

# Unconventional Ginzburg-Landau energy: a common pathway to novel physics

**Kirill Samokhin**

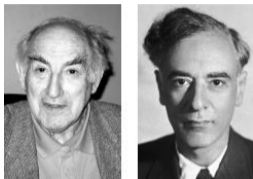
Department of Physics  
Brock University, Canada

**CAP 2026 - Theory Canada 18**

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- ▶ Noncentrosymmetric superconductors: Lifshitz invariants and nonuniform states
- ▶ “Superconducting diode” effect in FFLO superconductors
- ▶ Multiband superconductors: TRSB states stabilized by interband pairing





(from www.nobelprize.org)



Vitaly Ginzburg (2003):

*“for pioneering contributions to the theory of superconductors and superfluids”*



Lev Landau (1962):

*“for his pioneering theories for condensed matter, especially liquid helium”*

Superconducting order parameter:  $\psi(\mathbf{r}) = |\psi(\mathbf{r})|e^{i\varphi(\mathbf{r})}$  – wave function of Cooper pairs

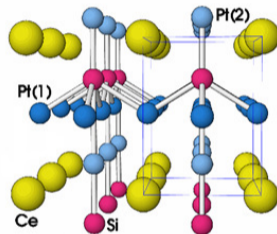
Free energy expansion near  $T_c$ :

$$F_s = F_n + \alpha|\psi|^2 + \frac{\beta}{2}|\psi|^4 + K|\nabla\psi|^2, \quad \alpha = a(T - T_c), \quad a, \beta, K > 0$$

**New features in unconventional SCs?**

# Noncentrosymmetric superconductors

<b>O</b>	$\text{Li}_2\text{Pt}_3\text{B}$ (2K), $\text{Li}_2\text{Pd}_3\text{B}$ (8K)
<b>T</b>	$\text{LaRhSi}$ (4K), $\text{LaIrSi}$ (2K)
<b><math>\text{C}_{4v}</math></b>	<b><math>\text{CePt}_3\text{Si}</math> (0.5K)</b> , $\text{CeRhSi}_3$ (1K)
<b><math>\text{C}_{6v}</math></b>	$\text{MoN}$ (15K), $\text{GaN}$ (6K)
<b><math>\text{D}_{3h}</math></b>	$\text{MoC}$ (9K), $\text{NbSe}$ (6K)
<b><math>\text{C}_{3v}</math></b>	$\text{MoS}_2$ (1K)
...	...



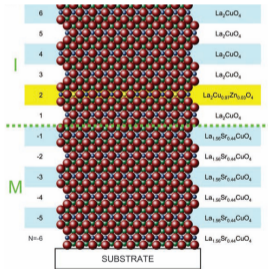
(from Bauer *et al*, PRL **92**, 027003 (2004))

# Noncentrosymmetric superconductors

Insulator/insulator interface  
(LAO/STO, LTO/STO)

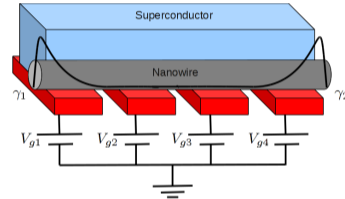
Metal/insulator interface (LSCO/LCO)

Doped insulator surface (STO,  $\text{WO}_3$ )



(from Pereira *et al*, Phys. Express **1**, 208 (2011))

Proximity-induced SC  
in semiconducting wires



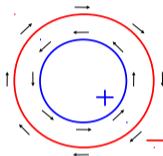
(from Leijnse, Flensberg, SST **27**, 124003 (2012))

# Superconducting pairing in nondegenerate bands

No inversion + electron-lattice SO coupling  $\rightarrow$  **nondegenerate electron bands**

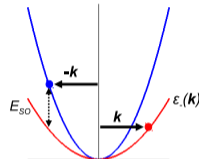
Example: Rashba model

$$\hat{H} = \epsilon(\mathbf{k}) + \alpha(k_y \hat{\sigma}_x - k_x \hat{\sigma}_y)$$

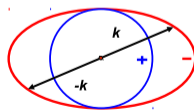
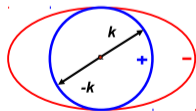


band splitting  $E_{SOC} \gg$   
SC energy scales

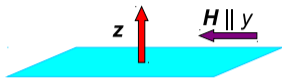
no interband pairing



Intraband pairing:  $\Delta_+(\mathbf{k}, \mathbf{r}) = \eta_+(\mathbf{r})\phi(\mathbf{k})$ ,  $\Delta_-(\mathbf{k}, \mathbf{r}) = \eta_-(\mathbf{r})\phi(\mathbf{k})$ ,  $\phi(\mathbf{k}) = \phi(-\mathbf{k})$



2D superconductor  
in a parallel field



no orbital effects

GL free energy density:  $F = F_+ + F_- + \tilde{F}$

Intraband terms:  $F_\lambda = \alpha_\lambda |\eta_\lambda|^2 + \frac{\beta_\lambda}{2} |\eta_\lambda|^4 + K_\lambda |\nabla \eta_\lambda|^2 + \underbrace{\tilde{K}_\lambda \text{Im} [\eta_\lambda^* (\mathbf{H} \times \nabla)_z \eta_\lambda]}_{\text{Lifshitz invariant}} + \dots$

Interband (“Josephson”) pair tunneling:  $\tilde{F} = \gamma (\eta_+^* \eta_- + \eta_-^* \eta_+)$

Lifshitz invariants  $\rightarrow$  nonuniform SC states

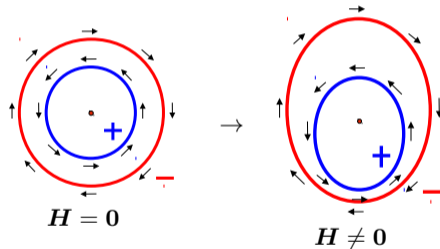
# Nonuniform superconducting states

Weak field: **helical state**  $\eta_\lambda(\mathbf{r}) = \eta_\lambda e^{iqx}$

$$q \propto H, \quad T_{c0} - T_c(H) \propto H^2, \quad \text{no supercurrent: } j_x \propto \frac{\partial \mathcal{F}}{\partial A_x} \propto \frac{\partial \mathcal{F}}{\partial q} = 0$$

Origin of the helical instability:  
band displacement and deformation by  $H$

$$\xi_\lambda(\mathbf{k}) = \epsilon(\mathbf{k}) + \lambda|\gamma(\mathbf{k}) + \mu_B \mathbf{H}| \neq \xi_\lambda(-\mathbf{k})$$



Strong field: **interband phase soliton** lattice, **stripe states**

# Zero-field nonuniform superconducting states

3D tetragonal SC (point group  $C_{4v}$ ):  $F = F_+ + F_- + \tilde{F} + F_L$


**Zero-field Lifshitz invariant:**  $F_L = K_L \operatorname{Re}(\eta_+^* \nabla_z \eta_- - \eta_-^* \nabla_z \eta_+)$

nonuniform instability:  $\eta_\lambda = \eta_{\lambda,0} e^{iqz}$  if  $K_L > K_{L,c}$

Microscopic origin:

$$\hat{H}_{\text{int}} = \frac{1}{2\mathcal{V}} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{\lambda,\lambda'=\pm} V_{\lambda\lambda'}(\mathbf{k}, \mathbf{k}'; \mathbf{q}) \hat{c}_{\mathbf{k}+\mathbf{q},\lambda}^\dagger \hat{c}_{\mathbf{k},\lambda}^\dagger \hat{c}_{\mathbf{k}',\lambda'} \hat{c}_{\mathbf{k}'+\mathbf{q},\lambda'} \quad (\hat{c}_{\mathbf{k},\lambda}^\dagger = K \hat{c}_{\mathbf{k},\lambda}^\dagger K^{-1})$$

$$V_{\lambda\lambda'}(\mathbf{k}, \mathbf{k}'; \mathbf{q}) = v_{\lambda\lambda'}(\mathbf{k}, \mathbf{k}') + i\mathbf{b}_{\lambda\lambda'}(\mathbf{k}, \mathbf{k}')\mathbf{q} + \mathcal{O}(q^2) \quad \rightarrow \quad K_L \propto |\langle \mathbf{b}_{+-} \rangle_{\hat{\mathbf{k}}, \hat{\mathbf{k}}'}|$$

invariant polar vector   
 $\neq 0$  in pyroelectric crystals

Other types of **zero-field Lifshitz invariants**:

Weak antisymmetric SOC + spin-triplet pairing:  $\hat{\Delta}(\mathbf{k}, \mathbf{r}) = \mathbf{d}(\mathbf{k}, \mathbf{r})(i\hat{\sigma}\hat{\sigma}_2)$

Example: 3-component order parameter  $\boldsymbol{\eta} = (\eta_1, \eta_2, \eta_3)$  in a cubic crystal (point group  $\mathbf{O}$ )

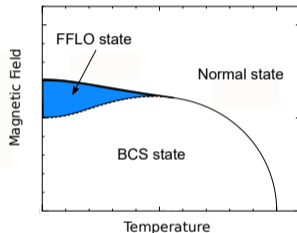
$$\mathbf{d}(\mathbf{k}, \mathbf{r}) = \eta_1(\mathbf{r})\boldsymbol{\varphi}_1(\mathbf{k}) + \eta_2(\mathbf{r})\boldsymbol{\varphi}_2(\mathbf{k}) + \eta_3(\mathbf{r})\boldsymbol{\varphi}_3(\mathbf{k}), \quad \varphi_{a,i}(\mathbf{k}) \propto e_{a ij} \hat{k}_j$$

$$\text{Lifshitz invariant: } F_L = K_L(\eta_1^* \nabla_y \eta_3 + \eta_2^* \nabla_z \eta_1 + \eta_3^* \nabla_x \eta_2 + \text{c.c.})$$

Origin:  $\mathbf{k}$ -odd terms in the triplet Cooper kernel

$$K_{ab}(\mathbf{q}) = \frac{1}{2}T \sum_{\omega_n, \mathbf{k}} \sum_{\lambda=\pm} \varphi_{a,i}^* \varphi_{b,j} [\hat{\gamma}_i \hat{\gamma}_j G_\lambda(\mathbf{k} + \mathbf{q}, \omega_n) G_\lambda(-\mathbf{k}, -\omega_n) \\ + (\delta_{ij} - \hat{\gamma}_i \hat{\gamma}_j - i\lambda e_{ijl} \hat{\gamma}_l) G_\lambda(\mathbf{k} + \mathbf{q}, \omega_n) G_{-\lambda}(-\mathbf{k}, -\omega_n)]$$

Fulde-Ferrell-Larkin-Ovchinnikov states can be realized in a clean paramagnetically-limited SC



$$\text{FF state: } \psi(\mathbf{r}) = \Delta_0 e^{i\mathbf{q}\mathbf{r}}$$

$$\text{LO state: } \psi(\mathbf{r}) = \Delta_0 \cos(\mathbf{q}\mathbf{r})$$

Possible experimental realizations:

layered organic SCs, heavy-fermion SCs, population-imbalanced “cold” Fermi gases, ...

Modified Ginzburg-Landau free energy:

$$F = \alpha|\psi|^2 + \frac{\beta}{2}|\psi|^4 + K|\nabla\psi|^2 + \tilde{K}|\nabla^2\psi|^2$$

$K < 0$ ,  $\tilde{K} > 0 \rightarrow$  nonuniform SC instability

with disorder, pairing anisotropy:  $K < 0$  but  $\beta > 0 \Rightarrow$  FF state is stable

Modified supercurrent:

$$\mathbf{j}_s = -\frac{4e}{\hbar} \text{Im} \left\{ K(\psi^* \nabla\psi) + \tilde{K} [(\nabla\psi)^* \nabla^2\psi - \psi^* \nabla\nabla^2\psi] \right\}$$

# Current-carrying FF states

Modified GL free energy:

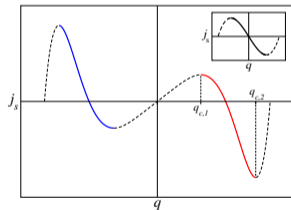
$$F = \alpha|\psi|^2 + \frac{\beta}{2}|\psi|^4 + K|\nabla_x\psi|^2 + \tilde{K}|\nabla_x^2\psi|^2 \quad (K < 0, \tilde{K} > 0)$$

$$j_s = -\frac{4e}{\hbar} \text{Im} \left\{ K(\psi^* \nabla_x \psi) + \tilde{K} [(\nabla_x \psi)^* \nabla_x^2 \psi - \psi^* \nabla_x^3 \psi] \right\}$$

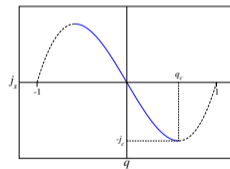
Current-carrying FF state:  $\psi(x) = \Delta(q)e^{iqx}$

stable if

$$q_{c,1} \leq |q| \leq q_{c,2}$$



compare to usual BCS



Two stable states at given current  $I$ :  $\psi_+ = \Delta(q_+)e^{iq_+x}$  and  $\psi_- = \Delta(q_-)e^{iq_-x}$

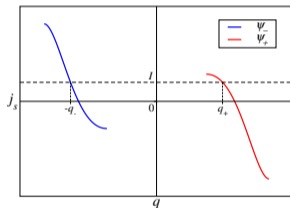
Usual BCS superconductor: one critical current  $j_c$ , one current-carrying state at any  $|I| \leq j_c$

FF superconductor: two critical currents  $j_{c1}$  and  $j_{c2}$ , two current-carrying states

$-j_{c,2} < I < -j_{c,1}$   
current carried by  $\psi_+$

$j_{c,1} < I < j_{c,2}$   
current carried by  $\psi_-$

$|I| < j_{c,1}$   
current carried by  $\psi_+$  or  $\psi_-$



$\psi_+$  is metastable at  
 $0 < I \leq j_{c,1}$

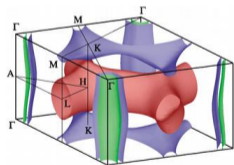
$\psi_-$  is metastable at  
 $-j_{c,1} \leq I < 0$

Branch switching via **domain wall** formation?

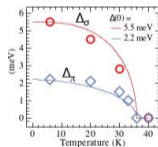
$N > 1$  bands participating in superconductivity:

$\text{MgB}_2$ ,  $\text{NbSe}_2$ ,  $\text{Nb}_3\text{Sn}$ , heavy-fermion SCs ( $\text{UPt}_3$ ,  $\text{CeCoIn}_5$ ,  $\text{URu}_2\text{Si}_2$ ), Fe-based,  $\text{Sr}_2\text{RuO}_4$ , TMDs, oxide interfaces (e.g. LAO/STO), ...

$\text{MgB}_2$

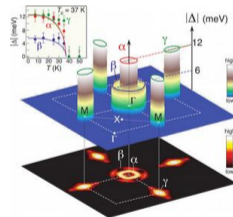


(from J. Kortus et al, PRL **86**, 4656 (2001))



(from S. Tsuda et al, PRL **91**, 127001 (2003))

$\text{Ba}_{0.6}\text{K}_{0.4}\text{Fe}_2\text{As}_2$



(from H. Ding et al, EPL **83**, 47001 (2008))

All pairing interactions are possible:

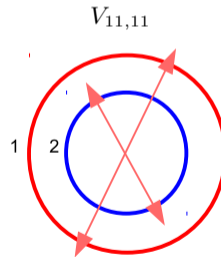
$$\hat{H}_{\text{int}} = \frac{1}{2\mathcal{V}} \sum_{\mathbf{k}\mathbf{k}'\mathbf{q}} \sum_{n_i s_i} V_{n_1 n_2 n_3 n_4}^{s_1 s_2 s_3 s_4}(\mathbf{k}, \mathbf{k}') c_{\mathbf{k}+\frac{\mathbf{q}}{2}, n_1, s_1}^\dagger \tilde{c}_{\mathbf{k}-\frac{\mathbf{q}}{2}, n_2, s_2}^\dagger \tilde{c}_{\mathbf{k}'-\frac{\mathbf{q}}{2}, n_3, s_3} c_{\mathbf{k}'+\frac{\mathbf{q}}{2}, n_4, s_4}$$

**intraband pairing** :  $V_{11,11} c_1^\dagger \tilde{c}_1^\dagger \tilde{c}_1 c_1$ ,  $V_{22,22} c_2^\dagger \tilde{c}_2^\dagger \tilde{c}_2 c_2$

“Josephson” pair tunneling :  $V_{11,22} c_1^\dagger \tilde{c}_1^\dagger \tilde{c}_2 c_2$

purely interband pairing :  $V_{12,12} c_1^\dagger \tilde{c}_2^\dagger \tilde{c}_1 c_2$

mixed band pairing :  $V_{11,12} c_1^\dagger \tilde{c}_1^\dagger \tilde{c}_1 c_2$ ,  $V_{22,12} c_2^\dagger \tilde{c}_2^\dagger \tilde{c}_1 c_2$



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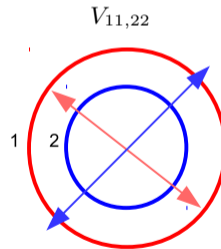
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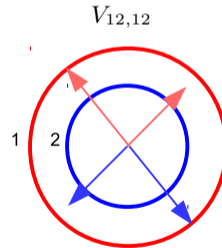
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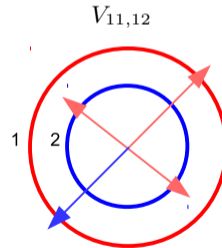
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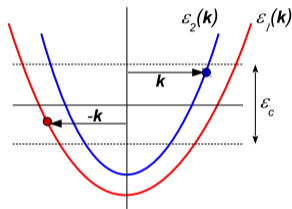
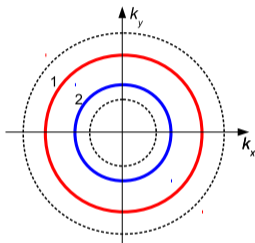


# Interband Cooper pairs in two-band superconductors

Mean-field Hamiltonian: 
$$\hat{H} = \sum_{\mathbf{k},s} \left[ \varepsilon_1(\mathbf{k}) c_{\mathbf{k},1,s}^\dagger c_{\mathbf{k},1,s} + \varepsilon_2(\mathbf{k}) c_{\mathbf{k},2,s}^\dagger c_{\mathbf{k},2,s} \right] + \hat{H}_{\text{pair}}$$

2 Fermi surfaces inside  
"thick" pairing shell

"BCS" energy cutoff  
 $\varepsilon_c >$  band splitting



$$\hat{H}_{\text{pair}} = \frac{1}{2} \sum_{\mathbf{k},ss'} \left[ \Delta_{11,ss'}(\mathbf{k}) c_{\mathbf{k},1,s}^\dagger \tilde{c}_{\mathbf{k},1,s'}^\dagger + \Delta_{22,ss'}(\mathbf{k}) c_{\mathbf{k},2,s}^\dagger \tilde{c}_{\mathbf{k},2,s'}^\dagger \right. \\ \left. + \Delta_{12,ss'}(\mathbf{k}) c_{\mathbf{k},1,s}^\dagger \tilde{c}_{\mathbf{k},2,s'}^\dagger + \Delta_{21,ss'}(\mathbf{k}) c_{\mathbf{k},2,s}^\dagger \tilde{c}_{\mathbf{k},1,s'}^\dagger + \text{H.c.} \right]$$

# Ginzburg-Landau free energy with interband pairing

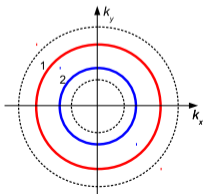
3 order parameter components:  $\eta_1 = |\eta_1|e^{i\varphi_1}$ ,  $\eta_2 = |\eta_2|e^{i\varphi_2}$ ,  $\tilde{\eta} = |\tilde{\eta}|$

TRSB states:  $\varphi_{1,2} \neq 0, \pi$

$$F_{GL} = F_2 + F_4$$

$$F_2 = \alpha_1(T)|\eta_1|^2 + \alpha_2(T)|\eta_2|^2 + \gamma(\eta_1^*\eta_2 + \text{c.c.}) + K_1|\nabla\eta_1|^2 + K_2|\nabla\eta_2|^2 \\ + \tilde{\alpha}|\tilde{\eta}|^2 + \tilde{\gamma}_1(\eta_1^*\tilde{\eta} + \text{c.c.}) + \tilde{\gamma}_2(\eta_2^*\tilde{\eta} + \text{c.c.}) + \tilde{K}|\nabla\tilde{\eta}|^2$$

$$F_4 = \beta_1|\eta_1|^4 + \beta_2|\eta_2|^4 + \tilde{\beta}_1|\eta_1|^2|\tilde{\eta}|^2 + \tilde{\beta}_2|\eta_2|^2|\tilde{\eta}|^2 + \tilde{\beta}_3|\tilde{\eta}|^4 + \tilde{\beta}_4(\eta_1\eta_2\tilde{\eta}^{*2} + \text{c.c.})$$



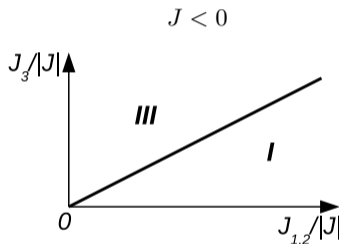
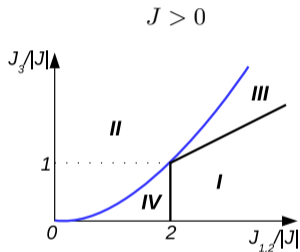
$\tilde{K} < 0, \tilde{\beta}_3 < 0$  – pairing ( $|\mathbf{k}, 1\rangle, |-\mathbf{k} - \mathbf{q}, 2\rangle$ )  
interband FFLO-like instability?

Uniform SC state:  $F_{\text{phase}} = \underbrace{J \cos(\varphi_1 - \varphi_2)}_{\text{inraband only}} + \underbrace{J_1 \cos \varphi_1 + J_2 \cos \varphi_2 + J_3 \cos(\varphi_1 + \varphi_2)}_{\text{due to interband pairs}}$

two-band SC, no interband pairing:  $J_1 = J_2 = J_3 = 0 \rightarrow$  no TRSB states

# Stable superconducting states

Uniform SC state:  $F_{\text{phase}} = \underbrace{J \cos(\varphi_1 - \varphi_2)}_{\text{intraband only}} + \underbrace{J_1 \cos \varphi_1 + J_2 \cos \varphi_2 + J_3 \cos(\varphi_1 + \varphi_2)}_{\text{due to interband pairs}}$



TRI states: I ( $\varphi_1 = \varphi_2 = \pi$ ), II ( $\varphi_1 = 0, \varphi_2 = \pi$ )

**TRSB states:** III ( $\varphi_1 = \varphi_2 \neq 0, \pi$ ), IV ( $\varphi_1 = -\varphi_2 \neq 0, \pi$ )

- ▶ Linear gradient terms (Lifshitz invariants) lead to a variety of nonuniform SC states, both with and without magnetic field
- ▶ Novel features in current-carrying FF states: “SC diode” effect, domain walls
- ▶ Interband pairing stabilizes TR symmetry-breaking SC states