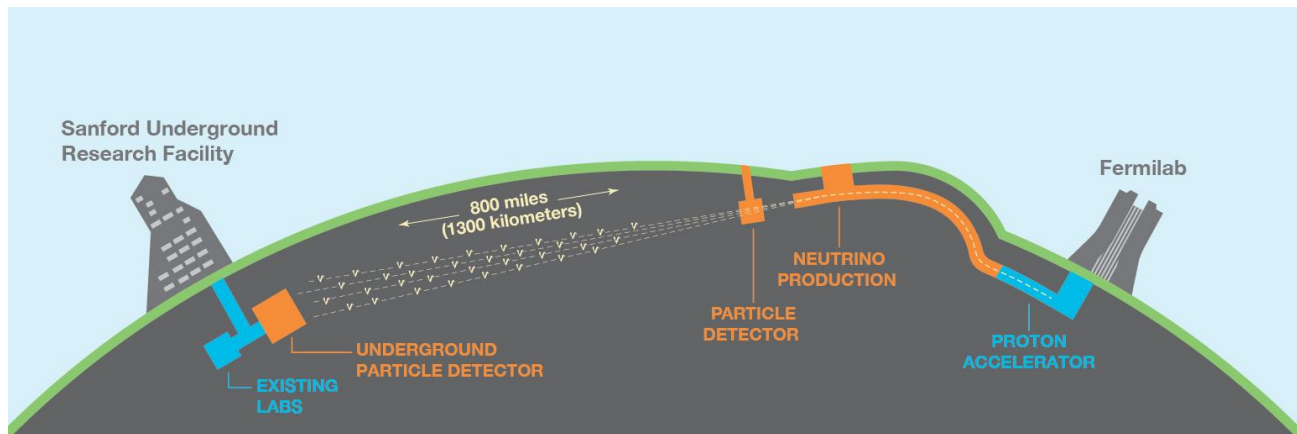


Sensitivity of the DUNE Experiment to CP Violation

Lisa Whitehead Koerner
University of Houston
for the DUNE Collaboration

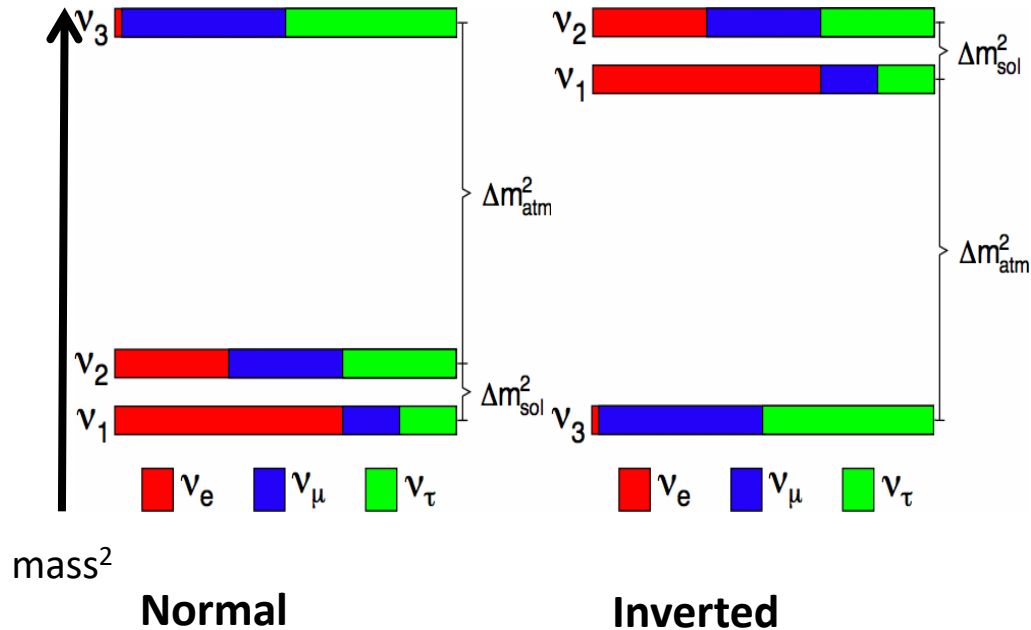


TAUP 2017
July 26, 2017

UNIVERSITY of
HOUSTON



Neutrino Oscillations



- All mixing angles have been measured, allowing measurements of the CP-violating phase
- Some open questions:
 - Is CP violated in neutrino oscillations ($\delta \neq 0, \pi$)?
 - Is θ_{23} maximal? ($=\pi/4$)?
 - What is the neutrino mass ordering?

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U_{PMNS} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$U_{PMNS} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{-i\delta_{CP}} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta_{CP}} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

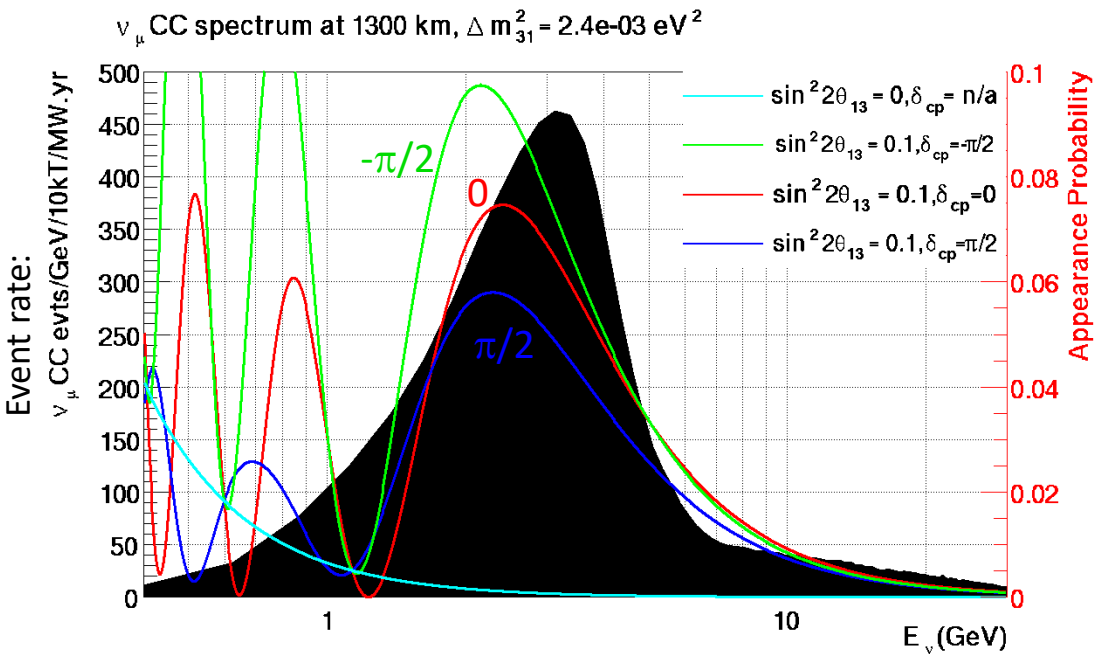
Electron Neutrino Appearance

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) \simeq & \sin^2 \theta_{23} \sin^2 2\theta_{13} \frac{\sin^2(\Delta_{31} - aL)}{(\Delta_{31} - aL)^2} \Delta_{31}^2 \\
 & + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \frac{\sin(\Delta_{31} - aL)}{(\Delta_{31} - aL)} \Delta_{31} \frac{\sin aL}{aL} \Delta_{21} \cos(\Delta_{31} - \delta_{CP}) \\
 & + \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2 aL}{(aL)^2} \Delta_{21}^2,
 \end{aligned}$$

$$a = G_F N_e / \sqrt{2}$$

$$D_{ij} = \frac{Dm_{ij}^2 L}{4E}$$

(For antineutrinos, $a \rightarrow -a$ and $\delta \rightarrow -\delta$)



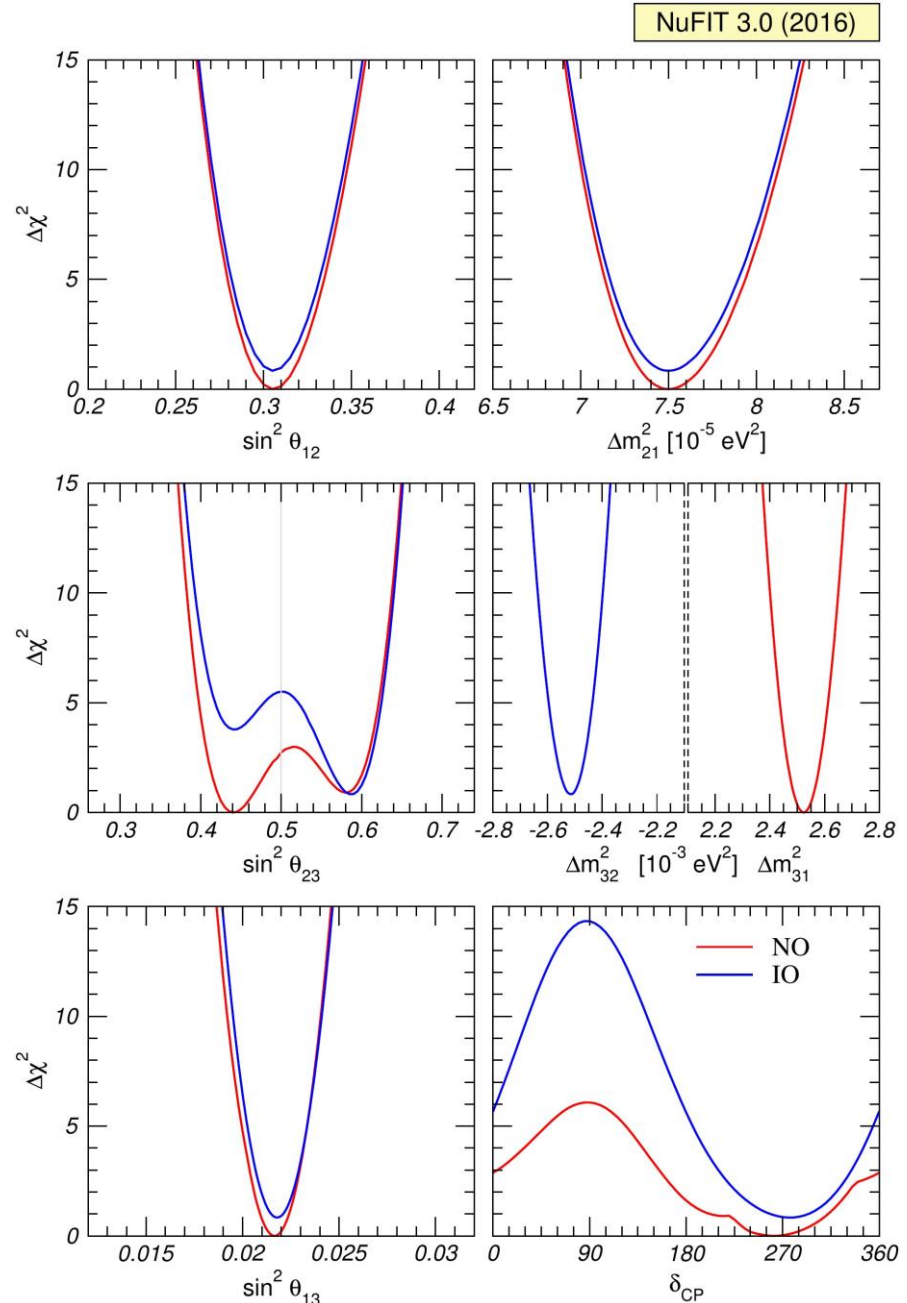
- ν_e appearance probability depends on θ_{13} , θ_{23} , δ_{CP} , and matter effects –
- measurements of all four possible in a single experiment
- Large value of $\sin^2(2\theta_{13})$ allows significant ν_e appearance sample

2016 Global Oscillation Parameter Fit

Best fit $\delta_{\text{CP}} = 261^{\circ+51^{\circ}}_{-59^{\circ}}$
 ($\approx -\pi/2$)

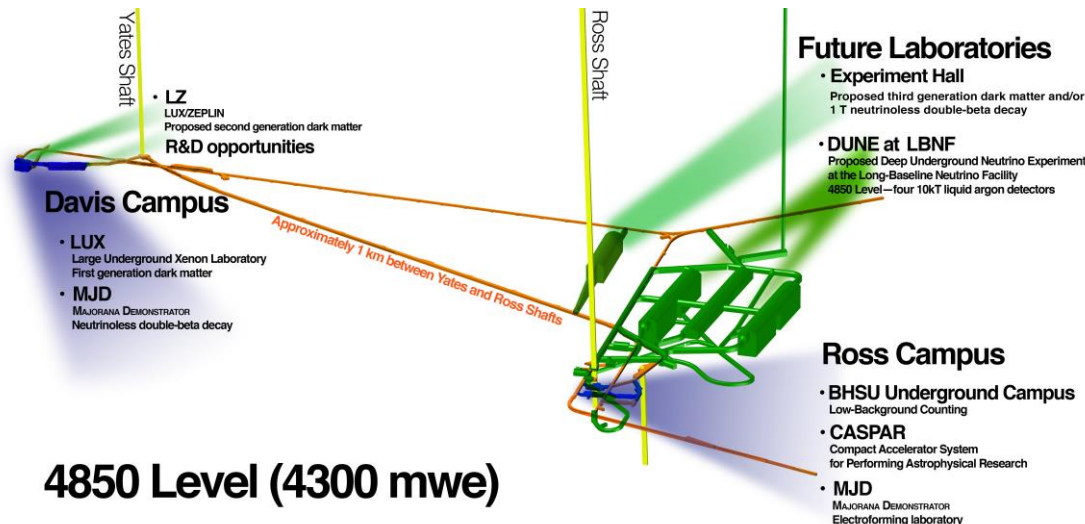
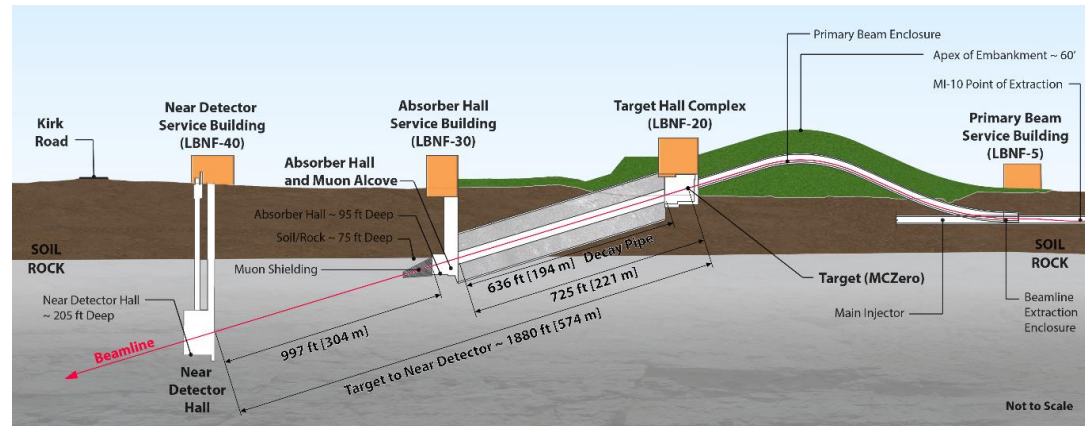
Some values of δ_{CP} excluded at 3σ for IH

<http://www.nu-fit.org>



Deep Underground Neutrino Experiment (DUNE)

- Muon neutrino beam from Fermilab (LBNF – Long-Baseline Neutrino Facility)
 - On-axis, conventional horn-focused beam
 - Beam intensity of 1.2 MW, upgradeable to 2.4 MW (for 120 GeV primary protons)
- Near detector (ND) at Fermilab
- Far detector (FD) at SURF (Sanford Underground Research Facility) in South Dakota, 1300 km baseline
 - 40 kt liquid argon (LAr) TPC (4 x 10 kt modules)



Status and Timeline

Collaboration meeting in May 2017

- DUNE Collaboration began in 2015
- Today: 964 collaborators, 164 institutions, 30 countries

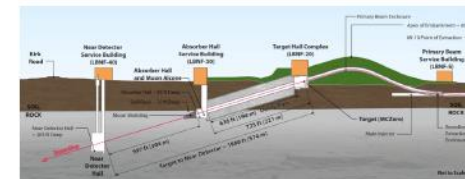
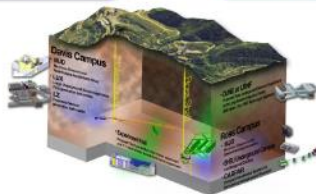
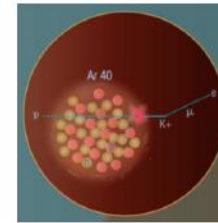


DUNE Timeline



Far site ground breaking ceremony July 21!

The CERN Neutrino Platform



DUNE Spectra

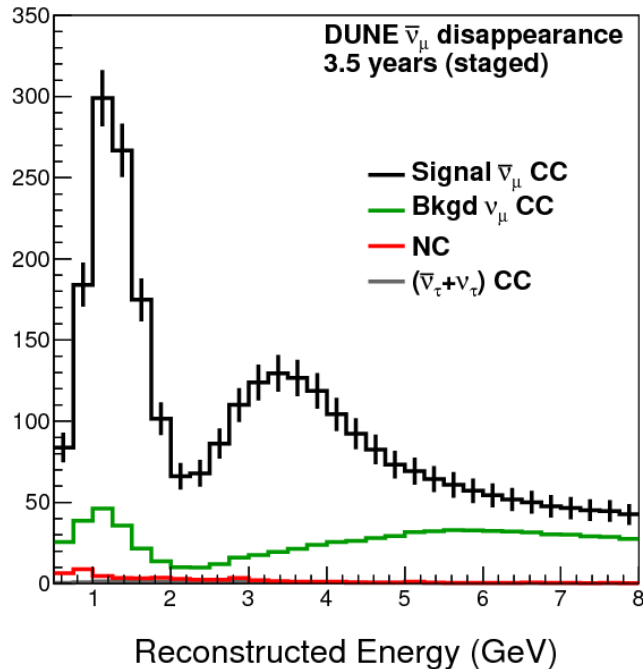
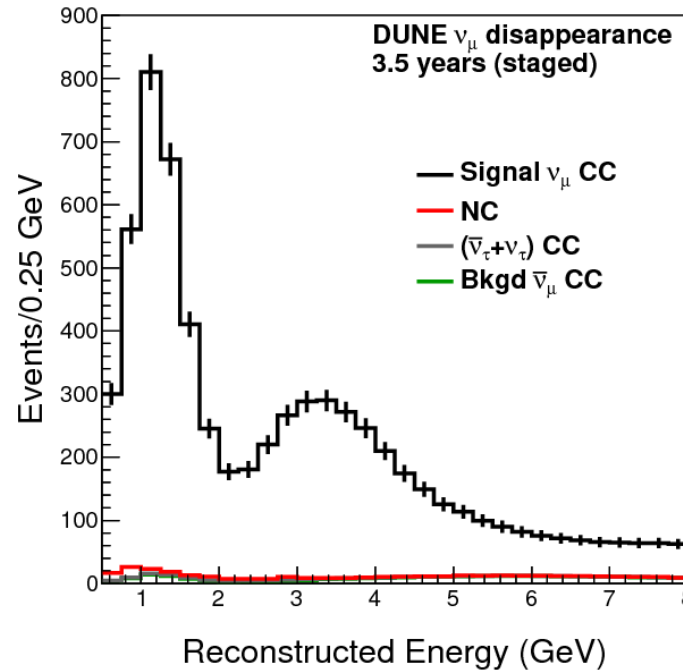
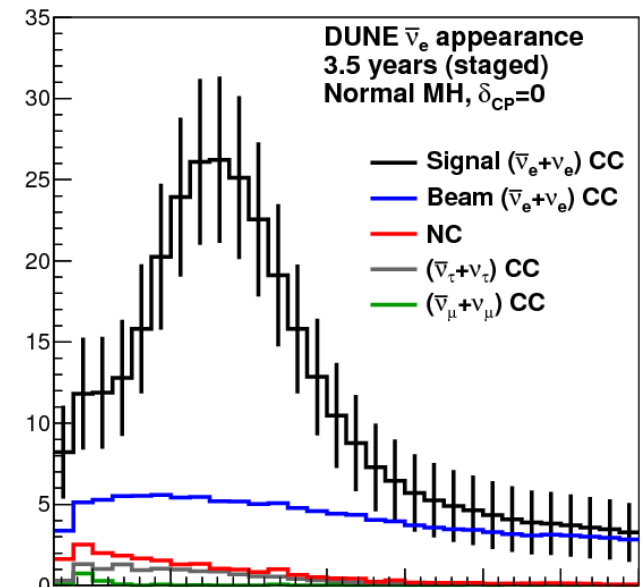
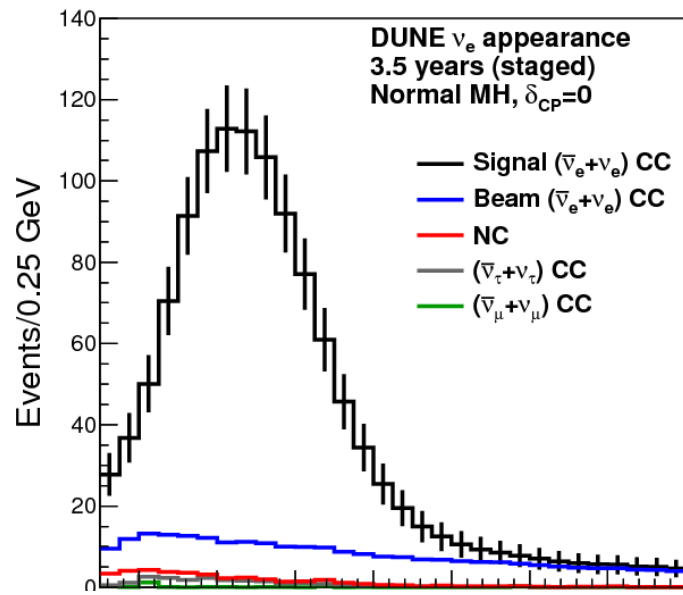
~1000 appearance events in ~7 years

GLoBES inputs described in arXiv:1606.09550

Neutrino beam flux simulated using GEANT4

GENIE event generator

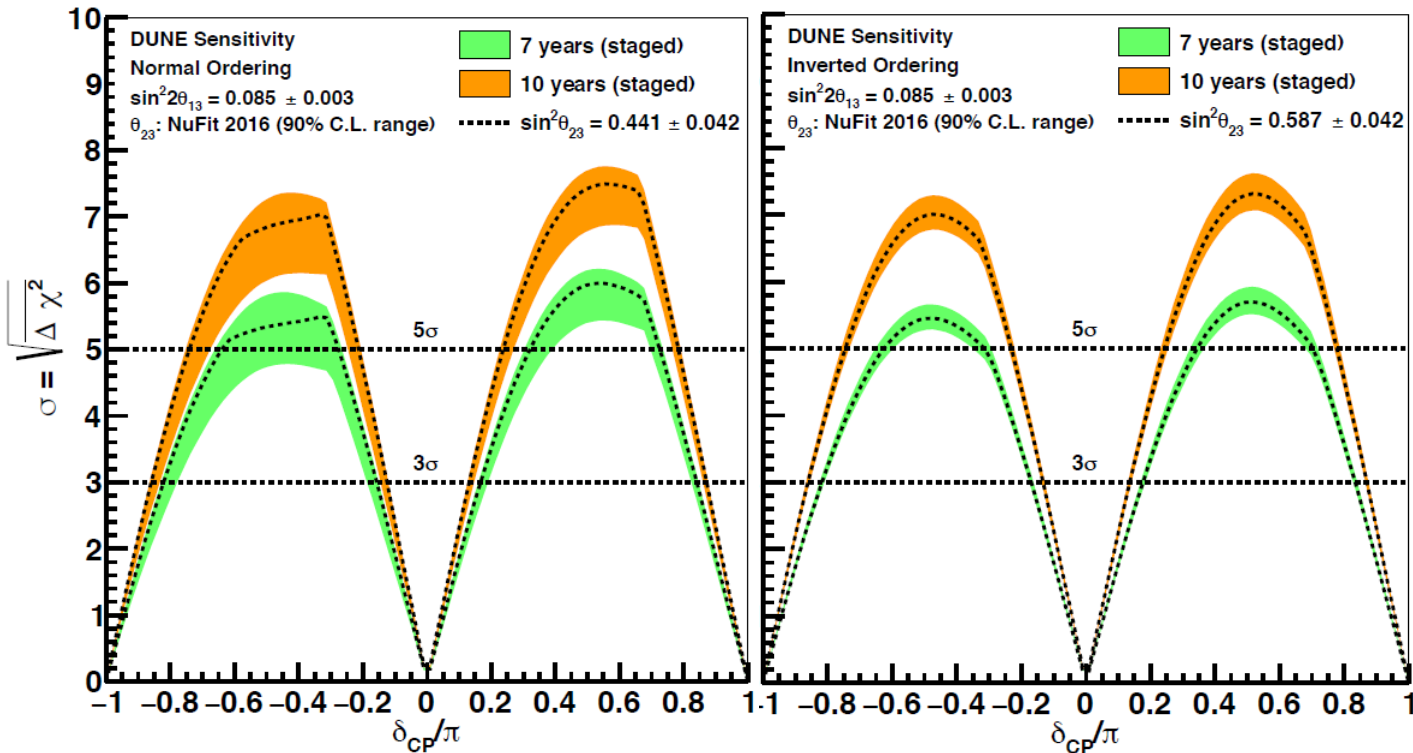
Reconstructed spectra predicted using detector response parameterized at the single particle level



CP Violation Sensitivity

CP (NH)

CP (IH)



GLOBES-based fit of four event samples

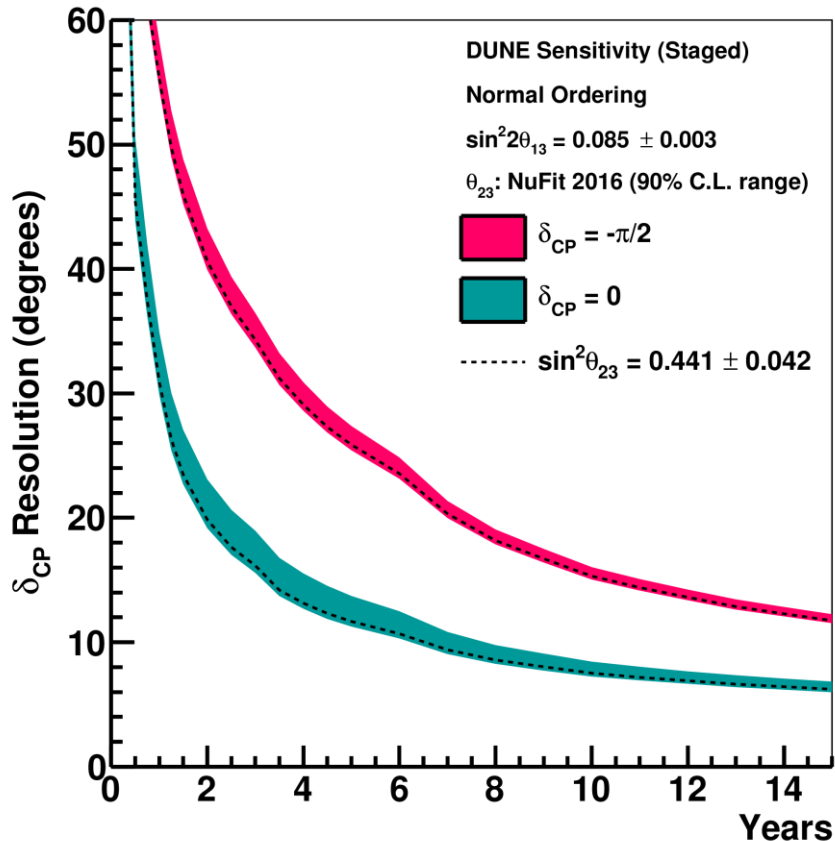
Beam and detector staging assumptions are included:

- Start with 20 kt, increasing to 40 kt
- 80 GeV primary protons @ 1.07 MW, increasing to 2.14 MW

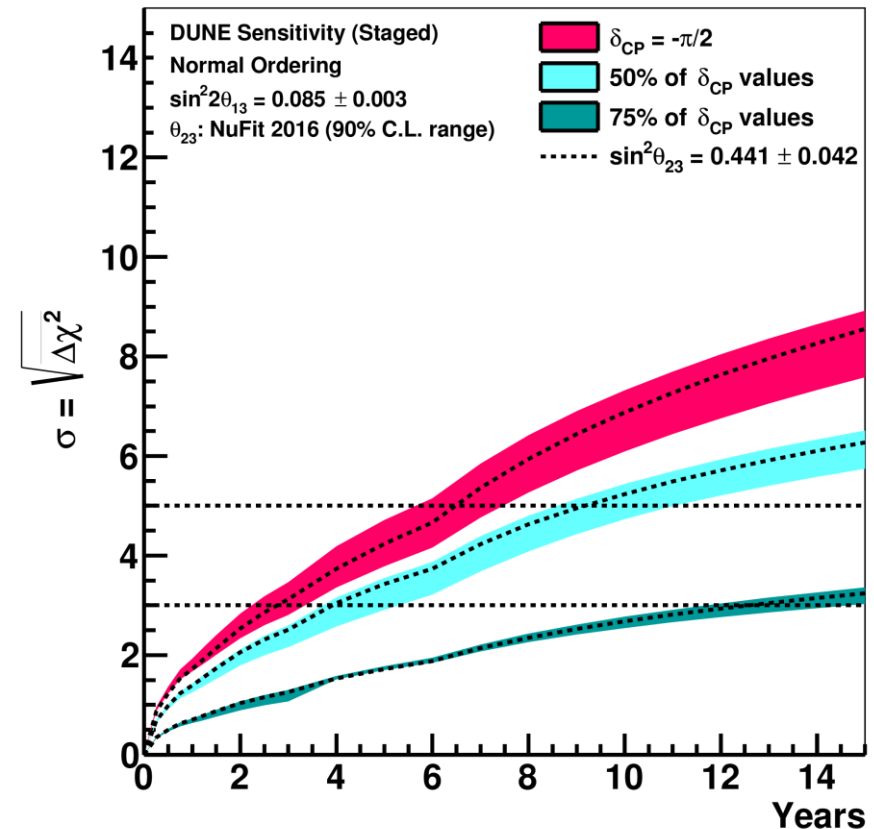
- Width of band indicates variation in sensitivity for θ_{23} values in the NuFit 2016 90% C.L. range
- Assumes equal running in neutrino and antineutrino mode
- Includes simple normalization systematics and oscillation parameter variations

Sensitivity Over Time

δ_{CP} Resolution



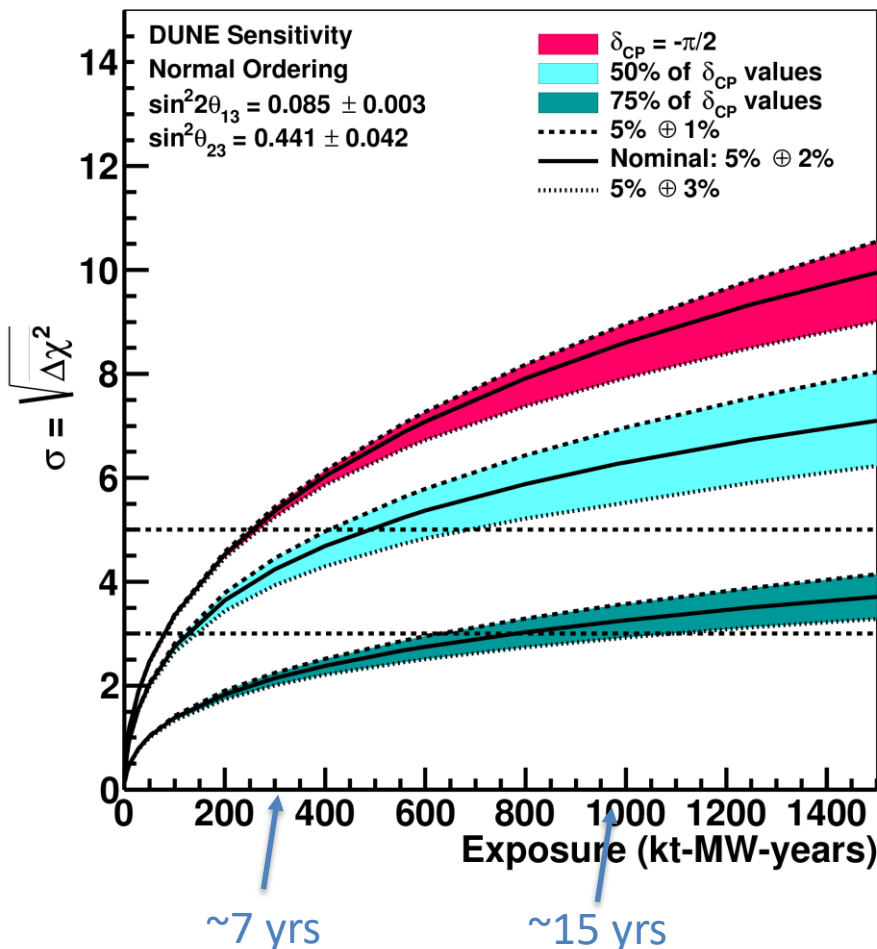
CP Violation Sensitivity



- Width of band indicates variation in sensitivity for θ_{23} values in the NuFit 2016 90% C.L. range

Systematic Uncertainty

CP Violation Sensitivity

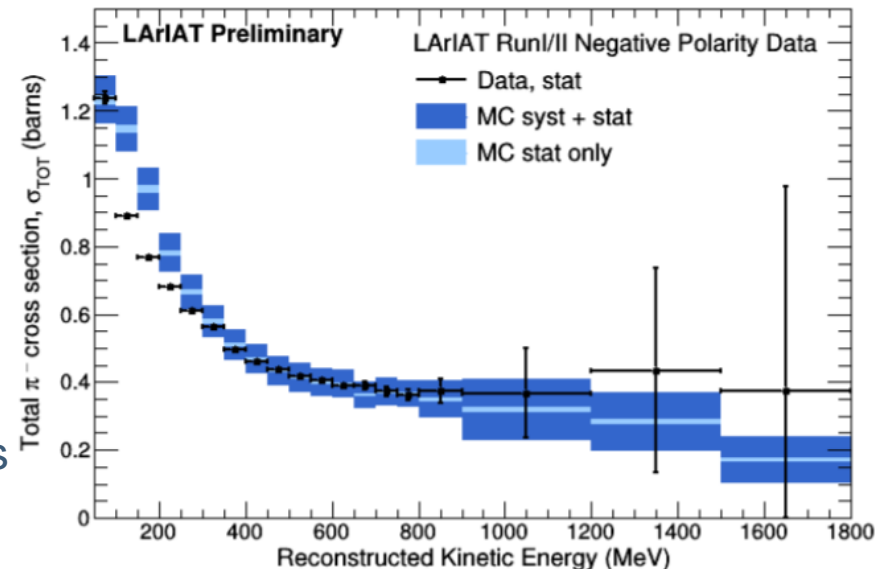


- CPV measurement statistically limited for ~ 100 kt-MW-years
- Sensitivities in DUNE CDR are based on GLoBES calculations in which the effect of systematic uncertainty is approximated using uncorrelated signal normalization uncertainties.
 - $\nu_{\mu} = \bar{\nu}_{\mu} = 5\%$ (Flux uncertainty after ND constraint)
 - $\nu_e = \bar{\nu}_e = 2\%$ (Residual uncertainty after constraints from other samples)

Strategy for Controlling Systematic Uncertainties

- Flux uncertainties (see the next talk!):
 - ND measurements of fully leptonic neutrino interactions, low- v method, hadron production measurements (NA61/SHINE)
- Interaction model uncertainties:
 - ND constraints (argon target)
 - Improved models in neutrino interaction generators
 - Neutrino interaction data (ArgoNeuT, MINERvA, NOvA ND, T2K ND280, MicroBooNE, SBND, ICARUS, ...)
- Detector effects:
 - Prototypes and calibration measurements (LArIAT, Mini-CAPTAIN, DUNE 35t, ProtoDUNE, ...)

ProtoDUNE-SP at CERN



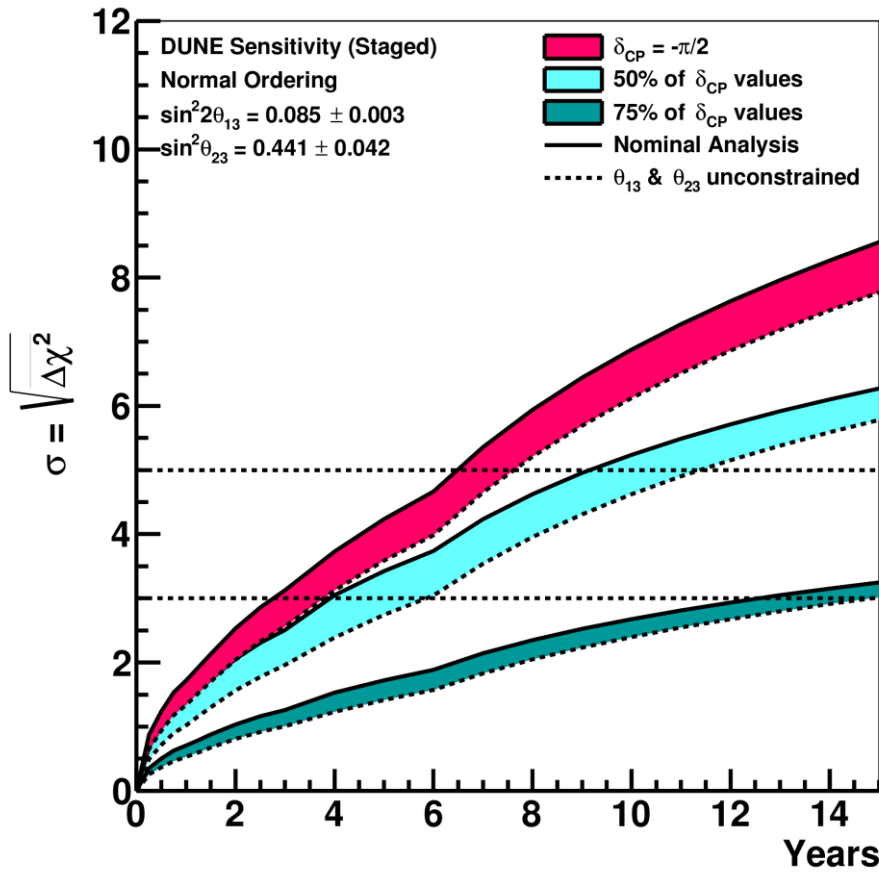
J. Asaadi, NuInt2017



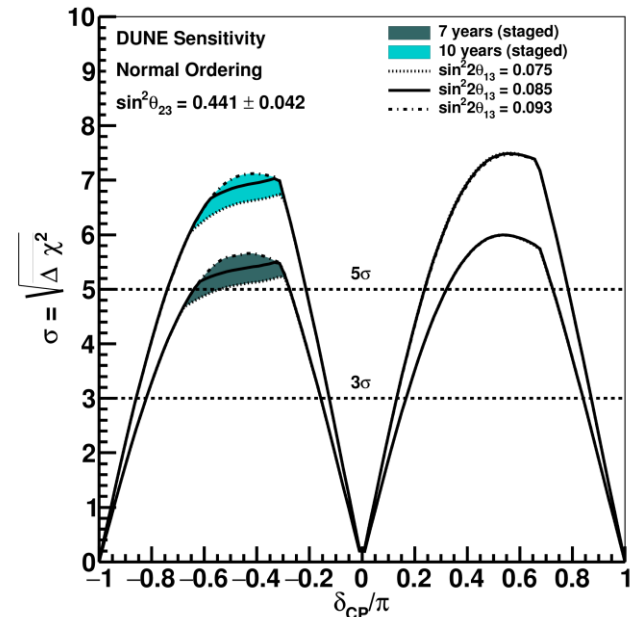
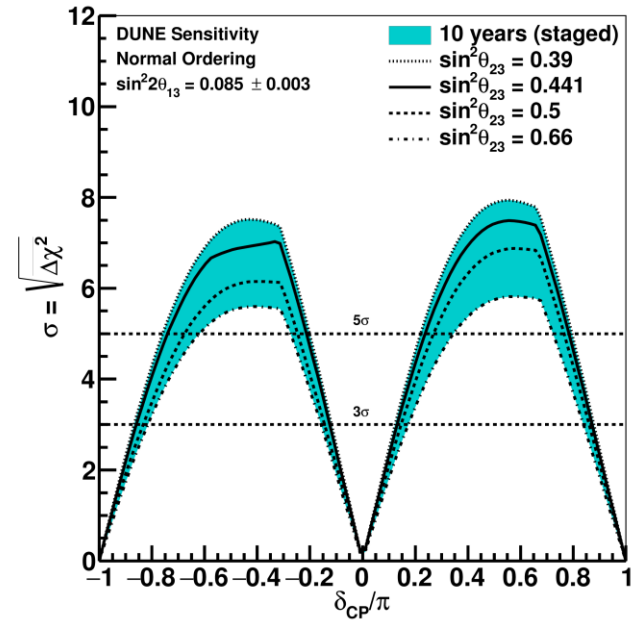
- DUNE
 - Collaboration formed ~2 years ago; far site construction has begun
 - Long-baseline neutrino oscillation program is expected to begin in 2026 when the LBNF neutrino beam becomes available
- Experiment is optimized to answer remaining questions about 3-neutrino mixing, including sensitivity to CP violation for much of the parameter space
- DUNE experiment strategy to control systematic uncertainty includes:
 - High performance near and far detectors providing ability to constrain systematics using DUNE data
 - External measurements and calibration data
 - Improved modeling of neutrino interactions

Effect of θ_{23} & θ_{13}

CP Violation Sensitivity



Effect on CP sensitivity of using no external constraints on θ_{23} or θ_{13}



DUNE Staging Assumptions

Staging Assumptions:

- Year 1 (2026): 20-kt FD with 1.07 MW (80-GeV) beam and initial ND constraints
- Year 2 (2027): 30-kt FD
- Year 4 (2029): 40-kt FD and improved ND constraints
- Year 7 (2032): upgrade to 2.14 MW (80-GeV) beam (technically limited schedule)

Exposure (kt-MW-years)	Exposure (Years)
171	5
300	7
556	10
984	15

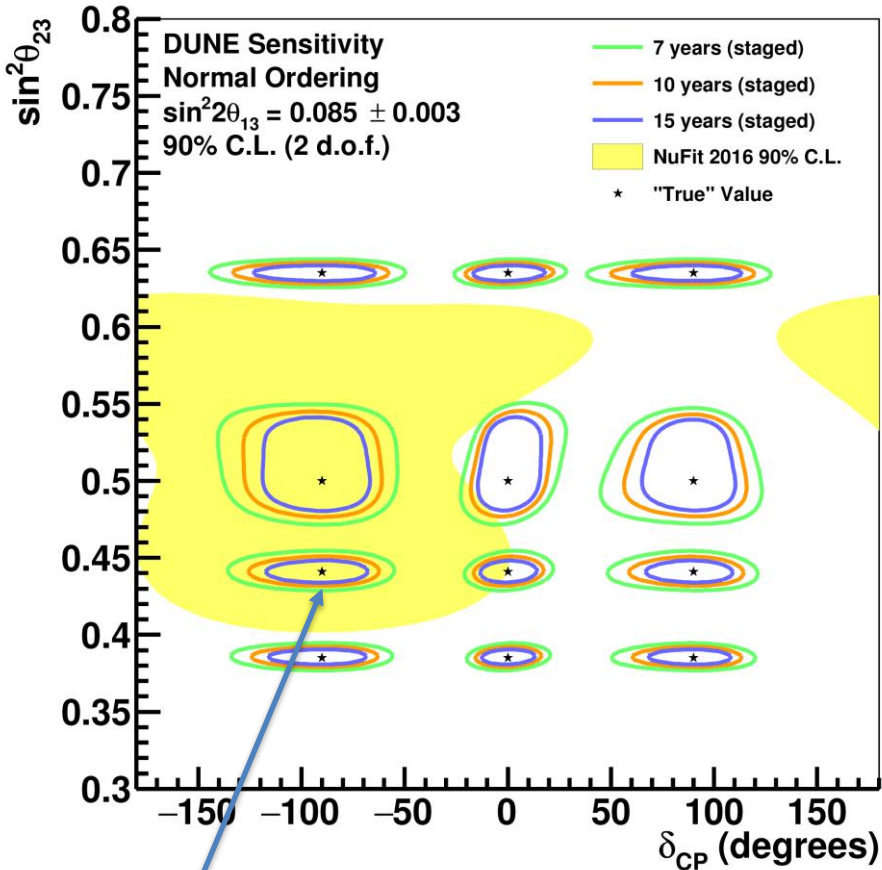
NuFIT 2016

NuFIT 3.0 (2016)

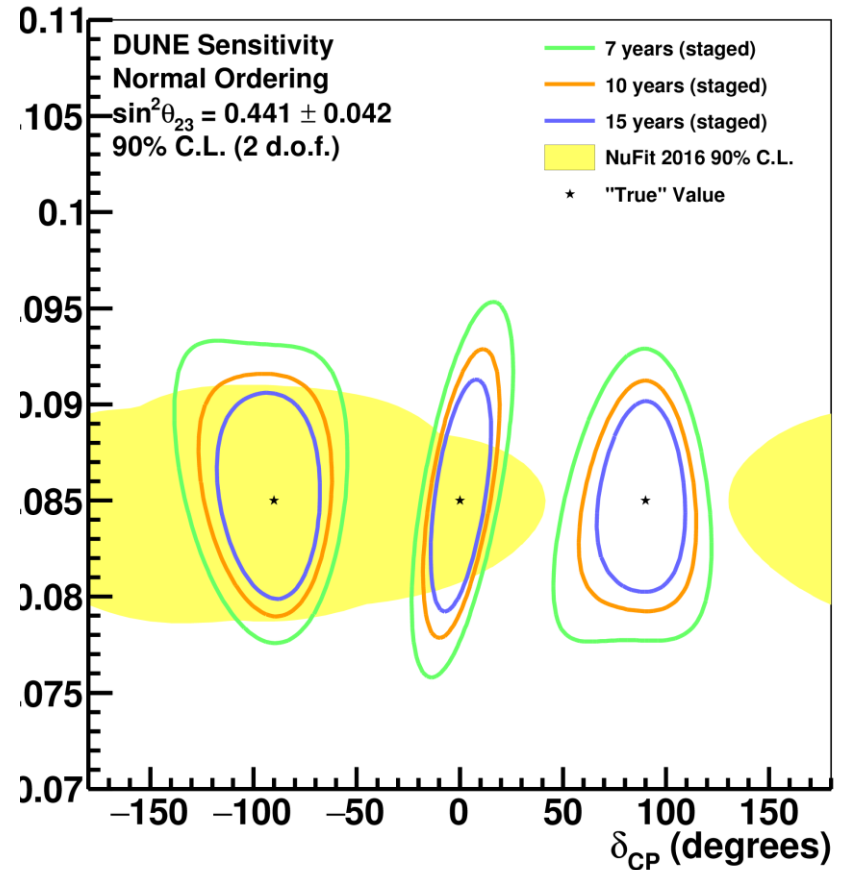
	Normal Ordering (best fit)		Inverted Ordering ($\Delta\chi^2 = 0.83$)		Any Ordering
	bfp $\pm 1\sigma$	3σ range	bfp $\pm 1\sigma$	3σ range	3σ range
$\sin^2 \theta_{12}$	$0.306^{+0.012}_{-0.012}$	0.271 \rightarrow 0.345	$0.306^{+0.012}_{-0.012}$	0.271 \rightarrow 0.345	0.271 \rightarrow 0.345
$\theta_{12}/^\circ$	$33.56^{+0.77}_{-0.75}$	31.38 \rightarrow 35.99	$33.56^{+0.77}_{-0.75}$	31.38 \rightarrow 35.99	31.38 \rightarrow 35.99
$\sin^2 \theta_{23}$	$0.441^{+0.027}_{-0.021}$	0.385 \rightarrow 0.635	$0.587^{+0.020}_{-0.024}$	0.393 \rightarrow 0.640	0.385 \rightarrow 0.638
$\theta_{23}/^\circ$	$41.6^{+1.5}_{-1.2}$	38.4 \rightarrow 52.8	$50.0^{+1.1}_{-1.4}$	38.8 \rightarrow 53.1	38.4 \rightarrow 53.0
$\sin^2 \theta_{13}$	$0.02166^{+0.00075}_{-0.00075}$	0.01934 \rightarrow 0.02392	$0.02179^{+0.00076}_{-0.00076}$	0.01953 \rightarrow 0.02408	0.01934 \rightarrow 0.02397
$\theta_{13}/^\circ$	$8.46^{+0.15}_{-0.15}$	7.99 \rightarrow 8.90	$8.49^{+0.15}_{-0.15}$	8.03 \rightarrow 8.93	7.99 \rightarrow 8.91
$\delta_{CP}/^\circ$	261^{+51}_{-59}	0 \rightarrow 360	277^{+40}_{-46}	145 \rightarrow 391	0 \rightarrow 360
$\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$	$7.50^{+0.19}_{-0.17}$	7.03 \rightarrow 8.09	$7.50^{+0.19}_{-0.17}$	7.03 \rightarrow 8.09	7.03 \rightarrow 8.09
$\frac{\Delta m_{3\ell}^2}{10^{-3} \text{ eV}^2}$	$+2.524^{+0.039}_{-0.040}$	+2.407 \rightarrow +2.643	$-2.514^{+0.038}_{-0.041}$	-2.635 \rightarrow -2.399	$[+2.407 \rightarrow +2.643]$ $[-2.629 \rightarrow -2.405]$

For 1σ uncertainty in DUNE sensitivity calculations, we take 1/6 of the $\pm 3\sigma$ range, to account for non-Gaussian PDFs in NuFit.

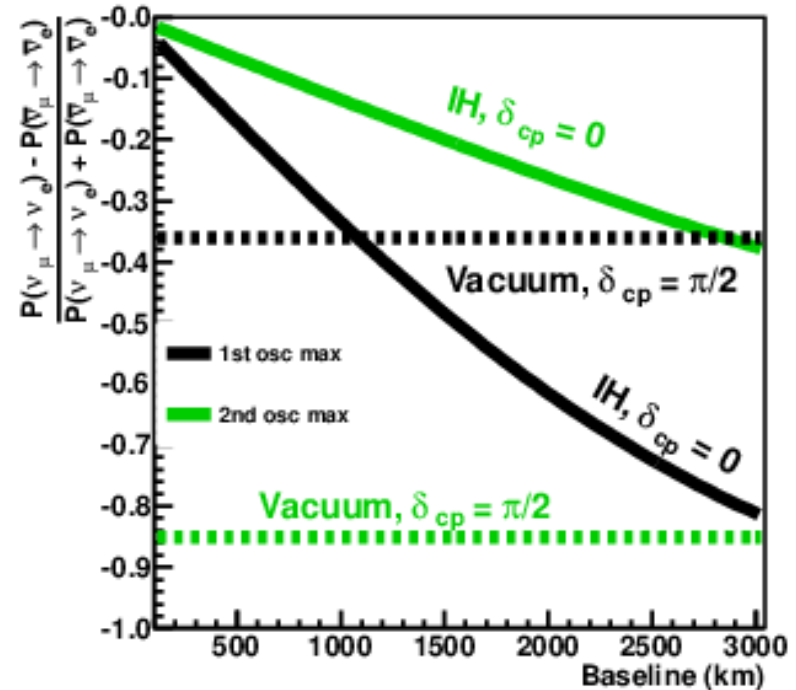
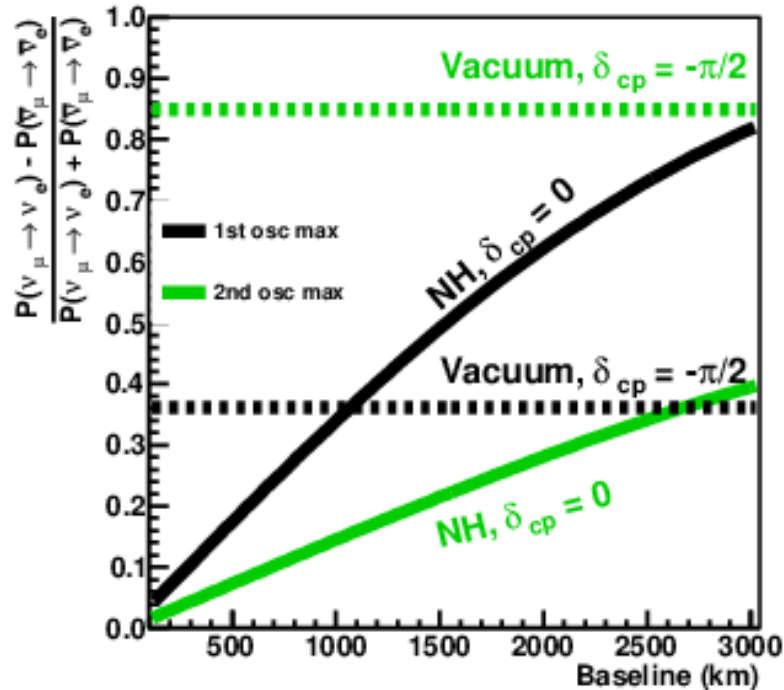
Comparison to 2016 Global Fit



Near NuFit 2016
 best fit point



Neutrino-Antineutrino Asymmetry



$$\mathcal{A}_{cp}(E_\nu) = \left[\frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \right]$$

$$\mathcal{A}_{cp}(E_\nu) \approx \frac{\cos \theta_{23} \sin 2\theta_{12} \sin \delta}{\sin \theta_{23} \sin \theta_{13}} \left(\frac{\Delta m_{21}^2 L}{4E_\nu} \right) + \text{matter effects.}$$

W. Marciano and Z. Parsa, Nucl. Phys. Proc. Suppl. 221, 166 (2011), arXiv:hep-ph/0610258[hep-ph].