

WIMP Meets ALP: **Coherent Freeze-out of Dark Matter**

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based on arXiv: **2511.16731** (to appear in PRL)

in collaboration with Steven Ferrante and Maxim Perelstein

Outline of the talk

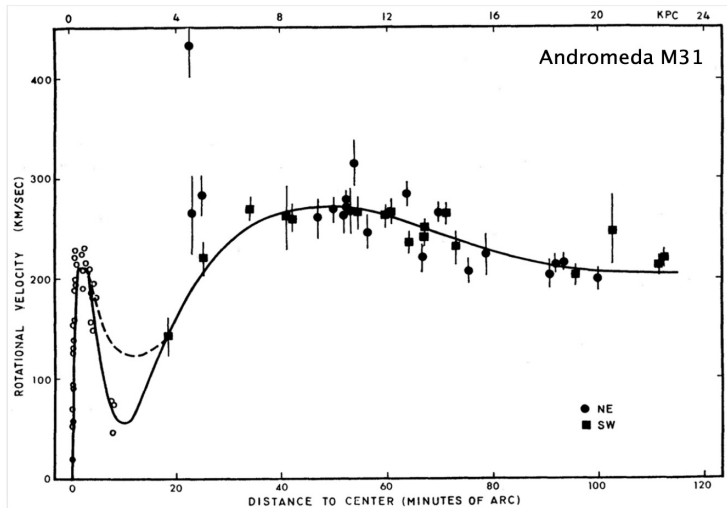
- Motivation
- Framework and dynamics
- Phenomenology
- Conclusions

Outline of the talk

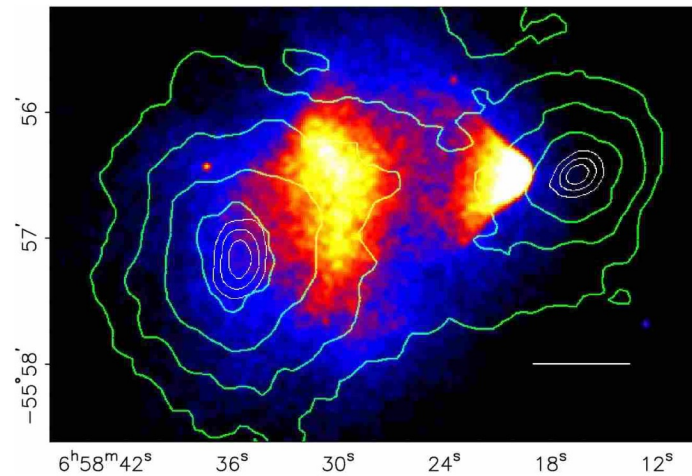
- **Motivation**
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Evidence for dark matter

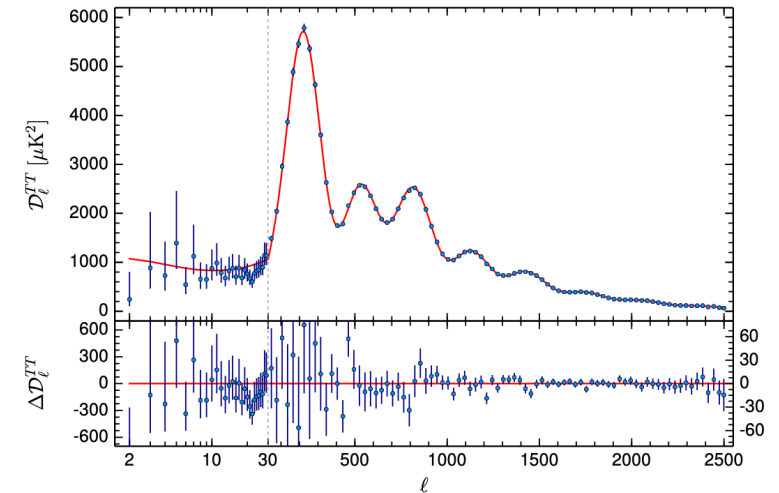
- A lot of evidence for DM from astrophysics and cosmology



Galaxy rotation curve



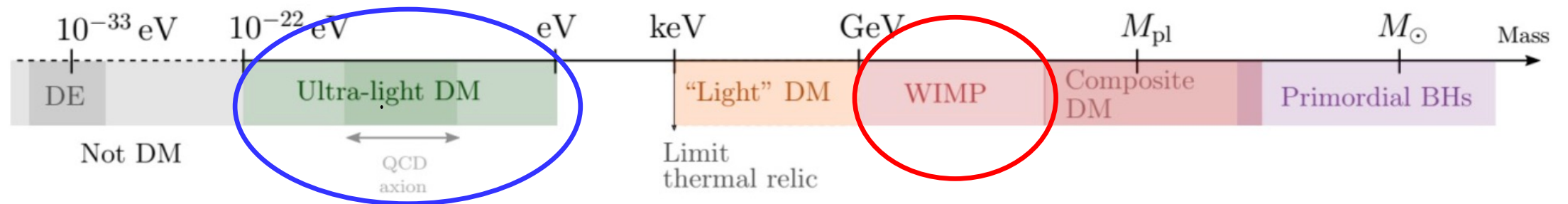
Bullet cluster



CMB anisotropies

Particle nature of dark matter

- Yet, we know very little about the nature of dark matter



- For many reasons, **WIMP** and **ALP** are two leading candidates for particle dark matter -- they exhibit sharply **different** cosmological histories

Cosmic history of WIMP

- Thermalized at high temperatures, decoupled later

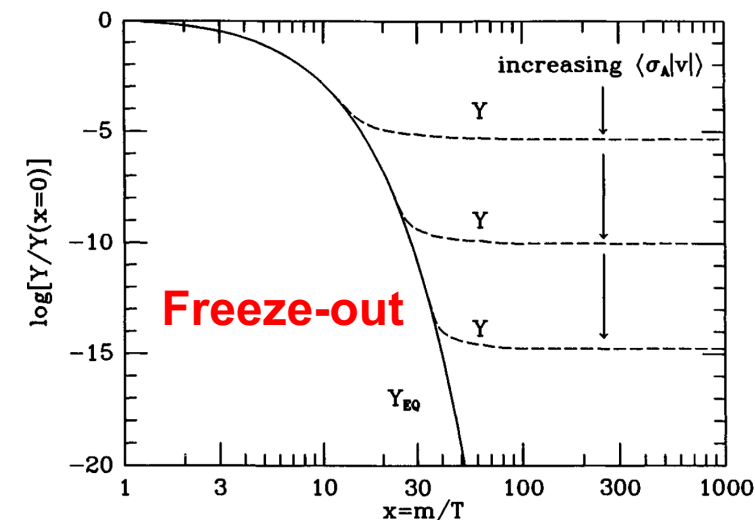
$$\frac{dn_\chi}{dt} + 3Hn_\chi = -\langle\sigma v\rangle (n_\chi^2 - n_{\chi,eq}^2)$$

- Relic abundance insensitive to the initial condition

$$\frac{\Omega_\chi h^2}{0.12} \sim \left(\frac{x_{fo}}{25}\right) \left(\frac{10^{-26} \text{ cm}^3/\text{s}}{\langle\sigma v\rangle}\right)$$

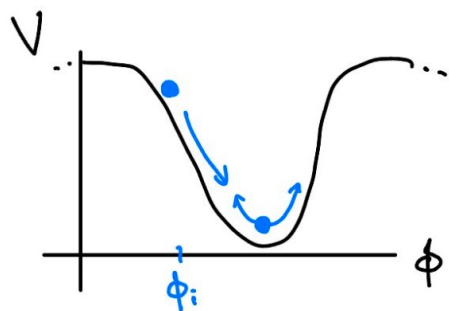
Pure weak-scale physics, “WIMP miracle”

Strong experimental constraints



Cosmic history of ALP

- Feeble coupling, never thermalized, described by a **homogeneous, classical** field



$$\ddot{\phi} + 3H\dot{\phi} + m_{\phi}^2\phi = 0$$

- Initial field value **misaligned**, relic abundance depend on both initial field value and mass

$$\frac{\Omega_{\phi} h^2}{0.12} \sim \left(\frac{\phi_i}{10^{14} \text{ GeV}} \right)^2 \left(\frac{m_{\phi}}{10^{-10} \text{ eV}} \right)^{1/2}$$

Sensitive to the UV physics scale \gg weak scale



What about WIMP + ALP?

$$\mathcal{L} =$$



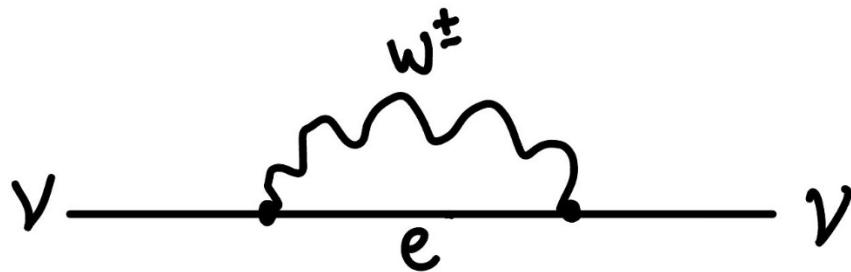
WIMP Meets ALP: Coherent Freeze-Out

- Naively, you might think they would evolve independently, since ALP is **not** thermalized and momentum exchange is negligible
- However, physics are much richer thanks to the **coherent forward scattering** between these two sectors

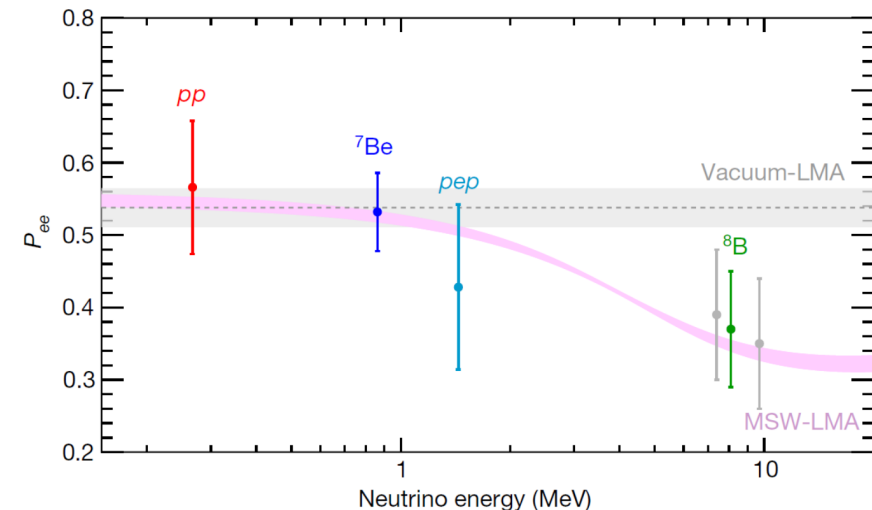


Coherent forward scattering

- No momentum exchange, but modify **dispersion relation** of scattering particles
- Example in the Standard Model: **MSW effect** of neutrino oscillation



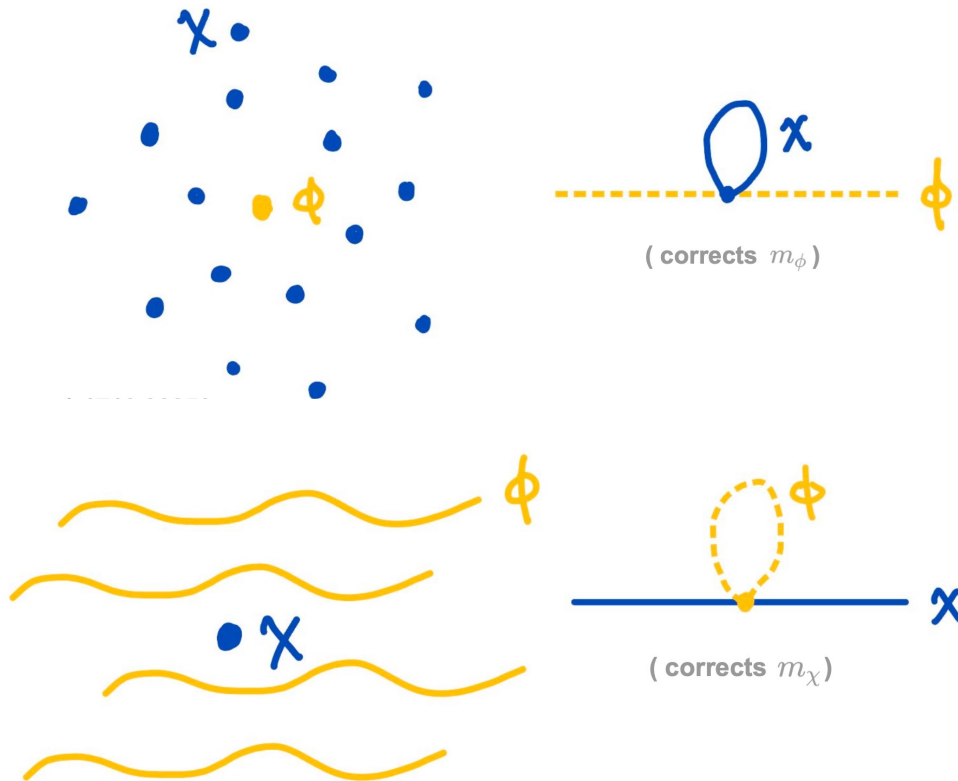
$$E_\nu \rightarrow E_\nu + \sqrt{2}G_F n_e$$



change neutrino oscillation probability by $O(1)$,
crucial for solving the solar neutrino missing puzzle

Coherent forward scattering for WIMP + ALP

- Due to coherent forward scattering, both of their dispersion relations are modified by the medium of the other one, schematically,



ALP interacts with WIMP thermal bath

$$m_{\phi,\text{eff}}^2 = m_\phi^2 + \delta m_\phi^2$$

WIMP interacts with ALP classical background

$$m_{\chi,\text{eff}} = m_\chi + \delta m_\chi$$

Coherent forward scattering for WIMP + ALP

- Due to coherent forward scattering, both of their dispersion relations are modified by the medium of the other one
- This effect is much more significant than one might naively expect. In the following, I will show it quantitatively via an explicit example

Outline of the talk

- Motivation
- **Framework and dynamics**
- Phenomenology
- Conclusions

The setup

- We consider an effective **quadratic** coupling between a fermion χ (the WIMP) and a real (pseudo-)scalar ϕ (the ALP):

$$\mathcal{L} = \frac{1}{\Lambda} \bar{\chi} \chi \frac{\phi^2}{2}$$

- This is the **leading non-derivative** coupling for a pNGB
- Motivated by the QCD axion coupling to nucleons (at scales below Λ_{QCD})
including the sign!

The setup

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$$\mathcal{L} = \frac{1}{\Lambda} \bar{\chi} \chi \frac{\phi^2}{2}$$

- Possible UV origin: confinement of some dark SU(N), with χ being the dark nucleon and ϕ being the pNGB, analogous to the nucleon and the QCD axion
- In this talk, we take the EFT point of view and do not specify the UV origin of this coupling

Separation of scales

$$\mathcal{L} = \frac{1}{\Lambda} \bar{\chi} \chi \frac{\phi^2}{2}$$

- We assume the hierarchy among three relevant scales

$$\Lambda \gg m_\chi \gg m_\phi \quad m_\chi \sim \text{electroweak scale}$$

- The coupling is assumed to be small enough to prevent the ALP from ever being thermalized via its scattering with the WIMP

$$\Gamma \sim \frac{T^3}{\Lambda^2} \ll H \sim \frac{T^2}{M_{\text{Pl}}} \quad \Rightarrow \quad \Lambda \gg \sqrt{T_{\text{RH}} M_{\text{Pl}}}$$

Effective potential at finite T

$$x \equiv m_\chi/T$$

$$\varphi \equiv \phi/\sqrt{m_\chi\Lambda}$$

$$\gamma \equiv |1 - \varphi^2/2|$$

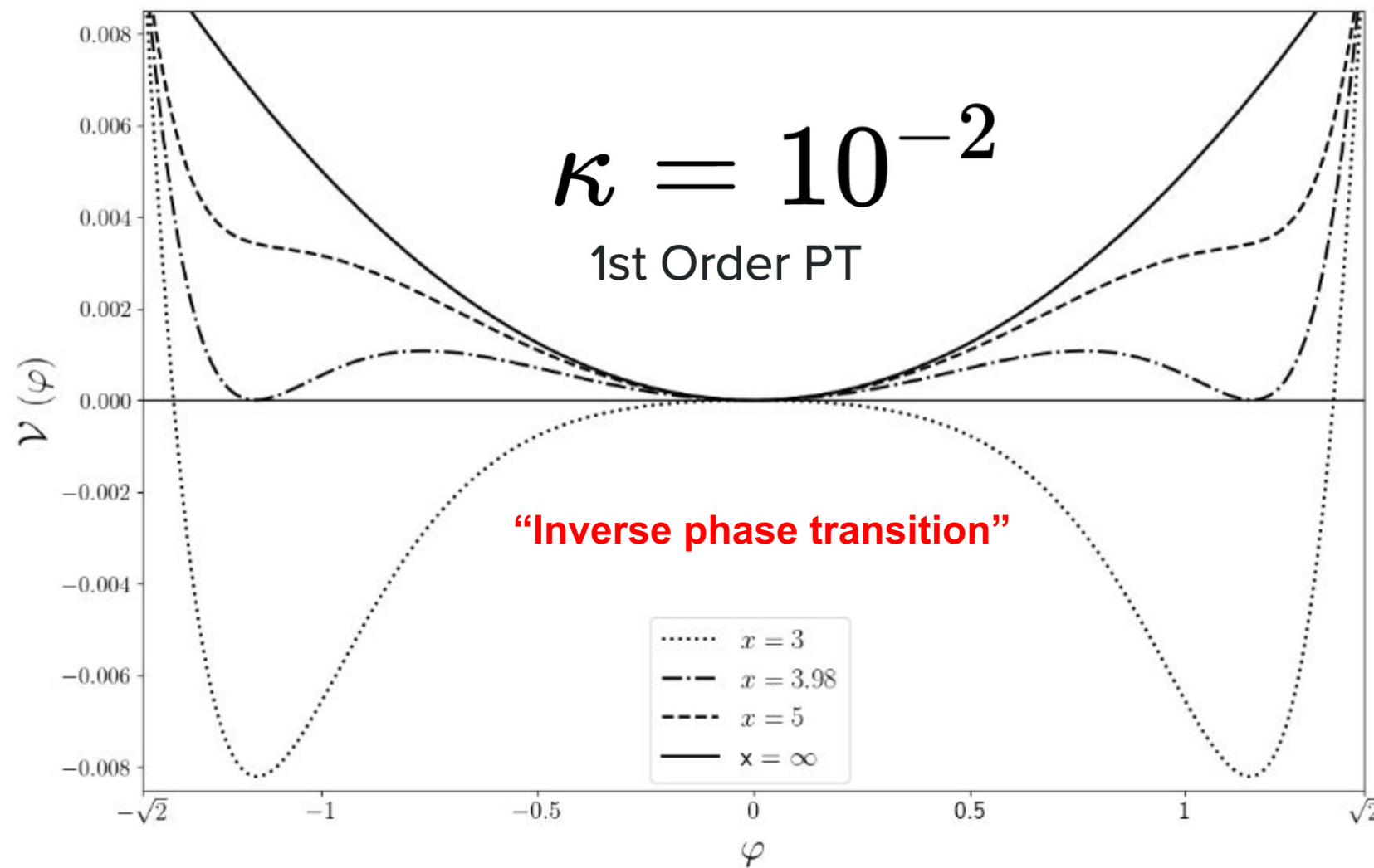
- At finite T, the WIMP thermal bath modifies the ALP potential

$$\mathcal{V}(\varphi, x) = \boxed{-\frac{\varphi^2}{2} \frac{\gamma^2 K_1(\gamma x)}{x}} + \boxed{\kappa \frac{\varphi^2}{2}}$$

thermal mass term **bare mass term**

$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

- **High-T:** symmetry is broken, ALP obtains a VEV, shifting the WIMP mass
- **Low-T:** symmetry is restored, VEV returns to zero, WIMP freezes out



$$x = m_\chi/T$$

$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

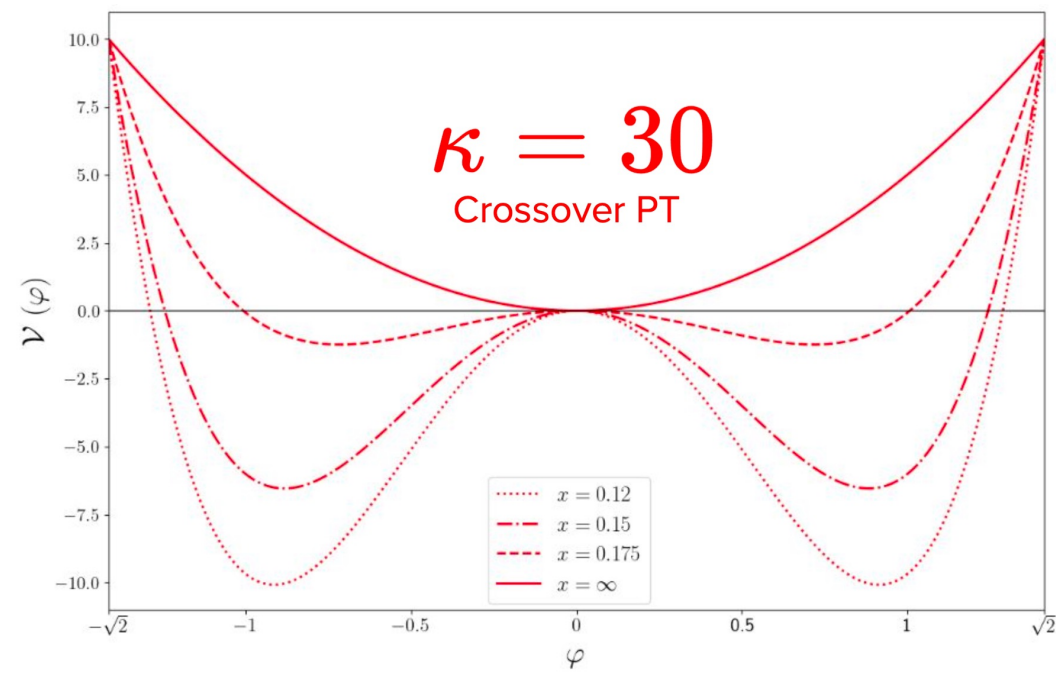
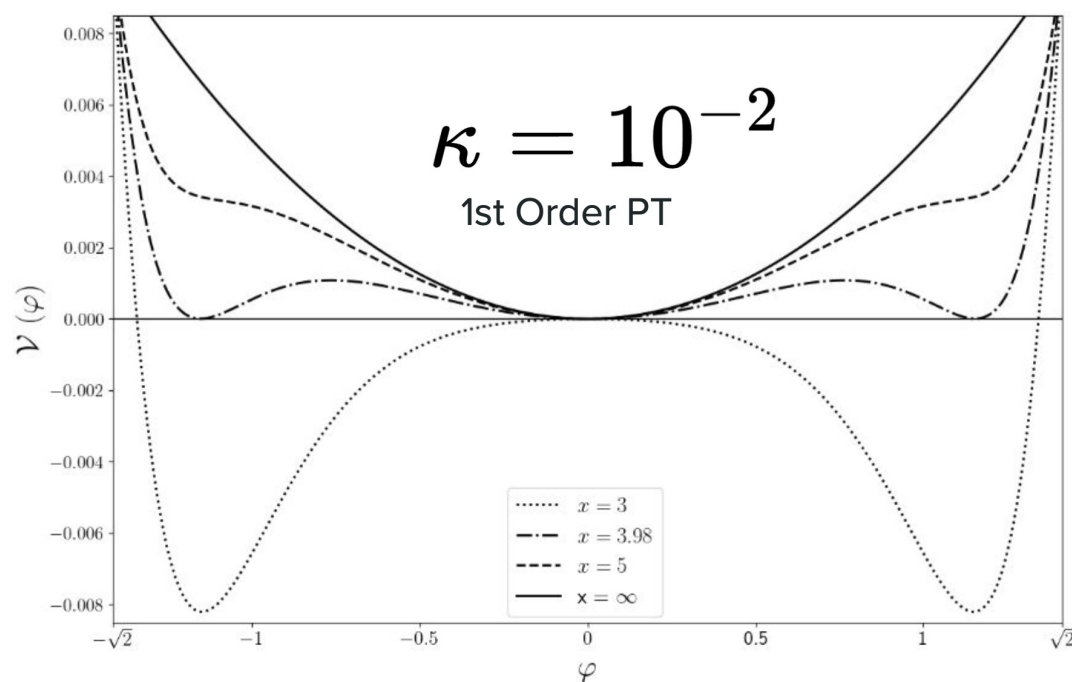
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Orders of phase transition

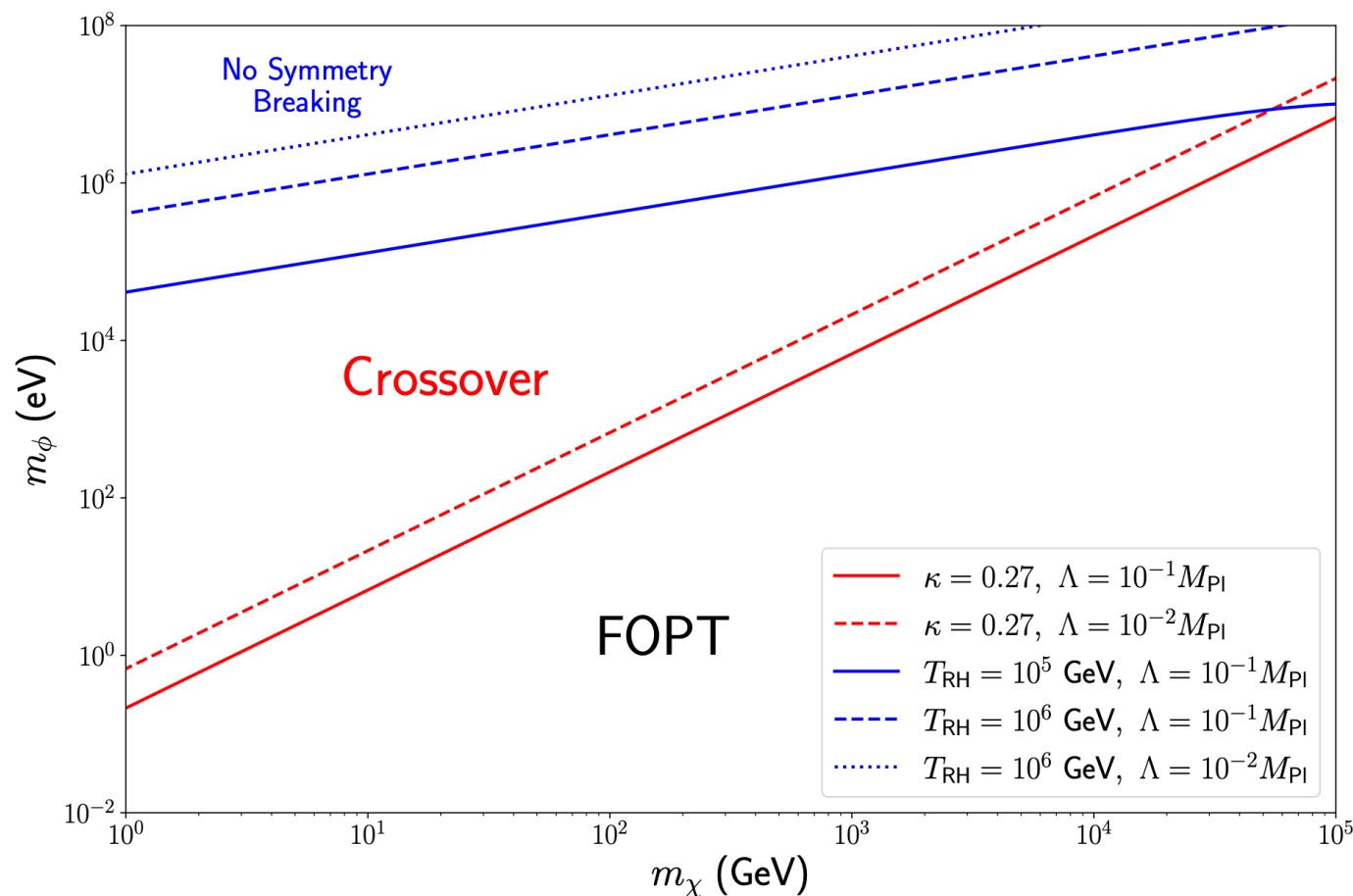
$$\mathcal{V}(\varphi, x) = -\frac{\varphi^2}{2} \frac{\gamma^2 K_1(\gamma x)}{x} + \kappa \frac{\varphi^2}{2}$$

$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

- Using Ginzburg-Landau theory, we find $\kappa_c \sim 0.27$ splits FOPT and crossover



Classification of the parameter space



$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

$\kappa \gtrsim 0.27$: Crossover

$\kappa \lesssim 0.27$: FOPT

Dynamics and phenomenology are very different in these two regimes

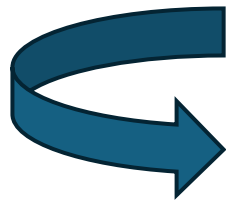
Equation of motion for ALP

$$x \equiv m_\chi/T$$

$$\varphi \equiv \phi/\sqrt{m_\chi\Lambda}$$

$$\gamma \equiv |1 - \varphi^2/2|$$

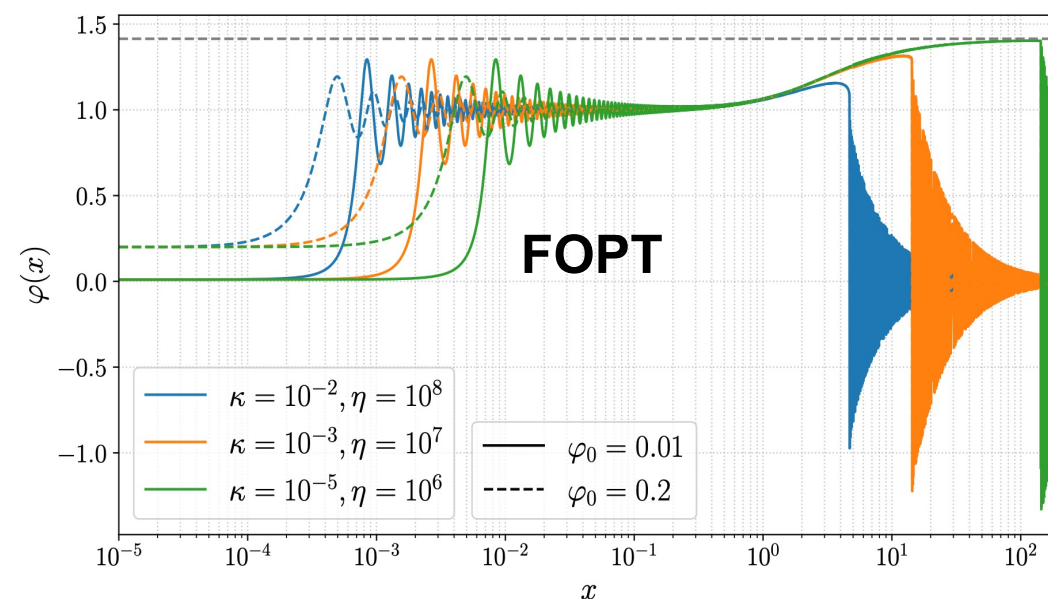
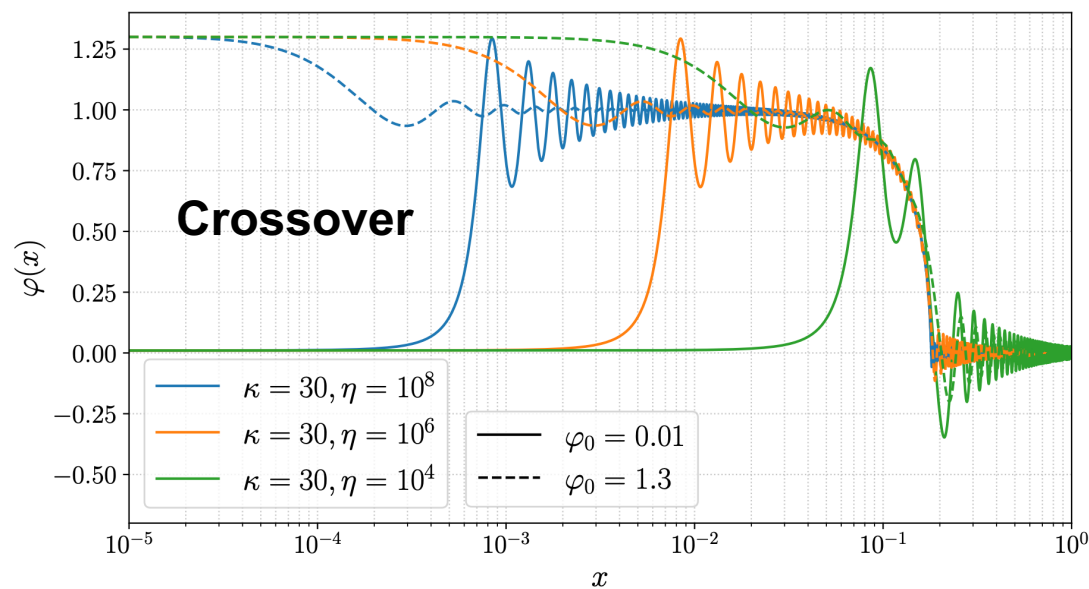
- The EOM is non-linear due to the backreaction


$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi, T) = 0$$
$$\varphi'' + \frac{2}{x}\varphi' - \eta x \left(1 - \frac{\varphi^2}{2}\right) \left[(1 - \varphi^2) K_1(\gamma x) + \frac{\varphi^2}{2} \gamma x K_0(\gamma x) \right] \varphi + \eta \kappa x^2 \varphi = 0$$

- The EOM depends only on two dimensionless parameters:

$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3} \quad \eta \sim \frac{M_{\text{Pl}}^2}{m_\chi \Lambda}$$

Solutions of the EOM



- Crossover regime: ALP evolution is **nearly adiabatic**, decouples earlier, does not affect freeze-out
- FOPT regime: ALP evolution is **non-adiabatic**, decouples later, affects WIMP freeze-out

Outline of the talk

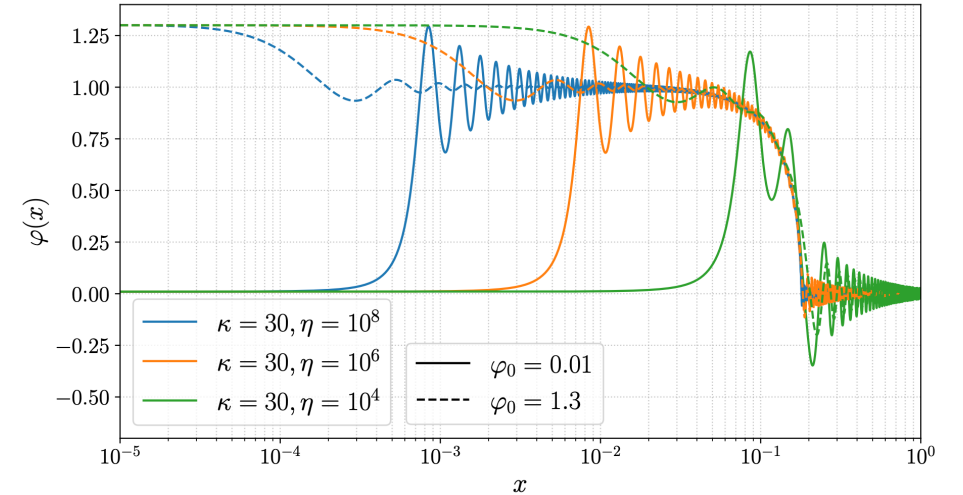
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Crossover regime

(both WIMP and ALP contribute to dark matter)

Evolution of the ALP field

- In this regime, the symmetry is restored earlier at $x \ll 25$, so freeze-out is not affected



- The EOM in this regime is given by

$$\varphi'' + \frac{2}{x}\varphi' - \eta(1 - \kappa x^2)\varphi + \eta\varphi^3 = 0$$

$$\kappa \sim \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

$$\eta \sim \frac{M_{\text{Pl}}^2}{m_\chi \Lambda}$$

- for $x \ll 1/\sqrt{\eta}$: the ALP field is frozen by Hubble friction
- for $1/\sqrt{\eta} \lesssim x \lesssim 1/\sqrt{\kappa}$: it evolves toward the vacuum at $\varphi_* = 1$
- for $x \gtrsim 1/\sqrt{\kappa}$: symmetry is restored and the field relaxes back toward 0

wash out the initial dependence

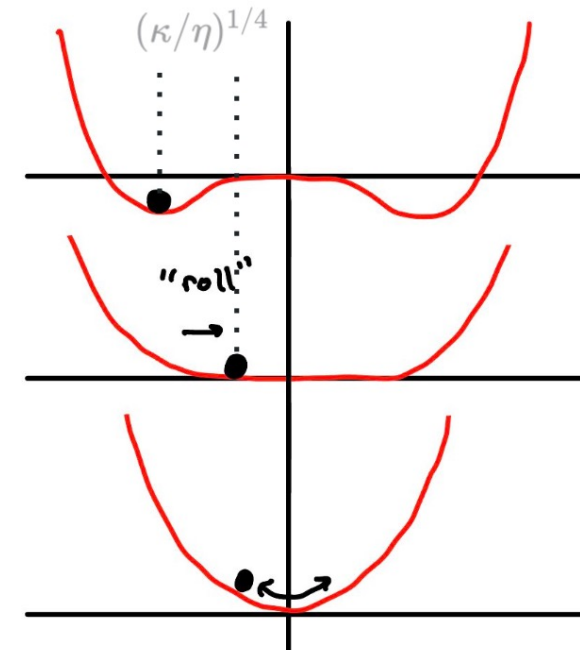
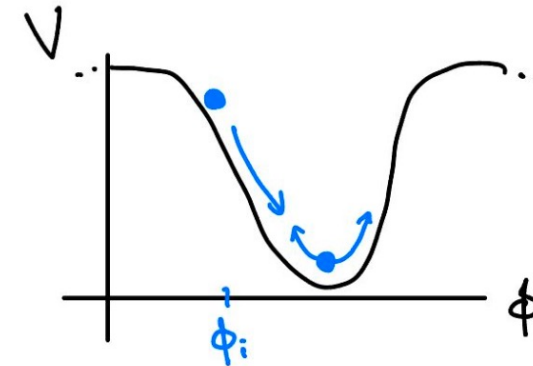
Relic abundance of ALP

- Usual misalignment: $\varphi_i \sim \text{UV dependent}$

$$\frac{\Omega_\phi h^2}{0.12} \sim \left(\frac{\phi_i}{10^{14} \text{ GeV}} \right)^2 \left(\frac{m_\phi}{10^{-10} \text{ eV}} \right)^{1/2}$$

- Our case: $\varphi_i \sim \varphi_* (\kappa/\eta)^{1/4}$ **fixed by thermal dynamics**

$$\rho_\phi(x_0) \approx m_\phi^2 \phi^2(x_c) \left(\frac{\kappa}{\eta} \right)^{\frac{1}{2}} \left(\frac{x_c}{x_0} \right)^3 \frac{g_{*S}(x_0)}{g_{*S}(x_c)}$$



Relic abundance of ALP

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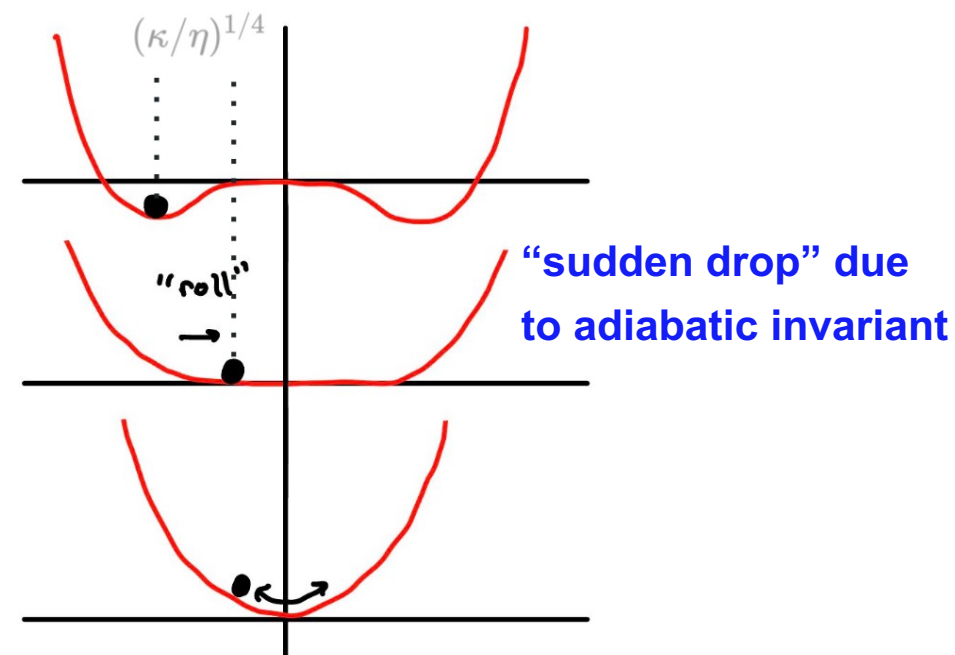
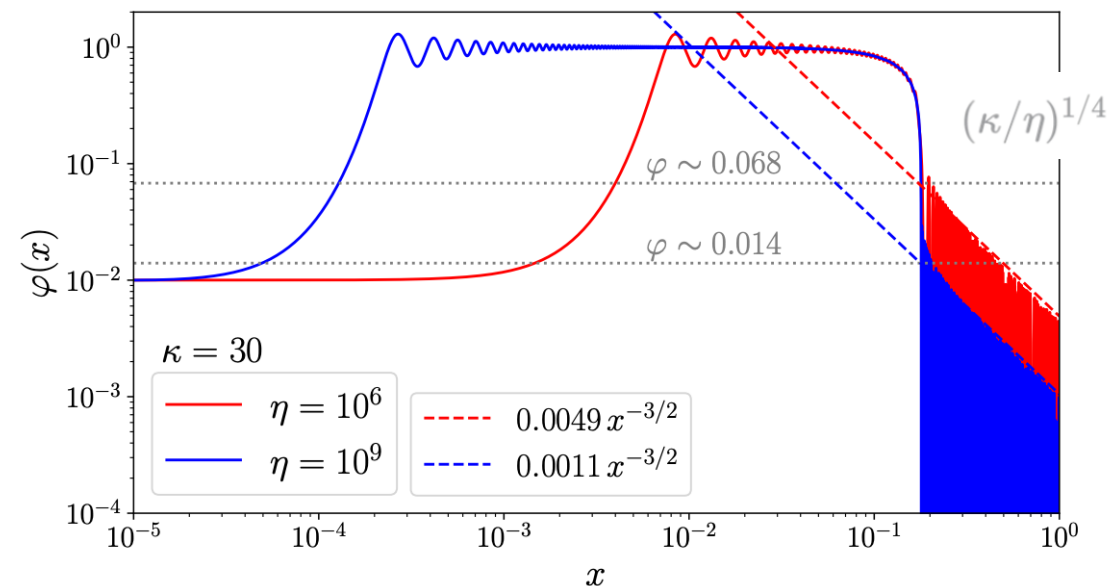
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$$\Omega_\phi \approx 0.3 \left(\frac{m_\chi}{10 \text{ GeV}} \right)^{3/2} \left(\frac{\Lambda}{0.1 M_{\text{Pl}}} \right)^{1/2}$$

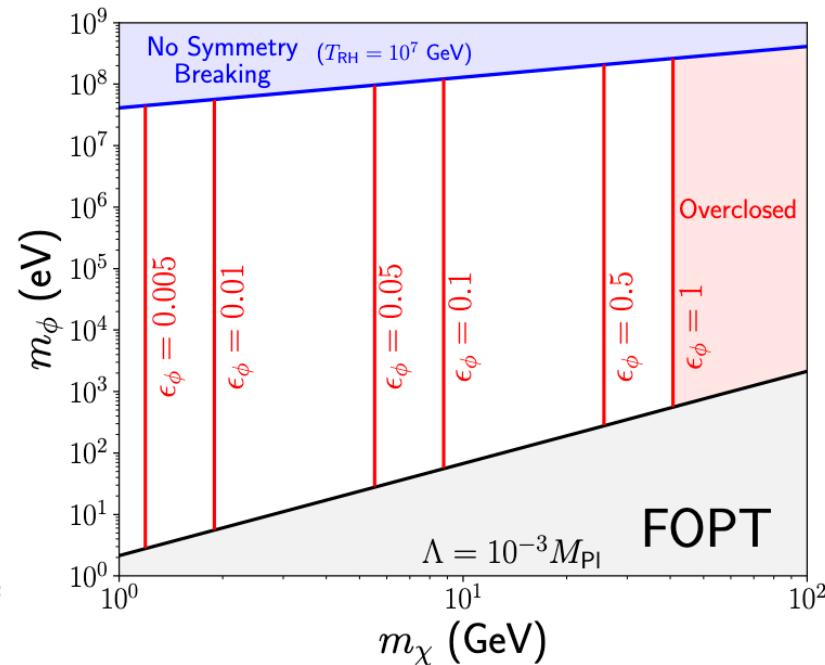
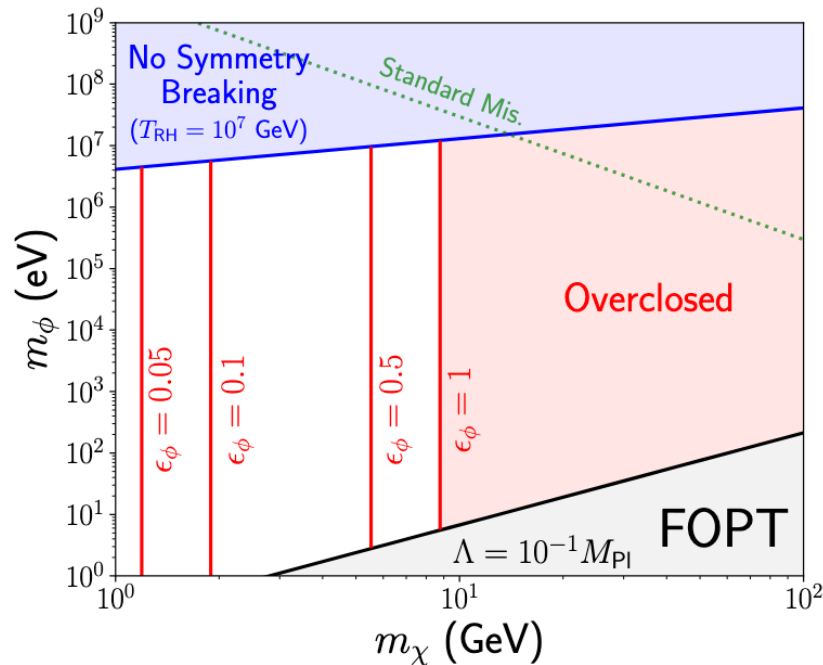
Surprisingly, the ALP mass also drops out!



The “ALP miracle”

$$\Omega_\phi \approx 0.3 \left(\frac{m_\chi}{10 \text{ GeV}} \right)^{3/2} \left(\frac{\Lambda}{0.1 M_{\text{Pl}}} \right)^{1/2}$$

- For $m_\chi \sim$ weak scale, $\Lambda \sim$ Planck scale, the ALP obtains correct relic abundance
- This is largely insensitive to both the initial ALP field value and the ALP mass



$$\epsilon_\phi \equiv \Omega_\phi / \Omega_{\text{DM}}$$

First-order phase transition regime

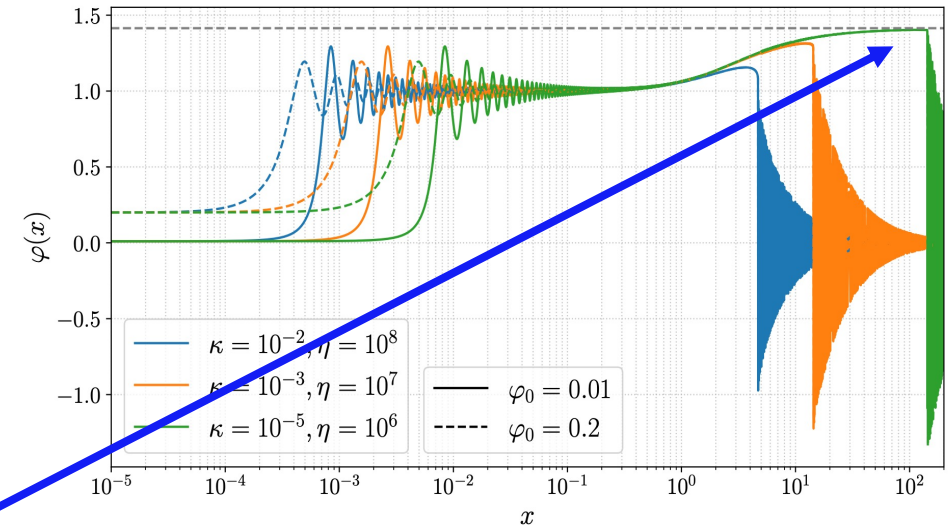
(only WIMP contributes to dark matter)

Evolution of the vacuum

- Given the potential, for $\kappa \ll 1$ and $x \gg 1$, the symmetry-breaking vacuum evolves as

$$\varphi_* = \sqrt{2 \left(1 - \frac{c_1}{x}\right)}$$

$c_1 \approx 1.33$ is the root of $c_1 K_0(c_1) = K_1(c_1)$



- The evolving vacuum reduces the effective WIMP mass as temperature decreases

$$m_{\chi, \text{eff}} = \left(1 - \varphi_*^2/2\right) m_{\chi} \sim T$$

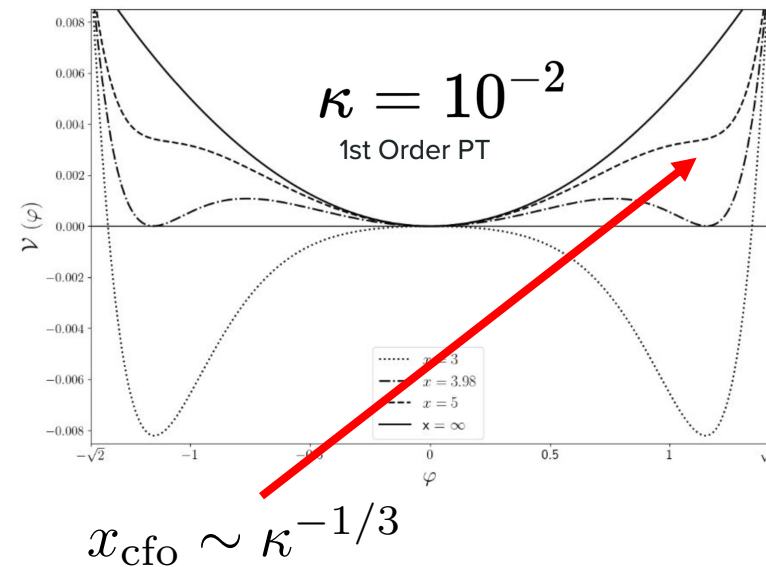
- This makes the WIMP stay in equilibrium even at $x \equiv \frac{m_{\chi}}{T} \gg O(25)$

$$n_{\chi, \text{eq}} \sim m_{\chi, \text{eff}}^2 T K_2(m_{\chi, \text{eff}}/T) \sim T^3$$

**No Boltzmann
suppression at large x!**

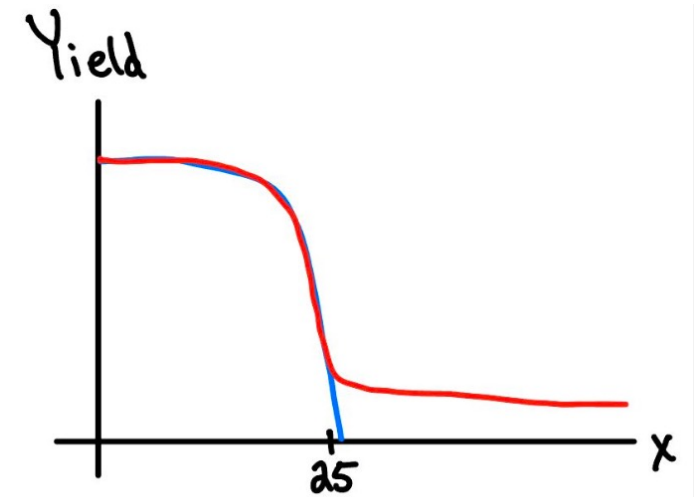
Coherent freeze-out

- The freeze-out is controlled by ALP dynamics
- We define x_{cfo} as the time when the local minimum disappears
- For $x > x_{\text{cfo}}$, ALP rolls back to the origin, $m_{\chi,\text{eff}}$ rapidly tends to m_{χ} , driving WIMP out of equilibrium --- the beginning of **coherent freeze-out**



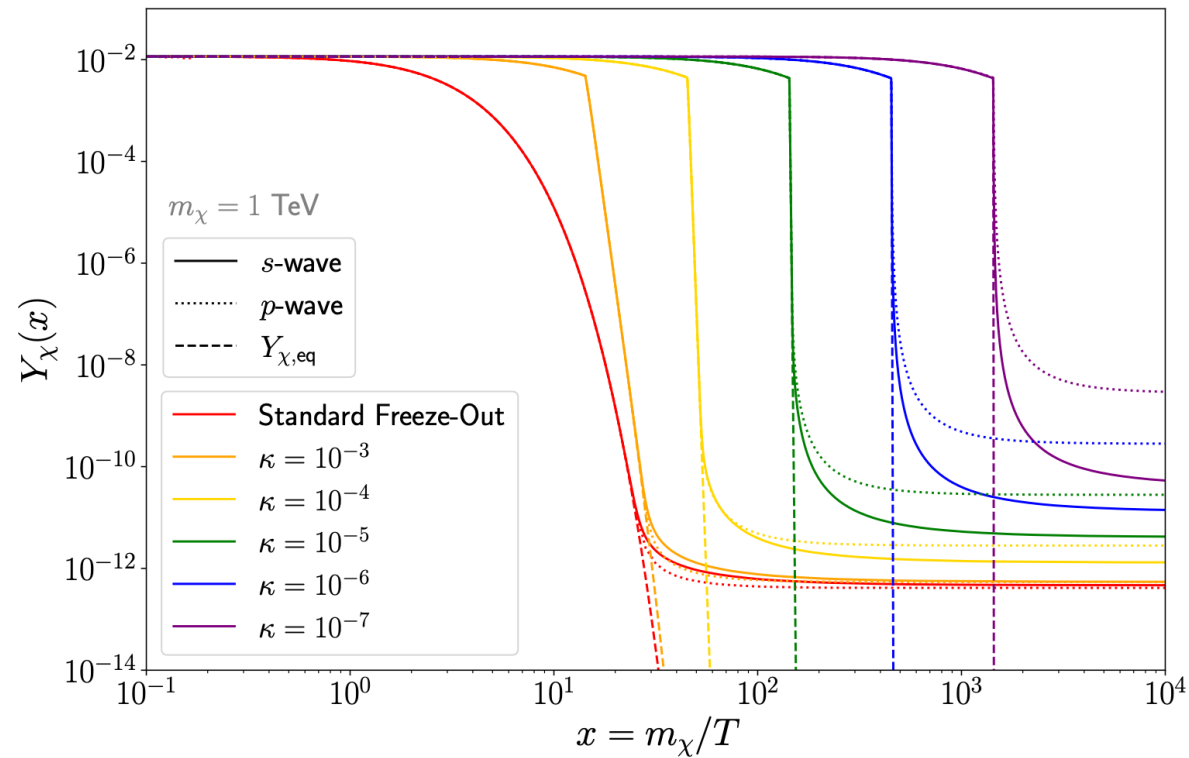
Coherent freeze-out

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- For $x > x_{\text{cfo}}$, ALP rolls back to the origin, $m_{\chi,\text{eff}}$ rapidly tends to m_{χ} equilibrium --- the beginning of **coherent freeze-out**
- Normal freeze-out: triggered by **universe expansion**, typically occurs at $x_{\text{fo}} \sim 25$
Coherent freeze-out: triggered by **phase transition**, can occur at $x_{\text{cfo}} \gg 25$



Relic abundance of coherent freeze-out

- Because freeze-out is delayed, the relic abundance is enhanced thanks to less redshift between freeze-out and present day



Relic abundance of coherent freeze-out

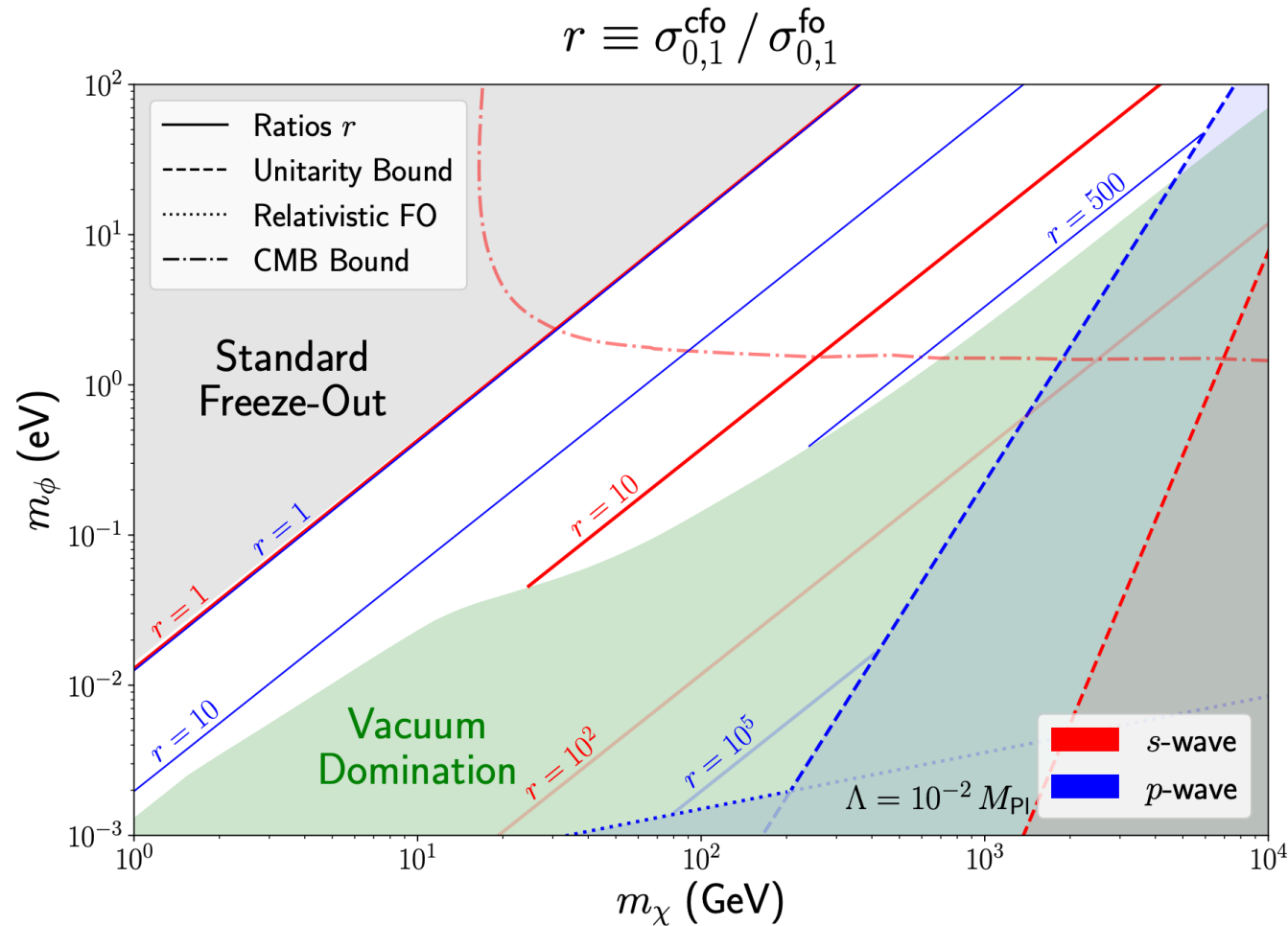
- Because freeze-out is delayed, the relic abundance is enhanced thanks to less redshift between freeze-out and present day

- Quantitatively, we find
$$\frac{Y_{\chi,\infty}^{\text{cfo}}}{Y_{\chi,\infty}^{\text{fo}}} = \frac{14}{9} \frac{x_{\text{cfo}}}{x_{\text{fo}}} \frac{\sigma_0^{\text{fo}} + 3\sigma_1^{\text{fo}}/x_{\text{fo}}}{\sigma_0^{\text{cfo}} + 21\sigma_1^{\text{cfo}}/(10x_{\text{cfo}})}$$

- To get the same yield, we have
 - s-wave:** $\sigma_0^{\text{cfo}} / \sigma_0^{\text{fo}} \sim x_{\text{cfo}} / x_{\text{fo}}$
 - p-wave:** $\sigma_1^{\text{cfo}} / \sigma_1^{\text{fo}} \sim (x_{\text{cfo}} / x_{\text{fo}})^2$

- So, the new mechanism allows for an **enhanced** WIMP cross section!

Enhancement of annihilation cross section



s-wave: enhanced up to 30
p-wave: enhanced up to 10^3

This might open the indirect detection window for p-wave DM

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Conclusions

- Even a tiny (Planck-suppressed) coupling between WIMP and ALP can substantially modify their cosmological histories through coherent forward scattering
- This dramatically change the predicted properties of WIMP and ALP dark matter particles, such as their masses and annihilation cross sections
- This in turn leads to important consequences for targeted experimental searches for particle dark matter

Thank you for your attention!

Backup slides

Modification of dispersion relation

- Both masses are shifted due to the coherent forward scattering inside the other medium

$$m_{\phi,\text{eff}}^2 = m_{\phi}^2 - \frac{\langle \bar{\chi}\chi \rangle_T}{\Lambda}$$

$$m_{\chi,\text{eff}} = \left| m_{\chi} - \frac{\phi^2}{2\Lambda} \right|$$

$$\langle \bar{\chi}\chi \rangle_T = g_{\chi} \int \frac{d^3\mathbf{k}}{(2\pi)^3} \frac{m_{\chi,\text{eff}}}{E_{\mathbf{k}}} f_{\chi}(\mathbf{k})$$

$g_{\chi} = 4$ (or 2) for Dirac (or Majorana)

f_{χ} is the phase-space distribution

- The dynamics of the two sectors are therefore **coupled**

The full one-loop thermal potential

$$V_{\text{full}}(\phi, T) = -\frac{g_\chi}{2\pi^2} T^4 \int_0^\infty dy y^2 \log \left[1 + e^{-\sqrt{y^2 + m_{\chi, \text{eff}}^2}/T} \right] + \frac{1}{2} m_\phi^2 \phi^2 \equiv \frac{g_\chi}{2\pi^2} m_\chi^4 \mathcal{V}_{\text{full}}(\varphi, x)$$

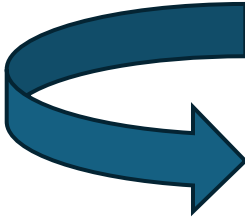
$$m_{\chi, \text{eff}} = \left| m_\chi - \frac{\phi^2}{2\Lambda} \right|$$

$$\mathcal{V}_{\text{full}}(\varphi, x) = -\frac{1}{x^4} \int_0^\infty dy y^2 \log \left[1 + e^{-\sqrt{y^2 + \gamma^2 x^2}} \right] + \kappa \frac{\varphi^2}{2} \quad \kappa \equiv \frac{2\pi^2}{g_\chi} \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

EOM with the full potential

$$\kappa \equiv \frac{2\pi^2}{g_\chi} \frac{m_\phi^2 \Lambda}{m_\chi^3}$$

$$\eta \equiv \frac{g_\chi}{2\pi^2} \frac{1}{1.66^2 g_*} \frac{M_{\text{Pl}}^2}{m_\chi \Lambda}$$


$$\ddot{\phi} + 3H\dot{\phi} + \frac{\partial V(\phi, T)}{\partial \phi} = 0$$

$$\varphi'' + \frac{2}{x}\varphi' - \eta x \varphi \operatorname{sgn}\left(1 - \frac{\varphi^2}{2}\right) \sum_{n=1}^{\infty} \left[\frac{(-1)^{n-1}}{n} \gamma^2 K_1(n\gamma x) \right] + \eta \kappa x^2 \varphi = 0$$

Dark matter in the FOPT regime

- Freeze-out of WIMP is delayed in a *dynamical* way, allowing for orders of magnitude enhancement of its annihilation cross section while still yielding the correct relic abundance
- In this regime, however, ALP only behaves as a spectator field. In order not to overclose the Universe, it must decay to radiation after WIMP freeze-out. Therefore, dark matter consists solely of the WIMP in the FOPT regime

More calculations in the crossover regime

- Symmetry is restored at $x_c \equiv 1/\sqrt{\kappa}$ $\varphi'' + \frac{2}{x}\varphi' - \eta(1 - \kappa x^2)\varphi + \eta\varphi^3 = 0$
- The adiabatic approximation holds when $\eta(1 - \kappa x^2) \gg 1/x^2$
- We define $x_1 = x_c + \delta x$ the time when adiabaticity is restored, i.e., when effective mass = Hubble friction $|\eta(1 - \kappa x_1^2)| \equiv 1/x_1^2$
- For $\eta \gg \kappa$ (which always holds), the loss of adiabaticity is extremely brief $\delta x/x_c \approx \kappa/(2\eta)$
- For $x > x_1$, we have adiabatic invariant

$$m_{\phi,\text{eff}}(x)\phi^2(x)a^3(x) = m_{\phi,\text{eff}}(x_1)\phi^2(x_1)a^3(x_1)$$

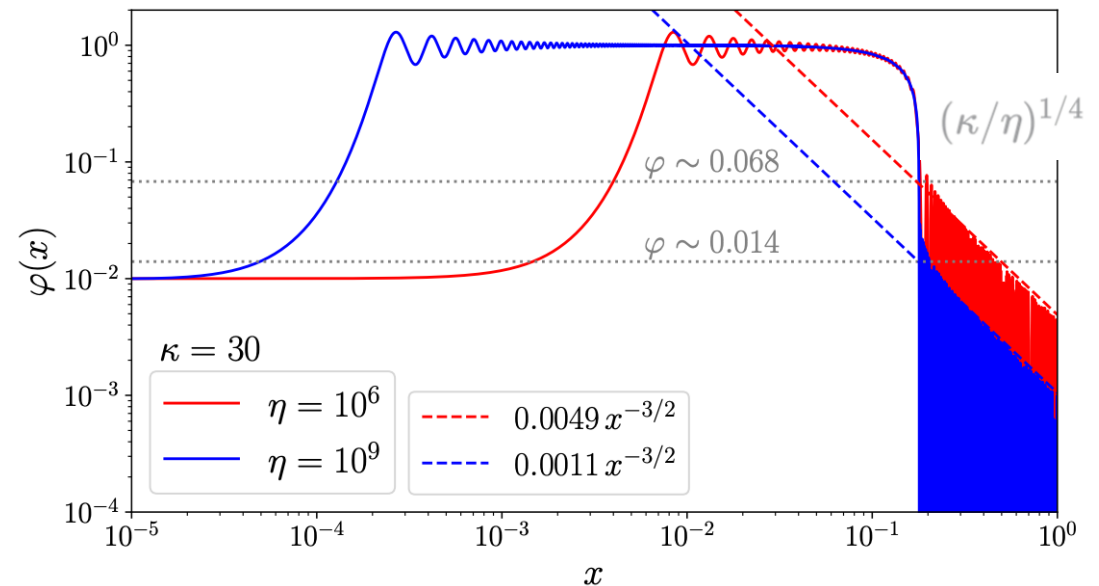
More calculations in the crossover regime

- Near $x_c \approx x_1$, the field undergoes a sudden drop due to the adiabatic condition

$$\frac{\phi^2(x_0) a^3(x_0)}{\phi^2(x_1) a^3(x_1)} = \frac{H(x_1)}{m_\phi} \approx \frac{H(x_c)}{m_\phi} = \left(\frac{\kappa}{\eta}\right)^{\frac{1}{2}} \ll 1$$

- So, the present-day energy density is given by

$$\rho_\phi(x_0) \approx m_\phi^2 \phi^2(x_c) \left(\frac{\kappa}{\eta}\right)^{\frac{1}{2}} \left(\frac{x_c}{x_0}\right)^3 \frac{g_{*S}(x_0)}{g_{*S}(x_c)}$$



More calculations in the crossover regime

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$$\rho_\phi(x_0) = m_\phi^2 \times 2m_\chi \Lambda \times \frac{H(x_c)}{m_\phi} \times \frac{x_c^3}{x_0^3} \times \frac{g_{*S}(x_0)}{g_{*S}(x_c)}$$

$$= m_\phi^2 \times 2m_\chi \Lambda \times \frac{1.66 \sqrt{g_*(x_c)} m_\chi^2}{M_{\text{Pl}} m_\phi} \times \frac{x_c}{x_0^3} \times \frac{g_{*S}(x_0)}{g_{*S}(x_c)}$$

$$= \cancel{m_\phi^2} \times 2m_\chi \Lambda \times \frac{1.66 \sqrt{g_*(x_c)} m_\chi^2}{M_{\text{Pl}} \cancel{m_\phi}} \times \frac{\pi}{2\sqrt{3}} \left(\frac{g_\chi}{2\pi^2}\right)^{1/2} \frac{m_\chi^{3/2}}{\Lambda^{1/2} \cancel{m_\phi} x_0^3} \times \frac{g_{*S}(x_0)}{g_{*S}(x_c)}$$

$$= 1.66 \sqrt{\frac{g_\chi g_*(x_c)}{6} \frac{g_{*S}(x_0)}{g_{*S}(x_c)} \frac{m_\chi^{3/2} \Lambda^{1/2} T_0^3}{M_{\text{Pl}}}}$$

ALP mass precisely drops out in the relic abundance!