

BSM Neutrino Physics in Weak Nuclear Decay

Kyle Leach

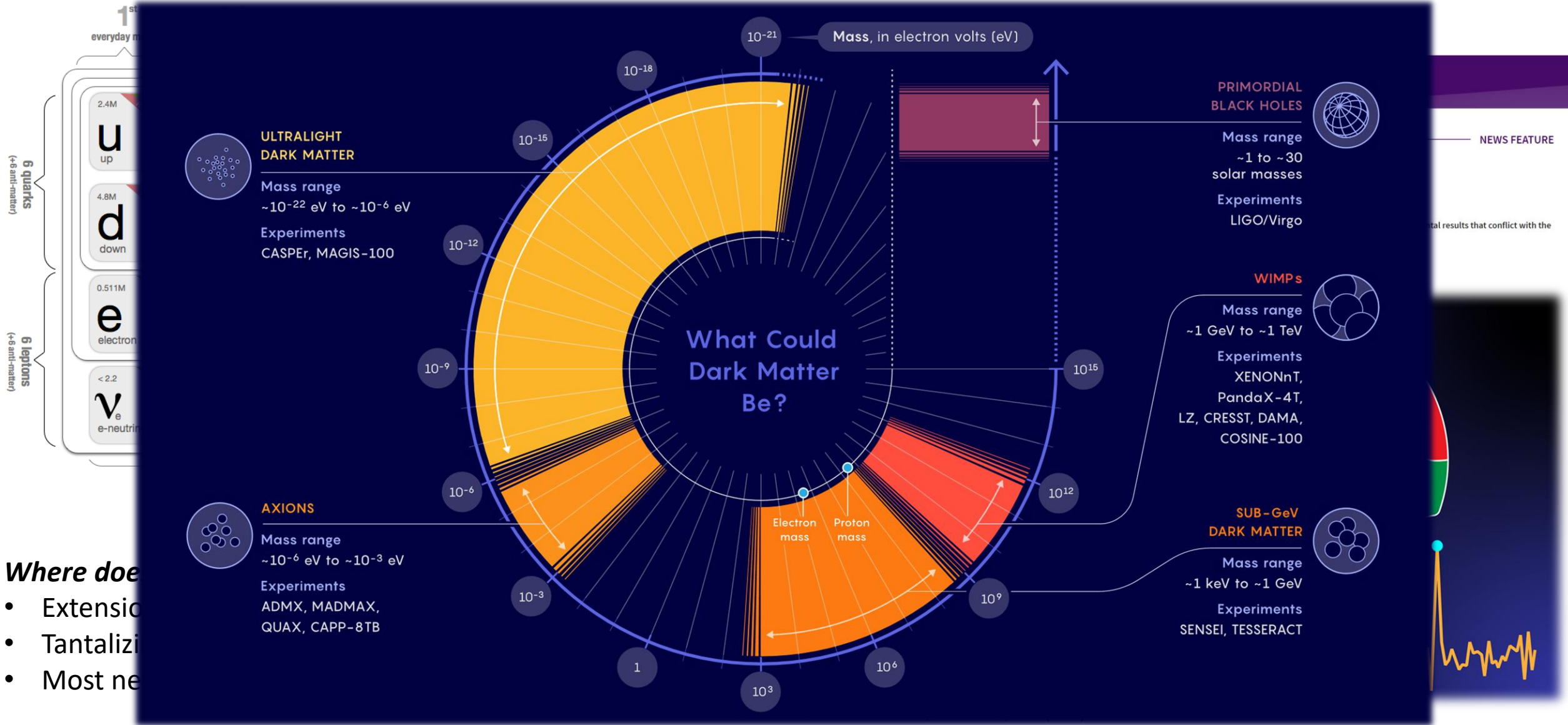
Department of Physics | Quantum Engineering | Nuclear Engineering

Colorado School of Mines

2022 CAP Congress - Hamilton, ON

June 8, 2022

New Physics: What is it and Where do we Search?



Where does it live?

- Extension of the Standard Model
- Tantalizing hints from various experiments
- Most new physics



The Nobel Prize in Physics 2015

The Royal Swedish Academy of Sciences has decided to award the Nobel Prize in Physics for 2015 to

Takaaki Kajita
Super-Kamiokande Collaboration
University of Tokyo, Kashiwa, Japan

Arthur B. McDonald
Sudbury Neutrino Observatory Collaboration
Queen's University, Kingston, Canada



VOLUME 81, NUMBER 8 PHYSICAL REVIEW LETTERS 24 AUGUST 1998

Evidence for Oscillation of Atmospheric Neutrinos

VOLUME 87, NUMBER 7 PHYSICAL REVIEW LETTERS 13 AUGUST 2001

Measurement of the Rate of $\nu_e + d \rightarrow p + p + e^-$ Interactions Produced by ^8B Solar Neutrinos at the Sudbury Neutrino Observatory

The Standard Model

Masses are VERY Light

The Dark Matter Problem

Non-Zero Neutrino Masses

Hints of Sterile Flavours

Baryon Asymmetry of the Universe

Putting the Puzzle Together

First Search for the Majorana Nature of Neutrinos in the Inverted Mass Ordering Region with KamLAND-Zen

2203.02139

$$m_{\beta\beta} < 35\text{-}156 \text{ meV}$$

PHYSICAL REVIEW D **101**, 083504 (2020)

$$\sum m_\nu < 160 \text{ meV}^{**}$$

Cosmological parameters and neutrino masses from the final *Planck* and full-shape BOSS data

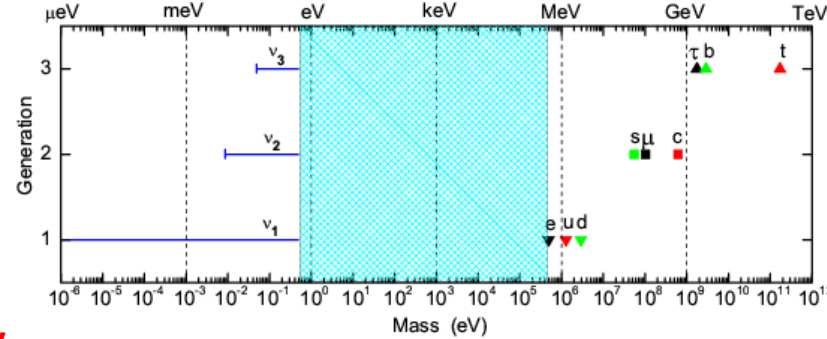
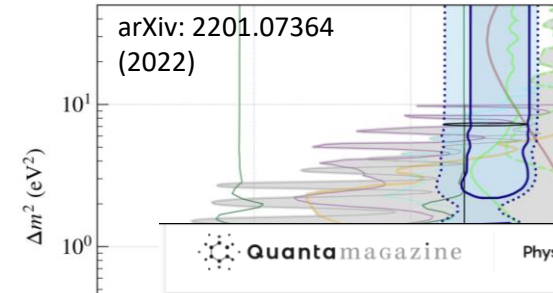
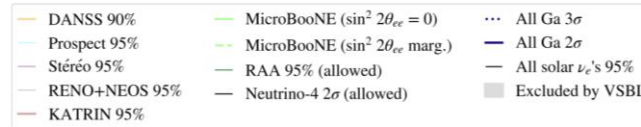
naturephysics

Direct neutrino-mass measurement with sub-electronvolt sensitivity

The KATRIN Collaboration

Nature Physics **18**, 160–166 (2022) | Cite this article

$$m_{\nu e} < 800 \text{ meV}$$



ELECTRON NEUTRINO	MUON NEUTRINO	TAU NEUTRINO	STERILE NEUTRINO
ν_e	ν_μ	ν_τ	ν_s
MASS	< 1 electronvolt	> 1 electronvolt	
FORCES THEY RESPOND TO	Weak force Gravity		Gravity
DIRECTION OF SPIN	All three "left handed"		"Right handed"

PARTICLE PHYSICS

Is the Great Neutrino Puzzle Pointing to Multiple Missing Particles?

21

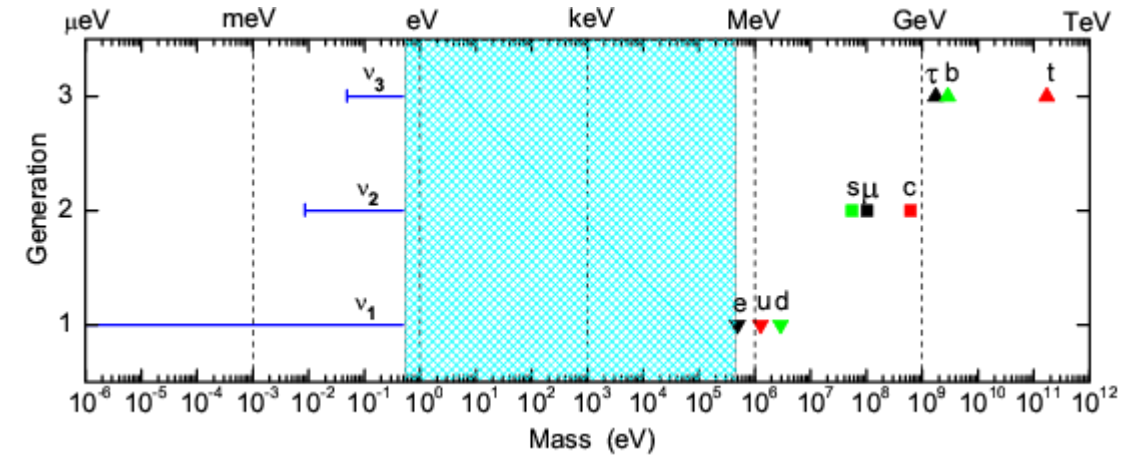
Years of conflicting neutrino measurements have led physicists to propose a "dark sector" of invisible particles — one that could simultaneously explain dark matter, the puzzling expansion of the universe, and other mysteries.

Open Questions in the Neutrino Sector

- Why are these masses so small relative to the other Fermions?
- What is the mass hierarchy?
- How many mass states are there?
- What is the absolute mass scale?
- Do they violate CP symmetry?
- Are they Dirac or Majorana Fermions?
- Are there additional “sterile” neutrino flavours?

As a community, we attack these questions using a wide variety of probes:

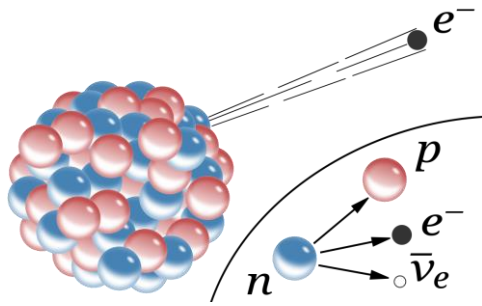
- Cosmological observations
 - Accelerators
 - Direct detection
- **Precision beta decay**



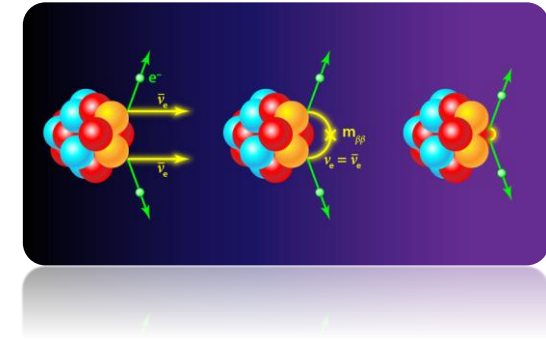
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FORCES THEY RESPOND TO	Weak force Gravity			Gravity
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Need: Model Independent and *Definitive* Experiments

β /EC decay



$\beta\beta$ decay



β Decay

- $T_{1/2}$ from a few ms to $\geq 10^{15}$ y
- Observed in > 1000 different nuclei from n to $A \geq 250$

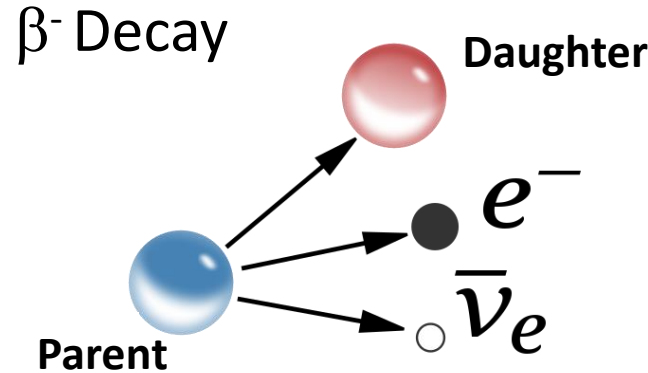
$\beta\beta$ Decay

- $T_{1/2} \sim 10^{19-24}$ y
- Observed in only 11 nuclei from ^{48}Ca to ^{238}U

- Energy and momentum conservation
- Model independent search for ANY new physics that couples to the neutrino mass

- Direct observation of “neutrinoless” mode
- Any observation of $0\nu\beta\beta$ is a smoking gun signature of BSM physics (ie. Majorana)

The Model Independent Nature of Beta Decay



- Decay momentum reconstruction is a simple, model-independent approach to neutrino mass (heavy and light)

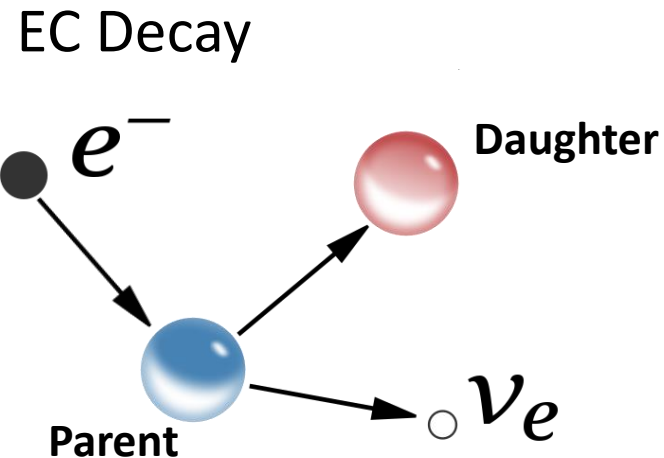
R. Davis, Phys. Rev. **86**, 976 (1952)

R. Shrock, Phys. Lett. B **96**, 159 (1980)

G. Finocchiaro and R.E. Shrock, Phys. Rev. D **46**, R888(R) (1992)

M.M. Hindi *et al.*, Phys. Rev. C **58**, 2512 (1998)

- If *any* new physics couples to the neutrino mass, energies of the other particles in the decay will be altered and can be observed



β decay provides a sensitive, model independent probe of any new physics in the neutrino sector that couples to their mass states

Absolute Neutrino Mass Scale via β Endpoint Measurements

Neutrinos are a Hot Topic!

NEUTRINO 2022
XXX International Conference on Neutrino Physics and Astrophysics
Virtual Seoul May 30 (Mon) - June 4 (Sat), 2022

The 50th Anniversary

Topics

- Neutrino Oscillation • Leptonic CP Violation • Neutrino Mass • Neutrinoless Double Beta Decay
- Neutrino Interactions • Reactor Neutrinos • Accelerator Neutrinos • Geo Neutrinos • Atmospheric Neutrinos
- Solar Neutrinos • Diffuse Supernova Neutrino Background • Astrophysical Neutrinos • Neutrinos and Cosmology
- Sterile Neutrinos • BSM Searches in Neutrinos • New Neutrino Technologies • Other Interesting Neutrino Physics

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NuMass 2022 - Determination of the absolute electron (anti)-neutrino mass

Monday, June 6, 2022 - Friday, June 10, 2022

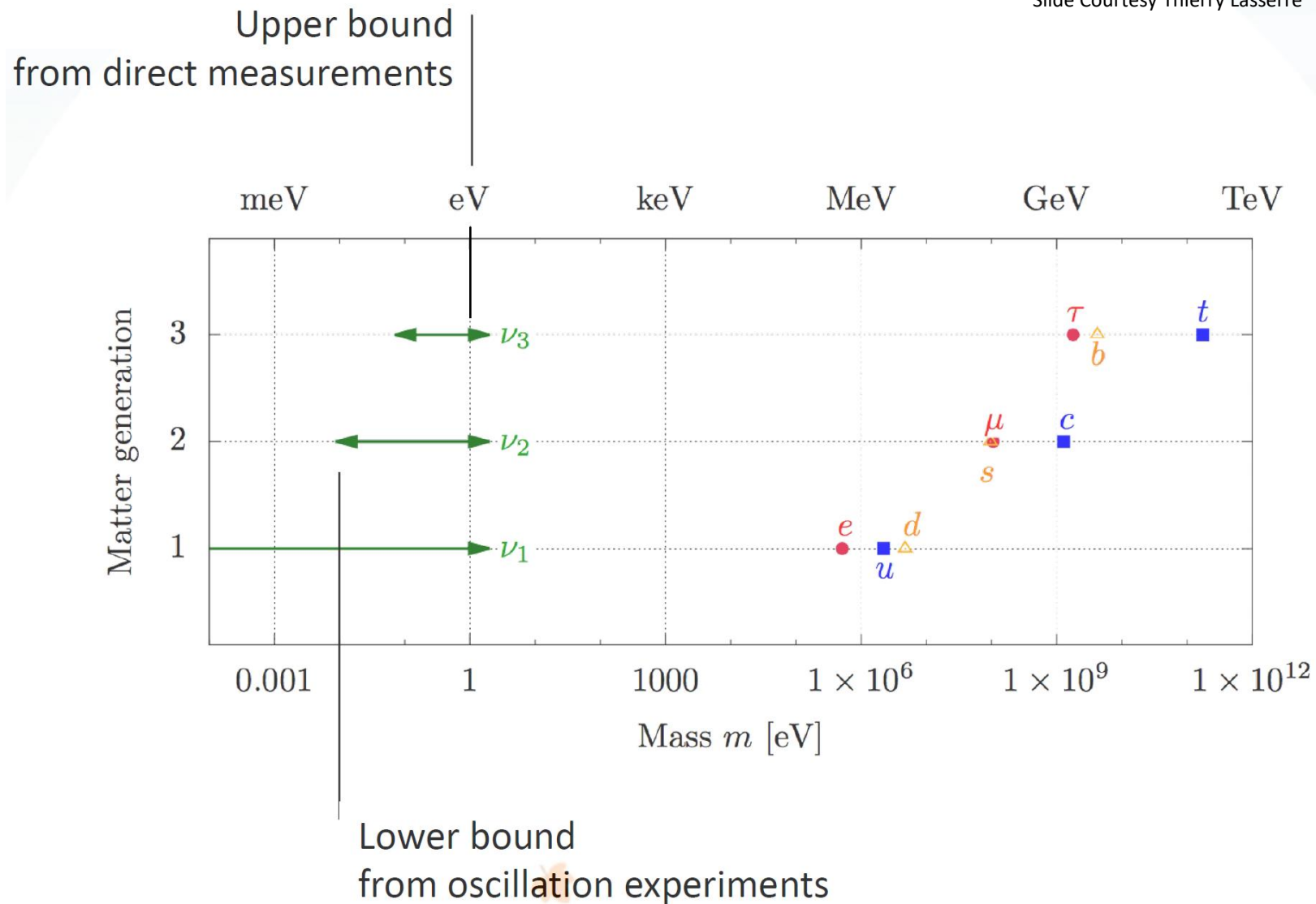
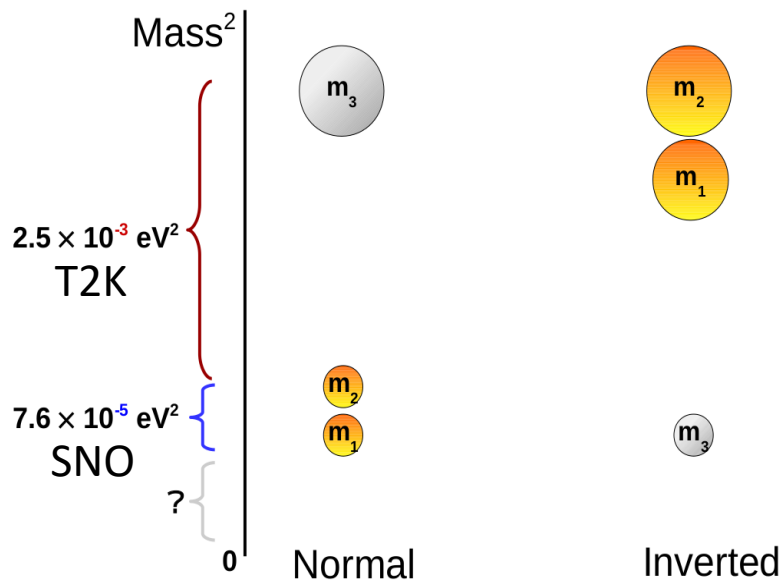
Università degli Studi di Milano-Bicocca



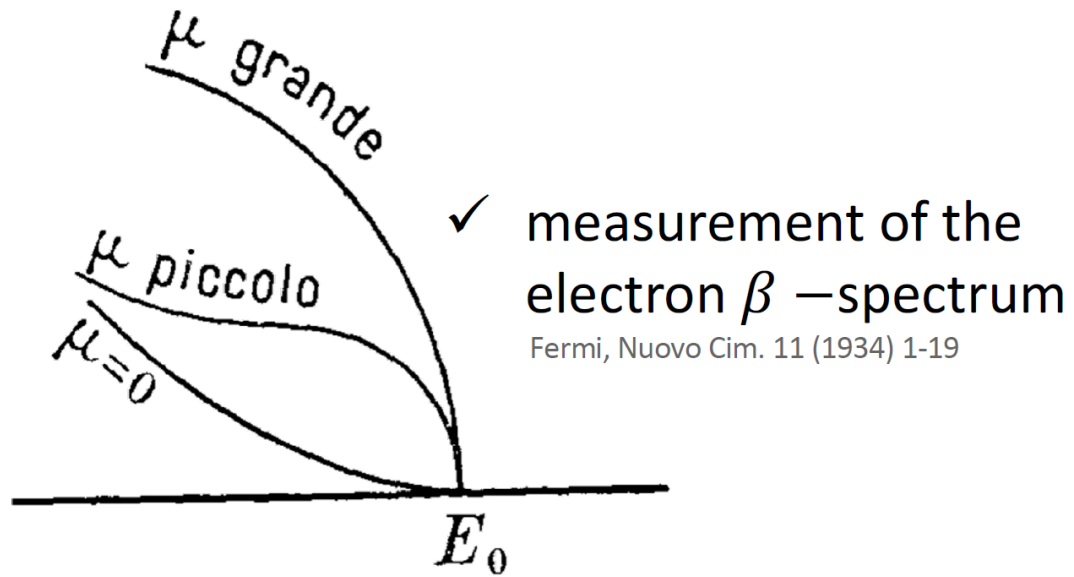
What is the Absolute Scale of the Neutrino Mass?

Slide Courtesy Thierry Lasserre

- At least two of the mass states are non-zero
- However, the absolute value for the lightest is not known \rightarrow and thus the scale of the masses is not set



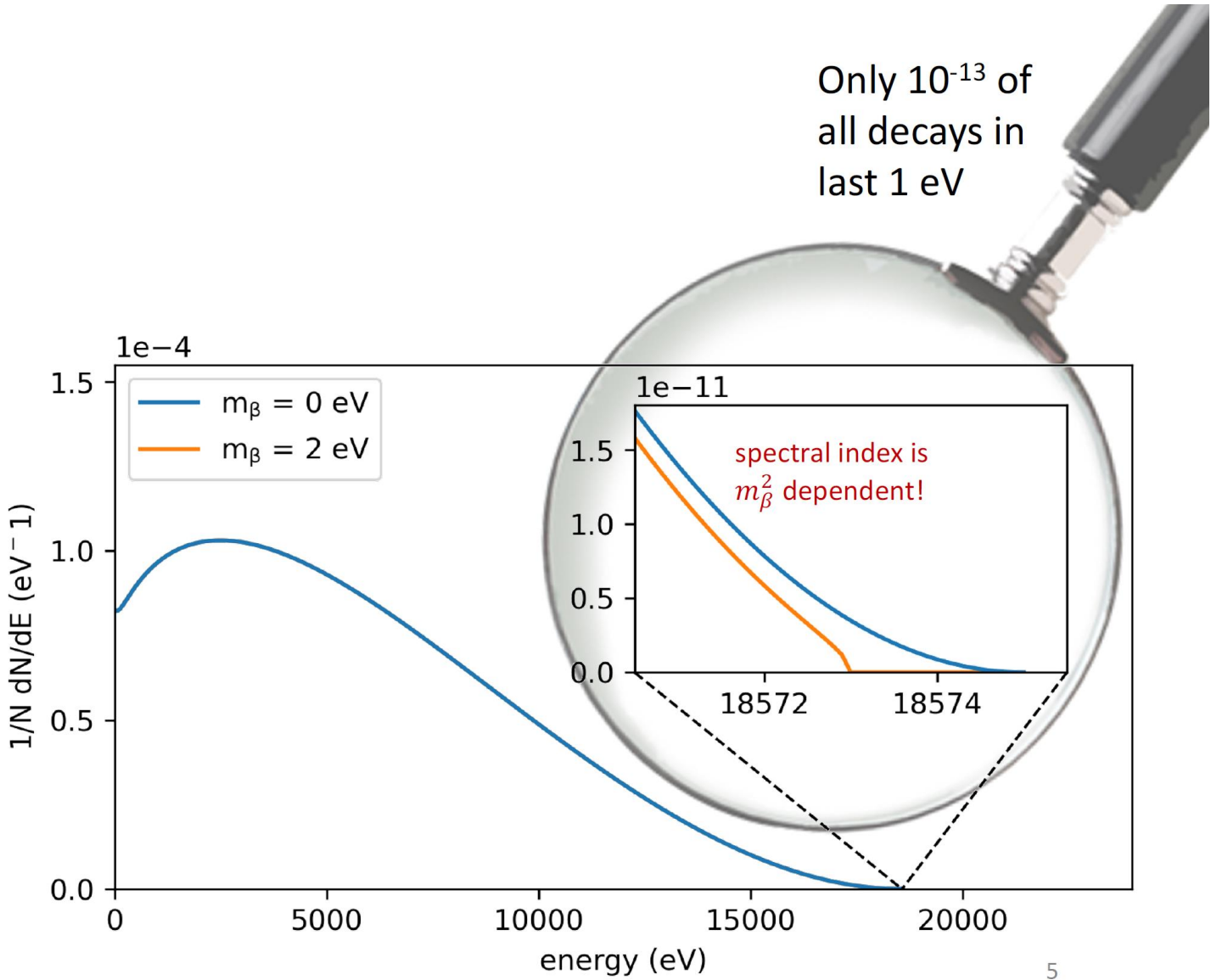
Beta Spectrum Endpoint Measurements



Slide Courtesy Thierry Lasserre

Precision Tritium Endpoint Measurement: KATRIN and Project-8

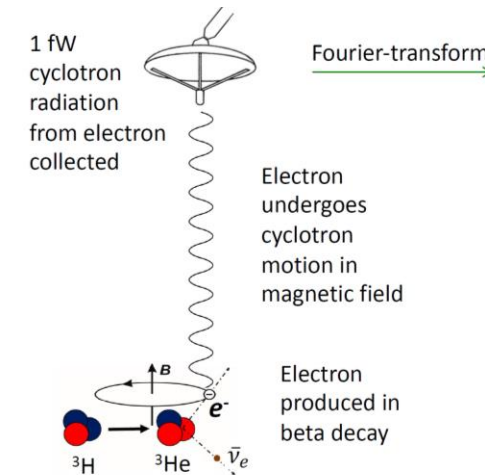
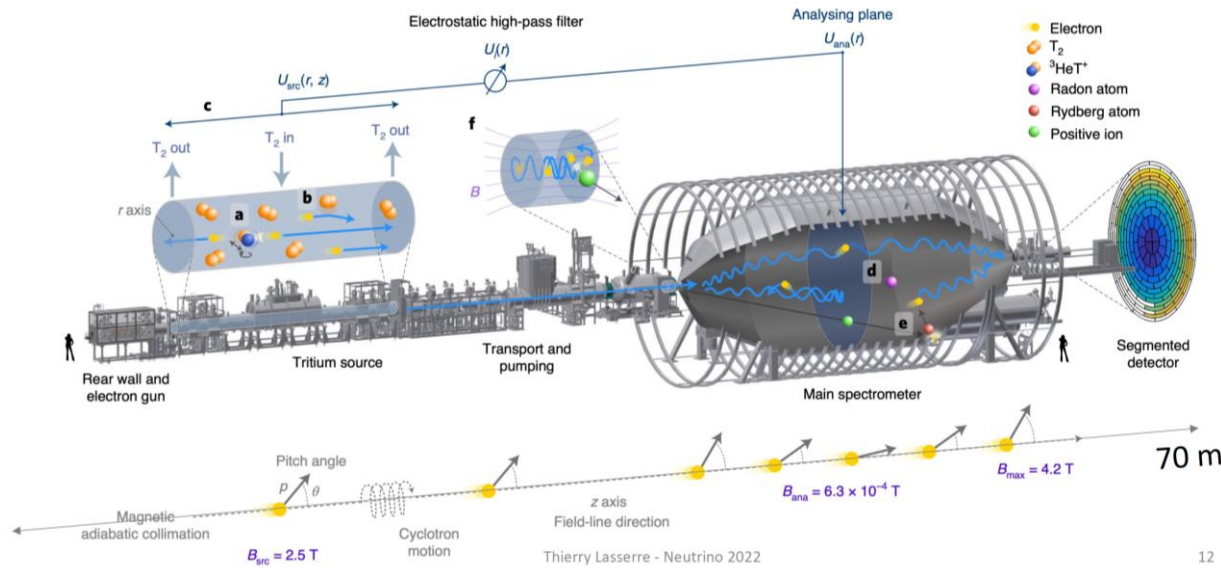
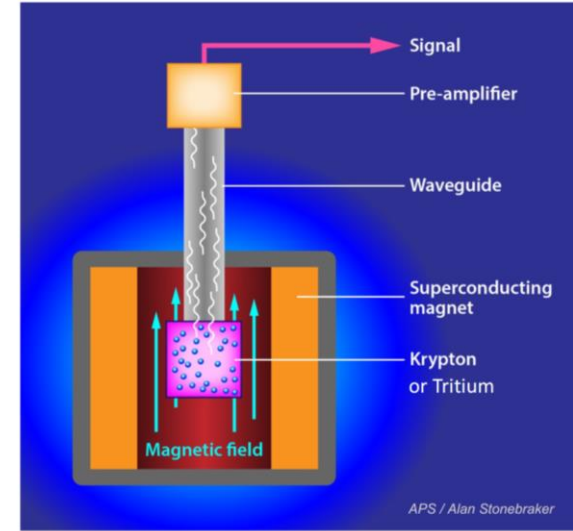
- ✓ strong tritium source: 10^{11} decays/s
- ✓ < 0.1 cps background level
- ✓ ~ 1 eV energy resolution
- ✓ 0.1% level understanding of the spectrum shape
- ✓ 0.1% level hardware stability controlled over the years



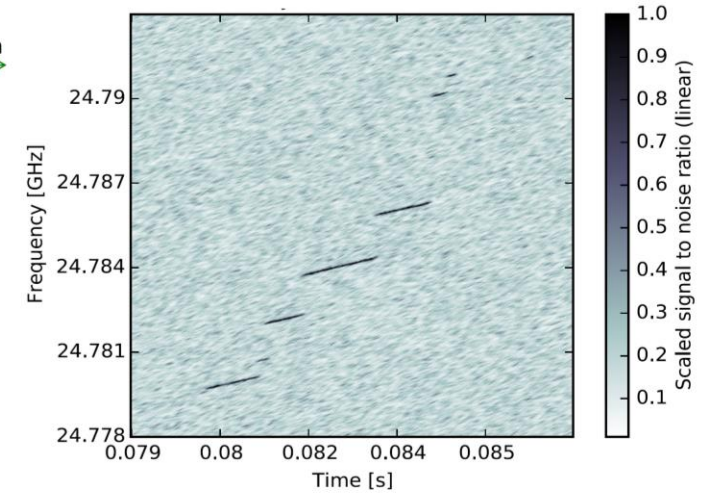
Slide Courtesy Thierry Lasserre

5

Precision Tritium Endpoint Experiments



First proposal of CRES: B. Monreal and J. Formaggio, Phys. Rev. D 80, 051301(R) (2009)



Slide Courtesy Thierry Lasserre and Elise Novitski

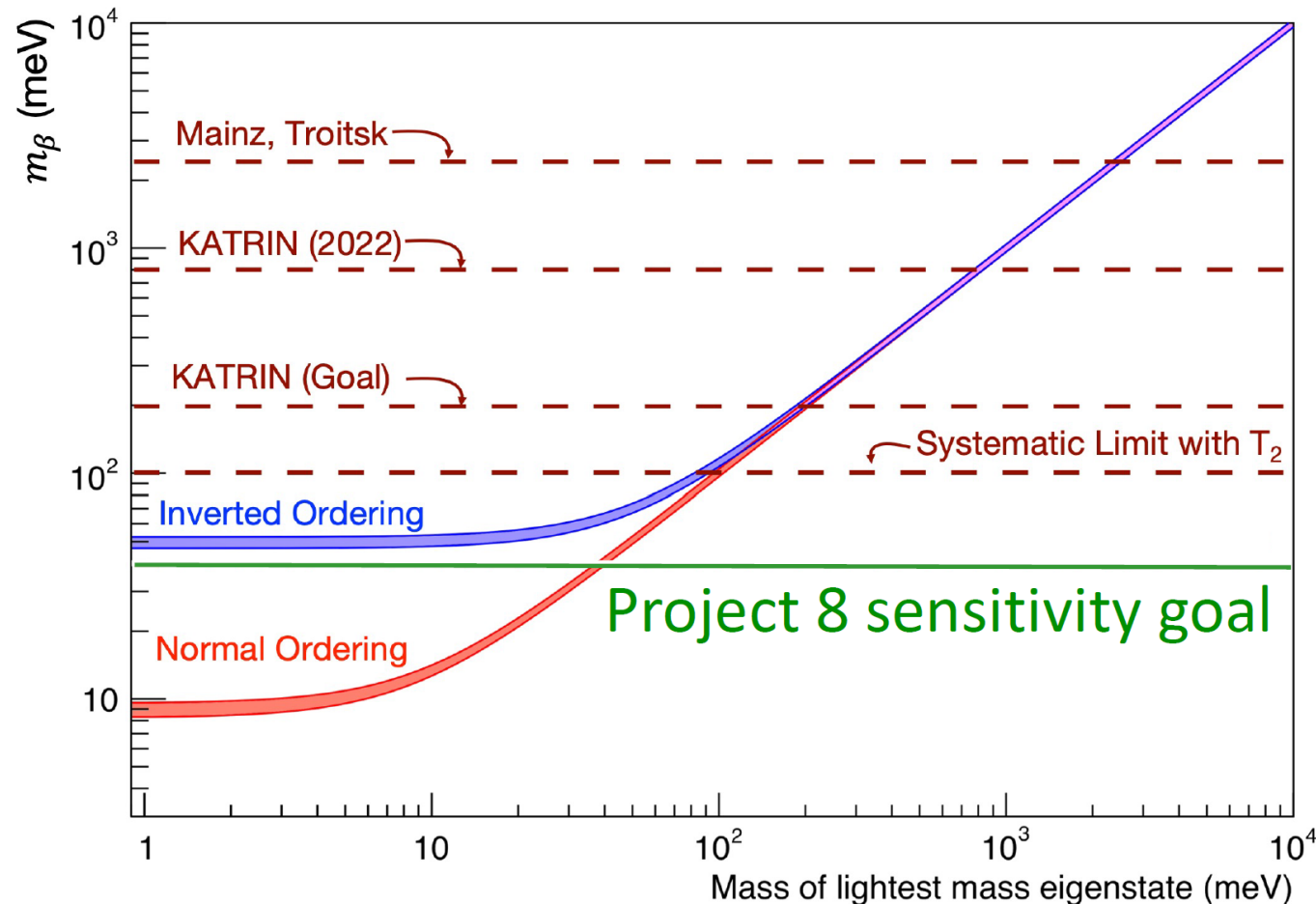
Where do we stand on Neutrino Masses from Tritium Decay?

nature physics **Direct neutrino-mass measurement with sub-electronvolt sensitivity**

$$m_{\nu e} < 0.8 \text{ eV}$$

[The KATRIN Collaboration](#)

[Nature Physics](#) **18**, 160–166 (2022) | [Cite this article](#)



Goals:

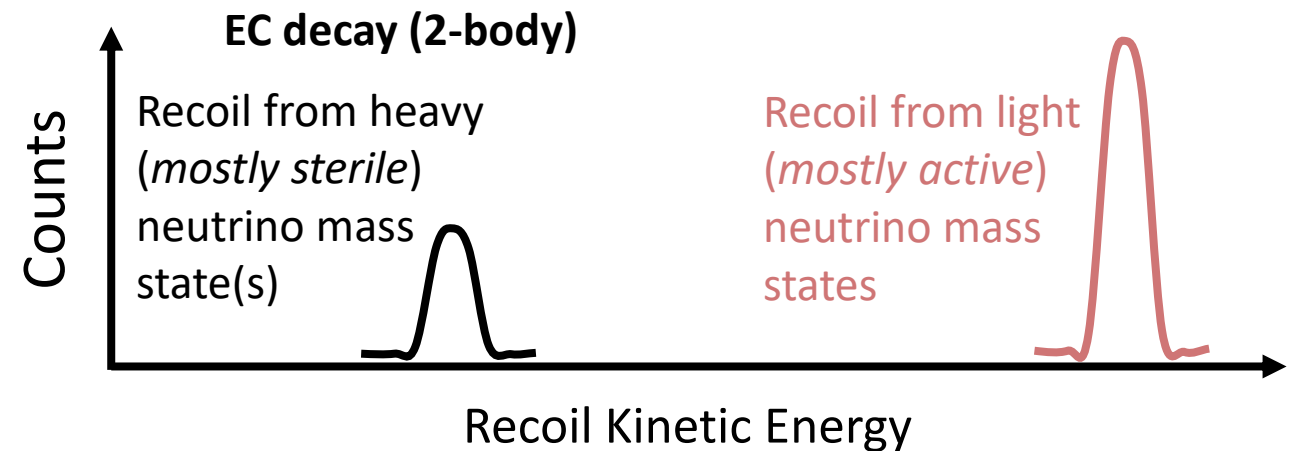
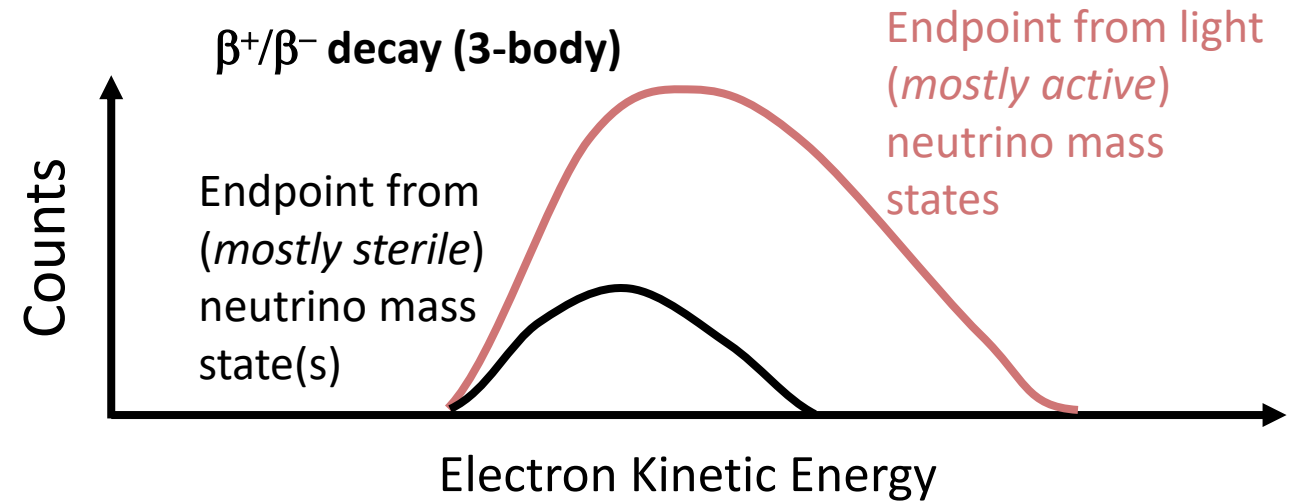
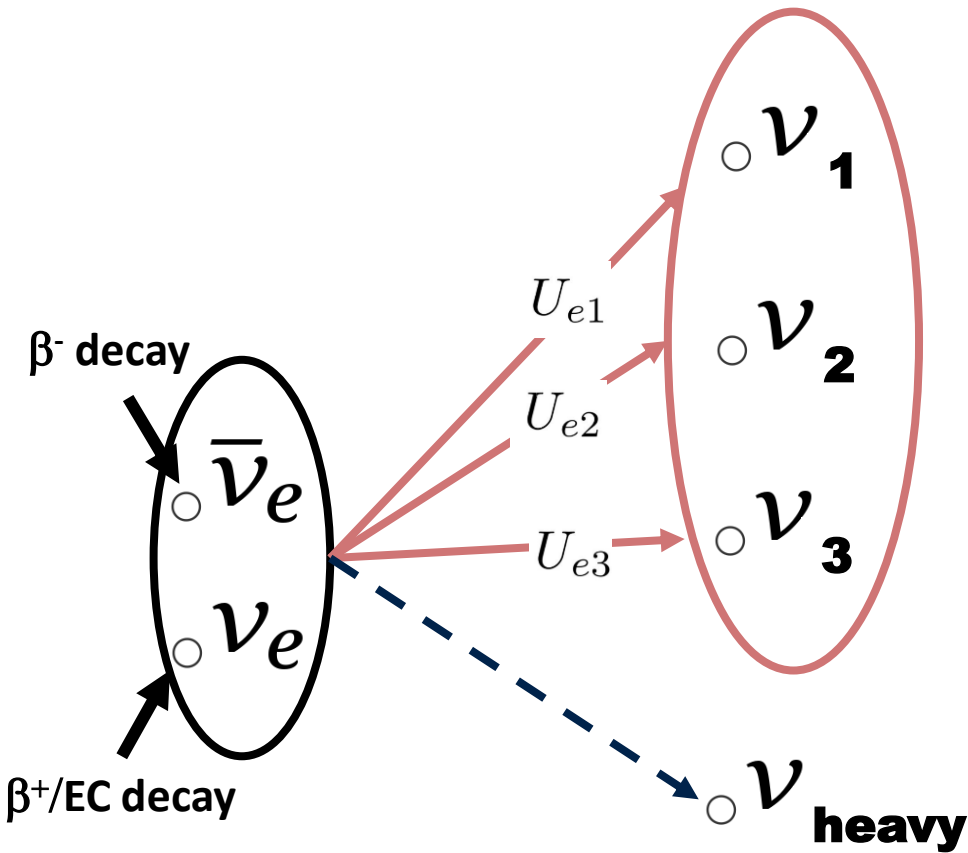
- Sensitivity to 40 meV/c² neutrino mass
- Measure neutrino mass or exclude inverted hierarchy
- Simultaneous sensitivity to active and sterile neutrinos

Slide Courtesy Elise Novitzki

Search for Heavy (Mostly Sterile) Neutrino Mass States

Heavy Neutrino Mass Studies via Coupling to ν_e

- In EC/ β^+ and β^- decay, we study the relative coupling of the mass states to ν_e ($\bar{\nu}_e$)
- Momentum is conserved with the mass states, not flavor states



keV Neutrinos as Dark Matter

- To generate mostly sterile mass states on the keV-MeV scale, additional new physics is required
- ...however, mass states in this region have $\tau \approx \tau_{\text{universe}}$ and could thus serve as some fraction of the observed DM in our universe
 - Excellent candidates for warm dark matter

Dodelson and Widrow, PRL 72, 17 (1994)



Image Courtesy: Symmetry Magazine

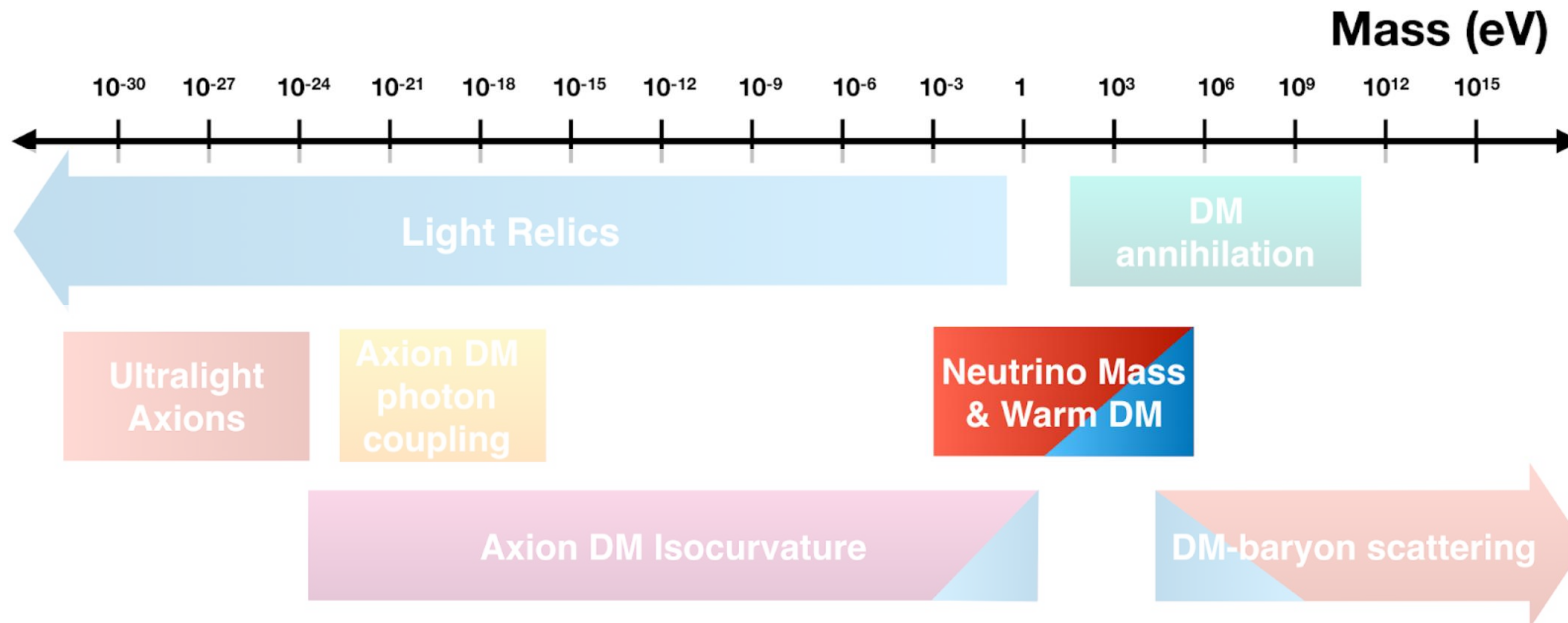
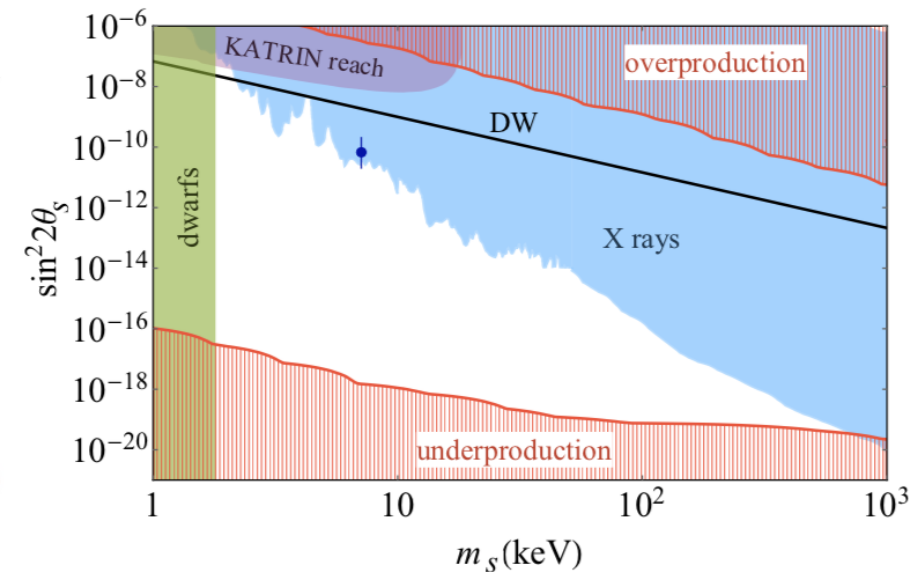


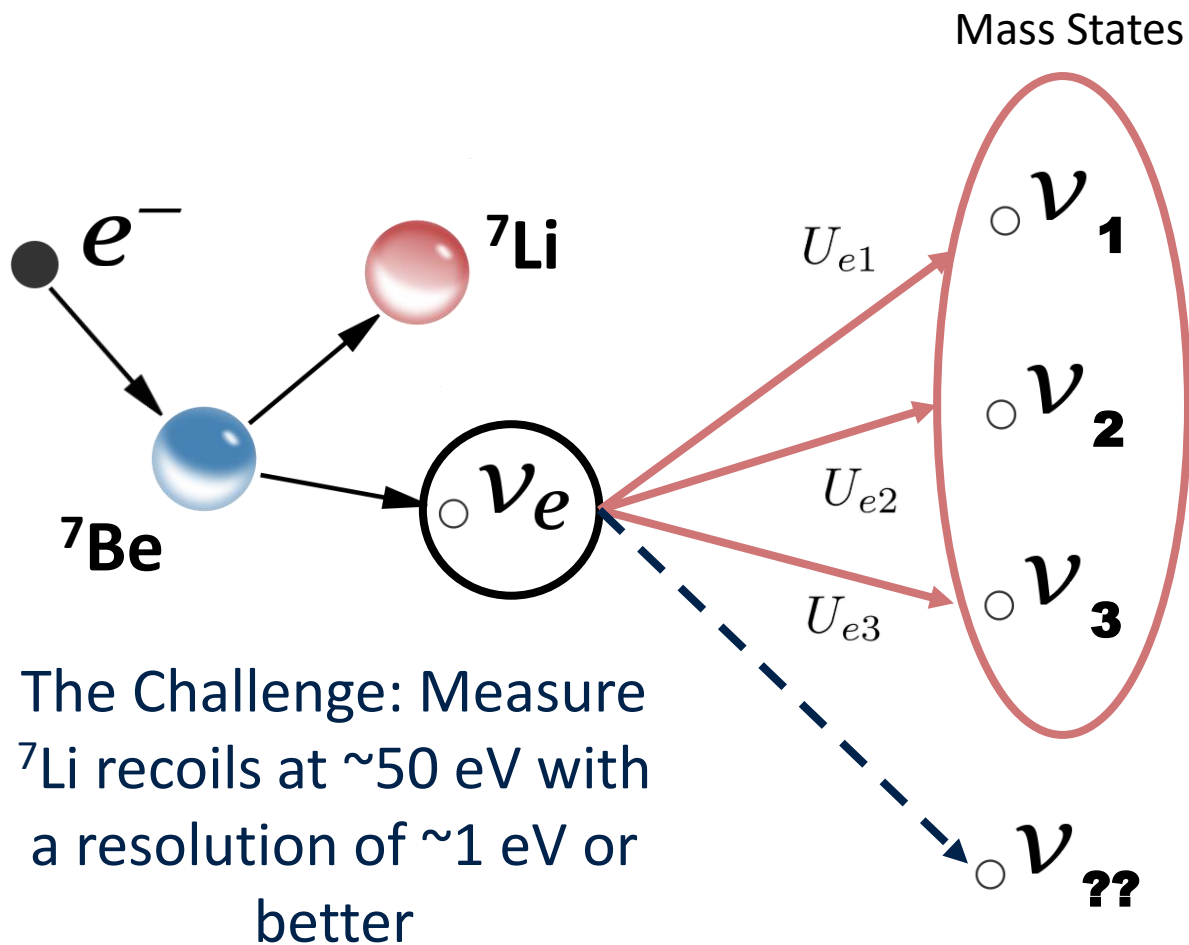
Image courtesy: CMB-S4



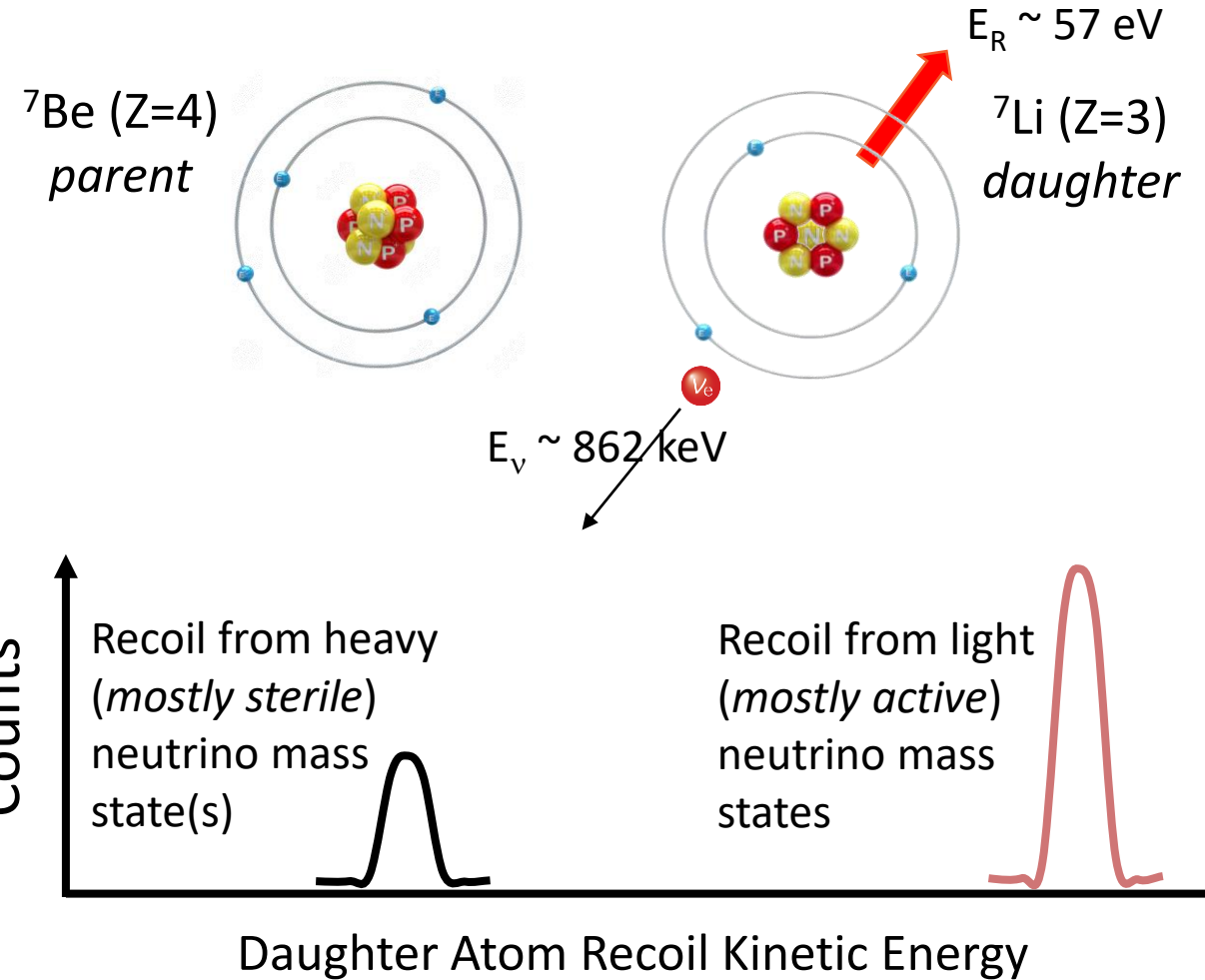
B. Dasgupta and J. Kopp, Phys. Rep. 928, 1-63 (2021)

Neutrino Studies with the Electron Capture Decay of ${}^7\text{Be}$

- ${}^7\text{Be}$ is the ideal case to search for heavy mass states (mostly sterile) using EC decay.
 - Simple atomic and nuclear structure and largest Q -value (862 keV) of all pure EC cases
 - Highest maximum recoil energy



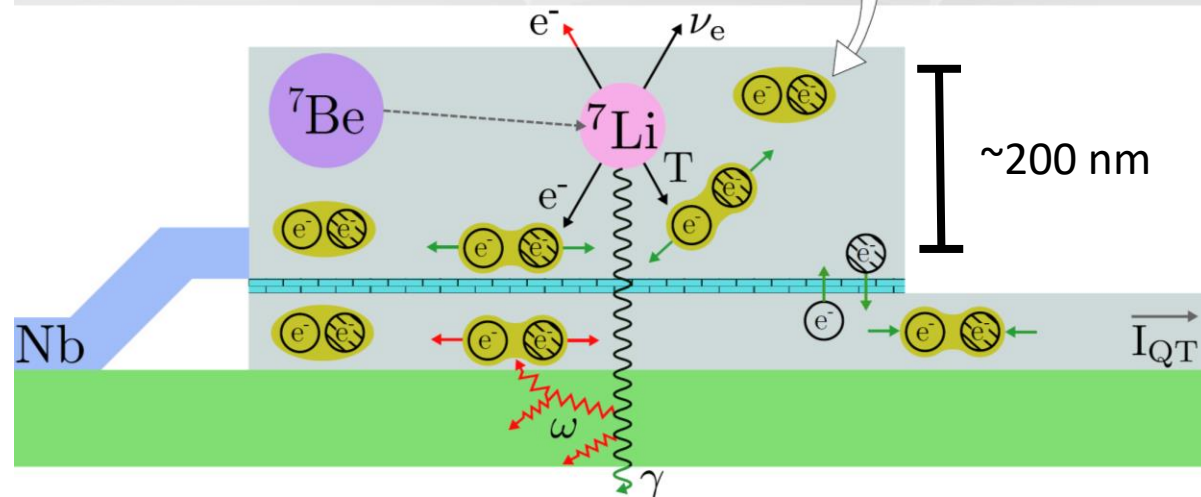
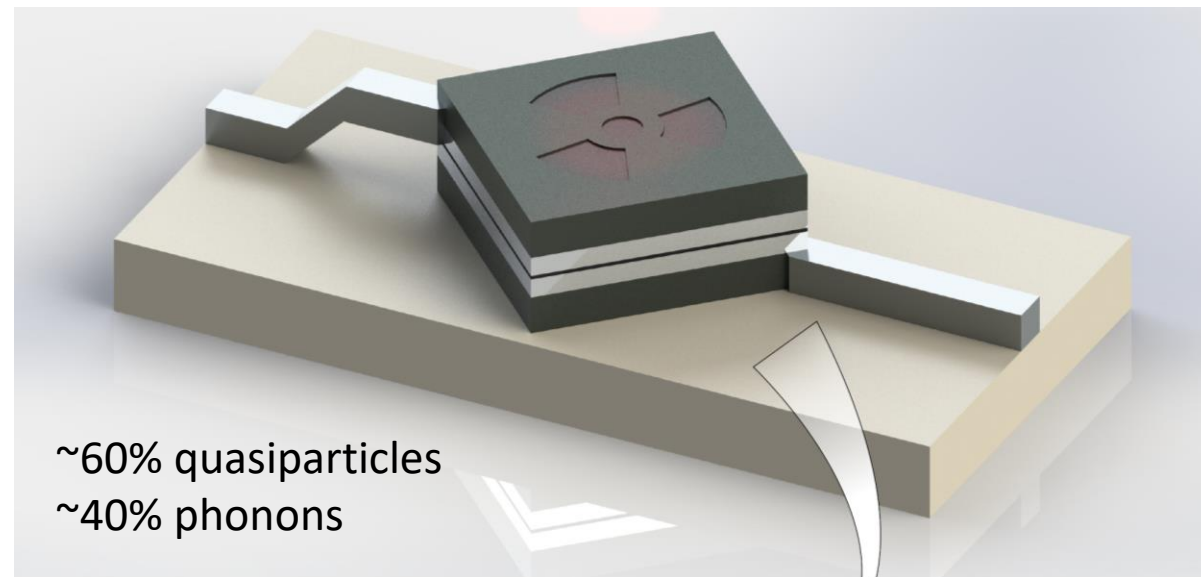
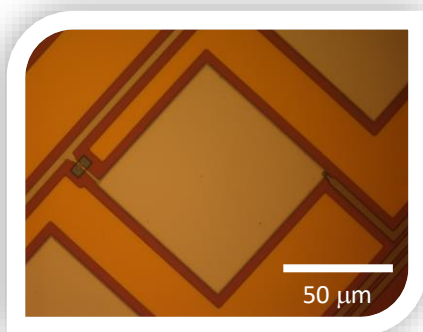
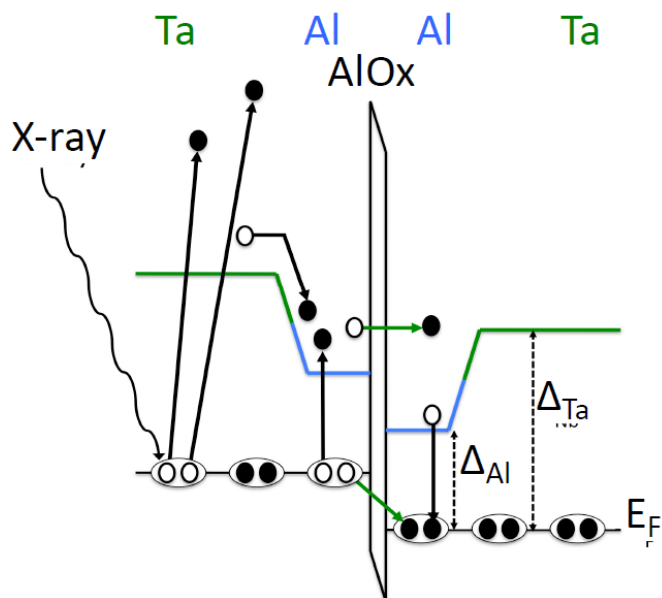
The Challenge: Measure ${}^7\text{Li}$ recoils at ~ 50 eV with a resolution of ~ 1 eV or better



Superconducting Tunnel Junction (STJ) Quantum Sensing

- *Cryogenic-charge* superconducting sensor
- Superconducting energy gap Δ is of order $\sim \text{meV}$
 → High Energy Resolution ($\sim 1 \text{ eV}$)
- Timing resolution on the order of $10 \mu\text{s}$, allowing for faster count rates than most superconducting sensors
 → “High” Rate (10^4 s^{-1} per pixel)

← *Allows us to probe weak couplings*





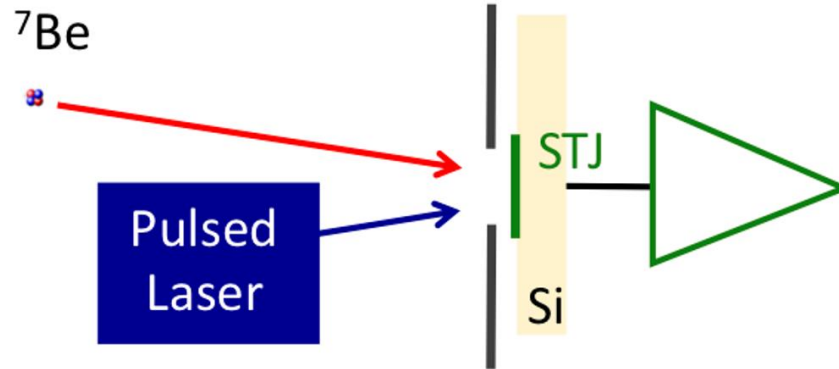
Rare-isotope implantation at TRIUMF-ISAC



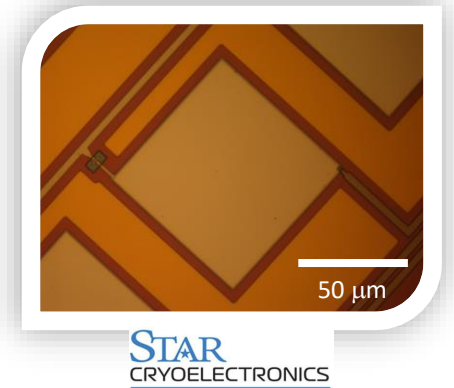
The BeEST Experiment



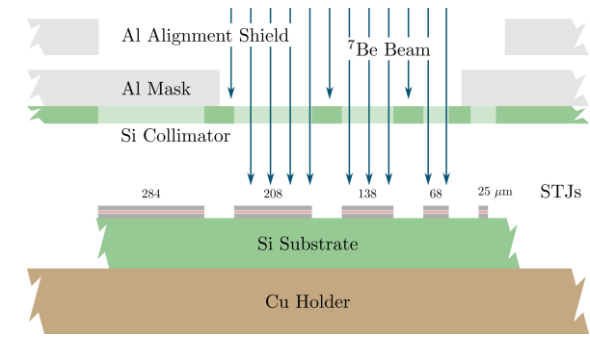
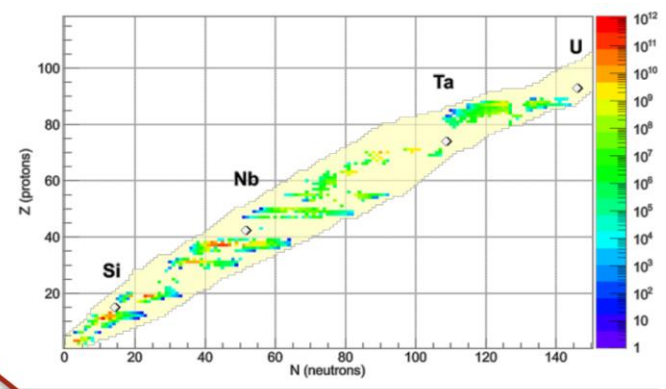
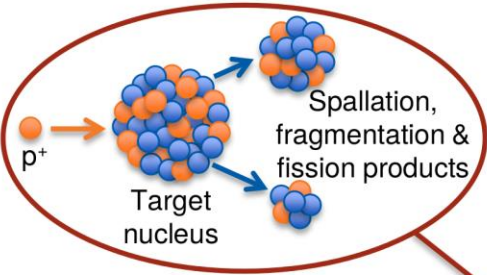
K.G. Leach and S. Friedrich, arXiv:2112.02029 (2021)
S. Friedrich *et al.*, Phys. Rev. Lett. **126**, 021803 (2021)
S. Fretwell *et al.*, Phys. Rev. Lett. **125**, 032701 (2020)



Ta, Al, and Nb-based STJ Sensors

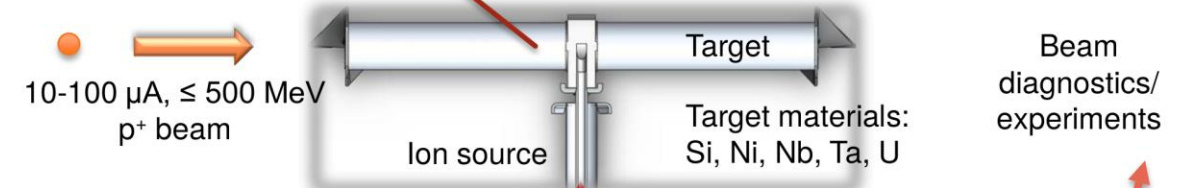


Producing the ^7Be Sample via the ISOL Technique



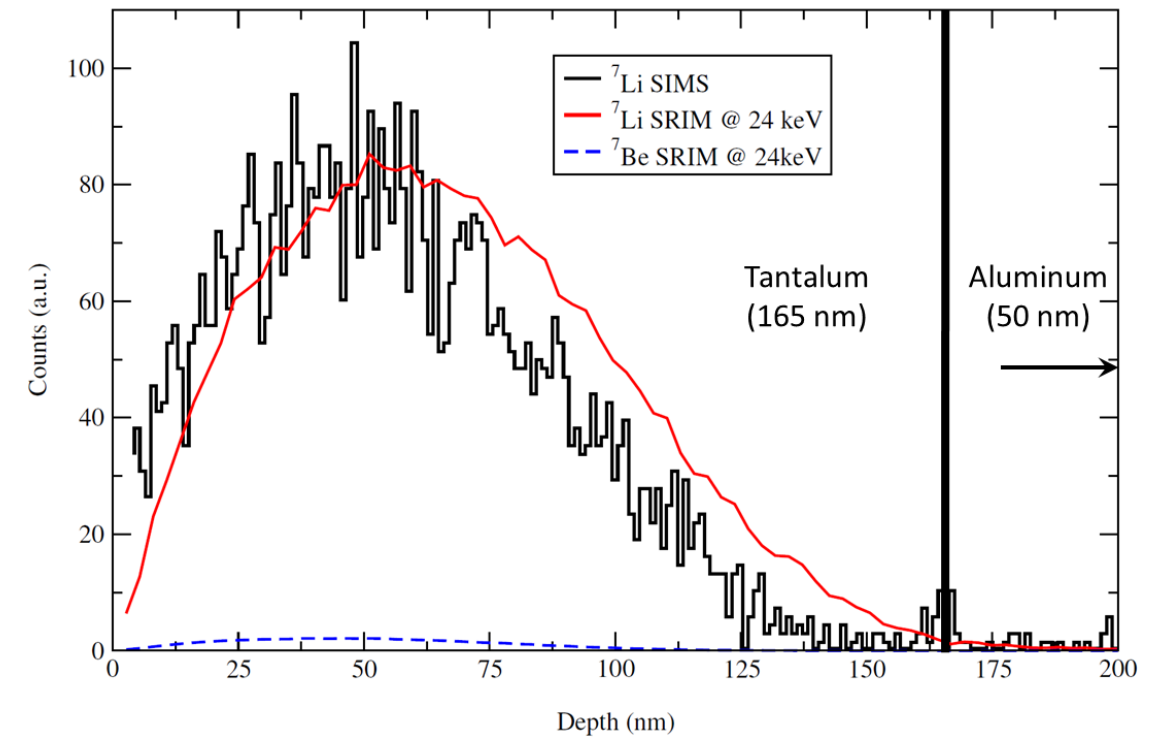
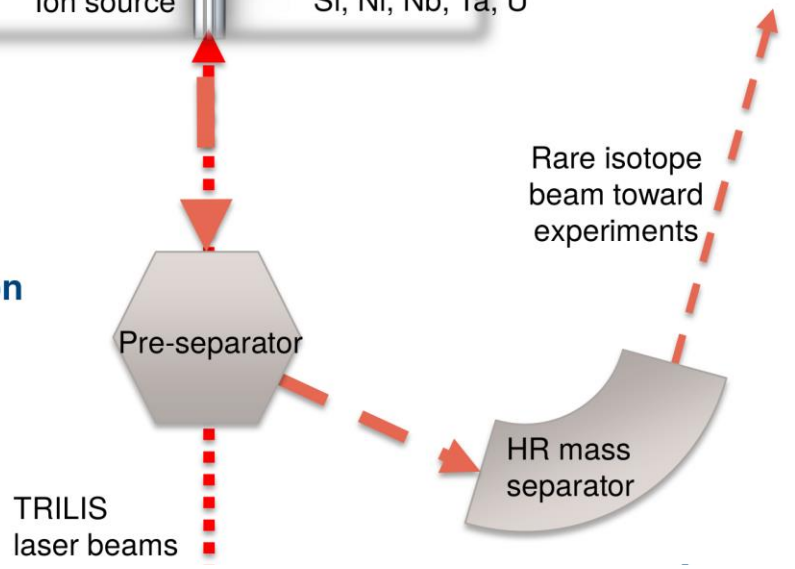
Implant atoms “deep” into the top STJ layer to avoid unknown loss and surface effects

1. Isotope production



2. Ionization

- Surface ionization
- Laser ionization
- Electron impact ionization



Slide Courtesy: J. Lassen



Rare-isotope implantation at TRIUMF-ISAC

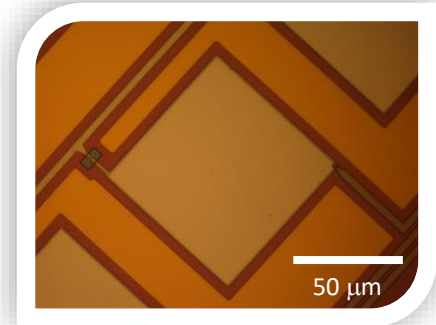


The BeEST Experiment

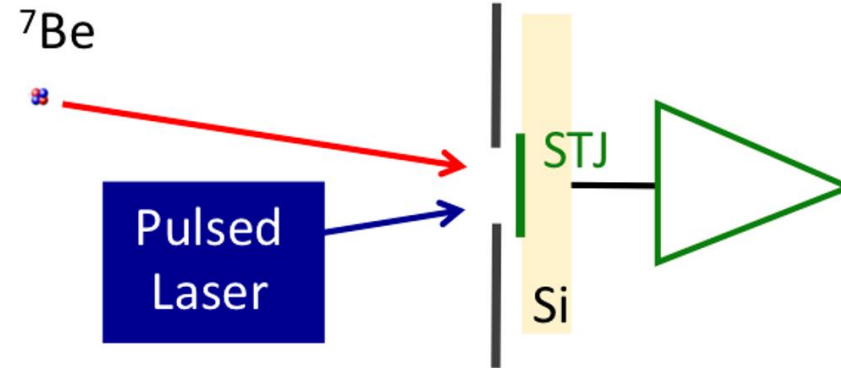
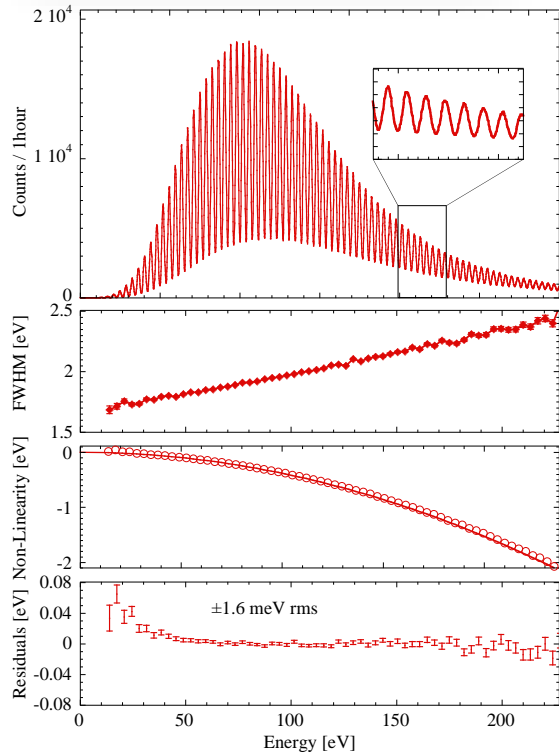


K.G. Leach and S. Friedrich, arXiv:2112.02029 (2021)
S. Friedrich *et al.*, Phys. Rev. Lett. **126**, 021803 (2021)
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Ta, Al, and Nb-based STJ Sensors



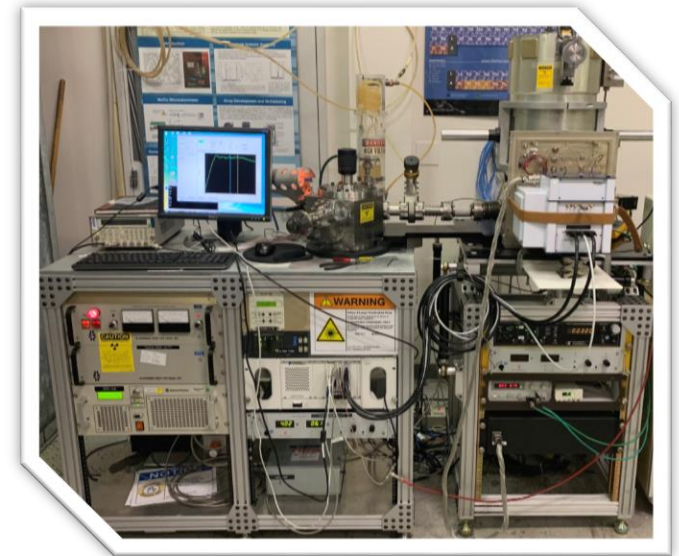
STAR
CRYOELECTRONICS



High-precision *In-situ* calibration and characterization

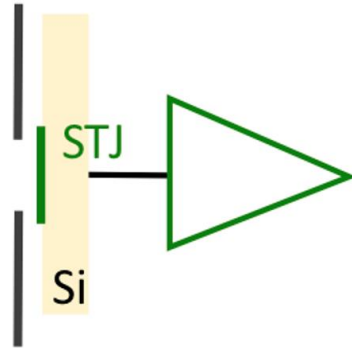


Cooling (<0.1 K) and measurement in ADR at LLNL

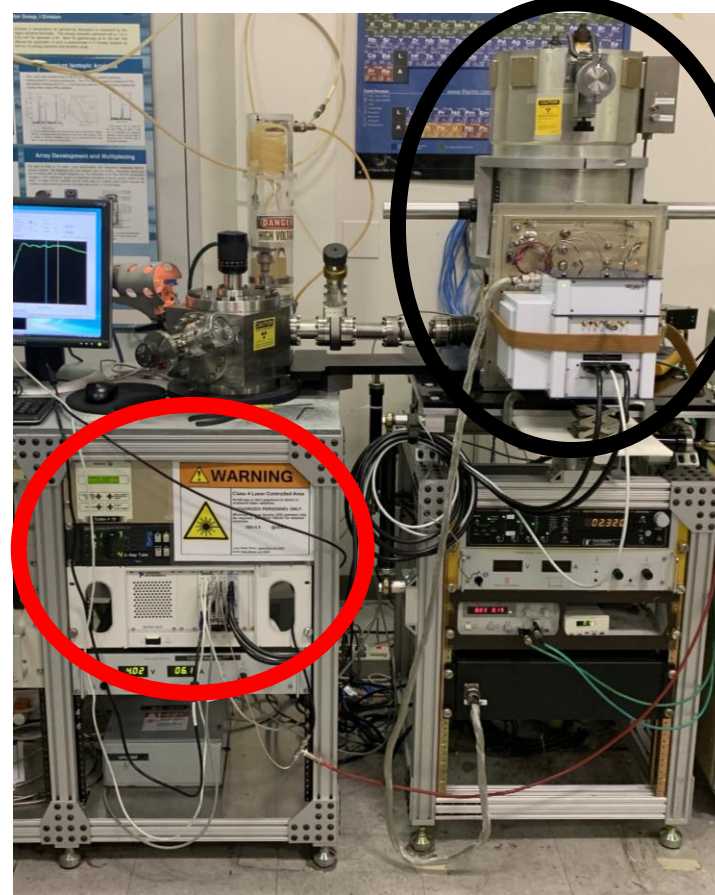


S. Friedrich *et al.*, J. Low Temp. Phys. **200**, 200 (2020)

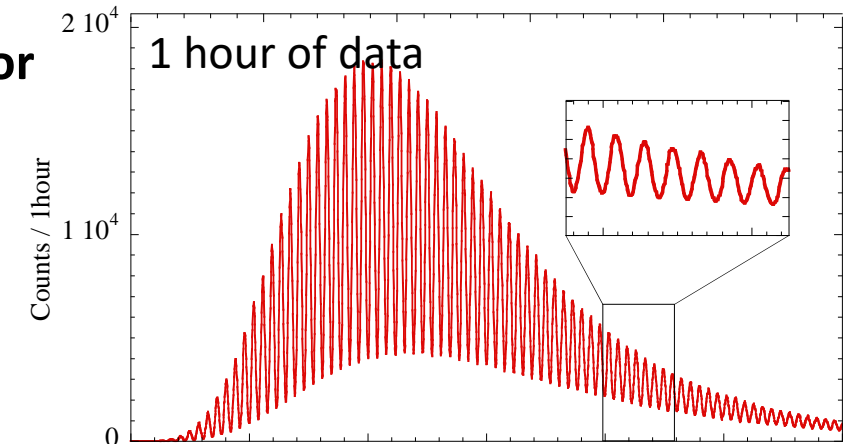
STJ Performance and Characterization



Adiabatic Demagnetization Refrigerator (ADR) – Base Temp ~ 70 mK



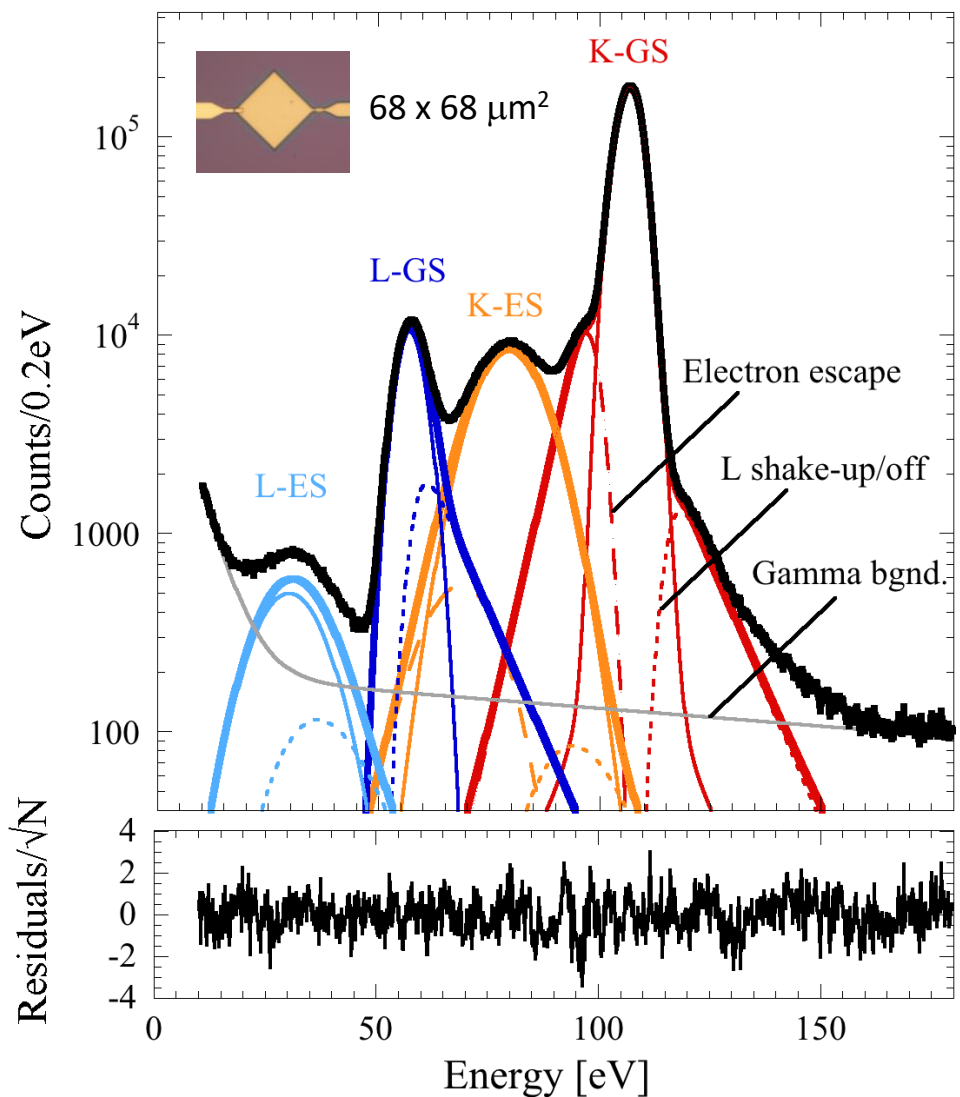
Laser



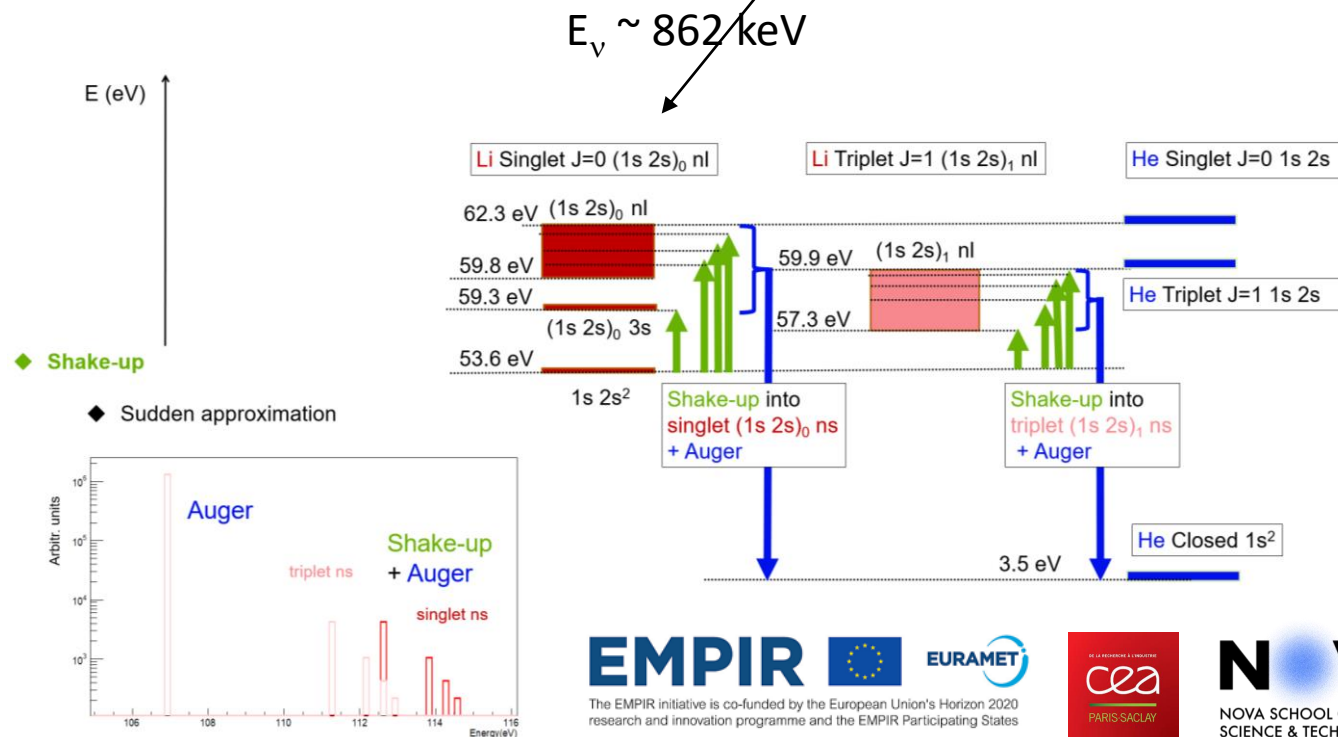
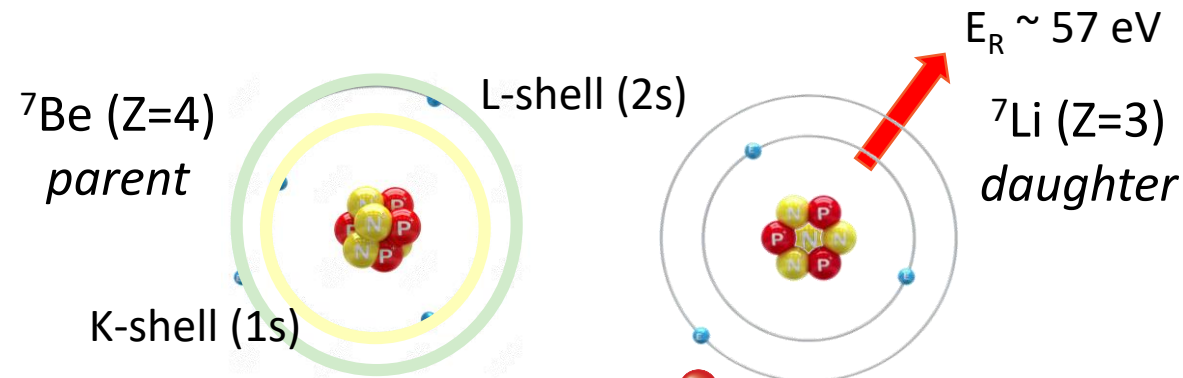
- Pulsed 355 nm (3.49965(15) eV) laser at 5 kHz fed through optical fiber to 0.1 K stage
- Illumination of STJ provides a comb of peaks at integer multiples of 3.5 eV
- Intrinsic resolution of our Ta-based devices is between ~ 1.5 and ~ 2.5 eV FWHM at $\sim 10 - 200$ eV
- Stable response and small quadratic non-linearity (10^{-4} per eV)

S. Friedrich et al., J. Low Temp. Phys. **200**, 200 (2020)

Phases-I and -II: First Nuclear Recoil Experiments with STJs



S. Fretwell *et al.*, Phys. Rev. Lett. **125**, 032701 (2020)



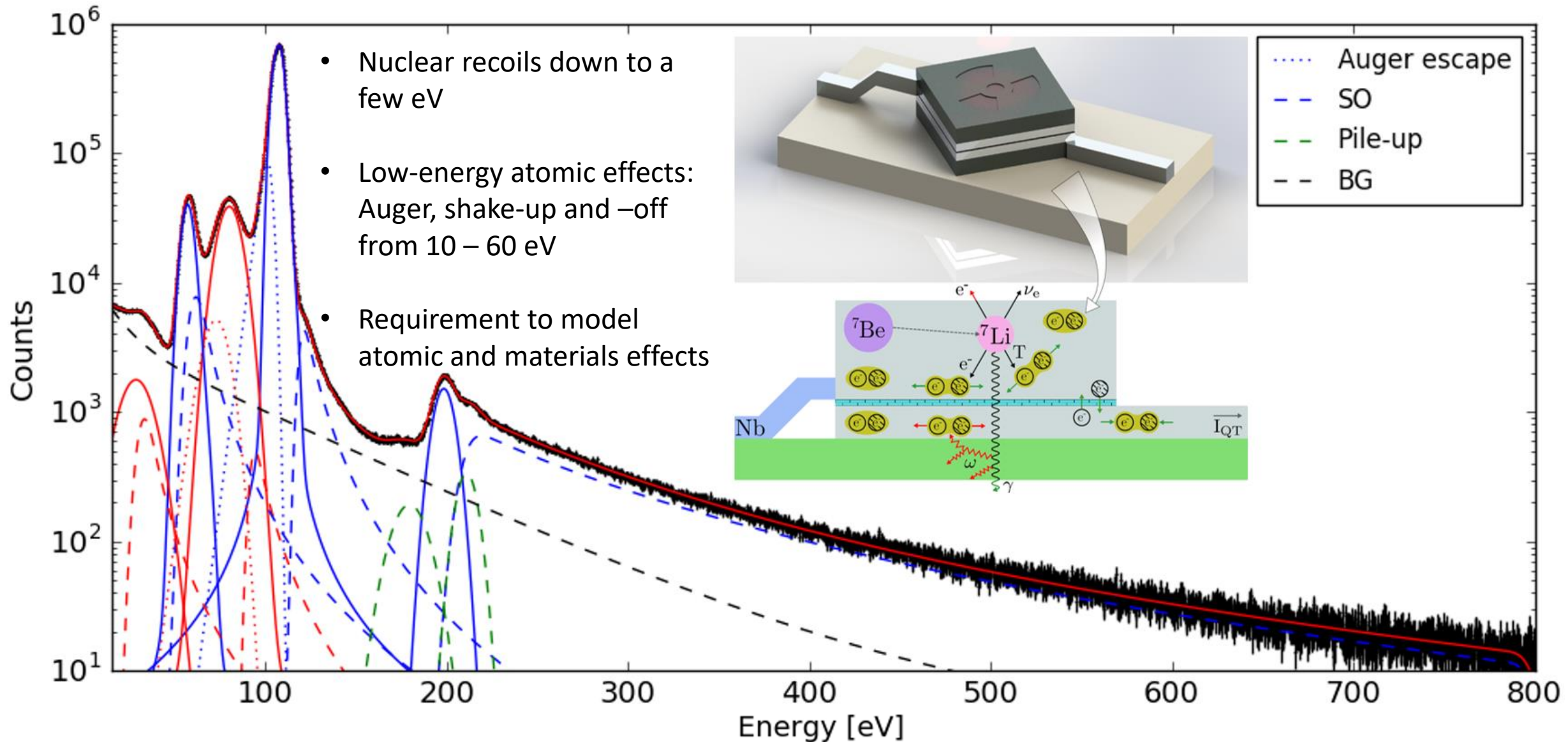
EMPIR **EURAMET**

The EMPIR initiative is co-funded by the European Union's Horizon 2020 research and innovation programme and the EMPIR Participating States

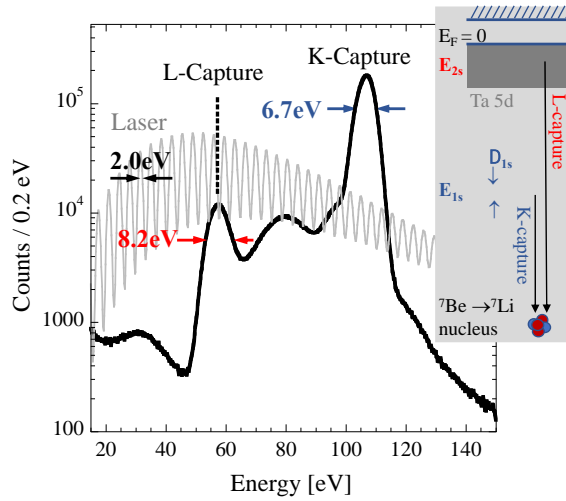
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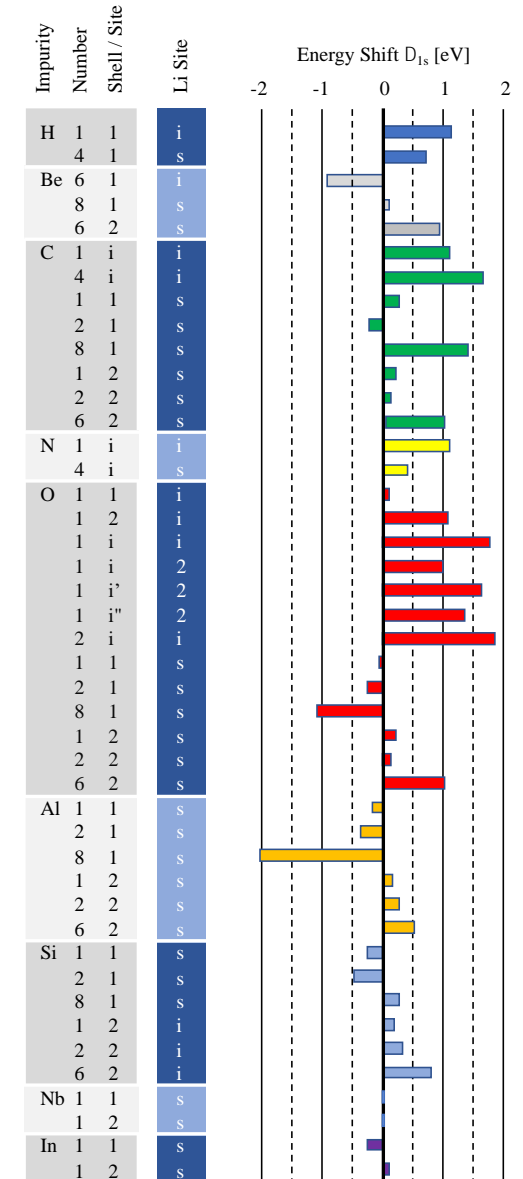
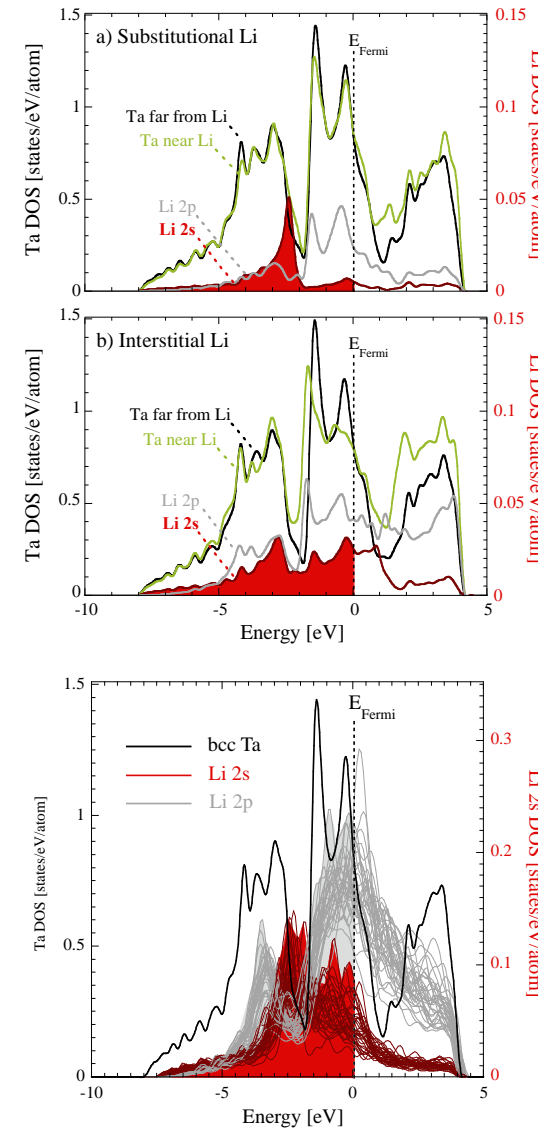
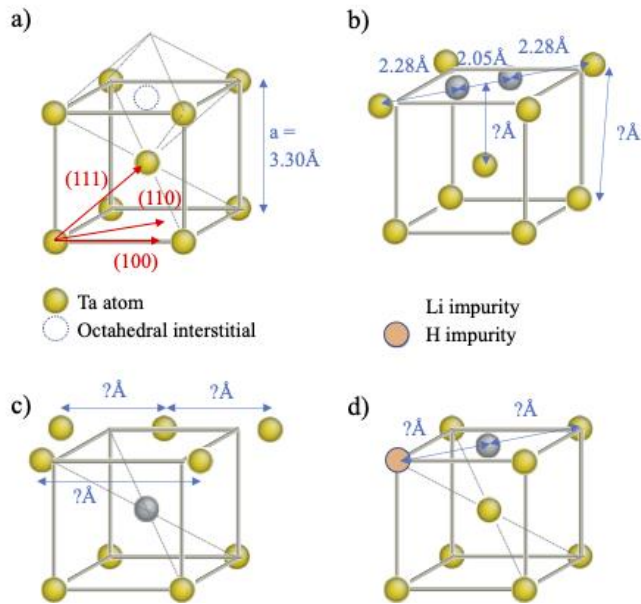
Precision Measurements of Effects in the Data



Atomistic Calculations of Chemical Shifts in Materials

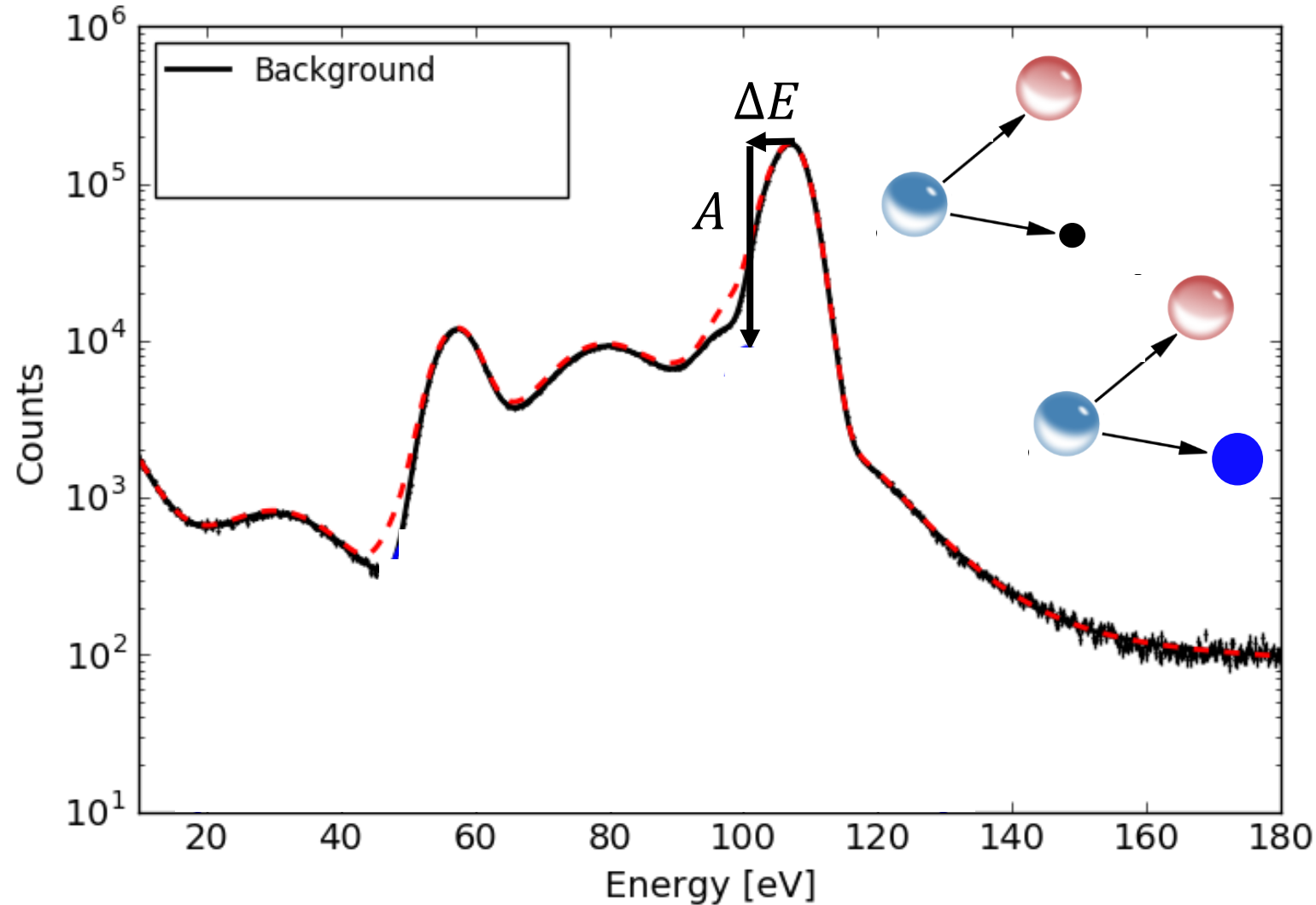


- Putting Be/Li in the sensors hybridizes the atomic orbits
- These effects depend strongly on the specific local environment for the EC decaying atom
- Detailed atomistic DFT calculations show that variations in the chemical energy shifts away from the vacuum case range from 1-5 eV.



A. Samanta, S. Friedrich, K.G. Leach, and V. Lordi, arXiv:2206.00150 (2022)

Searching for Heavy Neutrinos in the BeEST Data



Sterile neutrino will add a similar spectrum with:

- 1) Shifted recoil energy $\Delta E (m_s)$
- 2) Reduced amplitude ($A \propto |U_{e4}|^2$)

$$f(E) = \underbrace{[1 - A(U_{e4})]}_{\text{Background: Active neutrino contribution + other background}} f_0(E) + \underbrace{A(U_{e4})}_{\text{Signal: Sterile neutrino contribution}} f_0(E - \Delta E)$$

Background:

Active neutrino
contribution
+ other background

Signal:

Sterile neutrino
contribution

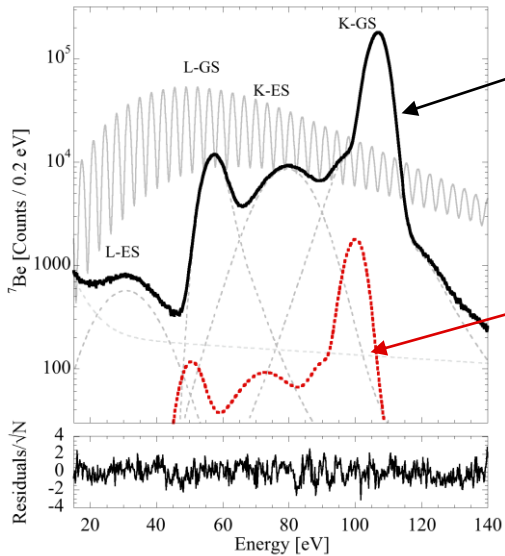
f_0 = EC spectral shape with active neutrinos

Slide Courtesy: Geon-Bo Kim (LLNL)

Limits on the Existence of sub-MeV Sterile Neutrinos from the Decay of ^7Be in Superconducting Quantum Sensors

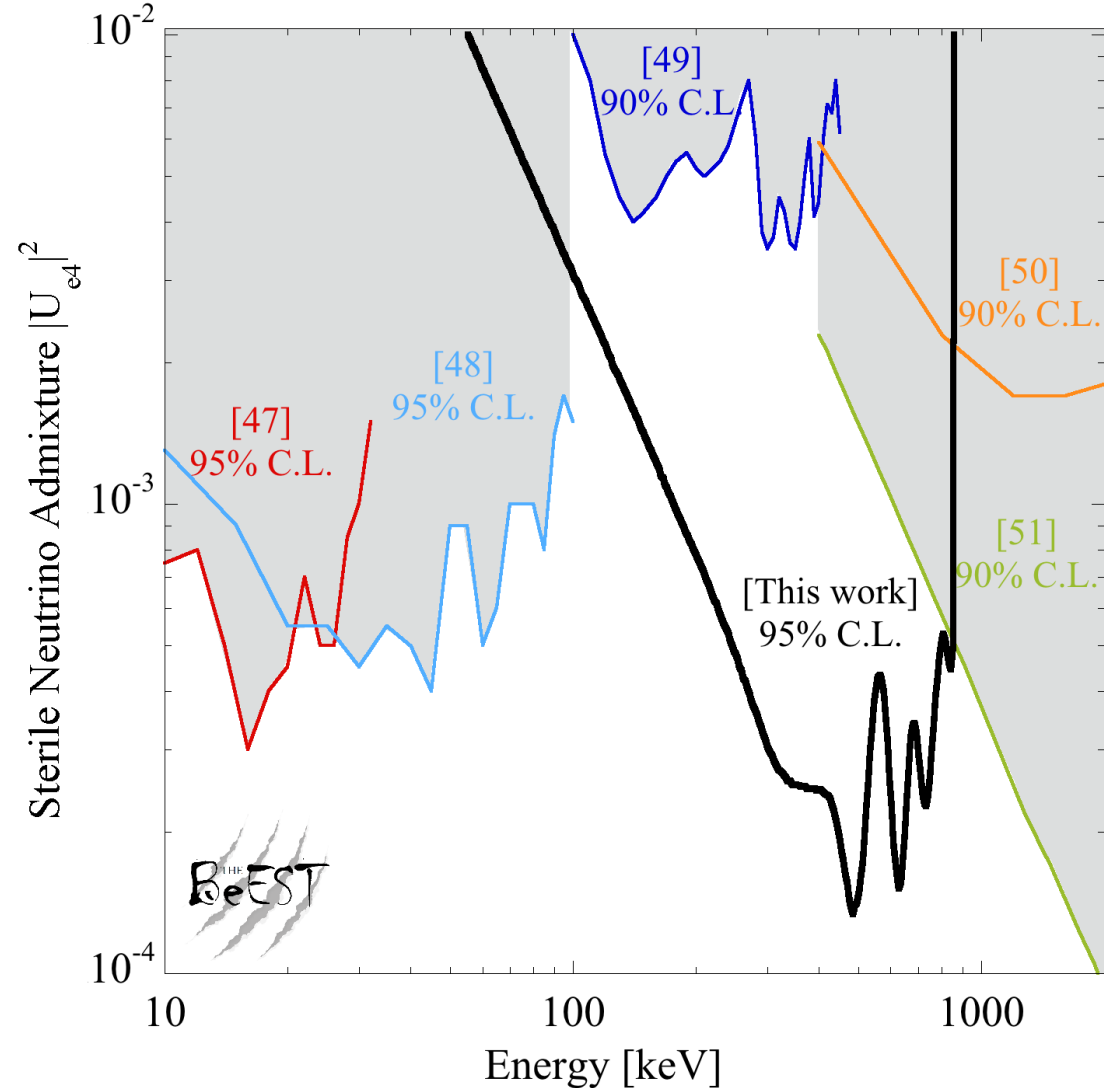
S. Friedrich^{1,*}, G. B. Kim¹, C. Bray², R. Cantor³, J. Dilling⁴, S. Fretwell², J. A. Hall³,
 A. Lennarz^{4,5}, V. Lordi¹, P. Machule⁴, D. McKeen⁴, X. Mougeot⁶, F. Ponce^{7,1}, C. Ruiz⁴,
 A. Samanta¹, W. K. Warburton⁸ and K. G. Leach^{2,†}

Phase-II data from a single $138 \times 138 \mu\text{m}^2$ STJ counting at low rate (~ 10 Bq) for 28 days

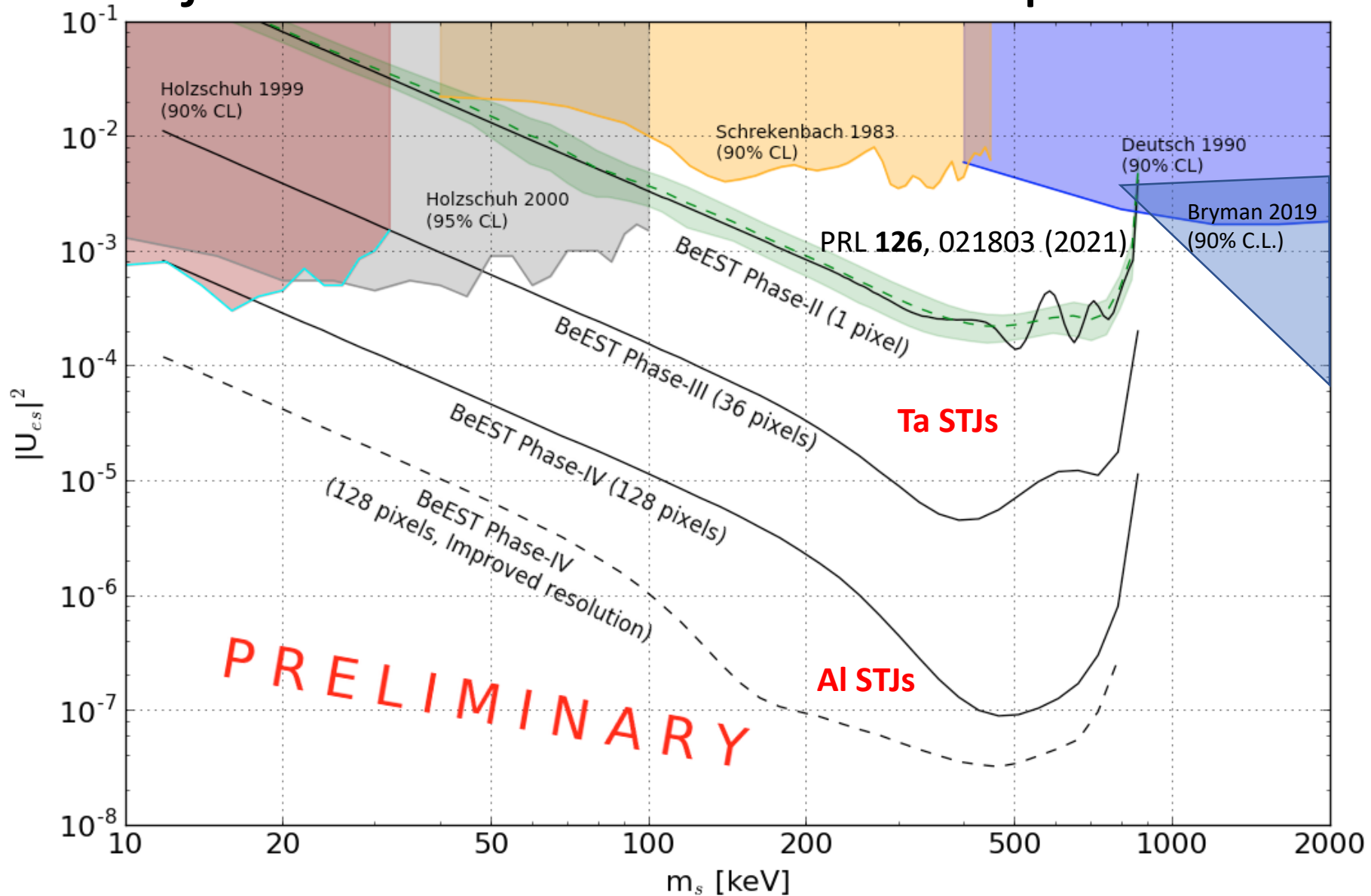


Recoil spectrum generated by pseudo-degenerate mass states from ~ 28 days of counting

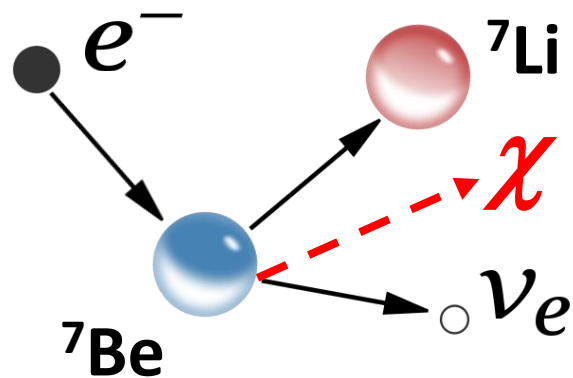
Example of signal that would be generated by 300 keV neutrino with 1% mixing



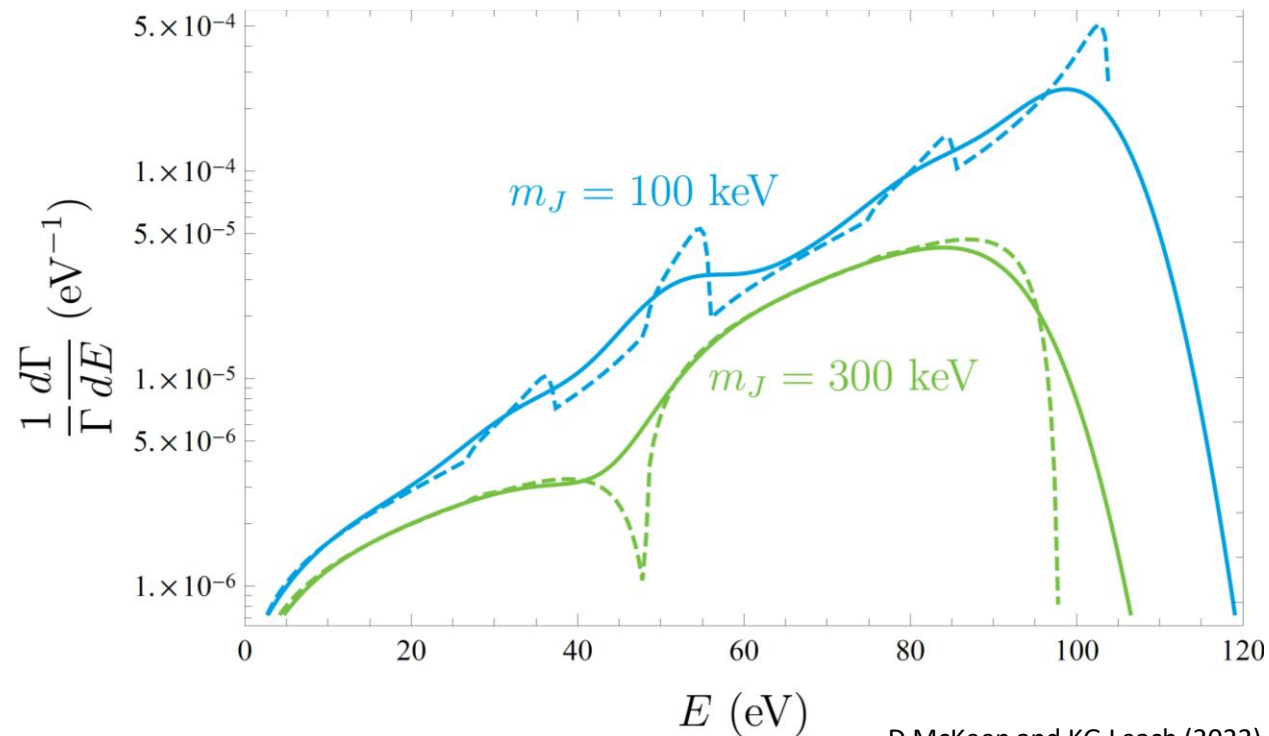
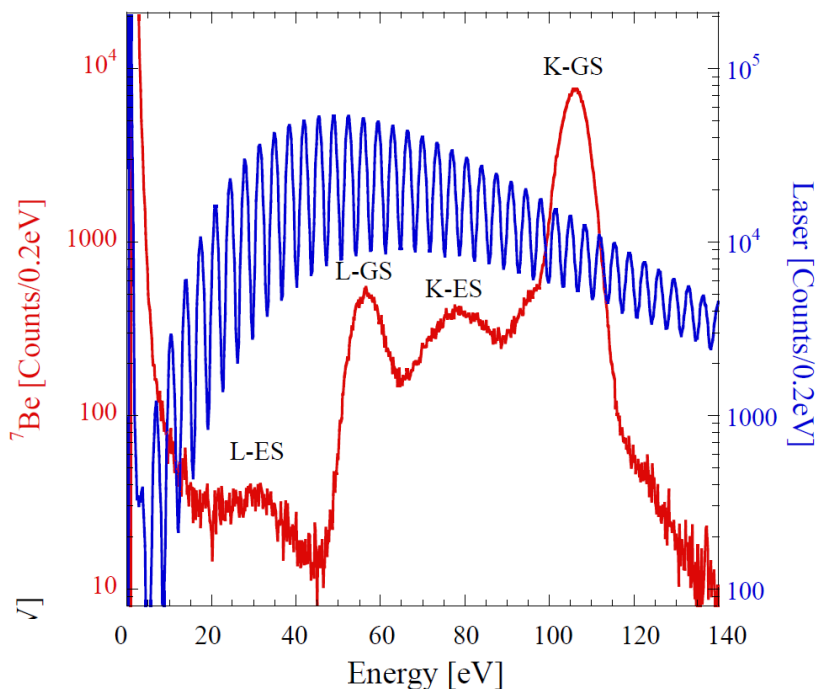
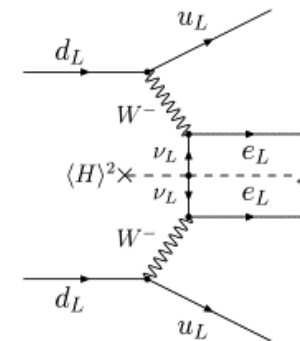
Projected Limits of the BeEST Experiment



Sensitive to ALL New Physics that Couples to Neutrino Masses



Momentum reconstruction in EC decay is sensitive to any deviation from the SM recoil signal (e.g. Majoron emission)



D McKen and KG Leach (2022)



The BeEST



Connor Bray

David Diercks

Spencer Fretwell

Cameron Harris

Kyle Leach (*Spokesperson*)

Drew Marino

Caitlyn Stone-Whitehead

Sergio Oscar Nuñez Silva

Stephan Friedrich

Geon-Bo Kim

Vincenzo Lordi

Amit Samanta

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The EMPIR initiative is co-funded by the European Union's Horizon 2020 research and innovation programme and the EMPIR Participating States



Leendert Hayen



Robin Cantor

Ad Hall



Jack Harris

Bill Warburton



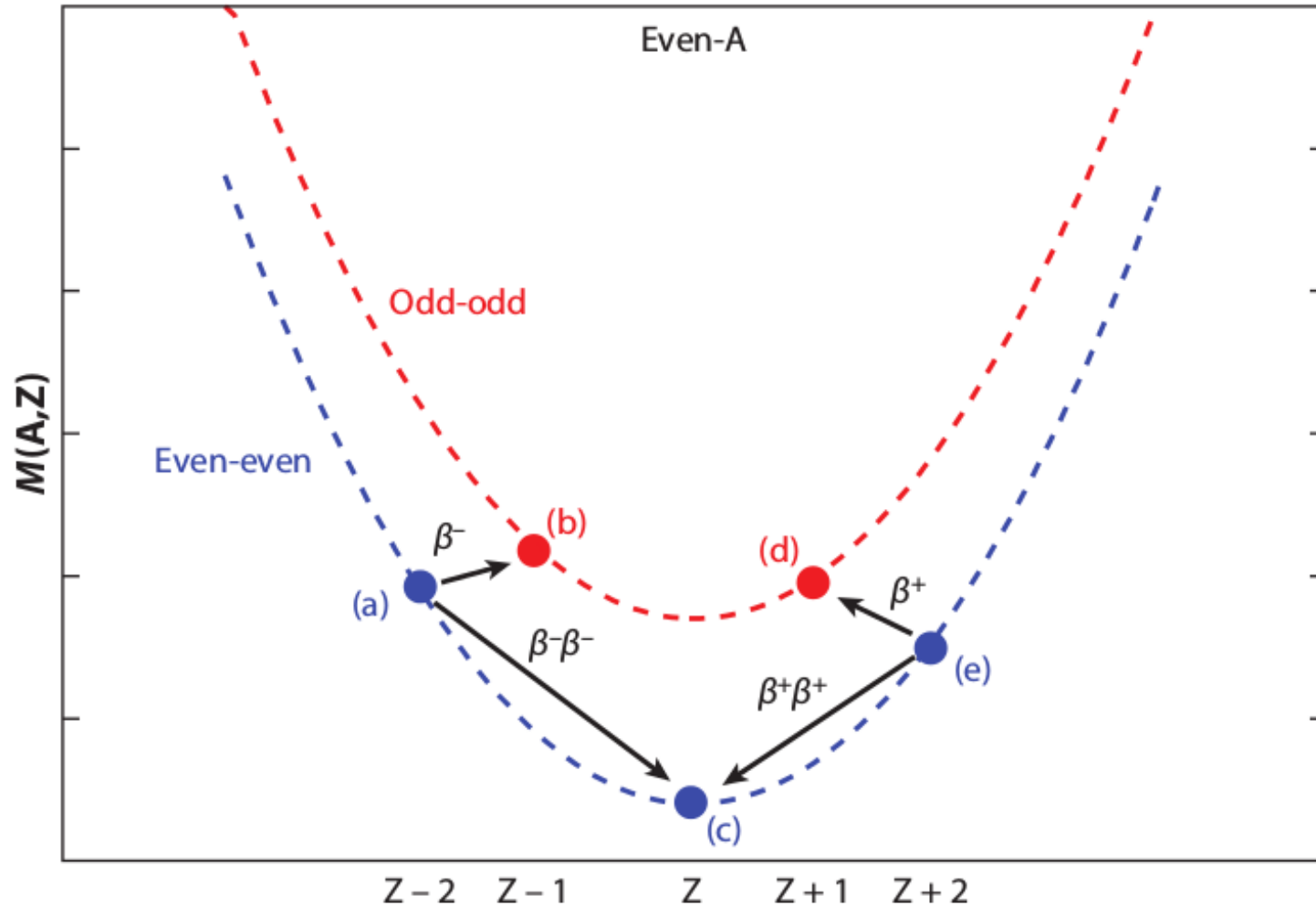
Xavier Mougeot



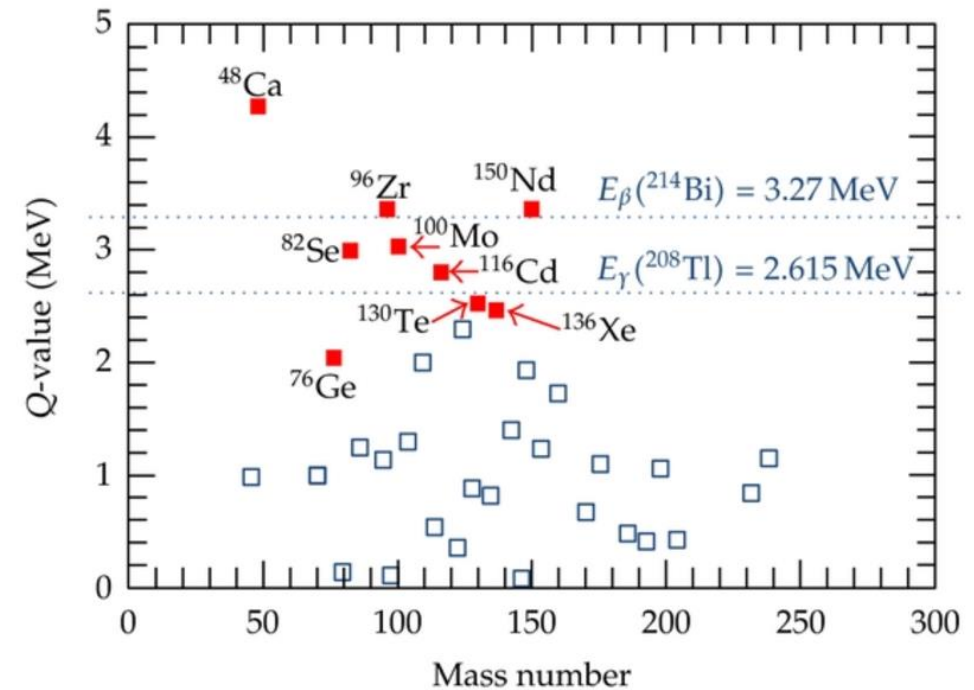
Jens Dilling

Neutrinoless Double Beta Decay

Nuclear “Double” Beta Decay

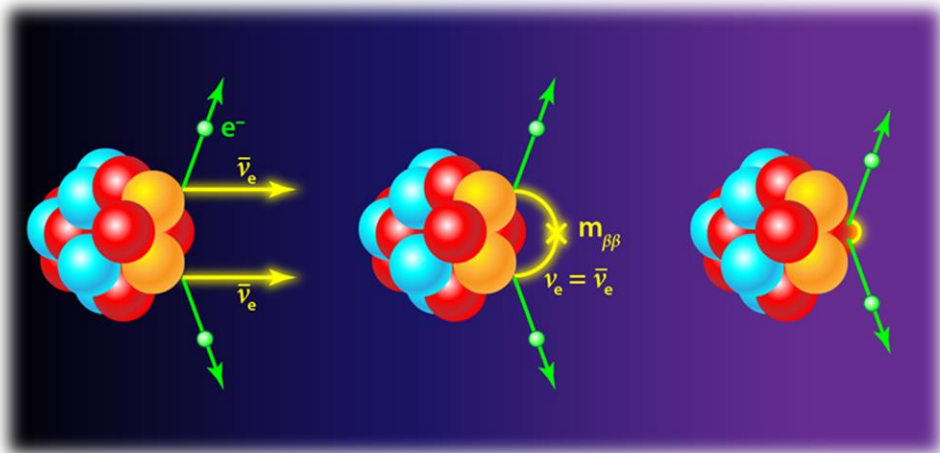


- Observed in cases where single β emission is energetically forbidden
- These “stable” systems can still undergo the higher-order process of double β decay



Ruben Saakyan, Ann. Rev. Nucl. Part. Sci. **63**, 503-529 (2013)

Neutrinoless Double Beta Decay



Possible process that requires BSM physics:

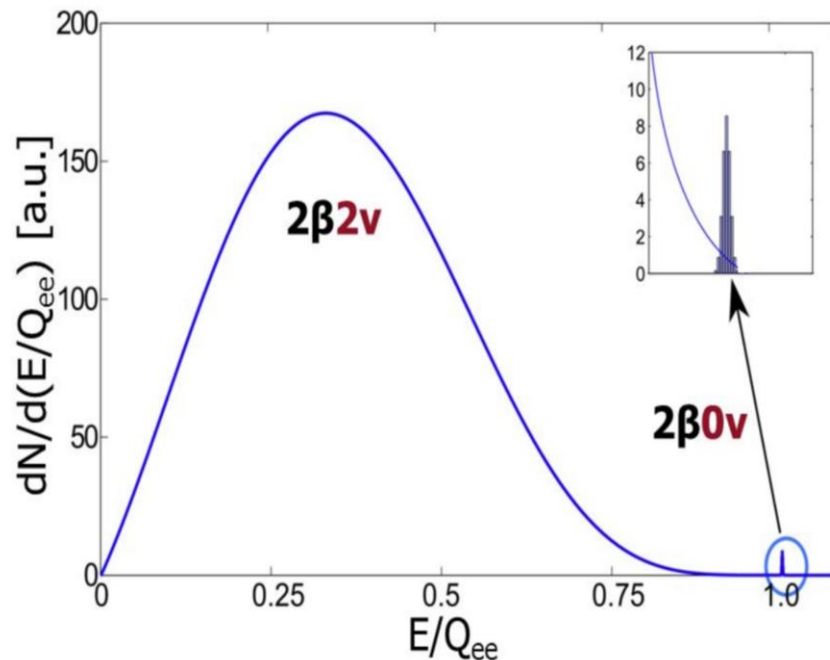
- Majorana nature of the neutrino
- Lepton number violation

Signature is clean, and can provide powerful limits on new physics scales:

- Two electrons with total energy Q
- Determine effective Majorana mass of the neutrino

$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu}(Q_{\beta\beta}, Z) |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

Measurements require large volumes of material (next-gen on the tonne-scale), and ultra-low-background experiments

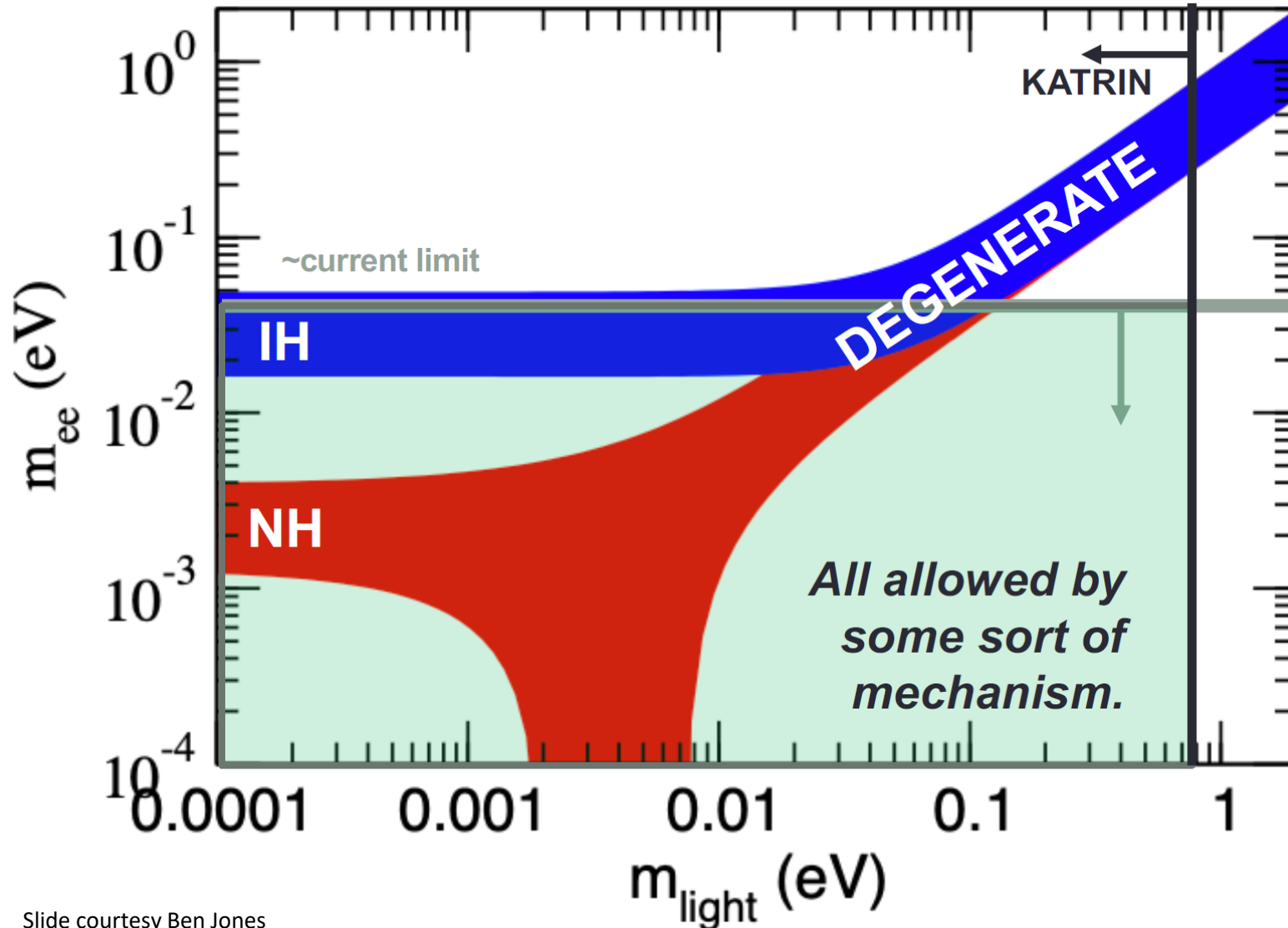


$0\nu\beta\beta$ Decay: The Smoking Gun Approach

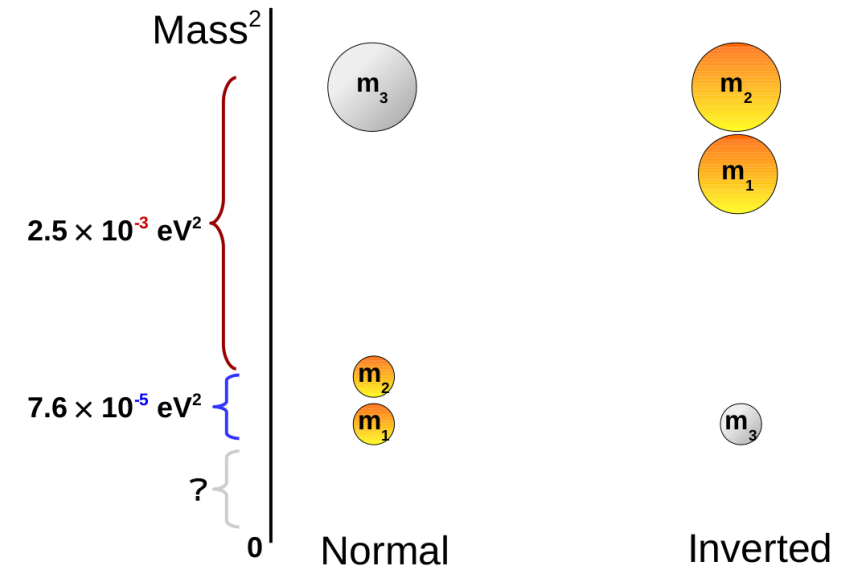
- 1) **Lepton number conservation is violated**
- 2) **Massive fermions exist that are neither matter or antimatter but something else (Majorana fermions)**
- 3) **The SM with the Majorana term is non-renormalizable \rightarrow Thus the SM is definitely a low energy effective theory**
- 4) **There are other mass generating mechanisms in nature beyond the standard Higgs mechanism**

Further, Majorana neutrinos are a prediction of the theory of Leptogenesis that *may* generate observed matter/anti-matter asymmetry of the Universe (if leptonic CPV)

Interesting Search Region for $0\nu\beta\beta$ Decay

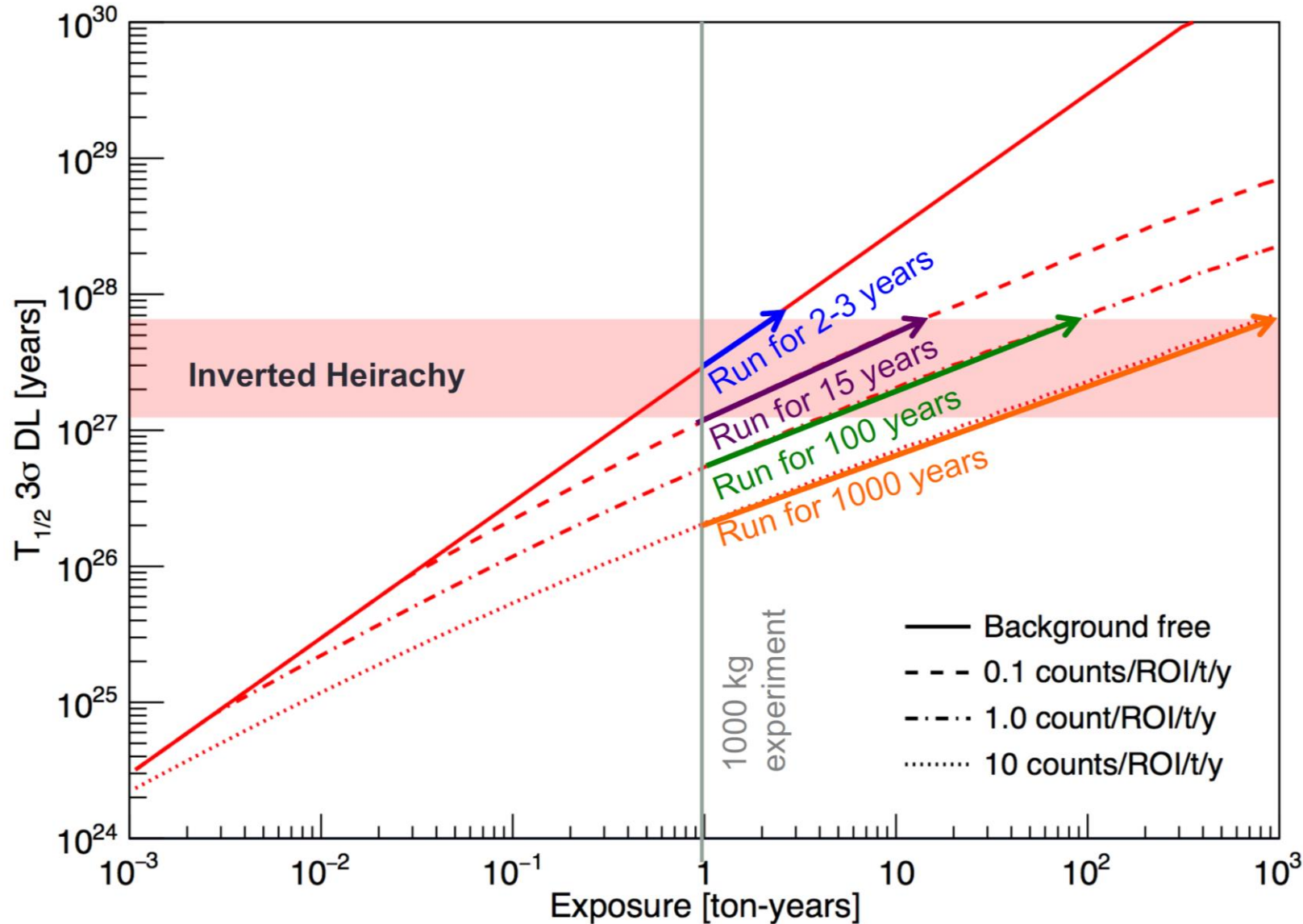


- The ordering of the neutrino mass states is not known, only their relative splittings
- Model predictions vary



Slide courtesy Ben Jones

Backgrounds, Backgrounds, Backgrounds.....



Slide courtesy Ben Jones

Conclusions

- We appear to be on the cusp of discovering a rich physical sector that lies beyond the SM and our best approach is to search for new observables predicted in simple neutrino mass extensions – in particular sub-MeV neutrino mass states and its possible Majorana nature
- Nuclear β decay is a powerful, model-independent probe of BSM physics that couples to the neutrino mass
- A number of new technologies have driven this field forward and we are just at the very beginning of exploring this developing research space
- Planned future work with superconducting sensors can expand this work to a larger range of quantum systems for additional BSM physics and applications!