

The background of the slide is a close-up, high-speed photograph of numerous water bubbles. The bubbles are of various sizes, from small droplets to larger, more defined spheres. They are densely packed in some areas and more sparse in others, creating a dynamic and textured blue background. The lighting highlights the spherical shapes and the reflections on the water's surface.

Dynamics of nucleation in first-order phase transitions

Andrey Shkerin

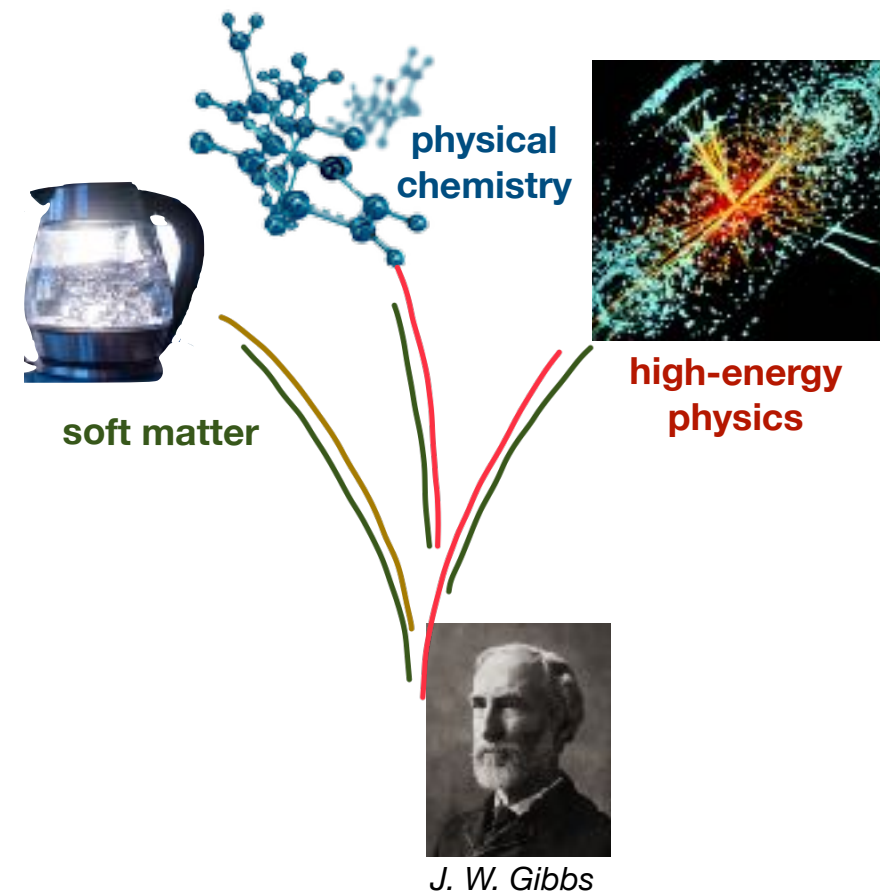
**Perimeter Institute
for theoretical physics**

**Joonas Hirvonen
Oliver Gould
Sergey Sibiryakov
Dalila Pîrvu**

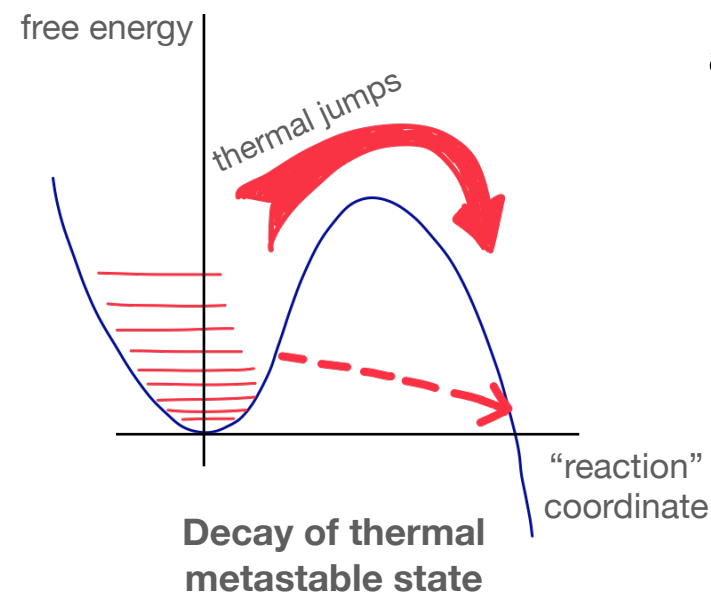
First-order phase transitions...

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- ➔ play a fundamental role in various branches of physics from quantum cosmology to quantum matter
- ➔ have been studied for ~150 years
- ➔ many *dynamical* aspects are still poorly understood



Consider **thermal phase transitions** in the classical regime



and compute the **decay rate**:

$$\Gamma \propto e^{-E_{\text{ts}}/T}$$

How to define decay rate

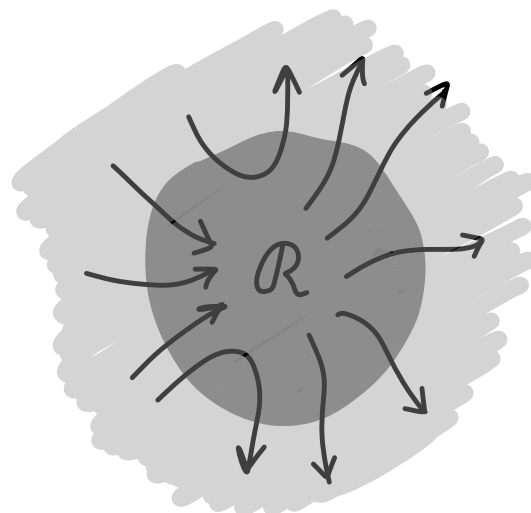


“Equilibrium” approach

$$\Gamma_{\text{TST}} = \frac{1}{Z_{\mathcal{R}}} \int_{\partial \mathcal{R}} dS \mathbf{n} \cdot \dot{\mathbf{z}} \theta(\mathbf{n} \cdot \dot{\mathbf{z}}) e^{-H(\mathbf{z})/T}$$

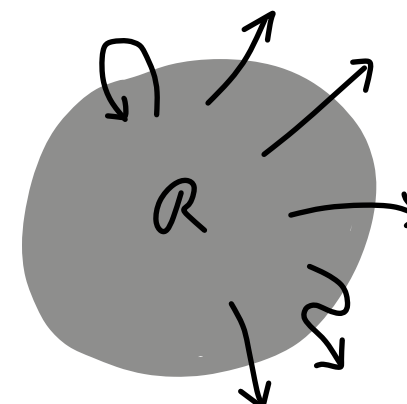
SPA!

$$\Gamma_{\text{TST}} \stackrel{\text{1-loop}}{=} \frac{|\omega_-|}{\pi T} \cdot \text{Im } F$$



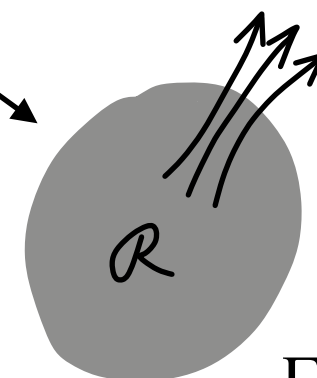
Dynamical picture

$$\rho(\mathbf{z}; t = 0) = \frac{1}{Z_{\mathcal{R}}} e^{-H(\mathbf{z})/T} \theta(\mathbf{z} \in \mathcal{R})$$



wait until

stationary flux regime
 $\rho(\mathbf{z}; t) \approx \rho_*(\mathbf{z})$



$$\Gamma = \mathcal{A}_{\text{dyn.}} \Gamma_{\text{TST}}$$

Questions:

- Does $\rho_*(z)$ exist?
- How to compute $\mathcal{A}_{\text{dyn.}}$?
- How is Γ independent of the choice of $\mathcal{R}$ and TS?
- What about **oscillons**?

Langer 69; Affleck 81; Arnold, McLerran 87; Hirvonen 25

Moore, Rummukainen, Tranberg 01

Dynamical decay rate



 In the *stationary flux* regime (more on its existence later)

$$\Gamma = \Gamma_{\text{TST}} \cdot (1 - R)$$

This is similar to the reactive-flux method in chemistry

Chandler 77
P. Hanggi, P. Talkner and M. Borkovec 90

and to the MRT method

Moore, Rummukainen 00
Moore, Rummukainen, Tranberg 01

Dynamical decay rate



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$$R = R^{(+)} + R^{(-)}$$

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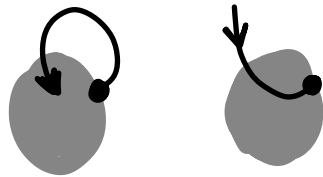
Dynamical decay rate



In the *stationary flux* regime (more on its existence later)

$$\Gamma = \Gamma_{\text{TST}} \cdot (1 - R)$$

$$R = R^{(+)} + R^{(-)} \quad \text{— re-crossing probability}$$



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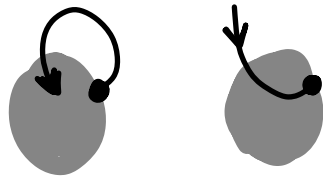
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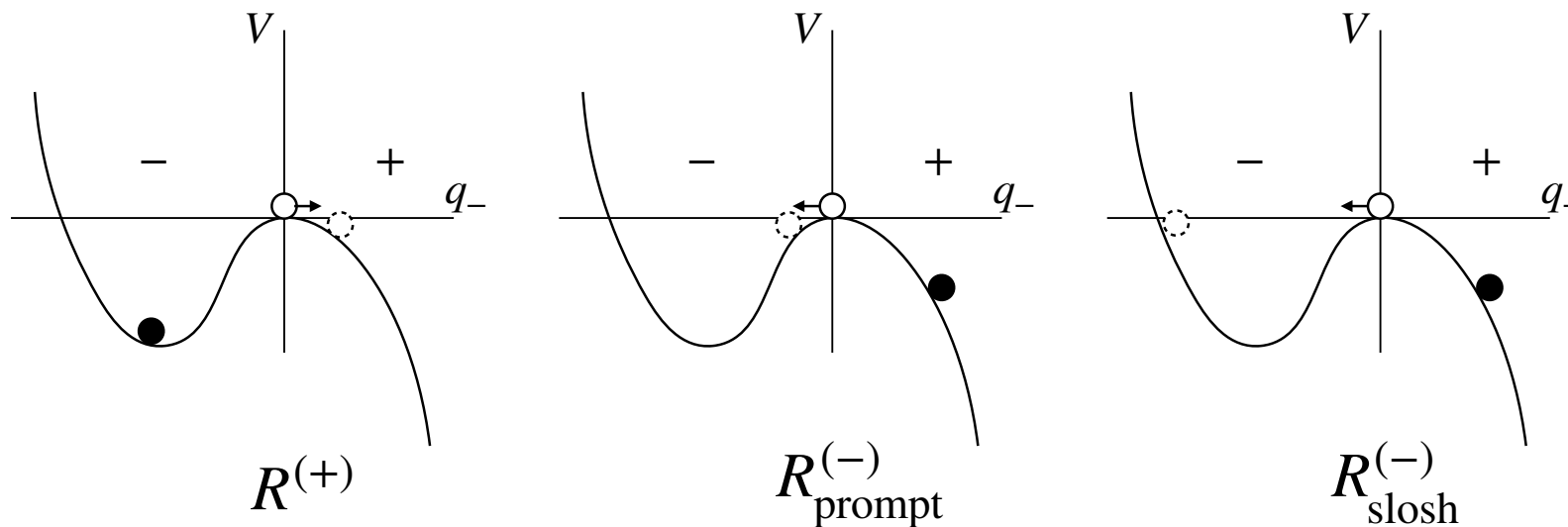
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Moore, Rummukainen, Tranberg 01

It makes sense to split $R = R_{\text{prompt}} + R_{\text{slosh}}$.



Dynamical decay rate 2



- In the *stationary flux* regime (more on its existence later)

$$\Gamma = \Gamma_{\text{TST}} \cdot (1 - R)$$

This is similar to the reactive-flux method in chemistry

Chandler 77

P. Hanggi, P. Talkner and M. Borkovec 90

$$R = R^{(+)} + R^{(-)} \quad \text{— re-crossing probability}$$

and to the MRT method

Moore, Rummukainen 00

Moore, Rummukainen, Tranberg 01

- This formula is **exact** (up to corrections of order $\mathcal{O}(e^{-E_{\text{ts}}/T})$)

- R and Γ_{TST} depend on $\mathcal{R}$; Γ does not.

- R_{prompt} can be systematically evaluated (at weak coupling)

R_{slosh} can give a big contribution! (due to oscillons)

- R can be found from simulations; no exponentially long simulation time required.

- Practically, choose $q_- = 0$ as a dividing surface,

$$\text{sample } \rho^{(\pm)}(\mathbf{q}, \mathbf{p}; t = 0) = \mathcal{N} \delta(q_-) \theta(\pm \dot{q}_-) |\dot{q}_-| e^{-H(\mathbf{q}, \mathbf{p})/T}$$

$$\text{then } R^{(\pm)} = \lim_{t_{\text{plat.}} \ll t \ll t_{\text{dec.}}} \int d\mathbf{q} d\mathbf{p} \rho^{(\pm)}(\mathbf{q}, \mathbf{p}; t) \theta(\mp q_-) \quad \text{where } t_{\text{dyn.}} < t_{\text{plat.}} < t_{\text{therm.}}$$

Two rates

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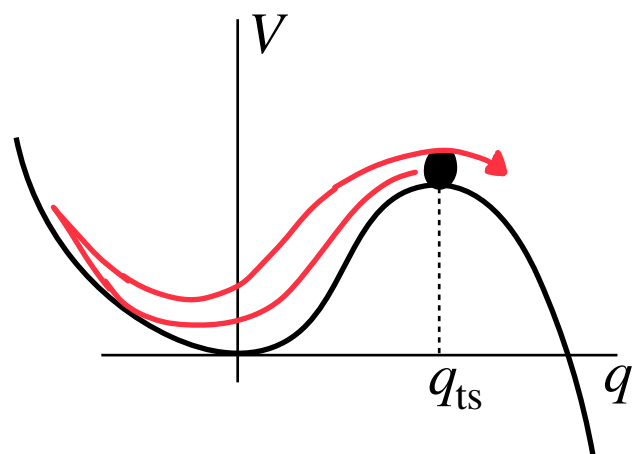
Consider classical-mechanical system coupled to thermal bath with the damping coefficient η .

Kramers

Mel'nikov, Meshkov 86

1 d.o.f.; weak damping

$$R = R_{\text{slosh}} + \dots$$



$$R^{(-)} = 1 - \exp \left[\int_{-\infty}^{\infty} \frac{dz}{\pi(z^2 + 1)} \ln \left(1 - e^{-(z^2 + 1)\varepsilon/4T} \right) \right], \quad R^{(+)} = 0$$

$$\text{where } \varepsilon = \eta \oint \dot{q}^2(t) dt = \eta \oint p dq$$

oscillons?..

$$t_{\text{plat.}} \sim t_{\text{slosh}} \sim \frac{1}{\eta} \frac{T}{E_{\text{ts}}}$$

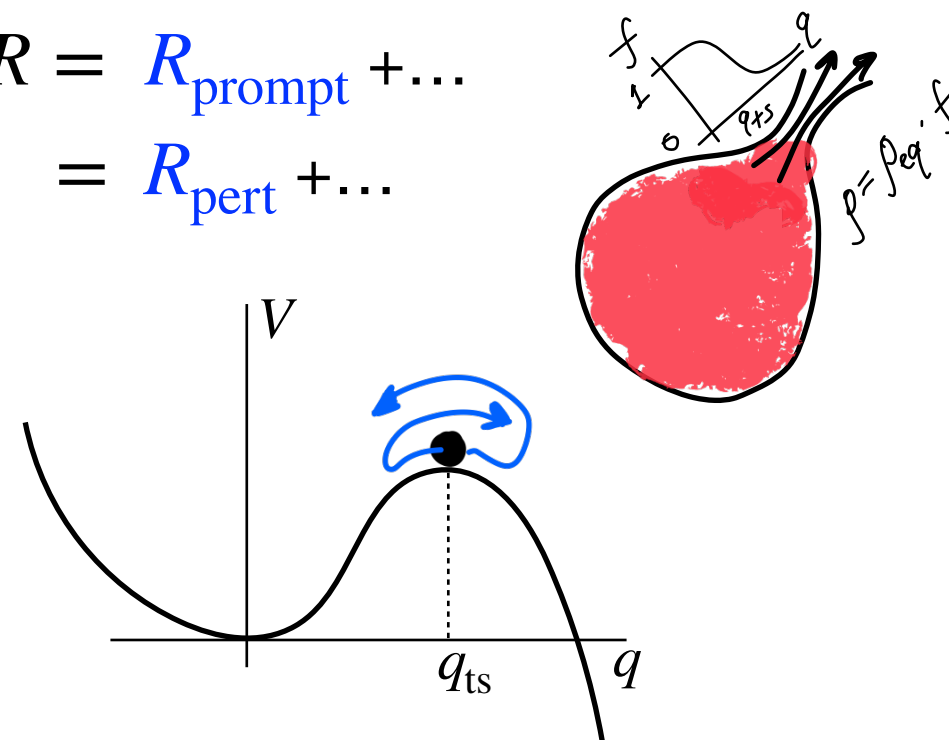
Langer

Langer 69

many d.o.f.; moderate to strong damping

$$R = R_{\text{prompt}} + \dots$$

$$= R_{\text{pert}} + \dots$$



To LO in T/E_{ts} :

$$R^{(-)} = R^{(+)} = \frac{1}{2} \left(1 - \sqrt{1 + \frac{\eta^2}{4\omega_-^2} + \frac{\eta}{2\omega_-}} \right)$$

where ω_-^2 is the negative e.v. of the critical bubble

$$t_{\text{plat.}} \sim t_{\text{dyn.}}$$

Two rates

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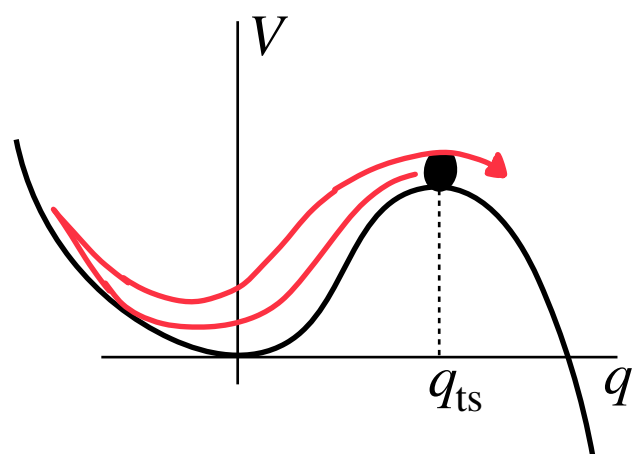
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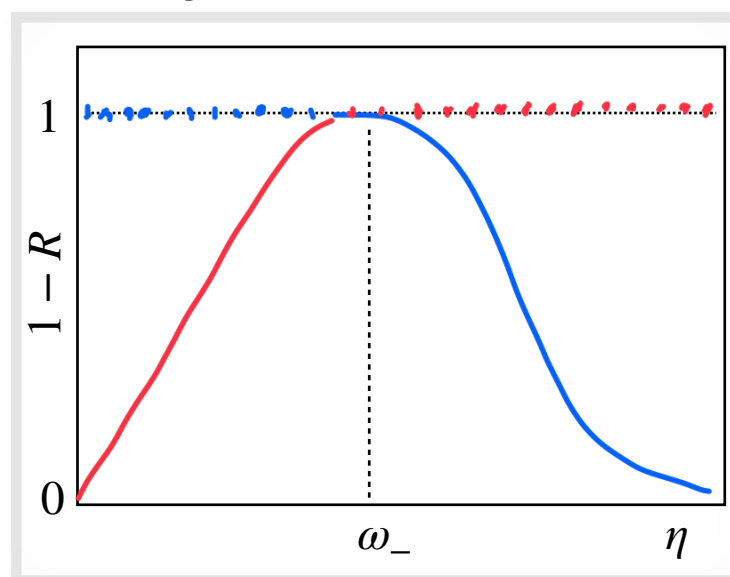
Mel'nikov, Meshkov 86

1 d.o.f.; weak damping

$$R = R_{\text{slosh}} + \dots$$



Dynamical factor R



$$R^{(-)} = 1 - \exp \left[\int_{-\infty}^{\infty} \frac{dz}{\pi(z^2 + 1)} \ln \left(1 - e^{-(z^2 + 1)\varepsilon/4T} \right) \right], \quad R^{(+)} = 0$$

$$\text{where } \varepsilon = \eta \oint \dot{q}^2(t) dt = \eta \oint p dq$$

oscillons?..

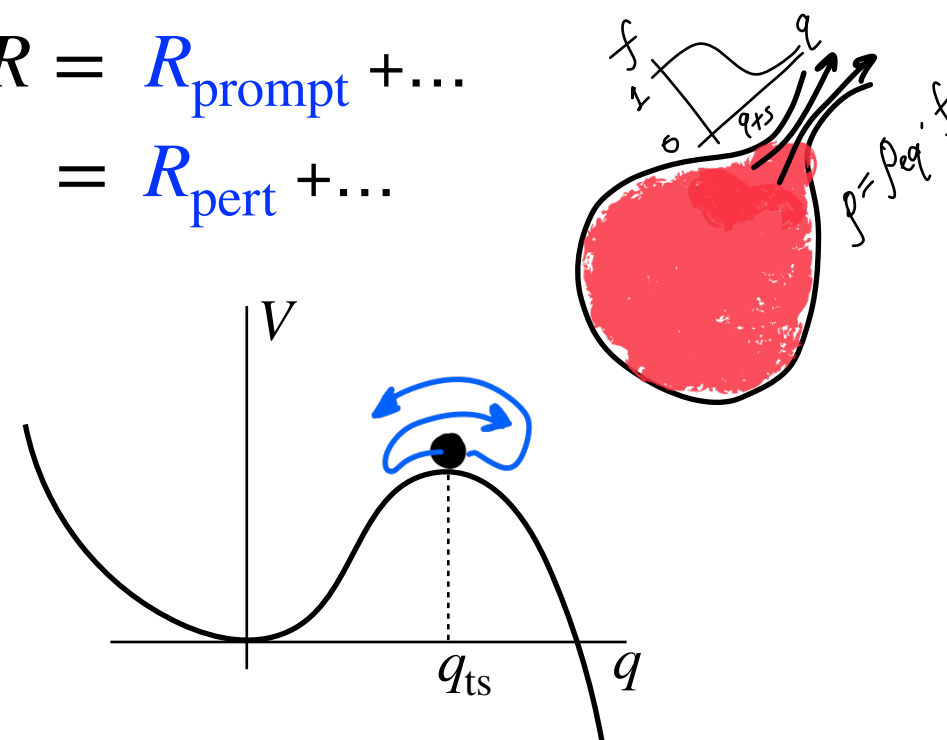
$$t_{\text{plat.}} \sim t_{\text{slosh}} \sim \frac{1}{\eta} \frac{T}{E_{\text{ts}}}$$

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many d.o.f.; moderate to strong damping

$$R = R_{\text{prompt}} + \dots \\ = R_{\text{pert}} + \dots$$



To LO in T/E_{ts} :

$$R^{(-)} = R^{(+)} = \frac{1}{2} \left(1 - \sqrt{1 + \frac{\eta^2}{4\omega_-^2} + \frac{\eta}{2\omega_-}} \right)$$

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$$t_{\text{plat.}} \sim t_{\text{dyn.}}$$

Structure of re-crossings

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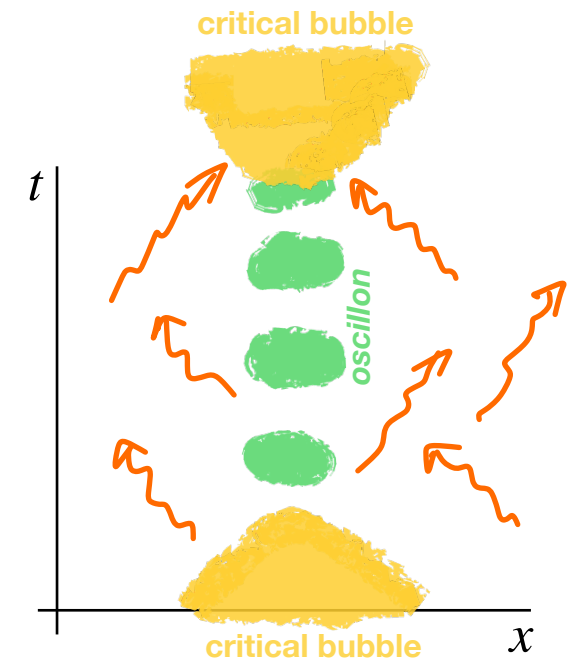
$$R = R_{\text{prompt}} + R_{\text{slosh}}$$

$$\stackrel{\text{weak coupling}}{=} R_{\text{pert}} + R_{\text{non-pert}}$$

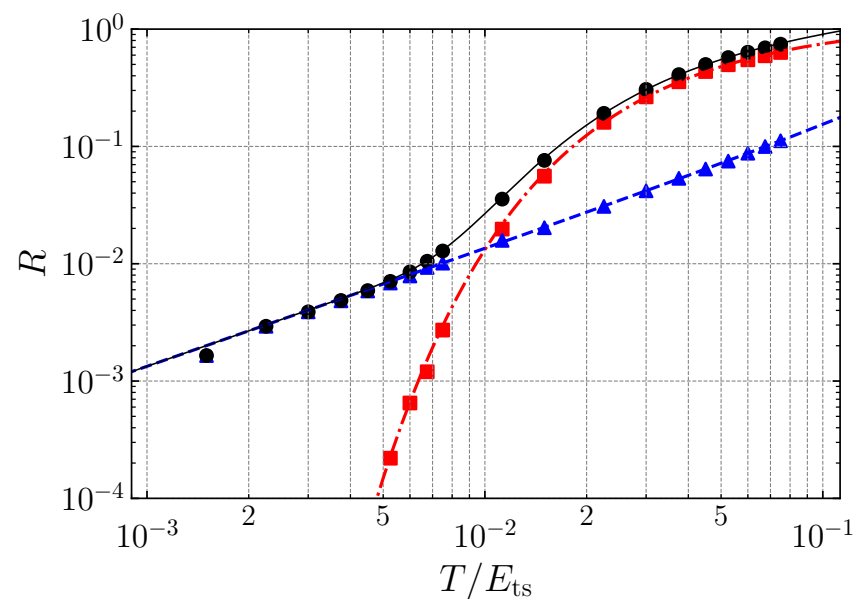
Sloshing re-crossings can be important in field theory!

They are amplified in theories with **oscillons**.

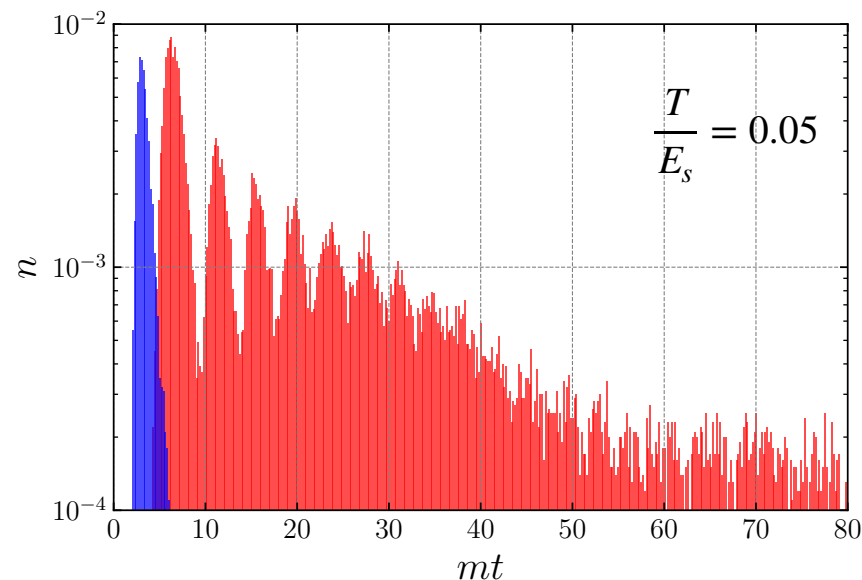
Example: scalar field with unstable quartic potential in (1+1)d,
Hamiltonian dynamics



Dynamical factor R from simulations

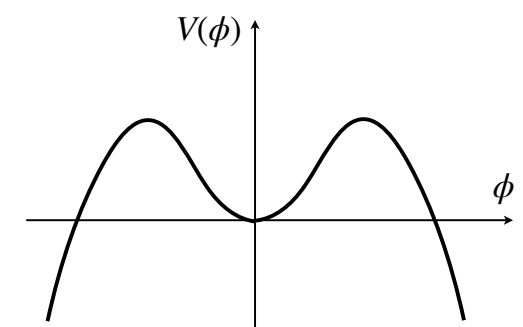


Re-crossings as a function of time



$$S = \int dt dx \left(\frac{(\partial_\mu \phi)^2}{2} - V(\phi) \right)$$

$$V(\phi) = \frac{m^2 \phi^2}{2} - \frac{\lambda \phi^4}{4}$$



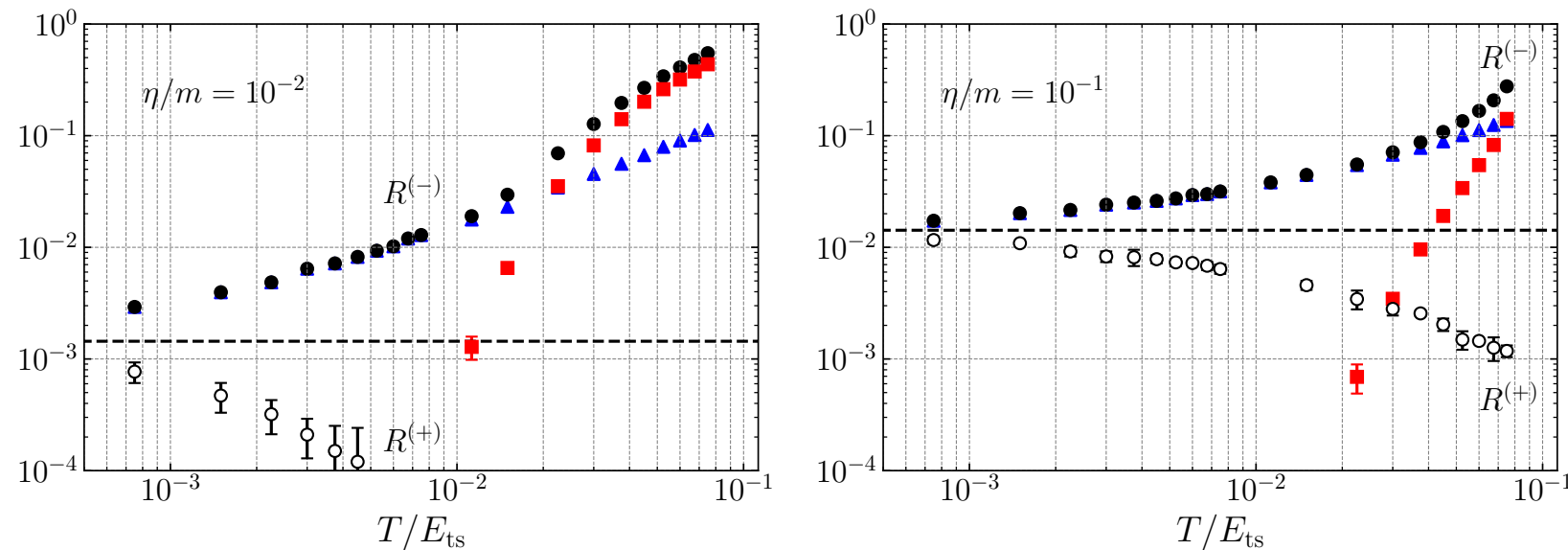
N.B.: $R \rightarrow 0$ at $T/E_{\text{ts}} \rightarrow 0$.

N.B.: No oscillons $\rightarrow$ sloshing re-crossings are suppressed, but still there.

Structure of re-crossings 2

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Let's switch on dissipation and noise.



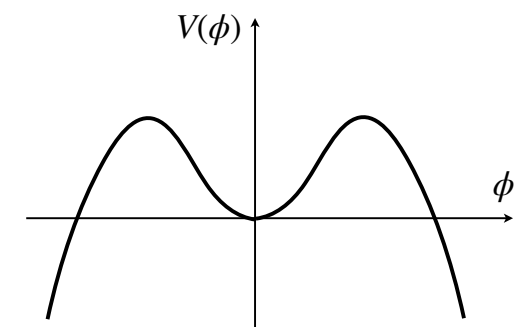
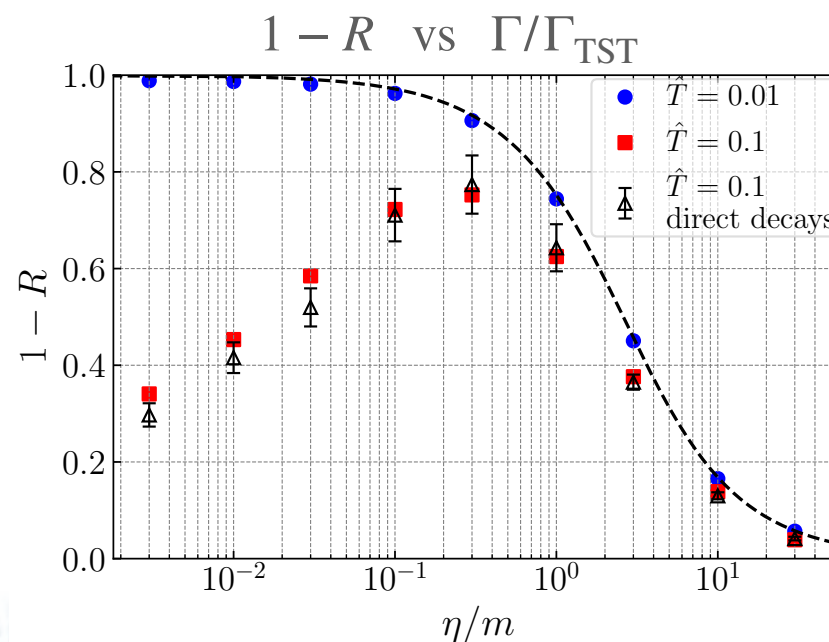
We observe:

- T/E_{ts} correction to Langer
- sloshing re-crossings are damped by damping

$$S = \int dt dx \left(\frac{(\partial_\mu \phi)^2}{2} - V(\phi) \right)$$

$$V(\phi) = \frac{m^2 \phi^2}{2} - \frac{\lambda \phi^4}{4}$$

At $T/E_{ts} \sim 10^{-1}$, we can compare with direct simulations of decays:



Small Systems

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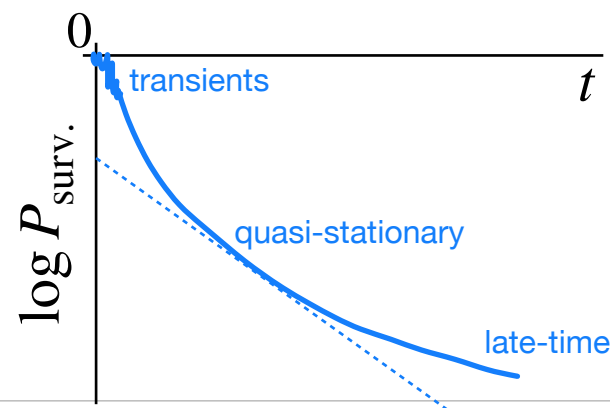
- System is **big** if $\mathcal{E} \gg E_{\text{ts}}$ **and** $\delta\mathcal{E} \gg E_{\text{ts}} \Leftrightarrow \sqrt{\mathcal{E}} T \gg E_{\text{ts}}$.

In small systems, there can be no steady-state decay

— the decay rate *drifts*.

$$t_{\text{drift}} \sim t_{\text{dec.}} e^{-\beta}, \quad \beta = \frac{E_{\text{ts}}^2}{\mathcal{E} T^2}$$

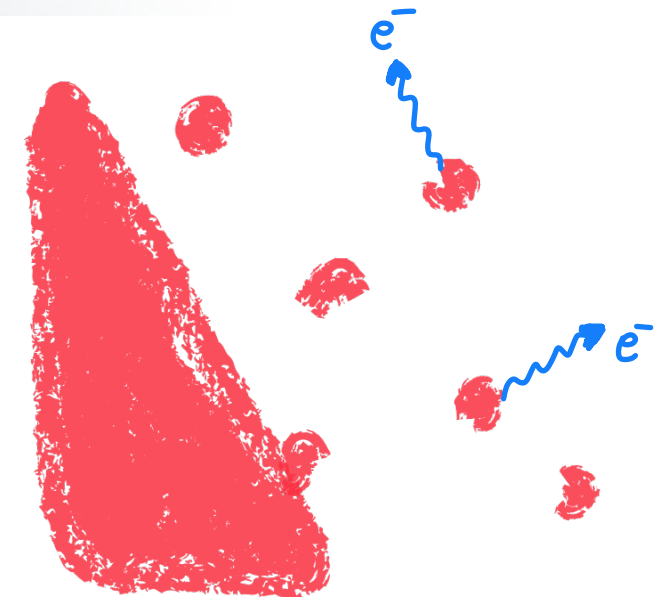
Moreover, $\Gamma_{\text{canonical}} = e^{\beta/2} \cdot \Gamma_{\text{microcanonical}}$



- Big systems with poor thermalization ($t_{\text{therm.}} > t_{\text{dec.}}$) are small.

We see this in numerical simulations of direct decays.

Can be important in quantum simulators. Not in cosmology, perhaps.



Thermionic decay in molecular clusters

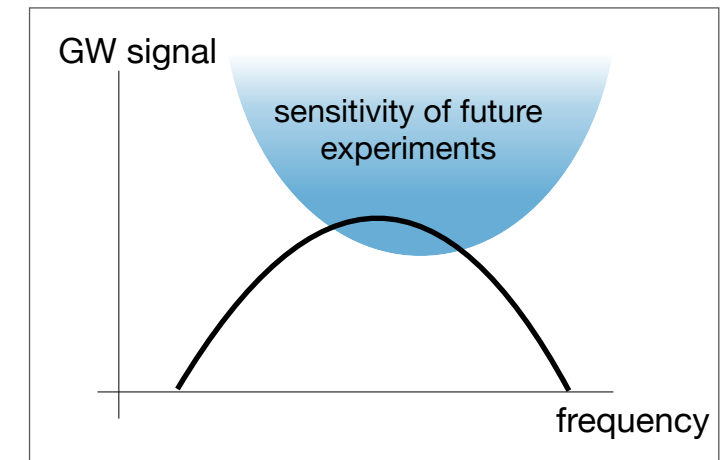
Klots '85

Hansen, Andersen et al '01

- ➔ Application to cosmological phase transitions
- ➔ Application to *quantum simulators*
- ➔ Revisiting Coleman's tunnelling
- ➔ Other N^3 effects

First order phase transitions in the early universe

Nucleating, propagating and colliding bubbles generate gravitational waves (GWs). This is a motivation to improve the existing and build new GW detectors.



Generation of baryon asymmetry of the universe

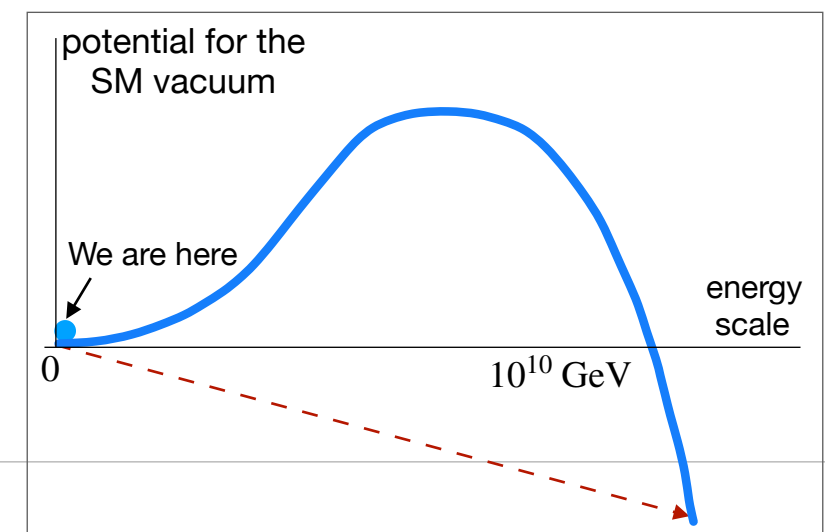
Expanding bubbles moving through cosmic plasma can generate asymmetry between particles and anti-particles.

Metastability of the Standard Model vacuum

According to the measured values of the parameters of the Standard Model (SM), our “fundamental” vacuum may itself be metastable.

In habitable parts of the present-day universe the decay probability is very small.

This may not be so in extreme environments (e.g. black holes) or earlier epochs (e.g. inflation).



Experimental tests of nucleation theory

Zenesini et al, Nature Physics 20, 558–563 (2024) — first experimental result using a cold atom system

Example from simulations

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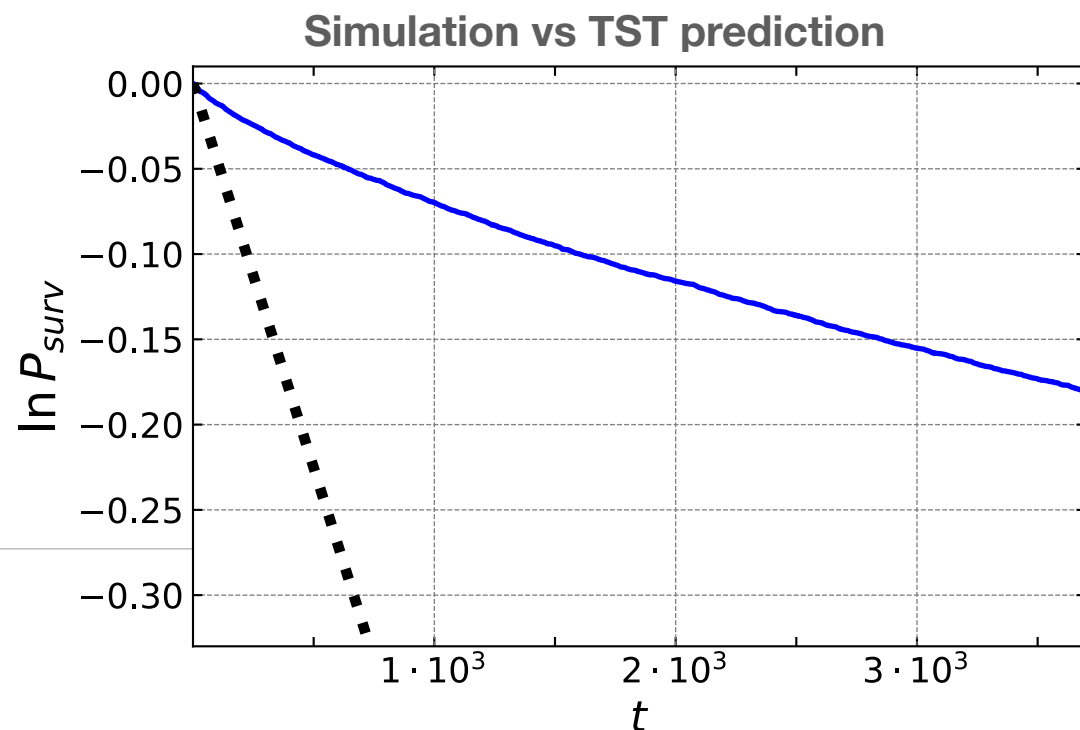
Pirvu, Johnson, Sibiryakov 23; Pirvu, AS, Sibiryakov 24



Take scalar field theory in (1+1) dimensions, with unstable potential, evolve it on a classical lattice, *measure* the survival probability.

$$\ln P_{\text{surv}}(t) = \text{const} - \Gamma L \cdot t$$

$\uparrow$
 thermal decay rate



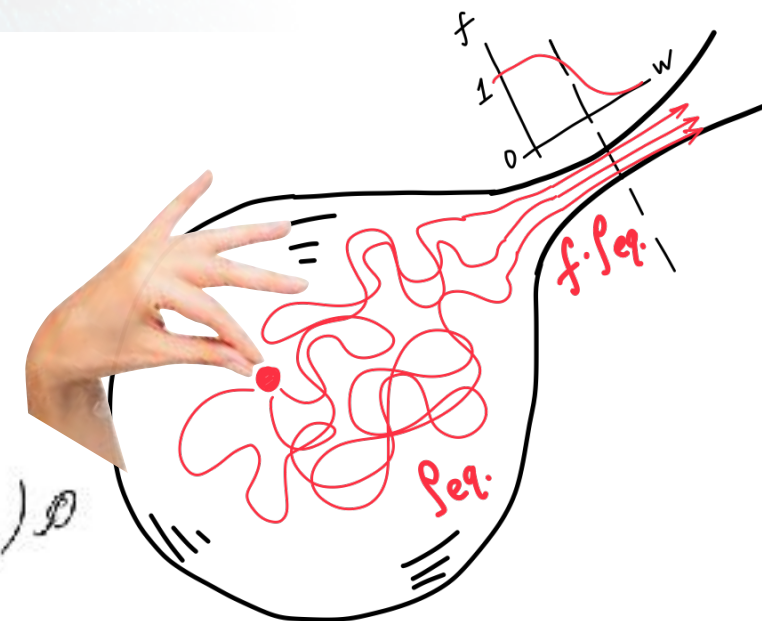
Here we evolve massive scalar field with negative quartic coupling:

$$\begin{cases} \dot{\phi} = \pi \\ \dot{\pi} = \Delta\phi - m^2\phi + \lambda\phi^3 \end{cases}$$

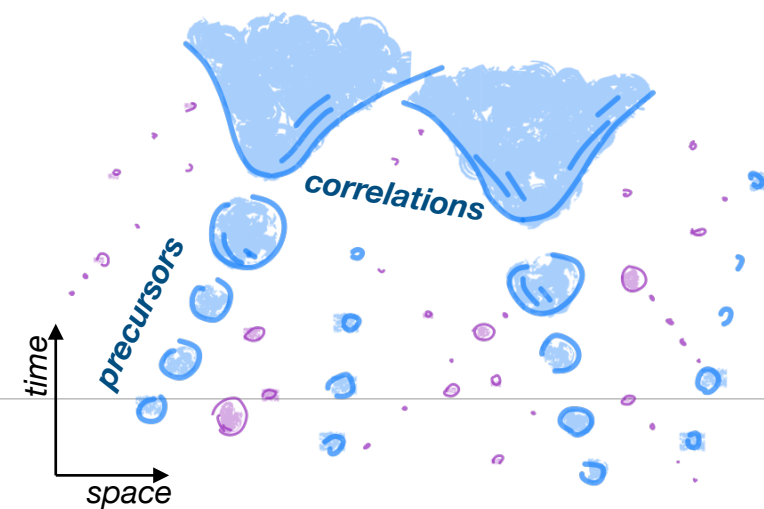
$$\frac{\partial \mathcal{P}}{\partial t} = - \frac{\partial \mathcal{J}_\perp}{\partial x_\perp}$$

$$\mathcal{J}_\perp = - \mathcal{M}_{11} \left(\frac{\partial \mathcal{H}}{\partial x_\perp} + T \frac{\partial}{\partial x_\perp} \right) \mathcal{P}$$

$$\Gamma = \int_{w=0} d\mathbf{x} \mathcal{J}_\perp$$



Stationary flux regime



Real-time dynamics of critical bubble nucleation