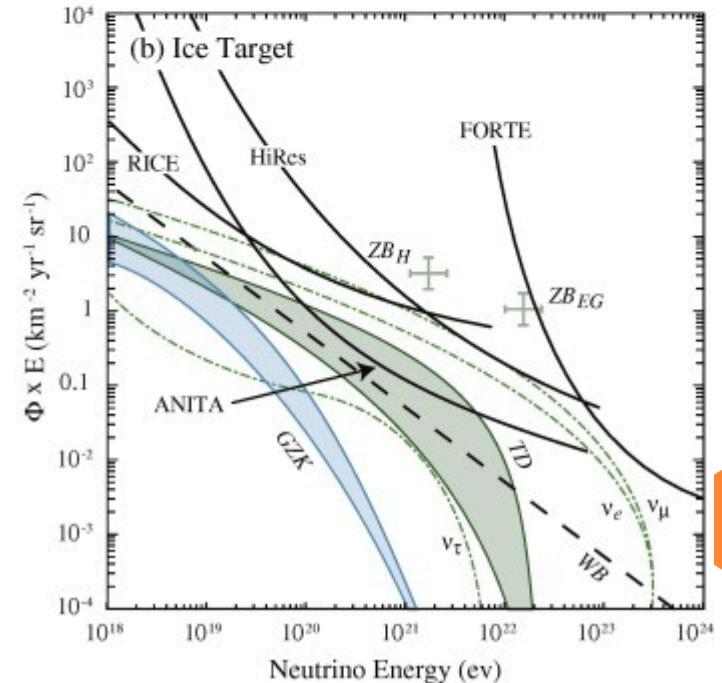
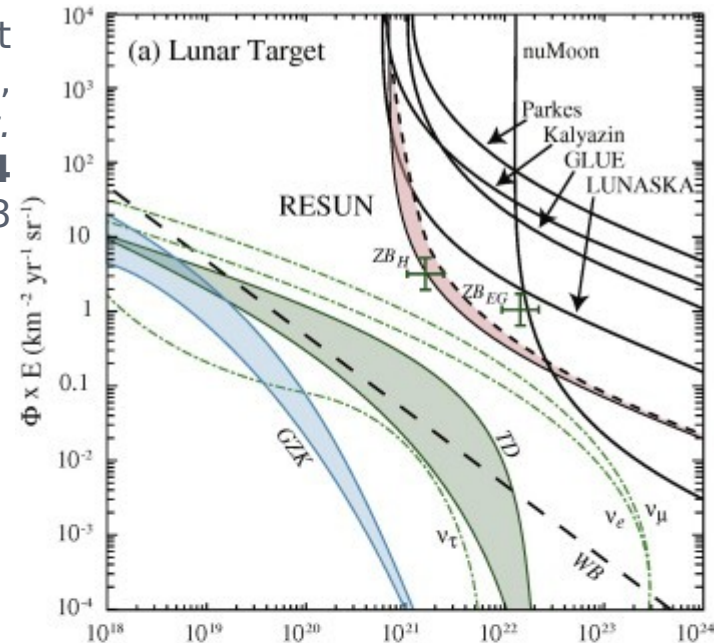
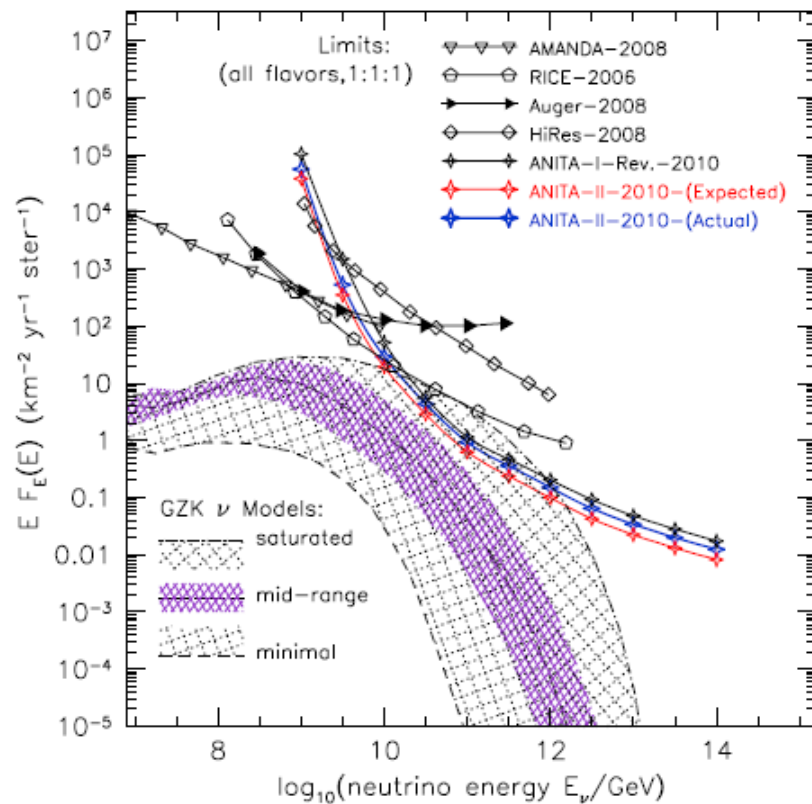
# Askaryan Effect: ANITA



# Askaryan Effect

- ANITA observed UHECRs (geosynchrotron signal)
- First possible signal (2017)

Jaeger et al.,  
Astropart. Phys. **34**  
(2010) 293



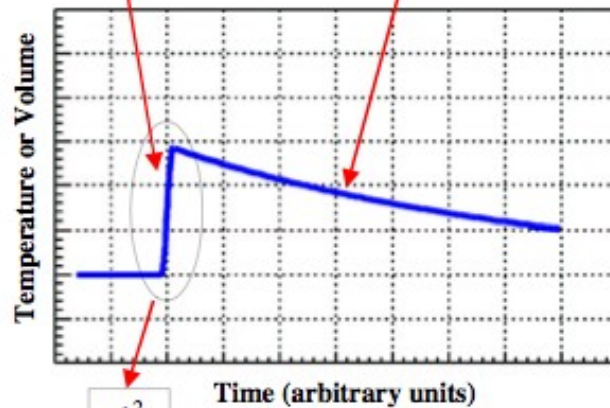
# Acoustic Detection (Showering Neutrinos)

- UHE ( $>1$  PeV) neutrinos interact fairly readily
  - on entering dense medium (water) they will initiate shower
    - this dumps energy in a thin cylinder ( $\sim 20$  m  $\times$  20 cm)
    - resulting pressure pulse spreads out from this cylinder in thin “pancake” perpendicular to incoming neutrino direction
    - produces characteristic bipolar acoustic pulse which can be detected by hydrophone array
  - advantages
    - extremely long attenuation length (several km)
      - very large volume can in principle be instrumented with relatively small number of hydrophones
    - hydrophone technology well established in underwater applications
      - can use off-the-shelf hardware
  - disadvantages
    - the sea is a very noisy place
      - identifying signal very challenging

# Principles

fast thermal energy deposition

slow heat diffusion



shower thermal energy density

$$p(\vec{r}, t) = \int_V \rho_E(\vec{r}') G(\vec{r} - \vec{r}', t) d^3\vec{r}'$$

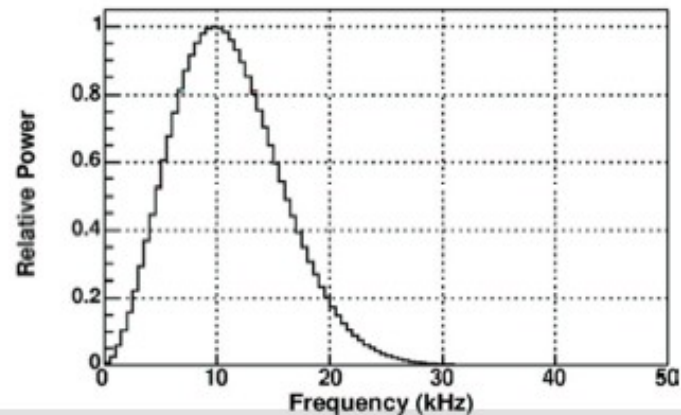
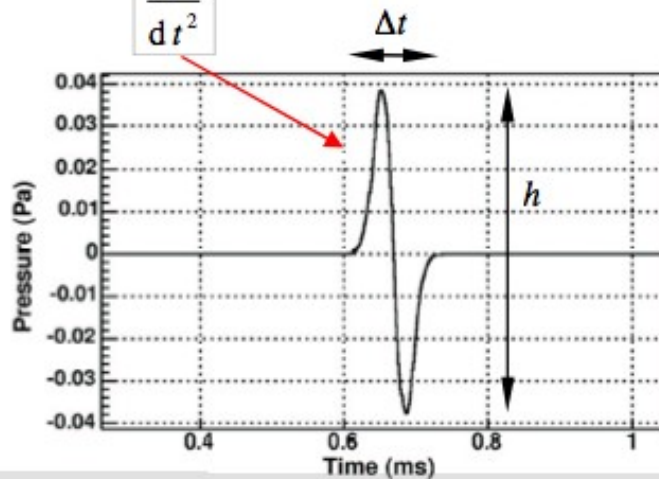
pulse due to a point source

$h \propto \beta/C_p$ , where :

$\beta$  = coefficient of thermal expansivity  
[O(10<sup>-4</sup>) K<sup>-1</sup> for water]

$C_p$  = specific heat capacity  
[water : 3.8×10<sup>3</sup> Jkg<sup>-1</sup>K<sup>-1</sup>]

$\Delta t \propto$  transverse shower size

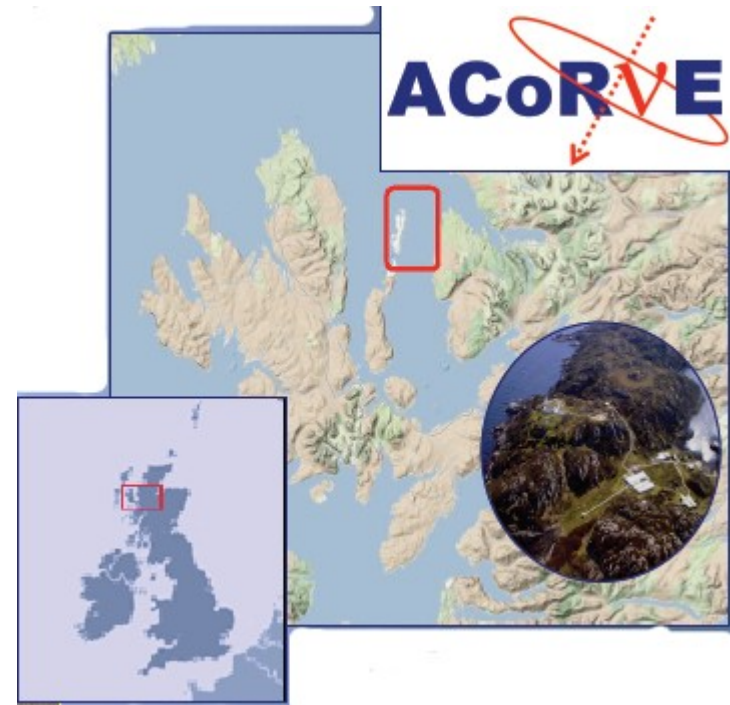


# Experiments

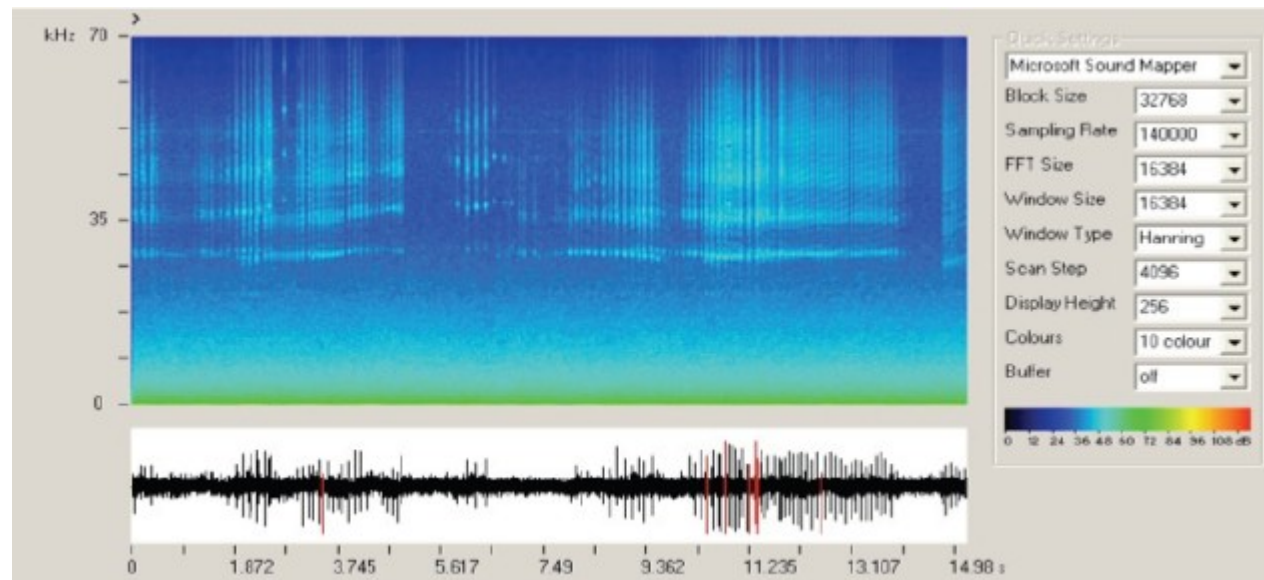
- **ACORnE**
  - UK feasibility study using military hydrophone array off Rona
- **AMADEUS**
  - co-deployed with ANTARES
- **Lake Baikal**
  - co-deployed with Baikal-200
- **ONDE**
  - part of NEMO (NEutrino Mediterranean Observatory)
  - NB: NOT Neutrino Ettore Majorana Observatory!
- **SAUND-I and SAUND-II**
  - in Bahamas, originally using military array, now extended
- **SPATS**
  - at South Pole, associated with IceCube

# ACOR<sub>v</sub>E

- MoD hydrophone array off NW coast of Scotland
- successful R&D project showing
  - feasibility of technique
  - array geometry not optimal
  - (not designed for neutrinos!)

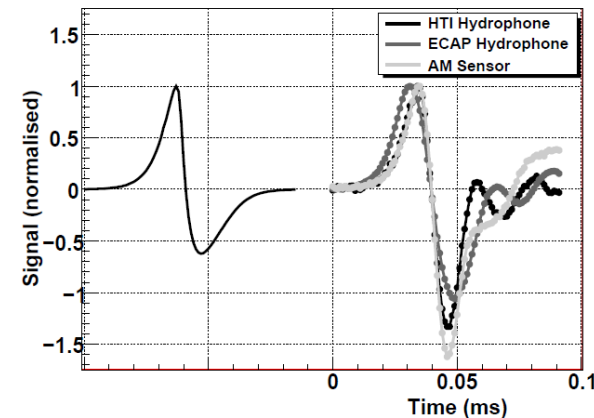
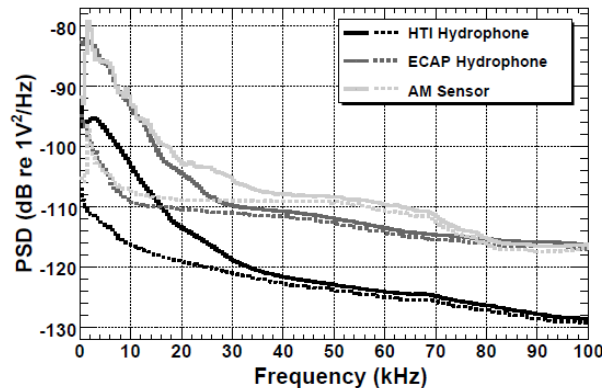
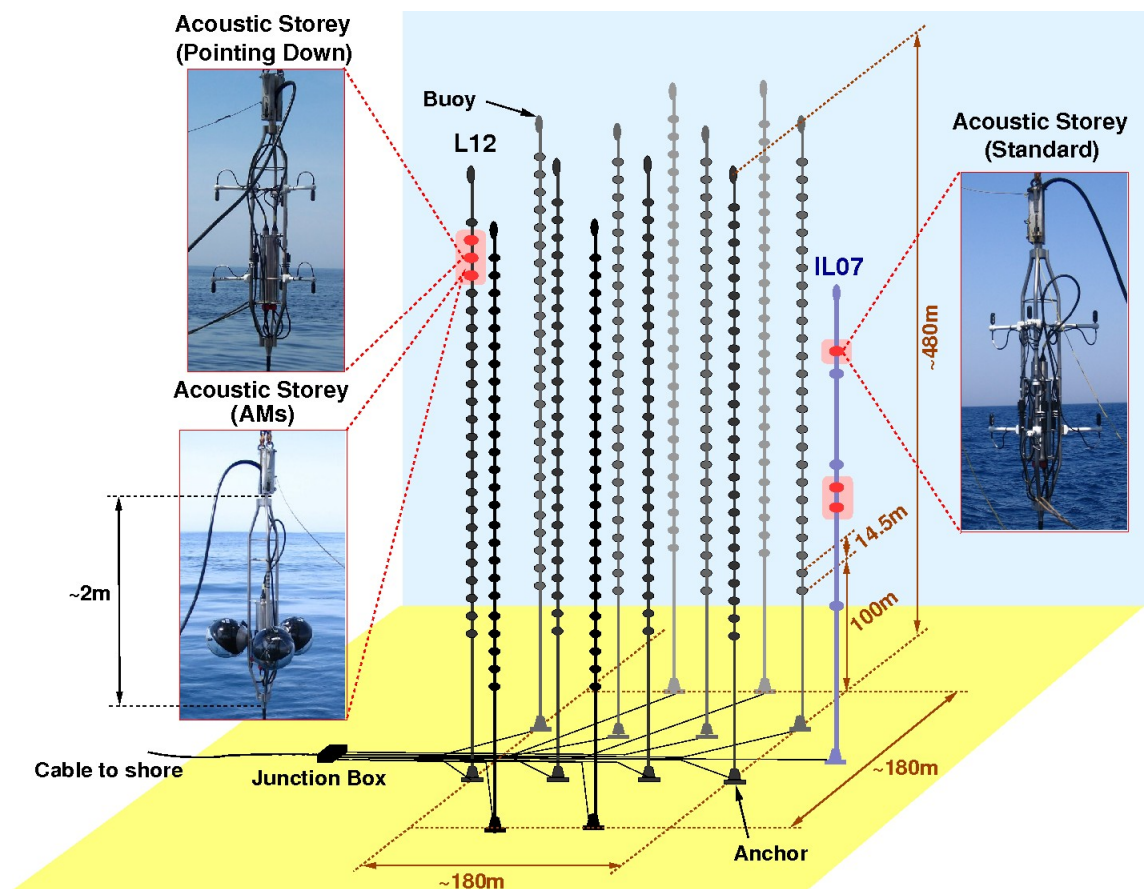


Example of background source—dolphin clicks!



# AMADEUS

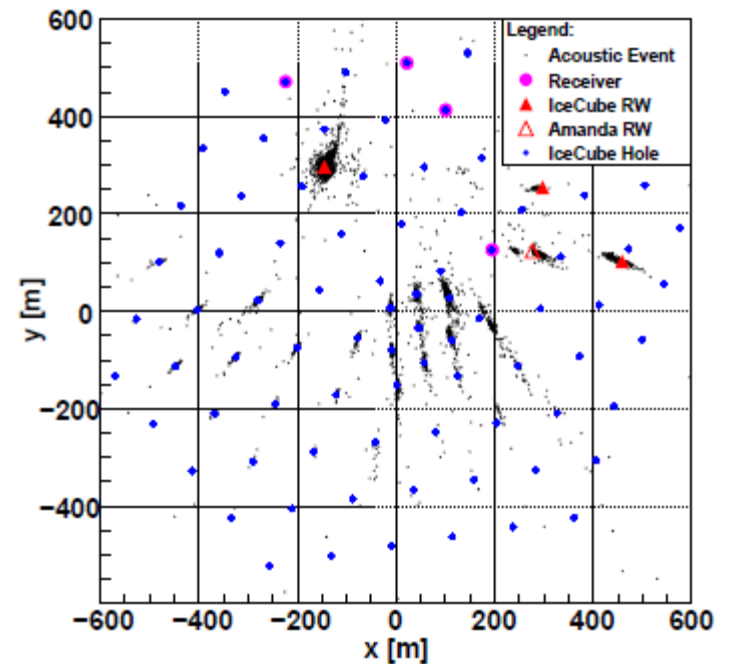
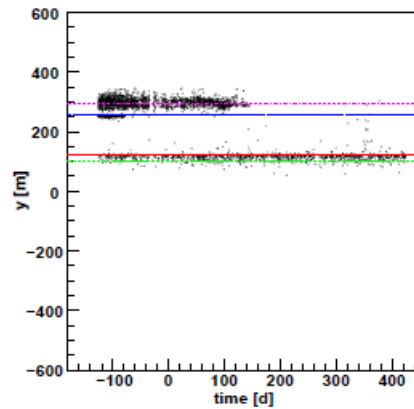
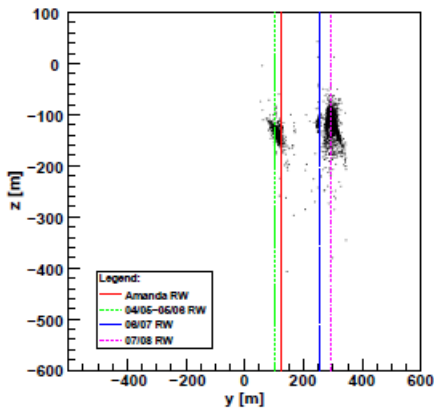
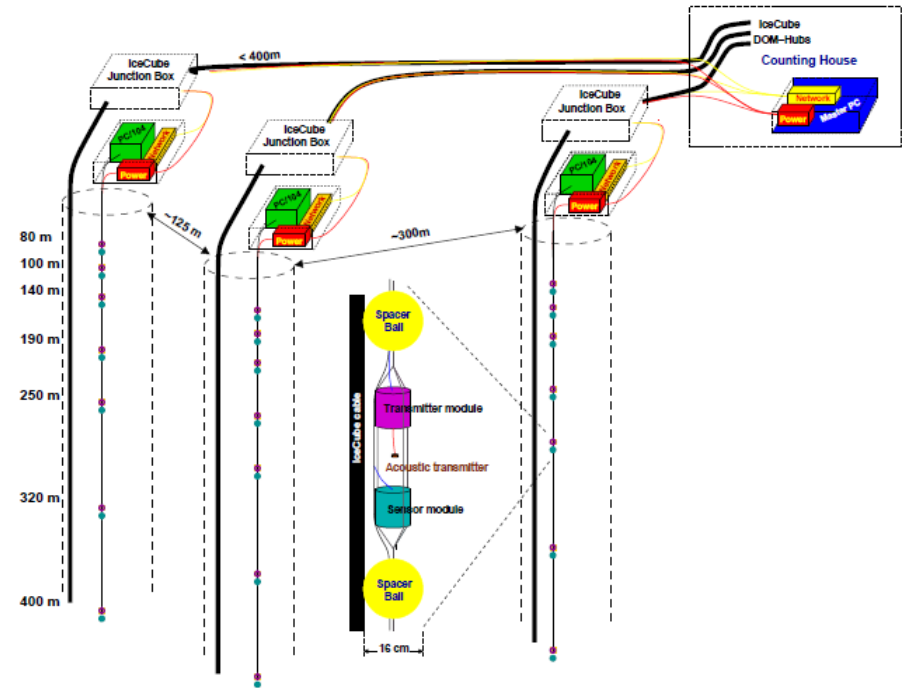
- Acoustic storeys added to ANTARES strings
  - R&D project comparing different hydrophones
  - feasibility study for KM3NeT



# SPATS

Acoustic sensors on strings deployed in association

- with IceCube
- very good at detecting
- IceCube drilling and water storage activities!

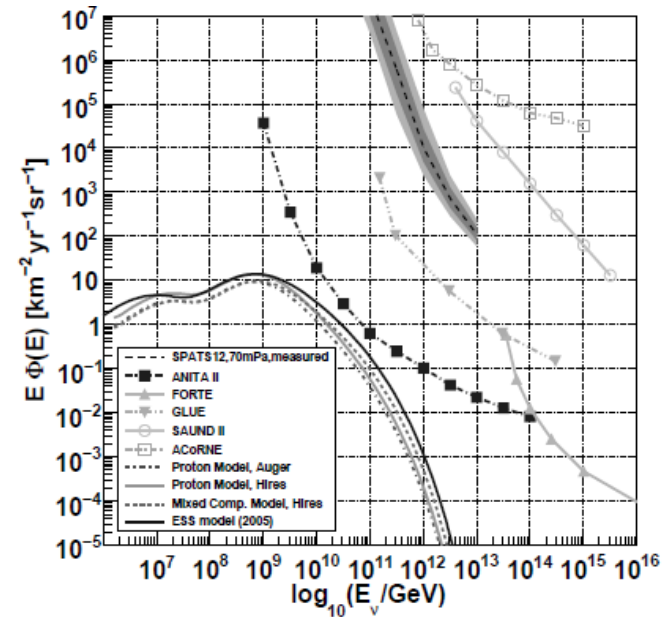




# Acoustic Detection: Summary

Experiments so far are R&D

- projects/feasibility studies
- limits not competitive with
  - radio at present
  - Future strategy mostly
  - co-deployment with
- large optical Cherenkovs
- improves high-energy
  - sensitivity
  - likely future direction: super-hybrid experiments with optical Cherenkov, acoustic and radio elements, plus air-shower array if appropriate
    - most nearly realised at South Pole with IceCube/IceTop/RICE/SPATS



# Neutrino Detection: Summary

- High-energy neutrinos could provide information on
  - acceleration processes in high-energy astrophysics
  - GZK cut-off in cosmic rays
  - dark matter (see next lecture)
- Detection still in infancy
  - only IceCube has been large enough
- Various promising techniques
  - water Cherenkov at lower energies
  - radio and possibly acoustic at high end
- Hybrid experiments feasible at many sites