



Charm hadronization through jets in pp collisions with ALICE

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IX Reunião Geral

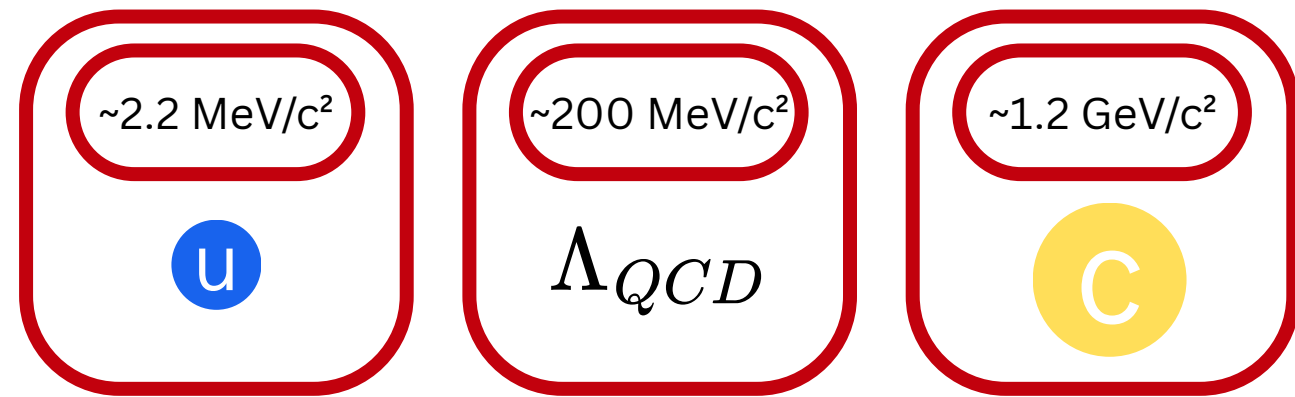
Projeto Especial FAPESP "Física e Instrumentação de Altas Energias com o LHC-CERN"

26th March, 2026



Physics motivation

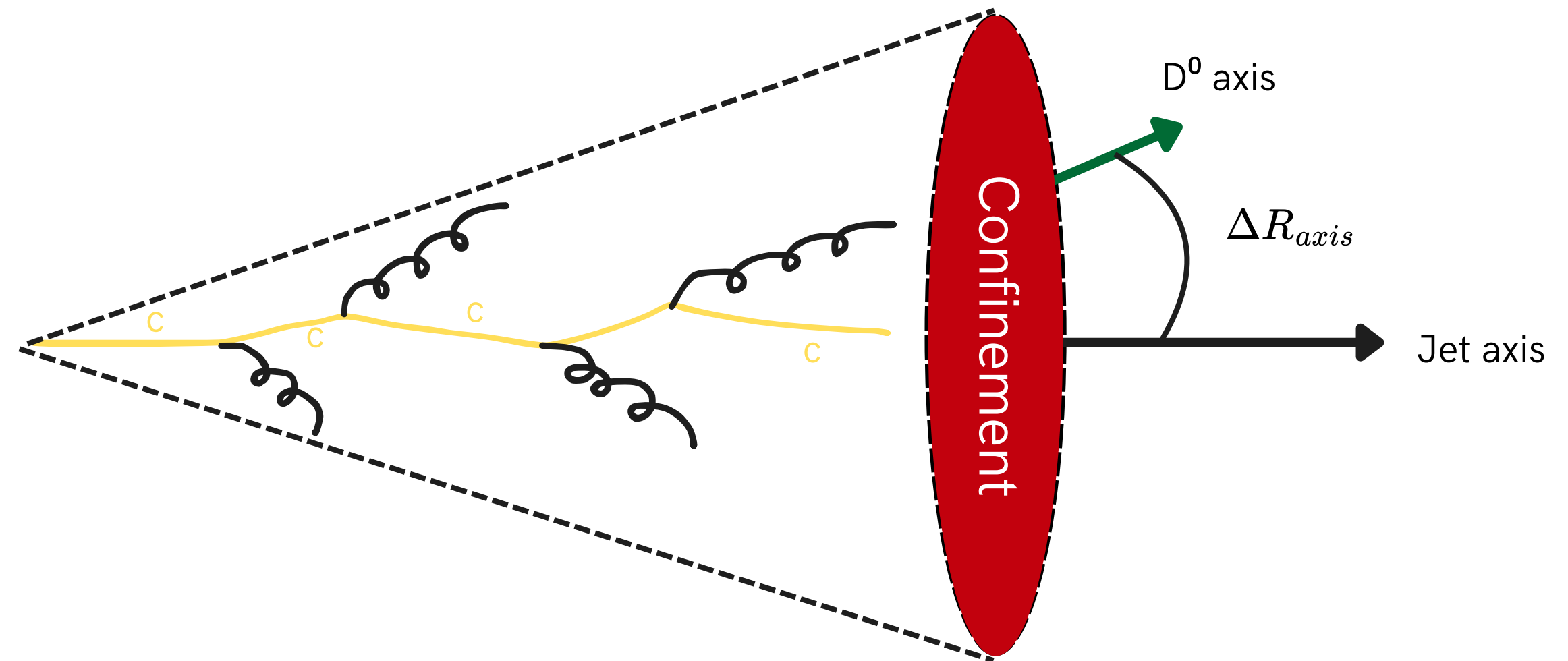
Is hadronization universal for charm particles?



How far away did the charm quark hadronized with respect to the initiating direction?

$$\Delta R_{axis} = \sqrt{(\Delta\eta)^2 + (\Delta\varphi)^2}$$

Need to rely on models.
Hadronization is a non-perturbative process.
But is it universal for charm particles?



Decay Topology

Exclusive channel

Reconstruction of D^0

$$D^0 \rightarrow K^- + \pi^+, B. R. = (3.947 \pm 0.030)\%$$

$$|y_{D^0}| < 0.8$$

$$1 < p_{T,D^0} < 36 \text{ GeV}/c$$

P(background) < optimal value (BDT score)

Jet reconstruction

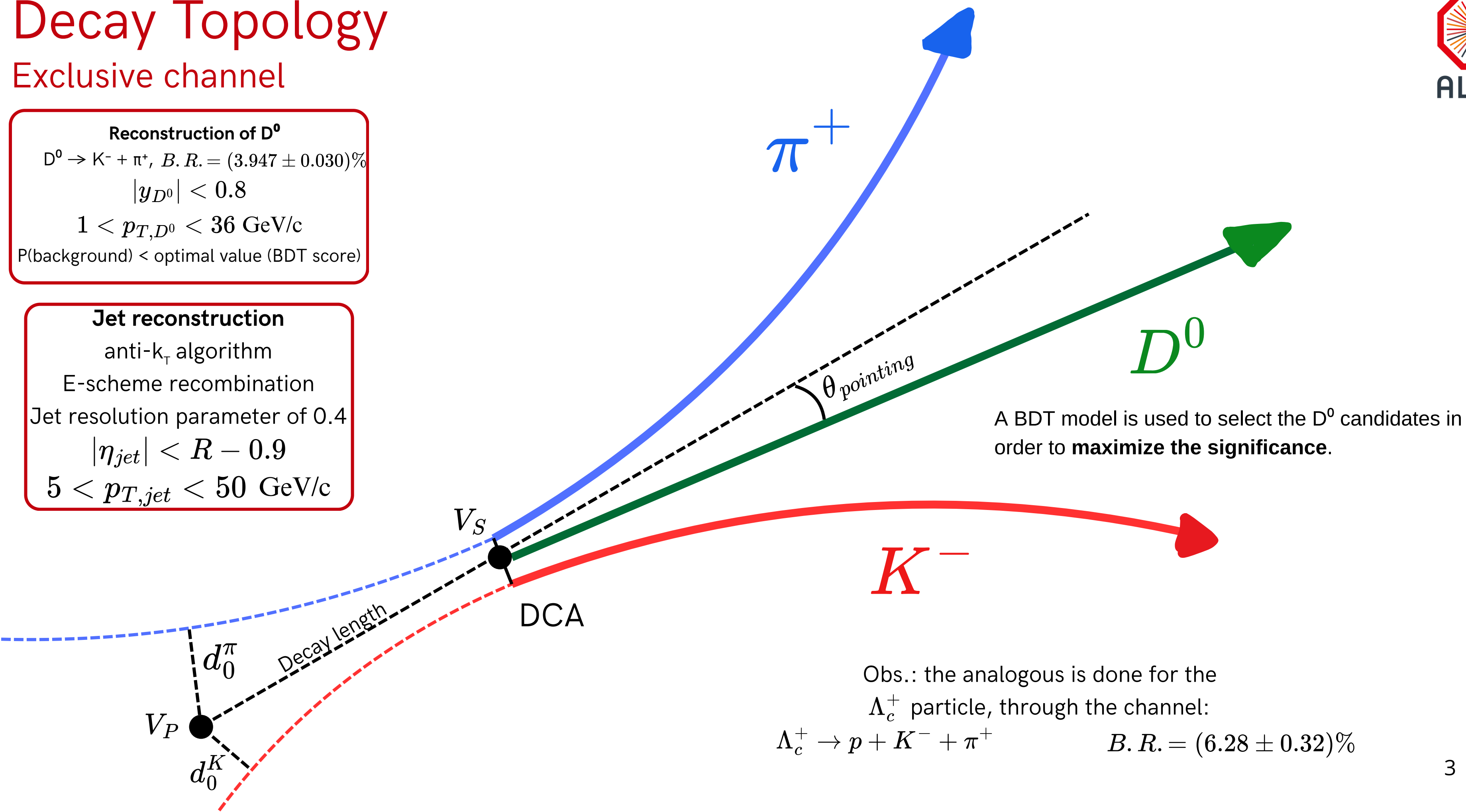
anti- k_T algorithm

E-scheme recombination

Jet resolution parameter of 0.4

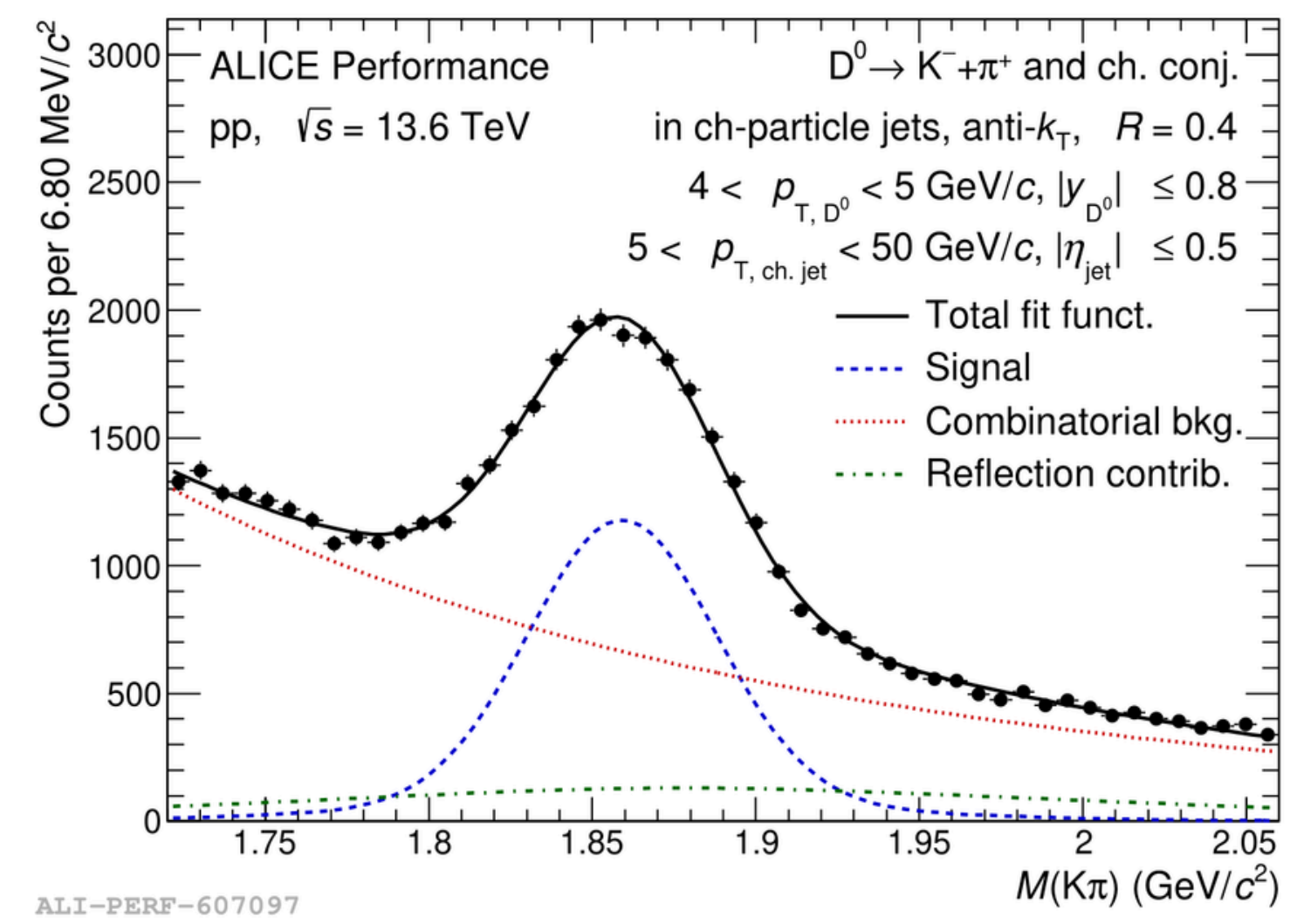
$$|\eta_{jet}| < R - 0.9$$

$$5 < p_{T,jet} < 50 \text{ GeV}/c$$



Background subtraction

Side-bands method



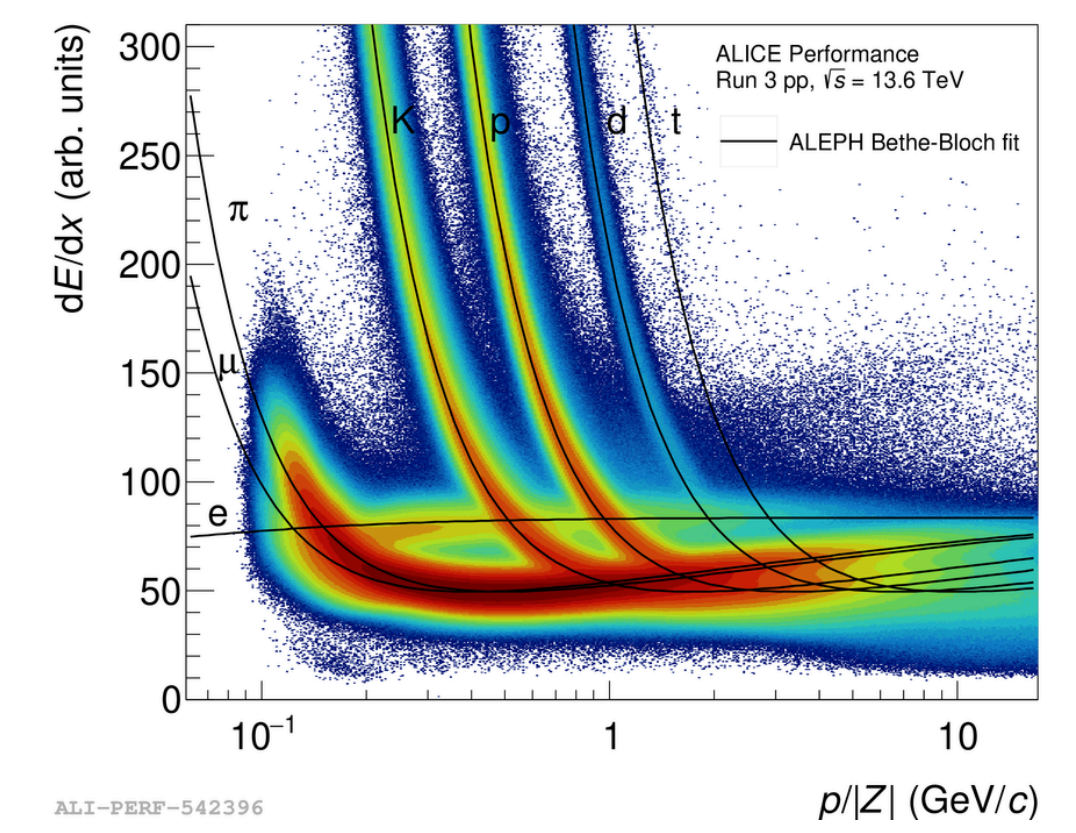
Side-band subtraction method

$$J_S = \beta \left(Y_S - \frac{B_S}{B} Y_{SB} \right)$$

Account for reflections contribution

$$\beta = \frac{S}{S + R_S - \frac{B_S}{B} R}$$

What are reflections?
Inconclusive ID between a kaon or pion



Obs.: reflections contribution is not present in Λ_c^+ distribution.

Both hypothesis are computed and stored as different candidates.

Background estimation:
shape \rightarrow side-bands
fraction \rightarrow fit areas

Reflections estimation:
shape \rightarrow MC fit
fraction \rightarrow data fit

Efficiencies

Selection efficiency

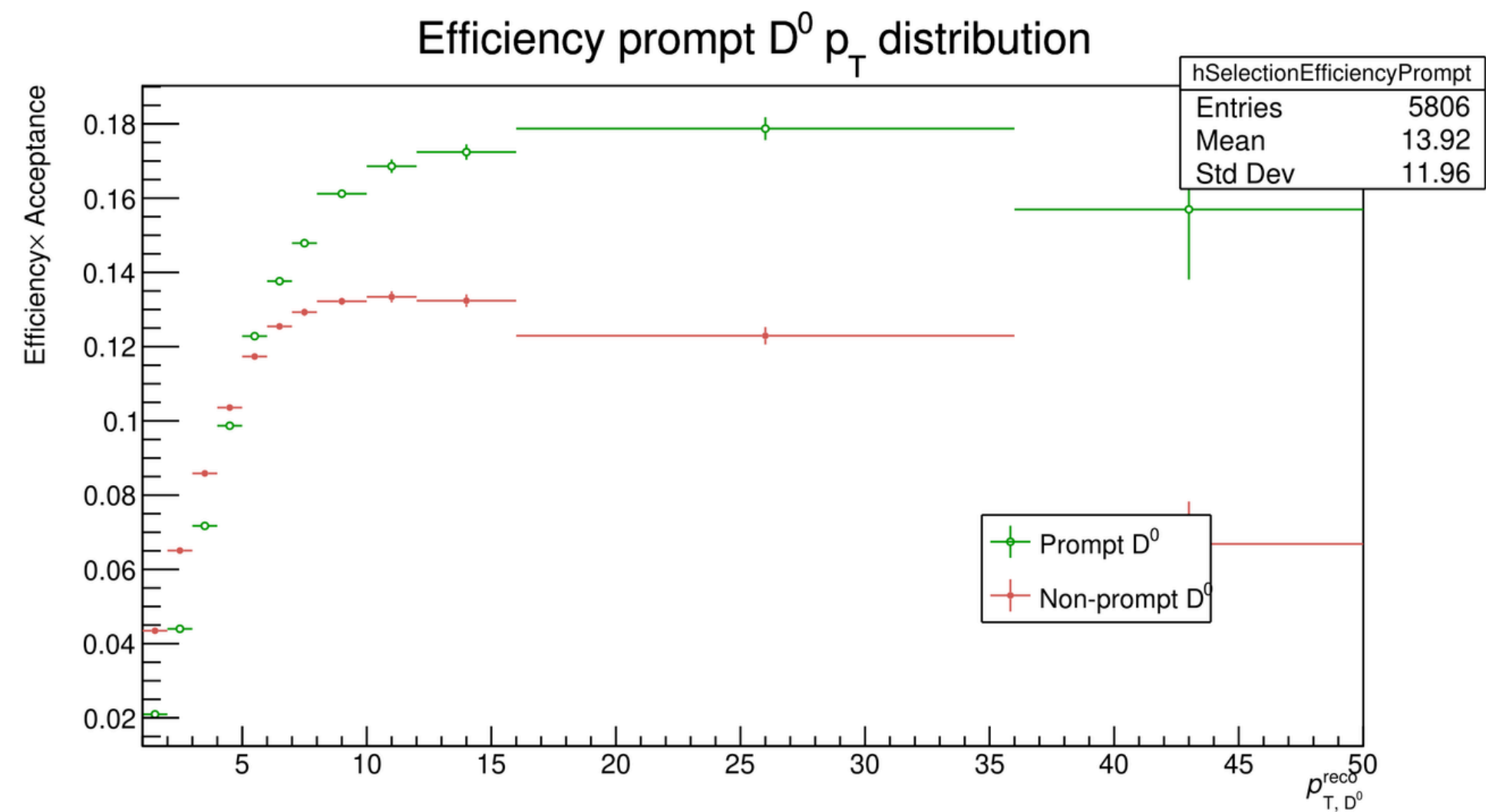
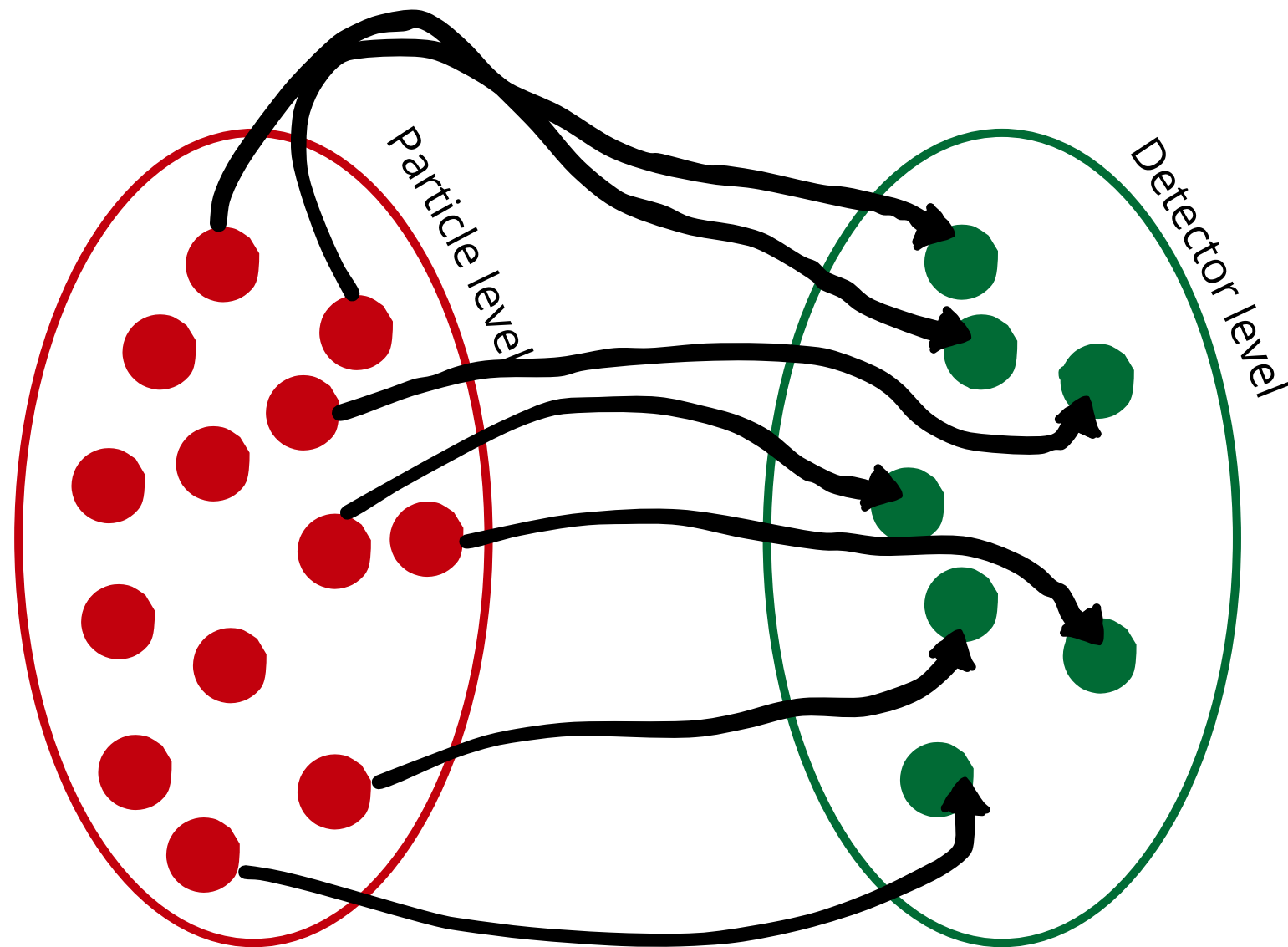
Not all of the D^0 are actually measured, due to limit detector resolution and the **selection cuts** applied to the data

How to estimate the true number of D^0 jets?

$$\varepsilon(p_{T,D^0}) = \frac{N_{reco}(p_{T,D^0})}{N_{gen}(p_{T,D^0})}$$

Needs to be folded to include detector smearing effects

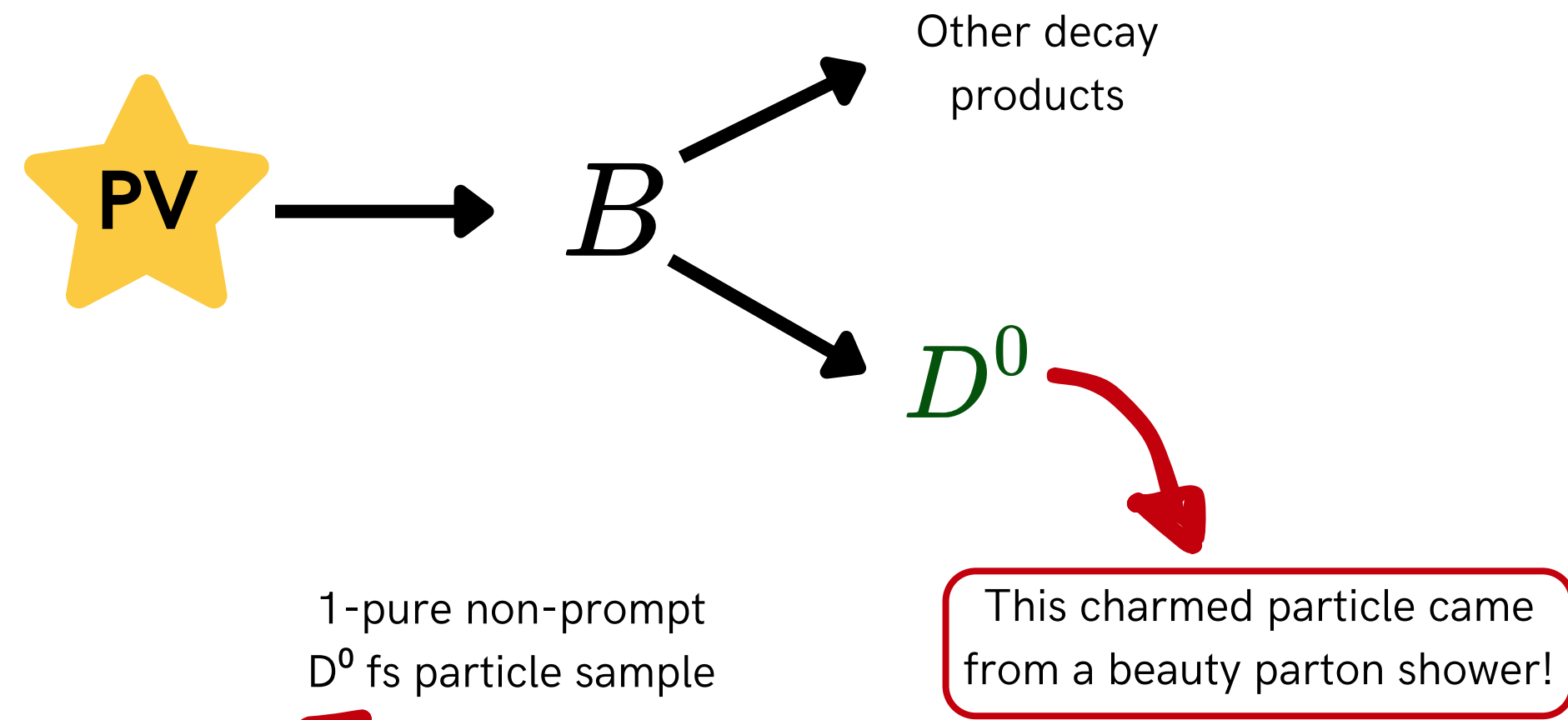
Dependent on the D^0 transverse momentum due to larger displaced vertex for more boosted cases.



Reconstruction steps

Feed-down subtraction

Not all of the measured D^0 s were originated from a charm quark parton shower.
 These fraction of non-prompt D^0 s contaminate the sample and thus needs to be estimated and removed.



$$N^{c \rightarrow HF} = N^{b,c \rightarrow HF} - \frac{\mathcal{L}_{\text{measured}}}{\mathcal{L}_{\text{POWHEG}}} \times BR\% \times \sum_{p_{T,HF}} \frac{1}{\epsilon_{c \rightarrow HF}^{\text{reco}}(p_{T,HF})} R^{b \rightarrow HF} \otimes \left[\epsilon_{b \rightarrow HF}^{\text{particle}}(p_{T,HF}) \times N_{\text{POWHEG}}^{b \rightarrow HF} \right]$$

5-project on $p_{T,jet} \times \Delta R$

3-apply detector smearing effects

1-pure non-prompt D^0 fs particle sample

6-scaling by luminosities and BR of D^0 decay channel

4-as applied to background subtracted efficiency corrected distribution

2-only non-prompt selected D^0 s should contribute

Shape estimated with truth level PYTHIA+POWHEG simulation of non-prompt D^0 's

Reconstruction steps

Unfolding

Obs.: Kinematic efficiency correction applied before and after the **unfolding procedure**

Response matrix \rightarrow transition probability between bins of kinematic variables



$$M = R \times T$$

Bayesian unfolding

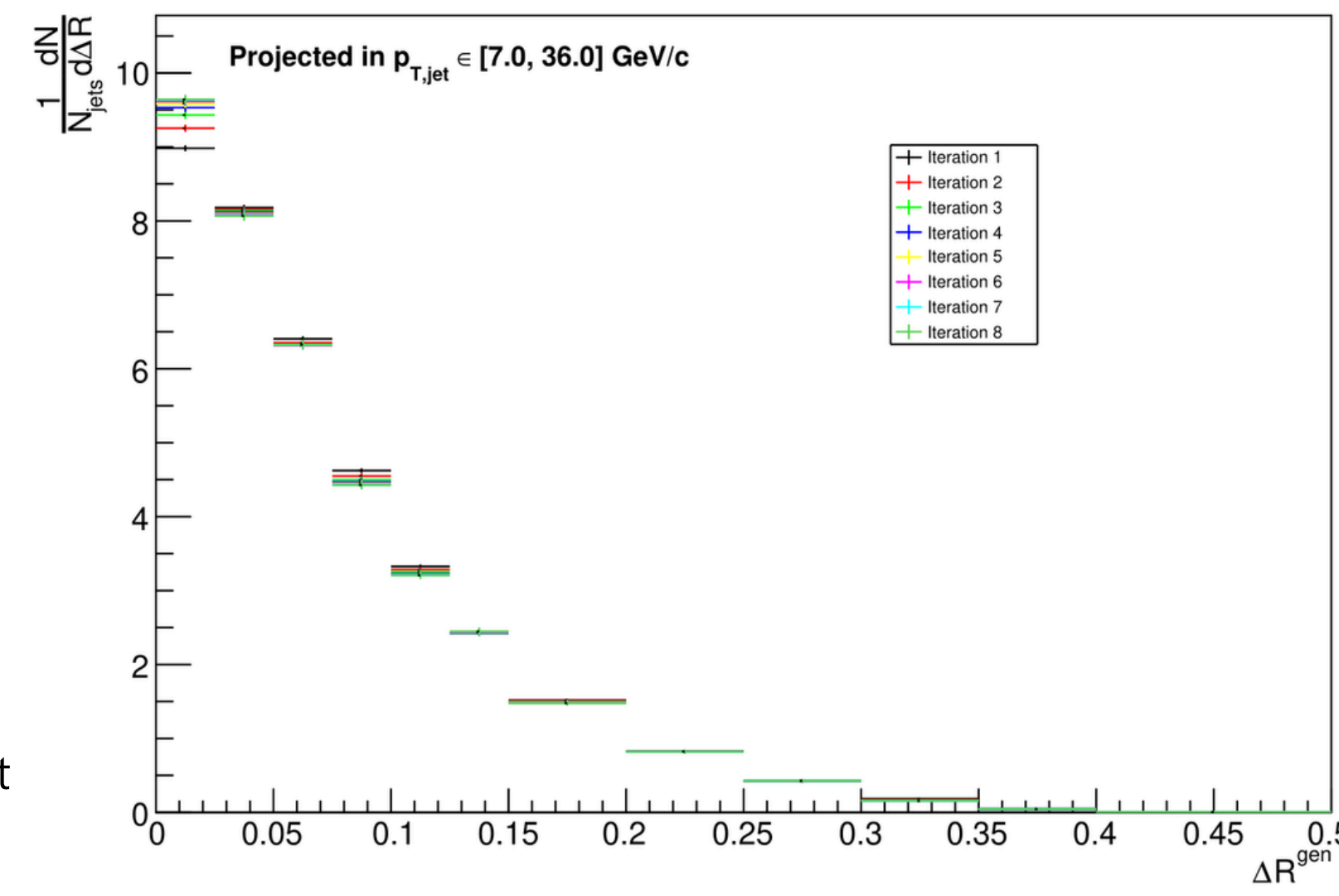
$$p(T/M) = \frac{p(M/T)p(T)}{p(M)}$$

Use a model of the measurement $P(M|T)$ and thus obtain the true T given the measured M distributions

Iterations number

- if it is too high, the bigger the propagated error bars
- if it is too low, can't be sure if the result converges

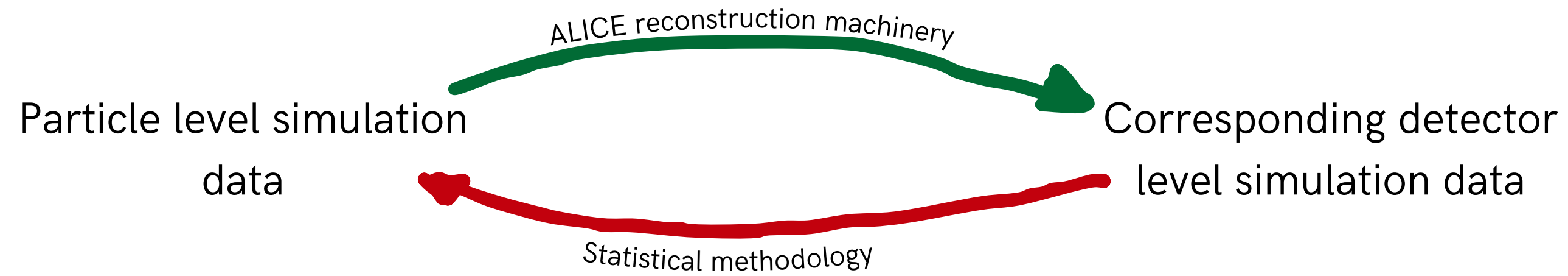
Unfolded with $\epsilon_{kin}^{particle}$ correction, self-normalized



Obs.: 0.4-0.5 bin was used for padding during the unfolding procedure and will not be included in the reported final result.

Closure tests

One method to validate the methodology is through closure tests.

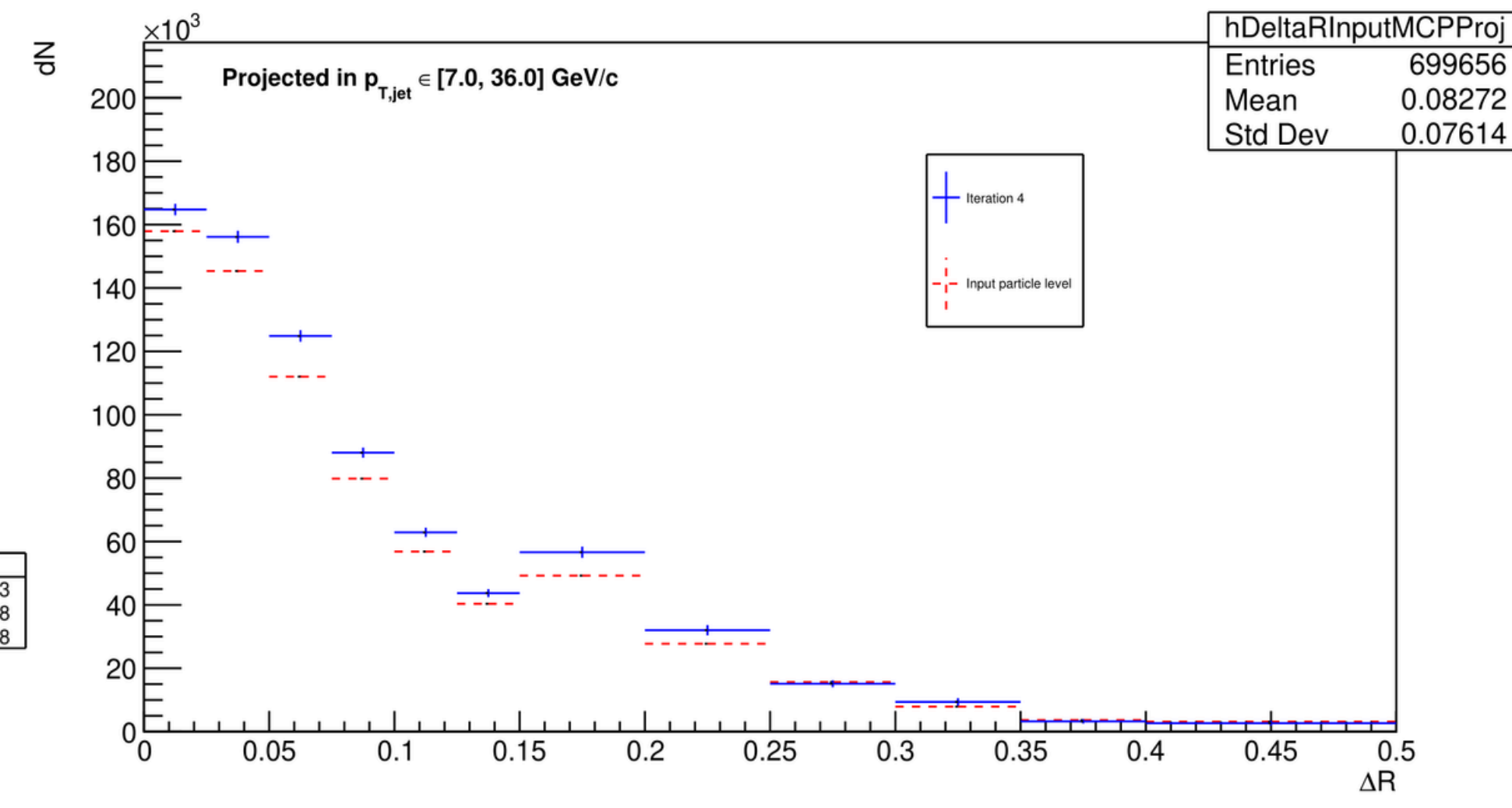
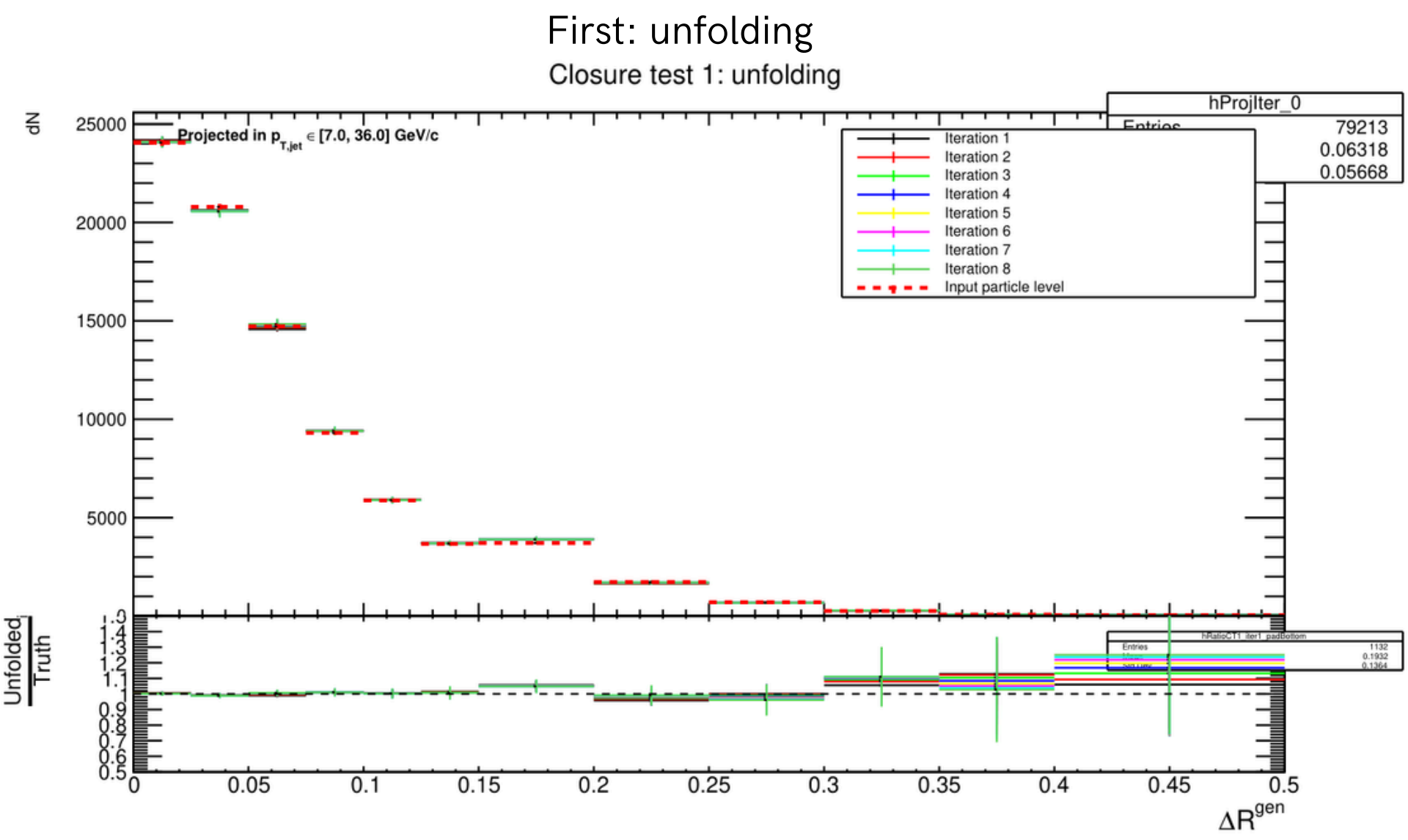


MC data sample randomly split in 2

20% - input sample

80% - correction sample
efficiencies and response matrices

Closure tests



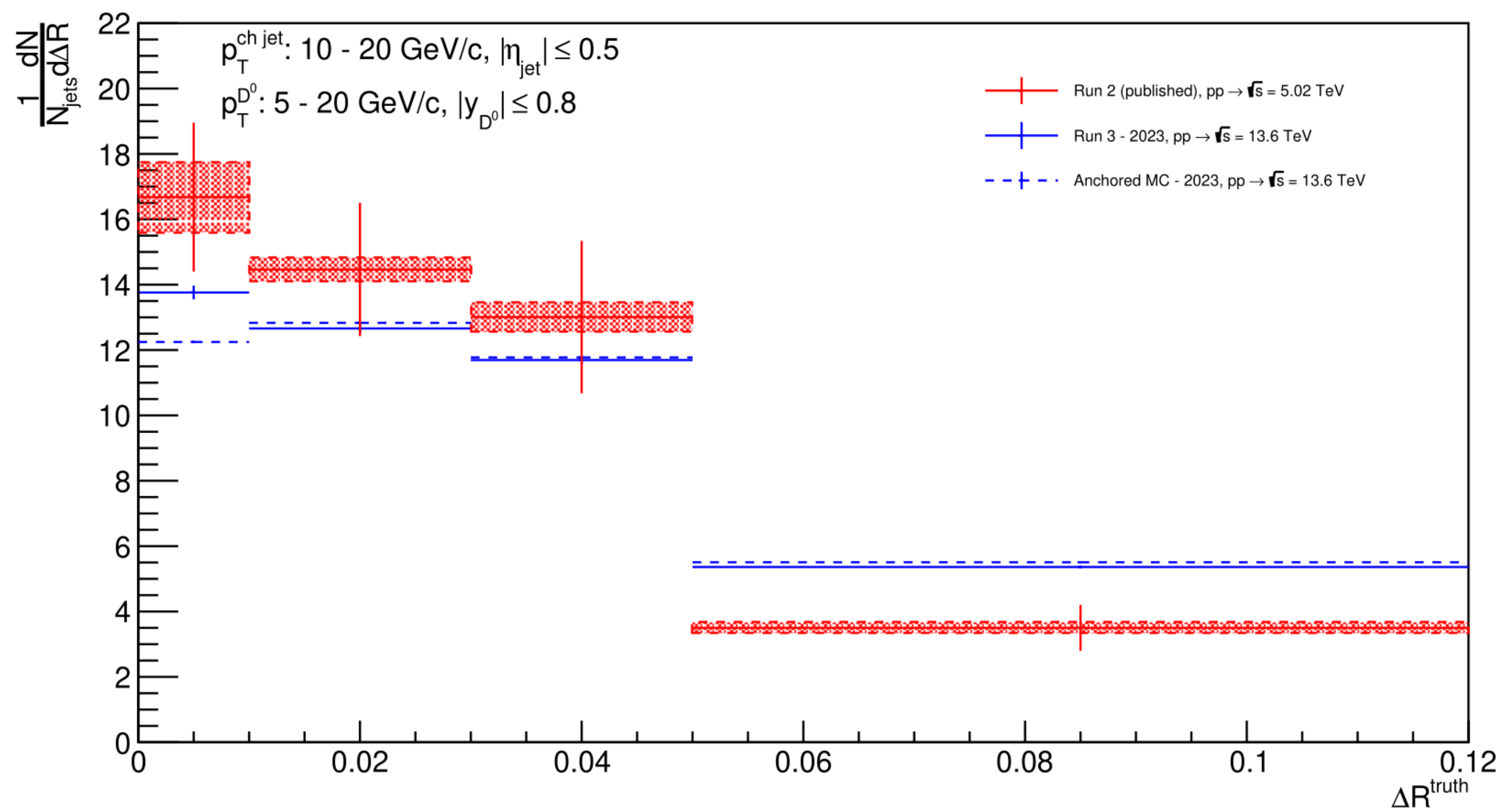
- Second:
- background subtraction
 - + efficiency correction
 - + unfolding

Run 2 measurement

Comparison



Although disagreement is observed with previous result, several points remain opened for this analysis and needs to be investigated and corrected.



Conclusion

The universality of charm quarks hadronization can be explored throughout different heavy-flavor jets.

As the mechanism that describes the parton shower evolution is stochastic, the radial profile observable could be used in other to notice difference in just hadronization for different baryons.

The radial profile measurement is close to its end for the D^0 meson but still has much of the path to be traversed for the baryon. If there is enough time, the Λ_c^+ measurement will also be performed allowing for a more robust comparison result.

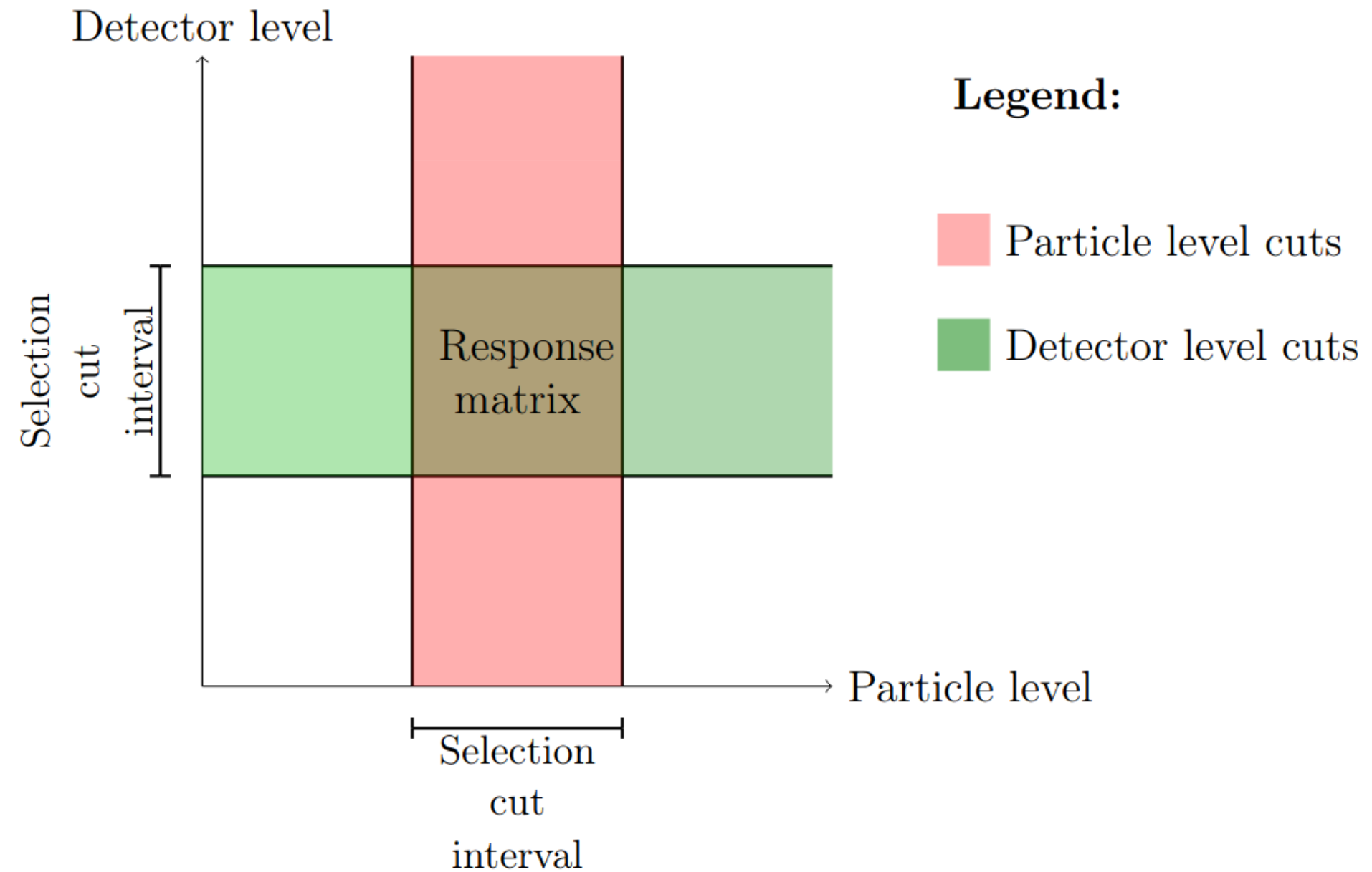
Thank you!

Backup

Efficiencies

Kinematic efficiency

The response matrix can only describe entries within the chosen kinematic range in its definition. This requires correction to data for appropriate usage of the response matrix:



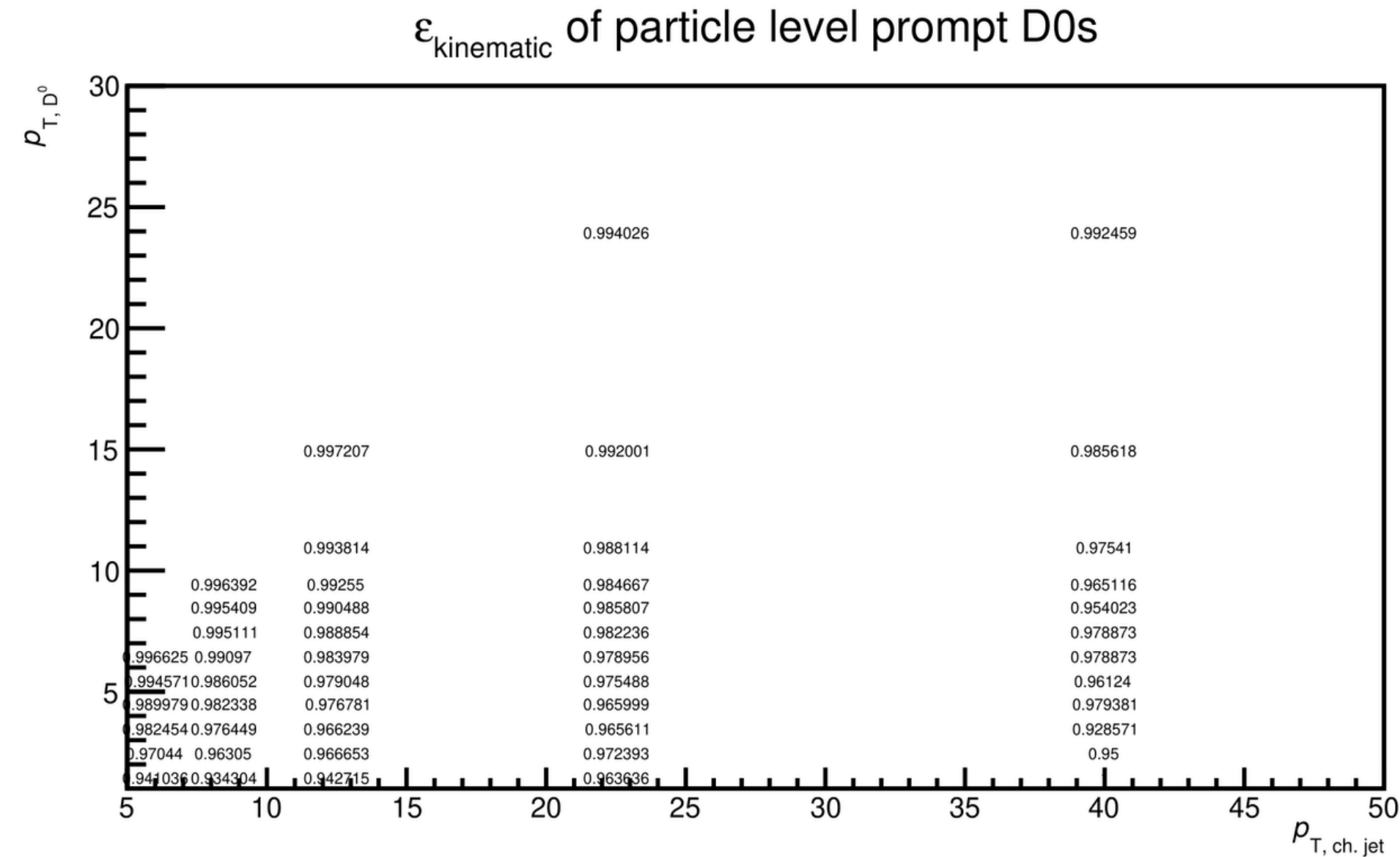
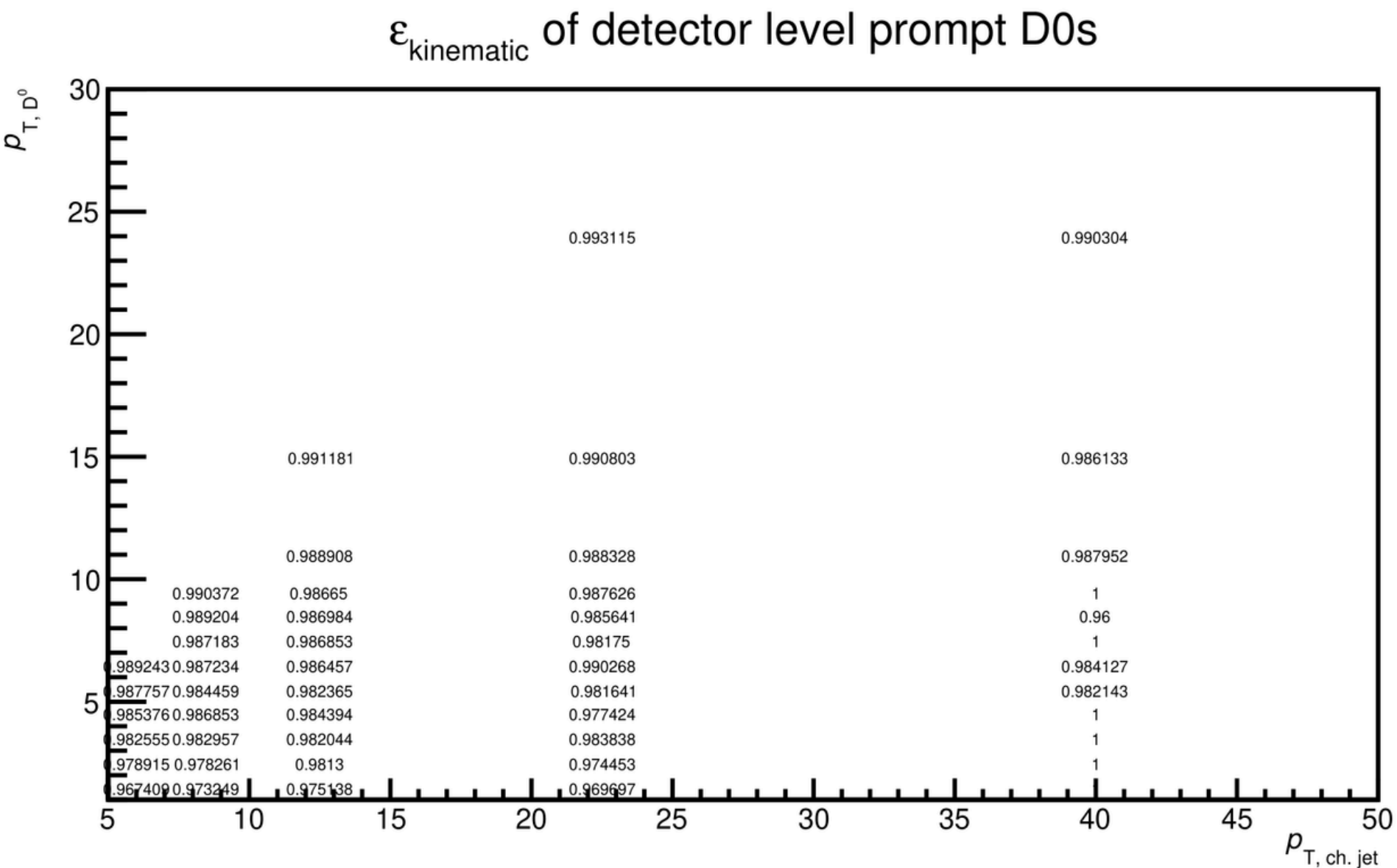
1. removal of not described entries $\times (\epsilon_{kinematic}^{particle})$
2. conversion of the data level to "detector"
3. addition of not described entries $\times (\epsilon_{kinematic}^{detector})^{-1}$

$$\epsilon_{kinematic}^{particle} = \frac{\text{particle level cuts} \cap \text{detector level cuts}}{\text{particle level cuts}}$$

Efficiencies

Kinematic efficiency

The analogous distributions are also obtained for non-prompt D^0 jets.

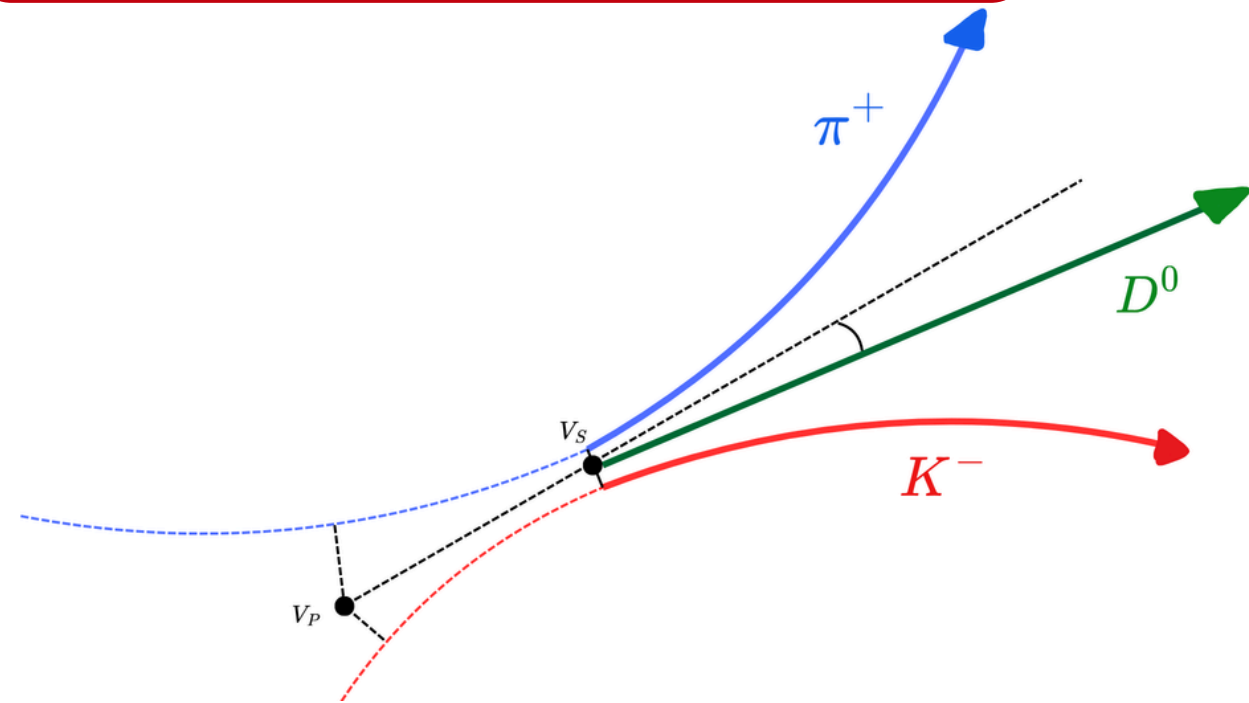


Reconstruction

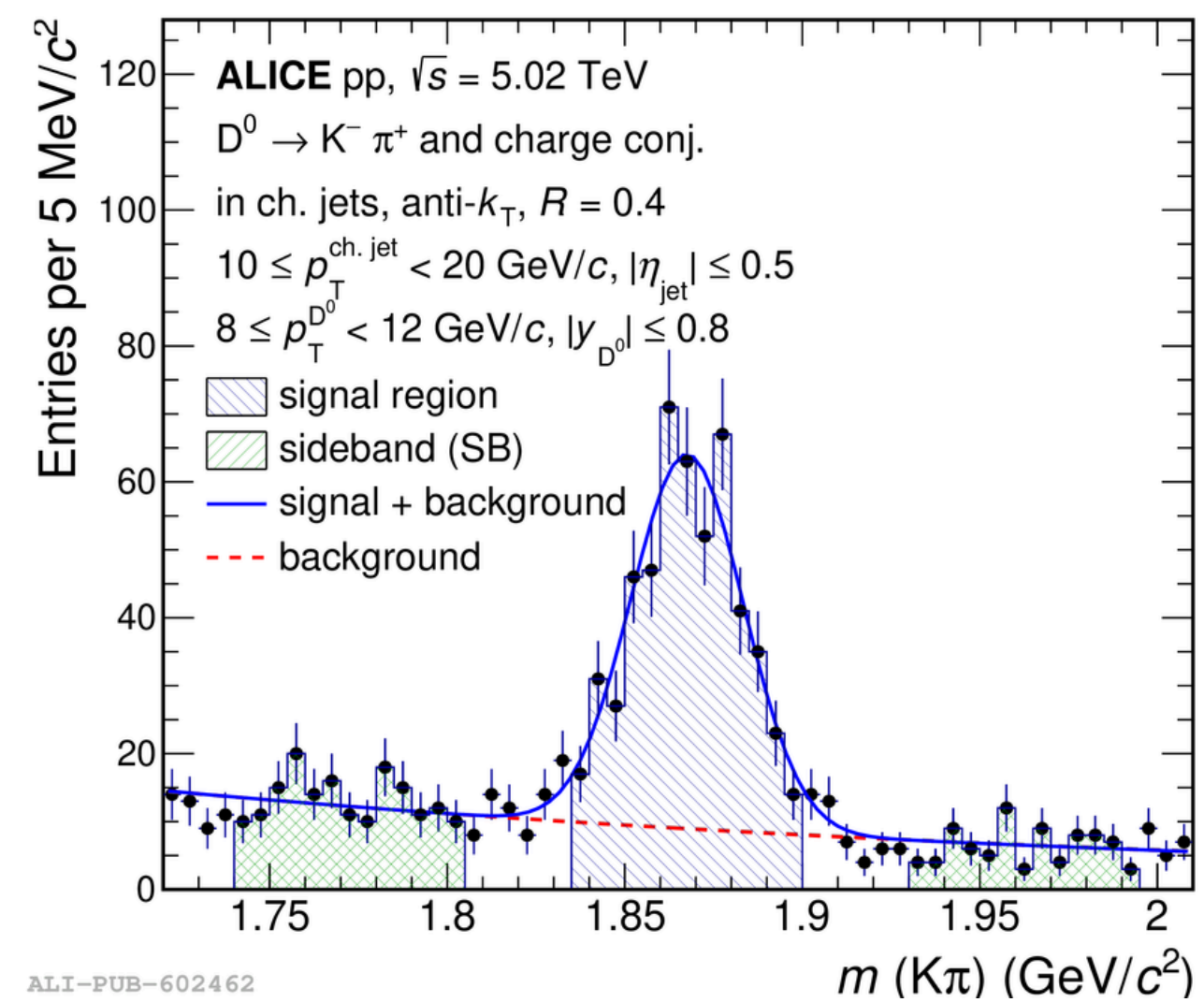
Observable extraction method

Jet reconstruction
 anti- k_T algorithm
 E-scheme recombination
 Jet resolution parameter of 0.4

Reconstruction of D^0
 $D^0 \rightarrow K^- + \pi^+$ $B. R. = (3.947 \pm 0.030)\%$
 Displaced vertex topology cuts



Real D^0 extraction
 removal of combinatorial background \rightarrow side-band method
 removal of wrong mass hypothesis D^0 (reflections) \rightarrow MC-simulation templates



Reconstruction

Observable extraction method

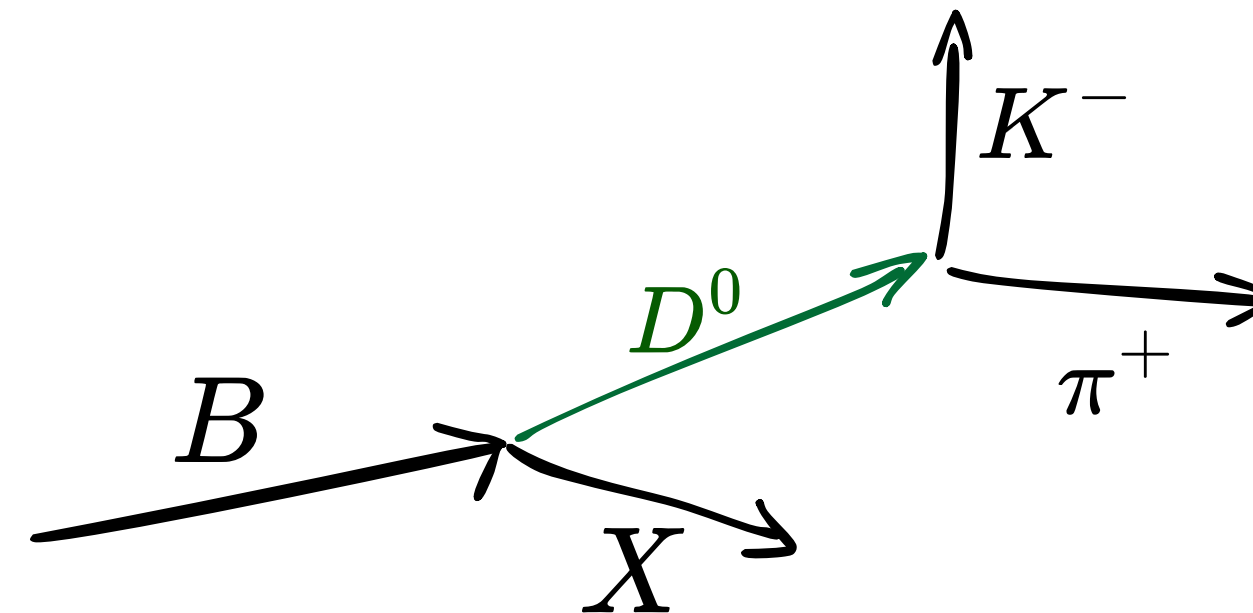
$$\varepsilon(p_{T,D^0}) = \frac{N_{reco}(p_{T,D^0})}{N_{gen}(p_{T,D^0})}$$

Efficiency correction

estimate the true number of D^0 jets \rightarrow
dependent on the D^0 transverse momentum

B feed-down subtraction

removal of non-prompt D^0 's \rightarrow MC template



Detector effects

smearing due to limited tracking efficiency and p_T resolution \rightarrow unfolding/bin-by-bin
track merging correction

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