

# 3+1d $SU(3)$ $\theta$ -term with Neural Network Quantum States

Joshua Lin

[joshua.lin@anl.gov](mailto:joshua.lin@anl.gov)

In collaboration with: Jane Kim, Alessandro Lovato

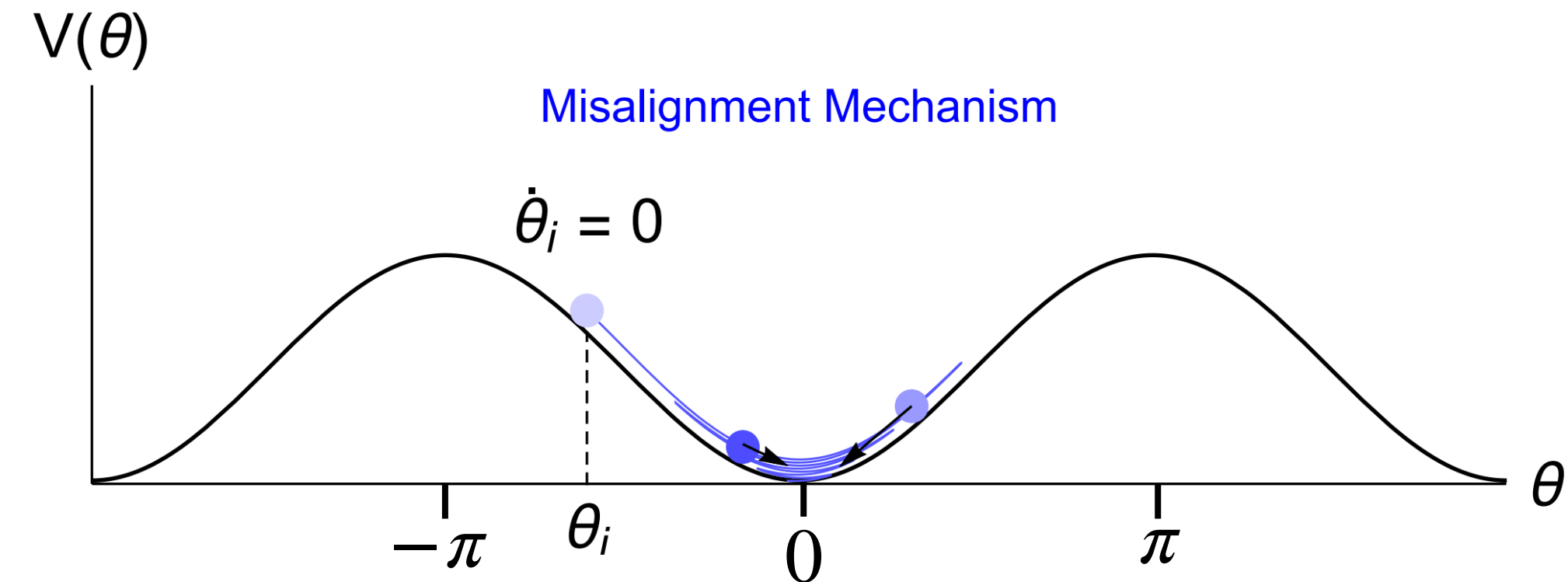
$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\theta}\frac{g^2}{32\pi^2}F_{\mu\nu}\tilde{F}^{\mu\nu} + \bar{\psi}(i\gamma^\mu D_\mu - m)\psi.$$

- Strong CP-puzzle: why  $\bar{\theta} \leq 10^{-10}$  ?

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\theta}\frac{g^2}{32\pi^2}F_{\mu\nu}\tilde{F}^{\mu\nu} + \bar{\psi}(i\gamma^\mu D_\mu - m)\psi.$$

- Strong CP-puzzle: why  $\bar{\theta} \leq 10^{-10}$  ?
- One proposed solution is axions. Energy density as a function of  $\theta$  is important for QCD-axion phenomenology.

[Wilczek, PRL 40] [Weinberg, PRL 40]



Adapted from  
[Co, Hall, Harigaya et al, PRL 124]

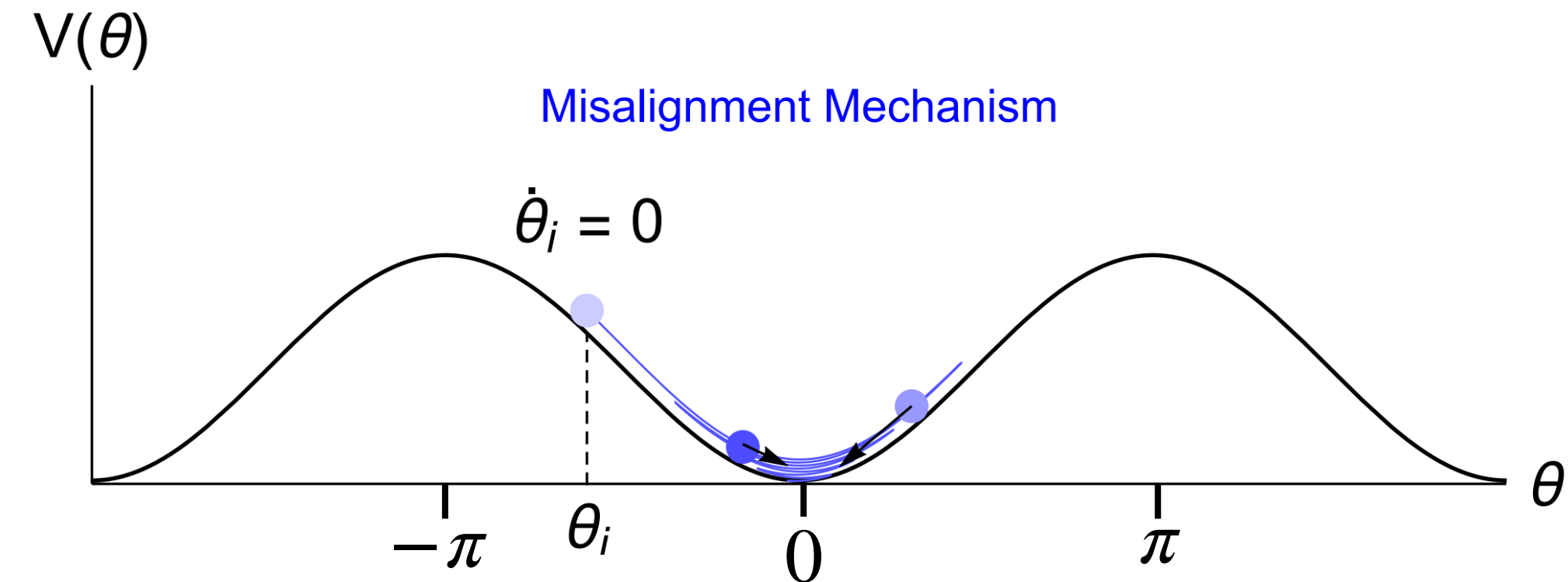
# The QCD $\theta$ -term

4

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \bar{\theta}\frac{g^2}{32\pi^2}F_{\mu\nu}\tilde{F}^{\mu\nu} + \bar{\psi}(i\gamma^\mu D_\mu - m)\psi.$$

- Strong CP-puzzle: why  $\bar{\theta} \leq 10^{-10}$  ?
- One proposed solution is axions. Energy density as a function of  $\theta$  is important for QCD-axion phenomenology.  
[Wilczek, PRL 40] [Weinberg, PRL 40]
- Including the  $\theta$ -term into the Euclidean path integral results in a sign problem

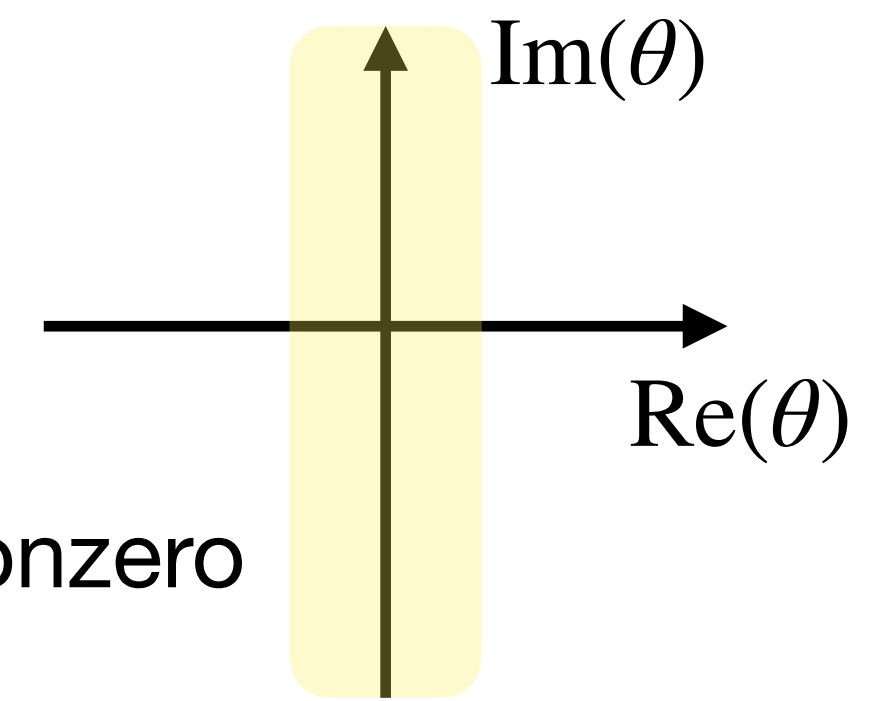
$$S = \frac{-1}{g^2} \sum_{\vec{n}, \mu, \nu} \text{Tr}(U_{\vec{n}, \mu\nu}) + \frac{i\theta}{32\pi^2} \sum_{\vec{n}, \mu\nu\rho\sigma} \epsilon_{\mu\nu\rho\sigma} \text{Tr}(U_{\vec{n}, \mu\nu} U_{\vec{n}, \rho\sigma})$$



Adapted from  
[Co, Hall, Harigaya et al, PRL 124]

- Attempts to recover finite- $\theta$  physics nonperturbatively can all be considered expansions around  $\text{Re}(\theta) = 0$ .

[Bonanno et al, JHEP 05(2024)]  
[Hirasawa et al, JHEP 05(2025)]  
[Del Debbio et al, J. Phys. Conf. Ser. 110]  
and many others



- Can we do direct calculations at nonzero  $\text{Re}(\theta)$ ?

$$S = \frac{-1}{g^2} \sum_{\vec{n}, \mu, \nu} \text{Tr}(U_{\vec{n}, \mu\nu}) + \frac{i\theta}{32\pi^2} \sum_{\vec{n}, \mu\nu\rho\sigma} \epsilon_{\mu\nu\rho\sigma} \text{Tr} \left( U_{\vec{n}, \mu\nu} U_{\vec{n}, \rho\sigma} \right)$$

Transfer Matrix

[Angus Kan, Lena Funcke et al, PRD 104]

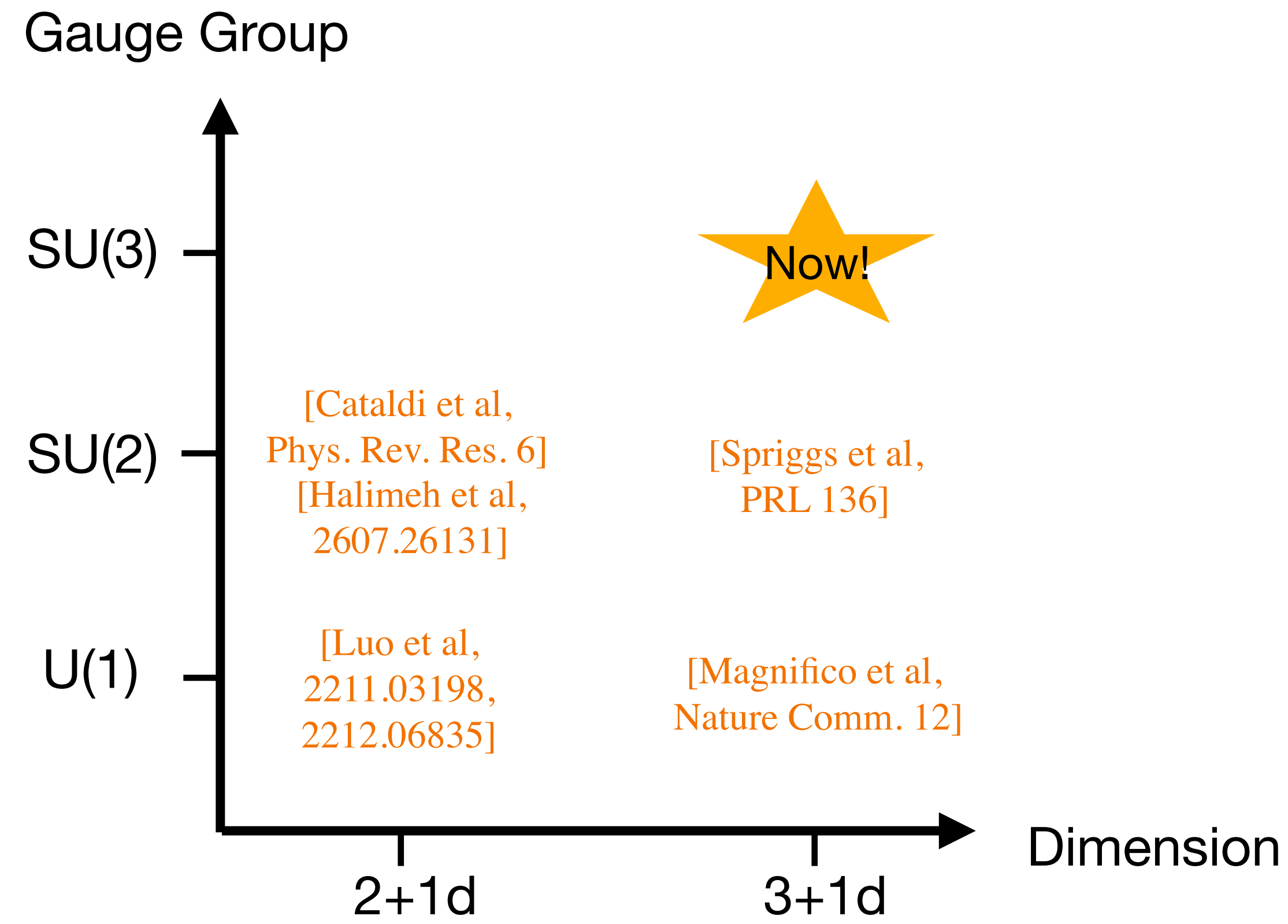
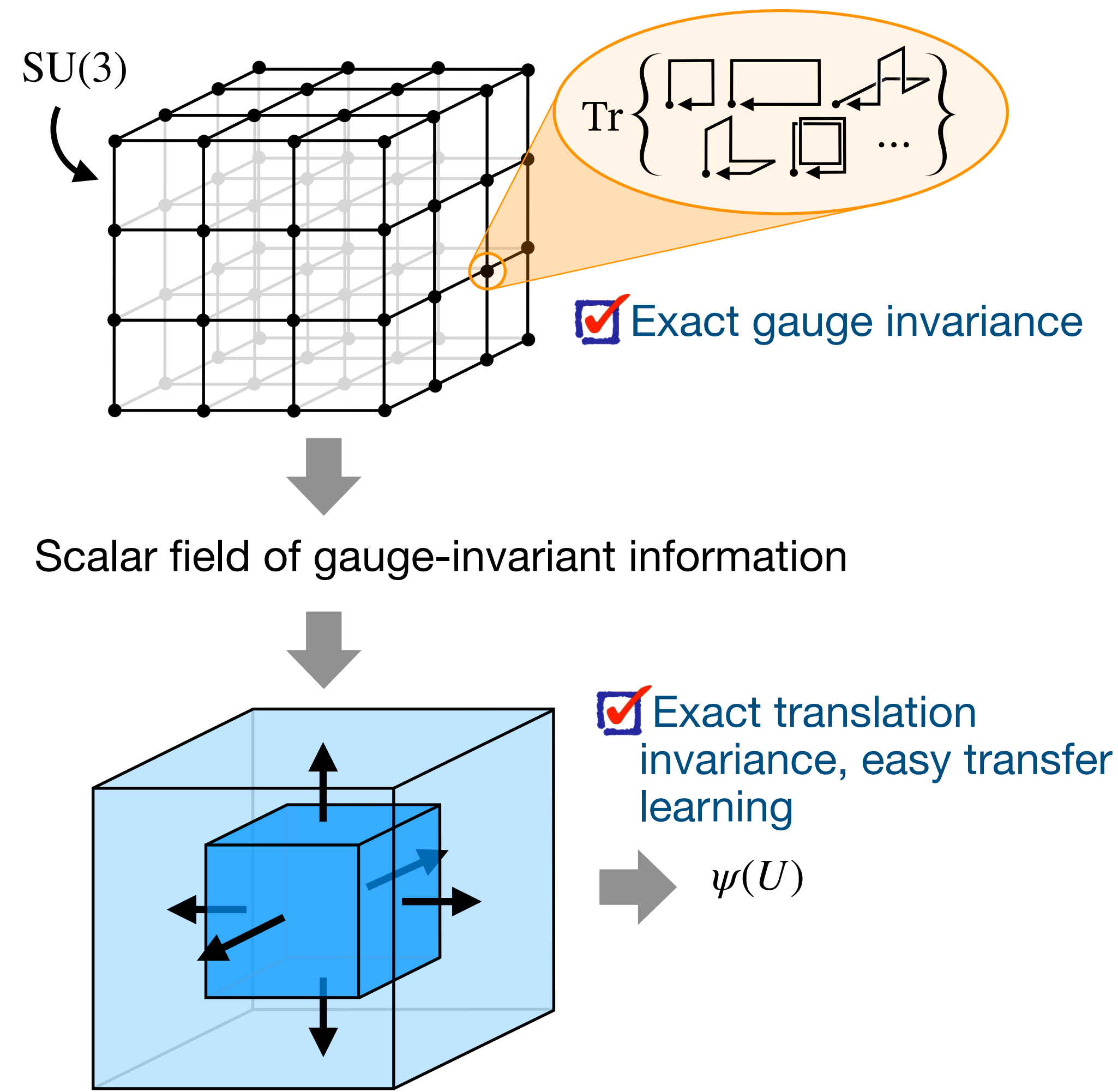


$$\begin{aligned} \langle U | H | \psi \rangle = & \frac{-1}{a_s g^2} \sum_{\vec{n}, ij} \text{Tr}(U_{\vec{n}, ij}) \psi(U) + \frac{-g^2}{2a_s} \sum_{A, \vec{n}, i} \partial_{A, \vec{n}, i}^2 \psi(e^{i\epsilon^A T^A} U) + \\ & \frac{-2g^2\theta}{16\pi^2 a_s} \sum_{\vec{n}, i} \epsilon_{ijk} \partial_A \psi(e^{i\epsilon_{B, \vec{n}, i} T_B} U) \text{Tr}(T^A U_{\vec{n}, jk}) \\ & \frac{-4g^2\theta^2}{512\pi^4 a_s} \sum_{\vec{n}} [\text{Tr}(T^A \epsilon_{ijk} U_{\vec{n}, jk}) \text{Tr}(T^A \epsilon_{ij'k'} U_{\vec{n}, j'k'})] \psi(U) \end{aligned}$$

- Critical difficulty: the Hilbert space is infinite dimensional.
- Recent studies have considered a Hamiltonian approach for nonabelian gauge theories, using Quantum Computers:

At this conference:

- Lena Funcke: Simulating (3+1)D SU(2) Hamiltonian lattice gauge theory with a topological -term
- Emil Otis Rosanowski: A Path to Quantum Simulations of (3+1)D U(1) Gauge Theory with a Topological -Term
- Luis Hidalgo: Quantum Simulations of Lattice QCD in the Representation Basis



- Evaluating the expectation value of any state gives an upper bound on the ground state energy.

$$E_\alpha := \langle \psi_\alpha | \hat{H} | \psi_\alpha \rangle \geq E_0$$

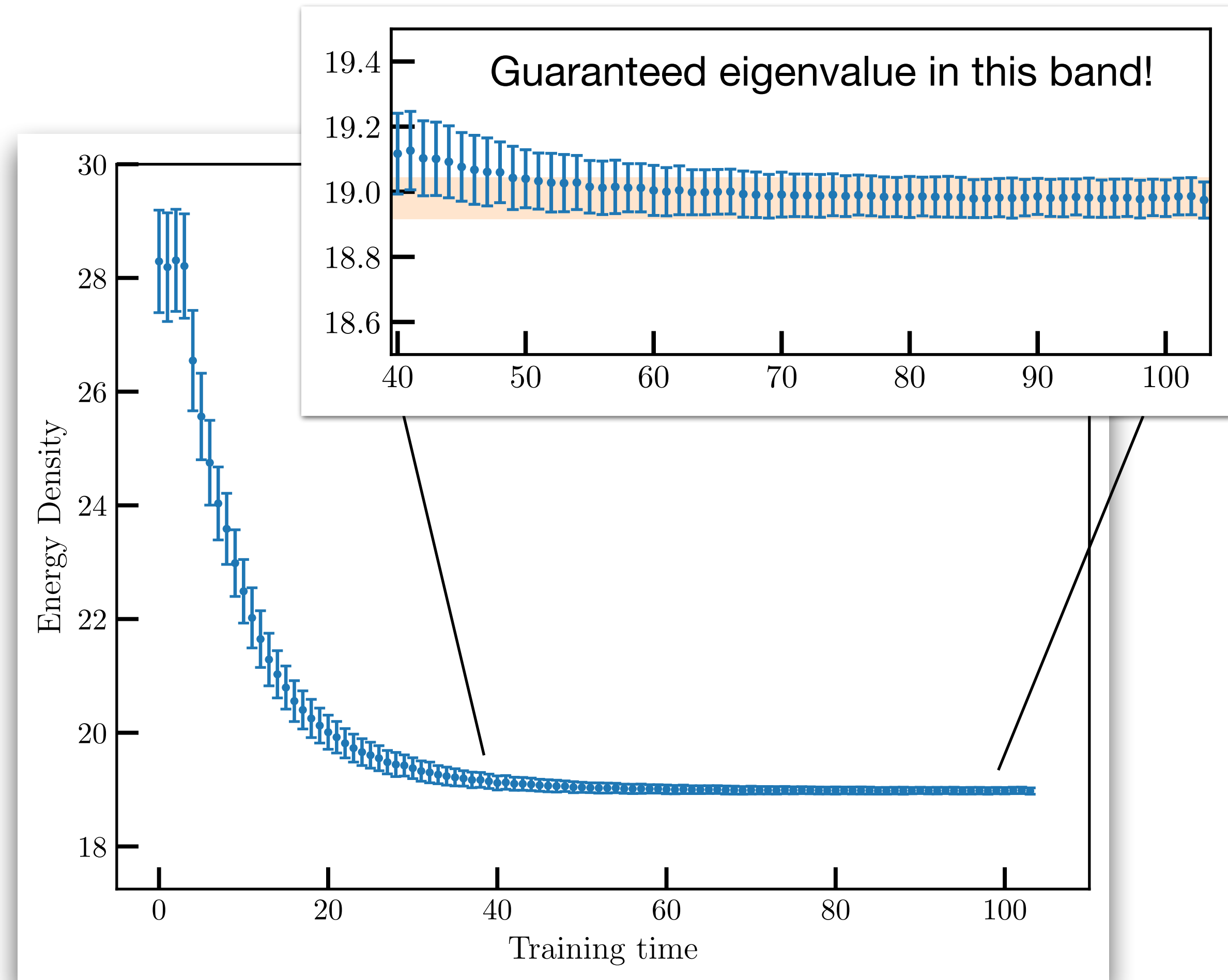
- By an application of Chebyshev's inequality, there is guaranteed to be an eigenvector of  $\hat{H}$  contained in the two-sided bound:

$$[E_\alpha - \sigma_\alpha, E_\alpha + \sigma_\alpha]$$

$$\sigma_\alpha^2 := \langle \psi_\alpha | \hat{H}^2 | \psi_\alpha \rangle - (\langle \psi_\alpha | \hat{H} | \psi_\alpha \rangle)^2$$

(The “first residual bound” discussed in [\[M. Wagman, PRL 134\]](#) and elsewhere, thanks Rob for pointing this connection out)

- As variance decreases, variational state approaches exact eigenstate.

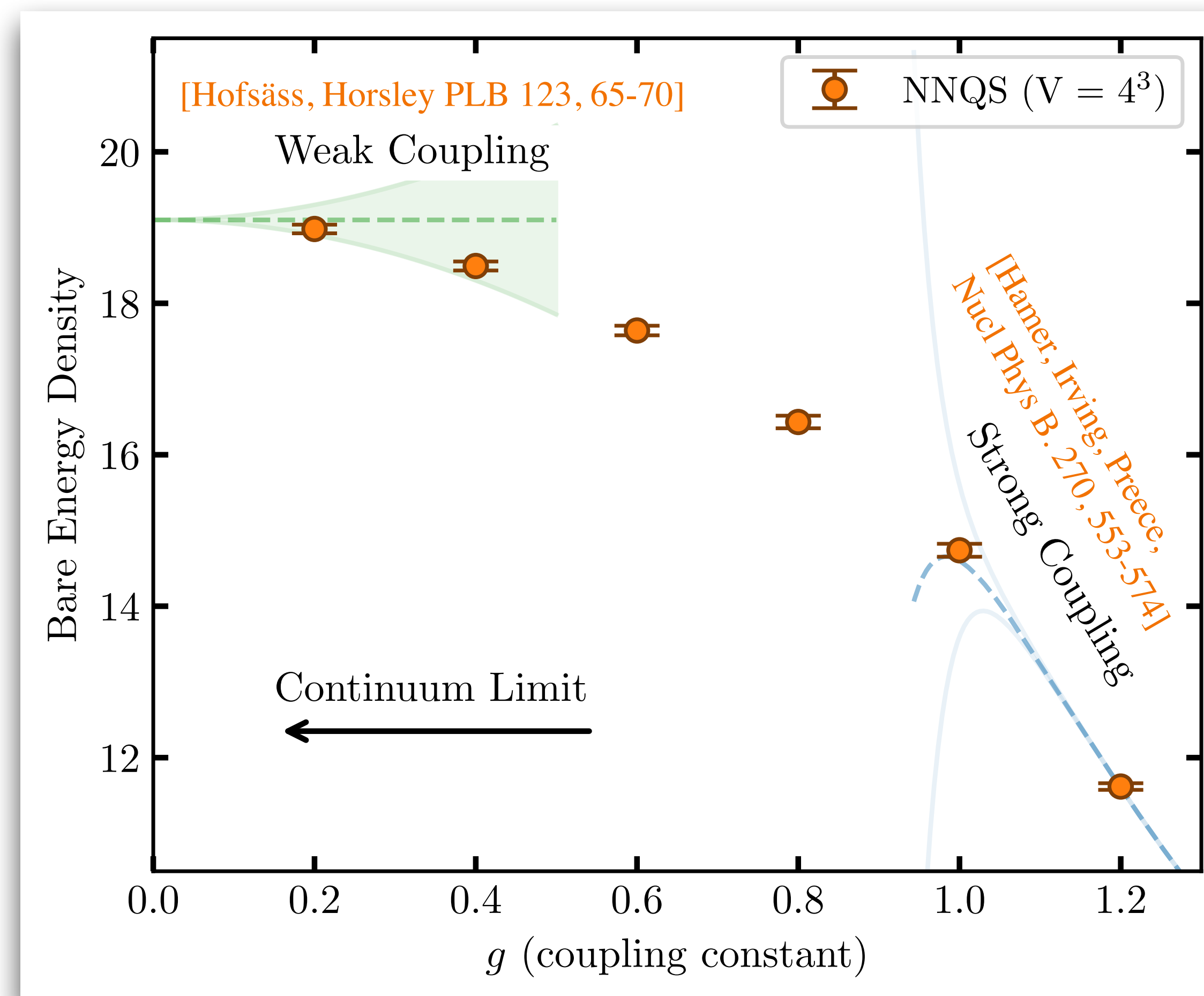


**NEW**

It works!

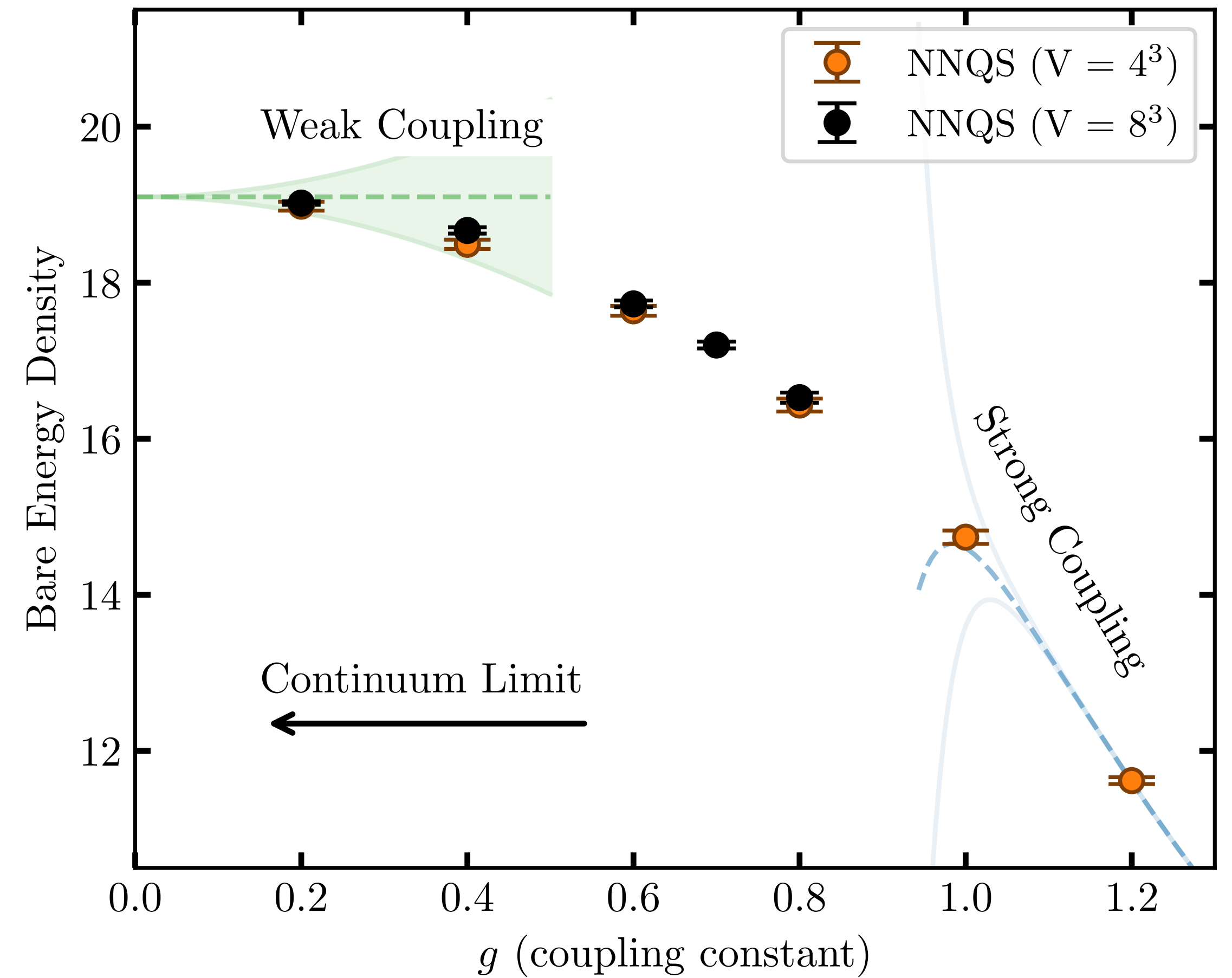
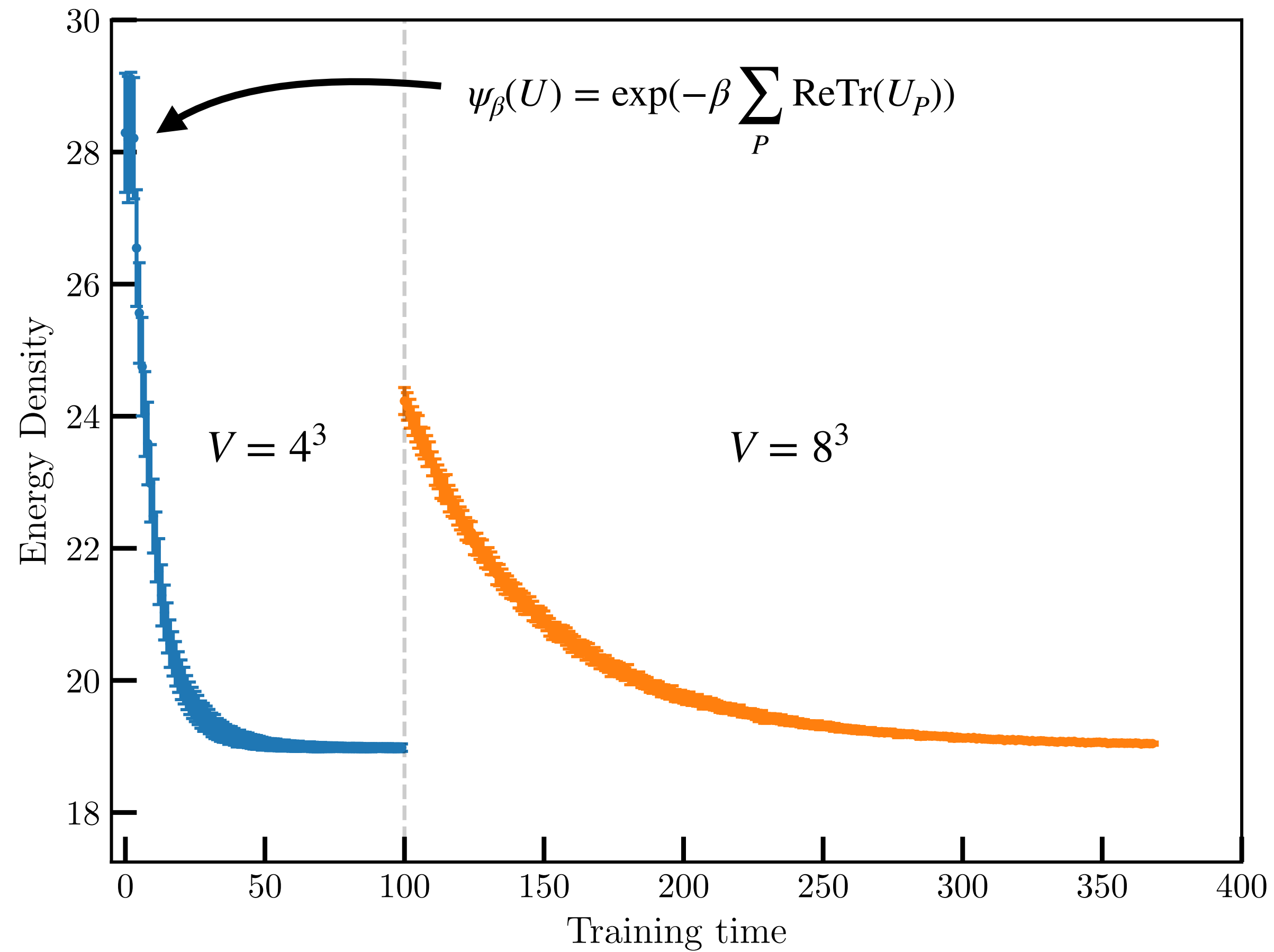
- At strong coupling, the wave function is close to haar-uniform. (easy)
- Weak coupling result is the zero-point-energy for the gauge boson degrees of freedom. This is *not* a delta function on unity plaquettes.
- Variance can be driven down by adding universal gauge-equivariant layers (universal smearing), or increasing readout.

(1840 parameters)



# Transfer learning to $V = 8^3$

9



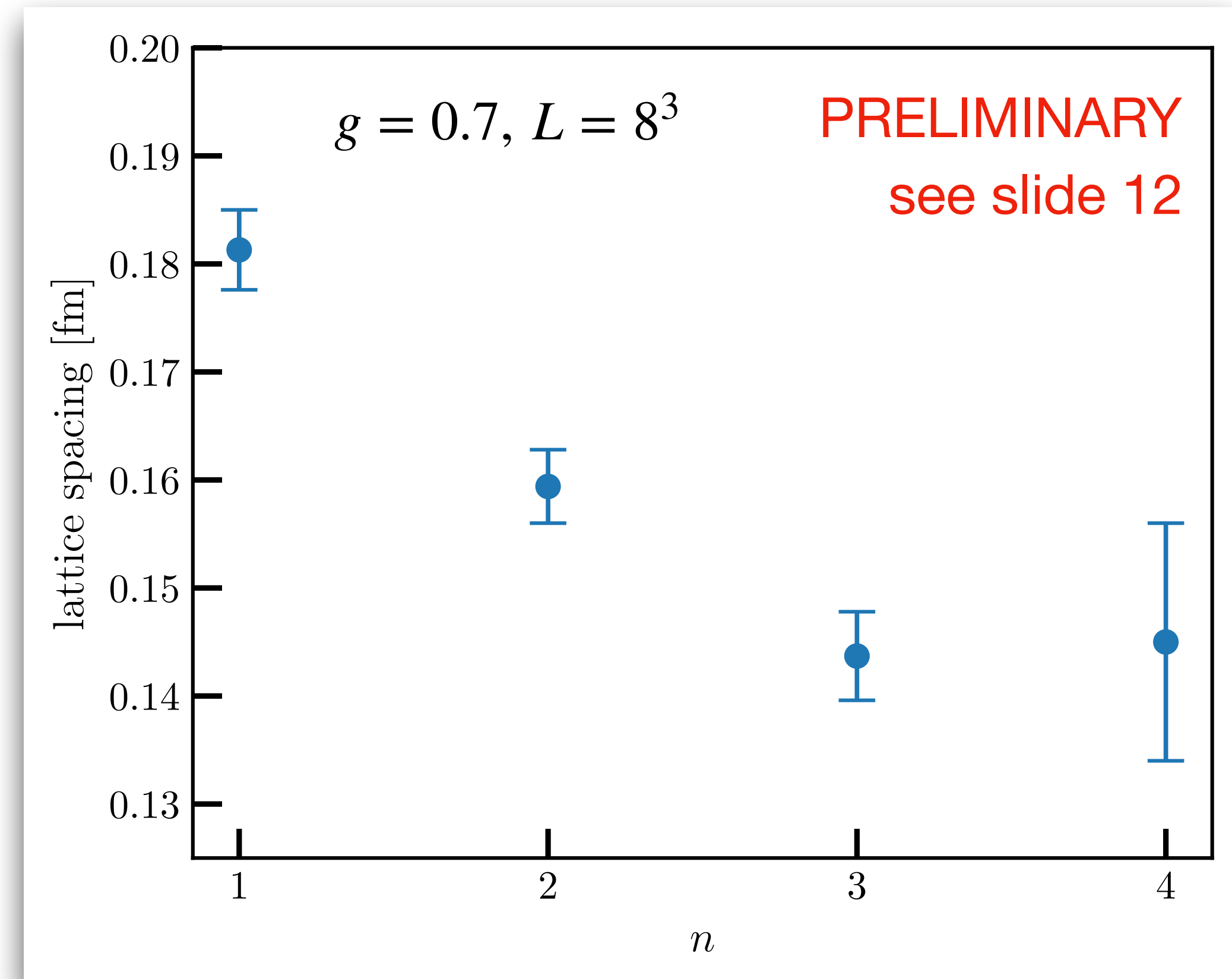
- Scale setting can be performed in various ways, the simplest being to measure the string tension via the Creutz ratios:

$$\frac{\langle \psi | \mathcal{O} | \psi \rangle}{\langle \psi | \psi \rangle} = \frac{\int dU \langle \psi | U \rangle \langle U | \psi \rangle \frac{\langle U | \mathcal{O} | \psi \rangle}{\langle U | \psi \rangle}}{\int dU \langle \psi | U \rangle \langle U | \psi \rangle}$$

$$\chi(I, J) = -\ln \left[ \frac{W(I, J) W(I-1, J-1)}{W(I, J-1) W(I-1, J)} \right]. \quad a = \sqrt{\frac{\chi(n+1, n+1)}{\sigma}}$$

- Alternatively, can measure glue-ball masses [WIP]
- Alternatively, can scale set anisotropic Lattice-QCD ensembles, and extrapolate to the Hamiltonian limit.

[Groß et al, Eur. Phys. J. C 85] for 2+1d U(1) matching

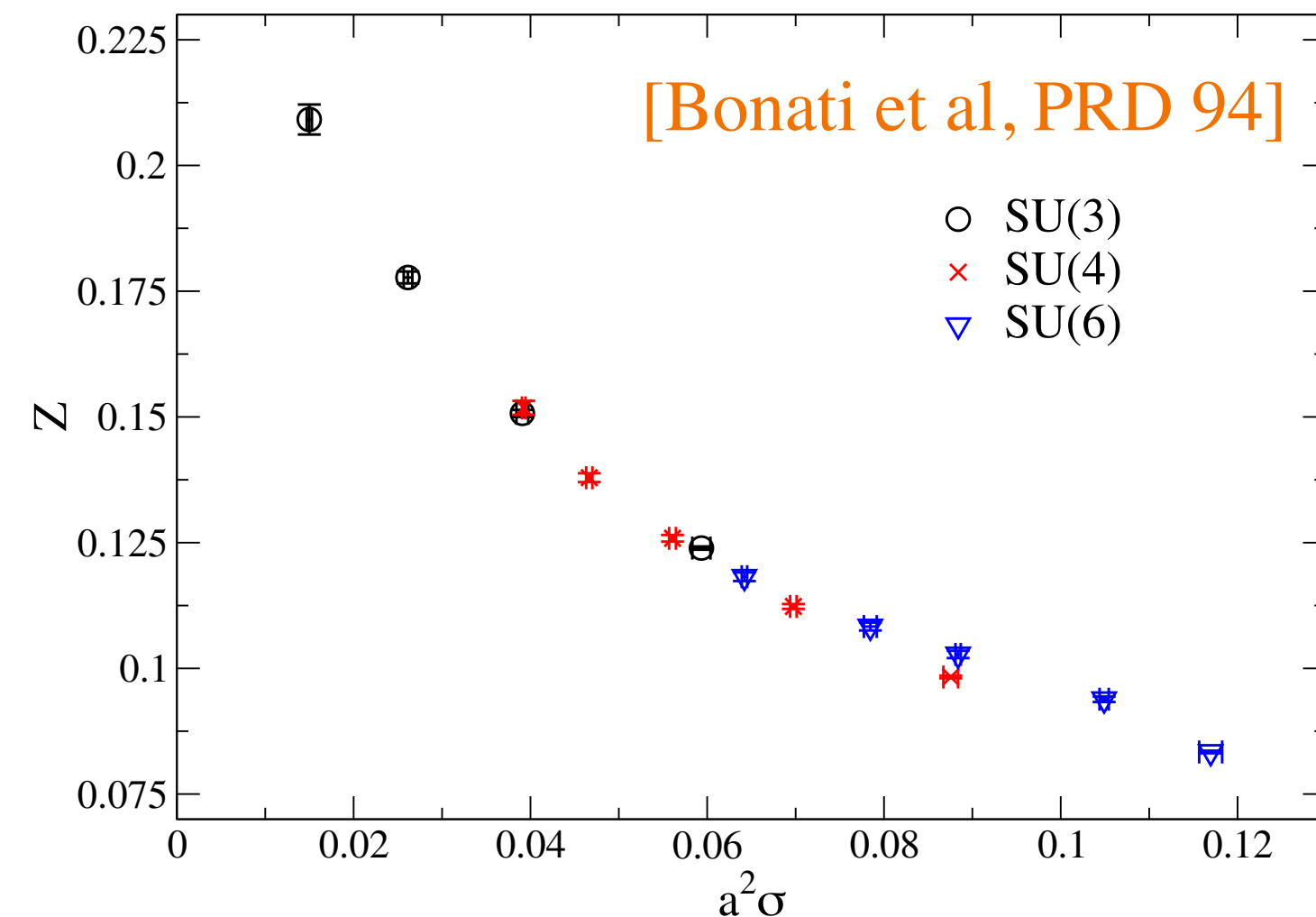


# $\theta$ -dependence of the vacuum

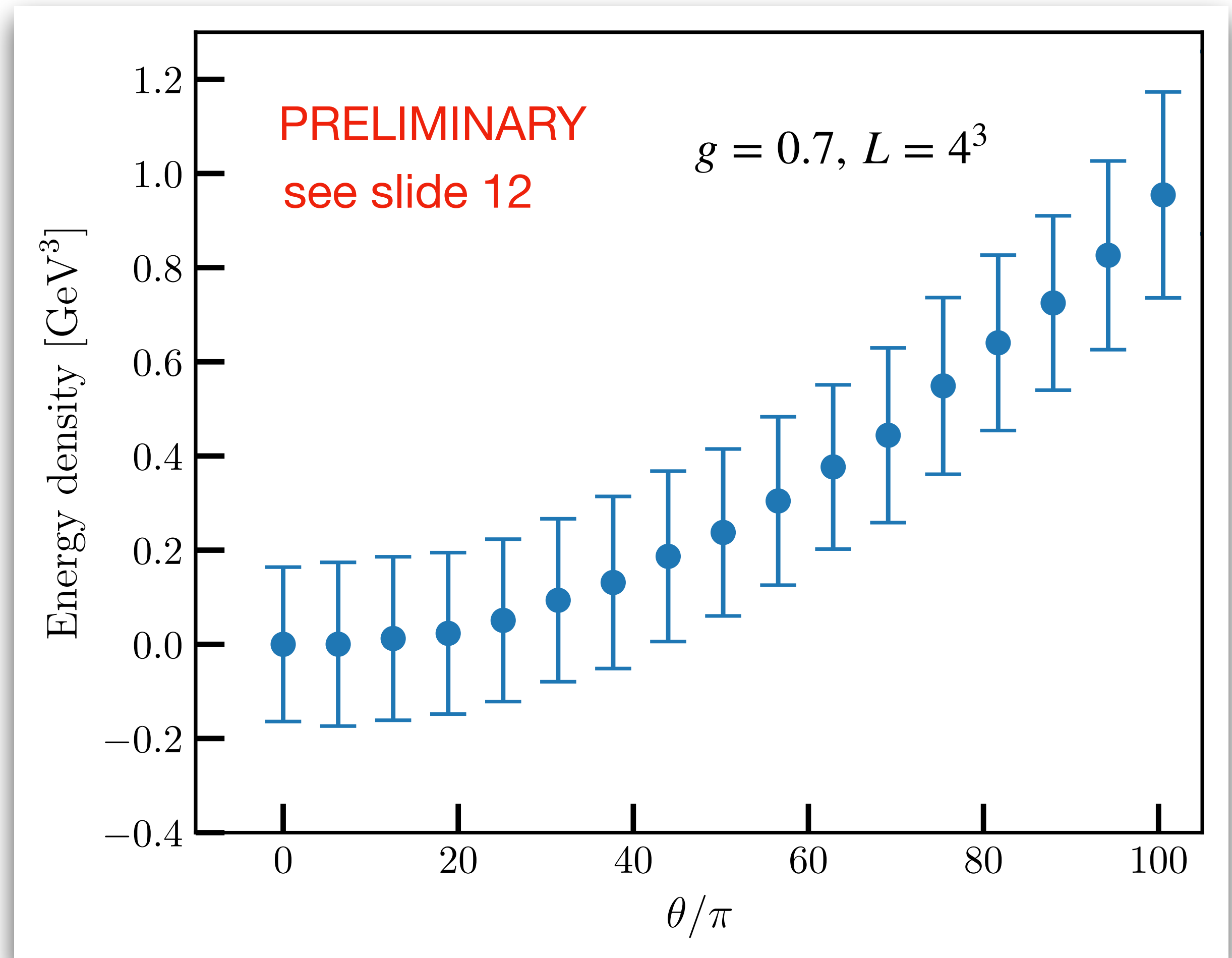
11

- Note! The bare topological charge undergoes a multiplicative renormalization!

[M. Campostrini et al, *Nucl.Phys.B* 329 (1990) 683-697]



- Physical results (and  $2\pi$ -periodicity) are only recovered in the infinite volume, and continuum limits.



## Scale setting:

- 1st path: At fixed  $g$ , infinite volume, zero variance extrapolations of a scale setting scheme e.g. in [Sorella, PRB 64]
- 2nd path: Anisotropic limit of Lattice-QCD calculations [Groß et al, Eur. Phys. J. C 85] for 2+1d U(1) matching

## Renormalization of the $\theta$ -term:

- 1st path: Stick with the bare current, because taking the continuum limit causes  $\lim_{a \rightarrow 0} Z_\theta \rightarrow 1$ .
- 2nd path: Flow/smear the Hamiltonian  $\theta$ -terms, requires some thinking. (Smearing in Lagrangian formulation makes writing a transfer matrix down difficult)  
Alternative: fermionic definition, fixes renormalization (see Lena's talk)
- Lena Funcke: Simulating (3+1)D SU(2) Hamiltonian lattice gauge theory with a topological -term

## Scale setting:

- 1st path: At  $\beta = 6.0$ ,  $a = 0.12$  fm, variance extrapolation  
e.g. in [Sorella, PRB 64]
- 2nd path: Anisotropic limit of Lattice-QCD calculations  
[Groß et al, Eur. Phys. J. C 85] for 2+1d U(1) matching

## Renormalization

- 1st path: Stick with the bare current, because taking the continuum limit causes  $\lim_{\theta \rightarrow 0} Z_\theta \rightarrow 1$ .
- 2nd path: Flow/smear the Hamiltonian  $\theta$ -terms, requires some thinking. (Smearing in Lagrangian formulation makes writing a transfer matrix down difficult)

## Lagrangian Formulation

- Infinite volume, continuum limits
- Excited state control by large Euclidean times, fits

## Hamiltonian Formulation

- Infinite volume, continuum limits
- Excited states controlled by more expressive NNs, variance extrapolation.
- Unclear! In this work, I present a quantity that is protected

## The immediate future

- GFMC can be used as a check (though, expensive at finite  $\theta$ )
- Glueball spectrum as a function of  $\theta$

## The future

- Real-time simulation is also possible, either via Time-dependent-variational-principle or otherwise. But it suffers systematic errors.
- Adding fermions is the next step, consider for example the baryon chemical potential.

Thank you to my collaborators,  
and thank you for listening!

