

Gravitational lensing of GWs: insights from the wave optics regime

BiCoQ conference

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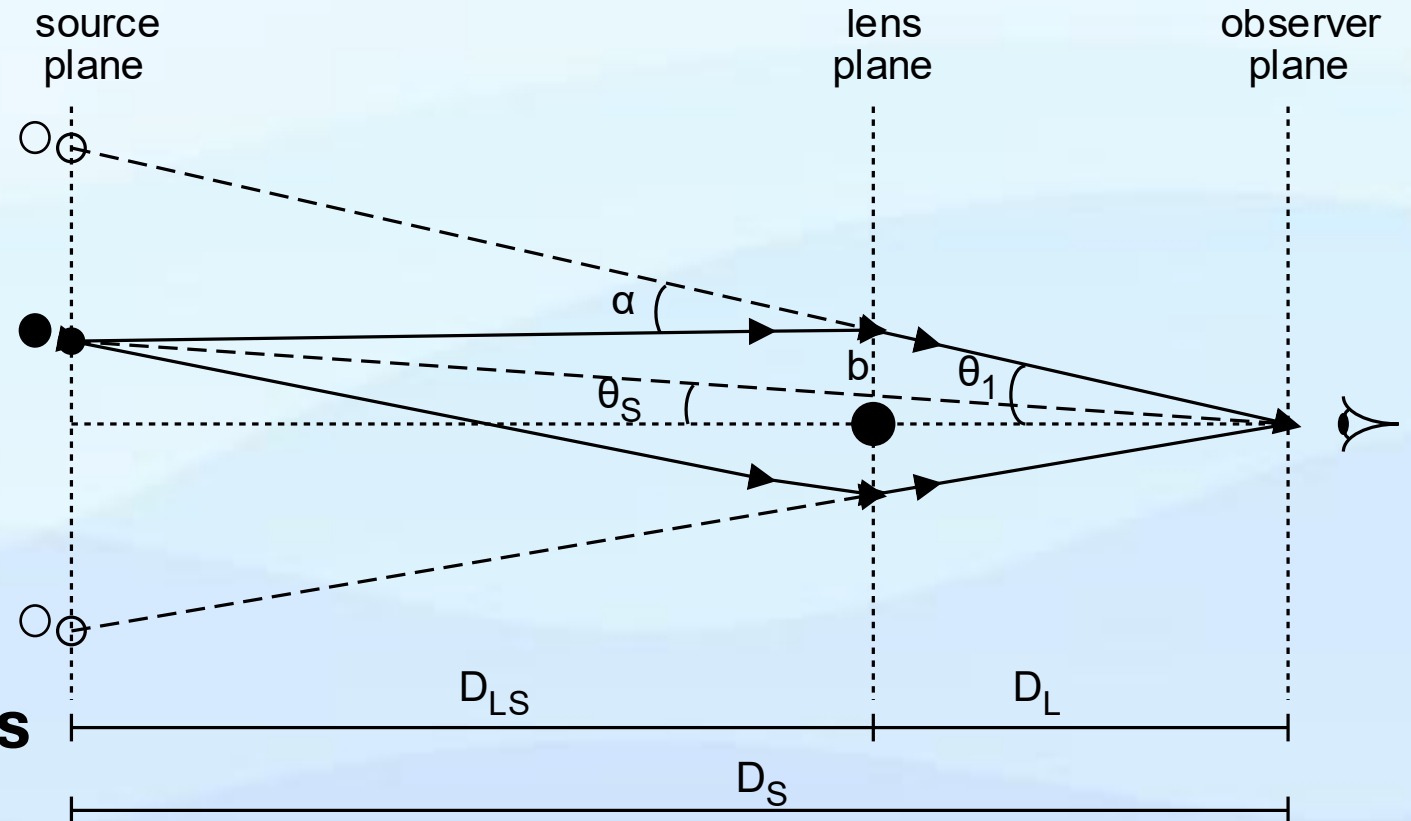
Gravitational lensing: EM waves vs gravitational waves

Null geodesics are bent by masses:

→ Usual lensing picture
(deflection angle, etc.)

→ Key prediction of
General Relativity

→ **Both EM and GW signals**



Gravitational lensing: EM waves vs gravitational waves

Is lensing really the same for EM and GW signals ? *Some ideas...*

Gravitational lensing: EM waves vs gravitational waves

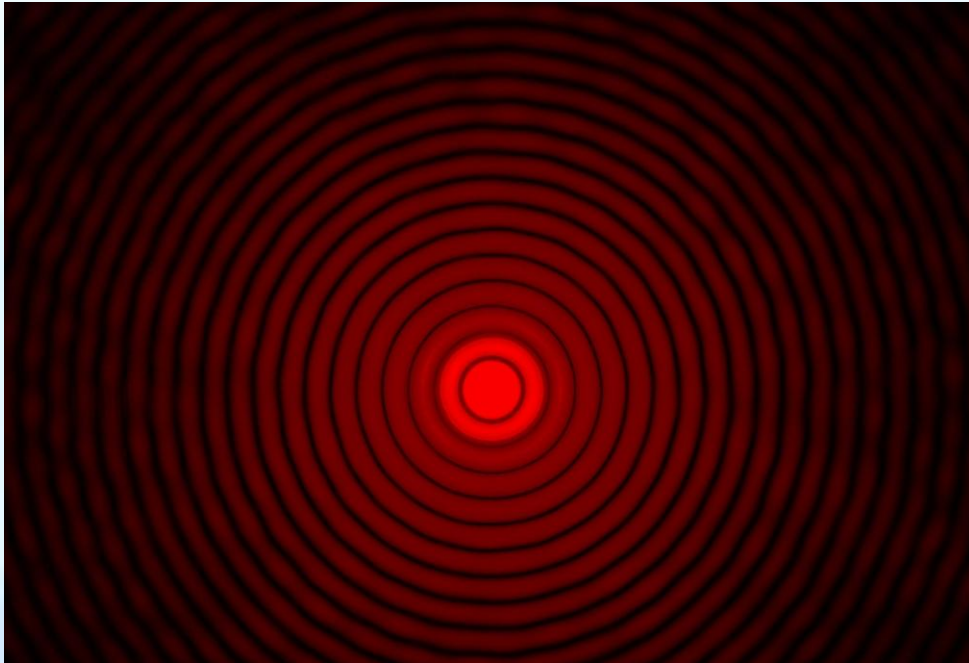
Is lensing really the same for EM and GW signals? *Some ideas...*

EM waves	GW
Extended sources	~ Point-like sources → mainly magnification
Observed λ_{wave} : $\leq \mathcal{O}(1 \text{ m})$	Observed λ_{wave} : $\leq \mathcal{O}(10^7 \text{ m})$ (LIGO, ET) $\leq \mathcal{O}(10^{10} \text{ m})$ (LISA) $\leq \mathcal{O}(10^{16} \text{ m})$ (PTA)
Spin 1 (photon)	Spin 2, tensorial signal

Gravitational lensing: EM waves vs gravitational waves

Point particle null-geodesics, known limitation in the EM case:

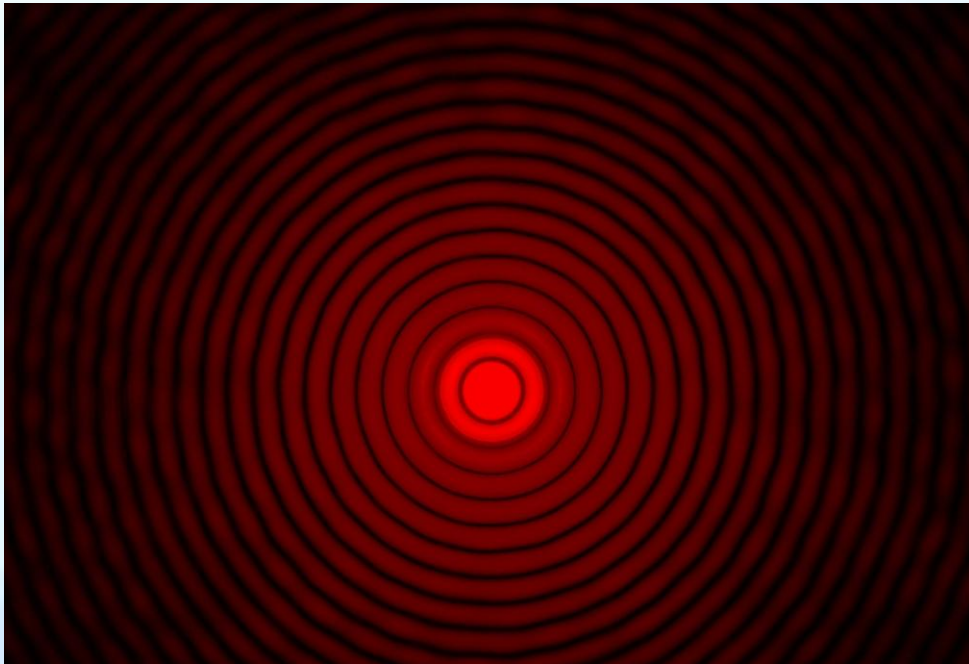
PSF (Airy disk)



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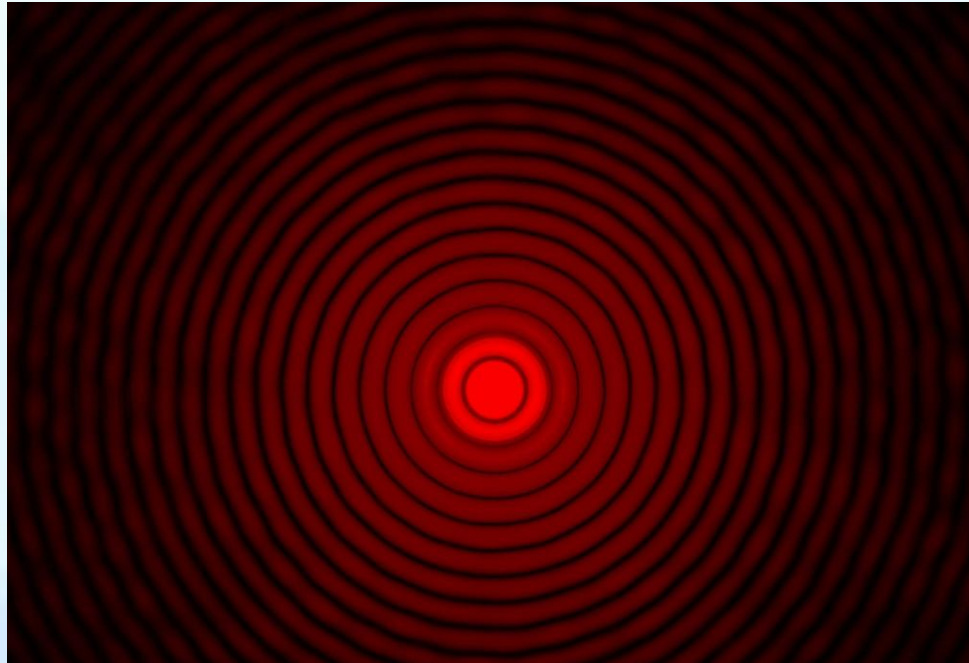
More generally : diffraction

$$\lambda_{\text{wave}} \sim \text{obstacle size}$$

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Point particle null-geodesics, known limitation in the EM case:

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More generally : diffraction

$$\lambda_{\text{wave}} \sim \text{obstacle size}$$

e.g. aperture, or ...
gravitational lens ?

Gravitational lensing: EM waves vs gravitational waves

Diffraction:

$$\lambda_{\text{wave}} \sim \text{obstacle size}$$

(e.g. gravitational radius)

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Relevant astrophysical and
cosmological lenses!

Wave effects in gravitational lensing:

Assuming a **weak field** lensing

$$ds^2 = -(1 + 2U) dt^2 + (1 - 2U) d\mathbf{r}^2$$

Ohanian1974, Bliokh+1975, Bontz+1981,
Mandzos1982, Schneider+1985,
Deguchi+1986, Ulmer+1995,
Nakamura1998, ...

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and a **scalar signal** ϕ , the propagation follows (in Fourier space) :

$$(\nabla^2 + \omega^2)\phi = 4\omega^2 U \phi, \quad \omega = 2\pi f$$

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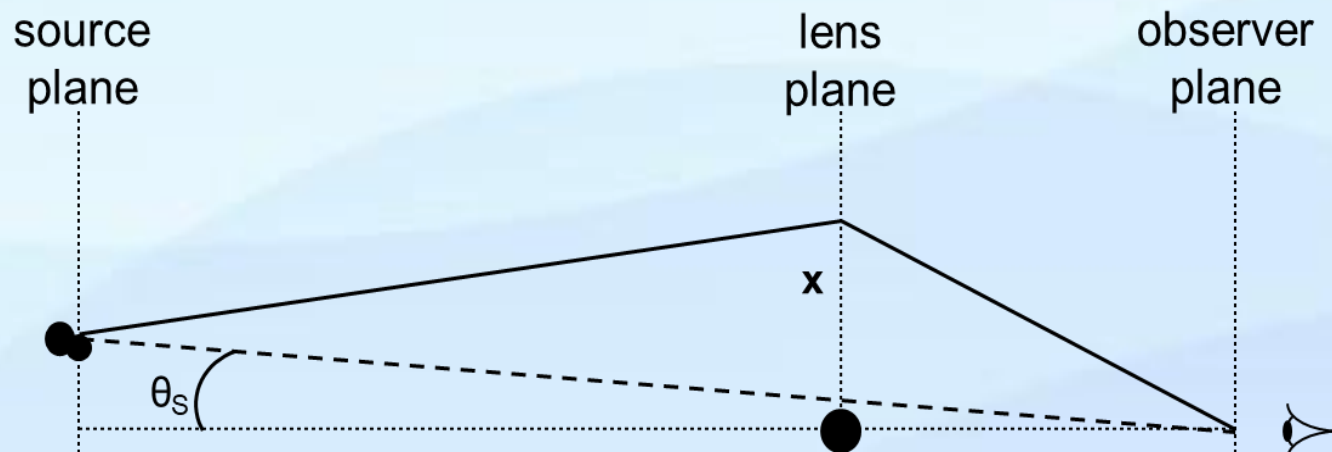
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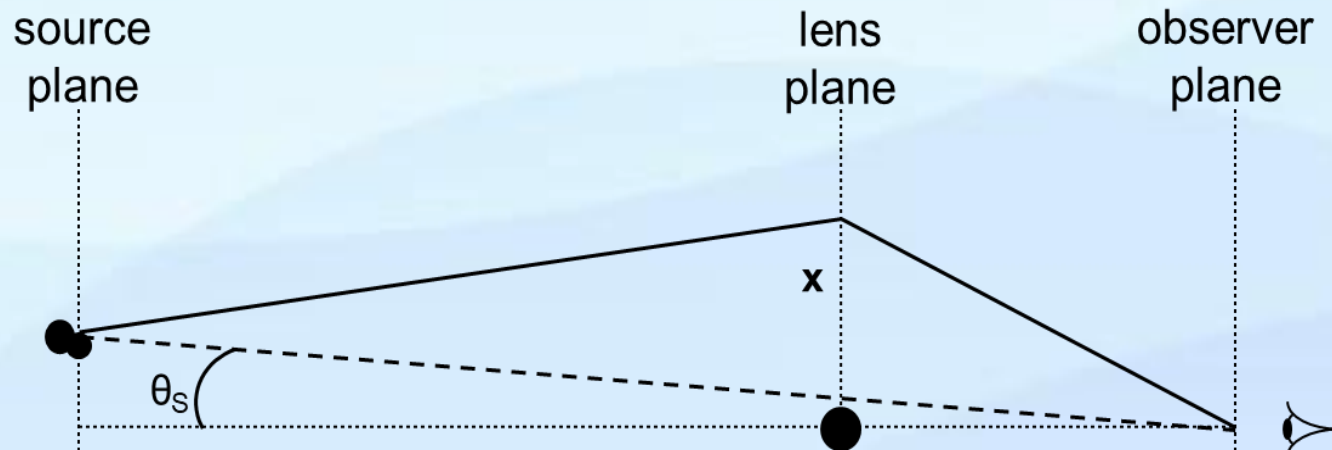
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Geometrical + Shapiro travel time
for the path through \mathbf{x}



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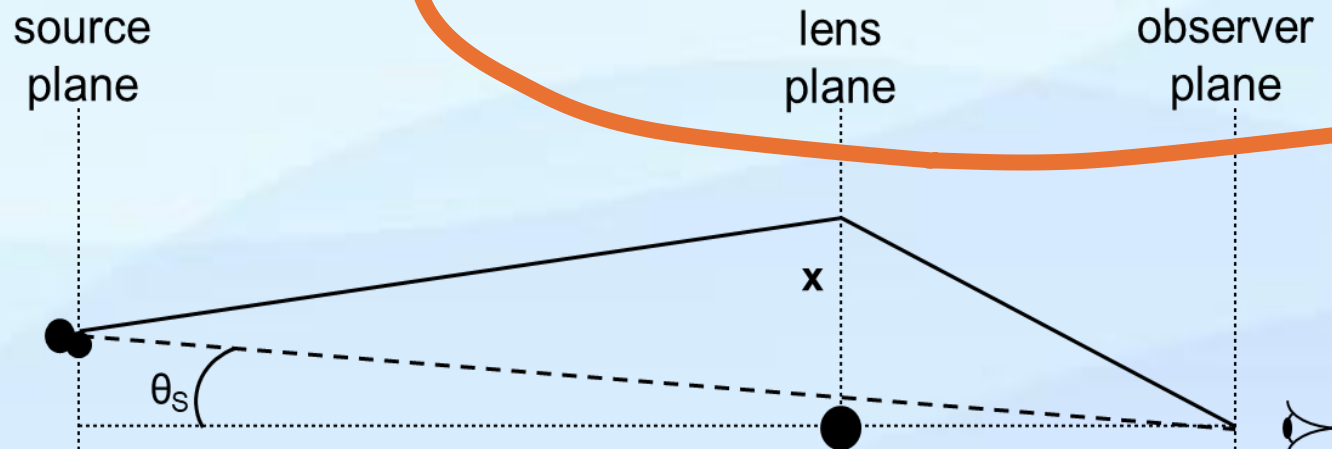
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Geometrical + Shapiro travel time for the path through \mathbf{x}

Integrated over all hypothetical paths through the lens plane

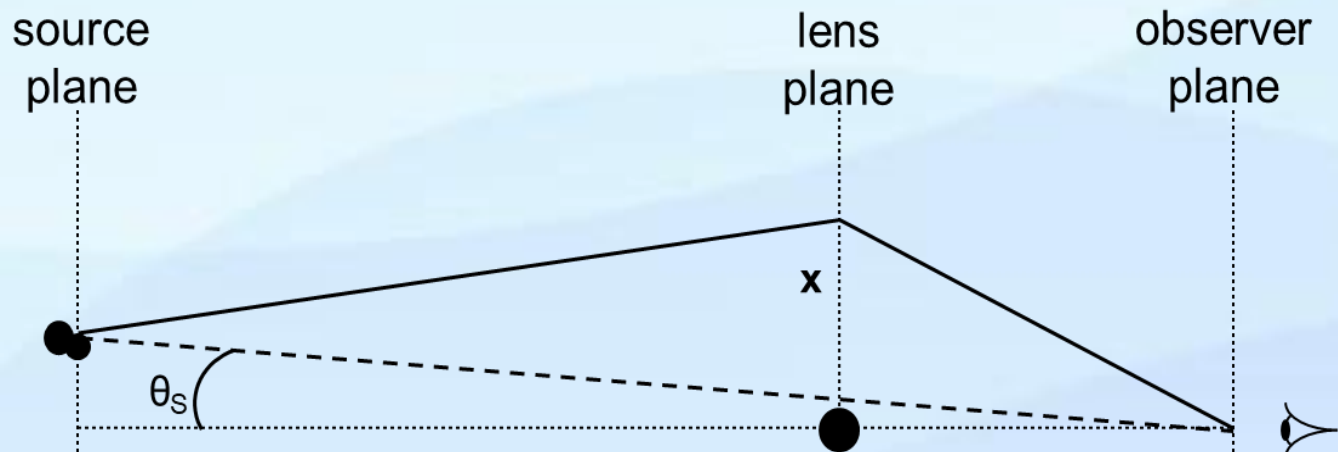


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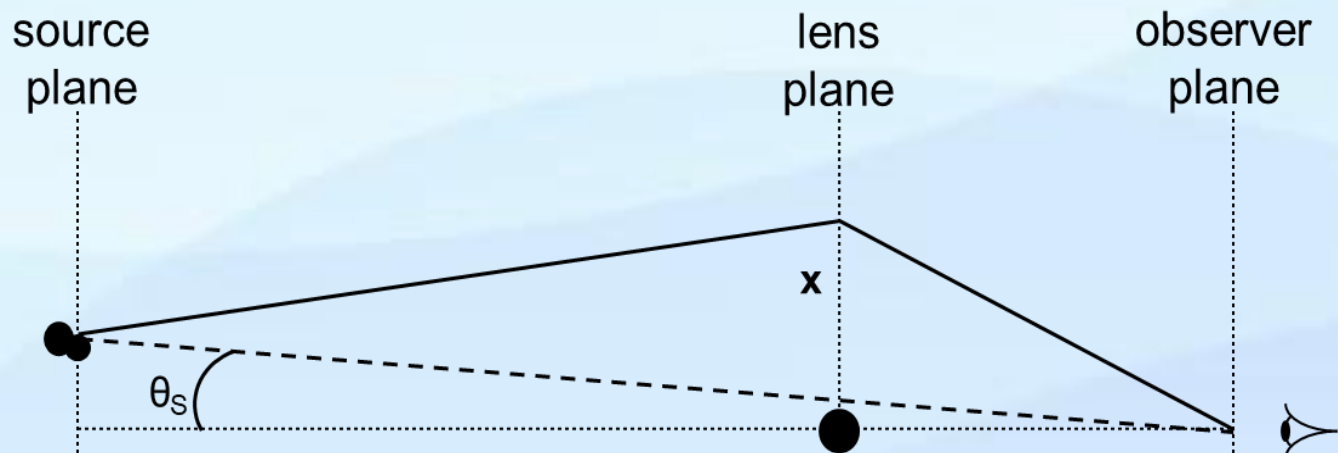
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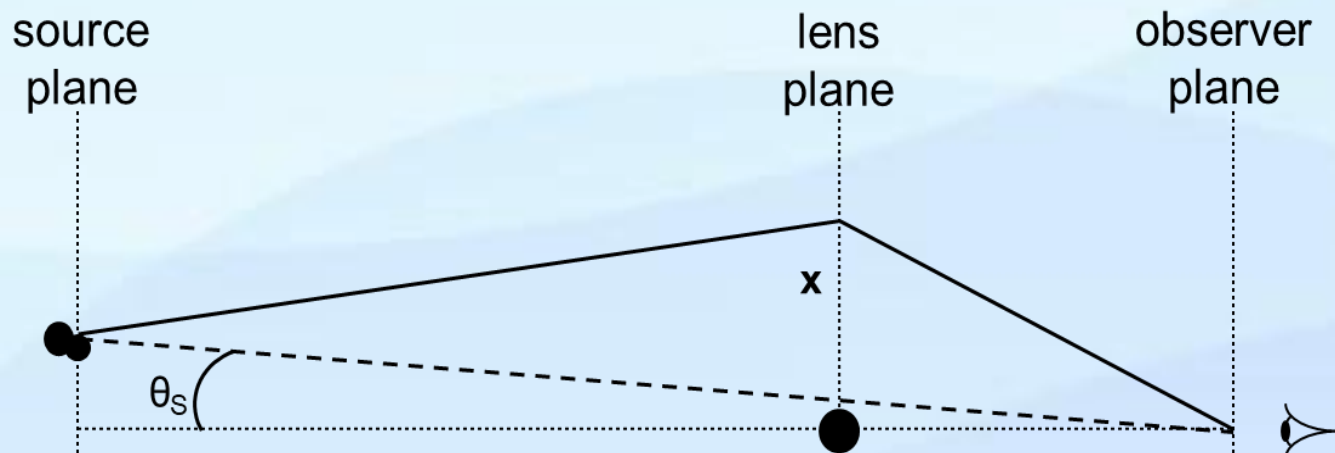
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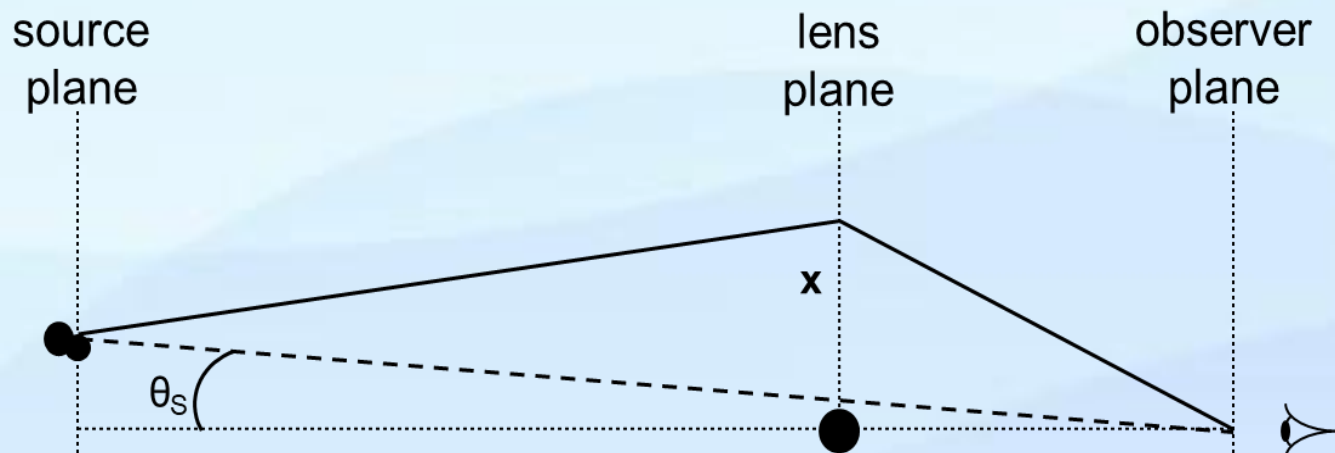
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only the stationary phase points contribute
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- **Highly oscillatory** diffraction integral
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- **well defined $f \rightarrow \infty$ limit** :
only the stationary phase points contribute
i.e. $\nabla T_{\text{travel}} = 0$
- **recover the geodesic limit & associated observables naturally** (Fermat principle)

Wave effects in gravitational lensing:

→ **No new physics, but different lensing phenomenology** wrt pure null geodesics

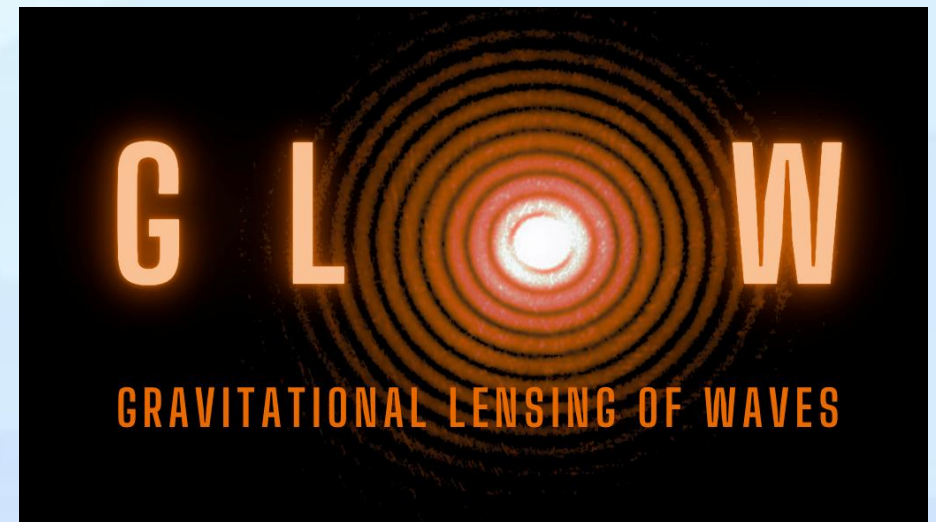
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State of the art numerical methods have been developed **recently**

e.g. GLoW code for diffraction integral

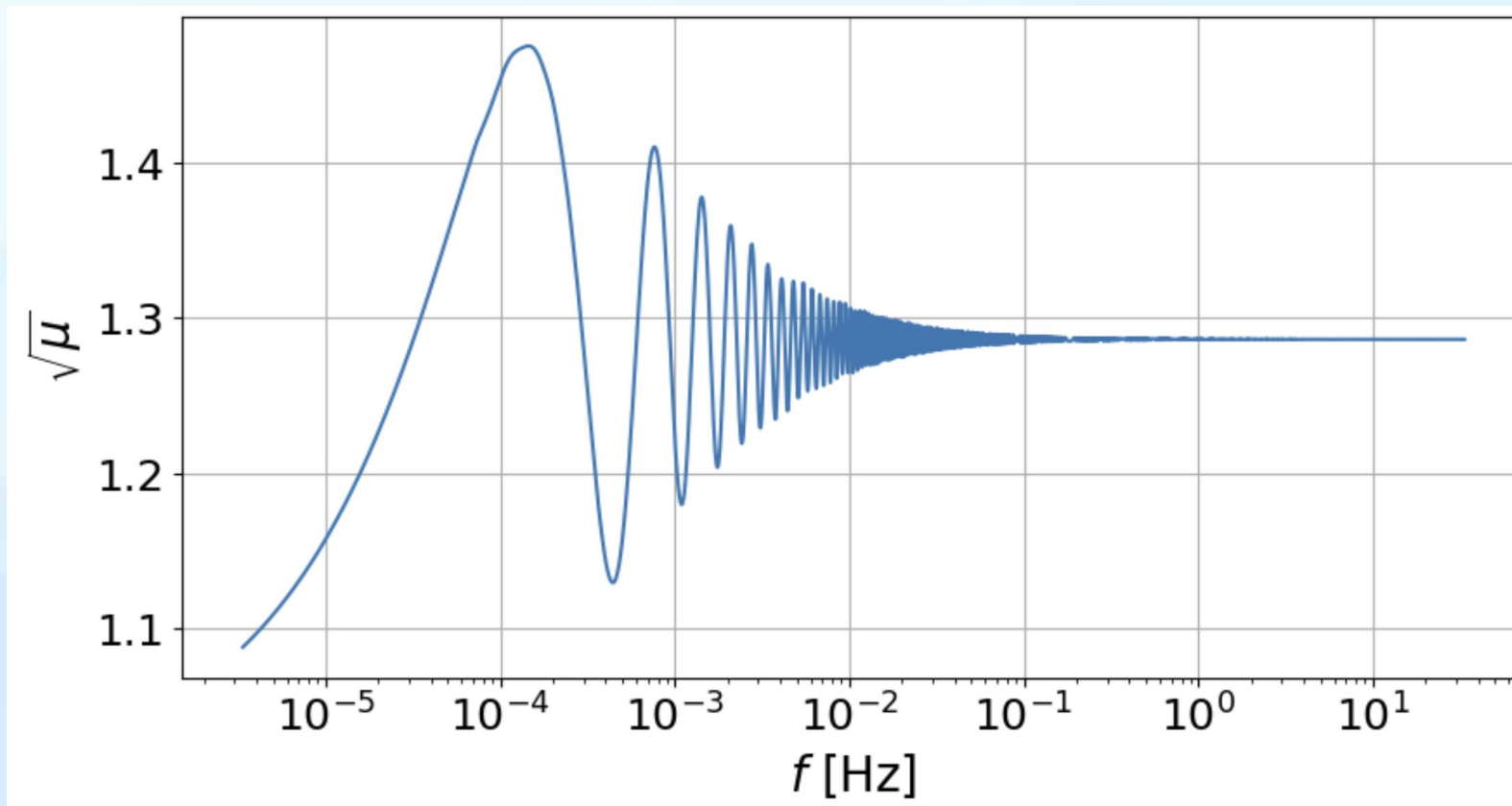
Villarrubia-Rojo+2025



Wave effects in gravitational lensing:

Illustration example:

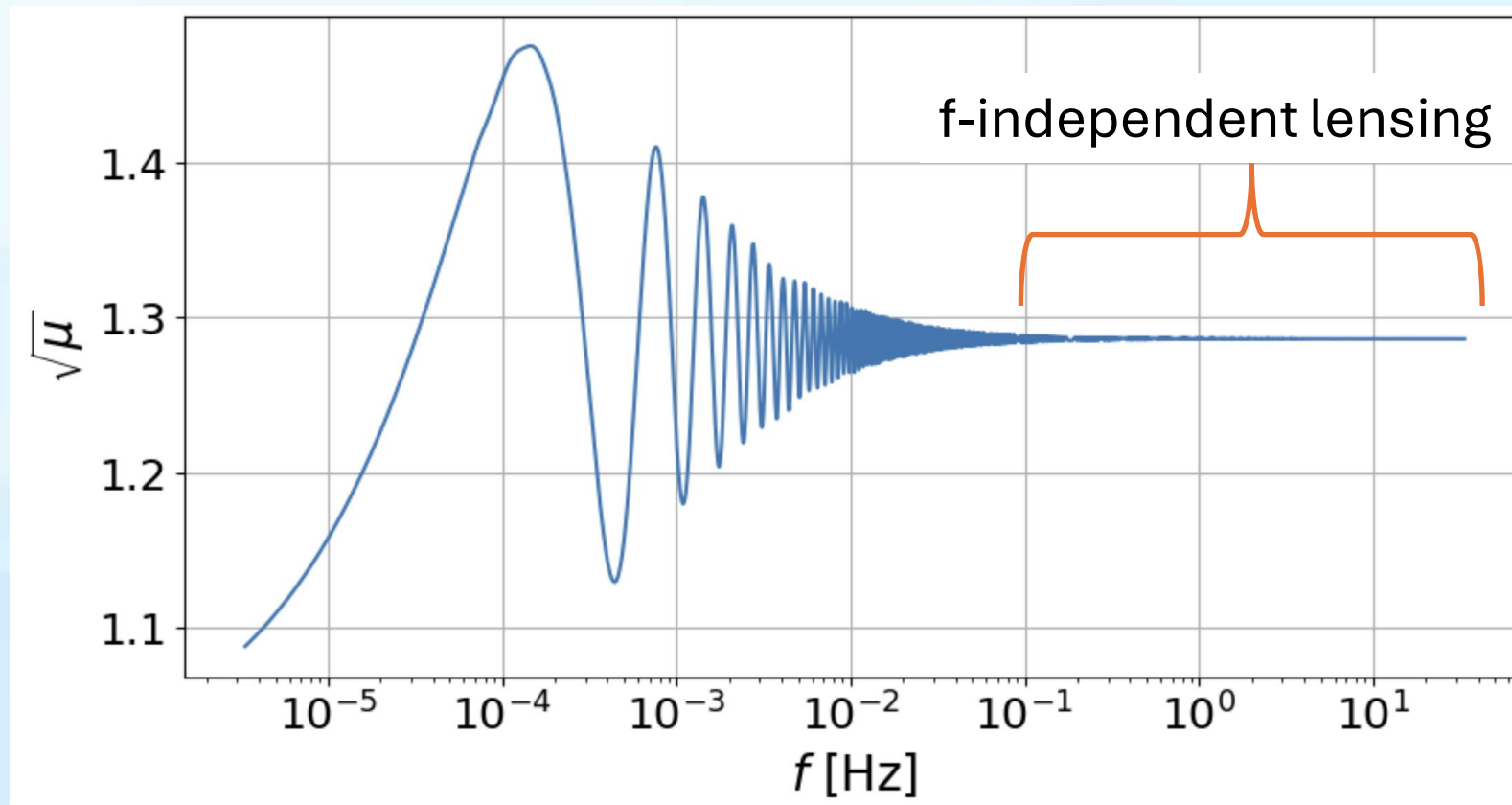
$10^9 M_{\odot}$ DM (sub)halo at $z = 1$ with *truncated SIS* profile, source at $z = 5$, **weak lensing** regime, “good” alignment ($\mu(f \rightarrow \infty) \simeq 1.6$)



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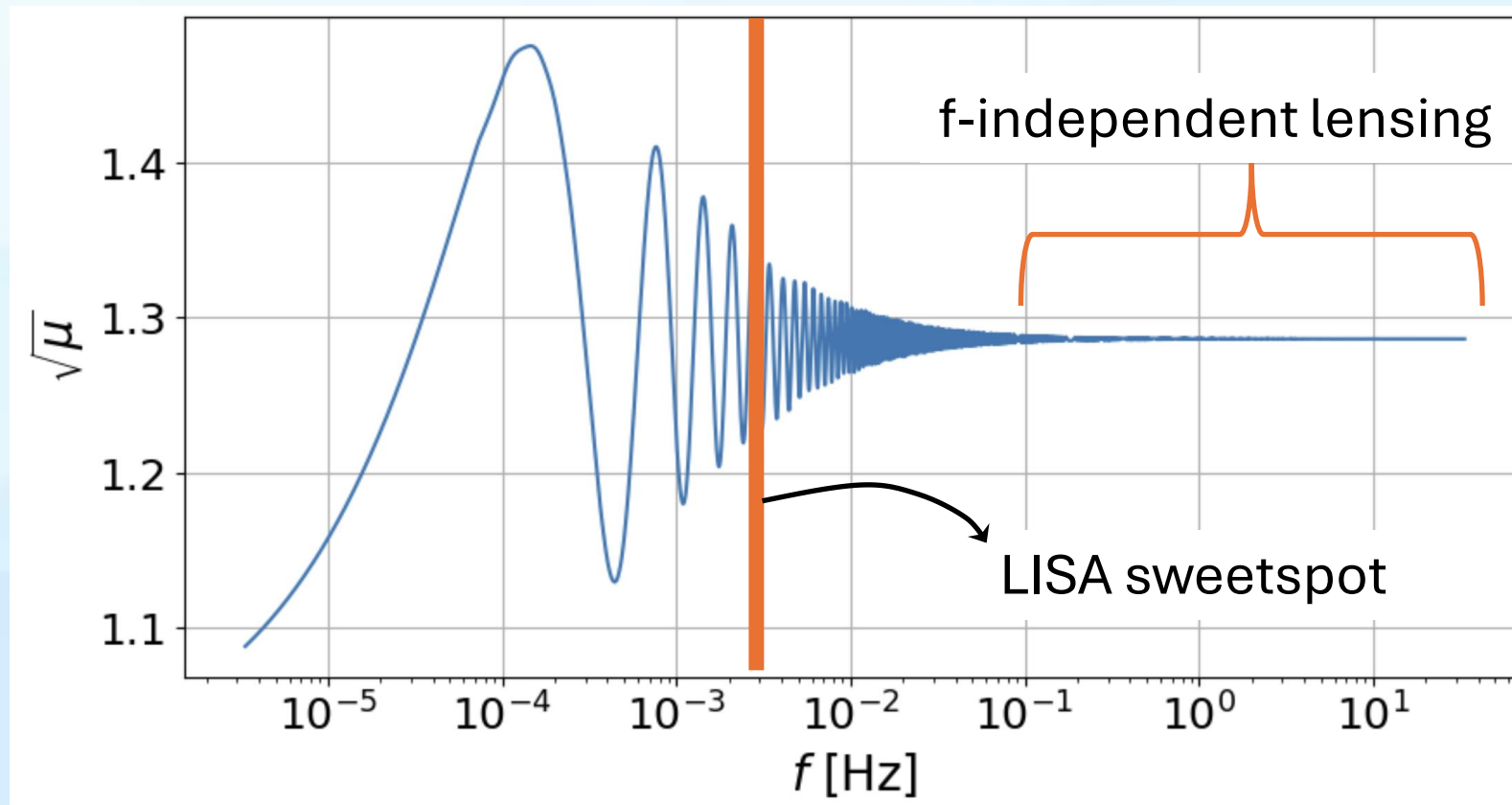
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Wave effects in gravitational lensing:

A few results (*not mine !*) from the literature:

- Including lensing analyses is **necessary** for next gen. interferometers

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Gupta+2025
- LISA observation prospects of **diffraction** : ***expected detection*** to ***low chances of detection*** depending on study
e.g. Savastano+ 2023, Brando+2024
- Very sensitive to the **abundance** and **profile** of the low-mass end (e.g. $< 10^{11} M_{\odot}$) DM halos : potential to distinguish DM models (?)
Savastano+ 2023, Brando+2024, Singh+2025

Beyond initial assumptions:

This framework relied on **weak fields potentials, scalar signals...**

... But **GW** are not scalars! $h_{\mu\nu;\alpha}{}^{;\alpha} + 2\bar{R}_{\alpha\mu\beta\nu} h^{\alpha\beta} = 0$

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$$h_{\mu\nu;\alpha}{}^{;\alpha} + 2\bar{R}_{\alpha\mu\beta\nu} h^{\alpha\beta} = 0$$

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Expect even **richer phenomenology** !

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Expect even **richer phenomenology** !

... but a **practical and generic framework for diffractive gravitational lensing is missing**

Tensorial lensing by a strong field:

If the lens has high symmetry e.g. Schwarzschild BH:

Black hole perturbation theory provides approximate analytical results for long wavelength $R_{\text{Schw}}/\lambda_{\text{wave}} < 1$

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Solving black perturbation theory as a wave scattering problem:

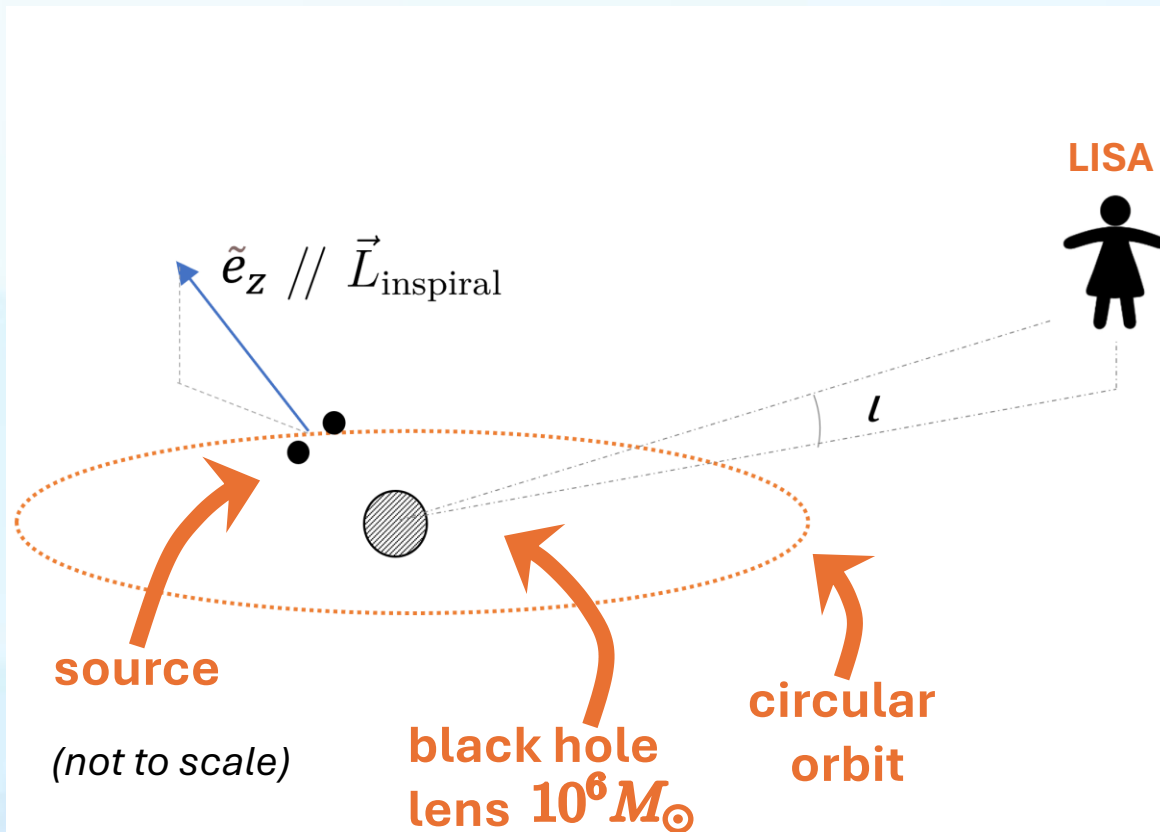
→ **lensing by a BH depends on the helicity of the signal**

→ **non-trivial effects on polarisations**, the polarisation content is **not** preserved by lensing Pijenburg+, 2024,

(historical works: Matzner, Peters, De Logi, Kovacs, Chrzanowski, Futterman, ...)

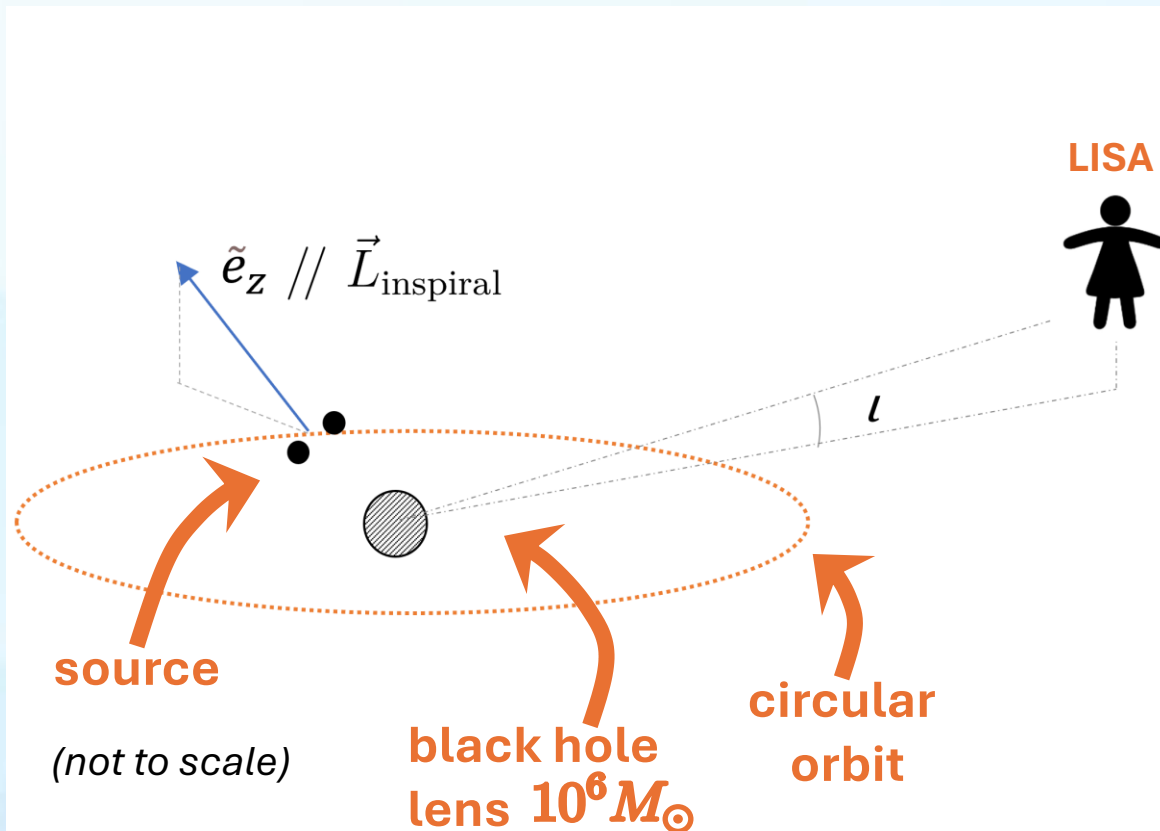
Wave optics lensing in triple systems: towards a phenomenology

Example: consider a microlensing-like **dynamical** setup



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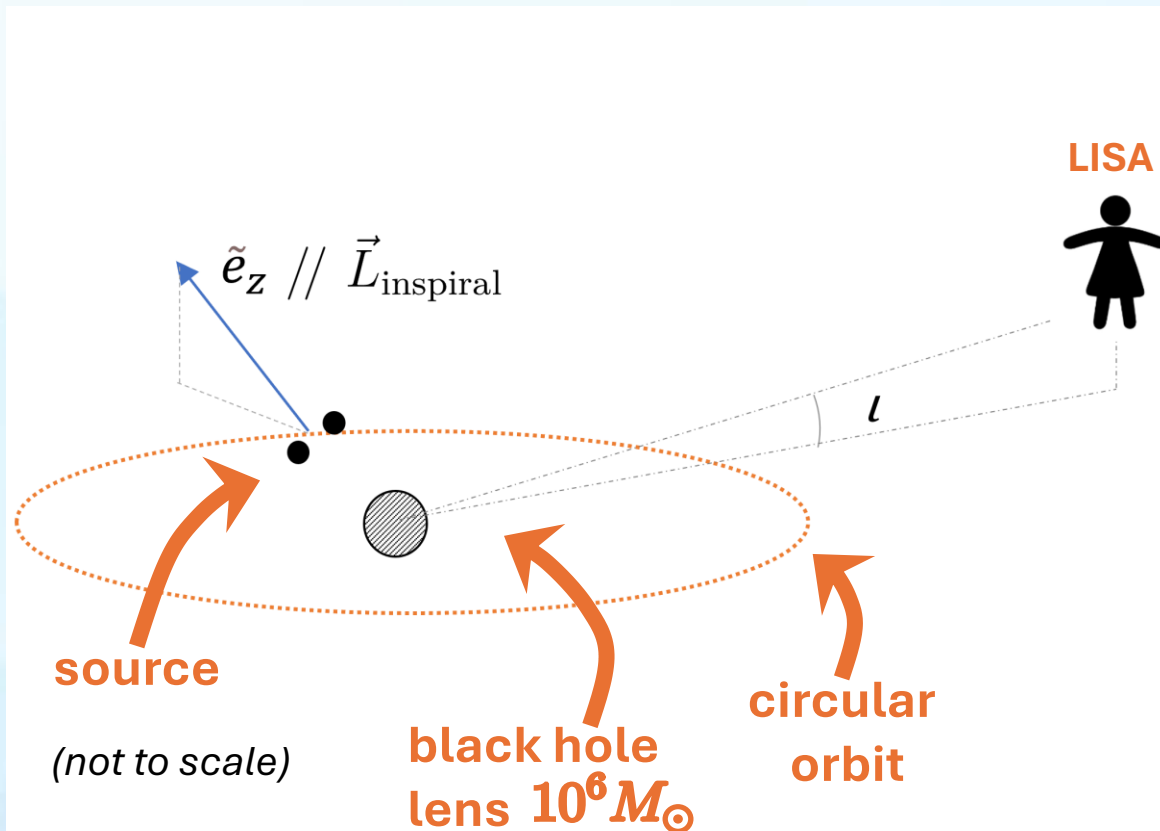
Has been advocated for e.g
GW190521

But abundance of such systems
remains essentially **unknown**

Distribution of low-end
supermassive/intermediate mass
black holes ?

Wave optics lensing in triple systems: towards a phenomenology

Example: consider a microlensing-like **dynamical** setup



Stokes parameters of GWs:

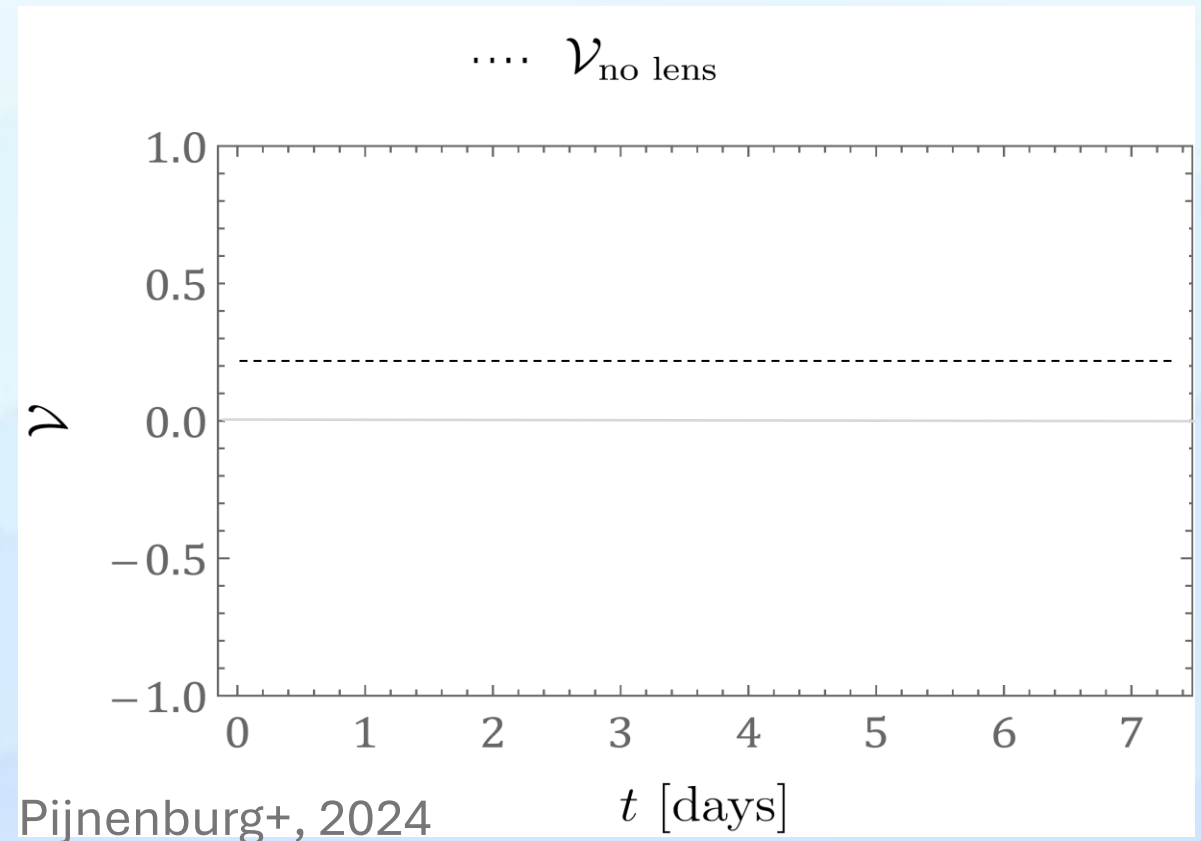
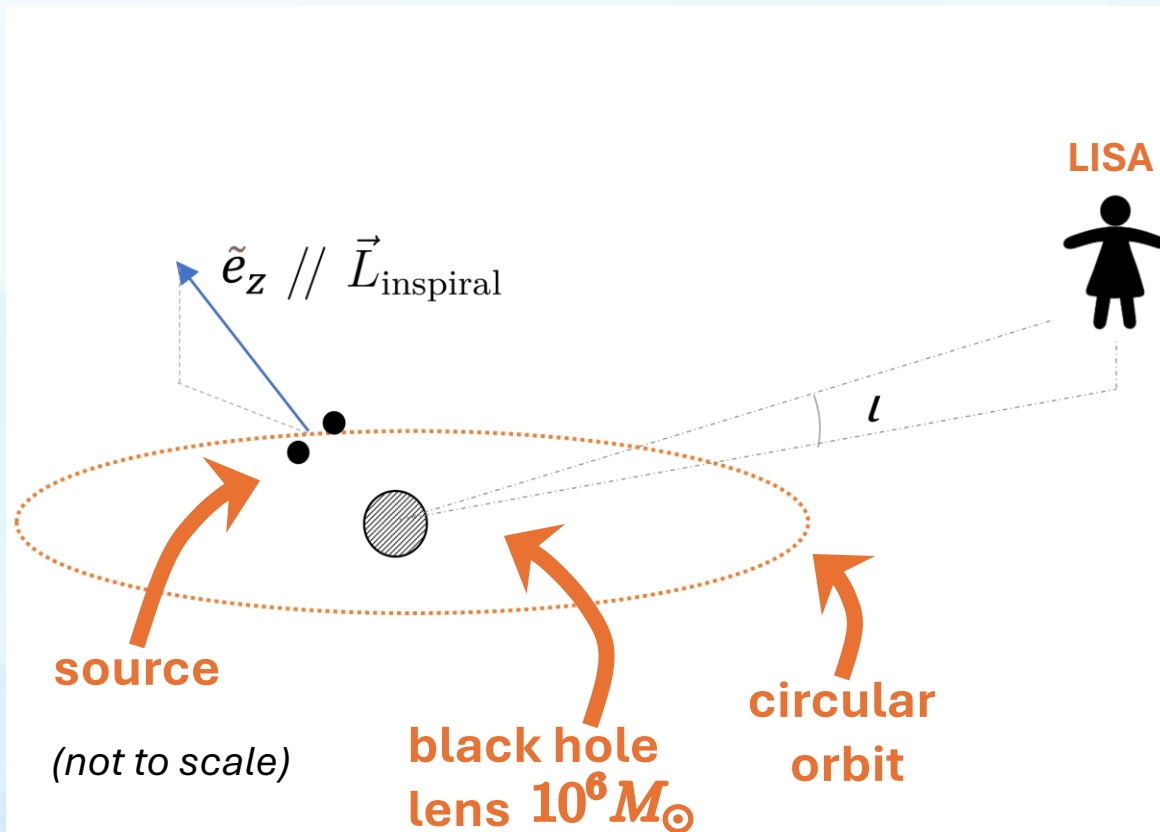
I : total intensity

V : circularly-polarized intensity

$\mathcal{V} = V/I$: circularly polarized fraction of the intensity

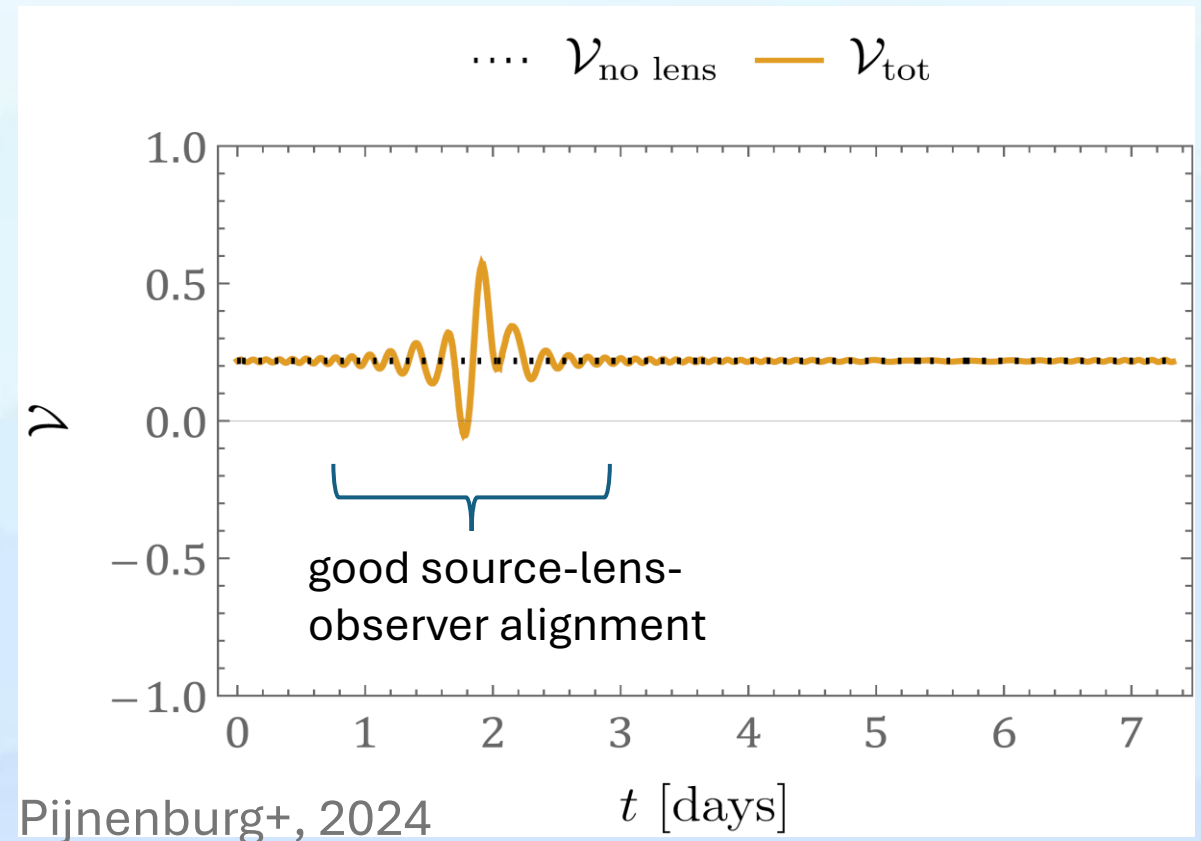
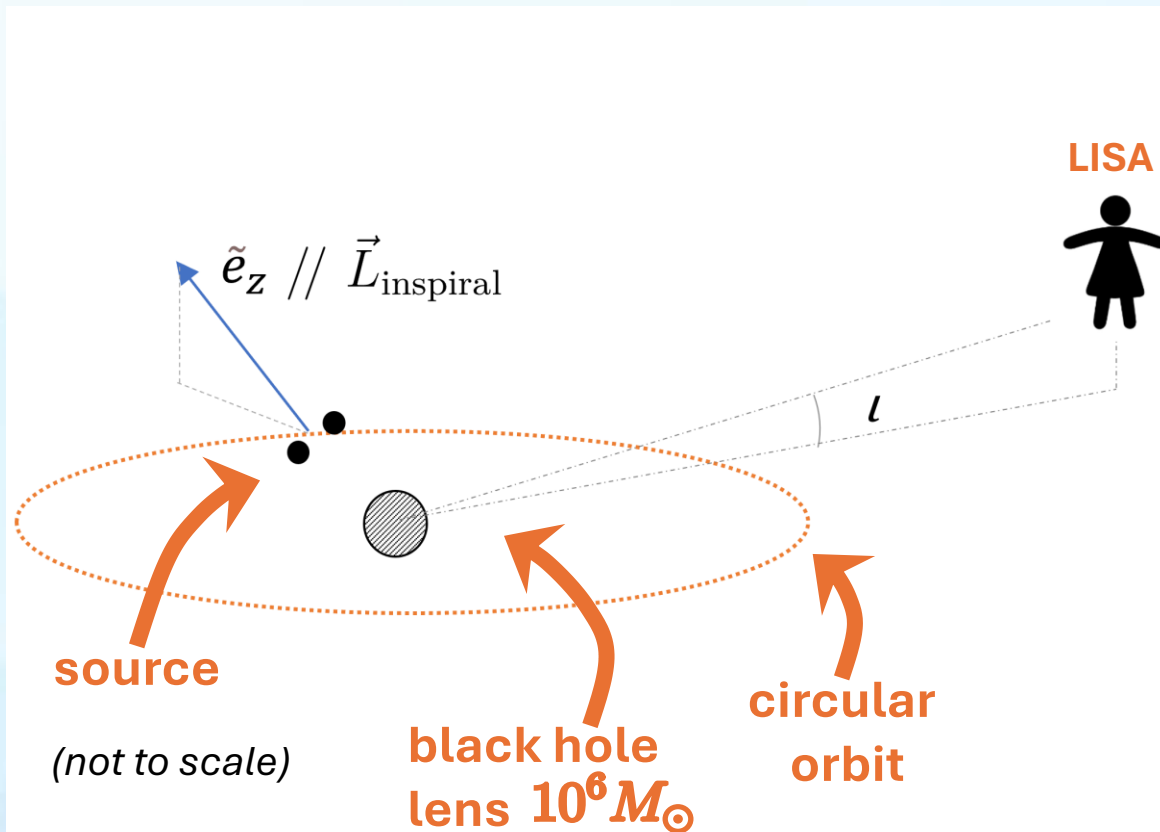
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Wave optics lensing in triple systems: towards a phenomenology

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Conclusions

- **Wave optics** is a regime in the range of future GW observations
- Relevant lenses include **DM (sub)halos, supermassive black holes, ...**
- **Without new physics, new lensing phenomenology** wrt EM case
 - Frequency-dependent magnification
 - Changes in the polarisation/helicity content (BH lens)

Thanks for your attention !

What about the PTA band ?

nHz GW signals can in principle be sensitive to big diffractive effects by **halo-sized structures** ...

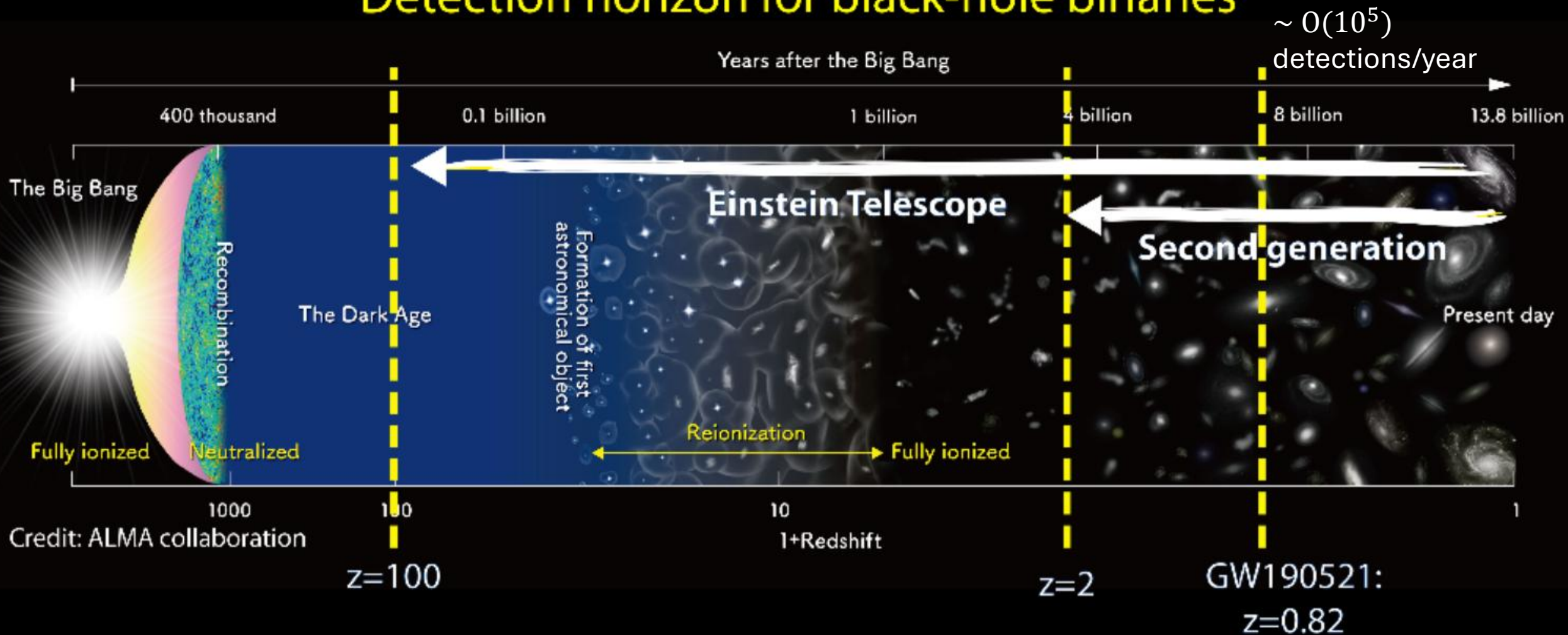
... but for **GW backgrounds**, the presented diffractive description does not apply due to **the lack of coherence in the signal**

... and **individually resolvable sources** are expected to be **rare**

→ lensing effects are **non trivial** but a priori **hard to exploit**

Einstein Telescope, Cosmic Explorer

Detection horizon for black-hole binaries



Wave optics lensing in triple systems: towards a phenomenology

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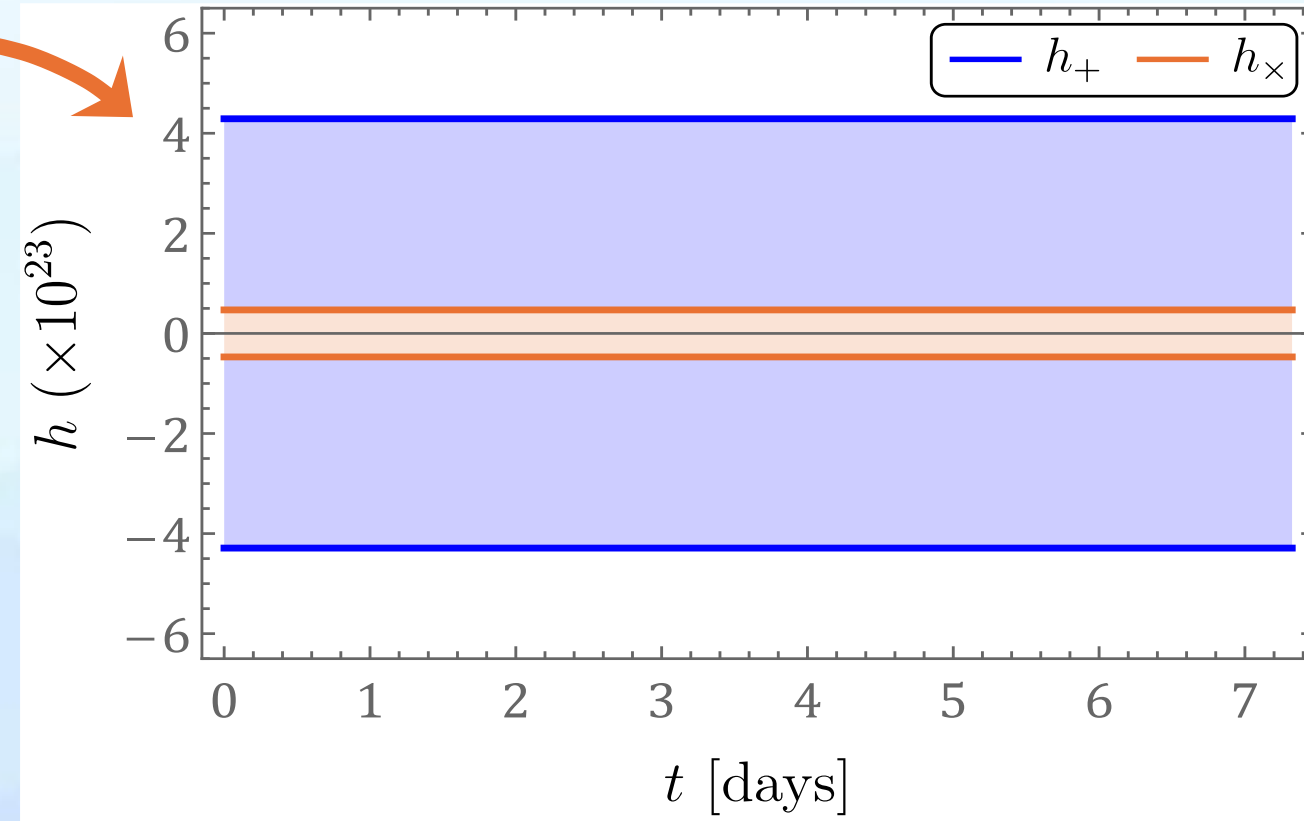
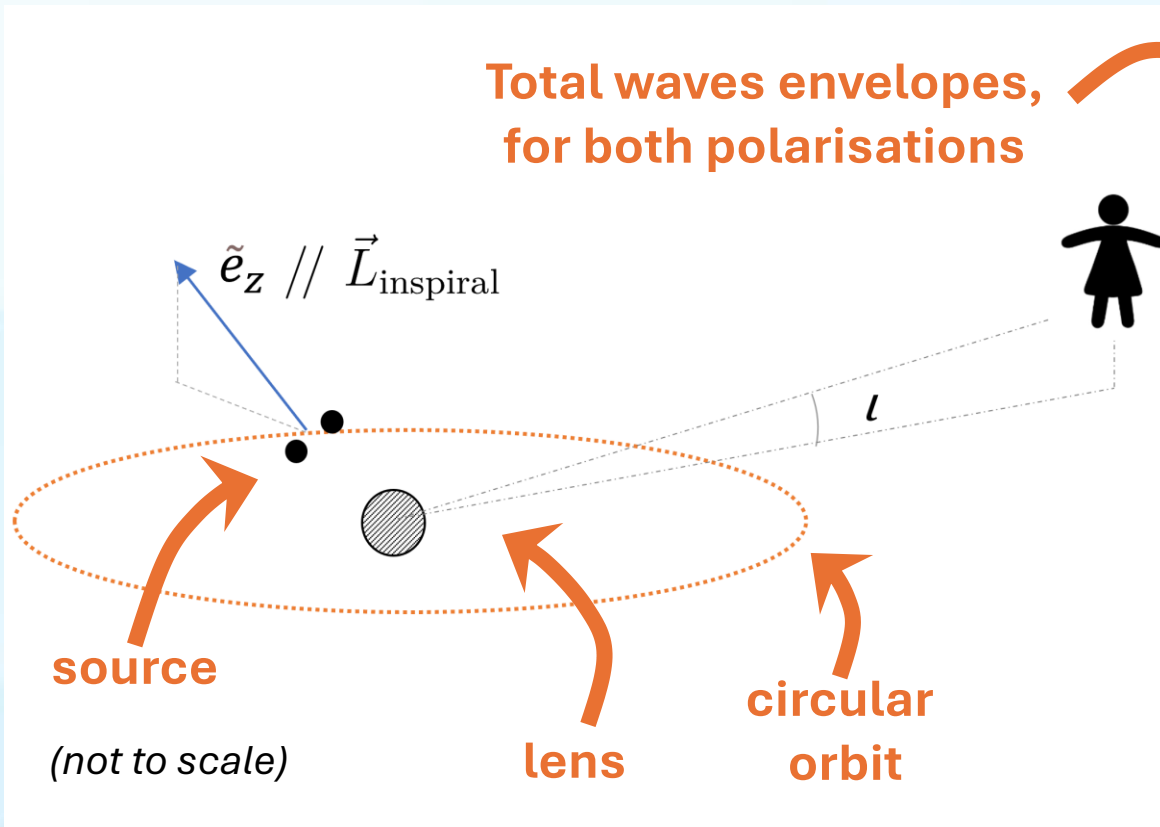
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- Source-Lens distance (disk migration trap) : $d_{SL} = 700M$
- GW190521-inspired heavy source : $m_1 = 120M_{\odot}, m_2 = 71M_{\odot}$

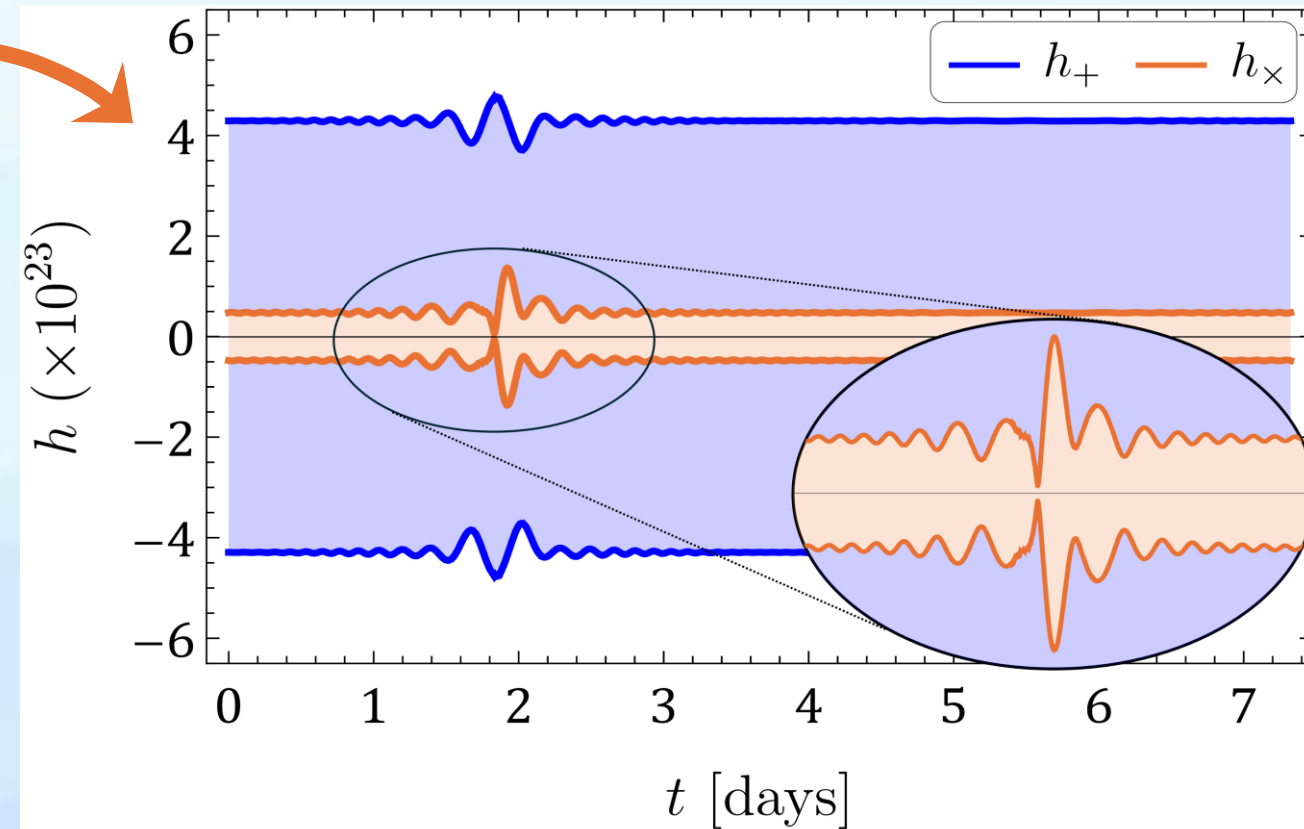
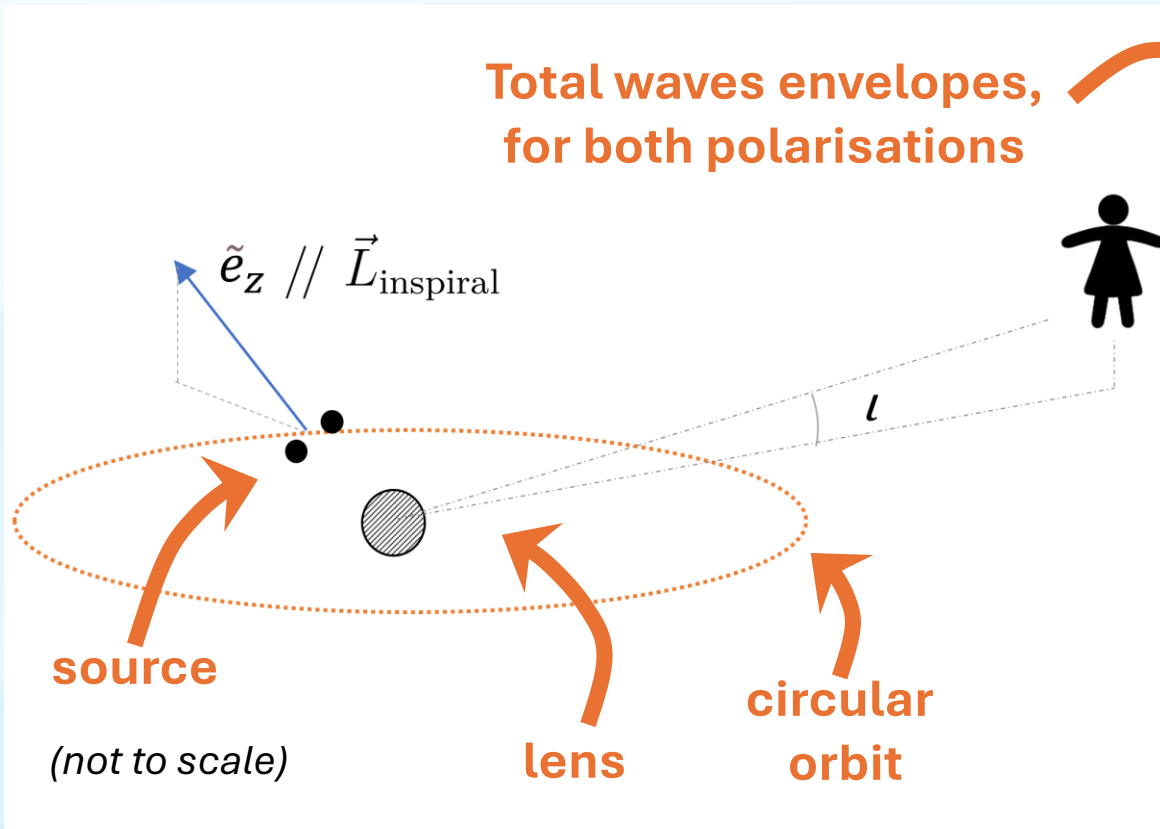
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Inspiral phase *without lens*



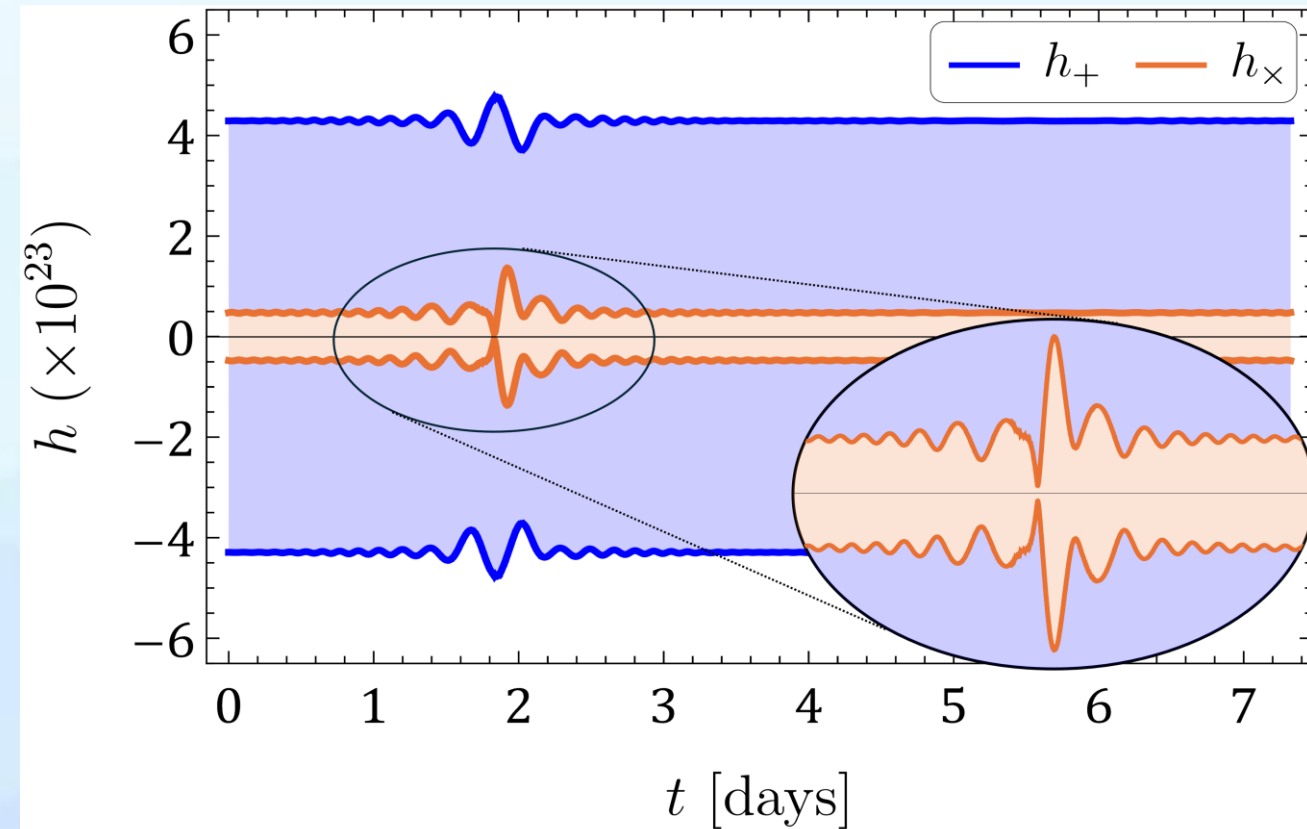
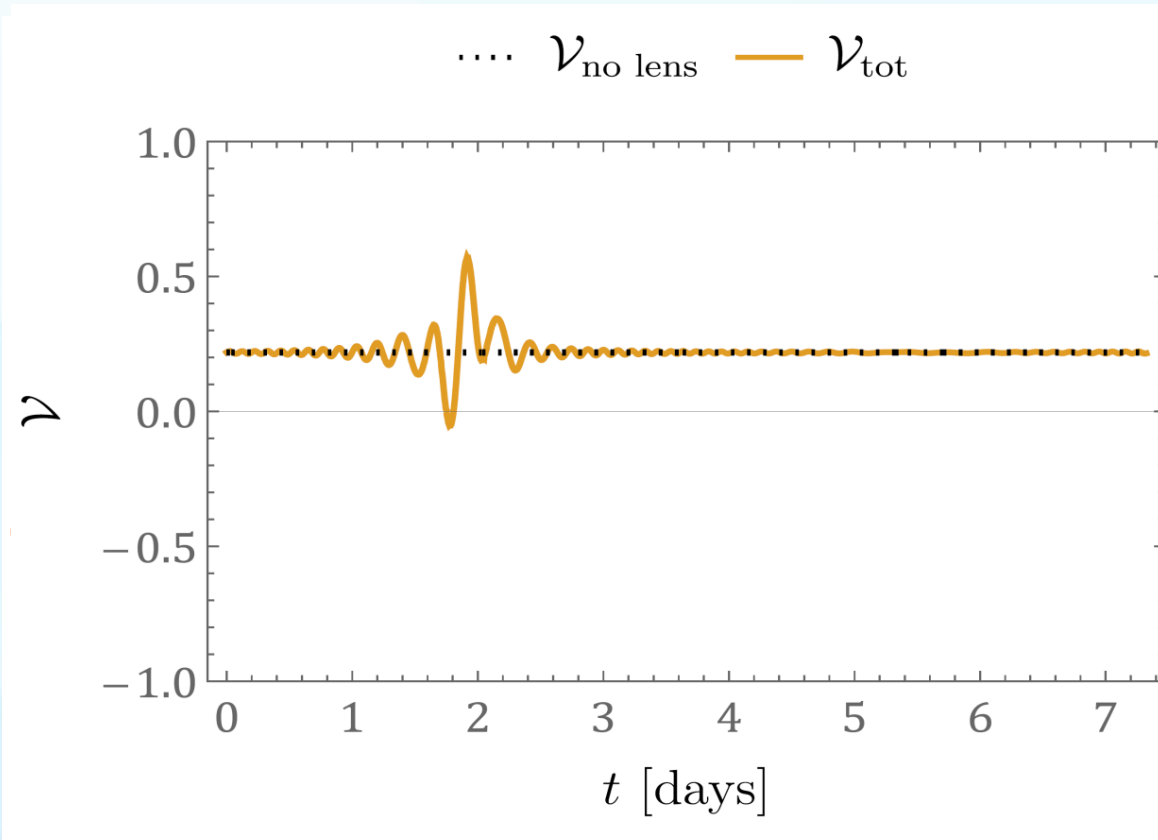
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Toy GW190521-inspired source, in LISA- « optimal » wave optics



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GW lensing: wave optics

Start with : $ds^2 = (\bar{g}_{\mu\nu} + h_{\mu\nu})dx^\mu dx^\nu$

E.g. gauge fixing : $h^\nu_{\mu;\nu} = 0, \quad h^\mu{}_\mu = 0$

→ Wave equation :

$$h_{\mu\nu;\alpha}{}^{;\alpha} + 2\bar{R}_{\alpha\mu\beta\nu} h^{\alpha\beta} = 0, \quad \text{with} \quad h_{\mu\nu;\alpha}{}^{;\alpha} \equiv \square h_{\mu\nu}.$$

GW lensing: wave optics

BH lenses, historical works, at the formal level :

- Matzner (1968)
- Peters (1976)
- Chrzanowski *et al.* (1976)
- De Logi, Kovacs (1977)
- Futterman *et al.* (1988)
- ...

More recently: Dolan (2018)

GW lensing: wave optics

Reference work for phenomenology :

Wave effects in gravitational lensing of gravitational waves from chirping binaries

Ryuichi Takahashi (Kyoto U.), Takashi Nakamura (Kyoto U.)

May, 2003


28 pages

Published in: *Astrophys.J.* 595 (2003) 1039-1051

e-Print: [astro-ph/0305055](https://arxiv.org/abs/astro-ph/0305055) [astro-ph]

DOI: [10.1086/377430](https://doi.org/10.1086/377430)

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$$h_{\mu\nu;\alpha}{}^{;\alpha} + 2\bar{R}_{\alpha\mu\beta\nu} h^{\alpha\beta} = 0$$

Assume $h_{\mu\nu} = \phi \cdot e_{\mu\nu}$

Solve for ϕ

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Tensorial wave optics

In *Pijnenburg, et al., 2024*, we treat lensing by a Schwarzschild BH

avoiding the assumption $h_{\mu\nu} = \phi \cdot e_{\mu\nu}$

Tensorial wave optics

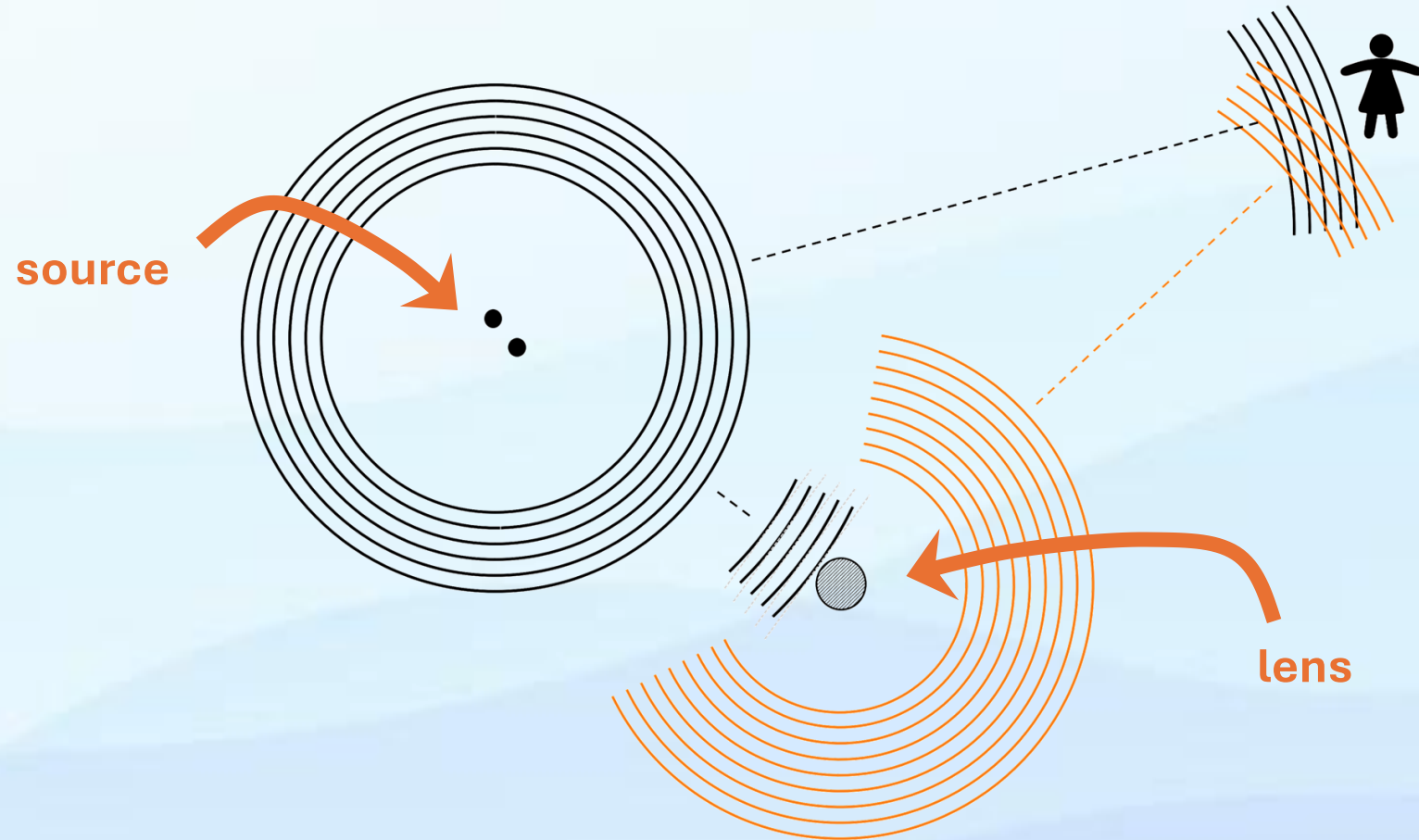
In *Pijnenburg, et al., 2024*, we treat lensing by a Schwarzschild BH

avoiding the assumption $h_{\mu\nu} = \phi \cdot e_{\mu\nu}$

Rather use : - black hole perturbation theory (BHPT)
- (quantum) waves scattering (e.g. phase shifts)
since the equations are quantum like (RW, Zerilli)

to keep track of the full polarisation structure analytically

Tensorial wave optics



Polarisation

Quantifying the signal polarisation content $\mathcal{V} \in [-1, 1]$:

$$\begin{aligned}\mathcal{V} &\equiv \frac{2\text{Im}[\tilde{h}_+ \tilde{h}_\times^*]}{|\tilde{h}_+|^2 + |\tilde{h}_\times|^2} = V/I \quad \text{in terms of the Stokes parameters } V, I. \\ &= \frac{|\tilde{h}^{(2)}|^2 - |\tilde{h}^{(-2)}|^2}{|\tilde{h}^{(2)}|^2 + |\tilde{h}^{(-2)}|^2}\end{aligned}$$

constant in geometric optics and scalar wave optics

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constant in geometric optics and scalar wave optics

in general **not constant** in tensorial wave optics for $\lambda_{GW} \gg \frac{2GM_{\text{lens}}}{c^2}$

Tensorial wave optics : BHPT

Project $h_{\mu\nu}$ on basis functions on the sphere with even (Y) and odd (X) parity :

$$h_{rr} = \sum_{\ell m} h_{rr}^{\ell m} Y^{\ell m}, \quad (\text{radial})$$

$$h_{rA} = \sum_{\ell m} h_r^{\ell m} X_A^{\ell m} + j_r^{\ell m} Y_A^{\ell m}, \quad A = \theta, \phi, \quad (\text{radial/angular})$$

$$h_{AB} = \sum_{\ell m} h_2^{\ell m} X_{AB}^{\ell m} + r^2 G^{\ell m} Y_{AB}^{\ell m} + r^2 K^{\ell m} \Omega_{AB} Y^{\ell m}, \quad A, B = \theta, \phi, \quad (\text{angular})$$

Tensorial wave optics : BHPT

From metric multipoles, define two **gauge invariant** master functions:

$$\Psi_{\text{odd}}^{lm} = \frac{2r}{(\ell - 1)(\ell + 2)} \left(\frac{\partial}{\partial r} \hat{h}_t^{lm} - \frac{\partial}{\partial t} \hat{h}_r^{lm} - \frac{2}{r} \hat{h}_t^{lm} \right)$$

$$r^{-1} \Psi_{\text{even}}^{lm} \propto \hat{K}^{lm} + \frac{2(1 - 2M/r)}{(\ell - 1)(\ell + 2) + 6M/r} \left((1 - 2M/r) \hat{h}_{rr}^{lm} - r \frac{\partial}{\partial r} \hat{K}^{lm} \right)$$

Martel, Poisson. *Physical Review. D* 71.10 (2005)

Tensorial wave optics : BHPT

$\Psi_{\bullet}^{\ell m}$ obey Zerilli & Regge-Wheeler equations, $\bullet = \text{even, odd}$

$$\frac{d^2 \Psi_{\bullet}}{dr_*^2} + (\omega^2 - V_{\bullet}) \Psi_{\bullet} = 0, \quad \text{with } r_*(r) = r - 2M \ln \left(\frac{r}{2M} - 1 \right)$$

Schrödinger-like, for given potentials $V_{\bullet}(\ell, r, M)$

Poisson, Sasaki. *Physical Review D* 51.10 (1995)

Tensorial wave optics : BHPT

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Schrödinger-like, for given potentials $V_{\bullet}(\ell, r, M)$

For the scattering problem:

Asymptotic solutions for $\omega M \ll 1$ are known, expect $\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{plane}} + \Psi_{\bullet}^{\text{sph}}$

Poisson, Sasaki. *Physical Review D* 51.10 (1995)

Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
(absence of lens)



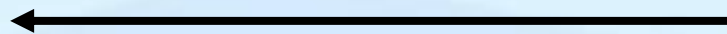
Derive
corresponding
 $\Psi_{\bullet}^{\text{source}}$



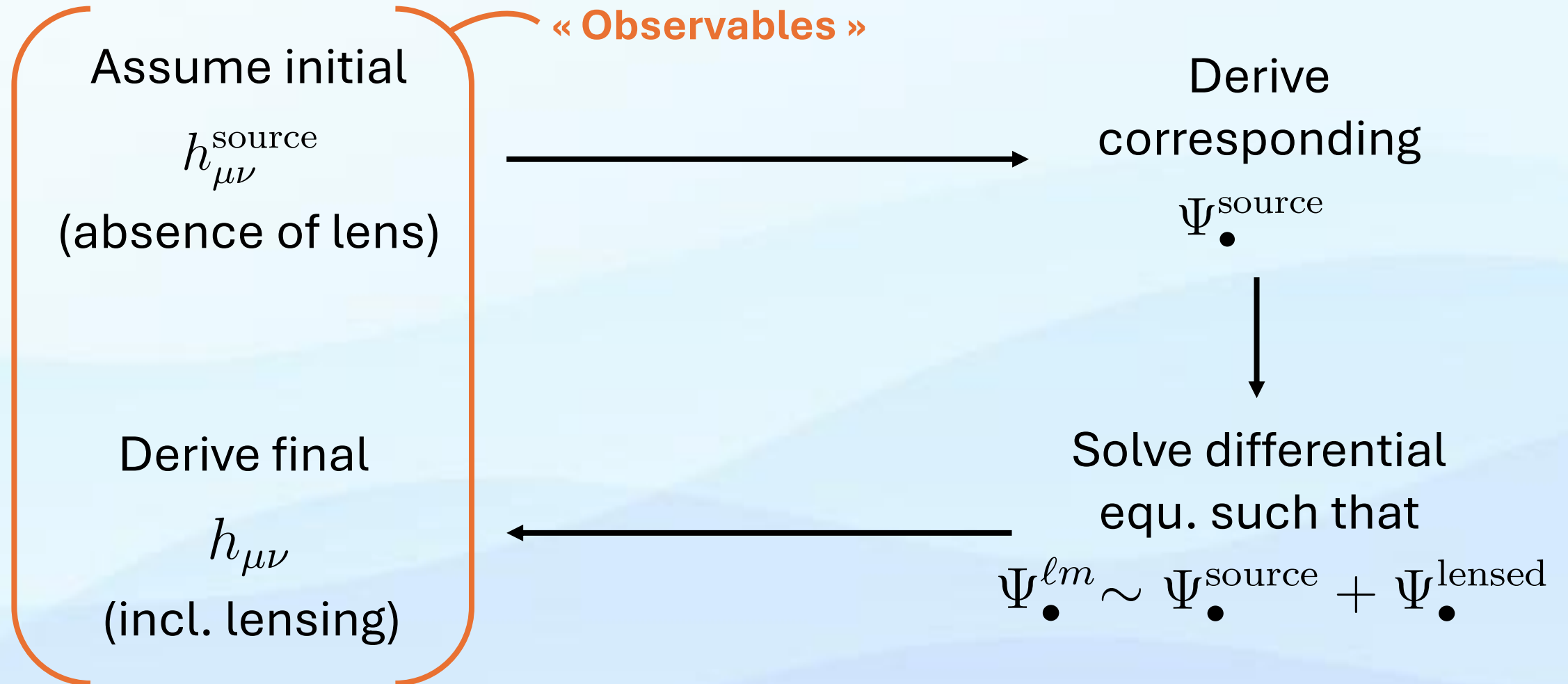
Solve differential
equ. such that

$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$

Derive final
 $h_{\mu\nu}$
(incl. lensing)



Tensorial wave optics : BHPT



Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
(absence of lens)



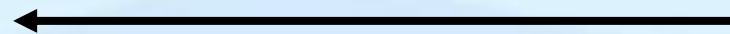
Derive
corresponding
 $\Psi_{\bullet}^{\text{source}}$



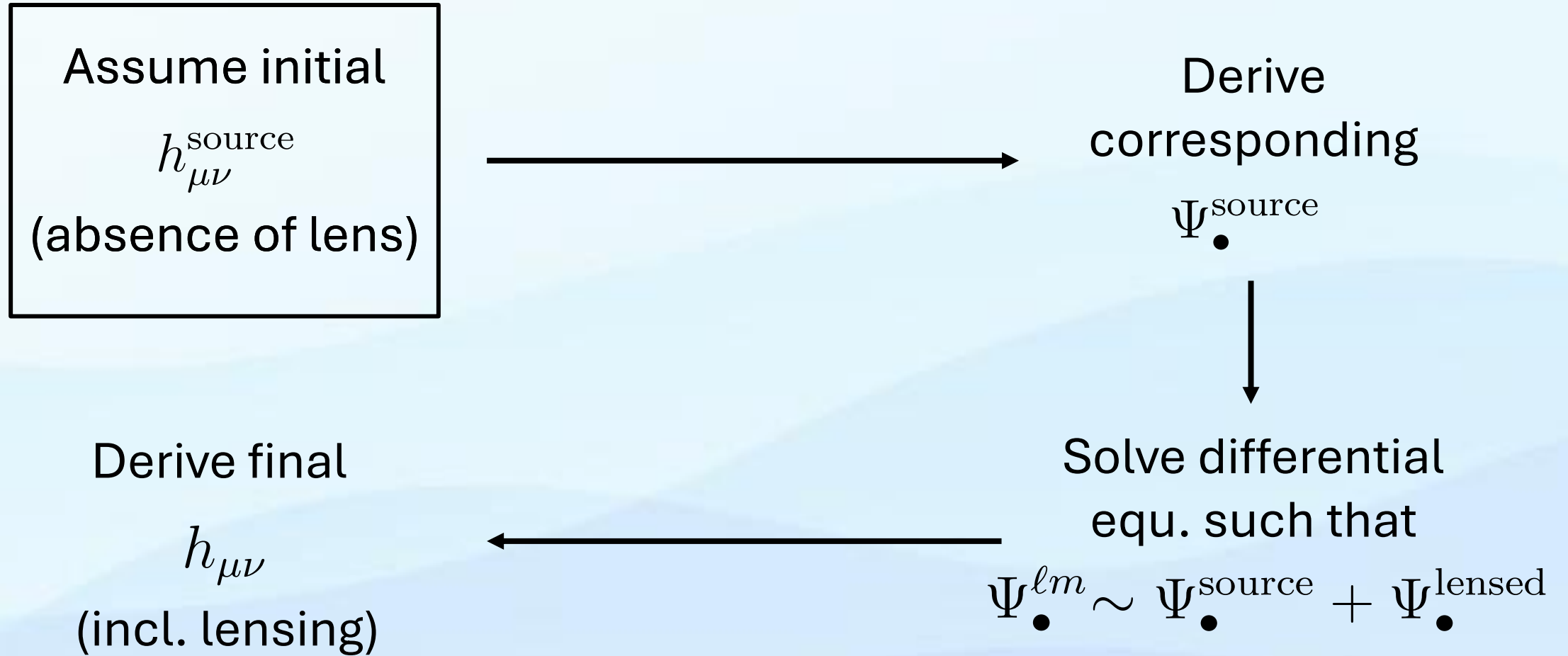
Solve differential
equ. such that

$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$

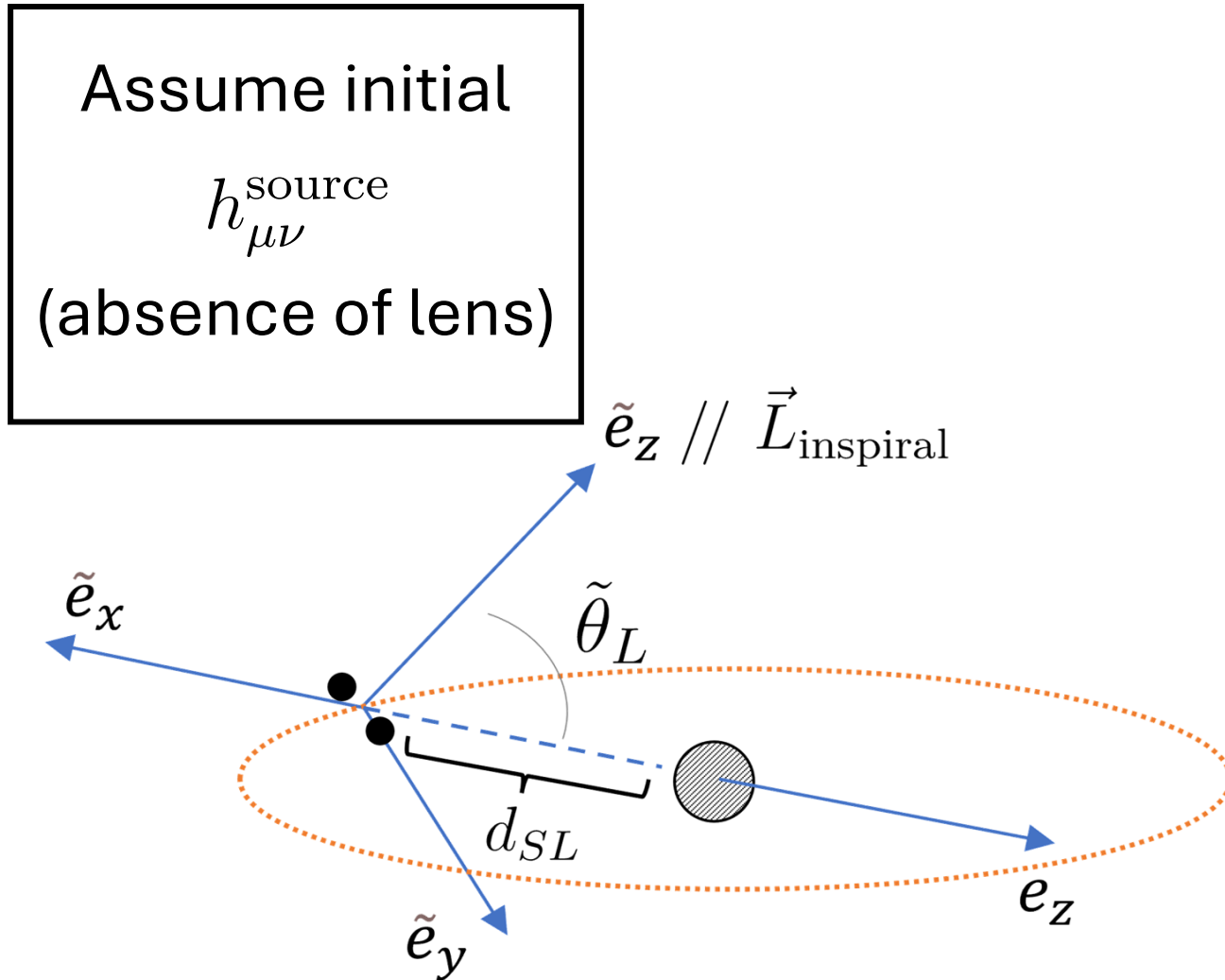
Derive final
 $h_{\mu\nu}$
(incl. lensing)



Tensorial wave optics : BHPT



Tensorial wave optics : BHPT



TT gauge, propagation along e_z :

$$h_{ij}^{\text{source}} = \begin{pmatrix} h_+ & h_\times & 0 \\ h_\times & -h_+ & 0 \\ 0 & 0 & 0 \end{pmatrix}_{ij}$$

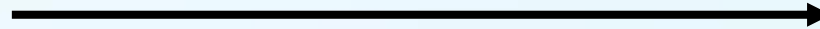
$$h_+ = \frac{A_{\text{in}}}{\tilde{r}} \frac{1 + \cos^2 \tilde{\theta}_L}{2} \cos[\omega(t - \tilde{r}) - 2\tilde{\phi}_L]$$

$$h_\times = \frac{A_{\text{in}}}{\tilde{r}} \cos \tilde{\theta}_L \sin[\omega(t - \tilde{r}) - 2\tilde{\phi}_L]$$

(locally plane wave)

Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
(absence of lens)

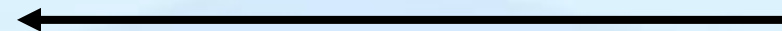


Derive
corresponding
 $\Psi_{\bullet}^{\text{source}}$



Solve differential
equ. such that

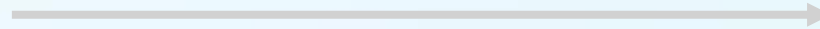
$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$



Derive final
 $h_{\mu\nu}$
(incl. lensing)

Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
(absence of lens)

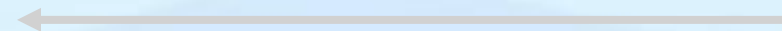


Derive
corresponding
 $\Psi_{\bullet}^{\text{source}}$



Solve differential
equ. such that

$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$



Derive final
 $h_{\mu\nu}$
(incl. lensing)

Tensorial wave optics : BHPT

Assume initial
 $h_{\mu\nu}^{\text{source}}$
(absence of lens)

Derive
corresponding
 $\Psi_{\bullet}^{\text{source}}$

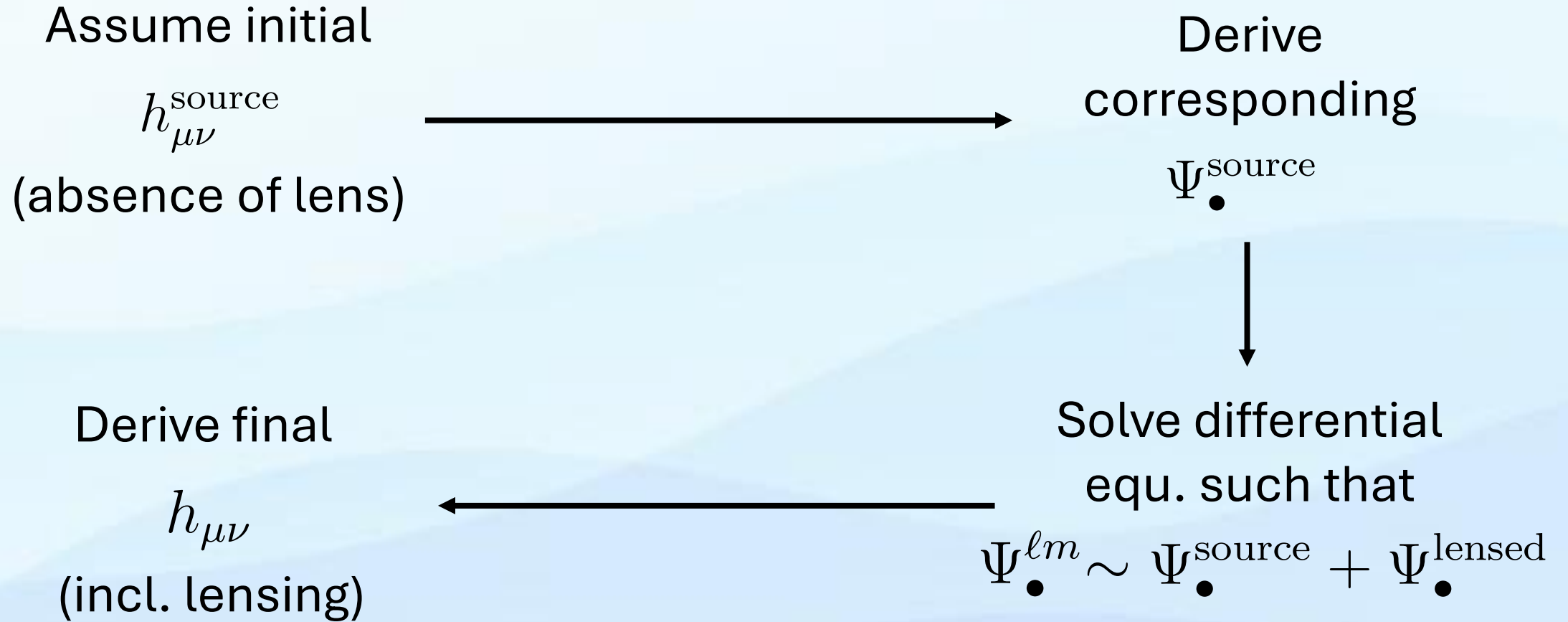
Using scattering
phase shifts

Solve differential
equ. such that

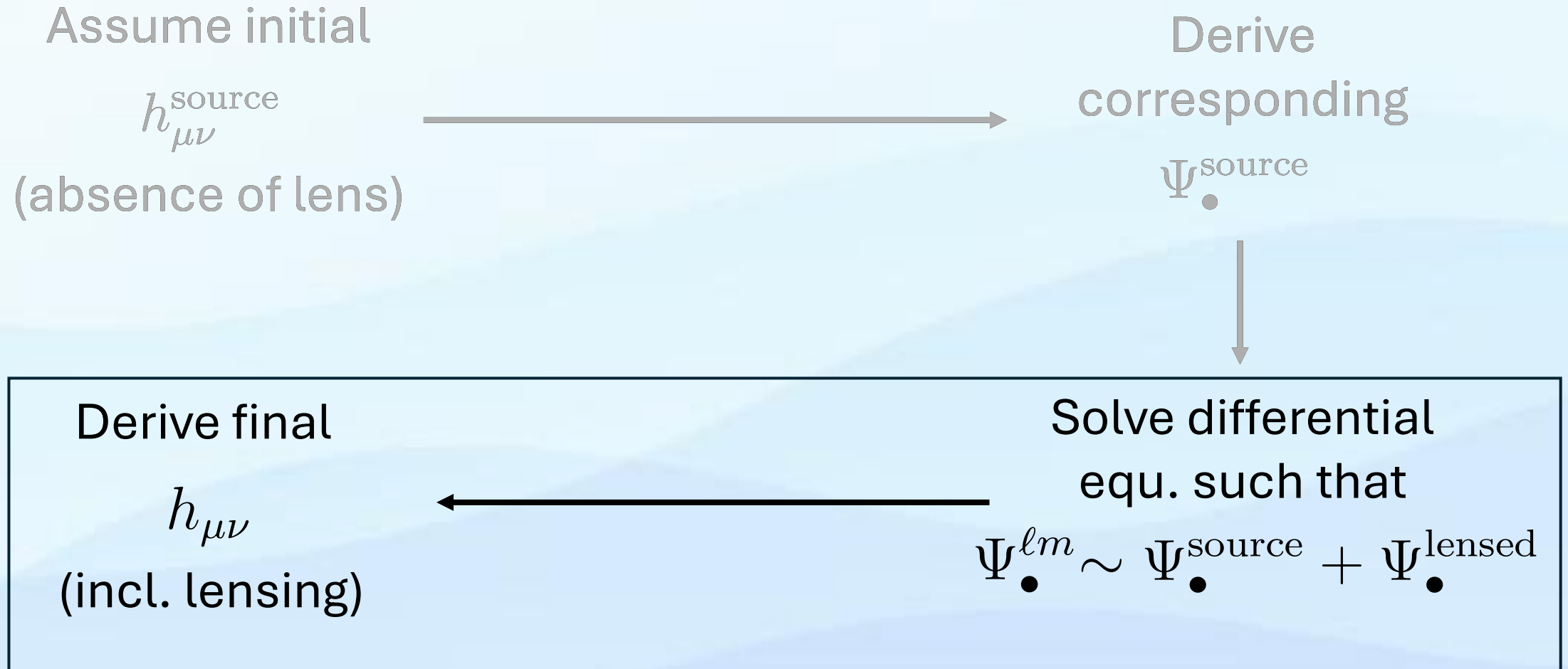
$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$

Derive final
 $h_{\mu\nu}$
(incl. lensing)

Tensorial wave optics : BHPT



Tensorial wave optics : BHPT



Tensorial wave optics : BHPT

Technicality : in principle, should sum $\lim_{r \rightarrow \infty} \sum_{\ell m} \Psi_{\bullet}^{\ell m}$

In practice : $\sum_{\ell m} \lim_{r \rightarrow \infty} \Psi_{\bullet}^{\ell m}$

Derive final

$h_{\mu\nu}$
(incl. lensing)



Solve differential
equ. such that

$$\Psi_{\bullet}^{\ell m} \sim \Psi_{\bullet}^{\text{source}} + \Psi_{\bullet}^{\text{lensed}}$$

Tensorial wave optics : BHPT

Technicality : in principle, should sum $\lim_{r \rightarrow \infty} \sum_{\ell m} \Psi_{\bullet}^{\ell m}$

In practice : $\sum_{\ell m} \lim_{r \rightarrow \infty} \Psi_{\bullet}^{\ell m}$... **diverges** analytically & numerically

