

Lecture 4

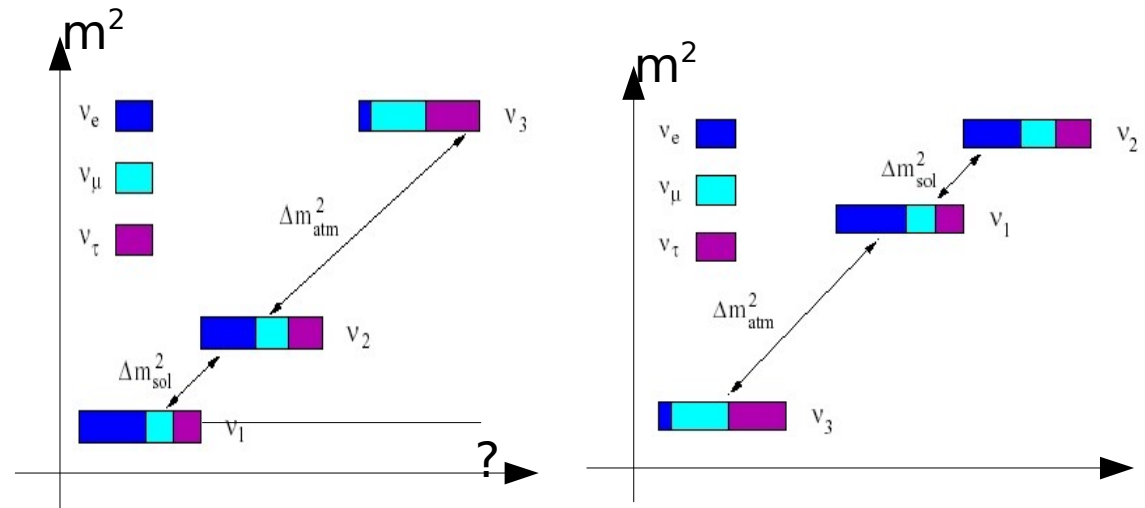
The Future

The Quest

$$\begin{pmatrix} c_{13} & 0 & s_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{pmatrix}$$

Value of δ ?

Normal or Inverted mass hierarchy?



- Better estimates of the oscillation parameters using accelerators
- Is θ_{23} maximal?
- Is the neutrino Majorana?
- What is the absolute mass?

$$U_{PMNS} = \begin{pmatrix} 0.8 & 0.5 & 0.15 \\ 0.4 & 0.7 & 0.6 \\ 0.4 & 0.5 & 0.7 \end{pmatrix}$$

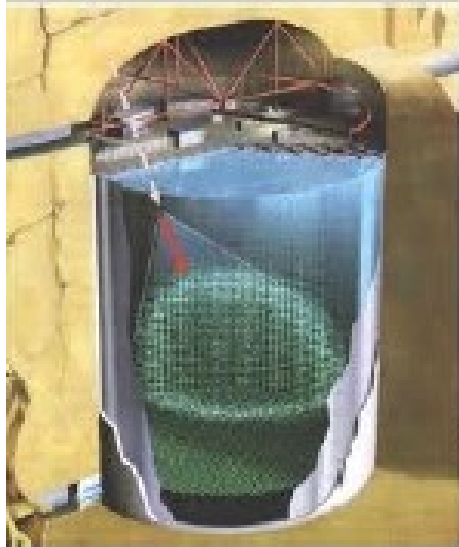
$$U_{CKM} = \begin{pmatrix} 0.975 & 0.222 & 0.004 \\ 0.221 & 0.97 & 0.04 \\ 0.01 & 0.04 & 0.999 \end{pmatrix}$$

?

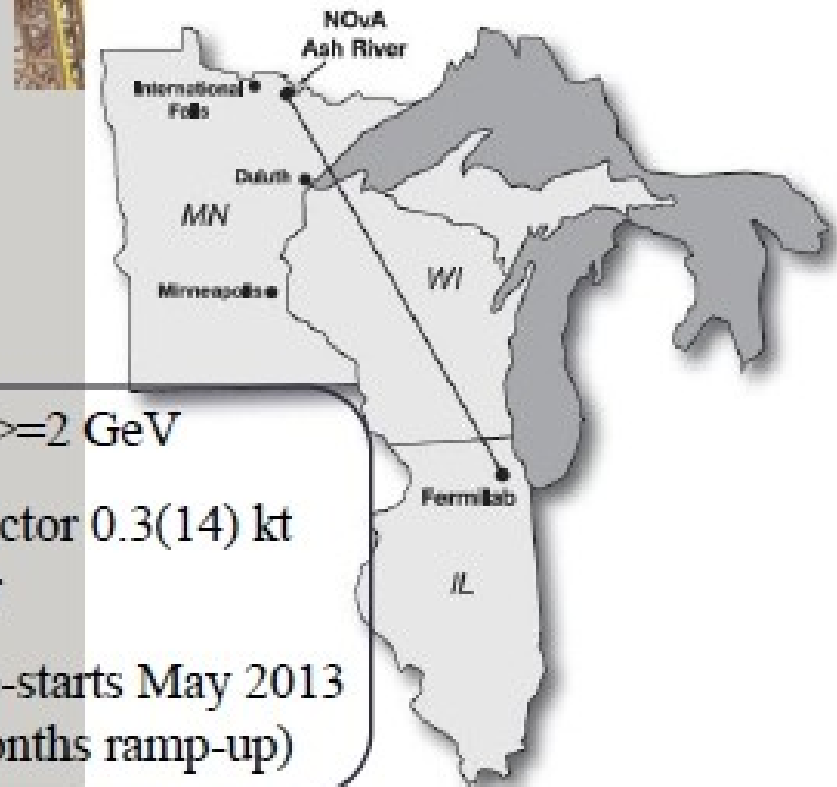
To The Future and Beyond!

Current Experiments

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- $L=295\text{km}$, $\langle E \rangle=0.7\text{GeV}$
- ND280 Near Detector, SuperK (22.5 kt) as Far Detector
- JPARC beam: currently 200kW ramping up to 700kW (<2019)



- $L=810\text{ km}$, $\langle E \rangle=2\text{ GeV}$
- Near(Far) Detector 0.3(14) kt liquid scintillator
- NUMI beam re-starts May 2013 @ 700 kW (6 months ramp-up)

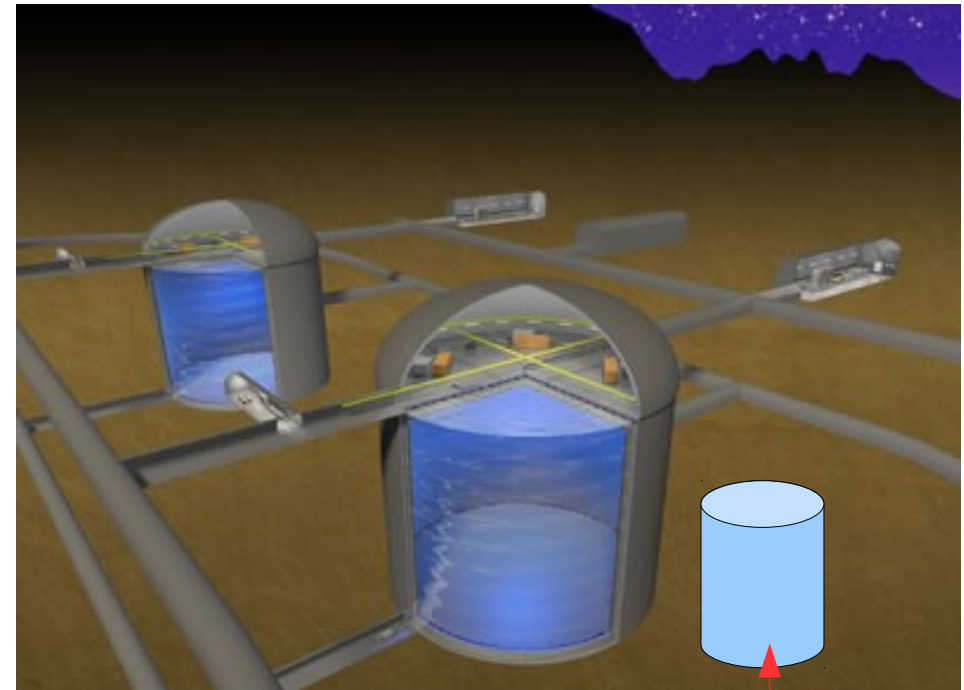
Next generation

DUSEL Underground Neutrino Experiment (DUNE)



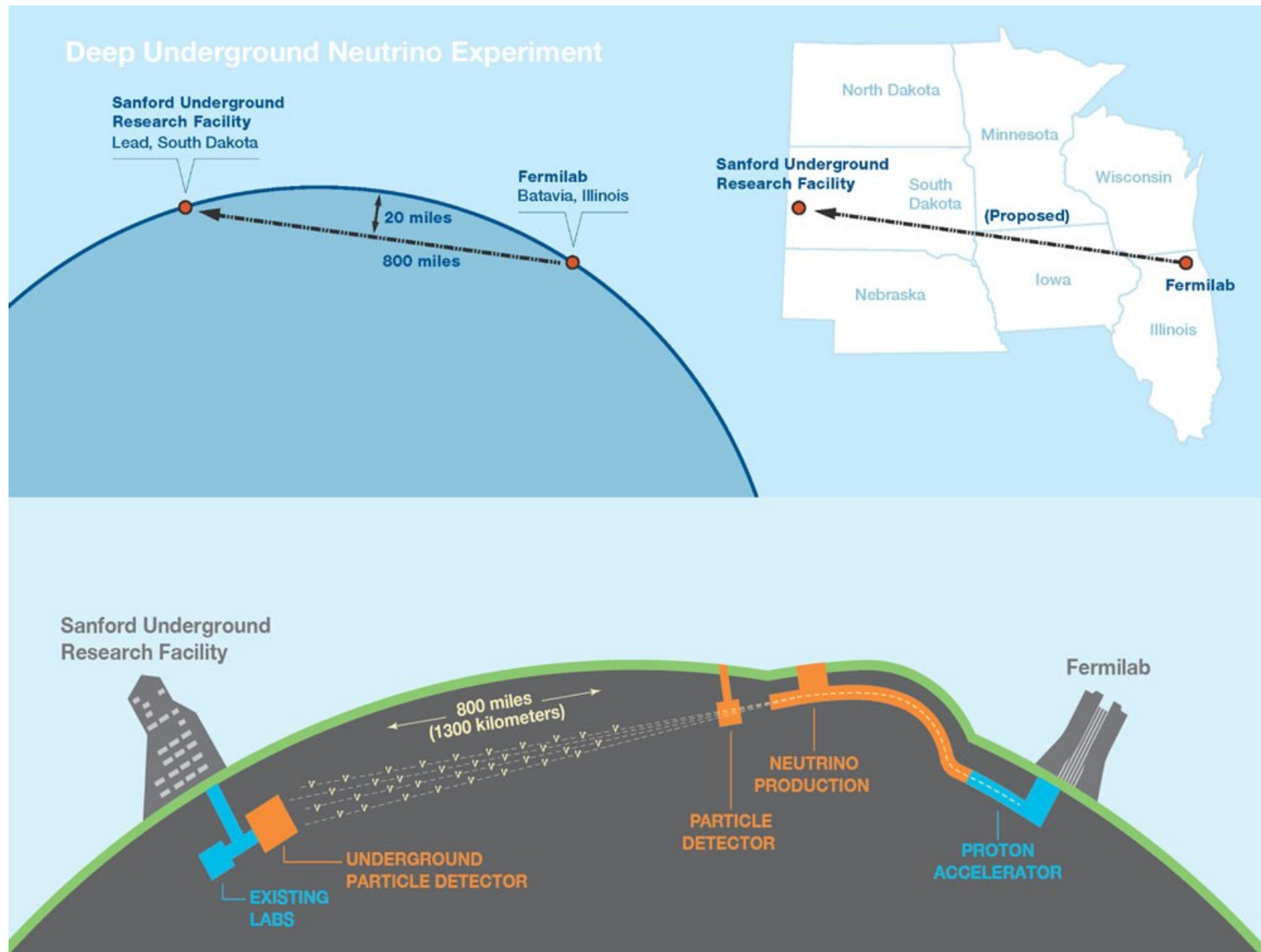
- ▶ MW beams
- ▶ multi-kton far detectors

Hyper-Kamiokande

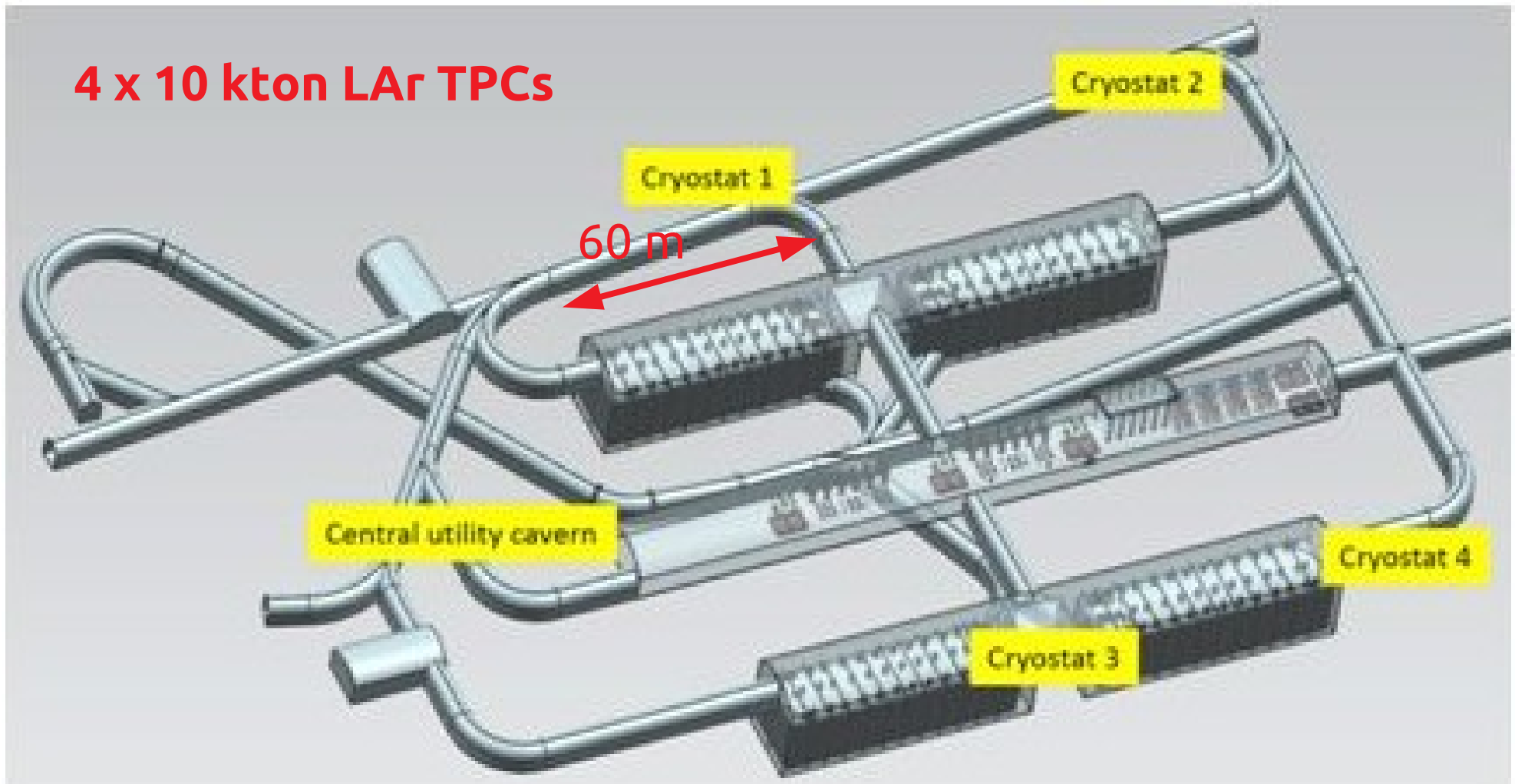


SK (to scale'ish)

DUNE in the USA

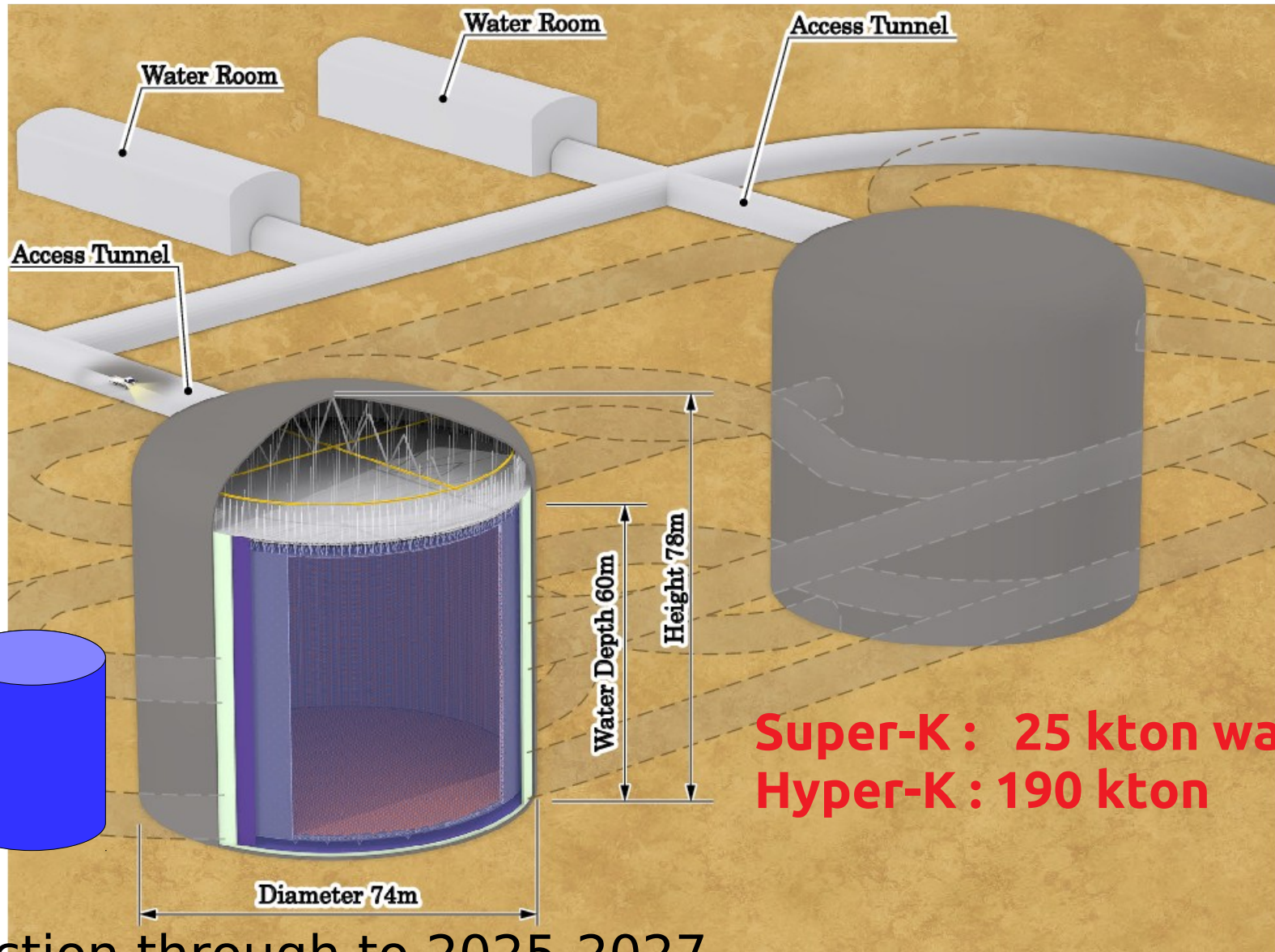


DUNE Far Detector



Construction through to 2025-2028

Hyper-Kamiokande



Construction through to 2025-2027

Dune / HK Comparison

	DUNE	Hyper-K	T2K
Beam Energy	3 GeV	0.7 GeV	0.7 GeV
Baseline (L)	800 km	295 km	295 km
Beam Power	1.2 MW	0.75 MW	0.5 MW
Type of Beam	Wideband	Off-axis	Off-axis
Mass of far detector	70 kton	190 kton	22.5 kton
Technology	Liquid Ar TPC	Water Cerenkov	Water Cerenkov
Running from	2028'ish	2028'ish	Now

CP violation and the Mass Hierarchy

CP violation and Mass Hierarchy

Measuring δ_{CP} is the ultimate goal of neutrino oscillation experiments. How?

$$\text{Prob}(\nu_{\alpha} \rightarrow \nu_{\beta}) = \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2(\Delta m_{ij}^2 \frac{L}{4E})$$

$$+ 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin(\Delta m_{ij}^2 \frac{L}{2E})$$

= 0 if $\alpha = \beta$

CP violation can only take place in *appearance* experiments

Look for $P(\nu_{\mu} \rightarrow \nu_e) \neq P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e)$

In all it's naked glory

$$P(\nu_\mu(\bar{\nu}_\mu) \rightarrow \nu_e(\bar{\nu}_e)) = P_1 + P_2 + P_3 + P_4$$

$$P_1 = \sin^2 \theta_{23} \sin^2 2\theta_{13} \left(\frac{\Delta_{13}}{B_{-+}} \right)^2 \sin^2 \left(\frac{B_{-+}}{2} L \right)$$

$$P_2 = \cos^2 \theta_{23} \sin^2 2\theta_{12} \left(\frac{\Delta_{12}}{A} \right)^2 \sin^2 \left(\frac{A}{2} L \right)$$

$$P_3 = J \cos \delta \cos \left(\frac{\Delta_{23}}{2} L \right) \left(\frac{\Delta_{12}}{A} \frac{\Delta_{13}}{B_{-+}} \right) \sin \left(\frac{A}{2} L \right) \sin \left(\frac{B_{-+}}{2} L \right)$$

$$P_4 = \pm J \sin \delta \sin \left(\frac{\Delta_{23}}{2} L \right) \left(\frac{\Delta_{12}}{A} \frac{\Delta_{13}}{B_{-+}} \right) \sin \left(\frac{A}{2} L \right) \sin \left(\frac{B_{-+}}{2} L \right)$$

$$\Delta_{ij} = \frac{\Delta m_{ij}^2}{2E} \quad A = \sqrt{2} G_F N_e$$

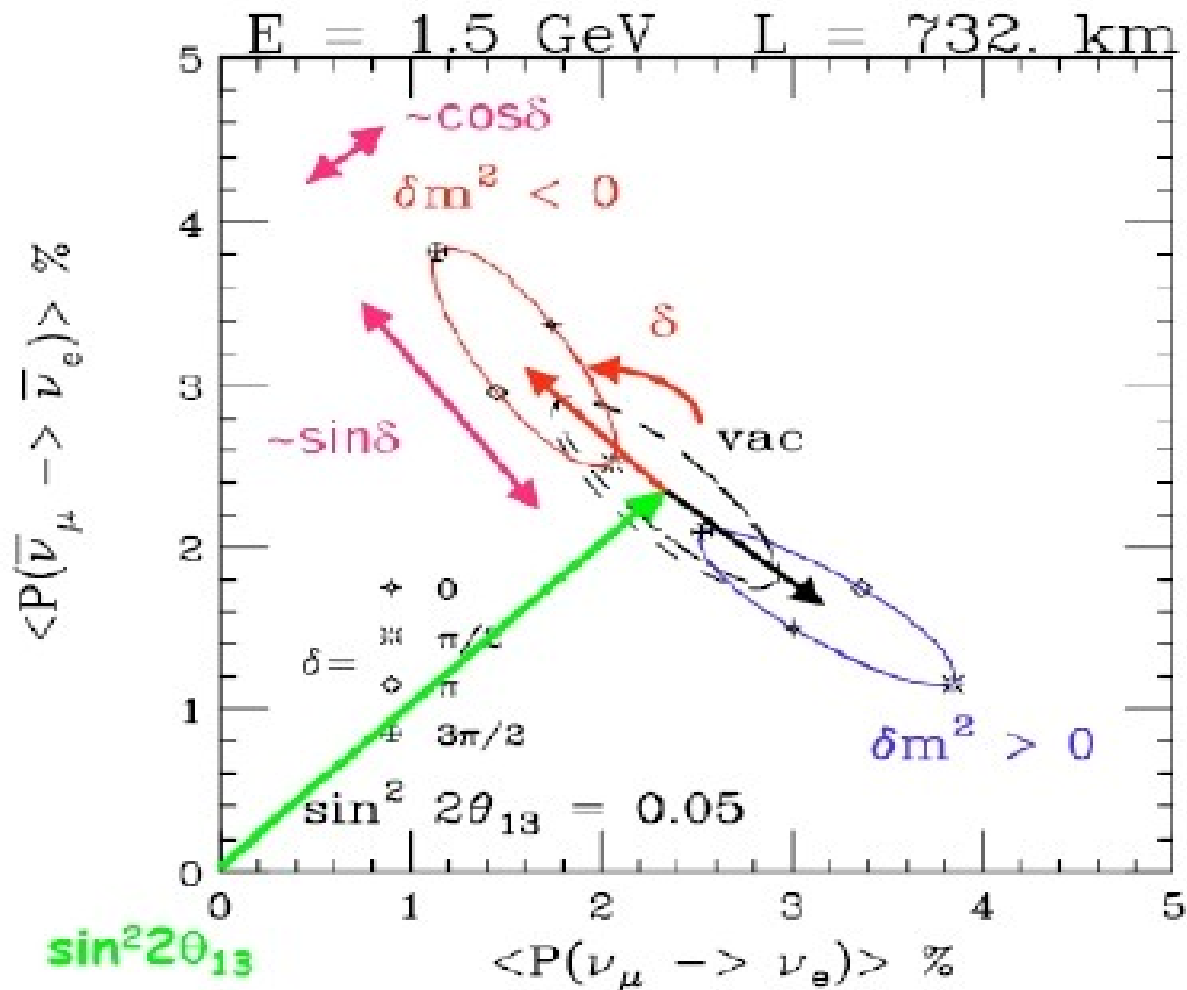
$$B_{-+} = |\Delta_{13} \mp A|$$

$$J = \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13}$$

- θ_{13}
- $\theta_{23} > 45$ or $\theta_{23} < 45$
- $\text{Sign}(\Delta m_{23}^2)$
- δ_{CP}

Degeneracies

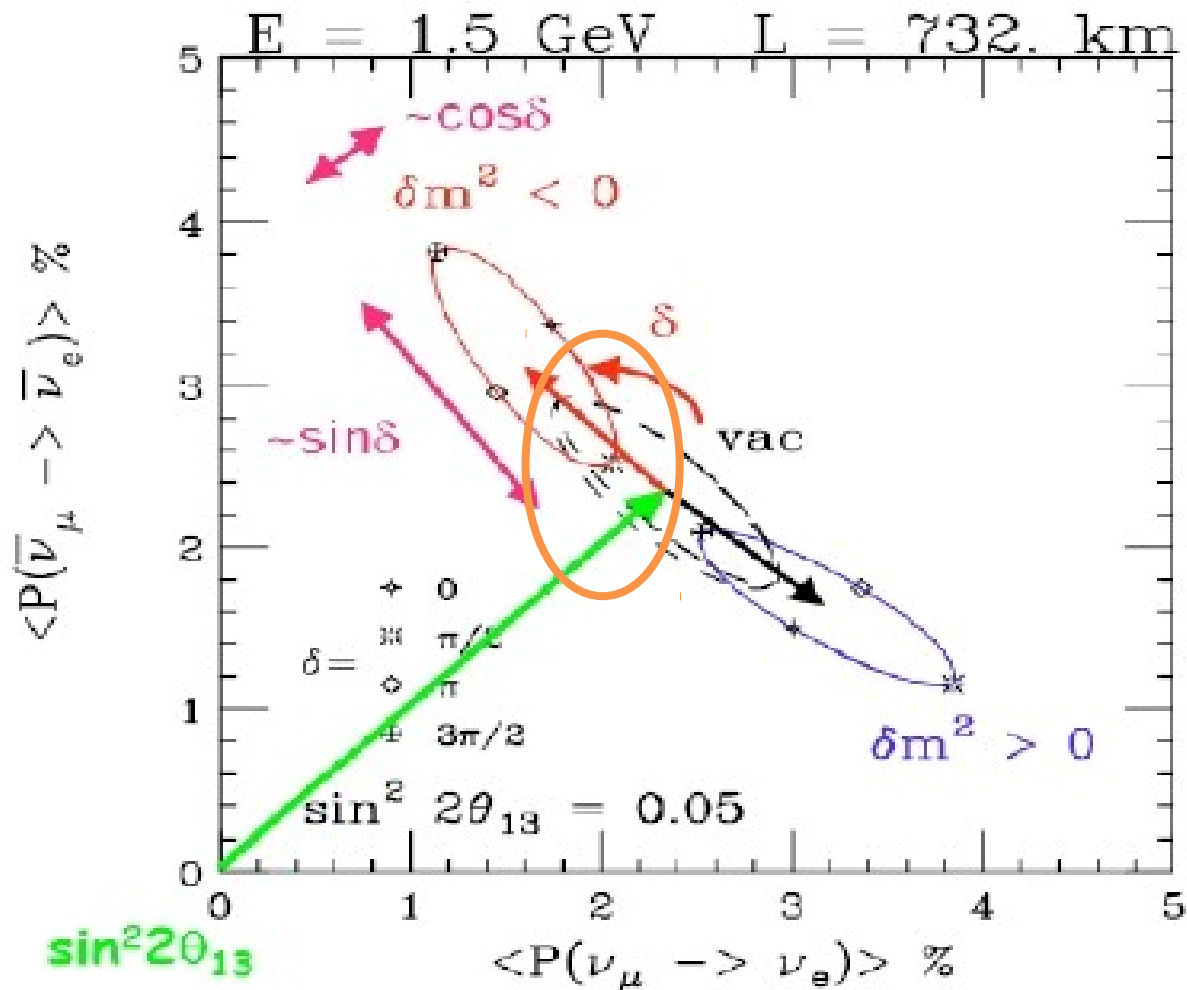
Experiments only measure at most two numbers; but probability has three unknowns and parameters with errors.



Need more than one measurement at different L/E to disentangle the parameter space

Degeneracies

Experiments only measure at most two numbers; but probability has three unknowns and parameters with errors.



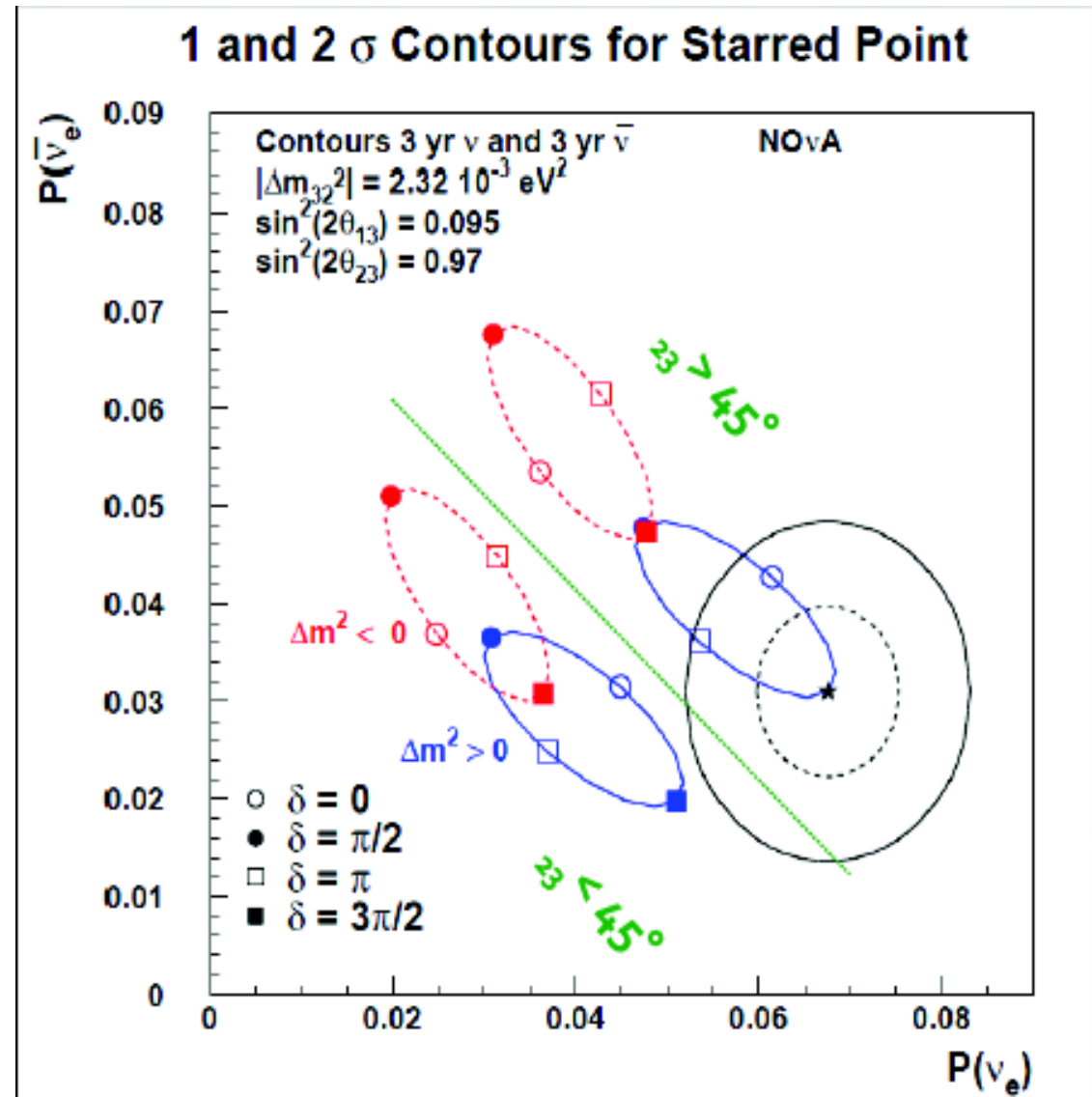
Need more than one measurement at different L/E to disentangle the parameter space

Mass Hierarchy measurements

As baseline grows,
matter effects increase

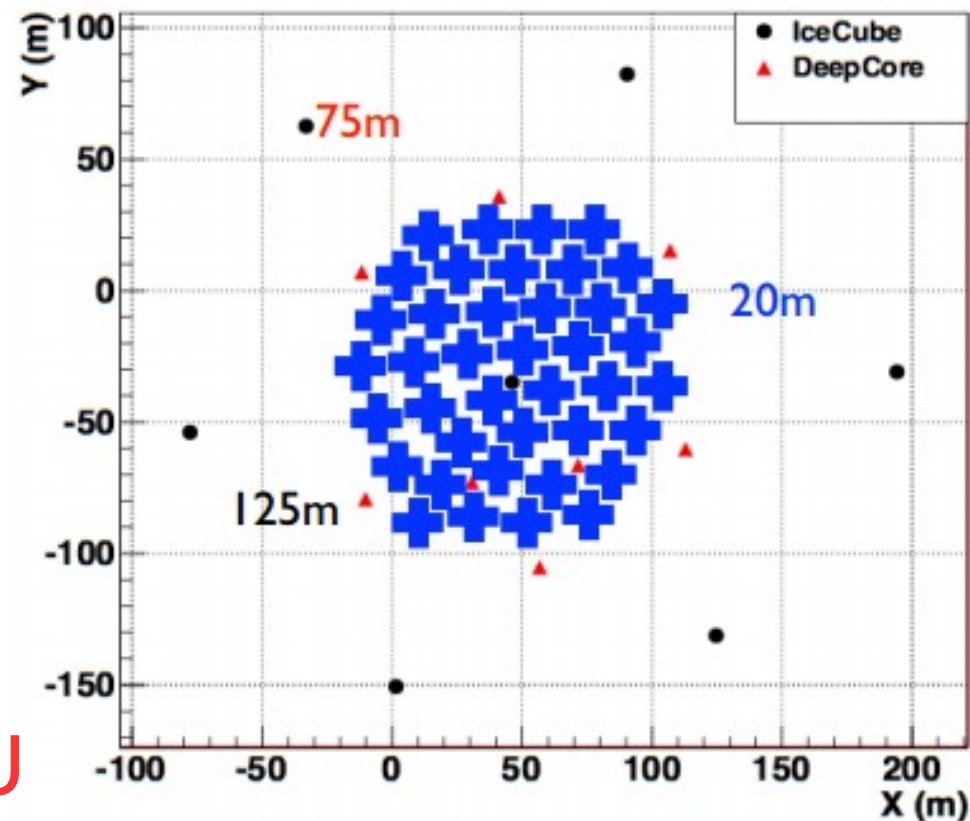
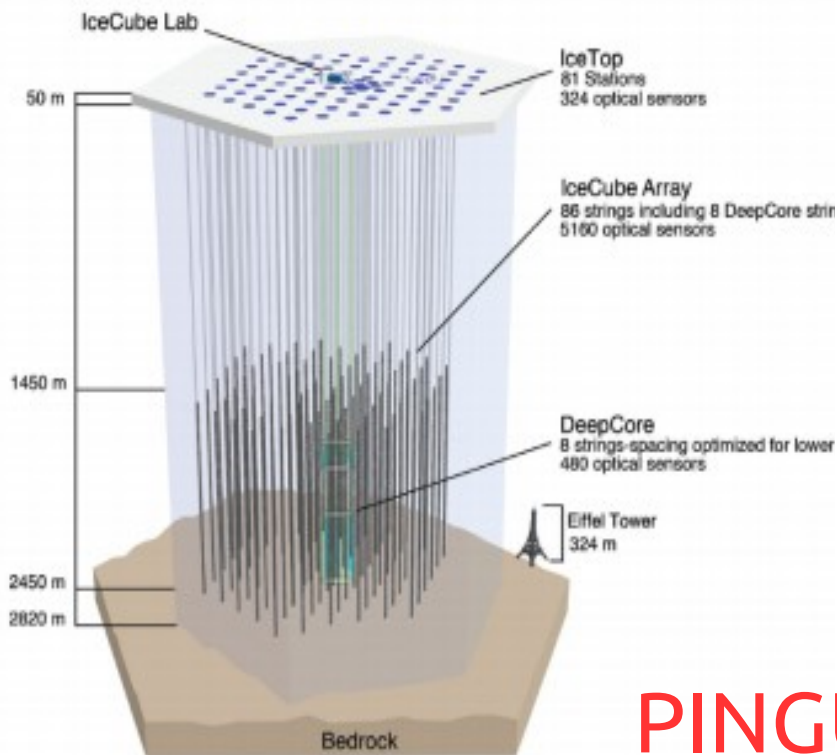
At distances of around
1000 km we can
unambiguously
identify the mass
hierarchy

Once we've done
that we need to
determine CP phase



Other approaches

- ▶ a number of experiments have been proposed to try to determine the mass hierarchy, including very long baseline reactor experiments (JUNO, RENO)



PINGU

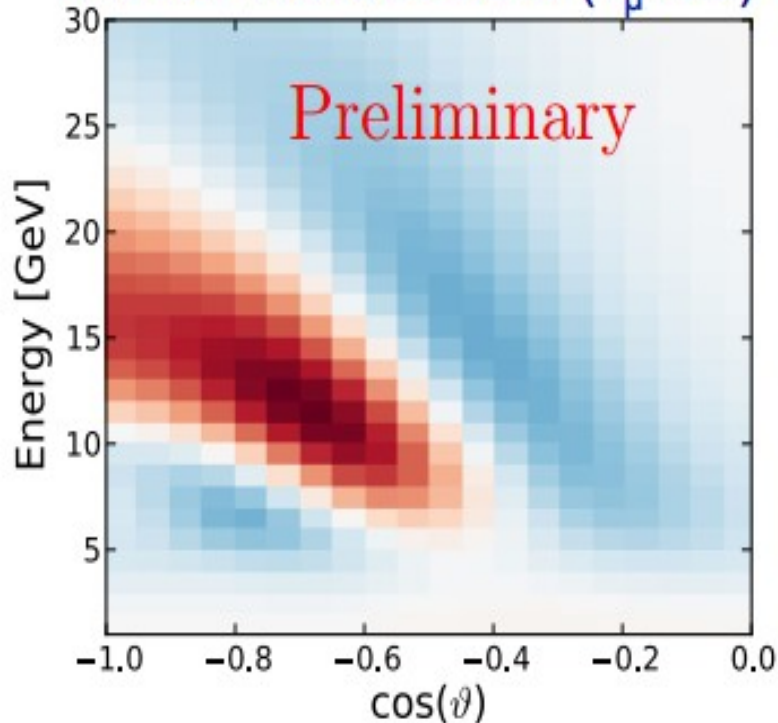
PINGU



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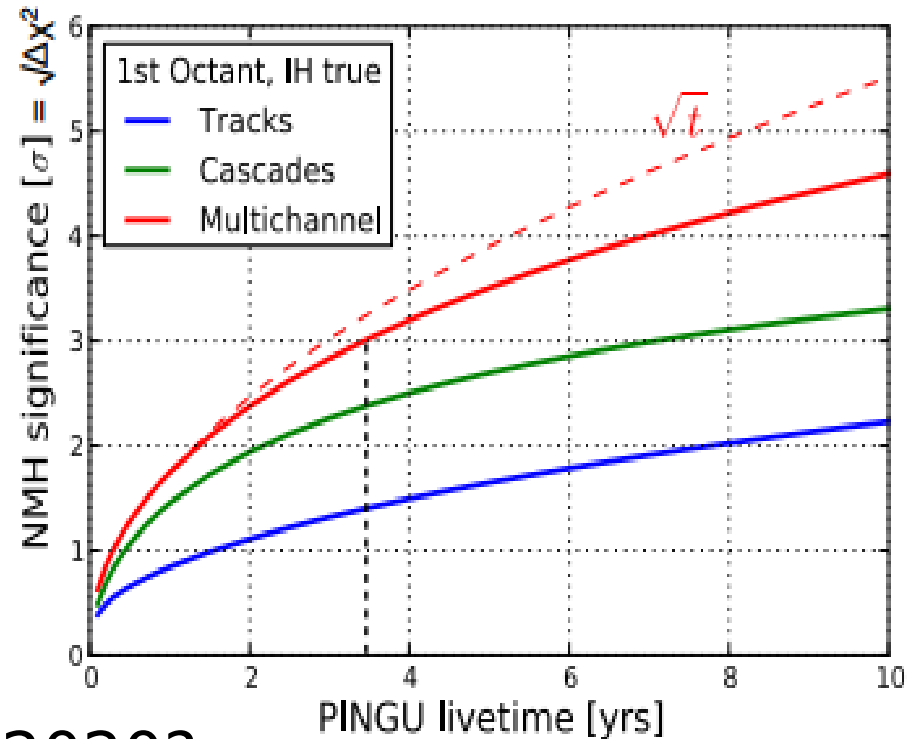
- ▶ Study atmospheric neutrinos coming up through the earth at different zenith angles
- ▶ Matter effects in the earth are different depending on hierarchy

Events ID'd as tracks (ν_μ CC)



$$\frac{N_{IH} - N_N}{\sqrt{N_{NH}}}$$

$$\frac{(N_{IH} - N_{NH}) / \sqrt{N_{NH}}}{\sqrt{N_{NH}}}$$



2020?

Mass hierarchy in $0\nu\beta\beta$ decay

$$\begin{array}{c} m_2 \\ \hline m_1 \\ \hline m_3 \end{array}$$

$$\Gamma_{0\nu\beta\beta} \propto m_{\nu_e}^2 = |m_1| |U_{e1}|^2 + m_2 |U_{e2}|^2 + m_3 |U_{e3}|^2$$

In the **inverted hierarchy**: $m_3 \ll m_1 \approx m_2$, $\Delta m_{13}^2 \approx \Delta m_{23}^2$ and m_3 is the lightest mass state, so we can write

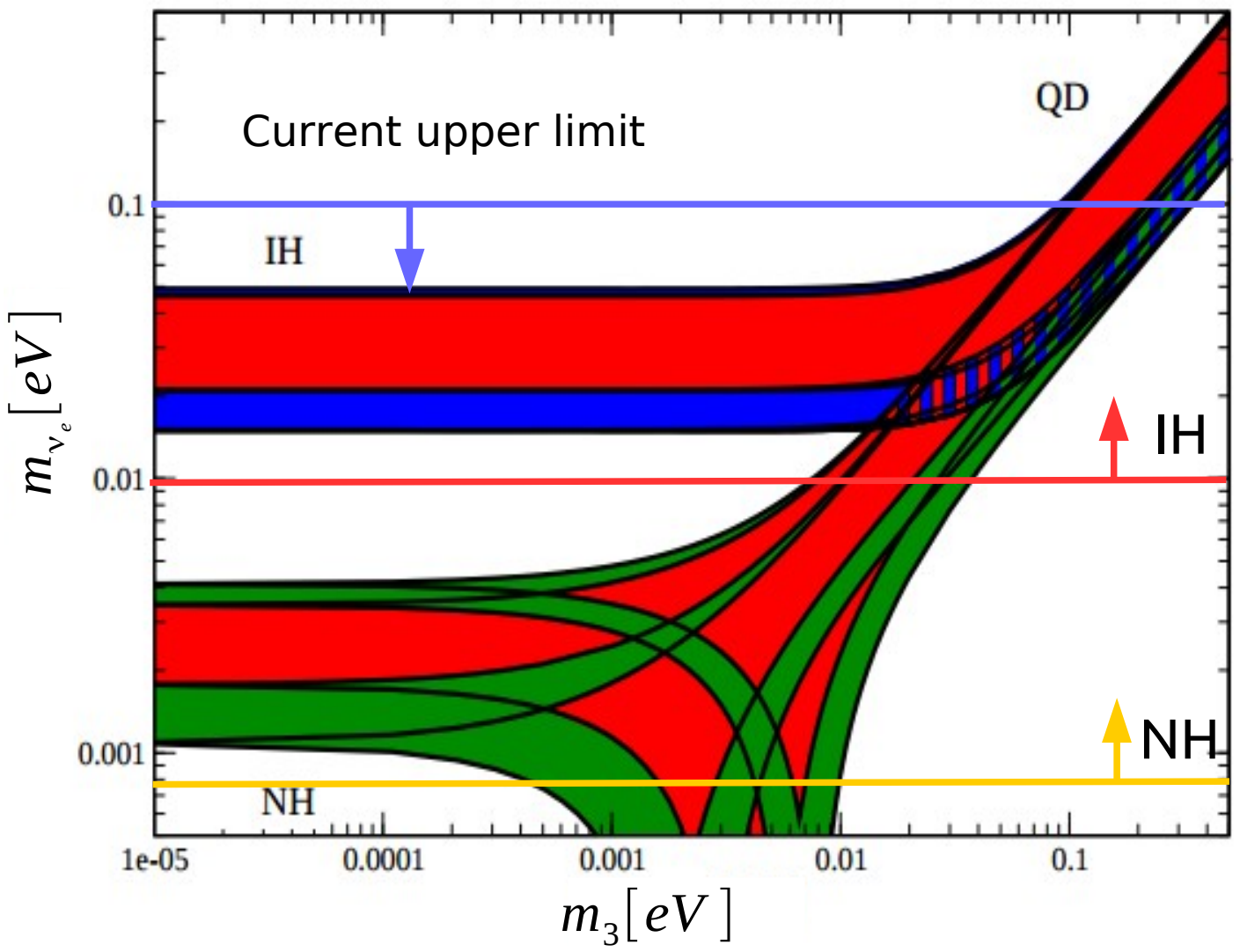
$$m_{\nu_e} = |U_{e1}|^2 \sqrt{m_3^2 + \Delta m_{23}^2} + |U_{e2}|^2 \sqrt{m_3^2 + \Delta m_{23}^2} + |U_{e3}|^2 m_3^2$$

Setting m_3 to zero (not a bad approximation) one can show that

$$m_{\nu_e} > \sqrt{\Delta m_{23}^2} \cos^2 \theta_{13}$$

i.e for the inverted hierarchy, the decay rate, $\Gamma_{0\nu}$, would have a *lower limit*.

Mass hierarchy & $0\nu\beta\beta$ decay



- ▶ Experimental limit needs to decrease by a factor of 10
- ▶ Limit scales with mass and run time
- ▶ Experiments need to be 10 times bigger and run 10 times longer
- ▶ These are being built now.

Question

Is there an experimental way of directly showing that the neutrino is a Dirac particle? What about an indirect approach?

Direct measurement : no known accessible observable (radiative decays of non-relativistic massive neutrinos may show differences)

Indirect measurement :

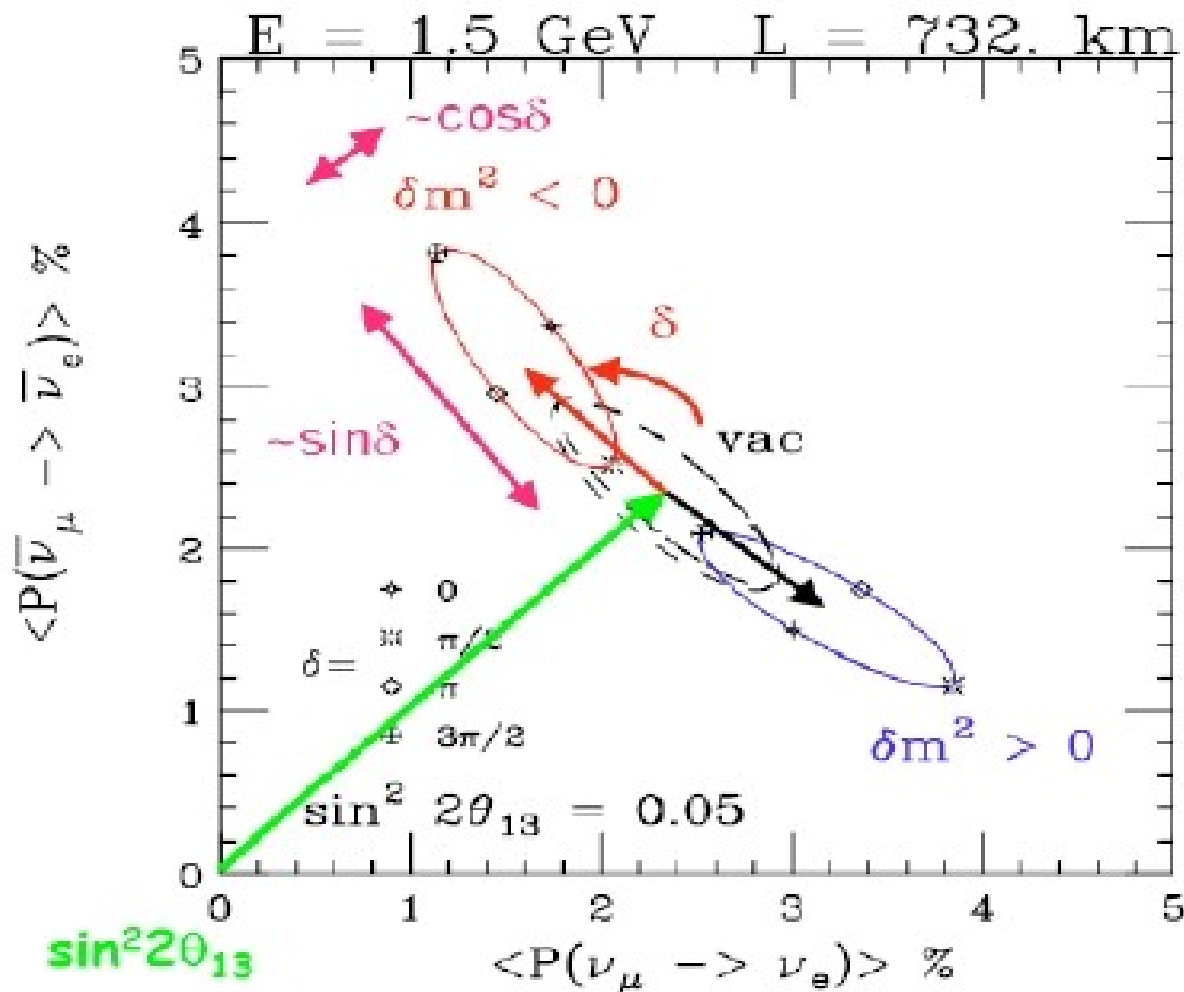
IF : the long-baseline experiments favour inverted hierarchy

AND : KATRIN measures $m(\nu_e)$ in the IH band region

AND : $0\nu\beta\beta$ experiments see nothing

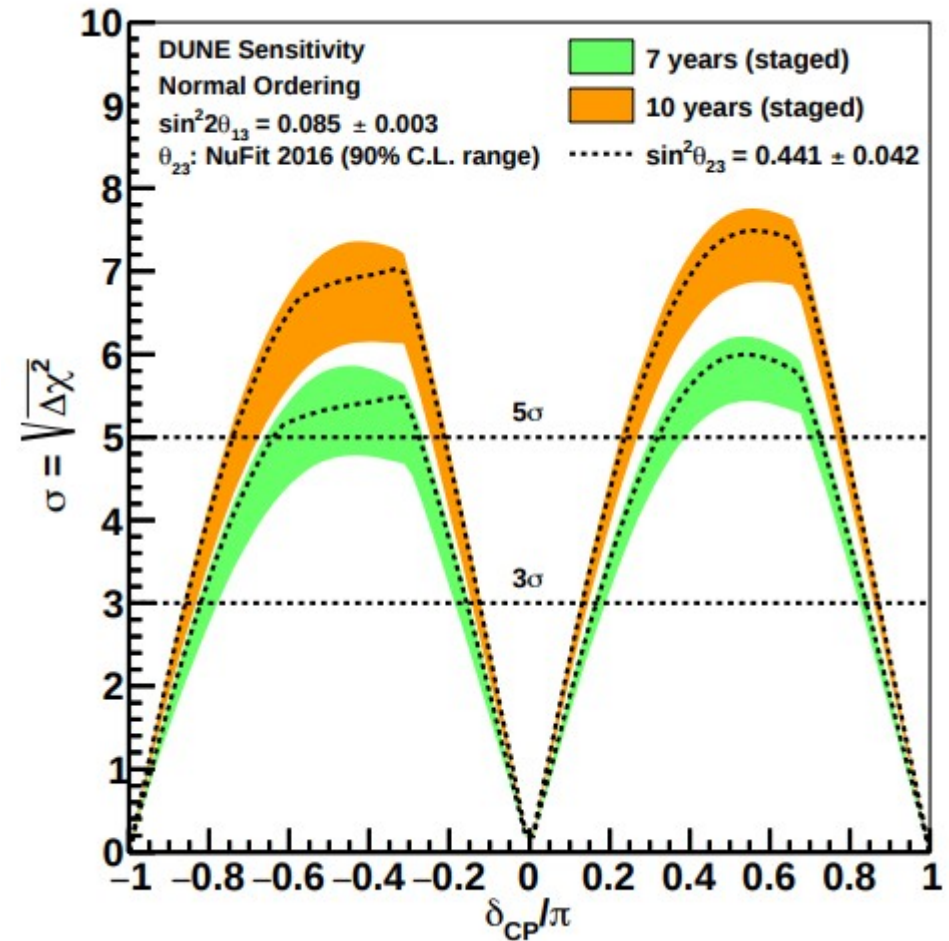
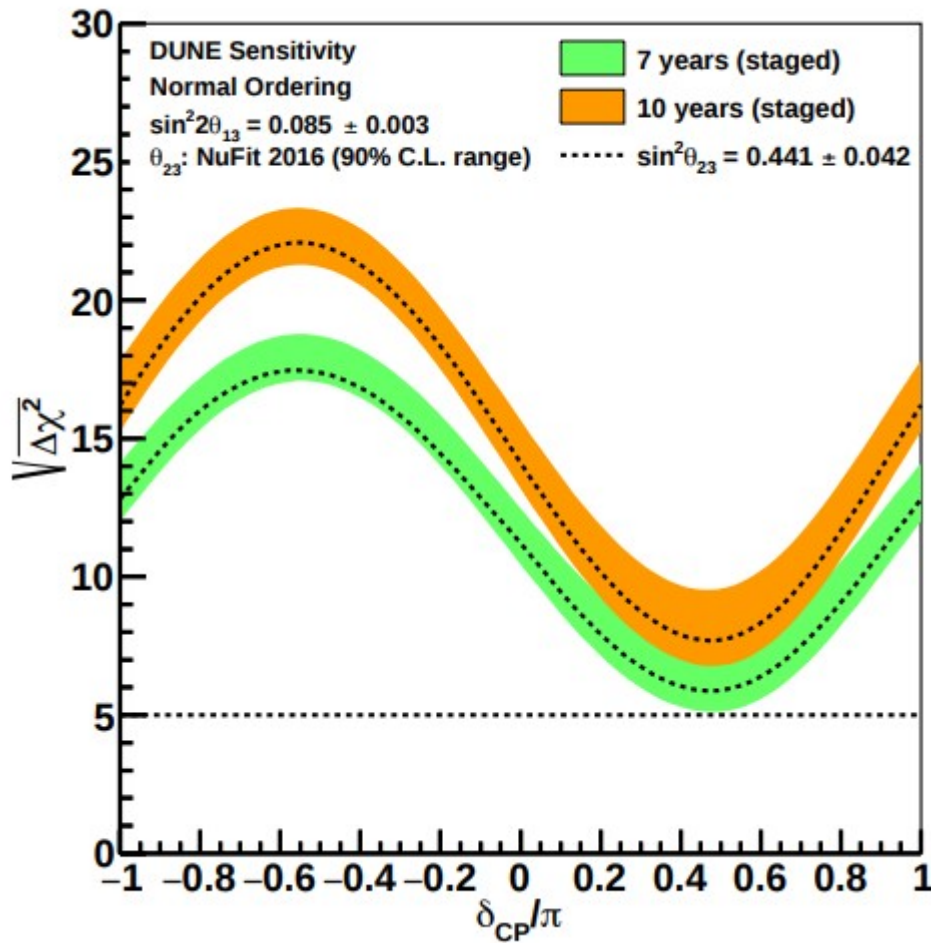
THEN : neutrino can't be Majorana

CP violation



- ▶ If mass hierarchy is known then “all” we need to do is precisely measure the ν_e appearance probability for neutrino and anti-neutrino beams and that will give us δ_{CP}
- ▶ Do this at at least two independent L/E

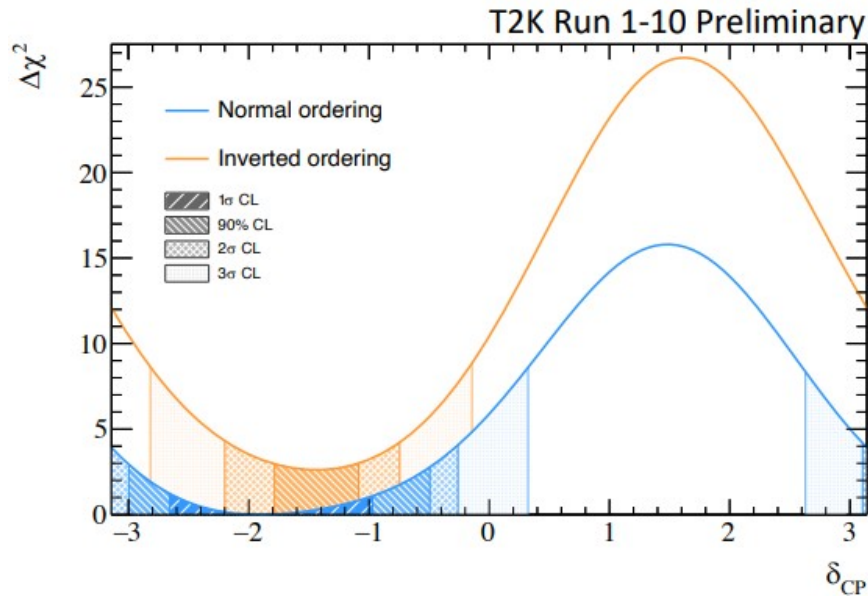
δ_{CP} : DUNE Sensitivity



$> 5 \sigma$ reach after 7 years of running over entire δ_{CP} range

$> 5 \sigma$ reach after 10 years if δ_{CP} exists in $\pm[0.2-0.8]\pi$

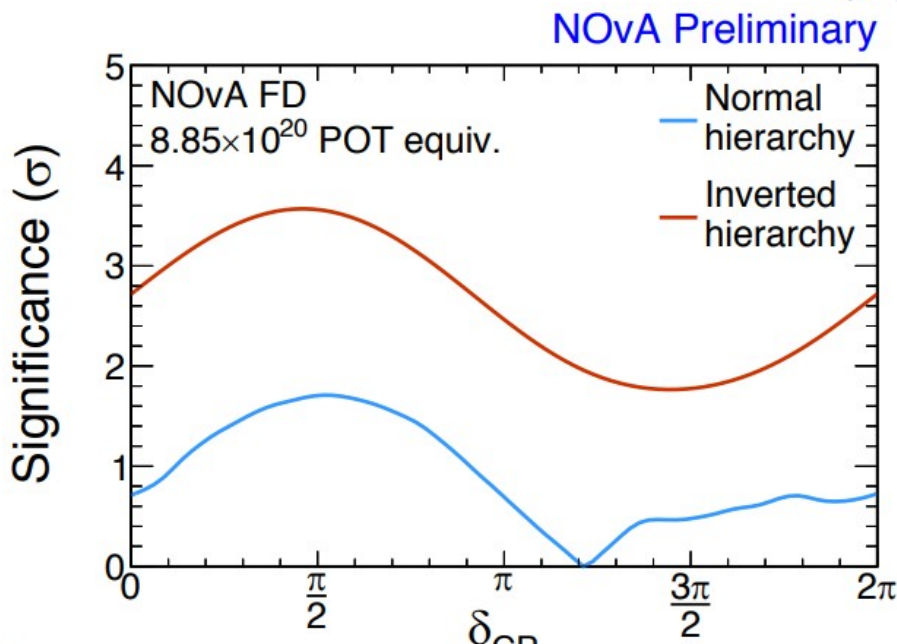
Hints : T2K & NOvA



▶ Normal ordering weakly favoured

▶ 90% CL $\delta_{CP} : [-2.8, -0.8]$

▶ $\delta_{CP} = 0$ disfavoured at 3σ



▶ Best fit: Normal hierarchy favoured at 1.8σ

▶ $\delta_{CP} = 1.21\pi$

▶ Excludes $\delta_{CP} = \pi/2$ in the inverted hierarchy at $> 3\sigma$

Mass Hierarchy Determination

A number of different experiments, both accelerator and $0\nu\beta\beta$ decay focused, are now trying to determine the mass hierarchy.

Timescale : 2-4 years from now for good indication.
7-10 for 5σ measurement.

Measurement of δ_{CP}

Next generation of experiments are being planned to measure this

Timescale : 8-10 years from now (including 6 for construction) for 3σ sensitivity to distinguish from no CP-violation scenario (if true δ_{CP} is $\pi/2$).

15-20 years for a measurement of δ_{CP} to a precision of 20° (if true δ_{CP} is $\pi/2$).

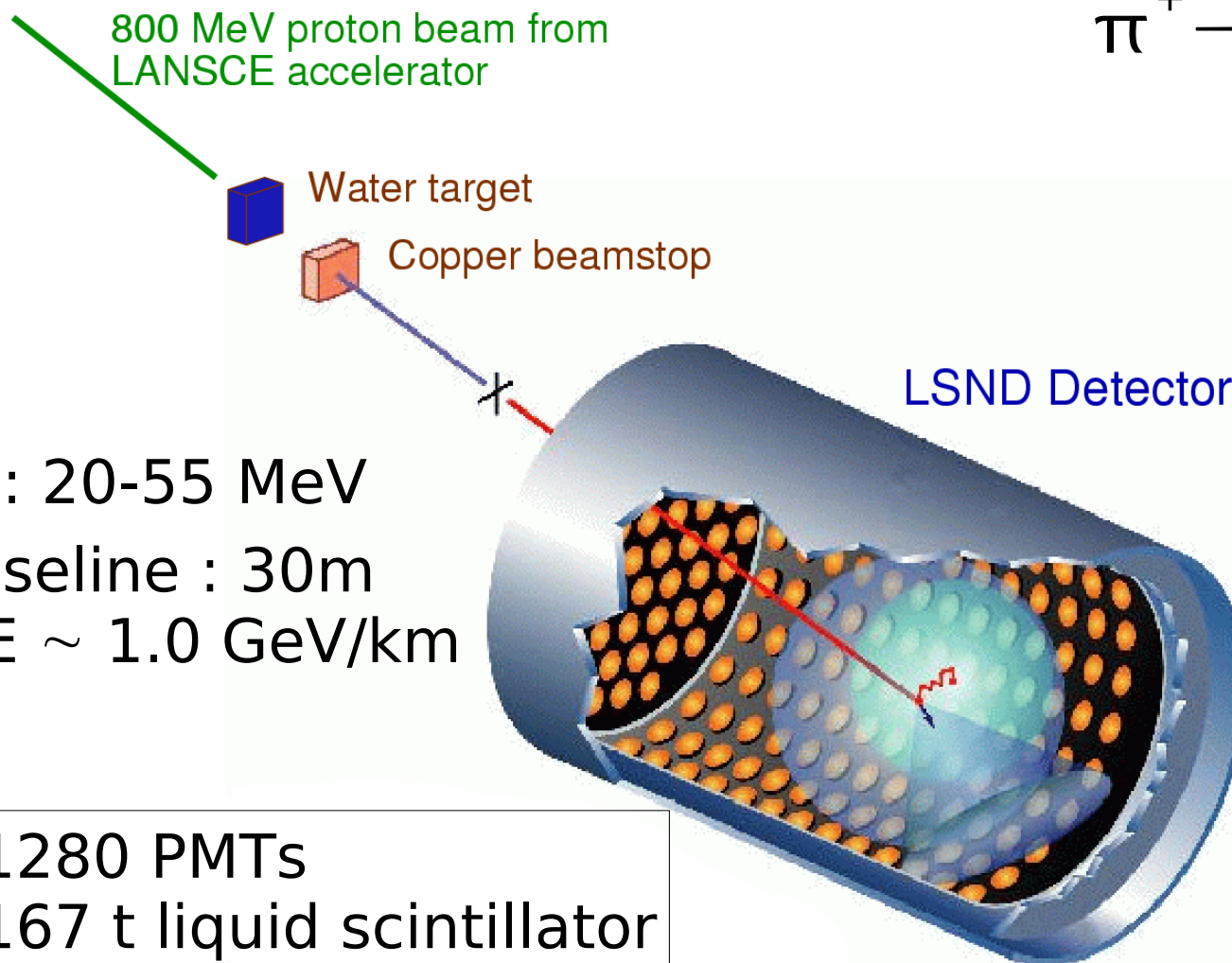
A toolbag full of spanners





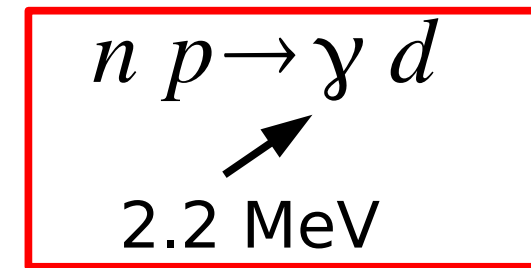
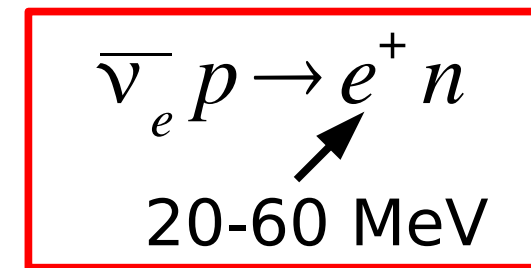
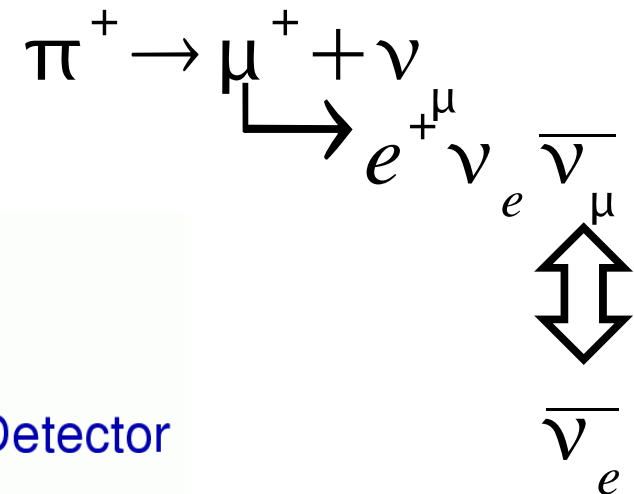
LSND

The LSND experiment was the first accelerator experiment to report a positive appearance signal



E_ν : 20-55 MeV
 baseline : 30m
 L/E \sim 1.0 GeV/km

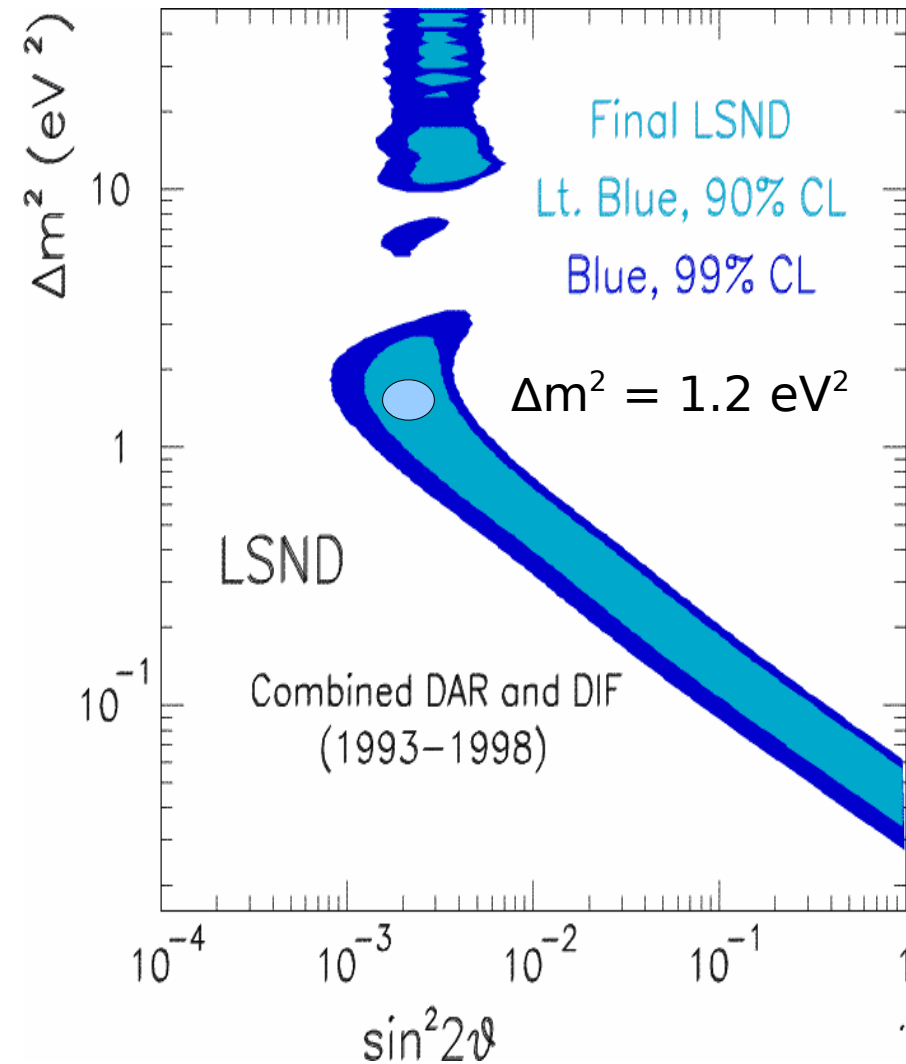
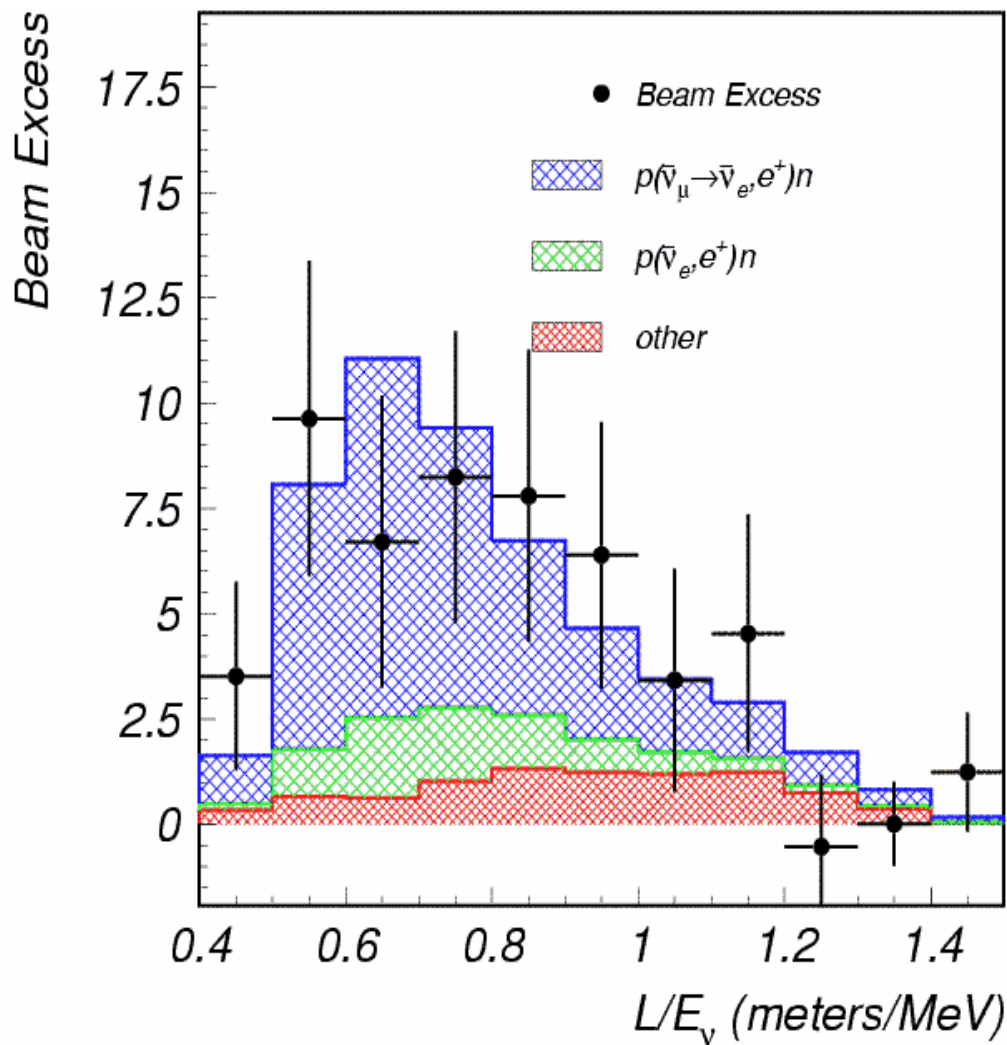
1280 PMTs
 167 t liquid scintillator



LSND Result (1997)

$87.9 \pm 22.4 \pm 6$ excess events
from $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

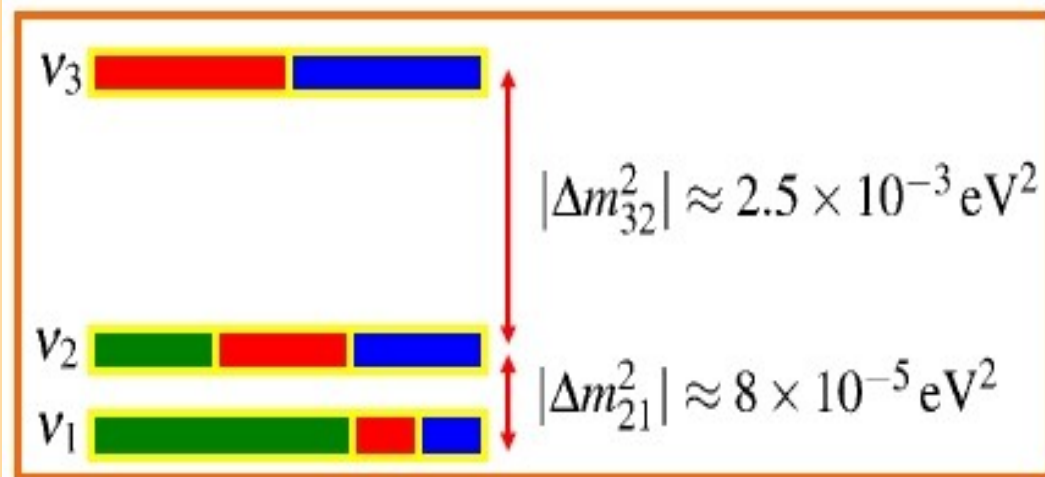
3.3 σ evidence for
oscillations



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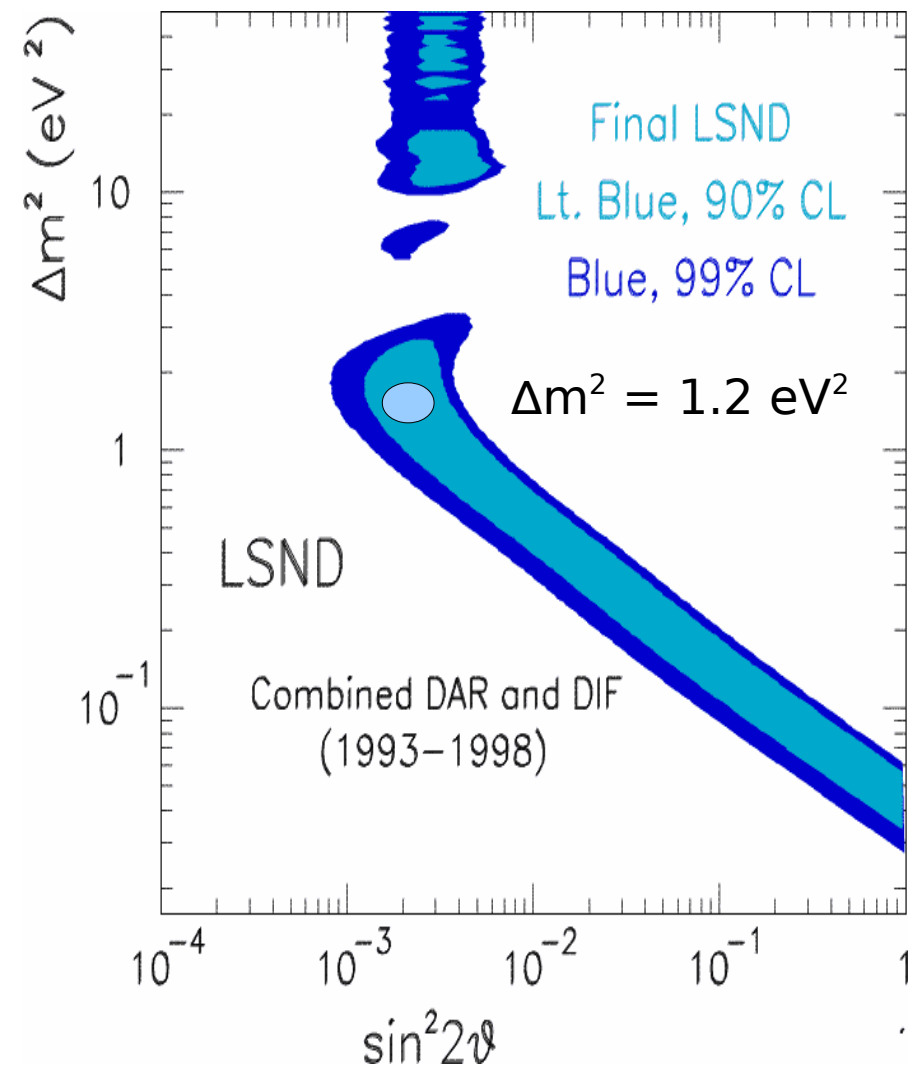
3.3 σ evidence for
oscillations



▶ Already know 2 mass splittings
▶ LSND implies : $\Delta m^2 \approx 1 \text{ eV}^2$

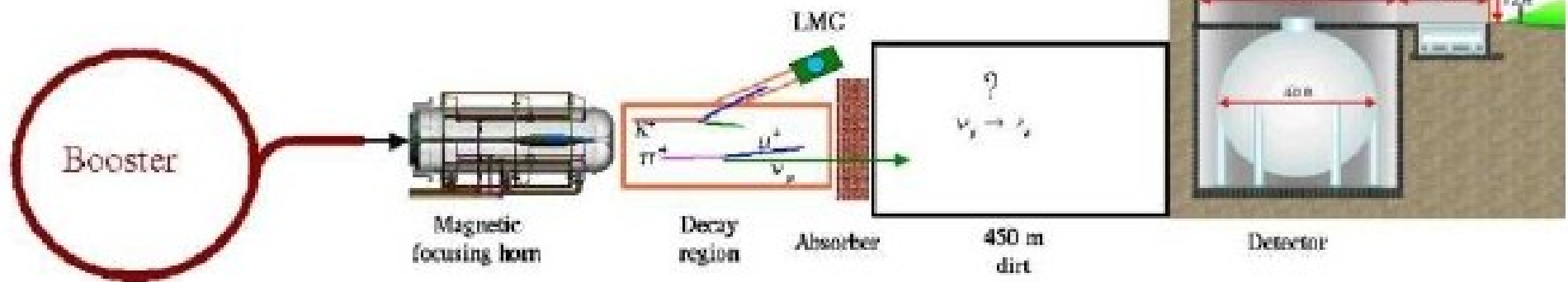
▶ 3 independent Δm^2 implies

▶ **4 neutrino mass states!?!?**



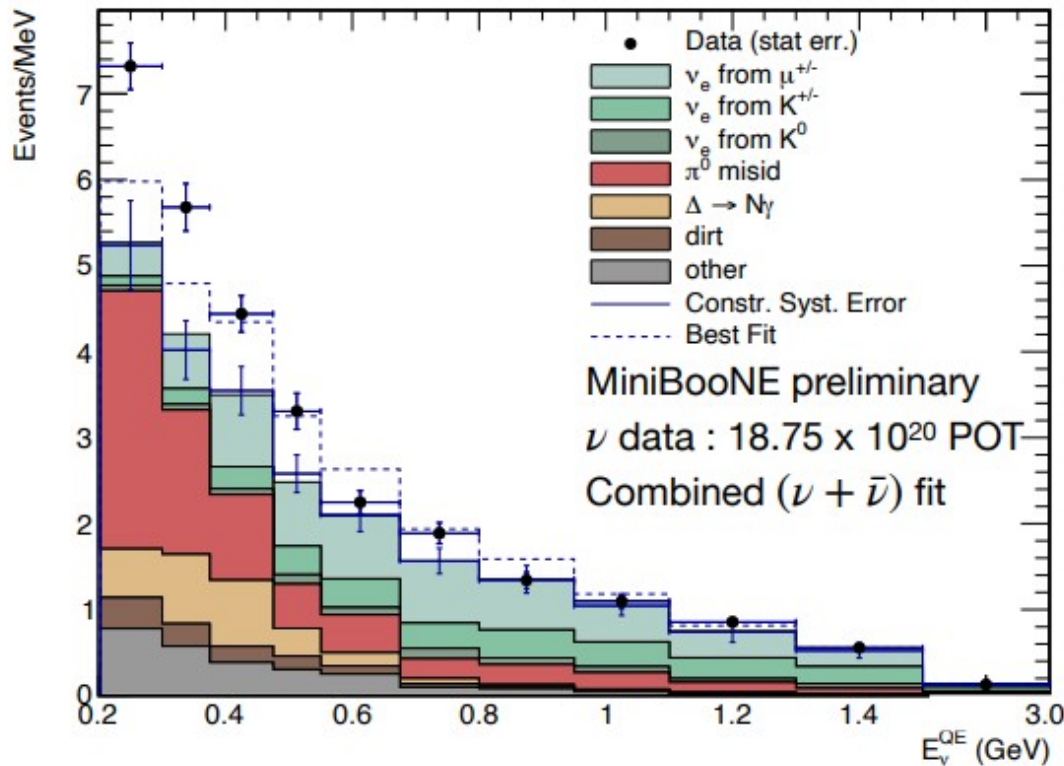
MiniBooNE

Ran from 2002 to 2014 at Fermilab

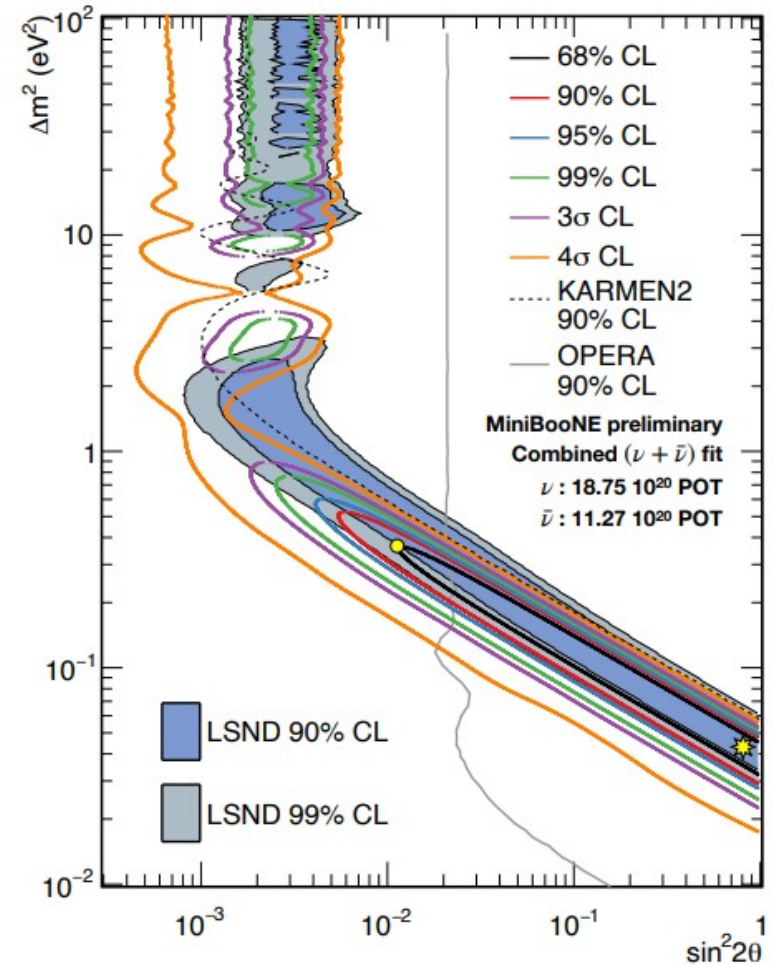


- Average neutrino energy ≈ 1 GeV
- L/E the same as LSND
- Same technology as LSND
- Different energy = different event types = different systematics

miniBooNE Results



Excess at the level of 4.8σ



Neutrino + Anti-Neutrino Mode

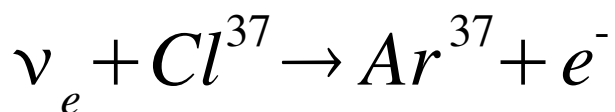
$$(\Delta m^2, \sin^2 2\theta) = (0.043 \text{ eV}^2, 0.807)$$

$$\chi^2/ndf = 21.7/15.5 \text{ (prob} = 12.3\%)$$

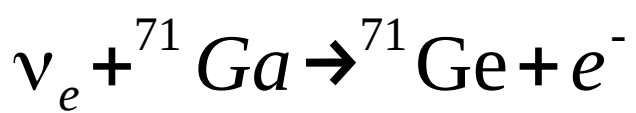


The Gallium Anomaly

We've discussed the Homestake experiment which studied the reaction

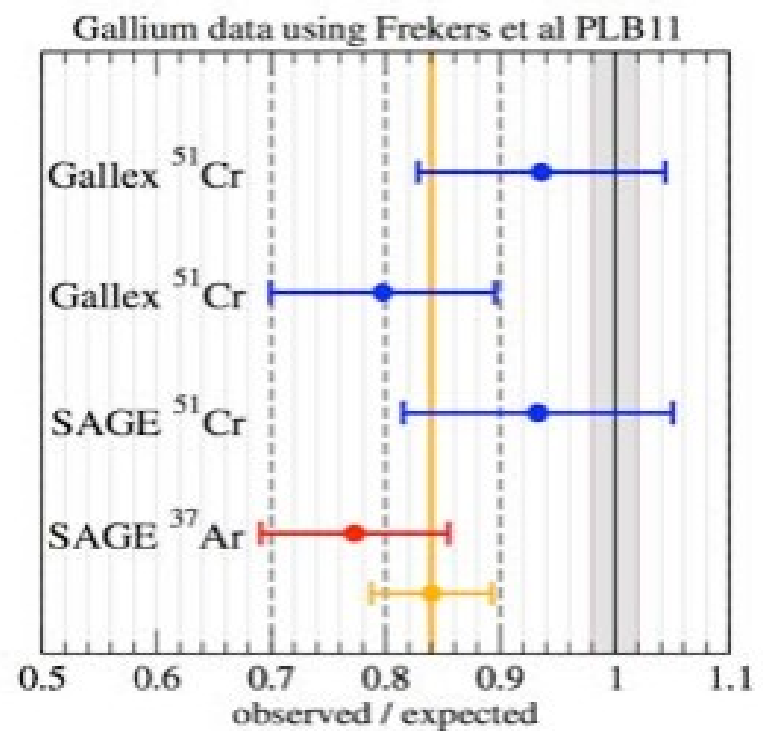


A couple of experiments (SAGE and GALLEX) also studied



In early 2000's the response of GALLEX was being tested using MCI radioactive sources.

Sources emitted ν_e which were then observed using the standard Ge signature



$$L/E \approx 0.1 m / 0.1 MeV \rightarrow \Delta m^2 \approx 1 eV^2$$

(or is it our understanding of the low energy ν -Ga cross section, or is it just bad luck?)



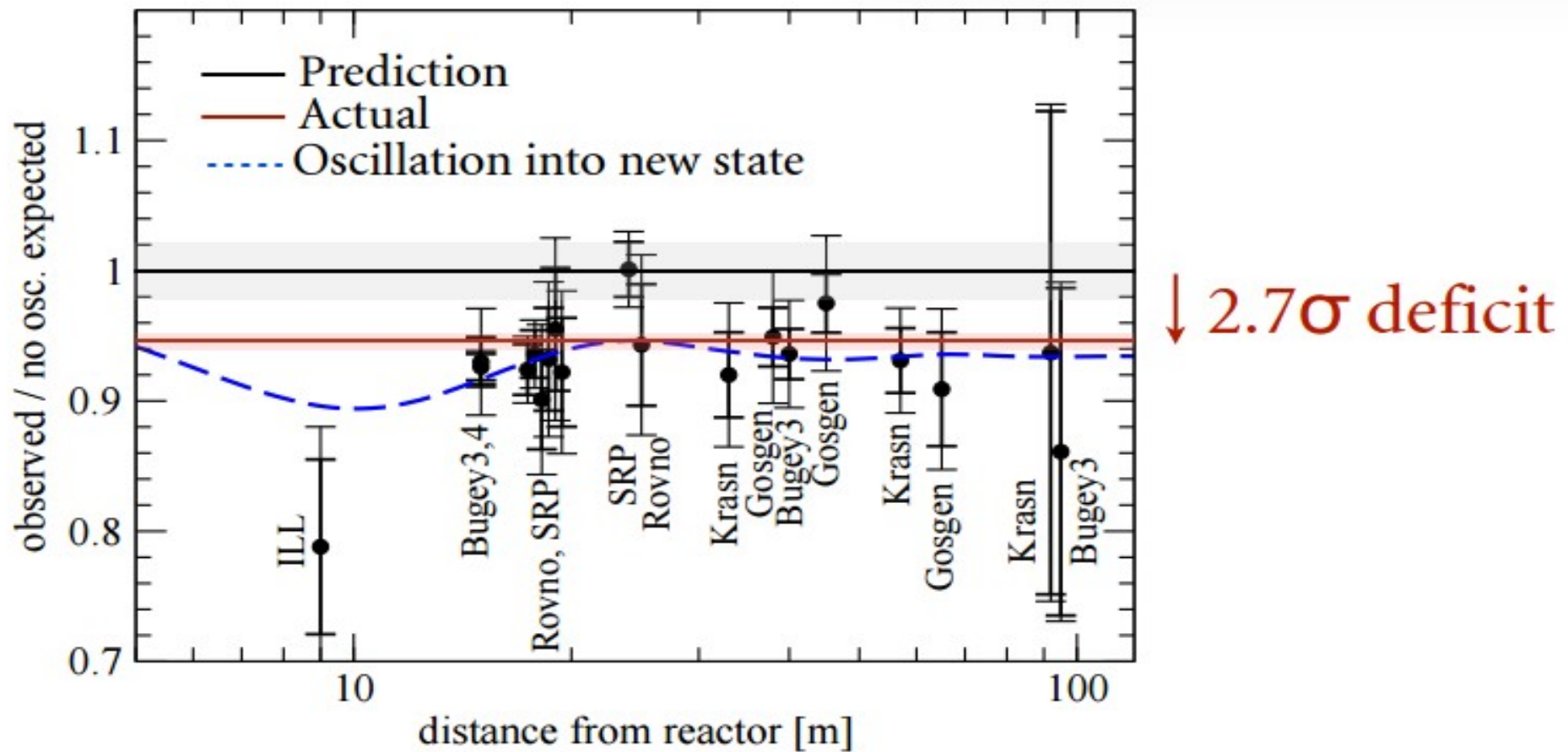
The reactor anomalies

- ▶ pre-2011 : measurement of the total neutrino flux from reactors agreed with expectation.
- ▶ In 2011, new techniques in modelling nuclear reactions led to a re-evaluation of the expected electron antineutrino flux. The new estimate was about 6% **higher** than the old.
- ▶ Suddenly all the experiments now observed a general **deficit** of electron antineutrinos being detected at the detector

$$N(\bar{\nu}_e) = \Phi^{old}(\bar{\nu}_e) \sigma \longrightarrow \Phi^{new}(\bar{\nu}_e) \sigma \times P(\bar{\nu}_e \rightarrow \nu_s)$$

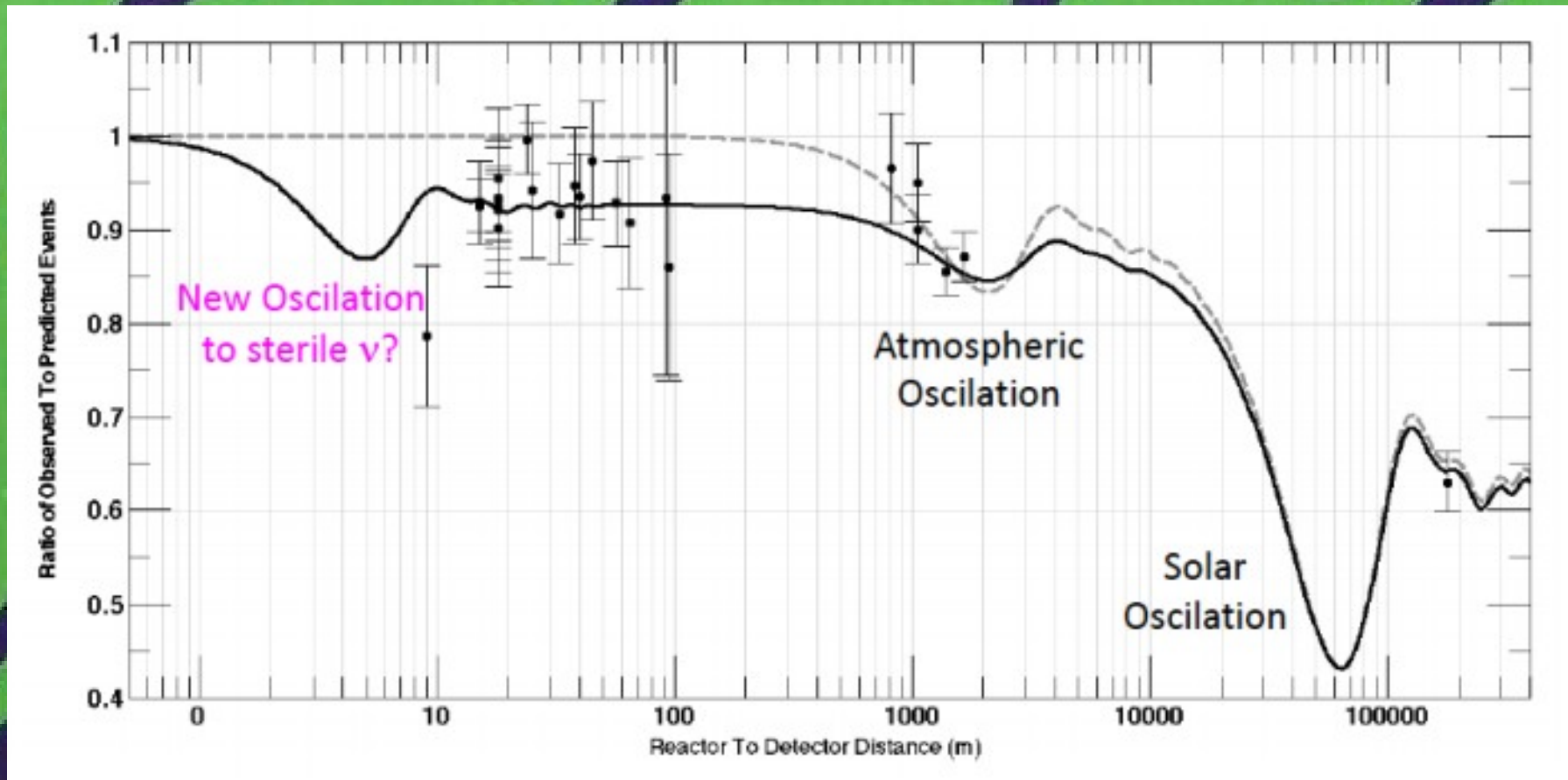
- ▶ Could this be (i) the new flux estimate is just a bit dodgy or (ii) we have short baseline neutrino oscillations to a sterile state?

Reactor Anomaly

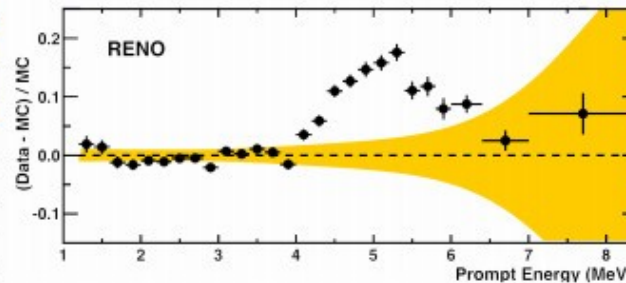
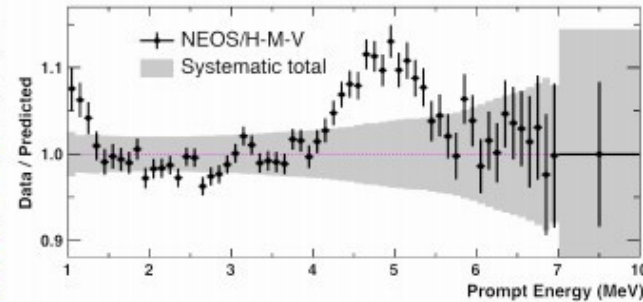
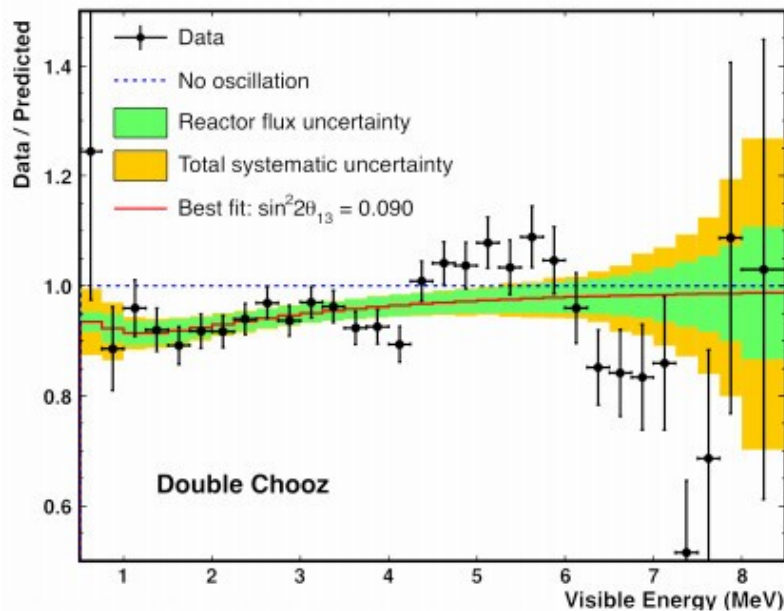


Deficit consistent with a sterile state with $\Delta m^2 \sim 1.5 \text{ eV}^2$
 Reactor antineutrino flux calculations are VERY hard to do

Global Oscillation Fit



The Bump

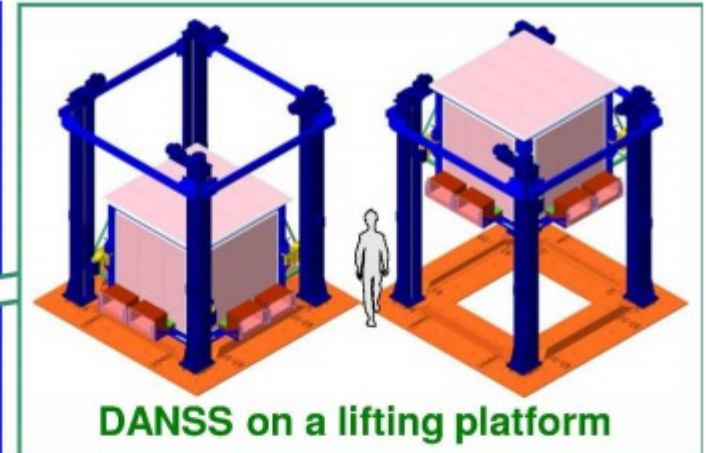
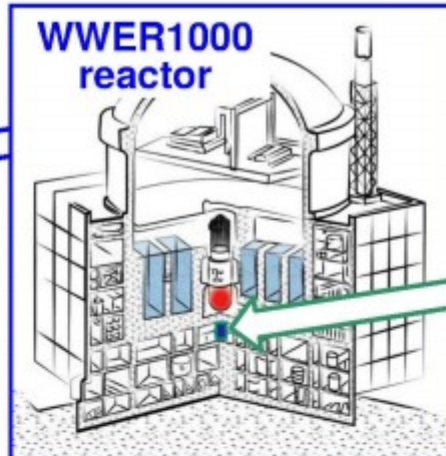


- ▶ Overall there is a deficit of events with the new reactor flux estimates
- ▶ Between 4-6 GeV there seems to be an excess beyond the flux errors
- ▶ Seen in all reactor experiments
- ▶ This is quite hard to explain away using sterile neutrinos!
- ▶ Prejudice is that this is due to modelling nuclear physics

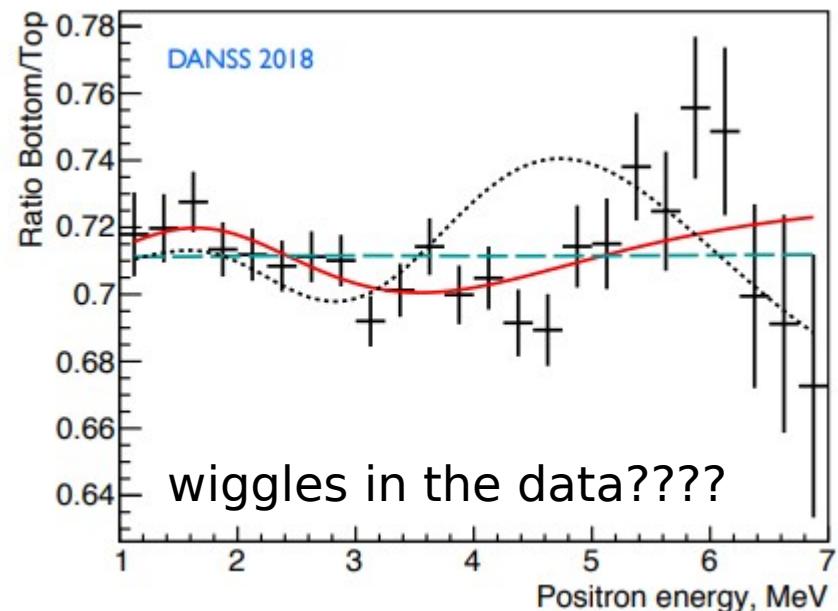


Reactor Experiments

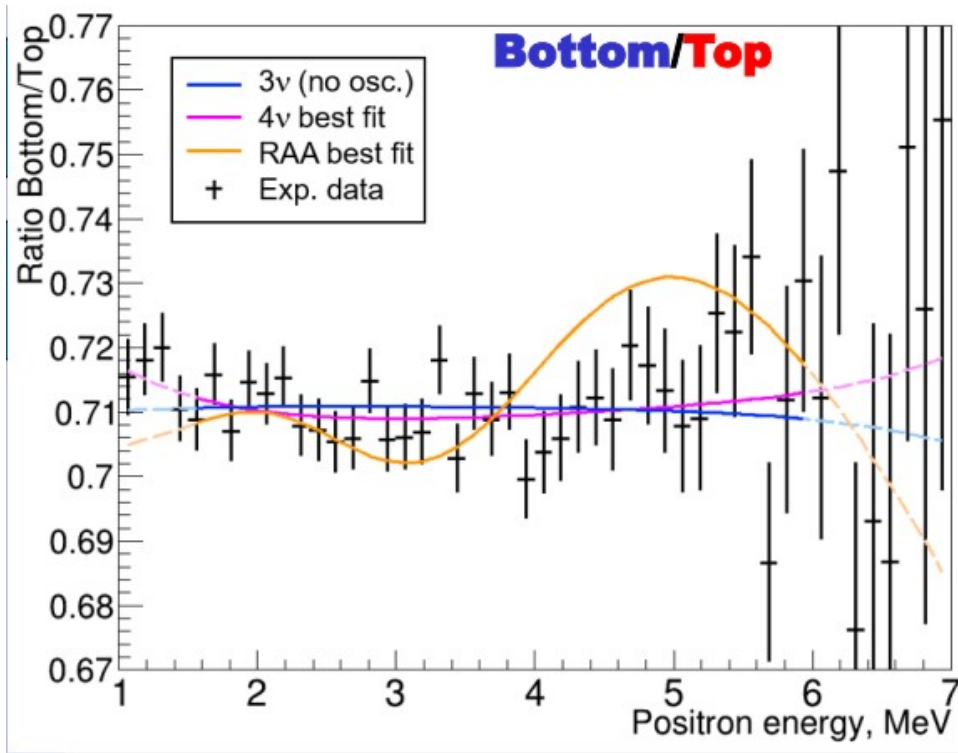
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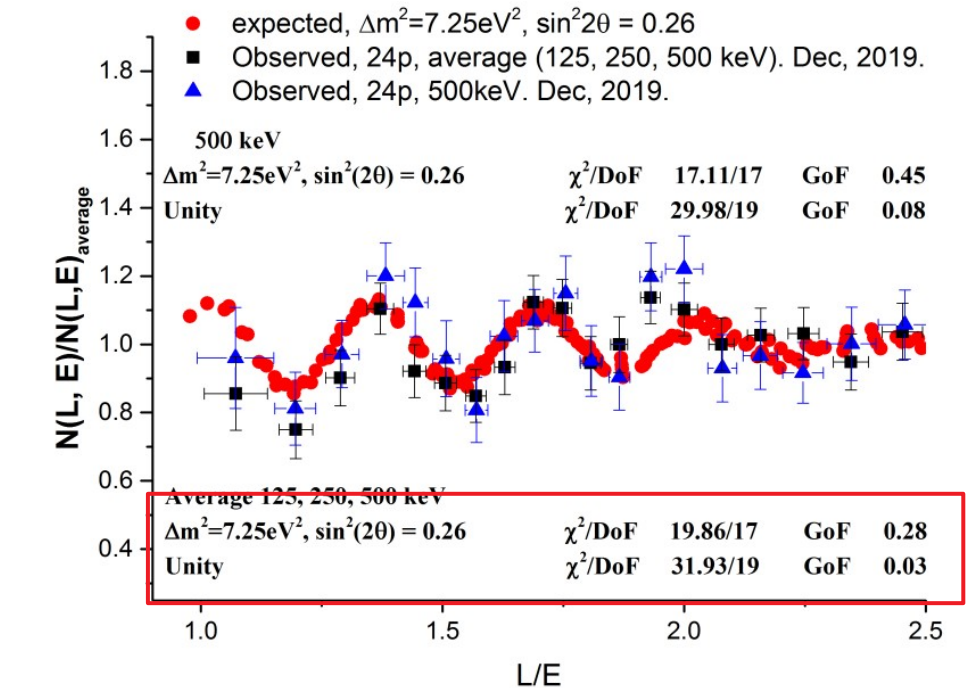
- ▶ Installed on a moveable platform under a 3 GW reactor
- ▶ Large neutrino flux
- ▶ Variable source-distance distance using the same detector
- ▶ **Down** : 12.7 m from reactor
- ▶ **Up** : 10.7 m from reactor



Reactor Experiments



DANSS (2020)
No visible effect



Neutrino4 (2020)
Claimed signal

Situation unclear : other experiments (Stereo, SoLiD, Prospect) may clarify

*Decaying sterile
neutrinos?*

CPT Violation?

*3+1 sterile?
3+2 ?
3+n ?*



Lorentz violation?

Extra dimensions?

*Experimental
problems?*

No bleedin' idea

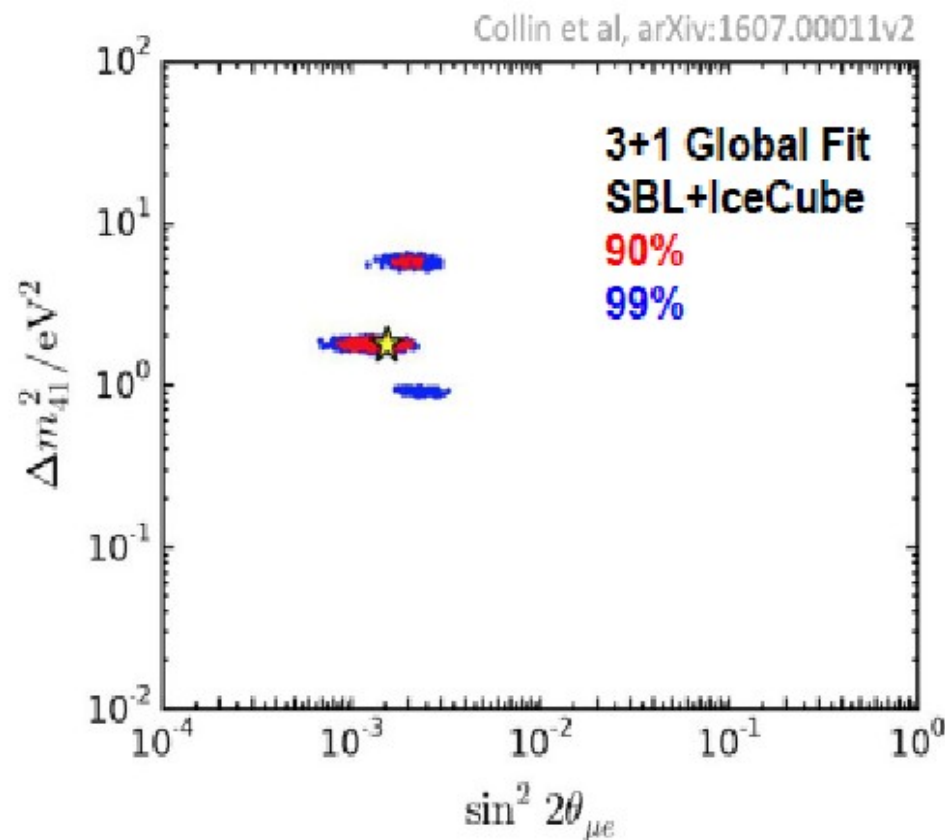
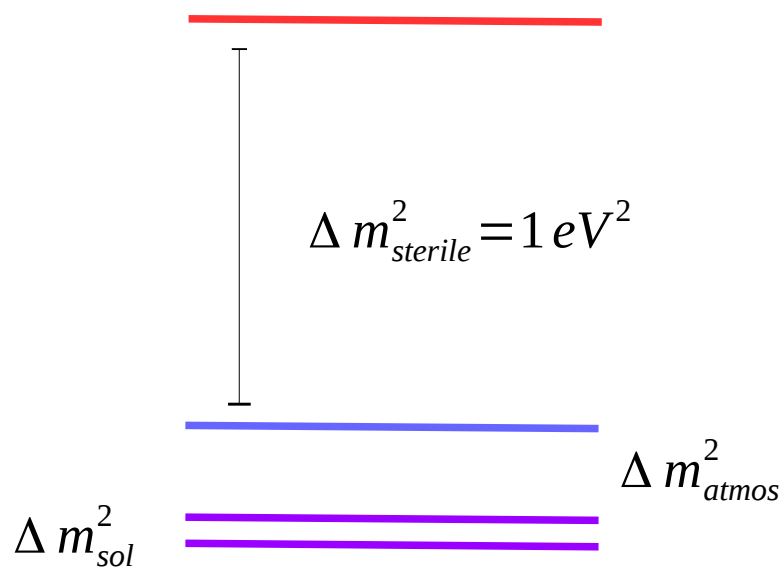
Wait for more data

Summary of sterile hints

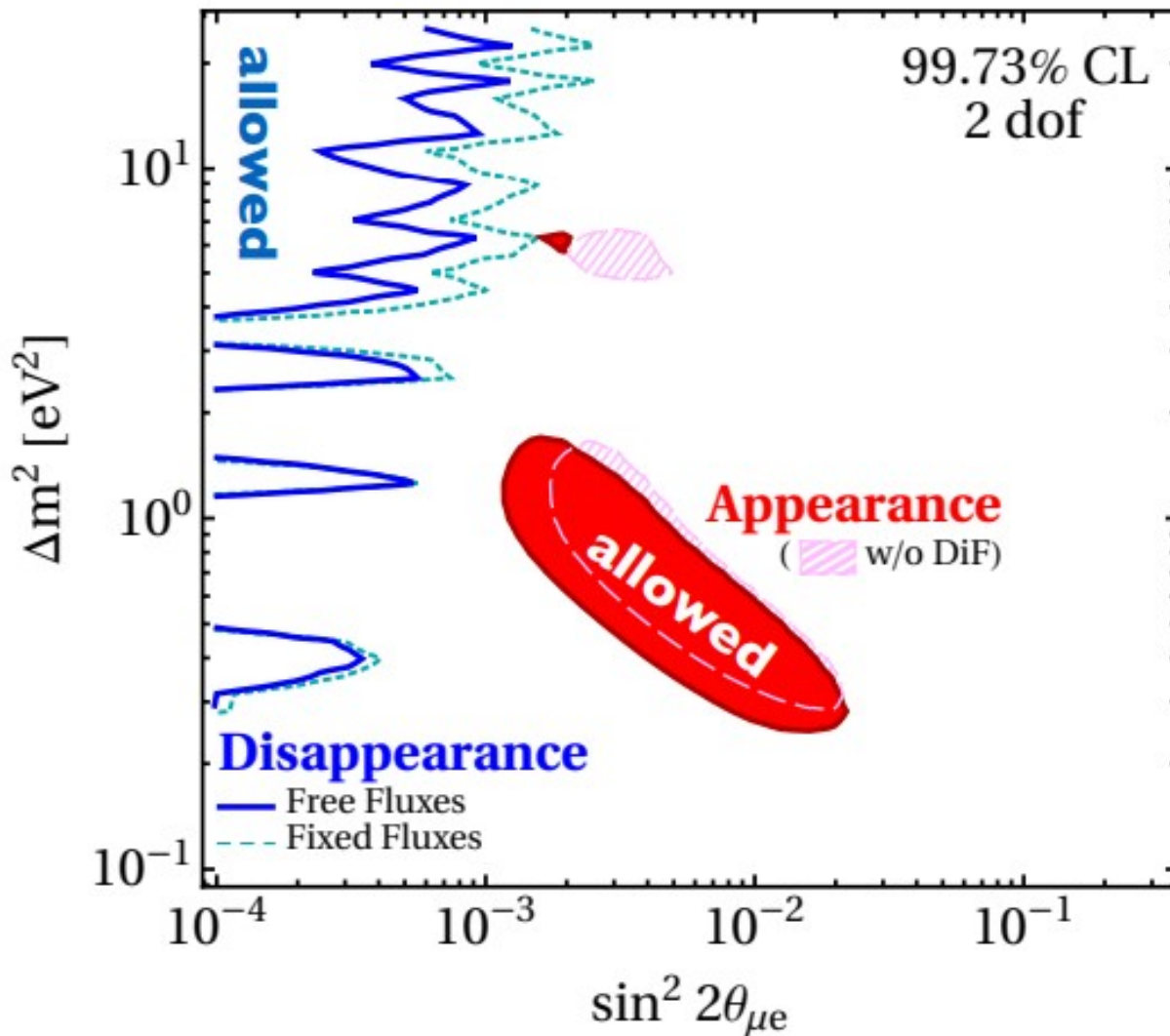
There are odd hints, each at the level of 2-3 σ , that they may be at least one other light sterile state floating around with $\Delta m^2 \sim 1 \text{ eV}^2$. This is not very easy to fit into the standard model.

It is very hard to find an oscillation model, including steriles, which is consistent with *all* of the data

Current “best model” is a 3+1 model but it doesn't fit very well



Sterile Global Fit



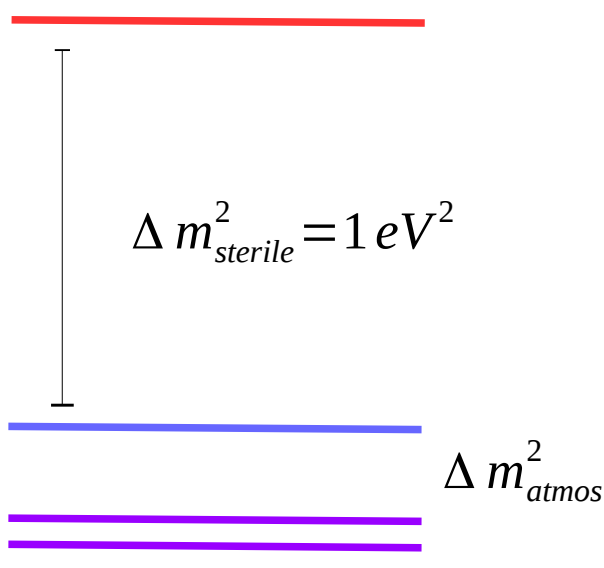
4 σ discrepancy
between appearance
and disappearance
experimental results

Summary of sterile hints

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It could all be a conspiracy of systematics

New experiments are being built now to search for signs of steriles in neutrino oscillations at high Δm^2

Experimental Summary

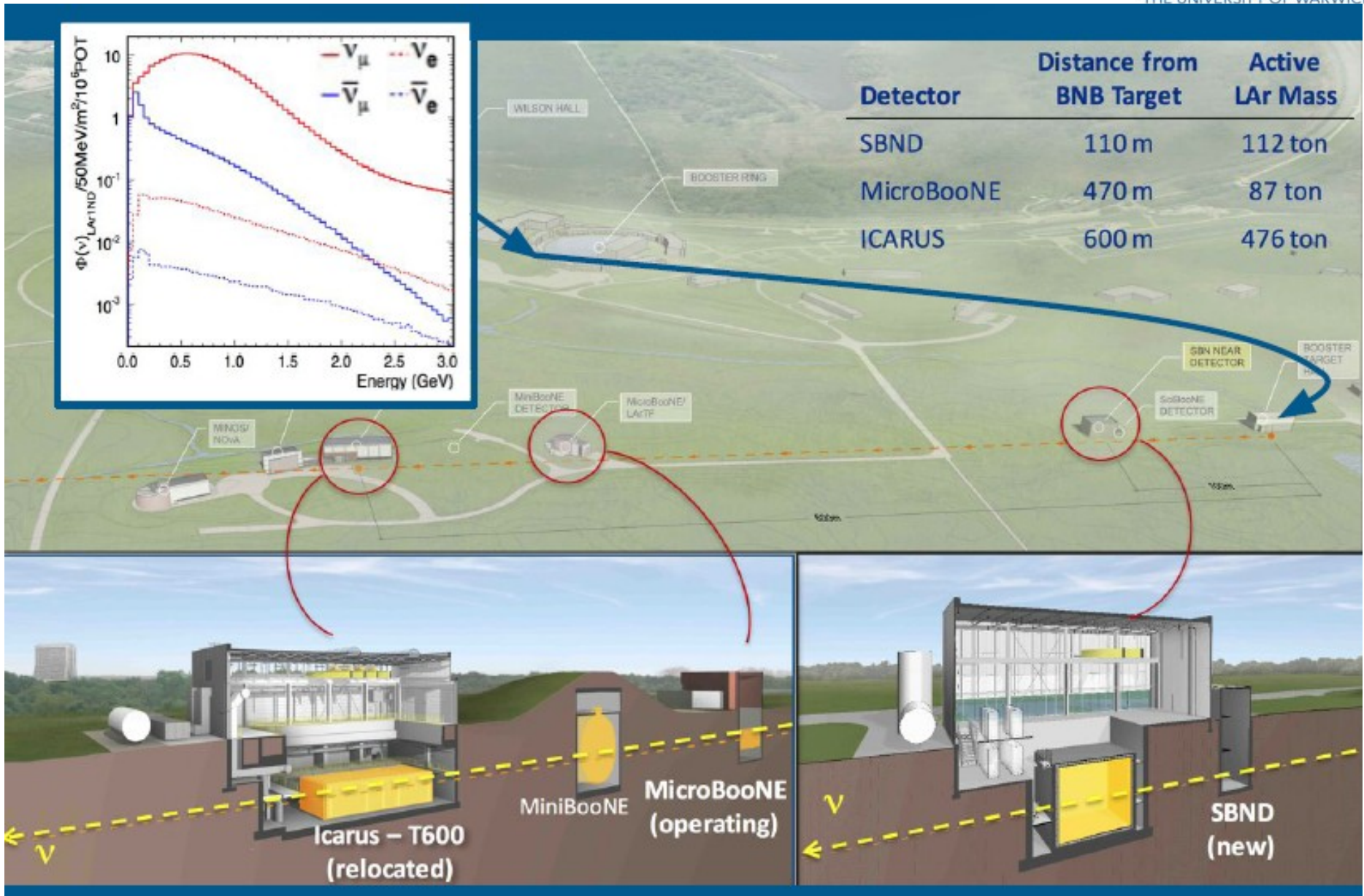
Reactor Experiments

Name	Location	Power (MW)	Distance (m)	Target mass (t)	Technology
NEOS	China	2700	25	1	Gd – Liq. Scint.
DANSS	Russia	3000	9-12	0.9	Gd – Plastic. Scint.
Neutrino4	Russia	90	6-12	1.5	Gd – Liq. Scint.
Stereo	France	58	9-11	1.7	Gd – Liq. Scint.
Prospect	USA	85	7-12	3	Li6 – Liq. Scint.
SOLID	Belgium	100	6-11	1.6	Li6F – Plastic Scint.

Accelerator Experiments

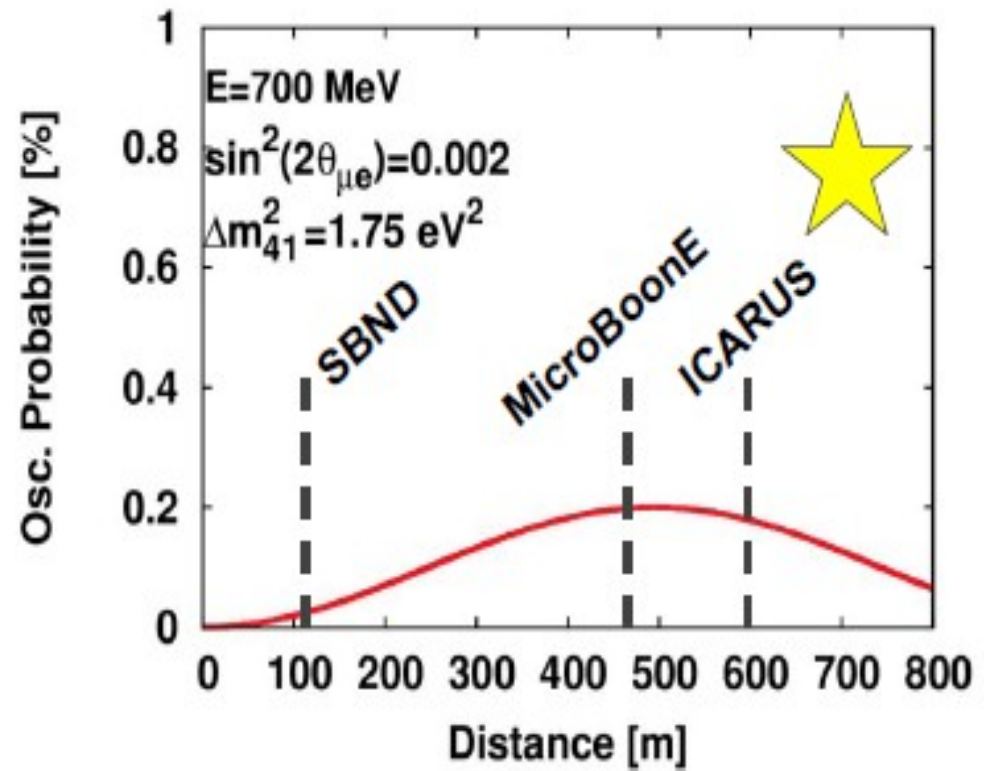
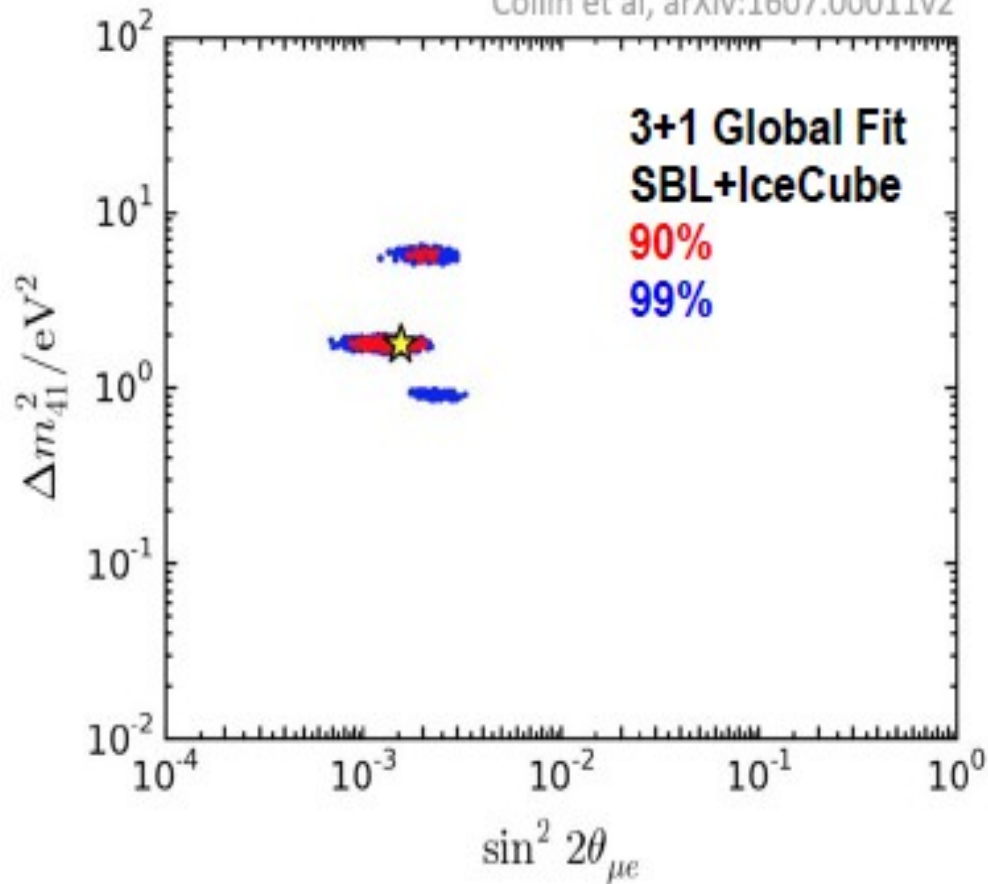
SBND	USA		110-600		LAr TPC
IsoDAR	Japan		16		Li8 Decay at rest to KamLAND
SHIP	CERN		80-90		Multiple

SBND



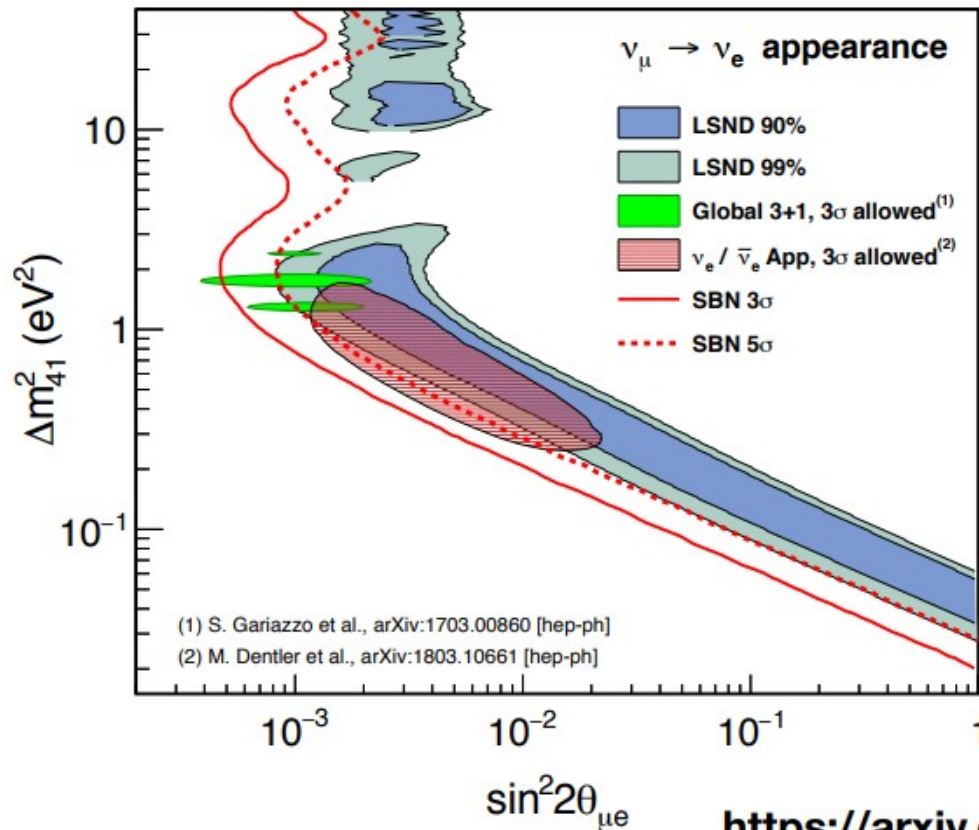
SBND

Collin et al, arXiv:1607.00011v2

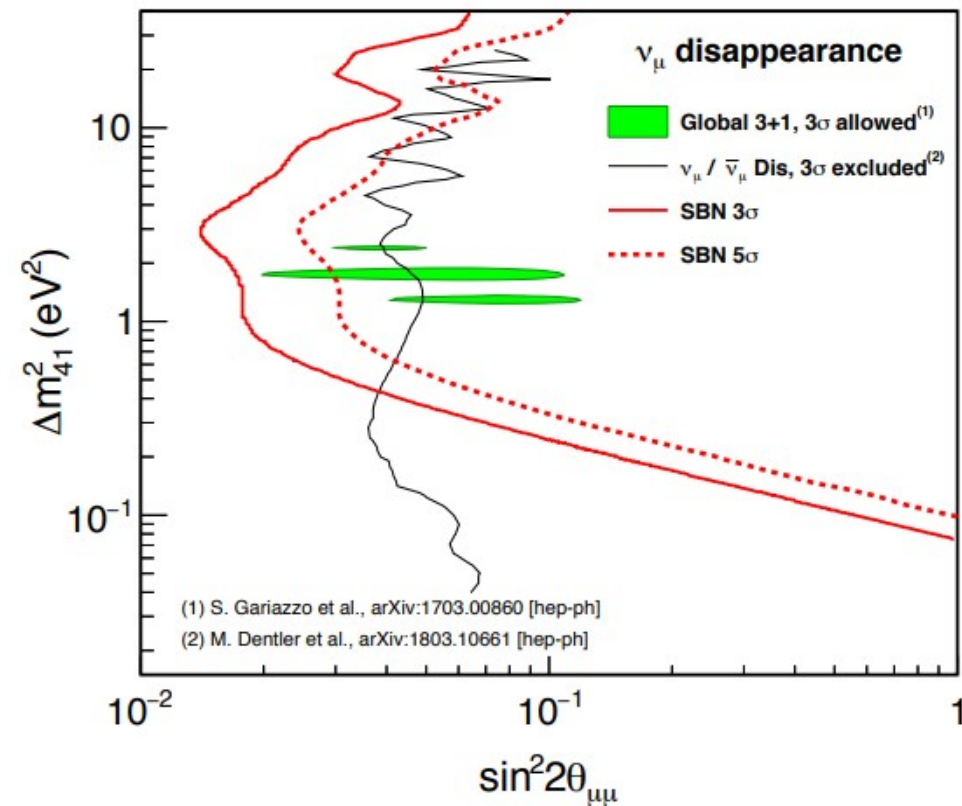


SBND

ν_e appearance



ν_μ disappearance

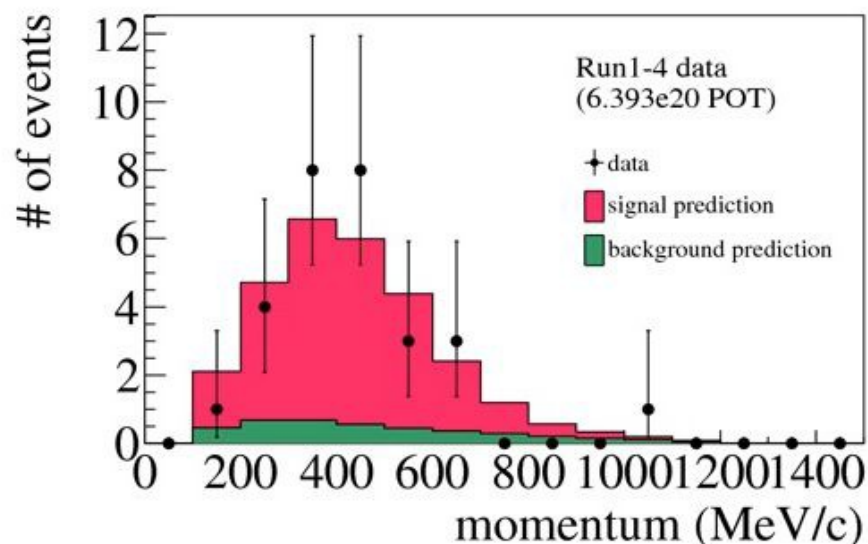


- SBN cover much of the parameters allowed by past anomalies at $>5\sigma$ significance

▶ Starts taking data in 2022 (currently)

Neutrino Cross-sections

Systematic Uncertainties



To do these sort of measurements

Measure number of events at
Far Detector

Compare with expected number of
events

$$\text{Expected Number of events} = \sigma \Phi T \epsilon$$

Cross
Section

10-100%

Neutrino
Flux

5-10%

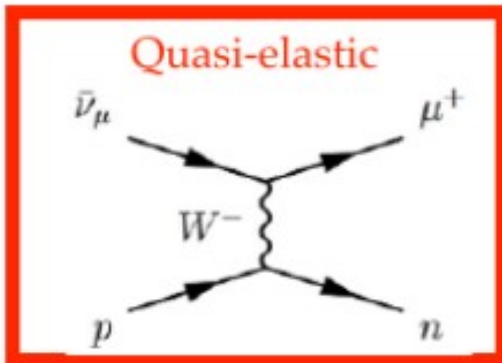
Number of
Targets

1-2%

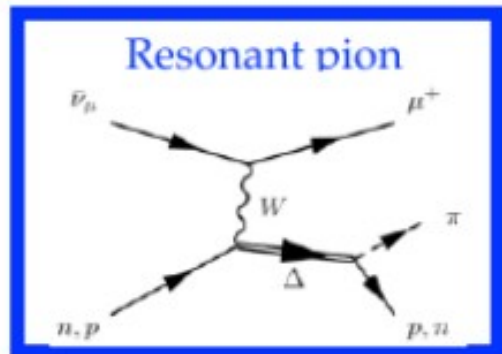
Selection
Efficiency

10%

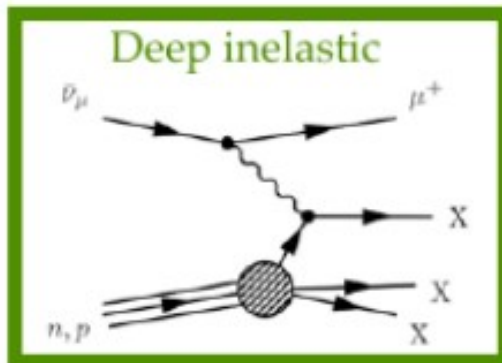
Neutrino Interactions



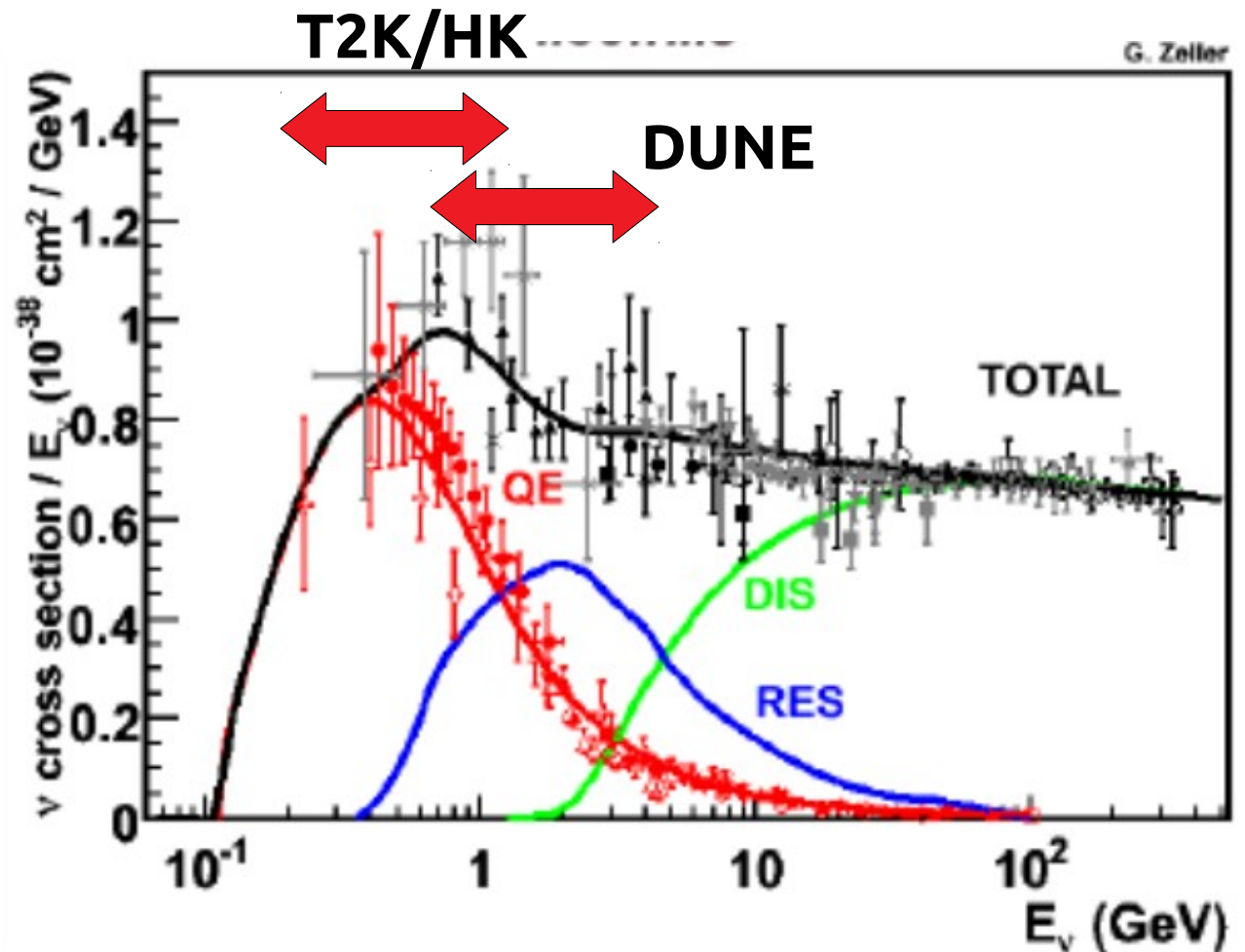
QE



RES



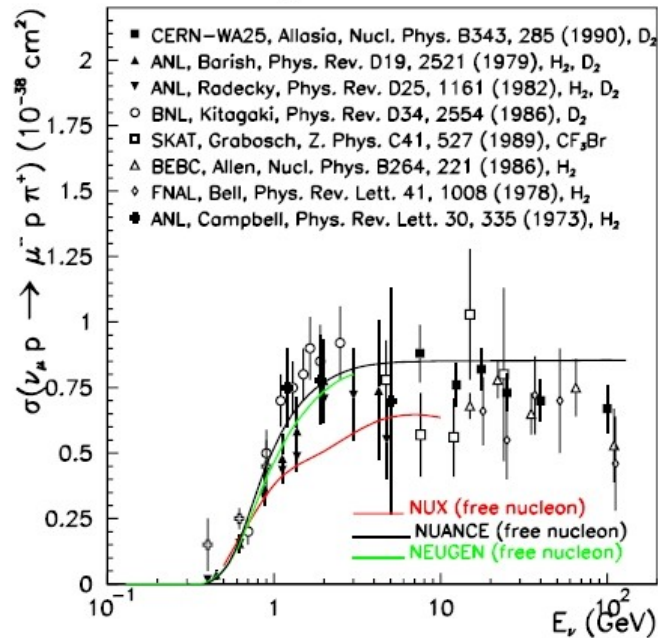
DIS



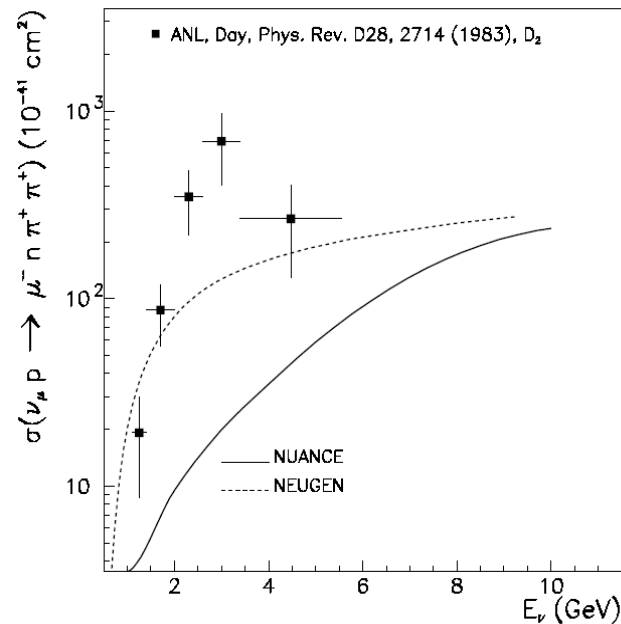
Xsec data pre 2007

The data was impressively imprecise

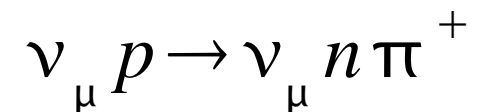
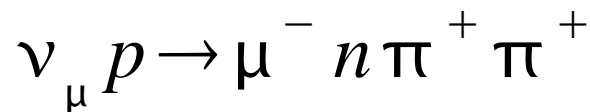
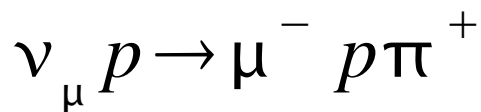
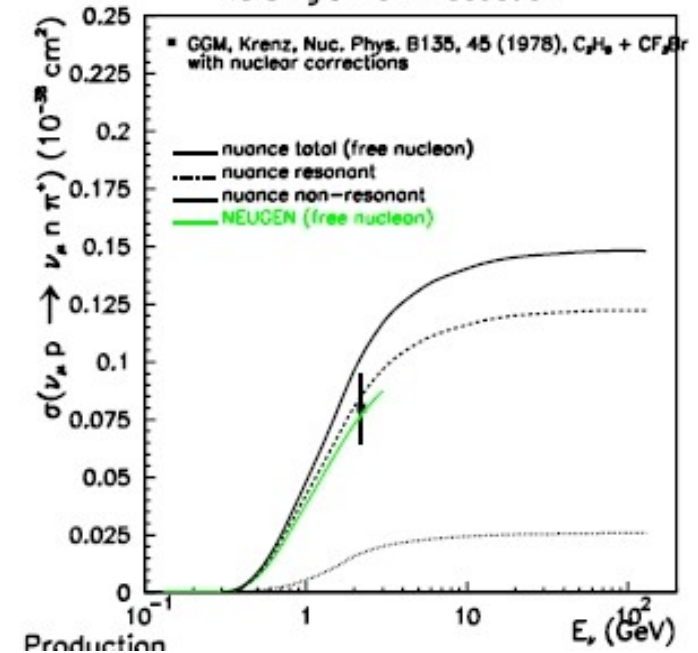
CC Single Pion Production



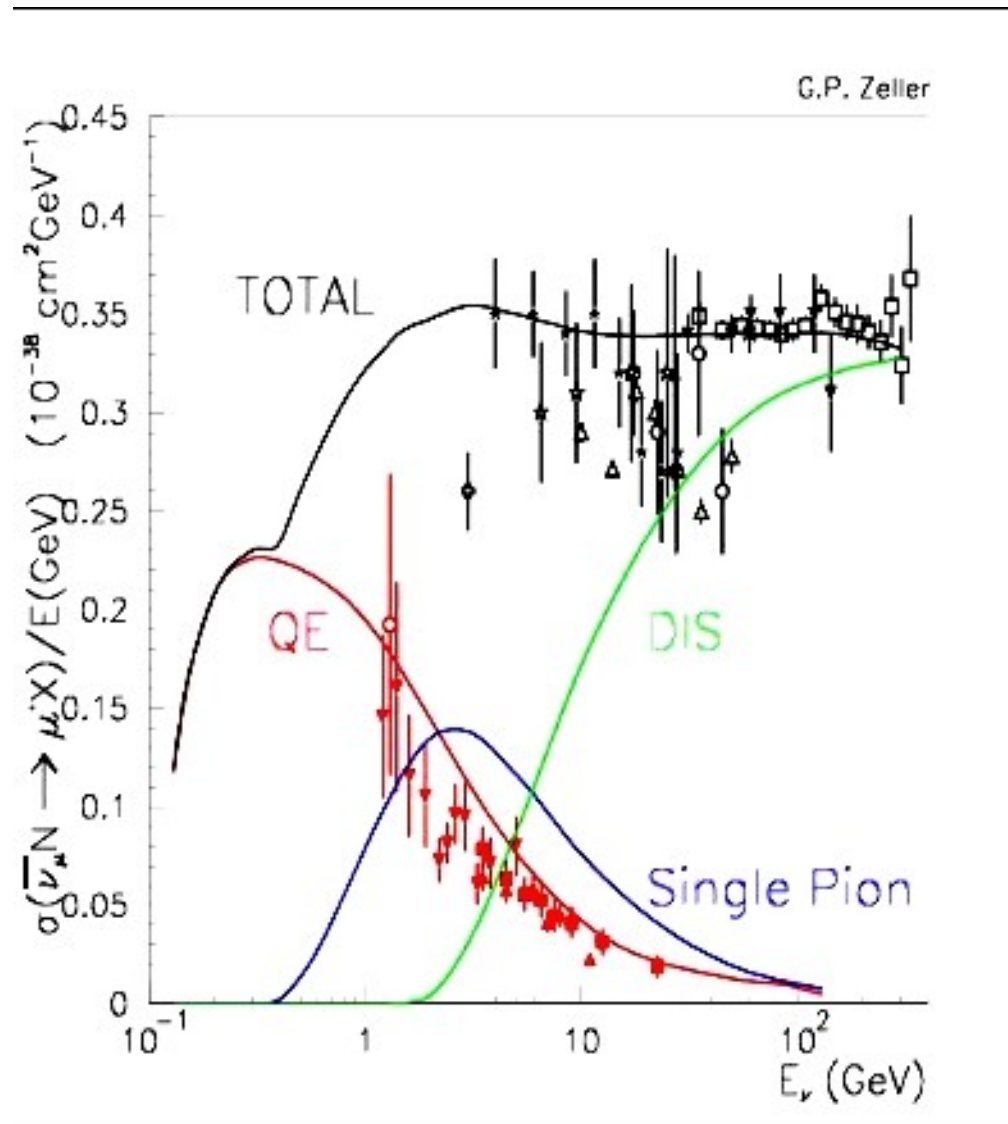
Multi Pion Production



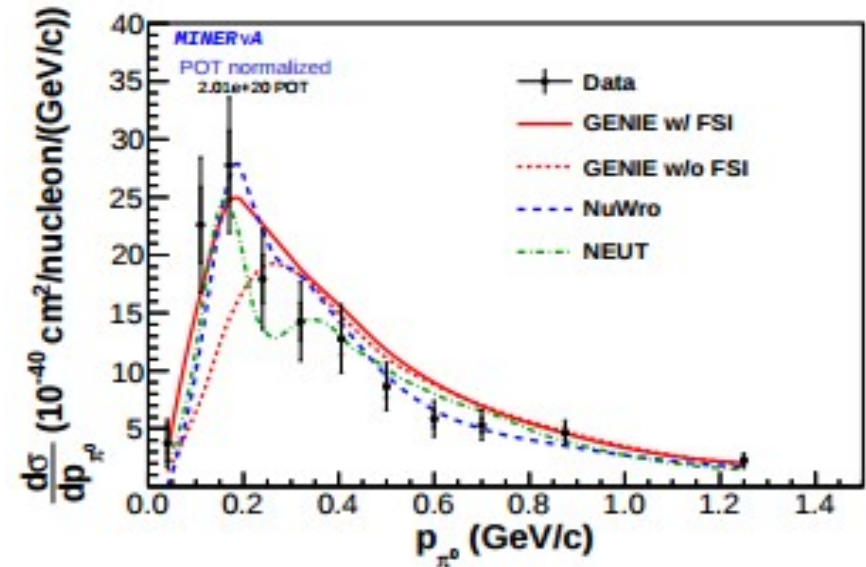
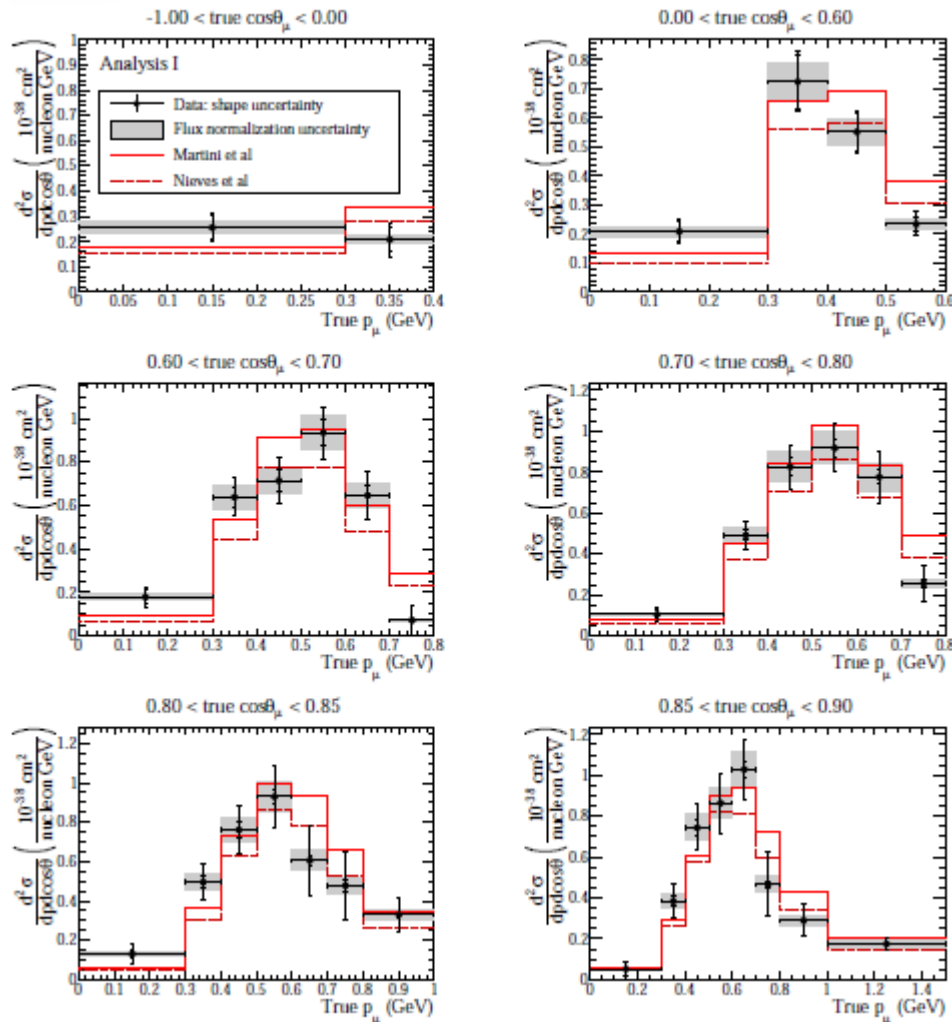
NC Single Pion Production



World Data for Antineutrinos



It's slowly getting better

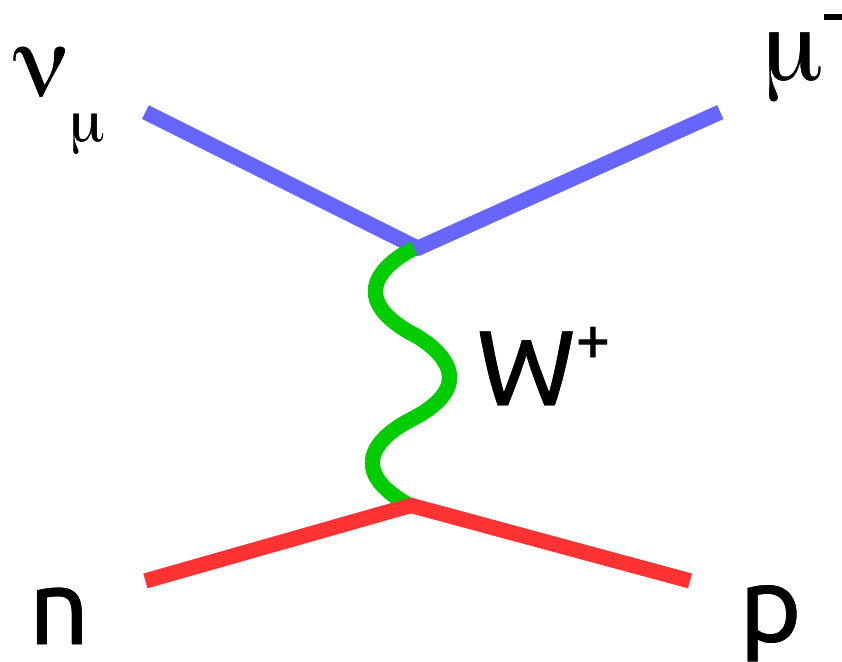


CC π^0 differential xsec from
MINERvA
Phys.Lett. B749 (2015) 130-136

Lot's of effort going into trying
to understand neutrino
interaction cross sections

CC 0π differential Xsec from T2K
arXiv:1602.03652

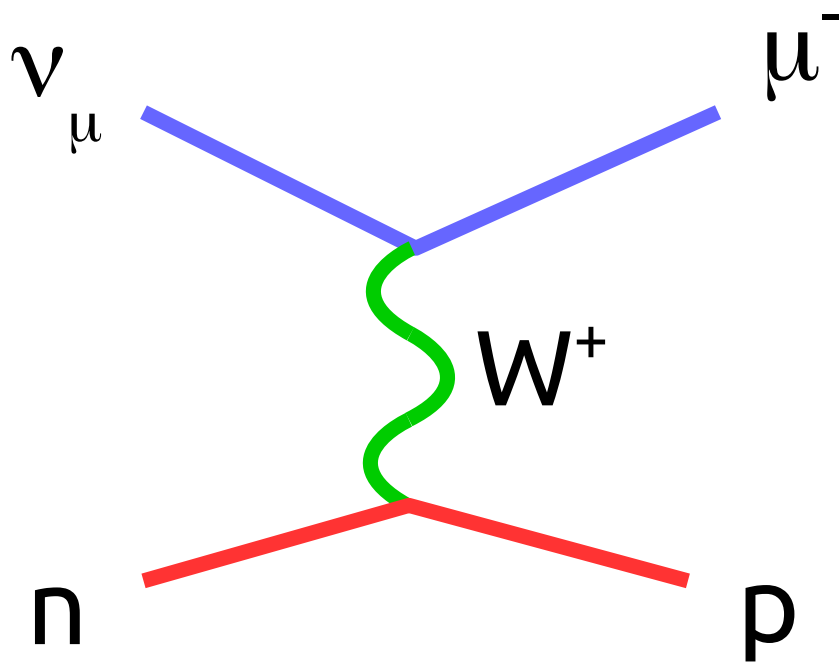
eg : Quasi-Elastic Scattering



- ▶ Usually thought of as a single nucleon knock-out process
- ▶ In the past has been used as a “standard candle” to normalise other cross sections
- ▶ Heavily studied in the 1970's and 1980's and considered to be “understood”

I. Very important for current oscillation experiments as it dominates the total cross section at a few GeV

Quasi-Elastic Scattering

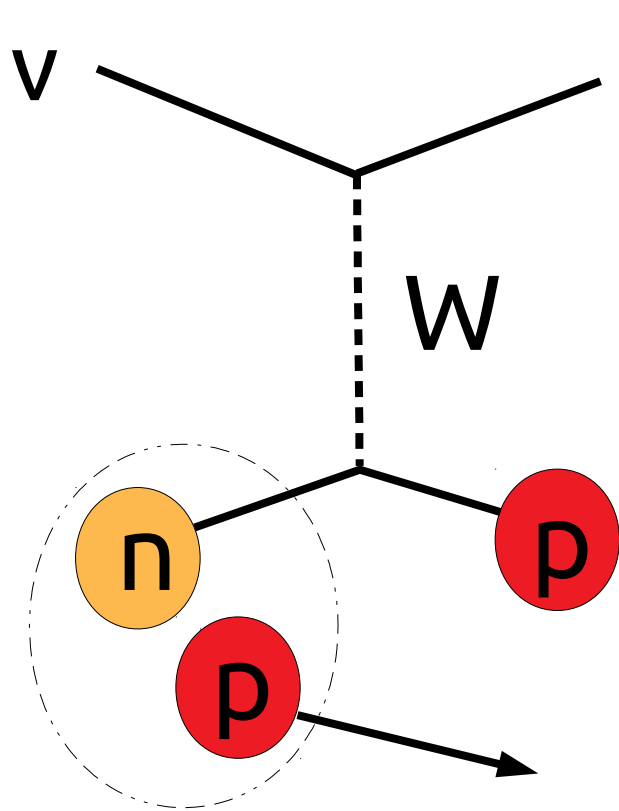


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- ▶ In the past has been used as a “standard candle” to normalise other cross sections
- ▶ Heavily studied in the 1970's and 1980's and considered to be “understood”

II. Energy reconstruction is unbiased assuming 2 body kinematics

$$E_{\nu;rec} = \frac{2(m_N - E_B)E_\mu - (E_B^2 - 2m_N E_B + m_\mu^2)}{2(m_N - E_B - E_\mu + |p_\mu| \cos \theta_\mu)}$$

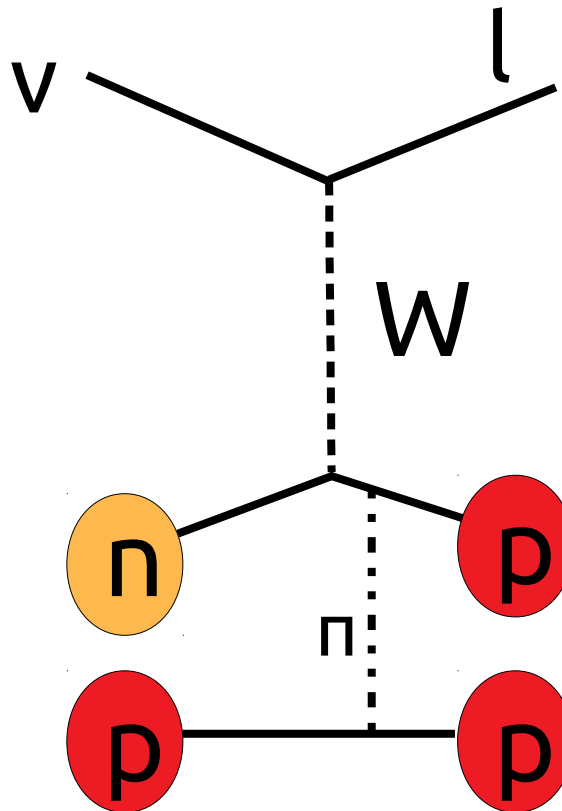
Nuclear Effects



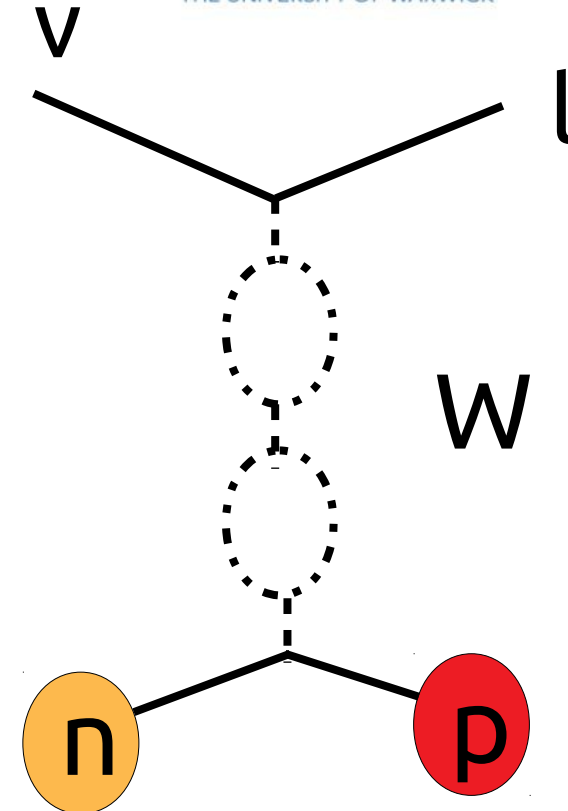
quasi-deuteron

Short-range correlations (SRC)

2p2h processes - medium to high Q^2

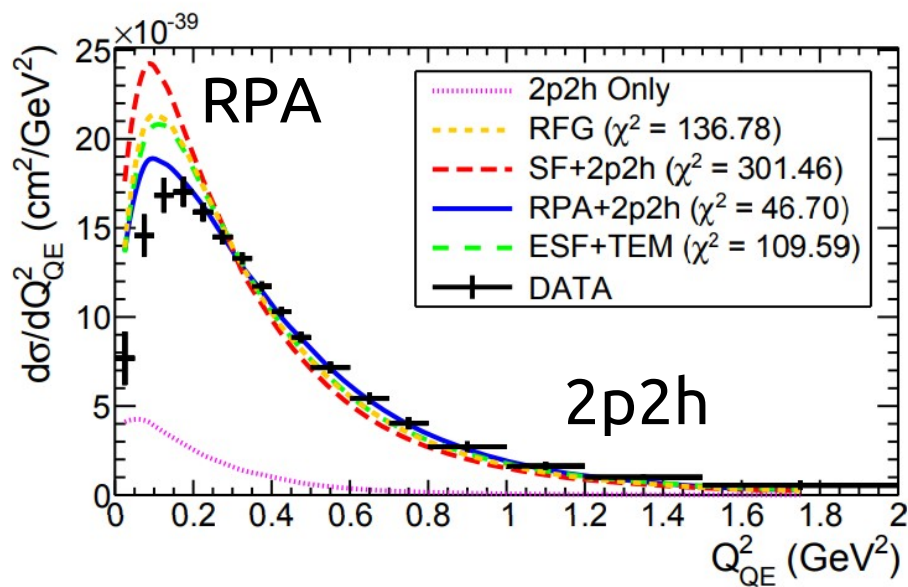


Meson Exchange Currents (MEC)

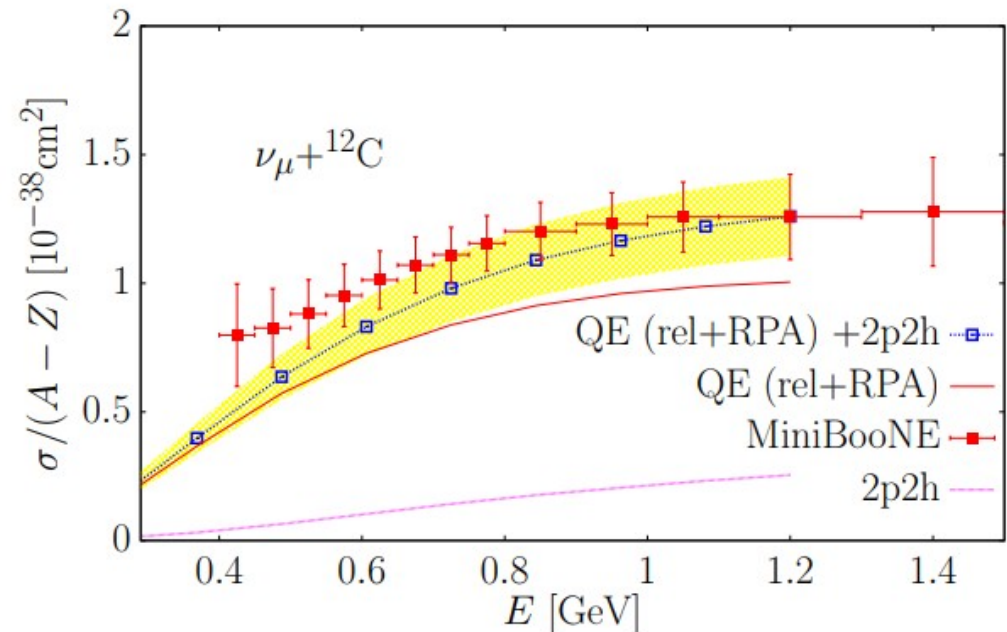


RPA effects
 W polarisation changes strength of weak interaction

Effect of nuclear corrections

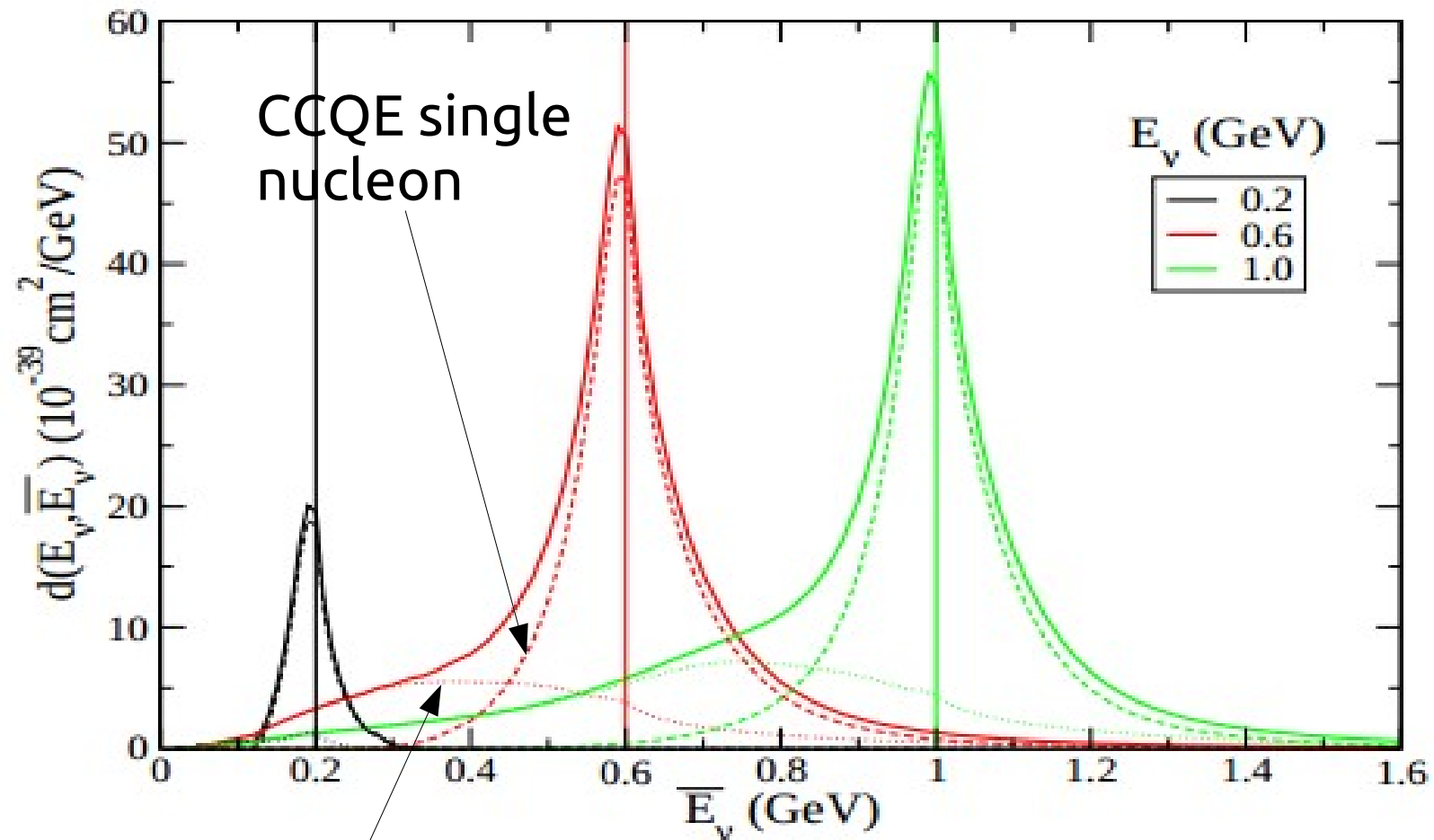


► Models change Q^2 shape in different regions



► Models add a new channel which increases the total cross section

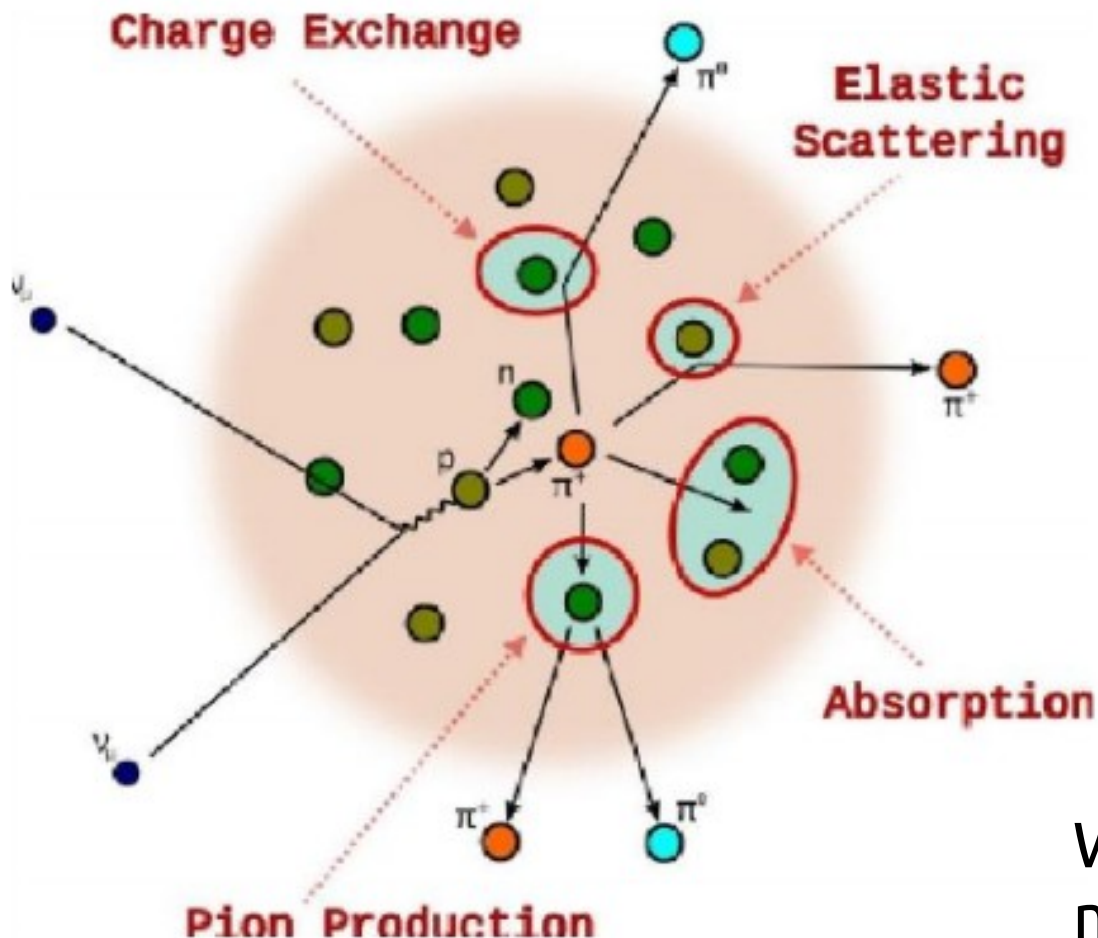
Effect on energy reconstruction



Multinucleon

Martini et al, arxiv : 1211.1523

Final State Interactions



In the nuclear medium

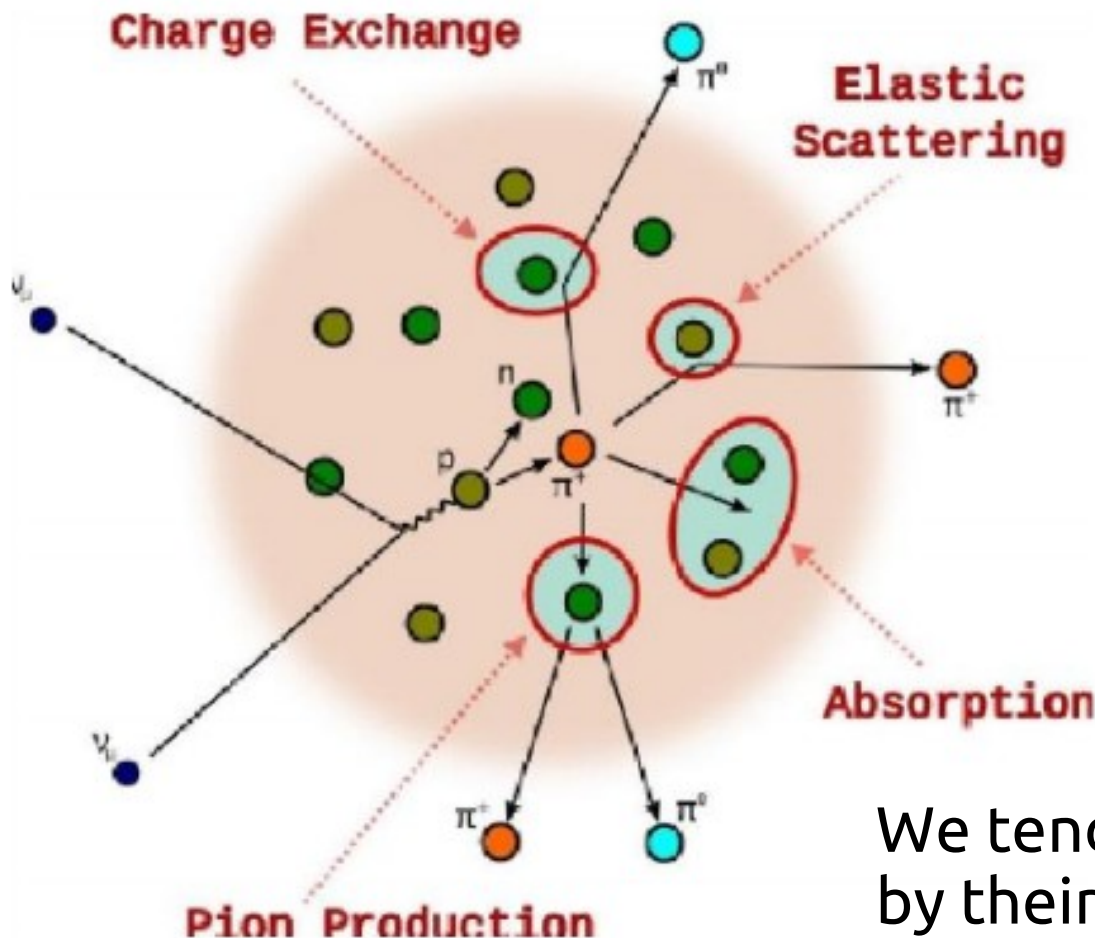
- ▶ Outgoing protons can
 - ▶ Scatter
 - ▶ Lose energy

- ▶ Outgoing pions can
 - ▶ scatter
 - ▶ be absorbed
 - ▶ create more pions
 - ▶ charge exchange

What you see in the detector may not be what happened at the interaction point

Final State Interactions

In the nuclear medium



- ▶ Outgoing protons can
 - ▶ Scatter
 - ▶ Lose energy

- ▶ Outgoing pions can
 - ▶ scatter
 - ▶ be absorbed
 - ▶ create more pions
 - ▶ charge exchange

We tend to categorise events by their final state content now rather than their theoretical “label”

Lesson learned....

- ▶ It's taken T2K more than 10 years to understand the simplest neutrino interaction – and we still don't really understand the hadronic side of any interaction.
- ▶ We have managed to halve the systematic uncertainty from the model.
- ▶ Any experiment at different energies or using different types of nuclei as targets will have similar problems.
- ▶ I'm looking at you, DUNE
- ▶ DUNE operates at 3 GeV – the region of resonance production which hasn't had anywhere near as much theoretical attention as QE at T2K energies has – and uses Argon.
- ▶ DUNE does have the advantage that its Far Detector and Near Detector have the same target material (Ar) so the relative effects sort-of cancel.

Summary on xsec

- ▶ We measure $\text{events} = \text{flux} * \text{cross section}$
- ▶ We don't generally have a handle on the flux to better than 7% - there is a lot of work trying to deal with this.
- ▶ The other side of the coin, cross-sections, are even more poorly known.
- ▶ We need new, high-statistics, measurements of these cross sections on multiple target materials and at multiple energies : MINERvA, T2K/HyperK Near detector, DUNE ND, dedicated HP-TPC experiments

Concluding Remarks

The neutrino is : light, neutral, left-handed (chiral) and almost left-handed (helicity). It is generated purely in weak interactions (which is why it is chiral). Their cross sections are tiny and we need big detectors to look at them. They mix and can undergo flavour oscillations.

They may be the reason that we are here at all.

But...what is their mass? Why is it so small? Why are the mixing parameters so odd? What about these hints of a 1 eV sterile state? Is it Majorana? If not – then how do you explain mass without the Higgs? What is the CP violating phase?

Still lots of questions remain – watch this space.....

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