# The Dune Experiment: Physics Reach and Progress on Prototyping



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# Outline

- Introduction: LBNF & DUNE Far Detector
- Progress on Prototyping: ProtoDUNE
- DUNE Physics sensitivity

# LBNF DUNE Facility

1-6 GeV muon neutrinos/antineutrinos obtained from high-power proton beam (1.2 MW – upgradable to 2.4 MW)

Near detector will characterize the beam (100s of millions of neutrino interactions)

Far Detector is >40 kton fiducial mass Liquid Argon Time Projection Chambers (LAr TPC) – fine granularity



# **DUNE Far Detector**



4 chambers, 10kt fiducial mass each



Long-Baseline Neutrino Facility South Dakota Site

Ross Shaft 1.5 km to surface 
 A
 A

 B
 Field cage

First 10 kt module will be Single Phase (SP) design, LAr Time Projection Chamber (LArTPC) divided into 4 drift volumes

4850 Level of Sanford Underground Research Facility



### ProtoDUNE



- At CERN neutrino platform, have built 2 prototypes, 1/20<sup>th</sup> the size of planned DUNE
- Single Phase detector collected hadron data and cosmic ray data from Fall 2018
- Dual Phase detector completed June 2019, started operations Sept 2019



Y, time

Ionized charges drift vertically and read out on PCB anode. Signal amplified in gas phase by micropattern gas detector (LEM). Gain in gas phase reduces stringent requirements on electronics – 6m drift (12 m drift for FD)

Ionized charges drift horizontally, read out on wires (3.6 m drift). Requires low-noise electronics since no signal amplification the liquid. E field of 500 V/cm

## ProtoDUNE SP

- Charge deposition per unit length (dQ/dx) affected by space-charge effect, recombination effect, electron attenuation, diffusion, electronics gain variation
- Detector response calibration is based on cosmic muons – shows good results for test beam protons and muons
- High quality of ProtoDUNE-SP demonstrated by excellent proton-muon separation



# **DUNE** Analysis Techniques



 Image recognition (convolutional visual network - CVN) classify neutrino interactions in FD



- Appearance efficiency neutrino beam mode
- Work in progress to evaluate DUNE CVN for ProtoDUNE-SP data

# Mass Ordering and CP violation



•  $5\sigma$  sensitivty after 2 years of running

#### CP violation Sensitivity



• 5  $\sigma$  sensitivity after 10 years of running for 50% of  $\delta_{CP}$  values

Staged plan:

2 FD modules (20 kt), 1.2 MW beam  $\longrightarrow$  + 1 FD module  $\xrightarrow{3 \text{ yrs}}$  + 1 FD module  $\xrightarrow{6 \text{ yrs}}$  Upgrade to 2.4 MW beam

### Hints Extra Neutrinos?

Most theories explaining neutrino masses need extra neutrinos and/or non-standard neutrino interactions (NSI)

LSND, MiniBoone, Gallium, Reactor anomalies



• some preference for  $3\nu$  + NSI with current data in NSI + matter: arXiv:1907.00991v1

 $10^3$  TeV  $10^{-3} eV$ .1 eV  $10^2 \text{ TeV}$  $10^2 \text{GeV}$ TeV eV KeV GeV MeV 12 Extra  $\nu$  affect Neutrino Factories **B**-factories High Energy Collider oscillations

### Hints Extra Neutrinos?



#### Sensitivity to Extra Neutrinos



Extra Neutrino mass

#### Sensitivity to Extra Neutrinos



#### Extra Neutrino mass

100 GeV

GeV

MeV

#### Sensitivity to Extra Neutrinos



#### Extra Neutrino mass

#### Solar Neutrinos

WIMP Mass  $[GeV/c^2]$ 



#### Atmospheric & SuperNova Neutrinos

 Can use atmospheric neutrinos to extract neutrino properties



- Core collapses expected to occur few times per century (at 10-15 kpc): test astrophysical theories, probe new physics
- When massive star collapses to neutron star/black hole,  $\sim 10^{58}$  of  $\sim 10$  MeV  $\nu$  emitted for a few seconds.
- DUNE sensitive to  $v_e$  supernova neutrinos- this is unique among supernova neutrino detectors for the next decades. Tracks can indicate direction of supernova

$$\nu_e + {}^{40} \mathrm{Ar} \to e^- + {}^{40} \mathrm{K}^*$$



### Planned Canadian Contributions



# Computing, Calibration & Supernova neutrinos



More manpower is very welcome! If you have other project ideas or expertise in LAr technologies, data acquisition, electronics etc., please contact us! We will organize expertise sharing workshop soon.

#### FELIX readout system & Extra neutrino & NSI searches







# Summary & Outlook

- DUNE is a broad band energy neutrino experiment
- ProtoDUNE is running smoothly and performing well
- DUNE will have unprecedent sensitivity to neutrino mass hierarchy, CP violation, and searches for extra neutrinos. A rich atmospheric and solar neutrino program is under development.
- Canada is getting involved please feel free to join
- TDR completed (<u>https://arxiv.org/pdf/2002.03005.pdf</u>)

# Backup

### Uncertainties

#### **Energy Scale Uncertainties**

$$E'_{rec} = E_{rec} \times (p_0 + p_1 \sqrt{E_{rec}} + \frac{p_2}{\sqrt{E_{rec}}})$$

Particle	$p_0$	$p_1$	$p_2$
all (except muons)	2%	1%	2%
$\mu$ (range)	2%	2%	2%
$\mu$ (curvature)	1%	1%	1%
p, $\pi^\pm$	5%	5%	5%
e, $\gamma$ , $\pi^0$	2.5%	2.5%	2.5%
n	20%	30%	30%



**Extra Neutrino Searches & NSI** 

$$\mathcal{L} = \mathcal{L}_{SM} + \dots$$

$+ \delta \mathcal{L}^{d=5}$	$+\delta \mathcal{L}^{d=6}$	$+\delta \mathcal{L}^{d=8}$	$+\delta \mathcal{L}^{d=9}$	+φ
Neutrino mass generation (if mass hierarchy too big, naturally get light $3\nu$ ), but other dimensions suppressed – and get no observable phenomena at energies we can reach (Seesaw I/II/III)	Non Standard Neutrino Interactions (NSI) Minimal Unitarity violation (MUV) After EW symmetry breaking ->PMNS non- unitarity induced by mixing with heavy neutrinos. Implies breaking lepton universality and lepton flavor violation (inverse or linear seesaw)	NSI – strong matter effects. Not sensitive at Colliders, but are at neutrino facilities	Dark photon & extra neutrino motivated by LNSD/ MiniBoone	Add new Scaler - Radiative models. (some type 1 radiative models have NSI, all type II radiative models don't have NSI)
	$H = \frac{1}{2E} \left[ U_{\rm PMNS} \begin{pmatrix} 0 \\ \Delta m_{21}^2 \\ \Delta m_{31}^2 \end{pmatrix} U_{\rm PMNS}^{\dagger} + a \begin{pmatrix} 1 + \varepsilon_{ee} \ \varepsilon_{e\mu} \ \varepsilon_{e\tau} \\ \varepsilon_{e\mu}^* \ \varepsilon_{\mu\mu} \ \varepsilon_{\mu\tau} \\ \varepsilon_{e\tau}^* \ \varepsilon_{\mu\tau}^* \ \varepsilon_{\tau\tau} \end{pmatrix} \right]$	$P^{\rm SBL}_{\alpha\beta} = 4 U_{\alpha} $	$_{\alpha 4} ^2 U_{\beta 4} ^2\sin^2\left(\frac{\Delta m_{41}^2}{4E}\right)$	$\left(\frac{L}{L}\right)$



#### Parameterizations for Extra Neutrinos Searches



#### Limits on Extra Neutrinos

For neutrinos with masses below the electroweak scale, best limits from oscillation data. BUT most future experiments (DUNE) won't add too much here (see arXiv:1609.08637v3) – maybe Hyper-K can?

	arXiv:1609.08637v3					
		"Ligł				
		$\Delta m^2\gtrsim 100~{\rm eV^2}$	$\Delta m^2 \sim 0.1 - 1 \ {\rm eV}^2$			
48: Buggy	$\alpha_{ee}$	$2.4 \cdot 10^{-2}$ [48]	$1.0\cdot 10^{-2}$ [48]			
49: SuperK	$lpha_{\mu\mu}$	$2.2 \cdot 10^{-2}$ [49]	$1.4 \cdot 10^{-2} \ [50]$	50: Minos		
atmospheric	$\alpha_{ au au}$	$1.0 \cdot 10^{-1}$ [49]	$1.0 \cdot 10^{-1}$ [49]			
51/52: Nomad	$ \alpha_{\mu e} $	$2.5 \cdot 10^{-2} \ [51]$	$1.7\cdot 10^{-2}$			
	$ \alpha_{ au e} $	$6.9\cdot 10^{-2}$	$4.5\cdot 10^{-2}$			
	$ \alpha_{\tau\mu} $	$1.2 \cdot 10^{-2} \ [52]$	$5.3\cdot 10^{-2}$			
				_		
10 <sup>-3</sup> eV .1 e Extra neutrinos	V	eV KeV	MeV Ge	V ible at B-		

accessible at osc. Exp

factories

can participate in

oscillations

PMNS non-unitarity bounded at per mil level from Lepton Universality, Lepton Flavor Violation EW observables, (B-factories ,MEG, LHC)



#### Lepton Flavor Violation & non Unitarity of PMNS

G-SS

0.015 0.020

 $\sqrt{2 \eta_{\mu\mu}}$ 

3N-SS: Normal Hierarchy

0.001

 $\theta_{\nu}$ 

3N-SS: Inverted Hierarchy

0.001

 $\theta_{\mu}$ 

0.025 0.030

0.010

0.010

 $2\sigma$ 

 $2\sigma$ 

20

10

0.0050 0.010

 $\nabla^2_{X^2}$ 

 $\nabla^{2}_{X}$ 

 $\Delta \chi^2$ 

G-SS

0.03 0.04 0.05

 $\sqrt{2 \eta_{ee}}$ 

3N-SS: Normal Hierarchy

0.03

 $\theta_e$ 

3N-SS: Inverted Hierarchy

0.03

 $\theta_{e}$ 

0.04 0.05 0.06

0.04 0.05 0.06

0.02

0.01

 $=2\sigma$ 

0.01 0.02

2c

0.01 0.02

 $\Delta \chi^2$ 

 $\Delta \chi^2$ 

 $\Delta \chi^2$ 

#### arXiv:1407.6607v2 [hep-ph] 6 Aug 2014

 $\Gamma_{W,\alpha} = \sum_{i} \Gamma(W \to \ell_{\alpha} \nu_{i}) = \frac{G_{\mu} M_{W}^{3}}{6\sqrt{2\pi}} \frac{(NN^{\dagger})_{\alpha\alpha} F_{W}(m_{\ell_{\alpha}})}{\sqrt{(NN^{\dagger})_{ee}(NN^{\dagger})_{\mu\mu}}}$ 

$$F_W(m_{\ell_\alpha}) = \left(1 - \frac{m_{\ell_\alpha}^2}{m_W^2}\right)^2 \left(1 + \frac{m_{\ell_\alpha}^2}{m_W^2}\right) \,.$$



#### arXiv:1605.08774v2, 21 Dec 2016

 $\Delta \chi^2$ 

 $\nabla^{3}$ 

 $\nabla^2_X$ 

0.100

 $= 2\sigma$ 

0.01



10-



Figure 1: Individual contributions to the total  $\chi^2$  from the considered observables. The left column shows the SM and the middle column the MUV scheme with best-fit parameters. The right column shows  $\chi_i^2(SM)$  –  $\chi_i^2(MUV)$  for the observable *i*. The positive blue (negative red) bars indicate an improvement (worsening) of the MUV scheme best fit compared to the SM.

### Tau Neutrinos in DUNE



The discriminating power of  $E_{vis}$ ,  $P_T$ ,  $P_T^{miss}$ ,  $\rho$  between  $v_{\tau}$  induced interactions, represented by the filled yellow distributions, and the  $v_e$  background events represented by the hashed distribution.

### Sensitivity to Extra Neutrinos and NSI



- NSI with matter gives rise to NSI at source and/or detector (arXiv:0807.1003v3). Bounds on source & detector NSI an
  order of magnitude more strict than matter NSI. DUNE can probe matter (dim 8), Hyper K source & detector NSI (dim 6)
- NSI can be probed with supernova neutrinos in Hyper-K : arXiv:1907.01059v2

$10^{-5}$ ov	$10^{-3}$	$10^{-1}$ oV	۹\/	<u>κ</u> ο\/		GoV	
Extra neutrinos	10 °ev	10 80	CV	ICC V	IVIEV	Gev	100 Gev
can participate in			-			Accessible at B-	28
oscillations			accessible at osc. Exp			factories	

Gallium anomaly: GALLEX and SAGE collaborations place detectors besides artificial radioactive sources producing high fluxes of electron neutrinos ( $v_e$ ) - 2.9  $\sigma$  deficit of the  $v_e$ .

Kaether F, et al. Phys. Lett. B685:47 (2010) Abdurashitov JN, et al. Phys.Rev. C73:045805 (2006))



### **Anomalies in oscillations**

Reactor: PRD 83 (2011) 073006, Mention et al, PRC 83 (2011) 054615, Mueller et al, PRC 84 (2011) 024617, Huber

3.8σ excess in LSND



• No signal @Karmen

LSND: PRL 75 (1995) 2650, PRC 54 (1996) 2685, PRL 77 (1996) 3082, PRD 64 (2001) 112007 Karmen: PRD 65 (2002) 112001 Gallium: PRC 80 (2009) 015807, SAGE, Nucl.Phys.Proc.Suppl. 168 (2007) 344, Laveder et al, PRD 78 (2008) 073009 and PRC 83 (2011) 065504, C. Giunti et al





#### Reactor anti neutrino anomaly. arXiv:1101.2755v4 [hep-ex] 23 Mar 2011

Bugey-4, ROVNO91, Bugey-3, Gosgen, ILL, Krasnoyarsk, Rovno88, SRP, Chooz, Palo Verde, Nucifer, Double Chooz, Daya Bay, and RENO measured a 2.9  $\sigma$  deficit of  $\bar{v}_e$ . NEOS and DANSS see similar effects, but in theory independent way.



Analysis	$\Delta m^2_{41} \ [eV^2]$	$ U_{e4}^2 $	$\chi^2_{ m min}/ m dof$	$\Delta \chi^2$ (no-osc)	significance
DANSS+NEOS	1.3	0.00964	74.4/(84-2)	13.6	$3.3\sigma$
all reactor (flux-free)	1.3	0.00887	185.8/(233-5)	11.5	$2.9\sigma$
all reactor (flux-fixed)	1.3	0.00964	196.0/(233 - 3)	15.5	$3.5\sigma$
$\stackrel{\scriptscriptstyle(-)}{\nu}_e$ disap. (flux-free)	1.3	0.00901	542.9/(594 - 8)	13.4	$3.2\sigma$
$\stackrel{\scriptscriptstyle(-)}{\nu}_e$ disap. (flux-fixed)	1.3	0.0102	552.8/(594-6)	17.5	$3.8\sigma$

 $v_e$  disappearance data (In the  $v_e$  and  $\bar{v}_e$  disappearance channels, the most important constraints on sterile neutrinos come from reactor experiments at short baseline (L= .1 km)). But we include also data from solar neutrinos, ve scattering on 12C, and radioactive source experiments.

DANSS and NEOS reactor experiments support sterile neutrino explanation of reactor anomalies (Phys.Rev.Lett. 118:121802 (2017), Phys.Lett. B787:56 (2018))

#### Fits: arXiv:1803.10661v1 [hep-ph] 28 Mar 2018



FIG. 4. Constraints on short-baseline  $\nu_{\mu} \rightarrow \nu_{e}$  and  $\bar{\nu}_{\mu} \rightarrow \bar{\nu}_{e}$  oscillations in the presence of sterile neutrinos in 3 + 1 scenarios. We show the allowed parameter regions, projected onto the plane spanned by the effective mixing angle  $\sin^{2} 2\theta_{\mu e} \equiv 4|U_{e4}|^{2}|U_{\mu 4}|^{2}$  and the mass squared difference  $\Delta m_{41}^{2}$ . In the left panel only decay-at-rest (DaR) data from LSND is included, while in the right panel also decay-in-flight data (DiF) is used.

# MiniBooNe & LSND

Short baseline experiments: the abundant appearance of electron (anti)-neutrinos ( $v_e$ ) that started off as a of muon (anti)-neutrinos ( $v_{\mu}$ ) beam give combined 6.0  $\sigma$  deviation from theory (*Phys. Rev. Lett. 121, 221801 (2018*))

MiniBooNe: Phys. Rev. Lett. 121, 221801 (2018). LSND: Phys. Rev. D 64, 112007 (2001).



\* <u>KARMEN experiment</u> in Karlsruhe examined a [low energy] region similar to the LSND experiment, but saw no indications of neutrino oscillations, but this experiment was less sensitive than <u>LSND</u>

# FELIX Readout System & Extra Neutrinos

- High performance I/O and compute system Detector input (~1.5 TB/s)
- FELIX deals with data reception from detectors, data exchange with server & co-processor on FELIX board



- FELIX possibly relays control, config, monitoring to/from detectors
- On receipt of supernova trigger must be able to record 100s of full waveform data including O(10s) before the trigger signal

### FELIX Readout System





# DUNE Readout Requirements

Requirement	Description	Value
Off-beam High-energy Trigger	The detector shall trigger on the visible energy* of underground physics events from decays or interactions within the active volume with high efficiency.	>100MeV
Off-beam Low-energy Trigger	The detector shall be capable of triggering on the visible energy of single low energy neutrino interactions inside the active volume.	>10MeV
Trigger for Beam	The detector shall trigger on the visible energy of beam interactions within the active volume with efficiency high enough that it has a sub-dominant impact on physics sensitivity.	> 100 MeV
Trigger for Calibration	The detector shall provide triggers to and trigger on calibration stimuli and tag the data from these triggers as such	
Trigger for Supernova Burst	A trigger shall be generated when a collection of signals is detected that constitute a candidate supernova burst with high galactic coverage*, while meeting offline storage requirements and overall bandwidth limitations.	
Physics Event Record	The DAQ shall merge data into a form suitable for offline analysis. Furthermore, tags shall be provided to allow the data collection conditions at the time and the livetime to be determined.	
DAQ Deadtime	The DAQ shall operate with deadtime that does not contribute significantly to overall loss of detector livetime.	

\*Visible energy = deposited energy in the active volume as ionization and/or scintillation \*Galactic coverage = SBN probability-weighted efficiency, integrated over the physical extent of the Milkyway

# FELIX in DUNE Timeline

- Final FELIX card layout -> Q1 2021
  - FW, SW development & integration
- Test boards, test servers -> Q4 2021
  - FW, SW testing & optimization
- Pre-production -> Q2 2022 (also for detector commissioning)
  - Overall readout system validation •
- Production -> Q2 2023
  - Installation and commissioning

### Solar Neutrino Backgrounds



# DUNE as the Next-Generation Solar Neutrino Experiment

https://arxiv.org/pdf/1808.08232.pdf



$$\left(\frac{dE}{dx}\right)_{\text{calibrated}} = \left(\exp\left(\frac{\left(\frac{dQ}{dx}\right)_{\text{calibrated}}}{C_{\text{cal}}}\frac{\beta'W_{\text{ion}}}{\rho\mathscr{E}}\right) - \alpha\right) \left(\frac{\rho\mathscr{E}}{\beta'}\right)$$

 $C_{cal}$  = Calibration constant used to convert ADC values to number of electrons,

 $W_{\rm ion} = 23.6 \times 10^{-6}$  MeV/electron (the work function of argon),

- $\mathscr{E} = E$  field based on the measured space charge map,
- $\rho$  = 1.38 g/cm<sup>3</sup> (liquid argon density at a pressure of 124.106 kPa),

$$\alpha = 0.93$$
, and

 $\beta' = 0.212 \,(\text{kV/cm})(\text{g/cm}^2)/\text{MeV}.$ 

The calibration constant  $C_{cal}$  is normalized so that the unit ("ADC×tick") corresponds to 200 electrons. In the case where the detector response is perfectly modeled (e.g. in the simulation), the calibration constant  $C_{cal}$  should be exactly  $1/200 = 5 \times 10^{-3}$  ADC×tick/e. The calibration constants derived for the collection plane by fitting the stopping muon samples to the predicted dE/dx curve are shown in table 5. The uncertainties are statistical only. The difference between data and MC calibration constants is caused by the uncertainties on the gain measurement and the simulation of detector response.