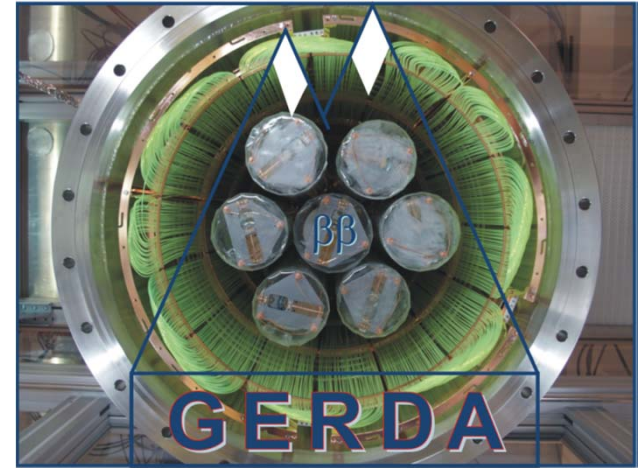


SEARCHING FOR THE NEUTRINOLESS DOUBLE BETA DECAY WITH GERDA



Luciano Pandola

INFN – Laboratori Nazionali del Sud, Catania

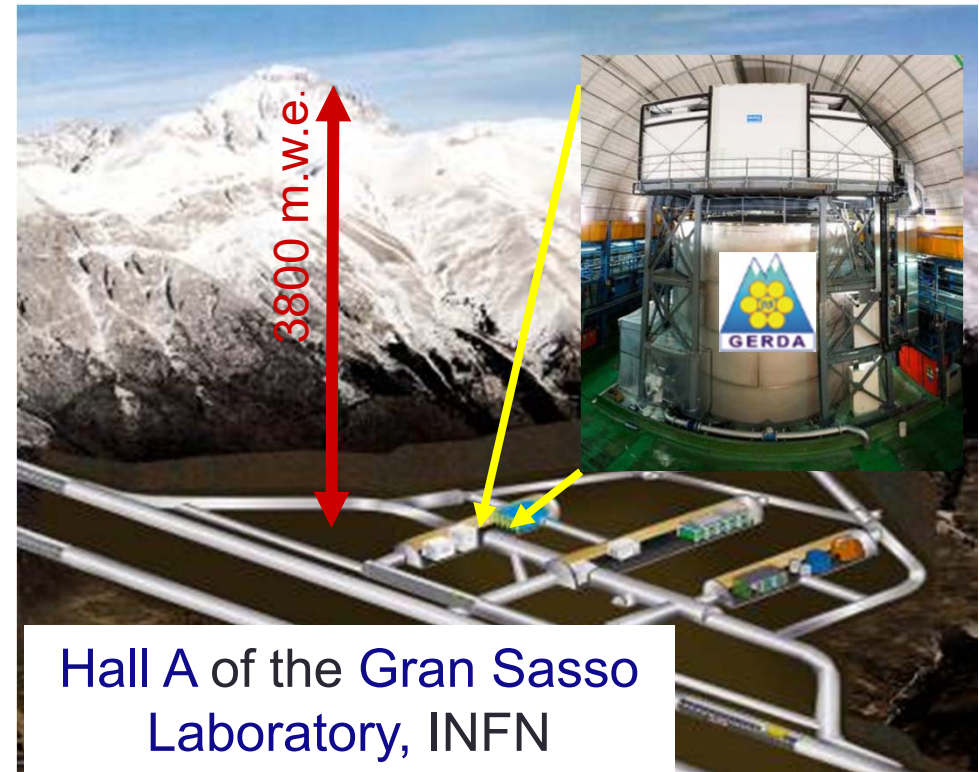
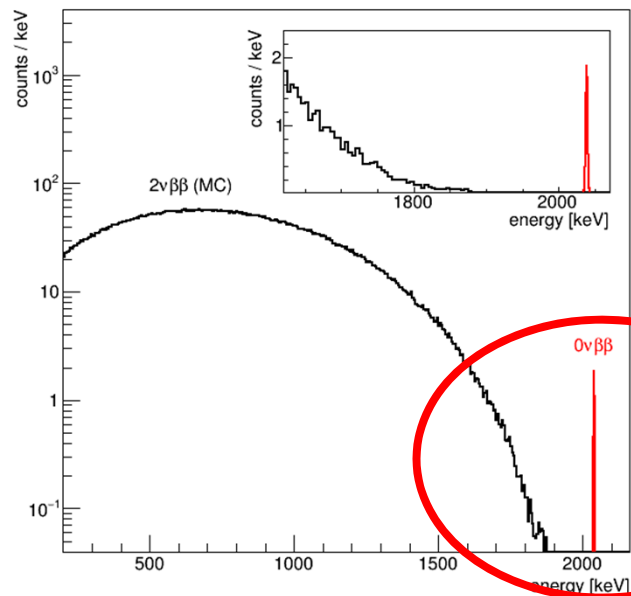


on behalf of the GERDA Collaboration



Looking for ^{76}Ge decay with GERDA

GERmanium Detector Array (INFN-LNGS, Italy) searches for $0\nu 2\beta$ decay in ^{76}Ge using **HPGe detectors enriched in ^{76}Ge**

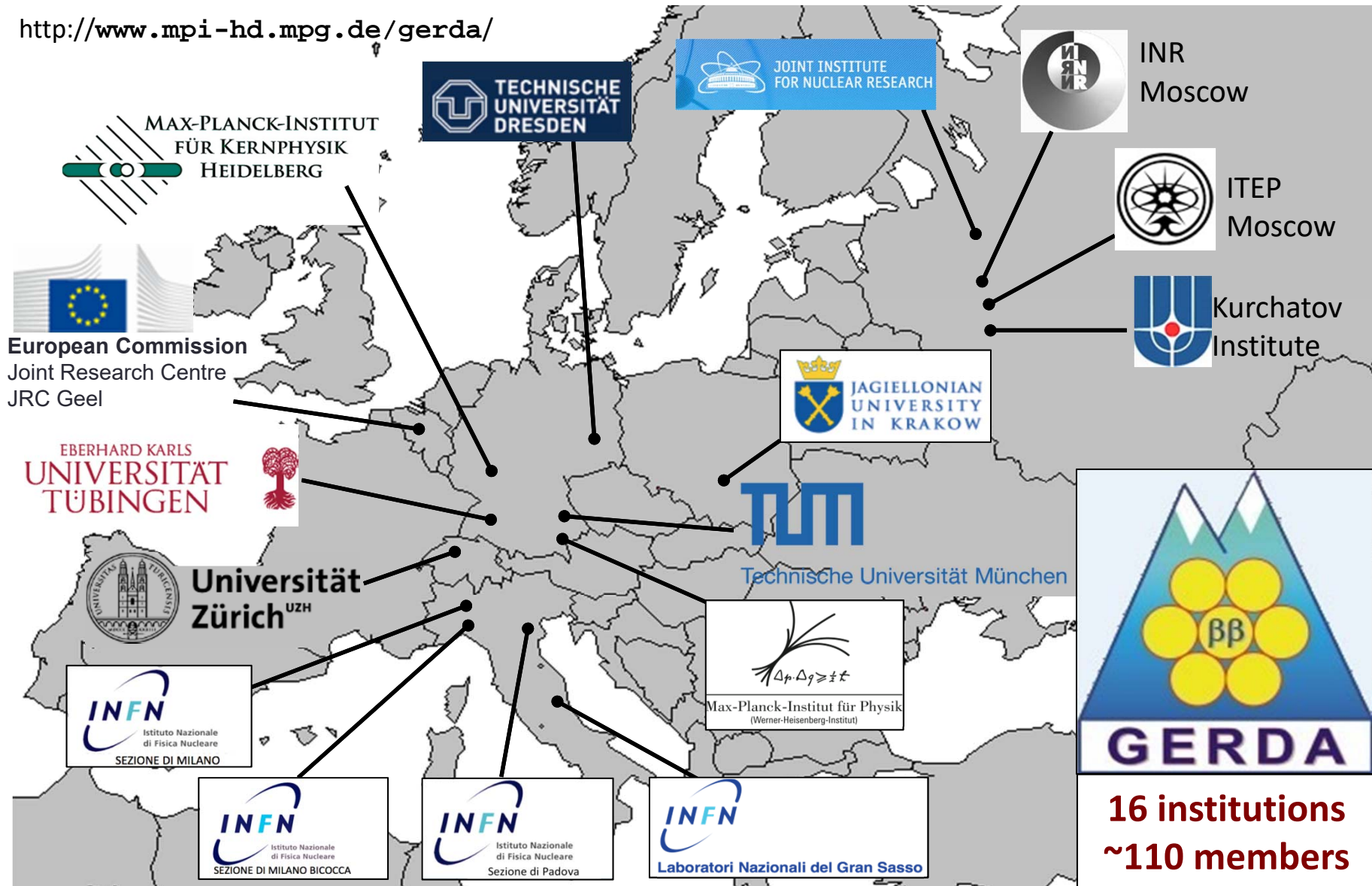


Hall A of the Gran Sasso Laboratory, INFN

$Q_{\beta\beta}$ -value = **2039 keV** in ^{76}Ge
 Energy resolution **<4 keV FWHM**
 → important for discovery

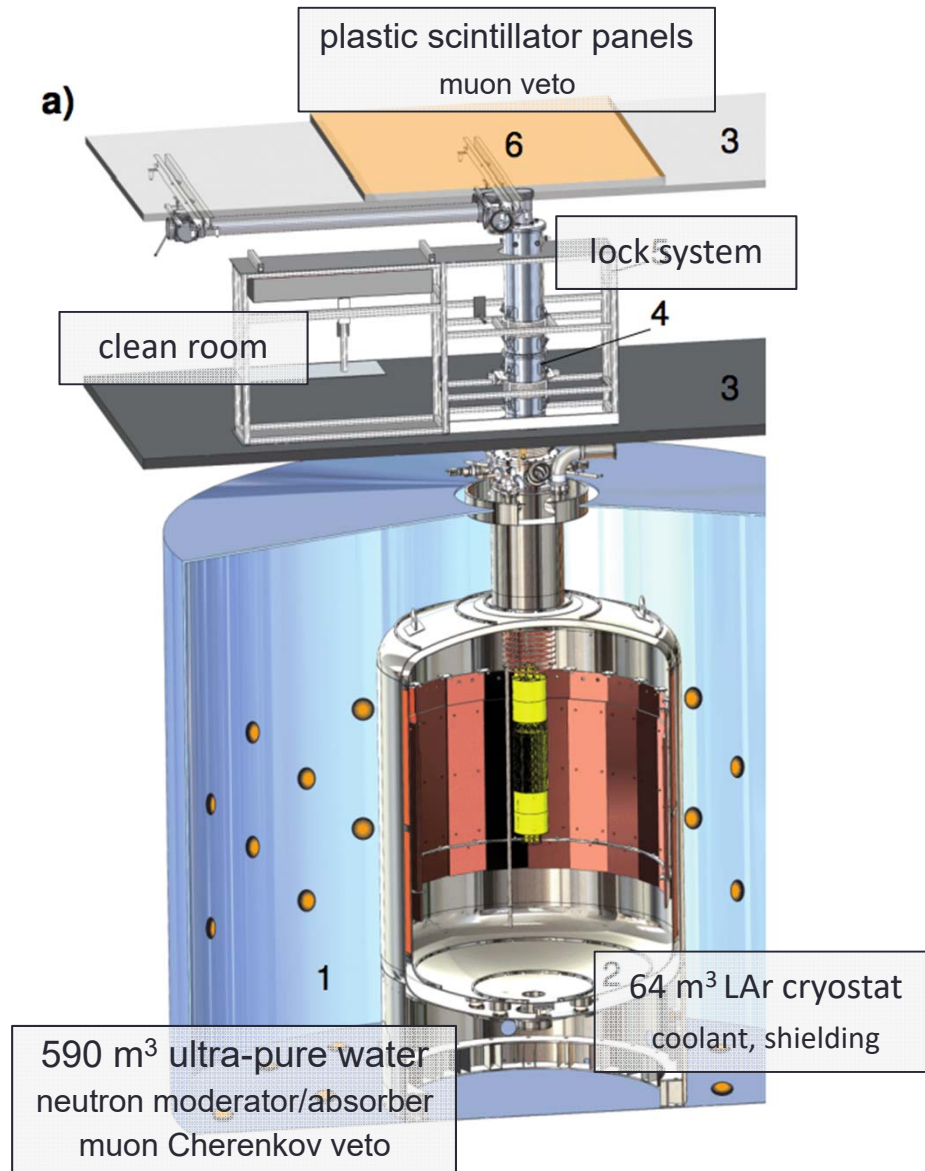
GERDA: the Collaboration

<http://www.mpi-hd.mpg.de/gerda/>

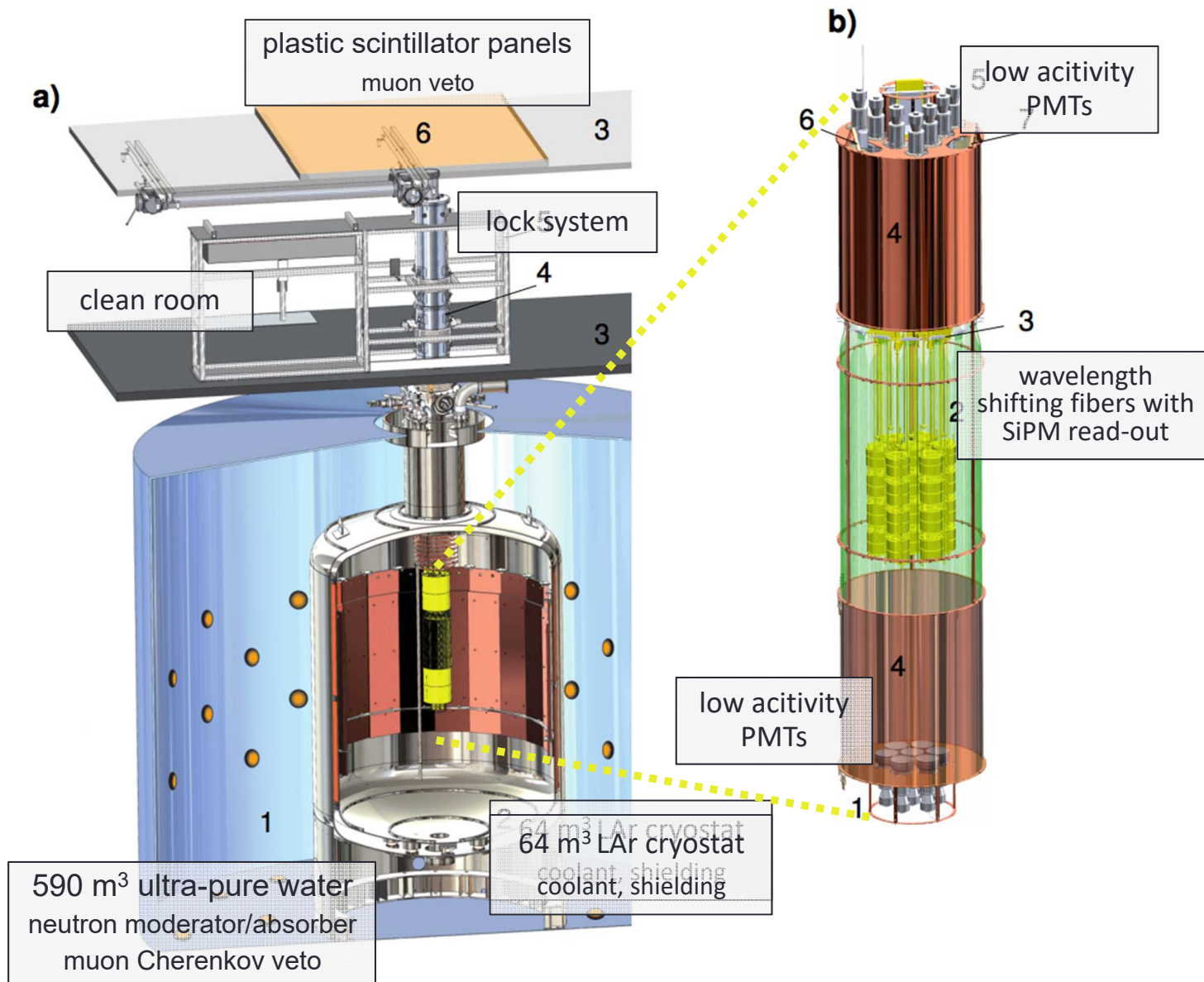


GERDA: the concept

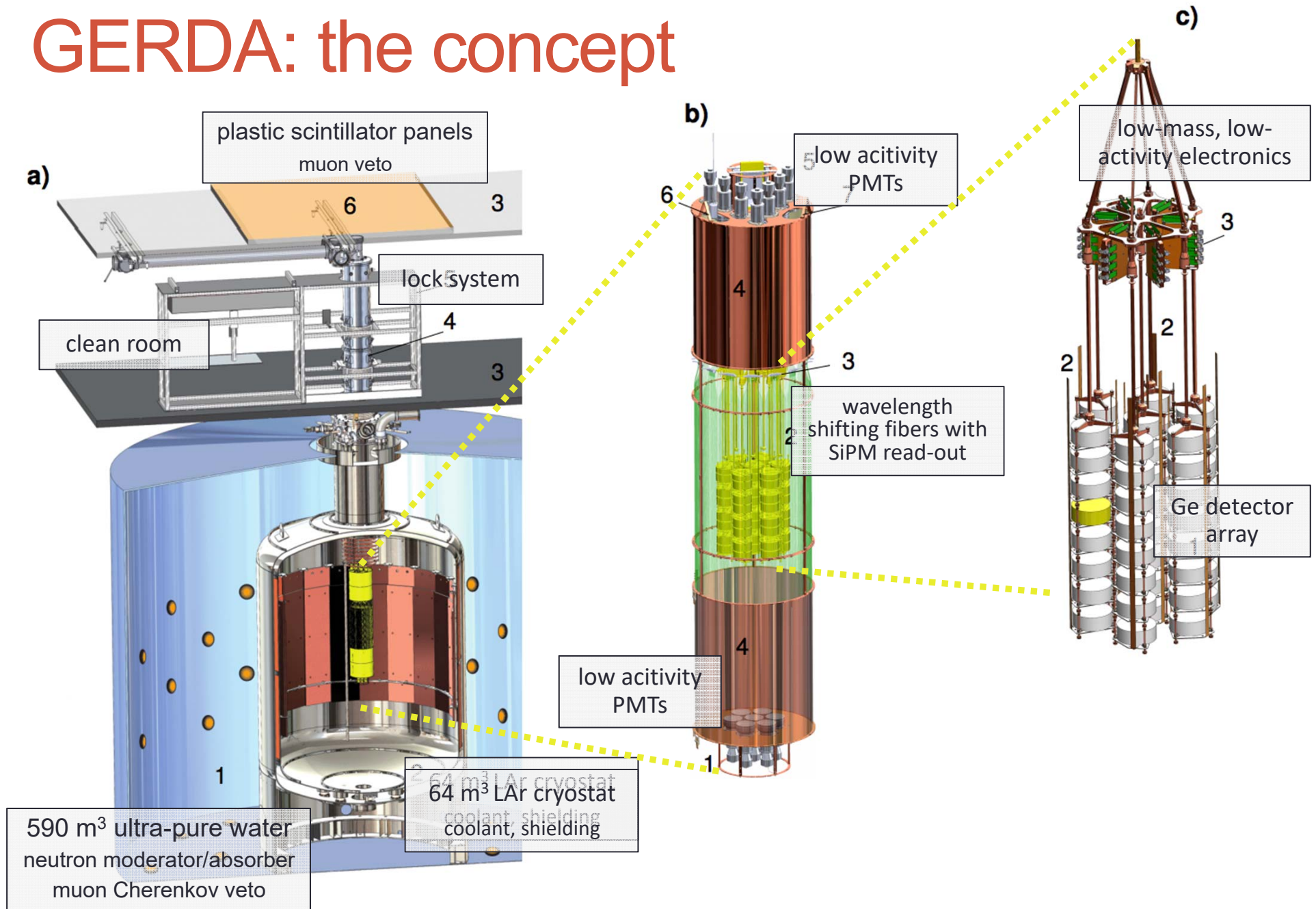
Eur. Phys. J. C 73 (2013) 2330
Nature 544 (2017) 47



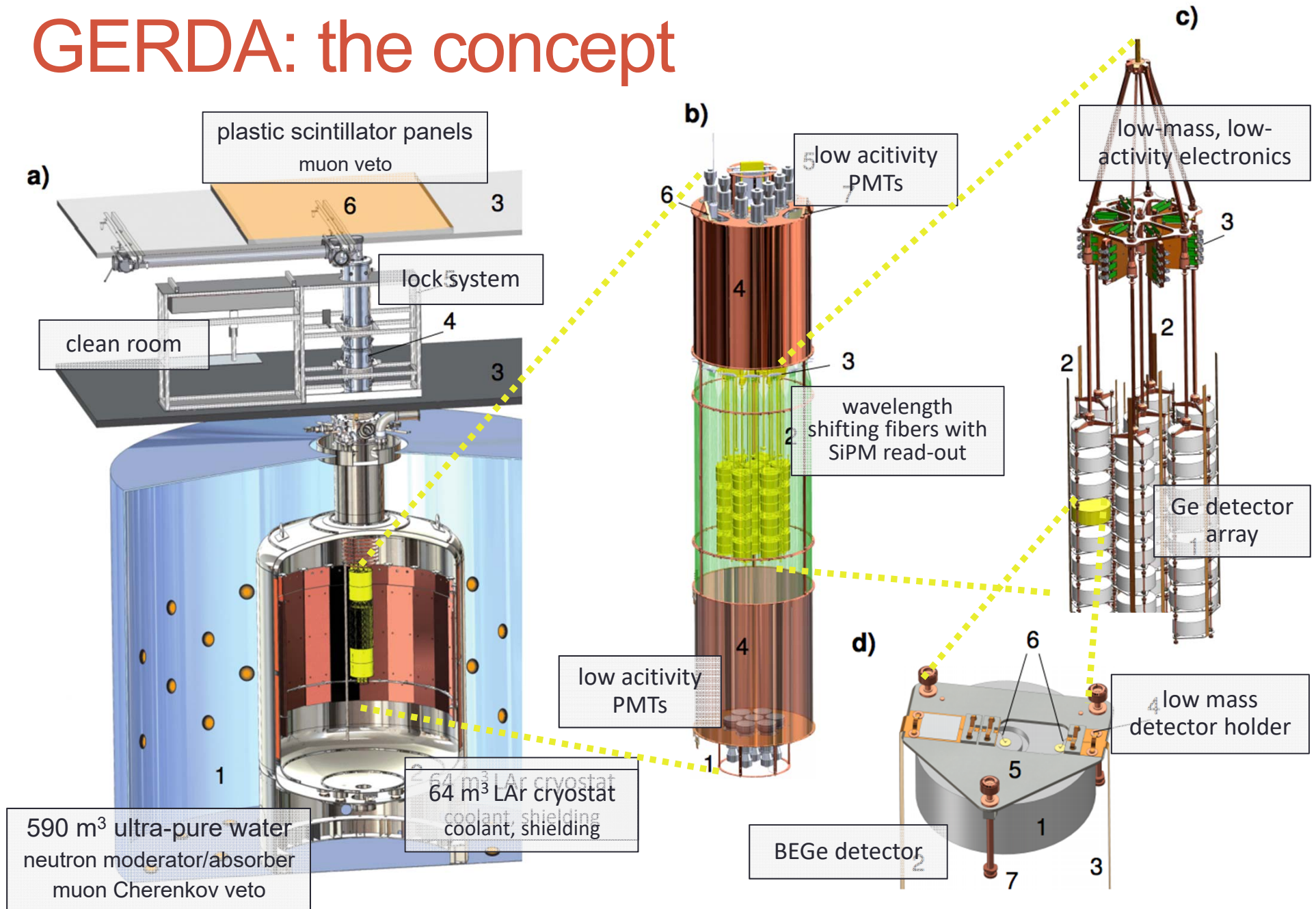
GERDA: the concept



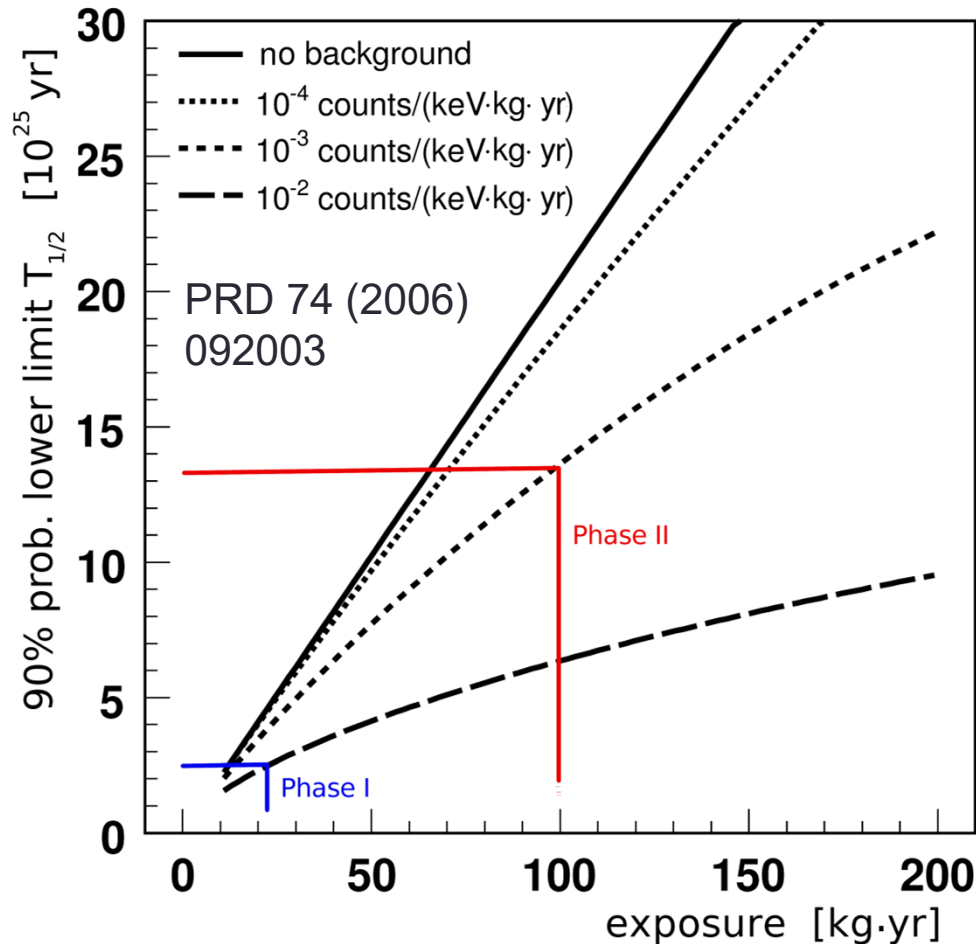
GERDA: the concept



GERDA: the concept



Strategy and phases



Phys. Rev. Lett. 111 (2013) 122503
Nature 544 (2017) 47

Phase I (Nov 2011- May 2013):

Completed

Use refurbished HdM and IGEX (18 kg) (+ new **BEGe** Phase II detectors)

$B \approx 0.01$ cts / (keV kg yr)

No LAr readout (passive shield)

Accumulated 21 kg yr

Phase II (Dec 2015- ongoing):

Add **new ^{enr}BEGe detectors** (20 kg)

$BI \approx 0.001$ cts / (keV kg yr)

Goal: **100 kg yr**

First data release on Jun 2016 (about **11 kg yr**)

Blind analysis strategy

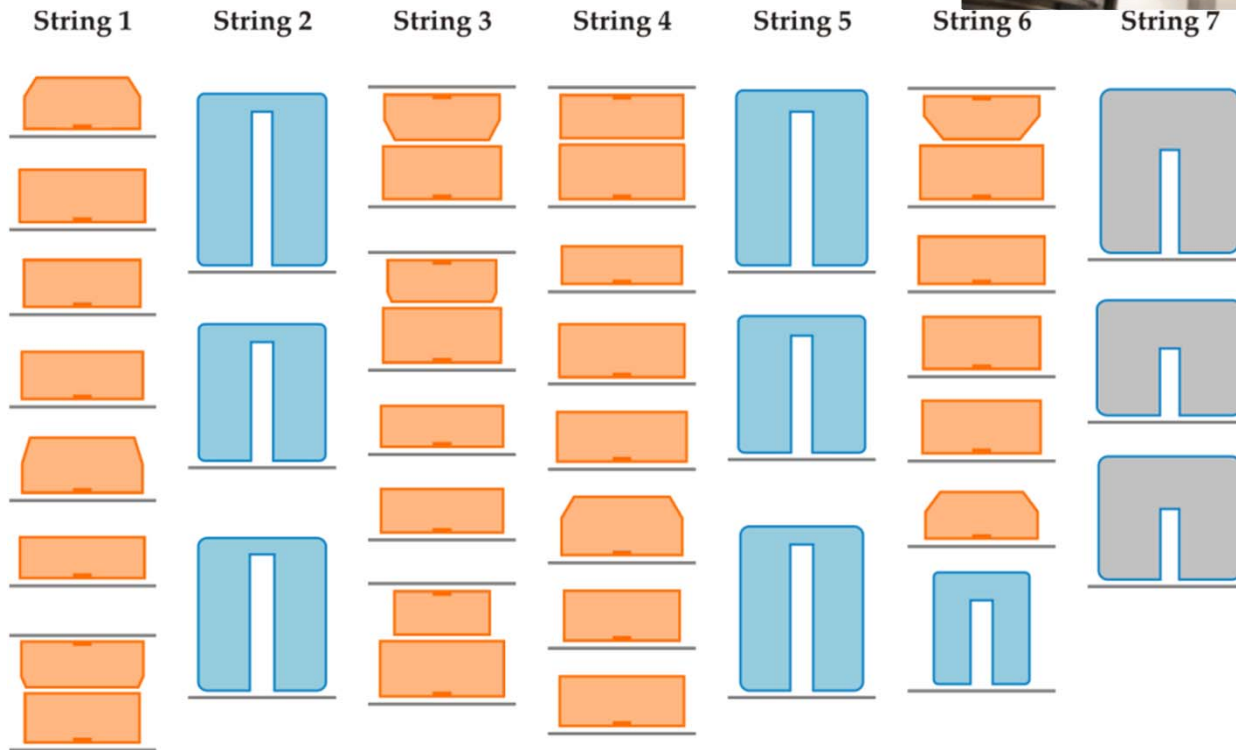
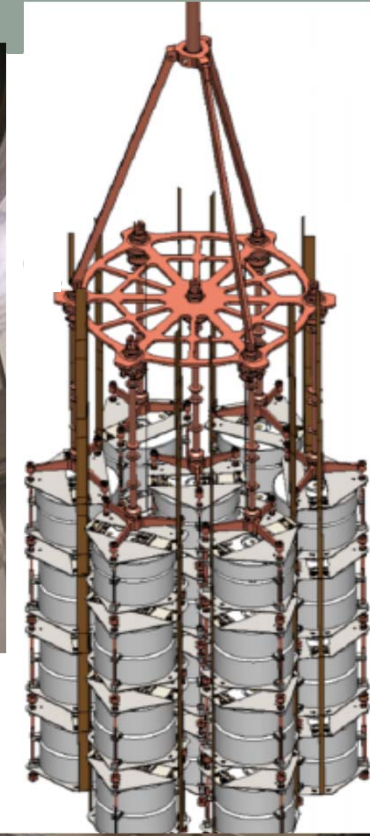
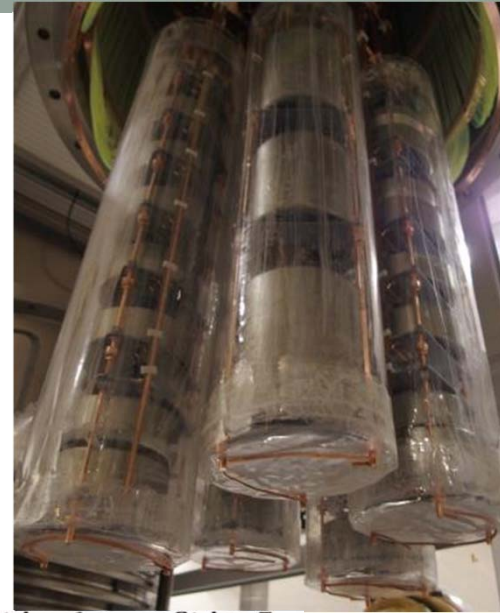
Events at $Q_{\beta\beta} \pm 25$ keV in the **blinding box**

Open box when all cuts **finalized**

Phase II Array

- Deployed in **December 2015**
- **40 channels**
 - 30 **enrBEGe** (20 kg)
 - 7 **enrCoax** (16 kg)
 - 3 **natCoax** (8 kg)

36 kg



Previous Phase II results

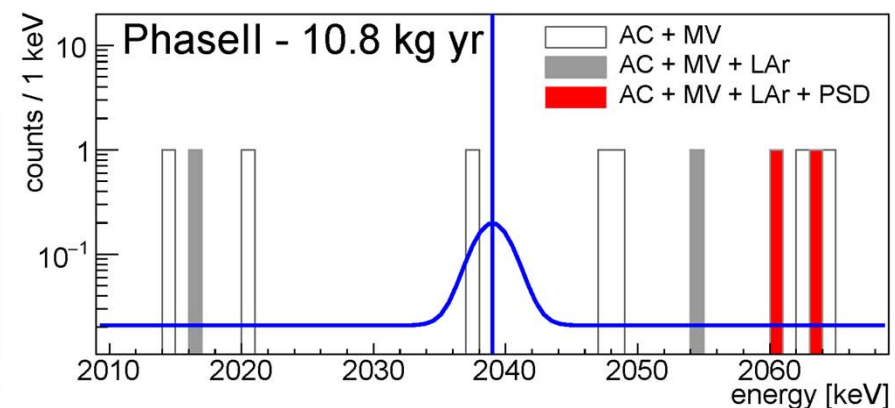
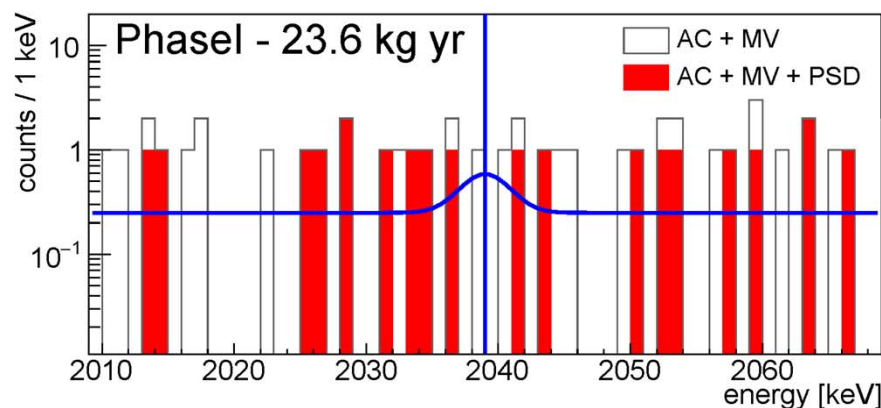
ARTICLE

doi:10.1038/nature21717

Background-free search for neutrinoless double- β decay of ^{76}Ge with GERDA

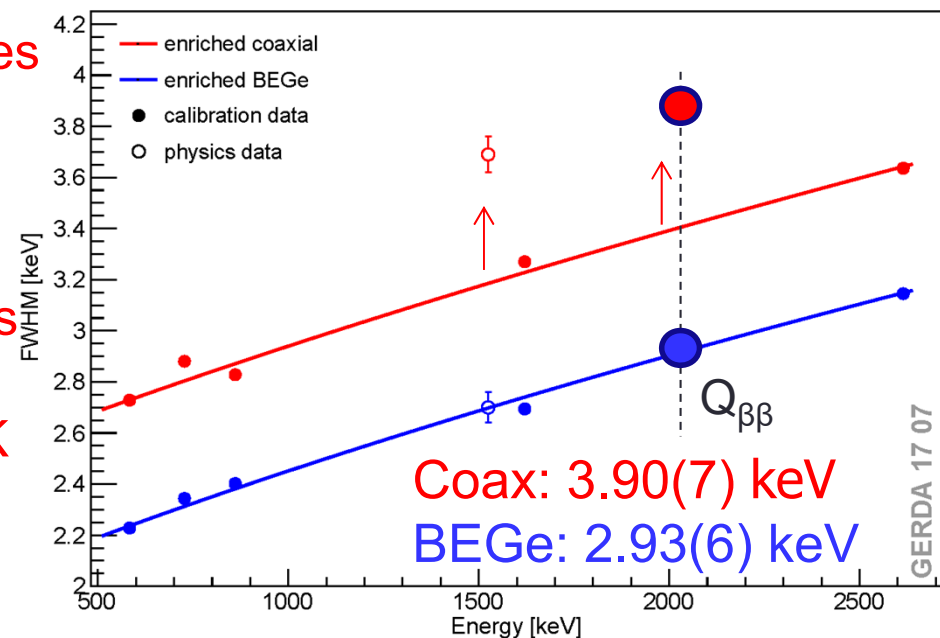
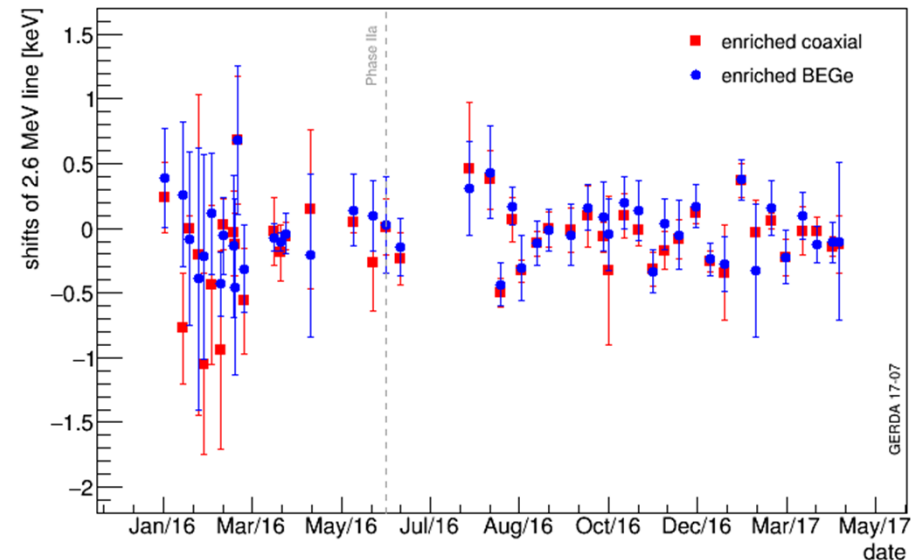
The GERDA Collaboration*

- New limit on ^{76}Ge $T_{1/2}$ (Phase I+II)
 - $T_{1/2} > 5.3 \cdot 10^{25}$ yr @ 90% CL (median sensitivity $4.0 \cdot 10^{25}$ yr)
- **Background < 1 cts** for the full **design exposure**
 - Coax: $3.5^{+2.1}_{-1.5} \cdot 10^{-3}$ cts/(keV·kg·yr), FWHM: 4.0(2) keV
 - BEGe: $7^{+11}_{-5} \cdot 10^{-4}$ cts/(keV·kg·yr), FWHM: 3.0(2) keV
- $\text{Bck}/\varepsilon = 3.5$ cts/(FWHM ton yr) [BEGe]



DAQ & energy resolution

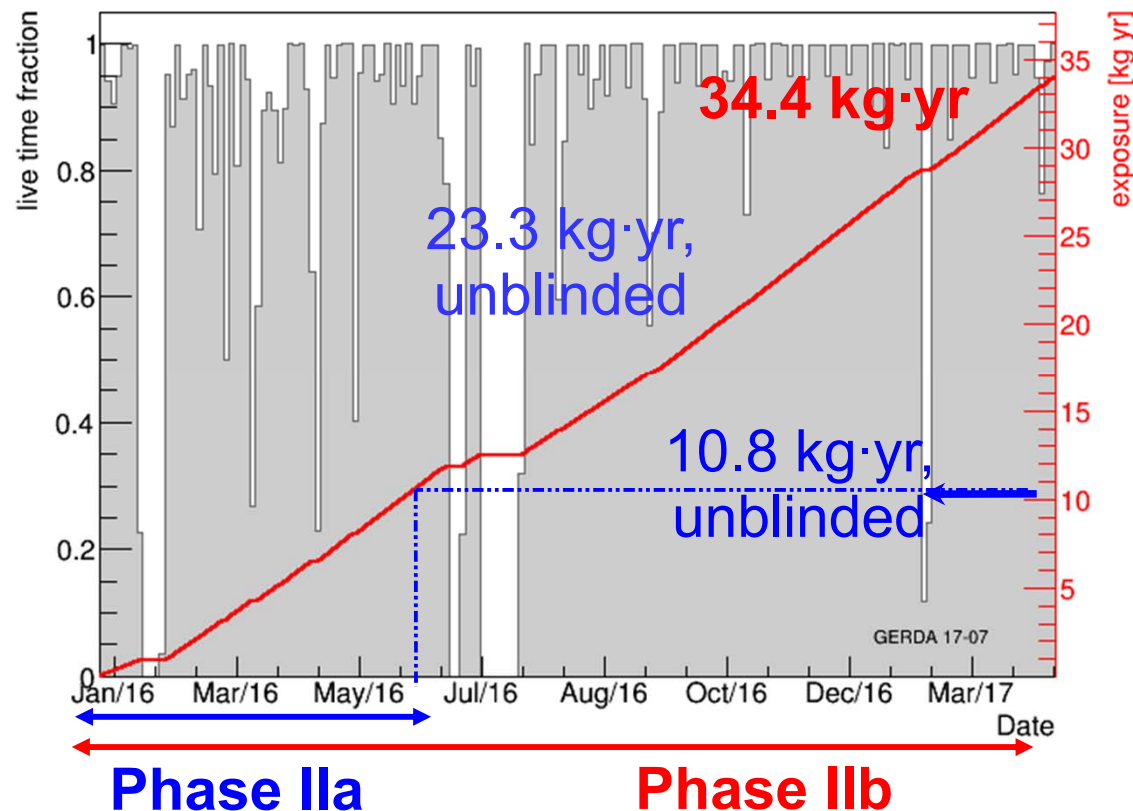
- DAQ facts:
 - 14 bit, **25 MHz** continuous running ADC (160 μ s)
 - Leading edge of the pulse sampled at **100 MHz** (10 μ s)
- Energy scale
 - **Offline**, using optimized **ZAC filter**
 - Eur. Phys. J. C 75 (2015) 255
 - Weekly calibrations with **^{228}Th sources**
 - Stability monitored online with **Test Pulses**, injected every 20 s
- Energy resolution
 - Profile derived from **^{228}Th calibrations**
 - **Correction** (for coax) applied derived from the resolution of the **^{40}K and ^{42}K peaks** in the physics data
 - Accounts for **instabilities** during the long-term data taking



Current data taking

Nature 544 (2017) 47

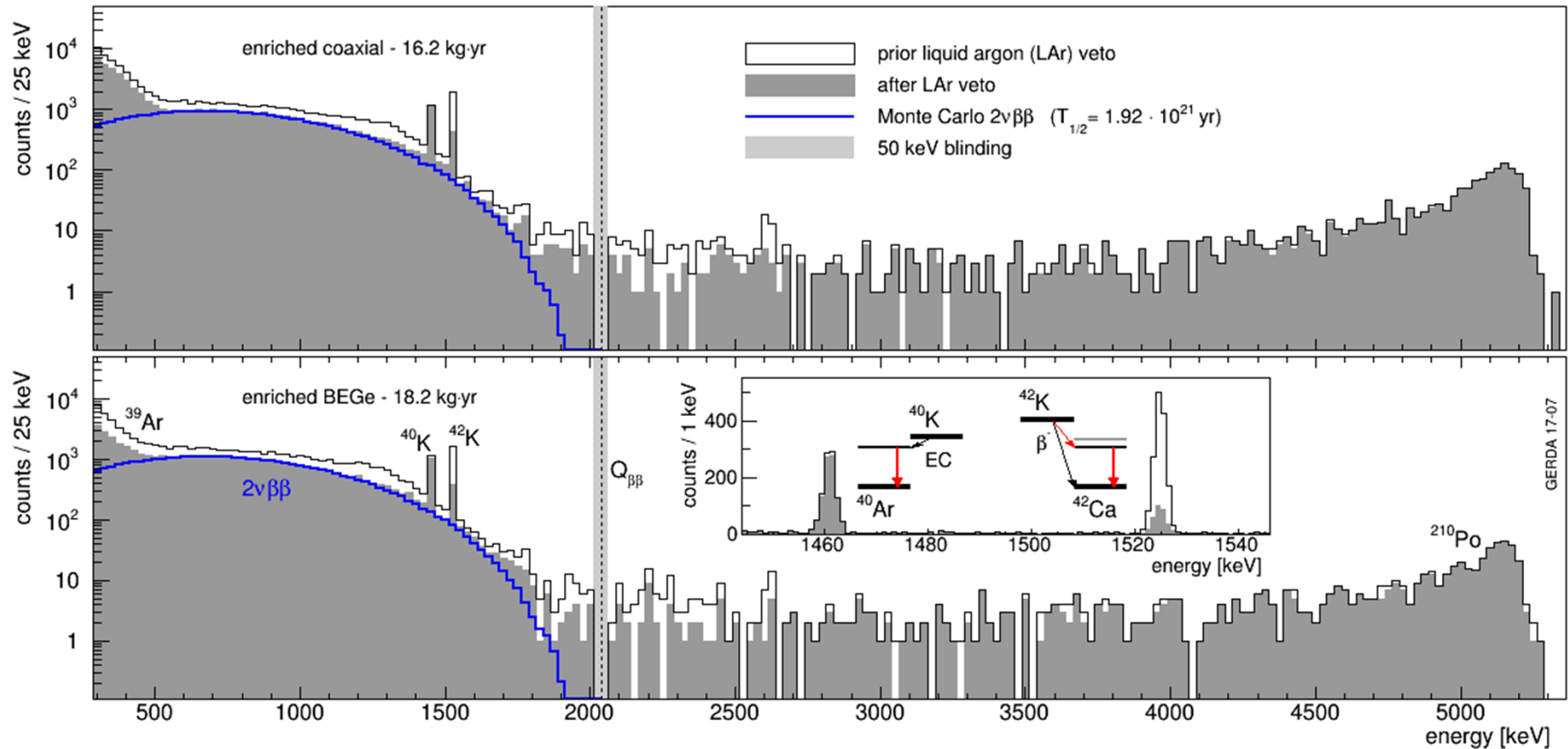
- Data taking **in progress!**
 - Phase II exposure increased by **x3** with respect to Nature paper (**Phasella**)
 - **Valid** exposure accumulated **34.4 kg·yr** up to Apr 15th (**Phasellb**)
 - 18.2 kg·yr of BEGe data and 16.2 kg·yr of ^{enr}Coax data
 - A **few more kg·yr** already in the bag (Apr-Jul)



June 2017 unblinding

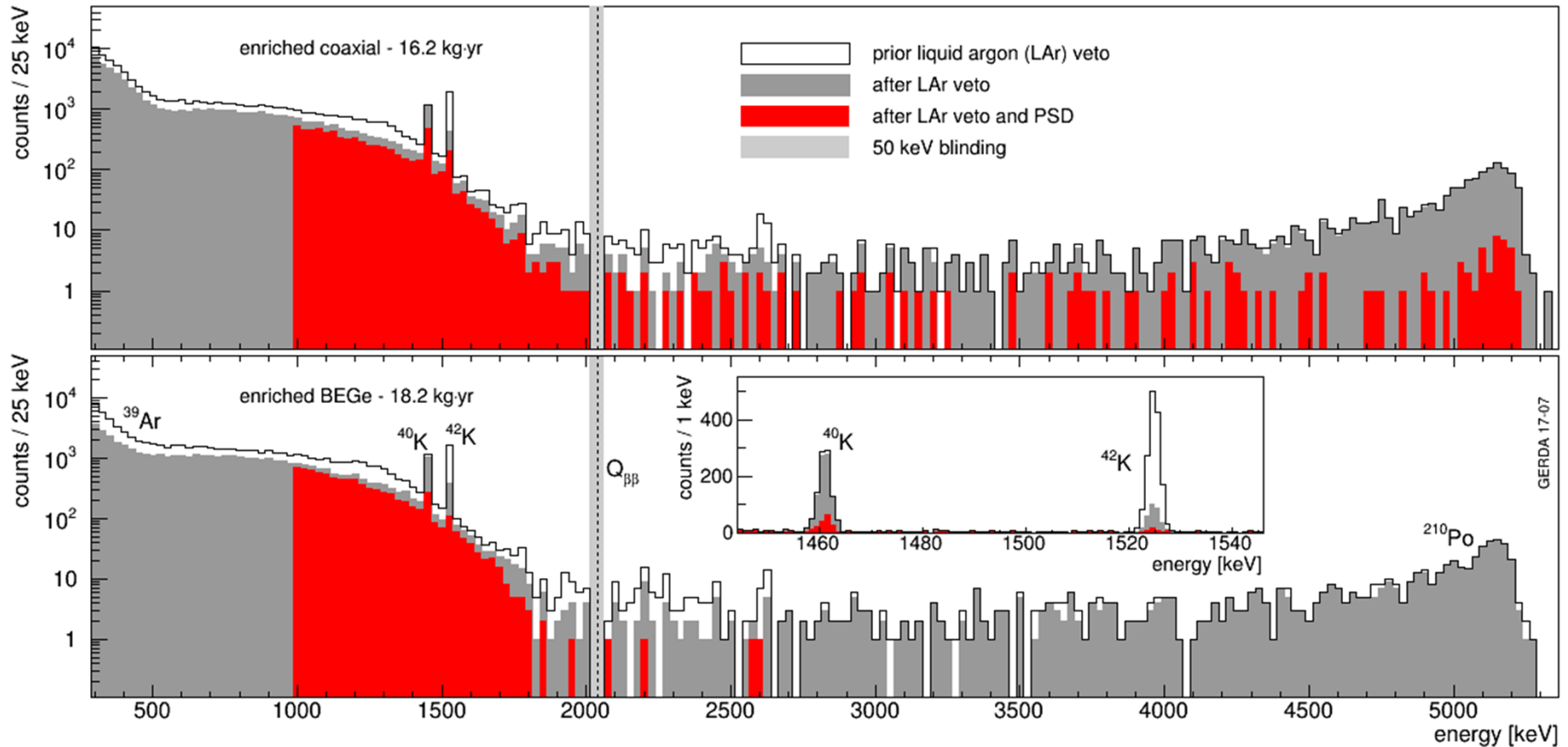
- Box opened for the **BEGe dataset only** (12.4 kg yr)
- New **^{enr}Coax data** (11.2 kg yr) still **in the box**
 - **Background** comparable (slightly better) to Phase IIa
 - Confident to **improve it further** by better rejection of α events from the **groove**
 - Rejection "*a posteriori*" would spoil the concept of blinding
- Total unblinded exposure: **23.3 kg yr**

GERDA spectra




- Most prominent features: ^{39}Ar β (< 500 keV), $2\nu\beta\beta$, ^{42}K and ^{40}K γ -rays, α

GERDA spectra

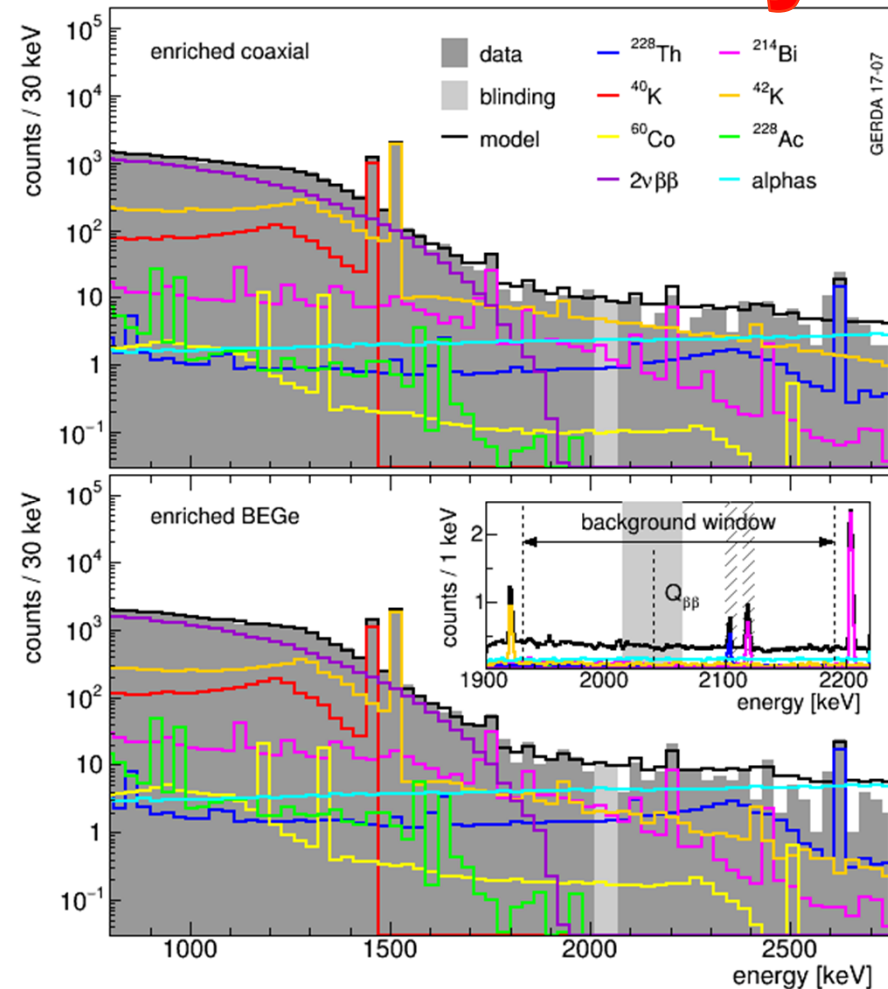


- Most prominent features: ^{39}Ar β (< 500 keV), $2\nu\beta\beta$, ^{42}K and ^{40}K γ -rays, α
- PSD **clears** completely the **α region**
- LAr and PSD **complementary**
- Final background at $Q_{\beta\beta}$ $O(10^{-3}$ cts/(keV kg yr))
 - PSD for coaxials to be **further optimized** (groove α) \rightarrow background will decrease

Background modeling

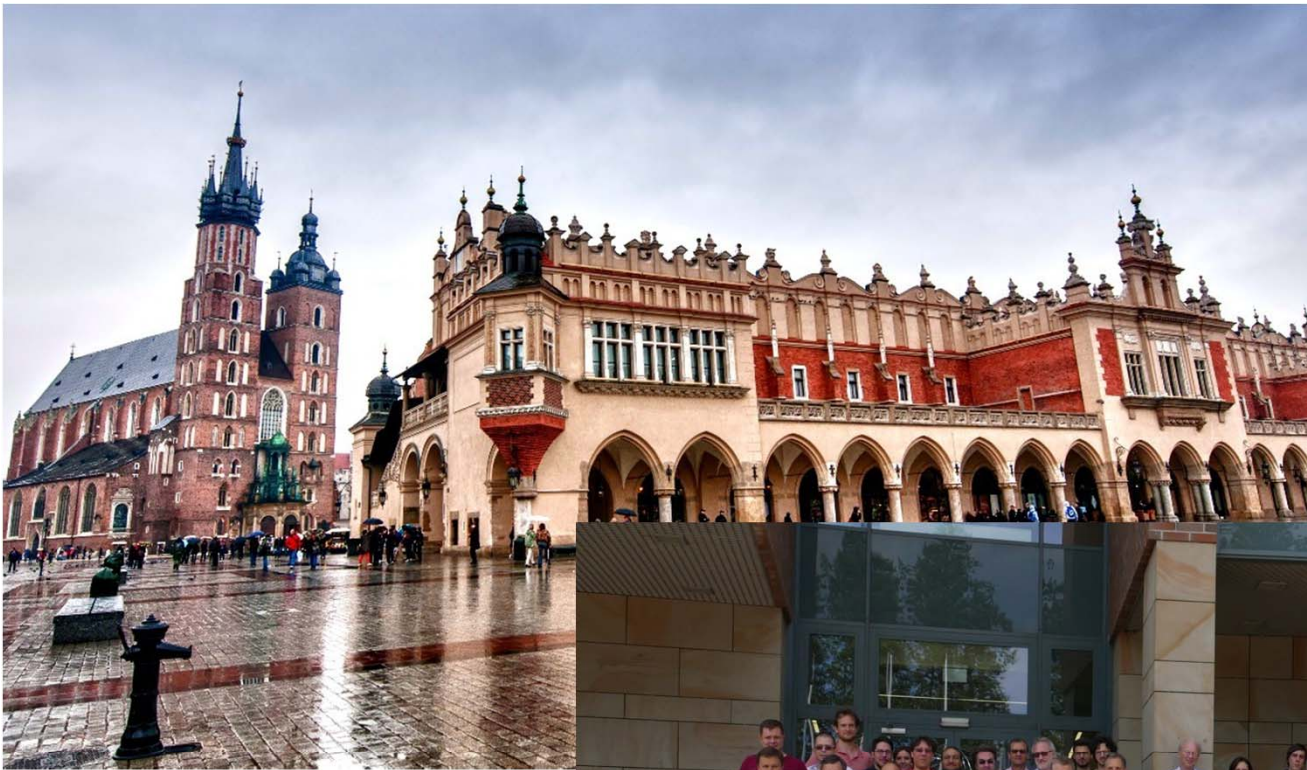
- Very **same approach** as in Phase I
 - EPJ. C 74 (2014) 2764
 - Mostly, same components considered
 - Also **same problem**: **poor statistics** makes difficult to disentangle components
 - Simultaneous fit of multiple data sets and external constraints
 - Screening results used as priors
- Consider the spectrum **before LAr** and **PSD** cuts
 - Work in progress to have a **full combined fit** including LAr, PSD and multi-detector events
 - PDFs being derived by MC
- Established **γ -lines** from ^{42}K , ^{40}K , Th chain (^{228}Ac , ^{208}Tl), U chain (^{214}Bi and ^{214}Pb), ^{85}Kr 

Preliminary



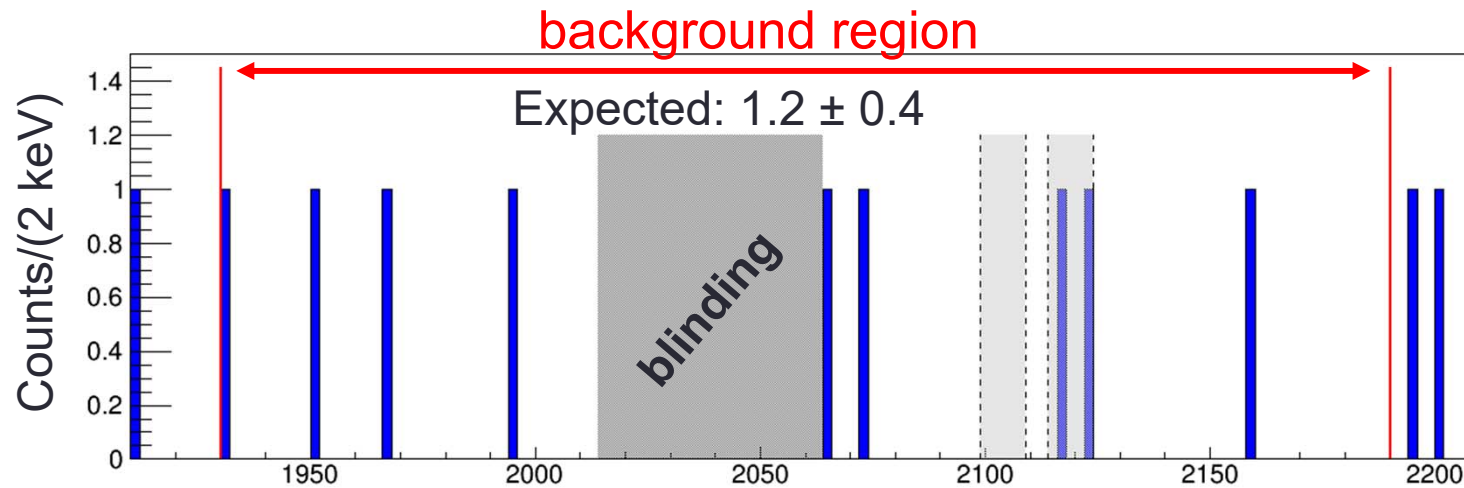
- Use the same **analysis window** as Phase I
 - 1930-2190 keV, excl. ± 5 keV around two known γ lines

GERDA Meeting in Cracow (Jun 28th-30th)

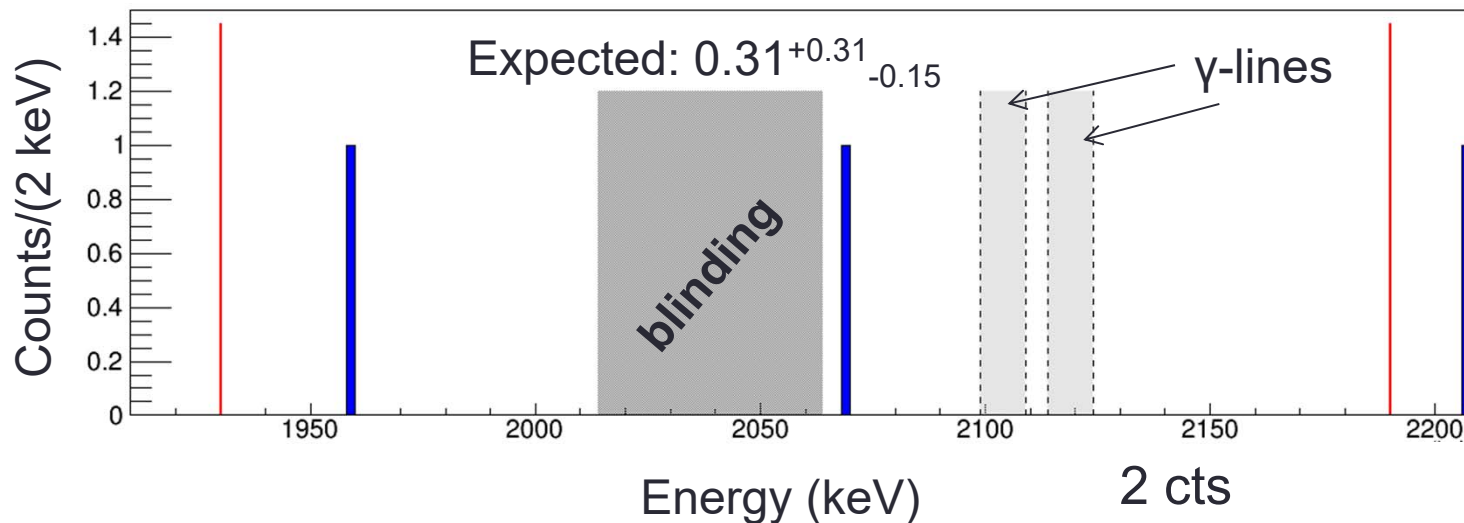


Spectra in the ROI

7 cts (+2 known in blinded box)
 $2.7^{+1.0}_{-0.8} 10^{-3}$ cts/(keV kg yr)



enrCoax
 16.2 kg·yr
 (all cuts)

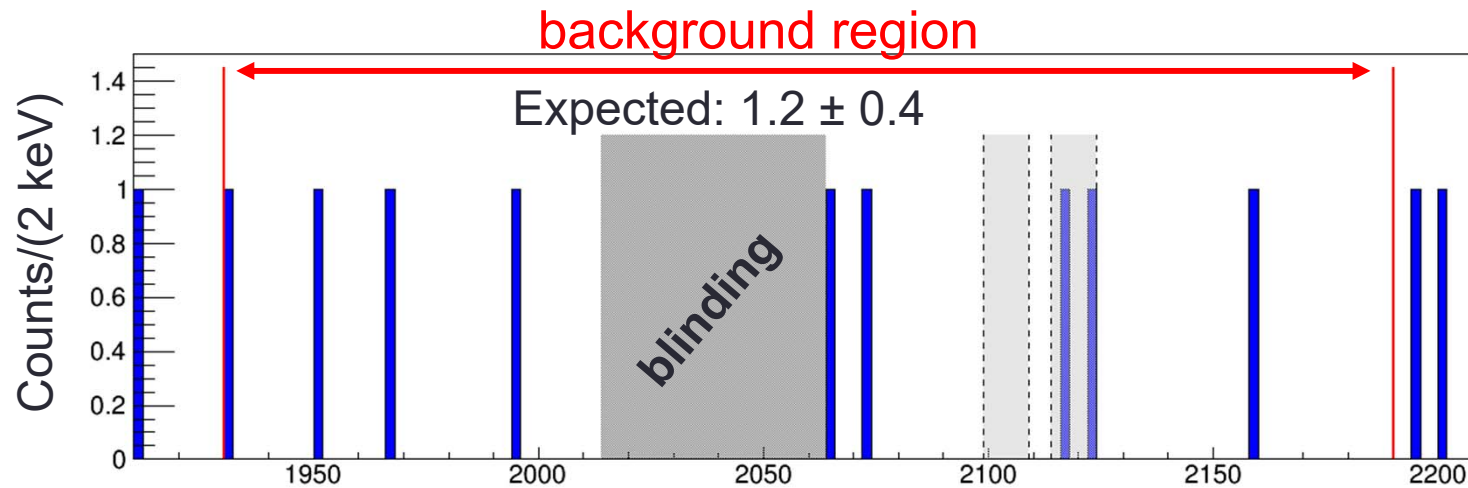


enrBEGe
 18.2 kg·yr
 (all cuts)

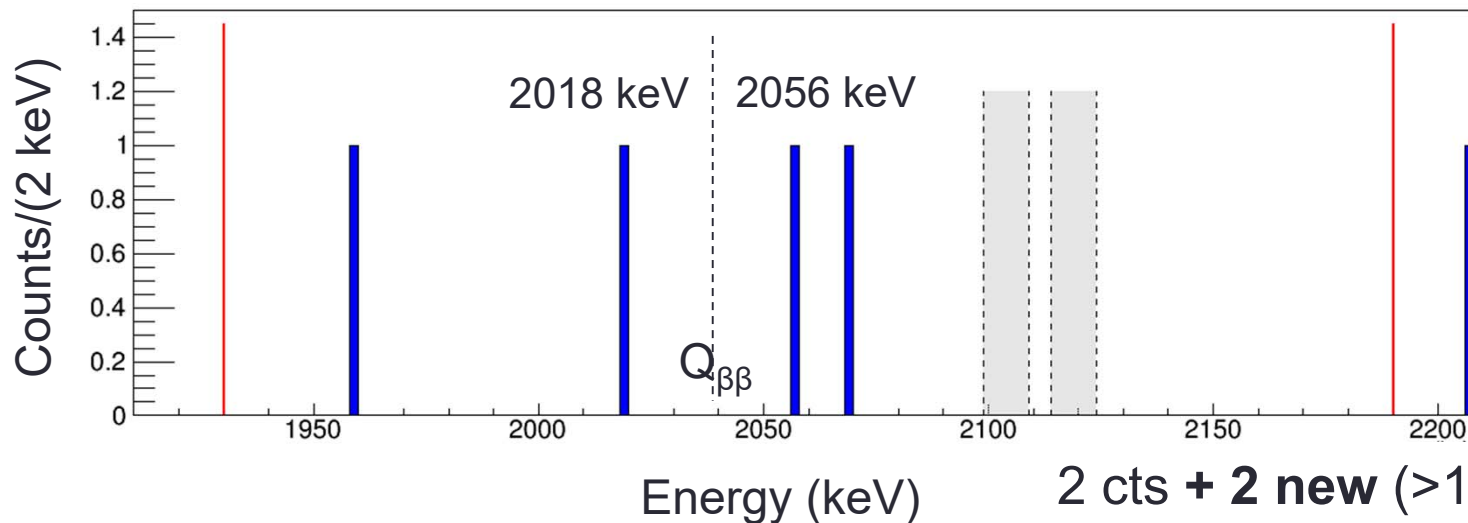
2 cts
 $0.5^{+0.5}_{-0.3} 10^{-3}$ cts/(keV kg yr)

Spectra in the ROI

7 cts (+2 known in blinded box)
 $2.7^{+1.0}_{-0.8} 10^{-3}$ cts/(keV kg yr)



$^{enr}\text{Coax}$
 16.2 kg·yr
 (all cuts)
Previously unblinded:
5.0 kg yr



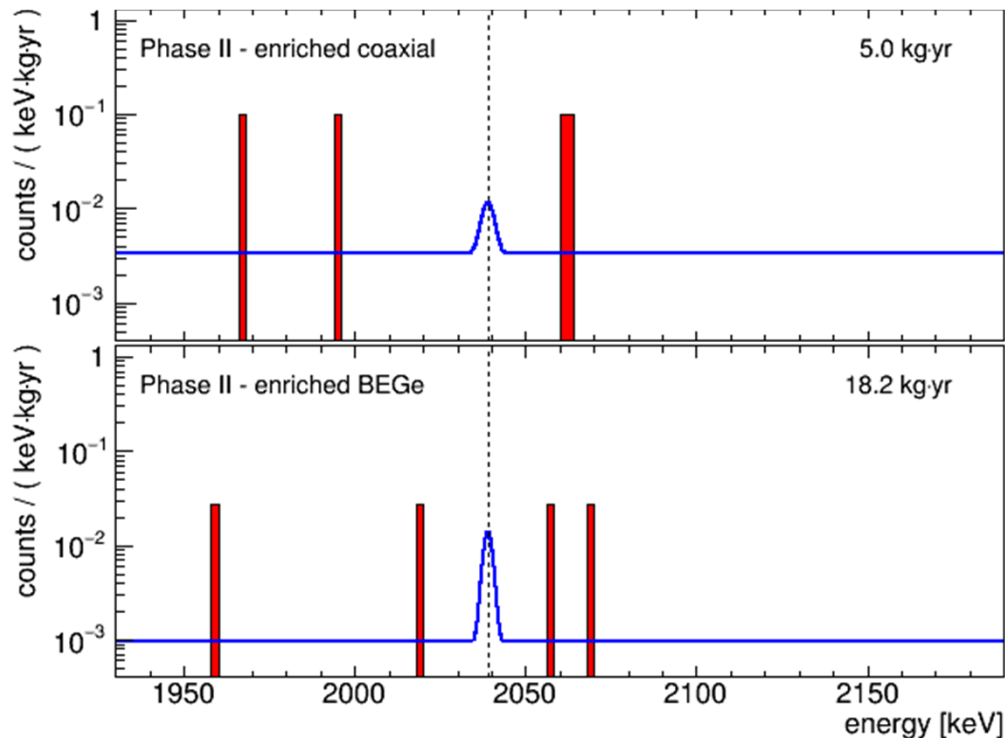
2 cts + 2 new ($>10\sigma$ from $Q_{\beta\beta}$)
 $1.0^{+0.6}_{-0.4} 10^{-3}$ cts/(keV kg yr)

$^{enr}\text{BEGe}$
 18.2 kg·yr
 (all cuts)

Statistical analysis

	Exposure (kg·yr)	
Phase I (4 sets)	23.5	Same as Nature 544 (2017) 47
Phase II – coax	5.0	
Phase II – BeGe	5.8+12.4 = 18.2	

46.7 kg·yr



- Combined unbinned **maximum likelihood fit** of the six spectra

- **Frequentist:** test statistics and method after **Cowan et al.**, EPJC 71 (2011) 1554
- **Bayesian:** **flat prior** on $1/T_{1/2}$ between 0 and 10^{-24} yr^{-1}
- **Systematic** uncertainties folded as **pull terms** or by **Monte Carlo**

- Frequentist:

Best fit: $N^{0\nu} = 0$

$T_{1/2} > 8.0 \cdot 10^{25} \text{ yr @ 90\% CL}$

It was $5.3 \cdot 10^{25} \text{ yr}$ in Phasella

MC Median sensitivity (no signal):

$5.8 \cdot 10^{25} \text{ yr}$ (for 90% C.L.)

30% chance to have a better limit

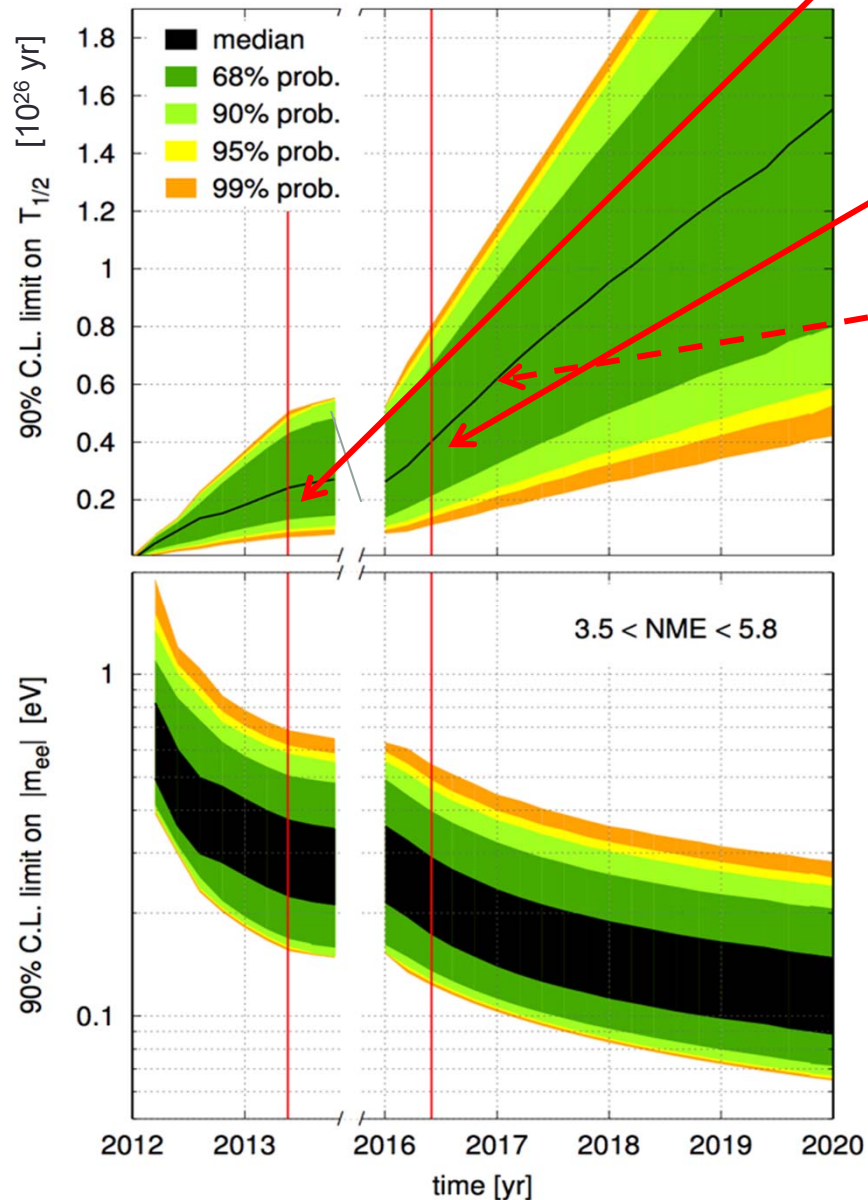
- Bayesian:

$T_{1/2} > 5.1 \cdot 10^{25} \text{ yr @ 90\% CI}$

Median sensitivity $4.5 \cdot 10^{25} \text{ yr}$

Preliminary

Next steps



- **Phase I** (23.5 kg yr)
 - Sensitivity: $2.4 \cdot 10^{25}$ yr
 - Limit: $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$ yr (90%CL)
- **Phase IIa** (PhI + 10.8 kg yr)
 - Sensitivity: $4.0 \cdot 10^{25}$ yr
 - Limit: $T_{1/2}^{0\nu} > 5.3 \cdot 10^{25}$ yr (90%CL)
- **This release** (PhIIa + 12.4 kg yr)
 - Sensitivity: $5.8 \cdot 10^{25}$ yr
 - Limit: $T_{1/2}^{0\nu} > 8.0 \cdot 10^{25}$ yr (90%CL)
- **Already in the bag:**
 - **11.2 kg yr** of **validated $^{enr}\text{Coax}$ data**
 - Median sensitivity $\rightarrow 7.1 \cdot 10^{25}$ yr
 - ~ 4 kg yr taken after Apr 15th
- **Break 10^{26} yr wall (sensitivity) in mid-2018**
- Design exposure **100 kg yr**
 - **Background-free**
 - Final sensitivity **$1.3 \cdot 10^{26}$ yr** (for **limit**) or $\sim 8 \cdot 10^{25}$ yr (50% for **3σ discovery**)

Conclusions

- **GERDA Phase II** taking data since 1.5 years
 - **Valid exposure** of **34 kg·yr** accumulated (analysis cutoff: Apr 15th)
 - **A few more kg yr** available in the recent runs
- Very good **background level** at $Q_{\beta\beta}$ confirmed
 - $2.7^{+1.0}_{-0.8}$ (^{enr}Coax) and $1.0^{+0.6}_{-0.4}$ (^{enr}BEGe) [10^{-3} cts/(keV kg yr)]
 - Will allow to achieve **O(< 1 count) in the ROI** for the full design exposure
- **Lowest background** (~10x) in ROI wrt other isotopes
- Unblinding of **12.4 kg·yr** of best-quality data
 - **$T_{1/2} > 8.0 \cdot 10^{25}$ yr @ 90% CL** ($m_{\beta\beta} < 0.12\text{-}0.27$ eV)
 - Median sensitivity: **$5.8 \cdot 10^{25}$ yr** (~ KamLAND-Zen 2016)
 - 11.2 kg yr of valid ^{enr}Coax data *still blinded*
- For full **100 kg·yr exposure**: **sensitivity to a signal** up to $T_{1/2} \sim 8 \cdot 10^{25}$ yr (or **limit** $T_{1/2} > 1.3 \cdot 10^{26}$ yr at 90%CL)

Preliminary