

# Phenomenology of modified loop quantum cosmology

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# Outline

1. Introduction and motivations
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2. The background dynamics of the modified loop quantum cosmology
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  - 2.2 The inflationary scenario in mLQC
3. The linear perturbations in the modified loop quantum cosmology
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  - 3.2 Matching with CMB

# 1.1 Inflation

- Inflation <sup>1</sup> is an extremely rapid (exponentially) expansion of the universe after its creation ( $t \simeq 10^{-34}$  s):

$$a(t) = a_i e^{H(t-t_i)} \quad (\text{A. Guth, 1981})$$

where  $a$  is the scale factor of the universe and  $H = \dot{a}/a$  is the Hubble parameter.

- For the spatially-flat homogeneous and isotropic Friedmann-Lemaître-Robertson-Walker (FLRW) universe, the metric is simply given by

$$ds^2 = -dt^2 + a^2 d\vec{r}^2.$$

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<sup>1</sup> For review, See D. Baumann, Cosmology, 2022.

## 1.1 Inflation

- As the universe experiences exponential expansion during inflation, we usually use **the number of e-foldings** which is defined below to describe how much the universe has expanded during the inflationary phase,

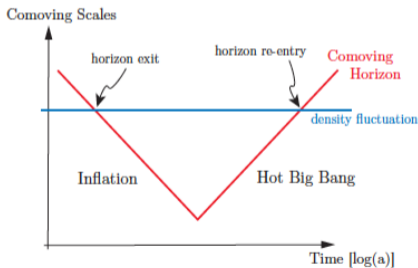
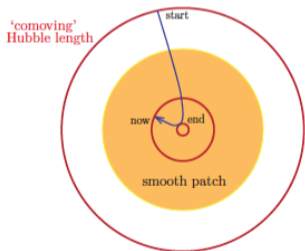
$$N_{\text{inf}} = \ln \left( \frac{a_{\text{end}}}{a_i} \right).$$

- For a universe filled with a perfect fluid with **the equation of state  $\omega = P/\rho$** , the co-moving Hubble horizon behaves as

$$(aH)^{-1} \propto a^{\frac{1}{2}(1+3\omega)}.$$

# 1.1 Inflation

- The co-moving Hubble horizon monotonically increases for non-relativistic matter ( $\omega = 0$ ) and radiation ( $\omega = 1/3$ ), while it monotonically decreases during inflation ( $\omega \approx -1$ ).



# 1.1 Challenging (Theoretical) Problems

However, inflation is also facing various challenging (theoretical) problems:

- Initial singularity problem: General relativity (GR) inevitably leads inflation to an initial singularity<sup>2</sup>.
  - For example,

$$a(t) = a_i t^\alpha, \quad \alpha > 0$$

$$\Rightarrow H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{\alpha^2}{t^2}$$

$$\Rightarrow \rho = \left(\frac{3}{8\pi G}\right) \frac{\alpha^2}{t^2} \rightarrow \infty, \quad \text{as } t \rightarrow 0$$

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<sup>2</sup> A. Borde and A. Vilenkin, PRL72 (1994) 3305; A. Borde, A. H. Guth, and A. Vilenkin, PRL90 (2003) 151301.

# 1.1 Challenging (Theoretical) Problems

## ➤ Trans-Planckian Problem <sup>3</sup>:

- To be consistent with observations, we need

$$N_{\text{inf}} \geq 60.$$

- From the end of inflation to the present,  $N_0 \approx 60$ . Thus,

$$\lambda_{\text{Ob.}} < \ell_{\text{Pl}} \equiv 10^{-35} \text{ m},$$

that is, the wavelengths corresponding to present observations, should be smaller than the Planck length at the beginning of the inflation, and **quantum gravity becomes important**.

- ★ **Quantum aspects of gravity should be taken into account in order to solve the big-bang singularity and the initial condition problems in the standard cosmology model.**

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<sup>3</sup>Brandenberger and Martin, CGQ30 (2013) 113001.

## 1.2 Loop quantum cosmology

- **Loop quantum cosmology**<sup>4</sup> (LQC) provides a mathematically rigorous framework to generically resolve the classical singularities in various cosmological spacetimes, including the FLRW universe, the Bianchi universe, the Gowdy models and etc.
- Symmetry reduction of the connection and triad variables in cosmological spacetimes leads to manageable quantum Hamiltonian constraints and the **quantum difference equations** which govern the evolution of the spacetimes<sup>5</sup>.
- For the purpose of the phenomenological investigation of the cosmological implications of LQC, the **effective dynamics** is always employed.

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<sup>4</sup>For review see A. Ashtekar and P. Singh, *Class. Quant. Grav.* 28, 213001 (2011), arXiv:1108.0893, I. Agullo and P. Singh, arXiv:1612.01236 and references therein.

<sup>5</sup>Ashtekar, Pawłowski and Singh, *PRL* 96, 141301 (2006); *PRD* 73, 124036 (2006); *PRD* 74, 084003 (2006).

## 1.2 Loop quantum cosmology

- The gravitational Hamiltonian constraint consists of the so-called Euclidean and Lorentzian terms <sup>6</sup>,

$$\begin{aligned}\mathcal{H}_{\text{grav}} &= \mathcal{H}_{\text{grav}}^{(E)} - (1 + \gamma^2)\mathcal{H}_{\text{grav}}^{(L)}, \\ \mathcal{H}_{\text{grav}}^{(E)} &= \frac{1}{16\pi G} \int d^3x \epsilon_{ijk} F_{ab}^i \frac{E^{aj} E^{bk}}{\sqrt{|\det(q)|}}, \\ \mathcal{H}_{\text{grav}}^{(L)} &= \frac{1}{8\pi G} \int d^3x K_{[a}^j K_{b]}^k \frac{E^{aj} E^{bk}}{\sqrt{|\det(q)|}},\end{aligned}$$

where  $\gamma$  is the Barbero-Immirzi parameter,  $q_{ab}$  is the spatial metric,  $A_a^i$  is the Ashtekar-Barbero connection,  $F_{ab}$  is the field strength and  $K_a^i$  is the extrinsic curvature.

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<sup>6</sup>Jakub Mielczarek, Tomasz Stachowiak, Marek Szydlowski, Phys. Rev. D77 (2008) 123506; Pietro Dona, Simone Speziale, arXiv: 1007.0402.

## 1.2 Loop quantum cosmology

- In the homogeneous and isotropic spatially-flat FLRW universe, we have

$$A_a^i \sim c = \gamma \dot{a}, \quad E_i^a \sim p = a^2.$$

Therefore in terms of  $c$  and  $p$ ,

$$\mathcal{H}_{\text{grav}}^{(E)} = \frac{3p^{1/2}c^2}{\kappa}, \quad \mathcal{H}_{\text{grav}}^{(L)} = -\frac{3p^{1/2}c^2}{\kappa} \left(1 + \frac{1}{\gamma^2}\right),$$

where  $\kappa = 8\pi G$ .

- In standard LQC, only the Euclidean term  $\mathcal{H}_{\text{grav}}^{(E)}$  is quantized, leading to the quantum Hamiltonian constraint and the quantum difference equation which governs the evolution of a quantum cosmos<sup>7</sup>.

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<sup>7</sup>A. Ashtekar, T. Pawłowski and P. Singh, Phys. Rev. D74 (2006) 084003.

## 1.2 Loop quantum cosmology

- For the phenomenological investigations of the cosmological implications of LQC, we appeal to the effective dynamics based on the effective Hamiltonian constraint.
  - ★ The classical Hamiltonian constraint assumes

$$\mathcal{H}_{classical} = -\frac{3p^{1/2}c^2}{\kappa\gamma^2}.$$

- ★ In the  $\bar{\mu}$  scheme, the connection is polymerized as  $c \rightarrow \frac{\sin(\bar{\mu}c)}{\bar{\mu}}$  with  $\bar{\mu} = \frac{\lambda}{\sqrt{p}}$ .
- The resulting effective Hamiltonian is given by,

$$\mathcal{H}_{LQC} = -\frac{3v \sin^2(\lambda b)}{8\pi G\gamma^2\lambda^2}, \quad \left( v = a^3; b = \frac{c}{\sqrt{p}} \right) \rightarrow \mathcal{H}_{classical} = -\frac{3vb^2}{8\pi G\gamma^2}.$$

where  $\lambda^2 \equiv 4\sqrt{3}\pi\gamma\ell_{PL}^2$  and  $\{b, v\} = 4\pi G\gamma$ .

## 1.2 Loop quantum cosmology

- For the matter sector, we assume a single scalar field for the most pragmatic purpose

$$\mathcal{H}_M = \frac{p_\phi^2}{2v} + vV(\phi),$$

where  $p_\phi$  is the conjugate momentum of the inflaton field.

- Then, we obtain the following Hamilton's equations

$$\dot{v} = \left\{ v, \mathcal{H}_{LQC} + \mathcal{H}_M \right\} = \frac{3v}{2\lambda\gamma} \sin(2\lambda b),$$

$$\dot{b} = \left\{ b, \mathcal{H}_{LQC} + \mathcal{H}_M \right\} = -4\pi G\gamma(\rho + P),$$

$$P \equiv -\frac{\partial \mathcal{H}_M}{\partial v}, \quad \rho \equiv \frac{\mathcal{H}_M}{v} = \rho_c \sin^2(\lambda b),$$

$$\rho_c \equiv \frac{\sqrt{3}\rho_{\text{Pl}}}{32G\pi^2\gamma^3\ell_{\text{Pl}}^2} \approx 0.41\rho_{\text{Pl}}.$$

## 1.2 Loop quantum cosmology

- From the Hamilton's equations,

$$H^2 = \frac{\dot{v}^2}{9v^2} = \frac{\sin^2(2\lambda b)}{4\lambda^2\gamma^2} = \frac{8\pi G}{3}\rho \left(1 - \frac{\rho}{\rho_c}\right).$$

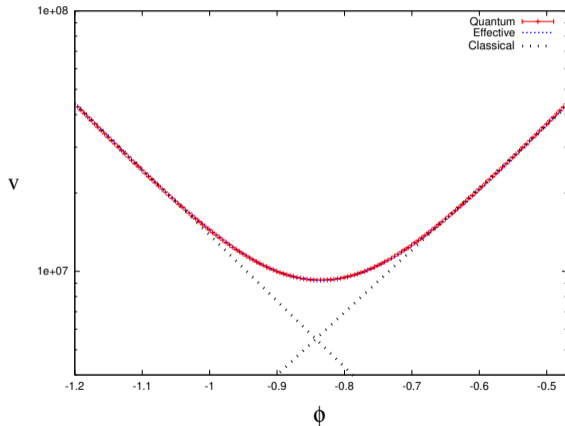
- The big bang singularity is replaced by a quantum bounce at  $\rho = \rho_c$ <sup>8</sup> where  $H = 0$  and  $\ddot{a} > 0$ .
- The momentum  $b$  is a monotonically decreasing function during the forward evolution of the universe in time. At the bounce,  $b = \frac{\pi}{2\lambda}$ .

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<sup>8</sup>A. Ashtekar, P. Singh, CQG28 (2011) 213001.

## 1.2 Loop quantum cosmology

- In this figure we compare the evolution of the universe in the classical cosmology with that in LQC when a massless scalar field is considered in the matter sector.



## 2. The background dynamics of the modified loop quantum cosmology

## 2.1 The effective dynamics of mLQC

☞ As early as in 2009, separate treatments of the Lorentzian term in the reference [J. Yang, Y. Ding and Y. Ma, PLB682 (2009) 1] yielded two alternative LQC models.

- mLQC-I:

$$b_I^2 \rightarrow -\frac{\gamma^2}{\lambda^2} \left\{ \sin^2(\lambda b) - \frac{\gamma^2 + 1}{4\gamma^2} \sin^2(2\lambda b) \right\},$$

- mLQC-II:

$$b_{II}^2 \rightarrow \frac{4}{\lambda^2} \sin^2\left(\frac{\lambda b}{2}\right) \left\{ 1 + \gamma^2 \sin^2\left(\frac{\lambda b}{2}\right) \right\}.$$

☞ In 2018, mLQC-I was re-discovered by Dapor and Liegener [PLB785 (2018) 506-510].

## 2.1a The effective dynamics of mLQC-I

☞ The effective Hamiltonian constraint in mLQC-I takes the form

$$\mathcal{H}_{\text{mLQC-I}} = \frac{3\nu}{8\pi G\lambda^2} \left\{ \sin^2(\lambda b) - \frac{(\gamma^2 + 1) \sin^2(2\lambda b)}{4\gamma^2} \right\} + \mathcal{H}_M.$$

☞ Then, the Friedmann equations can be derived from

$$H^2 = \frac{\sin^2(2\lambda b)}{4\lambda^2\gamma^2} \left\{ \gamma^2 - (\gamma^2 + 1) \cos(2\lambda b) \right\}^2,$$
$$\rho = \frac{3}{8\pi G\lambda^2} \left( -\sin^2(\lambda b) + \frac{(\gamma^2 + 1) \sin^2(2\lambda b)}{4\gamma^2} \right).$$

or inversely,

$$\sin^2(\lambda b_{\pm}) = \frac{1 \pm \sqrt{1 - \rho/\rho_c^I}}{2(\gamma^2 + 1)},$$
$$\rho_c^I = \rho_c/[4(1 + \gamma^2)].$$

## 2.1a The effective dynamics of mLQC-I

☞ More specifically,

$$\sin^2(\lambda b_-) = \begin{cases} 0, & \rho = 0, \\ \frac{1}{2(\gamma^2+1)} \sim 0.47, & \rho = \rho_c^I. \end{cases}$$

In contrast,

$$\sin^2(\lambda b_+) = \begin{cases} \frac{1}{(\gamma^2+1)} \sim 0.95, & \rho = 0, \\ \frac{1}{2(\gamma^2+1)}, & \rho = \rho_c^I. \end{cases}$$

☞ In mLQC-I,

$$\dot{b} = -4\pi G\gamma\dot{\phi}^2 \leq 0.$$

The momentum  $b$  has to decrease monotonically. Therefore, the branch  $b_-$  alone is not able to cover both the expanding and the contracting phases.

## 2.1a The effective dynamics of mLQC-I

➤ This figure shows different phases in LQC ( $\rho = \rho_c \sin^2(\lambda b)$ ) [Li and Singh, PRD105, 046013(2022)].

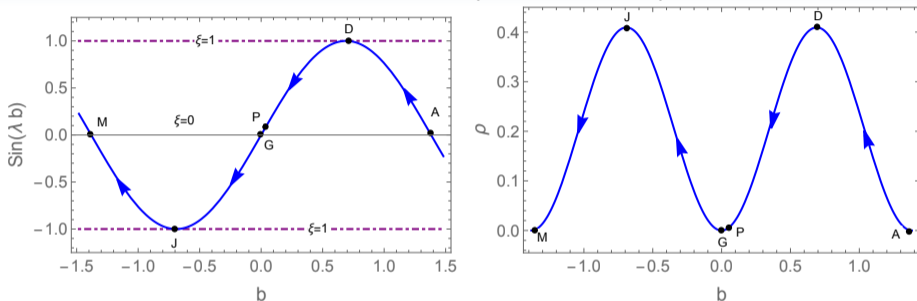


Figure: In the figure,  $\text{sin}(\lambda b)$  and  $\rho$  are depicted in the forward evolution of the universe in LQC. The arrows denote the direction of time flow. The bounce points are labelled by  $D$ ,  $J$ , and the recollapse points are labelled by  $A$ ,  $G$ ,  $M$ .  $\xi$  stands for the ratio of the energy density of the scalar field over the maximum energy density  $\rho_c$  in LQC. Point  $P$  which is close to the recollapse point  $G$  stands for the macroscopic universe representing the present epoch in this cyclic evolution.

## 2.1a The effective dynamics of mLQC-I

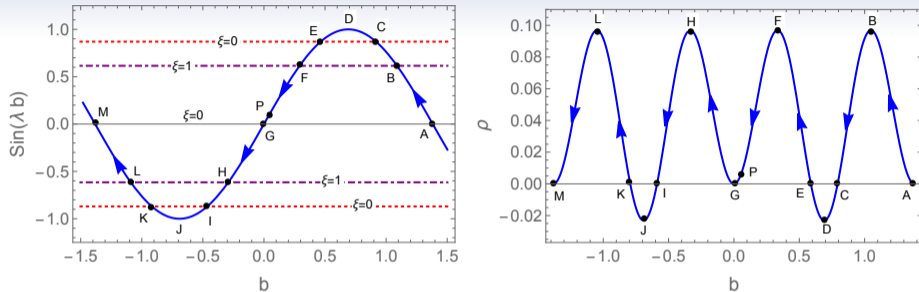


Figure: In the figure, we show the values of  $\text{sin}(\lambda b)$  and  $\rho$  in mLQC-I when the universe evolves in the direction indicated by the arrows. In the left panel,  $\xi$  stands for the ratio of the energy density over the maximum energy density in mLQC-I. Hence, the line  $\xi = 0$  corresponds to the moment when the energy density vanishes and the line  $\xi = 1$  to the moment when the energy density reaches its maximum. The  $b_+$  branches lie between points  $B - F$  and  $H - L$ , and the rest of trajectory lies in  $b_-$  branch. In the right panel, we locate the same bounce and recollapse points in the  $\rho$  vs  $b$  plot which shows the matter energy density at each corresponding point.

# The effective dynamics of mLQC-I

☞ In the  $b_-$  branch, we have [Li, Singh and Wang, PRD97, 084029(2018)]

$$H^2 = \frac{8\pi G\rho}{3} \left(1 - \frac{\rho}{\rho_c^I}\right) \left[1 + \frac{\gamma^2}{\gamma^2 + 1} \left(\frac{\sqrt{\rho/\rho_c^I}}{1 + \sqrt{1 - \rho/\rho_c^I}}\right)^2\right],$$
$$\dot{\rho} + 3H(\rho + P) = 0.$$

☞ In the limit  $\rho/\rho_c^I \ll 1$ , we find

$$H^2 \approx \frac{8\pi G}{3} \rho, \quad \frac{\ddot{a}}{a} \approx -\frac{4\pi G}{3} (\rho + 3P).$$

— GR limit

## 2.1a The effective dynamics of mLQC-I

☞ In the  $b_+$  branch, we have

$$H^2 = \frac{8\pi G_\alpha \rho_\Lambda}{3} \left(1 - \frac{\rho}{\rho_c^I}\right) \left[1 + \left(\frac{1 - 2\gamma^2 + \sqrt{1 - \rho/\rho_c^I}}{4\gamma^2 (1 + \sqrt{1 - \rho/\rho_c^I})}\right) \frac{\rho}{\rho_c^I}\right],$$
$$\dot{\rho} + 3H(\rho + P) = 0,$$

where  $G_\alpha \equiv \alpha G = (1 - 5\gamma^2)G/(1 + \gamma^2)$  and  $\rho_\Lambda \equiv 3/[8\pi G\alpha\Delta(1 + \gamma^2)^2] \simeq \mathcal{O}(\rho_{Pl})$ .

☞ In the limit  $\rho/\rho_c^I \ll 1$ , we obtain

$$H^2 \approx \frac{8\pi G_\alpha}{3} (\rho + \rho_\Lambda), \quad \frac{\ddot{a}}{a} \approx -\frac{4\pi G_\alpha}{3} (\rho + 3P - 2\rho_\Lambda)$$

— GR limit but with a modified  $G_\alpha$  and a cosmological constant  $\rho_\Lambda$

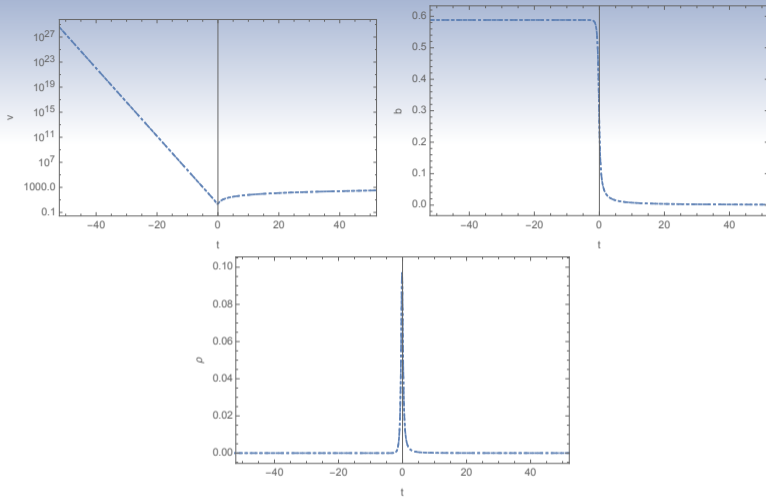


Figure: We compare the numerical results of the Hamilton's equations (dotted line) and the combination of the two branches  $b_-$  and  $b_+$  (dot-dashed line). The "initial" data are chosen at  $t_0 = 115$  with  $\rho_0 = 10^{-6}$ ,  $\rho_\phi^0 = 1$ . The bounce occurs at  $t_B = -0.158$ . [Li, Singh and Wang, PRD97, 084029(2018)]

## 2.1b The effective dynamics of mLQC-II

☞ The effective Hamiltonian constraint of mLQC-II takes the form <sup>9</sup>,

$$\mathcal{H}_{mLQC-II} = -\frac{3v}{2\pi G\lambda^2\gamma^2} \sin^2\left(\frac{\lambda b}{2}\right) \left\{1 + \gamma^2 \sin^2\left(\frac{\lambda b}{2}\right)\right\} + \mathcal{H}_M.$$

☞ Similar to mLQC-I,

$$H^2 = \frac{\sin^2(\lambda b)}{\lambda^2\gamma^2} \left\{1 + \gamma^2 - \gamma^2 \cos(\lambda b)\right\}^2,$$
$$\sin^2(\lambda b_{\pm}/2) = \frac{-1 \pm \sqrt{1 + 4\gamma^2(\gamma^2 + 1)\rho/\rho_c^{II}}}{2\gamma^2},$$

where  $\rho_c^{II} \equiv 4(1 + \gamma^2)\rho_c$ .

☞ Only  $b_+$  is physical!

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<sup>9</sup>J. Yang, Y. Ding, Y. Ma, PLB682 (2009) 1.

## 2.1b The effective dynamics of mLQC-II

☞ For the  $b_+$  branch, we have <sup>10</sup>,

$$H^2 = \frac{8\pi G\rho}{3} \left(1 + \gamma^2 \frac{\rho}{\rho_c}\right) \left(1 - \frac{(\gamma^2 + 1)\rho/\rho_c}{(1 + \sqrt{\gamma^2\rho/\rho_c + 1})^2}\right),$$
$$\dot{\rho} + 3H(\rho + P) = 0.$$

☞ In the limit  $\rho/\rho_c^I \ll 1$ , we find

$$H^2 \approx \frac{8\pi G}{3}\rho, \quad \frac{\ddot{a}}{a} \approx -\frac{4\pi G}{3}(\rho + 3P).$$

— GR limit

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<sup>10</sup> Li, Singh and Wang, PRD98, 066016(2019).

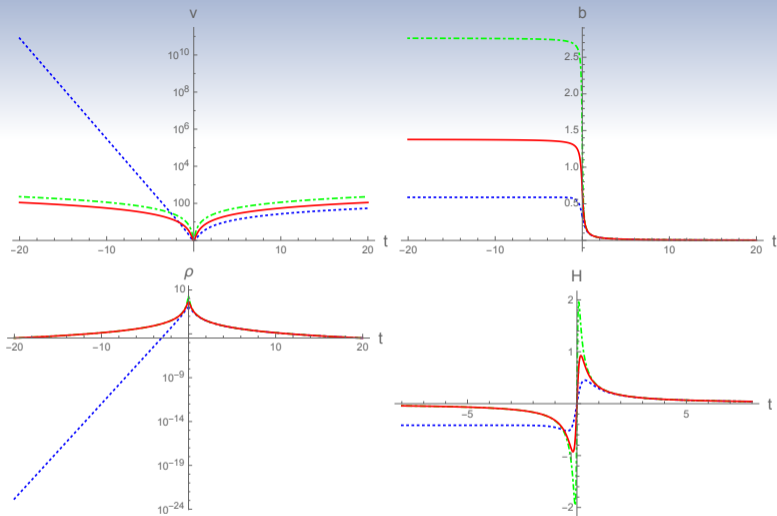


Figure: Comparison of LQC (red solid line), mLQC-I (blue dotted line) and mLQC-II (green dot-dashed line) for a massless scalar field. The initial conditions are chosen at the bounce with  $\phi_B$  set to zero and a positive  $\dot{\phi}_B$ .

## 2.2 The inflationary scenario in mLQC

- Embedding the inflationary scenario into LQC requires adding inflationary field(s) to the matter sector, for the most pragmatic purpose, we assume inflation driven by a single scalar field  $\phi$

$$\mathcal{H}_M = \frac{p_\phi^2}{2v} + vV(\phi).$$

- The background pre-inflationary dynamics in LQC has already been studied extensively<sup>11</sup>). We shall extend such studies to mLQCs, of which we shall study their general properties for inflationary paradigm.

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<sup>11</sup>P. Singh, Phys. Rev. D73, 063508 (2006); T. Zhu, A. Wang, G. Cleaver, K. Kirsten and Q. Sheng, Phys. Lett. B773, 196 (2017); Phys. Rev. D97, 043501 (2018); Phys. Rev. D96, 083520 (2017).

## 2.2 The inflationary scenario in mLQC

→ To begin with, we need to define a few physical quantities that can be used to label the different phases of the evolution of the universe.



$$\omega_\phi \equiv \frac{P(t)}{\rho(t)} = \frac{\frac{1}{2}\dot{\phi}^2 - V}{\frac{1}{2}\dot{\phi}^2 + V}.$$

It can take any value in the range of  $[-1, 1]$  for a single scalar field with positive potential.



$$\epsilon_H = -\frac{\dot{H}}{H^2}, \quad \epsilon_V = \frac{1}{16\pi G} \left( \frac{V_{,\phi}}{V} \right)^2. \quad (1)$$

The Hubble slow-roll parameter  $\epsilon_H$  is used in numerical calculations to define precisely when the slow-roll inflation begins and ends. (Note  $\ddot{a} = aH^2(1 - \epsilon_H)$ ) The potential slow-roll parameter  $\epsilon_V$  is used to determine which part of the potential is able to successfully drive the inflation.

## 2.2 The inflationary scenario in mLQC

★ The number of the inflationary e-foldings is given by

$$N_{inf} = \ln \left( \frac{a_{end}}{a_{on}} \right),$$

where  $a_{end}$  represents the scale factor at the end of the inflationary phase, and  $a_{on}$  is the scale factor at the onset of inflation.

→ For all the numerical calculations, the initial conditions are set at the bounce where

$$\frac{1}{2} \dot{\phi}_B^2 + V(\phi_B) = \rho_c^A,$$

with  $A = \text{I, II}$ . At the bounce,  $v_B = 1$ ,  $b_B = \text{fixed}$ . The parameter space is composed of  $\phi_B$  and sign of  $\dot{\phi}_B$ .

## 2.2 The inflationary scenario in mLQC

→ Here we present some of the results for two representative potentials, the **chaotic potential** and the **Starobinsky potential**.

★ The chaotic potential

$$V(\phi) = \frac{1}{2}m^2\phi^2.$$

Three distinct phases can be observed from the bounce point to the end of inflation.

- ★ The **bouncing phase** where  $\omega_\phi \approx 1$ .
- ★ The **transition phase** where  $\omega_\phi$  quickly shifts from 1 to -1.
- ★ The **inflationary phase** where  $\omega_\phi \approx -1$ .

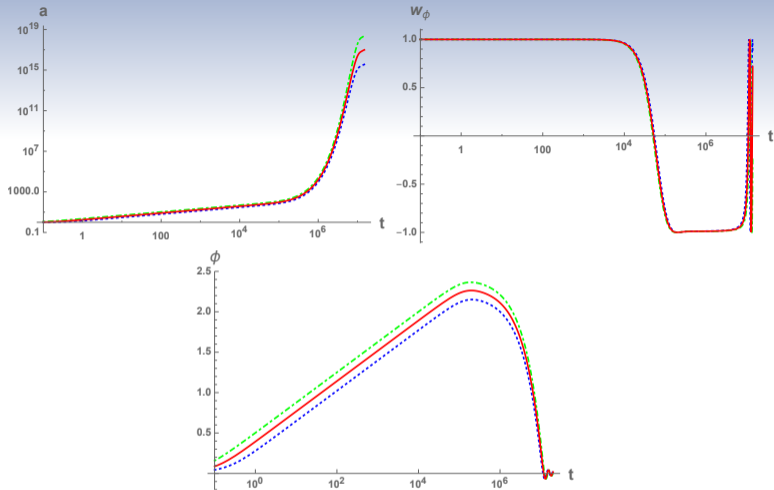


Figure: The evolution of several quantities in the post-bounce phase are depicted and compared: LQC (red solid curves), mLQC-I (blue dotted curves) and mLQC-II (green dot-dashed curves) with the chaotic potential. The initial condition is chosen at the bounce with  $\phi_B = 0, \dot{\phi}_B > 0$ . The mass of the scalar field is set to  $m = 1.26 \times 10^{-6} m_{\text{Pl}}$ .

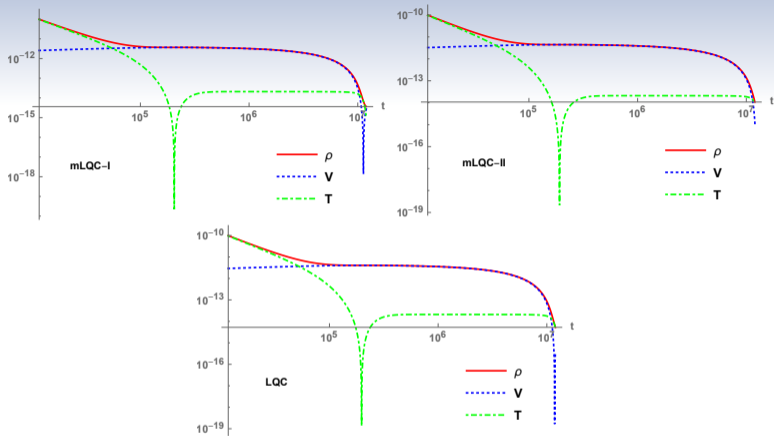


Figure: With the same initial conditions as those in the figure of the above slide, the change in energy density  $\rho$ , the KE and PE of the scalar field is shown in each of the three models, LQC, mLQC-I and mLQC-II.

# The Starobinsky potential

$$V = \frac{3m^2}{32\pi G} \left(1 - e^{-\sqrt{16\pi G/3}\phi}\right)^2.$$

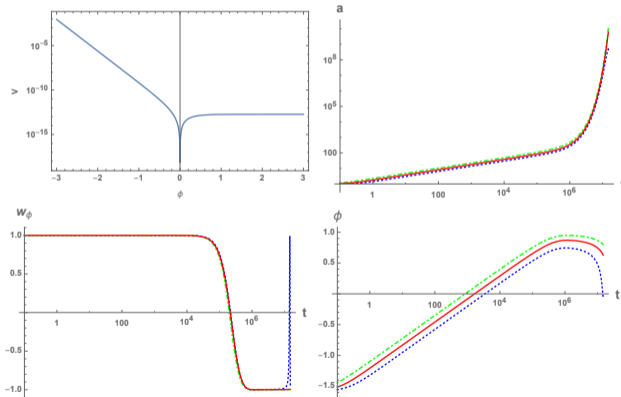


Figure: The initial condition is chosen at the bounce with  $\phi_B = -1.6 m_{\text{Pl}}$ ,  $\dot{\phi}_B > 0$ .

# Analytical solutions

- As long as the bounce is dominated by the KE, the pre-inflationary evolution of the universe is generic irrespectively of the choice of the potential.
- For both mLQC-I and mLQC-II, the approximate analytical solutions of the background dynamics can be obtained [Li, Singh and Wang, PRD98, 066016(2019)].

★ Example: The bouncing phase in mLQC-I

$$a(t) = \left[ 1 + 24\pi G \rho_c^I \left( 1 + \frac{A\gamma^2}{1+Bt} \right) t^2 \right]^{1/6},$$
$$\phi(t) = \phi_B \pm \frac{m_{\text{Pl}} \text{arcsinh} \left( \sqrt{24\pi G \rho_c^I \left( 1 + \frac{C\gamma^2}{1+Dt} \right)} t \right)}{\sqrt{12\pi G \left( 1 + \frac{C\gamma^2}{1+Dt} \right)}},$$

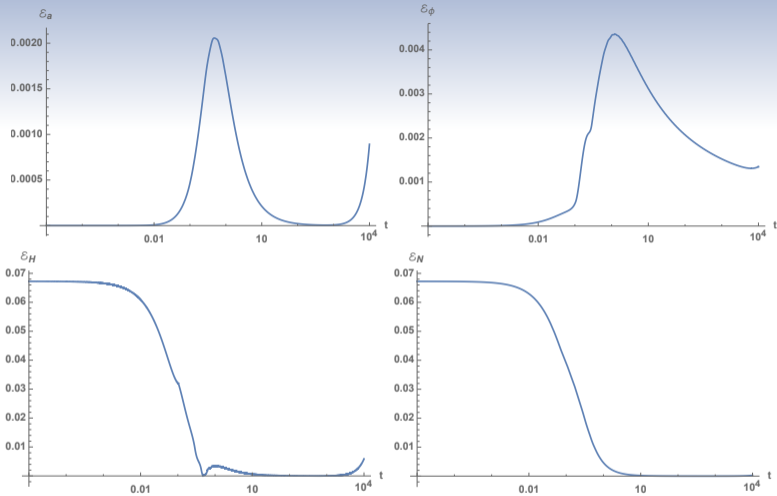
where the parameters  $A$ ,  $B$ ,  $C$  and  $D$  are fixed through least square method. The best fitting is provided by

$$A = C = 1.2, \quad B = 6, \quad D = 2.$$

# Numerical VS Analytical

Table: The analytic and numeric values of several observables are listed in a sequence of events, including Bounce, End of SI (superinflation), Equilibrium point when KE equals PE, the turnaround point when  $\dot{\phi} = 0$ , the onset of the slow-roll inflation and EOI (end of the inflation).

Event	$t_A$	$t_N$	$\phi_A$	$\phi_N$	$\dot{\phi}_A$	$\dot{\phi}_N$	$H_A$	$H_N$	$N_A$	$N_N$
Bounce	0	0	0	0	0.440	0.440	0	$1.84 \times 10^{-12}$	0	0
End of SI	0.364	0.363	0.141	0.141	0.311	0.312	0.452	0.453	0.115	0.114
KE=PE	$6.24 \times 10^4$	$5.51 \times 10^4$	2.07	2.04	$2.61 \times 10^{-6}$	$2.57 \times 10^{-6}$	$5.35 \times 10^{-6}$	$7.44 \times 10^{-6}$	4.01	4.01
$\dot{\phi} = 0$	$2.23 \times 10^5$	$2.05 \times 10^5$	2.20	2.15	$-1.06 \times 10^{-22}$	$1.14 \times 10^{-23}$	$5.68 \times 10^{-6}$	$5.55 \times 10^{-6}$	4.91	4.88
Slow-Roll	$4.82 \times 10^5$	$4.82 \times 10^5$	2.16	2.11	$-2.11 \times 10^{-7}$	$-2.03 \times 10^{-7}$	$5.57 \times 10^{-6}$	$5.45 \times 10^{-6}$	6.37	6.40
EOI	$9.63 \times 10^6$	$9.63 \times 10^6$	0.282	0.201	$-2.05 \times 10^{-7}$	$-1.79 \times 10^{-7}$	$7.27 \times 10^{-7}$	$6.36 \times 10^{-7}$	35.1	34.7
Bounce	0	0	0.917	0.917	0.440	0.440	0	$1.84 \times 10^{-12}$	0	0
End of SI	0.364	0.363	1.06	1.06	0.311	0.312	0.452	0.453	0.115	0.114
KE=PE	$4.41 \times 10^4$	$3.89 \times 10^4$	2.93	2.90	$3.70 \times 10^{-6}$	$3.66 \times 10^{-6}$	$7.56 \times 10^{-6}$	$1.06 \times 10^{-5}$	3.90	3.89
$\dot{\phi} = 0$	$1.72 \times 10^5$	$1.59 \times 10^5$	3.07	3.02	$7.94 \times 10^{-23}$	$-1.23 \times 10^{-23}$	$7.91 \times 10^{-6}$	$7.78 \times 10^{-6}$	4.90	4.88
Slow-Roll	$3.63 \times 10^5$	$3.53 \times 10^5$	3.04	2.99	$-2.10 \times 10^{-7}$	$-2.03 \times 10^{-7}$	$7.83 \times 10^{-6}$	$7.72 \times 10^{-6}$	6.41	6.38
EOI	$1.38 \times 10^7$	$1.41 \times 10^7$	0.282	0.201	$-2.05 \times 10^{-7}$	$-1.79 \times 10^{-7}$	$7.27 \times 10^{-7}$	$6.36 \times 10^{-7}$	63.9	63.0
Bounce	0	0	2.00	2.00	0.440	0.440	0	$1.84 \times 10^{-12}$	0	0
End of SI	0.364	0.363	2.14	2.14	0.311	0.312	0.452	0.453	0.115	0.114
KE=PE	$3.26 \times 10^4$	$2.87 \times 10^4$	3.97	3.93	$5.00 \times 10^{-6}$	$4.96 \times 10^{-6}$	$1.02 \times 10^{-5}$	$1.43 \times 10^{-5}$	3.80	3.79
$\dot{\phi} = 0$	$1.37 \times 10^5$	$1.27 \times 10^5$	4.11	4.06	$7.94 \times 10^{-23}$	$4.21 \times 10^{-23}$	$1.06 \times 10^{-5}$	$1.04 \times 10^{-5}$	4.89	4.87
Slow-Roll	$2.82 \times 10^5$	$2.75 \times 10^5$	4.08	4.03	$-2.09 \times 10^{-7}$	$-2.03 \times 10^{-7}$	$1.05 \times 10^{-5}$	$1.04 \times 10^{-5}$	6.42	6.41
EOI	$1.88 \times 10^7$	$1.92 \times 10^7$	0.282	0.201	$-2.05 \times 10^{-7}$	$-1.79 \times 10^{-7}$	$7.27 \times 10^{-7}$	$6.36 \times 10^{-7}$	111	109



**Figure:** Relative errors between numerical and analytical solutions for the chaotic potential. The mass of the scalar field is set to  $1.26 \times 10^{-6}$  and the initial conditions are chosen at the bounce with  $\phi_B = 0$  and  $\dot{\phi}_B > 0$ .

# The parameter space for the desired slow-roll phase

- In loop cosmological models, there are essentially two “free” parameters:  $\phi_B$  and  $m$ . Planck data is required to (partially) fix them.
- ★ For single field slow-roll inflation, the scalar power spectrum amplitude and the scalar spectral index for the pivot mode  $k_* = 0.05 \text{ Mpc}^{-1}$  is given by

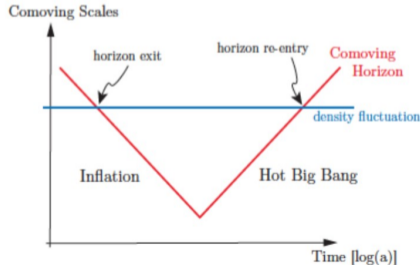
$$A_s^* = \frac{8V(\phi_*)}{3\epsilon_V(\phi_*)m_{\text{Pl}}^4}, \quad n_s^* = 1 + 2\eta_V(\phi_*) - 6\epsilon_V(\phi_*),$$

where  $\phi_*$  denotes the value of the scalar field at the moment when the pivot mode exits the horizon during inflation and  $\eta_V = \frac{V_{,\phi\phi}}{8\pi GV}$ . As a result, the mass of the inflaton and  $\phi_*$  can be found from  $A_s$  and  $n_s$ .

# The parameter space for the desired slow-roll phase

**Table:** In this table, we list the parameters in the inflationary potentials, the e-folds for the desired slow-roll inflation and the values of the scalar field at the horizon crossing and the end of inflation.

Inflationary Potentials	$V(\phi)$	$\phi_{\text{end}}/m_{\text{Pl}}$	$\phi_*/m_{\text{Pl}}$	Parameter	$N_*$
Chaotic	$\frac{1}{2} m^2 \phi^2$	$\pm \frac{1}{2\sqrt{\pi}} \approx \pm 0.282$	$\pm 3.02$	$m = 1.26 \times 10^{-6} m_{\text{Pl}}$	56.6
Starobinsky	$\frac{3m^2}{32\pi G} \left( 1 - e^{-\sqrt{\frac{16\pi}{3m_{\text{Pl}}^2}} \phi} \right)^2$	$\frac{1}{4} \sqrt{\frac{3}{\pi}} \ln \left( 1 + \frac{2}{\sqrt{3}} \right) \approx 0.188$	1.07	$m = 2.49 \times 10^{-6} m_{\text{Pl}}$	55.0



# The parameter space for the desired slow-roll phase

→ For the desired slow-roll phase,  $N_{inf} \geq 56.6$  for the chaotic potential and  $N_{inf} \geq 55.0$  for the Starobinsky potential.

★ For the chaotic potential, the range of  $\phi_B$  (with  $\dot{\phi}_B > 0$ ) which allows for at least  $N_*$  inflationary e-folds in each model is given by

$$\phi_B^I \in (-\phi_{\max}^I, -5.518 m_{\text{Pl}}) \cup (0.917 m_{\text{Pl}}, \phi_{\max}^I),$$

$$\phi_B^{II} \in (-\phi_{\max}^{II}, -5.386 m_{\text{Pl}}) \cup (0.689 m_{\text{Pl}}, \phi_{\max}^{II}),$$

where  $\phi_{\max}^I = 3.49 \times 10^5 m_{\text{Pl}}$ ,  $\phi_{\max}^{II} = 1.48 \times 10^6 m_{\text{Pl}}$  are the maximum value of  $\phi_B$  in mLQC-I/II.

★ For the Starobinsky potential,

$$\phi_B^I \geq -1.349 m_{\text{Pl}} \text{ when } \dot{\phi}_B^I > 0, \phi_B^I \geq 3.499 m_{\text{Pl}} \text{ when } \dot{\phi}_B^I < 0$$

$$\phi_B^{II} \geq -1.577 m_{\text{Pl}} \text{ when } \dot{\phi}_B^{II} > 0, \phi_B^{II} \geq 3.722 m_{\text{Pl}} \text{ when } \dot{\phi}_B^{II} < 0.$$

## The physical measure on the bounce surface

- To consider the probability of the slow-roll inflation in the modified LQC models, the symplectic form on the four-dimensional phase space spanned by  $v, b$  from the gravitational sector and  $\phi, p_\phi$  from the matter sector is

$$\Omega = dp_\phi \wedge d\phi + \frac{dv \wedge db}{4\pi G\gamma}.$$

The vanishing of the effective Hamiltonian constraint reduces the four-dimensional phase space to the hypersurface  $\bar{\Gamma}$ , on which we have

$$\mathcal{C} = 16\pi G \left\{ \mathcal{H}_{grav}(v, b) + \frac{p_\phi^2}{2v} + vV(\phi) \right\} \simeq 0,$$

where “ $\simeq$ ” means that the equality holds only on  $\bar{\Gamma}$ .

# The physical measure on the bounce surface

→ On the constraint surface, we can solve for the momentum  $p_\phi$ , yielding

$$p_\phi = v \left\{ -2 \left[ \hat{\mathcal{H}}_{grav} + V(\phi) \right] \right\}^{1/2},$$

with

$$\hat{\mathcal{H}}_{grav}^A \equiv v^{-1} \mathcal{H}_{grav}^A(v, b_0).$$

→ Then on the bounce surface with the gauge fixing condition  $b = b_B$ , from the expression of  $p_\phi$ , we can find

$$dp_\phi = \frac{p_\phi}{v} dv - \frac{v^2 V_{,\phi}}{p_\phi} d\phi.$$

→ This can lead to the Liouville measure  $d\hat{\mu}_L$  on the bounce surface

$$d\hat{\mu}_L^A = \left\{ -2 \left[ \hat{\mathcal{H}}_{grav}^A(b_B) + V(\phi) \right] \right\}^{1/2} d\phi dv.$$

## The physical measure on the bounce surface

- Since the rescaling of  $v$  amounts to a gauge transformation and the Liouville measure does not depend on the volume, we can simply drop  $dv$ , arriving at the measure for the space of physically distinct solutions

$$d\omega = \left\{ -2 \left[ \hat{\mathcal{H}}_{grav}(b_B) + V(\phi) \right] \right\}^{1/2} d\phi = (2\rho_c - 2V)^{\frac{1}{2}} d\phi.$$

- With this measure and the allowed range of the inflaton field for the desired slow-roll inflation, we can compute the probability of slow-roll inflation for the chaotic inflation <sup>12</sup>.

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<sup>12</sup>A. Ashtekar and D. Sloan, Gen. Relativ. Gravit. 43, 3619 (2011)

# The probability of slow-roll inflation in mLQCs

→ For mLQC-I and mLQC-II, we can employ the same form of the physical measure as long as the maximum energy density is replaced by the one in each model.

★ Specifically, the probability for the desired slow-roll not to happen is

$$P^{\text{I}}(\text{not realized}) \lesssim \frac{\int_{-5.158}^{0.917} d\omega^{\text{I}}}{\int_{-\phi_{\text{max}}^{\text{I}}}^{\phi_{\text{max}}^{\text{I}}} d\omega^{\text{I}}} \simeq 1.12 \times 10^{-5},$$

where  $\phi_{\text{max}}^{\text{I}}$  is the maximum value of the inflaton field allowed for the chaotic potential.

★ The probability for the desired slow-roll not to happen in mLQC-II is

$$P^{\text{II}}(\text{not realized}) \lesssim 2.62 \times 10^{-6}.$$

★ The probability for desired slow roll inflation not to occur in LQC is

$$P^{\text{LQC}}(\text{not realized}) \lesssim 2.74 \times 10^{-6}.$$

## 2.2a The Qualitative Dynamics

- **Qualitative dynamics**<sup>13</sup> plays an important role to visualize the collective behavior of the solutions of the dynamical system.
- In the cosmological settings, the phase space portraits are usually used to identify the slow-roll inflationary separatrices as well as the fixed points of the dynamical systems.
- In LQC, qualitative dynamics has been already studied for several potentials, including **chaotic, Starobinsky, and  $\alpha$ -attractor** [ P. Singh and K. Vandersloot, PRD72, 084004 (2005); E. Ranken, P. Singh, PRD85, 104002 (2012); A. Ashtekar and D. Sloan, GRG43, 3619 (2011); A. Corichi and A. Karami, PRD83, 104006 (2011); I. Agullo, A. Ashtekar and W. Nelson, CQG30, 085014 (2013); B. Bonga and B. Gupta, PRD93, 063513 (2016); T. Zhu, A.W. G. Cleaver, K. Kirsten and Q. Sheng, PLB773, 196 (2017); PRD96, 083520 (2017); M. Shahalam, M. Sharma, Q. Wu, A.W, PRD96, 123533 (2017)].

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<sup>13</sup> Oleg I. Bogoyavlensky, *Methods in the Qualitative Theory of Dynamical Systems in Astrophysics and Gas Dynamics*, Springer-Verlag Berlin Heidelberg, 1985.

## 2.2a The Qualitative Dynamics

- The qualitative dynamics of mLQC-I/II is studied in the cases of the chaotic, Starobinsky, monodromy, non-minimal Higgs, and exponential potentials<sup>14</sup>.
- In the following I shall only talk about the results of the chaotic potential for the pedagogical purpose.

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<sup>14</sup>B.-F. Li, P. Singh, A. W, Phys. Rev. D98, 066016.

## 2.2a The Qualitative Dynamics

- To analyze the qualitative dynamics, the system under study should be converted into an autonomous system which is described by a set of first-order equations (usually  $n$ -dimensional)

$$\begin{aligned}\dot{X} &= f(X, Y), \\ \dot{Y} &= g(X, Y).\end{aligned}$$

- The fixed point  $(X_0, Y_0)$  is one of the solutions of the system which satisfies

$$\begin{aligned}f(X_0, Y_0) &= 0, \\ g(X_0, Y_0) &= 0.\end{aligned}$$

- One important object that determines the stability of the fixed point  $(X_0, Y_0)$  is the Jacobian matrix evaluated at  $(X_0, Y_0)$  which is defined by

$$J = \begin{vmatrix} \partial_{X_0} f & \partial_{Y_0} f \\ \partial_{X_0} g & \partial_{Y_0} g \end{vmatrix},$$

## 2.2a The Qualitative Dynamics

- If the real parts of all the eigenvalues of  $J$  are negative, then the fixed point is locally stable.
- If the real part of at least one of the eigenvalues of  $J$  is positive, then the fixed point is locally unstable.
- The fixed points whose stability can be determined from the above criteria are called simple fixed points.

## 2.2a The Qualitative Dynamics

- In mLQCs, there are four phase space variables  $v$ ,  $b$ ,  $\phi$  and  $p_\phi$ , among which the inflationary phase is directly related with the latter two variables.
  - ★ Converting the Klein-Gordon equation  $\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$ , into a set of two first-order ODEs. In the chaotic potential, we define

$$X = \frac{m\phi}{\sqrt{2\rho_c^A}} \quad Y = \frac{\dot{\phi}}{\sqrt{2\rho_c^A}},$$

leading to

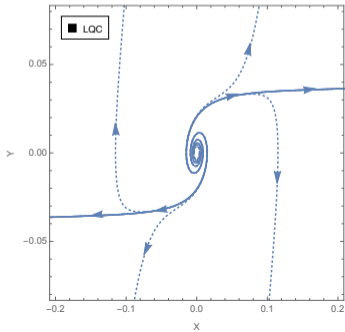
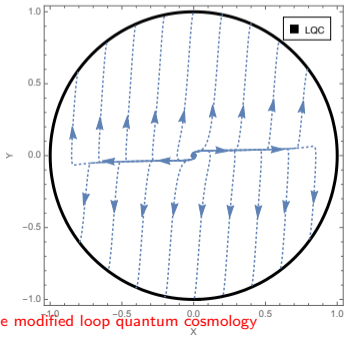
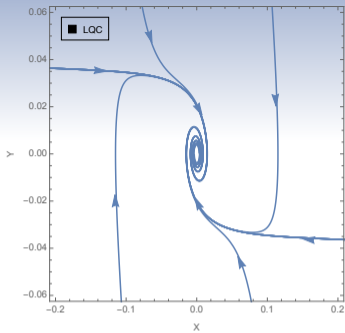
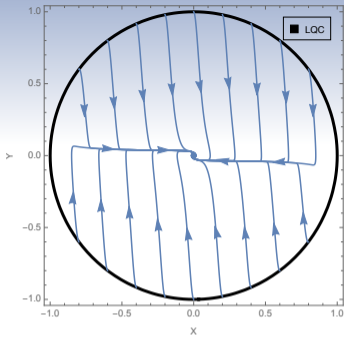
$$\dot{X} = mY, \quad \dot{Y} = -mX - 3HY.$$

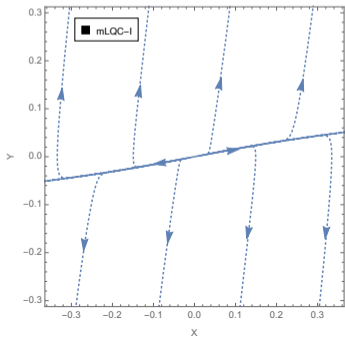
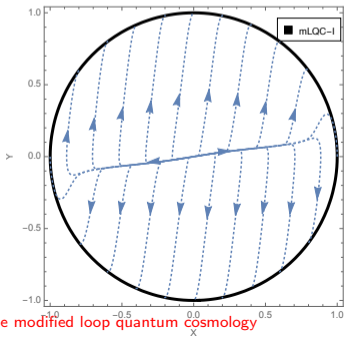
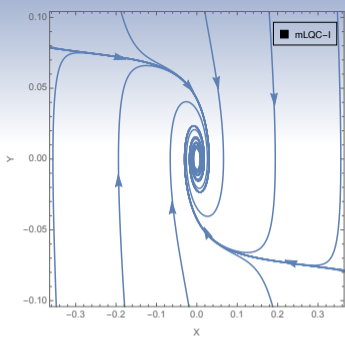
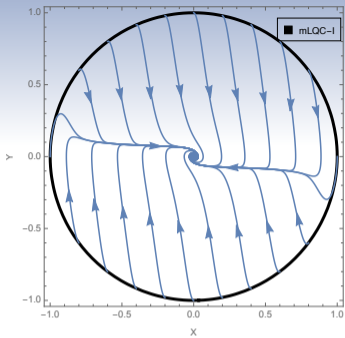
- ★ The fixed point locates at  $(X, Y) = (0, 0)$ .
- ★ At the bounce point,  $X^2 + Y^2 = 1$ .

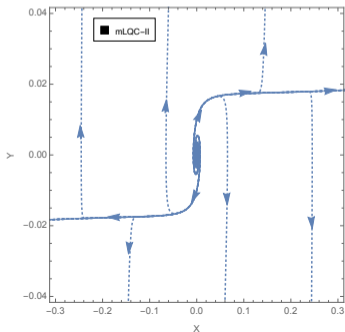
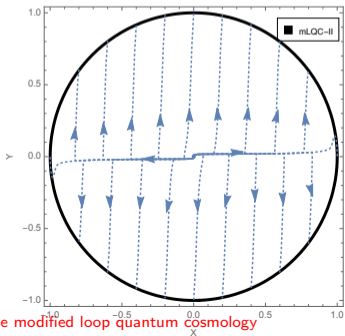
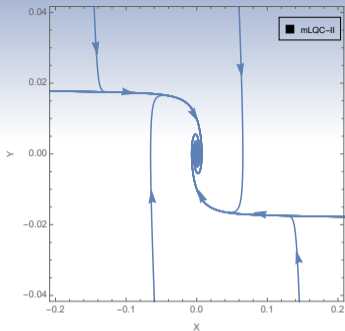
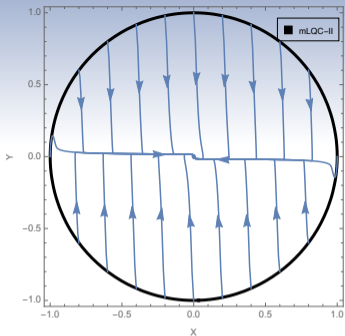
## 2.2a The Qualitative Dynamics

- In LQC, mLQC-II and the expanding phase of mLQC-I, the eigenvalues of the Jacobian matrix at  $(X = 0, Y = 0)$  are  $\pm im$ .
- In the contracting phase of mLQC-I, the eigenvalues of the Jacobian matrix at  $(X = 0, Y = 0)$  are

$$J_{\pm} = \frac{1}{2\lambda(1 + \gamma^2)} \left( 3 \pm \sqrt{9 - 4m^2\lambda^2(1 + \gamma^2)^2} \right).$$







The background dynamics of the modified loop quantum cosmology

### 3. The linear perturbations in the modified loop quantum cosmology

## 3.1 The dressed metric and the hybrid approaches

- 👁 In standard LQC, the evolution of the cosmological linear perturbations on the quantum background spacetime has been extensively investigated in four approaches:
  - ★ The dressed metric approach [Agullo, Ashtekar, Nelson, PRL109, 251301 (2012); PRD87,043507 (2013); Class. Quant. Grav. 30,085014 (2013)]
  - ★ The hybrid approach [Gomar, et al, PRD90,064015 (2014); JCAP 1506, 045 (2015); PRD96, 103528 (2017) ]
  - ★ The deformed algebra approach [Bojowald, et al, PRD78,063547 (2008); Cailleteau, et al, PRD86,087301 (2012); Class. Quant. Grav 29, 095010 (2012); PRD96, 103528 (2017) ]
  - ★ The separate universe approach [Wilson-Ewing, Int. J. Mod. Phys. D25, 1642002 (2016) ]
- 👁 The dressed metric and the hybrid approaches have been extended to mLQC-I/II [I. Agullo, Gen. Rel. Grav. 50 (2018) 91; Li, Olmedo, Singh, Wang, PRD102, 126025 (2020); Li, Singh, Wang, PRD101, 086004 (2020); B. E. Navascués and G. A. M. Marugán, Class. Quant. Grav. 39, no.1, 015017 (2022). ] .

# General view: the dressed metric approach v.s. the hybrid approach

## 👁 Similarities of two approaches

- ★ Based on the classical formulation of the perturbation theory. The dressed metric approach is based on the work by Langlois [Class. Quant. Grav. 11,389 (1994)] while the hybrid approach on the seminal work by Halliwell and Hawking [PRD31, 1777(1985)].
- ★ The background is polymer quantized while the linear perturbations are Fock quantized.
- ★ Practically, the backreaction of the linear perturbations is ignored and we make use of the test-field approximation so that the effective dynamics in LQC is valid.

# General view: the dressed metric approach v.s. the hybrid approach

## 👁 Major differences of two approaches <sup>15</sup>

- ★ Fock quantization of the linear perturbations is implemented with respect to different gauge invariant variables:

The dressed metric approach: Mukhanov-Sasaki variable  $Q_{\vec{k}}$

The hybrid approach: the rescaled variable  $\nu_{\vec{k}} = aQ_{\vec{k}}$

- ★ Different forms of the classical mass function which appears in the Mukhanov-Sasaki equation

$$\nu_{\vec{k}}'' + (k^2 + m^2) \nu_{\vec{k}} = 0,$$

are polymerized.

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<sup>15</sup> B.-F. Li, P. Singh, Phys. Rev. D106, 086015.

# Linear perturbation theory in the Hamiltonian formulation

- Consider a spatially flat homogeneous and isotropic FLRW universe filled with a scalar field.
- In ADM decomposition, a 4-dimensional manifold  $\mathcal{M}$  can be decomposed into  $\mathcal{M} := \Sigma \times \mathbb{R}$ , where  $\Sigma$  is the spacelike hypersurface and  $\mathbb{R}$  denotes the real line. Correspondingly, the 4-metric  $g_{\mu\nu}$  can be expressed in terms of the lapse  $N$ , the shift  $N^i$  and the 3-metric  $\gamma_{ij}$ .
- Following Langlois' approach, the lapse and the shift are treated as the Lagrange multipliers. Then the classical phase space is composed of  $\Gamma = \{\gamma_{ij}, \pi^{ij}, \Phi, \pi_\Phi\}$  and the total action can then be written in the form

$$S = \int d^4x \left( \pi_\Phi \dot{\Phi} + \pi^{ij} \dot{\gamma}_{ij} - N\mathcal{H} - N^i \mathcal{H}_i \right).$$

# Linear perturbation theory in the Hamiltonian formulation

⇒ In the above action, the scalar and the vector constraints are given by

$$\begin{aligned}\mathcal{H} &= \frac{2\kappa}{\sqrt{\gamma}} \left( \pi^{ij} \pi_{ij} - \frac{\pi^2}{2} \right) - \frac{\sqrt{\gamma} {}^{(3)}R}{2\kappa} + \frac{\pi_\Phi^2}{2\sqrt{\gamma}} \\ &+ \sqrt{\gamma} U + \frac{\sqrt{\gamma}}{2} \partial_i \Phi \partial^i \Phi, \\ \mathcal{H}_i &= -2\partial_j (\gamma_{ik} \pi^{kj}) + \pi^{jk} \partial_i \gamma_{jk} + \pi_\Phi \partial_i \Phi.\end{aligned}$$

⇒ With the decomposition of the phase space variables into the homogeneous sector plus the inhomogeneous perturbations,

$$\begin{aligned}\Phi &= \bar{\phi}(t) + \delta\phi(t, \vec{x}), \quad \pi_\Phi = \bar{\pi}_\phi(t) + \delta\pi_\phi(t, \vec{x}), \\ \gamma_{ij} &= \bar{\gamma}_{ij}(t) + \delta\gamma_{ij}(t, \vec{x}), \quad \pi^{ij} = \bar{\pi}^{ij}(t) + \delta\pi^{ij}(t, \vec{x}).\end{aligned}$$

# Linear perturbation theory in the Hamiltonian formulation

- ↻ The scalar and the vector constraints can be expanded in the ascending powers of the perturbations. Up to the second order in perturbations, they can be written as

$$\mathcal{H} = \mathcal{H}^{(0)} + \mathcal{H}^{(1)} + \mathcal{H}^{(2)}, \quad \mathcal{H}_i = \mathcal{H}_i^{(1)} + \mathcal{H}_i^{(2)}.$$

- ↻ Considering the simplest cosmological setting—the spatially flat homogeneous and isotropic FLRW background, the zeroth-order Hamiltonian constraint is given by

$$\mathcal{H}^{(0)} = -\frac{\kappa\pi_a^2}{12a} + \frac{\bar{\pi}_\phi^2}{2a^3} + a^3 U,$$

where we used  $\bar{\gamma}_{ij} = a^2\delta_{ij}$  and  $\bar{\pi}^{ij} = \pi_a\delta^{ij}/6a$  with  $\pi_a = -6Ha^2/(N\kappa)$ .

# Linear perturbation theory in the Hamiltonian formulation

↪ At the zeroth order,

$$H_0 = \int d^3x N\mathcal{H}^{(0)} = NV_0 \left( -\frac{\kappa\pi_a^2}{12a} + \frac{\bar{\pi}_\phi^2}{2a^3} + a^3 U \right).$$

↪ Now using the relations

$$v = V_0 a^3, \quad p_\phi = \bar{\pi}_\phi V_0, \quad \pi_a = \frac{-6c|p|^{1/2}}{\gamma\kappa V_0^{2/3}},$$

we can recover the classical background Hamiltonian constraint

$$H_0 = -\frac{3vb^2}{8\pi G\gamma^2} + \frac{p_\phi^2}{2v} + vU(\phi).$$

# Linear perturbation theory in the Hamiltonian formulation

- Next, we need to deal with the first-order scalar constraint  $\mathcal{H}^{(1)}$  and first-order vector constraint  $\mathcal{H}_i^{(1)}$ .
- To proceed, it is more convenient to work in the momentum space in which linear perturbations are expanded in terms of the Fourier series as for instance

$$\delta\phi(t, \vec{x}) = \sum_{\vec{k}} \delta\phi_{\vec{k}}(t) e^{i\vec{k}\cdot\vec{x}},$$

with its Fourier coefficients given by

$$\delta\phi_{\vec{k}}(t) = \frac{1}{V_o} \int d^3x \delta\phi(t, \vec{x}) e^{-i\vec{k}\cdot\vec{x}}.$$

# Linear perturbation theory in the Hamiltonian formulation

- ↪ For the metric perturbations  $\delta\gamma_{ij}$  and their conjugate momenta  $\delta\pi^{ij}$ , one can introduce six orthonormal bases  $A_{ij}^m$  with  $m = 1, 2, \dots, 6$ . In terms of these bases, we can separate different modes as

$$\delta\gamma_{ij} = \gamma_m A_{ij}^m, \quad \delta\pi^{ij} = \pi^m A_m^{ij},$$

where  $\gamma_1, \gamma_2$  are the scalar modes,  $\gamma_3, \gamma_4$  are the vector modes and  $\gamma_5, \gamma_6$  the tensor modes.

★

$$A_{ij}^1 = \frac{1}{\sqrt{3}} \bar{\gamma}_{ij}, \quad A_{ij}^2 = \sqrt{\frac{3}{2}} \left( \hat{k}_i \hat{k}_j - \frac{\bar{\gamma}_{ij}}{3} \right), \quad A_{ij}^3 = \sqrt{\frac{1}{2}} \left( \hat{k}_i \hat{x}_j + \hat{k}_j \hat{x}_i \right),$$
$$A_{ij}^4 = \sqrt{\frac{1}{2}} \left( \hat{k}_i \hat{y}_j + \hat{y}_j \hat{k}_i \right), \quad A_{ij}^5 = \sqrt{\frac{1}{2}} \left( \hat{x}_i \hat{x}_j - \hat{y}_i \hat{y}_j \right), \quad A_{ij}^6 = \sqrt{\frac{1}{2}} \left( \hat{x}_i \hat{y}_j + \hat{x}_j \hat{y}_i \right).$$

# Linear perturbation theory in the Hamiltonian formulation

- Now we can express the first-order scalar constraint in terms of the scalar modes and the perturbations in the scalar field  $\delta\phi$  and its momentum  $\delta\pi_\phi$ , it turns out

$$\mathcal{H}^{(1)} = f(\gamma_1, \gamma_2, \pi^1, \delta\phi, \delta\pi_\phi) = 0.$$

- Regarding the first-order vector constraint  $\mathcal{H}_i^{(1)}$ , we have

$$\star k^i \mathcal{H}_i^{(1)} = g(\gamma_1, \gamma_2, \pi^1, \pi^2, \delta\phi) = 0,$$

$$\star x^i \mathcal{H}_i^{(1)} = f_1(\gamma_3, \pi^3) = 0,$$

$$\star y^i \mathcal{H}_i^{(1)} = f_2(\gamma_4, \pi^4) = 0.$$

- Counting the degrees of freedom in configuration space:

Scalar modes: 3; Vector modes: 2; Tensor modes: 2.

Number of constraints: 4

Physical degrees of freedom:  $3 - 1$  scalar mode  $+ 2$  tensor modes

# Linear perturbation theory in the Hamiltonian formulation

- ⦿  $\mathcal{H}^{(1)}$  and the longitudinal part of  $\mathcal{H}_i^{(1)}$  constrain the scalar modes while the transverse part of  $\mathcal{H}_i^{(1)}$  constrain the vector modes.
- ⦿ To proceed, two possible approaches:
  1. Choosing a particular gauge (dressed metric approach, hybrid approach) and then working in the reduced phase space
  2. Constructing gauge-invariant variables and finding their second-order Hamiltonian (hybrid approach)

# Linear perturbation theory in the Hamiltonian formulation

- ☞ Here we adopt the first approach—choosing the spatially flat gauge,  $\gamma_1 = \gamma_2 = 0$ . Combining with  $\mathcal{H}^{(1)} = k^i \mathcal{H}_i^{(1)} = 0$ , leaving only  $\delta\phi_{\vec{k}}$ , its second-order Hamiltonian<sup>16</sup>

$$\mathbf{H}^{(2)} = NV_o \sum_{\vec{k}^+} \mathcal{H}_{\text{SF}}^{(2)},$$

with

$$\mathcal{H}_{\text{SF}}^{(2)} = \frac{|\delta\pi_{\phi_{\vec{k}}}|^2}{a^3} - \frac{3\bar{\pi}_\phi^2}{\pi_a a^4} \left( \delta\phi_{\vec{k}} \delta\pi_{\phi_{-\vec{k}}} + \delta\phi_{-\vec{k}} \delta\pi_{\phi_{\vec{k}}} \right) + \aleph |\delta\phi_{\vec{k}}|^2,$$

and

$$\aleph = ak^2 + \frac{3\kappa\bar{\pi}_\phi^2}{2a^3} - 6a^2 U_{,\bar{\phi}} \frac{\bar{\pi}_\phi}{\pi_a} + a^3 U_{,\bar{\phi}\bar{\phi}}.$$

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<sup>16</sup>Li,Singh, PRD106, 086015 (2022).

# Linear perturbation theory in the Hamiltonian formulation

- Next we need to remove the cross terms in the second-order Hamiltonian constraint, to achieve this, we use the new variables

$$Q_{\vec{k}} = \delta\phi_{\vec{k}}, \quad P_{Q_{\vec{k}}} = \delta\pi_{\phi_{\vec{k}}} - \frac{3\bar{\pi}_{\phi}^2}{a\pi_a} \delta\phi_{\vec{k}}.$$

The corresponding second-order Hamiltonian takes the form

$$\tilde{\mathcal{H}}^{(2)} = \frac{|P_{Q_{\vec{k}}}|^2}{a^3} + a(k^2 + \Omega^2) |Q_{\vec{k}}|^2,$$

with

$$\Omega^2 = \frac{3\kappa\bar{\pi}_{\phi}^2}{a^4} - 18\frac{\bar{\pi}_{\phi}^4}{\pi_a^2 a^6} - 12a\frac{\bar{\pi}_{\phi} U_{,\bar{\phi}}}{\pi_a} + a^2 U_{,\bar{\phi}\bar{\phi}},$$

leading to the **Mukhanov-Sasaki** (MS) equation

$$\ddot{Q}_{\vec{k}} + 3H\dot{Q}_{\vec{k}} + \frac{k^2 + \Omega^2}{a^2} Q_{\vec{k}} = 0.$$

# Linear perturbation theory in the Hamiltonian formulation

- 👁 In order to remove the first-order derivative term, one can define  $\nu_{\vec{k}} = aQ_{\vec{k}}$ , resulting in the alternative form of MS equation

$$\nu_{\vec{k}}'' + \left( k^2 + \Omega^2 - \frac{a''}{a} \right) \nu_{\vec{k}} = 0,$$

where we define the classical mass function

$$m_{\text{SF}}^2 = \Omega^2 - \frac{a''}{a}.$$

It is the mass squared of the Harmonic oscillator when  $m_{\text{SF}}^2$  is time-independent.

# Linear perturbation theory in the Hamiltonian formulation

⇒ On the other hand, one can directly use the rescaled variable by requiring

$$\nu_{\vec{k}} = a\delta\phi_{\vec{k}}, \quad \pi_{\nu_{\vec{k}}} = \frac{\delta\pi_{\phi_{\vec{k}}}}{a} - \frac{3\bar{\pi}_{\phi}^2}{\pi_a a^2} \delta\phi_{\vec{k}} - \frac{a}{6} \kappa \pi_a \delta\phi_{\vec{k}}.$$

⇒ The corresponding second-order Hamiltonian takes the form

$$\tilde{\mathcal{H}}^{(2)} = \frac{|\pi_{\nu_{\vec{k}}}|^2}{a} + \frac{1}{a} (k^2 + \tilde{m}_{\text{SF}}^2) |\nu_{\vec{k}}|^2,$$

where the classical mass function is given by

$$\tilde{m}_{\text{SF}}^2 = -\frac{27\bar{\pi}_{\phi}^4}{2\pi_a^2 a^6} + \frac{5\kappa\bar{\pi}_{\phi}^2}{2a^4} + \frac{9\bar{\pi}_{\phi}^2 U}{\pi_a^2} - 12aU_{,\bar{\phi}} \frac{\bar{\pi}_{\phi}}{\pi_a} - \frac{\kappa^2 \pi_a^2}{72a^2} + a^2 U_{,\bar{\phi}\bar{\phi}} - \frac{\kappa}{2} a^2 U.$$

# Linear perturbation theory in the Hamiltonian formulation

- ★ In the classical theory, all the above analyses converge to the same mass function in the Mukhanov-Sasaki equation of  $\nu_{\vec{k}}$  on the classical trajectories of the Friedmann dynamics since the difference between two forms of the mass function turns out to be

$$\delta m_{\text{SF}}^2 = m_{\text{SF}}^2 - \tilde{m}_{\text{SF}}^2 = - \left( \frac{9\bar{\pi}_\phi^2}{a^3\pi_a^2} + \frac{\kappa}{6a} \right) \mathcal{H}^{(0)} \approx 0.$$

- ★ The classical mass functions resulting from other gauges are also equivalent to the one in the spatially flat gauge when the background constraint is satisfied.

# Polymerization of the classical mass functions in LQC

- Next we discuss the polymerization of the classical mass function when the effective dynamics is valid. Under this assumption, in the classical mass functions, only  $1/\pi_a^2$  and  $1/\pi_a$  need to be polymerized.
- Consistent with the polymerization of the background dynamics, we require

$$\frac{1}{\pi_a^2} = \frac{\kappa^2 \gamma^2}{36 a^4 b^2} \rightarrow \frac{\kappa^2 \gamma^2 \lambda^2}{36 v^{4/3} \sin^2(\lambda b)} = \frac{\kappa}{12 v^{4/3} \rho},$$

where  $b = c/|p|^{1/2}$ .

- While for  $1/\pi_a$ , we require

$$\frac{1}{\pi_a} = -\frac{\kappa \gamma}{6 a^2 b} \rightarrow -\frac{\kappa \gamma \lambda \cos(\lambda b)}{6 a^2 \sin(\lambda b)} = -\frac{H}{2 v^{2/3} \rho}.$$

# The effective mass functions in LQC

⇒ The effective mass function in the **dressed metric approach** takes the form

$$m_{\text{eff}}^2 = -\frac{4\pi G}{3} a^2 \rho \left(1 + 2\frac{\rho}{\rho_c}\right) + 4\pi G a^2 P \left(1 - 2\frac{\rho}{\rho_c}\right) + \mathfrak{U},$$

⇒ The effective mass function in the **hybrid approach** reads

$$\tilde{m}_{\text{eff}}^2 = -\frac{4\pi G}{3} a^2 (\rho - 3P) + \mathfrak{U},$$

with

$$\mathfrak{U} = a^2 \left( U_{,\bar{\phi}\bar{\phi}} + 48\pi G U + 6H \frac{\dot{\bar{\phi}}}{\rho} U_{,\bar{\phi}} - \frac{48\pi G}{\rho} U^2 \right).$$

and  $U$  stands for the potential of the scalar field.

# The effective mass functions in mLQCs

∞ It is then straightforward to extend the dressed metric approach in LQC to mLQC-I and mLQC-II.

$$\star \text{ mLQC-I: } b_1^2 \rightarrow -\frac{\gamma^2}{\lambda^2} \left\{ \sin^2(\lambda b) - \frac{\gamma^2+1}{4\gamma^2} \sin^2(2\lambda b) \right\} \rightarrow \frac{1}{\pi_a^{\text{I}^2}} = \frac{64\pi^2 G^2 \gamma^2 \lambda^2}{9a^4 \left( (1+\gamma^2) \sin^2(2\lambda b) - 4\gamma^2 \sin^2(\lambda b) \right)}$$

$$\star \text{ mLQC-II: } b_{\text{II}}^2 \rightarrow \frac{4}{\lambda^2} \sin^2\left(\frac{\lambda b}{2}\right) \left\{ 1 + \gamma^2 \sin^2\left(\frac{\lambda b}{2}\right) \right\} \rightarrow \frac{1}{\pi_a^{\text{II}^2}} = \frac{4\pi^2 \gamma^2 \lambda^2}{9a^4 \sin^2(\lambda b/2) (1 + \gamma^2 \sin^2(\lambda b/2))}$$

$$\star \text{ mLQC-I: } \frac{1}{\pi_a^{\text{I}}} = \frac{8\pi G \gamma \lambda \tilde{\Theta}(b)}{3a^2 \sqrt{(1+\gamma^2) \sin^2(2\lambda b) - 4\gamma^2 \sin^2(\lambda b)}}, \text{ with } \tilde{\Theta}(b) = 1 - 2(1 + \gamma^2) \sin^2(\lambda b).$$

$$\star \text{ mLQC-II: } \frac{1}{\pi_a^{\text{II}}} = -\frac{2\pi \gamma \lambda \cos(\lambda b/2)}{3a^2 \sin(\lambda b/2) \sqrt{1 + \gamma^2 \sin^2(\lambda b/2)}}.$$

## 3.2 Matching with CMB

- ☞ In the dressed metric and the hybrid approaches in LQC and mLQCs, the linear perturbations obey the MS equation

$$\nu_{\vec{k}}'' + (k^2 + m_{\text{eff}}^2) \nu_{\vec{k}} = 0.$$

- ☞ The comoving curvature perturbation observed from CMB can be computed from

$$P_{\mathcal{R}_k} = \frac{k^3}{2\pi^2} \frac{|\nu_k|^2}{z_s^2},$$

where  $z_s = a\dot{\phi}/H$  and all the relevant quantities are evaluated at the end of inflation.

- ☞ Therefore, the MS equation should be evolved from some initial time to the end of inflation. The choice of the initial states is a long-standing problem since loop cosmological models have a contracting phase beyond the bounce point, we choose the initial state in the distant past of the contracting phase when all the relevant modes are inside the Hubble horizon.

## 3.2 Matching with CMB

- 👁 The initial states can be chosen as the adiabatic states, which are essentially the WKB solutions of the MS equation, which reads

$$\nu_k = \frac{1}{\sqrt{2W_k}} e^{-i \int^\eta W_k(\bar{\eta}) d\bar{\eta}}.$$

Plugging the above solution back into the MS equation, we have

$$W_k^2 = k^2 + m_{\text{eff}}^2 - \frac{1}{2} \frac{W_k''}{W_k} + \frac{3}{4} \left( \frac{W_k'}{W_k} \right)^2.$$

This generates a series of the adiabatic states, starting with the zeroth-order  $W_k^{(0)} = k$  and succeeded by the second-order  $W_k^{(2)} = \sqrt{k^2 + m^2}$ , the fourth-order

$W_k^{(4)} = \frac{\sqrt{f(m_{\text{eff}}^2, k)}}{4|k^2 + s|}$ , and so on.

$$f(s, k) = 5s'^2 + 16k^4(k^2 + 3s) + 16s^2(3k^2 + s) - 4s''(k^2 + s).$$

## 3.2 Matching with CMB

- For the background dynamics, we choose the Starobinsky potential as the inflationary potential

$$U(\phi) = \frac{m^2}{32\pi G} \left(1 - e^{-\sqrt{\frac{16\pi G}{3}}\phi}\right)^2.$$

- Choosing  $m = 2.7 \times 10^{-6}$  and  $N_{inf} = 65$  in LQC and mLQC-I/II <sup>17</sup>.

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<sup>17</sup>Li, Motaharfar and Singh, PRD110, 066005 (2024).

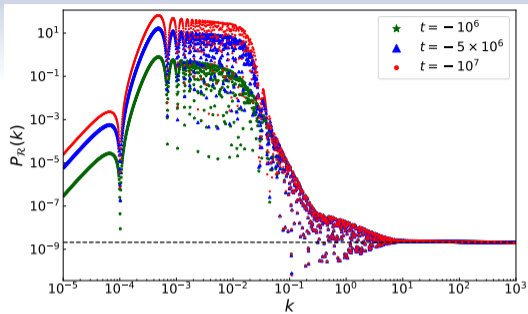
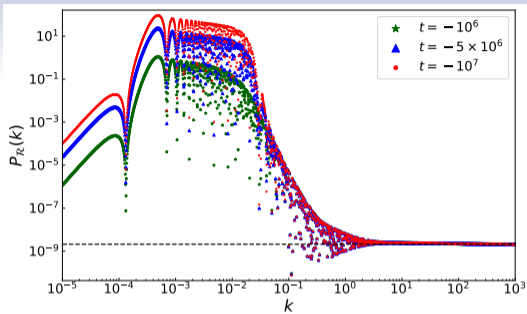


Figure: The primordial power spectrum for LQC with  $\phi_B = -1.4306$  and  $m = 2.7 \times 10^{-6}$ , while second order adiabatic initial states are imposed at  $t = -10^6$ ,  $-5 \times 10^6$ , and  $-10^7$  for the hybrid (left) and the dressed metric (right) approaches (all in Planck units). The dashed line is the central value for the amplitude of the primordial power spectrum, i.e.,  $A_s = 2.0989 \times 10^{-9}$  at pivot scale  $k_*/a_0 = 0.05 \text{Mpc}^{-1}$ .

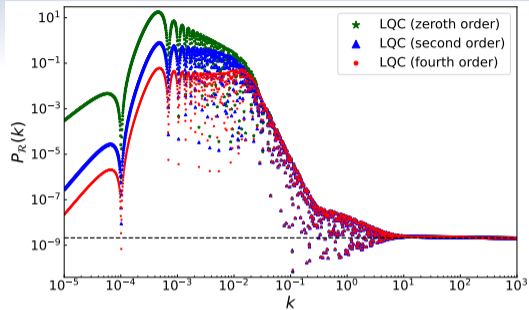
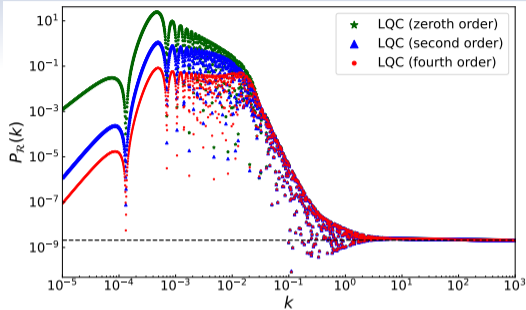


Figure: The primordial power spectrum for LQC with different adiabatic initial states (zeroth, second, and fourth order) in the hybrid approach (left panel) and the dressed metric (right panel) approach, while  $t = -10^6$ ,  $\phi_B = -1.4306$ , and  $m = 2.7 \times 10^{-6}$  (all in Planck units).

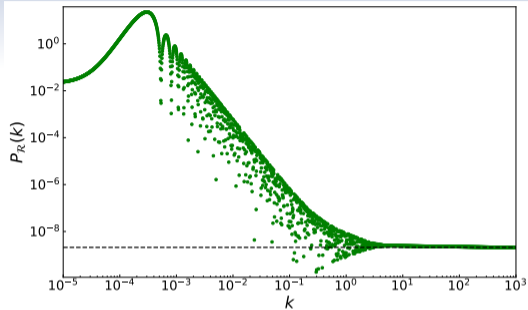
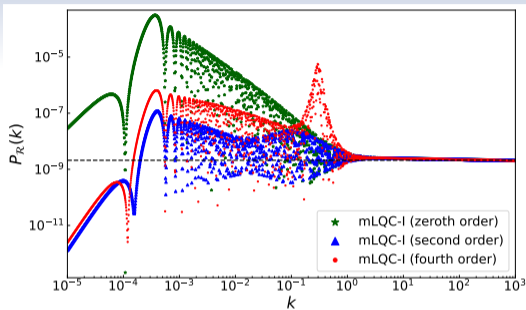


Figure: The primordial power spectrum for mLQC-I with different adiabatic initial states (zeroth, second, and fourth order) in the hybrid approach (left) and with the de Sitter initial state in the dressed metric approach (right) while  $t = -2.4$ ,  $\phi_B = -1.31$  and  $m = 2.7 \times 10^{-6}$  (in Planck units).

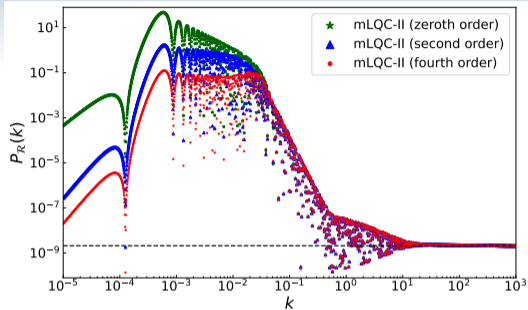
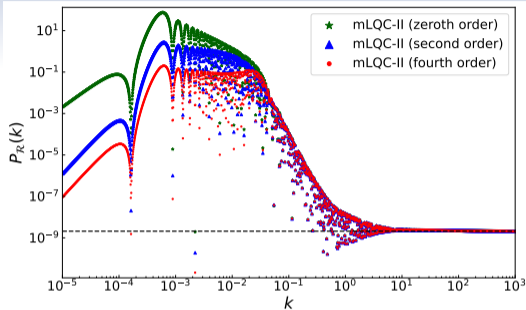
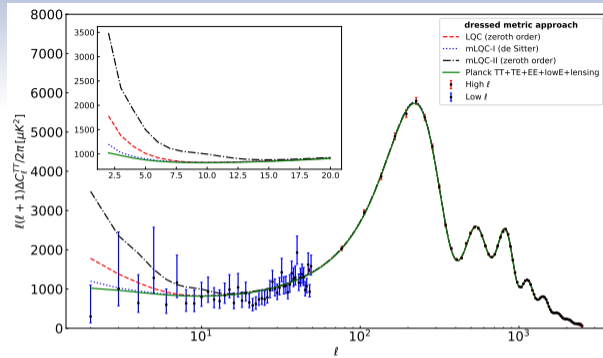


Figure: The primordial power spectrum for mLQC-II with different adiabatic initial states (zerth, second, and fourth order) in the hybrid approach (left) and the dressed metric (right) approaches while  $t = -10^6$ ,  $\phi_B = -1.54$ , and  $m = 2.7 \times 10^{-6}$ .



**Figure:** The angular power spectrum predicted by LQC models in the case of the dressed metric approach, while zeroth order adiabatic initial states are used for LQC and mLQC-II and de Sitter initial state is used for mLQC-I. The black dots are the Planck 2018 temperature angular power spectrum, with blue error bars for low  $l$  multipoles and red error bars for large  $l$  multipoles. The green curve is the  $\Lambda$ CDM angular power spectrum best fit to Planck 2018 data.

# Summary

This lecture mainly addresses the following content regarding the modified LQC models.

- ★ The effective dynamics: the modified FR equations, the inflationary scenario and the qualitative dynamics.
- ★ The linear perturbation theory in mLQCs: the dressed metric approach, the hybrid approach and comparison of the primordial scalar power spectra from LQC and mLQCs.

Thank you for your attention and time!