

Pythia Week 2026
Jyväskylä
20/08/2026



Spin-dependent hadronization

Albi Kerbizi

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Funded by the
European Union

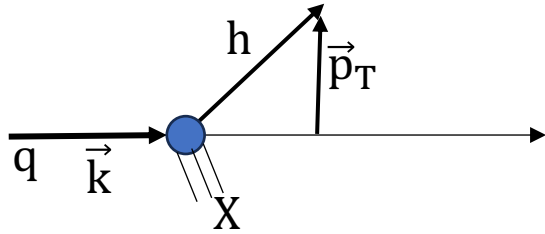


Motivation: Collins effect

□ **Collins effect** in the fragmentation of transversely polarized quarks

Collins, NPB 396, 161 (1993)

$$z = p^+ / k^+$$



$$D_{q^\uparrow \rightarrow h+X}(z, \vec{p}_T) = D_{1q}^h(z, p_T^2)$$

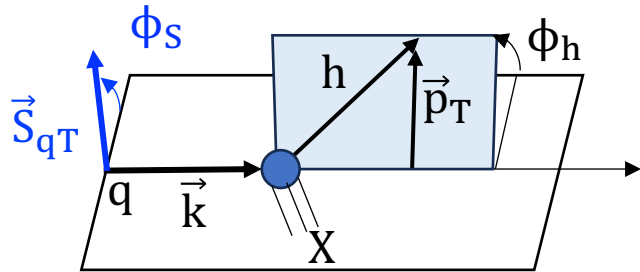


Motivation: Collins effect

Collins effect in the fragmentation of transversely polarized quarks

$$z = p^+ / k^+$$

Collins, NPB 396, 161 (1993)



Collins FF

$$D_{q^\uparrow \rightarrow h+X}(z, \vec{p}_T) = D_{1q}^h(z, p_T^2) + \frac{H_{1q}^{h\perp}(z, p_T^2)}{zM_h} \vec{S}_{qT} \cdot \hat{k} \times \vec{p}_T$$

$$\propto 1 - \frac{H_{1q}^{h\perp}}{zM_h D_{1q}^h} |\vec{S}_{qT}| |\vec{p}_T| \sin(\phi_h - \phi_{S_{qT}})$$

Asymmetric azimuthal distribution

sensitive to the quark transverse polarization $\rightarrow$ quark polarimetry!

Appears in: semi-inclusive DIS (SIDIS)

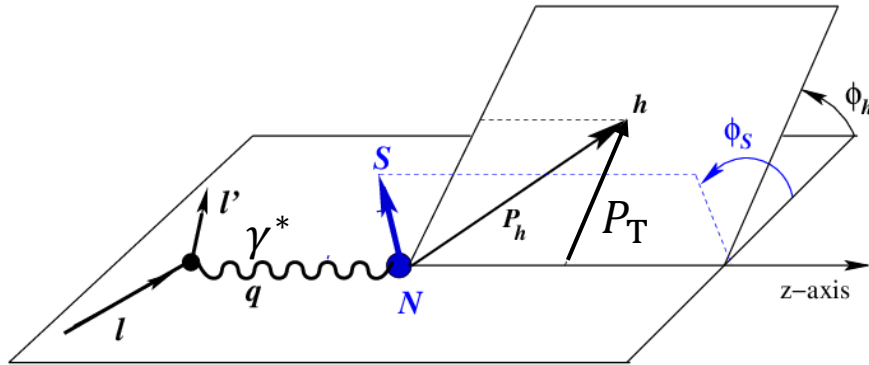
$e^+e^- \rightarrow \text{hadrons}$

$pp^\uparrow \rightarrow hX$



Collins asymmetry in SIDIS

- Asymmetry in the azimuthal distribution of the observed hadrons in DIS



$$\phi_{\text{Coll}} = \phi_h + \phi_s - \pi$$

$$d\sigma^h \propto 1 + D_{NN} |\vec{S}_T| A_{\text{Coll}} \sin \phi_{\text{Coll}} + \dots$$

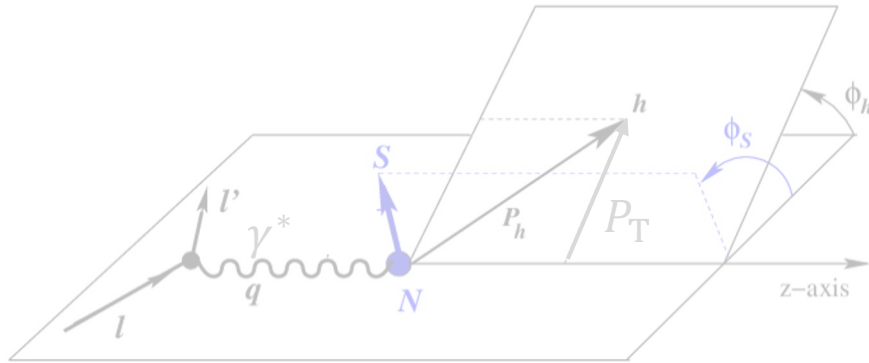
$$A_{\text{Coll}} \simeq \frac{\sum_q e_q^2 h_1^q \otimes H_{1q}^{\perp h}}{\sum_q e_q^2 f_1^q \otimes D_{1q}^h}$$

Transversity PDF $h_1^q \rightarrow$ transverse spin of quarks in a transversely polarized nucleon



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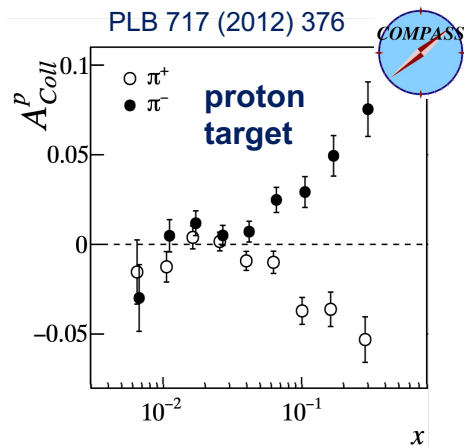
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Transversity PDF $h_1^q \rightarrow$ transverse spin of quarks in a transversely polarized nucleon

- A_{Coll} measured by HERMES (DESY), COMPASS (CERN) and at JLAB



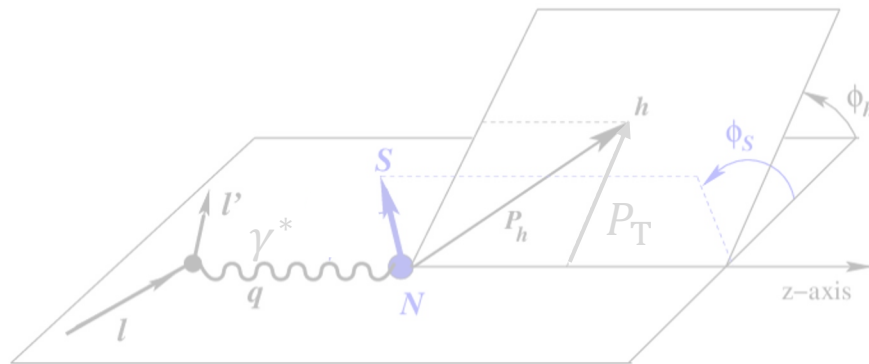
20/08/26

A. Kerbizi (Lund University, INFN Trieste)



Collins asymmetry in SIDIS

- Asymmetry in the azimuthal distribution of the observed hadrons in DIS



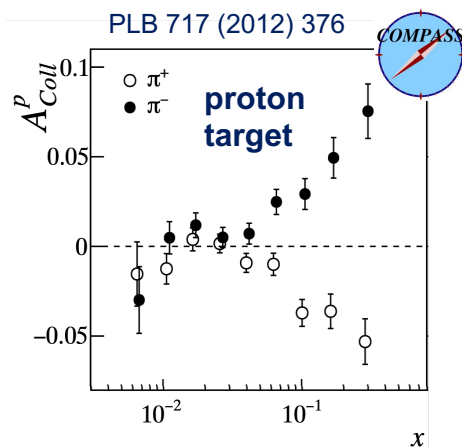
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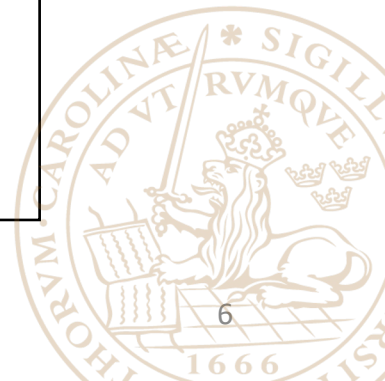
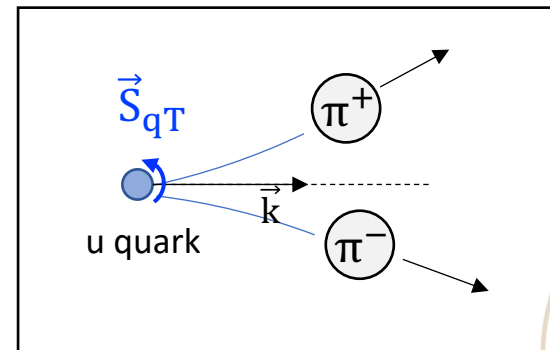
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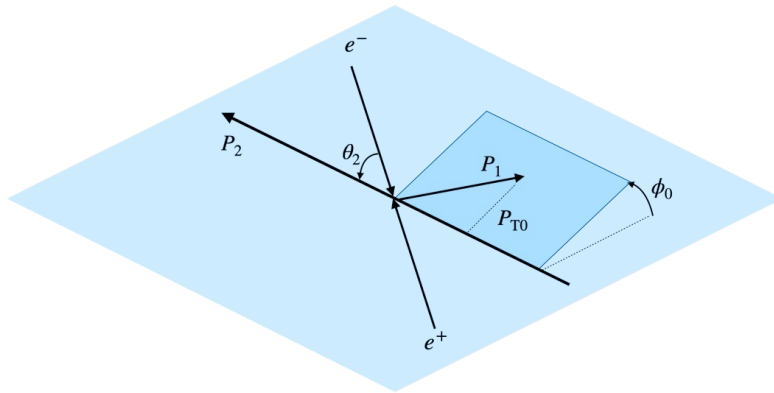


$$A_{\text{Coll}}^p(x) \simeq \frac{h_1^u}{f_1^u} \times \frac{H_{1q}^{\perp h}}{D_{1q}^h}$$



Collins asymmetry in e^+e^-

- Azimuthal anisotropy in the azimuthal distribution of back-to-back hadrons in e^+e^-



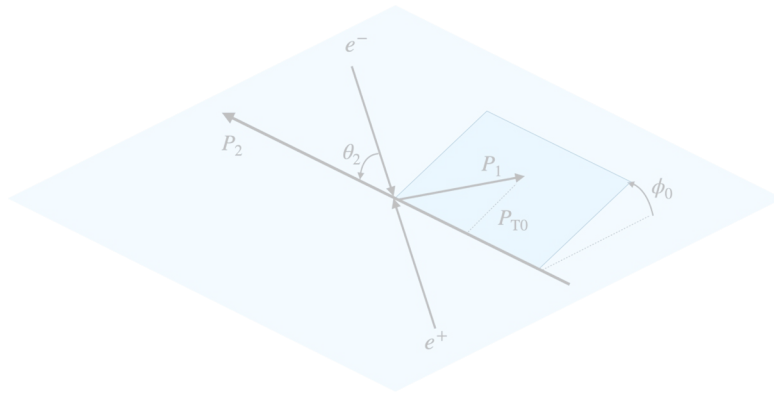
$$d\sigma^{h_1 h_2} \propto 1 + \hat{a}_{NN}(\theta_2) A_0 \cos(2\phi_0) + \dots$$

$$A_0 \simeq \frac{\sum_q e_q^2 w \overset{\text{Collins FF}}{H_{1q}^{\perp h_1}} \otimes H_{1q}^{\perp h_2}}{\sum_q e_q^2 D_{1q}^{h_1} \otimes D_{1q}^{h_2}} \quad \text{spin-averaged FF}$$



Collins asymmetry in e^+e^-

- Azimuthal anisotropy in the azimuthal distribution of back-to-back hadrons in e^+e^-



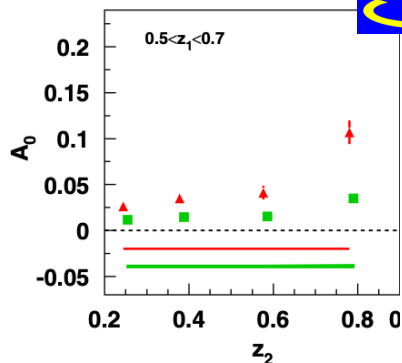
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$$A_0 \simeq \frac{\sum_q e_q^2 w H_{1q}^{\perp h_1} \otimes H_{1q}^{\perp h_2}}{\sum_q e_q^2 D_{1q}^{h_1} \otimes D_{1q}^{h_2}}$$

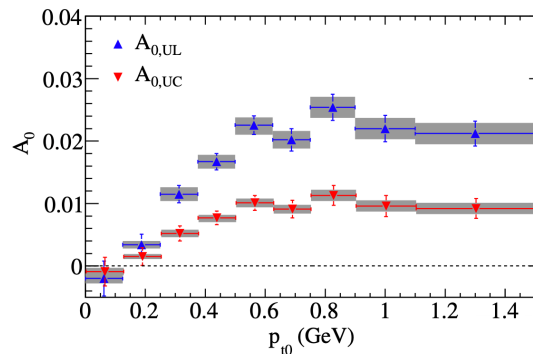
Collins FF
spin-averaged FF

- Measured by BELLE, BABAR, BESIII

PRD 78, 032011 (2008)



PRD 90, 052003 (2014)



- Independent confirmation that Collins FF $\neq 0$!
- Source of information on Collins FF



Connection between hadronization and nucleon structure

Data on
Collins asymmetries in SIDIS

Data on
Collins asymmetries in e^+e^-

Parametrizations of
 h_1^q and $H_{1q}^{\perp h}$

Parametrizations of
 $H_{1q}^{\perp h}$

$$A_{\text{Coll}}^{\text{SIDIS}} \simeq \frac{\sum_q e_q^2 h_1^q \otimes H_{1q}^{\perp h}}{\sum_q e_q^2 f_1^q \otimes D_{1q}^h}$$

$$A_0^{e^+e^-} \simeq \frac{\sum_q e_q^2 C[w H_{1q}^{\perp h_1} \times H_{1q}^{\perp h_2}]}{\sum_q e_q^2 C[D_{1q}^{h_1} \times D_{1q}^{h_2}]}$$

Fit
 χ^2 min, etc.

Extracted h_1^q

Extracted $H_{1q}^{\perp h}$



Connection between hadronization and nucleon structure

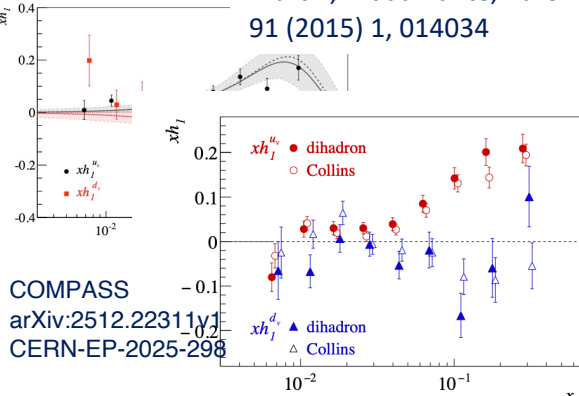
Extracted transversity PDFs

$$h_1^q$$

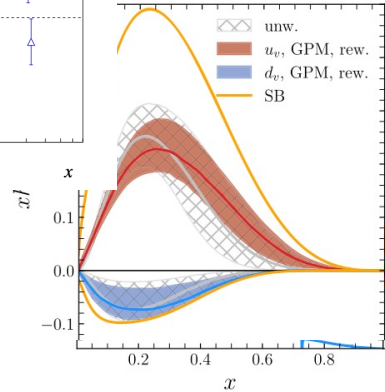
Extracted Collins FFs

$$H_{1q}^{\perp h}$$

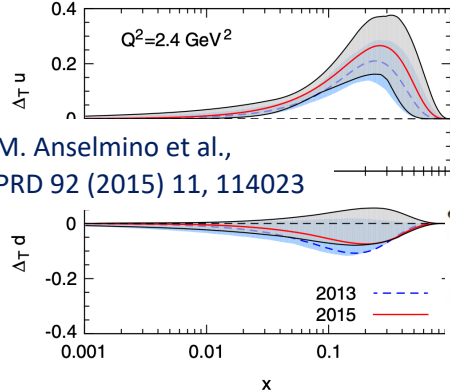
Martin, Bradamante, Barone, PRD
91 (2015) 1, 014034



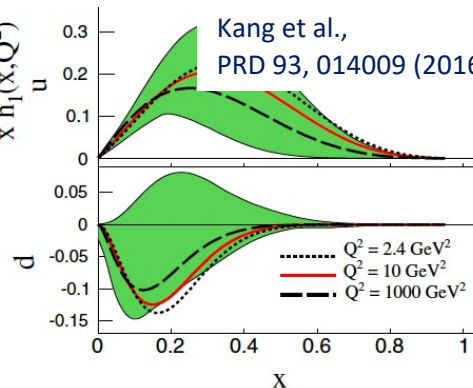
Bogliione et al., PLB 854
(2024) 138712



M. Anselmino et al.,
PRD 92 (2015) 11, 114023



Kang et al.,
PRD 93, 014009 (2016)



Non-zero for u-valence
d-valence large uncer.
Compatible with zero for sea

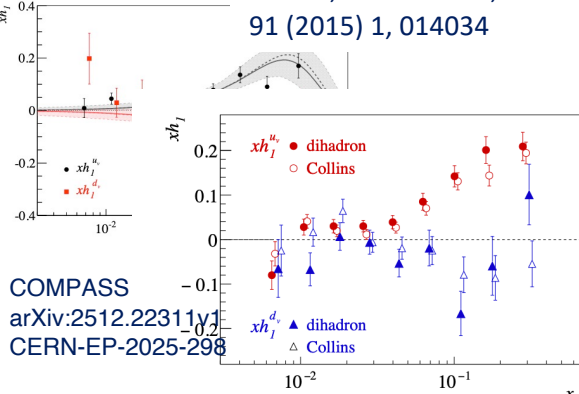


Connection between hadronization and nucleon structure

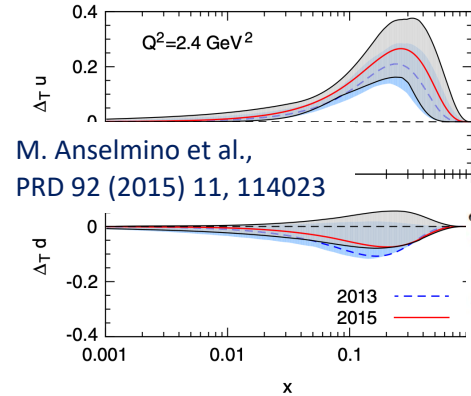
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$$h_1^q$$

Martin, Bradamante, Barone, PRD
91 (2015) 1, 014034

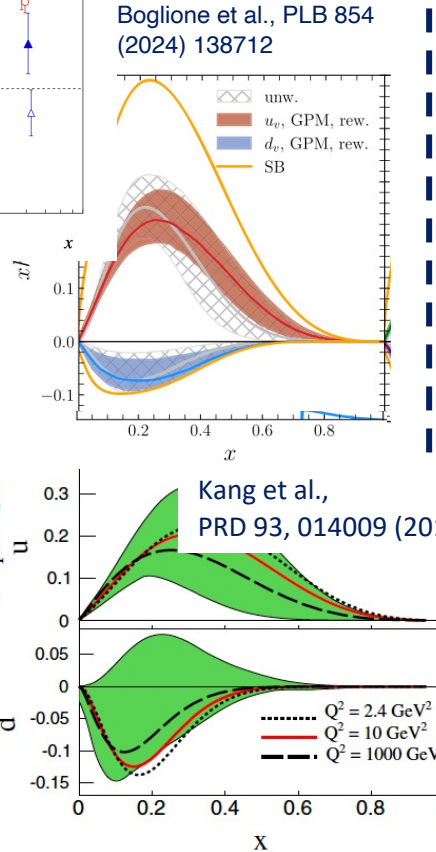


COMPASS
arXiv:2512.22311v1
CERN-EP-2025-298



M. Anselmino et al.,
PRD 92 (2015) 11, 114023

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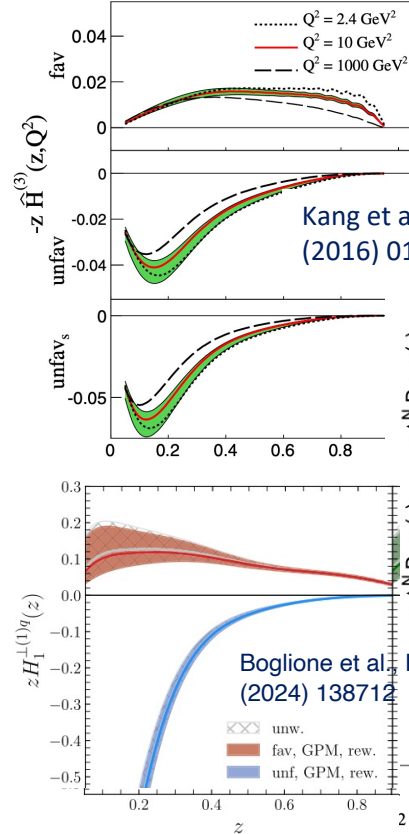


Boglione et al., PLB 854
(2024) 138712

Kang et al.,
PRD 93, 014009 (2020)

Extracted Collins FFs

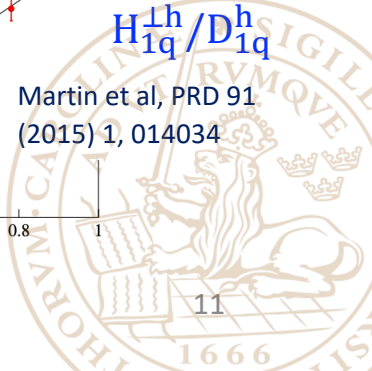
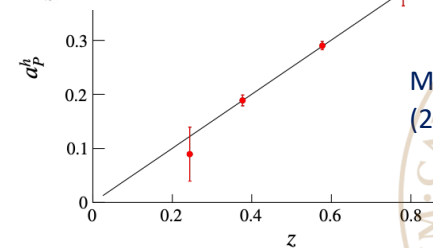
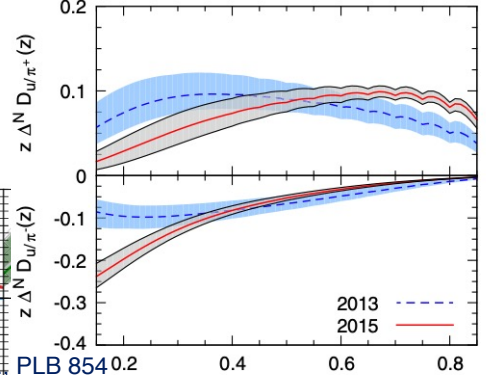
$$H_{1q}^{\perp h}$$



Kang et al., PRD 93 (1)
(2016) 014009

Anselmino et al.,
PRD 92, 114023 (2015)

Boglione et al.
(2024) 138712



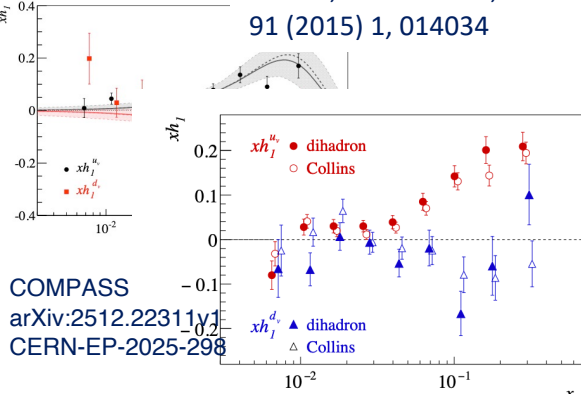
Martin et al, PRD 91
(2015) 1, 014034

Connection between hadronization and nucleon structure

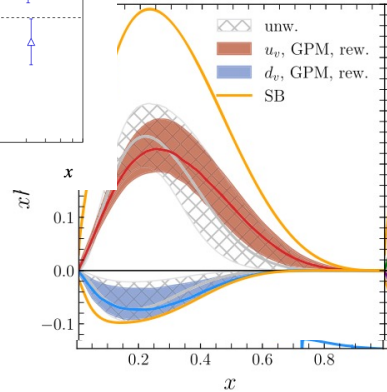
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h_1^q

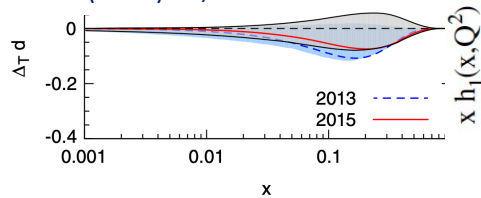
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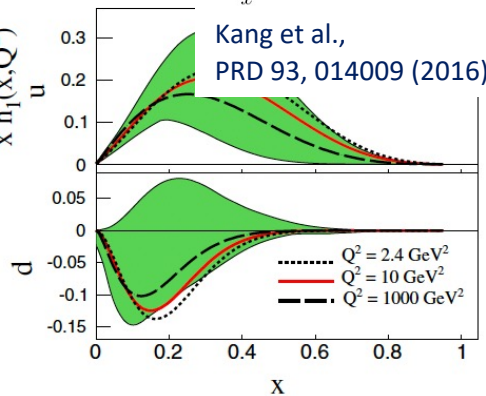
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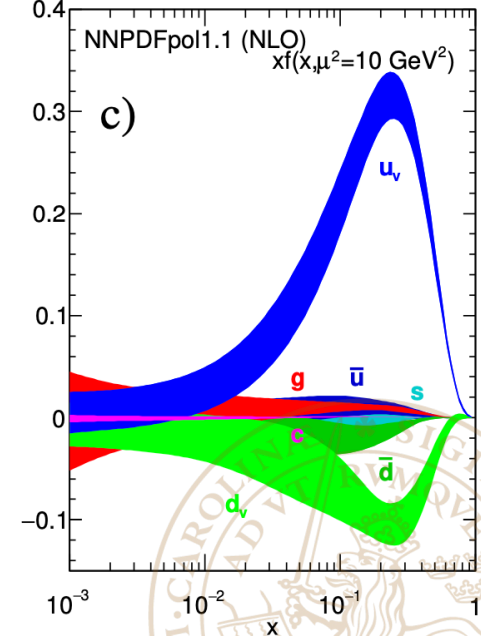
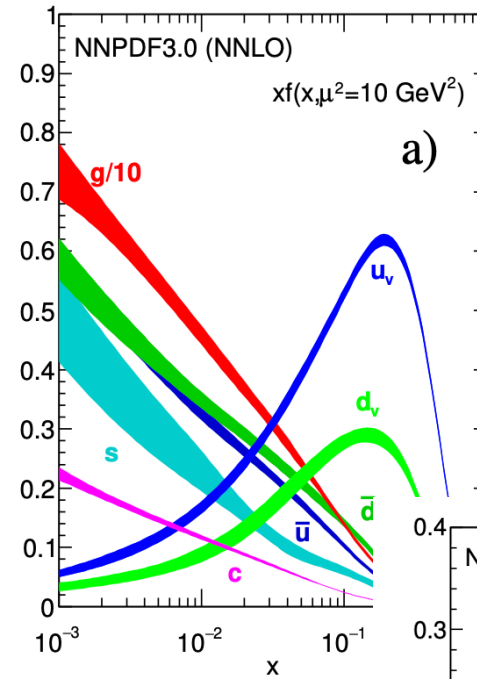
Non-zero for u-valence
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Spin-averaged f_1^q

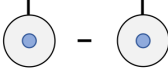
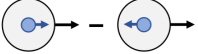
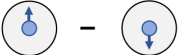
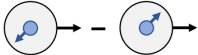
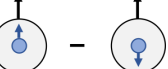
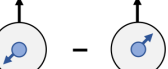
from PDG

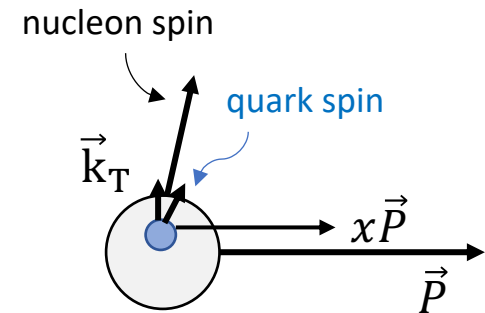
helicity g_1^q



There is more than transversity in the nucleon!

Leading order transverse-momentum-dependent (TMD) PDFs

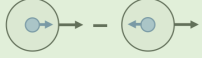
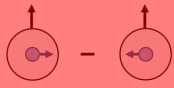
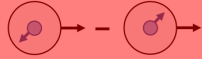
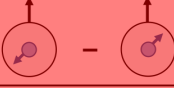
		Nucleon polarization		
		Unpolarized (U)	Longitudinal (L)	Transverse (T)
Quark polarization	U	$f_1(x, k_T^2)$ (unpolarized) 		$f_{1T}(x, k_T^2)$ (Sivers) 
	L		$g_1(x, k_T^2)$ (helicity) 	$g_{1T}(x, k_T^2)$ (worm-gear T) 
	T	$h_1^\perp(x, k_T^2)$ (Boer-Mulders) 	$h_{1L}^\perp(x, k_T^2)$ (worm-gear L) 	$h_1(x, k_T^2)$ (transversity)  $h_{1T}^\perp(x, k_T^2)$ (pretzelosity) 

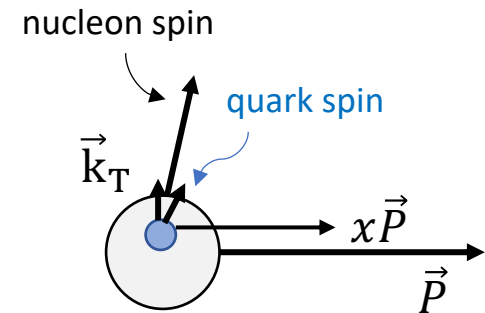


possible «spin-orbit» correlations

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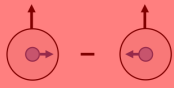
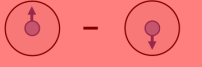
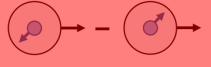
possible «spin-orbit» correlations

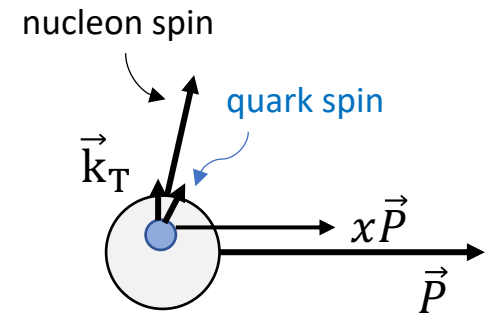
many TMD PDFs largely unknown!

all accessible in polarized DIS

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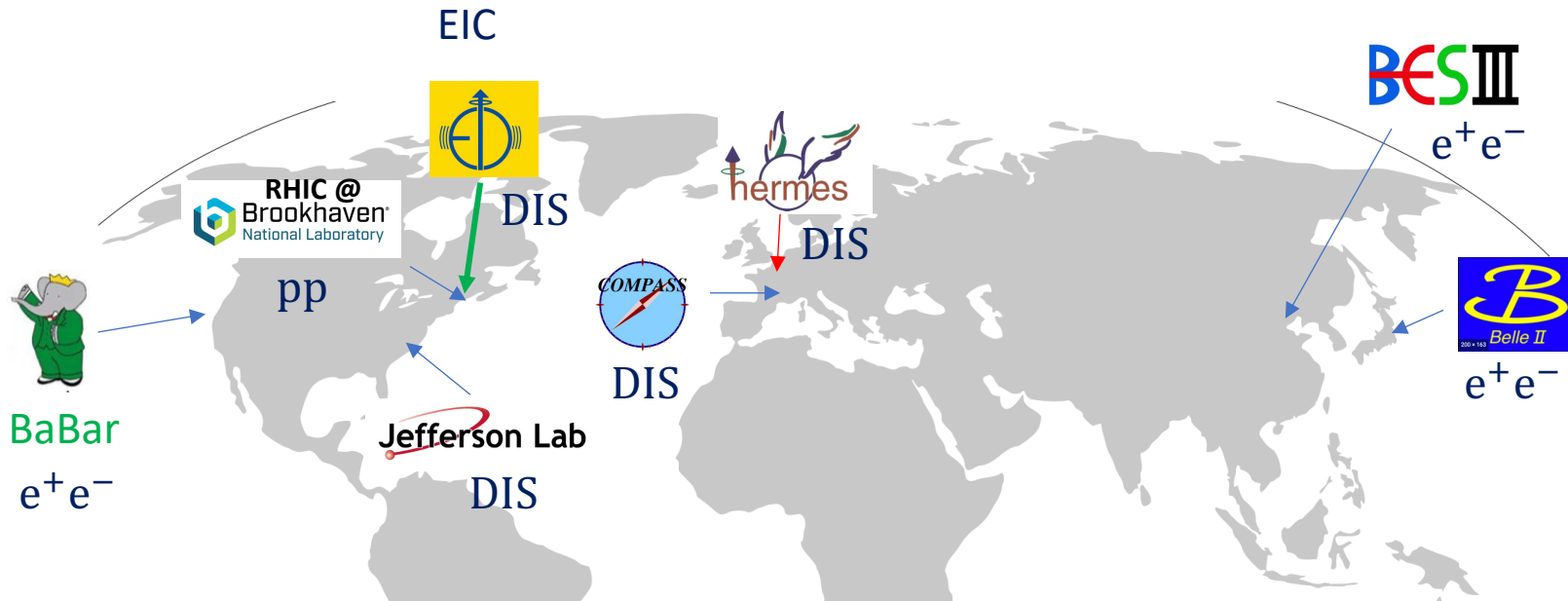
possible «spin-orbit» correlations

many TMD PDFs largely unknown!

all accessible in polarized DIS

Need a T spin analyzer, e.g. the Collins effect

Landscape of experiments where spin (will) matter



A MC generator with spin is needed
main challenge: hadronization

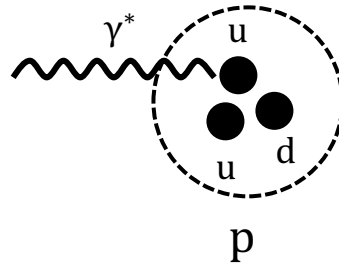
a model for hadronization with spin string+ 3P_0

Alternative model

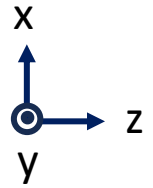
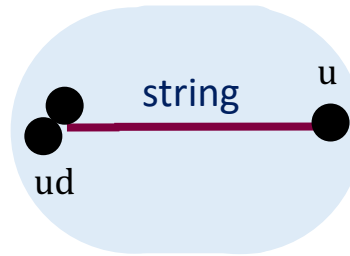
Matevosyan, Kotzinian, Thomas, PRD 95 (2017) 1, 014021



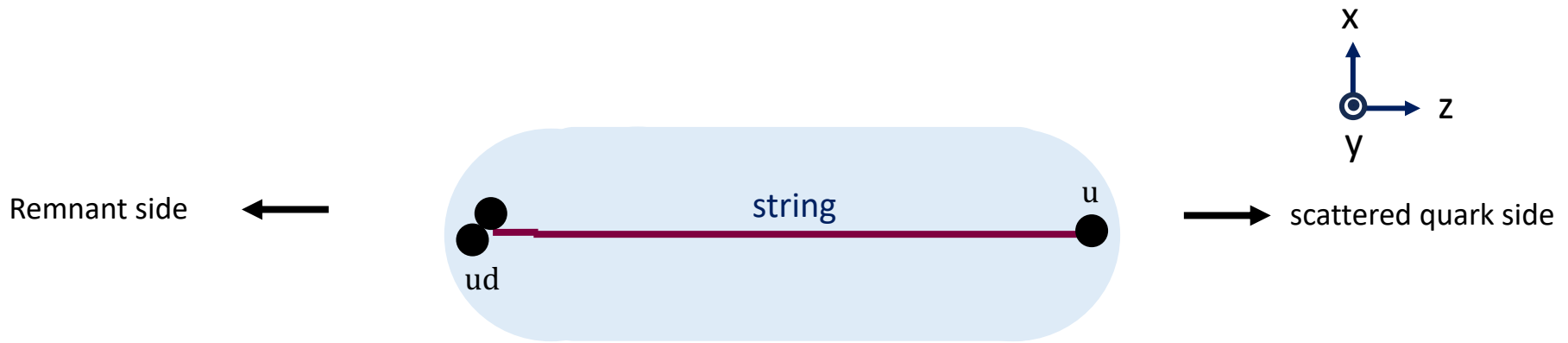
String breaking



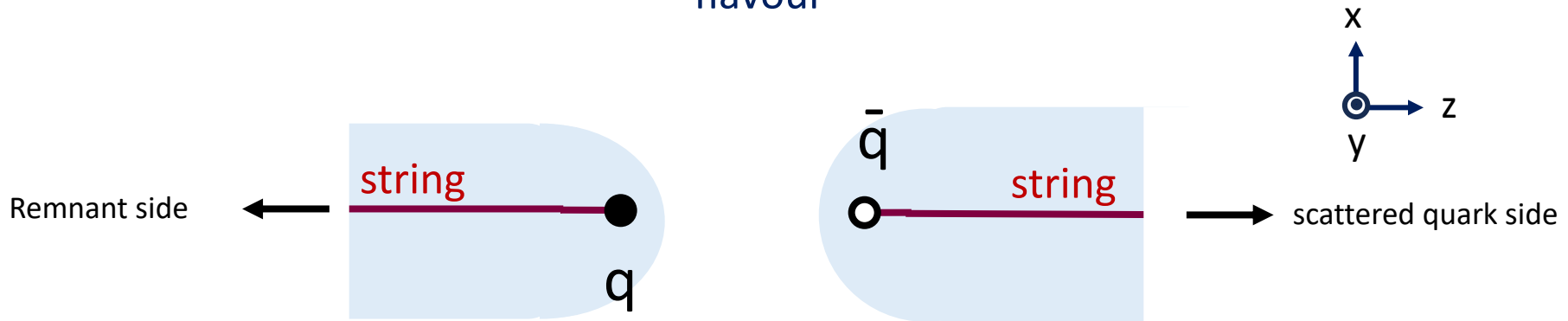
String breaking



String breaking



String breaking flavour

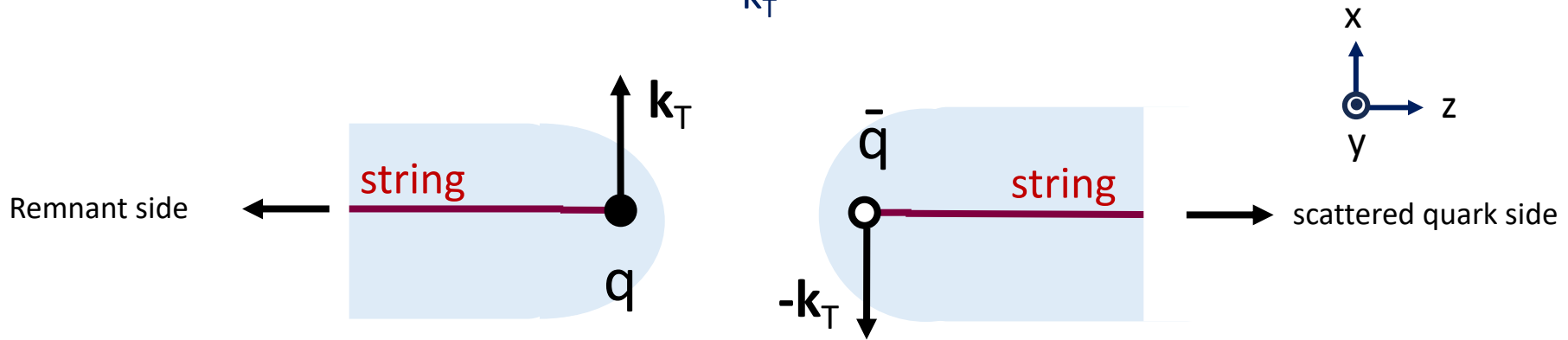


String breaking via $q\bar{q}$ tunneling [Schwinger mechanism] suppression of s quarks



String breaking

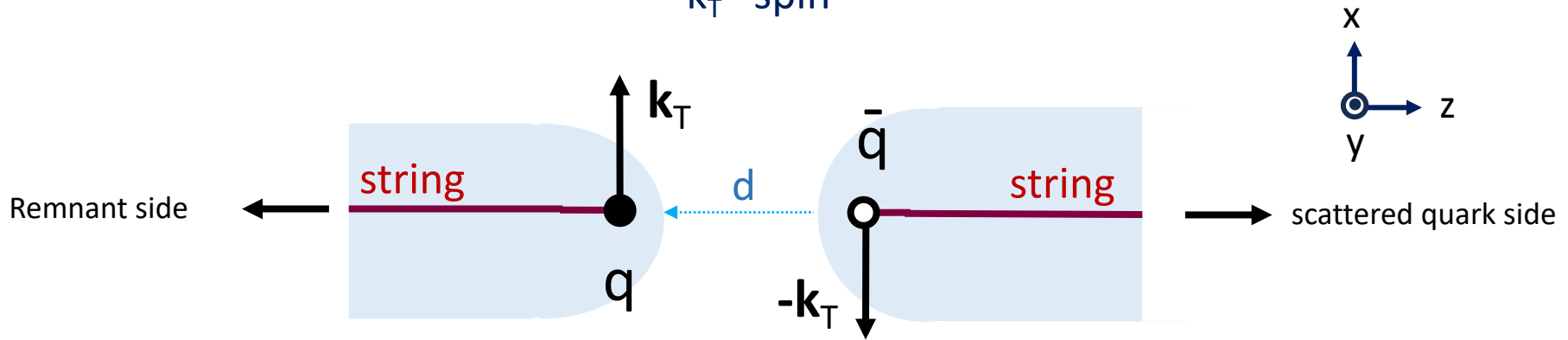
k_T



Local conservation of transverse momentum

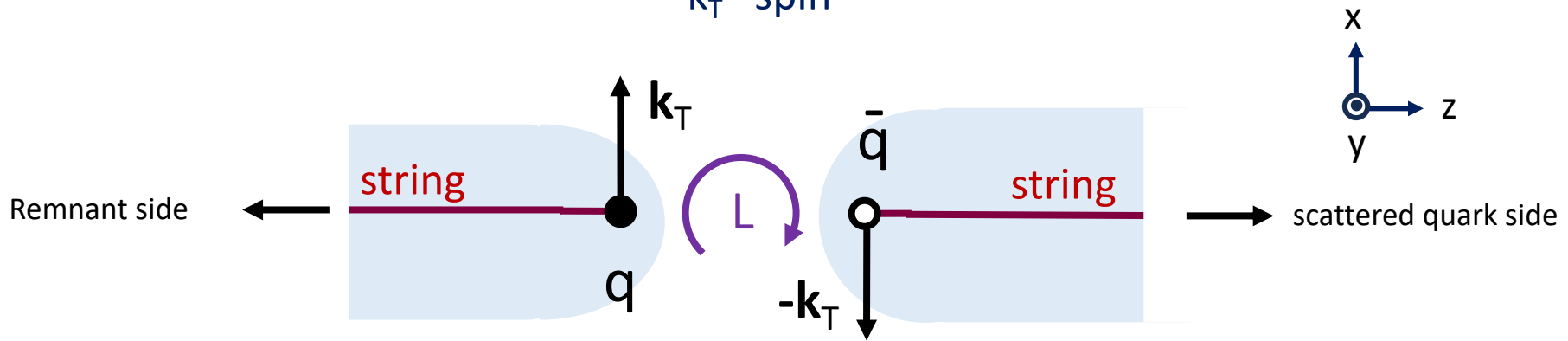
k_T damping [Schwinger mechanism]

String breaking k_T - spin



The pair is produced at a distance d

String breaking k_T - spin



The pair is produced at a distance d

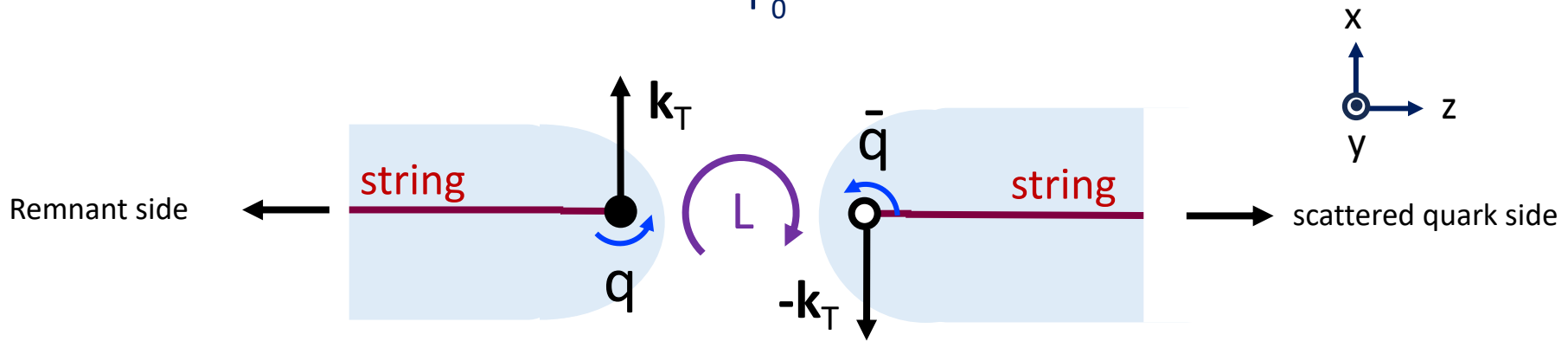
$$\vec{L} = \vec{d} \times \vec{k}_T \neq \vec{0}$$

Local conservation of angular momentum

$$\vec{S} = \vec{S}_q + \vec{S}_{\bar{q}} \neq \vec{0}$$



String breaking 3P_0



The pair is produced at a distance d

$$\vec{L} = \vec{d} \times \vec{k}_T \neq \vec{0}$$

Local conservation of angular momentum

$$\vec{S} = \vec{S}_q + \vec{S}_{\bar{q}} \neq \vec{0}$$

therefore

$$\vec{J} = \vec{L} + \vec{S} = \vec{0}$$

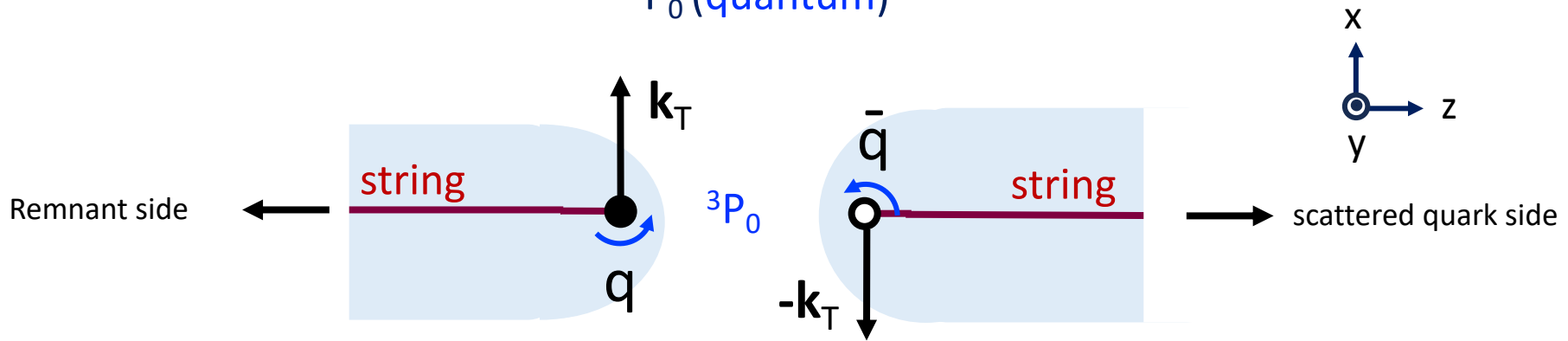
$$L=1, S=1$$

$q\bar{q}$ in the relative 3P_0 state
spin!

Andersson, Gustafson, Ingelman, PRL 85, 417 (1979)
Artru, Czyzewski, Yabuki, Z.Phys.C 73 (1997) 527-534
Artru [Dubna-Spin 2009], arXiv: 1001.1061



String breaking 3P_0 (quantum)



spin- k_T correlation described by
“propagator” (2x2)

$$\Delta_q = \mu + \sigma_z \boldsymbol{\sigma}_T \cdot \mathbf{k}_T$$

“complex mass” μ

2 free parameters

$\text{Im}(\mu)$ responsible for T spin effects (Collins, dihadron ...)
 $\text{Re}(\mu)$ responsible for L spin effects (beam spin asymm ...)

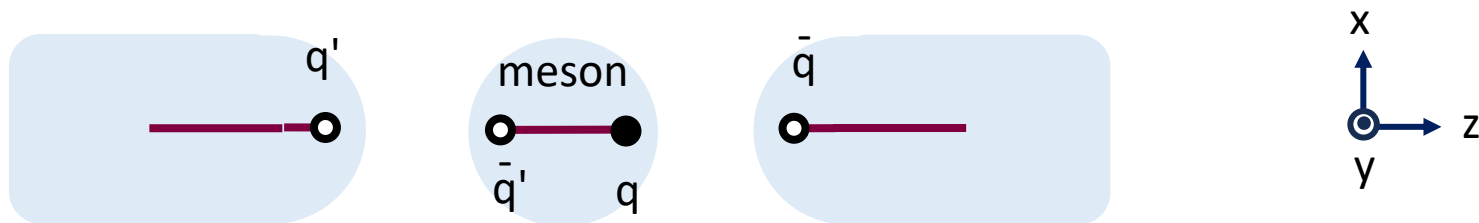
Artru [Dubna-Spin 2009], arXiv: 1001.1061

AK, Artru, Belghobsi, Bradamante, Martin, PRD 97 (2018) 7, 074010

AK, X. Artru, Z. Belghobsi, A. Martin, PRD 100 (2019) 1, 014003

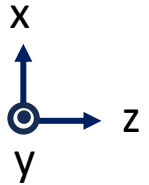
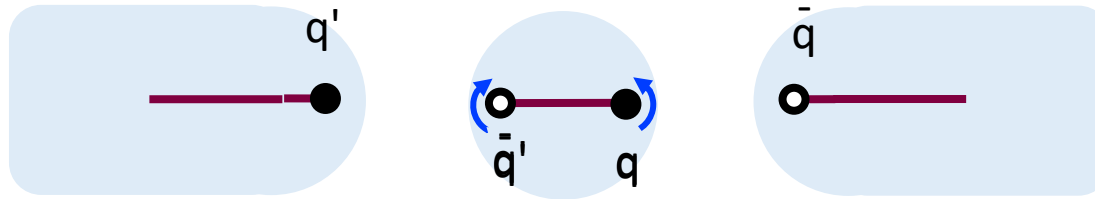


Meson production



Pseudoscalar meson (PM) or vector meson (VM)
 $VM/PM \approx 0.5..$

Meson production PM (quantum)



Pseudoscalar meson (PM) or vector meson (VM)

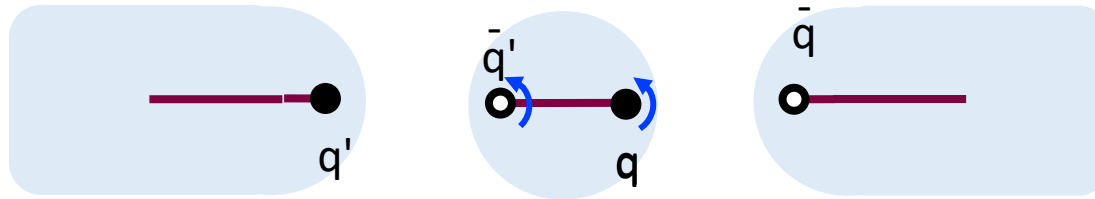
spin given by coupling (2x2)

$$\Gamma_{h=PM} = \sigma_z$$

no new free parameters



Meson production VM (quantum)



Pseudoscalar meson (PM) or vector meson (VM)

spin given by coupling (2x2)

$$\Gamma_{h=VM} = G_T \vec{\sigma}_T \sigma_z \cdot \vec{V}_T^* + G_L 1 V_L^*$$

VM/PM suppression

Probability VM has Longitudinal Polarization

Interference between L and T polarizations

$$2|G_T|^2 + |G_L|^2$$

$$f_L = \left| \frac{G_L}{G_T} \right|^2 / \left[2 + \left| \frac{G_L}{G_T} \right|^2 \right]$$

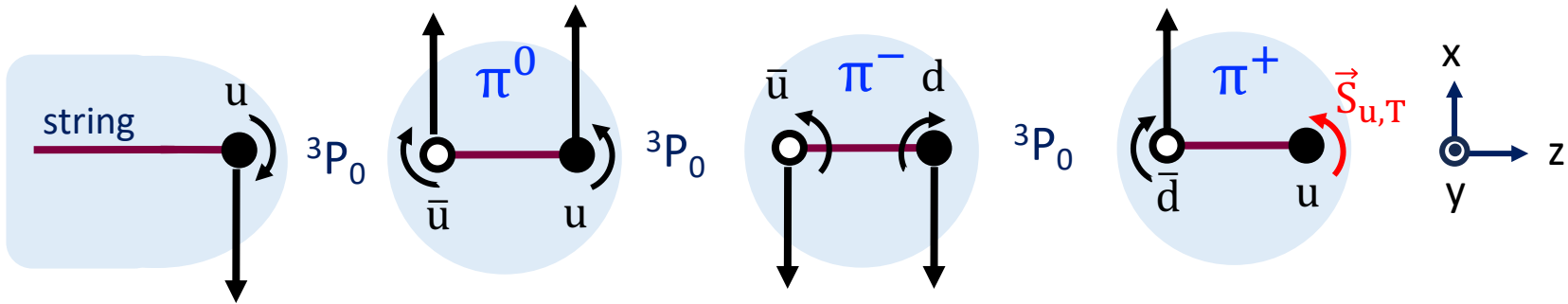
$$\theta_{LT} = \arg G_L / G_T$$

2 free spin parameters

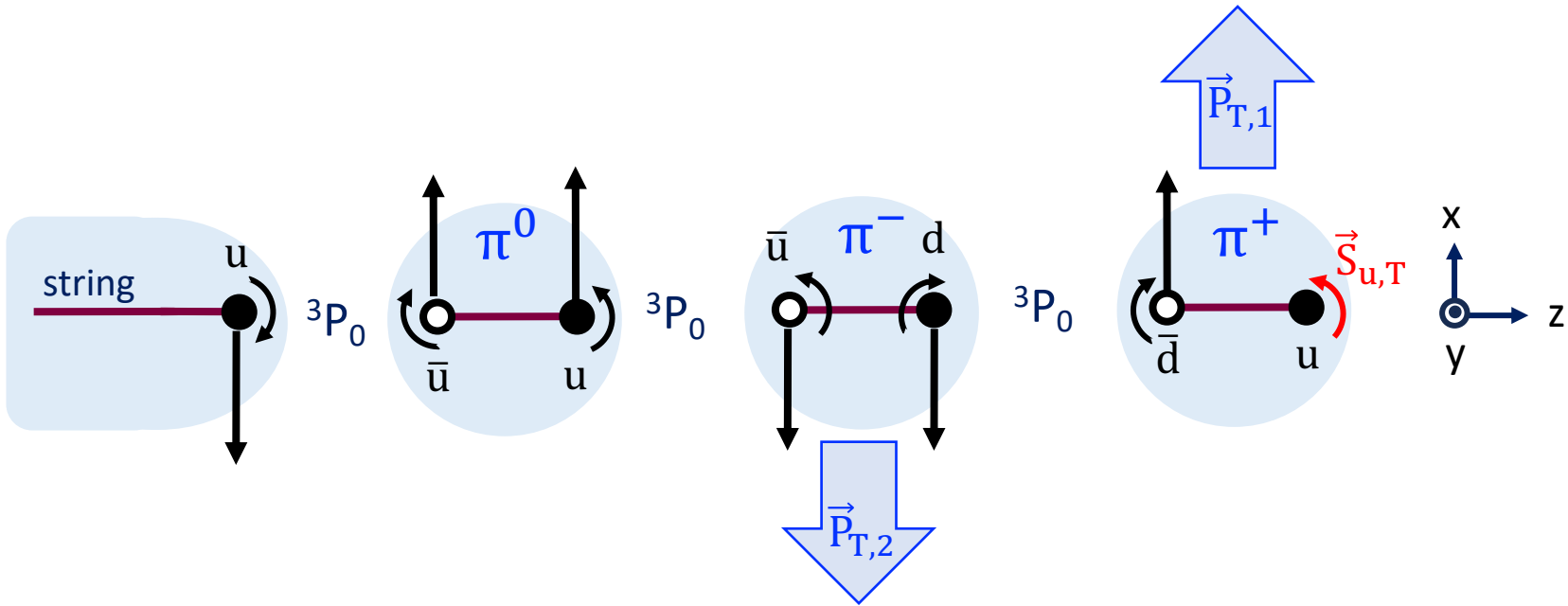
AK, Artru, Martin, PRD 104, 114038 (2021)



Spin correlations in hadronization

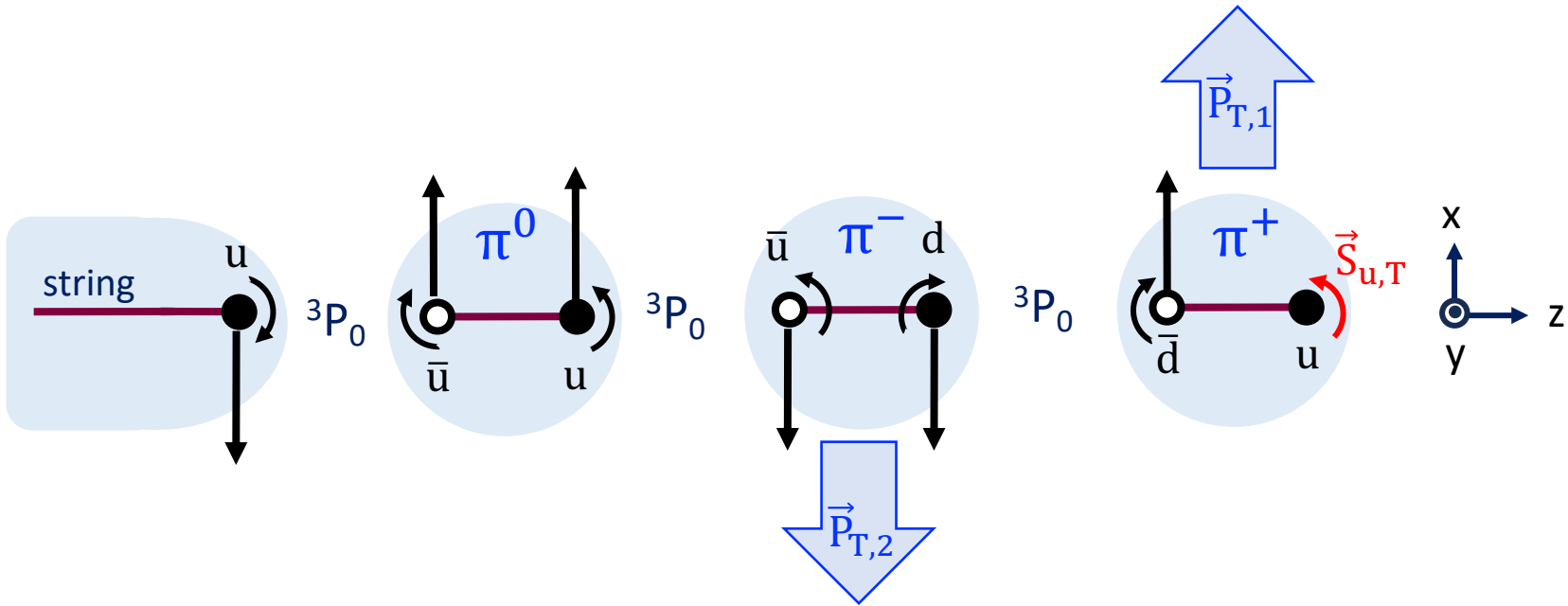


Spin correlations in hadronization

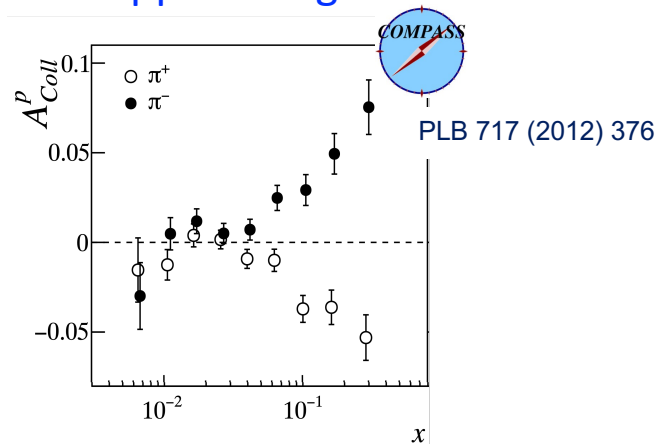


Collins effect of opposite sign for π^+ and π^-

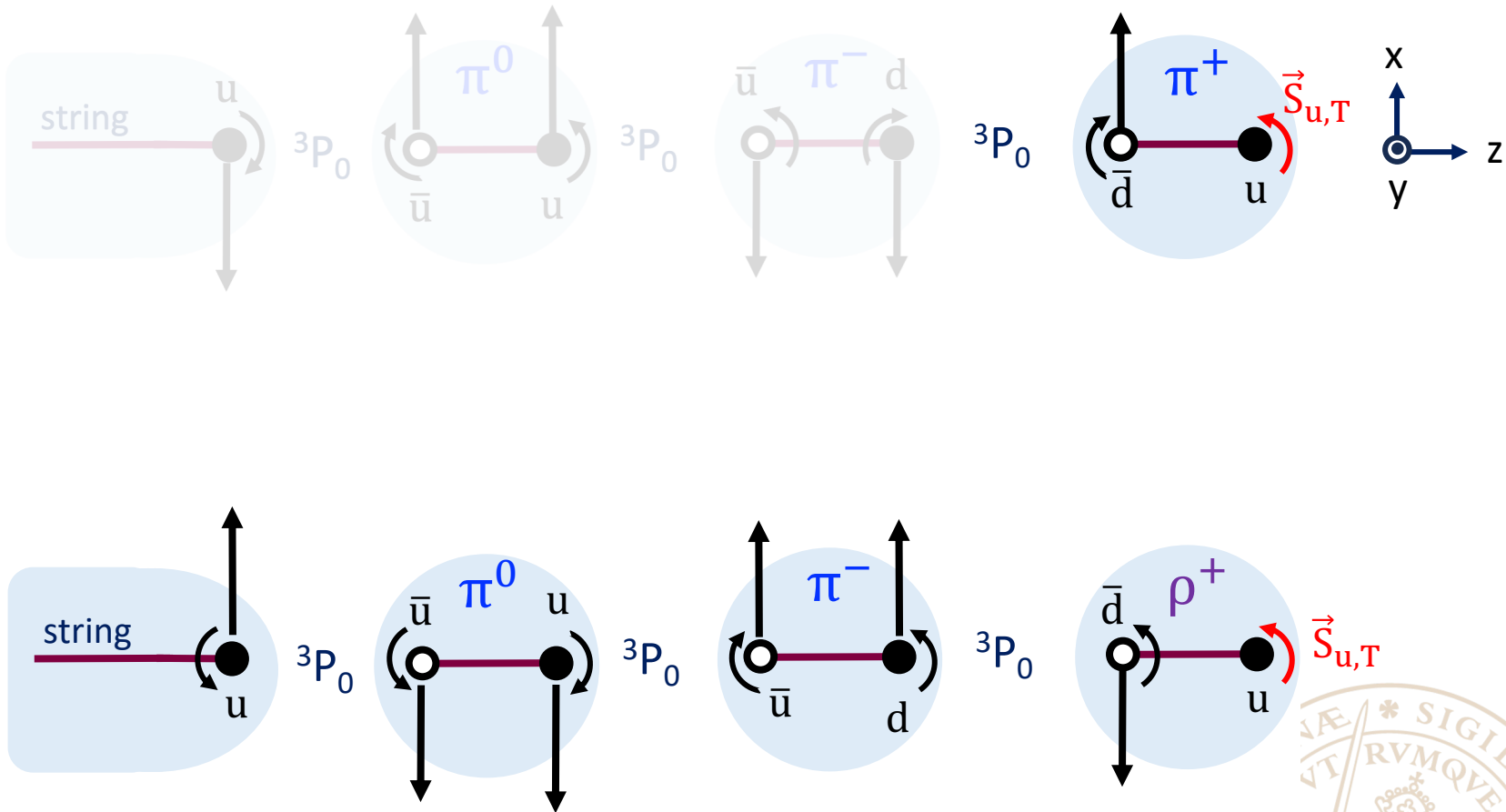
Spin correlations in hadronization



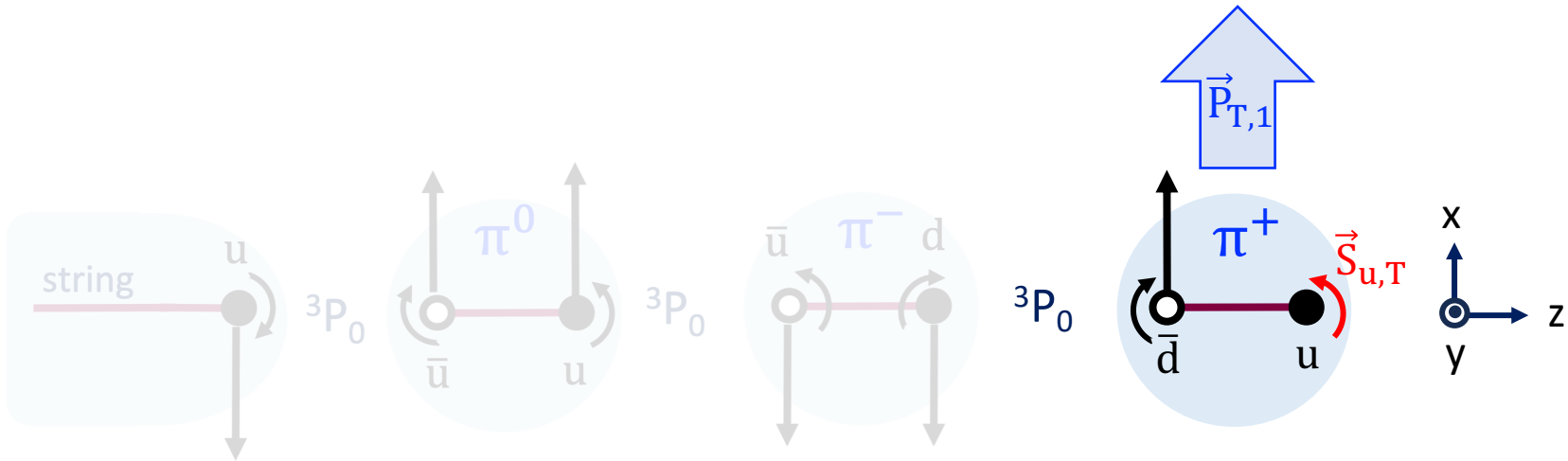
Collins effect of opposite sign for π^+ and π^-



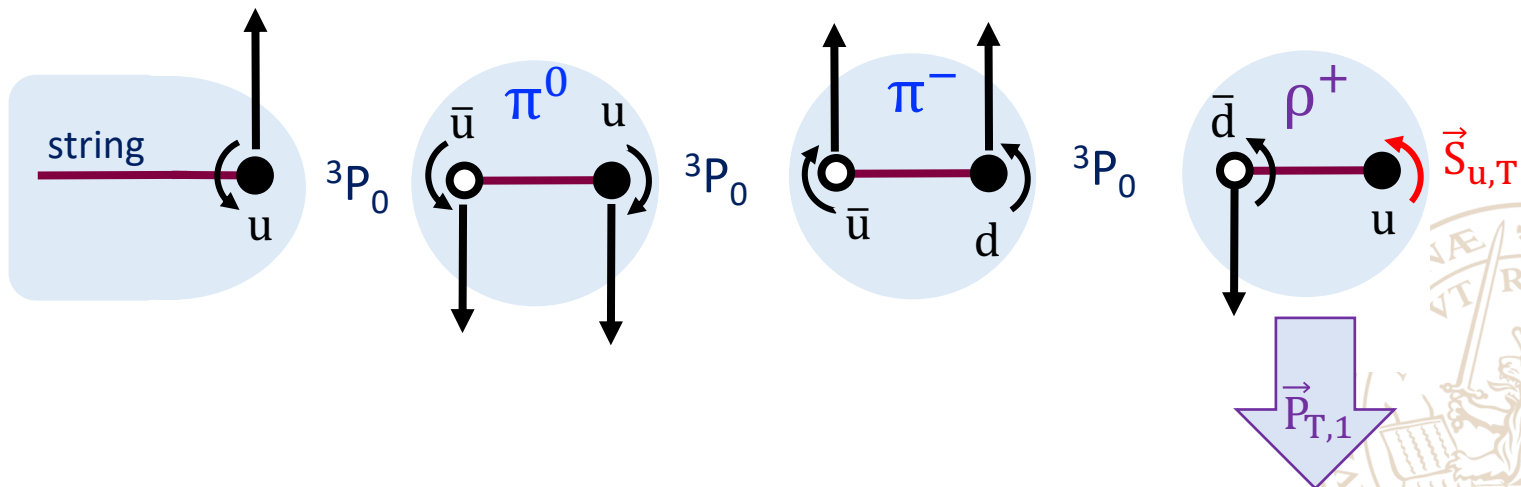
Spin correlations in hadronization



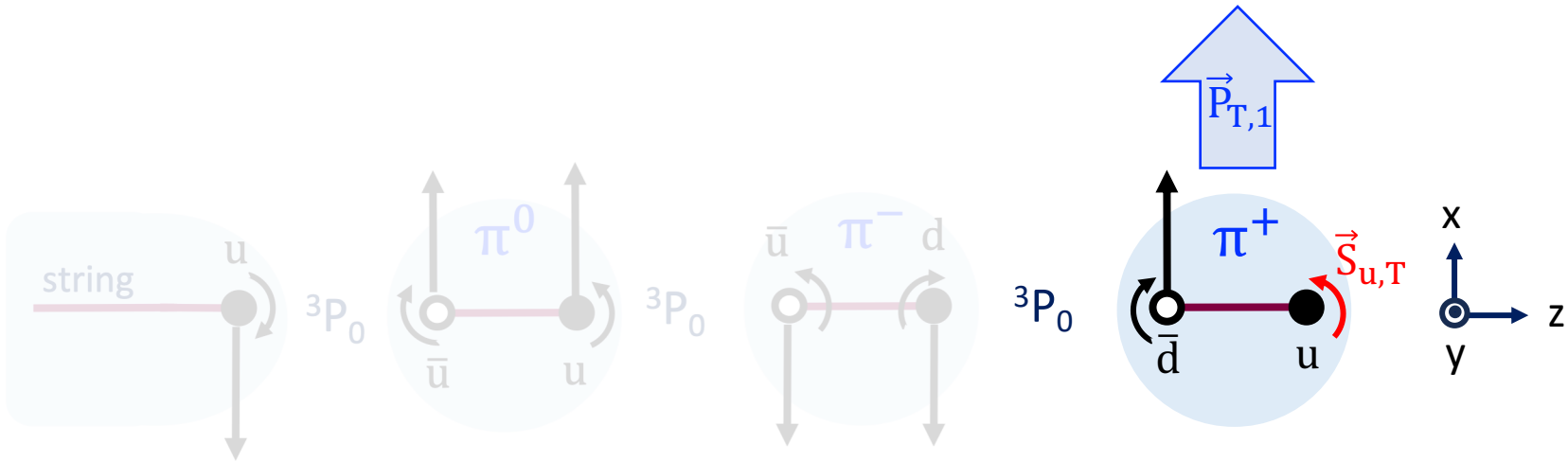
Spin correlations in hadronization



Collins effects for ρ^+ opposite to π^+
governed by f_L

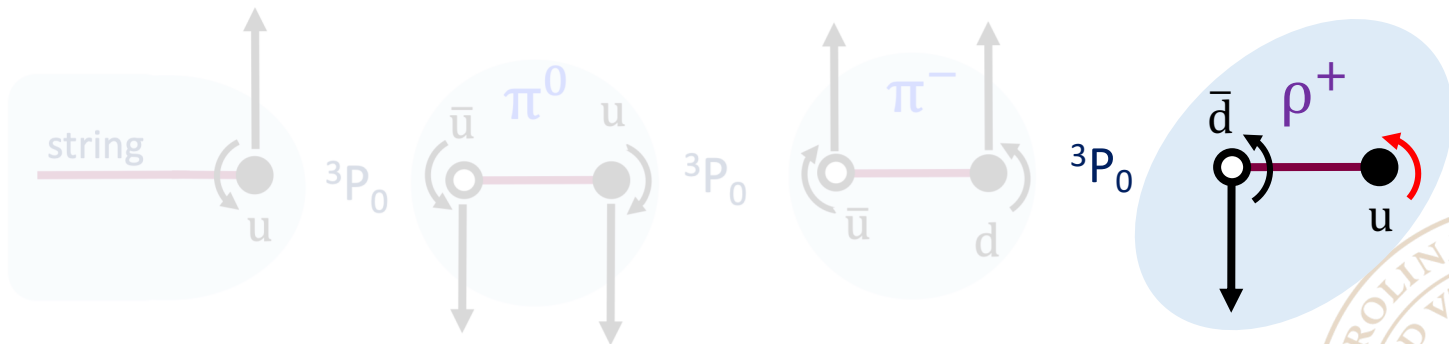


Spin correlations in hadronization

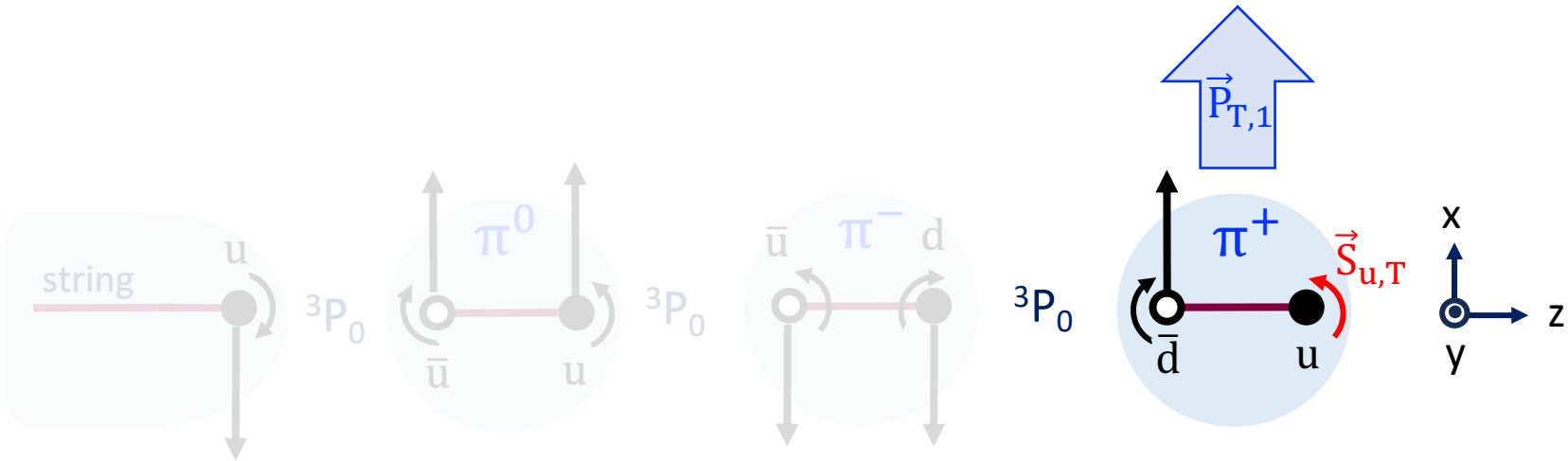


Oblique polarization

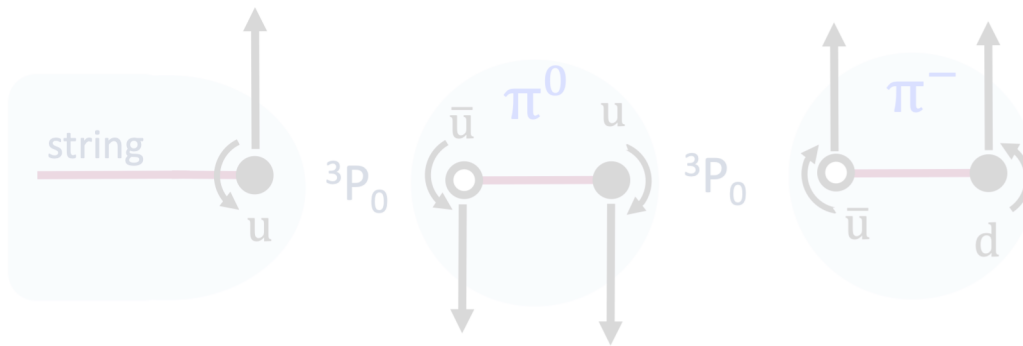
$$\theta_{LT} < 0$$



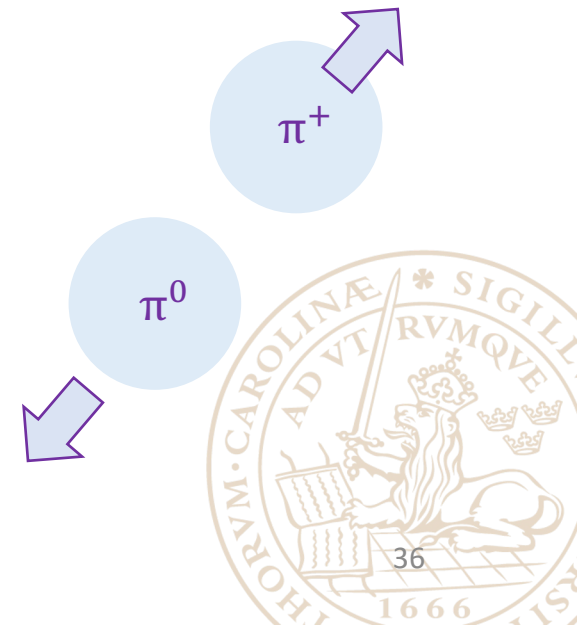
Spin correlations in hadronization



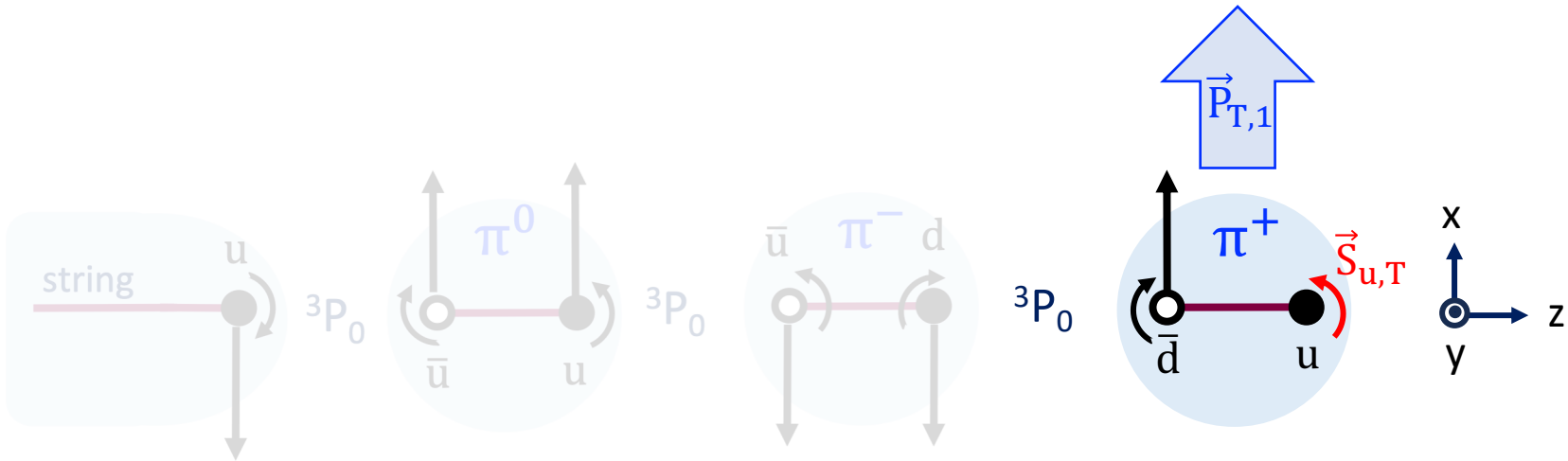
Oblique polarization



Enhancement of Collins effect for π^+

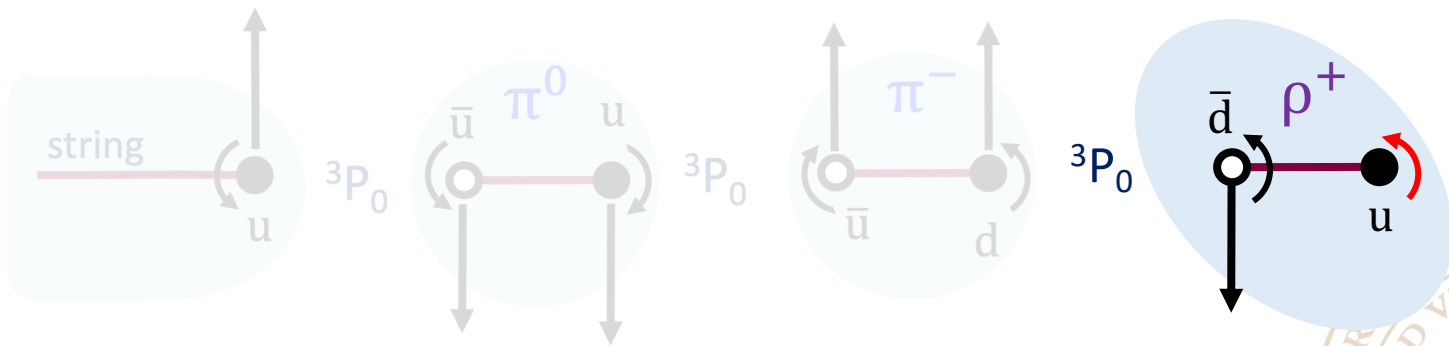


Spin correlations in hadronization

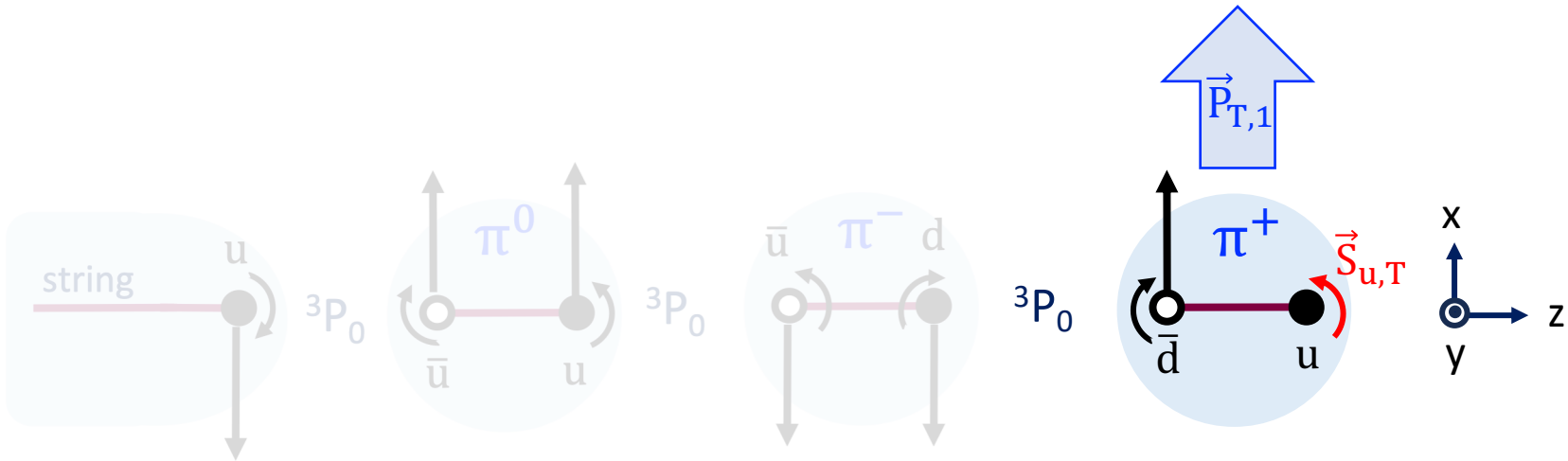


Oblique polarization

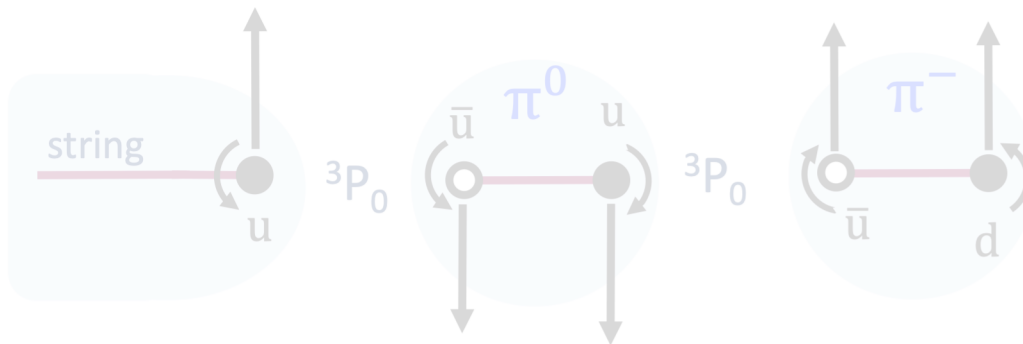
$$\theta_{LT} > 0$$



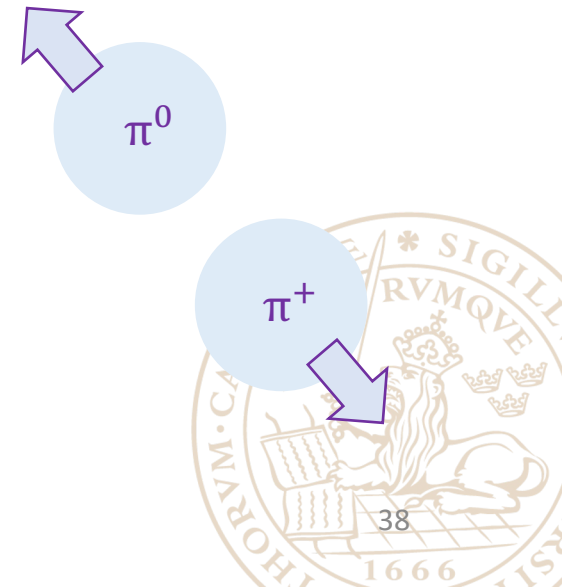
Spin correlations in hadronization



Oblique polarization



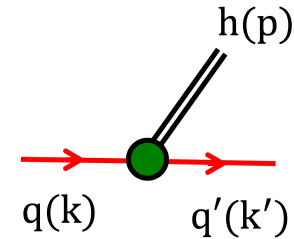
Decrease of Collins effect for π^+



"quantum" string + 3P_0

❑ String fragmentation = recursive $q \rightarrow h + q'$ splittings

❑ Quark splitting matrix (2x2): Lund string fragmentation $\otimes$ spin

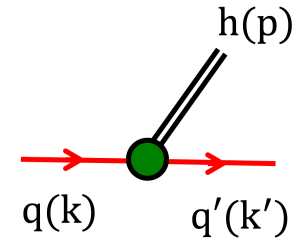


$$T_{q',h,q} \propto \overset{\text{Pythia}}{\left[C_{q',h,q} D_h(M^2) \left(\frac{1-Z}{\epsilon_h^2} \right)^{\frac{a}{2}} \exp \left[-\frac{b_L \epsilon_h^2}{2Z} \right] N_a^{-\frac{1}{2}}(\epsilon_h^2) e^{-\frac{b_T k'^2_T}{2}} \right]} \otimes \underset{{}^3P_0}{[\mu + \sigma_z \boldsymbol{\sigma}_T \cdot \mathbf{k}'_T]} \underset{q-h-q' \text{ coupling}}{\Gamma_{h,s_h}}$$

"quantum" string + 3P_0

❑ String fragmentation = recursive $q \rightarrow h + q'$ splittings

❑ Quark splitting matrix (2x2): Lund string fragmentation $\otimes$ spin



$$T_{q',h,q} \propto \overset{\text{Pythia}}{C_{q',h,q} D_h(M^2) \left(\frac{1-Z}{\epsilon_h^2} \right)^{\frac{a}{2}} \exp \left[-\frac{b_L \epsilon_h^2}{2Z} \right] N_a^{-\frac{1}{2}}(\epsilon_h^2) e^{-\frac{b_T k_T'^2}{2}}} \otimes [\mu + \sigma_z \sigma_T \cdot \mathbf{k}'_T] \Gamma_{h,s_h}$$

3P_0 q-h-q' coupling

❑ Spin state of q

❑ Emission probability

❑ Spin propagation after PM emission

see backup for VM emission

$$\rho(q) = [1 + \sigma \cdot \mathbf{s}_q]/2$$

$$dP(q \rightarrow h + q') \propto \text{Tr } T_{q',h,q} \rho(q) T_{q',h,q}^\dagger dZZ^{-1} d^2 \mathbf{p}_T$$

$$\rho(q') = T_{q',h,q} \rho(q) T_{q',h,q}^\dagger$$

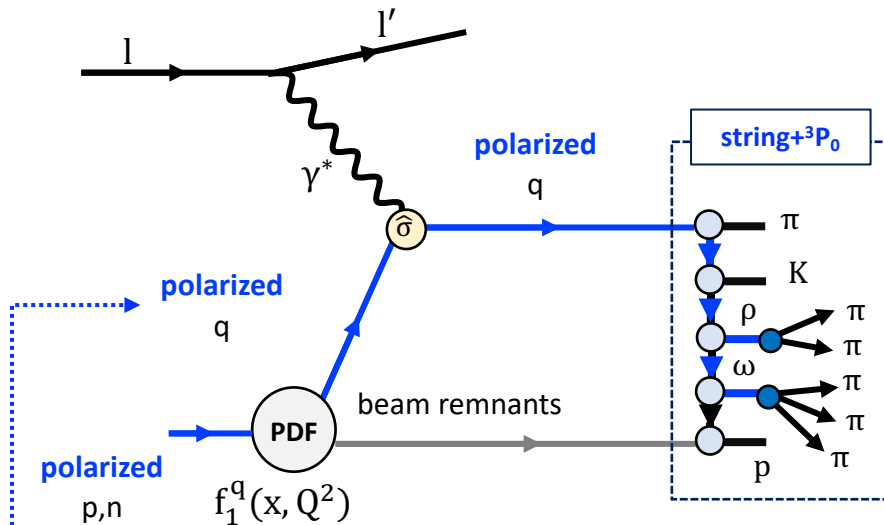
Implementation in Pythia by [StringSpinner](#)



Implementation in Pythia by StringSpinner

(polarized) Deep Inelastic Scattering

AK, L. Lönnblad, CPC **272** (2021) 108234; CPC **292** (2023) 108886



Initial condition: spin density matrix of q

→ parametrization of transversity

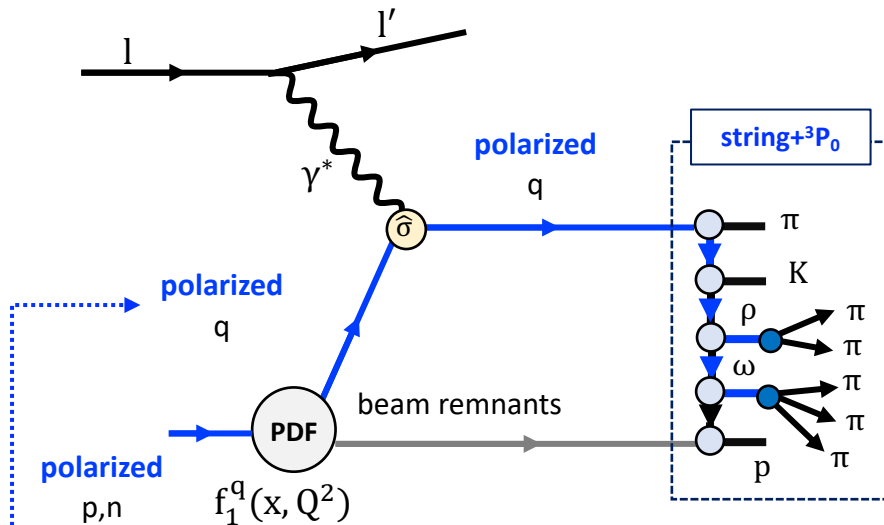
$h_1^q(x)$ for valence quarks



Implementation in Pythia by StringSpinner

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AK, L. Lönnblad, CPC **272** (2021) 108234; CPC **292** (2023) 108886



Initial condition: spin density matrix of q
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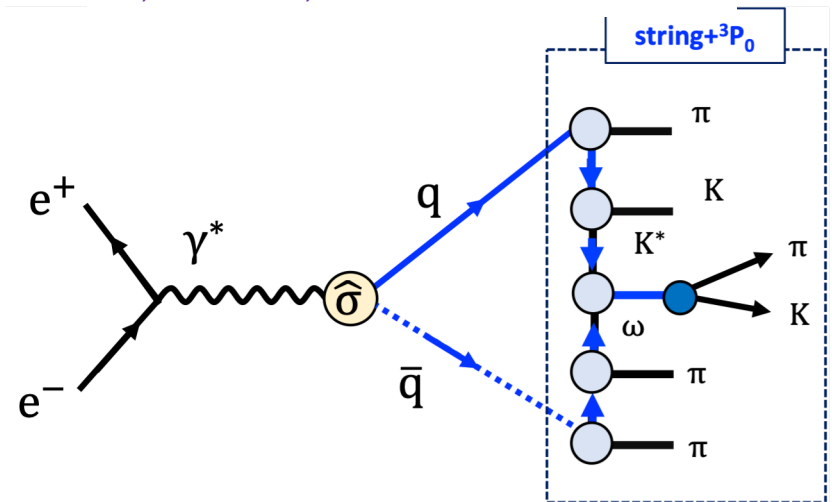
$h_1^q(x)$ for valence quarks

e^+e^- annihilation to hadrons

AK, X. Artru, PRD **110** (2024) 7, 074029

AK, L. Lönnblad, A. Martin, PRD **110** (2024) 7, 074029

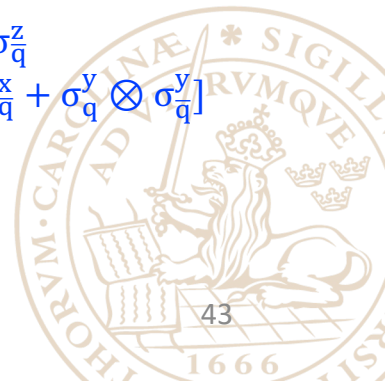
AK, L. Lönnblad, arXiv:2606.27162



Initial condition: joint spin density matrix of $q\bar{q}$

$$\rho(q, \bar{q}) \propto 1_q \otimes 1_{\bar{q}} - \sigma_q^z \otimes \sigma_{\bar{q}}^z + a_{NN}(\theta) [\sigma_q^x \otimes \sigma_{\bar{q}}^x + \sigma_q^y \otimes \sigma_{\bar{q}}^y]$$

No parton showers!



Tuning of StringSpinner (ongoing work)

with A. Buckley, C. Gutsche, L. Lönnblad

4 parameters for spin



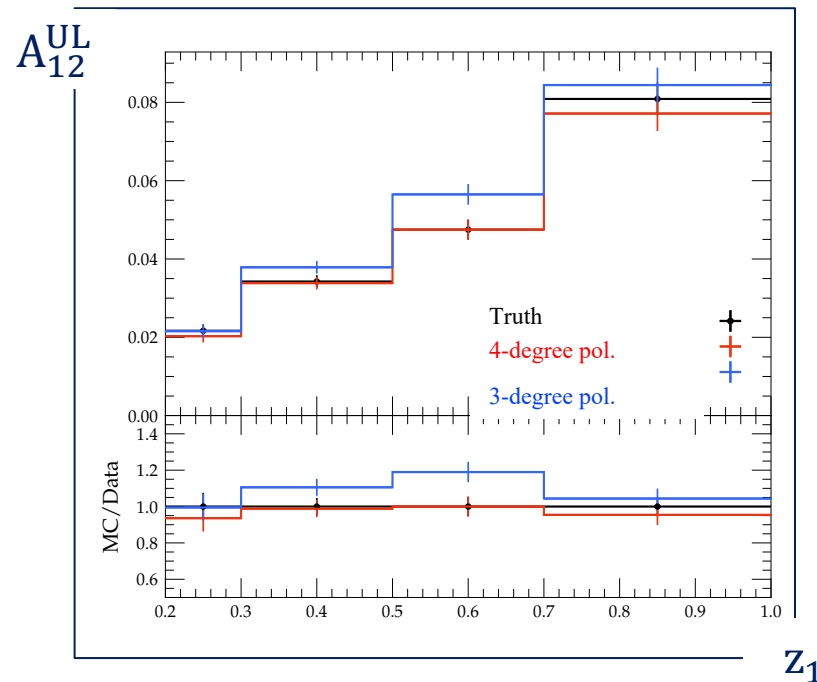
Tuning of StringSpinner: procedure

- ❑ Sample $\vec{p}_i = (\text{Re}(\mu), \text{Im}(\mu), f_L, \theta_{LT})_i$ (100 times)
 - for each $\vec{p}_i$
 - run Pythia+StringSpinner
 - calculate observables $O_1, O_2 \dots$ (Collins, 2h asymmetries)
- ❑ Parametrize $O_b^{\text{MC}}(\vec{p})$ as function of $\vec{p}$ for each bin b



Tuning of StringSpinner: procedure

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Example of polynomial interpolation

$$A_{12}^{UL}(z_1) \\ 0.2 < z_2 < 0.3$$



Tuning of StringSpinner: procedure

❑ Sample $\vec{p}_i = (\text{Re}(\mu), \text{Im}(\mu), f_L, \theta_{LT})_i$ (100 times)

- for each $\vec{p}_i$

run Pythia+StringSpinner

calculate observables $O_1, O_2 \dots$ (Collins, 2h asymmetries)

❑ Parametrize $O_b^{\text{MC}}(\vec{p})$ as function of $\vec{p}$ for each bin b

❑ Minimize

$$X^2 = \sum_O \sum_b \frac{(O_b^{\text{MC}}(\vec{p}) - O_b^{\text{D}})^2}{\sigma_{O_b^{\text{MC}}}^2 + \sigma_{O_b^{\text{D}}}^2}$$

PROFESSOR 2

A. Buckley et al., EPJ C 65 (2010) 331-357

❑ Obtain $\vec{p}_{\text{tune}}$

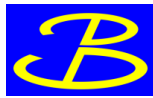


Tuning of StringSpinner

☐ BELLE and BABAR data

BELLE

PRD 78, 032011 (2008)



$$A_0^{\text{UL(UC)}}(z_1, z_2)$$

$\pi\pi$

32 pts

BABAR



PRD 90, 052003 (2014)

PRD 92, 111101(R) (2015)

$$A_0^{\text{UL(UC)}}(P_{T0}) \quad A_0^{\text{UL(UC)}}(z_1, z_2)$$

$\pi\pi, \pi K, KK$

110 pts

Total pts 142

☐ Tune

$$\text{Re}(\mu) = 0.30 \pm 0.03$$

$$\text{Im}(\mu) = 0.38 \pm 0.06$$

$$f_L = 0.12 \pm 0.05$$

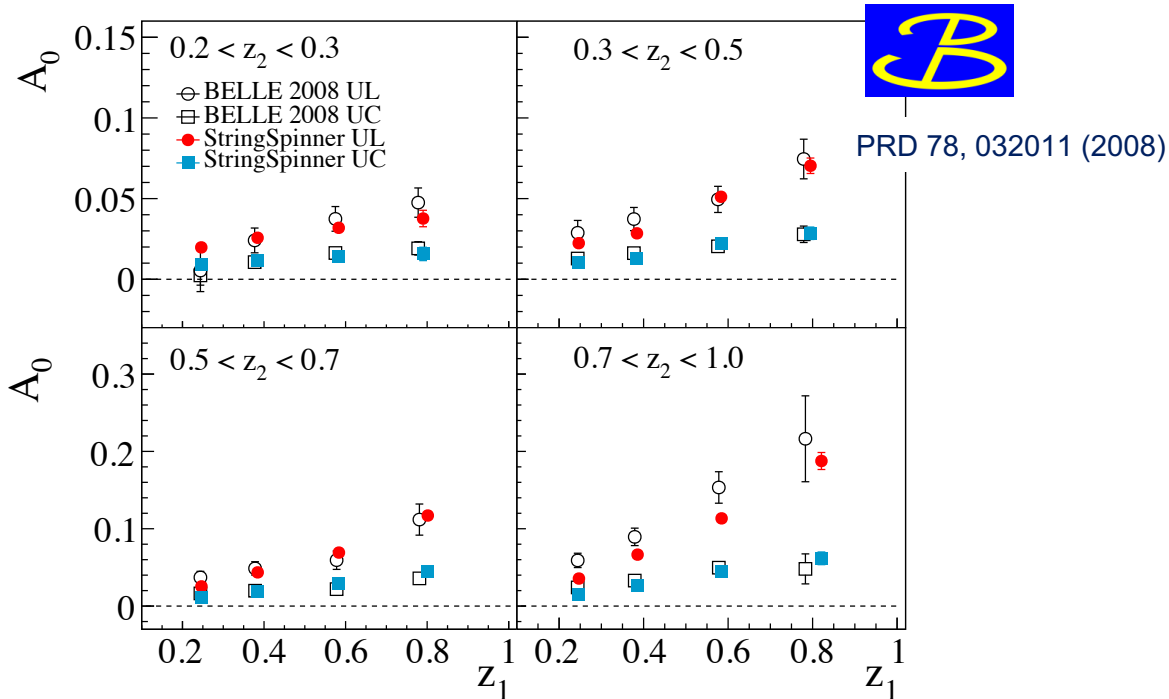
$$\theta_{LT} = -0.05 \pm 0.15$$

T pol. for VMs

No L/T interference



A_0 for $\pi\pi$: BELLE



$$A_0^{\text{UL}} \simeq A_0^{\text{U}} - A_0^{\text{L}}$$

$$A_0^{\text{UC}} \simeq A_0^{\text{U}} - A_0^{\text{C}}$$

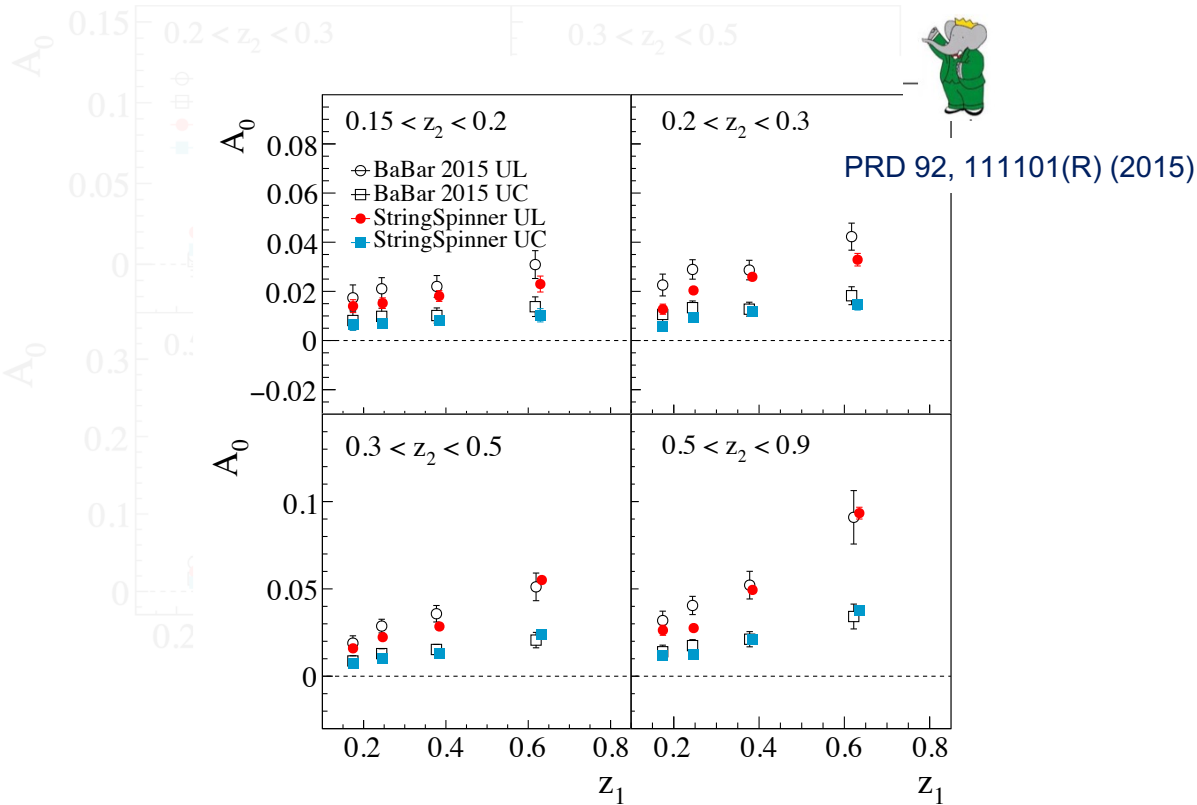
$$\text{U} \rightarrow \pi^+\pi^- + \pi^-\pi^+$$

$$\text{L} \rightarrow \pi^+\pi^+ + \pi^-\pi^-$$

$$\text{C} \rightarrow \pi^+\pi^- + \pi^-\pi^+ + \pi^+\pi^+ + \pi^-\pi^-$$



A_0 for $\pi\pi$: BABAR

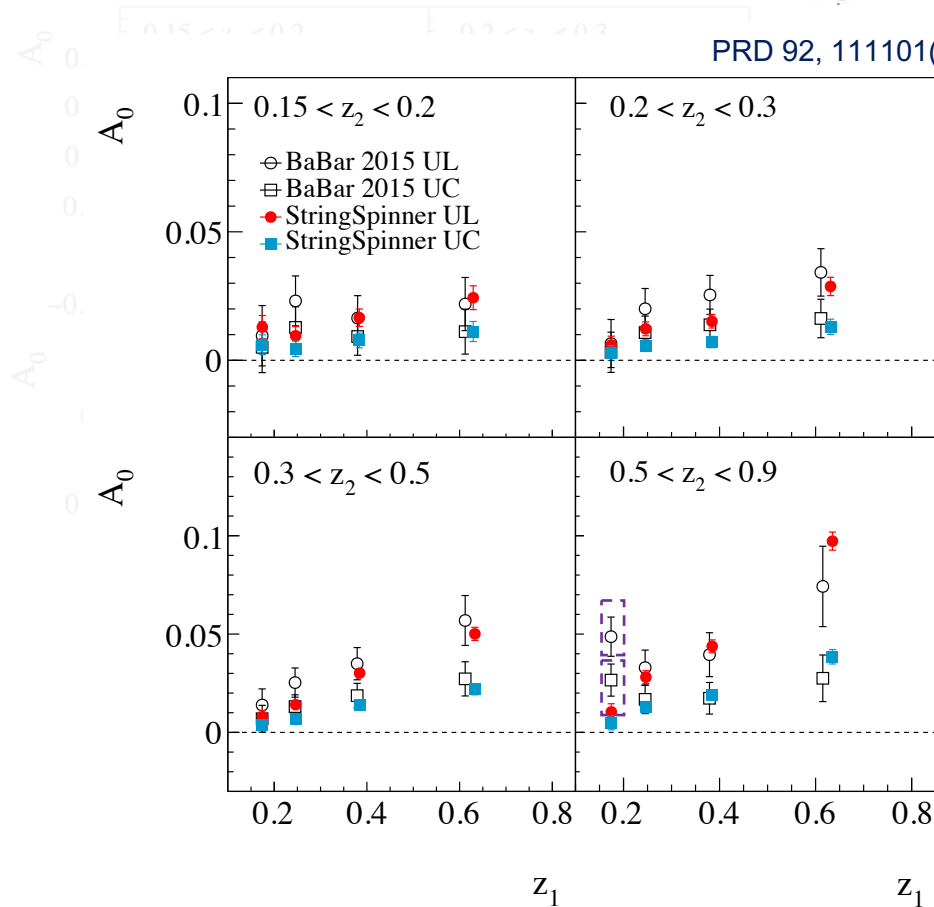


A_0 for πK : BABAR



PRD 92, 111101(R) (2015)

excluded from tuning

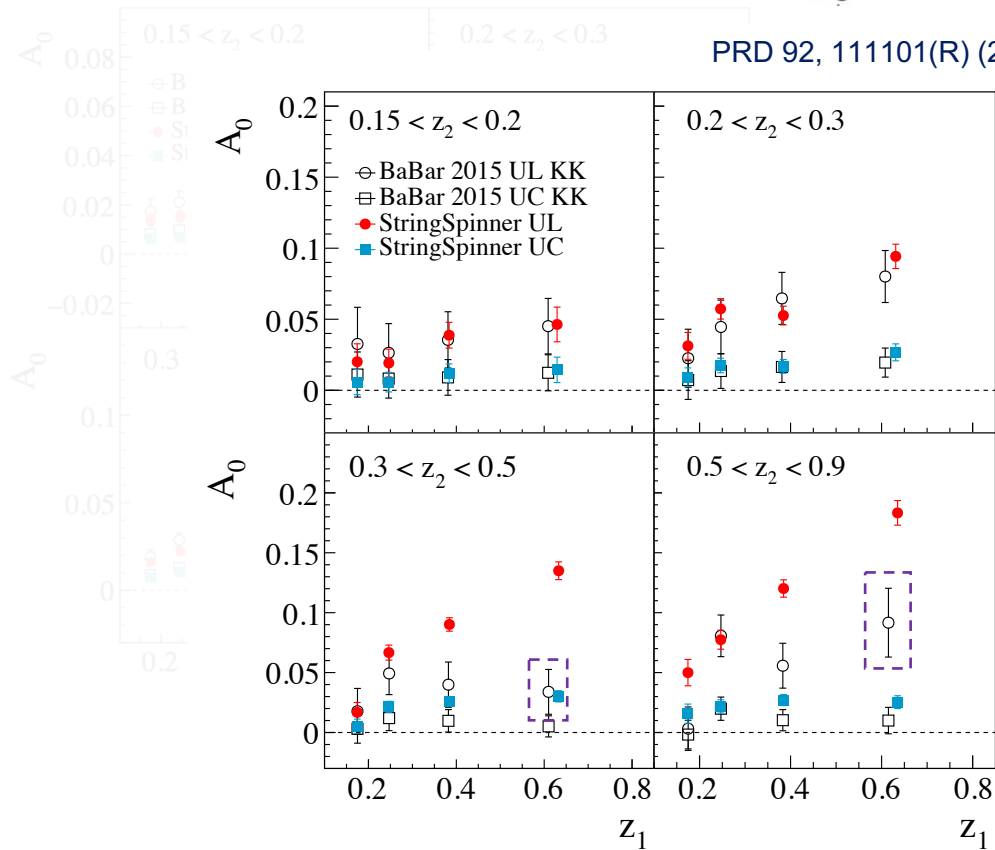


A_0 for KK: BABAR

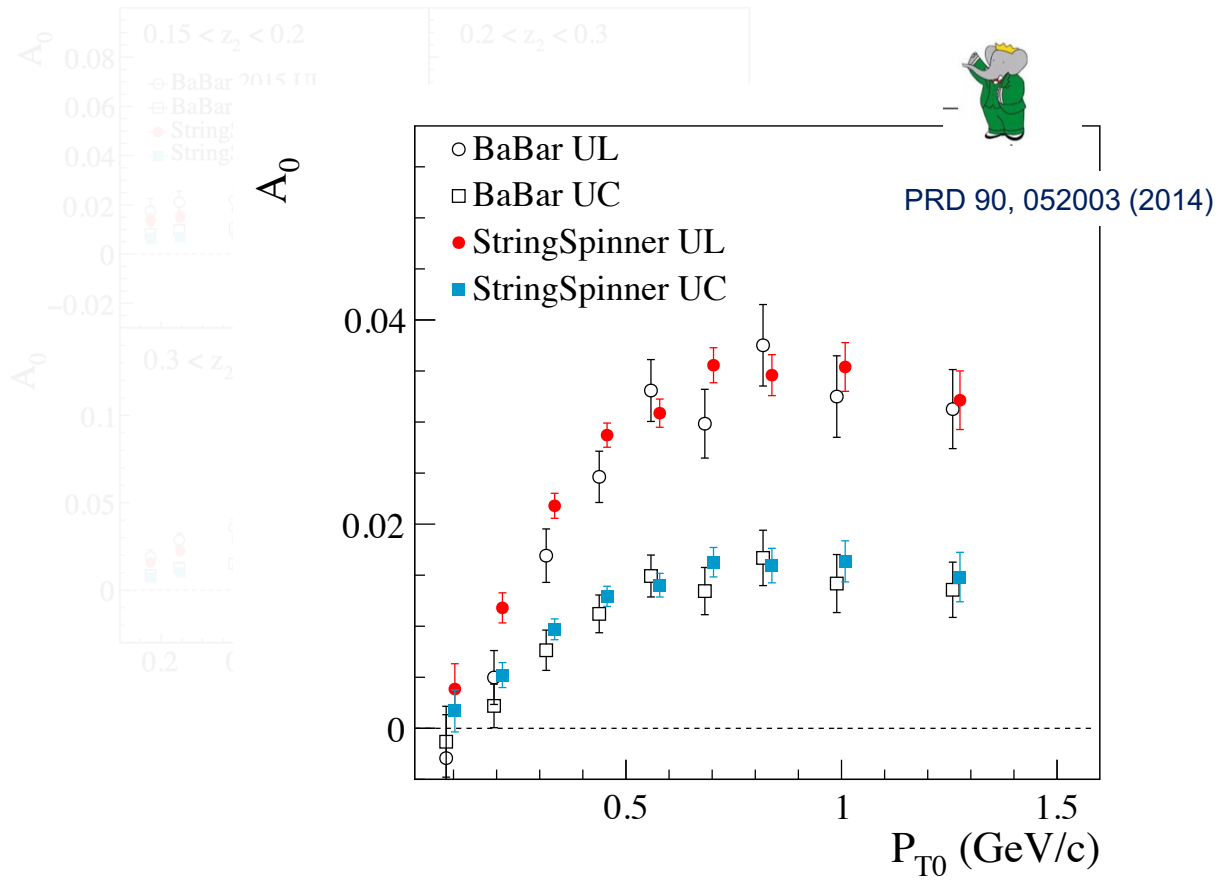


PRD 92, 111101(R) (2015)

excluded from tuning



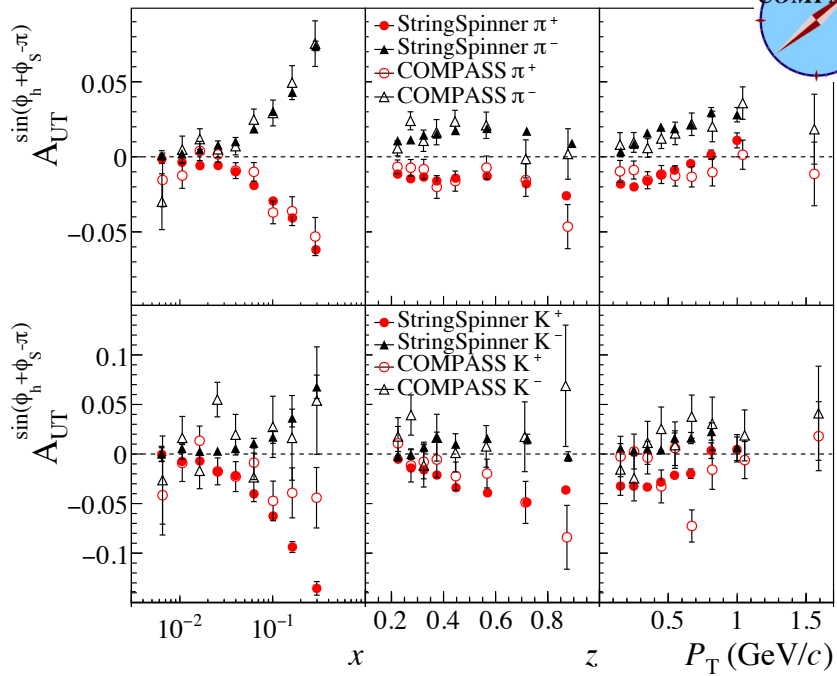
A_0 for $\pi\pi$: BABAR



Collins asymmetry in SIDIS: COMPASS



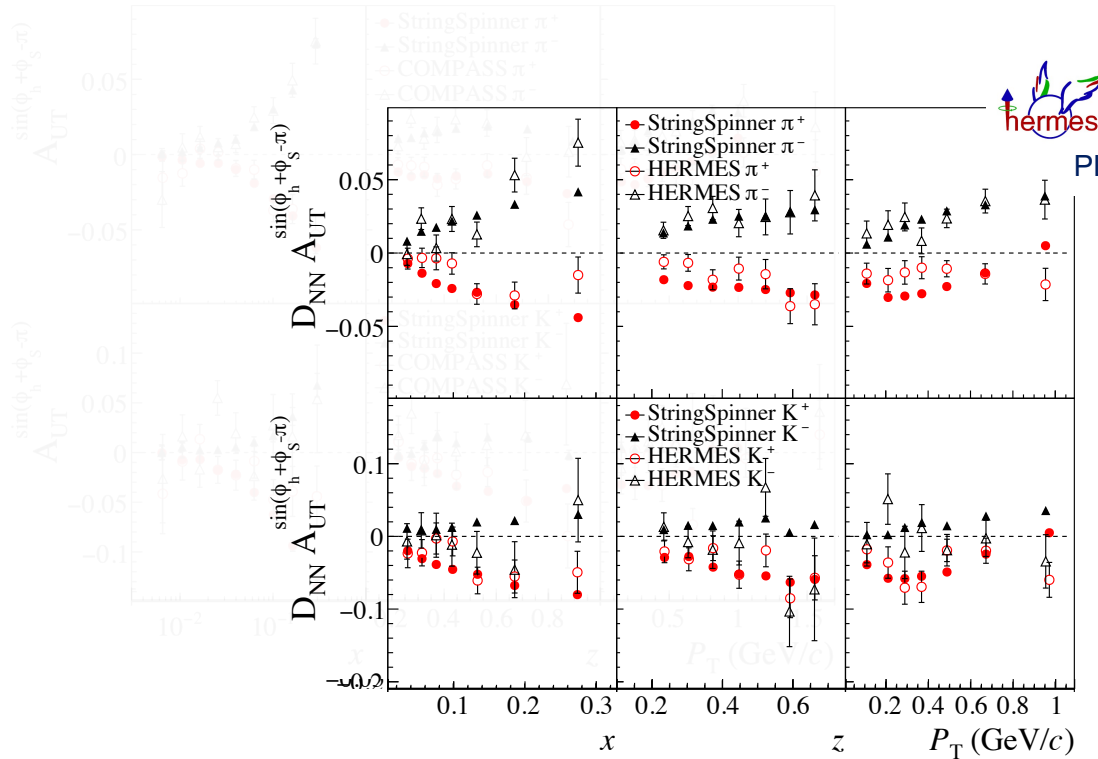
PLB 717 (2012) 376



Collins asymmetry @ COMPASS



Collins asymmetry in SIDIS: HERMES



PLB 693 2010) 11

Collins asymmetry @ HERMES

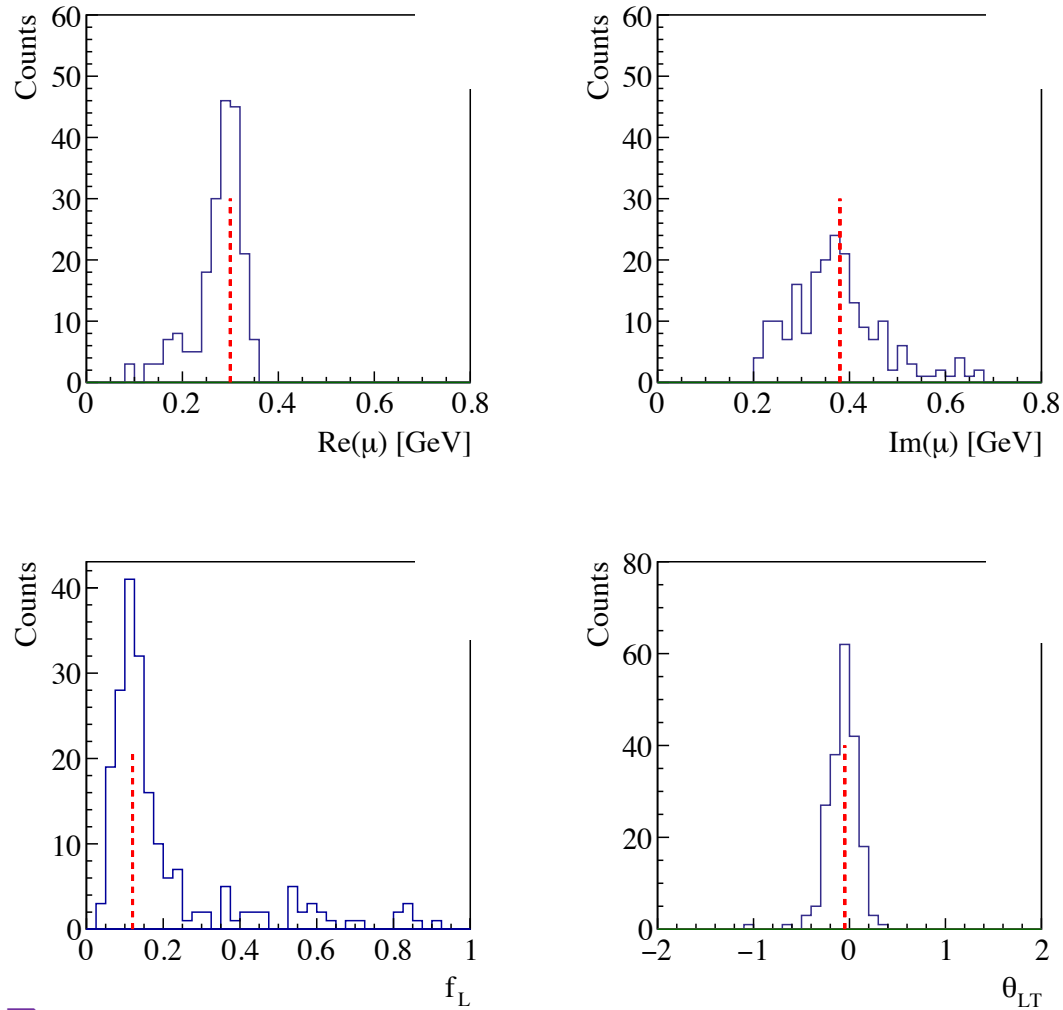
Tuning of StringSpinner (ongoing work) *with A. Buckley, C. Gutsche, L. Lönnblad*

How well do we know the parameters?

*200 replica of each measured asymmetry
same tuning procedure for each replica*



Distribution of free parameters from replica



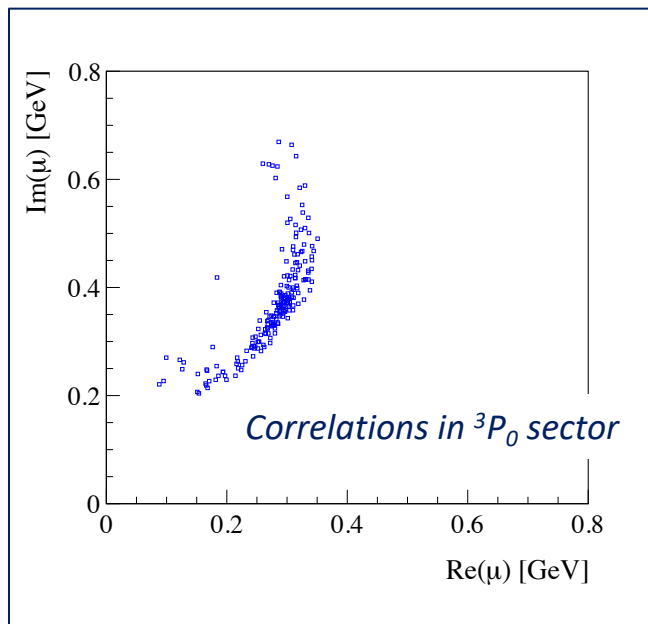
--- Tune

□ Agreement with tune

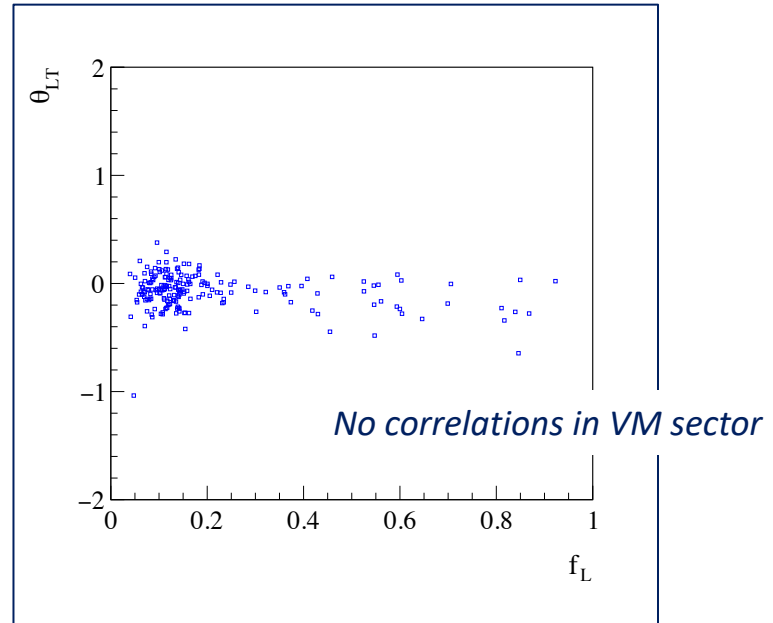
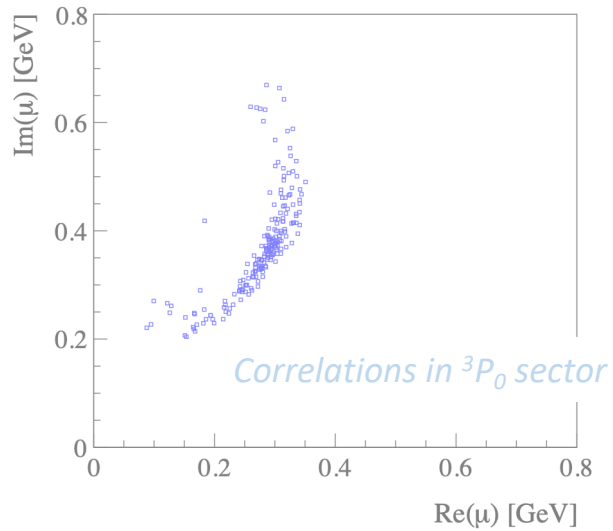
□ Tail in f_L ?



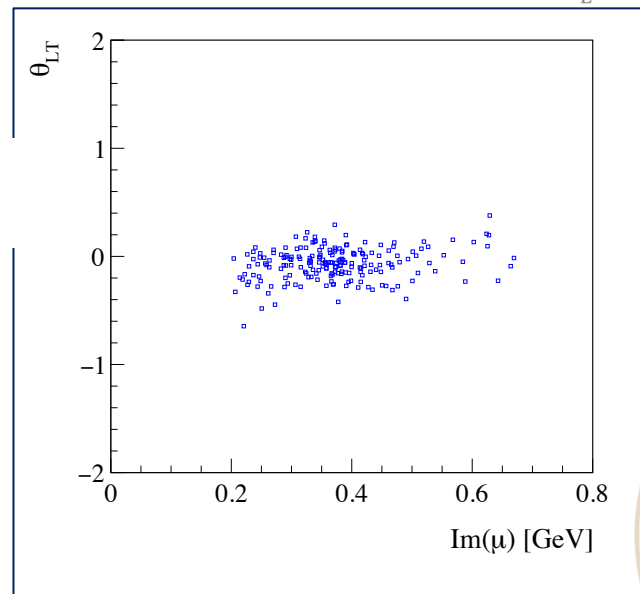
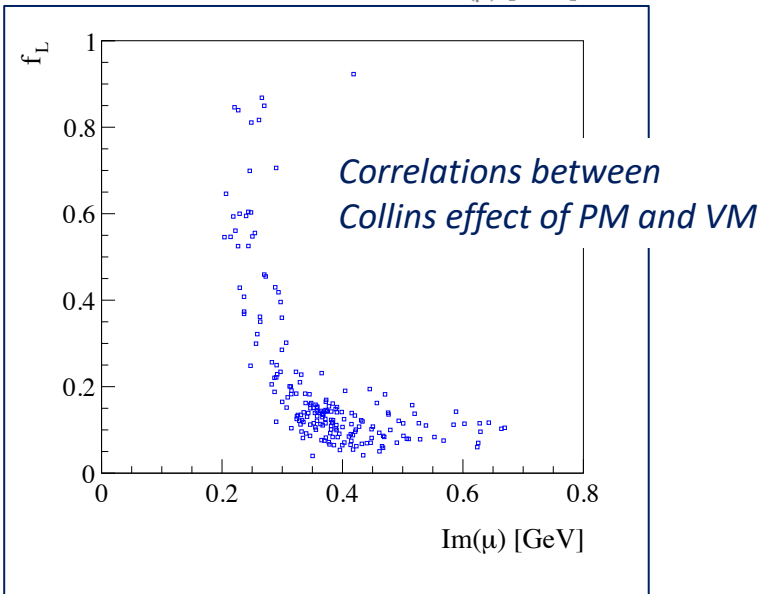
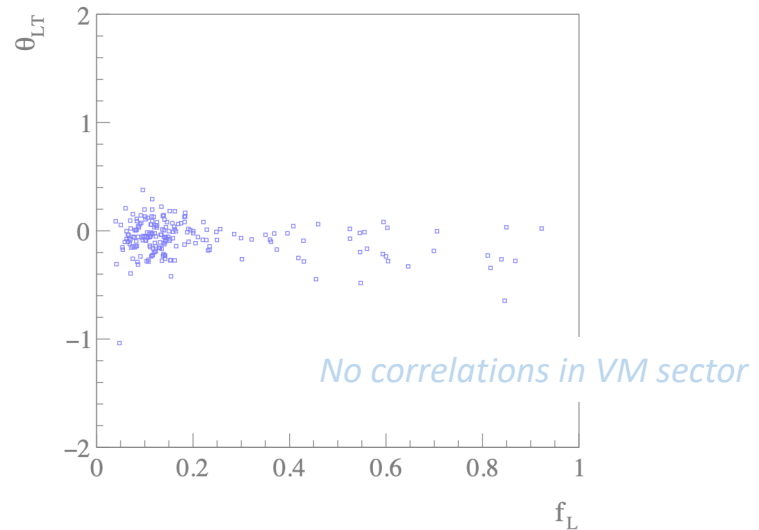
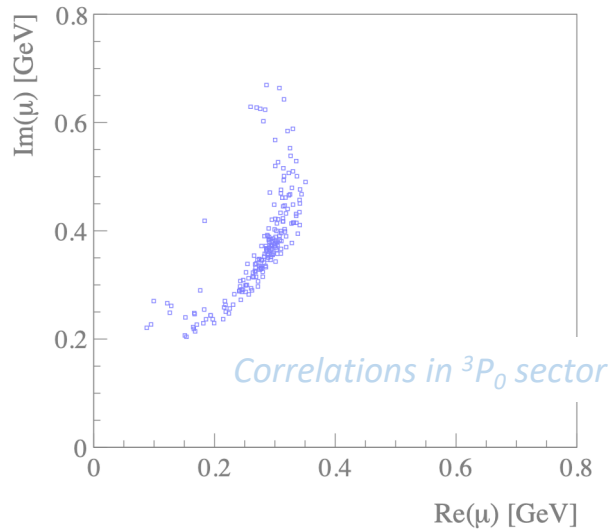
Correlations between free parameters from replica



Correlations between free parameters from replica



Correlations between free parameters from replica



Other spin effect

FFs: $q \rightarrow h + X$

		Quark polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Hadron Polarization	U	D_1 		$H_1^\perp$  - 

Collins FF

Collins, NPB 396, 161 (1993)



Other spin effect

FFs: $q \rightarrow h + X$

		Quark polarization		
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Hadron Polarization	U	D_1 		$H_1^\perp$  - 

Collins FF

Collins, NPB 396, 161 (1993)

Dihadron FFs: $q \rightarrow h_1 h_2 + X$

Quark polarization		
Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
D_1	$G_1^\perp$	$H_1^\perp, H_1^\times$

«interference» FF

→ used for extractions of transversity

Collins et al., NPB 420 (1994) 565–582

Jaffe et al., PRL 80 (1998) 1166–1169

Bianconi et al., PRD 62 (2000) 034008

Pitonyak et al., PRL 132, 011902 (2024)

→ Beam spin asymmetries in SIDIS



Other spin effect

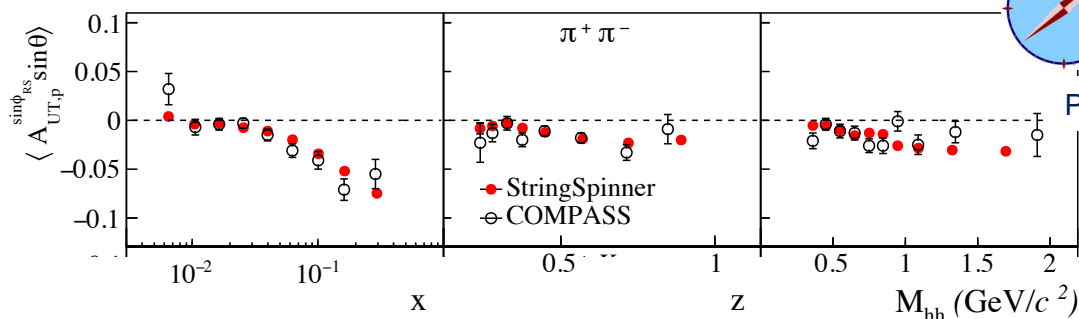
FFs: $q \rightarrow h + X$

		Quark polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Hadron Polarization	U	D_1 		$H_1^\perp$  - 

Collins FF

Collins, NPB 396, 161 (1993)

Dihadron asymmetry



PLB 845 (2020)

Dihadron FFs: $q \rightarrow h_1 h_2 + X$

reproduced by string+ 3P_0

Quark polarization		
Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
D_1	$G_1^\perp$	$H_1^\perp, H_1^*$

«interference» FF

→ used for extractions of transversity

Collins et al., NPB 420 (1994) 565–582

Jaffe et al., PRL 80 (1998) 1166–1169

Bianconi et al., PRD 62 (2000) 034008

Pitonyak et al., PRL 132, 011902 (2024)

→ Beam spin asymmetries in SIDIS



Other spin effect

FFs: $q \rightarrow h + X$

		Quark polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Hadron Polarization	U	D_1	“helicity” FF	
	L			
	T	$D_{1T}^\perp$	G_{1L}	$H_1^\perp$
			G_{1T}	H_1 $H_{1T}^\perp$

Collins FF

Collins, NPB 396, 161 (1993)

“transversity” FF

initially proposed as analyzer for transversity

Polarizing FF $\rightarrow$ hyperon spont. polarization

Mulders, Tangerman, NPB

484, 538-540 (1997)



Other spin effect

FFs: $q \rightarrow h + X$

		Quark polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Hadron Polarization	U	D_1		$H_1^\perp$ -
	L		G_{1L} -	$H_{1L}^\perp$ -
	T	$D_{1T}^\perp$ -	G_{1T} -	H_1 - $H_{1T}^\perp$ -

Collins FF

Collins, NPB 396, 161 (1993)

Requires a model for baryon production!

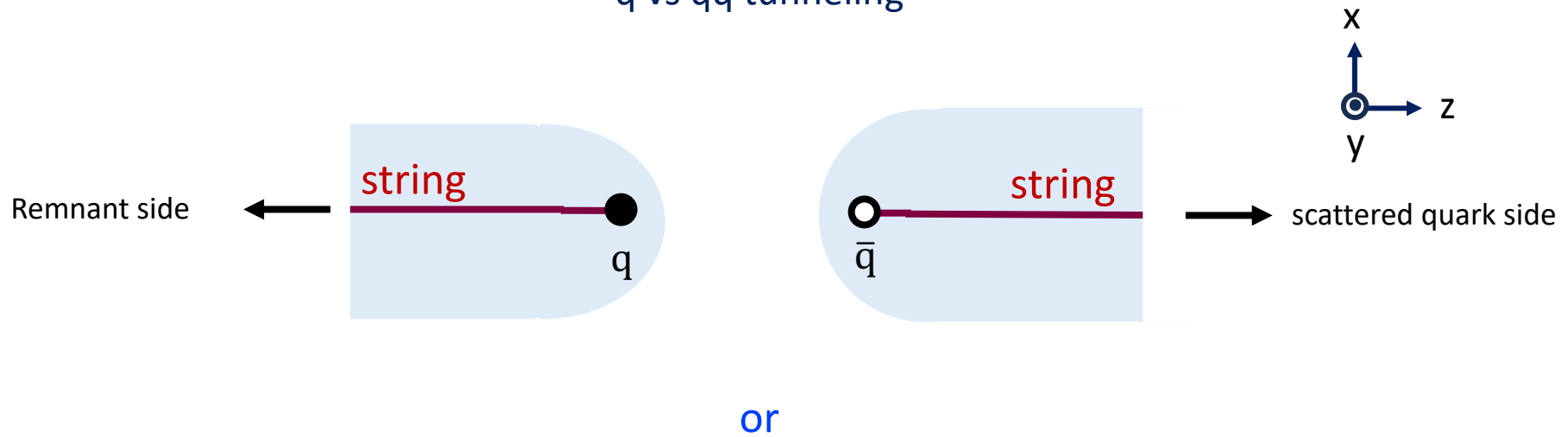


spin-1/2 baryons

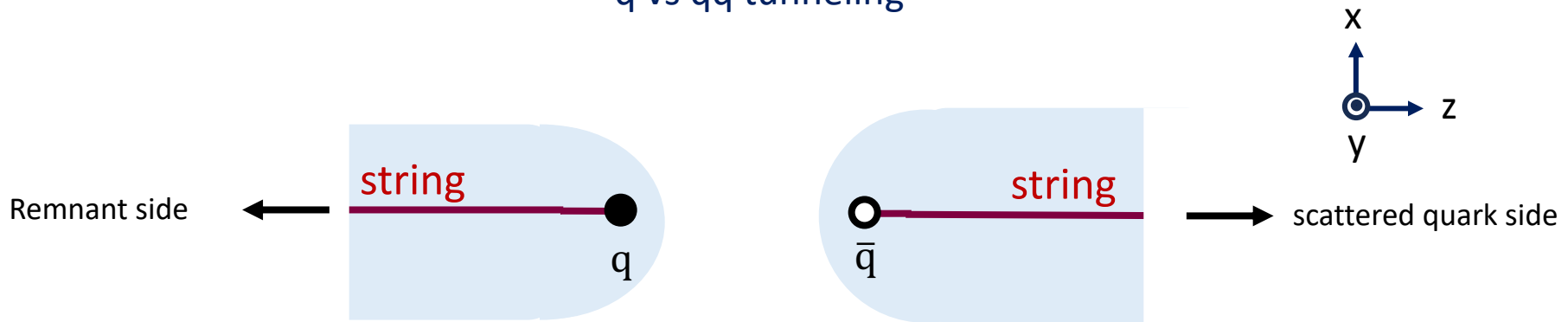
AK, X. Artru, Phys.Rev.D 113 (2026) 3, 034007



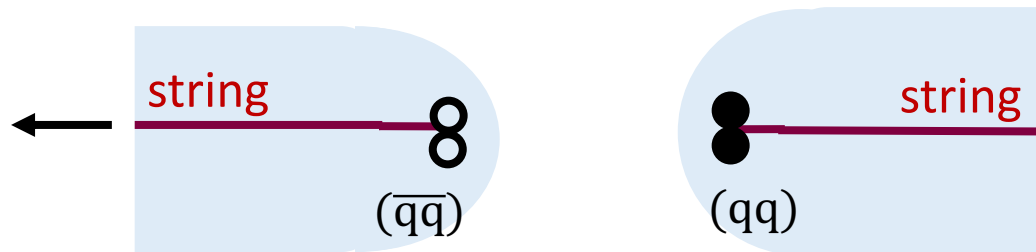
String breaking q vs qq tunneling



String breaking q vs qq tunneling



or



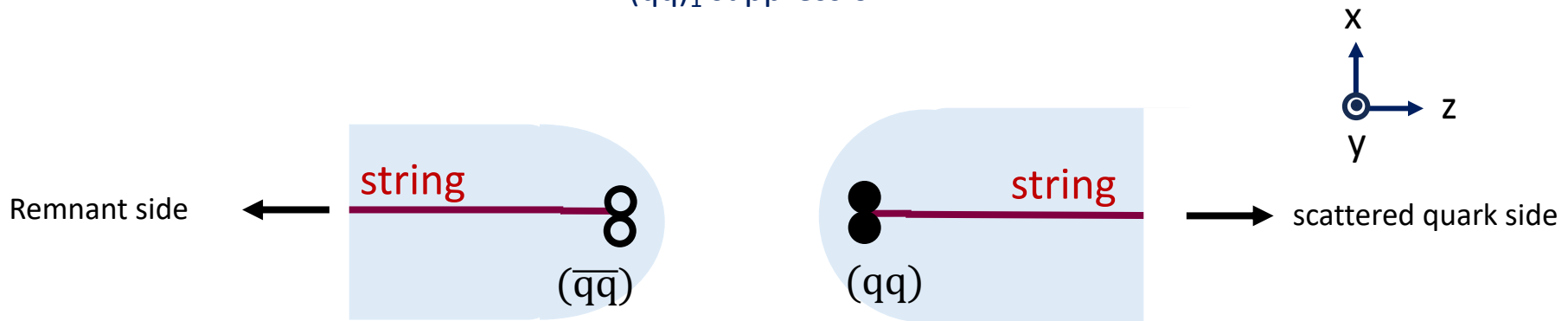
diquark tunneling suppressed w.r.t quark tunneling

$$\frac{P_{qq}}{P_q} \approx 0.1$$

Pythia 8



String breaking (qq)₁ suppression



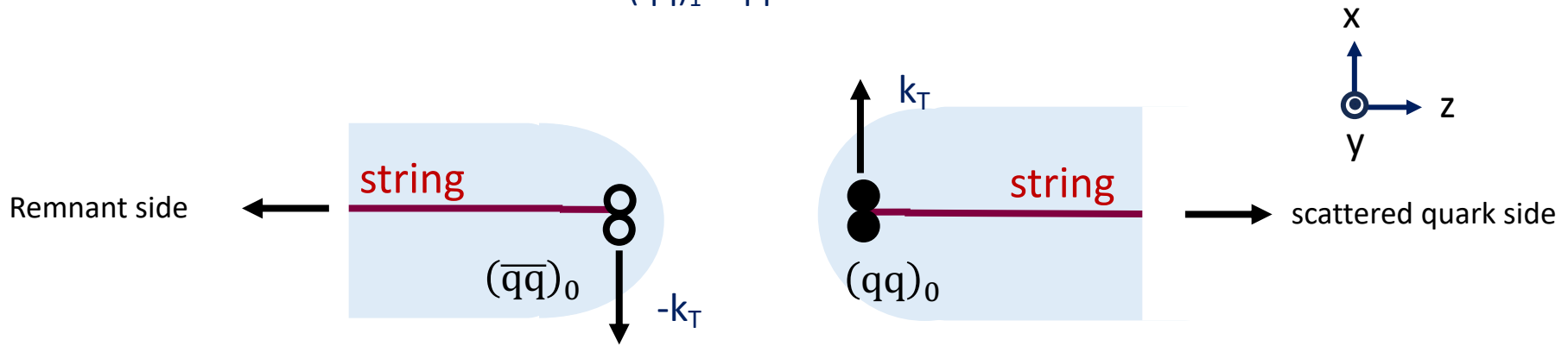
Suppression of spin-1 diquarks

$$\frac{P_{(qq)_1}}{P_{(qq)_0}} \approx 0.03$$

Pythia 8



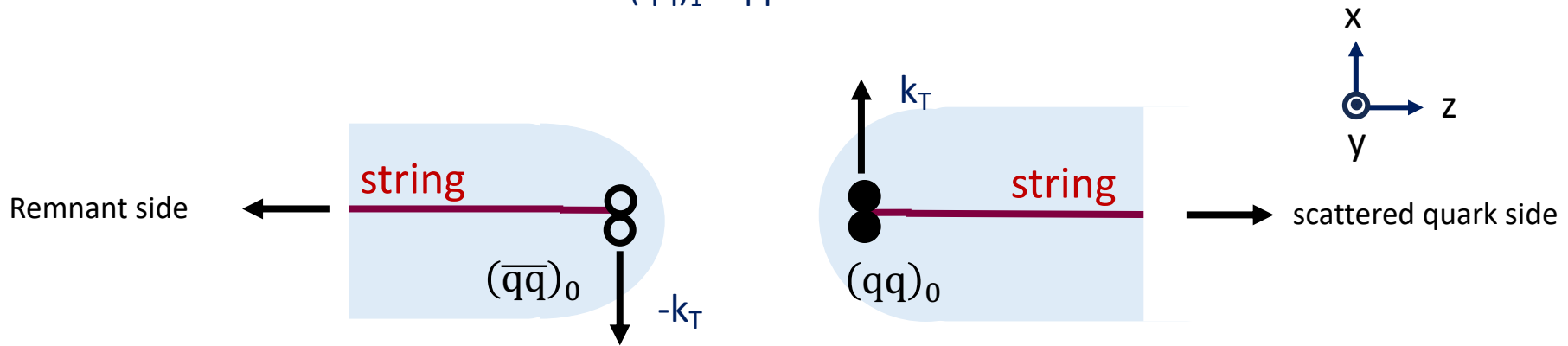
String breaking (qq)₁ suppression



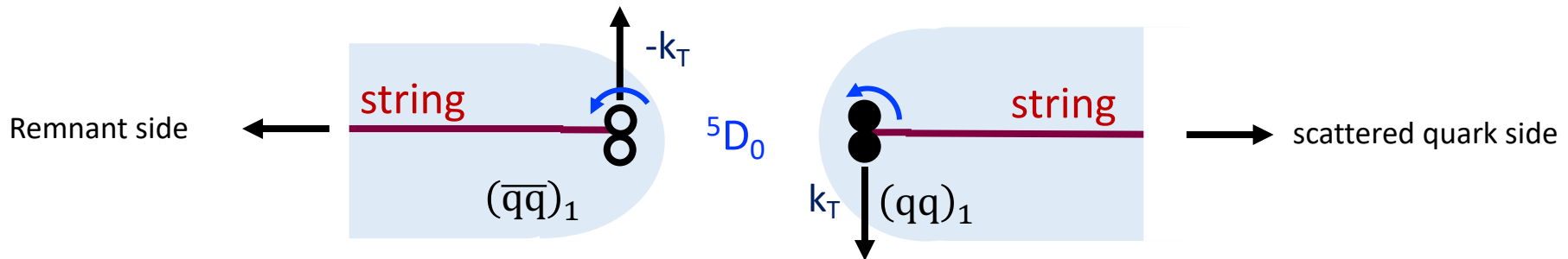
Local conservation of k_T for $(qq)_0$



String breaking (qq)₁ suppression



Local conservation of k_T for $(qq)_0$



Local conservation of angular momentum for $(qq)_1$

5D_0 mechanism

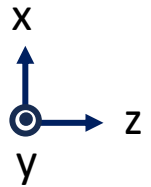
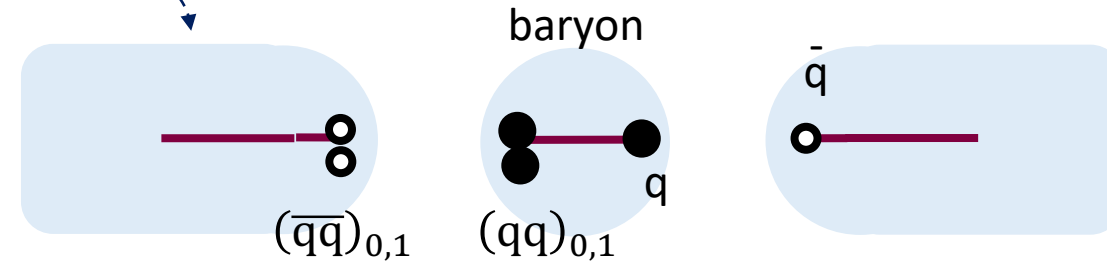
3x3 propagator depending on complex mass μ_{qq}

see AK, X. Artru, PRD 113 (2026) 3, 034007



Baryon production *two successive string breakings*

Anti-baryon
will form here

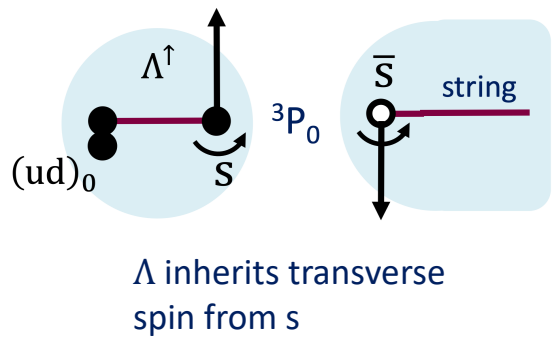
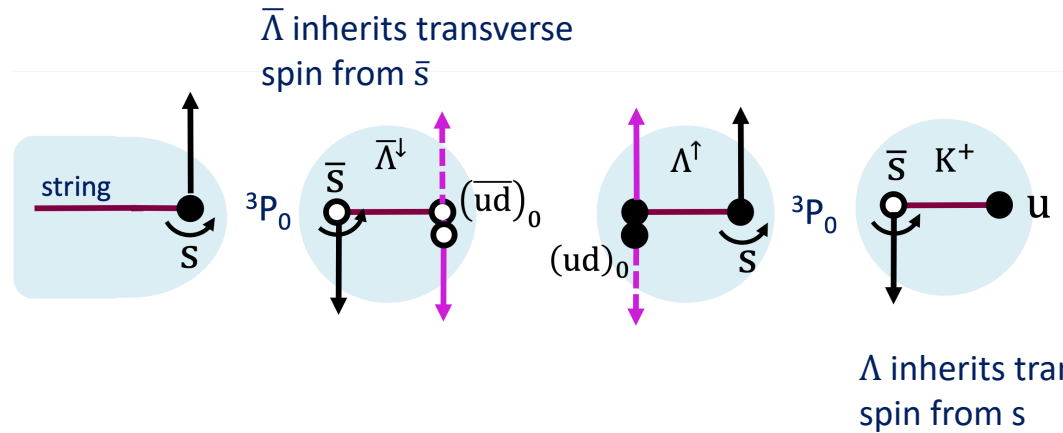


Coupling with $(qq)_0$
 $\Gamma_B = 1$

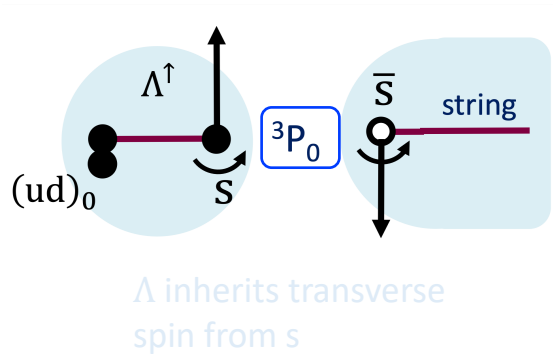
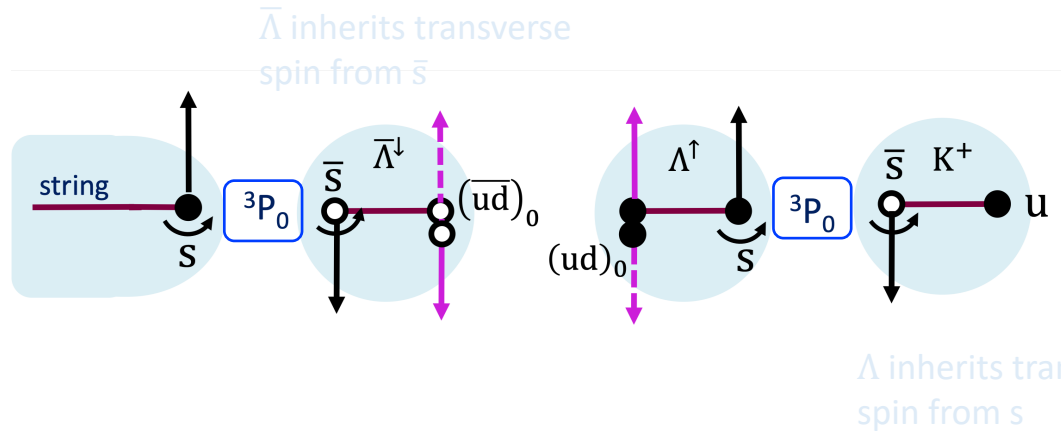
Coupling with [polarization vector of] $(qq)_1$
 $\Gamma_B = \boldsymbol{\sigma} \cdot \mathbf{V}_{qq}$

No new free parameters

Hyperon production



Hyperon production

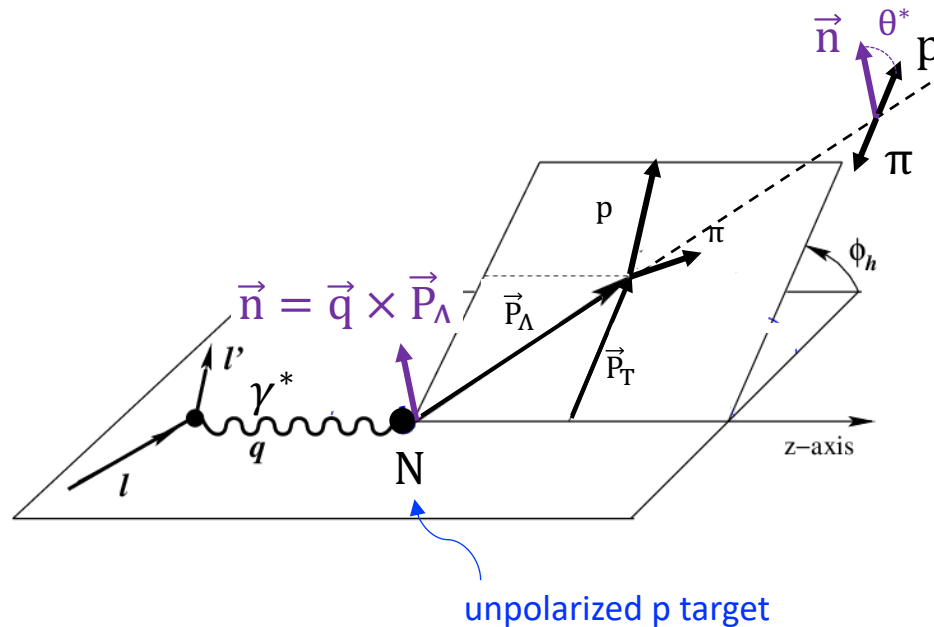


3P_0 breakings $\rightarrow$ spin parameters fixed from Collins effect!

All this implemented in StringSpinner for DIS... (not public yet)

Spontaneous polarization of Λ and $\bar{\Lambda}$ in DIS

with G. Gustafson and L. Lönnblad

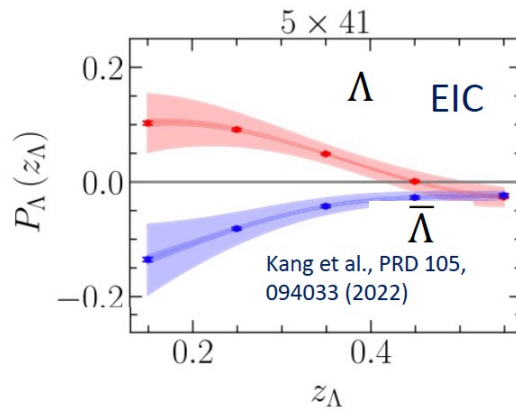
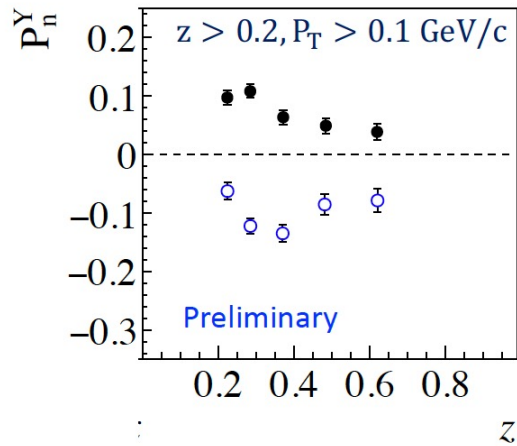


Extracted from the angular distribution of the decay proton in the Λ rest frame

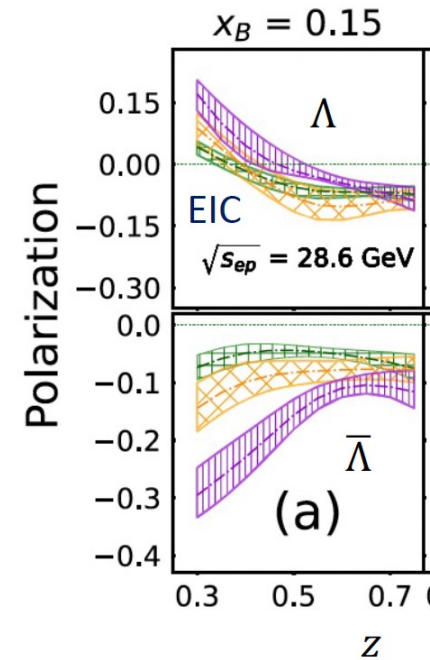
$$\frac{dN_p}{d\cos\theta^*} \propto 1 + \alpha_\Lambda P_n^\Lambda \cos\theta^*$$

Spontaneous polarization of $\Lambda, \bar{\Lambda}$

«current fragmentation»



D'Alesio et al.,
PRD 108, 094004 (2023)

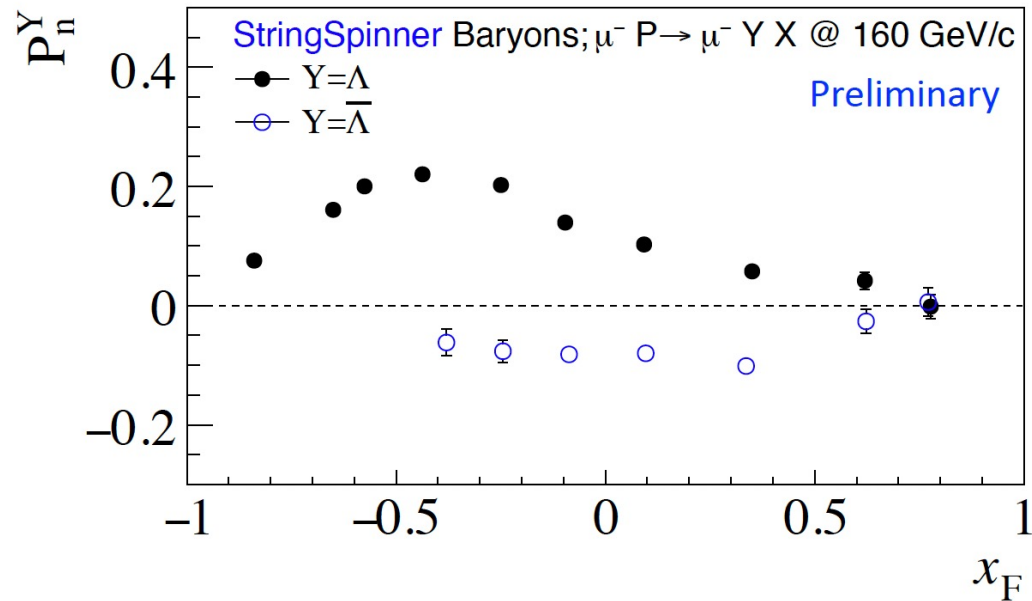


Similar values to recent extractions from e^+e^- data



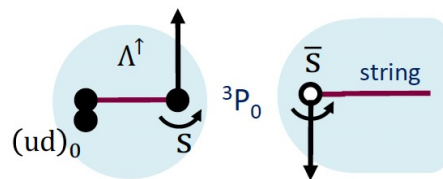
Spontaneous polarization of $\Lambda, \bar{\Lambda}$

«target fragmentation»



Large polarization predicted for Λ in target fragmentation

see also Andersson, Gustafson, Ingelman, PRL 85, 417 (1979)



Large Fracture Function $\hat{M}_T^h$

Anselmino, Barone, Kotzinian,
PLB 699 (2011) 108-118



Conclusions

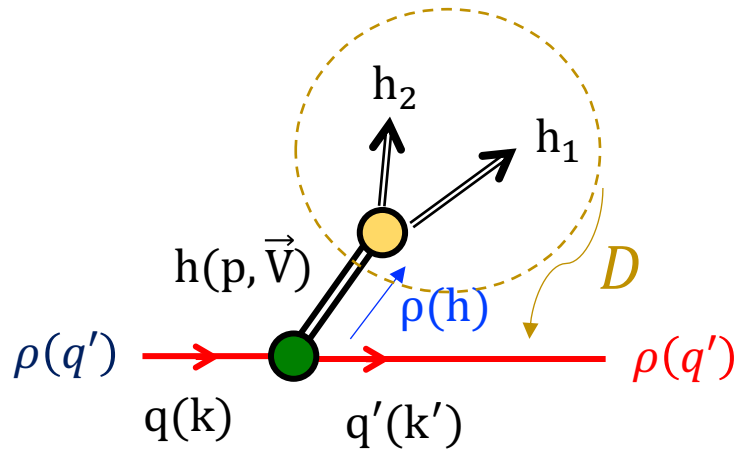
- ❑ We are building a model of hadronization with spin: string+ 3P_0 interfaced to Pythia via StringSpinner
- ❑ So far promising
Collins effect but also
dihadron asymmetries, beam-spin asymmetries, spontaneous polarization,...
- ❑ The model can be used to systematically include spin in Pythia
- ❑ Ongoing developments
implementation of spin effects for baryons
tuning
application of string+ 3P_0 to strings with gluons/connection with the PS



Backup

The rules of the string+ 3P_0 model: VM meson emission

AK, Artru, Martin, PRD 104, 114038 (2021)



Emission probability

$$dP(q \rightarrow h + q') = \text{Tr} \sum_{\vec{V}} T_{q',h,q}^{\vec{V}} \rho(q) T_{q',h,q}^{\vec{V}\dagger} dZZ^{-1} d^2\mathbf{p}_T$$

Density matrix of VM

$$\rho_{ab}(h) = T_{q',h,q}^a \rho(q) T_{q',h,q}^{b\dagger}$$

Decay of the VM

$$dN/d\Omega \propto M_{\text{decay}}(h \rightarrow h_1 h_2 \dots) \rho(h) M_{\text{decay}}^\dagger(h \rightarrow h_1 h_2 \dots)$$

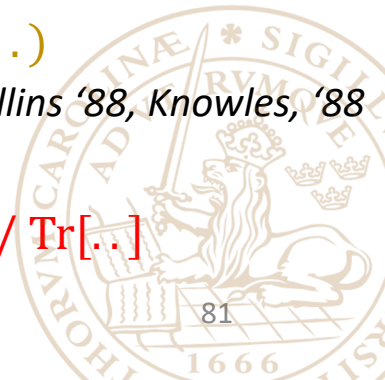
Decay matrix

$$D = M_{\text{decay}}(h \rightarrow h_1 h_2 \dots) M_{\text{decay}}^\dagger(h \rightarrow h_1 h_2 \dots)$$

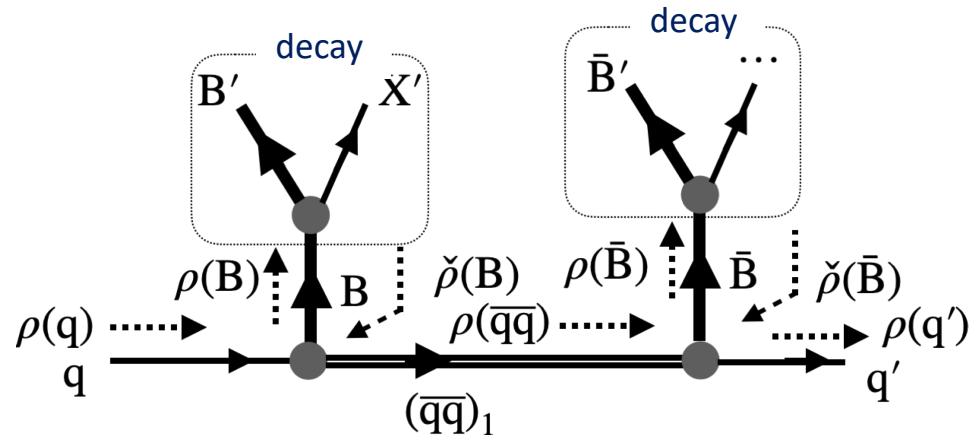
Collins '88, Knowles, '88

Propagation of quark spin

$$\rho(q') = T_{q',h,q}^a \rho(q) T_{q',h,q}^{b\dagger} D_{ba}(p_1, p_2, \dots) / \text{Tr}[\dots]$$



Spin propagation along the fragmentation chain



Recursive algorithm starting e.g. with a quark q

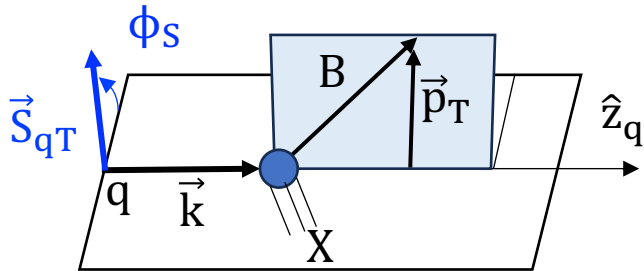
- i. chose if to break the string via $q\bar{q}$ or $qq - \bar{q}\bar{q}$ using P_{qq}/P_q
- ii. if qq , decide if $(qq)_1$ or $(qq)_0$ according to $P_{(qq)_1}/P_{(qq)_0}$
- iii. draw B according to the splitting function for $q \rightarrow B + (\bar{q}\bar{q})$
- iv. Evaluate $\rho(B)$ and decay $B \rightarrow$ come back with acceptance matrix $\check{\rho}(B)$
- v. Draw $\bar{B}$ according to the splitting function for $\bar{q}\bar{q} \rightarrow \bar{B} + q'$
- vi. Decay $\bar{B}$ etc.

Note: only $B\bar{B}$ configurations, $BM_1.. \bar{B}$ for future work
no interference effects

Introduction: spin effects in baryon production

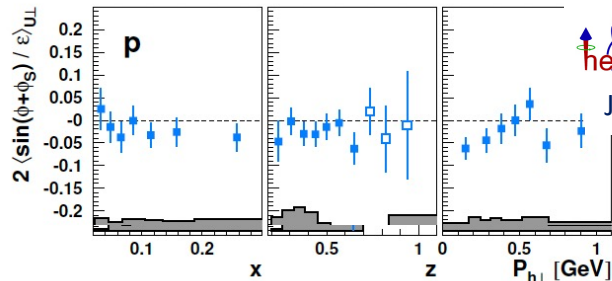
Production of spin 1/2 baryons, many interesting effects

Collins effect Collins, NPB 396, 161 (1993)



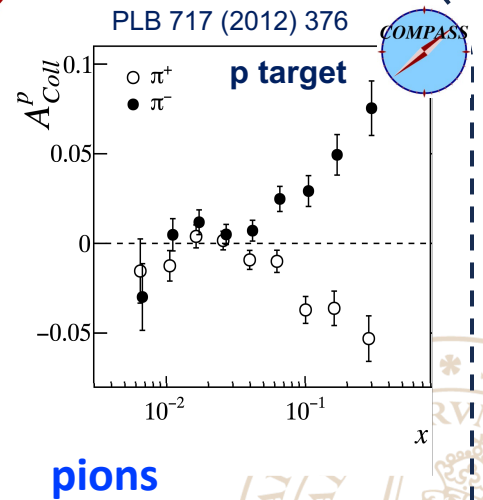
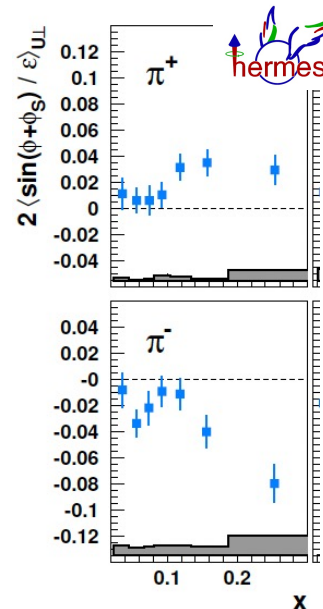
$$D_{q\uparrow \rightarrow B+X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + \frac{H_{1q}^{B\perp}(z, p_T^2)}{zM_B} \vec{S}_{qT} \cdot \hat{z}_q \times \vec{p}_T$$

Collins FF T polarized q
unpolarized B



hermes
JHEP12 (2020) 010
p target

- Limited data
- Indication for a small effect (opposite to π^+)?



Introduction: spin effects in baryon production

□ Production of spin 1/2 baryons, many interesting effects

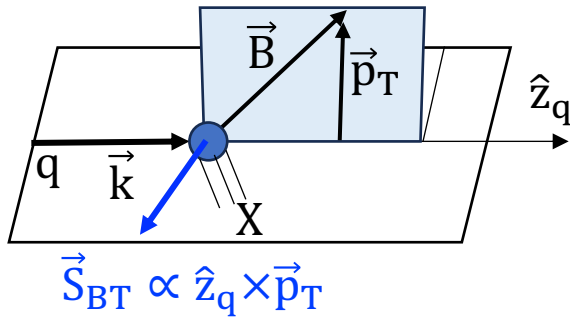
□ Collins effect

□ Spontaneous polarization of hyperons

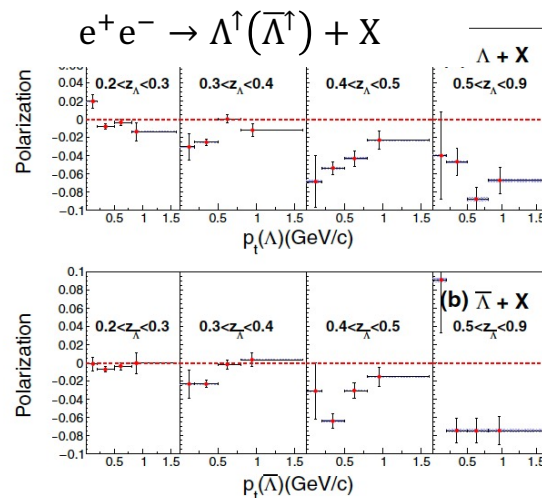
Mulders, Tangerman, NPB 484, 538-540 (1997)

Anselmino et al., PRD 63 054029 (2001)

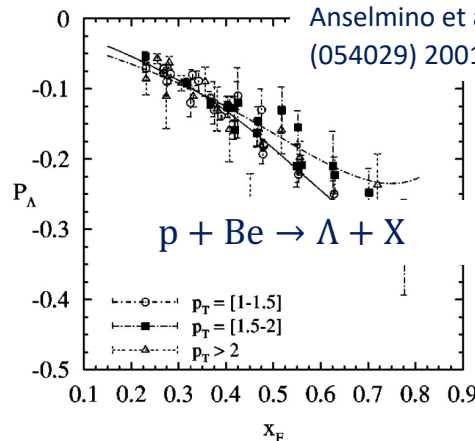
Polarizing FF unpolarized q
T polarized B



$$D_{q \rightarrow B^{\uparrow} + X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + \frac{D_{1q,T}^{B\perp}(z, p_T^2)}{zM_B} \vec{S}_{BT} \cdot \hat{z}_q \times \vec{p}_T$$



PRL 122, 042001 (2019)



Anselmino et al., PRD63
(054029) 2001

Sizeable effects both in hadronic collisions and e^+e^- annihilation

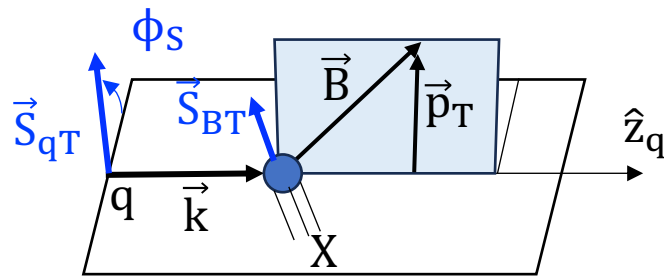
Many phenomenological studies

- Anselmino et al., PRD63 (054029) 2001
- D'Alesio, Murgia, Zaccheddu, PRD 102, 054001 (2020)
- D'Alesio, Gamberg, Murgia, Zaccheddu, JHEP12 (2022) 074; PRD 108, 094004 (2023); PLB 851 (2024) 138552
- Kang et al., PRD 105, 094303 (2022)

Introduction: spin effects in baryon production

□ Production of spin 1/2 baryons, many interesting effects

- Collins effect
- Spontaneous polarization of hyperons
- **Transverse spin transfer to hyperons**



«transversity» FF T polarized q
T polarized B

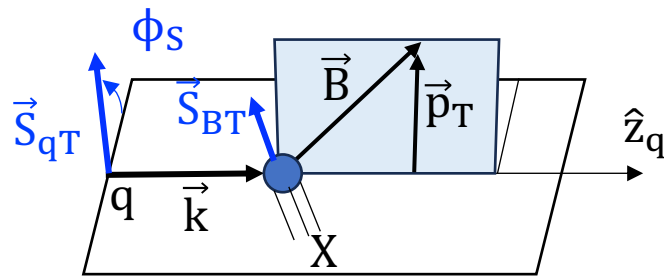
$$D_{q^\uparrow \rightarrow B^\uparrow + X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + H_{1q,T}^{B\perp}(z, p_T^2) \vec{S}_{BT} \cdot \vec{S}_{qT}$$



Introduction: spin effects in baryon production

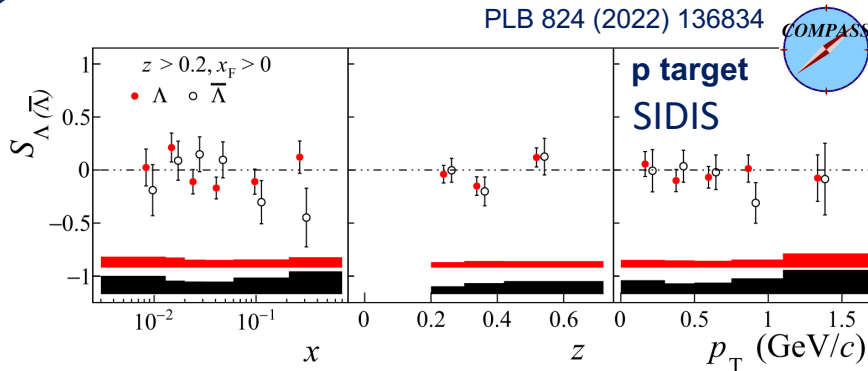
Production of spin 1/2 baryons, many interesting effects

- Collins effect
- Spontaneous polarization of hyperons
- Transverse spin transfer to hyperons**

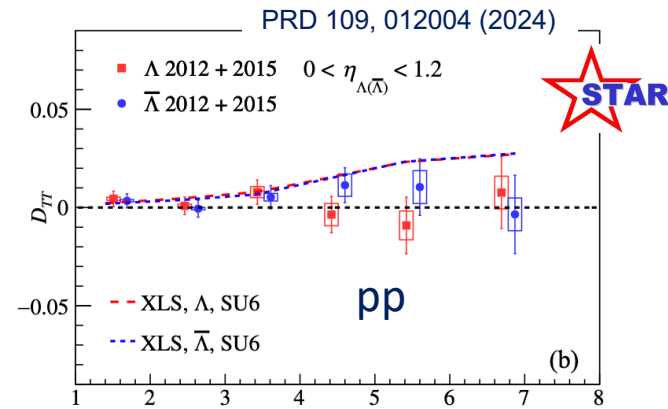


«transversity» FF
T polarized q
T polarized B

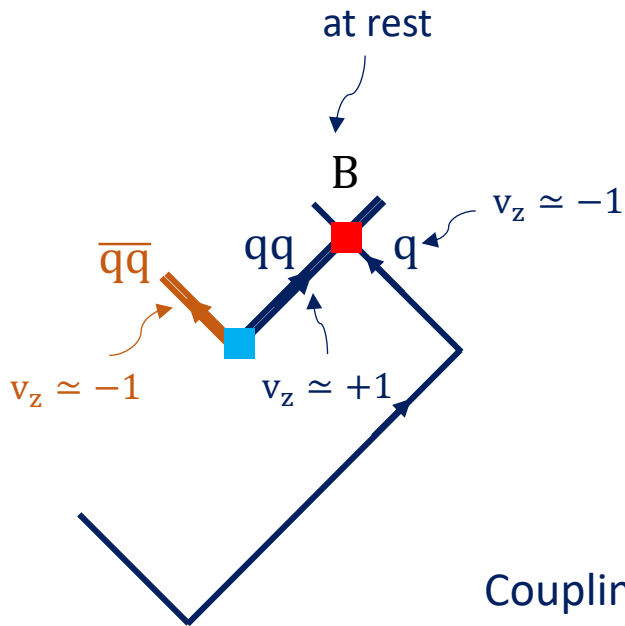
$$D_{q^\uparrow \rightarrow B^\uparrow + X}(z, \vec{p}_T) = D_{1q}^B(z, p_T^2) + H_{1q,T}^{B\perp}(z, p_T^2) \vec{S}_{BT} \cdot \vec{S}_{qT}$$



The spin transfer to $\Lambda, \bar{\Lambda}$ is small?



Splitting amplitude for $q \rightarrow B + (\overline{q}q)$



□ Propagation of PV diquark $(qq)_1$

$$\chi^\dagger(\mathbf{S}_B) T_{(\overline{q}q)_1, B, q} \chi(\mathbf{S}_q) = [F_{(\overline{q}q)_1, B, q}^{LM}]^{\frac{1}{2}} \times$$

$$\times \phi_{\overline{q}q, a}^\dagger \Delta_{qq, ab}(\mathbf{k}'_T) \chi^\dagger(S_B) \Gamma_{B, b} \chi(S_q)$$

↓

σ_b
 $b = x, y, L$

Coupling for $q \rightarrow B + qq$ from reduction of covariant amplitude

B at rest with boosts

$$B_T^{-1}(p_T/\varepsilon_B^2) B_L^{-1}(p_z/M_B)$$

required by LR symmetry

$$\bar{u}(B) \gamma_5 \gamma^\mu \varepsilon_{qq, \mu}^* u(q) \rightarrow \phi_{qq, b}^\dagger \chi^\dagger(S_B) \sigma_b \chi(S_q)$$

Covariant coupling
Bacchetta, Conti, Radici,
PRD78, 074010

reduced coupling

↓

$$\phi_{qq} = (\varepsilon_T, \phi_L)$$

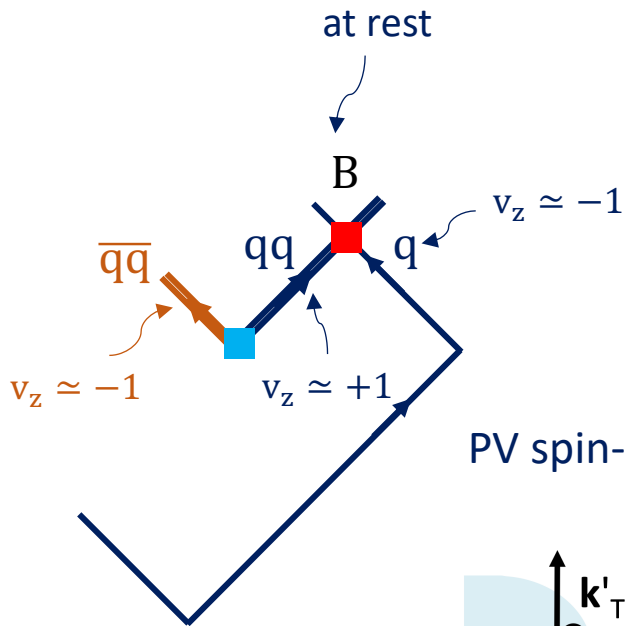
reduced qq pol. vector
with $v_z \simeq +1$
 $\phi_L = 2\varepsilon_{qq}^z$



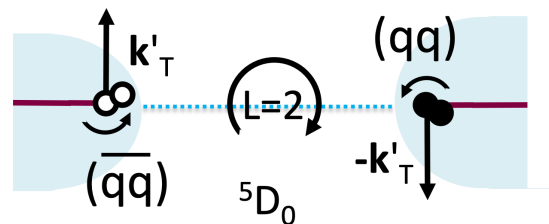
Splitting amplitude for $q \rightarrow B + (\bar{q}q)$

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PV spin-1 diquark propagator



Vacuum quantum numbers

$$J^{PC} = 0^{++} \rightarrow \vec{L} = -(\vec{S}_{qq} + \vec{S}_{\bar{q}q}) \\ P = (-)^L, \quad C = (-)^{L+S}$$

Hence

$$L = S = 0 \rightarrow {}^1S_0 \text{ state}$$

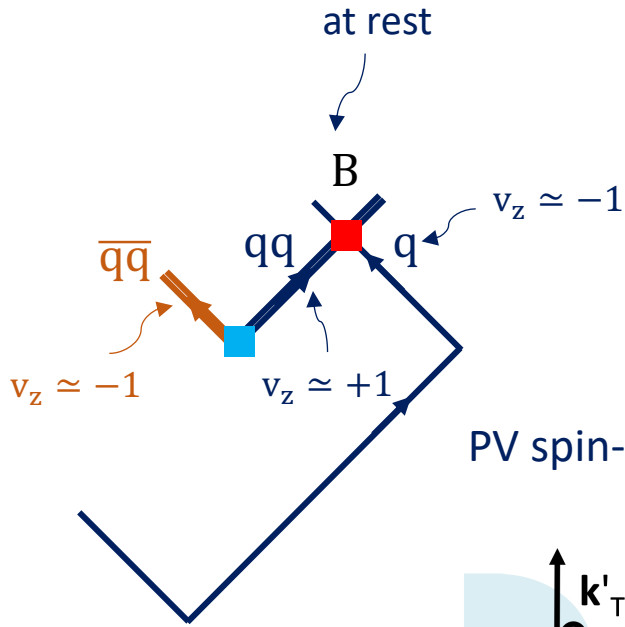
$$L = S = 2 \rightarrow {}^5D_0 \text{ state}$$

Schwinger mechanism $\langle L \rangle \sim 1.3$

$$m_{qq} \approx 0.5 \text{ GeV}$$

→ mainly 5D_0 state

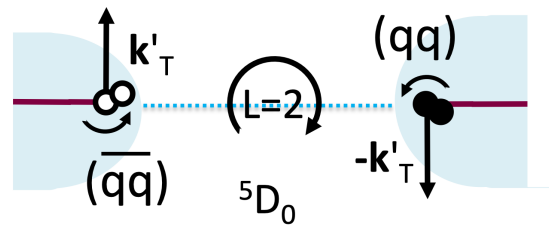
Splitting amplitude for $q \rightarrow B + (\bar{q}q)$



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PV spin-1 diquark propagator



Vacuum quantum numbers

$$J^{PC} = 0^{++} \rightarrow \vec{L} = -(\vec{S}_{qq} + \vec{S}_{\bar{q}q}) \\ P = (-)^L, \quad C = (-)^{L+S}$$

Hence

$$L = S = 0 \rightarrow {}^1S_0 \text{ state}$$

$$L = S = 2 \rightarrow {}^5D_0 \text{ state}$$

Schwinger mechanism $\langle L \rangle \sim 1.3$

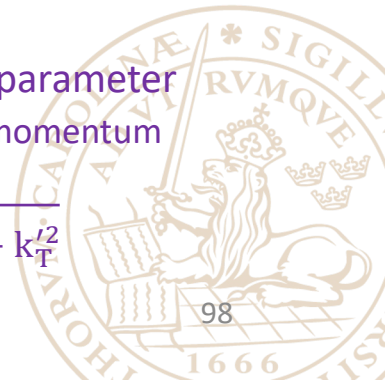
$$m_{qq} \approx 0.5 \text{ GeV}$$

$\rightarrow$ mainly 5D_0 state

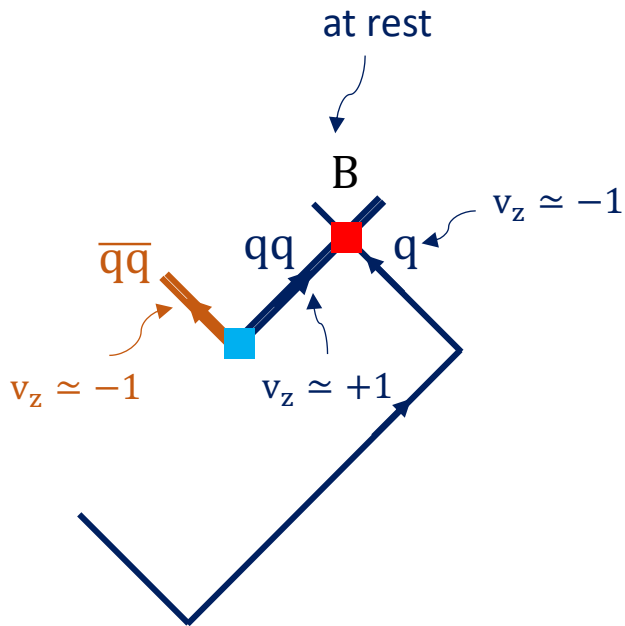
κ_{qq} new complex parameter
inspired by diquark momentum
during tunneling

$$k_z \approx i \sqrt{m_{qq}^2 + \mathbf{k}'_T{}^2}$$

$$\Delta_{qq}(\vec{k}'_T) = \begin{pmatrix} k_x'^2 + m_{qq}^2/3 & k'_x k'_y & \kappa_{qq} k'_x \\ k'_x k'_y & k_y'^2 + m_{qq}^2/3 & \kappa_{qq} k'_y \\ \kappa_{qq} k'_x & \kappa_{qq} k'_y & \kappa_{qq}^2 + m_{qq}^2/3 \end{pmatrix}$$



Splitting amplitude for $q \rightarrow B + (\bar{q}q)$

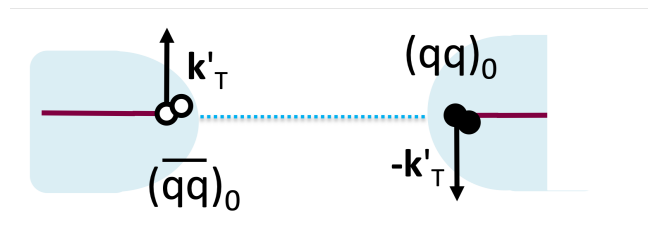


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$$\chi^\dagger(\mathbf{S}_B) T_{(\bar{q}q)_1, B, q} \chi(\mathbf{S}_q) = [F_{(\bar{q}q)_1, B, q}^{LM}]^{\frac{1}{2}} \times \\ \times \phi_{\bar{q}q, a}^\dagger \Delta_{qq, ab}(\mathbf{k}'_T) \chi^\dagger(\mathbf{S}_B) \Gamma_{B, b} \chi(\mathbf{S}_q)$$

□ Propagation of scalar diquark $(qq)_0$

$$\chi^\dagger(\mathbf{S}_B) T_{(\bar{q}q)_0, B, q} \chi(\mathbf{S}_q) = [F_{(\bar{q}q)_0, B, q}^{LM}]^{\frac{1}{2}} \times \\ \times \phi_{(qq)_0}^\dagger \chi^\dagger(\mathbf{S}_B) \Gamma_{B, 0} \chi(\mathbf{S}_q)$$



no spin- $\mathbf{k}'_T$ correlation at string breaking

c-number

$1_{2 \times 2}$

Reduction of the covariant amplitude

$\bar{u}(B) 1_{4 \times 4} u(q)$