

Opposite-sign WW production with Run3 data in ATLAS

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**IOP Joint APP and HEPP Annual Conference
2026**

Edinburgh - 8th April 2026

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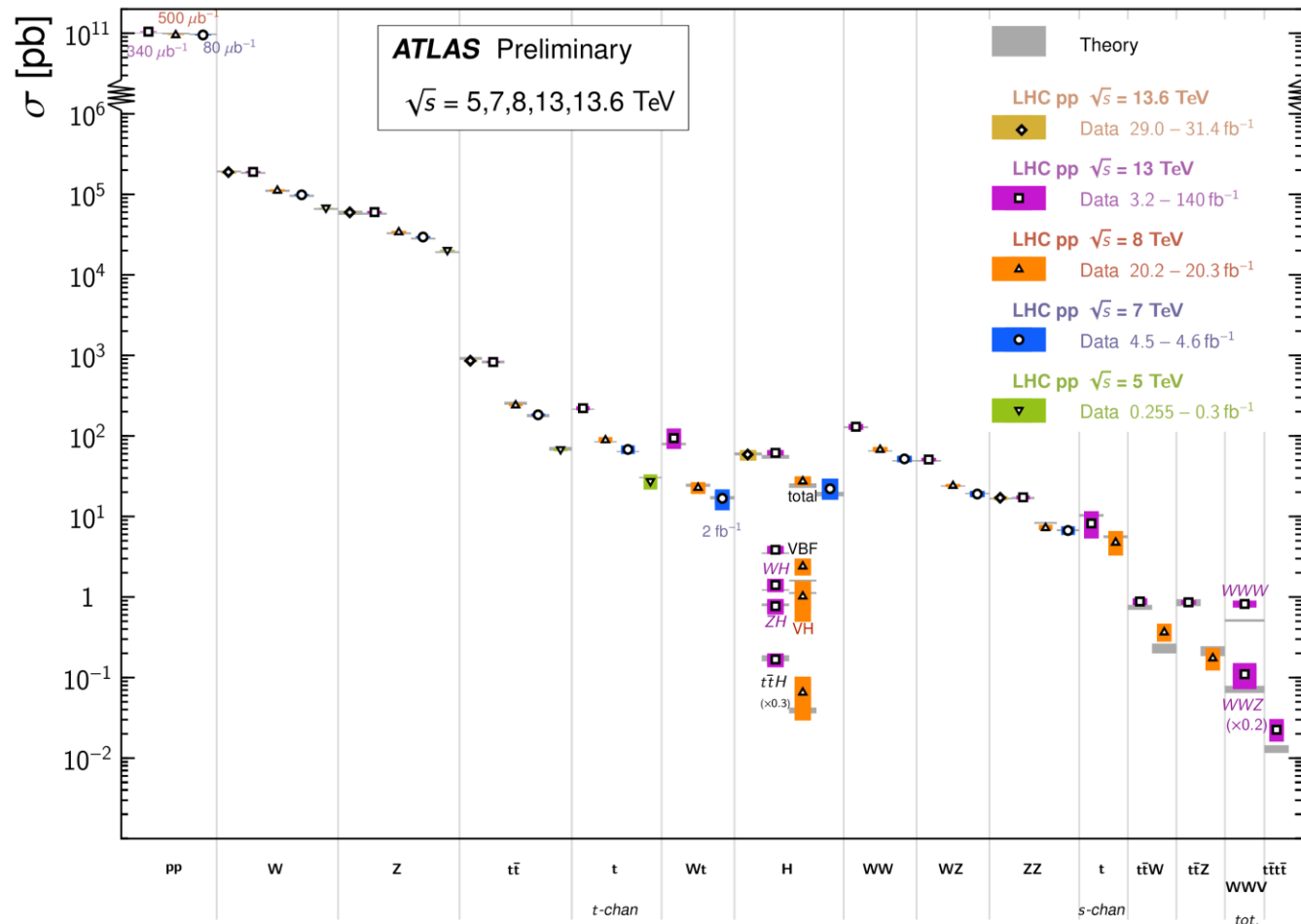
Standard Model Physics at the LHC



- Standard Model tested across 7 orders of magnitude with good agreement with predictions

Standard Model Total Production Cross Section Measurements

Status: June 2024



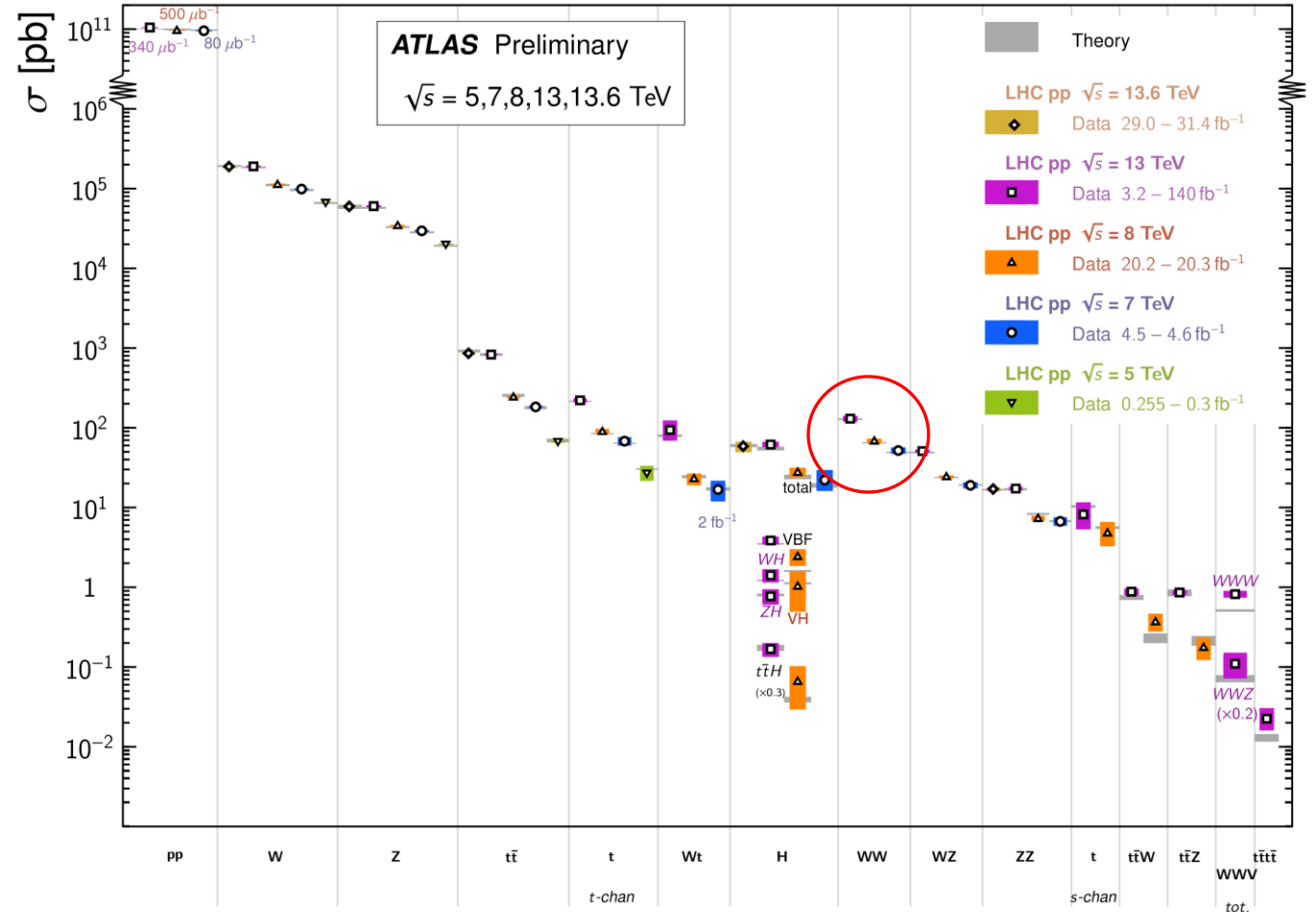
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- WW process:
 - [Evidence](#) in Run1 at $\sqrt{s} = 8$ TeV
 - [Measurement](#) in 2015 at $\sqrt{s} = 8$ TeV
 - [Differential measurement](#) at $\sqrt{s} = 13$ TeV with partial Run2 (36.1 fb^{-1})
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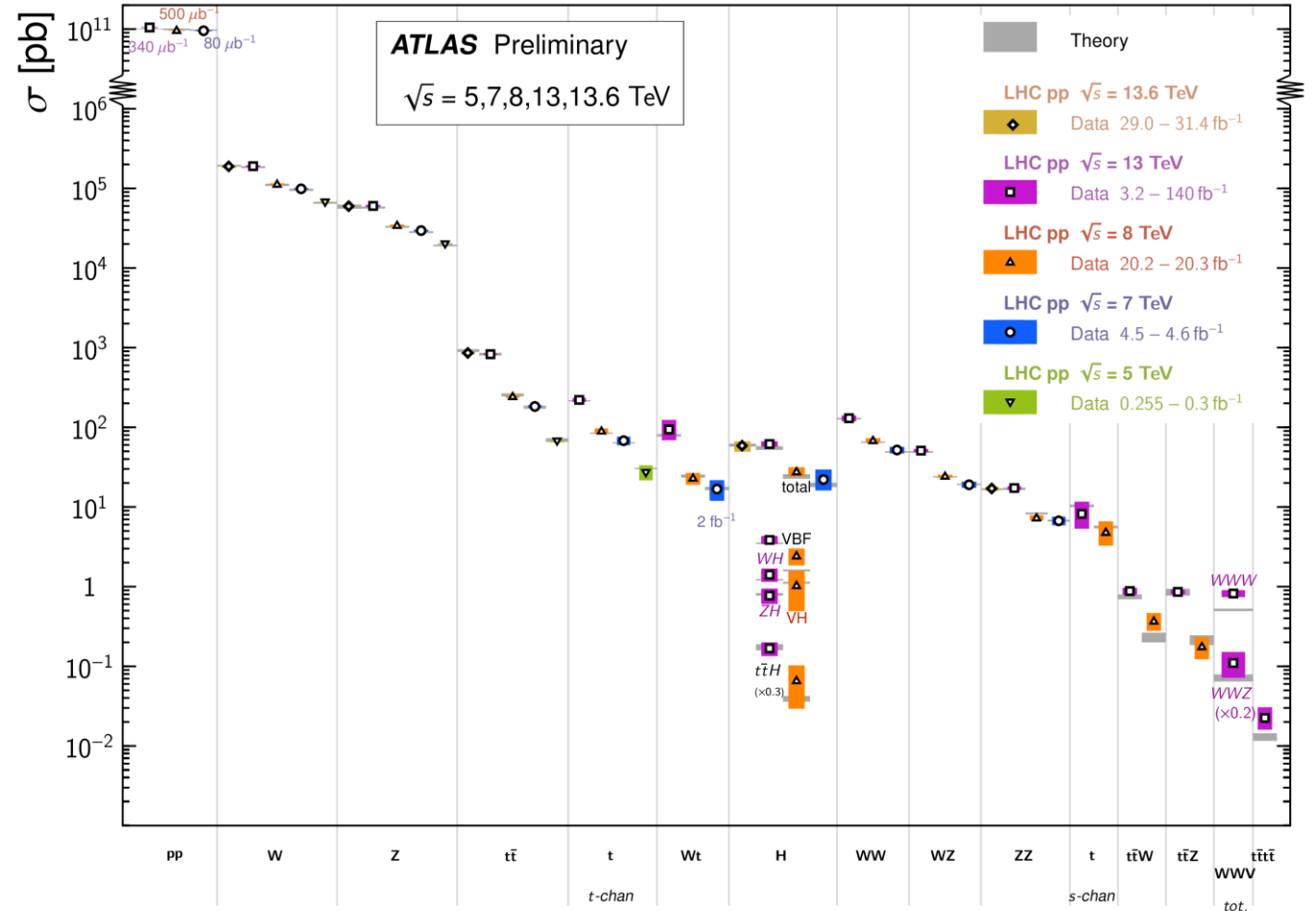
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- This analysis (team from Cambridge and Manchester)
 - First partial Run3 WW measurement at $\sqrt{s} = 13.6$ TeV (163.9 fb^{-1})
 - Differential measurement with focus on CP-Violation (CPV) and WW polarisation observables
 - Will also perform EFT interpretation

Standard Model Total Production Cross Section Measurements

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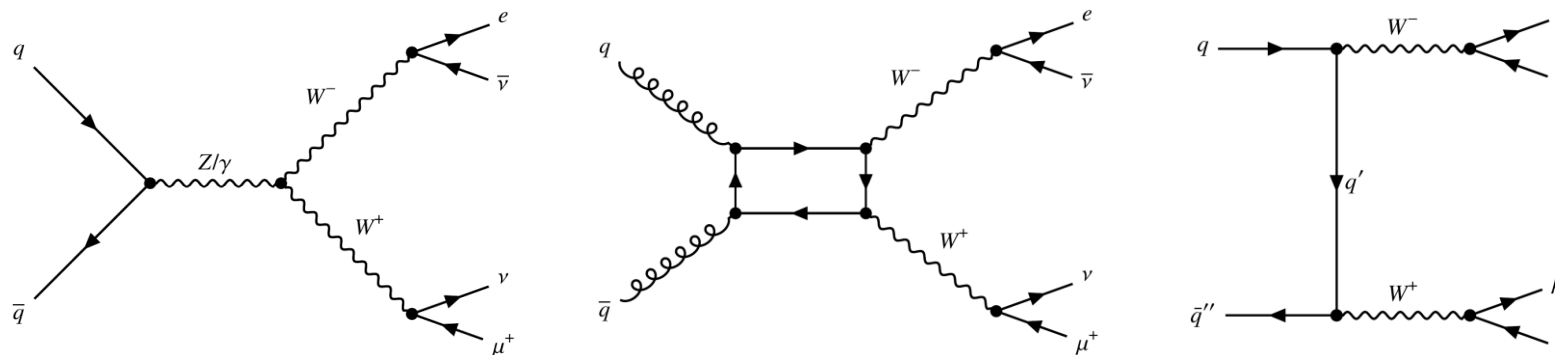


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WW production



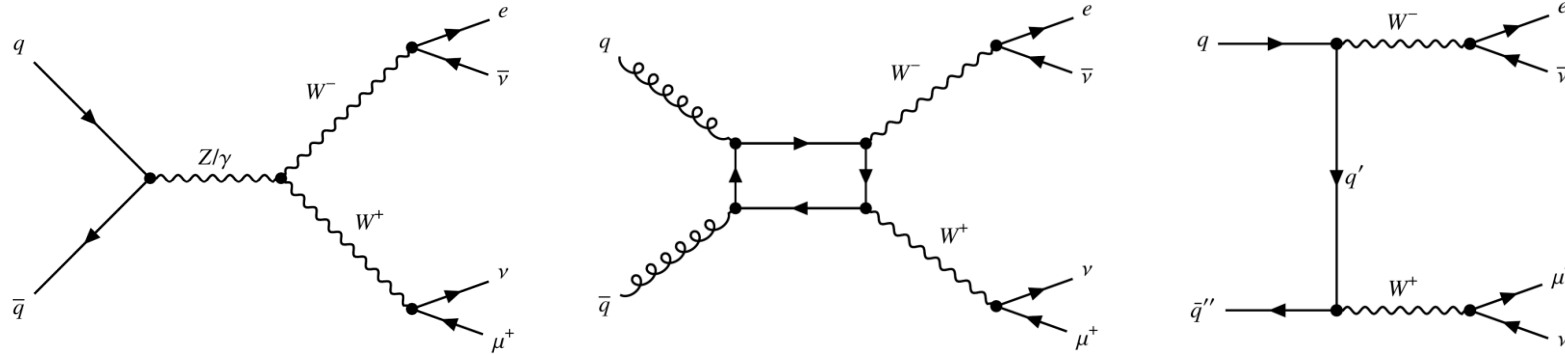
- Opposite-sign opposite-flavour WW final state



- Predicted cross section (Matrix 2.1 NNLO x NLO EW): $\sigma(W^+W^-) = 123 \pm 1$ (PDF) ± 2 (scale) fb

WW production

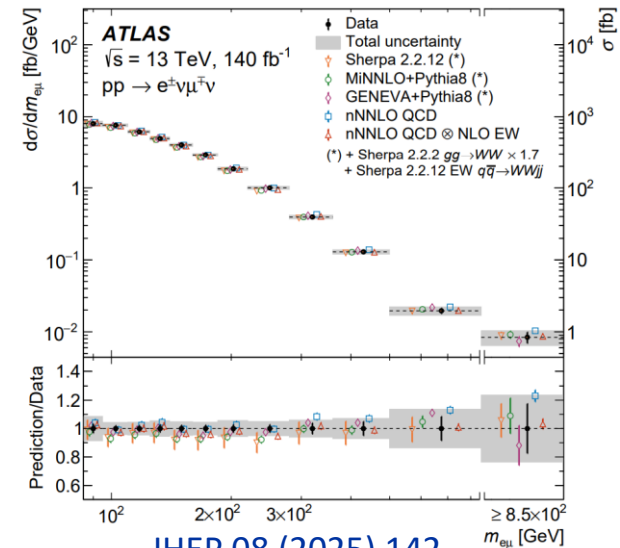
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- Full Run2 analysis ($\sqrt{s} = 13$ TeV, 140 fb^{-1}):

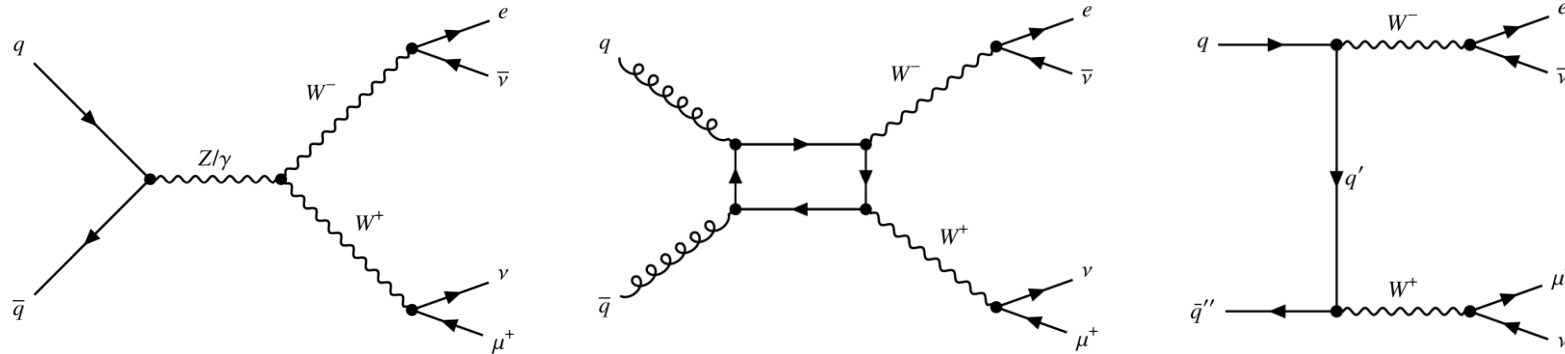
- Inclusive cross-section $\sigma(W^+W^- \rightarrow e^\pm \nu \mu^\mp \bar{\nu}) = 127 \pm 1$ (stat.) ± 4 (syst.) fb
- Differential cross section measured as a function of kinematic variables



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WW production

- Opposite-sign opposite-flavour WW final state



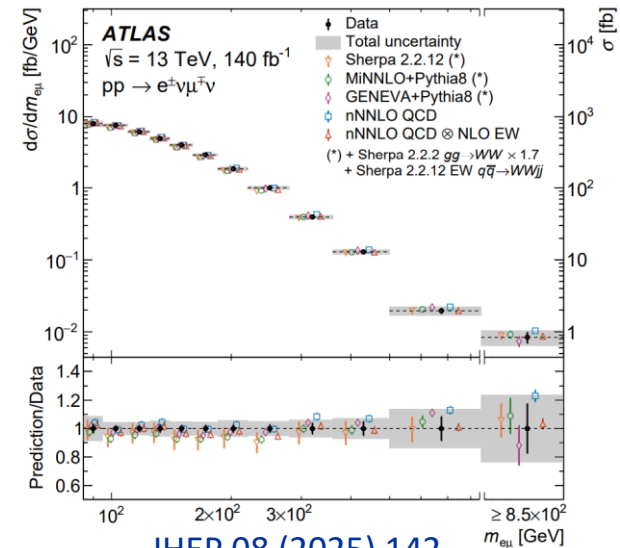
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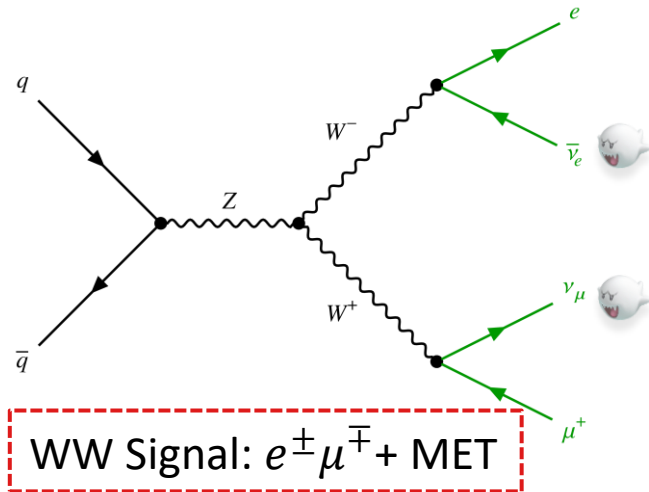
- Partial Run3 analysis ($\sqrt{s} = 13.6$ TeV, 163.9 fb^{-1}):

- Goal is to unfold variables sensitive to CPV and= WW polarisation
- First look at CP-odd observables (signed $\Delta\phi(\ell\ell)$)
- Enhance sensitivity to linear EFT over previous measurements
 - In particular Triple Gauge Coupling

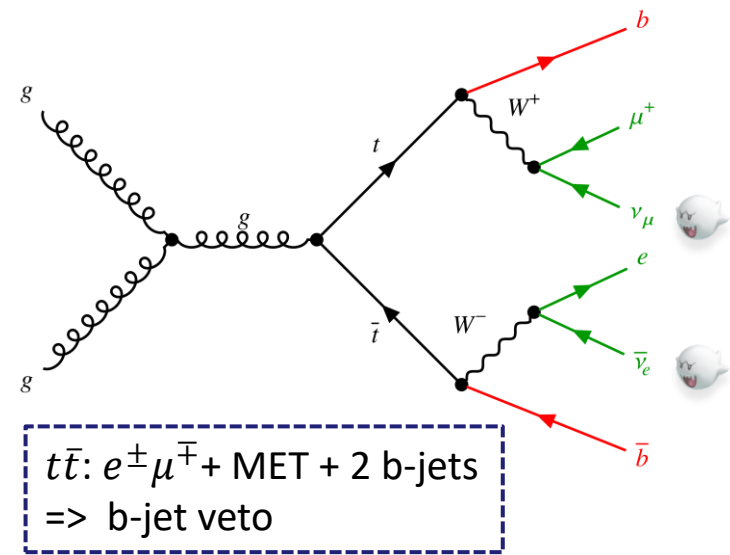
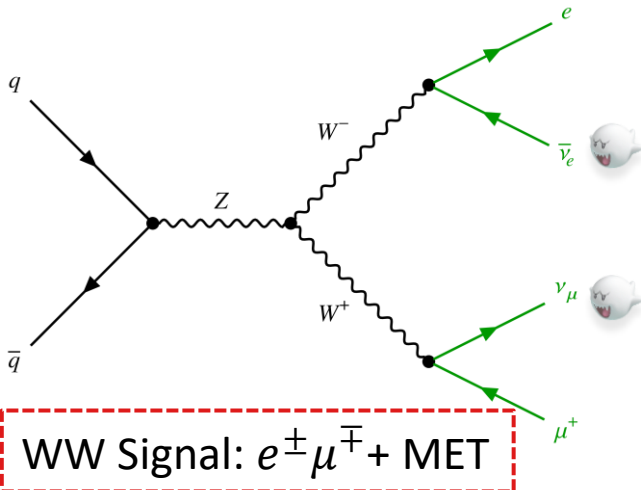


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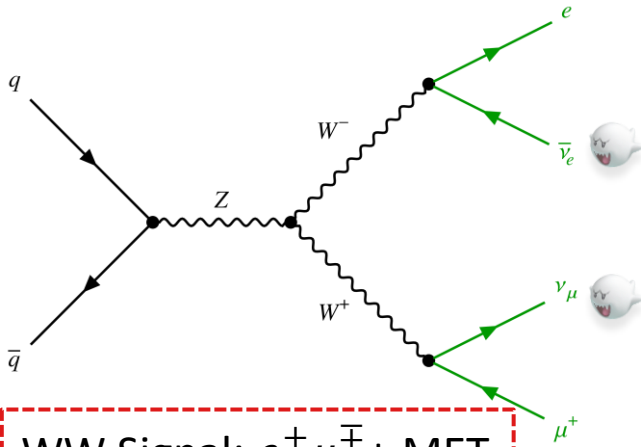
Event selection



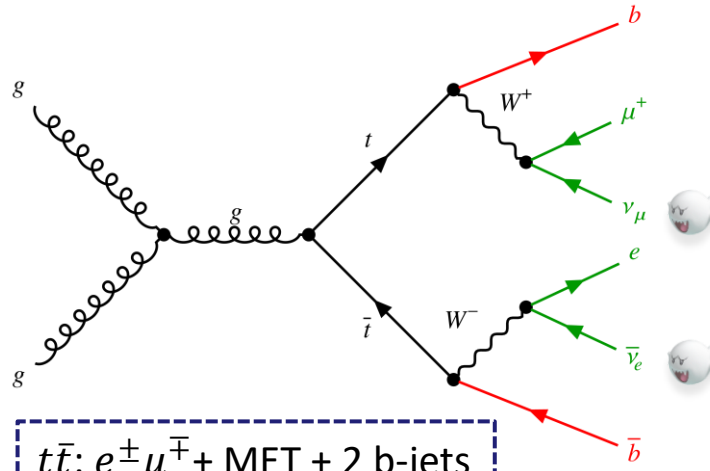
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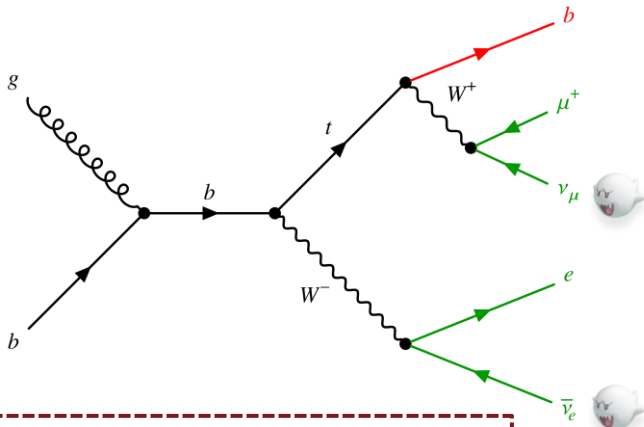
Event selection



WW Signal: $e^\pm \mu^\mp + \text{MET}$

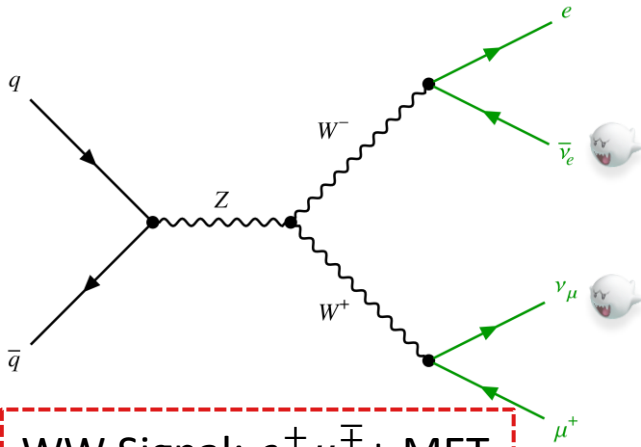


$t\bar{t}$: $e^\pm \mu^\mp + \text{MET} + 2 \text{ b-jets}$
=> b-jet veto

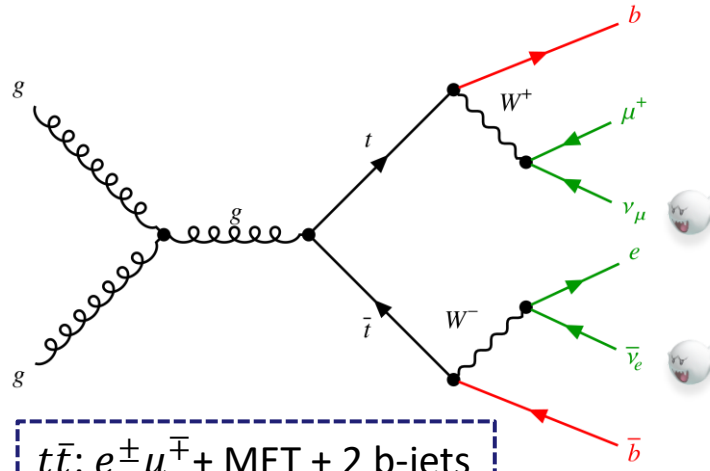


Single top: $e^\pm \mu^\mp + \text{MET} + 1 \text{ b-jet}$
=> b-jet veto

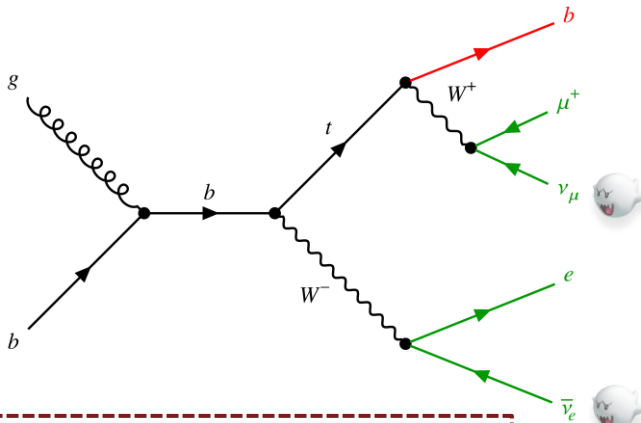
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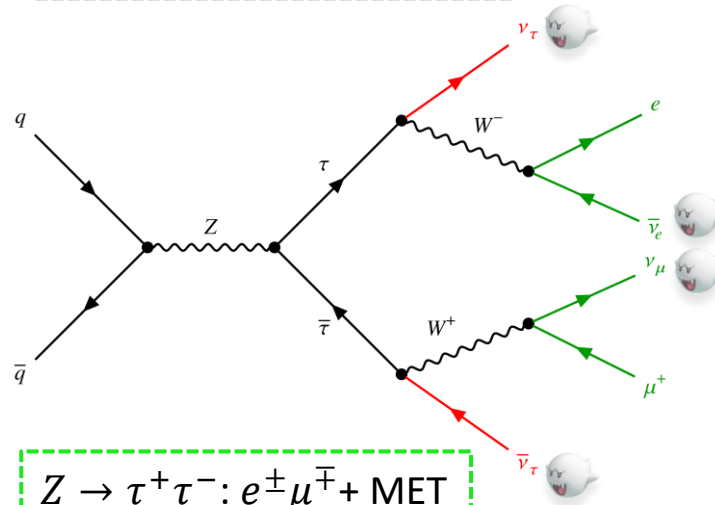
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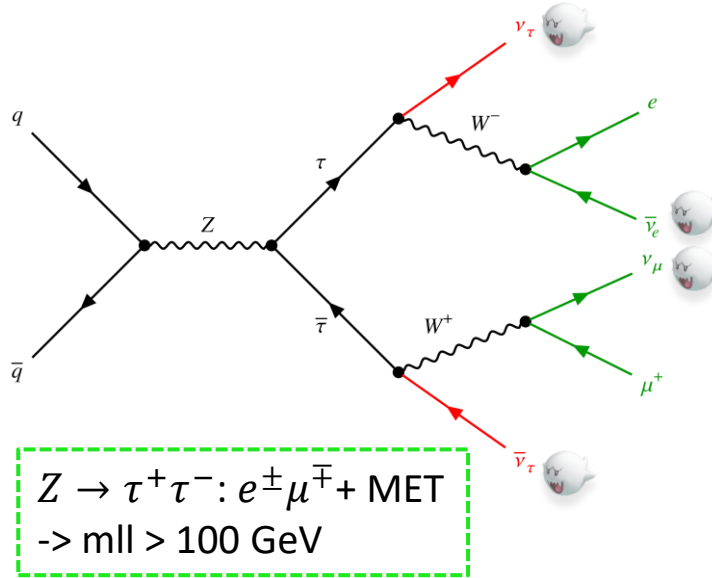
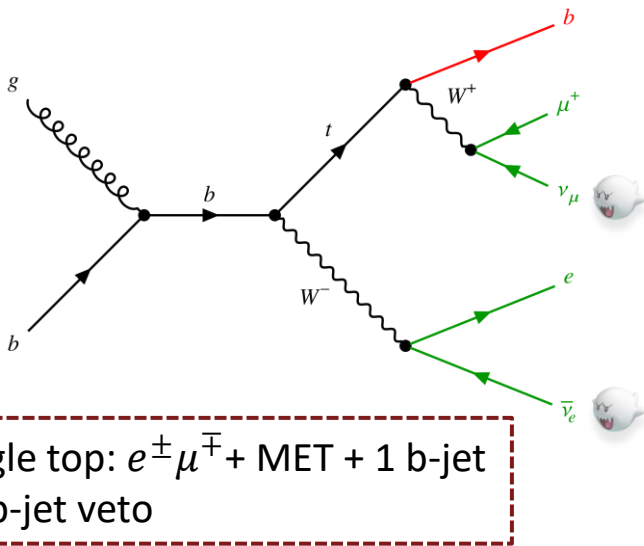
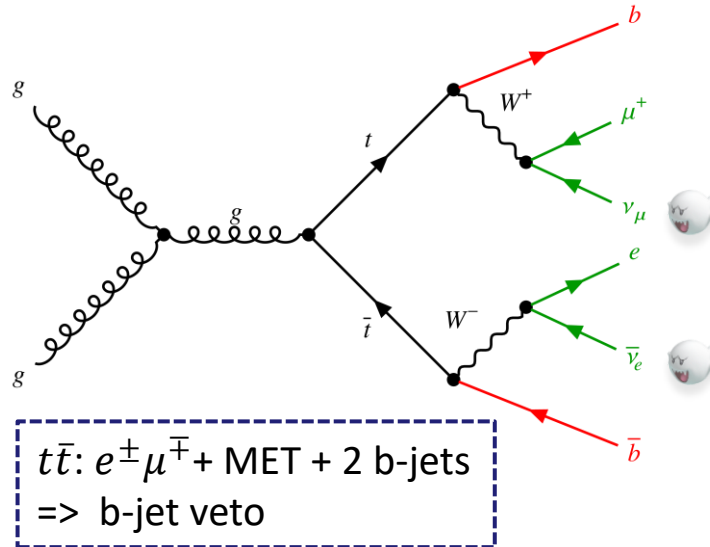
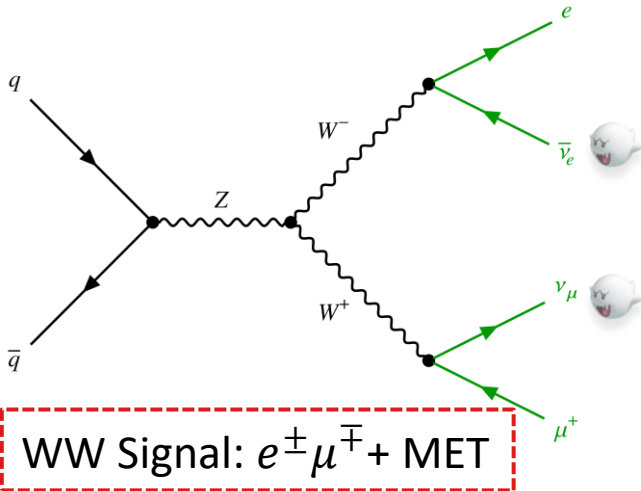


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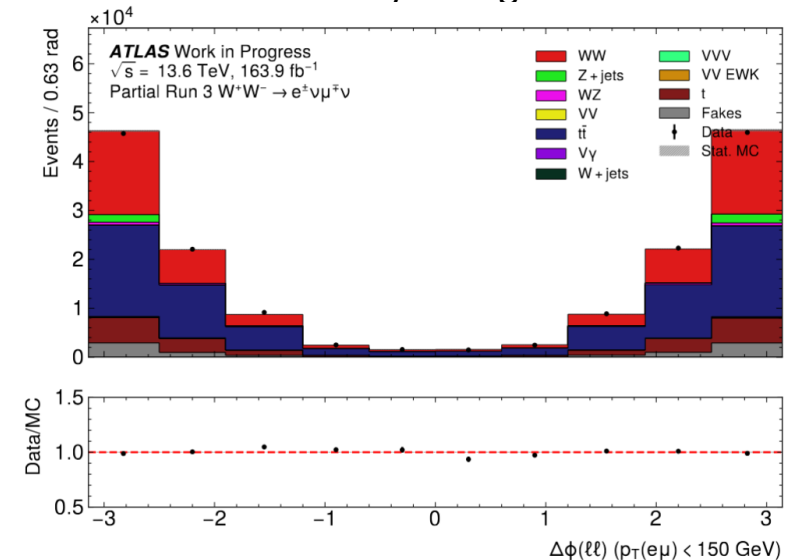
$Z \rightarrow \tau^+ \tau^-$: $e^\pm \mu^\mp + \text{MET}$
-> $m_{ll} > 100 \text{ GeV}$

Event selection



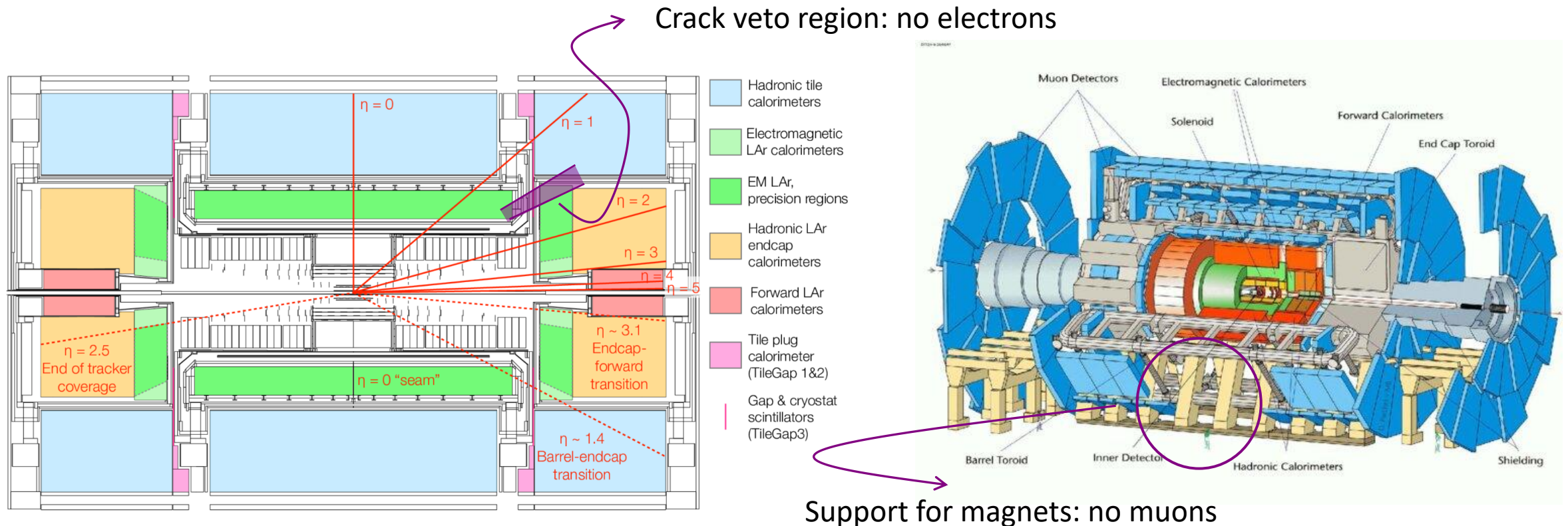
| Sample | Yields \pm Stat. | Percentage (%) |
|---------------|------------------------|----------------|
| WW | $57\,485.7 \pm 77.2$ | 34.0 |
| Z + jets | 3636.5 ± 324.4 | 2.2 |
| WZ | 2366.5 ± 9.2 | 1.4 |
| VV | 130.6 ± 1.1 | 0.1 |
| $t\bar{t}$ | $77\,451.1 \pm 762.8$ | 45.8 |
| V γ | 174.1 ± 25.5 | 0.1 |
| W + jets | 100.7 ± 64.6 | 0.1 |
| VVV | 201.1 ± 0.5 | 0.1 |
| VV EWK | 768.0 ± 9.0 | 0.5 |
| Single-top | $19\,748.4 \pm 28.8$ | 11.7 |
| Fakes | 6877.6 ± 72.4 | 4.1 |
| <hr/> | | |
| Total mc23ade | $168\,940.4 \pm 839.2$ | 1 |
| <hr/> | | |
| Run 3 Data | $170\,773.0 \pm 413.2$ | - |
| <hr/> | | |
| Data/MC | 1.01 | - |

Good data/MC agreement



ATLAS detector effects

- ATLAS has a finite detector acceptance and resolution
- These effects lead to mismeasurements of the final state objects, which make it harder to compare with the truth-level prediction



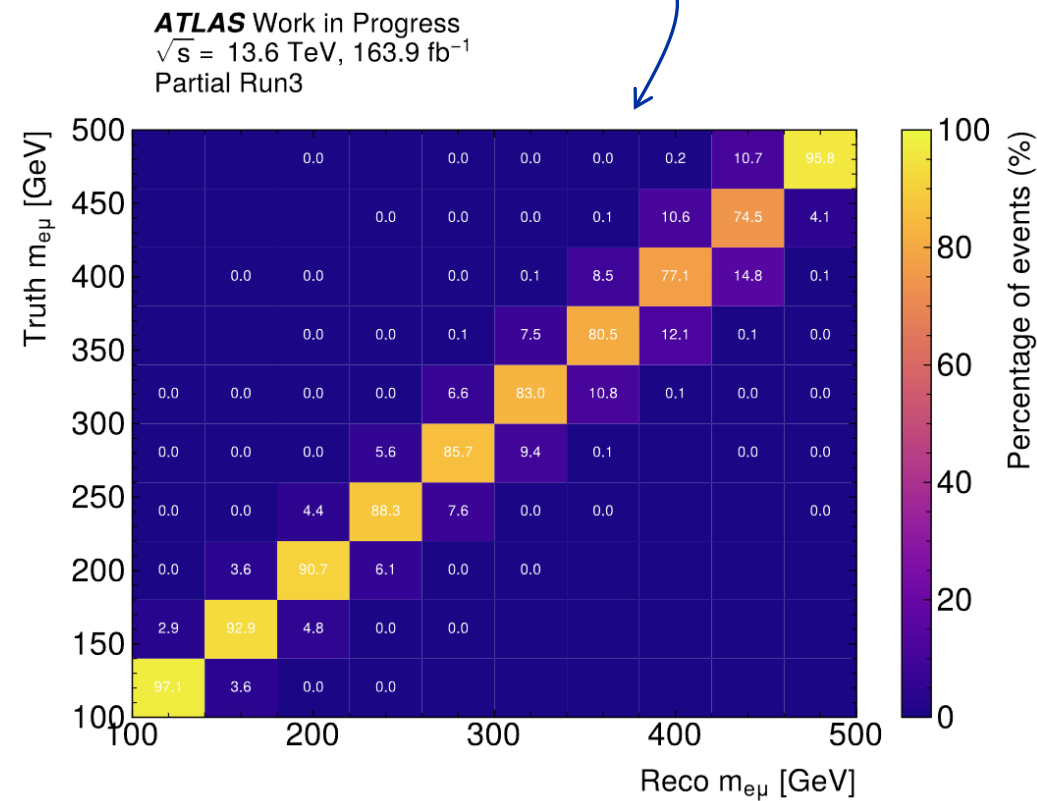
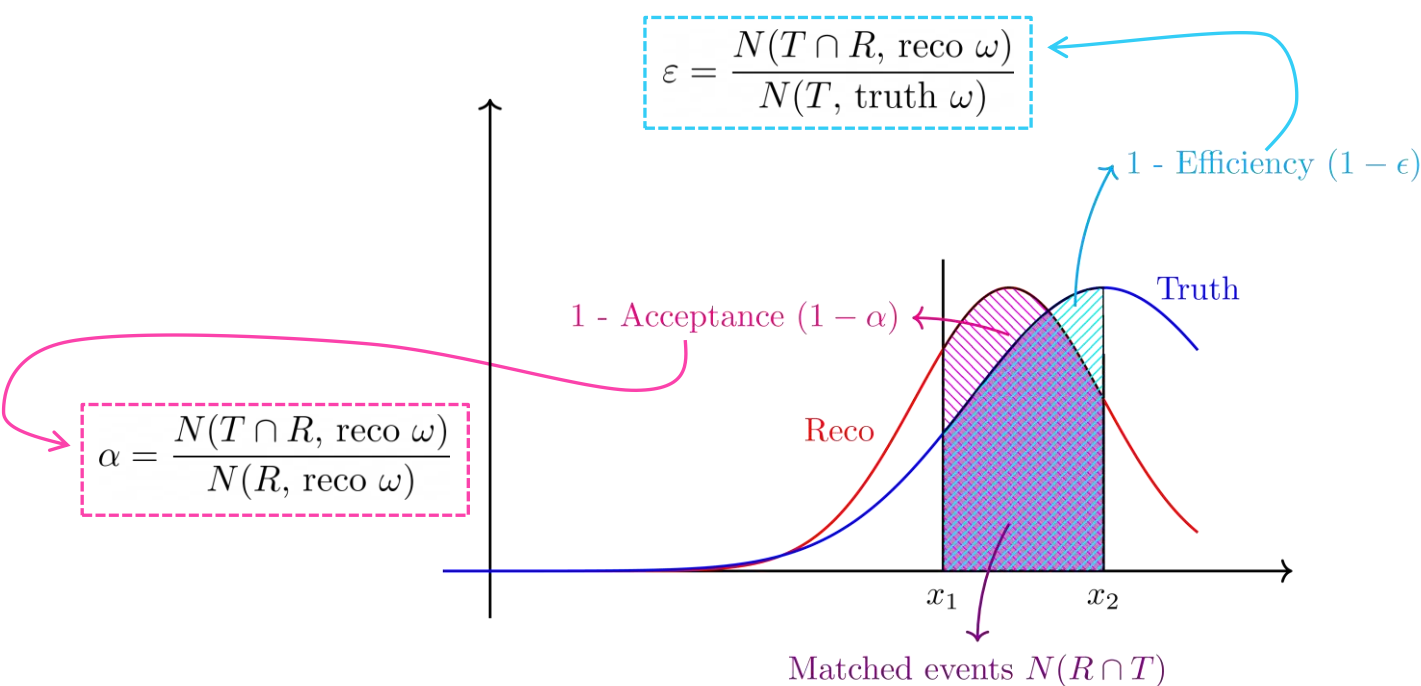
Unfolding

- Unfolding: correcting the measured distribution to account for the detector's effects

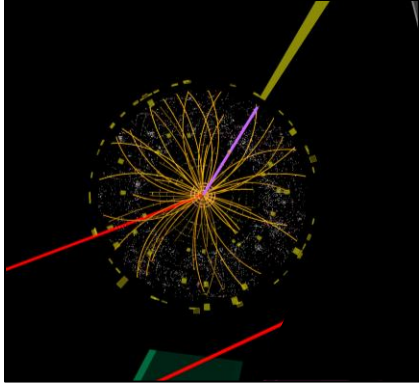
Unfolding



- Unfolding: correcting the measured distribution to account for the detector's effects
- The unfolding procedure can be parametrised as follows:
 - Response matrix: probability of measuring an event in reco given the event is measured in truth
 - Migration matrix: probability of observing both reco and truth
 - Reconstruction efficiency: probability of measuring reco
 - Fiducial acceptance : probability of measuring truth



Reco-level data analysis workflow

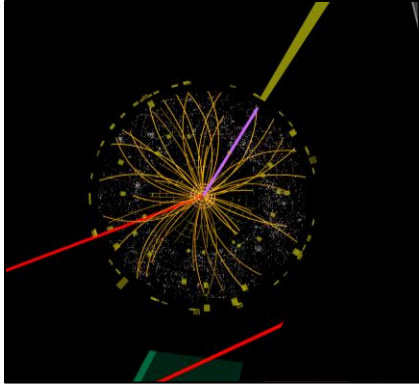


Collision

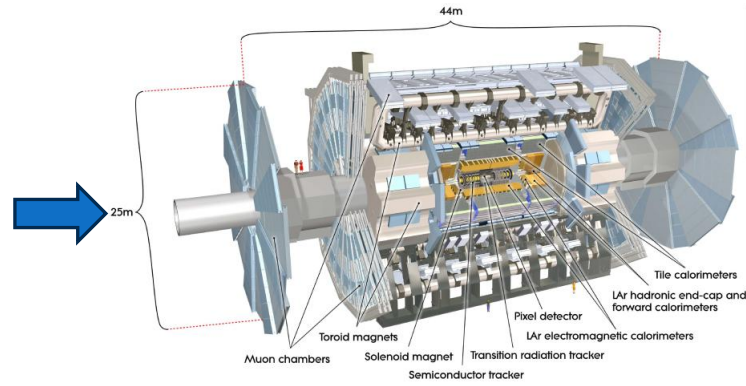
Reco-level data analysis workflow



Detector interaction



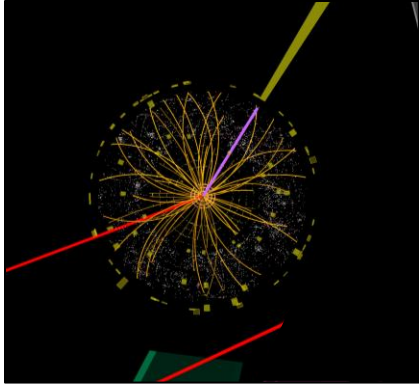
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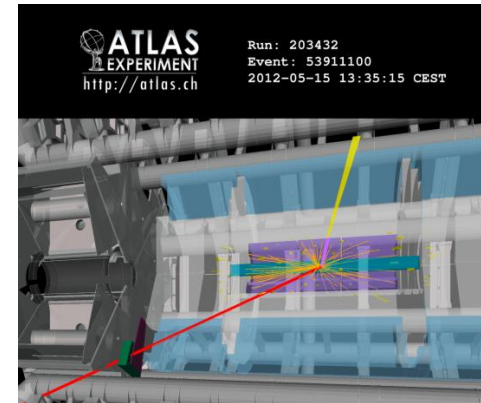
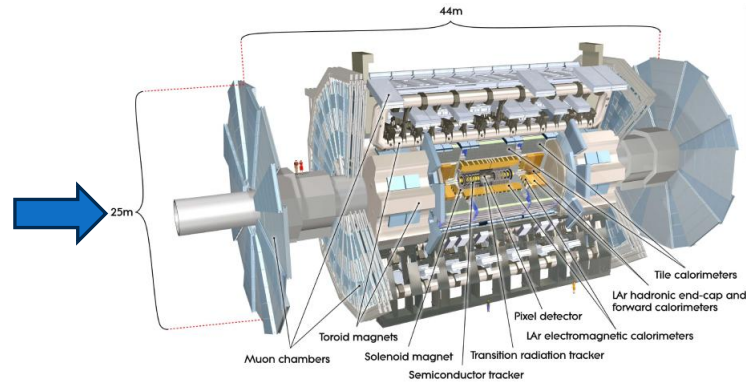
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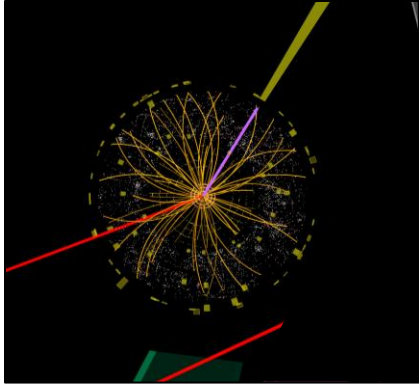


Detector
reconstruction

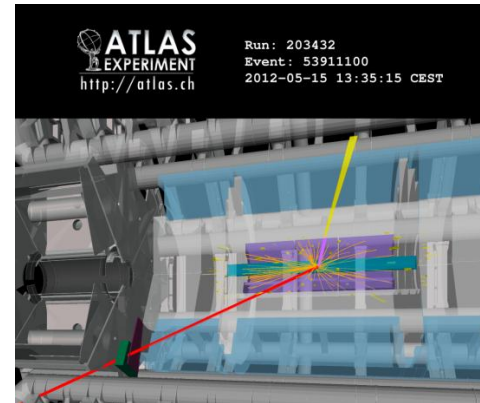
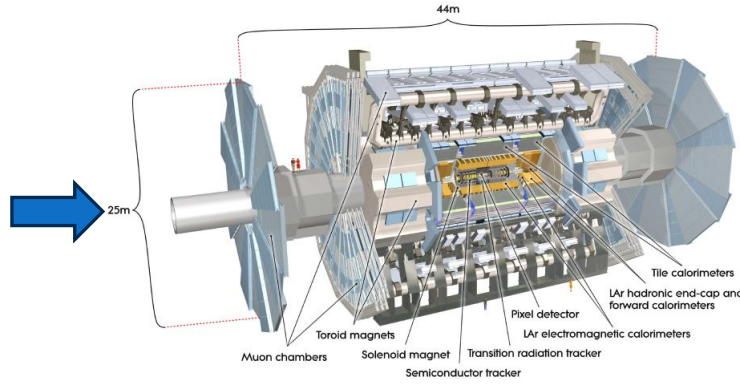
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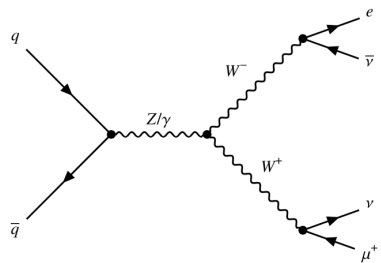
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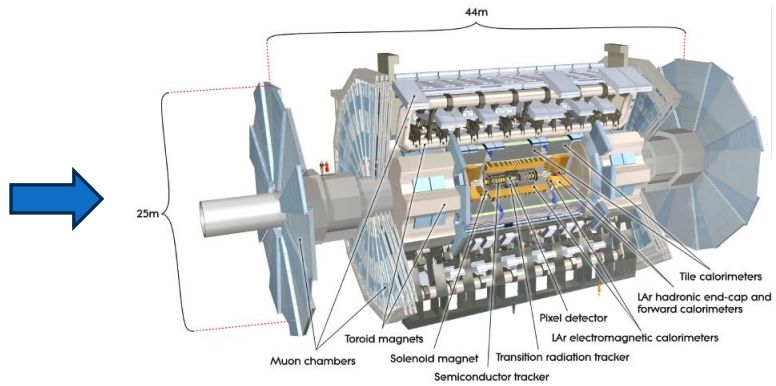
Collision



Detector reconstruction



Physics model

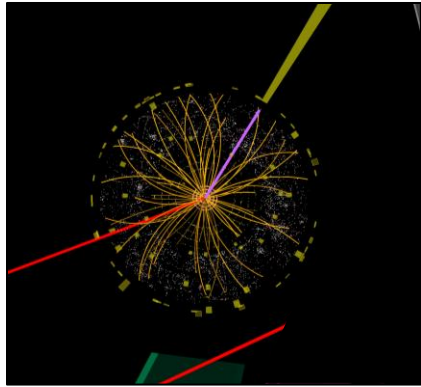


Detector simulation

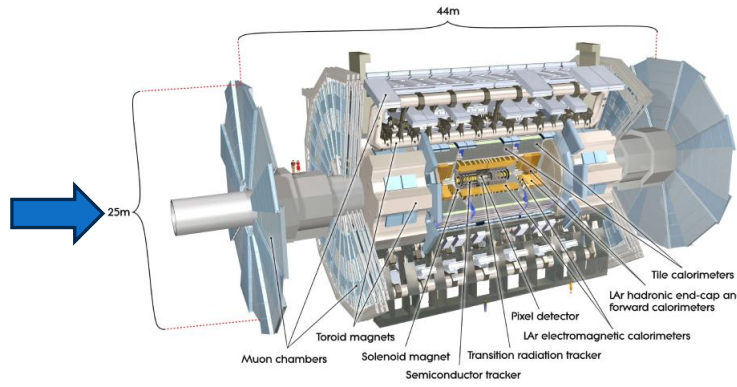
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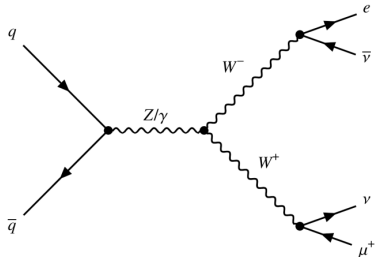
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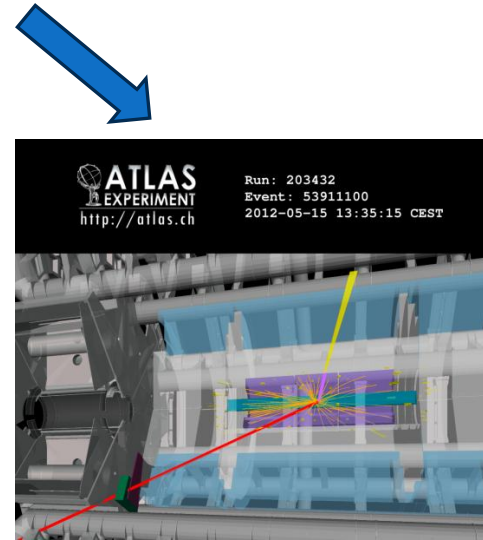
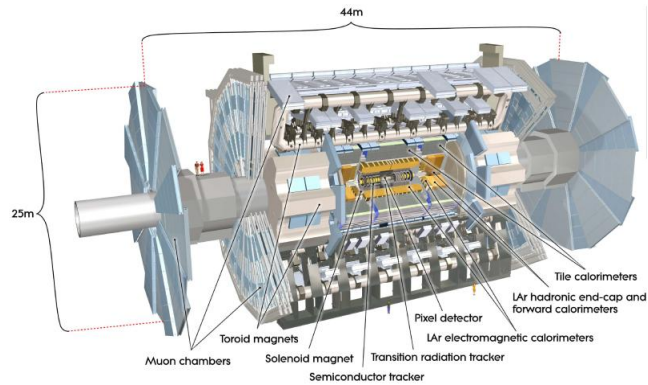
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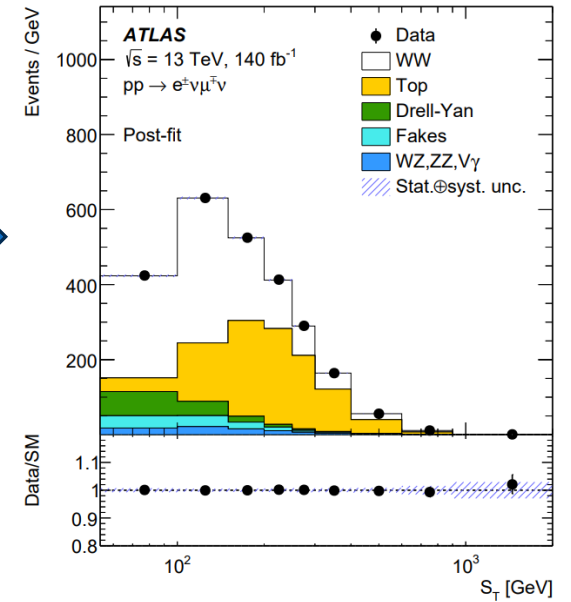
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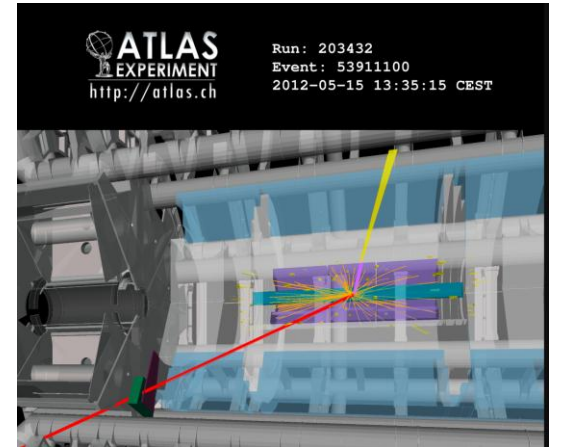


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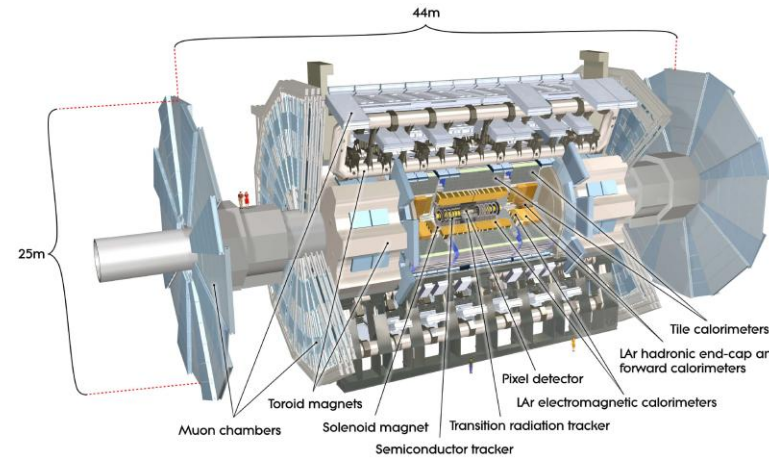
Analysis

Unfolding analysis workflow

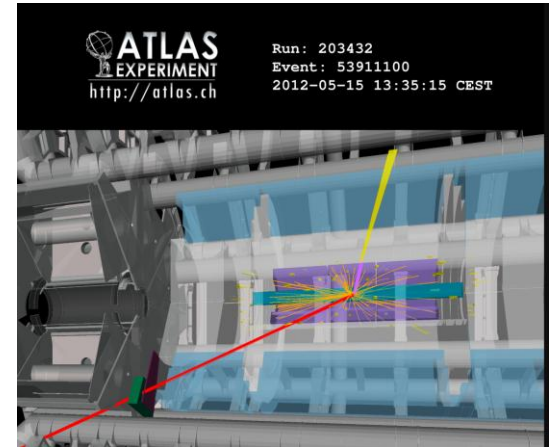


Detector
reconstruction

Unfolding analysis workflow

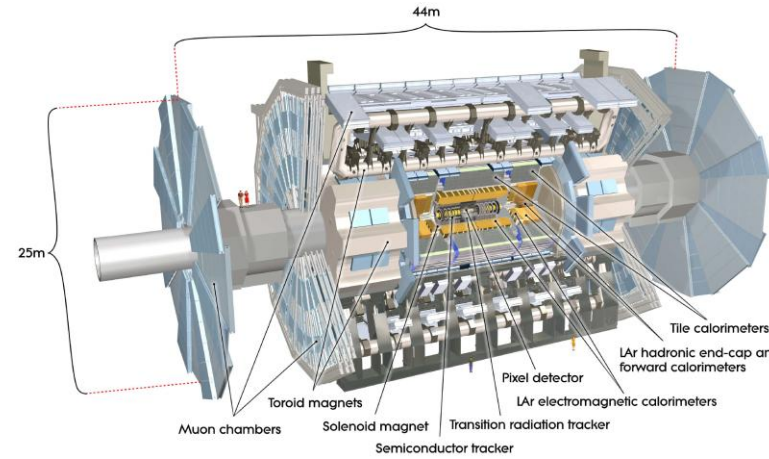


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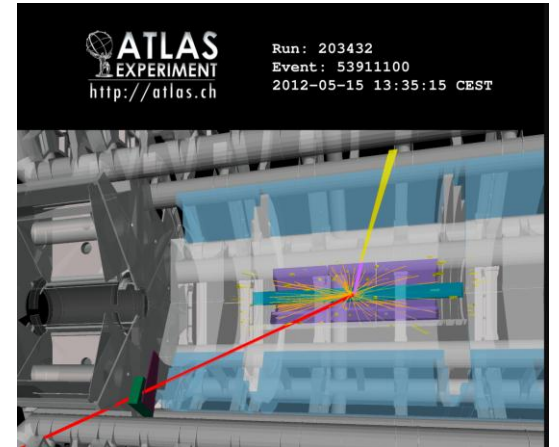


Detector reconstruction

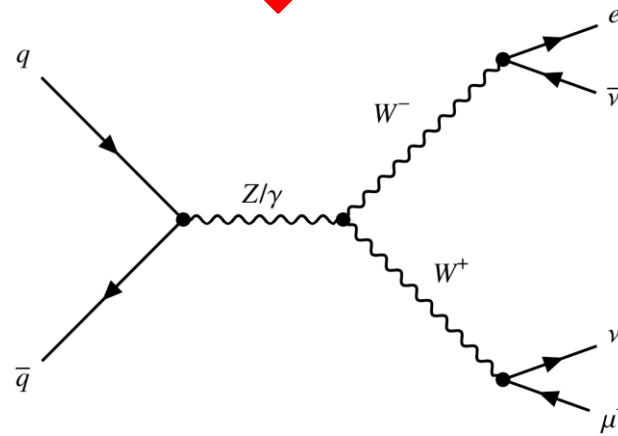
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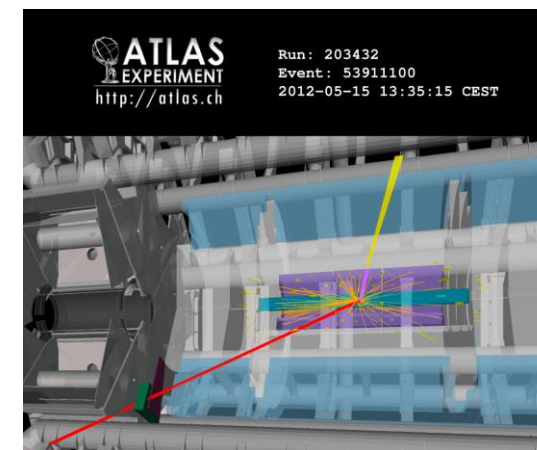
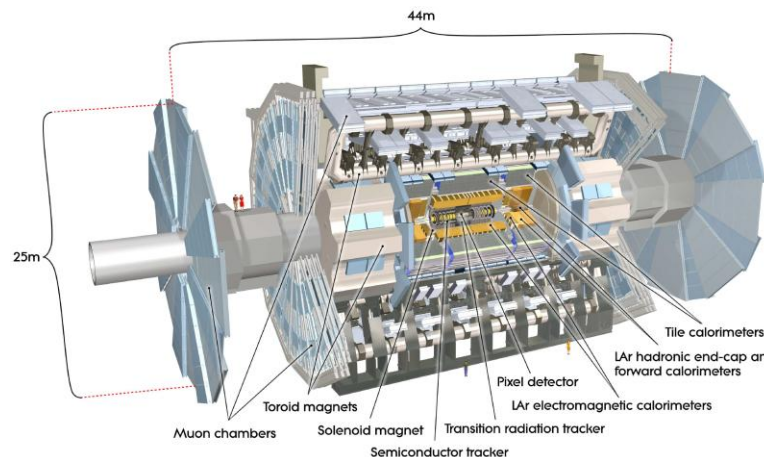


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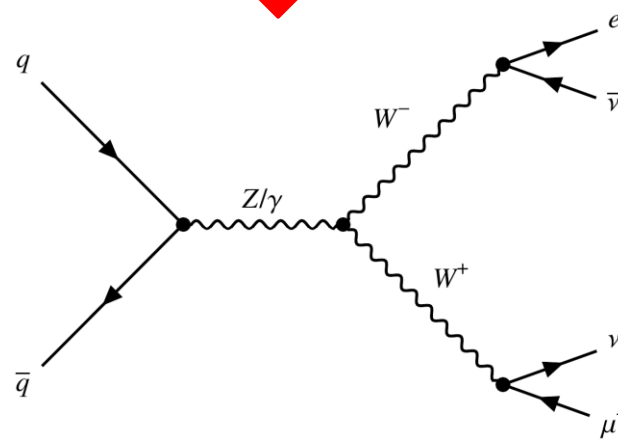
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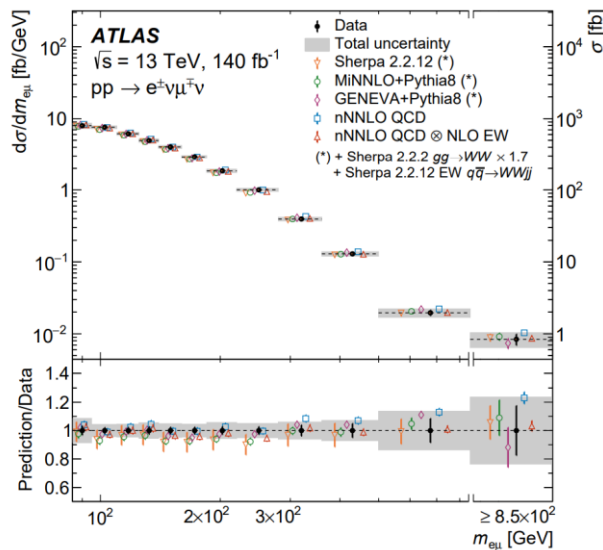
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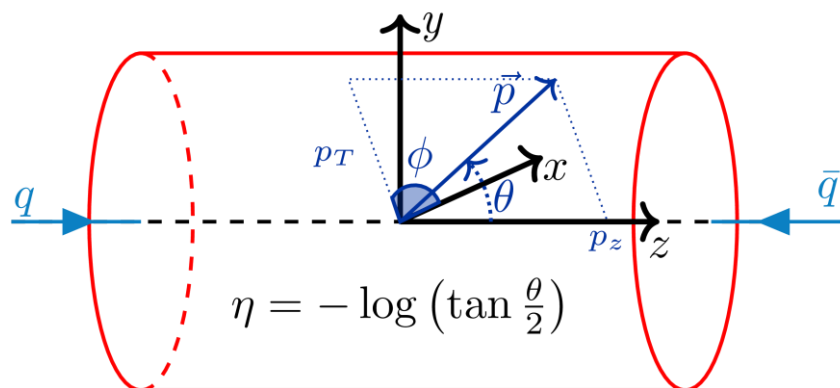


Variables to unfold



- Unfolding variables which are sensitive to polarisation and potential BSM effects, particularly EFT

| Variable | Description | Motivation |
|---|--|---|
| $p_T(\ell_1)$ | p_T of the leading lepton | Interesting for single-boson polarisation studies |
| E_T^{miss} | Missing transverse energy | Unfolded in previous WW analyses |
| $m_{e\mu}$ | Invariant mass of the electron-muon pair | Provides sensitivity to EFT and polarisation of the WW system |
| $p_T(e, \mu)$ | Transverse momentum of the electron-muon pair | Provides sensitivity to PDFs, EFT and polarisation of the WW system |
| $\Delta\eta(\ell\ell)$ | Pseudorapidity difference between rapidity-ordered leptons | Sensitive to CP-odd operators |
| $\Delta\phi(\ell\ell)$ | Azimuthal difference between rapidity-ordered leptons | Sensitive to CP-odd operators, showed a mild tension with SM |
| $p_T(e, \mu)$ vs $\Delta\phi(\ell\ell)$ | Double-differential cross section | Study $\Delta\phi(\ell\ell)$ only in the high- p_T region, where EFT effects rise |

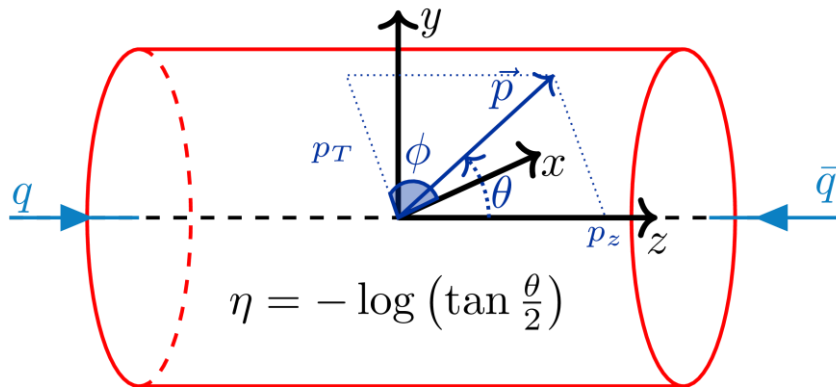


ATLAS coordinate system

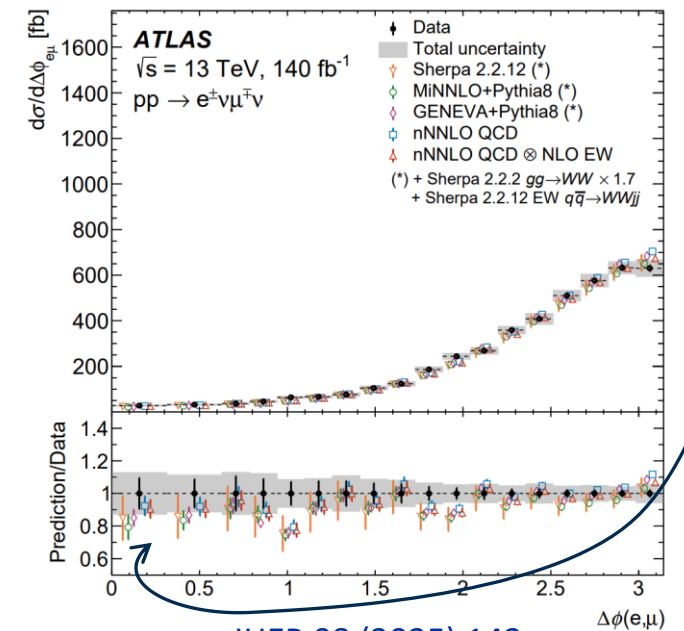
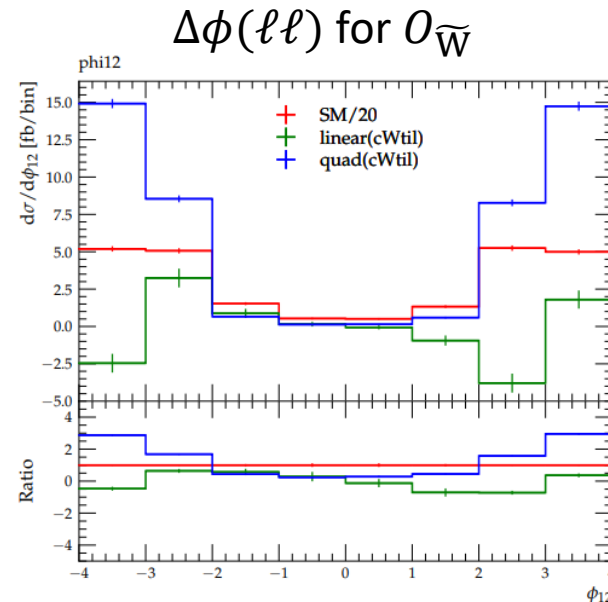
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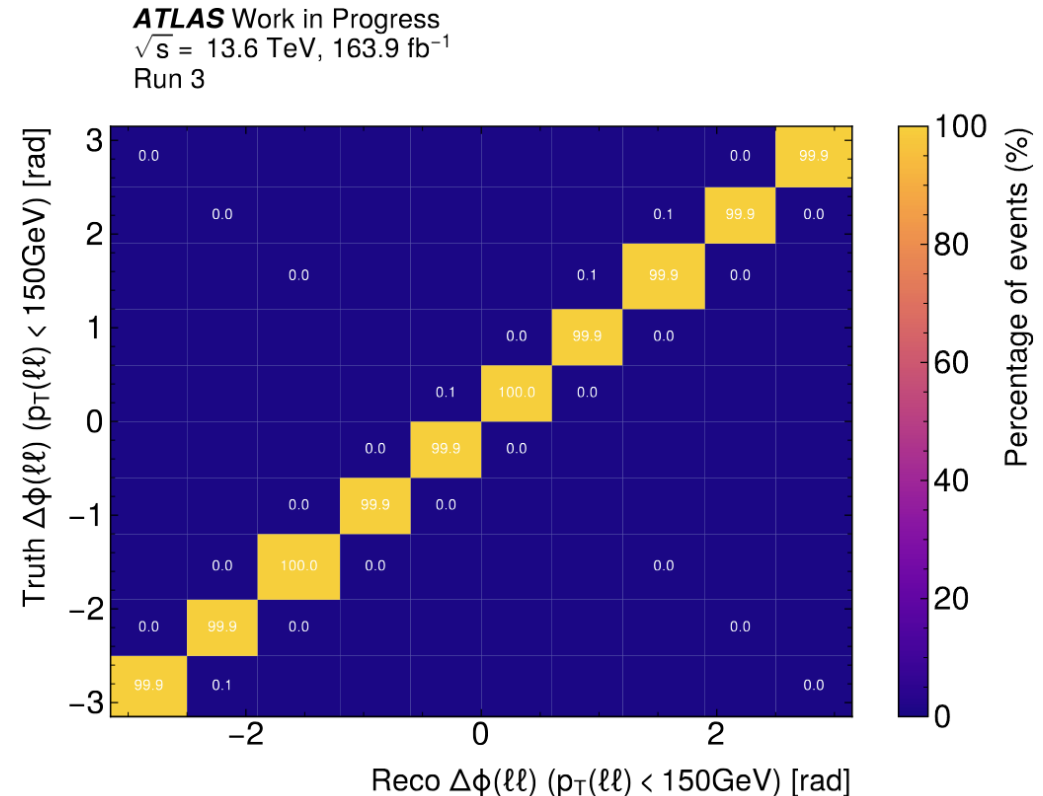
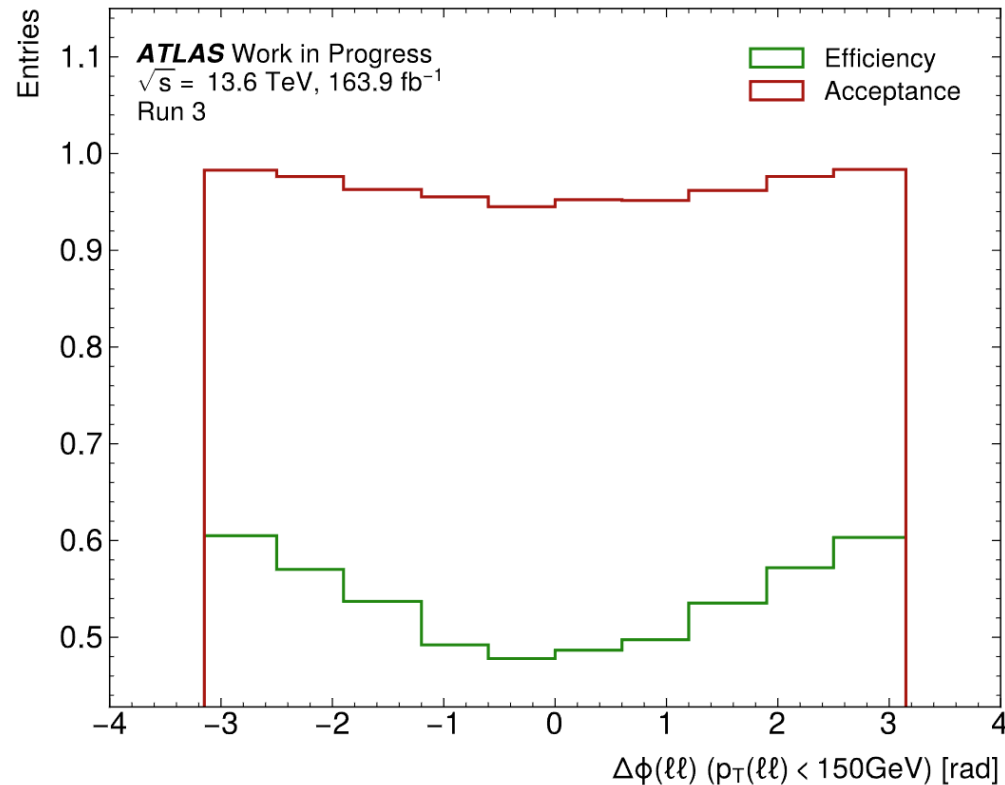
Unfolding $\Delta\phi(\ell\ell)$ vs $p_T(\ell\ell)$



- Low- $p_T(\ell\ell)$ bin: $0 \leq p_T(\ell\ell) \leq 150$ GeV

Unfolding $\Delta\phi(\ell\ell)$ vs $p_T(\ell\ell)$

- Low- $p_T(\ell\ell)$ bin: $0 \leq p_T(\ell\ell) \leq 150$ GeV
- Bin optimisation:
 - $\geq 70\%$ diagonal in Migration Matrix (limit migration) and ≥ 400 signal events per bin
 - Fiducial and Acceptance (left) and Migration Matrix (right)



Signal truth: variations

- Renormalisation and factorisation scale:

- μ_R affects α_S directly $\alpha_S(\mu_R^2) = \frac{\alpha_S(\mu_0^2)}{1 + \beta_0 \alpha_S(\mu_0)^2 \ln \frac{\mu_R^2}{\mu_0^2}}$
- μ_F affects PDFs

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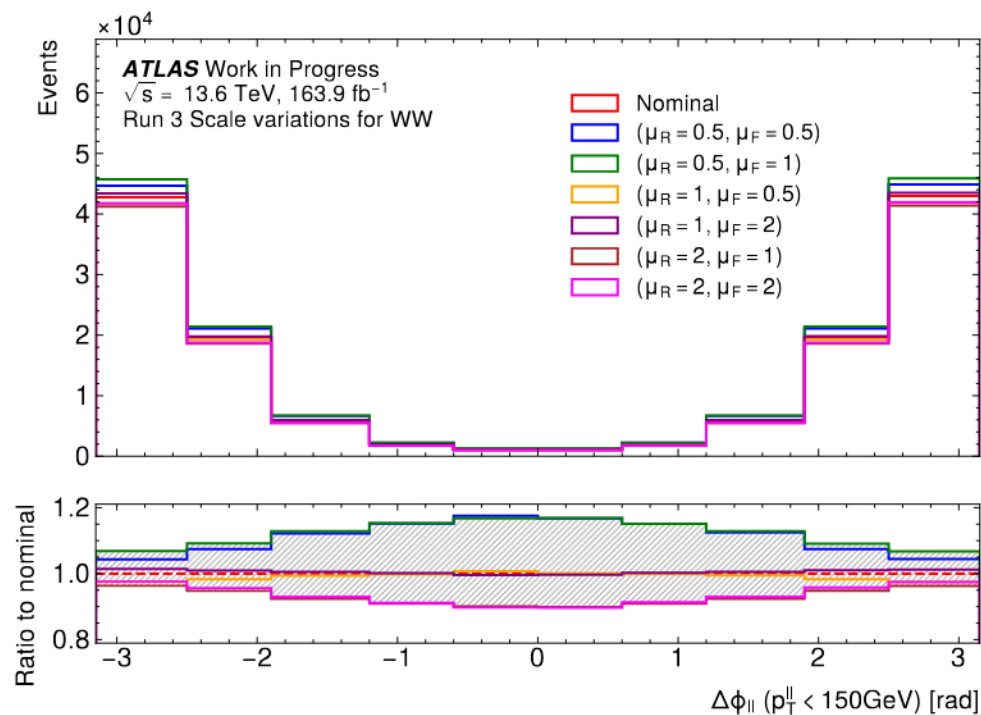


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- μ_F affects PDFs

- Evaluated as 7-point envelope

$$\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); (1, 1); (2, 1); (1, 2); (2, 2)\}$$



Signal truth: variations

- Renormalisation and factorisation scale:

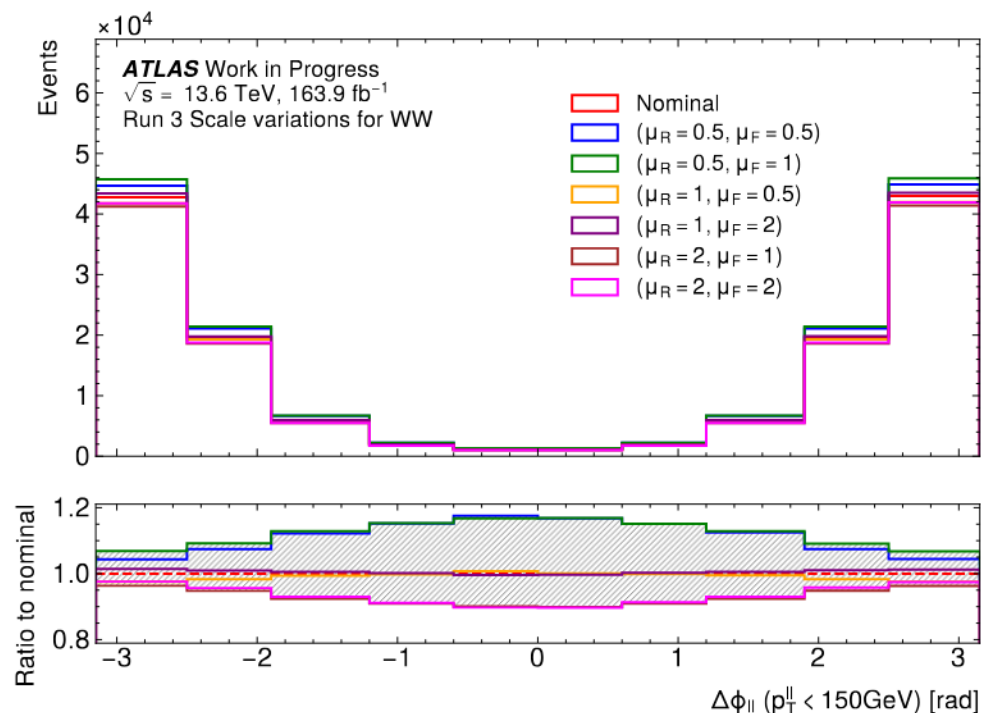
- μ_R affects α_S directly $\alpha_S(\mu_R^2) = \frac{\alpha_S(\mu_0^2)}{1 + \beta_0 \alpha_S(\mu_0)^2 \ln \frac{\mu_R^2}{\mu_0^2}}$
- μ_F affects PDFs

- Evaluated as 7-point envelope

$$\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); (1, 1); (2, 1); (1, 2); (2, 2)\}$$

- PDF and α_S :

- Using [PDF4LHC21 recommendations](#)
 - PDF uncertainty evaluated through 100 variations
 - α_S varied between 0.118 (nominal) ± 0.001
 - Two uncertainties combined and symmetrised



Signal truth: variations

- Renormalisation and factorisation scale:

- μ_R affects α_S directly $\alpha_S(\mu_R^2) = \frac{\alpha_S(\mu_0^2)}{1 + \beta_0 \alpha_S(\mu_0)^2 \ln \frac{\mu_R^2}{\mu_0^2}}$
- μ_F affects PDFs

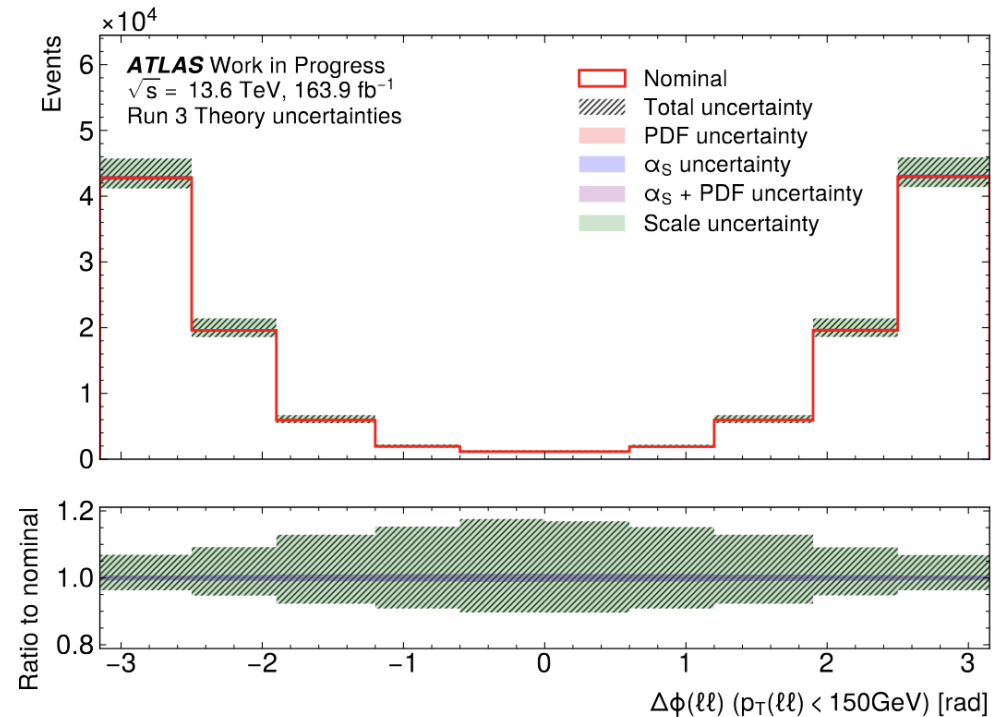
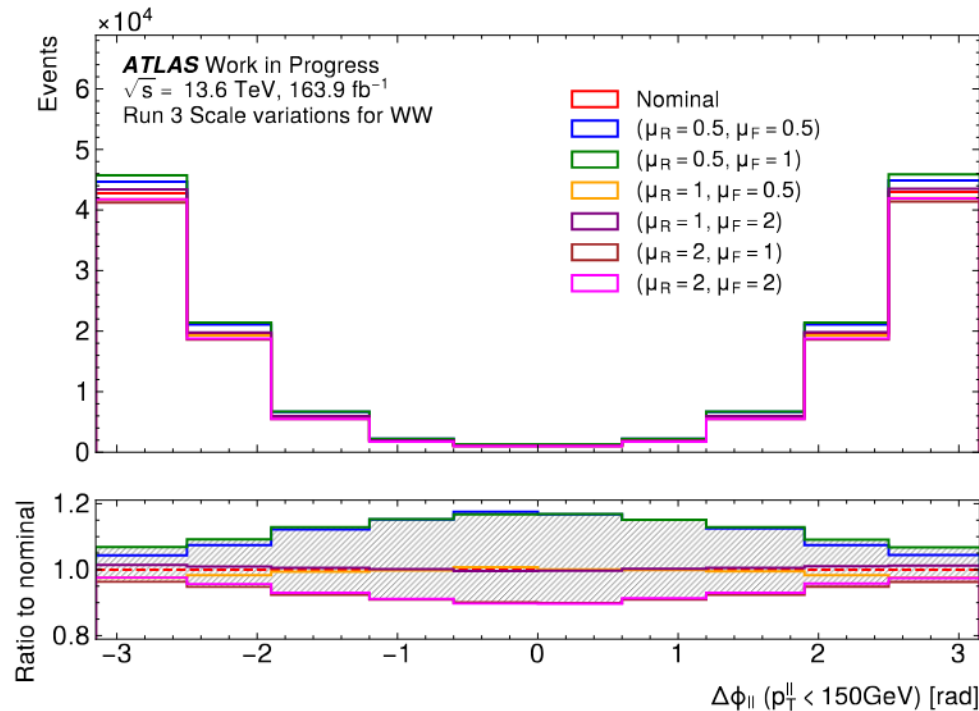
- Evaluated as 7-point envelope

$$\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); (1, 1); (2, 1); (1, 2); (2, 2)\}$$

- PDF and α_S :

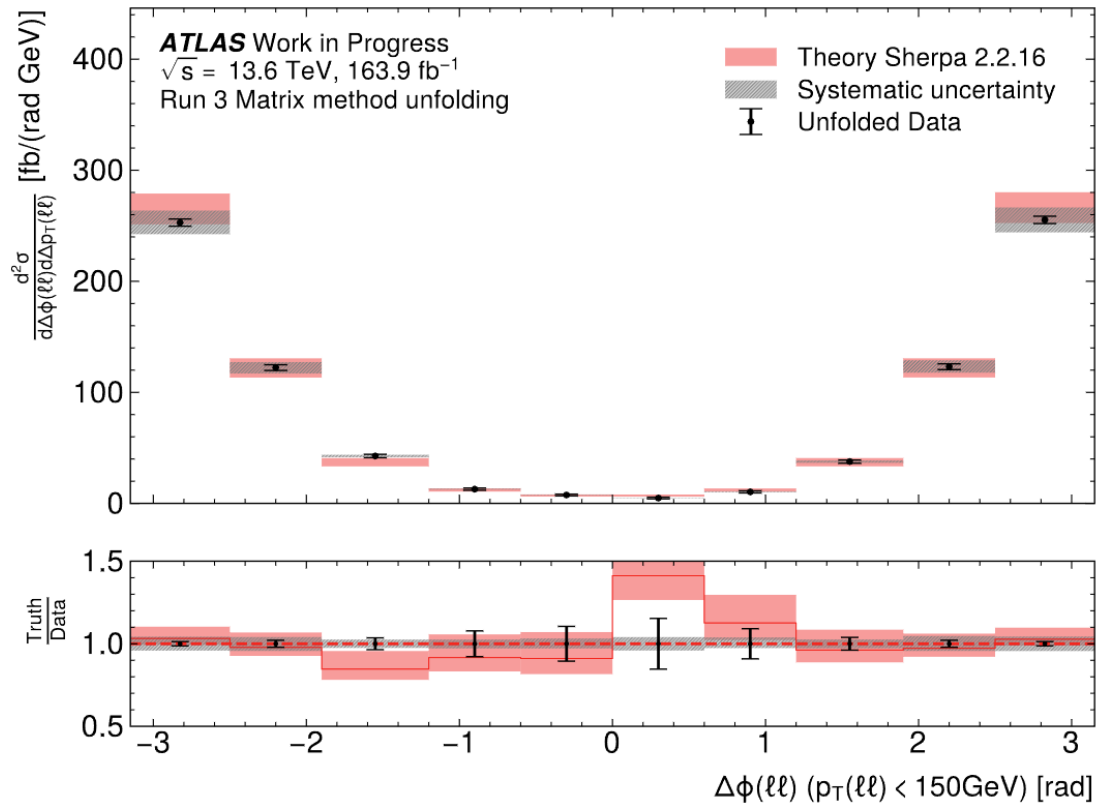
- Using [PDF4LHC21 recommendations](#)
 - PDF uncertainty evaluated through 100 variations
 - α_S varied between 0.118 (nominal) \pm 0.001
 - Two uncertainties combined and symmetrised

- Scale uncertainty dominates



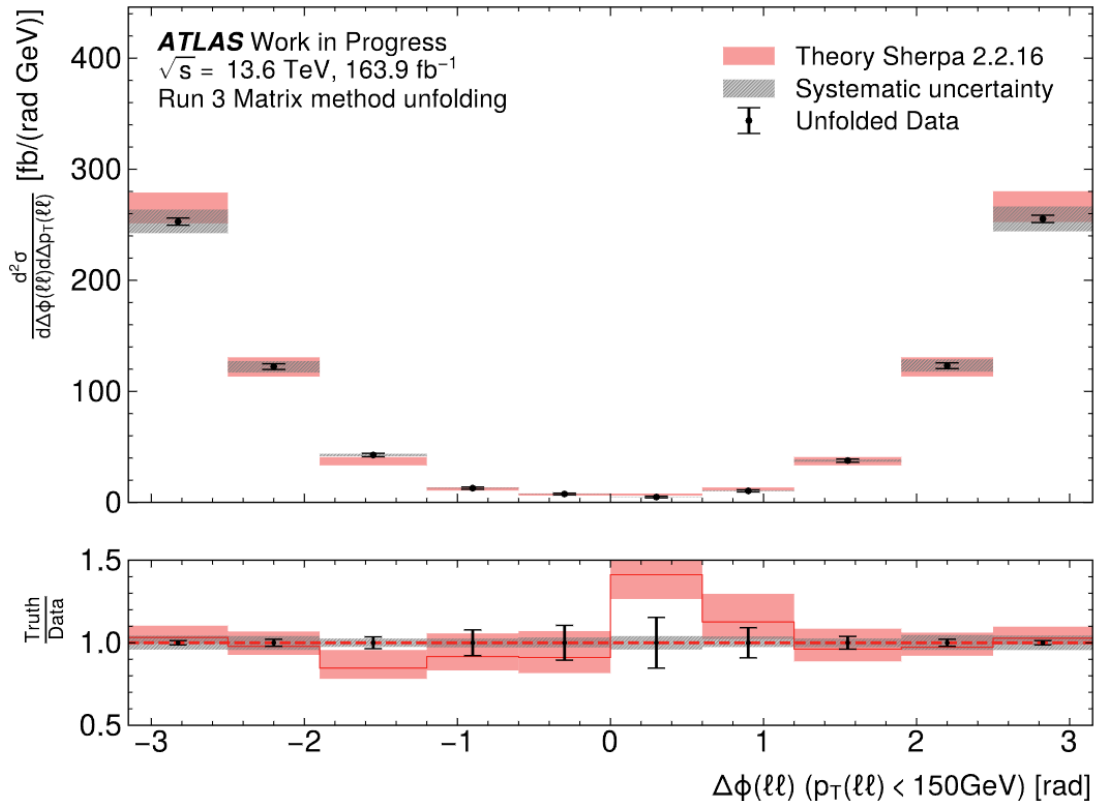
Data unfolding w/ systematics

Good agreement with Sherpa theory prediction

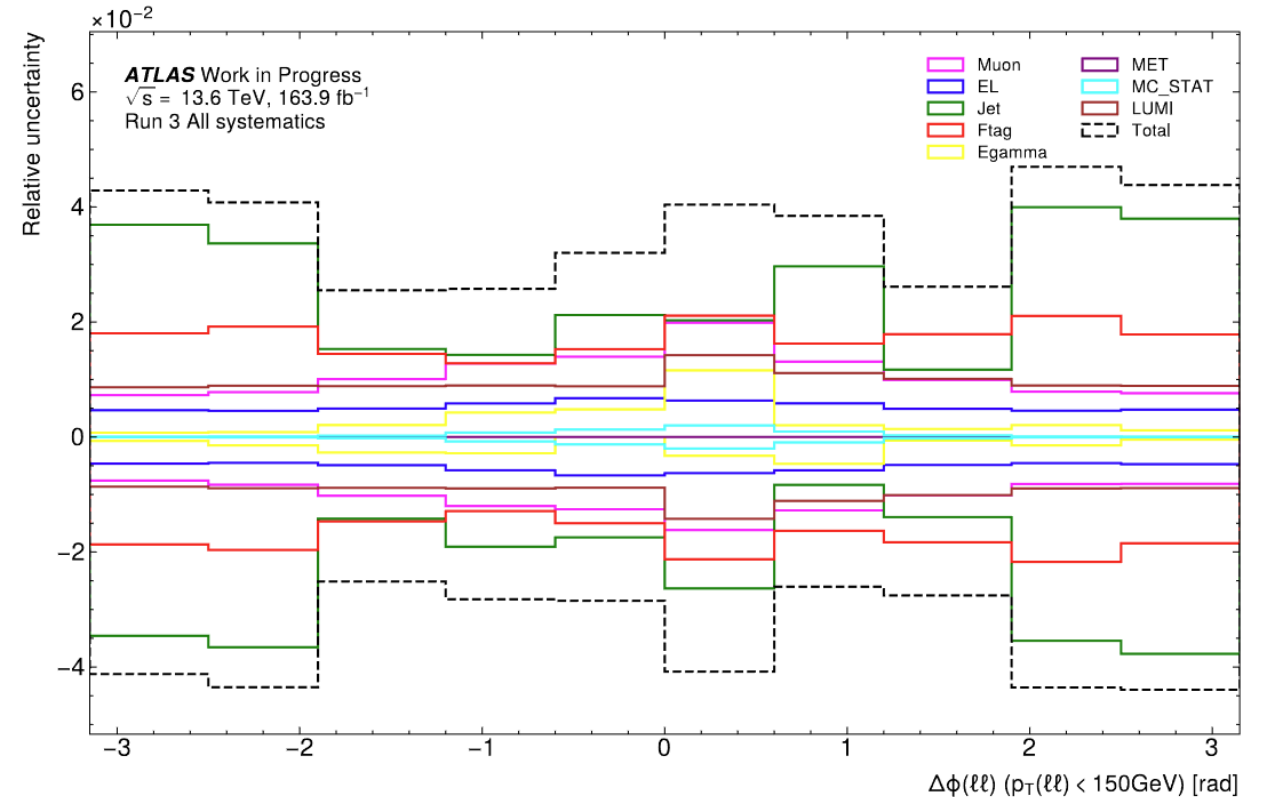


Data unfolding w/ systematics

Good agreement with Sherpa theory prediction



Experimental systematic uncertainty less than 5% in every bin



Summary and conclusions

- WW process is sensitive to potential EFT and CP-violating effects
- Presented first look into OSOF WW differential measurement with ATLAS Run3 data
 - 163 fb⁻¹ of data -> highest recorded to date
 - Focus on enhancing sensitivity to linear EFT over previous measurements, particularly on triple gauge coupling
 - First measurement of CP-odd sensitive variables (signed $\Delta\phi(\ell\ell)$)
 - Will extend to neural network observables to probe CP-violating effects
- Good agreement between theory and prediction for CP-odd sensitive variables
- Analysis nearing ATLAS internal review, stay tuned for publication this Summer!



Thank you for your attention

Alberto Plebani



Event selection and yields



- Event selection mimics Run2 selection as close as possible, except:
 - GN2 @90% eff (before was DL1r @85%)
 - Updated isolation and identification WPs

| Event selection | | |
|---|--|--|
| Exactly 2 opposite-charged leptons ($1e$ and 1μ), $p_T > 27\text{GeV}$ $ \eta(e) \leq 2.47$ with crack veto $1.37 \leq \eta(e) \leq 1.52$ $ \eta(\mu) \leq 2.5$ | | |
| Electrons: ID: TightLH, Isol: Tight_VarRad Muons: ID: Medium, Isol: PFlowTight_VarRad | | |
| $ d_0/\sigma_{d_0} < 5(e), 3(\mu), z_0 \cdot \sin\theta < 0.5 \text{ mm}$ | | |
| No additional electron with: $p_T > 10 \text{ GeV}$, $ \eta \leq 2.47$, ID: LooseBLayerLH, Isol: Loose_VarRad | | |
| No additional muon with: $p_T > 10 \text{ GeV}$, $ \eta \leq 2.7$, ID: Loose, Isol: NonIso | | |
| Inclusive in jet multiplicity for $p_T(j) > 30 \text{ GeV}$ and $ \eta(j) \leq 4.5$ JVT and fJVT applied $N_{b-jets} @90\% \text{ eff. (GN2 PCBT} > 1) = 0$ | | |
| $m_{e\mu} > 100 \text{ GeV}$ Veto on non-prompt leptons | | |
| Triggers: | | |
| mc23a | mc23d | mc23e |
| HLT_e26_lhtight_ivarloose_L1EM22VHI HLT_e60_lhmedium_L1EM22VHI HLT_e140_lhloose_L1eEM26M HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH | HLT_e26_lhtight_ivarloose_L1eEM26M HLT_e60_lhmedium_L1eEM26M HLT_e140_lhloose_L1EM22VHI HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH | HLT_e26_lhtight_ivarloose_L1eEM26M HLT_e60_lhmedium_L1eEM26M HLT_e140_lhloose_L1EM22VHI HLT_mu24_ivarmedium_L1MU14FCH HLT_mu50_L1MU14FCH |

| Sample | Yields \pm Stat. | Percentage (%) |
|---------------|------------------------|----------------|
| WW | $57\,485.7 \pm 77.2$ | 34.0 |
| Z + jets | 3636.5 ± 324.4 | 2.2 |
| WZ | 2366.5 ± 9.2 | 1.4 |
| VV | 130.6 ± 1.1 | 0.1 |
| $t\bar{t}$ | $77\,451.1 \pm 762.8$ | 45.8 |
| $V\gamma$ | 174.1 ± 25.5 | 0.1 |
| W + jets | 100.7 ± 64.6 | 0.1 |
| VVV | 201.1 ± 0.5 | 0.1 |
| VV EWK | 768.0 ± 9.0 | 0.5 |
| Single-top | $19\,748.4 \pm 28.8$ | 11.7 |
| Fakes | 6877.6 ± 72.4 | 4.1 |
| Total mc23ade | $168\,940.4 \pm 839.2$ | 1 |
| Run 3 Data | $170\,773.0 \pm 413.2$ | - |
| Data/MC | 1.01 | - |

Fake lepton background

- Small but not insignificant contribution of fake leptons to the signal region (<10%), largely from W+jets.
- Data-driven methods were used to calculate the fake lepton background.
- The nominal fake lepton background was estimated using the asymptotic matrix method.
- Fake factor method also used to provide a systematic variation.
- Fake lepton efficiencies were calculated in a Z+jets CR
- Fake efficiencies also calculated in a ttbar CR to provide a systematic variation for fake leptons originating in heavy flavour decays.

| Object | Requirement | Criteria |
|--------|----------------------|---|
| Lepton | p_T | > 27 GeV (Leading electron), > 10 GeV (Sub-leading electron) OR > 25 GeV (Leading muon), > 10 GeV (Sub-leading muon) |
| | $ \eta $ | < 2.47 (electron), < 2.5 (muon) |
| | Identification | LooseBLayerLH (electron), Loose, (muon) |
| | Isolation | Loose_VarRad (electron), NonIso (muon) |
| | Track Parameters | $ d_0 \text{ significance} < 5$ (electron), $ z_0 \sin\theta < 0.5$ (electron) |
| | Overlap Removal | passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR |
| Jet | p_T | > 35 GeV |
| | $ \eta $ | < 2.5 |
| | b-tagging | GN2 PCBT > 1 (equivalent to 90% eff. WP), $ \eta < 2.5$ |
| | Vertex Tagging | JVT FixedEffPt WP |
| Event | Trigger | HLT_e26_lhtight_ivarloose.L1EM22VHI OR HLT_e60_lhmedium.L1EM22VHI OR HLT_e140_lhloose.L1EM22VHI OR HLT_e140_lhloose.L1EM22VHI OR HLT_mu24_ivarmedium.L1MU14FCH OR HLT_mu50.L1MU14FCH |
| | Trigger-matching | Tag-lepton required to be matched to at least one trigger decision |
| | Leptons | 2 leptons, same flavour, opposite sign pair, no additional electrons of $p_T > 10$ GeV, LooseBLayerLH Identification and Loose_VarRad Isolation, no additional muons of $p_T > 10$ GeV, Loose Identification and NonIso Isolation |
| | Number of b-jets | 0 |
| | m_{ll} mass window | $ m_Z - m_{ll} < 10$ GeV |

Z+jets CR definition

Fake leptons VR



- Fakes VR:

- Orthogonal to SR due to same-sign requirement on $\ell\ell$ pair
- Good agreement in both normalisation and shape for mc23a

| Sample | Yields | Percentage (%) |
|------------|-------------------|----------------|
| WW | $1.6 \pm$ | 0.1 |
| ttbar | $282.2 \pm$ | 15.1 |
| Fakes | $827.6 \pm$ | 44.3 |
| VV | $596.3 \pm$ | 31.9 |
| Single top | $4.0 \pm$ | 0.2 |
| Z+jets | $57.8 \pm$ | 3.1 |
| VVV | $17.4 \pm$ | 0.9 |
| VV+jets | $83.3 \pm$ | 4.5 |
| Vgamma | $0.1 \pm$ | 0.0 |
| Total | $1870.2 \pm$ nan | 1 |
| Data | $1870.0 \pm$ 43.2 | - |
| Data/MC | 1.00 | - |

| Object | Requirement | Criteria |
|-----------------|--|--|
| Lepton | p_T | > 27 GeV (electron), > 27 GeV (muon) |
| | $ \eta $ | < 2.47 (electron), < 2.5 (muon) |
| | Identification | TightLH (electron), Medium, (muon) |
| | Isolation | Tight_VarRad (electron), PflowTight_VarRad (muon) |
| | Track Parameters | $ d_0 \text{ significance} < 5$ (electron), $ d_0 \text{ significance} < 3$ (muon), $ z_0 \sin\theta < 0.5$ |
| Overlap Removal | passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR | |
| Jet | p_T | > 30 GeV |
| | $ \eta $ | < 4.5 |
| | b-tagging | GN2 PCBT > 1 (equivalent to 90% eff. WP), $ \eta < 2.5$ |
| Vertex Tagging | JVT FixedEffPt WP | |
| Event | Trigger | HLT_e26_lhtight_ivarlose_L1EM22VHI OR HLT_e60_lhmedium_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_mu24_ivarmedium_L1MU14FCH OR HLT_mu50_L1MU14FCH |
| | Leptons | 1 electron and 1 muon of same sign, no additional electrons of $p_T > 10$ GeV, LooseBLayerLH Identification and Loose_VarRad Isolation, no additional muons of $p_T > 10$ GeV, Loose Identification and NonIso Isolation |
| | Number of b-jets | 0 |
| | $m_{e\mu}$ | > 85 GeV |

ttbar background

- Ttbar constitutes the largest background in the signal region
- A data-driven approach known as b-tag counting was used to estimate it.
- This approach was adopted in the Run 2 WW analysis.
- Ttbar yields are derived from data in 2b-jet and 1b-jet CRs
- The yield in the 0b-jet signal region is estimated by exploiting correlation effects between the 1b and 2b CR yields

$$\begin{aligned} N_{1b}^{t\bar{t}} &= N_{1b} - N_{1b}^{\text{others}} = N_{\geq 0b}^{t\bar{t}} \cdot 2\varepsilon_b (1 - C_b \varepsilon_b) , \\ N_{2b}^{t\bar{t}} &= N_{2b} - N_{2b}^{\text{others}} = N_{\geq 0b}^{t\bar{t}} \cdot C_b \varepsilon_b^2 , \\ N_{0b}^{t\bar{t}} &= N_{\geq 0b}^{t\bar{t}} \cdot \left(1 - 2\varepsilon_b + C_b \varepsilon_b^2 \right) , \end{aligned} \quad \begin{aligned} N_{0b}^{t\bar{t}} &= \frac{C_b}{4} \frac{\left(N_{1b}^{t\bar{t}} + 2N_{2b}^{t\bar{t}} \right)^2}{N_{2b}^{t\bar{t}}} - N_{1b}^{t\bar{t}} - N_{2b}^{t\bar{t}} , \\ C_b &= 4 \cdot N_{\text{MC}}^{t\bar{t}} N_{2b,\text{MC}}^{t\bar{t}} / \left(N_{1b,\text{MC}}^{t\bar{t}} + 2 \cdot N_{2b,\text{MC}}^{t\bar{t}} \right)^2 , \end{aligned}$$

- ttbar VR:

- Extra cuts at $m_{lj} < 140 \text{ GeV}$ and $\Delta\phi(e\mu) < \pi/2$

- Good agreement in shape and normalisation

| Sample | Yields | Percentage (%) |
|------------|--------------|----------------|
| WW | $223.1 \pm$ | 17.6 |
| ttbar | $810.0 \pm$ | 64.0 |
| Fakes | $51.8 \pm$ | 4.1 |
| VV | $25.5 \pm$ | 2.0 |
| Single top | $146.1 \pm$ | 11.5 |
| Z+jets | $5.5 \pm$ | 0.4 |
| VVV | $1.2 \pm$ | 0.1 |
| VV+jets | $2.9 \pm$ | 0.2 |
| Vgamma | $0.1 \pm$ | 0.0 |
| Total | $1266.3 \pm$ | 95.2 |
| Data | $1357.0 \pm$ | 36.8 |
| Data/MC | 1.07 | - |

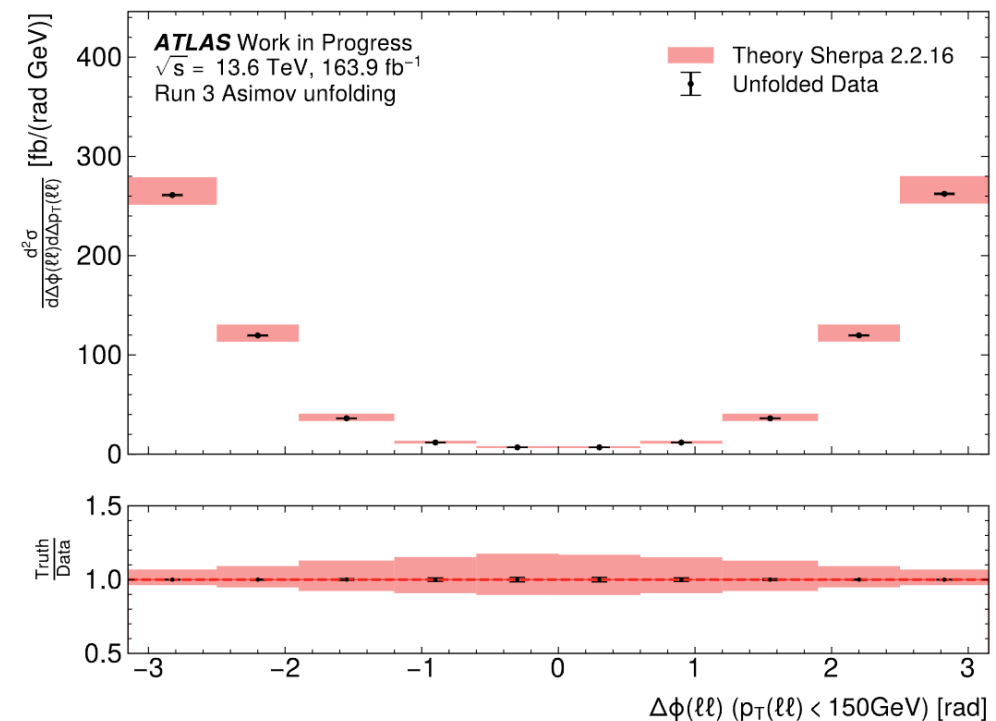
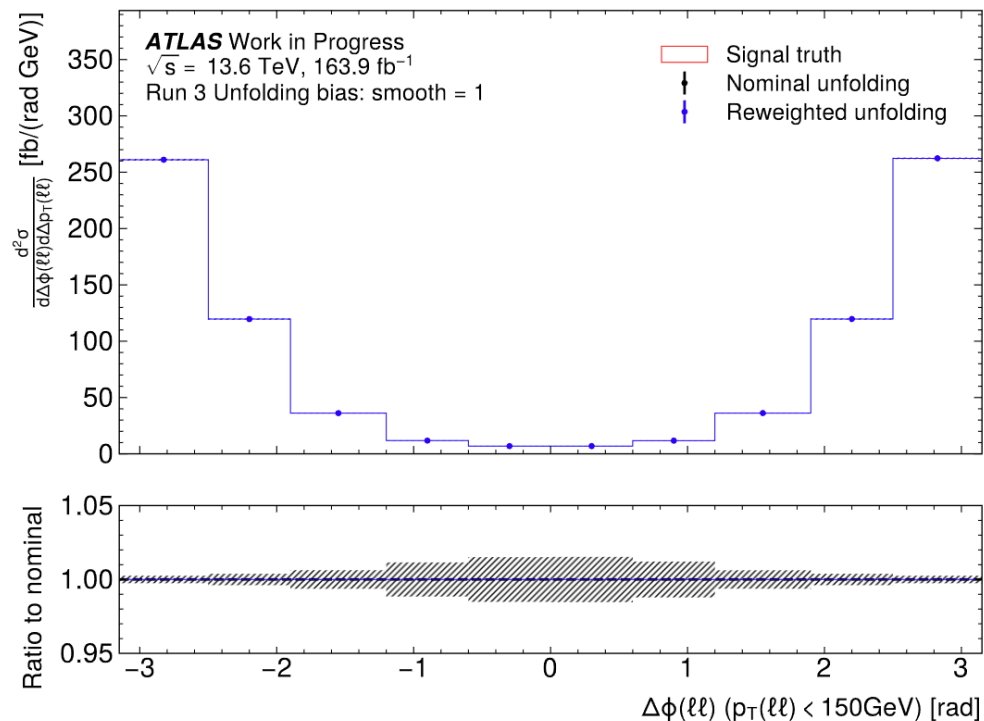
| Object | Requirement | Criteria |
|-----------------|--|--|
| Lepton | p_T | $> 27 \text{ GeV}$ (electron), $> 27 \text{ GeV}$ (muon) |
| | $ \eta $ | < 2.47 (electron), < 2.5 (muon) |
| | Identification | TightLH (electron), Medium, (muon) |
| | Isolation | Tight_VarRad (electron), PflowTight_VarRad (muon) |
| | Track Parameters | $ d_0 \text{ significance} < 5$ (electron), $ d_0 \text{ significance} < 3$ (muon), $ z_0 \sin\theta < 0.5$ |
| Overlap Removal | passes $e - \mu$ OR, $e - jet$ OR, passes $\mu - jet$ OR | |
| Jet | p_T | $> 30 \text{ GeV}$ |
| | $ \eta $ | < 4.5 |
| | b-tagging | GN2 PCBT > 1 (equivalent to 90% eff. WP), $ \eta < 2.5$ |
| | Vertex Tagging | JVT FixedEffPt WP |
| Event | Trigger | HLT_e26_lhtight_ivarloose_L1EM22VHI OR HLT_e60_lhmedium_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_e140_lhloose_L1EM22VHI OR HLT_mu24_ivarmedium_L1MU14FCH OR HLT_mu50_L1MU14FCH |
| | Leptons | 1 electron and 1 muon of opposite charge, no additional electrons of $p_T > 10 \text{ GeV}$, TightLH Identification and Tight_VarRad Isolation, no additional muons of $p_T > 10 \text{ GeV}$, Medium Identification and PflowTight_VarRad Isolation |
| | Number of b-jets | 0 |
| | $m_{e\mu}$ | $> 85 \text{ GeV}$ |
| | $m_{\ell j}$ | $< 140 \text{ GeV}$ |
| | $\Delta\phi_{e\mu}$ | $< \pi/2$ |

Unfolding: bias uncertainty and closure



- Data-driven bias uncertainty
 - Obtained by reweighting MC to reflect data

- Closure test:
 - Unfold MC-to-MC
 - Perfect closure observed



Unfolding setup

- Unfolding performed using [custom-made IBU script](#) with RooUnfold
- Bin optimised so that each bin has at least 500 entries **and** at least 70% in diagonal of the migration matrix (details in backup)
- Performed unfolding both MC-to-MC (closure test) and using real Data
 - For fakes, using both MC and data-driven fakes estimation
 - Plan to include data-driven estimation also for ttbar
- Uncertainties:
 - All instrumental systematics added
 - Currently working on theoretical (PDF and scale)
- Added theoretical uncertainties on the truth distribution

Theory: scale uncertainties calculation



- Scale variations:
 - 7-point envelope:
 - $\{(\mu_R, \mu_F)\} = \{(0.5, 0.5); (0.5, 1); (1, 0.5); \text{Nominal } (1, 1); (2, 1); (1, 2); (2, 2)\}$
- Ex. for signal $W^+W^- \rightarrow e^\pm\nu\mu^\mp\nu$ (701050, *Sh_llvv_os*):
 - Take syst-variated reco and truth for each pair of μ_R and μ_F and calculate MM, efficiency, and acceptance
 - Take NOSYS for backgrounds to subtract from data
 - Unfold the syst-variated truth
 - Get the uncertainty
- Repeat for all processes (WZ, ttbar, Zee, and so on) independently
 - Still not fully implemented

Theory: PDF uncertainties calculation



- Working with the 100 NNPDF variations, combining them according to [PDF4LHC21 recs](#):

- (N_eig = 100)

$$\delta^{\text{pdf}} \mathcal{F} = \sqrt{\sum_{k=1}^{N_{\text{eig}}} (\mathcal{F}^{(k)} - \mathcal{F}^{(0)})^2}$$

- Two extra variations for $\alpha_S = 0.117$ and $\alpha_S = 0.119$ (nominal is $\alpha_S = 0.118$)
 - Combining the two:
- $$\delta^{\alpha_s} \sigma = \frac{\sigma(\alpha_s = 0.119) - \sigma(\alpha_s = 0.117)}{2},$$

$$\delta^{\text{PDF}+\alpha_s} \sigma = \sqrt{(\delta^{\text{pdf}} \sigma)^2 + (\delta^{\alpha_s} \sigma)^2}.$$

- Consider together all processes with the same PDF set
 - Ex. take all Sherpa processes which use NNPDF3.0 NNLO Hessian PDF set, change reco and truth (only if signal) and calculate MM, efficiency, and acceptance. Use the syst-variated estimation of the backgrounds (if affected, if not consider NOSYS) and unfold
 - Obtain one uncertainty for every group of PDF ([NNPDF3.0 NLO Hessian](#), [NNPDF3.0 NLO 4FS](#), [NNPDF3.0 NLO](#), [NNPDF3.0 NNLO Hessian](#), [NNPDF3.0 NNLO 4FS](#))
 - Currently implemented only for signal, working on adding others

Theory uncertainties



| DSID | Process | Generator | Nominal Hessian PDF | PDF set | PDF for scale | PDF set for scale | Scale variations names |
|--------|--|--------------|--------------------------------|------------------|---------------|--------------------|-----------------------------------|
| 522024 | $t\bar{t}Z(\rightarrow e\bar{e})$ | MadGraph | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303000 | NNPDF NLO Hessian | MUR10_MUF20 |
| 522028 | $t\bar{t}Z(\rightarrow \mu\bar{\mu})$ | MadGraph | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303000 | NNPDF NLO Hessian | MUR10_MUF20 |
| 522032 | $t\bar{t}Z(\rightarrow \tau\bar{\tau})$ | MadGraph | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303000 | NNPDF NLO Hessian | MUR10_MUF20 |
| 525955 | tWZ | MadGraph | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303000 | NNPDF NLO Hessian | MUR10_MUF20 |
| 545027 | tZq | MadGraph | 93700 (40 + 2 for α_S) | PDF4LHC21_40_4FS | 260400 | NNPDF NLO 4FS | MUR10_MUF20 |
| 545028 | $\bar{t}Zq$ | MadGraph | 93700 (40 + 2 for α_S) | PDF4LHC21_40_4FS | 260400 | NNPDF NLO 4FS | MUR10_MUF20 |
| 601230 | $t\bar{t}$ | PowhegPythia | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 260000 | NNPDF NLO | MUR1_MUF2 |
| 601354 | tW | PowhegPythia | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 260000 | NNPDF NLO | MUR1_MUF2 |
| 700772 | $\tau\tau\gamma$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700792 | $Z \rightarrow \tau\bar{\tau}$ (+B) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700793 | $Z \rightarrow \tau\bar{\tau}$ (+C) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700794 | $Z \rightarrow \tau\bar{\tau}$ (+LF) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700901 | $Z \rightarrow \tau\bar{\tau}$ low_Mll (+B) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700902 | $Z \rightarrow \tau\bar{\tau}$ low_Mll (+C) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700903 | $Z \rightarrow \tau\bar{\tau}$ low_Mll (+LF) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700997 | $t\bar{t}W$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701000 | $lllljj$ (EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701005 | $lllvjj$ (EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701010 | $llvvjj$ (OS) (EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701020 | $lllljj$ (Int. EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701025 | $lllvjj$ (Int. EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701030 | $llvvjj$ (OS) (Int. EW) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701040 | $llll$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701045 | $lllv$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701050 | $lvlv$ (OS) | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701085 | $Z(\rightarrow qq)Z(\rightarrow ll)$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701090 | $Z(\rightarrow bb)Z(\rightarrow ll)$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701105 | $W(\rightarrow qq)Z(\rightarrow ll)$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 701165 | $ll\gamma jj$ | Sherpa | 93300 (40 + 2 for α_S) | PDF4LHC21_40 | 303200 | NNPDF NNLO Hessian | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700866 | $WWW \rightarrow llvvv$ | Sherpa | 93700 (40 + 2 for α_S) | PDF4LHC21_40_4FS | 261400 | NNPDF NNLO 4FS | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |
| 700867 | $WZZ \rightarrow llvvv$ | Sherpa | 93700 (40 + 2 for α_S) | PDF4LHC21_40_4FS | 261400 | NNPDF NNLO 4FS | MUR1_MUF2_PDF303200_PSMUR1_PSMUF2 |

Iterative Bayesian Unfolding

- Assume an initial hypothesis for the probability density of the truth distribution $f(t)$
 - $f(t) = \int dr g(r) P(t|r)$, with $g(r)$ taken from data and $P(t|r)$ taken from MC simulations
 - $P(t|r)$ is then updated iteratively as

- The n^{th} step is obtained as
$$P(t|r) = \frac{P(r|t)f(t)}{\int f(t)P(r|t)dt} = \frac{P(r|t)f(t)}{g(r)}$$

$$f^{n+1}(t) = \int f^r(t) \frac{g(r)_{\text{data}}}{g^n(r)} P(r|t) dt$$

- Procedure repeated until difference between $f^{n+1}(t)$ and $f(t)$ is less than a certain threshold

- Implemented bootstrap technique in unfolding calculation to estimate statistical uncertainty
- Error comparison:
 - Stat_uncertainty is the error coming from the “nominal” unfolding
 - Bootstrap_error is the one coming from the bootstrap
 - Comparable, with bootstrap being usually smaller

```
(Pdb) print(np.array(stat_uncertainty))
[39.66092158 35.32825551 46.28077764 59.5925267 71.00373422 80.09907369
 86.42675813 84.24197714 88.01504912 89.05609265 87.59173586 83.66074768
 81.72525735 77.31381775 77.15241043 73.69661204 65.25220743 60.70280759
 54.10084857 48.68811855 46.06392141 40.08269998 36.10017056 28.05210092
 27.99039308 25.7299486 30.99368253]
(Pdb) print(np.array(bootstrap_error))
[38.44243091 35.37961289 45.79619605 59.46766351 72.612459 78.36038555
 87.00428931 82.43005963 85.84026613 87.6244471 85.96676988 84.16738236
 82.73307236 76.96109972 73.74238683 76.0298312 65.82961615 61.14980692
 55.00073527 47.50449302 47.10482908 39.70725019 35.10139281 28.12479942
 27.75629444 26.1913554 32.08084817]
(Pdb) difference_error = bootstrap_error - stat_uncertainty
(Pdb) print(np.array(difference_error / stat_uncertainty))
[-0.0307227 0.00145372 -0.01047047 -0.00209528 0.0226569 -0.02170672
 0.00668232 -0.02150849 -0.02470922 -0.01607577 -0.01855159 0.00605582
 0.01233174 -0.00456216 -0.04419854 0.03165979 0.00884888 0.00736373
 0.0166335 -0.02431036 0.02259703 -0.00936688 -0.02766684 0.00259155
 -0.00836354 0.01793267 0.03507701]
```

7.1.3 Bootstrap techniques for statistical uncertainty

The statistical uncertainty on the unfolded distribution is estimated using the bootstrap method. For this, the `BootstrapGenerator` package [22] was used. This method consists of generating a set of replicas of the measured spectrum, all derived by fluctuating each event in the data distribution by a Poisson distribution centred around the value of the measured data. In practice, this is obtained by performing the following steps:

- Generate a response by using the background-subtracted-data as per Eq. (7.15)
- For every bin in the data distribution, get the total number of expected events N_{meas} as the number of measured data events
- Sample N_{meas} times a weight $\omega_i \sim \text{Poisson}(1)$ from a Poisson distribution with mean 1, and fill the new histogram with these weights
- Repeat this procedure 1000 times. Now every bin will have entries distributed according to a Poisson distribution centred around the measured number of events.
- For every replica ($i = 1, \dots, 1000$), do:
 1. Get the reweighted data distribution
 2. Build a reweighted background-subtracted data using Eq. (7.15)
 3. Unfold the reweighted background-subtracted data to the truth with the nominal response
 4. Store the entries of the new unfolded distribution
- Once the loop is completed, the uncertainty in each bin j from the 1000 variations is computed as the RMS of all the variations, as per Eq. (7.16), where $N = 1000$, x_i^j are the entries from the i -th variation in the j -th bin, and \bar{x}^j refers to the entries in the j -th bin of the nominal unfolded distribution.

$$\sigma_U^j = \sqrt{\frac{1}{N-1} \sum_{i=1}^N (x_i^j - \bar{x}^j)^2} \quad (7.16)$$

Dim-6 SMEFT interpretation

- Plan to do EFT interpretation on **CP-even/odd dim-6 operators**
 - Set limits on 4 Wilson coefficients: **cW, cHWB, cWtil, CHWBtil**
 - mc23a/d/e samples requested for **linear, quadratic and cross terms**
 - **MC request** in EW subgroup and the production [JIRAticket](#)
 - mc23a/d are done, waiting for some tails in mc23e to finish

- The cross-section prediction in each bin:

$$f_{\text{pred}}^b(\mathbf{c}, \boldsymbol{\theta}) = f_{\text{pred, SM}}^b \left(1 + \sum_i \underbrace{A_{bi}}_{\text{linear}} \frac{c_i}{\Lambda^2} + \sum_i \underbrace{B_{bi}}_{\text{quadratic}} \frac{c_i^2}{\Lambda^4} + \sum_{i < j} \underbrace{C_{bij}}_{\text{Cross}} \frac{c_i c_j}{\Lambda^4} \right) \prod_n^{n_{\text{theory, syst}}} (1 + u_n \theta_n)$$

- The Likelihood function for EFT fit

$$L(\mathbf{x} | \mathbf{c}, \boldsymbol{\theta}) = \frac{1}{\sqrt{(2\pi)^{n_{\text{bins}}} \det(V)}} \exp\left(-\frac{1}{2} \Delta \mathbf{x}^T(\mathbf{c}, \boldsymbol{\theta}) V^{-1} \Delta \mathbf{x}(\mathbf{c}, \boldsymbol{\theta})\right) \times \prod_{i=1}^{n_{\text{theo syst}}} f_i(\theta_{\text{theo syst}, i}) \times \prod_{i=1}^{n_{\text{exp syst}}} f_i(\theta_{\text{exp syst}, i})$$

EFT interpretation: plan

- Fitting tool: [EFT-fun](#) Fit setup:
 - Unfolded distributions of **mll** (only mc23a used for this preliminary study)
 - Not particularly sensitive to EFT effects, used mainly to validate the framework and because we expect low sensitivity
 - EFT formulation only linear effect
 - Stat uncertainty on unfolded data - embedded in covariance matrix V
 - Experimental uncertainties on unfolded data - treated as NPs
 - Theoretical uncertainties on prediction - treated as NPs
- Will perform EFT study on $\Delta\phi(\ell\ell)$ which gives us sensitivity to CP-even and CP-odd operators
 - Will also add ONN which is expected to improve this even further

- EFT extensions to SM add CP-violating operators to the SM lagrangian
- The leading order BSM term in the matrix element is the interference between SM and EFT

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \sum_i \frac{c_i}{\Lambda^2} \tilde{\mathcal{O}}_i \longrightarrow |\mathcal{M}_{\text{BSM}}|^2 = |\mathcal{M}_{\text{SM}}|^2 + 2\text{Re}\{\mathcal{M}_{\text{SM}}\mathcal{M}_{\text{d6}}^*\} + |\mathcal{M}_{\text{d6}}|^2$$

- CP-odd EFT operators => interference is also CP-odd
- Interference can be constructive or destructive
- Kinematic similarities between constructive and destructive interference events means that if the variable is insensitive to CP-odd nature (ex. pT), the interference effects cancel out
- Sensitivity can be “resurrected” by studying CP-odd sensitive observables [arxiv.708.07823](https://arxiv.org/abs/1708.07823)

Neural Network variable

- [arxiv.2112.05052](https://arxiv.org/abs/2112.05052) and [arxiv.2209.05143](https://arxiv.org/abs/2209.05143): ML models can be used to construct complex observables that are sensitive to CP-odd asymmetries
- By training a multi-class NN to classify constructive, destructive and SM events, a CP-sensitive observable can be defined as:
 - $O_{NN} = P_+ - P_-$