

Theoretical Overview of Neutrino Physics



Werner Rodejohann (MPIK)
24/07/17



Goals/Outline

- ❖ implications / motivations / examples of:
 - lepton mixing
 - neutrino mass
 - new physics in the neutrino sector

Neutrinos oscillate and leptons mix

- ❖ we know that: $0 \neq \Delta m_{21}^2 \neq \Delta m_{31}^2$
 - \Rightarrow all three masses different, at least two are non-zero
 - **hierarchy mild and neutrino mass much much smaller than all other masses**
- ❖ we know that: $U_{\text{PMNS}} = U_l^\dagger U_\nu \neq \mathbb{1}$
 - \Rightarrow charged lepton and neutrino mass matrices diagonalized with different matrices; Nature distinguishes ν_e, ν_μ, ν_τ
 - **mixing completely different from quark mixing**

Low Energy Paradigm

At low energies, neutrino mass matrix m_ν :

$$\mathcal{L} = \frac{1}{2} \nu^T m_\nu \nu \quad \text{with} \quad m_\nu = U \text{diag}(m_1, m_2, m_3) U^T$$

with PMNS matrix

$$U = \begin{pmatrix} c_{12} c_{13} & s_{12} c_{13} & s_{13} e^{-i\delta} \\ -s_{12} c_{23} - c_{12} s_{23} s_{13} e^{i\delta} & c_{12} c_{23} - s_{12} s_{23} s_{13} e^{i\delta} & s_{23} c_{13} \\ s_{12} s_{23} - c_{12} c_{23} s_{13} e^{i\delta} & -c_{12} s_{23} - s_{12} c_{23} s_{13} e^{i\delta} & c_{23} c_{13} \end{pmatrix} P$$

changes number of parameters in SM':

Species	#	Σ
Quarks	10	10
Leptons	3	13
Charge	3	16
Higgs	2	18
strong CP	1	19



Species	#	Σ
Quarks	10	10
Leptons	3 12	13 22
Charge	3	16 25
Higgs	2	18 27
strong CP	1	19 28

3 Majorana neutrino paradigm \Rightarrow needs to be tested!

Low Energy Paradigm

- ❖ 3 Tasks:
 - determine new parameters
 - interpret / explain values of new parameter
 - check for inconsistencies in standard picture

Determine Parameters

❖ We know:

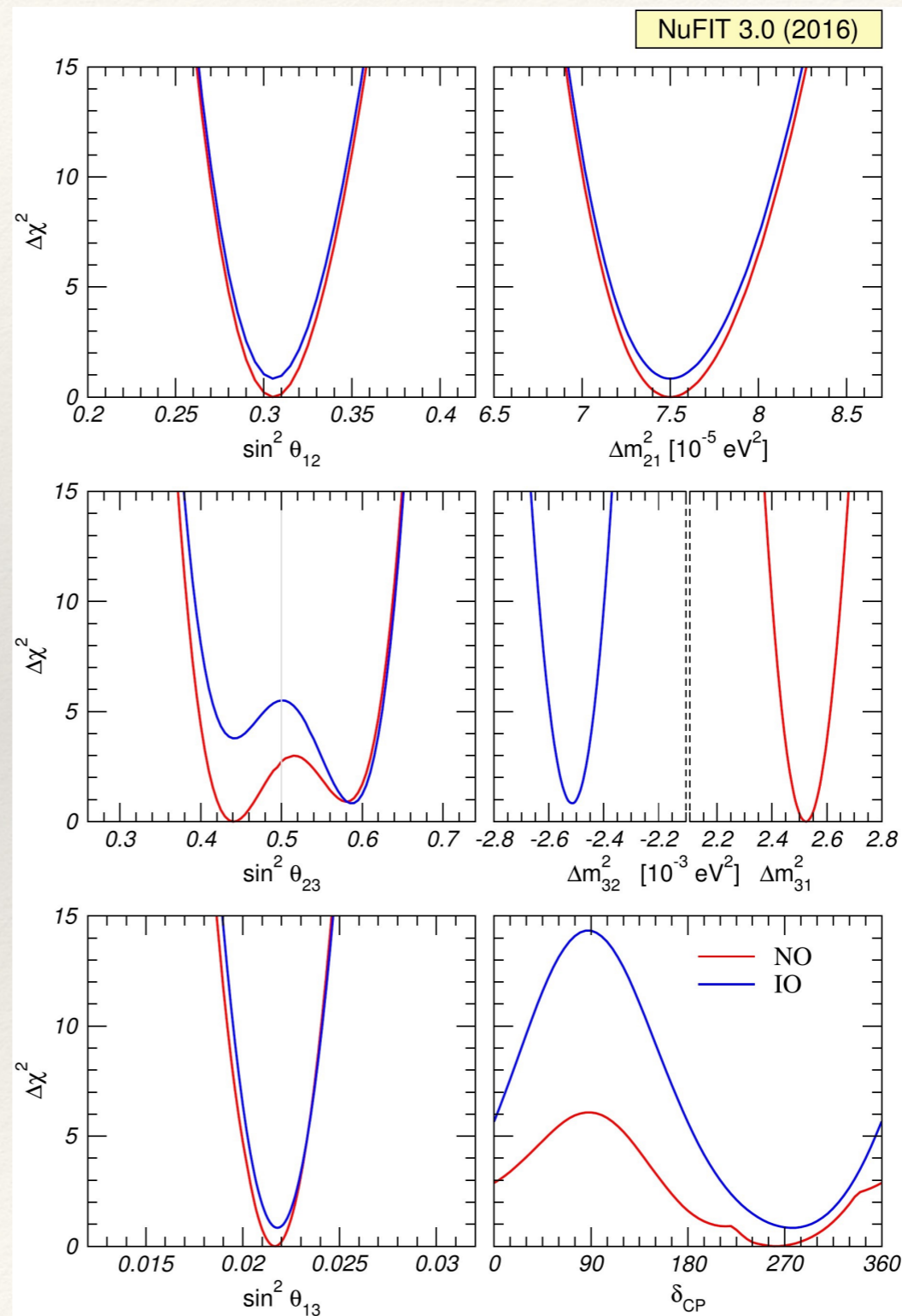
- θ_{12} and Δm_{21}^2
- θ_{23} and $|\Delta m_{31}^2|$
- θ_{13}

❖ We have limits:

- m_1, m_2, m_3

❖ We don't know:

- $\text{sgn}(\Delta m_{31}^2)$
- δ, α, β



Talk by Tórtola

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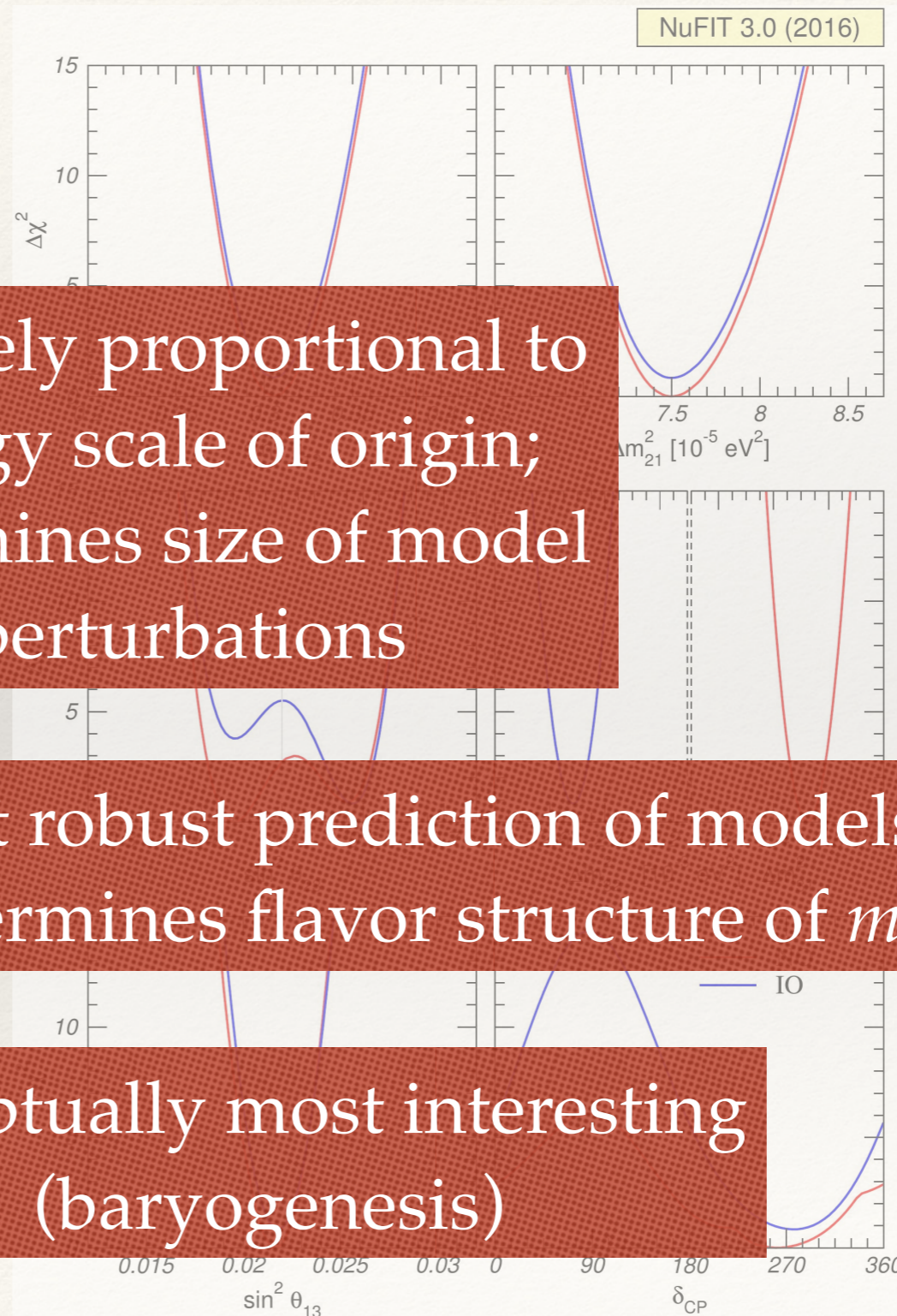
❖ We don't know:

- $\text{sgn}(\Delta m_{31}^2)$
- δ, α, β

inversely proportional to energy scale of origin; determines size of model perturbations

most robust prediction of models; determines flavor structure of m_ν

conceptually most interesting (baryogenesis)

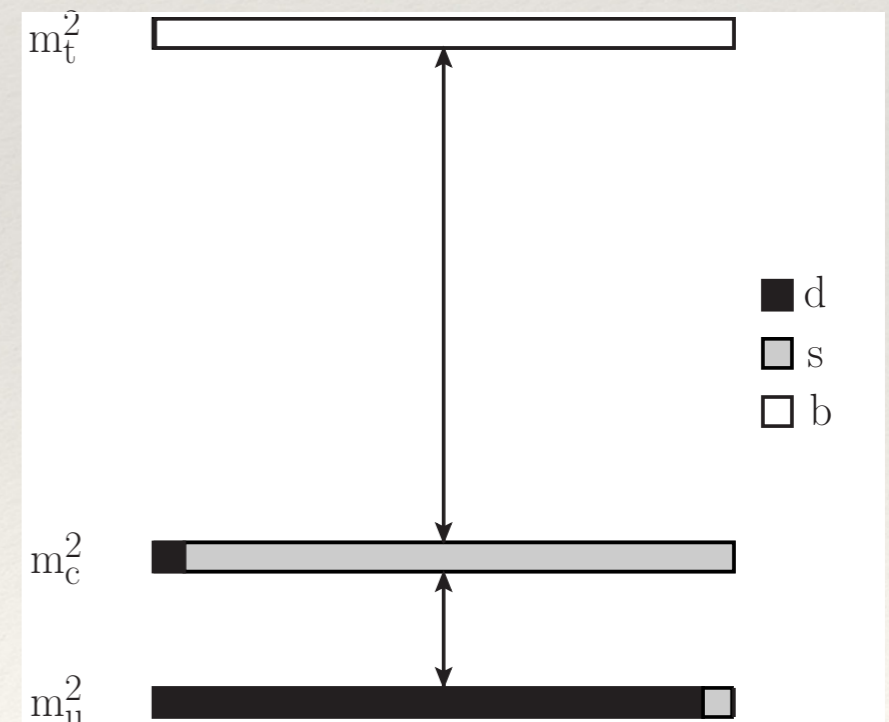
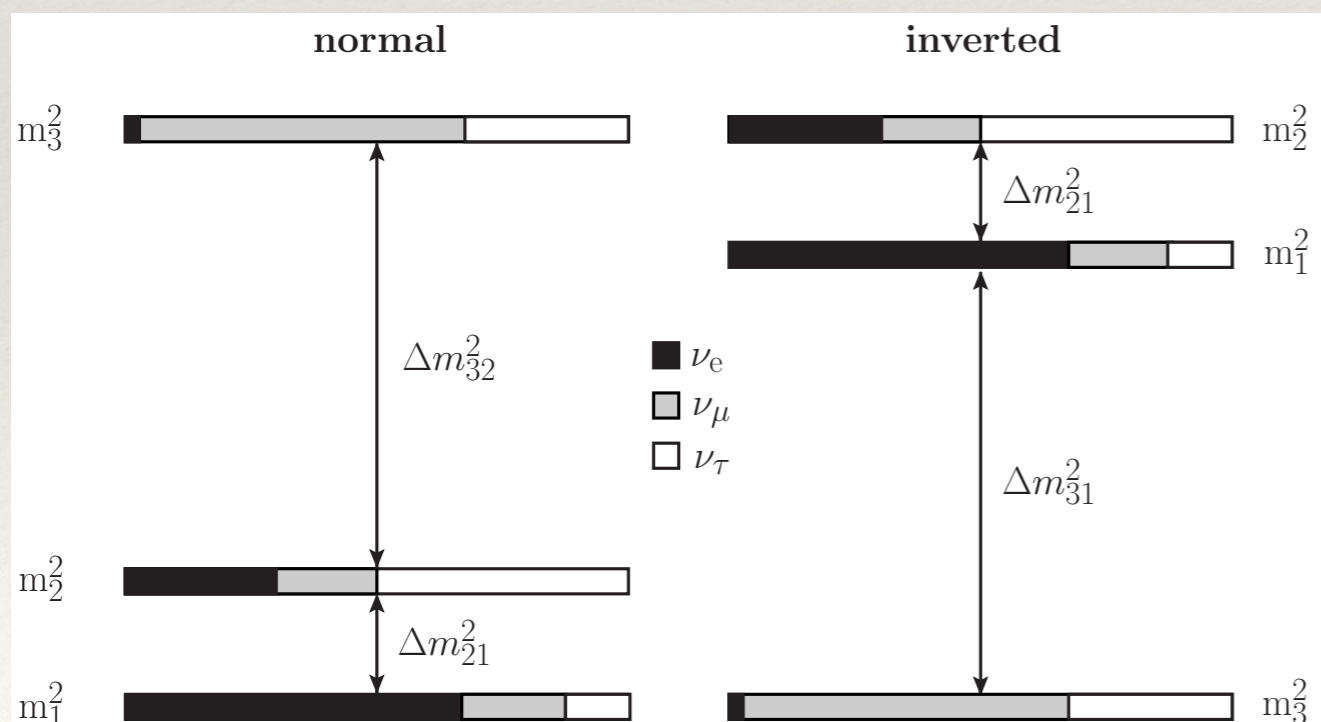


Talk by Tórtola

Implications of Lepton Mixing

$$|U| = \begin{pmatrix} 0.800 \rightarrow 0.844 & 0.515 \rightarrow 0.581 & 0.139 \rightarrow 0.155 \\ 0.229 \rightarrow 0.516 & 0.438 \rightarrow 0.699 & 0.614 \rightarrow 0.790 \\ 0.249 \rightarrow 0.528 & 0.462 \rightarrow 0.715 & 0.595 \rightarrow 0.776 \end{pmatrix}$$

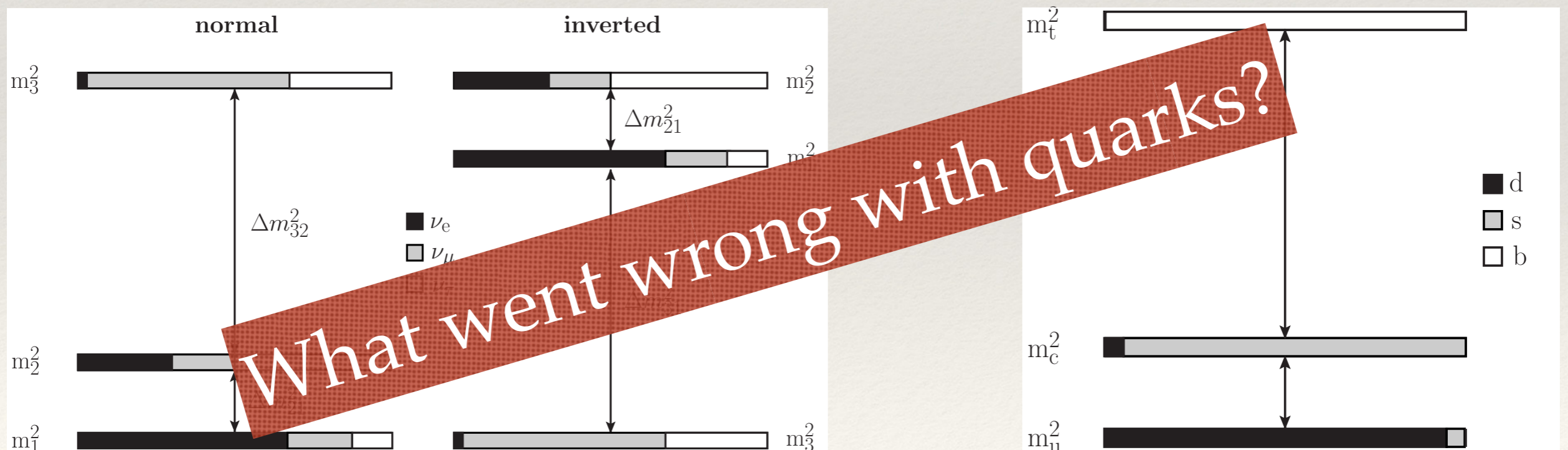
$$V_{\text{CKM}} = \begin{pmatrix} 0.97434^{+0.00011}_{-0.00012} & 0.22506 \pm 0.00050 & 0.00357 \pm 0.00015 \\ 0.22492 \pm 0.00050 & 0.97351 \pm 0.00013 & 0.0411 \pm 0.0013 \\ 0.00875^{+0.00032}_{-0.00033} & 0.0403 \pm 0.0013 & 0.99915 \pm 0.00005 \end{pmatrix}$$



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Flavor Symmetries

- ❖ Nature seems to prefer large lepton mixing:

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}$$

generated by rather special mass matrix

$$(m_\nu)_{\text{TBM}} = \begin{pmatrix} A & B & B \\ \cdot & \frac{1}{2}(A+B+D) & \frac{1}{2}(A+B-D) \\ \cdot & \cdot & \frac{1}{2}(A+B+D) \end{pmatrix}$$

mixing angles
independent from
masses!!

- ❖ completely different from quark sector (GST-relation):

$$M = \begin{pmatrix} 0 & a \\ a & b \end{pmatrix} \Rightarrow \tan \theta_C \simeq \sqrt{\frac{m_d}{m_s}}$$

Flavor Symmetries

❖ preferred solution: Discrete Non-Abelian Symmetries

Altarelli, Feruglio, 1002.0211

Group	d	Irr. Repr.'s	Presentation
$D_3 \sim S_3$	6	1, 1', 2	$A^3 = B^2 = (AB)^2 = 1$
D_4	8	1 ₁ , ...1 ₄ , 2	$A^4 = B^2 = (AB)^2 = 1$
D_7	14	1, 1', 2, 2', 2''	$A^7 = B^2 = (AB)^2 = 1$
A_4	12	1, 1', 1'', 3	$A^3 = B^2 = (AB)^3 = 1$
$A_5 \sim PSL_2(5)$	60	1, 3, 3', 4, 5	$A^3 = B^2 = (BA)^5 = 1$
T'	24	1, 1', 1'', 2, 2', 2'', 3	$A^3 = (AB)^3 = R^2 = 1, B^2 = R$
S_4	24	1, 1', 2, 3, 3'	$BM : A^4 = B^2 = (AB)^3 = 1$ $TB : A^3 = B^4 = (BA^2)^2 = 1$
$\Delta(27) \sim Z_3 \rtimes Z_3$	27	1 ₁ , ...1 ₉ , 3, $\bar{3}$	
$PSL_2(7)$	168	1, 3, $\bar{3}$, 6, 7, 8	$A^3 = B^2 = (BA)^7 = (B^{-1}A^{-1}BA)^4 = 1$
$T_7 \sim Z_7 \rtimes Z_3$	21	1, 1', $\bar{1}'$, 3, $\bar{3}$	$A^7 = B^3 = 1, AB = BA^4$

Type	L_i	ℓ_i^c	ν_i^c	Δ
A1	$\underline{3}$	1, 1', 1''
A2				1, 1', 1'', $\underline{3}$
B1	$\underline{3}$	1, 1', 1''	$\underline{3}$...
B2				1, $\underline{3}$
C1				...
C2	$\underline{3}$	$\underline{3}$...	1
C3				1, $\underline{3}$
C4				1, 1', 1'', $\underline{3}$
D1				...
D2	$\underline{3}$	$\underline{3}$	$\underline{3}$	1
D3				1'
D4				1', $\underline{3}$
E	$\underline{3}$	$\underline{3}$	1, 1', 1''	...
F	1, 1', 1''	$\underline{3}$	$\underline{3}$	1 or 1'
G	$\underline{3}$	1, 1', 1''	1, 1', 1''	...
H	$\underline{3}$	1, 1, 1
I	$\underline{3}$	1, 1, 1	1, 1, 1	...
J	$\underline{3}$	1, 1, 1	$\underline{3}$...

Barry, WR, 1003.2385

Many possible groups, within each group many models...

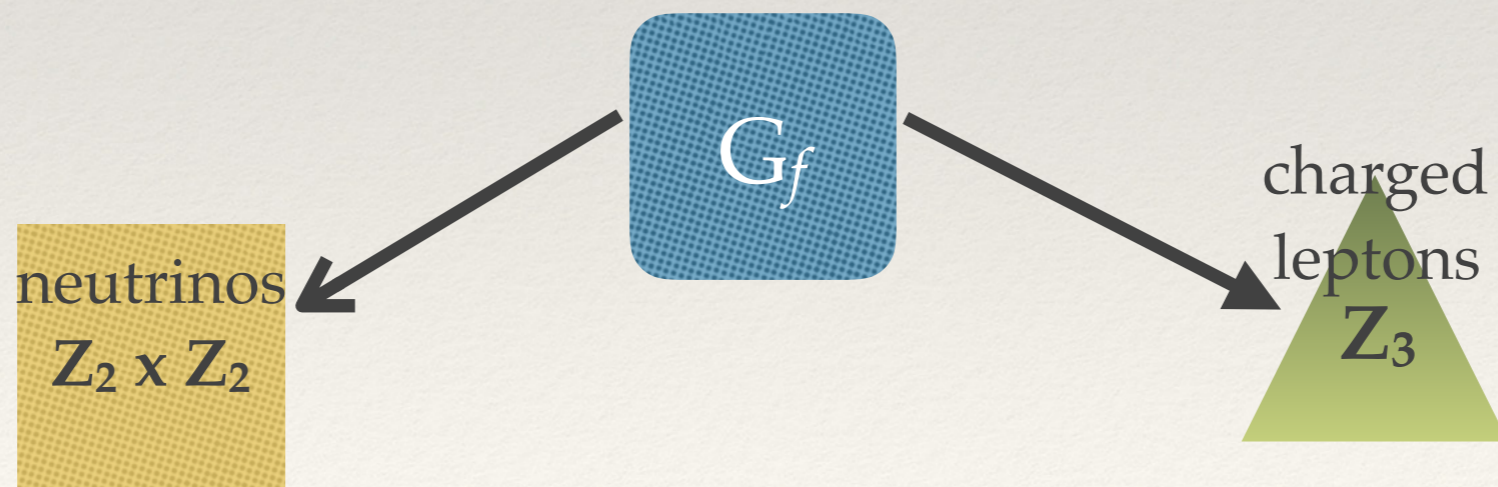
⇒ can distinguish only classes of models

Flavor Symmetries

Lesson 1: put different generations in same irrep of group:

$$\begin{pmatrix} L_e \\ L_\mu \\ L_\tau \end{pmatrix} = \begin{pmatrix} \begin{pmatrix} \nu_e \\ e^- \end{pmatrix}_L \\ \begin{pmatrix} \nu_\mu \\ \mu^- \end{pmatrix}_L \\ \begin{pmatrix} \nu_\tau \\ \tau^- \end{pmatrix}_L \end{pmatrix} \sim 3_f$$

Lesson 2: flavor group broken to different subgroups:



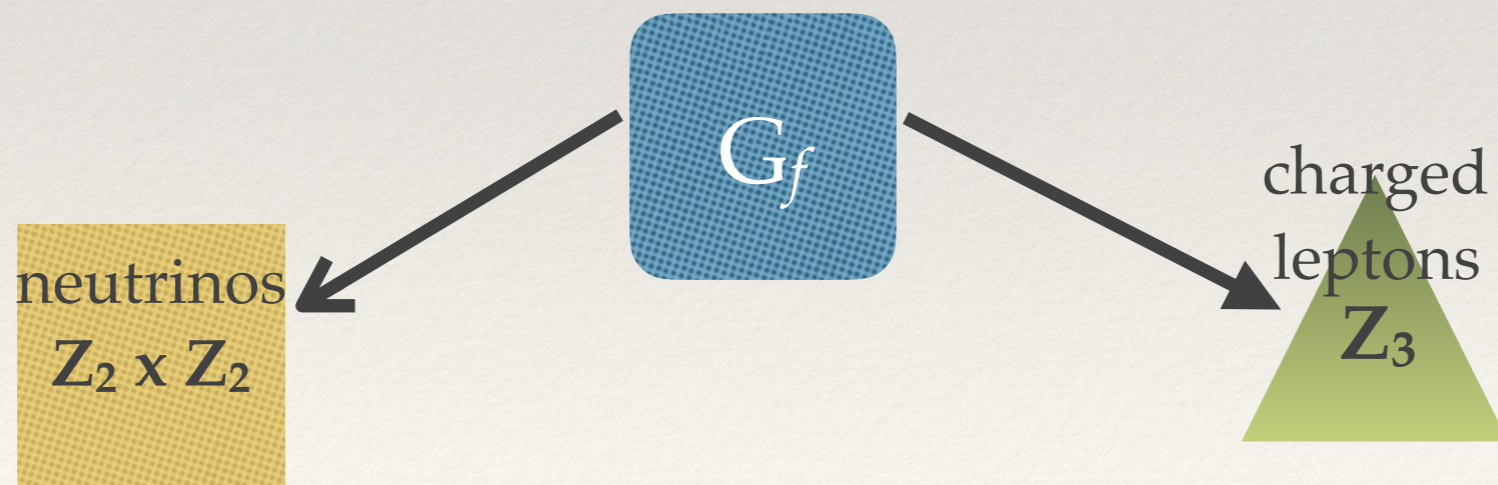
Flavor Symmetries

Lesson 1: put different generations in same irrep of group:

related to 3 generations?

$$\begin{pmatrix} L_e \\ L_\mu \\ L_\tau \end{pmatrix} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}_L \begin{pmatrix} e^- \\ \mu^- \\ \tau^- \end{pmatrix}_L \sim 3_f$$

Lesson 2: flavor group broken to different subgroups:



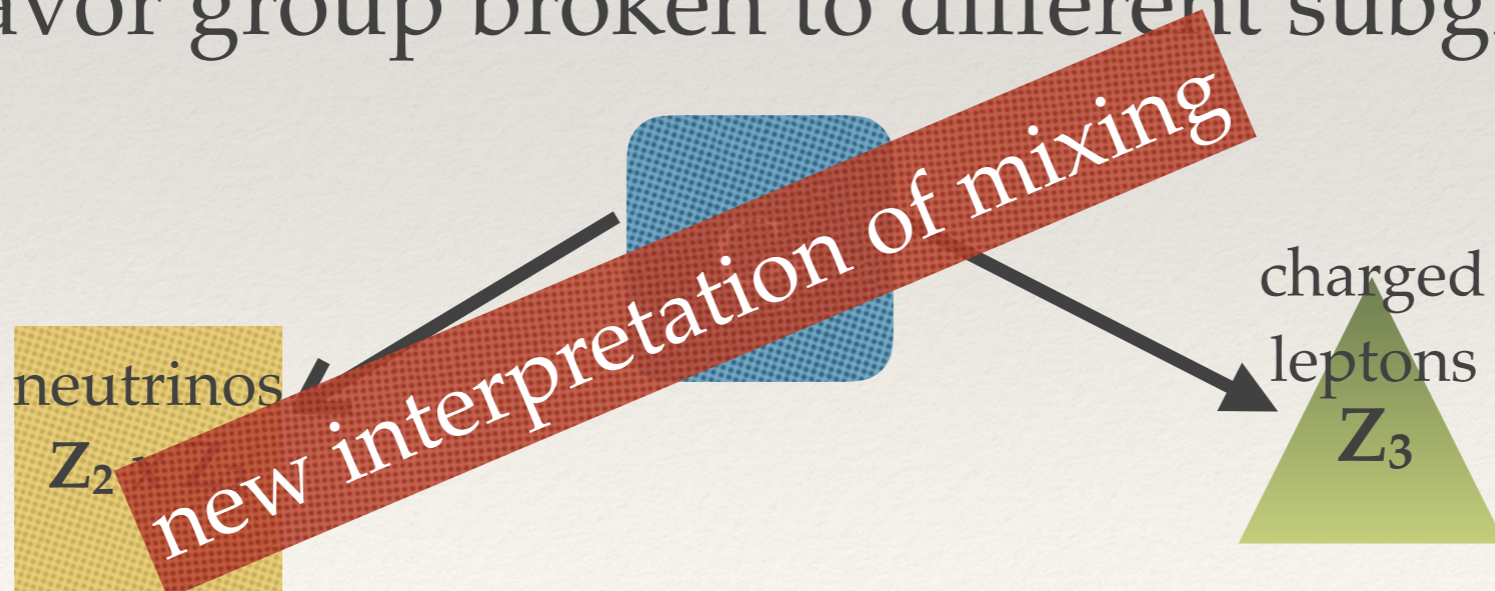
Flavor Symmetries

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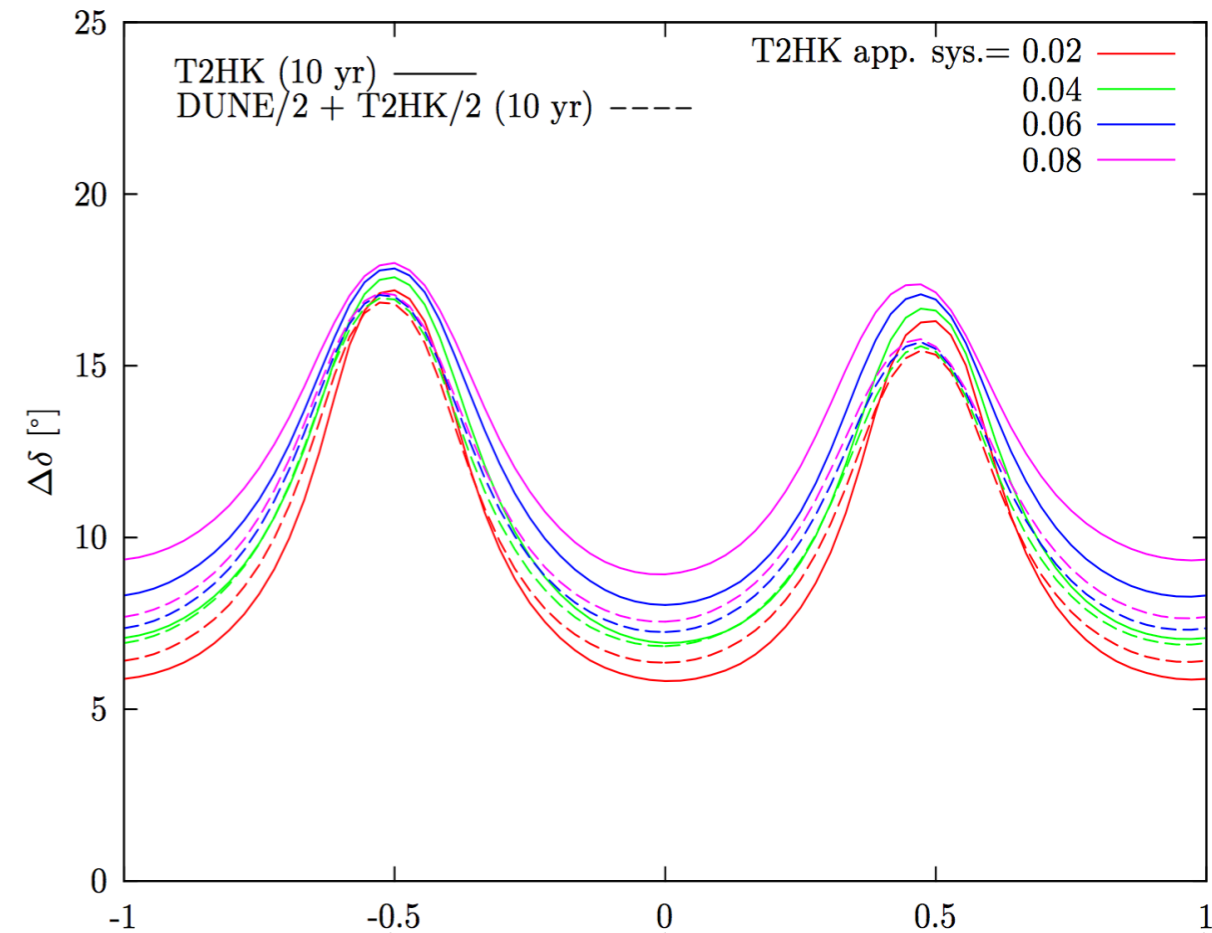
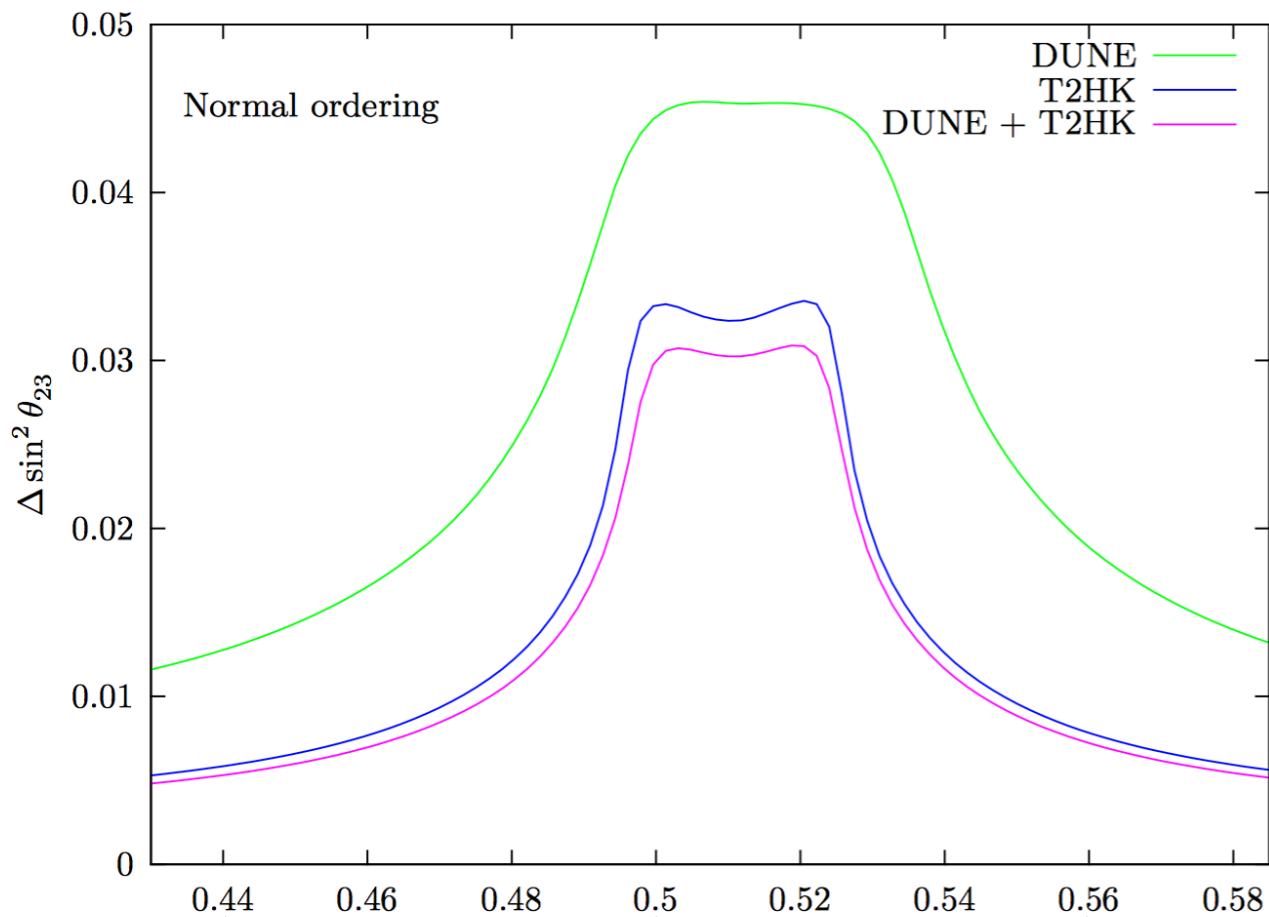
$$\begin{pmatrix} L_e \\ L_\mu \\ L_\tau \end{pmatrix} = \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \\ \mu^- \\ \tau^- \end{pmatrix}_L \sim 3_f$$

Lesson 2: flavor group broken to different subgroups:



Achievable and necessary precision

Ballet et al., 1612.07275



$(41.6 \pm 0.3)^0$

$(45 \pm 1.7)^0$

$(48.5 \pm 0.6)^0$

$\cos \delta: (0 \pm 0.29)$

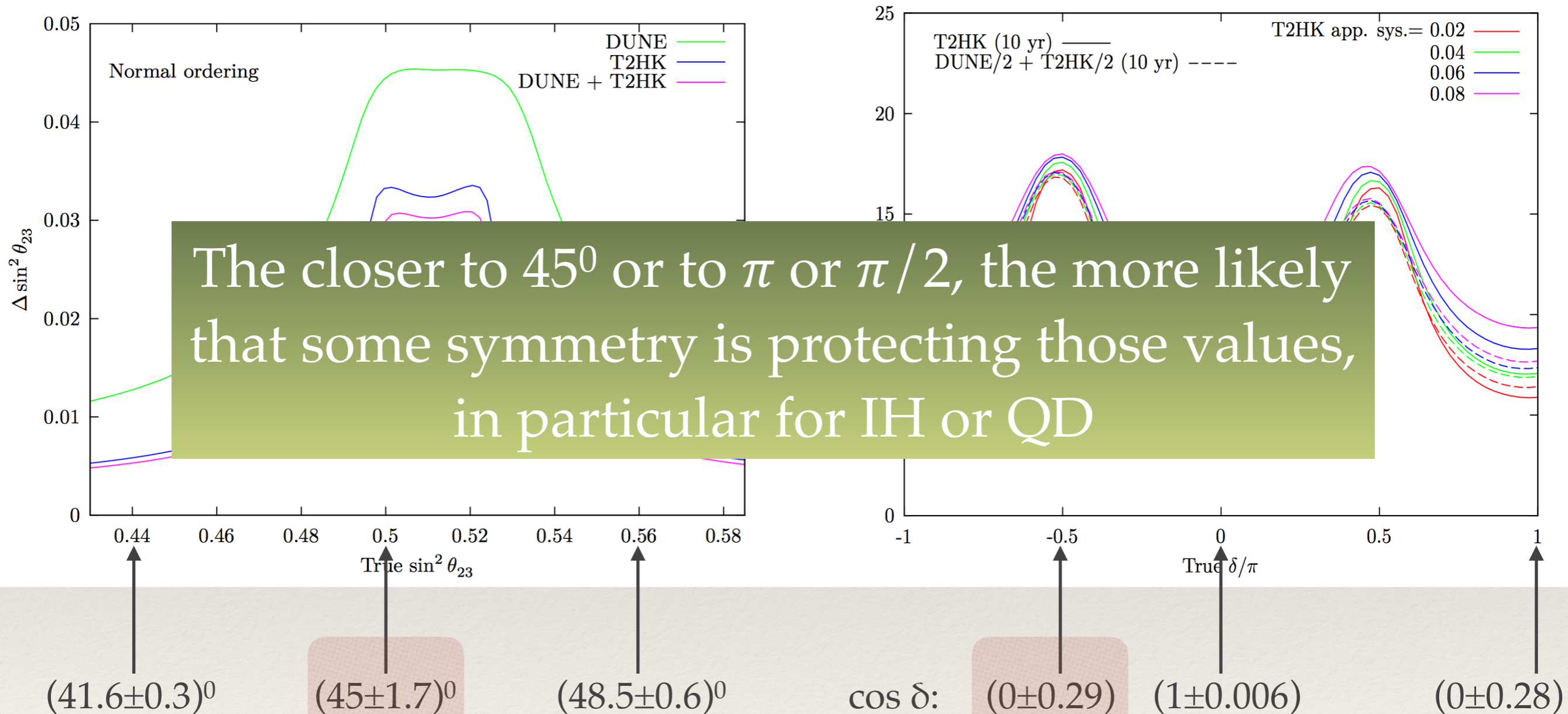
(1 ± 0.006)

(0 ± 0.28)

Interesting values can
 have larger uncertainties
 than less interesting ones...

Achievable and necessary precision

Ballet et al., 1612.07275



The closer to 45° or to π or $\pi/2$, the more likely that some symmetry is protecting those values, in particular for IH or QD

Interesting values can have larger uncertainties than less interesting ones...

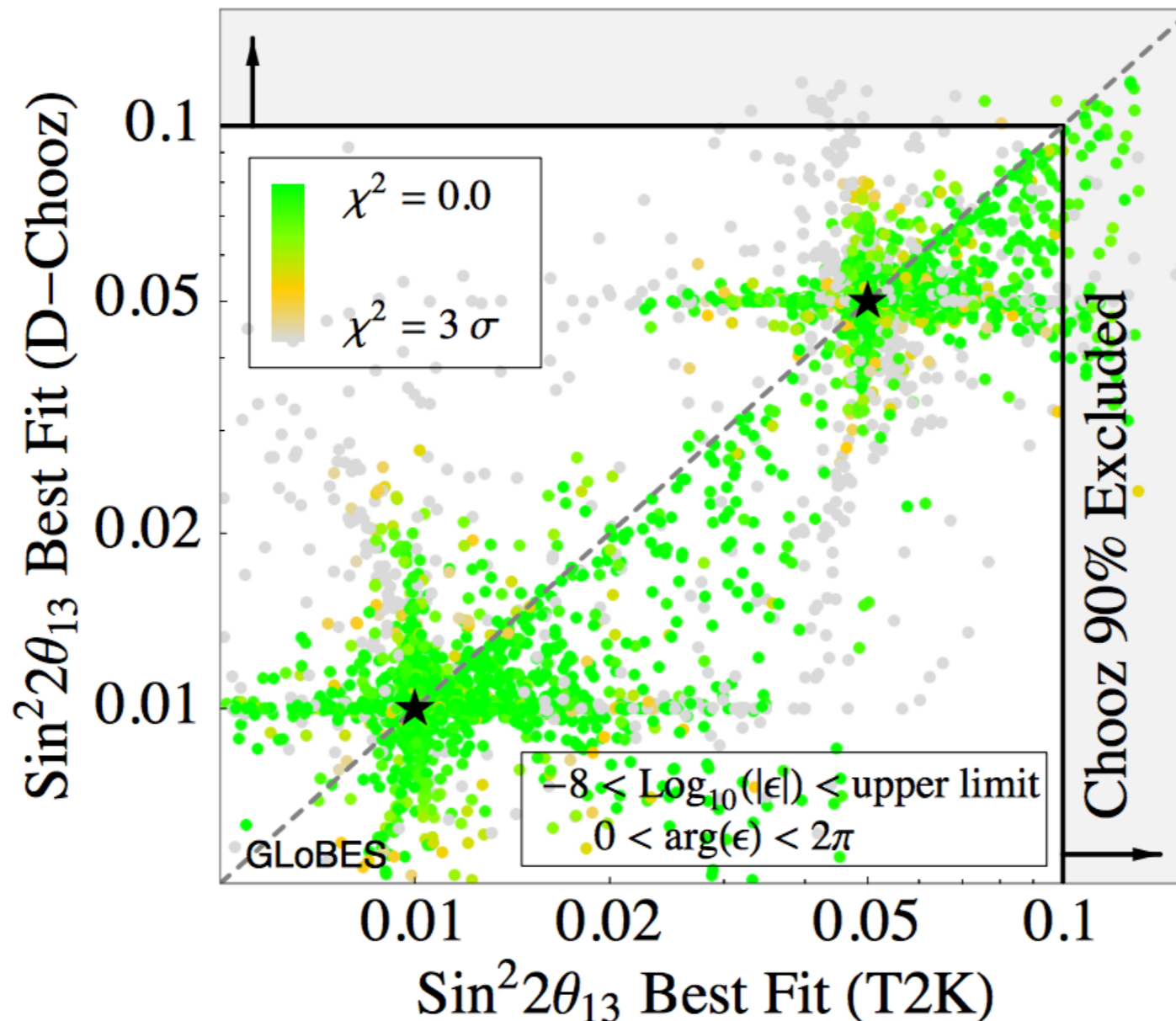
New Physics in Oscillations

- ❖ Various good reasons to expect NP:
 - unitarity violation from new fermions
 - NSIs from new physics: $G_F \boldsymbol{\varepsilon} = g'^2 / M_X^2 \Rightarrow \boldsymbol{\varepsilon} \sim 0.01$ is TeV-scale
 - new interactions (scalar, tensor, etc.)
 - long-range forces
 - Lorentz / CPT violation: effects $\propto \Lambda / M_{Pl}$ with Λ scale of mass generation (seesaw!), in general growing with ν -energy (IC!)
 - light sterile neutrinos...

New Physics in Oscillations

New Physics can mess up oscillation experiments:

T2K / Double Chooz



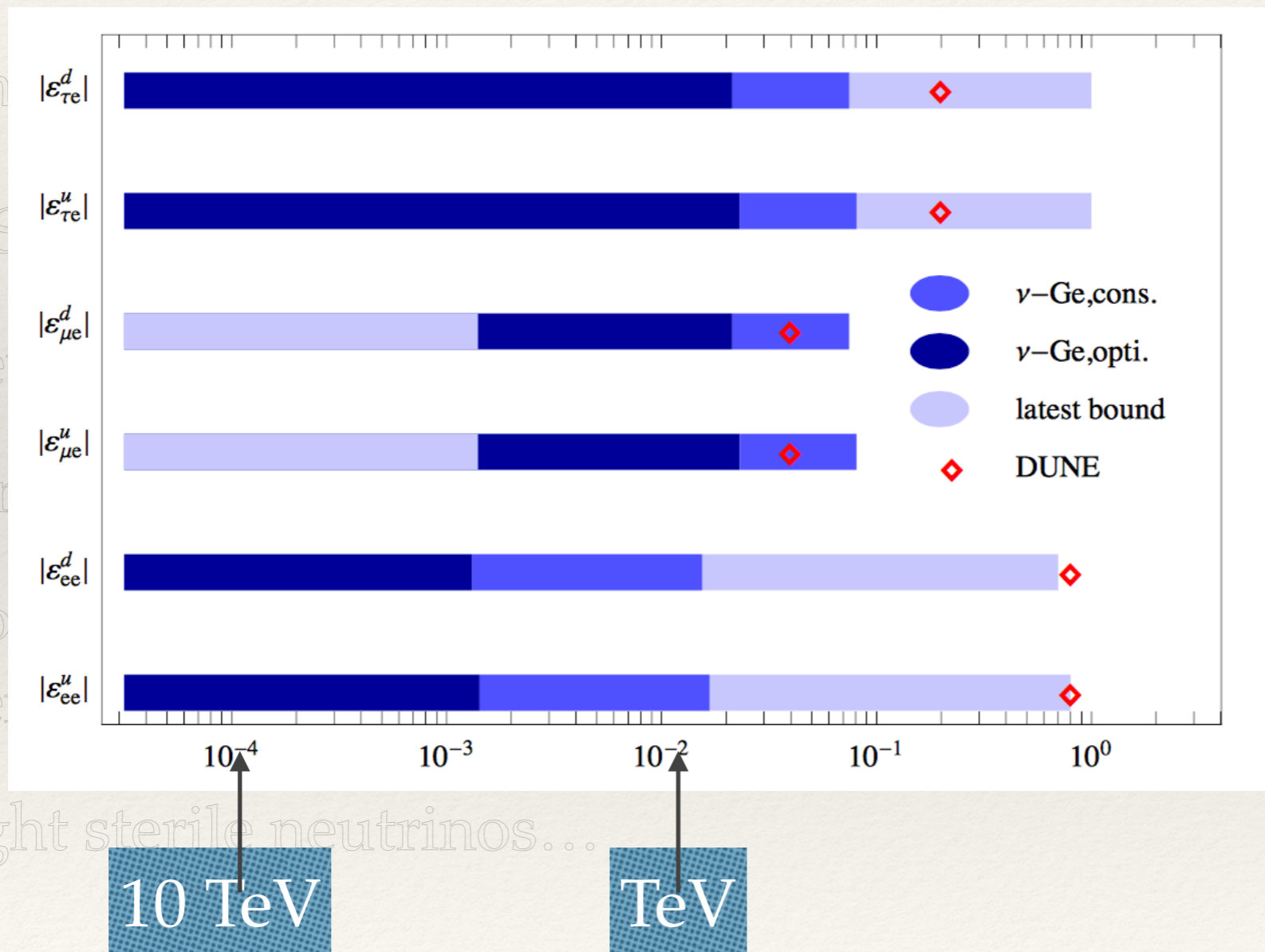
presence of multiple $\epsilon_{\alpha\beta}$ can make determination of MO, δ and octant of θ_{23} impossible even for DUNE, T2HK and T2HKK

Liao, Marfatia, Whisnant, 1612.01443

- Various
- Unitarity
- NSIs
- new
- long
- Lindner
- Kopp, Lindner, Ota, Sato, 0708.0152
- gne
- light

New Physics in Oscillations

Next generation coherent scattering experiment (Talk by Hakenmüller):



Small scale vs. large scale...

Lindner, WR, Xu, 1612.04150

light sterile neutrinos...

Light Sterile Neutrinos

(Thursday parallel)

- ❖ not expected / predicted before LSND...
- ❖ would be bigger discovery than massive neutrinos
- ❖ could be window to new world (new interactions, coupling to DM,...)
- ❖ would imply modification of cosmology analyses, possibly non-standard cosmology (*talks by Saviano, Elvin-Poole*)
- ❖ experimentally, need
 - to know flux precisely...
 - to know cross section precisely...
 - to see oscillatory pattern...
- ❖ *small scale experiments will tell (at least the ee-anomalies)*

Origin of Neutrino Mass

- ❖ Most straightforward possibility: add N_R and obtain Dirac mass:

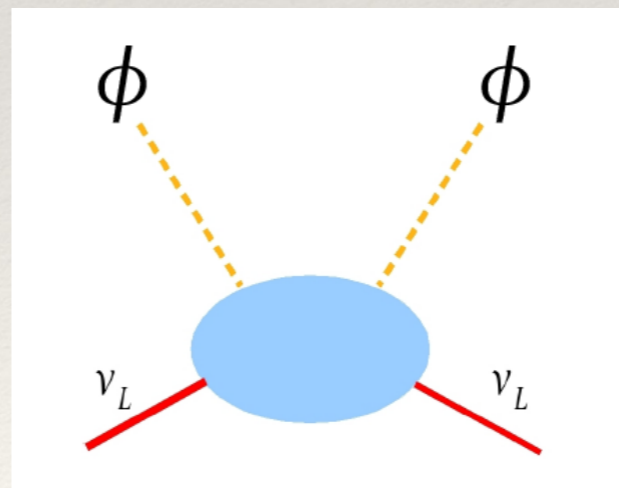
$$L \Phi N_R \rightarrow m_D \nu_L N_R$$

- ❖ Gauge invariance allows Majorana mass:

$$M_R N_R N_R$$

- ❖ in total Majorana mass for SM neutrinos:

$$m_\nu \nu_L^c \nu_L \text{ with } m_\nu = m_D^2 / M_R = m_D \varepsilon \text{ with } \varepsilon = m_D / M_R = m_{SM} / M_R$$



m_ν inverse
proportional to
scale of origin!

Origin of Neutrino Mass

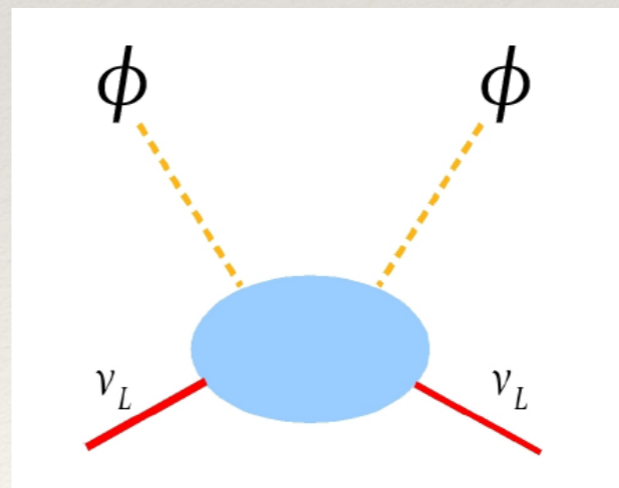
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 $\phi \rightarrow m_D \nu_L N_R$

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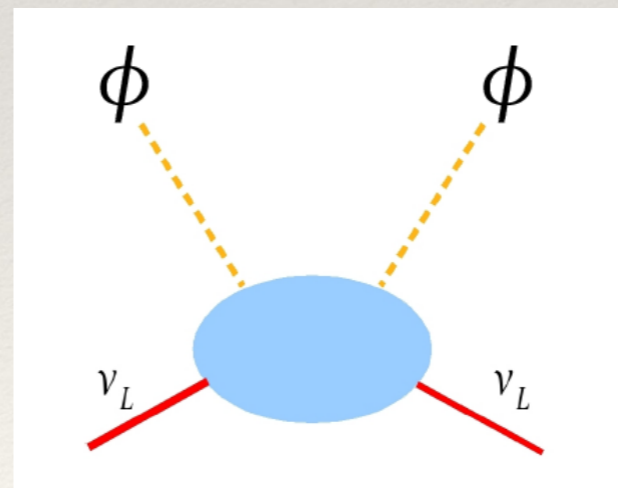
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Origin of Neutrino Mass

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- ❖ Gauge invariance allows Majorana mass
 New energy scale beyond SM

- ❖ in total Majorana mass for SM neutrinos:
 $m_\nu \nu_L^c \nu_L$ with $m_\nu = m_D^2 / M_R = m_D \epsilon$ with $\epsilon = m_D / M_R = m_{SM} / M_R$



m_ν inverse proportional to scale of origin!

Origin of Neutrino Mass

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$$m_D \nu_L N_R$$

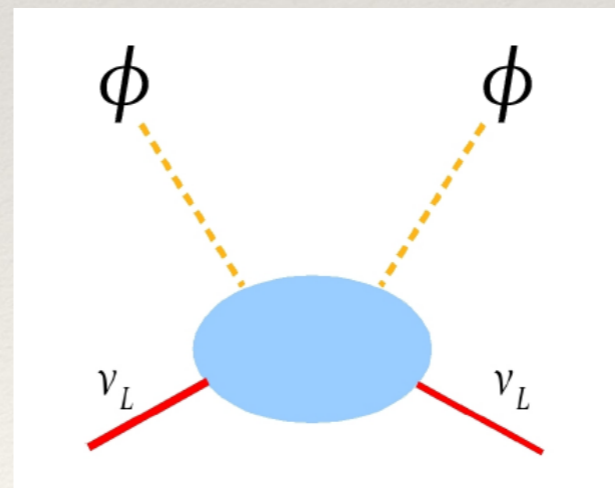
- ❖ Gauge invariance allows Majorana mass

New energy scale beyond SM

- ❖ in total Majorana mass for SM neutrinos:

$$m_\nu \nu_L^c \nu_L \text{ with } m_\nu \sim \frac{m_{SM}}{M_R}$$

New concept: lepton number violation



m_ν inverse proportional to scale of origin!

Origin of Neutrino Mass

- ❖ Most straightforward possibility: add N_R and obtain Dirac mass

$$L \Phi N_R \rightarrow m_D \nu_L N_R$$

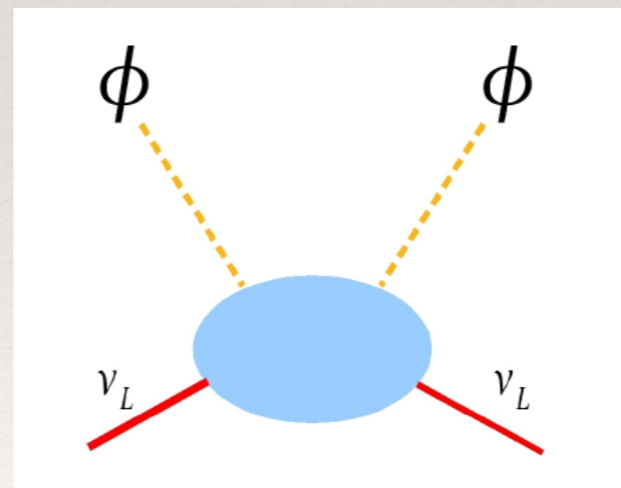
- ❖ Gauge invariance allows Majorana mass

$$M_R N_R N_R$$

- ❖ in total Majorana mass M_R of right-handed neutrinos:

$$m_\nu \nu_L^c \nu_L \text{ with } m_\nu / M_R = m_D \epsilon \text{ with } \epsilon = m_D / M_R = m_{SM} / M_R$$

plus possible new interactions of N_R (B-L, LR Symmetry, etc.)



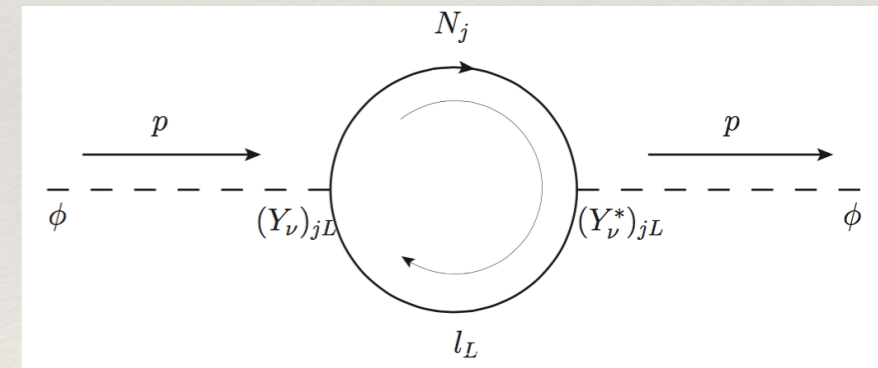
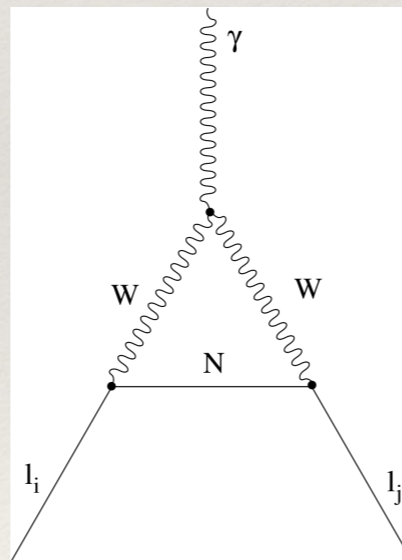
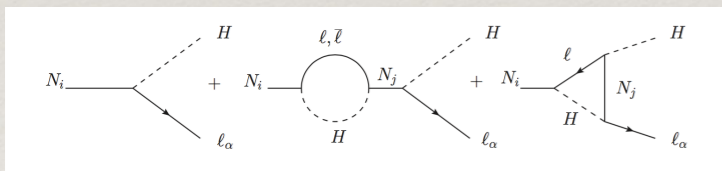
m_ν inverse proportional to scale of origin!

Type I Seesaw $m_\nu = m_D^2 / M_R$

actually, does neither fix m_ν nor m_D nor M_R
needs to be tested or has phenomenology via „seesaw portal“

Lepton-Higgs-Singlet Vertex: $L \Phi N_R$

$$N_R \rightarrow L \Phi \quad L_\alpha \rightarrow N_R \Phi \rightarrow L_\beta \quad \Phi \rightarrow L N_R \rightarrow \Phi$$



Leptogenesis

Lepton Flavor Violation

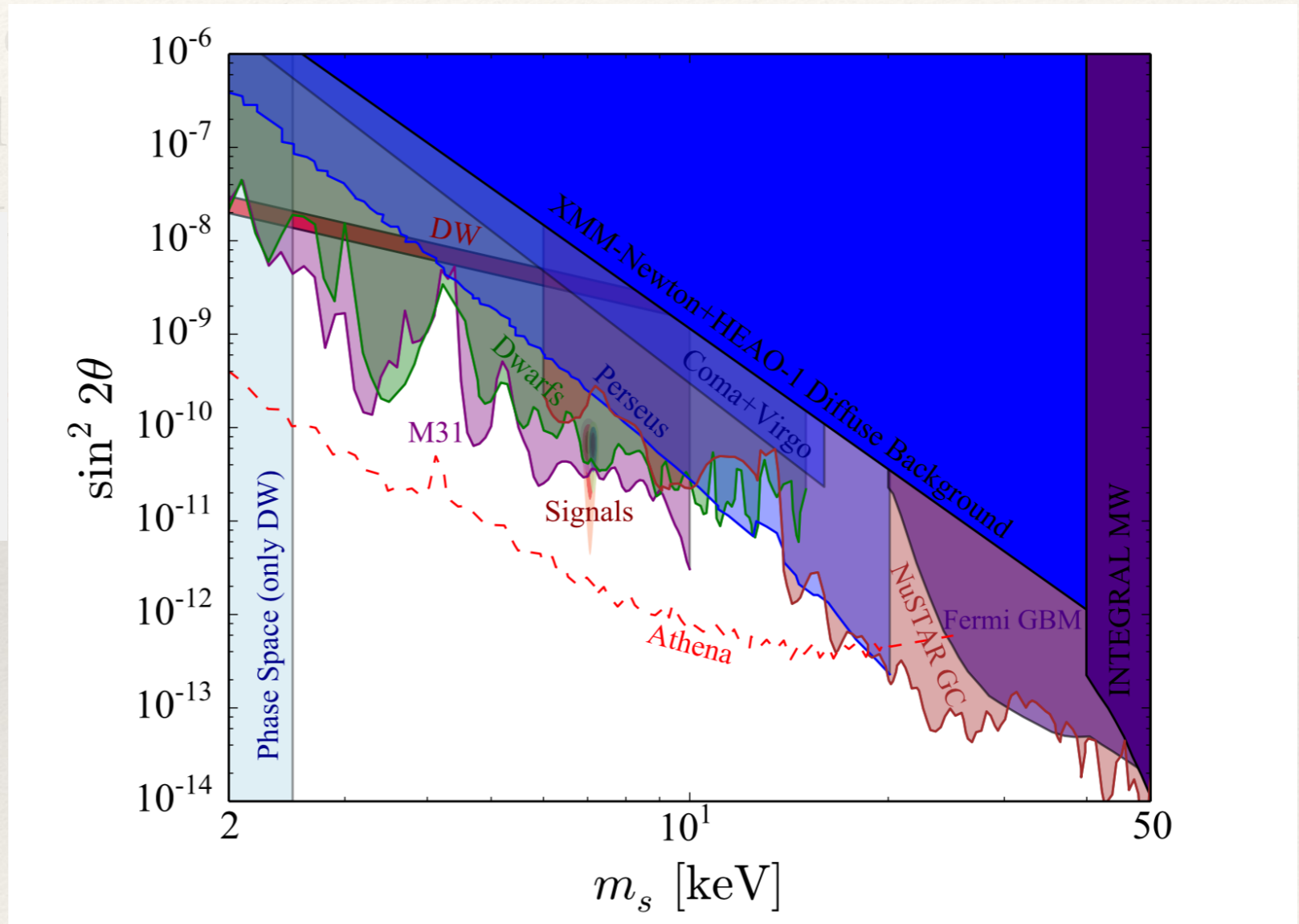
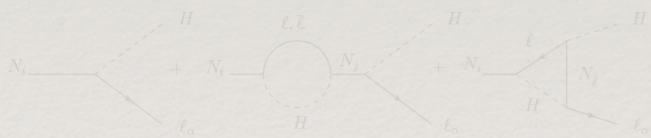
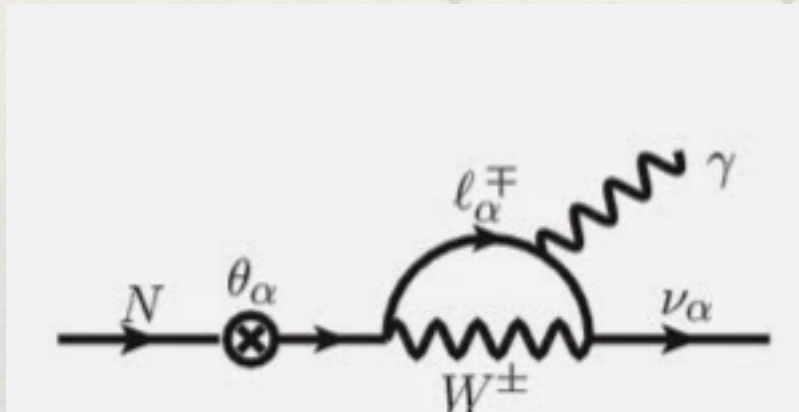
**Vacuum stability,
naturalness**

(plus indirect test with $0\nu\beta\beta$)

Type I Seesaw $m_\nu = m_D^2 / M_R$

plus: provides a DM candidate

actually, θ_α
needs to be tested



Abazajian, 1705.01837

Leptogenesis

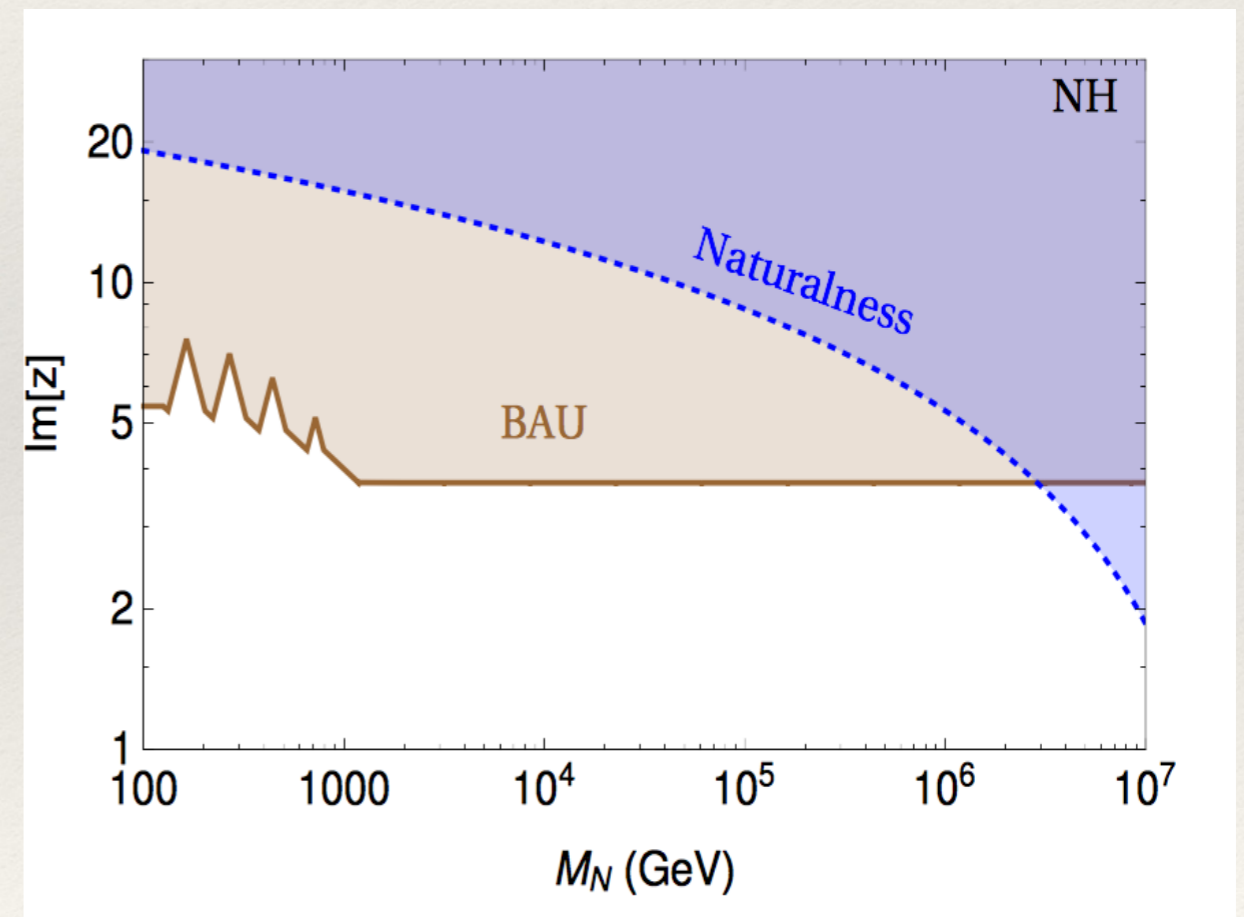
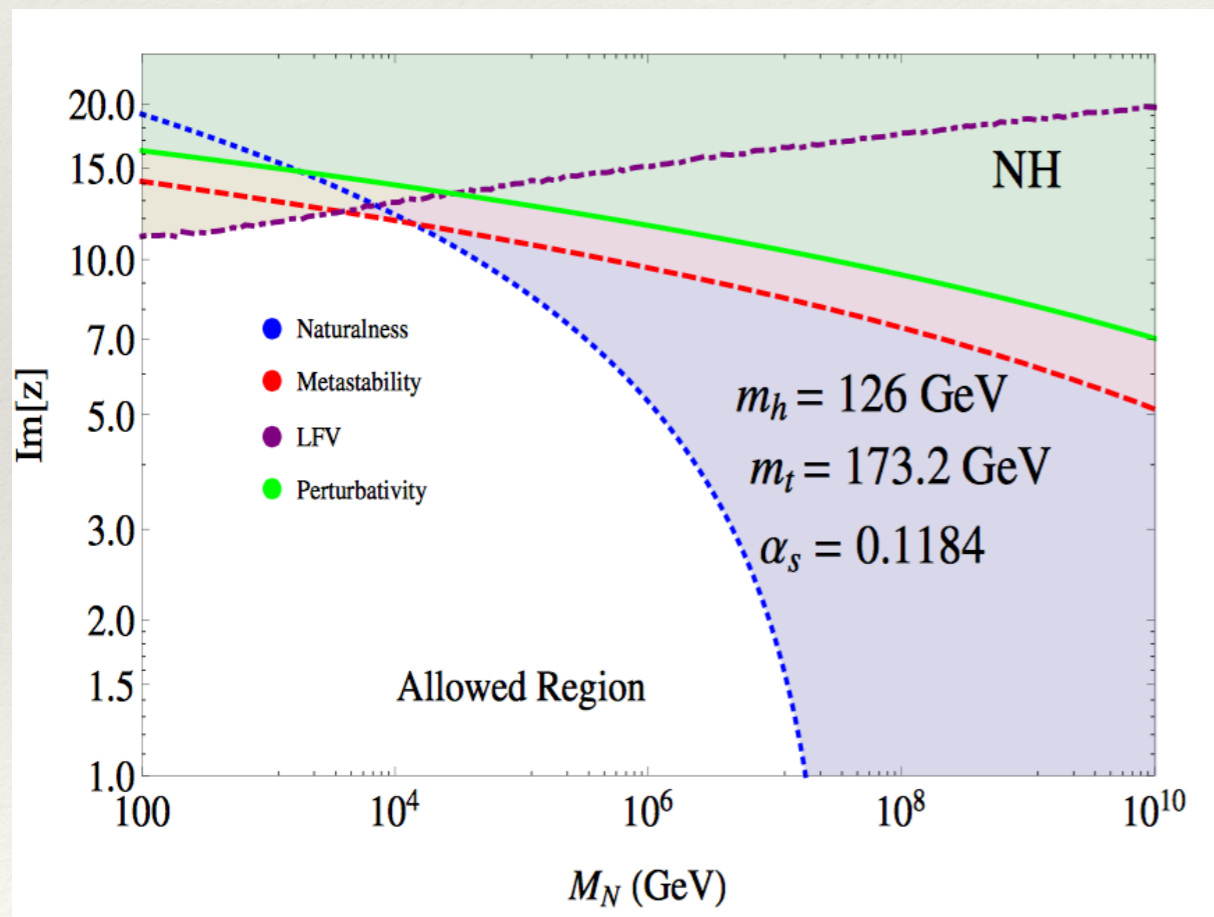
Lepton Flavor Violation

Vacuum stability,
naturalness

Type I Seesaw $m_\nu = m_D^2 / M_R$

simplest example, two degenerate RH neutrinos

free parameters: mass M_N and complex parameter z



Bambhaniya et al., 1611.03827

Pathways to Neutrino Mass

similar discussion for all thinkable and unthinkable mass mechanisms

approach	ingredient	quantum number of messenger	\mathcal{L}	m_ν	scale
"SM" (Dirac mass)	RH ν	$N_R \sim (1, 0)$	$h \overline{N}_R \Phi L$	$h v$	$h = \mathcal{O}(10^{-12})$
"effective" (dim 5 operator)	new scale + LNV	–	$h \overline{L}^c \Phi \Phi L$	$\frac{h v^2}{\Lambda}$	$\Lambda = 10^{14}$ GeV
"direct" (type II seesaw)	Higgs triplet + LNV	$\Delta \sim (3, -2)$	$h \overline{L}^c \Delta L + \mu \Phi \Phi \Delta$	$h v_T$	$\Lambda = \frac{1}{h \mu} M_\Delta^2$
"indirect 1" (type I seesaw)	RH ν + LNV	$N_R \sim (1, 0)$	$h \overline{N}_R \Phi L + \overline{N}_R M_R N_R^c$	$\frac{(h v)^2}{M_R}$	$\Lambda = \frac{1}{h} M_R$
"indirect 2" (type III seesaw)	fermion triplets + LNV	$\Sigma \sim (3, 0)$	$h \overline{\Sigma} L \Phi + \text{Tr} \overline{\Sigma} M_\Sigma \Sigma$	$\frac{(h v)^2}{M_\Sigma}$	$\Lambda = \frac{1}{h} M_\Sigma$

plus seesaw variants (linear, inverse, double, singular,...)

plus radiative mechanisms

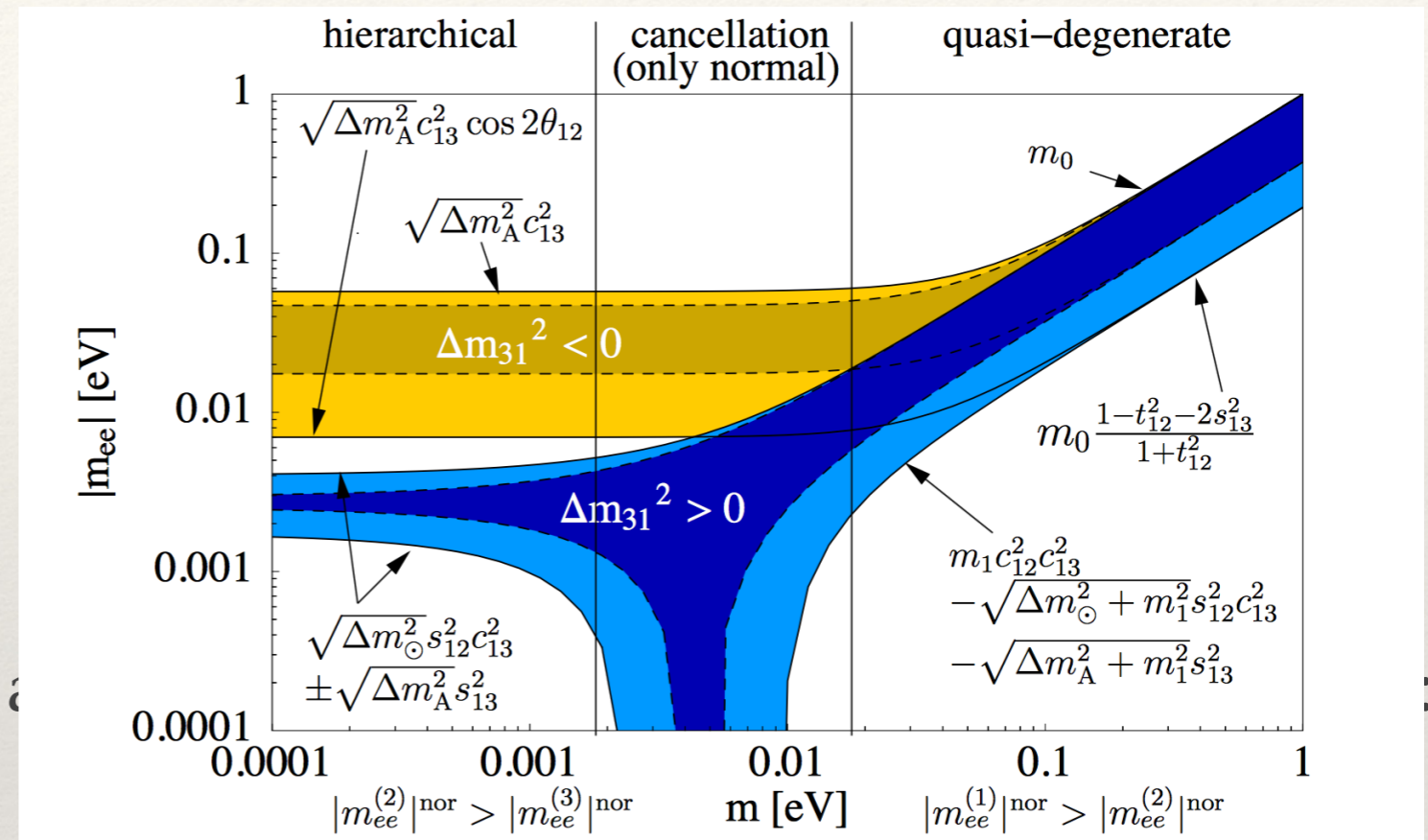
plus higher dimensional operators

plus extra dimensional

plus plus plus

Common Prediction: Lepton Number Violation

Talk by Schönert



$$|m_{ee}| = \left| \sum U_{ei}^2 m_i \right| = \left| U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i\alpha} + U_{e3}^2 m_3 e^{i\beta} \right|$$

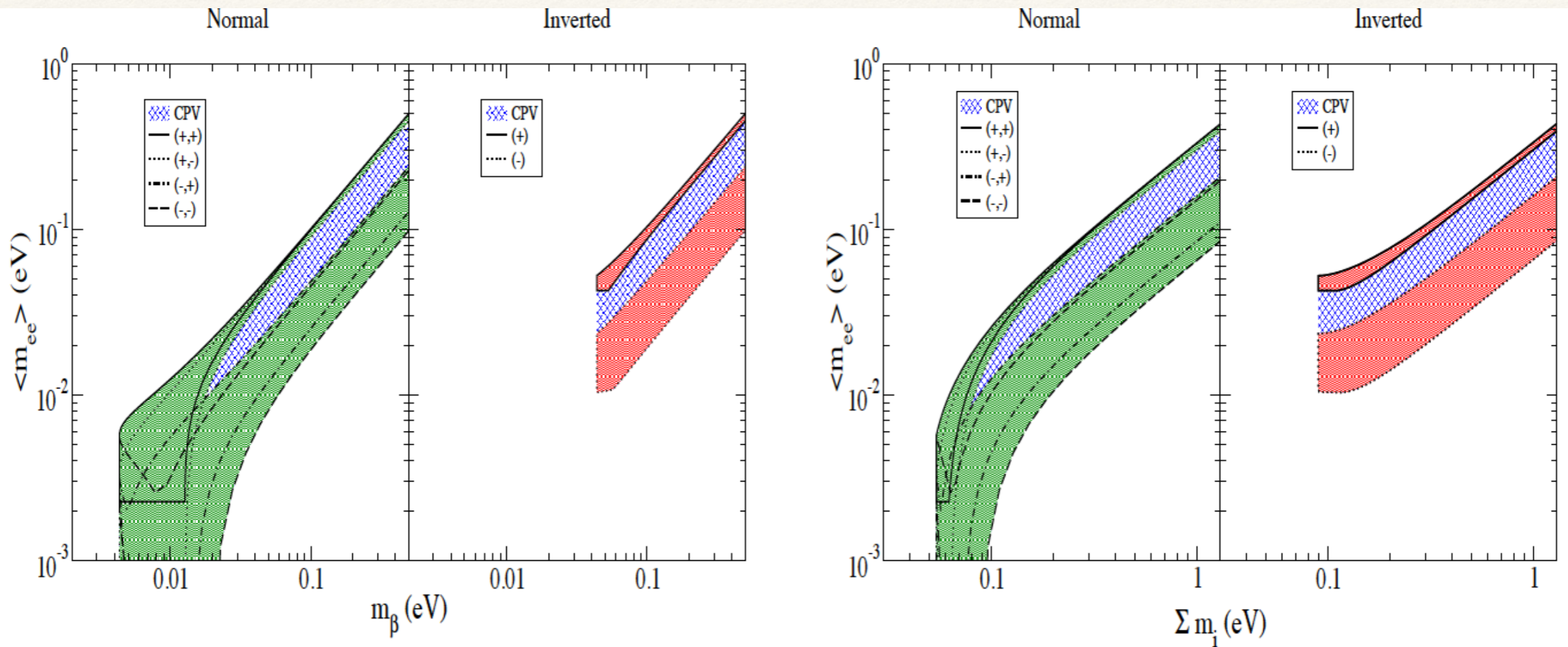
$$= f(\theta_{12}, |U_{e3}|, m_i, \text{sgn}(\Delta m_A^2), \alpha, \beta)$$

known

limits

unknown

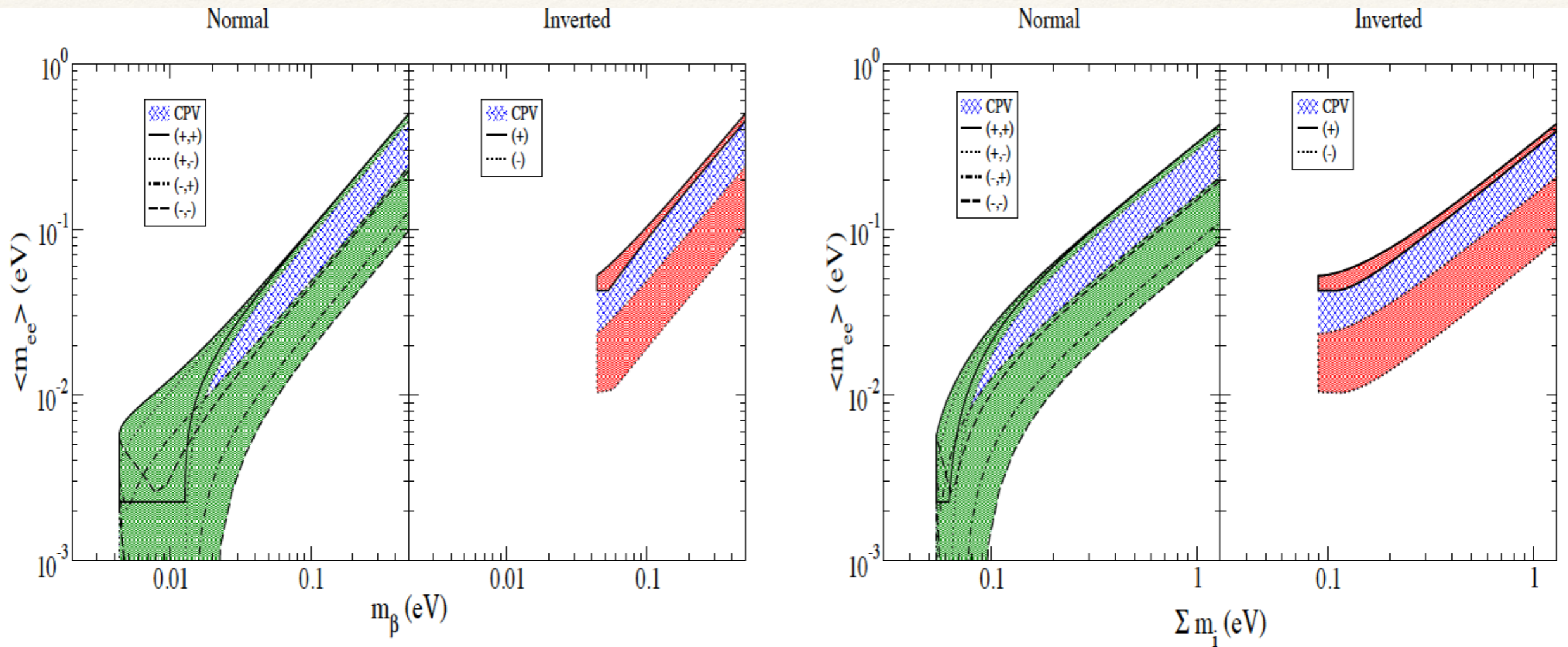
Neutrino Mass Observables



**complete complementarity
of observables**

- $0\nu\beta\beta$ rules out that neutrinos saturate Mainz-limit
- $0\nu\beta\beta$ and cosmology currently roughly the same
- cosmology strongly disfavors a signal in KATRIN

Neutrino Mass Observables

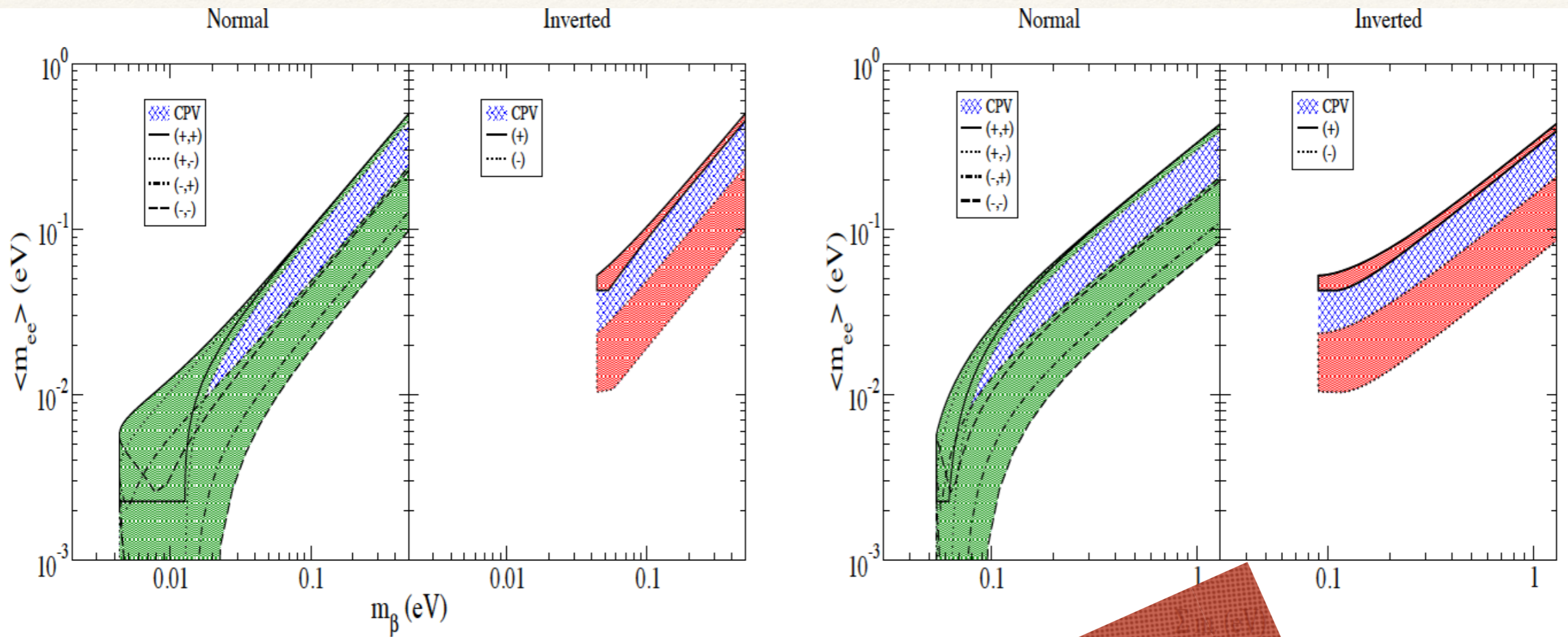


complete complementarity
of observables

- $0\nu\beta\beta$ rules out that neutrino mass is at the Mainz-limit
- $0\nu\beta\beta$ and cosmological Σm_i are consistently roughly the same
- cosmological Σm_i strongly disfavors a signal in KATRIN

All need to be pursued!

Neutrino Mass Observables

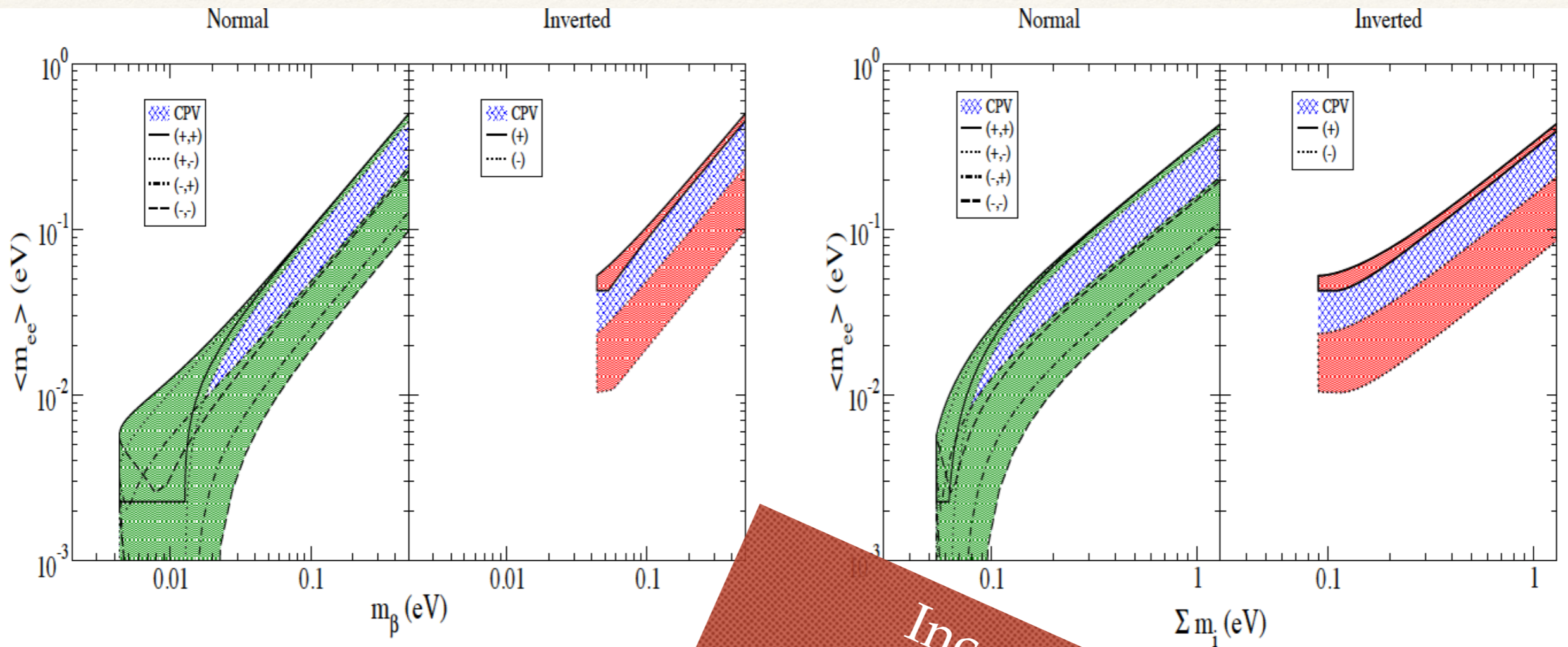


complete complementarity
of observables

- $0\nu\beta\beta$ rules out the Mainz-limit
- $0\nu\beta\beta$ and cosmology are roughly the same
- cosmology favors a signal in KATRIN

Consistency
would be spectacular
confirmation!

Neutrino Mass Observables



Inconsistencies would be major discovery!

complete complementarity of observables

- $0\nu\beta\beta$ rule
 - $0\nu\beta\beta$ and cosmology
 - cosmology strongly disfavors a signal in MATRIN
- Mainz-limit
time

New Physics with m_ν Experiments

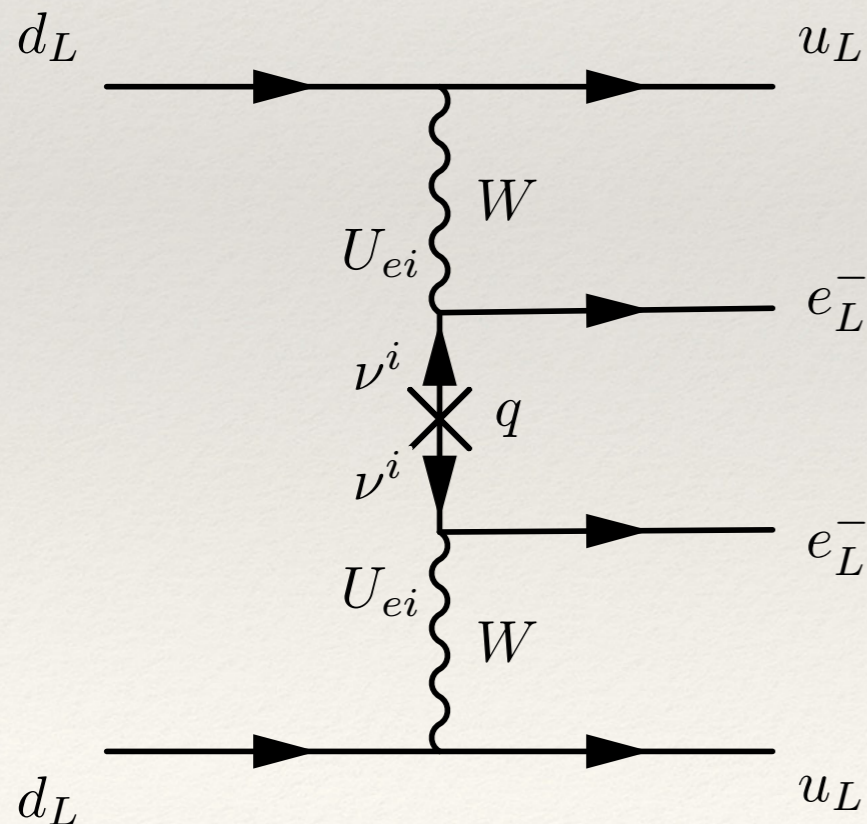
- ❖ $0\nu\beta\beta$ constrains many models and could provide most fundamental discovery in the field!
- ❖ cosmology limits sensitive to new physics (H_0 , ω_{DE} , N_{eff} , ...)
- ❖ KATRIN etc. can do more:
 - eV-scale steriles
 - keV steriles if full spectrum is measured...
 - exotic CC interactions (scalar, tensor, etc.) if full spectrum is measured (TeV-scale physics!)

New Physics in Double Beta Decay

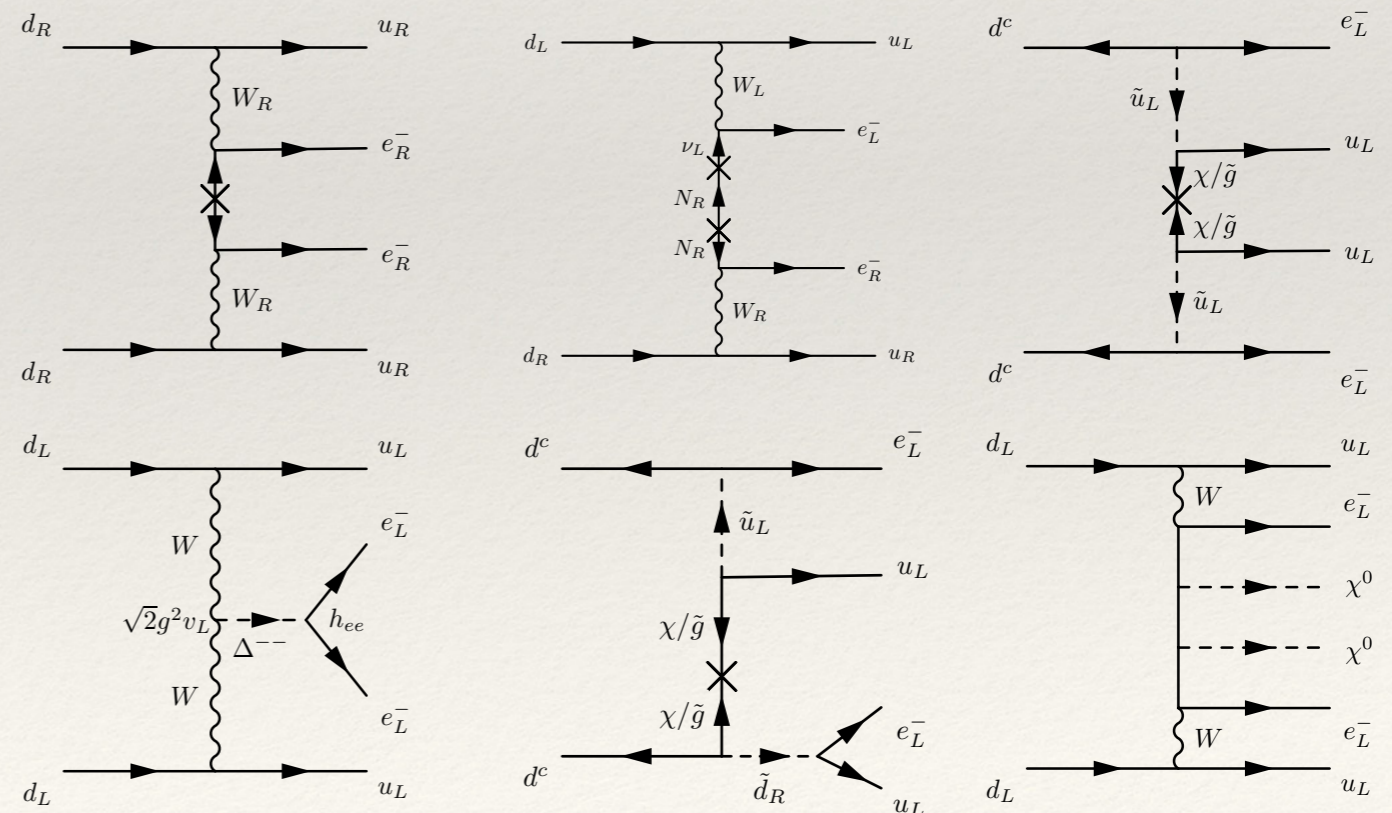
Double Beta Decay is $\Delta L = 2$, not neutrino mass!

Interpretations:

Standard:



Non-Standard:



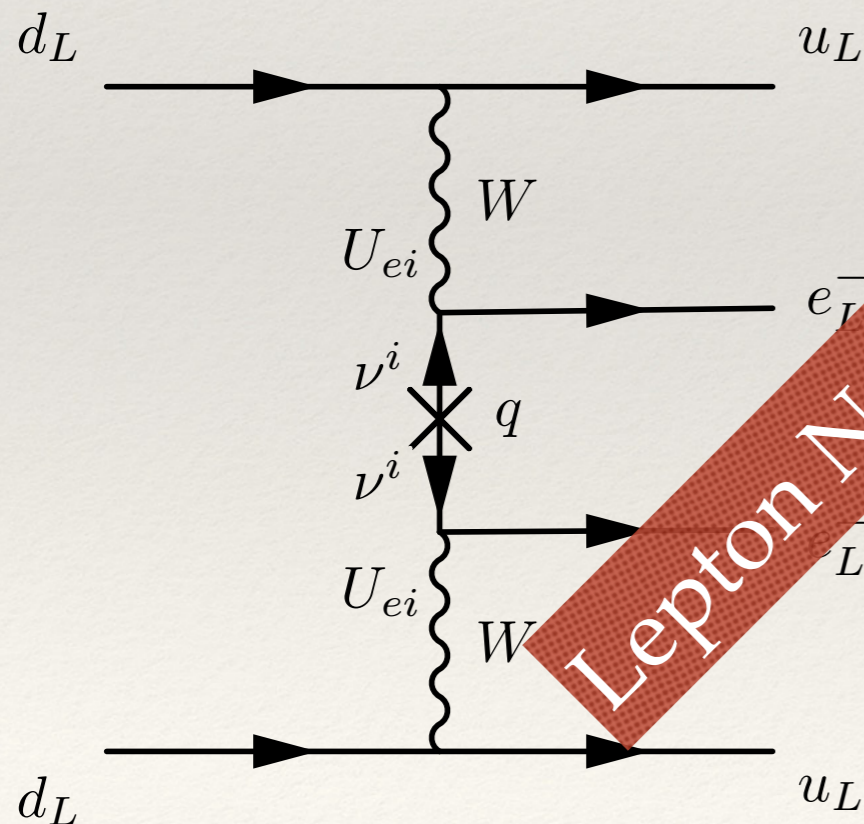
New Physics in Double Beta Decay

Double Beta Decay is $\Delta L = 2$, not $\Delta L = 1$ due to neutrino mass!

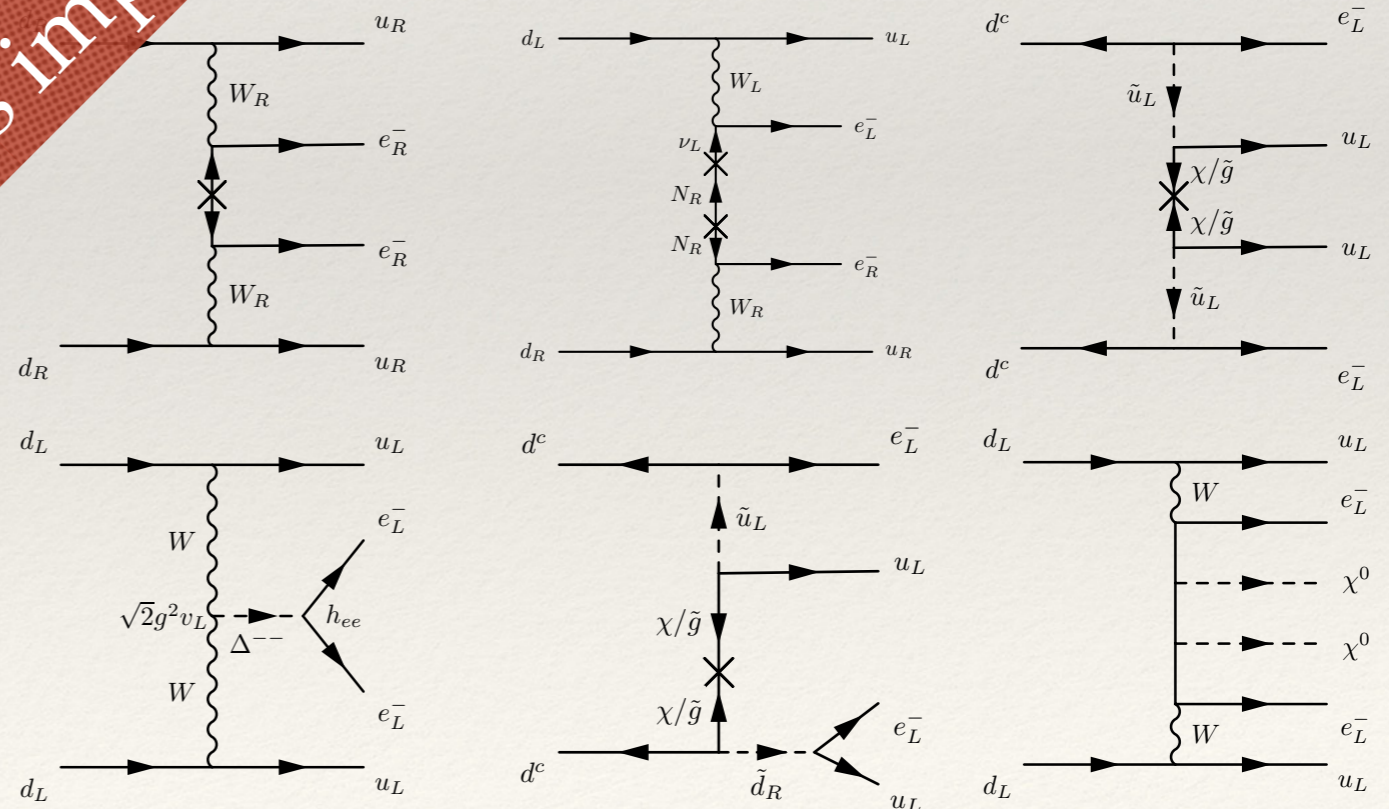
Lepton Number as important as Baryon Number

Interpretations:

Standard:

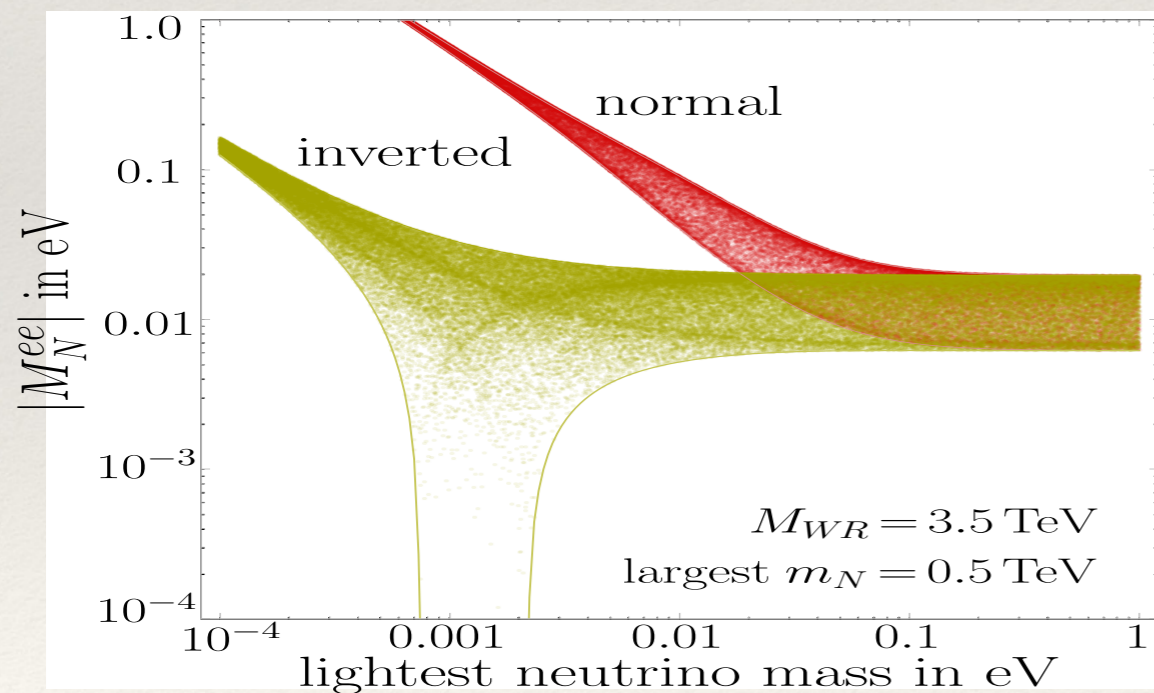


Non-Standard:

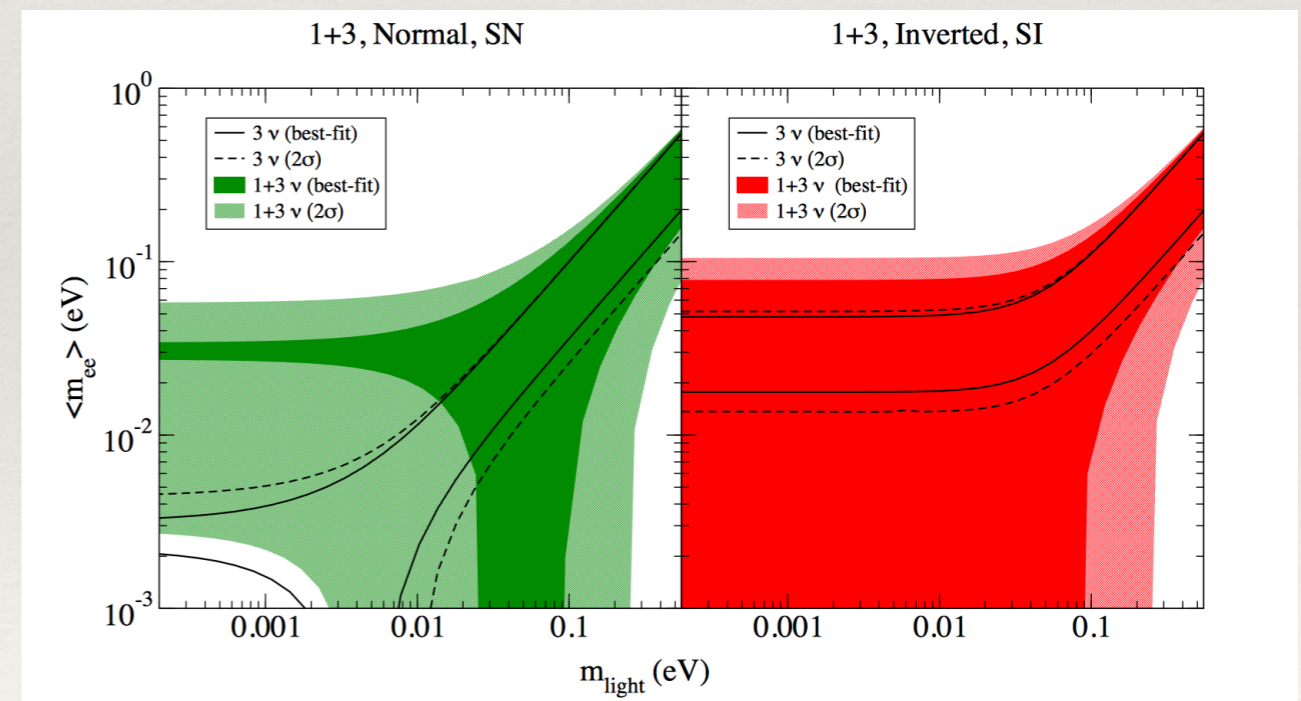


New Physics in Double Beta Decay

- ❖ LNV predicted by many BSM theories (Left-Right Symmetry, RPV SUSY, GUTs,...)
- ❖ breaks connection between cosmology limits and $0\nu\beta\beta$
- ❖ spoils usual arguments of mass ordering / neutrino character:



Senjanovic et al., 1011.3522

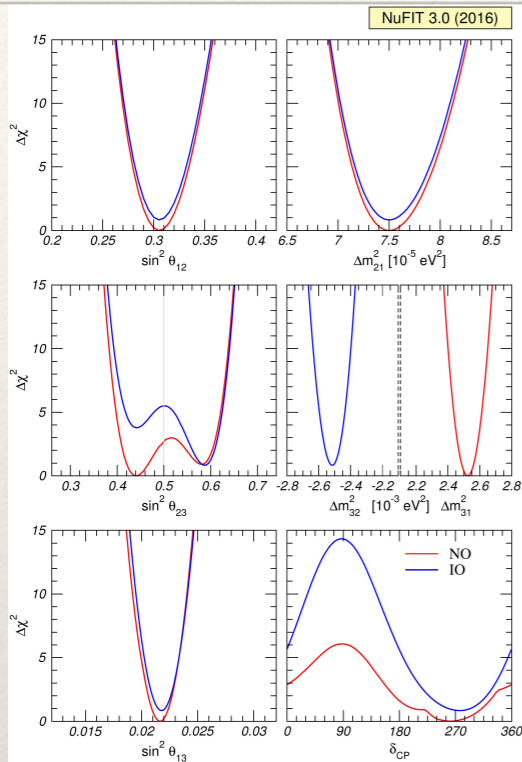


Barry, WR, Zhang, 1105.3911

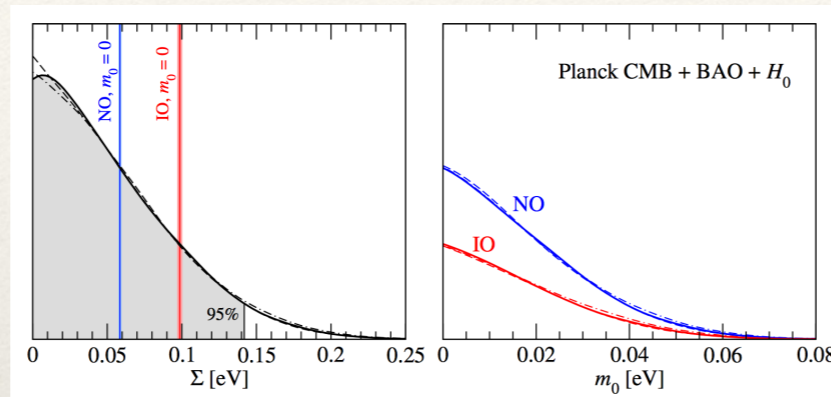
Expectations for half-lives

Ge, WR, Zuber, to appear, see also 1705.02996; 1705.01945, talk by Benato

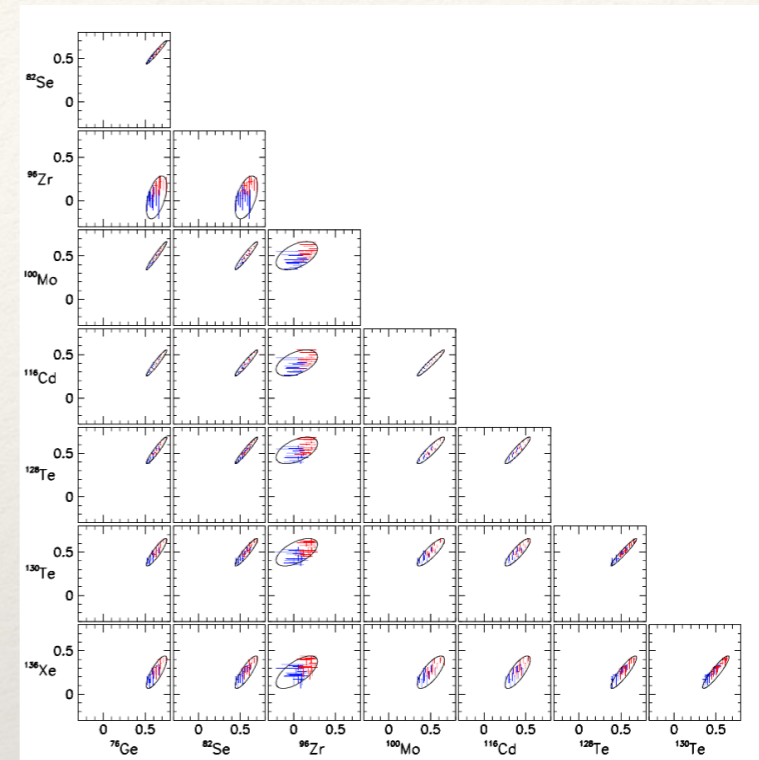
oscillation fits



cosmology fits

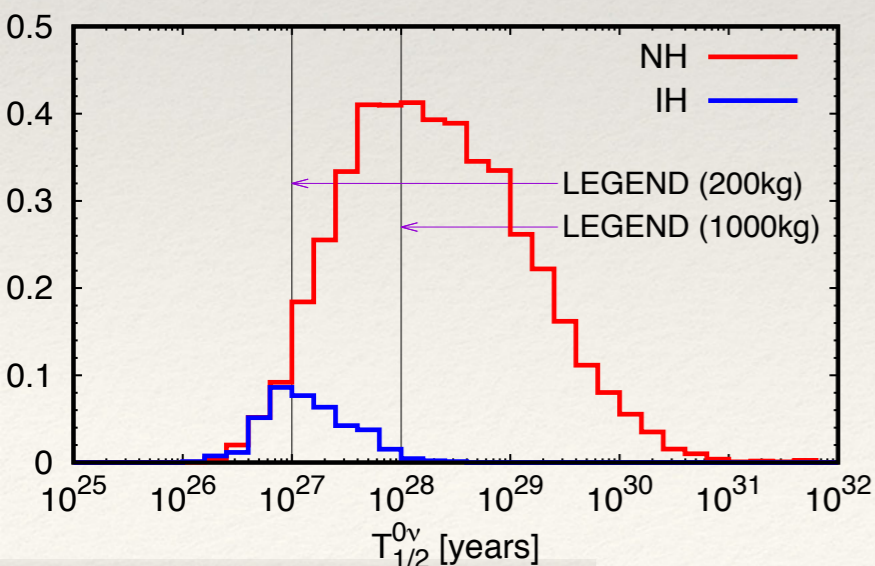


NME errors



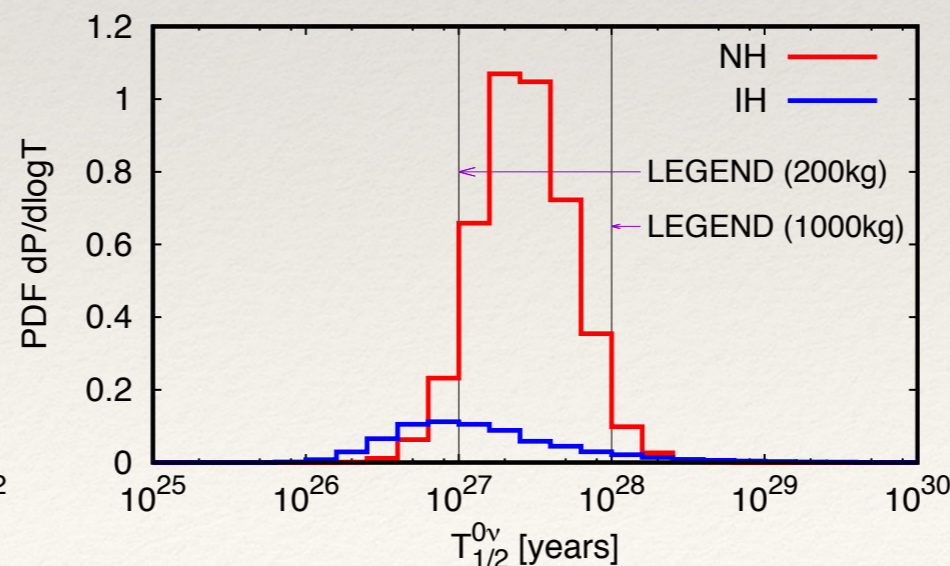
Standard

Predicted Half-Lifetime for ^{76}Ge



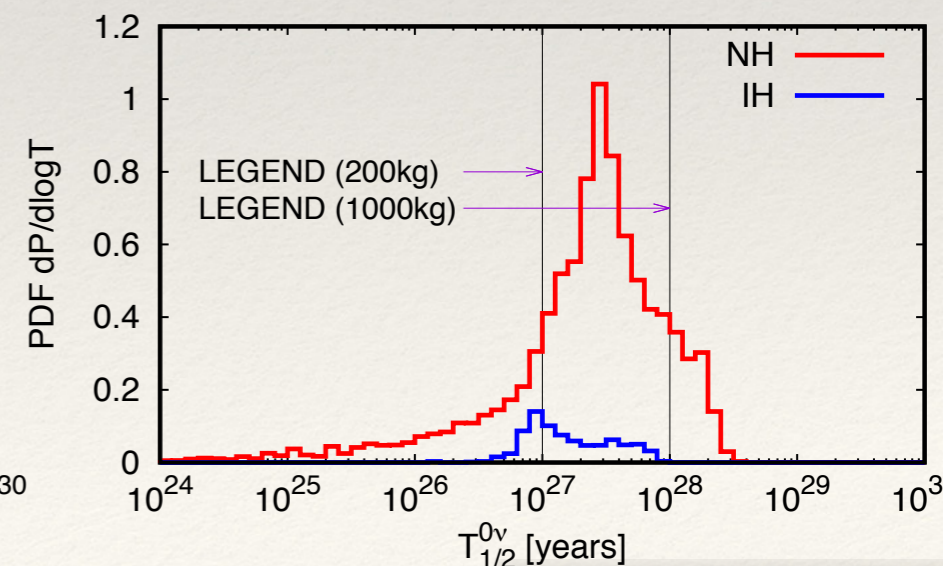
Sterile

Predicted Half-Lifetime for ^{76}Ge



Left-right

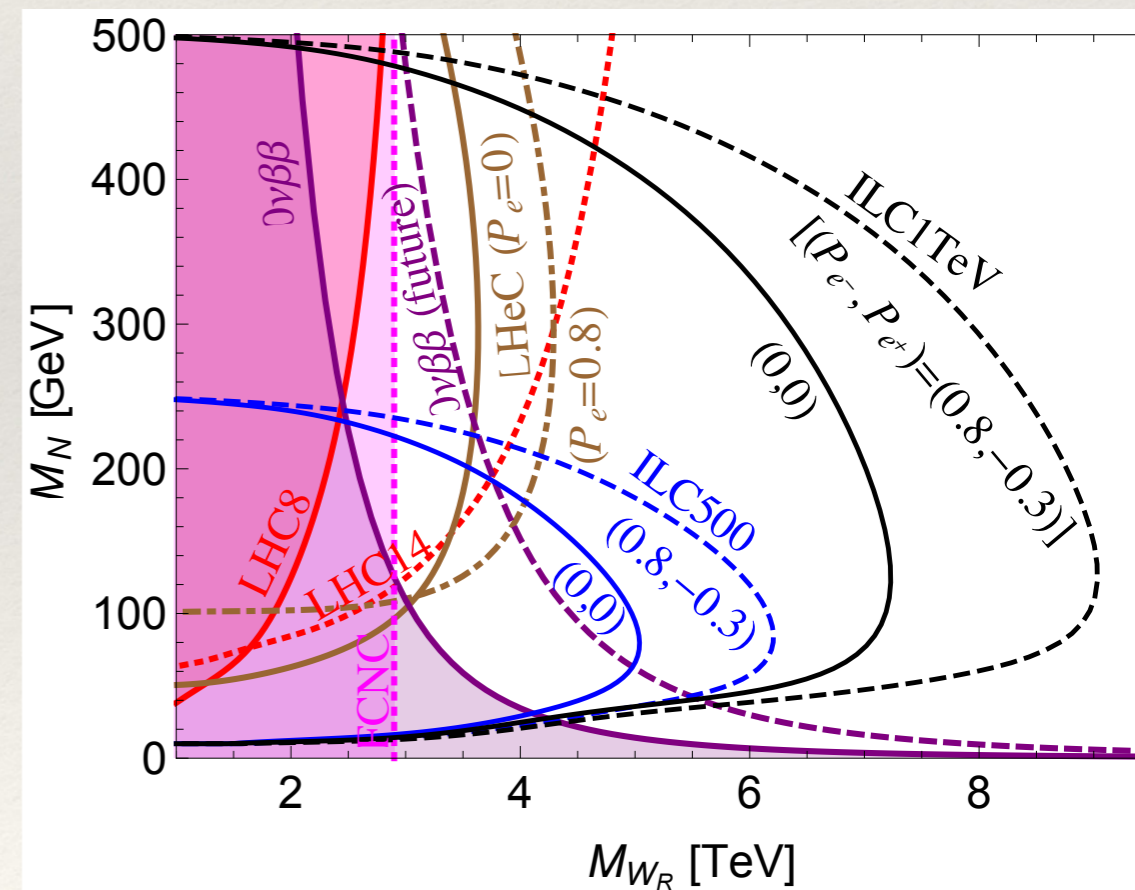
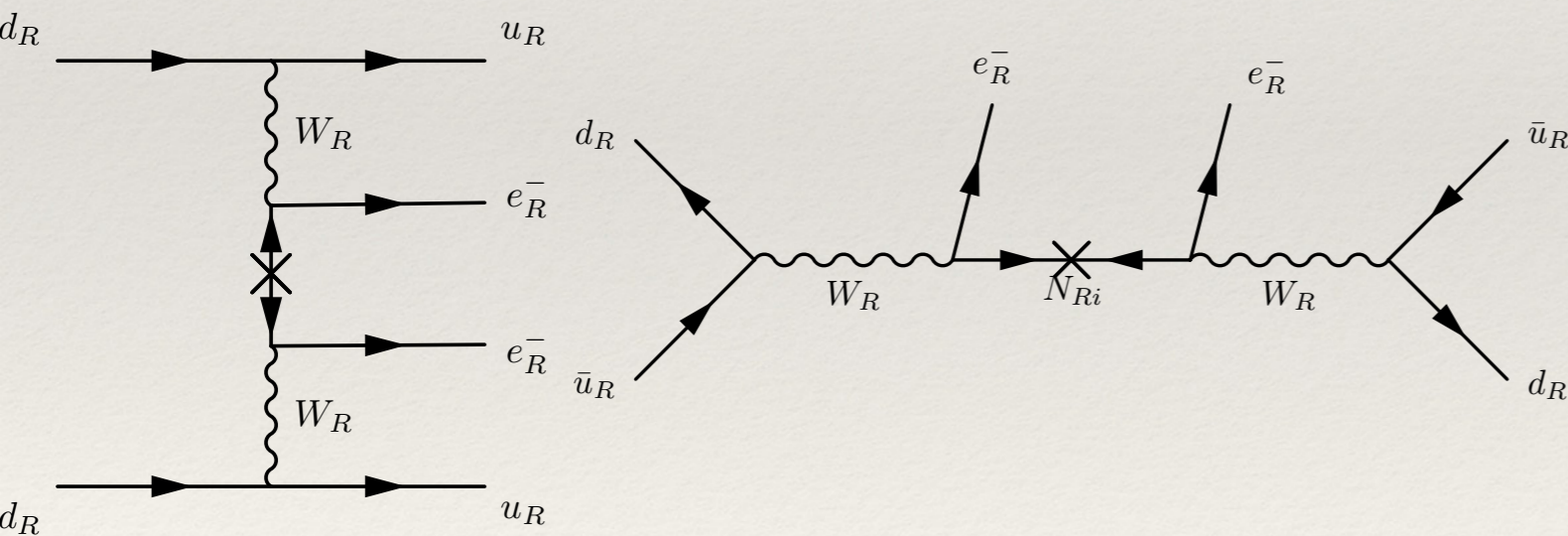
Predicted Half-Lifetime for ^{76}Ge [LRSM-typeII]



$$eV = \text{TeV}$$

$$G_F^2 \frac{|m_{ee}|^2}{q^2} = \frac{1}{\Lambda^5} \text{ for } |m_{ee}| \sim eV \text{ and } \Lambda \sim \text{TeV}$$

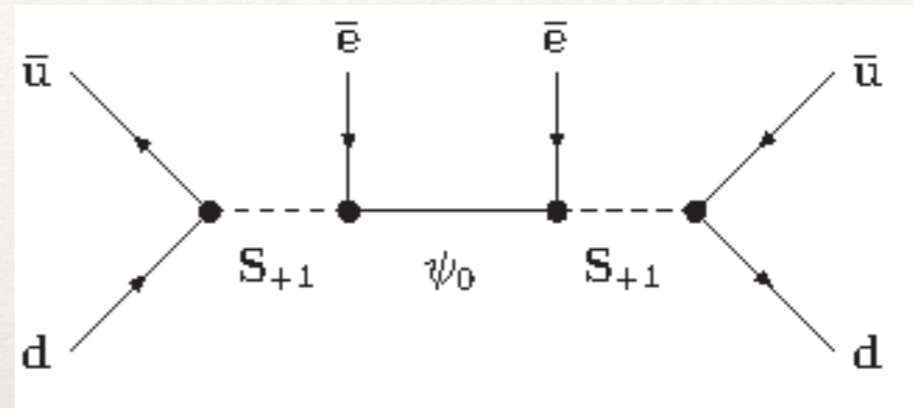
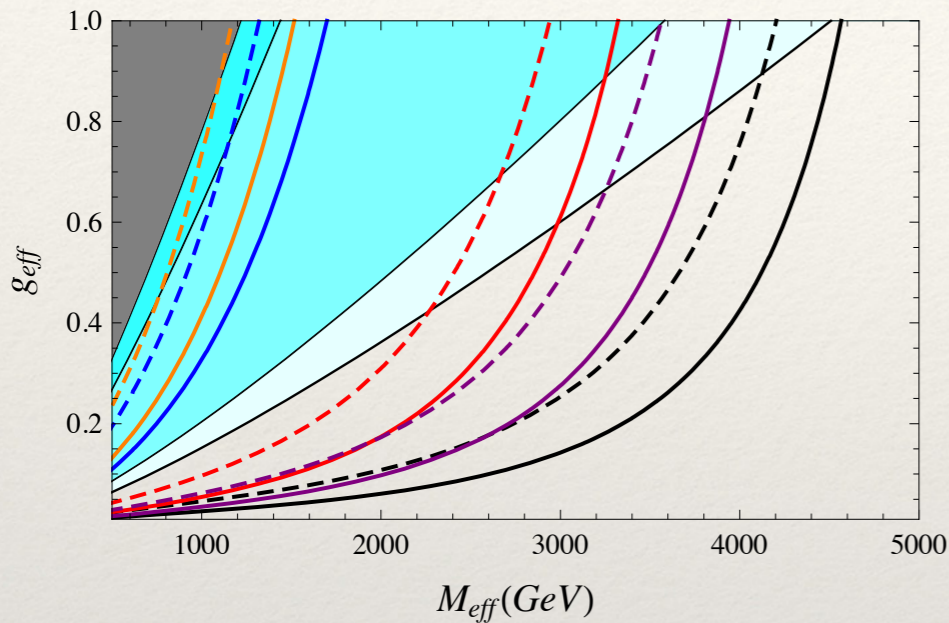
⇒ Constraints from LHC, LFV, etc ⇔ solve the inverse problem



Biswal, Dev, 1701.08751

Complementarity of LHC and $0\nu\beta\beta$

Hirsch et al., 1511.03945

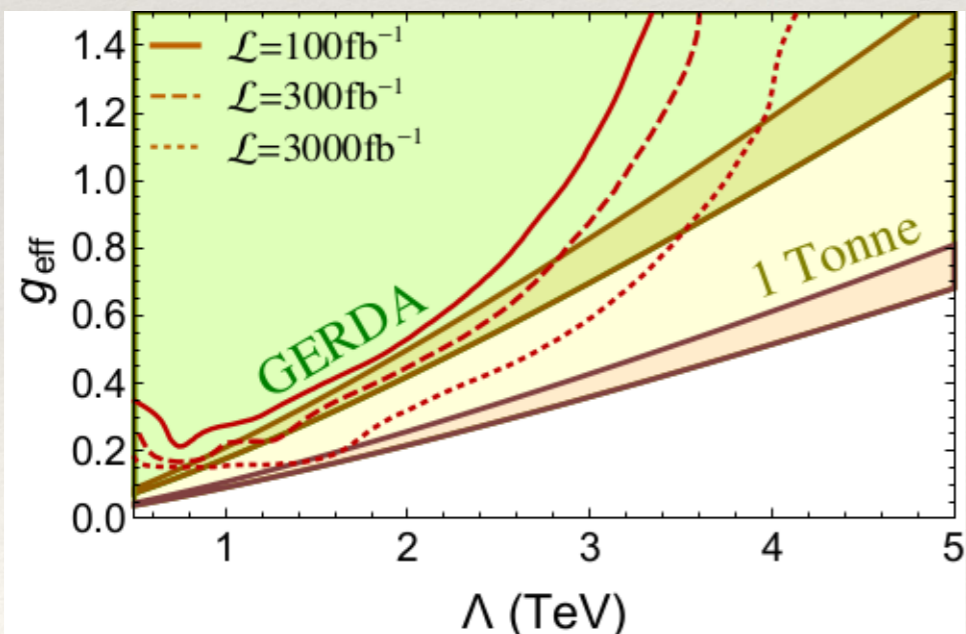


$S \sim (1,2)$

$\psi \sim (1,0)$

- ❖ LHC needs $M_S > M_\psi$
- ❖ LHC has low sensitivity for small M_ψ
- ❖ include jet-fake rate, charge mis-ID, QCD corrections in $0\nu\beta\beta$, etc.
- ❖ \Rightarrow complementary

Ramsey-Musolf et al., 1508.04444



Summary

- ❖ Learned a lot already!
- ❖ Unknown parameters very important!
- ❖ New Physics may lurk in all experiments

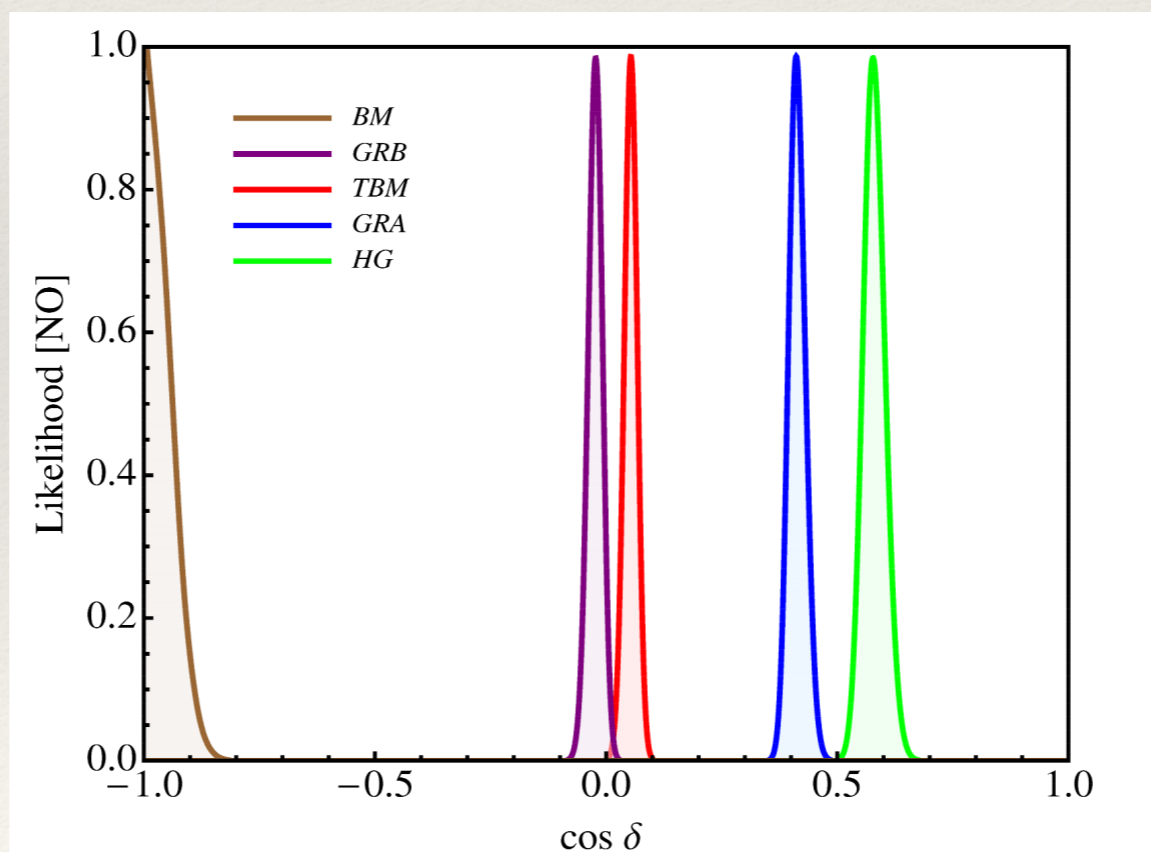
Example I: Sum-rules

$$U_\nu = \begin{pmatrix} \cos \theta & \sin \theta & 0 \\ -\sin \theta / \sqrt{2} & \cos \theta / \sqrt{2} & \sqrt{\frac{1}{2}} \\ \sin \theta / \sqrt{2} & \cos \theta / \sqrt{2} & \sqrt{\frac{1}{2}} \end{pmatrix} \text{ and } U_\ell \sim \text{CKM}$$

$$\Rightarrow \sin^2 \theta_{12} \simeq \sin^2 \theta - |U_{e3}| \sin 2\theta \cos \delta$$

*King et al.; Frampton,
Petcov, WR,...*

*Girardi, Petcov, Titov,
1410.8056*



\Rightarrow can distinguish only classes of models

Example II: CP phase

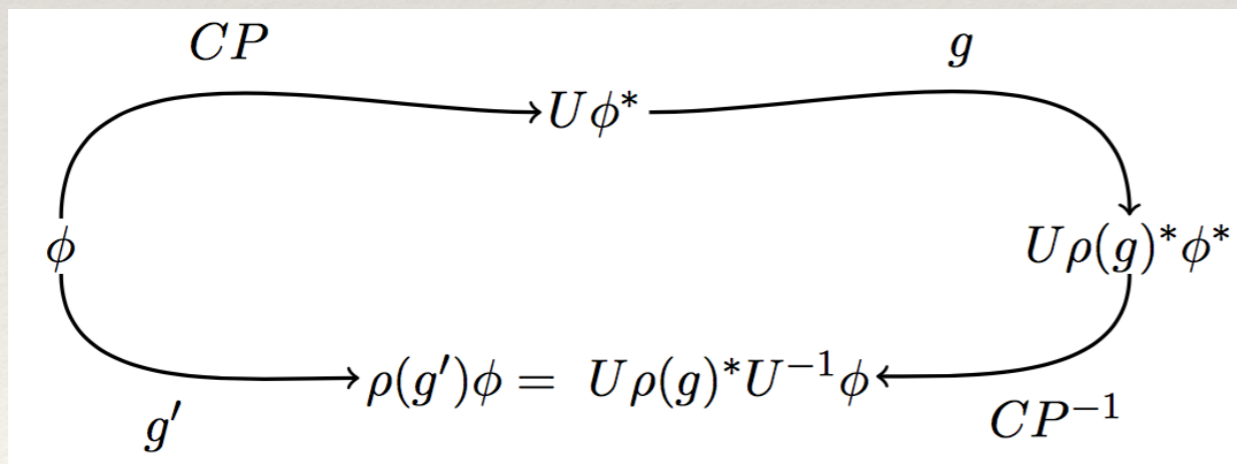
- ❖ μ - τ reflection symmetry: $\nu_e \leftrightarrow \nu_e^*$ and $\nu_\mu \leftrightarrow \nu_\tau^*$

gives $\delta = \pm\pi/2$ and $\theta_{23} = \pi/4$

- can happen if mass matrices are invariant under real subgroups of $O(3)$

Ma; Grimus, Lavoura; Joshipura, Patel; He, WR, Xu

- ❖ combine CP and flavor symmetry, typically gives $\delta = \pm\pi/2, \pm\pi, 0$



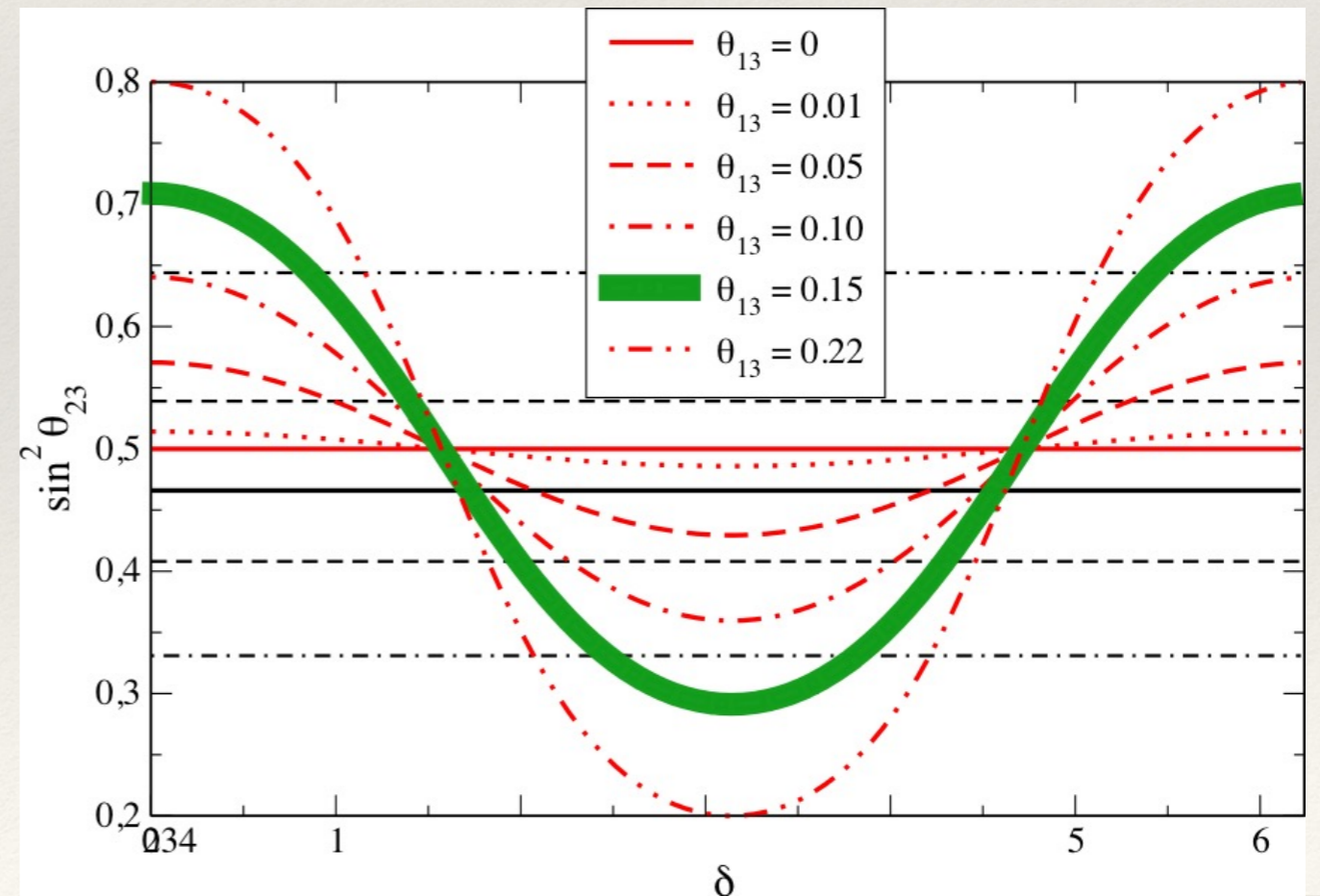
(implies consistency relation)

*Grimus; Chen; Feruglio, Hagedorn, Ziegler; Holthausen, Schmidt, Lindner;
Ding, King, Stuart; Meroni, Petcov; Branco, King, Varzielas,...*

Example III: Trimaximal

frequent outcome of realistic models: trimaximal mixing
 $\sin^2 \theta_{12} \approx \frac{1}{3} (1 - 2 |U_{e3}|^2)$ and $\delta = 3\pi/2$ gives $\theta_{23} < \pi/4$

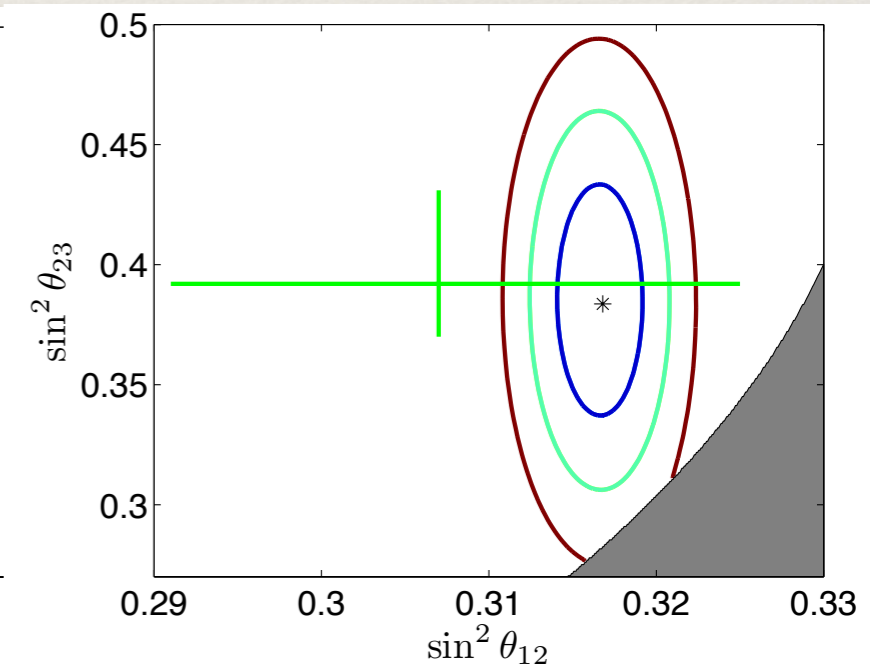
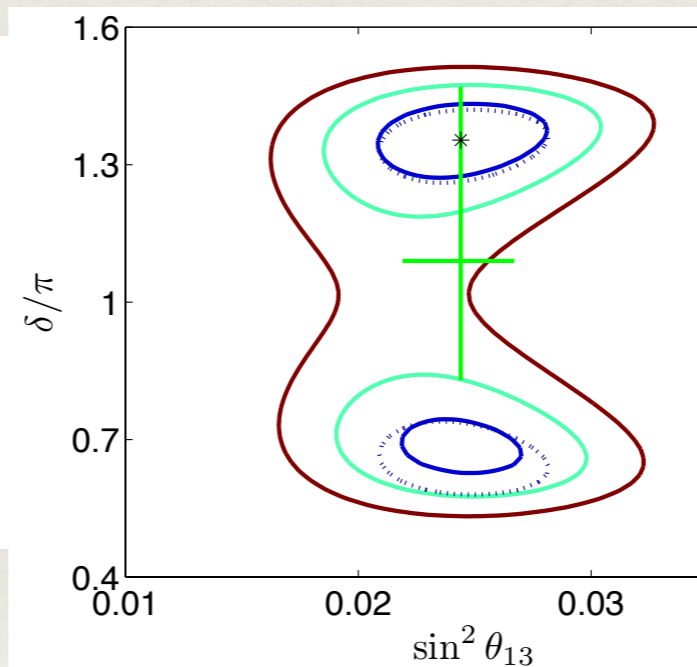
$$U = \begin{pmatrix} \sqrt{\frac{2}{3}} & \# & \# \\ \sqrt{\frac{1}{6}} & \# & \# \\ \sqrt{\frac{1}{6}} & \# & \# \end{pmatrix}$$



Example III: Trimaximal

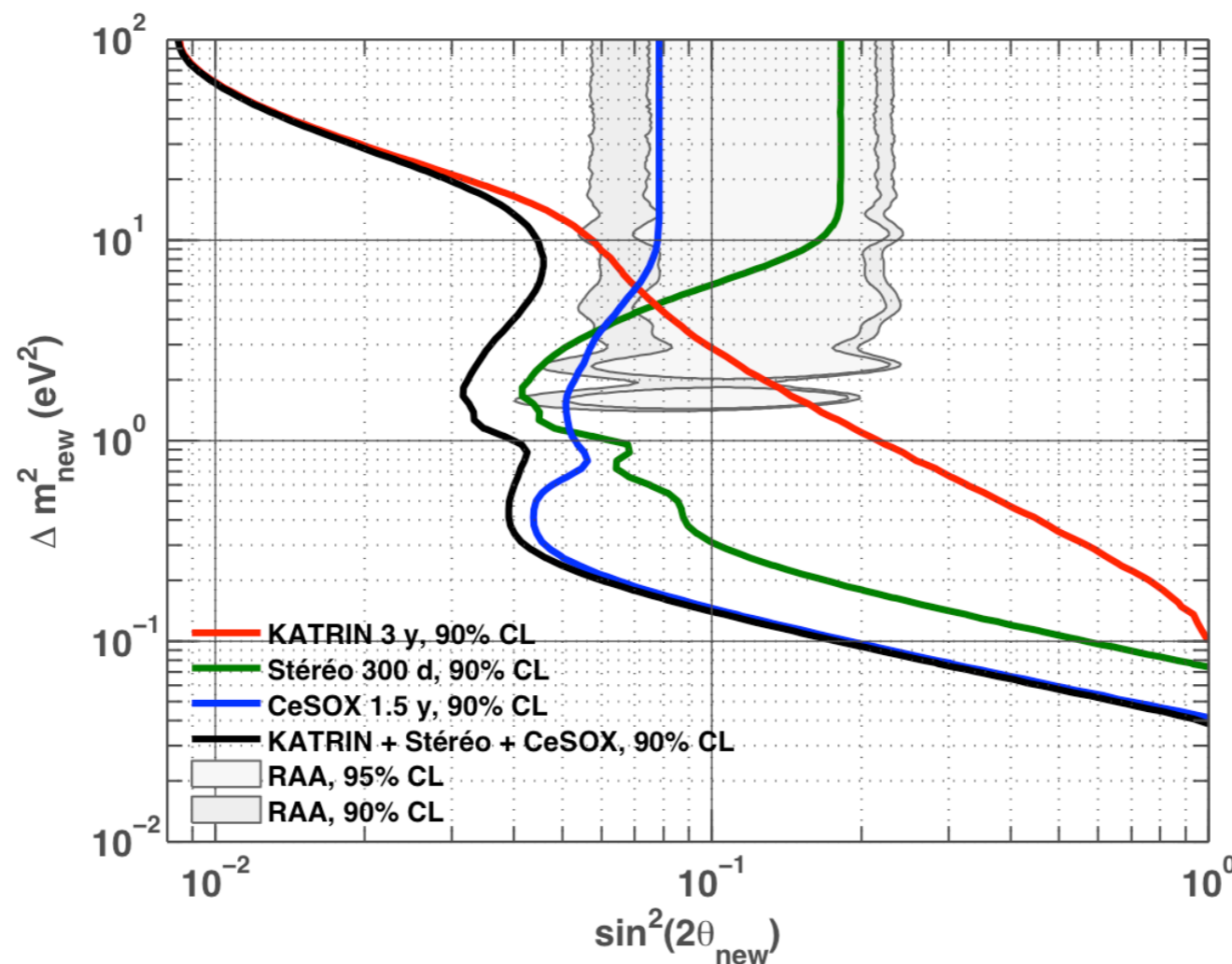
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$$U = \begin{pmatrix} \sqrt{\frac{2}{3}} & \# & \# \\ \sqrt{\frac{1}{6}} & \# & \# \\ \sqrt{\frac{1}{6}} & \# & \# \end{pmatrix}$$



New Physics with m_ν Experiments

eV-scale neutrinos and KATRIN



Lasserre

New Physics with m_ν Experiments

keV-scale neutrinos and modified KATRIN

❖ $0\nu\beta\beta$ constrains many models and could provide most fundamental

❖ increases

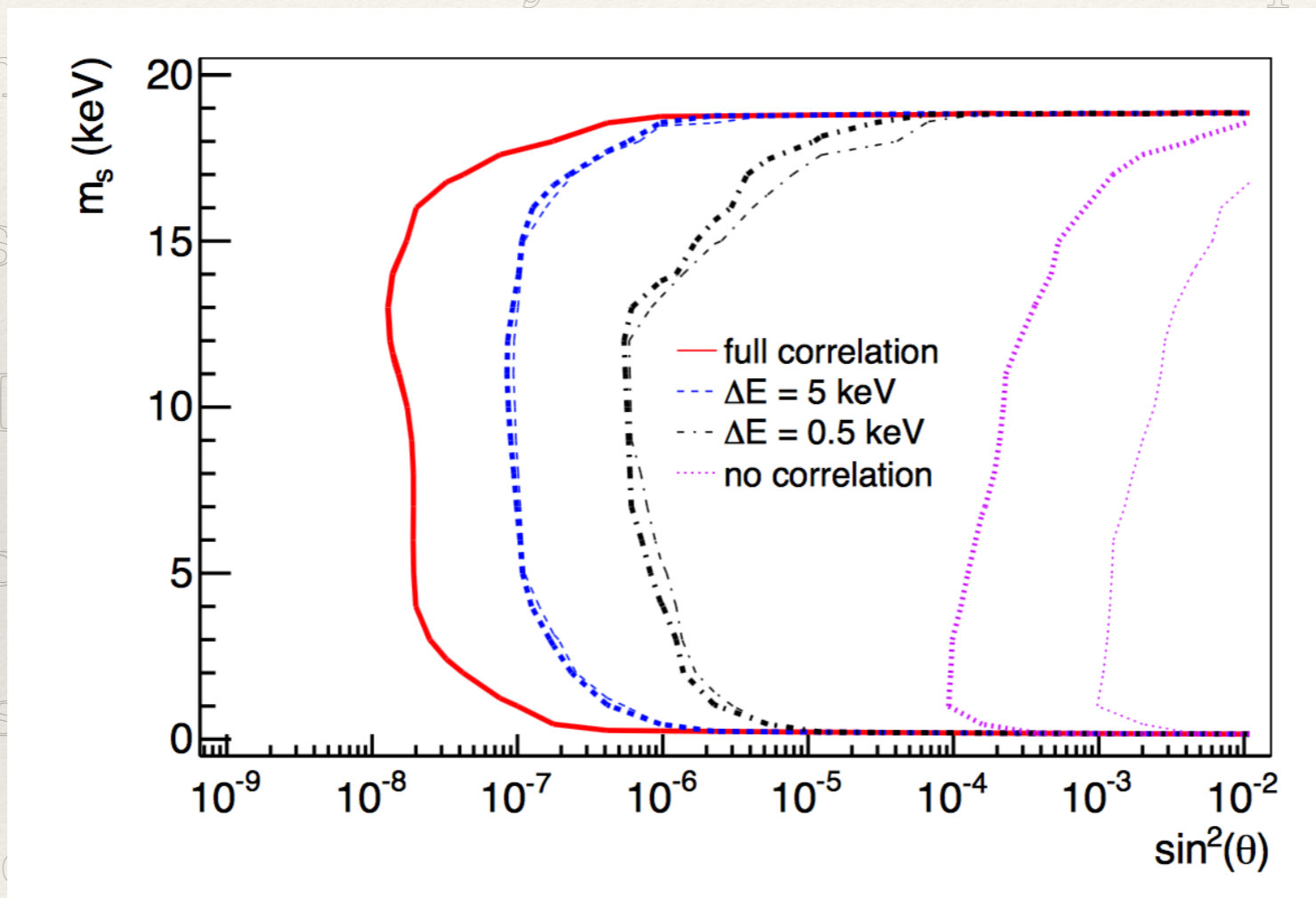
❖ KATRIN

- eV-scale

- keV scale

- exotic

measured (TeV-scale physics!)



parameters (H_0, σ_8, \dots)

Mertens et al., 1409.0920

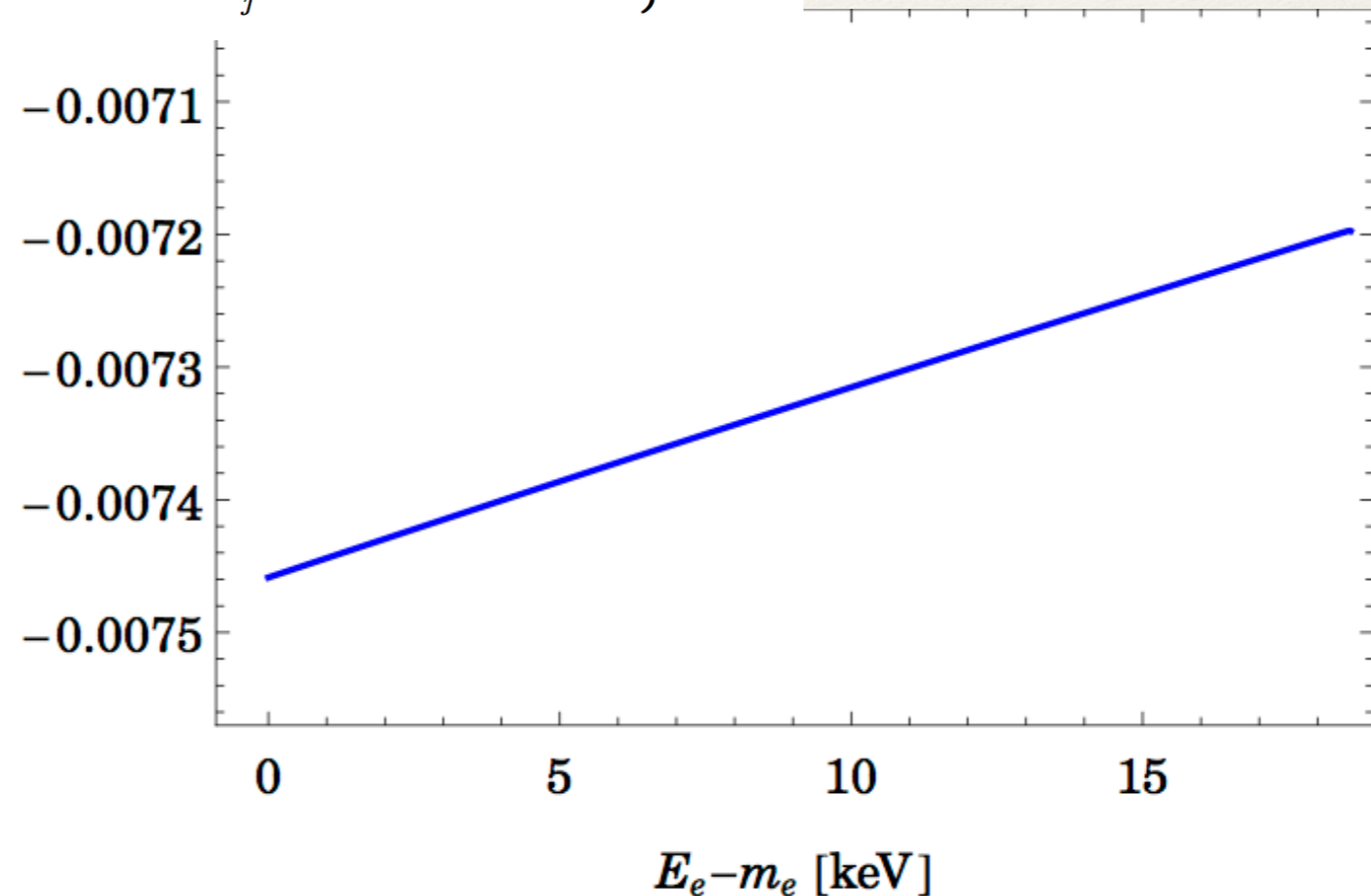
spectrum is

New Physics with m_ν Experiments

exotic charged currents and modified KATRIN

$$\mathcal{L}_{\text{CC}} = -\frac{G_F V_{ud}}{\sqrt{2}} \left\{ (1 + \delta_\beta) (\bar{e} L_\mu \nu_e) (\bar{u} L^\mu d) + \sum_j^{(\sim)} \epsilon_j^{(\sim)} (\bar{e} \mathcal{O}_j \nu_e) (\bar{u} \mathcal{O}'_j d) \right\} + \text{H.c.}$$

$$\Delta_B(\epsilon_\alpha^{(\sim)}) \equiv \frac{\left(\frac{d\Gamma}{dE_e} \right)_{m_\beta=0.5 \text{ eV}}^{\text{NP}(\epsilon_\alpha^{(\sim)})}}{\left(\frac{d\Gamma}{dE_e} \right)_{m_\beta=0.5 \text{ eV}}^{\text{no NP}}} - 1,$$

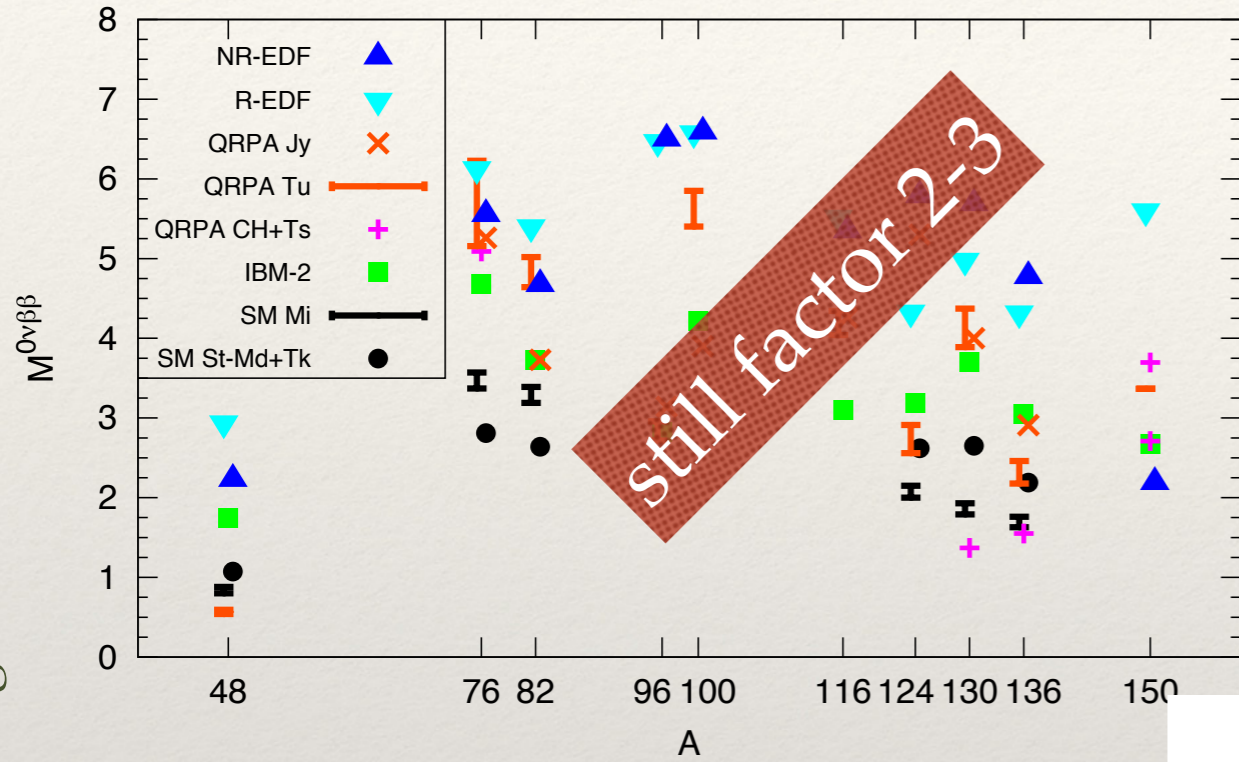


Ludl, WR, 1603.08690

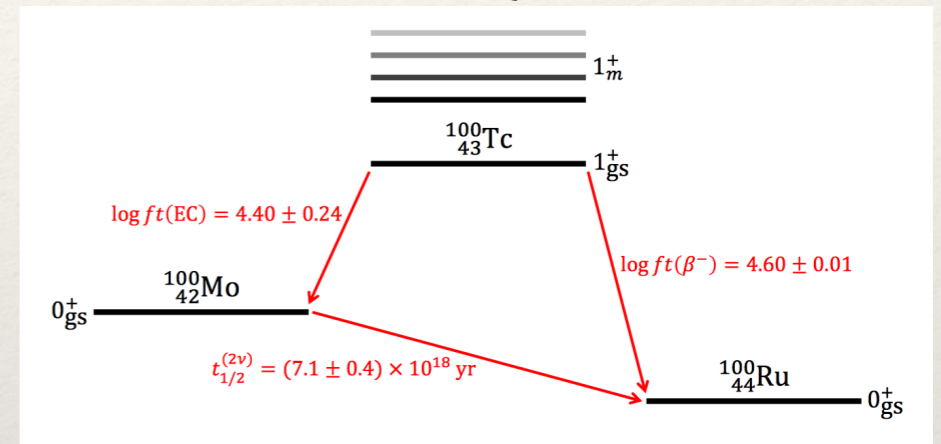
$\epsilon = 10^{-3} \Rightarrow$ multi-TeV-scale physics

Nuclear Matrix Elements

Engel, Menendez, 1610.06548

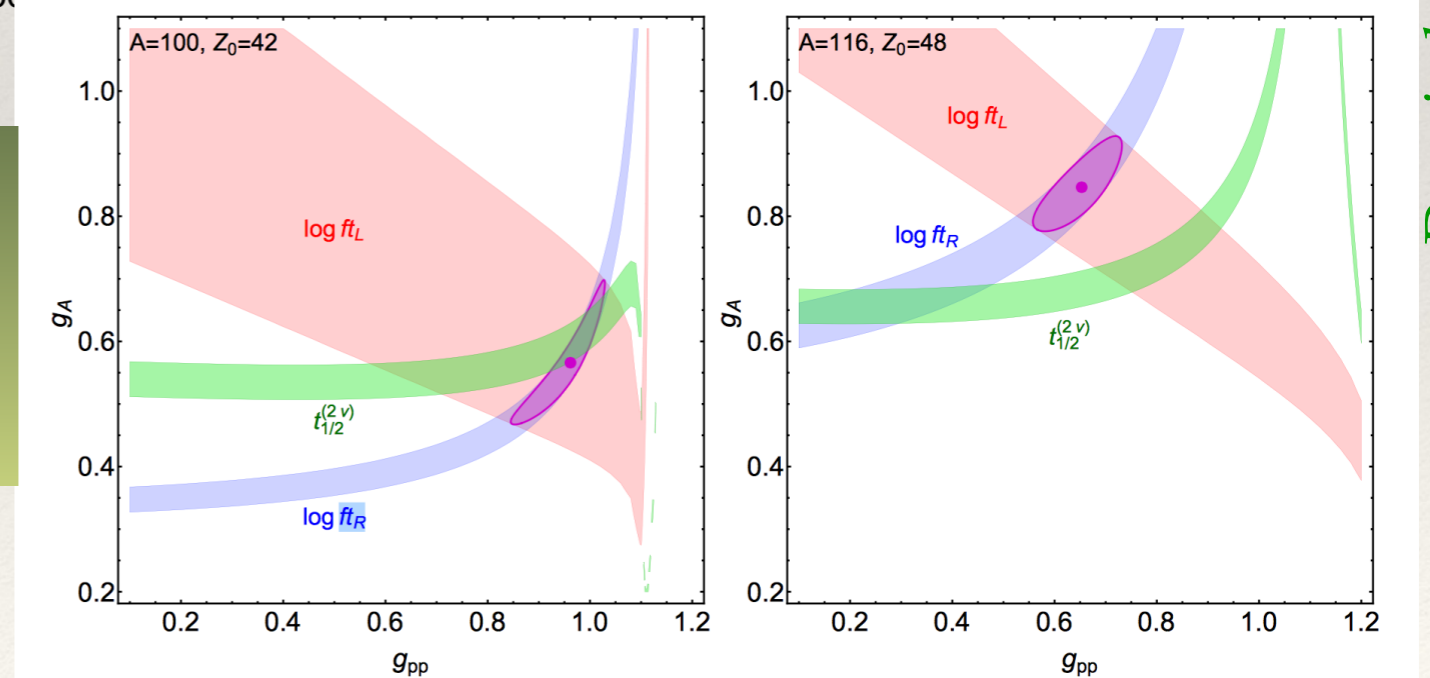


How good are the models?
Example isobaric triplets
within QRPA



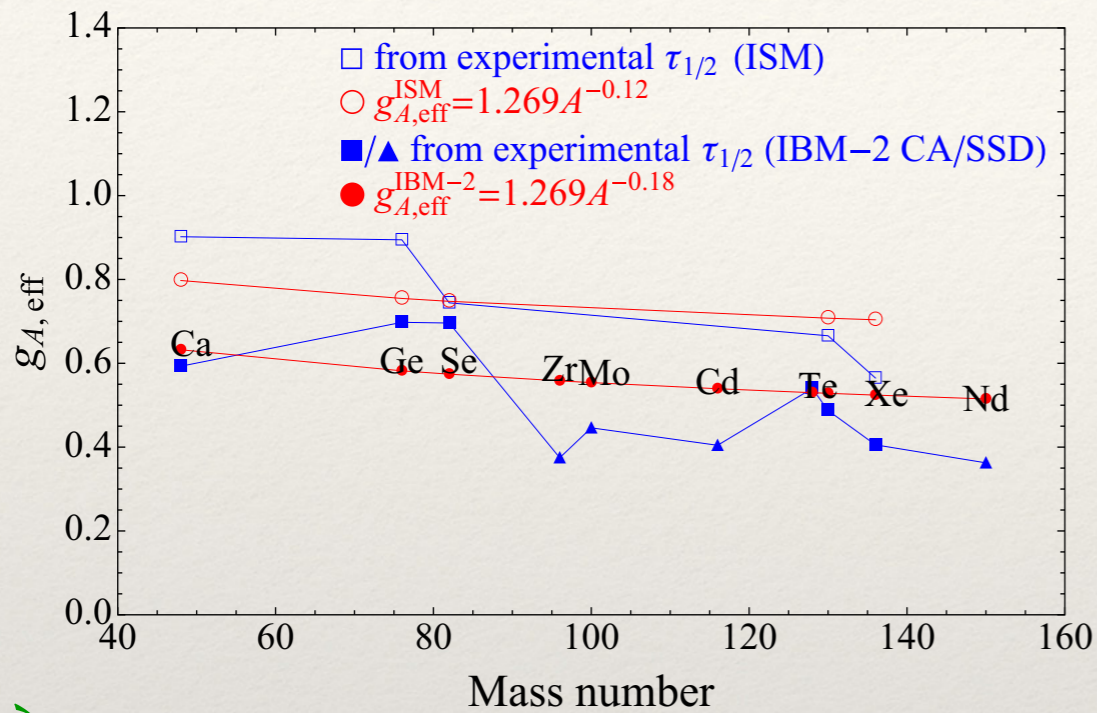
Deppisch, Suhonen, 1606.02908

⇒ Need as much experimental input (e.g. charge exchange) as possible...



Nuclear Matrix Elements

Iachello et al., 1506.08530

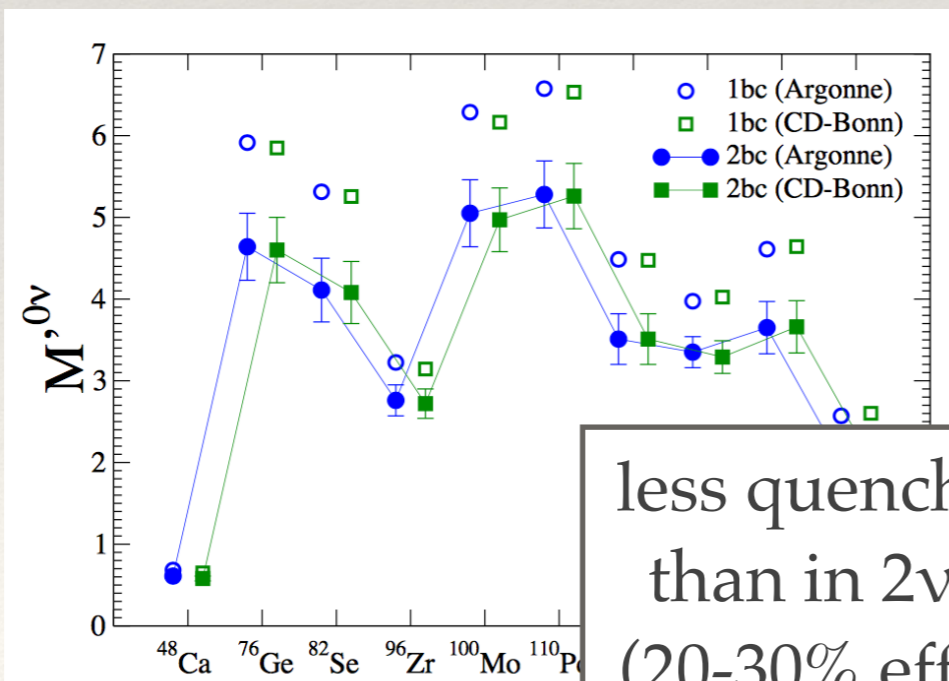


QUENCHING??

$$T_{\frac{1}{2}}^{0\nu} \propto g_A^{-4}$$

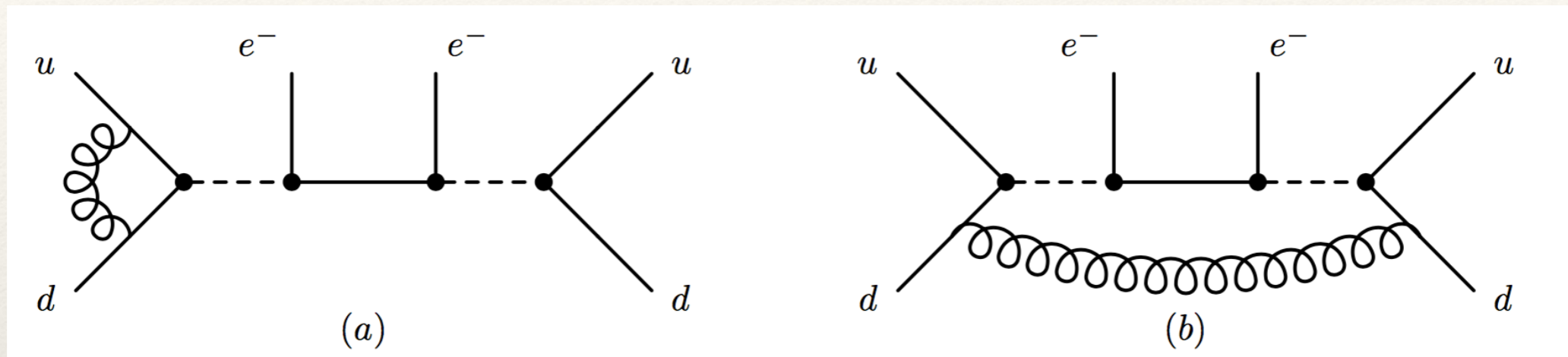
- ❖ fact in β and $2\nu\beta\beta$
- ❖ truncation of model-space?
- ❖ also in $0\nu\beta\beta$??
 - $q = 10^2$ vs. 10^0 MeV?
 - higher multipolarities?
 - two-body currents?
 - muon capture?
 - SM vs. QRPA

Menendez, Gazit, Schwenk, 1103.3622; Engel, Simkovic, Vogel, 1403.7860



less quenching than in $2\nu\beta\beta$ (20-30% effect)

QCD Corrections



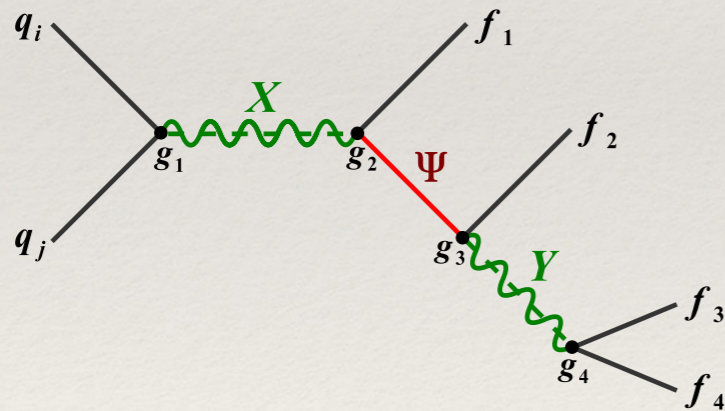
- ❖ naive size $(\alpha_s/4\pi) \ln (M_W/100 \text{ MeV})^2 \approx 10\%$, true for standard diagram
- ❖ creates in non $(V-A) \otimes (V-A)$ short-range mechanisms color non-singlets, Fierzing to singlets gives different operators with vastly different NMEs
- ❖ \Rightarrow can give effect exceeding NME uncertainty...

Mahajan, PRL 112; Gonzalez, Kovalenko, Hirsch, PRD 93;

Peng, Ramsey-Musolf, Winslow, PRD 93

TeV-scale LNV and Baryogenesis

- ❖ Example TeV-scale W_R : leads to washout in early Universe via $e_R e_R \leftrightarrow W_R W_R$ and $e_R W_R \leftrightarrow W_R e_R$; processes stay long in equilibrium (*Frere, Hambye, Vertongen; Bhupal Dev, Mohapatra; Sarkar et al.*)
- ❖ more model-independent (*Deppisch, Harz, Hirsch*):



wash-out:

$$\log_{10} \frac{\Gamma_W(qq \rightarrow \ell^+ \ell^+ qq)}{H} \gtrsim 6.9 + 0.6 \left(\frac{M_X}{\text{TeV}} - 1 \right) + \log_{10} \frac{\sigma_{\text{LHC}}}{\text{fb}}$$

would need electroweak, resonant, ARS, post-sphaleron baryogenesis

Flavor Symmetries

❖ Can rule out models by:

- neutrino mass sum-rules, e.g. $m_1 + m_2 e^{i\alpha} = m_3 e^{i\beta}$
- correlations between angles and phases
- LFV if within SUSY or if broken at low scale
- *minimality*
- *robustness*
- *compatibility with larger frameworks (LR symmetry, Pati-Salam, SU(5), SO(10),...)*

Flavor Symmetries

❖ Can rule out

- neutrino mass

- correlations

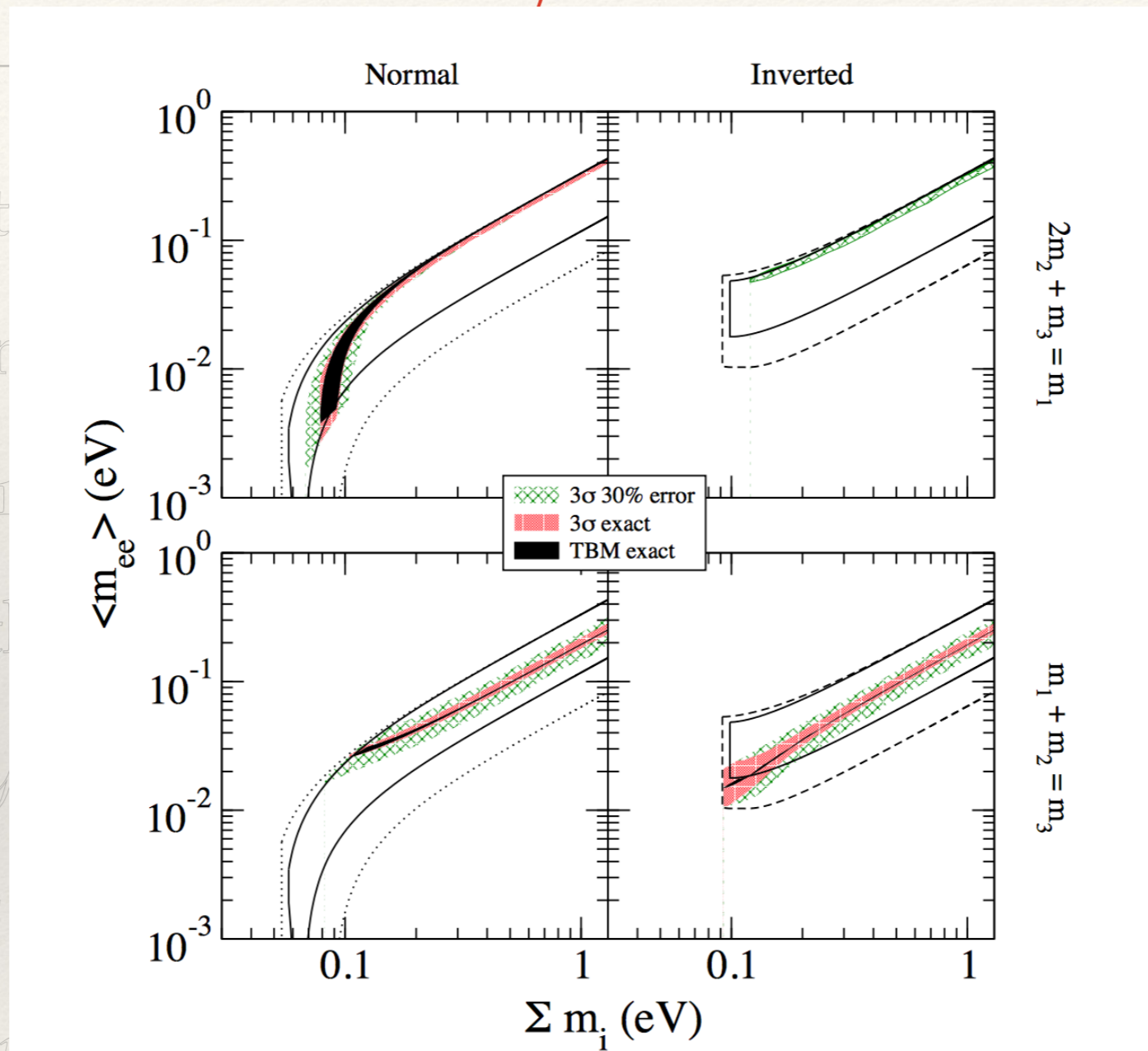
- LFV if with

- minimality

- robustness

- compatibility

($SU(5), SO(10), \dots$)



($SU(5), SO(10), \dots$)

Flavor Symmetries

❖ Can rule

• neutr

• corre

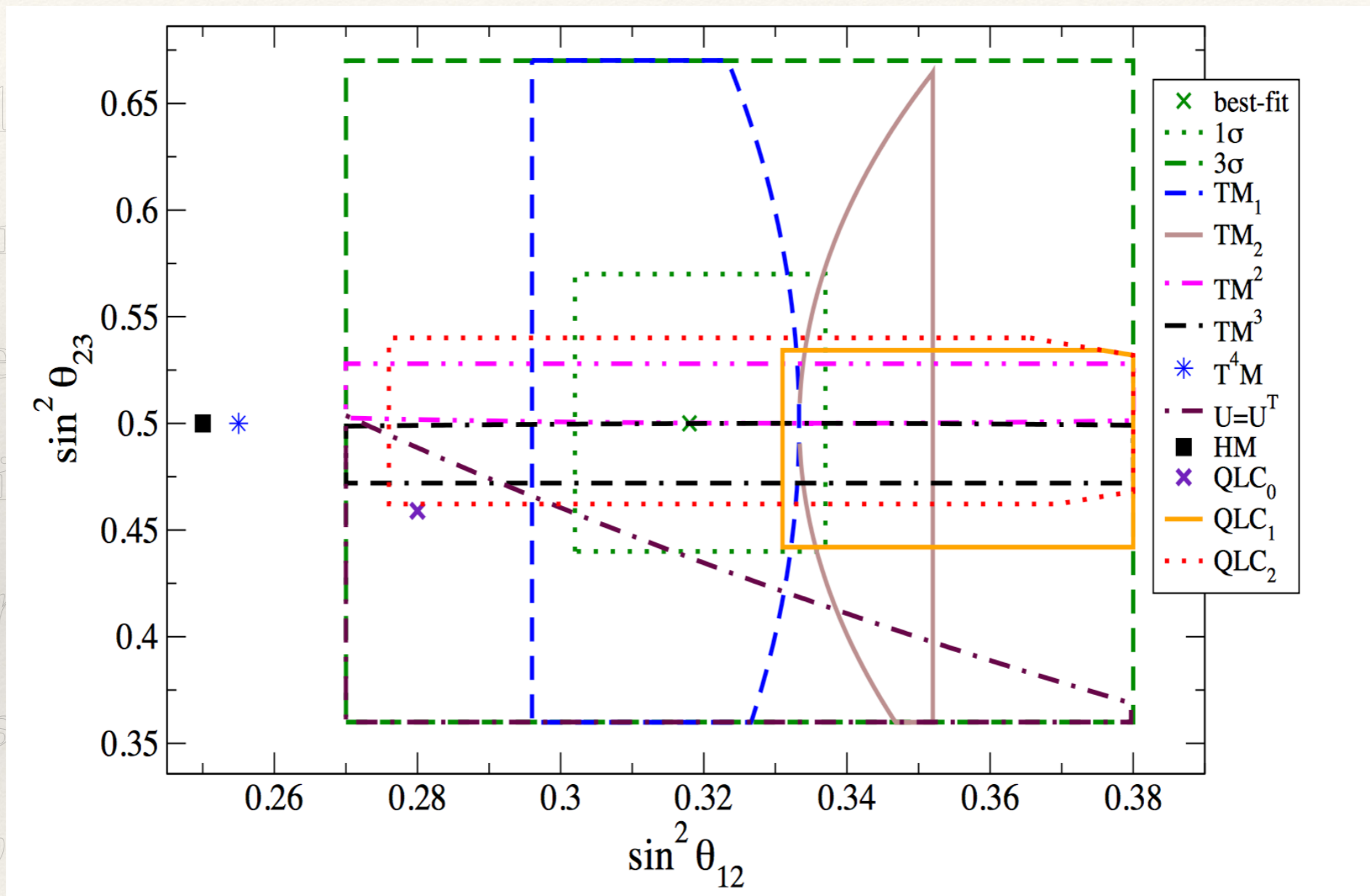
• LFV i

• minim

• robust

• comp

($SU(5), SO(10), \dots$)



alam,

Seesaw Variants

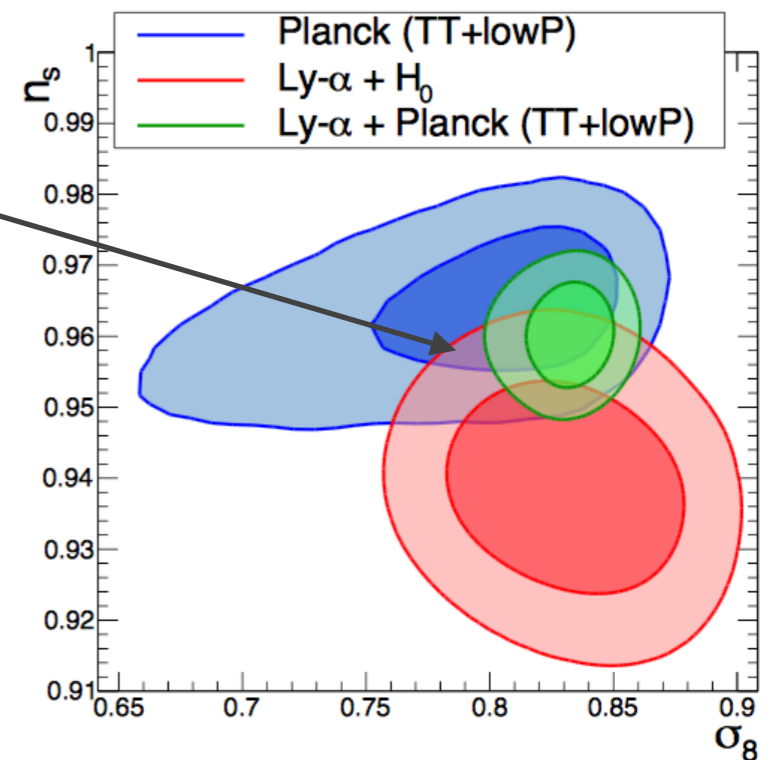
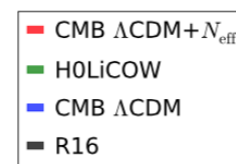
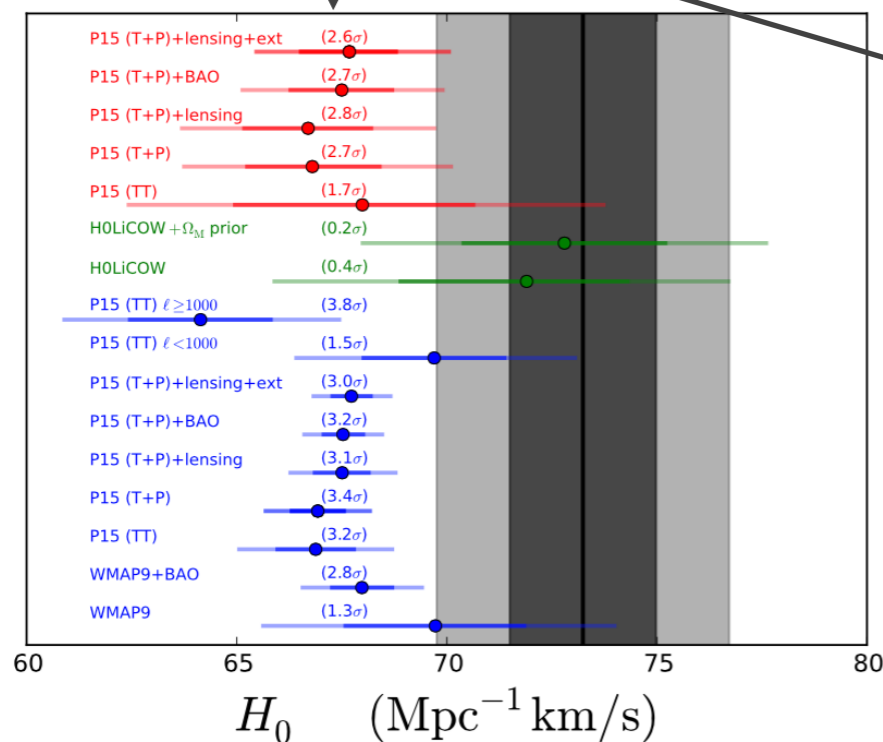
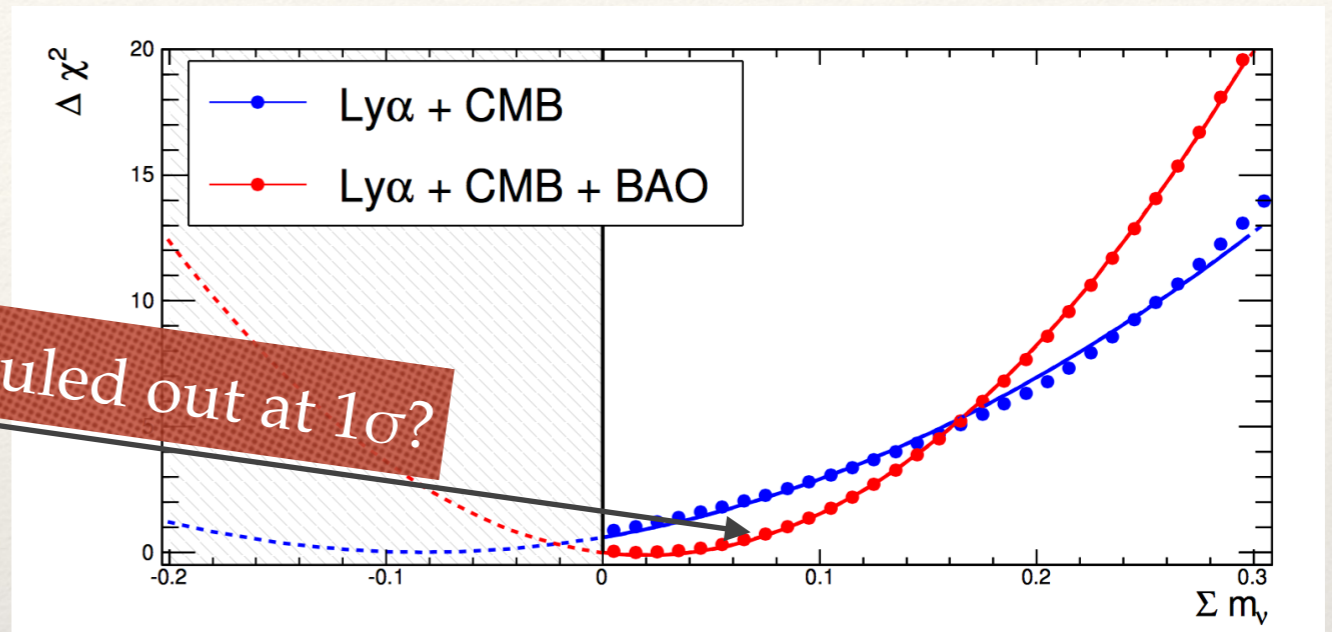
extend (ν_L^c, N_R) to (ν_L^c, N_R, S)

$$\mathcal{M} = \begin{pmatrix} 0 & m_D^T & m_{DS}^T \\ m_D & M_R & m_{RS}^T \\ m_{DS} & m_{RS} & M_S \end{pmatrix}$$

name	entries	m_ν
double	$m_D, m_{RS} \ll M_S$ $m_D \ll m_{RS}^2/M_S$	$\left(\frac{m_D}{10^2 \text{ GeV}}\right)^2 \left(\frac{10^{16} \text{ GeV}}{m_{RS}}\right)^2 \left(\frac{M_S}{10^{19} \text{ GeV}}\right) \text{ eV}$
inverse	$M_S \ll m_D \ll m_{RS}$	$\left(\frac{m_D}{10^2 \text{ GeV}}\right)^2 \left(\frac{\text{TeV}}{m_{RS}}\right)^2 \left(\frac{M_S}{0.1 \text{ keV}}\right) \text{ eV}$
linear	$m_{RS} \gg m_D \sim m_S$	$\left(\frac{m_D}{10^2 \text{ GeV}}\right) \left(\frac{m_{DS}}{10^2 \text{ GeV}}\right) \left(\frac{10^{13} \text{ GeV}}{m_{RS}}\right) \text{ eV}$

Cosmological Mass Limits

- ❖ adding more and more data sets: breaks degeneracies and improves limits
- ❖ BUT: can introduce systematics?

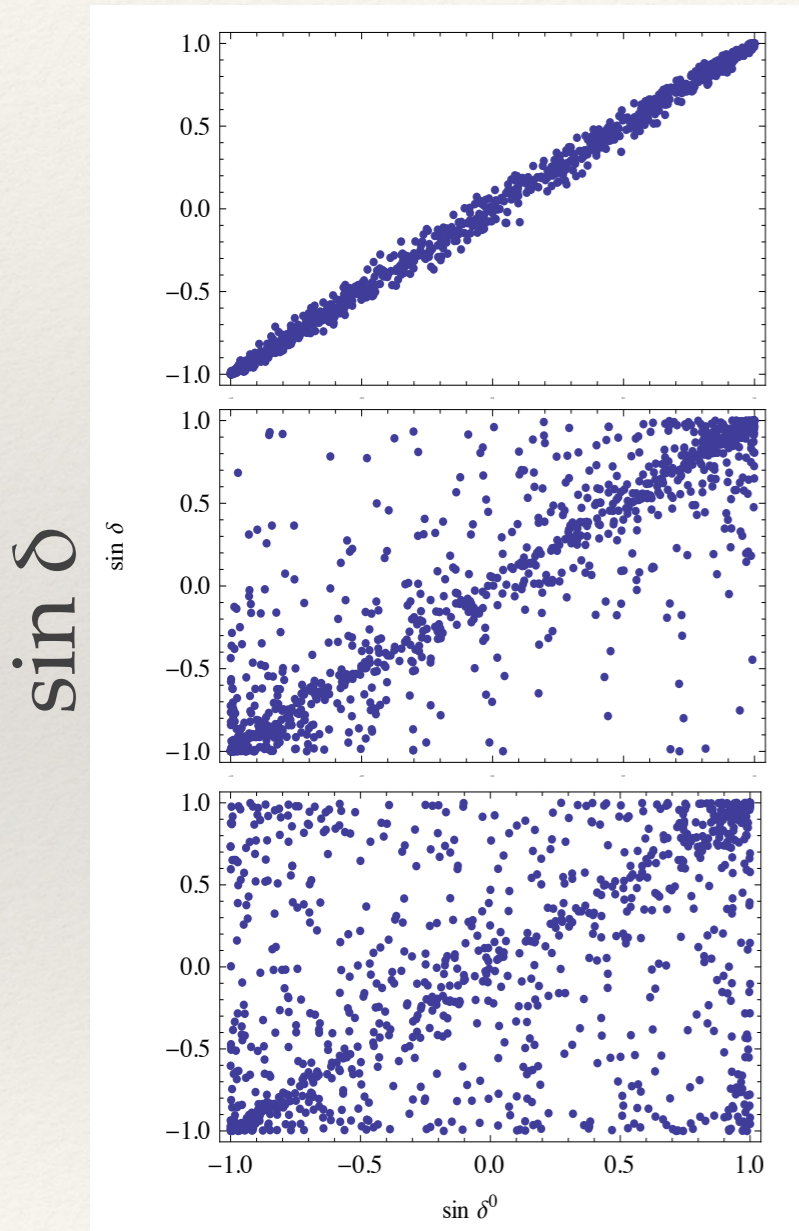


Palanque-Delabrouille et al., 1410.7244 + 1506.05976

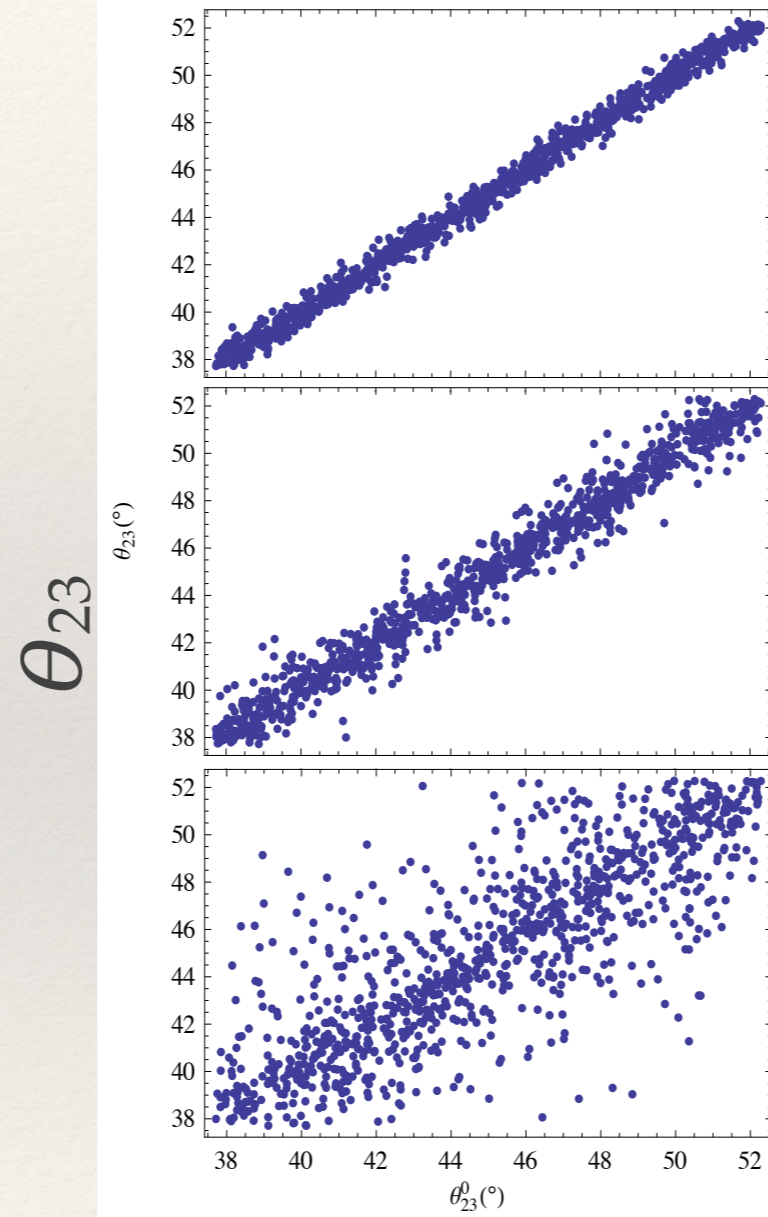
Bernal, Verde, Riess, 1607.05617

Perturbations

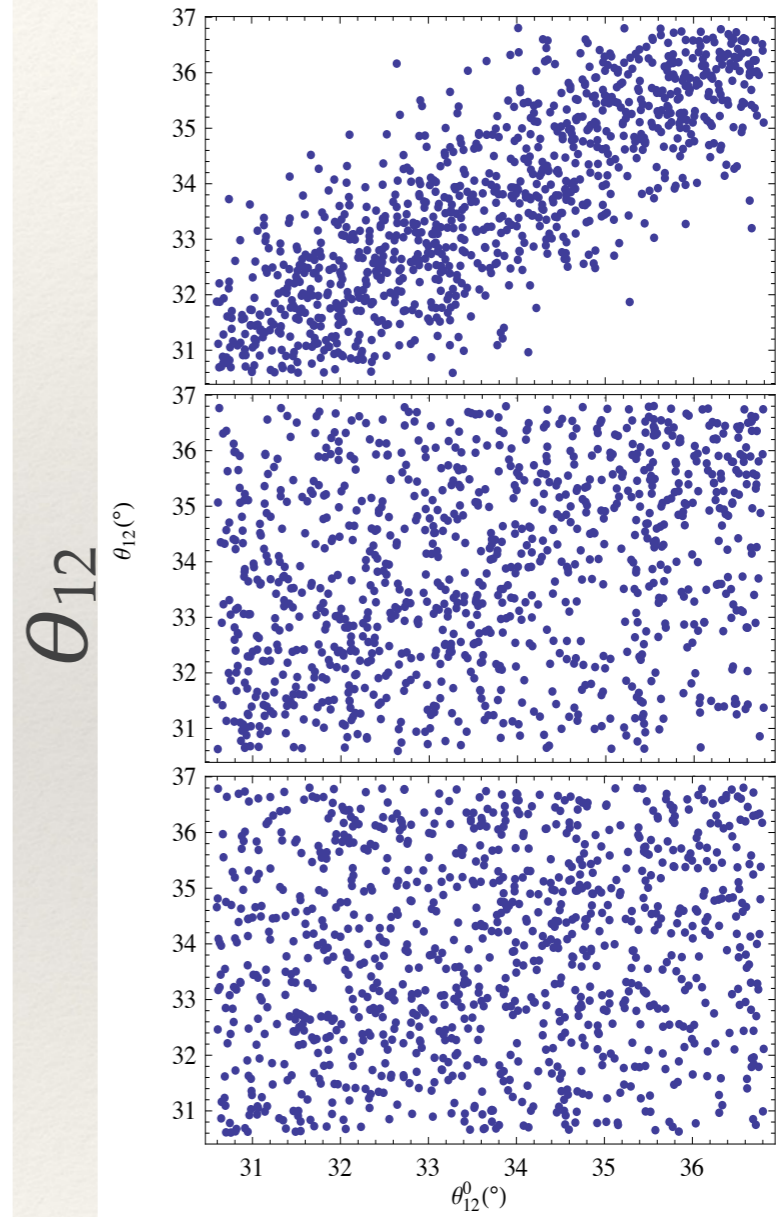
WR, Xu, 1508.06063



$\sin \delta^0$



θ_{23}^0



θ_{12}^0

0.001 eV

0.04 eV

0.1 eV

Flavor Symmetries

❖ Less predictive but less complicated: Abelian flavor

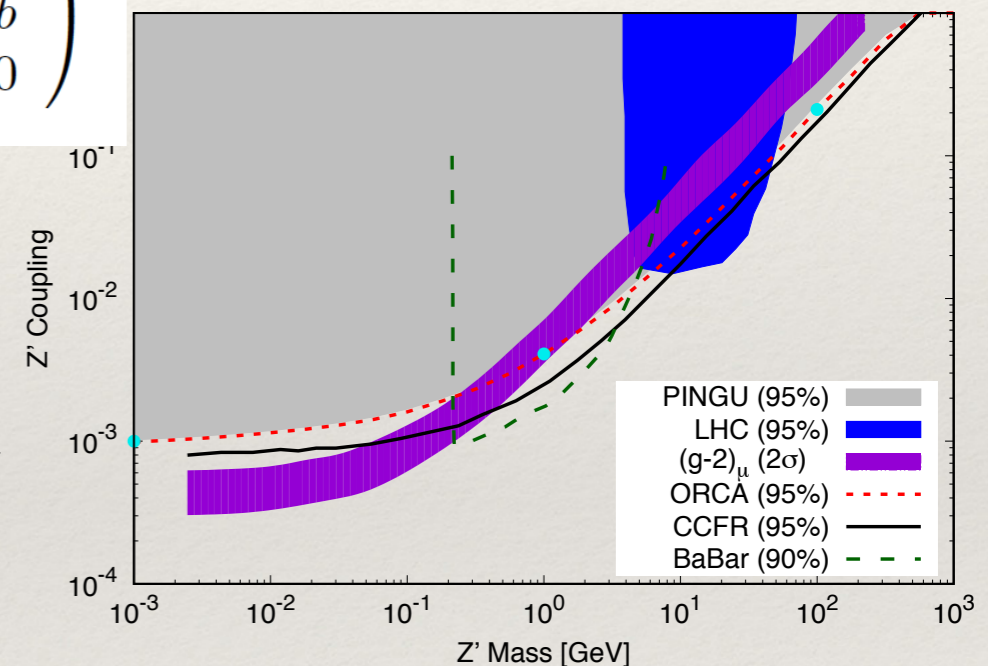
symmetry, e.g. $L_\mu - L_\tau$

$$(m_\nu)^{L_\mu - L_\tau} = \begin{pmatrix} a & 0 & 0 \\ \cdot & 0 & b \\ \cdot & \cdot & 0 \end{pmatrix}$$

- anomaly free

- masses a and $\pm b$, $\theta_{23} = \pi/4$, $\theta_{13} = 0$

- has Z' with couplings to μ and τ : $(g - 2)_\mu$

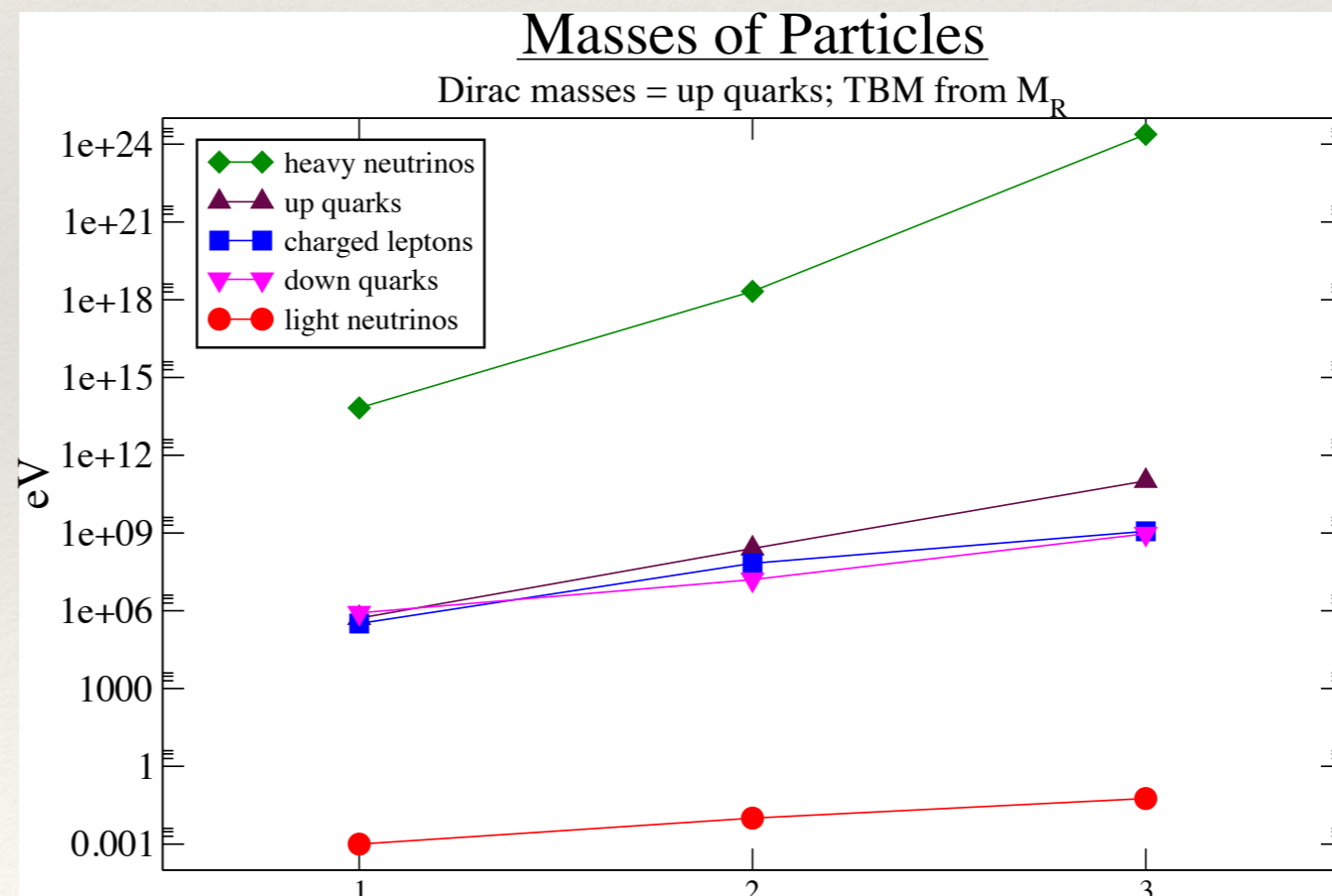


- can be extended to quark sector to explain anomalies in $B \rightarrow K^* \mu\mu$ and $\text{BR}(B \rightarrow K\mu\mu) / \text{BR}(B \rightarrow Kee)$ [*Crivellin, Ambrosio, Heeck, 1501.00993*] (making predictions for $h \rightarrow \mu\tau$, LFV, etc.)

Seesaw Mechanism

- ❖ suppresses neutrino mass *for each generation*
($m_u \approx m_d$ and $m_b \sim m_t$ vs. $m_{\nu e} \ll m_e$ and $m_{\nu \tau} \ll m_\tau$)
- ❖ little hierarchy in m_ν , strong quark-like hierarchy in m_D

⇒ stronger
hierarchy in M_R ?



Why look for Lepton Number Violation?

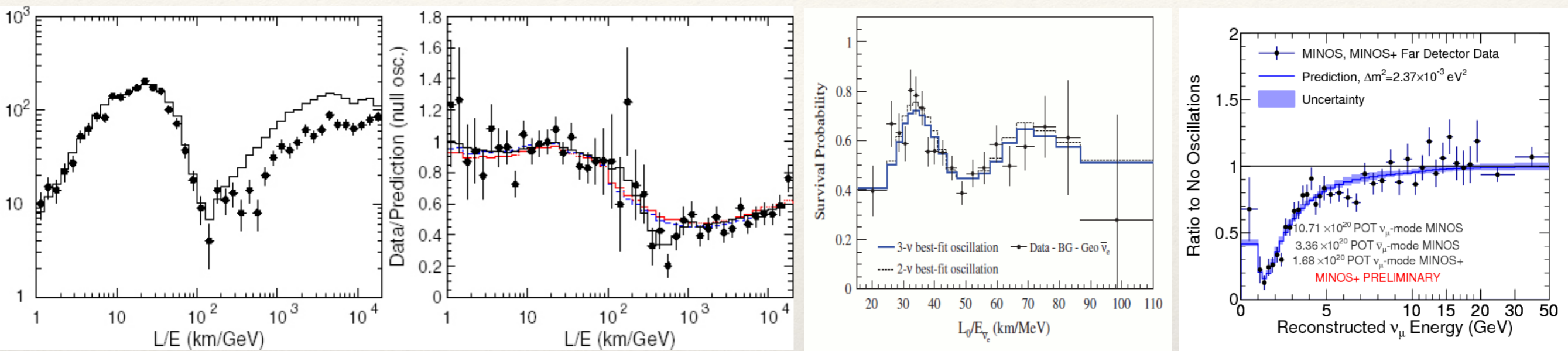
- ❖ L and B accidentally conserved in SM
- ❖ $\mathcal{L} = \mathcal{L}_{\text{SM}} + 1/\Lambda \mathcal{L}_5 + 1/\Lambda^2 \mathcal{L}_6 + \dots$, with $\mathcal{L}_5 = L^c \phi \phi L \rightarrow m_\nu \nu_{L^c} \nu_L$
- ❖ Baryogenesis: B is violated
- ❖ B, L often connected in GUTs
- ❖ GUTs have seesaw and Majoranas
- ❖ (B and L non-perturbatively violated by 3 units in SM...)

Lepton Number as important as Baryon Number

Neutrino Mass Observables

Method	Observable	current	near	far	pro	con
Kurie	$\sum U_{ei} ^2 m_i$	2.3 eV	0.3 eV	0.1 eV?	model-indep.; clean	final; weakest
cosmo	$\sum m_i$	0.5 eV	0.1 eV	0.05 eV?	best; NH/IH	model-dep.; systematics
$0\nu\beta\beta$	$\sum U_{ei}^2 m_i$	0.2 eV	0.05 eV	0.01 eV?	fundamental; NH/IH	model-dep.; NMEs

Neutrinos oscillate and Leptons mix

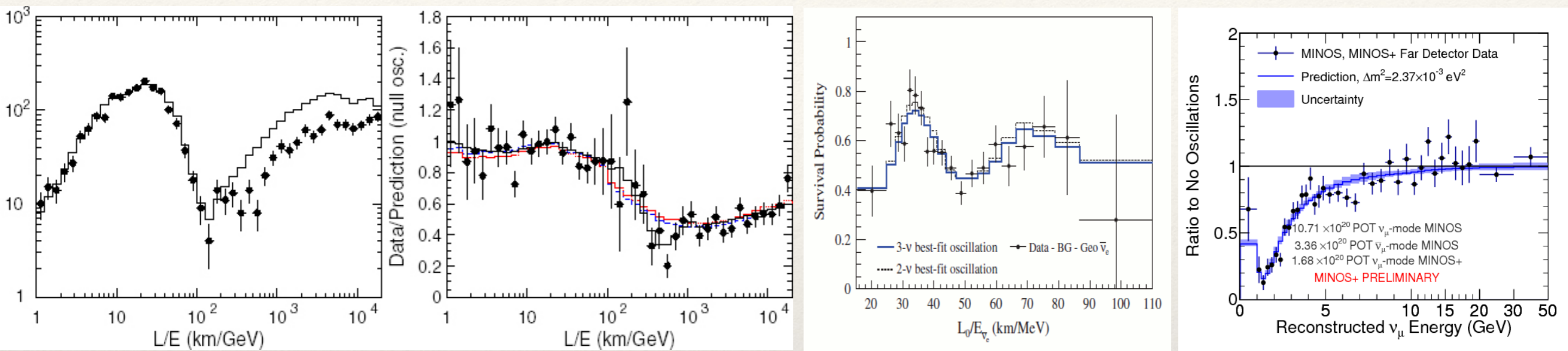


observed with various sources and techniques
 \Rightarrow quantum mechanical interference
 on macroscopic distances

$$P_{\alpha \rightarrow \beta} = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2 \left(\frac{\Delta m_{ij}^2 L}{4E} \right) + 2 \sum_{i>j} \text{Im}(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin \left(\frac{\Delta m_{ij}^2 L}{2E} \right),$$

(plus matter effects)

Neutrinos oscillate and leptons mix

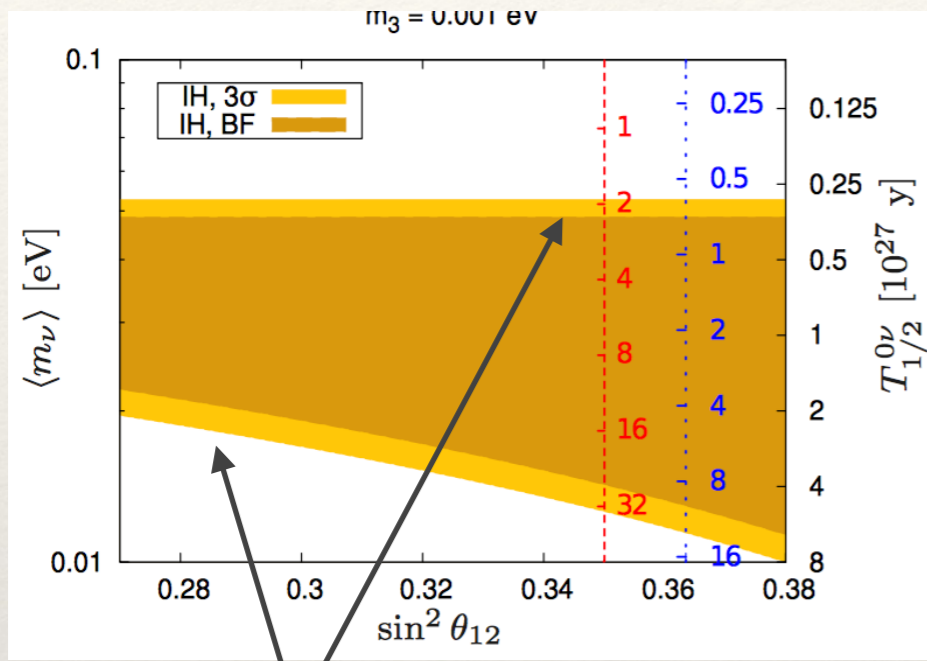


observed with various sources and techniques
 \Rightarrow quantum mechanical interference
 on macroscopic distances

$$P_{\nu_\alpha \rightarrow \nu_\beta}(\vec{L}) \propto \sum_{j,k} U_{\alpha j}^* U_{\beta j} U_{\alpha k} U_{\beta k}^* \exp \left\{ -i \left[(\tilde{E}_j - \tilde{E}_k) \frac{\vec{v}_j + \vec{v}_k}{v_j^2 + v_k^2} - (\vec{p}_j - \vec{p}_k) \right] \cdot \vec{L} \right\}$$

$$\times \exp \left\{ -\frac{L^2}{2\sigma_x^2} + \frac{(\vec{v}_j \cdot \vec{L})^2 + (\vec{v}_k \cdot \vec{L})^2}{2\sigma_x^2(v_j^2 + v_k^2)} - \frac{[(\vec{v}_j - \vec{v}_k) \cdot \vec{L}]^2}{4\sigma_x^2(v_j^2 + v_k^2)} - \frac{(\tilde{E}_j - \tilde{E}_k)^2}{4\sigma_p^2(v_j^2 + v_k^2)} \right\}.$$

Double Beta Decay: Connections to Oscillation Experiments



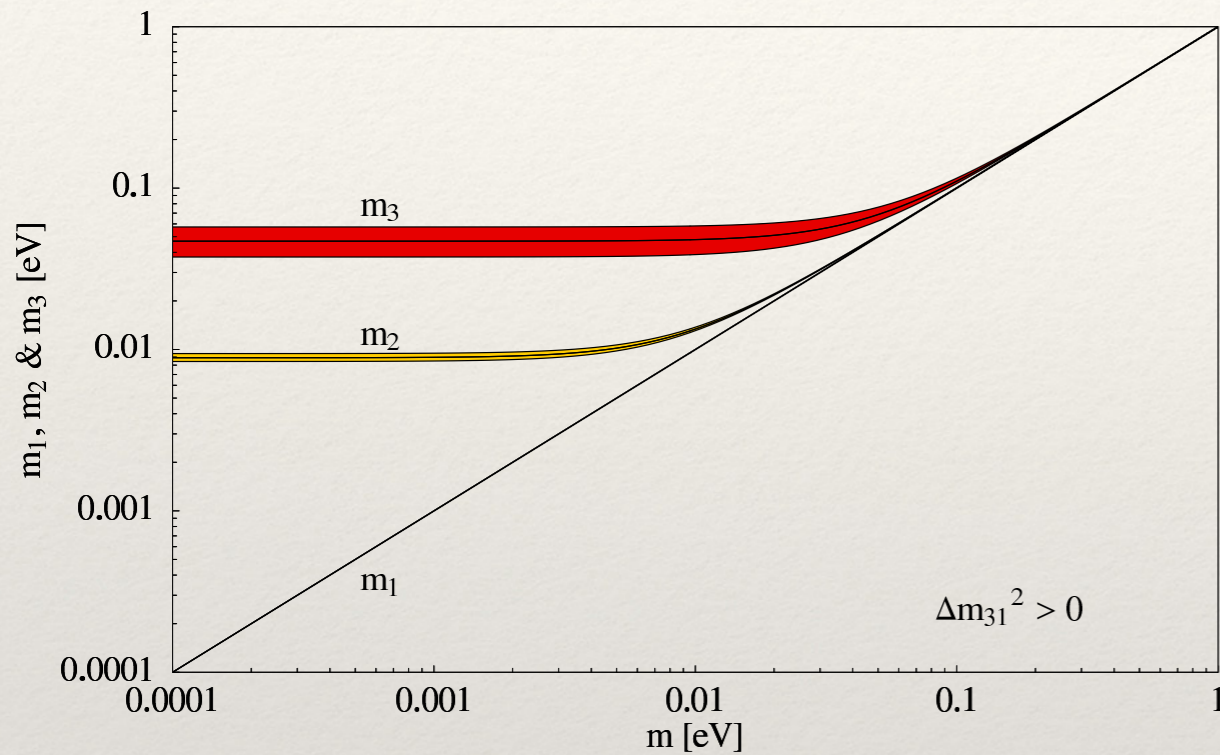
Flavor Symmetries

- ❖ if $\theta_{23} = 41.6^\circ$: model predicting this value or perturbed model with $45.0^\circ - 3.4^\circ$?
- ❖ far away from 45° could be related $(m_2/m_3)^{1/2}$ similar to GST
- ❖ BUT: the closer θ_{23} to 45° the more likely that some symmetry / structure behind it...
- ❖ in larger frameworks (LR Symmetry, Pati-Salam, SU(5), SO(10),...):
 - model building becomes more difficult:
 $M_{\text{up}} = 10_{\text{H}} + \underline{126}_{\text{H}} + 120_{\text{H}}$ versus $M_{\text{D}} = 10_{\text{H}} - 3 \underline{126}_{\text{H}} + c 120_{\text{H}}$
 - mixing angles become less „extreme“ [*Hagedorn, Lindner, Mohapatra; Bajc, Smirnov*]

CP Phase

- ❖ in general, leptogenesis *independent* of δ, α, β
- ❖ if $\delta = 230.7^\circ$: model predicting this value or perturbed model with $270.0^\circ - 39.3^\circ$?
- ❖ BUT: the closer δ to π or $3\pi/2$ the more likely that some symmetry / structure behind it...
 - combine CP and flavor symmetry [*Holthausen et al., Hagedorn et al., King et al.,...*]
 - μ - τ reflection symmetry $\nu_\mu \leftrightarrow \nu_\tau^*$ [*Ma; Grimus, Lavoura; Joshipura, Patel; WR, Xu*]
 - certain mixing sum-rules [*King et al., Frampton, Petcov, WR;...*]

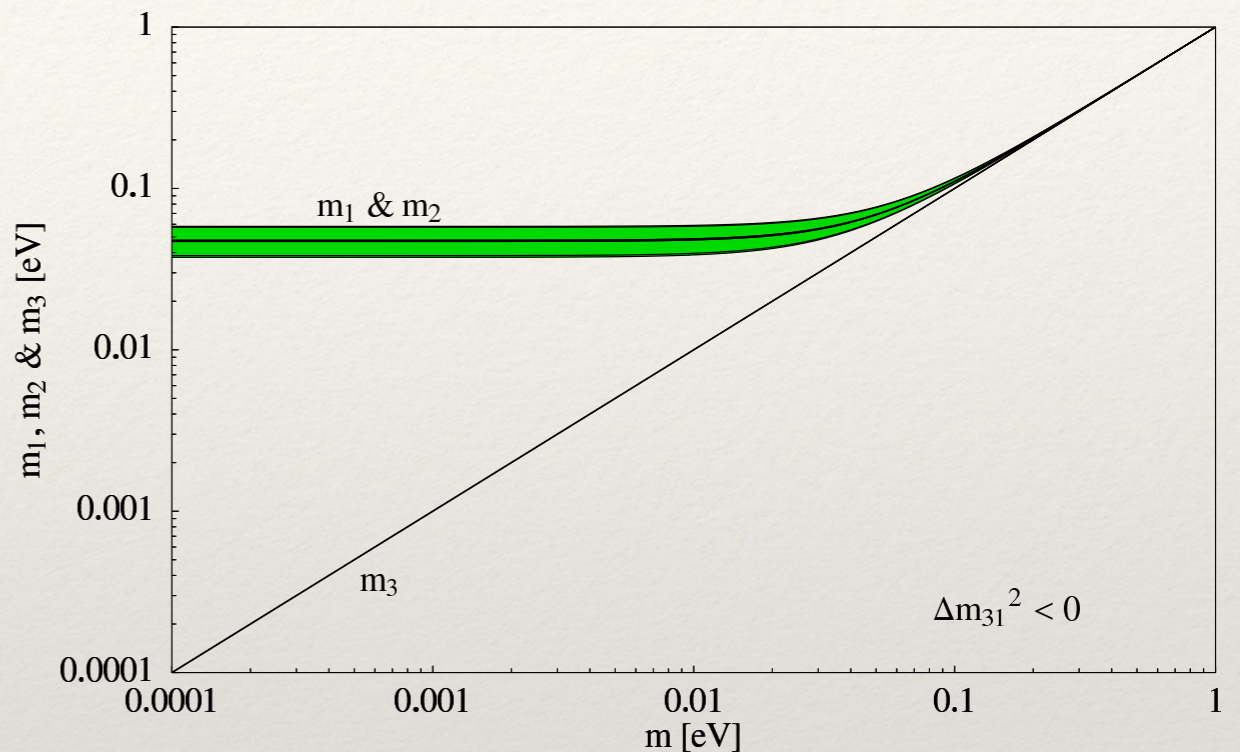
Mass Ordering



mild hierarchy in normal ordering:

$$m_3 / m_2 \approx (\Delta m_{\text{atm}}^2 / \Delta m_{\text{sol}}^2)^{1/2} \approx 5$$

$$(m_\nu)_{\text{NH}} \sim \begin{pmatrix} \epsilon^2 & \epsilon & \epsilon \\ \epsilon & 1 & 1 \\ \epsilon & 1 & 1 \end{pmatrix}$$



strong tuning in inverted ordering:

$$m_2 / m_1 \approx 1 + \frac{1}{2} \Delta m_{\text{sol}}^2 / \Delta m_{\text{atm}}^2$$

$$(m_\nu)_{\text{IH}} \sim \begin{pmatrix} 1 & 1 & 1 \\ 1 & 1 & 1 \\ 1 & 1 & 1 \end{pmatrix}$$

plus almost democratic structure of mass matrix

Perturbations

❖ Various sources:

- VEV misalignment, NLO terms: follow model structure, hard to predict size
- RG effects

❖ Frequent feature: $\delta(\theta_{12}), \delta(\delta) > \delta(\theta_{13}), \delta(\theta_{23})$:

large

Example RG enhancement:

(running of phases and θ_{12} can be evaded by cancellations)

	NH	IH	QD
$\delta(\theta_{12})$	1	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_\odot^2$
$\delta(\theta_{13})$	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	1	$m_0^2 / \Delta m_A^2$
$\delta(\theta_{23})$	1	1	$m_0^2 / \Delta m_A^2$
$\delta(\delta)$	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_A^2$
$\delta(\alpha, \beta)$	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_A^2$

Perturbations

❖ Various sources:

- VEV misalignment, NLO terms: follow m structure, hard to predict size
- RG effects

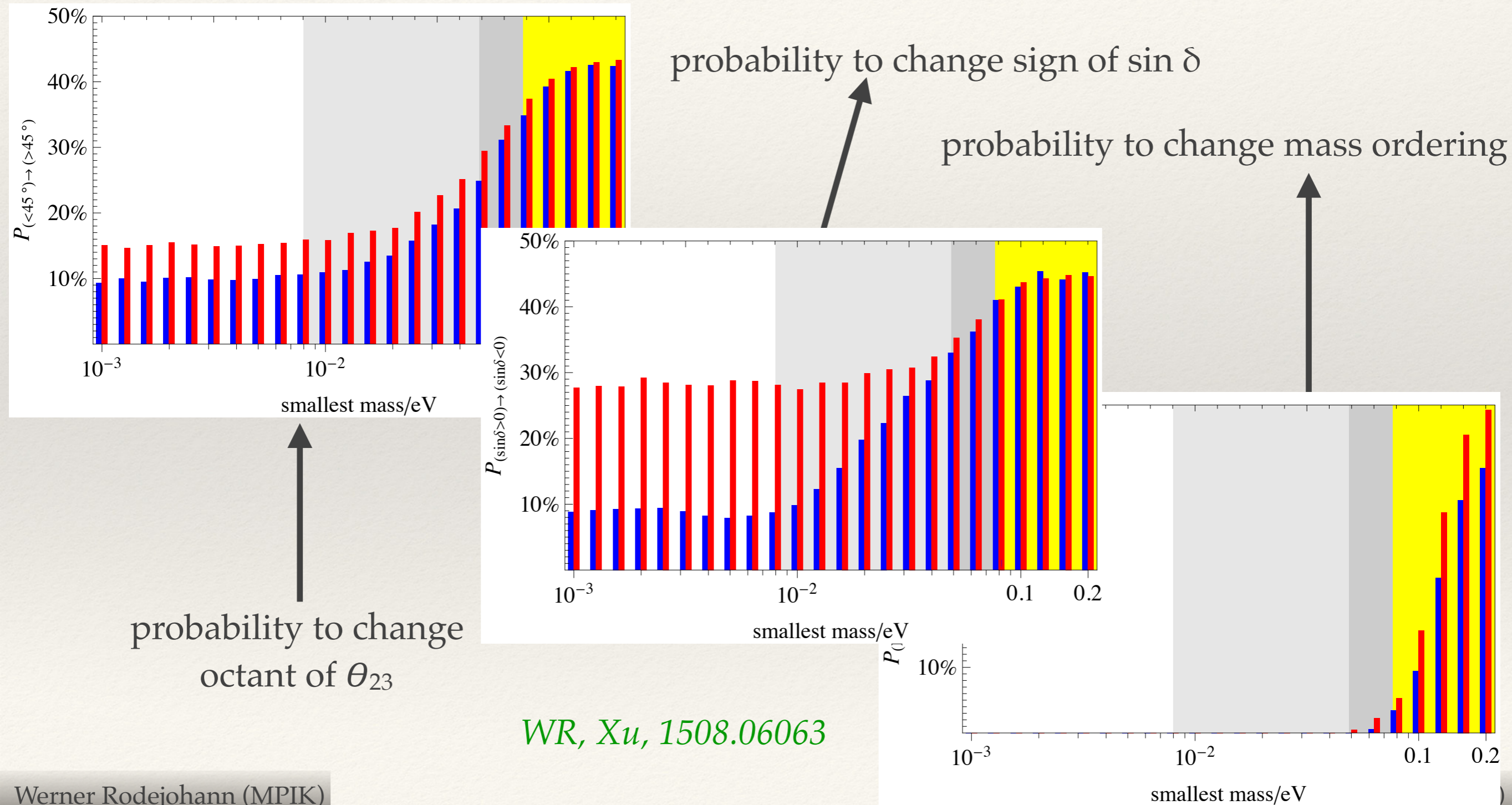
❖ Frequent feature: $\delta(\theta_{12}), \delta(\delta), \delta(\alpha, \beta)$ (IH, QD):

Example RG enhancement:

(running of phases and θ_{12} can be evaded by cancellations)

	NH	IH	QD
$\delta(\theta_{12})$	1	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_\odot^2$
$\delta(\theta_{23})$	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	1	$m_0^2 / \Delta m_A^2$
$\delta(\delta)$	1	1	$m_0^2 / \Delta m_A^2$
$\delta(\alpha, \beta)$	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_A^2$
	$\sqrt{\Delta m_\odot^2 / \Delta m_A^2}$	$\Delta m_A^2 / \Delta m_\odot^2$	$m_0^2 / \Delta m_A^2$

Perturbations

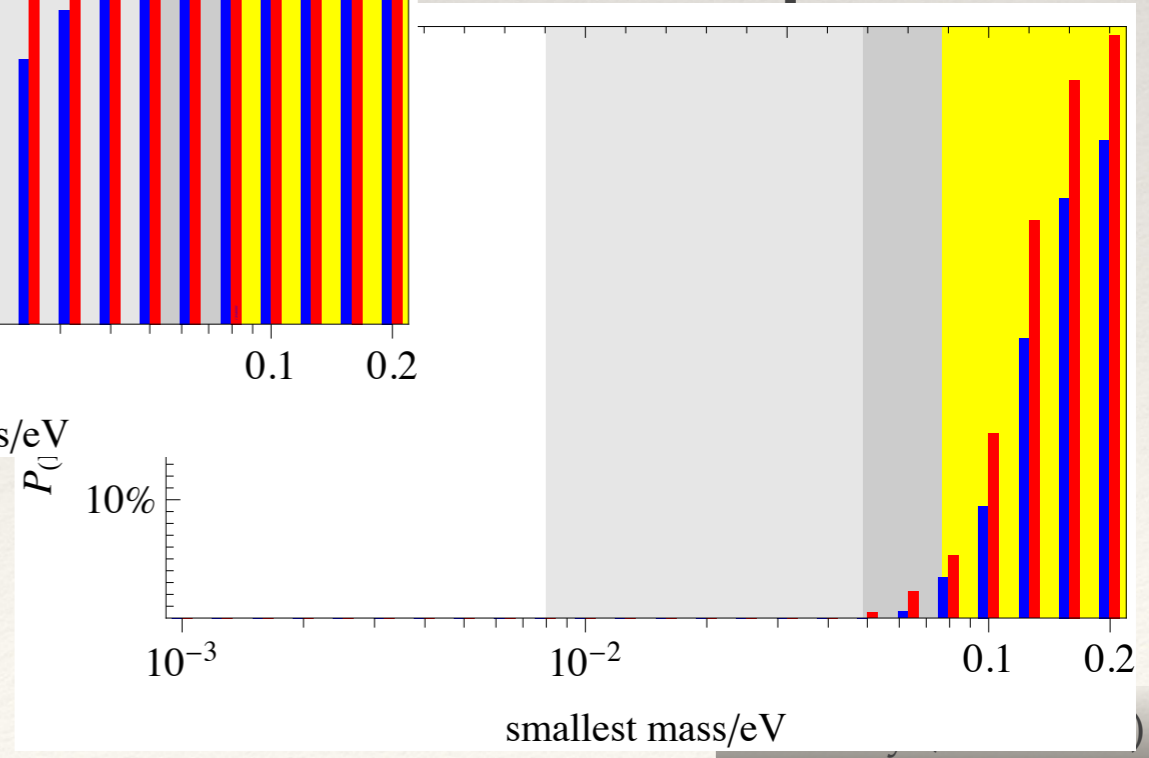
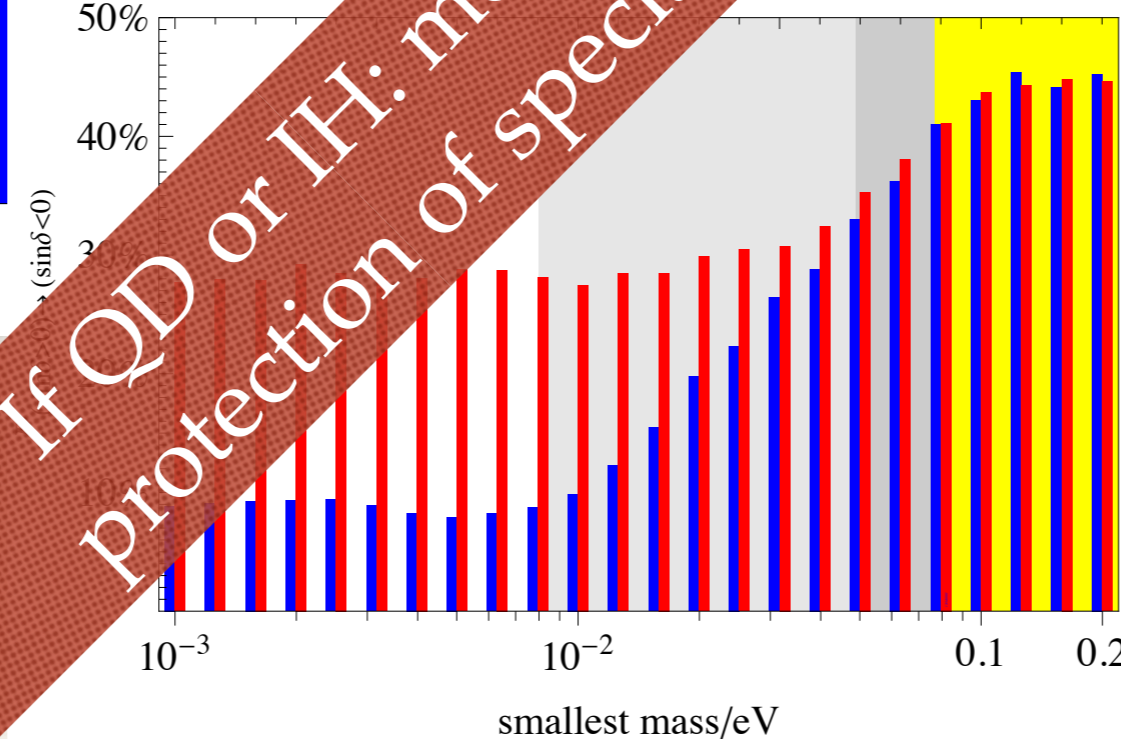
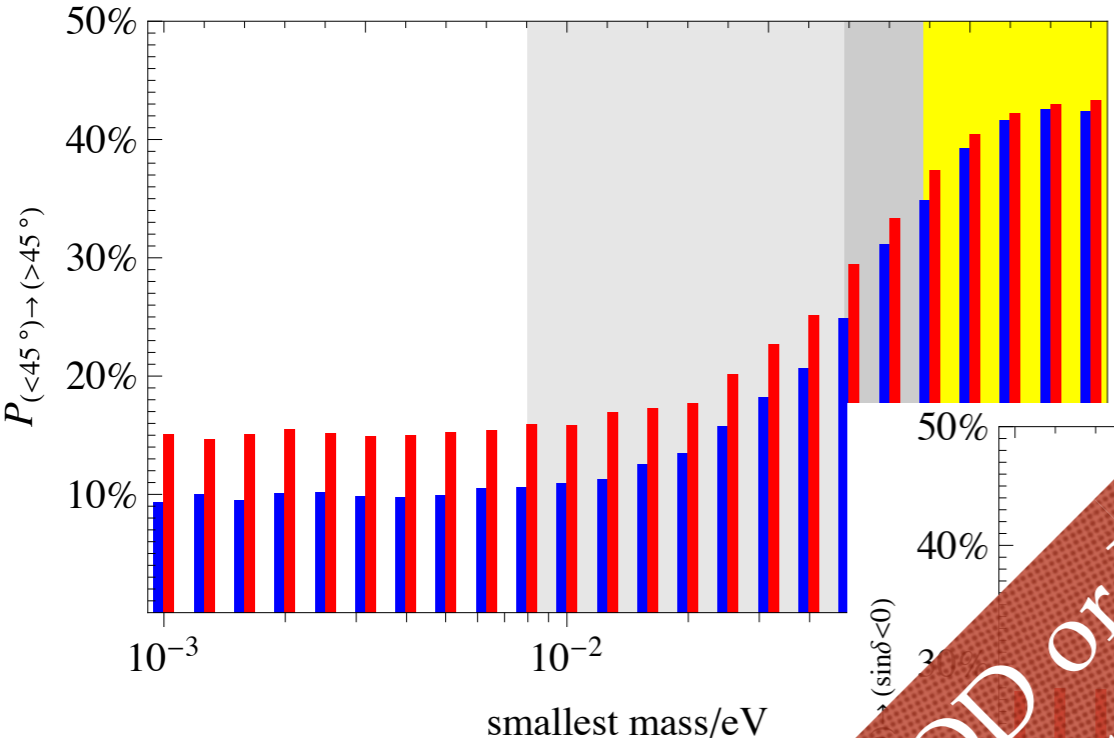


Perturbations

If QD or IH: more need of protection of special values

probability to change sign of $\sin \delta$

probability to change mass ordering



probability to change octant of θ_{23}

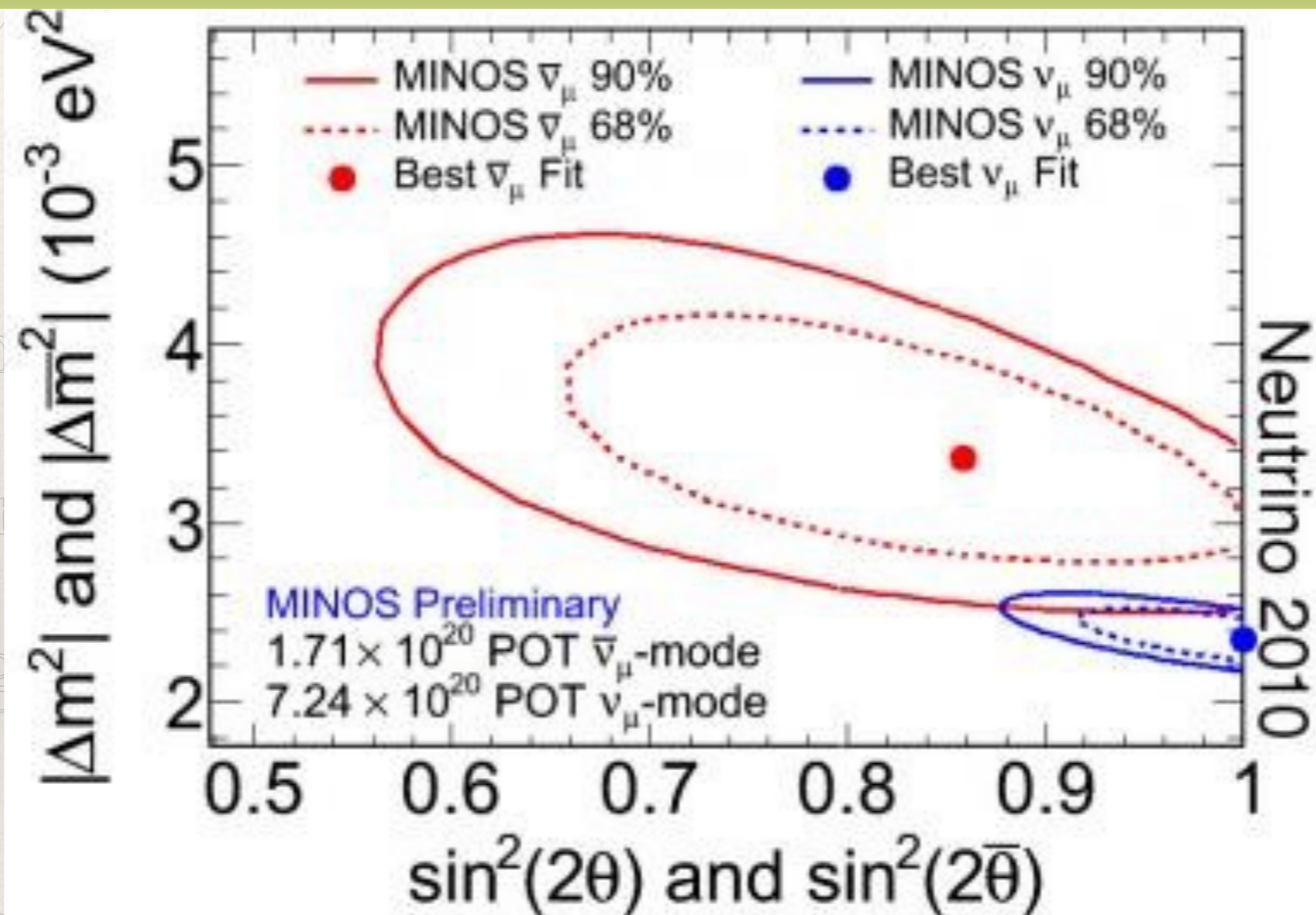
WR, Xu, 1508.06063

New Physics in Oscillations

New Physics can mess up oscillation experiments:

❖ Various goals

- unitarity
- NSIs from
- new interactions
- long range
- Lorentz /
- generational
- light sterile neutrinos...



scale of mass

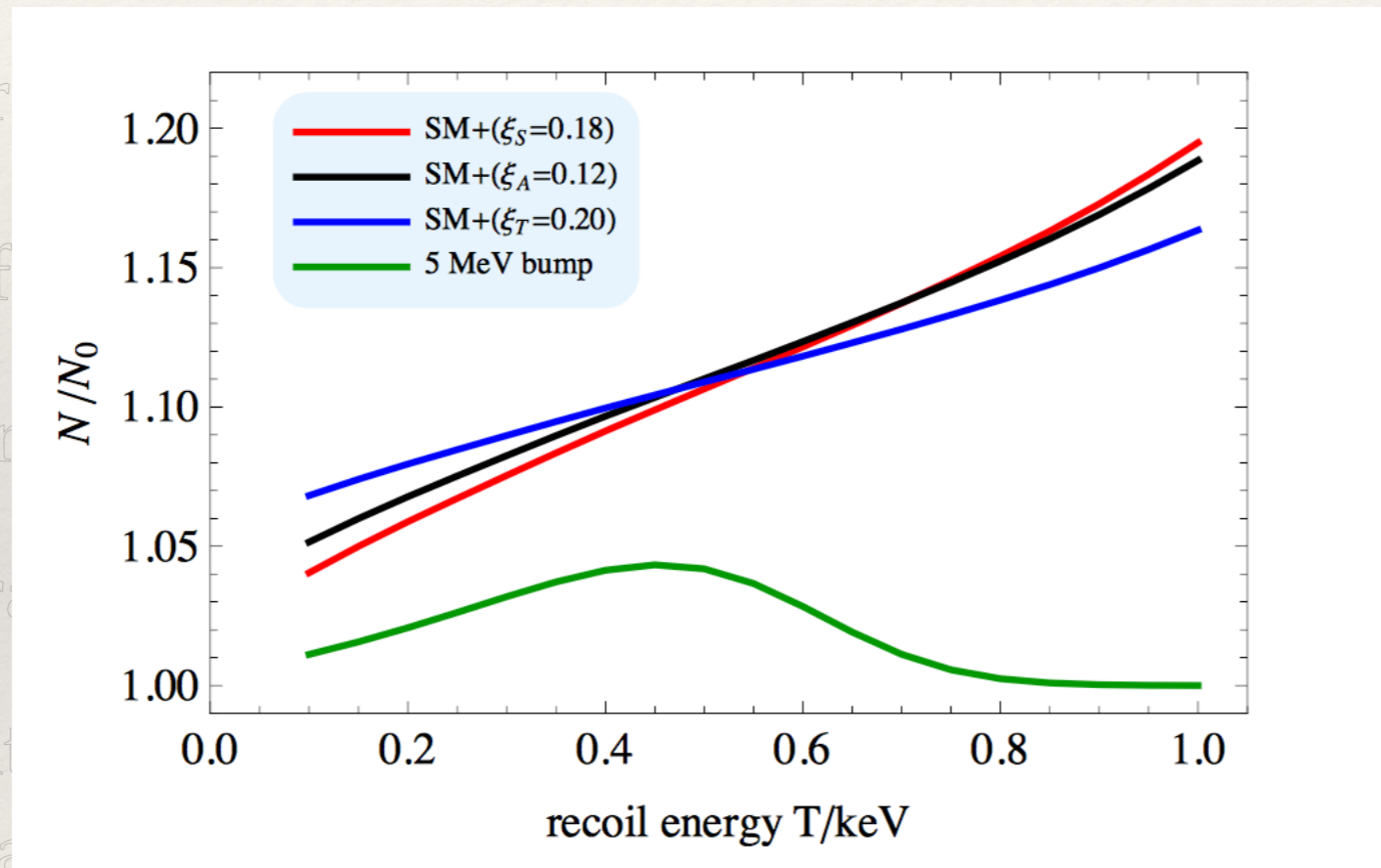
high ν -energy (IC!)

New Physics in Oscillations

Next generation coherent scattering experiment (Talk by Hakenmüller):

- unitary
- NSs f
- new in
- long r
- Lorent
- generation
- light ste

Lindner, WR, Xu, 1612.04150



$$\mathcal{L} \supset \frac{G_F}{\sqrt{2}} \sum_{a=S,P,V,A,T} \bar{\nu} \Gamma^a \nu \left[\bar{q} \Gamma^a (C_a^{(q)} + \bar{D}_a^{(q)} i\gamma^5) q \right]$$

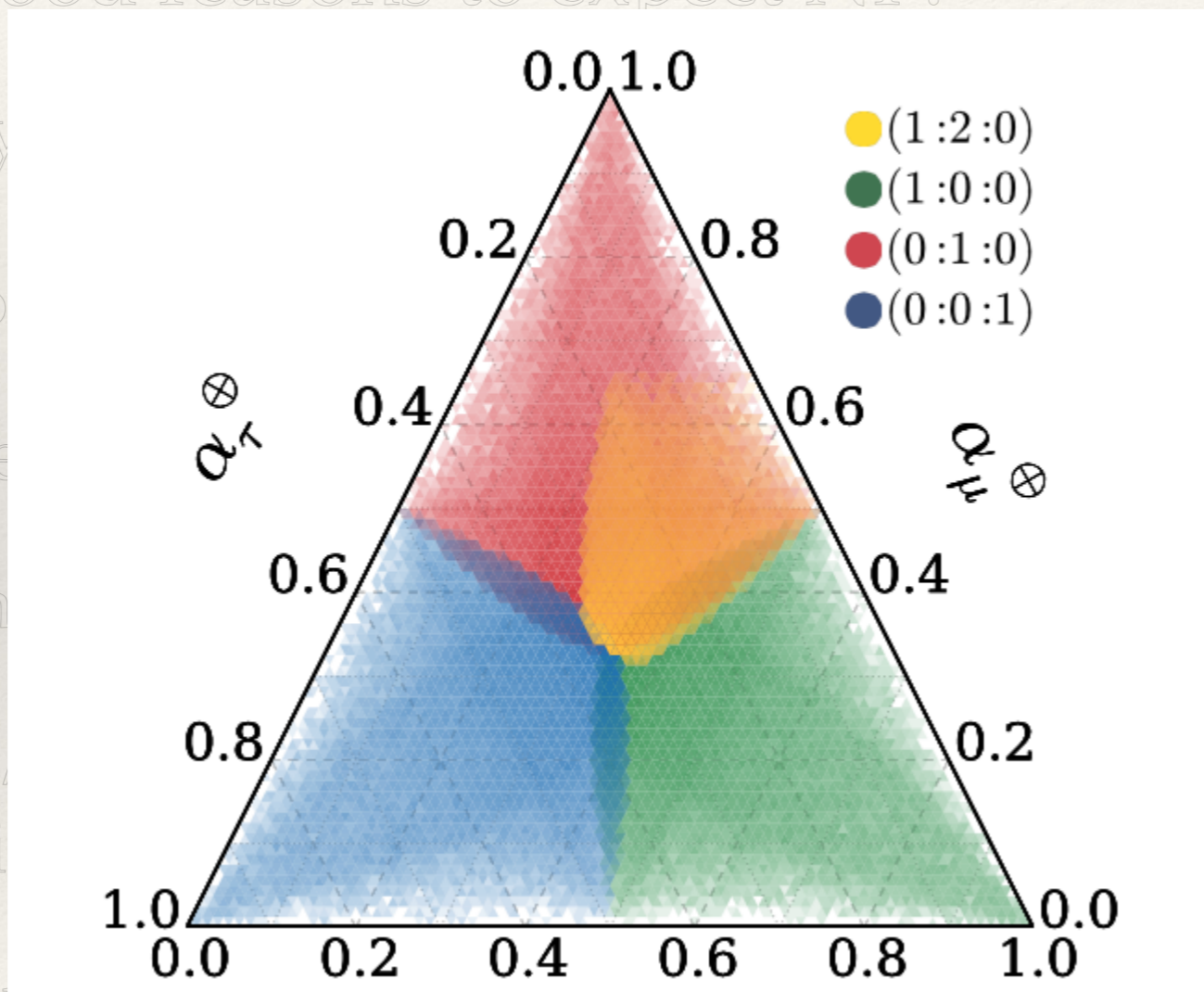
scale of mass

high ν -energy (IC!)

New Physics in Oscillations

Exotic New Physics enhanced by long distance / high energy:

- unitarity
- NSIs from
- new inte
- long ran
- Lorentz,
- generati
- light sterile neutrinos...



Argüelles et al., 1506.02043

scale of mass
high ν -energy (IC!)