

# No-core shell model calculations of the nuclear structure corrections to super-allowed nuclear beta decays

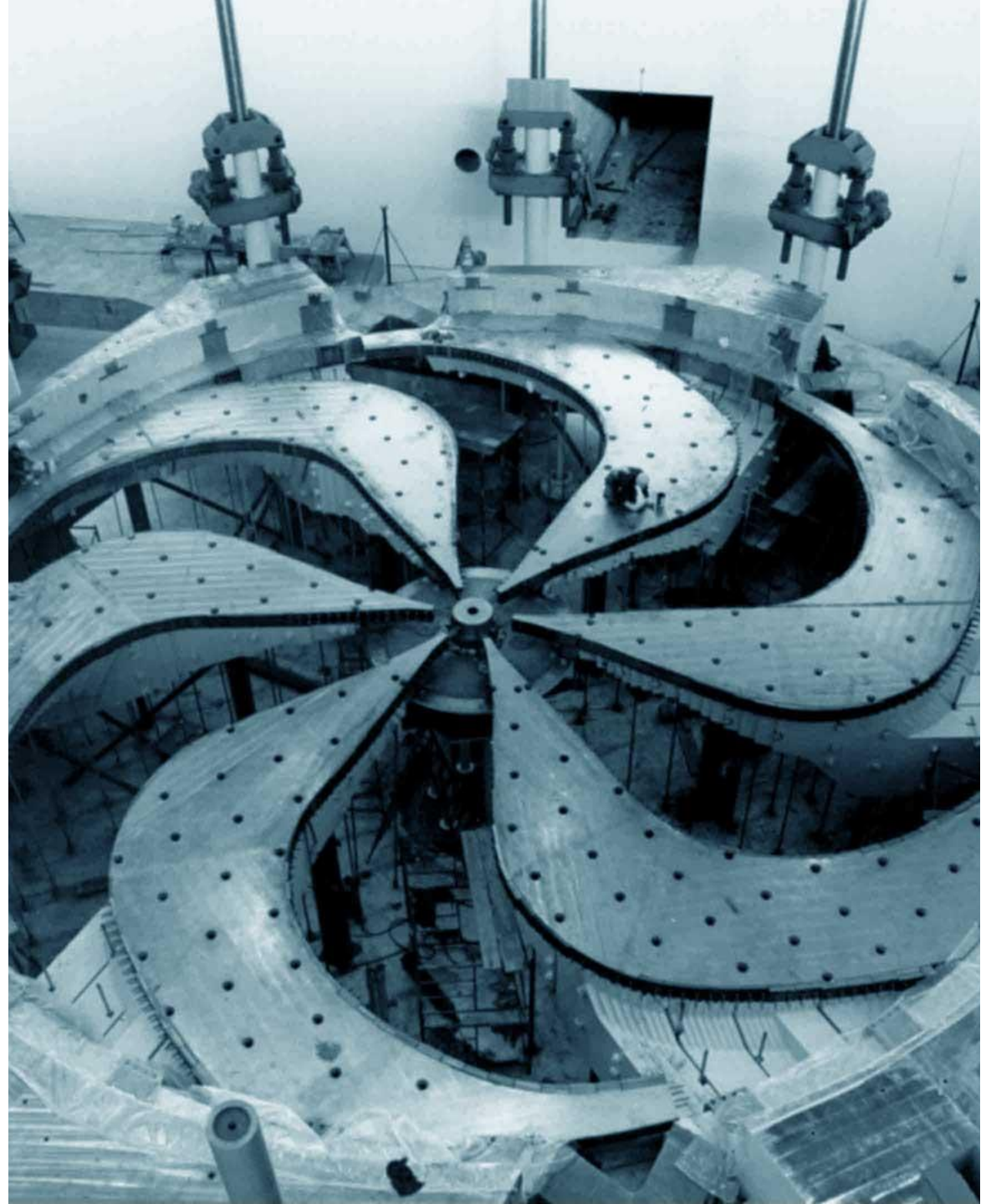
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Collaborators: Misha Gorchtein, Chien Yeah Seng,  
Mack Atkinson

2022-06-05



# $V_{ud}$ element of CKM matrix

$$\mathcal{L}_{CC} = -\frac{g}{\sqrt{2}} (\bar{u}_L, \bar{c}_L, \bar{t}_L) \gamma^\mu W_\mu^+ \underbrace{V_{CKM}}_{\text{CKM matrix}} \begin{pmatrix} d_L \\ s_L \\ b_L \end{pmatrix} + h.c.$$

2

- CKM unitarity sensitive probe of BSM physics
  - $V_{ud}$  element from super-allowed Fermi transitions **[1,2]**
  - theoretical uncertainties dominant

$$|V_{ud}|^2 = \frac{\hbar^7}{G_F^2 m_e^5 c^4} \frac{\pi^3 \ln(2)}{\mathcal{F}t}$$

$G_F \equiv$  Fermi coupling constant  
determined from muon  $\beta$  decay

Fermi transitions required  
nuclear theory input

## Shift in the unitarity landscape

- New dispersion integral approach indicates discrepancy **[3,4]**
- Disagreement is  $(2 - 3)\sigma$

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9985 \text{ (3)}_{V_{ud}} \text{ (4)}_{V_{us}}$$

[1] C. Y. Seng (2022)  
[2] P.A. Zyla et al. (2020)  
[3] C. Y. Seng et al. (2018)  
[4] Gorchtein et al. (2019)

# Nuclear Fermi transitions

- **CVC hypothesis:** Pure Fermi transitions give nucleus independent  $ft$  values

$$[4] \quad \mathcal{F}t = \frac{K}{G_V^2 |M_{F0}|^2 (1 + \Delta_R^V)}$$

$G_V \equiv$  vector coupling constant  
for nuclear beta decay

$$|M_{F0}|^2 \equiv |\langle \phi | T_{\pm} | \psi \rangle|^2$$

## Fermi transition corrections

$$\mathcal{F}t(1 + \Delta_R^V) = ft(1 + \delta'_R) \underline{(1 - \delta_C + \delta_{NS})}$$

- NS corrections
  - hadronic matrix elements modified by nuclear environment
  - renormalization of Fermi matrix element due to INC forces

# Nuclear Fermi transitions

$$\mathcal{F}t(1 + \Delta_R^V) = ft(1 + \delta'_R) \underline{(1 - \delta_C + \delta_{NS})}$$

- NS corrections
  - hadronic matrix elements modified by nuclear environment
  - renormalization of Fermi matrix element due to INC forces

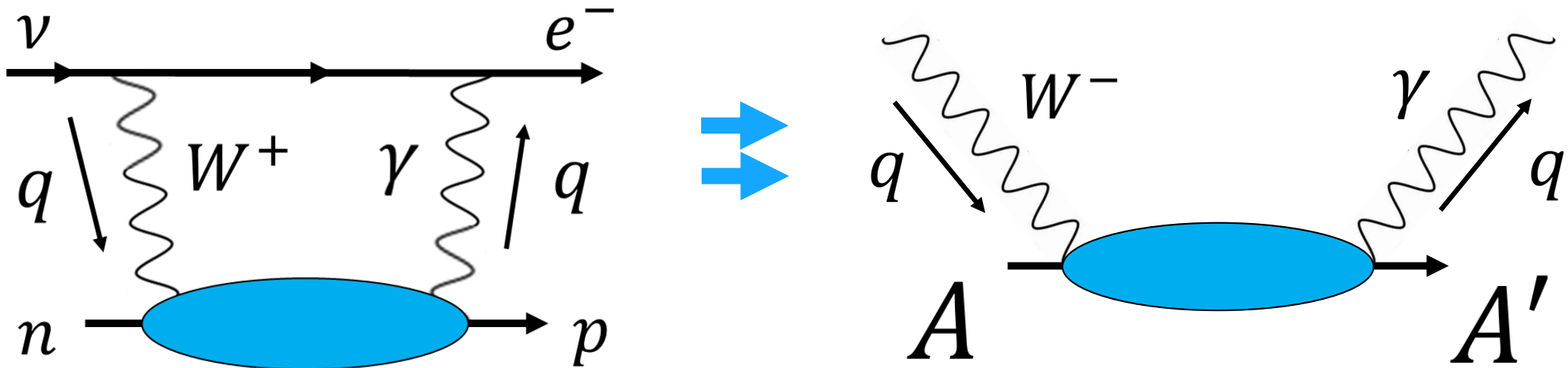
## Historical treatment (Hardy and Towner) [5]

- $\delta_{NS}$  from shell model and approximate single-nucleon currents
- $\delta_C$  from shell model with Woods-Saxon potential
- Dominant approach for a decade!

# $\gamma W$ -box

- Inner radiative correction governed by axial  $\gamma W$ -box

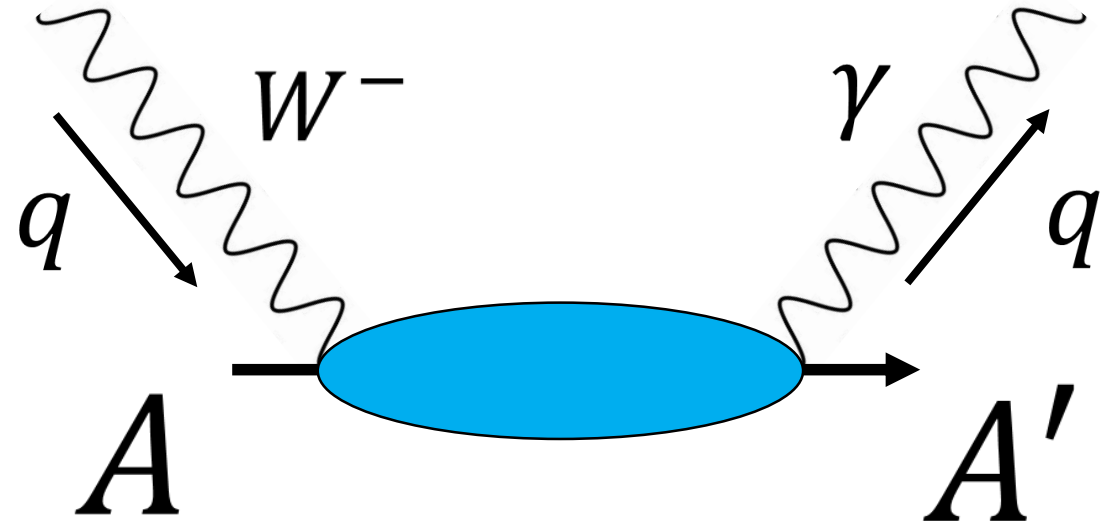
$$\delta M = -i\sqrt{2}G_F e^2 L^\lambda \int \frac{d^4 q}{(2\pi)^4} \frac{M_W^2}{M_W^2 - q^2} \frac{\epsilon^{\mu\nu\alpha\lambda}}{[(p_e - q)^2 - m_e^2]q^2} T_{\mu\nu}(p', p, q)$$



# $\Delta_R^V$ to $\delta_{NS}$

- Nuclear environment modifies hadronic matrix elements in  $\Delta_R^V$
- $\delta_{NS}$  parameterizes nuclear structure correction to  $\gamma W$ -box

$$\delta_{NS} = 2[\Box_{\gamma W}^{VA, \text{nuc.}} - \Box_{\gamma W}^{VA, \text{free n}}]$$



$$T_{\gamma W, \text{nuc.}}^{\mu\nu}(p, q) = \frac{1}{2} \int d^4x e^{iq \cdot x} \langle \phi_f(p) | T [J_{\text{em}}^\mu(x) J_W^\nu(0)^\dagger] | \phi_i(p) \rangle$$

# $\delta_{NS}$

7

- Want to evaluate with NCSM eigenstates
- Express currents in momentum space [6]
  - 1) Fourier transform 3-currents
  - 2) Relate plane-wave states to QM states
  - 3) Multipole expansion of invariant amplitude  $T_3$

$$J(\vec{q}) = \int d^3r e^{-i\vec{q}\cdot\vec{r}} J(0, \vec{r})$$

$$\begin{aligned} T_3(q_0, Q^2) = & -4\pi i \frac{q_0}{q} \sqrt{M_i M_f} \sum_{J=1}^{\infty} (2J + 1) \\ & \times \langle A\lambda_f J_f M_f | \left[ T_{J_0}^{mag}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,el}(q) + T_{J_0}^{el}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,mag}(q) \right. \\ & \left. + T_{J_0}^{5,mag}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{el}(q) + T_{J_0}^{5,el}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{mag}(q) \right] | A\lambda_i J_i M_i \rangle \end{aligned}$$

# $\delta_{NS}$

- Want to evaluate with NCSM eigenstates
- Express currents in momentum space [6]
  - Fourier transform 3-currents
  - Relate plane-wave states to QM states
  - Multipole expansion of invariant amplitude  $T_3$

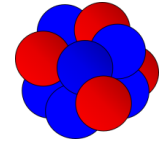
$$J(\vec{q}) = \int d^3r e^{-i\vec{q}\cdot\vec{r}} J(0, \vec{r})$$

How do we efficiently compute nuclear Green's functions?

$$T_3(q_0, Q^2) = -4\pi i \frac{q_0}{q} \sqrt{M_i M_f} \sum_{J=1}^{\infty} (2J+1) \\ \times \langle A\lambda_f J_f M_f | \left[ T_{J0}^{mag}(q) \boxed{G(M_f + q_0 + i\epsilon)} T_{J0}^{5,el}(q) + T_{J0}^{el}(q) \boxed{G(M_f + q_0 + i\epsilon)} T_{J0}^{5,mag}(q) \right. \\ \left. + T_{J0}^{5,mag}(q) \boxed{G(M_i - q_0 + i\epsilon)} T_{J0}^{el}(q) + T_{J0}^{5,el}(q) \boxed{G(M_i - q_0 + i\epsilon)} T_{J0}^{mag}(q) \right] | A\lambda_i J_i M_i \rangle$$



# No-core shell model (NCSM)



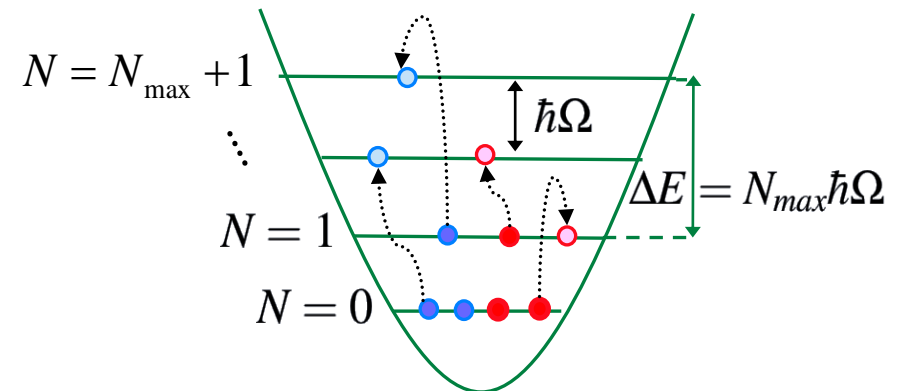
- *Ab initio* approach to many-body Schrödinger equation for bound states and narrow resonances [7]

$$H|\Psi_A^{J^\pi T}\rangle = E^{J^\pi T}|\Psi_A^{J^\pi T}\rangle$$

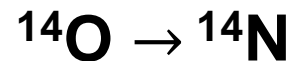
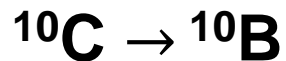
$$|\Psi_A^{J^\pi T}\rangle = \sum_{N=0}^{N_{max}} \sum_{\alpha} c_{N\alpha}^{J^\pi T} |\Phi_{N\alpha}^{J^\pi T}\rangle$$

- NN+3N interactions are sole input
- Two body: NN-N<sup>4</sup>LO(500) [8]
- Three body: 3N<sub>int</sub> [9]

Anti-symmetrized products of many-body HO states



Accessible transitions



[7] Barrett et al. (2013)  
 [8] Entem et al. (2017)  
 [9] Somà et al. (2020)

# Lanczos continued fractions method

- Reformulate as inhomogeneous Schrödinger equation **[10]**

$$(H - E\mathbb{1})|\Phi_A^{J^\pi T}\rangle = \hat{O}|\Psi_A^{J^\pi T}\rangle$$

$$\begin{aligned} H\mathbf{v}_1 &= \alpha_1\mathbf{v}_1 + \beta_1\mathbf{v}_2 \\ H\mathbf{v}_2 &= \beta_1\mathbf{v}_1 + \alpha_2\mathbf{v}_2 + \beta_2\mathbf{v}_3 \\ H\mathbf{v}_3 &= \beta_2\mathbf{v}_2 + \alpha_3\mathbf{v}_3 + \beta_3\mathbf{v}_4 \\ H\mathbf{v}_4 &= \beta_3\mathbf{v}_3 + \alpha_4\mathbf{v}_4 + \beta_4\mathbf{v}_5 \end{aligned}$$

$$|v_1\rangle = \frac{\hat{O}|\Psi_A^{J^\pi T}\rangle}{\langle\Psi_A^{J^\pi T}|\hat{O}^\dagger\hat{O}|\Psi_A^{J^\pi T}\rangle}$$

Choose specific starting vector

# Lanczos continued fractions method

- Reformulate as inhomogeneous Schrödinger equation **[10]**

$$(H - E\mathbb{1})|\Phi_A^{J^\pi T}\rangle = \hat{O}|\Psi_A^{J^\pi T}\rangle$$

$$\begin{aligned} H\mathbf{v}_1 &= \alpha_1\mathbf{v}_1 + \beta_1\mathbf{v}_2 \\ H\mathbf{v}_2 &= \beta_1\mathbf{v}_1 + \alpha_2\mathbf{v}_2 + \beta_2\mathbf{v}_3 \\ H\mathbf{v}_3 &= \beta_2\mathbf{v}_2 + \alpha_3\mathbf{v}_3 + \beta_3\mathbf{v}_4 \\ H\mathbf{v}_4 &= \beta_3\mathbf{v}_3 + \alpha_4\mathbf{v}_4 + \beta_4\mathbf{v}_5 \end{aligned}$$

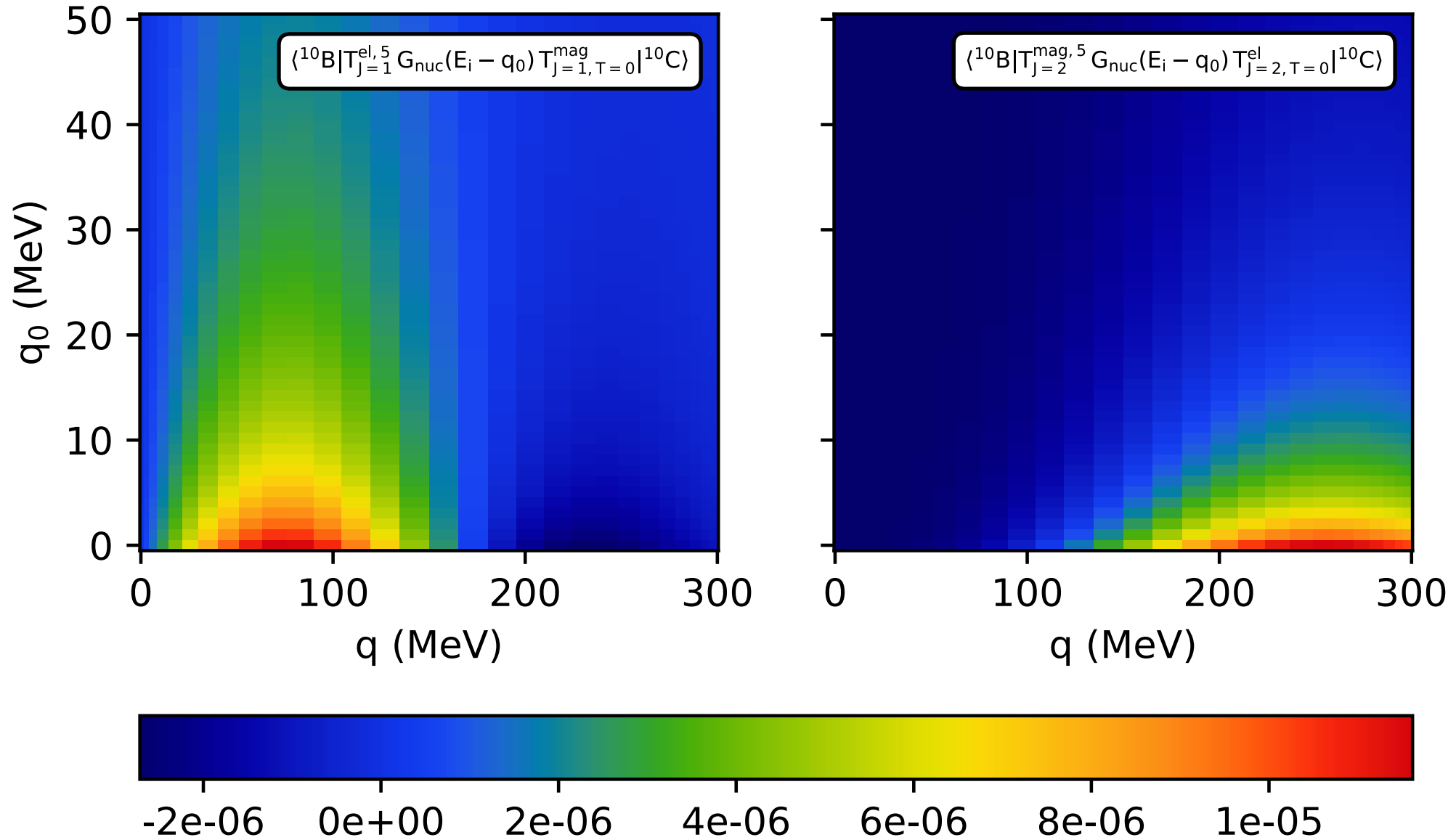
- Resolvent cast in terms of Lanczos basis vectors with continued fraction coefficients **[11]**
- Avoids direct calculation of intermediate nuclear states

# $\delta_{NS}$ in NCSM

$$T_3(q_0, Q^2) = -4\pi i \frac{q_0}{q} \sqrt{M_i M_f} \sum_{J=1}^{\infty} (2J + 1) \\ \times \langle A\lambda_f J_f M_f | \left[ T_{J_0}^{mag}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,el}(q) + T_{J_0}^{el}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,mag}(q) \right. \\ \left. + T_{J_0}^{5,mag}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{el}(q) + T_{J_0}^{5,el}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{mag}(q) \right] | A\lambda_i J_i M_i \rangle$$

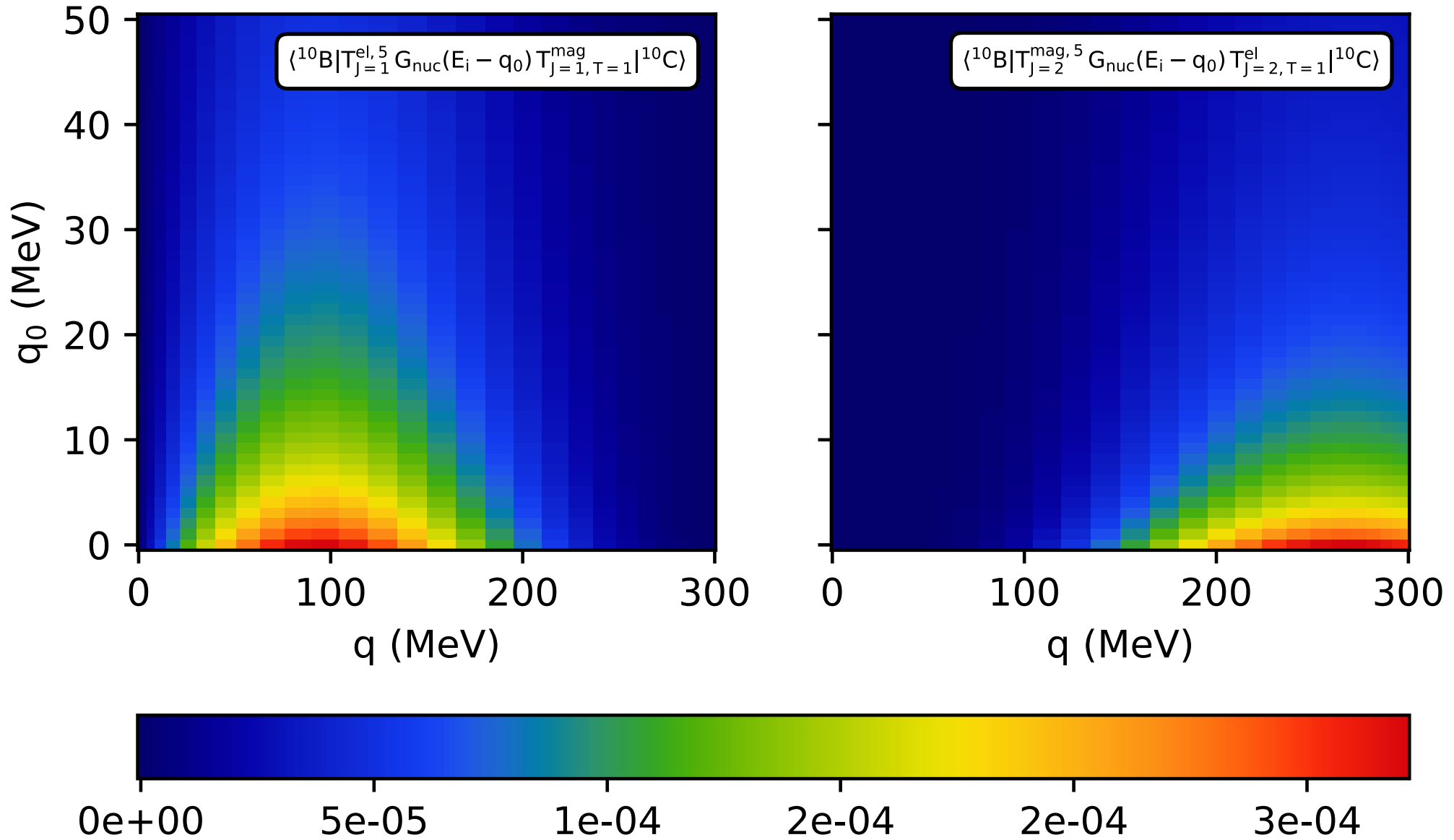
$G(M_i - q_0 + i\epsilon)$  terms:  $T = 0$  EM current

Preliminary



$G(M_i - q_0 + i\epsilon)$  terms:  $T = 1$  EM current

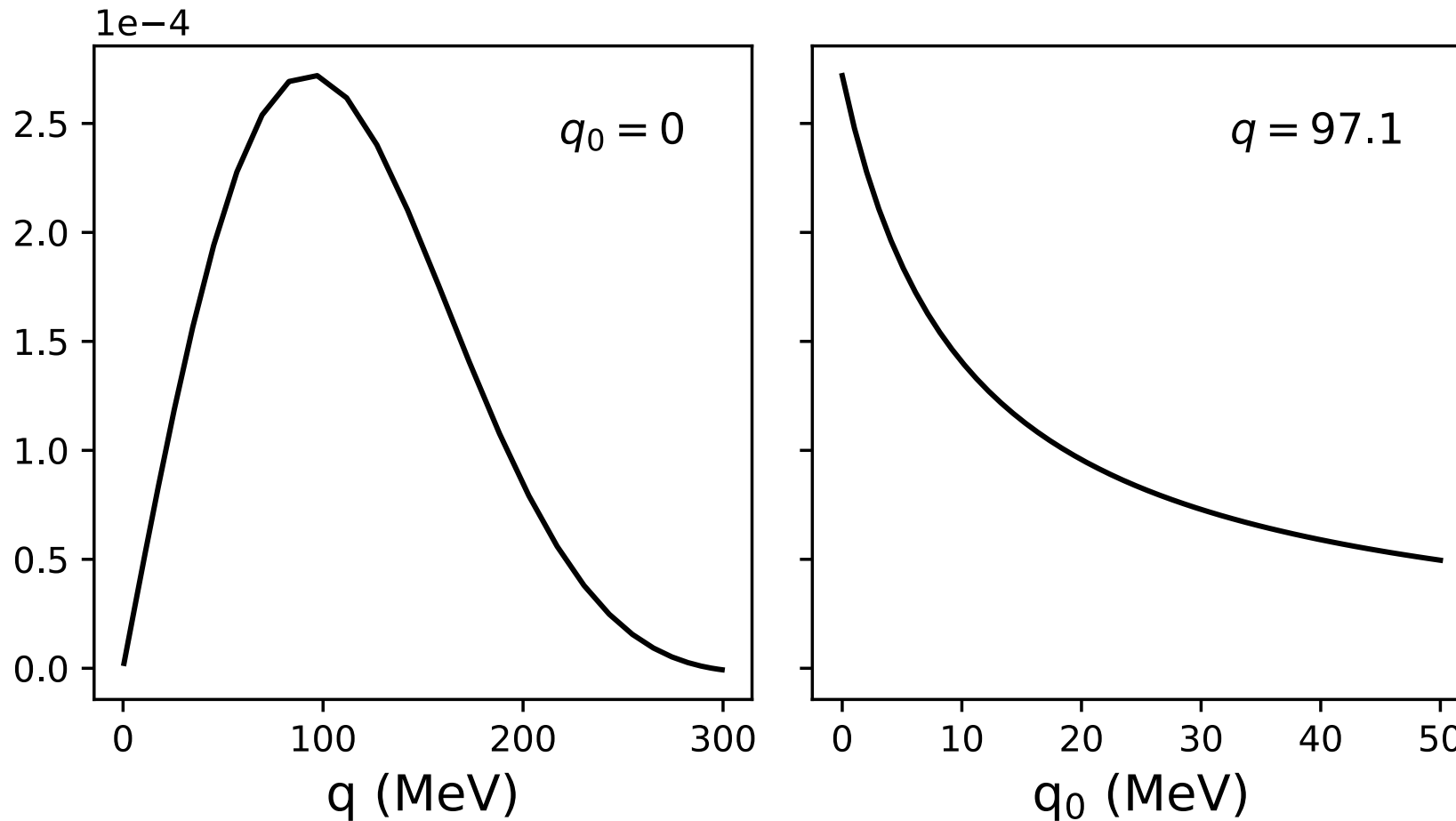
Preliminary



# $G(M_i - q_0 + i\epsilon)$ terms: $T = 1$ EM current

Preliminary

$$\langle {}^{10}\text{B} | T_{J=1}^{\text{el},5} G_{\text{nuc}}(E_i - q_0) T_{J=1, T=1}^{\text{mag}} | {}^{10}\text{C} \rangle$$



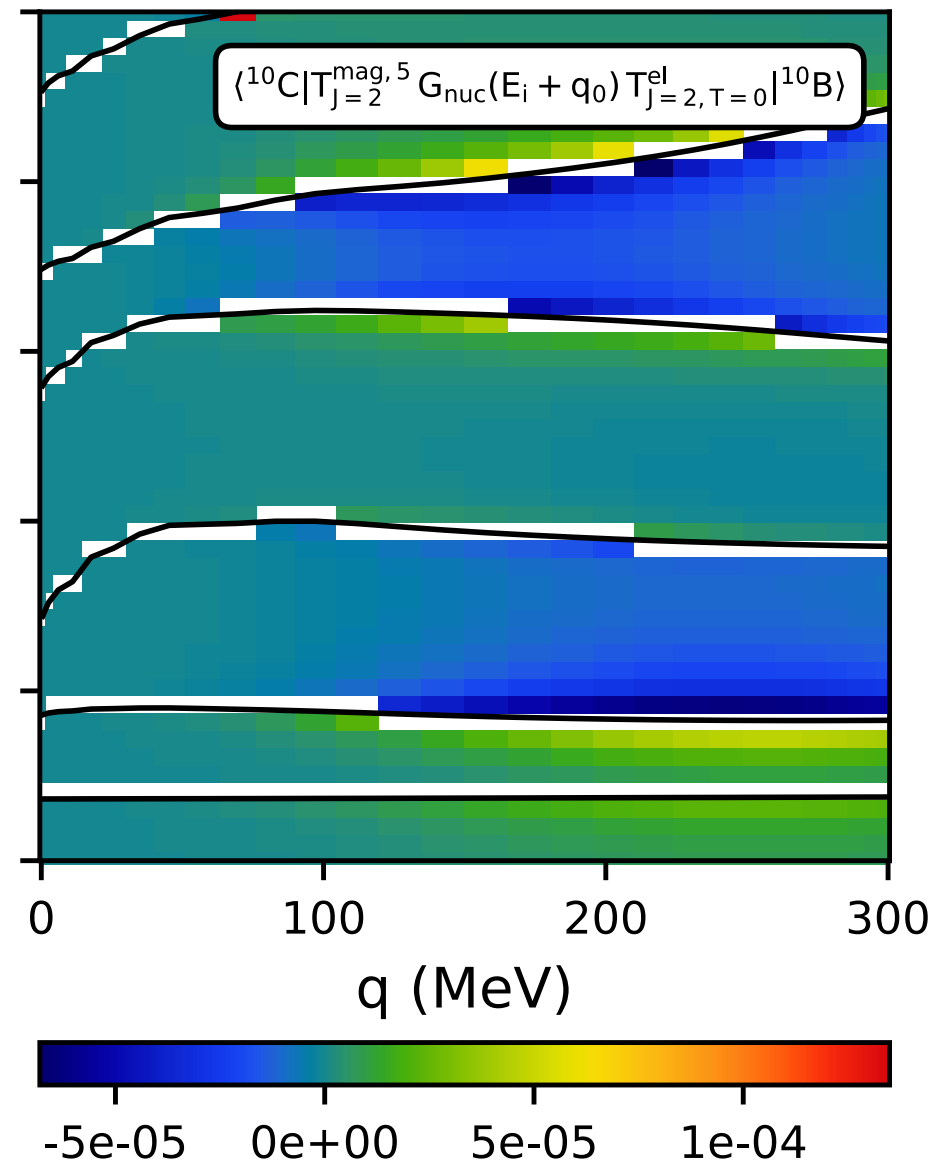
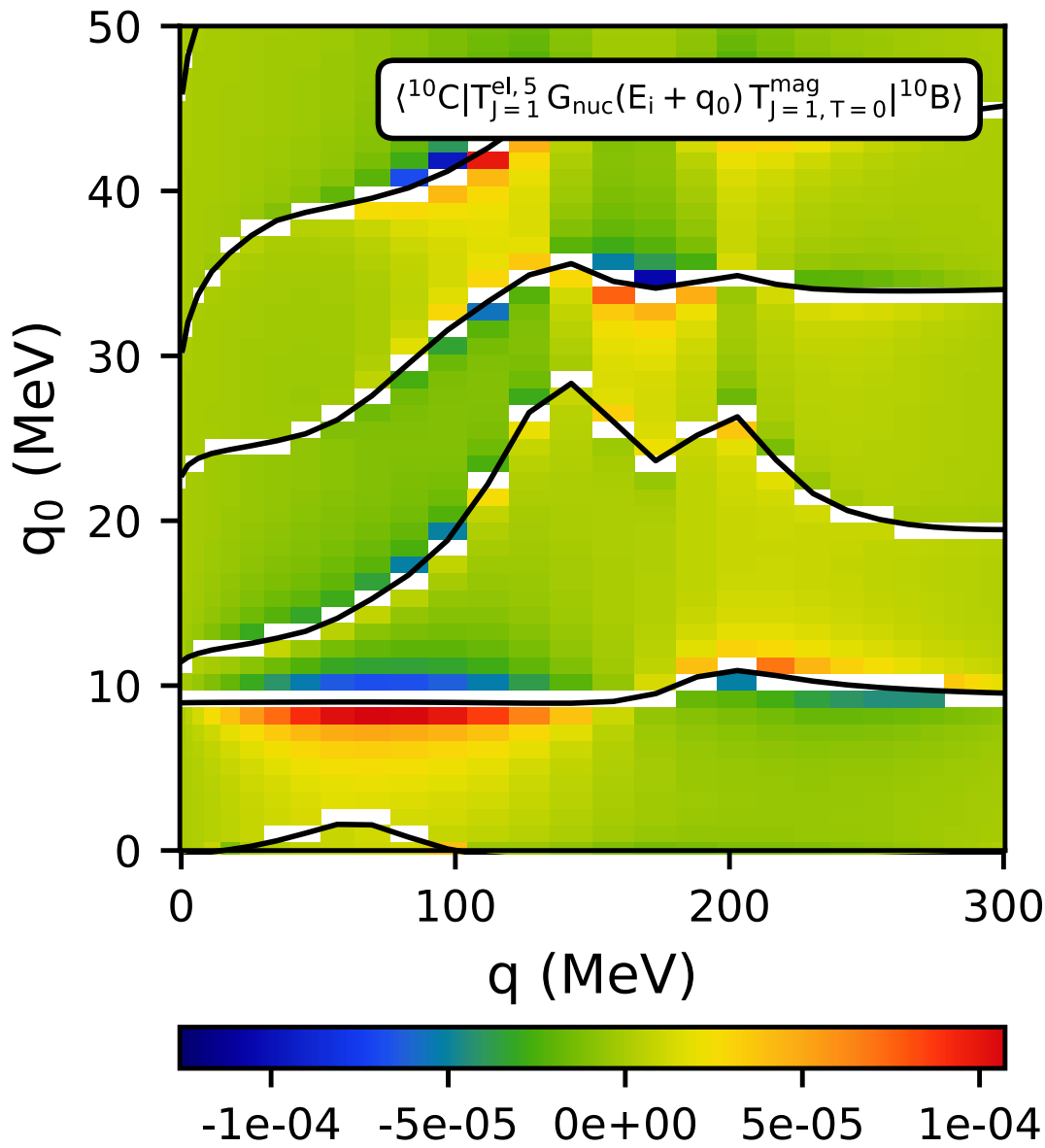
# $\delta_{NS}$ in NCSM

$$T_3(q_0, Q^2) = -4\pi i \frac{q_0}{q} \sqrt{M_i M_f} \sum_{J=1}^{\infty} (2J + 1) \\ \times \langle A\lambda_f J_f M_f | \left[ T_{J_0}^{mag}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,el}(q) + T_{J_0}^{el}(q) G(M_f + q_0 + i\epsilon) T_{J_0}^{5,mag}(q) \right. \\ \left. + T_{J_0}^{5,mag}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{el}(q) + T_{J_0}^{5,el}(q) G(M_i - q_0 + i\epsilon) T_{J_0}^{mag}(q) \right] | A\lambda_i J_i M_i \rangle$$



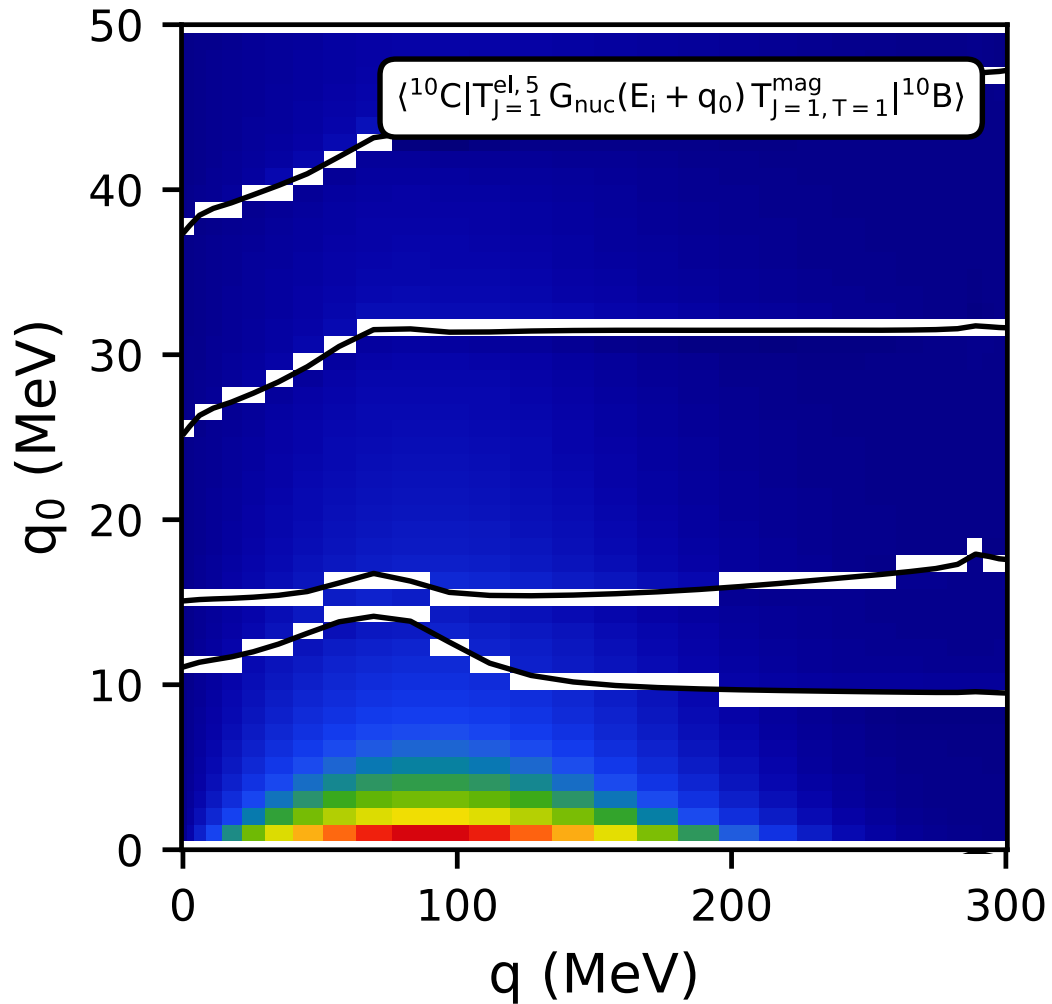
# $G(M_i + q_0 + i\epsilon)$ terms: $T = 0$ EM current

Preliminary

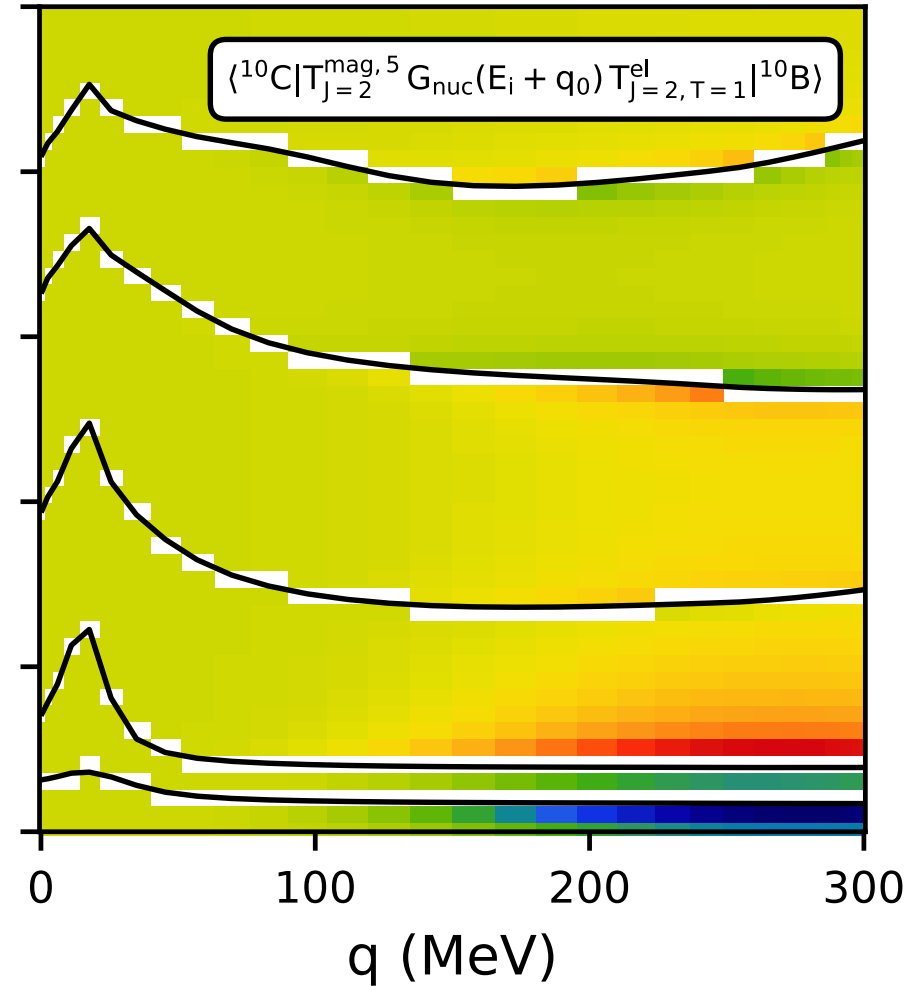


# $G(M_i + q_0 + i\epsilon)$ terms: $T = 1$ EM current

Preliminary



$0e+00$   $1e-03$   $2e-03$   $3e-03$   $4e-03$   $5e-03$

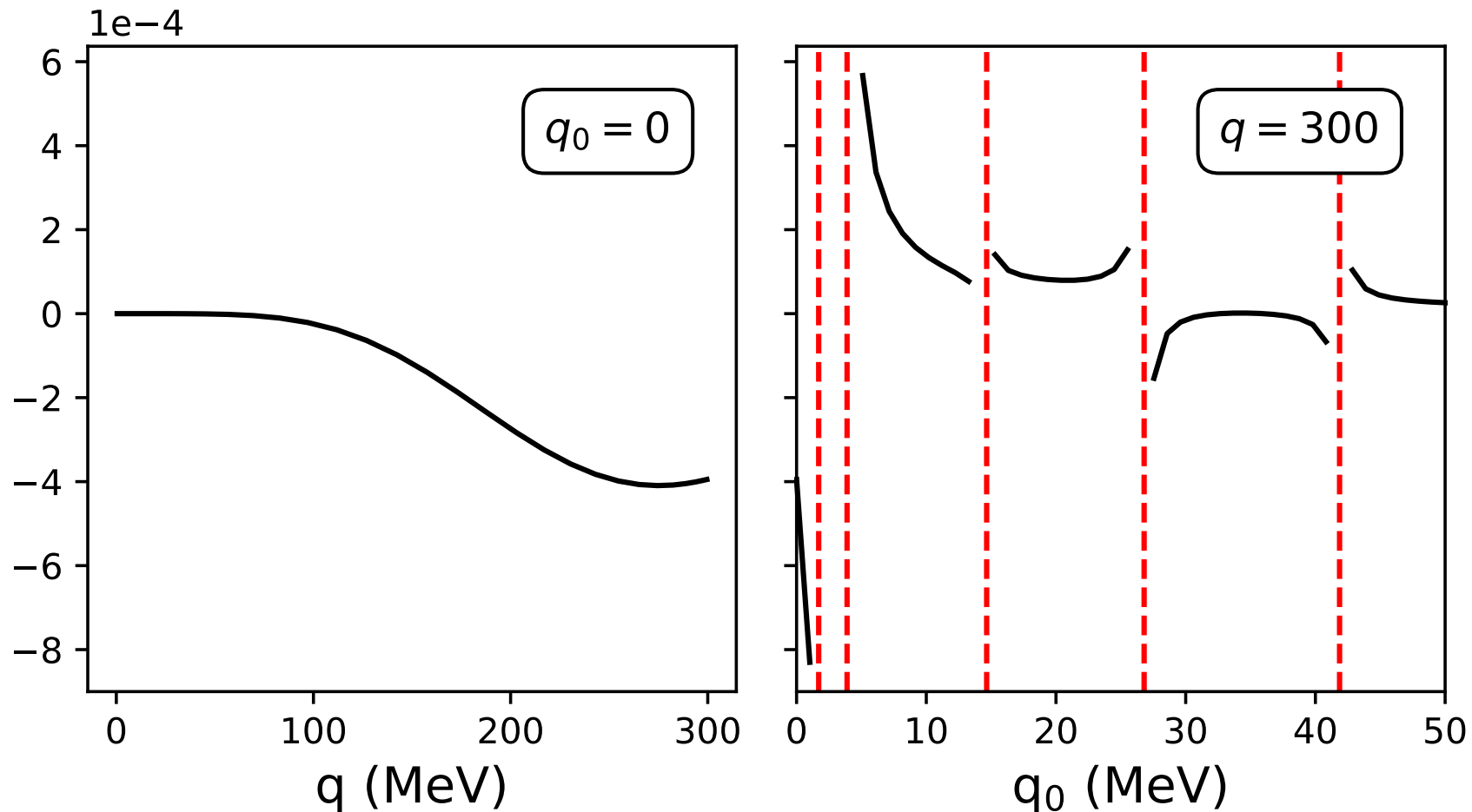


$-8e-04$   $-5e-04$   $-3e-04$   $0e+00$   $3e-04$   $5e-04$

# $G(M_i + q_0 + i\epsilon)$ terms: $T = 1$ EM current

Preliminary

$$\langle {}^{10}\text{C} | T_{J=2}^{\text{mag},5} G_{\text{nuc}}(E_i + q_0) T_{J=2, T=1}^{\text{el}} | {}^{10}\text{B} \rangle$$



# Conclusions

- Both  $\delta_{NS}$  and  $\delta_C$  calculations underway in NCSM/NCSCMC
- Consistent framework for nuclear structure corrections to Fermi transitions
- $^{14}\text{O} \rightarrow ^{14}\text{N}$  transition within reach

## Outlook

- Additional checks on  $\delta_{NS}$  determination
- Ensure numerical calculations respect symmetries of  $T_3$
- More serious uncertainty quantification

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Thank you  
Merci

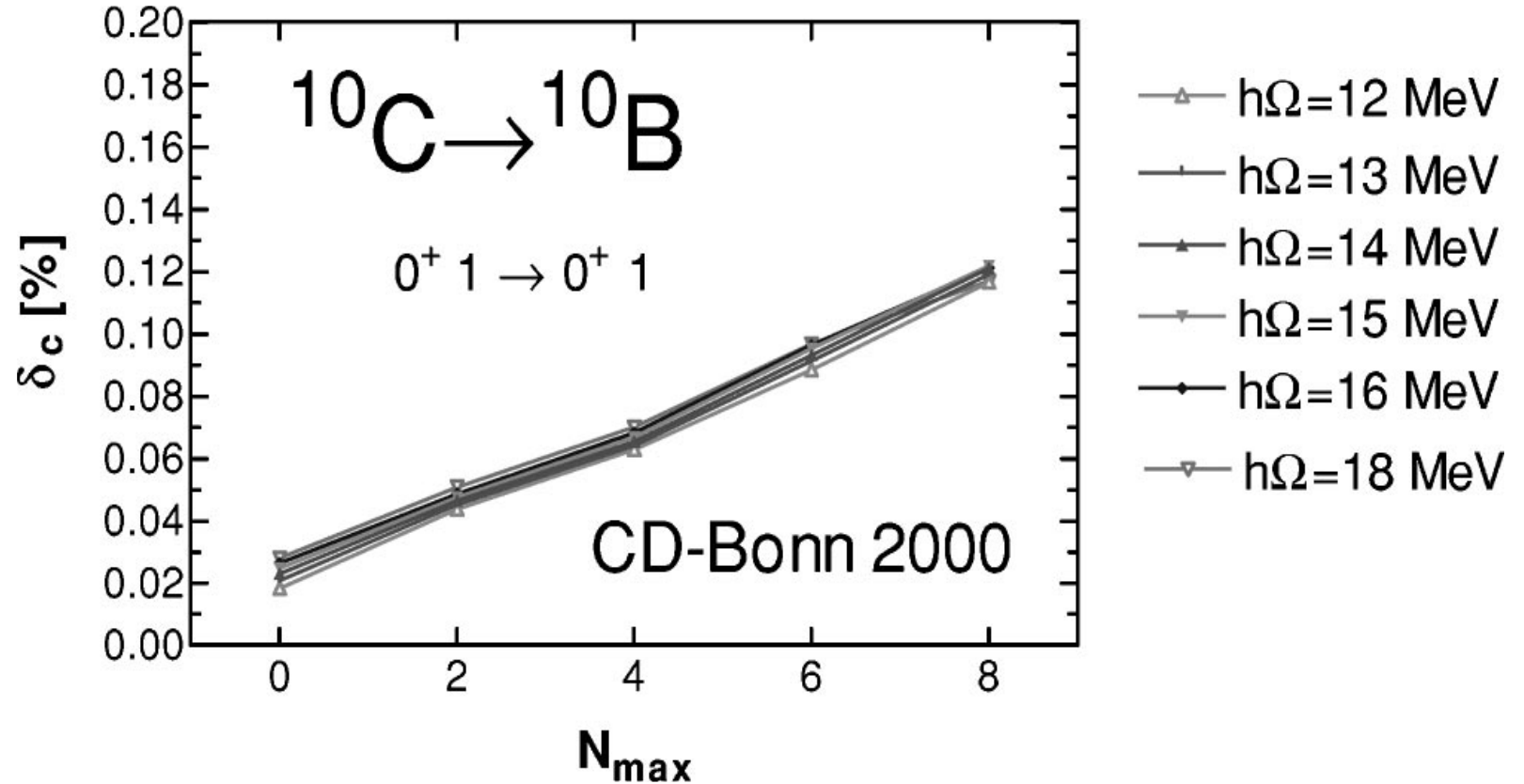
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# $\delta_C$ in NCSM

- $N_{max}$  convergence for  $\delta_C$  very poor
- Greater correlations in bound states required



PHYSICAL REVIEW C 66, 024314 (2002)

## *Ab initio* shell model for $A=10$ nuclei

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<sup>3</sup>Department of Physics and Astronomy, Iowa State University, Ames, Iowa 50011

(Received 10 May 2002; published 13 August 2002)



# $\delta_C$ in NCSM with continuum (NCSMC) [12,13]

$$|^{10}\text{C}\rangle = \sum_{\alpha} c_{\alpha} |^{10}\text{C}, \alpha\rangle_{\text{NCSM}} + \sum_{\nu} \int dr \gamma_{\nu}(r) \mathcal{A}_{\nu} |^9\text{B} + p, \nu\rangle$$

$$\left| \begin{array}{c} \text{Cluster of 8 nucleons} \\ \text{Cluster of 8 nucleons} \end{array}, \alpha \right\rangle_{\text{NCSM}} + \left[ \left| \begin{array}{c} \text{Cluster of 8 nucleons} \\ \text{Nucleon} \end{array}, \nu \right\rangle^{(s)} Y_l(\hat{r}_{12}) \right]^{(J^{\pi})}$$

$$M_F = \left\langle \Psi^{J^{\pi} T_f M_{T_f}} \left| T_+ \right| \Psi^{J^{\pi} T_i M_{T_i}} \right\rangle \longrightarrow |M_F|^2 = |M_{F0}|^2 (1 - \delta_C)$$

# Fermi matrix element in NCSMC

- Using NCSMC wavefunction compute Fermi matrix element  $M_F$

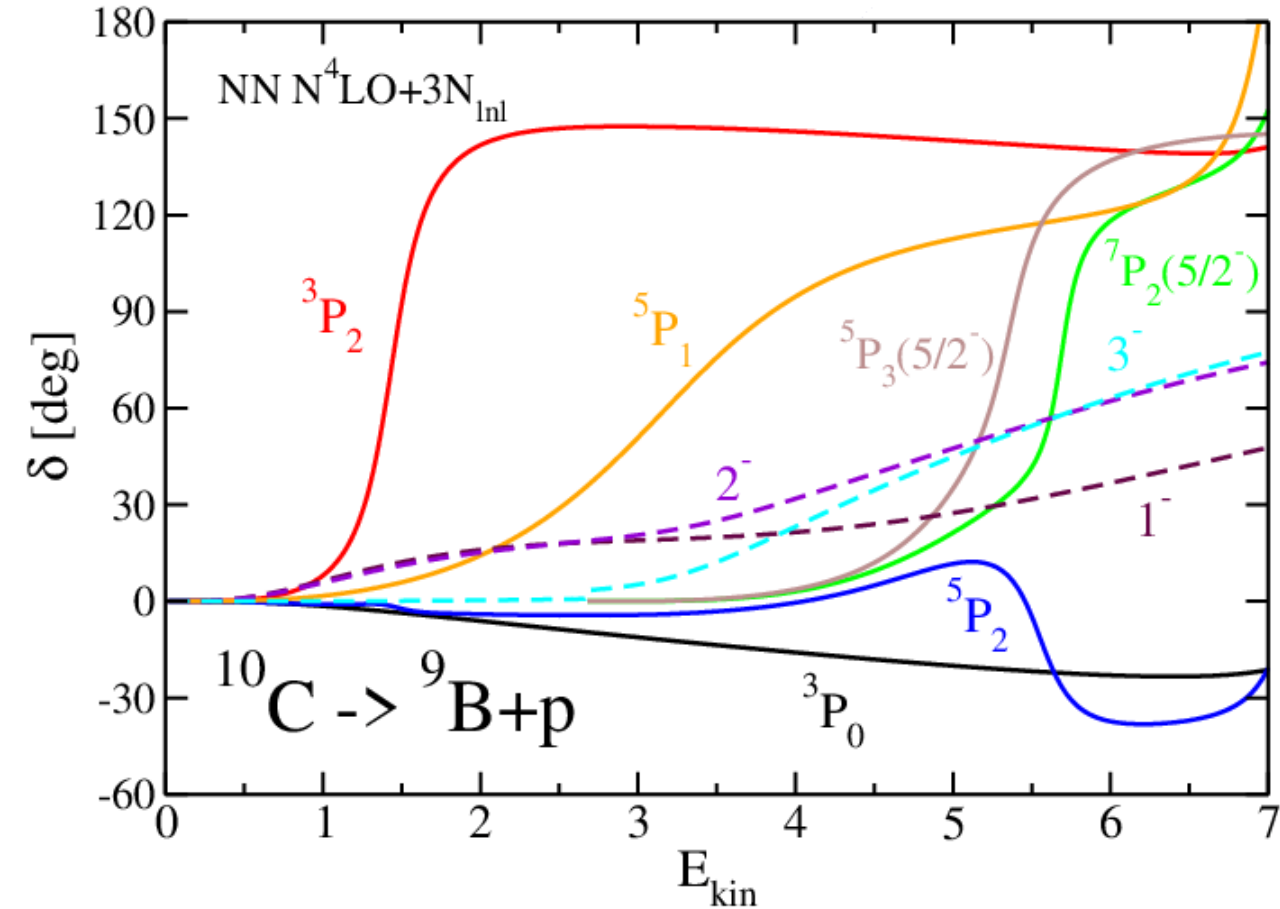
$$M_F = \left\langle \Psi^{J^\pi T_f M_{T_f}} \left| T_+ \right| \Psi^{J^\pi T_i M_{T_i}} \right\rangle$$

- Isospin operator  $T_+ = T_+^{(1)} + T_+^{(2)}$  for partitions
- Expression derived by Dr. Atkinson

$$M_F \sim \underbrace{\langle A\lambda_f J_f T_f M_{T_f} | T_+ | A\lambda J_i T_i M_{T_i} \rangle}_{\text{NCSM matrix element}} + \underbrace{\langle A\lambda J_f T_f M_{T_f} | T_+ \mathcal{A}_{\nu i} | \Phi_{\nu r}^{J_i T_i M_{T_i}} \rangle}_{\text{NCSM-Cluster matrix elements}} + \underbrace{\langle \Phi_{\nu r}^{J_f T_f M_{T_f}} | \mathcal{A}_{\nu f} T_+ | A\lambda_i J_i T_i M_{T_i} \rangle}_{\text{NCSM-Cluster matrix elements}} + \underbrace{\langle \Phi_{\nu r}^{J_f T_f M_{T_f}} | \mathcal{A}_{\nu f} T_+ \mathcal{A}_{\nu i} | \Phi_{\nu r}^{J_i T_i M_{T_i}} \rangle}_{\text{Continuum (cluster) matrix element}}$$

# $^{10}\text{C}$ structure result

$$|^{10}\text{C}\rangle = \sum_{\alpha} c_{\alpha} |^{10}\text{C}, \alpha\rangle_{\text{NCSM}} + \sum_{\nu} \int dr \gamma_{\nu}(r) \mathcal{A}_{\nu} |^9\text{B} + p, \nu\rangle$$



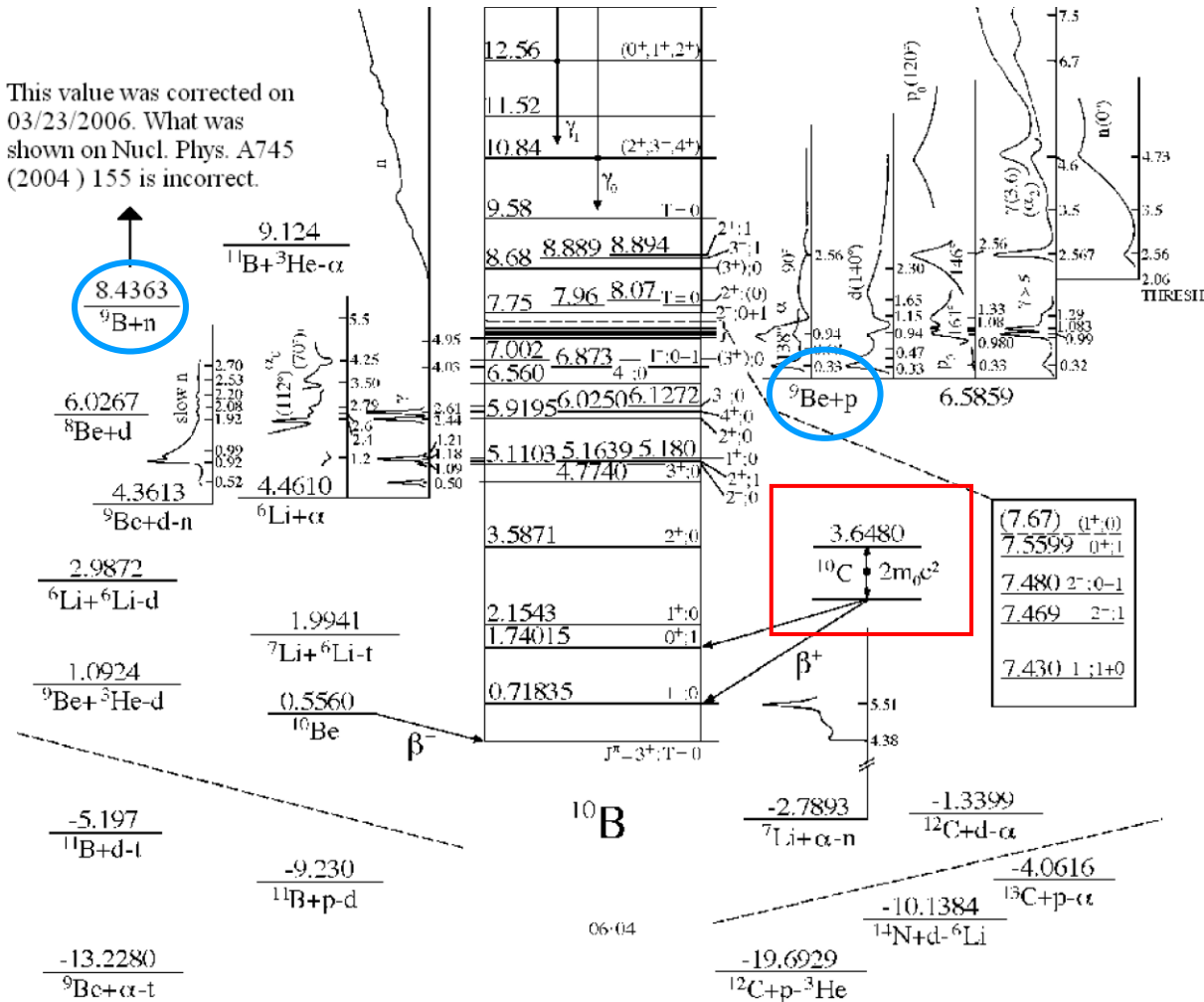
- Phase and eigenphase shifts for  $p + ^9\text{B}(3/2^-, 5/2^-, 1/2^-)$  scattering
- Two known experimental bound states  $0^+$  and  $2^+$  captured well
- NCSMC provides good description of  $0^+$  for calculation of  $\delta_C$

State	Energy (MeV)	Excitation energies
$0^+$	-3.62 (Exp. -4.006)	0.0
$2^+$	-0.11 (Exp. -0.652)	3.54 (Exp. 3.3536)

# $^{10}\text{B}$ structure result

$$|^{10}\text{B}\rangle = \sum_{\alpha} c_{\alpha} |^{10}\text{B}, \alpha\rangle_{\text{NCSM}} + \sum_{\nu} \int dr \gamma_{\nu}(r) \mathcal{A}_{\nu} |^9\text{Be} + p, \nu\rangle + \sum_{\mu} \int dr \gamma_{\mu}(r) \mathcal{A}_{\mu} |^9\text{B} + n, \mu\rangle$$

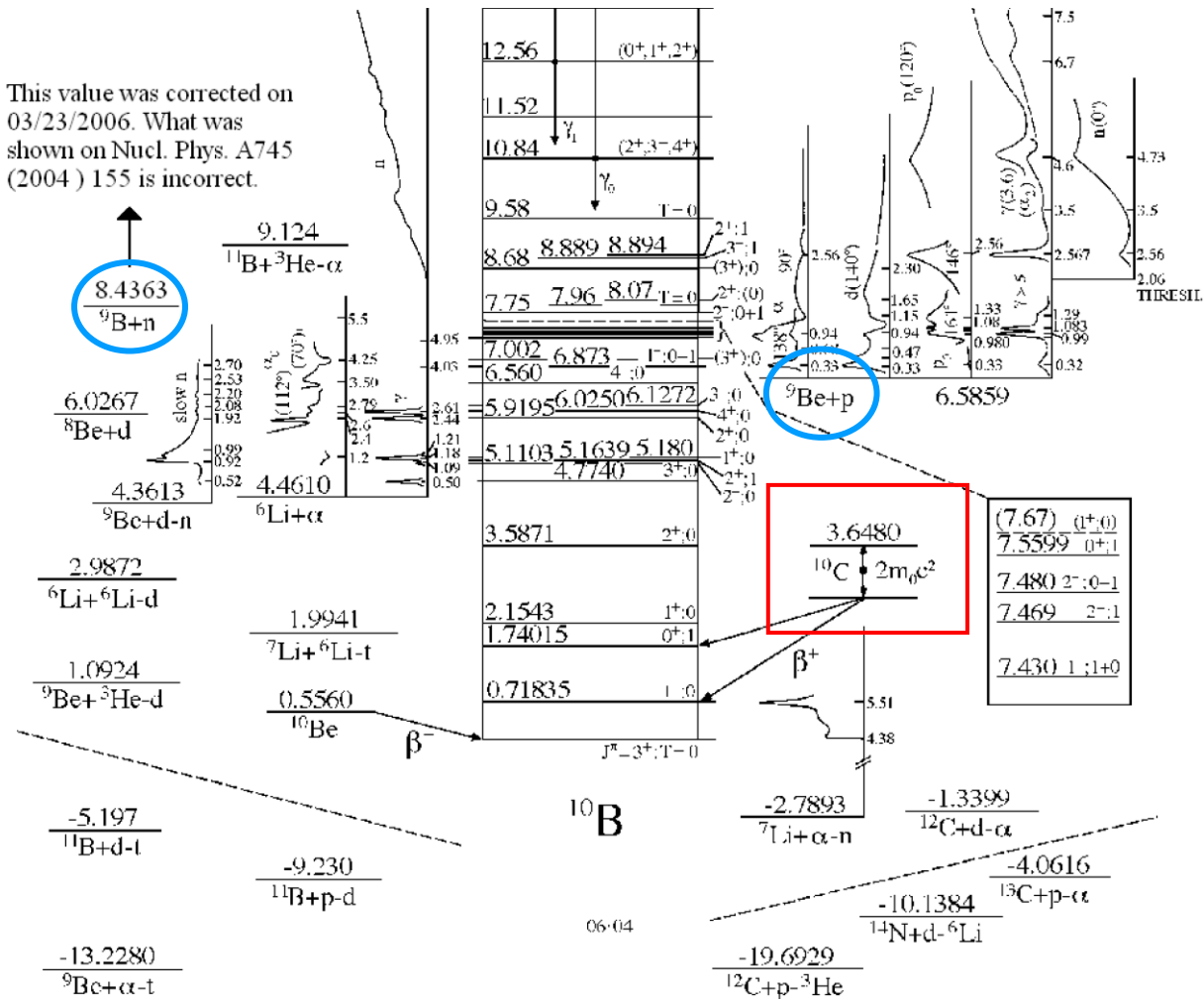
- Eight of twelve bound states predicted using  $(3/2^{-}, 5/2^{-})$  states of  $^9\text{B}$  and  $^9\text{Be}$
- Novel charge exchange partitions give quality  $0^{+}$  structure for  $\delta_C$  calculation



State	Energy (MeV)	Excitation energies
$3^{+}$	-5.75 (Exp. -6.5859)	0.0
$1^{+}$	-5.33 (Exp. -5.8676)	0.43 (Exp. 0.7184)
$0^{+}$	-4.30 (Exp. -4.8458)	1.45 (Exp. 1.7402)
$1^{+}$	-4.26 (Exp. -4.4316)	1.49 (Exp. 2.1543)
$2^{+}$	-2.69 (Exp. -2.9988)	3.06 (Exp. 3.5871)
$2^{+}$	-0.93 (Exp. -1.4220)	4.82 (Exp. 5.1639)
$2^{+}$	-0.70 (Exp. -0.6664)	5.05 (Exp. 5.9195)
$4^{+}$	-0.19 (Exp. -0.5609)	5.56 (Exp. 6.0250)

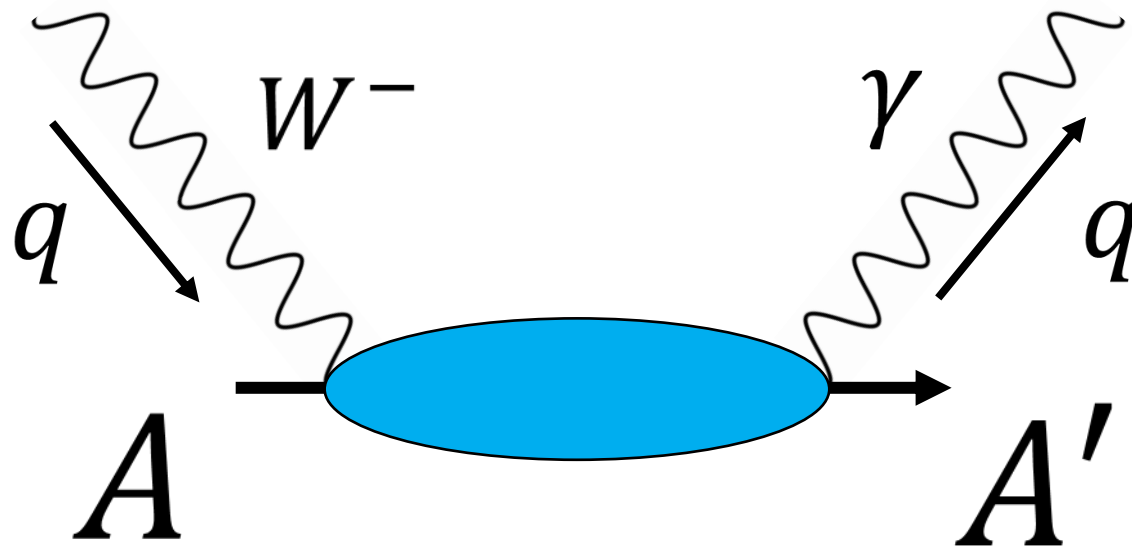
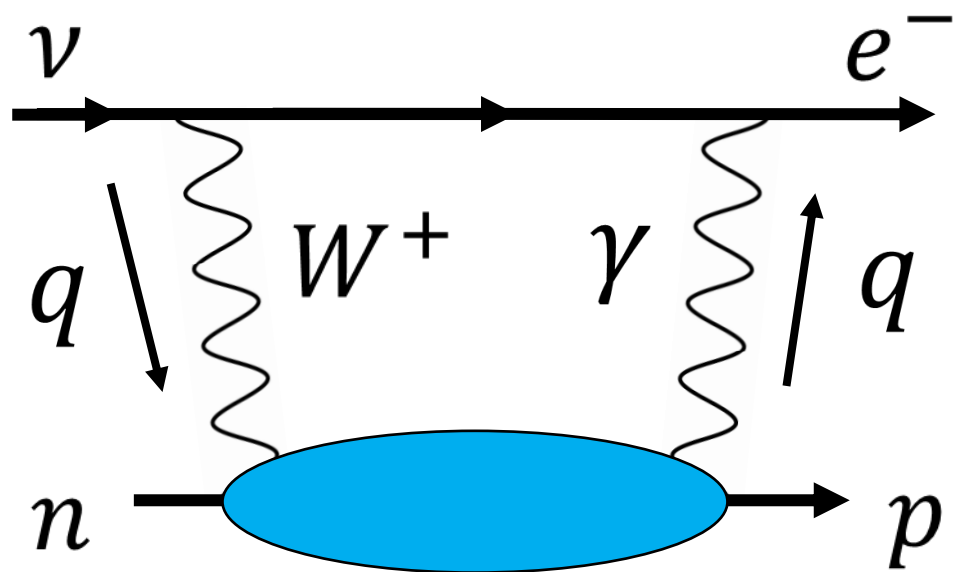
# $^{10}\text{B}$ structure result

NUCLEAR HAMILTONIAN [13,14]



- Correct ordering of  $3^+$  and excited  $1^+$
- Ordering sensitive to three-body part of nuclear Hamiltonian [13,14]

State	Energy (MeV)	Excitation energies
$3^+$	$-5.75$ (Exp. $-6.5859$ )	$0.0$
$1^+$	$-5.33$ (Exp. $-5.8676$ )	$0.43$ (Exp. $0.7184$ )
$0^+$	$-4.30$ (Exp. $-4.8458$ )	$1.45$ (Exp. $1.7402$ )
$1^+$	$-4.26$ (Exp. $-4.4316$ )	$1.49$ (Exp. $2.1543$ )
$2^+$	$-2.69$ (Exp. $-2.9988$ )	$3.06$ (Exp. $3.5871$ )
$2^+$	$-0.93$ (Exp. $-1.4220$ )	$4.82$ (Exp. $5.1639$ )
$2^+$	$-0.70$ (Exp. $-0.6664$ )	$5.05$ (Exp. $5.9195$ )
$4^+$	$-0.19$ (Exp. $-0.5609$ )	$5.56$ (Exp. $6.0250$ )



$$\left| \begin{array}{c} \text{Nucleon} \\ \alpha \end{array} \right\rangle_{\text{NCSM}} + \left[ \left| \begin{array}{c} \text{Nucleon} \\ \nu \end{array} \right\rangle^{(s)} Y_l(\hat{r}_{12}) \right]^{(J^\pi)}$$

