

Lattice QCD for precision physics

Nikolai Husung

Introduction to lattice QCD

Continuum limit / extrapolation

Symanzik Effective Field Theory (SymEFT)

Summary and outlook

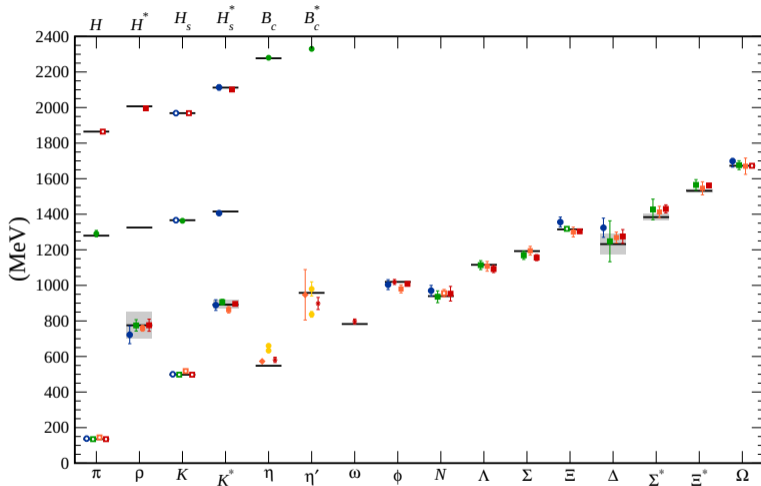
Introduction to lattice QCD

Goal: Make *non-perturbative* predictions for physical observables in (Euclidean) QCD

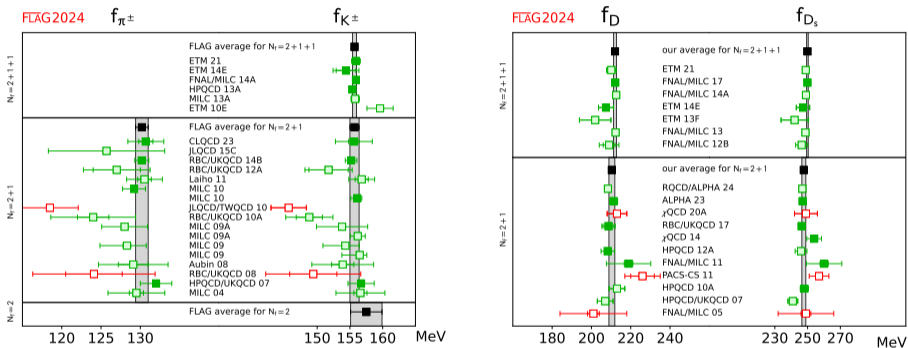
$$S_{\text{QCD}} = \int d^4x \left\{ -\frac{1}{2g_0^2} \text{tr}(F_{\mu\nu}F_{\mu\nu}) + \bar{\Psi}(\gamma_\mu D_\mu + M)\Psi \right\},$$

where $F_{\mu\nu} = [D_\mu, D_\nu]$ and $D_\mu = \partial_\mu + A_\mu^a T^a$, $T^a \in \mathfrak{su}(N)$.

Observables: Hadron spectrum [Kronfeld, 2012]



Observables: Pseudoscalar decay constant [Aoki et al., 2026]



$$ip_\mu f_X = \langle 0 | A_\mu | X(p) \rangle$$

Free action

Continuum

$$\int d^4x \bar{\Psi} \gamma_\mu \partial_\mu \Psi$$

\leftrightarrow

Lattice

$$a^4 \sum_x \bar{\Psi}(x) \gamma_\mu \frac{\Psi(x + a\hat{\mu}) - \Psi(x - a\hat{\mu})}{2a}$$

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**Gauge
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\Downarrow

$$\Psi(x) \rightarrow \Omega(x)\Psi(x)$$

\Downarrow

Continuum \leftrightarrow **Lattice****Free action**

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$$\Psi(x) \rightarrow \Omega(x) \Psi(x)$$

 \Downarrow **Covariant derivative**

$$D_\mu = \partial_\mu + A_\mu$$

$$A_\mu(x) \rightarrow \Omega(x) D_\mu \Omega^\dagger(x)$$

$$a\nabla_\mu \Psi(x) = U(x, \mu) \Psi(x + a\hat{\mu}) - \Psi(x)$$

$$a\nabla_\mu^* \Psi(x) = \Psi(x) - U^\dagger(x - a\hat{\mu}, \mu) \Psi(x - a\hat{\mu})$$

$$U(x, \mu) \rightarrow \Omega(x) U(x, \mu) \Omega^\dagger(x + a\hat{\mu})$$

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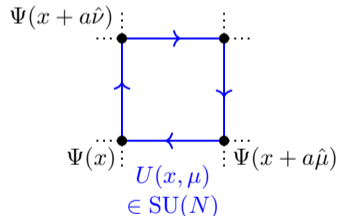
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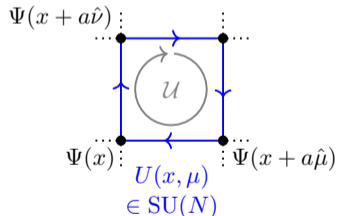
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$$S_{\text{lattice}} = \frac{2}{g_0^2} \sum_x \text{Re tr}(1 - \mathcal{U}(x)) + a^4 \sum_x [\bar{\Psi} \hat{D} \Psi](x)$$

where \hat{D} is a discretised lattice Dirac operator.



Simulation

Evaluate high-dimensional path integral via Markov-Chain Monte-Carlo at fixed g_0

$$Z = \int \mathcal{D}U \underbrace{\int \mathcal{D}\bar{\Psi} \mathcal{D}\Psi \exp(-S_{\text{lattice}}[U, \bar{\Psi}, \Psi])}_{\text{probability distribution}}$$

Simulation

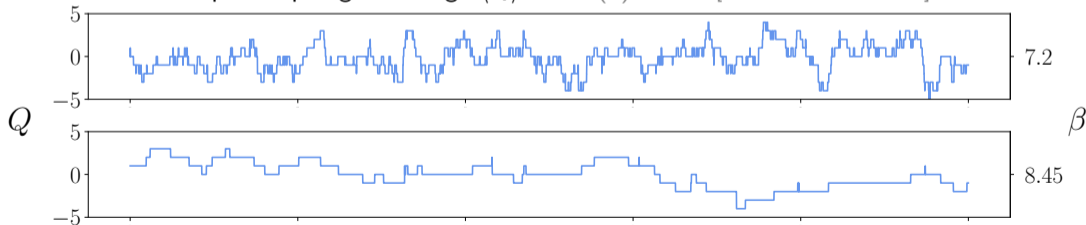
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Various sources of errors, e.g.,

- **Statistical** $\propto 1/\sqrt{\#\text{MC samples}}$, but Markov-Chain introduces *autocorrelation*.

Example: Topological charge $\langle Q \rangle = 0$ U(1) model [Albandea et al., 2021]



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 $Lm_\pi \gg 4$ ensures (exponential) suppression of finite volume interactions.

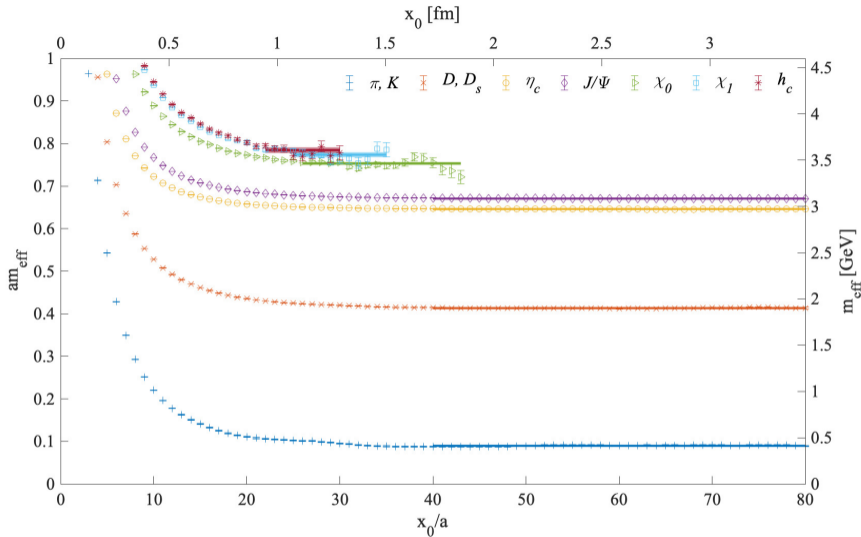
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- **Excited-state contaminations**



[Höllwieser et al., 2020]

Ensemble B

$N_f = 3 + 1$ QCD

Wilson quarks

144×48^3 lattice

$\beta = 3.43$

$a \approx 0.0428$ fm

open boundaries in time

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- Various **extrapolations** and **interpolations**, e.g., $m_\pi \rightarrow m_\pi^{\text{phys}}$, fixed physical distances, ... $a \searrow 0$ All simulations are performed with regulator in place, $a > 0$.

Continuum limit / extrapolation

Lattice discretisations break symmetries

Spacetime: By construction the lattice regularisation breaks $O(4)$ symmetry down to discrete rotations. \rightsquigarrow Only 90° rotations persist on a hypercubic lattice.

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Chiral: Naively one ends up with 2^4 flavours (doublers)

$$a\tilde{D}_{\text{naive}}^{\text{free}}(p) = \sum_{\mu} \gamma_{\mu} \sin(ap_{\mu}) \stackrel{!}{=} 0, \quad p \in]-\pi/a, \pi/a]^4$$

No-go theorem [Nielsen, Ninomiya, 1981]: For any local lattice Dirac operator without doublers

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\Rightarrow **More involved renormalisation of composite fields:
energy-momentum tensor, 4-quark operators, ...**

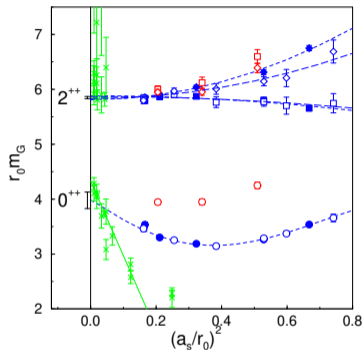
Various formulations for the discretised lattice Dirac operator, e.g.,

Quark action	Flavour symmetries	Comment
✗ Naive [Wilson, 1974]	$SU(N_f)_L \times SU(N_f)_R \times U(1)_B$	Doublers $\Rightarrow N_f = 16n$
✓ Wilson [Wilson, 1975]	$SU(N_f)_V \times U(1)_B$	additive mass renormalisation
✓ Ginsparg-Wilson [Ginsparg, Wilson, 1982]	$SU(N_f)_L \times SU(N_f)_R \times U(1)_B$	lattice chiral symmetry [Lüscher, 1998]
✗ Staggered [Kogut, Susskind, 1975]	Shift $\times U(1)_B \times U(1)_A$	$a > 0$: Flavour-changing. Doublers $\Rightarrow N_f = 4n$
✗ Rooted Staggered [Gottlieb et al., 1987]	$SU(N_f)_V \times U(1)_B \times U(1)_A$	$a > 0$: Locality? Universality class?
✓	: proven to be perturbatively renormalisable [Reisz, 1989; Reisz, Rothe, 2000]	

Simulations at different lattice spacings

Universality: Gaussian fixed-point of lattice QCD corresponds to the continuum limit.

⇒ All lattice formulations yield continuum QCD if Ward identities of broken symmetries are restored by renormalisation. (conjecture!)



Plot taken from [Weisz, 2010] with data from [Morningstar, Peardon, 1997].

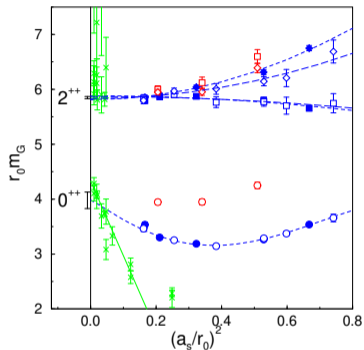
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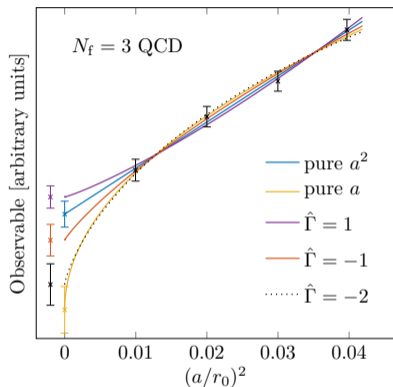
Problem: Numerical results are only known at discrete values of the lattice spacing $a > 0$. Typically $a \in [0.04, 0.11]$ fm.

Eventually we are interested in the continuum value!



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Lattice artifacts in lattice QCD

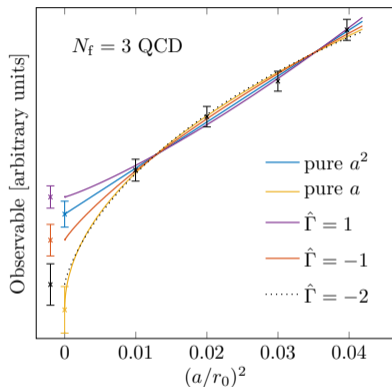


In an asymptotically free theory, like QCD, leading lattice artifacts are of the form (up to factors of $\log \bar{g}(1/a)$)

$$\frac{\mathcal{P}(a)}{\mathcal{P}(0)} = 1 + a^{n_{\min}} \sum_i [\bar{g}^2(1/a)]^{\hat{\Gamma}_i} c_i + O(a^{n_{\min}+1}, a^{n_{\min}} \bar{g}^{2\hat{\Gamma}_i+2}(1/a), \dots)$$

$\hat{\Gamma}_i$ can be negative and distinctly nonzero
⇒ impact on convergence.

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$\hat{\Gamma}_i$ can be negative and distinctly nonzero
 \Rightarrow impact on convergence.

Warning example: 2d $O(3)$ non-linear sigma model $\min \hat{\Gamma}_i = -3$ [Balog et al., 2009, 2010]
 \Rightarrow Compute $\hat{\Gamma}_i$ in QCD to gain better control over continuum extrapolation.

Symanzik Effective Field Theory (SymEFT)

Symanzik Effective Theory (SymEFT) [Symanzik, 1980, 1981, 1983a,b]

Lattice action:
$$\Delta S = a^{n_{\min}} \int d^4x \sum_i \bar{\omega}_i(g_0) Q_i(x) + \dots, \quad Q = \mathcal{O} \cup \mathcal{E}$$

Discretised local field:
$$\Delta J(x) = a^{n_{\min}} \sum_i \bar{\nu}_i(g_0) J_i(x) + \dots$$

\mathcal{O}_i : on-shell basis (sufficient for spectral quantities) [Lüscher et al., 1996]

\mathcal{E}_i : EOM-vanishing basis (only needs to be tracked for local fields)

J_i : Lattice artifacts of the specific discretisation of the local field.

All operator bases are constrained by the symmetries and transformation properties realised on the lattice.

Operator basis: action

Occurring operators \mathcal{O}_i must comply with symmetries of the lattice formulation, e.g. DWF \rightsquigarrow Ginsparg-Wilson fermions

- Local $SU(N)$ gauge symmetry,
- C-, P- and T-symmetry,
- broken $O(4)$ symmetry due to reduced rotation symmetry,
- **approximate $SU(N_f)_L \times SU(N_f)_R \times U(1)_V$ flavour symmetry for massless QCD.**

Remark: Require minimal basis for physical matrix elements (“on-shell”)
 \Rightarrow use continuum EOMs to reduce set of operators [Lüscher et al., 1996], e.g.

$$-\frac{2}{g_0^2} \text{tr}(D_\mu F_{\mu\nu} D_\rho F_{\rho\nu}) \stackrel{\text{EOM}}{=} \bar{\Psi} \gamma_\mu D_\nu F_{\nu\mu} \Psi \stackrel{\text{EOM}}{=} g_0^2 (\bar{\Psi} \gamma_\mu T^a \Psi)^2$$

But need to keep minimal EOM vanishing basis \mathcal{E} for local fields!

(Massless) minimal operator basis

Lattice action with flavours $\Psi = (u, d, s, \dots)$.

pure gauge [Lüscher, Weisz, 1985] $O(a)$ improved Wilson-like [Sheikholeslami, Wohlert, 1985]
 Staggered [Follana et al., 2007; Husung, 2026a]

$$O(a) \quad \frac{i}{4} \bar{\Psi} \gamma_{\mu\nu} F_{\mu\nu} \Psi$$

$$O(a^2) \quad \frac{1}{g_0^2} \sum_{\mu} \text{tr}(D_{\mu} F_{\mu\nu} D_{\mu} F_{\mu\nu}) \quad \sum_{\mu} \bar{\Psi} \gamma_{\mu} D_{\mu}^3 \Psi \quad g_0^2 (\bar{\Psi} \gamma \otimes \tau \Psi)^2 \quad g_0^2 (\bar{\Psi} \gamma \otimes \tau T^a \Psi)^2$$

$$\frac{1}{g_0^2} \text{tr}(D_{\mu} F_{\nu\rho} D_{\mu} F_{\nu\rho})$$

$$\gamma \otimes \tau \in \{\mathbb{1}, \gamma_5, \gamma_{\mu}, \gamma_5 \gamma_{\mu}, i\sigma_{\mu\nu}\} \otimes \mathbb{1} \cup \{\mathbb{1}, \gamma_5, \gamma_{\rho\sigma}\} \otimes \{\tau_{\mu}, \tau_5 \tau_{\mu}\} \cup \dots$$

\Rightarrow **7, 12** or **35** operators at $O(a^2)$ **No on-shell $O(a)$ terms for Staggered action.**

(Massless) minimal operator basis

Axial vector $A_\mu^{kl} = \bar{q}_k \gamma_\mu \gamma_5 q_l$ for Wilson quarks [Husung, 2025]

Basis again constrained by transformation properties.

$O(\mathbf{a})$	$\partial_\mu \bar{q}_k \gamma_5 q_l$	[Lüscher et al., 1996; Bhattacharya et al., 2004, 2006]	
$O(\mathbf{a}^2)$	$\bar{q}_k \gamma_\rho \tilde{F}_{\rho\mu} q_l$	$\delta_{\mu\rho\lambda} \partial_\rho^2 A_\lambda^{kl}$	$\partial^2 A_\mu^{kl}$
	$\frac{\delta_{kl}}{g_0^2} \text{tr}(D_\rho F_{\rho\lambda} \tilde{F}_{\mu\lambda})$	$\frac{\delta_{kl}}{g_0^2} \partial_\mu \text{tr}(F_{\nu\rho} \tilde{F}_{\nu\rho})$	$\frac{\delta_{kl} \delta_{\mu\nu\rho\sigma}}{g_0^2} \text{tr}(D_\nu F_{\rho\lambda} \tilde{F}_{\sigma\lambda})$

Advertisement: Finding minimal bases according to canonical mass-dimension and discrete transformation properties has been automated in a Python package.

<https://github.com/nikolai-husung/opbasis> [Husung, 2026b]

How to proceed with minimal operator bases from SymEFT?

1. Scaling analysis

- Compute 1-loop anomalous dimensions to rule out severely negative $\hat{\Gamma}_i$ for the various operators.
- Use $\hat{\Gamma}_i$ for ansätze in continuum extrapolations.

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2. Symanzik improvement

- Restore symmetries at fixed order in the lattice spacing by properly tuning coefficients of “irrelevant” operators.
- Beyond $O(a)$ continuum-symmetry-preserving artifacts remain.
Ongoing work: Restoration of $O(4)$ symmetry at $O(a^2)$ in pure gauge.
- Special case: tree-level improvement.

Example: Hadron masses

$$\Delta S = a^{n_{\min}} \int d^4x \sum_i \bar{\omega}_i(g_0) \mathcal{O}_i(x) + \dots$$

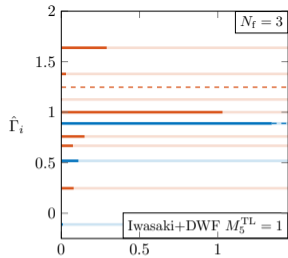
$$am_\pi(a) = \lim_{t \rightarrow \infty} \log \frac{C_{2\text{pt}}^\pi(t)}{C_{2\text{pt}}^\pi(t+a)} \quad C_{2\text{pt}}^\pi(t) = \langle 0 | \hat{\pi}(t) \hat{\pi}^\dagger(0) | 0 \rangle$$

$$\frac{m_\pi(a)}{m_K(a)} = \frac{m_\pi}{m_K} \left\{ 1 - a^{n_{\min}} \sum_i \hat{c}_i [2b_0 \bar{g}^2(1/a)]^{\hat{\Gamma}_i^{\mathcal{B}}} \left(\frac{m_{i,\text{RGI}}^\pi}{m_\pi} - \frac{m_{i,\text{RGI}}^K}{m_K} \right) + \dots \right\}$$

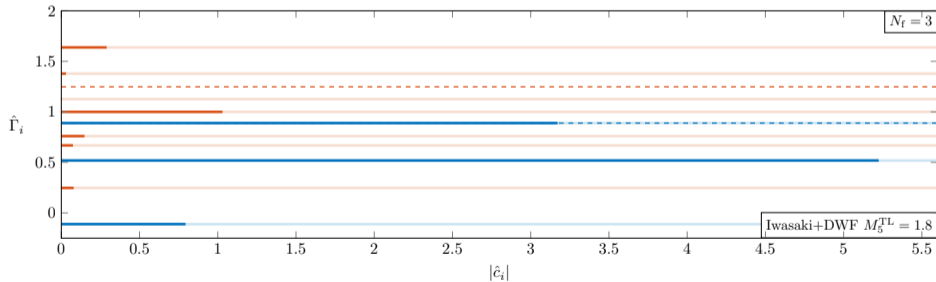
where $\hat{\Gamma}_i^{\mathcal{B}} = (\gamma_0^{\mathcal{B}})_i / (2b_0) + n_i^{\mathcal{B}}$ can be obtained from 1-loop running of the operators \mathcal{O}_i

$$\mu \frac{d\mathcal{O}_{i,\overline{\text{MS}}}(\mu)}{d\mu} = -(\gamma_0^{\mathcal{O}})_{ik} \bar{g}^2(\mu) \mathcal{O}_{k,\overline{\text{MS}}}(\mu)$$

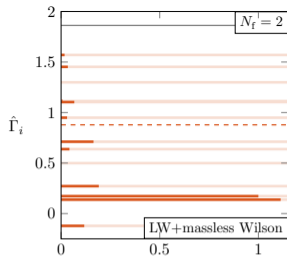
and a change of basis $\mathcal{O} \rightarrow \mathcal{B}$ s.t. $\gamma_0^{\mathcal{B}}$ is diagonal.



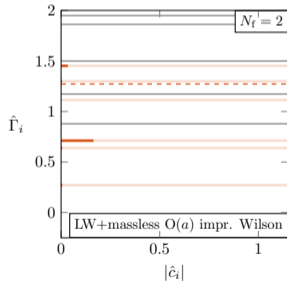
- Normalisation of our basis arbitrary.
- \hat{c}_i having vastly different orders of magnitude.
 \Rightarrow (re)tune parameters, e.g., $M_5(g_0 \rightarrow 0) \rightarrow 1$.
- Problematic for $am_{c,b}$, maybe even am_s .



— massless — massive - - - subleading massless - - - subleading massive — TL vanishing (massless) [Husung et al., 2022]



↓ Symanzik $O(a)$ improvement ↓



Continuum symmetry of massless QCD in a finite volume

$\bar{\Psi} \rightarrow i\bar{\Psi}\gamma_5\tau^j$, $\Psi \rightarrow i\gamma_5\tau^j\Psi$ with Pauli matrix τ^j .

$$S_1 = a \int d^4x i[\bar{\Psi}\sigma_{\mu\nu}F_{\mu\nu}\Psi](x)$$

$$\langle \dots S_1 \rangle \rightarrow -\langle \dots S_1 \rangle = 0$$

$$\langle \dots S_1^2 \rangle \rightarrow +\langle \dots S_1^2 \rangle \neq 0$$

⇒ Double insertion of S_1 impacts $O(a^2)$ lattice artifacts.

Example: Pion decay constant

$$\Delta S = a^{n_{\min}} \int d^4x \sum_i \bar{\omega}_i(g_0) Q_i(x) + \dots, \quad Q = \mathcal{O} \cup \mathcal{E}$$
$$\Delta J(x) = a^{n_{\min}} \sum_i \bar{\nu}_i(g_0) J_i(x) + \dots$$

$$\frac{Z_A(a\mu) \langle 0 | A_0(x; a) | \pi(\mathbf{0}) \rangle}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} = 1 + a^{n_{\min}} \sum_i d_i \frac{\langle 0 | (A_0)_{i; \overline{\text{MS}}}(x) | \pi(\mathbf{0}) \rangle}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} + \left(\begin{array}{l} \text{corrections} \\ \text{from } Z_A \end{array} \right)$$
$$- a^{n_{\min}} \sum_i c_i \int d^4y \frac{\langle 0 | A_0(x) Q_{i; \overline{\text{MS}}}(y) | \pi(\mathbf{0}) \rangle_c}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} + \dots$$

(Contact-terms will affect matching coefficients d_i)

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$$\Delta J(x) = a^{n_{\min}} \sum_i \bar{\nu}_i(g_0) J_i(x) + \dots$$

$$\frac{Z_A(a\mu) \langle 0 | A_0(x; a) | \pi(\mathbf{0}) \rangle}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} = 1 + a^{n_{\min}} \sum_i \hat{d}_i [2b_0 \bar{g}^2(1/a)]^{\hat{\Gamma}_i^A} \frac{\langle 0 | (\mathcal{A}_0)_i; \text{RGI}(x) | \pi(\mathbf{0}) \rangle}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} + \left(\begin{array}{l} \text{corrections} \\ \text{from } Z_A \end{array} \right)$$

$$- a^{n_{\min}} \sum_i \hat{c}_i [2b_0 \bar{g}^2(1/a)]^{\hat{\Gamma}_i^B} \int d^4y \frac{\langle 0 | A_0(x) \mathcal{B}_i; \text{RGI}(y) | \pi(\mathbf{0}) \rangle_c}{\lim_{a' \searrow 0} [m_\pi f_\pi](a')} \text{contact div. subtracted} + \dots$$

$$(\mathbf{A}_0)_i \rightarrow (\mathcal{A}_0)_i \text{ s.t. } \hat{\Gamma}_i^A = \frac{(\gamma_0^A)_i}{2b_0} + n_i^A$$

Fermion bilinears: Leading powers

massive only if $k = l$

\mathcal{J}^{kl} at $\mathbf{O}(\mathbf{a})$	N_f	$\hat{\gamma}^{\mathcal{J}}$
scalar ($k \neq l$ only)	2	0.414
	3	0.444
pseudo-scalar	2	-0.586, 0.414
	3	-0.556, 0.444
vector	2	0.138, 0.414
	3	0.148, 0.444
axial-vector	2	-0.414, 0.414
	3	-0.444, 0.444
tensor	2	-0.138, 0.414
	3	-0.148, 0.444

+ Powers from SymEFT action! [Husung et al., 2022; Husung, 2023]

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$$\mathcal{J}^{kl} \sim \frac{\delta_{kl}}{g_0^2} \text{tr}(F_{\mu\nu} \tilde{F}_{\mu\nu})$$

Contact term with $i\bar{\Psi}\sigma_{\mu\nu}F_{\mu\nu}\Psi$ gives rise to TL contributions.

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$$\mathcal{J}_{\mu}^{kl} \sim \partial_{\mu} P^{kl}, \quad \mathcal{J}_{\mu\nu}^{kl} \sim \partial_{\mu} V_{\nu}^{kl} - \partial_{\nu} V_{\mu}^{kl}$$

Likely present at TL for discretisations spanning multiple lattice sites.

Opposite chirality.

\Rightarrow Suppressed for light quarks **in finite volume**. May still impact $\mathcal{O}(a^2)$.

$\mathcal{J}_{\mu\nu}^{kl}$ can arise at TL via contact terms with $i\bar{\Psi}\sigma_{\mu\nu}F_{\mu\nu}\Psi$.

+ Powers from SymEFT action! [Husung et al., 2022; Husung, 2023]

Fermion bilinears: Leading powers

massive only if $k = l$ massive, only if $k \neq l$ and non-degenerate

\mathcal{J}^{kl} at $\mathbf{O}(\mathbf{a}^2)$	N_f	$\hat{\gamma}^{\mathcal{J}}$
scalar ($k \neq l$ only)	2	0, 0.483, 0.828
	3	0, 0.519, 0.889
pseudo-scalar	2	-0.172, 0, 0.483, 0.828
	3	-0.111, 0, 0.519, 0.889
vector	2	0, 0.368, 0.552, 0.575, 0.828
	3	0, 0.395, 0.593, 0.617, 0.889
axial-vector	2	-1, 0, 0.368, 0.506, 0.552, 0.559, 0.575, 0.828, 1.085
	3	-1, 0, 0.395, 0.593, 0.595, 0.617, 0.889, 1.244
tensor	2	0, 0.276, 0.46, 0.563, 0.69, 0.828
	3	0, 0.296, 0.494, 0.605, 0.741, 0.889

+ Powers from SymEFT action! [Husung et al., 2022; Husung, 2023]

Summary and outlook

- Are available lattice spacings sufficiently small? Typically $\alpha_{\overline{MS}}(1/a) \in [0.21, 0.32]$
BUT: $am_{c;\text{RGI}} \gtrsim 0.3$, $am_{b;\text{RGI}} \gtrsim 1.4$.

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- Leading powers for Wilson and GW quarks (massless, $N_f = 0, 2, 3$, J bilinear):

$$\mathbf{O}(\mathbf{a}) \begin{cases} \hat{\Gamma}_i^{\mathcal{B}} \gtrsim 0.07 \\ \hat{\Gamma}_i^{J^{k \neq l}} \gtrsim -0.5 \\ \hat{\Gamma}_i^{J^{k=l}} \gtrsim -0.6 \end{cases} \quad \mathbf{O}(\mathbf{a}^2) \begin{cases} \hat{\Gamma}_i^{\mathcal{B}} \gtrsim 0.2 \text{ (-0.2 w/o SW-term)} \\ \hat{\Gamma}_i^{J^{k \neq l}} \geq 0 \\ \hat{\Gamma}_i^{J^{k=l}} \geq -1 \end{cases} \Rightarrow \min \hat{\Gamma}_i \gg -3$$

- **Hierarchy in matching coefficients** can hint to better parameter tuning.
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- **Hierarchy in matching coefficients** can hint to better parameter tuning.
- Explicit Symanzik improvement is favourable over automatic improvement.
- Proposed minimal strategy assuming $O(\mathbf{a}^2)$:
 - Add ansätze $a^2[2b_0\bar{g}^2(1/a)]^{\hat{\Gamma}}$ with $\hat{\Gamma} \in \{\hat{\Gamma}_i\}$.
 - Try **also** higher order corrections a^3 or a^4 .

Caveat: Results only applicable to on-shell matrix elements. Insufficient for, e.g., RI-(S)MOM type renormalisation schemes or integrated correlation functions.

Quark action	Flavour symmetries	Comment / Scaling analysis
✓ Naive [Wilson, 1974]	$SU(N_f)_L \times SU(N_f)_R \times U(1)_B$	GW w/ 16 degenerate flavours
✓ Wilson [Wilson, 1975]	$SU(N_f)_V \times U(1)_B$	[Husung et al., 2020; Husung, 2023]
✓ Ginsparg-Wilson [Ginsparg, Wilson, 1982]	$SU(N_f)_L \times SU(N_f)_R \times U(1)_B$	[Husung, 2023]
✓ Staggered [Kogut, Susskind, 1975]	$\text{Shift} \times U(1)_B \times U(1)_A$	[Husung, 2026a]
✗ Rooted Staggered [Gottlieb et al., 1987]	$SU(N_f)_V \times U(1)_B \times U(1)_A$	$a > 0$: Locality? Universality class?
✓ : SymEFT asymptotic-scaling analysis available		

Outlook (ongoing)

- Calculation of 1-loop anomalous dimensions¹ and finding minimal bases² mostly automated.
- Possible extensions of the SymEFT analysis:
 - **Gradient flow** for full QCD (also flowed fermions?).
 - QCD+QED: enlarges the minimal operator basis further.
 - Other local fields, e.g., energy-momentum tensor.
 - Static quarks?
- **Non-perturbative** restoration of $O(4)$ symmetry at $O(a^2)$.
- SymEFT not directly applicable to contact terms in **integrated correlation functions**.
↪ **Establish how to incorporate finite contact terms.**

¹<https://github.com/nikolai-husung/Symanzik-QCD-workflow>

²<https://github.com/nikolai-husung/opbasis>

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