

# Classical Scattering in GR from a Gauge-Invariant Double Copy

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*with*

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SAGEX CLOSING MEETING, 24-27/6/2022



**SAGEX**  
Scattering Amplitudes:  
from Geometry to Experiment



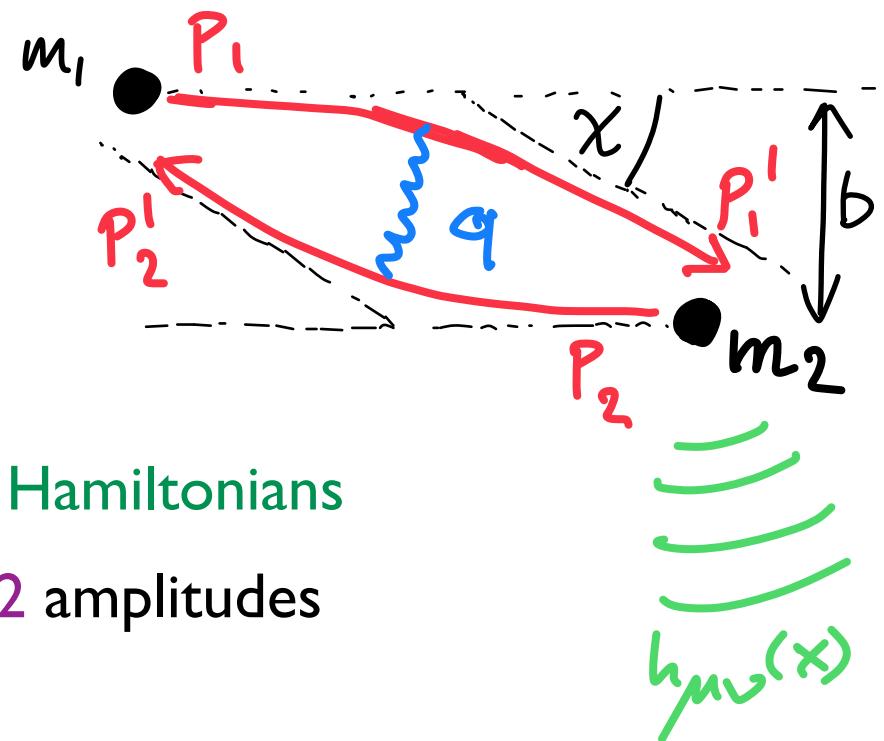
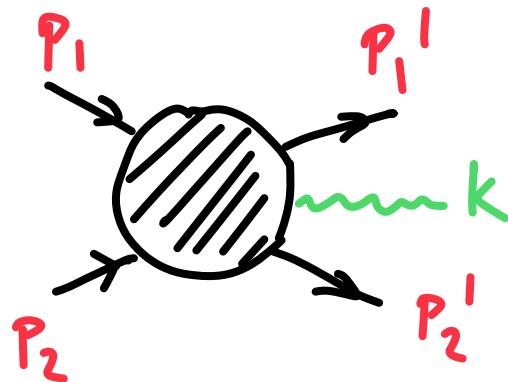
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# Outline

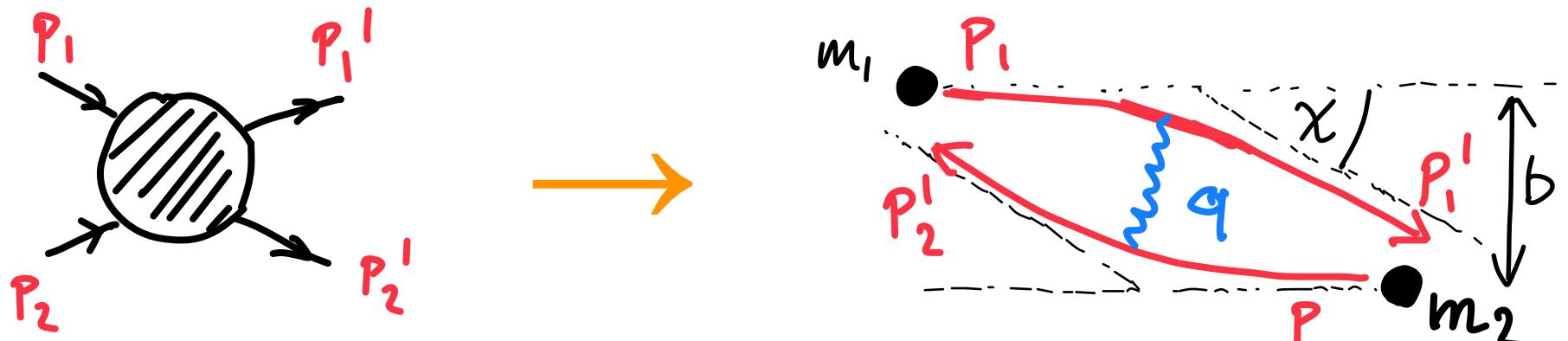
- Efficient amplitudes methods to compute classical observables in (effective field theories) of General Relativity
- Heavy Mass Effective Theory:
  - Refined Soft Expansion
  - Perform  $\hbar \rightarrow 0$  as soon as possible
- A new gauge invariant double copy:
  - new version of Gravity = (Yang-Mills)<sup>2</sup>
  - BCJ numerators for any multiplicity
  - Kinematic Algebra = Hopf algebra

# From amplitudes to (pre)observables



- Effective potentials/one-body Hamiltonians
  - Fourier Transform of  $2 \rightarrow 2$  amplitudes
  - EFT matching (talk by Zvi)
  - Lippmann-Schwinger (talk by Emil)
- Observables: bending angle, wave forms...
  - Eikonal Approach & Radial Action (talk by Emil & Zvi)
  - KMOC and radiation (talks by David and Ruth)

# Black hole scattering from HEFT



- Scattering angle  $\chi$  (Spin=0, PM expansion)  $\xrightarrow{F.T.}$
- Depends on:  $m_1, m_2, y = v_1 \cdot v_2, b \rightleftharpoons q$
- Note  $m_{1,2}, p_{1,2} \gg q, l_i$
- Classical limit:  $q \rightarrow \hbar q, l_i \rightarrow \hbar l_i$  while  $\hbar \rightarrow 0$
- Same as heavy mass exp., drop quantum corrections
- Reminiscent of Heavy-Quark Effective Theory (Georgi...)
- Heavy-Mass Effective Field Theory (HEFT)  
(Damgaard,Haddad,Helset; AB, Chen, Travaglini, Wen)

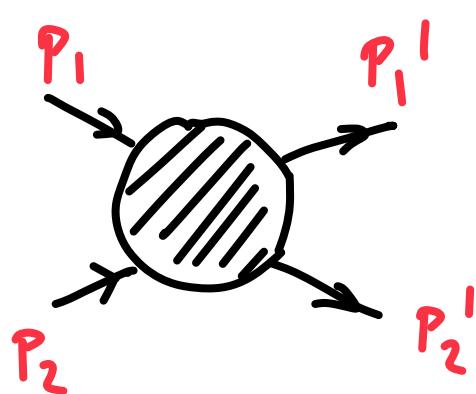
momenta of  
exchanged gravitons

# Summary of tools

- Modern, generalised unitarity + integration techniques/ differential equations.
  - Full soft region not only potential region!
  - Focus on non-analytic terms in  $q^2$   
→ long-range physics
- Input for unitarity cuts:
  - trees with 2 massive scalars + n gravitons  
→ expand from the get-go → HEFT amplitudes
  - pure graviton amplitudes
- Color-kinematic duality for HEFT amplitudes (more later)
  - gauge invariant, all multiplicity expressions
  - kinematic algebra = quasi-shuffle Hopf algebra

# Kinematics: to bar or not to bar

- Barred variables: produce a clean expansion in  $\hbar$
- Once we have defined a classical observable, removing quantum and hyper classical pieces we can switch to original variables



$$(p_1)^\mu := \bar{p}_1^\mu + \frac{q^\mu}{2} = (E_1, \vec{p} + \vec{q}/2),$$

$$(p'_1)^\mu := \bar{p}_1^\mu - \frac{q^\mu}{2} = (E_1, \vec{p} - \vec{q}/2),$$

$$(p_2)^\mu := \bar{p}_2^\mu - \frac{q^\mu}{2} = (E_2, -\vec{p} - \vec{q}/2),$$

$$(p'_2)^\mu := \bar{p}_2^\mu + \frac{q^\mu}{2} = (E_2, -\vec{p} + \vec{q}/2).$$

- Crucially:  $\bar{p}_{1,2} \cdot q = 0$  while  $p_i \cdot q = \pm q^2/2$

- Also:  $(\bar{p}_{1,2})^2 = \bar{m}_i^2 = m_i^2 - q^2/4$

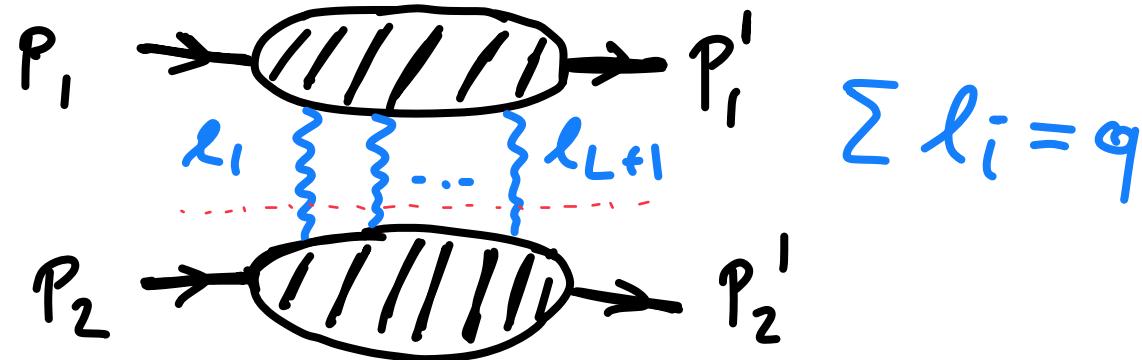
$$\bar{y} = \frac{\bar{p}_1 \cdot \bar{p}_2}{\bar{m}_1 \bar{m}_2} = \bar{v}_1 \cdot \bar{v}_2$$

$$J = |\vec{p}|b$$

# On to the HEFT expansion

symmetry factor

$$\frac{1}{(L+1)!}$$



- Consider an L-loop cut:
- Each blob is a tree amplitude  $M$  with 2 massive scalars and many gravitons
- Crucially, we can expand  $M$  cleanly in inverse powers of masses or equivalently in powers of  $\hbar$ 
  - For this we need to expand the massive propagators

$$\frac{1}{(\bar{p} \pm q/2 + Q)^2 - m^2 + i\varepsilon} \simeq \frac{1}{2\bar{p} \cdot Q + i\varepsilon} + \text{higher powers } \hbar$$

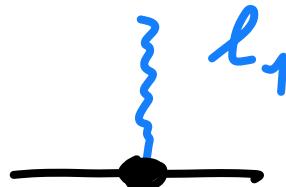
- and in the sum of diagrams we always run into

$$\frac{1}{2\bar{p} \cdot Q + i\varepsilon} + \frac{1}{-2\bar{p} \cdot Q + i\varepsilon} = -i\pi\delta(\bar{p} \cdot Q)$$

# HEFT expansion cont'd

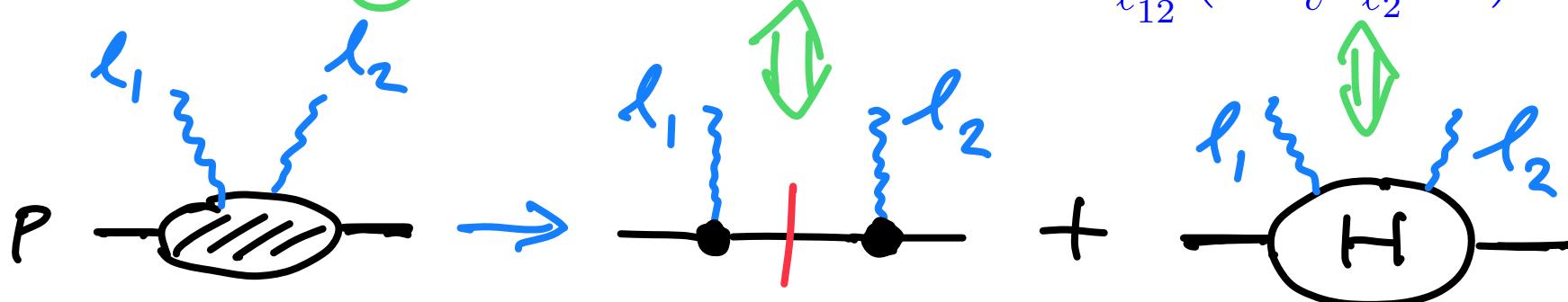
- In a nutshell we find that any  $M$  can be expanded in terms of **HEFT amplitudes** and **delta functions**!
- Best to see some examples

3 points:  $M_3 \rightarrow \bar{m}^2 (\bar{v} \cdot \varepsilon_1)^2$

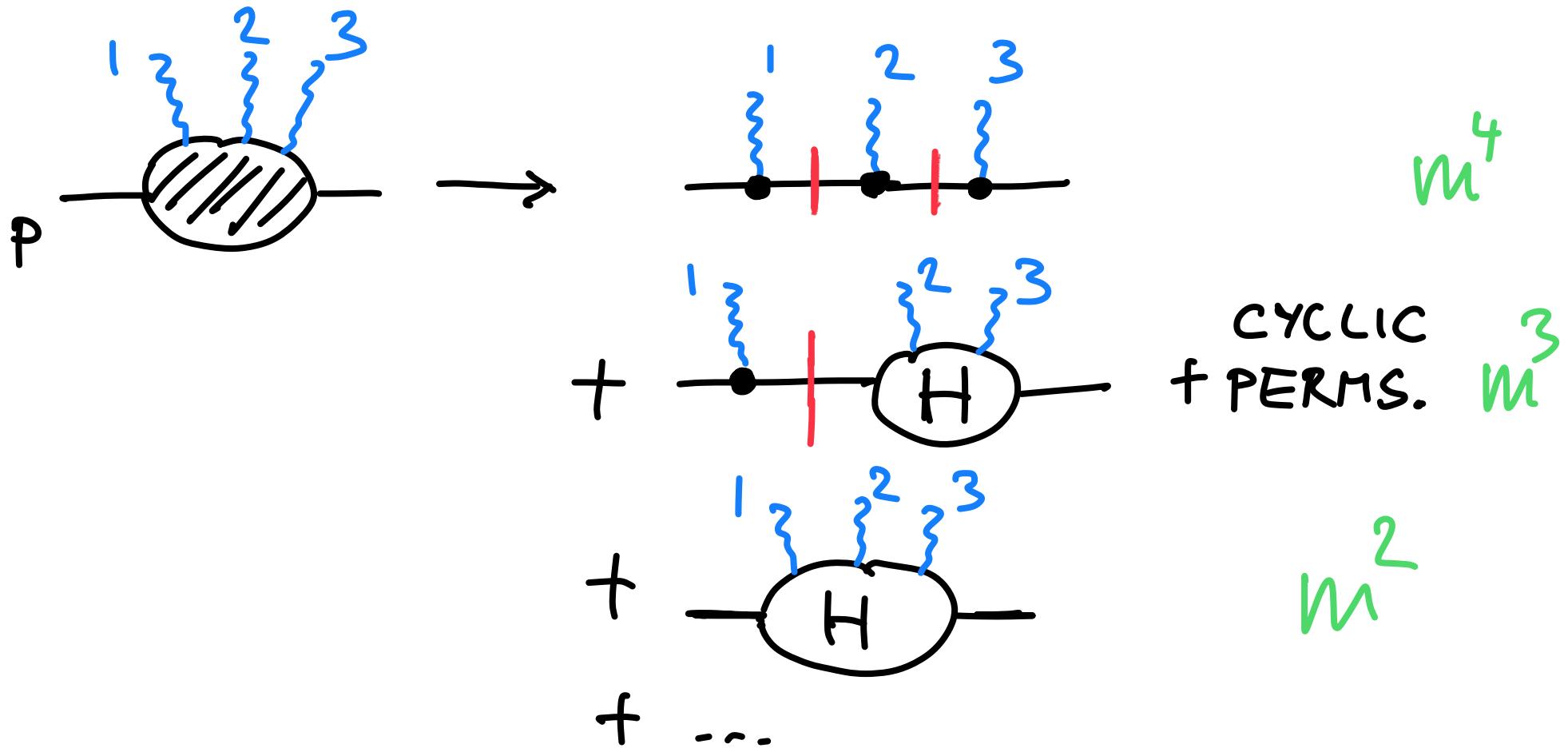


4 points:

$$M_4 \rightarrow \bar{m}^3 (-i\pi) \delta(\bar{v} \cdot \ell_1) (\bar{v} \cdot \varepsilon_1)^2 (\bar{v} \cdot \varepsilon_2)^2 + \frac{\bar{m}^2}{\ell_{12}^2} \left( \frac{\bar{v} \cdot F_1 \cdot F_2 \cdot \bar{v}}{\bar{v} \cdot \ell_2} \right)^2 + \dots$$



...and schematically for 5 point:



# HEFT diagrams to the masses

- Expansion in masses = expansion in HEFT amplitudes

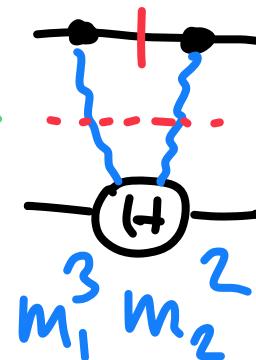
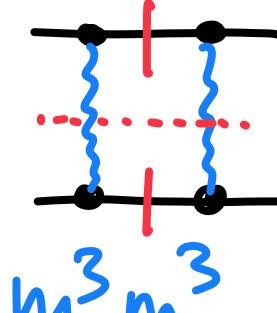
Tree level:



..... **UNITARITY-CUT  
IN  $q^2$  CHANNEL**

One loop:

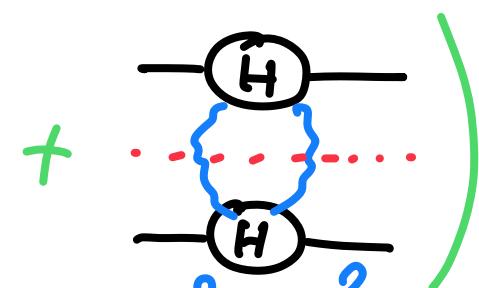
$$\frac{1}{2!} \left($$



Hyperclassical

$\sim 1/\hbar$

Classical



Quantum

# Exponentiation

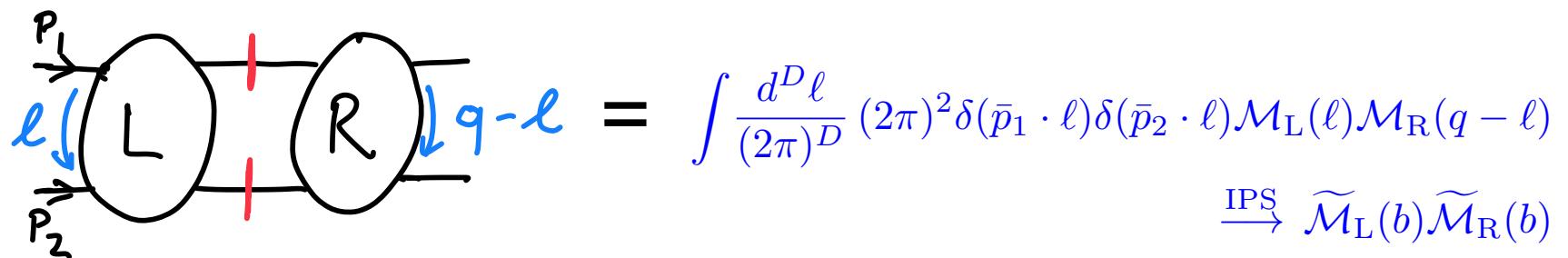
- Classical Amplitudes **exponentiate in Impact Parameter Space (IPS)** (Glauber, Levi+Sucher, ... , Amati+Ciafaloni+Veneziano, Kabat+Ortiz)

$$\tilde{S} = 1 + i\tilde{\mathcal{M}} = e^{i\delta_{\text{HEFT}}}$$

Scattering angle:  $\chi = -\frac{\partial}{\partial J} \text{Re } \delta_{\text{HEFT}}$

$$\tilde{\mathcal{M}}(b) := \int \frac{d^D q}{(2\pi)^{D-2}} \delta(2\bar{p}_1 \cdot q) \delta(2\bar{p}_2 \cdot q) e^{iq \cdot b} \mathcal{M}(q) = \frac{1}{\bar{\mathcal{J}}} \int \frac{d^{D-2} \vec{q}}{(2\pi)^{D-2}} e^{-i\vec{q} \cdot \vec{b}} \mathcal{M}(q)$$

- 2-massive-particle-reducible diagrams factorise in IPS  
→ Exponentiation
- Why? Convolution in momentum space = product in IPS



# Exponentiation cont'd

IPS  $\rightarrow \frac{\left(i\widetilde{\mathcal{M}}_{\text{tree}}\right)^{L+1}}{(L+1)!} \sum_L \rightarrow e^{i\widetilde{\mathcal{M}}_{\text{tree}}} - 1$

Kabat-Ortiz

- HEFT expansion
  - Only compute **2-massive-particle-irreducible (2MPI)** diagrams, ignore iterating diagrams
  - Only keep classical  $m^{(L+2)}$  terms

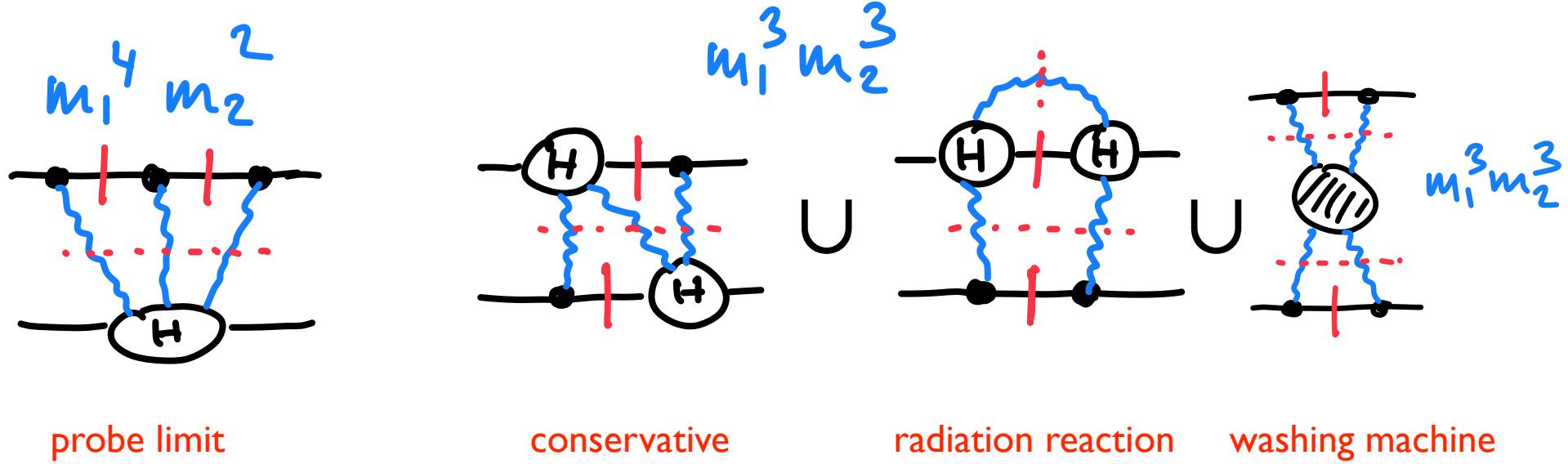
$$\delta_{\text{HEFT}} = \delta^{(0)} + \delta^{(1)} + \delta^{(2)} + \dots$$

$$\delta^{(0)} = \widetilde{\mathcal{M}}_{\text{tree}}$$

$$\delta^{(1)} = \widetilde{\mathcal{M}}_{1\text{-loop}}^{\text{2MPI}}$$

$$\delta^{(2)} = \widetilde{\mathcal{M}}_{2\text{-loop}}^{\text{2MPI}}$$

$$\delta^{(2)} = \tilde{\mathcal{M}}_{\text{2-loop}}^{\text{2MPI}}$$



- **Advantages of HEFT**

- Expansion done at the earliest stage
- HEFT trees very compact, (squares of) linear propagators
- Only keep diagrams that contribute to phase

# Scattering angle up to 3PM

$$\chi = -\frac{\partial}{\partial J} \operatorname{Re} \delta_{\text{HEFT}} , \quad J = Pb$$

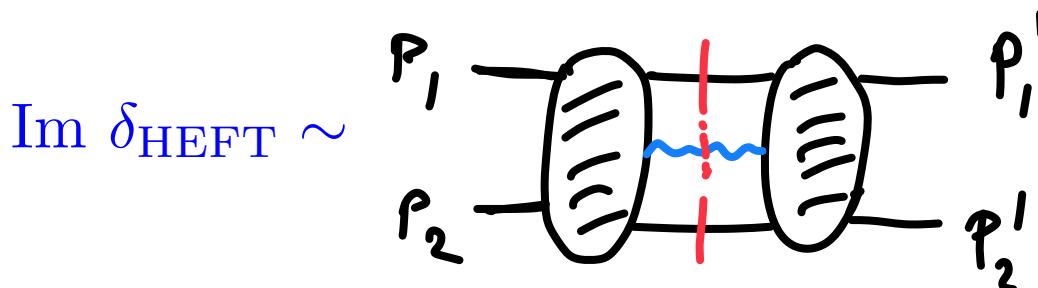
$$\begin{aligned} \chi = & \frac{G}{J} \frac{2m_1 m_2 (2y^2 - 1)}{\sqrt{y^2 - 1}} + \frac{G^2}{J^2} \frac{3\pi}{4\sqrt{s}} m_1^2 m_2^2 (m_1 + m_2) (5y^2 - 1) \\ & + \frac{G^3}{J^3} \frac{m_1 m_2 \sqrt{y^2 - 1}}{\pi s} \left\{ m_1^2 m_2^2 (m_1^2 + m_2^2) \frac{2\pi (64y^6 - 120y^4 + 60y^2 - 5)}{3 (y^2 - 1)^2} \right. \\ & + m_1^3 m_2^3 (-8\pi) \left[ \frac{(5y^2 - 8) (1 - 2y^2)^2}{6 (y^2 - 1)^{3/2}} - \frac{y (2y^2 - 3) (1 - 2y^2)^2 \operatorname{arccosh}(y)}{2 (y^2 - 1)^2} \right. \\ & \left. \left. + \frac{y (55 - 6y^2 (6y^4 - 19y^2 + 22))}{6 (y^2 - 1)^2} + \frac{(4y^4 - 12y^2 - 3) \operatorname{arccosh}(y)}{\sqrt{y^2 - 1}} \right] \right\} \end{aligned}$$

- Agrees with previous 3PM calculations:
  - Bern, Cheung, Roiban, Shen, Solon, Zeng (2019); Cheung, Solon (2020); Kaelin, Porto
  - Radiation reaction: Damour...; Di Vecchia, Heissenberg, Russo, Veneziano; Bjerrum-Bohr, Damgaard, Plante, Vanhove

# Comments

- Easy to obtain integrands for higher orders; compact expression of HEFT amplitudes
- Hard to get the relevant master integrals from differential equations & boundary conditions
- Similarities with PM world-line approaches (Kaelin, Porto; Mogull, Plefka, Steinhoff)
- Up to 3PM  $\text{Re } \delta_{\text{HEFT}}$  is equal to “radial action”. Precise relation to approaches by Bern et al. and Damgaard, Vanhove, (+Bjerrum-Bohr, Plante)?

$$\hat{S} = e^{i\hat{N}} , \quad \langle \hat{N} \rangle = \text{Re } \delta_{\text{HEFT}}$$



# HEFT double copy & its kinematic algebra

- Compact, manifestly gauge-invariant, crossing symmetric numerators for all tree HEFT amplitudes:  
2 massive scalars + n-2 gluons/gravitons, leading term in large mass limit
- Mysterious kinematic algebra = quasi-shuffle Hopf algebra
- Kinematic algebra only know in few cases:  
self-dual Yang-Mills(O'Connell, Monteiro); 3D CS; (N)MHV sector of YM(Chen, Johansson, Teng, Wang)
- Can obtain numerators for pure YM/GR from HEFT:  
2 scalars  $\sim p = m v$  and (n-2) gluons/gravitons labelled  $\{1, \dots, n-2\}$
- decoupling limit

$$v \rightarrow \epsilon_{n-1} , \quad (p_1 + p_2 + \dots + p_{n-2})^2 \rightarrow 0$$

# Simple examples

- 3-point

$$A(1; v) = m \epsilon_1 \cdot v , \quad M(1; v) = A(1; v)^2 = (m \epsilon_1 \cdot v)^2$$

- 4-point

$$A(12; v) = \frac{\mathcal{N}([1, 2], v)}{s_{12}} , \quad M(12; v) = \frac{\mathcal{N}([1, 2], v)^2}{s_{12}}$$

- BCJ numerator

$$\mathcal{N}([1, 2], v) = m \left( \frac{v \cdot F_1 \cdot F_2 \cdot v}{v \cdot p_1} \right) , \quad F_i^{\mu\nu} = p_i^\mu \epsilon_i^\nu - p_i^\nu \epsilon_i^\mu$$

- Note numerator manifestly gauge invariant & YM/GR HEFT amplitudes are linear/quadratic in mass **m**

# Double copy for YM and GR HEFT amplitudes

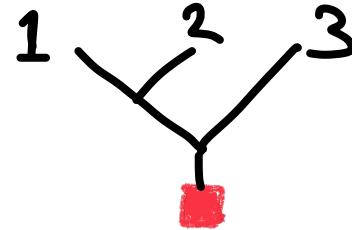
$$A(12\dots n-2, v) = \sum_{\Gamma \in \rho} \frac{\mathcal{N}(\Gamma, v)}{d_\Gamma}, \quad M(12\dots n-2, v) = \sum_{\Gamma \in \tilde{\rho}} \frac{[\mathcal{N}(\Gamma, v)]^2}{d_\Gamma}$$

- $n=5 \quad \rho = \{[[1, 2], 3], [1, [2, 3]]\} \quad , \quad \tilde{\rho} = \{[[[1, 2], 3], [[1, 3], 2]], [1, [2, 3]]\}$

• Ordered (YM)

Unordered (GR) nested commutators

$$\mathcal{N}([[1, 2], 3], v), \quad d_{[[1, 2], 3]} = p_{12}^2 p_{123}^2$$



Chen,  
Johansson,  
Teng,Wang

$$\mathcal{N}([[1, 2], 3], v) = \mathcal{N}(123, v) - \mathcal{N}(213, v) - \mathcal{N}(312, v) + \mathcal{N}(321, v)$$

- Kinematic Jacobi relations manifest!

$$\mathcal{N}([1, [2, 3]], v) = \mathcal{N}([[1, 2], 3], v) - \mathcal{N}([[1, 3], 2], v)$$

- Pre-numerators constructed by multiplying generators of an abstract algebra with a fusion product (Chen, Johansson, Teng, Wang)

$$\mathcal{N}(12 \dots n-2, v) := \langle T_{(1)} \star T_{(2)} \star \cdots \star T_{(n-2)} \rangle$$

- Step by step construction

- 4 points  $\langle T_{(1)} \star T_{(2)} \rangle = -\langle T_{(12)} \rangle$

- 5 points  $T_{(12)} \star T_{(3)} = T_{(12),(3)} + T_{(13),(2)} - T_{(123)}$

- 6 points  $T_{(123)} \star T_{(4)} = T_{(123),(4)} + T_{(14),(23)} - T_{(1234)}$

$$T_{(12),(3)} \star T_{(4)} = T_{(12),(3),(4)} + T_{(12),(4),(3)} + T_{(14),(2),(3)} - T_{(12),(34)} - T_{(124),(3)}$$

$$T_{(13),(2)} \star T_{(4)} = T_{(13),(2),(4)} + T_{(13),(4),(2)} + T_{(14),(3),(2)} - T_{(13),(24)} - T_{(134),(2)}$$

- Leg 1 is special, always in first position; quasi shuffle product
- Number of terms: 1, 3, 13, ...

# Examples (set m=1)

$$\mathcal{N}(1, v) = v \cdot \varepsilon_1 ,$$

$$V_\tau^{\mu\nu} := v^\mu \sum_{j \in \tau} p_j^\nu = v^\mu p_\tau^\nu$$

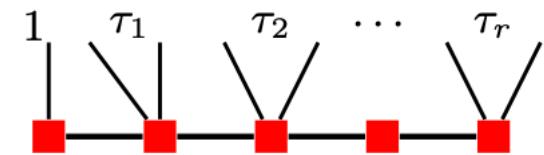
$$\mathcal{N}(12, v) = -\frac{v \cdot F_1 \cdot F_2 \cdot v}{2v \cdot p_1} ,$$

$$\begin{aligned} \mathcal{N}(123, v) &= \frac{v \cdot F_1 \cdot F_2 \cdot F_3 \cdot v}{3v \cdot p_1} - \frac{v \cdot F_1 \cdot F_2 \cdot V_{12} \cdot F_3 \cdot v}{3v \cdot p_1 v \cdot p_{12}} \\ &\quad - \frac{v \cdot F_1 \cdot F_3 \cdot V_1 \cdot F_2 \cdot v}{3v \cdot p_1 v \cdot p_{13}} . \end{aligned}$$

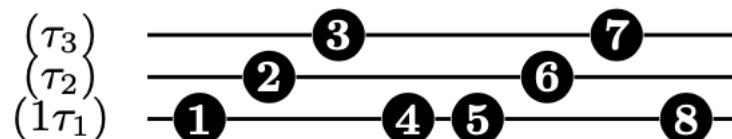
- **General formula:**  $\langle T_{(1\tau_1),(\tau_2),\dots,(\tau_r)} \rangle = \frac{v \cdot F_{1\tau_1} \cdot V_{\Theta(\tau_2)} \cdot F_{\tau_2} \cdots V_{\Theta(\tau_r)} \cdot F_{\tau_r} \cdot v}{(n-2)v \cdot p_1 v \cdot p_{1\tau_1} \cdots v \cdot p_{1\tau_1\tau_2\dots\tau_{r-1}}}$

$$\mathcal{N}(1 \dots n-2, v) = \sum_{r=1}^{n-3} \sum_{\tau \in \mathbf{P}_{\{2, \dots, n-2\}}^{(r)}} (-1)^{n+r} \langle T_{(1\tau_1),\dots,(\tau_r)} \rangle$$

Sum over ordered partitions of  
 $\{23\dots n-2\}$  into r subsets



- “Musical diagrams”: e.g. (1458)(26)(37)



$$\Theta(26) = \{1\} , \Theta(37) = \{1, 2\}$$

# Kinematic Hopf algebra

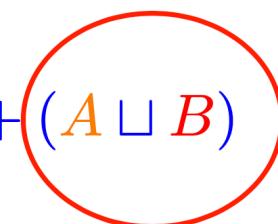
- **Quasi-shuffle** Hopf algebra that generates all ordered partitions of a given set (Hoffman; Fauvet, Foissy & Manchon)

Shuffle:

$$(A)(B) = (A, B) + (B, A)$$

Quasi-shuffle

$$(A)(B) = (A, B) + (B, A) + (A \sqcup B)$$



Extra terms

- Number of such sets = **Fubini numbers!**

$n$	3	4	5	6	7	8	9	10
$F_{n-3}$	1	1	3	13	75	541	4683	47293

- Also counts possible outcomes of horse races
- Counts number of permutohedron faces, Cayley trees, Cayley permutations...



# Comments

- “Decoupling limit”: all numerators for pure YM/GR from HEFT

$$\mathcal{N}([\dots [1, 2], 3], \dots n-2], v) = (n-2) \mathcal{N}(1 \dots n-2, v)$$

$$\mathcal{N}^{\text{YM}}([1 \dots n-1]) = \mathcal{N}([1 \dots n-2], v) \Big|_{p_{1\dots n-2}^2 \rightarrow 0}^{v \rightarrow \epsilon_{n-1}}$$

- On-shell limit: propagator matrix becomes degenerate  
→ generalised BCJ gauge invariance comes back
- Numerators not (fully) gauge invariant anymore
- What can the Hopf algebra do for us?
  - e.g the (multi)co-product is related to multiple factorisation of numerators on massive poles
- Can we find an explicit realisation of the generators?

# EFT's of general relativity

(Accettulli-Huber, AB, De Angelis, Travaglini)

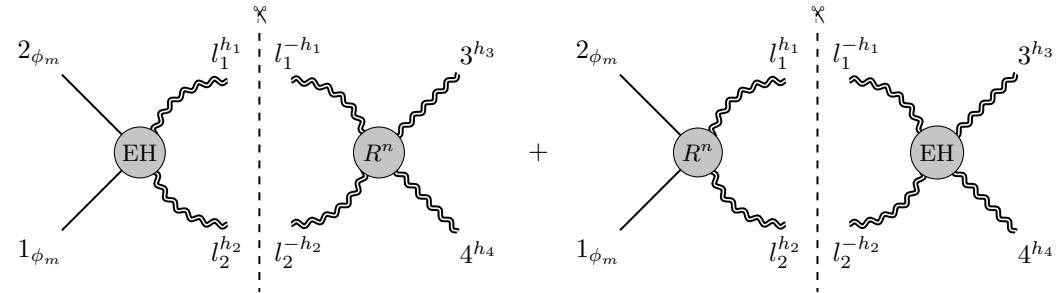
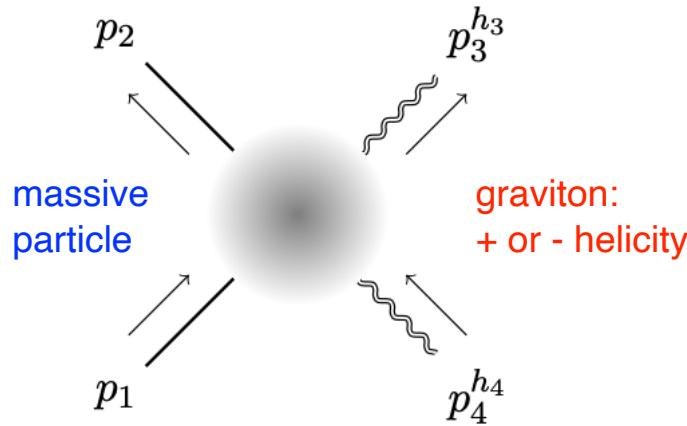
$$S = \int d^4x \sqrt{-g} \left[ -\frac{2}{\kappa^2} R - \frac{2}{\kappa^2} \left( \frac{\alpha_1}{48} I_1 + \frac{\alpha_2}{24} G_3 \right) + \frac{2}{\kappa^2} (\beta_1 \mathcal{C}^2 + \beta_2 \tilde{\mathcal{C}}^2) \right]$$

$$I_1 := R^{\alpha\beta}_{\mu\nu} R^{\mu\nu}_{\rho\sigma} R^{\rho\sigma}_{\alpha\beta} , \quad G_3 := I_1 - 2R^{\mu\nu\alpha}_{\beta} R^{\beta\gamma}_{\nu\sigma} R^{\sigma}_{\mu\gamma\alpha}$$

$$\mathcal{C} := R_{\mu\nu\rho\sigma} R^{\mu\nu\rho\sigma} , \quad \tilde{\mathcal{C}} := \frac{1}{2} R_{\mu\nu\alpha\beta} \epsilon^{\alpha\beta}_{\gamma\delta} R^{\gamma\delta\mu\nu} .$$

- Consider higher-derivative modifications of GR
- Can we get constraints on the coefficients  $\alpha_i$ ,  $\beta_i$ ?
  - Causality constraints (cf. Camanho, Edelstein, Maldacena, Zhiboedov (CEMZ); De Rham, Tolley...)
  - Maybe from gravitational waves? (Buonanno, Gorbenko, Senatore...)
- Observables we looked at
  - Deflection angle + Shapiro time delay (causality)
  - Corrections to potential and quadrupole → Waveforms

# Shapiro time delay



$$S_{\text{eik}} \sim e^{i\delta}, \quad \theta = \frac{1}{\omega} \frac{\partial \delta}{\partial b}, \quad \text{Shapiro time delay: } t = \frac{\partial \delta}{\partial \omega}$$

- Note: 4 possible processes
- Eikonal phase is a matrix
- Results (using eigenvalues)

helicity preserving:  $\pm \rightarrow \pm$   
helicity flipping:  $\pm \rightarrow \mp$

$$\delta \sim \begin{pmatrix} \tilde{A}(\phi, \phi, +, -) & \tilde{A}(\phi, \phi, +, +) \\ \tilde{A}(\phi, \phi, -, -) & \tilde{A}(\phi, \phi, -, +) \end{pmatrix}$$

- $(\text{Riem})^3 \quad \Delta t_{\text{EH}-R^3} = 4Gm \left[ \log \frac{b_0}{b} \pm \frac{3\alpha_1}{b^4} + \frac{\alpha_1 \pi}{256} (-9 \pm 1365) \frac{Gm}{b^5} \right].$ 
  - Consistent with CEMZ, causality violation if  $b$  too small...

- $(\text{Riem})^4 \quad \Delta t_{R^4}^{(1,2)} = \beta_{1,2} (Gm)^2 \frac{945\pi}{2} \frac{\omega^2}{b^5} \Rightarrow \beta_{1,2} > 0$  “positivity constraint”

# Corrections to potential/quadrupole

- Focus on  $(\text{Riem})^3$ , dominant over  $(\text{Riem})^4$ , also  $\alpha_2$  not constrained by causality
- Potential 
$$V(\vec{r}, \vec{p}) = -\frac{Gm_1m_2}{r} + \frac{3}{8} \frac{\alpha_1 G^2}{r^6} \frac{(m_1 + m_2)^3}{m_1 m_2} \vec{p}^2 - \frac{3}{4} \frac{\alpha_2 G^2}{r^6} m_1 m_2 (m_1 + m_2) \left( 1 - \frac{m_1^2 + m_2^2}{2m_1^2 m_2^2} \vec{p}^2 \right) + \dots ,$$
- Quadrupole 
$$Q^{ij} = \left( 1 + 3G \frac{\alpha_2 m_1 m_2 - (\alpha_1 + 2\alpha_2) M \frac{\vec{p}^2}{\mu}}{\mu r^5} \right) Q_N^{ij} , \quad Q_N^{ij} = \mu \left( x^i x^j - \frac{1}{3} r^2 \delta^{ij} \right)$$
- The modified waveforms can be run against LIGO data (Sennett, Brito, Buonanno, Gorbenko, Senatore)
  - $(\text{Riem})^4$  terms:  $L_{\text{eff}} < 100\text{km}$  ,  $(\text{Riem})^3$  terms:  $L_{\text{eff}} < 70\text{km}$
  - Analysis of quasi normal modes (Silva, Ghosh, Buonanno)
  - $(\text{Riem})^4$  terms:  $L_{\text{eff}} < 51\text{km}$  ,  $(\text{Riem})^3$  terms:  $L_{\text{eff}} < 38\text{km}$
  - Maybe totally crazy, but still a fun possibility to consider!!

# Conclusions

- HEFT is an efficient tool to compute classical observables in GR avoiding iterating/quantum contributions from the get-go
  - To-do: spin, radiation, higher PM, conceptual questions about extraction of classical...
- HEFT as a novel, gauge invariant version of the BCJ double copy, kinematic algebra = Hopf algebra
  - Future: understand full implications of Hopf algebra (co-product, antipod), explicit generators...
- Amplitudes useful to study EFTs of GR (higher derivative terms):
  - Modifications of potential & waveforms, causality constraints..
  - Can GW experiments constrain coefficients higher derivative terms?

