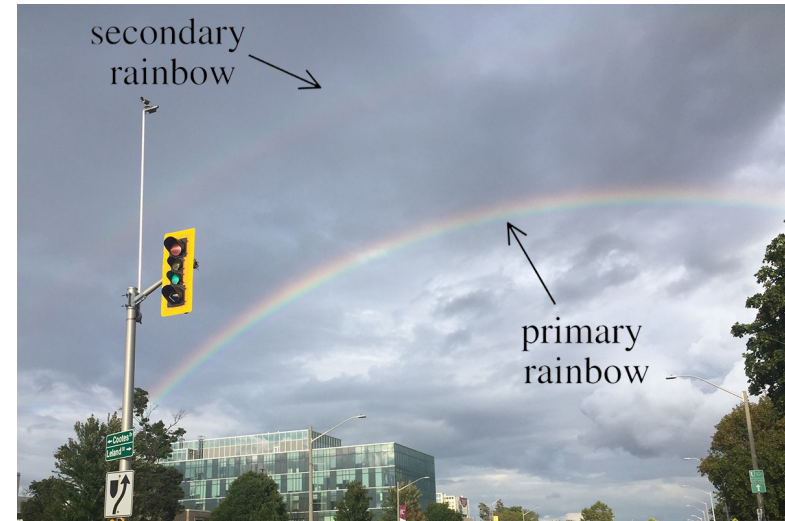
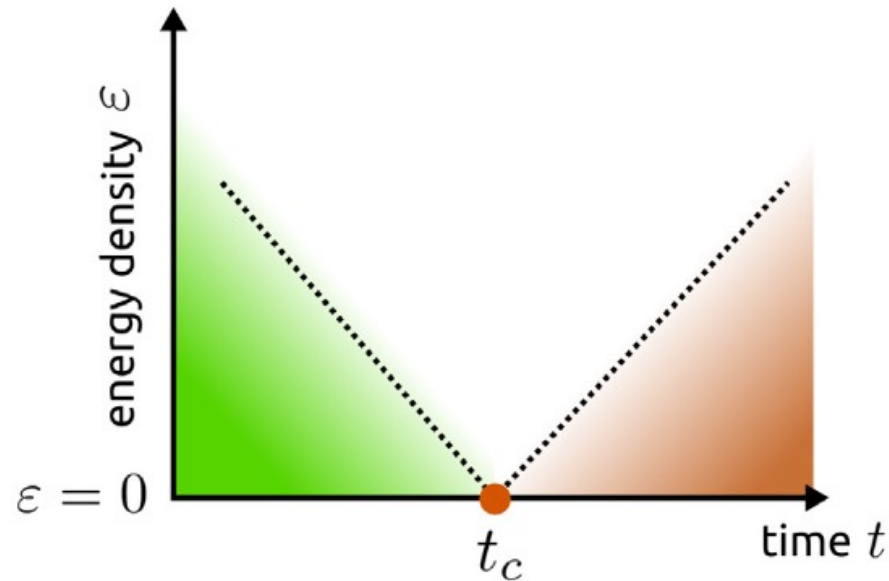


Quantum dark bands and dynamical phase transitions



Valentin Link, Walter Strunz, DOD New J. Phys. 26, 103021 (2024)

Duncan O'Dell, McMaster University

CAP congress, Ottawa 2026

Acknowledgments



Wyatt Kirkby
→ Heidelberg



Liam Farrell



Denise Kamp



Nevan Keating



Hung Nguyen



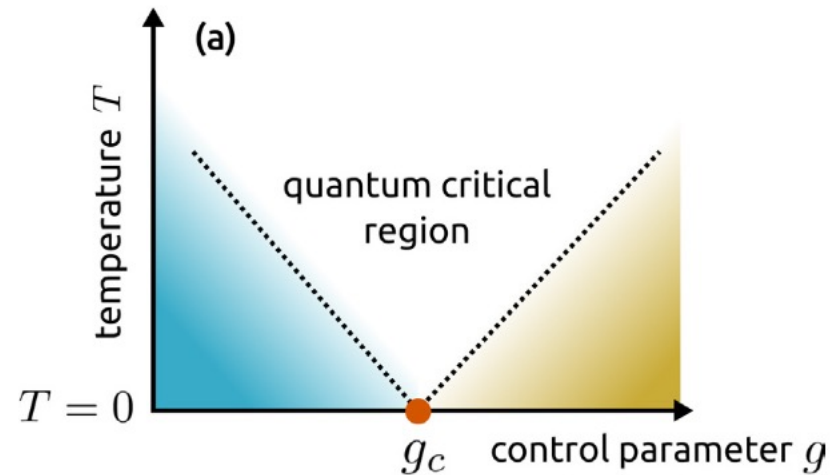
Jay Mehta



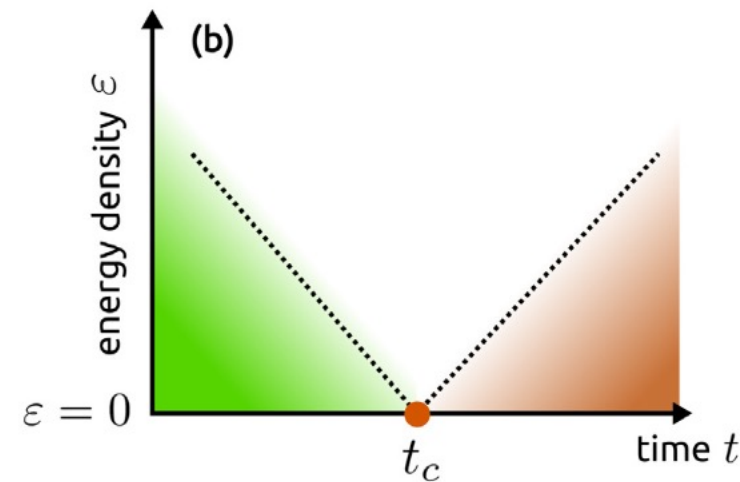
Valentin Link and Walter Strunz
(TU Dresden)

Equilibrium vs Dynamical quantum phase transitions

Quantum phase transition (equilibrium)



Dynamical phase transition (non-equilibrium)



First paper: M. Heyl, A. Polkovnikov, and S. Kehrein, Phys. Rev. Lett. 110, 135704 (2013).

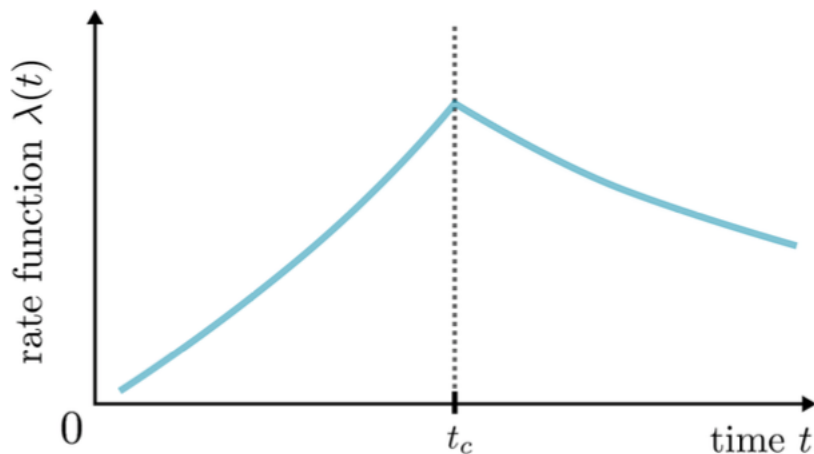
Loschmidt echo

$$L(t) = |\langle \psi_0 | \psi_t \rangle|^2$$

$$r(t) \equiv -\frac{1}{N} \log L(t) \quad \text{rate function}$$

$$\text{or } L(t) = e^{-N r(t)}$$

dynamical phase transition:



Compare:

$$\langle \psi_0 | \psi_t \rangle = \langle \psi_0 | e^{-iHt/\hbar} | \psi_0 \rangle$$

With: $Z = \text{tr } e^{-\beta H}$
(partition function)

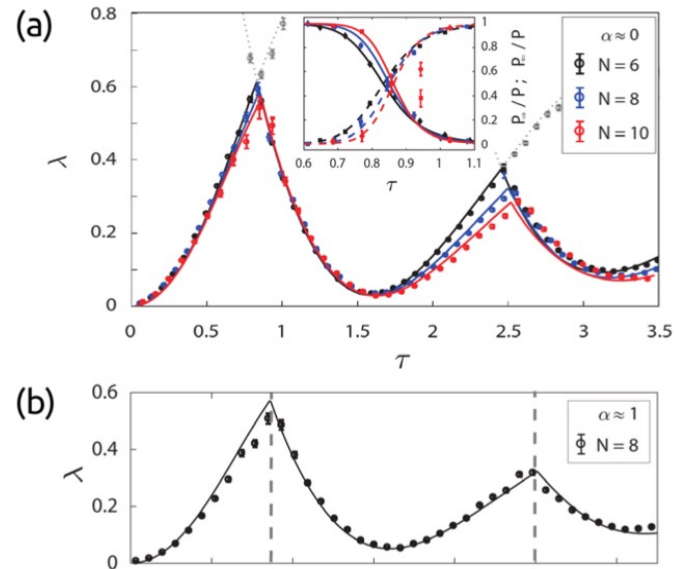
$$Z = e^{-\beta F} = e^{-\beta N f}$$

F = free energy

$f = F/N$ = free energy density

At an equilibrium phase transition, thermodynamic potentials such as the free energy F become nonanalytic as a function of the respective control parameter.

Experiment showing dynamical phase transition following a sudden quench



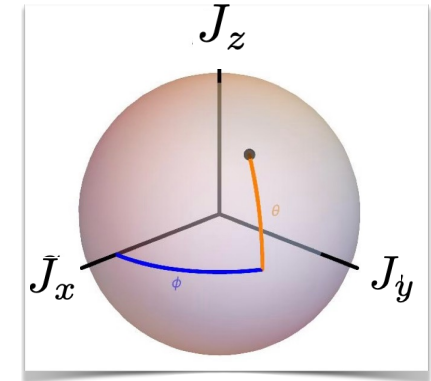
(a) Measured data for the Loschmidt echo rate function $\lambda(t)$ at $\alpha \approx 0$ and different system sizes as a function of dimensionless time $\tau = ht$ displaying clearly nonanalytic behavior. The colored data points show $\lambda(t)$, obtained by taking its dominant contribution $\lambda(t) = \min_{\eta=\uparrow, \downarrow} \lambda_{\eta}(t)$, whereas the grey data points refer to the respective subleading ones. (b) The experimental result for $\lambda(t)$ at a larger interaction exponent $\alpha \approx 1$.

Jurcevic P, Shen H, Hauke P, Maier C, Brydges T, Hempel C, Lanyon B P, Heyl M, Blatt R and Roos C F
Phys. Rev. Lett. 119 080501 (2017)

MODEL USED IN THIS TALK:

$$H = \frac{G}{2N} \sum_{i,j=1}^N \frac{\sigma_z^i \sigma_z^j}{4} + \Omega \sum_{i=1}^N \frac{\sigma_x^i}{2}$$

Fully connected transverse field Ising model



Map onto a giant spin of length $j=N/2$

$$\vec{J} = \frac{1}{2} \sum_{i=1}^N \vec{\sigma}^i$$



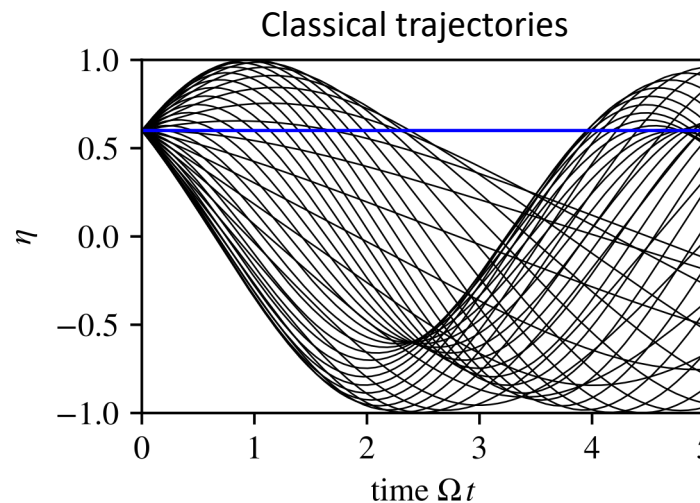
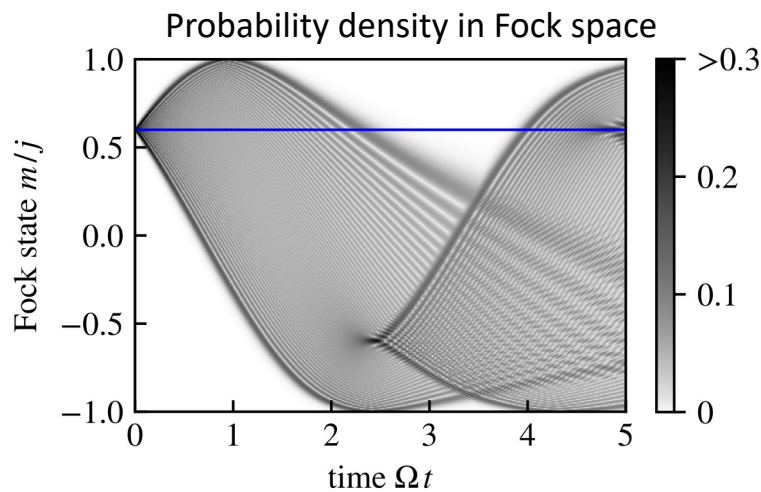
$$H = \frac{G}{2j} J_z^2 + \Omega J_x$$

Work in Fock basis:

$$J_z |j, m\rangle = m |j, m\rangle, \quad m = -j, -j + 1, \dots, j.$$

(magnetization along z)

Dynamics starting from a single Fock state (sudden quench)

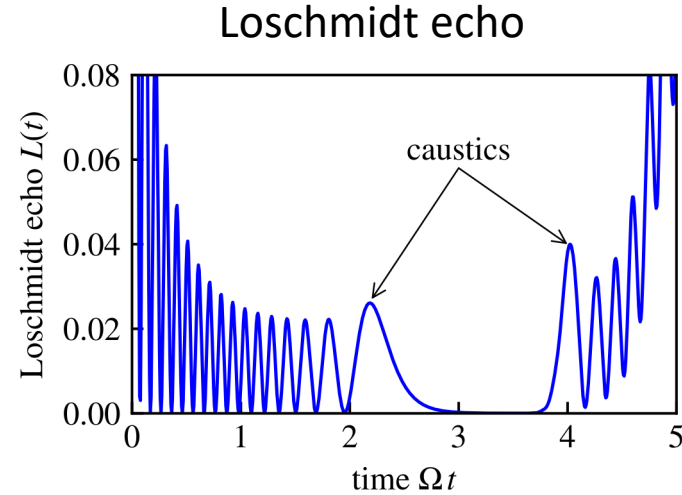
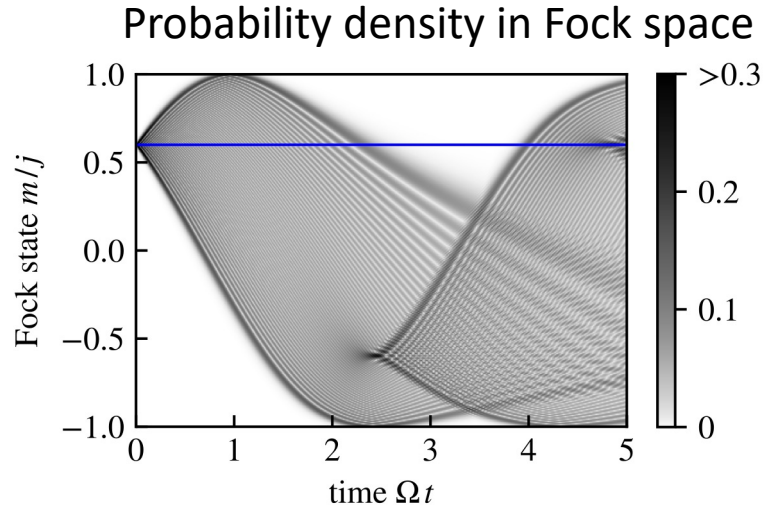


$$H = \frac{G}{2} \eta^2 + \Omega \sqrt{1 - \eta^2} \cos \phi$$

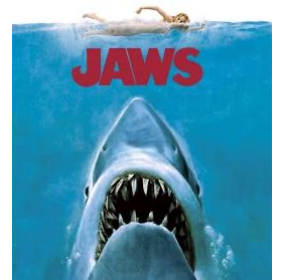
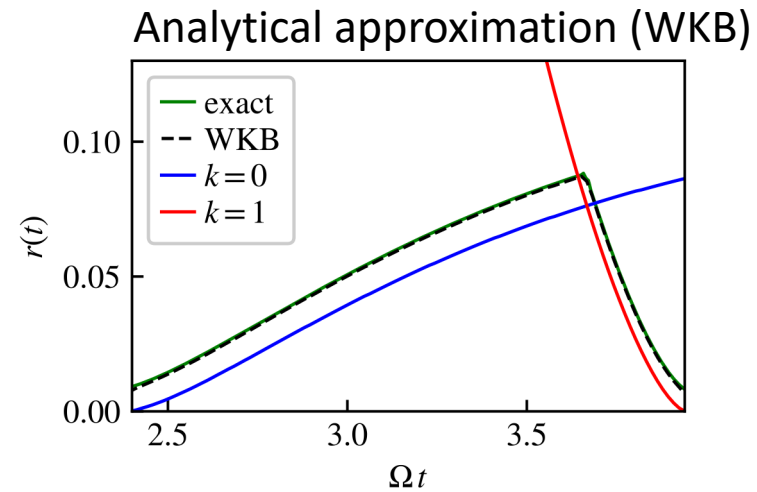
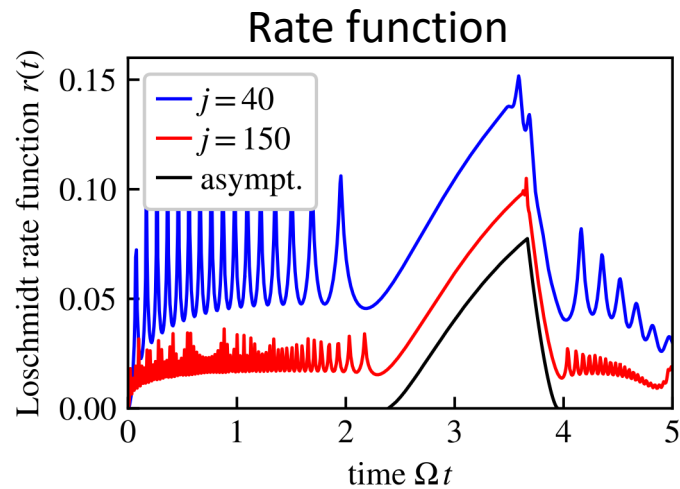
$$\dot{\phi} = \frac{\partial H}{\partial \eta} \quad \dot{\eta} = -\frac{\partial H}{\partial \phi}$$

$$\eta = m/j$$

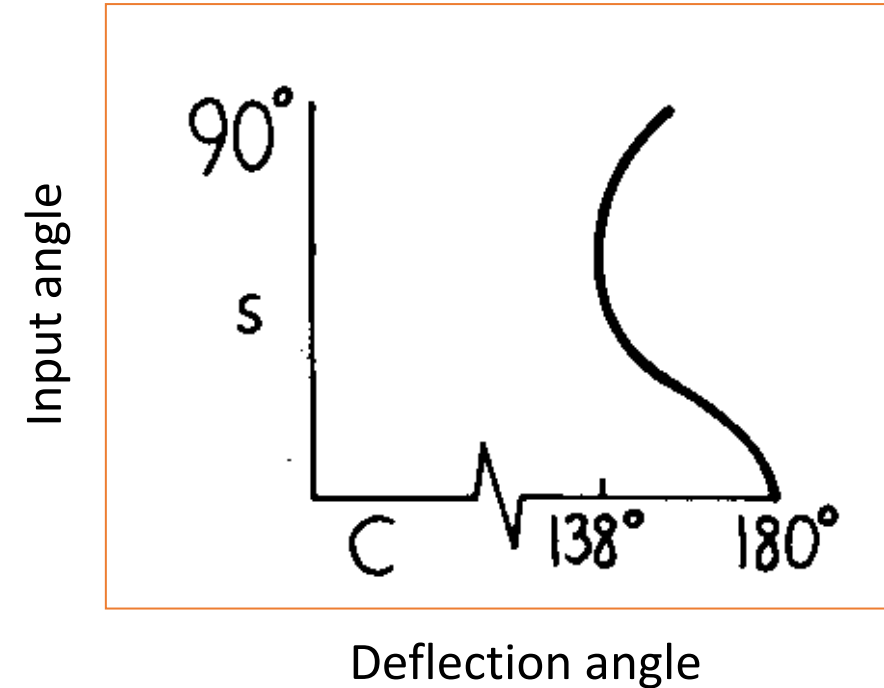
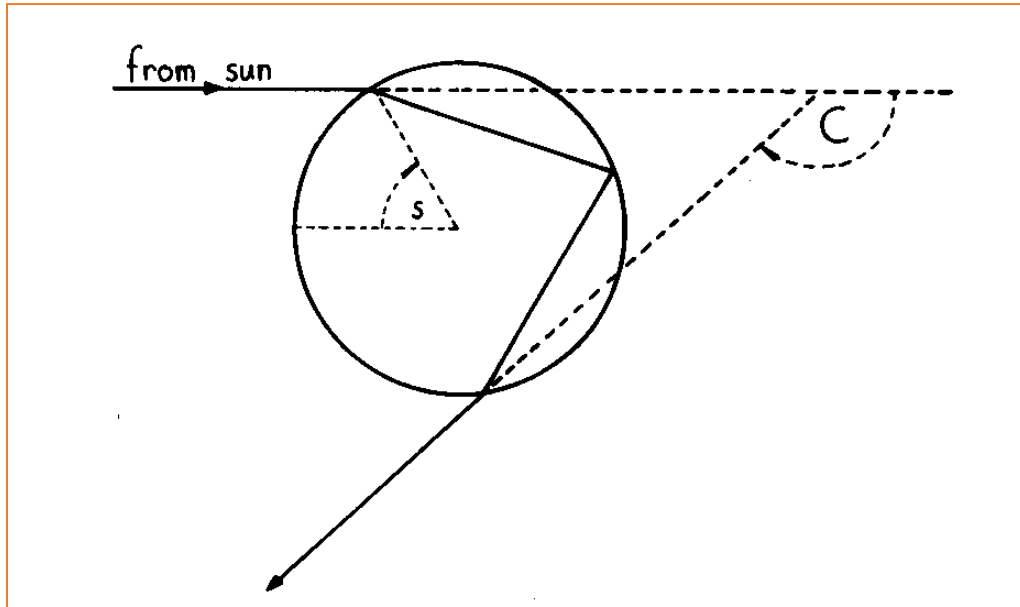
Dynamical Phase Transition: caustics in Fock space



DPT at $t_c = 3.7/\Omega$



Rainbows: ray theory



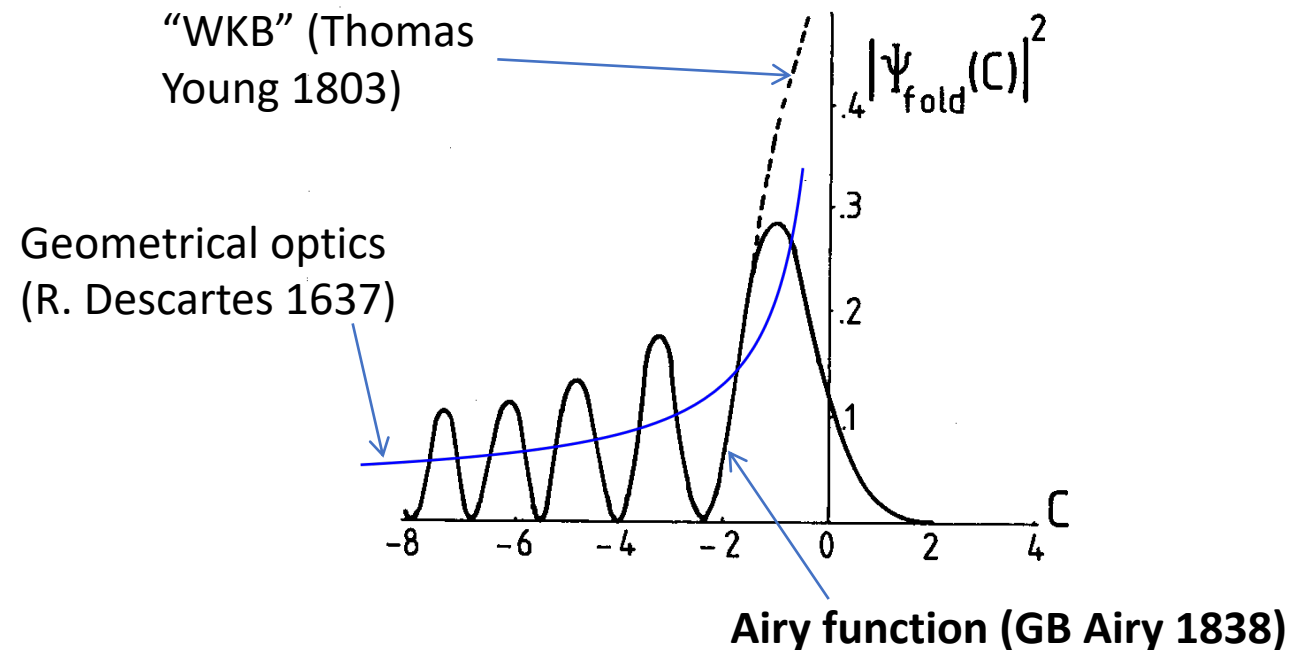
Rainbow is a **structurally stable caustic** called a **fold catastrophe** described by catastrophe theory
Michael Berry, *Singularities in waves and rays*, Physics of Defects, vol XXXV ,
ed R Balian (North-Holland Publishing) (1981)

Rainbows: wave theory



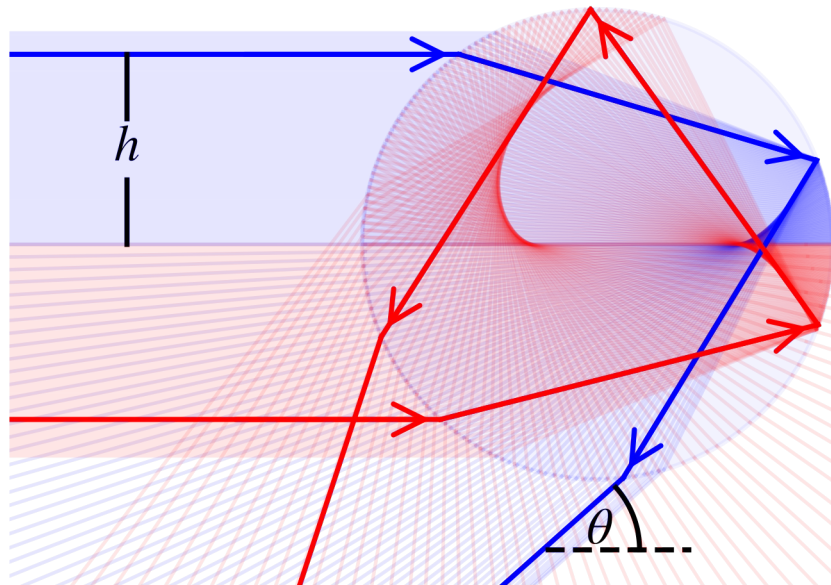
Supernumerary arcs = Airy fringes made by white light

Airy fringes for **one** colour (e.g. yellow)

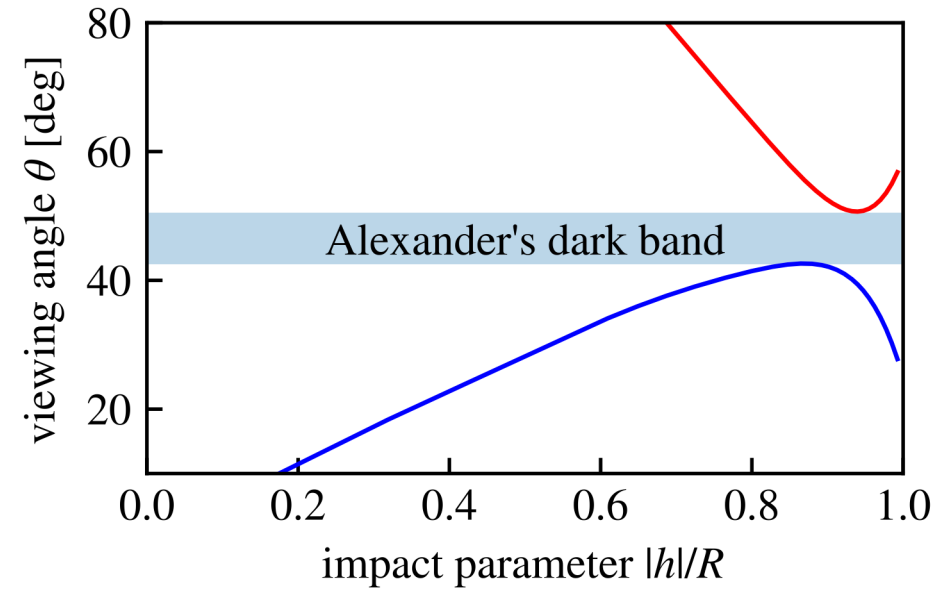


G.B. Airy, *On the intensity of light in the neighbourhood of a caustic*, Trans. Camb. Phil. Soc. **6**, 379 (1838)

Double rainbows: ray theory

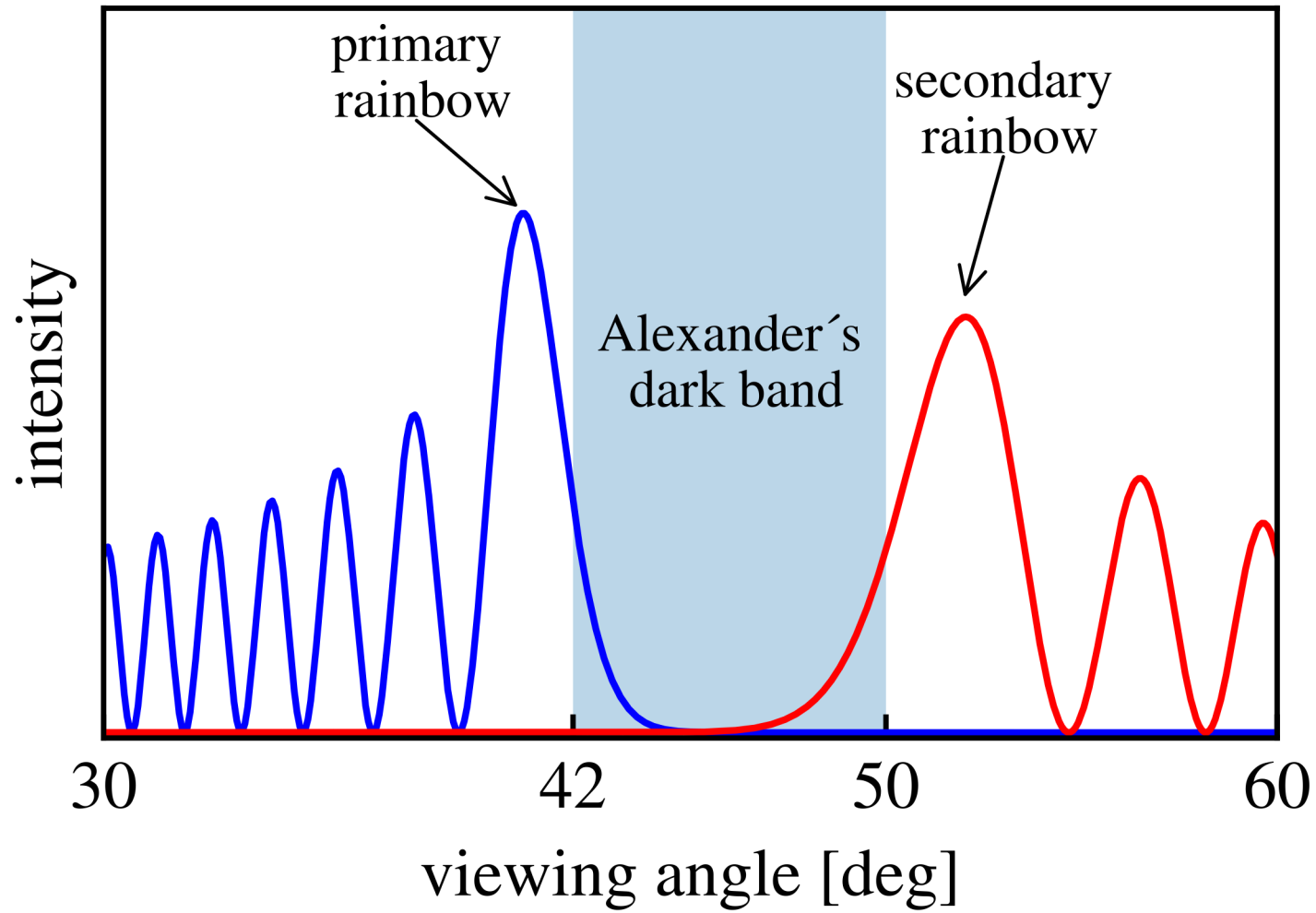


$$\theta_1 = 42^\circ$$
$$\theta_2 = 50^\circ$$

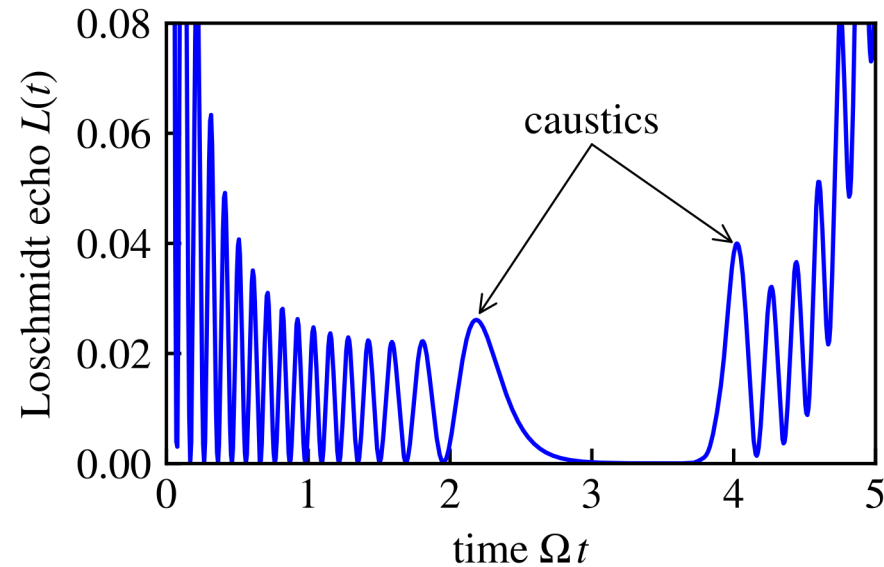
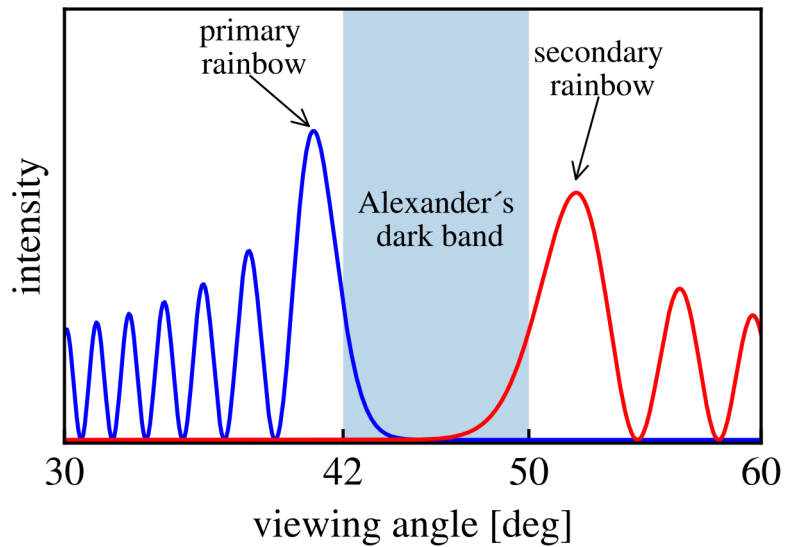


Alexander of Aphrodisias, *Commentary on Book IV of Aristotle's Meteorology* (circa AD 200)

Double rainbow wave theory



Quantum dark bands

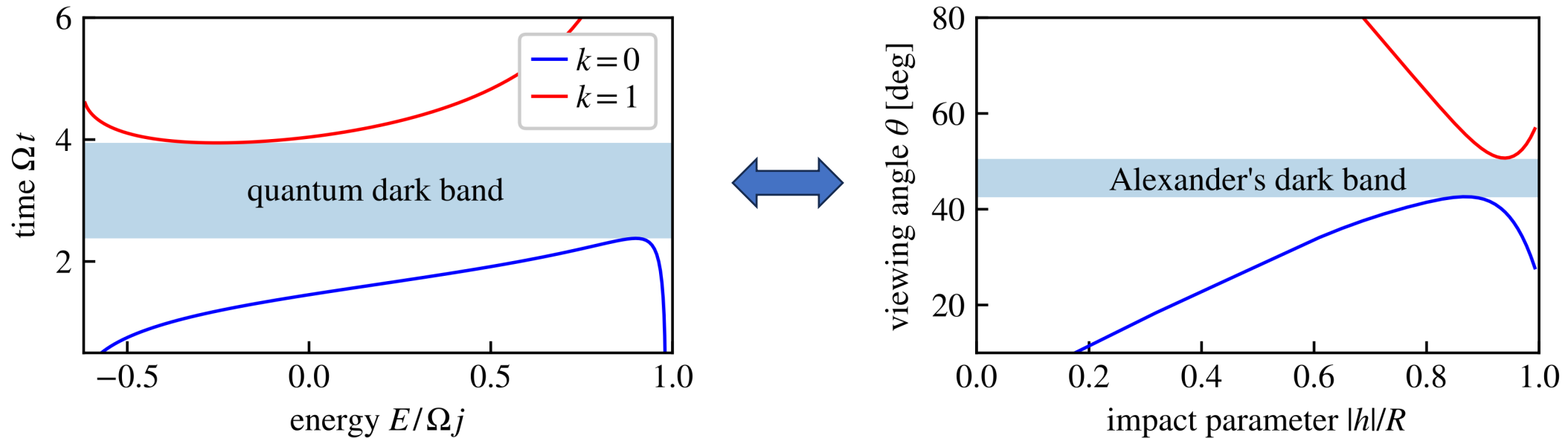


Dynamical Phase Transitions, Caustics, and Quantum Dark Bands

V. Link, W. T. Strunz, DOD

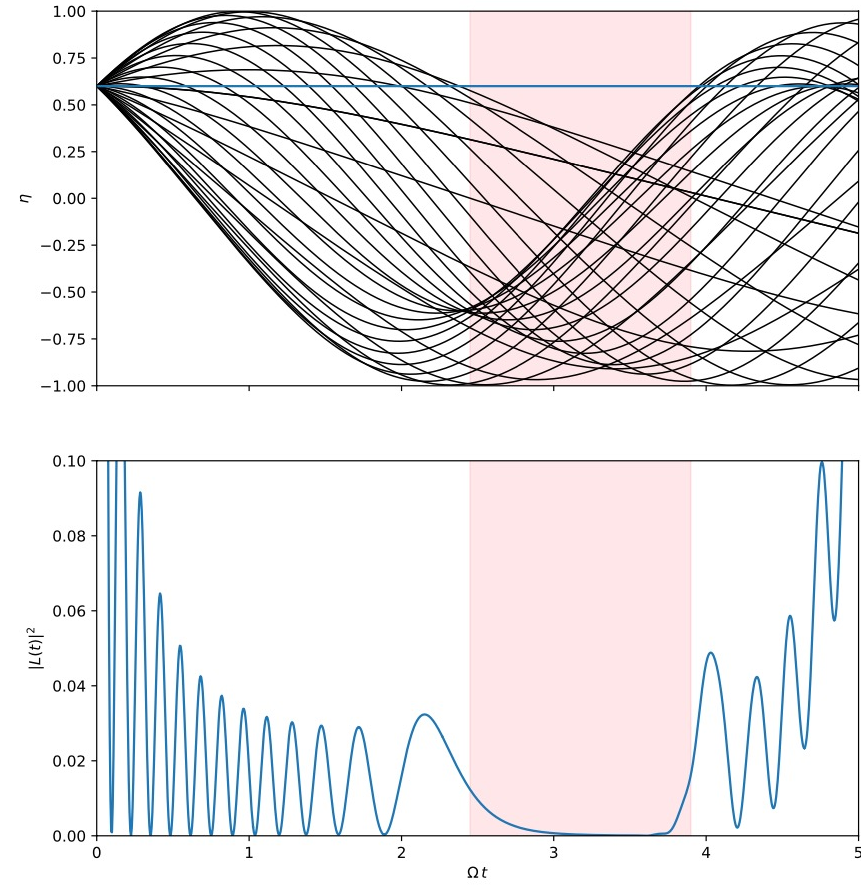
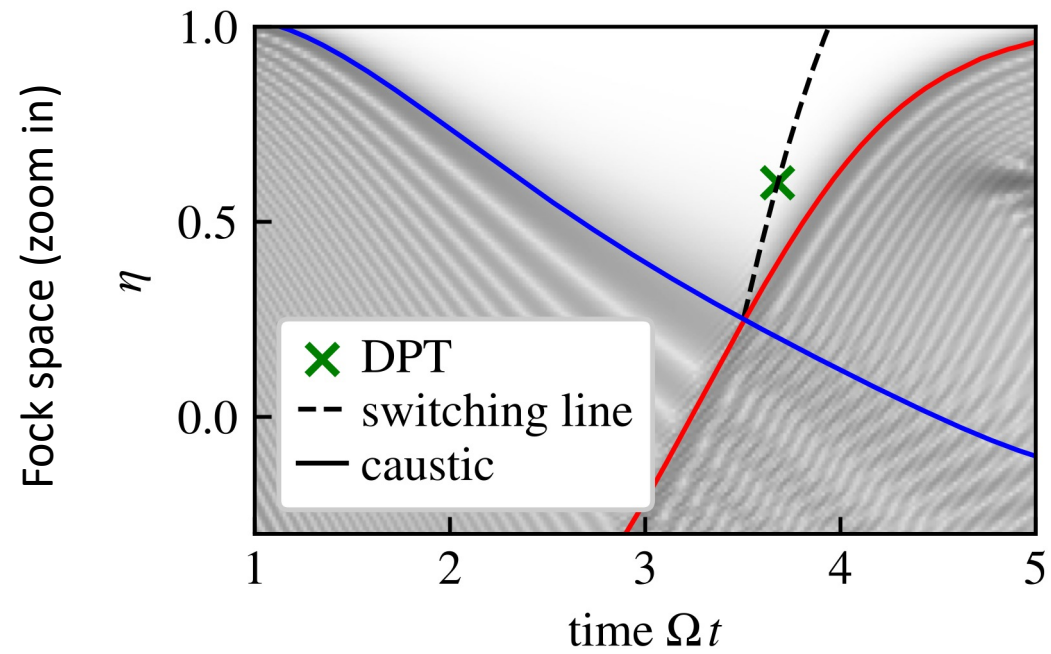
New J. Phys. 26, 103021 (2024)

Quantum dark bands



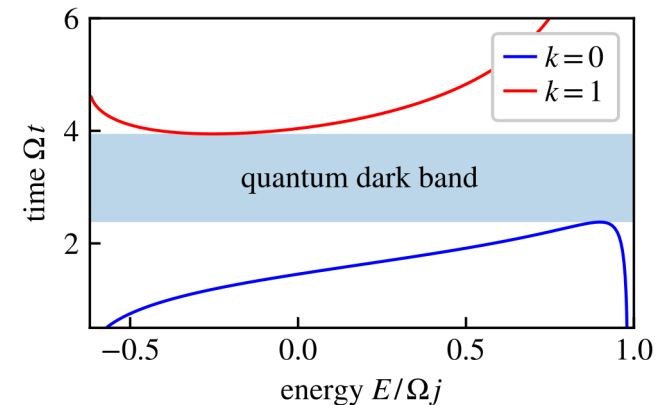
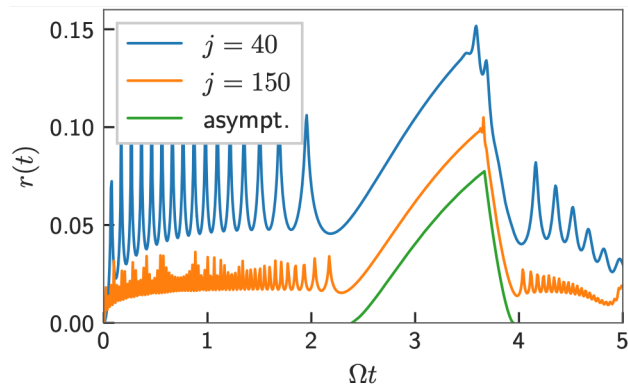
Quantum dark bands (and Alexander's dark band) are structurally stable and hence generic (according to catastrophe theory)

Switching lines

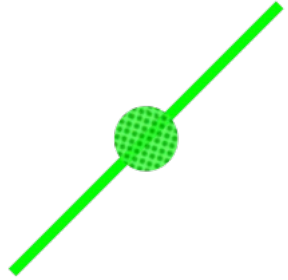


Conclusions

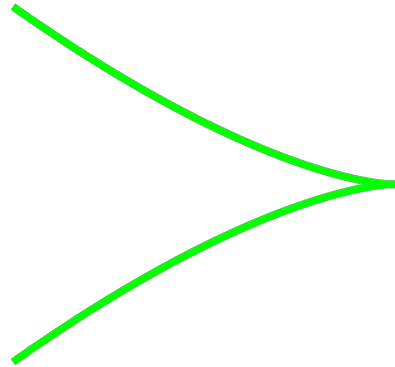
- **Quantum dark bands** are enclosed by **caustics in Fock space**
- Dynamical phase transition occurs when the Loschmidt echo crosses the switching line between tails of back-to-back Airy functions in Fock space
- Universality due to singularity (Airy functions)
- Close analogy between **Alexander's Dark Band** in double rainbows (back-to-back caustics)



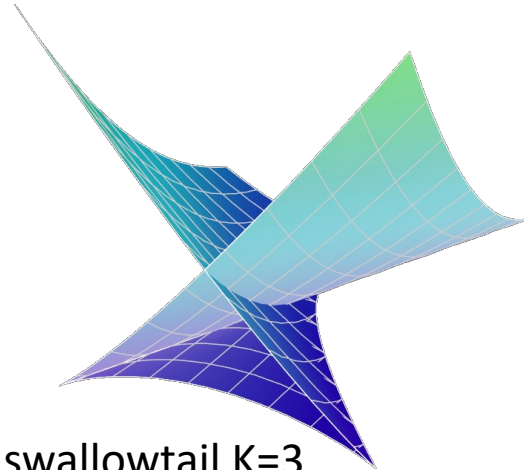
Catastrophe theory: structurally stable singularities



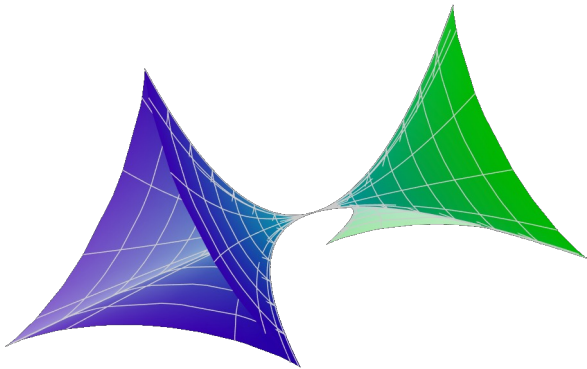
fold $K=1$



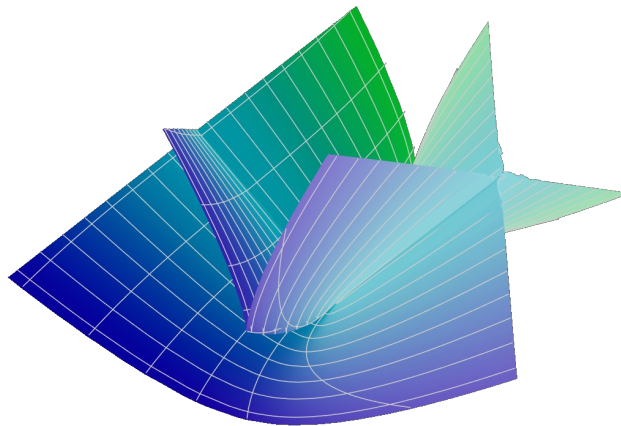
cusp $K=2$



swallowtail $K=3$



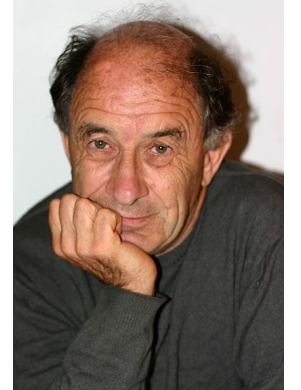
elliptic umbilic $K=3$



hyperbolic umbilic $K=3$



René Thom



Vladimir Arnold

Wave catastrophes

$$\begin{aligned}\Psi_{\text{fold}}(C) &= \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} e^{i(s^3/3 + Cs)} ds \\ &= \sqrt{2\pi} \text{Ai}[C]\end{aligned}$$

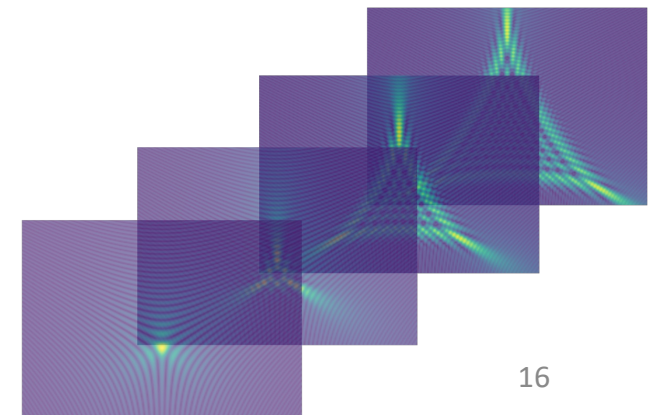
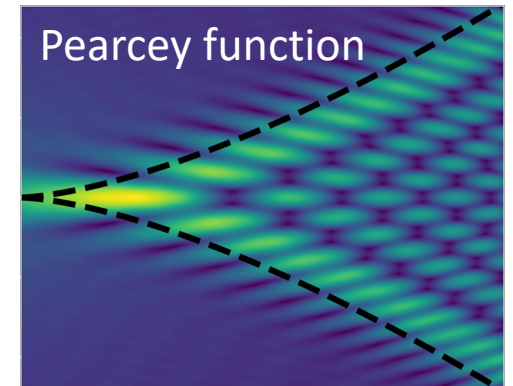
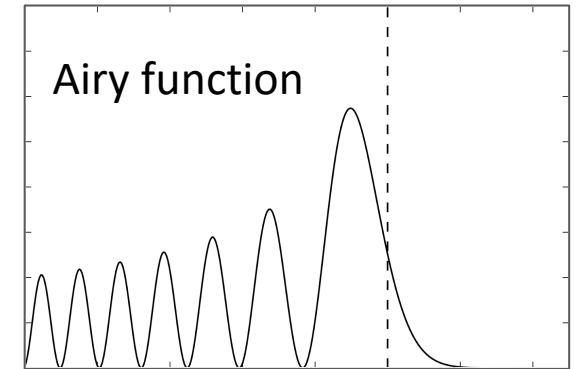
G.B. Airy, Trans. Camb. Phil. Soc. **6**, 379 (1838)

$$\Psi_{\text{cusp}}(C_1, C_2) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} e^{i(s^4/4 + C_2 s^2/2 + C_1 s)} ds$$

T. Pearcey, Phil. Mag. **37**, 311 (1946)

$$U_E(C_1, C_2, C_3) = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} e^{i(s_1^3 - 3s_1 s_2^2 - C_3(s_1^2 + s_2^2) - C_2 s_2 - C_1 s_1)} ds_1 ds_2$$

Berry, Nye & Wright, Phil. Trans. R. Soc. A. **291**, 453 (1979)

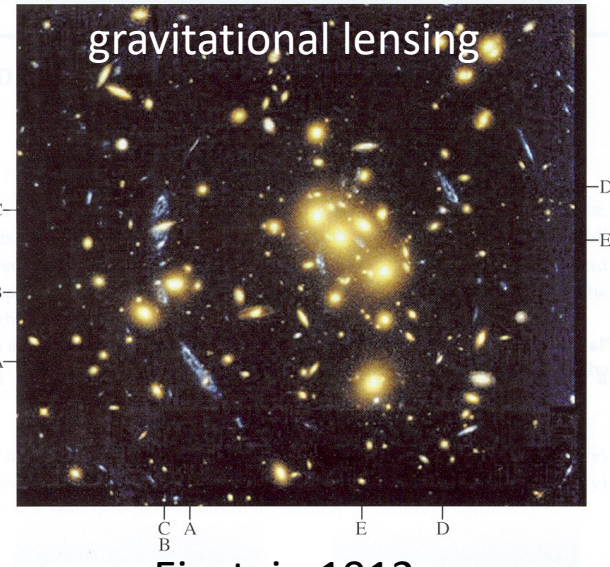


Natural focusing: caustics



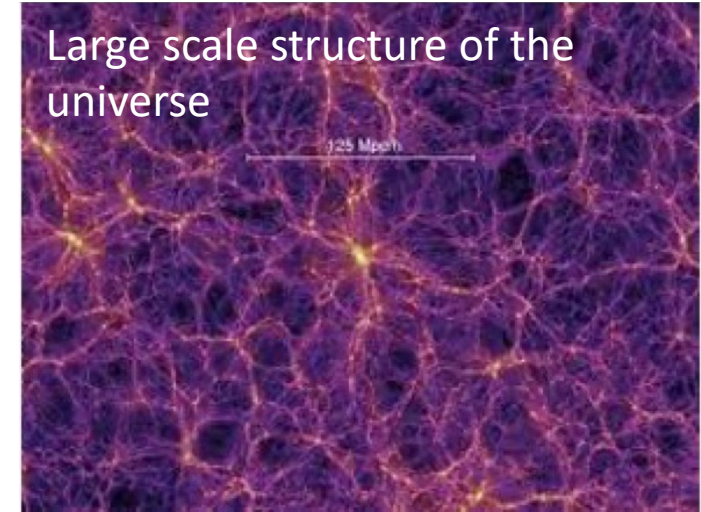
rainbows

Descartes 1637



gravitational lensing

Einstein 1912



Large scale structure of the universe

Zeldovich 1981



freak waves

Pelinovsky 2003

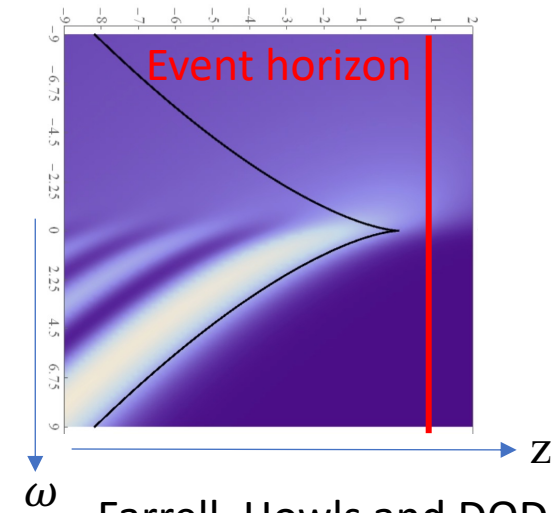


tidal bores

Berry 2005

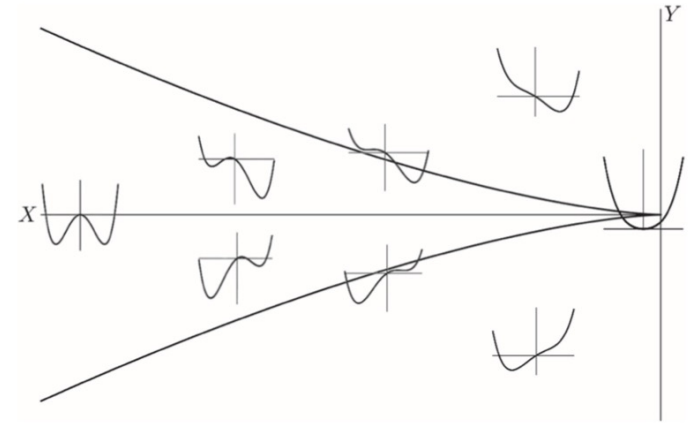
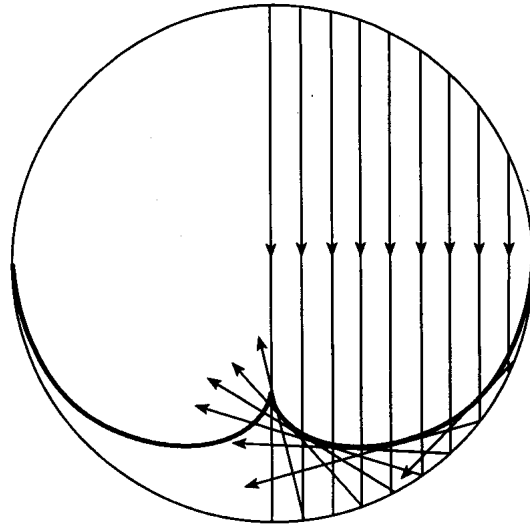
Duncan O'Dell

McMaster University



Farrell, Howls and DOD 2023

Caustics and catastrophe theory



- Singular
- Structurally stable
- Generic (do not need fine tuning)

Generating functions for catastrophes up to K=4

NAME	CODIMENSION K	GENERATING FUNCTION
fold	1	$s^3 + C s$
cuspidal	2	$s^4/4 + C_2 s^2/2 + C_1 s$
swallowtail	3	$s^5/5 + C_3 s^3/3 + C_2 s^2/2 + C_1 s$
elliptic umbilic	3	$s_1^3 - 3 s_1 s_2^2 - C_3 (s_1^2 + s_2^2) - C_2 s_2 + C_1 s_1$
hyperbolic umbilic	3	$s_1^3 + s_2^3 - C_3 s_1 s_2 - C_2 s_2 + C_1 s_1$
butterfly	4	$s^6/6 + C_4 s^4/4 + C_3 s^3/3 + C_2 s^2/2 + C_1 s$
parabolic umbilic	4	$s_1^4 + s_1 s_2^2 + C_4 s_2^2 + C_3 s_1^2 + C_2 s_2 + C_1 s_1$

R. Thom Structural Stability and Morphogenesis (Benjamin, 1975)

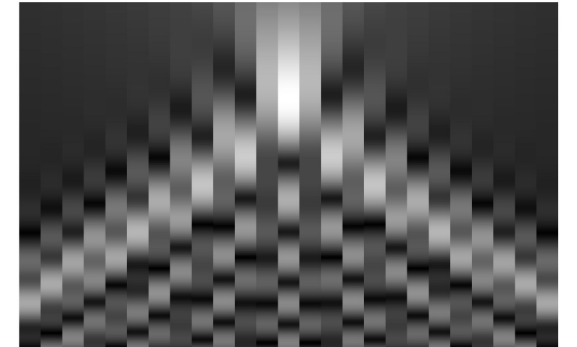
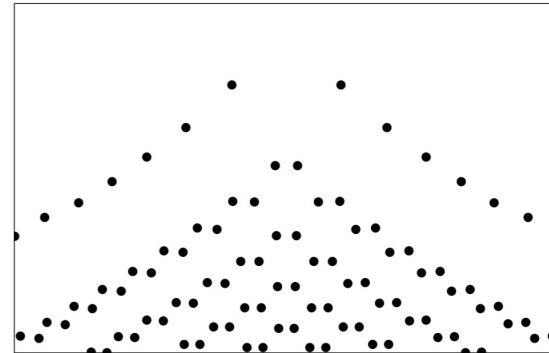
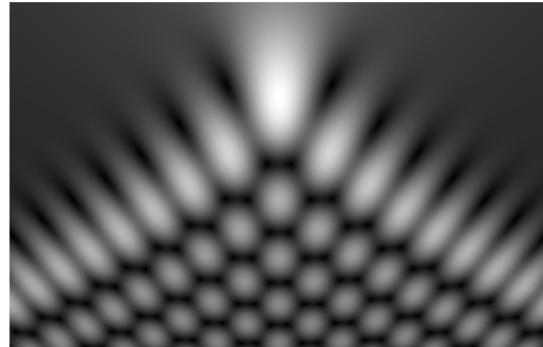
V.I. Arnold, Russ. Math. Survs. 30 (5) (1975)



Self-similarity: scaling exponents

catastrophe	Arnold index β	Berry indices σ_j	Berry index γ
fold	1/6	2/3	2/3
cusp	1/4	3/4 , 1/2	5/4
swallowtail	3/10	4/5 , 3/5, 2/5	9/5
elliptic umbilic	1/3	2/3 , 2/3 , 1/3	5/3
hyperbolic umbilic	1/3	2/3 , 2/3, 1/3	5/3
butterfly	1/3	5/6 , 2/3 , 1/2 , 1/3	7/3
parabolic umbilic	3/8	5/8 , 3/4 , 1/2 , 1/4	17/8

Quantum caustics



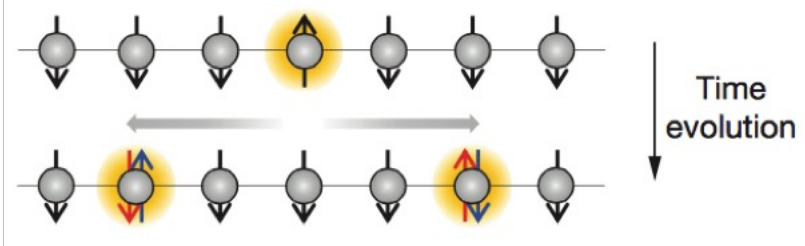
“Light” cones in quantum quench experiments

LETTER

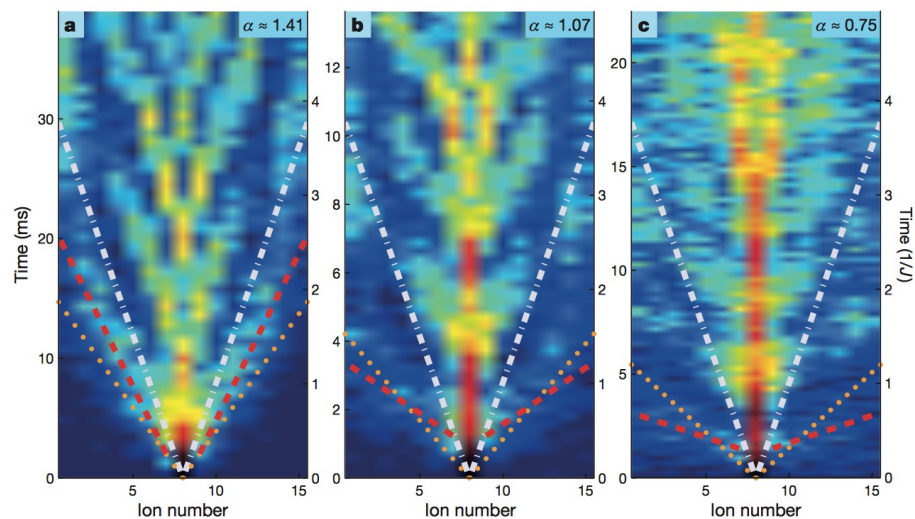
doi:10.1038/nature13461

Quasiparticle engineering and entanglement propagation in a quantum many-body system

P. Jurcevic^{1,2*}, B. P. Lanyon^{1,2*}, P. Hauke^{1,3}, C. Hempel^{1,2}, P. Zoller^{1,3}, R. Blatt^{1,2} & C. F. Roos^{1,2}



Measured magnetization: $\langle \sigma_i^z(t) \rangle$



Duncan O'Dell

LETTER

doi:10.1038/nature10748

Light-cone-like spreading of correlations in a quantum many-body system

Marc Cheneau¹, Peter Barmettler², Dario Poletti², Manuel Endres¹, Peter Schauß¹, Takeshi Fukuhara¹, Christian Gross¹, Immanuel Bloch^{1,3}, Corinna Kollath^{2,4} & Stefan Kuhr^{1,5}

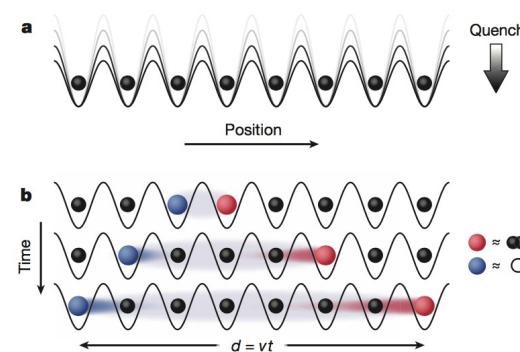


Figure 1 | Spreading of correlations in a quenched atomic Mott insulator.

$$C_d(t) = \langle \hat{s}_j(t) \hat{s}_{j+d}(t) \rangle - \langle \hat{s}_j(t) \rangle \langle \hat{s}_{j+d}(t) \rangle$$

Bose-Hubbard system

McMaster University

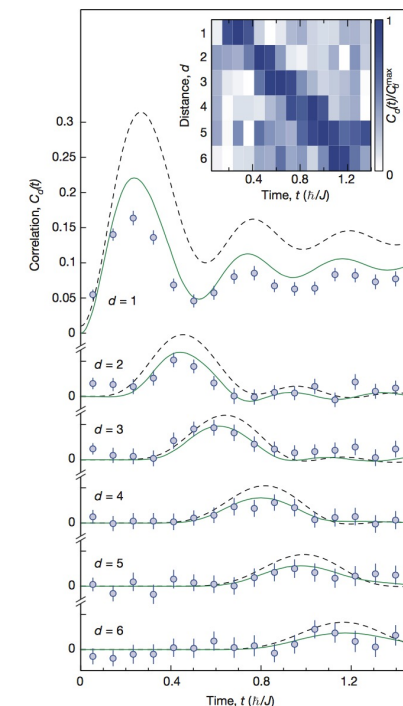


Figure 2 | Time evolution of the two-point parity correlations. After the

Light cones are caustics!



Wyatt Kirkby

$$H = -J \sum_{\langle ij \rangle} \sigma_i^x \sigma_j^x - h \sum_i \sigma_i^z$$

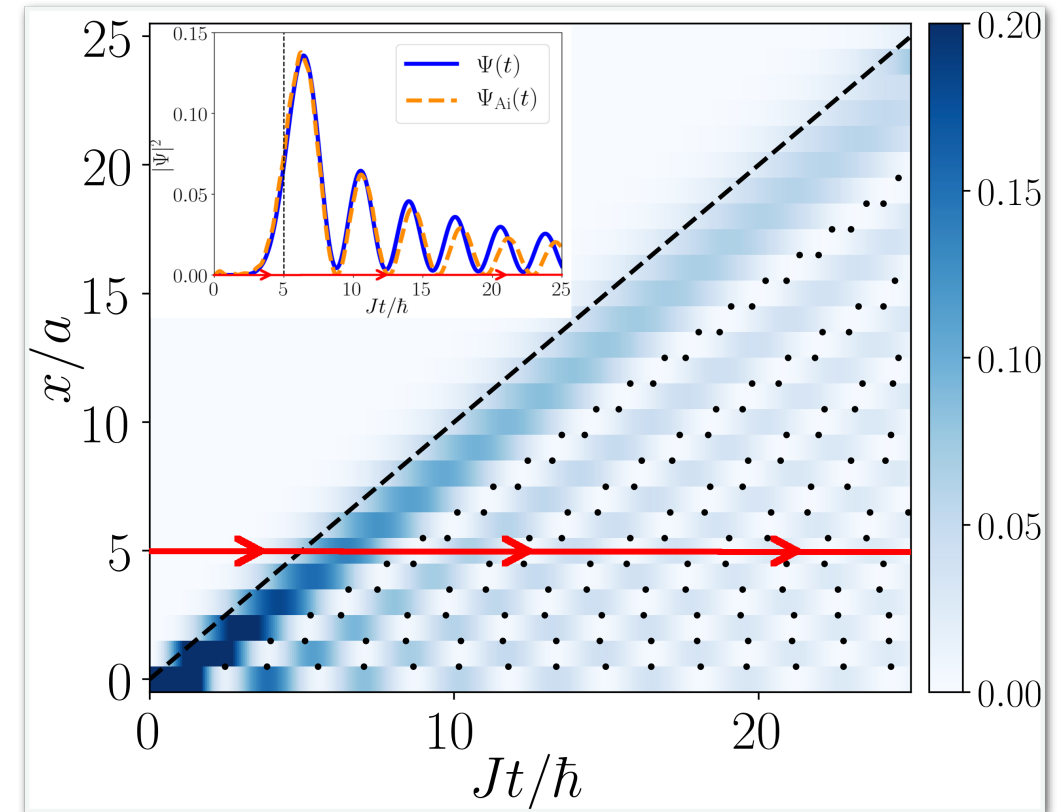
Transverse field Ising model

$$\Psi_{\text{Ai}}(C^j; J) \approx \frac{1}{2\pi t^{1/3}} \left(\frac{2Jg^{2-j}}{v_1 \hbar} \right)^{\frac{1}{2}} \int_{\alpha_j}^{\beta_j} ds_j e^{\frac{iJ}{\hbar} \Phi_1(s_j; C^j)} \underset{t \rightarrow \infty}{\propto} \left(\frac{J}{\hbar} \right)^{\frac{1}{6}} \text{Ai} \left[\left(\frac{J}{\hbar} \right)^{\frac{2}{3}} C^j \right]$$

$$C_j(x, t) = 2(x/v_1 - t)(g^{2-j}/\sqrt{t})^{2/3} \quad j = \{1, 2\} \text{ when } \{g > 1, g < 1\}$$

$$v_1 \equiv \begin{cases} \frac{2Jag}{\hbar} & 0 < |g| < 1 \\ \frac{2Ja}{\hbar} & 1 < |g| \end{cases}$$

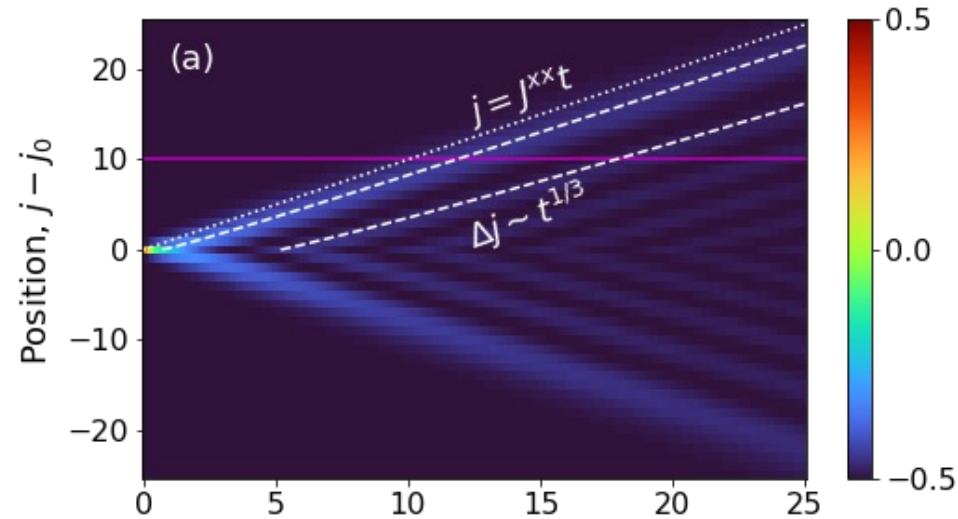
$$g = h/J$$



$g = 0.8$

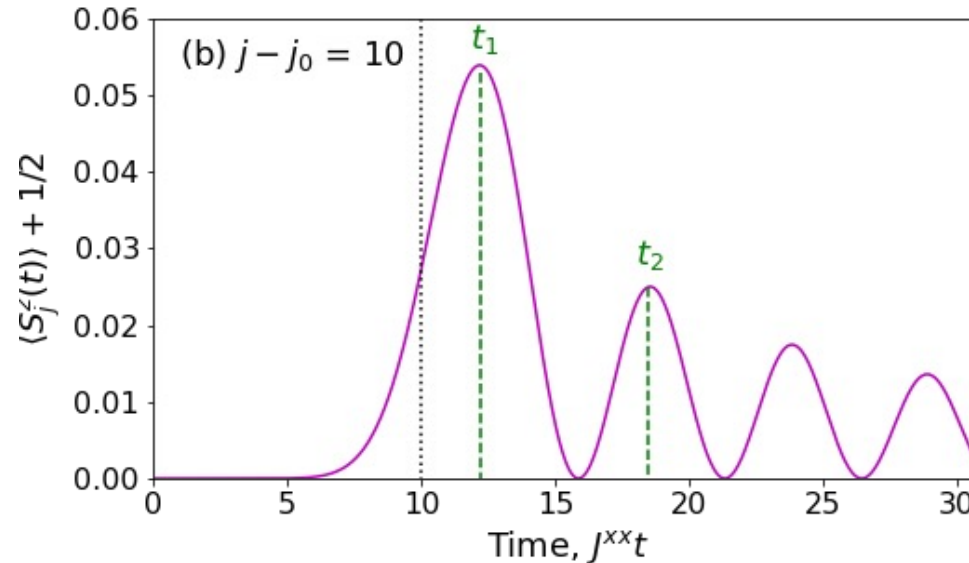
Predict subdiffusive scaling of the wavefront (TFIM)

Local magnetization as a function of position and time on a spin chain



If wavefront spread diffusively we would expect $t^{1/2}$

Slice at fixed position on chain

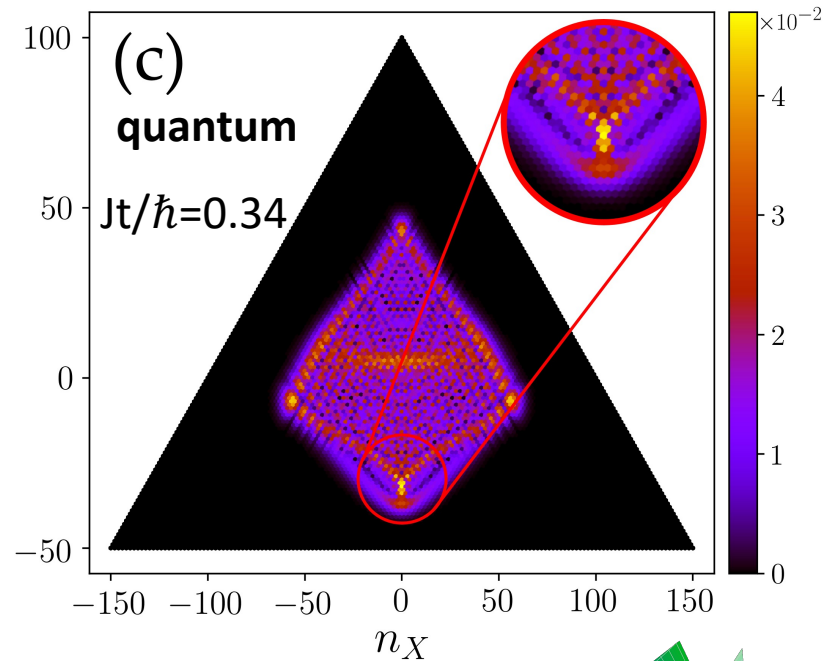
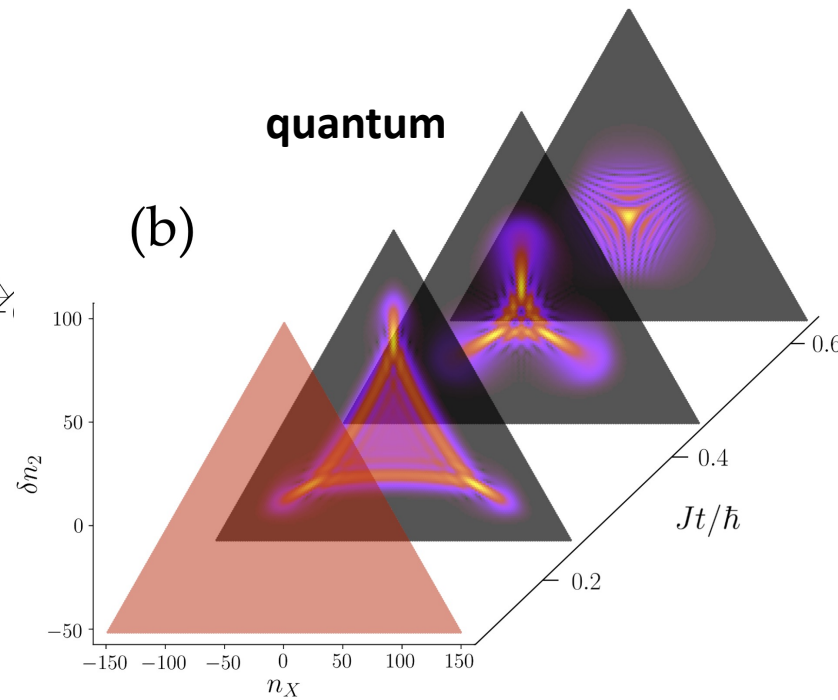
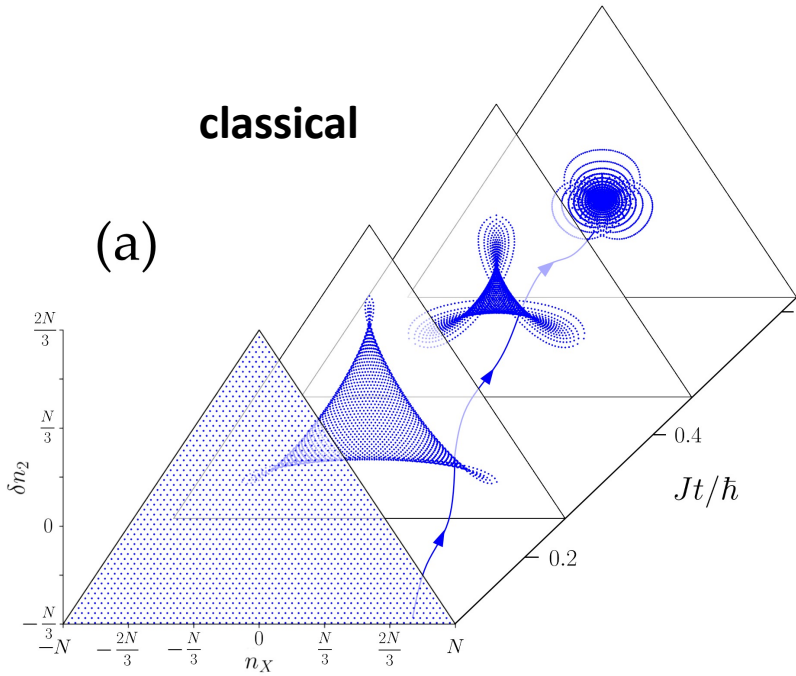


Monalisa Singh Roy, Jesse Mumford, DOD, Emanuele G. Dalla Torre
arXiv:2410.06803

Caustics in Fock space: Triple-well Bose-Hubbard model

W. Kirkby, Y. Yee, K. Shi and DOD, Phys. Rev. Res. 4, 013105 (2022).

$$\hat{H} = -K_L(\hat{a}_1^\dagger \hat{a}_2 + \hat{a}_2^\dagger \hat{a}_1) - K_R(\hat{a}_2^\dagger \hat{a}_3 + \hat{a}_3^\dagger \hat{a}_2) - K_X(\hat{a}_3^\dagger \hat{a}_1 + \hat{a}_1^\dagger \hat{a}_3) + \frac{U}{2} \sum_{i=1}^3 \hat{n}_i(\hat{n}_i - 1) + \sum_{i=1}^3 \epsilon_i \hat{n}_i$$

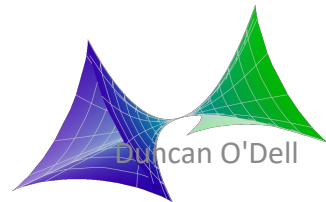


Initial state = coherent state

$N=150, K_L=K_R=K_X=U/100$

$n_X = n_1 - n_3$

$\delta n_2 = n_2 - N/3$



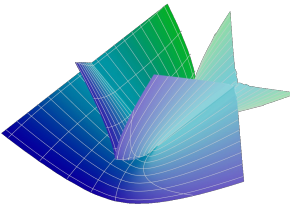
elliptic umbilic

McMaster University

Initial state=Fock state

$N=150, K_L=K_R=4U, K_X=0$

hyperbolic umbilic



25

Light cones on spin chains

$$|\Psi(t)\rangle = e^{-iHt/\hbar} b_{x=0}^\dagger |0\rangle_b = \frac{1}{\sqrt{N}} \sum_k e^{-i\epsilon_k t/\hbar} |k\rangle$$

Continuum approximation: $\Psi_{\text{CA}}(x, t) = \frac{\sqrt{a}}{2\pi} \int_{-\pi/a}^{\pi/a} dk e^{i\Phi(k; x, t)}$ $\Phi(k; x, t) = kx - \epsilon_k t/\hbar$
 $L = kx - \epsilon_k/\hbar$

$$v_g = (1/\hbar) |d\epsilon_k/dk| \quad \longrightarrow \quad \frac{\partial \Phi}{\partial k} = 0 \quad \Rightarrow \quad \frac{x}{t} = v_g$$

Lieb-Robinson bound: $v_{\text{LR}} = \max_k |d\epsilon_k/dk|$ $\frac{\partial^2 \Phi}{\partial k^2} = 0$

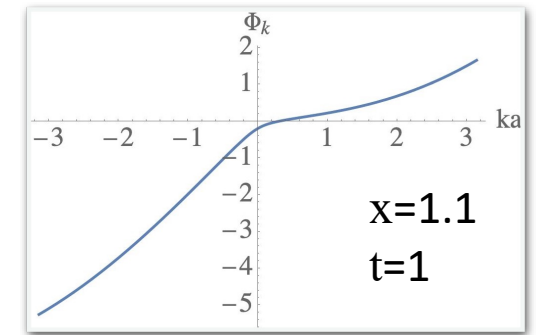
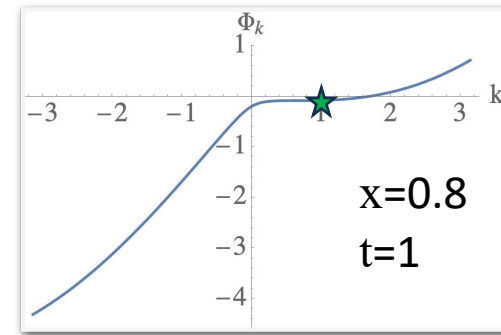
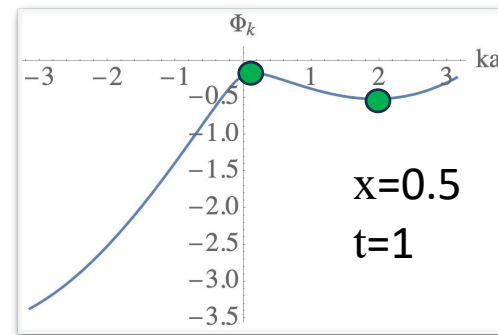
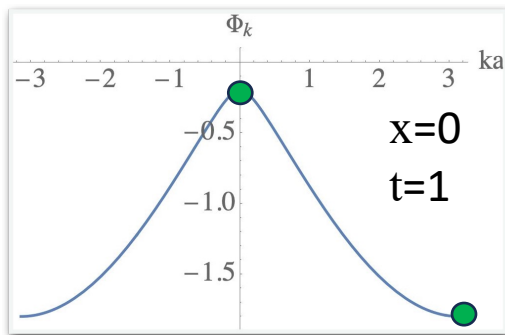
Light cone conditions match conditions for a caustic!

Transverse field Ising model

$$H = -J \sum_{\langle ij \rangle} \sigma_i^x \sigma_j^x - h \sum_i \sigma_i^z$$

Dispersion relation: $\epsilon_k = 2J \sqrt{(\cos(ka) - g)^2 + \sin^2(ka)}$ $g = h/J$

Generating function: $\Phi(k, x, t) = kx - 2Jt \sqrt{(\cos(ka) - g)^2 + \sin^2(ka)}/\hbar$



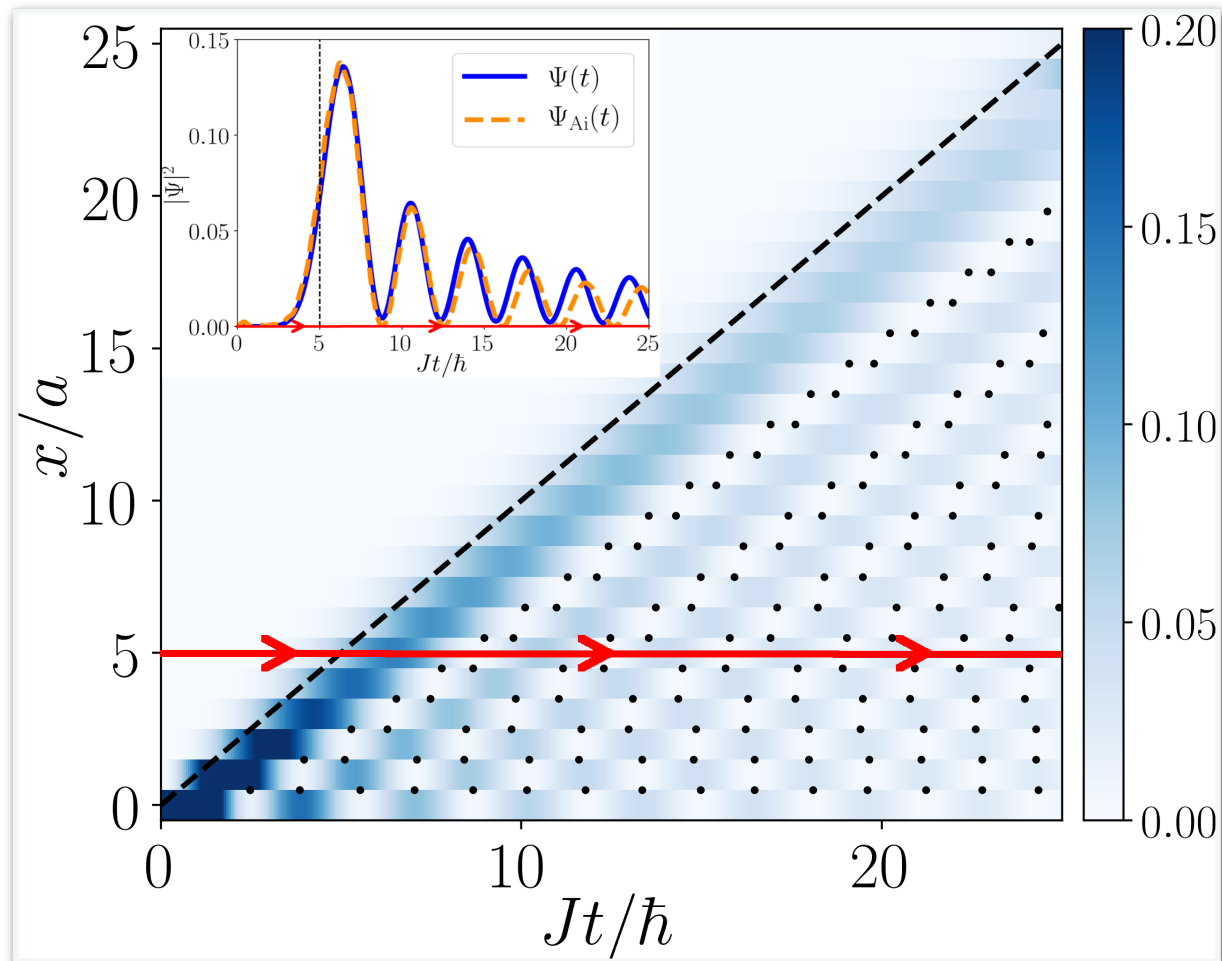
Two coalescing stationary points: fold catastrophe

TFIM light cone

$$C_j(x, t) = 2(x/v_I - t)(g^{2-j}/\sqrt{t})^{2/3}$$

$$j = \{1, 2\} \text{ when } \{g > 1, g < 1\}$$

$$v_{\text{LR}} \equiv \begin{cases} \frac{2Jag}{\hbar} & 0 < |g| < 1 \\ \frac{2Ja}{\hbar} & 1 < |g| \end{cases}$$



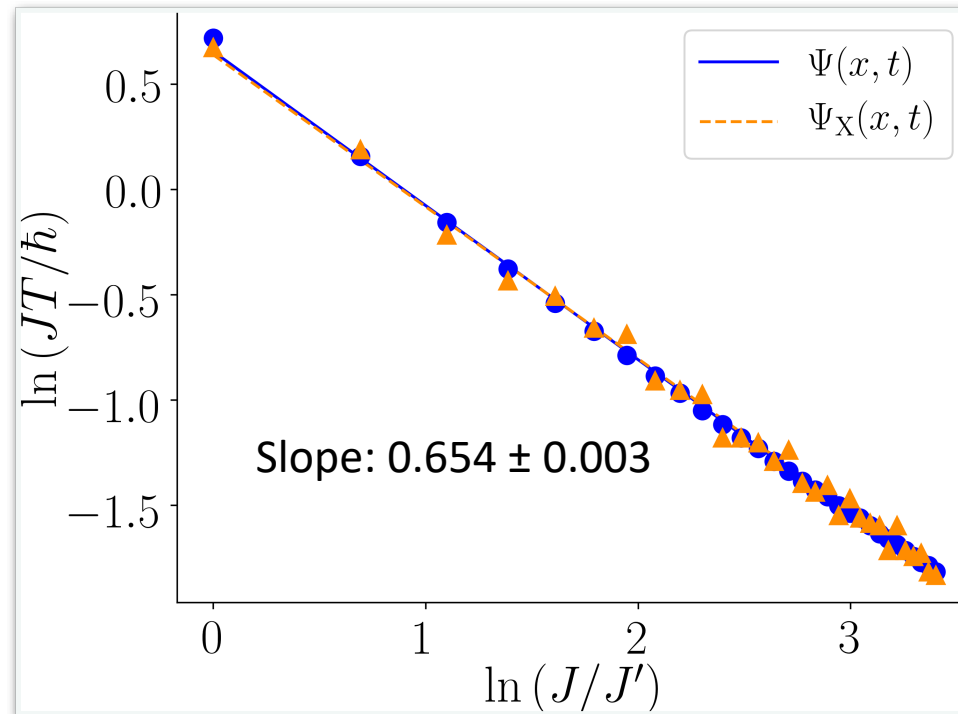
$$\Psi_{\text{Ai}}(C^j; J) \approx \frac{1}{2\pi t^{1/3}} \left(\frac{2Jg^{\frac{2-j}{3}}}{v_I \hbar} \right)^{\frac{1}{2}} \int_{\alpha_j}^{\beta_j} ds_j e^{\frac{iJ}{\hbar} \Phi_1(s_j; C^j)} \underset{t \rightarrow \infty}{\propto} \left(\frac{J}{\hbar} \right)^{\frac{1}{6}} \text{Ai} \left[\left(\frac{J}{\hbar} \right)^{\frac{2}{3}} C^j \right]$$

Self-similar scaling

$$\Psi_{\text{Ai}}(C^j; J) \underset{\infty}{t \rightarrow \infty} \left(\frac{J}{\hbar}\right)^{\frac{1}{6}} \text{Ai} \left[\left(\frac{J}{\hbar}\right)^{\frac{2}{3}} C^j \right]$$

Arnold index
Berry index

numerical results:



$\Psi(x,t)$	Single Bogoliubov quasiparticle
$\Psi_x(x,t)$	Initial state = spin flip = superposition of Bogoliubov quasiparticles

T = oscillation period inside the light cone

Breaking integrability

Heisenberg spin-1/2 chain



Jonathon
Riddell



Wyatt
Kirkby



Erik
Sørensen

$$\hat{H}_\lambda = \hat{H}_f + \lambda \hat{H}_I$$

$$\hat{H}_f = J_1 \sum_{j=1}^{L-1} \left(\hat{S}_j^+ \hat{S}_{j+1}^- + \text{h.c.} \right)$$

$$\hat{H}_I = \Delta \sum_{j=1}^{L-1} \hat{S}_j^Z \hat{S}_{j+1}^Z + J_2 \sum_{j=1}^{L-2} \left(\hat{S}_j^+ \hat{S}_{j+2}^- + \text{h.c.} \right) + \gamma \sum_{j=1}^{L-2} \hat{S}_j^Z \hat{S}_{j+2}^Z$$

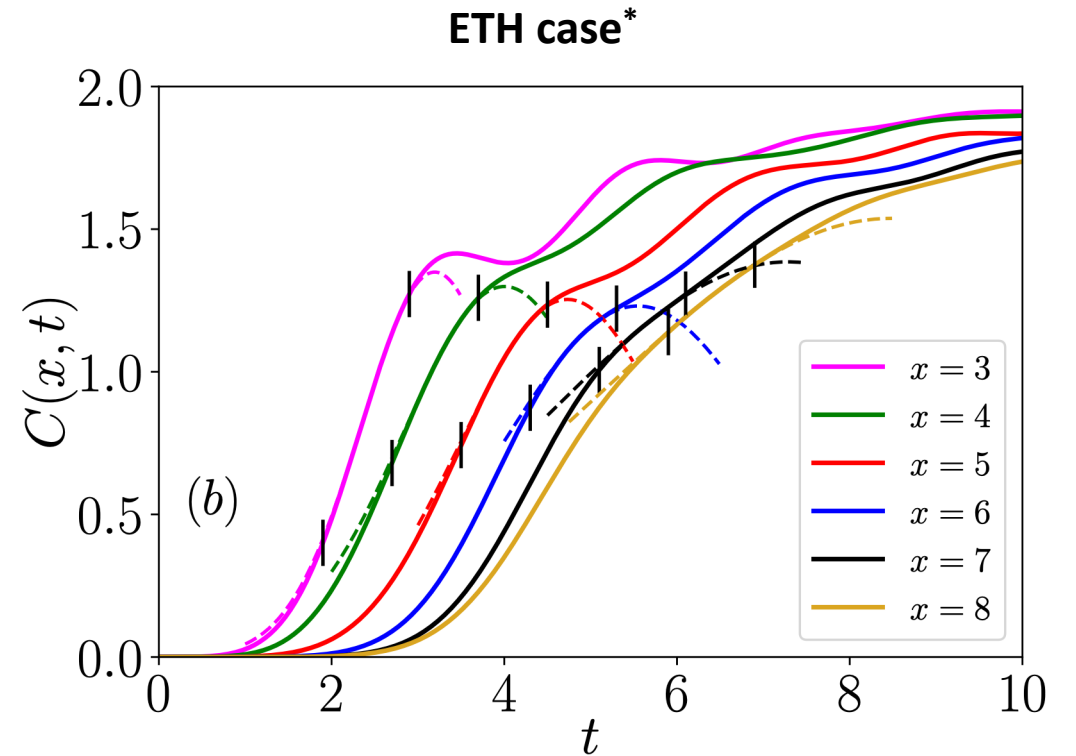
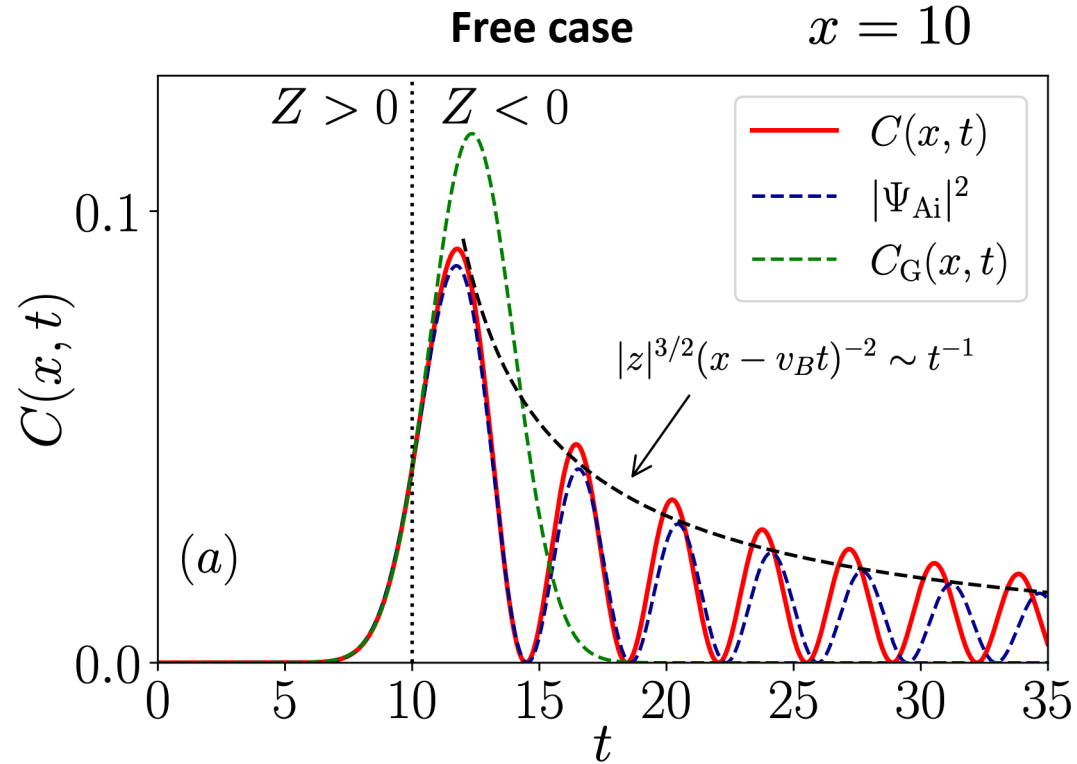
Out of time order correlator (OTOC): $C(x, t) = \langle [\hat{A}(t), \hat{B}]^\dagger [\hat{A}(t), \hat{B}] \rangle$

Choose: $\hat{A}(t) = \sigma_1^Z$ $\hat{B} = \sigma_m^Z$ $x = \text{distance between sites 1 and } m$

$\langle \dots \rangle$ = average over thermal ensemble restricted to eigenstates with zero magnetization $m_z = \sum_{j=1}^L \langle \hat{S}_j^Z \rangle = 0$

and $\beta=1$

OTOC dynamics



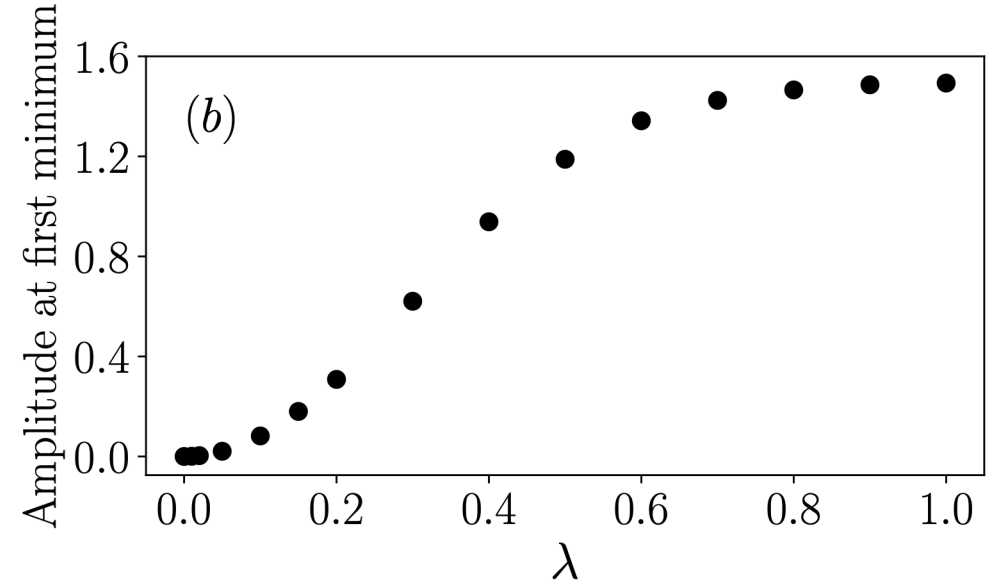
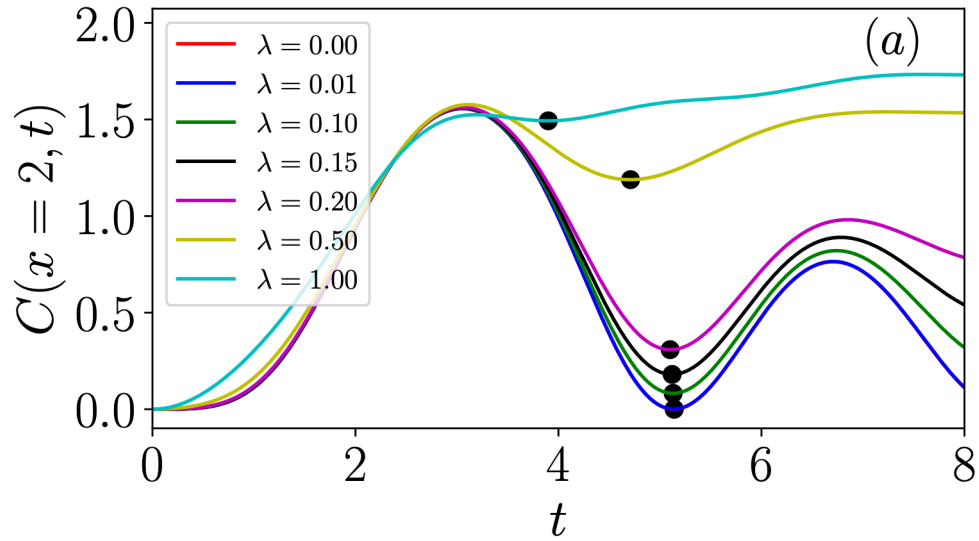
*LeBlond *et al* Phys. Rev E 100, 062134 (2019)

$$\Psi_{Ai}(x, t) \sim \sqrt{a} \left(\frac{-2}{\partial_k^3 \epsilon(k_c) t} \right)^{1/3} e^{i\Phi(k_c, x, t)} Ai(Z)$$

$$Z = (x - v_B t) |t \partial_k^3 \epsilon(k_c) / 2|^{-1/3} \quad \epsilon(k) = 2J_1 \cos ka$$

Along wavefront $x = v_B t$ amplitude decays as $x^{-2/3}$ and width grows as $t^{1/3}$

Evidence for a quantum Kolmogorov-Arnold-Moser (KAM) theorem



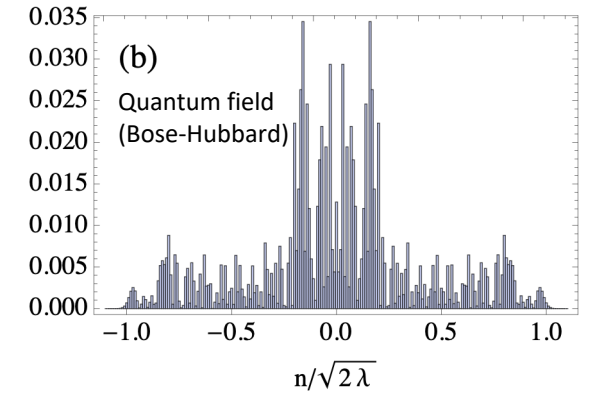
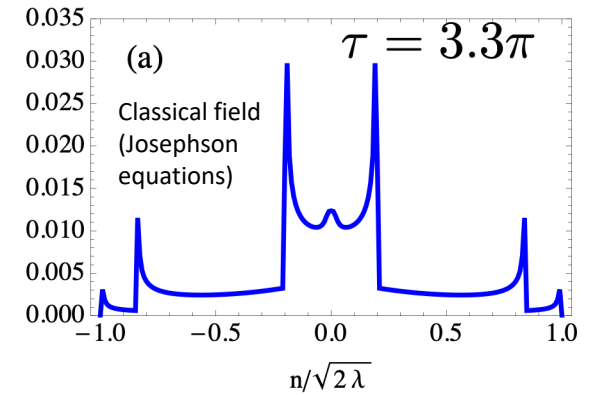
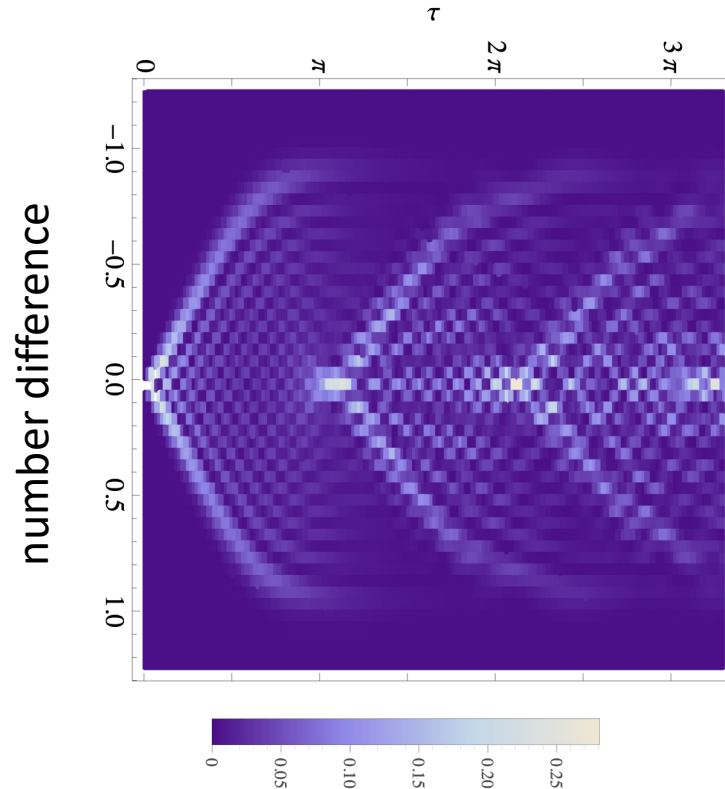
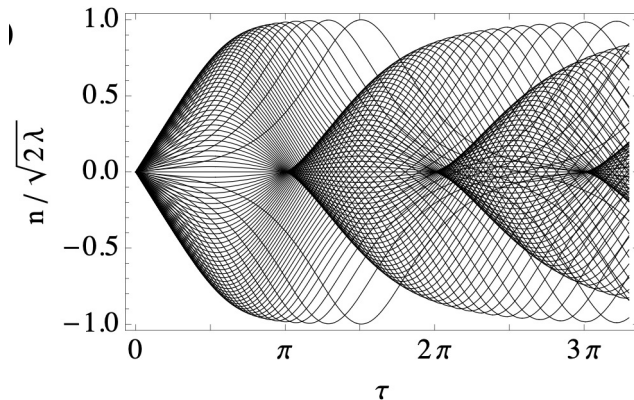
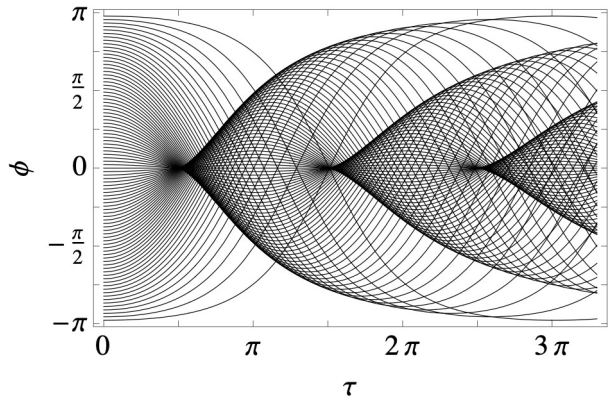
$$\hat{H}_\lambda = \hat{H}_f + \lambda \hat{H}_I \quad J_1 = -0.5 \quad \Delta = \lambda \quad J_2 = -0.2\lambda \quad \gamma = 0.5\lambda$$

$$\hat{H}_f = J_1 \sum_{j=1}^{L-1} \left(\hat{S}_j^+ S_{j+1}^- + \text{h.c.} \right) \quad \hat{H}_I = \Delta \sum_{j=1}^{L-1} \hat{S}_j^Z \hat{S}_{j+1}^Z + J_2 \sum_{j=1}^{L-2} \left(\hat{S}_j^+ S_{j+2}^- + \text{h.c.} \right) + \gamma \sum_{j=1}^{L-2} \hat{S}_j^Z \hat{S}_{j+2}^Z$$

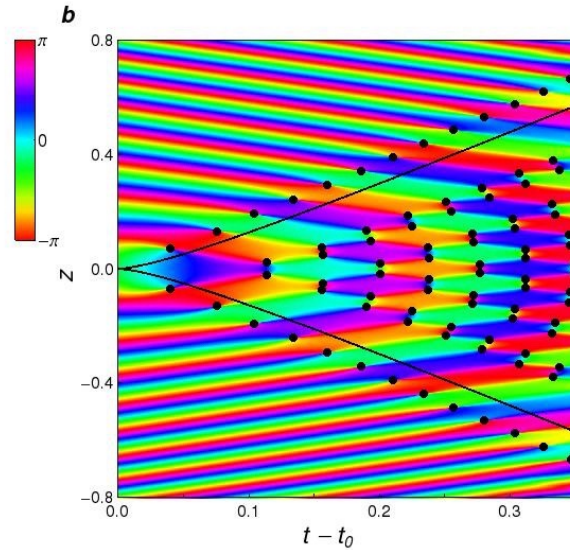
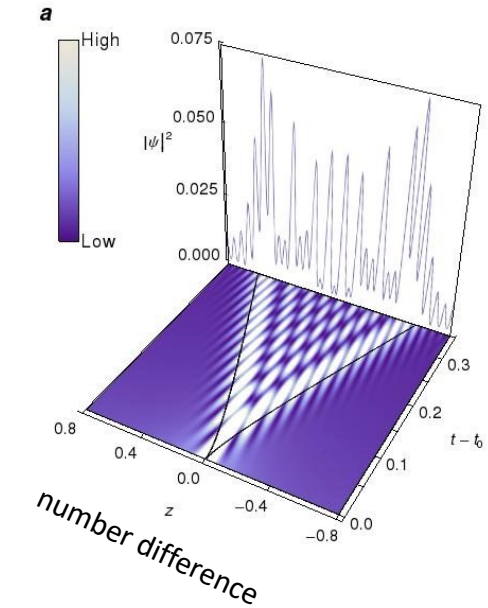
Unkicked case: Josephson junction

$$H = \frac{E_c}{2} n^2 - E_J \cos \phi$$

Number difference $n \equiv \frac{1}{2}(n_l - n_r)$, Phase difference $\phi \equiv \phi_l - \phi_r$ $\lambda \equiv 2E_J/E_c$

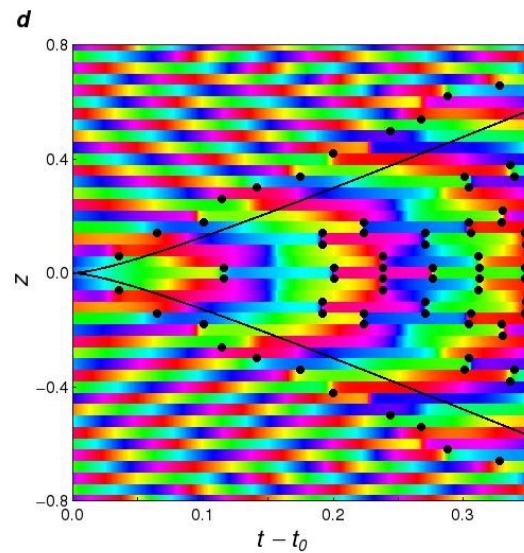
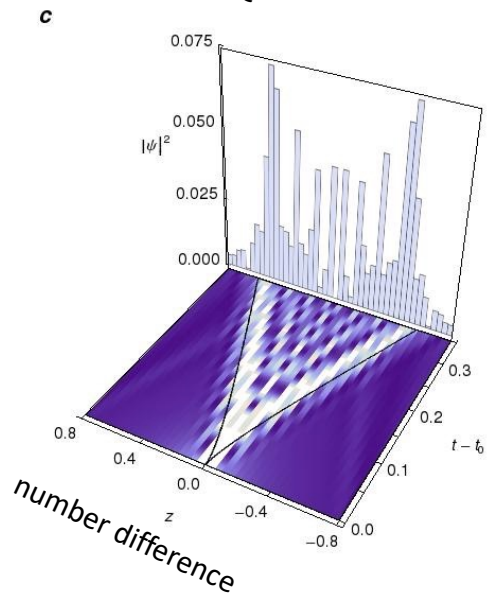


Morphology of a quantum catastrophe

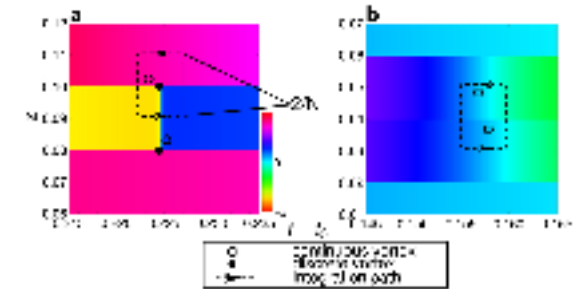


Standard wave catastrophe
(Percy function)

$$z \equiv \frac{n_l - n_r}{N}$$



Quantum catastrophe
(discrete)

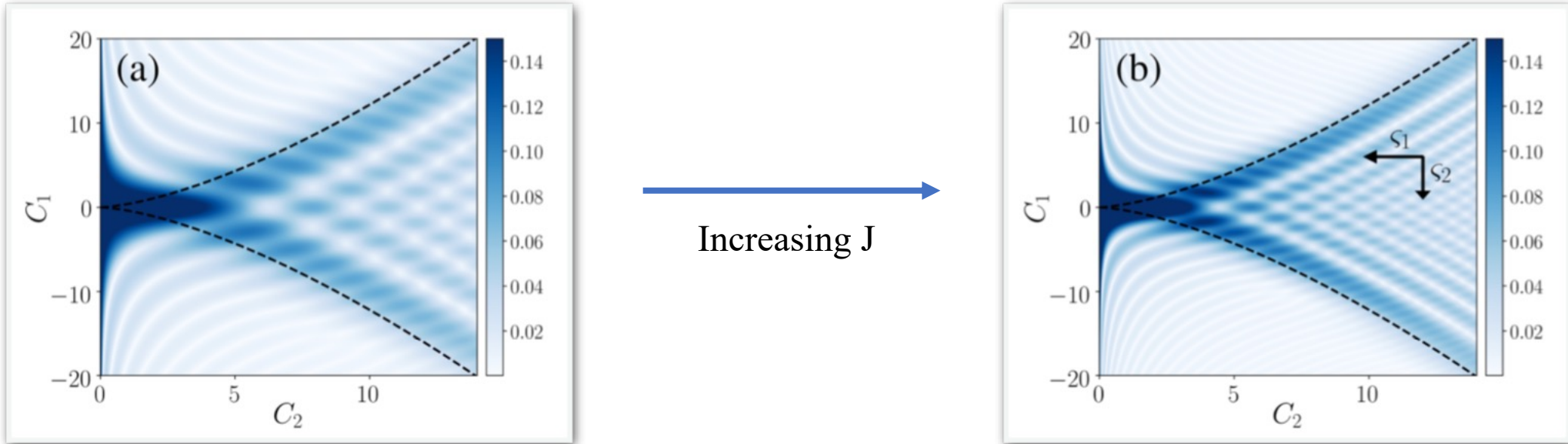


$$\oint \nabla \theta \cdot d\mathbf{l} = \pm 2\pi$$

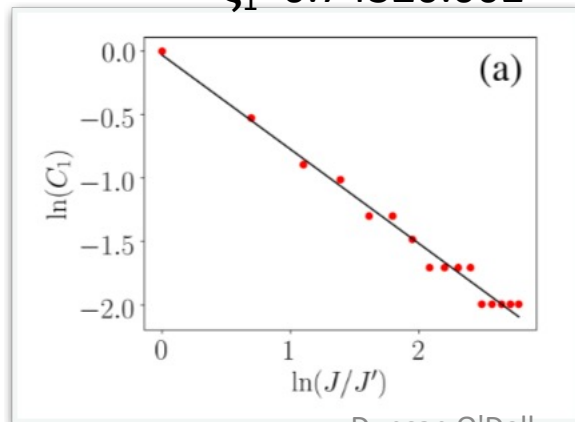
Coreless vortices

Scaling of the inner cone

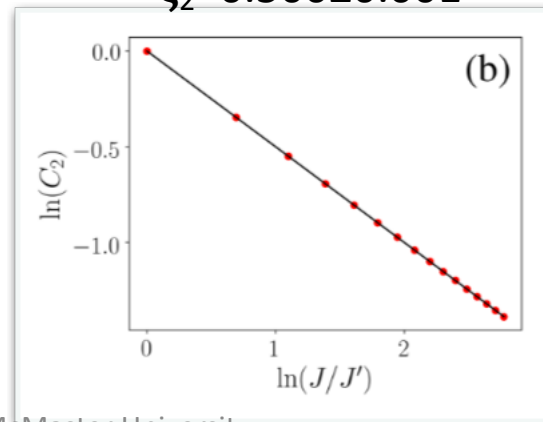
$$\Psi_{\text{Pe}}(C_1, C_2; J) \approx \frac{1}{2\pi} \left(\frac{J(\gamma^2 + g - 1)}{\hbar v_1 (g - 1) C_2} \right)^{\frac{1}{2}} \int_{-S}^S ds e^{-\frac{iJ}{\hbar} \Phi_2(s; C_1, C_2)} \underset{\alpha \rightarrow \infty}{t \rightarrow \infty} \left(\frac{J}{\hbar} \right)^{\frac{1}{4}} \text{Pe} \left[\left(\frac{J}{\hbar} \right)^{\frac{3}{4}} C_1, \left(\frac{J}{\hbar} \right)^{\frac{1}{2}} C_2 \right]$$



$$\zeta_1 = 0.743 \pm 0.002$$

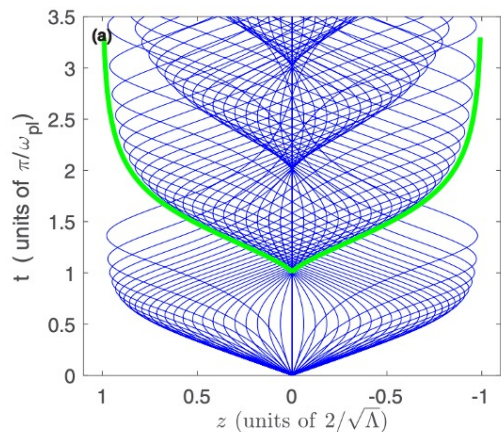


$$\zeta_2 = 0.500 \pm 0.001$$

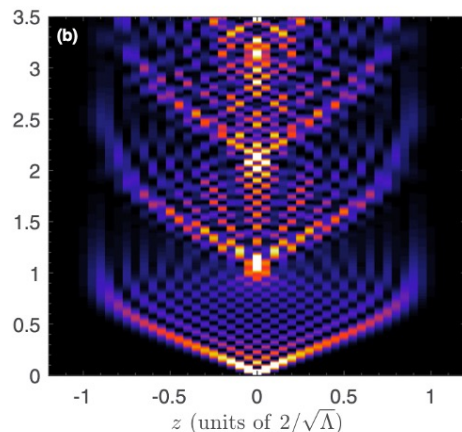


Stability against decoherence

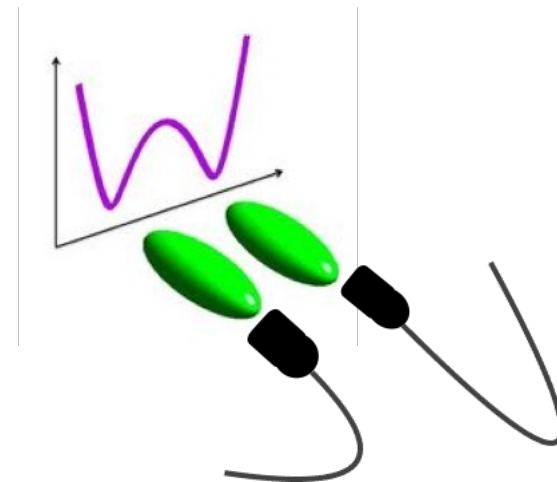
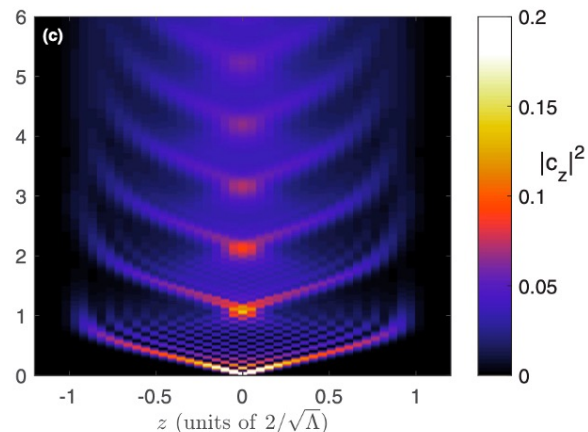
classical



Quantum (no decoherence)



decoherence



$$\frac{\partial \hat{\rho}}{\partial \tau} = i[\hat{S}_x, \hat{\rho}] - i\frac{\Lambda}{N}[\hat{S}_z^2, \hat{\rho}] - D\frac{\Lambda}{N}[\hat{S}_z, [\hat{S}_z, \hat{\rho}]]$$

A. Z. Goldberg, A. Al-Qasimi, J. Mumford, and DOD, Phys. Rev. A 100, 063628 (2019)