

# Opposite-sign WW production with Run3 data in ATLAS

**ALBERTO PLEBANI**

**IOP Joint APP and HEPP Annual Conference  
2026**

**Edinburgh - 8<sup>th</sup> April 2026**

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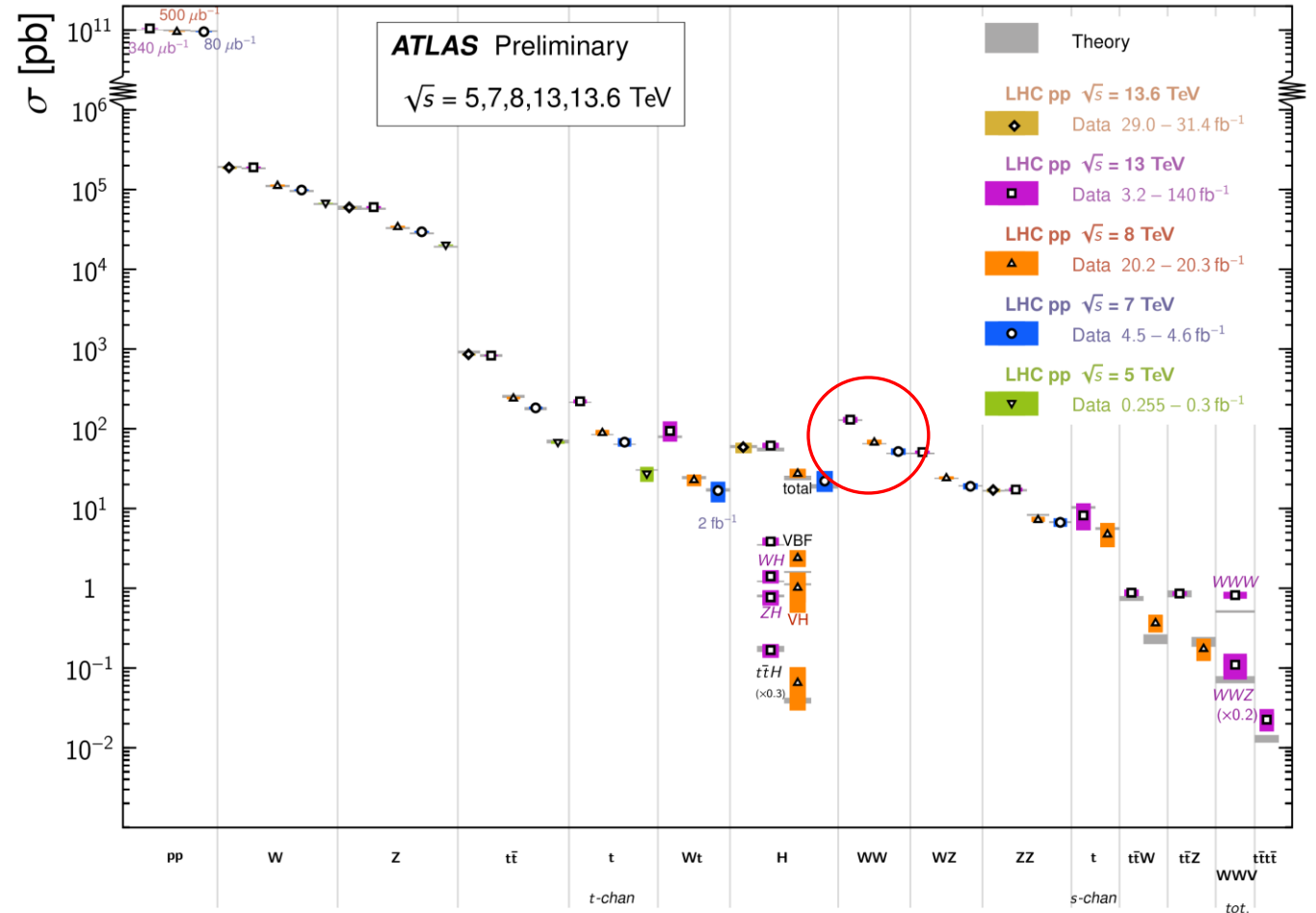


# Standard Model Physics at the LHC

- Standard Model tested across 7 orders of magnitude with good agreement with predictions
- WW process:
  - [Evidence](#) in Run1 at  $\sqrt{s} = 8$  TeV
  - [Measurement](#) in 2015 at  $\sqrt{s} = 8$  TeV
  - [Differential measurement](#) at  $\sqrt{s} = 13$  TeV with partial Run2 ( $36.1 \text{ fb}^{-1}$ )
  - [Differential, EFT and asymmetry](#) at  $\sqrt{s} = 13$  TeV for full Run2 ( $140 \text{ fb}^{-1}$ )
- This analysis (team from Cambridge and Manchester)
  - First partial Run3 WW measurement at  $\sqrt{s} = 13.6$  TeV ( $163.9 \text{ fb}^{-1}$ )
  - Differential measurement with focus on CP-Violation (CPV) and WW polarisation observables
  - Will also perform EFT interpretation

Standard Model Total Production Cross Section Measurements

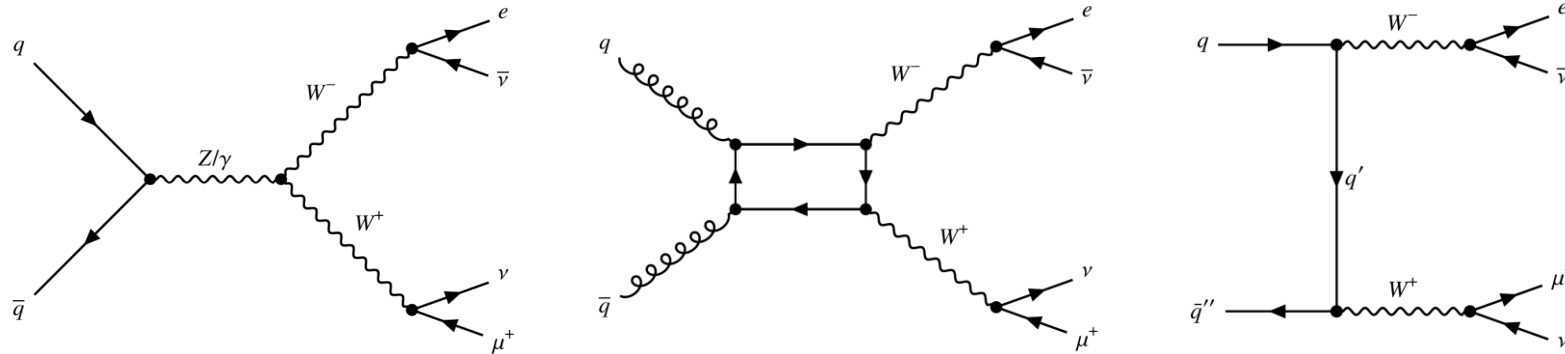
Status: June 2024



[ATL-PHYS-PUB-2024-011](#)

# WW production

- Opposite-sign opposite-flavour WW final state



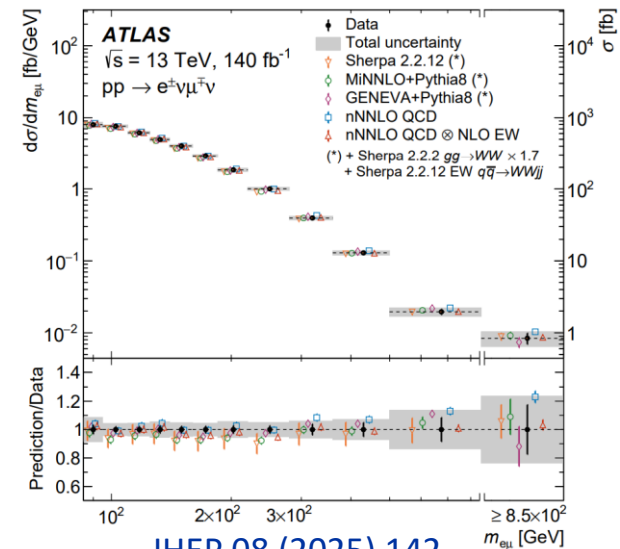
- Predicted cross section (Matrix 2.1 NNLO x NLO EW):  $\sigma(W^+W^-) = 123 \pm 1$  (PDF)  $\pm 2$  (scale) fb

- Full Run2 analysis ( $\sqrt{s} = 13$  TeV,  $140 \text{ fb}^{-1}$ ):

- Inclusive cross-section  $\sigma(W^+W^- \rightarrow e^\pm \nu \mu^\mp \bar{\nu}) = 127 \pm 1$  (stat.)  $\pm 4$  (syst.) fb
- Differential cross section measured as a function of kinematic variables

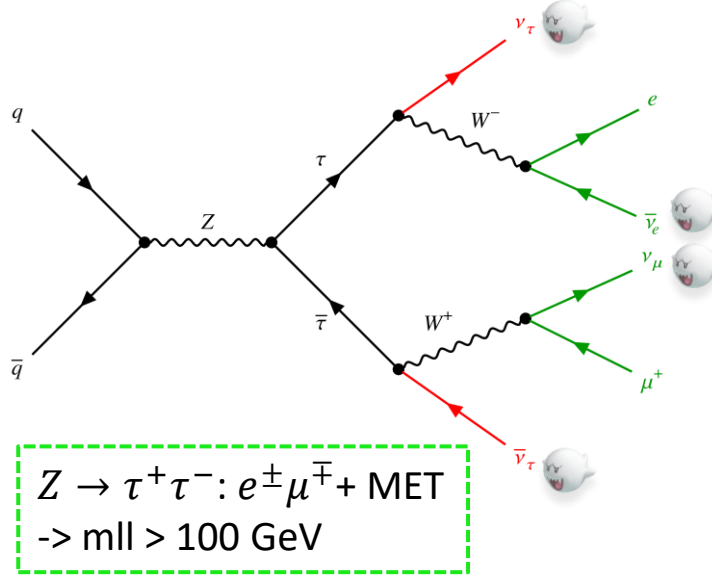
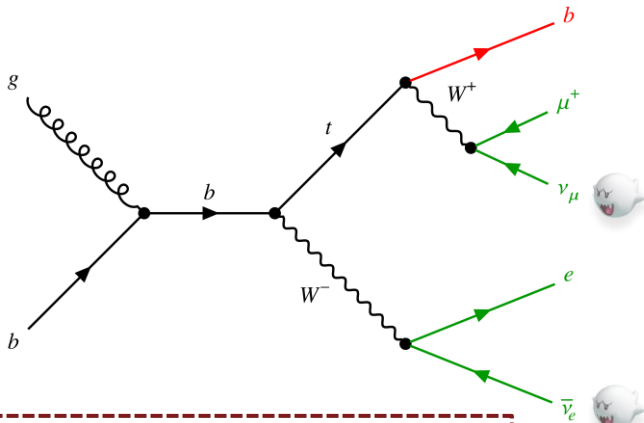
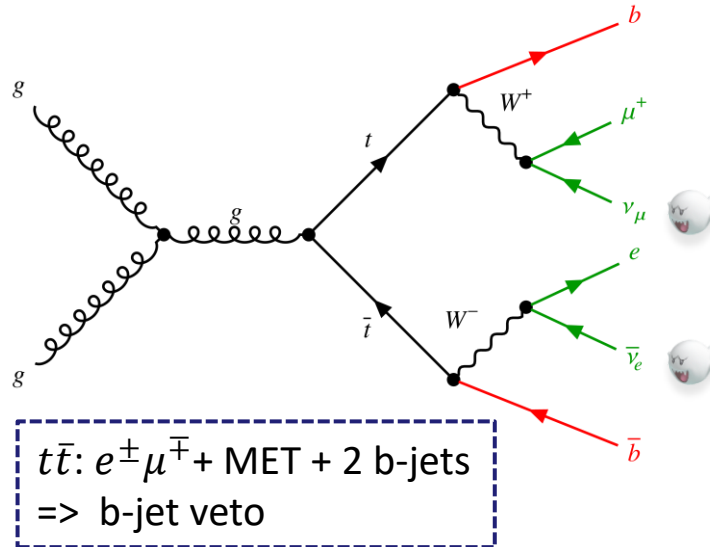
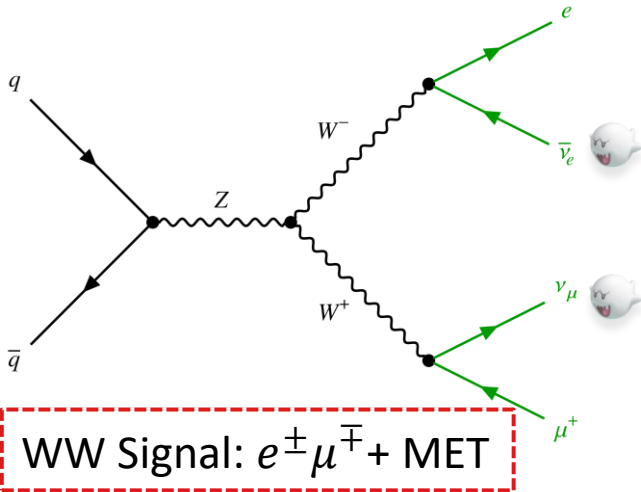
- Partial Run3 analysis ( $\sqrt{s} = 13.6$  TeV,  $163.9 \text{ fb}^{-1}$ ):

- Goal is to unfold variables sensitive to CPV and= WW polarisation
- First look at CP-odd observables (signed  $\Delta\phi(\ell\ell)$ )
- Enhance sensitivity to linear EFT over previous measurements
  - In particular Triple Gauge Coupling



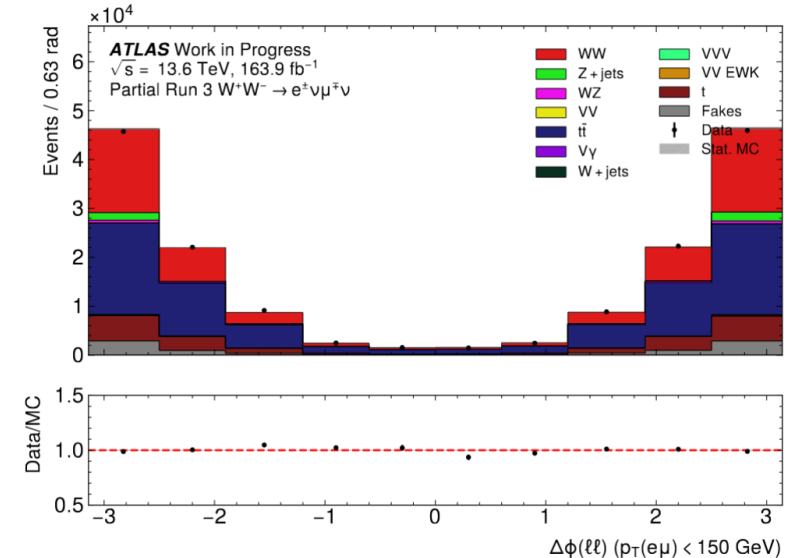
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# Event selection



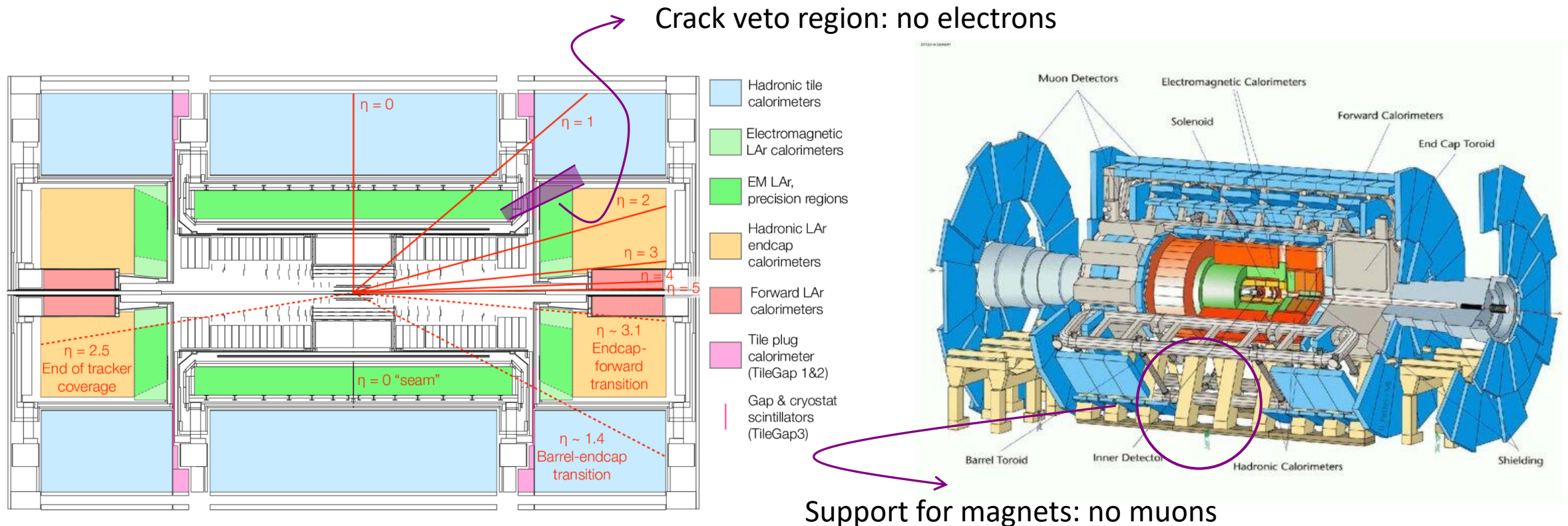
Sample	Yields $\pm$ Stat.	Percentage (%)
WW	$57\,485.7 \pm 77.2$	34.0
Z + jets	$3636.5 \pm 324.4$	2.2
WZ	$2366.5 \pm 9.2$	1.4
VV	$130.6 \pm 1.1$	0.1
$t\bar{t}$	$77\,451.1 \pm 762.8$	45.8
V $\gamma$	$174.1 \pm 25.5$	0.1
W + jets	$100.7 \pm 64.6$	0.1
VVV	$201.1 \pm 0.5$	0.1
VV EWK	$768.0 \pm 9.0$	0.5
Single-top	$19\,748.4 \pm 28.8$	11.7
Fakes	$6877.6 \pm 72.4$	4.1
<hr/>		
Total mc23ade	$168\,940.4 \pm 839.2$	1
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Run 3 Data	$170\,773.0 \pm 413.2$	-
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Data/MC	1.01	-

Good data/MC agreement



# ATLAS detector effects

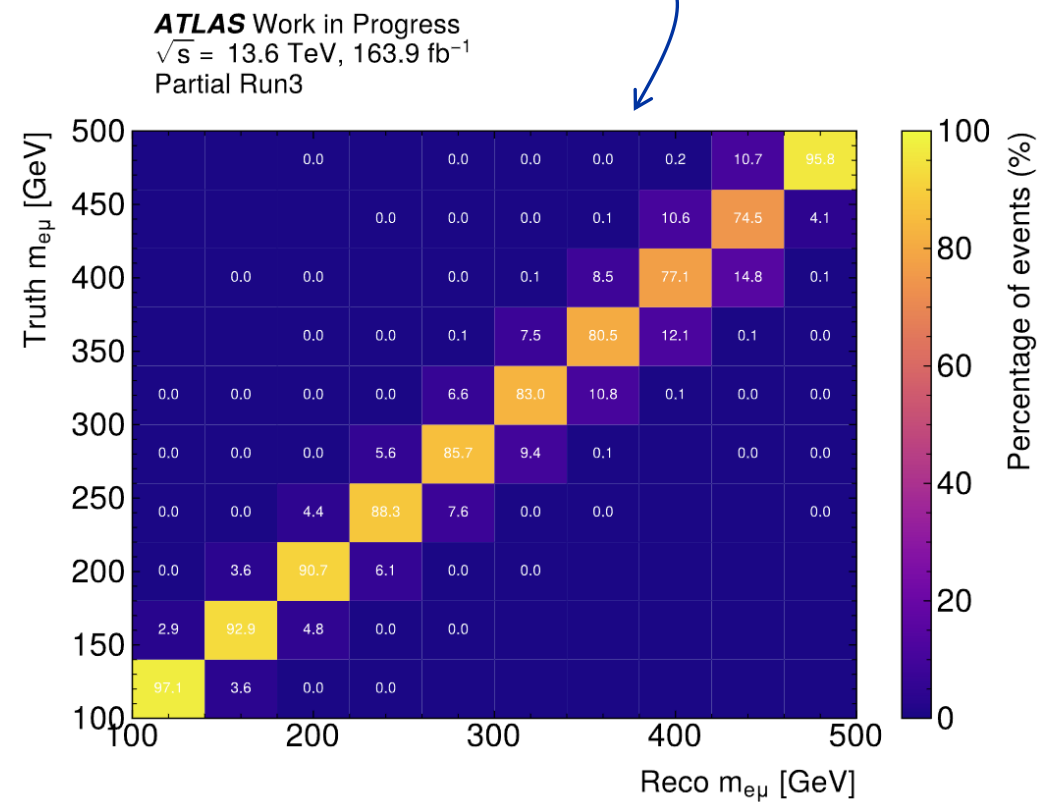
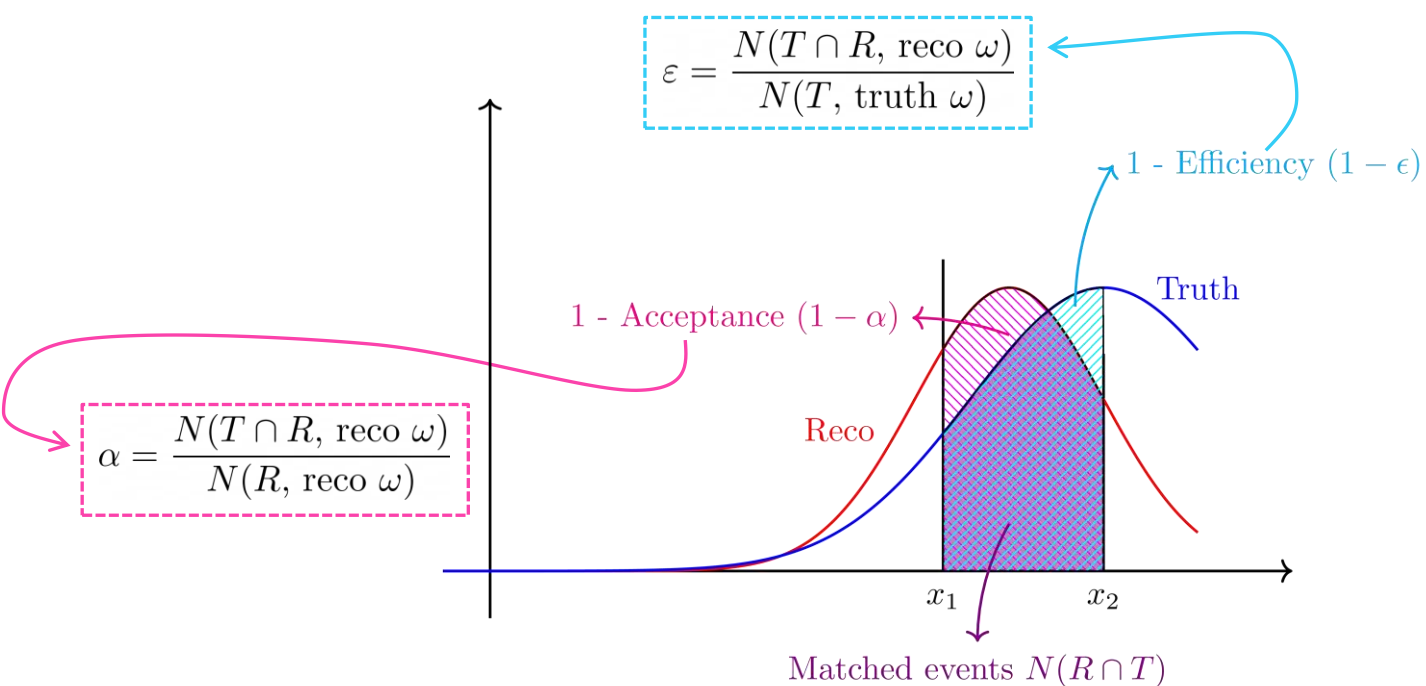
- ATLAS has a finite detector acceptance and resolution
- These effects lead to mismeasurements of the final state objects, which make it harder to compare with the truth-level prediction



# Unfolding



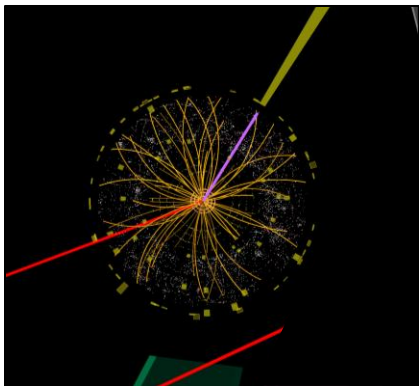
- Unfolding: correcting the measured distribution to account for the detector's effects
- The unfolding procedure can be parametrised as follows:
  - Response matrix: probability of measuring an event in reco given the event is measured in truth
  - Migration matrix: probability of observing both reco and truth
  - Reconstruction efficiency: probability of measuring reco
  - Fiducial acceptance : probability of measuring truth



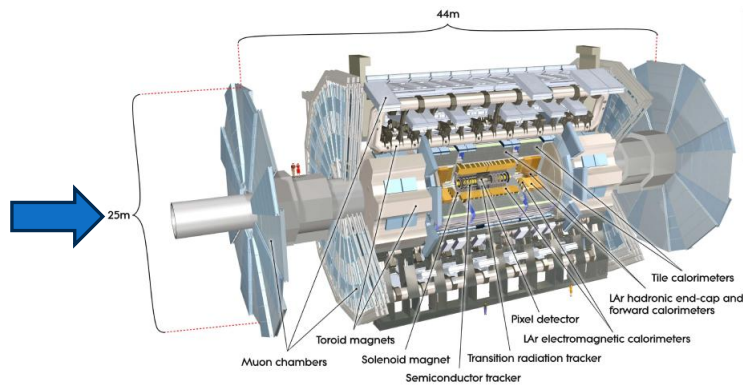
# Reco-level data analysis workflow



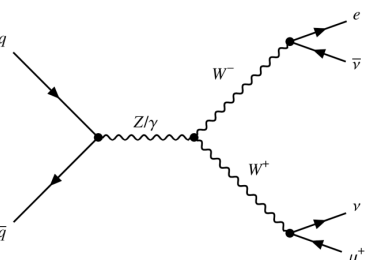
Detector interaction



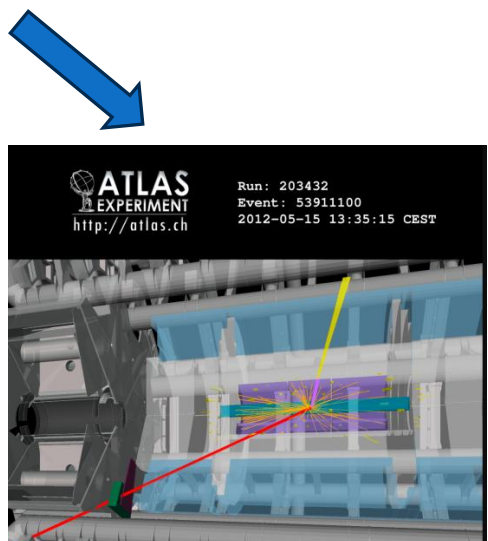
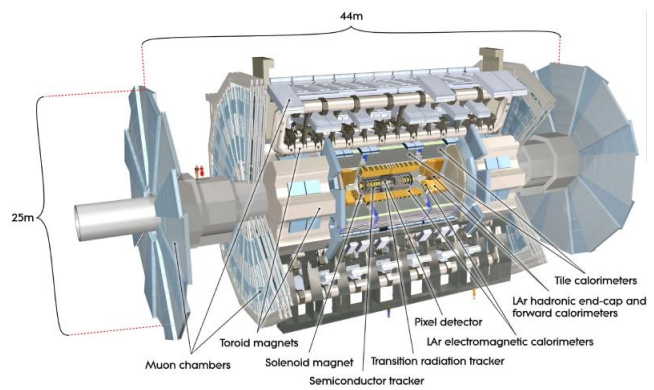
Collision



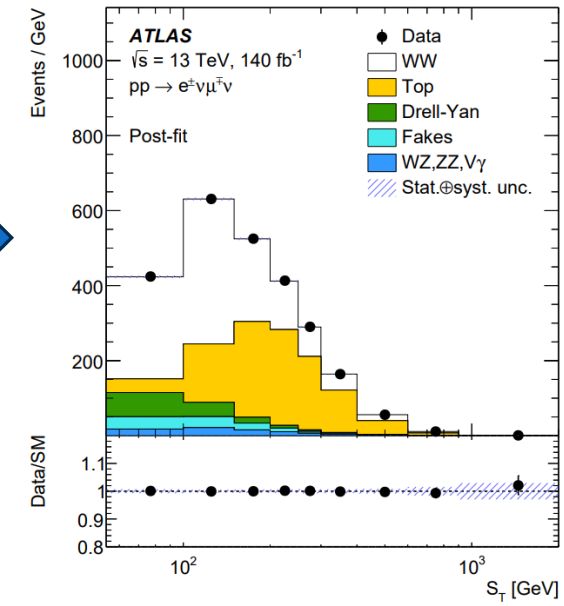
Detector simulation



Physics model

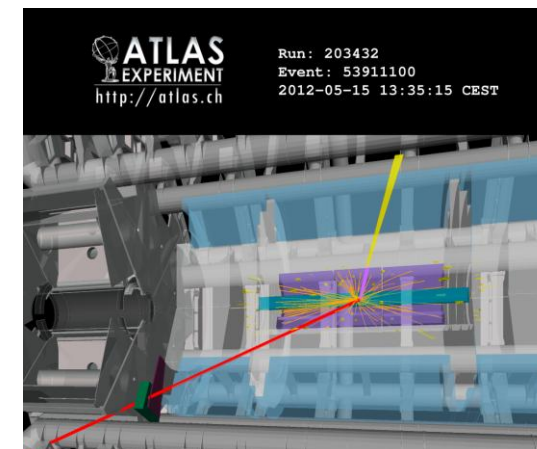
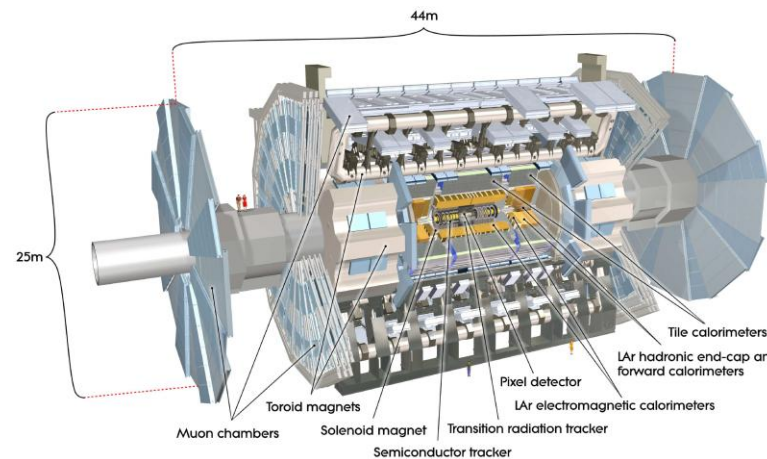


Detector reconstruction



Analysis

# Unfolding analysis workflow

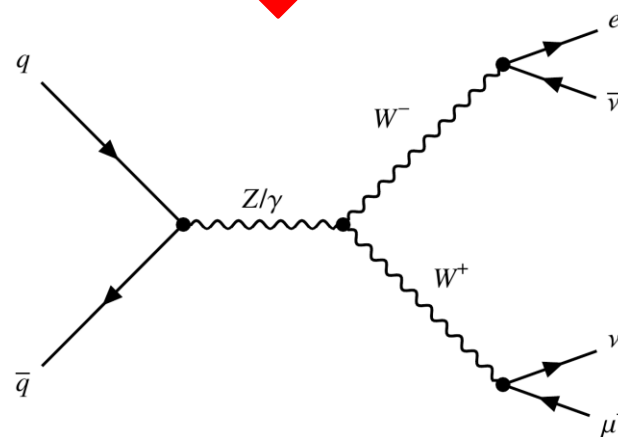
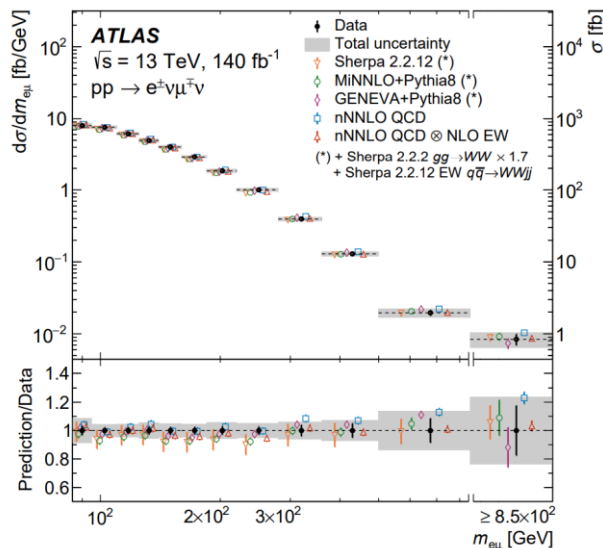


Detector simulation



Detector reconstruction

Unfolding

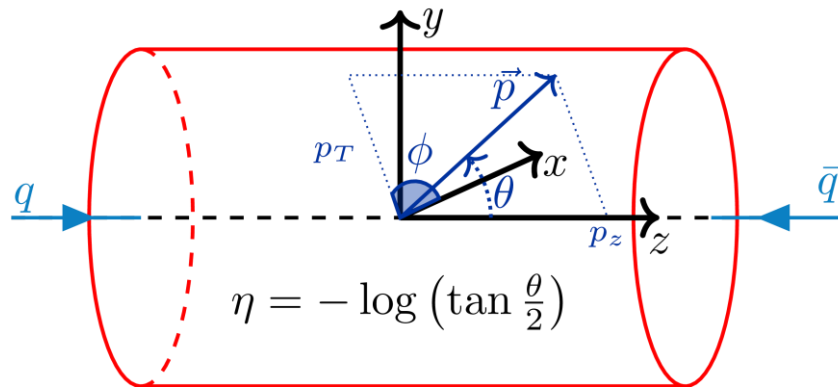


Physics model

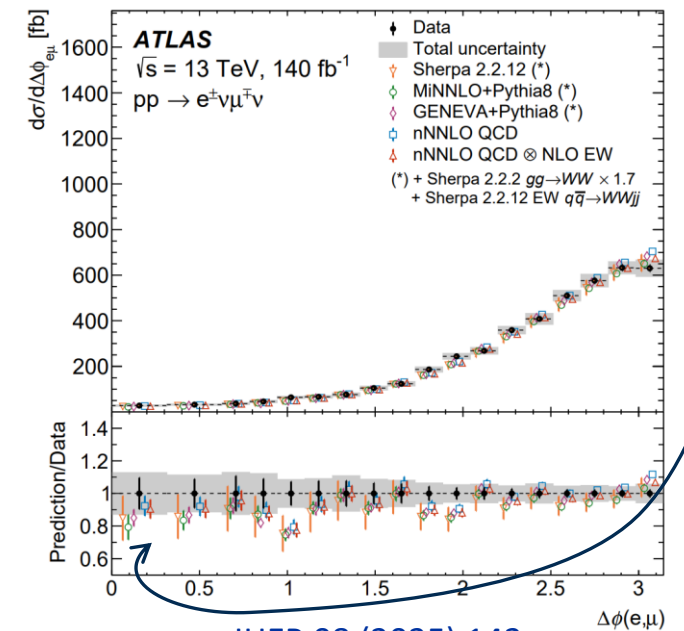
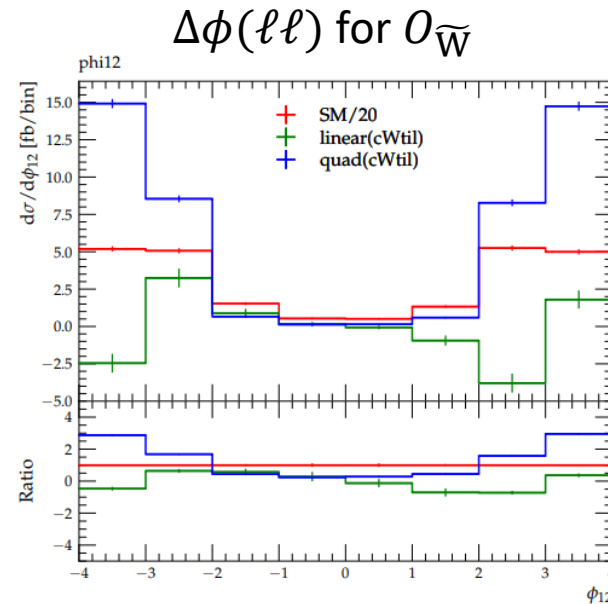
# Variables to unfold

- Unfolding variables which are sensitive to polarisation and potential BSM effects, particularly EFT

Variable	Description	Motivation
$p_T(\ell_1)$	$p_T$ of the leading lepton	Interesting for single-boson polarisation studies
$E_T^{\text{miss}}$	Missing transverse energy	Unfolded in previous $WW$ analyses
$m_{e\mu}$	Invariant mass of the electron-muon pair	Provides sensitivity to EFT and polarisation of the $WW$ system
$p_T(e, \mu)$	Transverse momentum of the electron-muon pair	Provides sensitivity to PDFs, EFT and polarisation of the $WW$ system
$\Delta\eta(\ell\ell)$	Pseudorapidity difference between rapidity-ordered leptons	Sensitive to CP-odd operators
$\Delta\phi(\ell\ell)$	Azimuthal difference between rapidity-ordered leptons	Sensitive to CP-odd operators, showed a mild tension with SM
$p_T(e, \mu)$ vs $\Delta\phi(\ell\ell)$	Double-differential cross section	Study $\Delta\phi(\ell\ell)$ only in the high- $p_T$ region, where EFT effects rise



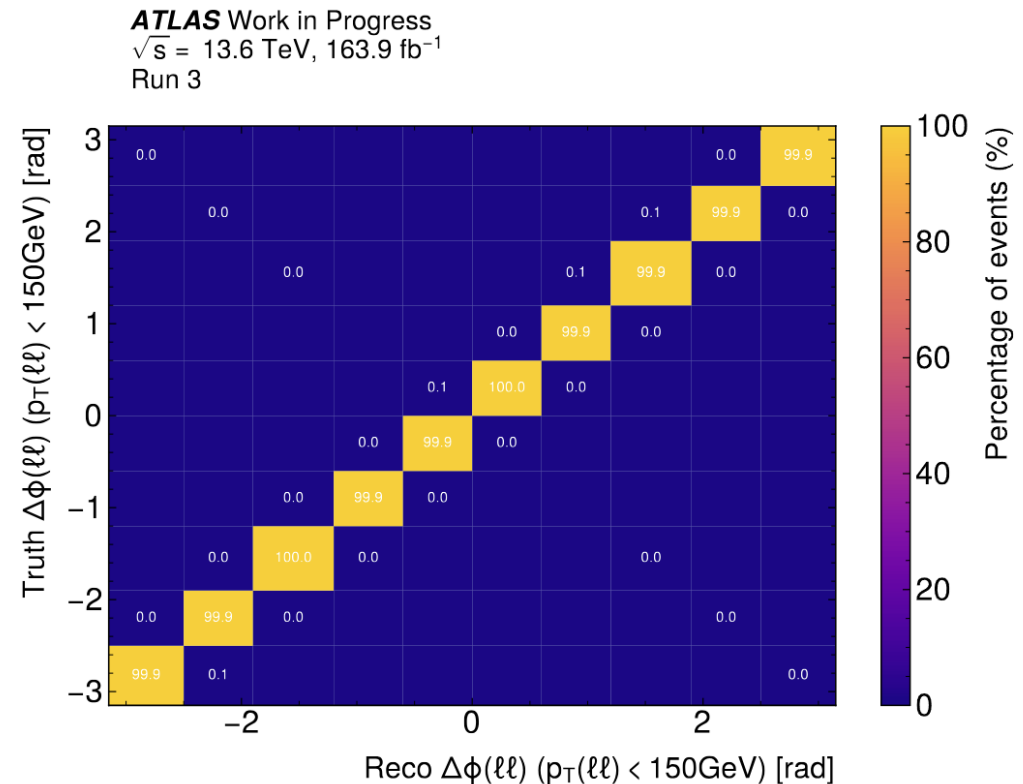
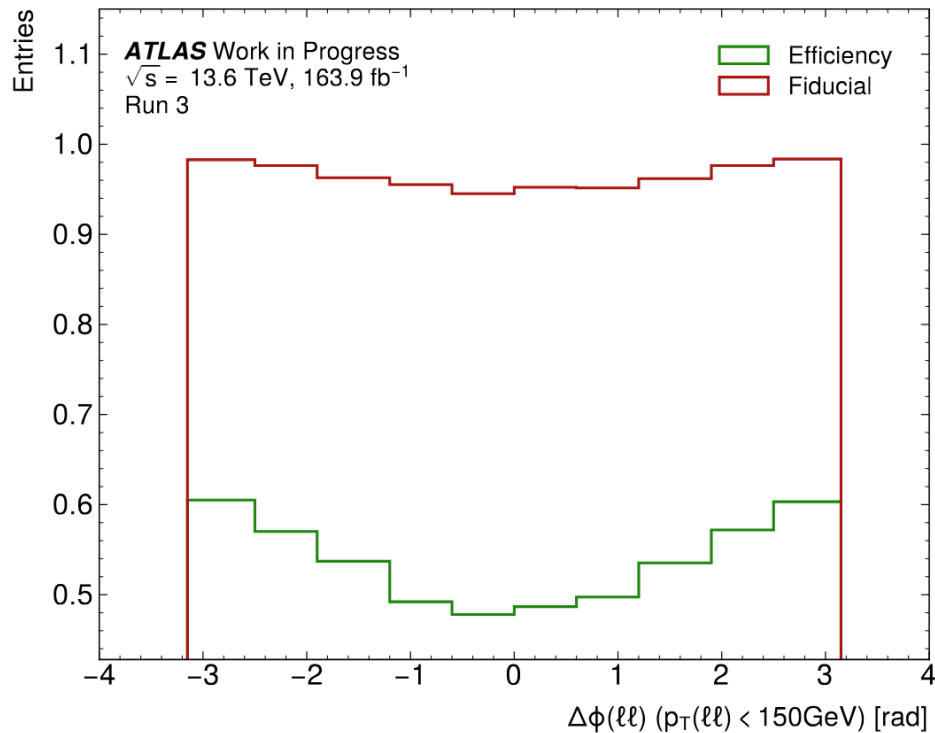
ATLAS coordinate system



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# Unfolding $\Delta\phi(\ell\ell)$ vs $p_T(\ell\ell)$

- Low- $p_T(\ell\ell)$  bin:  $0 \leq p_T(\ell\ell) \leq 150$  GeV
- Bin optimisation:
  - $\geq 70\%$  diagonal in Migration Matrix (limit migration) and  $\geq 400$  signal events per bin
  - Stack plot (left), fiducial and efficiency corrections (middle) and MM (right)



# Signal truth: variations

- Renormalisation and factorisation scale:

- $\mu_R$  affects  $\alpha_S$  directly  $\alpha_S(\mu_R^2) = \frac{\alpha_S(\mu_0^2)}{1 + \beta_0 \alpha_S(\mu_0)^2 \ln \frac{\mu_R^2}{\mu_0^2}}$
- $\mu_F$  affects PDFs

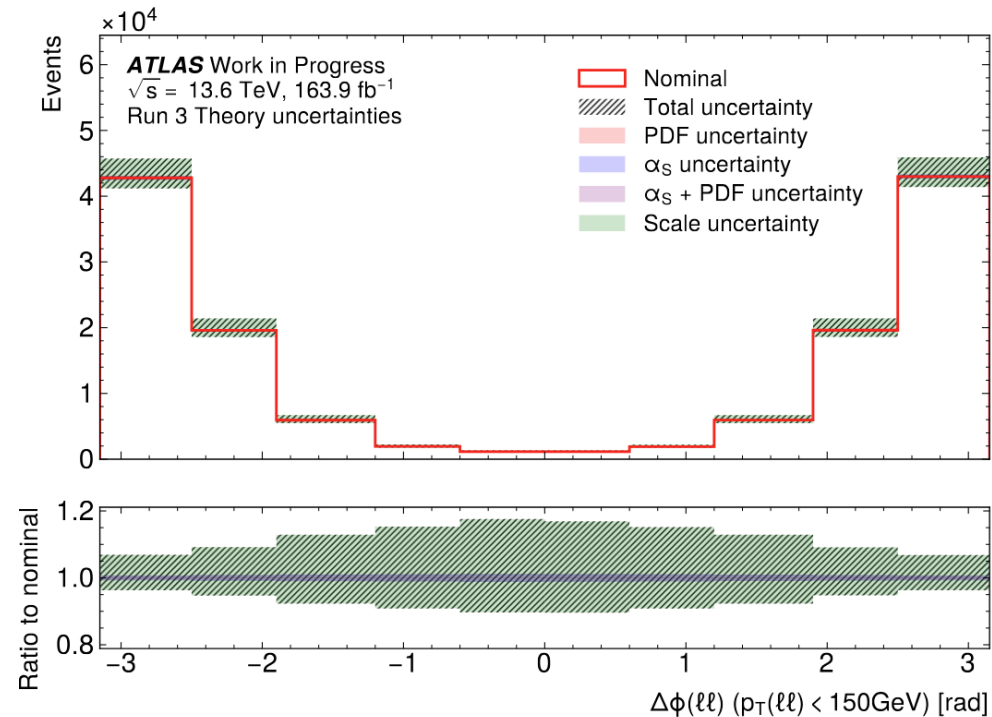
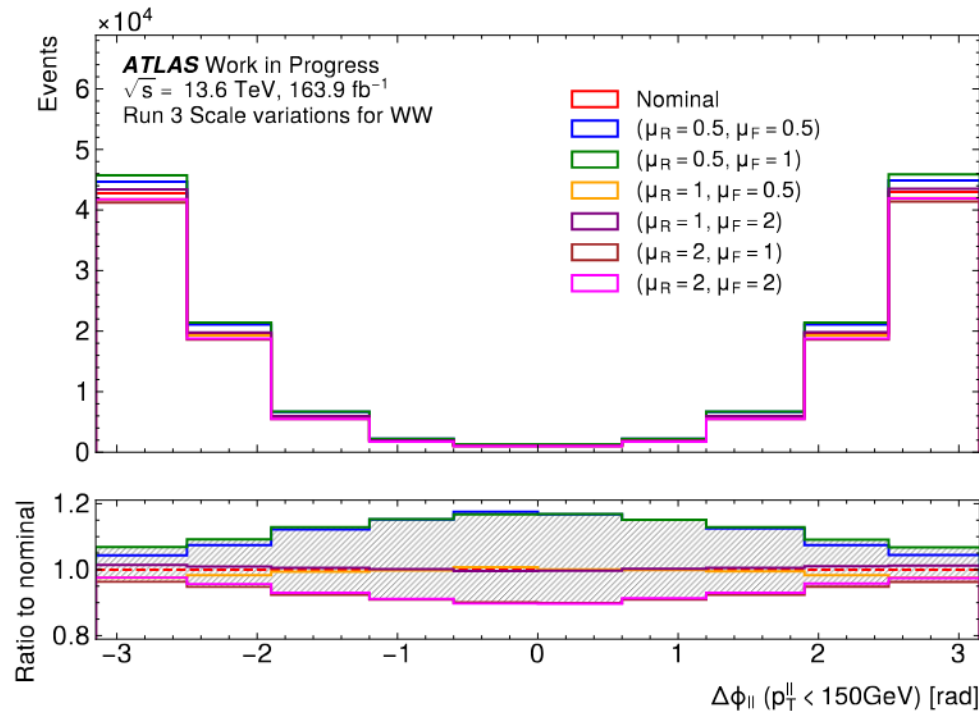
- Evaluated as 7-point envelope

$$\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); (1, 1); (2, 1); (1, 2); (2, 2)\}$$

- PDF and  $\alpha_S$ :

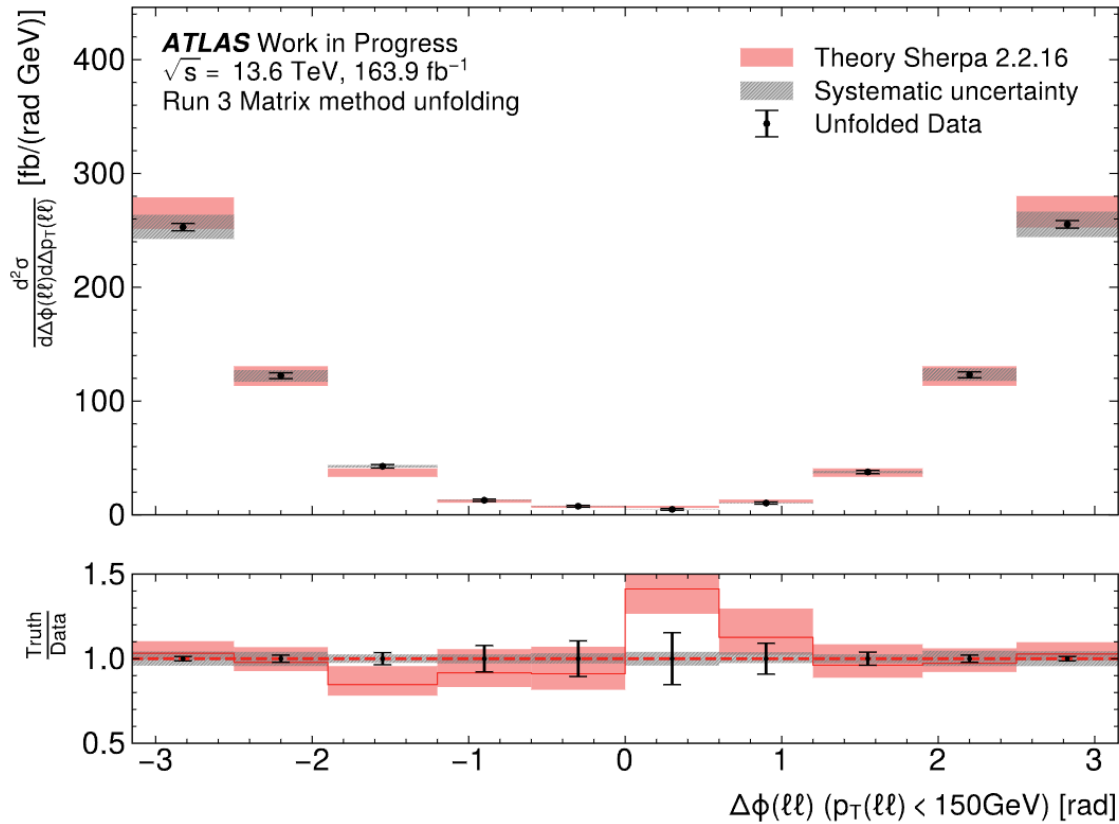
- Using [PDF4LHC21 recommendations](#)
  - PDF uncertainty evaluated through 100 variations
  - $\alpha_S$  varied between 0.118 (nominal)  $\pm$  0.001
  - Two uncertainties combined and symmetrised

- Scale uncertainty dominates

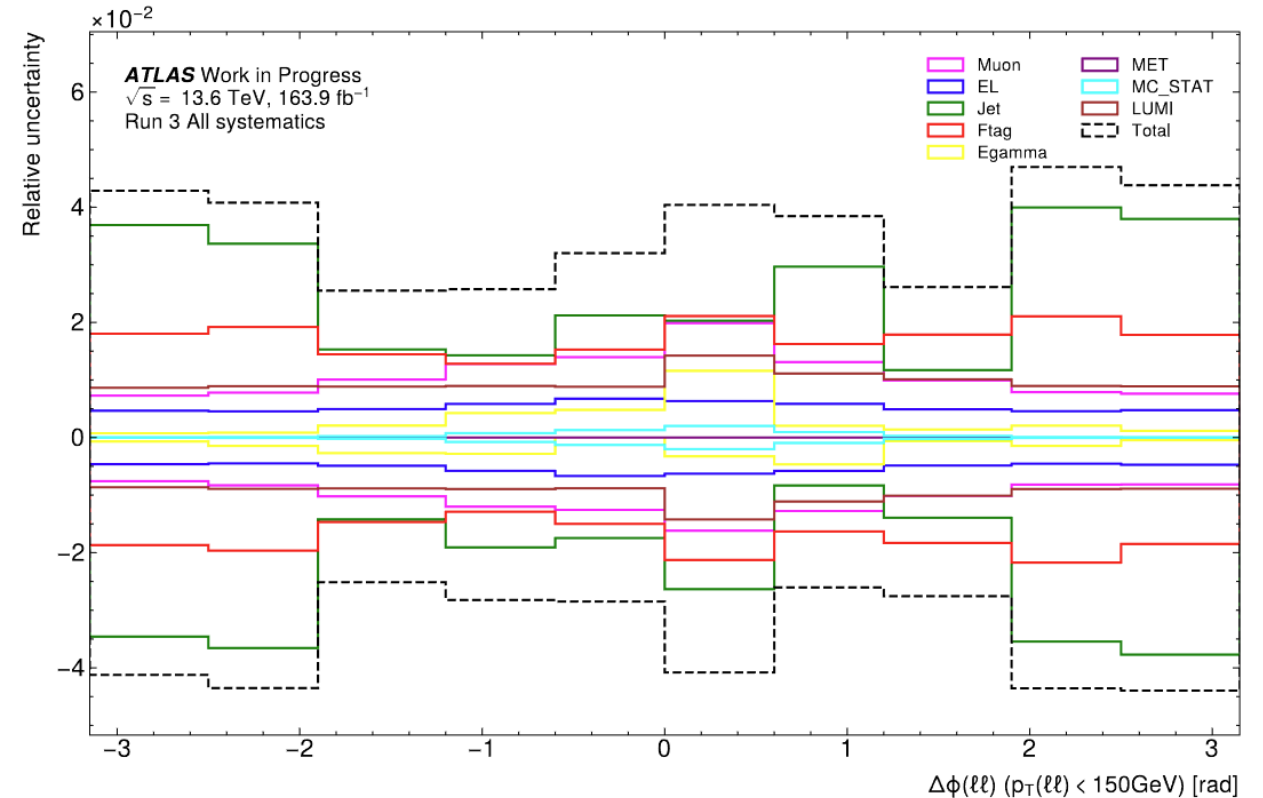


# Data unfolding w/ systematics

Good agreement with Sherpa theory prediction



Experimental systematic uncertainty less than 5% in every bin



# Summary and conclusions

- WW process is sensitive to potential EFT and CP-violating effects
- Presented first look into OSOF WW differential measurement with ATLAS Run3 data
  - 163 fb<sup>-1</sup> of data -> highest recorded to date
  - Focus on enhancing sensitivity to linear EFT over previous measurements, particularly on triple gauge coupling
  - First measurement of CP-odd sensitive variables (signed  $\Delta\phi(\ell\ell)$ )
  - Will extend to neural network observables to probe CP-violating effects
- Good agreement between theory and prediction for CP-odd sensitive variables
- Analysis nearing ATLAS internal review, stay tuned for publication this Summer!



Thank you for your attention

Alberto Plebani



# Event selection and yields



- Event selection mimics Run2 selection as close as possible, except:
  - GN2 @90% eff (before was DL1r @85%)
  - Updated isolation and identification WPs

Event selection		
Exactly 2 opposite-charged leptons ( $1e$ and $1\mu$ ), $p_T > 27\text{GeV}$ $ \eta(e)  \leq 2.47$ with crack veto $1.37 \leq  \eta(e)  \leq 1.52$ $ \eta(\mu)  \leq 2.5$		
Electrons: ID: TightLH, Isol: Tight_VarRad Muons: ID: Medium, Isol: PFlowTight_VarRad		
$ d_0/\sigma_{d_0}  < 5(e), 3(\mu),  z_0 \cdot \sin\theta  < 0.5 \text{ mm}$		
No additional electron with: $p_T > 10 \text{ GeV}$ , $ \eta  \leq 2.47$ , ID: LooseBLayerLH, Isol: Loose_VarRad		
No additional muon with: $p_T > 10 \text{ GeV}$ , $ \eta  \leq 2.7$ , ID: Loose, Isol: NonIso		
Inclusive in jet multiplicity for $p_T(j) > 30 \text{ GeV}$ and $ \eta(j)  \leq 4.5$ JVT and fJVT applied $N_{b-jets} @90\% \text{ eff. (GN2 PCBT} > 1) = 0$		
$m_{e\mu} > 100 \text{ GeV}$ Veto on non-prompt leptons		
Triggers:		
mc23a	mc23d	mc23e
HLT_e26_lhtight_ivarloose_L1EM22VHI HLT_e60_lhmedium_L1EM22VHI HLT_e140_lhloose_L1eEM26M HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH	HLT_e26_lhtight_ivarloose_L1eEM26M HLT_e60_lhmedium_L1eEM26M HLT_e140_lhloose_L1EM22VHI HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH	HLT_e26_lhtight_ivarloose_L1eEM26M HLT_e60_lhmedium_L1eEM26M HLT_e140_lhloose_L1EM22VHI HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH

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VV	$130.6 \pm 1.1$	0.1
$t\bar{t}$	$77\,451.1 \pm 762.8$	45.8
$V\gamma$	$174.1 \pm 25.5$	0.1
W + jets	$100.7 \pm 64.6$	0.1
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VV EWK	$768.0 \pm 9.0$	0.5
Single-top	$19\,748.4 \pm 28.8$	11.7
Fakes	$6877.6 \pm 72.4$	4.1
Total mc23ade	$168\,940.4 \pm 839.2$	1
Run 3 Data	$170\,773.0 \pm 413.2$	-
Data/MC	1.01	-

# Fake lepton background

- Small but not insignificant contribution of fake leptons to the signal region (<10%), largely from W+jets.
- Data-driven methods were used to calculate the fake lepton background.
- The nominal fake lepton background was estimated using the asymptotic matrix method.
- Fake factor method also used to provide a systematic variation.
- Fake lepton efficiencies were calculated in a Z+jets CR
- Fake efficiencies also calculated in a ttbar CR to provide a systematic variation for fake leptons originating in heavy flavour decays.

Object	Requirement	Criteria
Lepton	$p_T$	> 27 GeV (Leading electron), > 10 GeV (Sub-leading electron) OR > 25 GeV (Leading muon), > 10 GeV (Sub-leading muon)
	$ \eta $	< 2.47 (electron), < 2.5 (muon)
	Identification	LooseBLayerLH (electron), Loose, (muon)
	Isolation	Loose_VarRad (electron), NonIso (muon)
Track Parameters	$ d_0 \text{ significance}  < 5$ (electron), $ z_0 \sin\theta  < 0.5$ (electron)	
Overlap Removal	passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR	
Jet	$p_T$	> 35 GeV
	$ \eta $	< 2.5
	b-tagging	GN2 PCBT > 1 (equivalent to 90% eff. WP), $ \eta  < 2.5$
Vertex Tagging	JVT FixedEffPt WP	
Event	Trigger	HLT_e26_lhtight_ivar loose.L1EM22VHI OR HLT_e60_lhmedium.L1EM22VHI OR HLT_e140_lhloose.L1EM22VHI OR HLT_e140_lhloose.L1EM22VHI OR HLT_mu24_ivarmedium.L1MU14FCH OR HLT_mu50.L1MU14FCH
	Trigger-matching	Tag-lepton required to be matched to at least one trigger decision
	Leptons	2 leptons, same flavour, opposite sign pair, no additional electrons of $p_T > 10$ GeV, LooseBLayerLH Identification and Loose_VarRad Isolation, no additional muons of $p_T > 10$ GeV, Loose Identification and NonIso Isolation
	Number of b-jets	0
	$m_{ll}$ mass window	$ m_Z - m_{ll}  < 10$ GeV

Z+jets CR definition

# Fake leptons VR



- Fakes VR:

- Orthogonal to SR due to same-sign requirement on  $\ell\ell$  pair
- Good agreement in both normalisation and shape for mc23a

Sample	Yields	Percentage (%)
WW	$1.6 \pm$	0.1
ttbar	$282.2 \pm$	15.1
Fakes	$827.6 \pm$	44.3
VV	$596.3 \pm$	31.9
Single top	$4.0 \pm$	0.2
Z+jets	$57.8 \pm$	3.1
VVV	$17.4 \pm$	0.9
VV+jets	$83.3 \pm$	4.5
Vgamma	$0.1 \pm$	0.0
Total	$1870.2 \pm$ nan	1
Data	$1870.0 \pm$ 43.2	-
Data/MC	1.00	-

Object	Requirement	Criteria
Lepton	$p_T$	$> 27$ GeV (electron), $> 27$ GeV (muon)
	$ \eta $	$< 2.47$ (electron), $< 2.5$ (muon)
	Identification	TightLH (electron), Medium, (muon)
	Isolation	Tight_VarRad (electron), PflowTight_VarRad (muon)
	Track Parameters	$ d_0 \text{ significance}  < 5$ (electron), $ d_0 \text{ significance}  < 3$ (muon), $ z_0 \sin\theta  < 0.5$
Overlap Removal	passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR	
Jet	$p_T$	$> 30$ GeV
	$ \eta $	$< 4.5$
	b-tagging	GN2 PCBT $> 1$ (equivalent to 90% eff. WP), $ \eta  < 2.5$
Vertex Tagging	JVT FixedEffPt WP	
Event	Trigger	HLT_e26_lhtight_ivarlose_L1EM22VHI OR HLT_e60_lhmedium_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_mu24_ivarmedium_L1MU14FCH OR HLT_mu50_L1MU14FCH
	Leptons	1 electron and 1 muon of same sign, no additional electrons of $p_T > 10$ GeV, LooseBLayerLH Identification and Loose_VarRad Isolation, no additional muons of $p_T > 10$ GeV, Loose Identification and NonIso Isolation
	Number of b-jets	0
	$m_{e\mu}$	$> 85$ GeV

# ttbar background

- Ttbar constitutes the largest background in the signal region
- A data-driven approach known as b-tag counting was used to estimate it.
- This approach was adopted in the Run 2 WW analysis.
- Ttbar yields are derived from data in 2b-jet and 1b-jet CRs
- The yield in the 0b-jet signal region is estimated by exploiting correlation effects between the 1b and 2b CR yields

$$\begin{aligned} N_{1b}^{t\bar{t}} &= N_{1b} - N_{1b}^{\text{others}} = N_{\geq 0b}^{t\bar{t}} \cdot 2\varepsilon_b (1 - C_b \varepsilon_b) , \\ N_{2b}^{t\bar{t}} &= N_{2b} - N_{2b}^{\text{others}} = N_{\geq 0b}^{t\bar{t}} \cdot C_b \varepsilon_b^2 , \\ N_{0b}^{t\bar{t}} &= N_{\geq 0b}^{t\bar{t}} \cdot \left( 1 - 2\varepsilon_b + C_b \varepsilon_b^2 \right) , \end{aligned} \quad \begin{aligned} N_{0b}^{t\bar{t}} &= \frac{C_b \left( N_{1b}^{t\bar{t}} + 2N_{2b}^{t\bar{t}} \right)^2}{4 N_{2b}^{t\bar{t}}} - N_{1b}^{t\bar{t}} - N_{2b}^{t\bar{t}} , \\ C_b &= 4 \cdot N_{\text{MC}}^{t\bar{t}} N_{2b,\text{MC}}^{t\bar{t}} / \left( N_{1b,\text{MC}}^{t\bar{t}} + 2 \cdot N_{2b,\text{MC}}^{t\bar{t}} \right)^2 , \end{aligned}$$

- ttbar VR:

- Extra cuts at  $m_{lj} < 140 \text{ GeV}$  and  $\Delta\phi(e\mu) < \pi/2$

- Good agreement in shape and normalisation

Sample	Yields	Percentage (%)
WW	$223.1 \pm$	17.6
ttbar	$810.0 \pm$	64.0
Fakes	$51.8 \pm$	4.1
VV	$25.5 \pm$	2.0
Single top	$146.1 \pm$	11.5
Z+jets	$5.5 \pm$	0.4
VVV	$1.2 \pm$	0.1
VV+jets	$2.9 \pm$	0.2
Vgamma	$0.1 \pm$	0.0
Total	$1266.3 \pm$	95.2
Data	$1357.0 \pm$	36.8
Data/MC	1.07	-

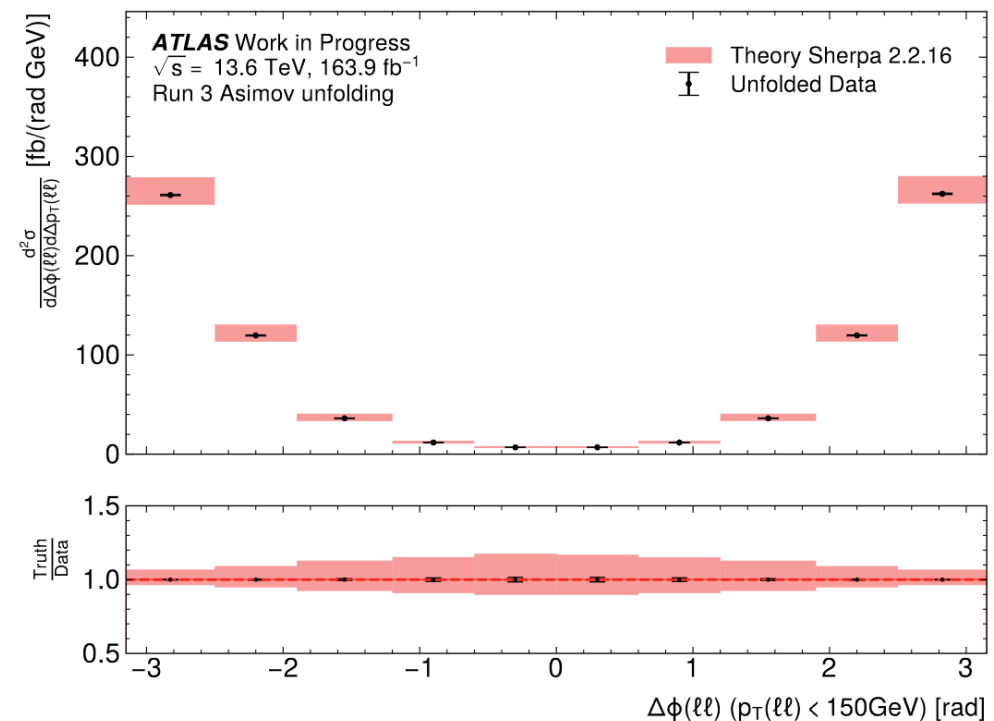
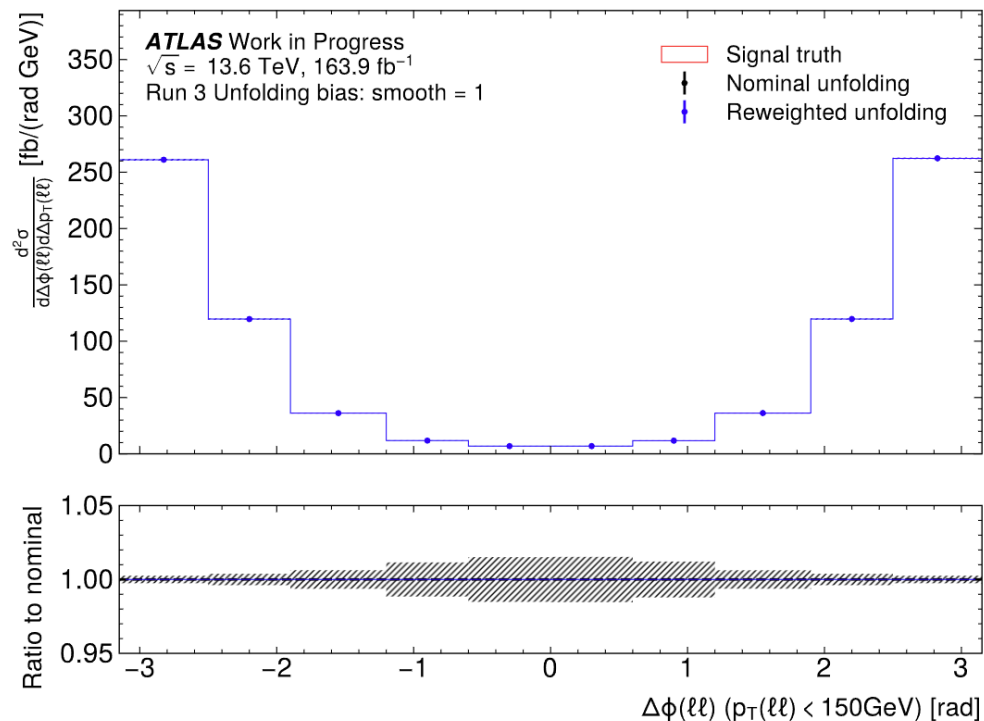
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Overlap Removal	passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR	
Jet	$p_T$	$> 30 \text{ GeV}$
	$ \eta $	$< 4.5$
	b-tagging	GN2 PCBT $> 1$ (equivalent to 90% eff. WP), $ \eta  < 2.5$
	Vertex Tagging	JVT FixedEffPt WP
Event	Trigger	HLT_e26_lhtight_ivarloose_L1EM22VHI OR HLT_e60_lhmedium_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_mu24_ivarmedium_L1MU14FCH OR HLT_mu50_L1MU14FCH
	Leptons	1 electron and 1 muon of opposite charge, no additional electrons of $p_T > 10 \text{ GeV}$ , TightLH Identification and Tight_VarRad Isolation, no additional muons of $p_T > 10 \text{ GeV}$ , Medium Identification and PflowTight_VarRad Isolation
	Number of b-jets	0
	$m_{e\mu}$	$> 85 \text{ GeV}$
	$m_{lj}$	$< 140 \text{ GeV}$
	$\Delta\phi_{e\mu}$	$< \pi/2$

# Unfolding: bias uncertainty and closure



- Data-driven bias uncertainty
  - Obtained by reweighting MC to reflect data

- Closure test:
  - Unfold MC-to-MC
  - Perfect closure observed



# Unfolding setup

- Unfolding performed using [custom-made IBU script](#) with RooUnfold
- Bin optimised so that each bin has at least 500 entries **and** at least 70% in diagonal of the migration matrix (details in backup)
- Performed unfolding both MC-to-MC (closure test) and using real Data
  - For fakes, using both MC and data-driven fakes estimation
  - Plan to include data-driven estimation also for ttbar
- Uncertainties:
  - All instrumental systematics added
  - Currently working on theoretical (PDF and scale)
- Added theoretical uncertainties on the truth distribution

# Theory: scale uncertainties calculation



- Scale variations:
  - 7-point envelope:
    - $\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); \text{Nominal } (1, 1); (2, 1); (1, 2); (2, 2)\}$
- Ex. for signal  $W^+W^- \rightarrow e^\pm\nu\mu^\mp\nu$  (701050, *Sh\_llvv\_os*):
  - Take syst-variated reco and truth for each pair of  $\mu_R$  and  $\mu_F$  and calculate MM, efficiency, and acceptance
  - Take NOSYS for backgrounds to subtract from data
  - Unfold the syst-variated truth
  - Get the uncertainty
- Repeat for all processes (WZ, ttbar, Zee, and so on) independently
  - Still not fully implemented

# Theory: PDF uncertainties calculation



- Working with the 100 NNPDF variations, combining them according to [PDF4LHC21 recs](#):

- (N\_eig = 100)

$$\delta^{\text{pdf}} \mathcal{F} = \sqrt{\sum_{k=1}^{N_{\text{eig}}} (\mathcal{F}^{(k)} - \mathcal{F}^{(0)})^2}$$

- Two extra variations for  $\alpha_S = 0.117$  and  $\alpha_S = 0.119$  (nominal is  $\alpha_S = 0.118$ )
  - Combining the two:
- $$\delta^{\alpha_s} \sigma = \frac{\sigma(\alpha_s = 0.119) - \sigma(\alpha_s = 0.117)}{2},$$

- $$\delta^{\text{PDF}+\alpha_s} \sigma = \sqrt{(\delta^{\text{pdf}} \sigma)^2 + (\delta^{\alpha_s} \sigma)^2}.$$

- Consider together all processes with the same PDF set
  - Ex. take all Sherpa processes which use NNPDF3.0 NNLO Hessian PDF set, change reco and truth (only if signal) and calculate MM, efficiency, and acceptance. Use the syst-variated estimation of the backgrounds (if affected, if not consider NOSYS) and unfold
    - Obtain one uncertainty for every group of PDF ([NNPDF3.0 NLO Hessian](#), [NNPDF3.0 NLO 4FS](#), [NNPDF3.0 NLO](#), [NNPDF3.0 NNLO Hessian](#), [NNPDF3.0 NNLO 4FS](#))
  - Currently implemented only for signal, working on adding others

# Theory uncertainties



DSID	Process	Generator	Nominal Hessian PDF	PDF set	PDF for scale	PDF set for scale	Scale variations names
522024	$t\bar{t}Z(\rightarrow e\bar{e})$	MadGraph	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303000	NNPDF NLO Hessian	MUR10_MUF20
522028	$t\bar{t}Z(\rightarrow \mu\bar{\mu})$	MadGraph	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303000	NNPDF NLO Hessian	MUR10_MUF20
522032	$t\bar{t}Z(\rightarrow \tau\bar{\tau})$	MadGraph	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303000	NNPDF NLO Hessian	MUR10_MUF20
525955	$tWZ$	MadGraph	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303000	NNPDF NLO Hessian	MUR10_MUF20
545027	$tZq$	MadGraph	93700 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40_4FS	260400	NNPDF NLO 4FS	MUR10_MUF20
545028	$\bar{t}Zq$	MadGraph	93700 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40_4FS	260400	NNPDF NLO 4FS	MUR10_MUF20
601230	$t\bar{t}$	PowhegPythia	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	260000	NNPDF NLO	MUR1_MUF2
601354	$tW$	PowhegPythia	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	260000	NNPDF NLO	MUR1_MUF2
700772	$\tau\tau\gamma$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700792	$Z \rightarrow \tau\bar{\tau}$ (+B)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700793	$Z \rightarrow \tau\bar{\tau}$ (+C)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700794	$Z \rightarrow \tau\bar{\tau}$ (+LF)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700901	$Z \rightarrow \tau\bar{\tau}$ low_Mll (+B)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700902	$Z \rightarrow \tau\bar{\tau}$ low_Mll (+C)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700903	$Z \rightarrow \tau\bar{\tau}$ low_Mll (+LF)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700997	$t\bar{t}W$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701000	$lllljj$ (EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701005	$lllvjj$ (EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701010	$llvvjj$ (OS) (EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701020	$lllljj$ (Int. EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701025	$lllvjj$ (Int. EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701030	$llvvjj$ (OS) (Int. EW)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701040	$llll$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701045	$lllv$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701050	$lvlv$ (OS)	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701085	$Z(\rightarrow qq)Z(\rightarrow ll)$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701090	$Z(\rightarrow bb)Z(\rightarrow ll)$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701105	$W(\rightarrow qq)Z(\rightarrow ll)$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
701165	$ll\gamma jj$	Sherpa	93300 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40	303200	NNPDF NNLO Hessian	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700866	$WWW \rightarrow llvvv$	Sherpa	93700 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40_4FS	261400	NNPDF NNLO 4FS	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2
700867	$WZZ \rightarrow llvvv$	Sherpa	93700 (40 + 2 for $\alpha_S$ )	PDF4LHC21_40_4FS	261400	NNPDF NNLO 4FS	MUR1_MUF2_PDF303200_PSMUR1_PSMUF2

# Iterative Bayesian Unfolding

- Assume an initial hypothesis for the probability density of the truth distribution  $f(t)$ 
  - $f(t) = \int dr g(r) P(t|r)$ , with  $g(r)$  taken from data and  $P(t|r)$  taken from MC simulations
  - $P(t|r)$  is then updated iteratively as

- The  $n^{th}$  step is obtained as 
$$P(t|r) = \frac{P(r|t)f(t)}{\int f(t)P(r|t)dt} = \frac{P(r|t)f(t)}{g(r)}$$

$$f^{n+1}(t) = \int f^r(t) \frac{g(r)_{\text{data}}}{g^n(r)} P(r|t) dt$$

- Procedure repeated until difference between  $f^{n+1}(t)$  and  $f(t)$  is less than a certain threshold

- Implemented bootstrap technique in unfolding calculation to estimate statistical uncertainty
- Error comparison:
  - Stat\_uncertainty is the error coming from the “nominal” unfolding
  - Bootstrap\_error is the one coming from the bootstrap
  - Comparable, with bootstrap being usually smaller

```
(Pdb) print(np.array(stat_uncertainty))
[39.66092158 35.32825551 46.28077764 59.5925267 71.00373422 80.09907369
 86.42675813 84.24197714 88.01504912 89.05609265 87.59173586 83.66074768
 81.72525735 77.31381775 77.15241043 73.69661204 65.25220743 60.70280759
 54.10084857 48.68811855 46.06392141 40.08269998 36.10017056 28.05210092
 27.99039308 25.7299486 30.99368253]
(Pdb) print(np.array(bootstrap_error))
[38.44243091 35.37961289 45.79619605 59.46766351 72.612459 78.36038555
 87.00428931 82.43005963 85.84026613 87.6244471 85.96676988 84.16738236
 82.73307236 76.96109972 73.74238683 76.0298312 65.82961615 61.14980692
 55.00073527 47.50449302 47.10482908 39.70725019 35.10139281 28.12479942
 27.75629444 26.1913554 32.08084817]
(Pdb) difference_error = bootstrap_error - stat_uncertainty
(Pdb) print(np.array(difference_error / stat_uncertainty))
[-0.0307227 0.00145372 -0.01047047 -0.00209528 0.0226569 -0.02170672
 0.00668232 -0.02150849 -0.02470922 -0.01607577 -0.01855159 0.00605582
 0.01233174 -0.00456216 -0.04419854 0.03165979 0.00884888 0.00736373
 0.0166335 -0.02431036 0.02259703 -0.00936688 -0.02766684 0.00259155
 -0.00836354 0.01793267 0.03507701]
```

## 7.1.3 Bootstrap techniques for statistical uncertainty

The statistical uncertainty on the unfolded distribution is estimated using the bootstrap method. For this, the `BootstrapGenerator` package [22] was used. This method consists of generating a set of replicas of the measured spectrum, all derived by fluctuating each event in the data distribution by a Poisson distribution centred around the value of the measured data. In practice, this is obtained by performing the following steps:

- Generate a response by using the background-subtracted-data as per Eq. (7.15)
- For every bin in the data distribution, get the total number of expected events  $N_{\text{meas}}$  as the number of measured data events
- Sample  $N_{\text{meas}}$  times a weight  $\omega_i \sim \text{Poisson}(1)$  from a Poisson distribution with mean 1, and fill the new histogram with these weights
- Repeat this procedure 1000 times. Now every bin will have entries distributed according to a Poisson distribution centred around the measured number of events.
- For every replica ( $i = 1, \dots, 1000$ ), do:
  1. Get the reweighted data distribution
  2. Build a reweighted background-subtracted data using Eq. (7.15)
  3. Unfold the reweighted background-subtracted data to the truth with the nominal response
  4. Store the entries of the new unfolded distribution
- Once the loop is completed, the uncertainty in each bin  $j$  from the 1000 variations is computed as the RMS of all the variations, as per Eq. (7.16), where  $N = 1000$ ,  $x_i^j$  are the entries from the  $i$ -th variation in the  $j$ -th bin, and  $\bar{x}^j$  refers to the entries in the  $j$ -th bin of the nominal unfolded distribution.

$$\sigma_U^j = \sqrt{\frac{1}{N-1} \sum_{i=1}^N (x_i^j - \bar{x}^j)^2} \quad (7.16)$$

# Dim-6 SMEFT interpretation

- Plan to do EFT interpretation on **CP-even/odd dim-6 operators**
  - Set limits on 4 Wilson coefficients: **cW, cHWB, cWtil, CHWBtil**
  - mc23a/d/e samples requested for **linear, quadratic and cross terms**
  - **MC request** in EW subgroup and the production [JIRAticket](#)
    - mc23a/d are done, waiting for some tails in mc23e to finish

- The cross-section prediction in each bin:

$$f_{\text{pred}}^b(\mathbf{c}, \boldsymbol{\theta}) = f_{\text{pred, SM}}^b \left( 1 + \sum_i \underbrace{A_{bi}}_{\text{linear}} \frac{c_i}{\Lambda^2} + \sum_i \underbrace{B_{bi}}_{\text{quadratic}} \frac{c_i^2}{\Lambda^4} + \sum_{i < j} \underbrace{C_{bij}}_{\text{Cross}} \frac{c_i c_j}{\Lambda^4} \right) \prod_n^{n_{\text{theory, syst}}} (1 + u_n \theta_n)$$

- The Likelihood function for EFT fit

$$L(\mathbf{x} | \mathbf{c}, \boldsymbol{\theta}) = \frac{1}{\sqrt{(2\pi)^{n_{\text{bins}}} \det(V)}} \exp\left(-\frac{1}{2} \Delta \mathbf{x}^T(\mathbf{c}, \boldsymbol{\theta}) V^{-1} \Delta \mathbf{x}(\mathbf{c}, \boldsymbol{\theta})\right) \times \prod_{i=1}^{n_{\text{theo syst}}} f_i(\theta_{\text{theo syst}, i}) \times \prod_{i=1}^{n_{\text{exp syst}}} f_i(\theta_{\text{exp syst}, i})$$

# EFT interpretation: plan



- Fitting tool: [EFT-fun](#) Fit setup:
  - Unfolded distributions of **mll** (only mc23a used for this preliminary study)
    - Not particularly sensitive to EFT effects, used mainly to validate the framework and because we expect low sensitivity
  - EFT formulation only linear effect
  - Stat uncertainty on unfolded data - embedded in covariance matrix  $V$
  - Experimental uncertainties on unfolded data - treated as NPs
  - Theoretical uncertainties on prediction - treated as NPs
- Will perform EFT study on  $\Delta\phi(\ell\ell)$  which gives us sensitivity to CP-even and CP-odd operators
  - Will also add ONN which is expected to improve this even further

- EFT extensions to SM add CP-violating operators to the SM lagrangian
- The leading order BSM term in the matrix element is the interference between SM and EFT

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} \tilde{\mathcal{O}}_i \longrightarrow |\mathcal{M}_{\text{BSM}}|^2 = |\mathcal{M}_{\text{SM}}|^2 + 2\text{Re}\{\mathcal{M}_{\text{SM}}\mathcal{M}_{\text{d6}}^*\} + |\mathcal{M}_{\text{d6}}|^2$$

- CP-odd EFT operators => interference is also CP-odd
- Interference can be constructive or destructive
- Kinematic similarities between constructive and destructive interference events means that if the variable is insensitive to CP-odd nature (ex. pT), the interference effects cancel out
- Sensitivity can be “resurrected” by studying CP-odd sensitive observables [arxiv.708.07823](https://arxiv.org/abs/1708.07823)

# Neural Network variable

- [arxiv.2112.05052](https://arxiv.org/abs/2112.05052) and [arxiv.2209.05143](https://arxiv.org/abs/2209.05143): ML models can be used to construct complex observables that are sensitive to CP-odd asymmetries
- By training a multi-class NN to classify constructive, destructive and SM events, a CP-sensitive observable can be defines as:
  - $O_{NN} = P_+ - P_-$