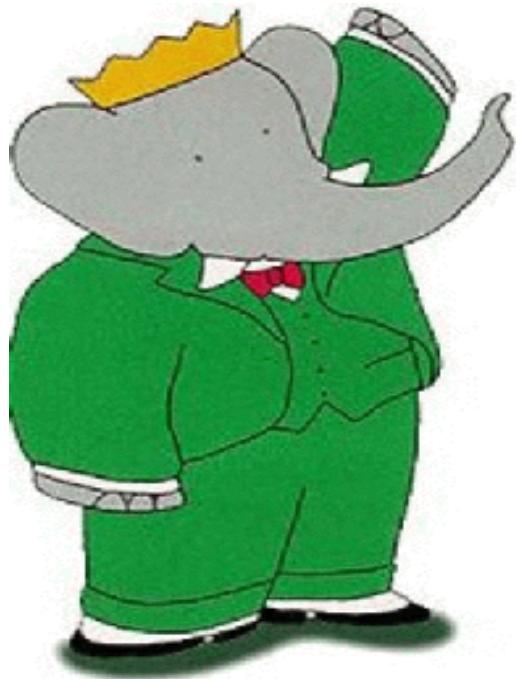


# Search for the rare decay $B^+ \rightarrow K^+ \tau^+ \tau^-$ at BaBar

Canadian Association of Physicists  
June 16<sup>th</sup>, 2014

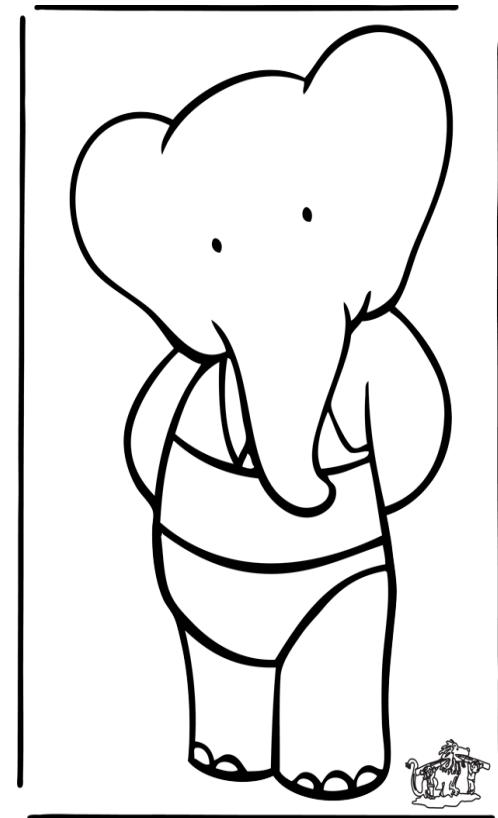


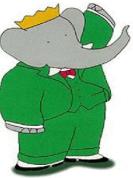
Racha Cheaib  
McGill University  McGill  
Montreal, QC



# Outline

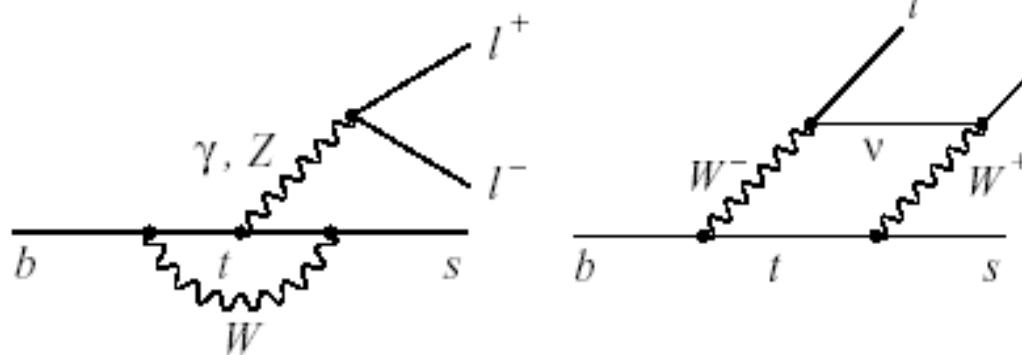
- $B^+ \rightarrow K^+ \tau^+ \tau^-$  Theoretical Motivation
- BaBar Analysis Details
- Signal selection
- Background Estimate
- Expected Results and sensitivity





# $B^+ \rightarrow K^+ \ell^+ \ell^-$ : Electroweak FCNC

$b \rightarrow s \ell^+ \ell^-$ :



$C_7$ ,  $C_9$  (vector), and  $C_{10}$  (axial).

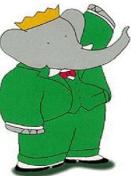
Observables: branching fraction,  $A_{CP}$ ,  $A_{FB}$ .

$$H_{eff} = \frac{4G_F}{\sqrt{2}} \sum_i C_i(\mu, M) O_i$$

**Wilson Coefficients**  
calculated perturbatively,  
describe short-distance physics

**Operator Matrix Elements**  
Encode long-distance  
contributions, calculated by  
heavy quark expansion in  
powers of  $m_b$  or SCET.

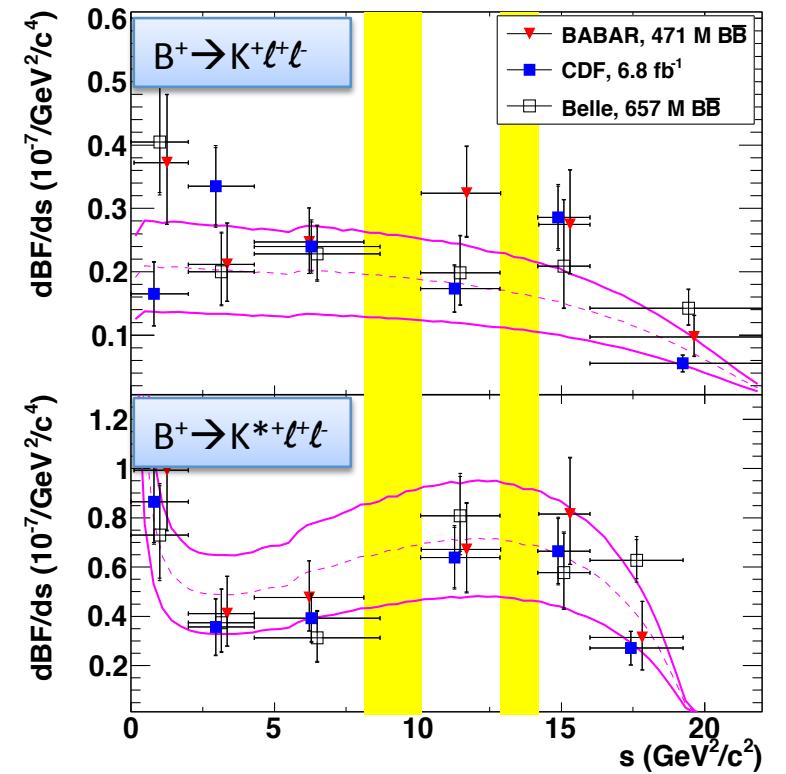
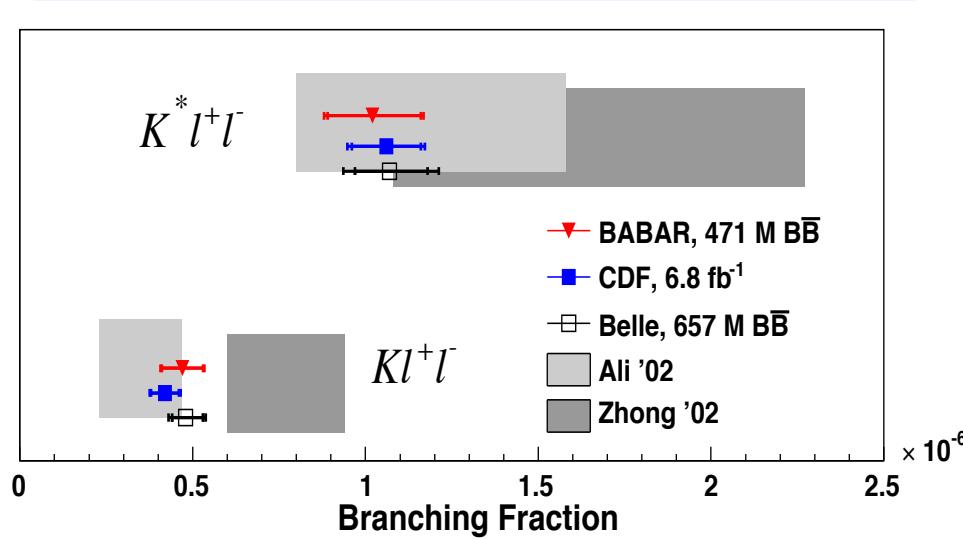
New Physics can alter physical observables.



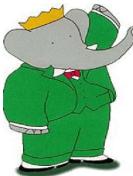
# $B^+ \rightarrow K^+ \ell^+ \ell^-$ :

- $B \rightarrow K^+ \ell^+ \ell^-$ , where  $\ell = e$  or  $\mu$ .  
FCNC process with branching fraction of  $O(10^{-6})$ .
- BaBar results: **Consistent with SM**

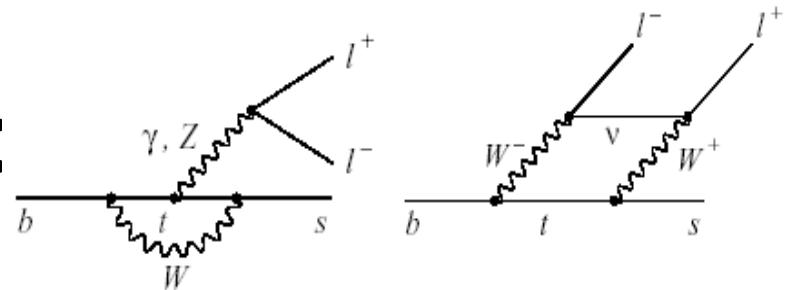
Phys. Rev. D **86**, 032012



- ▼ **BABAR 471 M BB**
- CDF  $6.8 \text{ fb}^{-1}$  PRL 107, 201802 (2011)
- Belle 657 M BB PRL 103, 171801 (2009)
- LHCb  $0.37 \text{ fb}^{-1}$  arXiv:1112.3515 (2012)
- SM-based predictions  
Ball & Zwicky, PRD71, 014015(2005),  
PRD71, 014029(2005);  
Ali et al., PRD 66, 034002 (2002).

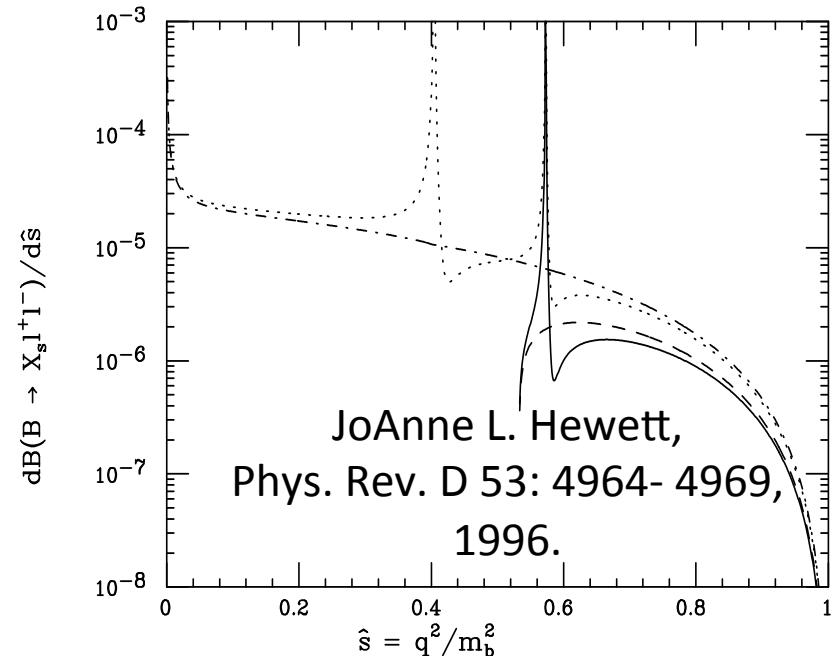


# $B^+ \rightarrow K^+ \tau^+ \tau^-$ :

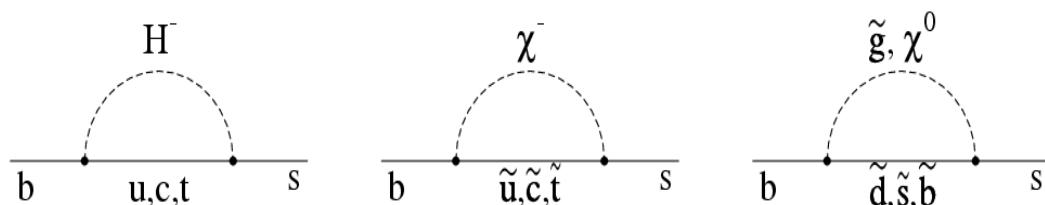


- Third generation extension of  $B \rightarrow K^+ \ell^+ \ell^-$ .

$\ell$	$4x \leq \hat{s} \leq 1$	$0.6 \leq \hat{s} \leq 1$
$e$	$1.2 \times 10^{-5}$	$8.5 \times 10^{-7}$
$\mu$	$1.0 \times 10^{-5}$	$8.5 \times 10^{-7}$
$\tau$	$5.4 \times 10^{-7}$	$4.3 \times 10^{-7}$

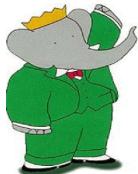


- New physics could enter the loop and alter the branching fraction



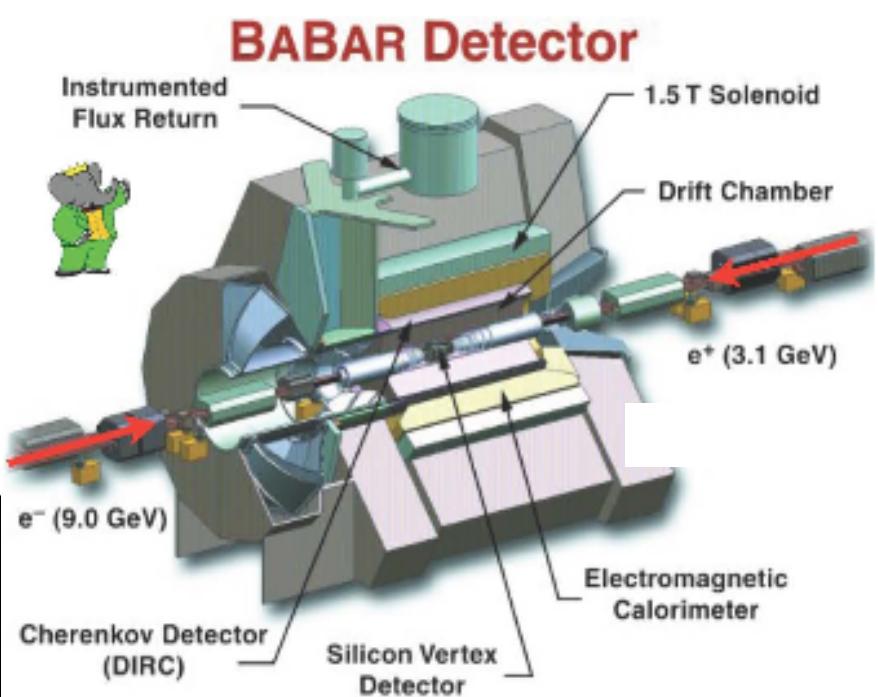
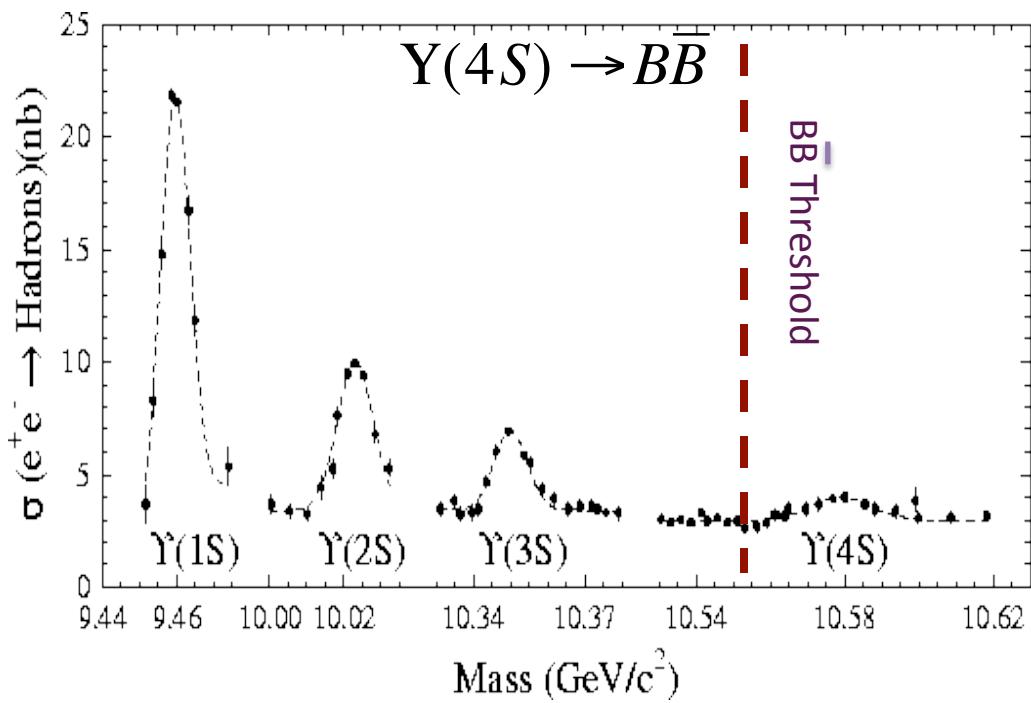
- No long distance contribution from  $J/\psi \rightarrow \tau^+ \tau^-$ .

$$\mathcal{B}(\psi(2S) \rightarrow \tau^+ \tau^-) = 3.0 \times 10^{-4}$$

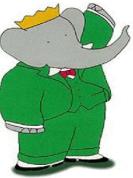


# BaBar Experiment:

- Located at SLAC National Accelerator Laboratory
- e+e- collisions at CM energy of 10.58 GeV ~ mass of Y(4S) .



Data Collection: 1999 to 2008  
Total integrated luminosity, at the Y( $4S$ ) resonance, of  $429 \text{ fb}^{-1}$ .  
471 million  $B\bar{B}$  pairs.



# Analysis Tools:

- Full BaBar dataset:  $429 \text{ fb}^{-1}$ .
  - Data, in the region of interest, is **blinded** until analysis is finalized.
- Signal Monte Carlo:
  - Dedicated MC samples where  $B^+ \rightarrow K^+ \tau^+ \tau^-$  governed by Ali Model (Phys Rev D **61**, 074024)

Background Monte Carlo

Five types:

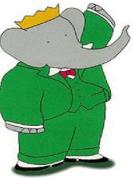
$B+B-$ ,  $B^0\bar{B}^0$ ,  $c\bar{c}$ ,  $q\bar{q}$ ,  $\tau^+\tau^-$

$Y(4S) \rightarrow B\bar{B}$  occurs, but  $B$  does not decay via  $B^+ \rightarrow K^+ \tau^+ \tau^-$

No  $Y(4S)$  formed,  $e^+e^- \rightarrow l^+l^-$  or  $q\bar{q}$

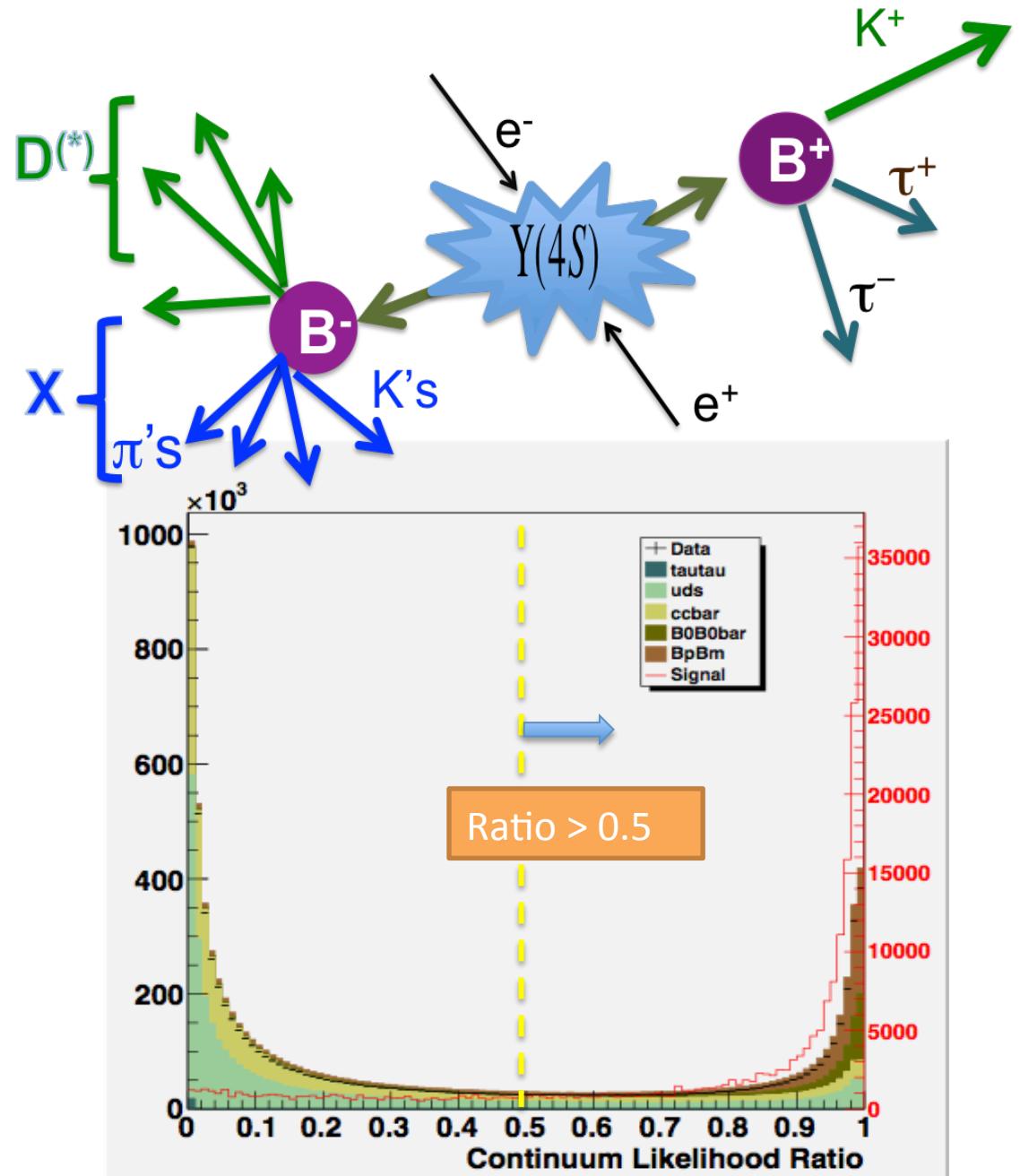
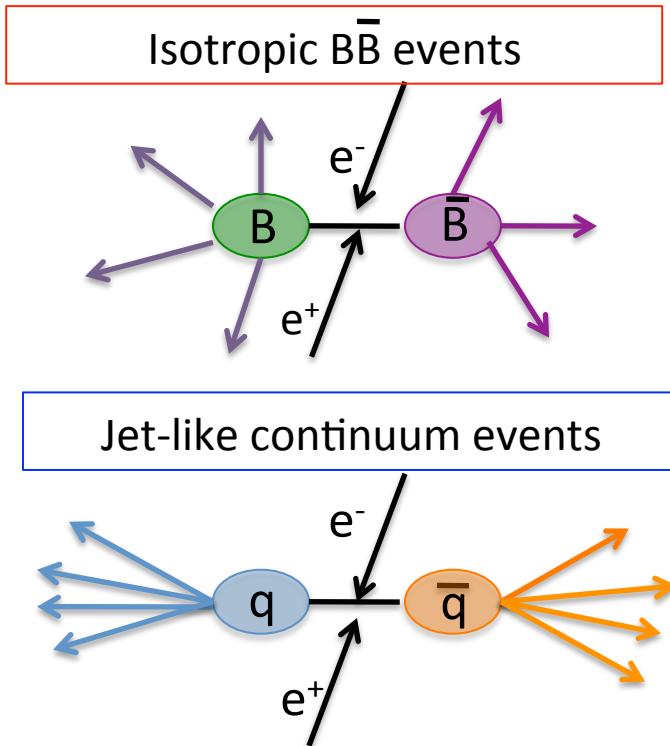
$B^+ \rightarrow K^+ \tau^+ \tau^-$ : Consider only **leptonic** final states.

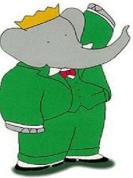
Mode	$\tau$ decay
Electron	$\tau^+ \rightarrow e^+ \bar{\nu}_e \nu_\tau$ , $\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$ ,
Muon	$\tau^+ \rightarrow \mu^+ \bar{\nu}_\mu \nu_\tau$ , $\tau^- \rightarrow \mu^- \bar{\nu}_\mu \nu_\tau$
Electron-Muon	$\tau^+ \rightarrow \mu^+ \bar{\nu}_\mu \nu_\tau$ , $\tau^- \rightarrow e^- \bar{\nu}_e \nu_\tau$



## Hadronic $B_{\text{tag}}$ Reconstruction:

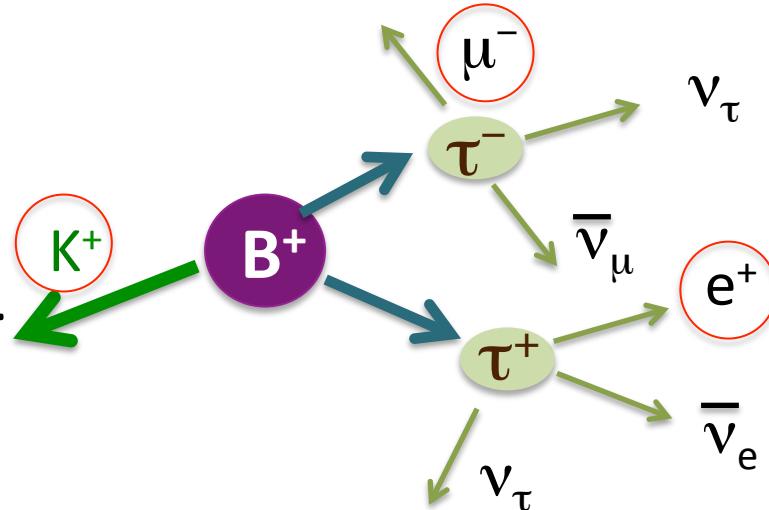
- Reconstruct first  $B$ ,  $B_{\text{tag}}$ , from hadronic modes, using  $B \rightarrow D + X$ .
- The remaining tracks and clusters are attributed to  $B_{\text{sig}}$



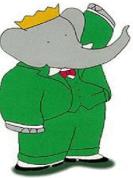


# Signal Selection: $B_{\text{sig}}$ side

- $E_{\text{miss}} > 0$ .
  - $E_{\text{miss}} = p_Y - p_{B\text{tag}} - p_{\text{tracks}} - p_{\text{clusters}}$ .
- $Q_{\text{tot}} = -Q_{B\text{tag}}$ .
- Exactly 3 tracks, passing Particle Identification (PID) for one Kaon and two leptons.
- $\pi^0$  veto
  - Any 2 clusters with  $E > 30 \text{ MeV}$ ,  $E_{\text{sum}} > 200 \text{ MeV}$  and  $0.1 < M_{\text{sum}} < 0.16 \text{ GeV}$ .

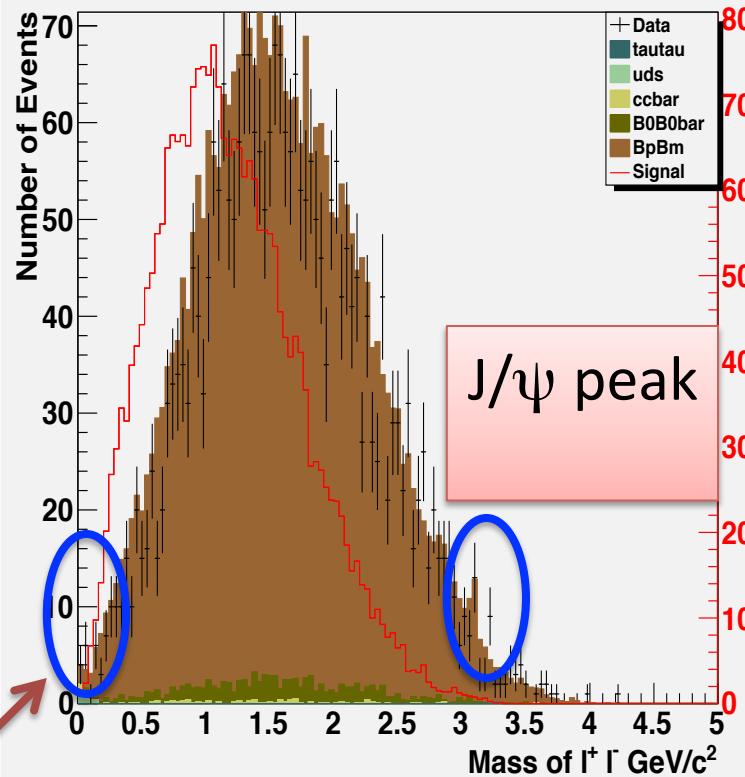


Look at mass combinations of tracks at this point to identify potential backgrounds.



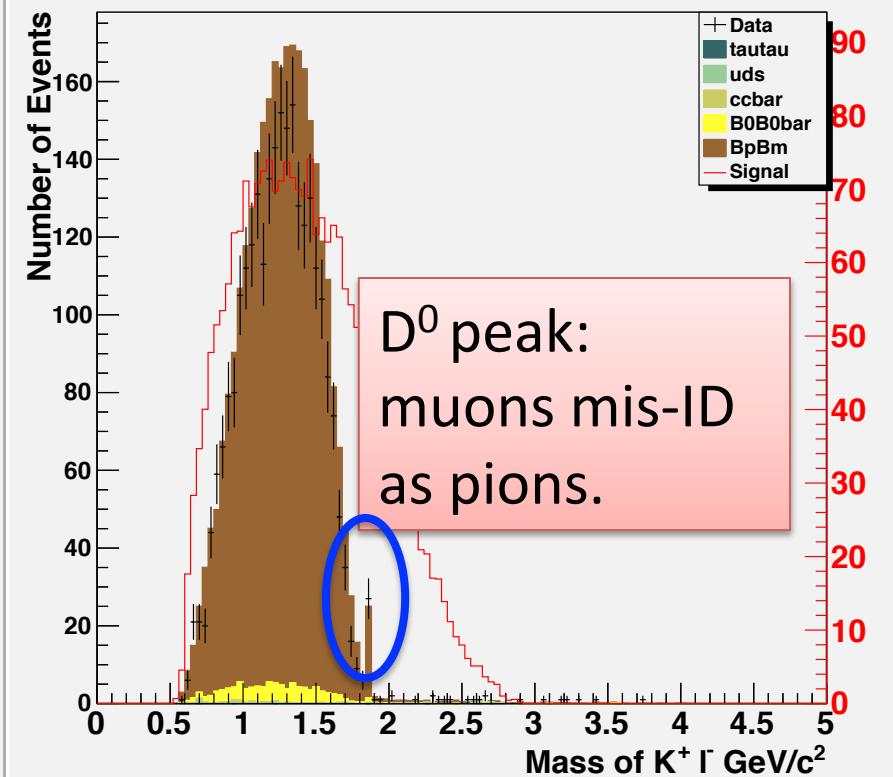
# Signal Selection:

## Leptonic Modes:



Photon  
conversions

2014-06-15



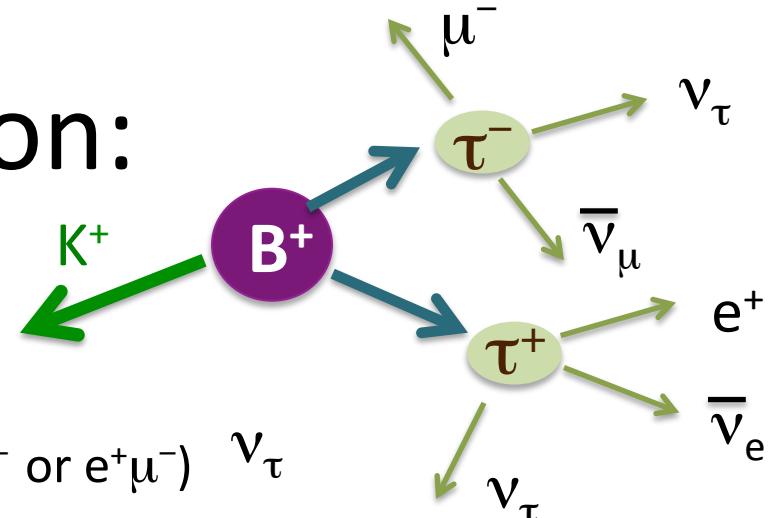
O~ 50 events

Racha Cheaib, McGill University



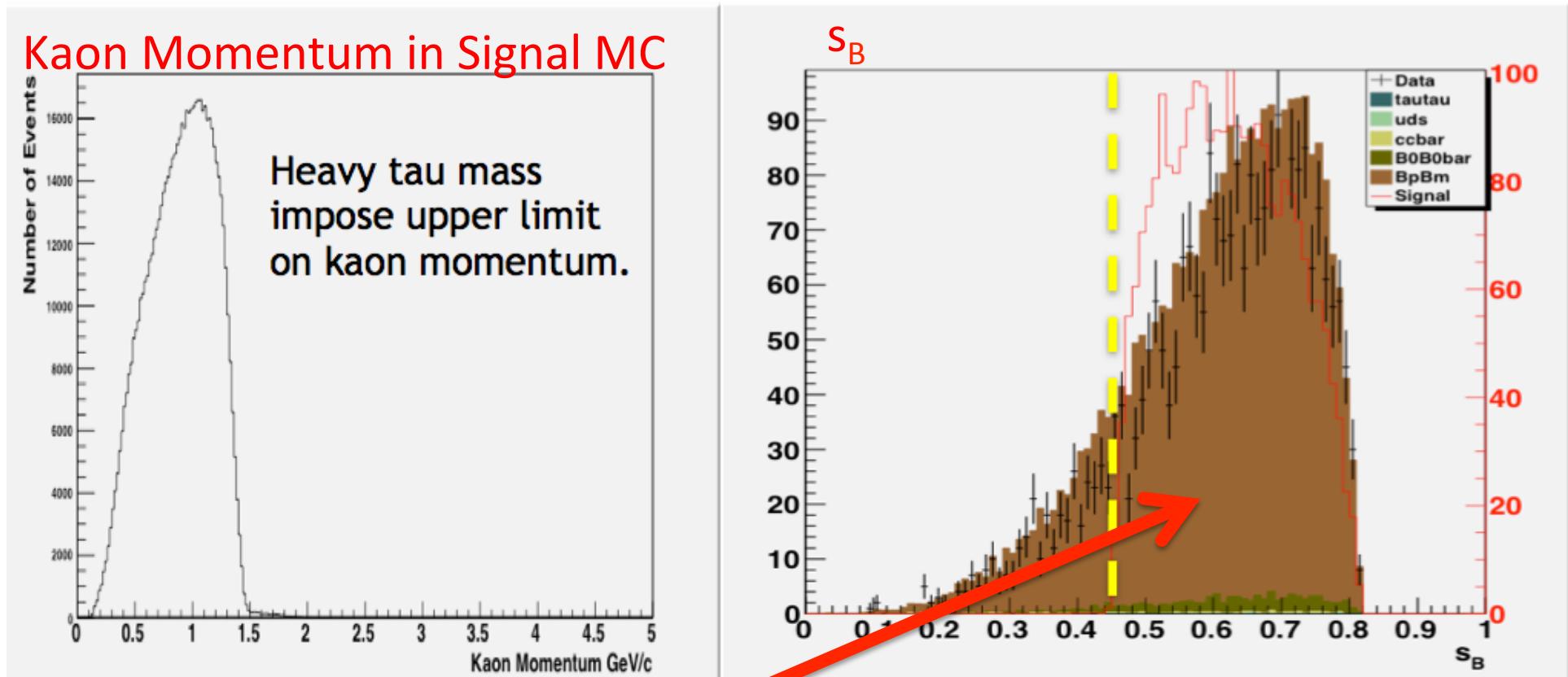
# Signal Selection:

- Exactly three tracks.
- One kaon:  $Q_k = -Q_{btag}$
- Two Oppositely charged Leptons ( $e^+e^-$  or  $\mu^+\mu^-$  or  $e^+\mu^-$ )  $\nu_\tau$
- $\pi^0$  veto
  - Any 2 clusters with  $E > 30$  MeV,  $0.1 < \text{lateral moment} < 0.8$ ,  $E_{\text{sum}} > 200$  MeV and  $0.1 < M_{\text{sum}} < 0.16$  GeV.
- **J/ $\psi$  veto**
  - Sum of 2 leptons does not lie  $3.00 < M_{l+l} < 3.194$  GeV.
- **Photon conversions veto**
  - Require sum of  $e^+$  with any other oppositely charged track has a mass  $> 50$  MeV.
- **D $^0$  veto**
  - Require sum of Kaon with any oppositely charged lepton does not lie within the mass region  $1.80 < M_{K+l} < 1.90$  GeV.

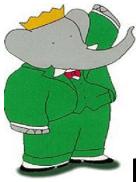


# $S_B$ Cut:

$$S_B = \frac{q^2}{m_B^2} = \frac{p_{B\text{sig}}^2 - p_K^2}{m_B^2}$$

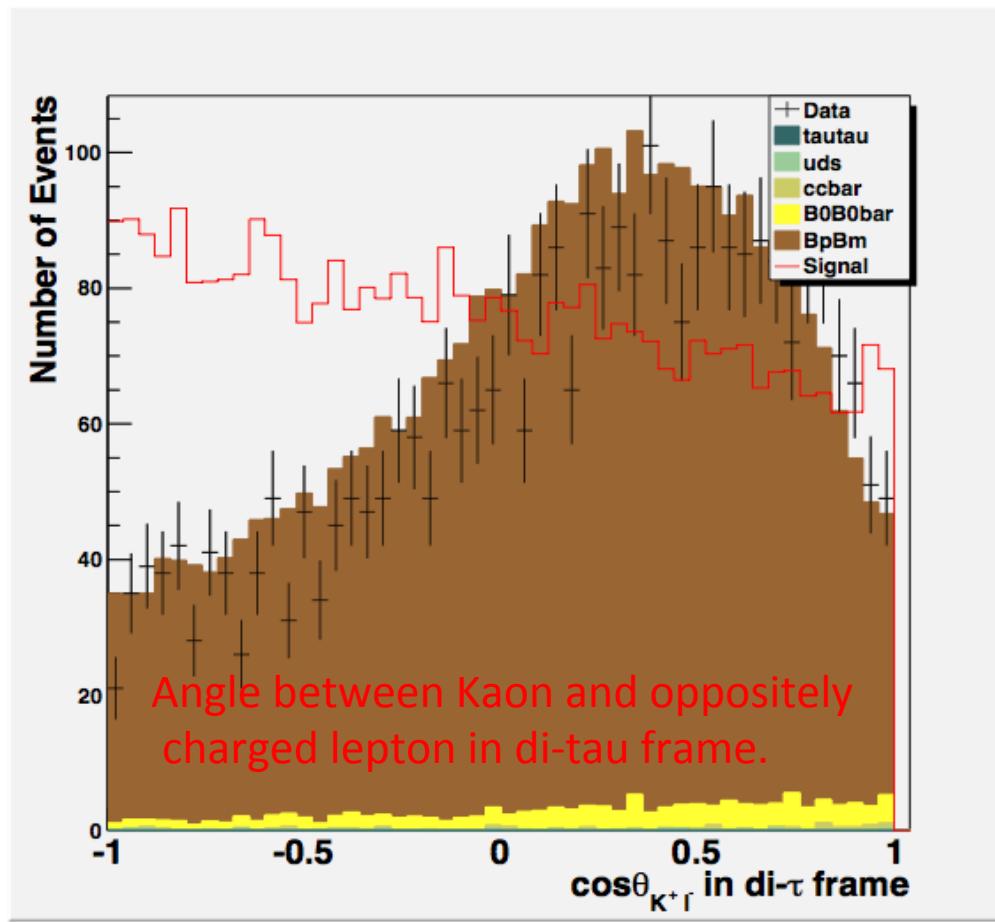


$S_B > 0.45$

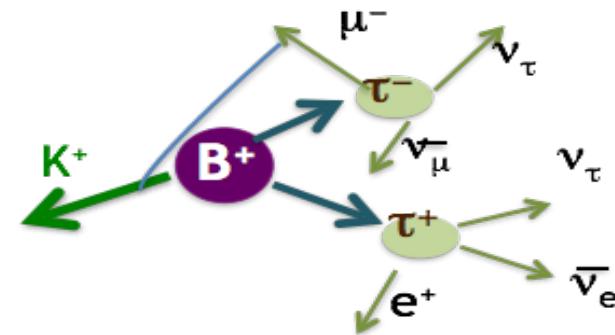


# Signal Selection: Dominant Background

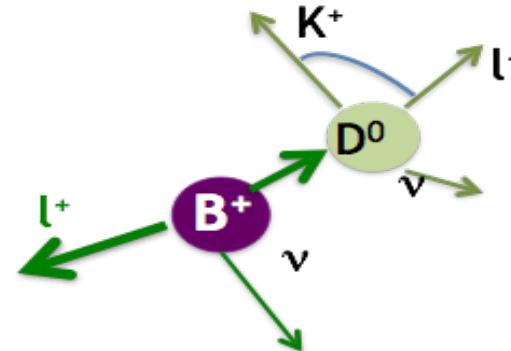
Use TMVA to suppress dominant backgrounds using a set of discriminating variables.



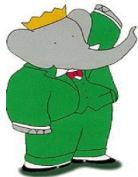
Signal event:



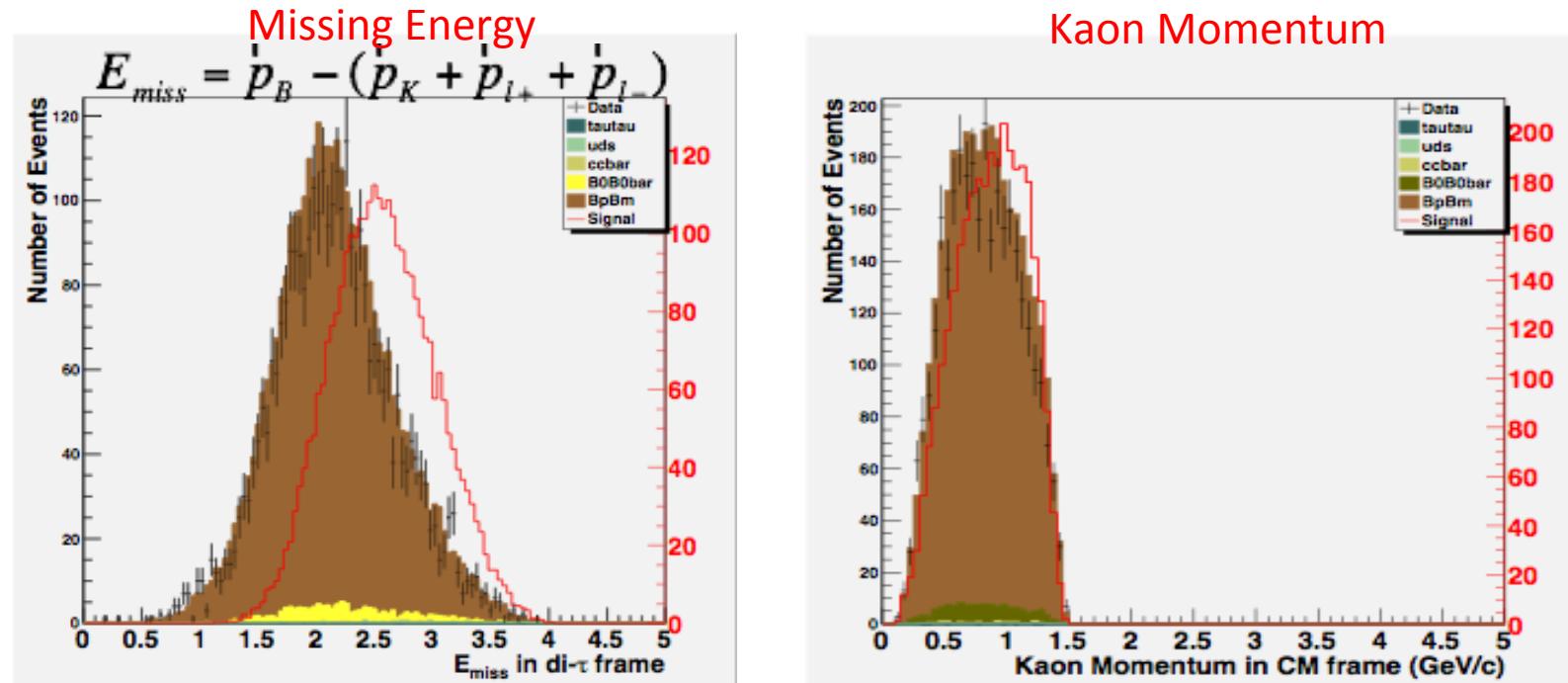
Background event:



di-tau frame defined as the frame recoiling against the Kaon

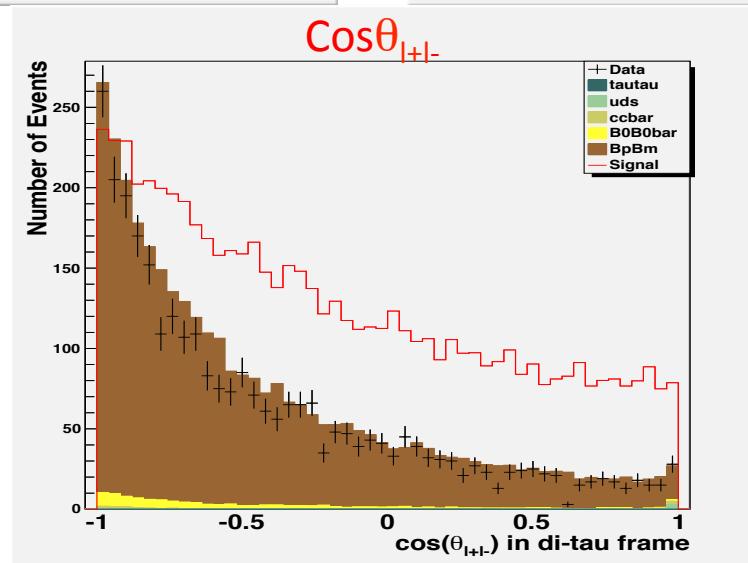


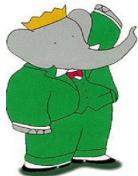
# Signal Selection: Dominant Background



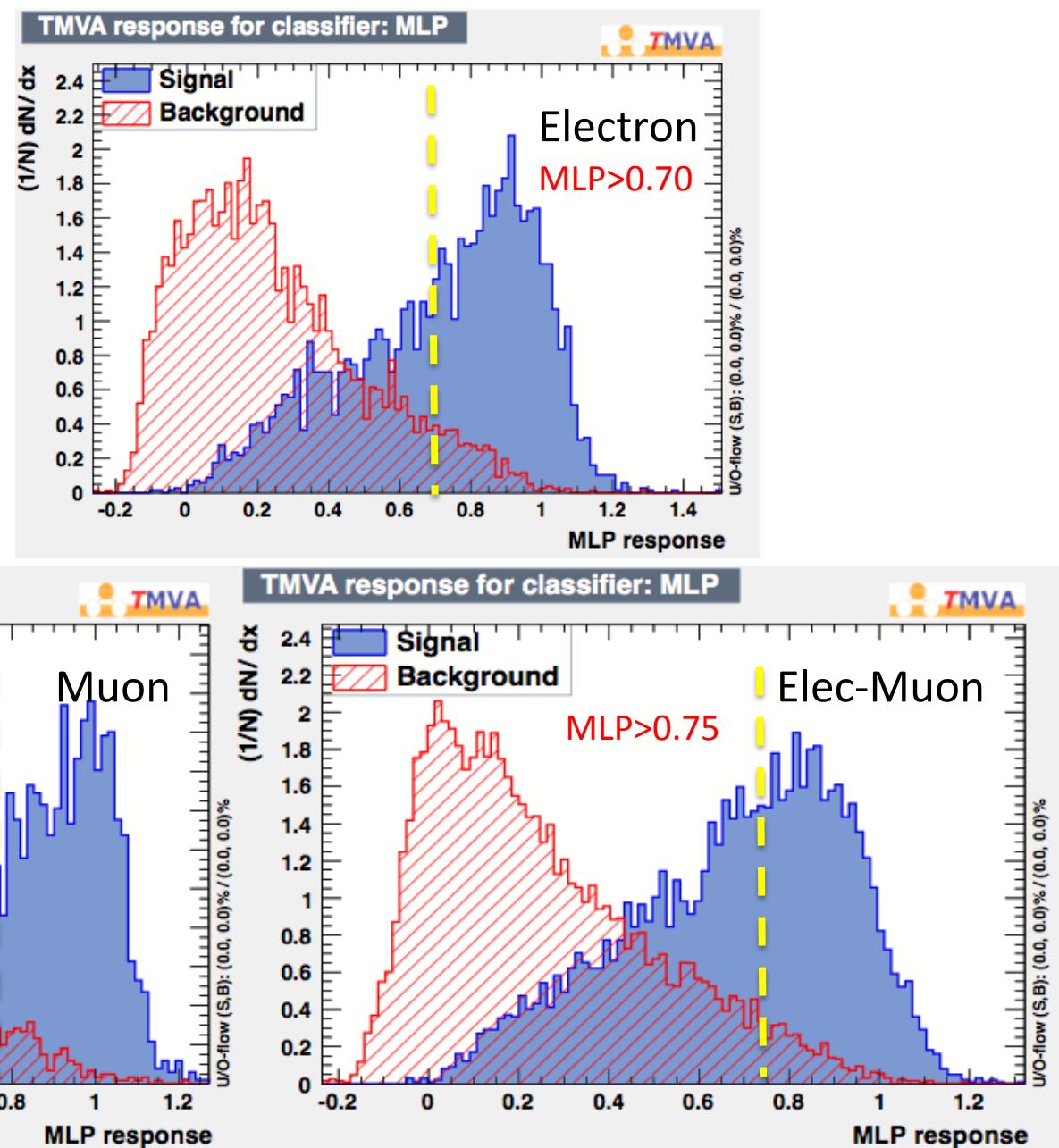
## 9 Input variables:

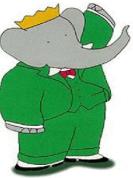
- 3 calorimeter
  - 2 Kinematic
  - 4 angular





# TMVA Cut:

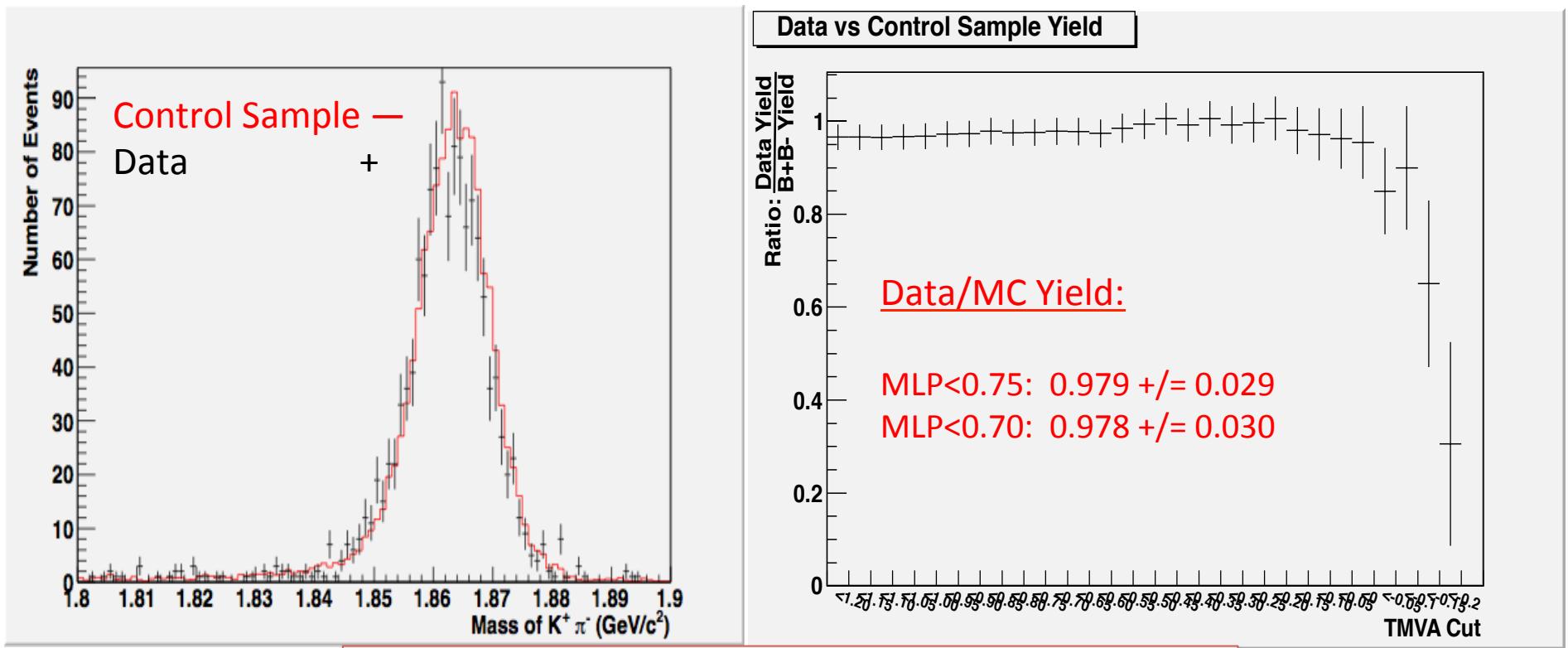




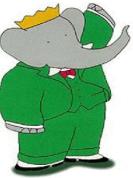
# Control Study:

$$B^+ \rightarrow \bar{D}^0 l^+ \nu \quad \bar{D}^0 \rightarrow K^+ \pi^-$$

- Need to verify data-MC agreement after TMVA cut.
- Reverse TMVA cut on control sample in increments of 0.05 .



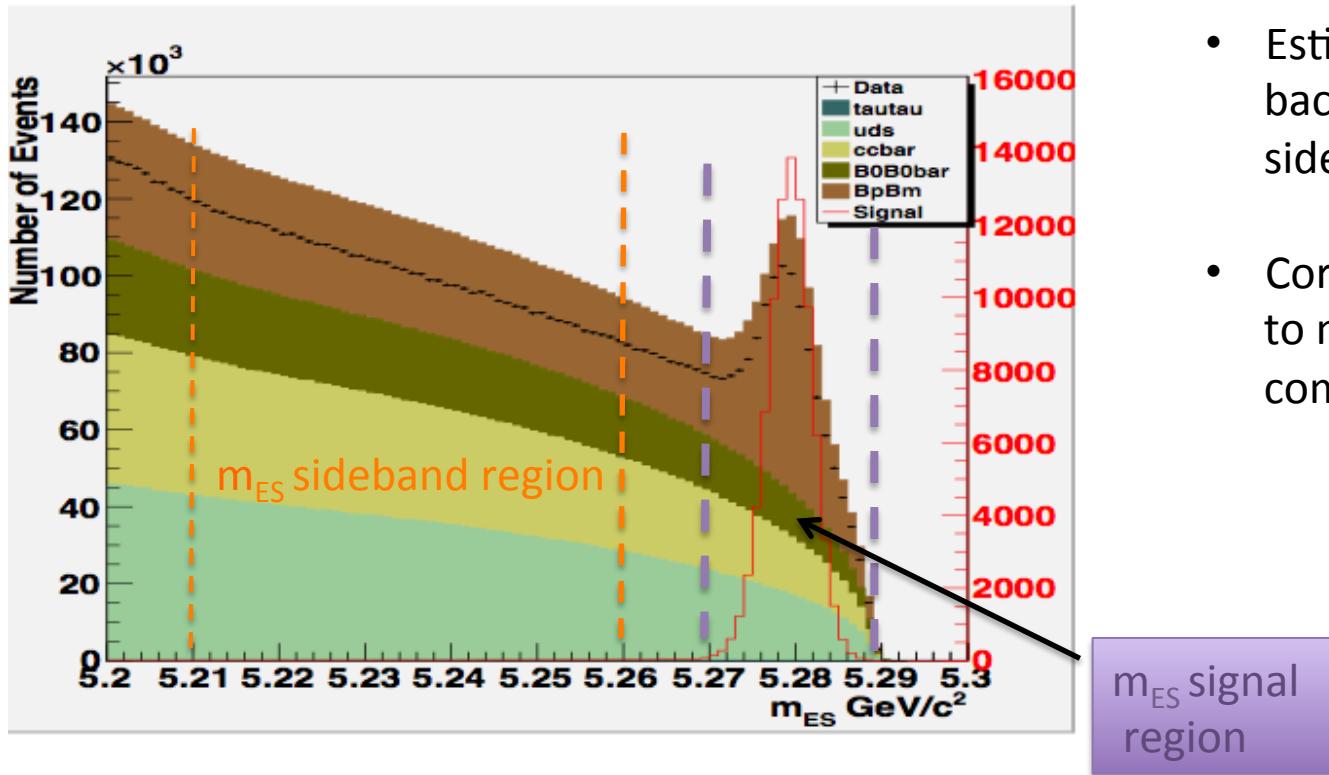
Good agreement between data and MC



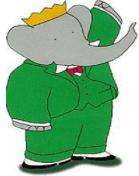
# Background Estimate: $m_{ES}$ sideband substitution

Two types of background:

- Combinatorial background:  $cc$ ,  $\tau^+\tau^-$ ,  $q\bar{q}$ , and mis-reconstructed  $B\bar{B}$ .
- Peaking background: Properly reconstructed  $B\bar{B}$ .

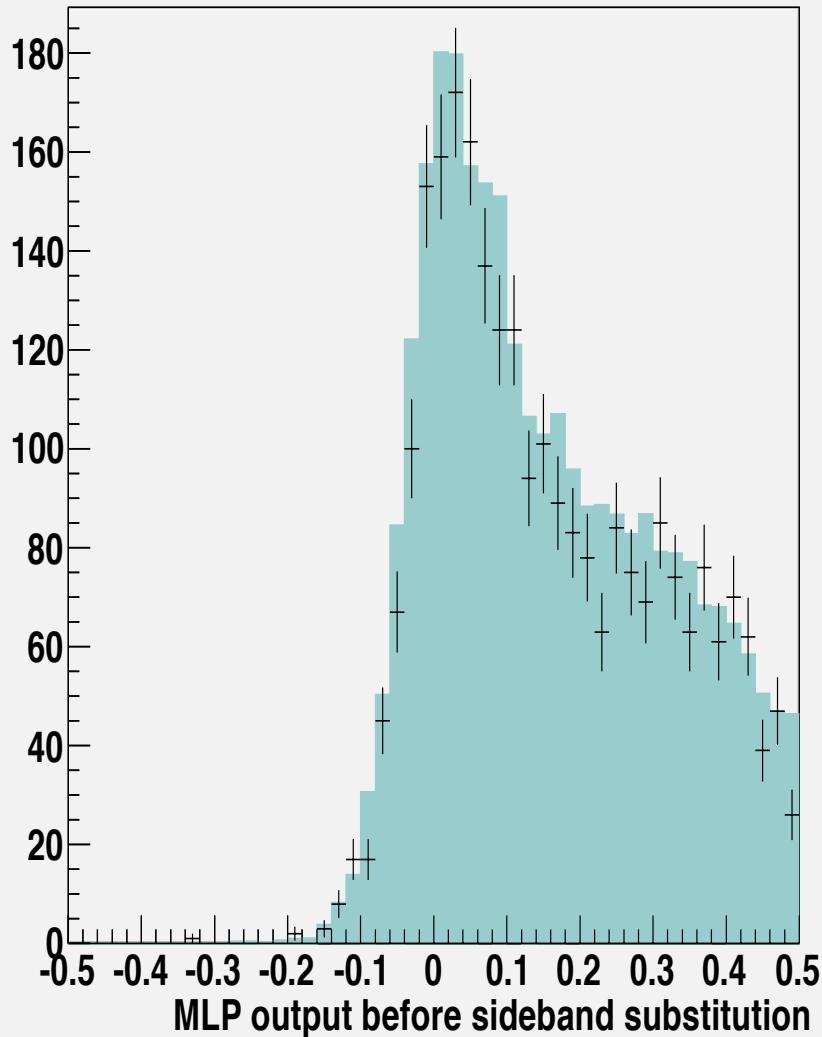


- Estimate combinatorial background using data in  $m_{ES}$  sideband region.
- Correct peaking background to match peaking data component.

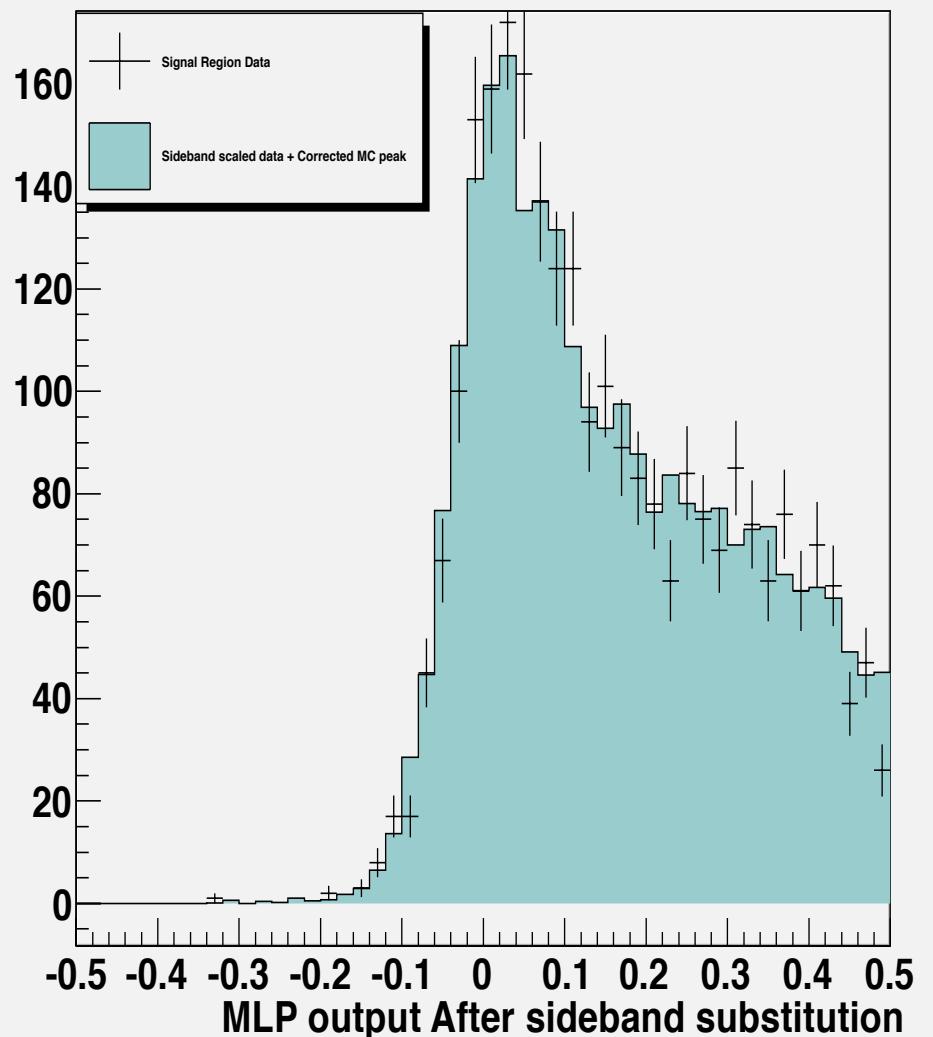


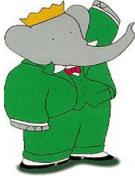
# Unblinded Data in $\text{MLP} < 0.5$ :

Before  $m_{\text{ES}}$  sideband substitution:



After  $m_{\text{ES}}$  sideband substitution:



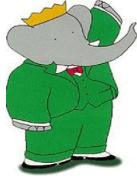


# Systematic Errors

Source	Estimate	
Theoretical Uncertainty	~2-3%	Compare signal efficiency between signal MC generated with BALL model and phaspace.
$B_{tag}$ Yield	~3-5%	Vary the shape of the combinatorial $m_{ES}$ (continuum instead of mis-charged $B\bar{B}$ )
Kaon PID	~2-4%	Data-MC comparison using information from PID performance plots.
Lepton PID	~5-7%	
$\pi^0$ Reconstruction	~3%	Apply $\pi^0$ reconstruction on control sample and calculate the difference in relative efficiency
Background BFs	~2-3%	
TMVA Cut	~9%	

Use TMVA output in TMVA sideband region:  $MLP < 0.5$  to estimate data-MC difference

Vary background BFs by their PDG uncertainty and determine the difference in bkg estimate.

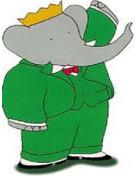


# Expected Sensitivity

Systematic Errors  
are NOT included.

Mode	Signal Efficiency ( $\times 10^{-6}$ )	Non-Peaking Bkg	Peaking Bkg	Central Limit ( $\times 10^{-4}$ )	Upper Limit ( $\times 10^{-4}$ )
Electron	$11.2 \pm 1.8$	$4.44 \pm 0.83$	$37.4 \pm 1.9$	0.38	20.1
Muon	$11.5 \pm 1.9$	$4.75 \pm 0.87$	$21.9 \pm 1.6$	0.69	18.5
Elec-Muon	$21.2 \pm 2.5$	$6.82 \pm 1.0$	$47.5 \pm 2.3$	0.70	12.1
Combined	---	----	----	0.64	8.26

Limits calculated assuming  $N_{\text{obs}} \sim N_{\text{bkg}}$ .



# Conclusion

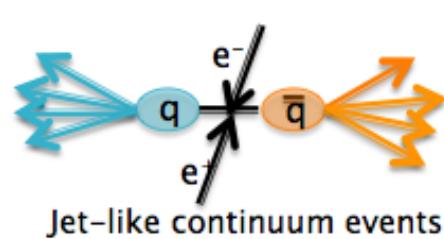
- $B^+ \rightarrow K^+ \tau^+ \tau^-$  : FCNC process, stringent test of the Standard Model.
- Current expected sensitivity of  $O(10^{-4})$ .
- Analysis to be published in the upcoming year (after finalizing systematics.)

# **BACK UP SLIDES**

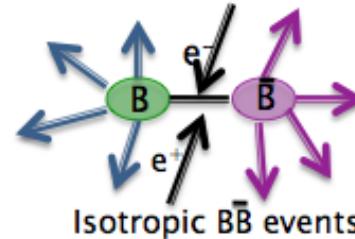


# Signal Selection: $B_{\text{tag}}$ Side

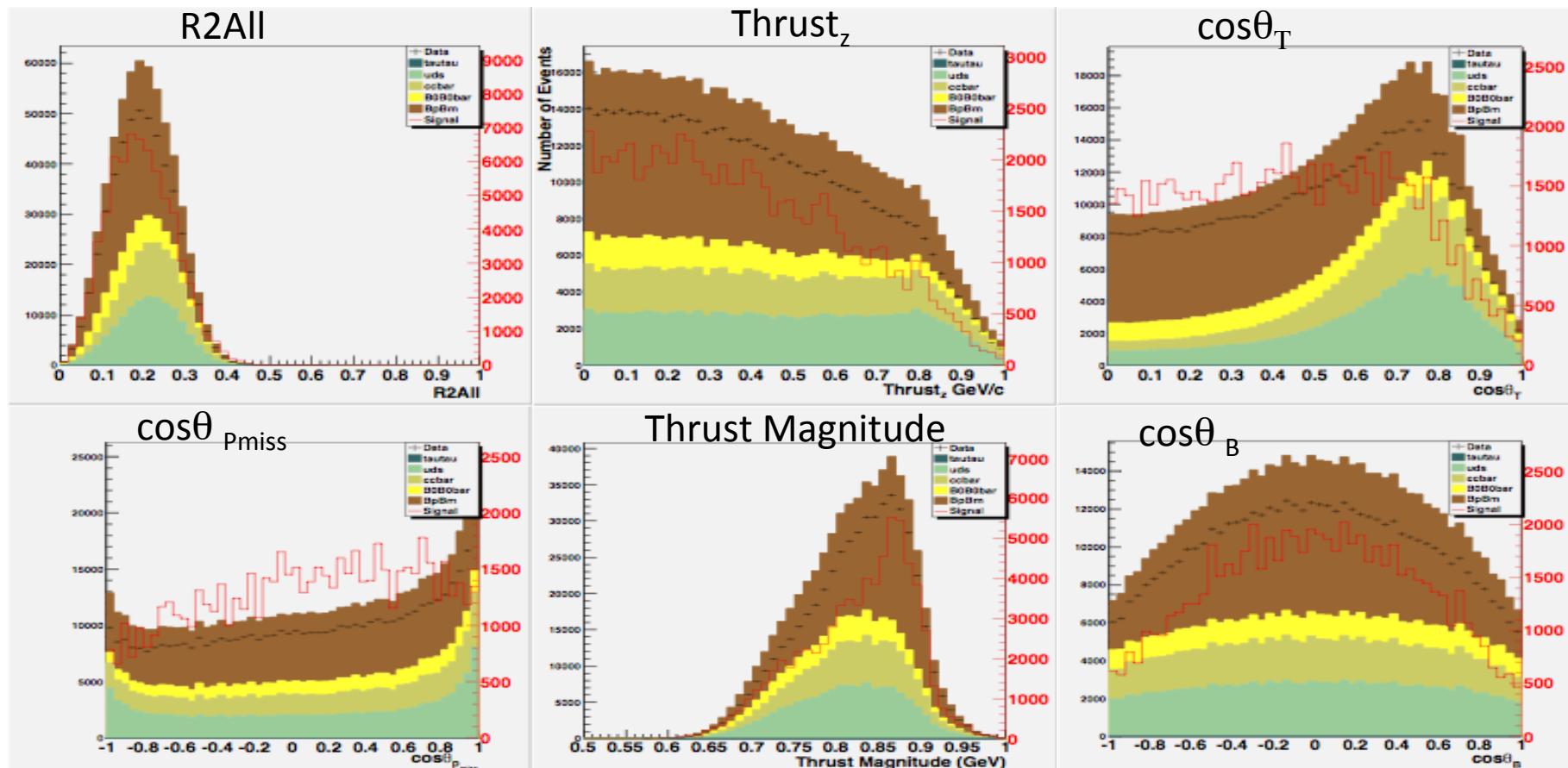
Continuum likelihood suppression using event shape variables .

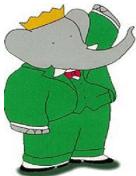


Jet-like continuum events



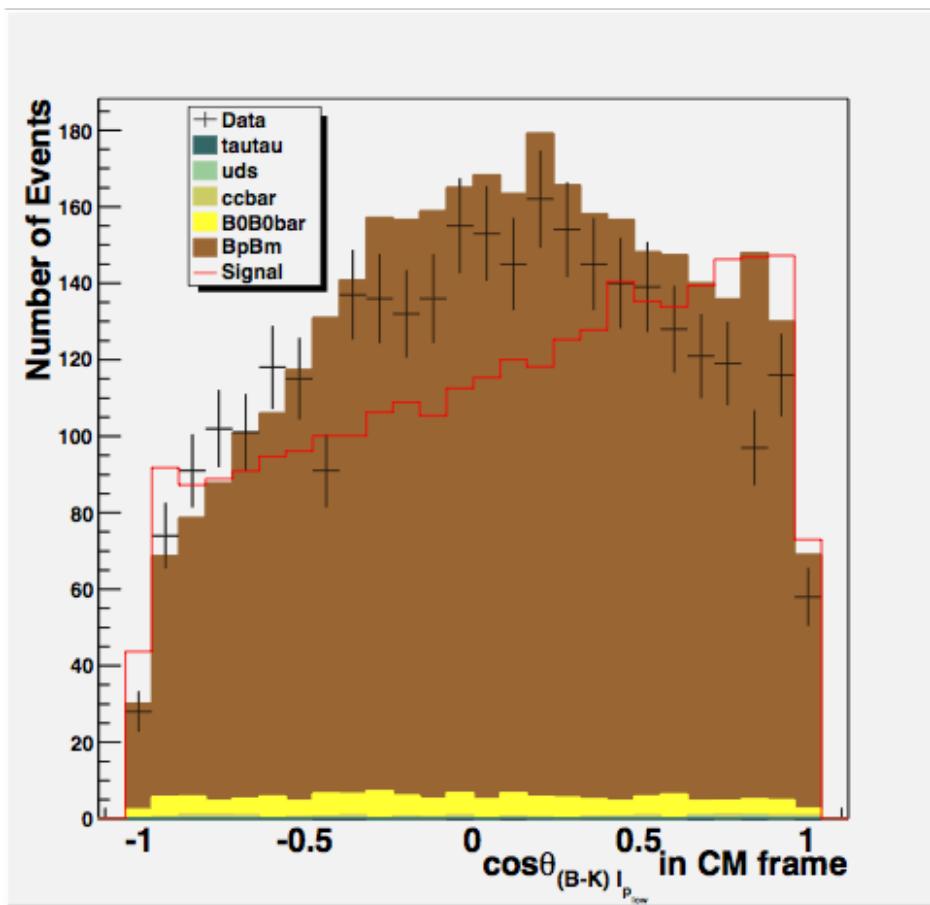
Isotropic BB events



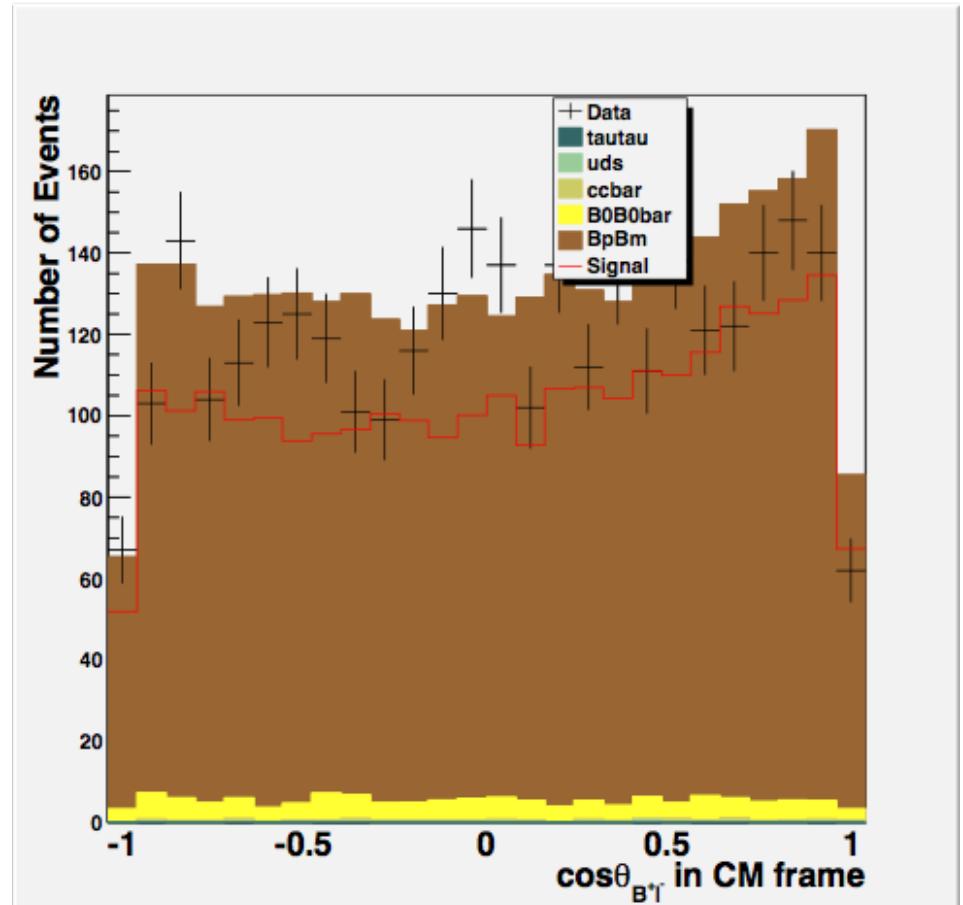


# Discriminating variables

Angle between Vector Recoiling  
against the Kaon and low  
momentum lepton in CM frame.

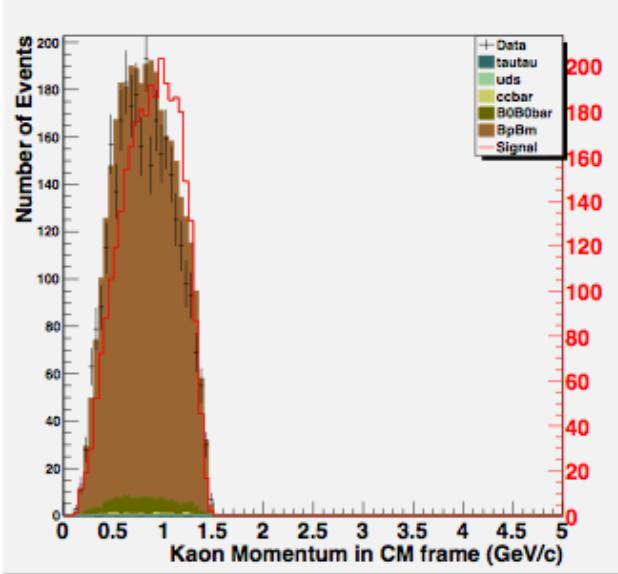


Angle between Bsиг and oppositely  
charged lepton in CM frame.

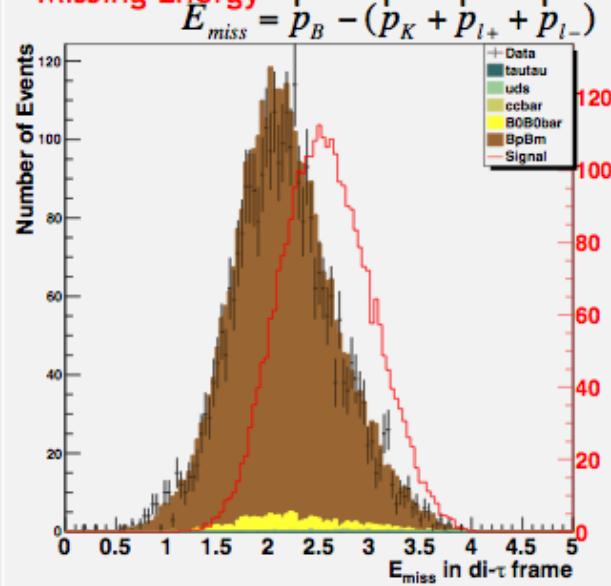


# Discriminating variables:

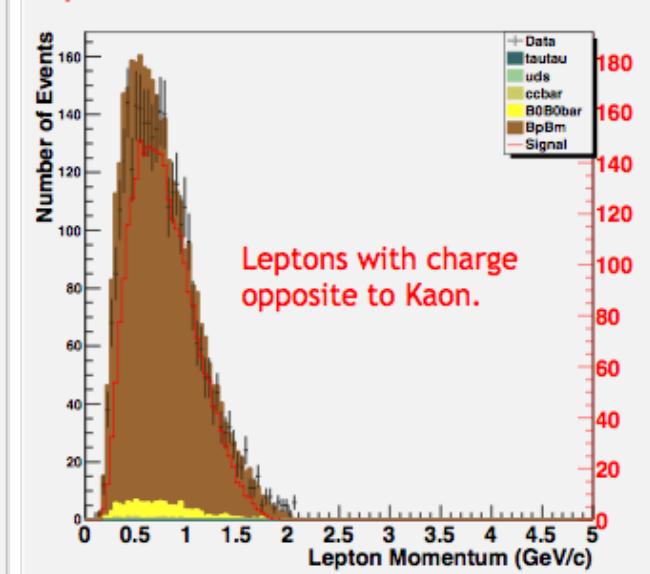
Kaon Momentum



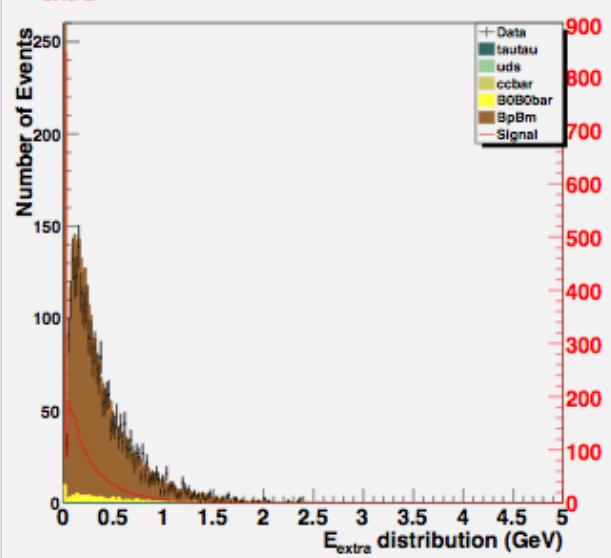
Missing Energy



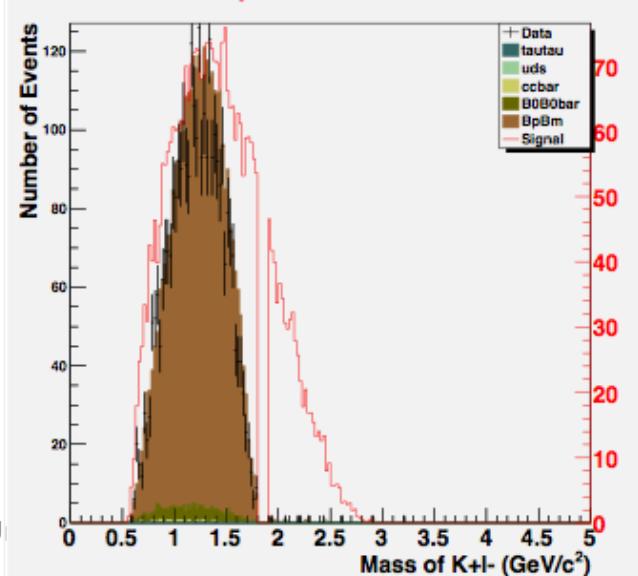
Lepton Momentum



$E_{extra}$



Mass of K+l- pair



# Background Estimate: m<sub>ES</sub> sideband substitution

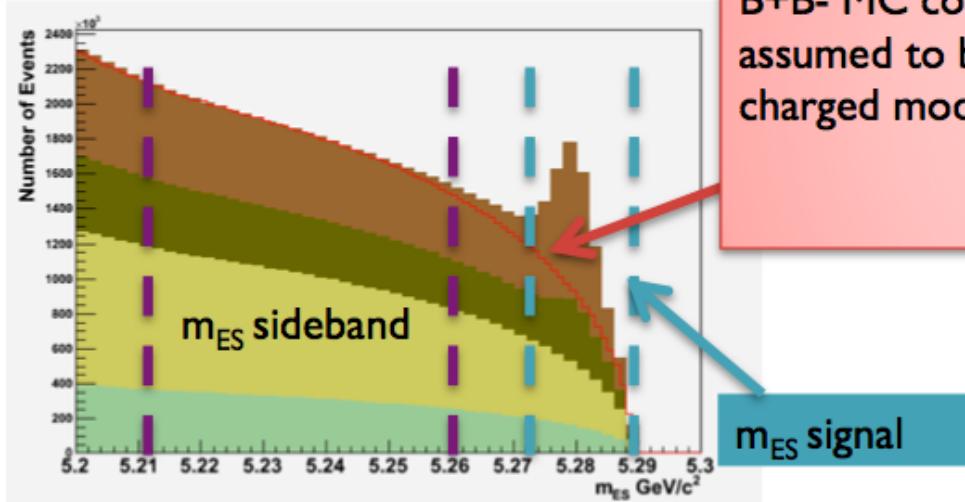
Two types of background:

- Combinatorial background:  $c\bar{c}$ ,  $\tau^+\tau^-$ , uds, and mis-reconstructed  $B\bar{B}$ .
- Peaking background: Properly reconstructed BB.

Combinatorial background is determined using data in the sideband  $m_{ES}$  region.

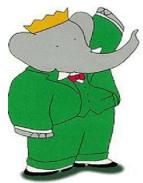
Sideband data is scaled by a cumulative Ratio :

$$R = \frac{\text{Number of MC combinatorial events in } m_{ES} \text{ signal region}}{\text{Number of events in the } m_{ES} \text{ sideband region}}$$



B+B- MC combinatorial component is assumed to be the same as  $B^0\bar{B}^0$  for charged modes:

$$R_{B^0\bar{B}^0} = \frac{N_{B^0\bar{B}^0}^{signal}}{N_{B^0\bar{B}^0}^{sideband}}$$



# $m_{ES}$ sideband substitution:

Two types of background:

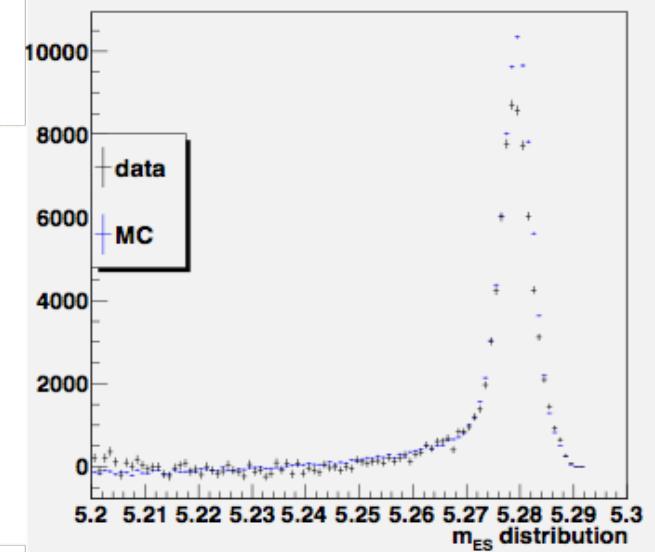
- Combinatorial background: cc,  $\tau^+\tau^-$ , uds, and mis-reconstructed  $B\bar{B}$ .
- Peaking background: Properly reconstructed  $B\bar{B}$ .

Peaking component is estimated using  $B^+B^-$  or  $B^0B^0$  MC, depending on the charge of the signal mode.

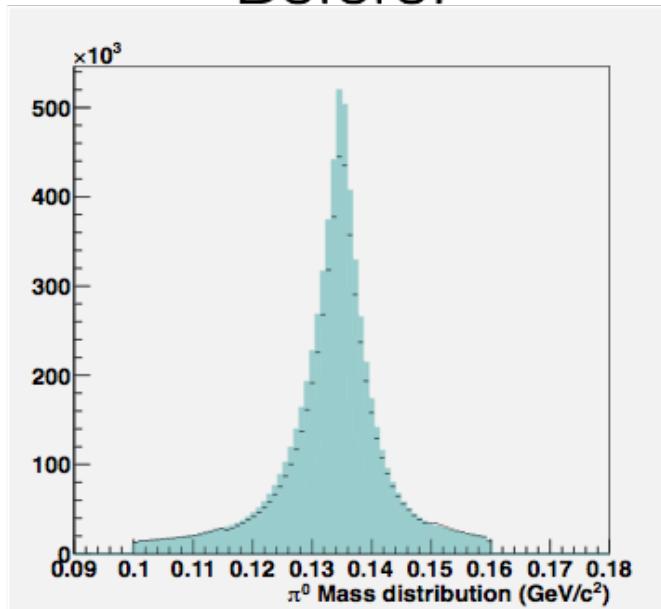
Peaking component of  $B^+B^-$  or  $B^0B^0$  is isolated and scaled by a correction factor, CF, to match the peaking data.

$$CF = \frac{N_{data}}{N_{B\bar{B}}}$$

Data vs. Peaking Monte Carlo

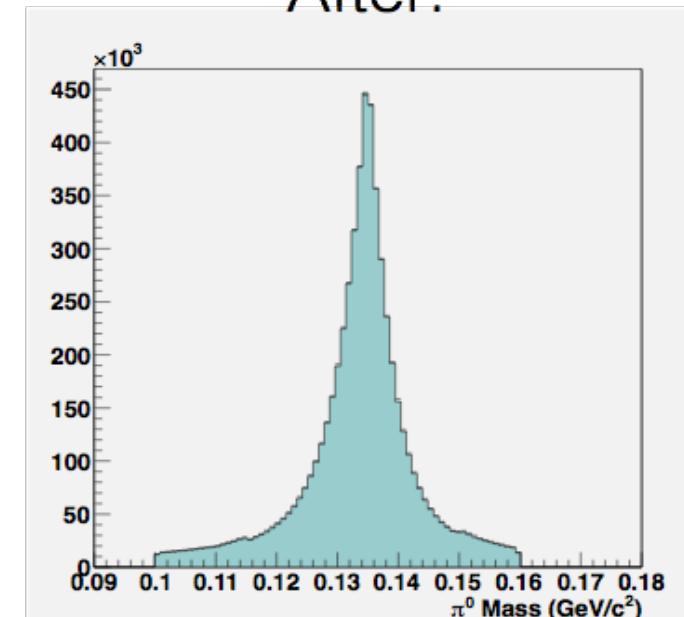


Before:



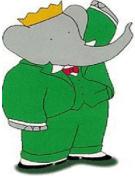
2014-06-15

After:



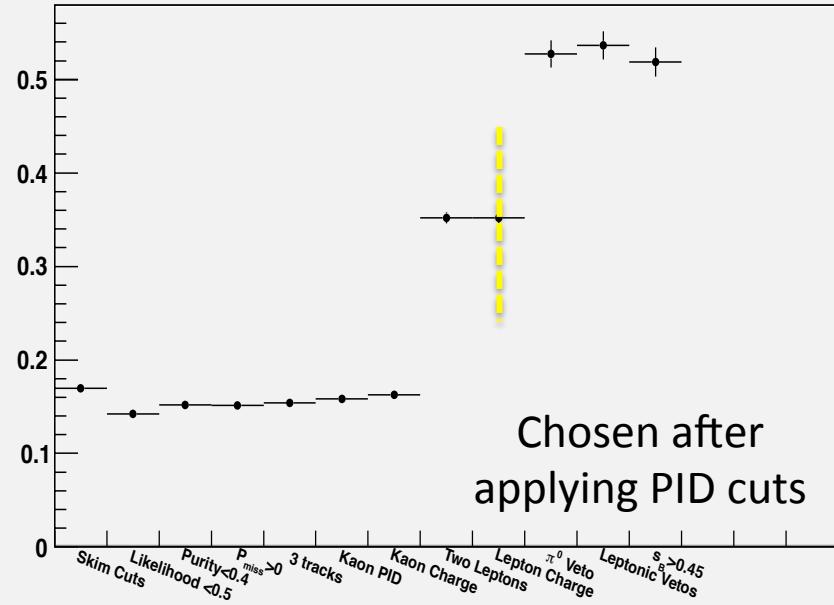
Racha Cheaib, McGill University

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# Ratio and Correction Factor

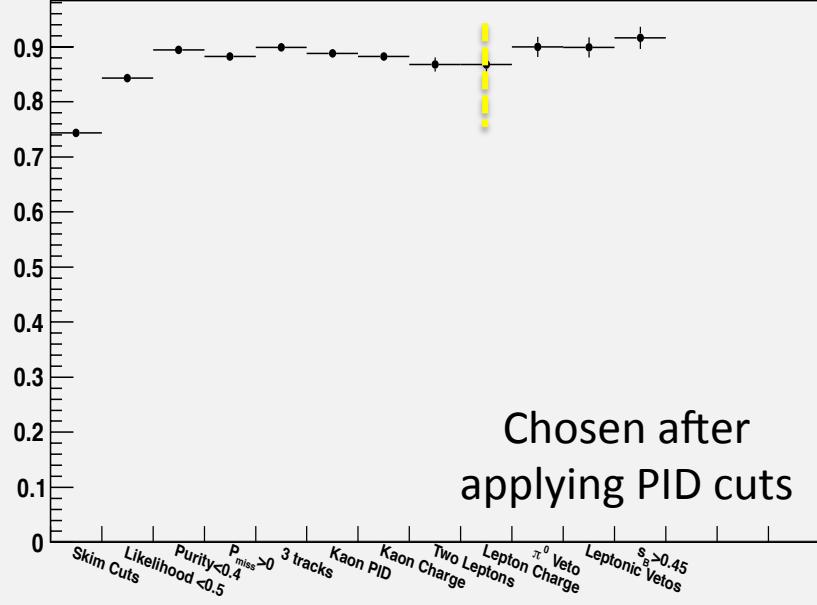
Ratio for  $B \rightarrow K^+ \tau^+\tau^-$  Signal Selection



Ratio used to scale sideband data and estimate non-peaking background.

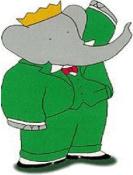
**0.352 +/-0.006**

Correction Factor for  $B \rightarrow K^+ \tau^+\tau^-$  Signal Selection



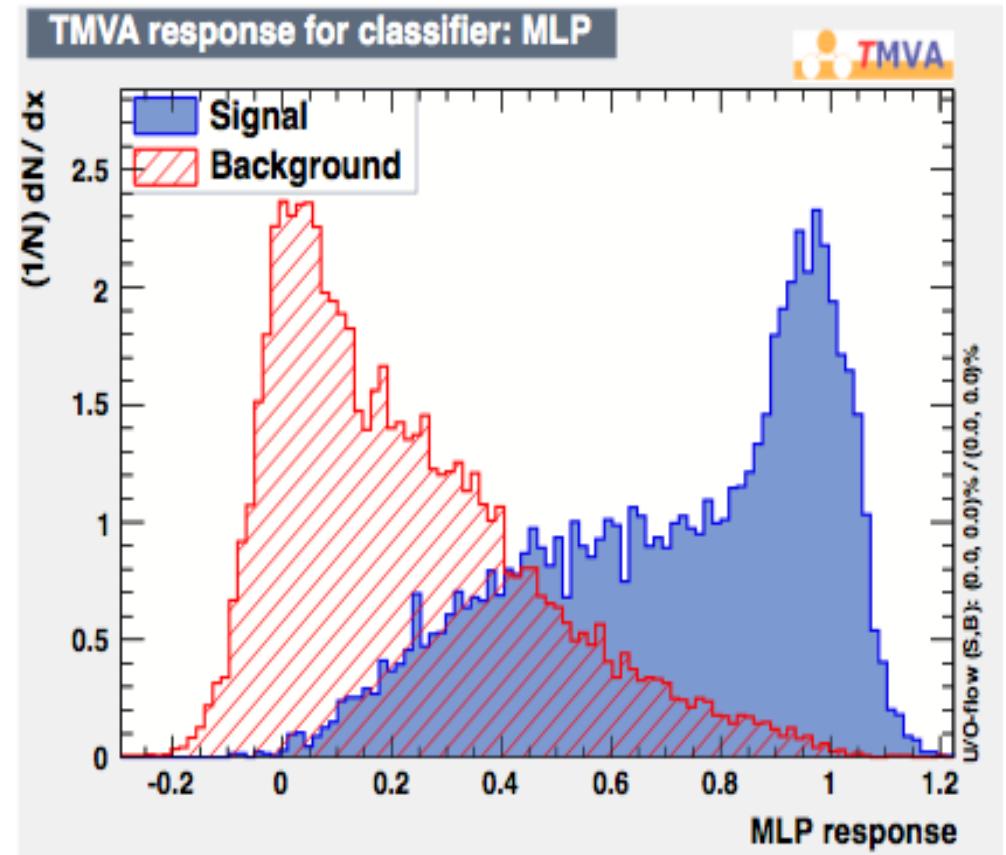
Correction factor used to correct differences between Monte Carlo peaking background and peaking data.

**0.868 +/-0.012**



# TMVA: Neural Network

- Use a Neural Network to separate between signal and background.
- 9 Input variables: 5 calorimeter and 4 angular.
- Both signal and background samples are randomly split in half for training and testing.



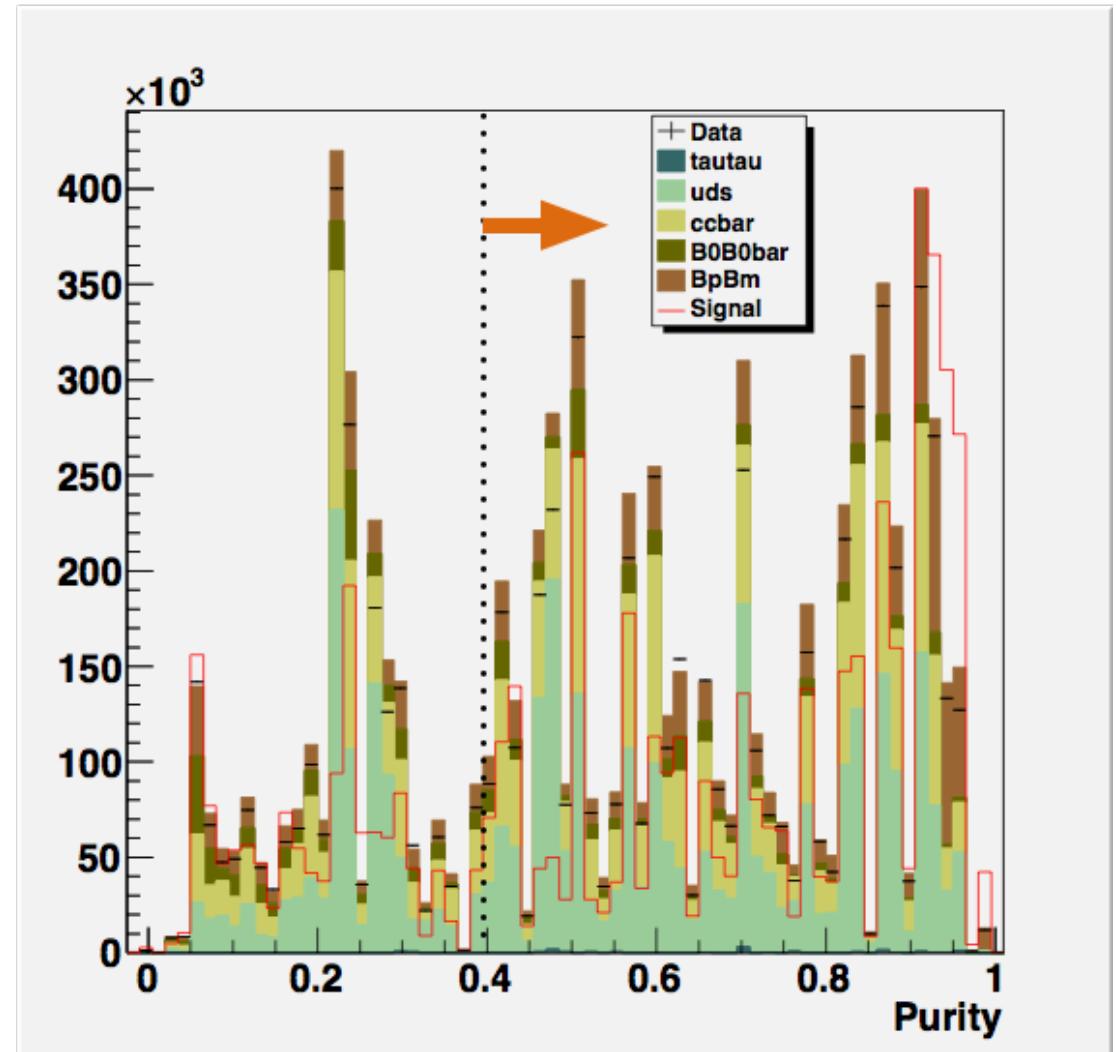
# Signal Selection: $B_{tag}$ side

## Purity:

Fraction of properly reconstructed  $B_{tag}$ 's within a decay mode.

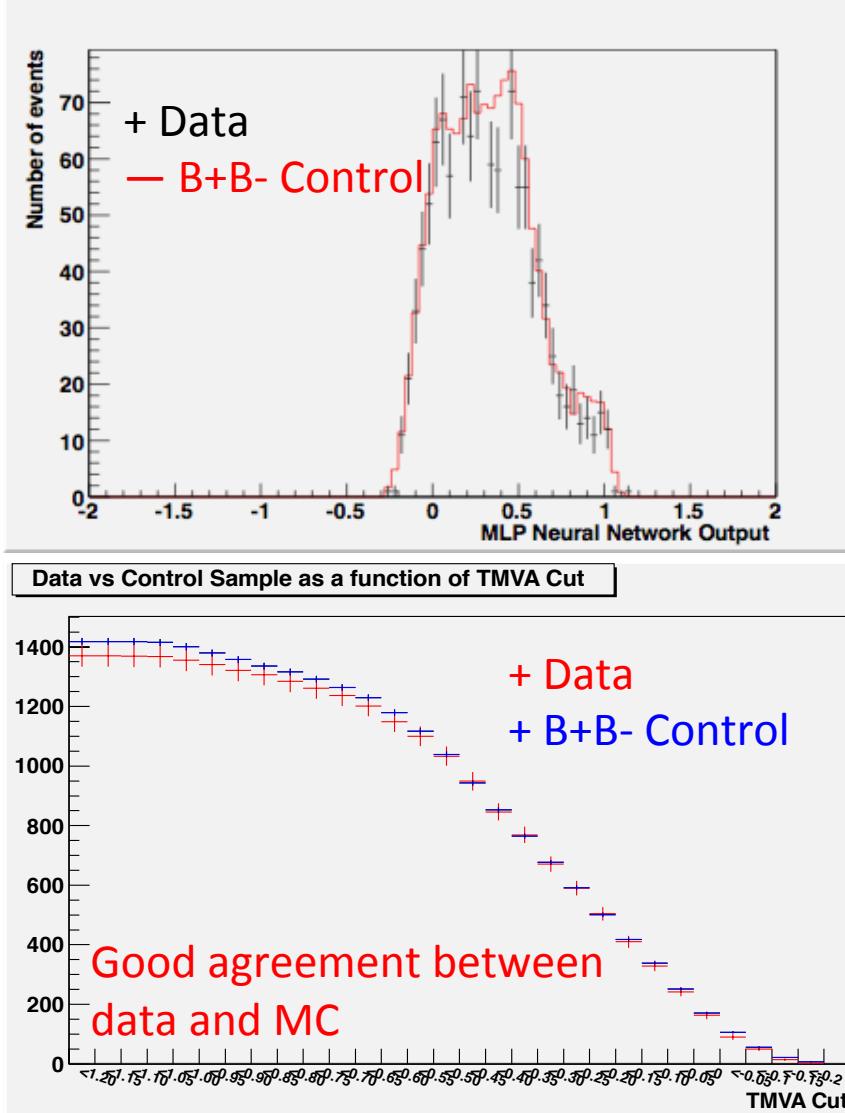
For each decay mode, the daughter tracks of the  $B_{tag}$  are truth-matched:

- The number of pions, kaons, and  $K_{S0}$  is determined for each mode.
- This number is compared with the actual number of each particle type originating from the  $B_{tag}$  according to MC truth.





# Control Study:



Apply TMVA on control and data sample, and then reverse TMVA Cut in increments of 0.05.

