

CONSTRUCTING ALF AND ALE GRAVITATIONAL INSTANTONS

Hari Kunduri

McMaster University
Mathematics and Statistics & Physics and Astronomy

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Outline

1. Toric gravitational instantons

2. Existence and uniqueness for asymptotically ALF and ALE instantons (in progress [J Lucietti](#) and [M Khuri](#))

Gravitational instantons

Definition

A **gravitational instanton** is a smooth, geodesically complete (non-compact) connected Ricci flat Riemannian four-manifold.

- characterized by their asymptotic geometry and topology.
- We say a gravitational instanton (M, g) is **toric** if it admits the action of \mathbb{T}^2 as isometries.

Many explicit examples exist by ‘Euclideanizing’ black hole metrics.

Gravitational instantons

- Euclidean quantum gravity: [GIBBONS-HAWKING-PERRY]: analogy with Yang-Mills instantons: i.e. $F = \star F$ minimizes action

$$\begin{aligned} S &= \int_{\mathbb{R}^4} \text{Tr}|F|^2, & D \star F &= 0, & DF &= 0 \\ &= \frac{1}{2} \int_{\mathbb{R}^4} \text{Tr}|F - \star F|^2 + \text{boundary term} \end{aligned}$$

on Euclidean \mathbb{R}^4 .

- gravity: minimize the Einstein-Hilbert action: natural to impose

$$R_{abcd} = (\star R)_{abcd} = \frac{1}{2} \epsilon_{abmn} R^{mn}{}_{cd}$$

Such (M, g) are **hyperKähler** (Ricci flat, Kähler)

But the gravitational action is not positive definite so we relax this requirement.

Schwarzschild instanton

Consider the Schwarzschild exterior metric with $t \rightarrow i\tau$:

$$g_S = \left(1 - \frac{2m}{r}\right) d\tau^2 + \left(1 - \frac{2m}{r}\right)^{-1} dr^2 + r^2 g_{S^2}$$

Extends to a global metric on $\mathbb{R}^2 \times \mathbb{S}^2$ provided we identify:

$$\tau \sim \tau + \beta, \quad \beta = 8\pi m$$

ensures $T = \partial_\tau$ smoothly degenerates at $r = r_+$.

Asymptotically flat: as $r \rightarrow \infty$,

$$g \rightarrow d\tau^2 + g_{\mathbb{R}^3}$$

the canonical flat metric on $\mathbb{S}^1 \times \mathbb{R}^3$. Note $|T|$ is bounded as $r \rightarrow \infty$.

Taub-NUT instanton

Hyperkähler Taub-NUT metric;

$$\mathbf{g}_{TN} = H^{-1} L^2 \left(d\psi + k \cos^2 \left(\frac{\theta}{2} \right) d\phi \right)^2 + H(dr^2 + r^2(d\theta^2 + \sin^2 \theta d\phi^2)),$$
$$H = 1 + \frac{kL}{2r}, \quad r > 0, \theta \in (0, \pi)$$

Surfaces of constant r are \mathbb{S}^1 bundles over \mathbb{S}^2 provided $k \in \mathbb{Z}$ and we identify the (ψ, ϕ) -plane as:

$$(\psi, \phi) \sim (\psi + 2\pi, \phi) \sim (\psi, \phi + 2\pi)$$

Regularity as $r \rightarrow 0$ fixes $k = 1 \Rightarrow \mathbf{g}_{TN}$ is a smooth metric on \mathbb{R}^4 .

Eguch-Hanson instanton

Complete hyperkähler 1-parameter family of metrics on $T^*\mathbb{S}^2$

$$\mathbf{g}_{EH} = \frac{dr^2}{U} + \frac{r^2}{4} [U(d\psi + \cos\theta d\phi)^2 + d\theta^2 + \sin^2\theta d\phi^2],$$
$$U = 1 - \frac{a^4}{r^4}, \quad a \geq 0$$

with $r \in (a, \infty)$ and (θ, ϕ) standard coords on \mathbb{S}^2 .

- If $a = 0$ then \mathbf{g}_{EH} is Euclidean metric on \mathbb{R}^4 when $\psi \sim \psi + 4\pi$.
- if $a > 0$, ∂_ψ degenerates at $r = a$. Regularity fixes $\psi \sim \psi + 2\pi$.
Surfaces of constant r have topology $L(2, 1)$.
Asymptotically $\mathbf{g}_{EH} \rightarrow$ flat metric on $\mathbb{R}^4 \setminus \mathbb{Z}_2$.

ALF and ALE manifolds

We construct new gravitational instantons with prescribed asymptotic behaviour. Roughly:

1. (M, g) is asymptotically locally Euclidean (ALE) if it has an asymptotic end with the topology $\mathbb{R} \times L(p, q)$ and $g \rightarrow g_E$ (Euclidean metric).
2. (M, g) is asymptotically locally flat (ALF) if it has an asymptotic end with the topology $\mathbb{R} \times L$ where L is an S^1 -bundle over S^2 .
3. if (M, g) is ALF and $L = S^1 \times S^2$ (trivial bundle) then we say it is asymptotically flat (AF).

ALE spaces have volume growth $\sim r^4$ whereas ALF and AF spaces have volume growth $\sim r^3$ as $r \rightarrow \infty$.

Toric gravitational instantons

Let (M, \mathbf{g}) satisfy

$$\text{Ric}(\mathbf{g}) = 0$$

with isometry subgroup $\mathbb{T}^2 \simeq U(1)^2$ with generators $\eta_{(i)}$, $i = 1, 2$.

$$\omega_i := \star(\eta_1 \wedge \eta_2 \wedge d\eta_i) \in C^\infty(M), \quad d\omega_i = 0$$

If the axis set

$$\mathcal{A} := \{p \in M \mid \det \mathbf{g}(\eta_i, \eta_j)|_p = 0\} \neq \emptyset, \text{ then } \omega_i = 0$$

By Froebenius theorem the distribution orthogonal to $\text{span}(\eta_1, \eta_2) \subset TM$ is integrable. Then in $M \setminus \mathcal{A}$,

$$\mathbf{g} = g_{ij} d\phi^i d\phi^j + \hat{g}, \quad \eta_i = \frac{\partial}{\partial \phi^i}, \quad \phi^i \sim \phi^i + 2\pi$$

where the matrix $g_{ij} = \mathbf{g}(\eta_i, \eta_j)$, \hat{g} is a metric on $\hat{M} = M/\mathbb{T}^2$.

$\text{Ric}(\mathbf{g}) = 0$ equivalent to system no (\hat{M}, \hat{g}) :

$$\begin{aligned}\text{div}_{\hat{g}}(\rho J) &= 0, & \hat{R}_{ab} &= \hat{\nabla}_a \hat{\nabla}_b \log \rho + \frac{1}{4} \text{Tr}(J_a J_b) \\ J &:= g^{-1} dg, & \rho &:= \sqrt{\det g}\end{aligned}$$

Theorem ([Hollands-Yzadjiev])

\hat{M} is simply connected with boundaries and corners (homeomorphic to upper-half plane)

- interior \hat{M} is $M \setminus \mathcal{A}$: g_{ij} is rank-2 ($\rho > 0$)
- boundary segments: g_{ij} is rank-1 ($\rho = 0$)
- corners: g_{ij} is rank-0 ($\rho = 0$)

- global chart for int \hat{M} :

$$\hat{g} = e^{2\nu} (d\rho^2 + dz^2), \quad \rho > 0, z \in \mathbb{R}$$

identify (ρ, z) with cylindrical coords in \mathbb{R}^3

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Orbit space

Axis \mathcal{A} ($\rho = 0$) divides into intervals

$$(-\infty, z_1), \quad (z_1, z_2), \quad \dots \quad (z_N, \infty)$$

where g_{ij} is rank-1. Each such rod $I_A = (z_{A-1}, z_A)$ is separated by corners $z_A, A = 1, 2, \dots, N$ with length $\ell_A = z_A - z_{A-1}$ and associated $v_A \subset \in \text{Ker}(g)$. These generate 2π -periodic flows iff $v_A = q_A^i \eta_i, q^i \in \mathbb{Z}$.

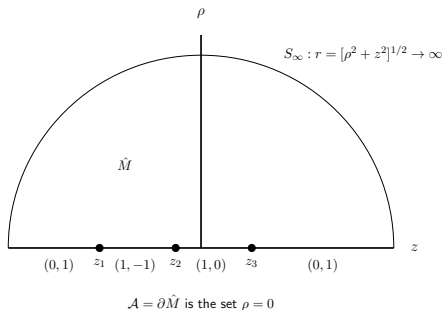
interval structure: collection of the boundary data

$$\mathcal{R} := \{(\ell_A, v_A) | A = 1, 2, \dots, N + 1\}$$

To avoid **orbifold singularities** at z_A , require adjacent rods I_{A-1}, I_A satisfy *admissibility condition*

$$\det(v_{A-1}, v_A) = \pm 1$$

Main Results



Theorem (HK, Lucietti, Khuri)

Let (M, \mathbf{g}) be an ALE/ALF gravitational instanton admitting \mathbb{T}^2 -isometry. Then it is *uniquely* characterized its rod data set \mathcal{R} (and asymptotic parameter $L > 0$ in the ALF case). Moreover given *admissible* data a **unique, smooth instanton exists**.

Harmonic map reduction with Riemannian target

Proposition (Riemannian target space)

Define the symmetric, positive definite, unimodular matrix

$$\Phi := \rho^{-1}g \Rightarrow \det \Phi = 1$$

$$\text{Ricci flat equation} \Rightarrow d\hat{\star}(\rho\Phi^{-1}d\Phi) = 0$$

Φ defines **harmonic map** with target $SL(2, \mathbb{R})/SO(2) = \mathbb{H}^2$.

\mathcal{R} is encoded in singular behaviour of Φ as $\rho \rightarrow 0$.

$$E[\Phi] := \int_{\mathbb{R}^3 \setminus \Gamma} \text{Tr}[\Phi^{-1}d\Phi \cdot \Phi^{-1}d\Phi] d\text{Vol}$$

$$\text{tension} : \tau = \vec{\Delta}\Phi - \Phi^{-1}\vec{\nabla}\Phi \cdot \Phi^{-1}\vec{\nabla}\Phi$$

- apply existence theory for harmonic maps with *prescribed singularities* [WEINSTEIN, KHURI-WEINSTEIN-YAMADA]

Open problems

- Regularity on axis using integrability [[NEUGEBAUER-HENNIG](#), [LUCIETTI-TOMLINSON](#)]
- Positive mass theorem for ALF/ALE gravitational instantons? [[ALAE, KHURI, HK](#)]
- Remarkably, harmonic map structure extends to $n > 4$ (in progress)