



Renormalizability and UV behaviour of 5D gauge theories

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[arXiv:2601.00453]

in collaboration with G. Cacciapaglia, W. Isnard, R. Pasechnik



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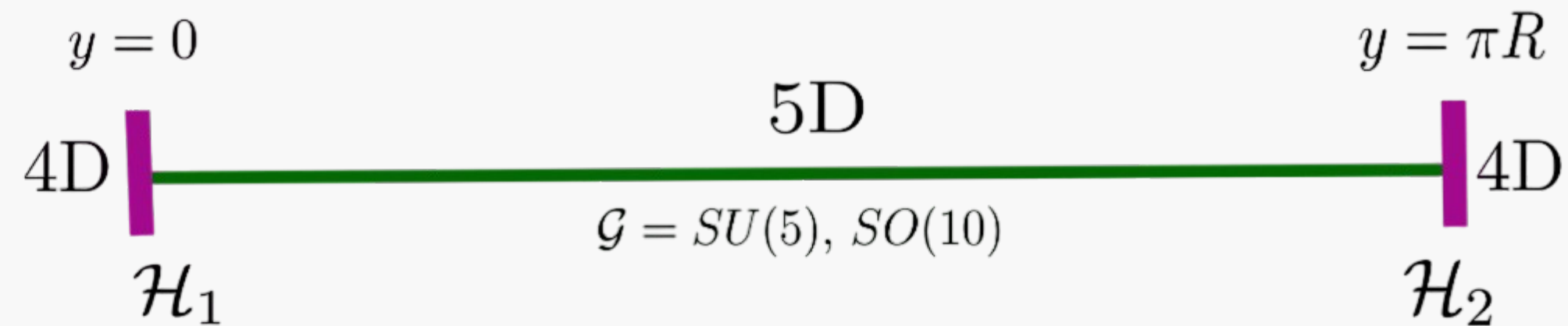
Outline

- Motivation: the asymptotic GUT example
- RGEs: from 4D to 5D
- Renormalization of 5D gauge theories (*one loop analysis*)
- Conclusions



Asymptotic GUTs

- **5D models:** one extra dimension ¹ compactified on $K = S^1/\mathbb{Z}_2 \times \mathbb{Z}'_2$



- Symmetry is broken via orbifolding ($\mathcal{G} \rightarrow \mathcal{H}_i$ on each boundary ²)

$$\mathcal{G}_{4D} \equiv \mathcal{H}_i \cap \mathcal{H}_j \supset \mathcal{G}_{SM}$$

remnant 4D theory

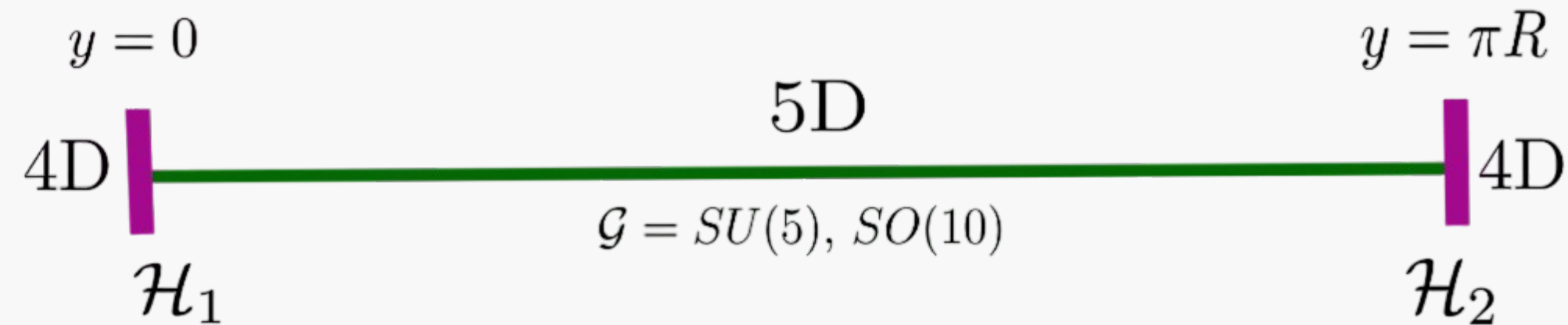
¹ A. Hebecker, J. March-Russell, Nuclear Phys. B 625 (2002)

² G. Cacciapaglia, arXiv:2309.10098 (2023)

Asymptotic GUTs

orbifold

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remnant 4D theory

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Kaluza Klein decomposition

$$\Phi(x^\mu, y) = \sum_{n=0}^{\infty} \phi_+^{(n)}(x^\mu) \cos(ny/R) + \sum_{n=1}^{\infty} \phi_-^{(n)}(x^\mu) \sin(ny/R)$$

Any 5D field

Φ_{5D}

(scalar, fermion, gauge boson)

Infinite tower of 4D fields

⋮

n=4 _____

n=3 _____

n=2 _____

n=1 _____

n=0 _____

Kaluza Klein decomposition

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n=3 _____

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SM particles

Why?

- no proton decay: lower GUT scale
- less parameters/smaller representations
- hierarchy problem (?)



interesting playground for
beyond the Standard Model
physics

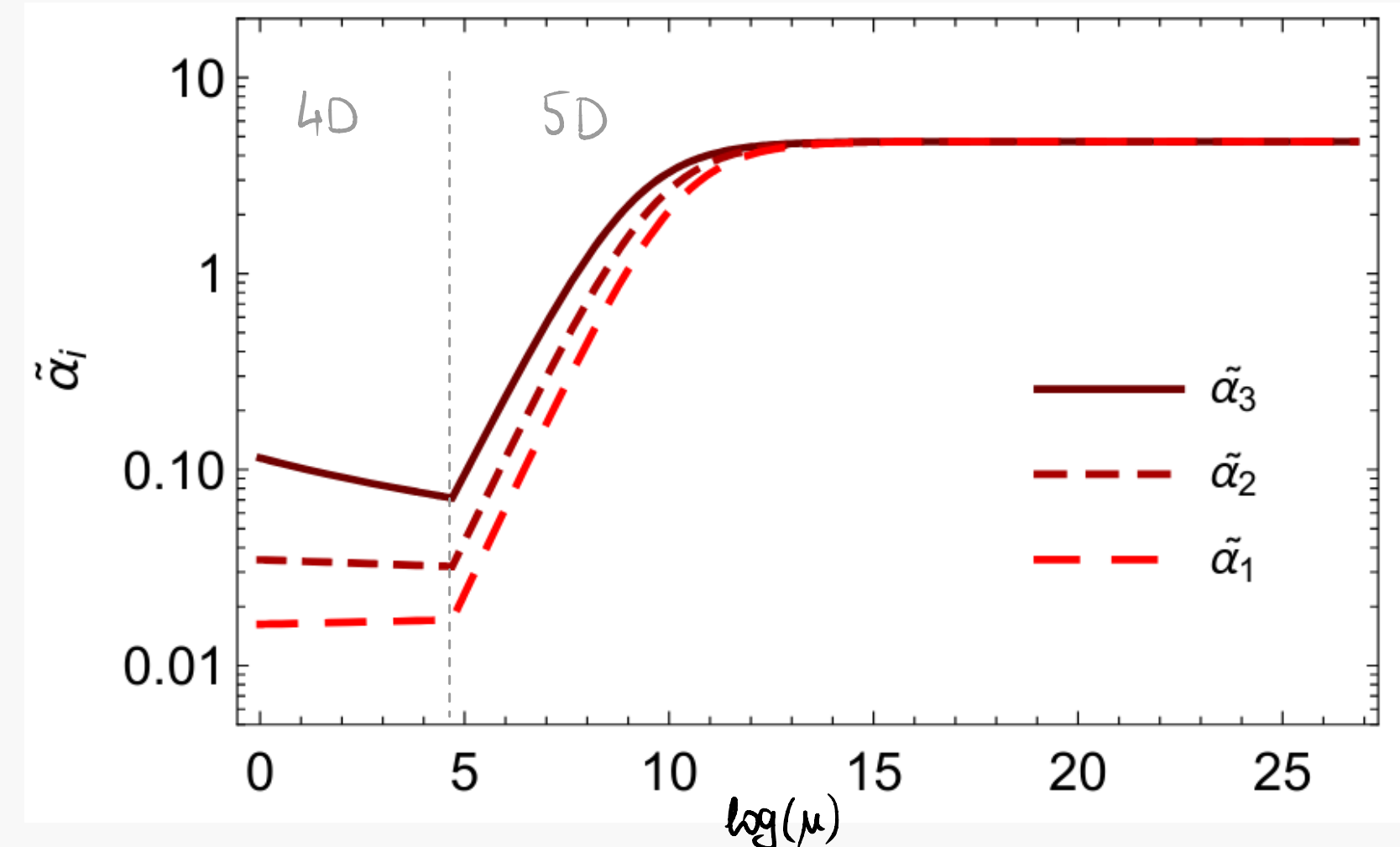
RGEs: from 4D to 5D

- 4D: logarithmic running $16\pi^2 \frac{dg_i}{dt} = b_{SM}^i g_i^3$

- 5D: power-law running ²

$$16\pi^2 \frac{dg_i}{dt} = b_{SM}^i g_i^3 + (S(t) - 1)b_5^i g_i^3$$

$$\text{where } S(t) = \begin{cases} \mu R = M_Z R e^t, & \text{for } \mu \geq 1/R \\ 1, & \text{otherwise} \end{cases}$$



G. Cacciapaglia, et.al., 104, PRD 2021

² G. Cacciapaglia, arXiv:2309.10098 (2023)

RGEs: from 4D to 5D

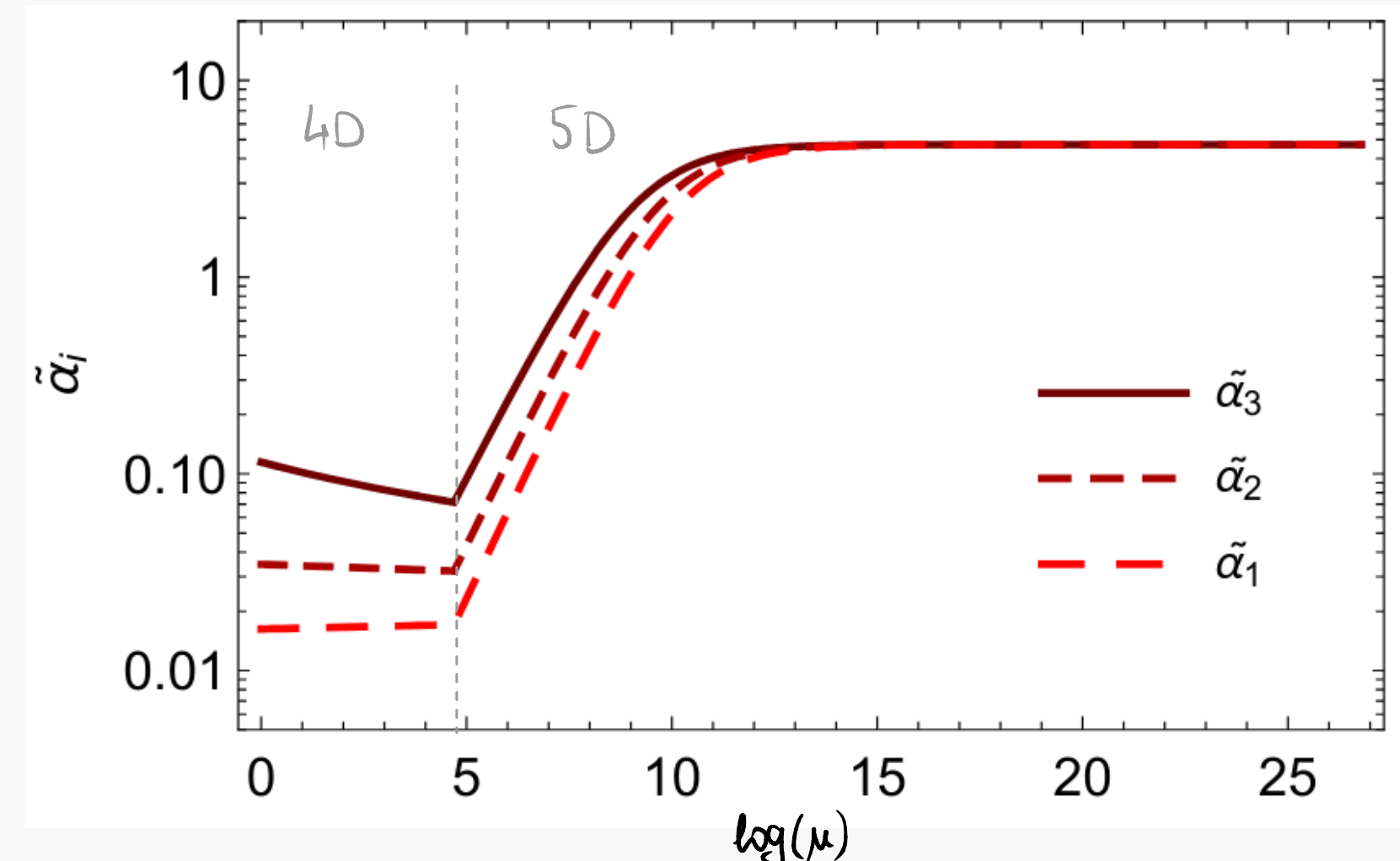
- 5D: couplings flow asymptotically towards a UV fixed point

It's existence $\longleftrightarrow$ good behavior in the UV

- gauge couplings

$$16\pi^2 \frac{dg_i}{dt} = b_{SM}^i g_i^3 + (S(t) - 1)b_5^i g_i^3$$

Fixed point exists for $b_5^i \leq 0$



G. Cacciapaglia, et.al., 104, PRD 2021

RGEs: from 4D to 5D

- Yukawa couplings

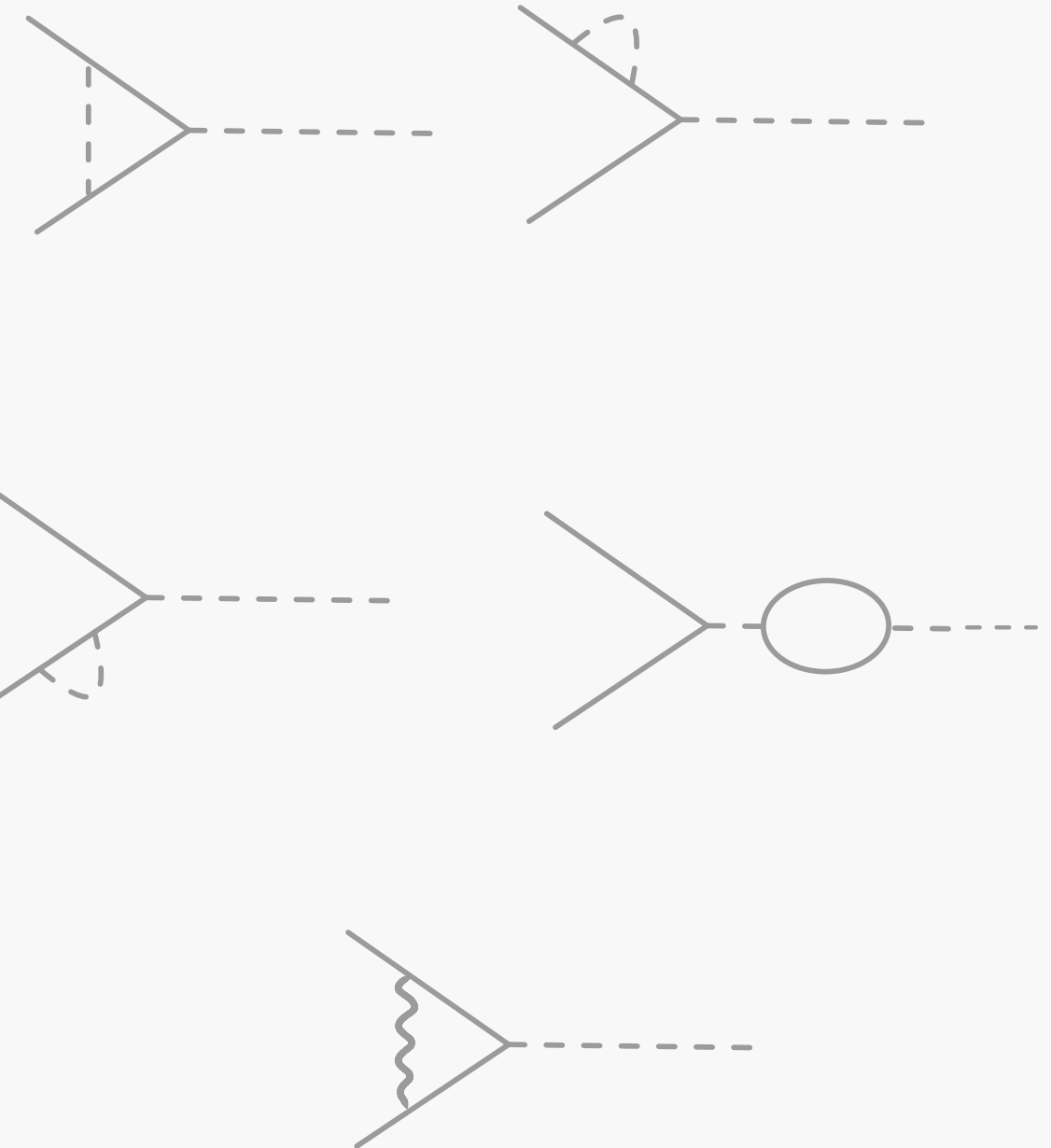
$$16\pi^2 \frac{dy}{dt} = \beta_{SM}^y + (S(t) - 1)\beta_5^y$$

where the β -function is given by ³

$$\beta_y = y(c_y y^2 - d_y g^2)$$

Fixed point $\tilde{\alpha}_y^* = \frac{d_y \tilde{\alpha}_g^* - 2\pi}{c_y}$ exists for $d_y \tilde{\alpha}_g^* \geq 2\pi$

$$\tilde{\alpha}_{y(g)} = \alpha_{y(g)} \mu R$$



RGEs: from 4D to 5D

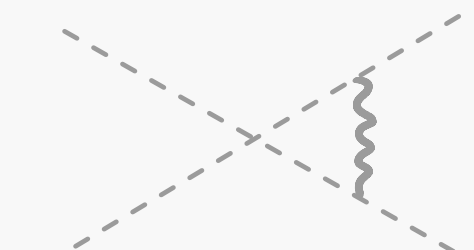
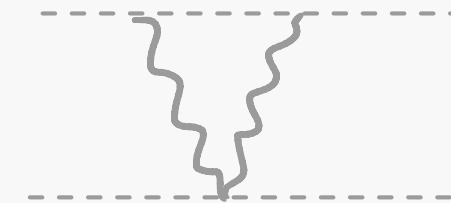
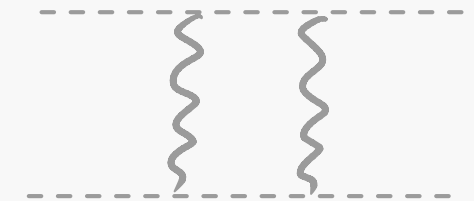
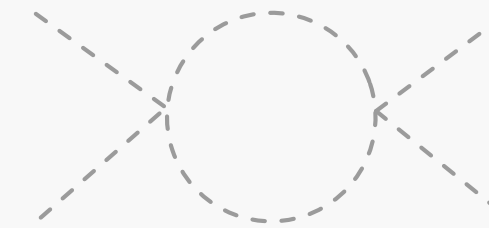
- Scalar couplings $\frac{1}{4!} \lambda \phi^4$

$$16\pi^2 \frac{d\lambda}{dt} = \beta_{SM}^\lambda + (S(t) - 1)\beta_5^\lambda$$

where the β -function is given by ³

$$\beta_\lambda = a_\lambda \lambda^2 - b_\lambda y^4 - c_\lambda \lambda g^2 + d_\lambda g^4 + e_\lambda \lambda y^2$$

Fixed point condition is more complicated...



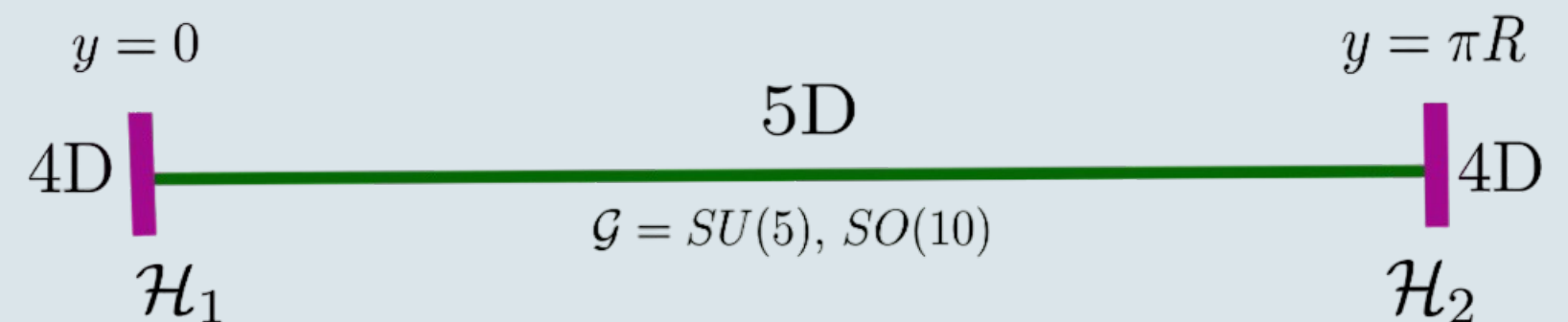
Assume a **theory**
with couplings that
flow asymptotically
towards **fixed points**

+ the fixed points are perturbative



Can we **renormalize**
the theory in the bulk
and on the
boundary?

(one loop)



Disclaimer!

What is a **renormalizable** theory?

Couplings have
perturbative **fixed
points**

+

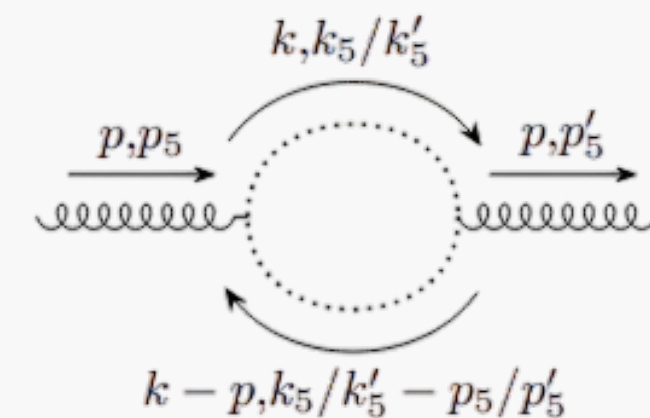
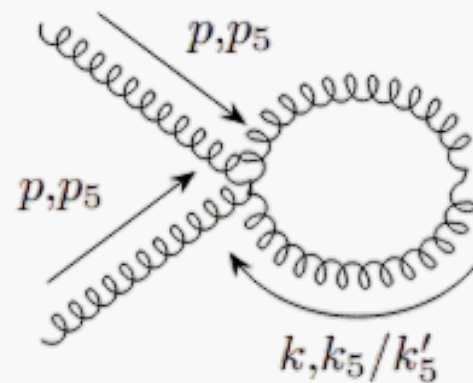
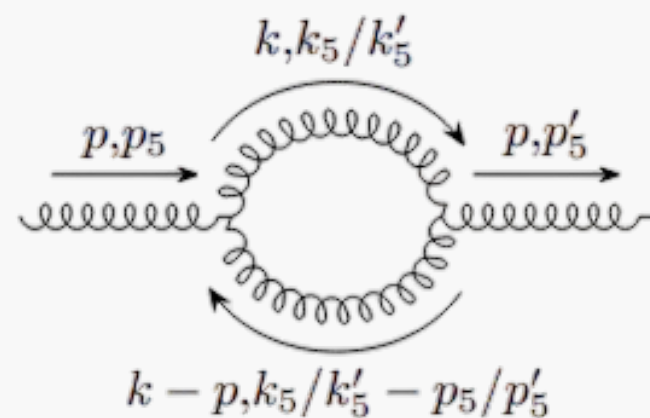
**Finite number of
counterterms** to
absorb the power
law divergencies

Renormalization of 5D gauge theories

Common lore: **5D** gauge theories are **non-renormalizable**⁴

... but under certain conditions a fixed point exists $\rightarrow$ theories valid up to arbitrarily high energy scales⁵

Pure Yang Mills theory $\mathcal{L}_{\text{YM}} = -\frac{1}{4}F^{MN}F_{MN}$

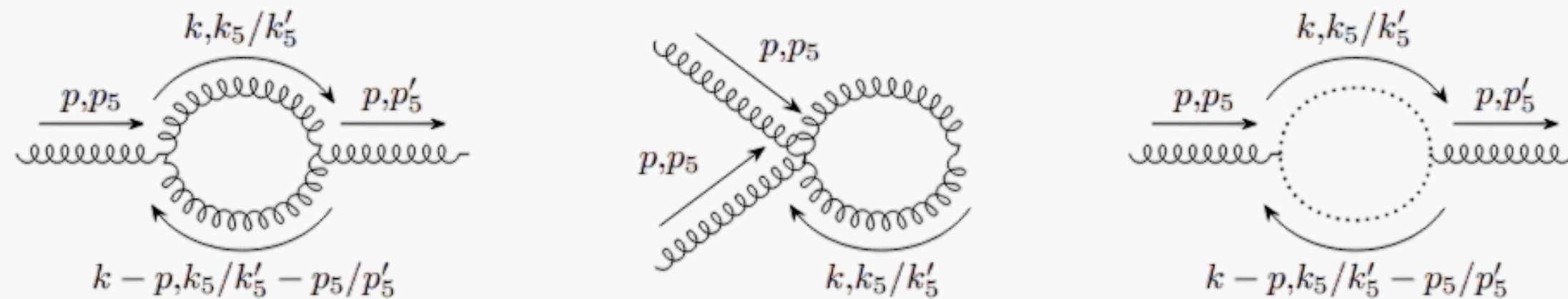


⁴H. Gies, Phys. Rev. D 68 (2003)

⁵T. Morris, JHEP 2005 (2005)

Renormalization of 5D gauge theories

Pure Yang Mills theory $\mathcal{L}_{\text{YM}} = -\frac{1}{4}F^{MN}F_{MN}$



$$i\Sigma = \underbrace{[p_5 - \text{conserving terms}]}_{\text{bulk}} + \underbrace{[p_5 - \text{non-conserving terms}]}_{\text{boundary}}$$

Bulk: structure of divergencies same as in 4D (at one loop)

- Scaling changes from logarithmic 4D ($\sim \log \Lambda$) to 5D linear ($\sim \Lambda$)
- Same renormalization procedure as in 4D; **finite number of counterterms** are needed

Renormalization of 5D gauge theories

Pure Yang Mills theory $\mathcal{L}_{\text{YM}} = -\frac{1}{4}F^{MN}F_{MN}$

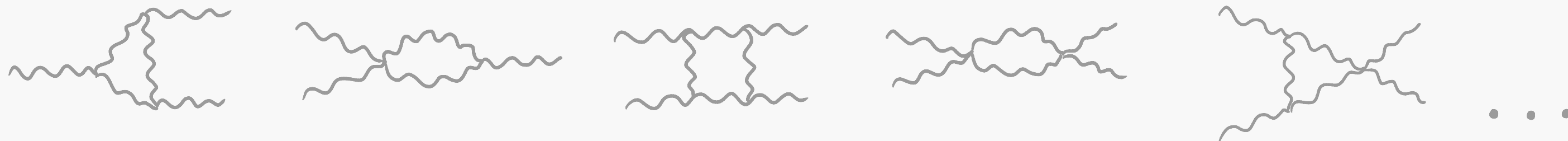
Boundary: renormalizability of a pure Yang Mills at the fixed points

→ less straightforward than bulk

Two-point function:^{3,6}

$$i\Sigma = \frac{g^2}{64\pi^2} \frac{1}{\epsilon} \left[(g_{\mu\nu} - p_\mu p_\nu) \left(\frac{11}{3} - (\xi - 1) \right) C(G) + g_{\mu\nu} \frac{p_5^2 + p_5'^2}{2} (4 + (\xi - 1)) C(G) \right]$$

To reconstruct the full counterterm on the boundary we need **higher vertex corrections** as well (3-pt and 4-pt functions)



³G. Cacciapaglia, W. Isnard, R. Pasechnik, AP [arXiv:2601.00453]

⁶ HC Cheng, et al., Phys. Rev. D, 66 (2002)

Renormalization of 5D gauge theories

Pure Yang Mills theory $\mathcal{L}_{\text{YM}} = -\frac{1}{4}F^{MN}F_{MN}$

Boundary: renormalizability of a pure Yang Mills at the fixed points

- less straightforward than bulk
- divergencies can be absorbed by a **finite number of counterterms**
- “**magic gauge**” ($\xi = -3$): coefficients of the 2-,3- and 4-pt functions are the same
localized counterterm can be unified into a single term $-\frac{1}{4}K\delta(F_{\mu\nu}F^{\mu\nu})$

! Theory is renormalizable both in the bulk and on the boundary (at one loop)

(finite number of operators to cancel divergencies)

Renormalization of 5D gauge theories

Adding scalars and fermions

→ realistic models: go beyond pure Yang Mills ^{6,7}

$$\begin{aligned}\mathcal{L}_4 = & -\frac{A}{4} F_{\mathcal{H}}^{\mu\nu} F_{\mathcal{H},\mu\nu} + B_1 i\bar{\psi}\not{D}\frac{1\pm\gamma_5}{2}\psi + B_2 \left[(\partial_5\bar{\psi})\frac{1\pm\gamma_5}{2}\psi - \bar{\psi}\frac{1\mp\gamma_5}{2}(\partial_5\psi) \right] + \\ & + C_1 (\mathcal{D}_\mu\phi_+)^\dagger(\mathcal{D}^\mu\phi_+) + C_2 \left[(\partial_5^2\phi_+^\dagger)\phi_+ + \phi_+^\dagger(\partial_5^2\phi_+) \right] + C_3 (\partial_5\phi_-)^\dagger(\partial_5\phi_-) + \\ & -m_{\text{loc}}^2(\phi_+^\dagger\phi_+) - D (\phi_+^\dagger\phi_+)^2\end{aligned}$$

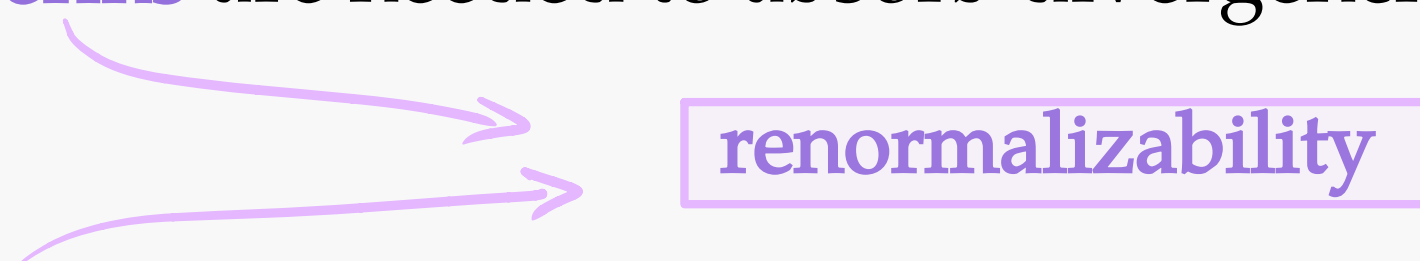
Full set of
boundary
counterterms

⁶ HC Cheng, et al., Phys. Rev. D, 66 (2002)

⁷ H. Georgi, et al, Phys. Let. B 506 (2001)



Summary and conclusions

- **Naive power counting:** 5D gauge-Yukawa theory is **non-renormalizable**
 - RGEs of couplings change in 5D: **power law running** (compared to 4D logarithmic)
 - One loop analysis: **finite number of counterterms** are needed to absorb divergencies
 - Consistency requires the existence of **UV fixed points**: explicit conditions for their existence
- 

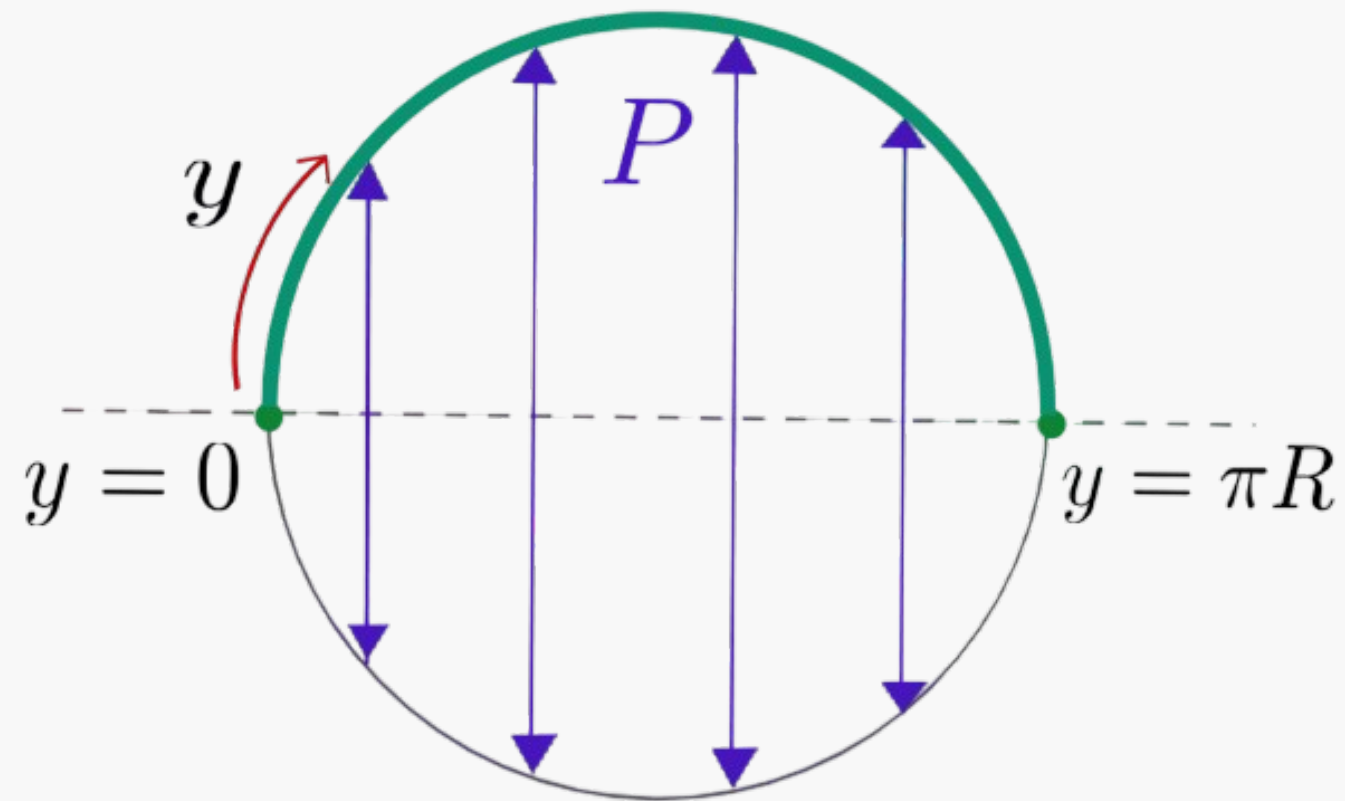
Next: understand higher loop behaviour



Backup slides



- One extra dimension ($\delta = 1$) compactified on $K = \mathbb{S}^1/\mathbb{Z}_2 \times \mathbb{Z}'_2$.





- One extra dimension ($\delta = 1$) compactified on $K = \mathbb{S}^1 / \mathbb{Z}_2 \times \mathbb{Z}'_2$:





A generic d-dimensional loop in a gauge-Yukawa theory will look like:

$$I_d \sim \int \frac{d^d k}{(2\pi)^d} \frac{f(k^M, k^2)}{\Delta(k^2)}.$$

$$\longrightarrow I_d \sim \frac{\Omega_d}{(2\pi)^d} \int k^{d-1} dk \frac{f}{\Delta(k^2)},$$

$$f(k) \sim k^{2m}$$

$$\Delta(k^2) \sim k^{2n}$$

$n - m$	$d =$				Examples
	4	5	6	7	
1	Λ^2	Λ^3	Λ^4	Λ^5	Scalar masses
2	log	Λ	Λ^2	Λ^3	Coupling renormalization
3	finite	finite	log	Λ	Four-fermions, $(\Phi^\dagger \Phi)^3$
≥ 4	finite	finite	finite	finite	



Coefficients of the localized counterterms:

$$A = \frac{g_5^2}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) \left[\frac{23}{3} (2C(\mathcal{H}) - C(\mathcal{G})) - \frac{1}{3} \left(\sum_{\text{even}} - \sum_{\text{odd}} \right) T(r_s) \right] ,$$

$$B_1 = \frac{1}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) \left[7g_5^2 C(r) - \left(\sum_{\text{even}} - \sum_{\text{odd}} \right) y_5^2 \right] ,$$

$$B_2 = \frac{1}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) \left[g_5^2 C(r) - \left(\sum_{\text{even}} - \sum_{\text{odd}} \right) y_5^2 \right] ,$$

$$C_1 = \frac{1}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) [8g_5^2 T(r)] ,$$

$$C_2 = \frac{1}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) \left[-2g_5^2 T(r) \mp \frac{\lambda_5}{2} \right] ,$$

$$C_3 = \frac{1}{64\pi^2} \ln \left(\frac{\Lambda^2}{\mu^2} \right) \left[8g_5^2 T(r) \mp \frac{\lambda_5}{2} \right] ,$$