The background of the slide features several large, overlapping spheres in shades of orange and light blue. The spheres are rendered with soft gradients and shadows, giving them a three-dimensional appearance. They are arranged in a somewhat regular pattern, with some spheres partially obscured by others.

Real-Time Nucleation in High-Temperature Quantum Field Theories

Phys. Rev. D 111, 116020 (arXiv:2403.07987)

Joonas Hirvonen
University of Nottingham

3 July 2026

Fig: arXiv:1906.00480

Overview of the talk

- 0 Nucleation and cosmological phase transitions
- 1 Field and Boltzmann eqs. for high- T QFTs
- 2 Nucleation rate from a Hamiltonian description

Fig: arXiv:1906.00480

Nucleation

- System trapped in ϕ_1
- Escaping locally with bubble nucleation to ϕ_2

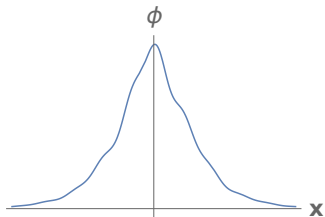


Figure: Cross section of a bubble

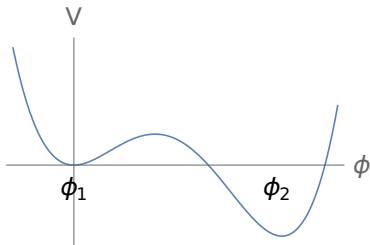


Figure: Potential with a transition

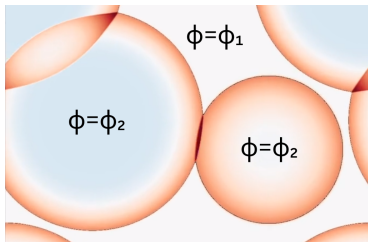


Figure: Growing bubbles [Cutting et al. '20]

Gravitational waves

- Plasma shocks from bubbles
→ Gravitational waves
- Possibly observable with e.g. LISA
 - ▶ BSM signal

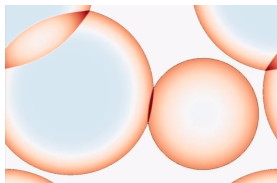


Figure: Growing bubbles ([arXiv:1906.00480](https://arxiv.org/abs/1906.00480))

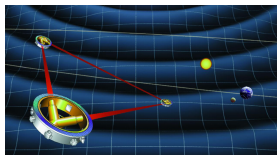


Figure: Gravitational waves and LISA (ESA)

Nucleation rates and cosmological phase transitions

- Nucleation rate, Γ , changes in time
- Duration

$$\Delta t \sim \left(\frac{d}{dt} \ln \Gamma \right)^{-1}$$

- Transition temperature

$$\Delta t^4 \Gamma \sim 1 \Rightarrow T$$

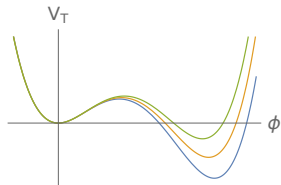


Figure: T -dependent potential

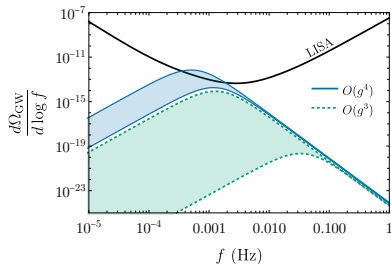


Figure: GW uncertainties [Gould, Tenkanen '21]

Nucleation out of equilibrium

- Previously equilibrium plasma particles assumed
- Relaxing the assumption
 - ▶ Corroborates equilibrium methods up to a certain validity
 - ▶ Future studies with SM extensions

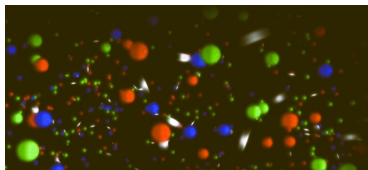


Figure: Plasma particles (Dr Rene Bellwied)

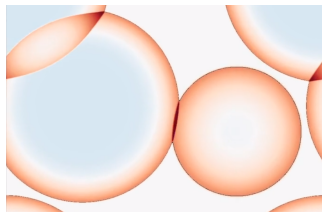


Figure: Growing bubbles (arXiv:1906.00480)

Boltzmann and field eqs following [Blaizot & Iancu '01]

- 1-point function: background field
- 2-point functions: particle distributions

Fig: arXiv:1906.00480

Thermal plasma in high- T QFTs

- Scale hierarchy: $T \gg m$
- Thermal particles
 - ▶ $E \sim T$
- Long-range bosonic fields
 - ▶ $E \ll T$
 - ▶ Bose enhanced, $f_b \gg 1$
 - ★ Classical
 - ▶ Nucleation

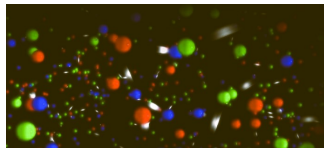


Figure: Plasma particles

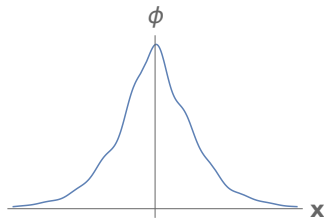


Figure: A bubble in a long-range scalar field

Field equation – brief sketch from contours

QCD review [Ghiglieri et al. '20]

$$\phi_r \sim \mathcal{O}(n_B^{1/2}) \sim \mathcal{O}((T/m)^{1/2})$$

$$\gg \phi_a \sim \mathcal{O}(n_B^0) \sim \mathcal{O}((T/m)^0)$$

- Real scalar, ϕ
- Scale hierarchy
→ approx. classical
- EoM from integrating over ϕ_a

$$\int \mathcal{D}\phi_r \mathcal{D}\phi_a \exp \left\{ i \int d^D x \phi_a \frac{\delta S[\phi_r]}{\delta \phi_r} + \mathcal{O}(\phi_a^3) \right\}$$
$$\approx \int \mathcal{D}\phi_r \prod_{\mathbf{x}, t} 2\pi \delta \left(\frac{\delta S[\phi_r]}{\delta \phi_r} \right)$$

Background field evolution from operators

- Real scalar, Φ
- Averaging the operator EOM
 - ▶ $\phi \equiv \langle \Phi \rangle$
 - ▶ $\delta\Phi \equiv \Phi - \phi$
- Two-point function, $\langle \delta\Phi^2 \rangle$
 - ▶ On-shell particles

$$\begin{aligned}\square\Phi &= -V'(\Phi) \\ \Rightarrow \square\phi &\approx -V'(\phi) - \frac{1}{2}V'''(\phi)\langle\delta\Phi^2\rangle \\ &= -V'(\phi) - \frac{1}{2}\frac{dm^2}{d\phi}\langle\delta\Phi^2\rangle\end{aligned}$$

Correlators of interest

- 2 indep. correlators
- Choosing
 - ▶ Lesser Green's function, $G^<$
 - ▶ Spectral function, ρ

$$G^<(x, y) = \langle \delta\phi_2(x)\delta\phi_1(y) \rangle$$

$$\rho(x, y) = \langle \delta\phi_1(x)\delta\phi_2(y) - \delta\phi_2(x)\delta\phi_1(y) \rangle$$

Simple kinetic equation with Wigner transformation

- EoMs for the correlators

$$(\square_x + m^2(x))G^<(x, y) = \text{higher orders}$$

$$(\square_y + m^2(y))G^<(x, y) = \text{higher orders}$$

- Higher orders

- ▶ Collisions
- ▶ Decay

$$(2\partial_s \cdot \partial_x + \underbrace{s \cdot \partial_x m^2(x)}_{m^2(x+\frac{s}{2}) - m^2(x-\frac{s}{2})})G^<(x + \frac{s}{2}, x - \frac{s}{2})$$

- Wigner transform:

= h.o.

- ▶ Difference equation

→ Kinetic equation

$$\left(\underbrace{k \cdot \partial_x}_{\text{free streaming}} + \underbrace{\frac{1}{2} \partial_x m^2(x) \cdot \partial_k}_{\text{force term}} \right) G^<(x, k) = \text{h.o.}$$

Quasiparticle approximation

$$\rho(x, k) = 2\pi \text{sign}(k_0) \delta(k^2 - m^2(x))$$

- Spectral function delta spikes

- ▶ Width higher order

- $G^<$: on-shell particle distributions, $f(x, \mathbf{k})$

- Collisionless Boltzmann equation

$$G^<(x, k) = 2\pi \delta(k^2 - m^2(x)) \times \left[\theta(k_0) f(x, \mathbf{k}) + \theta(-k_0) (1 \pm f(x, -\mathbf{k})) \right]$$

$$\underbrace{(E \partial_t + \mathbf{k} \cdot \nabla)}_{\text{free streaming}} + \underbrace{\frac{1}{2} \nabla m^2(x) \cdot \partial_{\mathbf{k}}}_{\text{force}} f(x, \mathbf{k}) = 0$$

Particles onto background

- EoM for scalar
- Two-point function
 - ▶ Thermal particle part
 - ▶ Vacuum part
- Closed system of equations!
 - ▶ $\phi(x)$
 - ▶ $f(x, \mathbf{k})$

$$\square\phi = -V'(\phi) - \frac{1}{2} \frac{dm^2}{d\phi} \langle \delta\Phi^2 \rangle$$

$$\begin{aligned} \langle \delta\Phi^2 \rangle &= \int \frac{d^D k}{(2\pi)^D} G^<(x, k) \\ &= \int \frac{d^d \mathbf{k}}{(2\pi)^d E} \left(f(x, \mathbf{k}) + \frac{1}{2} \right) \end{aligned}$$

Separating off-equilibrium particles, δf

- Equilibrium in thermal potential, V_T
 - ▶ Thermal phase structure
- $f_{\text{eq},a}$ time-dependent
 - ▶ $m^2(\phi(x))$
 - ▶ Sources δf
- Force-term h.o. for light particles, $m^2 \ll T^2$

$$\delta f = f - \overbrace{\frac{1}{e^{\beta E} \mp 1}}^{\equiv f_{\text{eq}}},$$

$$E = \sqrt{\mathbf{p}^2 + m^2(\phi(x))}$$

$$\square\phi + V_T'(\phi) = -\frac{dm^2}{d\phi} \int \frac{d^3\mathbf{k}}{(2\pi)^3 2E} \delta f$$

$$(E\partial_t + \mathbf{k} \cdot \nabla)\delta f = -\frac{f_{\text{eq}}'(E)}{2} \frac{dm^2}{d\phi} \partial_t\phi$$

Real-time nucleation

- What is nucleation rate?
- Effective Hamiltonian, and statistics (for gauge theories, see [Blaizot & Iancu '01])
- Nucleation rate formula

Fig: arXiv:1906.00480

Nucleation rate

- Barrier between the phases
- Rate, Γ : probability leak
 - ▶ Find nucleating distribution function $\rho_{\text{nucl}}[\phi, \pi, \delta f_a]$

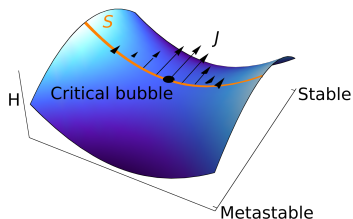


Figure: Barrier between the phases

$$\begin{aligned}\Gamma &= \frac{1}{P_{\text{meta}}} \frac{dP_{\text{meta}}}{dt} \\ &= \frac{1}{\int \mathcal{D}\Gamma_{\text{meta}} \rho_{\text{nucl}}} \int \mathcal{D}S \cdot \pi \rho_{\text{nucl}}\end{aligned}$$

Effective Hamiltonian, H_{eff}

- Structure
 - ▶ Basic field terms
 - ▶ Particle-term related to quantum statistics
- Conserved under time evolution

$$H_{\text{eff}} = \int d^d \mathbf{x} \left(\frac{1}{2} \pi^2 + \frac{1}{2} (\nabla \phi)^2 + V_T(\phi) + \sum_a \frac{1}{2} \int \frac{d^d \mathbf{p}}{(2\pi)^3} \frac{\delta f_a^2}{|f'_{\text{eq},a}|} \right)$$

-
- Time evolution from Poisson brackets
 - ▶ Not relevant for our analysis

$$\{\phi(\mathbf{x}), \pi(\mathbf{y})\} = \delta(\mathbf{x} - \mathbf{y}),$$

$$\{\delta f_a(\mathbf{x}, \mathbf{p}), \delta f_a(\mathbf{y}, \mathbf{q})\} = (2\pi)^3 f'_{\text{eq},a} \delta(\mathbf{p} - \mathbf{q}) \times \mathbf{v} \cdot \nabla \delta(\mathbf{x} - \mathbf{y}),$$

$$\{\pi(\mathbf{x}), \delta f_a(\mathbf{y}, \mathbf{q})\} = \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \delta(\mathbf{x} - \mathbf{y})$$

Equilibrium distribution function

- Given by the Hamiltonian
- Equilibrium distribution for ϕ

- High-temperature dimensional reduction
- Connection to equilibrium methods

[Gould & Hirvonen '21]

- Bose-Einstein and Fermi-Dirac statistic
 - White noise

$$\begin{aligned}\rho_{\text{eq}} &\propto e^{-\beta H_{\text{eff}}} \\ &= \exp\left(-\beta \int d^d \mathbf{x} \frac{1}{2} \pi^2\right) \\ &\times \exp\left(-\beta \int d^d \mathbf{x} \left(\frac{1}{2} (\nabla \phi)^2 + V_T(\phi)\right)\right) \\ &\times \exp\left(-\beta \int d^d \mathbf{x} \sum_a \frac{1}{2} \int \frac{d^d \mathbf{p}}{(2\pi)^3} \frac{\delta f_a^2}{-f'_{\text{eq},a}}\right)\end{aligned}$$

Nucleating distribution

- Thermal flow from metastable phase

$$\rho_{\text{nucl}} = \underbrace{\theta(u)}_{\text{flow from metastable phase}} \underbrace{Z_{\text{meta}}^{-1} e^{-\beta H_{\text{eff}}}}_{\text{in thermal equilibrium}}$$

- (Solves the Liouville equation)

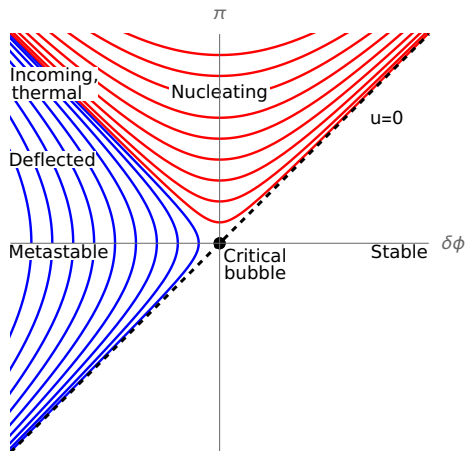


Figure: 2D slice of the phase space

Form of the results

- Matches Langer
- **Equilibrium** part unchanged!
[Gould & Hirvonen '21]
- Particles affect κ
 - ▶ Exponential growth rate

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left(\frac{H_{CB}}{2\pi T} \right)^{3/2} \left| \frac{\det(-\nabla^2 + V''_{\text{meta}})}{\det'(-\nabla^2 + V''_{CB})} \right|^{1/2} e^{-\beta H_{CB}},$$

$$H_{CB} = H_{\text{eff}}[\phi_{CB}] - H_{\text{eff}}[\phi_{\text{meta}}]$$

Exponential growth

- In EoMs, $\partial_t \rightarrow \kappa$:

$$\partial_t(\overline{\delta\phi} e^{\kappa t}) = \kappa(\overline{\delta\phi} e^{\kappa t})$$

- Friction from off-equilibrium particles

$$\begin{aligned}\kappa^2 \overline{\delta\phi} &= (\nabla^2 - V''_{\text{CB}}) \overline{\delta\phi} \\ &\quad - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^d \mathbf{p}}{(2\pi)^3 2E} \overline{\delta f_a} \\ \kappa \overline{\delta f_a} &= -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta\phi}\end{aligned}$$

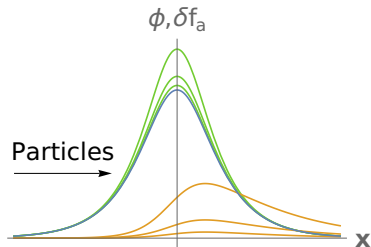


Figure: Exponentially growing configuration, δf_a comes from the left

Summary

- Boltzmann equations arise from real-time QFTs
- Hamiltonian allows solving for nucleation rate
- Results
 - ▶ Particles affect the exponential growth rate, κ
 - ▶ Corroborates equilibrium computations

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left(\frac{H_{CB}}{2\pi T} \right)^{\frac{3}{2}} \left| \frac{\det(-\nabla^2 + V''_{\text{meta}})}{\det'(-\nabla^2 + V''_{CB})} \right|^{\frac{1}{2}} e^{-\beta H_{CB}}$$

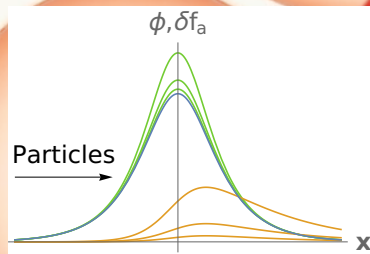


Fig: arXiv:1906.00480

Outlook

- Realistic models, and effects on GWs through
 - ▶ Duration of the transition
 - ▶ Nucleation temperature
 - ▶ Benoit Laurent, Perimeter Institute

$$\Delta t = \left(\frac{d}{dt} \ln \Gamma \right)^{-1}$$

$$\Delta t^4 \Gamma \approx 1 \Rightarrow T_n$$

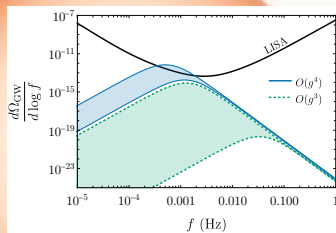


Figure: GW uncertainties [Gould, Tenkanen '21]

Fig: arXiv:1906.00480

Thanks for listening!

$$\frac{\Gamma}{V} = \frac{\kappa}{2\pi} \left(\frac{H_{CB}}{2\pi T} \right)^{\nu_{\text{meta}}} \left| \frac{\det(-\nabla^2 + V''_{\text{meta}})}{\det'(-\nabla^2 + V''_{CB})} \right|^{\frac{1}{2}} e^{-\beta H_{CB}}$$

- Boltzmann equations from QFTs
- Hamiltonian for nucleation rate
- Results
 - ▶ Off equilibrium in κ
 - ▶ Otherwise equilibrium
- Future: effects on GWs

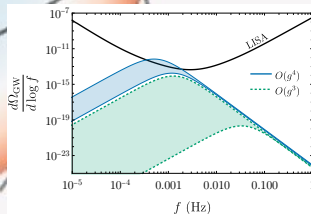
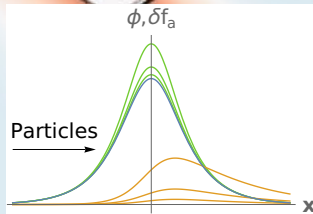


Figure: [Gould, Tenkanen '21]

$u = 0$ surface

- Not originating from either of the phases
- E.g. exponential growth:

$$\delta\phi = \overline{\delta\phi} e^{\kappa t}, \quad \delta f_a = \overline{\delta f_a} e^{\kappa t}$$

- ▶ Asymptotically from the critical bubble, $t \rightarrow -\infty$

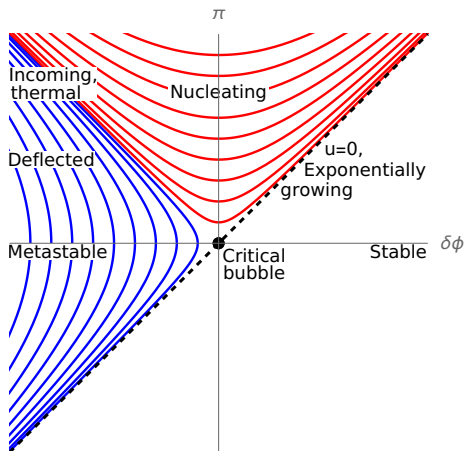


Figure: 2D slice of the phase space

Why no drastic changes

- Nucleation rate is exponentially rare
 - ▶ Fluctuations have to conspire to get there
- Extra fluctuations of plasma particles exponentially suppressed still

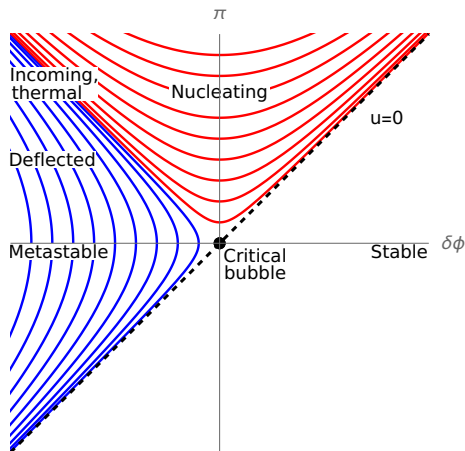


Figure: 2D slice of the phase space

Bosonic IR divergence

- Source of off equilibrium has $f'_{\text{eq},a}$
 - ▶ Dominant fermionic: $E \sim T$
 - ▶ Dominant bosonic: $E \ll T$
- Bosonic fields damp more than the particles

$$\kappa \overline{\delta f_a} = -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{\text{eq},a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta \phi}$$

$$\Rightarrow \overline{\delta f_a} \propto \frac{f'_{\text{eq},a}}{E}$$

$$\int_{\Lambda_{\text{IR}}}^{\infty} dE f'_{\text{eq,ferm}} = -\frac{1}{2} + \mathcal{O}\left(\frac{\Lambda_{\text{IR}}}{T}\right)$$

$$\int_{\Lambda_{\text{IR}}}^{\infty} dE f'_{\text{eq,bos}} = -\frac{T}{\Lambda_{\text{IR}}} + \frac{1}{2} + \mathcal{O}\left(\frac{\Lambda_{\text{IR}}}{T}\right)$$

Collisions are OK!

- Problem: Fluctuations vanishing
- No fluctuations on exponential configuration
- Conjecture: rate formula holds
 - ▶ Langer's universality
 - ▶ Fluctuation-dissipation relation
- Could change the rate drastically

$$\begin{aligned}\kappa^2 \overline{\delta\phi} &= (\nabla^2 - V''_{CB}) \overline{\delta\phi} \\ &\quad - \sum_a \frac{dm_a^2}{d\phi} \int \frac{d^d \mathbf{p}}{(2\pi)^{3/2} 2E} \overline{\delta f_a} \\ \kappa \overline{\delta f_a} &= -\mathbf{v} \cdot \nabla \overline{\delta f_a} - \frac{f'_{eq,a}}{2E} \frac{dm_a^2}{d\phi} \kappa \overline{\delta\phi} + C_{lin}[\overline{\delta f_a}]\end{aligned}$$

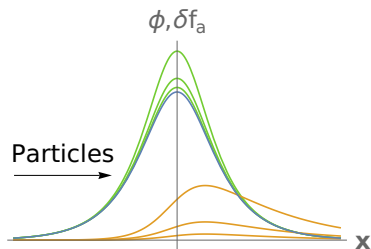


Figure: Exponentially growing configuration, δf_a comes from the left