

Gapless Exotic/Foliated dual models

Based on 2504.11449 w/ F. Apruzzi and F. Bedogna; 2602.03926 w/ F. Bedogna

Developments on Generalized Symmetries of Quantum Systems - Murcia '26

Salvo Mancani, University of Padua

Introduction

Subsystem symmetries

Theories with non-conventional symmetries require further extension of the symmetry properties.

We are interested in conservation equations of the form

$$\partial_0 J_0 = \partial_i \partial_j \dots \partial_k J^{ij\dots k}$$

with the time and spatial components of the current in some representation of the rotation group.

Introduction

Subsystem symmetries

Theories with non-conventional symmetries require further extension of the symmetry properties. We are interested in conservation equations of the form

$$\partial_0 J_0 = \partial_i \partial_j \dots \partial_k J^{ij\dots k}$$

with the time and spatial components of the current in some representation of the rotation group.

Examples are *Subsystem Symmetries*, whose conserved charge is integrated over a closed subspace

$$Q = \int_{\Sigma} J_0$$

In [Seiberg, Shao '18, '20 - Gorantla, Lam, Seiberg, Shao '20, '21, '22 - Rudelius, Seiberg, Shao '20], models with such $U(1)$ global symmetry has discontinuous field configuration; and Lorentz symmetry is broken. Such theories are not yet systematically understood.

Introduction

Example: XY-Plaquette

In (2+1)d the XY-plaquette model with Lagrangian $\mathcal{L} = \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$

$\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$, $w^i(x^i) \in \mathbb{Z}$ discontinuous functions.

[Seiberg, Shao '18 - Gorantla, Lam, Seiberg, Shao '21]

Introduction

Example: XY-Plaquette

In (2+1)d the XY-plaquette model with Lagrangian $\mathcal{L} = \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$

$\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$, $w^i(x^i) \in \mathbb{Z}$ discontinuous functions.

Symmetries:

- Subgroup $\mathbb{Z}_4$ of the space rotation group;

Introduction

Example: XY-Plaquette

In (2+1)d the XY-plaquette model with Lagrangian $\mathcal{L} = \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$

$\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$, $w^i(x^i) \in \mathbb{Z}$ discontinuous functions.

Symmetries:

- Subgroup $\mathbb{Z}_4$ of the space rotation group;

- $U(1)$ momentum dipole symmetry, $J_0 = \mu_0 \partial_0 \phi \in \mathbf{1}_0$, $J^{xy} = \frac{1}{\mu} \partial^x \partial^y \phi \in \mathbf{1}_2$, $Q_{xi}(x^i) = \oint dx^j J_0$
 $\int_{x_1^i}^{x_2^i} Q_{xi}(x^i) \in \mathbb{Z}$
shifting the field as $\phi(t, x, y) \rightarrow \phi(t, x, y) + c^x(x) + c^y(y)$;

Introduction

Example: XY-Plaquette

In (2+1)d the XY-plaquette model with Lagrangian $\mathcal{L} = \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$

$\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$, $w^i(x^i) \in \mathbb{Z}$ discontinuous functions.

Symmetries:

- Subgroup $\mathbb{Z}_4$ of the space rotation group;

- $U(1)$ momentum dipole symmetry, $J_0 = \mu_0 \partial_0 \phi \in \mathbf{1}_0$, $J^{xy} = \frac{1}{\mu} \partial^x \partial^y \phi \in \mathbf{1}_2$, $Q_{xi}(x^i) = \oint dx^j J_0$
shifting the field as $\phi(t, x, y) \rightarrow \phi(t, x, y) + c^x(x) + c^y(y)$; $\int_{x_1^i}^{x_2^i} Q_{xi}(x^i) \in \mathbb{Z}$

- $U(1)$ winding dipole symmetry, $J_0^{xy} = \partial^x \partial^y \phi$, $J = \partial_0 \phi$, $Q_{xi}^{xy}(x^i) = \oint dx^j J_0^{xy}$

Introduction

Example: XY-Plaquette

In (2+1)d the XY-plaquette model with Lagrangian $\mathcal{L} = \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$

$\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$, $w^i(x^i) \in \mathbb{Z}$ discontinuous functions.

Symmetries: $\mathbb{Z}_4$ rotations, $U(1) \times U(1)$ dipole symmetry with mixed anomaly [Burnell, Devakul, Gorantla, Lam, Shao '21 - Gorantla, Lam, Seiberg, Shao '21]

The model has a duality exchanging - $\phi \leftrightarrow \phi^{xy}$,

$$- (\mu_0, \mu) \leftrightarrow (\mu/4\pi^2, 4\pi^2\mu_0) ,$$

- momentum modes $\leftrightarrow$ winding modes,

reminiscent of T-duality of a compact boson in (1+1)d.

Introduction

Motivation

Can we capture theories with subsystem symmetries within the framework of SymTFT?

- Problem: Lorentz-invariance is broken, we cannot define a topological theory in the bulk.
- Solution: use a partially topological theory, i.e. topological only in the bulk and time directions.

Introduction

Motivation

Can we capture theories with subsystem symmetries within the framework of SymTFT?

- Problem: Lorentz-invariance is broken, we cannot define a topological theory in the bulk.
- Solution: use a partially topological theory, i.e. topological only in the bulk and time directions.
[Cao, Jia '23]

A natural model realising that is a *Foliated BF theory*. Foliated along (x^i) means that fields have components on a plane orthogonal to (x^i) :

for a field $B^{(x)}$ foliated along (x) , the component $B_x^{(x)} = 0$;

it can be realised by writing terms as $(B^{(x)} \wedge dx)$ in the action.

Introduction

Motivation - Foliated theory

Foliated BF theory: for a (3+1)d Sym(Partially)TFT, such a theory has action [Spieler '23]

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + C^{(x)} \wedge dB^{(x)} \wedge dx + C^{(x)} \wedge b \wedge dx \\ + C^{(y)} \wedge dB^{(y)} \wedge dy + C^{(y)} \wedge b \wedge dy$$

- $(t, x, y) \in M = \text{three-torus}$, and $r \in I = [0, L]$
- b is a 2-form; c , $B^{(x^i)}$, $C^{(x^i)}$ are 1-forms. All fields in $\mathbb{R}$.

Introduction

Motivation - Foliated theory

Foliated BF theory:

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$

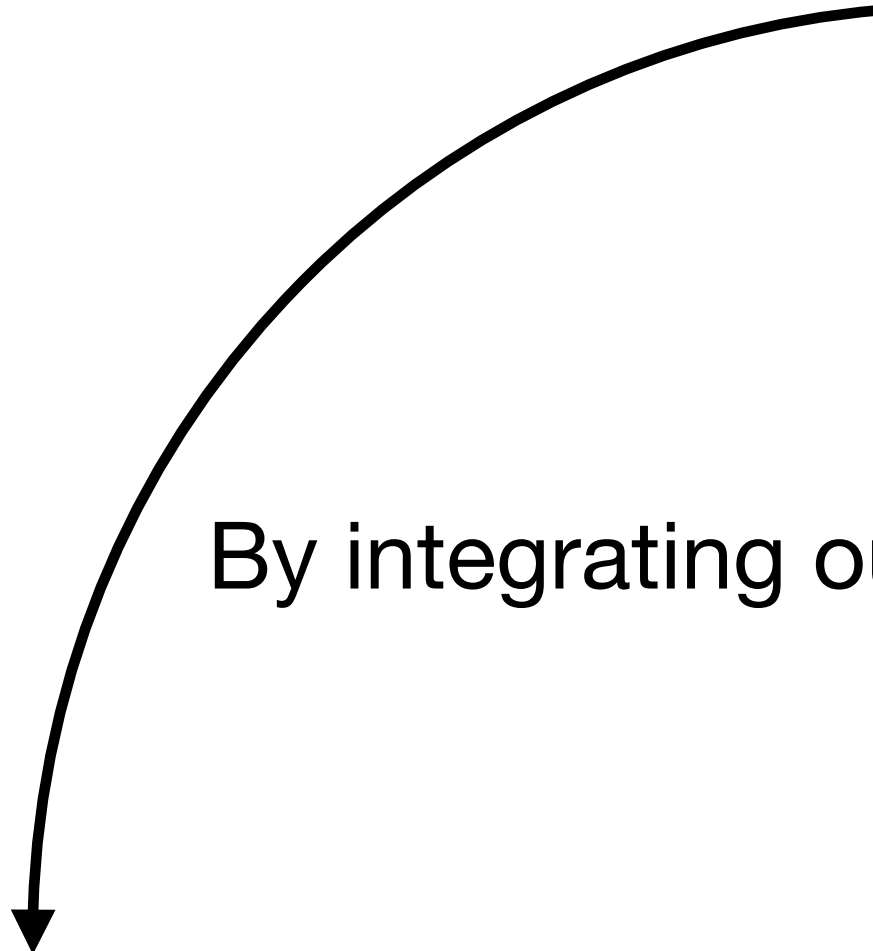
Introduction

Motivation - Exotic/Foliated duality

Foliated BF theory:

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$

By integrating out components $C_r^{(x^i)}$, b_{rx^i} , b_{rt} , and field redefinitions as $A_r = c_r$, $\tilde{A}_r = B_r^{(x)} - B_r^{(y)}$



“Dual” to the exotic field theory (exotic = manifest $\mathbb{Z}_4$ space rotation) [Spieler '23]

$$S = \frac{i}{2\pi} \int_{M \times I} d^4x A_t \left(\partial_r \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_r \right) + A_r \left(\partial_x \partial_y \tilde{A}_t - \partial_t \tilde{A}_{xy} \right) + A_{xy} \left(\partial_r \tilde{A}_t - \partial_t \tilde{A}_r \right)$$

Introduction

Motivation

Using this gapped exotic/foliated duality, we construct the $\text{Sym}(\text{Partially})\text{TFT}$ for continuous subsystem symmetries.

Introduction

Motivation

Using this gapped exotic/foliated duality, we construct the $\text{Sym}(\text{Partially})\text{TFT}$ for continuous subsystem symmetries.

We add a topological boundary and a scale invariant boundary. After interval compactification, we realise the first instances of gapless exotic/foliated theories [Apruzzi, Bedogna, SM '25], together with the work [Ohmori, Shimamura '25].

Within the framework, we construct [Bedogna, SM '26] non-invertible symmetries and generalise the exotic model.

Recap

- Subsystem symmetry: $Q = \int_{\Sigma} J_0$, spatial Σ subspace;
- XY-plaquette model: $S = \int d^3x \frac{\mu_0}{2} (\partial_0 \phi)^2 + \frac{1}{2\mu} (\partial_x \partial_y \phi)^2$, $\phi \sim \phi + 2\pi w^x(x) + 2\pi w^y(y)$;
- Foliated theory in (3+1)d: $S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$;
- Exotic theory in (3+1)d:

$$S = \frac{i}{2\pi} \int_{M \times I} d^4x A_t \left(\partial_r \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_r \right) + A_r \left(\partial_x \partial_y \tilde{A}_t - \partial_t \tilde{A}_{xy} \right) + A_{xy} \left(\partial_r \tilde{A}_t - \partial_t \tilde{A}_r \right)$$

↻ dual

SymTFT for Subsystem Sym

Foliated

[Argurio, Collinucci, Galati, Hulik, Paznokas '24 - Apruzzi, Bedogna, SM '25]

$$S_0 = \frac{iR}{2\pi} \int_{M_0} \sum_{x^i=x,y} \Phi^{(x^i)} \left(dB^{(x^i)} + b \right) \wedge dx^i + \phi db$$

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$

$$S_L = \frac{1}{4\pi} \int_{M_L} (b + dB^{(x)} + dB^{(y)}) \wedge \star_2 (b + dB^{(x)} + dB^{(y)}) \wedge dt + g (B^{(x)} - B^{(y)}) \wedge \star_1 (B^{(x)} - B^{(y)}) \wedge dx \wedge dy$$

SymTFT for Subsystem Sym

Foliated

[Argurio, Collinucci, Galati, Hulik, Paznokas '24 - Apruzzi, Bedogna, SM '25]

$$S_L = \frac{1}{4\pi} \int_{M_L} (b + dB^{(x)} + dB^{(y)}) \wedge \star_2 (b + dB^{(x)} + dB^{(y)}) \wedge dt$$

$$+ g (B^{(x)} - B^{(y)}) \wedge \star_1 (B^{(x)} - B^{(y)}) \wedge dx \wedge dy$$

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db$$

$$+ \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$

$$S_0 = \frac{iR}{2\pi} \int_{M_0} \sum_{x^i=x,y} \Phi^{(x^i)} \left(dB^{(x^i)} + b \right) \wedge dx^i$$

$$+ \phi \, db$$

b.c. :

$$c = R \left(d\phi - \sum_{x^i} \Phi^{(x^i)} dx^i \right) ,$$

$$C^{(x^i)} \wedge dx^i = - R d\Phi^{(x^i)} \wedge dx^i$$

b.c. :

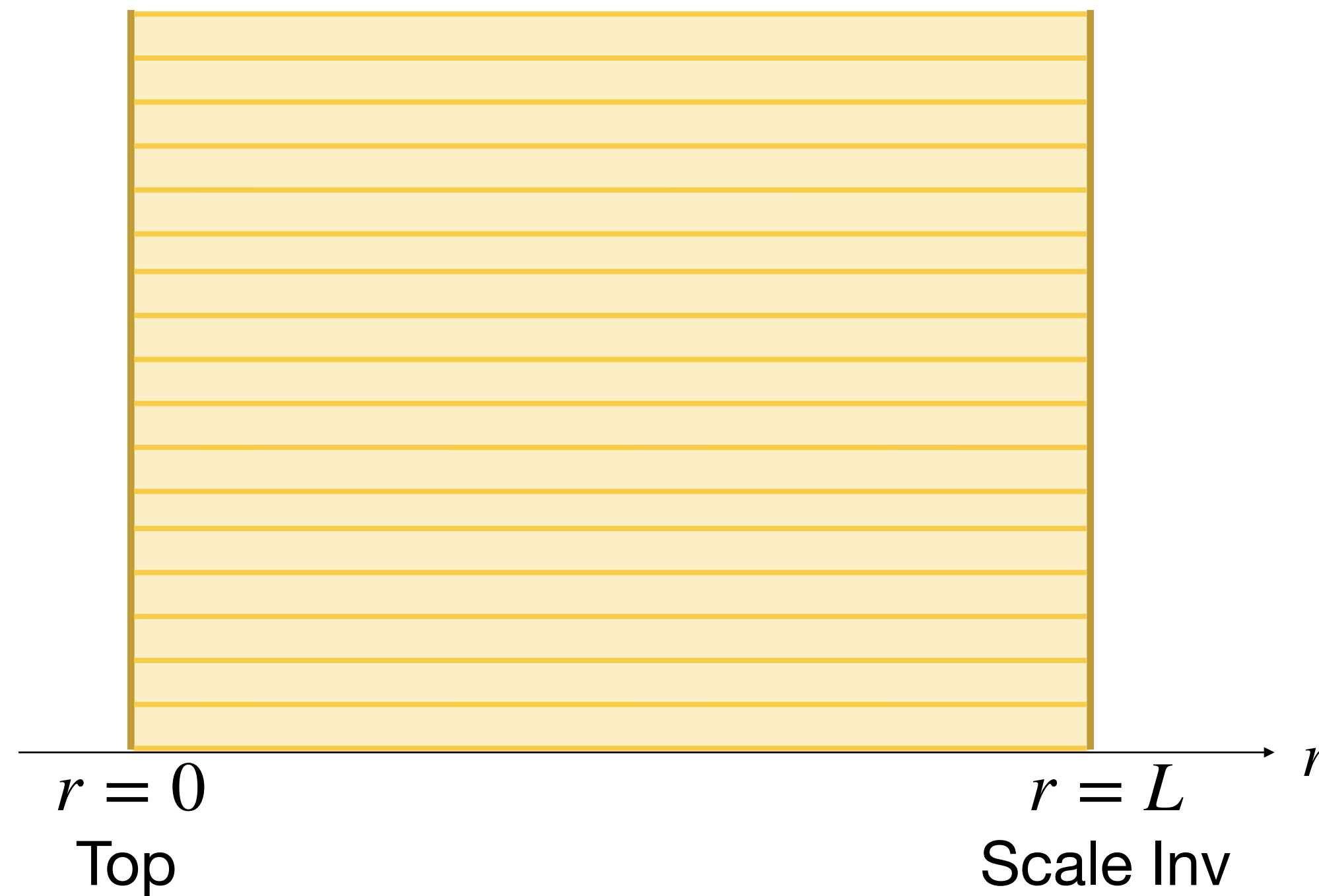
$$c = i \star_2 \left(b + \sum_{x^i} dB^{(x^i)} \wedge dt \right) ,$$

$$C^{(x^i)} \wedge dx^i = \dots$$

SymTFT for Subsystem Sym

Foliated

$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$



Mille-feuille

SymTFT for Subsystem Sym

Exotic

$$S_0 = \frac{iR}{2\pi} \int_{M_0} d^3x \phi \left(\partial_t \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_t \right)$$

b.c. :

$$A_t = -R \partial_t \phi ,$$

$$A_{xy} = -\partial_x \partial_y \phi$$

$$S = \frac{i}{2\pi} \int d^4x A_t \left(\partial_r \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_r \right) + A_r \left(\partial_x \partial_y \tilde{A}_t - \partial_t \tilde{A}_{xy} \right) + A_{xy} \left(\partial_r \tilde{A}_t - \partial_t \tilde{A}_r \right)$$

$r = 0$ Top
 $r = L$ Scale Inv

$$S_L = \frac{1}{4\pi} \int_{M_L} d^3x \left[g (\tilde{A}_t)^2 - (\tilde{A}_{xy})^2 \right]$$

b.c. :

$$\tilde{A}_t = \frac{i}{g} A_{xy} ,$$

$$\tilde{A}_{xy} = i A_t$$

SymTFT for Subsystem Sym

Gapless Exotic/Foliated duality

After interval compactification:

Foliated frame

$$S = \frac{1}{2\pi} \int iR^2 \left[\phi db + \sum_{x^i} \Phi^{(x^i)} \left(dB^{(x^i)} + b \right) \wedge dx^i \right] \\ + \frac{1}{2} \left(b + dB^{(x)} + dB^{(y)} \right) \wedge \star_2 \left(b + dB^{(x)} + dB^{(y)} \right) \wedge dt \\ + \frac{1}{2} g \left(B^{(x)} - B^{(y)} \right) \wedge \star_1 \left(B^{(x)} - B^{(y)} \right) \wedge dx \wedge dy$$

Exotic frame

XY-plaquette model

$$S = \frac{1}{4\pi} \int d^3x R^2 \left(\partial_0 \phi \right)^2 + \frac{R^2}{g} \left(\partial_x \partial_y \phi \right)^2$$

$$R^2 = \mu_0, \quad \frac{R^2}{g} = \frac{1}{\mu}$$

SymTFT for Subsystem Sym

Gapless Exotic/Foliated duality

After interval compactification:

Foliated frame

$$S = \frac{1}{2\pi} \int iR^2 \left[\phi db + \sum_{x^i} \Phi^{(x^i)} \left(dB^{(x^i)} + b \right) \wedge dx^i \right] \\ + \frac{1}{2} \left(b + dB^{(x)} + dB^{(y)} \right) \wedge \star_2 \left(b + dB^{(x)} + dB^{(y)} \right) \wedge dt \\ + \frac{1}{2} g \left(B^{(x)} - B^{(y)} \right) \wedge \star_1 \left(B^{(x)} - B^{(y)} \right) \wedge dx \wedge dy$$

Exotic frame

XY-plaquette model

$$S = \frac{1}{4\pi} \int d^3x R^2 \left(\partial_0 \phi \right)^2 + \frac{R^2}{g} \left(\partial_x \partial_y \phi \right)^2$$

$$R^2 = \mu_0, \quad \frac{R^2}{g} = \frac{1}{\mu}$$

By integrating out $\Phi^{(x)}$, $\Phi^{(y)}$ and using b.c.

SymTFT for Subsystem Sym

Gapless Exotic/Foliated duality

After interval compactification:

Foliated frame

By integrating out ϕ : $db = 0 \Rightarrow b = da$

$$\begin{aligned} S = & \frac{1}{2\pi} \int iR^2 \left[\sum_{x^i} \Phi^{(x^i)} d \left(B^{(x^i)} + a \right) \wedge dx^i \right] \\ & + \frac{1}{2} d \left(a + B^{(x)} + B^{(y)} \right) \wedge \star_2 d \left(a + B^{(x)} + B^{(y)} \right) \wedge dt \\ & + \frac{1}{2} g \left(B^{(x)} - B^{(y)} \right) \wedge \star_1 \left(B^{(x)} - B^{(y)} \right) \wedge dx \wedge dy \end{aligned}$$

Exotic frame

XY-plaquette model

$$S = \frac{1}{4\pi} \int d^3x R^2 \left(\partial_0 \phi \right)^2 + \frac{R^2}{g} \left(\partial_x \partial_y \phi \right)^2$$

$$R^2 = \mu_0, \quad \frac{R^2}{g} = \frac{1}{\mu}$$

SymTFT for Subsystem Sym

Spectrum of gauge-invariant operators

Gauge transformations:

$$\delta b = d\chi_1, \quad \delta c = d\lambda_0 - \sum_{x^i} \lambda^{(x^i)} dx^i,$$

$$\delta B^{(x^i)} = d\chi_0^{(x^i)} - \chi_1, \quad \delta C^{(x^i)} = d\lambda^{(x^i)},$$

$$\delta A_t = \partial_t \lambda, \quad \delta A_r = \partial_r \lambda, \quad \delta A_{xy} = \partial_x \partial_y \lambda,$$

$$\delta \tilde{A}_t = \partial_t \tilde{\lambda}, \quad \delta \tilde{A}_r = \partial_r \tilde{\lambda}, \quad \delta \tilde{A}_{xy} = \partial_x \partial_y \tilde{\lambda},$$

Eom:

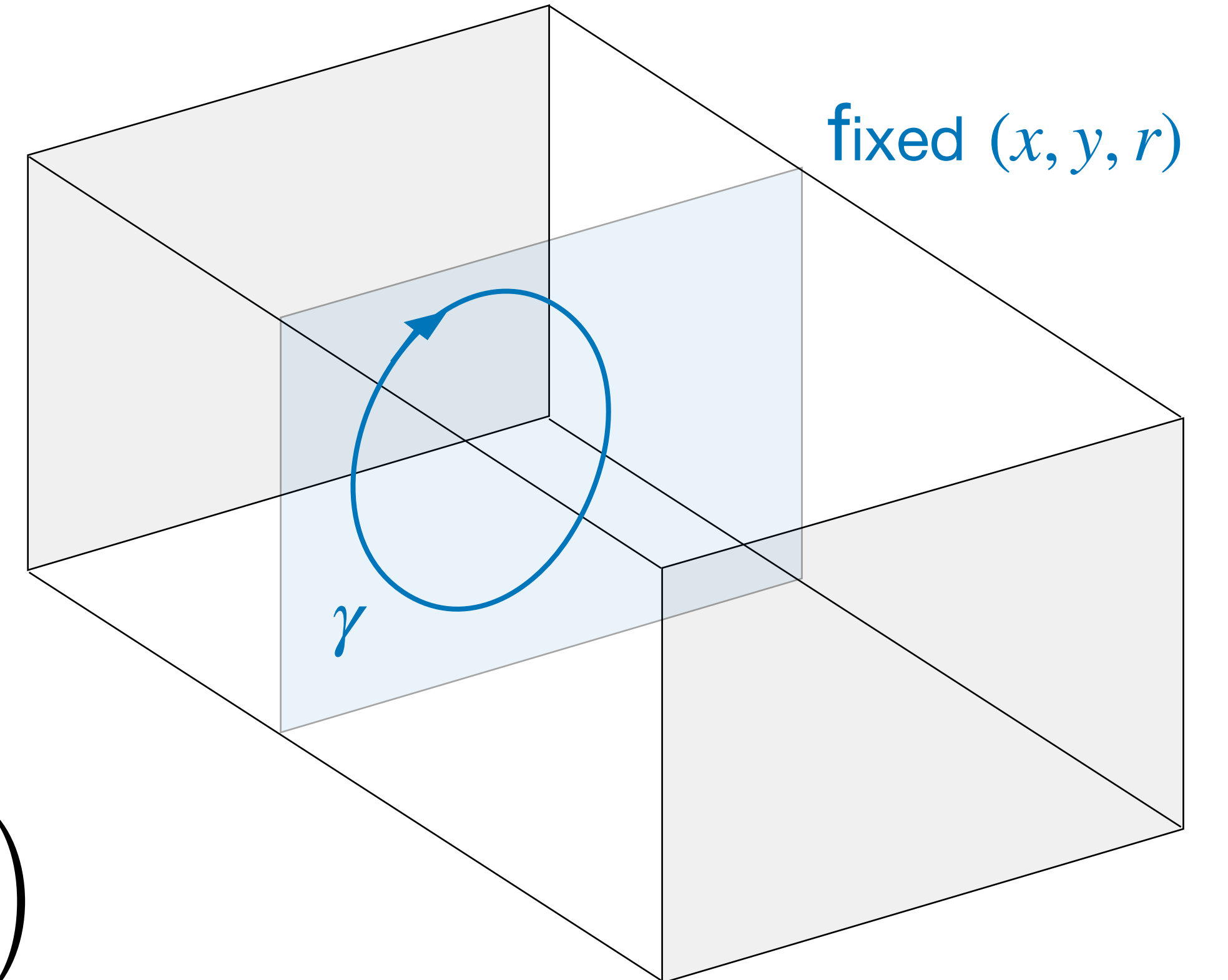
$$\left(dB^{(x)} + b \right) \wedge dx^i = 0, \quad db = 0,$$

$$dC^{(x^i)} \wedge dx^i = 0, \quad \sum_i dC^{(x^i)} \wedge dx^i + dc = 0$$

(Partially) topological lines, at fixed (x, y)

$$V_\alpha(x, y)[\gamma] = \exp \left(i\alpha \oint_\gamma c \right) \longrightarrow \exp \left(i\alpha \oint_\gamma A_t dt + A_r dr \right)$$

$$U_\beta(x, y)[\gamma] = \exp \left(i\beta \oint_\gamma B^{(x)} - B^{(y)} \right) \longrightarrow \exp \left(i\beta \oint_\gamma \tilde{A}_t dt + \tilde{A}_r dr \right)$$



SymTFT for Subsystem Sym

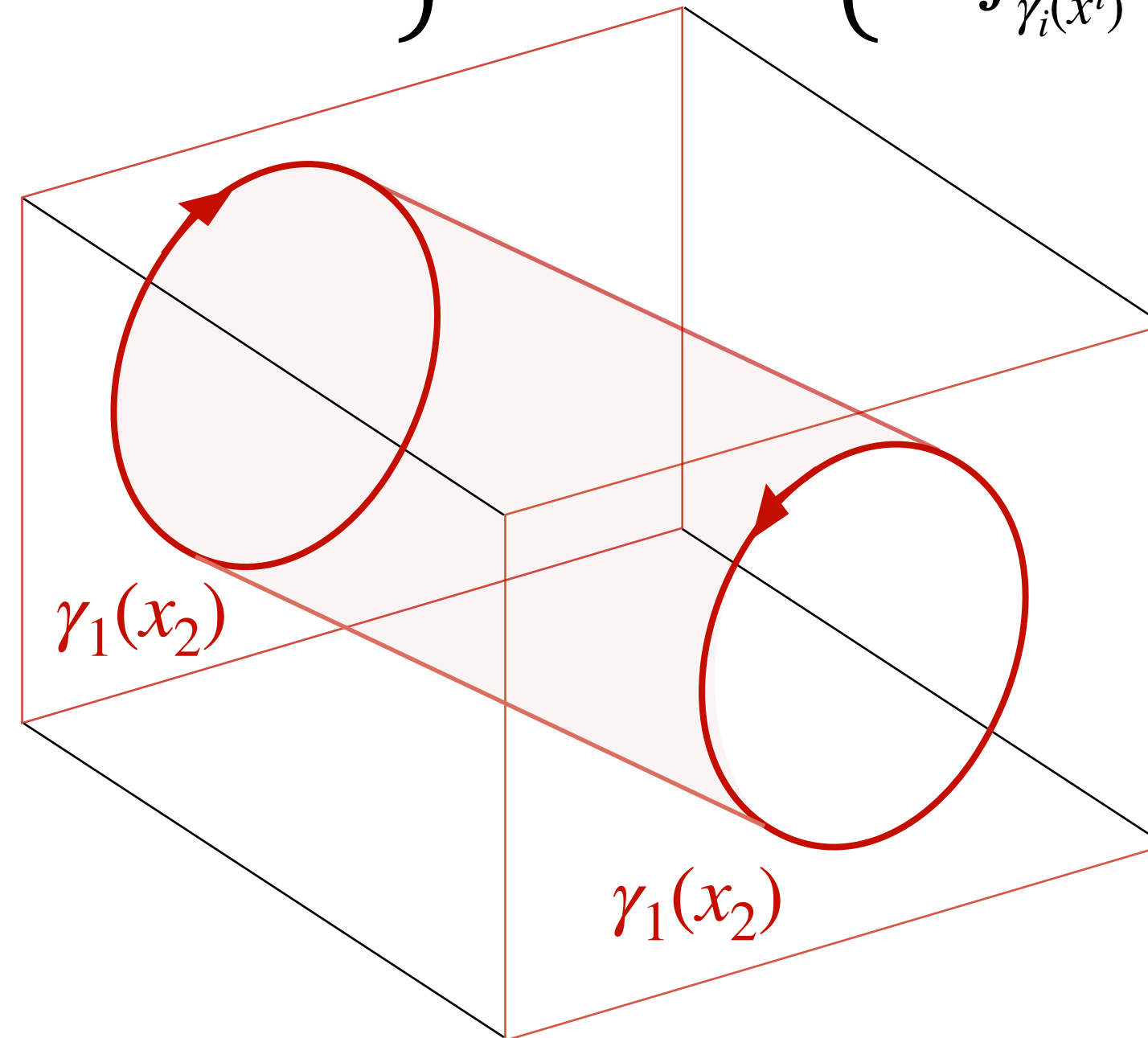
Spectrum of gauge-invariant operators

- (Partially) topological strips, on surface swiped by a $\gamma_i(x^i)$ from x_1^i to x_2^i

$$\tilde{U}_{\tilde{\beta}}(x_1^i, x_2^i)[\sigma^i] = \exp \left\{ i\tilde{\beta} \int_{x_1^i}^{x_2^i} \left(\oint_{\gamma_i(x^i)} b + dB^{(x^i)} \right) dx^i \right\} \longrightarrow \exp \left\{ i\tilde{\beta} \int_{x_1^i}^{x_2^i} \left(\oint_{\gamma_i(x^i)} \tilde{A}_{xy} dx^j + \partial_i \tilde{A}_r dr + \partial_i \tilde{A}_t dt \right) dx^i \right\}$$

$$\tilde{V}_{\tilde{\alpha}}(x_1^i, x_2^i)[\sigma^i] = \exp \left\{ i\tilde{\alpha} \oint_{\gamma_i(x^i)} C^{(x^j)} \wedge dx^j + d(c_j dx^j) \right\} \longrightarrow \exp \left\{ i\tilde{\alpha} \oint_{\gamma_i(x^i)} A_{xy} dx^j + \partial_i A_r dr + \partial_i A_t dt \right\}$$

fixed (x_1, r)



fixed (x_2, r)

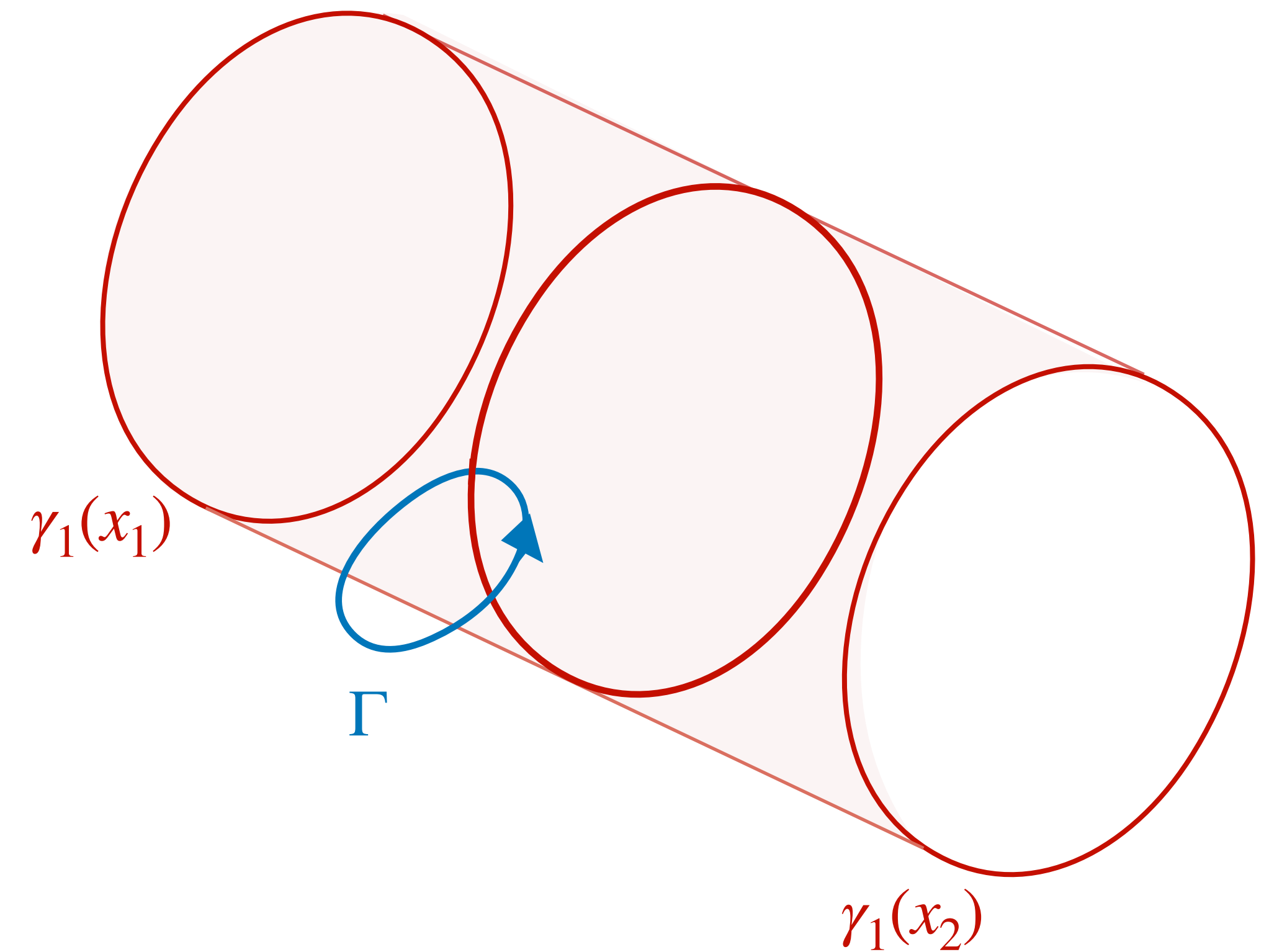
SymTFT for Subsystem Sym

Spectrum of gauge-invariant operators

Lines and surfaces link

$$\langle V_\alpha[\Gamma], \tilde{U}_{\tilde{\beta}}[\sigma^i] \rangle = \exp \left(2\pi i \alpha \tilde{\beta} \text{Link}(\Gamma, \gamma_i) \right)$$

$$\langle U_\beta[\Gamma], \tilde{V}_{\tilde{\alpha}}[\sigma^i] \rangle = \exp \left(2\pi i \beta \tilde{\alpha} \text{Link}(\Gamma, \gamma_i) \right)$$



SymTFT for Subsystem Sym

Boundary operators

Generators and charges of the subsystem symmetry.

On the gapped boundary:
$$c = R \left(d\phi - \sum_{x^i} \Phi^{(x^i)} dx^i \right), \quad \int_{\Sigma \subset M_0} b \in \frac{2\pi}{R} \mathbb{Z}, \quad \int_{\gamma \subset M_0} B^{(x^i)} \in \frac{2\pi}{R} \mathbb{Z}$$
$$C^{(x^i)} \wedge dx^i = -R d\Phi^{(x^i)} \wedge dx^i$$

$$V_\alpha(x, y)[\gamma] = \exp \left(i\alpha \oint_\gamma c \right), \quad U_\beta(x, y)[\gamma] = \exp \left(i\beta \oint_\gamma B^{(x)} - B^{(y)} \right)$$

- Lines trivialises if $\alpha = p/R$ and $\beta = qR$, $p, q \in \mathbb{Z}$.
- Non-trivial lines V_α, U_β , $\alpha \sim \alpha + 1/R$, $\beta \sim \beta + R$ generate the $U(1) \times U(1)$ dipole symmetry

[Antinucci, Benini '24 - Argurio, Collinucci, Galati, Hulik, Paznokas '24].

SymTFT for Subsystem Sym

Boundary operators

Generators and charges of the subsystem symmetry.

On the gapped boundary: $c = R \left(d\phi - \sum_{x^i} \Phi^{(x^i)} dx^i \right)$, $\int_{\Sigma \subset M_0} b \in \frac{2\pi}{R} \mathbb{Z}$, $\int_{\gamma \subset M_0} B^{(x^i)} \in \frac{2\pi}{R} \mathbb{Z}$

$$C^{(x^i)} \wedge dx^i = -R d\Phi^{(x^i)} \wedge dx^i$$

$$\tilde{U}_{\tilde{\beta}}(x_1^i, x_2^i)[\sigma^i] = \exp \left\{ i\tilde{\beta} \int_{x_1^i}^{x_2^i} \left(\oint_{\gamma_i(x^i)} b + dB^{(x^i)} \right) dx^i \right\} = \exp \left\{ \int_{x_1^i}^{x_2^i} Q_m^{x^i}(x^i) \right\} ,$$

$$\tilde{V}_{\tilde{\alpha}}(x_1^i, x_2^i)[\sigma^i] = \exp \left\{ i\tilde{\alpha} \oint_{\gamma_i(x^i)} C^{(x^j)} \wedge dx^j + d \left(c_j dx^j \right) \right\} = \exp \left\{ \int_{x_1^i}^{x_2^i} Q_w^{x^i}(x^i) \right\} .$$

Exotic Theta Term

Add a theta term on the gapped boundary of the SymTFT [Pretko '17 - Bedogna, SM '26 - Furukawa '26]

$$S = \int_{M_0} d^3x \frac{iR}{2\pi} \left(\partial_t \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_t \right) - \frac{i\theta^{xy}}{2\pi^2 R} \phi \left(\partial_t A_{xy} - \partial_x \partial_y A_t \right) + \frac{i\theta^{xy}}{4\pi^2 R^2} A_t A_{xy} \quad , \quad \theta^{xy} \in \mathbf{1}_2 .$$

Exotic Theta Term

Add a theta term on the gapped boundary of the SymTFT [Pretko '17 - Bedogna, SM '26 - Furukawa '26]

$$S = \int_{M_0} d^3x \frac{iR}{2\pi} \left(\partial_t \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_t \right) - \frac{i\theta^{xy}}{2\pi^2 R} \phi \left(\partial_t A_{xy} - \partial_x \partial_y A_t \right) + \frac{i\theta^{xy}}{4\pi^2 R^2} A_t A_{xy} \quad , \quad \theta^{xy} \in \mathbf{1}_2 .$$

By interval compactification we obtain

$$S = \int d^3x \frac{R^2}{4\pi} \left(\partial_0 \phi \right)^2 + \frac{R^2}{4\pi} \left(\partial_x \partial_y \phi \right)^2 + \frac{i\theta^{xy}}{4\pi^2} \partial_0 \phi \partial_x \partial_y \phi$$

We can rewrite it in a compact notation as

$$D^\pm \phi = \partial_0 \phi \pm \partial_x \partial_y \phi \in \mathbf{1}_0 \pm \mathbf{1}_2 , \quad \tau = \frac{\theta^{xy}}{2\pi} + iR^2$$

$$S = \frac{i}{8\pi} \int d^3x \bar{\tau} \left(D^+ \phi \right)^2 - \tau \left(D^- \phi \right)^2 \quad \left(D^+ \phi , D^- \phi \right) , \quad \left(\bar{\tau} , -\tau \right)$$

Exotic Theta Term and Duality

$$S = \frac{i}{8\pi} \int d^3x \, \bar{\tau} (D^+ \phi)^2 - \tau (D^- \phi)^2$$

Introduce a gauge field (χ^+, χ^-) for the shift symmetry and couple it to $(D^+ \phi^{xy}, -D^- \phi^{xy})$.

By integrating out χ

$$S = \frac{i}{8\pi} \int d^3x \, -\frac{1}{\bar{\tau}} (D^+ \phi^{xy})^2 + \frac{1}{\tau} (D^- \phi^{xy})^2$$

$$(\bar{\tau}, -\tau) \rightarrow \left(-\frac{1}{\bar{\tau}}, \frac{1}{\tau} \right)$$

Duality Symmetry of the XY plaquette

Only exotic frame

Following [Paznokas '25]

$$S = \frac{i}{2\pi} \int_{M \times I} d^4x \, A_t \left(\partial_r \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_r \right) + A_r \left(\partial_x \partial_y \tilde{A}_t - \partial_t \tilde{A}_{xy} \right) + A_{xy} \left(\partial_r \tilde{A}_t - \partial_t \tilde{A}_r \right)$$

It has a $SL(2, \mathbb{R})$ symmetry rotating the fields as: $\begin{pmatrix} a & b \, 1^{xy} \\ c \, 1^{xy} & d \end{pmatrix} \begin{pmatrix} A \\ \tilde{A} \end{pmatrix}$, $ad - bc = 1$

By the Iwasawa decomposition, the generators are

$$\mathcal{K}_\omega = \begin{pmatrix} \cos \omega & -1^{xy} \sin \omega \\ 1^{xy} \sin \omega & \cos \omega \end{pmatrix}, \quad \omega \in \mathbb{R}$$

$$\mathcal{T}_l = \begin{pmatrix} 1 & 0 \\ l \, 1^{xy} & 1 \end{pmatrix}, \quad l \in \mathbb{R} / \{0\}$$

$$\mathcal{A}_s = \begin{pmatrix} s & 0 \\ 0 & \frac{1}{s} \end{pmatrix}, \quad s \in \mathbb{R}$$

Duality Symmetry of the XY plaquette

Only exotic frame

Following [Paznokas '25]

$$S = \frac{i}{2\pi} \int_{M \times I} d^4x \, A_t \left(\partial_r \tilde{A}_{xy} - \partial_x \partial_y \tilde{A}_r \right) + A_r \left(\partial_x \partial_y \tilde{A}_t - \partial_t \tilde{A}_{xy} \right) + A_{xy} \left(\partial_r \tilde{A}_t - \partial_t \tilde{A}_r \right)$$

It has a $SL(2, \mathbb{R})$ symmetry rotating the fields as: $\begin{pmatrix} a & b \, 1^{xy} \\ c \, 1^{xy} & d \end{pmatrix} \begin{pmatrix} A \\ \tilde{A} \end{pmatrix}$, $ad - bc = 1$

By the Iwasawa decomposition, the generators are

$$\mathcal{K}_\omega = \begin{pmatrix} \cos \omega & -1^{xy} \sin \omega \\ 1^{xy} \sin \omega & \cos \omega \end{pmatrix}, \quad \omega \in \mathbb{R} \quad \leftarrow \text{Only this preserves the b. c., if } g = 1$$

$$\mathcal{T}_l = \begin{pmatrix} 1 & 0 \\ l \, 1^{xy} & 1 \end{pmatrix}, \quad l \in \mathbb{R} / \{0\}$$

$$\mathcal{A}_s = \begin{pmatrix} s & 0 \\ 0 & \frac{1}{s} \end{pmatrix}, \quad s \in \mathbb{R}$$

Duality Symmetry of the XY plaquette

Condensation Defect

Constructing the condensation defect for the $SO(2)$ generator $\mathcal{K}_\omega$, supported on a codim-1 surface Σ at fixed r . For gauge-invariance and the correct action on the bulk operators

$$\begin{aligned} S_\omega^{\mathcal{K}}[\Sigma] = & \frac{i}{2\pi} \int_\Sigma \left(v_t A_{xy} + v_{xy} A_t + v_t \partial_x \partial_y \varphi + v_{xy} \partial_0 \varphi \right) + \left(\tilde{v}_t \tilde{A}_{xy} + \tilde{v}_{xy} \tilde{A}_t + \tilde{v}_t \partial_x \partial_y \tilde{\varphi} + \tilde{v}_{xy} \partial_0 \tilde{\varphi} \right) \\ & + \frac{1^{xy} \sin \omega}{2(\cos \omega - 1)} \left(v_{xy} v_t - \tilde{v}_{xy} \tilde{v}_t \right) \\ & + \frac{i}{2\pi} \int_{\partial\Sigma} \left(\sigma A_{xy} + \sigma \partial_x \partial_y \varphi \right) + \left(\tilde{\sigma} \tilde{A}_{xy} + \tilde{\sigma} \partial_x \partial_y \tilde{\varphi} \right) \\ & + \frac{1^{xy} \sin \omega}{4(\cos \omega - 1)} \left(\sigma \partial_x \partial_y \sigma + 2\sigma v_{xy} + \tilde{\sigma} \partial_x \partial_y \tilde{\sigma} + 2\tilde{\sigma} \tilde{v}_{xy} \right) \end{aligned}$$

Duality Symmetry of the XY plaquette

Condensation Defect

The fusion of two such defects give

$$S^{\mathcal{K}_1 \mathcal{K}_2^{-1}} = \frac{i}{2\pi} \int_{\partial\Sigma} \eta A_{xy} + \tilde{\eta} \tilde{A}_{xy} + \eta \partial_x \partial_y \varphi^+ + \tilde{\eta} \partial_x \partial_y \tilde{\varphi}^+$$

with $\varphi^+ = \varphi_1 + \varphi_2$, $v^+ = v_1 + v_2$, and $\eta = \partial_0 v^+$.

Duality Symmetry of the XY plaquette

Condensation Defect

The fusion of two such defects give

$$S^{\mathcal{K}_1 \mathcal{K}_2^{-1}} = \frac{i}{2\pi} \int_{\partial\Sigma} \eta A_{xy} + \tilde{\eta} \tilde{A}_{xy} + \eta \partial_x \partial_y \varphi^+ + \tilde{\eta} \partial_x \partial_y \tilde{\varphi}^+$$

with $\varphi^+ = \varphi_1 + \varphi_2$, $v^+ = v_1 + v_2$, and $\eta = \partial_0 v^+$.

The defect generates a continuous non-invertible $SO(2)$ symmetry, at any value of the couplings R and θ , or at any τ .

The same symmetry arises with a similar mechanism for Maxwell in (3+1)d.

[Paznokas '25] and [Niro, Roumpedakis, Sela '22 - Hasan, Meynet, Migliorati '24 - Arbalestreier, Argurio, Tizzano '24 - Argurio, Collinucci, Galati, Hulik, Paznikas - '24]

Conclusion

We showed the construction of

- The first instance of gapless exotic/foliated duality via SymTFT;
- Subsystem symmetries with the Mille-feuille framework;
- The (2+1)d continuous theory proposed for the XY-plaquette model, allows for an exotic theta-term;
- The XY-plaquette has a continuous non-invertible $SO(2)$ symmetry. This model stands in between a compact boson in (1+1)d and Maxwell theory in (3+1)d.

Thank you

SymTFT for Subsystem Sym

Foliated

Bulk:
$$S_{\text{fol}} = \frac{i}{2\pi} \int_{M \times I} c \wedge db + \sum_{x^i=x,y} C^{(x^i)} \wedge dB^{(x^i)} \wedge dx^i$$

Topological boundary:
$$S_0 = \frac{iR}{2\pi} \int_{M_0} \sum_{x^i=x,y} \Phi^{(x^i)} \left(dB^{(x^i)} + b \right) \wedge dx^i + \phi db \quad \text{b.c. :} \quad c = R \left(d\phi - \sum_{x^i} \Phi^{(x^i)} dx^i \right),$$

$$C^{(x^i)} \wedge dx^i = -R d\Phi^{(x^i)} \wedge dx^i$$

Scale-invariant boundary:
$$S_L = \frac{1}{4\pi} \int_{M_L} (b + dB^{(x)} + dB^{(y)}) \wedge \star_2 (b + dB^{(x)} + dB^{(y)}) \wedge dt$$

$$+ g (B^{(x)} - B^{(y)}) \wedge \star_1 (B^{(x)} - B^{(y)}) \wedge dx \wedge dy$$

b.c. :
$$c = i \star_2 \left(b + \sum_{x^i} dB^{(x^i)} \wedge dt \right),$$

$$C^{(x)} \wedge dx = + ig(B_t^{(x)} - B_t^{(y)}) dx \wedge dy + id \star_2 (b + dB^{(x)} + dB^{(y)}) \wedge dt,$$

$$C^{(y)} \wedge dy = + ig(B_t^{(x)} - B_t^{(y)}) dx \wedge dy + id \star_2 (b + dB^{(x)} + dB^{(y)}) \wedge dt$$

Duality Symmetry

Gauge the $U(1)$ winding symmetry

$$S = \frac{i}{8\pi} \int_M d^3x \bar{\tau} \left(D^+ \phi \right)^2 - \tau \left(D^- \phi \right)^2 + 2 \left(D^+ \phi \right) \eta^+ - 2 \left(D^- \phi \right) \eta^- - \frac{2}{R^2} \left(D^+ b \right) \eta^+ + \frac{2}{R^2} \left(D^- b \right) \eta^-$$

$$b \in \mathbb{R}, \quad D^+ \eta^- = 0 = D^- \eta^+$$

Integrating out η :

$$S = \frac{1}{4\pi} \int_M d^3x (\partial_0 b)^2 + (\partial_x \partial_y b)^2$$

Gauge a $\mathbb{Z} \subset U(1)$ momentum:

$$S = \frac{1}{8\pi} \int_M d^3x \left(D^+ b - c^+ \right)^2 - \left(D^- b - c^- \right)^2 - 2\tilde{R}^2 \left(D^+ \tilde{\phi} \right) c^+ - 2\tilde{R}^2 \left(D^- \tilde{\phi} \right) c^- + \frac{i\tilde{\theta}^{xy}\tilde{R}^2}{2} \left(D^+ \tilde{\phi} \right)^2 - \frac{2}{R^2} \left(D^- \tilde{\phi} \right)^2$$

$$\Rightarrow S = \frac{i}{8\pi} \int d^3x \bar{\tilde{\tau}} \left(D^+ \tilde{\phi} \right)^2 - \tilde{\tau} \left(D^- \tilde{\phi} \right)^2$$

Foliated condensation defect

Condensing an arbitrary number N of lines requires summing over insertion points and 1-cycles

$$\sum_{N=1}^{\infty} \prod_{i=1}^N \int dx_i dy_i \int_{\mathbb{R}} d\alpha W(x_i, y_i)_{\alpha} [S_1^t]$$

Condensing an arbitrary number of strips requires defining a measure in the space of all partition of an interval

