

(3+1)D Event-by-event Pre-equilibrium Dynamics in Heavy-ion Collisions

Jie Zhu

In collaboration with Xiaojian Du and Sören Schlichting

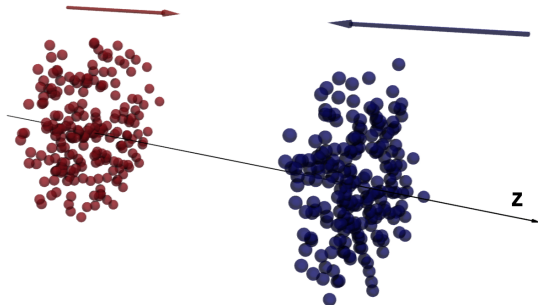
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- 4 Application of K ϕ MP ϕ ST-3D: (3+1)D full evolution of HICs
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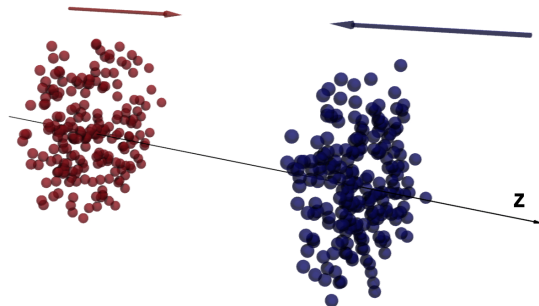
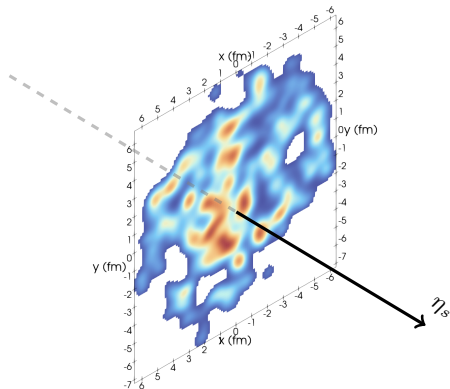
Motivation: longitudinal structure of HIC



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Longitudinally boost-invariant

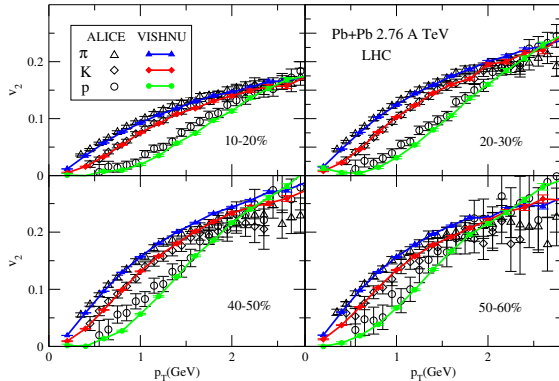
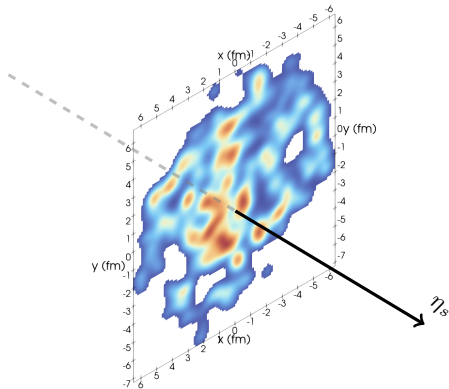
$$\eta_s = \frac{1}{2} \ln \frac{t+z}{t-z} = 0$$



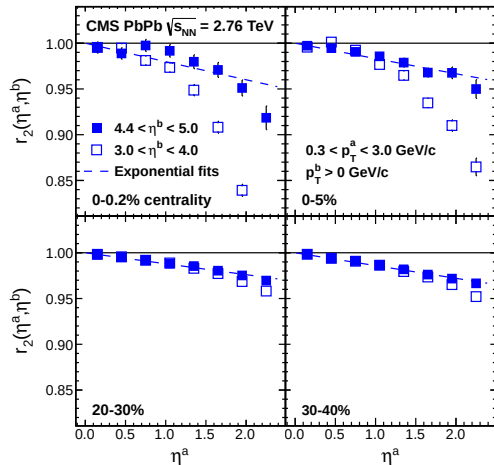
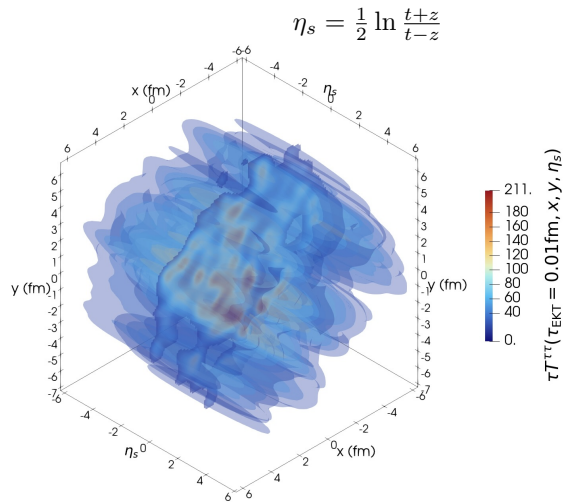
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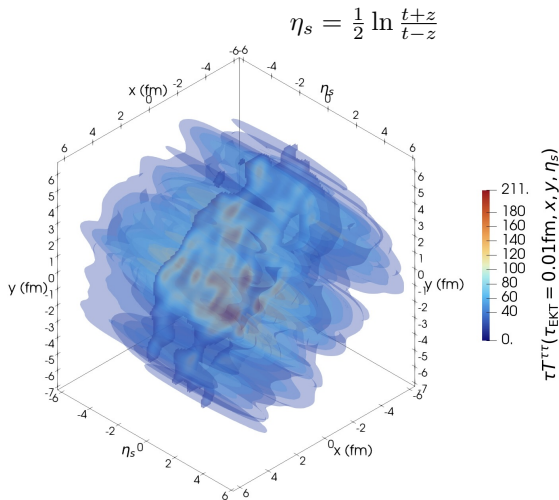


Motivation: longitudinal structure of HIC



► Call for full (3+1)D simulations of HICs.

Motivation: longitudinal structure of HIC



- ▶ Longitudinal structure in initial state
 - IP-Glasma-3D
 - AMPT: 3D transport model
 - T_{RENT}o-3D
- ▶ Pre-equilibrium dynamics
 - K ϕ MP ϕ ST in 2D
- ▶ Longitudinal late time dynamics
 - (3+1)D hydrodynamics
 - Afterburner

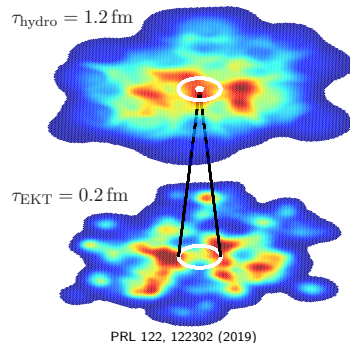
- Propagation of the non-equilibrium $T^{\mu\nu}$ from an initial time τ_{EKT} to a time τ_{hydro} when hydrodynamics becomes applicable.

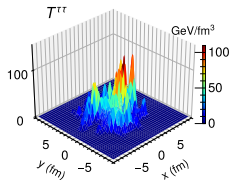
$$T^{\mu\nu}(\tau_{\text{EKT}}, \mathbf{x})|_{\text{out-of-equilibrium}} \longrightarrow T^{\mu\nu}(\tau_{\text{hydro}}, \mathbf{x}). \quad (1)$$

- All contributions to $T^{\mu\nu}$ at $(\tau_{\text{hydro}}, \mathbf{x})$ are determined by the initial conditions at earlier time τ_{EKT} in causal neighborhood of point $\mathbf{x}$.

$$|\mathbf{x}' - \mathbf{x}| < c(\tau_{\text{hydro}} - \tau_{\text{EKT}}). \quad (2)$$

- The fluctuations of $T^{\mu\nu}$ within causal circle that we need to consider are small **in large collision systems**.
 - The long wavelength variations of $T^{\mu\nu}$ within the causal circle are small, due to $(\tau_{\text{hydro}} - \tau_{\text{EKT}})/R_{\text{Pb}} \ll 1$.
 - Short wavelength fluctuations (of order nucleon size or less) are washed out.





- $T^{\mu\nu}(\tau, \mathbf{x})$ can be expressed as a sum of the **evolved background** and the **response** to the initial out-of-equilibrium perturbations,

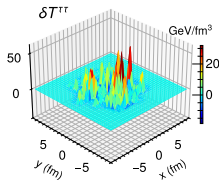
$$T^{\mu\nu}(\tau, \mathbf{x}) = \bar{T}_{\mathbf{x}}^{\mu\nu}(\tau) + \delta T_{\mathbf{x}}^{\mu\nu}(\tau). \quad (3)$$

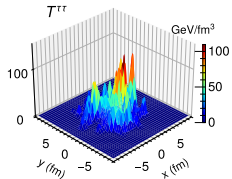
- The non-equilibrium background ($\bar{T}^{\mu\nu}$) evolution is described by a universal function $\mathcal{E}\left[x = \frac{\tau T_{\text{id.}}}{\eta/s}\right]$ derived from the scaling behavior of kinetic evolution.

$$\bar{T}^{\mu\nu} = \text{diag}\left(e, P_T, P_T, \frac{1}{\tau^2} P_L\right), \quad e = \nu_g \frac{\pi^2}{30} T_{\text{id.}}^4 \mathcal{E}\left[x = \frac{\tau T_{\text{id.}}}{\eta/s}\right]. \quad (4)$$

- Perturbations around the background can be described by **linear response theory**,

$$\frac{\delta T^{\mu\nu}(\tau, \mathbf{x})}{\bar{T}_{\mathbf{x}}^{\tau\tau}(\tau)} = \int d^2 \mathbf{x}_0 G_{\alpha\beta}^{\mu\nu}(\mathbf{x}, \mathbf{x}_0, \tau, \tau_0) \frac{\delta T_{\mathbf{x}}^{\alpha\beta}(\tau_0, \mathbf{x}_0)}{\bar{T}_{\mathbf{x}}^{\tau\tau}(\tau_0)}. \quad (5)$$





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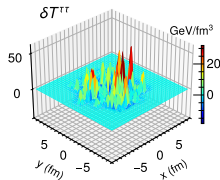
$$T^{\mu\nu}(\tau, \mathbf{x}) = \bar{T}_{\mathbf{x}}^{\mu\nu}(\tau) + \delta T_{\mathbf{x}}^{\mu\nu}(\tau). \quad (6)$$

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$$\frac{\delta T^{\mu\nu}(\tau, \mathbf{x}, \eta)}{\bar{T}_{\mathbf{x}, \eta}^{\tau\tau}(\tau)} = \int d^2 \mathbf{x}_0 d\eta_0 G_{\alpha\beta}^{\mu\nu}(\mathbf{x}, \eta, \mathbf{x}_0, \eta_0, \tau, \tau_0) \frac{\delta T_{\mathbf{x}, \eta}^{\alpha\beta}(\tau_0, \mathbf{x}_0, \eta_0)}{\bar{T}_{\mathbf{x}, \eta}^{\tau\tau}(\tau_0)}. \quad (8)$$



- ▶ Boltzmann equation under relaxation time approximation.

$$\left[p^\tau \partial_\tau + p^i \partial_i - \frac{p_\eta}{\tau^2} \partial_\eta \right] f(x, p) = -\frac{p_\mu u^\mu(x)}{\tau_R} \left[f(x, p) - f_{eq}(p_\mu \beta^\mu(x)) \right]. \quad (9)$$

- ▶ Decompose distribution function into background and perturbation

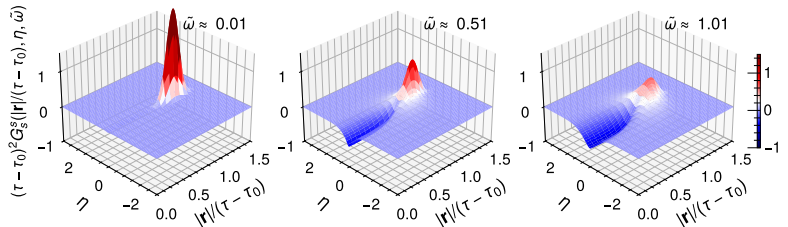
$$f(x, p) = f_{BG}(x, p) + \delta f(x, p). \quad (10)$$

- ▶ Utilize the method of moments to transform **PDE** to a set of coupled **ODEs**. [PRD 102, 056003 \(2020\)](#)

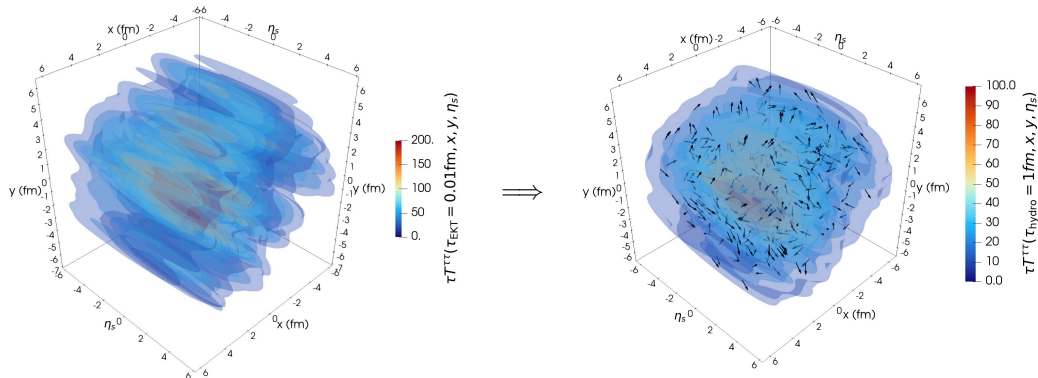
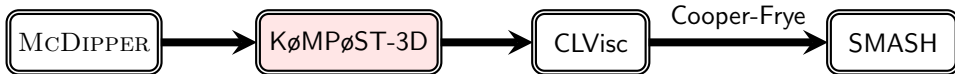
Energy response:

$$\delta T^{\tau\tau}(\tau_{\text{EKT}}) \rightarrow \delta T^{\tau\tau}(\tau)$$

Def: scaled time var, $\tilde{\omega} \equiv \frac{\tau T_{\text{id}}}{4\pi\eta/s}$



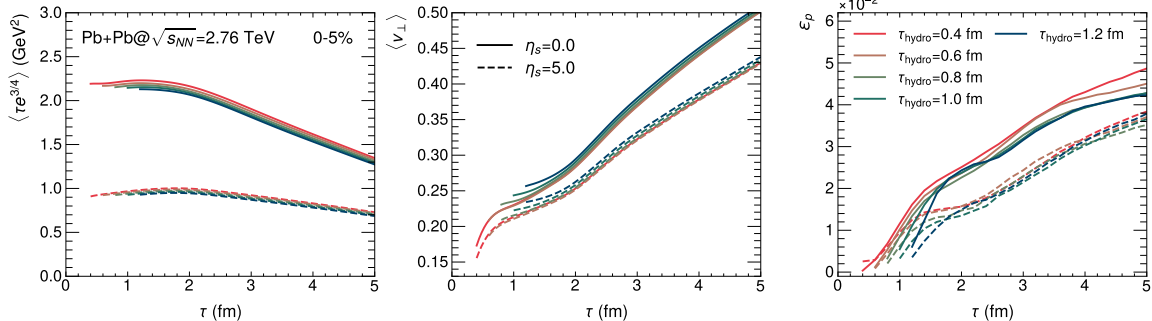
3D distribution $\tau T^{\tau\mu}(x, y, \eta_s)$



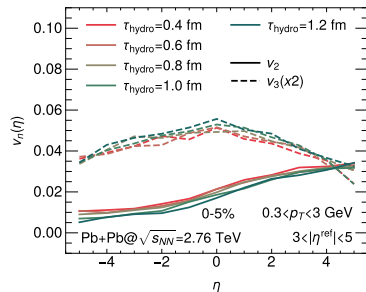
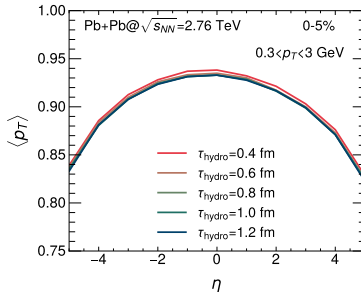
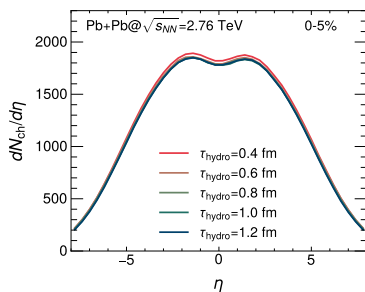
- 3D energy profile $\tau T^{\tau\tau}(x, y, \eta_s)$ from McDIPPER initial state model is evolved with KøMPøST-3D from $\tau_{\text{EKT}} = 0.01 \text{ fm}$ to $\tau_{\text{hydro}} = 1.0 \text{ fm}$.

PRC 109, 044916 (2024) PRC 97, 064918 (2018); PRC 105, 034909 (2022) PRC 94, 054905 (2016); JHEP 05, 026 (2006)

KøMPøST-3D provides a smooth transition from 3D out-of-equilibrium initial conditions to (3+1)D near-equilibrium viscous hydrodynamics.



- ▶ The evolution of energy density and radial flow at midrapidity and forward rapidity shows only weak sensitivity to τ_{hydro} ; the small differences mainly originate from the mismatch in the EoS.
- ▶ Time evolution of the momentum eccentricity ε_p shows an evident dependence on hydro starting time for both midrapidity and forward rapidity.



- ▶ The rapidity distributions of charged-hadron multiplicity and mean transverse momentum are only weakly sensitive to τ_{hydro} .
- ▶ The η -differential anisotropic flow coefficients exhibit a systematic, though modest, sensitivity to τ_{hydro} , mainly due to the insufficient buildup of the momentum anisotropy ε_p during the pre-equilibrium stage.

Summary

- ▶ A preliminary attempt for constructing a 3D framework, KØMPØST-3D, to describe the preequilibrium dynamics of HICs was made.
- ▶ The hydrodynamization can be achieved in most of the collision area not only in transverse plane but also in longitudinal direction.
- ▶ The longitudinal structure of $dN/d\eta$, $\langle p_T \rangle$ and $v_{2,3}(\eta)$ show little sensitivity to τ_{hydro} which means KØMPØST-3D provides a smooth transition from initial conditions to viscous hydrodynamics.

Future Plans and Improvements

- ▶ A more realistic input of response functions from QCD kinetic theory.
- ▶ The response to initial energy $\delta T^{\tau\tau}$, momentum ($\delta T^{\tau i}$) and tensor perturbations (δT^{ij}) for a comprehensive propagation of the information from initial conditions.

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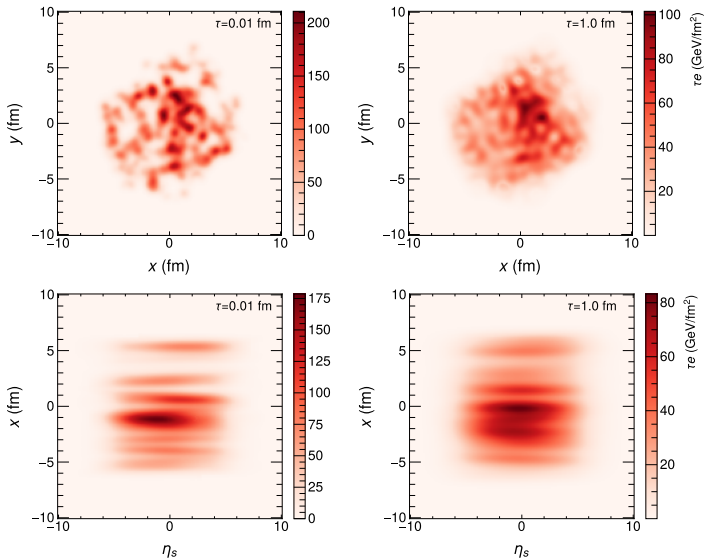
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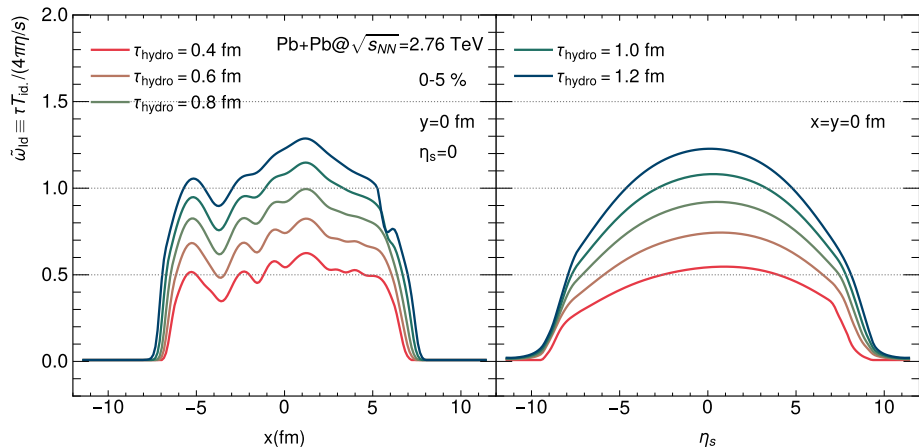
Thank you for your attention!

Appendix

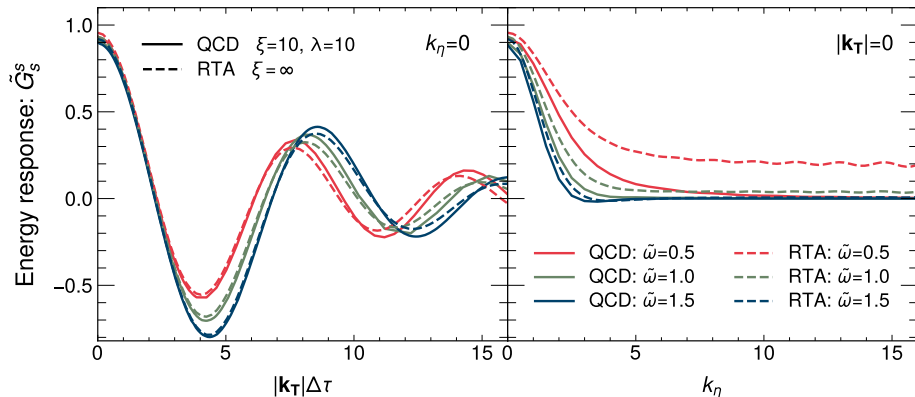
Time evolution of the energy density $\tau \varepsilon(x, y, \eta_s)$

- We can see the smearing of energy profile both in transverse plane and reaction plane.





- Values of $\tau T_{id}/(4\pi\eta/s) > 1$ indicate that the system can be described by hydrodynamics.
- Since the local energy density at the edges is significantly smaller, the edges of the fireball remain at $\tau T_{id}/(4\pi\eta/s) < 1$ for a longer time.



- The deviation of RTA from the realistic QCD simulations is sizeable at early times and high wavenumbers because the initial distributions in QCD and RTA are chosen differently.
- The difference becomes negligibly small at sufficiently late times and in the low-wavenumber (long-wavelength) region.

Utilize method of moments to **transform PDE to set of coupled ODEs**.

- Moments of background:

PRD 102, 056003 (2020); S. Ochsensfeld, Master's thesis, 2020

$$E_l^m(\tau) = \nu_{eff} \int \frac{dp_\eta}{2\pi} \int \frac{d^2\mathbf{p}_T}{(2\pi)^2} \tau^{1/3} p^\tau Y_l^m(\phi_{\mathbf{p}_T}, \theta_\eta) f_{BG}\left(\tau, p_T, |p_\eta|\right). \quad (11)$$

- Respective moments of perturbations:

$$\delta E_{l,k}^m(\tau) = \int \frac{dp_\eta}{2\pi} \int \frac{d^2\mathbf{p}_T}{(2\pi)^2} \tau^{1/3} p^\tau Y_l^m(\phi_{\mathbf{p}_T \mathbf{k}_T}, \theta_\eta) \delta f_k(\tau, \mathbf{p}_T, p_\eta). \quad (12)$$

- Specify an initial condition to particle distribution function.

$$f_{BG}(\tau_0, p_T, p_\eta) = \frac{(2\pi)^3}{\nu_{eff}} \delta(p_\eta) \frac{dN_0}{d\eta d^2\mathbf{p}_T d^2\mathbf{x}}, \quad (13)$$

$$\delta f_k(\tau_0, \mathbf{p}_T, p_\eta) = - \left(\frac{|\mathbf{p}_T|}{3} \partial_{|\mathbf{p}_T|} f_{BG}^{(0)}(|\mathbf{p}_T|, p_\eta) \right) e^{-i \left(\frac{\mathbf{p}_T \cdot \mathbf{k}_T}{|\mathbf{p}_T|} \tau_0 - k_\eta \operatorname{arcsch}\left(\frac{\mathbf{p}_T \tau_0}{p_\eta}\right) \right)}.$$

- Runge-Kutta scheme of fourth order is used to solve moments evolution.

Under RTA, Boltzmann equation is simplified to

$$\left[p^\tau \partial_\tau + p^i \partial_i - \frac{p_\eta}{\tau^2} \partial_\eta \right] f(x, p) = - \frac{p_\mu u^\mu(x)}{\tau_R} \left[f(x, p) - f_{eq}(p_\mu \beta^\mu(x)) \right]. \quad (14)$$

- Decomposition of distribution function:

$$f(x, p) = f_{BG}(x, p) + \delta f(x, p). \quad (15)$$

- Longitudinally boost invariant and locally homogeneous in the transverse plane background:

$$\tau \partial_\tau f_{BG}(\tau, p_T, |p_\eta|) = - \frac{\tau}{\tau_R} \left[f_{BG}(\tau, p_T, |p_\eta|) - f_{eq}\left(\frac{p^\tau}{T(\tau)}\right) \right]. \quad (16)$$

- Deviations from this behavior can be studied by applying small fluctuations on top of this background. After linearization it yields

$$p^\mu \partial_\mu \delta f = - \frac{p^\tau}{\tau_R} \delta f + \frac{p_\mu \delta u^\mu}{\tau_R} \left[(f_{eq} - f_{BG}) + \frac{p^\tau}{T} f'_{eq} \right] - \frac{p^\tau}{\tau_R} \delta T \left[\frac{1}{\tau_R} \frac{\partial \tau_R}{\partial T} (f_{eq} - f_{BG}) + \frac{p^\tau}{T^2} f'_{eq} \right]. \quad (17)$$

In momentum space, the response functions for (scalar) energy perturbations are decomposed as follows:

$$\begin{aligned}
 \tilde{G}_{\tau\tau}^{\tau\tau}(\mathbf{k}_T, k_\eta) &= \tilde{G}_s^s(|\mathbf{k}_T|, |k_\eta|) \\
 \tilde{G}_{\tau\tau}^{\tau i}(\mathbf{k}_T, k_\eta) &= -i\tilde{G}_s^v(|\mathbf{k}_T|, |k_\eta|) \frac{\mathbf{k}_T^i}{|\mathbf{k}_T|} \\
 \tilde{G}_{\tau\tau}^{ij}(\mathbf{k}_T, k_\eta) &= \tilde{G}_s^{t,\delta}(|\mathbf{k}_T|, |k_\eta|) \delta^{ij} + \tilde{G}_s^{t,k}(|\mathbf{k}_T|, |k_\eta|) \frac{\mathbf{k}_T^i \mathbf{k}_T^j}{|\mathbf{k}_T|^2} \\
 \tilde{G}_{\tau\tau}^{\eta\eta}(\mathbf{k}_T, k_\eta) &= \tilde{G}_s^\eta(|\mathbf{k}_T|, |k_\eta|) \\
 \tilde{G}_{\tau\tau}^{\tau\eta}(\mathbf{k}_T, k_\eta) &= -i \frac{k_\eta}{|k_\eta|} \tilde{G}_s^{s,\eta}(|\mathbf{k}_T|, |k_\eta|) \\
 \tilde{G}_{\tau\tau}^{i\eta}(\mathbf{k}_T, k_\eta) &= \frac{k_T^i k_\eta}{|\mathbf{k}_T| |k_\eta|} \tilde{G}_s^{v,\eta}(|\mathbf{k}_T|, |k_\eta|)
 \end{aligned} \tag{18}$$

In coordinate space, the decomposition for energy perturbations takes the following form

$$\begin{aligned} G_{\tau\tau}^{\tau\tau}(\mathbf{r}, \eta) &= G_s^s(|\mathbf{r}|, |\eta|) \\ G_{\tau\tau}^{\tau i}(\mathbf{r}, \eta) &= \frac{\mathbf{r}^i}{|\mathbf{r}|} G_s^v(|\mathbf{r}|, |\eta|) \\ G_{\tau\tau}^{ij}(\mathbf{r}, \eta) &= G_s^{t,\delta}(|\mathbf{r}|, |\eta|) \delta^{ij} + G_s^{t,r}(|\mathbf{r}|, |\eta|) \frac{\mathbf{r}^i \mathbf{r}^j}{|\mathbf{r}|^2} \\ G_{\tau\tau}^{\eta\eta}(\mathbf{r}, \eta) &= G_s^\eta(|\mathbf{r}|, |\eta|) \\ G_{\tau\tau}^{\tau\eta}(\mathbf{r}, \eta) &= \frac{\eta}{|\eta|} G_s^{s,\eta}(|\mathbf{r}|, |\eta|) \\ G_{\tau\tau}^{i\eta}(\mathbf{r}, \eta) &= \frac{\mathbf{r}^i \eta}{|\mathbf{r}| |\eta|} G_s^{v,\eta}(|\mathbf{r}|, |\eta|) \end{aligned} \tag{19}$$

Reverse Fourier transformation of response functions

The coordinate space Green functions are related to their momentum space counterparts according to the Fourier-Hankel transformation, for energy perturbation,

$$G_s^s(|\mathbf{r}|, |\eta|) = \frac{1}{2\pi^2} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \cos(k_\eta \cdot \eta) J_0(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^s(|\mathbf{k}_T|, |k_\eta|)$$

$$G_s^v(|\mathbf{r}|, |\eta|) = \frac{1}{2\pi^2} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \cos(k_\eta \cdot \eta) J_1(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^v(|\mathbf{k}_T|, |k_\eta|)$$

$$G_s^{t,\delta}(|\mathbf{r}|, |\eta|) = \frac{1}{2\pi^2} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \cos(k_\eta \cdot \eta) \left[J_0(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^{t,\delta}(|\mathbf{k}_T|, |k_\eta|) + \frac{J_1(|\mathbf{k}_T||\mathbf{r}|)}{|\mathbf{k}_T||\mathbf{r}|} \tilde{G}_s^{t,k}(|\mathbf{k}_T|, |k_\eta|) \right]$$

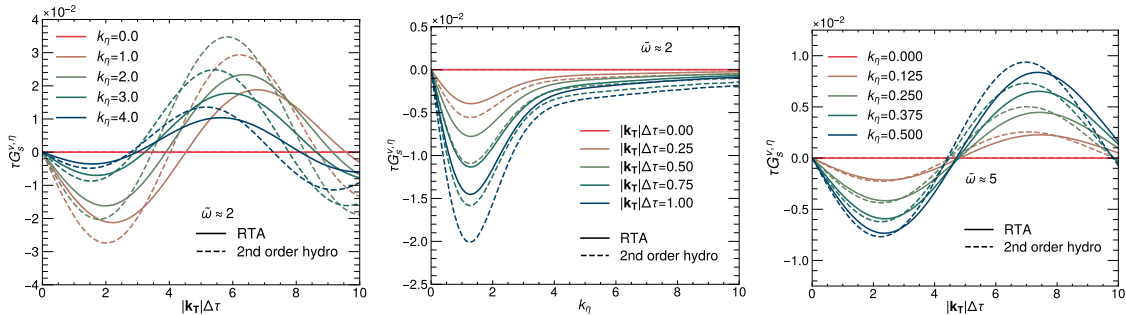
$$G_s^{t,r}(|\mathbf{r}|, |\eta|) = \frac{-1}{2\pi^2} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \cos(k_\eta \cdot \eta) J_2(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^{t,k}(|\mathbf{k}_T|, |k_\eta|)$$

$$G_s^\eta(|\mathbf{r}|, |\eta|) = \frac{1}{2\pi^2} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \cos(k_\eta \cdot \eta) J_0(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^\eta(|\mathbf{k}_T|, |k_\eta|)$$

$$G_s^{s,\eta}(|\mathbf{r}|, |\eta|) = \frac{\eta}{2\pi^2|\eta|} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \sin(k_\eta \cdot \eta) J_0(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^{s,\eta}(|\mathbf{k}_T|, |k_\eta|)$$

$$G_s^{v,\eta}(|\mathbf{r}|, |\eta|) = \frac{-\eta}{2\pi^2|\eta|} \int_0^\infty d|\mathbf{k}_T| |\mathbf{k}_T| dk_\eta \sin(k_\eta \cdot \eta) J_1(|\mathbf{k}_T||\mathbf{r}|) \tilde{G}_s^{v,\eta}(|\mathbf{k}_T|, |k_\eta|)$$

- Extra smearing kernels " $\exp(-\sigma^2|\mathbf{k}_T|^2/2) \exp(-\sigma_\eta^2|k_\eta|^2/2)$ " are implemented during transformation. In this work, we take $\sigma = 0.1, \sigma_\eta = 0.1$.



$$\tilde{G}_s^{v,\eta}(\tau, \tau_0, |\mathbf{k}|, |k^\eta|) = \left(\eta \tau_\pi \frac{c_s^2 + 1/3}{\tau} - \eta - \frac{4\lambda_1}{3\tau} \right) \left(\frac{|\mathbf{k}| \tilde{G}_s^{s,\eta}(\tau, \tau_0, |\mathbf{k}|, |k^\eta|)}{\bar{T}^{\tau\tau} + \bar{T}_\eta^\eta} + \frac{|k^\eta| \tilde{G}_s^v(\tau, \tau_0, |\mathbf{k}|, |k^\eta|)}{\bar{T}^{\tau\tau} + \frac{1}{2} \bar{T}_k^k} \right) \quad (20)$$

► Background:

$$\bar{T}_{\mathbf{x}_0, \eta_0}^{\tau\tau}(\tau) \equiv \int d^2\mathbf{x}' d\eta' \frac{1}{2\pi\sigma_{\text{BG}}^2} e^{-\frac{(\mathbf{x}' - \mathbf{x}_0)^2}{2\sigma_{\text{BG}}^2}} \frac{1}{\sqrt{2\pi\sigma_{\text{BG},\eta}^2}} e^{-\frac{(\eta' - \eta_0)^2}{2\sigma_{\text{BG},\eta}^2}} T^{\tau\tau}(\tau, \mathbf{x}', \eta') \quad (21)$$

where $\sigma_{\text{BG}} = \Delta\tau/2$, $\sigma_{\text{BG},\eta} = 3/2$, $\Delta\tau = \tau_{\text{hydro}} - \tau_{\text{EKT}}$

- First we build the background by the above equation for each point. The integration was done in "causal area" $[-4\sqrt{2}\sigma_{\text{BG}}, 4\sqrt{2}\sigma_{\text{BG}}]$ and $[-4\sqrt{2}\sigma_{\text{BG},\eta}, 4\sqrt{2}\sigma_{\text{BG},\eta}]$
- Then at each point, we also integrate the response of perturbations inside the "causal area" $\Delta|\mathbf{r}| < \Delta\tau + 0.3$ and $\Delta\eta < 3$ (from RTA dynamics.)

The (3+1)D CLVisc hydrodynamics model is used to simulate the space-time evolution of the QGP fireball.[\[PRC 105, 034909 \(2022\)](#), [PRC 97, 064918 \(2018\)](#)

- ▶ Energy-momentum and baryon number conservation equations

$$\partial_\mu T^{\mu\nu} = 0 \quad (22)$$

- ▶ Solve the evolution equations of $\pi^{\mu\nu}$ using the Israel-Stewart-like equations [\[PRC 98, 034916 \(2018\)\]](#)

$$\tau_\pi \Delta_{\alpha\beta}^{\mu\nu} D\pi^{\alpha\beta} + \pi^{\mu\nu} = \eta_v \sigma^{\mu\nu} - \delta_{\pi\pi} \pi^{\mu\nu} \theta - \tau_{\pi\pi} \pi^{\lambda(\mu} \sigma_{\lambda}^{\nu)} + \varphi_1 \pi_{\alpha}^{\langle\mu} \pi^{\nu\rangle\alpha} \quad (23)$$

- ▶ Sample thermal hadrons using the Cooper-Frye prescription,

$$\frac{dN_i}{dY p_T dp_T d\phi} = \frac{g_i}{(2\pi)^3} \int_{\Sigma} p^\mu d\Sigma_\mu f_{\text{eq}} (1 + \delta f_\pi). \quad (24)$$

- ▶ Thermal equilibrium term f_{eq} and out-of-equilibrium correction δf are given as,

$$f_{\text{eq}} = \frac{1}{\exp [(p \cdot u - B\mu_B) / T] \pm 1}, \quad (25)$$

$$\delta f_\pi = (1 \pm f_{\text{eq}}) \frac{p_\mu p_\nu \pi^{\mu\nu}}{2T^2(e + P)}.$$

- ▶ After the thermal hadrons are produced, a hadronic afterburner can be employed to simulate interactions between them. (Or they are forced to decay into stable particles immediately.)