



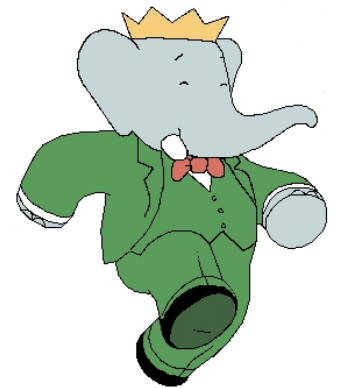
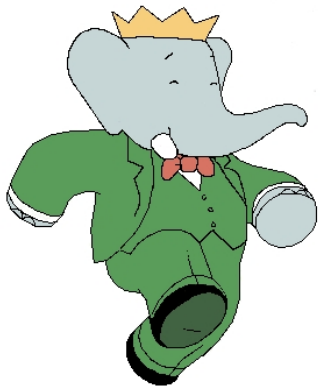
Recent BaBar results on charm mesons

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For the BaBar collaboration

Lake Louise Workshop 2016





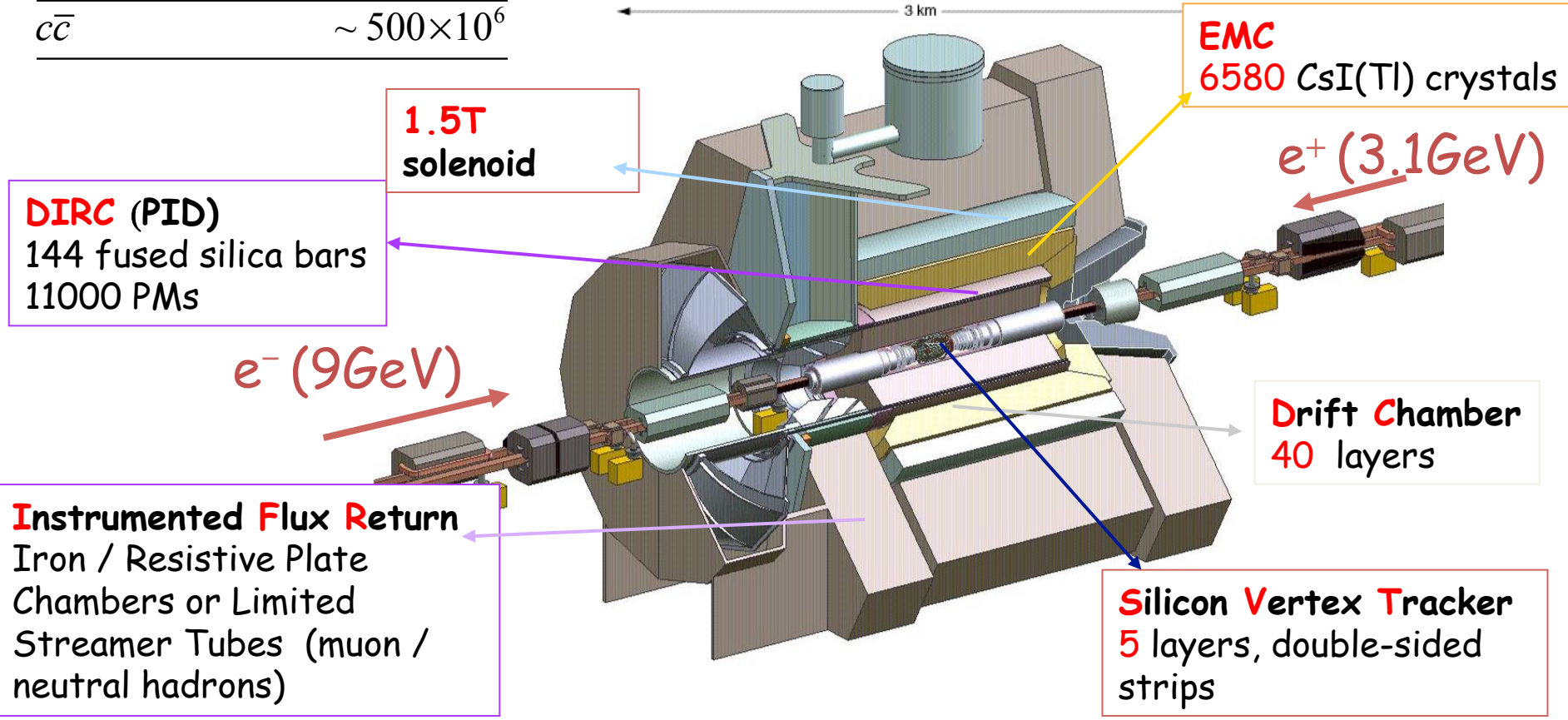
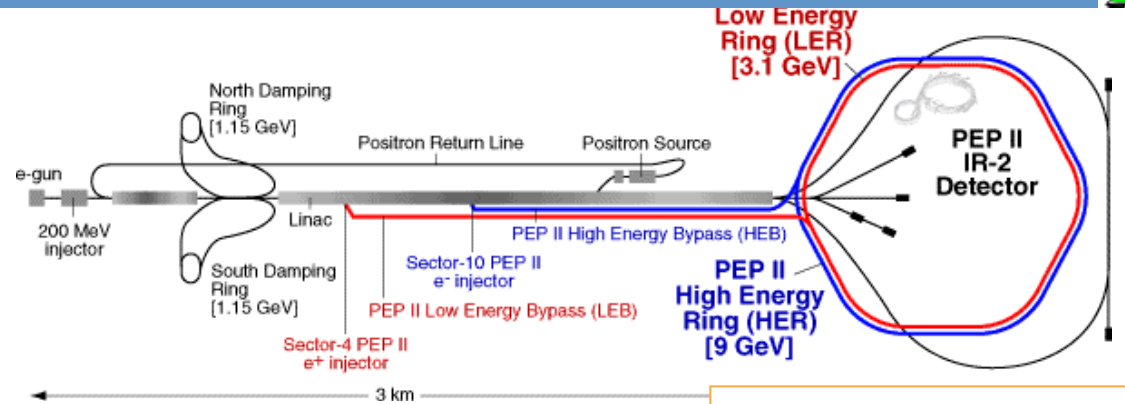
1. D^0 - \bar{D}^0 mixing with $D^0 \rightarrow \pi^+ \pi^- \pi^0$ decays
 - Measurement of x and y mixing parameters
 - To be submitted to PRD (this week)

2. $D^0 \rightarrow \pi^- e^+ \nu_e$
 - Differential branching fractions
 - CKM elements
 - Form factors
 - PRD 91, 052022 (2015)



PEP II and BaBar

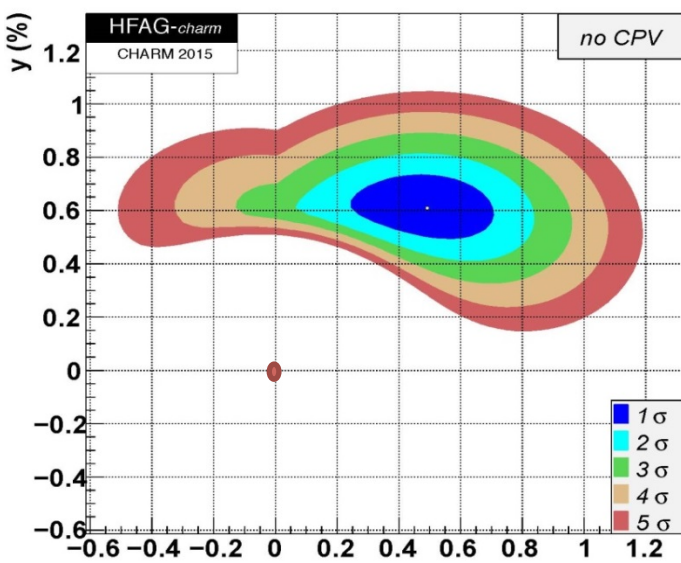
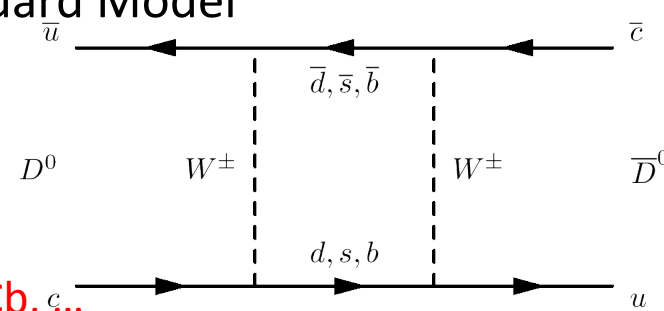
	Lumi	BB Events
$\Upsilon(4S)$	424 fb^{-1}	471×10^6
$\Upsilon(3S)$	28 fb^{-1}	121×10^6
$\Upsilon(2S)$	14 fb^{-1}	99×10^6
$c\bar{c}$		$\sim 500 \times 10^6$





Time-dependent D^0 mixing in $D^0 \rightarrow \pi^+ \pi^- \pi^0$

- Charm is an up-type quark. Complementary to studies in the K and B sectors.
- Mixing and CP Violation (CPV) are small in the Standard Model
 - CPV < 0.1% - 1% (depending on assumptions)
 - Potentially Sensitive to New Physics.
- D^0 mixing well-established now:
 - First measured in 2007 by BaBar and Belle
 - Confirmed and extended by CLEO-c, CDF, BES-III, LHCb, ...

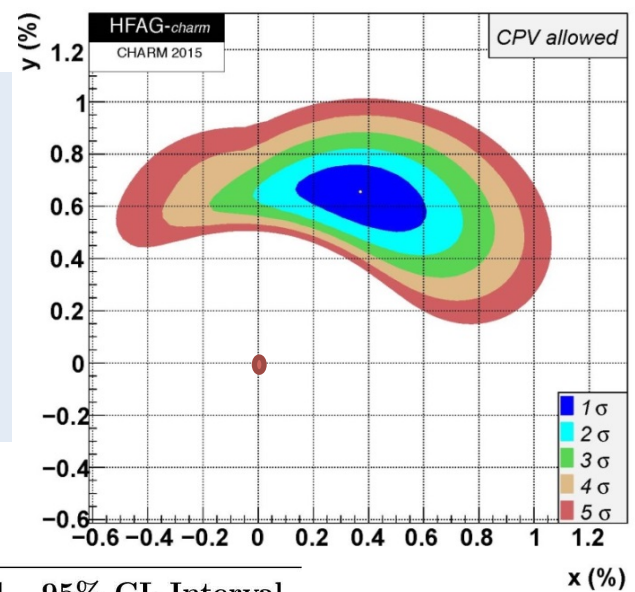


$$x = (m_1 - m_2) / \Gamma_D$$

$$y = (\Gamma_1 - \Gamma_2) / 2\Gamma_D$$

$$\Gamma_D = (\Gamma_1 + \Gamma_2) / 2$$

$$\tau_D = 1 / \Gamma_D$$



Parameter	No CPV	No direct CPV in DCS decays	CPV-allowed	95% CL Interval
x (%)	$0.49^{+0.14}_{-0.15}$	$0.44^{+0.14}_{-0.15}$	0.37 ± 0.16	[0.06, 0.67]
y (%)	0.61 ± 0.08	0.60 ± 0.07	$0.66^{+0.07}_{-0.10}$	[0.46, 0.79]

Time-dependent D^0 mixing in $D^0 \rightarrow \pi^+ \pi^- \pi^0$



474 fb⁻¹

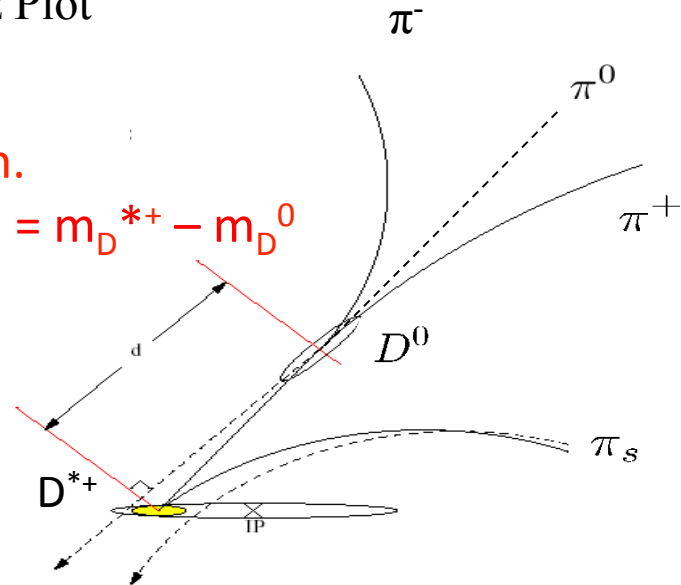
$$D^{*+} (2010)^+ \rightarrow D^0 \pi_s^+, D^0 \rightarrow \pi^+ \pi^- \pi^0$$

$$|M(D^0)|^2 \propto \frac{1}{2} e^{-\Gamma_D t} \left\{ |A_f|^2 [\cosh(y\Gamma_D t) + \cos(x\Gamma_D t)] \right. \\ \left. + \left| \frac{q}{p} \bar{A}_f \right|^2 [\cosh(y\Gamma_D t) - \cos(x\Gamma_D t)] \right. \\ \left. - 2 \left[\Re \left(\frac{q}{p} A_f^* \bar{A}_f \right) \sinh(y\Gamma_D t) - \Im \left(\frac{q}{p} A_f^* \bar{A}_f \right) \sin(x\Gamma_D t) \right] \right\}$$

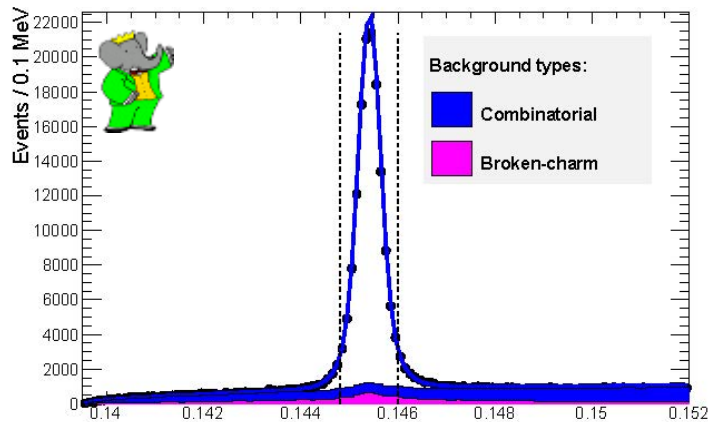
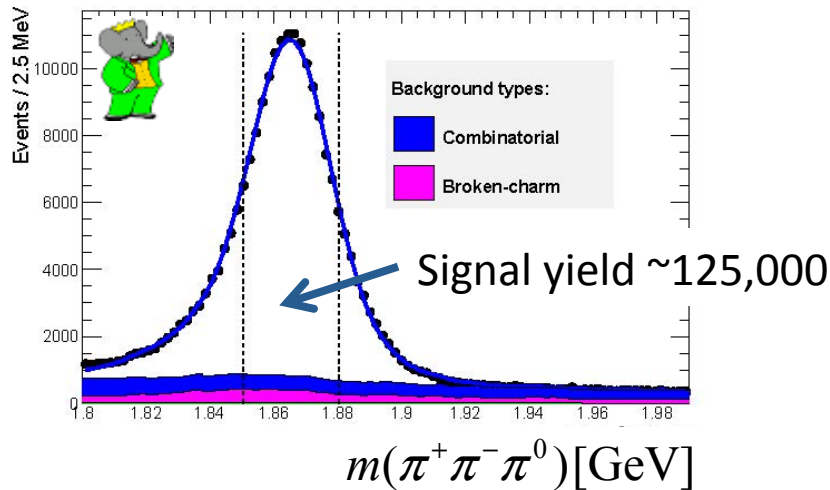
← Direct decay $D^0 \rightarrow \pi^+ \pi^- \pi^0$
← Mixing $D^0 \rightarrow \bar{D}^0 \rightarrow \pi^+ \pi^- \pi^0$
← Interference

Amplitudes A_f depend on position in Dalitz Plot

- Sign of π_s^+ identifies D^0 flavour at production.
- Yield extracted from a 2-D fit to m_{D^0} and $\Delta m = m_{D^{*+}} - m_{D^0}$
- Ignore CP Violation
- Fit time-dependent Dalitz plot
- Extract x, y, τ_D and resonance parameters



Yields and Dalitz Plot Amplitudes



$$\Delta m = m(\pi^+ \pi^- \pi^0 \pi_s^+) - m(\pi^+ \pi^- \pi^0) [\text{GeV}]$$

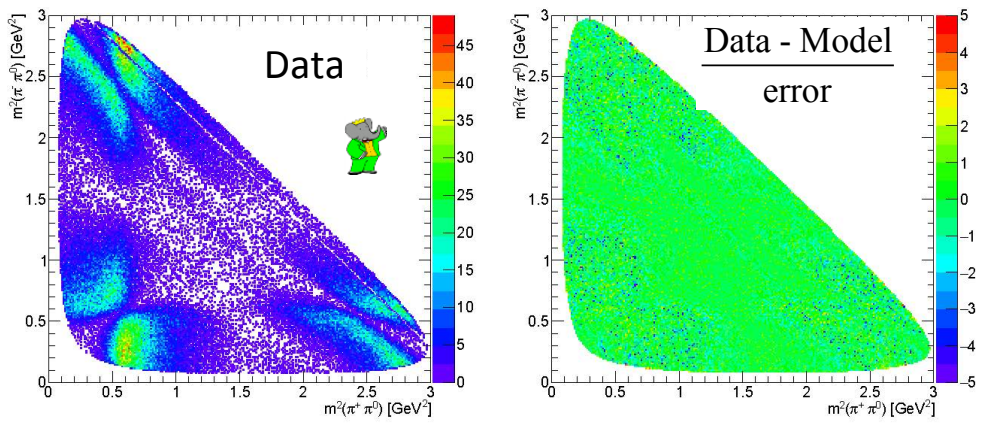
Initial choice of Dalitz Plot amplitudes comes from BaBar's $B^+ \rightarrow D^0(\rightarrow \pi^+ \pi^- \pi^0) K^+$ paper: PRL 99, 251801 (2007).

State	J^{PC}	Resonance parameters	
		Mass (MeV)	Width (MeV)
$\rho(770)^+$	1^{--}	775.8	150.3
$\rho(770)^0$	1^{--}	775.8	150.3
$\rho(770)^-$	1^{--}	775.8	150.3
$\rho(1450)^+$	1^{--}	1465	400
$\rho(1450)^0$	1^{--}	1465	400
$\rho(1450)^-$	1^{--}	1465	400
$\rho(1700)^+$	1^{--}	1720	250
$\rho(1700)^0$	1^{--}	1720	250
$\rho(1700)^-$	1^{--}	1720	250
$f_0(980)$	0^{++}	980	44
$f_0(1370)$	0^{++}	1434	173
$f_0(1500)$	0^{++}	1507	109
$f_0(1710)$	0^{++}	1714	140
$f_2(1270)$	2^{++}	1275.4	185.1
$f_0(500)$	0^{++}	500	400
NR			

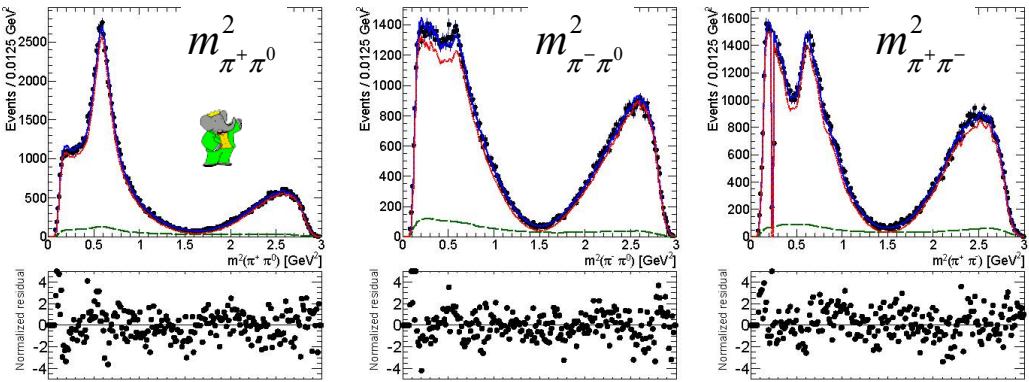
“Broken charm” : mis-reconstructed signal and other D^0 decays



Dalitz Plot Fit and mixing results



State	J^{PC}	Resonance parameters		Fit to data results		
		Mass (MeV)	Width (MeV)	Magnitude	Phase ($^\circ$)	Fraction f_r (%)
$\rho(770)^+$	1^{--}	775.8	150.3	1	0	66.4 ± 0.5
$\rho(770)^0$	1^{--}	775.8	150.3	0.55 ± 0.00	16.10 ± 0.43	23.9 ± 0.3
$\rho(770)^-$	1^{--}	775.8	150.3	0.73 ± 0.01	-1.58 ± 0.51	35.6 ± 0.4
$\rho(1450)^+$	1^{--}	1465	400	0.55 ± 0.07	-7.69 ± 8.17	1.1 ± 0.3
$\rho(1450)^0$	1^{--}	1465	400	0.19 ± 0.07	-70.39 ± 15.91	0.1 ± 0.1
$\rho(1450)^-$	1^{--}	1465	400	0.53 ± 0.06	8.15 ± 6.66	1.0 ± 0.2
$\rho(1700)^+$	1^{--}	1720	250	0.91 ± 0.15	-23.34 ± 10.27	1.5 ± 0.5
$\rho(1700)^0$	1^{--}	1720	250	0.60 ± 0.13	-56.32 ± 16.03	0.7 ± 0.3
$\rho(1700)^-$	1^{--}	1720	250	0.98 ± 0.17	78.88 ± 8.48	1.7 ± 0.6
$f_0(980)$	0^{++}	980	44	0.06 ± 0.00	-58.75 ± 2.89	0.3 ± 0.0
$f_0(1370)$	0^{++}	1434	173	0.20 ± 0.03	-19.63 ± 9.45	0.3 ± 0.1
$f_0(1500)$	0^{++}	1507	109	0.18 ± 0.02	7.41 ± 7.40	0.3 ± 0.1
$f_0(1710)$	0^{++}	1714	140	0.40 ± 0.08	42.92 ± 8.84	0.3 ± 0.1
$f_2(1270)$	2^{++}	1275.4	185.1	0.25 ± 0.01	8.84 ± 2.61	0.9 ± 0.0
$f_2(500)$	0^{++}	500	400	0.26 ± 0.01	-4.12 ± 3.67	0.9 ± 0.1
NR				0.43 ± 0.07	-22.10 ± 11.70	0.4 ± 0.1



Blue = full fit
 Red = signal
 Green = background

Consistent with previous results

This result	World avg.
$x = (1.50 \pm 1.17 \pm 0.56)\%$	$(0.49^{+0.14}_{-0.15})\%$
$y = (0.19 \pm 0.89 \pm 0.46)\%$	$(0.61 \pm 0.08)\%$
$\tau_D = (410.2 \pm 3.8) \text{ fs}$	$(410.1 \pm 1.5) \text{ fs}$



$D^0 \rightarrow e^+ \pi^- \nu_e$ decays and V_{cd} , V_{ub} , and $f_{\pi_{+D}}$

- CKM elements measured in nuclear β decays and semi-leptonic decays of π , K, D, and B mesons
 - Semi-leptonic D decay rate depends on square of product of CKM element V_{cd} and form factor f .

$$\frac{d\Gamma}{dq^2 d\cos\theta_e} = \frac{G_F^2}{32\pi^3} (|V_{cd}| \times |f_{+,D}^\pi(q^2)|)^2 p_\pi^{*3}(q^2) \sin^2\theta_{e^+}$$

- (p_π^* = π momentum in D^0 rest frame; $q=(p_D-p_\pi)$; θ_e = angle of e^+ in the $e^+\nu_e$ rest-frame w.r.t. direction of π in D^0 rest-frame)
 - Form factors come from models, calculations, and parameterisations.
- Exploit large $e^+e^- \rightarrow c\bar{c}$ x-section at BaBar (1.3nb)
 - $D^{*+} \rightarrow D^0 \pi^+$, $D^0 \rightarrow \pi^- e^+ \nu_e$
 - π^+ determines flavour of initial D^0
 - Measure $D^0 \rightarrow K^- \pi^+$ as a calibration channel
 - Similar to $D^0 \rightarrow K^- e^+ \nu_e$ approach [PRD76, 052005 (2007)]



- General Expression:

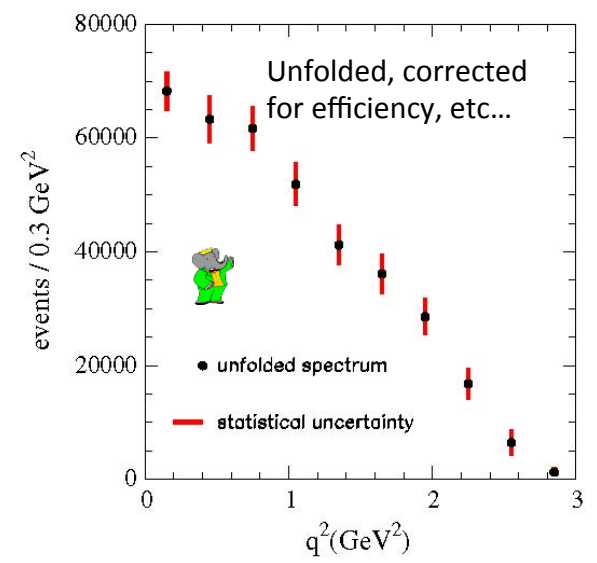
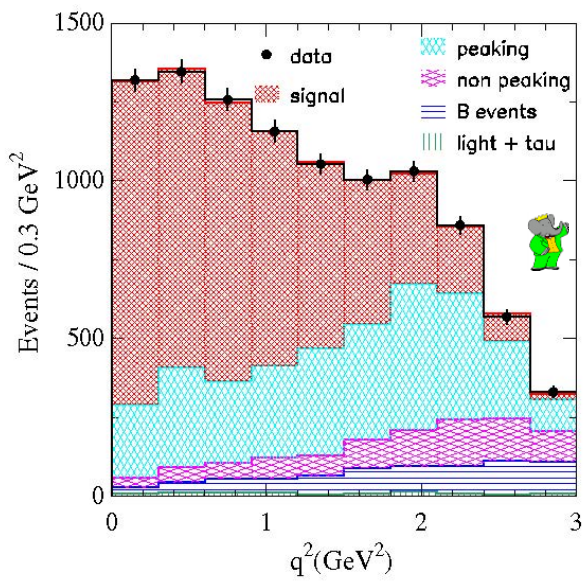
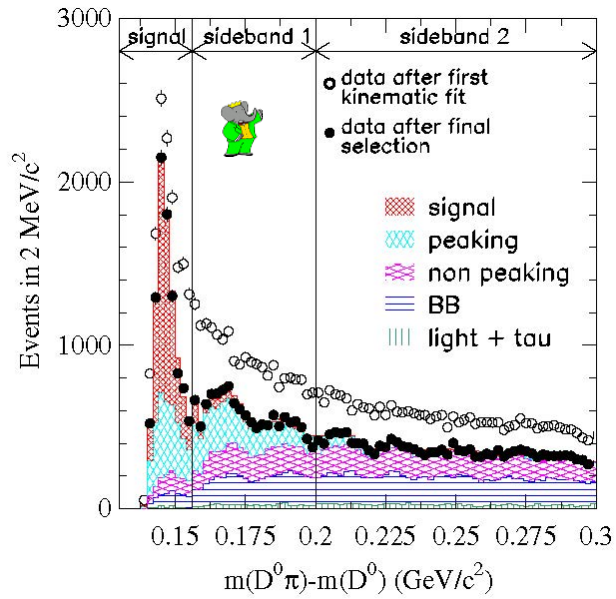
$$f_{+,D}^{\pi}(q^2) = \frac{1}{\pi} \int_{(m_D + m_{\pi})^2}^{\infty} dt \frac{\Im m(f_{+,D}^{\pi}(t))}{t - q^2 - i\epsilon}$$

- Different theoretical approaches including:
 - Dispersive.
 - Multipole (“fixed pole” and “effective pole”).
 - Z expansion (model independent).
 - Quark model ISGW2 (PRD 52, 2783 (1995)).
- (See backup slide for more details and formulae)



Differential decay rate

- Exploit 2-jet structure of $c\bar{c}$ decays by splitting event into two hemispheres in the CM system around thrust axis. One hemisphere will contain the $D^0 \rightarrow \pi^- e^+ \nu_e$ decay.
- Additional knowledge
 - Estimate ν energy E_ν from missing energy in hemisphere with $D^0 \rightarrow \pi^- e^+ \nu_e$ decay.
 - D^0 direction can be estimated from sum of particles in other hemisphere.
 - Perform kinematic fit to $\pi^- e^+ \nu_e$ with E_ν , D^0 direction, and D^0 mass as additional constraints



9926 signal, 4623 background events



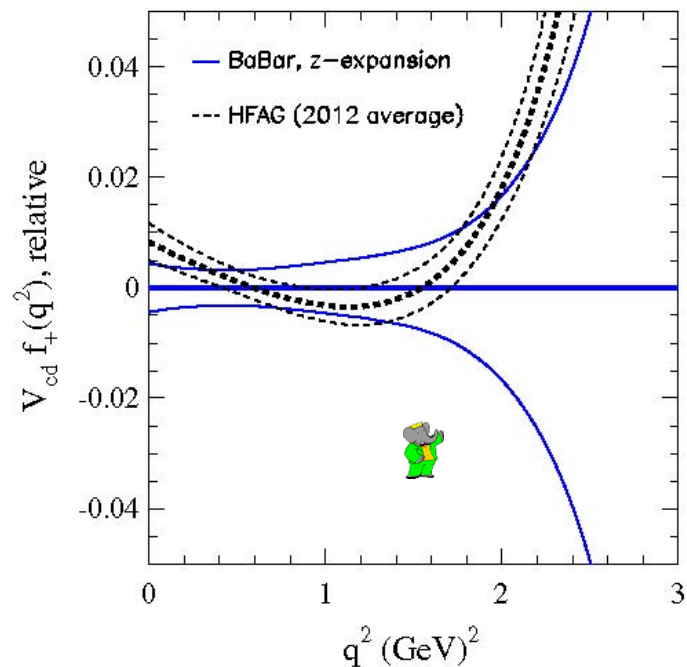
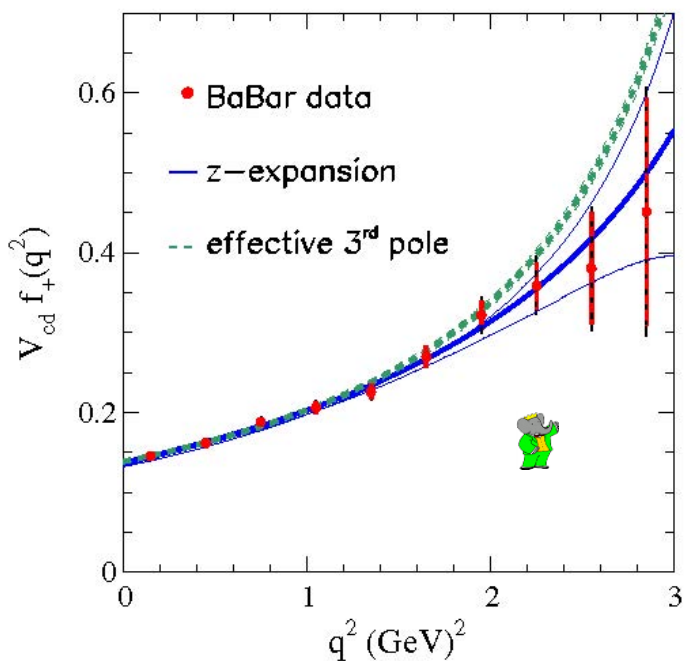
Branching fractions and $V_{cd} \times f_{+,D}^\pi$

$$R_D = \frac{B(D^0 \rightarrow \pi^- e^+ \nu_e)}{B(D^0 \rightarrow K^- \pi^+)} = (0.0713 \pm 0.0017 \pm 0.0024)$$

$$\Rightarrow B(D^0 \rightarrow \pi^- e^+ \nu_e) = (2.770 \pm 0.068 \pm 0.092 \pm 0.037) \times 10^{-3}$$

$$|V_{cd}| \times f_{+,D}^\pi(0) = \sqrt{\frac{24\pi^3}{G_F^2} \frac{B(D^0 \rightarrow \pi^- e^+ \nu_e)}{\tau_{D^0} I}} \quad (\text{Using } Z \text{ expansion to calculate } I)$$

$$|V_{cd}| \times f_{+,D}^\pi(0) = 0.1374 \pm 0.0038 \pm 0.0022 \pm 0.0009$$





- This result: $|V_{cd}| \times f_{+,D}^{\pi}(0) = 0.1374 \pm 0.0038 \pm 0.0022 \pm 0.0009$

Experiment	Ref.	$ V_{cd} \times f_{+,D}^{\pi}(0)$
Belle (2006)	[6]	$0.140 \pm 0.004 \pm 0.007$
CLEO-c untagged (2008)	[7]	$0.140 \pm 0.007 \pm 0.003$
CLEO-c untagged (2008)	[7]	$0.138 \pm 0.011 \pm 0.004$
CLEO-c tagged (2009)	[8]	$0.150 \pm 0.004 \pm 0.001$
BESIII (2012)(prel.)	[42]	$0.144 \pm 0.005 \pm 0.002$
HFAG average (2012)	[40]	0.146 ± 0.003
BESIII (2014)(prel.)	[9]	$0.1420 \pm 0.0024 \pm 0.0010$
This analysis		$0.137 \pm 0.004 \pm 0.002 \pm 0.001$
LQCD predictions	Ref.	$ V_{cd} \times f_{+,D}^{\pi}(0)$
FNAL/MILC (2004)	[43]	0.144 ± 0.016
ETMC (2011)	[44]	0.146 ± 0.020
HPQCD (2011)	[41]	0.150 ± 0.007
HPQCD (2013)	[45]	0.153 ± 0.009

- Lattice QCD gives $f_{+,D}^{\pi}(0) = 0.666 \pm 0.029$:

$$|V_{cd}| = 0.206 \pm 0.007 \pm 0.009_{LQCD}$$

$$|V_{cd}^{PDG}| = 0.225 \pm 0.008$$

- Assuming $|V_{cd}| = |V_{us}| = 0.2252 = \lambda$ (Wolfenstein):

$$f_{+,D}^{\pi}(0) = 0.610 \pm 0.020 \pm 0.005$$

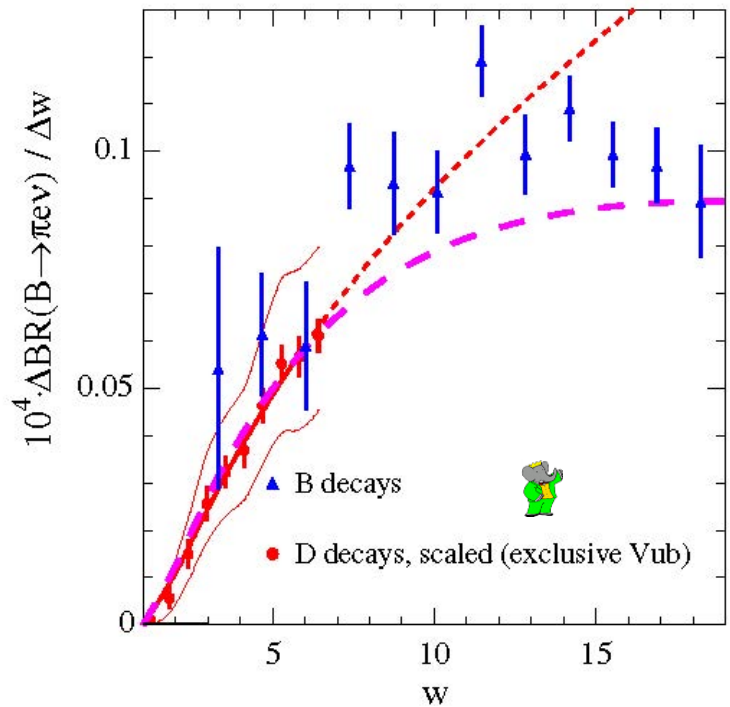


Extrapolation to $B^0 \rightarrow e^+ \pi^- \nu_e$ and V_{ub}

- Extrapolate D^0 measurements to B^0 regime:

$$\frac{dB^B}{dw} = \frac{dB^D}{dw} \Big|_{meas} \frac{m_B \tau_B}{m_D \tau_D} \left(\frac{|V_{ub}|}{|V_{cd}|} \right)^2 R_{BD}^2, \quad w = E_\pi^* / m_\pi$$

R_{BD}^2 : ratio of B and D form factors from LQCD
 Fit to $D^0 \rightarrow e^+ \pi^- \nu_e$ with LQCD predictions for $R_{BD}^2 = 1.8 \pm 0.2$



“Fixed” 3-pole ansatz

“Effective” 3-pole ansatz

$|V_{ub}| = (3.65 \pm 0.18 \pm 0.40) \times 10^{-3}$
 Compare with PDG value:
 $|V_{ub}|^{excl} = (3.23 \pm 0.31) \times 10^{-3}$



- BaBar continues to exploit its charm dataset.
- $D^0 \rightarrow \pi^+ \pi^- \pi^0$:
 - First measurement of D^0 - \bar{D}^0 mixing parameters from a time dependent analysis of $D^0 \rightarrow \pi^+ \pi^- \pi^0$ decays.
 - Consistent with other measurements.
- $D^0 \rightarrow \pi^- e^+ \nu_e$:
 - Differential decay rates allow comparison between different approaches to form factor calculations and extraction of CKM elements.
 - Effective 3-pole model describes data well but other models not ruled out.
 - Dominant systematic errors should improve with further Lattice QCD calculations and so help inform form factor calculations.



Form Factor Calculation

- General Expression:

$$f_{+,D}^\pi(q^2) = \frac{1}{\pi} \int_{(m_D+m_\pi)^2}^\infty dt \frac{\Im m(f_{+,D}^\pi(t))}{t - q^2 - i\epsilon}$$

- Theoretical Approaches:

- Dispersive Approach:

- D* pole, sum of radially excited J^P=1⁻ D₁^{*'}, and Dπ continuum

- Multipole Approach:

- Limit to 1 (D*), 2 (D* + first D₁^{*'}), or 3 dominant poles + constraints
- 3rd pole is either a D₁^{*'} (“fixed” ansatz) or sum of higher D₁^{*'} (“effective” ansatz)
- Other assumptions and constraints can modify the approximations.

$$f_{+,D}^\pi(q^2) = \frac{f_{+,D}^\pi(0)}{1 - c_2 - c_3} \left(\frac{1}{1 - \frac{q^2}{m_{D^*}^2}} - \sum_{i=2}^3 \frac{c_i}{1 - \frac{q^2}{m_{D_i^{*'}}^2}} \right).$$

- Z expansion:

- Model independent based on analyticity, unitarity and crossing symmetries

$$z(t, t_0) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}$$

$$f_{+,D}^\pi(t) = \frac{1}{P(t)\Phi(t, t_0)} \sum_{k=0}^\infty a_k(t_0) z^k(t, t_0),$$

- Quark model ISGW2 (PRD 52, 2783 (1995)):

$$f_{+,D}^\pi(q^2) = f(q_{\max}^2) \left(1 + \frac{1}{12} \alpha_I (q_{\max}^2 - q^2) \right)^{-2},$$