

Machine-learned density of states for the doped Hubbard model

Felicitas Freche

International Symposium on Lattice Field Theory



The generalized density of states method

Generalized DoS: [K. Langfeld, B. Lucini (2014)]

$$S(\phi) = S_r(\phi) + i\mu S_i(\phi)$$

The generalized density of states method

Generalized DoS: [K. Langfeld, B. Lucini (2014)]

$$S(\phi) = S_r(\phi) + i\mu S_i(\phi)$$

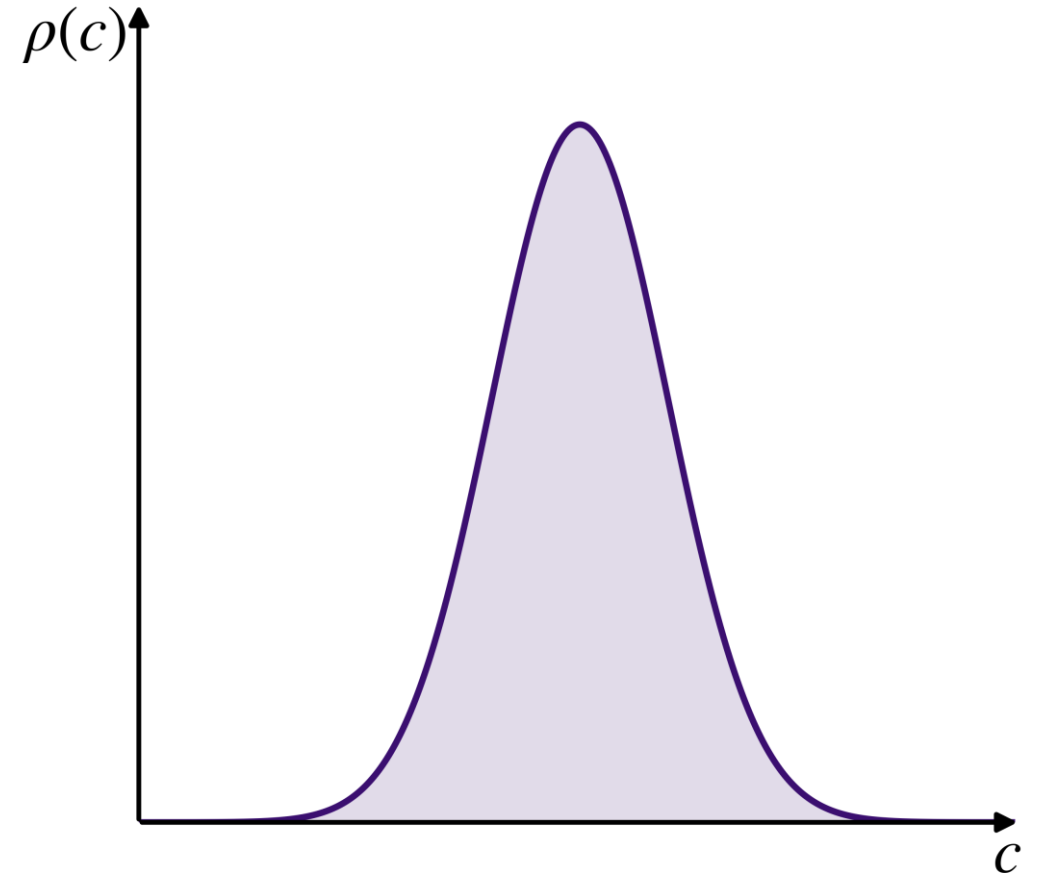
$$\rho(c) = \int \mathcal{D}\phi \, e^{-S_r(\phi)} \delta(c - S_i(\phi))$$

The generalized density of states method

Generalized DoS: [K. Langfeld, B. Lucini (2014)]

$$S(\phi) = S_r(\phi) + i\mu S_i(\phi)$$

$$\rho(c) = \int \mathcal{D}\phi \, e^{-S_r(\phi)} \delta(c - S_i(\phi))$$



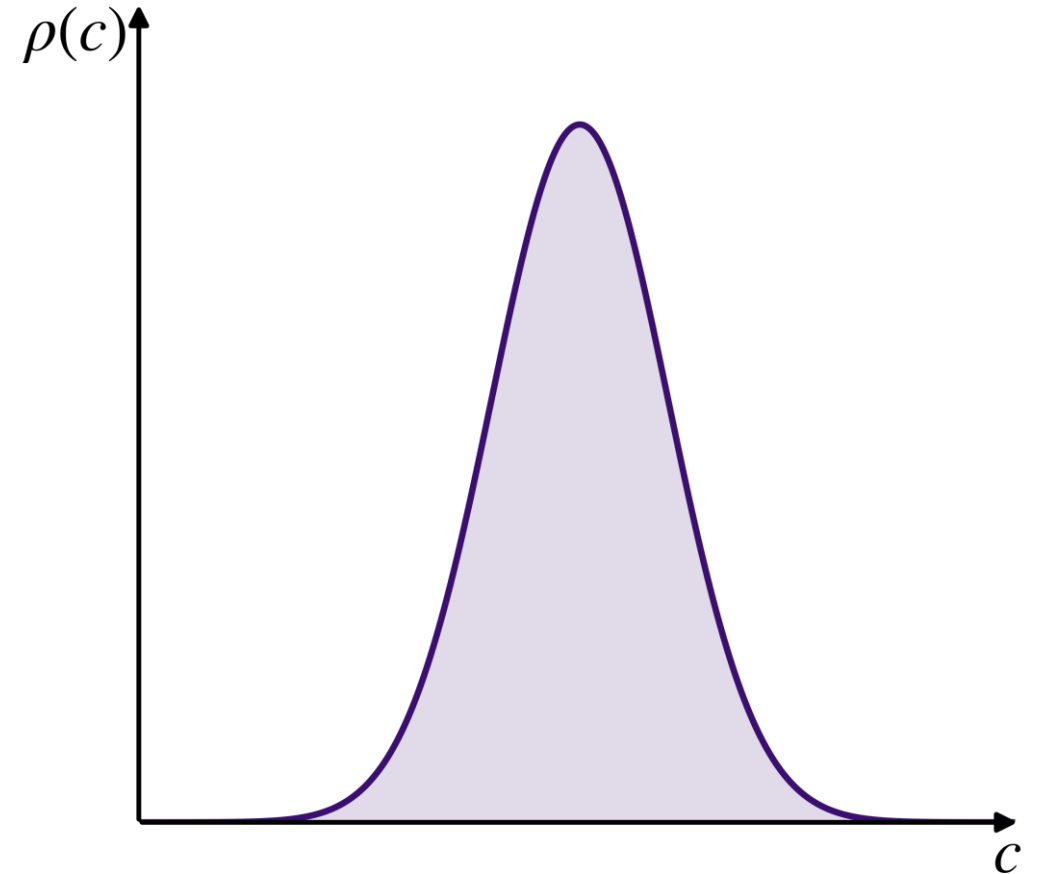
The generalized density of states method

Generalized DoS: [K. Langfeld, B. Lucini (2014)]

$$\boxed{S(\phi)} = S_r(\phi) + i\mu S_i(\phi)$$

$$\rho(c) = \int \mathcal{D}\phi \, e^{-S_r(\phi)} \delta(c - S_i(\phi))$$

$$Z = \int \mathcal{D}\phi \, e^{-\boxed{S(\phi)}}$$



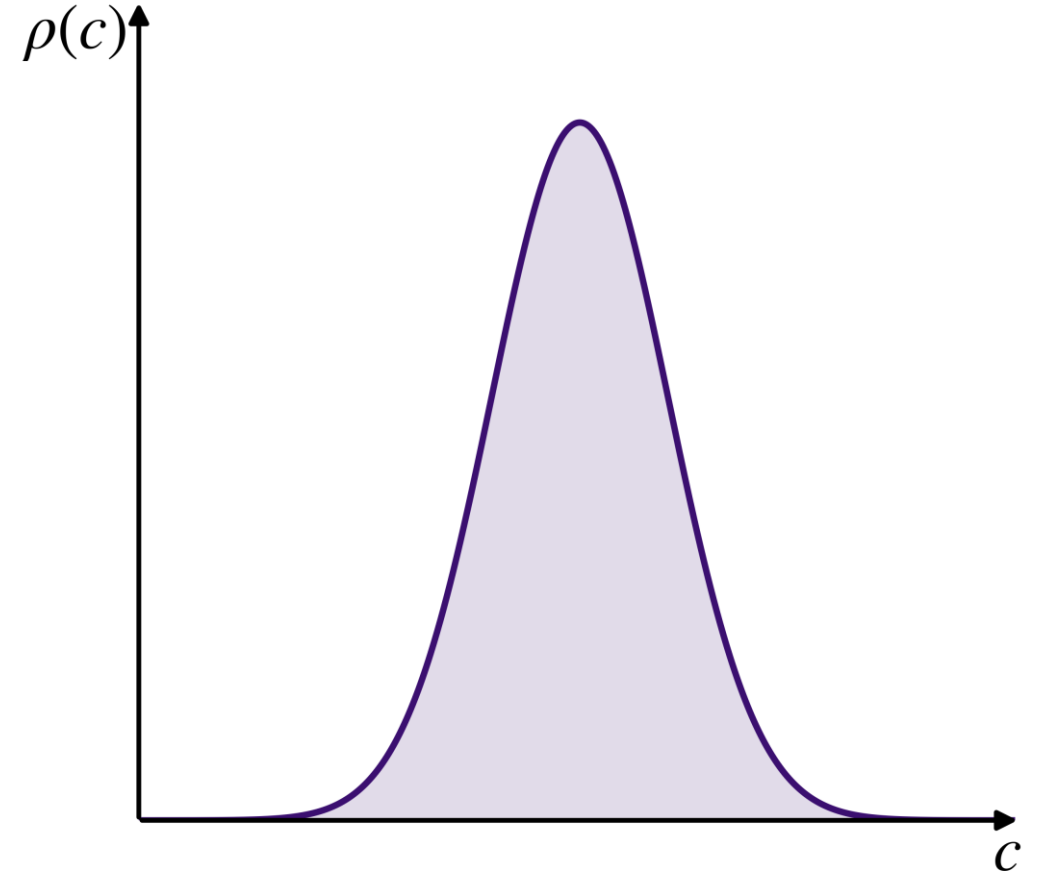
The generalized density of states method

Generalized DoS: [K. Langfeld, B. Lucini (2014)]

$$\boxed{S(\phi)} = S_r(\phi) + i\mu S_i(\phi)$$

$$\boxed{\rho(c)} = \int \mathcal{D}\phi e^{-S_r(\phi)} \delta(c - S_i(\phi))$$

$$Z = \int \mathcal{D}\phi e^{-\boxed{S(\phi)}} = \int dc \boxed{\rho(c)} e^{-i\mu c}$$



The generalized density of states method

$$S(\phi) = S_r(\phi) + i\mu S_i(\phi)$$

$$\rho(c) = \int \mathcal{D}\phi e^{-S_r(\phi)} \delta(c - S_i(\phi))$$

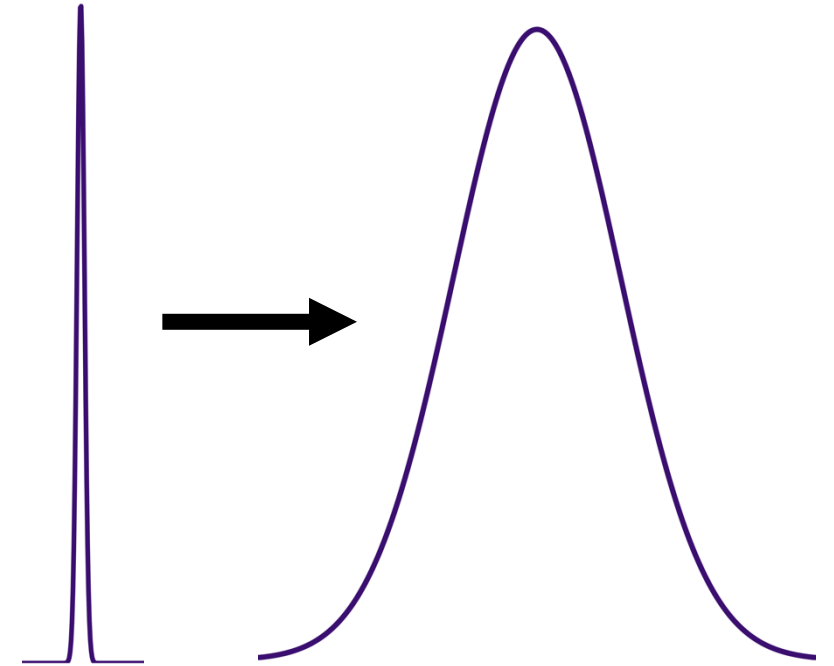
$$Z = \int \mathcal{D}\phi e^{-S(\phi)} = \int dc \rho(c) e^{-i\mu c}$$

The generalized density of states method

$$S(\phi) = S_r(\phi) + i\mu S_i(\phi)$$

$$\rho(c) = \int \mathcal{D}\phi e^{-S_r(\phi)} \delta(c - S_i(\phi))$$

$$Z = \int \mathcal{D}\phi e^{-S(\phi)} = \int dc \rho(c) e^{-i\mu c}$$



Machine-learned density of states

Original ML-DoS; Application to 2D ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Application to U(1) 2D: [S. Singh, L. Funcke (PoS Lattice 2026)]

P -regularize the δ -function:

$$\rho_P(c) = \int \mathcal{D}\phi \, e^{-S_r(\phi)} e^{-\frac{P}{2}(c - S_i(\phi))^2 - \log \mathcal{N}}$$

Machine-learned density of states

Original ML-DoS; Application to 2D ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Application to U(1) 2D: [S. Singh, L. Funcke (PoS Lattice 2026)]

P -regularize the δ -function:

$$\begin{aligned}\rho_P(c) &= \int \mathcal{D}\phi \, e^{-S_r(\phi) - \frac{P}{2}(c - S_i(\phi))^2 - \log \mathcal{N}} \\ &=: \int \mathcal{D}\phi \, e^{-S_{c,P}(\phi)}\end{aligned}$$

Machine-learned density of states

Original ML-DoS; Application to 2D ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]
Application to U(1) 2D: [S. Singh, L. Funcke (PoS Lattice 2026)]

P -regularize the δ -function:

$$\begin{aligned}\rho_P(c) &= \int \mathcal{D}\phi \, e^{-S_r(\phi) - \frac{P}{2}(c - S_i(\phi))^2 - \log \mathcal{N}} \\ &=: \int \mathcal{D}\phi \, e^{-S_{c,P}(\phi)} \\ &= \int \mathcal{D}\phi \, \boxed{q_P(\phi|c)} \frac{e^{-S_{c,P}(\phi)}}{\boxed{q_P(\phi|c)}}\end{aligned}$$

Machine-learned density of states

Original ML-DoS; Application to 2D ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]
Application to U(1) 2D: [S. Singh, L. Funcke (PoS Lattice 2026)]

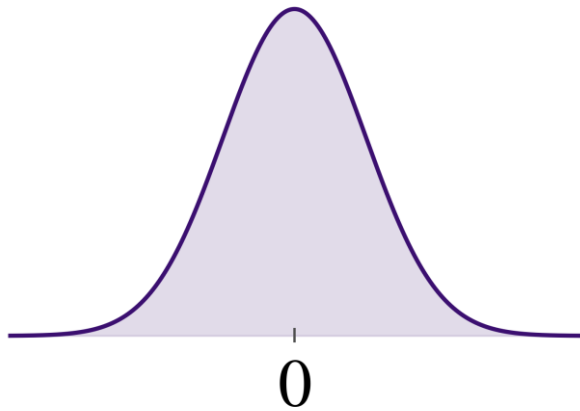
P -regularize the δ -function:

$$\begin{aligned}\rho_P(c) &= \int \mathcal{D}\phi \, e^{-S_r(\phi) - \frac{P}{2}(c - S_i(\phi))^2 - \log \mathcal{N}} \\ &=: \int \mathcal{D}\phi \, e^{-S_{c,P}(\phi)} \\ &= \int \mathcal{D}\phi \, q_P(\phi|c) \frac{e^{-S_{c,P}(\phi)}}{q_P(\phi|c)} \\ &= \left\langle e^{-S_{c,P}(\phi) - \log q_P(\phi|c)} \right\rangle_{\phi \sim q_P(\phi|c)}\end{aligned}$$

Conditional Normalizing flow

Original ML-DoS; Application to 2D two-component ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Prior

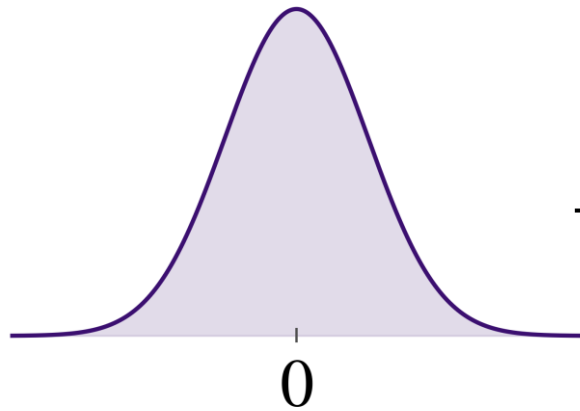


$$\phi \sim p_0$$
$$c \in [0, c_{max}]$$

Conditional Normalizing flow

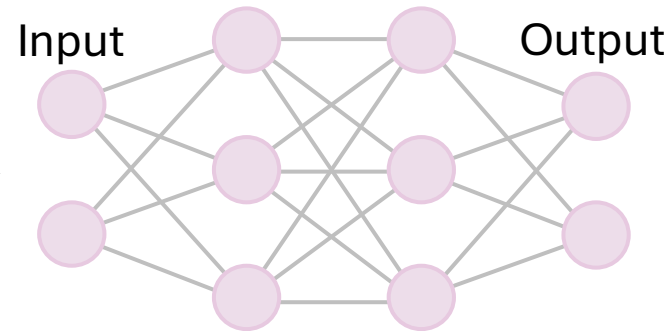
Original ML-DoS; Application to 2D two-component ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Prior



$$\phi \sim p_0$$
$$c \in [0, c_{max}]$$

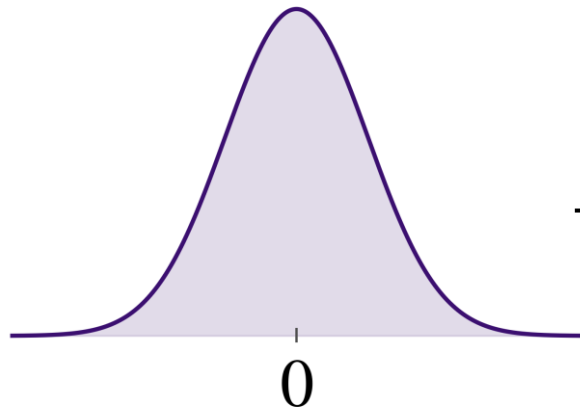
Neural Network



Conditional Normalizing flow

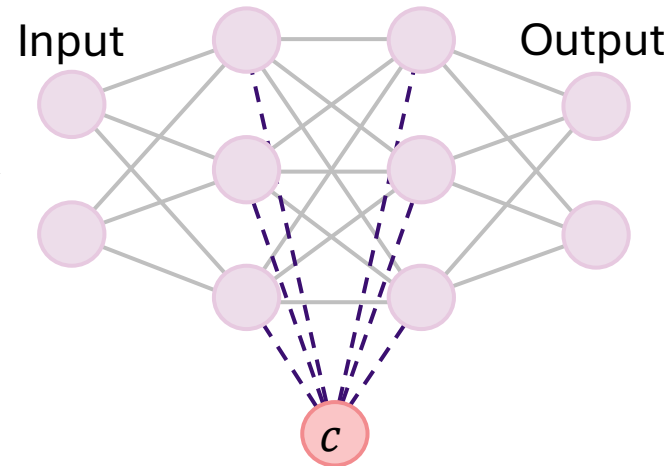
Original ML-DoS; Application to 2D two-component ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Prior



$$\phi \sim p_0$$
$$c \in [0, c_{max}]$$

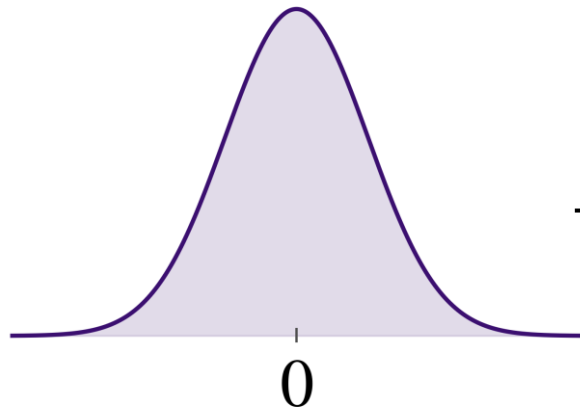
Neural Network



Conditional Normalizing flow

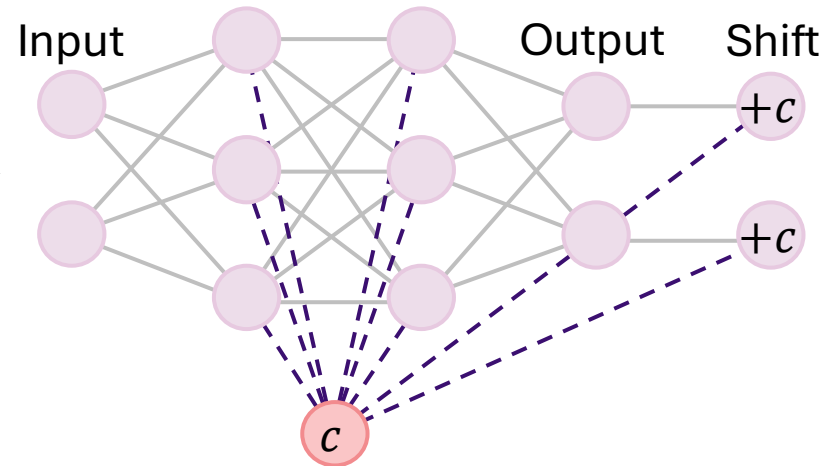
Original ML-DoS; Application to 2D two-component ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]

Prior



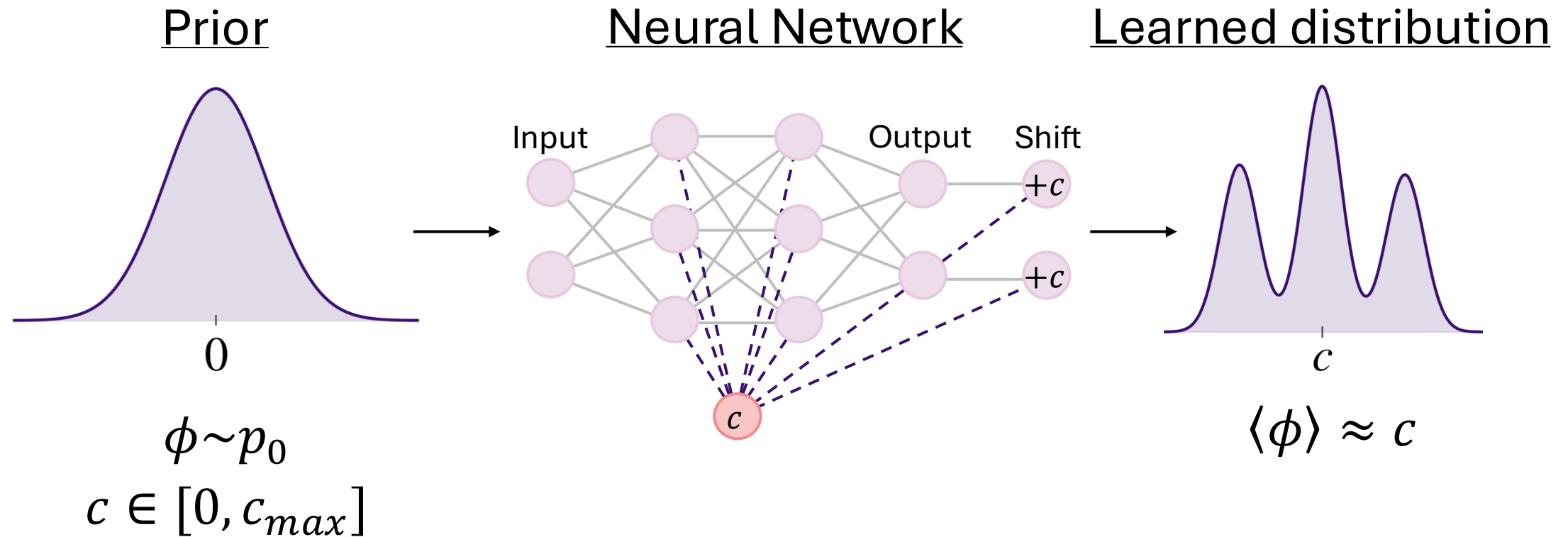
$$\phi \sim p_0$$
$$c \in [0, c_{max}]$$

Neural Network



Conditional Normalizing flow

Original ML-DoS; Application to 2D two-component ϕ^4 : [J. M. Pawłowski, J. M. Urban (2023)]



The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$

$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$


$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

$$S = \frac{1}{2\tilde{U}} \sum_{xt} (\phi_{x,t} - \Phi)^2 - \log \det(M[i\phi]M[-i\phi]) + \frac{V}{2\tilde{U}} (\Phi + i\delta\mu)^2$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$

$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

$$S = \frac{1}{2\tilde{U}} \sum_{xt} (\phi_{x,t} - \Phi)^2 - \log \det(M[i\phi]M[-i\phi]) + \frac{V}{2\tilde{U}} (\Phi + i\delta\mu)^2$$

Phase!

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$

$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

$$S = \underbrace{\frac{1}{2\tilde{U}} \sum_{xt} (\phi_{x,t} - \Phi)^2 - \log \det(M[i\phi]M[-i\phi])}_{S_r} + \underbrace{\frac{V}{2\tilde{U}} (\Phi + i\delta\mu)^2}_{S_\mu} = S_r + S_\mu$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$

$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

$$S = \frac{1}{2\tilde{U}} \sum_{xt} (\phi_{x,t} - \Phi)^2 - \log \det(M[i\phi]M[-i\phi]) + \frac{V}{2\tilde{U}} (\Phi + i\delta\mu)^2 = S_r + S_\mu$$

$$S_{c,P}(\phi) = S_r(\phi) + \frac{P}{2} (c - \Phi)^2 + \log \mathcal{N}$$

The Hubbard Model

Work in the charge basis:

$$S = \frac{1}{2\tilde{U}} \sum_{x,t} \phi_{x,t}^2 - \log \det(M[i\phi, \mu]M[-i\phi, -\mu])$$

$$\Phi = \frac{1}{V} \sum_{x,t} \phi_{x,t} \quad V = N_x \cdot N_t$$

$$\phi_{x,t} \rightarrow \phi_{x,t} + i\mu$$

$$S = \frac{1}{2\tilde{U}} \sum_{xt} (\phi_{x,t} - \Phi)^2 - \log \det(M[i\phi]M[-i\phi]) + \frac{V}{2\tilde{U}} (\Phi + i\delta\mu)^2 = S_r + S_\mu$$

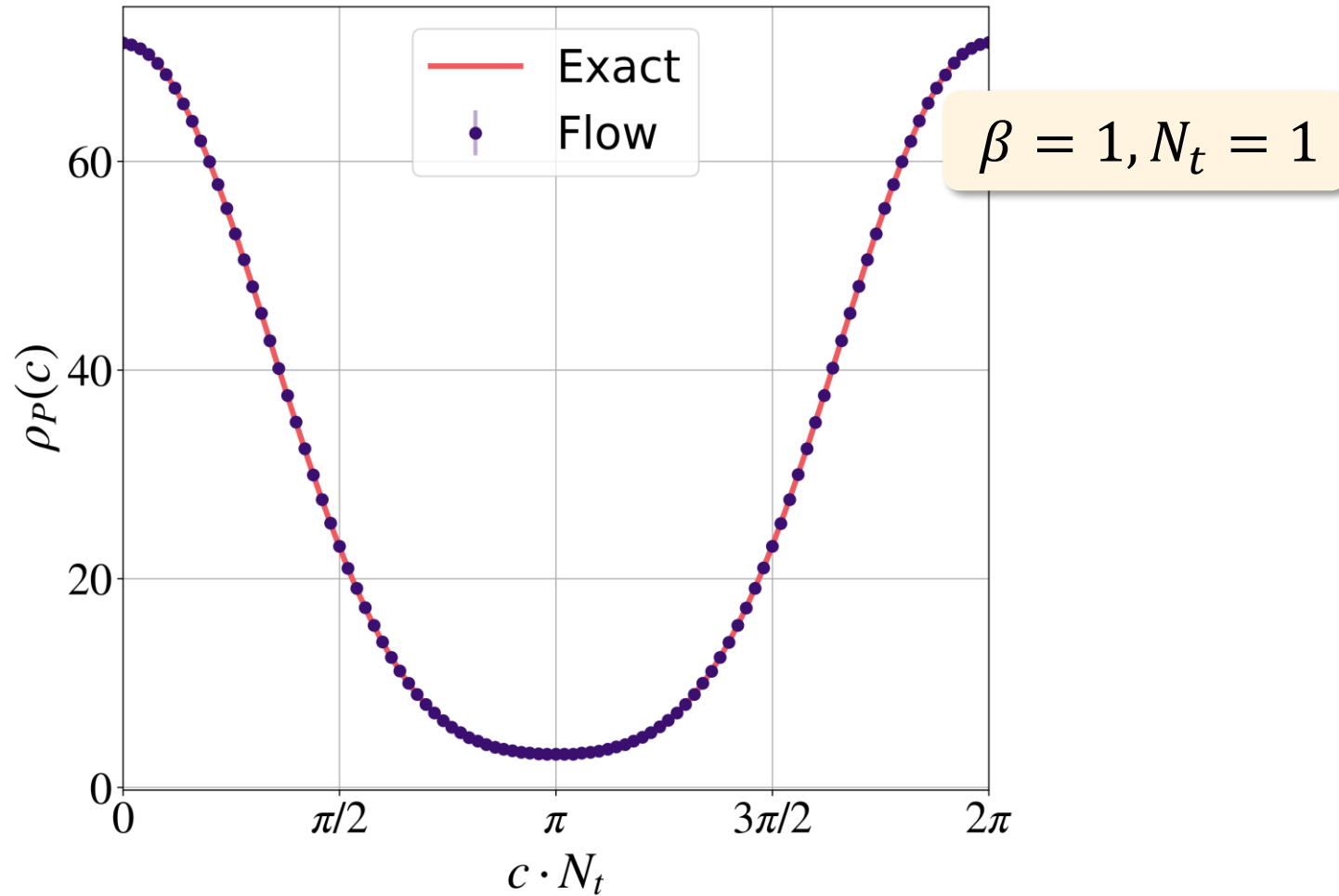
$$S_{c,P}(\phi) = S_r(\phi) + \frac{P}{2} (c - \Phi)^2 + \log \mathcal{N}$$

DoS results from flow

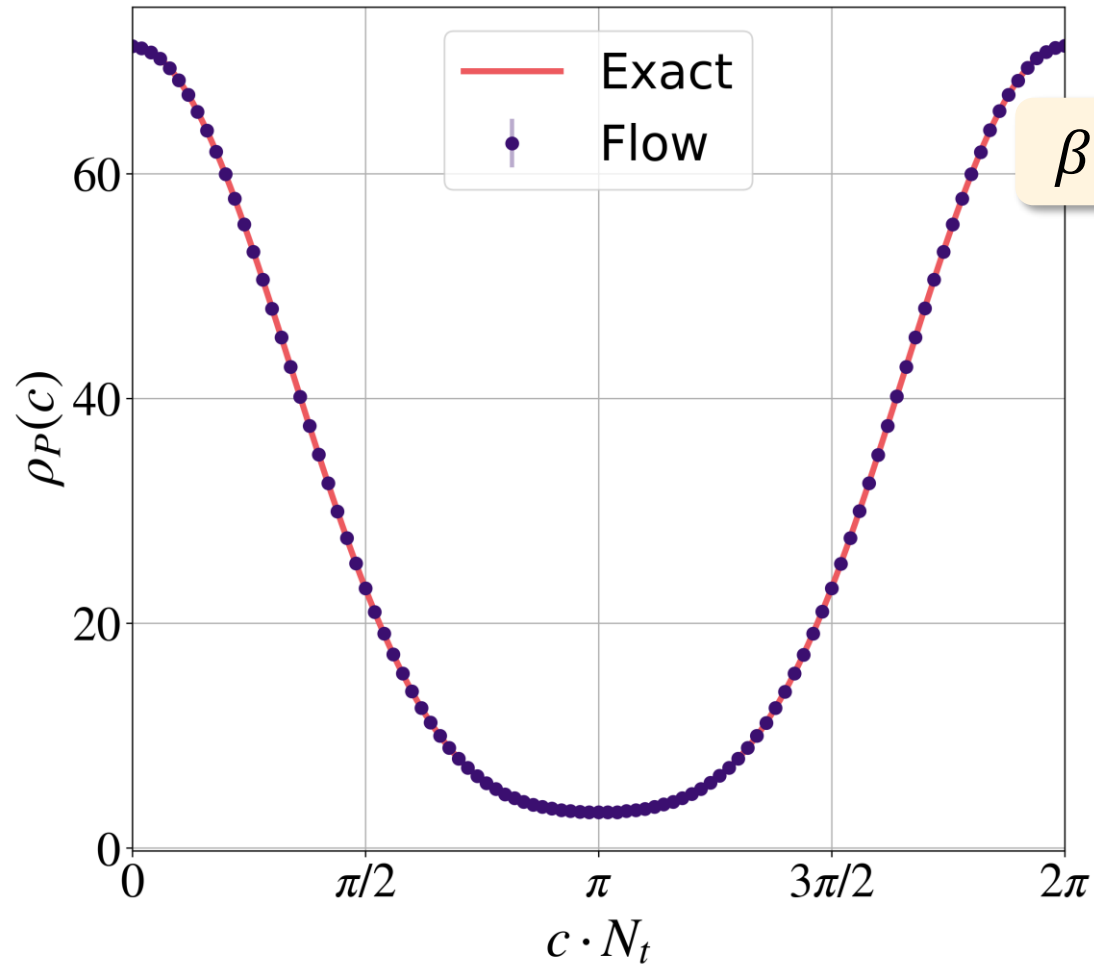
What we keep fixed:

- $N_x = 2 \rightarrow$ proof-of-principle demonstration
- $U = 1$
- $P = 1000$
- Use diagonal disc. for training

DoS results from flow



DoS results from flow

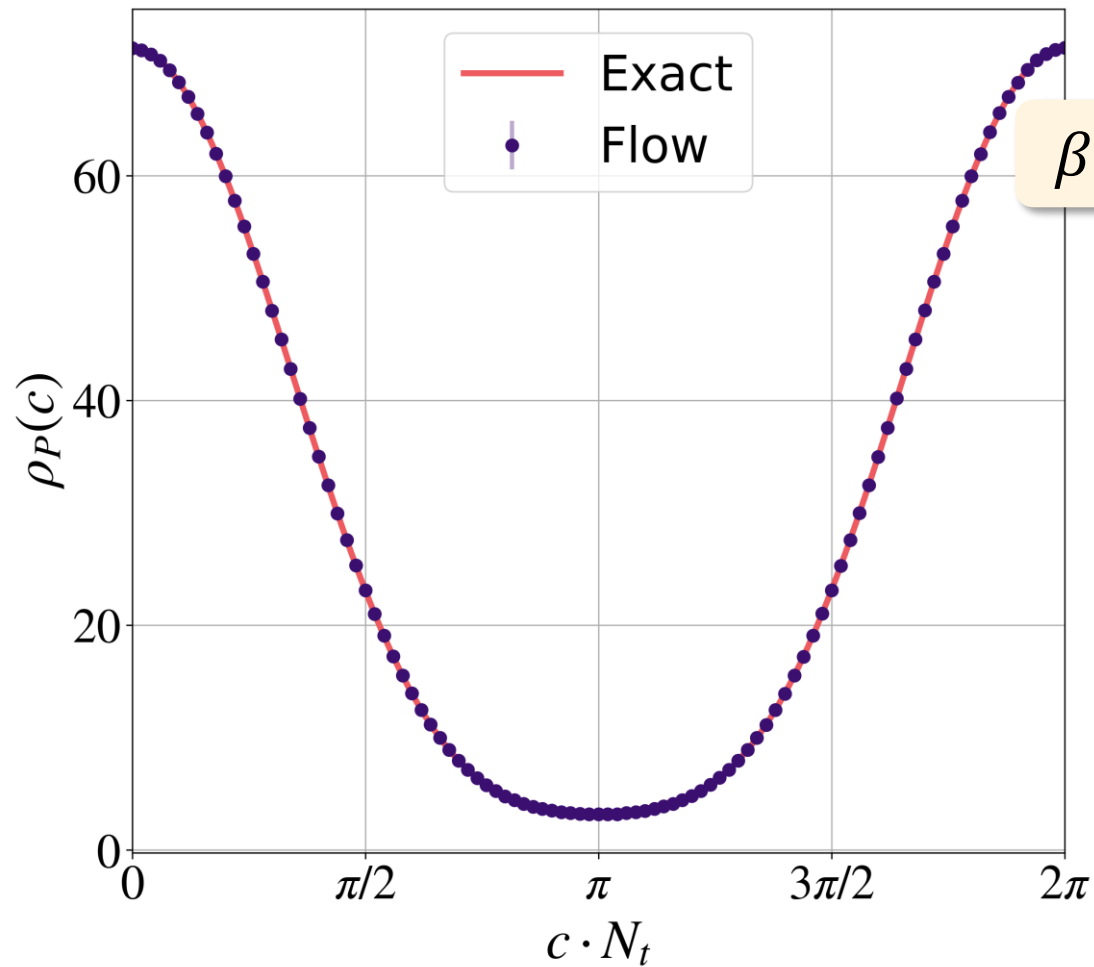


$$\beta = 1, N_t = 1$$

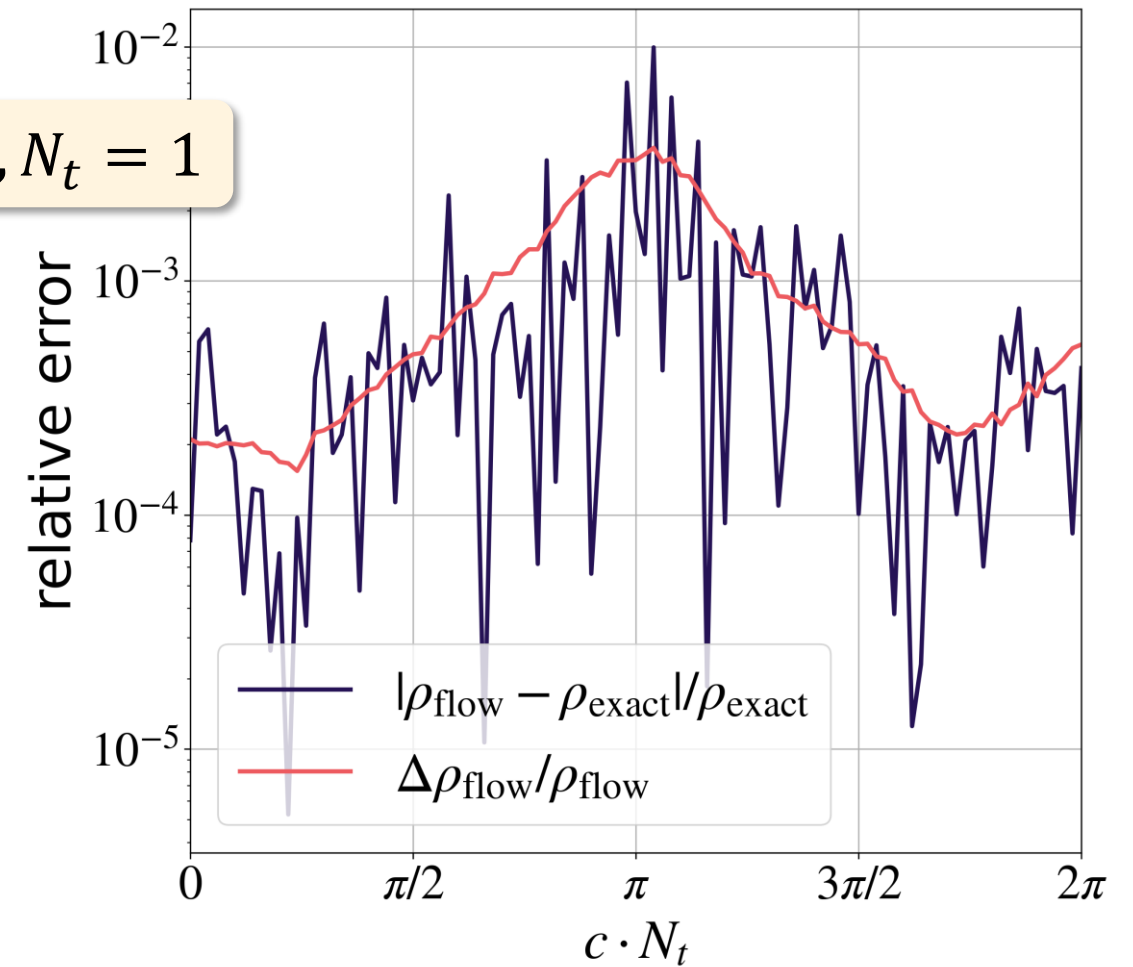
$$\rho_{P,exact}(c) = \int \mathcal{D}\phi e^{-S_{c,P}(\phi)}$$

$$\rho_{P,flow}(c) = \langle e^{-S_{c,P} - \log q_P} \rangle_{\phi \sim q_P}$$

DoS results from flow



$$\beta = 1, N_t = 1$$



Partition function

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma\delta\mu$$

Partition function

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma \delta \mu$$

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$

Partition function

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma \delta \mu$$

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$


Partition function

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma \delta \mu$$

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$

Partition function

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma\delta\mu$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$

But: $Z_{true} \neq Z_P!$

↳ We have a Gaussian,
not a δ -function

Partition function

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2}$$

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma\delta\mu$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$

But: $Z_{true} \neq Z_P!$

↳ We have a Gaussian,
not a δ -function

Partition function

$$\gamma = \frac{V}{\tilde{U}} \text{ and } \omega = \gamma \delta \mu$$

$$Z_{true} = e^{\frac{\omega^2}{2\gamma}} \int D\phi e^{-S_r(\phi) - i\omega\Phi - \frac{\gamma}{2}\Phi^2} = \sqrt{\alpha} e^{\frac{\alpha\omega^2}{2\gamma}} \int dc \rho_P e^{-\alpha(i\omega c + \frac{\gamma}{2}c^2)}$$

$$Z_P = e^{\frac{\omega^2}{2\gamma}} \int dc \rho_P(c) e^{-i\omega c - \frac{\gamma}{2}c^2}$$

$$\text{Correction factor: } \alpha = \frac{P}{P-\gamma}$$

Semi-analytic approach

Semi-analytic approach for DoS: [K. Langfeld (2016)]

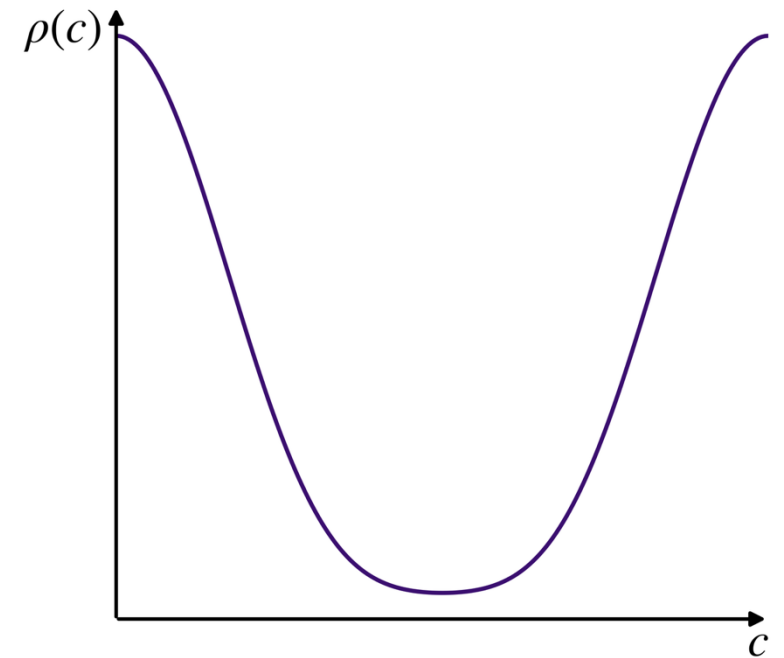
Fourier decomposition of the DoS:

$$\rho_P(c) = \sum_{k=0}^{N_x} a_k \cos(k N_t c)$$

Semi-analytic approach

Fourier decomposition of the DoS:

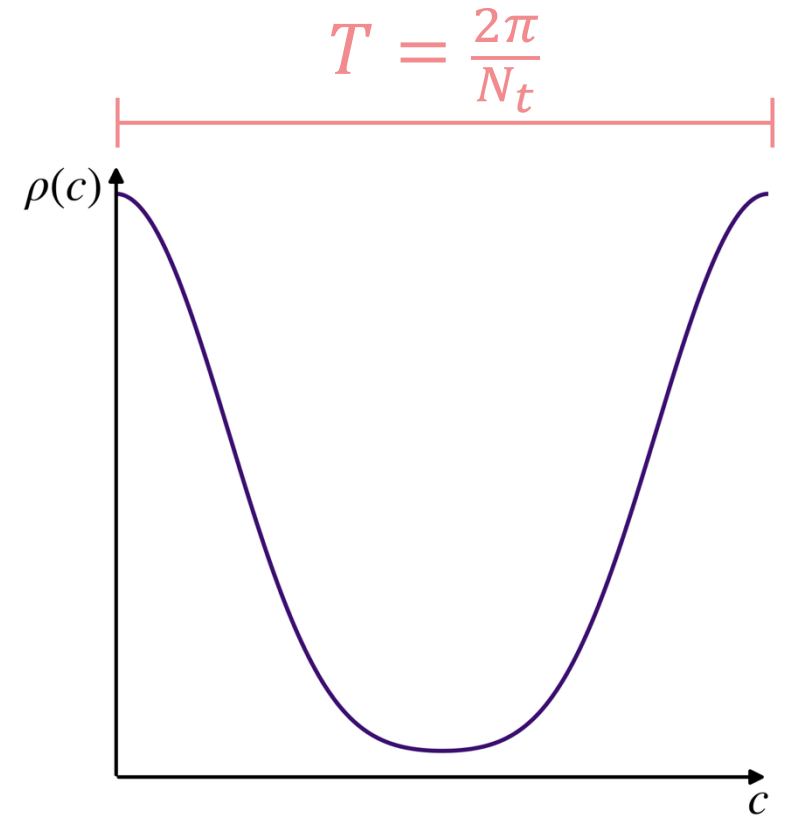
$$\rho_P(c) = \sum_{k=0}^{N_x} a_k \cos(k N_t c)$$



Semi-analytic approach

Fourier decomposition of the DoS:

$$\rho_P(c) = \sum_{k=0}^{N_x} a_k \cos(k N_t c)$$

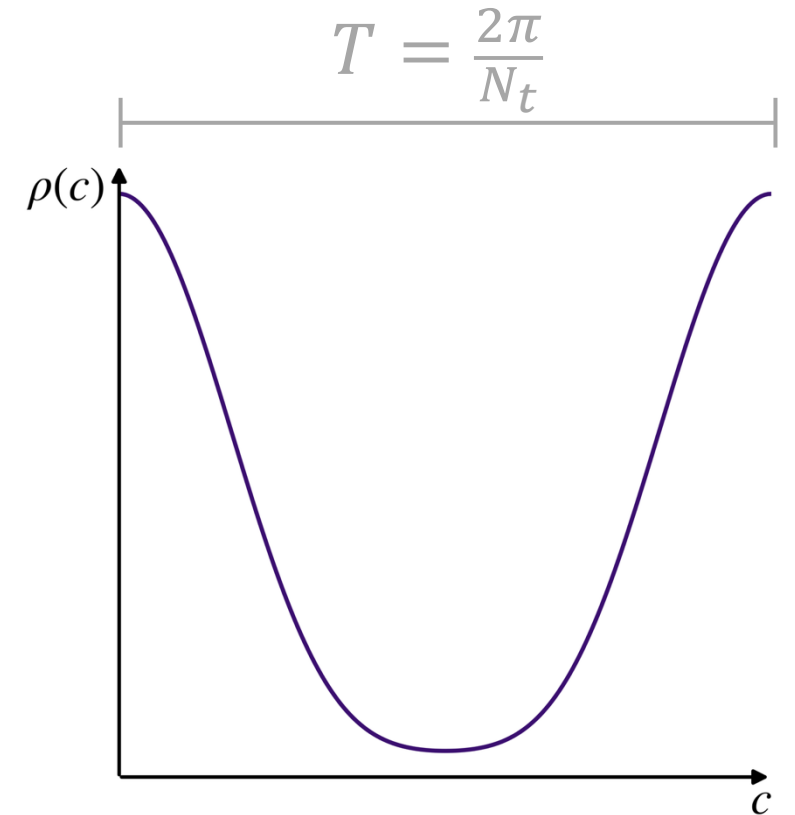


Semi-analytic approach

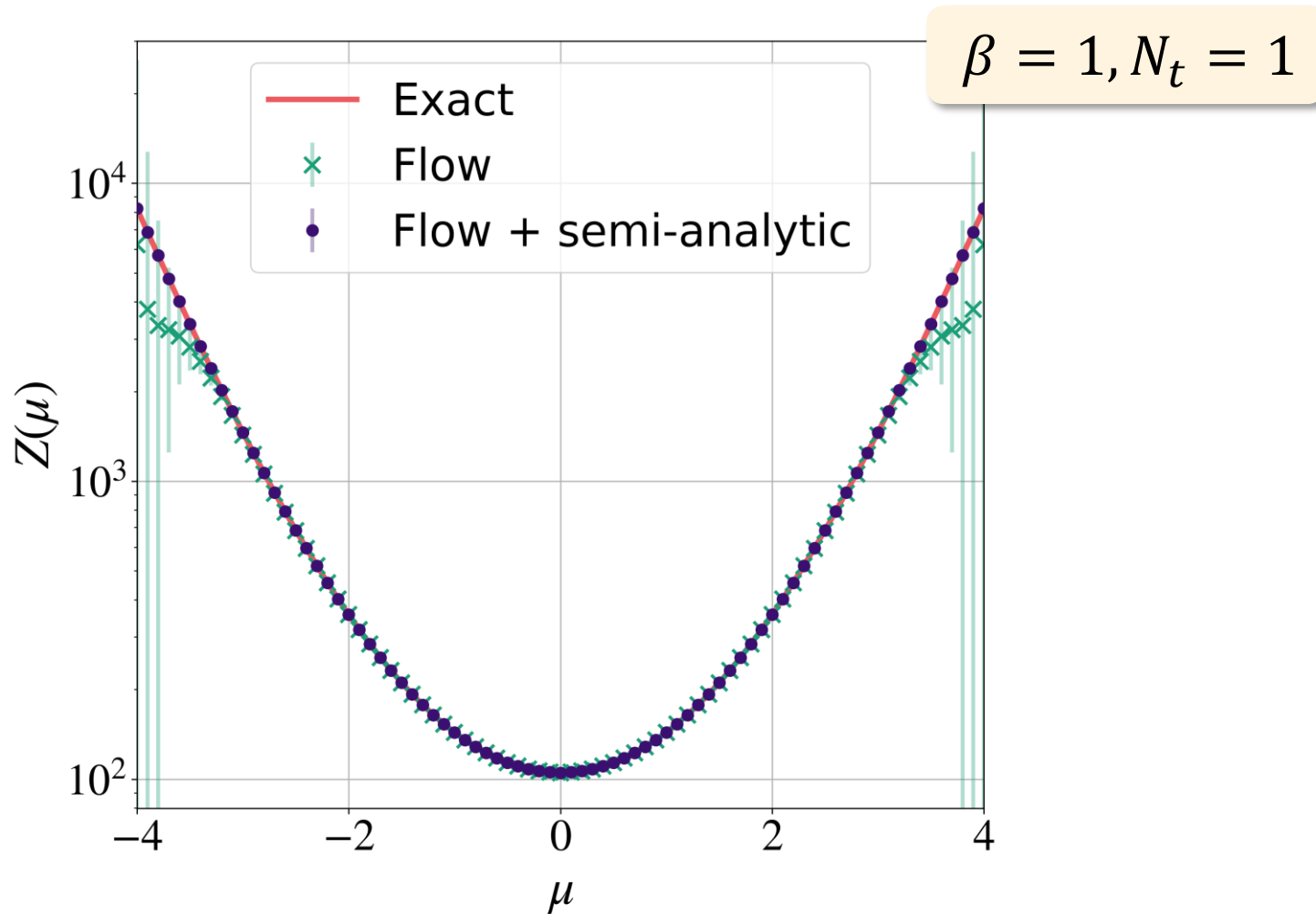
Fourier decomposition of the DoS:

$$\rho_P(c) = \sum_{k=0}^{N_x} a_k \cos(k N_t c)$$

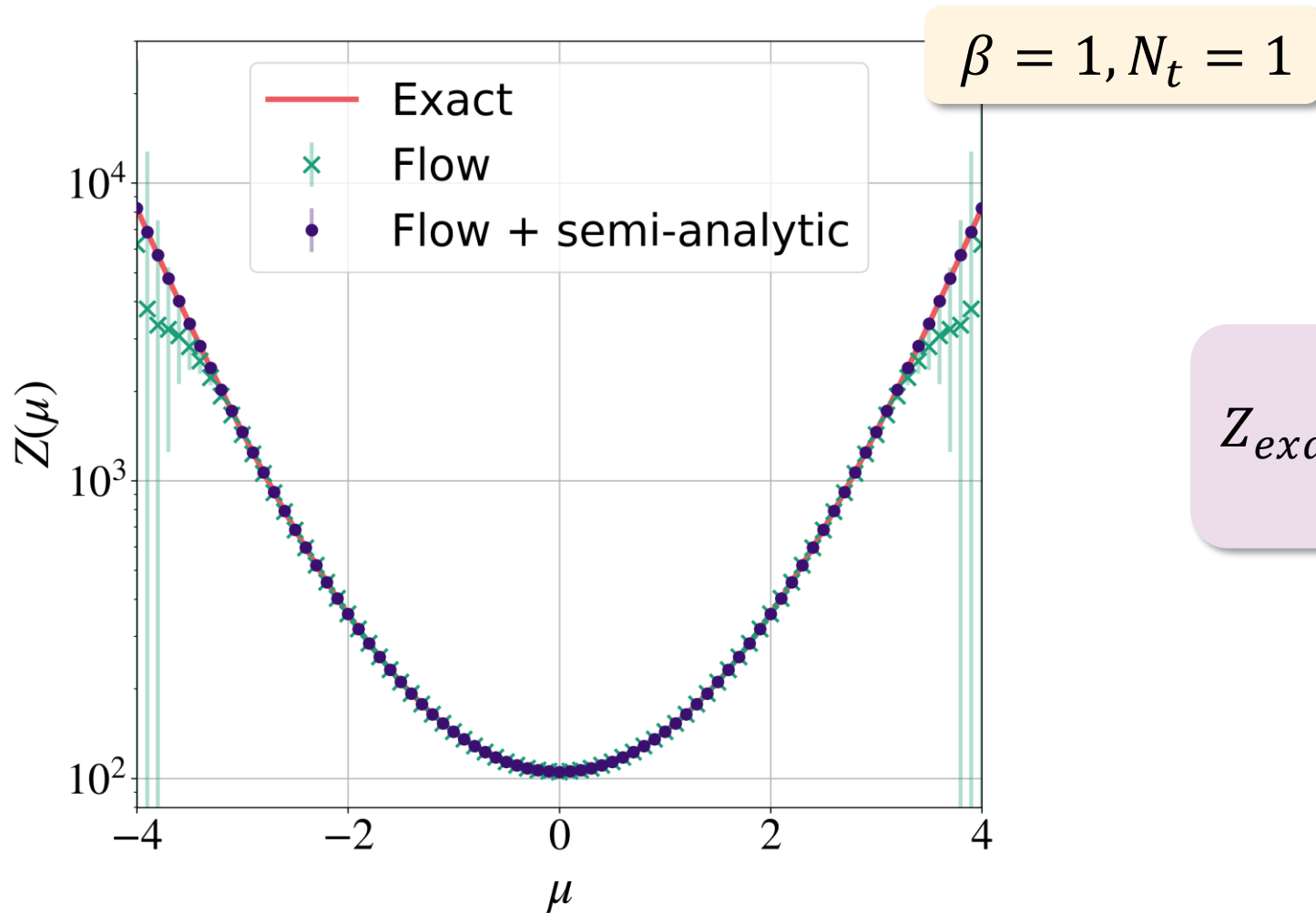
$$Z_{true} = \sqrt{\frac{2\pi}{\gamma}} \sum_{k=0}^{N_x} a_k e^{\frac{-k^2 N_t^2}{2\alpha\gamma}} \cosh(\mu\beta k)$$



Partition function results



Partition function results

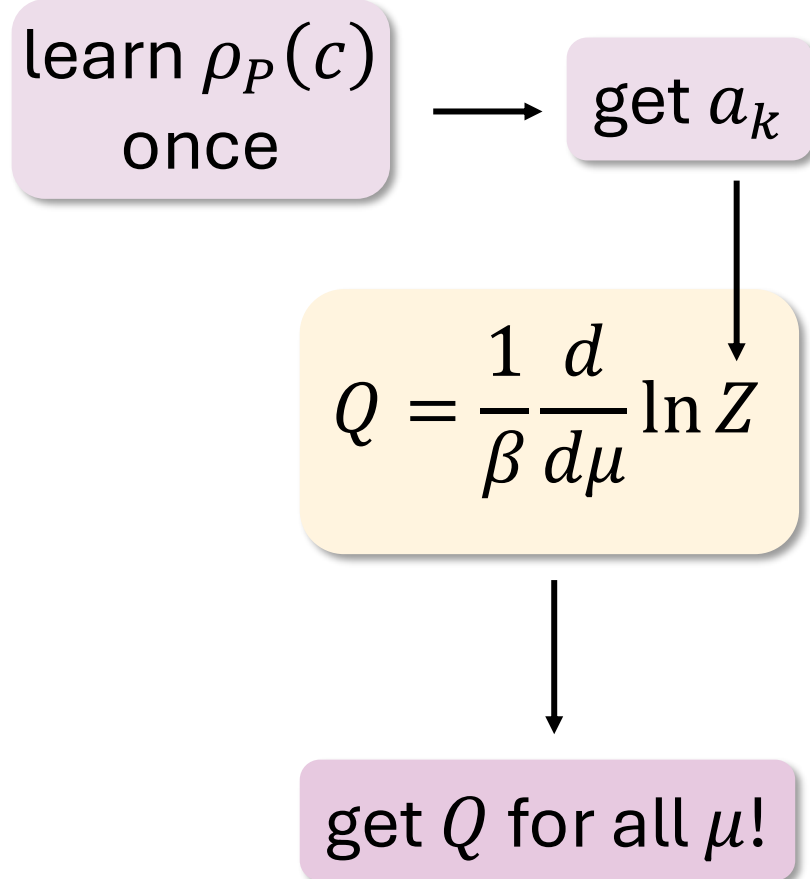


$$Z_{exact} = \int \mathcal{D}\phi e^{-S(\phi)}$$

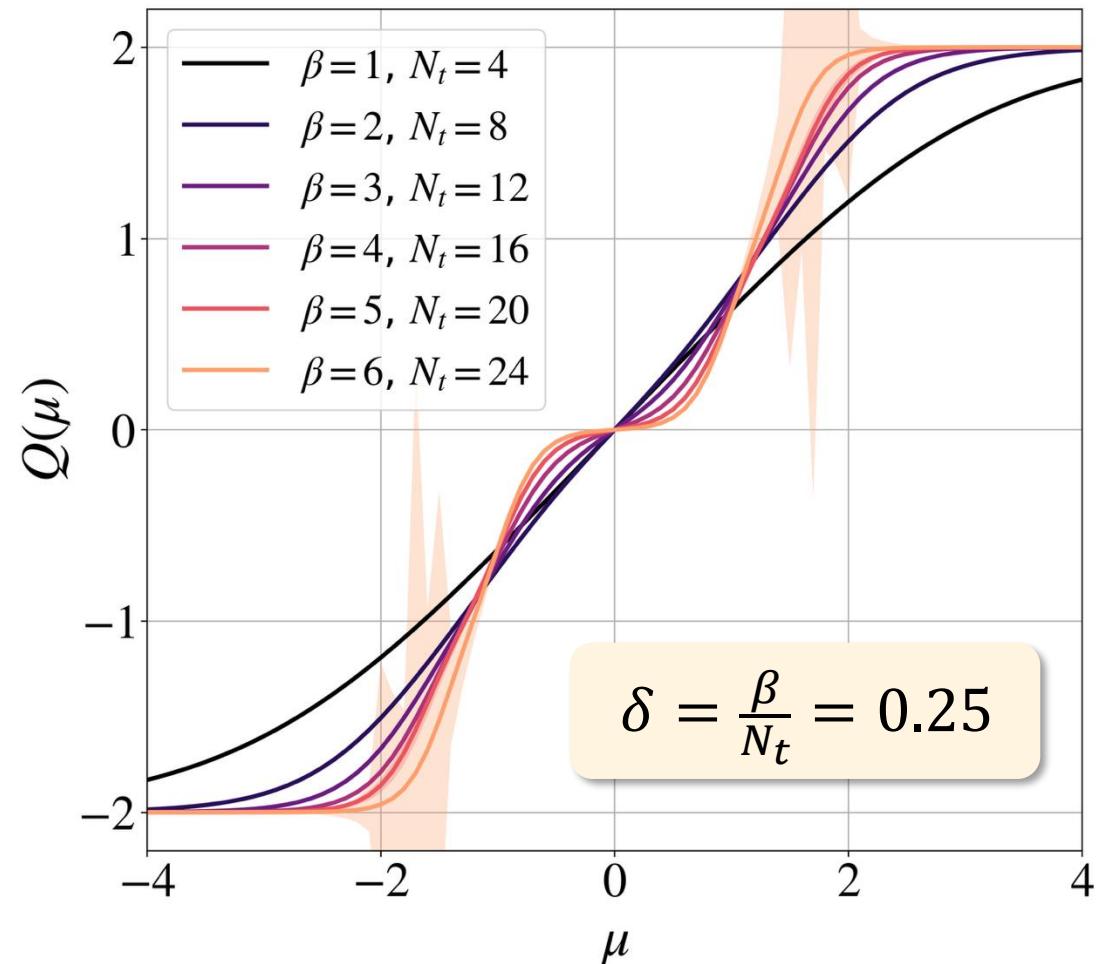
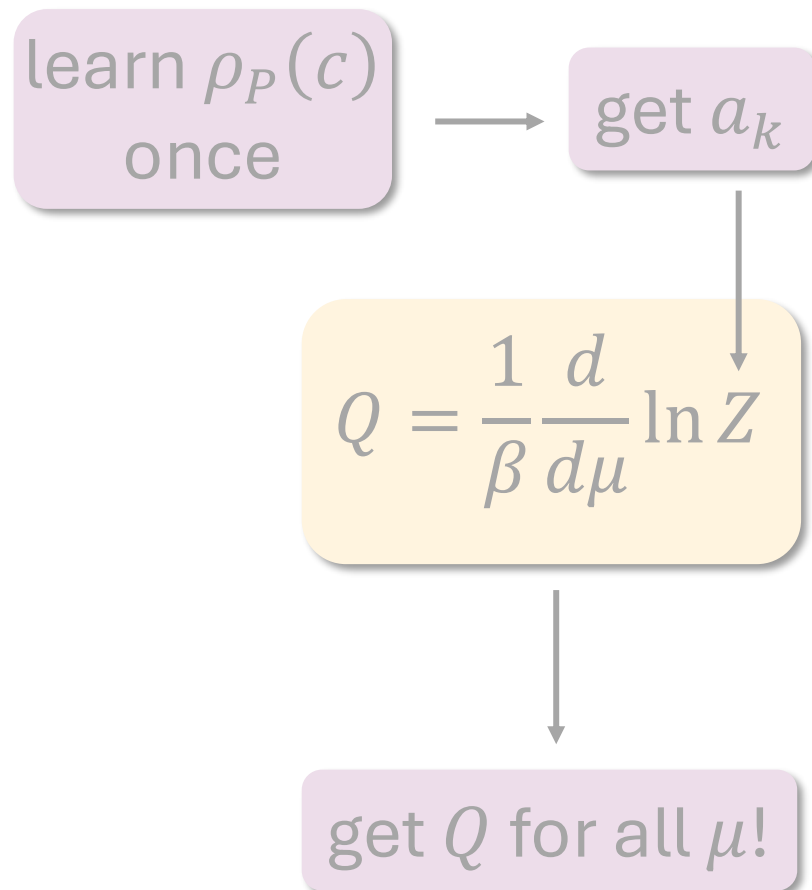
Charge density

$$Q = \frac{1}{\beta} \frac{d}{d\mu} \ln Z$$

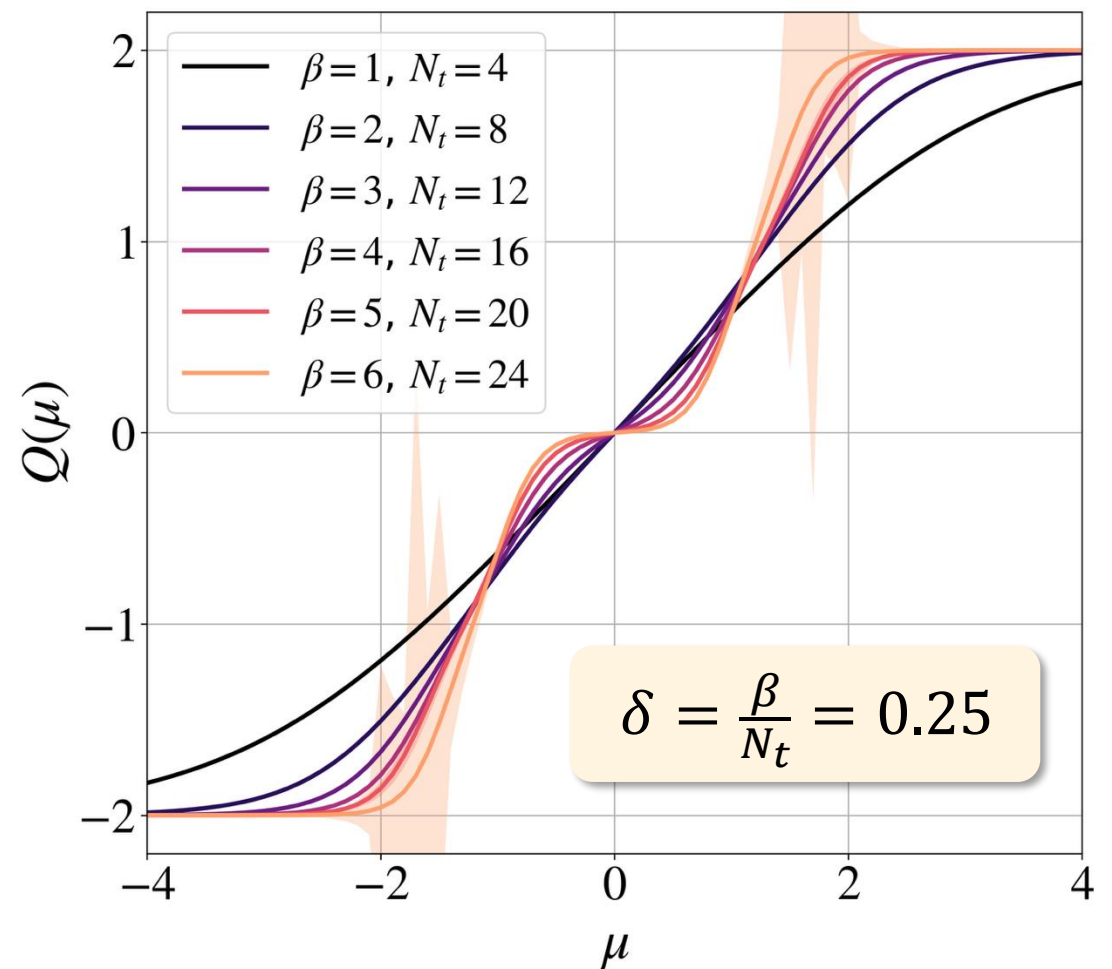
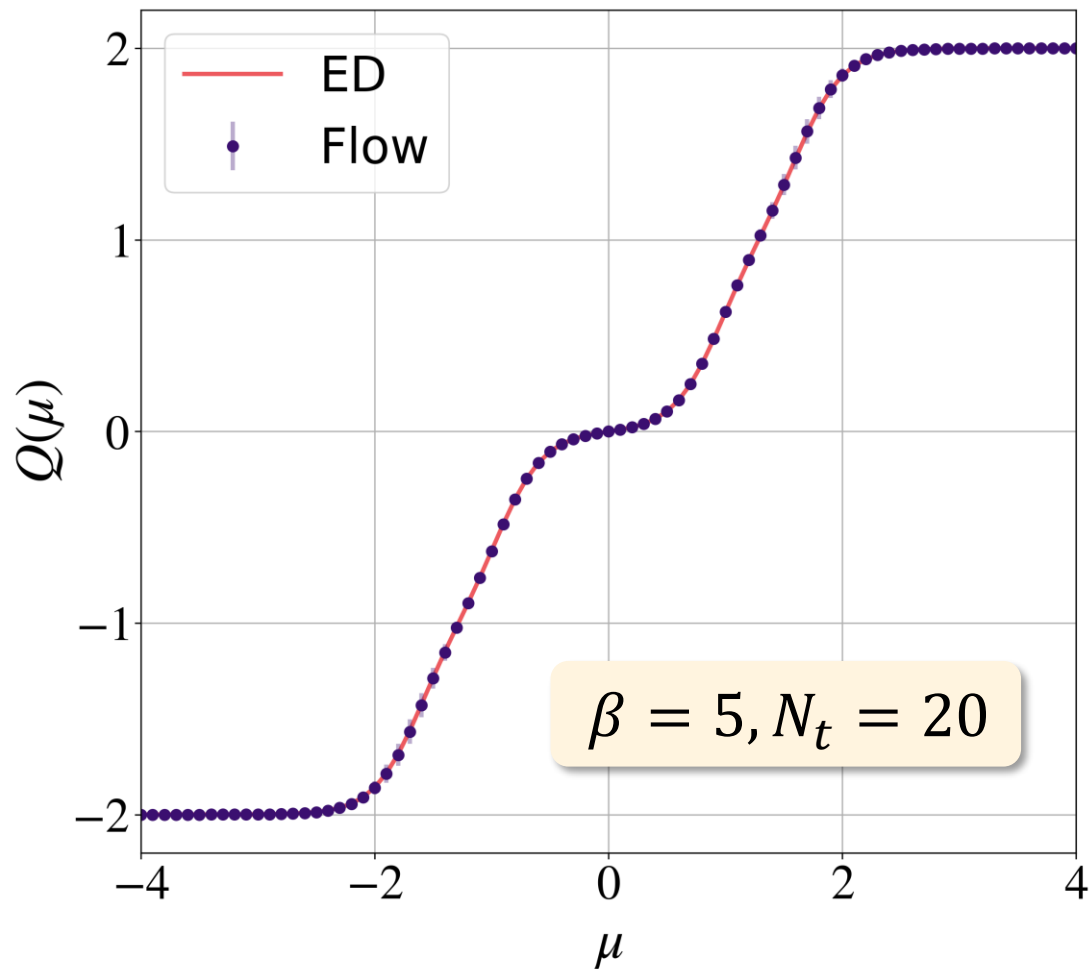
Charge density



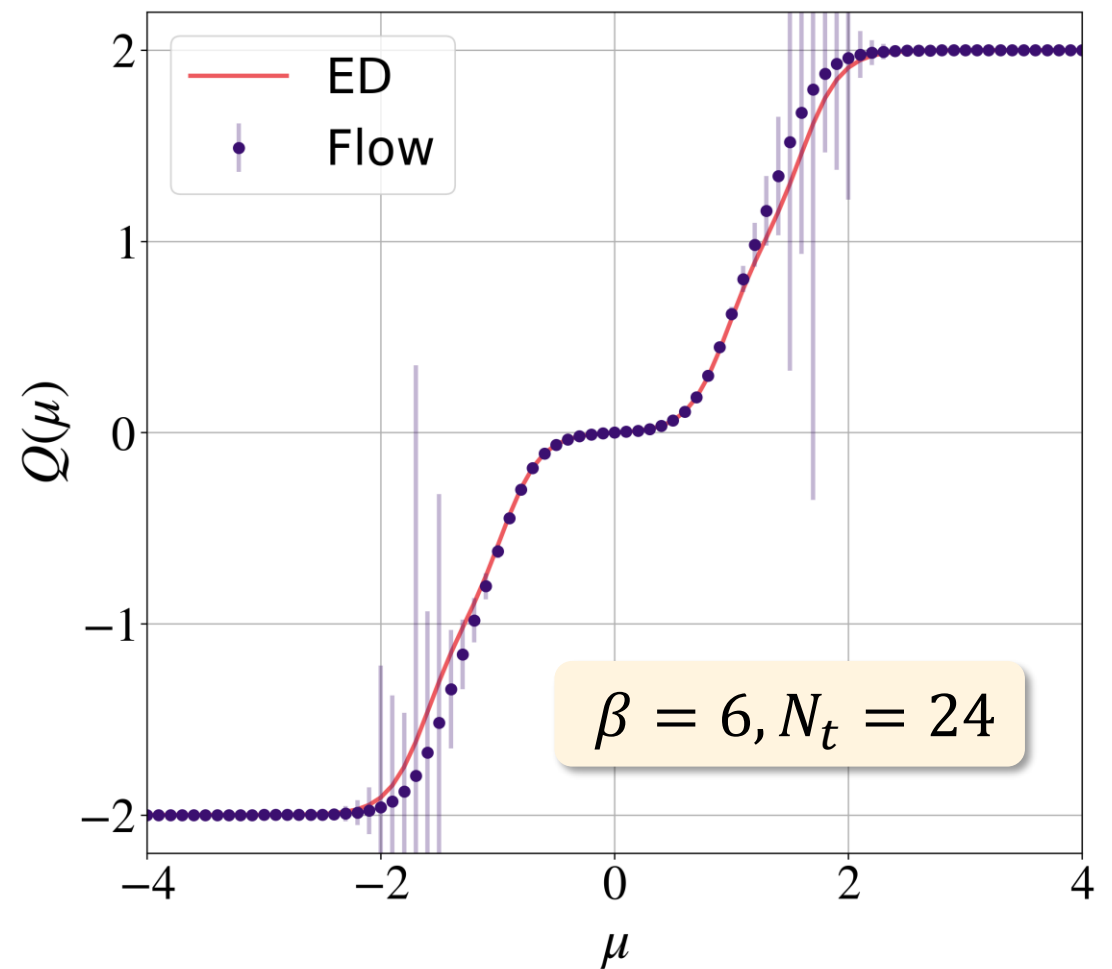
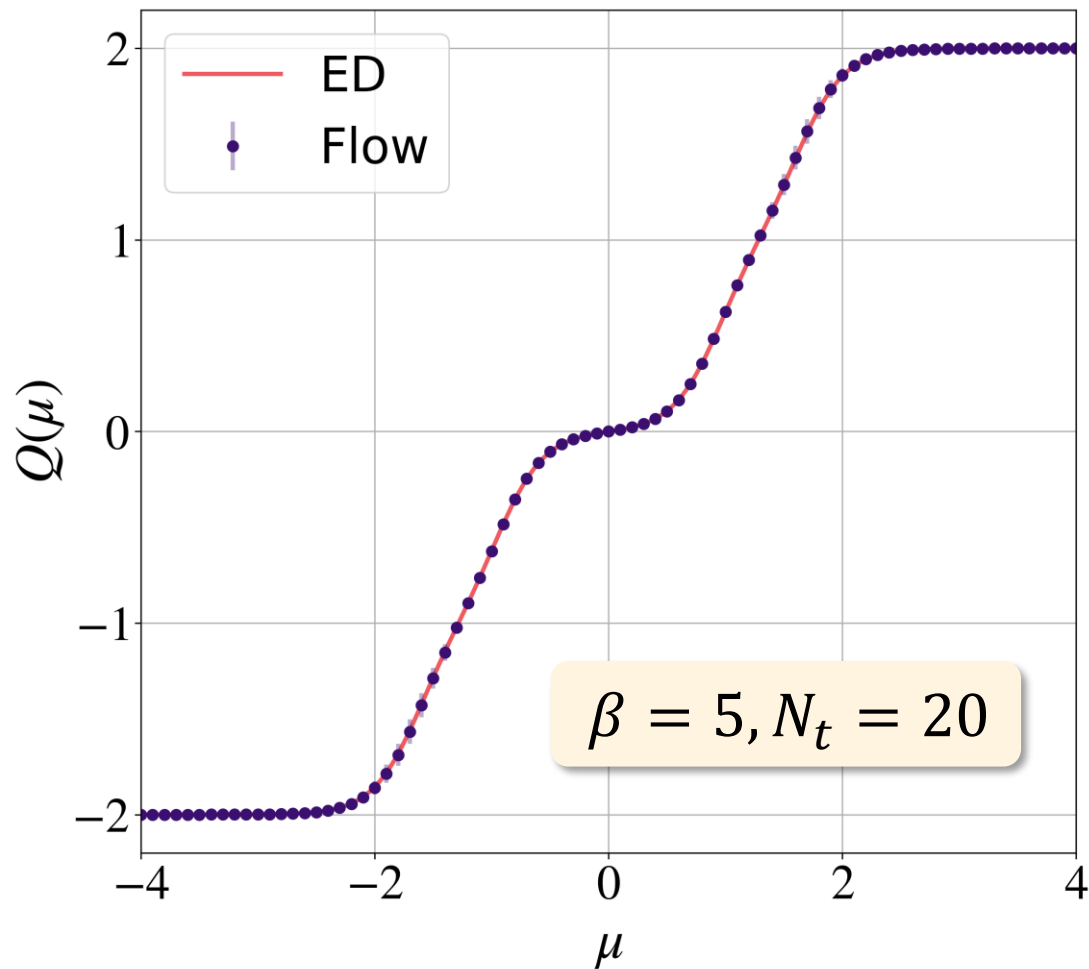
Charge density



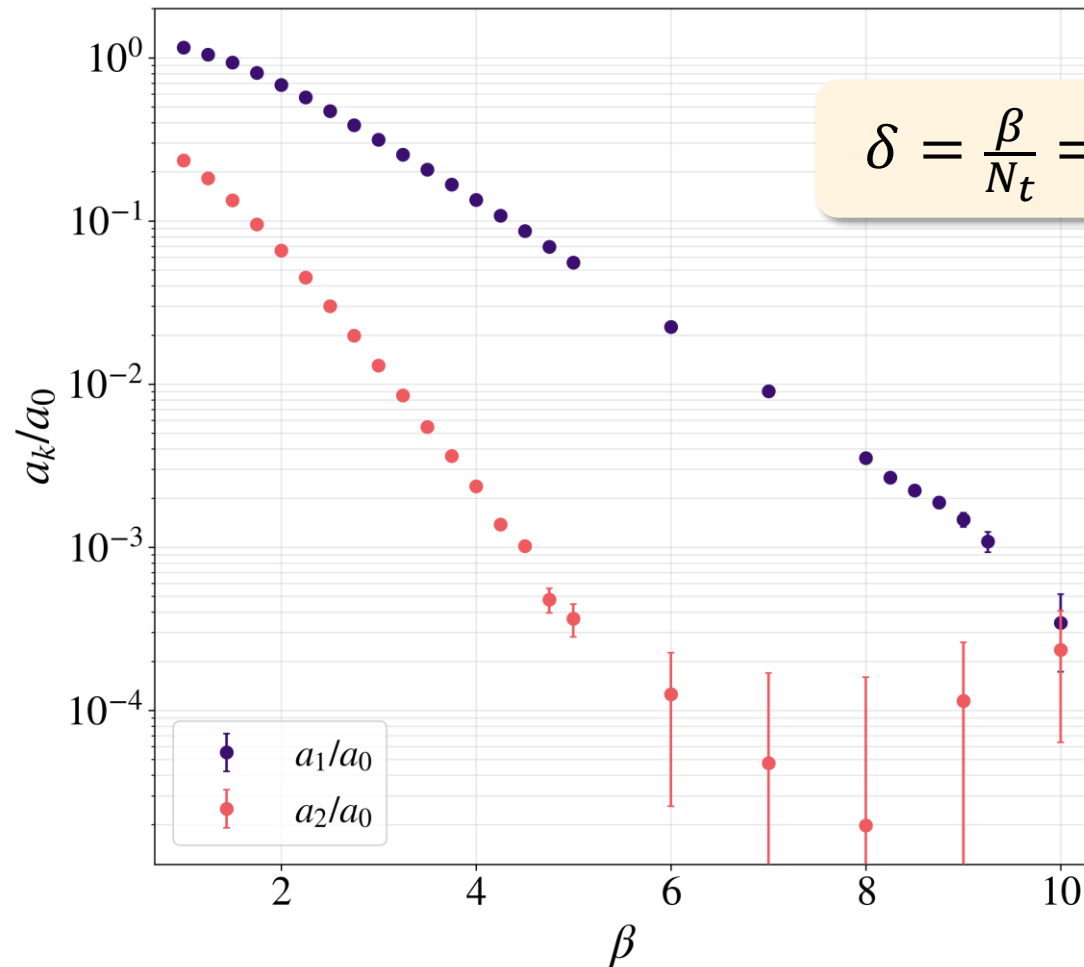
Charge density



Charge density

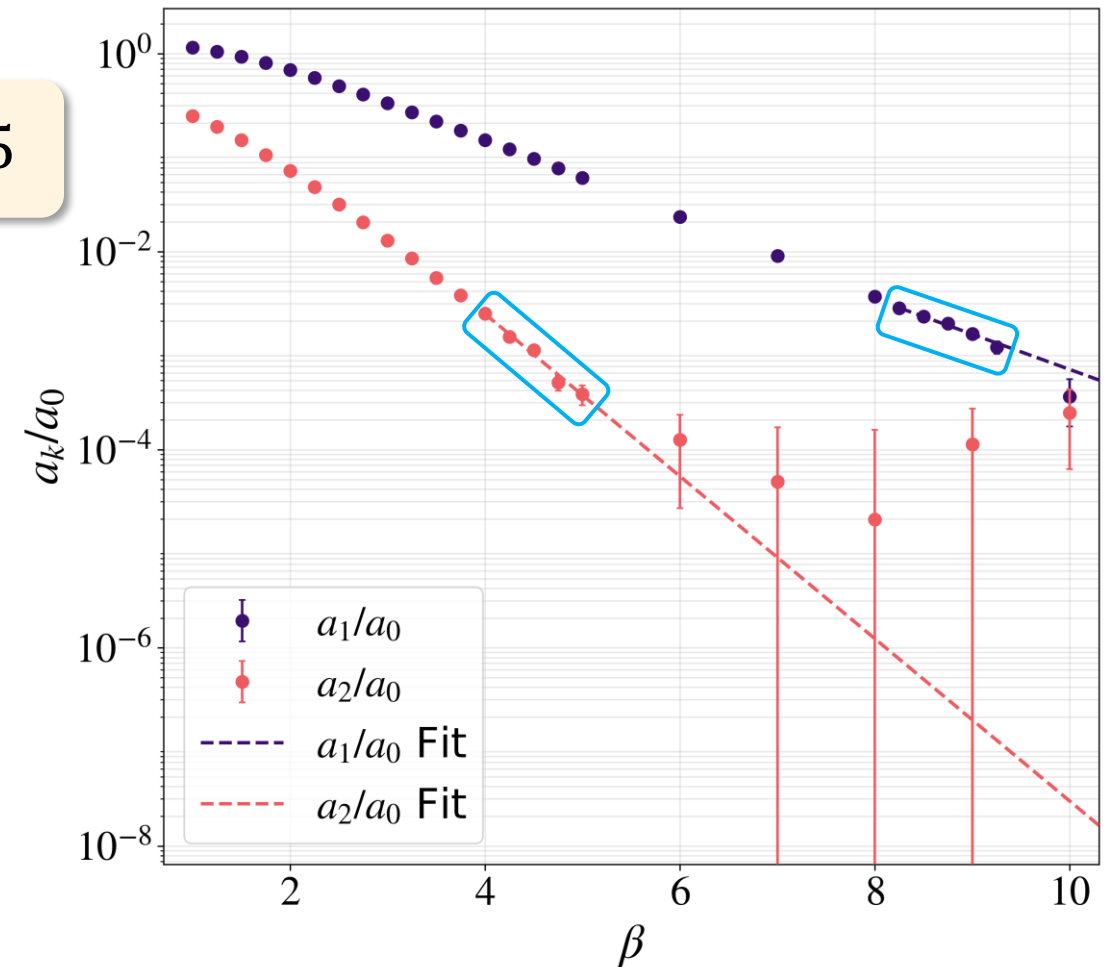
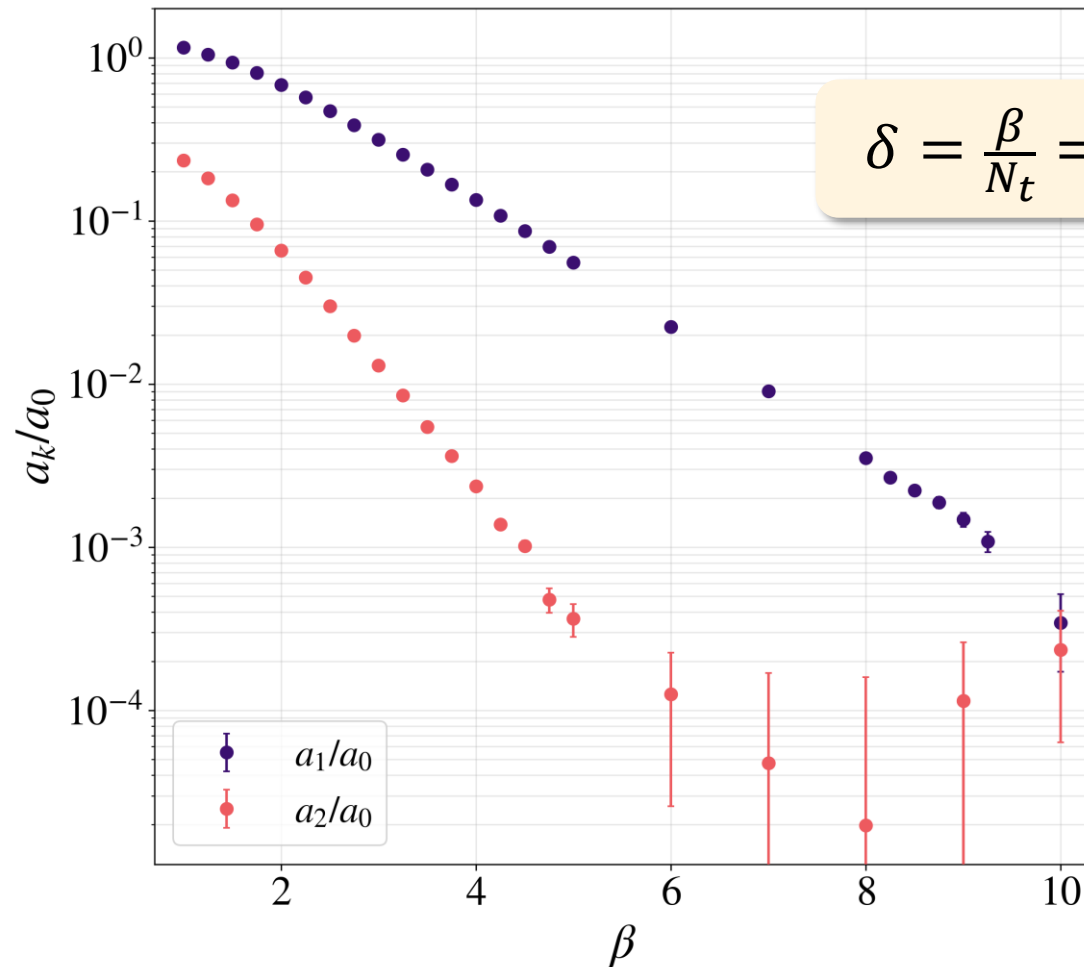


Extrapolation of the a_k

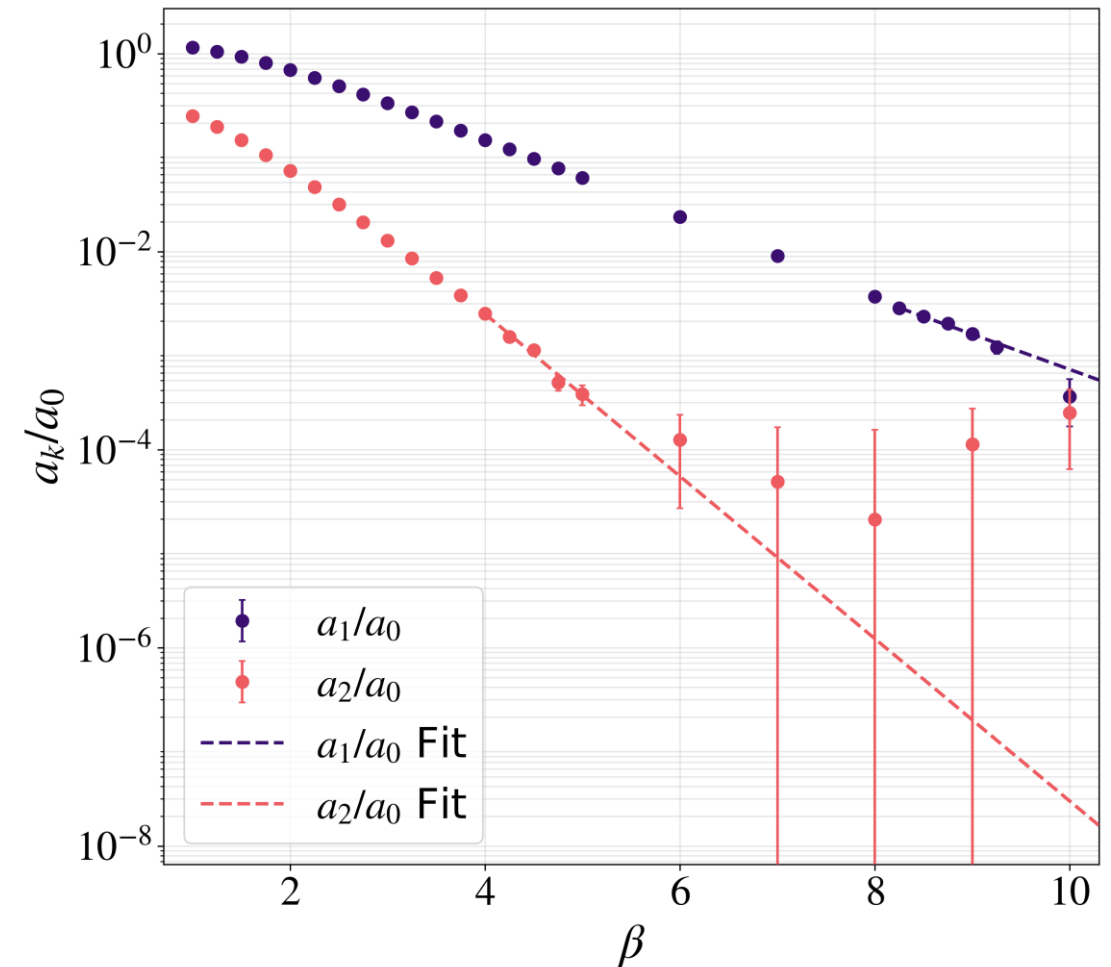
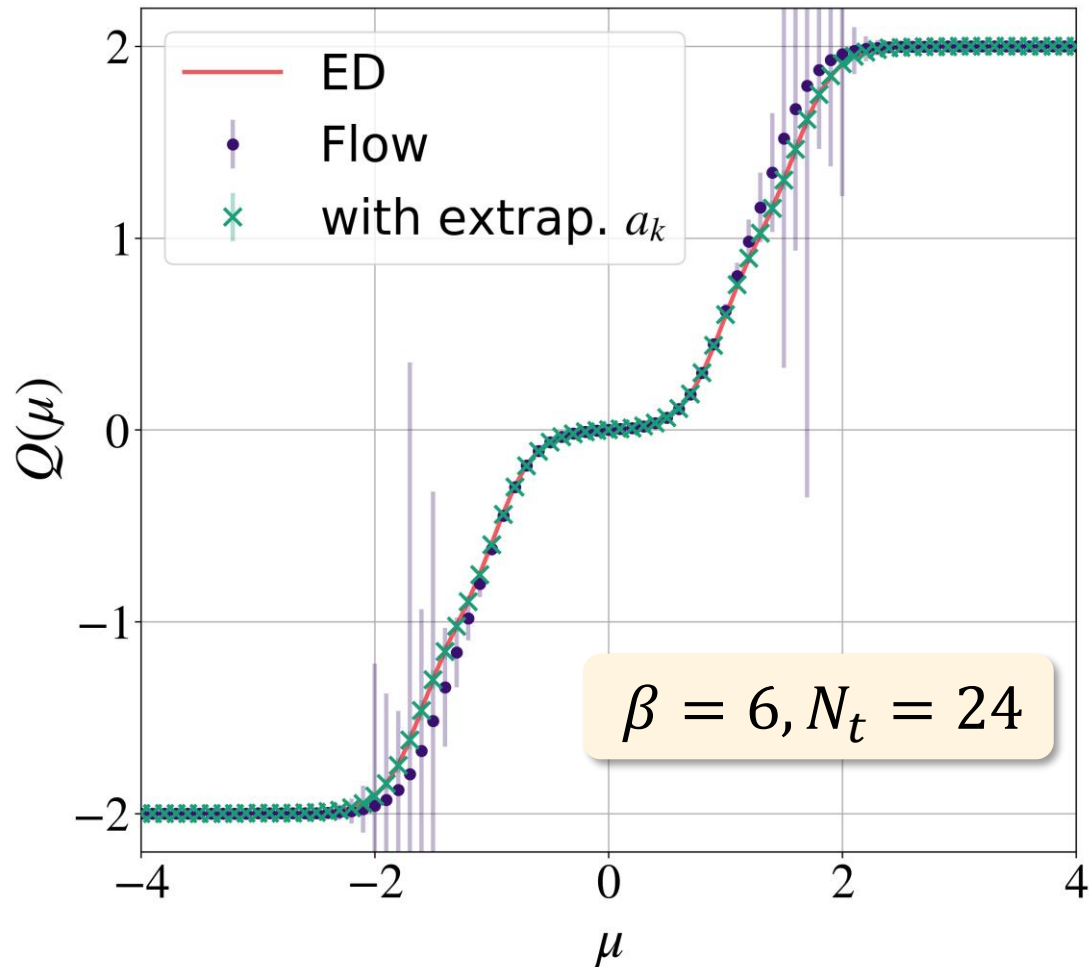


get a_k from noisy $\rho_P(c)$

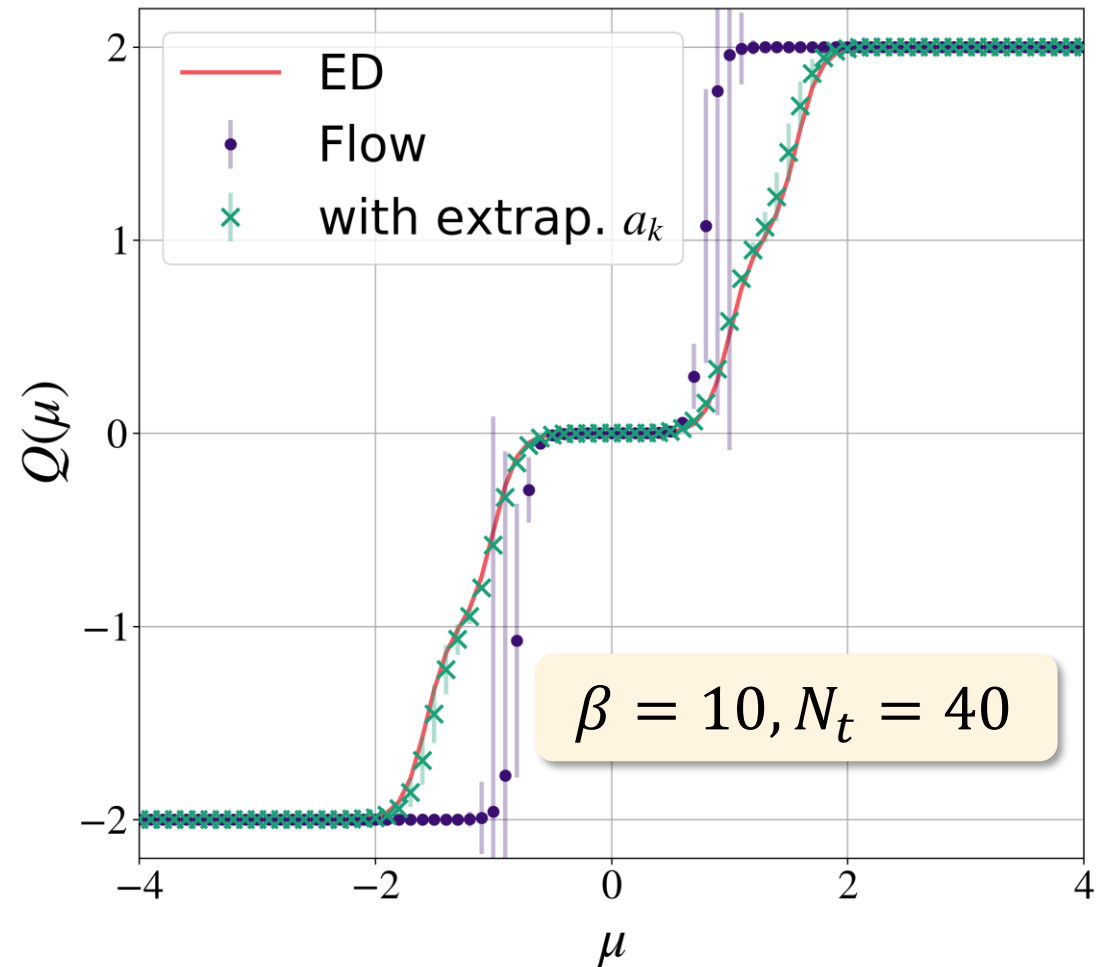
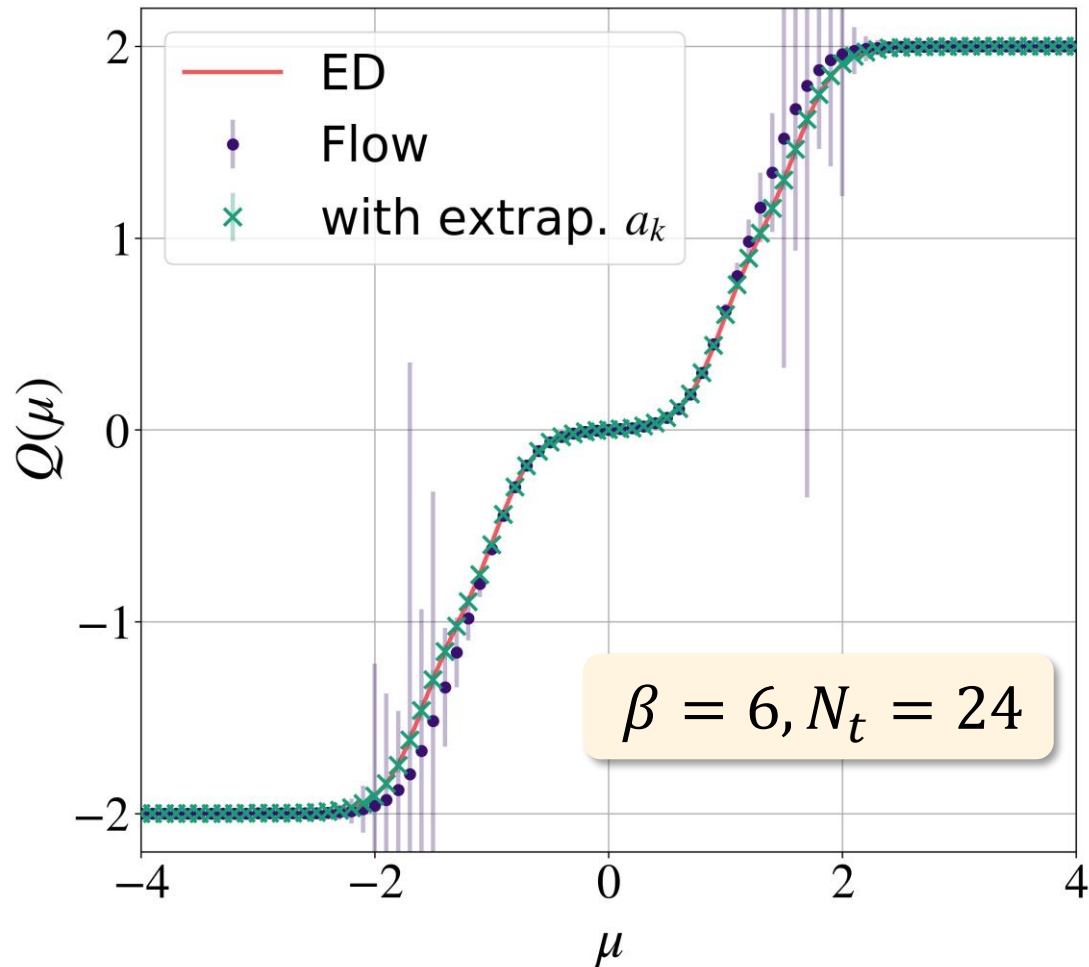
Extrapolation of the a_k



Extrapolation of the a_k



Extrapolation of the a_k



Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model

Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model
- Got the DoS from a conditional normalizing flow

Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model
- Got the DoS from a conditional normalizing flow
- Use of semi-analytic approach



Match ED until
 $\beta = 5, N_t = 20$

Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model
- Got the DoS from a conditional normalizing flow
- Use of semi-analytic approach



Match ED until
 $\beta = 5, N_t = 20$

New theoretical insights

- Introduction of the correction factor α

Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model
- Got the DoS from a conditional normalizing flow
- Use of semi-analytic approach



Match ED until
 $\beta = 5, N_t = 20$

New theoretical insights

- Introduction of the correction factor α
- Analytical prediction for behaviour of a_k at large β

Summary

Numerical results

- Applied the generalised DoS method to the Hubbard model
- Got the DoS from a conditional normalizing flow
- Use of semi-analytic approach



Match ED until
 $\beta = 5, N_t = 20$

New theoretical insights

- Introduction of the correction factor α
- Analytical prediction for behaviour of a_k at large β
- Improve results by extrapolating a_k



Match ED until
 $\beta = 10, N_t = 40$

Outlook

- Improve NN, e.g. incorporate symmetries
- Go to higher N_x

Outlook

- Improve NN, e.g. incorporate symmetries
- Go to higher N_x



Simran Singh



Lena Funcke



Janik Kreit



Dominic Schuh

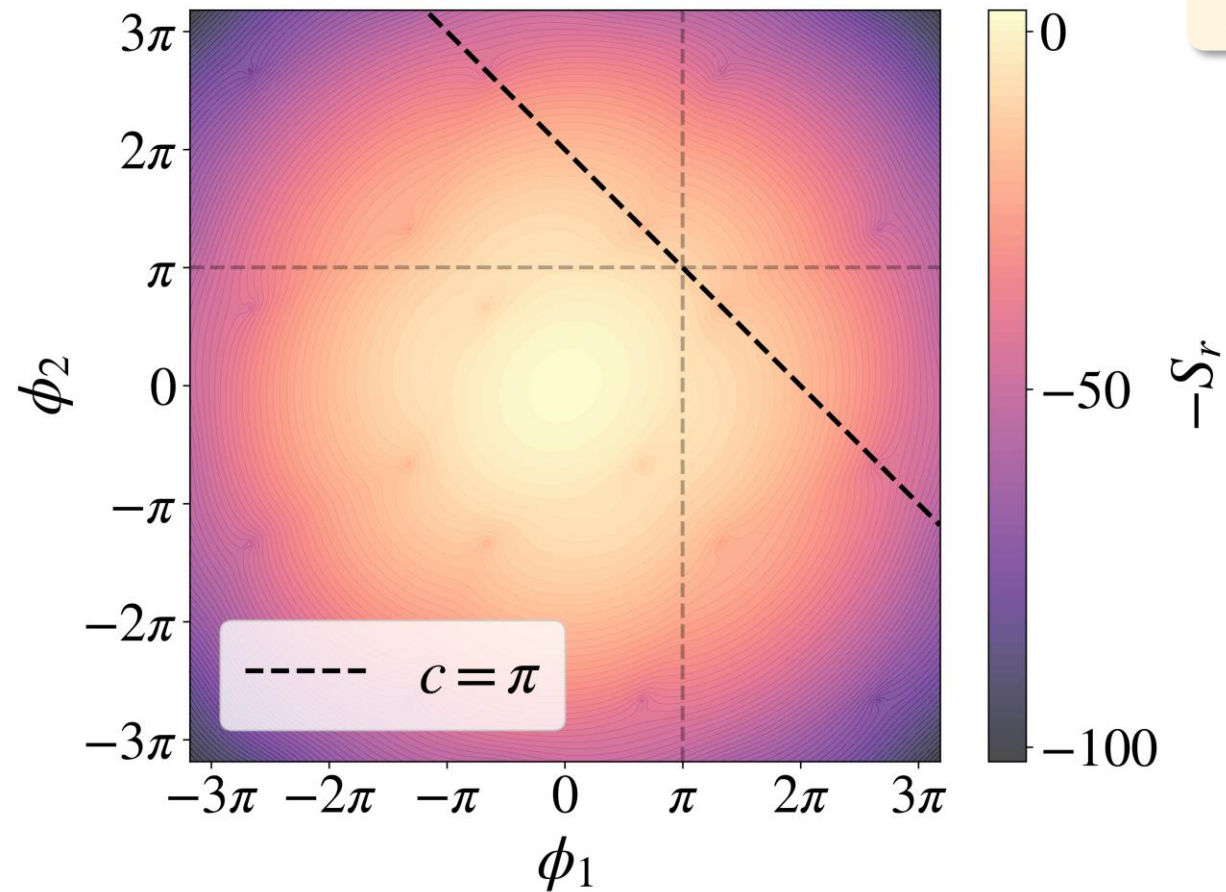


Christian
Schmidt-Sonntag

Back-Up Slides

The Hubbard Model

Diagonal discretisation

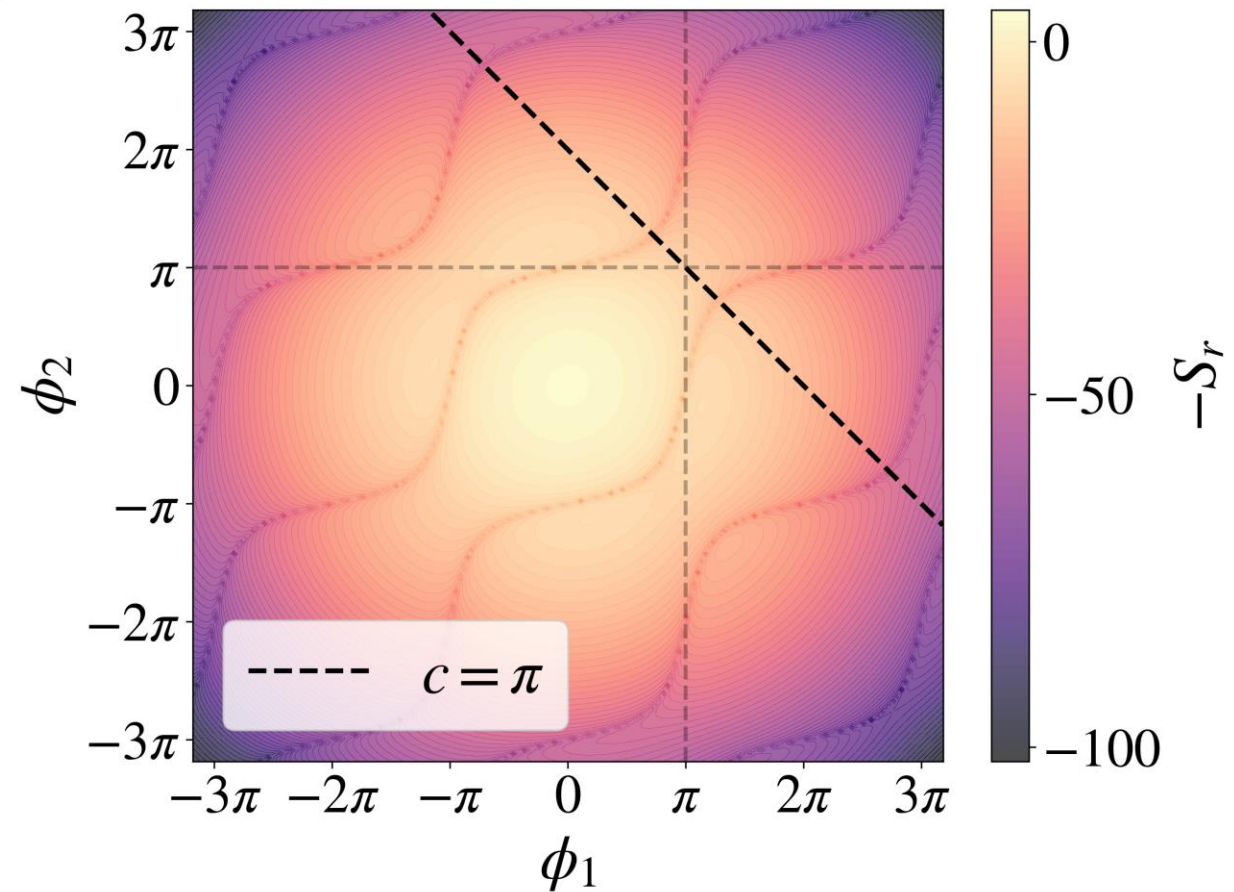
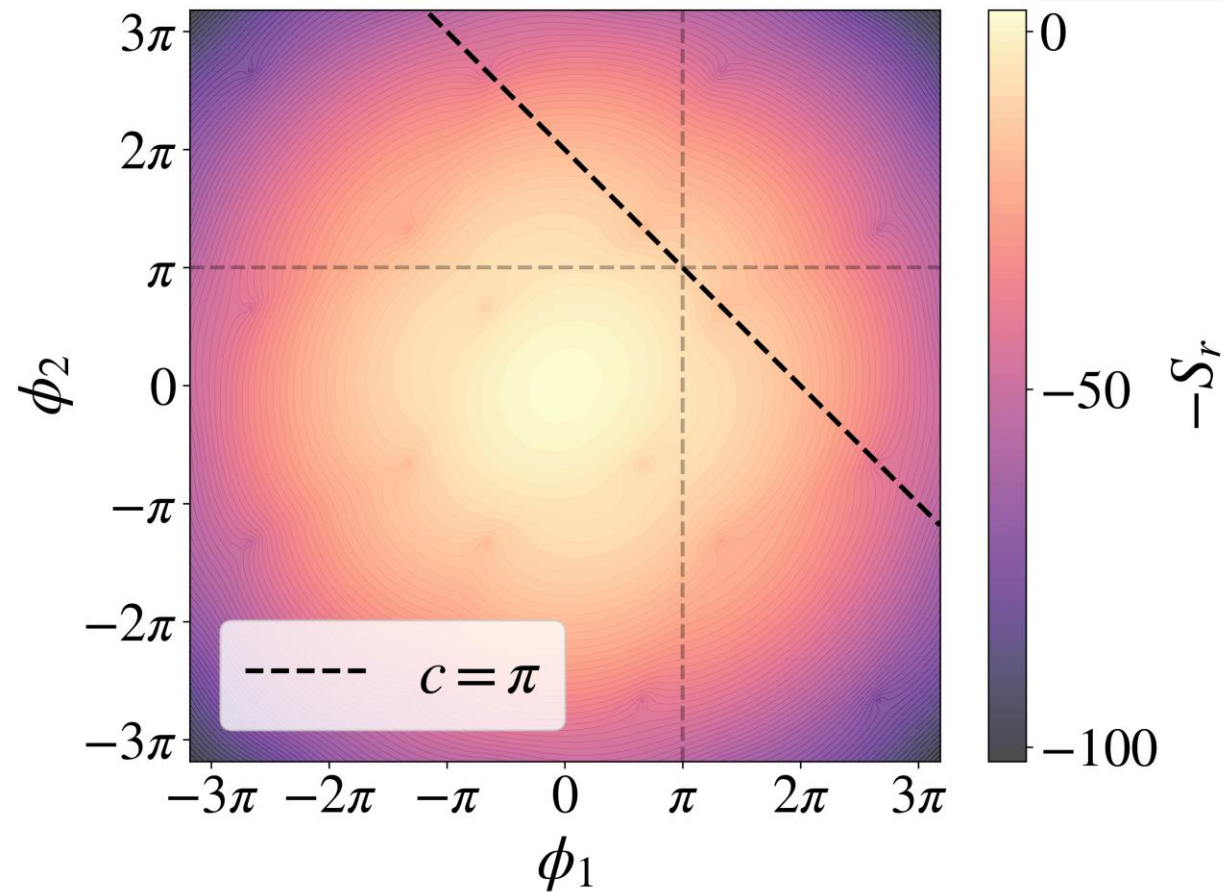


The Hubbard Model

Diagonal discretisation

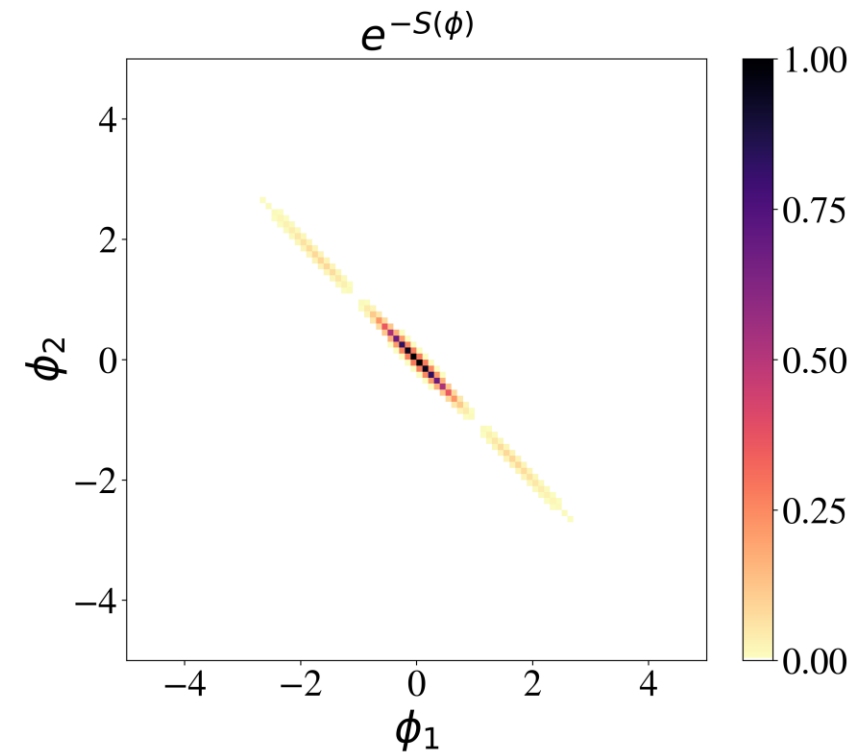
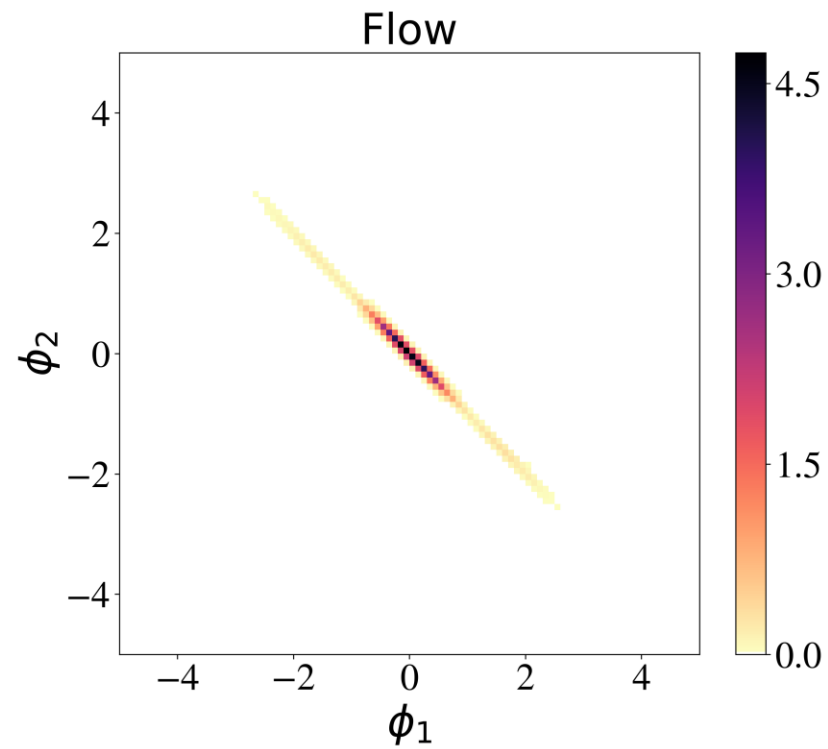
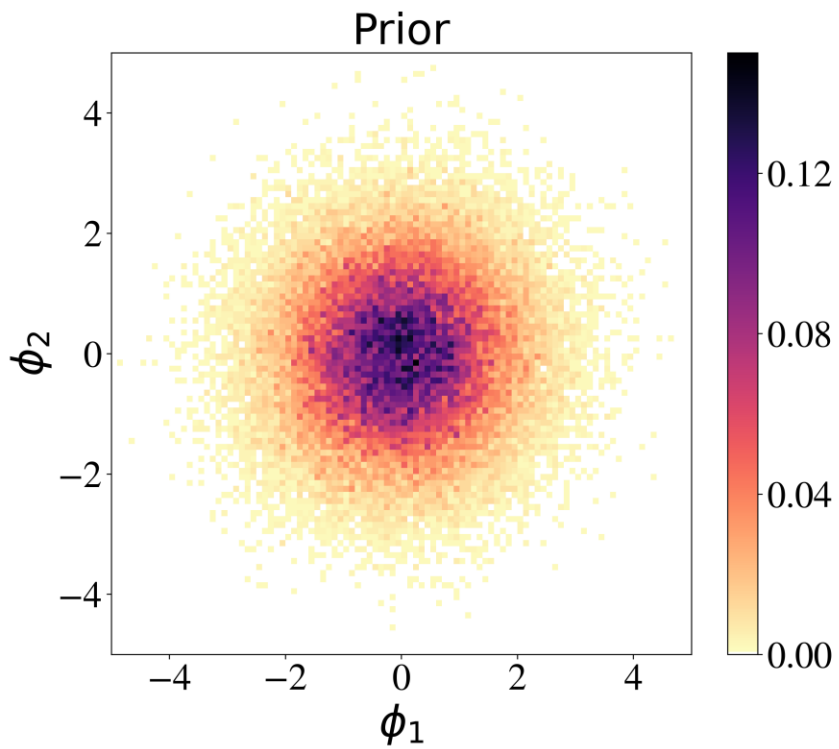
$$\beta = 1, N_t = 1$$

Exponential discretisation

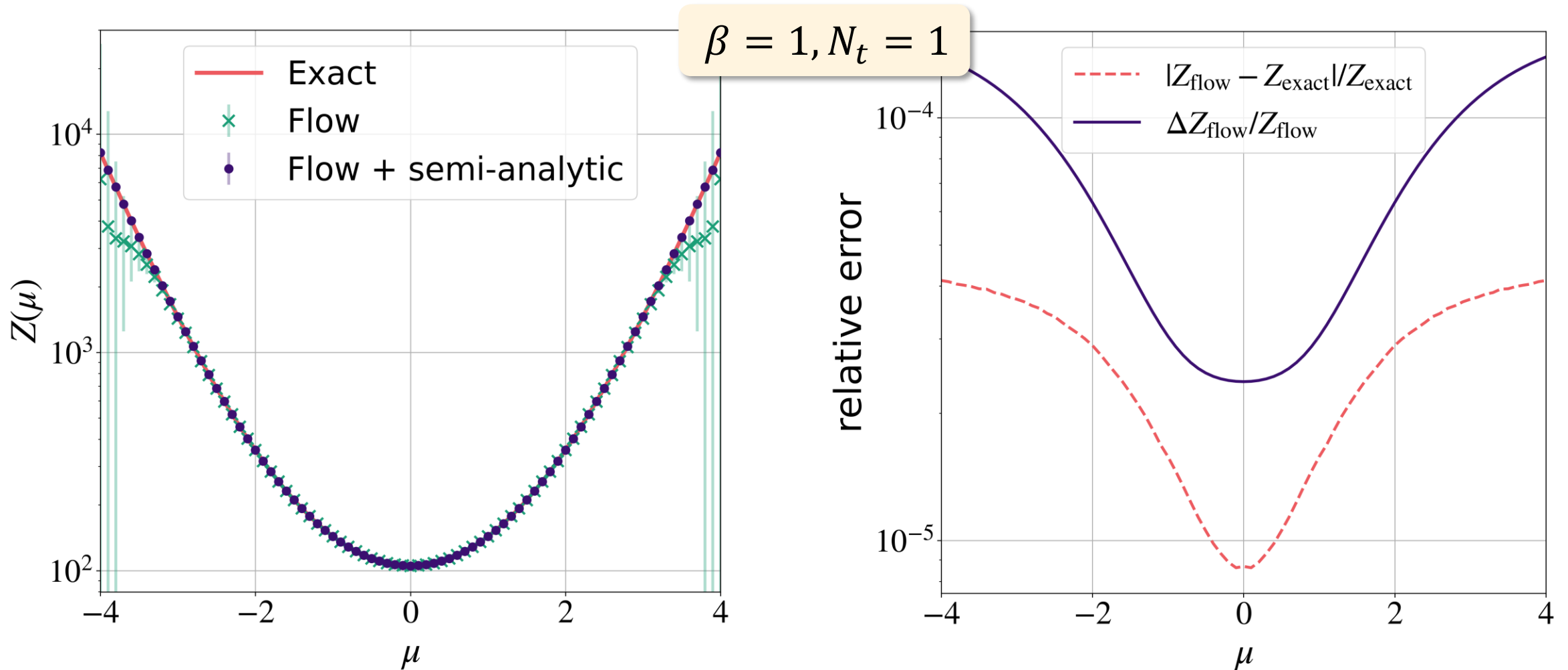


The distribution

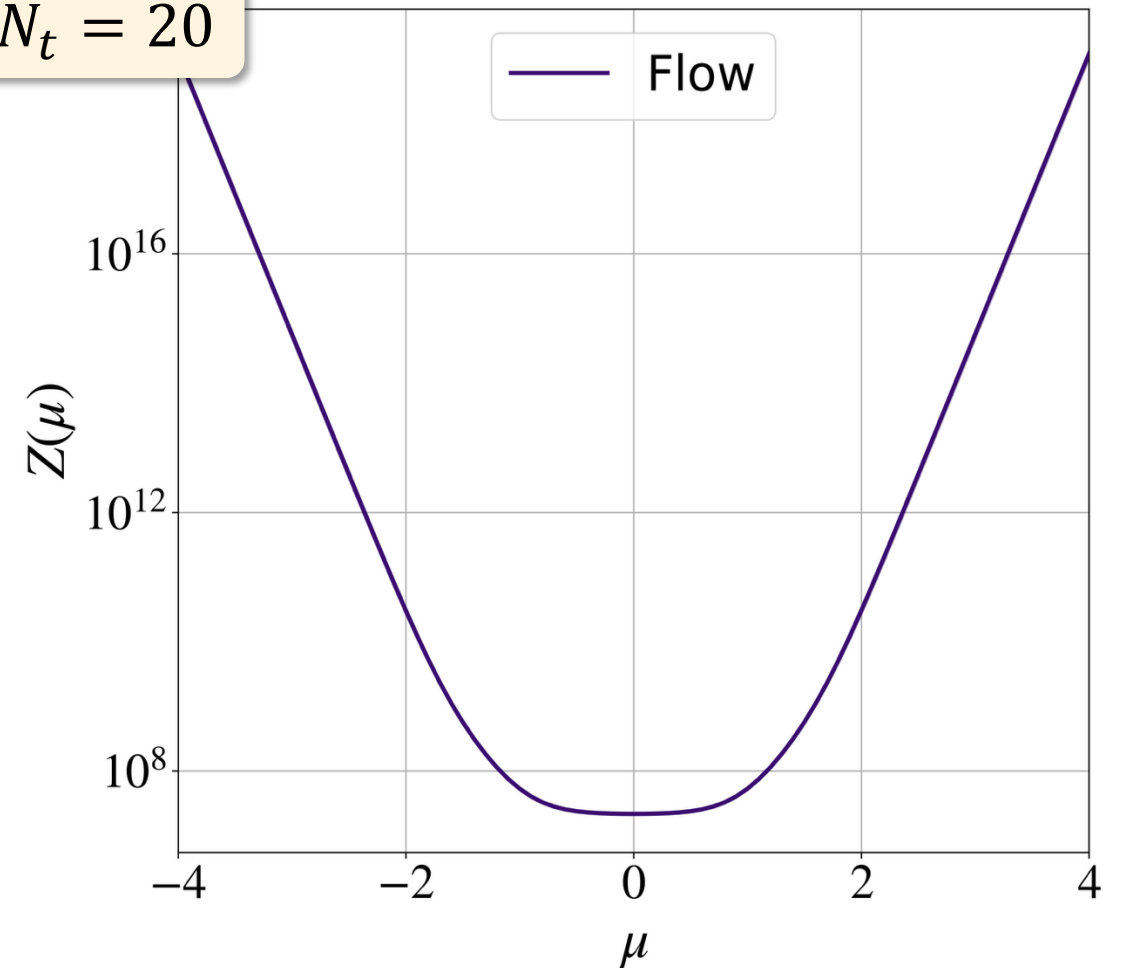
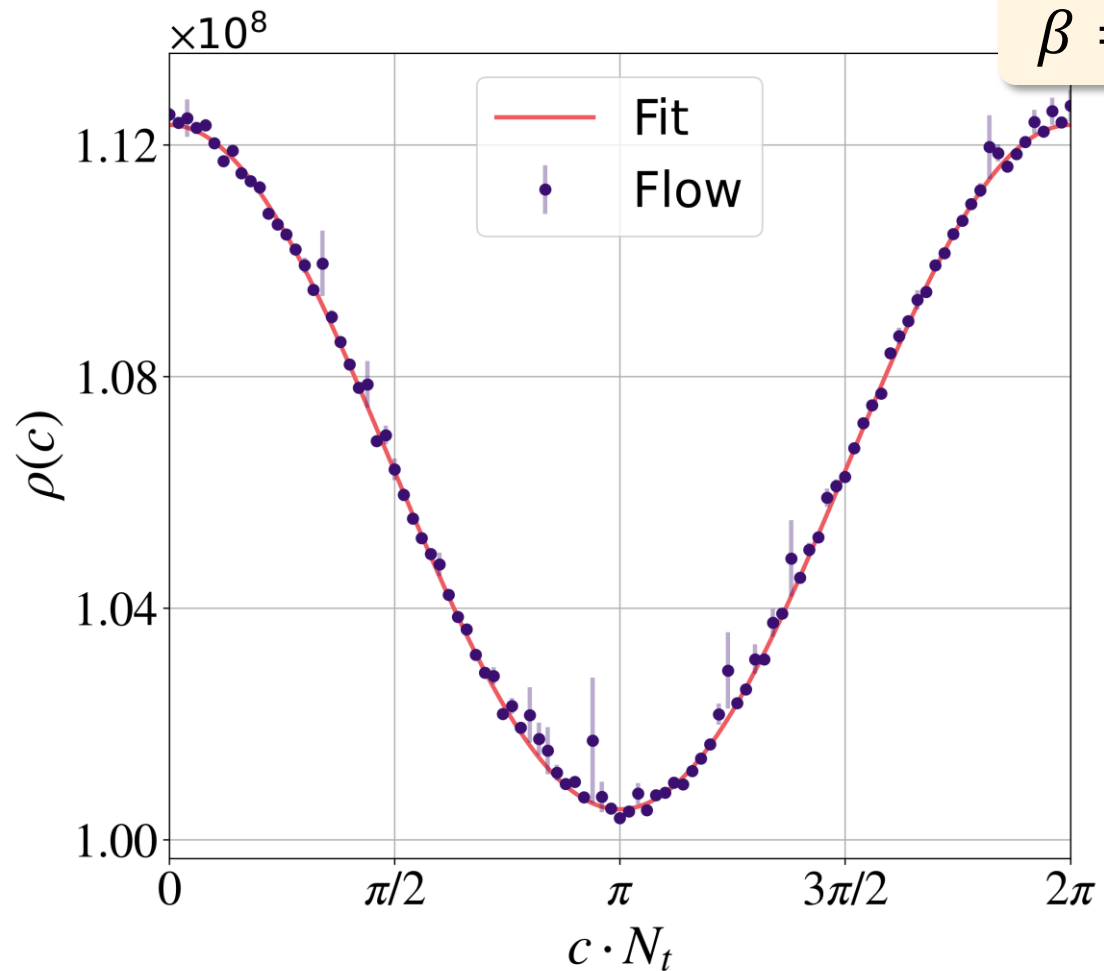
$$\beta = 1, N_t = 1, c = \pi$$



Partition function



Results for higher β, N_t



Charge density

$$\begin{aligned} Q &= \frac{1}{\beta} \frac{d}{d\mu} \ln Z \\ &= \frac{\sum_{k=1}^{N_x} a_k e^{\frac{-k^2 N_t^2}{2\alpha\gamma}} k \sinh(\mu\beta k)}{\sum_{k=0}^{N_x} a_k e^{\frac{-k^2 N_t^2}{2\alpha\gamma}} \cosh(\mu\beta k)} \end{aligned}$$

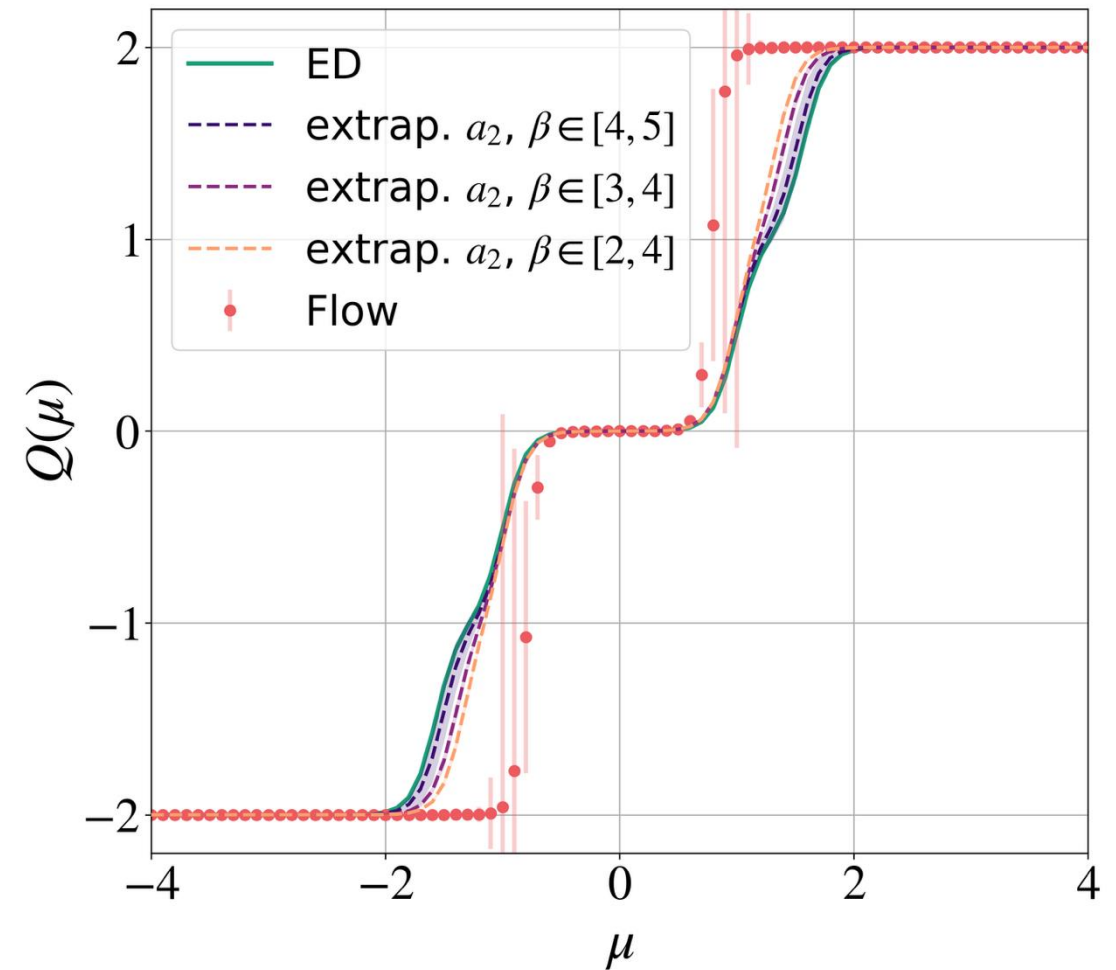
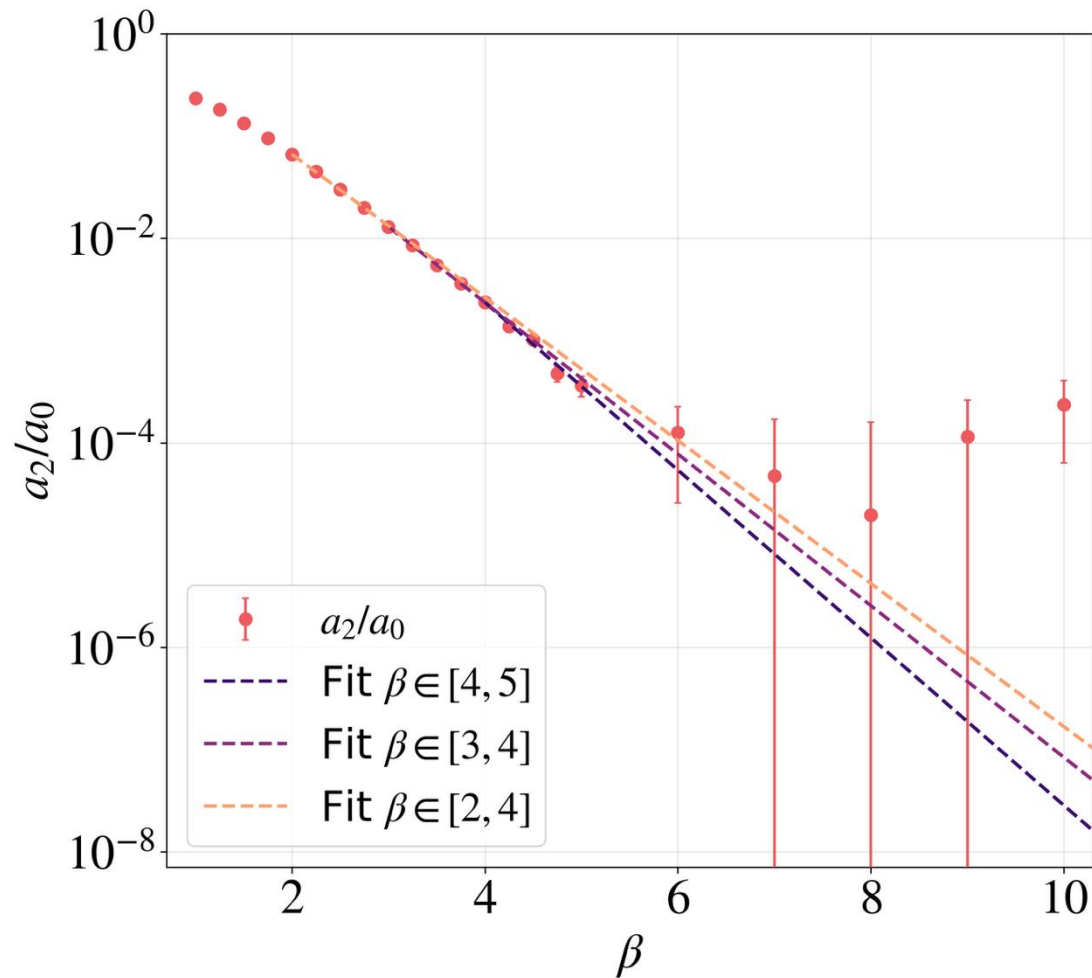
Charge density

- Grandcanonical partition function: $Z = \sum_{n=-N_x}^{N_x} e^{\mu\beta n} g_n$ with
$$g_n = \sum_j e^{-\beta E_j^{(n)}} = d_0^{(n)} e^{-\beta E_0^{(n)}} + d_1^{(n)} e^{-\beta E_1^{(n)}} + \dots$$

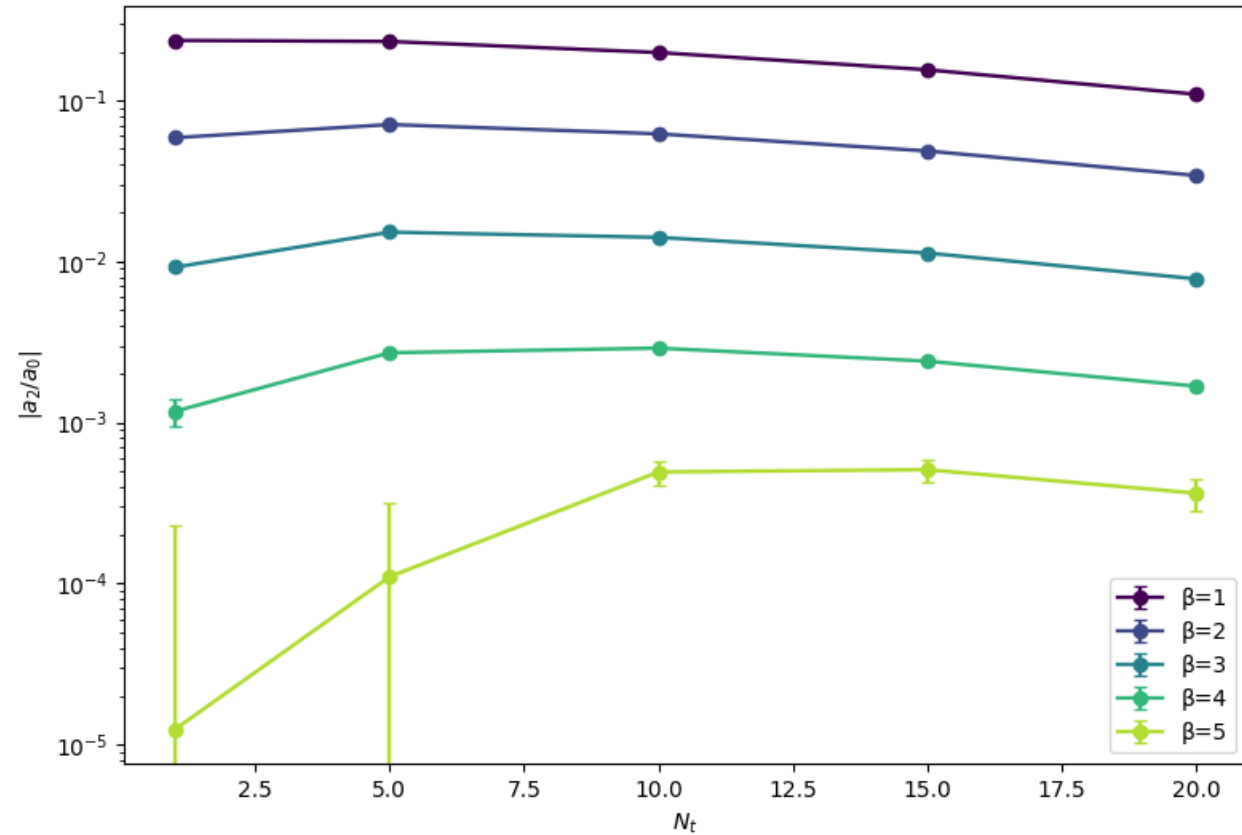
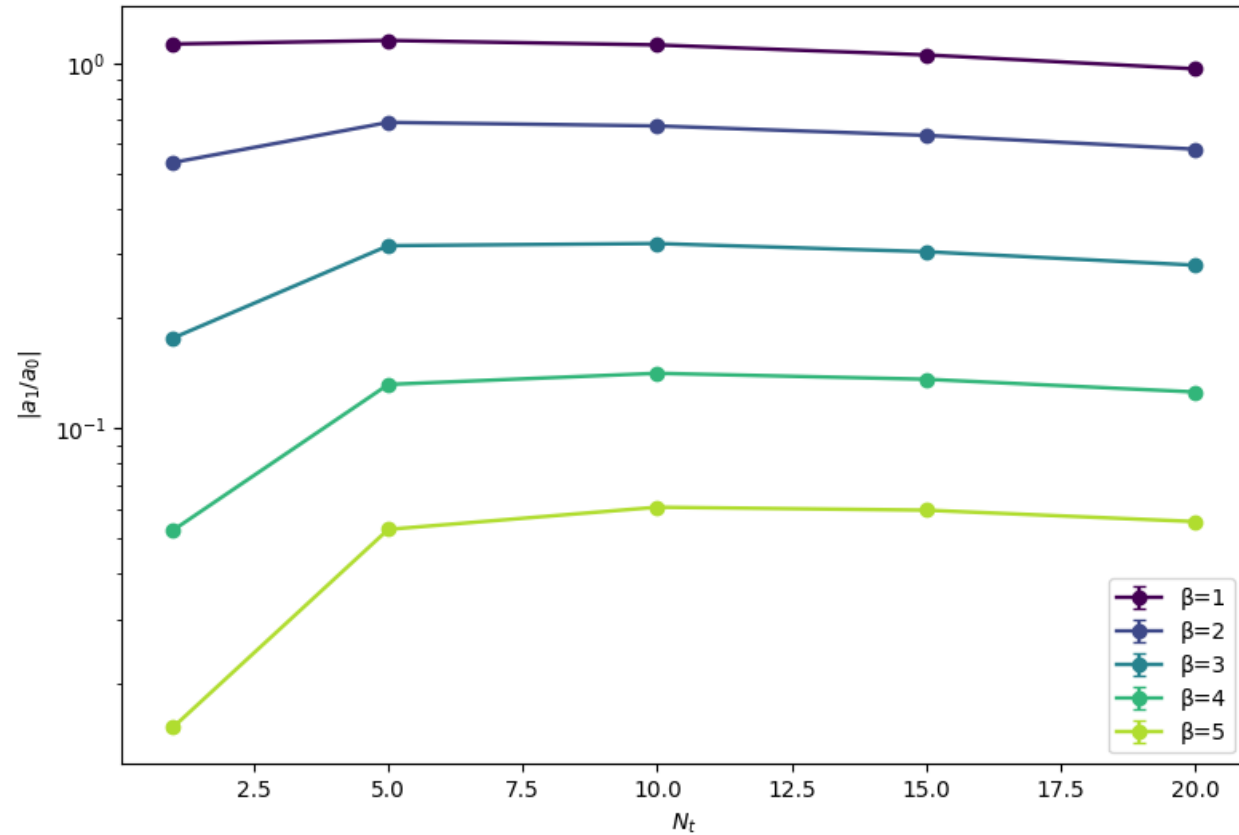
For $\beta \rightarrow \infty$

- $\ln(a_k) = -\beta E_0^{(k)} + \frac{k^2 U \beta}{2N_x} + \ln(d_0^{(k)}) + \ln(2) - \frac{k^2 N_t^2}{2P} = m\beta + n$

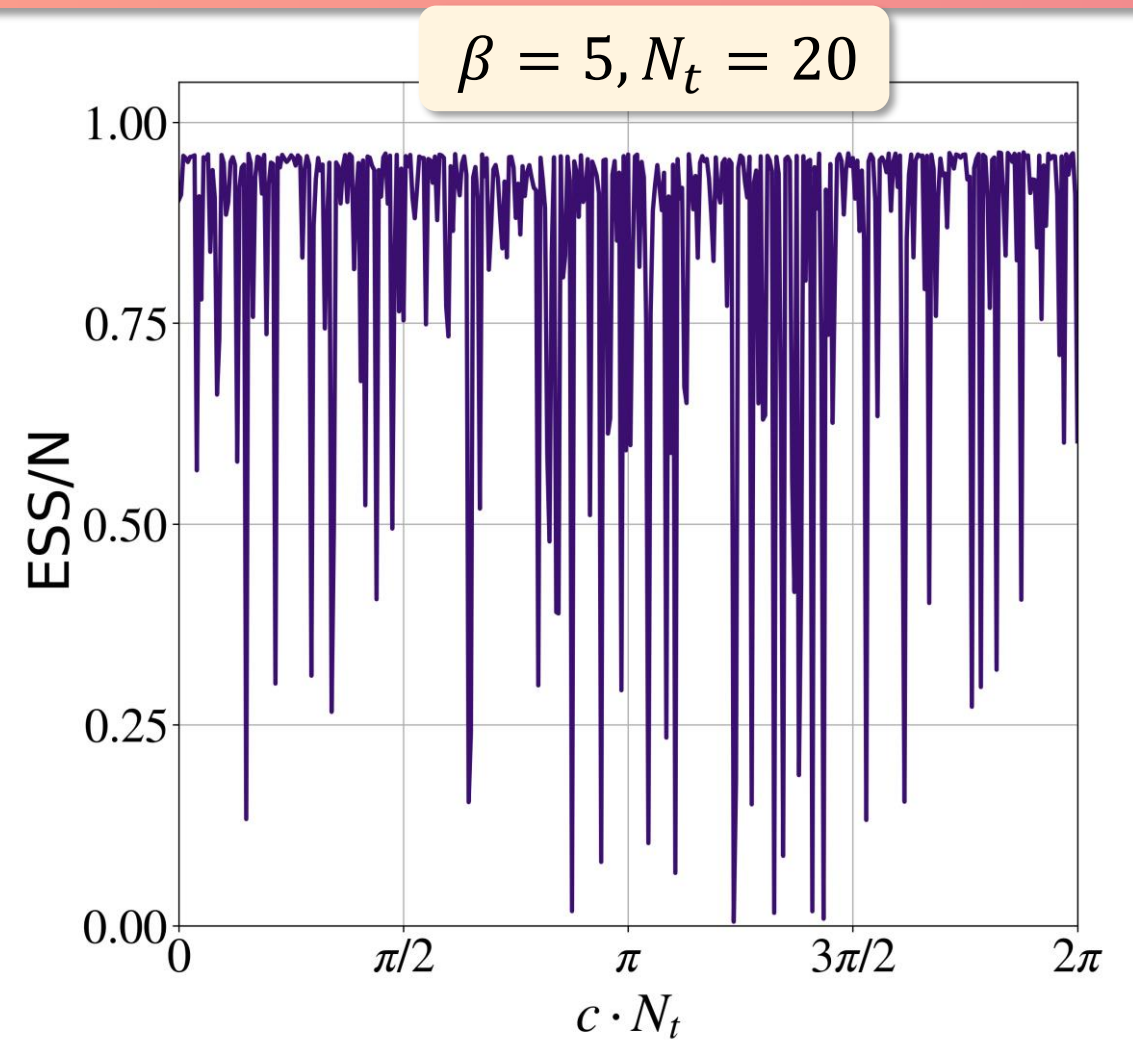
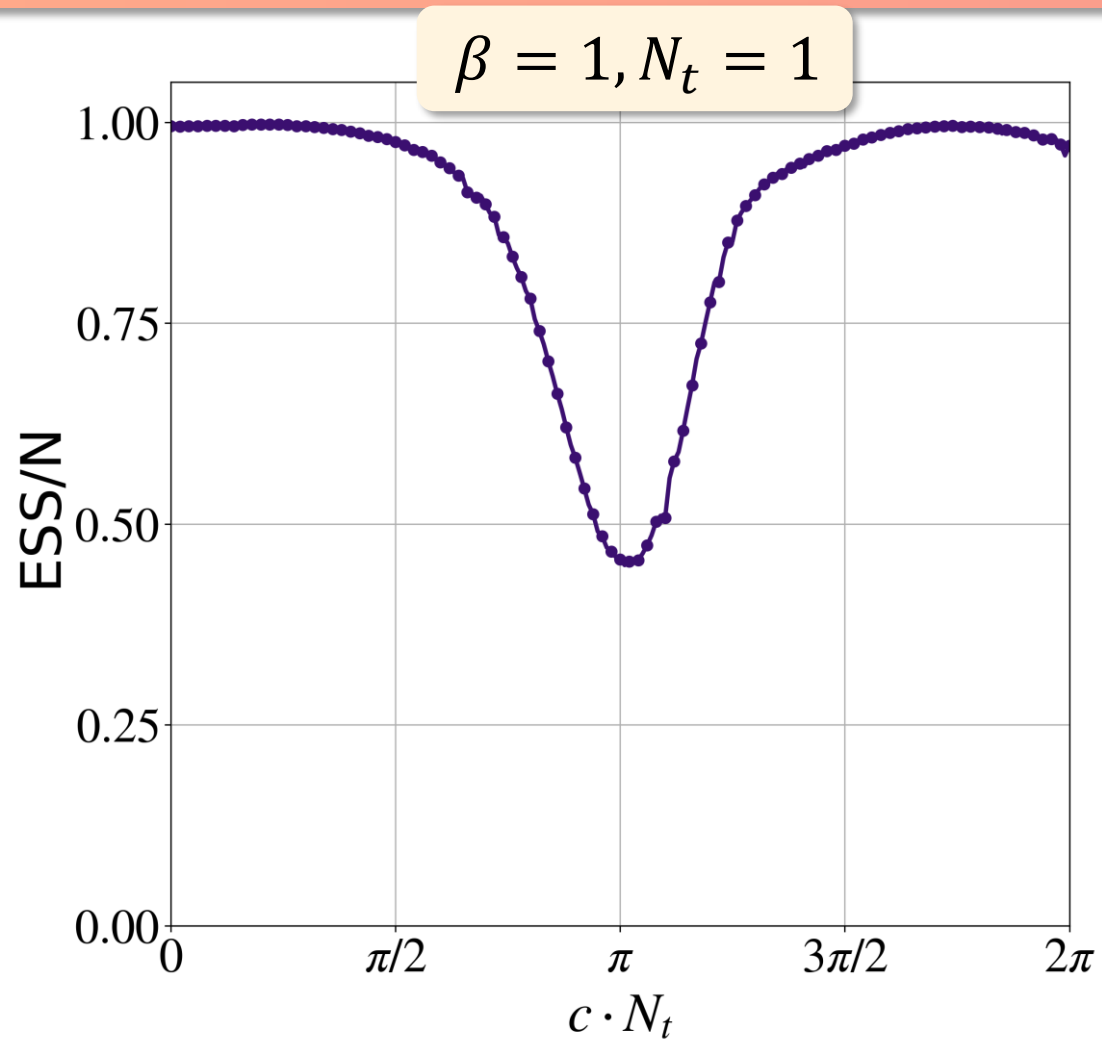
The fitting range



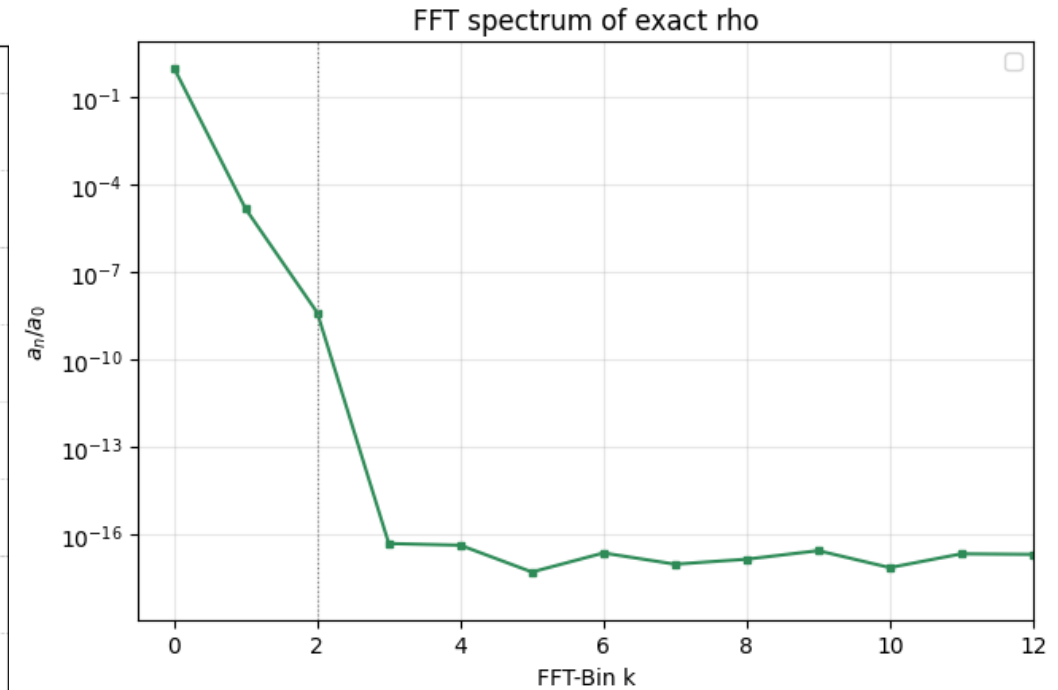
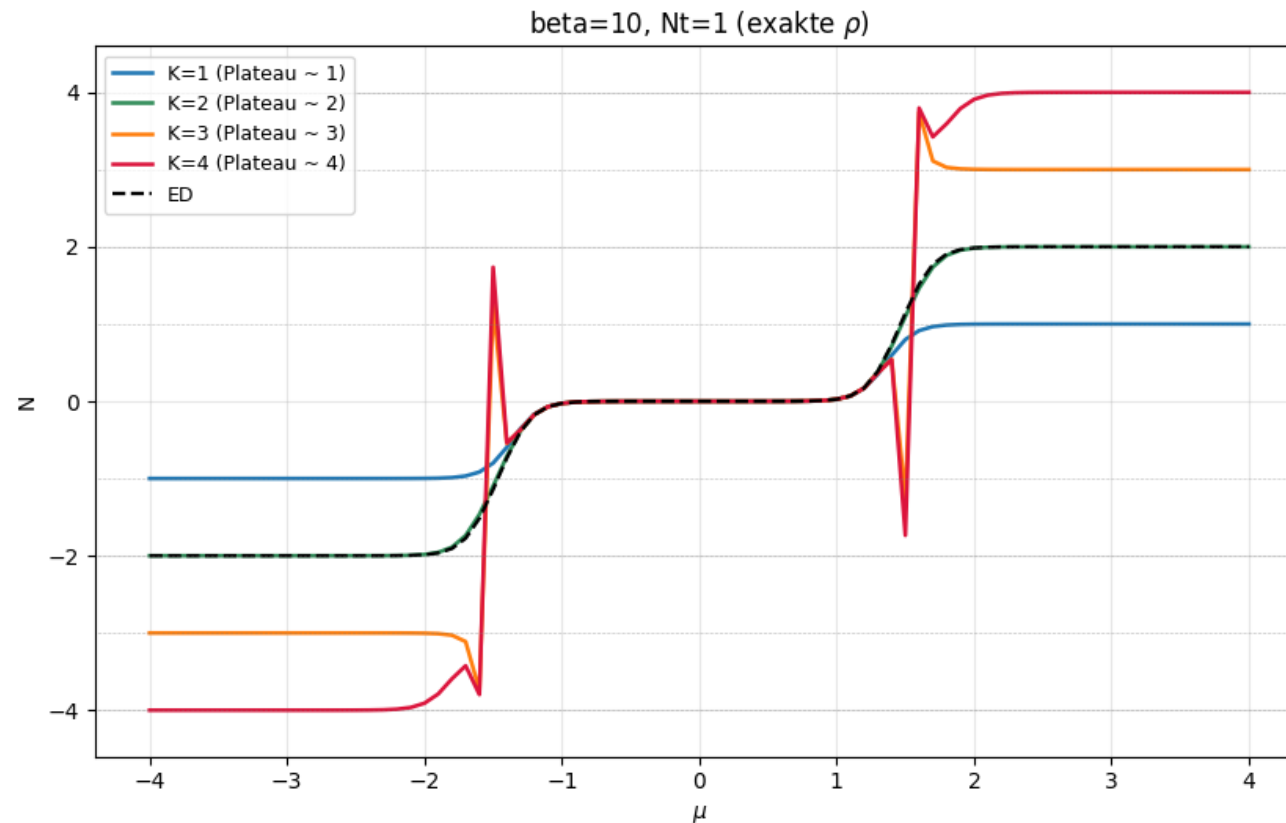
N_t -dependence



ESS



How to choose K



➡ $K = 2$

Smekal et al. : # of *Fouriermodes* = $N_x + 1$ ➡ $K = N_x$