

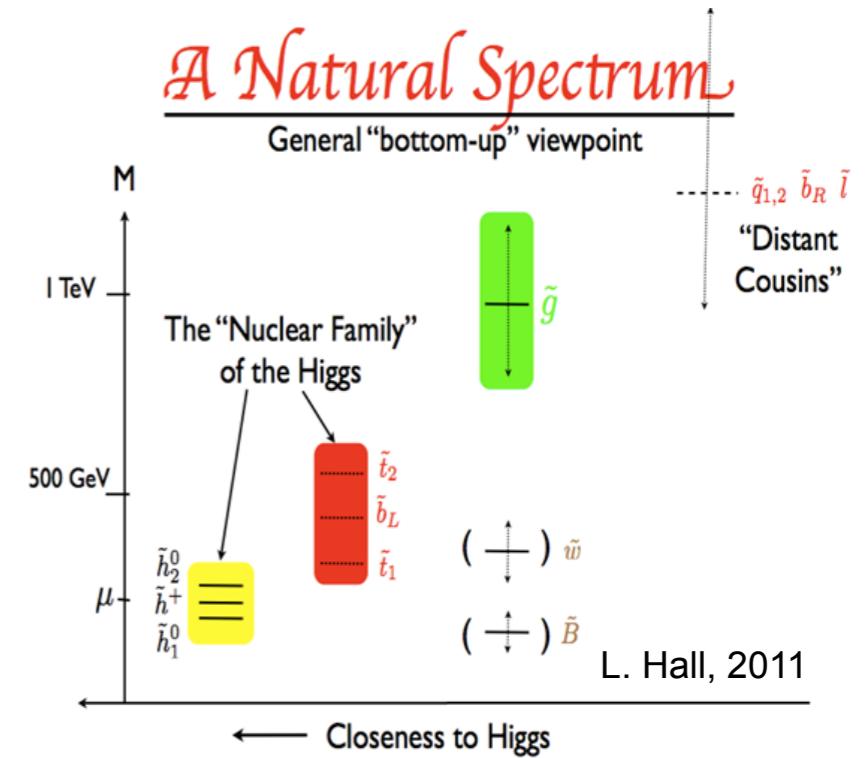
# All-hadronic $\alpha\tau$ search for SUSY with $2.2/\text{fb}$ of $13\text{TeV}$ data at CMS

IOP Conference, University of Sussex  
23/03/16

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for the CMS collaboration

# Introduction

- SUSY solves many limitations of the SM
  - Hierarchy problem → TeV-scale superpartners
  - Dark Matter → neutralino LSP is a possible candidate (assuming R parity)
  - Grand unification → modified running of gauge couplings
- LHC @ 13 TeV provides perfect conditions for natural SUSY discovery!
- Present **CMS  $a_T$  search**: all-hadronic jets + MET search
- Stringent new limits with 2.2/fb of data at 13 TeV on wide range of SUSY (+DM) models
- Show interpretations for two gluino simplified models



# The CMS detector

## CMS DETECTOR

Total weight : 14,000 tonnes  
Overall diameter : 15.0 m  
Overall length : 28.7 m  
Magnetic field : 3.8 T

STEEL RETURN YOKE  
12,500 tonnes

SILICON TRACKERS  
Pixel ( $100 \times 150 \mu\text{m}$ )  $\sim 16\text{m}^2 \sim 66\text{M}$  channels  
Microstrips ( $80 \times 180 \mu\text{m}$ )  $\sim 200\text{m}^2 \sim 9.6\text{M}$  channels

SUPERCONDUCTING SOLENOID  
Niobium titanium coil carrying  $\sim 18,000\text{A}$

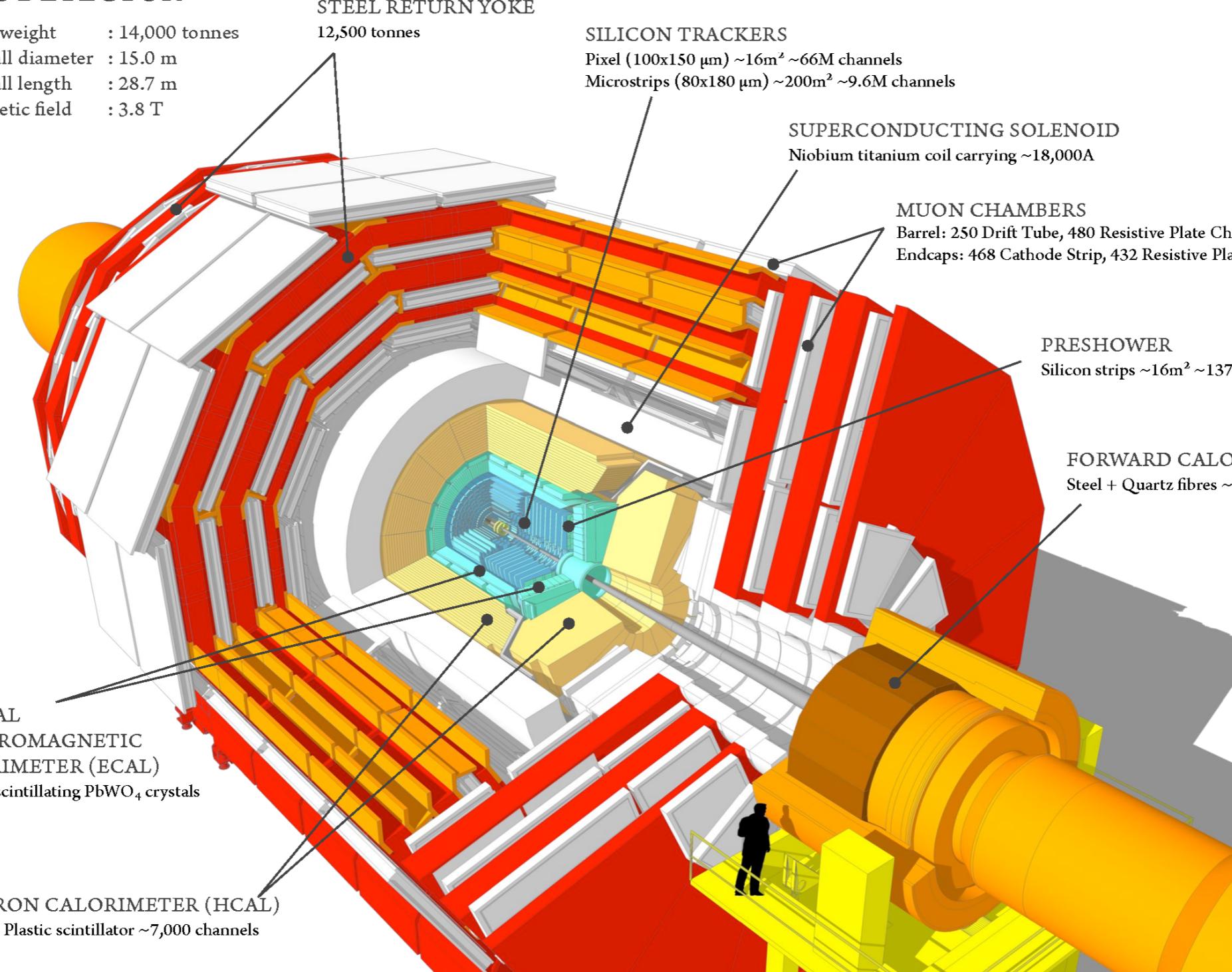
MUON CHAMBERS  
Barrel: 250 Drift Tube, 480 Resistive Plate Chambers  
Endcaps: 468 Cathode Strip, 432 Resistive Plate Chambers

PRESHOWER  
Silicon strips  $\sim 16\text{m}^2 \sim 137,000$  channels

FORWARD CALORIMETER  
Steel + Quartz fibres  $\sim 2,000$  Channels

CRYSTAL  
ELECTROMAGNETIC  
CALORIMETER (ECAL)  
 $\sim 76,000$  scintillating  $\text{PbWO}_4$  crystals

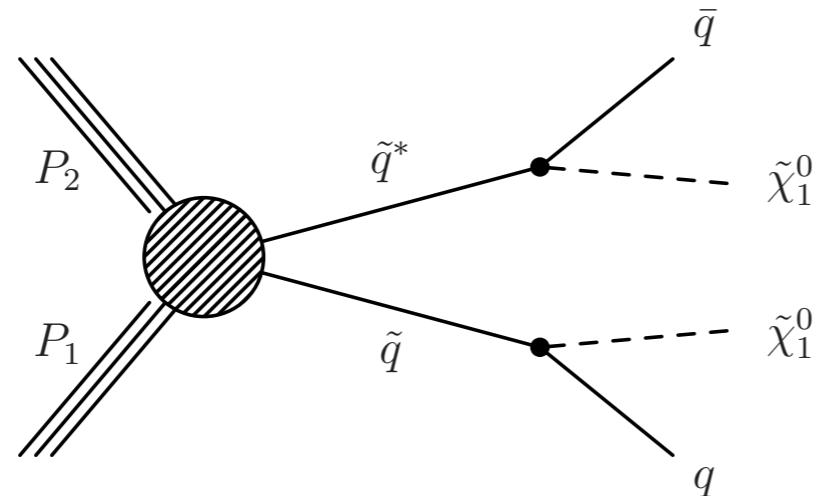
HADRON CALORIMETER (HCAL)  
Brass + Plastic scintillator  $\sim 7,000$  channels



# Hadronic SUSY signatures

$H_T$  = total jet energy  
 $MH_T$  = ‘missing’ momentum from jets

Uncompressed (large mass splittings)



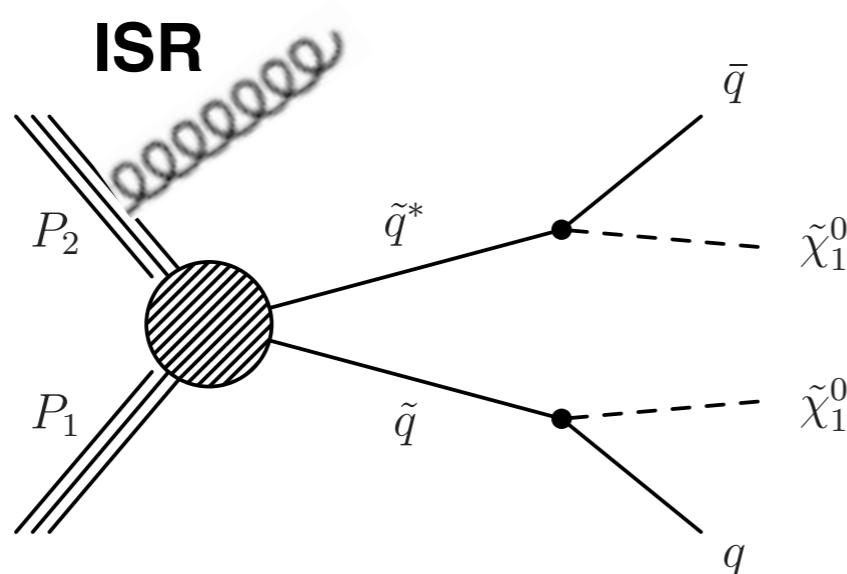
$H_T$ :

$$m_{\tilde{q}} - m_{\tilde{\chi}_1^0}$$

$MH_T$ : + jets

$$p_T_{\tilde{\chi}_1^0}$$

Compressed (small mass splittings)



$H_T$ :

$p_T(I SR) \rightarrow$  softer

$MH_T$ :

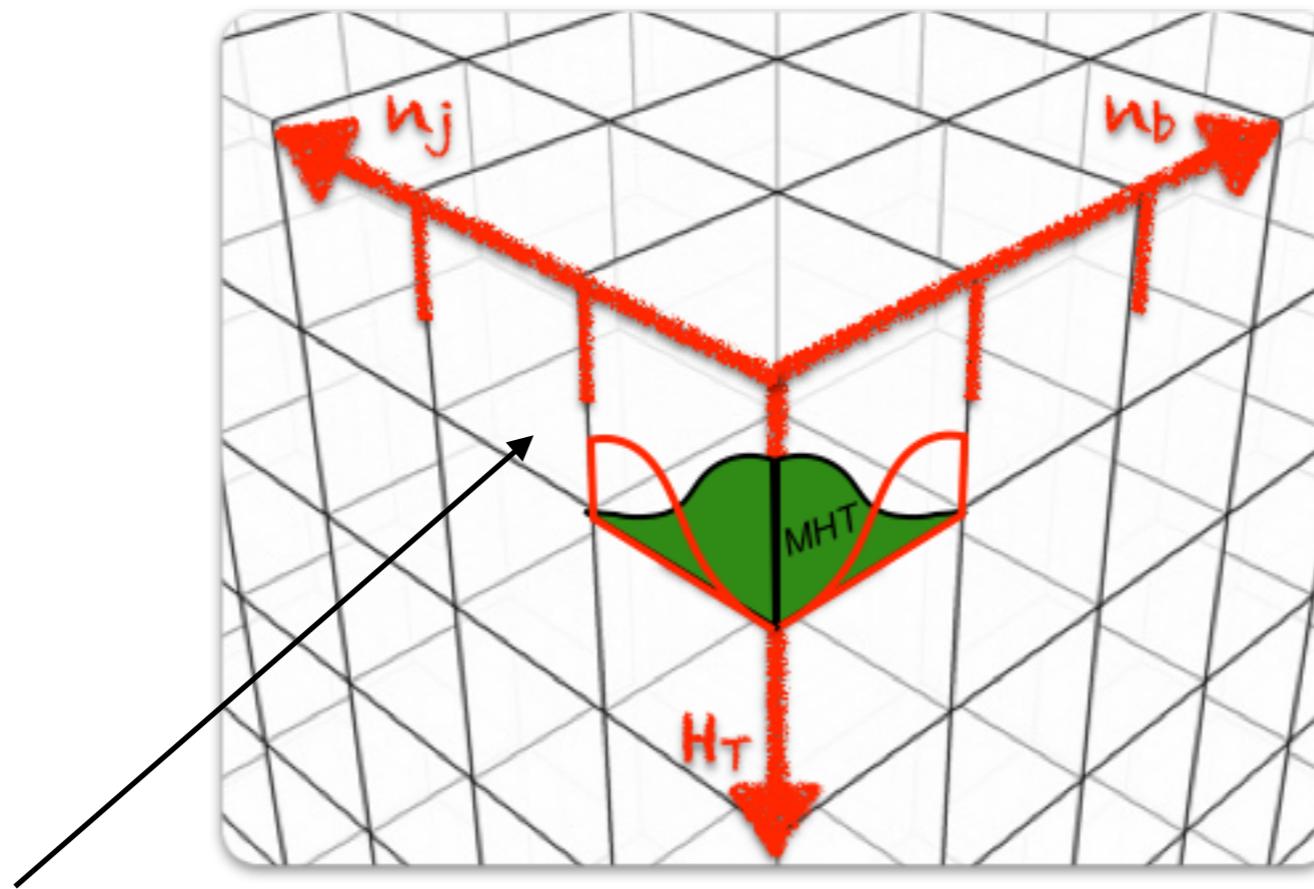
$$+ \text{jets} \\ p_T_{\tilde{\chi}_1^0}$$

+ b jets from 3<sup>rd</sup> generation decays  
(lightest squarks for natural SUSY)

# Analysis strategy

- Reject electroweak backgrounds with lepton/photon veto - all hadronic jets +  $\text{ME}_T$  final state
- Maximise sensitivity by binning in  $n_{\text{jet}}, n_b, H_T, M H_T$
- Two key variables:  $a_T$  and  $\Delta\phi^*$  used to reduce dominant QCD background to negligible level
  - Additional cleaning cuts applied (e.g.  $MH_T/\text{ME}_T < 1.25$ ) - see backup for full selection
  - Residual QCD measured in data (see backup and added in likelihood)
- Data driven estimates of remaining ewk backgrounds in signal region from several control samples

# Control region prediction



+ Split into symmetric, asymmetric and monojet topologies based on second jet  $p_T$   
( $> 100$ ,  $40 - 100$ ,  $< 40$  GeV)

Boost sensitivity for softer signal models

Control region binned identically in  $H_T, n_{jet}, n_b$  for prediction of ewk backgrounds:  $Z \rightarrow vv$  (+jets),  $tt$  (+jets) +  $W$  (+jets) + residual

$$N_{\text{pred}}^{\text{signal}}(n_{jet}, n_b, H_T) = \frac{N_{\text{MC}}^{\text{signal}}(n_{jet}, n_b, H_T)}{N_{\text{MC}}^{\text{control}}(n_{jet}, n_b, H_T)} \times N_{\text{obs}}^{\text{control}}(n_{jet}, n_b, H_T)$$

**transfer factor**

X systematic terms

# Transfer factor systematic summary

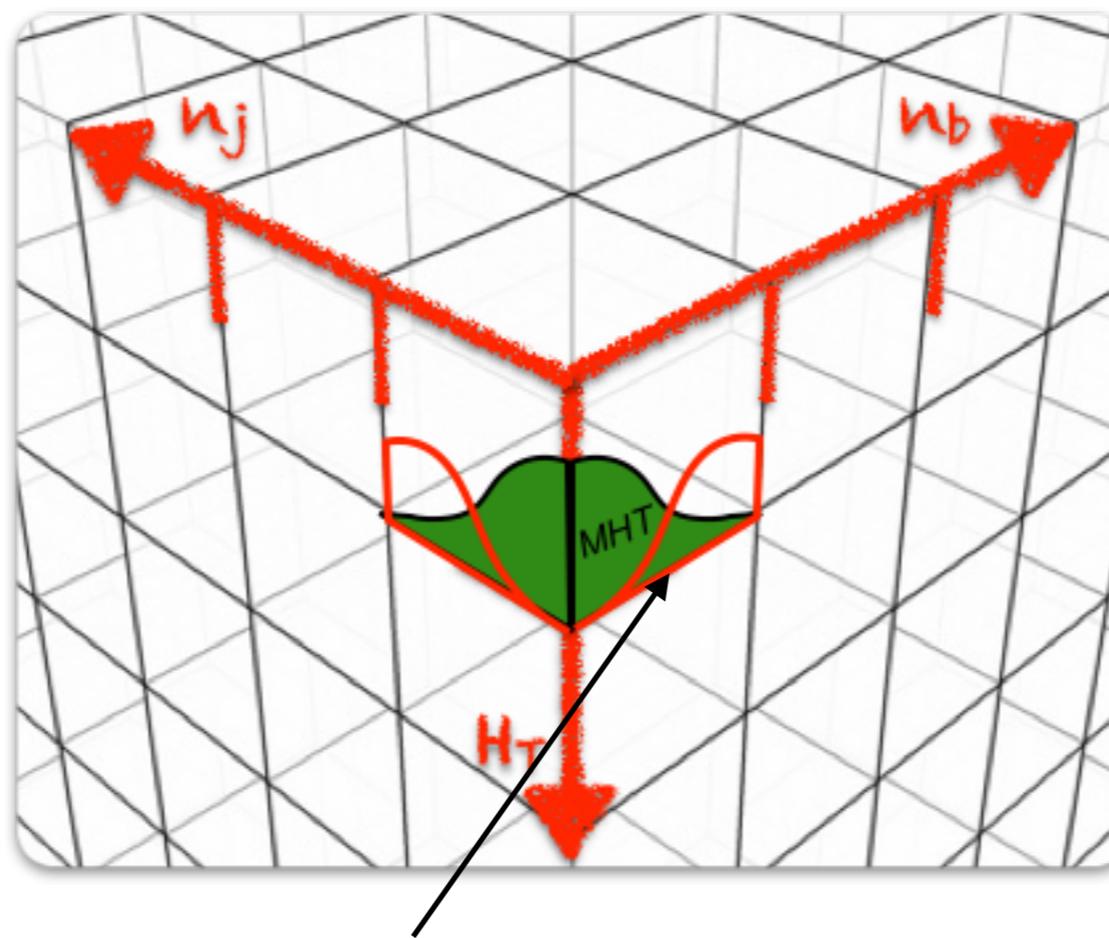
Source	Method	Uncertainty on transfer factor			
		$\mu \rightarrow Z\text{inv}$	$\mu\mu \rightarrow Z\text{inv}$	$\gamma \rightarrow Z\text{inv}$	$\mu \rightarrow t\bar{t}+W$
JEC	variation of JECs	< 15%	< 10%	< 15%	< 15%
b-tag	variation of b-tag SFs	< 5%	< 2%	< 2%	< 5%
PU	variation of min. bias xs	< 6%	< 4%	< 3%	< 10%
Top $p_T$	variation of top $p_T$ weights	< 20%	< 4%	-	< 5%
Lepton ID/iso/ trigger	variation of lepton SFs	-	-	-	~3%
W polarisation	$\mu^+\rightarrow\mu^-$ closure test	5-10%	-	-	5-50%
$\alpha_T/\Delta\Phi^*$ extrapolation	$\alpha_T$ closure test	5-80%	50-80%	-	5-80%
W/Z ratio	$\mu\rightarrow\mu\mu$ closure test	10-30%	-	-	-
Z/g ratio	$g\rightarrow\mu\mu$ closure test	-	-	10-30%	-
tt/W admixture	0 $\rightarrow$ 1 b-tag closure test	-	-	-	10-100%

Fully  
correlated

Uncorrelated  
across  
 $H_T$ /jet top.  
(sym/asym)

# $MH_T$ dimension

$MH_T$  binning provides additional separation between SUSY and Ewk backgrounds for a range of models



Use  $MH_T$  dimension **binned** in  $H_T (+n_j) \rightarrow$  **negates the effect of missing higher order corrections** that otherwise bias Data/MC agreement

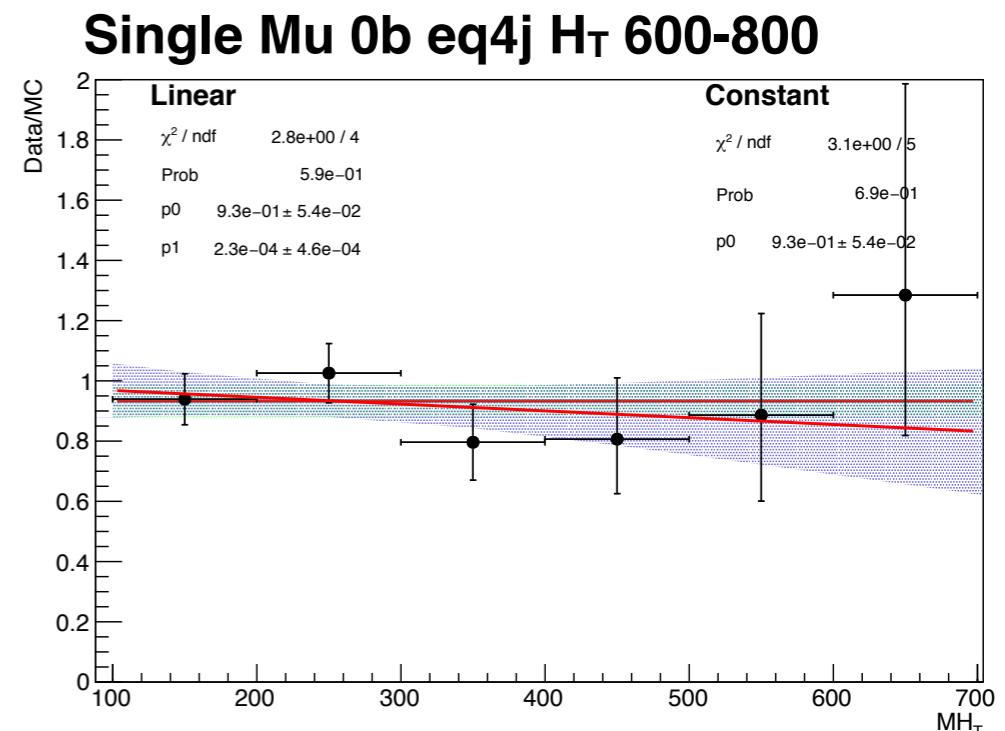
$MH_T$  dimension probes only the **kinematics** of events fixed at a certain scale  
- predict  $MH_T$  distribution with MC (normalisation set in data)

Fully validated using control regions (next slide)

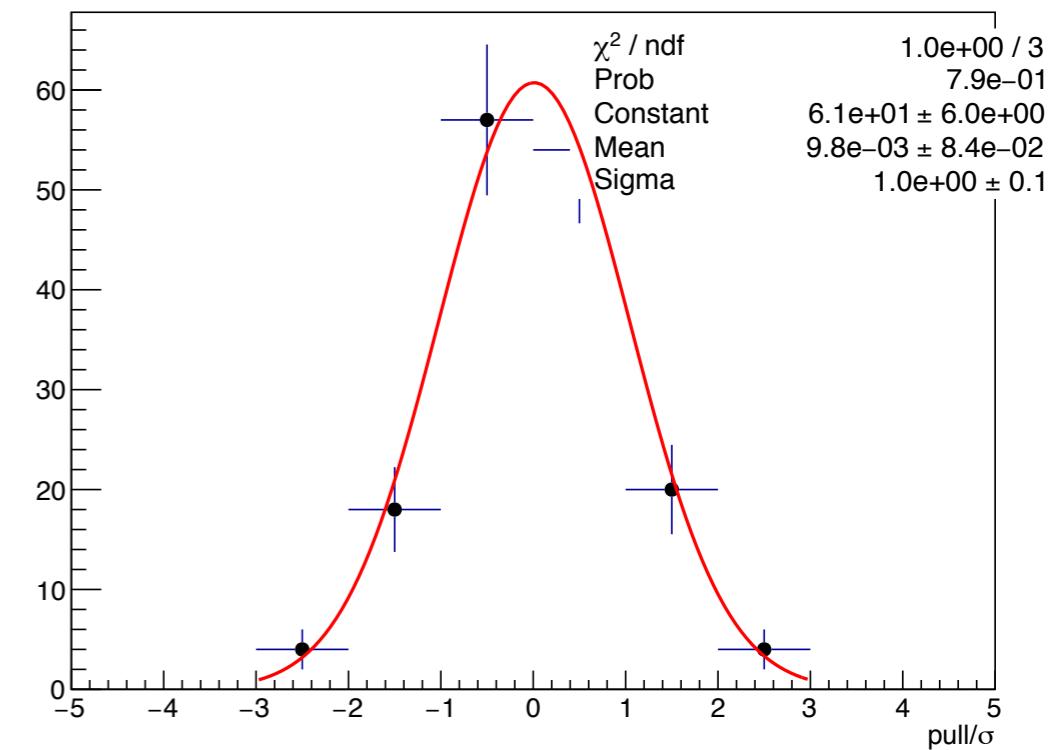
# M<sub>H<sub>T</sub></sub> dimension

- Nominal templates taken directly from MC (normalisation from control regions)
- In each ( $n_{jet}, n_b, H_T$ ) bin, the data/MC ratio is validated in multiple control regions and used to derive uncertainties in templates
- Fit linear orthogonal polynomial and check linear term is compatible with 0 over all bins
  - Linear function with intersect ( $p_0$  - norm changing) and slope ( $p_1$  - shape changing) components decorrelated
  - $p_1$  uncertainty and best fit value are propagated to derive alternative templates for systematics
- Validated with full 13 TeV and 8 TeV datasets

$$f(x) = p_0 + (x - \langle x \rangle) \times p_1$$

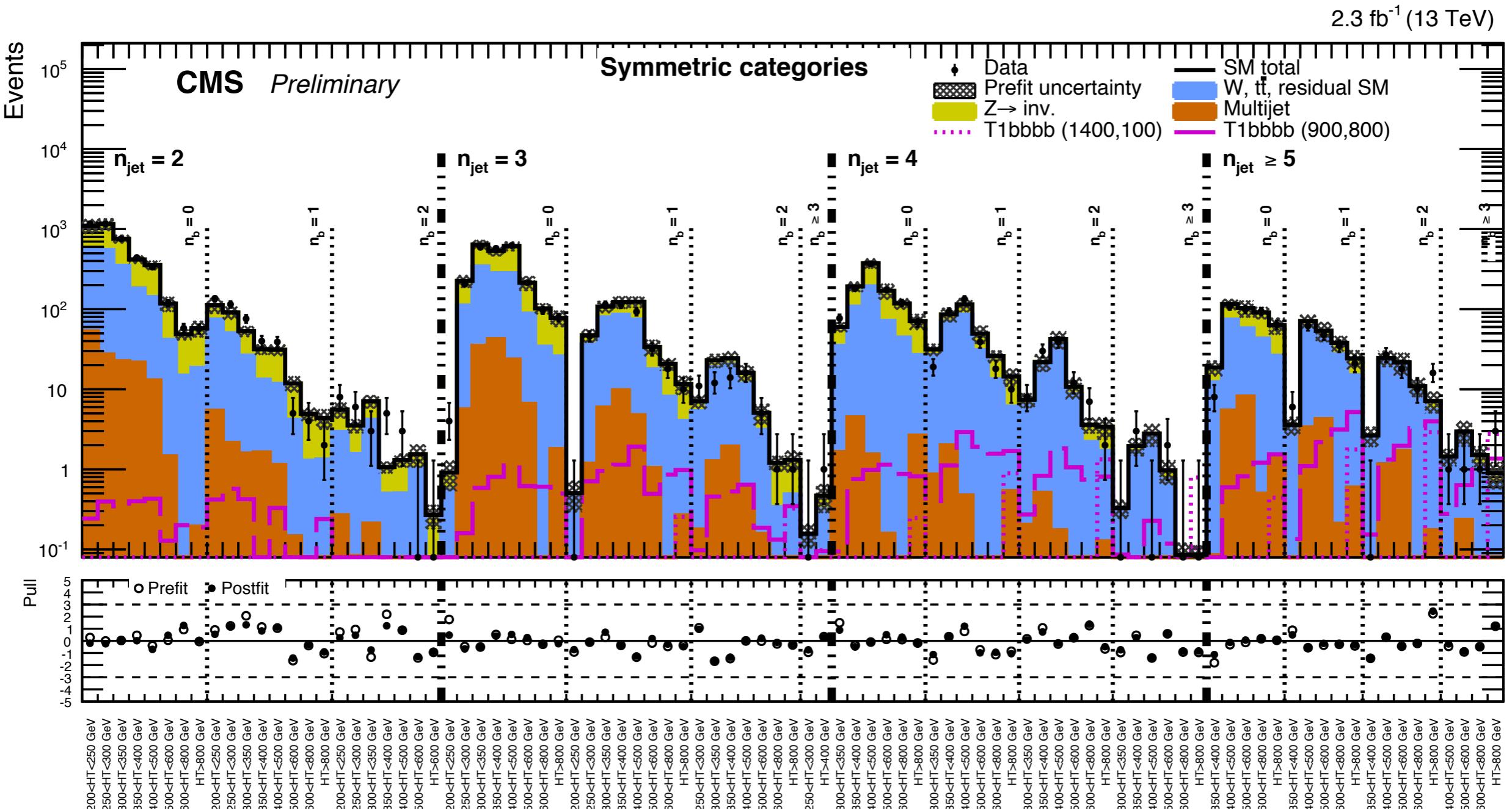


Example validation in control region



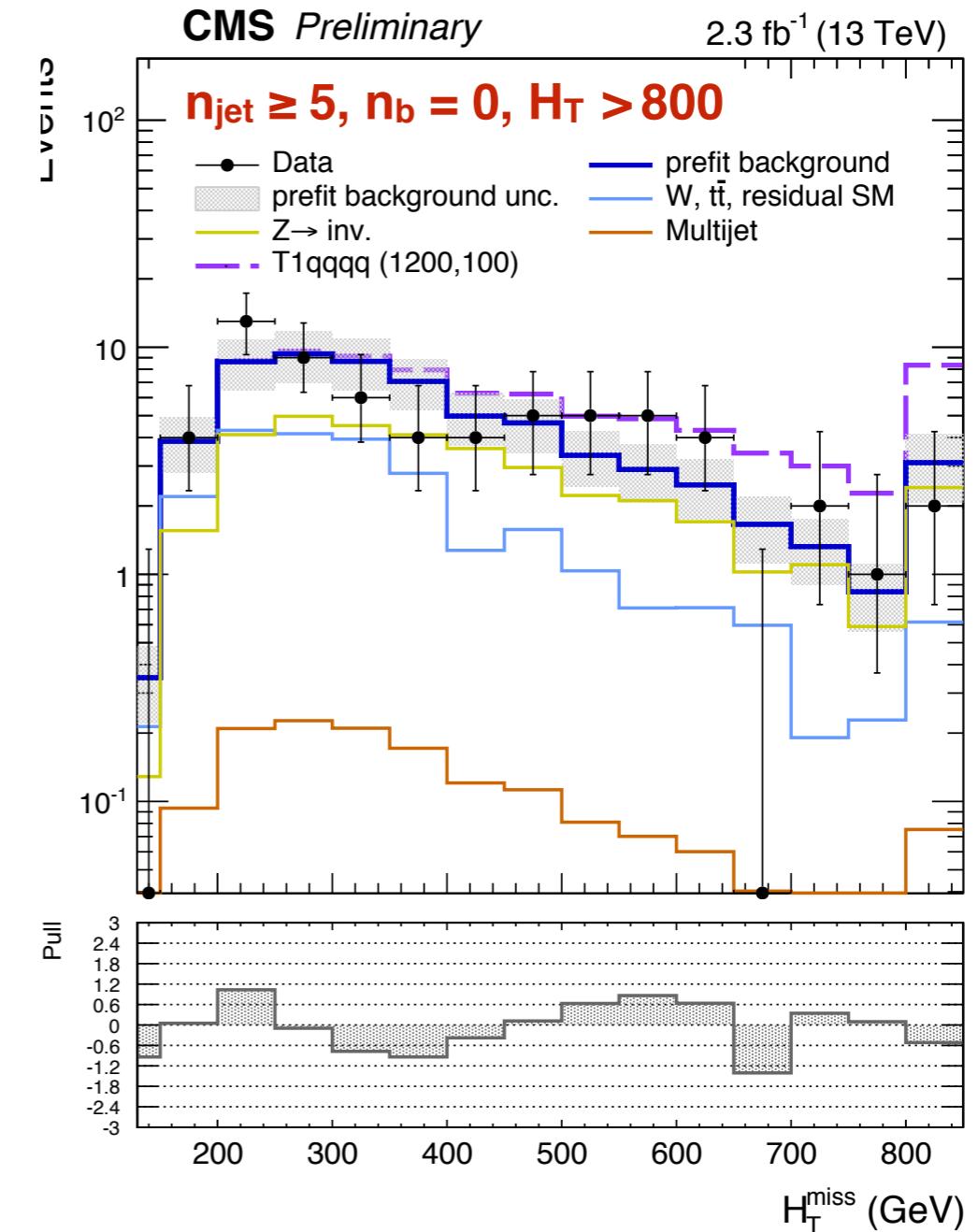
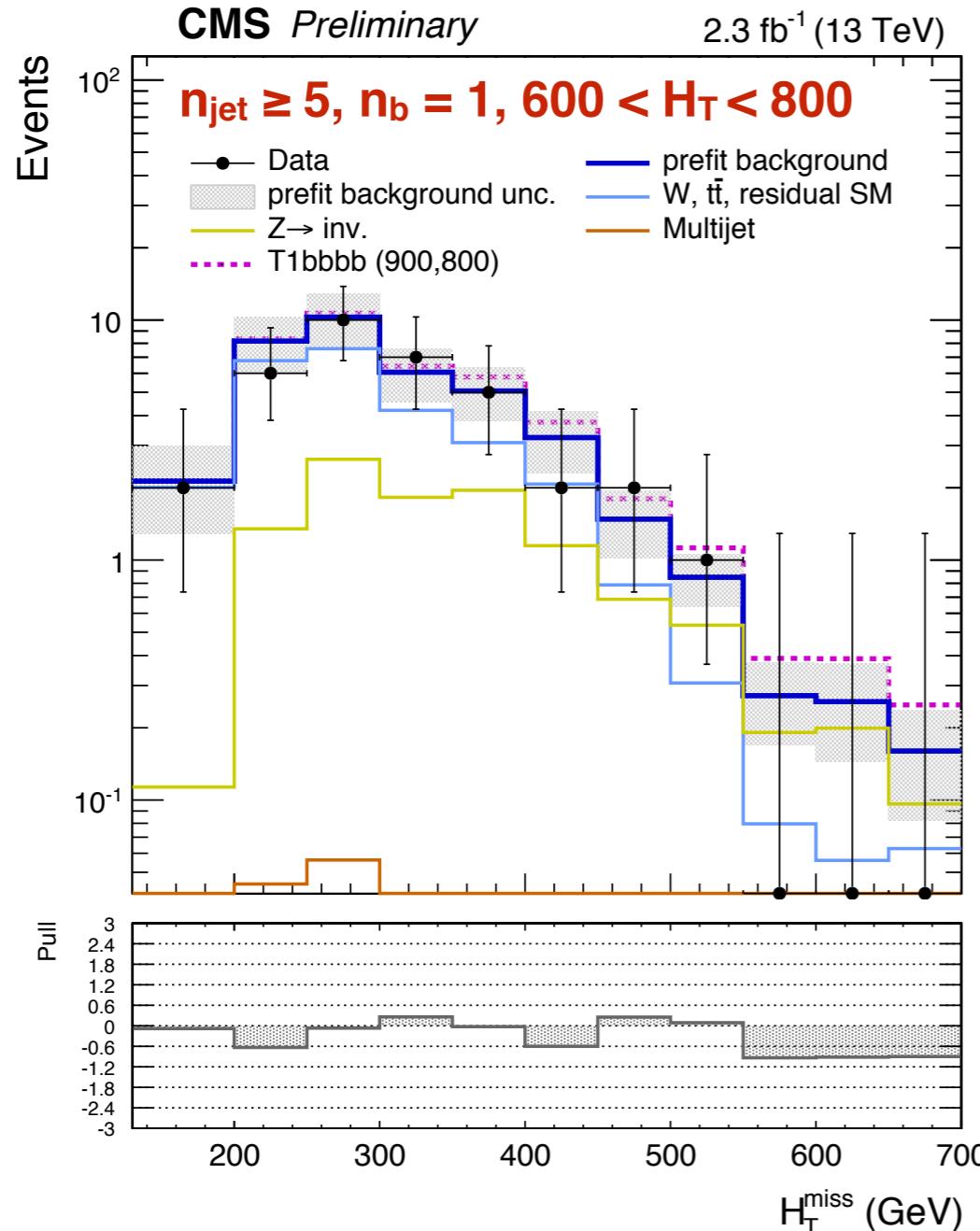
Pull distribution ( $p_1/p_1_{\text{Err}}$ ) showing linear parameter consistent with 0

# Symmetric category predictions



Predictions agree well with data across the signal region

# Prefit $MH_T$ distribution examples



Example **sensitive** categories show pre-fit  $MH_T$  distributions agree well with data

# Likelihood model

$$i = H_T/n_{jet}/n_b \text{ bin}$$

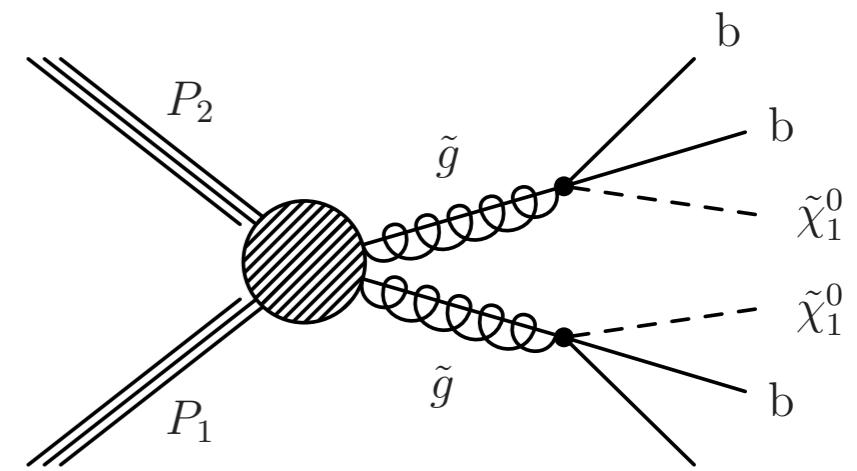
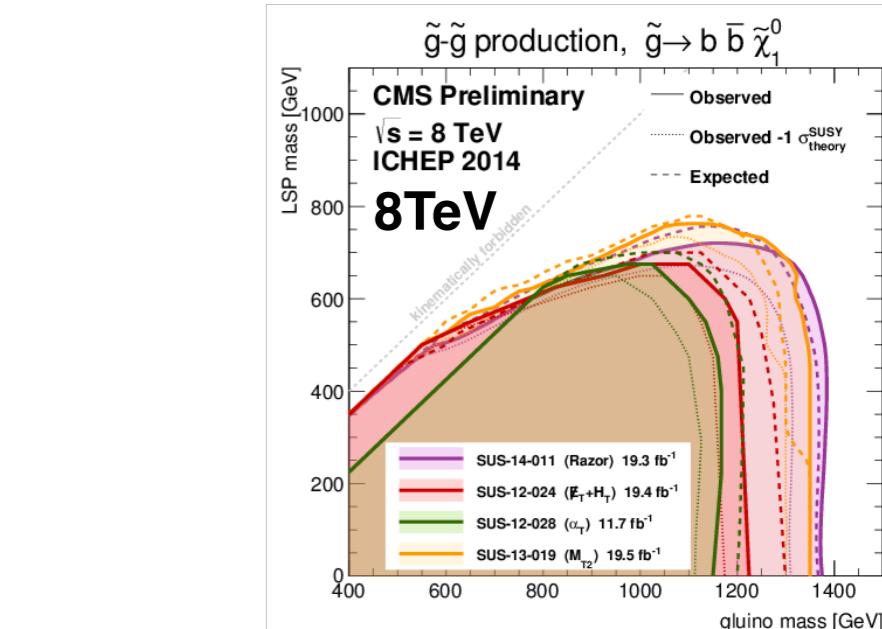
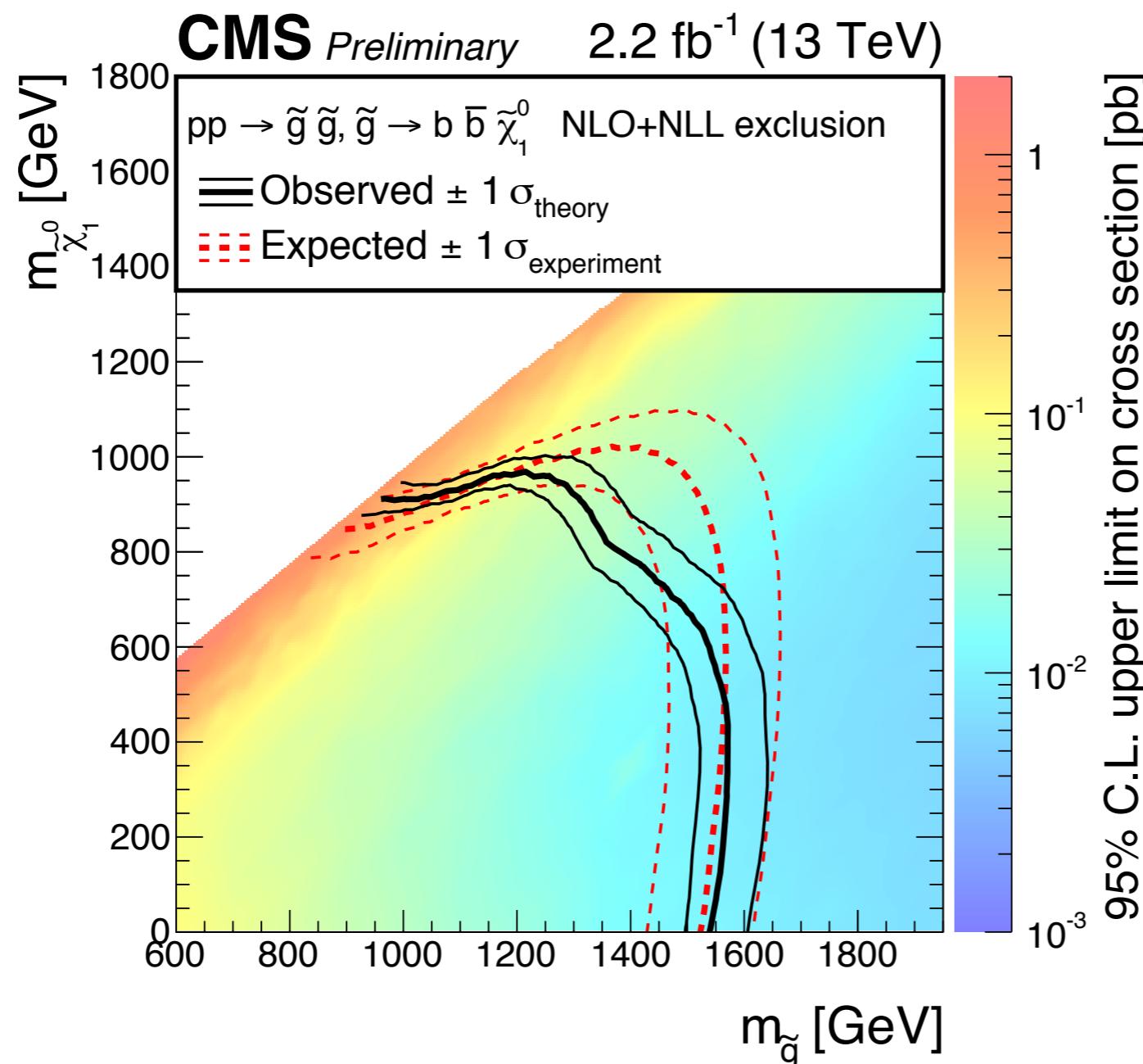
signal strength

$$L(\mu, \underline{\theta}(\mu)) = \prod_i (L_{had}^i(\mu, \underline{\theta}(\mu)) \times \prod_{control} L_{control}^i(\mu, \underline{\theta}(\mu)))$$

**nuisances**

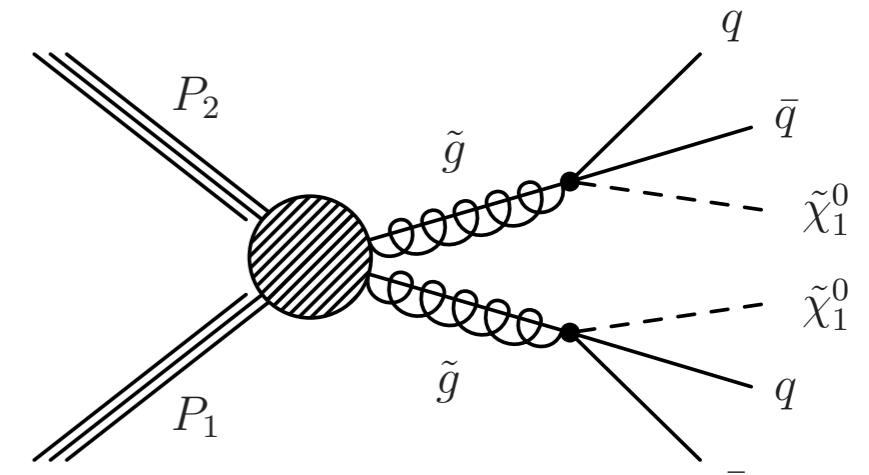
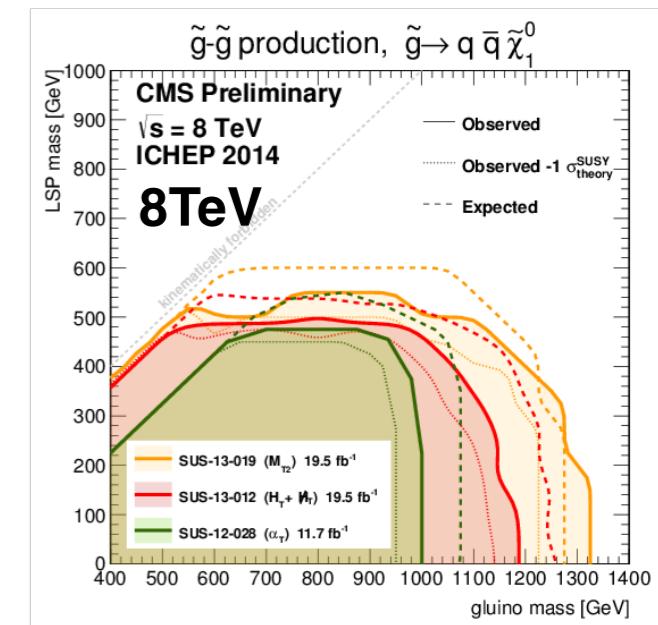
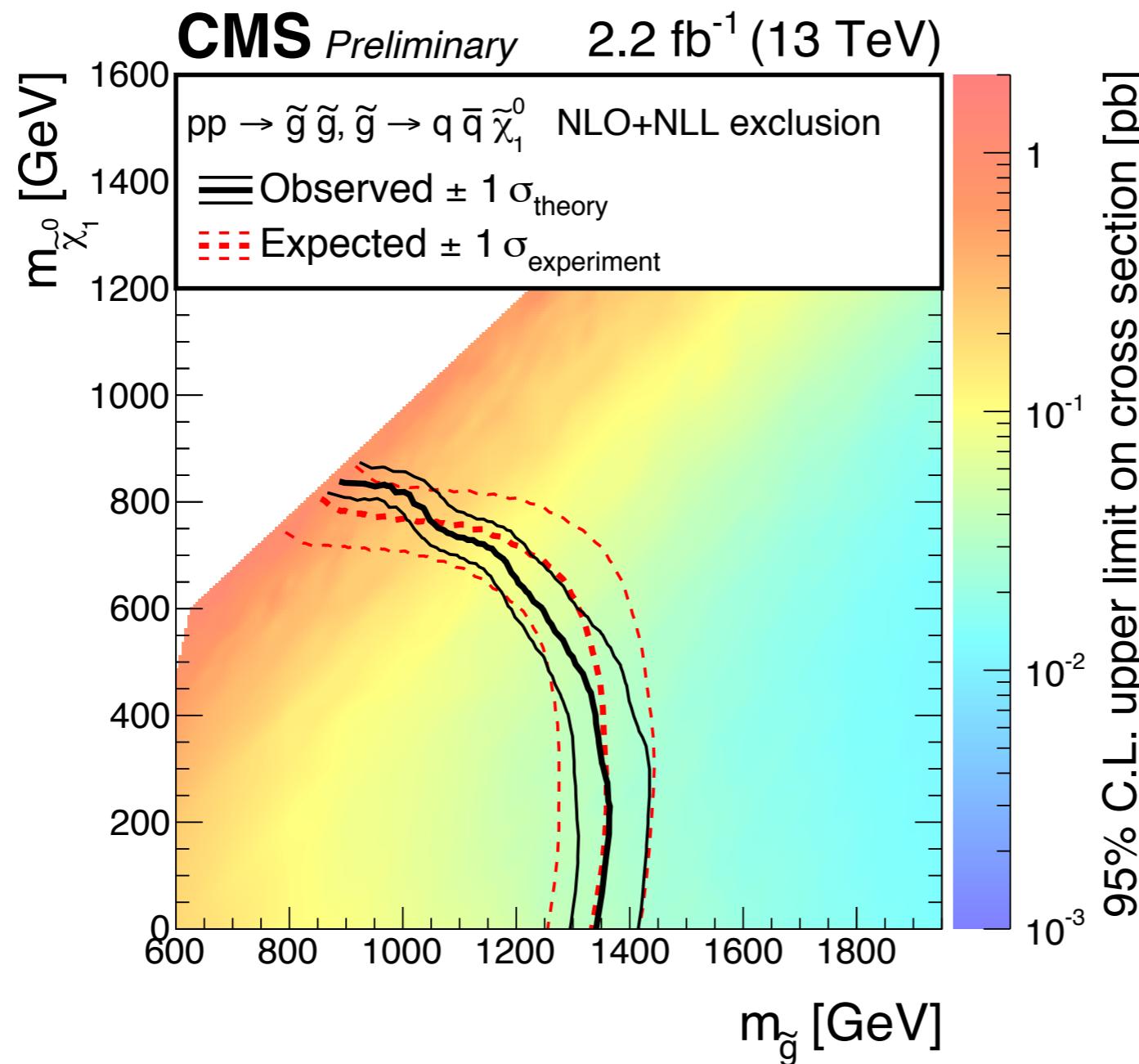
- **Binned analysis** -  $L$  is a product of **poisson terms** of the likelihood of the observation in each bin given the expected counts from background and signal processes (+ systematics)
- Likelihood broken into two parts per  $H_T/n_j/n_b$  - had (signal region) and control
  - $L_{had}^i$  contains the signal and background yields per  $MH_T$  bin and relevant (shape) systematics on the  $MH_T$  distribution within the signal bin
  - $L_{control}^i$  contains the prediction of the dominant background yields by the control regions inclusive in  $MH_T$  including the relevant (log normal) systematics
- Signal contribution in signal and control regions (with relevant systematics) included
- Set limits based on value of  $\mu$  excluded with  $CLs > 95\%$  derived using LHC test statistic\*

# Interpretation - T1bbbb



- Exclude up to a maximum of  $m_{\text{gluino}} \sim 1550 \text{ GeV}$  and  $m_{\text{LSP}} \sim 950 \text{ GeV}$

# Interpretation - T1qqqq



- Exclude up to a maximum of  $m_{\text{gluino}} \sim 1350 \text{ GeV}$  and  $m_{\text{LSP}} \sim 800 \text{ GeV}$

# Conclusions

- Inclusive and robust  $a_T$  search provides good sensitivity to a range of SUSY models
- Sensitivity optimised through binning in  $H_T/n_j/n_b$  and the  $MH_T$  dimension
- Limits extend reach beyond 8TeV
- With additional data ( $\sim O(10)/fb$ ) coming this year primed for discovery of natural SUSY

# Backup

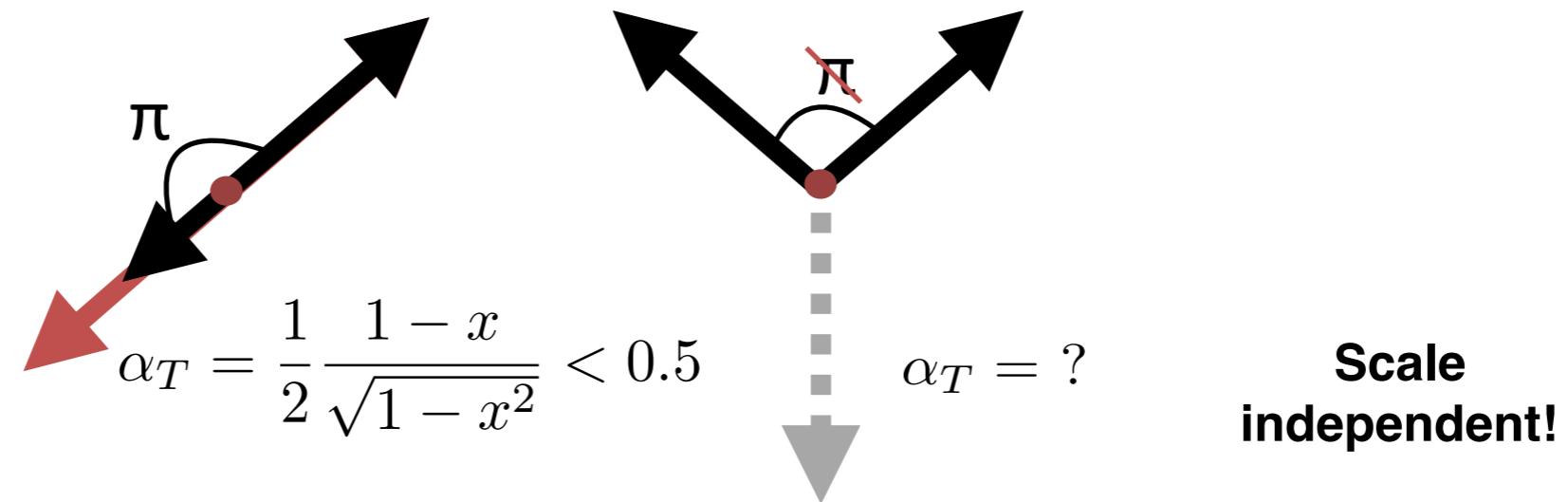
# Transfer factor systematics

- Systematics from known experimental / theoretical uncertainties
  - Consider variations for: JEC, lepton ID/ISO/Trigger, PU weight, top pT reweighting, B-tag SF, signal trigger
  - Use  $\pm 1 \sigma$  variations of known effects to define correlation and magnitude of systematics on transfer factors and encode in likelihood
- Data driven systematics from ‘closure tests’
  - Derive systematic per  $H_T$  / jet topology **per effect**
  - Systematic applied on relevant transfer factor(s) from control to signal region in likelihood

# The $\alpha_T$ variable

$$\alpha_T = \frac{1}{2} \frac{1 - (\Delta H_T / H_T)}{\sqrt{1 - (\cancel{H}_T / H_T)^2}}$$

- Mis-measured jets from QCD events cause ‘fake’  $MH_T$ 
  - Very high cross section means dominant background source
- $\alpha_T$  is designed to reduce this ‘fake’  $MH_T$  to sub-percent level

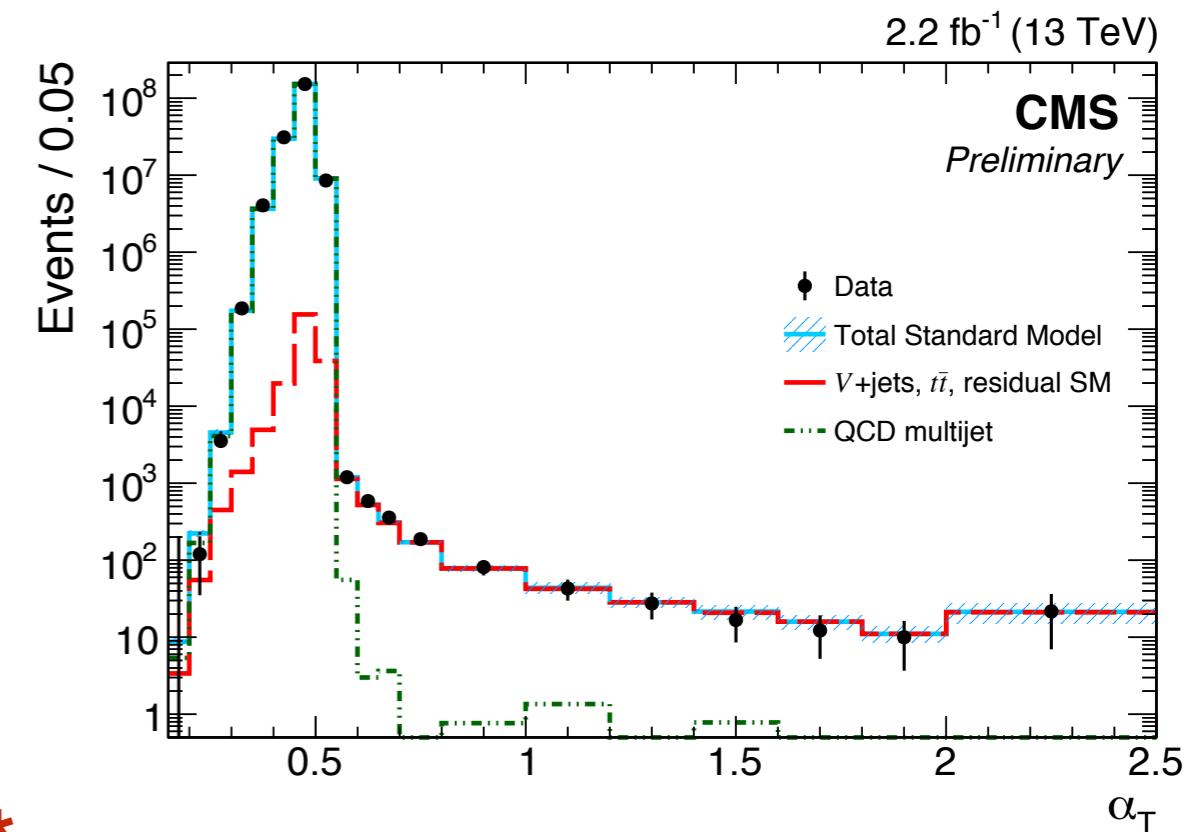
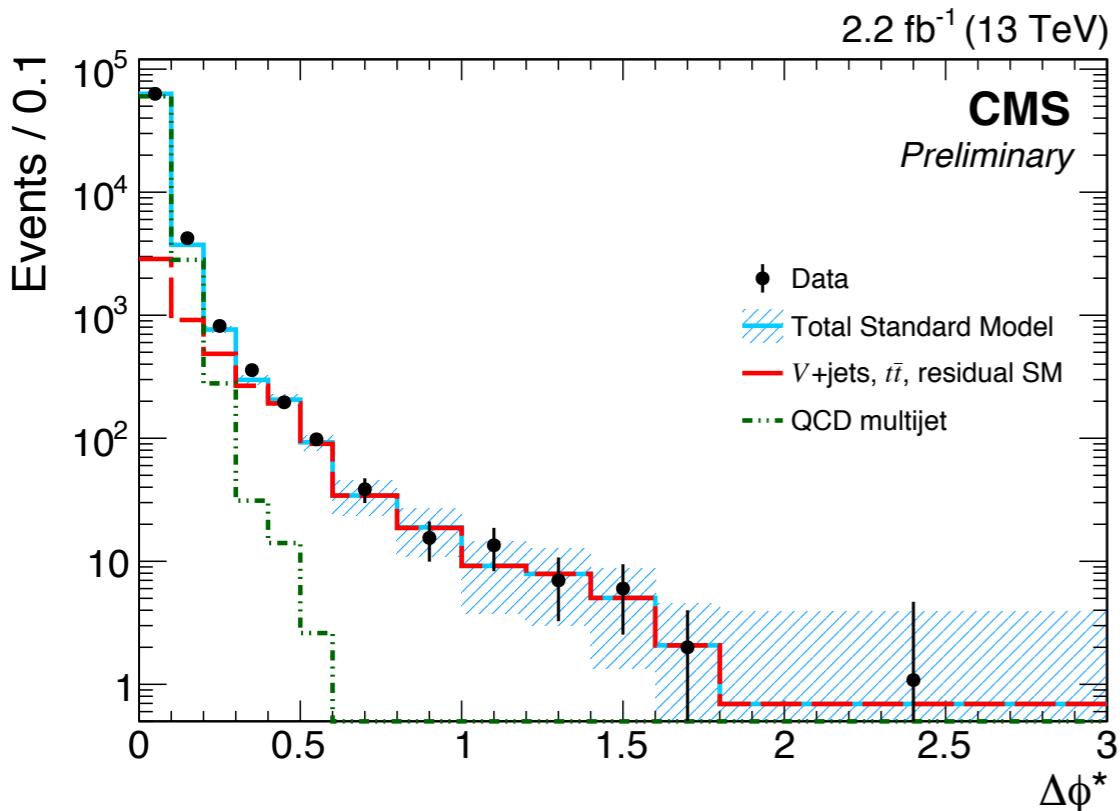


- Back to back jets must have  $\alpha_T < 0.5$  but unconstrained for true  $MH_T$ 
  - Balanced (no  $MH_T$ ) events have  $\alpha_T = 0.5$
- For N-jets define pseudo dijet system as one which minimises energy difference between the jets ( $\Delta H_T$ ) - aggressively kills QCD

# Key variables - $\alpha_T$ and $\Delta\phi^*$

$$\alpha_T = \frac{1}{2} \times \frac{H_T - \Delta H_T}{\sqrt{H_T^2 - \Delta H_T^2}}$$

- Effective in rejecting QCD multijet events (and keeping low trigger thresholds)
  - Back-to-back events  $\Rightarrow \alpha_T = 0.5$
  - “mismeasured” balanced events  $\Rightarrow \alpha_T < 0.5$
  - genuine MET events  $\Rightarrow \alpha_T > 0.5$



## $\Delta\phi^*$

- Minimum  $\Delta\phi$  between jet and the  $M_{H_T}$  vector computed without that jet (“biased  $M_{H_T}$ ”)
- Compute minimum  $\Delta\phi^*$  with ALL jets in the event
  - very robust even against even severe under/over measurement (but at the expense of signal)
- Mis-reconstructed jets and jets with significant neutrino component peak at low min  $\Delta\phi^*$

# Baseline Selection

## Selection

- $n_{jet} \geq 1$ 
  - $p_{T,j1} > 100 \text{ GeV}, |\eta_{j1}| < 2.5$
  - $p_{T,j2} > 40 \text{ GeV}, |\eta_{j2}| < 3$
- $H_T > 200$  (bins up to 800-Inf) GeV
- MHT > 130 GeV
- Forward jet veto
  - $p_{T,j} > 40 \text{ GeV}, |\eta_j| > 3$  (regardless of ID)
- Isolated track veto
  - $p_{T,t} > 10 \text{ GeV}, |\eta_t| < 2.5$
- MHT/MET < 1.25
- Recommended MET filters



## Categorisation

Based on 2nd jet

- **Symmetric**
  - $p_{T,j2} > 100 \text{ GeV}$
- **Monojet NEW**
  - $p_{T,j2} < 40 \text{ GeV}$
- **Asymmetric NEW**
  - $40 < p_{T,j2} < 100 \text{ GeV}$
  - Compressed SUSY and Generic DM  
⇒ Monojet + Asymmetric
  - Un-compressed SUSY  
⇒ Symmetric

# Selection - Single Lepton

- Single Electron + Single Muon control region selections
- Baseline selection +
  - Exactly one muon/electron with  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 2.1$  (1.479 for electron)
  - Relative isolation requirement
  - $30 \text{ GeV} < M_T(\mu/e, ME_T) < 125 \text{ GeV}$
  - $dR(\text{lepton},\text{jet}) > 0.5$
  - Muon/electron ignored when computing  $ME_T$ ,  $MH_T$ ,  $\alpha_T$

# Selection - Double Lepton

- Double Electron + Double Muon control region selections
- Baseline selection +
  - exactly two muon/electrons with  $pT > 30 \text{ GeV}$ ,  $|h| < 2.1$  (1.479 for electron) and opposite charge
  - relative isolation requirement
  - $|M_{\parallel} - M_Z| < 25 \text{ GeV}$
  - $dR(\text{lepton}, \text{jet}) > 0.5$
  - Muons/electrons ignored when computing  $MET$ ,  $MHT$ ,  $a_T$

# Selection - Single Photon

- Single Photon control region selections
- Baseline selection +
  - Exactly one photon with  $p_T > 200 \text{ GeV}$ ,  $|\eta| < 1.479$
  - Relative isolation requirement
  - $dR(\text{photon}, \text{jet}) > 0.5$
  - Photon ignored when computing  $\text{ME}_T$ ,  $\text{MH}_T$ ,  $\alpha_T$

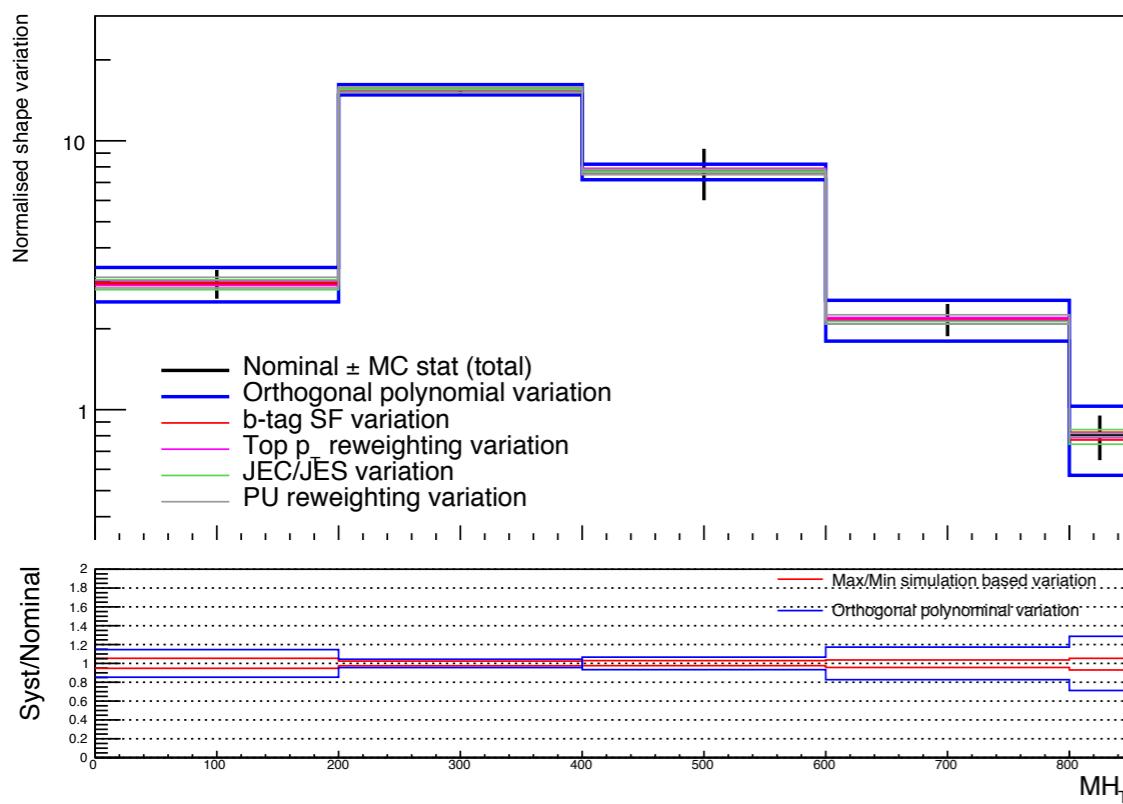
# Signal

- Baseline selection +
- Signal selection
  - $\text{MHT}/\text{MET} < 1.25$
  - $\Delta\phi^* > 0.5$
  - HT-dependent aT cut (see next slide) for  $\text{HT} < 800 \text{ GeV}$
  - $\text{MHT} > 130 \text{ GeV}$  for  $\text{HT} > 800 \text{ GeV}$

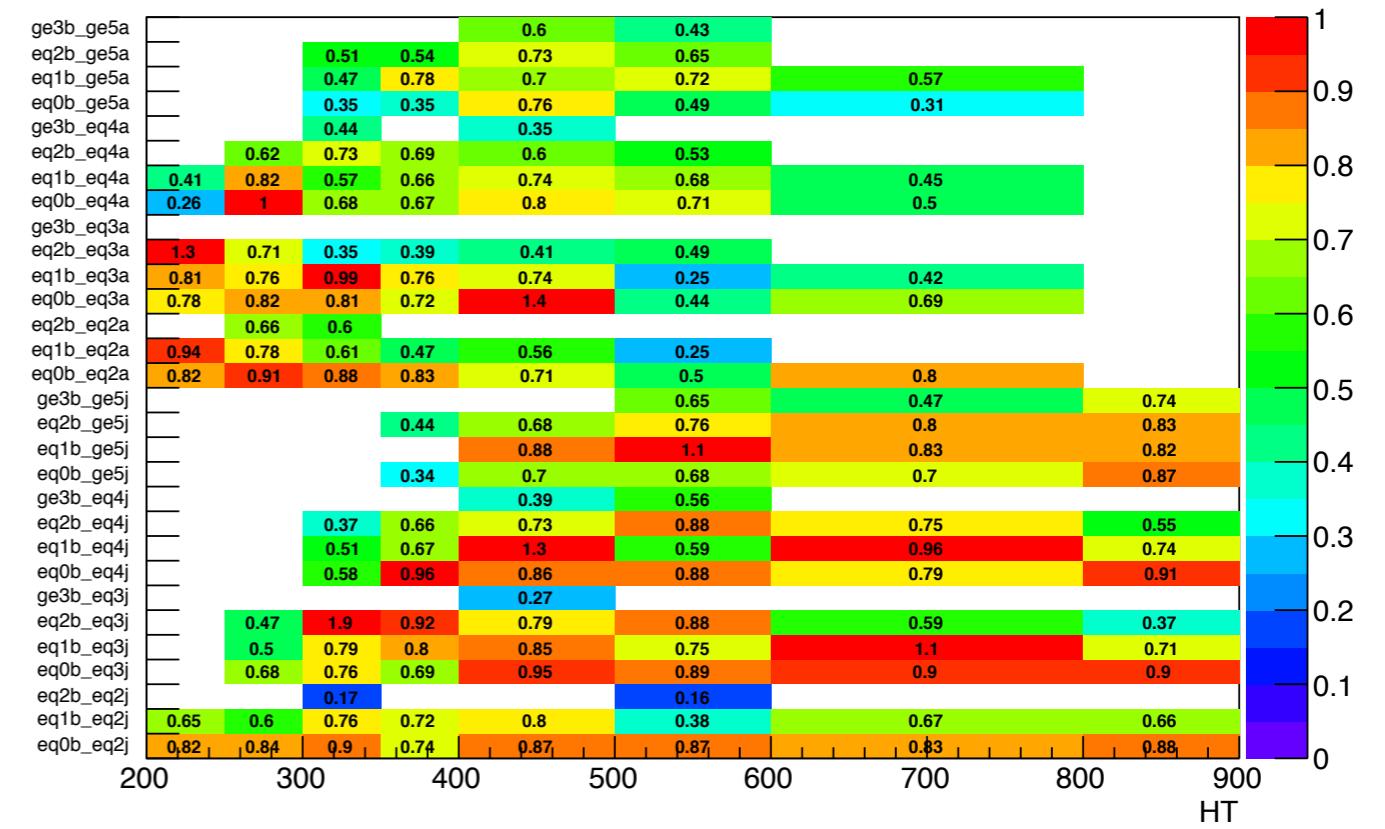
# $MH_T$ dimension systematic (MC comparison)

- Compare data-driven  $MH_T$  dimension systematic for one category and across whole plane
  - Normalise  $MH_T$  distribution and compare MC based variations to data driven systematic
- MC based variations are **subdominant** compared to data driven (orthogonal polynomial) systematic

**eq1b, ge5j**



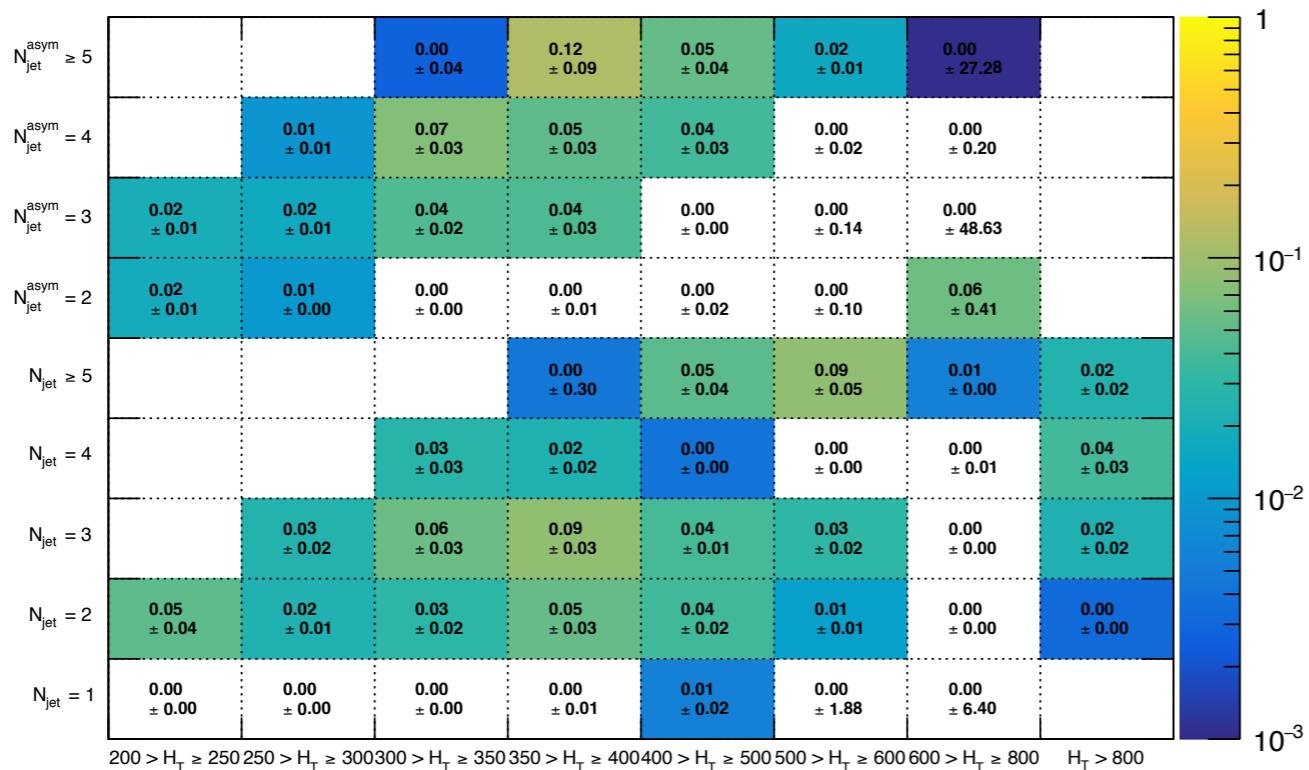
**Last  $MH_T$  bin max MC variation/ortho. poly**



# QCD Prediction

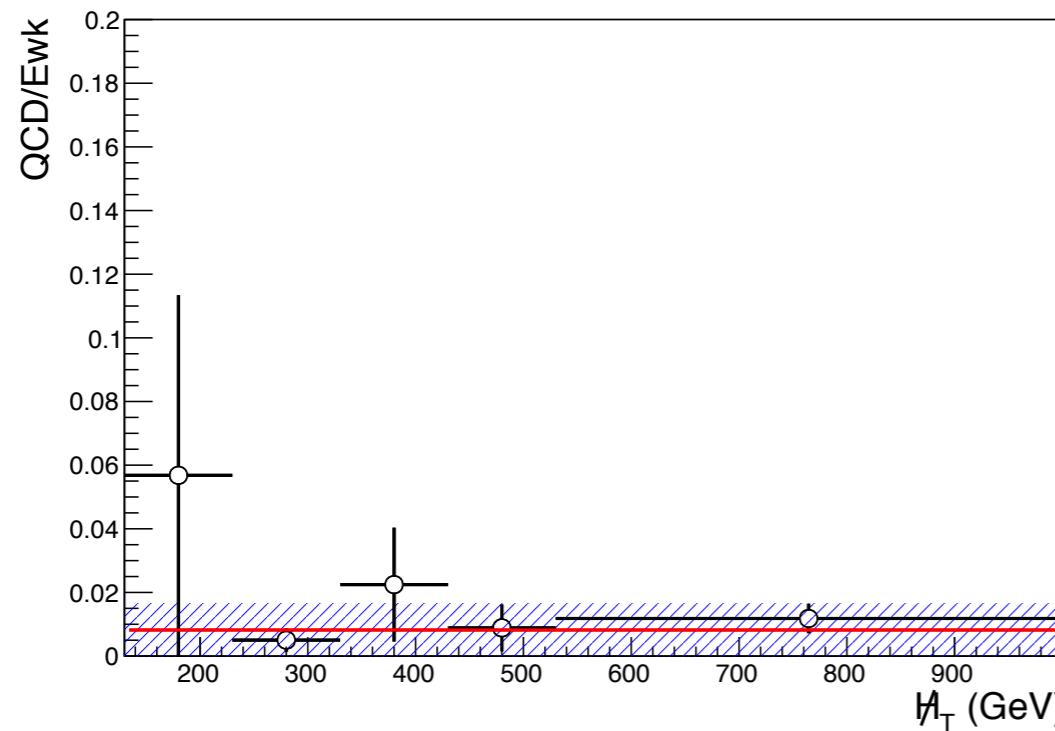
- The residual QCD contamination is measured and included in likelihood
- Extrapolate yields in  $n_{jet}, H_T$  bins from  $MH_T/ME_T > 1.25$  data sideband using ratio taken from MC.
- MC ratio validated with data control regions (see backup)
- MC studies demonstrate no enrichment of QCD w.r.t non-QCD vs MHT nor Nb, within assumed uncertainties (statistical up to  $\sim 100\%$ , systematic 100%)

## QCD/Ewk relative contamination

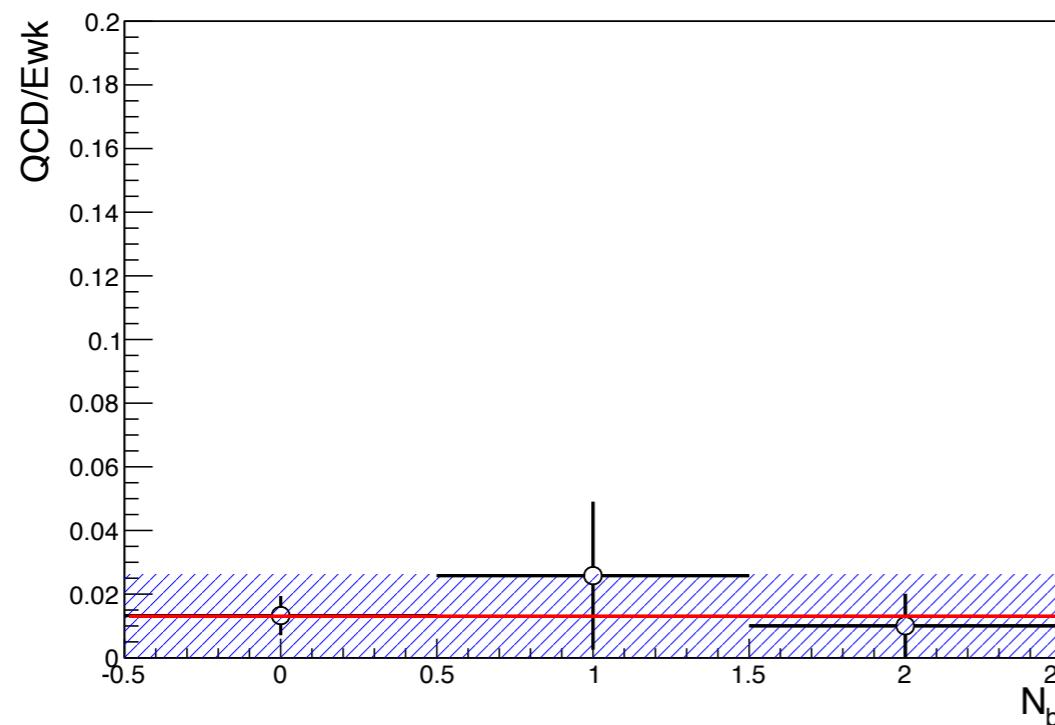


**QCD/Ewk relative contamination at percent level**

# QCD Validation e.g. $\text{HT} > 800$

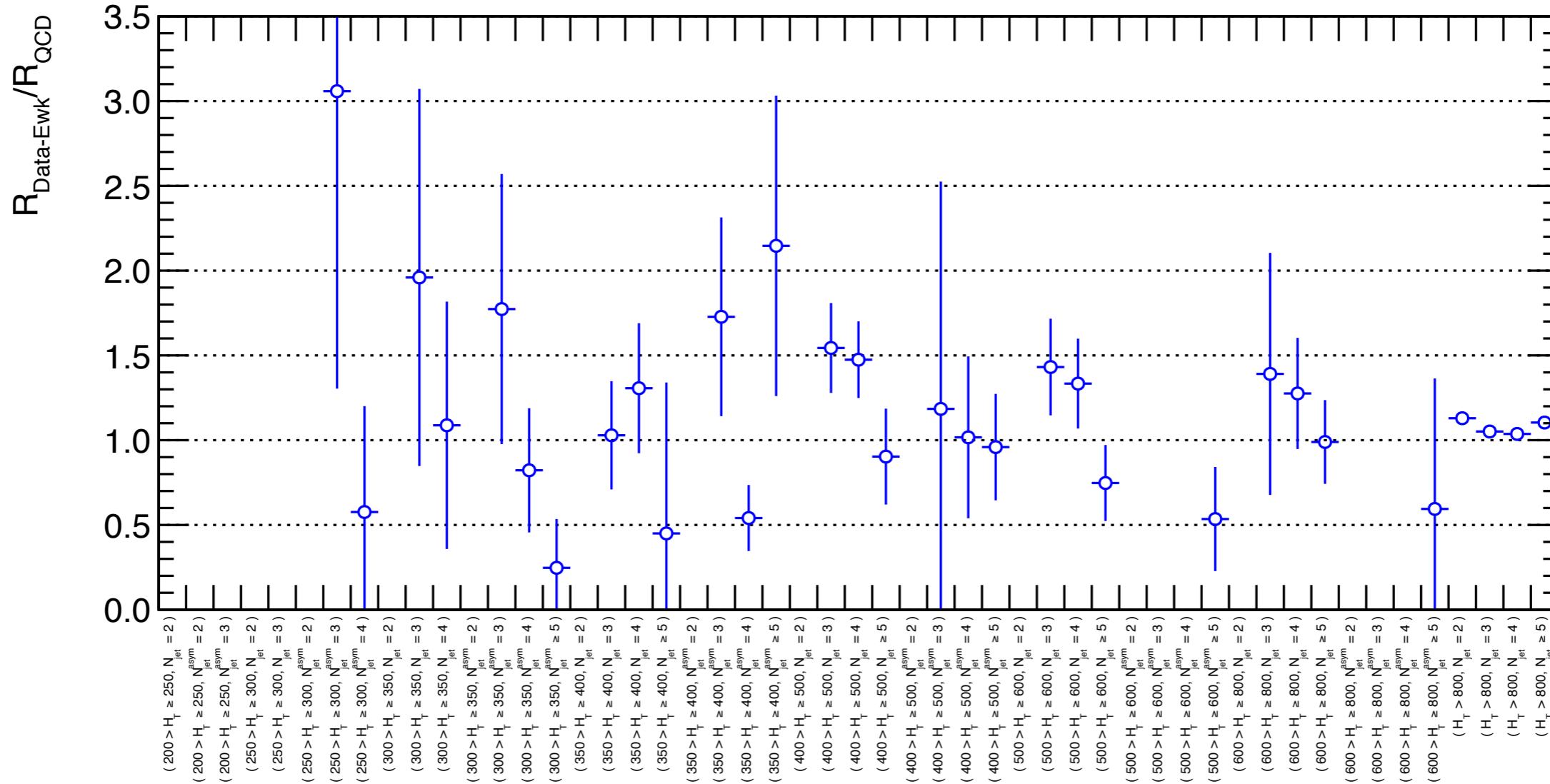


QCD relative contamination  
is flat in  $MH_T$  (within uncertainties)



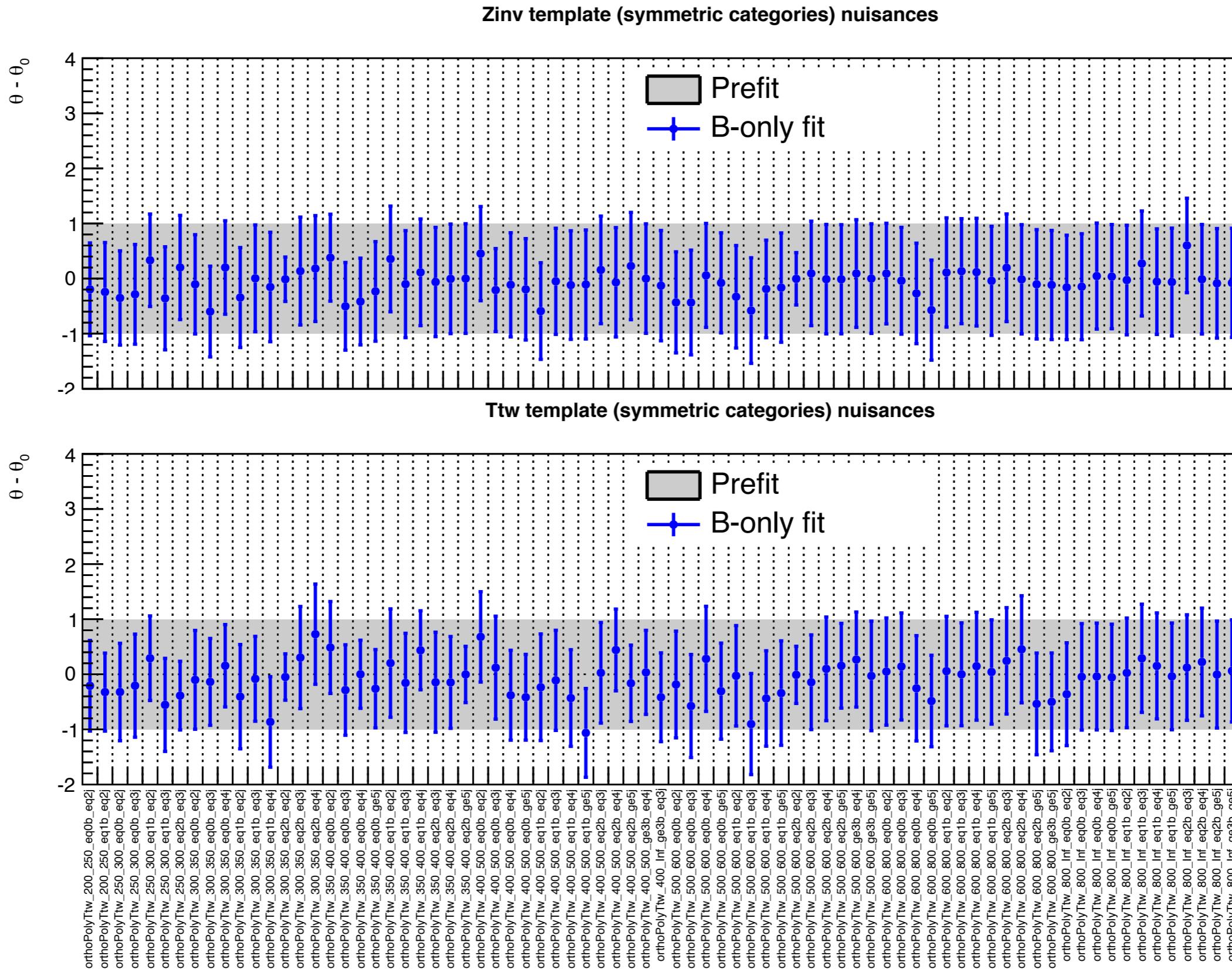
QCD relative contamination  
is flat in  $nB$  (within uncertainties)

# QCD Ratio validation in data sideband



Ratio of pass/fail ratio of MHT/MET  $< 1.25$  cut  
measured in data and MC in  $\Delta\phi^*$  sideband

# Template nuisances post-fit not significantly pulled/constrained



# High nJet/nB predictions for HT 600-800 and HT > 800

	HT 600-800	HT >800
nJet = 4 nB = 2	7	2
SM	$3.6 \pm 0.8$	$3.4 \pm 1.1$
T <sub>tw</sub>	$2.2 \pm 0.6$	$1.6 \pm 0.6$
Z <sub>inv</sub>	$1.4 \pm 0.3$	$1.7 \pm 0.6$
QCD	$0.0 \pm 0.0$	$0.1 \pm 0.1$
Data	0	0
nJet = 4 nB >= 3		
SM	$0.1 \pm 0.0$	$0.1 \pm 0.0$
T <sub>tw</sub>	$0.0 \pm 0.0$	$0.1 \pm 0.0$
Z <sub>inv</sub>	$0.0 \pm 0.0$	$0.0 \pm 0.0$
QCD	$0.0 \pm 0.0$	$0.0 \pm 0.0$
nJet >= 5 nB = 2	10	16
SM	$10.9 \pm 2.9$	$7.2 \pm 2.2$
T <sub>tw</sub>	$8.9 \pm 2.7$	$5.3 \pm 1.8$
Z <sub>inv</sub>	$1.9 \pm 0.4$	$1.7 \pm 0.5$
QCD	$0.1 \pm 0.1$	$0.2 \pm 0.2$
Data	1	3
nJet >= 5 nB >= 3		
SM	$1.5 \pm 0.4$	$0.9 \pm 0.3$
T <sub>tw</sub>	$1.1 \pm 0.4$	$0.6 \pm 0.3$
Z <sub>inv</sub>	$0.3 \pm 0.1$	$0.2 \pm 0.1$
QCD	$0.0 \pm 0.0$	$0.0 \pm 0.0$

In region around bins with excess the data agrees well with prediction

# Signal systematics

The following sources of uncertainties are considered  
(following SUS PAG recommendations)

Systematic	Benchmark model			
	T1bbbb		T1qqqq	
	compressed	uncompressed	compressed	uncompressed
Luminosity	4.6%	4.6%	4.6%	4.6%
Trigger	0-15%	3-5%	0-15%	3-5%
MC statistics	0-50%	0-50%	0-50%	0-50%
PU reweighting	1-5%	1-5%	1-5%	1-5%
B-tag efficiency	0-10%	0-20%	0-10%	0-10%
Lepton efficiency	10%	10%	10%	10%
Jet energy scale	5-20%	0-10%	5-20%	0-10%
Initial state radiation	0-15%	1-2%	0-15%	1-2%

# Data driven systematics

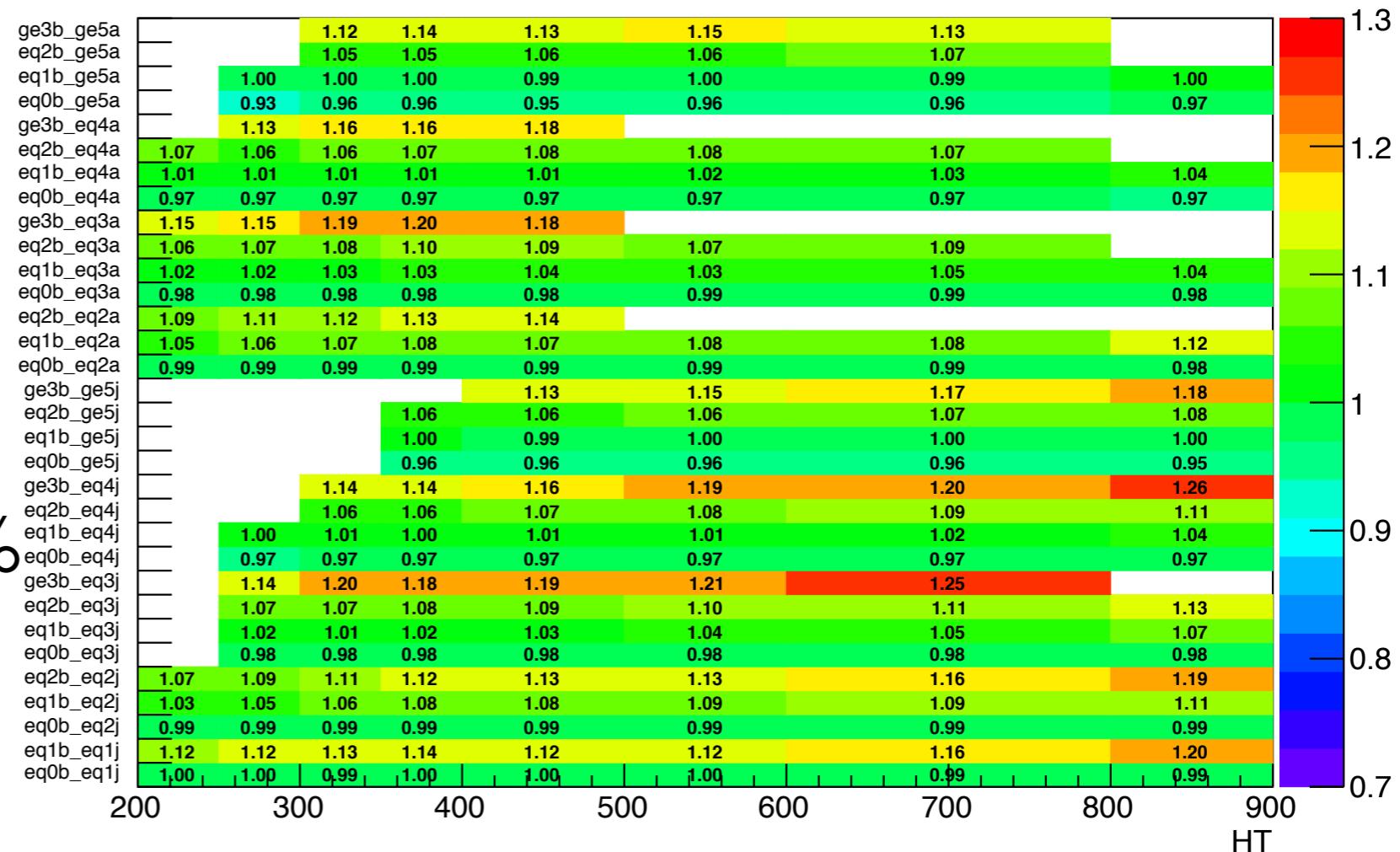
$$\delta TF_i = \sqrt{r_i^2 + \delta r_i^2}, \quad r_i = (N_i^{obs} - N_i^{pred})/N_i^{pred} \quad [1]$$

- Each source of systematic considered separately
- Look at closure ( $r_i$ ) in using one sample to predict another within the control regions - e.g.  $a_T < a_{T\text{cut}} \rightarrow a_T > a_{T\text{cut}}$  (see next slide)
  - Inspect trend of  $r_i$  vs  $H_T$  (integrated in  $n_b$ ) per  $n_{jet}$  and inclusively in topology
  - In absence of bias, collapse  $n_{jet}$  dimension and calculate systematic per  $H_T$  for symmetric and asymmetric topologies (Eq. [1])
  - For tests involving  $\mu\mu+jets$  pairs of  $H_T$  bins merged to gain statistics
- Systematic is correlated in  $n_{jet}/n_b$ , decorrelated in  $H_T$  and jet topology

# Example TF variation (B-tag weight)

- Eg. Change in  $\mu \rightarrow t\bar{t}+W$  TF under variation of btag SF weight
- Effect on **all** transfer factors encoded in likelihood for each systematic variation

$TF = MC_{\text{signal}}/MC_{\text{control}}$



Btag variation up

Systematic size ~5-30%

$j = \text{MH}_T \text{ bin}$

$i = \text{HT}/\text{njet}/\text{nb bin}$

# Likelihood model - $\text{MH}_T$

$$L_{had}^i = \prod_j \text{Pois}(n^{ji} | \rho^j b^{ji}) + \mu \prod_n \eta_{had}^{jin} s^{ji})$$

**observation**      **background yield**      **signal yield**

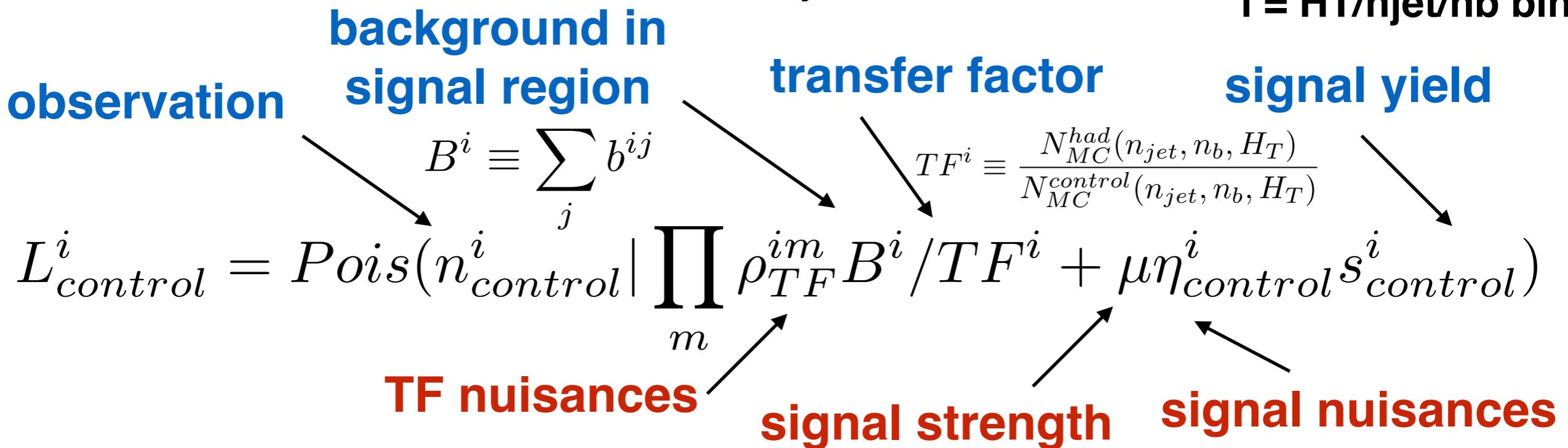
**MH<sub>T</sub> shape nuisance**      **signal strength**      **signal nuisances**

- For  $\text{H}_T/\text{n}_j/\text{n}_b$  bin there is an uncorrelated nuisance on the  $\text{MH}_T$  distribution for the background
- Signal nuisances affect the signal shape in all binning dimensions
- Shape variations implemented by providing alternative templates for  $\pm 1 \sigma$  variations (interpolation by ‘vertical morphing’)\*
- In full likelihood have term per dominant background + QCD

$j = \text{MH}_T \text{ bin}$

$i = \text{HT}/\text{njet}/\text{nb bin}$

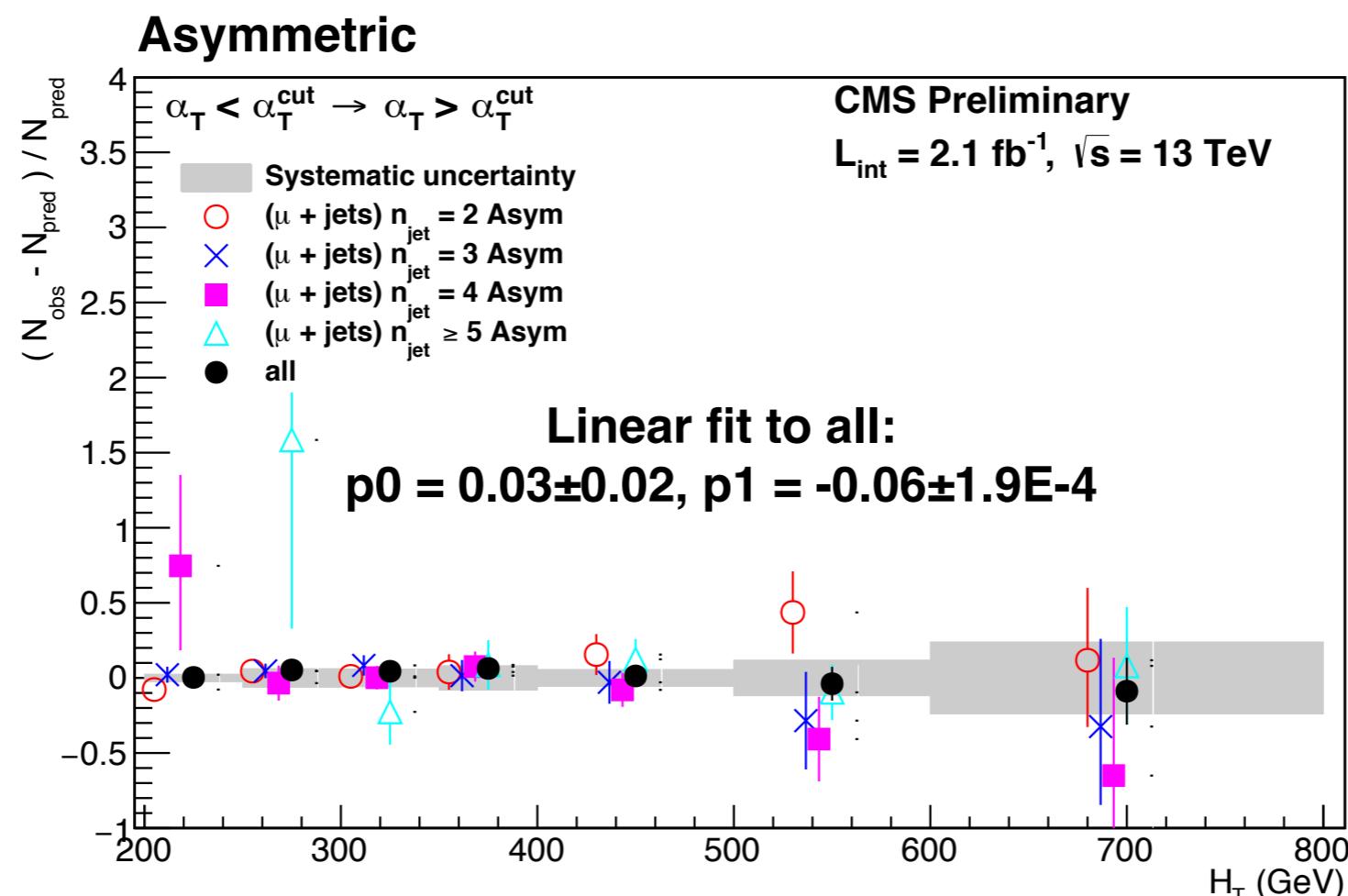
# Likelihood model - $H_T/n_j/n_b$



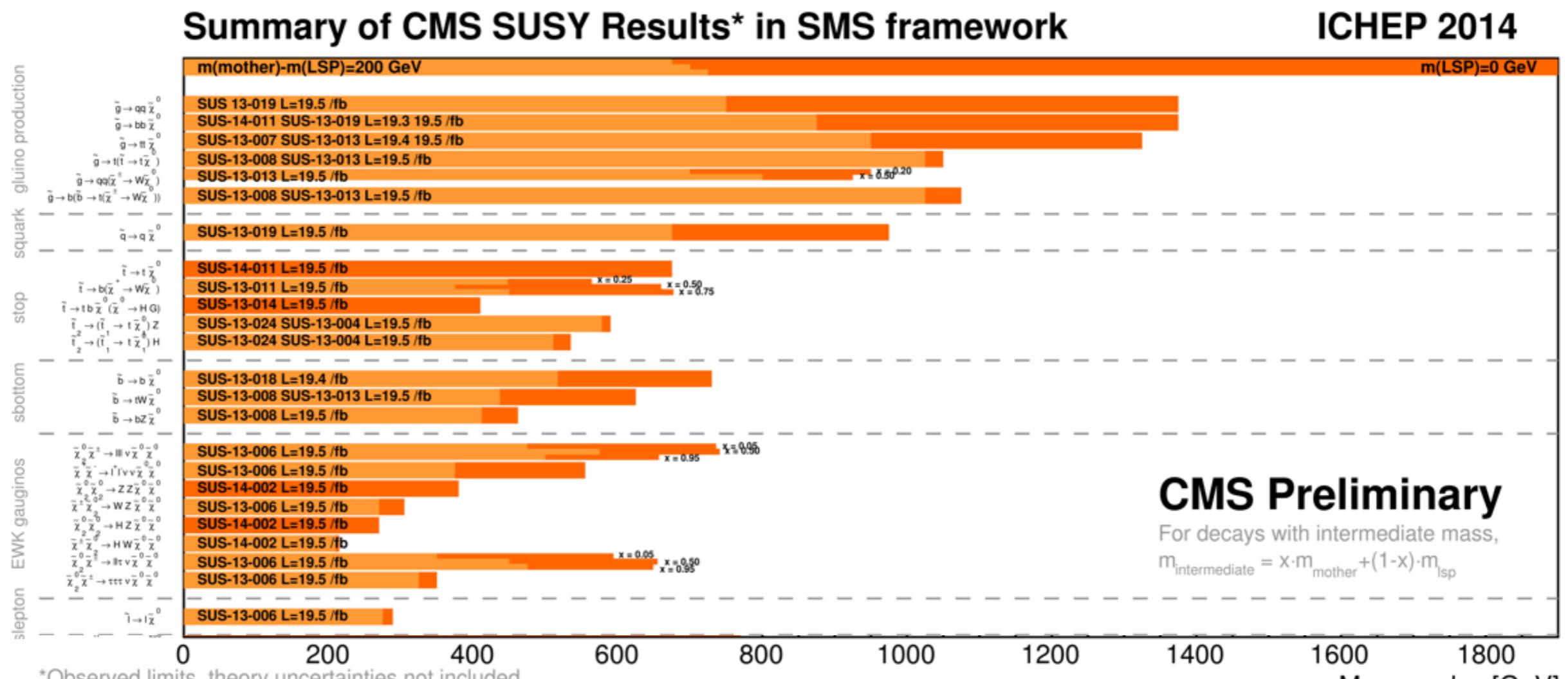
- Control yields connected to signal region yields via transfer factors
- Have correlated and uncorrelated nuisances on TF between signal and control regions per  $H_T/n_j/n_b$  bin
- Account for signal contamination in control region

# Example closure test - $\alpha_T/\Delta\phi^*$ extrapolation

- This test probes the prediction from muon CRs with no  $\alpha_T/\Delta\phi^*$  cut to SR containing this cut
- Use  $\mu + \text{jets}$  for this test due to highest statistics
- Systematics (grey bands) taken **per  $H_T$**  based on difference in prediction and observation for symmetric and asymmetric topologies separately
- All closure tests fully documented in AN



# Run 1 legacy



\*Observed limits, theory uncertainties not included

Only a selection of available mass limits

Probe \*up to\* the quoted mass limit