

# Instanton Scattering

Roland Bittleston

Based on work with Kevin Costello

Perimeter Institute

Amplitudes, Seoul National University

June 2025

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# Introduction

- ▶ Perturbing around the self-dual sector of Yang-Mills is good for computing helicity amplitudes.
- ▶ It's possible to generalise to perturb around non-trivial self-dual backgrounds, e.g., self-dual plane waves, dyons, etc. **[Adamo et al. 20, 23, 25; Garner, Paquette 23, 24; ...]**
- ▶ In this talk I will consider the most famous such backgrounds: instantons. **[BPST, 75; Ward, 77; ADHM, 78; ...]**

Why is this worth doing?

- ▶ By understanding amplitudes in instanton backgrounds can hope to learn about non-perturbative gauge theory.

We will adopt the following strategy:

- ▶ First compute the one-loop all-plus amplitudes in  $SU(N_c)$  gauge theory on an instanton background for the  $SU(N_f)$  flavour symmetry.
- ▶ Then gauge the  $SU(N_f)$  flavour symmetry, i.e., integrate over instanton moduli space with one-loop determinant measure.

Yields instanton contributions to the one-loop amplitude in  $SU(N_1) \times SU(N_2)$  gauge theory coupled to bifundamental Diracs, where  $SU(N_1)$  gluons scatter off an  $SU(N_2)$  instanton.

We will obtain explicit formulae and observe some odd behaviour.

# Self-Dual Gauge Theory

Self-dual Yang-Mills has fields

$$A \in \Omega^1(\mathbb{R}^4, \mathfrak{g}), \quad B \in \Omega_-^2(\mathbb{R}^4, \mathfrak{g}),$$

a gauge connection and anti-self-dual 2-form Lagrange multiplier.

The action is

$$\int_{\mathbb{R}^4} \text{tr}(B \wedge F(A)).$$

Deforming with  $\text{tr}(B^2)$  yields full Yang-Mills up to a  $\theta$ -term

$$\int_{\mathbb{R}^4} \text{tr}(B \wedge F(A)) - \frac{g^2}{8} \int_{\mathbb{R}^4} \text{tr}(B \wedge B) \simeq \frac{2}{g^2} \int_{\mathbb{R}^4} \text{tr}(F_-(A) \wedge F_-(A)).$$

The amplitudes we compute do not involve the  $\text{tr}(B^2)$  vertex, so it is enough to work in the self-dual theory.

We couple self-dual Yang-Mills to  $N_f$  massless fundamental Diracs

$$\sum_{i=1}^{N_f} \int_{\mathbb{R}^4} d^4x \langle \bar{\psi}_i, \not{D}\psi^i \rangle_{\mathbb{F}}$$

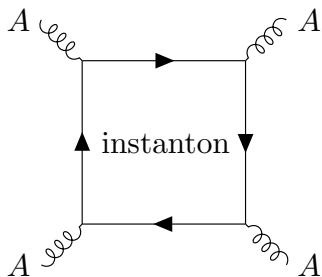
to get **self-dual gauge theory**.

To switch on a flavour background  $a_f \in \Omega^1(\mathbb{R}^4, \mathfrak{su}(N_f))$  one deforms the action with

$$\sum_{i,j=1}^{N_f} \int_{\mathbb{R}^4} d^4x \langle \bar{\psi}_i, (a_f)^i_j \psi^j \rangle_{\mathbb{F}}.$$

We will take  $a_f$  to be an instanton.

The one-loop amplitudes of self-dual gauge theory in the background  $a_f$  are generated by diagrams of the following form:



Notice that the trace-ordered amplitudes are independent of  $N_c$ , so we can compute these amplitudes with  $N_f = N_c$ .

Let

$$\mathcal{D}(a_f; x) = -\frac{1}{8\pi^2} \text{tr}(F(a_f)^2)$$

be the **instanton density**, and  $\hat{\mathcal{D}}(a_f; p) = \int_{\mathbb{R}^4} d^4x e^{ip \cdot x} \mathcal{D}(a_f; x)$  be its Fourier transform. Then:

### Theorem [RB, Kevin Costello]

The single-trace amplitude of self-dual gauge theory in the background  $a_f$  is

$$\mathcal{A}^{\text{single-trace}}(p_1, \dots, p_n; a_f) = -2 \frac{\hat{\mathcal{D}}(a_f; P)}{\langle 12 \rangle \dots \langle n1 \rangle}$$

for  $P = p_1 + p_2 + \dots + p_n$  the total momentum.

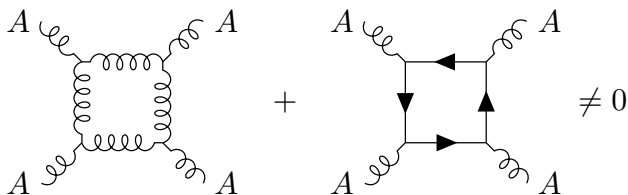
This is the one-loop all-plus amplitude of massless QCD in the same flavour background.

# Green-Schwarz Mechanism for Amplitudes

To get this formula we exploited twistor methods. I will briefly explain how these work.

One-loop amplitudes in self-dual gauge theories are anomalies to integrability: the Coleman-Mandula theorem requires that any  $4d$  integrable theory has trivial  $S$ -matrix. [Bardeen, 96; Costello, 21]

Self-dual gauge theory with  $N_f = N_c$  suffers from such an anomaly.

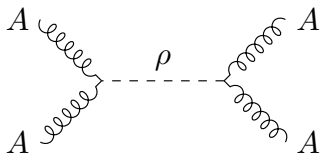


Remarkably, integrability can be restored using the Green-Schwarz mechanism. [Costello, 21; Costello, Paquette, 22; Dixon, Morales, 25]

More precisely, the anomaly can be cancelled by coupling self-dual gauge theory to a dimension zero scalar via an axion vertex.

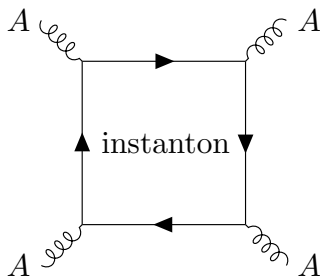
$$S_{\text{GS}}[\rho; A] = \int_{\mathbb{R}^4} d^4x (\Delta\rho)^2 + \frac{\mu}{2\pi} \int_{\mathbb{R}^4} \rho \text{tr}(F(A)^2) .$$

By tuning  $\mu$  the one-loop all-plus amplitudes cancel against tree-level exchange of  $\rho$ , e.g., the four-point amplitude cancels against:



Suppose we cancel the integrability anomaly in self-dual gauge theory with  $N_f = N_c$  as above. Now consider switching on a flavour instanton.

We immediately run into a **mixed t' Hooft anomaly** between the integrable color and flavour symmetries.



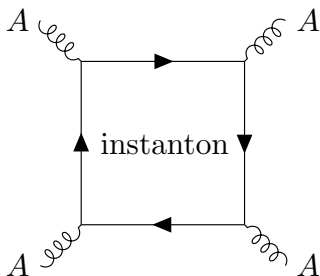
The amplitudes we compute are avatars of this t' Hooft anomaly.

The one-loop amplitudes can be cancelled by modifying the previous Green-Schwarz mechanism. We simply add a source term for the dimension zero scalar coupling it to the instanton density.

$$-\frac{\mu}{2\pi} \int_{\mathbb{R}^4} d^4x \rho \operatorname{tr}(F(a_f)^2).$$

The one-loop diagrams we're interested in are equal to minus the following trees.

$$\begin{array}{l}
 A \\
 A \\
 A \\
 A
 \end{array}
 \text{---} \otimes \int_{\mathbb{R}^4} \rho(x) \mathcal{D}(a_f; x)$$



The trees can be readily evaluated to deduce that

$$\mathcal{A}^{\text{single-trace}}(p_1, \dots, p_n; a_f) = -\frac{2}{\langle 12 \rangle \dots \langle n1 \rangle} \int_{\mathbb{R}^4} \mathcal{D}(a_f; x) e^{iP \cdot x}$$

as claimed.

# Soft Algebra

If  $a_f$  is an instanton of charge  $K$ , then

$$\lim_{\omega \rightarrow 0} \widehat{\mathcal{D}}(a_f; P) = K.$$

Let

$$\mathcal{A}^{\text{disc.}}((p_1, T_1), \dots, (p_n, T_n); a_f)$$

be the full disconnected amplitude, where  $T_i \in \mathfrak{su}(N_c)$  encode the color structure. We find

$$\lim_{\omega_i \rightarrow 0} \mathcal{A}^{\text{disc.}}((p_1, T_1), \dots, (p_n, T_n); a_f) = \langle J_{T_1} \dots J_{T_n} \rangle_{-2K}$$

where the right hand side denotes the chiral WZW correlator at level  $-2K$ .

The leading soft gluon theorem predicts

$$\begin{aligned} & \lim_{\omega_1 \rightarrow 0} \mathcal{A}^{\text{disc.}}((p_1, T_1), \dots, (p_n, T_n); a_f) \\ &= \sum_i \frac{1}{\langle 1i \rangle} \mathcal{A}^{\text{disc.}}((p_2, T_2), \dots, (p_i, [T_1, T_i]), \dots, (p_n, T_n); a_f). \end{aligned}$$

But we also find a second order pole

$$-2 \sum_i \widehat{\mathcal{D}}(a_f; p_i) \frac{\text{tr}(T_1 T_i)}{\langle 1i \rangle^2} \mathcal{A}^{\text{disc.}}((p_2, T_2), \dots, (\widehat{p_i, T_i}), \dots, (p_n, T_n); a_f).$$

The leading soft gluon theorem leads to a Kac-Moody symmetry at level zero [He, Mitra, Strominger, 16]. In the presence of an instanton background the level shifts to  $-2K$ .

It's tempting to interpret the whole amplitude as a chiral algebra correlator, but this depends on the analytic properties of  $\widehat{\mathcal{D}}(a_f; P)$ .

In terms of ADHM data  $X^{\dot{\alpha}\alpha}, I^\beta, J^\gamma$  the instanton density is [Osborn, 81]

$$\mathcal{D}(a_f; x) \propto \Delta^2 \text{tr} \log(\langle IJ \rangle + (x - X)^2).$$

### Example

In the charge one case we can directly compute

$$\widehat{\mathcal{D}}(a_f; P) = \frac{1}{2} e^{iP \cdot X} \rho^2 P^2 K_2(\rho |P|)$$

for  $\rho^2 = \langle IJ \rangle$  and  $K_2$  a modified Bessel function of the second kind.

In the holomorphic collinear limit the two-point amplitude has singularities

$$\mathcal{A}^{\text{single-trace}}(p_1, p_2; a_f) \\ \sim -\frac{2}{\langle 12 \rangle^2} + \frac{\rho^2 [12]}{2 \langle 12 \rangle} + \frac{\rho^4 [12]^2 \log \langle 12 \rangle}{8} + \text{regular}.$$

We notice that

- ▶ the first term is associated to the Kac-Moody central extension,
- ▶ the second is an extension considered in **[Melton, Narayanan, Strominger, 22]**,
- ▶ the third is not analytic in  $\langle 12 \rangle$ , and so the amplitude is not a chiral algebra correlator.

# IR & UV Divergences

Now suppose we gauge the flavour symmetry, i.e., integrate  $\mathcal{A}^{\text{disc.}}$  over instanton moduli space. This can lead to IR & UV divergences coming from big & small instantons respectively.

We can decompose the space of charge  $K$  instantons as

$$\mathcal{M}_{\text{inst.}}^K = \widehat{\mathcal{M}}_{\text{inst.}}^K \times \mathbb{R}^4 \times \mathbb{R}_{>0}$$

where  $\widehat{\mathcal{M}}_{\text{inst.}}^K$  has finite volume,  $X \in \mathbb{R}^4$  is the centre of mass and  $\rho \in \mathbb{R}_{>0}$  the scale. The one-loop measure is

$$\text{vol}_{\widehat{\mathcal{M}}_{\text{inst.}}^K} d^4 X d\rho \rho^{b_0-5}$$

where  $b_0 = \frac{11}{3}N_c - \frac{2}{3}N_f$ . This has UV divergences for  $b_0 \leq 4$ .

Let's begin by considering the IR. In the charge one case as

$$\rho|P| \rightarrow \infty$$

$$\widehat{\mathcal{D}}(a_f; P) \sim \rho^{3/2}|P|^{3/2}e^{-\rho|P|}$$

so big instantons are suppressed.

### Note

Suppression only occurs for  $P^2 > 0$ , but in single-trace terms integrating over the instanton centre of mass sets  $P = 0$ .

Multi-trace terms are IR finite (for a suitable choice of contour).

For example, the double-trace amplitude on a charge one instanton is proportional to

$$\sum_{S \subset \{1, \dots, n\}} \frac{1}{|P_S|^{(b_0-4)}} \text{PT}(S) \text{PT}(S^c) \int_0^\infty d\rho \rho^{b_0-1} K_2(\rho)^2.$$

Let's take  $b_0 = 4$ . The amplitude has a logarithmic UV divergence

$$\frac{\partial}{\partial \log \mu} \mathcal{A}^{\text{single-trace}}(p_1, \dots, p_n; a_f) = -\frac{2}{\langle 12 \rangle \dots \langle n1 \rangle} \delta^{(4)}(P).$$

## Note

This cannot be removed by a local counterterm.

Instead it indicates that the measure on instanton moduli space flows by a  $\delta$ -function contribution at the singular points.

There are infinitely many ways of adding such  $\delta$ -functions to the measure compatible with factorisation: suggests that self-dual gauge theory is non-perturbatively non-renormalizable for  $b_0 \leq 4$ .

Thank you for listening.