

# Electromagnetic Form Factors of the Nucleon at Large Momentum

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National Energy Research  
Scientific Computing Center



# Outline

## ● Large- $Q^2$ Nucleon vector form factors

- *Motivation*
- *Methodology & challenges on a lattice*

## ● Preview of results

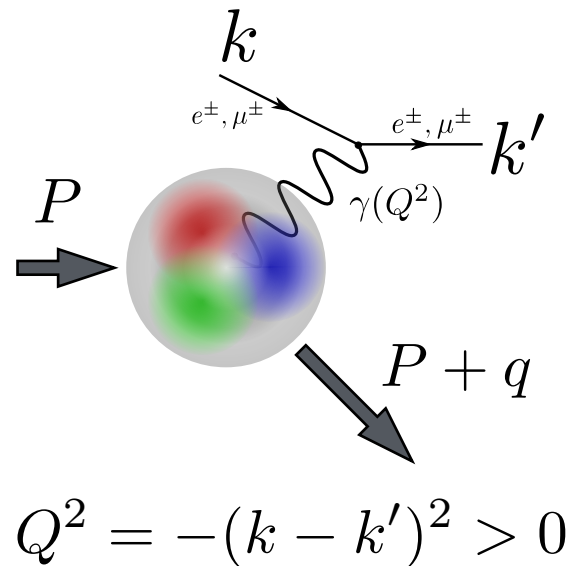
- *Form factors and ratios*
- *Light-Flavor contributions*

## ● Examining systematic effects

- *$G_E/G_M$  ratio*
- *Disconnected diagrams*

## ● Summary & Outlook

# Nucleon Elastic E&M Form Factors



Elastic  $e$ - $p$  amplitude

$$\langle P + q | \bar{q} \gamma^\mu q | P \rangle = \bar{U}_{P+q} \left[ \overset{\text{(Dirac)}}{F_1(Q^2)} \gamma^\mu + \overset{\text{(Pauli)}}{F_2(Q^2)} \frac{i\sigma^{\mu\nu} q_\nu}{2M_N} \right] U_P$$

Sachs Electric  $G_E(Q^2) = F_1(Q^2) - \frac{Q^2}{4M^2} F_2(Q^2)$

Magnetic  $G_M(Q^2) = F_1(Q^2) + F_2(Q^2)$

Elastic  $e$ - $p$  cross-section

- $G_{E,M}$  from  $\epsilon$ -dep. at fixed  $\tau(Q^2)$   
("Rosenbluth separation")
- dominated by  $G_M$  at large  $Q^2$
- $2\gamma$  corrections at  $Q^2 \gtrsim 1 \text{ GeV}^2$

$$\frac{d\sigma}{d\Omega} = \frac{\sigma_{\text{Mott}}}{1 + \tau} \left[ G_E^2 + \frac{\tau}{\epsilon} G_M^2 \right]$$

$$\tau = \frac{Q^2}{4M_N^2} \quad \epsilon = \left[ 1 + 2(1 + \tau) \tan^2 \frac{\theta}{2} \right]^{-1}$$

**Polarization transfer:** polarized  $e$ -beam

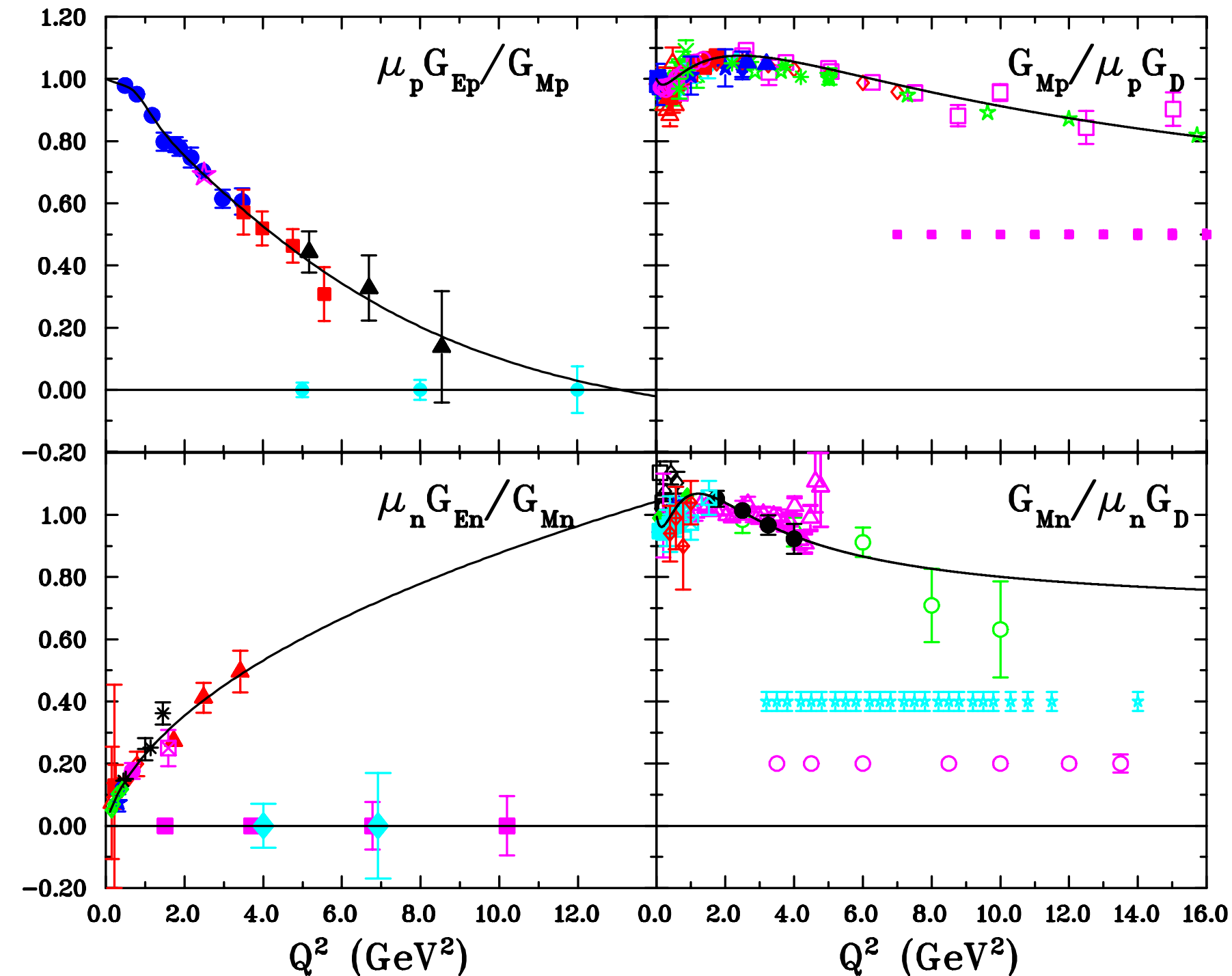
+ detect polarization of recoil nucleon

(alt.: transverse asymmetry on pol. target)

- $G_E/G_M$  ratio (only small radiative corrections)

$$P_t/P_l \propto G_E/G_M$$

# Recent Experiments @JLab : Projections



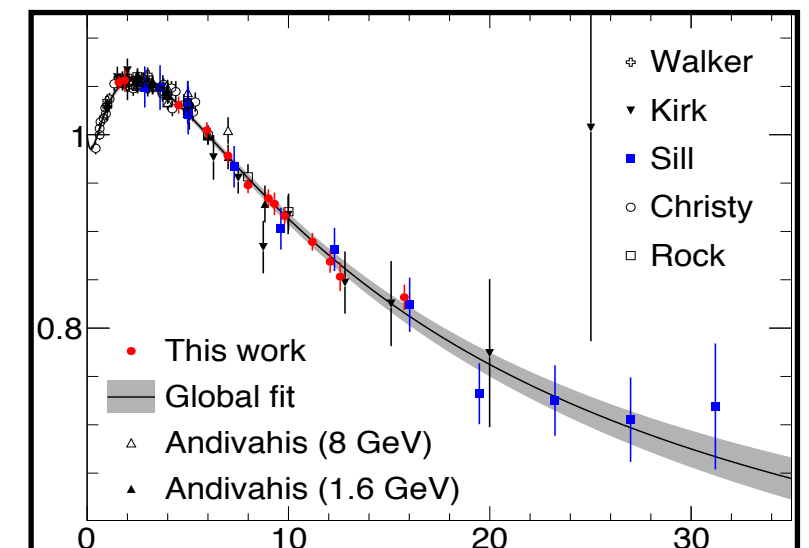
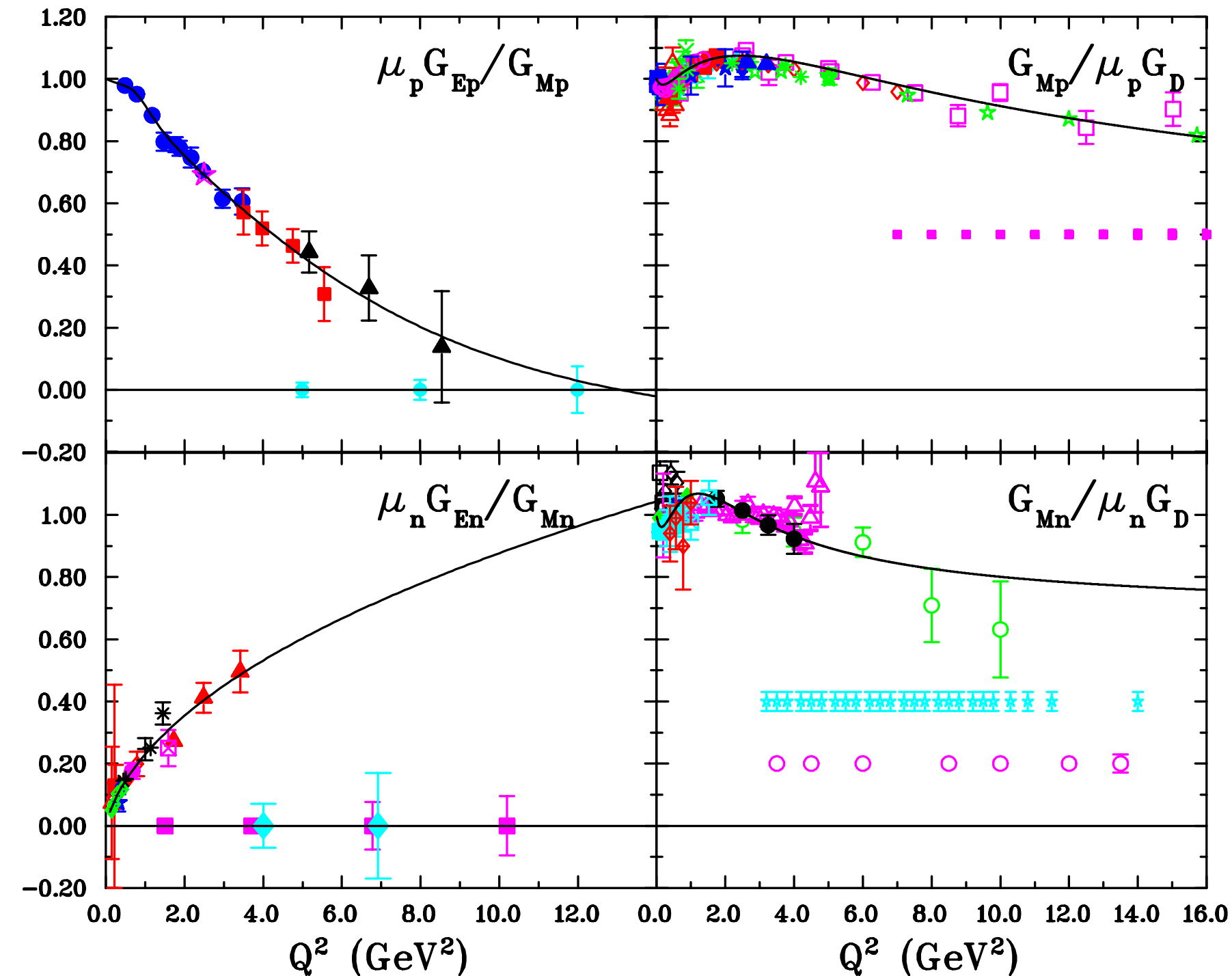
Projected new precision on proton & neutron form factors  
 [V. Punjabi et al, EPJ A51: 79 (2015); arXiv: 1503.01452]



Experiments at JLab@12GeV

- Hall A (HRS, SBS):  
 $G_{Mp}$  @  $Q^2 \lesssim 17.5 \text{ GeV}^2$   
 $G_{Ep}/G_{Mp}$  @  $Q^2 \lesssim 15 \text{ GeV}^2$ ;  
 $G_{Mn}$  @  $Q^2 \lesssim 18 \text{ GeV}^2$   
 $G_{En}/G_{Mn}$  @  $Q^2 \lesssim 10.2 \text{ GeV}^2$ ;
- Hall B (CLAS12):  
 $G_{Mn}$  @  $Q^2 \lesssim 14 \text{ GeV}^2$
- Hall C :  
 $G_{En}/G_{Mn}$  @  $Q^2 \lesssim 6.9 \text{ GeV}^2$

# Recent Experiments: Projections + $G_{Mp}$ Result

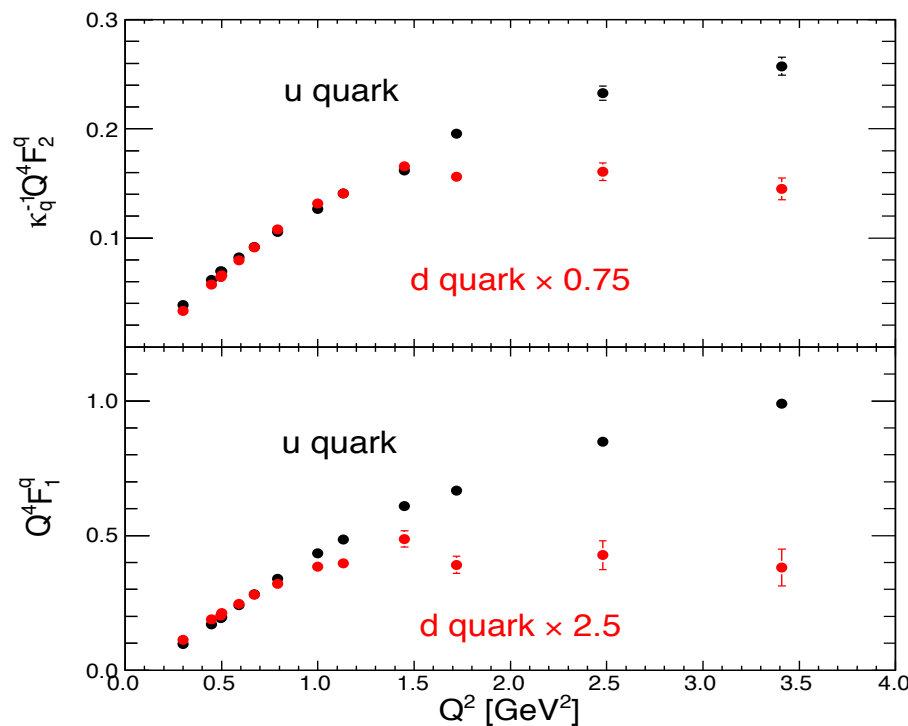
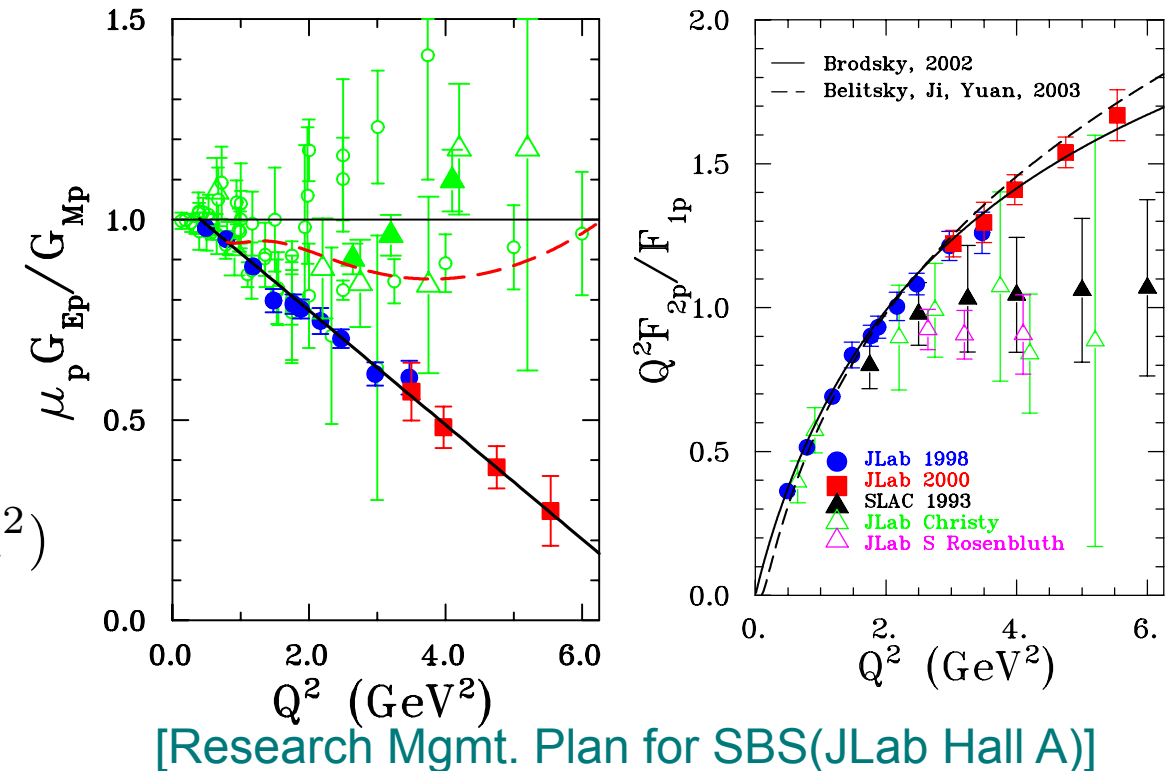


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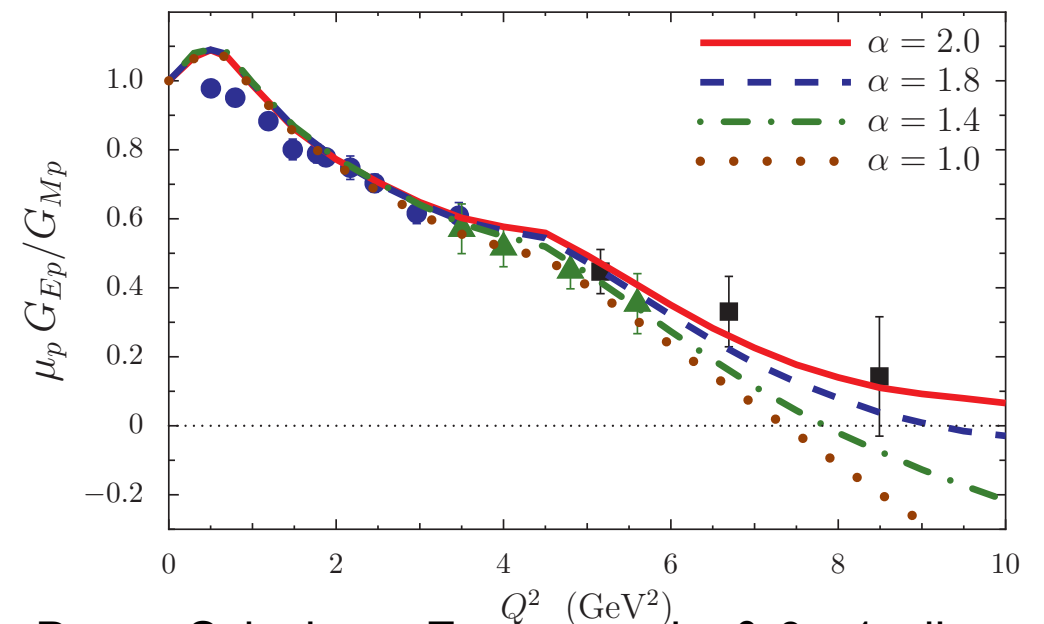
New  $G_{Mp}$  results (JLab Hall A)  
[Christy et al, PRL'22]

# Why Large- $Q^2$ Nucleon Form Factors?

- *Rosenbluth / Pol.transfer discrepancy in  $G_E/G_M$  ?*  
Input from lattice QCD may help
- *Role of diquark correlations in elastic scattering ?*  
Neutron & proton  $G_E/G_M$  at/above  $Q^2 = 8 \text{ GeV}^2$
- *Scale of transition to perturbative QCD ?*  
( $F_2/F_1$ ) scaling at large  $Q^2$ :  $Q^2 F_{2p}/F_{1p} \stackrel{?}{\propto} \log^2(Q^2/\Lambda^2)$
- *What are contributions from  $u$  and  $d$  flavors?*  
Proton and neutron data needed in wide  $Q^2$  range



[G.D.Cates, C.W.de Jager, S.Riordan, B.Wojtsekhovski,  
PRL106:252003, arXiv:1103.1808]



Dyson-Schwinger Eqns : quarks &  $0^+$ ,  $1^+$  diquarks  
( $\alpha \approx$  rate of transition const. quarks  $\rightarrow$  pQCD with  $Q^2$ )  
[Cloet, Roberts, Prog.Part.Nucl.Phys 77:1 (2014)]

# Nucleon Structure from Lattice QCD (in a Nutshell)

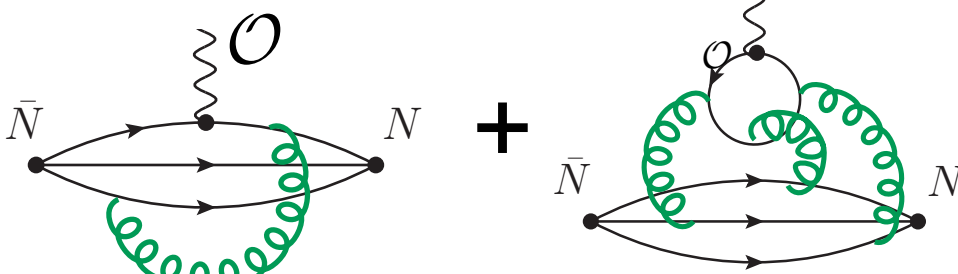
- Compute nucleon correlation functions

## Hadron Spectrum:

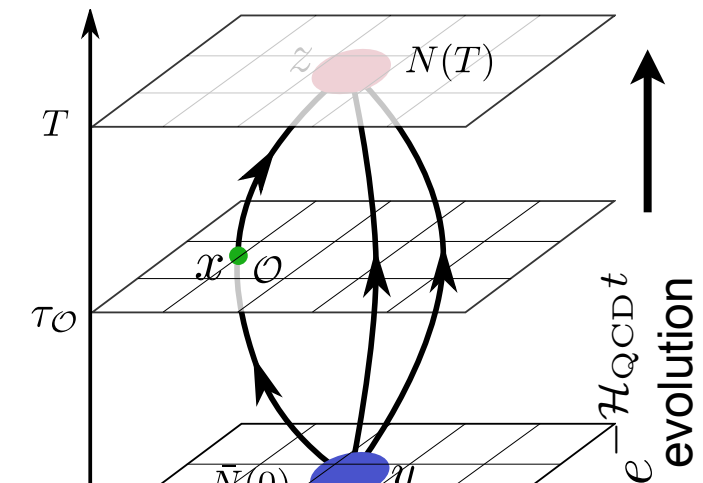
$$\langle N(\vec{p}, T) \bar{N}(0) \rangle = \sum_{\vec{y}} \langle N(\vec{y}, T) \bar{N}(0) \rangle$$

$$\sim |Z_0|^2 e^{-E_0 T} [1 + e^{-\Delta E_{10} T} + \dots]$$

## Hadron Matrix Elements:

$$\langle N(T) \mathcal{O}(\tau) \bar{N}(0) \rangle =$$


**quark-connected**                      **quark-disconnected**



$$\text{Quark lines} = (\not{D} + m)^{-1} \cdot \psi$$

- Extract ground state matrix elements

$$\langle N(T) \mathcal{O}(\tau) N(0) \rangle = \sum_{n,m} Z_m e^{-E_n(T-\tau)} \langle n | \mathcal{O} | m \rangle e^{-E_m \tau} Z_n^*$$

$$\xrightarrow{T \rightarrow \infty} Z_0 Z_0^* e^{-E_0 \tau - E_{0'}(T-\tau)} [\langle 0' | \mathcal{O} | 0 \rangle + \underbrace{O(e^{-\Delta E \{T, \tau, (T-\tau)\}})}_{\text{excited states}}]$$

Ground state form factors

$$[\bar{u}' \gamma^\mu u] F_1 + [\bar{u}' \frac{i\sigma^{\mu\nu} q_\nu}{2m_N} u] F_2$$

Fit and discard

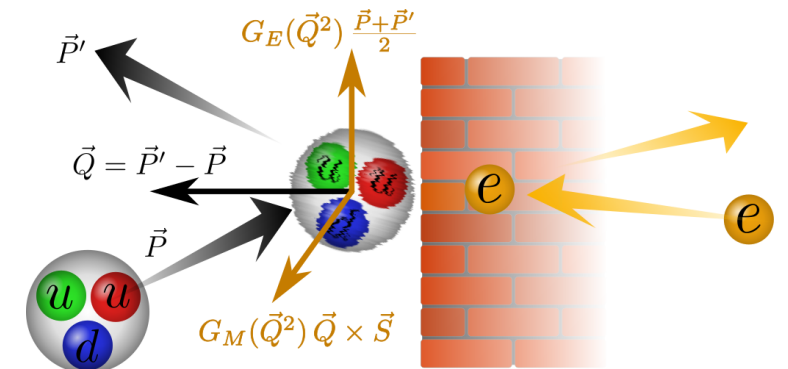
## Systematic uncertainties

- discretization effects
- unphysical-heavy pion mass
- finite volume
- excited state contributions
- quark-disconnected diagrams

# Challenges at Large $Q^2$

- Excited-states gaps shrink

- $E_1 - E_0 = \sqrt{M_1^2 + \vec{p}^2} - \sqrt{M_2^2 + \vec{p}^2} < M_1 - M_0$
- $N(\sim 1500): p_N \rightarrow 1.5 \text{ GeV} \Rightarrow \Delta E = 500 \rightarrow 300 \text{ MeV}$



- Stochastic noise grows faster with  $T$  [Lepage'89]:

Signal  $\langle N(T) \bar{N}(0) \rangle$

Noise  $\langle |N(T) \bar{N}(0)|^2 \rangle - |\langle N(T) \bar{N}(0) \rangle|^2$

Signal/Noise

$$\sim e^{-E_N T}$$

$$\sim e^{-3m_\pi T}$$

$$\sim e^{-(E_N - \frac{3}{2}m_\pi)T}$$

**SNR decay  $\sim O(10^{-4})$   
at  $T=1 \text{ fm/c}$   
(phys. quarks,  $Q^2 \approx 12 \text{ GeV}^2$ )**

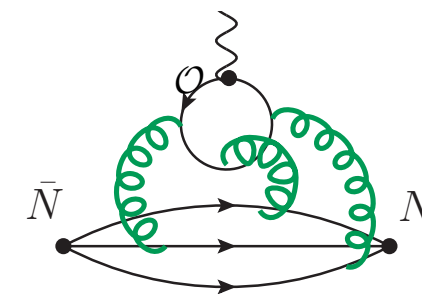
- Discretization effects:

$O(a)$  Correction to current operator

$$(V_\mu)_I = [\bar{q} \gamma_\mu q] + c_V a \underbrace{\partial_\nu [\bar{q} i \sigma_{\mu\nu} q]}_{\propto Q}$$

- Quark-disconnected contributions:

negligible ( $\approx 1\%$ ) at  $Q^2 \leq 1 \text{ GeV}^2$ , unknown at large  $Q^2$



- No reliable EFT/ChPT for  $m_\pi$ -, lattice-volume extrapolation at large- $p_{\text{Nucleon}}$

*Many of the same challenges as for parton physics on a lattice  
(LaMET, Quasi-/Pseudo- PDFs/DAs/TMDs)*

# Present QCD Calculation Parameters

QCD on lattice with  $N_F = 2+1$   $O(a^2)$  dynamic quarks

Many thanks to [ JLab , W&M , LANL , MIT ] groups

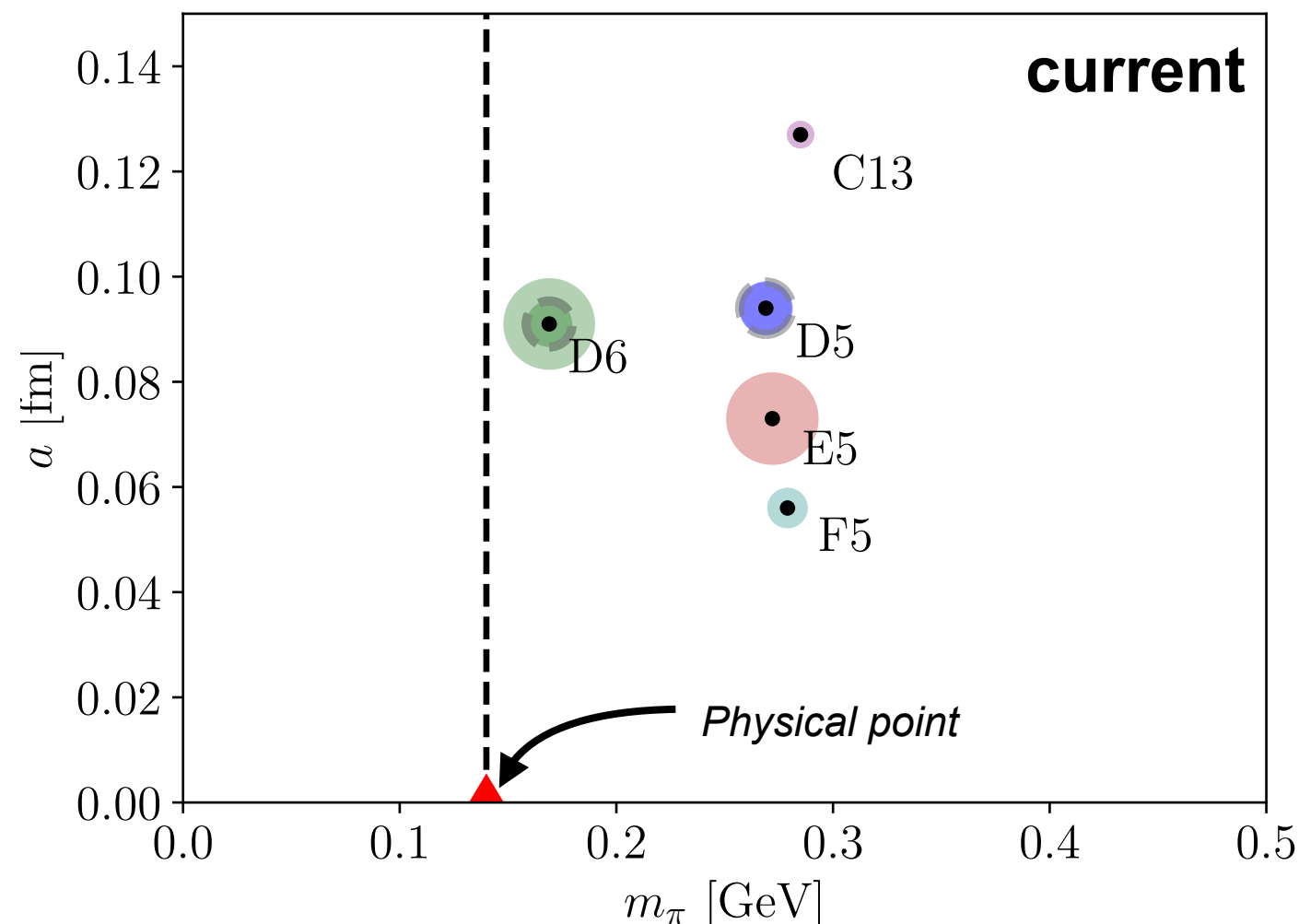
- Lattice spacing  $a \approx 0.056 \div 0.091$  fm
- $N_f=2+1$  flavors (  $SU(2)_f$  -symmetric + strange )
- Pion mass  $m_\pi = 170 \div 280$  MeV
- Physical volume  $L \gtrsim 3.7 (m_\pi)^{-1}$
- Euclidean time  $t_{sep} = 0.5 \div 1.1$  fm  
noise grows rapidly beyond 1fm

2022/25:

- Large Statistics ( $\gtrsim 250k$ ) on D6 ( $m_\pi = 170$  MeV),  
E5 ( $m_\pi = 280$  MeV,  $a=0.073$  fm)
- Disconnected contractions on D5, D6

2026:

- initial Statistics  $\gtrsim 47k$  on F5,  
( $m_\pi = 280$  MeV,  $a=0.056$  fm)



# Present QCD Calculation Parameters

QCD on lattice with  $N_F = 2+1$   $O(a^2)$  dynamic quarks

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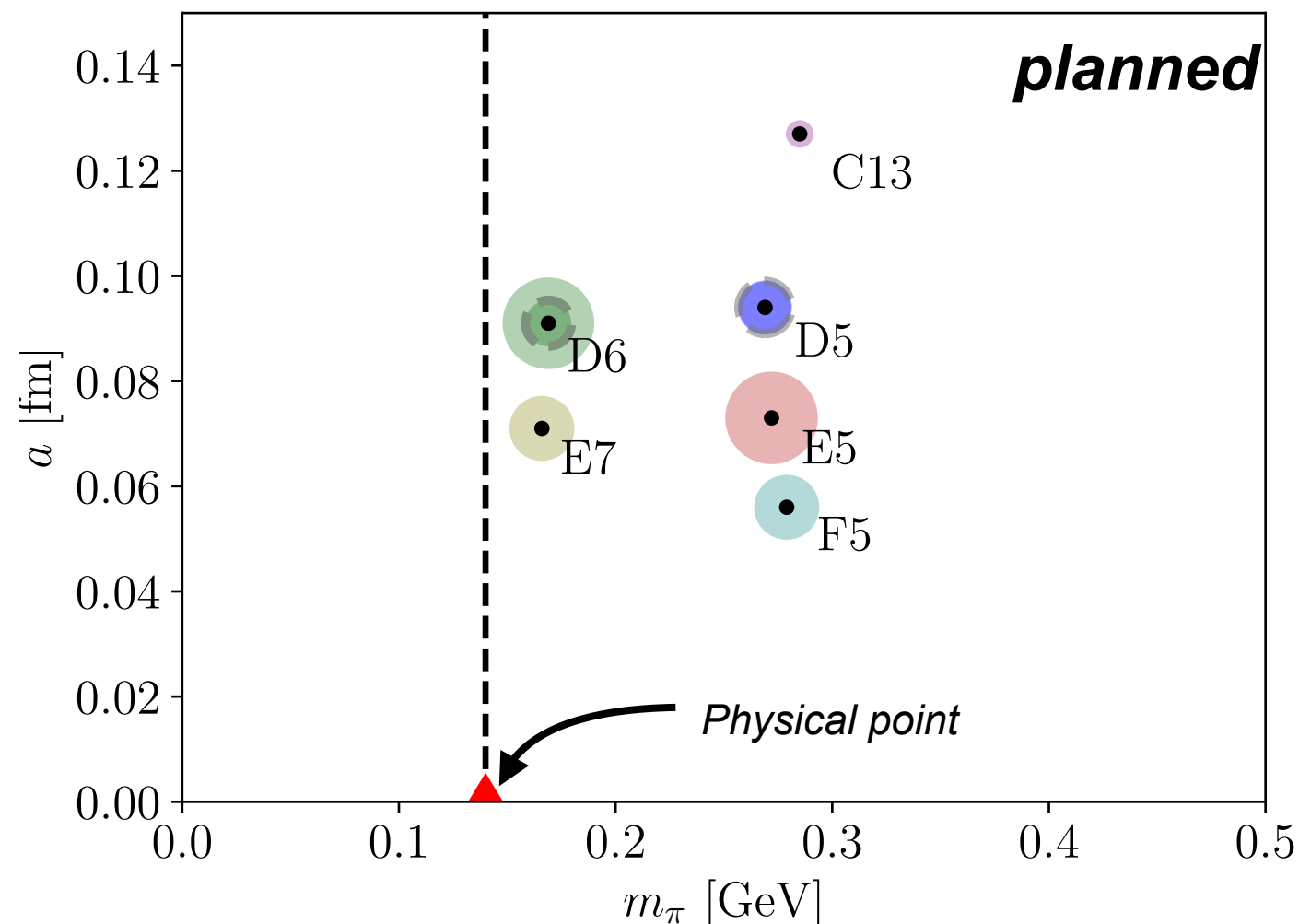
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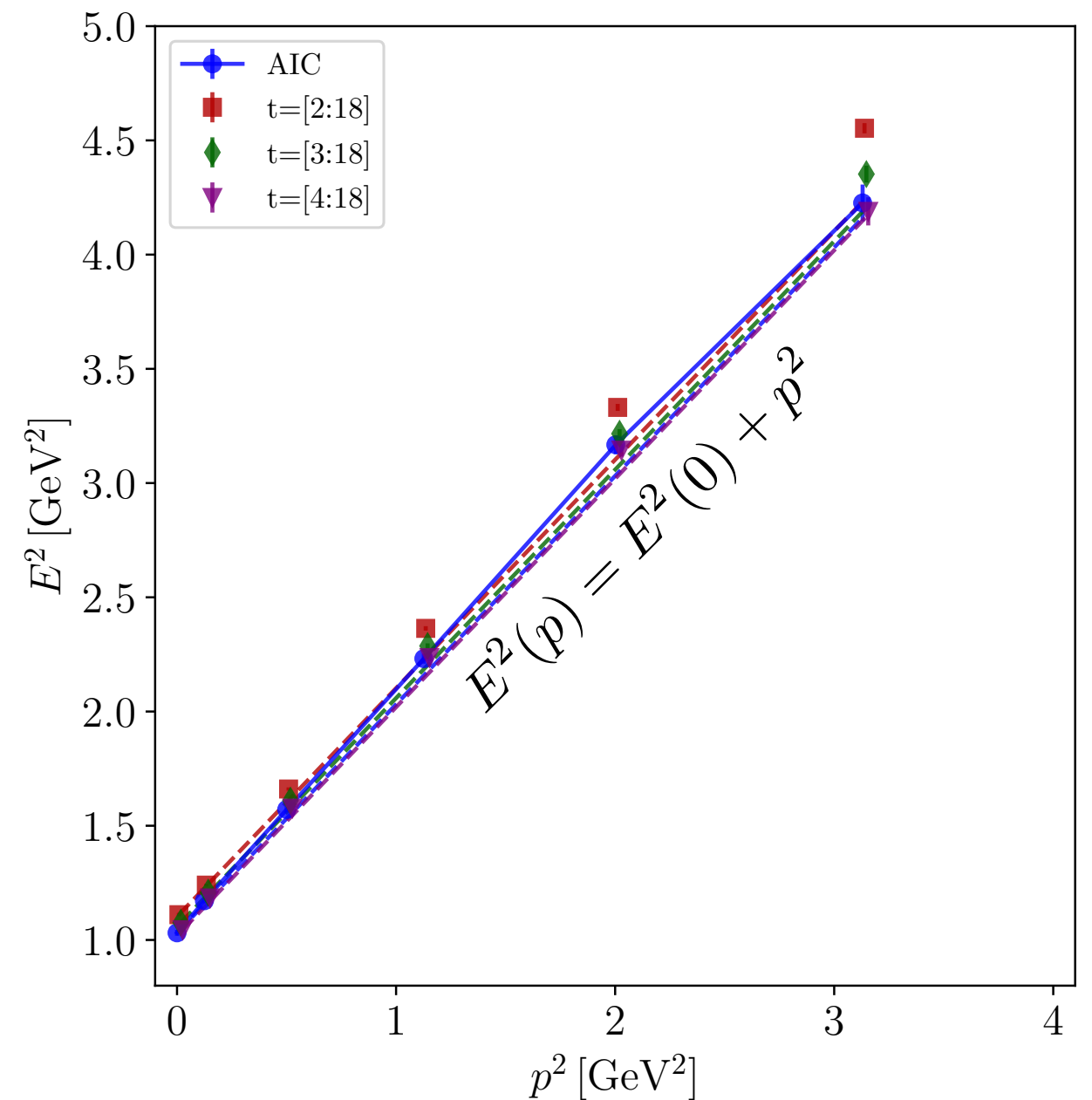
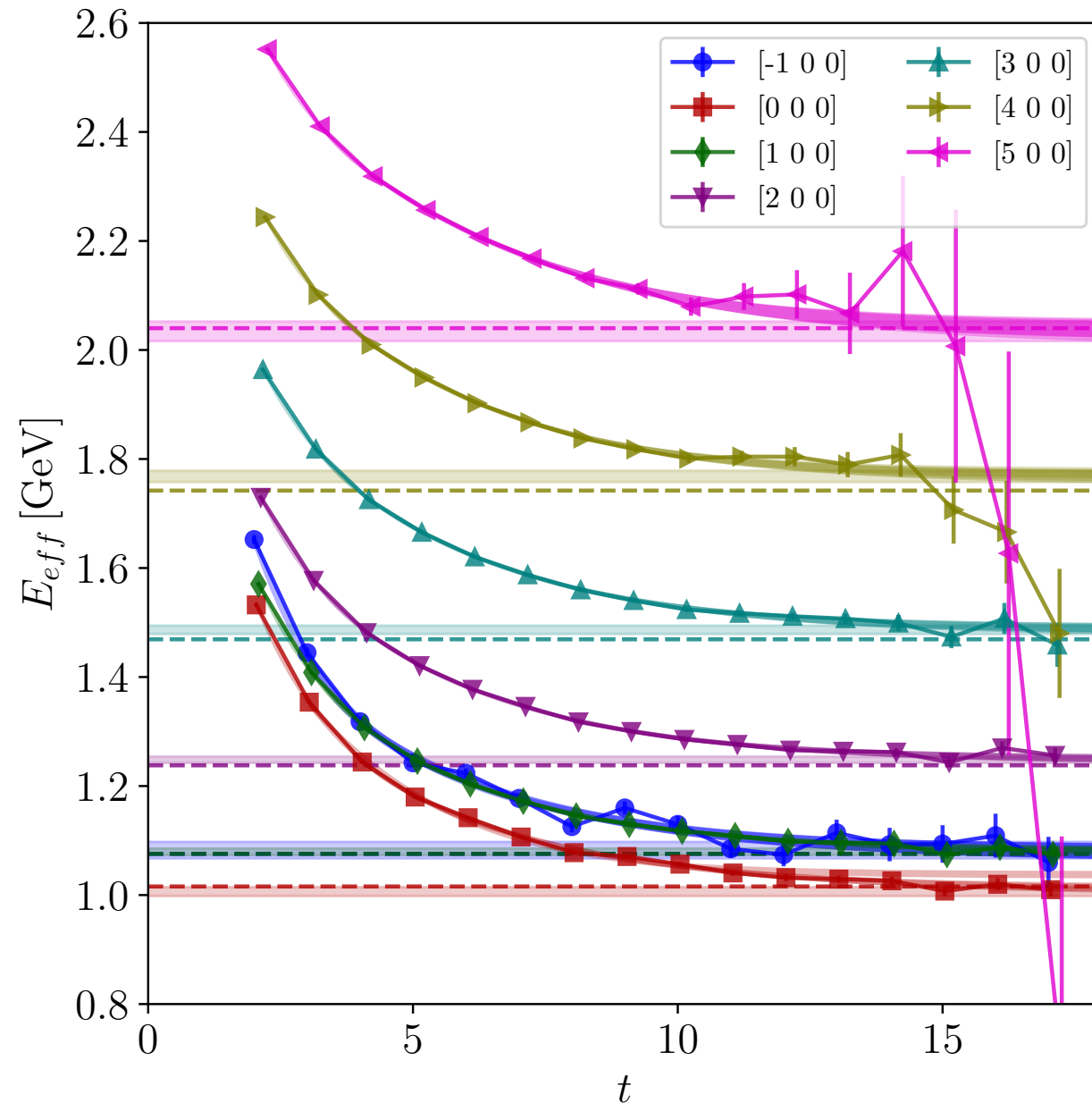
2026:

- initial Statistics  $\gtrsim 47k$  on F5 ( $m_\pi = 280$  MeV,  $a=0.056$  fm)



# Lattice Nucleon Energy & Dispersion Relation (E5)

● E5 :  $m\pi = 272 \text{ MeV}$  , spacing  $a = 0.073 \text{ fm}$  , 266k MC samples



● 2-state fits compared to Effective energy

$$E_{eff} = \frac{1}{a} \log \frac{C_{N\bar{N}}(t)}{C_{N\bar{N}}(t+a)}$$

● Lattice energies vs. continuum dispersion relation (dashed)

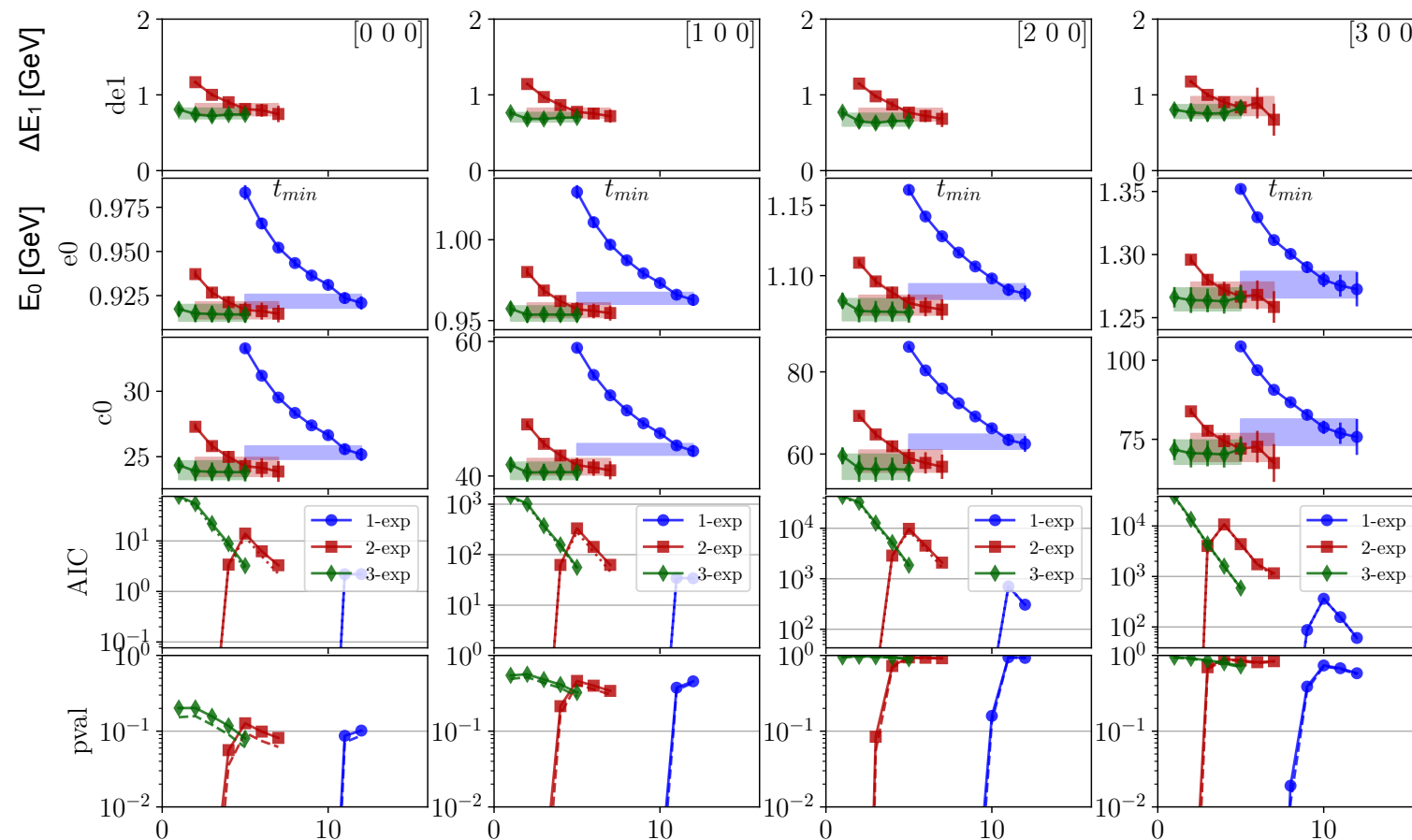
# Analysis Minutiae

- Filter outliers: large deviation from median  
(~2% at  $a=0.09$  fm, ~0.15% at  $a=0.07$  fm)
- suppress noise in covariance estimates  
[A.Agadjanov et al, Phys.Rev.Lett. 131 (2023) 261902]

$$|y - y_{median}| \geq 25 \cdot \underbrace{\Delta y}_{\text{(median abs. deviation)}}$$

energies and ground-state amplitudes from  $\langle N \bar{N} \rangle$ :

$$\langle N(t) \bar{N}(0) \rangle \sim c_0 e^{-E_0 t} + c_1 e^{-(E_0 + \Delta E_1) t} + \dots$$



- Varying fit windows

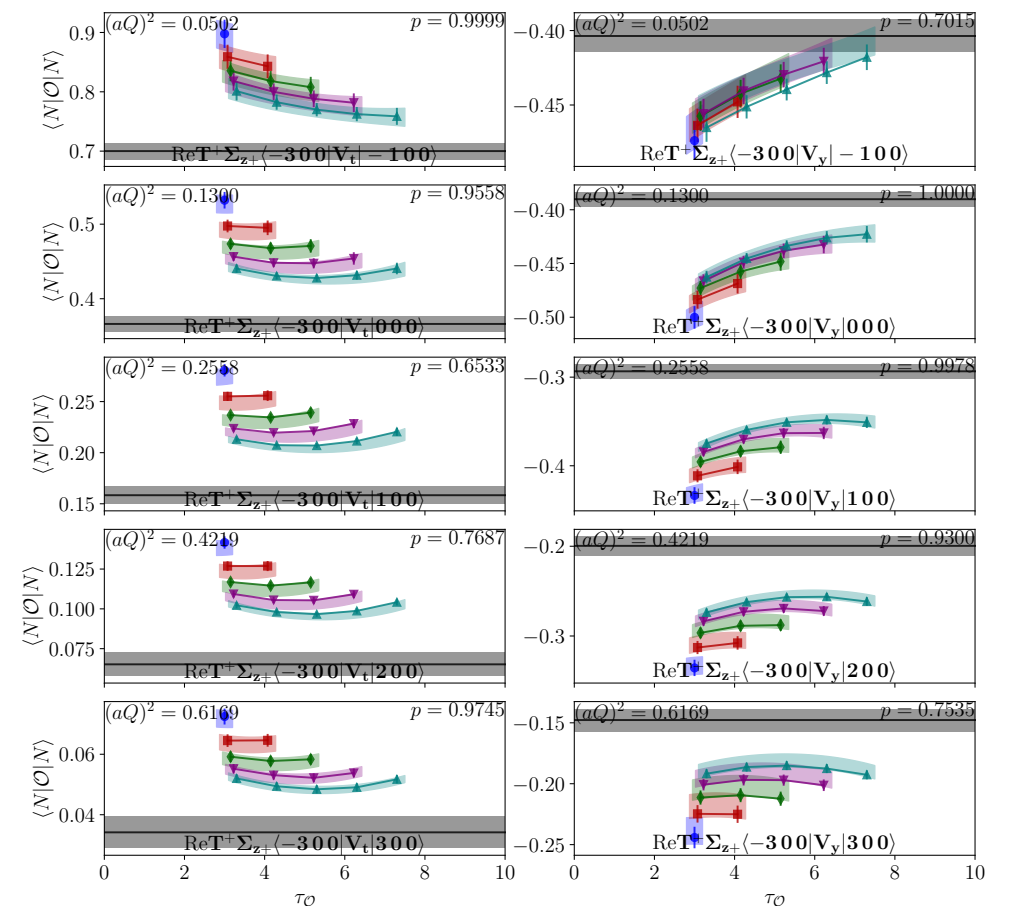
Averaged with AIC

[W.Jay, E.Neil PRD 103:114502(2021);  
E.Neil, J.W.Sitison, 109:014510(2024)].

$$w_i \propto \exp(-\chi_i^2 + N_i^{\text{dof}})$$

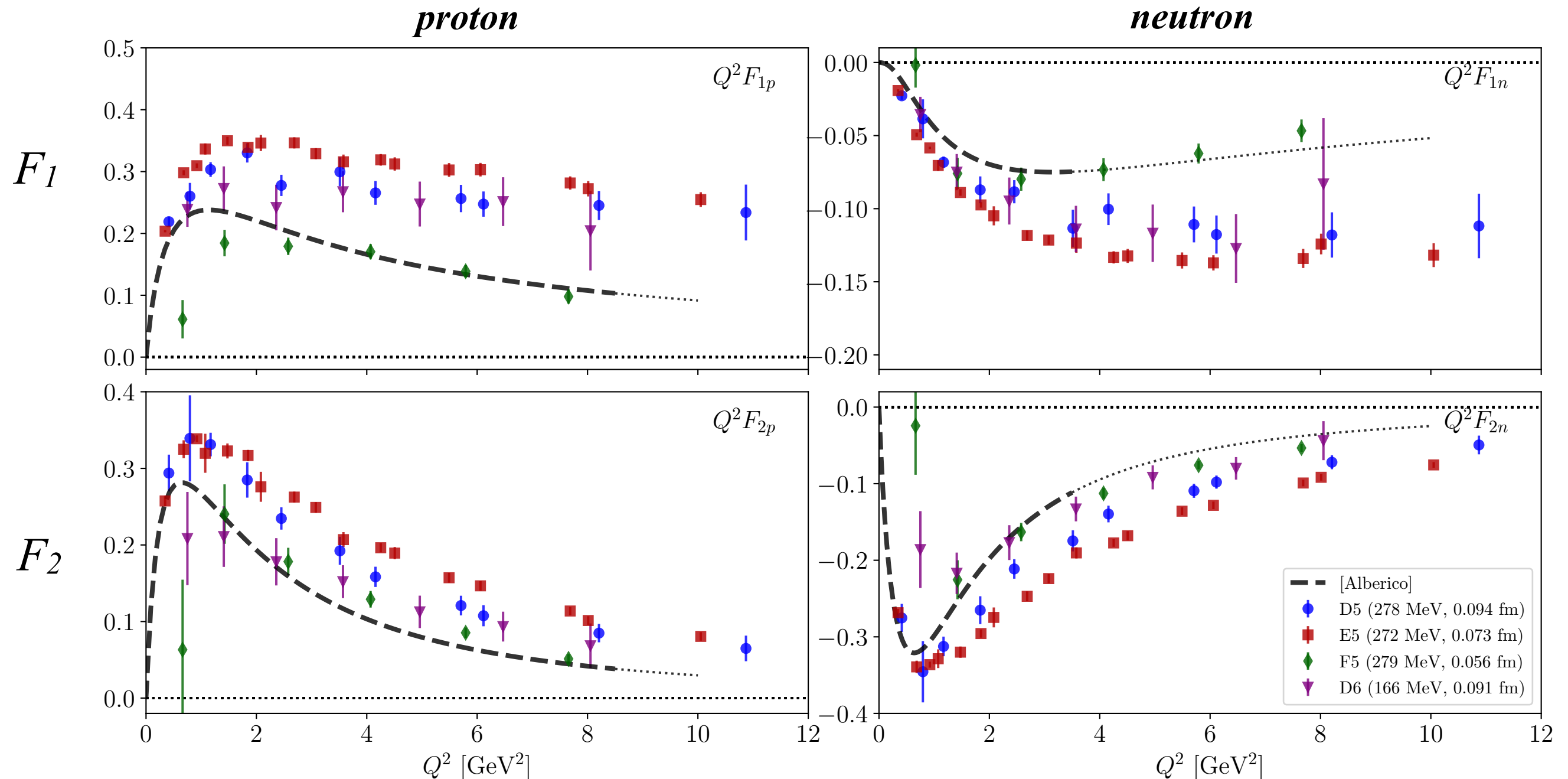
$$\text{current matrix elements from } \langle N J \bar{N} \rangle : \begin{cases} \langle J_t \rangle & \propto G_E \\ \langle J_{\vec{q} \times \vec{S}} \rangle & \propto G_M \end{cases}$$

$$\langle N' | J(\tau) | N \rangle \sim \mathcal{A}_{00} \left[ 1 + O(e^{-\Delta E_1^{(')} t} + \dots)_{\text{exc}} \right]$$



# Nucleon Form Factors: Dirac and Pauli

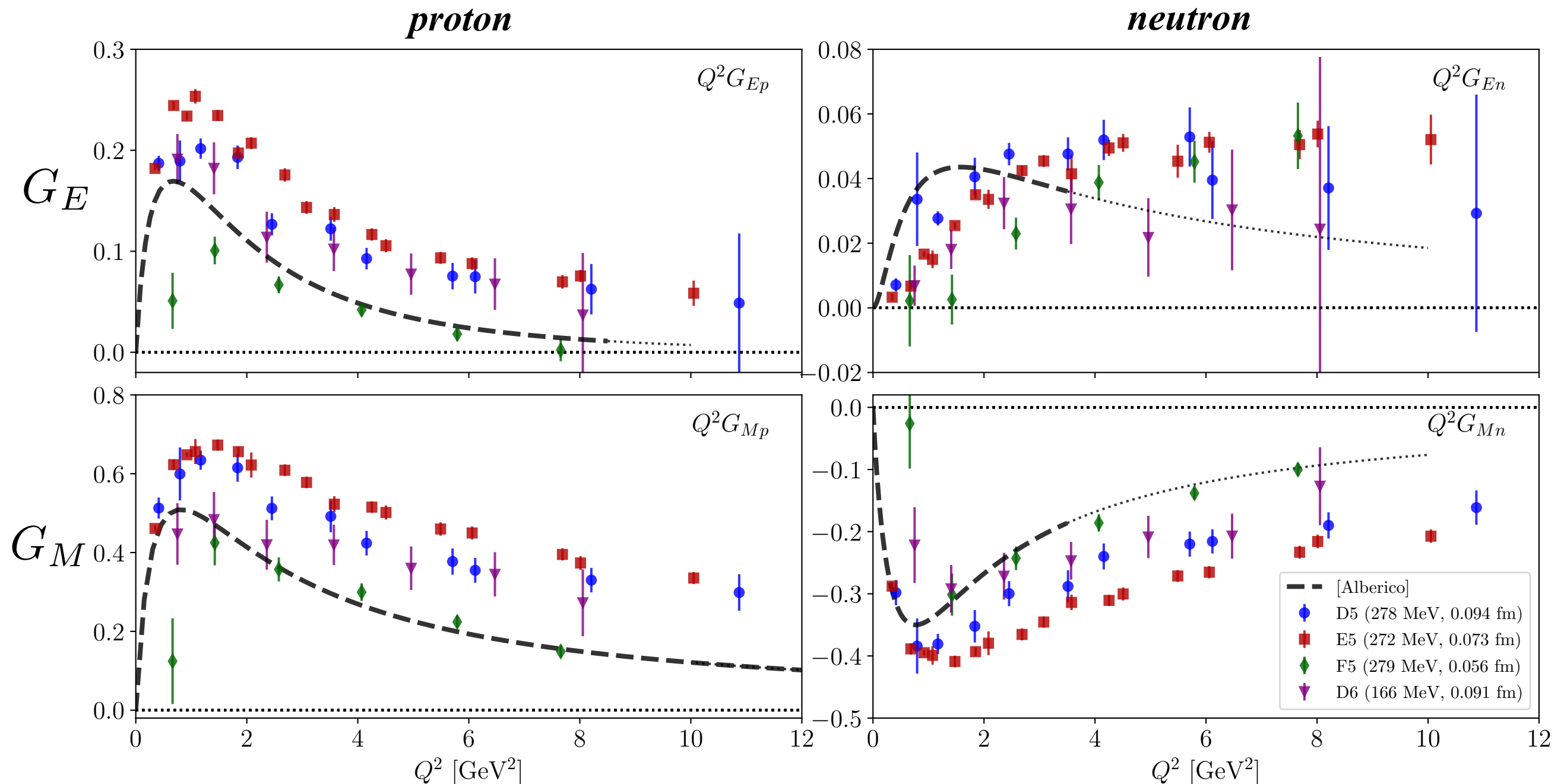
- Comparison of 4 ensembles (**D5** : 86k, **E5** : 266k, **F5** : 47k, **D6** : 261k)
- Lattice error bars: stat. + sys[high exc. states]
- (dashed line) Phenomenological fit [Alberico et al, PRC79:065204 (2008)]



• No disconnected diagrams

# Nucleon Form Factors: Sachs Electric and Magn.

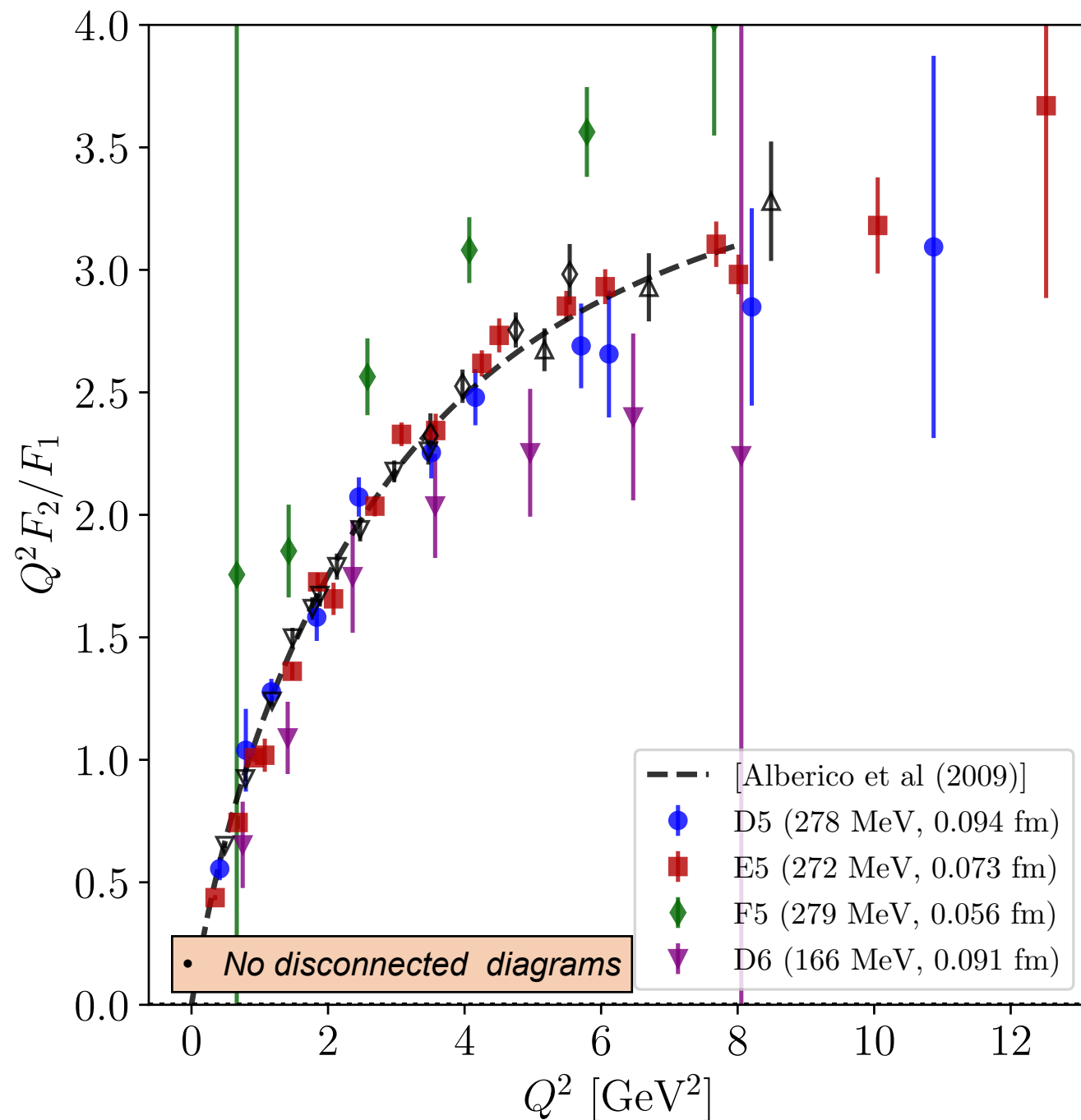
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• No disconnected diagrams

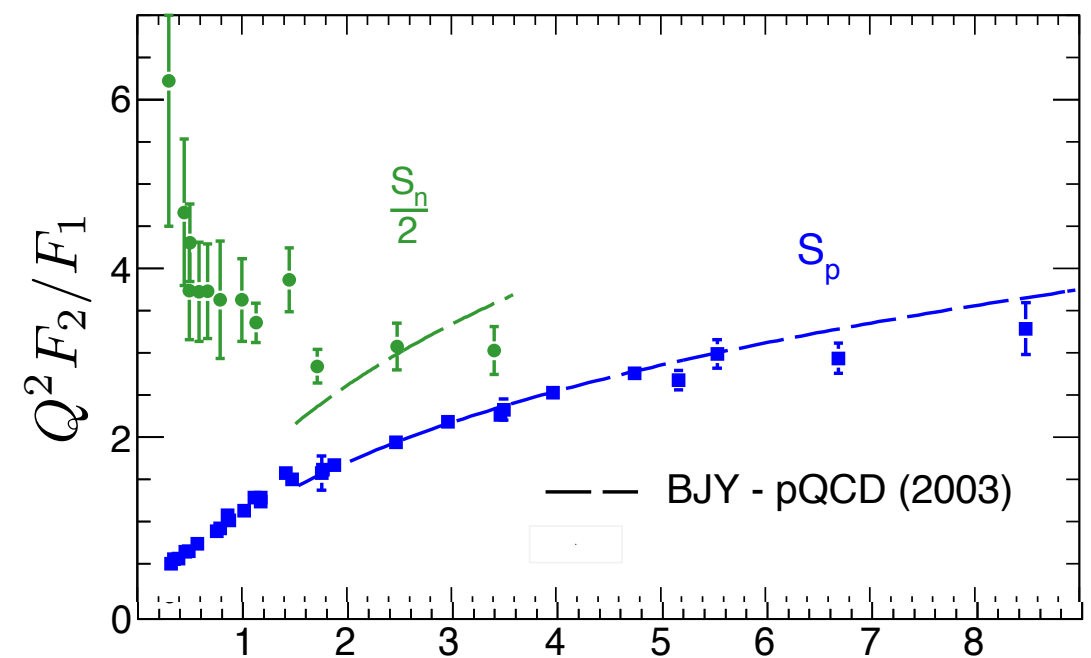
# Proton $F_2/F_1$ Ratio

- Comparison of 4 ensembles (D5 : 86k, E5 : 266k, F5 : 47k, D6 : 261k)
- (dashed line) Phenomenological fit [Alberico et al, PRC79:065204 (2008)]
- (black points) Experimental data for proton ( $Q^2 \lesssim 8.5 \text{ GeV}^2$ )



- Prediction from pQCD + quark OAM [Balitsky, Ji, Yuan (2003)]

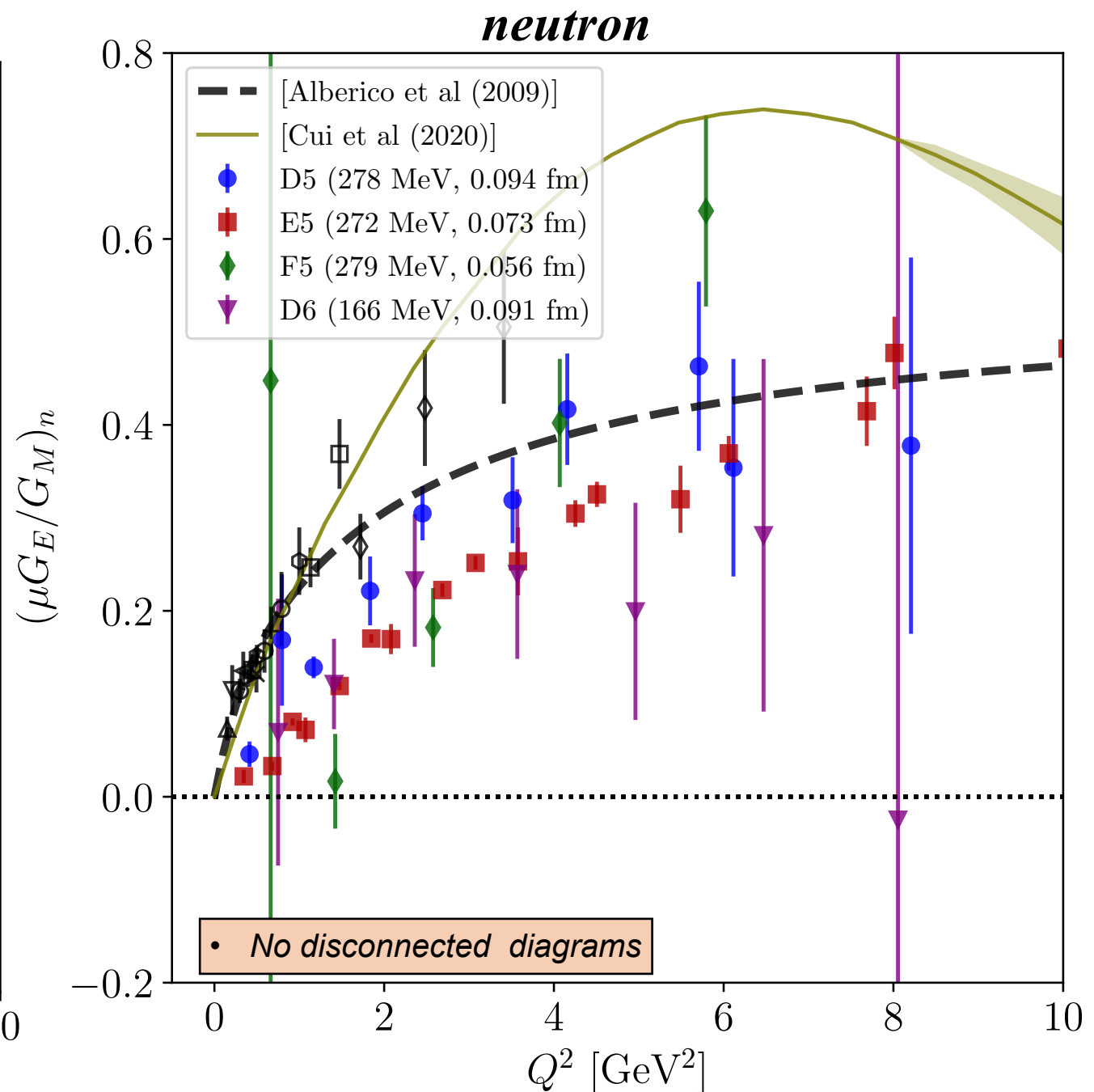
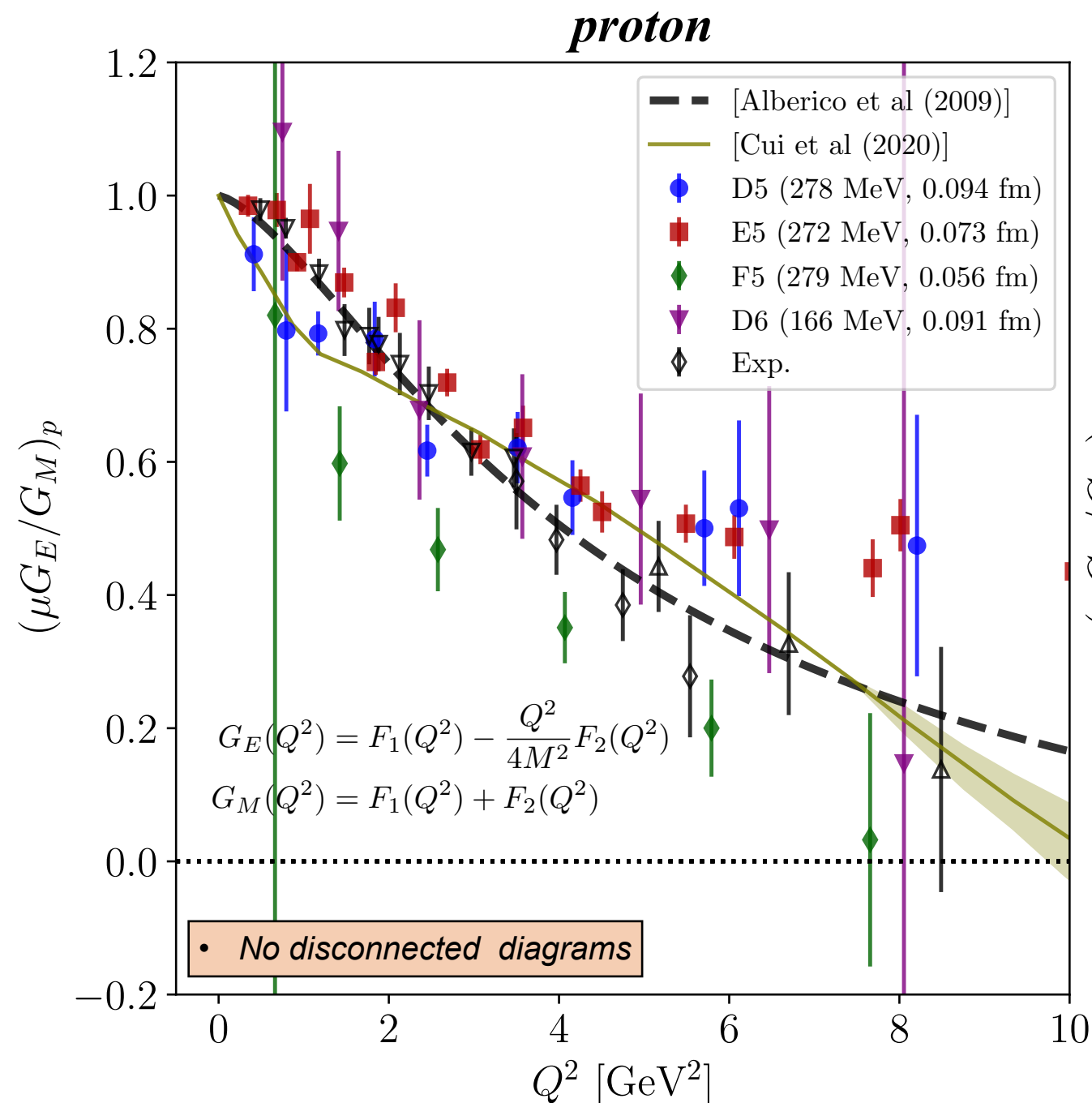
$$Q^2 F_{2p}/F_{1p} \propto \log^2(Q^2/\Lambda^2)$$



[G.D.Cates, et al, PRL106:252003 (2011)]

# Proton & Neutron $G_E/G_M$ Ratio

- Comparison of 4 ensembles (D5 : 86k, E5 : 266k, F5 : 47k, D6 : 261k)
- (dashed line) Phenomenological fit [Alberico et al, PRC79:065204 (2008)]
- (black points) Experimental data for proton ( $Q^2 \lesssim 8.5 \text{ GeV}^2$ ) and neutron ( $Q^2 \lesssim 3.4 \text{ GeV}^2$ )

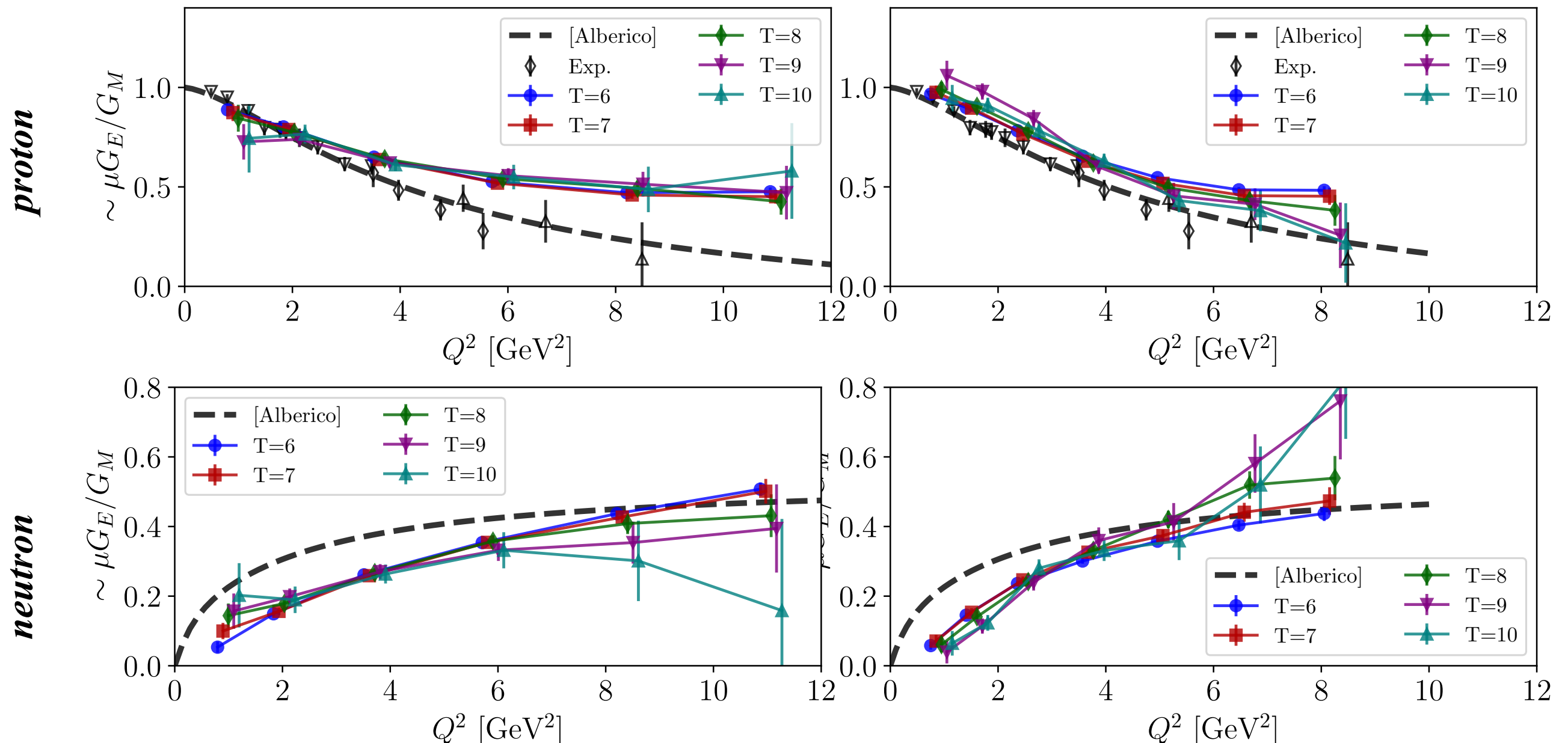


# Proton&Neutron $G_E/G_M$ from $\langle N J \bar{N} \rangle$ Correlators

$$\left( \frac{\sinh \frac{\lambda' - \lambda}{2}}{\cosh \frac{\lambda' + \lambda}{2}} \right) \frac{\text{Re} \langle N_{\uparrow}(p'_x, T) J_t(T/2) \bar{N}_{\uparrow}(p_x, 0) \rangle}{\text{Re} \langle N_{\uparrow}(p'_x, T) J_y(T/2) \bar{N}_{\uparrow}(p_x, 0) \rangle} \xrightarrow{T \rightarrow \infty} G_E/G_M \quad \text{with} \quad \begin{pmatrix} p^{(\prime)} &= m_N \sinh \lambda^{(\prime)} \\ E^{(\prime)} &= m_N \cosh \lambda^{(\prime)} \end{pmatrix}$$

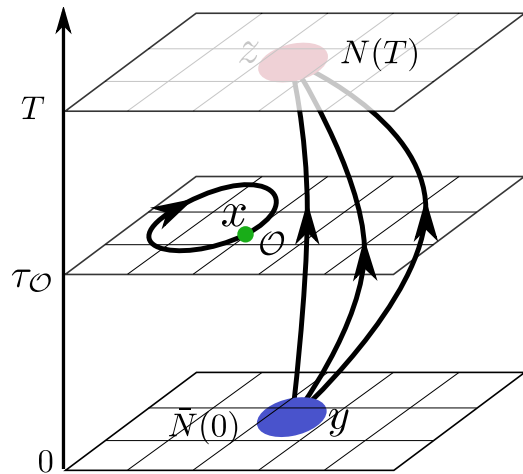
**D5**( $m\pi = 278$  MeV,  $a = 0.094$  fm)

**D6**( $m\pi = 170$  MeV,  $a = 0.094$  fm)



- Comparison of 4 ensembles (**D5** : 86k, **D6** : 261k); varying tsep = amount of exc.states
- *Nucleon excited state(s) have very similar  $G_E/G_M$  at large  $Q^2$ ?*

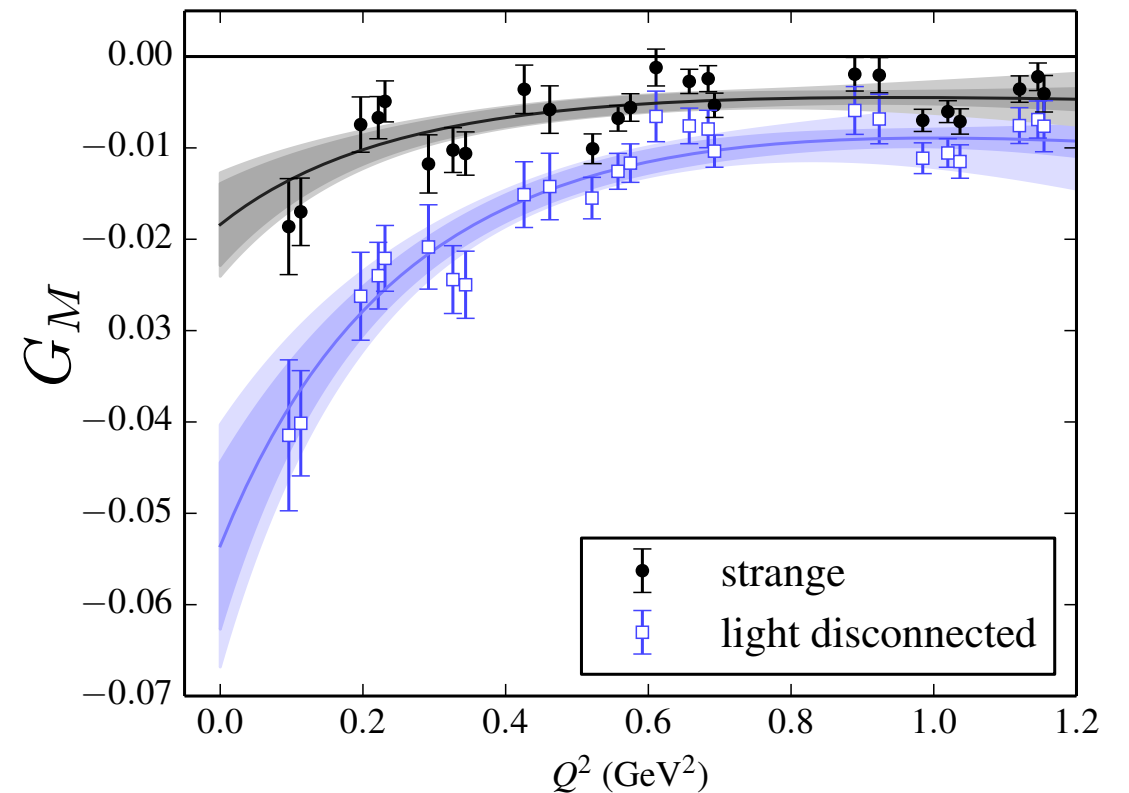
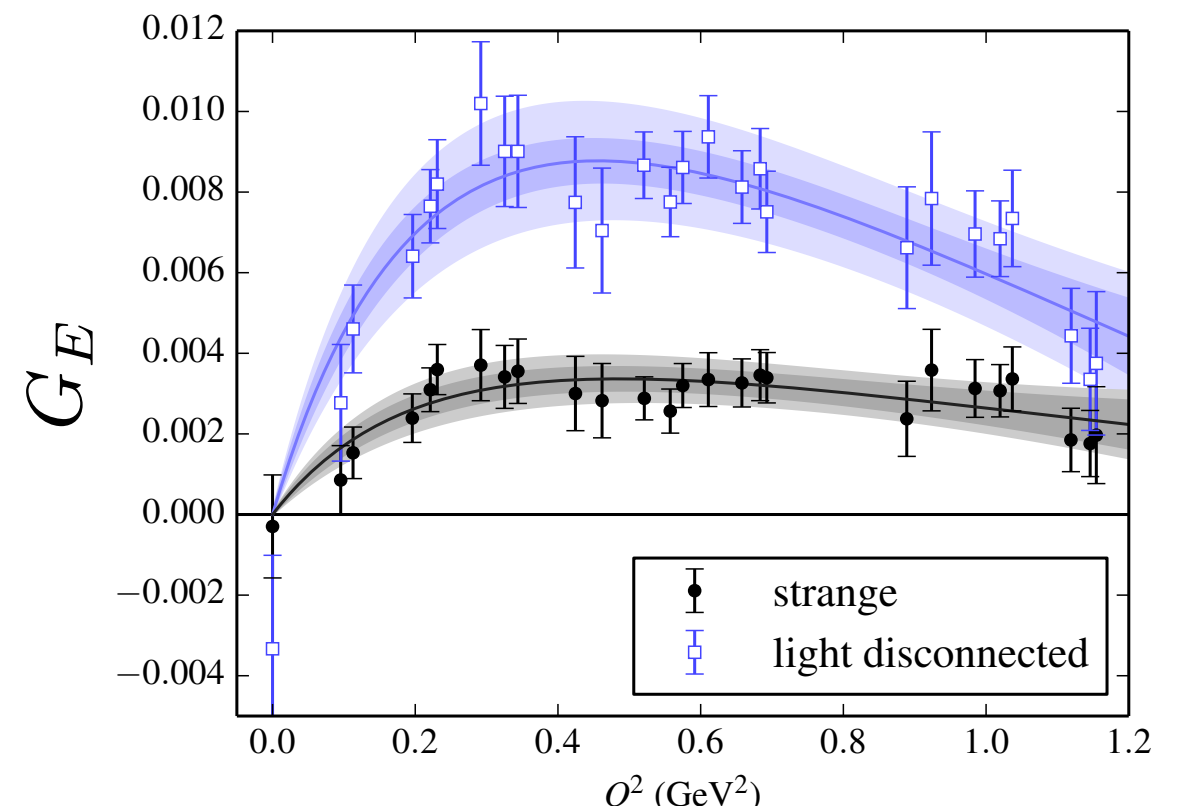
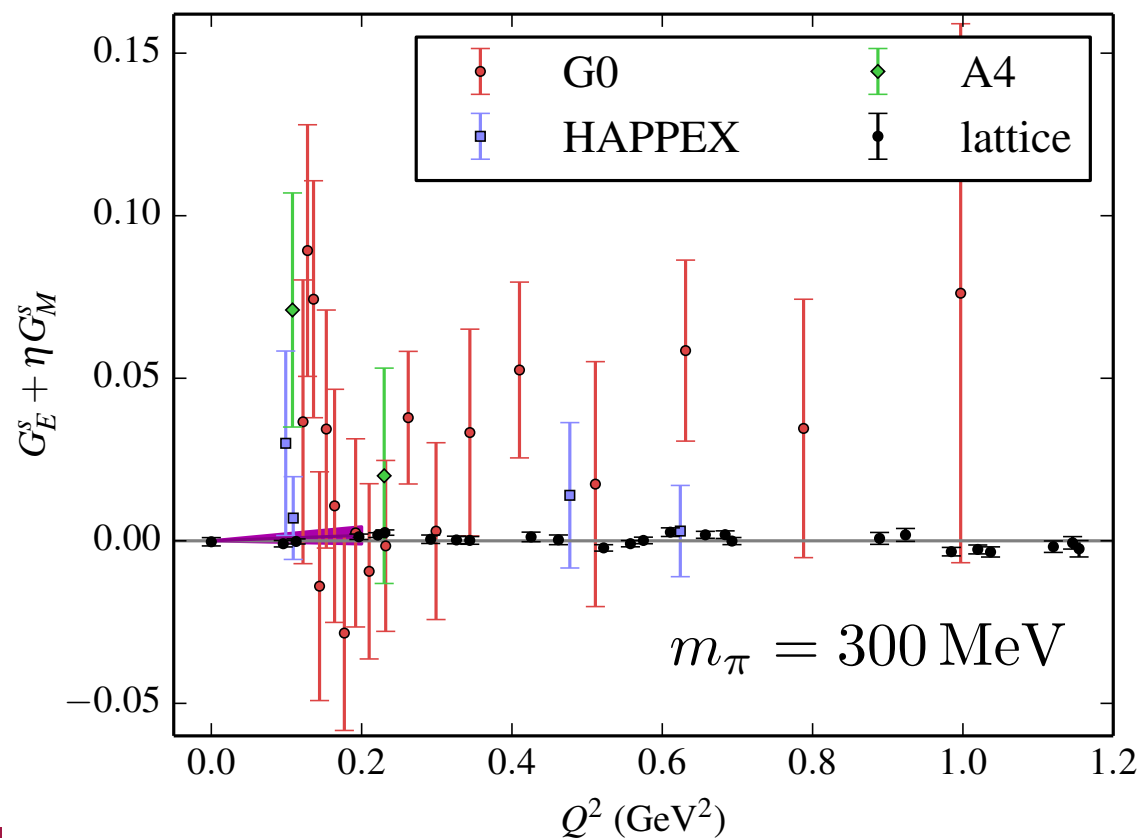
# Disconnected Contributions to Vector FFs?



Disconnected-U,D and S:

$\approx 1\%$  of nucleon FFs at  $Q^2 \lesssim 1 \text{ GeV}^2$

[J. Green, S. Meinel, S.S. et al; PRD92:031501 (2015)]

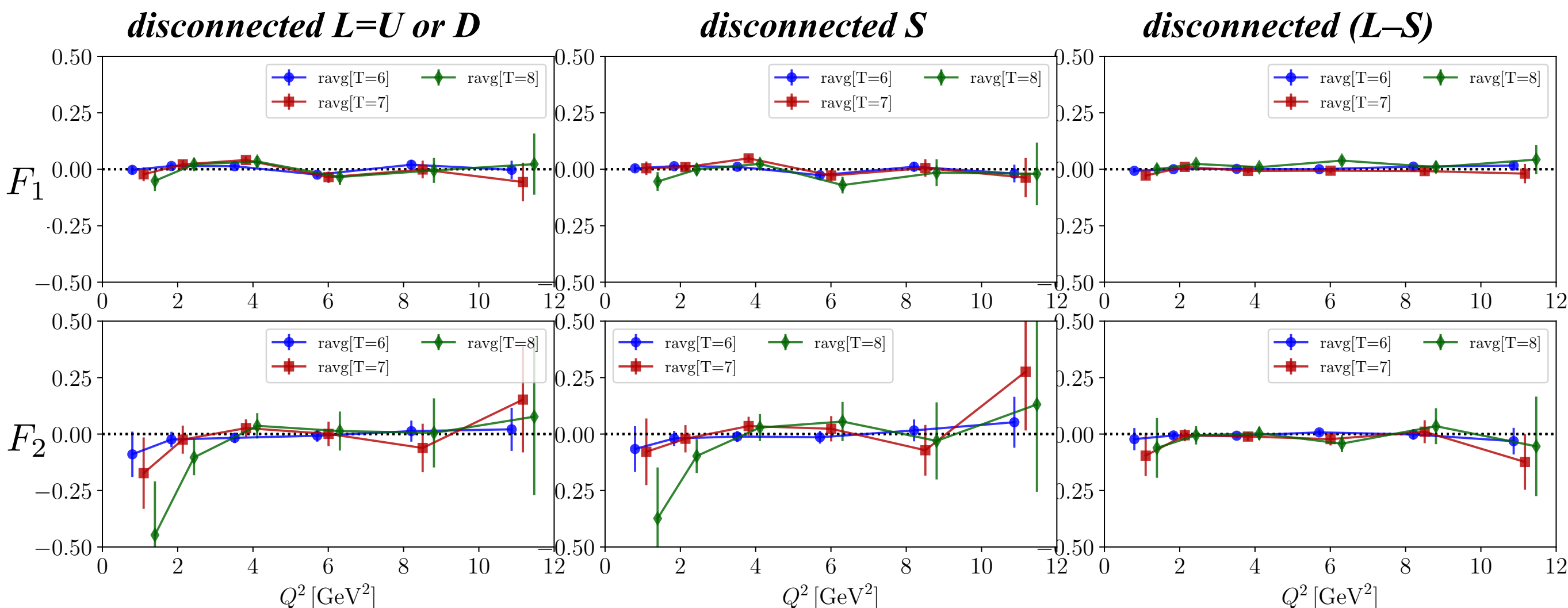


# Disconnected-U,D & Strange vs. Connected (D5)

- *relative correction  $|\delta F_{1,2}^{\text{disc}} / F_{1,2}^{\text{conn}}| \lesssim 10\%$  but quickly grows with Euclidean time*
- *partial noise cancellation in (L-S) contributing to proton & neutron*

$$P = \frac{1}{3} [2U - D]_{\text{conn}} + \frac{1}{3} [L - S]_{\text{disc}}$$

$$N = \frac{1}{3} [2D - U]_{\text{conn}} + \frac{1}{3} [L - S]_{\text{disc}}$$



**D5 ensemble ( $m_\pi=280$  MeV,  $a=0.094$  fm), 86k samples of  $\langle N\bar{N} \rangle$**

# $G_E/G_M$ : Systematics from Disconnected U,D & S

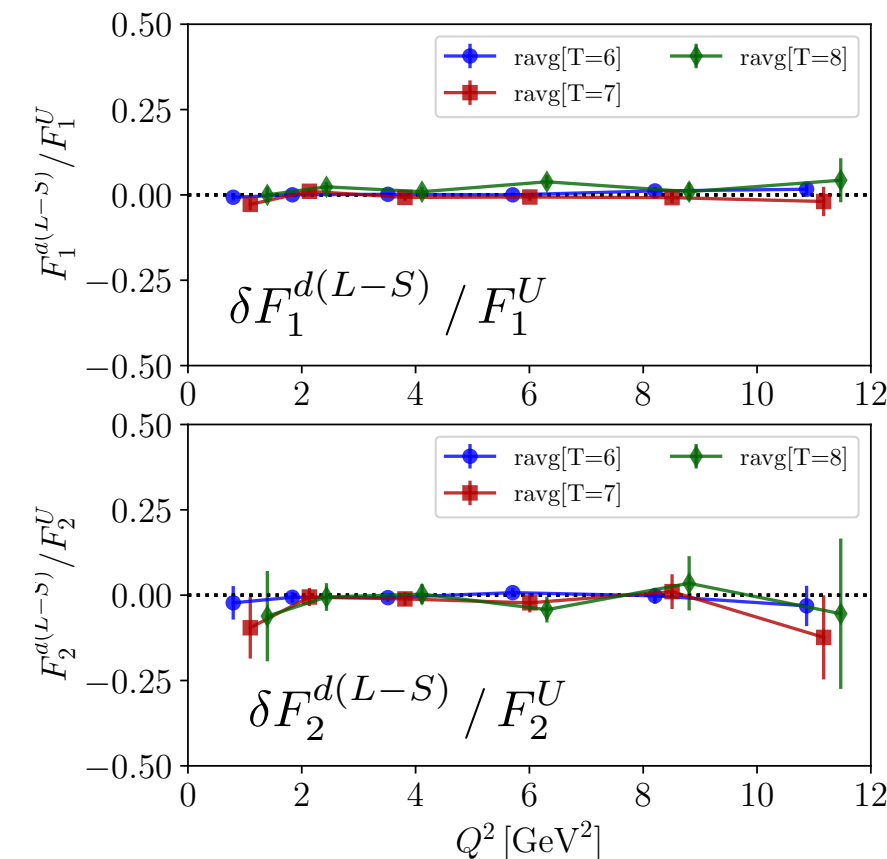
- partial noise cancellation in (L-S) contributing to proton & neutron

$$P = \frac{1}{3} [2U - D]_{conn} + \frac{1}{3} [L - S]_{disc}$$

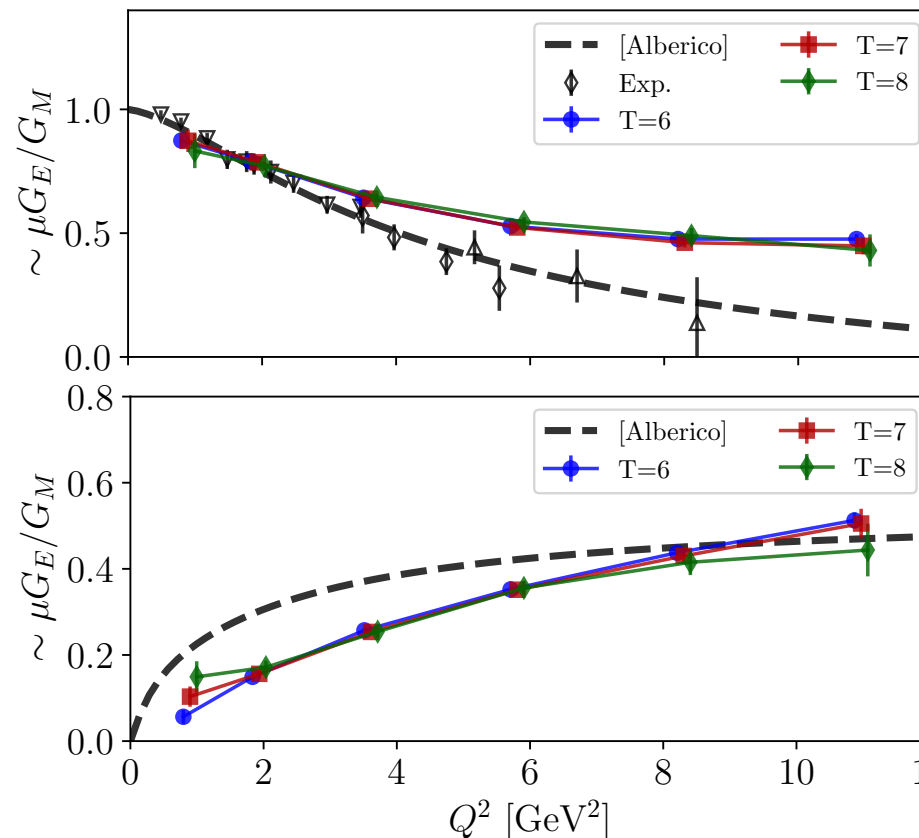
$$N = \frac{1}{3} [2D - U]_{conn} + \frac{1}{3} [L - S]_{disc}$$

- limited effect on  $G_E/G_M$  – *within stat. noise*
- larger effect on flavor-decomposition of  $F_{1,2}$

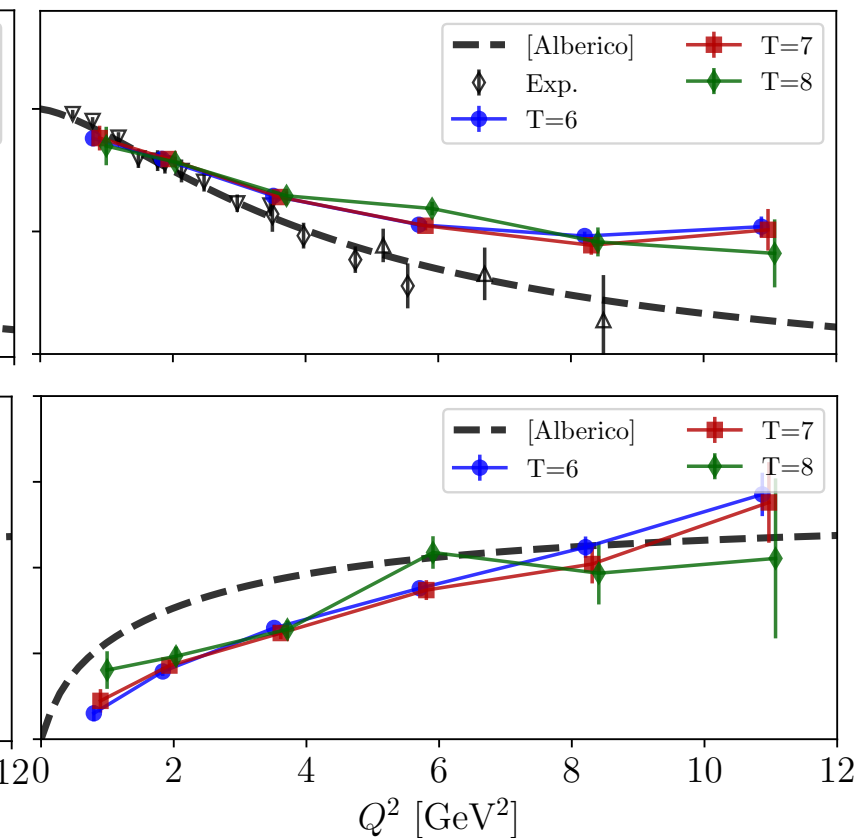
*disconnected (L-S),  
relative to conn.*



$G_E/G_M$ , CONN. ONLY

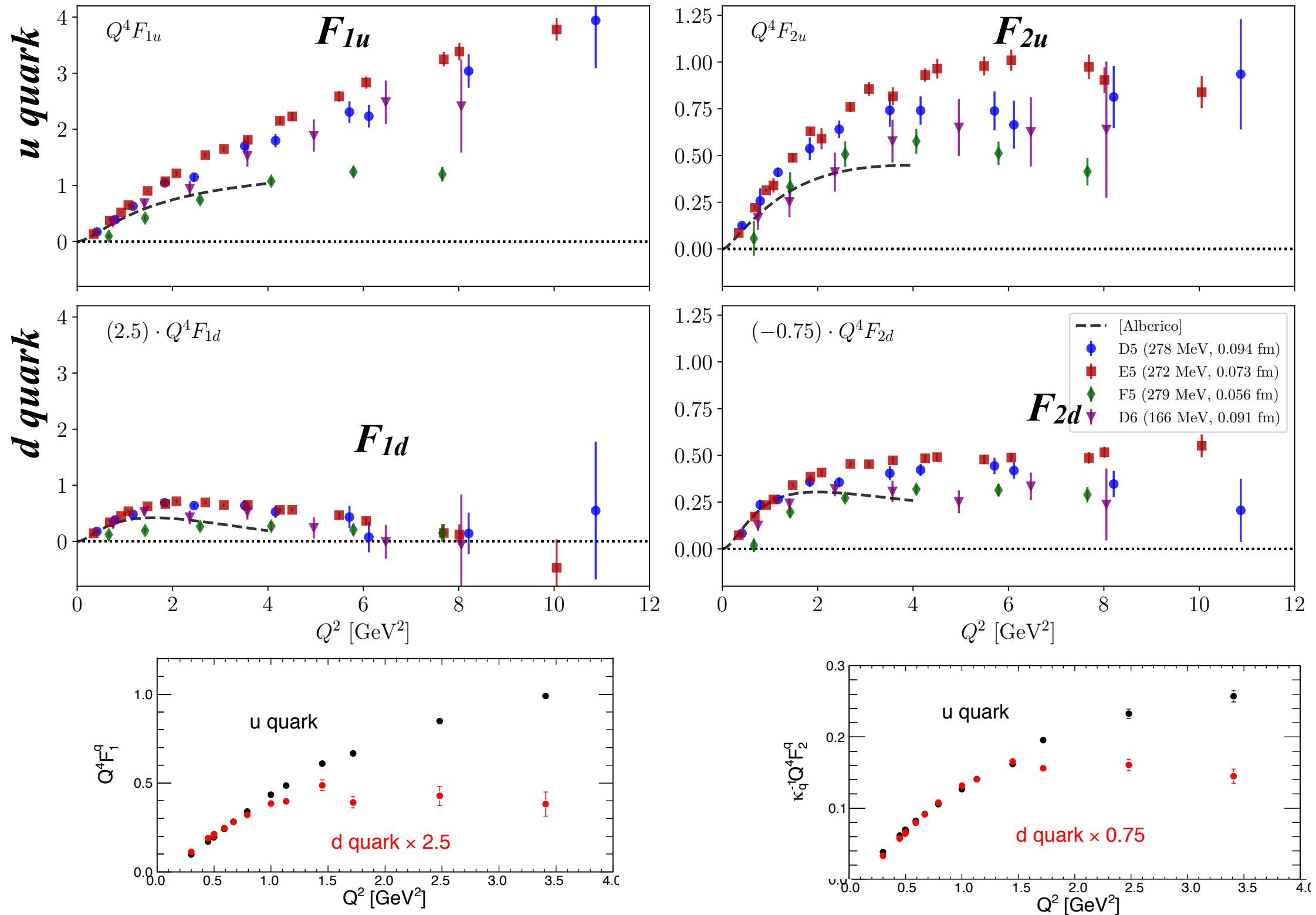


$G_E/G_M$ , FULL



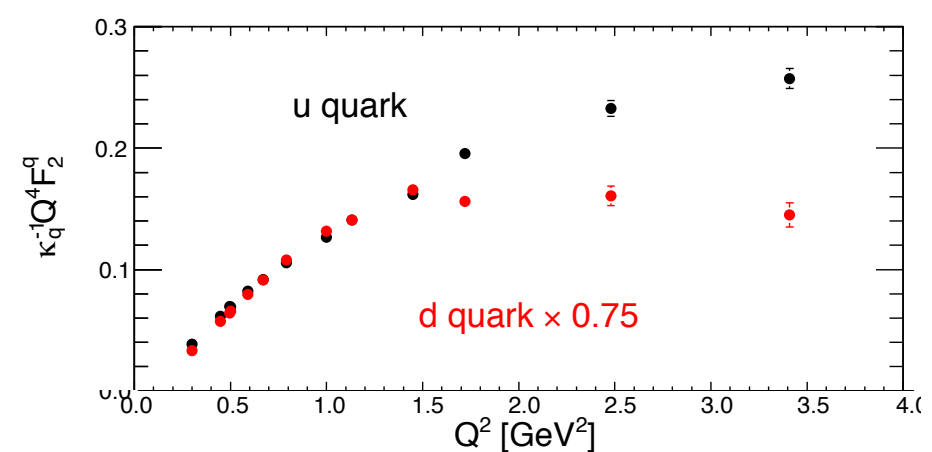
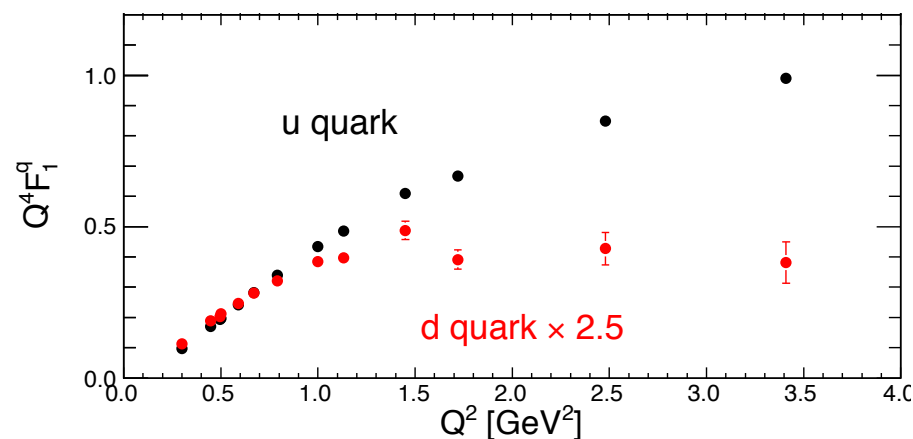
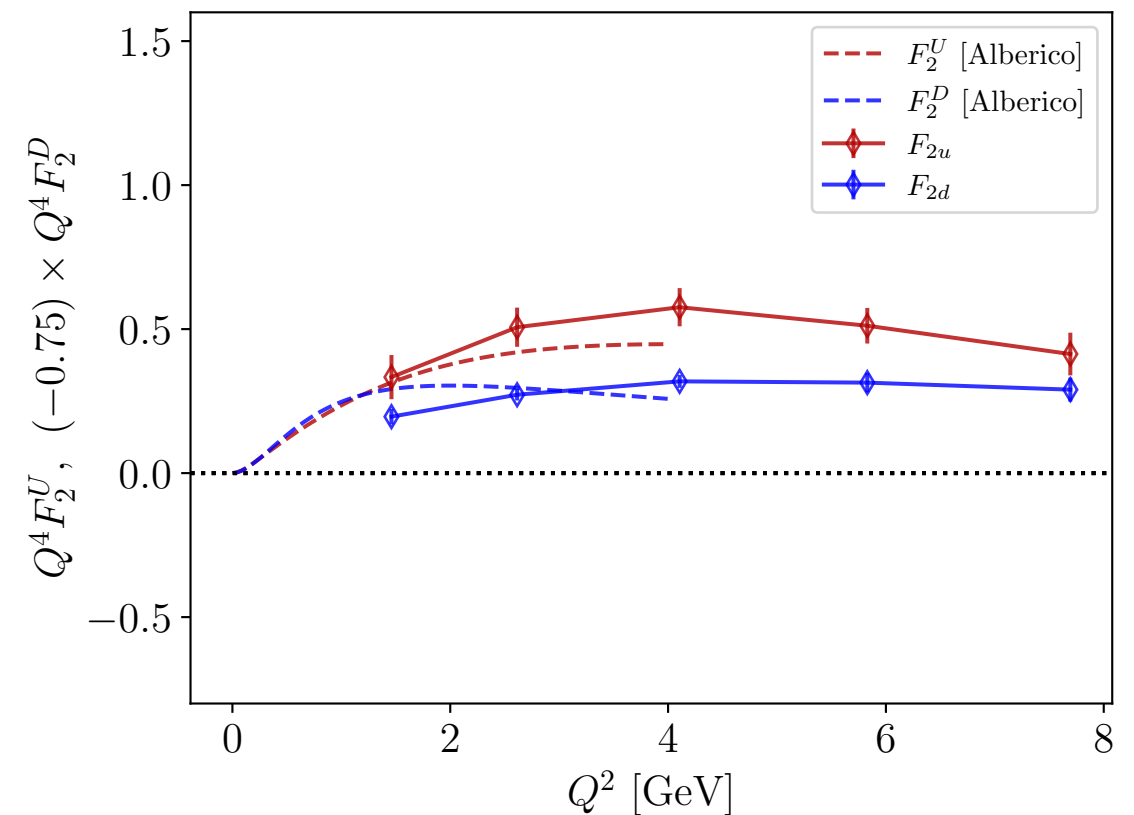
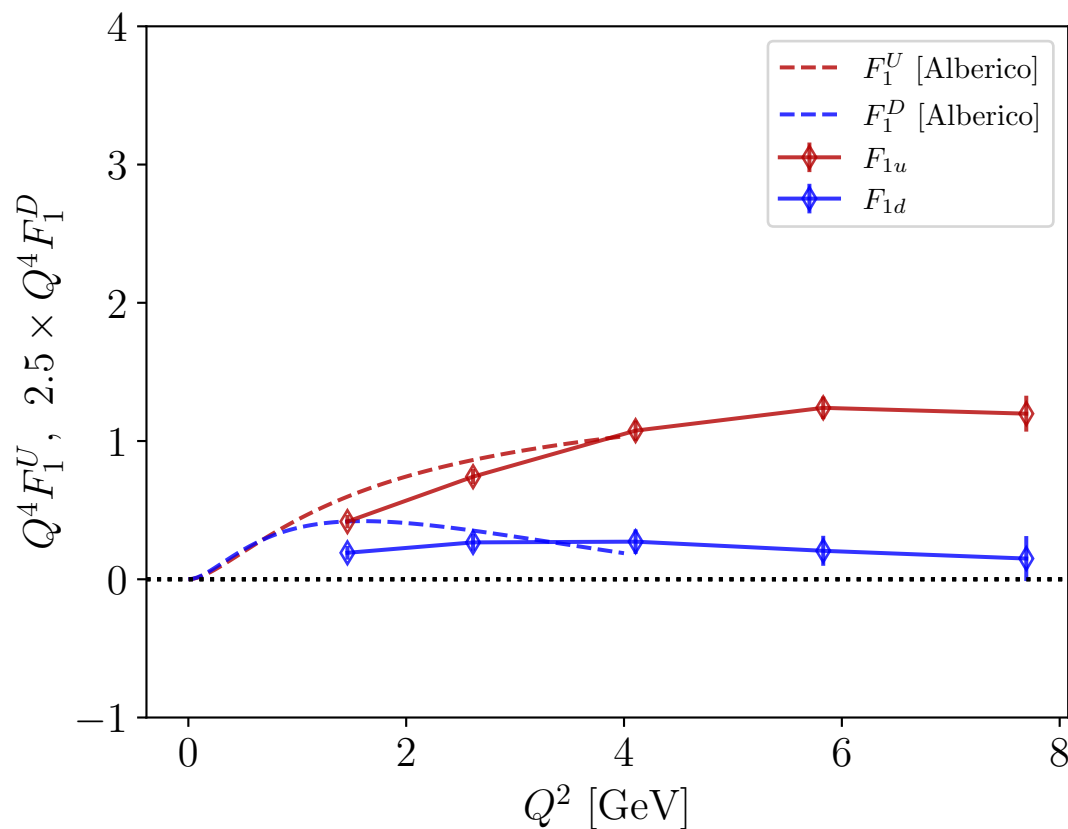
D5 ensemble ( $m_\pi=280$  MeV,  $a=0.094$  fm),  $t_{sep}=0.50 \div 0.75$  fm

# Light-flavor Contributions to Proton Form Factors



- Comparison of 4 ensembles (D5 : 86k, E5 : 266k, F5 : 47k, D6 : 261k)
- (dashed lines) flavor dependence [G.D.Cates, et al, PRL106:252003(2011)]

# Light-flavor Contrib's to Proton FFs ( $a=0.056\text{fm}$ )



● Initial statistics on **F5** : (47k)

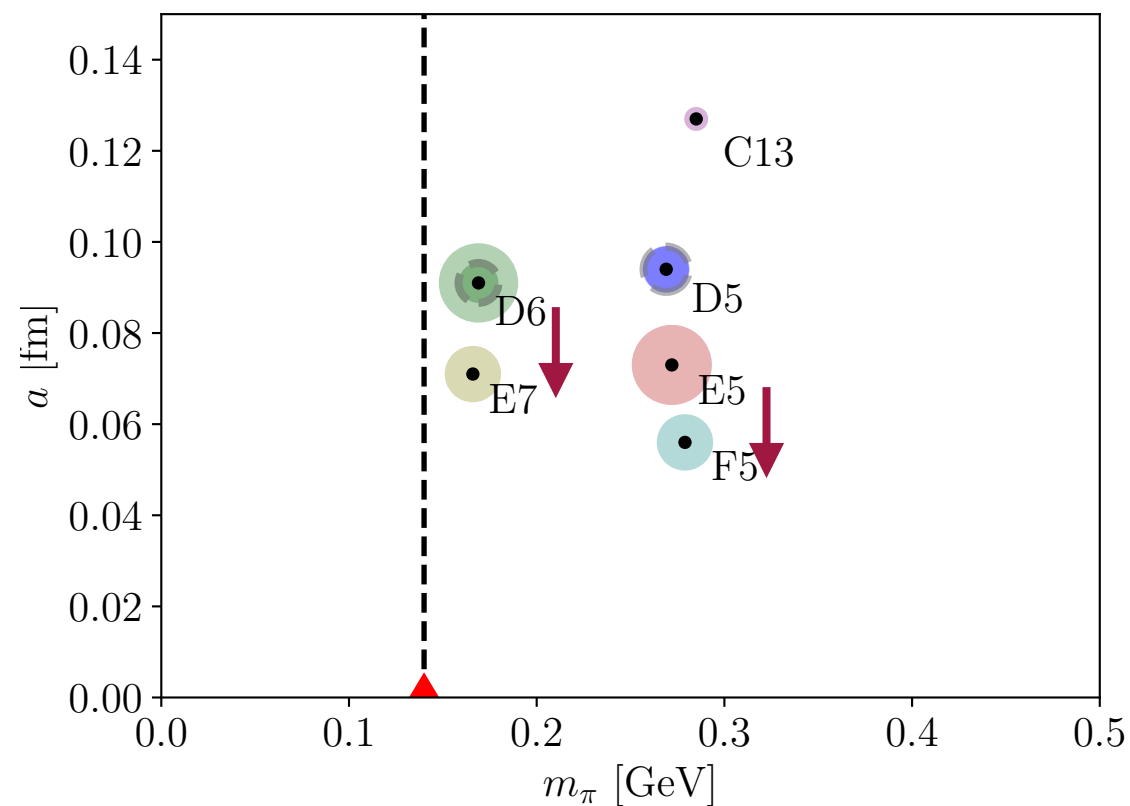
● (dashed lines) flavor dependence [G.D.Cates, et al, PRL106:252003(2011)]

# Summary

- High-statistics lattice calculations of nucleon form factors at high-momentum
  - up to  $Q^2 \lesssim 10 \text{ GeV}^2$
  - three lattice spacings  $a \gtrsim 0.056 \text{ fm}$
  - two pion masses  $m_\pi \gtrsim 170 \text{ MeV}$
- Form factor results overshoot experimental data  $x(2 \dots 2.5)$  ;  
 $G_E/G_M$  ratios in qualitative agreement
  - "quark-disconnected" contractions  $\rightarrow$  little effect for  $Q^2 \lesssim 6 \text{ GeV}^2$  , uncertain for higher- $Q^2$
  - Non-physical quarks masses?
  - Discretization?
  - Excited states (most likely) — *need improved/variational nucleon operators*

# Outlook

- Upcoming next-gen computing facilities (e.g. Jupiter at Julich SC)
  - More statistics (x10) to control excited state systematics
  - Smaller lattice spacings ( $0.09 \rightarrow 0.07 \rightarrow 0.05$  fm); physical and 2x physical pion mass
  - Improved lattice vector-current operator



- *Effective theory for large-momentum form factors of nucleon-like states?*
- *Explanation for potential  $G_E/G_M$  universality – similar structure of excited N-like states?*