

Extra Dimensions and Neutrino Physics

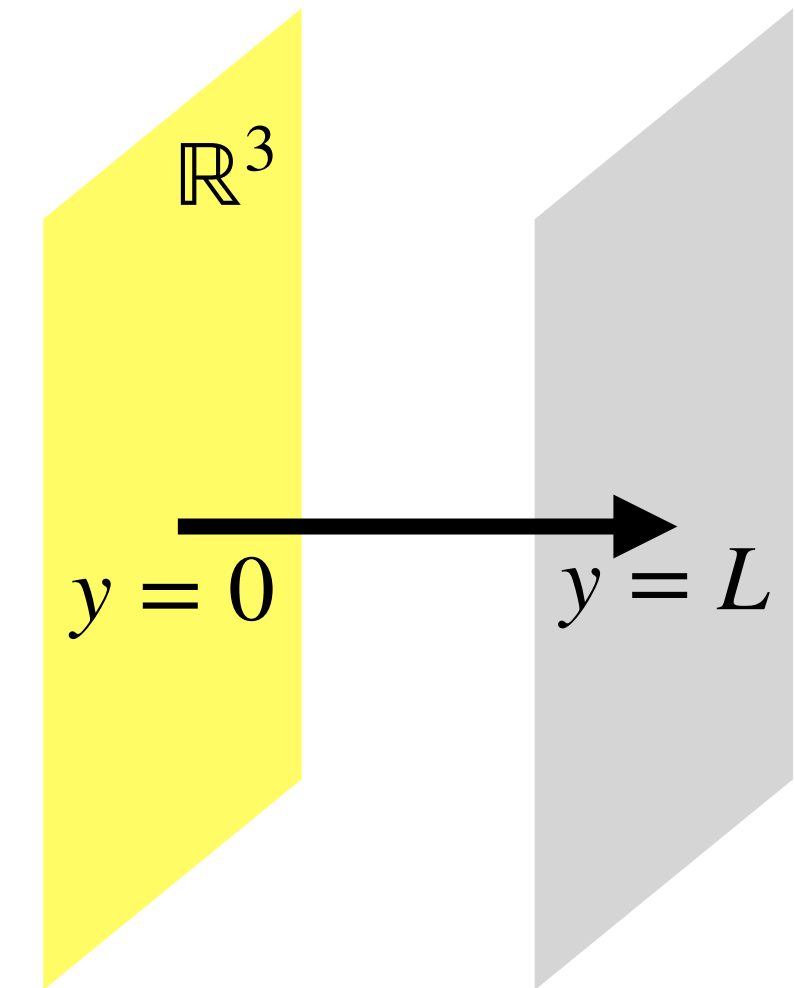
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Neutrino & extra dimensions

- A model of Dirac neutrino masses from 5D fermions (Auttakit's lecture) suppression of neutrino masses through the **size of the extra dimension** contains an **infinite tower of sterile neutrinos**
--> their masses may give a hint for the extra dimension.
- Technically:
 - Kaluza-Klein expansion of 5D spinors to obtain 4D spinors
 - 5D spinors must contain both left- and right-handed spinors symmetrically, while 4D Standard Model not.
 - Left-Right asymmetry is realised through boundary conditions in 5D.
- Goals of this lecture:
 - >> Introduction to extra dimension and Kaluza-Klein expansion
 - >> Introduction to 4D and 5D spinors, in particular left-right **asymmetry** through extra dimension



Convey a manner of arguments in theoretical high energy physics with field theory

Extra Dimensions

Brief history

- 1919 Kaluza: 5D spacetime: Minkowski + 1D space
Decompose 5D metric into 4D metric and 4D vector
--> 4D gravity + 4D Maxwell : 1st generation of unification of forces
- 1926 Klein: Compactification: Make the extra direction sufficiently small
- 1970's and later: string theory
string's oscillation modes --> a set of particles containing massless graviton, gauge fields etc.
Consistent only in a spacetime with extra directions: 4D+6D
4D Minkowski with 6D tiny, compact geometry
Tiny 6D geometry's topology --> a theory with matter fields in 4D at lower energies.
- 1990's and later: Large Extra Dimensions (LED)
4D Minkowski spacetime is embedded as a "brane" in a larger ("bulk") spacetime
Standard Model is confined in the brane. Gravitational force propagates in the bulk.
The size of the extra dimensions can reduce the bulk gravitational coupling to small 4D one.

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Force unification oriented

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Plan

Spinors in 4D and 5D

Kaluza-Klein expansion

Find a neutrino mass

Spinors in 4D and 5D

Pre-introductory high energy field theory

- Action and equation of motion of a scalar field (Klein-Gordon)

$$S[\phi] = \int dt d\vec{x} \left(-\frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{m^2}{2} \phi^2 \right) \xrightarrow{\text{variation in } \phi} \left(\frac{\partial^2}{\partial t^2} - \frac{\partial^2}{\partial \vec{x}^2} - m^2 \right) \phi(t, \vec{x}) = 0$$

equation of motion

In field theory: spacetime derivative $\partial/\partial x^\mu$ --> replace it by 4 momentum p_μ

--> EoM gives relativistic dispersion relation $E^2 = \vec{p}^2 + m^2$

--> m = particle mass

- Keep in mind: in field-theory actions,

Non-derivative quadratic term $\phi \cdot \phi$ is called the mass term

The 2 or 1 derivative term gives E and p : called the kinetic term

Pre-introductory high energy field theory

- High energy physics: based on [special relativistic field theory](#)
basic guiding principle: Lorentz invariance of an action (= spacetime integral of a Lagrangian)
- To make Lorentz invariant actions, better to make a catalogue of convenient field transformations

Examples of field transformation $\varphi \mapsto \varphi'$ under Lorentz transformation $x' = \Lambda x$:

scalar field $-->$ $\varphi'(\Lambda x) = \varphi(x)$: spin 0 particles (Higgs)

vector field $-->$ $\varphi'^{\mu}(\Lambda x) = \Lambda^{\mu}_{\nu} \varphi^{\nu}(x)$: spin 1 particles (photon, weak bosons W, Z)

spinor field: the topic in what follows! : spin 1/2 particles (quarks, leptons)

Spinors in 3D

Start with 3D rotation of spin 1/2 states (size 2 vectors) in quantum mechanics

Small rotation $\vec{x} \mapsto (I + \omega)\vec{x}$ with 3x3 matrix ω

Rotation: $(I + \omega)(I + \omega^T) = I \rightarrow \omega^T = -\omega \rightarrow$ three parameters

ω_{12} : rotation around axis 3

ω_{23} : rotation around axis 1

ω_{31} : rotation around axis 2

ω_{ij} : rotation in (ij)-plane

Angular momenta as generators of 3D rotation: $\frac{\sigma_i}{2}$ (Pauli matrix) rotation around axis i

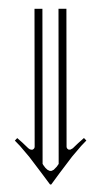
For small rotation $x_i \mapsto x_i + \omega_{ij}x_j$, a state of spin 1/2 particle changes as $\psi \mapsto \left(I + i\omega_{23}\frac{\sigma_1}{2} + i\omega_{31}\frac{\sigma_2}{2} + i\omega_{12}\frac{\sigma_3}{2} \right) \psi$

$$\begin{aligned} I + i\omega_{23}\frac{\sigma_1}{2} + i\omega_{31}\frac{\sigma_2}{2} + i\omega_{12}\frac{\sigma_3}{2} &= I + \omega_{23}\left[\frac{\sigma_2}{2}, \frac{\sigma_3}{2}\right] + \omega_{31}\left[\frac{\sigma_3}{2}, \frac{\sigma_1}{2}\right] + \omega_{12}\left[\frac{\sigma_1}{2}, \frac{\sigma_2}{2}\right] \\ &= I + \frac{1}{2} \sum_{i,j=1}^3 \omega_{ij} \left[\frac{\sigma_i}{2}, \frac{\sigma_j}{2}\right] = I + \frac{1}{2} \sum_{i,j=1}^3 \frac{1}{4} \omega_{ij} [\sigma_i, \sigma_j] \end{aligned}$$

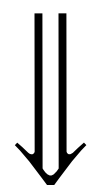
$$\begin{aligned} &\parallel \\ &\left(I + \frac{1}{2} \sum_{i,j=1}^3 \frac{1}{4} \omega_{ij} [\sigma_i, \sigma_j] \right) \psi \end{aligned}$$

Spinors in 4D and 5D

3D small rotation



4D small Lorentz transformation



5D small Lorentz transformation

$$x_i \mapsto x_i + \omega_{ij}x_j$$

$$\omega_{ij} = -\omega_{ji}$$

$$x^\mu \mapsto x^\mu + \omega^\mu{}_\nu x^\nu$$

$$\omega_{\mu\nu} = -\omega_{\nu\mu}$$

$$x^M \mapsto x^M + \omega^M{}_N x^N$$

$$\omega_{MN} = -\omega_{NM}$$

$$\psi \mapsto \left(I + \frac{1}{2} \sum_{i,j=1}^3 \frac{1}{4} \omega_{ij} [\sigma_i, \sigma_j] \right) \psi$$

$$\psi \mapsto \left(I - \frac{1}{2} \sum_{\mu,\nu=0}^3 \frac{1}{4} \omega^{\mu\nu} [\gamma_\mu, \gamma_\nu] \right) \psi$$

$$\psi \mapsto \left(I - \frac{1}{2} \sum_{M,N=0}^4 \frac{1}{4} \omega^{MN} [\gamma_M, \gamma_N] \right) \psi$$

Dirac matrices

$$\{\sigma_i, \sigma_j\} = 2\delta_{ij}$$

$$\{\gamma_\mu, \gamma_\nu\} = -2\eta_{\mu\nu}$$

$$\{\gamma_M, \gamma_N\} = -2\eta_{MN}$$

Lorentz metric: mostly plus
[{a,b}:=ab+ba](#)

Convenient choice

Dirac matrices

4D Dirac matrices	$\gamma_0, \gamma_1, \gamma_2, \gamma_3$	4x4 matrices	$\gamma^\mu = \begin{pmatrix} O_2 & \sigma^\mu \\ \bar{\sigma}^\mu & O_2 \end{pmatrix}$ $\sigma^0 = I_{2 \times 2},$ $\bar{\sigma}^0 = I_{2 \times 2}, \quad \bar{\sigma}^i = -\sigma^i$
5D Dirac matrices	$\gamma_0, \gamma_1, \gamma_2, \gamma_3, \gamma_4$	4x4 matrices	the same γ^μ & $\gamma^4 = i \begin{pmatrix} I_{2 \times 2} & O_{2 \times 2} \\ O_{2 \times 2} & -I_{2 \times 2} \end{pmatrix}$

Weyl spinors

4D Dirac spinors: $\psi \mapsto \left(I + \frac{1}{2}\omega^{\mu\nu}s_{\mu\nu}\right)\psi$ $s_{\mu\nu} := -\frac{1}{4}[\gamma_\mu, \gamma_\nu]$ $\gamma^\mu = \begin{pmatrix} O_2 & \sigma^\mu \\ \bar{\sigma}^\mu & O_2 \end{pmatrix}$

However, $[\gamma_\mu, \gamma_\nu]$ is 2x2 block diagonal $\implies$ Upper and lower 2 components transform **independently**

$$s_{\mu\nu} = \begin{pmatrix} s_{\mu\nu}^L & O_{2\times 2} \\ O_{2\times 2} & s_{\mu\nu}^R \end{pmatrix} \implies \psi = \begin{pmatrix} \psi^L \\ \psi^R \end{pmatrix} \implies \begin{array}{ll} \psi^L \mapsto \psi^L + \frac{1}{2}\omega^{\mu\nu}s_{\mu\nu}^L\psi^L & \text{Left-handed Weyl spinors} \\ \psi^R \mapsto \psi^R + \frac{1}{2}\omega^{\mu\nu}s_{\mu\nu}^R\psi^R & \text{Right-handed Weyl spinors} \end{array}$$

$$s_{\mu\nu}^L := -\frac{1}{4}[\sigma_\mu, \bar{\sigma}_\nu]$$

$$s_{\mu\nu}^R := -\frac{1}{4}[\bar{\sigma}_\mu, \sigma_\nu]$$

No mixing between L and R

5D spinors: $\Psi \mapsto \left(I + \frac{1}{2}\Omega^{MN}s_{MN}\right)\Psi, \quad s_{MN} := -\frac{1}{4}[\gamma_M, \gamma_N]$

Big difference from 4D: In 5D, the upper and lower 2 components are **mixed** (NO Weyl spinors).

$$\psi^L \mapsto \psi^L \ \& \ \psi^R$$

$$\psi^R \mapsto \psi^R \ \& \ \psi^L$$

Relation between 4D and 5D spinors

We will obtain 4D spinors from 5D spinors through KK expansion.

How can we relate 4D and 5D spinors?

Good news: The two have the same size.

So, just identify them!

$$\begin{matrix} \text{5D} & \text{4D} \\ \Psi = & \begin{pmatrix} \psi^L \\ \psi^R \end{pmatrix} \end{matrix}$$

Consistency check: Under 4D Lorentz transformation, Ψ must behave as if they were 4D spinors.

4D Lorentz transformation = special case of 5D one:

$$x^\mu \rightarrow x^\mu + \omega^\mu{}_\nu x^\nu \text{ and } x^4 \mapsto x^4 = x^M \rightarrow x^M + \Omega^M{}_N x^N \text{ with } \Omega_{M4} = 0, \Omega_{\mu\nu} = \omega_{\mu\nu} \implies \Lambda^M{}_N = \begin{pmatrix} \Lambda^\mu{}_\nu & 0 \\ 0 & 1 \end{pmatrix}$$

$$\Psi \mapsto \left(I + \frac{1}{2} \Omega^{MN} s_{MN} \right) \Psi$$

5D spinor transformation

$\implies$

$$\Psi \mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu} \right) \Psi$$

4D spinor transformation

Lorentz invariant combination

Under **4D** Lorentz transformations, $\Psi \mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu} \right) \Psi \implies$

$$s_{\mu\nu} := -\frac{1}{4} [\gamma_\mu, \gamma_\nu] \quad s_{\mu\nu} = \begin{pmatrix} s_{\mu\nu}^L & O_{2 \times 2} \\ O_{2 \times 2} & s_{\mu\nu}^R \end{pmatrix} \quad \Psi = \begin{pmatrix} \Psi^L \\ \Psi^R \end{pmatrix}$$

$$\begin{aligned} \Psi^L &\mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^L \right) \Psi^L \\ \Psi^R &\mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^R \right) \Psi^R \end{aligned}$$

$$s_{\mu\nu}^L = -\frac{1}{4} [\sigma_\mu, \bar{\sigma}_\nu] \quad s_{\mu\nu}^R = -\frac{1}{4} [\bar{\sigma}_\mu, \sigma_\nu]$$

Their Hermitian conjugation flip L and R as well as the overall sign $(s_{\mu\nu}^L)^\dagger = -s_{\mu\nu}^R, \quad (s_{\mu\nu}^R)^\dagger = -s_{\mu\nu}^L$

$$\begin{aligned} \Psi^L &\mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^L \right) \Psi^L \\ \Psi^R &\mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^R \right) \Psi^R \end{aligned} \quad \xRightarrow{\dagger} \quad \begin{aligned} \Psi^{L\dagger} &\mapsto \Psi^{L\dagger} \left(I - \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^R \right) \\ \Psi^{R\dagger} &\mapsto \Psi^{R\dagger} \left(I - \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^L \right) \end{aligned} \quad \sigma_\mu, \bar{\sigma}_\nu : \text{Hermitian}$$

$$\Psi^{R\dagger} \Psi^L + \Psi^{L\dagger} \Psi^R \quad \text{is 4D Lorentz invariant}$$

Lesson: **(L dagger).R** + **(R dagger).L** is 4D Lorentz invariant

quadratic, nonderivative, **LR mixed** Lorentz invariant combination --> **Dirac** mass term

cf. quadratic, nonderivative, **one-chirality (LL, RR)** Lorentz invariant combination --> **Majorana** mass term

Dirac mass terms through bulk-brane interaction

We have shown: under **4D** Lorentz transformations, R-part of a 5D spinor transforms just as a 4D R-spinors

$$\Psi^R \mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^R \right) \Psi^R \quad \Psi^{R\dagger} \mapsto \Psi^{R\dagger} \left(I - \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^L \right)$$

Original motivation: make a mass term for **Standard Model (=4D) left-handed** neutrinos!

$$\nu^L \mapsto \left(I + \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^L \right) \nu^L \quad \nu^{L\dagger} \mapsto \nu^{L\dagger} \left(I - \frac{1}{2} \omega^{\mu\nu} s_{\mu\nu}^R \right)$$

We can form a Dirac mass term with **them**!

bulk-brane interaction

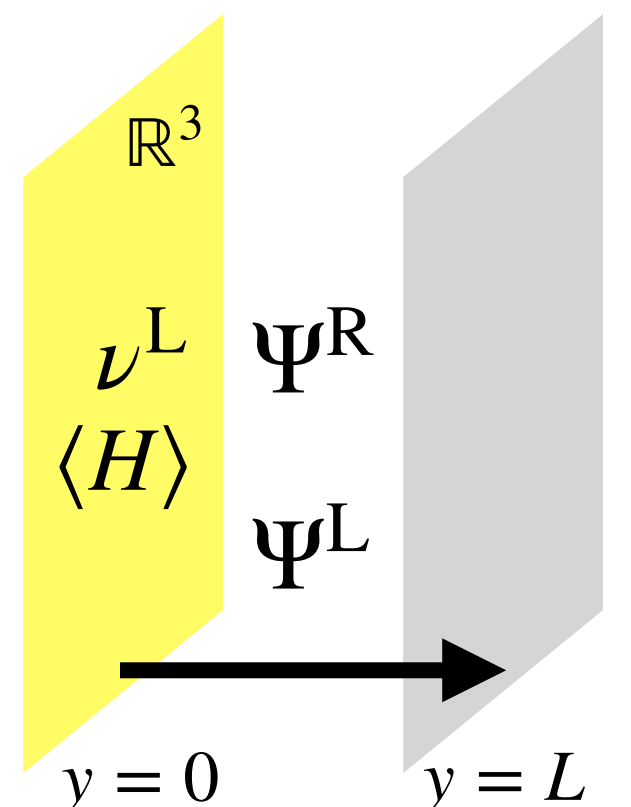
$$S_{b\partial} = g \langle H \rangle \int dt d^3x [\Psi^{R\dagger}(y=0) \nu^L + \nu^{L\dagger} \Psi^R(y=0)]$$

brane (4D)
from bulk

bulk-brane interaction gives **mass scale gv** of neutrino.

Remark 1: It's **not** an exact mass. We need the **Kaluza-Klein expansion** (shown later).

Remark 2: The bulk-brane coupling can be rewritten in an SU(2) invariant form.
(the same form as the **Yukawa coupling for the up quark in SM**)



Planck scale suppression of bulk-brane coupling

We have just made $gv \int dt d^3x [\Psi^{R\dagger}(y=0)\nu^L + \nu^{L\dagger}\Psi^R(y=0)]$

Q: What's the dimension of g? Use kinetic terms:

	$\int d^4x \partial_\mu H ^2$	$4=2+2[H] \rightarrow [v]=[H]=1$
	$\int d^4x dy i\bar{\Psi}\gamma^M\partial_M\Psi$	$5=1+2[\Psi] \rightarrow [\Psi]=2$
$4=[g]+[v]+[\Psi]+[\nu] \rightarrow [g] = -1/2$	$\int d^4x i\bar{\psi}\gamma^\mu\partial_\mu\psi$	$4=1+2[\Psi] \rightarrow [\Psi]=3/2$

g has dimension $\rightarrow$ what determines its scale? (large/small compared with what scale?)

It's a theory in 5D. So the only fundamental scale is 5D Planck scale M_* .

$\Rightarrow$ Natural to think that the dimension is determined by M_*

$\Rightarrow g = \frac{y}{M_*^{1/2}}$ suppression by the 5D Planck mass $M_{\text{Pl}}^2 = M_*^3 L$ $M_{\text{Pl}} \simeq 10^{19} \text{ GeV}$

Current bound: $L < \text{about } 30 \text{ micron}$ $\Rightarrow M_* > 10^{10} \text{ GeV}$

Kaluza-Klein expansion of 5D spinors

Kaluza-Klein expansion

- A 4D field is denoted by $\varphi(t, x_1, x_2, x_3)$

Field in the presence of **extra dimensions** $\Phi(t, x_1, x_2, x_3, y_1, y_2, \dots, y_n)$

extra dimensions of **finite-size** --> boundary condition --> mode expansion (recall wave & oscillation course)

$$\Phi(t, x_1, x_2, x_3, y_1, y_2, \dots, y_n) = \sum_n \varphi_n(t, x_1, x_2, x_3) f_n(y_1, y_2, \dots, y_n)$$

4D fields mode functions

Consider n=1

$$\Phi(t, x_1, x_2, x_3, y) = \sum_n \varphi_n(t, x_1, x_2, x_3) f_n(y)$$

amplitude mode functions = single-frequency waves
as 4D fields

Kaluza-Klein expansion

Consider $n=1$

$$\Phi(t, x_1, x_2, x_3, y) = \sum_n \varphi_n(t, x_1, x_2, x_3) f_n(y)$$

φ_n amplitude as 4D fields $f_n(y)$ mode functions (single-frequency waves)

periodic boundary condition $f(y)=f(y+L)$

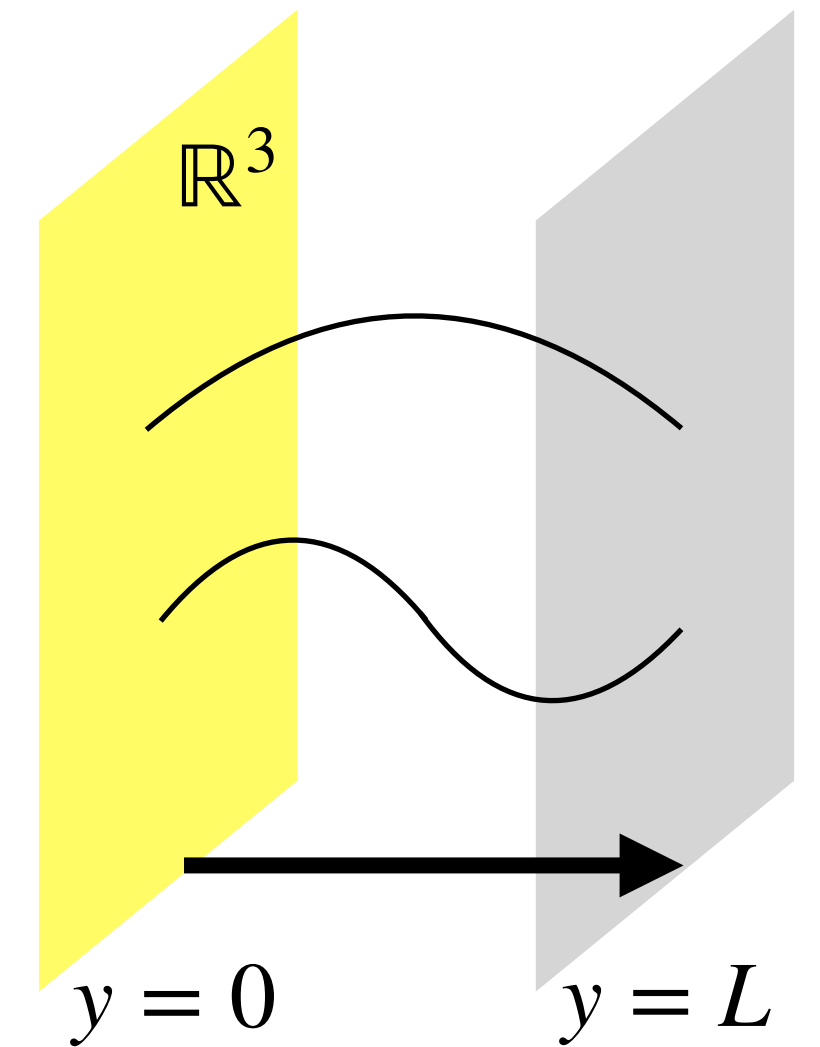
$$f_n(y) = \exp\left(i\frac{2\pi n}{L}y\right), \quad n \in \mathbb{Z}$$

Dirichlet boundary condition $f(y)=f(y+L)=0$

$$f_n(y) = \sin\left(\frac{\pi n}{L}y\right), \quad n = 1, 2, \dots$$

Neumann boundary condition $f'(y)=f'(y+L)=0$

$$f_n(y) = \cos\left(\frac{\pi n}{L}y\right), \quad n = 0, 1, 2, \dots$$



A higher dim. field produces **an infinite tower of 4dim fields with label n** through mode expansion: **Kaluza-Klein modes**
 Next question: **what are their masses?**

Kaluza-Klein masses

KK expansion $\Phi(t, \vec{x}, y) = \sum_n \varphi_n(t, \vec{x}) f_n(y)$

To obtain masses, write down **equation of motion**.

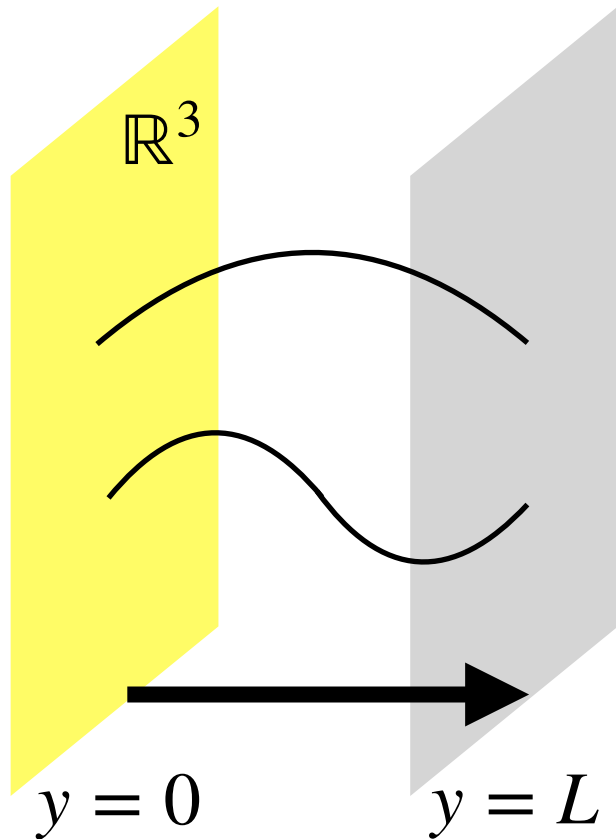
setup: **Higher dimensional** equation of motion

example: massless 5D Klein-Gordon $(\partial_t^2 - \vec{\nabla}_x^2 - \partial_y^2)\Phi(t, \vec{x}, y) = 0$

Substituting the KK expansion into the bulk EoM,
we obtain **EoMs of the 4D fields** φ_n and then read off their masses: **Kaluza-Klein masses**

If you ignore $\vec{x}$, it's nothing but a **string between two boundaries**
in undergrad course on the wave & oscillation.
You can just regard $\vec{x}$ as indices on top of the mode label n .

Kaluza-Klein masses



$$\Phi(t, \vec{x}, y) = \sum_n \varphi_n(t, \vec{x}) f_n(y) \quad + \quad (\partial_t^2 - \vec{\nabla}_x^2 - \partial_y^2) \Phi(t, \vec{x}, y) = 0$$

Dirichlet b.c. $f_n(y) = \sin\left(\frac{\pi n}{L}y\right) \rightarrow \left(\partial_t^2 - \vec{\nabla}_x^2 + \left(\frac{\pi}{L}n\right)^2\right) \varphi_n(t, \vec{x}) = 0$

5dim field Φ = an infinite tower of φ_n of mass $\pi|n|/L$ for $n > 0$

No zero mode. Only massive modes.

If L is too small, all modes will not be observed.

$$\begin{array}{c} \frac{3\pi}{L} \quad \vdots \quad \varphi_3 \\ \frac{2\pi}{L} \quad \varphi_2 \\ \frac{\pi}{L} \quad \varphi_1 \end{array}$$

Neumann b.c. $f_n(y) = \cos\left(\frac{\pi n}{L}y\right) \rightarrow \left(\partial_t^2 - \vec{\nabla}_x^2 + \left(\frac{\pi}{L}n\right)^2\right) \varphi_n(t, \vec{x}) = 0$

5dim field Φ = an infinite tower of φ_n of mass $\pi|n|/L$ for $n \geq 0$

n=0: massless, $|n|>0$: massive

If L is sufficiently small, **only zero mode** will survive at low energies

$$\begin{array}{c} \frac{3\pi}{L} \quad \vdots \quad \varphi_3 \\ \frac{2\pi}{L} \quad \varphi_2 \\ \frac{\pi}{L} \quad \varphi_1 \\ 0 \quad \varphi_0 \end{array}$$

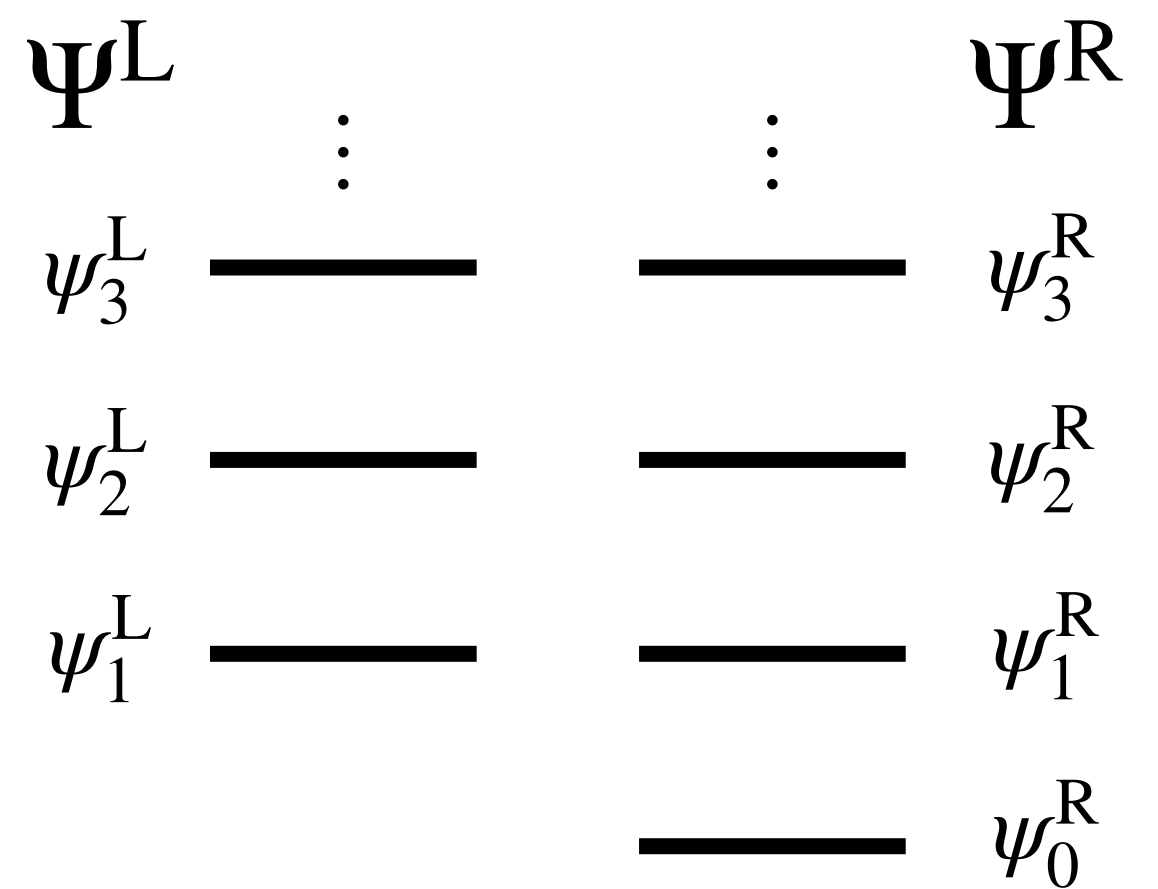
Kaluza-Klein expansion of 5D spinor

KK expansion $\Psi = \begin{pmatrix} \Psi^L \\ \Psi^R \end{pmatrix}$ $\Psi^L(t, \vec{x}, y) = \sum_n \psi_n^L(t, \vec{x}) f_n^L(y)$ $\Psi^R(t, \vec{x}, y) = \sum_n \psi_n^R(t, \vec{x}) f_n^R(y)$

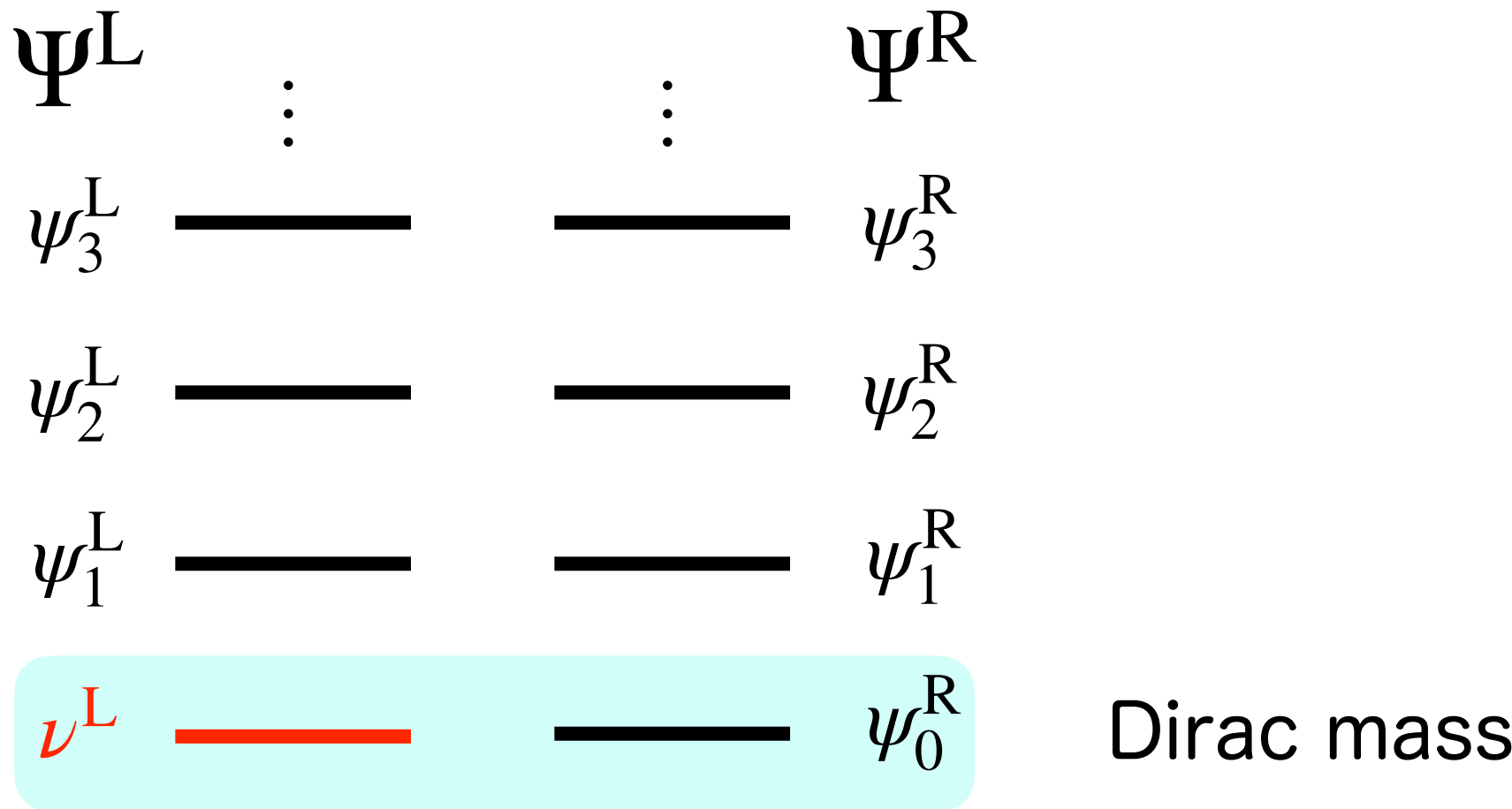
Under 4D transformations, Ψ^L and Ψ^R transform as 4D L and R spinors:
So, 4D KK fields ψ_n^L and ψ_n^R also transform as 4D L and R spinors, as desired.

Scenario:

without bulk-brane coupling



with bulk-brane coupling



If there is a L-zero mode on top of neutrino,
it may have been already detected
--> let's suppress it as a minimum setup.

bulk-brane interaction

Total action of the 5D model

What is the total action of the system?

Dirac action of the bulk fermion $\int d^4x dy \, i\bar{\Psi}\gamma^M\partial_M\Psi$ Lorentz invariant kinetic term

$\Downarrow$

equation of motion: $i\gamma^M\partial_M\Psi = 0 \iff$

$$\begin{aligned} i\bar{\sigma}^\mu\partial_\mu\Psi^L + \partial_y\Psi^R &= 0 \\ i\sigma^\mu\partial_\mu\Psi^R - \partial_y\Psi^L &= 0 \end{aligned}$$

Left-Right mixed

+

bulk-boundary interaction $g\langle H\rangle\int dt d^3x [\Psi^{R\dagger}(y=0)\nu^L + \nu^{L\dagger}\Psi^R(y=0)]$

$$\gamma^\mu = \begin{pmatrix} O_{2\times 2} & \sigma^\mu \\ \bar{\sigma}^\mu & O_{2\times 2} \end{pmatrix}$$

$$\gamma^4 = i \begin{pmatrix} I_{2\times 2} & O_{2\times 2} \\ O_{2\times 2} & -I_{2\times 2} \end{pmatrix}$$

$$\Psi = \begin{pmatrix} \Psi^L \\ \Psi^R \end{pmatrix}$$

$$\bar{\Psi} = (\Psi^{R\dagger}, \Psi^{L\dagger})$$

1. We first make KK expansion of the bulk part,
2. Add the bulk-brane interaction.

LR asymmetry in boundary conditions

Impose Dirichlet b.c. on L: $\Psi^L(y=0) = \Psi^L(y=L) = 0$

$$f_n^L(y) = \sin(n\pi y/L), \quad n = 1, 2, \dots \quad \text{No zero mode}$$

This automatically fixes the boundary condition of the R-part [through the equation of motion](#)

$$\begin{array}{c} \Psi^L \\ \vdots \\ \psi_3^L \text{ ---} \\ \psi_2^L \text{ ---} \\ \psi_1^L \text{ ---} \end{array}$$

LR asymmetry in boundary conditions

Impose Dirichlet b.c. on L: $\Psi^L(y=0) = \Psi^L(y=L) = 0$

$$f_n^L(y) = \sin(n\pi y/L), \quad n = 1, 2, \dots \quad \text{No zero mode}$$

This automatically fixes the boundary condition of the R-part **through the equation of motion**

Dirac equation of 5D spinor

$$i\bar{\sigma}^\mu \partial_\mu \Psi^L(t, \vec{x}, y) + \partial_y \Psi^R(t, \vec{x}, y) = 0 \quad \text{Left-Right mixed}$$

At the boundaries $y=0, L$,
this term vanishes
since it has no y -derivative.

$\Rightarrow 0 \Rightarrow$

$$\partial_y \Psi^R|_{y=0,L} = 0$$

Neumann boundary condition

$$f_n^R(y) = \cos(n\pi y/L), \quad n = 0, 1, 2, \dots$$

Zero mode exists!

Geometrical realisation of
Left-Right asymmetry

Ψ^L	Ψ^R
$\vdots$	$\vdots$
ψ_3^L —	— ψ_3^R
ψ_2^L —	— ψ_2^R
ψ_1^L —	— ψ_1^R
	— ψ_0^R

Kaluza-Klein mass spectrum

5D Dirac equations + mode expansions --> 4D Dirac/Weyl equations

How can we read off the mass of spinor fields?

4D Dirac equations $i\sigma^\mu \partial_\mu \psi^R - m\psi^L = i\bar{\sigma}^\mu \partial_\mu \psi^L - m\psi^R = 0 \implies \text{mass} = |m|$

4D Weyl equations $i\sigma^\mu \partial_\mu \psi^R = i\bar{\sigma}^\mu \partial_\mu \psi^L = 0 \implies \text{mass} = 0$

$$\begin{aligned} i\sigma^\mu \partial_\mu \Psi^R(t, \vec{x}, y) - \partial_y \Psi^L(t, \vec{x}, y) &= 0 \implies i\sigma^\mu \partial_\mu \psi_n^R - (n\pi/L)\psi_n^L = 0 \\ i\bar{\sigma}^\mu \partial_\mu \Psi^L(t, \vec{x}, y) + \partial_y \Psi^R(t, \vec{x}, y) &= 0 \implies i\sigma^\mu \partial_\mu \psi_n^L + (n\pi/L)\psi_n^R = 0 \end{aligned} \implies \text{mass spectrum } m_n = \frac{\pi}{L}n$$

$$\Psi^L(t, \vec{x}, y) = \sum_n \psi_n^L(t, \vec{x}) \sin(\pi n y / L)$$

$$\Psi^R(t, \vec{x}, y) = \sum_n \psi_n^R(t, \vec{x}) \cos(\pi n y / L)$$

Ψ^L			Ψ^R
	$\vdots$	$\vdots$	
ψ_3^L	—	—	ψ_3^R
ψ_2^L	—	—	ψ_2^R
ψ_1^L	—	—	ψ_1^R
		—	ψ_0^R

Dirac equation and mass

$$\text{4D Dirac equations} \quad i\sigma^\mu \partial_\mu \psi^R - m\psi^L = i\bar{\sigma}^\mu \partial_\mu \psi^L - m\psi^R = 0 \quad \implies \quad \text{mass} = |m|$$

$$\text{4D Weyl equations} \quad i\sigma^\mu \partial_\mu \psi^R = i\bar{\sigma}^\mu \partial_\mu \psi^L = 0 \quad \implies \quad \text{mass} = 0$$

WHY?

$$\text{Dirac equation:} \quad i\sigma^\mu \partial_\mu \psi^R - m\psi^L = i\bar{\sigma}^\mu \partial_\mu \psi^L - m\psi^R = 0 \quad \leftrightarrow \quad i\gamma^\mu \partial_\mu \psi - m\psi = 0 \quad \gamma^\mu = \begin{pmatrix} O_{2 \times 2} & \sigma^\mu \\ \bar{\sigma}^\mu & O_{2 \times 2} \end{pmatrix}$$

$$m^2 \psi = m(m\psi) = m(i\gamma^\mu \partial_\mu \psi) = i\gamma^\mu \partial_\mu (m\psi) = (i\gamma^\mu \partial_\mu)(i\gamma^\nu \partial_\nu) \psi = -\frac{1}{2} \{\gamma^\mu, \gamma^\nu\} \partial_\mu \partial_\nu \psi = \partial_\mu \partial^\mu \psi$$

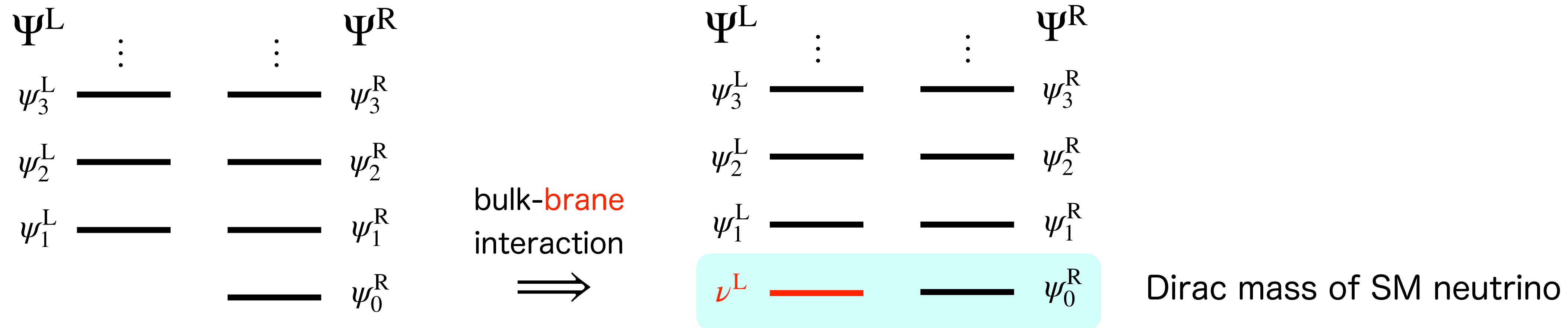
$$\Downarrow$$

$$(\partial_\mu \partial^\mu - m^2) \psi = 0 \quad \text{Klein-Gordon equation}$$

$$\Downarrow$$

$$\text{mass} = |m|$$

With bulk-brane interaction



If $1/L$ is larger than SM energy scale, the higher modes are not observed directly.

$$\begin{aligned}
 &\text{bulk-brane coupling} && \text{Dirac mass} \\
 &\frac{y\langle H\rangle}{M_*^{1/2}}[\Psi^{R\dagger}(y=0)\nu^L + \nu^{L\dagger}\Psi^R(y=0)] &\supset& \frac{y\langle H\rangle f^R(0)}{M_*^{1/2}}[\psi_0^{R\dagger}\nu^L + \nu^{L\dagger}\psi_0^R] \\
 &\Psi^R(t, \vec{x}, y) = \sum_n \psi_n^R(t, \vec{x}) f_n^R(y) && f^R(0) = \frac{1}{\sqrt{L}}
 \end{aligned}$$

Remark 1: There are also mixings of the neutrino with **higher modes**.
 So, we need to diagonalise the mass terms to read off the exact mass.
 But, **KK spectrum structure and Planck scale suppression are still kept**.

Remark 2: We don't have a direct coupling between bulk fermion and SM weak boson
 Thus, **KK fermions behave as sterile neutrinos**.

Conclusion

- Extra dimensional setup can offer natural suppression of interactions through higher dim. Planck scale.
- 4D and 5D spinors look almost the same.
5D spinors necessarily mix L and R handed spinors (left-right symmetric) as opposed to 4D spinors.
Once they are put on a finite interval and make the KK expansion,
we can suppress the L part and keep only R part.
This realises the violation of the left-right symmetry in 4D.
- We can apply this to the Dirac mass term generation of the Standard Model neutrino through a bulk-brane coupling between the R zero mode of a bulk 5D fermion and the L-handed SM neutrino. Higher KK mode fermions behave as sterile neutrinos.
- The bulk-brane coupling has a negative mass dimension, which allows the Planck mass suppression of the coupling and achieves a small neutrino mass.
- If masses of the higher KK modes are detected by neutrino experiments (direct mass, oscillation), it would give a hint for the extra dimensions.

Thank you very much!

Backup

Gravitational forces in 4D and bulk

- Consider a (4+n)D bulk with a 4D spacetime embedded
gravitational force propagates in the bulk

Question: How does the bulk gravitational force feel in the (3+1)D Minkowski spacetime brane?

- 1st task: find gravitational constant in general dimensions

Gauss law as in electrostatics (<-- in both cases, potentials satisfy Laplace eq. with a source in space)

Coulomb force = (electric charge) x (electric field), electric field satisfies Gauss law

Gravitational force = (mass) x (gravitational field), gravitational field satisfies Gauss law

$$F = qE, \quad 4\pi r^2 E = \varepsilon_0 Q$$

$$F = mg, \quad 4\pi r^2 g = -G_N M$$

$$[G_N] = \text{M}^{-1} \text{L}^3 \text{T}^{-2}$$

$$F = qE, \quad r^{2+n} E \sim \varepsilon_{4+n} Q$$

$$F = mg, \quad r^{2+n} g \sim -G_{4+n} M$$

$$[G_{4+n}] = \text{M}^{-1} \text{L}^{3+n} \text{T}^{-2}$$

Gravitational constant and Planck mass

- Use energy scales to classify physical phenomena
- Planck mass = [mass] quantity made of the fundamental constants $c, \hbar, G$

$$M_P = \sqrt{\frac{c\hbar}{G_N}} \qquad M_{4+n} = \left(\frac{c^{1-n} \hbar^{1+n}}{G_{4+n}} \right)^{\frac{1}{2+n}}$$

- use the natural unit: $c = \hbar = 1$: [mass] = [energy] = [1/length] = [1/time]

$$M_P = G_N^{-\frac{1}{2}} \qquad M_{4+n} = G_{4+n}^{-\frac{1}{2+n}}$$

- The Planck mass gives the maximum energy (mass) scale beyond which quantum gravity will be needed.
- 4D Planck mass is much³ higher than the energy scale of Standard Model (electroweak scale) $O(100)$ GeV

- 4D Minkowski brane in the bulk: $G_N = \frac{G_{4+n}}{V_n}$ $M_{Pl}^2 = M_{4+n}^{2+n} V_n$

An idea: explain the huge M_{Pl} in terms of smaller M_{4+n} and the volume V_n

Gravitational forces in 4D and bulk

- Next task: How does the bulk gravitational force feel in (3+1)D brane?

R: size of each direction in the extra dimensions

Region of scale $r \gg R$: extra dimensions look too small --> effectively 4D --> 4D gravity

Region of scale $r < R$: extra dimensions get visible --> bulk --> (4+n)D gravity

--> at $r=R$, the two gravitational forces may be comparable

$$\frac{G_N}{R^2} = \frac{G_{4+n}}{R^{2+n}} \implies G_N = \frac{G_{4+n}}{R^n} = \frac{G_{4+n}}{V_n}$$

- The volume of the extra directions reduces the bulk gravitational constant
 - > the bulk gravitational constant as a fundamental scale of the system
 - > 4D gravitational constant emerges as an effective one, suppressed by the volume of the extra dimensions

Gravitational forces in 4D and bulk

sloppy notation: $1 = i = e = \pi$

- A simple way to derive this: look at the Einstein-Hilbert action

$$\frac{1}{G_{4+n}} \int d^4x d^n y \sqrt{-G} R(G) \quad \begin{array}{l} \text{decompose the metric: } G_{MN} = \begin{pmatrix} g_{\mu\nu}(x) & 0 \\ 0 & h_{ij}(y) \end{pmatrix} \\ \text{curvature: } R(G) \sim R(g) + R(h) \end{array} \quad \begin{array}{l} M, N = 0, 1, 2, \dots, 3 + n \\ \mu, \nu = 0, 1, 2, 3 \\ i, j = 1, 2, \dots, n \end{array}$$

The y-integral gives an integral over 4D Minkowski

$$V_n = \int d^n y \sqrt{h}$$

$$\frac{1}{G_{4+n}} \int d^4x d^n y \sqrt{-G} R(G) \supset \frac{1}{G_{4+n}} \int d^n y \sqrt{h} \int d^4x \sqrt{-g} R(g) \sim \frac{V_n}{G_{4+n}} \int d^4x \sqrt{-g} R(g) = \frac{1}{G_N} \int d^4x \sqrt{-g} R(g)$$

This is the effective 4D action we want

$$\frac{V_n}{G_{4+n}} \int d^4x \sqrt{-g} R(g) = \frac{1}{G_N} \int d^4x \sqrt{-g} R(g) \implies \frac{V_n}{G_{4+n}} = \frac{1}{G_N} \implies G_N = \frac{G_{4+n}}{V_n}$$

In Planck masses

$$M_{\text{Pl}}^2 = M_{4+n}^{2+n} V_n$$