

# LEPTOGENESIS

BJÖRN GARBRECHT

Technische Universität München

KCETA COLLOQUIUM

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## Leptogenesis

1. Baryogenesis at 60
2. Type-I seesaw and leptogenesis
3. Beyond the simplest approximations
  - Nonequilibrium methods and real intermediate states
  - Resonant leptogenesis
  - Standard Model spectators
  - Leptogenesis with GeV-scale RHNs
4. Conclusions

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# Baryogenesis at 60



## VIOLATION OF CP INVARIANCE, C ASYMMETRY, AND BARYON ASYMMETRY OF THE UNIVERSE

A. D. Sakharov

Submitted 23 September 1966

ZhETF Pis'ma 5, No. 1, 32-35, 1 January 1967

The theory of the expanding Universe, which presupposes a superdense initial state of matter, apparently excludes the possibility of macroscopic separation of matter from anti-matter; it must therefore be assumed that there are no antimatter bodies in nature, i.e., the Universe is asymmetrical with respect to the number of particles and antiparticles (C asymmetry). In particular, the absence of antibaryons and the proposed absence of baryonic neutrinos implies a non-zero baryon charge (baryonic asymmetry). We wish to point out a possible explanation of C asymmetry in the hot model of the expanding Universe (see [1])

The question of baryogenesis can in principle be answered by particle physics/quantum field theory & cosmology because these can satisfy the Sakharov conditions:

- (1) baryon number  $B$  violation
- (2) charge  $C$  and charge-parity  $CP$  violation
- (3) deviation from thermodynamic equilibrium

# Baryogenesis at 60



(C asymmetry). In particular, the absence of antibaryons and the proposed absence of baryonic neutrinos implies a non-zero baryon charge (baryonic asymmetry). We wish to point out a possible explanation of C asymmetry in the hot model of the expanding Universe (see [1]) by making use of effects of CP invariance violation (see [2]). To explain baryon asymmetry, we propose in addition an approximate character for the baryon conservation law.

We assume that the baryon and lepton conservation laws are not absolute and should be energy dependent:  $n \sim 10^{-10} \text{ cm}^{-3}$  and  $\epsilon \sim 10^{-10} \text{ erg/cm}^3$ .

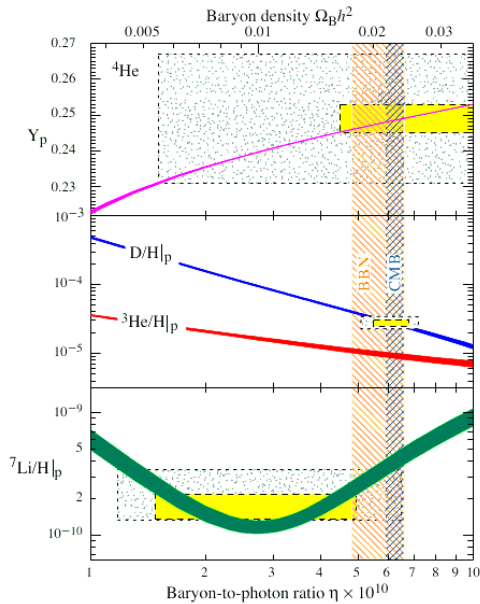
M. A. Markov (see [5]) proposed that during the early stages there existed particles with maximum mass on the order of one gravitational unit ( $M_0 = 2 \times 10^{-5} \text{ g}$  in ordinary units), and called them maximons. The presence of such particles leads unavoidably to strong violation of thermodynamic equilibrium. We can visualize that neutral spinless maximons (or

2  
1  
3

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## BAU measurements – BBN (big bang nucleosynthesis)



$$\eta_B = \frac{n_B}{n_\gamma} = (5.1-6.5) \times 10^{-10}$$

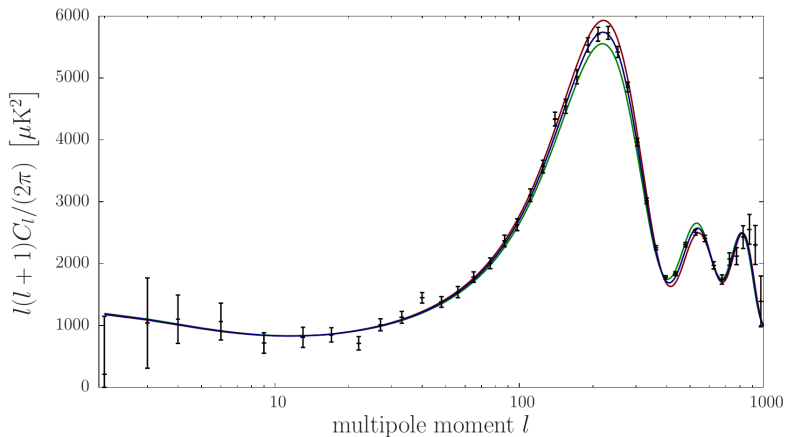
@ 95% c.l.

Baryon-to-photon ratio  $\eta_B = \frac{n_B}{n_\gamma}$  is conserved after electrons and positrons annihilate

At high (relativistic) temperatures:  
 $n_\gamma \sim$  number density of particles  
 $\sim$  entropy density  $s$

One excess particle for  $\sim 10^{10}$  particle-antiparticle pairs

## BAU measurements – CMB (cosmic microwave background)



baryon acoustic oscillations

Quantitative agreement between  
BBN & CMB a success of applying  
particle/nuclear physics to  
cosmology

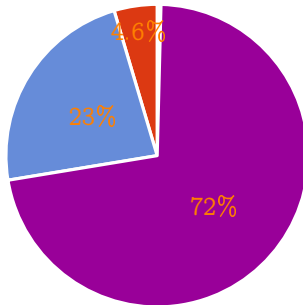
$$\text{--- } \eta_B \quad \text{--- } \eta_B + 10\% \quad \text{--- } \eta_B - 10\% \quad (\langle a_{\ell m} a_{\ell' m'}^* \rangle = \delta_{\ell \ell'} \delta_{m m'} C_\ell)$$

First peak (roughly) corresponds to sound horizon at the time of CMB formation.

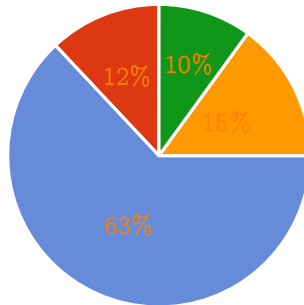
$$\eta_B = \frac{n_B}{n_\gamma} = (6.15 \pm 0.15) \times 10^{-10}$$

# Cosmic pie

2026



CMB formation



- baryons
- dark matter
- dark energy
- photons
- neutrinos

Overview adapted  
from A. Ritz

## dark matter

relic abundance  
identity  
DM genesis  
asymmetry

## neutrinos

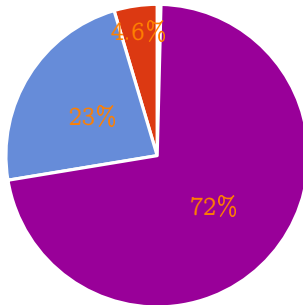
relic abundance  
identity  $\nu$  mass  
neutrino production  
lepton asymmetry

## baryons

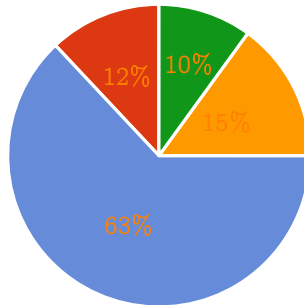
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- asymmetry ?

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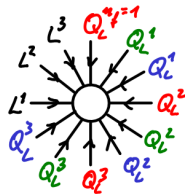
## baryons

- relic abundance ✓
- identity ✓
- baryogenesis ?
- asymmetry ✓

## Situation in the Standard Model (SM)

Check Sakharov conditions:

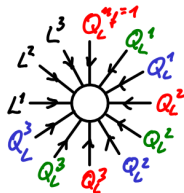
- 1  $B$  violated due to anomalous  $B + L$  violation via sphaleron processes
- 2  $CP$  violation in CKM matrix,  $C$  and  $P$  violation in weak interactions
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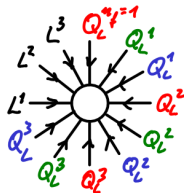
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However, conditions for baryogenesis not met **quantitatively**:

- $CP$  rephasing invariant normalised to electroweak scale is tiny:

$$\text{Im} [\det[m_u m_u^\dagger, m_d m_d^\dagger]] \approx -2J m_t^4 m_b^4 m_c^2 m_s^2, \quad J \approx 3 \times 10^{-5},$$

$$2J \frac{m_t^4 m_b^4 m_c^2 m_s^2}{T^{12}} \approx 3 \times 10^{-19} \quad \text{for } T = 100 \text{ GeV}.$$

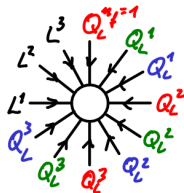
- Deviation from equilibrium  $H/\Gamma \sim (T^2/m_{\text{Pl}})/(g^4 T) = g^{-4} T/m_{\text{Pl}}$  with  $g = \mathcal{O}(1)$  is tiny unless  $T$  is very high ( $m_{\text{Pl}} = 1.2 \times 10^{19} \text{ GeV}$ ).

Loophole: For  $m_H < 70 \text{ GeV}$ , first order phase transition [Kajantie, Laine, Rummukainen, Shaposhnikov (1996)]. Ruled out by discovery  $m_H = 125 \text{ GeV}$ . Still possible beyond the SM when light bosons couple to the Higgs field.

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Baryogenesis requires physics beyond the Standard Model.

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To have baryogenesis, extend the SM by:

- Gauge singlet ( $\rightarrow$  deviation from equilibrium)
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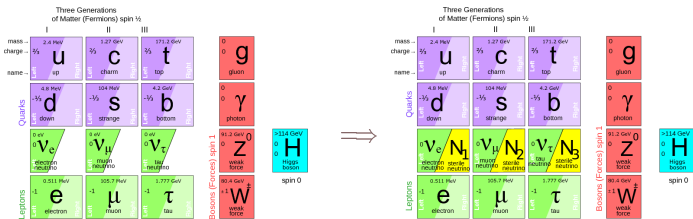
Assume here for simplicity one left-handed  $\nu$  and one RHN with Majorana mass  $M$

Yukawa coupling  $Y \bar{\nu} \phi^0 N \xrightarrow{\langle \phi^0 \rangle = v = 174 \text{ GeV}}$  Dirac mass  $m_D = Yv$  ( $H \equiv \phi$  Higgs field)  $\rightarrow$

Mixing mass matrix:  $\frac{1}{2}(\bar{\nu} \bar{N}^c) \begin{pmatrix} 0 & m_D \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu^c \\ N \end{pmatrix}$  where  $M \gg m_D \rightarrow$

Eigenvalues:  $\left\{ \frac{1}{2} \left( M \mp \sqrt{M^2 + 4m_D^2} \right) \right\} \approx \left\{ M, m_D^2/M \right\} = \left\{ M, Y^2 v^2/M \right\} \rightarrow m = Y^2 v^2/M$

Eigenvectors:  $\begin{pmatrix} \nu^{\text{light}} \\ \nu^{\text{heavy } c} \end{pmatrix} = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \nu \\ N^c \end{pmatrix}$  where  $\theta = m_D/M + \mathcal{O}\left(\frac{m_D^3}{M^3}\right)$



[Figure: Gninenko, Gorbunov, Shaposhnikov (2013)]

Bonus: neutrino masses  
Type-I seesaw mechanism

## CP violation & quantum interference

Squared amplitude for some CP violating process:

$$\left| a_1 \cdots a_m |\mathcal{A}_a| e^{i\varphi_a} + b_1 \cdots b_n |\mathcal{A}_b| e^{i\varphi_b} \right|^2 \supset_{\text{x-term}} (a_1 \cdots a_m b_1^* \cdots b_n^* e^{i(\varphi_a - \varphi_b)} + \text{c.c.}) |\mathcal{A}_a \mathcal{A}_b|$$

$a_i, b_i$ : coupling constants

$\arg(a_1 \cdots a_m b_1^* \cdots b_n^*)$ : CP odd phase

$\mathcal{A}_{a,b}$ : amplitudes stripped of coupling constants

$\varphi_{a,b}$ : (CP even) phases of  $\mathcal{A}_{a,b}$ ,

Rate for CP conjugate process:

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Difference:  $(a_1 \cdots a_m b_1^* \cdots b_n^* - a_1^* \cdots a_m^* b_1 \cdots b_n)(e^{i(\varphi_a - \varphi_b)} - e^{-i(\varphi_a - \varphi_b)}) |\mathcal{A}_a \mathcal{A}_b|$

$$= 4 \text{Im}[e^{i(\varphi_a - \varphi_b)}] \text{Im}[a_1^* \cdots a_m^* b_1 \cdots b_n] |\mathcal{A}_a \mathcal{A}_b|$$

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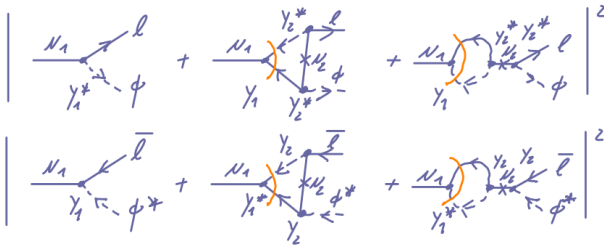
$\text{Im}[e^{i\varphi_{a,b}}]$  from coherent superposition of quantum states. For calculable problems, these often correspond

- to **on-shell cuts** in a Feynman diagram (typical view on “standard” leptogenesis with ultraheavy RHNs)
- or to flavour **mixing and oscillations** (typical view on leptogenesis with GeV-scale RHNs).

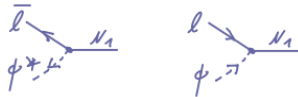
# CP violation and washout in leptogenesis

$$\mathcal{L}_{\text{SM}} \rightarrow \mathcal{L}_{\text{SM}} + \frac{1}{2} \bar{N}_i^c (i \not{\partial} - M_{ij}) N_j - Y_{ia}^* \bar{\ell}_a \phi^\dagger N_i - Y_{ia} \bar{N}_i \phi \ell_a; a = e, \mu, \tau; i = 1, 2, \dots$$

CP-violating decays/inverse decays



Washout essential to generate net asymmetry from decays/inverse decays



Sphalerons turn lepton into baryon asymmetry

“Wave function” & “vertex” contributions:

$$\epsilon_{Ni}^{\text{wf}} = \frac{1}{8\pi} \sum_{j \neq i} \frac{M_i M_j}{M_i^2 - M_j^2} \frac{\text{Im}[(YY^\dagger)_{ij}^2]}{(Y^\dagger Y)_{ii}} \quad (\text{decay asymmetry: } \epsilon = \frac{\Gamma_{Ni \rightarrow \ell H} - \Gamma_{Ni \rightarrow \bar{\ell} H^*}}{\Gamma_{Ni \rightarrow \ell H} + \Gamma_{Ni \rightarrow \bar{\ell} H^*}})$$

$$\epsilon_{Ni}^{\text{vertex}} = \frac{1}{8\pi} \sum_{j \neq i} \frac{M_j}{M_i} \left[ 1 - \left( 1 + \frac{M_j^2}{M_i^2} \right) \log \left( 1 + \frac{M_j^2}{M_i^2} \right) \right] \frac{\text{Im}[(YY^\dagger)_{ij}^2]}{(Y^\dagger Y)_{ii}}$$

[Fukugita, Yanagida (1986);  
Covi, Roulet, Vissani (1996)]

## Calculate the asymmetry

Simplest meaningful network of fluid equations describing leptogenesis

$$\frac{dn_{N_i}}{dt} + 3Hn_{N_i} = -\Gamma_i(n_{N_i} - n_{N_i}^{\text{eq}})$$

$$\Gamma_i = \frac{|Y_i|^2}{8\pi} M_i$$

$$\frac{dn_L}{dt} + 3Hn_L = \varepsilon\Gamma_i(n_{N_i} - n_{N_i}^{\text{eq}}) - Wn_L$$

$$W = \frac{\Gamma_i}{4} \left(\frac{M}{T}\right)^{\frac{3}{2}} e^{-\frac{M}{T}}$$

$n_{N_i}$ : number density of  $N_i$ ;  $n_L$ : lepton charge density;  $W$ : washout rate;

$\varepsilon = (\Gamma_{N_i \rightarrow \ell H} - \Gamma_{N_i \rightarrow \bar{\ell} H^*}) / (\Gamma_{N_i \rightarrow \ell H} + \Gamma_{N_i \rightarrow \bar{\ell} H^*})$ : decay asymmetry;  $T$ : temperature

→ Best compromise between large  $L$  violating rate (1st S. condition) and and large deviation from equilibrium (3rd S. condition):

$\Gamma \sim H$  for  $T \sim M$  (i.e. at freezeout, when the RHNs become Maxwell suppressed).

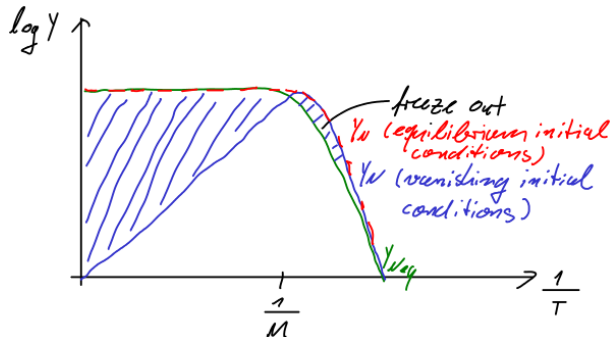
$$\rightarrow Y^2 M / (8\pi) \sim T^2 / m_{\text{Pl}} \sim M^2 / m_{\text{Pl}} \stackrel{m \sim \frac{Y^2 v^2}{M}}{\Rightarrow} m \sim 8\pi v^2 / m_{\text{Pl}} \sim 0.1 \text{ meV}$$

Light neutrino mass scale points to role of RHNs in baryogenesis

- Out-of-equilibrium property of the  $N$  independent of the mass scale  $M$
- Tendency of being somewhat close to equilibrium, i.e.  $\Gamma \gg H$  around  $T \sim M \rightarrow$  strong washout  
However,  $\exists$  a lot of parametric freedom

## Strong washout and freeze out

Consider  $Y_N = \frac{n_N}{s}$ , production, decay via Yukawa couplings



Lepton asymmetry settles as a balance between production & washout during freeze-out

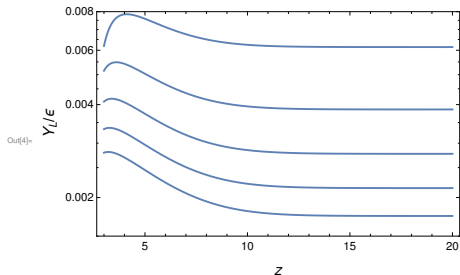
Strong washout removes dependence on initial conditions

RHNs nonrelativistic during freeze-out  $\rightarrow$  Details of momentum distribution are irrelevant, thermal effects negligible at leading order

# Solutions for the freeze-out asymmetry

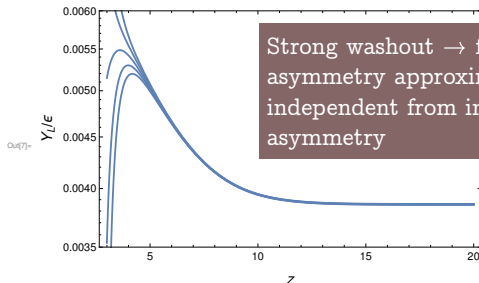
## Varying washout strength

```
YNeq[z_] = 2^(-1/2) * Pi^(-3/2) * z^(3/2) * Exp[-z]; ε = 1;
S = Table[NDSolve[
  {YL'[z] == ε * D[YNeq[z], z] - Kws / 4 * z^(5/2) * Exp[-z] * YL[z], YL[0.1] == 0},
  YL, {z, 0.5, 100}], {Kws, {10, 15, 20, 25, 30}}];
```



## Varying initial conditions

```
YNeq[z_] = 2^(-1/2) * Pi^(-3/2) * z^(3/2) * Exp[-z]; ε = 1;
With[{Kws = 15},
  S = Table[NDSolve[
    {YL'[z] == ε * D[YNeq[z], z] - Kws / 4 * z^(5/2) * Exp[-z] * YL[z], YL[0.1] == IC},
    YL, {z, 0.5, 100}], {IC, {-1, -0.5, 0, 0.5, 1}}];
];
```



Strong washout  $\rightarrow$  freeze-out asymmetry approximately independent from initial asymmetry

## Analytic approximation:

$\eta_B \simeq 0.96 \times 10^{-2} \epsilon \kappa$ , where

[Buchmüller, Di Bari, Plümacher (2004)]

$K = \frac{\Gamma_1}{H} \Big|_{T=M_1}$  washout strength,  $\kappa = \frac{2}{z_B(K)K}$  efficiency factor,  $z_B(K) = 1 + \frac{1}{2} \log \left( 1 + \frac{\pi K^2}{1024} \left[ \log \frac{3125 \pi K^2}{1024} \right]^5 \right)$

Unless there is resonant enhancement, leptogenesis in the strong washout regime requires  $M \gtrsim 10^9 \text{ GeV}$  because  $\varepsilon \sim Y^2$  [Davidson, Ibarra (2002)].

Naturalness: In absence of SUSY or other cancellation mechanism, the RHNs will contribute to the Higgs mass. In order to avoid destabilization, require

$$\Delta m_\phi^2 = \sum_i \frac{[Y^\dagger Y]_{ii}}{4\pi^2} M_i^2 \log \frac{M_i}{\mu} \sim \frac{m M^3}{4\pi^2 v^2} \log \frac{M}{\mu} \lesssim m_\phi^2 \rightarrow M_N \lesssim 3 \times 10^7 \text{ GeV}$$

[Vissani (1997)]



### Simple scenario, conceptually & calculationally

Millielectronvolt neutrino masses point to sweet spot: mildly strong washout regime

→ Independence of initial conditions, yet large efficiency

Strong washout observation independent of  $M$ , mild tension between naturalness bound and enough  $CP$  violation (in absence of workarounds...)

Simple (in terms of vacuum  $1 \leftrightarrow 2$  rates), robust prediction because of nonrelativistic RHNs

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Even in type-I seesaw framework, several motivations:

- Real intermediate state problem
- Flavoured leptogenesis
- Standard Model spectator processes
- Resonant leptogenesis
- GeV-scale RHNs/ARS
- Additional source from mixing of lepton doublets [BG (2012)]

## Closed time path approach/nonequilibrium QFT

$|\text{in}\rangle$ : state of the system at time  $t = 0$

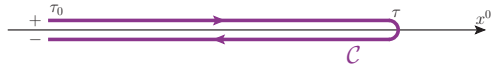
Wightman function:  $i\Delta^{-+} = \langle \text{in} | \phi^*(\vec{x}, t) \phi(\vec{x}', t') | \text{in} \rangle$

→ e.g. energy density, four-current:  $j^\mu(x) = -i \lim_{x' \rightarrow x} (\partial_x^\mu - \partial_{x'}^\mu) i\Delta^{-+}(x, x')$

Note: “in-in” correlators instead of “in-out” used in scattering theory  $S_{fi} = \langle \text{out} | U(t, t') | \text{in} \rangle_i$

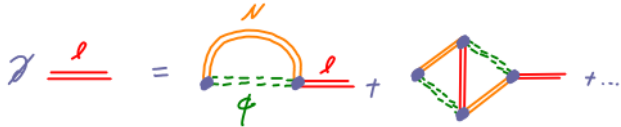
$+/-$  indices denote boundary conditions, e.g.  $-+$  without ordering,  $++$  time-ordered, ...

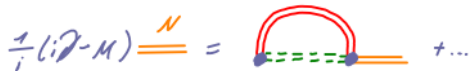
→ Path integral on closed time path (CTP):



→

Real-time evolution through  
Schwinger–Dyson equations for  
CTP correlation functions





- Want: A set of equations describing a non-equilibrium system of a large number of particles
- Should be derivable from first principles
  - classical: Liouville equations (with interaction potentials)
  - quantum: Schwinger-Dyson equations in Closed-Time-Path (CTP) formalism
- Truncations/approximations
  - Reduction to irreversible kinetic equations in the form of Boltzmann equations (or variants thereof taking e.g. account of flavour coherence, quantum statistics)
  - Further reduction down to fluid equations (in terms of number densities and bulk flows instead of particle distributions)
  - Allows for analytical/numerical treatment

Liouville/Schwinger-Dyson equations  
(microscopic kinetic equations)

Boltzmann-like kinetic equation for distribution functions

fluid equations for number densities/bulk flows

## Real intermediate state (RIS) problem

- Interference of tree & loop amplitudes  $\rightarrow CP$  violation.

$$\left| \text{Tree} + \text{Loop} + \text{Box} \right|^2 \quad (*)$$

- $CP$  violating contributions ( $CP$ -even phase) from discontinuities  $\rightarrow$  loop momenta where **cut** particles are on shell

- Is  an extra process or is it already accounted for by  and  ?

- Including  $(*)$  only  $\rightarrow CP$  asymmetry is already generated in equilibrium.  $\Downarrow CPT \Downarrow$  theorem.

( $CPT$  invariance requires to break  $T$  thermodynamically in order to make  $CP$  effective)

(Inverse) decays &  $CP$  asymmetry

Consider the squared matrix elements,  $\varepsilon$  being the decay asymmetry

$$\begin{array}{cc} \text{---} \begin{array}{c} \nearrow \\ \vdots \\ \searrow \end{array} & |\mathcal{M}_{N \rightarrow \ell \phi}|^2 \sim 1 + \epsilon \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & \underline{\underline{q\bar{q}}} \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & |\mathcal{M}_{\bar{\ell} \phi^* \rightarrow N}|^2 \sim 1 + \epsilon \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & \underline{\underline{q\bar{q}}} \end{array} \quad \begin{array}{cc} \text{---} \begin{array}{c} \nearrow \\ \vdots \\ \searrow \end{array} & |\mathcal{M}_{N \rightarrow \bar{\ell} \phi^*}|^2 \sim 1 - \epsilon \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & \underline{\underline{q\bar{q}}} \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & |\mathcal{M}_{\ell \phi \rightarrow N}|^2 \sim 1 - \epsilon \\ \text{---} \begin{array}{c} \nwarrow \\ \vdots \\ \searrow \end{array} & \underline{\underline{q\bar{q}}} \end{array}$$

Naive multiplication suggests that an asymmetry is generated already in equilibrium:

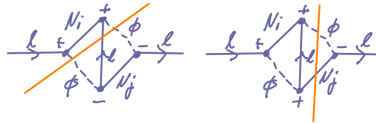
$$\Gamma_{\bar{\ell}\phi^* \rightarrow \ell\phi} \sim 1 + 2\varepsilon, \Gamma_{\ell\phi \rightarrow \bar{\ell}\phi^*} \sim 1 - 2\varepsilon$$

Ad hoc fix: Impose  $CPT$ , subtract real intermediate states (RIS) from

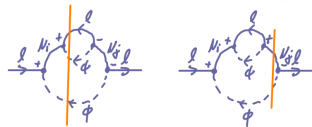
[Kolb, Wolfram (1980)]



CTP approach readily yields inclusive rates for the creation of the charge asymmetry



No need to separately remove unwanted/unphysical contribution a posteriori



[Garny, Hohenegger, Kartavtsev, Lindner (2009); Beneke, BG, Herranen, Schwaller (2010)]

## RHN flavour dynamics/resonant limit

$$\frac{d}{dt} \underbrace{\int \frac{dk^0}{2\pi} \text{tr} [\gamma^0]}_{= f_e(\vec{k}^0) - \bar{f}_e(\vec{k}^0) + \dots} = \int \frac{dk^0}{2\pi} \text{tr} \left[ \text{diagram 1} + \text{diagram 2} \right]_{><} \\ \frac{d}{dt} \underbrace{\int \frac{dk^0}{2\pi} \text{sign} k^0 \text{tr} [\gamma^0]}_{= 4 f_\nu(\vec{k}^0)} = \int \frac{dk^0}{2\pi} \text{sign} k^0 \text{tr} \left[ \text{diagram 3} \right]_{><} + \dots$$

Evolution of matrix-valued RHN distributions  $\delta f_{Nh}$  (i.e. deviation from equilibrium  $f_N^{\text{eq}}$ )

$$\delta f'_{Nh} + \frac{a^2(\eta)}{2k^0} i [M^2, \delta f_{Nh}] + f_N^{\text{eq}'} = -2 \left\{ \text{Re}[Y^* Y^t] \frac{k \cdot \hat{\Sigma}_N^A}{k^0} - i h \text{Im}[Y^* Y^t] \frac{\tilde{k} \cdot \hat{\Sigma}_N^A}{k^0}, \delta f_{Nh} \right\}$$

$\hat{\Sigma}_N^A$ : spectral (cut part) self energy,  $a(\eta)$ ,  $\eta$ : scale factor and conformal time,  $\nu \equiv d/d\eta$ ,  $h$ : helicity,  $\tilde{k} = (|\mathbf{k}|, |k^0| |\mathbf{k}|/|\mathbf{k}|)$

- $i[M^2, \delta f_{Nh}]_{ij} = i(M_i^2 - M_j^2) \delta f_{Nhij}$  for diag.  $M^2 \rightarrow$  RHN “flavour” oscillations
- Off-diagonal entries of  $\delta f_{Nhij}$  correspond to interferences and give rise to  $CP$ -even phases
- If  $\delta f'_{Nh}$  can be neglected, recover result from “standard resonant leptogenesis”  $\rightarrow$  next slide
- Evolution equations well-behaved for  $\Delta M^2 \rightarrow 0$ ; solutions  $\delta f_{Nh}$  enter into resummed RHN propagators

[BG, Herranen (2010); Iso, Shimada (2014); BG, Gautier, Klaric (2014)]

Wave-function contribution:

$$\epsilon_{Ni}^{\text{wf}} = \frac{1}{8\pi} \sum_{j \neq i} \frac{M_i M_j (M_i^2 + M_j^2)}{(M_i^2 - M_j^2)^2 + R} \frac{\text{Im}[(YY^\dagger)_{ij}^2]}{(Y^\dagger Y)_{ii}}$$

In the degenerate limit, this will dominate over the vertex contribution.

Proposed forms for regulator  $R$  ( $\bar{M} = (M_i + M_j)/2$ ):

- $R = \frac{\bar{M}^4}{64\pi^2} (YY^\dagger)_{jj}^2 = \bar{M}^2 \Gamma_j^2$  [Pilaftsis (1997); Pilaftsis, Underwood (2003)]
- $R = \frac{\bar{M}^4}{64\pi^2} \left( [YY^\dagger]_{ii} - [YY^\dagger]_{jj} \right)^2$  [Anisimov, Broncano, Plümacher (2005)]
- $R = \frac{\bar{M}^4}{64\pi^2} \left( [YY^\dagger]_{ii} + [YY^\dagger]_{jj} \right)^2$  [Garny, Hohenegger, Kartavtsev (2011)]
- $R = \frac{\bar{M}^4}{64\pi^2} \frac{([YY^\dagger]_{11} + [YY^\dagger]_{22})^2}{[YY^\dagger]_{11}[YY^\dagger]_{22}} \left( (\text{Im}[YY^\dagger]_{12})^2 + \det YY^\dagger \right)$

Obtained by algebraic solution to oscillation equation, neglecting  $\delta f'_N$

[BG, Gautier, Klaric (2014); Iso, Shimada (2014)]

# Resonant Leptogenesis in the Strong Washout Regime

## Quasistatic Approximation

- Neglect derivative term provided the eigenvalues (that originate from the mass and the damping terms) in the kinetic equation for are larger than the Hubble rate  $H \rightarrow$  Obtain linear system of equations for  $\delta f_{Nh}$ .

[BG, Gautier, Klaric (2014); Iso, Shimada (2014)].

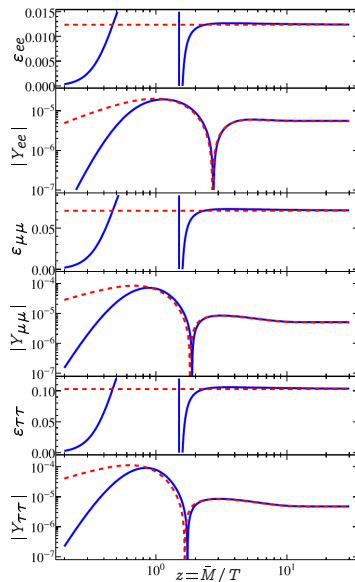
- Regulator:

$$R = \frac{\bar{M}^4}{64\pi^2} \frac{([YY^\dagger]_{11} + [YY^\dagger]_{22})^2}{[YY^\dagger]_{11}[YY^\dagger]_{22}} \times ((\text{Im}[YY^\dagger]_{12})^2 + \det YY^\dagger)$$

- Applies in strong washout regime, *i.e.* a large portion of parameter space.

blue: full result; red: result using effective decay asymmetry  $\varepsilon$ ;

$Y_{aa} = n_{La\bar{a}}/s$ . Here,  $\bar{M}\Gamma \gg \Delta M^2$ .



## Spectator effects

SM Yukawa-mediated processes at finite temperature:



Cf. Hubble rate  $H \sim T^2$

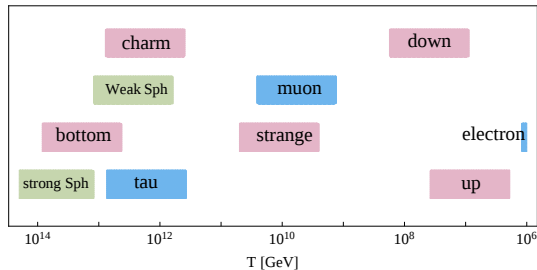
→ As the Universe cools, more and more SM processes come into equilibrium.

Besides Yukawa mediated processes, there are also the chiral anomalies (strong and weak sphalerons).

Asymmetries are transferred partly to all SM degrees of freedom → suppression of washout.

[Barbieri, Creminelli, Strumia, Tetradis (2000)]

RHNs may equilibrate at  $T \sim M \rightarrow$  cannot use relativistic/nonrelativistic approximations  $\rightarrow$  KLN-type cancellation of real/virtual IR divergences at finite  $T$  [BG, Glown, Herranen (2013); Ghilgieri, Laine (2016)]

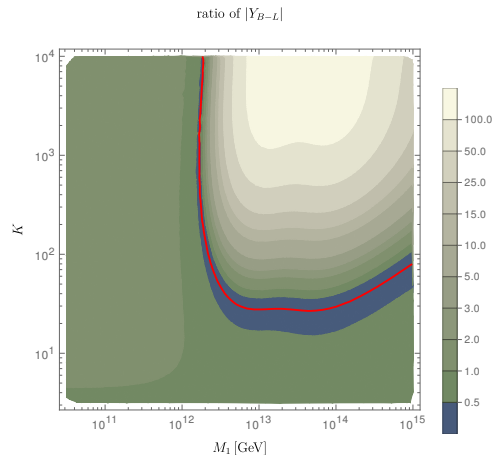


Temperature bands  $T_X \leq T \leq 20T_X$  where  $T_X$  is the equilibration temperature  $\Gamma_X(T_X) = H(T_X)$ .

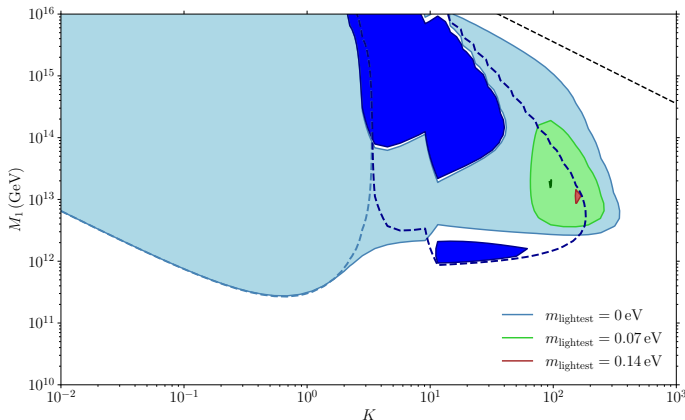
## Vanishing initial conditions

[BG, Klose, Tamarit (2019)]

- Deviation from equilibrium is large for  $T \gg M_1$
- Asymmetry produced at that time is hidden in spectators (here:  $b$ -quarks), partially protected from washout
- Requires the calculation of unflavoured asymmetries from RHN production in relativistic regime
- Equilibrium initial conditions:  $\mathcal{O}(1)$  effect compared to fully equilibrated spectator approximation [BG, Schwaller (2014)]



# Neutrino mass window for leptogenesis updated



[BG, Wang (2024)]

Regions in  $K$ – $M$ -plane  
where leptogenesis is viable  
(normal mass hierarchy,  
vanishing initial RHN  
abundance)

cf. [Buchmüller, DiBari, Plümacher (2003)]

Solid: full analysis

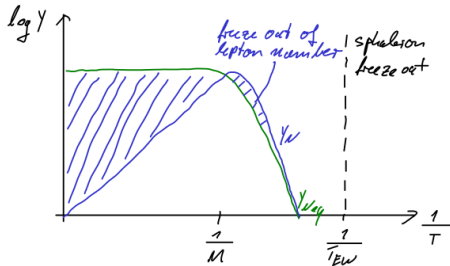
Dashed: assume fully  
equilibrated spectators

Shading of blue: sign flip

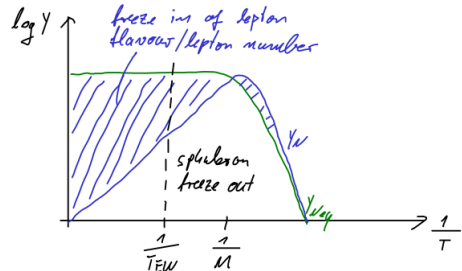
## Leptogenesis with GeV-scale RHNs

With  $M_N \ll T_{EW} \sim 150\text{GeV}$  lepton number violation freezes out only after the electroweak crossover

Also for  $T \gg T_{EW}$ , lepton number violation suppressed, however not flavour violation  
Produce flavour asymmetries early through freeze in, convert these partly to net lepton asymmetry before  $T_{EW}$  [Akhmdov, Rubakov, Smirnov (1998); Shaposhnikov, Asaka (2005)]



Standard leptogenesis



ARS-type leptogenesis with GeV-scale RHNs

# Enhanced asymmetries

## Standard leptogenesis

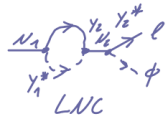
LNv Majorana mass insertions necessary



$$\rightarrow \varepsilon \propto \frac{M_1 M_2}{M_1^2 - M_2^2}$$

## ARS-type leptogenesis

No LNv Majorana mass insertions



Gauge interactions at finite  $T$  enhance phase space

$$\rightarrow \varepsilon \propto \frac{T^2}{M_1^2 - M_2^2}$$



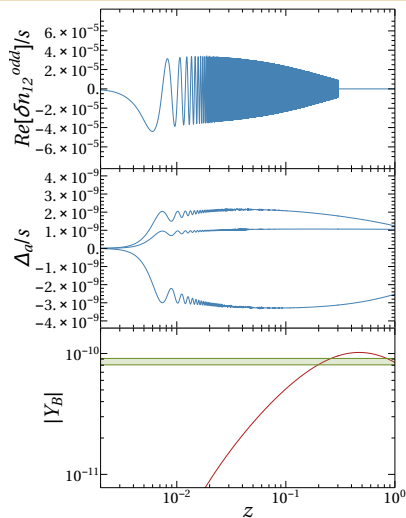
Off diagonal correlations build up through flavour oscillations among the RHNs. These first occur at the temperature  $T_{\text{osc}} \sim (|M_i^2 - M_j^2| m_{\text{Pl}})^{1/3}$ ,

i.e. for  $M_{1,2} \sim \text{GeV} \rightarrow T_{\text{osc}} \sim 10^5 \text{GeV}$

$\rightarrow$  Large enhancement of asymmetry opens up possibility of leptogenesis from GeV-scale RHNs without strong parametric tuning [Drewes, BG (2012)], no/alleviated conflict with Davidson–Ibarra bound and stability of electroweak scale

NB for  $M_{1,2} \gg T_{\text{EW}}$ , these early asymmetries typically experience strong washout.  
For exceptions, see [BG (2014)]

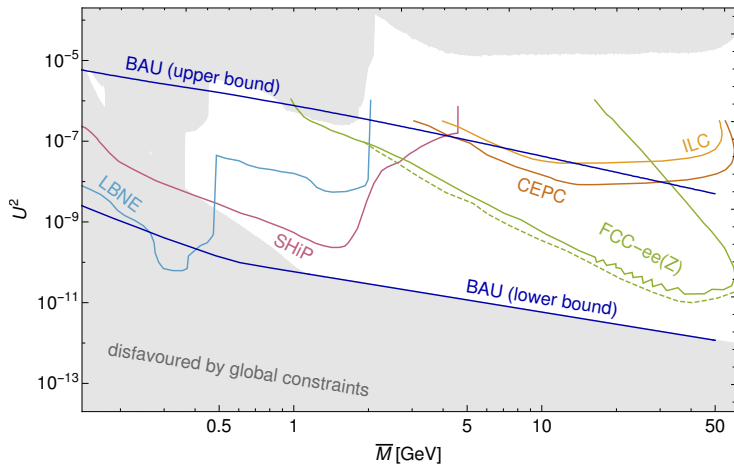
# Leptogenesis with GeV-scale RHNs–dynamics



- $T\Gamma \ll \Delta M^2 \rightarrow$  oscillatory regime
- RHNs perform first oscillation at  $T_{\text{osc}} \sim (|M_i^2 - M_j^2| m_{\text{Pl}})^{1/3}$
- Off-diagonal correlations lead to  $CP$  violating source for lepton flavour asymmetries (purely flavoured)
- Contributions from subsequent oscillations average out
- Transfer of asymmetries into helicity asymmetries of RHNs leads to  $Y_L \neq 0$ .
- Asymmetry frozen in at  $T_{\text{EW}}$ , where sphalerons are quenched by the developing Higgs vev
- $\delta n = \int d^3k/(2\pi)^3 \delta f_N \rightarrow$  **momentum averaging**

$z = T_{\text{EW}}/T$ ;  $\Delta_a$ : lepton asymmetry in flavour  $a = e, \mu, \tau$ ;  $\delta n$ : number density of RHNs;  
 $Y_B$ : entropy-normalized baryon asymmetry;  $s$ : entropy density. [Drewes, BG, Gueter, Klarić (2016)]

# Direct searches for RHNs and leptogenesis



[Drewes, BG, Gueter, Klarić (2016)]

## Leptogenesis

1. Baryogenesis at 60
2. Type-I seesaw and leptogenesis
3. Beyond the simplest approximations
  - Nonequilibrium methods and real intermediate states
  - Resonant leptogenesis
  - Standard Model spectators
  - Leptogenesis with GeV-scale RHNs
4. Conclusions

## Conclusions

Leptogenesis an economic explanation for the matter–antimatter asymmetry

Basic variant is a simple paradigm for baryogenesis from out-of-equilibrium decays

In the seesaw model parameter space, deviations from the simplest variant are the rule, not an exception.

→ Develop and test nonequilibrium methods, spinoffs for more involved settings such as electroweak baryogenesis

ARS/GeV–scale scenario plausible with possible long-term testability

## Flavoured leptogenesis

- Neutrino Yukawa couplings:  $Y_{ia} \bar{N}_i \ell_a \phi$

- When insensitive to lepton flavour, can  $\ell_a \rightarrow U_{ab} \ell_b$ ,  $Y_{ia} \rightarrow Y_{ib} U_{ba}^\dagger$  such that

$$Y = \begin{pmatrix} Y_{11} & 0 & 0 \\ Y_{21} & Y_{22} & 0 \\ Y_{31} & Y_{32} & Y_{33} \end{pmatrix} \rightarrow N_1 \text{ decays only produce } (U\ell)_1, \text{ which is a linear combination of } \ell_e, \ell_\mu, \ell_\tau.$$

→ Back to flavour basis – quantum correlations of charge densities:

$$\begin{pmatrix} q_{ee} & q_{e\mu} & q_{e\tau} \\ q_{\mu e} & q_{\mu\mu} & q_{\mu\tau} \\ q_{\tau e} & q_{\tau\mu} & q_{\tau\tau} \end{pmatrix}$$

- When  $H \sim \frac{T^2}{m_{\text{Pl}}} \lesssim \Gamma_{\tau,\mu} \sim h_{\tau,\mu}^2 T$ , i.e.  $T \lesssim 10^{12} \text{ GeV}$  (for  $\tau$ ) or  $T \lesssim 10^9 \text{ GeV}$  (for  $\mu$ ), the flavour coherence is destroyed by the SM lepton Yukawa couplings  $h_{\tau,\mu}$ .

$$\rightarrow \begin{pmatrix} q_{ee} & 0 & 0 \\ 0 & q_{\mu\mu} & 0 \\ 0 & 0 & q_{\tau\tau} \end{pmatrix} \rightarrow \text{The resulting linear combination is only partly aligned with the one that is produced in the [Abada, Davidson, decays of } N_1. \rightarrow \mathcal{O}(1) \text{ suppression of washout.}$$

Josse-Michaux, Losada, Riotto (2006); Nardi, Nir, Roulet, Racker (2006)]

- For initially lepton flavour violating contributions with vanishing  $L = L_e + L_\mu + L_\tau$ : washout of different flavours → lepton number violating asymmetry at freeze out that receives contribution from the PMNS phase  $\delta$  – but from other phases as well. [Pascoli, Petcov, Riotto (2006)]
- Even for  $T \gtrsim 10^{12} \text{ GeV}$ , the asymmetries from the decays of the heavier RHNs correspond to linear combinations of flavour that are in general misaligned with the one washed out by the lightest of the RHNs [Di Bari (2005)]. → Richer phenomenology, less predictivity.

# Flavoured leptogenesis

Partial flavour (de)coherence

- Kinetic eqs. for number densities & flavour correlations of (anti-)leptons  $\delta n_\ell^\pm$ :

$$\frac{\partial \delta n_{\ell ab}^\pm}{\partial t} = \underbrace{\pm S_{ab}}_{\text{CP-violating source}} \underbrace{\mp i \Delta \omega_{ab}^{\text{th}} \delta n_{\ell ab}^\pm}_{\text{oscillations induced by thermal masses}} - \underbrace{[W, \delta n_\ell^\pm]_{ab}}_{\text{washout}} - \underbrace{\gamma^{\text{bl}} (\delta n_{\ell ab}^+ + \delta n_{\ell ab}^-)}_{\text{flavour-blind pair-creation \& annihilation}} - \underbrace{\Gamma_{ab}^{\text{fl}}}_{\text{flavour-sensitive damping}}$$

$$\Gamma^{\text{fl}} \propto h_\tau^2 \left[ \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \delta n_\ell^\pm \right] - 2h_\tau^2 \delta n_R^\pm \rightarrow \text{directly damps off-diagonal correlations}$$

- thermal masses like to induce flavour oscillations in opposite directions

oscillations overdamped

$W^{\alpha\pm}, B$  like to keep these aligned

$$\Gamma^{\text{direct}} \sim (h_{aa}^2 + h_{bb}^2) T$$

$$\Gamma^{\text{overdamped}} \sim \frac{(h_{aa}^2 - h_{bb}^2)^2}{g_2^4} T$$

where  $a, b = e, \mu, \tau$

- Can interpolate between fully flavoured and unflavoured regimes.

[Beneke, BG, Herranen, Fidler, Schwaller (2010)]

