

# Atomic Many-Body Effects in Neutrinos and Dark Matters Detection

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## **Collaborators:**

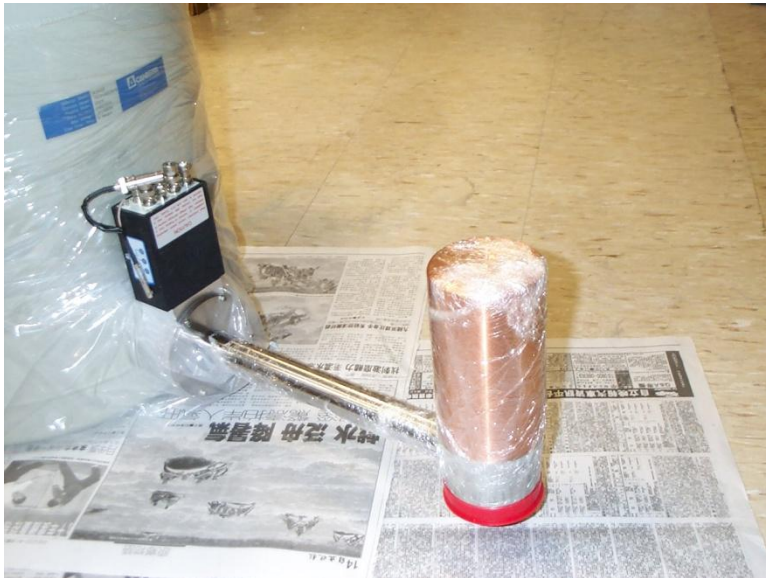
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Henry T. Wong (Academia Sinica, TEXONO Collaboration)

# Detectors with Pure Atoms

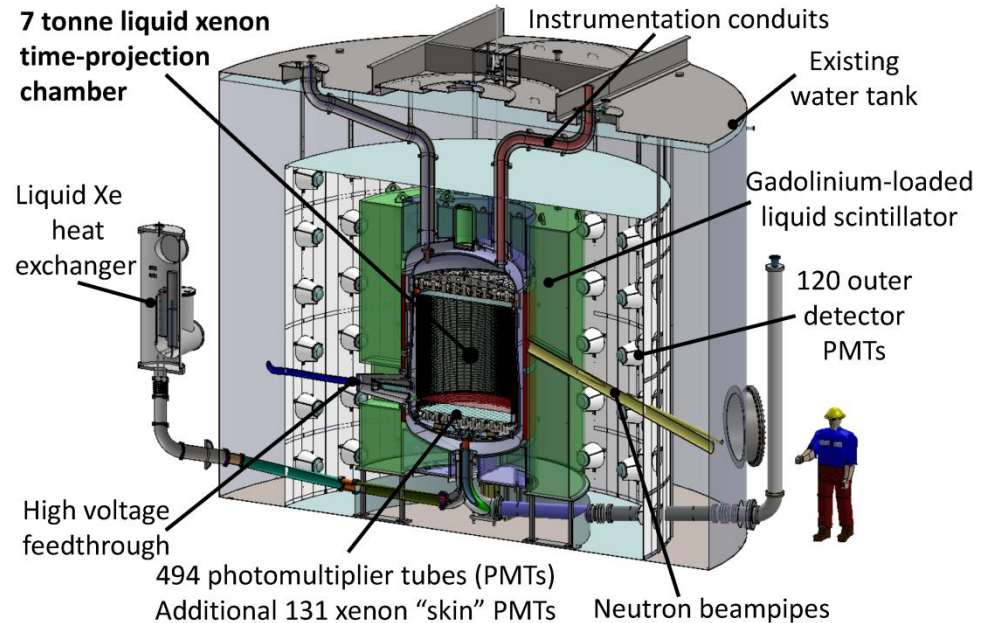
TEXONO HPGe Detector



➔ Lakhwinder Singh (25 Jul 2017, 17:15)

- Good energy resolution
- Lower analyzable recoil energy

The LZ Detector

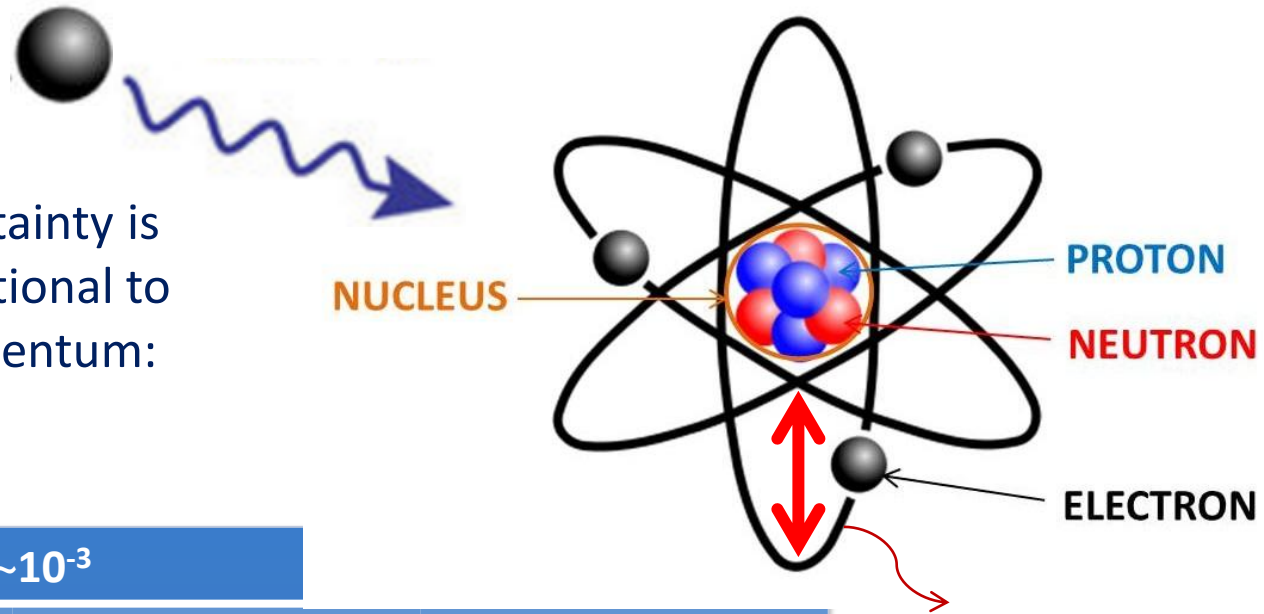


- Very large fiducial mass
- Good NR/ER discrimination

# Why we study atomic structure ?

The space uncertainty is inversely proportional to its incident momentum:

$$\lambda \sim 1/p$$



Atomic Size is inversely proportional to its orbital momentum:

$$Z m_e \alpha \sim Z * 3.7 \text{ keV}$$

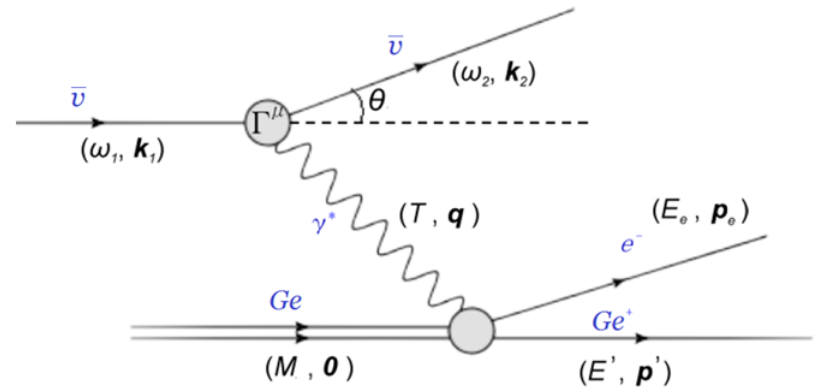
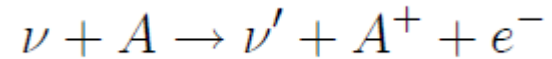
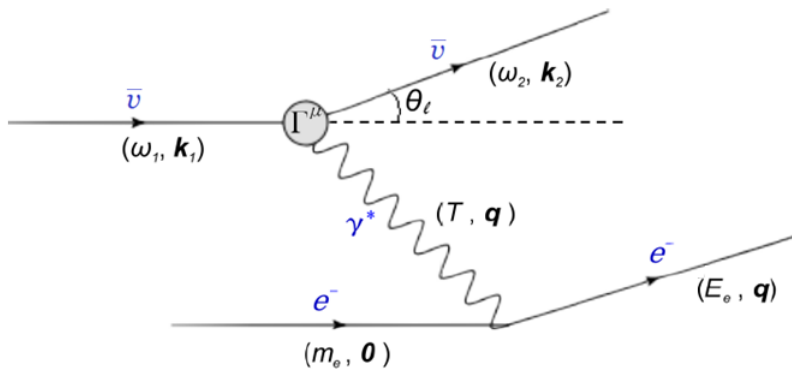
Z: effective charge

## LDM with velocity $\sim 10^{-3}$

Mass	Energy	Momentum
1 GeV	$m_\chi + 500 \text{ eV}$	1 MeV
100 MeV	$m_\chi + 50 \text{ eV}$	100 keV
Neutrino Sources		
Reactor $\nu$	$\sim \text{few MeV}$	Same as energy
Solar $\nu$ (pp)	$\sim \text{few hundred keV}$	Same as energy

# Scatter off: Free Target v.s. Atom

$$q^2 = -2 m_e T$$



- Phase space is fixed in 2-body scattering
- 4-momentum transfer is fixed
  - scattering angle is fixed
  - Maximum energy transfer is limited

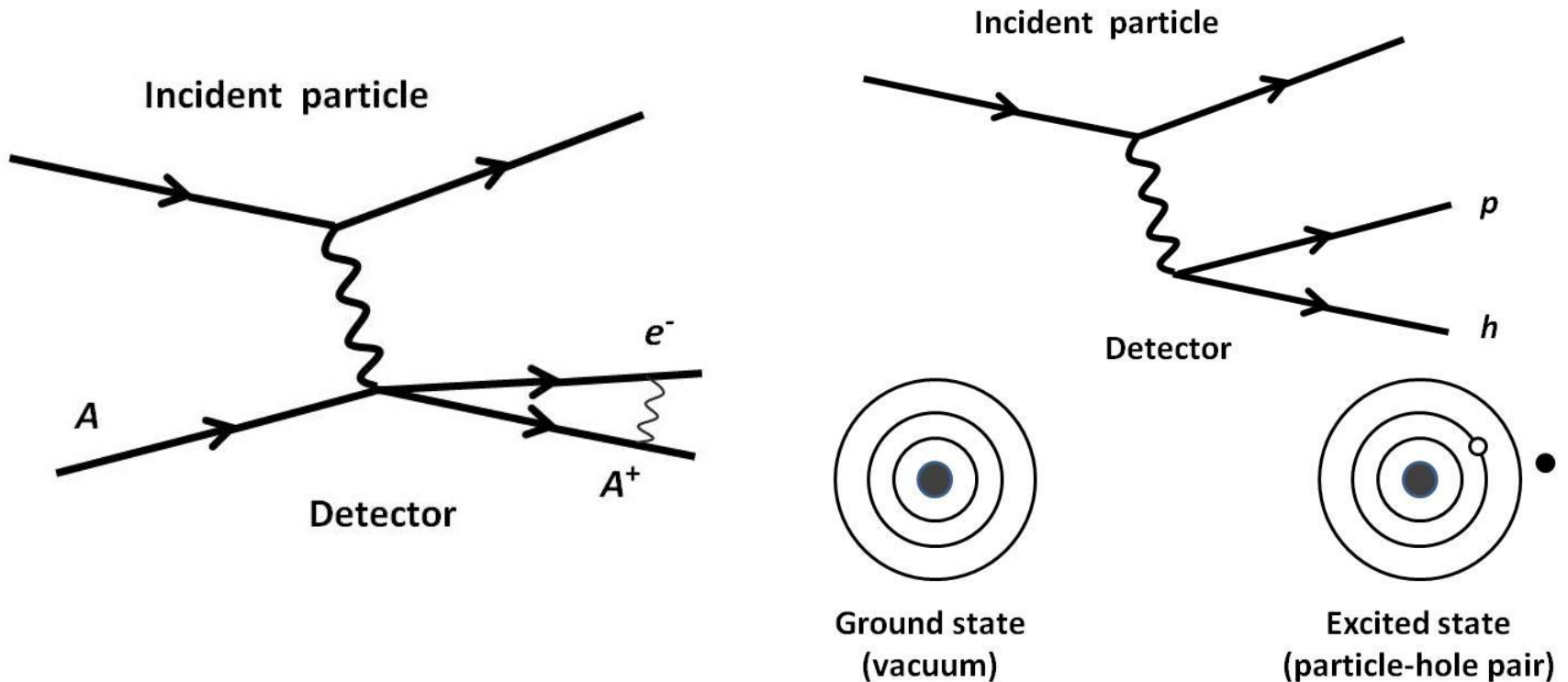
by a factor 
$$r = \frac{4 m_{inc} m_{tar}}{(m_{inc} + m_{tar})^2}$$

- Energy and momentum transfer can be shared by nucleus and electrons
- Inelastic scattering (energy loss in atomic energy level)
  - Phase space suppression

# When atomic structures should be considered (free target approx. fail)?

- Incident momentum  $\sim 100$  keV and below
  - The wavelengths of incident particles are about the same order with the size of the atom.
  - For Innermost orbital, the related momentum  $\sim Z m_e \alpha \sim Z * 3$  keV ( $Z =$  effective nuclear charge)
- Energy transfer  $\sim 10$  keV and below
  - barely overcome the atomic thresholds
  - For Innermost orbital, binding energy  $\sim 11$  keV (Ge) and 34 keV (Xe)
- Phase-space suppression (Ex: WIMP-e scattering)

# Scattering Diagrams



The atomic transition amplitudes can be calculated with the orbital wave functions of the atom.

# Ab initio Theory for Atomic Ionization

**MCDF:** multiconfiguration Dirac-Fock method

**Dirac-Fock method:**  $\psi(t)$  is a Slater determinant of one-electron orbitals  $u_a(\vec{r}, t)$  and invoke variational principle  $\delta \langle \bar{\psi}(t) | i \frac{\partial}{\partial t} - H - V_I(t) | \psi(t) \rangle = 0$  to obtain eigenequations for  $u_a(\vec{r}, t)$ .

**multiconfiguration:** Approximate the many-body wave function  $\Psi(t)$  (for open shell atom) by a superposition of configuration functions  $\psi_\alpha(t)$

$$\Psi(t) = \sum_{\alpha} C_{\alpha}(t) \psi_{\alpha}(t) \quad \text{Ge: } 2 e^{-} \text{ in } 4p \text{ ( } j = 1/2 \text{ or } 3/2 \text{)}$$

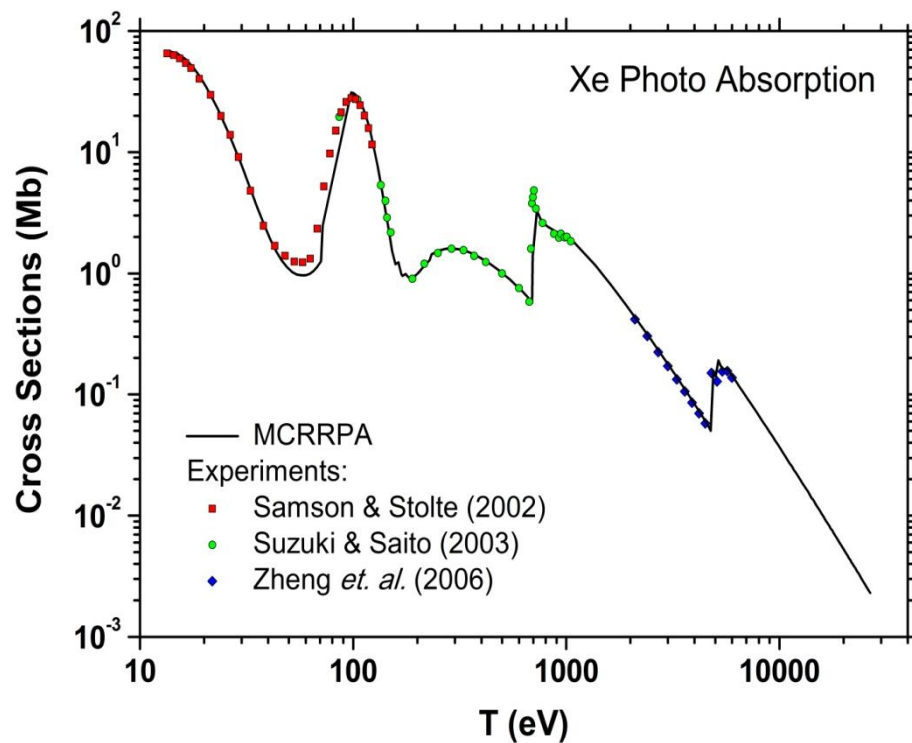
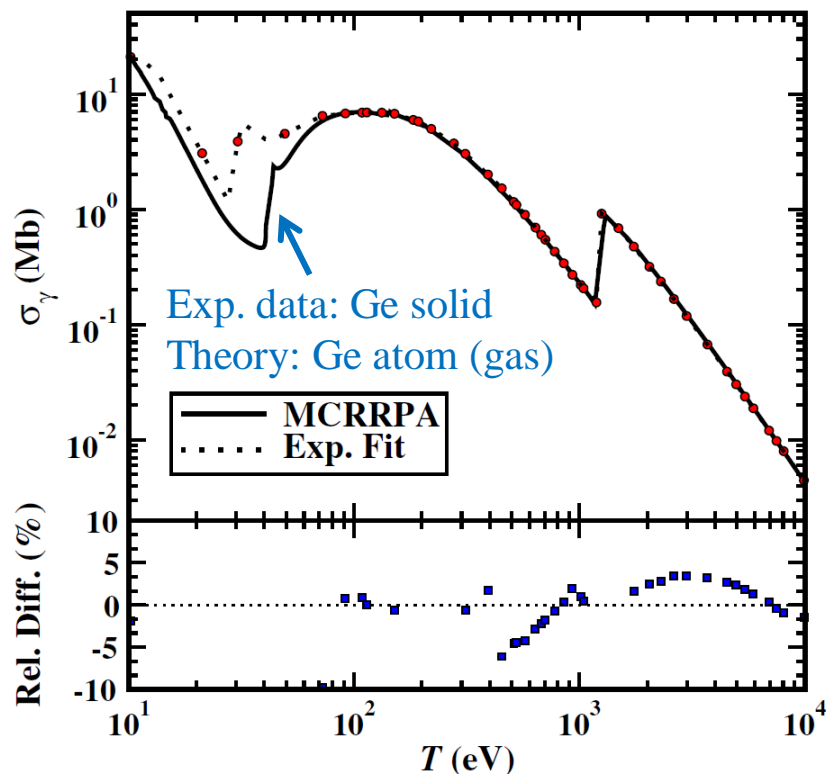
**MCRRPA:** multiconfiguration relativistic random phase approximation

**RPA:** Expand  $u_a(\vec{r}, t)$  into time-indep. orbitals in power of external potential

$$u_a(\vec{r}, t) = e^{i\varepsilon_a t} \left[ u_a(\vec{r}) + w_{a+}(\vec{r}) e^{-i\omega t} + w_{a-}(\vec{r}) e^{i\omega t} + \dots \right]$$

$$C_a(t) = C_a + [C_a]_+ e^{-i\omega t} + [C_a]_- e^{i\omega t} + \dots$$

# Benchmark: Ge & Xe Photoionization



**Above 100 eV error under 5%.**

B. L. Henke, E. M. Gullikson, and J. C. Davis, Atomic Data and Nuclear Data Tables **54**, 181-342 (1993).

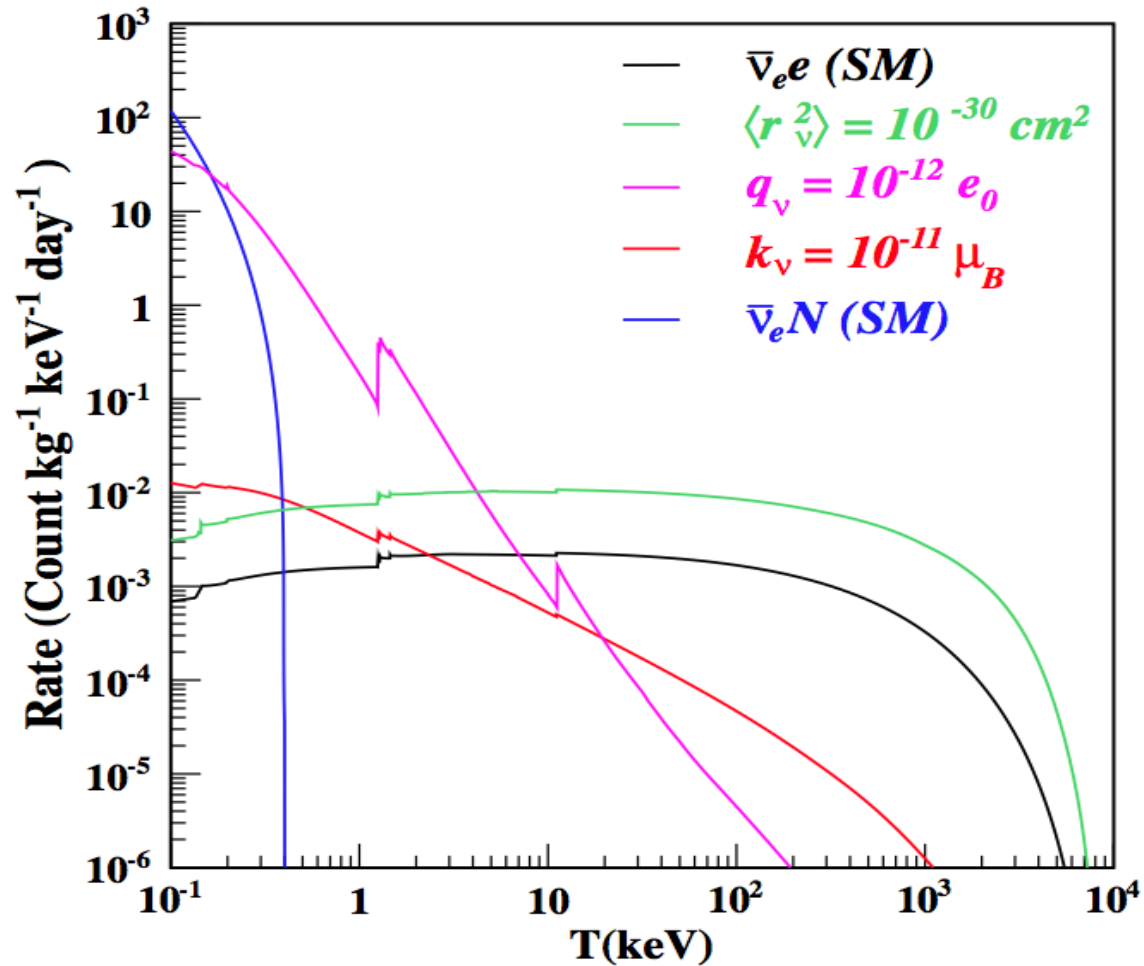
J. Samson and W. Stolte, J. Electron Spectrosc. Relat. Phenom. **123**, 265 (2002).

I. H. Suzuki and N. Saito, J. Electron Spectrosc. Relat. Phenom. **129**, 71 (2003).

L. Zheng *et al.*, J. Electron Spectrosc. Relat. Phenom. **152**, 143 (2006).

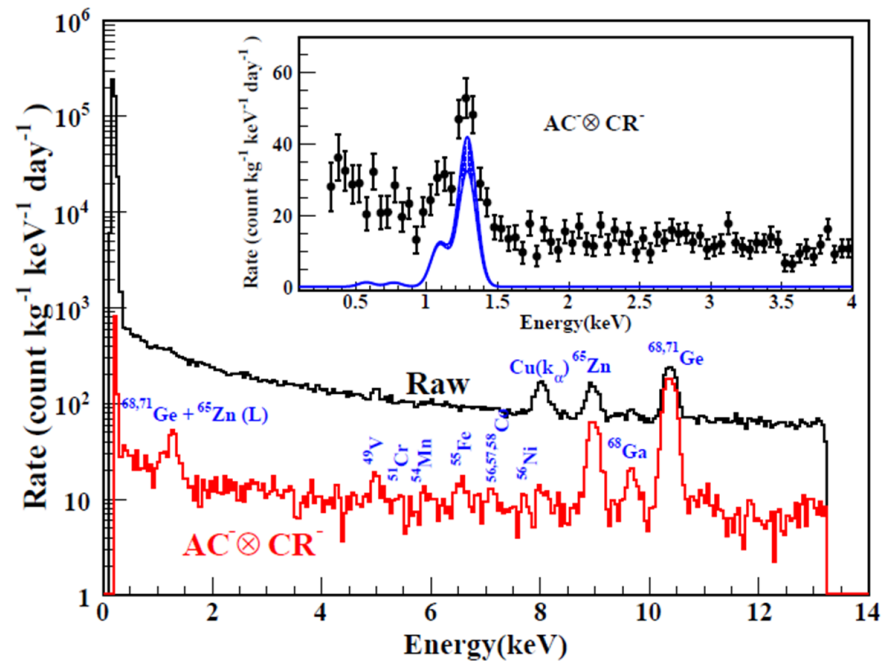


# Interaction Channels of Neutrino-Induced Ge Ionization



# Applications I: Neutrino EM Properties

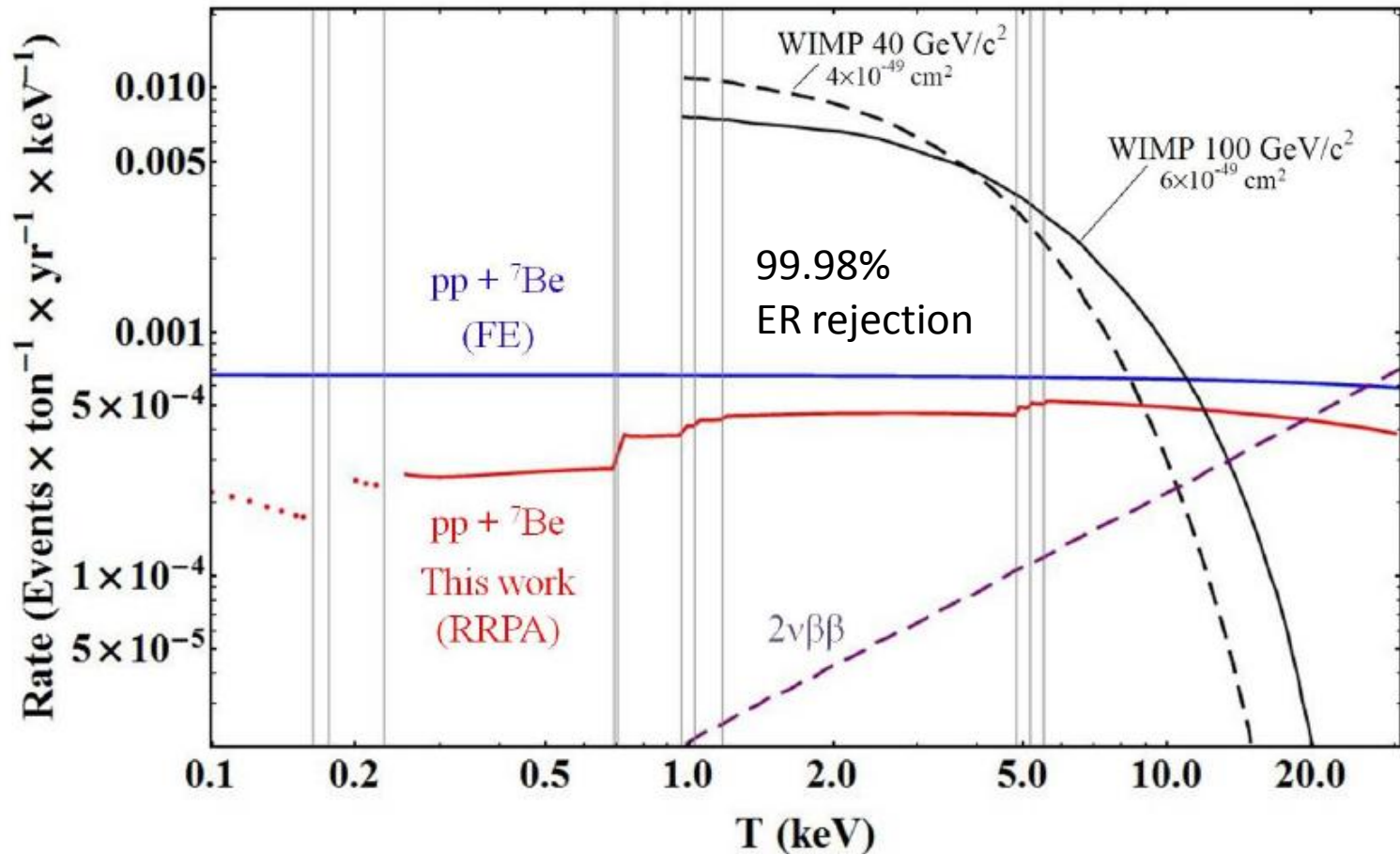
Data set	Reactor- $\bar{\nu}_e$	Data strength	Analysis	Bounds at 90% C.L.		
	Flux ( $\times 10^{13} \text{ cm}^{-2} \text{ s}^{-1}$ )	Reactor on/off (kg-days)	Threshold (keV)	$\kappa_{\bar{\nu}_e}^{(\text{eff})}$ ( $\times 10^{-11} \mu_B$ )	$\eta_{\bar{\nu}_e}$ ( $\times 10^{-12}$ )	$\langle r_{\bar{\nu}_e}^2 \rangle^{(\text{eff})}$ ( $\times 10^{-30} \text{ cm}^2$ )
TEXONO 187 kg CsI [9]	0.64	29882.0/7369.0	3000	$< 22.0$	$< 170$	$< 0.033$
TEXONO 1 kg Ge [5,6]	0.64	570.7/127.8	12	$< 7.4$	$< 8.8$	$< 1.40$
GEMMA 1.5 kg Ge [7,8]	2.7	1133.4/280.4	2.8	$< 2.9$	$< 1.1$	$< 0.80$
TEXONO point-contact Ge [4,17]	0.64	124.2/70.3	0.3	$< 26.0$	$< 2.1$	$< 3.20$
Projected point-contact Ge	2.7	800/200	0.1	$< 1.7$	$< 0.06$	$< 0.74$
Sensitivity at 1% of SM	...	...	...	$\sim 0.023$	$\sim 0.0004$	$\sim 0.0014$



## Reference:

- Phys. Lett. B **731**, 159, arXiv:1311.5294 (2014).
- Phys. Rev. D **90**, 011301(R), arXiv:1405.7168 (2014).
- Phys. Rev. D **91**, 013005, arXiv:1411.0574 (2015).

# Applications II: Solar $\nu$ Background in LXe Detectors



J. Aalbers *et al.* (DARWIN collaboration), arXiv:1606.07001 (2016).

J.-W. Chen *et al.*, arXiv:1610.04177 (2016).

# Summary

- Benefits from low-threshold detectors, but atomic effects should be taken into consideration, because
  1. Energy and momentum transfers from neutrinos & LDM are around the atomic scale,
  2. Two-body free targets assumption is no longer an good estimation for the dominant kinematic region.
- *Ab initio* many-body calculations of Ge & Xe atomic ionization performed with ~5% estimated error. That can be applied for
  1. Constraining neutrino EM properties,
  2. Study on solar neutrino backgrounds in DM detection,
  3. Calculating DM atomic ionization cross sections.

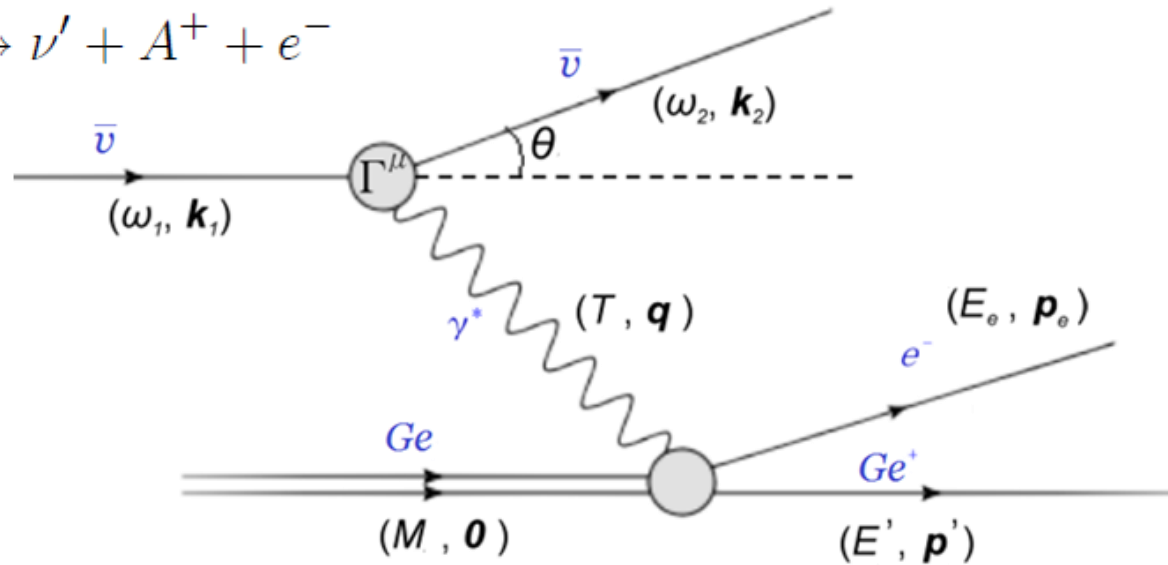
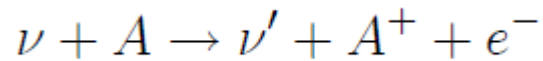
## Related Publications :

1. J.-W. Chen, H.-C. Chi, C.-P. Liu, and C.-P. Wu, arXiv:1610.04177.
2. J.-W. Chen, H.-C. Chi, S.-T. Lin, C.-P. Liu, L. Singh, H. T. Wong, C.-L. Wu, and C.-P. Wu, Phys. Rev. D **93**, 093012 (2016).
3. J.-W. Chen, H.-C. Chi, C.-P. Liu, C.-L. Wu and C.-P. Wu, Phys. Rev. D **92**, 096013 (2015).
4. J.-W. Chen, H.-C. Chi, K.-N. Huang, H.-B. Li, C.-P. Liu, L. Singh, H. T. Wong, C.-L. Wu, and C.-P. Wu, Phys. Rev. D **91**, 013005 (2015).
5. J.-W. Chen, H.-C. Chi, H.-B. Li, C.-P. Liu, L. Singh, H. T. Wong, C.-L. Wu, and C.-P. Wu, Phys. Rev. D **90**, 011301(R) (2014).
6. J.-W. Chen, H.-C. Chi, K.-N. Huang, C.-P. Liu, H.-T. Shiao, L. Singh, H. T. Wong, C.-L. Wu, and C.-P. Wu, Phys. Lett. B **731**, 159 (2014).
7. J.-W. Chen, C.-P. Liu, C.-F. Liu, and C.-L. Wu, Phys. Rev. D **88**, 033006 (2013).

**Thanks for your attention!**

# Backup Slides

# Neutrino: Atomic Ionization



$$d\sigma = \frac{g^2}{\bar{v}_1} \frac{\bar{l}^{\mu\nu} \bar{W}_{\mu\nu}}{(q^2 - m_b^2)^2} (2\pi)^4 \delta^4(k_1 + p_A - k_2 - p_R - p_r) \frac{d^3 \vec{k}_2}{(2\pi)^3} \frac{d^3 \vec{p}_R}{(2\pi)^3} \frac{d^3 \vec{p}_r}{(2\pi)^3}$$

Leptonic tensor: 
$$\bar{l}^{\mu\nu} \equiv \sum_{s_2} \overline{\sum_{s_1}} \langle k_2, s_2 | j_l^\mu | k_1, s_1 \rangle \langle k_2, s_2 | j_l^\nu | k_1, s_1 \rangle^*$$

Atomic tensor: 
$$\bar{W}^{\mu\nu} \equiv \sum_{m_{j_f}} \overline{\sum_{m_{j_i}}} \langle f | j_A^\mu | i \rangle \langle f | j_A^\nu | i \rangle^*$$

# Leptonic Tensor Part

$$\bar{l}^{\mu\nu} \equiv \sum_{s_2} \overline{\sum_{s_1}} \langle k_2, s_2 | j_l^\mu | k_1, s_1 \rangle \langle k_2, s_2 | j_l^\nu | k_1, s_1 \rangle^*$$

$$\langle k_2 | \hat{j}_l^\mu | k_1 \rangle = j_\mu^{(w)} + j_\mu^{(\gamma)} \quad \begin{array}{l} w: \text{ The neutrino weak current} \\ \gamma: \text{ The electromagnetic current} \end{array}$$

$$j_\mu^{(w)} = \bar{\nu}(k_2, s_2) \gamma_\mu (1 - \gamma_5) \nu(k_1, s_1)$$

$$j_\mu^{(\gamma)} = \bar{\nu}(k_2, s_2) [F_1(q^2) \gamma_\mu - i(F_2(q^2) + iF_E(q^2) \gamma_5) \sigma_{\mu\nu} q^\nu + F_A(q^2) (q^2 \gamma_\mu - \not{q} q_\mu) \gamma_5] \nu(k_1, s_1)$$



# The Form Factors & Related Physical Quantities

$F_1(q^2)$  : charge form factor

$F_2(q^2)$  : anomalous magnetic

$F_A(q^2)$  : anapole (*P*-violating)

$F_E(q^2)$  : electric dipole

(*P*, *T*-violating)

neutrino millicharge :

$$q_\nu = F_1(0),$$

charge radius squared :

$$\langle r_\nu^2 \rangle = 6 \frac{d}{dq^2} F_1(q^2) \Big|_{q^2 \rightarrow 0}$$

neutrino magnetic moment :

$$\kappa_\nu = F_2(0),$$

anapole moment :

$$a_\nu = F_A(0),$$

electric dipole moment :

$$d_\nu = F_E(0),$$

# Atomic Tensor Part

$$\overline{W}^{\mu\nu} \equiv \sum_{m_{j_f}} \overline{\sum_{m_{j_i}}} \langle f | j_A^\mu | i \rangle \langle f | j_A^\nu | i \rangle^*$$

$$\langle f^{(-)} | j_A^\mu | i \rangle = c_V \mathcal{J}^\mu - c_A \mathcal{J}_5^\mu$$

$$c_V = -\frac{1}{2} + 2\sin^2\theta_w + \delta_{l,e}$$

$$c_A = -\frac{1}{2} + \delta_{l,e}$$

**The atomic (axial-)vector current:**

$$\mathcal{J}_{(5)}^\mu \equiv \langle \Psi_f | \hat{\mathcal{J}}_{(5)}^\mu(-\vec{q}) | \Psi_i \rangle$$

$$= \int d^3x e^{i\vec{q}\cdot\vec{x}} \langle \Psi_f^{(-)} | \hat{\psi}_e(\vec{x}) \gamma^\mu (\gamma_5) \hat{\psi}_e(\vec{x}) | \Psi_i \rangle$$

# Scattering Amplitude

**The weak scattering amplitude:**

$$\mathcal{M}^{(w)} = \frac{G_F}{\sqrt{2}} j_\mu^{(w)} (c_V \mathcal{J}^\mu - c_A \mathcal{J}_5^\mu)$$

**The EM scattering amplitude:**

$$\mathcal{M}^{(\gamma)} = \frac{4\pi\alpha}{q^2} j_\mu^{(\gamma)} \mathcal{J}^\mu$$

# Neutrino-Impact Ionization Cross Sections

**neutrino weak scattering :**

$$\begin{aligned} \frac{d\sigma_w}{dT} = & \frac{G_F^2}{2\pi^2} (E_\nu - T)^2 \int \cos^2 \frac{\theta}{2} \left\{ R_{00} - \frac{T}{|\vec{q}|} R_{03+30} + \frac{T^2}{|\vec{q}|^2} R_{33} \right. \\ & \left. + \left( \tan^2 \frac{\theta}{2} + \frac{|\vec{q}|^2}{2q^2} \right) R_{11+22} + \tan \frac{\theta}{2} \sqrt{\tan^2 \frac{\theta}{2} + \frac{|\vec{q}|^2}{q^2}} R_{12+21} \right\} d\Omega_{\mathbf{k}_2} \end{aligned}$$

**neutrino magnetic moment scattering :**

$$\frac{d\sigma_\mu}{dT} = \left( \frac{\alpha F_2}{2m_e} \right)^2 \left( 1 - \frac{T}{E_\nu} \right) \int \left\{ - \frac{(2E_\nu - T)^2 q^2}{q^4} R_{00} + \frac{q^2 + 4E_\nu(E_\nu - T)}{2|\vec{q}|^2} R_{11+22} \right\} d\Omega_{\mathbf{k}_2}$$

**neutrino millicharge scattering:**

$$\frac{d\sigma_C}{dT} = F_1^2 \left( \frac{E_\nu - T}{E_\nu} \right) \int \left\{ \frac{(2E_\nu - T)^2 - |\vec{q}|^2}{q^4} R_{00} - \left[ \frac{q^2 + 4E_\nu(E_\nu - T)}{2q^4} + \frac{1}{q^2} \right] R_{11+22} \right\} d\Omega_{\mathbf{k}_2}$$

# Atomic Response Functions

$$R_{\mu\nu}^{(w)} = \frac{1}{2J_i + 1} \sum_{M_{J_i}} \sum_f \langle \Psi_f^{(-)} | c_V \hat{J}_\mu - c_A \hat{J}_{5\mu} | \Psi_i \rangle$$

$$\times \langle \Psi_f | c_V \hat{J}_\nu - c_A \hat{J}_{5\nu} | \Psi_i \rangle^* \delta(T + E_i - E_f)$$

Do multipole expansion with  $J$

Final continuous wave functions could be obtained by **MCRRPA** and expanded in the  $(J, L)$  basis of orbital wave functions

Initial states could be approximated by bound electron orbital wave functions given by **MCDF**

$$R_{\mu\nu}^{(w)} \Big|_{c_V=1, c_A=0} \rightarrow R_{\mu\nu}^{(\gamma)}$$

# The Transition Amplitude

$$\begin{aligned} \langle \Psi_f^{(-)} | v_+ | \Psi_i \rangle &= \sum_{\alpha} \Lambda_{\alpha} (\langle w_{\alpha+} | v_+ | u_{\alpha} \rangle + \langle u_{\alpha} | v_+ | w_{\alpha-} \rangle) \\ &+ \sum_{a,b} ([C_a]_+^* C_b + C_a^* [C_b]_-) \langle \psi_a | v_+ | \psi_b \rangle \end{aligned}$$

**The single-electron perturbing field:**

$$v_+ = \int d^3x e^{i\vec{q}\cdot\vec{x}} l_{\mu}(\vec{x}) \hat{J}^{\mu}(\vec{x}), \quad v_- = v_+^{\dagger}$$

$$\mathbf{1} = \sum_{\lambda=0,\pm 1} l_{\lambda} \hat{e}_{\lambda}^{\dagger} \quad \hat{e} : \text{basis a set of polarization vectors}$$

$$\hat{e}_{(\lambda=\pm 1)} e^{i\vec{q}\cdot\vec{x}} = \sum_{J \geq 1} i^J \sqrt{2\pi(2J+1)} \left\{ \mp j_J(kr) \mathcal{Y}_{JJ1}^{\lambda} - \frac{1}{k} \nabla \times [j_J(kr) \mathcal{Y}_{JJ1}^{\lambda}] \right\}$$

$$\hat{e}_{(\lambda=0)} e^{i\vec{q}\cdot\vec{x}} = \frac{-i}{k} \sum_{J \geq 0} i^J \sqrt{4\pi(2J+1)} \nabla [j_J(kr) Y_{J0}]$$

# Multipole Expansion & Operators

$$\hat{C}_{JM}(k) = \int d^3x [j_J(kr) Y_{JM}] \hat{J}_0(\vec{x})$$

$$\hat{L}_{JM}(k) = \frac{i}{k} \int d^3x \{ \nabla [j_J(kr) Y_{JM}] \} \cdot \hat{J}(\vec{x})$$

$$\hat{E}_{JM}(k) = \frac{1}{k} \int d^3x [\nabla \times j_J(kr) \mathcal{Y}_{JJ_1}^M] \cdot \hat{J}(\vec{x})$$

$$\hat{M}_{JM}(k) = \int d^3x [j_J(kr) \mathcal{Y}_{JJ_1}^M] \cdot \hat{J}(\vec{x})$$

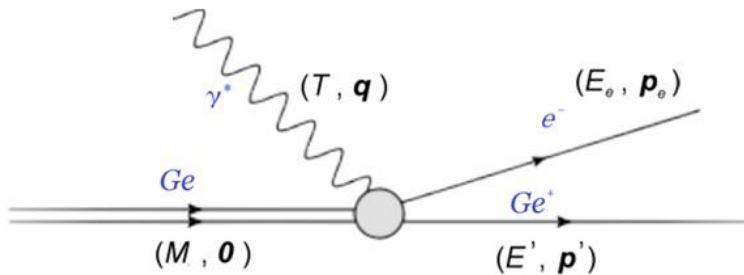
The EM perturbing field can be expressed as

$$v_+^{(\gamma)} = \frac{4\pi\alpha}{q^2} \left\{ \sum_{J=0}^{\infty} \sqrt{4\pi(2J+1)} i^J [j_0^{(\gamma)} \hat{C}_{J0}(k) - j_3^{(\gamma)} \hat{L}_{J0}(k)] \right. \\ \left. + \sum_{J \geq 1}^{\infty} \sqrt{2\pi(2J+1)} i^J \sum_{\lambda=\pm 1} j_\lambda^{(\gamma)} [\hat{E}_{J-\lambda}(k) - \lambda \hat{M}_{J-\lambda}(k)] \right\}$$

# Approximation Schemes

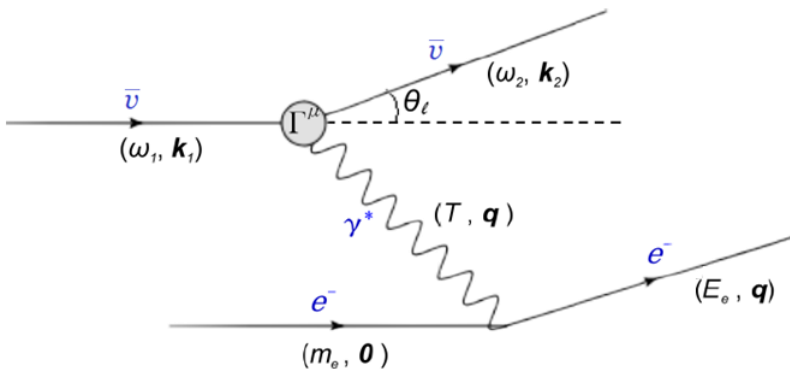
**Longitudinal Photon Approx. (LPA) :**  $V_T = 0$

**Equivalent Photon Approx. (EPA) :**  $V_L = 0, q^2 = 0$



- ① Strong  $q^2$ -dependence in the denominator : long-range interaction
- ② Real photon limit  $q^2 \sim 0$  : relativistic beam or soft photons  $q^\mu \sim 0$

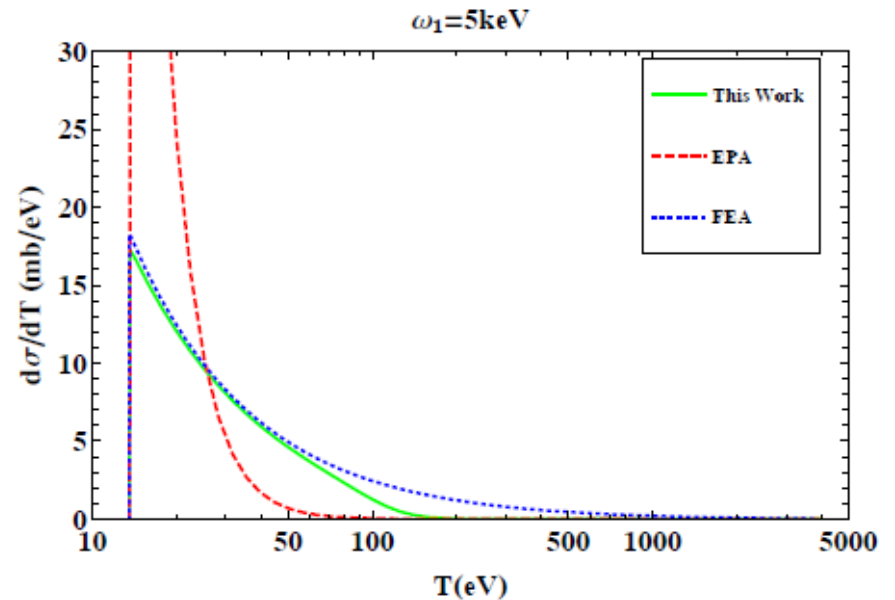
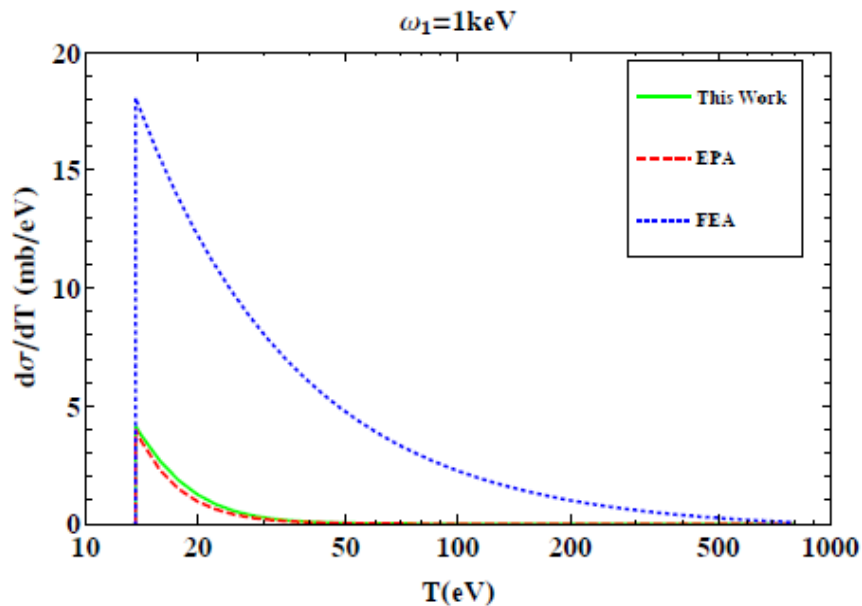
**Free Electron Approx. (FEA) :**  $q^2 = -2 m_e T$   $\frac{d\sigma}{dT}\Big|_{\text{FEA}} = \sum_{i=1}^Z \theta(T - B_i) \frac{d\sigma^{(0)}}{dT}\Big|_{q^2 = -2m_e T}$



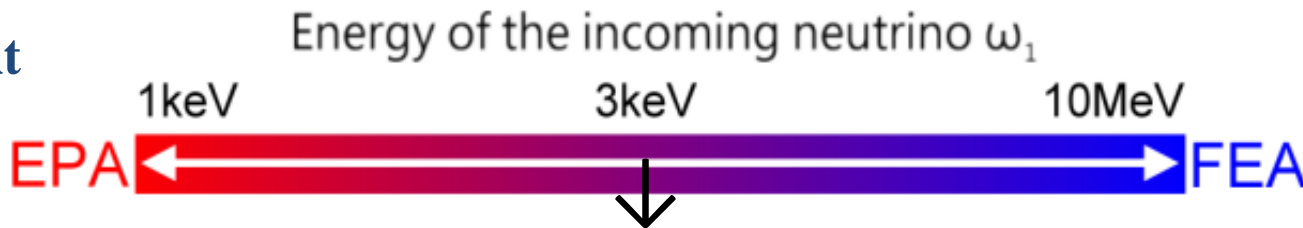
- ① Main contribution comes from the phase space region similar with 2-body scattering
- ② Atomic effects can be negligible :  $E_V \gg Z m_e \alpha$   
 $T \neq B_i$  (binding energy)



# Toy Model: NMM with $H$ target (analytic result obtained)



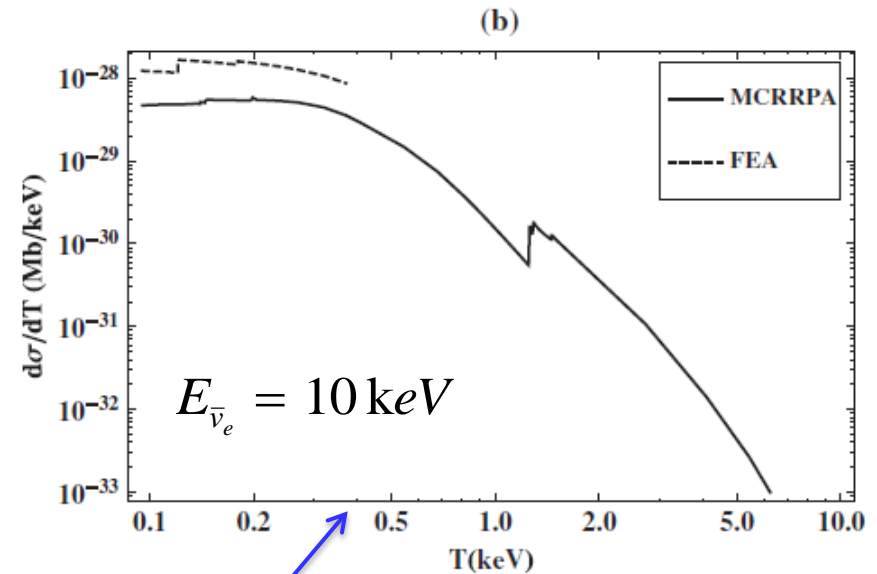
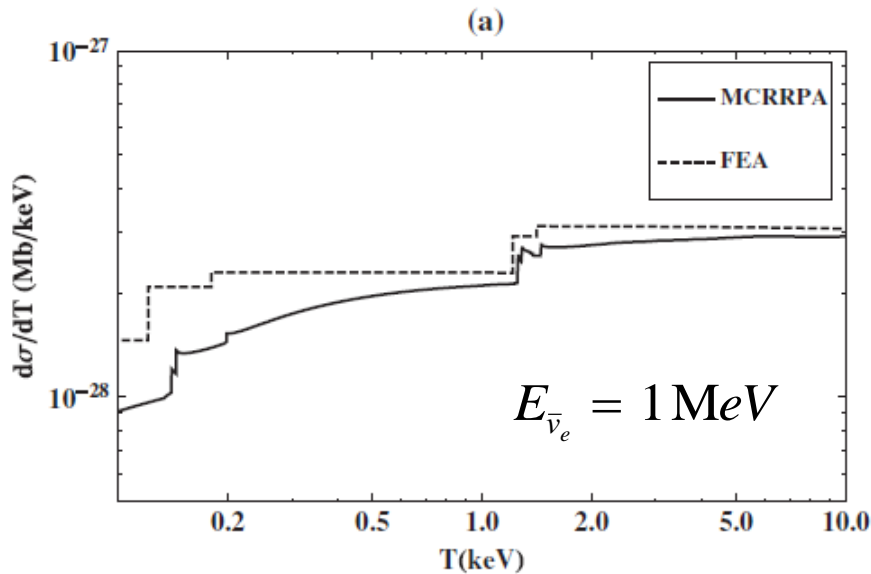
Equivalent  
Photon  
Approx.



Free  
Electron  
Approx.

binding momentum of hydrogen:  $m_e \alpha$

# Numerical Results: Weak Interaction



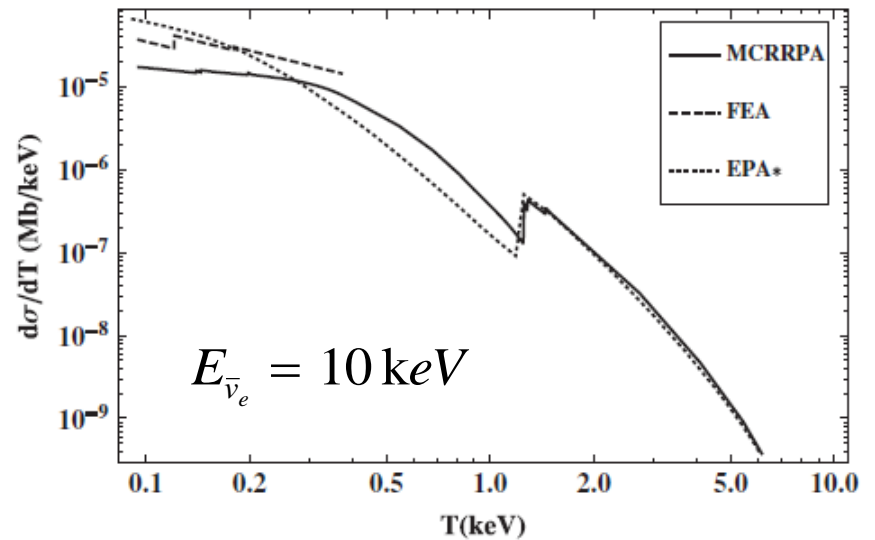
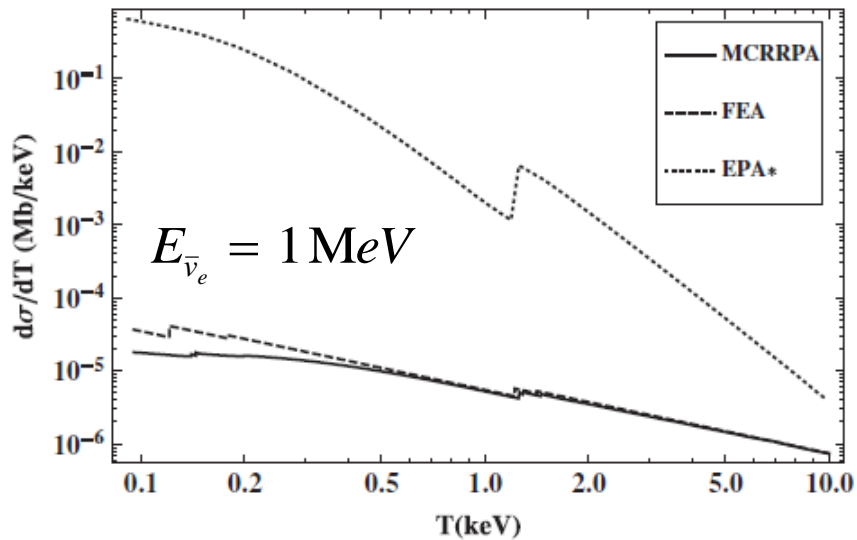
- (1) short range interaction
- (2) neutrino mass is tiny
- (3)  $E_\nu \gg Z m_e \alpha$

FEA works well away from the ionization thresholds.

cutoff :  $T_{\text{Max}} = \frac{2E_{\bar{\nu}_e}^2}{2E_{\bar{\nu}_e} + m_e} \approx 0.38 \text{ keV}$

$\bar{p}_r \approx \sqrt{2m_e T} \leq \bar{q}_{\text{Max}} \approx 2E_{\bar{\nu}_e} - T$   
 (backward scattering,  $m_{\bar{\nu}_e} \rightarrow 0$ )

# Numerical Results: NMM

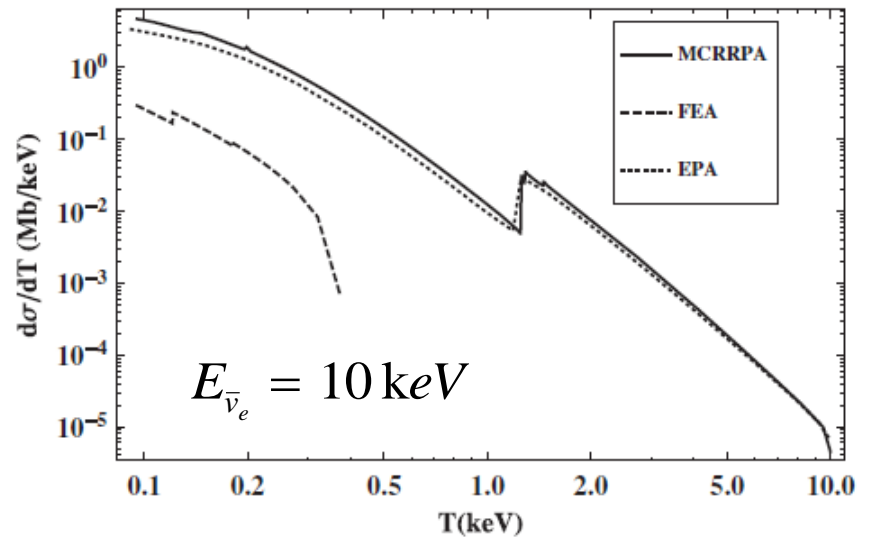
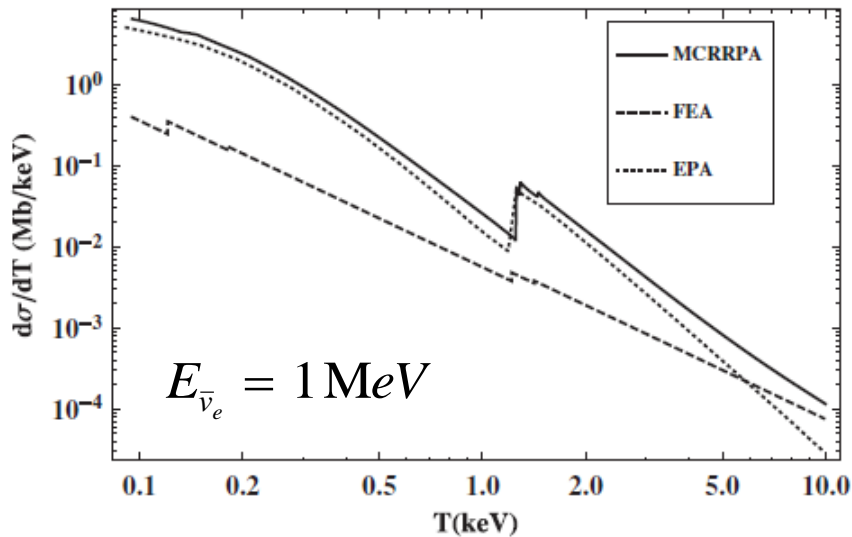


Similar with WI cases. FEA still faces a cutoff with lower  $E_\nu$ .

For right plot, EPA becomes better when  $T$  approaches to  $E_\nu$  ( $q^2 \rightarrow 0$ ).

Consistent with Hydrogen results.

# Numerical Results: Millicharge

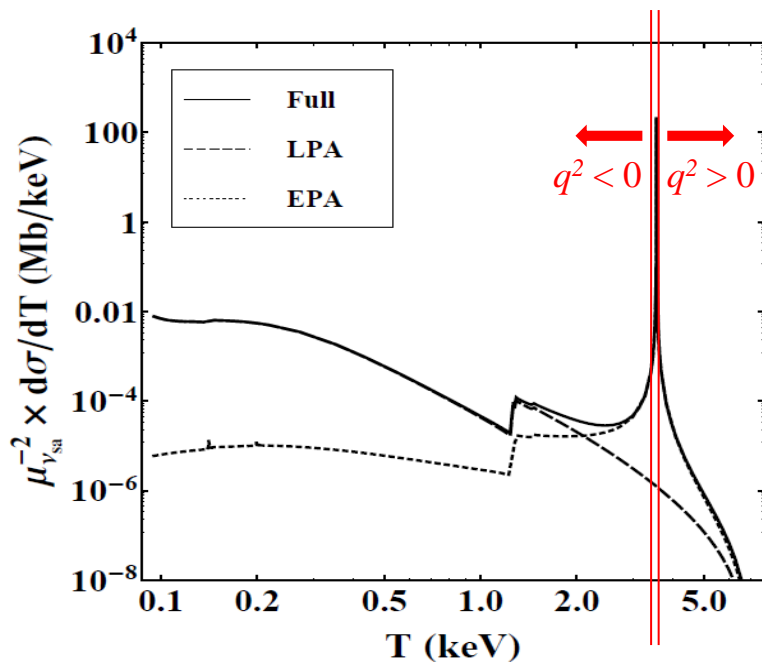


EPA worked well due to  $q^2$  dependence in the denominator of scattering formulas of  $F_1$  form factor (a strong weight at small scattering angles).

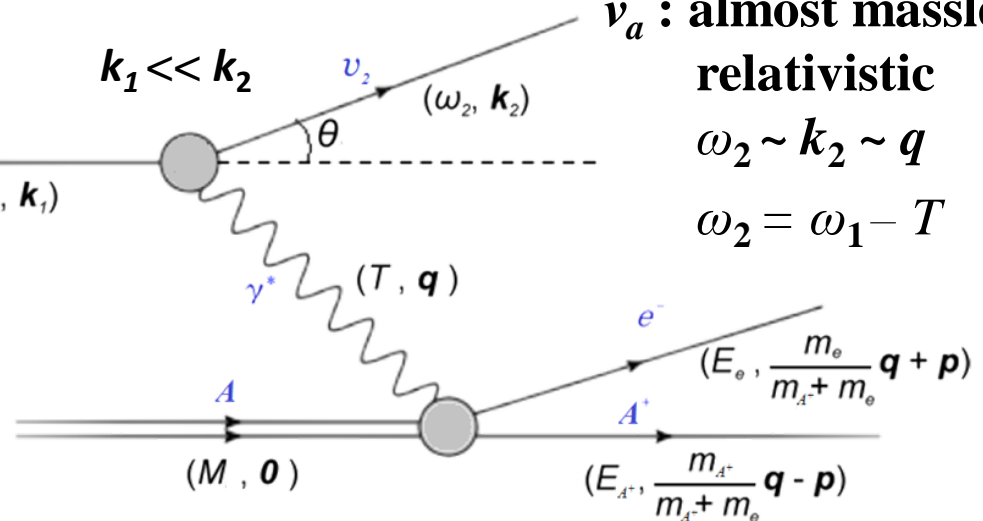
# Applications III: Sterile Neutrino Direct Detection

$\nu_s$  : massive,  
non-relativistic  
 $\omega_1 \sim m_s$

$\nu_a$  : almost massless,  
relativistic  
 $\omega_2 \sim k_2 \sim q$   
 $\omega_2 = \omega_1 - T$



(a)  $m_s = 7.1$  keV



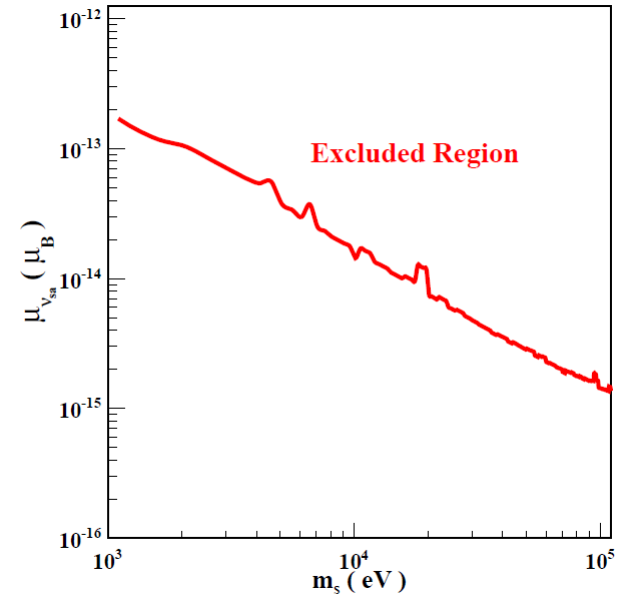
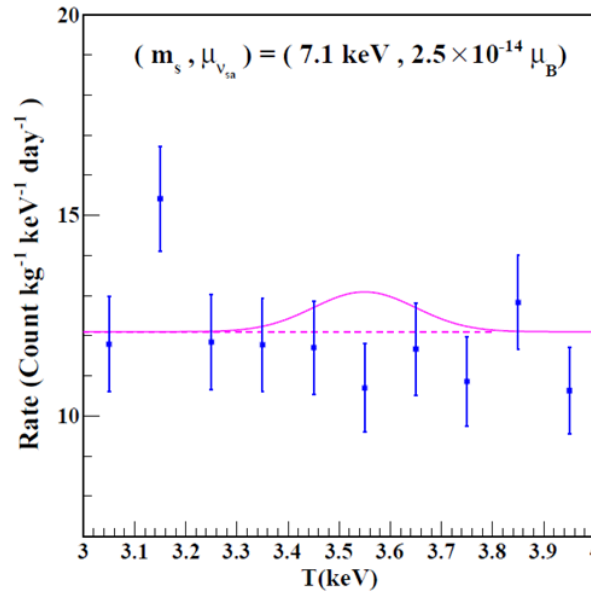
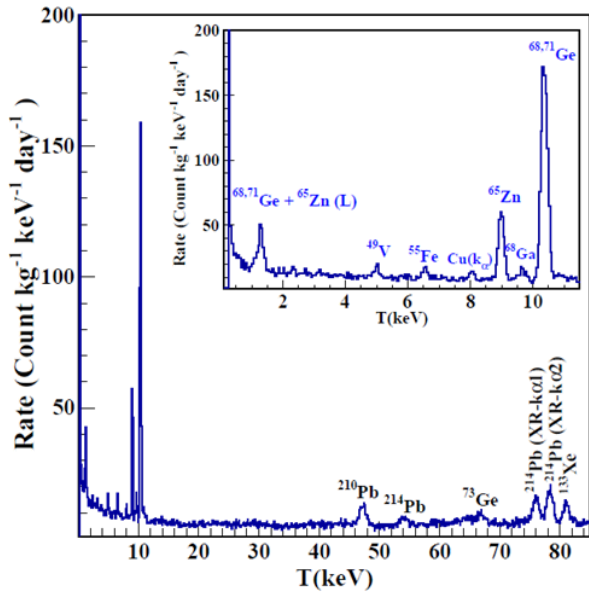
$$\nu_s + A \rightarrow \nu_a + A^+ + e^-$$

$q^2 > 0$  at forward scattering when  $T > \omega_1/2$

$$\nu_a + A \rightarrow \nu_a + A^+ + e^-$$

$q^2 < 0$  for all possible scattering angle & T

# Constraints by TEXONO data



$$\left(\frac{dR}{dT}\right) = \frac{\rho_s}{m_A m_s} \int_0^{v_{\max}} \frac{d\sigma(m_s, v)}{dT} v f(\vec{v}) d^3v$$

Maxwellian velocity distribution  
 $f(\vec{v}) = N_0 e^{(-\vec{v}^2/v_0^2)} \Theta(v_{\text{esc}} - |\vec{v}|)$

- At  $m_s = 7.1 \text{ keV}$ , the upper limit of  $\mu_{\nu_{sa}} < 2.5 \cdot 10^{-14} \mu_B$  at 90% C.L.
- The recent X-ray observations of a 7.1 keV sterile neutrino with decay lifetime  $1.74 \cdot 10^{-28} \text{ s}^{-1}$  can be converted to  $\mu_{\nu_{sa}} = 2.9 \cdot 10^{-21} \mu_B$ , much tighter because its much larger collecting volume.

# Applications IV: DM Scattering

